



FISCAL YEAR 2014

# APPENDIX

BUDGET OF THE U.S. GOVERNMENT

OFFICE OF MANAGEMENT AND BUDGET

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# THE BUDGET DOCUMENTS

***Budget of the United States Government, Fiscal Year 2014*** contains the Budget Message of the President, information on the President's priorities, budget overviews organized by agency, and summary tables.

***Analytical Perspectives, Budget of the United States Government, Fiscal Year 2014*** contains analyses that are designed to highlight specified subject areas or provide other significant presentations of budget data that place the budget in perspective. This volume includes economic and accounting analyses; information on Federal receipts and collections; analyses of Federal spending; information on Federal borrowing and debt; baseline or current services estimates; and other technical presentations.

The *Analytical Perspectives* volume also contains supplemental material with several detailed tables, including tables showing the budget by agency and account and by function, subfunction, and program, that is available on the Internet and as a CD-ROM in the printed document.

***Historical Tables, Budget of the United States Government, Fiscal Year 2014*** provides data on budget receipts, outlays, surpluses or deficits, Federal debt, and Federal employment over an extended time period, generally from 1940 or earlier to 2014 or 2018.

To the extent feasible, the data have been adjusted to provide consistency with the 2014 *Budget* and to provide comparability over time.

***Appendix, Budget of the United States Government, Fiscal Year 2014*** contains detailed information on the various appropriations and funds that constitute the budget and is designed primarily for the use of the Appropriations Committees. The *Appendix* contains more detailed financial information on individual pro-

grams and appropriation accounts than any of the other budget documents. It includes for each agency: the proposed text of appropriations language; budget schedules for each account; legislative proposals; explanations of the work to be performed and the funds needed; and proposed general provisions applicable to the appropriations of entire agencies or group of agencies. Information is also provided on certain activities whose transactions are not part of the budget totals.

## AUTOMATED SOURCES OF BUDGET INFORMATION

The information contained in these documents is available in electronic format from the following sources:

***Internet.*** All budget documents, including documents that are released at a future date, spreadsheets of many of the budget tables, and a public use budget database are available for downloading in several formats from the Internet at [www.budget.gov/budget](http://www.budget.gov/budget). Links to documents and materials from budgets of prior years are also provided.

***Budget CD-ROM.*** The CD-ROM contains all of the budget documents in fully indexed PDF format along with the software required for viewing the documents. The CD-ROM has many of the budget tables in spreadsheet format and also contains the materials that are included on the separate *Analytical Perspectives* CD-ROM.

For more information on access to electronic versions of the budget documents (except CD-ROMs), call (202) 512-1530 in the D.C. area or toll-free (888) 293-6498. To purchase the budget CD-ROM or printed documents call (202) 512-1800.

## GENERAL NOTES

1. All years referenced for budget data are fiscal years unless otherwise noted.
2. Detail in this document may not add to the totals due to rounding.
3. At the time the President's 2014 Budget request was developed, none of the full-year appropriations bills for 2013 was enacted; therefore, the programs and activities normally provided for in the full-year appropriations bills were operating under a continuing resolution (Public Law 112-175). For those programs and activities, full-year appropriations data included in the current year column (2013) in the budget *Appendix*, and in tables that show details on discretionary spending amounts in the *Analytical Perspectives* volume, reflect the annualized level provided by the continuing resolution. In the main *Budget* volume and the *Historical Tables* volume, current year totals by agency and for the total Government will match the President's 2013 Budget request.

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# DETAILED BUDGET ESTIMATES

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## DETAILED BUDGET ESTIMATES

The Budget *Appendix* contains various tables and schedules in support of the budget. It includes explanations of the work to be performed and the money needed. It includes the language proposed for enactment by Congress on each item that requires congressional action in an appropriations bill. It also contains the language proposed for the general provisions of appropriations acts that apply to entire agencies or groups of agencies. The chapter, "Budget Concepts", in the *Analytical Perspectives*, explains the terms and budget concepts used throughout the budget.

### ARRANGEMENT

The second chapter in the *Appendix* presents general provisions of law that apply to all Government activities (see explanation below). Chapters for the Legislative Branch and the Judiciary follow. These are followed by chapters for the Executive Branch. The cabinet departments appear first in alphabetical order and are followed by the larger non-departmental agencies, such as Other Defense—Civil Programs, and the Executive Office of the President. The remaining small agencies are listed under the heading Other Independent Agencies. If the amounts in the individual accounts for other independent agencies are below the million dollar reporting threshold applicable to data in the *Appendix*, the data are consolidated into a single set of schedules under "Other Commissions and Boards." Appropriations language for these agencies is presented individually under the same heading.

A section for a large agency is usually organized by major subordinate organizations within the agency (usually bureaus) or by major program area (such as military personnel in the Department of Defense).

Within each bureau or major program area, accounts usually appear in the following order:

- general fund accounts;
- special fund accounts;
- public enterprise revolving funds;

- intragovernmental revolving funds and management funds;
- credit reform accounts, in the following order: program account, financing account, and liquidating account;
- trust funds;
- trust revolving funds.

By law, the Old-Age and Survivors Insurance and Disability Insurance trust funds (Social Security) are outside the budget totals. These accounts are presented in the Social Security Administration section. Also, by law, the Postal Service Fund is outside the budget totals. A presentation for the Fund is included in the Other Independent Agencies section.

General provisions are provisions in appropriations acts that apply to more than one appropriation. They usually appear in separate titles of the appropriations acts. The proposed language for general provisions of appropriations acts that are applicable to one agency appear at the end of the section for that agency. When they apply only to the appropriations for two or more agencies covered by the act, they will appear at the end of the section for one of those agencies. The Government-wide general provisions apply to all appropriations Government-wide.

The following table indicates the location of all general provisions. The first column of the table lists the most recently enacted appropriations and the major agencies responsible for programs funded by each act. The second column provides the location of the general provisions that apply to the agencies listed in the first column. The general provisions that are Government-wide in scope (identified as "Departments, Agencies, and Corporations") contained in the Financial Services and General Government Appropriations Act, appear in a separate chapter following this one. At the time the President's 2014 Budget request was developed, none of the full-year appropriations bills for 2013 was enacted; therefore, the programs and activities normally provided for in the full-year appropriations bills were operating under a continuing resolution (Public Law 112–175). The continuing resolution is based on language enacted for 2012.

Appropriations Act	Chapter in which general provisions appear
Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, P.L. 112–55.	
Department of Agriculture, excluding Forest Service.....	Department of Agriculture
Department of Health and Human Services, Food and Drug Administration.	Department of Agriculture
Commerce, Justice, Science, and Related Agencies Appropriations Act, P.L. 112–55.	
Department of Commerce.....	Department of Commerce
Department of Justice.....	Department of Justice
National Aeronautics and Space Administration.....	Department of Commerce
National Science Foundation.....	Department of Commerce
Department of Defense Appropriations Act, P.L. 112–74.....	Department of Defense
Energy and Water Development and Related Agencies Appropriations Act, P.L. 112–74.	
Department of Energy.....	Department of Energy
Corps of Engineers.....	Corps of Engineers—Civil Works
Department of the Interior, Bureau of Reclamation.....	Department of the Interior
Financial Services and General Government Appropriations Act, P.L. 112–74.	
Department of the Treasury.....	Department of the Treasury
District of Columbia.....	Other Independent Agencies
Executive Office of the President.....	Department of the Treasury
Department of Homeland Security Appropriations Act, P.L. 112–74.....	Department of Homeland Security

Appropriations Act	Chapter in which general provisions appear
Department of the Interior, Environment, and Related Agencies Appropriations Act, P.L. 112-74.	
Department of the Interior, excluding Bureau of Reclamation.....	Department of the Interior
Department of Agriculture, Forest Service.....	Department of the Interior
Department of Health and Human Services, Indian Health Service.....	Department of the Interior
Environmental Protection Agency.....	Department of the Interior
Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, P.L. 112-74.	
Department of Labor.....	Department of Labor
Department of Health and Human Services, excluding Food and Drug Administration, and the Indian Health Service.....	Department of Health and Human Services
Department of Education.....	Department of Education
Social Security Administration.....	Department of Labor
Legislative Branch Appropriations Act, P.L. 112-74.....	Legislative Branch
Military Construction and Veterans Affairs and Related Agencies Appropriations Act, P.L. 112-74.	
Department of Defense, Military Construction.....	Department of Defense
Department of Veterans Affairs.....	Department of Veterans Affairs
Department of State, Foreign Operations, and Related Programs Appropriations Act, P.L. 112-74.	
Department of State.....	Department of State and Other International Programs
Agency for International Development.....	Department of State and Other International Programs
Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, P.L. 112-55.	
Department of Transportation.....	Department of Transportation
Department of Housing and Urban Development.....	Department of Housing and Urban Development

**FORM OF DETAILED MATERIAL**

APPROPRIATIONS LANGUAGE

The language proposed for inclusion in the 2014 appropriations acts appears following the account title, and the amounts are stated in dollars. At the time the President's 2014 Budget request was developed, none of the full-year appropriations bills for 2013 was enacted; therefore, the programs and activities normally provided for in the full-year appropriations bills were operating under a continuing resolution (Public Law 112-175). Appropriations for these accounts reflect proposed 2014 language in *italic* type.

BASIS FOR SCHEDULES

Dollar amounts in *Appendix* schedules are stated in millions, unless otherwise specified.

The 2012 column of the budget presents the actual transactions and balances for that year, as recorded in agency accounts.

For 2013, the regular schedules include the levels in the Continuing Appropriations Resolution, 2013 (P.L. 112-175). They may also include indefinite appropriations on the basis of amounts likely to be required.

The 2014 column of the regular schedules includes proposed appropriations for all programs.

Amounts for proposed new legislation are shown generally in separate schedules, following the regular schedules or in budget sequence in the respective bureau. These schedules are identified as "Legislative proposals, subject to PAYGO" or "Legislative proposals not subject to PAYGO." The term "PAYGO" refers to the "pay-as-you-go" requirements of the Statutory Pay-As-You-Go Act of 2010. Appropriations language is included with the regular schedule, but usually not with the separate schedules

for proposed legislation. Usually the necessary appropriations language is transmitted later upon enactment of the proposed legislation.

PROGRAM AND FINANCING SCHEDULE

This schedule provides the following information:

- obligations by program activity;
- budgetary resources;
- change in obligated balance; and
- budget authority and outlays, net.

The "Obligations by program activity" section shows obligations for specific activities or projects. The activity structure is developed for each appropriation or fund account to provide a meaningful presentation of information for the program. Where the amounts are significant, this section distinguishes between operating expenses and capital investment and between direct and reimbursable programs. The last entry, "Total new obligations," indicates the amount of budgetary resources required to finance the activities of the account.

The "Budgetary resources" section shows the budgetary resources available or estimated to be available to finance the obligations. The resources available for obligation include the start-of-year unobligated balances of prior year's resources that have not expired, new budget authority, and adjusting entries, such as recoveries from prior year obligations. This section provides detailed information on the total new budget authority (gross) available to finance the program. It includes information on the type of budget authority that is available, reductions, and amounts precluded from obligation. It indicates whether the budget authority is discretionary (controlled by appropriations acts) or mandatory (controlled by other laws).

The "Change in obligated balance" section shows components of the change in obligated balances from the start to the end of the year. The two components of the obligated balance—unpaid

obligations and uncollected payments from Federal sources—are presented separately. New obligations are added to the obligations that were incurred in a previous year but not liquidated. Total disbursements to liquidate obligations (outlays, gross) are subtracted from these amounts. Adjusting entries, such as adjustments in expired accounts and recoveries of prior year unpaid obligations, are included as appropriate, resulting in the end-of-year obligated balance.

The "Budget authority and outlays, net" section bridges from gross budget authority and outlays to net budget authority and outlays. The section presents discretionary and mandatory amounts separately and indicates whether the outlays pertain to balances or new authority. It also indicates the amounts to be deducted from gross budget authority and outlays and the resulting net budget authority and outlay amounts. Offsetting collections (cash) and the change in uncollected payments from Federal sources are deducted from gross budget authority; only offsetting collections (cash) are deducted from gross outlays.

**Program and Financing**

(in millions of dollars)

Identification code 75-9911-0-1-554	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Foods.....		
0002	Drugs.....		
0003	Devices and radiological products.....		
0004	National Center for Toxicological Research.....		
0005	Other activities.....		
0006	Other rent and rent related activities.....		
0007	Rental payments.....		
0008	Buildings and facilities.....		
0009	CRADAs.....		
0010	Tobacco startup.....		
0091	Direct program activities, subtotal.....		
0801	Reimbursable program.....		
0900	Total new obligations.....		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1.....		
1021	Recoveries of prior year unpaid obligations.....		
1050	Unobligated balance (total).....		
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation.....		
1101	Appropriation (special fund).....		
1160	Appropriation, discretionary (total).....		
Appropriations, mandatory:			
1201	Appropriation (special fund).....		
Spending authority from offsetting collections, discretionary:			
1700	Collected.....		
1701	Change in uncollected payments, Federal sources.....		
1702	Offsetting collections (previously unavailable).....		
1710	Spending authority from offsetting collections transferred to other accounts.....		
1711	Spending authority from offsetting collections transferred from other accounts.....		
1725	Spending authority from offsetting collections precluded from obligation (limitation on obligations).....		
1750	Spending auth from offsetting collections, disc (total).....		
Spending authority from offsetting collections, mandatory:			
1800	Collected.....		
1900	Budget authority (total).....		
1930	Total budgetary resources available.....		
Memorandum (non-add) entries:			
1940	Unobligated balance expiring.....		
1941	Unexpired unobligated balance, end of year.....		

**Change in obligated balance:**

Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1.....		
3010	Obligations incurred, unexpired accounts.....		
3020	Outlays (gross).....		

3030	Unpaid obligations transferred to other accounts.....		
3031	Unpaid obligations transferred from other accounts.....		
3040	Recoveries of unpaid prior year obligations, unexpired accounts.....		
3050	Unpaid obligations, end of year.....		
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1.....		
3061	Adjustments to uncollected pymts, Fed sources, brought forward.....		
3070	Change in uncollected pymts, Fed sources, unexpired accounts.....		
3080	Uncollected pymts, Fed sources transferred to other accounts.....		
3081	Uncollected pymts, Fed sources transferred from other accounts.....		
3090	Uncollected pymts, Fed sources, end of year.....		

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross.....		
Outlays, gross:			
4010	Outlays from new discretionary authority.....		
4011	Outlays from discretionary balances.....		
4020	Outlays, gross (total).....		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources.....		
4033	Non-Federal sources.....		
4034	Offsetting governmental collections.....		
4040	Offsets against gross budget authority and outlays (total).....		
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired.....		
4052	Offsetting collections credited to expired accounts.....		
4060	Additional offsets against budget authority only (total).....		
4070	Budget authority, net (discretionary).....		
4080	Outlays, net (discretionary).....		
Mandatory:			
4090	Budget authority, gross.....		
Outlays, gross:			
4100	Outlays from new mandatory authority.....		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources.....		
4124	Offsetting governmental collections.....		
4130	Offsets against gross budget authority and outlays (total).....		
4160	Budget authority, net (mandatory).....		
4170	Outlays, net (mandatory).....		
4180	Budget authority, net (total).....		
4190	Outlays, net (total).....		

**Memorandum (non-add) entries:**

5090	Unavailable balance, SOY: Offsetting collections.....		
5091	Unavailable balance, EOY: Offsetting collections.....		

A schedule titled "Summary of Budget Authority and Outlays" immediately follows the first program and financing schedule for any account that has additional program and financing schedules for supplemental requests, legislative proposals, or current year cancellation proposals.

**NARRATIVE STATEMENT OF PROGRAM AND PERFORMANCE**

Narrative statements present briefly the objectives of the program and the work to be financed primarily for 2014. They may include measures of expected performance and describe a relationship to the financial estimates.

**SCHEDULE OF OBJECT CLASSIFICATION AND EMPLOYMENT SUMMARY**

Object classes reflect the nature of the things or services purchased, regardless of the purpose of the program for which they are used. Object class entry 11.9, "Total personnel compensation" sums the amounts in object classes 11.1 through 11.8. Except for revolving funds, reimbursable obligations are aggregated in a

single line and not identified by object class. Amounts for any object class that are below the reporting threshold (i.e., amounts that are \$500 thousand or less) are reported together as a single entry. If all of the obligations for an account are in a single object class, the schedule is omitted and the object class code is printed in the Program and Financing Schedule on the "Total new obligations" line. Data, classified by object, are illustrated in the following schedule:

<b>Object Classification</b>			
(in millions of dollars)			
Identification code 17-0643-0-1-452	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1			
11.3			
11.9			
12.1			
23.1			
26.0			
99.0			
99.0			
99.5			
99.9			

When obligations for personnel compensation are shown in the object classification schedule, an employment summary generally follows the object classification schedule, as illustrated below:

<b>Employment Summary</b>			
Identification code 75-9911-0-1-554	2012 actual	2013 CR	2014 est.
1001			
1101			
2001			
2101			
3001			
3101			

Federal civilian employment generally is stated on a full-time equivalent (FTE) basis. It is the total number of hours worked (or to be worked) divided by the number of compensable hours applicable to each fiscal year.

**BALANCE SHEETS**

Balance sheets are presented for all direct and guaranteed loan liquidating and financing accounts, most Government-sponsored enterprises, and certain revolving and trust revolving funds conducting business with the public. They are occasionally presented for funds conducting business within the Government.

The balance sheets show assets, liabilities, and equity for the fund at the close of each fiscal year. In addition to this information, which is similar to commercial balance sheet data, budget needs also require additional information, such as appropriated capital, which is shown in the equity section. The amounts in the 2011 column are audited.

<b>Balance Sheet</b>		
(in millions of dollars)		
Identification code 16-4023-0-3-754	2011 actual	2012 actual
ASSETS:		
Federal assets:		
Investments in US securities:		
1102		
1104		
1106		
Non-Federal assets:		
1201		

1999	Total assets.....		
LIABILITIES:			
Federal liabilities:			
2103	Debt.....		
Non-Federal liabilities:			
2203	Debt.....		
2999	Total liabilities.....		
NET POSITION:			
3100	Unexpended Appropriation.....		
3999	Total net position.....		
4999	Total liabilities and net position.....		

**FEDERAL CREDIT SCHEDULES**

Federal credit programs provide benefits to the public in the form of direct loans and loan guarantees. The Federal Credit Reform Act of 1990 requires that the costs of direct and guaranteed loans of a program be calculated on a net present value basis, excluding administrative costs. For most programs, direct loan obligations and loan guarantee commitments cannot be made unless appropriations for the cost have been provided in advance in annual appropriations acts. Annual limitations on the amount of obligations and commitments may also be enacted in appropriations language.

Appropriations for the costs of direct loans and loan guarantees are recorded as budget authority in credit program accounts. The administrative expenses associated with a credit program are also recorded in the program account, but on a cash basis. All cash flows to and from the public arising from direct loan obligations and loan guarantee commitments are recorded in separate financing accounts. The transactions of the financing accounts are not included in the budget totals. Program accounts make subsidy payments, recorded as budget outlays, to the financing accounts at the time of the disbursement of the direct or guaranteed loans.

The transactions associated with direct loan obligations and loan guarantee commitments made prior to 1992 continue to be accounted for on a cash flow basis and are recorded in liquidating accounts. In most cases, the liquidating account is the account that was used for the program prior to the enactment of the new requirements.

Program and Financing schedules (described above) are shown for program, financing, and liquidating accounts. In addition, a Summary of Loan Levels, Subsidy Budget Authority, and Outlays by Program schedule is shown for program accounts. This schedule displays credit program information at the risk category level. Status of Direct Loans and Status of Guaranteed Loans schedules (as applicable) are shown for financing accounts and liquidating accounts. Examples of these schedules are shown below. Summary information on Federal credit programs is provided in the chapter titled "Credit and Insurance" in the *Analytical Perspectives* volume of the Budget.

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program**

(in millions of dollars)			
Identification code 83-0100-0-1-155	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001			
115002			
115999			
Direct loan subsidy rates (in percent):			
132001			
132002			
132999			

Direct loan subsidy budget authority:			
133001	Economic opportunity loans.....		
133002	Minority enterprise loans.....		
133999	Total subsidy budget authority.....		
Direct loan subsidy outlays:			
134001	Economic opportunity loans.....		
134002	Minority enterprise loans.....		
134999	Total, subsidy outlays.....		
Direct loan upward reestimates:			
135001	Economic opportunity loans.....		
135002	Minority enterprise loans.....		
135999	Total, upward reestimate budget authority.....		
Direct loan downward reestimates:			
137001	Economic opportunity loans.....		
137002	Minority enterprise loans.....		
137999	Total, downward reestimate budget authority.....		
Guaranteed loan levels supportable by subsidy budget authority:			
215001	General business loan guarantees.....		
215002	Minority enterprise loans.....		
215999	Total guaranteed loan levels.....		
Guaranteed loan subsidy (in percent):			
232001	General business loans.....		
232002	Minority enterprise loans.....		
232999	Weighted average subsidy rate.....		
Guaranteed loan subsidy budget authority:			
233001	General business loans.....		
233002	Minority enterprise loans.....		
233999	Total subsidy budget authority.....		
Guaranteed loan subsidy outlays:			
234001	General business loans.....		
234002	Minority enterprise loans.....		
234999	Total subsidy outlays.....		

**Status of Direct Loans**

(in millions of dollars)

Identification code 13-4324-0-3-376	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans.....		
1150	Total direct loan obligations.....		
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year.....		
1231	Disbursements: Direct loan disbursements.....		
1251	Repayments: Repayments and prepayments.....		
1290	Outstanding, end of year.....		

**Status of Guaranteed Loans**

(in millions of dollars)

Identification code 83-4100-0-3-155	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111	Limitation on guaranteed loans made by private lenders.....		
2150	Total guaranteed loan commitments.....		
Memorandum:			
2199	Guaranteed amount of guaranteed loan commitments.....		
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year.....		
2231	Disbursements of new guaranteed loans.....		
2251	Repayments and prepayments.....		
Adjustments:			
2261	Terminations for default that result in a loan receivable.....		
2261	Terminations for default that result in claim payments.....		

2290	Outstanding, end of year.....		
Memorandum:			
2299	Guaranteed amount of guaranteed loan outstanding, end of year.....		
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year.....		
2331	Disbursements for guaranteed loan claims.....		
2351	Repayments of loans receivable.....		
2361	Write-offs of loans receivable.....		
2364	Other adjustments, net.....		
2390	Outstanding, end of year.....		

**SPECIAL AND TRUST FUND RECEIPTS SCHEDULE**

This schedule is printed for special fund and trust fund accounts to show the amount of receipts that are credited to them. It also shows any balances of unappropriated receipts or receipts that are precluded from obligation because of a provision of law, such as a benefit formula or limitation on obligations. This schedule is presented with other schedules for the fund.

**STATUS OF FUNDS SCHEDULE**

This schedule reports balances, cash income, and cash outgo for major trust funds and certain other accounts. When present, it appears after the narrative statement for the fund or account.

**GENERAL FUND RECEIPT ACCOUNTS SCHEDULE**

This schedule shows the amount of receipts attributed to an agency that are credited to the general fund of the Treasury. It is printed at the end of the presentation for the agency, before any general provisions.

**ALLOCATIONS BETWEEN AGENCIES**

In some cases, funds appropriated to the President or to an agency are allocated to one or more agencies that help to carry out a program. Obligations incurred under such allocations are included in the data for the account to which the appropriation is made in the allocating agency. The object classification schedule for such accounts identifies the amount of such obligations by performing agency. A note at the end of a bureau or equivalent grouping identifies allocations received from other agencies.

**BUDGETS FOR OFFICES OF INSPECTOR GENERAL**

The "separate statement of the budget estimate" for each Office of Inspector General, referenced in Section 6(f)(3)(A) of the Inspector General Act of 1978, as amended, is included in the respective congressional justification for that Office.

**BUDGETS NOT SUBJECT TO REVIEW**

In accordance with law or established practice, the presentations for the Legislative Branch, the Judiciary, the Milk Market Orders Assessment Fund of the Department of Agriculture, and the International Trade Commission have been included, without review, in the amounts submitted by the agencies.

The budgets of the privately owned Government-sponsored enterprises and the Board of Governors of the Federal Reserve System are not subject to review. Data for these entities are included for information purposes only.



# GENERAL PROVISIONS GOVERNMENT-WIDE

## GENERAL PROVISIONS

### DEPARTMENTS, AGENCIES, AND CORPORATIONS

SEC. 701. No department, agency, or instrumentality of the United States receiving appropriated funds under this or any other Act for fiscal year 2014 shall obligate or expend any such funds, unless such department, agency, or instrumentality has in place, and will continue to administer in good faith, a written policy designed to ensure that all of its workplaces are free from the illegal use, possession, or distribution of controlled substances (as defined in the Controlled Substances Act (21 U.S.C. 802)) by the officers and employees of such department, agency, or instrumentality.

SEC. 702. Unless otherwise specifically provided, the maximum amount allowable during the current fiscal year in accordance with subsection 1343(c) of title 31, United States Code, for the purchase of any passenger motor vehicle (exclusive of buses, ambulances, law enforcement, and undercover surveillance vehicles), is hereby fixed at \$13,197 except station wagons for which the maximum shall be \$13,631: Provided, That these limits may be exceeded by not to exceed \$3,700 for police-type vehicles, and by not to exceed \$4,000 for special heavy-duty vehicles: Provided further, That the limits set forth in this section may not be exceeded by more than 5 percent for electric or hybrid vehicles purchased for demonstration under the provisions of the Electric and Hybrid Vehicle Research, Development, and Demonstration Act of 1976: Provided further, That the limits set forth in this section may be exceeded by the incremental cost of clean alternative fuels vehicles acquired pursuant to Public Law 101-549 over the cost of comparable conventionally fueled vehicles: Provided further, That the limits set forth in this section shall not apply to any vehicle that is a commercial item and which operates on emerging motor vehicle technology, including but not limited to electric, plug-in hybrid electric, and hydrogen fuel cell vehicles.

SEC. 703. Appropriations of the executive departments and independent establishments for the current fiscal year available for expenses of travel, or for the expenses of the activity concerned, are hereby made available for quarters allowances and cost-of-living allowances, in accordance with 5 U.S.C. 5922-5924.

SEC. 704. Unless otherwise specified during the current fiscal year, no part of any appropriation contained in this or any other Act shall be used to pay the compensation of any officer or employee of the Government of the United States (including any agency the majority of the stock of which is owned by the Government of the United States) whose post of duty is in the continental United States unless such person: (1) is a citizen of the United States; (2) is a person who is lawfully admitted for permanent residence and is seeking citizenship as outlined in 8 U.S.C. 1324b(a)(3)(B); (3) is a person who is admitted as a refugee under 8 U.S.C. 1157 or is granted asylum under 8 U.S.C. 1158 and has filed a declaration of intention to become a lawful permanent resident and then a citizen when eligible; or (4) is a person who owes allegiance to the United States: Provided, That for purposes of this section, affidavits signed by any such person shall be considered prima facie evidence that the requirements of this section with respect to his or her status are being complied with: Provided further, That for purposes of subsections (2) and (3) such affidavits shall be submitted prior to employment and updated thereafter as necessary: Provided further, That any person making a false affidavit shall be guilty of a felony, and upon conviction, shall be fined no more than \$4,000 or imprisoned for not more than 1 year, or both: Provided further, That the above penal clause shall be in addition to, and not in substitution for, any other provisions of existing law: Provided further, That any payment made to any officer or employee contrary to the provisions of this section shall be recoverable in action by the Federal Government: Provided further, That this section shall not apply to any person who is an officer or employee of the Government of the United States on the date of enactment of this Act, or to international broadcasters employed by the Broadcasting Board of Governors, or to temporary employment of translators, or to temporary employment in the field service (not to exceed 60 days) as a result of emergencies: Provided further, That this section does not apply to the employment as Wildland firefighters for not more than 120 days of non-resident aliens employed by the Department of the Interior or the USDA Forest Service pursuant to an agreement with another country.

SEC. 705. Appropriations available to any department or agency during the current fiscal year for necessary expenses, including maintenance or

operating expenses, shall also be available for payment to the General Services Administration for charges for space and services and those expenses of renovation and alteration of buildings and facilities which constitute public improvements performed in accordance with the Public Buildings Act of 1959 (73 Stat. 479), the Public Buildings Amendments of 1972 (86 Stat. 216), or other applicable law.

SEC. 706. In addition to funds provided in this or any other Act, all Federal agencies are authorized to receive and use funds resulting from the sale of materials, including Federal records disposed of pursuant to a records schedule recovered through recycling or waste prevention programs. Such funds shall be available until expended for the following purposes:

(1) Acquisition, waste reduction and prevention, and recycling programs as described in Executive Order No. 13423 (January 24, 2007), including any such programs adopted prior to the effective date of the Executive order.

(2) Other Federal agency environmental management programs, including, but not limited to, the development and implementation of hazardous waste management and pollution prevention programs.

(3) Other employee programs as authorized by law or as deemed appropriate by the head of the Federal agency.

SEC. 707. Funds made available by this or any other Act for administrative expenses in the current fiscal year of the corporations and agencies subject to chapter 91 of title 31, United States Code, shall be available, in addition to objects for which such funds are otherwise available, for rent in the District of Columbia; services in accordance with 5 U.S.C. 3109; and the objects specified under this head, all the provisions of which shall be applicable to the expenditure of such funds unless otherwise specified in the Act by which they are made available: Provided, That in the event any functions budgeted as administrative expenses are subsequently transferred to or paid from other funds, the limitations on administrative expenses shall be correspondingly reduced.

SEC. 708. No part of any appropriation contained in this or any other Act shall be available for interagency financing of boards (except Federal Executive Boards), commissions, councils, committees, or similar groups (whether or not they are interagency entities) which do not have a prior and specific statutory approval to receive financial support from more than one agency or instrumentality.

SEC. 709. None of the funds made available pursuant to the provisions of this Act shall be used to implement, administer, or enforce any regulation which has been disapproved pursuant to a joint resolution duly adopted in accordance with the applicable law of the United States.

SEC. 710. During the period in which the head of any department or agency, or any other officer or civilian employee of the Federal Government appointed by the President of the United States, holds office, no funds may be obligated or expended in excess of \$5,000 to furnish or redecorate the office of such department head, agency head, officer, or employee, or to purchase furniture or make improvements for any such office, unless advance notice of such furnishing or redecoration is transmitted to the Committees on Appropriations of the House of Representatives and the Senate. For the purposes of this section, the term "office" shall include the entire suite of offices assigned to the individual, as well as any other space used primarily by the individual or the use of which is directly controlled by the individual.

SEC. 711. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of national security and emergency preparedness telecommunications initiatives which benefit multiple Federal departments, agencies, or entities, as provided by Executive Order No. 13618 (July 6, 2012).

SEC. 712. (a) None of the funds appropriated by this or any other Act may be obligated or expended by any Federal department, agency, or other instrumentality for the salaries or expenses of any employee appointed to a position of a confidential or policy-determining character excepted from the competitive service pursuant to 5 U.S.C. 3302, without a certification to the Office of Personnel Management from the head of the Federal department, agency, or other instrumentality employing the Schedule C appointee that the Schedule C position was not created solely or primarily in order to detail the employee to the White House.

(b) The provisions of this section shall not apply to Federal employees or members of the armed forces detailed to or from—

- (1) the Central Intelligence Agency;
- (2) the National Security Agency;
- (3) the Defense Intelligence Agency;
- (4) the National Geospatial-Intelligence Agency;
- (5) the offices within the Department of Defense for the collection of specialized national foreign intelligence through reconnaissance programs;
- (6) the Bureau of Intelligence and Research of the Department of State;
- (7) any agency, office, or unit of the Army, Navy, Air Force, or Marine Corps, the Department of Homeland Security, the Federal Bureau of Investigation or the Drug Enforcement Administration of the Department of Justice, the Department of Transportation, the Department of the Treasury, or the Department of Energy performing intelligence functions; or
- (8) the Director of National Intelligence or the Office of the Director of National Intelligence.

SEC. 713. None of the funds appropriated by this or any other Act may be used by an agency to provide a Federal employee's home address to any labor organization except when the employee has authorized such disclosure or when such disclosure has been ordered by a court of competent jurisdiction.

SEC. 714. (a) In this section, the term "agency"—

- (1) means an Executive agency, as defined under 5 U.S.C. 105; and
- (2) includes a military department, as defined under section 102 of such title, the Postal Service, and the Postal Regulatory Commission.

(b) Unless authorized in accordance with law or regulations to use such time for other purposes, an employee of an agency shall use official time in an honest effort to perform official duties. An employee not under a leave system, including a Presidential appointee exempted under 5 U.S.C. 6301(2), has an obligation to expend an honest effort and a reasonable proportion of such employee's time in the performance of official duties.

SEC. 715. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, funds made available for the current fiscal year by this or any other Act to any department or agency, which is a member of the Federal Accounting Standards Advisory Board (FASAB), shall be available to finance an appropriate share of FASAB administrative costs.

SEC. 716. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, the head of each Executive department and agency is hereby authorized to transfer to or reimburse "General Services Administration, Government-wide Policy" with the approval of the Director of the Office of Management and Budget, funds made available for the current fiscal year by this or any other Act, including rebates from charge card and other contracts: Provided, That these funds shall be administered by the Administrator of General Services to support Government-wide and other multi-agency financial, information technology, procurement, and other management innovations, initiatives, and activities, as approved by the Director of the Office of Management and Budget, in consultation with the appropriate interagency and multi-agency groups designated by the Director (including the President's Management Council for overall management improvement initiatives, the Chief Financial Officers Council for financial management initiatives, the Chief Information Officers Council for information technology initiatives, the Chief Human Capital Officers Council for human capital initiatives, the Chief Acquisition Officers Council for procurement initiatives, and the Performance Improvement Council for performance improvement initiatives): Provided further, That the total funds transferred or reimbursed shall not exceed \$17,000,000 for Government-Wide innovations, initiatives, and activities: Provided further, That the funds transferred to or for reimbursement of "General Services Administration, Government-wide Policy" during fiscal year 2014 shall remain available for obligation through September 30, 2015: Provided further, That such transfers or reimbursements may only be made after 15 days following notification of the Committees on Appropriations by the Director of the Office of Management and Budget.

SEC. 717. Notwithstanding any other provision of law, a woman may breastfeed her child at any location in a Federal building or on Federal property, if the woman and her child are otherwise authorized to be present at the location.

SEC. 718. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of specific projects, workshops, studies, and similar efforts to carry out the purposes of the National Science and Technology Council (authorized by Executive Order No. 12881), which benefit multiple Federal departments, agencies, or entities: Provided, That the Office of Management and Budget shall provide a report describing the budget of and resources connected with the National Science and Technology Council to the Committees on Appropriations, the House Committee on Science and Technology, and the Senate Committee on Commerce, Science, and Transportation 90 days after enactment of this Act.

SEC. 719. Any request for proposals, solicitation, grant application, form, notification, press release, or other publications involving the distribution of Federal funds shall indicate the agency providing the funds, the Catalog of Federal Domestic Assistance Number, as applicable, and the amount provided: Provided, That this provision shall apply to direct payments, formula funds, and grants received by a State receiving Federal funds.

SEC. 720. (a) PROHIBITION OF FEDERAL AGENCY MONITORING OF INDIVIDUALS' INTERNET USE.—None of the funds made available in this or any other Act may be used by any Federal agency—

- (1) to collect, review, or create any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any Federal Government Internet site of the agency; or
- (2) to enter into any agreement with a third party (including another government agency) to collect, review, or obtain any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any nongovernmental Internet site.

(b) EXCEPTIONS.—The limitations established in subsection (a) shall not apply to—

- (1) any record of aggregate data that does not identify particular persons;
- (2) any voluntary submission of personally identifiable information;
- (3) any action taken for law enforcement, regulatory, or supervisory purposes, in accordance with applicable law; or
- (4) any action described in subsection (a)(1) that is a system security action taken by the operator of an Internet site and is necessarily incident to providing the Internet site services or to protecting the rights or property of the provider of the Internet site.

(c) DEFINITIONS.—For the purposes of this section:

- (1) The term "regulatory" means agency actions to implement, interpret or enforce authorities provided in law.
- (2) The term "supervisory" means examinations of the agency's supervised institutions, including assessing safety and soundness, overall financial condition, management practices and policies and compliance with applicable standards as provided in law.

SEC. 721. (a) None of the funds appropriated by this Act may be used to enter into or renew a contract which includes a provision providing prescription drug coverage, except where the contract also includes a provision for contraceptive coverage.

(b) Nothing in this section shall apply to a contract with—

- (1) any of the following religious plans:

- (A) Personal Care's HMO; and
- (B) OSF HealthPlans, Inc.; and

- (2) any existing or future plan, if the carrier for the plan objects to such coverage on the basis of religious beliefs.

(c) In implementing this section, any plan that enters into or renews a contract under this section may not subject any individual to discrimination on the basis that the individual refuses to prescribe or otherwise provide for contraceptives because such activities would be contrary to the individual's religious beliefs or moral convictions.

(d) Nothing in this section shall be construed to require coverage of abortion or abortion-related services.

SEC. 722. The United States is committed to ensuring the health of its Olympic, Pan American, and Paralympic athletes, and supports the strict adherence to anti-doping in sport through testing, adjudication, education, and research as performed by nationally recognized oversight authorities.

SEC. 723. Notwithstanding any other provision of law, funds appropriated for official travel by Federal departments and agencies may be used

by such departments and agencies, if consistent with Office of Management and Budget Circular A-126 regarding official travel for Government personnel, to participate in the fractional aircraft ownership pilot program.

SEC. 724. Notwithstanding any other provision of law, none of the funds appropriated or made available under this Act or any other appropriations Act may be used to implement or enforce restrictions or limitations on the Coast Guard Congressional Fellowship Program, or to implement the proposed regulations of the Office of Personnel Management to add sections 300.311 through 300.316 to part 300 of title 5 of the Code of Federal Regulations, published in the Federal Register, volume 68, number 174, on September 9, 2003 (relating to the detail of executive branch employees to the legislative branch).

SEC. 725. Notwithstanding any other provision of law, no executive branch agency shall purchase, construct, and/or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without advance notice to the Committees on Appropriations of the House of Representatives and the Senate, except that the Federal Law Enforcement Training Center is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training which cannot be accommodated in existing Center facilities.

SEC. 726. None of the funds appropriated or otherwise made available by this or any other Act may be used to begin or announce a study or public-private competition regarding the conversion to contractor performance of any function performed by Federal employees pursuant to Office of Management and Budget Circular A-76 or any other administrative regulation, directive, or policy.

SEC. 727. Unless otherwise authorized by existing law, none of the funds provided in this Act or any other Act may be used by an executive branch agency to produce any prepackaged news story intended for broadcast or distribution in the United States, unless the story includes a clear notification within the text or audio of the prepackaged news story that the prepackaged news story was prepared or funded by that executive branch agency.

SEC. 728. Each executive department and agency shall evaluate the creditworthiness of an individual before issuing the individual a government travel charge card. Such evaluations for individually billed travel charge cards shall include an assessment of the individual's consumer report from a consumer reporting agency as those terms are defined in section 603 of the Fair Credit Reporting Act (Public Law 91-508): Provided, That the department or agency may not issue a government travel charge card to an individual that either lacks a credit history or is found to have an unsatisfactory credit history as a result of this evaluation: Provided further, That this restriction shall not preclude issuance of a restricted-use charge, debit, or stored value card made in accordance with agency procedures to: (1) an individual with an unsatisfactory credit history where such card is used to pay travel expenses and the agency determines there is no suitable alternative payment mechanism available before issuing the card; or (2) an individual who lacks a credit history. Each executive department and agency shall establish guidelines and procedures for disciplinary actions to be taken against agency personnel for improper, fraudulent, or abusive use of government charge cards, which shall include appropriate disciplinary actions for use of charge cards for purposes, and at establishments, that are inconsistent with the official business of the Department or agency or with applicable standards of conduct.

SEC. 729. (a) IN GENERAL.—None of the funds appropriated or otherwise made available by this or any other Act may be used for any Federal Government contract with any foreign incorporated entity which is treated as an inverted domestic corporation under section 835(b) of the Homeland Security Act of 2002 (6 U.S.C. 395(b)) or any subsidiary of such an entity.

(b) WAIVERS.—

(1) IN GENERAL.—Any Secretary shall waive subsection (a) with respect to any Federal Government contract under the authority of such Secretary if the Secretary determines that the waiver is required in the interest of national security.

(2) REPORT TO CONGRESS.—Any Secretary issuing a waiver under paragraph (1) shall report such issuance to Congress.

(c) EXCEPTION.—This section shall not apply to any Federal Government contract entered into before the date of the enactment of this Act, or to any task order issued pursuant to such contract.

SEC. 730. None of the funds made available by this or any other Act may be used to implement, administer, enforce, or apply the rule entitled

"Competitive Area" published by the Office of Personnel Management in the Federal Register on April 15, 2008 (73 Fed. Reg. 20180 et seq.).

SEC. 731. During fiscal year 2014, for each employee who—

(1) retires under section 8336(d)(2) or 8414(b)(1)(B) of title 5, United States Code, or

(2) retires under any other provision of subchapter III of chapter 83 or chapter 84 of such title 5 and receives a payment as an incentive to separate, the separating agency shall remit to the Civil Service Retirement and Disability Fund an amount equal to the Office of Personnel Management's average unit cost of processing a retirement claim for the preceding fiscal year. Such amounts shall be available until expended to the Office of Personnel Management and shall be deemed to be an administrative expense under section 8348(a)(1)(B) of title 5, United States Code.

SEC. 732. (a) The Vice President may not receive a pay raise in calendar year 2013, notwithstanding section 104 of title 3, United States Code, or any other provision of law.

(b) An individual serving in an Executive Schedule position, or in a position for which the rate of pay is fixed by statute at an Executive Schedule rate, may not receive a pay rate increase in calendar year 2014, notwithstanding schedule adjustments made under section 5318 of title 5, United States Code, or any other provision of law, except as provided in subsection (g) or (h). The preceding sentence applies only to individuals who are holding a position in which they serve at the pleasure of the President or other appointing official.

(c) A chief of mission or ambassador at large may not receive a pay rate increase in calendar year 2014, notwithstanding section 401 of the Foreign Service Act of 1980 (Public Law 96-465) or any other provision of law, except as provided in subsection (g) or (h).

(d) A noncareer appointee in the Senior Executive Service may not receive a pay rate increase in calendar year 2014, notwithstanding sections 5382 and 5383 of title 5, United States Code.

(e) Any employee paid a rate of basic pay (including locality-based payments under section 5304 of title 5, United States Code, or similar authority) at or above level IV of the Executive Schedule who serves at the pleasure of the appointing official may not receive a pay rate increase in calendar year 2014, notwithstanding any other provision of law, except as provided in subsection (g) or (h). This subsection does not apply to employees in the General Schedule pay system or the Foreign Service pay system, or to employees appointed under 5 U.S.C. 3161, or to employees in another pay system whose position would be classified at GS-15 or below if chapter 51 of title 5, United States Code, applied to them.

(f) Nothing in this section shall prevent employees who do not serve at the pleasure of the appointing official from receiving pay increases as otherwise provided under applicable law.

(g) A career appointee in the Senior Executive Service who receives a Presidential appointment and who makes an election to retain Senior Executive Service basic pay entitlements under section 3392 of title 5, United States Code, is not subject to this section.

(h) A member of Senior Foreign Service who receives a Presidential appointment to any position in the executive branch and who makes an election to retain Senior Foreign Service pay entitlements under section 302(b) of the Foreign Service Act of 1980 (Public Law 96-465) is not subject to this section.

SEC. 733. (a) Notwithstanding any other provision of law, and except as otherwise provided in this section, no part of any of the funds appropriated for fiscal year 2014, by this or any other Act, may be used to pay any prevailing rate employee described in section 5342(a)(2)(A) of title 5, United States Code—

(1) during the period from the date of expiration of the limitation imposed by the comparable section for previous fiscal years until the normal effective date of the applicable wage survey adjustment that is to take effect in fiscal year 2014, in an amount that exceeds the rate payable for the applicable grade and step of the applicable wage schedule in accordance with such section; and

(2) during the period consisting of the remainder of fiscal year 2014, in an amount that exceeds, as a result of a wage survey adjustment, the rate payable under paragraph (1) by more than the sum of

(A) the percentage adjustment taking effect in fiscal year 2014 under section 5303 of title 5, United States Code, in the rates of pay under the General Schedule; and

(B) the difference between the overall average percentage of the locality-based comparability payments taking effect in fiscal year 2014 under section 5304 of such title (whether by adjustment or otherwise), and the overall average percentage of such payments which was effective in the previous fiscal year under such section.

(b) Notwithstanding any other provision of law, no prevailing rate employee described in subparagraph (B) or (C) of section 5342(a)(2) of title 5, United States Code, and no employee covered by section 5348 of such title, may be paid during the periods for which subsection (a) is in effect at a rate that exceeds the rates that would be payable under subsection (a) were subsection (a) applicable to such employee.

(c) For the purposes of this section, the rates payable to an employee who is covered by this section and who is paid from a schedule not in existence on September 30, 2013, shall be determined under regulations prescribed by the Office of Personnel Management.

(d) Notwithstanding any other provision of law, rates of premium pay for employees subject to this section may not be changed from the rates in effect on September 30, 2013, except to the extent determined by the Office of Personnel Management to be consistent with the purpose of this section.

(e) This section shall apply with respect to pay for service performed after September 30, 2013.

(f) For the purpose of administering any provision of law (including any rule or regulation that provides premium pay, retirement, life insurance, or any other employee benefit) that requires any deduction or contribution, or that imposes any requirement or limitation on the basis of a rate of salary or basic pay, the rate of salary or basic pay payable after the application of this section shall be treated as the rate of salary or basic pay.

(g) Nothing in this section shall be considered to permit or require the payment to any employee covered by this section at a rate in excess of the rate that would be payable were this section not in effect.

(h) The Office of Personnel Management may provide for exceptions to the limitations imposed by this section if the Office determines that such exceptions are necessary to ensure the recruitment or retention of qualified employees.

SEC. 734. (a) Funds made available and used for Pay for Success projects in this or any other Act:

(1) shall support performance-based awards that—

(A) are designed to—

(i) promote innovative strategies to reduce the aggregate level of government investment needed to achieve successful outcomes; and

(ii) impose minimal administrative requirements on service providers, so as to allow for maximum flexibility to improve efficiency and effectiveness;

(B) are between the agency administering the appropriated funds and—

(i) a not-for-profit or other entity that is a provider of services;

(ii) a not-for-profit or other entity that arranges for the provision of services; or

(iii) a State, local, or tribal government that is providing services or contracting for the provision of services;

(C) specify—

(i) that the administering agency will disburse funds to the recipient of the award upon a determination by such agency that one or more outcomes (as specified in the award) have been achieved, and that such determination shall be made through the use of reliable, objective outcome-measurement methodologies that are set forth in the award;

(ii) the affected population, the outcomes to be achieved, and the reliable, objective outcome-measurement methodologies that will

be used to determine whether the specified outcomes have been achieved;

(D) where appropriate, are accompanied by waivers of non statutory administrative requirements; and

(E) are issued and administered by an agency under any existing authority (such as in the form of a grant, cooperative agreement, or other type of assistance), based on a pre-award assessment by the agency, taking into account the amount of the proposed award, the likelihood of achieving the specified outcomes, the anticipated return on investment, and other relevant factors;

(2) may be used for the expenses of a neutral evaluation of outcome measures, outcome-measurement methodologies, or achievement of outcomes under a Pay for Success project or other expenses that support achievement of outcomes; and

(3) shall, upon obligation, remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552.

(A) If later deobligated, in whole or in part, such deobligated amounts shall be available until expended for obligation for new Pay for Success projects, except to the extent that this or any other Act specifies another purpose for such deobligated amounts

(B) The Director of the Office of Management and Budget shall issue guidance to Federal agencies on carrying out Pay for Success projects.

SEC. 735. The Director of the Office of Management and Budget shall report on at least a quarterly basis to the Committees on Appropriations of the House of Representatives and Senate on the status of unexpired, unobligated balances of budget authority in executive branch agencies: Provided, That the Director shall submit the reports not later than 30 days after the end of a fiscal-year quarter: Provided further, That the reports shall, to the extent practicable, separately identify unexpired, unobligated balances of budget authority for discretionary appropriations and direct spending, as those terms are defined in section 250(c)(7) and (8) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended (2 U.S.C. 900(c)(7), (8)): Provided further, That, with respect to unexpired, unobligated balances of budget authority for discretionary appropriations, the reports shall, to the extent practicable, separately identify (1) those balances that are available to fund reimbursable obligations as defined by OMB Circular No. A-11; and (2) all other balances of discretionary budget authority: Provided further, That each agency shall submit to the Director such information as the Director requires for these reports, at such time and in such manner as the Director shall specify.

SEC. 736. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that has any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless a federal agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

SEC. 737. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that was convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless a federal agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

# LEGISLATIVE BRANCH

## SENATE

### Federal Funds

#### EXPENSE ALLOWANCES

*For expense allowances of the Vice President, \$18,760; the President Pro Tempore of the Senate, \$37,520; Majority Leader of the Senate, \$39,920; Minority Leader of the Senate, \$39,920; Majority Whip of the Senate, \$9,980; Minority Whip of the Senate, \$9,980; Chairmen of the Majority and Minority Conference Committees, \$4,690 for each Chairman; and Chairmen of the Majority and Minority Policy Committees, \$4,690 for each Chairman; in all, \$174,840.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### REPRESENTATION ALLOWANCES FOR THE MAJORITY AND MINORITY LEADERS

*For representation allowances of the Majority and Minority Leaders of the Senate, \$14,070 for each such Leader; in all, \$28,140.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### SALARIES, OFFICERS AND EMPLOYEES

*For compensation of officers, employees, and others as authorized by law, including agency contributions, \$183,642,590, which shall be paid from this appropriation without regard to the following limitations:*

##### OFFICE OF THE VICE PRESIDENT

*For the Office of the Vice President, \$2,414,248.*

##### OFFICE OF THE PRESIDENT PRO TEMPORE

*For the Office of the President Pro Tempore, \$722,466.*

##### OFFICES OF THE MAJORITY AND MINORITY LEADERS

*For Offices of the Majority and Minority Leaders, \$5,201,576.*

##### OFFICES OF THE MAJORITY AND MINORITY WHIPS

*For Offices of the Majority and Minority Whips, \$3,359,424.*

##### COMMITTEE ON APPROPRIATIONS

*For salaries of the Committee on Appropriations, \$15,140,000.*

##### CONFERENCE COMMITTEES

*For the Conference of the Majority and the Conference of the Minority, at rates of compensation to be fixed by the Chairman of each such committee, \$1,658,195 for each such committee; in all, \$3,316,390.*

##### OFFICES OF THE SECRETARIES OF THE CONFERENCE OF THE MAJORITY AND THE CONFERENCE OF THE MINORITY

*For Offices of the Secretaries of the Conference of the Majority and the Conference of the Minority, \$813,402.*

##### POLICY COMMITTEES

*For salaries of the Majority Policy Committee and the Minority Policy Committee, \$1,692,905 for each such committee; in all, \$3,385,810.*

##### OFFICE OF THE CHAPLAIN

*For Office of the Chaplain, \$416,886.*

##### OFFICE OF THE SECRETARY

*For Office of the Secretary, \$25,153,000.*

##### OFFICE OF THE SERGEANT AT ARMS AND DOORKEEPER

*For Office of the Sergeant at Arms and Doorkeeper, \$75,157,000.*

#### OFFICES OF THE SECRETARIES FOR THE MAJORITY AND MINORITY

*For Offices of the Secretary for the Majority and the Secretary for the Minority, \$1,764,388.*

#### AGENCY CONTRIBUTIONS AND RELATED EXPENSES

*For agency contributions for employee benefits, as authorized by law, and related expenses, \$46,798,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### OFFICE OF THE LEGISLATIVE COUNSEL OF THE SENATE

*For salaries and expenses of the Office of the Legislative Counsel of the Senate, \$7,150,300.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### OFFICE OF SENATE LEGAL COUNSEL

*For salaries and expenses of the Office of Senate Legal Counsel, \$1,480,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### EXPENSE ALLOWANCES OF THE SECRETARY OF THE SENATE, SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE, AND SECRETARIES FOR THE MAJORITY AND MINORITY OF THE SENATE

*For expense allowances of the Secretary of the Senate, \$7,110; Sergeant at Arms and Doorkeeper of the Senate, \$7,110; Secretary for the Majority of the Senate, \$7,110; Secretary for the Minority of the Senate, \$7,110; in all, \$28,440.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### CONTINGENT EXPENSES OF THE SENATE

##### INQUIRIES AND INVESTIGATIONS

*For expenses of inquiries and investigations ordered by the Senate, or conducted under paragraph 1 of rule XXVI of the Standing Rules of the Senate, section 112 of the Supplemental Appropriations and Rescission Act, 1980 (Public Law 96–304), and Senate Resolution 281, 96th Congress, agreed to March 11, 1980, \$134,000,000, of which \$27,188,600 shall be available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### EXPENSES OF THE UNITED STATES SENATE CAUCUS ON INTERNATIONAL NARCOTICS CONTROL

*For expenses of the United States Senate Caucus on International Narcotics Control, \$520,000.*

EXPENSES OF THE UNITED STATES SENATE CAUCUS ON INTERNATIONAL  
NARCOTICS CONTROL—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## SECRETARY OF THE SENATE

*For expenses of the Office of the Secretary of the Senate \$5,816,344 of which \$4,200,000 shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE

*For expenses of the Office of the Sergeant at Arms and Doorkeeper of the Senate, \$145,240,000, which shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## MISCELLANEOUS ITEMS

*For miscellaneous items, \$19,553,000, which shall remain available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT

*For Senators' Official Personnel and Office Expense Account, \$394,202,000 of which \$18,764,015 shall remain available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## OFFICIAL MAIL COSTS

*For expenses necessary for official mail costs of the Senate, \$300,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## HOUSE OF REPRESENTATIVES

## Federal Funds

## SALARIES AND EXPENSES

*For salaries and expenses of the House of Representatives, \$1,233,181,161, as follows:*

## HOUSE LEADERSHIP OFFICES

*For salaries and expenses, as authorized by law, \$23,418,221, including: Office of the Speaker, \$6,985,260, including \$25,000 for official expenses of the Speaker; Office of the Majority Floor Leader, \$2,291,534, including*

*\$10,000 for official expenses of the Majority Leader; Office of the Minority Floor Leader, \$7,478,301, including \$10,000 for official expenses of the Minority Leader; Office of the Majority Whip, including the Chief Deputy Majority Whip, \$1,983,113, including \$5,000 for official expenses of the Majority Whip; Office of the Minority Whip, including the Chief Deputy Minority Whip, \$1,534,284, including \$5,000 for official expenses of the Minority Whip; Republican Conference, \$1,582,413; Democratic Caucus, \$1,563,316: Provided, That such amount for salaries and expenses shall remain available from January 3, 2014, until January 2, 2015.*

## MEMBERS' REPRESENTATIONAL ALLOWANCES

INCLUDING MEMBERS' CLERK HIRE, OFFICIAL EXPENSES OF MEMBERS, AND  
OFFICIAL MAIL

*For Members' representational allowances, including Members' clerk hire, official expenses, and official mail, \$577,451,791.*

## COMMITTEE EMPLOYEES

## STANDING COMMITTEES, SPECIAL AND SELECT

*For salaries and expenses of standing committees, special and select, authorized by House resolutions, \$126,735,775: Provided, That such amount shall remain available for such salaries and expenses until December 31, 2014.*

## COMMITTEE ON APPROPRIATIONS

*For salaries and expenses of the Committee on Appropriations, \$26,828,980, including studies and examinations of executive agencies and temporary personal services for such committee, to be expended in accordance with section 202(b) of the Legislative Reorganization Act of 1946 and to be available for reimbursement to agencies for services performed: Provided, That such amount shall remain available for such salaries and expenses until December 31, 2014.*

## SALARIES, OFFICERS AND EMPLOYEES

*For salaries and expenses of officers and employees, as authorized by law, \$184,570,047, including: for salaries and expenses of the Office of the Clerk, including the positions of the Chaplain and the Historian, and including not more than \$23,000, of which not more than \$20,000 is for the Family Room, for official representation and reception expenses, \$24,009,473; for salaries and expenses of the Office of the Sergeant at Arms, including the position of Superintendent of Garages and the Office of Emergency Management, and including not more than \$3,000 for official representation and reception expenses, \$12,662,020 of which \$4,472,203 shall remain available until expended; for salaries and expenses of the Office of the Chief Administrative Officer including not more than \$3,000 for official representation and reception expenses, \$123,557,834, of which \$9,110,095 shall remain available until expended; for salaries and expenses of the Office of the Inspector General, \$4,741,809; for salaries and expenses of the Office of General Counsel, \$1,423,660; for salaries and expenses of the Office of the Parliamentarian, including the Parliamentarian, \$2,000 for preparing the Digest of Rules, and not more than \$1,000 for official representation and reception expenses, \$2,072,607; for salaries and expenses of the Office of the Law Revision Counsel of the House, \$5,069,043; for salaries and expenses of the Office of the Legislative Counsel of the House, \$9,727,702; for salaries and expenses of the Office of Interparliamentary Affairs, \$864,257; and for other authorized employees, \$441,642.*

## ALLOWANCES AND EXPENSES

*For allowances and expenses as authorized by House resolution or law, \$294,176,347, including: supplies, materials, administrative costs and Federal tort claims, \$3,718,738; official mail for committees, leadership offices, and administrative offices of the House, \$202,230; Government contributions for health, retirement, Social Security, and other applicable employee benefits, \$266,469,087; Business Continuity and Disaster Recovery, \$17,216,798, of which \$5,000,000 shall remain available until expended; transition activities for new members and staff, \$1,732,069, to remain available until expended; Wounded Warrior Program \$2,515,300, to remain available until expended; Office of Congressional Ethics, \$1,557,474; and miscellaneous items including purchase, exchange, maintenance, repair and operation of House motor vehicles, interparliamentary receptions, and gratuities to heirs of deceased employees of the House, \$764,651.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is oper-

ating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

ADMINISTRATIVE PROVISIONS

**SEC. 101. (a) REQUIRING AMOUNTS REMAINING IN MEMBERS' REPRESENTATIONAL ALLOWANCES TO BE USED FOR DEFICIT REDUCTION OR TO REDUCE THE FEDERAL DEBT.**—Notwithstanding any other provision of law, any amounts appropriated under this Act for "HOUSE OF REPRESENTATIVES—SALARIES AND EXPENSES—MEMBERS' REPRESENTATIONAL ALLOWANCES" shall be available only for fiscal year 2014. Any amount remaining after all payments are made under such allowances for fiscal year 2014 shall be deposited in the Treasury and used for deficit reduction (or, if there is no Federal budget deficit after all such payments have been made, for reducing the Federal debt, in such manner as the Secretary of the Treasury considers appropriate).

(b) **REGULATIONS.**—The Committee on House Administration of the House of Representatives shall have authority to prescribe regulations to carry out this section.

(c) **DEFINITION.**—As used in this section, the term "Member of the House of Representatives" means a Representative in, or a Delegate or Resident Commissioner to, the Congress.

**Sec. 102. Termination of House of Representatives Child Care Center Advisory Board.** Section 312 of the Legislative Branch Appropriations Act, 1992 (2 U.S.C 2062) is amended by striking subsection (b).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

JOINT ITEMS

Federal Funds

For Joint Committees, as follows:

JOINT ECONOMIC COMMITTEE

For salaries and expenses of the Joint Economic Committee, \$4,279,000, to be disbursed by the Secretary of the Senate.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

JOINT COMMITTEE ON TAXATION

For salaries and expenses of the Joint Committee on Taxation, \$10,065,224, to be disbursed by the Chief Administrative Officer of the House of Representatives.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

For other joint items, as follows:

OFFICE OF THE ATTENDING PHYSICIAN

For medical supplies, equipment, and contingent expenses of the emergency rooms, and for the Attending Physician and his assistants, including: (1) an allowance of \$2,175 per month to the Attending Physician; (2) an allowance of \$1,300 per month to the Senior Medical Officer; (3) an allowance of \$725 per month each to three medical officers while on duty in the Office of the Attending Physician; (4) an allowance of \$725 per month to 2 assistants and \$580 per month each not to exceed 11 assistants on the basis heretofore provided for such assistants; and (5) \$2,625,000 for reimbursement to the Department of the Navy for expenses incurred for staff and equipment assigned to the Office of the Attending Physician, which shall be advanced and credited to the applicable appropriation or

appropriations from which such salaries, allowances, and other expenses are payable and shall be available for all the purposes thereof, \$3,420,808, to be disbursed by the Chief Administrative Officer of the House of Representatives.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

OFFICE OF CONGRESSIONAL ACCESSIBILITY SERVICES

SALARIES AND EXPENSES

For salaries and expenses of the Office of Congressional Accessibility Services, \$1,387,000, to be disbursed by the Secretary of the Senate.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

CAPITOL POLICE

Federal Funds

SALARIES

For salaries of employees of the Capitol Police, including overtime, hazardous duty pay, and Government contributions for health, retirement, social security, professional liability insurance, and other applicable employee benefits, \$297,863,000, to be disbursed by the Chief of the Capitol Police or his designee.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 02-0477-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	277	279	298
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	277	279	298
1121 Appropriations transferred from other accts [02-0476] ....	4		
1160 Appropriation, discretionary (total) .....	281	279	298
1930 Total budgetary resources available .....	281	279	298
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	20	20
3010 Obligations incurred, unexpired accounts .....	277	279	298
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-279	-279	-297
3050 Unpaid obligations, end of year .....	20	20	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	20	20
3200 Obligated balance, end of year .....	20	20	21
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	281	279	298
Outlays, gross:			
4010 Outlays from new discretionary authority .....	260	259	277
4011 Outlays from discretionary balances .....	19	20	20
4020 Outlays, gross (total) .....	279	279	297
4180 Budget authority, net (total) .....	281	279	298
4190 Outlays, net (total) .....	279	279	297

SALARIES—Continued

Object Classification (in millions of dollars)

Identification code 02-0477-0-1-801	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	210	213	227
12.1 Civilian personnel benefits .....	67	66	71
99.9 Total new obligations .....	277	279	298

Employment Summary

Identification code 02-0477-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,131	2,145	2,145

GENERAL EXPENSES

For necessary expenses of the Capitol Police, including motor vehicles, communications and other equipment, security equipment and installation, uniforms, weapons, supplies, materials, training, medical services, forensic services, stenographic services, personal and professional services, the employee assistance program, the awards program, postage, communication services, travel advances, relocation of instructor and liaison personnel for the Federal Law Enforcement Training Center, and not more than \$5,000 to be expended on the certification of the Chief of the Capitol Police in connection with official representation and reception expenses, \$65,433,000, to be disbursed by the Chief of the Capitol Police or his designee: Provided, That, notwithstanding any other provision of law, the cost of basic training for the Capitol Police at the Federal Law Enforcement Training Center for fiscal year 2014 shall be paid by the Secretary of Homeland Security from funds available to the Department of Homeland Security.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 02-0476-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	61	63	65
0801 Reimbursable program activity .....	16		
0900 Total new obligations .....	77	63	65

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	9	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	63	63	65
1120 Appropriations transferred to other accts [02-0477] .....	-4		
1160 Appropriation, discretionary (total) .....	59	63	65
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	16		
1750 Spending auth from offsetting collections, disc (total) .....	16		
1900 Budget authority (total) .....	75	63	65
1930 Total budgetary resources available .....	87	72	74
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	9	9	9

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	51	53
3010 Obligations incurred, unexpired accounts .....	77	63	65
3020 Outlays (gross) .....	-70	-61	-84
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	51	53	34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	46	51	53

3200 Obligated balance, end of year .....	51	53	34
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Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	75	63	65
Outlays, gross:			
4010 Outlays from new discretionary authority .....	29	38	39
4011 Outlays from discretionary balances .....	41	23	45
4020 Outlays, gross (total) .....	70	61	84
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-16		
4180 Budget authority, net (total) .....	59	63	65
4190 Outlays, net (total) .....	54	61	84

Object Classification (in millions of dollars)

Identification code 02-0476-0-1-801	2012 actual	2013 CR	2014 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	11	9	10
23.3 Communications, utilities, and miscellaneous charges .....	3	3	5
25.2 Other services from non-Federal sources .....	27	39	32
26.0 Supplies and materials .....	3	4	6
31.0 Equipment .....	17	8	12
99.0 Direct obligations .....	61	63	65
99.0 Reimbursable obligations .....	16		
99.9 Total new obligations .....	77	63	65

SECURITY ENHANCEMENTS

Program and Financing (in millions of dollars)

Identification code 02-0461-0-1-801	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

SEC. 1101. During fiscal year 2014 and any succeeding fiscal year, the Capitol Police may transfer amounts appropriated for the fiscal year between the category for "Salaries" and the category for "General expenses" upon the approval of the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 1102. (a) In General. Available balances of expired United States Capitol Police appropriations shall be available to the Capitol Police to make the deposit to the credit of the Employees' Compensation Fund required by section 8147(b) of title 5, United States Code.

(b) Conforming Amendment. Section 1018 of the Legislative Branch Appropriations Act, 2003 (2 U.S.C.1907) is amended by striking subsection (f).

(c) Effective Date. This section shall apply with respect to appropriations for fiscal year 2014 and each fiscal year thereafter.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**OFFICE OF COMPLIANCE**

**Federal Funds**

**SALARIES AND EXPENSES**

For salaries and expenses of the Office of Compliance, as authorized by section 305 of the Congressional Accountability Act of 1995 (2 U.S.C. 1385), \$4,482,000, of which \$780,000 shall remain available until September 30, 2015: Provided, That not more than \$500 may be expended on the certification of the Executive Director of the Office of Compliance in connection with official representation and reception expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 09–1600–0–1–801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	4	4	4
0900 Total new obligations .....	4	4	4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1160 Appropriation, discretionary (total) .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	–4	–4	–4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

The Congressional Accountability Act of 1995 (CAA) established an independent Office of Compliance to apply the rights and protections of the following labor and employment statutes to covered employees within the Legislative Branch: the Fair Labor Standards Act of 1938, Title VII of the Civil Rights Act of 1964, the Americans with Disabilities Act of 1990, the Age Discrimination in Employment Act of 1967, the Family and Medical Leave Act of 1993, the Occupational Safety and Health Act of 1970, chapter 71 of title 5 of the U.S. Code (relating to Federal service labor-management relations), the Employee Polygraph Protection Act of 1988, the Worker Adjustment and Retraining Notification Act, the Rehabilitation Act of 1973, and chapter 43 of title 38 of the U.S. Code (relating to veterans' employment and reemployment). This Act was amended in 1998 to apply the Veterans Employment Opportunities Act. In 2008, the CAA was amended to apply the Genetic Information and Nondiscrimination Act of 2008.

The Office provides employees and employing offices with an independent, neutral dispute resolution process, as an alternative to the court system, through which they may adjudicate claims under the laws applied by the CAA. The Office is headed by a five-member Board of Directors, who are appointed jointly by the House and Senate majority and minority leadership.

**Object Classification** (in millions of dollars)

Identification code 09–1600–0–1–801	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5 Below reporting threshold .....	2	2	2
99.9 Total new obligations .....	4	4	4

**Employment Summary**

Identification code 09–1600–0–1–801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	22	22	22

**AWARDS AND SETTLEMENTS FUNDS**

Section 415 of the Congressional Accountability Act (CAA) established "an account of the Office in the Treasury of the United States for the payment of awards and settlements under this Act," and further authorized to be appropriated "such sums as may be necessary to pay such awards and settlements." Section 415 stipulated that awards and settlements under the CAA should only be paid from that account, which was to be kept separate from the operating expenses account of the Office of Compliance.

The Legislative Branch Appropriations Acts have appropriated funds for awards and settlements under the CAA by means of the following language:

Such sums as may be necessary are appropriated to the account described in subsection (a) of section 415 of Public Law 104–1 to pay awards and settlements as authorized under such subsection.

**CONGRESSIONAL BUDGET OFFICE**

**Federal Funds**

**SALARIES AND EXPENSES**

For salaries and expenses necessary for operation of the Congressional Budget Office, including not more than \$6,000 to be expended on the certification of the Director of the Congressional Budget Office in connection with official representation and reception expenses, \$45,700,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 08–0100–0–1–801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	44	44	46
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	44	44	46
1160 Appropriation, discretionary (total) .....	44	44	46
1930 Total budgetary resources available .....	44	44	46
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	4	4
3010 Obligations incurred, unexpired accounts .....	44	44	46
3020 Outlays (gross) .....	–45	–44	–46
3050 Unpaid obligations, end of year .....	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	4	4
3200 Obligated balance, end of year .....	4	4	4

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 08-0100-0-1-801	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	44	44	46
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40	40	41
4011 Outlays from discretionary balances .....	5	4	5
4020 Outlays, gross (total) .....	45	44	46
4180 Budget authority, net (total) .....	44	44	46
4190 Outlays, net (total) .....	45	44	46

The Congressional Budget Office (CBO) was established as a non-partisan office of Congress by Title II of the Congressional Budget and Impoundment Control Act of 1974 (2 U.S.C. 601 et seq.). CBO provides objective economic and budgetary analysis and information to assist Congress in the fulfillment of its responsibilities. That information includes forecasts of the economy, analyses of economic trends and alternative fiscal policies, long-term projections of federal spending and revenue, and, upon request, studies on budget-related issues. In addition, CBO provides Congress with multi-year cost estimates for reported bills, as well as analyses of the costs of state, local, tribal, or private sector mandates.

Object Classification (in millions of dollars)

Identification code 08-0100-0-1-801	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	30	30	31
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	31	31	32
12.1 Civilian personnel benefits .....	10	10	10
25.2 Other services from non-Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	44	44	46

Employment Summary

Identification code 08-0100-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	237	233	235

ADMINISTRATIVE PROVISION

FUNDS AVAILABLE FOR EMPLOYEES WITH NON-IMMIGRANT VISAS

SEC. 1. During fiscal year 2014, appropriations available to the Congressional Budget Office may be used to pay the compensation of employees in specialty occupations with non-immigrant visas.

ACCEPTANCE OF VOLUNTARY STUDENT SERVICES

SEC. 2. Voluntary Services

(a) Section 3111(e) of title 5, United States Code, is amended—

(1) by striking "(e)" and inserting "(e)(1)" and

(2) by adding at the end the following new paragraph: "(2) In this section, the term 'agency' includes the Congressional Budget Office, except that in the case of the Congressional Budget Office—

(A) any student who provides voluntary service in accordance with this section shall be considered an employee of the Congressional Budget Office for purposes of section 203 of the Congressional Budget Act of 1974 (relating to the level of confidentiality of budget data); and

(B) the authority granted to the Office of Personnel Management under this section shall be exercised by the Director of the Congressional Budget Office."

(b) The amendment made by subsection (a) shall apply with respect to fiscal year 2014 and each succeeding fiscal year.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

ARCHITECT OF THE CAPITOL

Federal Funds

GENERAL ADMINISTRATION

For salaries for the Architect of the Capitol, and other personal services, at rates of pay provided by law; for surveys and studies in connection with activities under the care of the Architect of the Capitol; for all necessary expenses for the general and administrative support of the operations under the Architect of the Capitol including the Botanic Garden; electrical substations of the Capitol, Senate and House office buildings, and other facilities under the jurisdiction of the Architect of the Capitol; including furnishings and office equipment; including not more than \$5,000 for official reception and representation expenses, to be expended as the Architect of the Capitol may approve; for purchase or exchange, maintenance, and operation of a passenger motor vehicle, \$100,099,000, of which \$599,000 shall remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 01-0100-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	100	102	100
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	101	102	100
1160 Appropriation, discretionary (total) .....	101	102	100
1930 Total budgetary resources available .....	104	105	103
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	3	3	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	38	28	32
3010 Obligations incurred, unexpired accounts .....	100	102	100
3011 Obligations incurred, expired accounts .....	27		
3020 Outlays (gross) .....	-109	-98	-115
3041 Recoveries of prior year unpaid obligations, expired .....	-28		
3050 Unpaid obligations, end of year .....	28	32	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	38	28	32
3200 Obligated balance, end of year .....	28	32	17

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	101	102	100
Outlays, gross:			
4010 Outlays from new discretionary authority .....	79	92	90
4011 Outlays from discretionary balances .....	30	6	25
4020 Outlays, gross (total) .....	109	98	115
4180 Budget authority, net (total) .....	101	102	100
4190 Outlays, net (total) .....	109	98	115

**Object Classification** (in millions of dollars)

Identification code 01-0100-0-1-801	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	38	38	39
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	39	40	41
12.1 Civilian personnel benefits .....	17	18	18
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	20	20	20
25.2 Other services from non-Federal sources .....	4	3	3
25.4 Operation and maintenance of facilities .....	14	14	13
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	3	4	3
32.0 Land and structures .....	1	1	1
99.9 Total new obligations .....	100	102	100

**Employment Summary**

Identification code 01-0100-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	382	400	400

**CAPITOL BUILDING**

For all necessary expenses for the maintenance, care and operation of the Capitol, \$61,575,000, of which \$36,040,000 shall remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 01-0105-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	40	36	62
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	28	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	36	36	62
1121 Appropriations transferred from other accts [01-0161] .....	1	1	1
1160 Appropriation, discretionary (total) .....	37	36	62
1900 Budget authority (total) .....	37	36	62
1930 Total budgetary resources available .....	68	64	90
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	28	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	13	14
3010 Obligations incurred, unexpired accounts .....	40	36	62
3011 Obligations incurred, expired accounts .....	6	6	6
3020 Outlays (gross) .....	-49	-35	-56
3041 Recoveries of prior year unpaid obligations, expired .....	-6	-6	-6
3050 Unpaid obligations, end of year .....	13	14	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	13	14
3200 Obligated balance, end of year .....	13	14	20
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	37	36	62
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	14	25
4011 Outlays from discretionary balances .....	27	21	31
4020 Outlays, gross (total) .....	49	35	56
4180 Budget authority, net (total) .....	37	36	62

4190 Outlays, net (total) .....	49	35	56
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Included in this presentation is "Alterations and improvements, buildings and grounds, to provide facilities for the physically handicapped."

**Object Classification** (in millions of dollars)

Identification code 01-0105-0-1-801	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	13	13	10
11.3 Other than full-time permanent .....	1	1	2
11.5 Other personnel compensation .....	2	2	4
11.9 Total personnel compensation .....	16	16	16
12.1 Civilian personnel benefits .....	6	5	5
25.1 Advisory and assistance services .....	4	2	2
25.4 Operation and maintenance of facilities .....	4	1	1
26.0 Supplies and materials .....	2	2	2
32.0 Land and structures .....	8	10	36
99.9 Total new obligations .....	40	36	62

**Employment Summary**

Identification code 01-0105-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	221	220	222

**CAPITOL GROUNDS**

For all necessary expenses for care and improvement of grounds surrounding the Capitol, the Senate and House office buildings, and the Capitol Power Plant, \$13,452,000, of which \$2,266,000 shall remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 01-0108-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	10	10	13
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	13
1160 Appropriation, discretionary (total) .....	10	10	13
1930 Total budgetary resources available .....	11	11	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	4	6
3010 Obligations incurred, unexpired accounts .....	10	10	13
3011 Obligations incurred, expired accounts .....	3	3	3
3020 Outlays (gross) .....	-11	-8	-15
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	4	6	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	4	6
3200 Obligated balance, end of year .....	4	6	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	10	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	7	9

**CAPITOL GROUNDS—Continued**  
**Program and Financing—Continued**

Identification code 01-0108-0-1-801	2012 actual	2013 CR	2014 est.
4011 Outlays from discretionary balances .....	4	1	6
4020 Outlays, gross (total) .....	11	8	15
4180 Budget authority, net (total) .....	10	10	13
4190 Outlays, net (total) .....	11	8	15

**Object Classification (in millions of dollars)**

Identification code 01-0108-0-1-801	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4	4	5
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	5	5	6
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....		2	2
25.4 Operation and maintenance of facilities .....	3	1	2
26.0 Supplies and materials .....	1	1	2
99.9 Total new obligations .....	10	10	13

**Employment Summary**

Identification code 01-0108-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	65	73	73

**SENATE OFFICE BUILDINGS**

*For all necessary expenses for the maintenance, care and operation of Senate office buildings; and furniture and furnishings to be expended under the control and supervision of the Architect of the Capitol, \$76,404,000, of which \$17,539,000 shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 01-0123-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	68	72	76
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	20	22	22
1010 Unobligated balance transfer to other accts [00-0127] .....	-1		
1050 Unobligated balance (total) .....	19	22	22
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	71	72	76
1160 Appropriation, discretionary (total) .....	71	72	76
1900 Budget authority (total) .....	71	72	76
1930 Total budgetary resources available .....	90	94	98
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	22	22	22

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	22	19
3010 Obligations incurred, unexpired accounts .....	68	72	76
3011 Obligations incurred, expired accounts .....	8		
3020 Outlays (gross) .....	-76	-75	-84
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	22	19	11
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	31	22	19

3200 Obligated balance, end of year .....	22	19	11
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	71	72	76
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	53	46	49
4011 Outlays from discretionary balances .....	23	29	35
4020 Outlays, gross (total) .....	76	75	84
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4180 Budget authority, net (total) .....	71	72	76
4190 Outlays, net (total) .....	76	75	84

This presentation includes the Senate restaurant fund and Senate Wellness Center fund.

**Object Classification (in millions of dollars)**

Identification code 01-0123-0-1-801	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	28	25	25
11.3 Other than full-time permanent .....	2	3	3
11.5 Other personnel compensation .....	3	2	3
11.9 Total personnel compensation .....	33	30	31
12.1 Civilian personnel benefits .....	12	10	11
23.1 Rental payments to GSA .....	6	8	8
23.2 Rental payments to others .....	2		
25.1 Advisory and assistance services .....	2	1	1
25.4 Operation and maintenance of facilities .....	5	2	2
26.0 Supplies and materials .....	4	5	4
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	3	15	18
99.9 Total new obligations .....	68	72	76

**Employment Summary**

Identification code 01-0123-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	500	498	498

**HOUSE OFFICE BUILDINGS**

*For all necessary expenses for the maintenance, care and operation of the House office buildings, \$109,089,000, of which \$43,162,000 shall remain available until September 30, 2018.*

*In addition, for a payment to the House Historic Buildings Revitalization Trust Fund, \$70,000,000, which shall remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 01-0127-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	94	95	109
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	63	63	63
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	124	125	179
1120 Appropriations transferred to other accts [01-1833] .....	-30	-30	-70
1160 Appropriation, discretionary (total) .....	94	95	109
1930 Total budgetary resources available .....	157	158	172
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	63	63	63

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	52	48	55
3010	Obligations incurred, unexpired accounts .....	94	95	109
3011	Obligations incurred, expired accounts .....	14		
3020	Outlays (gross) .....	-98	-88	-93
3041	Recoveries of prior year unpaid obligations, expired .....	-14		
3050	Unpaid obligations, end of year .....	48	55	71
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	52	48	55
3200	Obligated balance, end of year .....	48	55	71
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	94	95	109
Outlays, gross:				
4010	Outlays from new discretionary authority .....	57	52	60
4011	Outlays from discretionary balances .....	41	36	33
4020	Outlays, gross (total) .....	98	88	93
4180	Budget authority, net (total) .....	94	95	109
4190	Outlays, net (total) .....	98	88	93

This presentation includes the House of Representatives Wellness Center fund.

**Object Classification** (in millions of dollars)

Identification code 01-0127-0-1-801	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	26	23	23
11.3	Other than full-time permanent .....	2	5	5
11.5	Other personnel compensation .....	4	3	4
11.9	Total personnel compensation .....	32	31	32
12.1	Civilian personnel benefits .....	11	10	10
23.1	Rental payments to GSA .....		14	14
25.1	Advisory and assistance services .....	5	2	2
25.4	Operation and maintenance of facilities .....	6	7	7
26.0	Supplies and materials .....	3	4	4
31.0	Equipment .....	2	1	1
32.0	Land and structures .....	35	26	39
99.9	Total new obligations .....	94	95	109

**Employment Summary**

Identification code 01-0127-0-1-801	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	495	503	503

**HOUSE HISTORIC BUILDINGS REVITALIZATION TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 01-1833-0-1-801	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	6	30	46
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	85	118	118
1011	Unobligated balance transfer from other accts [00-0400] .....	9		
1050	Unobligated balance (total) .....	94	118	118
Budget authority:				
Appropriations, discretionary:				
1121	Appropriations transferred from other accts [01-0127] .....	30	30	70
1160	Appropriation, discretionary (total) .....	30	30	70
1900	Budget authority (total) .....	30	30	70
1930	Total budgetary resources available .....	124	148	188
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	118	118	142
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	5	7
3010	Obligations incurred, unexpired accounts .....	6	30	46

3020	Outlays (gross) .....	-2	-28	-45
3050	Unpaid obligations, end of year .....	5	7	8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	5	7
3200	Obligated balance, end of year .....	5	7	8
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	30	30	70
Outlays, gross:				
4010	Outlays from new discretionary authority .....		2	4
4011	Outlays from discretionary balances .....	2	26	41
4020	Outlays, gross (total) .....	2	28	45
4180	Budget authority, net (total) .....	30	30	70
4190	Outlays, net (total) .....	2	28	45

**Object Classification** (in millions of dollars)

Identification code 01-1833-0-1-801	2012 actual	2013 CR	2014 est.	
Direct obligations:				
23.2	Rental payments to others .....		14	16
25.1	Advisory and assistance services .....	6	5	5
32.0	Land and structures .....		11	25
99.9	Total new obligations .....	6	30	46

**CAPITOL POWER PLANT**

*For all necessary expenses for the maintenance, care and operation of the Capitol Power Plant; lighting, heating, power (including the purchase of electrical energy) and water and sewer services for the Capitol, Senate and House office buildings, Library of Congress buildings, and the grounds about the same, Botanic Garden, Senate garage, and air conditioning re- frigeration not supplied from plants in any of such buildings; heating the Government Printing Office and Washington City Post Office, and heating and chilled water for air conditioning for the Supreme Court Building, the Union Station complex, the Thurgood Marshall Federal Judiciary Building and the Folger Shakespeare Library, expenses for which shall be advanced or reimbursed upon request of the Architect of the Capitol and amounts so received shall be deposited into the Treasury to the credit of this appropriation, \$113,259,000, of which \$26,500,000 shall remain available until September 30, 2018: Provided, That not more than \$9,000,000 of the funds credited or to be reimbursed to this appropriation as herein provided shall be available for obligation during fiscal year 2014.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 01-0133-0-1-801	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	119	124	113
0801	Reimbursable program .....	9	9	9
0900	Total new obligations .....	128	133	122
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	26	29	29
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	123	124	113
1160	Appropriation, discretionary (total) .....	123	124	113
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	7	9	9
1701	Change in uncollected payments, Federal sources .....	2		
1750	Spending auth from offsetting collections, disc (total) .....	9	9	9
1900	Budget authority (total) .....	132	133	122
1930	Total budgetary resources available .....	158	162	151
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		

**CAPITOL POWER PLANT—Continued**  
**Program and Financing—Continued**

Identification code 01-0133-0-1-801	2012 actual	2013 CR	2014 est.
1941 Unexpired unobligated balance, end of year .....	29	29	29
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	57	47
3010 Obligations incurred, unexpired accounts .....	128	133	122
3011 Obligations incurred, expired accounts .....	19		
3020 Outlays (gross) .....	-131	-143	-140
3041 Recoveries of prior year unpaid obligations, expired .....	-20		
3050 Unpaid obligations, end of year .....	57	47	29
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	61	55	45
3200 Obligated balance, end of year .....	55	45	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	132	133	122
Outlays, gross:			
4010 Outlays from new discretionary authority .....	74	113	104
4011 Outlays from discretionary balances .....	57	30	36
4020 Outlays, gross (total) .....	131	143	140
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-1
4033 Non-Federal sources .....	-8	-8	-8
4040 Offsets against gross budget authority and outlays (total) ....	-8	-9	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	123	124	113
4080 Outlays, net (discretionary) .....	123	134	131
4180 Budget authority, net (total) .....	123	124	113
4190 Outlays, net (total) .....	123	134	131

**Object Classification (in millions of dollars)**

Identification code 01-0133-0-1-801	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	7	6	6
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	9	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	58	69	60
25.1 Advisory and assistance services .....	22	10	6
25.4 Operation and maintenance of facilities .....	19	9	7
26.0 Supplies and materials .....	2	6	4
32.0 Land and structures .....	7	20	26
99.0 Direct obligations .....	119	124	113
99.0 Reimbursable obligations .....	9	9	9
99.9 Total new obligations .....	128	133	122

**Employment Summary**

Identification code 01-0133-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	77	85	85

**LIBRARY BUILDINGS AND GROUNDS**

*For all necessary expenses for the mechanical and structural maintenance, care and operation of the Library buildings and grounds,*

*\$77,016,000, of which \$50,861,000 shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 01-0155-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	44	47	77
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	34	34
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	47	47	77
1160 Appropriation, discretionary (total) .....	47	47	77
1930 Total budgetary resources available .....	78	81	111
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	34	34	34

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	19	19
3010 Obligations incurred, unexpired accounts .....	44	47	77
3011 Obligations incurred, expired accounts .....	8		
3020 Outlays (gross) .....	-44	-47	-59
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	19	19	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	19	19
3200 Obligated balance, end of year .....	19	19	37

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	47	47	77
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	18	29
4011 Outlays from discretionary balances .....	23	29	30
4020 Outlays, gross (total) .....	44	47	59
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total) .....	47	47	77
4190 Outlays, net (total) .....	44	47	59

**Object Classification (in millions of dollars)**

Identification code 01-0155-0-1-801	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	14	11	14
11.3 Other than full-time permanent .....	3	1	1
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	19	13	16
12.1 Civilian personnel benefits .....	6	4	1
25.1 Advisory and assistance services .....	2	7	9
25.4 Operation and maintenance of facilities .....	7	7	13
26.0 Supplies and materials .....	2	2	3
32.0 Land and structures .....	8	14	35
99.9 Total new obligations .....	44	47	77

**Employment Summary**

Identification code 01-0155-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	151	160	160

**CAPITOL POLICE BUILDINGS, GROUNDS AND SECURITY**

*For all necessary expenses for the maintenance, care and operation of buildings, grounds and security enhancements of the United States Cap-*

itol Police, wherever located, the Alternate Computer Facility, and AOC security operations, \$26,935,000, of which \$7,834,000 shall remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 01–0171–0–1–801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	19	22	27
0801 Reimbursable program activity .....	10		
0900 Total new obligations .....	29	22	27
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	22	22	27
1160 Appropriation, discretionary (total) .....	22	22	27
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10		
1750 Spending auth from offsetting collections, disc (total) .....	10		
1900 Budget authority (total) .....	32	22	27
1930 Total budgetary resources available .....	40	32	37
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	17	17
3010 Obligations incurred, unexpired accounts .....	29	22	27
3011 Obligations incurred, expired accounts .....	11		
3020 Outlays (gross) .....	–29	–22	–25
3041 Recoveries of prior year unpaid obligations, expired .....	–11		
3050 Unpaid obligations, end of year .....	17	17	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	17	17
3200 Obligated balance, end of year .....	17	17	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	32	22	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	11	14
4011 Outlays from discretionary balances .....	17	11	11
4020 Outlays, gross (total) .....	29	22	25
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–7		
4033 Non-Federal sources .....	–3		
4040 Offsets against gross budget authority and outlays (total) ....	–10		
4070 Budget authority, net (discretionary) .....	22	22	27
4080 Outlays, net (discretionary) .....	19	22	25
4180 Budget authority, net (total) .....	22	22	27
4190 Outlays, net (total) .....	19	22	25

**Object Classification** (in millions of dollars)

Identification code 01–0171–0–1–801	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	3	1	1
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	4	1	1
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....	7	7	7
25.1 Advisory and assistance services .....	1		
25.4 Operation and maintenance of facilities .....	5	10	10
32.0 Land and structures .....	1	3	8
99.0 Direct obligations .....	19	22	27

99.0 Reimbursable obligations .....	10		
99.9 Total new obligations .....	29	22	27

**Employment Summary**

Identification code 01–0171–0–1–801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	14	16	16

**CAPITOL VISITOR CENTER**

*For all necessary expenses for the operation of the Capitol Visitor Center, \$21,702,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 01–0161–0–1–801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	23	21	22
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	11	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	22	21	22
1120 Appropriations transferred to other accts [01–0105] .....	–1		
1160 Appropriation, discretionary (total) .....	21	21	22
1930 Total budgetary resources available .....	34	32	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	9	5
3010 Obligations incurred, unexpired accounts .....	23	21	22
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	–25	–25	–22
3041 Recoveries of prior year unpaid obligations, expired .....	–2		
3050 Unpaid obligations, end of year .....	9	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	9	5
3200 Obligated balance, end of year .....	9	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	21	21	22
Outlays, gross:			
4010 Outlays from new discretionary authority .....	17	17	18
4011 Outlays from discretionary balances .....	8	8	4
4020 Outlays, gross (total) .....	25	25	22
4180 Budget authority, net (total) .....	21	21	22
4190 Outlays, net (total) .....	25	25	22

**Object Classification** (in millions of dollars)

Identification code 01–0161–0–1–801	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	11	12	12
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	13	13	13
12.1 Civilian personnel benefits .....	4	4	4
25.1 Advisory and assistance services .....	4	2	3
25.2 Other services from non-Federal sources .....		1	1
25.4 Operation and maintenance of facilities .....	1	1	1
31.0 Equipment .....	1		
99.9 Total new obligations .....	23	21	22

**CAPITOL VISITOR CENTER—Continued**  
**Employment Summary**

Identification code 01-0161-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	211	247	244

**CAPITOL VISITOR CENTER REVOLVING FUND**  
**Program and Financing** (in millions of dollars)

Identification code 01-4296-0-3-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	2	3	4
0900 Total new obligations (object class 26.0) .....	2	3	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	8	9
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	4	4
1750 Spending auth from offsetting collections, disc (total) .....	4	4	4
1930 Total budgetary resources available .....	10	12	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	9	9

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	
3010 Obligations incurred, unexpired accounts .....	2	3	4
3020 Outlays (gross) .....	-1	-4	-4
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	
3200 Obligated balance, end of year .....	1		

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	3	3
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....	1	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4	-4	-4
4190 Outlays, net (total) .....	-3		

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	6	8	10
5001 Total investments, EOY: Federal securities: Par value .....	8	10	12

**Object Classification** (in millions of dollars)

Identification code 01-4296-0-3-801	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
26.0 Supplies and materials .....	2	3	4
99.0 Reimbursable obligations .....	2	3	4

**JUDICIARY OFFICE BUILDING DEVELOPMENT AND OPERATIONS FUND**

**Program and Financing** (in millions of dollars)

Identification code 01-4518-0-4-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Operations and Maintenance .....	25	25	25
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		10	10

Budget authority:  
Borrowing authority, mandatory:

1400 Borrowing authority .....	11	12	12
1440 Borrowing authority, mandatory (total) .....	11	12	12
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	41	30	30
1825 Spending authority from offsetting collections applied to repay debt .....	-17	-17	-17
1850 Spending auth from offsetting collections, mand (total) .....	24	13	13
1900 Budget authority (total) .....	35	25	25
1930 Total budgetary resources available .....	35	35	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	5	2
3010 Obligations incurred, unexpired accounts .....	25	25	25
3020 Outlays (gross) .....	-23	-28	-13
3050 Unpaid obligations, end of year .....	5	2	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	5	2
3200 Obligated balance, end of year .....	5	2	14

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	35	25	25
Outlays, gross:			
4100 Outlays from new mandatory authority .....	23	13	13
4101 Outlays from mandatory balances .....		15	
4110 Outlays, gross (total) .....	23	28	13
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-32	-30	-30
4123 Non-Federal sources .....	-9		
4130 Offsets against gross budget authority and outlays (total) .....	-41	-30	-30
4160 Budget authority, net (mandatory) .....	-6	-5	-5
4170 Outlays, net (mandatory) .....	-18	-2	-17
4180 Budget authority, net (total) .....	-6	-5	-5
4190 Outlays, net (total) .....	-18	-2	-17

**Memorandum (non-add) entries:**

5010 Total investments, SOY: non-Fed securities: Market value .....	36	27	36
5011 Total investments, EOY: non-Fed securities: Market value .....	27	36	36

The Judiciary Office Building Development Act, Public Law 100-480, among other purposes, authorized the Architect of the Capitol to contract for the design and construction of a building adjacent to Union Station in the District of Columbia to be leased to the Judicial Branch of the United States. This schedule reflects the costs associated with the construction of the building. Costs of construction were financed by an initial \$125 million of Federal agency debt (sales price less unamortized discount) issued in 1989.

Estimates prepared by the Legislative Branch assumed the financial arrangements to be a lease-purchase, which would distribute outlays associated with acquisition of the building over a period of thirty years. However, the arrangements involve Federally guaranteed financing and other characteristics that make them substantively the same as direct Federal construction, financed by direct Federal borrowing.

Estimates shown are consistent with the requirements of the Budget Enforcement Act and are presented with the agreement of the Budget and Appropriations Committees.

**Object Classification** (in millions of dollars)

Identification code 01-4518-0-4-801	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	2		
25.4 Operation and maintenance of facilities .....	6	8	8
32.0 Land and structures .....	17	17	17

99.9	Total new obligations .....	25	25	25
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**Trust Funds**

GIFTS AND DONATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 01-8189-0-7-801	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			1
Receipts:			
0220 Gifts and Donations .....		1	1
0400 Total: Balances and collections .....		1	2
0799 Balance, end of year .....		1	2

**BOTANIC GARDEN**

Federal Funds

BOTANIC GARDEN

For all necessary expenses for the maintenance, care and operation of the Botanic Garden and the nurseries, buildings, grounds, and collections; and purchase and exchange, maintenance, repair, and operation of a passenger motor vehicle; all under the direction of the Joint Committee on the Library, \$12,136,000: Provided, That of the amount made available under this heading, the Architect of the Capitol may obligate and expend such sums as may be necessary for the maintenance, care and operation of the National Garden established under section 307E of the Legislative Branch Appropriations Act, 1989 (2 U.S.C. 2146), upon vouchers approved by the Architect of the Capitol or a duly authorized designee.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 09-0200-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	12	12	12
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	12	12
1160 Appropriation, discretionary (total) .....	12	12	12
1930 Total budgetary resources available .....	14	14	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	4
3010 Obligations incurred, unexpired accounts .....	12	12	12
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-13	-13	-12
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	5	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	5	4
3200 Obligated balance, end of year .....	5	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	12	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	10	10
4011 Outlays from discretionary balances .....	5	3	2
4020 Outlays, gross (total) .....	13	13	12

4180 Budget authority, net (total) .....	12	12	12
4190 Outlays, net (total) .....	13	13	12

Object Classification (in millions of dollars)

Identification code 09-0200-0-1-801	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	4	4	5
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	5	4	5
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	4	3	2
25.4 Operation and maintenance of facilities .....		2	2
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	12	12	12

Employment Summary

Identification code 09-0200-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	64	68	68

**Trust Funds**

GIFTS AND DONATIONS

Pursuant to 40 U.S.C. 216c, as amended, the Architect of the Capitol, subject to the direction of the Joint Committee on the Library, is authorized to construct a National Garden and to solicit and accept certain gifts on behalf of the United States Botanic Garden for the purpose of constructing the National Garden, or for the general benefit of the Botanic Garden and the renovation of the Botanic Garden conservatory, to deposit such gift funds in the Treasury of the United States, and, subject to approval in appropriations Acts, to obligate and expend such sums.

**LIBRARY OF CONGRESS**

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Library of Congress not otherwise provided for, including development and maintenance of the Library's catalogs; custody and custodial care of the Library buildings; special clothing; cleaning, laundering and repair of uniforms; preservation of motion pictures in the custody of the Library; operation and maintenance of the American Folklife Center in the Library; activities under the Civil Rights History Project Act of 2009; preparation and distribution of catalog records and other publications of the Library; hire or purchase of one passenger motor vehicle; and expenses of the Library of Congress Trust Fund Board not properly chargeable to the income of any trust fund held by the Board, \$433,830,000, of which not more than \$6,000,000 shall be derived from collections credited to this appropriation during fiscal year 2014, and shall remain available until expended, under the Act of June 28, 1902 (chapter 1301; 32 Stat. 480; 2 U.S.C. 150) and not more than \$350,000 shall be derived from collections during fiscal year 2014 and shall remain available until expended for the development and maintenance of an international legal information database and activities related thereto: Provided, That the Library of Congress may not obligate or expend any funds derived from collections under the Act of June 28, 1902, in excess of the amount authorized for obligation or expenditure in appropriations Acts: Provided further, That the total amount available for obligation shall be reduced by the amount by which collections are less than \$6,350,000: Provided further, That of the total amount appropriated, not more than \$12,000 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for the Overseas Field Offices: Provided further, That of the total

## SALARIES AND EXPENSES—Continued

amount appropriated, \$7,119,000 shall remain available until expended for the digital collections and educational curricula program.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 03–0101–0–1–503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Library Services .....	214	212	218
0002 Office of Strategic Initiatives .....	107	108	111
0003 Law Library .....	16	16	17
0004 Office of the Librarian .....	19	26	27
0005 Office of Support Operations .....	54	51	51
0006 Office of Inspector General .....	3	3	3
0799 Total direct obligations .....	413	416	427
0801 Reimbursable program - Interagency/ Intra-agency .....	7	8	8
0802 Reimbursable program - National Library .....	4	6	6
0899 Total reimbursable obligations .....	11	14	14
0900 Total new obligations .....	424	430	441
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	9	9
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	8	9	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	414	416	427
1160 Appropriation, discretionary (total) .....	414	416	427
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	14	14
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	12	14	14
1900 Budget authority (total) .....	426	430	441
1930 Total budgetary resources available .....	434	439	450
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	136	121	128
3010 Obligations incurred, unexpired accounts .....	424	430	441
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-436	-423	-431
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	121	128	138
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	136	120	127
3200 Obligated balance, end of year .....	120	127	137
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	426	430	441
Outlays, gross:			
4010 Outlays from new discretionary authority .....	325	326	335
4011 Outlays from discretionary balances .....	111	97	96
4020 Outlays, gross (total) .....	436	423	431
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-9	-8	-8
4033 Non-Federal sources .....	-4	-6	-6
4040 Offsets against gross budget authority and outlays (total) .....	-13	-14	-14
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	2		

4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	414	416	427
4080	Outlays, net (discretionary) .....	423	409	417
4180	Budget authority, net (total) .....	414	416	427
4190	Outlays, net (total) .....	423	409	417

*Office of the Librarian.*—The Office of the Librarian has overall management responsibility for the Library and carries out certain executive and financial functions of the Library. The Office of the Librarian includes the Office of the Chief Financial Officer, the Office of the General Counsel, the Congressional Relations Office, the Office of Contracts and Grants Management, the Office of Communications, the Development Office, and the Office of Special Events and Public Programs.

*Library Services.*—The mission of Library Services is the acquisition of materials; cataloging, classification, and preparation of materials for use; serving the public and maintaining and managing the Library's universal collections, which are the largest in the world; and, the preservation of materials for use now and in the future. It also develops, produces, markets, and distributes the Library's cataloging records and cataloging-related publications, tools, and resources to other libraries around the world that rely on the Library's standards and technical publications to organize their collections for effective access. Library Services also serves the public and contributes directly to the nation's educational and intellectual life through programs and activities that interpret and promote the Library's resources and the use of its unparalleled collections onsite as well as via the World Wide Web.

*Office of Strategic Initiatives.*—The Office of Strategic Initiatives (OSI) supports the Library's mission by directing the national program for long-term preservation of digital cultural assets, leading a collaborative institution-wide effort to develop consolidated plans for our digital future, and integrating the delivery of information technology services (ITS).

*Law Library.*—The Law Library of Congress (LAW) is the world's largest law and legislative library. Its primary mission is to provide the United States Congress, Executive Branch agencies, courts, the legal community, and others with legal research and reference services in foreign, international, and comparative law, and with reference assistance in American law. LAW's core research capacity includes foreign and U.S. trained attorneys, librarians, and linguists. They use LAW's repository of more than 5 million volumes or volume-equivalents of comprehensive legal materials, including nearly 3 million books and periodicals. These cover approximately 240 legal systems and jurisdictions. LAW acquires, maintains, and preserves its collection in both analog and digital formats, and draws on virtual technology to make the collections accessible through various systems and products. THOMAS, which makes federal legislative information freely available to the public, includes full texts of bills, resolutions, the Congressional Record, legislative calendars, committee information, treaties plus a full range of other congressional material. Congress.gov, currently in beta, is the planned replacement for THOMAS. Additional LAW-supported systems and products are providing access to historic legislative documents which focus on legal research techniques, events and issues. The Law Library assists Congress and other constituents with the exchange of legal sources online through which foreign legislatures and government agencies and international and multi-national organizations contribute laws, regulations, and related legal materials accessible via the Internet.

*Office of Support Operations.*—The Office of Support Operations service unit provides centralized leadership and management of essential infrastructure services that support the operational

requirements of the entire Library of Congress. In partnership with customers, the Office provides support operations that enable the Library to fulfill its mission through the following program offices: Human Resources Services, Integrated Support Services, the Office of Security and Emergency Preparedness, and the Office of Opportunity, Inclusiveness and Compliance.

**Office of the Inspector General.**—The Office of the Inspector General (OIG), an independent office within the Library of Congress, has a statutory mandate to provide policy direction for and conduct, supervise, and coordinate performance and financial audits, administrative and criminal investigations, and other reviews relating to programs and operations of the Library; review and make recommendations on the impact of existing and proposed legislation and Library regulations; recommend policies for, and conduct, supervise, or coordinate other activities carried out or financed by the Library for the purpose of promoting economy and efficiency and preventing and detecting fraud, waste, and abuse in Library programs and operations; coordinate relationships between the Library and other organizations and entities with respect to OIG matters; keep the Librarian and the Congress informed about serious problems relating to the programs and operations of the Library; recommend corrective action and report on the progress made in implementing such corrective actions; and provide leadership and coordination and recommend policies to promote effective management.

*collections under such section, in excess of the amount authorized for obligation or expenditure in appropriations Acts: Provided further, That not more than \$5,590,000 shall be derived from collections during fiscal year 2014 under sections 111(d)(2), 119(b)(2), 803(e), 1005, and 1316 of such title: Provided further, That the total amount available for obligation shall be reduced by the amount by which collections are less than \$33,619,000: Provided further, That not more than \$100,000 of the amount appropriated is available for the maintenance of an "International Copyright Institute" in the Copyright Office of the Library of Congress for the purpose of training nationals of developing countries in intellectual property laws and policies: Provided further, That not more than \$6,500 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for activities of the International Copyright Institute and for copyright delegations, visitors, and seminars: Provided further, That notwithstanding any provision of chapter 8 of title 17, United States Code, any amounts made available under this heading which are attributable to royalty fees and payments received by the Copyright Office pursuant to sections 111, 119, and chapter 10 of such title may be used for the costs incurred in the administration of the Copyright Royalty Judges program, with the exception of the costs of salaries and benefits for the Copyright Royalty Judges and staff under section 802(e).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Object Classification** (in millions of dollars)

Identification code 03–0101–0–1–503	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	193	199	204
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	199	205	210
12.1 Civilian personnel benefits .....	57	55	56
13.0 Benefits for former personnel .....	3		
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....			1
23.1 Rental payments to GSA .....	3	3	4
23.3 Communications, utilities, and miscellaneous charges .....	5	3	3
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	27	27	27
25.2 Other services from non-Federal sources .....	35	33	34
25.3 Other goods and services from Federal sources .....	8	8	9
25.4 Operation and maintenance of facilities .....	7	7	7
25.7 Operation and maintenance of equipment .....	16	19	21
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	43	41	42
41.0 Grants, subsidies, and contributions .....	5	6	6
99.0 Direct obligations .....	414	413	426
99.0 Reimbursable obligations .....	10	13	13
99.5 Below reporting threshold .....		4	2
99.9 Total new obligations .....	424	430	441

**Employment Summary**

Identification code 03–0101–0–1–503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,153	2,471	2,471
2001 Reimbursable civilian full-time equivalent employment .....	6	27	27

**COPYRIGHT OFFICE**

**SALARIES AND EXPENSES**

*For all necessary expenses of the Copyright Office, \$52,952,000, of which not more than \$28,029,000, to remain available until expended, shall be derived from collections credited to this appropriation during fiscal year 2014 under section 708(d) of title 17, United States Code: Provided, That the Copyright Office may not obligate or expend any funds derived from*

**Program and Financing** (in millions of dollars)

Identification code 03–0102–0–1–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Registration, recordation, cataloging, acquisitions, & public reference (Basic) .....	15	15	18
0002 Determinations by Copyright Royalty Judges .....	1	1	1
0799 Total direct obligations .....	16	16	19
0801 Registration, recordation, cataloging, acquisitions, & public reference (Basic) .....	29	30	28
0802 Licensing .....	5	6	6
0899 Total reimbursable obligations .....	34	36	34
0900 Total new obligations .....	50	52	53
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	16	16	19
1160 Appropriation, discretionary (total) .....	16	16	19
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	32	35	34
1750 Spending auth from offsetting collections, disc (total) .....	32	35	34
1900 Budget authority (total) .....	48	51	53
1930 Total budgetary resources available .....	51	52	53
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	7	12
3010 Obligations incurred, unexpired accounts .....	50	52	53
3020 Outlays (gross) .....	–52	–47	–53
3050 Unpaid obligations, end of year .....	7	12	12
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	9	7	12
3200 Obligated balance, end of year .....	7	12	12
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	48	51	53
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	44	42	44
4011 Outlays from discretionary balances .....	8	5	9
4020 Outlays, gross (total) .....	52	47	53

**COPYRIGHT OFFICE—Continued**  
**Program and Financing—Continued**

Identification code 03-0102-0-1-376	2012 actual	2013 CR	2014 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-5	-6
4033 Non-Federal sources .....	-27	-30	-28
4040 Offsets against gross budget authority and outlays (total) ....	-32	-35	-34
4070 Budget authority, net (discretionary) .....	16	16	19
4080 Outlays, net (discretionary) .....	20	12	19
4180 Budget authority, net (total) .....	16	16	19
4190 Outlays, net (total) .....	20	12	19

The Copyright Office operates the largest database of information in the world for copyright ownership and licensing title, approximately two-thirds of which is funded by fees paid by authors and other copyright owners, and the remainder of which is funded by appropriated dollars, in the interest of commerce and for the benefit of the general public. The amount requested is more than offset by fees received for services rendered and the value of books and other materials deposited with the Office in accordance with the Copyright Act (17 U.S.C. subsections 407 and 408) and transferred to the Library of Congress. The receipts and obligations for 2012, and estimates for 2013 and 2014 are as follows:

	(Dollars in thousands)		
	2012 actual	2013 est.	2014 est.
<b>Receipts:</b>			
Offsetting Collections .....	\$32,281	\$35,513	\$33,619
Estimated value of materials deposited and transferred to the Library of Congress .....	\$30,453	\$ 31,000	\$31,000
Total Receipts .....	\$62,734	\$66,513	\$64,619
<b>Obligations .....</b>			
	\$50,486	\$51,749	\$52,952

*Registration, recordation, acquisitions, copyright records, public information, and expert advice on copyright issues.*—The Copyright Office is responsible for registering copyright claims and renewals, vessel hull designs, and mask works, which relate to an estimated 550,000 copyright registrations in 2014 and 2013 and 511,539 during 2012. Additional responsibilities of the Office include: recording assignments and other copyright-related documents; acquiring books, films, sound recordings, and other works for possible inclusion in Library of Congress collections; creating and making available records of copyright ownership; providing copyright information to the public; and providing expert advice to Congress and executive agencies on copyright policy, piracy, and copyright trade agreements.

*Licensing Division.*—The Licensing Division handles administrative provisions of copyright statutory licenses and obligations, including those involving secondary transmissions by cable television systems and satellite carriers and the importation, manufacture and distribution of digital audio recording devices and distribution media. The division collects specified royalty fees for distribution to copyright owners upon determinations rendered by the Copyright Royalty Board. The Division also collects receipts from digital audio devices and distributes to the copyright owners through this appropriation after deduction of administrative costs for the Copyright Office Licensing Division and the Copyright Royalty Judges program. Distributions are made in accordance with the schedule established by 17 U.S.C. subsection 1007.

*Copyright Royalty Judges (CRJ).*—The Copyright Royalty Judges and their staff, who operate under the Librarian of Congress, determine royalty distributions and adjust royalty rates.

**Object Classification (in millions of dollars)**

Identification code 03-0102-0-1-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	11	12	13
12.1 Civilian personnel benefits .....	3	3	3
13.0 Benefits for former personnel .....	1		
25.2 Other services from non-Federal sources .....	1	1	3
99.0 Direct obligations .....	16	16	19
99.0 Reimbursable obligations .....	33	35	33
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	50	52	53

**Employment Summary**

Identification code 03-0102-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	350	415	419
2001 Reimbursable civilian full-time equivalent employment .....	46	60	56

**CONGRESSIONAL RESEARCH SERVICE**  
**SALARIES AND EXPENSES**

*For all necessary expenses to carry out the provisions of section 203 of the Legislative Reorganization Act of 1946 (2 U.S.C. 166) and to revise and extend the Annotated Constitution of the United States of America, \$109,979,000: Provided, That no part of such amount may be used to pay any salary or expense in connection with any publication, or preparation of material therefor (except the Digest of Public General Bills), to be issued by the Library of Congress unless such publication has obtained prior approval of either the Committee on House Administration of the House of Representatives or the Committee on Rules and Administration of the Senate.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 03-0127-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	107	107	110
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	107	107	110
1160 Appropriation, discretionary (total) .....	107	107	110
1930 Total budgetary resources available .....	107	107	110
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	14	14
3010 Obligations incurred, unexpired accounts .....	107	107	110
3020 Outlays (gross) .....	-107	-107	-109
3050 Unpaid obligations, end of year .....	14	14	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	14	14
3200 Obligated balance, end of year .....	14	14	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	107	107	110
Outlays, gross:			
4010 Outlays from new discretionary authority .....	94	98	101
4011 Outlays from discretionary balances .....	13	9	8
4020 Outlays, gross (total) .....	107	107	109
4180 Budget authority, net (total) .....	107	107	110
4190 Outlays, net (total) .....	107	107	109

The Congressional Research Service (CRS) assists all Members and committees of Congress with its deliberations and legislative decisions by providing objective, authoritative, non-partisan, and confidential research and analysis. As a shared resource, serving Congress exclusively, CRS experts work along side the Congress at all stages of the legislative process and provide integrated and interdisciplinary analysis and insights in all areas of legislative activity.

**Object Classification** (in millions of dollars)

Identification code 03-0127-0-1-801	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	72	73	75
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	74	76	78
12.1 Civilian personnel benefits .....	20	20	20
13.0 Benefits for former personnel .....	1	.....	.....
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	2	3	3
25.7 Operation and maintenance of equipment .....	1	1	2
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	3	3	3
99.0 Direct obligations .....	106	107	110
99.5 Below reporting threshold .....	1	.....	.....
99.9 Total new obligations .....	107	107	110

**Employment Summary**

Identification code 03-0127-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	616	651	651

**BOOKS FOR THE BLIND AND PHYSICALLY HANDICAPPED**  
**SALARIES AND EXPENSES**

*For salaries and expenses to carry out the Act of March 3, 1931 (chapter 400; 46 Stat. 1487; 2 U.S.C. 135a), \$51,927,000: Provided, That of the total amount appropriated, \$650,000 shall be available to contract to provide newspapers to blind and physically handicapped residents at no cost to the individual.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 03-0141-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct service to users .....	59	51	52
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	51	51	52
1160 Appropriation, discretionary (total) .....	51	51	52
1930 Total budgetary resources available .....	60	52	53
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	59	51	36
3010 Obligations incurred, unexpired accounts .....	59	51	52
3020 Outlays (gross) .....	-66	-66	-59
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....

3050 Unpaid obligations, end of year .....	51	36	29
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	59	51	36
3200 Obligated balance, end of year .....	51	36	29
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	51	51	52
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	21	26	27
4011 Outlays from discretionary balances .....	45	40	32
4020 Outlays, gross (total) .....	66	66	59
4180 Budget authority, net (total) .....	51	51	52
4190 Outlays, net (total) .....	66	66	59

The National Library Service for the Blind and Physically Handicapped (NLS) is responsible for administering a national program to provide reading material for blind and physically handicapped residents of the United States, its outlying areas, and for U.S. citizens residing abroad.

*Direct service to users.*—During the past five-year period, 2008–2012, the blind and physically handicapped reader accounts throughout the country ranged from 822,203 to approximately 951,219 and circulation ranged from 24,412,476 units (volumes and containers) to approximately 25,316,226.

*Support services.*—A variety of professional, technical, and clerical functions are performed by the NLS. A total of 7,843 requests for information concerning library and related services available to the blind and to other physically handicapped persons were received in 2012 and 90,340 interlibrary loan items were circulated.

**Object Classification** (in millions of dollars)

Identification code 03-0141-0-1-503	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	8	9
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	5	4	6
25.3 Other goods and services from Federal sources .....	.....	2	.....
31.0 Equipment .....	38	30	30
99.0 Direct obligations .....	57	50	51
99.5 Below reporting threshold .....	2	1	1
99.9 Total new obligations .....	59	51	52

**Employment Summary**

Identification code 03-0141-0-1-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	105	128	128

**PAYMENTS TO COPYRIGHT OWNERS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 03-5175-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
0200 Fees from Jukebox, Satellite and Cable Television for Operating Costs, Copyright Office .....	5	5	5
0400 Total: Balances and collections .....	5	5	5
<b>Appropriations:</b>			
0500 Payments to Copyright Owners .....	-5	-5	-5
0799 Balance, end of year .....	.....	.....	.....

PAYMENTS TO COPYRIGHT OWNERS—Continued  
Program and Financing (in millions of dollars)

Identification code 03-5175-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Licensing costs .....	5	5	5
0900 Total new obligations (object class 25.3) .....	5	5	5
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	5	5	5
1260 Appropriations, mandatory (total) .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-5	-5	-5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	5	5
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	5	5	5

COOPERATIVE ACQUISITIONS PROGRAM REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 03-4325-0-3-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Cooperative Acquisitions Program .....	3	6	6
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	6	6
1750 Spending auth from offsetting collections, disc (total) .....	3	6	6
1930 Total budgetary resources available .....	8	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	2
3010 Obligations incurred, unexpired accounts .....	3	6	6
3020 Outlays (gross) .....	-3	-5	-5
3050 Unpaid obligations, end of year .....	1	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	2
3200 Obligated balance, end of year .....	1	2	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	5	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-3	-6	-6
4190 Outlays, net (total) .....		-1	-1

Under the authority of 2 U.S.C. 182, the Library of Congress operates a revolving fund for the acquisition of foreign research materials for participating institutions through the Library's overseas offices.

Object Classification (in millions of dollars)

Identification code 03-4325-0-3-503	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	1
31.0 Equipment .....	2	3	4
99.0 Reimbursable obligations .....	2	4	5
99.5 Below reporting threshold .....	1	2	1
99.9 Total new obligations .....	3	6	6

Employment Summary

Identification code 03-4325-0-3-503	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....		7	7

DUPLICATION SERVICES

Under the authority of 2 U.S.C. 182a, the Library of Congress operates a revolving fund to provide preservation and duplication and delivery services for the Library's audio-visual collections, including duplication services for motion pictures, videotapes, sound recordings, and radio and television broadcasts. Audio-visual preservation and duplication services are also provided to other archives, libraries, and industry constituents.

GIFT SHOP, DECIMAL CLASSIFICATION, PHOTO DUPLICATION, AND RELATED SERVICES

Program and Financing (in millions of dollars)

Identification code 03-4346-0-3-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 National Library .....	6	10	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	10	10
1750 Spending auth from offsetting collections, disc (total) .....	6	10	10
1930 Total budgetary resources available .....	8	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	6	10	10
3020 Outlays (gross) .....	-5	-10	-10
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	10	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	9	9
4011 Outlays from discretionary balances .....	2	1	1
4020 Outlays, gross (total) .....	5	10	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-10	-10
4033 Non-Federal sources .....	-4		
4040 Offsets against gross budget authority and outlays (total) .....	-6	-10	-10
4080 Outlays, net (discretionary) .....	-1		
4190 Outlays, net (total) .....	-1		

Under the authority of 2 U.S.C. 182b, the Library of Congress operates a revolving fund for the support of the Library's retail marketing sales shop activities; for providing Dewey Decimal Classification editorial services; for providing preservation micro-filing services for the Library's collections and photocopy, microfilm, photographic and digital services to other libraries, research institutions, government agencies, and individuals in the United States and abroad; and for operating special events and programs.

**Object Classification** (in millions of dollars)

Identification code 03-4346-0-3-503	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2	3	3
11.5 Other personnel compensation .....	.....	1	.....
11.9 Total personnel compensation .....	2	4	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	.....	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	.....	1	1
26.0 Supplies and materials .....	1	1	1
99.0 Reimbursable obligations .....	6	10	9
99.5 Below reporting threshold .....	.....	.....	1
99.9 Total new obligations .....	6	10	10

**Employment Summary**

Identification code 03-4346-0-3-503	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	25	31	31

**FEDLINK PROGRAM AND FEDERAL RESEARCH PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 03-4543-0-4-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Fedlink and Federal Research .....	96	160	167
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	11	10	10
1021 Recoveries of prior year unpaid obligations .....	10	.....	.....
1050 Unobligated balance (total) .....	21	10	10
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	80	160	167
1701 Change in uncollected payments, Federal sources .....	5	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	85	160	167
1930 Total budgetary resources available .....	106	170	177
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	54	57	61
3010 Obligations incurred, unexpired accounts .....	96	160	167
3020 Outlays (gross) .....	-83	-156	-163
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3050 Unpaid obligations, end of year .....	57	61	65
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-19	-19
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-19	-19	-19
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	40	38	42
3200 Obligated balance, end of year .....	38	42	46
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	85	160	167

<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	46	101	105
4011 Outlays from discretionary balances .....	37	55	58
4020 Outlays, gross (total) .....	83	156	163
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-79	-160	-167
4033 Non-Federal sources .....	-1	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-80	-160	-167
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....
4080 Outlays, net (discretionary) .....	3	-4	-4
4190 Outlays, net (total) .....	3	-4	-4

Under the authority of 2 U.S.C. 182c, the Library of Congress operates a revolving fund for providing support to federal libraries through cost effective training, procurement of books, serials, and computer-based information retrieval services, and for providing customized research services to federal agencies.

**Object Classification** (in millions of dollars)

Identification code 03-4543-0-4-503	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	7	7
12.1 Civilian personnel benefits .....	1	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	44	84	88
25.3 Other goods and services from Federal sources .....	1	2	2
31.0 Equipment .....	40	63	65
99.0 Reimbursable obligations .....	92	159	165
99.5 Below reporting threshold .....	4	1	2
99.9 Total new obligations .....	96	160	167

**Employment Summary**

Identification code 03-4543-0-4-503	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	58	71	73

**Trust Funds**

**GIFT AND TRUST FUND ACCOUNTS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 03-9971-0-7-503	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	10	10	10
<b>Receipts:</b>			
0220 Contributions, Library of Congress Gift Fund .....	9	10	10
0221 Contributions, Library of Congress Permanent Loan Account .....	2	2	3
0222 Income from Donated Securities, Library of Congress .....	2	4	3
0240 Interest, Library of Congress Permanent Loan Account .....	.....	.....	1
0299 Total receipts and collections .....	13	16	17
0400 Total: Balances and collections .....	23	26	27
<b>Appropriations:</b>			
0500 Gift and Trust Fund Accounts .....	-13	-16	-17
0799 Balance, end of year .....	10	10	10

**Program and Financing** (in millions of dollars)

Identification code 03-9971-0-7-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Library Services .....	16	16	17
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	41	42	42
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	13	16	17
1260 Appropriations, mandatory (total) .....	13	16	17

GIFT AND TRUST FUND ACCOUNTS—Continued  
Program and Financing—Continued

Identification code 03-9971-0-7-503	2012 actual	2013 CR	2014 est.
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4		
1850 Spending auth from offsetting collections, mand (total) .....	4		
1900 Budget authority (total) .....	17	16	17
1930 Total budgetary resources available .....	58	58	59
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	42	42	42
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	5
3010 Obligations incurred, unexpired accounts .....	16	16	17
3020 Outlays (gross) .....	-16	-17	-16
3050 Unpaid obligations, end of year .....	6	5	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	5
3200 Obligated balance, end of year .....	6	5	6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	17	16	17
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9	13	13
4101 Outlays from mandatory balances .....	7	4	3
4110 Outlays, gross (total) .....	16	17	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-4		
4180 Budget authority, net (total) .....	13	16	17
4190 Outlays, net (total) .....	12	17	16
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	40	43	46
5001 Total investments, EOY: Federal securities: Par value .....	43	46	50
5010 Total investments, SOY: non-Fed securities: Market value .....	81	90	100
5011 Total investments, EOY: non-Fed securities: Market value .....	90	100	110

This schedule covers: (1) funds received as gifts for immediate expenditure, funds received as trust funds for expenditure, and receipts from the sale of recordings, publications, and other materials financed from capital originally received as gifts; (2) income from investments held by or for the Library of Congress Trust Fund Board; and (3) interest paid by the Treasury on the principal funds deposited therewith as described under "Library of Congress Trust Fund, Principal Accounts." The Library has seven program areas related to Gift and Trust funds:

**Library Services.**—Library Services acquires and catalogs materials for the Library's collections; manages and maintains the collections; and preserves materials for use now and in the future. It also serves the public and contributes directly to the nation's educational and intellectual life through programs that interpret the Library's resources and promote the use of its unparalleled collections.

**Office of Strategic Initiatives.**—The Office of Strategic Initiatives (OSI) supports the Library's mission by directing the national program for long-term preservation of digital cultural assets and provides access to the nations incomparable cultural heritage collections.

**Law Library.**—The Law Library of Congress maintains a global law collection of over 2,780,000 volumes and approximately 2.5 million microformats and digital items as well as legal information websites, and provides legal research and reference services, covering more than 200 foreign jurisdictions, to the Congress, the Judiciary, federal agencies, and the public—approximately 100,000 users and over 3,000,000 queries and website visits annually.

**Copyright Office.**—The Copyright Office administers the U.S. Copyright Laws; provides expert advice to the Congress on matters relating to copyright law and policy; provides information and assistance to the executive branch and the courts; and promotes international protection for U.S. copyrighted works.

**Congressional Research Service.**—The Congressional Research Service (CRS) serves all Members and committees of the Congress. CRS experts provide Congress with authoritative, confidential, non-partisan, and objective expertise across the full range of legislative policy issues.

**National Library Service for the Blind and Physically Handicapped.**—The National Library Service for the Blind and Physically Handicapped manages a free national reading program for blind and physically handicapped people - circulating at no cost to users approximately 25,000,000 items a year.

**Revolving Gift Funds.**—Under the authority of 2 U.S.C. 160, the Library of Congress operates six gift revolving activities that provide traveling exhibits, publishing services, and special music programs for the benefit of other libraries, institutions, and the general public.

Object Classification (in millions of dollars)

Identification code 03-9971-0-7-503	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
31.0 Equipment .....	2	2	2
33.0 Investments and loans .....	2	2	2
41.0 Grants, subsidies, and contributions .....	2	2	3
99.0 Direct obligations .....	13	15	16
33.0 Allocation Account - reimbursable: Investments and loans .....	2		
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	16	16	17

Employment Summary

Identification code 03-9971-0-7-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	29	30	30

ADMINISTRATIVE PROVISIONS

REIMBURSABLE AND REVOLVING FUND ACTIVITIES

**SEC. 1301. (a) IN GENERAL.**—For fiscal year 2014, the obligational authority of the Library of Congress for the activities described in subsection (b) may not exceed \$185,579,000.

**(b) ACTIVITIES.**—The activities referred to in subsection (a) are reimbursable and revolving fund activities that are funded from sources other than appropriations to the Library in appropriations Acts for the legislative branch.

**(c) TRANSFER OF FUNDS.**—During fiscal year 2014, the Librarian of Congress may temporarily transfer funds appropriated in this Act, under the heading "Library of Congress", under the subheading "Salaries and Expenses", to the revolving fund for the FEDLINK Program and the Federal Research Program established under section 103 of the Library of Congress Fiscal Operations Improvement Act of 2000 (Public Law 106-481; 2 U.S.C. 182c): Provided, That the total amount of such transfers may not exceed \$1,900,000: Provided further, That the appropriate revolving fund account shall reimburse the Library for any amounts transferred to it before the period of availability of the Library appropriation expires.

TRANSFER AUTHORITY

**SEC. 1302. (a) IN GENERAL.**—Amounts appropriated for fiscal year 2014 for the Library of Congress may be transferred during fiscal year 2014 between any of the headings under the heading "Library of Congress"

upon the approval of the Committees on Appropriations of the House of Representatives and the Senate.

(b) **LIMITATION.**—Not more than 10 percent of the total amount of funds appropriated to the account under any heading under the heading "Library of Congress" for fiscal year 2014 may be transferred from that account by all transfers made under subsection (a).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**GOVERNMENT PRINTING OFFICE**

**Federal Funds**

CONGRESSIONAL PRINTING AND BINDING

(INCLUDING TRANSFER OF FUNDS)

For authorized printing and binding for the Congress and the distribution of Congressional information in any format; printing and binding for the Architect of the Capitol; expenses necessary for preparing the semi-monthly and session index to the Congressional Record, as authorized by law (section 902 of title 44, United States Code); printing and binding of Government publications authorized by law to be distributed to Members of Congress; and printing, binding, and distribution of Government publications authorized by law to be distributed without charge to the recipient, \$79,736,000: Provided, That this appropriation shall not be available for paper copies of the permanent edition of the Congressional Record for individual Representatives, Resident Commissioners or Delegates authorized under section 906 of title 44, United States Code: Provided further, That this appropriation shall be available for the payment of obligations incurred under the appropriations for similar purposes for preceding fiscal years: Provided further, That notwithstanding the 2-year limitation under section 718 of title 44, United States Code, none of the funds appropriated or made available under this Act or any other Act for printing and binding and related services provided to Congress under chapter 7 of title 44, United States Code, may be expended to print a document, report, or publication after the 27-month period beginning on the date that such document, report, or publication is authorized by Congress to be printed, unless Congress reauthorizes such printing in accordance with section 718 of title 44, United States Code: Provided further, That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Printing Office revolving fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate: Provided further, That notwithstanding sections 901, 902, and 906 of title 44, United States Code, this appropriation may be used to prepare indexes to the Congressional Record on only a monthly and session basis.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 04–0203–0–1–801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	91	91	80
0900 Total new obligations (object class 24.0) .....	91	91	80
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	91	91	80
1160 Appropriation, discretionary (total) .....	91	91	80
1930 Total budgetary resources available .....	91	91	80
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	57	59	46
3010 Obligations incurred, unexpired accounts .....	91	91	80

3020 Outlays (gross) .....	–79	–104	–99
3041 Recoveries of prior year unpaid obligations, expired .....	–10		
3050 Unpaid obligations, end of year .....	59	46	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	57	59	46
3200 Obligated balance, end of year .....	59	46	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	91	91	80
Outlays, gross:			
4010 Outlays from new discretionary authority .....	57	82	72
4011 Outlays from discretionary balances .....	22	22	27
4020 Outlays, gross (total) .....	79	104	99
4180 Budget authority, net (total) .....	91	91	80
4190 Outlays, net (total) .....	79	104	99

This appropriation covers authorized printing and binding for the Congress and the Architect of the Capitol, content management, and for printing and binding of Government publications authorized by law to be distributed to Members of Congress. Also, this appropriation includes funding for printing, binding, and distribution of Government publications authorized by law to be distributed without charge to the recipients.

OFFICE OF SUPERINTENDENT OF DOCUMENTS

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For expenses of the Office of Superintendent of Documents necessary to provide for the cataloging and indexing of Government publications and their distribution to the public, Members of Congress, other Government agencies, and designated depository and international exchange libraries as authorized by law, \$35,823,000: Provided, That amounts of not more than \$2,000,000 from current year appropriations are authorized for producing and disseminating Congressional serial sets and other related publications for fiscal years 2012 and 2013 to depository and other designated libraries: Provided further, That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Printing Office revolving fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 04–0201–0–1–808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Depository Library Distribution .....	23	23	24
0002 Cataloging and Indexing .....	11	11	11
0003 International Exchange .....	1	1	1
0900 Total new obligations .....	35	35	36
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	35	35	36
1160 Appropriation, discretionary (total) .....	35	35	36
1930 Total budgetary resources available .....	35	35	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	32	28	30
3010 Obligations incurred, unexpired accounts .....	35	35	36
3020 Outlays (gross) .....	–35	–33	–35
3041 Recoveries of prior year unpaid obligations, expired .....	–4		
3050 Unpaid obligations, end of year .....	28	30	31

OFFICE OF SUPERINTENDENT OF DOCUMENTS—Continued  
Program and Financing—Continued

Identification code 04-0201-0-1-808	2012 actual	2013 CR	2014 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	32	28	30
3200 Obligated balance, end of year .....	28	30	31
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	35	35	36
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26	16	16
4011 Outlays from discretionary balances .....	9	17	19
4020 Outlays, gross (total) .....	35	33	35
4180 Budget authority, net (total) .....	35	35	36
4190 Outlays, net (total) .....	35	33	35

The Office of the Superintendent of Documents operates under a separate appropriation that provides funds for: (1) the mailing for Members of Congress and other Government agencies of certain Government publications, as authorized by law; (2) the distribution of Government publications to designated depository libraries, including tangible Government information products, online access to over approximately 5,000 databases of Federal Government information, via GPO's Federal Digital System (FDsys); (3) the compilation of catalogs and indexes of Government publications; and (4) the distribution of Government publications in the International Exchange Program. These four functions are related to the publication activity of other agencies and to the demands of the public, Members of Congress, and depository libraries. Consequently, the Office of the Superintendent of Documents can exercise limited control over the volume of work which it may be called upon to perform. Following is a description of these four functions.

*Distribution for other Government agencies and Members of Congress (By-Law Distribution).*—The Office of Superintendent of Documents maintains mailing lists and mails, at the request of Government agencies and Members of Congress, certain publications specified by public law.

*Federal Depository Library Program.*—Established by Congress to ensure the American public has access to its Government's information, the Federal Depository Library Program (FDLP) involves the acquisition, format conversion, and distribution of depository materials and the coordination of Federal depository libraries across the country.

The mission of the FDLP is to disseminate information products from all three branches of the Government to about 1,200 libraries nationwide. Libraries that have been designated as Federal depositories maintain these information products (as provided by GPO) as part of their existing collections and are responsible for assuring that the public has free access to the material provided by the FDLP.

Included in this program is the maintenance and expansion of free, electronic access to information products produced by the Federal Government via FDsys. Electronic information dissemination and access have greatly expanded the number of publications offered to the Federal depository libraries as well as increasing public use of the FDLP content. As the FDLP continues its transition to a primarily electronic program, the costs of the program are increasingly related to identifying, acquiring, cataloging, linking to, authenticating, modernizing, and providing permanent public access to electronic Government information, which involves recurring costs.

*Cataloging and indexing.*—The Office of Superintendent of Documents is charged with preparing catalogs and indexes of all publications issued by the Federal Government that are not

confidential in character. The principal publication is the web-based "Catalog of U.S. Government Publications" (CGP). GPO's goal is to expand the CGP to a more comprehensive title listing of public documents, both historic and electronic, to increase the visibility and use of Government information products.

*International exchange.*—Under the direction of the Library of Congress (LC), the Superintendent of Documents distributes tangible Government publications to foreign governments that agree to send the United States similar publications of their governments for the LC collections.

Object Classification (in millions of dollars)

Identification code 04-0201-0-1-808	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	8	10	10
12.1 Civilian personnel benefits .....	2	3	3
22.0 Transportation of things .....	1	1	1
24.0 Printing and reproduction .....	8	8	9
25.2 Other services from non-Federal sources .....	16	13	13
99.9 Total new obligations .....	35	35	36

Employment Summary

Identification code 04-0201-0-1-808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	95	114	114

GOVERNMENT PRINTING OFFICE REVOLVING FUND

*For payment to the Government Printing Office Revolving Fund, \$12,919,000, to remain available until expended, for information technology development, digital equipment, and facilities repair: Provided, That the Government Printing Office is hereby authorized to make such expenditures, within the limits of funds available and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs and purposes set forth in the budget for the current fiscal year for the Government Printing Office revolving fund: Provided further, That not more than \$7,500 may be expended on the certification of the Public Printer in connection with official representation and reception expenses: Provided further, That the revolving fund shall be available for the hire or purchase of not more than 12 passenger motor vehicles: Provided further, That expenditures in connection with travel expenses of the advisory councils to the Public Printer shall be deemed necessary to carry out the provisions of title 44, United States Code: Provided further, That the revolving fund shall be available for temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level V of the Executive Schedule under section 5316 of such title: Provided further, That activities financed through the revolving fund may provide information in any format: Provided further, That the revolving fund and the funds provided under the headings "Office of Superintendent of Documents" and "Salaries and Expenses" may not be used for contracted security services at GPO's passport facility in the District of Columbia.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 04-4505-0-4-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Printing and binding .....	661	667	677
0802 Publication and Information Sales .....	20	16	19
0811 Capital investment .....	17	42	28

0900	Total new obligations .....	698	725	724
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	165	130	80
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	1		
1012	Unobligated balance transfers between expired and unexpired accounts .....	14		
1050	Unobligated balance (total) .....	179	130	80
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1	1	13
1160	Appropriation, discretionary (total) .....	1	1	13
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	668	693	709
1801	Change in uncollected payments, Federal sources .....	-20	-19	-21
1850	Spending auth from offsetting collections, mand (total) .....	648	674	688
1900	Budget authority (total) .....	649	675	701
1930	Total budgetary resources available .....	828	805	781
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	130	80	57
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	451	563	567
3010	Obligations incurred, unexpired accounts .....	698	725	724
3020	Outlays (gross) .....	-586	-721	-839
3050	Unpaid obligations, end of year .....	563	567	452
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-320	-300	-281
3070	Change in uncollected pymts, Fed sources, unexpired .....	20	19	21
3090	Uncollected pymts, Fed sources, end of year .....	-300	-281	-260
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	131	263	286
3200	Obligated balance, end of year .....	263	286	192
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1	1	13
Outlays, gross:				
4010	Outlays from new discretionary authority .....		1	13
Mandatory:				
4090	Budget authority, gross .....	648	674	688
Outlays, gross:				
4100	Outlays from new mandatory authority .....	421	573	585
4101	Outlays from mandatory balances .....	165	147	241
4110	Outlays, gross (total) .....	586	720	826
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-653	-684	-700
4123	Non-Federal sources .....	-15	-9	-9
4130	Offsets against gross budget authority and outlays (total) ...	-668	-693	-709
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	20	19	21
4170	Outlays, net (mandatory) .....	-82	27	117
4180	Budget authority, net (total) .....	1	1	13
4190	Outlays, net (total) .....	-82	28	130

By law, GPO's revolving fund is used to finance GPO's printing, print procurement, and sales of Government publications operations. Apart from passport production, over 70 percent of GPO's printing revenue is from agencies for work procured by the GPO to the private sector printing industry through the Print Procurement Program. These printing needs are effectively satisfied through this procurement activity because the highly competitive process provides access to the vast resources, expertise, and specialization within the commercial sector. The Program competitively acquires products and services from more than 2,000 private sector firms in all 50 states every year through various types of procurement vehicles tailored to the specific needs of customers. It is one of the Government's most successful procurement programs, assuring the most cost-effective use of the taxpayers' print dollar. For our customers in Federal agencies, we will continue to provide printed products and services through private sector vendors using GPO's experience and buying power

to create the best value for taxpayers, and offering them more flexibility in choosing and working directly with vendors. GPO's in-plant facility provides electronic and tangible print products in support of the information and day-to-day business needs of Congress and Federal agencies. GPO produces the *Congressional Record* overnight when Congress is in session, and bills, hearings, documents, reports and committee prints in time to support Congress' legislative needs. Also produced are the *Federal Register*, the *Code of Federal Regulations*, passports (both in Washington, DC, and at our alternate production facility at the Stennis Space Center, MS), smart cards, and other key Government documents, such as the annual *U.S. Budget*. With a few exceptions, the paper used to produce the printed documents by GPO and its contractors meets or exceeds Federal recycled paper requirements, and all GPO printing inks are manufactured from vegetable oil in accordance with the Vegetable Ink Printing Act of 1994.

By law, GPO offers Government publications for sale to the public. Thousands of titles are for sale at any given time, including books, CD-ROMs, and other electronic formats. Some titles are available in e-book format. GPO's publications sales program provides the public with a wide variety of low cost consumer-oriented publications as well as Congressional documents and Executive and Judicial publications. Documents can also be ordered through GPO's secure Online Bookstore site at <http://bookstore.gpo.gov>. Free low cost publications are distributed through the Federal Citizen Information Center in Pueblo, CO. GPO also provides publications distribution services for Federal agencies on a reimbursable basis.

**Object Classification (in millions of dollars)**

Identification code 04-4505-0-4-808	2012 actual	2013 CR	2014 est.	
<b>Reimbursable obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	157	154	158
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	158	155	159
12.1	Civilian personnel benefits .....	51	52	53
21.0	Travel and transportation of persons .....	1	1	1
22.0	Transportation of things .....	8	10	10
23.2	Rental payments to others .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	13	16	16
24.0	Printing and reproduction .....	308	277	283
25.2	Other services from non-Federal sources .....	36	37	37
26.0	Supplies and materials .....	104	133	135
31.0	Equipment .....	17	42	28
99.9	Total new obligations .....	698	725	724

**Employment Summary**

Identification code 04-4505-0-4-808	2012 actual	2013 CR	2014 est.	
2001	Reimbursable civilian full-time equivalent employment .....	1,825	1,796	1,796

**GOVERNMENT ACCOUNTABILITY OFFICE**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the Government Accountability Office, including not more than \$12,500 to be expended on the certification of the Comptroller General of the United States in connection with official representation and reception expenses; temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level IV of the Executive Schedule under section 5315 of such title; hire of one passenger motor vehicle; advance payments in foreign countries in accordance with section 3324 of title 31, United States Code; benefits

SALARIES AND EXPENSES—Continued

comparable to those payable under sections 901(5), (6), and (8) of the Foreign Service Act of 1980 (22 U.S.C. 4081(5), (6), and (8)); and under regulations prescribed by the Comptroller General of the United States, rental of living quarters in foreign countries, \$524,339,000: Provided, That, in addition, \$31,918,000 of payments received under sections 782, 3521, and 9105 of title 31, United States Code, shall be available without fiscal year limitation: Provided further, That this appropriation and appropriations for administrative expenses of any other department or agency which is a member of the National Intergovernmental Audit Forum or a Regional Intergovernmental Audit Forum shall be available to finance an appropriate share of either Forum's costs as determined by the respective Forum, including necessary travel expenses of non-Federal participants: Provided further, That payments hereunder to the Forum may be credited as reimbursements to any appropriation from which costs involved are initially financed.

ADMINISTRATIVE PROVISIONS

Sec. 1. (a) Available balances of expired Government Accountability Office appropriations shall be available to the Government Accountability Office to make the deposit to the credit of the Employees' Compensation Fund required by section 8147(b) of title 5, United States Code. (b) This section shall apply with respect to appropriations for fiscal years 2013 and each fiscal year thereafter.

Sec. 2. Subsection 3555(c) of title 31, United States Code, is amended, (1) by inserting "(1)" after "(c)"; and (2) by adding at the end the following new paragraph: "(2) The Comptroller General may charge and collect fees for filing protests under this subchapter for the purpose of covering the costs of developing, maintaining, and operating an electronic system for filing such protests. The Comptroller General may retain and use such fees immediately and without fiscal year limitation for such purpose."

Sec. 3. Section 901 of division A of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) is amended, (1) in paragraph (a)(1) by striking "bimonthly" and inserting in its place "annual"; and (2) in subsection (a) by adding at the end the following new paragraph: "(3): The Comptroller General shall conduct reviews and prepare reports under this subsection through October 1, 2014."

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 05-0107-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 GOAL 1-Timely, quality service to Congress & federal gov. to address current & emerging challenges to the well-being & financial security of the American people .....	207	202	206
0002 GOAL 2-Timely, quality service to Congress & federal government to respond to changing security threats & the challenges of global interdependence .....	128	121	124
0003 GOAL 3-Help transform the federal government's role & how it does business to meet 21st century challenges .....	135	140	143
0004 GOAL 4-Maximize the value of GAO by being a model federal agency & a world-class professional services organization .....	18	13	13
0005 GOAL 8-Other costs to support the Congress .....	23	38	38
0799 Total direct obligations .....	511	514	524
0801 Reimbursable program activity goal 1 .....	2	8	12
0803 Reimbursable program activity goal 3 .....	19	25	32
0804 Reimbursable program activity goal 4 .....	1	1	1
0809 Reimbursable program activities, subtotal .....	22	34	45
0899 Total reimbursable obligations .....	22	34	45
0900 Total new obligations .....	533	548	569
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	27	27
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	511	514	524
1160 Appropriation, discretionary (total) .....	511	514	524

Spending authority from offsetting collections, discretionary:			
1700 Collected .....	31	34	45
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	38	34	45
1900 Budget authority (total) .....	549	548	569
1930 Total budgetary resources available .....	560	575	596
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	27	27	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	74	67	28
3010 Obligations incurred, unexpired accounts .....	533	548	569
3011 Obligations incurred, expired accounts .....	10		
3020 Outlays (gross) .....	-539	-587	-577
3041 Recoveries of prior year unpaid obligations, expired .....	-11		
3050 Unpaid obligations, end of year .....	67	28	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-14	-14
3070 Change in uncollected pymts, Fed sources, unexpired .....	-7		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	65	53	14
3200 Obligated balance, end of year .....	53	14	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	549	548	569
Outlays, gross:			
4010 Outlays from new discretionary authority .....	473	537	558
4011 Outlays from discretionary balances .....	66	50	19
4020 Outlays, gross (total) .....	539	587	577
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-33	-34	-45
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-7		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	-5		
4070 Budget authority, net (discretionary) .....	511	514	524
4080 Outlays, net (discretionary) .....	506	553	532
4180 Budget authority, net (total) .....	511	514	524
4190 Outlays, net (total) .....	506	553	532

GAO exists to support the Congress in meeting its constitutional responsibilities and to help improve the performance and ensure the accountability of the Federal Government for the benefit of the American people.

Object Classification (in millions of dollars)

Identification code 05-0107-0-1-801	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	306	305	317
11.3 Other than full-time permanent .....	11	12	13
11.5 Other personnel compensation .....	2	4	4
11.9 Total personnel compensation .....	319	321	334
12.1 Civilian personnel benefits .....	92	96	100
21.0 Travel and transportation of persons .....	7	7	7
23.1 Rental payments to GSA .....	9	8	7
23.3 Communications, utilities, and miscellaneous charges .....	9	7	4
25.1 Advisory and assistance services .....	3	4	4
25.2 Other services from non-Federal sources .....	17	15	15
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	4	3	2
25.7 Operation and maintenance of equipment .....	41	47	47
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	2	1	1
32.0 Land and structures .....	6	2	
99.0 Direct obligations .....	511	514	524
99.0 Reimbursable obligations .....	21	34	45
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	533	548	569

**Employment Summary**

Identification code 05-0107-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,977	2,955	3,078
2001 Reimbursable civilian full-time equivalent employment .....	20	20	20

**UNITED STATES TAX COURT**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses, including contract reporting and other services as authorized by 5 U.S.C. 3109, \$52,653,294: Provided, That travel expenses of the judges shall be paid upon the written certificate of the judge.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 23-0100-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	51	51	53
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	52	51	53
1160 Appropriation, discretionary (total) .....	52	51	53
1930 Total budgetary resources available .....	52	51	53
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	9	6
3010 Obligations incurred, unexpired accounts .....	51	51	53
3020 Outlays (gross) .....	-49	-52	-55
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	9	6	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	9	6
3200 Obligated balance, end of year .....	9	6	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52	51	53
Outlays, gross:			
4010 Outlays from new discretionary authority .....	46	50	53
4011 Outlays from discretionary balances .....	3	2	2
4020 Outlays, gross (total) .....	49	52	55
4180 Budget authority, net (total) .....	52	51	53
4190 Outlays, net (total) .....	49	52	55

The United States Tax Court (referred to herein as the Tax Court or Court) was established in 1969 under Article I of the United States Constitution. The Court's jurisdiction is established by U.S. Code Title 26 (the Internal Revenue Code). The Court is a court of law, which the Supreme Court has said closely resembles the Federal District Courts and solely exercises judicial powers. It is also a court of national jurisdiction and conducts trial sessions in 74 cities throughout the United States.

The Tax Court is independent of the Executive and Legislative Branches. It is not affiliated with the Internal Revenue Service (IRS). It is one of three courts in which taxpayers can bring suit to contest IRS liability determinations, and the only one in which taxpayers can do so without prepaying any portion the disputed taxes.

By law, the Tax Court is authorized 19 judges who, among themselves, elect one as Chief Judge. Judges are appointed to 15-year terms by the President, by and with the advice and consent of the Senate. Retired (also known as Senior) judges may be recalled by the Chief Judge to perform judicial duties. The Chief Judge is also authorized to appoint special trial judges who have statutory authority to decide several categories of cases, including cases involving up to \$50,000 in dispute per tax year. Decisions by the Tax Court are reviewable by the United States Courts of Appeals and, if *certiorari* is granted, by the Supreme Court.

**Object Classification** (in millions of dollars)

Identification code 23-0100-0-1-752	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	25	25	26
12.1 Civilian personnel benefits .....	6	6	7
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	12	12	12
25.2 Other services from non-Federal sources .....	6	5	5
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....		1	1
99.9 Total new obligations .....	51	51	53

**Employment Summary**

Identification code 23-0100-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	241	260	273

**Trust Funds**

**TAX COURT JUDGES SURVIVORS ANNUITY FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 23-8115-0-7-602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	8	10	10
Adjustments:			
0190 Adjustment - rounding issues over several years. ....	3		
0199 Balance, start of year .....	11	10	10
Receipts:			
0240 Tax Court Judges Survivors Annuity, Interest and Profits on Investments .....		1	1
0400 Total: Balances and collections .....	11	11	11
Appropriations:			
0500 Tax Court Judges Survivors Annuity Fund .....	-1	-1	-1
0799 Balance, end of year .....	10	10	10

**Program and Financing** (in millions of dollars)

Identification code 23-8115-0-7-602	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	1	1
0900 Total new obligations (object class 12.1) .....	1	1	1
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	2
3010 Obligations incurred, unexpired accounts .....	1	1	1
3050 Unpaid obligations, end of year .....	1	2	3

TAX COURT JUDGES SURVIVORS ANNUITY FUND—Continued  
Program and Financing—Continued

Identification code 23-8115-0-7-602	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		1	2
3200 Obligated balance, end of year .....	1	2	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	11	11	11
5001 Total investments, EOY: Federal securities: Par value .....	11	11	11

The Tax Court Judges' Survivors Annuity Fund provides survivorship benefits to eligible surviving spouses and dependent children of deceased Tax Court judges. Participating judges pay 3.5 percent of their salaries or retired pay into the fund to cover creditable service for which payment is required. Additional funds, as needed, are provided through the Court's annual appropriation. As of September 30, 2012, 23 judges were participating in the fund. Also as of September 30, 2012, 6 surviving spouses and 1 eligible dependent child were receiving survivorship annuity payments.

LEGISLATIVE BRANCH BOARDS AND COMMISSIONS

Federal Funds

MEDICARE PAYMENT ADVISORY COMMISSION

SALARIES AND EXPENSES

For expenses necessary to carry out section 1805 of the Social Security Act, \$12,087,000, to be transferred to this appropriation from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 48-1550-0-1-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	12	12	12
0809 Reimbursable program activities, subtotal .....	12	12	12
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	12	12	12
1750 Spending auth from offsetting collections, disc (total) .....	12	12	12
1930 Total budgetary resources available .....	12	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	12	12	12
3020 Outlays (gross) .....	-12	-12	-12
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	12	12

Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	12	12
4011 Outlays from discretionary balances .....	3		
4020 Outlays, gross (total) .....	12	12	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-12	-12	-12

The Medicare Payment Advisory Commission, established under section 1805 of the Social Security Act (42 U.S.C. 1395(b)(6) as added by section 4022 of the Balanced Budget Act of 1997 (P.L. 105-33), is an independent legislative agency charged with advising the Congress on payment and other policy issues affecting the Medicare program, as well as on the implications of changes in health care delivery in the United States and in the market for health care services on the Medicare program.

The Commission's 17 members represent diverse points of view including providers, payers, consumers, employers, and individuals with expertise in biomedical, health services, and health economics research. It maintains a full time staff of 40 in Washington, D.C.

The Commission is required by law to report to the Congress on March 1 and June 15 of each year, and to comment on congressionally mandated reports of the Secretary of Health and Human Services.

Object Classification (in millions of dollars)

Identification code 48-1550-0-1-571	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	12	12	12

Employment Summary

Identification code 48-1550-0-1-571	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	38	38	38

NATIONAL HEALTH CARE WORKFORCE COMMISSION

For necessary expenses for the National Health Care Workforce Commission, as authorized by title V, subtitle B, section 5101 of the Patient Protection and Affordable Care Act (Public Law 111-148), \$3,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 09-1805-0-1-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			3
0900 Total new obligations .....			3
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			3
1160 Appropriation, discretionary (total) .....			3
1930 Total budgetary resources available .....			3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			3
3020 Outlays (gross) .....			-3

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	3	
	Outlays, gross:		
4010	Outlays from new discretionary authority	3	
4180	Budget authority, net (total)	3	
4190	Outlays, net (total)	3	

The National Health Care Workforce Commission (the Commission) was established under section 5101 of The Patient Protection and Affordable Care Act. The independent Commission was created to serve as a national resource on health care workforce policy for the Congress, the President, states and localities; communicate and coordinate with federal departments; develop and commission evaluations of education and training activities; identify barriers to improve coordination at the federal, state, and local levels and recommend ways to address them; and to encourage innovations that address population needs, changing technology, and other environmental factors affecting the health care workforce. The Commission's 15 members represent diverse points of view and expertise on workforce issues, including representatives of health care workforce and health professionals; employers, including small business and self-employed individuals; third-party payers; individuals skilled in the conduct and interpretation of health care services and health economics research; representatives of consumers, labor unions, state or local workforce investment boards; and educational institutions. The Commission will maintain a full time staff in Washington, D.C., and is required by law to report to the Congress on April 1 and October 1 of each year.

**Object Classification** (in millions of dollars)

Identification code 09-1805-0-1-801	2012 actual	2013 CR	2014 est.
25.2 Direct obligations: Other services from non-Federal sources			2
99.5 Below reporting threshold			1
99.9 Total new obligations			3

**MEDICAID AND CHIP PAYMENT AND ACCESS COMMISSION**  
**SALARIES AND EXPENSES**

For expenses necessary to carry out section 1900 of the Social Security Act, \$9,500,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 09-1801-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0123 Direct program activity	7	11	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	6	5	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	10
1160 Appropriation, discretionary (total)	6	6	10
1900 Budget authority (total)	6	6	10
1930 Total budgetary resources available	12	11	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5		

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	6
3010 Obligations incurred, unexpired accounts	7	11	10
3020 Outlays (gross)	-5	-8	-10
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	3	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	6
3200 Obligated balance, end of year	3	6	6

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6	6	10
	Outlays, gross:		
4010 Outlays from new discretionary authority	4	5	9
4011 Outlays from discretionary balances			1
4020 Outlays, gross (total)	4	5	10
Mandatory:			
	Outlays, gross:		
4101 Outlays from mandatory balances	1	3	
4180 Budget authority, net (total)	6	6	10
4190 Outlays, net (total)	5	8	10

The Medicaid and CHIP Payment and Access Commission (MACPAC), established under section 1900 of the Social Security Act (42 U.S.C. 1396) as added by section 506 the Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3) and later amended by section 2801 of the Patient Protection and Affordable Care Act (P.L. 111-148), is an independent legislative agency charged with advising the Congress on access, payment, and other policies affecting Medicaid and CHIP. The Commission's 17 members, appointed by the Comptroller General of the United States, provide diverse expertise on the Medicaid and CHIP programs. The Commissioners include health care providers, health plan executives, parents or caregivers of enrollees, current and former state and Federal Medicaid and CHIP officials, an actuary, and other Medicaid/CHIP experts. MACPAC is required by law to report to the Congress on March 15 and June 15 of each year and to comment on congressionally mandated reports of the Secretary of Health and Human Services. In carrying out its duties, MACPAC regularly consults with states to inform its analytic work and recommendations. It also coordinates with MedPAC and the Federal Coordinated Health Care Office regarding issues affecting individuals eligible for both Medicare and Medicaid.

**Object Classification** (in millions of dollars)

Identification code 09-1801-0-1-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	4	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	4	6	5
99.9 Total new obligations	7	11	10

**Employment Summary**

Identification code 09-1801-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	20	26	29

**UNITED STATES-CHINA ECONOMIC AND SECURITY REVIEW COMMISSION**  
**SALARIES AND EXPENSES**

For necessary expenses of the United States-China Economic and Security Review Commission, as authorized by section 1238 of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (22 U.S.C. 7002), \$3,500,000, including not more than \$4,000 for the purpose of official representation, to remain available until September 30, 2015: Provided,

UNITED STATES-CHINA ECONOMIC AND SECURITY REVIEW  
COMMISSION—Continued

That the Commission shall provide to the Committees on Appropriations a quarterly accounting of the cumulative balances of any unobligated funds that were received by the Commission during any previous fiscal year: Provided further, That section 308(e) of the United States-China Relations Act of 2000 (22 U.S.C. 6918(e)) (relating to the treatment of employees as Congressional employees), and section 309 of such Act (22 U.S.C. 6919) (relating to printing and binding costs), shall apply to the Commission in the same manner as such section applies to the Congressional-Executive Commission on the People's Republic of China: Provided further, That the Commission shall comply with chapter 43 of title 5, United States Code, regarding the establishment and regular review of employee performance appraisals: Provided further, That the Commission shall comply with section 4505a of title 5, United States Code, with respect to limitations on payment of performance-based cash awards: Provided further, That compensation for the executive director of the Commission may not exceed the rate payable for level II of the Executive Schedule under section 5313 of title 5, United States Code: Provided further, That travel by members and staff of the Commission shall be arranged and conducted under the rules and procedures applying to travel by members and staff of the House of Representatives.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 48–2973–0–1–801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3	3	4
0900 Total new obligations .....	3	3	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	4
1160 Appropriation, discretionary (total) .....	3	3	4
1930 Total budgetary resources available .....	4	4	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	3	4
3020 Outlays (gross) .....	–3	–3	–4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	3	4
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	3	3	4
4180 Budget authority, net (total) .....	3	3	4
4190 Outlays, net (total) .....	3	3	4

*U.S.-China Economic and Security Review Commission.*—Congress created the U.S.-China Economic and Security Review Commission (USCC) in 2000 in the National Defense Authorization Act (Public Law 106–398 as amended by Division P of the Consolidated Appropriations Resolution, 2003 (Public Law 108–7), as amended by Public Law 109–108 (November 10, 2005). The statute gives the Commission the mandate to monitor, investigate, and assess the "national security implications of the bilateral trade and economic relationship between the United States and the People's Republic of China." Its members are appointed by Congressional leaders, and its statutory mandate is to report to Congress on Chinese proliferation practices; the qualitative and

quantitative effects of transfers of U.S. economic production activities to China; the effect of China's development on world energy supplies; the access to and use of U.S. capital markets by China; China's regional economic and security impacts; U.S.-China bilateral programs and agreements; China's compliance with its accession agreement to the World Trade Organization; and the implications of China's restrictions on freedom of expression. The Commission reports annually on these issues to the Congress, making recommendations for policy action and legislation when appropriate. In order to obtain new information and perspectives on these issues, the USCC conducts hearings throughout the year and maintains a website containing the records of these proceedings as well as original commissioned research on economic and security matters related to the Commission's statutory mandate.

The Commission is comprised of 12 Commissioners, 3 Commissioners appointed by each leader in the House and Senate, supported by a professional staff numbering approximately 18. The chairmanship of the USCC rotates between a Republican and a Democratic Commissioner upon issuance of each annual report to Congress.

Object Classification (in millions of dollars)

Identification code 48–2973–0–1–801	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	3
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	3	3	4

Employment Summary

Identification code 48–2973–0–1–801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	18	18	18
1001 Direct civilian full-time equivalent employment .....	12	12	12

UNITED STATES COMMISSION ON INTERNATIONAL RELIGIOUS FREEDOM  
SALARIES AND EXPENSES

*For necessary expenses for the United States Commission on International Religious Freedom, as authorized by title II of the International Religious Freedom Act of 1998 (Public Law 105–292), \$3,500,000, to remain available until September 30, 2014: Provided, That if the United States Commission on International Religious Freedom is authorized beyond September 30, 2014, this amount will remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 48–2975–0–1–801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3	3	4
0900 Total new obligations .....	3	3	4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	4
1160 Appropriation, discretionary (total) .....	3	3	4
1930 Total budgetary resources available .....	3	3	4

Change in obligated balance:				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	3	3	4
3020	Outlays (gross) .....	-3	-3	-3
3050	Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	3	3	4
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	3	3
4180	Budget authority, net (total) .....	3	3	4
4190	Outlays, net (total) .....	3	3	3

The United States Commission on International Religious Freedom is an independent, bipartisan U.S. Government agency that was created by the International Religious Freedom Act of 1998 to monitor the status of freedom of thought, conscience, and religion or belief abroad, as defined in the Universal Declaration of Human Rights and related international instruments, and to give independent policy recommendations to the President, the Secretary of State and the Congress.

**Object Classification** (in millions of dollars)

Identification code 48-2975-0-1-801	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5 Below reporting threshold .....	1	1	2
99.9 Total new obligations .....	3	3	4

**Employment Summary**

Identification code 48-2975-0-1-801	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3	14	14

OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS

COMMISSION ON SECURITY AND COOPERATION IN EUROPE

SALARIES AND EXPENSES

For necessary expenses of the Commission on Security and Cooperation in Europe, as authorized by Public Law 94-304, \$2,579,250, to remain available until September 30, 2015.

CONGRESSIONAL-EXECUTIVE COMMISSION ON THE PEOPLE'S REPUBLIC OF CHINA

SALARIES AND EXPENSES

For necessary expenses of the Congressional-Executive Commission on the People's Republic of China, as authorized by title III of the U.S.-China Relations Act of 2000 (22 U.S.C. 6911-6919), \$2,000,000, including not more than \$3,000 for the purpose of official representation, to remain available until September 30, 2015.

DWIGHT D. EISENHOWER MEMORIAL COMMISSION

SALARIES AND EXPENSES

For necessary expenses, including the costs of construction design, of the Dwight D. Eisenhower Memorial Commission, \$2,000,000, to remain available until expended.

**Program and Financing** (in millions of dollars)

Identification code 09-9911-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6	7	7

Budgetary Resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	8	8	8
1029	Other balances withdrawn .....	-1		
1050	Unobligated balance (total) .....	7	8	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	7	7	7
1160	Appropriation, discretionary (total) .....	7	7	7
1900	Budget authority (total) .....	7	7	7
1930	Total budgetary resources available .....	14	15	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	8	8	8

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010	Obligations incurred, unexpired accounts .....	6	7	7
3020	Outlays (gross) .....	-6	-7	-6
3050	Unpaid obligations, end of year .....	2	2	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	2	2
3200	Obligated balance, end of year .....	2	2	3

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	7	7	7
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	6	6
4011	Outlays from discretionary balances .....	3	1	
4020	Outlays, gross (total) .....	6	7	6
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4180	Budget authority, net (total) .....	7	7	7
4190	Outlays, net (total) .....	6	7	6

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value .....	1	1	1
5001	Total investments, EOY: Federal securities: Par value .....	1	1	1

This presentation includes the following:

*Commission on Security and Cooperation in Europe.*—The Commission on Security and Cooperation in Europe is authorized and directed to monitor the acts of the signatories which reflect compliance with or violation of the articles of the Final Act of the Conference on Security and Cooperation in Europe, with particular regard to the provisions relating to Cooperation in Humanitarian Fields. The law establishing the Commission on Security and Cooperation in Europe also mandated it to monitor and encourage U.S. Government and private activities designed to expand East-West trade and the exchange of people and ideas. The Commission will receive an annual report from the Secretary of State discussing the overall United States policy objectives that are advanced through meetings of decision-making bodies of the Organization for Security and Cooperation in Europe (OSCE), the OSCE implementation review process, and other activities of the OSCE.

*Congressional-Executive Commission on the People's Republic of China.*—Congress created the Congressional-Executive Commission on the People's Republic of China (CECC) in 2000 by passing Title III of P.L. 106-286, the China Relations Act of 2000. The statute gives the Commission the mandate to monitor the Chinese government's compliance with international human rights standards and to track the development of the rule of law in China. The Commission reports annually on these issues to the President and the Congressional leadership, making recommendations for policy action and legislation when appropriate. The CECC was also charged with creating and maintaining a registry of victims of human rights abuses in China, including prisoners of conscience. The CECC conducts hearings and staffed issues roundtables throughout the year and maintains a website containing the records of these proceedings, as well as

OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS—Continued  
other information about human rights and rule of law issues in China. The CECC seeks to be a resource on these issues for Capitol Hill, the NGO community, the academic world, and the general public.

The Commission comprises nine Senators, nine Members of the House of Representatives, and five Executive Branch officials, supported by a professional staff numbering about 15 people. The chairmanship of the CECC rotates from the Senate to the House in even-numbered Congresses.

*Dwight D. Eisenhower Memorial Commission.*—The Dwight D. Eisenhower Memorial Commission was created by Congress in 1999 by Public Law 106–79. The Commission's congressional mandate is to establish an appropriate, permanent national memorial to Dwight D. Eisenhower, who served as Supreme Commander of the Allied forces in Europe in World War II and subsequently as 34th President of the United States. The Commission's enabling legislation dictates that a memorial should be created to perpetuate his memory and his contributions to the United States. The Commission is bipartisan and consists of 12 members. Four Commissioners are members of the House of Representatives, four are Senators, and four are private citizens appointed by the President. The Commission is led by a World War II combat-decorated veteran: Rocco C. Siciliano. The Commemorative Works Act and GSA policy require sufficient funding for the Commission to complete the Memorial before construction can begin. To continue our accelerated schedule and open the Memorial in May 2015 (the 70th anniversary of victory in World War II), the Commission requests these funds in FY 2014.

*Capital Construction.*—\$49,000,000 for the construction of the memorial.

**Object Classification** (in millions of dollars)

Identification code 09–9911–0–1–999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
99.0 Direct obligations .....	5	5	5
99.0 Reimbursable obligations .....	1	1	1
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	6	7	7

**Employment Summary**

Identification code 09–9911–0–1–999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	44	44	44

**CAPITAL CONSTRUCTION**

*For necessary expenses of the Dwight D. Eisenhower Memorial Commission for design and construction of a memorial in honor of Dwight D. Eisenhower, as authorized by Public Law 106–79, \$49,000,000, to remain available until expended: Provided, That beginning in fiscal year 2012 and thereafter, any procurement for the construction of the permanent memorial to Dwight D. Eisenhower, as authorized by section 8162 of the Department of Defense Appropriations Act, 2000 (16 U.S.C. 431 note; Public Law 106–79), as amended by section 8120 of the Department of Defense Appropriations Act, 2002 (Public Law 107–117), may be issued which includes the full scope of the project: Provided further, That the solicitation and contract with respect to the procurement shall contain the "availability of funds" clause described in section 52.232.18 of title 48, Code of Federal Regulations: Provided further, That the funds appropriated herein shall be deemed to satisfy the criteria for issuing a permit contained in 40 U.S.C. 8906(a)(4) and (b).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 48–2990–0–1–801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	7	31	49
0293 Direct program activities, subtotal .....	7	31	49
0900 Total new obligations (object class 25.2) .....	7	31	49
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	28	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	31	31	49
1160 Appropriation, discretionary (total) .....	31	31	49
1930 Total budgetary resources available .....	35	59	77
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	28	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	7	28
3010 Obligations incurred, unexpired accounts .....	7	31	49
3020 Outlays (gross) .....	–6	–10	–21
3050 Unpaid obligations, end of year .....	7	28	56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	7	28
3200 Obligated balance, end of year .....	7	28	56
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	31	31	49
Outlays, gross:			
4010 Outlays from new discretionary authority .....		5	7
4011 Outlays from discretionary balances .....	6	5	14
4020 Outlays, gross (total) .....	6	10	21
4180 Budget authority, net (total) .....	31	31	49
4190 Outlays, net (total) .....	6	10	21

**OPEN WORLD LEADERSHIP CENTER TRUST FUND**

*For a payment to the Open World Leadership Center Trust Fund for financing activities of the Open World Leadership Center under section 313 of the Legislative Branch Appropriations Act, 2001 (2 U.S.C. 1151), \$10,061,200.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 09–0145–0–1–154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	10	10	10
0900 Total new obligations (object class 94.0) .....	10	10	10
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	10
1160 Appropriation, discretionary (total) .....	10	10	10
1930 Total budgetary resources available .....	10	10	10

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	
3010	Obligations incurred, unexpired accounts .....	10	10
3020	Outlays (gross) .....	-11	-10
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	10	10
Outlays, gross:			
4010	Outlays from new discretionary authority .....	10	10
4011	Outlays from discretionary balances .....	1	
4020	Outlays, gross (total) .....	11	10
4180	Budget authority, net (total) .....	10	10
4190	Outlays, net (total) .....	11	10

The Open World Leadership Center, under the direction of its Board of Trustees, supports the identification of emerging leaders from foreign countries selected by the Board of Trustees and oversees the development of an intensive program in the United States to link up to 3,000 participants each year with U.S. counterparts. The Center's mission entails enhancing the understanding and capabilities for cooperation between the United States and participating countries by developing a network of leaders who have gained significant, first-hand exposure to America's democratic, accountable government and its free-market system. The Center has also administered a program to enable cultural leaders from the Russian Federation to gain exposure to the operations of American cultural institutions.

The Center is authorized to solicit and accept federal and private funds, in addition to receipt of this appropriation, and to invest appropriated funds in par value securities at the U.S. Treasury. The Center is governed by an eleven-member board of trustees, composed of the Librarian of Congress, members of the U.S. Senate and House of Representatives and representatives of the private sector. The Center is authorized to obtain a wide range of administrative support, including space, from the Library of Congress.

FY 2014 funding supports U.S. grants and logistical services for hosting in communities throughout the United States as well as other operating expenses of the Center.

**Trust Funds**

**JOHN C. STENNIS CENTER FOR PUBLIC SERVICE TRAINING AND DEVELOPMENT**

For payment to the John C. Stennis Center for Public Service Development Trust Fund established under section 116 of the John C. Stennis Center for Public Service Training and Development Act (2 U.S.C. 1105), \$430,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 09-8275-0-7-801	2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....	7	7
Receipts:			
0240	Payments, John C. Stennis Center for Public Service Training and Development .....	1	1
0241	Interest Received by Trust Fund, J. C. Stennis Center .....	1	1
0299	Total receipts and collections .....	1	2
0400	Total: Balances and collections .....	8	9
Appropriations:			
0500	John C. Stennis Center for Public Service Training and Development Trust Fund .....	-1	-2

0799	Balance, end of year .....	7	7	7
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**Program and Financing** (in millions of dollars)

Identification code 09-8275-0-7-801	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	1	2
0900	Total new obligations (object class 99.5) .....	1	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	8	8
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	1	2
1260	Appropriations, mandatory (total) .....	1	2
1900	Budget authority (total) .....	1	2
1930	Total budgetary resources available .....	9	10
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	1	2
3020	Outlays (gross) .....	-1	-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	2
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1	2
4180	Budget authority, net (total) .....	1	2
4190	Outlays, net (total) .....	1	2
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	15	16
5001	Total investments, EOY: Federal securities: Par value .....	16	15

The principal for this fund was established by the transfer of \$7,500,000 from the appropriation "Payment to the John C. Stennis Center". The principal for the Stennis Center Fund is a non-expendable corpus invested in Special Issue Certificates of Indebtedness with the U.S. Treasury. The Center's operations are funded by the interest on these Treasury investments as well as by other funds and contributions provided by outside sources.

**Employment Summary**

Identification code 09-8275-0-7-801	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	2	2

**U.S. CAPITOL PRESERVATION COMMISSION**

**Program and Financing** (in millions of dollars)

Identification code 09-8300-0-7-801	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	10	10
1930	Total budgetary resources available .....	10	10
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	10	10
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	10	10
5001	Total investments, EOY: Federal securities: Par value .....	10	11

OPEN WORLD LEADERSHIP CENTER TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 09-8148-0-7-154	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0240 Payment from the General Fund, Open World Leadership Center Trust Fund	11	10	10
0400 Total: Balances and collections	11	10	10
Appropriations:			
0500 Open World Leadership Center Trust Fund	-11	-10	-10
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 09-8148-0-7-154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	12	10	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	2	1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	11	10	10
1160 Appropriation, discretionary (total)	11	10	10
1930 Total budgetary resources available	13	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	7	6
3010 Obligations incurred, unexpired accounts	12	10	10
3020 Outlays (gross)	-12	-11	-11
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	7	6	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	6	5
3200 Obligated balance, end of year	6	5	4

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	11	10	10
Outlays, gross:			
4010 Outlays from new discretionary authority	6	8	8
4011 Outlays from discretionary balances	6	3	3
4020 Outlays, gross (total)	12	11	11
4180 Budget authority, net (total)	11	10	10
4190 Outlays, net (total)	12	11	11

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	7	6	8
5001 Total investments, EOY: Federal securities: Par value	6	8	8

Object Classification (in millions of dollars)

Identification code 09-8148-0-7-154	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.1 Advisory and assistance services	6	6	6
41.0 Grants, subsidies, and contributions	3	3	3
99.0 Direct obligations	10	10	10
99.5 Below reporting threshold	2		
99.9 Total new obligations	12	10	10

Employment Summary

Identification code 09-8148-0-7-154	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	7	7	7

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
01-32000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	2	2	2
General Fund Offsetting receipts from the public	2	2	2

GENERAL PROVISIONS

MAINTENANCE AND CARE OF PRIVATE VEHICLES

SEC. 201. No part of the funds appropriated in this Act shall be used for the maintenance or care of private vehicles, except for emergency assistance and cleaning as may be provided under regulations relating to parking facilities for the House of Representatives issued by the Committee on House Administration and for the Senate issued by the Committee on Rules and Administration.

FISCAL YEAR LIMITATION

SEC. 202. No part of the funds appropriated in this Act shall remain available for obligation beyond fiscal year 2014 unless expressly so provided in this Act.

RATES OF COMPENSATION AND DESIGNATION

SEC. 203. Whenever in this Act any office or position not specifically established by the Legislative Pay Act of 1929 (46 Stat. 32 et seq.) is appropriated for or the rate of compensation or designation of any office or position appropriated for is different from that specifically established by such Act, the rate of compensation and the designation in this Act shall be the permanent law with respect thereto: Provided, That the provisions in this Act for the various items of official expenses of Members, officers, and committees of the Senate and House of Representatives, and clerk hire for Senators and Members of the House of Representatives shall be the permanent law with respect thereto.

CONSULTING SERVICES

SEC. 204. The expenditure of any appropriation under this Act for any consulting service through procurement contract, under section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued under existing law.

AWARDS AND SETTLEMENTS

SEC. 205. Such sums as may be necessary are appropriated to the account described in subsection (a) of section 415 of the Congressional Accountability Act of 1995 (2 U.S.C. 1415(a)) to pay awards and settlements as authorized under such subsection.

COSTS OF LBFMC

SEC. 206. Amounts available for administrative expenses of any legislative branch entity which participates in the Legislative Branch Financial Managers Council (LBFMC) established by charter on March 26, 1996, shall be available to finance an appropriate share of LBFMC costs as determined by the LBFMC, except that the total LBFMC costs to be shared among all participating legislative branch entities (in such allocations among the entities as the entities may determine) may not exceed \$2,000.

LANDSCAPE MAINTENANCE

SEC. 207. The Architect of the Capitol, in consultation with the District of Columbia, is authorized to maintain and improve the landscape features, excluding streets, in the irregular shaped grassy areas bounded by Washington Avenue, SW, on the northeast, Second Street, SW, on the west, Square 582 on the south, and the beginning of the I-395 tunnel on the southeast.

## LIMITATION ON TRANSFERS

*SEC. 208. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriation Act.*

## GUIDED TOURS OF THE CAPITOL

*SEC. 209. (a) Except as provided in subsection (b), none of the funds made available to the Architect of the Capitol in this Act may be used to eliminate or restrict guided tours of the United States Capitol which are led by employees and interns of offices of Members of Congress and other offices of the House of Representatives and Senate.*

*(b) At the direction of the Capitol Police Board, or at the direction of the Architect of the Capitol with the approval of the Capitol Police Board, guided tours of the United States Capitol which are led by employees and interns described in subsection (a) may be suspended temporarily or otherwise subject to restriction for security or related reasons to the same extent as guided tours of the United States Capitol which are led by the Architect of the Capitol.*

*SEC. 210. None of the funds made available in this Act may be used to deliver a printed copy of a bill, joint resolution, or resolution to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress) unless the Member requests a copy.*

*SEC. 211. None of the funds made available by this Act may be used to deliver a printed copy of any version of the Congressional Record to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress).*

*SEC. 212. None of the funds made available in this Act may be used by the Chief Administrative Officer of the House of Representatives to make any payments from any Members' Representational Allowance for the leasing of a vehicle, excluding mobile district offices, in an aggregate amount that exceeds \$1,000 for the vehicle in any month.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.



# JUDICIAL BRANCH

## SUPREME COURT OF THE UNITED STATES

### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the operation of the Supreme Court, as required by law, excluding care of the building and grounds, including hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; not to exceed \$10,000 for official reception and representation expenses; and for miscellaneous expenses, to be expended as the Chief Justice may approve, \$74,838,000, of which \$1,500,000 shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 10–0100–0–1–752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	70	75	75
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	5	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	73	73	73
1160 Appropriation, discretionary (total) .....	73	73	73
Appropriations, mandatory:			
1200 Appropriation .....	2	2	2
1260 Appropriations, mandatory (total) .....	2	2	2
1900 Budget authority (total) .....	75	75	75
1930 Total budgetary resources available .....	75	80	80
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	8	8
3010 Obligations incurred, unexpired accounts .....	70	75	75
3020 Outlays (gross) .....	–70	–67	–75
3050 Unpaid obligations, end of year .....	8	8	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	8	8
3200 Obligated balance, end of year .....	8	8	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	73	73	73
Outlays, gross:			
4010 Outlays from new discretionary authority .....	67	58	58
4011 Outlays from discretionary balances .....	1	7	15
4020 Outlays, gross (total) .....	68	65	73
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
4180 Budget authority, net (total) .....	75	75	75
4190 Outlays, net (total) .....	70	67	75

The Supreme Court of the United States is the highest court of our country and stands at the apex of the judicial branch of our constitutional form of government. The U.S. Supreme Court is the only constitutionally indispensable court in the Federal court system of the United States. The jurisdiction of the Supreme Court is spelled out in the Constitution and allotted by the Congress. The funds herein requested are required to enable the U.S. Supreme Court to carry out its constitutional and congressionally allotted responsibilities.

#### Object Classification (in millions of dollars)

Identification code 10–0100–0–1–752	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	35	37	38
11.3 Other than full-time permanent .....	4	4	4
11.9 Total personnel compensation .....	39	41	42
12.1 Civilian personnel benefits .....	13	14	15
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	9	12	10
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	5	4	4
99.9 Total new obligations .....	70	75	75

#### Employment Summary

Identification code 10–0100–0–1–752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	497	497	497

#### CARE OF THE BUILDING AND GROUNDS

For such expenditures as may be necessary to enable the Architect of the Capitol to carry out the duties imposed upon the Architect by 40 U.S.C. 6111 and 6112, \$11,635,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 10–0103–0–1–752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	13	14	13
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	13	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	12
1160 Appropriation, discretionary (total) .....	8	8	12
1930 Total budgetary resources available .....	26	21	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	7	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	17	14
3010 Obligations incurred, unexpired accounts .....	13	14	13
3020 Outlays (gross) .....	–17	–17	–13
3050 Unpaid obligations, end of year .....	17	14	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	17	14
3200 Obligated balance, end of year .....	17	14	14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	6	9
4011 Outlays from discretionary balances .....	9	11	4
4020 Outlays, gross (total) .....	17	17	13
4180 Budget authority, net (total) .....	8	8	12
4190 Outlays, net (total) .....	17	17	13

**CARE OF THE BUILDING AND GROUNDS—Continued**  
**Object Classification** (in millions of dollars)

Identification code 10-0103-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	2	3
25.1 Advisory and assistance services .....	2	1	.....
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	4	5	4
99.9 Total new obligations .....	13	14	13

**Employment Summary**

Identification code 10-0103-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	42	50	50

**UNITED STATES COURT OF APPEALS FOR THE  
FEDERAL CIRCUIT**

**Federal Funds**

**SALARIES AND EXPENSES**

*For salaries of the chief judge, judges, and other officers and employees, and for necessary expenses of the court, as authorized by law, \$33,355,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 10-0510-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	32	33	33
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	30	30	30
1120 Appropriations transferred to other accts [10-0920] .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	29	30	30
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	3	3	3
1260 Appropriations, mandatory (total) .....	3	3	3
1900 Budget authority (total) .....	32	33	33
1930 Total budgetary resources available .....	32	33	33
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	9
3010 Obligations incurred, unexpired accounts .....	32	33	33
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-32	-34	-35
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	10	9	7
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10	10	9
3200 Obligated balance, end of year .....	10	9	7
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	29	30	30
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	25	24	24
4011 Outlays from discretionary balances .....	4	7	8
4020 Outlays, gross (total) .....	29	31	32
<b>Mandatory:</b>			
4090 Budget authority, gross .....	3	3	3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	3	3	3

4180 Budget authority, net (total) .....	32	33	33
4190 Outlays, net (total) .....	32	34	35

The United States Court of Appeals for the Federal Circuit, located in Washington, D. C., has exclusive nationwide jurisdiction over a large number of diverse subject areas, such as appeals in all patent cases, all government contract cases, all international trade cases, all government contract cases, all government personnel cases, all cases involving monetary claims against the United States under the Tucker Acts, veterans cases, and many others. Additional subject areas have been added to this court's jurisdiction almost yearly. To keep abreast of its varied and growing jurisdiction, the court is requesting necessary increases in its funding as detailed below.

The following is a more complete listing of the Federal Circuit's exclusive jurisdiction. It hears appeals from: (A) final decisions of all Federal district courts in cases arising under 28 U.S.C. §1338(a), relating to patent laws generally, 35 U.S.C. §§145-146, relating to review of decisions of the Patent and Trademark Office, Board of Patent Appeals and Interferences, 28 U.S.C. §1346(a)(2), relating to Little Tucker Act claims against the United States, and section 211 of the Economic Stabilization Act of 1970, section 5 of the Emergency Petroleum Allocation Act of 1973, section 506(c) of the Natural Gas Policy Act of 1978, and section 523 of the Energy Policy and Conservation Act relating to all statutes formerly under the jurisdiction of the Temporary Emergency Court of Appeals; (B) final decisions of the United States Court of International Trade, 28 U.S.C. §2645(c); (C) final decisions of the United States Court of Appeals for Veterans Claims, 38 U.S.C. §7292; (D) final decisions of the United States Court of Federal Claims, 28 U.S.C. §2522 and 42 U.S.C. §§300aa-12(f); (E) final decisions of the High Court of the Trust Territory of the Pacific Islands, 48 U.S.C. §1681 note (1988) (Compact of Free Association; Federated States of Micronesia, Republic of Marshall Islands, Title II, Title One, Article VII, §174(c)); (F) final determinations of the United States International Trade Commission relating to unfair practices in import trade made under 19 U.S.C. §1337; (G) findings of the Secretary of Commerce under U.S. note 6 to subchapter X of chapter 98 of the Harmonized Tariff Schedule of the United States relating to importation of educational or scientific instruments and apparatus; (H) final orders or decisions of the Merit Systems Protection Board and certain arbitrators, 5 U.S.C. §7703; (I) final decisions of the General Accounting Office Personnel Appeals Board, 31 U.S.C. §755; (J) final decisions of all agency Boards of Contract Appeals, 41 U.S.C. §607(g); (K) final decisions of the Patent and Trademark Office tribunals on patent applications and interferences, trademark applications and interferences, cancellations, concurrent use proceedings, and oppositions, 35 U.S.C. §142, 15 U.S.C. §1071, 37 CFR §§1.304, 2.145; (L) appeals under section 71 of the Plant Variety Protection Act of 1970, 7 U.S.C. §2461; (M) certain actions of the Secretary of Veterans Affairs, 38 U.S.C. §502; (N) certain final orders of the Equal Employment Opportunity Commission relating to certain Presidential appointees, 2 U.S.C. §1219(a)(3) and 28 U.S.C. §2344; (O) final decisions of the Office of Personnel Management under 5 U.S.C. §8902a(g)(2); (P) certain actions of the Board of Directors of the Office of Compliance of the U.S. Congress under 2 U.S.C. §1407(a); and (Q) final decisions of certain agencies pursuant to 28 U.S.C. §1296.

The Federal Circuit also has exclusive jurisdiction pursuant to 28 U.S.C. §1292(c) of: (1) appealable interlocutory orders or decrees in cases where the court would otherwise have jurisdiction over an appeal; and (2) appeals from judgments in civil actions for patent infringement otherwise appealable to the court and final except for accounting. Under the provisions of 28 U.S.C.

§1292(d), the court has: (1) exclusive jurisdiction of appeals from interlocutory orders granting or denying, in whole or in part, a motion to transfer an action to the Court of Federal Claims; and (2) may, in its discretion, permit an appeal from an interlocutory order of a judge who certifies that there is a controlling question of law and a substantial ground for difference of opinion thereon, and that an immediate appeal may materially advance the ultimate termination of the litigation. Pursuant to 38 U.S.C. §7292(b)(1), the court has exclusive jurisdiction of certain interlocutory orders of the Court of Appeals for Veterans Claims.

Legislation having an impact on the Federal Circuit is contained in P.L. 105-339 (51021) October 31, 1998, Veterans Employment Opportunities Act of 1998, which provides a remedy through the Merit Systems Protection Board for those seeking review of the application of veterans preference rules to applicants for Federal employment.

**Object Classification** (in millions of dollars)

Identification code 10-0510-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	15	16	17
12.1 Civilian personnel benefits .....	4	4	4
23.1 Rental payments to GSA .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.2 Other services from non-Federal sources .....	4	4	4
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	32	33	33

**Employment Summary**

Identification code 10-0510-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	146	154	154

**UNITED STATES COURT OF INTERNATIONAL TRADE**

**Federal Funds**

**SALARIES AND EXPENSES**

For salaries of the chief judge and eight judges, salaries of the officers and employees of the court, services, and necessary expenses of the court, as authorized by law, \$21,973,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 10-0400-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	20	22	22
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	20	20	20
1120 Appropriations transferred to other accts [10-0920] .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	19	20	20
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	2	2	2
1260 Appropriations, mandatory (total) .....	2	2	2
1900 Budget authority (total) .....	21	22	22
1930 Total budgetary resources available .....	21	23	23

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	20	22	22
3020 Outlays (gross) .....	-20	-22	-22
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	19	20	20
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	17	19	19
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	18	20	20
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	2	2
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	2	2
4180 Budget authority, net (total) .....	21	22	22
4190 Outlays, net (total) .....	20	22	22

The United States Court of International Trade, established under Article III of the Constitution of the United States, was created by the Act of October 10, 1980 (94 Stat. 1727), effective November 1, 1980, as successor to the former United States Customs Court. The court has original and exclusive jurisdiction of civil actions against the United States, its agencies and officers, and certain civil actions brought by the United States, arising out of import transactions and Federal statutes affecting customs and international trade. The court possesses all the powers in law and equity of, or as conferred by statute upon, a district court of the United States, and is authorized to conduct jury trials. The geographical jurisdiction of the court is nationwide and trials before the court or hearings may be held at any place within the jurisdiction of the United States. The court also is authorized to hold hearings in foreign countries. The principal statutory provisions pertaining to the court are contained in the following sections of Title 28 of the United States Code: Organization, sections 251 to 258; Jurisdiction, sections 1581 to 1585; and Procedures, sections 2631-2646.

**Object Classification** (in millions of dollars)

Identification code 10-0400-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	9	9	10
25.2 Other services from non-Federal sources .....	1	2	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	20	22	22

**Employment Summary**

Identification code 10-0400-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	68	80	80

**COURTS OF APPEALS, DISTRICT COURTS, AND OTHER JUDICIAL SERVICES**

**Federal Funds**

**SALARIES AND EXPENSES**

For the salaries of circuit and district judges (including judges of the territorial courts of the United States), justices and judges retired from

SALARIES AND EXPENSES—Continued

office or from regular active service, judges of the United States Court of Federal Claims, bankruptcy judges, magistrate judges, and all other officers and employees of the Federal Judiciary not otherwise specifically provided for, necessary expenses of the courts, and the purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, as authorized by law, \$5,170,239,000 (including the purchase of firearms and ammunition); of which not to exceed \$27,817,000 shall remain available until expended for space alteration projects and for furniture and furnishings related to new space alteration and construction projects.

In addition, for expenses of the United States Court of Federal Claims associated with processing cases under the National Childhood Vaccine Injury Act of 1986 (Public Law 99-660), not to exceed \$5,327,000, to be appropriated from the Vaccine Injury Compensation Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 10-0920-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Courts of appeals .....	578	593	605
0002 District courts .....	2,413	2,470	2,523
0003 Bankruptcy courts .....	841	863	879
0004 Probation/Pretrial .....	1,156	1,185	1,210
0799 Total direct obligations .....	4,988	5,111	5,217
0801 Reimbursable program .....	37	38	39
0803 Offsetting Collections .....	236	244	240
0899 Total reimbursable obligations .....	273	282	279
0900 Total new obligations .....	5,261	5,393	5,496
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	4	15
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	4	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	2	4	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,687	4,716	4,823
1121 Appropriations transferred from other accts [10-0400] ....	1	.....	.....
1121 Appropriations transferred from other accts [10-0510] ....	1	.....	.....
1160 Appropriation, discretionary (total) .....	4,689	4,716	4,823
Appropriations, mandatory:			
1200 Appropriation .....	328	338	353
1260 Appropriations, mandatory (total) .....	328	338	353
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	350	350
1701 Change in uncollected payments, Federal sources .....	236	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	246	350	350
1900 Budget authority (total) .....	5,263	5,404	5,526
1930 Total budgetary resources available .....	5,265	5,408	5,541
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	15	45
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	406	379	348
3010 Obligations incurred, unexpired accounts .....	5,261	5,393	5,496
3011 Obligations incurred, expired accounts .....	17	.....	.....
3020 Outlays (gross) .....	-5,292	-5,399	-5,519
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-12	-25	.....
3050 Unpaid obligations, end of year .....	379	348	325
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-355	-240	-240
3070 Change in uncollected pymts, Fed sources, unexpired .....	-236	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	351	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-240	-240	-240
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	51	139	108

3200	Obligated balance, end of year .....	139	108	85
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	4,935	5,066	5,173
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4,698	4,726	4,826
4011	Outlays from discretionary balances .....	266	335	340
4020	Outlays, gross (total) .....	4,964	5,061	5,166
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-364	-350	-350
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-236	.....	.....
4052	Offsetting collections credited to expired accounts .....	354	.....	.....
4060	Additional offsets against budget authority only (total) .....	118	.....	.....
4070	Budget authority, net (discretionary) .....	4,689	4,716	4,823
4080	Outlays, net (discretionary) .....	4,600	4,711	4,816
Mandatory:				
4090	Budget authority, gross .....	328	338	353
Outlays, gross:				
4100	Outlays from new mandatory authority .....	328	338	353
4180	Budget authority, net (total) .....	5,017	5,054	5,176
4190	Outlays, net (total) .....	4,928	5,049	5,169

Funds appropriated under this heading are for the salaries and benefits of judges and supporting personnel and all operating expenses of the United States courts of appeals, district courts, bankruptcy courts, United States Court of Federal Claims, and United States Probation and Pretrial Services offices are shown by activity:

**Courts of Appeals.**—This activity includes the salaries and benefits of all active United States circuit judges, and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the Courts of Appeals supporting personnel such as the administrative and legal aides required to assist the judges in the hearing and decision of appeals, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**District Courts.**—This activity includes the salaries and benefits of all active United States district judges, magistrate judges and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the District Court supporting personnel such as the administrative and legal aides required to assist the judges in conduct of hearings, trials, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**Bankruptcy Courts.**—This activity includes the salaries and benefits of all active United States bankruptcy judges. In addition, it provides for the salaries and expenses of the Bankruptcy Court supporting personnel including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**Probation/Pretrial.**—This activity includes the salaries and benefits of all probation and pretrial services officers, officer assistants and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, and equipment and for rental of space, alterations, and related services for United States court facilities. It also provides for all expenses of law-enforcement related activities, which includes substance abuse and mental health treatment, Global Position Monitoring, purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, and operation and maintenance such as travel expenses incurred by probation officers, including travel costs related to the supervision of defendants and offenders in the community, and officer training expenses.

**Object Classification** (in millions of dollars)

Identification code 10-0920-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,745	1,770	1,802
11.3 Other than full-time permanent .....	749	757	772
11.9 Total personnel compensation .....	2,494	2,527	2,574
12.1 Civilian personnel benefits .....	774	822	837
13.0 Benefits for former personnel .....	14	9	9
21.0 Travel and transportation of persons .....	48	51	55
22.0 Transportation of things .....	6	5	6
23.1 Rental payments to GSA .....	946	1,004	1,028
23.2 Rental payments to others .....	30	32	32
23.3 Communications, utilities, and miscellaneous charges .....	124	116	119
24.0 Printing and reproduction .....	9	14	15
25.2 Other services from non-Federal sources .....	382	364	371
26.0 Supplies and materials .....	18	20	20
31.0 Equipment .....	143	147	150
99.0 Direct obligations .....	4,988	5,111	5,216
99.0 Reimbursable obligations .....	273	282	280
99.9 Total new obligations .....	5,261	5,393	5,496

**Employment Summary**

Identification code 10-0920-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	29,692	29,349	29,507
2001 Reimbursable civilian full-time equivalent employment .....	28	28	29

**DEFENDER SERVICES**

For the operation of Federal Defender organizations; the compensation and reimbursement of expenses of attorneys appointed to represent persons under 18 U.S.C. 3006A and 3599, and for the compensation and reimbursement of expenses of persons furnishing investigative, expert, and other services for such representations as authorized by law; the compensation (in accordance with the maximums under 18 U.S.C. 3006A) and reimbursement of expenses of attorneys appointed to assist the court in criminal cases where the defendant has waived representation by counsel; the compensation and reimbursement of expenses of attorneys appointed to represent jurors in civil actions for the protection of their employment, as authorized by 28 U.S.C. 1875(d)(1); the compensation and reimbursement of expenses of attorneys appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings; the compensation and reimbursement of travel expenses of guardians ad litem appointed under 18 U.S.C. 4100(b); and for necessary training and general administrative expenses, \$1,068,623,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 10-0923-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct program</b>			
<b>Obligations by program activity:</b>			
0001 CJA Representations and Related Expenses .....	1,036	1,036	1,070
0004 Program administration expenses .....	8	9	9
0900 Total new obligations .....	1,044	1,045	1,079
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	27	18	20
1021 Recoveries of prior year unpaid obligations .....	1	10	.....
1050 Unobligated balance (total) .....	28	28	20
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,031	1,037	1,069
1160 Appropriation, discretionary (total) .....	1,031	1,037	1,069
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	3	.....	.....
1900 Budget authority (total) .....	1,034	1,037	1,069
1930 Total budgetary resources available .....	1,062	1,065	1,089
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	18	20	10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	27	27	11
3010 Obligations incurred, unexpired accounts .....	1,044	1,045	1,079
3020 Outlays (gross) .....	-1,043	-1,051	-1,068
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-10	.....
3050 Unpaid obligations, end of year .....	27	11	22
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	27	27	11
3200 Obligated balance, end of year .....	27	11	22
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,034	1,037	1,069
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,025	1,006	1,037
4011 Outlays from discretionary balances .....	18	45	31
4020 Outlays, gross (total) .....	1,043	1,051	1,068
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3	.....	.....
4180 Budget authority, net (total) .....	1,031	1,037	1,069
4190 Outlays, net (total) .....	1,040	1,051	1,068

Funds appropriated under this heading provide for the administration and operation of the Criminal Justice Act of 1964 (18 U.S.C. 3006A), as amended, which provides for furnishing representation for any person financially unable to obtain adequate representation who: (1) is charged with a felony or Class A, B, or C misdemeanor or infraction for which a sentence to confinement is authorized, or with committing an act of juvenile delinquency, or with a violation of probation; (2) is under arrest, when such representation is required by law; (3) is entitled to appointment of counsel in parole proceedings (18 U.S.C. 4201-18); (4) is charged with a violation of supervised release or faces modification, reduction, or enlargement of a condition, or extension or revocation of a term of supervised release; (5) is subject to a mental condition or other hearing (18 U.S.C. 4241-48); (6) is in custody as a material witness; (7) is entitled to appointment of counsel under the sixth amendment to the Constitution; (8) faces loss of liberty in a case, and Federal law requires the appointment of counsel; (9) is entitled to the appointment of counsel under 18 U.S.C. 4109; or (10) is seeking relief under 28 U.S.C. 2241, 2254, or 2255. Representation includes counsel and investigative, expert, and other necessary services. The appropriation includes funding for the compensation and expenses of court-appointed

DEFENDER SERVICES—Continued

counsel and persons providing investigative, expert and other services under the Act, and also under 18 U.S.C. 3599 in capital representations; for the operation of the Federal Defender Organizations; for the compensation and reimbursement of travel expenses of guardians ad litem, appointed under 18 U.S.C. 4100(b), acting on behalf of financially eligible minors or incompetent offenders in connection with transfers from the United States to foreign countries with which the United States has a treaty for the execution of penal sentences (18 U.S.C. 4109(b)); and for the continuing education and training of persons providing representational services under the Act. In addition, this appropriation is available for the compensation and reimbursement of expenses of counsel: (1) appointed pursuant to 5 U.S.C. 3109 to assist the court in criminal cases where the defendant has waived representation by counsel; (2) appointed pursuant to 28 U.S.C. 1875(d)(1) to represent jurors in civil actions for the protection of their employment; and (3) appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings.

Object Classification (in millions of dollars)

Identification code 10-0923-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	288	284	300
12.1 Civilian personnel benefits .....	87	88	91
21.0 Travel and transportation of persons .....	12	10	11
23.1 Rental payments to GSA .....	40	41	42
23.3 Communications, utilities, and miscellaneous charges .....	8	7	8
25.2 Compensation and out-of-pocket expenses of court-appointed counsel .....	379	386	391
25.2 Transcripts .....	9	9	9
25.2 Investigators, interpreters, psychiatrists, and other experts .....	67	67	70
25.2 Other services .....	6	7	9
26.0 Supplies and materials .....	3	2	2
31.0 Equipment .....	10	12	12
41.0 Grants, subsidies, and contributions .....	132	132	134
99.0 Direct obligations .....	1,041	1,045	1,079
99.0 Reimbursable obligations .....	3		
99.9 Total new obligations .....	1,044	1,045	1,079

Employment Summary

Identification code 10-0923-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,704	2,763	2,792

FEEES OF JURORS AND COMMISSIONERS

For fees and expenses of jurors as authorized by 28 U.S.C. 1871 and 1876; compensation of jury commissioners as authorized by 28 U.S.C. 1863; and compensation of commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure (28 U.S.C. Appendix Rule 71.1(h)), \$54,414,000, to remain available until expended: Provided, That the compensation of land commissioners shall not exceed the daily equivalent of the highest rate payable under 5 U.S.C. 5332.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 10-0925-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Grand jurors .....	16	16	16
0004 Petit jurors .....	39	38	41

0900 Total new obligations .....	55	54	57
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	3	1
1021 Recoveries of prior year unpaid obligations .....			2
1050 Unobligated balance (total) .....	6	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	52	52	54
1160 Appropriation, discretionary (total) .....	52	52	54
1930 Total budgetary resources available .....	58	55	57
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	1	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	55	54	57
3020 Outlays (gross) .....	-55	-54	-54
3040 Recoveries of prior year unpaid obligations, unexpired .....			-2
3041 Recoveries of prior year unpaid obligations, expired .....			-2
3050 Unpaid obligations, end of year .....	1	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	52	52	54
Outlays, gross:			
4010 Outlays from new discretionary authority .....	52	52	54
4011 Outlays from discretionary balances .....	3	2	
4020 Outlays, gross (total) .....	55	54	54
4180 Budget authority, net (total) .....	52	52	54
4190 Outlays, net (total) .....	55	54	54

This appropriation provides for the statutory fees and allowances of jurors, refreshments of jurors, and compensation of land commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure. Budgetary requirements depend largely upon the volume and length of jury trials demanded by the parties to both civil and criminal actions and the number of grand juries being convened by the courts at the request of United States attorneys.

Object Classification (in millions of dollars)

Identification code 10-0925-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.8 Personnel compensation: Special personal services payments .....	26	25	27
21.0 Travel and transportation of persons (jurors) .....	25	26	27
23.3 Communications, utilities, and miscellaneous charges .....	2	1	1
25.2 Other services (meals and lodging furnished sequestered juror) .....	1	1	1
26.0 Supplies and materials (Provisions for Juror Food/Beverages) .....	1	1	1
99.9 Total new obligations .....	55	54	57

COURT SECURITY

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses, not otherwise provided for, incident to the provision of protective guard services for United States courthouses and other facilities housing Federal court operations, and the procurement, installation, and maintenance of security systems and equipment for United States courthouses and other facilities housing Federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities as authorized by section 1010 of the Judicial Improvement and Access to Justice Act (Public Law 100-702), \$524,338,000, of which not to exceed

\$15,000,000 shall remain available until expended, to be expended directly or transferred to the United States Marshals Service, which shall be responsible for administering the Judicial Facility Security Program consistent with standards or guidelines agreed to by the Director of the Administrative Office of the United States Courts and the Attorney General.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 10–0930–0–1–752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	503	508	524
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	5	
1012 Unobligated balance transfers between expired and unexpired accounts .....	6		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	14	5	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	500	503	524
1160 Appropriation, discretionary (total) .....	500	503	524
1900 Budget authority (total) .....	500	503	524
1930 Total budgetary resources available .....	514	508	524
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–6		
1941 Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	149	134	128
3010 Obligations incurred, unexpired accounts .....	503	508	524
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	–512	–514	–526
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3041 Recoveries of prior year unpaid obligations, expired .....	–11		
3050 Unpaid obligations, end of year .....	134	128	126
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	149	134	128
3200 Obligated balance, end of year .....	134	128	126
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	500	503	524
Outlays, gross:			
4010 Outlays from new discretionary authority .....	413	387	403
4011 Outlays from discretionary balances .....	99	127	123
4020 Outlays, gross (total) .....	512	514	526
4180 Budget authority, net (total) .....	500	503	524
4190 Outlays, net (total) .....	512	514	526

This appropriation provides for the necessary expenses not otherwise provided for, incident to providing protective guard services for the United States courthouses and other facilities housing Federal court operations and the procurement, installation, and maintenance of security equipment for United States courthouses and other facilities housing federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities, to be expended directly or transferred to the United States Marshals Service which shall be responsible for administering the Judicial Facility Security Program or to the Federal Protective Service for costs associated with building security.

**Object Classification** (in millions of dollars)

Identification code 10–0930–0–1–752	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	7	7	7
25.2 Other services from non-Federal sources .....	439	460	473
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	37	30	33
91.0 Unvouchered .....	9		
99.9 Total new obligations .....	503	508	524

**Employment Summary**

Identification code 10–0930–0–1–752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	62	78	79

**JUDICIARY FILING FEES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 10–5100–0–2–752	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Filing Fees, U.S. Courts, Judiciary .....	257	256	268
0400 Total: Balances and collections .....	257	256	268
Appropriations:			
0500 Judiciary Filing Fees .....	–257	–256	–268
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 10–5100–0–2–752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	256	256	268
0900 Total new obligations (object class 25.2) .....	256	256	268
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	201	202	202
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	257	256	268
1260 Appropriations, mandatory (total) .....	257	256	268
1930 Total budgetary resources available .....	458	458	470
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	202	202	202
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	366	256	128
3010 Obligations incurred, unexpired accounts .....	256	256	268
3020 Outlays (gross) .....	–366	–384	–396
3050 Unpaid obligations, end of year .....	256	128	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	366	256	128
3200 Obligated balance, end of year .....	256	128	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	257	256	268
Outlays, gross:			
4100 Outlays from new mandatory authority .....		256	268
4101 Outlays from mandatory balances .....	366	128	128
4110 Outlays, gross (total) .....	366	384	396
4180 Budget authority, net (total) .....	257	256	268
4190 Outlays, net (total) .....	366	384	396

REGISTRY ADMINISTRATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 10-5101-0-2-752	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0200 Fees, Registry Administration, Judiciary	1	1	1
0400 Total: Balances and collections	1	1	1
Appropriations:			
0500 Registry Administration	-1	-1	-1
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 10-5101-0-2-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	1	1	1
0900 Total new obligations (object class 25.2)	1	1	1
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1260 Appropriations, mandatory (total)	1	1	1
1930 Total budgetary resources available	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 Obligations incurred, unexpired accounts	1	1	1
3020 Outlays (gross)		-1	-1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)		1	1

This schedule reflects funds available to the Federal Judiciary, pursuant to Public Law 100-459, which provides that any funds collected by the Judiciary as a charge for services rendered in administering accounts kept in a court's registry shall be deposited into this account.

JUDICIARY INFORMATION TECHNOLOGY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 10-5114-0-2-752	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	3		
Adjustments:			
0190 Adjustment - rounding issue over several years	-3		
0199 Balance, start of year			
Receipts:			
0220 Proceeds from Sale of Property, Judiciary Information Technology Fund	124	165	173
0240 Advances and Reimbursements, Judiciary Information Technology Fund	362	277	334
0299 Total receipts and collections	486	442	507
0400 Total: Balances and collections	486	442	507
Appropriations:			
0500 Judiciary Information Technology Fund	-486	-442	-507
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 10-5114-0-2-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Information Technology	489	549	507
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	102	108	1
1021 Recoveries of prior year unpaid obligations	7		
1050 Unobligated balance (total)	109	108	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	486	442	507
1260 Appropriations, mandatory (total)	486	442	507
Spending authority from offsetting collections, mandatory:			
1800 Collected	2		
1850 Spending auth from offsetting collections, mand (total)	2		
1900 Budget authority (total)	488	442	507
1930 Total budgetary resources available	597	550	508
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	108	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	297	305	255
3010 Obligations incurred, unexpired accounts	489	549	507
3020 Outlays (gross)	-474	-599	-597
3040 Recoveries of prior year unpaid obligations, unexpired	-7		
3050 Unpaid obligations, end of year	305	255	165
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	297	305	255
3200 Obligated balance, end of year	305	255	165
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	488	442	507
Outlays, gross:			
4100 Outlays from new mandatory authority	235	439	406
4101 Outlays from mandatory balances	239	160	191
4110 Outlays, gross (total)	474	599	597
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-2		
4180 Budget authority, net (total)	486	442	507
4190 Outlays, net (total)	472	599	597

The Judiciary Information Technology Fund provides the judiciary with a funds management tool which allows more effective and efficient planning, budgeting, and use of funds for information technology activities. The Fund was authorized "without fiscal year limitation," which allows the judiciary to carry forward funds for projects that incur obligations over multiple years. The Fund makes it possible to implement the *Long Range Plan for Information Technology in the Federal Judiciary* and to manage the information technology program over a multi-year planning cycle while maximizing efficiencies and benefits. The Fund is financed through deposits and transfers from appropriations, reimbursements, user fees, and the sale of surplus equipment.

Object Classification (in millions of dollars)

Identification code 10-5114-0-2-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent	22	22	22
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	5	6	5
23.3 Communications, utilities, and miscellaneous charges	100	112	103
24.0 Printing and reproduction	14	16	15
25.2 Other services from non-Federal sources	175	202	185
25.7 Operation and maintenance of equipment	19	21	20
26.0 Supplies and materials	8	9	8
31.0 Equipment	138	155	143
99.0 Direct obligations	487	549	507

99.0	Reimbursable obligations .....	2		
99.9	Total new obligations .....	489	549	507

**Employment Summary**

Identification code 10-5114-0-2-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	204	204	204

**ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Administrative Office of the United States Courts as authorized by law, including travel as authorized by 31 U.S.C. 1345, hire of a passenger motor vehicle as authorized by 31 U.S.C. 1343(b), advertising and rent in the District of Columbia and elsewhere, \$85,354,000, of which not to exceed \$8,500 is authorized for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 10-0927-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Executive direction .....	1	1	1
0002 Program direction and policy formulation .....	10	10	10
0003 Court administration .....	7	5	5
0004 Defender Services .....	3	3	3
0005 Facilities and security .....	5	5	5
0006 Finance and budget .....	12	13	13
0007 Human resources .....	12	13	13
0008 Information technology .....	1	1	1
0009 Internal services .....	34	33	34
0010 Judges programs .....	12	13	13
0011 Probation and pretrial services .....	5	5	5
0799 Total direct obligations .....	102	102	103
0801 Offsetting Collections .....	41	44	44
0900 Total new obligations .....	143	146	147

**Budgetary Resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	83	83	85
1160 Appropriation, discretionary (total) .....	83	83	85
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	29	63	64
1701 Change in uncollected payments, Federal sources .....	31		
1750 Spending auth from offsetting collections, disc (total) .....	60	63	64
1900 Budget authority (total) .....	143	146	149
1930 Total budgetary resources available .....	143	146	149
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			2

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	10	10
3010 Obligations incurred, unexpired accounts .....	143	146	147
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-143	-146	-154
3050 Unpaid obligations, end of year .....	10	10	3
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-29	-33	-33
3070 Change in uncollected pymts, Fed sources, unexpired .....	-31		
3071 Change in uncollected pymts, Fed sources, expired .....	27		
3090 Uncollected pymts, Fed sources, end of year .....	-33	-33	-33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-20	-23	-23

3200 Obligated balance, end of year .....	-23	-23	-30
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**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	143	146	149
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	136	141	144
4011 Outlays from discretionary balances .....	7	5	10
4020 Outlays, gross (total) .....	143	146	154
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4030 Federal sources .....	-56	-63	-64
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-31		
4052 Offsetting collections credited to expired accounts .....	27		
4060 Additional offsets against budget authority only (total) .....	-4		
4070 Budget authority, net (discretionary) .....	83	83	85
4080 Outlays, net (discretionary) .....	87	83	90
4180 Budget authority, net (total) .....	83	83	85
4190 Outlays, net (total) .....	87	83	90

The Administrative Office, pursuant to section 604 of Title 28, United States Code, under the supervision and direction of the Judicial Conference of the United States, is responsible for the administration of the U.S. courts, including the probation and bankruptcy systems. The principal functions consist of providing staff and services for the courts; conducting a continuous study of the rules of practice and procedure in the Federal courts; examining the state of dockets of the various courts; compiling and publishing statistical data concerning the business transacted by the courts; and administering the judicial retirement and survivors annuities systems under Title 28, United States Code, sections 178, 376, and 377. The Administrative Office also is responsible for: the preparation and submission of the annual budget estimates as well as supplemental and deficiency estimates; the disbursement of and accounting for moneys appropriated for the operation of the courts, and the Federal Judicial Center; the audit and examination of accounts; the purchase and distribution of supplies and equipment; providing automated data processing services; securing adequate space for occupancy by the courts; and such other matters as may be assigned by the Supreme Court and Judicial Conference of the United States.

**Object Classification** (in millions of dollars)

Identification code 10-0927-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	73	73	75
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	74	74	76
12.1 Civilian personnel benefits .....	21	22	22
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	2	3	2
31.0 Equipment .....	2	1	1
99.0 Direct obligations .....	102	102	103
99.0 Reimbursable obligations .....	41	44	44
99.9 Total new obligations .....	143	146	147

**Employment Summary**

Identification code 10-0927-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	605	619	619
2001 Reimbursable civilian full-time equivalent employment .....	243	250	250

**FEDERAL JUDICIAL CENTER**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Federal Judicial Center, as authorized by Public Law 90-219, \$27,664,000; of which \$1,800,000 shall remain available through September 30, 2015, to provide education and training to Federal court personnel; and of which not to exceed \$1,500 is authorized for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 10-0928-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Education and training .....	20	20	20
0002 Research .....	5	4	5
0003 Program support .....	3	3	3
0900 Total new obligations .....	28	27	28
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	27	27	28
1160 Appropriation, discretionary (total) .....	27	27	28
1900 Budget authority (total) .....	27	27	28
1930 Total budgetary resources available .....	28	27	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	4	1
3010 Obligations incurred, unexpired accounts .....	28	27	28
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-27	-30	-28
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	4	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	4	1
3200 Obligated balance, end of year .....	4	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	27	27	28
Outlays, gross:			
4010 Outlays from new discretionary authority .....	24	26	27
4011 Outlays from discretionary balances .....	3	4	1
4020 Outlays, gross (total) .....	27	30	28
4180 Budget authority, net (total) .....	27	27	28
4190 Outlays, net (total) .....	27	30	28

This appropriation provides for the operation of the Federal Judicial Center pursuant to 28 U.S.C. 620 et seq. The Center is charged with the responsibility for furthering the development and adoption of improved judicial administration in the courts of the United States.

**Object Classification** (in millions of dollars)

Identification code 10-0928-0-1-752	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	14	14	14
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....		1	1
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1		1
99.0 Direct obligations .....	27	27	28
99.0 Reimbursable obligations .....	1		

99.9 Total new obligations .....	28	27	28
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**Employment Summary**

Identification code 10-0928-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	133	135	135
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**JUDICIAL RETIREMENT FUNDS**

**Federal Funds**

**PAYMENT TO JUDICIARY TRUST FUNDS**

For payment to the Judicial Officers' Retirement Fund, as authorized by 28 U.S.C. 377(o), \$105,231,000; to the Judicial Survivors' Annuities Fund, as authorized by 28 U.S.C. 376(c), \$16,200,000; and to the United States Court of Federal Claims Judges' Retirement Fund, as authorized by 28 U.S.C. 178(l), \$5,500,00.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 10-0941-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to Judicial Officers' Retirement Fund .....	87	96	105
0002 Payment to Court of Federal Claims Judges Retirement Fund ....	4	7	6
0003 Payment to Judicial Survivors' Annuities Fund .....	13	22	16
0900 Total new obligations (object class 12.1) .....	104	125	127
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	104	125	127
1260 Appropriations, mandatory (total) .....	104	125	127
1930 Total budgetary resources available .....	104	125	127
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	104	125	127
3020 Outlays (gross) .....	-104	-125	-127
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	104	125	127
Outlays, gross:			
4100 Outlays from new mandatory authority .....	104	125	127
4180 Budget authority, net (total) .....	104	125	127
4190 Outlays, net (total) .....	104	125	127

This appropriation request would provide funds necessary to pay the retirement annuities of bankruptcy judges and magistrate judges, pursuant to 28 U.S.C. 377, the retirement annuities of the United States Court of Federal Claims judges, pursuant to 28 U.S.C. 178, and annuities to participants' surviving widows, widowers, and dependent children, pursuant to 28 U.S.C. 376.

**Trust Funds**

**JUDICIAL OFFICERS' RETIREMENT FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 10-8122-0-7-602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Deductions from Employee Salaries and Voluntary Contributions, Judicial Officers' Annuity .....	2	1	1

0240	Interest and Profits on Investments, Judicial Officers' Annuity .....	10	11	
0241	Federal Payment to Judicial Officers Retirement Fund .....	87	96	105
0299	Total receipts and collections .....	89	107	117
0400	Total: Balances and collections .....	89	107	117
Appropriations:				
0500	Judicial Officers' Retirement Fund .....	-89	-107	-117
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 10-8122-0-7-602	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0010	Judicial Officers Retirement Fund .....	50	58	64
0900	Total new obligations (object class 42.0) .....	50	58	64
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	396	435	484
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	89	107	117
1260	Appropriations, mandatory (total) .....	89	107	117
1930	Total budgetary resources available .....	485	542	601
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	435	484	537
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		5	5
3010	Obligations incurred, unexpired accounts .....	50	58	64
3020	Outlays (gross) .....	-45	-58	-64
3050	Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		5	5
3200	Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	89	107	117
Outlays, gross:				
4100	Outlays from new mandatory authority .....	45	53	64
4101	Outlays from mandatory balances .....		5	
4110	Outlays, gross (total) .....	45	58	64
4180	Budget authority, net (total) .....	89	107	117
4190	Outlays, net (total) .....	45	58	64
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	398	436	485
5001	Total investments, EOY: Federal securities: Par value .....	436	485	538

This fund provides the retirement annuities of bankruptcy judges and magistrate judges pursuant to 28 U.S.C. 377.

**JUDICIAL SURVIVORS' ANNUITIES FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 10-8110-0-7-602	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....	1	2	3
Receipts:				
0200	Judicial Survivors Annuity, Deductions from Employees Salaries .....	8	7	7
0240	Judicial Survivors Annuity, Interest and Profits on Investments .....	8	15	16
0241	Federal Payment to Judicial Survivors Annuities Fund .....	13	23	16
0299	Total receipts and collections .....	29	45	39
0400	Total: Balances and collections .....	30	47	42
Appropriations:				
0500	Judicial Survivors' Annuities Fund .....	-28	-44	-39
0799	Balance, end of year .....	2	3	3

**Program and Financing** (in millions of dollars)

Identification code 10-8110-0-7-602	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0010	Judicial Survivor's Annuity Fund .....	27	27	27
0900	Total new obligations (object class 42.0) .....	27	27	27
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	493	494	511
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	28	44	39
1260	Appropriations, mandatory (total) .....	28	44	39
1930	Total budgetary resources available .....	521	538	550
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	494	511	523
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	3	3
3010	Obligations incurred, unexpired accounts .....	27	27	27
3020	Outlays (gross) .....	-25	-27	-27
3050	Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	3	3
3200	Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	28	44	39
Outlays, gross:				
4100	Outlays from new mandatory authority .....	25	24	27
4101	Outlays from mandatory balances .....		3	
4110	Outlays, gross (total) .....	25	27	27
4180	Budget authority, net (total) .....	28	44	39
4190	Outlays, net (total) .....	25	27	27
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	498	500	517
5001	Total investments, EOY: Federal securities: Par value .....	500	517	529

The Judicial Survivors' Annuities Fund (section 376 of title 28, United States Code) was established to receive sums deducted and withheld from salaries of justices, judges, the Director of the Federal Judicial Center, the Director of the Administrative Office of the U.S. Courts, and the Administrative Assistant to the Chief Justice who have elected to bring themselves within the purview of the above section as well as amounts received from said judicial officers covering Federal civilian service prior to date of election.

This fund provides annuities for participants' surviving widows, widowers, and dependent children.

**UNITED STATES COURT OF FEDERAL CLAIMS JUDGES' RETIREMENT FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 10-8124-0-7-602	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....			
Receipts:				
0240	Federal Payment to Claims Court Judges' Retirement Fund .....	4	7	6
0400	Total: Balances and collections .....	4	7	6
Appropriations:				
0500	United States Court of Federal Claims Judges' Retirement Fund .....	-4	-7	-6
0799	Balance, end of year .....			

UNITED STATES COURT OF FEDERAL CLAIMS JUDGES' RETIREMENT  
FUND—Continued

Program and Financing (in millions of dollars)

Identification code 10-8124-0-7-602	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Court of Federal Claims Judges Retirement Fund .....	2	3	4
0900 Total new obligations (object class 42.0) .....	2	3	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	24	28
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	7	6
1260 Appropriations, mandatory (total) .....	4	7	6
1930 Total budgetary resources available .....	26	31	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24	28	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	3	4
3020 Outlays (gross) .....	-2	-3	-4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	7	6
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	3	4
4180 Budget authority, net (total) .....	4	7	6
4190 Outlays, net (total) .....	2	3	4
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	22	24	28
5001 Total investments, EOY: Federal securities: Par value .....	24	28	30

This fund provides the retirement annuities of United States Court of Federal Claims judges pursuant to 28 U.S.C. 178.

UNITED STATES SENTENCING COMMISSION

Federal Funds

SALARIES AND EXPENSES

For the salaries and expenses necessary to carry out the provisions of chapter 58 of title 28, United States Code, \$17,016,000, of which not to exceed \$1,000 is authorized for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 10-0938-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	16	17	17
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17	17	17
1160 Appropriation, discretionary (total) .....	17	17	17
1930 Total budgetary resources available .....	17	18	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	3	3

3010 Obligations incurred, unexpired accounts .....	16	17	17
3020 Outlays (gross) .....	-17	-17	-17
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	17	17
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	14	14
4011 Outlays from discretionary balances .....	3	3	3
4020 Outlays, gross (total) .....	17	17	17
4180 Budget authority, net (total) .....	17	17	17
4190 Outlays, net (total) .....	17	17	17

The United States Sentencing Commission, an independent agency within the judicial branch, was established pursuant to provisions of the Comprehensive Crime Control Act of 1984 (Public Law 98-473, Title II), as amended. The Commission's principal purposes are to: (1) collect, analyze, and distribute a broad array of information on Federal crime and sentencing issues, serving as an information resource for Congress, the executive branch, the courts, criminal justice practitioners, the academic community, and the public; (2) establish sentencing policies and practices for the Federal courts, including guidelines prescribing the appropriate form and severity of punishment for offenders convicted of Federal crimes; (3) advise and assist Congress and the executive branch in the development of effective and efficient crime policy; and (4) provide training to judges, prosecutors, probation officers, the defense bar, and other members of the criminal justice community on the application of the guidelines.

Object Classification (in millions of dollars)

Identification code 10-0938-0-1-752	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	10	10	10
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....		1	1
25.2 Other services from non-Federal sources .....	2	2	2
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	16	17	17

Employment Summary

Identification code 10-0938-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	98	105	105

ADMINISTRATIVE PROVISIONS—THE JUDICIARY

(INCLUDING TRANSFER OF FUNDS)

SEC. 301. Appropriations and authorizations made in this title which are available for salaries and expenses shall be available for services as authorized by 5 U.S.C. 3109.

SEC. 302. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Judiciary in this Act may be transferred between such appropriations, but no such appropriation, except "Courts of Appeals, District Courts, and Other Judicial Services, Defender Services" and "Courts of Appeals, District Courts, and Other Judicial Services, Fees of Jurors and Commissioners", shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this section shall be treated as a reprogramming of funds under sections 604 and 608 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in section 608.

SEC. 303. Notwithstanding any other provision of law, the salaries and expenses appropriation for "Courts of Appeals, District Courts, and Other Judicial Services" shall be available for official reception and representation expenses of the Judicial Conference of the United States: Provided, That such available funds shall not exceed \$11,000 and shall be administered by the Director of the Administrative Office of the United States Courts in the capacity as Secretary of the Judicial Conference.

SEC. 304. Section 3314(a) of title 40, United States Code, shall be applied by substituting "Federal" for "executive" each place it appears.

SEC. 305. In accordance with 28 U.S.C. 561-569, and notwithstanding any other provision of law, the United States Marshals Service shall provide, for such courthouses as its Director may designate in consultation with the Director of the Administrative Office of the United States Courts, for purposes of a pilot program, the security services that 40 U.S.C. 1315 authorizes the Department of Homeland Security to provide, except for the services specified in 40 U.S.C. 1315(b)(2)(E). For building-specific security services at these courthouses, the Director of the Administrative Office of the United States Courts shall reimburse the United States Marshals Service rather than the Department of Homeland Security.

SEC. 306. Section 140 of Public Law 97-92, as amended by Public Law 107-77 (28 U.S.C. 461 note), is repealed.

SEC. 307. The Supreme Court of the United States, the Federal Judicial Center, and the United States Sentencing Commission are hereby authorized, now and hereafter, to enter into contracts for the acquisition of severable services for a period that begins in one fiscal year and ends in the next fiscal year and to enter into contracts for multiple years for the acquisition of property and services, to the same extent as executive agencies under the authority of 41 U.S.C. Sections 3902 and 3903, respectively.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
10-32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	2	.....	.....
General Fund Offsetting receipts from the public .....	2	.....	.....



# DEPARTMENT OF AGRICULTURE

## OFFICE OF THE SECRETARY

### Federal Funds

#### PRODUCTION, PROCESSING AND MARKETING

##### OFFICE OF THE SECRETARY

*For necessary expenses of the Office of the Secretary of Agriculture, \$5,086,000: Provided, That not to exceed \$11,000 of this amount shall be available for official reception and representation expenses, not otherwise provided for, as determined by the Secretary.*

##### OFFICE OF THE ASSISTANT SECRETARY FOR CIVIL RIGHTS

*For necessary expenses of the Office of the Assistant Secretary for Civil Rights, \$898,000.*

##### OFFICE OF THE ASSISTANT SECRETARY FOR ADMINISTRATION

*For necessary expenses of the Office of the Assistant Secretary for Administration, \$809,000.*

##### OFFICE OF TRIBAL RELATIONS

*For necessary expenses of the Office of Tribal Relations, \$502,000, to support communication and consultation activities with Federally Recognized Tribes, as well as other requirements established by law.*

##### OFFICE OF THE ASSISTANT SECRETARY FOR CONGRESSIONAL RELATIONS

##### (INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Office of the Assistant Secretary for Congressional Relations to carry out the programs funded by this Act, including programs involving intergovernmental affairs and liaison within the executive branch, \$3,897,000: Provided, That these funds may be transferred to agencies of the Department of Agriculture funded by this Act to maintain personnel at the agency level.*

##### OFFICE OF THE UNDER SECRETARY FOR RESEARCH, EDUCATION AND ECONOMICS

*For necessary expenses of the Office of the Under Secretary for Research, Education and Economics, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR MARKETING AND REGULATORY PROGRAMS

*For necessary expenses of the Office of the Under Secretary for Marketing and Regulatory Programs, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR FOOD SAFETY

*For necessary expenses of the Office of the Under Secretary for Food Safety, \$816,000.*

##### OFFICE OF THE UNDER SECRETARY FOR FARM AND FOREIGN AGRICULTURAL SERVICES

*For necessary expenses of the Office of the Under Secretary for Farm and Foreign Agricultural Services, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR NATURAL RESOURCES AND ENVIRONMENT

*For necessary expenses of the Office of the Under Secretary for Natural Resources and Environment, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR RURAL DEVELOPMENT

*For necessary expenses of the Office of the Under Secretary for Rural Development, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR FOOD, NUTRITION AND CONSUMER SERVICES

*For necessary expenses of the Office of the Under Secretary for Food, Nutrition and Consumer Services, \$816,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

Identification code 12–9913–0–1–352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of the Secretary .....	4	4	4
0002 Under/Assistant Secretaries .....	9	11	12
0003 Trade negotiations and biotechnology resources .....	1	1	1
0799 Total direct obligations .....	14	16	17
0802 Reimbursable program .....	10	10	10
0900 Total new obligations .....	24	26	27
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	2
1021 Recoveries of prior year unpaid obligations .....	.....	1	1
1050 Unobligated balance (total) .....	1	2	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14	16	17
1160 Appropriation, discretionary (total) .....	14	16	17
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	5	5
1701 Change in uncollected payments, Federal sources .....	10	5	5
1750 Spending auth from offsetting collections, disc (total) .....	12	10	10
1900 Budget authority (total) .....	26	26	27
1930 Total budgetary resources available .....	27	28	30
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	1	2	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	6	3
3010 Obligations incurred, unexpired accounts .....	24	26	27
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-25	-28	-27
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	6	3	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-19	-25	-30
3070 Change in uncollected pymts, Fed sources, unexpired .....	-10	-5	-5
3071 Change in uncollected pymts, Fed sources, expired .....	4	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-25	-30	-35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-12	-19	-27
3200 Obligated balance, end of year .....	-19	-27	-33
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	26	26	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	23	24
4011 Outlays from discretionary balances .....	4	5	3
4020 Outlays, gross (total) .....	25	28	27
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-10	-5	-5
4052 Offsetting collections credited to expired accounts .....	8	.....	.....
4060 Additional offsets against budget authority only (total) .....	-2	-5	-5
4070 Budget authority, net (discretionary) .....	14	16	17
4080 Outlays, net (discretionary) .....	15	23	22
4180 Budget authority, net (total) .....	14	16	17
4190 Outlays, net (total) .....	15	23	22

The Office of the Secretary is responsible for the overall planning, coordination and administration of the Department's programs. This includes the Secretary, Deputy Secretary, Under Secretaries, Assistant Secretaries, and their immediate staffs, who provide top policy guidance for the Department; maintain

## PRODUCTION, PROCESSING AND MARKETING—Continued

relationships with agricultural organizations and others in the development of farm programs; and provide liaison with the Executive Office of the President and Members of Congress on all matters pertaining to agricultural policy.

Funds are proposed for the Office of the Secretary's account for (1) negotiating and monitoring trade agreements; and (2) for technical trade support in the areas of biotechnology, sanitary and phyto-sanitary issues. The 2014 Budget requests \$17 million.

## Object Classification (in millions of dollars)

Identification code 12–9913–0–1–352	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	8	10	11
12.1 Civilian personnel benefits .....	2	3	3
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	2	1	1
99.0 Direct obligations .....	14	16	17
99.0 Reimbursable obligations .....	10	10	10
99.9 Total new obligations .....	24	26	27

## Employment Summary

Identification code 12–9913–0–1–352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	62	62	65
2001 Reimbursable civilian full-time equivalent employment .....	60	62	62

## Trust Funds

## GIFTS AND BEQUESTS

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 12–8203–0–7–352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			1
Receipts:			
0220 Gifts and Bequests, Departmental Administration .....	1	1	1
0400 Total: Balances and collections .....	1	1	2
Appropriations:			
0500 Gifts and Bequests .....	–1		
0799 Balance, end of year .....		1	2

## Program and Financing (in millions of dollars)

Identification code 12–8203–0–7–352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Gifts and bequests .....	1	1	1
0900 Total new obligations (object class 99.5) .....	1	1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1		
1260 Appropriations, mandatory (total) .....	1		
1900 Budget authority (total) .....	1		
1930 Total budgetary resources available .....	4	3	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	–1	–1	–1

## Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	1		
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	1	1
4180 Budget authority, net (total) .....	1		
4190 Outlays, net (total) .....	1	1	1

The Secretary is authorized to accept and administer gifts and bequests of real and personal property to facilitate the work of the Department. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest (7 U.S.C. 2269).

## EXECUTIVE OPERATIONS

## Federal Funds

## COMMON COMPUTING ENVIRONMENT

## Program and Financing (in millions of dollars)

Identification code 12–0113–0–1–352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		1	
0900 Total new obligations (object class 99.5) .....		1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1930 Total budgetary resources available .....	1	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1	
3020 Outlays (gross) .....		–1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	
4190 Outlays, net (total) .....		1	

The Common Computing Environment provides the shared information technology platform for the three Service Center Agencies (the Farm Service Agency, the Natural Resources Conservation Service, and the Rural Development agencies).

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

Identification code 12–4609–0–4–352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Administration .....	48	47	51
0802 Communications .....	5	6	6
0803 Finance and management .....	344	281	306
0804 Information technology .....	450	379	413
0805 Executive secretariat .....	4	3	4
0809 Reimbursable program activities, subtotal .....	851	716	780
0811 Administration .....		4	2
0813 Finance and management .....	36	22	17
0814 Information technology .....	3	6	9
0819 Reimbursable program activities - Purchase of Equipment (Capital), subtotal .....	39	32	28
0900 Total new obligations .....	890	748	808
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	117	132	110
1021 Recoveries of prior year unpaid obligations .....	32		
1050 Unobligated balance (total) .....	149	132	110

Budget authority:			
Appropriations, discretionary:			
1121	Appropriations transferred from other accts RD [12-2081]	2	
1121	Appropriations transferred from other accts OBPA [12-9915]	1	
1121	Appropriations transferred from other accts RD [12-1230]	1	
1121	Appropriations transferred from other accts APHIS [12-1600]	3	
1160	Appropriation, discretionary (total)	7	
Spending authority from offsetting collections, discretionary:			
1700	Collected	906	790
1701	Change in uncollected payments, Federal sources	-40	
1750	Spending auth from offsetting collections, disc (total)	866	790
1900	Budget authority (total)	873	790
1930	Total budgetary resources available	1,022	900
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	132	92

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	241	66
3010	Obligations incurred, unexpired accounts	890	808
3020	Outlays (gross)	-811	-859
3040	Recoveries of prior year unpaid obligations, unexpired	-32	
3050	Unpaid obligations, end of year	288	15
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-269	-229
3070	Change in uncollected pymts, Fed sources, unexpired	40	
3090	Uncollected pymts, Fed sources, end of year	-229	-229
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-28	-163
3200	Obligated balance, end of year	59	-214

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross	873	790
Outlays, gross:			
4010	Outlays from new discretionary authority	617	683
4011	Outlays from discretionary balances	194	176
4020	Outlays, gross (total)	811	859
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-894	-790
4033	Non-Federal sources	-12	
4040	Offsets against gross budget authority and outlays (total)	-906	-790
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	40	
4070	Budget authority, net (discretionary)	7	
4080	Outlays, net (discretionary)	-95	69
4180	Budget authority, net (total)	7	
4190	Outlays, net (total)	-95	69

This fund finances, by advances or reimbursements, certain central services in the Department of Agriculture, including duplicating and other visual information services; broadcast media services; supply services; centralized financial management systems; centralized automated data processing systems for payroll, personnel, and related services; voucher payments services; telecommunications services; and information technology systems.

**Object Classification** (in millions of dollars)

Identification code 12-4609-0-4-352			
	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent - OCFO	87	107
11.1	Full-time permanent - OCIO	78	88
11.1	Full-time permanent - DA OES OC	18	20
11.5	Other personnel compensation - OCFO	4	3
11.5	Other personnel compensation - OCIO	2	2
11.5	Other personnel compensation - DA OES OC	1	
11.9	Total personnel compensation	190	220
12.1	Civilian personnel benefits OCFO	29	34
12.1	Civilian personnel benefits OCIO	25	25
12.1	Civilian personnel benefits - DA OES OC	6	7
21.0	Travel and transportation of persons OCFO	1	1

21.0	Travel and transportation of persons - OCIO	3	3	3
22.0	Transportation of things - DA OES OC	1	1	1
23.1	Rental payments to GSA - OCFO	1	1	1
23.1	Rental payments to GSA - OCIO	4	6	6
23.1	Rental payments to GSA - DA OES OC	1	1	1
23.2	Rental payments to others - OCFO	12	12	12
23.2	Rental payments to others - OCIO	11	11	11
23.3	Communications, utilities, and miscellaneous charges - OCFO	4	10	4
23.3	Communications, utilities, and miscellaneous charges - OCIO	70	69	70
23.3	Communications, utilities, and miscellaneous charges - DA OES OC	3	3	3
24.0	Printing and reproduction	1		
25.2	Other services from non-Federal sources	1	1	1
25.3	Other goods and services from Federal sources - OCFO	170	85	94
25.3	Other goods and services from Federal sources - OCIO	150	104	137
25.3	Other goods and services from Federal sources - DA OES OC	23	20	22
25.4	Operation and maintenance of facilities	2	2	2
25.7	Operation and maintenance of equipment - OCFO	24	30	36
25.7	Operation and maintenance of equipment - OCIO	47	52	60
25.7	Operation and maintenance of equipment - DA OES OC	2	1	2
26.0	Supplies and materials - OCFO	2	1	1
26.0	Supplies and materials - OCIO	8	5	3
26.0	Supplies and materials - DA OES OC	3	3	3
31.0	Equipment - OCFO	42	34	29
31.0	Equipment - DA OES OC	54	4	2
31.0	Equipment - OCIO		22	17
99.9	Total new obligations	890	748	808

**Employment Summary**

Identification code 12-4609-0-4-352		2012 actual	2013 CR	2014 est.
2001	Reimbursable civilian full-time equivalent employment	2,293	2,295	2,417

**OFFICE OF CHIEF ECONOMIST**  
*Federal Funds*

EXECUTIVE OPERATIONS

OFFICE OF THE CHIEF ECONOMIST

*For necessary expenses of the Office of the Chief Economist, \$12,854,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-0123-0-1-352			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity	11	13
0801	Reimbursable program activity - other	2	1
0802	Reimbursable program activity (Biodiesel Fuel Education Program)	1	
0899	Total reimbursable obligations	3	1
0900	Total new obligations	14	14

**Budgetary Resources:**

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	11	13
1160	Appropriation, discretionary (total)	11	13
Appropriations, mandatory:			
1221	Appropriations transferred from other accts [12-4336]	1	
1260	Appropriations, mandatory (total)	1	
Spending authority from offsetting collections, discretionary:			
1700	Collected		2
1701	Change in uncollected payments, Federal sources	3	
1750	Spending auth from offsetting collections, disc (total)	3	2
1900	Budget authority (total)	15	15
1930	Total budgetary resources available	15	16

EXECUTIVE OPERATIONS—Continued  
Program and Financing—Continued

Identification code 12-0123-0-1-352	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....		1	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	8	6
3010 Obligations incurred, unexpired accounts .....	14	12	14
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-13	-14	-16
3050 Unpaid obligations, end of year .....	8	6	4
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	4	2
3200 Obligated balance, end of year .....	4	2	
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	14	13	15
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	9	12	14
4011 Outlays from discretionary balances .....	4	2	2
4020 Outlays, gross (total) .....	13	14	16
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-2	-2
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	11	11	13
4080 Outlays, net (discretionary) .....	12	12	14
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1		
4180 Budget authority, net (total) .....	12	11	13
4190 Outlays, net (total) .....	12	12	14

The Office of the Chief Economist advises the Secretary of Agriculture on the economic implications of Department policies, programs and proposed legislation. The Office serves as a focal point for USDA's economic intelligence and analysis; projections related to agricultural commodity markets; risk assessment and cost-benefit analysis related to domestic and international food and agriculture; policy direction for renewable energy development; coordination, analysis and advice on climate change and environmental market activities; and is responsible for coordination and review of all commodity and aggregate agricultural and food-related data used to develop outlook and situation material within the Department. The 2014 Budget requests \$13 million, which includes a \$700,000 increase for oversight of USDA-wide efforts to integrate climate change adaptation planning and actions into USDA programs and policies, and a \$900,000 increase to fund staff to evaluate and quantify the environmental services produced by conservation practices.

## Object Classification (in millions of dollars)

Identification code 12-0123-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	6	7
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	2	3	4
99.0 Direct obligations .....	11	11	13
99.0 Reimbursable obligations .....	3	1	1

99.9 Total new obligations .....	14	12	14
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## Employment Summary

Identification code 12-0123-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	49	53	56

NATIONAL APPEALS DIVISION  
Federal Funds

## NATIONAL APPEALS DIVISION

For necessary expenses of the National Appeals Division, \$12,940,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 12-0706-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Appeals Division .....	12	13	13
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	13	13	13
1160 Appropriation, discretionary (total) .....	13	13	13
1930 Total budgetary resources available .....	13	14	14
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 Obligations incurred, unexpired accounts .....	12	13	13
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-13	-13	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	2	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	13	13	13
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	11	10	10
4011 Outlays from discretionary balances .....	2	3	3
4020 Outlays, gross (total) .....	13	13	13
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	13	13	13

The National Appeals Division (NAD) is responsible for listening to farmers and other rural program participants concerning their disputes with certain agencies within the Department of Agriculture through fair and impartial administrative hearings and appeals. The 2014 Budget requests \$13 million.

## Object Classification (in millions of dollars)

Identification code 12-0706-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	9	9
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	2	1	1
99.0 Direct obligations .....	12	12	12
99.5 Below reporting threshold .....		1	1

99.9	Total new obligations .....	12	13	13
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4190	Outlays, net (total) .....	20	23	22
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**Employment Summary**

Identification code 12-0706-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	86	92	92

**OFFICE OF CIVIL RIGHTS**

*Federal Funds*

OFFICE OF CIVIL RIGHTS

*For necessary expenses of the Office of Civil Rights, \$21,550,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-3800-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	21	21	22
0801 Reimbursable program activity .....	2	2	2
0900 Total new obligations .....	23	23	24

Identification code 12-3800-0-1-352	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	21	21	22
1160 Appropriation, discretionary (total) .....	21	21	22
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1701 Change in uncollected payments, Federal sources .....	2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	2	2	2
1900 Budget authority (total) .....	23	23	24
1930 Total budgetary resources available .....	23	23	24

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	3	1
3010 Obligations incurred, unexpired accounts .....	23	23	24
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-23	-25	-24
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	3	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-2	-3	-5
3200 Obligated balance, end of year .....	-3	-5	-5

Identification code 12-3800-0-1-352	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	23	23	24
Outlays, gross:			
4010 Outlays from new discretionary authority .....	20	22	23
4011 Outlays from discretionary balances .....	3	3	1
4020 Outlays, gross (total) .....	23	25	24
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
4052 Offsetting collections credited to expired accounts .....	3	.....	.....
4060 Additional offsets against budget authority only (total) .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	21	21	22
4080 Outlays, net (discretionary) .....	20	23	22
4180 Budget authority, net (total) .....	21	21	22

The Office of Civil Rights provides overall leadership responsibility for all Department-wide civil rights activities, including employment opportunity and program non-discrimination policy development, analysis, coordination, and compliance. The Office is responsible for providing leadership in the implementation of best practices that will create an environment where a diverse workforce is valued as a source of strength. The Office has the responsibility for monitoring program activities to ensure that all USDA programs are delivered in a non-discriminatory manner. The 2014 Budget requests \$22 million.

**Object Classification** (in millions of dollars)

Identification code 12-3800-0-1-352	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	13	13	14
12.1 Civilian personnel benefits .....	4	4	4
25.2 Other services from non-Federal sources .....	1	3	3
25.3 Other goods and services from Federal sources .....	3	1	1
99.0 Direct obligations .....	21	21	22
99.0 Reimbursable obligations .....	1	2	2
99.5 Below reporting threshold .....	1	.....	.....
99.9 Total new obligations .....	23	23	24

**Employment Summary**

Identification code 12-3800-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	123	134	134

**DEPARTMENTAL MANAGEMENT**

*Federal Funds*

DEPARTMENTAL ADMINISTRATION

(INCLUDING TRANSFERS OF FUNDS)

*For Departmental Administration, \$22,993,000, to provide for necessary expenses for management support services to offices of the Department and for general administration, security, repairs and alterations, and other miscellaneous supplies and expenses not otherwise provided for and necessary for the practical and efficient work of the Department: Provided, That this appropriation shall be reimbursed from applicable appropriations in this Act for travel expenses incident to the holding of hearings as required by 5 U.S.C. 551-558.*

OFFICE OF THE CHIEF INFORMATION OFFICER

*For necessary expenses of the Office of the Chief Information Officer, \$44,159,000.*

OFFICE OF THE CHIEF FINANCIAL OFFICER

*For necessary expenses of the Office of the Chief Financial Officer, \$6,243,000: Provided, That no funds made available by this appropriation may be obligated for FAIR Act or Circular A-76 activities until the Secretary has submitted to the Committees on Appropriations of both Houses of Congress and the Committee on Oversight and Government Reform of the House of Representatives a report on the Department's contracting out policies, including agency budgets for contracting out.*

OFFICE OF BUDGET AND PROGRAM ANALYSIS

*For necessary expenses of the Office of Budget and Program Analysis, \$11,129,000.*

OFFICE OF HOMELAND SECURITY AND EMERGENCY COORDINATION

*For necessary expenses of the Office of Homeland Security and Emergency Coordination, \$1,507,000.*

OFFICE OF ADVOCACY AND OUTREACH

*For necessary expenses of the Office of Advocacy and Outreach, \$1,217,000.*

DEPARTMENTAL ADMINISTRATION—Continued

Program and Financing (in millions of dollars)

Identification code 12-9915-0-1-350	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of Advocacy and Outreach .....	1	1	1
0004 Office of the Chief Financial Officer .....	6	6	6
0005 Office of Budget and Program Analysis .....	8	9	11
0006 Office of the Chief Information Officer .....	42	44	44
0007 Departmental Administration .....	26	24	23
0008 Office of Homeland Security and Emergency Coordination .....	1	2	2
0009 Outreach and Technical Assistance Program .....	20		
0799 Total direct obligations .....	104	86	87
0801 Reimbursable program activity .....	112	83	82
0900 Total new obligations .....	216	169	169
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	1	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	6	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	85	86	87
1120 Appropriations transferred to other accts [12-4609] .....	-1		
1131 Unobligated balance of appropriations permanently reduced .....	-4		
1160 Appropriation, discretionary (total) .....	80	86	87
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [12-4336] .....	22		
1260 Appropriations, mandatory (total) .....	22		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	50	83	83
1701 Change in uncollected payments, Federal sources .....	72		
1750 Spending auth from offsetting collections, disc (total) .....	122	83	83
1900 Budget authority (total) .....	224	169	170
1930 Total budgetary resources available .....	230	170	171
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-13		
1941 Unexpired unobligated balance, end of year .....	1	1	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	104	116	10
3010 Obligations incurred, unexpired accounts .....	216	169	169
3011 Obligations incurred, expired accounts .....	10		
3020 Outlays (gross) .....	-202	-275	-170
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	116	10	9
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-142	-148	-148
3070 Change in uncollected pymts, Fed sources, unexpired .....	-72		
3071 Change in uncollected pymts, Fed sources, expired .....	66		
3090 Uncollected pymts, Fed sources, end of year .....	-148	-148	-148
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-38	-32	-138
3200 Obligated balance, end of year .....	-32	-138	-139
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	202	169	170
Outlays, gross:			
4010 Outlays from new discretionary authority .....	132	166	167
4011 Outlays from discretionary balances .....	62	109	3
4020 Outlays, gross (total) .....	194	275	170
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-138	-83	-83
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-72		
4052 Offsetting collections credited to expired accounts .....	88		
4060 Additional offsets against budget authority only (total) .....	16		
4070 Budget authority, net (discretionary) .....	80	86	87
4080 Outlays, net (discretionary) .....	56	192	87
Mandatory:			
4090 Budget authority, gross .....	22		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1		

4101 Outlays from mandatory balances .....	7		
4110 Outlays, gross (total) .....	8		
4180 Budget authority, net (total) .....	102	86	87
4190 Outlays, net (total) .....	64	192	87

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	102	86	87
Outlays .....	64	192	87
Legislative proposal, subject to PAYGO:			
Outlays .....			-1
Total:			
Budget Authority .....	102	86	87
Outlays .....	64	192	86

Departmental Management comprises the following offices:

Departmental Administration includes offices that provide staff support to policy officials and overall direction and coordination of the Department. These activities include Department-wide programs for human resources management, occupational safety and health management, real and personal property management, acquisitions and contracting, motor vehicle and aircraft management, supply management, participation of small and disadvantaged businesses, service-disabled veterans programs, and the regulatory hearing and administrative proceedings conducted by the Administrative Law Judges, and Judicial Officer. The 2014 Budget requests \$23 million.

The Clinger-Cohen Act of 1996 required the establishment of a Chief Information Officer (CIO) for all major Federal agencies. The Act requires USDA to maximize the value of information technology acquisitions to improve the efficiency and effectiveness of USDA programs. To meet the intent of the law and to provide a Departmental focus for information resources management issues, Secretary's Memorandum 1030-30, dated August 8, 1996, established the Office of the Chief Information Officer (OCIO). The CIO serves as the primary advisor to the Secretary on Information Technology (IT) issues. OCIO provides leadership for the Department's information and IT management activities in support of USDA program delivery. The 2014 Budget requests \$44 million for OCIO.

The Office of the Chief Financial Officer (OCFO) was established in 1995 under authority provided in Reorganization Plan Number 2 of 1953 (7 U.S.C. 2201) to comply with the Chief Financial Officers Act of 1990. The OCFO focuses on the Department's financial and performance management activities to improve program delivery and assure maximum contribution to the Secretary's Strategic Goals. The 2014 Budget requests \$6 million.

The Office of Budget and Program Analysis (OBPA) coordinates the preparation of Departmental budget estimates, regulations, and legislative reports; administers systems for the allotment and apportionment of funds; provides analysis of USDA program issues, draft regulations, and budget proposals; participates in strategic planning; and provides assistance to USDA policy makers in the development and execution of desired policies and programs. The 2014 Budget requests \$11 million. To support evidence-based policy-making, \$2 million is requested in the Budget to provide support for the establishment of a Chief Evaluation Officer within USDA to work closely with program offices and agencies to develop and implement evaluation agendas and priorities set by policy officials.

The Office of Homeland Security and Emergency Coordination formulates emergency preparedness policies and objectives for USDA. The Staff directs and coordinates all of the Department's program activities that support USDA emergency programs and liaison functions with the Congress, the Department of Homeland

Security, and other Federal Departments and agencies involving homeland security, natural disasters, other emergencies, and agriculture-related international civil emergency planning and intelligence activities. The 2014 Budget requests \$1.5 million.

The Office of Advocacy and Outreach (OAO) improves access to USDA programs and enhances the viability and profitability of small farms and ranches, beginning farmers and ranchers, and socially disadvantaged farmers and ranchers ensuring that the Department and its programs are open and transparent. The Department is committed to ensuring that all USDA constituents, including historically underserved groups, have the opportunity to participate in and benefit from the programs offered by the Department. The 2014 Budget requests \$1 million.

**Object Classification** (in millions of dollars)

Identification code 12-9915-0-1-350	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	35	39	37
12.1 Civilian personnel benefits .....	12	12	12
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	41	21	22
25.3 Other goods and services from Federal sources .....	13	11	13
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	104	86	87
99.0 Reimbursable obligations .....	112	83	82
99.9 Total new obligations .....	216	169	169

**Employment Summary**

Identification code 12-9915-0-1-350	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	360	400	397
2001 Reimbursable civilian full-time equivalent employment .....	183	132	130

**DEPARTMENTAL ADMINISTRATION**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 12-9915-4-1-350	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			1
1850 Spending auth from offsetting collections, mand (total) .....			1
1930 Total budgetary resources available .....			1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....			-1
4190 Outlays, net (total) .....			-1

USDA's BioPreferred Program is charged with administering the voluntary USDA Certified Biobased Product label, which was mandated by both the 2002 and 2008 Farm Bills. In 2011, USDA authorized the use of a label for biobased products that producers can use in advertising their products. To ensure the integrity of the label, the Budget requests authority for USDA to: (1) impose civil penalties on companies who misuse the label; and (2) assess each producer who applies to use the label a fee to fund a program audit. This fee, which will begin to be collected once authorizing legislation is enacted, has been given broad support by potential

users who commented on the labels proposed rule, which was issued in May 2010.

**HAZARDOUS MATERIALS MANAGEMENT**  
(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Department of Agriculture, to comply with the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.) and the Resource Conservation and Recovery Act (42 U.S.C. 6901 et seq.), \$3,600,000, to remain available until expended: Provided, That appropriations and funds available herein to the Department for Hazardous Materials Management may be transferred to any agency of the Department for its use in meeting all requirements pursuant to the above Acts on Federal and non-Federal lands.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-0500-0-1-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Hazardous materials management .....	4	4	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1160 Appropriation, discretionary (total) .....	4	4	4
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	16	1
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-19	-4
3050 Unpaid obligations, end of year .....	16	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	16	1
3200 Obligated balance, end of year .....	16	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	4	4
4011 Outlays from discretionary balances .....	1	15	
4020 Outlays, gross (total) .....	4	19	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	19	4

Under the Comprehensive Environmental Response, Compensation, and Liability Act and the Resource Conservation and Recovery Act, the Department has the responsibility to meet the same standards for environmental cleanup and regulatory compliance regarding hazardous wastes and hazardous substances as private businesses. With substantial commitments under these Acts, the Hazardous Materials Management account was established as a central fund so that the Department's agencies may be reimbursed for their cleanup efforts. The Department determines what projects to fund by using objective criteria that identify what sites pose the greatest threats to public health and the environment. The 2014 Budget requests \$4 million.

HAZARDOUS MATERIALS MANAGEMENT—Continued

Object Classification (in millions of dollars)

Identification code 12-0500-0-1-304	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.3 Other goods and services from Federal sources .....	3	3	3
99.9 Total new obligations .....	4	4	4

Employment Summary

Identification code 12-0500-0-1-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6	7	7

AGRICULTURE BUILDINGS AND FACILITIES AND RENTAL PAYMENTS

(INCLUDING TRANSFERS OF FUNDS)

For payment of space rental and related costs pursuant to Public Law 92-313, including authorities pursuant to the 1984 delegation of authority from the Administrator of General Services to the Department of Agriculture under 40 U.S.C. 486, for programs and activities of the Department which are included in this Act, and for alterations and other actions needed for the Department and its agencies to consolidate unneeded space into configurations suitable for release to the Administrator of General Services, and for the operation, maintenance, improvement, and repair of Agriculture buildings and facilities, and for related costs, \$233,095,000, to remain available until expended, of which \$178,270,000 shall be available for payments to the General Services Administration for rent and for payments to the Department of Homeland Security for building security activities; and of which \$54,825,000 is for buildings operations and maintenance expenses: Provided, That the Secretary may use unobligated prior year balances of an agency or office that are no longer available for new obligation to cover shortfalls incurred in prior year rental payments for such agency or office: Provided further, That the Secretary is authorized to transfer funds from a Departmental agency to this account to recover the full cost of the space and security expenses of that agency that are funded by this account when the actual costs exceed the agency estimate which will be available for the activities and payments described herein.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-0117-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Rental payments to GSA: Non-recurring repairs .....	168	171	164
0002 Building operations and maintenance .....	33	50	43
0003 Homeland Security .....	12	12	12
0004 DHS building security .....	16	14	14
0799 Total direct obligations .....	229	247	233
0802 Reimbursable program .....	5	5	5
0900 Total new obligations .....	234	252	238

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	23	8
1021 Recoveries of prior year unpaid obligations .....	9		
1050 Unobligated balance (total) .....	20	23	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	230	232	233
1160 Appropriation, discretionary (total) .....	230	232	233
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	5	5
1701 Change in uncollected payments, Federal sources .....	2		

1750 Spending auth from offsetting collections, disc (total) .....	7	5	5
1900 Budget authority (total) .....	237	237	238
1930 Total budgetary resources available .....	257	260	246
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	23	8	8

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	69	39	20
3010 Obligations incurred, unexpired accounts .....	234	252	238
3020 Outlays (gross) .....	-255	-271	-238
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9		
3050 Unpaid obligations, end of year .....	39	20	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	63	31	12
3200 Obligated balance, end of year .....	31	12	12

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	237	237	238
Outlays, gross:			
4010 Outlays from new discretionary authority .....	218	234	235
4011 Outlays from discretionary balances .....	37	37	3
4020 Outlays, gross (total) .....	255	271	238
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070 Budget authority, net (discretionary) .....	230	232	233
4080 Outlays, net (discretionary) .....	250	266	233
4180 Budget authority, net (total) .....	230	232	233
4190 Outlays, net (total) .....	250	266	233

Unfunded deficiencies:

7000 Unfunded deficiency, start of year .....	-6	-8	
Change in deficiency during the year:			
7012 New budget authority used to liquidate deficiencies .....	-2	8	
7020 Unfunded deficiency, end of year .....	-8		

This account finances the General Services Administration's fees for rental of space and the Department of Homeland Security's security-related fees. The appropriation covers all fees for all regular appropriated accounts within the Department of Agriculture with the exception of the Forest Service. This account also finances the day to day operations, repair, improvement and maintenance activities of two buildings in the Headquarters complex and the George Washington Carver Center in Beltsville, Md.

Deficiency in Rental Payments

\$ Millions	2012	FY2013	FY2014
Deficiency, start of year .....	-6	-8	
Unobligated balances applied to deficiency .....	-2	8	
Adjusted deficiency .....	-8		
Deficiency, end of year .....	-8		

The 2014 Budget requests \$233 million. This account has a deficiency due to prior year shortfalls in rental payments incurred between 2004 and 2008. USDA successfully reduced the deficiency from \$24 million to \$6 million in 2011; due to accounting adjustments in 2012, the deficiency at the end of 2012 was -\$8 million. USDA anticipates paying off the remainder of the deficiency in 2013.

Object Classification (in millions of dollars)

Identification code 12-0117-0-1-352	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	2	3	3

23.1	Rental payments to GSA .....	168	171	164
23.3	Communications, utilities, and miscellaneous charges .....	3	10	10
25.2	Other services from non-Federal sources .....	30	21	18
25.3	Other goods and services from Federal sources .....	16	14	14
25.4	Operation and maintenance of facilities .....		19	15
99.0	Direct obligations .....	228	247	233
99.0	Reimbursable obligations .....	5	5	5
99.5	Below reporting threshold .....	1		
99.9	Total new obligations .....	234	252	238

**Employment Summary**

Identification code 12-0117-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	92	92	92

**OFFICE OF COMMUNICATIONS**

*Federal Funds*

OFFICE OF COMMUNICATIONS

For necessary expenses of the Office of Communications, \$8,137,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-0150-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Public affairs .....	8	8	8
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1160 Appropriation, discretionary (total) .....	8	8	8
1930 Total budgetary resources available .....	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	8	8	8
3020 Outlays (gross) .....	-8	-8	-8
3050 Unpaid obligations, end of year .....	1	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	8	8
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	8	8	8
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	8	8	8

The mission of the Office of Communications (OC) is to provide leadership, expertise, management and coordination to develop and implement successful communication strategies and products that advance the mission of the USDA and priorities of the government, while serving and engaging the public in a fair, equal, transparent and easily accessible manner. OC delivers information about USDA programs and policies in a consistent, timely fashion. The 2014 Budget requests \$8 million.

**Object Classification** (in millions of dollars)

Identification code 12-0150-0-1-352	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	7	7
12.1 Civilian personnel benefits .....	1	1	1
99.9 Total new obligations .....	8	8	8

**Employment Summary**

Identification code 12-0150-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	59	62	62

**OFFICE OF INSPECTOR GENERAL**

*Federal Funds*

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, including employment pursuant to the Inspector General Act of 1978, \$89,902,000, including such sums as may be necessary for contracting and other arrangements with public agencies and private persons pursuant to section 6(a)(9) of the Inspector General Act of 1978, and including not to exceed \$125,000 for certain confidential operational expenses, including the payment of informants, to be expended under the direction of the Inspector General pursuant to Public Law 95-452 and section 1337 of Public Law 97-98.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-0900-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of the Inspector General .....	95	86	90
0801 Reimbursable program .....	5	3	3
0900 Total new obligations .....	100	89	93
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	8	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	86	86	90
1160 Appropriation, discretionary (total) .....	86	86	90
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	4	4
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	10	4	4
1900 Budget authority (total) .....	96	90	94
1930 Total budgetary resources available .....	108	98	103
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	9	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	19	5
3010 Obligations incurred, unexpired accounts .....	100	89	93
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-92	-103	-94
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	19	5	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	16	2

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 12-0900-0-1-352	2012 actual	2013 CR	2014 est.
3200 Obligated balance, end of year .....	16	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	96	90	94
Outlays, gross:			
4010 Outlays from new discretionary authority .....	75	82	86
4011 Outlays from discretionary balances .....	17	21	8
4020 Outlays, gross (total) .....	92	103	94
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-4	-4
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-4		
4070 Budget authority, net (discretionary) .....	86	86	90
4080 Outlays, net (discretionary) .....	86	99	90
4180 Budget authority, net (total) .....	86	86	90
4190 Outlays, net (total) .....	86	99	90

The Office of Inspector General provides the Secretary and Congress with information or intelligence about fraud, other serious problems, mismanagement, and deficiencies in Department programs and operations, recommends corrective action, and reports on the progress made in correcting the problems. The Office reviews existing and proposed legislation and regulations and makes recommendations to the Secretary and Congress regarding the impact these laws have on the Department's programs and the prevention and detection of fraud and mismanagement in such programs. The Office provides policy direction and conducts, supervises, and coordinates all audits and investigations. The Office supervises and coordinates other activities in the Department and between the Department and other Federal, State and local government agencies whose purposes are to: (a) promote economy and efficiency; (b) prevent and detect fraud and mismanagement; and (c) identify and prosecute people involved in fraud or mismanagement.

OIG's \$90 million request includes \$468,000 to support the Council of the Inspector General on Integrity and Efficiency, established under the authority of the Inspector General Reform Act of 2008 to coordinate Federal efforts to improve program delivery.

Object Classification (in millions of dollars)

Identification code 12-0900-0-1-352	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	59	53	54
12.1 Civilian personnel benefits .....	21	19	19
21.0 Travel and transportation of persons .....	4	3	3
23.3 Communications, utilities, and miscellaneous charges .....	2	2	3
25.2 Other services from non-Federal sources .....	5	5	6
25.3 Other goods and services from Federal sources .....	1	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	2
99.0 Direct obligations .....	95	86	90
99.0 Reimbursable obligations .....	5	3	3
99.9 Total new obligations .....	100	89	93

Employment Summary

Identification code 12-0900-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	558	558	558

OFFICE OF THE GENERAL COUNSEL

Federal Funds

OFFICE OF THE GENERAL COUNSEL

For necessary expenses of the Office of the General Counsel, \$45,014,000, of which \$3,451,000 is for the Office of Ethics.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-2300-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of the General Counsel .....	39	40	45
0801 Reimbursable program activity .....	5	4	4
0900 Total new obligations .....	44	44	49

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	39	40	45
1160 Appropriation, discretionary (total) .....	39	40	45
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	4	4
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	5	4	4
1900 Budget authority (total) .....	44	44	49
1930 Total budgetary resources available .....	44	44	49

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	4	4
3010 Obligations incurred, unexpired accounts .....	44	44	49
3020 Outlays (gross) .....	-45	-44	-49
3050 Unpaid obligations, end of year .....	4	4	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	44	44	49
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40	42	47
4011 Outlays from discretionary balances .....	5	2	2
4020 Outlays, gross (total) .....	45	44	49
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-4	-4
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	39	40	45
4080 Outlays, net (discretionary) .....	40	40	45
4180 Budget authority, net (total) .....	39	40	45
4190 Outlays, net (total) .....	40	40	45

The Office of the General Counsel of the Department of Agriculture provides all legal advice, counsel, and services to the Secret-

ary and to all agencies, offices, and corporations of the Department on all aspects of their operations. It represents the Department in administrative proceedings; non-litigation debt collection proceedings; State water rights adjudications; proceedings before the Civilian Board of Contract Appeal, the Merit System Protection Board, the Equal Employment Opportunity Commission, the USDA Office of Administrative Law Judges, and other Federal agencies; and, in conjunction with the Department of Justice, in judicial proceedings and litigation in the Federal and State courts. All attorneys and related support personnel devoted to those efforts are under the supervision of the General Counsel. The 2014 Budget requests \$41.5 million, including an increase of \$2 million for 10 FTEs to handle an increased workload, to support current staff, and for computerized legal research.

The Office of Ethics provides ethics advice, counsel and training to all USDA officials and employees, and conducts annual financial disclosure reviews. The work of the Office of Ethics promotes employee compliance with Federal conflict of interest laws and regulations. The 2014 Budget requests \$3.4 million and 29 FTEs, and is shown in this account beginning in 2014.

#### Object Classification (in millions of dollars)

Identification code 12-2300-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	28	29	33
12.1 Civilian personnel benefits .....	8	8	9
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	39	40	45
99.0 Reimbursable obligations .....	5	4	4
99.9 Total new obligations .....	44	44	49

#### Employment Summary

Identification code 12-2300-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	253	245	282
2001 Reimbursable civilian full-time equivalent employment .....	28	26	28

## ECONOMIC RESEARCH SERVICE

### Federal Funds

#### ECONOMIC RESEARCH SERVICE

For necessary expenses of the Economic Research Service, \$78,506,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 12-1701-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Economic Research Service .....	77	78	79
0801 Reimbursable program activity .....	2	1	1
0900 Total new obligations .....	79	79	80
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	78	78	79
1160 Appropriation, discretionary (total) .....	78	78	79
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1701 Change in uncollected payments, Federal sources .....	2		

1750	Spending auth from offsetting collections, disc (total) .....	2	1	1
1900	Budget authority (total) .....	80	79	80
1930	Total budgetary resources available .....	80	79	80
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	40	37	33
3010	Obligations incurred, unexpired accounts .....	79	79	80
3011	Obligations incurred, expired accounts .....	5		
3020	Outlays (gross) .....	-82	-83	-89
3041	Recoveries of prior year unpaid obligations, expired .....	-5		
3050	Unpaid obligations, end of year .....	37	33	24
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071	Change in uncollected pymts, Fed sources, expired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	34	31	27
3200	Obligated balance, end of year .....	31	27	18
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	80	79	80
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	61	64	64
4011	Outlays from discretionary balances .....	21	19	25
4020	Outlays, gross (total) .....	82	83	89
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-2	-1	-1
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052	Offsetting collections credited to expired accounts .....	2		
4070	Budget authority, net (discretionary) .....	78	78	79
4080	Outlays, net (discretionary) .....	80	82	88
4180	Budget authority, net (total) .....	78	78	79
4190	Outlays, net (total) .....	80	82	88

The Economic Research Service provides economic and other social science research and analysis to inform public and private decision-making on food, agriculture, natural resources, and rural America. The Agency's mission is to anticipate issues that are on the horizon, and to conduct sound, peer-reviewed economic research. ERS is also the primary source of statistical indicators that, among other things, gauge the health of the farm sector (including farm income estimates and projections), assess the current and expected performance of the agricultural sector (including trade), and provide measures of food security here and abroad. Most of the Agency's research is conducted by a highly trained staff of economists and social scientists through an intramural program of research, market outlook, and analysis.

Five principles characterize ERS' core program: (1) Research that builds on unique or confidential data sources at the Federal level and is inherent in the role of a Federal Statistical Agency, including the Agricultural Resource Management Survey (ARMS) and associated farm and farm household finance estimates, consumer data and related research on food consumption, and development of USDA's commodity market outlook; (2) Research that provides coordination for a national perspective or framework, setting a single standard; (3) Research that requires a sustained investment and large teams; (4) Research that directly services the U.S. Government or USDA's long-term national goals such as the cost to the economy of sickness and premature death due to foodborne illnesses for FSIS, rural definition analysis for Rural development, and conservation program options for FSA and NCRS; and (5) Research that addresses questions with short-run payoff or has immediate policy implications.

ERS draws on the expertise of external collaborators through grants and cooperative research agreements for issues that re-

ECONOMIC RESEARCH SERVICE—Continued

quire expertise beyond the scope of the current program or that require knowledge of state or regional issues. The 2014 budget request of \$78,506,000 continues to fund ERS core program of research, data analysis, and market outlook, and in addition, supports a new program enhancement, Research Innovations for Improving Policy Effectiveness, which will strengthen ERS' ability to conduct research through two innovative strategies—the use of behavioral economics and the statistical use of administrative data—to address critical information gaps that hinder policy effectiveness. Results of the initiative will provide science-based evidence that informs decision making by policy makers and program managers in the USDA, across Federal and State Governments, and in the Congress. In addition, ERS proposes an initiative for 2014 that will fund enhancements of its general information technology support through the redirection of IT funding. Additional funds received from other Governmental agencies may also be available for support of economic research and analysis.

Object Classification (in millions of dollars)

Identification code 12-1701-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	38	38	39
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	40	40	41
12.1 Civilian personnel benefits .....	10	10	10
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	4	4	4
25.3 Other goods and services from Federal sources .....	14	15	15
25.5 Research and development contracts .....	5	5	5
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	77	78	79
99.0 Reimbursable obligations .....	2	1	1
99.9 Total new obligations .....	79	79	80

Employment Summary

Identification code 12-1701-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	374	385	385
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

NATIONAL AGRICULTURAL STATISTICS SERVICE

Federal Funds

NATIONAL AGRICULTURAL STATISTICS SERVICE

For necessary expenses of the National Agricultural Statistics Service, \$159,601,000, of which up to \$42,295,000 shall be available until expended for the Census of Agriculture.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-1801-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Agricultural estimates .....	110	111	111
0002 Statistical research and service .....	7	7	7
0003 Census of agriculture .....	52	42	42
0799 Total direct obligations .....	169	160	160

0801 Reimbursable program .....	27	22	22
0900 Total new obligations .....	196	182	182
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	11		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	159	160	160
1120 Appropriations transferred to other accts [12-1801] .....	-42	-42	-42
1121 Appropriations transferred from other accts [12-1801] .....	42	42	42
1160 Appropriation, discretionary (total) .....	159	160	160
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	21	22	22
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	26	22	22
1900 Budget authority (total) .....	185	182	182
1930 Total budgetary resources available .....	196	182	182

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	44	42	39
3010 Obligations incurred, unexpired accounts .....	196	182	182
3011 Obligations incurred, expired accounts .....	13		
3020 Outlays (gross) .....	-196	-185	-198
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	42	39	23
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	6		
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37	36	33
3200 Obligated balance, end of year .....	36	33	17

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	185	182	182
Outlays, gross:			
4010 Outlays from new discretionary authority .....	124	164	164
4011 Outlays from discretionary balances .....	72	21	34
4020 Outlays, gross (total) .....	196	185	198
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-38	-20	-20
4033 Non-Federal sources .....	6	-2	-2
4040 Offsets against gross budget authority and outlays (total) .....	-32	-22	-22
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	11		
4060 Additional offsets against budget authority only (total) .....	6		
4070 Budget authority, net (discretionary) .....	159	160	160
4080 Outlays, net (discretionary) .....	164	163	176
4180 Budget authority, net (total) .....	159	160	160
4190 Outlays, net (total) .....	164	163	176

The National Agricultural Statistics Service (NASS) provides the official National and State estimates of acreage, yield, and production of crops, stocks, value and expenditures associated with farm commodities, and inventory, values, and expenditures of livestock items. Data on approximately 120 crops and 45 livestock products are covered in over 400 reports issued each year. In addition, the Census of Agriculture, which is conducted every five years for years ending in 2 and 7, takes a snapshot of America's agriculture and provides comprehensive data on the Nation's agricultural industry down to the county level. NASS' responsibilities are authorized under the Agricultural Marketing Act of 1946 (7 U.S.C 1621-1627) and the Census of Agriculture Act of 1997, Public Law 105-113 (7 U.S.C. 2204g).

*Agricultural Estimates.*—According to the USDA Chief Economist the Agricultural Estimates program is vital to for producers,

agricultural commodity markets in the U.S. and the world, policy makers in government, and people involved in making planning, investment, price discovery mechanisms, and marketing decisions. Billions of dollars could be put at risk without these essential Agricultural Estimates statistical reports. The work under this activity is conducted through 46 field offices serving the 50 States and Puerto Rico; most of these offices are operated as joint State and Federal services. Cooperative arrangements with State agencies provide additional State and county data. In order to support Administration priorities and improve efficiency, NASS has carefully completed a comprehensive review of existing programs to determine which programs are most critical to serving agency goals, with evaluations based on the following priorities: 1) Principal Economic Indicator data; 2) data which directly impact commodity markets; 3) data necessary to implement the USDA programs which provide payments to farmers and are used to administer the farm safety net for producers; and 4) data for which there are no other publicly available sources of information. In 2012 NASS achieved several accomplishments: 1) conducted a survey of hogs for USDA's Animal and Plant Health Inspection Service's National Animal Health Monitoring System; 2) centralized processing of the Objective Yield samples at the National Operations Center; 3) developed several vegetation indexes to improve crop growth models to determine crop stages; 4) obtained system code for Computer Audio Recorded Interview system from the U. S. Census Bureau and started testing to improve the quality of data collection; and 5) completed its third 48 state Cropland Data Layer for the 2011 reference year with high resolution and improved accuracy of the classifications and the precision of the acreage estimates generated.

*Census of Agriculture.*—The Census of Agriculture provides the only source of comparable and consistent detailed data about agriculture down to the county level. The Census of Agriculture is critical because it provides comprehensive data on the agriculture economy, land use, production expenses, value of land and buildings, farm size and characteristics of farm operators, market value of agricultural production sold, acreage of major crops, inventory of livestock and poultry, and farm irrigation practices. The Census of Agriculture helps to measure trends and new developments in the agricultural sector of our Nation's economy. In 2012 NASS achieved several accomplishments: 1) finalized the mail list for the 2012 Census of Agriculture; 2) completed the online reporting system through exhaustive testing to improve data quality and reduce respondent burden; 3) finished the forms design process for the preparation of mail packets; 4) enhanced critical programming and tested to automate and streamline the correction of omitted and erroneous data in order to minimize analyst intervention; and 5) continued a vigorous marketing campaign to encourage producers to be represented in the 2012 Census of Agriculture. The 2014 Budget request is for a level to reflect the expected activity related to completing and releasing the results of the 2012 Census of Agriculture and conducting scheduled Follow-on surveys.

The 2014 request of \$159,601,000 for NASS includes \$42.3 million for the Census of Agriculture. NASS will do two much needed Follow-on surveys: 1) the Farm and Ranch Irrigation Survey, and 2) the Census of Aquaculture. At the request of the USDA Chief Economist, NASS will start producing four of the Current Industrial Reports (CIR) formerly compiled by the U.S. Census Bureau. The CIRs include: 1) Oilseeds, Beans, & Nuts; 2) Fats and Oils (Production, Consumption, & Stocks); 3) Cotton, Manmade Fiber Staple, & Raw Linters (Consumption, & Stocks, & Spindle Activity); and 4) Flour Milling Products. Funding for Agricultural Estimates will continue at the 2012 base level of

about \$117 million. NASS continues to review its programs to improve efficiency.

Miscellaneous funds received from local organizations, commodity groups, and others are available for dissemination of reports and for survey work conducted under cooperative agreements (7 U.S.C. 450b, 450h, 3318b). NASS also provides technical consultation, support, and assistance for international programs under participating agency service agreements.

**Object Classification** (in millions of dollars)

Identification code 12-1801-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	65	76	71
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	67	78	73
12.1 Civilian personnel benefits .....	23	27	25
21.0 Travel and transportation of persons .....	7	5	5
22.0 Transportation of things .....	2	3	2
23.3 Communications, utilities, and miscellaneous charges .....	8	8	8
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	26	20	28
25.3 Other goods and services from Federal sources .....	24	14	14
25.7 Operation and maintenance of equipment .....	6	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	2	2
99.0 Direct obligations .....	169	160	160
99.0 Reimbursable obligations .....	27	22	22
99.9 Total new obligations .....	196	182	182

**Employment Summary**

Identification code 12-1801-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	946	1,104	1,084
2001 Reimbursable civilian full-time equivalent employment .....	106	106	106

**AGRICULTURAL RESEARCH SERVICE**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Agricultural Research Service and for acquisition of lands by donation, exchange, or purchase at a nominal cost not to exceed \$100, and for land exchanges where the lands exchanged shall be of equal value or shall be equalized by a payment of money to the grantor which shall not exceed 25 percent of the total value of the land or interests transferred out of Federal ownership, \$1,124,003,000: Provided, That appropriations hereunder shall be available for the operation and maintenance of aircraft and the purchase of not to exceed one for replacement only: Provided further, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for the construction, alteration, and repair of buildings and improvements, but unless otherwise provided, the cost of constructing any one building shall not exceed \$375,000, except for headhouses or greenhouses which shall each be limited to \$1,200,000, and except for 10 buildings to be constructed or improved at a cost not to exceed \$750,000 each, and the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building or \$375,000, whichever is greater: Provided further, That the limitations on alterations contained in this Act shall not apply to modernization or replacement of existing facilities at Beltsville, Maryland: Provided further, That appropriations hereunder shall be available for granting easements at the Beltsville Agricultural Research Center: Provided further, That the foregoing limitations shall not apply to replacement of buildings needed to carry out the Act of April 24, 1948 (21 U.S.C. 113a): Provided further, That funds may be received from any State, other political subdivision, organization, or individual for the purpose of establishing or operating any research facility or research project of the Agricultural Research Service, as authorized by law.*

## SALARIES AND EXPENSES—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 12–1400–0–1–352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Product quality/value added .....	100	101	85
0002 Livestock production .....	76	77	73
0003 Crop production .....	228	230	229
0004 Food safety .....	96	97	109
0005 Livestock protection .....	59	60	63
0006 Crop protection .....	184	186	171
0007 Human nutrition research .....	85	86	96
0008 Environmental stewardship .....	188	190	219
0009 National Agricultural Library .....	21	21	26
0010 Repair and maintenance of facilities .....	17	17	17
0012 Homeland security .....	36	36	36
0014 Miscellaneous Fees/Supplementals .....	.....	9	.....
0799 Total direct obligations .....	1,090	1,110	1,124
0881 Reimbursable program activity .....	141	141	141
0889 Reimbursable program activities, subtotal .....	141	141	141
0900 Total new obligations .....	1,231	1,251	1,265
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	10	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,095	1,101	1,124
1160 Appropriation, discretionary (total) .....	1,095	1,101	1,124
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	31	144	144
1701 Change in uncollected payments, Federal sources .....	113	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	144	144	144
1900 Budget authority (total) .....	1,239	1,245	1,268
1930 Total budgetary resources available .....	1,246	1,255	1,272
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	10	4	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	406	420	364
3010 Obligations incurred, unexpired accounts .....	1,231	1,251	1,265
3011 Obligations incurred, expired accounts .....	22	.....	.....
3020 Outlays (gross) .....	-1,212	-1,307	-1,294
3041 Recoveries of prior year unpaid obligations, expired .....	-27	.....	.....
3050 Unpaid obligations, end of year .....	420	364	335
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-154	-180	-180
3070 Change in uncollected pymts, Fed sources, unexpired .....	-113	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	87	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-180	-180	-180
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	252	240	184
3200 Obligated balance, end of year .....	240	184	155
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,239	1,245	1,268
Outlays, gross:			
4010 Outlays from new discretionary authority .....	938	939	957
4011 Outlays from discretionary balances .....	274	368	337
4020 Outlays, gross (total) .....	1,212	1,307	1,294
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-82	-86	-86
4033 Non-Federal sources .....	-35	-58	-58
4040 Offsets against gross budget authority and outlays (total) .....	-117	-144	-144
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-113	.....	.....
4052 Offsetting collections credited to expired accounts .....	86	.....	.....

4060	Additional offsets against budget authority only (total) .....	-27	.....	.....
4070	Budget authority, net (discretionary) .....	1,095	1,101	1,124
4080	Outlays, net (discretionary) .....	1,095	1,163	1,150
4180	Budget authority, net (total) .....	1,095	1,101	1,124
4190	Outlays, net (total) .....	1,095	1,163	1,150

The Agricultural Research Service (ARS) is the principal in-house research agency of the U.S. Department of Agriculture (USDA). ARS conducts scientific research to develop and transfer solutions to agricultural problems of high national priority and to provide information access and dissemination to: ensure high-quality, safe food, and other agricultural products; assess the nutritional needs of Americans; sustain a competitive agricultural economy; enhance the natural resource base and the environment; and provide economic opportunities for rural citizens, communities, and society as a whole. This mission is carried out through ARS' major research program areas and other activities listed below (in italics).

The major research programs in ARS address and support the Department's priorities and are: New Products/Product Quality/Value Added; Environmental Stewardship; Livestock/Crop Production; Livestock/Crop Protection; Food Safety; and Human Nutrition.

The 2014 Salaries and Expenses budget for ARS requests \$1,124 million, which includes increases for new and expanded research initiatives in environmental stewardship; animal/crop breeding and protection; food safety; child and human nutrition; priority initiatives in the earth sciences area including, sustainable agriculture, climate change, and bioenergy; and the National Agricultural Library, as well as salary increases. ARS will finance these new and expanded initiatives almost entirely through the redirection of \$125.2 million in existing lines of research including the consolidation or closure of some research locations. The proposed reductions will provide necessary funding for the critical research priorities proposed by the agency for 2014.

*New Products / Product Quality / Value Added.*—ARS has active research programs directed toward 1) improving the efficiency and reducing the cost for the conversion of agricultural products into biobased products and biofuels, 2) developing new and improved products for domestic and foreign markets, and 3) providing higher quality, healthy foods that satisfy consumer needs in the United States and abroad.

*Environmental Stewardship*—ARS research programs in environmental stewardship span the areas of water availability and watershed management; climate change, soil, and emissions; agricultural and industrial byproducts; agricultural system competitiveness and sustainability; and pasture, forage, and rangeland systems. Emphasis is given to developing technologies and systems that support profitable production and enhance the Nation's vast renewable natural resource base. ARS is currently developing the scientific knowledge and technologies needed to meet the challenges and opportunities facing U.S. agriculture in managing water resource quality and quantity under different climatic regimes, production systems, and environmental conditions. ARS research programs also focus on developing measurement, prediction, and control technologies for emissions of greenhouse gases, particulate matter, ammonia, hydrogen sulfide, and volatile organic compounds affecting air quality and land surface climate interactions. The agency is a leader in developing measurement and modeling techniques for characterizing gaseous and particulate matter emissions from agriculture. In addition, ARS is evaluating strategies for enhancing the health and productivity of soils, including developing predictive tools to assess the sustainability of alternative land management practices. Finding mechanisms to aid agriculture in adapting to changes

in atmospheric composition and climatic variations is also an important component of ARS research. ARS range and grazing land research includes the conservation and restoration of the Nation's range land and pasture ecosystems and agroecosystems through improved management of fire, invasive weeds, grazing, global change, and other agents of ecological change. The agency is currently developing improved grass and forage legume germplasm for livestock, conservation, bioenergy, and bioproduct systems as well as grazing-based livestock systems that reduce risk and increase profitability. In addition, ARS is developing whole system management strategies to reduce production costs and risks.

*Livestock Production.*—ARS' livestock production program is directed toward fostering an abundant, safe, nutritionally wholesome, and competitively priced supply of animal products produced in a viable, competitive, and sustainable animal agriculture sector of the economy by: 1) safeguarding and utilizing animal genetic resources, associated genetic and genomic databases, and bioinformatic tools; 2) developing a basic understanding of food animal physiology for food animal industry issues related to animal production, animal well-being, and product quality and healthfulness; and 3) developing information, best management practices, novel and innovative tools, and technologies that improve animal production systems, enhance human health, and ensure domestic food security.

*Crop Production.*—ARS' crop production program focuses on developing and improving ways to reduce crop losses while protecting and ensuring a safe and affordable food supply. The research program concentrates on effective production strategies that are environmentally friendly, safe to consumers, and compatible with sustainable and profitable crop production systems. Research activities are directed at safeguarding and utilizing plant genetic resources and their associated genetic, genomic, and bioinformatic databases that facilitate selection of varieties and/or germplasm with significantly improved traits. Current research activities minimize the impacts of crop pests while maintaining healthy crops and safe commodities that can be sold in markets throughout the world. ARS is conducting research to discover and exploit naturally occurring and engineered genetic mechanisms for plant pest control, develop agronomic germplasm with durable defensive traits, and transfer genetic resources for commercial use. ARS provides taxonomic information on invasive species that strengthens prevention techniques, aids in detection/identification of invasive pests, and increases control through management tactics that restore habitats and biological diversity.

*Livestock Protection.*—ARS' research on livestock protection is directed at protecting and ensuring the safety of the Nation's agriculture and food supply through improved disease detection, prevention, control, and treatment. Basic and applied research approaches are used to solve animal health problems of high national priority. Emphasis is given to methods and procedures to control animal diseases through the discovery and development of diagnostics, vaccines, biotherapeutics, animal genomics applications, disease management systems, animal disease models, and farm biosecurity measures. The research program has ten strategic objectives: 1) establish ARS laboratories into a fluid, highly effective research network to maximize use of core competencies and resources; 2) ensure access to specialized high containment facilities to study zoonotic and emerging diseases; 3) develop an integrated animal and microbial genomics research program; 4) establish core competencies in bovine, swine, ovine, and avian immunology; 5) launch a biotherapeutic discovery program providing alternatives to animal drugs; 6) build a technology-driven vaccine and diagnostic discovery research program; 7)

develop core competencies in field epidemiology and predictive biology; 8) develop internationally recognized expert collaborative research laboratories; 9) establish a best-in-class training center for our Nation's veterinarians and scientists; and 10) develop a model technology transfer program to achieve the full impact of ARS research discoveries.

*Crop Protection.*—ARS' research on crop protection is directed toward epidemiological investigations to understand pest and disease transmission mechanisms, and to identify and apply new technologies that increase understanding of virulence factors and host defense mechanisms. Currently, ARS research priorities include: 1) identification of genes that convey virulence traits in pathogens and pests; 2) factors that modulate infectivity, gene functions, and mechanisms; 3) genetic profiles that provide specified levels of disease and insect resistance under field conditions; and 4) mechanisms that facilitate the spread of pests and infectious diseases. ARS is developing new knowledge and integrated pest management approaches to control pest and disease outbreaks as they occur. Its research will improve the knowledge and understanding of the ecology, physiology, epidemiology, and molecular biology of emerging diseases and pests. This knowledge will be incorporated into pest risk assessments and management strategies to minimize chemical inputs and increase production. Strategies and approaches will be available to producers to control emerging crop diseases and pest outbreaks.

*Food Safety.*—Assuring that the United States has the highest levels of affordable, safe food requires that the food system be protected at each stage from production through processing and consumption from pathogens, toxins, and chemical contaminants that cause diseases in humans. The U.S. food supply is very diverse, extensive, easily accessible, and thus vulnerable to the introduction of biological and chemical contaminants through natural processes, intentional means, or by global commerce. ARS' current food safety research is designed to yield science-based knowledge on the safe production, storage, processing, and handling of plant and animal products, and on the detection and control of toxin producing and/or pathogenic bacteria and fungi, parasites, chemical contaminants, and plant toxins. All of ARS' research activities involve a high degree of cooperation and collaboration with USDA's Research, Education, and Economics agencies, as well as with FSIS, APHIS, FDA, CDC, DHS, and the EPA. ARS also collaborates on international research programs to address and resolve global food safety issues. Specific research efforts are directed toward developing new technologies that assist ARS stakeholders and customers, that is, regulatory agencies, industry, and commodity and consumer organizations, in detecting, identifying, and controlling foodborne diseases that affect human health.

*Human Nutrition.*—Maintenance of health throughout life along with prevention of obesity and chronic diseases via food-based recommendations are the major emphases of ARS human nutrition research program. These health-related goals are based on the knowledge that deficiency diseases are no longer important public health concerns. Excessive consumption has become the primary nutrition problem in the American population. This is reflected by increased emphasis on prevention of obesity from basic science through intervention studies to assessments of large populations. ARS' research program also actively studies bioactive components of foods that have no known requirement but have health promoting activities. Four specific areas of research are currently emphasized: 1) nutrition monitoring and the food supply, e.g., a national diet survey and the food composition databank; 2) dietary guidance for health promotion and disease prevention, i.e., specific foods, nutrients, and dietary patterns

SALARIES AND EXPENSES—Continued

that maintain health and prevent disease; 3) prevention of obesity and related diseases, including research as to why so few of the population follow the Dietary Guidelines for Americans; and 4) life stage nutrition and metabolism, in order to better define the role of nutrition in pregnancy and growth of children, and for healthier aging.

**Library and Information Services (NAL).**—The National Agricultural Library (NAL) is the largest and most accessible agricultural research library in the world. It provides services directly to the staff of USDA and to the public, primarily via the NAL web site, <http://www.nal.usda.gov>. NAL was created with the USDA in 1862 and was named in 1962 a national library by Congress, as the primary agricultural information resource of the United States. NAL is the premier library for collecting, managing, and disseminating agricultural knowledge. The Library is the repository of our Nation's agricultural heritage, the provider of world class information, and the wellspring for generating new fundamental knowledge and advancing scientific discovery. It is a priceless national resource that, through its services, programs, information products, and web-based tools and technologies, serves anyone who needs agricultural information. The Library's vision is "advancing access to global information for agriculture."

**Repair and Maintenance of Facilities.**—Funds are used to restore, upgrade, and maintain ARS' facilities to meet Occupational Safety and Health Administration and EPA requirements, provide suitable workspace for in-house research programs, and to retrofit existing structures for better energy utilization.

**Reimbursements.**—ARS performs research activities and services for other USDA, Federal, and non-Federal agencies. These activities and services are paid for on a reimbursable basis.

Object Classification (in millions of dollars)

Identification code 12-1400-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	503	505	511
11.3 Other than full-time permanent .....	10	10	10
11.5 Other personnel compensation .....	13	14	14
11.9 Total personnel compensation .....	526	529	535
12.1 Civilian personnel benefits .....	175	176	178
21.0 Travel and transportation of persons .....	12	13	13
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	41	42	41
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	6	11	8
25.4 Operation and maintenance of facilities .....	33	34	33
25.5 Research and development contracts .....	147	152	153
25.7 Operation and maintenance of equipment .....	15	13	16
26.0 Supplies and materials .....	82	87	86
31.0 Equipment .....	36	36	45
32.0 Land and structures .....	3	3	3
41.0 Grants, subsidies, and contributions .....	10	10	9
99.0 Direct obligations .....	1,090	1,110	1,124
99.0 Reimbursable obligations .....	141	141	141
99.9 Total new obligations .....	1,231	1,251	1,265

Employment Summary

Identification code 12-1400-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6,986	6,986	6,986
2001 Reimbursable civilian full-time equivalent employment .....	502	502	502

BUILDINGS AND FACILITIES

*For acquisition of land, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities as necessary to carry out the agricultural research programs of the Department of Agriculture, where not otherwise provided, \$155,000,000 to remain available until expended.*

Program and Financing (in millions of dollars)

Identification code 12-1401-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Building and facilities projects .....	5	5	32
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	5	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	.....	155
1160 Appropriation, discretionary (total) .....	.....	.....	155
1930 Total budgetary resources available .....	10	5	155
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	.....	123
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	82	30	14
3010 Obligations incurred, unexpired accounts .....	5	5	32
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-58	-21	-18
3050 Unpaid obligations, end of year .....	30	14	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	82	30	14
3200 Obligated balance, end of year .....	30	14	28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	155
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	8
4011 Outlays from discretionary balances .....	58	21	10
4020 Outlays, gross (total) .....	58	21	18
4180 Budget authority, net (total) .....	.....	.....	155
4190 Outlays, net (total) .....	58	21	18

This account provides funds for the acquisition of land, construction, repair, improvement, extension, alterations, and purchases of fixed equipment or facilities of or used by the Agricultural Research Service. The 2014 Budget request includes \$155 million to fully fund the planning, design, and construction of a new consolidated poultry research facility at the Southeast Poultry Research Laboratory in Athens, Georgia, and would address highly virulent poultry diseases that require increased biocontainment capabilities and state-of-the-art facilities.

Object Classification (in millions of dollars)

Identification code 12-1401-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	.....	.....	10
25.4 Operation and maintenance of facilities .....	4	5	22
32.0 Land and structures .....	1	.....	.....
99.9 Total new obligations .....	5	5	32

Trust Funds

MISCELLANEOUS CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 12-8214-0-7-352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....

Receipts:				
0220	Deposits of Miscellaneous Contributed Funds, Science and Education Administration .....	30	30	24
0400	Total: Balances and collections .....	30	30	24
Appropriations:				
0500	Miscellaneous Contributed Funds .....	-30	-30	-24
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 12-8214-0-7-352				
<b>Obligations by program activity:</b>				
0001	Miscellaneous contributed funds .....	24	24	24
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	21	28	34
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	22	28	34
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	30	30	24
1260	Appropriations, mandatory (total) .....	30	30	24
1930	Total budgetary resources available .....	52	58	58
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	28	34	34

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7	7	3
3010	Obligations incurred, unexpired accounts .....	24	24	24
3020	Outlays (gross) .....	-23	-28	-26
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	7	3	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	7	3
3200	Obligated balance, end of year .....	7	3	1

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	30	30	24
Outlays, gross:				
4100	Outlays from new mandatory authority .....		21	17
4101	Outlays from mandatory balances .....	23	7	9
4110	Outlays, gross (total) .....	23	28	26
4180	Budget authority, net (total) .....	30	30	24
4190	Outlays, net (total) .....	23	28	26

Miscellaneous contributed funds received from States, local organizations, individuals, and others are available for work under cooperative agreements on research activities.

**Object Classification** (in millions of dollars)

Identification code 12-8214-0-7-352				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	5	5	5
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	7	7	7
12.1	Civilian personnel benefits .....	2	2	2
21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	2	2	2
25.5	Research and development contracts .....	6	6	6
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	1	1	1
41.0	Grants, subsidies, and contributions .....	2	2	2
99.9	Total new obligations .....	24	24	24

**Employment Summary**

Identification code 12-8214-0-7-352				
	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	108	108	108

**NATIONAL INSTITUTE OF FOOD AND AGRICULTURE**  
**Federal Funds**

INTEGRATED ACTIVITIES

For the integrated research, education, and extension grants programs, including necessary administrative expenses, \$28,129,000, as follows: for competitive grants programs authorized under section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7626), \$21,143,000, including \$4,000,000 for the organic transition program and \$17,143,000 for crop protection; \$998,000 for the regional rural development centers program; and \$5,988,000 for the Food and Agriculture Defense Initiative authorized under section 1484 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-1502-0-1-352				
<b>Obligations by program activity:</b>				
0010	Organic research and extension init .....	20		
0020	Water quality .....	5	5	
0040	Regional pest management centers .....	4	4	
0050	Crop Protection .....			17
0070	Methyl bromide transition program .....	2	2	
0071	Homeland Security .....	6	6	6
0085	Specialty Crop Research Initiative .....	50		
0087	Regional Rural development centers .....	1	1	1
0088	Organic transition .....	4	4	4
0900	Total new obligations .....	92	22	28

<b>Budgetary Resources:</b>				
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	1		
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	21	22	28
1160	Appropriation, discretionary (total) .....	21	22	28
Appropriations, mandatory:				
1221	Appropriations transferred from other accts [12-4336] .....	70		
1260	Appropriations, mandatory (total) .....	70		
1900	Budget authority (total) .....	91	22	28
1930	Total budgetary resources available .....	92	22	28

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	267	280	178
3010	Obligations incurred, unexpired accounts .....	92	22	28
3011	Obligations incurred, expired accounts .....	68		
3020	Outlays (gross) .....	-100	-124	-96
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-46		
3050	Unpaid obligations, end of year .....	280	178	110
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	267	280	178
3200	Obligated balance, end of year .....	280	178	110

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	21	22	28
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	4	4

INTEGRATED ACTIVITIES—Continued  
Program and Financing—Continued

Identification code 12–1502–0–1–352	2012 actual	2013 CR	2014 est.
4011 Outlays from discretionary balances .....	39	54	61
4020 Outlays, gross (total) .....	40	58	65
Mandatory:			
4090 Budget authority, gross .....	70		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3		
4101 Outlays from mandatory balances .....	57	66	31
4110 Outlays, gross (total) .....	60	66	31
4180 Budget authority, net (total) .....	91	22	28
4190 Outlays, net (total) .....	100	124	96

Under the Integrated Activities account, research, education and/or extension grants are awarded for competitive and non-competitive programs.

**Organic Transition Program.**—This program supports the development and implementation of biologically based pest management practices that mitigate the ecological, agronomic, and economic risks associated with the transition from conventional to organic agricultural production systems. The 2014 Budget includes \$4.0 million, which is the same as the 2012 enacted level.

**Crop Protection/Pest Management Program.**—This program supports projects that respond to pest management challenges with coordinated region-wide and national research, education, and extension programs, and serves as a catalyst for promoting further development and use of integrated pest management approaches. The program also fosters regional and national team building efforts, communication networks, and enhanced stakeholder participation. The 2014 Budget includes \$17.1 million, which reflects combined pest management funding transferred from Research and Education and Extension Activities.

**Regional rural development centers.**—Funding supports activities that pursue a holistic development strategy that tailors programming to meet regional and local needs and addresses areas of opportunity arising from a consumer-driven agricultural economy. The 2014 Budget includes \$1.0 million, which is the same as the 2012 enacted level.

**Food and agriculture defense initiative (homeland security).**—The program provides support and enhancement of nationally-coordinated plant and animal disease diagnostic networks and supports activities to identify and respond to high risk biological pathogens in the food and agricultural system. The 2014 Budget includes 6.0 million. Additional funding for these laboratories is included in the Animal and Plant Health Inspection Service.

**Organic Agriculture Research and Extension Initiative.**—This mandatory program, authorized by section 7206 of the Food, Conservation, and Energy Act of 2008 (FCEA), 2008 Farm Bill, supports research and extension programs that enhance the ability of producers and processors who have already adopted organic standards to grow and market high quality organic agricultural products. Mandatory funding for the program expired at the end of September 2012.

**Specialty Crop Research Initiative.**—This mandatory program, authorized by section 7311 of the FCEA, 2008 Farm Bill, provides funding to solve critical industry issues through research and extension activities that focus on research in plant breeding, genetics, and genomics to improve crop characteristics; efforts to identify and address threats from pests and diseases, including threats to specialty crop pollinators; efforts to improve production efficiency, productivity, and profitability over the long term; new innovations and technology, including improved mechanization and technologies that delay or inhibit ripening; and methods to

prevent, detect, monitor, control, and respond to potential food safety hazards in the production and processing of specialty crops. Mandatory funding for the program expired at the end of September 2012.

Object Classification (in millions of dollars)

Identification code 12–1502–0–1–352	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	1
41.0 Grants, subsidies, and contributions .....	90	20	27
99.9 Total new obligations .....	92	22	28

Employment Summary

Identification code 12–1502–0–1–352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	8	8	9

BIOMASS RESEARCH AND DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 12–1003–0–1–271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Biomass research and development .....	72	1	
0900 Total new obligations (object class 41.0) .....	72	1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	1	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	33	1	
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [12–4336] ...	40		
1260 Appropriations, mandatory (total) .....	40		
1930 Total budgetary resources available .....	73	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	45	95	63
3010 Obligations incurred, unexpired accounts .....	72	1	
3020 Outlays (gross) .....	–20	–33	–33
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2		
3050 Unpaid obligations, end of year .....	95	63	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	45	95	63
3200 Obligated balance, end of year .....	95	63	30
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	40		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5		
4101 Outlays from mandatory balances .....	15	33	33
4110 Outlays, gross (total) .....	20	33	33
4180 Budget authority, net (total) .....	40		
4190 Outlays, net (total) .....	20	33	33

Biomass Research and Development is authorized by the Biomass Research and Development Act of 2000. The program provides competitive grants for research, development, and demonstration to encourage innovation and development related to biomass, and improved commercialization of biobased products and energy. USDA and the Department of Energy jointly administer the program.

Current priorities focus on the following: feedstock development and production; biobased products emphasizing environmental

and economic performance and gasification of animal manure; integrated resource management and biomass use; demonstration projects that use biodiesel for all operations in the supply chain to produce corn grain ethanol; and effective and targeted incentive systems for biomass commercialization and adoption. Mandatory funding for the program expired at the end of September 2012.

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE  
RESEARCH AND EDUCATION ACTIVITIES

For payments to agricultural experiment stations, for cooperative forestry and other research, for facilities, and for other expenses, \$801,140,000, as follows: to carry out the provisions of the Hatch Act of 1887 (7 U.S.C. 361a-i), \$236,334,000; for grants for cooperative forestry research (16 U.S.C. 582a through a-7), \$32,934,000; for payments to eligible institutions (7 U.S.C. 3222), \$50,898,000, provided that each institution receives no less than \$1,000,000; for special grants (7 U.S.C. 450i(c)), \$1,405,000; for competitive grants on improved pest control (7 U.S.C. 450i(c)), \$11,913,000; for competitive grants (7 U.S.C. 450i(b)), \$383,376,000, to remain available until expended; for the 1994 research grants program for 1994 institutions pursuant to section 536 of Public Law 103-382 (7 U.S.C. 301 note), \$1,801,000, to remain available until expended; for the veterinary medicine loan repayment program under section 1415A of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3151a), \$4,790,000, to remain available until expended; for an education grants program for Hispanic-serving Institutions (7 U.S.C. 3241), \$9,219,000; for competitive grants for the purpose of carrying out all provisions of 7 U.S.C. 3156 to individual eligible institutions or consortia of eligible institutions in Alaska and in Hawaii, with funds awarded equally to each of the States of Alaska and Hawaii, \$3,194,000; for aquaculture grants (7 U.S.C. 3322), \$3,920,000; for sustainable agriculture research and education (7 U.S.C. 5811 and 7 U.S.C. 5832), \$22,667,000; for a program of capacity building grants (7 U.S.C. 3152(b)(4)) to institutions eligible to receive funds under 7 U.S.C. 3221 and 3222, \$19,336,000, to remain available until expended (7 U.S.C. 2209b); for payments to the 1994 Institutions pursuant to section 534(a)(1) of Public Law 103-382, \$3,335,000; for grants for insular areas under sections 1490 and 1491 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3362 and 3363), \$1,650,000; and for necessary expenses of Research and Education Activities, \$14,368,000, of which \$7,830,000, to remain available until expended, are to provide partial support for grants management systems.

HISPANIC SERVING AGRICULTURAL COLLEGES AND UNIVERSITIES ENDOWMENT FUND

For the Hispanic-Serving Agricultural Colleges and Universities Endowment Fund under section 1456(b) (7 U.S.C. 3243(b)) of the National Agricultural Research, Extension and Teaching Policy Act of 1977, \$10,000,000, to remain available until expended.

NATIVE AMERICAN INSTITUTIONS ENDOWMENT FUND

For the Native American Institutions Endowment Fund authorized by Public Law 103-382 (7 U.S.C. 301 note), \$11,880,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 12-1500-0-1-352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	136	148	160
Receipts:			
0240 Earnings on Investments, Native American Institutions Endowment Fund .....	5	5	5
0400 Total: Balances and collections .....	141	153	165
Appropriations:			
0500 Research and Education Activities .....	-5	-5	-5
0501 Research and Education Activities .....	12	12	22

0599 Total appropriations .....	7	7	17
0799 Balance, end of year .....	148	160	182

Program and Financing (in millions of dollars)

Identification code 12-1500-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payments under the Hatch Act .....	236	237	236
0002 Cooperative forestry research .....	33	33	33
0003 Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University .....	51	51	51
0004 Special research grants .....	42	43	42
0005 Agriculture Food and Research Initiative .....	279	463	383
0006 Animal health and disease research .....	4	4	.....
0007 Federal Administration .....	11	11	14
0008 Higher education .....	48	52	37
0009 Native American Institutions Endowment Fund .....	5	5	5
0012 Veterinary Medical Services Act .....	5	5	5
0015 Sun Grant Program .....	2	2	.....
0016 Farm Business Management and Benchmarking .....	1	1	.....
0017 Competitive Grants for Policy Research .....	4	4	.....
0799 Total direct obligations .....	721	911	806
0801 Reimbursable program activity .....	14	14	14
0900 Total new obligations .....	735	925	820

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	179	197	.....
1021 Recoveries of prior year unpaid obligations .....	28	.....	.....
1050 Unobligated balance (total) .....	207	197	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	717	721	823
1101 Appropriation (Native American Endowment Interest) .....	5	5	5
1134 Portion precluded from obligation (-) (N.A. Endowment Fund) .....	-12	-12	-22
1160 Appropriation, discretionary (total) .....	710	714	806
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	14	14
1701 Change in uncollected payments, Federal sources .....	14	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	15	14	14
1900 Budget authority (total) .....	725	728	820
1930 Total budgetary resources available .....	932	925	820
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	197	.....	.....

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,136	1,077	1,167
3010 Obligations incurred, unexpired accounts .....	735	925	820
3011 Obligations incurred, expired accounts .....	28	.....	.....
3020 Outlays (gross) .....	-742	-835	-1,007
3040 Recoveries of prior year unpaid obligations, unexpired .....	-28	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-52	.....	.....
3050 Unpaid obligations, end of year .....	1,077	1,167	980
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-43	-31	-31
3070 Change in uncollected pymts, Fed sources, unexpired .....	-14	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	26	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-31	-31	-31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,093	1,046	1,136
3200 Obligated balance, end of year .....	1,046	1,136	949

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	725	728	820
Outlays, gross:			
4010 Outlays from new discretionary authority .....	157	379	427
4011 Outlays from discretionary balances .....	585	456	580
4020 Outlays, gross (total) .....	742	835	1,007
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-13	-14	-14
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-14	.....	.....
4052 Offsetting collections credited to expired accounts .....	13	.....	.....

RESEARCH AND EDUCATION ACTIVITIES—Continued  
Program and Financing—Continued

Identification code 12–1500–0–1–352		2012 actual	2013 CR	2014 est.
4060	Additional offsets against budget authority only (total) .....	-1	.....	.....
4070	Budget authority, net (discretionary) .....	711	714	806
4080	Outlays, net (discretionary) .....	729	821	993
4180	Budget authority, net (total) .....	711	714	806
4190	Outlays, net (total) .....	729	821	993
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	134	142	154
5001	Total investments, EOY: Federal securities: Par value .....	142	154	176

The National Institute of Food and Agriculture (NIFA) participates in a nationwide system of agricultural research and education program planning and coordination between State institutions and the U.S. Department of Agriculture. It assists in maintaining cooperation among the State institutions, and between the State institutions and their Federal research partners. The agency administers grants and payments to State institutions to supplement State and local funding for agricultural research and higher education.

*Agriculture and Food Research Initiative competitive grants.*—Section 7406 of FCEA establishes the Agriculture and Food Research Initiative (AFRI). AFRI is the core competitive grant program for fundamental and applied research, extension, and education to address food and agricultural sciences. AFRI projects will address critical issues in U.S. agriculture in the areas of agricultural and food production and security; agricultural production and climate variability; sustainable bioenergy; nutrition and health; food safety; foundational science; food, agricultural, natural resources, and human sciences education initiative; and water and water resources. Addressing these critical issues will engage scientists and educators with expertise in plant health and production and plant products; animal health and production and animal products; food safety, nutrition, and health; renewable energy, natural resources, and environment; agricultural systems and technology; and agriculture economics and rural communities. AFRI allows greater flexibility in the types of projects funded to include: single function projects in research, education, and extension, and integrated research, education and/or extension awards. The 2014 Budget proposes to increase funding for AFRI from \$266 million to \$383 million, a 44 percent increase in this program from the 2013 estimate.

*Payments under the Hatch Act.*—Funds under the Hatch Act are allocated on a formula basis to agricultural experiment stations of the land-grant colleges in the 50 States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands. The 2014 Budget is funded at the same level as the 2012 enacted level, \$236.3 million.

*Cooperative forestry research.*—These funds are allocated by formula to land-grant colleges or agricultural experiment stations in the 50 States, Puerto Rico, Guam, the Virgin Islands, and other State-supported colleges and universities having a forestry school and offering graduate training in forestry sciences. The 2014 Budget is funded at the same level as the 2012 enacted level, \$32.9 million.

*Payments to 1890 colleges and Tuskegee University and West Virginia State University.*—Funds allocated on a formula basis support agricultural research and broaden the curricula at the eighteen 1890 land-grant colleges, including Tuskegee University and West Virginia State University. The 2014 Budget is funded at the same level as the 2012 enacted level, \$50.9 million.

*Special research grants.*—This program addresses research areas of national interest. The 2014 Budget includes \$22.7 million for sustainable agriculture. Funding is proposed for IR-4 minor crop pest management at \$11.9 million to address the growing need for registration of safe pesticides for minor crops and lead to a reduction by half in the levels of chemical residues in food products. Funding for agroclimatology (global change) is proposed at \$1.4 million for research at universities as part of a coordinated Federal initiative. The 2014 Budget also includes funding for aquaculture centers at the same level as 2012 enacted, \$3.9 million.

*1994 Institutions Research.*—The 2014 Budget maintains funding at the 2012 enacted level of \$1.8 million for the competitive research grants program to build research capacity at the thirty-four 1994 institutions by supporting agricultural research activities that address tribal, national and multistate priorities.

*Federal administration.*—A coordinating and review staff assists in maintaining cooperation within and among the States, and between the States and their Federal research partners. This staff also administers research and education grants and payments to States. Federal administration is funded from a combination of program set-asides from formula and grant programs and from direct appropriation for administration. The 2014 Budget includes \$14.4 million, which is \$3.8 million over the 2013 annualized CR level. Most of the increase will support the Electronic Grants Administration System.

*Higher education.*—2014 funding is proposed for Hispanic-serving institutions education grants program at \$9.2 million. Funding is also proposed for Native American institutions at \$3.3 million, Alaska Native-serving and Native Hawaiian-serving Institutions at \$3.2 million, and Grants for Insular Areas programs at \$1.7 million. These programs enable universities to broaden their curricula; and increase faculty development, student research projects, and the number of new scholars recruited in the food and agricultural sciences. In addition, an increased number of graduate students, including minority graduate students, will be enrolled in the agricultural sciences. The 2014 Budget proposes \$19.3 million for a capacity building program at the 1890 institutions as part of the USDA initiative to strengthen these institutions through a broadening of curricula, and increased faculty development and student research projects. Funding is also proposed in the 2014 Budget, at \$4.8 million, for the Veterinary Medical Services Act to provide incentives to hire Federal veterinarians to work in shortage areas.

*Reimbursable program.*—Funds support basic and applied agriculture research and activities performed for other USDA, Federal, and non-Federal agencies.

*Native American Institutions Endowment Fund.*—The 2014 Budget includes the same level as 2012 enacted, \$11.9 million, for an endowment for the 1994 land-grant institutions (34 Tribally controlled colleges) to strengthen the infrastructure of these institutions and develop Indian expertise for the food and agricultural sciences and businesses and their own communities. At the termination of each fiscal year, the Secretary withdraws the income from the endowment fund for the fiscal year, and after making adjustments for the cost of administering the fund, distributes the adjusted income on a formula basis to the 1994 land-grant institutions.

*Hispanic-Serving Agricultural Colleges and Universities Endowment Fund.*—This endowment fund for Hispanic-Serving Agricultural Colleges and Universities will launch the production of skilled and marketable Hispanic student population for employment in the food and agriculture sector. Over the next ten years, the Endowment will lead to significant and measur-

able enhanced competence and marketability of Hispanic students in the food and agricultural sciences. The 2014 Budget includes \$10 million for this proposed fund.

**Object Classification** (in millions of dollars)

Identification code 12-1500-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	37	20	20
12.1 Civilian personnel benefits .....	7	5	5
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	6	4	4
25.5 Research and development contracts .....	17	9	9
41.0 Grants, subsidies, and contributions .....	652	871	766
99.0 Direct obligations .....	721	911	806
99.0 Reimbursable obligations .....	14	14	14
99.9 Total new obligations .....	735	925	820

**Employment Summary**

Identification code 12-1500-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	242	245	254

**BUILDINGS AND FACILITIES**

**Program and Financing** (in millions of dollars)

Identification code 12-1501-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2		
0900 Total new obligations (object class 41.0) .....	2		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2		
1930 Total budgetary resources available .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	3	2
3010 Obligations incurred, unexpired accounts .....	2		
3020 Outlays (gross) .....		-1	-1
3050 Unpaid obligations, end of year .....	3	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	3	2
3200 Obligated balance, end of year .....	3	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	1
4190 Outlays, net (total) .....		1	1

Funds provide grants to States and other eligible recipients for the acquisition of land, construction, repair, improvement, extension, alteration and purchase of fixed equipment or facilities to carry out agricultural research, extension, and teaching programs. No funding has been appropriated to this account since 1997.

**EXTENSION ACTIVITIES**

For payments to States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, Micronesia, the Northern Marianas, and American Samoa, \$459,037,000, as follows: payments for cooperative extension work under the Smith-Lever Act, to be distributed under sections 3(b) and 3(c) of said Act, and under section 208(c) of Public Law 93-471, for retirement and employees' compensation costs for extension agents, \$294,000,000; payments for extension work at the 1994 Institutions under the Smith-Lever Act (7 U.S.C. 343(b)(3)), \$4,312,000; payments for the nutrition and

family education program for low-income areas under section 3(d) of the Act, \$67,934,000; payments for the farm safety program and youth farm safety education and certification extension grants under section 3(d) of the Act, \$4,610,000; payments for New Technologies for Agriculture Extension under section 3(d) of the Act, \$1,750,000; payments to upgrade research, extension, and teaching facilities at institutions eligible to receive funds under 7 U.S.C. 3221 and 3222, \$19,730,000, to remain available until expended; payments for youth-at-risk programs under section 3(d) of the Smith-Lever Act, \$8,395,000; payments for carrying out the provisions of the Renewable Resources Extension Act of 1978 (16 U.S.C. 1671 et seq.), \$4,060,000; payments for the federally recognized Tribes Extension Program under section 3(d) of the Smith-Lever Act, \$3,039,000; payments for cooperative extension work by eligible institutions (7 U.S.C. 3221), \$42,592,000, provided that each institution receives no less than \$1,000,000; and for necessary expenses of Extension Activities, \$8,615,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-0502-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Smith-Lever Act, 3(b) and 3(c) .....	294	295	294
0002 Youth at risk .....	8	8	8
0004 Expanded food and nutrition education program (EFNEP) .....	68	68	68
0005 Pest management .....	10	10	
0006 Farm Safety .....	5	5	5
0009 Federally Recognized Tribes Extension Program .....	3	3	3
0013 Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University .....	43	43	43
0015 Renewable resources extension act .....	4	4	4
0016 Federal administration .....	8	8	8
0019 1890 facilities (section 1447) .....	21	23	20
0021 Sustainable agriculture .....	5	5	
0022 1994 institutions activities .....	4	4	4
0024 Rural health and safety education .....	2	2	
0025 Grants to youth serving organizations .....	1	1	
0026 Risk management education .....	5	5	5
0027 New technologies for ag. extension .....	2	2	2
0029 Beginning Farmers and Ranchers Development Program .....	19		
0030 Food Animal Residue Avoidance Database .....	1	1	
0799 Total direct obligations .....	503	487	464
0801 Reimbursable program activity .....	22	22	22
0900 Total new obligations .....	525	509	486
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	4	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	9	4	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	475	478	459
1160 Appropriation, discretionary (total) .....	475	478	459
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [12-4085] ...	5	5	5
1221 Appropriations transferred from other accts [12-4336] ...	19		
1260 Appropriations, mandatory (total) .....	24	5	5
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	22	22
1701 Change in uncollected payments, Federal sources .....	19		
1750 Spending auth from offsetting collections, disc (total) .....	21	22	22
1900 Budget authority (total) .....	520	505	486
1930 Total budgetary resources available .....	529	509	486
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	695	642	624
3010 Obligations incurred, unexpired accounts .....	525	509	486
3011 Obligations incurred, expired accounts .....	30		
3020 Outlays (gross) .....	-541	-527	-755
3041 Recoveries of prior year unpaid obligations, expired .....	-67		
3050 Unpaid obligations, end of year .....	642	624	355

EXTENSION ACTIVITIES—Continued  
Program and Financing—Continued

Identification code 12-0502-0-1-352	2012 actual	2013 CR	2014 est.
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-127	-100	-100
3070 Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	46	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-100	-100	-100
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	568	542	524
3200 Obligated balance, end of year .....	542	524	255
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	496	500	481
Outlays, gross:			
4010 Outlays from new discretionary authority .....	163	220	297
4011 Outlays from discretionary balances .....	351	285	434
4020 Outlays, gross (total) .....	514	505	731
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-13	-22	-22
4033 Non-Federal sources .....	-1	.....	.....
4040 Offsets against gross budget authority and outlays (total) ...	-14	-22	-22
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
4052 Offsetting collections credited to expired accounts .....	12	.....	.....
4060 Additional offsets against budget authority only (total) .....	-7	.....	.....
4070 Budget authority, net (discretionary) .....	475	478	459
4080 Outlays, net (discretionary) .....	500	483	709
Mandatory:			
4090 Budget authority, gross .....	24	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	3	3
4101 Outlays from mandatory balances .....	26	19	21
4110 Outlays, gross (total) .....	27	22	24
4180 Budget authority, net (total) .....	499	483	464
4190 Outlays, net (total) .....	527	505	733

The Cooperative Extension System, a national educational network, is a dynamic organization pledged to meeting the country's needs for research-based educational programs that will enable people to make practical decisions to improve their lives. To accomplish its mission, the Cooperative Extension System adjusts programs to meet the shifting needs and priorities of the people it serves.

The non-formal educational network combines the expertise and resources of Federal, State, and local partners. The partners in this unique System are: a) The National Institute of Food and Agriculture at the U.S. Department of Agriculture; b) Extension professionals at land-grant universities throughout the United States and its territories; and c) Extension professionals in nearly all of the Nation's 3,150 counties. Thousands of paraprofessionals and nearly 3 million volunteers support this partnership and magnify its impact. Strong linkages with both public and private external groups are also crucial to the Cooperative Extension System's strength and vitality.

Programs supported with Smith-Lever 3(b) and (c) legislated formula funds are the major educational efforts central to the mission of the System and common to most Extension units. These programs are the foundation of the Extension organization and partnership that are intended to increase the number of community-based projects, families, and individuals reached to disseminate research findings as widely and quickly as possible. The use of electronic mail, satellite transmission of courses, and computer-assisted instruction are encouraged to communicate ideas. The 2014 Budget proposes Smith-Lever 3(b) and (c) programs to be funded at \$294.0 million, which is the same as the 2012 enacted level.

Extension resources are provided to the States by these formula funds and competitively-awarded programs such as sustainable agriculture. Smith-Lever 3(b) and (c) provides payments to the 1890 colleges and Tuskegee University and West Virginia State University, funded at \$42.6 million in the 2014 Budget request, the same as the 2012 enacted level, and provides funds to support the Extension's infrastructure.

Designated programs funded by Smith-Lever 3(d) include the Expanded Food and Nutrition Education Program (EFNEP); New Technologies for Agricultural Extension; Children, Youth and Families at Risk; AgrAbility/Farm Safety (Farm Safety Program and Youth Farm Safety Education and Certification); and Federally-Recognized Tribes Extension Program. The 2014 Budget includes \$85.7 million for these programs. Other Extension programs supported in the 2014 Budget include Extension Services at 1994 Institutions at \$4.3 million, Renewable Resources Extension Act at \$4.1 million, and 1890 Facilities Grants at \$19.7 million.

*Federal administration.*—A coordinating and review staff assists in maintaining cooperation within and among the States, and between the States and their Federal partners. This staff also administers extension grants and payments to States. Federal administration is funded from direct appropriation for administration. The 2014 Budget proposes \$8.6 million in funding, which includes \$0.5 million for support of risk management education.

*Beginning Farmer and Rancher Development Program.*—This mandatory program, authorized by section 7410 of the Food, Conservation, and Energy Act of 2008 (FCEA), 2008 Farm Bill, provides funding to support the development of education, outreach, curricula, workshops, educational teams, training, and technical assistance programs to assist beginning farmers and ranchers in the United States and its territories in entering, building, and managing successful farm and ranch enterprises. This program also provides support for an online electronic and library clearinghouse to provide associated support to individually funded projects, and the overall program. Mandatory funding for the program expired at the end of September 2012.

## Object Classification (in millions of dollars)

Identification code 12-0502-0-1-352	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	11	11	11
11.5 Other personnel compensation .....	1	.....	.....
11.9 Total personnel compensation .....	12	11	11
12.1 Civilian personnel benefits .....	4	3	3
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.4 Operation and maintenance of facilities .....	.....	2	2
25.5 Research and development contracts .....	1	1	1
41.0 Grants, subsidies, and contributions .....	484	467	444
99.0 Direct obligations .....	504	487	464
99.0 Reimbursable obligations .....	21	22	22
99.9 Total new obligations .....	525	509	486

## Employment Summary

Identification code 12-0502-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	155	157	154

**ANIMAL AND PLANT HEALTH INSPECTION SERVICE**

**Federal Funds**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Animal and Plant Health Inspection Service, including up to \$30,000 for representation allowances and for expenses pursuant to the Foreign Service Act of 1980 (22 U.S.C. 4085), \$797,601,000, of which \$1,507,000, to remain available until expended, shall be available for the control of outbreaks of insects, plant diseases, animal diseases and for control of pest animals and birds ("contingency fund") to the extent necessary to meet emergency conditions; of which \$8,944,000, to remain available until expended, shall be used for the cotton pests program for cost share purposes or for debt retirement for active eradication zones; of which \$37,891,000, to remain available until expended, shall be for Animal Health Technical Services; of which \$893,000 shall be for activities under the authority of the Horse Protection Act of 1970, as amended (15 U.S.C. 1831); of which \$49,840,000, to remain available until expended, shall be used to support avian health; of which \$4,335,000, to remain available until expended, shall be for information technology infrastructure; of which \$147,419,000, to remain available until expended, shall be for specialty crop pests; of which, \$8,877,000, to remain available until expended, shall be for field crop and rangeland ecosystem pests; of which \$48,290,000, to remain available until expended, shall be for tree and wood pests; of which \$3,723,000, to remain available until expended, shall be for the National Veterinary Stockpile; of which up to \$1,500,000, to remain available until expended, shall be for the scrapie program for indemnities; of which \$1,500,000, to remain available until expended, shall be for the wildlife damage management program for aviation safety: Provided, That, of amounts available under this heading for wildlife services methods development, \$1,000,000 shall remain available until expended: Provided further, That, of amounts available under this heading for the screwworm program, \$4,990,000 shall remain available until expended: Provided further, That no funds shall be used to formulate or administer a brucellosis eradication program for the current fiscal year that does not require minimum matching by the States of at least 40 percent: Provided further, That this appropriation shall be available for the operation and maintenance of aircraft and the purchase of not to exceed four, of which two shall be for replacement only: Provided further, That, in addition, in emergencies which threaten any segment of the agricultural production industry of this country, the Secretary may transfer from other appropriations or funds available to the agencies or corporations of the Department such sums as may be deemed necessary, to be available only in such emergencies for the arrest and eradication of contagious or infectious disease or pests of animals, poultry, or plants, and for expenses in accordance with sections 10411 and 10417 of the Animal Health Protection Act (7 U.S.C. 8310 and 8316) and sections 431 and 442 of the Plant Protection Act (7 U.S.C. 7751 and 7772), and any unexpended balances of funds transferred for such emergency purposes in the preceding fiscal year shall be merged with such transferred amounts: Provided further, That appropriations hereunder shall be available pursuant to law (7 U.S.C. 2250) for the repair and alteration of leased buildings and improvements, but unless otherwise provided the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

In fiscal year 2014, the agency is authorized to collect fees to cover the total costs of providing technical assistance, goods, or services requested by States, other political subdivisions, domestic and international organizations, foreign governments, or individuals, provided that such fees are structured such that any entity's liability for such fees is reasonably based on the technical assistance, goods, or services provided to the entity by the agency, and such fees shall be reimbursed to this account, to remain available until expended, without further appropriation, for providing such assistance, goods, or services.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 12–1600–0–1–352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 1990 Food, Agricultural Quarantine Inspection Fees .....	548	558	566
0220 Fees, Animal and Plant Health Inspection User Fee Account .....			20
0299 Total receipts and collections .....	548	558	586
0400 Total: Balances and collections .....	548	558	586
Appropriations:			
0500 Salaries and Expenses .....	–548	–558	–566
0799 Balance, end of year .....			20

**Program and Financing** (in millions of dollars)

Identification code 12–1600–0–1–352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Animal Health .....	290	296	283
0002 Plant Health .....	349	324	293
0003 Wildlife Services .....	87	91	104
0004 Regulatory Management .....	35	35	35
0005 Emergency Management .....	18	19	19
0006 Safe Trade and International Technical Assistance .....	33	34	34
0007 Animal Welfare .....	28	28	29
0008 Agency Management .....	10	10	10
0010 Emergency Program Funding .....	25	11	
0011 Agricultural Quarantine Inspection User Fees .....	188	193	193
0012 H1N1 Transfer From HHS .....	5	4	4
0013 2008 Farm Bill, Sections 10201 and 10202 .....	52	50	50
0100 Total direct program .....	1,120	1,095	1,054
0799 Total direct obligations .....	1,120	1,095	1,054
0801 Reimbursable program .....	157	160	160
0900 Total new obligations .....	1,277	1,255	1,214
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	280	308	287
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	185	201	
1021 Recoveries of prior year unpaid obligations .....	22		
1050 Unobligated balance (total) .....	302	308	287
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	817	822	798
1120 Appropriations transferred to other accts [12–4609] .....	–3		
1121 Appropriations transferred from other accts [12–4336] .....	21		
1160 Appropriation, discretionary (total) .....	835	822	798
Appropriations, mandatory:			
1201 Appropriation (AQI User Fees) .....	548	558	566
1220 Appropriations transferred to other accts [70–0530] .....	–349	–350	–355
1221 Appropriations transferred from other accts [12–4336] .....	55	50	50
1260 Appropriations, mandatory (total) .....	254	258	261
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	131	154	154
1701 Change in uncollected payments, Federal sources .....	74		
1750 Spending auth from offsetting collections, disc (total) .....	205	154	154
1900 Budget authority (total) .....	1,294	1,234	1,213
1930 Total budgetary resources available .....	1,596	1,542	1,500
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–11		
1941 Unexpired unobligated balance, end of year .....	308	287	286
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	413	418	230
3010 Obligations incurred, unexpired accounts .....	1,277	1,255	1,214
3011 Obligations incurred, expired accounts .....	18		
3020 Outlays (gross) .....	–1,252	–1,443	–1,240
3040 Recoveries of prior year unpaid obligations, unexpired .....	–22		
3041 Recoveries of prior year unpaid obligations, expired .....	–16		
3050 Unpaid obligations, end of year .....	418	230	204
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–75	–140	–140
3070 Change in uncollected pymts, Fed sources, unexpired .....	–74		
3071 Change in uncollected pymts, Fed sources, expired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	–140	–140	–140

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 12–1600–0–1–352	2012 actual	2013 CR	2014 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	338	278	90
3200 Obligated balance, end of year .....	278	90	64
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,040	976	952
Outlays, gross:			
4010 Outlays from new discretionary authority .....	722	853	833
4011 Outlays from discretionary balances .....	300	311	146
4020 Outlays, gross (total) .....	1,022	1,164	979
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-26	-32	-32
4033 Non-Federal sources .....	-111	-122	-122
4040 Offsets against gross budget authority and outlays (total) ...	-137	-154	-154
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-74		
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	-68		
4070 Budget authority, net (discretionary) .....	835	822	798
4080 Outlays, net (discretionary) .....	885	1,010	825
Mandatory:			
4090 Budget authority, gross .....	254	258	261
Outlays, gross:			
4100 Outlays from new mandatory authority .....	168	216	218
4101 Outlays from mandatory balances .....	62	63	43
4110 Outlays, gross (total) .....	230	279	261
4180 Budget authority, net (total) .....	1,089	1,080	1,059
4190 Outlays, net (total) .....	1,115	1,289	1,086

The Secretary of Agriculture established the Animal and Plant Health Inspection Service (APHIS) on April 2, 1972, under the authority of Reorganization Plan No. 2 of 1953 and other authorities. The mission of the Agency is to protect the health and value of American agriculture and natural resources and is carried out using three major areas of activity, as follows:

**Safeguarding and Emergency Preparedness / Response.**—APHIS monitors plant and animal health throughout the world and uses the information to set effective agricultural import policies to prevent the introduction of foreign plant and animal pests and diseases. Should a pest or disease enter the United States, APHIS works cooperatively with other Federal, State, and industry partners to conduct plant and animal health monitoring programs to rapidly diagnose them and determine if there is a need to establish new pest or disease management programs. APHIS, in conjunction with States, industry, and other stakeholders, protects American agriculture by eradicating harmful pests and diseases or, where eradication is not feasible, by minimizing their economic impact. APHIS makes judicious use of resources by identifying instances when neither eradication nor management may be possible. The Agency monitors endemic pests and diseases through surveys to detect their locations and works with States and other programs to enact regulatory controls and conduct outreach to prevent the spread of pests and diseases into non-infested parts of the country. The Agency maintains a cadre of trained professionals prepared to respond immediately to potential animal and plant health emergencies. Program personnel investigate reports of suspected exotic pests and diseases and take emergency action if necessary. Through its Wildlife Services program, APHIS protects agriculture from damage caused by animal predators through identification, demonstration, and application of the most appropriate methods of control. The Agency's regulations also allow the benefits of genetic research to safely enter the marketplace, while protecting against the re-

lease of potentially harmful organisms into the environment. APHIS also conducts diagnostic laboratory activities that support the Agency's veterinary disease and plant pest prevention, detection, control, and eradication programs. The Agency also provides and directs technology development to support plant and animal protection programs of the Agency and its cooperators at the State, national, and international levels.

**Safe Trade and International Technical Assistance.**—Sanitary (animal) and phytosanitary (plant) (SPS) regulations can have a significant impact on market access for the United States as an exporter of agricultural products. APHIS plays a central role in resolving technical trade issues to ensure the smooth and safe movement of agricultural commodities into and out of the United States. APHIS helps to protect the United States from emerging plant and animal pests and diseases while meeting obligations under the World Trade Organization's SPS agreement by assisting developing countries in improving their safeguarding systems. APHIS develops and implements programs designed to identify and reduce agricultural pest and disease threats while still outside of U.S. borders, to enhance safe agricultural trade, and to strengthen emergency response preparedness.

**Animal Welfare.**—The Agency conducts regulatory activities to ensure the humane care and treatment of animals, including horses, as required by the Animal Welfare Act of 1966 as amended (7 U.S.C. 2131–2159), and the Horse Protection Act of 1970 as amended (15 U.S.C. 1821–1831). These activities include inspection of certain establishments that handle animals intended for research, exhibition, and sale as pets, and monitoring of certain horse shows.

APHIS' 2014 budget request of \$798 million is an overall reduction of \$24 million from the 2013 estimate. The budget request includes funding to continue implementation of the refocused Animal Disease Traceability program that will enable us to detect animal disease faster, minimize disease spread, and assist in keeping global trade markets open to U.S. animals and animal products. The Agency is also requesting funding to enforce the Animal Welfare retail pet store rule, which closes a loophole of pets being sold over the Internet, phone, and by mail, that are currently exempt from the regulatory process. The requested funding levels will help support these important regulatory efforts.

APHIS also is requesting \$20 million to implement a national control program for feral swine. Feral swine are a harmful and destructive invasive species whose increase in population and expanding range is having significant impact on animal and human health; crops and livestock; rural, suburban and even urban areas; and, natural resources and native resources, causing an estimated \$1.5 billion in damages annually. The overall objective of the program will be to minimize the damage inflicted by feral swine. The Agency proposed an increase to support the efforts, while proposing reductions in other areas. These reductions include programs where we have achieved success, such as nearing eradication for the cotton pests pink bollworm and boll weevil, and the Agency's enhanced ability to prepare for, detect, and respond to avian health issues. Other reductions are for programs which we have determined as lower priority, where the Federal role could be smaller, and where cooperators who benefit directly from activities should increase their contributions. The budget request also includes decreases for programs where activities are concluding or progress is slower than anticipated.

**Object Classification** (in millions of dollars)

Identification code 12-1600-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	428	432	435
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	436	440	443
12.1 Civilian personnel benefits .....	141	142	146
13.0 Benefits for former personnel .....	7	4	5
21.0 Travel and transportation of persons .....	23	25	26
22.0 Transportation of things .....	2	2	2
23.1 Rent, Communications, and Utilities .....	35	35	35
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	395	368	319
26.0 Supplies and materials .....	52	50	50
31.0 Equipment .....	21	22	21
32.0 Land and structures .....		1	1
41.0 Other grants, subsidies, and contributions .....	4	4	4
42.0 Other insurance claims and indemnities .....	3	1	1
99.0 Direct obligations .....	1,120	1,095	1,054
99.0 Reimbursable obligations .....	157	160	160
99.9 Total new obligations .....	1,277	1,255	1,214

**Employment Summary**

Identification code 12-1600-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6,023	6,020	6,010
2001 Reimbursable civilian full-time equivalent employment .....	1,280	1,550	1,550

**BUILDINGS AND FACILITIES**

For plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, and purchase of fixed equipment or facilities, as authorized by 7 U.S.C. 2250, and acquisition of land as authorized by 7 U.S.C. 428a, \$3,175,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-1601-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Buildings and facilities .....	4	3	3
0900 Total new obligations (object class 25.2) .....	4	3	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1160 Appropriation, discretionary (total) .....	3	3	3
1930 Total budgetary resources available .....	4	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	5	2
3010 Obligations incurred, unexpired accounts .....	4	3	3
3020 Outlays (gross) .....	-7	-6	-5
3050 Unpaid obligations, end of year .....	5	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	5	2
3200 Obligated balance, end of year .....	5	2	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3

<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		1	1
4011 Outlays from discretionary balances .....	7	5	4
4020 Outlays, gross (total) .....	7	6	5
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	7	6	5

The buildings and facilities account provides for plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, purchase of fixed equipment or facilities, and acquisition of land, as needed, for Animal and Plant Health Inspection Service (APHIS) operated facilities, which include animal quarantine stations, border inspection stations, sterile insect rearing facilities, and laboratories.

For these activities, the 2014 Budget proposes \$3.175 million which includes funding to address safety issues with several facilities.

**Trust Funds**

**MISCELLANEOUS TRUST FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 12-9971-0-7-352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0220 Deposits of Miscellaneous Contributed Funds, APHIS .....	10	9	9
0400 Total: Balances and collections .....	10	9	9
<b>Appropriations:</b>			
0500 Miscellaneous Trust Funds .....	-10	-9	-9
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 12-9971-0-7-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous trust funds .....	12	12	9
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	11	8
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10	9	9
1260 Appropriations, mandatory (total) .....	10	9	9
1930 Total budgetary resources available .....	23	20	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	3
3010 Obligations incurred, unexpired accounts .....	12	12	9
3020 Outlays (gross) .....	-11	-11	-9
3050 Unpaid obligations, end of year .....	2	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	3
3200 Obligated balance, end of year .....	2	3	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8	8	8
4101 Outlays from mandatory balances .....	3	3	1
4110 Outlays, gross (total) .....	11	11	9
4180 Budget authority, net (total) .....	10	9	9
4190 Outlays, net (total) .....	11	11	9

MISCELLANEOUS TRUST FUNDS—Continued

The following services are financed by fees and miscellaneous contributions advanced by importers, manufacturers, States, organizations, individuals, and others:

*Miscellaneous contributed funds.*—Funds are received from States, local organizations, individuals, and others and are available for plant and animal quarantine inspection and cooperative plant and animal disease and pest control activities (7 U.S.C. 450b, 2220).

Object Classification (in millions of dollars)

Identification code 12-9971-0-7-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	6	5
12.1 Civilian personnel benefits .....	2	1	1
21.0 Travel and transportation of persons .....	2	2	1
25.2 Other services from non-Federal sources .....	1	2	1
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	12	12	9

Employment Summary

Identification code 12-9971-0-7-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	150	150	150

FOOD SAFETY AND INSPECTION SERVICE

Federal Funds

FOOD SAFETY AND INSPECTION SERVICE

*For necessary expenses to carry out services authorized by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act, including not to exceed \$50,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), \$1,008,473,000; and in addition, \$1,000,000 may be credited to this account from fees collected for the cost of laboratory accreditation as authorized by section 1327 of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 138f): Provided, That funds provided for the Public Health Data Communication Infrastructure system shall remain available until expended: Provided further, That no fewer than 148 full-time equivalent positions shall be employed during fiscal year 2014 for purposes dedicated solely to inspections and enforcement related to the Humane Methods of Slaughter Act: Provided further, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 12-3700-0-1-554	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0220 Fees, Food Safety Inspection User Fee Account .....			4
0400 Total: Balances and collections .....			4
0799 Balance, end of year .....			4

Program and Financing (in millions of dollars)

Identification code 12-3700-0-1-554	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	1,004	1,011	1,008

0801 Reimbursable program .....	156	153	153
0900 Total new obligations .....	1,160	1,164	1,161

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	22	22
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	8	22	22
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,004	1,011	1,008
1120 Appropriations transferred to other accts [12-3700] .....	-104		
1121 Appropriations transferred from other accts [12-3700] .....	104		
1160 Appropriation, discretionary (total) .....	1,004	1,011	1,008
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	167	153	153
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	171	153	153
1900 Budget authority (total) .....	1,175	1,164	1,161
1930 Total budgetary resources available .....	1,183	1,186	1,183
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	22	22	22

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	185	183	184
3010 Obligations incurred, unexpired accounts .....	1,160	1,164	1,161
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-1,154	-1,163	-1,162
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	183	184	183
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-28	-31	-31
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-31	-31	-31
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	157	152	153
3200 Obligated balance, end of year .....	152	153	152

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,175	1,164	1,161
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,006	993	991
4011 Outlays from discretionary balances .....	148	170	171
4020 Outlays, gross (total) .....	1,154	1,163	1,162
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-2	-1	-1
4033 Non-Federal sources .....	-166	-152	-152
4040 Offsets against gross budget authority and outlays (total) .....	-168	-153	-153
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-3		
4070 Budget authority, net (discretionary) .....	1,004	1,011	1,008
4080 Outlays, net (discretionary) .....	986	1,010	1,009
4180 Budget authority, net (total) .....	1,004	1,011	1,008
4190 Outlays, net (total) .....	986	1,010	1,009

The primary objective of the Food Safety and Inspection Service (FSIS) is to ensure that meat, poultry, and egg products are wholesome, unadulterated, and properly labeled and packaged, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. In carrying out this mission, FSIS oversight responsibility covers a significant percentage of American spending on food. Providing adequate resources for Federal food safety agencies is a priority of the Administration. The 2014 Budget proposes \$1,008.5 million for inspection of meat, poultry and egg products, which is a \$2.1 million decrease from the annualized 2013 Continuing Resolution level. The proposed budget contains an increase for sufficient

funding to implement the Cooperative Interstate Shipment program. There is a decrease for implementation of new methods in poultry slaughter inspection. In addition, the budget proposes a performance-based user fee, which will be charged to plants that have sample failures or require additional inspection activities due to regulatory non-compliance.

**FEDERALLY FUNDED INSPECTION ACTIVITIES**

	2012 actual	2013 est.	2014 est.
<b>Federally inspected establishments:</b>			
Slaughter Plants .....	13	13	13
Processing Plants .....	3,976	4,016	4,016
Combination slaughter and processing plants .....	1,065	1,076	1,076
Talmadge-Aiken plants .....	343	346	346
Import Establishments .....	117	118	118
Egg plants .....	83	84	84
Other plants .....	666	673	673
<b>Federally inspected and passed production (millions of pounds):</b>			
Meat Slaughter .....	48,557	49,043	49,533
Poultry Slaughter .....	56,383	56,947	57,516
Egg products .....	4,052	4,093	4,134
<b>Import/export activity (millions of pounds):</b>			
Meat and poultry imported .....	3,074	3,200	3,400
Meat and poultry exported .....	16,855	16,500	16,750
<b>Intrastate inspection<sup>1</sup></b>			
Intrastate inspection .....	27	27	27
Talmadge-Aiken inspection .....	9	9	9
Number of slaughter and/or processing plants (excludes exempt plants) .....	1,700	1,720	1,720
<b>Compliance activities:</b>			
Investigations and surveillance activities .....	11,505	12,500	12,750
Enforcement actions completed .....	1,254	1,265	1,275
<b>Product Testing (samples analyzed):</b>			
Food Chemistry .....	1,304	1,304	1,304
Food Microbiology .....	110,164	110,164	110,164
Chemical Residues .....	23,739	23,739	23,739
Antibiotic Residues .....	202,200	202,200	202,200
Pathology Samples .....	5,282	5,282	5,282
<b>Egg Products:</b>			
Food microbiology .....	1,563	1,563	1,563
<b>Consumer Education and public outreach:</b>			
Meat and poultry hotline calls received .....	74,437	67,839	71,231
Website visits .....	14,594,233	16,500,000	18,500,000
Electronic messages received .....	8,331	4,427	4,468
Publications distributed .....	819,609	842,877	844,884
E-mail alert service subscribers .....	112,287	120,000	140,000
<b>Epidemiological Investigations:</b>			
Cooperative efforts with State and public health offices .....	31	31	31
Illnesses reported and treated <sup>2</sup> .....	1,520	1,520	1,520

<sup>1</sup>States with cooperative agreements which are operating programs.

<sup>2</sup>Data must be collected over a number of years to chart national trends and estimate the incidence of foodborne illness and treatment.

**Object Classification (in millions of dollars)**

Identification code 12-3700-0-1-554	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	552	542	528
11.3 Other than full-time permanent .....	11	12	12
11.5 Other personnel compensation .....	25	39	39
11.9 Total personnel compensation .....	588	593	579
12.1 Civilian personnel benefits .....	211	213	208
13.0 Benefits for former personnel .....	1	1	9
21.0 Travel and transportation of persons .....	38	40	46
22.0 Transportation of things .....	4	3	4
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	12	13	13
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	3	3	3
25.2 Other services from non-Federal sources .....	50	46	46
25.3 Other goods and services from Federal sources .....	21	21	21
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	2	2
26.0 Supplies and materials .....	12	12	12
31.0 Equipment .....	9	10	10
32.0 Land and structures .....	1	.....	.....
41.0 Grants, subsidies, and contributions .....	48	51	52
42.0 Insurance claims and indemnities .....	2	.....	.....
99.0 Direct obligations .....	1,004	1,011	1,008
99.0 Reimbursable obligations .....	155	153	153

99.5 Below reporting threshold .....	1	.....	.....
99.9 Total new obligations .....	1,160	1,164	1,161

**Employment Summary**

Identification code 12-3700-0-1-554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	9,351	9,360	9,122
2001 Reimbursable civilian full-time equivalent employment .....	29	27	27

**Trust Funds**

**EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 12-8137-0-7-352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
0220 Deposits of Fees, Inspection and Grading of Farm Products, Food Safety and Quality Service .....	11	10	10
0400 Total: Balances and collections .....	11	10	10
<b>Appropriations:</b>			
0500 Expenses and Refunds, Inspection and Grading of Farm Products .....	-11	-10	-10
0799 Balance, end of year .....	.....	.....	.....

**Program and Financing (in millions of dollars)**

Identification code 12-8137-0-7-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Expenses and refunds, inspection and grading of farm products .....	10	10	10
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	3
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	11	10	10
1260 Appropriations, mandatory (total) .....	11	10	10
1900 Budget authority (total) .....	11	10	10
1930 Total budgetary resources available .....	13	13	13
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	3	3

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	10	10	10
3020 Outlays (gross) .....	-10	-10	-10
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	11	10	10
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	6	10	10
4101 Outlays from mandatory balances .....	4	.....	.....
4110 Outlays, gross (total) .....	10	10	10
4180 Budget authority, net (total) .....	11	10	10
4190 Outlays, net (total) .....	10	10	10

Under authority of the Agricultural Marketing Act of 1946, Federal meat and poultry inspection services are provided upon request and for a fee in cases where inspection is not mandated by statute. This service includes: certifying products for export beyond the requirements of export certificates; inspecting certain animals and poultry intended for human food where inspection

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS—Continued

is not required by statute, such as buffalo, rabbit, and quail; and inspecting products intended for animal consumption.

Object Classification (in millions of dollars)

Identification code 12-8137-0-7-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	8	8	8
12.1 Civilian personnel benefits .....	2	2	2
99.9 Total new obligations .....	10	10	10

Employment Summary

Identification code 12-8137-0-7-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	80	81	81

GRAIN INSPECTION, PACKERS AND STOCKYARDS ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Grain Inspection, Packers and Stockyards Administration, \$40,531,000: Provided, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 12-2400-0-1-352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0220 Fees, Grain Inspection, Packers and Stockyards User Fee Account .....			27
0400 Total: Balances and collections .....			27
0799 Balance, end of year .....			27

Program and Financing (in millions of dollars)

Identification code 12-2400-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Packers and stockyards program .....	21	21	23
0002 Grain regulatory program .....	16	17	18
0900 Total new obligations .....	37	38	41
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....			3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	38	38	41
1160 Appropriation, discretionary (total) .....	38	38	41
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		3	3
1750 Spending auth from offsetting collections, disc (total) .....		3	3
1900 Budget authority (total) .....	38	41	44
1930 Total budgetary resources available .....	38	41	47

<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....		3	6

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	2
3010 Obligations incurred, unexpired accounts .....	37	38	41
3020 Outlays (gross) .....	-37	-41	-44
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	5	2	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	5	2
3200 Obligated balance, end of year .....	5	2	-1

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	38	41	44
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	32	34	37
4011 Outlays from discretionary balances .....	5	7	7
4020 Outlays, gross (total) .....	37	41	44
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....		-3	-3
4180 Budget authority, net (total) .....	38	38	41
4190 Outlays, net (total) .....	37	38	41

The Grain Inspection, Packers and Stockyards Administration's (GIPSA) Grain Regulatory Program promotes and enforces the accurate and uniform application of the United States Grain Standards Act (USGSA) and applicable provisions of the Agricultural Marketing Act of 1946. GIPSA identifies, evaluates, and implements new or improved techniques for measuring grain quality. GIPSA also establishes and updates testing and grading standards to facilitate the marketing of U.S. grain, oilseeds, and related products. GIPSA briefs foreign buyers, assesses foreign inspection and weighing techniques, and responds to foreign quality and quantity complaints.

GIPSA's Packers and Stockyards Program (P&SP) promotes fair business practices, financial integrity, and competitive environments to market livestock, meat, and poultry. Through its oversight activities, including monitoring programs, reviews, and investigations, P&SP fosters fair competition, provides payment protection, and guards against deceptive and fraudulent trade practices that affect the movement and price of meat animals and their products. P&SP's work protects consumers and members of the livestock, meat, and poultry industries. P&SP enforces the Packers and Stockyards (P&S) Act, which prohibits unfair, deceptive, and unjust discriminatory practices by market agencies, dealers, stockyards, packers, swine contractors, and live poultry dealers in the livestock, meat packing, and poultry industries. The P&S Act provides an important safety net for livestock producers and poultry growers in rural America. P&SP conducts routine and ongoing regulatory inspections and audits to assess whether subject entities are operating in compliance with the Act, and conducts investigations of potential P&S Act violations identified by either industry complaints or previous GIPSA regulatory inspections. The 2014 Budget requests \$40.5 million, an increase of \$2.55 million above the annualized 2013 Continuing Resolution level to purchase necessary equipment, including scientific equipment, supplies, and other support expenses.

MAIN WORKLOAD FACTORS

	2012 actual	2013 est.	2014 est.
<b>Grain Regulatory Program:</b>			
U.S. standards and factors (attribute tests) in effect at end of year .....	129	129	129
Standards reviews and factors in progress .....	4	7	6
Standards reviews and factors completed .....	2	4	3
On-site investigations .....	6	6	6
Designations renewed .....	20	17	16
Registration certificates issued .....	130	135	135
<b>Packers and Stockyards Program:</b>			
Investigations .....	3280	3800	4000

Regulatory Activities .....	2218	2800	3000
Livestock market agencies/dealers registered .....	5853	5900	6000
Stockyards posted .....	1238	1200	1150
Slaughtering and processing packers subject to the Act (estimated) ...	4400	4350	4300
Meat distributors, brokers, and dealers subject to the Act (estimated) .....	2759	2700	2600
Poultry operations subject to the Act .....	133	130	130

**Object Classification** (in millions of dollars)

Identification code 12-2400-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	22	23	24
12.1 Civilian personnel benefits .....	6	6	7
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	3	2	3
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	34	35	38
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	37	38	41

**Employment Summary**

Identification code 12-2400-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	295	291	285
2001 Reimbursable civilian full-time equivalent employment .....	8	8	8

**LIMITATION ON INSPECTION AND WEIGHING SERVICES EXPENSES**

Not to exceed \$50,000,000 (from fees collected) shall be obligated during the current fiscal year for inspection and weighing services: Provided, That if grain export activities require additional supervision and oversight, or other uncontrollable factors occur, this limitation may be exceeded by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-4050-0-3-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Limitation on inspection and weighing services .....	54	50	50
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	14	16
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	48	52	50
1801 Change in uncollected payments, Federal sources .....	2		
1850 Spending auth from offsetting collections, mand (total) .....	50	52	50
1930 Total budgetary resources available .....	68	66	66
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	16	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	7	1
3010 Obligations incurred, unexpired accounts .....	54	50	50
3020 Outlays (gross) .....	-51	-56	-50
3050 Unpaid obligations, end of year .....	7	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1		-6
3200 Obligated balance, end of year .....		-6	-6

**Budget authority and outlays, net:**

4090	Budget authority, gross .....	50	52	50
Mandatory:				
Outlays, gross:				
4100	Outlays from new mandatory authority .....	48	50	50
4101	Outlays from mandatory balances .....	3	6	
4110	Outlays, gross (total) .....	51	56	50
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-9	-2	
4123	Non-Federal sources .....	-39	-50	-50
4130	Offsets against gross budget authority and outlays (total) ...	-48	-52	-50
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-2		
4170	Outlays, net (mandatory) .....	3	4	
4190	Outlays, net (total) .....	3	4	

The Grain Inspection, Packers and Stockyards Administration (GIPSA) provides a uniform system for the inspection and weighing of grain. Services provided under this system are financed through a fee-supported revolving fund. Fee-supported programs include direct services, supervision activities and administrative functions. Direct services include official grain inspection and weighing by GIPSA employees at certain export ports as well as the inspection of U.S. grain shipped through Canada. GIPSA supervises the inspection and weighing activities performed by its own employees. FGIS supervises 55 official private and state agencies: 43 official private agencies and seven official state agencies that are designated to provide official inspection and/or weighing services in domestic markets; four official state agencies that are delegated to provide mandatory official export inspection and weighing services and designated to provide official domestic inspection and weighing services within the state; and one official state agency that is delegated to provide mandatory official export inspection and weighing services within the state. GIPSA provides an appeal service of original grain inspections and a registration system for the grain exporting firms. Through support from the Association of American Railroads and user fees, GIPSA conducts a railroad track scale testing program. In addition, GIPSA provides grading services, on request, for rice and grain related products under the authority of the Agricultural Marketing Act of 1946.

	2012 actual	2013 est.	2014 est.
Export grain inspected and/or weighed (million metric tons):			
By Federal personnel .....	63.9	59.4	74.3
By delegated states/official agencies .....	41	40.6	41.6
Quantity of grain inspected (official inspections) domestically (million metric tons) .....	175.1	185.3	213.7
Number of official grain inspections and reinspections:			
By Federal personnel .....	104,758	97,956	122,527
By delegated states/official agencies .....	3,114,680	3,120,635	3,197,498
Number of appeals (Grain, Rice, and Pulses) .....	2,037	3,030	3,030
Number of appeals to the Board of Appeals and Review (Grain, Rice, and Pulses) .....	182	266	266
Quantity of rice inspected (million metric tons) .....	3.6	3.7	3.8
Quantity of rice exports (million metric tons) .....	3.6	3.7	3.8

**Object Classification** (in millions of dollars)

Identification code 12-4050-0-3-352	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	31	33	33
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	32	34	34
12.1 Civilian personnel benefits .....	6	8	8
21.0 Travel and transportation of persons .....	1	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	3	4	4
25.3 Other goods and services from Federal sources .....	10		
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	54	50	50

LIMITATION ON INSPECTION AND WEIGHING SERVICES EXPENSES—Continued  
Employment Summary

Identification code 12-4050-0-3-352	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	383	380	379

AGRICULTURAL MARKETING SERVICE

Federal Funds

MARKETING SERVICES

For necessary expenses of the Agricultural Marketing Service, \$82,792,000: Provided, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

Fees may be collected for the cost of standardization activities, as established by regulation pursuant to law (31 U.S.C. 9701).

LIMITATION ON ADMINISTRATIVE EXPENSES

Not to exceed \$60,435,000 (from fees collected) shall be obligated during the current fiscal year for administrative expenses: Provided, That if crop size is understated and/or other uncontrollable events occur, the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-2500-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Market news service .....	32	33	33
0002 Inspection and standardization .....	7	8	8
0003 Market protection and promotion .....	36	36	32
0004 Transportation and market development .....	6	6	10
0005 Farmers market promotion program .....	10		
0799 Total direct obligations .....	91	83	83
0801 Reimbursable program .....	88	67	64
0900 Total new obligations .....	179	150	147
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	38	37	37
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	40	37	37
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	82	83	83
1160 Appropriation, discretionary (total) .....	82	83	83
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [12-4336] .....	10		
1260 Appropriations, mandatory (total) .....	10		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	77	67	64
1701 Change in uncollected payments, Federal sources .....	10		
1750 Spending auth from offsetting collections, disc (total) .....	87	67	64
1900 Budget authority (total) .....	179	150	147
1930 Total budgetary resources available .....	219	187	184
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	37	37	37
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	55	42
3010 Obligations incurred, unexpired accounts .....	179	150	147
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-166	-163	-156

3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	55	42	33
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-13	-13
3070 Change in uncollected pymts, Fed sources, unexpired .....	-10		
3090 Uncollected pymts, Fed sources, end of year .....	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	43	42	29
3200 Obligated balance, end of year .....	42	29	20

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	169	150	147
Outlays, gross:			
4010 Outlays from new discretionary authority .....	116	140	137
4011 Outlays from discretionary balances .....	43	13	14
4020 Outlays, gross (total) .....	159	153	151
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-36	-4	-4
4033 Non-Federal sources .....	-41	-63	-60
4040 Offsets against gross budget authority and outlays (total) ...	-77	-67	-64
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-10		
4070 Budget authority, net (discretionary) .....	82	83	83
4080 Outlays, net (discretionary) .....	82	86	87
Mandatory:			
4090 Budget authority, gross .....	10		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1		
4101 Outlays from mandatory balances .....	6	10	5
4110 Outlays, gross (total) .....	7	10	5
4180 Budget authority, net (total) .....	92	83	83
4190 Outlays, net (total) .....	89	96	92

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....			2
5001 Total investments, EOY: Federal securities: Par value .....	2		2

Agricultural Marketing Service activities assist producers and handlers of agricultural commodities by providing a variety of marketing-related services. These services continue to become more complex as the volume of agricultural commodities increases, as greater numbers of new processed commodities are developed, and as the agricultural market structure undergoes extensive changes. Marketing changes include increased concentration in food retailing, direct buying, decentralization of processing, growth of interregional competition, vertical integration, and contract farming.

The 2014 Budget requests \$82,792,000 for Marketing Services, approximately \$0.8 million above the annualized 2013 Continuing Resolution level. The individual Marketing Services activities include:

**Market news service.**—The market news program provides the agricultural community with information pertaining to the movement of agricultural products. This nationwide service provides daily reports on the supply, demand, and price of over 700 commodities on domestic and foreign markets.

**Inspection, grading and standardization.**—Nationally uniform standards of quality for agricultural products are established and applied to specific lots of products to: promote confidence between buyers and sellers; reduce hazards in marketing due to misunderstandings and disputes arising from the use of nonstandard descriptions; and encourage better preparation of uniform quality products for market. Grading services are provided on request for cotton and tobacco.

Quarterly inspection of egg handlers and hatcheries is conducted to ensure the proper disposition of shell eggs unfit for human consumption.

**MARKET NEWS PROGRAM**

	2012 actual	2013 est.	2014 est.
Percentage of reports released on time .....	98%	98%	98%

**COTTON AND TOBACCO USER FEE PROGRAM**

	2012 actual	2013 est.	2014 est.
Cotton classed (bales in millions) .....	14.8	15	11.1
Domestic and Imported tobacco graded (million pounds) .....	10	8.8	8.8
Domestic and Imported tobacco inspected (million kilograms) .....	63.1	0.13	0.26

**FEDERALLY FUNDED INSPECTION AND MARKETING ACTIVITIES**

	2012 actual	2013 est.	2014 est.
States and Commonwealths with cooperative agreements .....	30	30	30
Percentage of noncomplying shell egg lots that are reprocessed or diverted .....	100%	100%	100%

**STANDARDIZATION ACTIVITIES**

	2012 actual	2013 est.	2014 est.
International and U.S. standards in effect, end of fiscal year .....	545	548	552
Number of commodities covered .....	227	227	228

*Market protection and promotion.*—This program consists of: 1) the research and promotion programs which are designed to improve the competitive position and expand markets for cotton, eggs and egg products, honey, pork, beef, dairy products, potatoes, watermelons, mushrooms, soybeans, fluid milk, popcorn, blueberries, avocados, lamb, mangos and peanuts; 2) the Federal Seed Act; and 3) the administration of the Capper-Volstead Act and the Agricultural Fair Practices Act.

The Pesticide Recordkeeping program monitors compliance of private certified applicators with Federal regulations requiring them to keep records of restricted pesticides used in agricultural production. Of the total funds provided for Marketing Services pursuant to the Continuing Resolution (CR) for 2013, \$1,842,000 is for Pesticide Recordkeeping (PRK) program activities for the full term of the CR. No funding is included in the 2014 Budget for this program.

The Pesticide Data program develops comprehensive, statistically defensible information on pesticide residues in food to improve government dietary risk procedures.

Federal seed inspectors conduct tests on seed samples to help ensure truthful labeling of agricultural and vegetable seeds sold in interstate commerce.

The Capper-Volstead Act and the Agricultural Fair Practices Act protect producers against discriminatory practices by handlers, permit producers to engage in cooperative efforts, and ensure that such cooperatives do not engage in practices that monopolize or restrain trade.

The National Organic Program certifies that organically produced food products meet national standards.

**MARKET PROTECTION AND PROMOTION ACTIVITIES**

	2012 actual	2013 est.	2014 est.
<b>Pesticide data program (PDP):</b>			
Number of children's food commodities included in PDP .....	21	21	21
Number of compounds reported by PDP labs .....	447	430	447
<b>Seed Act:</b>			
<b>Interstate investigations:</b>			
Completed .....	255	250	250
Pending .....	242	250	250
Seed samples tested .....	219	250	250
Percentage of cases submitted that are completed .....	100%	100%	100%
<b>Plant Variety Protection Act:</b>			
Number of applications received .....	491	450	450
Certificates of protection issued and abandoned .....	590	760	750
Percentage of board budgets and marketing plans approved within time frame goal .....	100%	100%	100%
<b>Country of Origin Labeling .....</b>			
Retail compliance reviews .....	3974	2441	4000
Complaints investigated .....	12	12	12
State and Commonwealths with cooperative agreements .....	49	50	50

*Transportation and Market Development.*—This program is designed to enhance the marketing of domestic agricultural

commodities by conducting research into more efficient marketing methods and by providing technical assistance to areas interested in improving their food distribution facilities, and by helping to ensure that the Nation's transportation systems will adequately serve the needs of agriculture and rural areas of the United States.

**WHOLESALE MARKET DEVELOPMENT ACTIVITIES**

	2012 actual	2013 est.	2014 est.
Number of projects completed .....	12	12	15

**TRANSPORTATION SERVICES ACTIVITIES**

	2012 actual	2013 est.	2014 est.
Number of projects completed .....	16	14	13

**Object Classification (in millions of dollars)**

Identification code 12-2500-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	31	30	31
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	3	3
11.9 Total personnel compensation .....	34	34	35
12.1 Civilian personnel benefits .....	11	11	11
21.0 Travel and transportation of persons .....	1	1	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	20	21	20
25.3 Other goods and services from Federal sources .....	10	11	10
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	10	.....	.....
99.0 Direct obligations .....	91	83	83
99.0 Reimbursable obligations .....	88	67	64
99.9 Total new obligations .....	179	150	147

**Employment Summary**

Identification code 12-2500-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	421	428	432
2001 Reimbursable civilian full-time equivalent employment .....	366	448	448

**PAYMENTS TO STATES AND POSSESSIONS**

*For payments to departments of agriculture, bureaus and departments of markets, and similar agencies for marketing activities under section 204(b) of the Agricultural Marketing Act of 1946 (7 U.S.C. 1623(b)), \$1,363,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 12-2501-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payments to states and possessions .....	1	1	1
0002 Specialty crop block grants .....	55	55	.....
0900 Total new obligations (object class 41.0) .....	56	56	1
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1	1	1
1160 Appropriation, discretionary (total) .....	1	1	1
<b>Appropriations, mandatory:</b>			
1221 Transferred from other accounts for the Specialty Crop Block Grant Program [12-4336] .....	55	55	.....

PAYMENTS TO STATES AND POSSESSIONS—Continued  
Program and Financing—Continued

Identification code 12-2501-0-1-352	2012 actual	2013 CR	2014 est.
1260 Appropriations, mandatory (total) .....	55	55	.....
1900 Budget authority (total) .....	56	56	1
1930 Total budgetary resources available .....	56	56	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	120	127	128
3010 Obligations incurred, unexpired accounts .....	56	56	1
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-47	-55	-56
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	127	128	73
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	120	127	128
3200 Obligated balance, end of year .....	127	128	73
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4011 Outlays from discretionary balances .....	15	1	1
Mandatory:			
4090 Budget authority, gross .....	55	55	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	.....	.....
4101 Outlays from mandatory balances .....	31	54	55
4110 Outlays, gross (total) .....	32	54	55
4180 Budget authority, net (total) .....	56	56	1
4190 Outlays, net (total) .....	47	55	56

Federal-State Marketing Improvement Program grants are made on a matching fund basis to State departments of agriculture to carry out specifically approved value-added programs designed to spotlight local marketing initiatives and enhance marketing efficiency. Under this activity, specialists work with farmers, marketing firms, and other agencies in solving marketing problems and in using research results.

Specialty Crop Block Grant-Farm Bill grants are block grants made to State departments of agriculture to enhance the competitiveness of specialty crops.

Employment Summary

Identification code 12-2501-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	4	.....

PERISHABLE AGRICULTURAL COMMODITIES ACT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 12-5070-0-2-352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	1
Receipts:			
0200 License Fees and Defaults, Perishable Agricultural Commodities Act Fund .....	12	12	12
0400 Total: Balances and collections .....	12	12	13
Appropriations:			
0500 Perishable Agricultural Commodities Act Fund .....	-12	-11	-11
0799 Balance, end of year .....	.....	1	2

Program and Financing (in millions of dollars)

Identification code 12-5070-0-2-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Perishable Agricultural Commodities Act .....	10	11	11

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	7	7
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	12	11	11
1260 Appropriations, mandatory (total) .....	12	11	11
1930 Total budgetary resources available .....	17	18	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	10	11	11
3020 Outlays (gross) .....	-10	-11	-12
3050 Unpaid obligations, end of year .....	1	1	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	.....

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	12	11	11
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7	10	10
4101 Outlays from mandatory balances .....	3	1	2
4110 Outlays, gross (total) .....	10	11	12
4180 Budget authority, net (total) .....	12	11	11
4190 Outlays, net (total) .....	10	11	12

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	.....	.....	1
5001 Total investments, EOY: Federal securities: Par value .....	.....	1	.....

License fees are deposited in this special fund and are used to meet the costs of administering the Perishable Agricultural Commodities and the Produce Agency Acts (7 U.S.C. 491-497, 499a-499s).

The Acts are intended to ensure equitable treatment to farmers and others in the marketing of fresh and frozen fruits and vegetables. Commission merchants, dealers, and brokers handling these products in interstate and foreign commerce are licensed. Complaints of violations are investigated and violations dealt with by a) informal agreements between the two parties, b) formal decisions involving payment of reparation awards, and/or c) suspension or revocation of license and/or publication of the facts.

The Perishable Agricultural Commodities Act requires traders to have trust assets on hand to meet their obligations to fruit and vegetable suppliers. To preserve their trust and establish their rights ahead of other creditors, unpaid suppliers file notice with both the Department and their debtors that payment is due. The Act provides permanent authority to the Secretary of Agriculture to set license and reparation complaint filing fees.

PERISHABLE AGRICULTURAL COMMODITIES ACT ACTIVITIES

	2012 actual	2013 est.	2014 est.
Percentage of informal reparation complaints completed within time frame goal .....	90%	90%	90%

Object Classification (in millions of dollars)

Identification code 12-5070-0-2-352	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	2
25.3 Other goods and services from Federal sources .....	2	3	3
99.9 Total new obligations .....	10	11	11

**Employment Summary**

Identification code 12-5070-0-2-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	72	77	77

1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1	1	
<b>Budget authority:</b>			
Appropriations, discretionary:			
1132 Appropriations temporarily reduced .....		-150	-166
1134 Appropriations precluded from obligation .....		-150	
1160 Appropriation, discretionary (total) .....		-300	-166
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	7,947	8,990	9,211
1203 Appropriation (previously unavailable) .....	260	219	151
1220 Transferred to Food and Nutrition Service [12-3539] .....	-6,887	-7,986	-8,005
1220 Transferred to Department of Commerce [13-5139] .....	-109	-131	-131
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-150		
1235 Appropriations precluded from obligation .....	-219	-1	-119
1260 Appropriations, mandatory (total) .....	842	1,091	1,107
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1850 Spending auth from offsetting collections, mand (total) .....	1	1	1
1900 Budget authority (total) .....	843	792	942
1930 Total budgetary resources available .....	844	793	942
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		

**FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)**

(INCLUDING TRANSFERS OF FUNDS)

*Funds available under section 32 of the Act of August 24, 1935 (7 U.S.C. 612c), shall be used only for commodity program expenses as authorized therein, including up to \$500,000 to pay for eligible small businesses' first pre-award audits, and other related operating expenses, except for: (1) transfers to the Department of Commerce as authorized by the Fish and Wildlife Act of August 8, 1956; (2) transfers otherwise provided in this Act; and (3) not more than \$20,181,000 for formulation and administration of marketing agreements and orders pursuant to the Agricultural Marketing Agreement Act of 1937 and the Agricultural Act of 1961.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 12-5209-0-2-605	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	16,107	17,450	17,355
<b>Receipts:</b>			
0200 30 Percent of Customs Duties, Funds for Strengthening Markets, Income and Supply (section 32) .....	9,181	8,812	11,784
0240 General Fund Payment, Funds for Strengthening Markets, Income, and Supply (section 32) .....		1	1
0299 Total receipts and collections .....	9,181	8,813	11,785
0400 Total: Balances and collections .....	25,288	26,263	29,140
<b>Appropriations:</b>			
0500 Funds for Strengthening Markets, Income, and Supply (section 32) .....		150	166
0501 Funds for Strengthening Markets, Income, and Supply (section 32) .....		150	
0502 Funds for Strengthening Markets, Income, and Supply (section 32) .....	-7,947	-8,990	-9,211
0503 Funds for Strengthening Markets, Income, and Supply (section 32) .....	-260	-219	-151
0504 Funds for Strengthening Markets, Income, and Supply (section 32) .....	150		
0505 Funds for Strengthening Markets, Income, and Supply (section 32) .....	219	1	119
0599 Total appropriations .....	-7,838	-8,908	-9,077
0799 Balance, end of year .....	17,450	17,355	20,063

**Program and Financing** (in millions of dollars)

Identification code 12-5209-0-2-605	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Child nutrition program purchases .....	462	465	465
0002 Emergency surplus removal .....	171	101	201
0004 State option contract .....		5	5
0005 Removal of defective commodities .....		3	3
0006 Disaster Relief .....		5	5
0007 2008 Farm Bill Specialty Crop Purchases .....	162	165	206
0008 Small Business Support .....			1
0091 Subtotal, Commodity program payments .....	795	744	886
0101 Administrative expenses .....	47	48	55
0192 Total direct program .....	842	792	941
0799 Total direct obligations .....	842	792	941
0811 Reimbursable program .....	1	1	1
0900 Total new obligations .....	843	793	942

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	28	1
3010 Obligations incurred, unexpired accounts .....	843	793	942
3020 Outlays (gross) .....	-838	-820	-942
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	28	1	1
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	23	27	
3200 Obligated balance, end of year .....	27		

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....		-300	-166
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		-300	-166
<b>Mandatory:</b>			
4090 Budget authority, gross .....	843	1,092	1,108
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	828	1,092	1,108
4101 Outlays from mandatory balances .....	10	28	
4110 Outlays, gross (total) .....	838	1,120	1,108
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	842	791	941
4190 Outlays, net (total) .....	837	819	941

The Agriculture Appropriations Act of 1935 (7 U.S.C. 612c) established the Section 32 program which provides that 30 percent of U.S. Customs receipts for each calendar year are transferred to this account within the Department of Agriculture. The purpose of the Section 32 program is three-fold: to encourage the exportation of agricultural commodities and products, to encourage domestic consumption of agricultural products by diverting them, and to reestablish farmers' purchasing power by making payments in connection with the normal production of any agricultural commodity for domestic consumption. There is also a requirement that the funds available under Section 32 shall be principally devoted to perishable agricultural commodities (e.g., fruits and vegetables). Program funds are used for a variety of purposes in support of the three primary purposes specified in the program's authorizing legislation. Funds may be used to stabilize market conditions through purchasing surplus commodities which are in turn, distributed to nutrition assistance programs. Program funds are also used to purchase commodities that are distributed to schools as part of Child Nutrition Programs entitlements. Furthermore, funds are transferred to the Food

FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)—Continued

and Nutrition Service for commodity purchases under section 6 of the National School Lunch Act and other authorities specified in the Child Nutrition Programs statutes.

Object Classification (in millions of dollars)

Identification code 12-5209-0-2-605	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	16	17	18
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	4	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	15	15	18
25.3 Other goods and services from Federal sources .....	19	19	26
26.0 Supplies and materials: Grants of commodities to States .....	1	1	1
26.0 Supplies and materials: Grants of commodities to States .....	786	727	864
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	842	792	941
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	843	793	942

Employment Summary

Identification code 12-5209-0-2-605	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	171	171	173
2001 Reimbursable civilian full-time equivalent employment .....	9	9	9

Trust Funds

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 12-8015-0-7-352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			2
<b>Receipts:</b>			
0220 Deposits of Fees, Inspection and Grading of Farm Products, AMS .....	144	148	155
0240 Payments from General Fund, Wool Research, Development, and Promotion Trust Fund .....	2	2	2
0299 Total receipts and collections .....	146	150	157
0400 Total: Balances and collections .....	146	150	159
<b>Appropriations:</b>			
0500 Expenses and Refunds, Inspection and Grading of Farm Products .....	-146	-148	-155
0799 Balance, end of year .....		2	4

Program and Financing (in millions of dollars)

Identification code 12-8015-0-7-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Dairy products .....	5	6	7
0002 Fruits and vegetables .....	62	64	65
0003 Meat grading .....	31	31	32
0004 Poultry products .....	41	34	35
0005 Miscellaneous agricultural commodities .....	20	15	18
0900 Total new obligations .....	159	150	157

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	73	68	68
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	79	68	68
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	146	148	155
1221 Appropriations transferred from other accts [12-4336] ...	2	2	2

1260 Appropriations, mandatory (total) .....	148	150	157
1930 Total budgetary resources available .....	227	218	225
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	68	68	68

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	29	
3010 Obligations incurred, unexpired accounts .....	159	150	157
3020 Outlays (gross) .....	-154	-179	-133
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	29		24
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	30	29	
3200 Obligated balance, end of year .....	29		24

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	148	150	157
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	95	128	110
4101 Outlays from mandatory balances .....	59	51	23
4110 Outlays, gross (total) .....	154	179	133
4180 Budget authority, net (total) .....	148	150	157
4190 Outlays, net (total) .....	154	179	133

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....			35
5001 Total investments, EOY: Federal securities: Par value .....		35	

*Expenses and refunds, inspection and grading of farm products.*—The Agricultural Marketing Service's commodity grading programs provide grading, examination, and certification services for a wide variety of fresh and processed food commodities using federally approved grade standards and purchase specifications. Commodities graded include poultry, livestock, meat, dairy products, and fresh and processed fruits and vegetables. These programs use official grade standards which reflect the relative quality of a particular food commodity based on laboratory testing and characteristics such as taste, color, weight, and physical condition. Producers voluntarily request grading and certification services which are provided on a fee for service basis.

Object Classification (in millions of dollars)

Identification code 12-8015-0-7-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	72	75	76
11.3 Other than full-time permanent .....	6	7	8
11.5 Other personnel compensation .....	11	11	11
11.9 Total personnel compensation .....	89	93	95
12.1 Civilian personnel benefits .....	31	28	29
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	10	4	4
23.2 Rental payments to others .....	3	1	2
23.3 Communications, utilities, and miscellaneous charges .....	2	4	4
25.2 Other services from non-Federal sources .....	6	7	7
25.3 Other goods and services from Federal sources .....	7	6	9
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	7	4	4
99.9 Total new obligations .....	159	150	157

Employment Summary

Identification code 12-8015-0-7-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,328	1,338	1,342

MILK MARKET ORDERS ASSESSMENT FUND

Program and Financing (in millions of dollars)

Identification code 12-8412-0-8-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Administration	46	48	50
0802 Marketing service	7	7	8
0900 Total new obligations	53	55	58
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	53	55	58
1850 Spending auth from offsetting collections, mand (total)	53	55	58
1930 Total budgetary resources available	53	55	58
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	53	55	58
3020 Outlays (gross)	-53	-55	-58
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	53	55	58
Outlays, gross:			
4100 Outlays from new mandatory authority	53	55	58
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-53	-55	-58

The Secretary of Agriculture is authorized by the Agricultural Marketing Agreement Act of 1937, as amended under certain conditions to issue Federal milk marketing orders establishing minimum prices which handlers are required to pay for milk purchased from producers. There are currently 10 Federally-sanctioned milk market orders in operation.

Market administrators are appointed by the Secretary and are responsible for carrying out the terms of specific marketing orders. Their operating expenses, partly financed by assessments on regulated handlers and partly by deductions from producers, are reported to the Agricultural Marketing Service. The majority of these funds are collected and deposited in checking and savings accounts in local banks, and disbursed directly by the market administrator. A portion of the funds collected are invested in securities such as certificates of deposit.

Expenses of local offices are met from an administrative fund and a marketing service fund, which are prescribed in each order. The administrative fund is derived from prorated handler assessments. The marketing service fund of the individual order disseminates market information to producers who are not members of a qualified cooperative. It also provides for the verification of the weights, sampling, and testing of milk from these producers. The cost of these services is borne by such producers.

The maximum rates for administrative assessment and for marketing services are set forth in each order and adjustments below these rates are made from time to time upon recommendations by the market administrator and upon approval of the Agricultural Marketing Service to provide reserves at about a six month operating level. Upon termination of any order, the statute provides for distributing the proceeds from net assets pro rata to contributing handlers or producers, as the case may be.

Object Classification (in millions of dollars)

Identification code 12-8412-0-8-351	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	31	32	32
11.3 Other than full-time permanent			1

11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	32	33	34
12.1 Civilian personnel benefits	9	10	11
21.0 Travel and transportation of persons	3	3	3
23.2 Rental payments to others	4	4	4
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	1	1	2
31.0 Equipment	1	1	1
99.9 Total new obligations	53	55	58

Employment Summary

Identification code 12-8412-0-8-351	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	370	370	370

RISK MANAGEMENT AGENCY

Federal Funds

RISK MANAGEMENT AGENCY

For necessary expenses of the Risk Management Agency, \$71,496,000: Provided, That not to exceed \$1,000 shall be available for official reception and representation expenses, as authorized by 7 U.S.C. 1506(i).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-2707-0-1-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administrative and operating expenses	75	75	71
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	75	75	71
1160 Appropriation, discretionary (total)	75	75	71
1930 Total budgetary resources available	75	75	71
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	17	17
3010 Obligations incurred, unexpired accounts	75	75	71
3020 Outlays (gross)	-77	-75	-72
3050 Unpaid obligations, end of year	17	17	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	17	17
3200 Obligated balance, end of year	17	17	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	75	75	71
Outlays, gross:			
4010 Outlays from new discretionary authority	60	60	57
4011 Outlays from discretionary balances	17	15	15
4020 Outlays, gross (total)	77	75	72
4180 Budget authority, net (total)	75	75	71
4190 Outlays, net (total)	77	75	72

The Risk Management Agency (RMA) was established under provisions of the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127, approved April 4, 1996. RMA is responsible for administration and oversight of programs authorized under the Federal Crop Insurance Act (7 U.S.C. 1501 et seq.) and promotes the National welfare by improving the economic stability of agriculture through a secure system of crop insurance. This administrative expense account includes resources to maintain ongoing operations of the Federal crop insur-

RISK MANAGEMENT AGENCY—Continued

ance program and other functions assigned to RMA such as risk management education. This account covers expenses of national, regional and compliance offices located across the United States. The 2014 Budget requests \$71.496 million.

The Federal crop insurance program is delivered through private insurance companies. Certain administrative expenses incurred by the companies are reimbursed through mandatory funding that is reflected in the Federal Crop Insurance Corporation Fund account. The funding in this account appropriately covers administrative activities for RMA where mandatory funding is not available and cannot be funded with funds from the Federal Crop Insurance Corporation Fund account.

Object Classification (in millions of dollars)

Identification code 12-2707-0-1-351	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	41	40	40
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	42	41	41
12.1 Civilian personnel benefits .....	12	12	12
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	18	19	15
99.9 Total new obligations .....	75	75	71

Employment Summary

Identification code 12-2707-0-1-351	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	470	455	455

CORPORATIONS

The following corporations and agencies are hereby authorized to make expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accord with law, and to make contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act as may be necessary in carrying out the programs set forth in the budget for the current fiscal year for such corporation or agency, except as hereinafter provided.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

FEDERAL CROP INSURANCE CORPORATION FUND

For payments as authorized by section 516 of the Federal Crop Insurance Act (7 U.S.C. 1516), such sums as may be necessary, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-4085-0-3-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Indemnities .....	4,532	8,867	6,888
0002 Delivery Expenses .....	1,373	1,313	1,315
0003 Underwriting Gains .....	1,669	.....	1,261
0004 Federal Crop Insurance Act Initiatives .....	31	39	39

0799 Total direct obligations .....	7,605	10,219	9,503
0801 Reimbursable program - indemnities .....	4,981	8,105	4,144
0802 Reimbursable program - program related IT .....	20	20	20
0899 Total reimbursable obligations .....	5,001	8,125	4,164
0900 Total new obligations .....	12,606	18,344	13,667

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	561	564	564
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	563	564	564
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1134 Appropriations precluded from obligation .....	.....	-75	.....
1160 Appropriation, discretionary (total) .....	.....	-75	.....
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	7,605	10,219	9,503
1220 Appropriations transferred to other accts [12-0502] .....	-5	-5	-5
1221 Appropriations transferred from other accts [12-4336] .....	6	6	6
1260 Appropriations, mandatory (total) .....	7,606	10,220	9,504
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	5,001	8,199	4,164
1850 Spending auth from offsetting collections, mand (total) .....	5,001	8,199	4,164
1900 Budget authority (total) .....	12,607	18,344	13,668
1930 Total budgetary resources available .....	13,170	18,908	14,232
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	564	564	565

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	441	3,234	3,238
3010 Obligations incurred, unexpired accounts .....	12,606	18,344	13,667
3020 Outlays (gross) .....	-9,811	-18,340	-13,880
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	3,234	3,238	3,025
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	441	3,234	3,238
3200 Obligated balance, end of year .....	3,234	3,238	3,025

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	.....	-75	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	-75	.....
<b>Mandatory:</b>			
4090 Budget authority, gross .....	12,607	18,419	13,668
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	9,537	17,498	12,784
4101 Outlays from mandatory balances .....	274	917	1,096
4110 Outlays, gross (total) .....	9,811	18,415	13,880
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-5,001	-8,199	-4,164
4180 Budget authority, net (total) .....	7,606	10,145	9,504
4190 Outlays, net (total) .....	4,810	10,141	9,716

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	7,606	10,145	9,504
Outlays .....	4,810	10,141	9,716
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	-513
Outlays .....	.....	.....	-513
<b>Total:</b>			
Budget Authority .....	7,606	10,145	8,991
Outlays .....	4,810	10,141	9,203

The Federal Crop Insurance Corporation (FCIC) is a wholly owned government corporation created February 16, 1938 (7 U.S.C. 1501). The program was amended by P.L. 96-365, dated September 26, 1980, to provide for nationwide expansion of a comprehensive crop insurance plan. The crop insurance program includes products involving yield and revenue insurance, pasture, rangeland and forage, livestock, and other educational and risk

mitigation initiatives/tools to manage risk. FCIC is administered by the Risk Management Agency (RMA), and provides economic stability to agriculture through a secure system of crop insurance. FCIC provides to farmers a risk management program that protects against agricultural production losses due to unavoidable causes such as drought, excessive moisture, hail, wind, hurricane, tornado, lightning, and insects. In addition to these causes, revenue insurance programs are available to protect against loss of revenue stemming from low prices, poor yields, or a combination of both. Federal crop insurance is available through private insurance companies that market and service policies and also share in the risk. Thus, the program delivery is a joint effort between the Federal government and the private insurance industry. There were over 1.1 million policies written in crop year 2012 with over \$11 billion in premiums and indemnities projected at about \$17 billion. Crop insurance is available for more than 350 different commodities in over 3,162 counties covering all 50 states, and Puerto Rico. RMA continues to pursue initiatives to make higher levels of crop insurance protection more affordable and useful to producers and improve program integrity.

The 2014 Budget requests funding to support \$13.7 billion in obligations, a decrease of over \$4.7 billion from the 2013 estimated obligations of \$18.3 billion. Estimates for the 2013 fiscal year are based on 2012 crop year projected loss ratio of 1.5, factoring in some actuals with estimates for the year. In fiscal year 2014, funding level estimates are based on a 1.0 loss ratio, which is the statutory target loss ratio used for estimating future crop insurance costs.

In fiscal year 2013 the appropriations request appears lower than what might be expected in a 1.5 loss ratio year, but that is due to a timing shift in premium collections. Policy changes made by the 2008 Farm Bill were intended to accelerate premium collections originally due in fiscal year 2013 into fiscal year 2012. However, in response to the severe drought conditions the USDA used its discretionary authority to waive interest penalties for one month on late paid premiums. This effectively shifted some premium collections back into fiscal year 2013 and reduced the overall need for additional appropriations. This is a one-time shift, and the funding returns to normal beginning in fiscal year 2014.

Commercial insurance companies deliver crop insurance. For producers who obtain Catastrophic Crop Insurance (CAT), which compensates the farmer for losses exceeding 50 percent of the individual's average yield at 55 percent of the expected market price, the premium is entirely subsidized. The cost to the producer for this type of coverage is an annual administrative fee of \$300 per crop per county.

Additional coverage is available to producers and is commonly referred to as "buy-up" coverage. Policyholders can elect to be paid up to 100 percent of the market price established by FCIC for each unit of production their actual yield is less than the individual yield guarantee. Premium rates for additional coverage depend on the level of protection selected and vary from crop to crop and county to county. Producers are assessed a fee of \$30 per crop, per county, in addition to a share of the premium. The additional levels of insurance coverage are more attractive to farmers due to availability of optional units, other policy provisions not available with CAT coverage, and the ability to obtain a level of protection that permits them to use crop insurance as loan collateral and to achieve greater financial security.

Revenue protection for specified products is provided by extending traditional multi-peril crop insurance protection, based on actual production history, to include price variability based on futures market prices. Producers have a choice of revenue protec-

tion (protection against loss of revenue caused by low prices, low yields, or a combination of both) or yield protection (protection for production losses only) within one Basic Provision and the applicable Crop Provision.

The following table illustrates Crop Year statistics as of September 30, 2012. Crop Year is generally all activity for crops from July 1-June 30 of a given year.

	2012 est.	2013 est.	2014 est.
Number of States .....	50	50	50
Number of counties .....	3,162	3,162	3,162
Insurance in force (millions) .....	116,647	116,086	94,095
Insured acreage (millions) .....	282	279	270
Producer premium (millions) .....	4,116	4,111	3,249
Premium subsidy (millions) .....	6,940	6,902	5,485
Total premium (millions) .....	11,056	11,013	8,734
Indemnities (millions) .....	17,064	11,013	8,734
Loss ratio .....	1.54	1.00	1.00

**Financing.**—The Corporation is authorized under the Federal Crop Insurance Act, as amended, to use funds from the issuance of capital stock which provides working capital for the Corporation.

Receipts, which are for deposit to this fund, mainly come from premiums paid by farmers. The principal payments from this fund are for indemnities to insured farmers, and administrative expenses for approved insurance providers.

Premium subsidies are authorized by section 508(b) of the Federal Crop Insurance Act, as amended, and are received through appropriations.

The following table illustrates premium subsidies and indemnities for all crop years as expected to occur during the period of October 1-September 30 for fiscal years 2013 and 2014 .

#### PREMIUM AND SUBSIDY

(In millions of dollars)

	2013 est.	2014 est.
Premiums:		
Additional coverage premium subsidy .....	12,131	6,607
Catastrophic coverage premium subsidy .....	264	261
Subtotal, premium subsidy .....	12,395	6,868
Producer premium .....	4,116	4,111
Total premiums .....	16,511	10,979
Indemnities:		
Additional coverage .....	16,621	10,752
Catastrophic coverage .....	406	261
Total indemnities .....	17,027	11,013

#### NET INCOME OR LOSS (-) ON INSURANCE OPERATIONS

(In millions of dollars)

	2013 est.	2014 est.
Producer premium less indemnities .....	-12,911	-6,902
Interest expense, net .....	0	0
Delivery expenses <sup>1</sup> .....	1,313	1,315
Other income or expense, net (CAT fees) .....	53	53
Federal Crop Insurance Act Initiatives .....	59	59
Reinsurance underwriting gain (+) or loss (-) .....	0	1,262
Net income or loss (-) .....	-11,486	-4,213

<sup>1</sup>Figures reflect delivery expenses borne by the Fund in accordance with the Food, Conservation, and Energy Act of 2008, P.L. 110-246.

#### Balance Sheet (in millions of dollars)

Identification code 12-4085-0-3-351	2011 actual	2012 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	755	3,613
1206 Non-Federal assets: Receivables, net .....	4,109	5,540

FEDERAL CROP INSURANCE CORPORATION FUND—Continued  
Balance Sheet—Continued

Identification code 12-4085-0-3-351	2011 actual	2012 actual
1999 Total assets .....	4,864	9,153
<b>LIABILITIES:</b>		
2105 Federal liabilities: Other .....	1	1
Non-Federal liabilities:		
2201 Accounts payable .....	138	1,330
2207 Other .....	11,352	20,821
2999 Total liabilities .....	11,491	22,152
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	538	564
3300 Cumulative results of operations .....	-7,165	-13,563
3999 Total net position .....	-6,627	-12,999
4999 Total liabilities and net position .....	4,864	9,153

Object Classification (in millions of dollars)

Identification code 12-4085-0-3-351	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services-Agriculture Risk Protection Act of 2000			
Initiatives .....	53	59	59
25.2 Other services from non-Federal sources .....	3,020	1,312	2,576
42.0 Insurance claims and indemnities (reinsured buyup) .....	4,532	8,848	6,868
99.0 Direct obligations .....	7,605	10,219	9,503
Reimbursable obligations:			
42.0 Insurance claims, indemnities and program related IT .....	5,001	8,125	4,164
99.0 Reimbursable obligations .....	5,001	8,125	4,164
99.9 Total new obligations .....	12,606	18,344	13,667

FEDERAL CROP INSURANCE CORPORATION FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 12-4085-4-3-351	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-513
1260 Appropriations, mandatory (total) .....			-513
1930 Total budgetary resources available .....			-513
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-513
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross) .....			513
3050 Unpaid obligations, end of year .....			513
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			513
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-513
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-513
4180 Budget authority, net (total) .....			-513
4190 Outlays, net (total) .....			-513

As part of the President's commitment to fiscal responsibility the Budget includes five proposals. The proposals include programmatic changes that:

1. Establish a reasonable rate of return to participating crop insurance companies. A USDA commissioned study found that when compared to other private companies, crop insurance companies rate of return on investment (ROI) should be around 12 percent, but that it is currently expected to be 14 percent. The Administration is proposing to lower the crop insurance compan-

ies' ROI to meet the 12 percent target. This proposal is expected to save about \$1.2 billion over 10 years.

2. Reduce the reimbursement rate of administrative and operating expenses. The current cap on administrative expenses to be paid to participating crop insurance companies is based on the 2010 premiums, which were among the highest ever. A more appropriate level for the cap would be based on 2006 premiums, neutralizing the spike in commodity prices over the last few years, but not harming the delivery system. The Administration, therefore, proposes setting the cap at \$0.9 billion adjusted annually for inflation. This proposal is expected to save about \$2.8 billion over 10 years.

3. Decrease the premium subsidy paid on behalf of producers by 3 percentage points. The proposal would reduce the premium subsidy levels by 3 percentage points for those policies that are currently subsidized by more than 50 percent. This proposal is expected to save about \$4.2 billion over 10 years.

4. Decrease the premium subsidy paid on behalf of producers by 2 percentage points on policies where the producer elects the harvest price option (HPO). This reduction is in addition to the 3 percentage point reduction on policies currently subsidized by more than 50 percent. The HPO provides upward price protection which provides a higher indemnity if the commodity prices are higher at harvest time than when the policy was purchased. This proposal is expected to save about \$3.2 billion over 10 years.

5. Decrease the premium rate on catastrophic coverage to better reflect historical performance. This proposal would require that USDA reset premium rates to more accurately reflect the performance of the catastrophic portfolio. The proposal is expected to save about \$292 million over 10 years.

FARM SERVICE AGENCY

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Farm Service Agency, \$1,176,460,000: Provided, That the Secretary is authorized to use the services, facilities, and authorities (but not the funds) of the Commodity Credit Corporation to make program payments for all programs administered by the Agency: Provided further, That other funds made available to the Agency for authorized activities may be advanced to and merged with this account: Provided further, That funds made available to county committees shall remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-0600-0-1-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Conservation .....	307	308	301
0002 Income support .....	869	872	850
0005 Commodity operations .....	26	26	25
0300 Subtotal, direct program .....	1,202	1,206	1,176
0799 Total direct obligations .....	1,202	1,206	1,176
0801 Farm loans .....	291	292	307
0802 Other programs .....	125	92	92
0899 Total reimbursable obligations .....	416	384	399
0900 Total new obligations .....	1,618	1,590	1,575

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	16	22	22
1012	Unobligated balance transfers between expired and unexpired accounts .....	10		
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	28	22	22
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,199	1,206	1,176
1160	Appropriation, discretionary (total) .....	1,199	1,206	1,176
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	405	384	399
1701	Change in uncollected payments, Federal sources .....	35		
1750	Spending auth from offsetting collections, disc (total) .....	440	384	399
1900	Budget authority (total) .....	1,639	1,590	1,575
1930	Total budgetary resources available .....	1,667	1,612	1,597
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-27		
1941	Unexpired unobligated balance, end of year .....	22	22	22
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	242	280	207
3010	Obligations incurred, unexpired accounts .....	1,618	1,590	1,575
3011	Obligations incurred, expired accounts .....	11		
3020	Outlays (gross) .....	-1,571	-1,663	-1,603
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041	Recoveries of prior year unpaid obligations, expired .....	-18		
3050	Unpaid obligations, end of year .....	280	207	179
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-125	-62	-62
3070	Change in uncollected pymts, Fed sources, unexpired .....	-35		
3071	Change in uncollected pymts, Fed sources, expired .....	98		
3090	Uncollected pymts, Fed sources, end of year .....	-62	-62	-62
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	117	218	145
3200	Obligated balance, end of year .....	218	145	117
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,639	1,590	1,575
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,371	1,397	1,387
4011	Outlays from discretionary balances .....	200	266	216
4020	Outlays, gross (total) .....	1,571	1,663	1,603
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-503	-384	-399
4033	Non-Federal sources .....	-2		
4040	Offsets against gross budget authority and outlays (total) ...	-505	-384	-399
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-35		
4052	Offsetting collections credited to expired accounts .....	100		
4060	Additional offsets against budget authority only (total) .....	65		
4070	Budget authority, net (discretionary) .....	1,199	1,206	1,176
4080	Outlays, net (discretionary) .....	1,066	1,279	1,204
4180	Budget authority, net (total) .....	1,199	1,206	1,176
4190	Outlays, net (total) .....	1,066	1,279	1,204

The Farm Service Agency (FSA) was established October 3, 1994, pursuant to the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, P.L. 103-354. The Department of Agriculture Reorganization Act of 1994 was amended on April 4, 1996, by the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127. The FSA administers a variety of activities, such as farm income support programs through various loans and payments; the Conservation Reserve Program (CRP); the Emergency Conservation Program; the Hazardous Waste Management Program; the Commodity Operation Programs including the warehouse examination function; farm ownership, farm operating, emergency disaster, and other loan programs; and the Noninsured Crop Disaster Assistance Program (NAP), which provides crop loss protection for growers of many crops for which crop insurance is not avail-

able. The American Taxpayer Relief Act of 2012 extended the 2008 Farm Bill through the 2013 crop year. FSA also assists in the administration of several conservation cost-share programs financed by the Commodity Credit Corporation (CCC), including the Grasslands Reserve Program (GRP). In addition, FSA currently provides certain administrative support services to the Foreign Agricultural Service (FAS) and to the Risk Management Agency (RMA).

This consolidated administrative expenses account includes funds to cover expenses of programs administered by, and functions assigned to, FSA. The funds consist of a direct appropriation, transfers from program loan accounts under credit reform procedures, user fees, and advances and reimbursements from other sources. This is a consolidated account for administrative expenses of national, regional, State, and county offices. The 2014 Budget decreases the direct appropriation by \$22 million and increases the transfers by \$17 million, providing about \$1.5 billion in total (approximately the same level as the 2012 enacted level).

USDA's FSA, Natural Resources Conservation Service, and Rural Development offices act as separate franchises, with offices often located adjacent to each other. Prior efforts to improve the efficiency of USDA's county-based offices have resulted in significant co-location and introduction of new information technology to simplify customer transactions.

*Farm programs.*—These programs provide an economic safety net through farm income support to eligible producers, cooperatives, and associations to help improve the economic stability and viability of the agricultural sector and to ensure the production of an adequate and reasonably priced supply of food and fiber. Activities of the Agency include providing direct and counter-cyclical and average crop revenue election payments, providing marketing assistance loans and loan deficiency payments enabling recipients to continue farming operations without marketing their product immediately after harvest, and providing a financial safety net to eligible producers when natural disasters adversely affect their farming operation. These programs range from covering losses of; grazing under the Livestock Forage Disaster Program, orchard trees and nursery to help replant or rehabilitate trees under the Tree Assistance Program, crop production and quality under the Supplemental Revenue Assistance Payments Program, production under the Noninsured Crop Disaster Assistance Program, livestock under the Livestock Indemnity Program, livestock, honeybees and farm raised fish for losses that are not covered under the previously listed programs under the Emergency Assistance for Livestock, Honeybees, and Farm Raised Fish.

Farm program activities include the following functions dealing with the administration of programs carried out through the farmer committee system of the FSA: (a) developing program regulations and procedures; (b) collecting and compiling basic data for individual farms; (c) establishing individual farm base acres for farm planting history; (d) notifying producers of established base acres and farm planting histories; (e) conducting referendums and certifying results; (f) accepting farmer certifications and checking compliance for specific purposes; (g) processing commodity loan documents and issuing checks; (h) processing direct and counter-cyclical and average crop revenue election (ACRE) payments and issuing checks; (i) certifying payment eligibility and monitoring payment limitations; and (j) processing farm storage facility loans and issuing checks.

*Conservation and environment.*—These programs assist agricultural producers and landowners in implementing practices to conserve soil, water, air, and wildlife resources on America's farmland and ranches to help protect the human and natural

SALARIES AND EXPENSES—Continued

environment. Objectives of the Agency include improving environmental quality, protecting natural resources, and enhancing habitat for fish and wildlife, including threatened and endangered species; providing Emergency Conservation Program funding for farmers and ranchers to rehabilitate damaged farmland and for carrying out emergency conservation measures during periods of severe drought or flooding; protecting the public health of communities through implementation of the Hazardous Waste Management Program; and implementing contracting, financial reporting, and other administrative operations processes. These activities include: (a) processing producer requests for conservation cost-sharing and issuing conservation reserve rental payments; and (b) transferring funds to the Natural Resources Conservation Service and other agencies for other conservation programs.

*Commodity operations.*—This activity includes: (a) overall management of CCC-owned commodities; (b) purchasing commodities; (c) donating commodities; (d) selling commodities; (e) accounting for loans and commodities; and (f) commercial warehouse activities, which include improving the effectiveness and efficiency of FSA's commodity acquisition, procurement, storage, and distribution activities to support domestic and international food assistance programs and administering the U.S. Warehouse Act (USWA). FSA provides for the examination of warehouses licensed under the USWA and non-licensed warehouses storing CCC-owned or pledged commodities. Examiners perform periodic examinations of the facilities and the warehouse records to ensure protection of depositors against potential losses of the stored commodities and to ensure compliance with the USWA and any CCC storage agreements.

*Farm loans (reimbursable).*—Provides for administering the direct and guaranteed loan programs covered under the Agricultural Credit Insurance Fund (ACIF). Objectives of the Agency include improving the economic viability of farmers and ranchers, reducing losses in direct loan programs, responding to loan making and servicing requests, and maximizing financial and technical assistance to underserved groups. Activities include reviewing applications, servicing the loan portfolio, and providing technical assistance and guidance to borrowers. Funding for farm loan administrative expenses is transferred to this consolidated account from the ACIF. Appropriations representing subsidy amounts necessary to support the individual program loan levels under Federal Credit Reform are made to the ACIF account.

*Other reimbursable activities.*—FSA collects a fee or is reimbursed for performing a variety of services for other Federal agencies, CCC, industry, and others, including certain administrative support services for the Risk Management Agency and the Foreign Agricultural Service, and for county office services provided to Federal and non-Federal entities, including a variety of services to producers.

Object Classification (in millions of dollars)

Identification code 12-0600-0-1-351	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	157	150	151
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	159	150	151
12.1 Civilian personnel benefits .....	46	44	44
13.0 Benefits for former personnel .....	3		
21.0 Travel and transportation of persons .....	5	7	4
22.0 Transportation of things .....	2	3	1
23.3 Communications, utilities, and miscellaneous charges .....	17	8	6
24.0 Printing and reproduction .....	1	1	
25.2 Other services from non-Federal sources .....	325	327	310

26.0	Supplies and materials .....	3	3	2
31.0	Equipment .....	3	3	3
41.0	Grants, subsidies, and contributions .....	637	660	655
42.0	Insurance claims and indemnities .....	1		
99.0	Direct obligations .....	1,202	1,206	1,176
99.0	Reimbursable obligations .....	416	384	399
99.9	Total new obligations .....	1,618	1,590	1,575

Employment Summary

Identification code 12-0600-0-1-351	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,862	1,814	1,814
2001 Reimbursable civilian full-time equivalent employment .....	2,626	2,622	2,622

STATE MEDIATION GRANTS

For grants pursuant to section 502(b) of the Agricultural Credit Act of 1987, as amended (7 U.S.C. 5101-5106), \$3,782,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-0170-0-1-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State mediation grants .....	4	4	4
0900 Total new obligations (object class 41.0) .....	4	4	4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1160 Appropriation, discretionary (total) .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-4	-4
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
4011 Outlays from discretionary balances .....	2	2	2
4020 Outlays, gross (total) .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

This grant program is authorized by Title V of the Agricultural Credit Act of 1987, P.L. 100-233, as amended. Originally designed to address agricultural credit disputes, the program was expanded by the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994 (P.L. 103-354) to include other agricultural issues such as wetland determinations, conservation compliance, rural water loan programs, grazing on National Forest System lands, and pesticide use. Grants are made to States whose agricultural mediation programs have been certified by the Farm Service Agency. A grant will not exceed 69 percent of the total fiscal year funds that a qualifying State requires to operate and administer its agricultural mediation program. In

no case will the total amount of a grant exceed \$500,000 annually. Current authority for the program under P.L. 111–233 expires September 10, 2015. The 2014 Budget requests \$3.8 million for the program, which is the same as the 2013 estimated level.

**GRANT OBLIGATIONS**

	2012 actual	2013 est.	2014 est.
Number of States receiving grants .....	34	37	38
Amount of grants (in millions of dollars) .....	4	4	4

**DISCRIMINATION CLAIMS SETTLEMENT**

**Program and Financing** (in millions of dollars)

Identification code 12–1144–0–1–351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Discrimination Claims Settlement .....		1,150	
0900 Total new obligations (object class 42.0) .....		1,150	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,150	1,150	
1930 Total budgetary resources available .....	1,150	1,150	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,150		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1,150	
3020 Outlays (gross) .....		-1,150	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		1,150	
4190 Outlays, net (total) .....		1,150	

The Claims Resolution Act of 2010, P.L. 111–291 that was signed into law on December 8, 2010 provides funding to settle claims of prior discrimination brought by black farmers against the Department of Agriculture. These funds supplement funding previously provided to USDA for this purpose by section 14012 of P.L. 110–246. Claimants that suffered discrimination between 1989 and 1997 and submitted a late-filing request can seek fast-track payments of up to \$50,000 plus debt relief, or choose a longer, more rigorous review and documentation process for damages of up to \$250,000. The actual value of awards may be reduced based on the total amount of funds made available and the number of successful claims.

**USDA SUPPLEMENTAL ASSISTANCE**

**Program and Financing** (in millions of dollars)

Identification code 12–2701–0–1–351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Geographically disadvantaged farmers and ranchers program .....	2	2	
0900 Total new obligations (object class 41.0) .....	2	2	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	782	3	3
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-962		
1021 Recoveries of prior year unpaid obligations .....	183		
1050 Unobligated balance (total) .....	3	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	
1160 Appropriation, discretionary (total) .....	2	2	

1930 Total budgetary resources available .....	5	5	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	186	3	2
3010 Obligations incurred, unexpired accounts .....	2	2	
3020 Outlays (gross) .....	-2	-3	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-183		
3050 Unpaid obligations, end of year .....	3	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	186	3	2
3200 Obligated balance, end of year .....	3	2	

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	2	2	
Outlays, gross:			
4011 Outlays from discretionary balances .....	2	3	2
4180 Budget authority, net (total) .....	2	2	
4190 Outlays, net (total) .....	2	3	2

Sec. 1621 of the Food, Conservation, and Energy Act of 2008, Public Law 110–246 Section 1621, enacted June 18, 2008, (2008 Farm Bill) authorized reimbursement payments to geographically disadvantaged farmers or ranchers to transport agricultural commodities or inputs used to produce agricultural commodities; and the Department of Defense and Full-Year Continuing Appropriations Act, 2012, Public Law 112–55 Section 724, provided \$1,996,000 to the Farm Service Agency to administer a program to assist farmers and ranchers in Hawaii, Alaska, Puerto Rico, Virgin Islands and insular areas who paid to transport either an agricultural commodity or an input used to produce an agricultural commodity during 2012.

**REFORESTATION PILOT PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 12–3305–0–1–302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Reforestation pilot program .....	1	1	
0900 Total new obligations (object class 41.0) .....	1	1	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	
1160 Appropriation, discretionary (total) .....	1	1	
1930 Total budgetary resources available .....	1	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	
3020 Outlays (gross) .....	-1	-1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	
4180 Budget authority, net (total) .....	1	1	
4190 Outlays, net (total) .....	1	1	

In 2012, \$600,000 was appropriated by Section 727 of the 2012 Consolidated and Further Continuing Appropriations Act, P.L. 112–55. The program's purpose is to demonstrate the use of new technologies that increase the rate of growth of re-forested hardwood trees on private non-industrial forest lands, enrolling lands on the coast of the Gulf of Mexico that were damaged by

REFORESTATION PILOT PROGRAM—Continued  
Hurricane Katrina in 2005. The 2014 Budget proposes no funding for this program.

EMERGENCY CONSERVATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-3316-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Emergency conservation program	75	188	
0900 Total new obligations (object class 41.0)	75	188	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	106	173	
1011 Unobligated balance transfer from other accts [12-5531]	14		
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	125	173	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	123	15	
1160 Appropriation, discretionary (total)	123	15	
1930 Total budgetary resources available	248	188	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	173		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	32	46	144
3010 Obligations incurred, unexpired accounts	75	188	
3020 Outlays (gross)	-56	-90	-93
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3050 Unpaid obligations, end of year	46	144	51
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	32	46	144
3200 Obligated balance, end of year	46	144	51
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	123	15	
Outlays, gross:			
4010 Outlays from new discretionary authority	24		
4011 Outlays from discretionary balances	32	90	93
4020 Outlays, gross (total)	56	90	93
4180 Budget authority, net (total)	123	15	
4190 Outlays, net (total)	56	90	93

The Emergency Conservation Program (ECP) was authorized by the Agricultural Credit Act of 1978 (16 U.S.C. 2201-05). It provides funds for sharing the cost of emergency measures to deal with cases of severe damage to farmlands and rangelands resulting from natural disasters.

During 2012, 45 States and 1 Territory participated in the Emergency Conservation Program (ECP), involving an estimated 1,900,000 acres and approximately \$56,113,938 in cost-share and technical assistance outlays. In 2012, the Consolidated and Further Continuing Appropriations Act, P.L. 112-55, provided \$122,700,000 for ECP. Use of this appropriation is limited to major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.). These funds continue to help agricultural producers remove debris from farmland, restore livestock fences and conservation structures, provide water for livestock during periods of severe drought, and grade and shape farmland damaged by natural disasters. The Disaster Relief Appropriations Act, 2013 provided \$15 million for ECP and, as in 2012, limits the use of funds for

major disasters declared pursuant to the Stafford Act. The 2014 Budget does not propose funding for this program.

EMERGENCY FOREST RESTORATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-0171-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 EFRP	17	51	
0900 Total new obligations (object class 41.0)	17	51	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	28	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	17	28	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	28	23	
1160 Appropriation, discretionary (total)	28	23	
1930 Total budgetary resources available	45	51	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	28		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	16	59
3010 Obligations incurred, unexpired accounts	17	51	
3020 Outlays (gross)	-2	-8	-22
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	16	59	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	16	59
3200 Obligated balance, end of year	16	59	37
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	28	23	
Outlays, gross:			
4011 Outlays from discretionary balances	2	8	22
4180 Budget authority, net (total)	28	23	
4190 Outlays, net (total)	2	8	22

The Emergency Forest Restoration Program (EFRP) provides payments to eligible owners of non-industrial private forest (NIPF) for implementation of emergency measures to restore land damaged by a natural disaster. A total of \$18 million was appropriated by the Supplemental Appropriations Act of 2010, P.L. 111-212 and an additional \$28.4 million was appropriated in 2012 by the Consolidated and Further Continuing Appropriations Act, P.L. 112-55. During 2012, 9 States participated in the EFRP involving an estimated 1,360 acres and approximately \$1,991,152 in cost-share and technical assistance outlays. The 2014 Budget does not include funding for EFRP.

GRASSROOTS SOURCE WATER PROTECTION PROGRAM

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-3304-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grassroots source water payments	4	4	
0900 Total new obligations (object class 41.0)	4	4	

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	4	4
1160	Appropriation, discretionary (total) .....	4	4
1930	Total budgetary resources available .....	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	4	4
3020	Outlays (gross) .....	-4	-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	4	4
Outlays, gross:			
4010	Outlays from new discretionary authority .....	4	4
4180	Budget authority, net (total) .....	4	4
4190	Outlays, net (total) .....	4	4

The Grassroots Source Water Protection Program (GSWPP) is a joint project by USDA's Farm Service Agency and the nonprofit National Rural Water Association. It is designed to help prevent source water pollution in States through voluntary practices installed by producers at the local level. GSWPP uses onsite technical assistance capabilities of each State rural water association that operates a wellhead or groundwater protection program in the State. State rural water associations can deliver assistance in developing source water protection plans within priority watersheds for the common goal of preventing the contamination of drinking water supplies. The 2008 Farm Bill authorized this program to continue through 2012. The Taxpayer Relief Act of 2012 extended this program through September 30, 2013. The 2012 enacted level provided \$3.8 million for GSWPP, and the 2014 Budget proposes no funding for this program.

**AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT**  
(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed farm ownership (7 U.S.C. 1922 et seq.) and operating (7 U.S.C. 1941 et seq.) loans, emergency loans (7 U.S.C. 1961 et seq.), Indian tribe land acquisition loans (25 U.S.C. 488), boll weevil loans (7 U.S.C. 1989), guaranteed conservation loans (7 U.S.C. 1924 et seq.), Indian highly fractionated land loans (25 U.S.C. 488), and individual development account grants (7 U.S.C. 1981–2008r) to be available from funds in the Agricultural Credit Insurance Fund, as follows: farm ownership loans, \$2,575,000,000, of which \$2,000,000,000 shall be for unsubsidized guaranteed loans and \$575,000,000 shall be for direct loans; operating loans, \$2,723,686,000, of which \$1,500,000,000 shall be for unsubsidized guaranteed loans and \$1,223,686,000 shall be for direct loans; emergency loans, \$34,658,000; Indian tribe land acquisition loans, \$2,000,000; guaranteed conservation loans, \$150,000,000; Indian highly fractionated land loans, \$10,000,000; and for boll weevil eradication program loans, \$60,000,000: Provided, That the Secretary shall deem the pink bollworm to be a boll weevil for the purpose of boll weevil eradication program loans.

For the cost of direct and guaranteed loans and grants, including the cost of modifying loans as defined in section 502 of the Congressional Budget Act of 1974, as follows: farm ownership loans, \$4,428,000 for direct loans; operating loans, \$85,358,000, of which \$18,300,000 shall be for unsubsidized guaranteed loans, and \$67,058,000 shall be for direct loans; emergency loans, \$1,698,000, to remain available until expended; and Indian highly fractionated land loans, \$68,000.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$314,918,000 of which \$306,998,000 shall be paid to the appropriation for "Farm Service Agency, Salaries and Expenses".

Funds appropriated by this Act to the Agricultural Credit Insurance Program Account for farm ownership, operating and conservation direct loans and guaranteed loans may be transferred among these programs:

Provided, That the Committees on Appropriations of both Houses of Congress are notified at least 15 days in advance of any transfer.

**DAIRY INDEMNITY PROGRAM**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses involved in making indemnity payments to dairy farmers and manufacturers of dairy products under a dairy indemnity program, such sums as may be necessary, to remain available until expended: Provided, That such program is carried out by the Secretary in the same manner as the dairy indemnity program described in the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001 (Public Law 106–387, 114 Stat. 1549A-12).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12–1140–0–1–351	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0010	Administrative expenses - PLCE .....	7	8	8
Credit program obligations:				
0701	Direct loan subsidy .....	93	85	73
0702	Loan guarantee subsidy .....	16	26	18
0705	Reestimates of direct loan subsidy .....	135	86	.....
0706	Interest on reestimates of direct loan subsidy .....	31	41	.....
0707	Reestimates of loan guarantee subsidy .....	18	23	.....
0708	Interest on reestimates of loan guarantee subsidy .....	16	26	.....
0709	Administrative expenses .....	290	291	307
0791	Direct program activities, subtotal .....	599	578	398
0900	Total new obligations .....	606	586	406
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	2	.....
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	4	2	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	406	408	406
1160	Appropriation, discretionary (total) .....	406	408	406
Appropriations, mandatory:				
1200	Appropriation .....	199	176	.....
1260	Appropriations, mandatory (total) .....	199	176	.....
1900	Budget authority (total) .....	605	584	406
1930	Total budgetary resources available .....	609	586	406
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	.....	.....
1941	Unexpired unobligated balance, end of year .....	2	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	98	21	12
3010	Obligations incurred, unexpired accounts .....	606	586	406
3020	Outlays (gross) .....	-679	-595	-412
3041	Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050	Unpaid obligations, end of year .....	21	12	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	98	21	12
3200	Obligated balance, end of year .....	21	12	6
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	406	408	406
Outlays, gross:				
4010	Outlays from new discretionary authority .....	386	401	400
4011	Outlays from discretionary balances .....	94	18	12
4020	Outlays, gross (total) .....	480	419	412
Mandatory:				
4090	Budget authority, gross .....	199	176	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	199	176	.....
4180	Budget authority, net (total) .....	605	584	406
4190	Outlays, net (total) .....	679	595	412

**AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT—Continued**  
**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 12-1140-0-1-351	2012 actual	2013 CR	2014 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Farm Ownership .....	530	541	575
115002 Farm Operating .....	1,169	1,068	1,224
115003 Emergency Disaster .....	31	36	35
115004 IndianTribe Land Acquisition .....	2	2	2
115005 Boll Weevil Eradication .....	21	100	60
115010 Indian Highly Fractionated Land .....	11	11	10
115999 Total direct loan levels .....	1,751	1,758	1,906
<b>Direct loan subsidy (in percent):</b>			
132001 Farm Ownership .....	4.80	4.24	0.77
132002 Farm Operating .....	5.63	5.57	5.48
132003 Emergency Disaster .....	5.01	5.62	4.90
132004 IndianTribe Land Acquisition .....	.....	-14.85	-35.53
132005 Boll Weevil Eradication .....	-2.16	-2.54	-2.69
132010 Indian Highly Fractionated Land .....	.....	1.73	0.68
132999 Weighted average subsidy rate .....	5.27	4.65	3.72
<b>Direct loan subsidy budget authority:</b>			
133001 Farm Ownership .....	25	23	4
133002 Farm Operating .....	66	59	67
133003 Emergency Disaster .....	2	2	2
133004 IndianTribe Land Acquisition .....	.....	.....	-1
133005 Boll Weevil Eradication .....	.....	-3	-2
133999 Total subsidy budget authority .....	93	81	70
<b>Direct loan subsidy outlays:</b>			
134001 Farm Ownership .....	30	25	7
134002 Farm Operating .....	62	70	69
134003 Emergency Disaster .....	2	2	3
134004 IndianTribe Land Acquisition .....	.....	.....	-1
134005 Boll Weevil Eradication .....	.....	-2	-2
134999 Total subsidy outlays .....	94	95	76
<b>Direct loan upward reestimates:</b>			
135001 Farm Ownership .....	56	27	.....
135002 Farm Operating .....	83	69	.....
135003 Emergency Disaster .....	15	17	.....
135005 Boll Weevil Eradication .....	1	10	.....
135008 Credit Sales of Acquired Property .....	2	2	.....
135011 Conservation - Direct .....	1	.....	.....
135012 Farm Operating - ARRA .....	7	1	.....
135999 Total upward reestimate budget authority .....	165	126	.....
<b>Direct loan downward reestimates:</b>			
137001 Farm Ownership .....	-28	-63	.....
137002 Farm Operating .....	-33	-48	.....
137003 Emergency Disaster .....	-17	-16	.....
137005 Boll Weevil Eradication .....	-7	-2	.....
137008 Credit Sales of Acquired Property .....	-3	-3	.....
137999 Total downward reestimate budget authority .....	-88	-132	.....
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Farm Ownership—Unsubsidized .....	1,500	1,500	2,000
215002 Farm Operating—Unsubsidized .....	934	2,209	1,500
215005 Conservation - Guaranteed .....	.....	150	150
215999 Total loan guarantee levels .....	2,434	3,859	3,650
<b>Guaranteed loan subsidy (in percent):</b>			
232001 Farm Ownership—Unsubsidized .....	-0.01	-0.07	-0.16
232002 Farm Operating—Unsubsidized .....	1.74	1.19	1.22
232005 Conservation - Guaranteed .....	.....	-0.28	-0.36
232999 Weighted average subsidy rate .....	0.66	0.64	0.40
<b>Guaranteed loan subsidy budget authority:</b>			
233001 Farm Ownership—Unsubsidized .....	.....	-1	-3
233002 Farm Operating—Unsubsidized .....	16	26	18
233005 Conservation - Guaranteed .....	.....	.....	-1
233999 Total subsidy budget authority .....	16	25	14
<b>Guaranteed loan subsidy outlays:</b>			
234001 Farm Ownership—Unsubsidized .....	.....	-1	-3
234002 Farm Operating—Unsubsidized .....	15	24	18
234999 Total subsidy outlays .....	15	23	15
<b>Guaranteed loan upward reestimates:</b>			
235001 Farm Ownership—Unsubsidized .....	10	11	.....
235002 Farm Operating—Unsubsidized .....	15	26	.....
235003 Farm Operating—Subsidized .....	8	13	.....
235999 Total upward reestimate budget authority .....	33	50	.....
<b>Guaranteed loan downward reestimates:</b>			
237001 Farm Ownership—Unsubsidized .....	-16	-20	.....
237002 Farm Operating—Unsubsidized .....	-76	-35	.....

237003 Farm Operating—Subsidized .....	-28	-15	.....
237999 Total downward reestimate subsidy budget authority .....	-120	-70	.....
<b>Administrative expense data:</b>			
3510 Budget authority .....	298	298	315
3590 Outlays from new authority .....	296	298	315

The Agricultural Credit Insurance Fund program account's loans are authorized by Title III of the Consolidated Farm and Rural Development Act, as amended.

This program account includes subsidies to provide direct and guaranteed loans for farm ownership, farm operating, conservation, and emergency loans to individuals. This account also includes funding for individual development account grants. Indian tribes and tribal corporations are eligible for Indian land acquisition loans, while individual Native Americans are eligible for loans for the purchase of highly fractionated Indian lands. Boll weevil eradication loans are available to eliminate the cotton boll weevil pest from infested areas. The 2014 Budget does not provide funding for guaranteed subsidized farm operating loans or direct conservation loans. The 2013 estimated level is \$108.9 million for loan subsidies, and the 2014 Budget requests \$91.6 million for loan subsidies and grants, which is a decrease of \$17.3 million. However, the 2014 Budget request is sufficient to support about the same loan levels as those in 2013. The 2014 Budget also provides an increase in loan level of \$34.7 million for Emergency Loans, which have been funded through the use of carryover funds for the past several years.

As required by the Federal Credit Reform Act of 1990, this account records for this program the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. For administrative costs, the 2013 estimated level provides \$299.4 million, and the 2014 Budget requests \$314.9 million, which is an increase of \$15.5 million.

Under the Dairy Indemnity Program, payments are made to farmers and manufacturers of dairy products who are directed to remove their milk or milk products from commercial markets because they contain residues of chemicals that have been registered and approved by the Federal Government, other chemicals, nuclear radiation, or nuclear fallout. Indemnification may also be paid for cows producing such milk. In 2012, \$286,777 was paid to producers who filed claims under the program and the 2014 Budget requests such sums as may be necessary, which are estimated to be \$250,000 for this program.

**Object Classification** (in millions of dollars)

Identification code 12-1140-0-1-351	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	297	299	315
41.0 Grants, subsidies, and contributions .....	309	287	91
99.9 Total new obligations .....	606	586	406

**AGRICULTURAL CREDIT INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4212-0-3-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Capitalized costs .....	6	7	7
0005 Civil rights settlements .....	.....	3	3

0091	Direct program by activities - subtotal (1 level) .....	6	10	10
	Credit program obligations:			
0710	Direct loan obligations .....	1,751	1,758	1,906
0713	Payment of interest to Treasury .....	289	265	250
0740	Negative subsidy obligations .....		3	3
0742	Downward reestimate paid to receipt account .....	42	85	
0743	Interest on downward reestimates .....	47	48	
0791	Direct program activities, subtotal .....	2,129	2,159	2,159
0900	Total new obligations .....	2,135	2,169	2,169
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	856	381	79
1021	Recoveries of prior year unpaid obligations .....	64		
1023	Unobligated balances applied to repay debt .....	-856	-375	-75
1024	Unobligated balance of borrowing authority withdrawn .....	-60		
1050	Unobligated balance (total) .....	4	6	4
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	1,962	1,654	1,826
1440	Borrowing authority, mandatory (total) .....	1,962	1,654	1,826
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1,945	1,888	1,787
1801	Change in uncollected payments, Federal sources .....	-5		
1825	Spending authority from offsetting collections applied to repay debt .....	-1,390	-1,300	-1,300
1850	Spending auth from offsetting collections, mand (total) .....	550	588	487
1900	Financing authority (total) .....	2,512	2,242	2,313
1930	Total budgetary resources available .....	2,516	2,248	2,317
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	381	79	148

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	338	310	453
3010	Obligations incurred, unexpired accounts .....	2,135	2,169	2,169
3020	Financing disbursements (gross) .....	-2,099	-2,026	-2,180
3040	Recoveries of prior year unpaid obligations, unexpired .....	-64		
3050	Unpaid obligations, end of year .....	310	453	442
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-17	-17
3070	Change in uncollected pymts, Fed sources, unexpired .....	5		
3090	Uncollected pymts, Fed sources, end of year .....	-17	-17	-17
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	316	293	436
3200	Obligated balance, end of year .....	293	436	425

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....	2,512	2,242	2,313
Financing disbursements:				
4110	Financing disbursements, gross .....	2,099	2,026	2,180
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal Sources: Reestimate payment from program account .....	-165	-126	
4120	Federal Sources: Subsidy payment from program account .....	-94	-97	-79
4122	Federal Sources: Interest on uninvested funds .....	-52	-48	-50
4123	Repayments of principal .....	-1,406	-1,376	-1,426
4123	Repayments of interest .....	-223	-241	-232
4123	Other .....	-5		
4130	Offsets against gross financing auth and disbursements (total) .....	-1,945	-1,888	-1,787
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	5		
4160	Financing authority, net (mandatory) .....	572	354	526
4170	Financing disbursements, net (mandatory) .....	154	138	393
4180	Financing authority, net (total) .....	572	354	526
4190	Financing disbursements, net (total) .....	154	138	393

**Status of Direct Loans (in millions of dollars)**

Identification code 12-4212-0-3-351	2012 actual	2013 CR	2014 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans .....	1,812	1,726	1,906
1121	Limitation available from carry-forward .....	31	32	
1142	Unobligated direct loan limitation (-) .....	-60		

1143	Unobligated limitation carried forward (P.L. 106-113) (-) .....	-32		
1150	Total direct loan obligations .....	1,751	1,758	1,906
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	6,984	7,259	7,465
1231	Disbursements: Direct loan disbursements .....	1,707	1,623	1,902
1251	Repayments: Repayments and prepayments .....	-1,403	-1,376	-1,426
1261	Adjustments: Capitalized interest .....	8		
1263	Write-offs for default: Direct loans .....	-37	-41	-50
1290	Outstanding, end of year .....	7,259	7,465	7,891

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including credit sales of acquired property that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

This account finances direct loans for farm ownership, farm operating, emergency disaster, Indian land acquisition, Indian highly fractionated land, boll weevil eradication, conservation, and credit sales of acquired property.

**Balance Sheet (in millions of dollars)**

Identification code 12-4212-0-3-351	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	856	382
Investments in US securities:			
1106	Receivables, net .....	166	112
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	6,984	7,259
1402	Interest receivable .....	223	221
1403	Accounts receivable from foreclosed property .....	9	10
1405	Allowance for subsidy cost (-) .....	-434	-446
1405	Allowance for Interest Receivable (-) .....	-78	-80
1499	Net present value of assets related to direct loans .....	6,704	6,964
1999	Total assets .....	7,726	7,458
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....	7,635	7,316
2207	Non-Federal liabilities: Other .....	91	142
2999	Total liabilities .....	7,726	7,458
4999	Total upward reestimate subsidy BA [12-1140] .....	7,726	7,458

**AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 12-4213-0-3-351	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0003	Purchase of guaranteed loans .....	1	1	1
0004	Interest assistance .....	3	12	6
0091	Direct program by activities - subtotal (1 level) .....	4	13	7
Credit program obligations:				
0711	Default claim payments on principal .....	39	45	46
0712	Default claim payments on interest .....	1	1	1
0713	Payment of interest to Treasury .....	1	2	2
0740	Negative subsidy obligations .....		1	4
0742	Downward reestimate paid to receipt account .....	93	49	
0743	Interest on downward reestimates .....	26	20	
0791	Direct program activities, subtotal .....	160	118	53
0900	Total new obligations .....	164	131	60

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	197	145	167
1021	Recoveries of prior year unpaid obligations .....	22	30	30
1023	Unobligated balances applied to repay debt .....	-6	-1	-1
1050	Unobligated balance (total) .....	213	174	196

AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING  
ACCOUNT—Continued

Program and Financing—Continued

Identification code 12-4213-0-3-351	2012 actual	2013 CR	2014 est.	
<b>Financing authority:</b>				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	7	1	1
1440	Borrowing authority, mandatory (total) .....	7	1	1
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	87	123	72
1801	Change in uncollected payments, Federal sources .....	2		
1850	Spending auth from offsetting collections, mand (total) .....	89	123	72
1900	Financing authority (total) .....	96	124	73
1930	Total budgetary resources available .....	309	298	269
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	145	167	209

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	99	63	42
3010	Obligations incurred, unexpired accounts .....	164	131	60
3020	Financing disbursements (gross) .....	-178	-122	-50
3040	Recoveries of prior year unpaid obligations, unexpired .....	-22	-30	-30
3050	Unpaid obligations, end of year .....	63	42	22
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	99	61	40
3200	Obligated balance, end of year .....	61	40	20

**Financing authority and disbursements, net:**

<b>Mandatory:</b>				
4090	Financing authority, gross .....	96	124	73
Financing disbursements:				
4110	Financing disbursements, gross .....	178	122	50
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payments from program account upward reestimate .....	-48	-49	
4120	Payments from program account subsidy .....		-24	-18
4122	Interest on uninvested funds .....	-4	-4	-4
4123	Fees and premiums .....	-35	-42	-46
4123	Loss recoveries and repayments .....		-4	-4
4130	Offsets against gross financing auth and disbursements (total) .....	-87	-123	-72
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-2		
4160	Financing authority, net (mandatory) .....	7	1	1
4170	Financing disbursements, net (mandatory) .....	91	-1	-22
4180	Financing authority, net (total) .....	7	1	1
4190	Financing disbursements, net (total) .....	91	-1	-22

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 12-4213-0-3-351	2012 actual	2013 CR	2014 est.	
<b>Position with respect to appropriations act limitation on commitments:</b>				
2111	Limitation on guaranteed loans made by private lenders .....	2,611	3,859	3,650
2142	Uncommitted loan guarantee limitation .....	-177		
2150	Total guaranteed loan commitments .....	2,434	3,859	3,650
2199	Guaranteed amount of guaranteed loan commitments .....	2,384	3,473	3,285
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	12,483	12,837	14,004
2231	Disbursements of new guaranteed loans .....	2,649	3,684	3,679
2251	Repayments and prepayments .....	-2,157	-2,439	-2,661
<b>Adjustments:</b>				
2261	Terminations for default that result in loans receivable .....	-65	-65	-65
2263	Terminations for default that result in claim payments .....	-73	-13	-13
2290	Outstanding, end of year .....	12,837	14,004	14,944
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	11,346	12,604	13,450

Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	115	137	150
2331	Disbursements for guaranteed loan claims .....	41	24	24
2351	Repayments of loans receivable .....	-1	-1	-1
2361	Write-offs of loans receivable .....	-18	-10	-10
2390	Outstanding, end of year .....	137	150	163

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. This account finances commitments made for farm ownership, operating, and conservation guaranteed loan programs.

**Balance Sheet (in millions of dollars)**

Identification code 12-4213-0-3-351	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	296	206
1206	Non-Federal assets: Receivables, net .....	36	50
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	115	137
1505	Allowance for subsidy cost (-) .....	-113	-136
1599	Net present value of assets related to defaulted guaranteed loans .....	2	1
1999	Total assets .....	334	257
<b>LIABILITIES:</b>			
Federal liabilities:			
2104	Resources payable to Treasury .....	11	12
2105	Other .....	114	62
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	209	183
2999	Total liabilities .....	334	257
4999	Total liabilities and net position .....	334	257

AGRICULTURAL CREDIT INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4140-0-3-351	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0008	Loan recoverable costs .....	1	4	4
0108	Administrative expenses - Department of Justice fees .....		1	1
0109	Costs incidental to acquisition of real property .....	1	2	2
0118	Civil rights settlements .....		5	2
0191	Total operating expenses .....	1	8	5
0900	Total new obligations (object class 25.2) .....	2	12	9

**Budgetary Resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	38	22	
1021	Recoveries of prior year unpaid obligations .....	1		
1022	Capital transfer of unobligated balances to general fund .....	-38	-22	
1050	Unobligated balance (total) .....	1		
<b>Budget authority:</b>				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	203	152	133
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-180	-140	-124
1850	Spending auth from offsetting collections, mand (total) .....	23	12	9
1930	Total budgetary resources available .....	24	12	9
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	22		

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	1	4
3010	Obligations incurred, unexpired accounts .....	2	12	9
3020	Outlays (gross) .....	-2	-9	-11

3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	1	4	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	1	4
3200	Obligated balance, end of year .....	1	4	2

**Budget authority and outlays, net:**

Mandatory:

4090	Budget authority, gross .....	23	12	9
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	8	7
4101	Outlays from mandatory balances .....	1	1	4
4110	Outlays, gross (total) .....	2	9	11
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources Principal Repayments .....	-151	-109	-91
4123	Non-Federal sources Interest Repayments .....	-47	-38	-37
4123	Non-Federal sources Miscellaneous .....	-5	-5	-5
4130	Offsets against gross budget authority and outlays (total) ....	-203	-152	-133
4160	Budget authority, net (mandatory) .....	-180	-140	-124
4170	Outlays, net (mandatory) .....	-201	-143	-122
4180	Budget authority, net (total) .....	-180	-140	-124
4190	Outlays, net (total) .....	-201	-143	-122

**Status of Direct Loans (in millions of dollars)**

Identification code 12-4140-0-3-351	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	855	692	580
1251	Repayments: Repayments and prepayments .....	-151	-109	-91
1261	Adjustments: Capitalized interest .....	2	2	2
Write-offs for default:				
1263	Direct loans .....	-4	-5	-4
1264	Other adjustments, net (+ or -) .....	-10		
1290	Outstanding, end of year .....	692	580	487

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 12-4140-0-3-351	2012 actual	2013 CR	2014 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	17	13	10
2251	Repayments and prepayments .....	-4	-3	-3
2290	Outstanding, end of year .....	13	10	7
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	12	9	6

As required by the Federal Credit Reform Act of 1990, this account records for the farm loan programs all cash flows to and from the Government resulting from direct loans obligated, loan guarantees committed, and grants made prior to 1992. New loan activity in 1992 and beyond (including credit sales of acquired property that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts. Payments to settle certain discrimination claims against USDA may also be made from this account.

**Balance Sheet (in millions of dollars)**

Identification code 12-4140-0-3-351	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	40	23
1601	Loans Receivable .....	855	692
1602	Interest receivable .....	189	167
1603	Allowance for estimated uncollectible loans and interest (-) .....	-182	-168
1604	Direct loans and interest receivable, net .....	862	691
1606	Foreclosed property .....	13	12
1699	Value of assets related to direct loans .....	875	703
1999	Total assets .....	915	726
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....	913	725
2201	Non-Federal liabilities: Accounts payable .....	2	1

2999	Total liabilities .....	915	726
4999	Total liabilities and net position .....	915	726

**COMMODITY CREDIT CORPORATION FUND**  
**REIMBURSEMENT FOR NET REALIZED LOSSES**  
(INCLUDING TRANSFERS OF FUNDS)

For the current fiscal year, such sums as may be necessary to reimburse the Commodity Credit Corporation for net realized losses sustained, and not previously reimbursed, pursuant to section 2 of the Act of August 17, 1961 (15 U.S.C. 713a-11): Provided, That of the funds available to the Commodity Credit Corporation under section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i) for the conduct of its business with the Foreign Agricultural Service, up to \$5,000,000 may be transferred to and used by the Foreign Agricultural Service for information resource management activities of the Foreign Agricultural Service that are not related to Commodity Credit Corporation business.

**HAZARDOUS WASTE MANAGEMENT**  
(LIMITATION ON EXPENSES)

For the current fiscal year, the Commodity Credit Corporation shall not expend more than \$5,000,000 for site investigation and cleanup expenses, and operations and maintenance expenses to comply with the requirement of section 107(g) of the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9607(g)), and section 6001 of the Resource Conservation and Recovery Act (42 U.S.C. 6961).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 12-4336-0-3-999	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Commodity purchases and related inventory transactions .....	813	1,034	863
0002	Storage, transportation and other obligations .....	41	31	18
0003	Dairy export incentive program .....			3
0004	Market access program .....	200	200	200
0005	Technical Assistance for speciality crops .....	9	9	
0006	Emerging markets program .....	10	10	
0007	Foreign market development cooperative .....	35	35	
0008	Quality samples program .....	2	2	2
0009	Pilot program for regional food aid .....	1		
0010	Feed grains .....	1,774	2,249	2,250
0011	Wheat .....	873	1,108	1,069
0012	Rice .....	326	419	415
0013	Cotton .....	526	630	691
0014	Dairy program .....	403	370	
0015	Tobacco program .....	953	960	960
0016	Peanut program .....	66	68	68
0017	Wool and Mohair program .....			1
0018	Other Payment Activity .....	1,783		1
0023	Non-Insured assistance program .....	254	225	168
0024	Oilseeds payment program .....	448	579	553
0027	Technical Assistance for Brazilian Cotton Industry .....	294		
0028	Biomass Crop Assistance Program .....	7		
0029	Bio-Based Fuel Production .....		170	
0030	Marketing Loan Writeoffs .....		21	14
0036	Conservation reserve program (CRP) .....	1,824	1,989	2,040
0037	Emergency Forestry Conservation Reserve Program .....	6	6	6
0047	Reimbursable agreement/transfers to State and Federal Agencies .....	50	46	43
0048	Treasury .....	3	9	16
0049	Other interest .....	1	2	2
0052	Conservation Reserve Program Technical assistance .....	144	73	169
0056	Pigford Claims .....	25	50	
0057	BEHT Non-Commodity Costs .....		140	140
0058	Section 416b/FFP/ocean transportation .....	45	56	53
0192	Total support and related programs .....	10,916	10,491	9,745
0799	Total direct obligations .....	10,916	10,491	9,745
0801	Commodity loans .....	5,660	6,921	6,811
0802	Commodities procured - PL480 Titles II / III Commodity costs ....	745	925	730

COMMODITY CREDIT CORPORATION FUND—Continued  
Program and Financing—Continued

Identification code 12-4336-0-3-999	2012 actual	2013 CR	2014 est.
0804 P. L. 480 ocean transportation .....	865	925	730
0809 Reimbursable program activities, subtotal .....	7,270	8,771	8,271
0899 Total reimbursable obligations .....	7,270	8,771	8,271
0900 Total new obligations .....	18,186	19,262	18,016
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,601	823	879
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		55	
1021 Recoveries of prior year unpaid obligations .....	645		
1050 Unobligated balance (total) .....	2,246	878	879
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			55
1160 Appropriation, discretionary (total) .....			55
Appropriations, mandatory:			
1200 Appropriation .....	9,527	9,156	12,539
1220 Appropriations transferred to other accts [12-2500] .....	-10		
1220 Appropriations transferred to other accts [12-1003] .....	-40		
1220 Appropriations transferred to other accts [12-3507] .....	-21	-21	-21
1220 Appropriations transferred to other accts [12-1004] .....	-3,425	-3,919	-3,558
1220 Appropriations transferred to other accts [12-0123] .....	-1		
1220 Appropriations transferred to other accts [12-8015] .....	-2	-2	-2
1220 Appropriations transferred to other accts [12-1502] .....	-70		
1220 Appropriations transferred to other accts [12-2501] .....	-55	-55	
1220 Appropriations transferred to other accts [12-4085] .....	-6	-6	-6
1220 Appropriations transferred to other accts [12-2073] .....	-65	-80	-45
1220 Appropriations transferred to other accts [12-1908] .....	-22	-63	-41
1220 Appropriations transferred to other accts [12-1600] .....	-76	-50	-50
1220 Appropriations transferred to other accts [12-9915] .....	-22		
1220 Appropriations transferred to other accts [12-0502] .....	-19		
1220 Appropriations transferred to other accts [12-1002] .....		-165	-165
1220 Appropriations transferred to other accts [12-1955] .....		-3	-3
1236 Appropriations applied to repay debt .....	-5,693	-4,792	-8,648
Borrowing authority, mandatory:			
1400 Borrowing authority .....	19,508	9,148	8,064
1421 Borrowing authority applied to repay debt .....	-8,240		
1440 Borrowing authority, mandatory (total) .....	11,268	9,148	8,064
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	8,992	10,105	9,897
1800 MARAD Cargo Preference Reimbursements .....		10	5
1801 Change in uncollected payments, Federal sources .....	13		
1825 Spending authority from offsetting collections applied to repay debt .....	-3,510		
1850 Spending auth from offsetting collections, mand (total) .....	5,495	10,115	9,902
1900 Budget authority (total) .....	16,763	19,263	18,021
1930 Total budgetary resources available .....	19,009	20,141	18,900
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	823	879	884
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,370	9,931	9,969
3010 Obligations incurred, unexpired accounts .....	18,186	19,262	18,016
3020 Outlays (gross) .....	-15,980	-19,224	-18,119
3040 Recoveries of prior year unpaid obligations, unexpired .....	-645		
3050 Unpaid obligations, end of year .....	9,931	9,969	9,866
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-32	-45	-45
3070 Change in uncollected pymts, Fed sources, unexpired .....	-13		
3090 Uncollected pymts, Fed sources, end of year .....	-45	-45	-45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8,338	9,886	9,924
3200 Obligated balance, end of year .....	9,886	9,924	9,821
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			55
Outlays, gross:			
4010 Outlays from new discretionary authority .....			55
Mandatory:			
4090 Budget authority, gross .....	16,763	19,263	17,966
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9,063	13,577	13,155

4101 Outlays from mandatory balances .....	6,917	5,647	4,909
4110 Outlays, gross (total) .....	15,980	19,224	18,064
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 PL 480 Appropriation .....	-1,466	-1,400	-1,400
4120 Sales To Special Activities .....	-745	-925	-730
4120 Other Federal sources .....	-1,851	-970	-965
4123 Commodity Loans Repaid .....	-4,782	-6,593	-6,509
4123 Acre Loans Repaid .....	-50	-194	-257
4123 Sales and Other Proceeds .....	-30	-22	-23
4123 Interest Revenue .....	-68	-11	-18
4130 Offsets against gross budget authority and outlays (total) ....	-8,992	-10,115	-9,902
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-13		
4160 Budget authority, net (mandatory) .....	7,758	9,148	8,064
4170 Outlays, net (mandatory) .....	6,988	9,109	8,162
4180 Budget authority, net (total) .....	7,758	9,148	8,119
4190 Outlays, net (total) .....	6,988	9,109	8,217

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	7,758	9,148	8,119
Outlays .....	6,988	9,109	8,217
Legislative proposal, subject to PAYGO:			
Budget Authority .....			650
Outlays .....			650
Total:			
Budget Authority .....	7,758	9,148	8,769
Outlays .....	6,988	9,109	8,867

Status of Direct Loans (in millions of dollars)

Identification code 12-4336-0-3-999	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	5,660	6,921	6,811
1150 Total direct loan obligations .....	5,660	6,921	6,811
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	335	395	530
1231 Disbursements: Direct loan disbursements .....	5,660	6,921	6,811
1251 Repayments: Repayments and prepayments .....	-5,600	-6,786	-6,766
1290 Outstanding, end of year .....	395	530	575

The Commodity Credit Corporation (CCC) was created to: stabilize, support, and protect farm income and prices; help maintain balanced and adequate supplies of agricultural commodities, their products, foods, feeds, and fibers; and help in their orderly distribution.

The Corporation's capital stock of \$100 million is held by the U.S. Treasury. Under present law, up to \$30 billion may be borrowed from the U.S. Treasury to finance operations.

Current, indefinite appropriation authority is requested to cover all net realized losses. Appropriations to the Corporation for net realized losses have no effect on budget authority, as they are used to repay debt directly with the Treasury.

**Budget assumptions.**—The following general assumptions form the basis for the Corporation's 2013 and 2014 budget estimates: (a) national income will rise both in 2013 and 2014 from the present level; (b) 2013 crop production will increase from 2012 crop levels for some commodities; (c) generally, exports of agricultural commodities in 2014 are expected to be higher than 2013 levels; and (d) yields for the 2013 crops are based on recent averages adjusted for trends.

It is difficult to accurately forecast requirements for the year ending September 30, 2014, since the projections are subject to complex and unpredictable factors such as weather, other factors which affect the volume of production of crops not yet planted, feed, food, and energy needs here and overseas, and available dollar exchange.

Appropriations are made to reimburse the Corporation for net realized losses sustained in carrying out its operations.

USDA has incorporated stochastic price and production variability into its 10-year budget baseline process starting with the 2007 President's Budget. For the 2012–2023 crops, Commodity Credit Corporation outlay projections for counter-cyclical payments, Average Crop Revenue Election (ACRE) payments, marketing loan benefits, and Milk Income Loss Contract payments are based on price probability distributions and flexibilities generated by the Economic Research Service's Food and Agricultural Policy Simulation model. This approach was used for feed grains (corn, barley, sorghum, oats), wheat, rice, upland cotton, soybeans, sugar, and dairy.

#### 2014 ESTIMATE

(In millions of dollars)

Program	Gross obligations	Net outlays	Net realized loss for year
<b>Farm income, marketing assistance loans, and price support:</b>			
Commodity loans (non-ACRE) .....	6,551	42	0
ACRE loans .....	260	3	0
Feed grain payments .....	2,250	2,250	2,250
Wheat payments .....	1,069	1,069	1,069
Rice payments .....	415	415	415
Cotton payments .....	642	642	642
Oilseed payments .....	544	544	544
Other support and related .....	2,531	721	781
<b>Other items not distributed by program:</b>			
Interest .....	17	-2	-2
All other .....	62	313	62
<b>Total, farm income, marketing assistance loans, and price-support programs .....</b>	<b>14,341</b>	<b>5,997</b>	<b>5,761</b>
<b>Conservation programs:</b>			
Conservation reserve program .....	2,160	2,160	2,160
Emergency forestry conservation reserve program .....	5	5	5
Voluntary Public Access .....	0	0	0
Conservation Program Transfers to NRCS .....	0	0	3,670
<b>Total, conservation programs .....</b>	<b>2,165</b>	<b>2,165</b>	<b>5,835</b>
<b>Total, Commodity Credit Corporation .....</b>	<b>16,506</b>	<b>8,162</b>	<b>11,596</b>

#### PROGRAMS OF THE CORPORATION

*Price support, marketing assistance loans, and related stabilization programs.*—The Corporation conducts programs to support farm income and prices and stabilize the market for agricultural commodities. Price support is provided to producers of agricultural commodities through loans, purchases, payments, and other means. This is done mainly under the Commodity Credit Corporation Charter Act, as amended, the Agricultural Act of 1949 (1949 Act), as amended, the Farm Security and Rural Investment Act of 2002 (2002 Farm Bill), and the Food, Conservation and Energy Act of 2008 (2008 Farm Bill) as amended by the American Taxpayer Relief Act of 2012 (P.L. 112–240).

Price support is mandatory for sugar and dairy products. Marketing assistance loans are mandatory for wheat, feed grains, oilseeds, upland cotton, peanuts, rice, and pulse crops. Loans are also required to be made for sugar, honey, wool, mohair, and extra long staple cotton.

One method of providing support is loans to and purchases from producers. With limited exceptions, loans made on commodities are nonrecourse. The commodities serve as collateral for the loan and on maturity the producer may deliver or forfeit such collateral to satisfy the loan obligation without further payment.

Direct purchases may be made from processors as well as producers, depending on the commodity involved. Also, special purchases are made under various laws for the removal of surpluses; for example, the Act of August 19, 1958, as amended, and section 416 of the Agricultural Act of 1949, as amended.

*Direct Payments and Counter-Cyclical Payments.*—The 2002 Farm Bill established direct payments and counter-cyclical payments for May 2002 through 2007. The payments were extended through the 2012 crop year by the 2008 Farm Bill and through the 2013 crop year by the American Taxpayer Relief Act of 2012. The eligible commodities for both direct payments and counter-cyclical payments are wheat, corn, grain sorghum, barley, oats, upland cotton, rice, soybeans, other oilseeds, and peanuts. The 2008 Farm Bill adds the following as eligible commodities: long grain and medium grain rice and pulse crops, expanded to include large chickpeas.

*Direct Payments* are payments to producers for which payment yields and base acres are established. The commodity payment amount is calculated as follows: Payment Amount = specified rate x payment acres x payment yield. At the option of the producer, the producer can choose to receive advance payments (up to 22 percent) during the producer's selected month. The month selected may be any month during the period beginning on December 1 of the calendar year before the calendar year in which the crop of the covered commodity is harvested through the month within which the direct payment would otherwise be made. The direct payment rates established in the 2008 Farm Bill are the same as those in the 2002 Farm Bill; however, payment acres decrease from 85 percent to 83.3 percent of base acres for 2009–2011 crops, and no advance payments are available for the 2012 and subsequent crops.

*Counter-Cyclical Payments* are payments to producers for which payment yields and base acres are established for eligible commodities if it is determined that the effective commodity price is less than the target commodity price. Counter-cyclical payments will be made for the crop as soon as practicable after the end of the 12-month marketing year for the eligible commodity.

*Average Crop Revenue Election (ACRE) Payments.*—The 2008 Farm Bill adds the ACRE program for the 2009–2012 crop years and the American Taxpayer Relief Act of 2012 extended the ACRE program to the 2013 crop year. Producers who elect to enroll a farm in ACRE are eligible for ACRE payments in lieu of counter-cyclical payments on the farm and in exchange for a 20 percent reduction in direct payments on the farm and a 30 percent reduction in the marketing assistance loan rates for all commodities produced on the farm except that the loan rate for seed cotton loans will not be so reduced. The election to enroll a farm in ACRE may be made for any of the crop years 2009–2012, but once the election is made, it is irrevocable through the 2012 crop.

*Marketing assistance loans.*—The 2002 Farm Bill authorized producers of eligible crops to receive non-recourse marketing assistance loans from the government for any quantity of a loan commodity produced on the farm by pledging their production as loan collateral. This loan shall have a term of 9 months beginning on the first day of the first month after the month in which the loan is made. The loan cannot be extended. As a condition of the receipt of a marketing assistance loan, the producer shall comply with applicable conservation requirements under subtitle B of title XII of the Food Security Act of 1985 and applicable wetland protection requirements under subtitle C of title XII of the Act during the term of the loan. Producers of eligible commodities can repay a marketing assistance loan at a rate that is the lesser of (1) the loan rate established for the commodity plus interest; or (2) a rate that the Secretary determines. Special rules apply to upland cotton, rice, and extra long staple cotton. Crops eligible for marketing assistance loans include wheat, corn, barley, oats, grain sorghum, rice, upland cotton, soybeans, extra long staple cotton, other oilseeds, dry peas, lentils, small chickpeas, honey, wool, and mohair. The 2008 Farm Bill establishes specific

## COMMODITY CREDIT CORPORATION FUND—Continued

loan rates for long grain and medium grain rice and restricts loan rate adjustments to grade and quality factors. Also, large chickpeas are added as a new marketing assistance loan commodity with a higher loan rate than small chickpeas.

*Marketing loss assistance for asparagus producers.*—The 2008 Farm Bill authorizes the use of \$15 million to make payments to 2007 crop asparagus producers. Of the total, \$7.5 million was available to fresh market asparagus producers and \$7.5 million was available to frozen market asparagus producers.

*Peanut price support program.*—Under the 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012, peanuts qualify for ACRE or direct payments, counter-cyclical payments, marketing assistance loans and loan deficiency payments for the 2009 through 2013 crops.

The 2002 Farm Bill terminated the marketing quota programs and repealed price support programs. The prior quota programs stayed in effect for the 2001 crop only, with quota buyout compensation payments being made during 2002 through 2006. The prior price support programs remained in effect for the 2002 crop only, notwithstanding any other provision of law or crop insurance policy.

The 2002 Farm Bill established marketing assistance loans for the 2002 through 2007 crops, with the loan rate for peanuts of \$355 per ton. The 2008 Farm Bill continues this rate. The payment rate shall be the amount by which the established loan rate exceeds the rate at which a loan may be repaid.

*Tobacco program.*—The American Jobs Creation Act of 2004, P.L. 108–357, eliminated the program effective with the 2005 crop. In return for losing the program, growers and quota holders will receive a buyout. The owners of quota are being paid \$7 per pound for the quota they hold. The actual producers are being paid \$3 per pound for the quota they produced. The legislation eliminates all geographic and poundage restrictions on tobacco production as well as price support. The buyout is funded by assessments on the tobacco product manufacturers and importers. The program will cost \$10.14 billion, and the growers and quota holders will be paid over a 10-year period.

*Sugar program.*—Sugar qualifies for price support. The 2002 Farm Bill extended the national average sugar loan rates to cover through the 2007 crops at 18 cents per pound for raw cane sugar and 22.9 cents per pound for refined beet sugar. The 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 provides for escalating rates through crop year 2013. For raw cane sugar, the rate increases to 18.25 cents per pound for 2009, 18.5 cents per pound for 2010 and 18.75 cents per pound for 2011–2013. For refined beet sugar, the rates for crop year 2009–2013 are the raw cane sugar rate times 1.285. Loans are available to processors of domestically grown sugarcane and sugar beets for a term of nine months that does not begin or extend beyond the end/beginning of a fiscal year. The non-recourse loans extend through the 2007 crop for processors of domestically produced sugar beets and sugarcane including for in-process sugar. Loans for in-process sugar have a loan rate of 80 percent of the loan rate for raw cane sugar or refined beet sugar (based on the source material used). If forfeitures occur, the processor shall convert the in-process into final product at no cost to the CCC. Upon transfer, the processor will receive payment based on the loan rate less 80 percent of raw cane or refined beet sugar rate times the quantity of sugar transferred. The loan program will continue through the 2013 crop. The 2002 Farm Bill did not resume the sugar marketing assessment collections but authorized marketing allotments. The 2002 Act provided assistance for sugar donations in the amount of 10,000 tons to compensate

sugar producers who suffer losses incurred beyond existing CCC administered programs. This assistance was a one-time occurrence.

The 2008 Farm Bill extends the marketing allotment provisions of the 2002 Act, except they are now permanent and cannot be set at a level less than 85 percent of estimated sugar deliveries for human consumption. The 2008 Farm Bill introduces the Feedstock Flexibility Program, which requires the diversion of sugar from food use to ethanol producers, if needed, to keep sugar prices above levels at which sugar processors might otherwise forfeit sugar under loan to the CCC.

*Dairy program.*—The 2002 Farm Bill extended the Dairy Price Support Program from June 1, 2002 through December 31, 2007 at a rate of \$9.90 per hundredweight for milk containing 3.7 percent butterfat. The support program is carried out through the purchase of butter, nonfat dry milk, and cheese at prices that enable processors to pay dairy farmers, on average, the support price for milk. As under previous law, the Secretary may allocate the rate of price support between the purchase prices for nonfat dry milk and butter in a manner that minimizes CCC expenditures or other objectives, as the Secretary considers appropriate. Cash CCC inventory sales (with some exceptions) shall be at any price that the Secretary determines will maximize CCC returns. The 2002 Farm Bill repealed all legislative authority for the Dairy Recourse Loan Program but established a new Milk Income Loss Contract Program (MILC), under which the Secretary may contract with eligible producers to make monthly payments when milk prices fall below specified levels. The U.S. Troop Readiness, Veterans' Care, Katrina Recovery, and Iraq Accountability Appropriations Act, 2007 (P.L. 110–28) extended the MILC program through September 2007.

The 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 replaces the price support program of the 2002 Farm Bill with the Dairy Product Price Support Program, which is effective for calendar years 2008–2013. It requires the Secretary to support the price of cheddar cheese, butter and nonfat dry milk through purchases of such products at prices not less than \$1.13 per pound for cheddar cheese in blocks, not less than \$1.10 per pound for cheddar cheese in barrels, not less than \$1.05 per pound for butter, and not less than \$0.80 per pound for nonfat dry milk. Purchase prices for milk products may be adjusted lower based on preset levels of product net removals. The 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 extends the MILC program through September 30, 2013: The payment calculation percentage is raised from 34 percent to 45 percent and the payment quantity is raised from 2,400,000 to 2,985,000 million pounds per fiscal year effective October 1, 2008 through August 31, 2013. A feed cost adjuster is added that raises the \$16.94 base price when the national average ration cost exceeds \$7.35 per hundredweight for a given month.

Section 748(a) of the fiscal year 2010 USDA Appropriations Act, P.L. 111–80, appropriated \$60 million for the purchase of cheese and cheese products, which the Commodity Credit Corporation finished procuring in fiscal year 2011.

*Payment Limitations.*—In general, the 2002 Farm Bill revised the Food Security Act of 1985 (7 U.S.C. 1308) for payment limitations. The total amount of direct payments made to a person during any crop year for one or more covered commodities may not exceed \$40,000. The 2008 Farm Bill rescinds the three entity rule for payment limitation purposes for the 2009–2012 crops. Instead, payments are tracked as received directly or indirectly by an individual person or legal entity (otherwise termed direct attribution). Except for participants who elect to receive ACRE payments, the direct payment limitation remains at \$40,000 for

covered commodities in the 2008 Farm Bill, with a separate \$40,000 payment limitation for peanut direct payments. The payment limitation on counter-cyclical payments made to a person during any crop year for one or more covered commodities continues at \$65,000 in the 2008 Farm Bill, except for participants who elect to receive ACRE payments. For counter-cyclical payments, there is a separate \$65,000 payment limitation for peanut counter-cyclical payments. For producers that receive ACRE payments, the payment limit is \$65,000 plus the amount their direct payments are reduced due to their participation in ACRE. The total amount of gains and payments that a person may receive during any crop year under marketing assistance loan and loan deficiency payment provisions may not exceed \$75,000 for crop years 2002–2008. The 2008 Farm Bill rescinds the payment limitation for both marketing loan gains and loan deficiency payments beginning with the 2009 crop. Notwithstanding any other provision of law, an individual or entity shall not be eligible to receive any benefit described above if the average adjusted annual gross income of the individual or entity exceeds \$2,500,000, unless not less than 75 percent of the average adjusted gross income of the individual or entity is derived from farming, ranching, or forestry operations, as determined by the Secretary. The 2008 Farm Bill extends these provisions through the 2008 crop year, but makes commodity program payments subject to farm and nonfarm adjusted gross income (AGI) limits for 2009–2013 crop years. The AGI attributable to farming activities is adjusted farm gross income (AFGI), and the AGI attributable to other activities is adjusted nonfarm gross income (ANGI). If AFGI exceeds \$750,000, the person or entity is ineligible to receive commodity program payments, and if ANGI exceeds \$500,000, the person or entity is ineligible to receive payments. Commodity program payments include direct, counter-cyclical, ACRE, loan deficiency, marketing loan gain, NAP, supplemental crop disaster assistance, MILC, and trade adjustment assistance payments. The 2012 Enacted level included a general provision that prohibited direct payments to individuals or entities with an average adjusted gross income in excess of \$1 million. The 2013 Budget does not continue this limitation.

*Noninsured Assistance Program.*—The Agricultural Risk Protection Act of 2000 eliminated the area loss requirement for triggers and made other changes. It also included a provision that all types or varieties of a crop or commodity may be considered to be a single eligible crop for NAP assistance and provided additional funding in 2002 with annual increases through 2010. The 2008 Farm Bill amended the payment limitation provisions to conform with direct attribution of payments to a person of legal entity.

*Dairy Export Incentive Program (DEIP).*—DEIP provides cash bonus payments to exporters to facilitate commercial sales of U.S. dairy products in overseas markets. Estimates of the quantity of dairy products to be exported under DEIP and associated expenditures were formulated within the maximum allowable expenditure and quantity levels specified in conjunction with provisions of the Uruguay Round Agreement. Consequently, current baseline projections assume that DEIP will not exceed \$116.6 million annually during 2002–2012. Actual DEIP subsidies are further limited on a product-by-product basis under the Uruguay Round.

*Export Enhancement Program (EEP).*—The 2008 Farm Bill eliminated authority for the program.

*Market Access Program (MAP).*—Under the MAP, CCC Funds are used to reimburse participating organizations for a portion of the costs of carrying out overseas marketing and promotional activities. The 2008 Farm Bill, as amended by the American

Taxpayer Relief Act of 2012 continued the authority for the MAP program with funding of \$200 million for 2008–2013.

*Foreign Market Development Cooperator Program (FMD) and Quality Samples Program.*—Under the FMD program, cost-share assistance is provided to nonprofit commodity and agricultural trade associations to support overseas market development activities that are designed to remove long-term impediments to increased U.S. trade. The 2002 Farm Bill increased the available funds for this program to \$34.5 million for 2002 through 2007 and the 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 continues this funding level for 2008–2013. In addition, the budget proposes to increase discretionary funding for the program in fiscal year 2011 by \$34.5 million as part of a broader government wide initiative to increase export promotion.

CCC will fund the Quality Samples Program at an authorized annual level of \$2.5 million. Under this initiative, samples of U.S. agricultural products will be provided to foreign importers to promote a better understanding and appreciation for the high quality of U.S. products.

*Commodity Donations.*—The 2008 Farm Bill authorizes the donation of surplus commodity inventory to domestic nutrition programs. The Corporation may also donate commodities under the authority of section 416(b) of the Agricultural Act of 1949 to carry out programs of assistance in developing countries and friendly countries and pay costs associated with making the commodities available. Commodities that are acquired by CCC in the normal course of its domestic support operations will be available for donation. The Corporation may also use its funds to furnish commodities overseas under the authority of the Food for Progress Act of 1985; however, not more than \$55 million of the funds of the Corporation (exclusive of the costs of commodities) may be used for each fiscal year.

*The Bill Emerson Humanitarian Trust.*—The Bill Emerson Humanitarian Trust (BEHT) is a commodity and/or monetary reserve designed to ensure that the United States can meet its international food aid commitments. Assets of the Trust can be released any time the Administrator of the U.S. Agency for International Development determines that PL 480 Title II is inadequate to meet those needs in any fiscal year. When a release from the Trust is authorized, the Trust's assets cover all commodity costs associated with the release. All non-commodity costs, including ocean freight charges; internal transportation, handling, and storage overseas; and certain administrative costs are paid by CCC. The 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 extended the authorization to replenish the BEHT through 2013.

### Conservation Programs

*Conservation Programs.*—Conservation programs administered by the Farm Service Agency and the Natural Resources Conservation Service are funded through the Commodity Credit Corporation. These programs help farmers adopt and maintain conservation systems that protect water and air quality, reduce soil erosion, protect and enhance wildlife habitat and wetlands, conserve water, and sequester carbon.

*Conservation Reserve Program (CRP).*—Administered by FSA, the purpose of CRP is to cost-effectively assist farm owners and operators in conserving and improving soil, water, air, and wildlife resources by converting highly erodible and other environmentally sensitive acreage normally devoted to the production of agricultural commodities to a long-term resource-conserving cover. CRP participants enroll contracts for periods from 10 to 15 years in exchange for annual rental payments and cost-share and technical assistance for installing approved conservation practices.

## COMMODITY CREDIT CORPORATION FUND—Continued

The CRP is authorized in all 50 States, Puerto Rico, and the Virgin Islands, on all highly erodible cropland, other environmentally sensitive cropland, and certain marginal pastureland meeting the eligibility criteria. In addition to cropland in areas adjacent to lakes and streams converted to buffers, and cropland that can serve as restored or constructed wetlands, eligible land may include cropland contributing to water quality problems, and other lands posing environmental threats. Also eligible for the CRP are water quality or wildlife habitat impaired areas that do not meet the Highly Erodible Land (HEL) criteria, such as the Chesapeake Bay, Great Lakes, and Long Island Sound watershed regions.

CRP was established by the 1985 Food Security Act and amended and extended under subsequent farm bills. In the 2008 Farm Bill (P.L. 110–246) CRP was re-authorized through September 30, 2012. The 2008 Farm Bill permitted CRP to enroll up to 32 million acres at any one time beginning October 1, 2009; expanded Farmable Wetlands Program (FWP) eligibility; included provisions for funding a tree thinning cost-share program; and included a program transitioning expiring CRP lands from retiring producers to beginning and socially disadvantaged farmers. Most recently, the American Taxpayer Relief Act of 2012 (P.L. 112–240) extended the CRP enrollment period through September 30, 2013.

CRP enrolls land through general signups, Conservation Reserve Enhancement Program (CREP) signups, and non-CREP continuous signups, including FWP. Under general signup provisions, producers compete nationally during specified enrollment periods for acceptance based on an environmental benefits index. Under continuous signup provisions, producers enroll specified high-environmental value lands such as wetlands, riparian buffers, and various types of habitat at any time during the year without competition.

General signups were held in fiscal years 2011 and 2012, in which 2.7 million acres and 3.6 million acres, respectively, were enrolled. Approximately 620,000 acres were enrolled under FY 2012s continuous signup. The budget assumes a general signup of approximately 2.8 million acres in 2013, and a continuous signup of about 700,000 acres. Under continuous signup, including CREP and FWP, a combined total of 5.3 million acres were under contract as of the end of fiscal year 2012.

Fiscal year 2012 ended with 29.5 million acres under contract. With contracts expiring on 6.5 million acres on September 30, 2012 and contracts beginning on 3.6 million acres from FY 2012's general signup and 500,000 acres of continuous signup, 2012 enrollment began with 27.1 million acres under contract. General and continuous signup are assumed to be held annually with enrollment projected to range between 30 and 32 million acres throughout the baseline period. For FY2014, the Budget proposes to allow up to \$50 million in mandatory funding for FSA to administer the CRP. Finally, the Budget includes a legislative proposal to gradually reduce the total acreage cap for CRP to 25 million acres.

For those conservation programs administered by the Natural Resources Conservation Service (NRCS), funding is transferred from CCC to NRCS's Farm Security and Rural Investment Programs account (see the NRCS section). Specifically, these programs include: the Environmental Quality Incentives Program; the Wetlands Reserve Program; the Wildlife Habitat Incentives program; the Farmland Protection Program; the Conservation Security Program; the Conservation Stewardship Program; the Chesapeake Bay Watershed Program; the Agriculture Water Enhancement Program; the Healthy Forest Reserve Program;

and the Grassland Reserve Program. NRCS also receives funding from the CCC to carry out technical assistance for the Conservation Reserve Program and to carry out part of the Agricultural Management Assistance Program (see below).

*Voluntary Public Access and Habitat Incentive Program (VPA-HIP).*—was established by the Food Security Act of 1985, as amended with the passage of the Food, Conservation, and Energy act of 2008 (2008 Farm Bill). VPA-HIP is a competitive grant program, with up to \$50 million available through FY 2012. Funding is limited to State and tribal governments establishing new public access programs, expanding existing public access programs, and/or enhancing wildlife habitat on lands enrolled in public access programs.

The primary objective of the VPA-HIP is to encourage owners and operators of privately-held farm, ranch, and forest land to voluntarily make that land available for access by the public for wildlife-dependent recreation, including hunting or fishing, under programs implemented by State or tribal governments. VPA-HIP will provide environmental, economic and social benefits including, but not limited to, enhanced wildlife habitat, improved wildlife populations, increased revenue for rural communities, and expanded opportunities for re-connecting Americans with the great outdoors. To date, nearly \$30 million of VPA-HIP funding has been obligated to 26 state fish and wildlife agencies and one tribal government entity. Pursuant to the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112–55), no funding was made available for VPA-HIP in fiscal year 2012. The 2013 Budget proposes funding for a similar program to be administered by the Natural Resources Conservation Service. However, in January 2013, The American Taxpayer Relief Act of 2012 (P.L. 112–240) authorized up to \$10 million to be appropriated for VPA-HIP in fiscal year 2013 but funds still must be appropriated through separate legislation.

*Biomass Crop Assistance Program (BCAP).*—The 2008 Farm Bill amended the 2002 Farm Bill to authorize this program to support the establishment and production of eligible crops for conversion to bioenergy in selected BCAP project areas; and to assist agricultural and forest land owners and operators with the collection, harvest, storage, and transportation of eligible material for use in a biomass conversion facility.

BCAP is a primary component of the domestic agriculture, energy, and environmental strategy to reduce U.S. reliance on foreign oil, improve domestic energy security, reduce carbon pollution, and spur rural economic development and job creation. BCAP is the only federal program focused on growing the crops needed for bioenergy production (heat, power, liquid fuels). BCAP provides two categories of assistance: (1) establishment costs and annual payments to produce eligible biomass crops; and (2) matching payments for the delivery of eligible material to qualified biomass conversion facilities by eligible material owners.

For establishment and annual payments in FY 2012, BCAP processed the submission of project proposals seeking more than \$80 million to enroll more than 76,000 acres. FSA designated two new project areas and expanded on existing project area, targeting acreage signup in FY 2012 of 9,000 acres. BCAP project area signups were held from June to September 2012, resulting in the enrollment of over 3,129 acres for three different feedstocks (shrub willow, switch grass, & two varieties of giant miscanthus) with intended conversion to fuel pellets, bio-ethanol, and biobased products.

The total BCAP obligations for fiscal year 2012 were approximately \$11.6 million. The fiscal year 2012 BCAP matching payments total investment (obligation) was approximately \$557,443, supporting the collection, harvest, storage and transportation of

nearly 10,320 dry tons of herbaceous crop residue (corn stover) for conversion to bioenergy in fiscal year 2012. Fiscal year 2012 BCAP obligations for appeals, technical assistance, IT and other administrative costs totaled approximately \$2.7 million. The Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112–55) limited funding for the program to \$17 million. In January 2013, the American Taxpayer Relief Act of 2012 (P.L. 112–240) authorized up to \$20 million to be appropriated for BCAP in fiscal year 2013 but funds must still be appropriated through separate legislation.

**Agricultural Management Assistance Program.**—The Agricultural Risk Protection Act of 2000 authorized CCC funding of \$10 million for 2001 and subsequent years for the Agricultural Management Assistance Program (AMAP). AMAP provides cost-share assistance to producers in states in which Federal Crop Insurance Program participation is historically low as determined by the Secretary of Agriculture. The Secretary delegated authority to implement this program to the Natural Resources Conservation Service, Risk Management Agency, and the Agricultural Marketing Service. The 2008 Farm Bill increased funding to \$15 million for 2008–2012 and increased to 16 the number of States eligible to participate. P.L. 112–55 extended the \$15 million level through fiscal year 2014. The 2014 Budget proposes a reduction of the level to \$10 million in FY 2014.

**Emergency Forestry Conservation Reserve Program (EFCRP).**—The Department of Defense, Emergency Supplemental Appropriations to Address Hurricanes in the Gulf of Mexico, and Pandemic Influenza Act of 2006, P.L. 109–148, as amended by P.L. 109–234 and P.L. 110–28, mandates that the Secretary shall carry out an emergency pilot program in States that the Secretary determines have suffered damage to merchantable timber in counties affected by hurricanes during the 2005 calendar year. The Act provided \$404.1 million for this program, called the Emergency Forestry Conservation Reserve Program (EFCRP). P.L. 109–234 increased funding for EFCRP by \$100 million, to \$504.1 million. P.L. 110–28 lifted a restriction limiting the program to calendar year 2006. Signup ended on January 30, 2009. There were 231,365 acres enrolled as of September 30, 2012. These acres have not counted against the CRP maximum program authority for acreage enrollment.

**Loan operations.**—The following table reflects commodity loan operations of the Corporation:

[In millions of dollars]				
Item	2012 actual	2013 est.	2014 est.	
Loans outstanding, gross, start of year:				
Commodity Credit Corporation .....	335	395	530	
Additional loans made .....	5,660	6,921	6,811	
Deduct:				
Loans repaid .....	–5,600	–6,786	–6,766	
Acquisition of loan collateral .....	0	0	0	
Write-offs .....	0	0	0	
Total loans outstanding, gross, end of year .....	395	530	575	

**Inventory operations.**—The following table reflects the inventory operations applicable to the preceding programs:

AGRICULTURAL COMMODITIES				
[In millions of dollars]				
Item	2012 actual	2013 est.	2014 est.	
On hand, start of year, gross .....	53	14	0	
Acquisitions:				
Forfeiture of loan collateral .....	0	0	1	
Excess of collateral acquired over loans canceled .....	0	0	0	
Purchases .....	813	1,034	828	
Transfers and exchanges .....	0	0	0	
Carrying charges:				
Charges to inventory .....	0	0	0	

Storage and handling (non-add) .....	1	1	0
Transportation (non-add) .....	0	0	0
Total acquisitions .....	813	1,034	828
Dispositions:			
Domestic donations to:			
Families .....	3	0	0
Institutions .....	1	0	0
Total domestic donations .....	4	0	0
Export donations .....	95	123	98
Sales and transfers:			
Special programs: Title II, Public Law 480 .....	745	925	730
Other sales .....	8	0	0
Net loss or gain (-) on sales and transfers .....	0	0	0
Total sales and transfers .....	753	925	730
Total dispositions .....	852	1,048	828
On hand, end of year, gross .....	14	0	0

**Other data.**—The following table reflects other data which are applicable to price support and related programs:

DATA ON SUPPORT AND RELATED PROGRAMS

[In millions of dollars]				
Item	2012 actual	2013 est.	2014 est.	
Loans made .....	5,660	6,698	6,551	
Loans repaid .....	5,600	6,593	6,509	
Loan collateral forfeited .....	0	0	0	
Loans outstanding, end of year .....	395	500	542	
Acquisitions .....	813	1,034	828	
Cost of commodities sold .....	753	925	730	
Cost of commodities donated .....	99	123	98	
Inventory, end of year .....	14	0	0	
Investment in loans and inventory, end of year .....	409	500	542	
Direct producer payments .....	7,300	8,565	8,120	
Net expenditures .....	6,988	9,109	8,162	
Realized losses .....	9,156	12,539	11,596	

**Operating expenses.**—The Corporation carries out its functions through utilization of employees and facilities of other Government agencies. Administrative expenses are incurred by: the Farm Service Agency (FSA); the Foreign Agricultural Service; the Natural Resources Conservation Service; the Risk Management Agency; other agencies of the Department engaged in the Corporation's activities; and the Office of the Inspector General for audit functions. Additional expenses are incurred by FSA county offices for work related to programs of the Corporation, other FSA expenses offset by revenue, custodian, and agency expenses of the Federal Reserve banks and lending agencies, and miscellaneous costs.

Expenses are incurred for acquisition, operation, maintenance, improvement, or disposition of existing property that the Corporation owns or in which it has an interest. These expenses are treated as program expenses. Such program expenses include inspection, classing, and grading work performed on a fee basis by Federal employees or Federal- or State-licensed inspectors; and special services performed by Federal agencies within and outside this Department. Most of these general expenses, including storage and handling, transportation, inspection, classing and grading, and producer storage payments, are included in program costs. They are shown in the program and financing schedule in the entries entitled "Storage, transportation, and other obligations not included above."

Section 161 of the 1996 Act amended Section 11 of the CCC Charter Act to limit the use of CCC funds for the transfer and allotment of funds to State and Federal agencies. The Section 11 cap of \$56 million including FSA loan service fees remains at \$56 million in 2011 and 2012.

COMMODITY CREDIT CORPORATION FUND—Continued

The Corporation receives reimbursement for grain requisitioned pursuant to Public Law 87–152 by the States from Corporation stocks to feed resident wildlife threatened with starvation through the appropriation reimbursement for net realized losses. There have been no requisitions in recent years, however. The Corporation receives reimbursement for the commodity costs and other costs, including administrative costs, for commodities supplied to domestic nutrition programs and international food aid programs.

**FINANCING**

*Borrowing authority.*—The Corporation has an authorized capital stock of \$100 million held by the U.S. Treasury and, effective in 1988, authority to have outstanding borrowings up to \$30 billion at any one time.

Funds are borrowed from the Treasury and may also be borrowed from private lending agencies and others. The Corporation reserves a sufficient amount of its borrowing authority to purchase at any time all notes and other obligations evidencing loans made to the Corporation by such agencies and others. All bonds, notes, debentures, and similar obligations issued by the Corporation are subject to approval by the Secretary of the Treasury as required by the Act of March 8, 1938.

Interest on borrowings from the Treasury (and on capital stock) is paid at a rate based upon the average interest rate of all outstanding marketable obligations (of comparable maturity date) of the United States as of the preceding month. Interest is also paid on other notes and obligations at a rate prescribed by the Corporation and approved by the Secretary of the Treasury.

The Department of Agriculture and Related Agencies Appropriation Act, 1966, made provision for terminating interest after June 30, 1964 on the portion of the Corporation's borrowings from the Treasury equal to the unreimbursed realized losses recorded on the books of the Corporation after the end of the fiscal year in which such losses are realized.

**POSITION WITH RESPECT TO BORROWING AUTHORITY, END OF YEAR**

Item	[In millions of dollars]		
	2012 actual	2013 est.	2014 est.
Statutory borrowing authority .....	30,000	30,000	30,000
Deduct: Borrowings from Treasury .....	15	3,653	3,003
Net statutory borrowing authority available .....	29,985	26,347	26,997

Note.—Accounts payable, accrued liabilities, and other outstanding obligations not reflected on this table do not become charges against the statutory borrowing authority until they result in borrowings from the Treasury.

*Contract authority.*—Price support and other programs required by statute may result in the Corporation incurring obligations in excess of available funds and borrowing authority. Such obligations are liquidated from subsequent appropriations and other funds that may become available to the Corporation. Any increase in obligations in excess of available fund resources is reported as contract authority in the year involved; a decrease is reported as the application of appropriations and other funds to liquidate the authority.

*Appropriations.*—Under section 2 of Public Law 87–155 annual appropriations are authorized for each fiscal year to reimburse the Corporation for net realized losses incurred as of the close of each year.

The special activities are financed as indicated in the program descriptions above. In addition to certain reimbursements from other agencies, appropriations are made for foreign assistance programs.

*Deficit.*—The net realized losses of the Corporation have previously been reimbursed as follows:

**SUPPORT AND RELATED PROGRAMS**

[In millions of dollars]

	2012 actual
Realized losses, 1933 to 2012, inclusive .....	515,045
Reimbursements by the Treasury: .....	
Reimbursements of realized losses: .....	
Appropriations (73 times) .....	502,731
Note cancellations (6 times) .....	2,698
Less dividends paid to Treasury (4 times) .....	–138
Total reimbursements for net realized losses .....	505,291
Other reimbursements: .....	
Appropriations (2 times) .....	542
Note cancellation (1 time) .....	56
Total other reimbursements .....	598
Total .....	505,889
Realized deficit as of September 30, 2012, support and related programs .....	9,156

**Balance Sheet (in millions of dollars)**

Identification code 12–4336–0–3–999	2011 actual	2012 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	1,876	896
Investments in US securities:		
1106 Receivables, net .....	360	438
<b>Non-Federal assets:</b>		
1206 Receivables, net .....	66	121
1207 Advances and prepayments .....	70	68
1601 Direct loans, gross .....	335	395
1602 Interest receivable .....	1	1
1699 Value of assets related to direct loans .....	336	396
<b>Other Federal assets:</b>		
1802 Inventories and related properties .....	3	
1803 Property, plant and equipment, net .....	48	29
1901 Other assets .....	31	15
1999 Total assets .....	2,790	1,963
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2101 Accounts payable .....	1	1
2103 Debt .....	22	315
2105 Other .....	1,344	1,617
<b>Non-Federal liabilities:</b>		
2201 Accounts payable .....	56	56
2207 Other .....	6,471	6,468
2999 Total liabilities .....	7,894	8,457
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	111	111
3300 Cumulative results of operations .....	–5,215	–6,605
3999 Total net position .....	–5,104	–6,494
4999 Total liabilities and net position .....	2,790	1,963

**Object Classification (in millions of dollars)**

Identification code 12–4336–0–3–999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
22.0 Transportation of things .....	43	193	193
25.2 Other services from non-Federal sources .....	262	162	181
25.2 Other services: Storage and handling .....	1	1	
26.0 Supplies and materials: Costs of commodities sold or donated .....	813	1,034	863
41.0 Grants, subsidies, and contributions .....	9,794	9,040	8,491
42.0 Insurance claims and indemnities .....		50	
43.0 Interest and dividends .....	3	11	17
99.0 Direct obligations .....	10,916	10,491	9,745
<b>Reimbursable obligations:</b>			
22.0 Transportation of things: P. L. 480 ocean transportation .....	865	925	730
26.0 Supplies and materials - Cost of Commodities Procured/Donated - PL 480 .....	745	925	730
33.0 Investments and loans .....	5,660	6,921	6,811
99.0 Reimbursable obligations .....	7,270	8,771	8,271

99.9	Total new obligations .....	18,186	19,262	18,016
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COMMODITY CREDIT CORPORATION FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 12-4336-4-3-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Mandatory Disaster Assistance .....		650
0192	Total support and related programs .....		650
0900	Total new obligations (object class 41.0) .....		650
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		650
1260	Appropriations, mandatory (total) .....		650
1930	Total budgetary resources available .....		650
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		650
3020	Outlays (gross) .....		-650
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		650
Outlays, gross:			
4100	Outlays from new mandatory authority .....		650
4180	Budget authority, net (total) .....		650
4190	Outlays, net (total) .....		650

As part of the President's commitment to fiscal responsibility, the Budget includes significant offsets. The proposals include programmatic changes that:

1. *Eliminate Direct Payments.*—The direct payment program provides producers fixed annual income payments for covered commodities based upon historical planted acres and yields. Payments are made regardless of whether the farmer is currently producing those crops. Direct payments do not vary based upon actual production or prices. As a result, landowners receive direct payments during times of record profitability, yet the direct payments may not provide an adequate safety-net during difficult times. Eliminating them would save the Government roughly \$3 billion per year.

2. *Cap the Conservation Reserve Program Acreage.*—Private lands conservation efforts play a critical role in conserving the Nations soil, water, and related natural resources. The Administration is very supportive of programs that create incentives for private lands conservation and has made great strides in leveraging these resources with those of other Federal agencies towards greater landscape-scale conservation. However, in light of the current economic realities and to reduce the deficit, the Administration proposes to cap the maximum allowable acreage enrollment in the Conservation Reserve Program at 25 million acres, saving about \$2.2 billion over 10 years when compared to the 2014 Budget's baseline.

3. *Extend Mandatory Disaster Assistance.*—The Administration strongly supports disaster assistance programs that protect farmers in their time of greatest need. The Food, Conservation, and Energy Act of 2008 provided producers with mandatory disaster assistance programs for the 2008 to 2011 crops. To strengthen the safety net, the Administration proposes to extend some of these programs. In particular, the Administration proposes to extend mandatory funding, through the Commodity Credit Corporation, for the Livestock Indemnity Program, Livestock Forage Program, Emergency Assistance for Livestock,

Honey Bees and Farm Raised Fish, and Tree Assistance Program. The programs provide financial assistance to producers when they suffer a loss of livestock or the ability to graze their livestock, loss of trees in an orchard, and other losses due to diseases or adverse weather. This proposal is estimated to cost about \$3 billion over 10 years.

4. *Provide Gross Margin Protection for Dairy Producers.*—The Administration supports a strong safety net for dairy producers. While row crop producers are experiencing record or near record prices for the corn and soy beans they raise, the profits of dairy producers are being squeezed by rising feed costs. Dairy gross margin insurance, available through the Federal crop insurance program, would allow producers to purchase insurance coverage to protect their profitability. Federal support for livestock insurance products, including dairy gross margin insurance, is currently capped at \$20 million per year. This proposal would provide an additional \$100 million per year, from the funds of the Commodity Credit Corporation, to support the dairy gross margin insurance program available through the Federal crop insurance program.

5. *Provide Funding for Other Administration Priorities.*—The Administration remains strongly committed to programs that create jobs, expand markets for existing products, and help develop the next generation of farmers and ranchers. To accomplish these goals, the Administration proposes additional funding to extend the Biomass Research and Development Initiative and the Rural Energy for America Program and provide funding for organics, specialty crops, and beginning farmers. These proposals would invest an additional \$1.3 billion in these high priority initiatives.

COMMODITY CREDIT CORPORATION EXPORT (LOANS) CREDIT GUARANTEE PROGRAM ACCOUNT  
(INCLUDING TRANSFERS OF FUNDS)

For administrative expenses to carry out the Commodity Credit Corporation's export guarantee program, GSM 102 and GSM 103, \$6,748,000; to cover common overhead expenses as permitted by section 11 of the Commodity Credit Corporation Charter Act and in conformity with the Federal Credit Reform Act of 1990, of which \$6,394,000 shall be paid to the appropriation for "Foreign Agricultural Service, Salaries and Expenses", and of which \$354,000 shall be paid to the appropriation for "Farm Service Agency, Salaries and Expenses".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-1336-0-1-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707	Reestimates of loan guarantee subsidy .....	49	52
0708	Interest on reestimates of loan guarantee subsidy .....	20	7
0709	Administrative expenses .....	7	7
0900	Total new obligations .....	76	66
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	20	
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	7	7
1160	Appropriation, discretionary (total) .....	7	7
Appropriations, mandatory:			
1200	Appropriation - upward reestimate .....	69	59

COMMODITY CREDIT CORPORATION EXPORT (LOANS) CREDIT GUARANTEE PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 12-1336-0-1-351	2012 actual	2013 CR	2014 est.
1230 Unobligated balance of appropriations permanently reduced .....	-20		
1260 Appropriations, mandatory (total) .....	49	59	
1900 Budget authority (total) .....	56	66	7
1930 Total budgetary resources available .....	76	66	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	76	66	7
3020 Outlays (gross) .....	-76	-66	-7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	7	7
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	7	7
Mandatory:			
4090 Budget authority, gross .....	49	59	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	69	59	
4180 Budget authority, net (total) .....	56	66	7
4190 GSM 103 [12-4337] .....	76	66	7

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1336-0-1-351	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 GSM 102 .....	4,132	5,400	5,400
215003 Export guarantee program—Facilities .....		100	100
215999 Total loan guarantee levels .....	4,132	5,500	5,500
Guaranteed loan subsidy (in percent):			
232001 GSM 102 .....	-0.69	-1.10	-1.07
232003 Export guarantee program—Facilities .....		-4.65	-4.67
232999 Weighted average subsidy rate .....	-0.69	-1.16	-1.14
Guaranteed loan subsidy budget authority:			
233001 GSM 102 .....	-29	-59	-58
233003 Export guarantee program—Facilities .....		-5	-5
233999 Total subsidy budget authority .....	-29	-64	-63
Guaranteed loan subsidy outlays:			
234001 GSM 102 .....	-34	-53	-57
234003 Export guarantee program—Facilities .....		-2	-2
234999 Total subsidy outlays .....	-34	-55	-59
Guaranteed loan upward reestimates:			
235001 GSM 102 .....	65	55	
235002 Supplier Credit .....	4	3	
235999 Total upward reestimate budget authority .....	69	58	
Guaranteed loan downward reestimates:			
237001 GSM 102 .....	-4	-24	
237002 Supplier Credit .....	-3	-4	
237999 Total downward reestimate subsidy budget authority .....	-7	-28	
Administrative expense data:			
3510 Budget authority .....	7	7	7
3590 Outlays from new authority .....	7	7	7

This is the program account for the GSM-102 CCC Export Credit Guarantee Program. The GSM-102 Export Credit Guarantee Program covers credit terms of up to three years. Under this program, CCC does not provide financing, but guarantees payments due from foreign banks and buyers. Because payment is guaranteed, financial institutions in the United States can offer competitive credit terms to foreign banks, usually with interest rates based on the London Inter-Bank Offered Rate (LIBOR). If the foreign bank fails to make any payment as agreed, the exporter or assignee must submit a notice of default to the CCC. A claim for loss must be filed, and the CCC will promptly pay claims found to be in good order. CCC usually guarantees 98 percent of

the principal payment due and interest based on a percentage of the one-year Treasury rate.

A portion of the GSM-102 guarantees is also made available as Facilities Guarantees. Under this activity, CCC guarantees export financing for capital goods and services to improve handling, marketing, processing, storage, or distribution of imported agricultural commodities and products.

The subsidy estimates for the GSM-102 program are determined in large part by the obligor's sovereign or non-sovereign country risk grade. These grades are developed annually by the International Credit Risk Assessment System Committee (ICRAS). In unusual circumstances, an ICRAS grade for a country may change during the fiscal year. The default estimates for GSM-102 guarantees still use the ICRAS grades, but are now based on programmatic experience and country-specific assumptions rather than the government-wide risk premia used previously.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the credit guarantees committed in 1992 and beyond (including modifications of credit guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. The 2014 Budget displays the GSM loan guarantee volume, the subsidy level that can be justified by forecast economic conditions, and the expected supply/demand conditions of countries requesting GSM loan guarantees. The 2014 Budget includes \$6.8 million for administrative expenses.

Object Classification (in millions of dollars)

Identification code 12-1336-0-1-351	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	7	7	7
41.0 Grants, subsidies, and contributions .....	69	59	
99.9 Total new obligations .....	76	66	7

COMMODITY CREDIT CORPORATION EXPORT GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4337-0-3-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		92	92
0713 Payment of interest to Treasury .....	25	27	29
0715 Pro Rate Share of Claims paid to banks .....	2	3	3
0740 Negative subsidy obligations .....	29	64	63
0742 Downward reestimate paid to receipt account .....	1	16	
0743 Interest on downward reestimates .....	6	11	
0900 Total new obligations .....	63	213	187
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	275	138	179
1023 Unobligated balances applied to repay debt .....	-159	-27	
1050 Unobligated balance (total) .....	116	111	179
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		119	126
1440 Borrowing authority, mandatory (total) .....		119	126
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	174	163	117
1825 Spending authority from offsetting collections applied to repay debt .....	-89	-1	-1
1850 Spending auth from offsetting collections, mand (total) .....	85	162	116
1900 Financing authority (total) .....	85	281	242
1930 Total budgetary resources available .....	201	392	421

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	138	179 234
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	18	13 49
3010	Obligations incurred, unexpired accounts .....	63	213 187
3020	Financing disbursements (gross) .....	-68	-177 -181
3050	Unpaid obligations, end of year .....	13	49 55
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-115	-115 -115
3090	Uncollected pymts, Fed sources, end of year .....	-115	-115 -115
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	-97	-102 -66
3200	Obligated balance, end of year .....	-102	-66 -60

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	85	281 242
Financing disbursements:			
4110	Financing disbursements, gross .....	68	177 181
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Payments from Program Account Upward Reestimate .....	-69	-58 .....
4122	Interest on uninvested funds .....	-3	-3 -3
4123	Loan origination fee .....	-40	-43 -65
4123	Principal collections .....	-40	-26 -12
4123	Interest collections .....	-22	-33 -37
4130	Offsets against gross financing auth and disbursements (total) .....	-174	-163 -117
4160	Financing authority, net (mandatory) .....	-89	118 125
4170	Financing disbursements, net (mandatory) .....	-106	14 64
4180	Financing authority, net (total) .....	-89	118 125
4190	Financing disbursements, net (total) .....	-106	14 64

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 12-4337-0-3-351			
	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131	Guaranteed loan commitments exempt from limitation .....	4,132	5,500 5,500
2150	Total guaranteed loan commitments .....	4,132	5,500 5,500
2199	Guaranteed amount of guaranteed loan commitments .....	4,132	5,387 5,387
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	6,115	5,458 5,668
2231	Disbursements of new guaranteed loans .....	4,132	5,500 5,500
2251	Repayments and prepayments .....	-4,789	-5,198 -5,198
2263	Adjustments: Terminations for default that result in claim payments .....		-92 -92
2290	Outstanding, end of year .....	5,458	5,668 5,878
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	5,349	5,555 5,760
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	870	815 756
2351	Repayments of loans receivable .....	-55	-59 -48
2390	Outstanding, end of year .....	815	756 708

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 12-4337-0-3-351		
	2011 actual	2012 actual
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury .....	178 36
1101	Accounts Receivable, net .....	78 66

Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	Defaulted guaranteed loans receivable, gross .....	870 815
1502	Interest receivable .....	11 14
1505	Allowance for subsidy cost (-) .....	-286 -266
1599	Net present value of assets related to defaulted guaranteed loans .....	595 563
1999	Total assets .....	851 665
LIABILITIES:		
Federal liabilities:		
2101	Accounts payable .....	1 1
2104	Resources payable to Treasury .....	705 457
Non-Federal liabilities:		
2204	Liabilities for loan guarantees .....	115 174
2207	Other .....	30 33
2999	Total liabilities .....	851 665
4999	Total liabilities and net position .....	851 665

**COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 12-4338-0-3-351			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Operating Expenses .....	1	1 1
0100	Direct program activities, subtotal .....	1	1 1
0900	Total new obligations (object class 41.0) .....	1	1 1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	5	.....
1022	Capital transfer of unobligated balances to general fund .....	-5	.....
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	35	8 8
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-34	-7 -7
1850	Spending auth from offsetting collections, mand (total) .....	1	1 1
1930	Total budgetary resources available .....	1	1 1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	6	6 5
3010	Obligations incurred, unexpired accounts .....	1	1 1
3020	Outlays (gross) .....	-1	-2 -2
3050	Unpaid obligations, end of year .....	6	5 4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	6	6 5
3200	Obligated balance, end of year .....	6	5 4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	1 1
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1	1 1
4101	Outlays from mandatory balances .....	.....	1 1
4110	Outlays, gross (total) .....	1	2 2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-35	-8 -8
4180	Budget authority, net (total) .....	-34	-7 -7
4190	Outlays, net (total) .....	-34	-6 -6

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 12-4338-0-3-351			
	2012 actual	2013 CR	2014 est.
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	124	109 101
2351	Repayments of loans receivable .....	-15	-8 -8
2390	Outstanding, end of year .....	109	101 93

COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING  
ACCOUNT—Continued

This account includes amounts for activities previously funded in the Commodity Credit Corporation Fund.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 12-4338-0-3-351	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	11	6
1701 Defaulted guaranteed loans, gross .....	124	109
1702 Interest receivable .....	1	206
1703 Allowance for estimated uncollectible loans and interest (-) .....	-75	-264
1799 Value of assets related to loan guarantees .....	50	51
1999 Total assets .....	61	57
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	6	6
2104 Resources payable to Treasury .....	40	30
2207 Non-Federal liabilities: Other .....		6
2999 Total liabilities .....	46	42
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	15	15
4999 Total liabilities and net position .....	61	57

FARM STORAGE FACILITY LOANS PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-3301-0-1-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	5	4	
0706 Interest on reestimates of direct loan subsidy .....	3	4	
0900 Total new obligations (object class 41.0) .....	8	8	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	8	8	
1260 Appropriations, mandatory (total) .....	8	8	
1930 Total budgetary resources available .....	8	8	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	8	8	
3020 Outlays (gross) .....	-8	-8	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	8	8	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8	8	
4180 Budget authority, net (total) .....	8	8	
4190 Outlays, net (total) .....	8	8	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-3301-0-1-351	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Farm Storage Facility Loans .....	200	300	300
115002 Sugar Storage Facility Loans .....		9	9
115999 Total direct loan levels .....	200	309	309
Direct loan subsidy (in percent):			
132001 Farm Storage Facility Loans .....	-2.30	-2.46	-2.52

132002 Sugar Storage Facility Loans .....		-3.30	-2.80
132999 Weighted average subsidy rate .....	-2.30	-2.48	-2.53
Direct loan subsidy budget authority:			
133001 Farm Storage Facility Loans .....	-5	-7	-8
133999 Total subsidy budget authority .....	-5	-7	-8
Direct loan subsidy outlays:			
134001 Farm Storage Facility Loans .....	-4	-4	-7
134999 Total subsidy outlays .....	-4	-4	-7
Direct loan upward reestimates:			
135001 Farm Storage Facility Loans .....	8	8	
135999 Total upward reestimate budget authority .....	8	8	
Direct loan downward reestimates:			
137001 Farm Storage Facility Loans .....	-14	-26	
137999 Total downward reestimate budget authority .....	-14	-26	

**Farm Storage Facility Loan (FSFL) Program.**—The FSFL program was established by the Commodity Credit Corporation (CCC) in 1949 to offer low-cost financing to producers for the construction or upgrade of on-farm storage facilities—the program was discontinued in the early 1980's when studies showed sufficient storage space was available. The FSFL was re-established in 2000 due to a severe shortage of available storage. The program was implemented in 2000 by CCC under Section 504(c) of the Federal Credit Reform Act of 1990. The Food, Conservation and Energy Act of 2008 expanded the loan limits, term limits, and eligible commodities for which facilities can be financed by the program. The program now provides producers financing with seven, ten, or twelve-year repayment terms and low interest rates. The program gives producers greater marketing flexibility when farm storage is limited and/or transportation difficulties cause storage problems, allows farmers to benefit from new marketing and technological advances, and maximizes their returns through identity-preserved marketing.

**Sugar Storage Facility Loans.**—The 2002 Farm Bill, as amended by the 2008 Farm Bill, directs that the CCC establish a sugar storage facility loan program to provide financing for processors of domestically produced sugarcane and sugar beets to construct or upgrade storage and handling facilities for raw sugars and refined sugars. The loan term is a minimum of seven years with the amount and terms being determined as any other commercial loan.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis, and the administrative expenses are estimated on a cash basis.

FARM STORAGE FACILITY DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4158-0-3-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	200	309	309
0713 Payment of interest to Treasury .....	25	26	25
0740 Negative subsidy obligations .....	5	8	8
0742 Downward reestimate paid to receipt account .....	10	23	
0743 Interest on downward reestimates .....	4	3	
0900 Total new obligations .....	244	369	342
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	123	19	21
1021 Recoveries of prior year unpaid obligations .....	29		
1023 Unobligated balances applied to repay debt .....	-150	-19	-21

1050	Unobligated balance (total) .....	2		
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	322	357	357
1421	Borrowing authority applied to repay debt .....	-110		
1440	Borrowing authority, mandatory (total) .....	212	357	357
Spending authority from offsetting collections, mandatory:				
1800	Payments from program account .....	7	8	
1800	Principal repayments .....	162	188	163
1800	Interest repayments .....	22	28	21
1800	Interest on Uninvested Funds .....	10	8	8
1800	Fees and Other Collections .....	3	1	1
1801	Change in uncollected payments, Federal sources .....	-1		
1825	Spending authority from offsetting collections applied to repay debt .....	-154	-200	-150
1850	Spending auth from offsetting collections, mand (total) .....	49	33	43
1900	Financing authority (total) .....	261	390	400
1930	Total budgetary resources available .....	263	390	400
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	19	21	58

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	192	159	159
3010	Obligations incurred, unexpired accounts .....	244	369	342
3020	Financing disbursements (gross) .....	-248	-369	-342
3040	Recoveries of prior year unpaid obligations, unexpired .....	-29		
3050	Unpaid obligations, end of year .....	159	159	159
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	191	159	159
3200	Obligated balance, end of year .....	159	159	159

**Financing authority and disbursements, net:**

Mandatory:

4090	Financing authority, gross .....	261	390	400
Financing disbursements:				
4110	Financing disbursements, gross .....	248	369	342
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payment from program account Upward Reestimate .....	-8	-8	
4122	Interest on uninvested funds .....	-10	-8	-8
4123	Principal collections .....	-186	-188	-163
4123	Interest collections .....		-28	-21
4123	Fees and Other Collections .....		-1	-1
4130	Offsets against gross financing auth and disbursements (total) .....	-204	-233	-193
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	1		
4160	Financing authority, net (mandatory) .....	58	157	207
4170	Financing disbursements, net (mandatory) .....	44	136	149
4180	Financing authority, net (total) .....	58	157	207
4190	Financing disbursements, net (total) .....	44	136	149

**Status of Direct Loans (in millions of dollars)**

Identification code 12-4158-0-3-351				
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation .....	200	309	309
1150	Total direct loan obligations .....	200	309	309
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	671	714	830
1231	Disbursements: Direct loan disbursements .....	205	304	304
1251	Repayments: Repayments and prepayments .....	-162	-188	-163
1290	Outstanding, end of year .....	714	830	971

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 12-4158-0-3-351				
ASSETS:				
Federal assets:				
1101	Fund balances with Treasury .....	314		178
Investments in US securities:				
1106	Receivables, net .....	8		8
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross .....	671		714
1402	Interest receivable .....	49		52
1405	Allowance for subsidy cost (-) .....	-30		-21
1499	Net present value of assets related to direct loans .....	690		745
1999	Total assets .....	1,012		931
LIABILITIES:				
Federal liabilities:				
2103	Debt payable to Treasury .....	997		905
2105	Other Federal Liabilities .....	15		26
2999	Total liabilities .....	1,012		931
4999	Total liabilities and net position .....	1,012		931

**EMERGENCY BOLL WEEVIL LOAN PROGRAM ACCOUNT**

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 12-3303-0-1-351				
Direct loan downward reestimates:				
137001	Emergency Boll Weevil and Apple Loans .....	-4		
137999	Total downward reestimate budget authority .....	-4		

**APPLE LOANS PROGRAM ACCOUNT**

The Agricultural Risk Protection Act of 2000 authorized up to \$5 million for the cost to provide loans to producers of apples for economic losses as the result of low prices. Although the program is funded through the Commodity Credit Corporation, program management is performed through farm loan programs. No further funding is requested for this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis.

**EMERGENCY BOLL WEEVIL DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 12-4221-0-3-351				
Obligations by program activity:				
Credit program obligations:				
0742	Downward reestimate paid to receipt account .....	2		
0743	Interest on downward reestimates .....	2		
0900	Total new obligations .....	4		

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	3
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	7		
1421	Borrowing authority applied to repay debt .....	-3		
1440	Borrowing authority, mandatory (total) .....	4		

**EMERGENCY BOLL WEEVIL DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 12-4221-0-3-351	2012 actual	2013 CR	2014 est.
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Principal repayments		2	1
<b>Spending auth from offsetting collections, mand (total)</b>			
1850		2	1
1900 Financing authority (total)	4	2	1
1930 Total budgetary resources available	5	3	4
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	1	3	4

**Change in obligated balance:**  
Unpaid obligations:

3010 Obligations incurred, unexpired accounts	4		
3020 Financing disbursements (gross)	-4		

**Financing authority and disbursements, net:**  
Mandatory:

4090 Financing authority, gross	4	2	1
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross	4		
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Principal repayments		-2	-1
4180 Financing authority, net (total)	4		
4190 Financing disbursements, net (total)	4	-2	-1

**Status of Direct Loans (in millions of dollars)**

Identification code 12-4221-0-3-351	2012 actual	2013 CR	2014 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year	9	9	7
1251 Repayments: Repayments and prepayments		-2	-1
1290 Outstanding, end of year	9	7	6

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 12-4221-0-3-351	2011 actual	2012 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	9	9
1405 Allowance for subsidy cost (-)	-5	-5
1499 Net present value of assets related to direct loans	4	4
1999 Total assets	4	4
<b>LIABILITIES:</b>		
2101 Federal liabilities: Accounts payable	4	4
4999 Total liabilities and net position	4	4

**AGRICULTURAL DISASTER RELIEF FUND**

**Program and Financing (in millions of dollars)**

Identification code 12-5531-0-2-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Disaster payments	694	882	
0900 Total new obligations (object class 41.0)	694	882	
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	485	177	
1010 Unobligated balance transfer to other accts [12-3316]	-14		
1050 Unobligated balance (total)	471	177	

<b>Budget authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority	400	705	
1440 Borrowing authority, mandatory (total)	400	705	
1900 Budget authority (total)	400	705	
1930 Total budgetary resources available	871	882	
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	177		
<b>Special and non-revolving trust funds:</b>			
1952 Expired unobligated balance, start of year	2	3	3
1953 Expired unobligated balance, end of year	3	3	3

**Change in obligated balance:**  
Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	30	29	
3010 Obligations incurred, unexpired accounts	694	882	
3011 Obligations incurred, expired accounts	1		
3020 Outlays (gross)	-696	-911	
3050 Unpaid obligations, end of year	29		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	30	29	
3200 Obligated balance, end of year	29		

**Budget authority and outlays, net:**  
Mandatory:

4090 Budget authority, gross	400	705	
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	193	705	
4101 Outlays from mandatory balances	503	206	
4110 Outlays, gross (total)	696	911	
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources	-2		
<b>Additional offsets against gross budget authority only:</b>			
4142 Offsetting collections credited to expired accounts	2		
4160 Budget authority, net (mandatory)	400	705	
4170 Outlays, net (mandatory)	694	911	
4180 Budget authority, net (total)	400	705	
4190 Outlays, net (total)	694	911	

**Memorandum (non-add) entries:**

5080 Outstanding debt, SOY: Repayable advances	-696	-1,096	-1,801
5081 Outstanding debt, EOY: Repayable advances	-1,096	-1,801	-1,801
5082 Borrowing: Repayable advances	-400	-705	

The Food, Conservation, and Energy Act of 2008 (2008 Farm Bill), P.L. 110-246, provided for Supplemental Agricultural Disaster Assistance under Sec.12033 and 15101. The Taxpayer Relief Act of 2012 provides authority to continue the Food, Conservation, and Energy Act of 2008 for fiscal year 2013. This includes the Agricultural Disaster Relief Trust Fund, which is composed of amounts equivalent to 3.1 percent of the amounts received in the general fund of the U.S. Treasury during 2008-2011 attributable to the duties collected on articles entered, or withdrawn from warehouse, for consumption under the Harmonized Tariff Schedule of the United States. The fund has authority to borrow and make repayable advances that are such sums as may be necessary to make up the fund's budget authority. Advances to the fund must be repaid with interest to the general fund of the U.S. Treasury when the Secretary of the Treasury determines that funds are available in the trust fund.

Obligations of \$694,335,032 were incurred and total outlays were \$696,171,992 in FY 2012, as shown in the table below. Unobligated balances carried over to 2012 of \$485,094,851 and obligated repayable advances of \$400,000,000 provided the funding for 2012 obligations. In 2012, the amount of customs receipts credited to the Agricultural Disaster Relief Trust Fund receipt account totaled \$36,629.69. Available budget authority totaling \$176,699,490 was carried forward into 2013 as an unobligated balance.

An additional \$705,000,000 of borrowing authority in 2013 will be utilized to make payments for the continuing disaster pro-

grams (SURE payments for qualifying crop losses due to natural disasters occurring on or before September 30, 2011).

**Fiscal Year 2012 Agricultural Disaster Relief Trust Fund Obligations and Outlays**

[In millions of dollars]

PROGRAMS	OBLIGATIONS	OUTLAYS
Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish Program (ELAP) .....	9	10
Livestock Forage Disaster Program (LFP) .....	79	85
Livestock Indemnity Program (LIP) .....	26	27
Supplemental Revenue Assistance Payments (SURE) Program .....	561	567
Tree Assistance Program (TAP) .....	19	7
Subtotal .....	694	696
Unallocated .....	0	-4
Total .....	694	692

Under P.L. 110-246, funding for this mandatory program was used to make payments to farmers and ranchers under the following five disaster assistance programs: Supplemental Revenue Assistance Payments (SURE) Program; Livestock Forage Disaster Program (LFP); Livestock Indemnity Program (LIP); Tree Assistance Program (TAP); and Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish Program (ELAP). All of these programs except for SURE were extended by the Taxpayer Relief Act of 2012. However, 2013 funding for the programs is dependent on a discretionary appropriation.

**Fiscal Year 2012—Fiscal Year 2014 Agricultural Disaster Relief Trust Fund Outlays**

PROGRAMS	2012 actual	2013 est.	2014 est.
Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish Program (ELAP) .....	10	0	0
Livestock Forage Disaster Program (LFP) .....	85	0	0
Livestock Indemnity Program (LIP) .....	27	0	0
Supplemental Revenue Assistance Payments (SURE) Program .....	567	911	0
Tree Assistance Program (TAP) .....	7	0	0
SUBTOTAL .....	696	911	0
Unallocated .....	-4	0	0
TOTAL .....	692	911	0

The American Recovery and Reinvestment Act of 2009 (ARRA) amended the 2008 Farm Bill and the SURE Program to modify the payment formulas for 2008 crops. The ARRA also provided an additional 90 day window for 2008 crops for those producers who did not obtain a policy or plan of insurance or NAP coverage or elect to buy in by September 16, 2008 as authorized under the 2008 Farm Bill. Total ARRA SURE payment outlays made in 2010 were \$578,170,337. An additional \$236,392,421 in ARRA SURE payments were outlayed in fiscal year 2011. In 2012, \$1,099,265 in ARRA SURE payments were outlayed in fiscal year 2012. There were also \$156,736 of ARRA TAP payments made in 2010.

**Trust Funds**

**TOBACCO TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 12-8161-0-7-351	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
0200 Excise Taxes for Tobacco Assessments, Tobacco Trust Fund .....	939	960	960
0400 Total: Balances and collections .....	940	961	961
Appropriations:			
0500 Tobacco Trust Fund .....	-939	-960	-960
0799 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 12-8161-0-7-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Tobacco Buyout Cost Reimbursement to CCC .....	891	960	960
0900 Total new obligations (object class 41.0) .....	891	960	960
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		48	48
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	939	960	960
1260 Appropriations, mandatory (total) .....	939	960	960
1930 Total budgetary resources available .....	939	1,008	1,008
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	48	48	48
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	891	960	960
3020 Outlays (gross) .....	-891	-960	-960
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	939	960	960
Outlays, gross:			
4100 Outlays from new mandatory authority .....	891	960	960
4180 Budget authority, net (total) .....	939	960	960
4190 Outlays, net (total) .....	891	960	960

**NATURAL RESOURCES CONSERVATION SERVICE**

**Federal Funds**

**PRIVATE LANDS CONSERVATION OPERATIONS**

For necessary expenses for carrying out the provisions of the Act of April 27, 1935 (16 U.S.C. 590a-f), including preparation of conservation plans and establishment of measures to conserve soil and water (including farm irrigation and land drainage and such special measures for soil and water management as may be necessary to prevent floods and the siltation of reservoirs and to control agricultural related pollutants); operation of conservation plant materials centers; classification and mapping of soil; dissemination of information; acquisition of lands, water, and interests therein for use in the plant materials program by donation, exchange, or purchase at a nominal cost not to exceed \$100 pursuant to the Act of August 3, 1956 (7 U.S.C. 428a); purchase and erection or alteration or improvement of permanent and temporary buildings; and operation and maintenance of aircraft, \$807,937,000, to remain available until September 30, 2015: Provided, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for construction and improvement of buildings and public improvements at plant materials centers, except that the cost of alterations and improvements to other buildings and other public improvements shall not exceed \$750,000: Provided further, That when buildings or other structures are erected on non-Federal land, that the right to use such land is obtained as provided in 7 U.S.C. 2250a.

In addition, \$695,000,000, to be available for the same time period and for the same purposes as the appropriation from which transferred, shall be derived by transfer from the Farm Security and Rural Investment Program for technical assistance in support of conservation programs authorized by Title XII of the Food Security Act of 1985 (16 U.S.C. 3801-3862), as amended; Section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)), as amended; and Section 502 of the Healthy Forests Restoration Act of 2003, as amended: Provided, That, of such amount, at least \$25,000,000 shall be competitively awarded to non-Federal conservation partners pursuant to 16 U.S.C. 3842: Provided further, That, upon a determination that additional funding is necessary for technical assistance for the purposes provided herein, additional such amounts may be derived by transfer from the Farm Security and Rural Investment Program: Provided further, That any portion of the funding derived by transfer deemed not necessary for the purposes provided herein may be transferred to the Farm Security and Rural Investment Program: Provided

PRIVATE LANDS CONSERVATION OPERATIONS—Continued

further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 12–1000–0–1–302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 NRCS Fees for Conservation Planning			22
0400 Total: Balances and collections			22
0799 Balance, end of year			22

Program and Financing (in millions of dollars)

Identification code 12–1000–0–1–302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Technical assistance	711	772	1,409
0002 Soil surveys	79	85	77
0003 Snow survey and water forecasting	10	9	8
0004 Plant materials centers	10	10	9
0799 Total direct obligations	810	876	1,503
0801 EPA Great Lakes - Reimbursable	7	5	5
0802 Reimbursable program activity	29	35	35
0899 Total reimbursable obligations	36	40	40
0900 Total new obligations	846	916	1,543
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	34	58	15
1021 Recoveries of prior year unpaid obligations	15		
1050 Unobligated balance (total)	49	58	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	828	833	808
1121 Appropriations transferred from other accts [12–1004]			695
1160 Appropriation, discretionary (total)	828	833	1,503
Spending authority from offsetting collections, discretionary:			
1700 Collected	19	40	40
1701 Change in uncollected payments, Federal sources	20		
1750 Spending auth from offsetting collections, disc (total)	39	40	40
1900 Budget authority (total)	867	873	1,543
1930 Total budgetary resources available	916	931	1,558
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–12		
1941 Unexpired unobligated balance, end of year	58	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	288	245	319
3010 Obligations incurred, unexpired accounts	846	916	1,543
3011 Obligations incurred, expired accounts	3		
3020 Outlays (gross)	–857	–842	–1,427
3040 Recoveries of prior year unpaid obligations, unexpired	–15		
3041 Recoveries of prior year unpaid obligations, expired	–20		
3050 Unpaid obligations, end of year	245	319	435
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–35	–53	–53
3070 Change in uncollected pymts, Fed sources, unexpired	–20		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	–53	–53	–53
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	253	192	266
3200 Obligated balance, end of year	192	266	382
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	867	873	1,543
Outlays, gross:			
4010 Outlays from new discretionary authority	656	704	1,205

4011	Outlays from discretionary balances	201	138	222
4020	Outlays, gross (total)	857	842	1,427
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	–20	–31	–31
4033	Non-Federal sources	–2	–9	–9
4040	Offsets against gross budget authority and outlays (total)	–22	–40	–40
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	–20		
4052	Offsetting collections credited to expired accounts	3		
4060	Additional offsets against budget authority only (total)	–17		
4070	Budget authority, net (discretionary)	828	833	1,503
4080	Outlays, net (discretionary)	835	802	1,387
4180	Budget authority, net (total)	828	833	1,503
4190	Outlays, net (total)	835	802	1,387

The Natural Resources Conservation Service (NRCS) protects the natural resource base on private lands by providing technical assistance to farmers, ranchers and other private landowners to support the development of conservations plans, and by providing financial assistance to partially offset the cost to install practices necessary to safeguard natural resources and improve wildlife habitat. NRCS provides additional support for conservation efforts through soil surveys, snow survey and water supply forecasting, and plant materials centers. These activities are supported by appropriated funding, including funding which has traditionally been requested in the Conservation Operations account, and by mandatory funding in the Farm Security and Rural Investment account. NRCS is comprised of roughly 11,000 employees across a wide range of natural resource backgrounds such as soil and rangeland conservation, wildlife biology, forestry and engineering. Through this collective conservationist workforce, the Administration strives to protect the natural resource base on private lands.

In 2014, the Administration proposes to show the total staff resources necessary to implement its private lands conservation program in the Private Lands Conservation Operations account. Importantly, this new display will not alter the current authorities under which staff resources are provided through mandatory and discretionary funding. In addition to providing greater transparency regarding the level of staff required to accomplish this important mission, the Administration also proposes to competitively award funding to private sector conservation partners in a way that will leverage Federal resources and increase key conservation outcomes across important regional and National landscapes. This process will ensure that all partnering entities are held to the same standards, metrics and performance measures while still allowing for flexible and innovative approaches to private lands conservation. A more detailed description of the specific programs within the Private lands Conservation Operations account follows:

*Technical assistance.*—Through the Conservation Technical Assistance (CTA) Program, NRCS provides agricultural producers, private landowners, conservation districts, Tribes, and other organizations with the knowledge and conservation tools they need to conserve, maintain, and improve our natural resources. This assistance comes in the form of both individual and landscape-scale conservation plans which contain optimal strategies tailored to protect the resources on the land they manage. Actions described in the plans help land managers reduce erosion; protect water quality and quantity; address air quality; enhance the quality of fish and wildlife habitat; improve long-term sustainability of all lands; and facilitate land use changes while protecting and sustaining our natural resources. In addition, legislation will be submitted for a user fee that helps

cover the costs of conservation planning services. The 2014 Budget requests a total of \$807.9 million for Conservation Operations.

**MAIN WORKLOAD FACTORS**

	2012 actual	2013 est.	2014 est.
Customers receiving technical assistance for planning & application, number .....	85,900	85,400	81,600
Conservation systems planned, million acres .....	26.8	26.6	25.4
Cropland with conservation applied to improve soil quality, million acres .....	8.2	8.0	7.6
Grazing land with conservation applied to protect the resource base, million acres .....	16.4	15.4	14.7

In addition to technical assistance for conservation planning provided through CTA, NRCS also offers technical assistance for the design, implementation, and management of cost-shared conservation practices through mandatory farm bill conservation programs under the Farm Security and Rural Investment Program. This combined technical assistance funding provides for the salaries and expenses of conservation professionals, including NRCS's extensive field staff and a growing number of technical service providers and other cooperators who work with land managers in assessing and applying conservation strategies. The following table is intended to provide a more complete summary of total Federal support for private lands conservation efforts as well as the Federal and non-Federal staff capacity supported by these technical assistance investments.

*Soil surveys.*—The primary focus of the Soil Survey Program is to provide current and consistent map interpretations and data sets of the soil resources of the United States. Managing soil as a strategic natural resource is a key component to the vitality of the Nation's rural economies. Scientists and policy makers use soil survey information in studying climate change and evaluating the sustainability and environmental impacts of land use and management practices. Soil surveys are used by planners, engineers, farmers, ranchers, developers, and home owners to evaluate soil suitability and make management decisions for farms, home sites, subdivisions, commercial and industrial sites, and wildlife and recreational areas. NRCS is the lead Federal agency for the National Cooperative Soil Survey (NCSS), a partnership of Federal land management agencies, State agricultural experiment stations, private consultants, and State and local governments. NRCS provides the scientific expertise to enable the NCSS to develop and maintain a uniform system for mapping and assessing soil resources. The Budget accelerates Soils Survey Program efforts to harmonize existing soils survey data to improve underlying data quality and meet new and emerging applications of soils data.

**MAIN WORKLOAD FACTORS**

	2012 actual	2013 est.	2014 est.
Acres mapped annually (millions) .....	36.8	38	40

*Snow survey and water supply forecasting.*—Snowpack is measured at nearly 1,800 automated and manual sites across the mountain west. Water supply forecasts are issued by NRCS and are used by Federal, State, local, Tribal and private entities for decisions related to agricultural production, hydroelectric power generation, fish and wildlife management, municipal and industrial water supply, reservoir management, urban development, drought assessment, flood hazards, recreation, and water quality management.

*Operation of plant materials centers.*—The identification, testing, evaluation, and demonstration of plants and plant technologies to solve natural resource problems and improve the utilization of natural resources are made at 27 plant materials centers (25 NRCS-managed and 2 with partial NRCS-funding) to determine suitability for erosion control, cropland soil health and pro-

ductivity, restoring wetlands, improving water quality, improving wildlife habitat (including pollinators), protecting streambank and riparian areas, stabilizing coastal dunes, producing biomass, improving air quality, and addressing other conservation treatment needs. Plant materials centers document and transfer plant science technology in fact sheets, technical notes, the NRCS Field Office Technical Guide, and transferred to the public on the Web. Work at plant materials centers is the foundation of vegetative recommendations for NRCS and many other Federal and State agencies.

**Object Classification (in millions of dollars)**

Identification code 12-1000-0-1-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	387	392	653
11.3 Other than full-time permanent .....	7	7	11
11.5 Other personnel compensation .....	4	4	8
11.9 Total personnel compensation .....	398	403	672
12.1 Civilian personnel benefits .....	133	134	225
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....	16	16	21
22.0 Transportation of things .....	3	3	3
23.2 Rental payments to others .....	16	17	16
23.3 Communications, utilities, and miscellaneous charges .....	15	16	26
24.0 Printing and reproduction .....	2	2	3
25.2 Other services from non-Federal sources .....	193	251	210
26.0 Supplies and materials .....	16	16	25
31.0 Equipment .....	16	16	33
32.0 Land and structures .....			267
99.0 Direct obligations .....	810	876	1,503
99.0 Reimbursable obligations .....	36	40	40
99.9 Total new obligations .....	846	916	1,543

**Employment Summary**

Identification code 12-1000-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5,808	5,894	9,625
2001 Reimbursable civilian full-time equivalent employment .....	151	158	158

**FARM SECURITY AND RURAL INVESTMENT PROGRAMS**

**Program and Financing (in millions of dollars)**

Identification code 12-1004-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Wetlands Reserve program .....	588	538	235
0002 Environmental Quality Incentives program .....	1,373	1,400	864
0004 Agricultural Water Enhancement Program .....	59	60	44
0005 Wildlife Habitat Incentives program .....	47	50	29
0006 Farm and Ranch Lands Protection program .....	145	150	139
0007 Conservation Security program .....	188	166	115
0008 Grassland Reserve program .....	65	67	
0009 Conservation Stewardship Program .....	742	768	878
0010 Agricultural Management Assistance program .....	2	3	
0011 Chesapeake Bay Watershed Initiative .....	50	50	50
0012 Healthy Forests Reserve Program .....	10	10	
0799 Total direct obligations .....	3,269	3,262	2,354
0801 Reimbursable Conservation Reserve Program .....	102	96	96
0802 Reimbursable EPA Great Lakes Environmental Quality Incentives Program .....	9	19	19
0899 Total reimbursable obligations .....	111	115	115
0900 Total new obligations .....	3,380	3,377	2,469
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5	9	9
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	9	9	9

FARM SECURITY AND RURAL INVESTMENT PROGRAMS—Continued  
Program and Financing—Continued

Identification code 12-1004-0-1-302	2012 actual	2013 CR	2014 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [12-1000] .....			-695
1130 Appropriations permanently reduced .....			-509
1134 Appropriations precluded from obligation .....		-657	
1160 Appropriation, discretionary (total) .....		-657	-1,204
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [12-4336] .....	3,425	3,919	3,558
1260 Appropriations, mandatory (total) .....	3,425	3,919	3,558
Spending authority from offsetting collections, mandatory:			
1800 Offsetting Collections Conservation Reserve Program .....	18	96	96
1800 Offsetting collections EPA Great Lakes, Other .....		19	19
1801 Change in uncollected payments, Federal sources .....	135		
1850 Spending auth from offsetting collections, mand (total) .....	153	115	115
1900 Budget authority (total) .....	3,578	3,377	2,469
1930 Total budgetary resources available .....	3,587	3,386	2,478
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-198		
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,664	3,927	4,231
3010 Obligations incurred, unexpired accounts .....	3,380	3,377	2,469
3011 Obligations incurred, expired accounts .....	105		
3020 Outlays (gross) .....	-2,816	-3,073	-2,625
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-402		
3050 Unpaid obligations, end of year .....	3,927	4,231	4,075
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-75	-195	-195
3070 Change in uncollected pymts, Fed sources, unexpired .....	-135		
3071 Change in uncollected pymts, Fed sources, expired .....	15		
3090 Uncollected pymts, Fed sources, end of year .....	-195	-195	-195
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,589	3,732	4,036
3200 Obligated balance, end of year .....	3,732	4,036	3,880
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-657	-1,204
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-453	-872
4011 Outlays from discretionary balances .....			-129
4020 Outlays, gross (total) .....		-453	-1,001
Mandatory:			
4090 Budget authority, gross .....	3,578	4,034	3,673
Outlays, gross:			
4100 Outlays from new mandatory authority .....	919	1,090	972
4101 Outlays from mandatory balances .....	1,897	2,436	2,654
4110 Outlays, gross (total) .....	2,816	3,526	3,626
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-49	-96	-96
4120 Baseline Program [EPA] .....		-19	-19
4130 Offsets against gross budget authority and outlays (total) .....	-49	-115	-115
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-135		
4142 Offsetting collections credited to expired accounts .....	31		
4150 Additional offsets against budget authority only (total) .....	-104		
4160 Budget authority, net (mandatory) .....	3,425	3,919	3,558
4170 Outlays, net (mandatory) .....	2,767	3,411	3,511
4180 Budget authority, net (total) .....	3,425	3,262	2,354
4190 Outlays, net (total) .....	2,767	2,958	2,510

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	3,425	3,262	2,354
Outlays .....	2,767	2,958	2,510
Legislative proposal, subject to PAYGO:			
Budget Authority .....			43

Outlays .....			-52
Total:			
Budget Authority .....	3,425	3,262	2,397
Outlays .....	2,767	2,958	2,458

Title XII of the Food Security Act of 1985 provided mandatory funding for critical conservation efforts on private lands, including critical wetlands, grasslands, forests, and farm and ranch lands. For conservation programs where NRCS is the lead implementation agency, funds are transferred from the Commodity Credit Corporation (CCC) to the Farm Security and Rural Investment Programs account. This mandatory funding supports NRCS efforts to protect the natural resource base on private lands by providing technical assistance to farmers, ranchers and other private landowners to support the development of conservations plans, and by providing financial assistance to partially offset the cost to install practices necessary to safeguard natural resources and improve wildlife habitat.

The Food, Conservation, and Energy Act of 2008 (P.L. 110-246), amended Title XII of the Food Security Act of 1985 and reauthorized a number of USDA's conservation programs. In addition, the American Taxpayer Relief Act of 2012 (P.L. 112-240) extended through the end of 2013 the programs that expired at the end of 2012. As noted below, a number of conservation programs were extended in the 2014 Budget's baseline based upon scorekeeping conventions and/or language included in the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112-55). In 2014, the Administration proposes to show the total staff resources necessary to implement its private lands conservation program in the Private Lands Conservation Operations account. Importantly, this new display will not alter the current authorities under which staff resources are provided through mandatory and discretionary funding. This account will continue to show the funding provided for the financial assistance costs necessary for delivering the following programs:

*Wetlands Reserve Program (WRP).*—This program is authorized under Section 1237 of the Food Security Act of 1985, as amended. The authority provides for up to 3,041,200 acres to be enrolled in the program. The purpose of the WRP is to preserve, protect, and restore valuable wetlands. P.L. 112-240 extended the program's authority to enroll easements through the end of 2013. Therefore, the 2014 Budget includes \$268 million to support monitoring, restoration and maintenance of easements enrolled before September 30, 2013.

*Environmental Quality Incentives Program (EQIP).*—This program is authorized under section 1240 of the Food Security Act of 1985, as amended. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112-55) reauthorized the program through 2014, and the 2014 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The purpose of the program is to promote agricultural production and environmental quality as compatible national goals. The 2014 Budget proposes \$1.35 billion for this program and proposes to permanently cancel funds exceeding this amount for the program in 2014.

*Agricultural Water Enhancement Program (AWEP).*—This program is authorized by Section 1240I of the Food Security Act of 1985, as amended. Under AWEP, NRCS enters into partnership agreements with eligible entities to promote ground and surface water conservation or improve water quality on agricultural lands. The program's authority to use CCC funds was extended through 2014. The 2014 Budget's baseline assumes extension of this program for scorekeeping purposes at a level of \$60 million.

*Conservation Stewardship Program (CSP).*—This program is authorized by Section 1238D of the Food Security Act of 1985, as amended. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112–55) reauthorized the program through 2014 and the 2014 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The program encourages producers to address resource concerns in a comprehensive manner by undertaking additional conservation activities and improving, maintaining and managing existing conservation activities. The 2014 Budget proposes \$1,003 million for this program to enroll 11,991,222 acres and proposes to permanently reduce the program by 777,778 acres. This program is the successor to the Conservation Security Program, which was not continued in the Food, Conservation and Energy Act of 2008 except as necessary to support contracts entered into before September 30, 2008. The 2014 Budget proposes \$134 million for the Conservation Security Program.

*Farmland Protection Program (FRPP).*—This program is authorized under Section 1238I of the Food Security Act of 1985, as amended. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112–55) reauthorized the program through 2014 and the 2014 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes and includes a net amount (factoring in the impact of a proposed mandatory reduction) of \$150 million. This program protects soil by limiting nonagricultural use of prime and unique farm and ranch land.

*Wildlife Habitat Incentives Program (WHIP).*—This program is authorized by Section 1240N of the Food Security Act of 1985, as amended. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112–55) reauthorized the program through 2014. The 2014 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes and includes net amount (factoring in the impact of a proposed mandatory reduction) of \$45 million. The program develops habitat for upland wildlife, wetland wildlife, threatened and endangered species, fish, and other wildlife.

*Grassland Reserve Program (GRP).*—This program is authorized by Section 1238N of the Food Security Act of 1985, as amended. The purpose of the program is to assist landowners in restoring and protecting grassland. P.L. 112–240 extended the program's authority through the end of 2013.

*Chesapeake Bay Watershed Program (CBWP).*—This program is authorized by Section 1240Q of the Food Security Act of 1985, as amended. It helps agricultural producers improve water quality and quantity and restore, enhance and preserve soil, air and related resources in the Chesapeake Bay Watershed through the implementation of conservation practices. The program's authority to use CCC funds was extended through the end of 2013 by P.L. 112–240.

*Conservation Reserve Program (CRP) Technical Assistance.*—The CRP is authorized by Sections 1231–1235A of the Food Security Act of 1985, as amended. Although CRP is administered by the Farm Service Agency, NRCS provides technical assistance to producers to implement conservation practices on CRP land. FSA provides funds to NRCS as offsetting collections for this purpose in this account. P.L. 112–240 authorized the Conservation Reserve Program (CRP) to enroll new acres and retains the 32 million acre cap (enrollment is currently at 27 million acres) through September 30, 2013. The 2014 Budget assumes \$96 million in technical assistance for NRCS support of CRP.

In addition to the programs authorized under the Food Security Act of 1985, NRCS implements the following conservation programs:

*Agricultural Management Assistance Program (AMA).*—This program is authorized by Section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)), as amended. It authorizes \$15 million annually for 2008 through 2012. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112–55) reauthorized the program through 2014, and it is extended beyond that date in the baseline based upon permanent authority. This program is implemented by NRCS, the Agricultural Marketing Service, and the Risk Management Agency. The NRCS AMA activities are carried out in 16 states, as determined by the Secretary, in which participation in the Federal Crop Insurance Program is historically low. The program provides assistance to producers to mitigate financial risk by using conservation to reduce soil erosion and improve water quality. The Budget proposes limiting the overall AMA program to \$10 million in 2014, of which NRCS is limited to \$2.5 million.

*Healthy Forests Reserve Program (HFRP).*—This program is authorized by Section 502 of the Healthy Forests Restoration Act of 2003, as amended. The program assists landowners in restoring, enhancing and protecting forest ecosystems on private lands to promote the recovery of threatened and endangered species, improve biodiversity, and enhance carbon sequestration. P.L. 112–240 included a one year extension of program authorities provided by the 2008 Farm Bill. The Act authorizes USDA to implement these program authorities at their associated mandatory funding levels as in effect on September 30, 2012. This means that HFRP, which lost enrollment authority with the expiration of the 2008 Farm Bill, is authorized for 2013 enrollments.

NRCS works to deliver conservation programs using its technical field staff and by partnering with public and private entities through the Technical Service Provider (TSP) system. NRCS can contract with TSPs to help deliver the Farm Bill programs, or agricultural producers may select TSPs to help plan and implement conservation practices on their operations.

The U.S. has made great strides in improving water quality; however, nonpoint source pollution remains a significant challenge that requires policy attention and thoughtful new approaches. In 2014, the Budget continues the agency's efforts to better coordinate conservation efforts among key Federal partners, along with agricultural producer organizations, conservation districts, States, Tribes, NGOs and other local leaders to identify areas where a focused and coordinated approach can achieve substantial improvements in water quality. The Budget builds upon the collaborative process already underway among Federal partners to demonstrate substantial improvements in water quality from conservation programs by ensuring that USDAs key investments through Farm Bill conservation programs and related efforts are appropriately leveraged by other federal programs.

Finally, the Budget includes legislative proposals to reduce the allowable acreage cap for the Conservation Stewardship Program to 10,348,000 acres annually and to fund a new Agricultural Conservation Easement Program, which combines the authorities of the Wetlands Reserve Program, Grasslands Reserve Program, and the Farmland Protection Program.

FARM SECURITY AND RURAL INVESTMENT PROGRAMS—Continued

Object Classification (in millions of dollars)

Identification code 12-1004-0-1-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	281	281	
11.3 Other than full-time permanent .....	4	4	
11.5 Other personnel compensation .....	4	4	
11.9 Total personnel compensation .....	289	289	
12.1 Civilian personnel benefits .....	98	98	
21.0 Travel and transportation of persons .....	6	6	
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	20	20	20
23.3 Communications, utilities, and miscellaneous charges .....	11	11	
24.0 Printing and reproduction .....	1	1	
25.2 Other services from non-Federal sources .....	131	130	112
26.0 Supplies and materials .....	9	9	
31.0 Equipment .....	17	17	
32.0 Land and structures .....	550	517	
41.0 Grants, subsidies, and contributions .....	2,136	2,163	2,221
99.0 Direct obligations .....	3,269	3,262	2,354
99.0 Reimbursable obligations .....	111	115	115
99.9 Total new obligations .....	3,380	3,377	2,469

Employment Summary

Identification code 12-1004-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4,283	4,154	
2001 Reimbursable civilian full-time equivalent employment .....	834	834	834

FARM SECURITY AND RURAL INVESTMENT PROGRAMS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 12-1004-4-1-302	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			43
1260 Appropriations, mandatory (total) .....			43
1930 Total budgetary resources available .....			43
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			43
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			52
3050 Unpaid obligations, end of year .....			52
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			52
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			43
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-52
4180 Budget authority, net (total) .....			43
4190 Outlays, net (total) .....			-52

WATERSHED AND FLOOD PREVENTION OPERATIONS

Program and Financing (in millions of dollars)

Identification code 12-1072-0-1-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Emergency watershed protection operations .....	213	102	
0004 Small watershed operations (P.L. 566) .....	6	14	
0005 Watershed Operations (P.L. 534) .....		12	
0006 EWP (SANDY) .....		180	
0799 Total direct obligations .....	219	308	

0802 Reimbursable program activity .....	17		
0900 Total new obligations .....	236	308	

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	152	167	39
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	163	167	39
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	216	180	
1160 Appropriation, discretionary (total) .....	216	180	
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	14	10	
1701 Change in uncollected payments, Federal sources .....	10	-10	
1750 Spending auth from offsetting collections, disc (total) .....	24		
1900 Budget authority (total) .....	240	180	
1930 Total budgetary resources available .....	403	347	39
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	167	39	39

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	217	276	346
3010 Obligations incurred, unexpired accounts .....	236	308	
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-163	-238	-139
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	276	346	207
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-48	-58	-48
3070 Change in uncollected pymts, Fed sources, unexpired .....	-10	10	
3090 Uncollected pymts, Fed sources, end of year .....	-58	-48	-48
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	169	218	298
3200 Obligated balance, end of year .....	218	298	159

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	240	180	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	26	72	
4011 Outlays from discretionary balances .....	137	166	139
4020 Outlays, gross (total) .....	163	238	139
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-14	-10	
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-10	10	
4070 Budget authority, net (discretionary) .....	216	180	
4080 Outlays, net (discretionary) .....	149	228	139
4180 Budget authority, net (total) .....	216	180	
4190 Outlays, net (total) .....	149	228	139

NRCS watershed programs provide for cooperative actions between the Federal Government and States and their political subdivisions to reduce damage from floodwater, sediment, and erosion; for the conservation, development, utilization, and disposal of water; and for the conservation and proper utilization of land. Funds in Watershed and Flood Prevention Operations can be used for either flood prevention projects or flood damage rehabilitation efforts, depending upon the needs and opportunities.

*Emergency watershed protection program.*—NRCS undertakes such emergency measures for runoff retardation and soil erosion prevention as may be needed to safeguard life and property from floods and the products of erosion on any watershed whenever natural elements or forces cause a sudden impairment of that watershed. An emergency is considered to exist when a watershed is suddenly impaired by flood, fire, wind, earthquake, drought or other natural causes and consequently life and property are endangered by floodwater, erosion, or sediment discharge. Subject to the terms and conditions of funding, NRCS may provide

Emergency Watershed Protection assistance to address small scale, localized disasters. In 2013, the Disaster Relief Appropriations Act provided \$180 million in Emergency Watershed Protection Program assistance for expenses related to Hurricane Sandy resulting from major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq). State agencies including environmental, natural resource, and fish and game agencies participate in planning and coordinating emergency work. Funding for the Emergency Watershed Protection Program is typically provided through emergency supplemental appropriations. The 2014 Budget does not request funding for this program.

*Watershed operations authorized by Public Law 78-534.*—NRCS cooperates with soil conservation districts and other local organizations in planning and installing flood prevention improvements in 11 watersheds authorized by the Flood Control Act of 1944. The Federal Government shares the cost of improvements for flood prevention, agricultural water management, recreation, and fish and wildlife development. This program did not receive an appropriation in 2011, 2012, and 2013, and the 2014 budget does not request funding for this program. NRCS is closing out watershed operations projects started prior to 2011 with unobligated balances from prior years.

*Small watershed operations authorized by Public Law 83-566.*—NRCS provides technical and financial assistance to local organizations to install measures for watershed protection, flood prevention, agricultural water management, recreation, and fish and wildlife enhancement. At least 70 percent of the funding provided is used for financial assistance. This program did not receive an appropriation in 2011, 2012, and 2013, and the 2014 budget does not request funding for this program. NRCS is closing out small watershed operations projects started prior to 2011 with unobligated balances from prior years.

Loans through the Agricultural Credit Insurance Fund have been made in previous years to the local sponsors in order to fund the local cost of Public Law 83-566 or 78-534 projects. No funding for these loans is assumed in 2014.

**Object Classification** (in millions of dollars)

Identification code 12-1072-0-1-301	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	8	11	.....
11.5 Other personnel compensation .....	1	1	.....
11.9 Total personnel compensation .....	9	12	.....
12.1 Civilian personnel benefits .....	2	4	.....
21.0 Travel and transportation of persons .....	1	1	.....
25.1 Advisory and assistance services .....	117	159	.....
25.2 Other services from non-Federal sources .....	13	37	.....
31.0 Equipment .....	1	3	.....
32.0 Land and structures .....	3	2	.....
41.0 Grants, subsidies, and contributions .....	73	90	.....
99.0 Direct obligations .....	219	308	.....
99.0 Reimbursable obligations .....	17	.....	.....
99.9 Total new obligations .....	236	308	.....

**Employment Summary**

Identification code 12-1072-0-1-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	105	93	.....
2001 Reimbursable civilian full-time equivalent employment .....	35	.....	.....

**WATERSHED REHABILITATION PROGRAM**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-1002-0-1-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Watershed rehabilitation program .....	21	7	.....
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	6	14
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	12	6	14
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	15	15	.....
1130 Appropriations permanently reduced .....	.....	.....	-165
1134 Appropriations precluded from obligation .....	.....	-165	.....
1160 Appropriation, discretionary (total) .....	15	-150	-165
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other accts [12-4336] .....	.....	165	165
1260 Appropriations, mandatory (total) .....	.....	165	165
1900 Budget authority (total) .....	15	15	.....
1930 Total budgetary resources available .....	27	21	14
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	6	14	14
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	82	56	42
3010 Obligations incurred, unexpired accounts .....	21	7	.....
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-42	-21	-18
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	56	42	24
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	.....	.....
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	1	.....	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	82	56	42
3200 Obligated balance, end of year .....	56	42	24

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	15	-150	-165
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4	-50	-53
4011 Outlays from discretionary balances .....	38	18	-40
4020 Outlays, gross (total) .....	42	-32	-93
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	.....	165	165
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	53	53
4101 Outlays from mandatory balances .....	.....	.....	58
4110 Outlays, gross (total) .....	.....	53	111
4180 Budget authority, net (total) .....	15	15	.....
4190 Outlays, net (total) .....	42	21	18

Under the authorities of Section 14 of the Watershed Protection and Flood Prevention Act, assistance is provided to communities to address the rehabilitation of aging local dams. The 2012 enacted level included \$15 million for the Watershed Rehabilitation Program. No funding is requested in the 2014 Budget, reflecting the Administration's position that the maintenance, repair, and operation of these dams are the responsibility of local project sponsors. The Budget also proposes no mandatory funding for

WATERSHED REHABILITATION PROGRAM—Continued  
this program in 2014; \$165 million currently available are proposed to be permanently cancelled (see General Provisions for the Department of Agriculture).

**Object Classification** (in millions of dollars)

Identification code 12–1002–0–1–301	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	2	.....
12.1 Civilian personnel benefits .....	1	1	.....
25.1 Advisory and assistance services .....	4	1	.....
25.2 Other services from non-Federal sources .....	4	1	.....
41.0 Grants, subsidies, and contributions .....	7	2	.....
99.9 Total new obligations .....	21	7	.....

**Employment Summary**

Identification code 12–1002–0–1–301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	59	20	.....

RESOURCE CONSERVATION AND DEVELOPMENT

**Program and Financing** (in millions of dollars)

Identification code 12–1010–0–1–302	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	2	2	2
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	–2
1160 Appropriation, discretionary (total) .....	.....	.....	–2
1930 Total budgetary resources available .....	2	2	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	.....	.....
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	–2
4180 Budget authority, net (total) .....	.....	.....	–2

The Resource Conservation and Development (RC&D) Program was developed under the Soil Conservation and Domestic Allotment Act (16 U.S.C 590a-590f); the Bankhead-Jones Farm Tenant Act (16 U.S.C. 1010 and 1011); and the Food and Agricultural Act of 1962 (P.L. 87–703). It is authorized under subtitle H, title XV of the Agricultural and Food Act of 1981 (16 U.S.C. 3451–3461), as amended. The program was permanently authorized by the Farm Security and Rural Investment Act of 2002 and further amended by the Food, Conservation, and Energy Act of 2008 (P.L. 110–246). No funding was appropriated for the RC&D Program in 2012 and 2013, and the 2014 Budget requests no funding for the program. After decades of Federal assistance, many RC&D Councils supported by the program have developed sufficiently strong State and local ties and are now able to secure funding for their continued operation without the need for ongoing

Federal assistance. The 2014 Budget includes a proposal to cancel remaining RC&D unobligated balances of \$2.017 million.

HEALTHY FORESTS RESERVE PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 12–1090–0–1–302	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	.....
3020 Outlays (gross) .....	.....	–1	.....
3050 Unpaid obligations, end of year .....	1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	.....
3200 Obligated balance, end of year .....	1	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	.....	1	.....
4190 Outlays, net (total) .....	.....	1	.....

Title V of the Healthy Forests Restoration Act of 2003 (Public Law 108–148) authorized the establishment of the Healthy Forests Reserve Program (HFRP). This program assists landowners in restoring, enhancing and protecting forest ecosystems to 1) promote the recovery of threatened and endangered species; 2) improve biodiversity; and 3) enhance carbon sequestration.

NRCS implements this voluntary program. Only privately held land is eligible for enrollment into HFRP. Land enrolled in HFRP must have a restoration plan that includes practices necessary to restore and enhance habitat for species listed as threatened or endangered or candidates for the threatened or endangered species list. Technical assistance will be provided by USDA to assist owners in complying with the terms of restoration plans under HFRP.

The 2014 Budget does not request discretionary funding for the Healthy Forests Reserve Program.

GREAT PLAINS CONSERVATION PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 12–2268–0–1–302	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	.....	.....
1029 Other balances withdrawn .....	.....	–1	.....

The 1996 Farm Bill combined the authority for this and several other conservation programs into the Environmental Quality Incentives Program. The program provided cost-share assistance to participating landowners and operators in ten Great Plains states to develop and install long-term conservation plans and practices on their lands. The 2012 enacted level includes a general provision to rescind unobligated balances in this account.

FORESTRY INCENTIVES PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 12–3336–0–1–302	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	.....	.....

1029	Other balances withdrawn .....	-6		
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No funds are proposed for the Forestry Incentives Program (FIP). The FIP has not been reauthorized. Prior-year account balances are maintained in this account until expended. FIP shared up to 65 percent of the cost of tree planting and timber stand improvement in designated counties. Technical assistance was provided by the Forest Service. The 2012 Agriculture appropriations general provisions rescinded the unobligated balances in this account.

**WATER BANK PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 12-3320-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	7	7	
0900 Total new obligations (object class 41.0) .....	7	7	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7	7	
1160 Appropriation, discretionary (total) .....	7	7	
1900 Budget authority (total) .....	7	7	
1930 Total budgetary resources available .....	7	7	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		7	12
3010 Obligations incurred, unexpired accounts .....	7	7	
3020 Outlays (gross) .....		-2	-2
3050 Unpaid obligations, end of year .....	7	12	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		7	12
3200 Obligated balance, end of year .....	7	12	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	7	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	
4011 Outlays from discretionary balances .....		1	2
4020 Outlays, gross (total) .....		2	2
4180 Budget authority, net (total) .....	7	7	
4190 Outlays, net (total) .....		2	2

The objectives of the Water Bank Program are to conserve water; to preserve, maintain, and improve the Nation's wetlands; to increase waterfowl habitat in migratory waterfowl nesting, breeding, and feeding areas in the United States; and to secure recreational and environmental benefits for the Nation. The program was authorized by the Water Bank Act of 1970, as amended by Public Law 96-182, approved January 2, 1980. The 2012 enacted level included \$7.5 million for this program. No funding is requested in the 2014 Budget, given that the program is duplicative of the Wetlands Reserve Program, USDA's primary wetlands conservation program.

**Employment Summary**

Identification code 12-3320-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2	1	

**Trust Funds**

**MISCELLANEOUS CONTRIBUTED FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 12-8210-0-7-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			1
Receipts:			
0220 Miscellaneous Contributed Funds .....		1	1
0400 Total: Balances and collections .....		1	2
0799 Balance, end of year .....		1	2

**Program and Financing** (in millions of dollars)

Identification code 12-8210-0-7-302	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2

Funds received in this account from State, local, and other organizations are available for work under cooperative agreements for soil survey, watershed protection, and resource conservation and development activities.

**RURAL DEVELOPMENT**

**Federal Funds**

**RURAL DEVELOPMENT SALARIES AND EXPENSES**

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses for carrying out the administration and implementation of programs in the Rural Development mission area, including activities with institutions concerning the development and operation of agricultural cooperatives; and for cooperative agreements; \$204,695,000: Provided, That \$32,000,000 shall be for the Comprehensive Loan Accounting System: Provided further, That notwithstanding any other provision of law, funds appropriated under this heading may be used for advertising and promotional activities that support the Rural Development mission area: Provided further, That any balances available from prior years for the Rural Utilities Service, Rural Housing Service, and the Rural Business-Cooperative Service salaries and expenses accounts shall be transferred to and merged with this appropriation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-0403-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	182	183	204
0801 Reimbursable program .....	489	476	457
0900 Total new obligations .....	671	659	661
<b>Budgetary Resources:</b>			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts .....	4	1	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	5	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	182	183	204
1160 Appropriation, discretionary (total) .....	182	183	204
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	480	475	457

RURAL DEVELOPMENT SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 12-0403-0-1-452	2012 actual	2013 CR	2014 est.
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	485	475	457
1900 Budget authority (total) .....	667	658	661
1930 Total budgetary resources available .....	672	659	661
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	164	129	124
3010 Obligations incurred, unexpired accounts .....	671	659	661
3011 Obligations incurred, expired accounts .....	11		
3020 Outlays (gross) .....	-707	-664	-651
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	129	124	134
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	163	123	118
3200 Obligated balance, end of year .....	123	118	128
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	667	658	661
Outlays, gross:			
4010 Outlays from new discretionary authority .....	579	560	561
4011 Outlays from discretionary balances .....	128	104	90
4020 Outlays, gross (total) .....	707	664	651
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-480	-475	-457
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4070 Budget authority, net (discretionary) .....	182	183	204
4080 Outlays, net (discretionary) .....	227	189	194
4180 Budget authority, net (total) .....	182	183	204
4190 Outlays, net (total) .....	227	189	194

The Rural Development Salaries and Expenses (S&E) account is a consolidated account to administer all Rural Development programs, including programs administered by the Rural Utilities Service (RUS), the Rural Housing Service (RHS), and the Rural Business-Cooperative Service (RBS).

RUS provides grants, direct loans and loan guarantees to suppliers of electric, telecommunications (for general purpose and for distance learning/telemedicine), and water and wastewater services in rural areas. Through the water and wastewater program, RUS also provides technical assistance. The electric and telecommunications loan and grant programs are administered in the national office in Washington, DC. The Rural Development field office staff performs the services related to the water and wastewater grant and loan programs. Program staff for the electric and telecommunication loans programs are general field representatives, who visit borrowers periodically and serve as liaisons between the borrowers and headquarters.

RHS delivers rural housing and community facility programs through a system of area, local, and State and national offices.

RBS delivers direct loans, loan guarantees, grants, technical assistance, and payment programs to cooperatives and other rural businesses.

The 2014 includes a \$32M set aside for the Comprehensive Loan Program (CLP) accounting system. The CLP investments will provide benefits to all RD stakeholders and program beneficiaries, including: improved data integrity, system reliability, and portfolio performance information; more user friendly interfaces for

customers and employees alike; and the ability to adopt loan program changes more quickly.

Object Classification (in millions of dollars)

Identification code 12-0403-0-1-452	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	100	98	109
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	101	99	110
12.1 Civilian personnel benefits .....	32	32	35
13.0 Benefits for former personnel .....	4	1	
21.0 Travel and transportation of persons .....	3	3	3
23.2 Rental payments to others .....	5	4	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....		6	10
25.2 Other services from non-Federal sources .....	11	9	9
25.3 Other goods and services from Federal sources .....	14	17	19
25.4 Operation and maintenance of facilities .....	2	2	2
25.5 Research and development contracts .....	7	7	8
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	182	183	204
99.0 Reimbursable obligations .....	489	476	457
99.9 Total new obligations .....	671	659	661

Employment Summary

Identification code 12-0403-0-1-452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,410	1,392	1,547
2001 Reimbursable civilian full-time equivalent employment .....	3,783	3,608	3,453

RURAL COMMUNITY ADVANCEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-0400-0-1-452	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-1		

Until 2008, this account was used to consolidate, under the Rural Community Advancement Program (RCAP), funding for the direct and guaranteed water and waste disposal loans, water and waste disposal grants, emergency community water assistance grants, solid waste management grants, direct and guaranteed community facility loans, community facility grants, direct and guaranteed business and industry loans, rural business enterprise grants, and rural business opportunity grants. This was in accordance with the provisions set forth in the Federal Agriculture Improvement and Reform Act of 1996, as amended, Public Law 104-127 (the 1996 Act). The final remaining balances have been rescinded.

RURAL HOUSING SERVICE

Federal Funds

RURAL HOUSING ASSISTANCE GRANTS

For grants for very low-income housing repair made by the Rural Housing Service, as authorized by 42 U.S.C. 1474, \$25,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12–1953–0–1–604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0012 Very Low-Income Housing Repair Grants .....	30	30	25
0016 Rural Housing Preservation Grants .....	5	4	1
0018 Processing Workers Grants .....			2
0900 Total new obligations (object class 41.0) .....	35	34	28
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4	4	
1021 Recoveries of prior year unpaid obligations .....	2	1	
1050 Unobligated balance (total) .....	6	5	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	33	33	25
1160 Appropriation, discretionary (total) .....	33	33	25
1930 Total budgetary resources available .....	39	38	29
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	19	12
3010 Obligations incurred, unexpired accounts .....	35	34	28
3020 Outlays (gross) .....	–39	–40	–33
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2	–1	
3050 Unpaid obligations, end of year .....	19	12	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	19	12
3200 Obligated balance, end of year .....	19	12	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	33	33	25
Outlays, gross:			
4010 Outlays from new discretionary authority .....	27	28	24
4011 Outlays from discretionary balances .....	12	12	9
4020 Outlays, gross (total) .....	39	40	33
4180 Budget authority, net (total) .....	33	33	25
4190 Outlays, net (total) .....	39	40	33

The very low-income housing repair grant program is authorized under section 504 of the Housing Act of 1949, as amended. This grant program enables very low-income elderly residents in rural areas to improve or modernize their dwellings, to make the dwelling safer or more sanitary, or to remove health and safety hazards. The Budget requests \$25 million for this program in 2014.

No funding is requested in the 2014 Budget for the rural housing preservation grant program. USDA's preservation activities for multifamily housing are being carried out through programs in the multifamily housing revitalization account.

For other housing assistance grants authorized for funding in this account such as supervisory and technical assistance grants as authorized by section 509(f) and 525 of the Housing Act of 1949, as amended, no funding is requested in the 2014 Budget, which is the same as the 2013 CR level.

**FARM LABOR PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12–1954–0–1–604	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4		
1010 Unobligated balance transfer to other accts [12–2081] .....	–4		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	110		
3030 Unpaid obligations transferred to other accts [12–2081] .....	–110		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	110		

The direct farm labor loan program is authorized under section 514 and the rural housing for domestic farm labor grant program is authorized under section 516 of the Housing Act of 1949, as amended. The loans, grants, and contracts are made to public and private nonprofit organizations for low-rent housing and related facilities for domestic farm labor. Grants assistance may not exceed 90 percent of the cost of a project. Loans and grants may be used for construction of new structures, site acquisition and development, rehabilitation of existing structures, and purchase of furnishings and equipment for dwellings, dining halls, community rooms, and infirmaries. In order to gain efficiencies in administering the program, the farm labor housing program was merged with the Rural Housing Insurance Fund (RHIF) in 2012.

**RENTAL ASSISTANCE PROGRAM**

*For rental assistance agreements entered into or renewed pursuant to the authority under section 521(a)(2) or agreements entered into in lieu of debt forgiveness or payments for eligible households as authorized by section 502(c)(5)(D) of the Housing Act of 1949, \$1,015,050,000; and, in addition, such sums as may be necessary, as authorized by section 521(c) of the Act, to liquidate debt incurred prior to fiscal year 1992 to carry out the rental assistance program under section 521(a)(2) of the Act: Provided, That of this amount not less than \$3,000,000 is for newly constructed units financed under sections 514 and 516 of the Housing Act of 1949: Provided further, That rental assistance agreements entered into or renewed during the current fiscal year shall be funded for a 1-year period: Provided further, That any unexpended balances remaining at the end of such one-year agreements may be transferred and used for the purposes of any debt reduction; maintenance, repair, or rehabilitation of any existing projects; preservation; and rental assistance activities authorized under title V of the Act: Provided further, That rental assistance provided under agreements entered into prior to fiscal year 2014 for a farm labor multi-family housing project financed under section 514 or 516 of the Act may not be recaptured for use in another project until such assistance has remained unused for a period of 6 consecutive months, if such project has a waiting list of tenants seeking such assistance or the project has rental assistance eligible tenants who are not receiving such assistance: Provided further, That such recaptured rental assistance shall, to the extent practicable, be applied to another farm labor multi-family housing project financed under section 514 or 516 of the Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12–0137–0–1–604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Rental assistance program .....	905	910	1,015
0900 Total new obligations (object class 41.0) .....	905	910	1,015
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	905	910	1,015
1100 Appropriation .....	24	34	34
1139 Appropriations substituted for borrowing authority .....	–24	–34	–34
1160 Appropriation, discretionary (total) .....	905	910	1,015
1930 Total budgetary resources available .....	905	910	1,015

RENTAL ASSISTANCE PROGRAM—Continued  
Program and Financing—Continued

Identification code 12-0137-0-1-604	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, appropriation, start of year .....	1,186	975	807
3010 Obligations incurred, unexpired accounts .....	905	910	1,015
3020 Outlays (gross) .....	-1,116	-1,078	-927
3050 Unpaid obligations, end of year .....	975	807	895
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,186	975	807
3200 Obligated balance, end of year .....	975	807	895
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	905	910	1,015
Outlays, gross:			
4010 Outlays from new discretionary authority .....	222	273	305
4011 Outlays from discretionary balances .....	894	805	622
4020 Outlays, gross (total) .....	1,116	1,078	927
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	905	910	1,015
4080 Outlays, net (discretionary) .....	1,115	1,078	927
4180 Budget authority, net (total) .....	905	910	1,015
4190 Outlays, net (total) .....	1,115	1,078	927

The rental assistance program is authorized under section 521(a)(2) of the Housing Act of 1949, as amended, and is designed to reduce rent expenses for very low-income and low-income families living in RHS-financed rural rental and farm labor housing projects. Funding under this account is provided for renewals of existing rental assistance contracts and assistance for newly constructed units financed by the section 515 loan program and the 514/516 farm labor housing loan and grant programs. At USDA's discretion, some funds may also be used for additional servicing assistance for existing projects. For 2014, the request for rental assistance grants is for one year contracts with one-year availability, with a total funding level of \$1.015 billion.

The 2014 Budget proposes legislation to gain authorities for RHS to have access to the Health and Human Services National Database of New Hires as well as the IRS data, similar to what the Department of Housing and Urban Development has for its projects-based rental program.

From 1978 through 1991, the rental assistance program was funded under the Rural Housing Insurance Fund (RHIF). Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for this program. Prior year obligations are funded with "such sums" amounts to cover those pre-credit reform contracts in RHIF.

MULTI-FAMILY HOUSING REVITALIZATION PROGRAM ACCOUNT

For the rural housing voucher program as authorized under section 542 of the Housing Act of 1949, but notwithstanding subsection (b) of such section, and for additional costs to conduct a demonstration program for the preservation and revitalization of multi-family rental housing properties described in this paragraph, \$32,575,000, to remain available until expended: Provided, That of the funds made available under this heading, \$12,575,000, shall be available for rural housing vouchers to any low-income household (including those not receiving rental assistance) residing in a property financed with a section 515 loan which has been prepaid after September 30, 2005: Provided further, That the amount of such voucher shall be the difference between comparable market rent for the section 515 unit and the tenant paid rent for such unit: Provided further, That funds made available for such vouchers shall be subject to the

availability of annual appropriations: Provided further, That the Secretary shall, to the maximum extent practicable, administer such vouchers with current regulations and administrative guidance applicable to section 8 housing vouchers administered by the Secretary of the Department of Housing and Urban Development: Provided further, That if the Secretary determines that the amount made available for vouchers in this or any other Act is not needed for vouchers, the Secretary may use such funds for the demonstration program for the preservation and revitalization of multi-family rental housing properties described in this paragraph: Provided further, That of the funds made available under this heading, \$20,000,000 shall be available for a demonstration program for the preservation and revitalization of the sections 514, 515, and 516 multi-family rental housing properties to restructure existing USDA multi-family housing loans, as the Secretary deems appropriate, expressly for the purposes of ensuring the project has sufficient resources to preserve the project for the purpose of providing safe and affordable housing for low-income residents and farm laborers including reducing or eliminating interest; deferring loan payments, subordinating, reducing or reamortizing loan debt; and other financial assistance including advances, payments and incentives (including the ability of owners to obtain reasonable returns on investment) required by the Secretary: Provided further, That the Secretary shall as part of the preservation and revitalization agreement obtain a restrictive use agreement consistent with the terms of the restructuring: Provided further, That if the Secretary determines that additional funds for vouchers described in this paragraph are needed, funds for the preservation and revitalization demonstration program may be used for such vouchers: Provided further, That if Congress enacts legislation to permanently authorize a multi-family rental housing loan restructuring program similar to the demonstration program described herein, the Secretary may use funds made available for the demonstration program under this heading to carry out such legislation with the prior notification to the Committees on Appropriations of both Houses of Congress: Provided further, That in addition to any other available funds, the Secretary may expend not more than \$1,000,000 total, from the program funds made available under this heading, for administrative expenses for activities funded under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-2002-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Grants .....	11	21	18
Credit program obligations:			
0701 Direct loan subsidy .....	8	10	18
0703 Subsidy for modifications of direct loans .....	3	3	
0705 Reestimates of direct loan subsidy .....	28	16	
0706 Interest on reestimates of direct loan subsidy .....	5	5	
0709 Administrative expenses .....	1	2	
0791 Direct program activities, subtotal .....	45	36	18
0900 Total new obligations (object class 41.0) .....	56	57	36
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	23	3
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	27	23	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1		
1021 Recoveries of prior year unpaid obligations .....	5	3	
1050 Unobligated balance (total) .....	33	26	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	33
1160 Appropriation, discretionary (total) .....	13	13	33
Appropriations, mandatory:			
1200 Appropriation .....	33	21	
1260 Appropriations, mandatory (total) .....	33	21	
1900 Budget authority (total) .....	46	34	33
1930 Total budgetary resources available .....	79	60	36

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	23	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	49	45
3010	Obligations incurred, unexpired accounts .....	56	57
3020	Outlays (gross) .....	-57	-38
3031	Unpaid obligations transferred from other accts [12-2081] .....	2	
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5	-3
3050	Unpaid obligations, end of year .....	45	61
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	49	45
3200	Obligated balance, end of year .....	45	61

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	13	13
Outlays, gross:			
4010	Outlays from new discretionary authority .....	2	4
4011	Outlays from discretionary balances .....	22	13
4020	Outlays, gross (total) .....	24	17
Mandatory:			
4090	Budget authority, gross .....	33	21
Outlays, gross:			
4100	Outlays from new mandatory authority .....	33	21
4180	Budget authority, net (total) .....	46	34
4190	Outlays, net (total) .....	57	38

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 12-2002-0-1-604			
	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001	Multi-Family Housing Relending Demo .....	4	5
115002	Multi-Family Housing Revitalization Seconds .....	5	9
115003	Multi-Family Revitalization Zero .....	6	5
115999	Total direct loan levels .....	15	19
Direct loan subsidy (in percent):			
132001	Multi-Family Housing Relending Demo .....	36.84	36.18
132002	Multi-Family Housing Revitalization Seconds .....	61.74	61.44
132003	Multi-Family Revitalization Zero .....	54.29	58.28
132999	Weighted average subsidy rate .....	52.12	53.96
Direct loan subsidy budget authority:			
133001	Multi-Family Housing Relending Demo .....	2	2
133002	Multi-Family Housing Revitalization Seconds .....	3	6
133003	Multi-Family Revitalization Zero .....	3	3
133999	Total subsidy budget authority .....	8	11
Direct loan subsidy outlays:			
134001	Multi-Family Housing Relending Demo .....	2	1
134002	Multi-Family Housing Revitalization Seconds .....	5	3
134003	Multi-Family Revitalization Zero .....	2	1
134004	Multi-Family Housing Revitalization Seconds Disasters .....	1	
134006	Multi-Family Housing Revitalization Modifications .....	5	2
134999	Total subsidy outlays .....	15	7
Direct loan upward reestimates:			
135003	Multi-Family Housing Revitalization Zero .....	1	1
135006	Multi-Family Housing Revitalization Modifications .....	32	21
135999	Total upward reestimate budget authority .....	33	22
Direct loan downward reestimates:			
137002	Multi-Family Housing Revitalization Seconds .....	-3	-3
137003	Multi-Family Revitalization Zero .....	-1	
137006	Multi-Family Housing Revitalization Modifications .....	-6	
137999	Total downward reestimate budget authority .....	-10	-3

USDA's portfolio of multifamily housing projects provides housing for nearly half a million low-income families, many of whom are elderly. Projects that received their financing prior to 1989 are allowed to prepay and leave the program. USDA may assist families displaced by sponsors' prepayments by providing them with letters of priority and vouchers, which were newly funded in 2006. The Budget requests \$12.6 million in 2014 for housing vouchers for residents of projects whose sponsors prepay their outstanding indebtedness on USDA loans and leave the program. In addition, the Budget requests \$20 million for continuation of the multi-family housing revitalization pilot program

in 2014. This funding will allow USDA to focus on management of the current multifamily housing portfolio to ensure that the USDA-financed properties continue to provide decent, safe, affordable housing for their rural tenant population.

Prior year obligated balances reflect funding for rental assistance for newly constructed units provided in limited amounts in 1984 and 1985. From 1986 through 1991 rental assistance for newly constructed units, as well as existing rental assistance contract renewals and additional servicing assistance for existing projects, had been funded under the Rural Housing Insurance Fund. Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for the rental assistance program.

**MULTIFAMILY HOUSING REVITALIZATION DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4269-0-3-604			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	Direct loan obligations .....	15	19
0713	Payment of interest to Treasury .....	10	15
0742	Downward reestimate paid to receipt account .....	10	3
0743	Interest on downward reestimates .....	1	
0744	Adjusting payments to liquidating accounts .....	62	
0900	Total new obligations .....	98	37

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	25	20
1021	Recoveries of prior year unpaid obligations .....	28	
1023	Unobligated balances applied to repay debt .....	-38	-20
1024	Unobligated balance of borrowing authority withdrawn .....	-15	
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	127	37
1421	Borrowing authority applied to repay debt .....	-9	
1440	Borrowing authority, mandatory (total) .....	118	37
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	80	36
1801	Change in uncollected payments, Federal sources .....	-7	-7
1825	Spending authority from offsetting collections applied to repay debt .....	-73	-29
1900	Financing authority (total) .....	118	37
1930	Total budgetary resources available .....	118	37
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	20	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	150	131
3010	Obligations incurred, unexpired accounts .....	98	37
3020	Financing disbursements (gross) .....	-89	-48
3040	Recoveries of prior year unpaid obligations, unexpired .....	-28	
3050	Unpaid obligations, end of year .....	131	120
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-40	-33
3070	Change in uncollected pymts, Fed sources, unexpired .....	7	7
3090	Uncollected pymts, Fed sources, end of year .....	-33	-26
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	110	98
3200	Obligated balance, end of year .....	98	94

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	118	37
Financing disbursements:			
4110	Financing disbursements, gross .....	89	48
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources - subsidy outlays from program account .....	-47	-29
4120	Revitalization loan transfers .....	-27	
4122	Interest on uninvested funds .....	-4	-5
4123	Repayments of Principal .....	-2	-1
4123	Interest received on loans .....		-1

MULTIFAMILY HOUSING REVITALIZATION DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 12-4269-0-3-604	2012 actual	2013 CR	2014 est.
4130 Offsets against gross financing auth and disbursements (total) .....	-80	-36	-23
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	7	7	16
4160 Financing authority, net (mandatory) .....	45	8	44
4170 Financing disbursements, net (mandatory) .....	9	12	25
4180 Financing authority, net (total) .....	45	8	44
4190 Financing disbursements, net (total) .....	9	12	25

Status of Direct Loans (in millions of dollars)

Identification code 12-4269-0-3-604	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	15	19	36
1150 Total direct loan obligations .....	15	19	36
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	348	414	443
Disbursements:			
1231 Direct loan disbursements .....	16	9	18
1233 Purchase of loans assets from a liquidating account .....	62	21	14
1251 Repayments: Repayments and prepayments .....	-2	-1	-1
1264 Write-offs for default: Adjusting payment to the liquidating account .....	-10		
1290 Outstanding, end of year .....	414	443	474

Balance Sheet (in millions of dollars)

Identification code 12-4269-0-3-604	2011 actual	2012 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	55	19
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	348	414
1402 Interest receivable .....	25	58
1405 Allowance for subsidy cost (-) .....	-214	-305
1499 Net present value of assets related to direct loans .....	159	167
1999 Total assets .....	214	186
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury .....	214	186
4999 Total liabilities and net position .....	214	186

MUTUAL AND SELF-HELP HOUSING GRANTS

For grants and contracts pursuant to section 523(b)(1)(A) of the Housing Act of 1949 (42 U.S.C. 1490c), \$10,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-2006-0-1-604	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Mutual and self-help housing grants .....	38	43	23
0900 Total new obligations (object class 41.0) .....	38	43	23
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	13	13
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	19	13	
1021 Recoveries of prior year unpaid obligations .....	2	13	
1050 Unobligated balance (total) .....	21	26	13

Budget authority:	2012 actual	2013 CR	2014 est.
Appropriations, discretionary:			
1100 Appropriation .....	30	30	10
1160 Appropriation, discretionary (total) .....	30	30	10
1930 Total budgetary resources available .....	51	56	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	13	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	64	67	62
3010 Obligations incurred, unexpired accounts .....	38	43	23
3020 Outlays (gross) .....	-33	-35	-39
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-13	
3050 Unpaid obligations, end of year .....	67	62	46
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	64	67	62
3200 Obligated balance, end of year .....	67	62	46

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	30	30	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	5	2
4011 Outlays from discretionary balances .....	28	30	37
4020 Outlays, gross (total) .....	33	35	39
4180 Budget authority, net (total) .....	30	30	10
4190 Outlays, net (total) .....	33	35	39

This program is authorized under section 523 of the Housing Act of 1949, as amended. Grants and contracts are made for the purpose of providing technical and supervisory assistance to groups of families to enable them to build their own homes through the mutual exchange of labor. The 2014 Budget requests \$10 million.

RURAL COMMUNITY FACILITIES PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct loans as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, \$1,500,000,000.

For the cost of grants for rural community facilities programs as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, \$17,000,000, to remain available until expended: Provided, That \$4,000,000 of the amount appropriated under this heading shall be available for community facilities grants to tribal colleges, as authorized by section 306(a)(19) of such Act: Provided further, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to the funds made available under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-1951-0-1-452	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0010 CF Grants .....	15	14	15
0012 Rural Community Development Initiative Grants .....	9	4	5
0013 Economic Impact Initiative Grants .....	8	9	2
0015 Tribal College Grants .....		3	4
0018 CF Grants Emergency Supplemental .....			1
0091 Direct program activities, subtotal .....	32	30	27
Credit program obligations:			
0702 Loan guarantee subsidy .....	10	8	3
0705 Reestimates of direct loan subsidy .....	2	24	
0706 Interest on reestimates of direct loan subsidy .....	1	6	
0707 Reestimates of loan guarantee subsidy .....	15	7	
0708 Interest on reestimates of loan guarantee subsidy .....	2	1	
0791 Direct program activities, subtotal .....	30	46	3

0900	Total new obligations (object class 41.0)	62	76	30
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	14	10	13
1001	Discretionary unobligated balance brought fwd, Oct 1	14	10	
1020	Adjustment of unobligated bal brought forward, Oct 1	-1		
1020	Adjustment of unobligated bal brought forward, Oct 1	-8		
1021	Recoveries of prior year unpaid obligations	7	12	
1050	Unobligated balance (total)	12	22	13
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	30	29	17
1130	Appropriations permanently reduced	-1		
1160	Appropriation, discretionary (total)	29	29	17
Appropriations, mandatory:				
1200	Appropriation	20	38	
1260	Appropriations, mandatory (total)	20	38	
1700	Spending authority from offsetting collections, discretionary: Collected	11		
1750	Spending auth from offsetting collections, disc (total)	11		
1900	Budget authority (total)	60	67	17
1930	Total budgetary resources available	72	89	30
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	10	13	

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	185	137	90
3001	Adjustments to unpaid obligations, brought forward, Oct 1			
	1	8		
3010	Obligations incurred, unexpired accounts	62	76	30
3020	Outlays (gross)	-107	-111	-53
3040	Recoveries of prior year unpaid obligations, unexpired	-7	-12	
3041	Recoveries of prior year unpaid obligations, expired	-4		
3050	Unpaid obligations, end of year	137	90	67
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	193	137	90
3200	Obligated balance, end of year	137	90	67

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	40	29	17
Outlays, gross:				
4010	Outlays from new discretionary authority	5	4	3
4011	Outlays from discretionary balances	82	69	50
4020	Outlays, gross (total)	87	73	53
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-11		
Mandatory:				
4090	Budget authority, gross	20	38	
Outlays, gross:				
4100	Outlays from new mandatory authority	20	38	
4180	Budget authority, net (total)	49	67	17
4190	Outlays, net (total)	96	111	53

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 12-1951-0-1-452	2012 actual	2013 CR	2014 est.	
Direct loan levels supportable by subsidy budget authority:				
115002	Community Facility Loans	1,271	1,300	1,500
115999	Total direct loan levels	1,271	1,300	1,500
Direct loan subsidy (in percent):				
132002	Community Facility Loans	-3.03	-2.08	-13.21
132999	Weighted average subsidy rate	-3.03	-2.08	-13.21
Direct loan subsidy budget authority:				
133002	Community Facility Loans	-39	-27	-198
133999	Total subsidy budget authority	-39	-27	-198
Direct loan subsidy outlays:				
134002	Community Facility Loans	3	-11	-39
134003	Community Facility Emergency Supplemental Loans	2		
134004	Community Facility Loans - ARRA	6	3	2
134999	Total subsidy outlays	11	-8	-37
Direct loan upward reestimates:				
135002	Community Facility Loans	3	30	
135999	Total upward reestimate budget authority	3	30	

Direct loan downward reestimates:				
137002	Community Facility Loans	-130	-55	
137999	Total downward reestimate budget authority	-130	-55	
Guaranteed loan levels supportable by subsidy budget authority:				
215002	Community Facility Loan Guarantees	202	125	49
215999	Total loan guarantee levels	202	125	49
Guaranteed loan subsidy (in percent):				
232002	Community Facility Loan Guarantees	4.73	6.75	6.34
232999	Weighted average subsidy rate	4.73	6.70	6.21
Guaranteed loan subsidy budget authority:				
233002	Community Facility Loan Guarantees	10	8	3
233999	Total subsidy budget authority	10	8	3
Guaranteed loan subsidy outlays:				
234002	Community Facility Loan Guarantees		9	6
234999	Total subsidy outlays		9	6
Guaranteed loan upward reestimates:				
235002	Community Facility Loan Guarantees	17	8	
235999	Total upward reestimate budget authority	17	8	
Guaranteed loan downward reestimates:				
237002	Community Facility Loan Guarantees	-2	-13	
237999	Total downward reestimate subsidy budget authority	-2	-13	

This account funds the direct and guaranteed community facility loans and community facility grants, which are authorized under sections 306(a)(1) and 306(a)(19) of the Consolidated Farm and Rural Development Act, as amended. Loans are provided to local governments and nonprofit organizations for the construction and improvement of community facilities providing essential services in rural areas of not more than 20,000 population, such as hospitals and fire stations. Total program level in 2014 is projected to be \$1.5 billion for direct loans. The 2014 Budget proposes no guaranteed loans due to an increase in the cost of the program and because it is likely that some demand for the guarantee program will be filled with the increase in the direct loan program. The 2014 Budget requests \$17 million for grant purposes. This includes \$13 million for regular community facilities grants and \$4 million for Tribal College grants.

**RURAL COMMUNITY FACILITY DIRECT LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4225-0-3-452	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0710	Direct loan obligations	1,271	1,300	1,500
0713	Payment of interest to Treasury	199	214	221
0740	Negative subsidy obligations	39	27	198
0742	Downward reestimate paid to receipt account	102	48	
0743	Interest on downward reestimates	27	7	
0900	Total new obligations	1,638	1,596	1,919

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	35		
1021	Recoveries of prior year unpaid obligations	127		
1023	Unobligated balances applied to repay debt	-38		
1024	Unobligated balance of borrowing authority withdrawn	-124		
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	1,446	1,118	1,386
1440	Borrowing authority, mandatory (total)	1,446	1,118	1,386
Spending authority from offsetting collections, mandatory:				
1800	Collected	405	486	537
1801	Change in uncollected payments, Federal sources	-16	-8	-4
1825	Spending authority from offsetting collections applied to repay debt	-197		
1850	Spending auth from offsetting collections, mand (total)	192	478	533
1900	Financing authority (total)	1,638	1,596	1,919

RURAL COMMUNITY FACILITY DIRECT LOANS FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 12-4225-0-3-452	2012 actual	2013 CR	2014 est.
1930 Total budgetary resources available .....	1,638	1,596	1,919
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,993	2,410	2,659
3010 Obligations incurred, unexpired accounts .....	1,638	1,596	1,919
3020 Financing disbursements (gross) .....	-1,094	-1,347	-1,633
3040 Recoveries of prior year unpaid obligations, unexpired .....	-127		
3050 Unpaid obligations, end of year .....	2,410	2,659	2,945
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-36	-20	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	16	8	4
3090 Uncollected pymts, Fed sources, end of year .....	-20	-12	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,957	2,390	2,647
3200 Obligated balance, end of year .....	2,390	2,647	2,937

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	1,638	1,596	1,919
Financing disbursements:			
4110 Financing disbursements, gross .....	1,094	1,347	1,633
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-17	-38	-4
4122 Interest on uninvested funds .....	-23	-54	-64
4123 Repayment of principal .....	-365	-204	-243
4123 Interest received on loans .....		-190	-226
4130 Offsets against gross financing auth and disbursements (total) .....	-405	-486	-537
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	16	8	4
4160 Financing authority, net (mandatory) .....	1,249	1,118	1,386
4170 Financing disbursements, net (mandatory) .....	689	861	1,096
4180 Financing authority, net (total) .....	1,249	1,118	1,386
4190 Financing disbursements, net (total) .....	689	861	1,096

Status of Direct Loans (in millions of dollars)

Identification code 12-4225-0-3-452	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	1,271	1,300	1,500
1150 Total direct loan obligations .....	1,271	1,300	1,500
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	3,737	4,282	5,092
1231 Disbursements: Direct loan disbursements .....	762	1,014	1,173
1251 Repayments: Repayments and prepayments .....	-205	-204	-243
1261 Adjustments: Capitalized interest .....	1		
1263 Write-offs for default: Direct loans .....	-13		
1290 Outstanding, end of year .....	4,282	5,092	6,022

This account reflects the funding from direct community facility loans to non-profit organizations and local governments for the construction and improvement of community facilities providing essential services in rural areas, such as hospitals, libraries, and fire/police stations.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 12-4225-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	111	193
Investments in US securities:		
1106 Receivables, net .....		24
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	3,737	4,282
1402 Interest receivable .....	35	45
1405 Allowance for subsidy cost (-) .....	-171	-6
1499 Net present value of assets related to direct loans .....	3,601	4,321
1999 Total assets .....	3,712	4,538
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	3,712	4,483
2105 Other .....		55
2999 Total liabilities .....	3,712	4,538
4999 Total liabilities and net position .....	3,712	4,538

RURAL COMMUNITY FACILITY GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4228-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	8	8	9
0742 Downward reestimate paid to receipt account .....	2	10	
0743 Interest on downward reestimates .....	1	3	
0900 Total new obligations .....	11	21	9
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	63	79	80
1023 Unobligated balances applied to repay debt .....	-2		
1050 Unobligated balance (total) .....	61	79	80
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13	22	11
1801 Change in uncollected payments, Federal sources .....	16		
1850 Spending auth from offsetting collections, mand (total) .....	29	22	11
1900 Financing authority (total) .....	29	22	11
1930 Total budgetary resources available .....	90	101	91
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	79	80	82

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	11	21	9
3020 Financing disbursements (gross) .....	-11	-21	-9
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired .....	-16		
3090 Uncollected pymts, Fed sources, end of year .....	-16	-16	-16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		-16	-16
3200 Obligated balance, end of year .....	-16	-16	-16

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	29	22	11
Financing disbursements:			
4110 Financing disbursements, gross .....	11	21	9
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-7	-17	-6
4122 Interest on uninvested funds .....	-2	-2	-3
4123 Guarantee Fees .....	-3	-2	-1
4123 Repayment of loan principal .....	-1	-1	-1
4130 Offsets against gross financing auth and disbursements (total) .....	-13	-22	-11

Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-16	
4170	Financing disbursements, net (mandatory) .....	-2	-1
4190	Financing disbursements, net (total) .....	-2	-1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 12-4228-0-3-452	2012 actual	2013 CR	2014 est.	
<b>Position with respect to appropriations act limitation on commitments:</b>				
2131	Guaranteed loan commitments exempt from limitation .....	202	125	49
2150	Total guaranteed loan commitments .....	202	125	49
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	1,017	1,173	1,282
2231	Disbursements of new guaranteed loans .....	240	236	139
2251	Repayments and prepayments .....	-78	-117	-128
<b>Adjustments:</b>				
2261	Terminations for default that result in loans receivable .....	-5	-9	-9
2263	Terminations for default that result in claim payments .....	-3	-1	-1
2264	Other adjustments, net .....	2 <sup>1</sup>		
2290	Outstanding, end of year .....	1,173	1,282	1,283
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	938	1,026	1,017
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....	15	19	23
2331	Disbursements for guaranteed loan claims .....	5	6	7
2351	Repayments of loans receivable .....	-1	-1	-1
2364	Other adjustments, net .....		-1 <sup>2</sup>	-1 <sup>3</sup>
2390	Outstanding, end of year .....	19	23	28

<sup>1</sup>This adjustment is for reamortization/assumption of the principal only.

<sup>2</sup>Projections of the following items: Loss settlement for -1M

<sup>3</sup>Projected loss settlement for 1M

This account finances loan guarantee commitments for essential community facilities in rural areas.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**Balance Sheet** (in millions of dollars)

Identification code 12-4228-0-3-452	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	63	63
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	15	19
1505	Allowance for subsidy cost (-) .....		-1
1599	Net present value of assets related to defaulted guaranteed loans .....	15	18
1999	Total assets .....	78	81
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....	2	
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	76	81
2999	Total liabilities .....	78	81
4999	Total liabilities and net position .....	78	81

**RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed loans as authorized by title V of the Housing Act of 1949, to be available from funds in the rural housing insurance fund, as follows: \$360,000,000 shall be for direct loans and \$24,000,000,000 shall be for unsubsidized guaranteed loans; \$26,280,000 for section 504 housing repair loans; \$28,432,000 for section 515 rental housing loans; \$5,000,000 for section 524 site development loans; \$10,000,000 for credit sales of single family housing acquired property; and \$150,000,000 for section 538 guaranteed multi-family housing loans.

For the cost of direct and guaranteed loans, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, as follows: section 502 loans, \$9,792,000 shall be for direct loans; section 504 housing repair loans, \$2,176,000; and repair, rehabilitation, and new construction of section 515 rental housing loans, \$6,656,000: Provided, That to support the loan program level for section 538 guaranteed loans made available under this heading the Secretary may charge or adjust any fees to cover the projected cost of such loan guarantees pursuant to the provisions of the Credit Reform Act of 1990 (2 U.S.C. 661 et seq.), and the interest on such loans may not be subsidized.

In addition, for the cost of direct loans, grants, and contracts, as authorized by 42 U.S.C. 1484 and 1486, \$13,992,000, to remain available until expended, for direct farm labor housing loans and domestic farm labor housing grants and contracts: Provided, That any balances available for the Farm Labor Program Account shall be transferred to and merged with this account.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$417,692,000 shall be paid to the appropriation for "Rural Development, Salaries and Expenses".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-2081-0-1-371	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0011	Farm labor housing grants .....	3	18	14
Credit program obligations:				
0701	Direct loan subsidy .....	65	84	29
0705	Reestimates of direct loan subsidy .....	296	202	
0706	Interest on reestimates of direct loan subsidy .....	83	139	
0707	Reestimates of loan guarantee subsidy .....	341	664	
0708	Interest on reestimates of loan guarantee subsidy .....	55	141	
0709	Administrative expenses .....	431	433	418
0791	Direct program activities, subtotal .....	1,271	1,663	447
0900	Total new obligations .....	1,274	1,681	461

**Budgetary Resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	2	23	12
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	2	23	
1011	Unobligated balance transfer from other accts [12-1954] .....	4		
1021	Recoveries of prior year unpaid obligations .....	7	10	
1050	Unobligated balance (total) .....	13	33	12
<b>Budget authority:</b>				
Appropriations, discretionary:				
1100	Appropriation .....	511	514	450
1120	Appropriations transferred to other accts [12-4609] .....	-2		
1160	Appropriation, discretionary (total) .....	509	514	450
Appropriations, mandatory:				
1200	Appropriation .....	775	1,146	
1260	Appropriations, mandatory (total) .....	775	1,146	
1900	Budget authority (total) .....	1,284	1,660	450
1930	Total budgetary resources available .....	1,297	1,693	462
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	23	12	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	94	157	157

RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 12-2081-0-1-371	2012 actual	2013 CR	2014 est.
3010 Obligations incurred, unexpired accounts .....	1,274	1,681	461
3011 Obligations incurred, expired accounts .....	7		
3020 Outlays (gross) .....	-1,311	-1,671	-480
3030 Unpaid obligations transferred to other accts [12-2002] .....	-2		
3031 Unpaid obligations transferred from other accts [12-1954] .....	110		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	-10	
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	157	157	138
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	94	157	157
3200 Obligated balance, end of year .....	157	157	138
<b>Budget authority and outlays, net:</b>			
<i>Discretionary:</i>			
4000 Budget authority, gross .....	509	514	450
<i>Outlays, gross:</i>			
4010 Outlays from new discretionary authority .....	470	474	429
4011 Outlays from discretionary balances .....	66	51	51
4020 Outlays, gross (total) .....	536	525	480
<i>Offsets against gross budget authority and outlays:</i>			
<i>Offsetting collections (collected) from:</i>			
4033 Non-Federal sources .....	-8		
<i>Additional offsets against gross budget authority only:</i>			
4052 Offsetting collections credited to expired accounts .....	8		
4070 Budget authority, net (discretionary) .....	509	514	450
4080 Outlays, net (discretionary) .....	528	525	480
<i>Mandatory:</i>			
4090 Budget authority, gross .....	775	1,146	
<i>Outlays, gross:</i>			
4100 Outlays from new mandatory authority .....	775	1,146	
4180 Budget authority, net (total) .....	1,284	1,660	450
4190 Outlays, net (total) .....	1,303	1,671	480

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-2081-0-1-371	2012 actual	2013 CR	2014 est.
<i>Direct loan levels supportable by subsidy budget authority:</i>			
115001 Section 502 Single-Family Housing .....	900	717	360
115004 Section 515 Multi-Family Housing .....	59	63	28
115007 Section 504 Housing Repair .....	10	10	26
115011 Section 514 Farm Labor Housing .....	3	53	43
115012 Section 524 Site Development .....			5
115013 Section 523 Self-Help Housing .....		5	
115014 Single-Family Housing Credit Sales .....	1	10	10
115999 Total direct loan levels .....	973	858	472
<i>Direct loan subsidy (in percent):</i>			
132001 Section 502 Single-Family Housing .....	4.73	5.97	2.72
132004 Section 515 Multi-Family Housing .....	34.12	35.17	23.41
132007 Section 504 Housing Repair .....	14.21	13.67	8.28
132011 Section 514 Farm Labor Housing .....	34.15	33.34	23.71
132012 Section 524 Site Development .....			-5.95
132013 Section 523 Self-Help Housing .....		-2.15	
132014 Single-Family Housing Credit Sales .....	-16.85	-8.97	-8.97
132999 Weighted average subsidy rate .....	6.68	9.67	5.83
<i>Direct loan subsidy budget authority:</i>			
133001 Section 502 Single-Family Housing .....	43	43	10
133004 Section 515 Multi-Family Housing .....	20	22	7
133007 Section 504 Housing Repair .....	1	1	2
133011 Section 514 Farm Labor Housing .....	1	18	10
133014 Single-Family Housing Credit Sales .....		-1	-1
133999 Total subsidy budget authority .....	65	83	28
<i>Direct loan subsidy outlays:</i>			
134001 Section 502 Single-Family Housing .....	40	44	17
134002 Section 502 Emergency Supplemental .....	6		
134004 Section 515 Multi-Family Housing .....	25	32	26
134007 Section 504 Housing Repair .....	2	1	2
134011 Section 514 Farm Labor Housing .....	11	12	17
134014 Single-Family Housing Credit Sales .....			-1
134999 Total subsidy outlays .....	84	89	61
<i>Direct loan upward reestimates:</i>			
135001 Section 502 Single-Family Housing .....	371	329	
135004 Section 515 Multi-Family Housing .....	2	3	
135007 Section 504 Housing Repair .....	4	4	
135011 Section 514 Farm Labor Housing .....	1		

135012 Section 524 Site Development .....		1	
135013 Section 523 Self-Help Housing .....	2		
135014 Single-Family Housing Credit Sales .....		3	
135999 Total upward reestimate budget authority .....	380	340	
<i>Direct loan downward reestimates:</i>			
137001 Section 502 Single-Family Housing .....	-7	-14	
137004 Section 515 Multi-Family Housing .....	-12	-14	
137007 Section 504 Housing Repair .....	-2		
137011 Section 514 Farm Labor Housing .....	-3	-6	
137012 Section 524 Site Development .....	-1		
137014 Single-Family Housing Credit Sales .....	-3		
137999 Total downward reestimate budget authority .....	-28	-34	
<i>Guaranteed loan levels supportable by subsidy budget authority:</i>			
215003 Guaranteed 538 Multi-Family Housing .....	103	130	150
215011 Guaranteed 502 Single Family Housing .....	19,213	24,000	24,000
215999 Total loan guarantee levels .....	19,316	24,130	24,150
<i>Guaranteed loan subsidy (in percent):</i>			
232003 Guaranteed 538 Multi-Family Housing .....	-0.06	-0.04	-0.19
232011 Guaranteed 502 Single Family Housing .....	-0.03	-0.25	-0.14
232999 Weighted average subsidy rate .....	-0.03	-0.25	-0.14
<i>Guaranteed loan subsidy budget authority:</i>			
233011 Guaranteed 502 Single Family Housing .....	-6	-60	-34
233999 Total subsidy budget authority .....	-6	-60	-34
<i>Guaranteed loan subsidy outlays:</i>			
234011 Guaranteed 502 Single Family Housing .....	-12	-52	-41
234999 Total subsidy outlays .....	-12	-52	-41
<i>Guaranteed loan upward reestimates:</i>			
235001 Guaranteed 502 Single Family Housing, Purchase .....	315	561	
235002 Guaranteed 502, Refinance .....		42	
235003 Guaranteed 538 Multi-Family Housing .....	20		
235011 Guaranteed 502 Single Family Housing .....	61	203	
235999 Total upward reestimate budget authority .....	396	806	
<i>Guaranteed loan downward reestimates:</i>			
237001 Guaranteed 502 Single Family Housing, Purchase .....		-2	
237002 Guaranteed 502, Refinance .....	-12		
237003 Guaranteed 538 Multi-Family Housing .....	-28		
237999 Total downward reestimate subsidy budget authority .....	-40	-2	
<i>Administrative expense data:</i>			
3510 Budget authority .....	431	433	418
3590 Outlays from new authority .....	431	433	418

*Rural Housing Insurance Fund.*—This fund was established in 1965 (Public Law 89-117) pursuant to section 517 of title V of the Housing Act of 1949, as amended.

The programs funded through the Rural Housing Insurance Fund Program account are: section 502 single family housing direct loans and loan guarantees; section 504 housing repair loans; section 515 multi-family housing direct loans; section 524 housing site loans, single family and multi-family housing credit sales of acquired property, section 538 multi-family housing guarantees, and section 523 self-help housing land development loan program. In addition, the farm labor housing program was merged with this account in 2012, bringing the 514 farm labor housing loans back into this account along with the 516 farm labor housing grants. The 514 loans were originally funded in this account, but were combined into a flexible funding stream in the farm labor housing grants account in 2001. Maintaining the flexible funding stream in the loan program account allows the administration of the farm labor program to be more efficient and less burdensome.

Loan programs are limited to rural areas that include towns, villages, and other places which are not part of an urban area. These areas have a population not in excess of 2,500 inhabitants, or in excess of 2,500, but not in excess of 10,000 if rural in character, or a population in excess of 10,000 but not more than 20,000. Areas are within a standard metropolitan statistical area and have a serious lack of mortgage credit for low- and moderate-income borrowers.

For 2014, the Budget funds single family housing activities primarily through the Section 502 single family housing guaranteed loan program. The Section 502 single family housing guarantees are requested at a \$24 billion loan level for 2014. The subsidy rate for 2014 is a blended rate of the new/purchase single family housing guarantees with the refinanced single housing guarantees, and with the combination annual and up-front fee structure, the subsidy rate continues to be negative.

The 2014 Budget requests a reduced loan level of \$360 million for Section 502 single family housing direct loans. The 2014 Budget requests a funding level of approximately \$28.4 million for Section 515 multi-family housing loans, \$26.3 million for Section 504 very low-income housing repair loans, \$5 million for Section 524 site development loan, and \$10 million for credit sales of acquired property for single family housing loans. No funding is requested for Section 523 self-help housing land development and credit sales of acquired property for multi-family housing.

The 2014 Budget also requests \$150 million in funding for the multi-family housing guaranteed loan program, and continues to include appropriations language that will allow the program to operate without interest subsidy and with a fee, which removes the main subsidy cost drivers in this program.

In addition, the 2014 Budget includes \$23.8 million in farm labor housing loans and \$8.3 million in farm labor housing grants.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 12-2081-0-1-371	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	431	433	418
41.0 Grants, subsidies, and contributions .....	843	1,248	43
99.9 Total new obligations .....	1,274	1,681	461

**RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4215-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 Advances on behalf of borrowers .....	74	74	75
0006 Other expenses .....	22	22	22
0007 Interest Supplemental Paid to Lenders .....	1	1	1
0091 Direct Program by Activities - Subtotal (1 level) .....	97	97	98
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	974	859	472
0713 Payment of interest to Treasury .....	784	802	820
0742 Downward reestimate paid to receipt account .....	17	27	.....
0743 Interest on downward reestimates .....	11	7	.....
0791 Direct program activities, subtotal .....	1,786	1,695	1,292
0900 Total new obligations .....	1,883	1,792	1,390
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	59	103	.....
1021 Recoveries of prior year unpaid obligations .....	57	.....	.....
1023 Unobligated balances applied to repay debt .....	-72	-103	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-44	.....	.....

<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	1,095	75	.....
1440 Borrowing authority, mandatory (total) .....	1,095	75	.....
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1,761	1,762	1,431
1801 Change in uncollected payments, Federal sources .....	-35	-45	-29
1825 Spending authority from offsetting collections applied to repay debt .....	-835	.....	-12
1850 Spending auth from offsetting collections, mand (total) .....	891	1,717	1,390
1900 Financing authority (total) .....	1,986	1,792	1,390
1930 Total budgetary resources available .....	1,986	1,792	1,390
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	103	.....	.....

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, fund balance with Treasury, start of year .....	615	486	497
3010 Obligations incurred, unexpired accounts .....	1,883	1,792	1,390
3020 Financing disbursements (gross) .....	-1,955	-1,781	-1,517
3040 Recoveries of prior year unpaid obligations, unexpired .....	-57	.....	.....
3050 Unpaid obligations, end of year .....	486	497	370
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-143	-108	-63
3070 Change in uncollected pymts, Fed sources, unexpired .....	35	45	29
3090 Uncollected pymts, Fed sources, end of year .....	-108	-63	-34
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	472	378	434
3200 Obligated balance, end of year .....	378	434	336

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Financing authority, gross .....	1,986	1,792	1,390
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....	1,955	1,781	1,517
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources: payments from program account .....	-464	-428	-62
4122 Interest on uninvested funds .....	-61	-66	-68
4123 Non-Federal sources: Repayments of principal .....	-619	-651	-656
4123 Interest received on loans .....	-556	-557	-585
4123 Payments on judgments .....	-11	-8	-8
4123 Proceeds on sale of acquired property .....	-28	-30	-30
4123 Recaptured income .....	-10	-10	-10
4123 Fees .....	-11	-10	-10
4123 Miscellaneous collections .....	-1	-2	-2
4130 Offsets against gross financing auth and disbursements (total) .....	-1,761	-1,762	-1,431
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	35	45	29
4160 Financing authority, net (mandatory) .....	260	75	-12
4170 Financing disbursements, net (mandatory) .....	194	19	86
4180 Financing authority, net (total) .....	260	75	-12
4190 Financing disbursements, net (total) .....	194	19	86

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4215-0-3-371	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1131 Direct loan obligations exempt from limitation .....	974	859	472
1150 Total direct loan obligations .....	974	859	472
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	17,400	17,677	17,867
<b>Disbursements:</b>			
1231 Direct loan disbursements .....	1,049	848	599
1232 Purchase of loans assets from the public .....	6	.....	.....
<b>Repayments:</b>			
1251 Repayments and prepayments .....	-650	-651	-656
1252 Proceeds from loan asset sales to the public or discounted .....	-60	.....	.....
<b>Adjustments:</b>			
1261 Capitalized interest .....	29	20	20
1262 Discount on loan asset sales to the public or discounted .....	-1	.....	.....
<b>Write-offs for default:</b>			
1263 Direct loans .....	-14	-27	-27
1264 Other adjustments, net (+ or -) .....	-82	.....	.....
1290 Outstanding, end of year .....	17,677	17,867	17,803

RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING  
ACCOUNT—Continued

This account reflects the financing for direct rural housing loans for section the 502 very low- and low-to-moderate-income home ownership loan program; section 504 very low- income housing repair loan program; section 514 domestic farm labor housing loan program; section 515 rural rental housing loan program; sections 523 self-help housing loans, and 524 site development loans; and single family and multi-family housing credit sales of acquired property.

Loan programs are limited to rural areas that include towns, villages and other places which are not part of an urban area. These areas have a population not in excess of 2,500 inhabitants, or in excess of 2,500, but not in excess of 10,000 if rural in character, or a population in excess of 10,000, but not more than 20,000. Areas are not within a standard metropolitan statistical area and have a serious lack of mortgage credit for low- and moderate-income borrowers.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond including credit sales of acquired property. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4215-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	198	341
Investments in US securities:		
1106 Receivables, net .....	253	219
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	17,400	17,677
1402 Interest receivable .....	193	205
1404 Foreclosed property .....	56	62
1405 Allowance for subsidy cost (-) .....	-2,553	-2,608
1499 Net present value of assets related to direct loans .....	15,096	15,336
1999 Total assets .....	15,547	15,896
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	13,834	15,841
2105 Other .....	1,676	27
2201 Non-Federal liabilities: Accounts payable .....	37	28
2999 Total liabilities .....	15,547	15,896
4999 Total liabilities and net position .....	15,547	15,896

RURAL HOUSING INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4216-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Interest assistance paid to lenders .....	8	8	8
Credit program obligations:			
0711 Default claim payments on principal .....	497	501	586
0713 Payment of interest to Treasury .....	1		
0740 Negative subsidy obligations .....	7	60	34
0742 Downward reestimate paid to receipt account .....	29	2	
0743 Interest on downward reestimates .....	11		
0791 Direct program activities, subtotal .....	545	563	620
0900 Total new obligations .....	553	571	628
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,030	2,370	3,193
1021 Recoveries of prior year unpaid obligations .....	1		

1023 Unobligated balances applied to repay debt .....	-3		
1050 Unobligated balance (total) .....	2,028	2,370	3,193
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	7		
1440 Borrowing authority, mandatory (total) .....	7		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	882	1,394	803
1801 Change in uncollected payments, Federal sources .....	6		
1850 Spending auth from offsetting collections, mand (total) .....	888	1,394	803
1900 Financing authority (total) .....	895	1,394	803
1930 Total budgetary resources available .....	2,923	3,764	3,996
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,370	3,193	3,368
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	1	1
3010 Obligations incurred, unexpired accounts .....	553	571	628
3020 Financing disbursements (gross) .....	-557	-571	-628
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6		
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	-5	-5
3200 Obligated balance, end of year .....	-5	-5	-5

Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	895	1,394	803
Financing disbursements:			
4110 Financing disbursements, gross .....	557	571	628
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-388	-805	
4122 Interest on uninvested funds .....	-57	-137	-266
4123 Non-Federal sources: guarantee fees .....	-416	-432	-516
4123 Repayments of Principal .....	-14	-14	-15
4123 Non-Federal sources .....	-5	-4	-4
4123 Interest Received on Loans .....	-2	-2	-2
4130 Offsets against gross financing auth and disbursements (total) .....	-882	-1,394	-803
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-6		
4160 Financing authority, net (mandatory) .....	7		
4170 Financing disbursements, net (mandatory) .....	-325	-823	-175
4180 Financing authority, net (total) .....	7		
4190 Financing disbursements, net (total) .....	-325	-823	-175

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4216-0-3-371	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation .....	19,316	24,130	24,150
2150 Total guaranteed loan commitments .....	19,316	24,130	24,150
2199 Guaranteed amount of guaranteed loan commitments .....	17,384	21,717	21,735
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	61,985	75,841	88,794
2231 Disbursements of new guaranteed loans .....	18,802	20,659	24,675
2251 Repayments and prepayments .....	-4,385	-7,205	-8,435
2263 Adjustments: Terminations for default that result in claim payments .....	-561	-501	-586
2290 Outstanding, end of year .....	75,841	88,794	104,448
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	55,787	80,057	80,783
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	414	721	850
2331 Disbursements for guaranteed loan claims .....	369	267	269
2351 Repayments of loans receivable .....	-15	-13	-13

2361	Write-offs of loans receivable .....	-47	-125	-126
2390	Outstanding, end of year .....	721	850	980

This account finances the guaranteed section 502 low-to-moderate-income home ownership loan program as well as the re-financings of those loans and the section 538 guaranteed multi-family housing loan program. The guaranteed programs enable the Rural Housing Service to utilize private sector resources for the making and servicing of loans while the Agency provides a financial guarantee to encourage private sector activity.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loan commitments made in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 12-4216-0-3-371	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	2,030	2,362
Investments in US securities:			
1106	Receivables, net .....	396	763
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	414	721
1502	Interest receivable .....		1
1505	Allowance for subsidy cost (-) .....	-176	-415
1505	Currently not collectible (-) .....	-238	-297
1599	Net present value of assets related to defaulted guaranteed loans .....		10
1999	Total assets .....	2,426	3,135
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....	6	12
2104	Resources payable to Treasury .....	39	2
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	2,381	3,121
2999	Total liabilities .....	2,426	3,135
4999	Total liabilities and net position .....	2,426	3,135

**RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4141-0-3-371	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0107	Other costs incident to loans .....	33	29	26
0900	Total new obligations (object class 25.2) .....	33	29	26
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	42	54	
1021	Recoveries of prior year unpaid obligations .....	7		
1022	Capital transfer of unobligated balances to general fund .....	-48	-54	
1050	Unobligated balance (total) .....	1		
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	620	581	542
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-534	-552	-516
1850	Spending auth from offsetting collections, mand (total) .....	86	29	26
1930	Total budgetary resources available .....	87	29	26
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	54		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid fund balance with treasury, end of year .....	24	31	23
3010	Obligations incurred, unexpired accounts .....	33	29	26
3020	Outlays (gross) .....	-19	-37	-32

3040	Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050	Unpaid obligations, end of year .....	31	23	17
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	24	31	23
3200	Obligated balance, end of year .....	31	23	17

**Budget authority and outlays, net:**

Identification code 12-4141-0-3-371	2012 actual	2013 CR	2014 est.	
<b>Mandatory:</b>				
4090	Budget authority, gross .....	86	29	26
Outlays, gross:				
4100	Outlays from new mandatory authority .....	16	29	26
4101	Outlays from mandatory balances .....	3	8	6
4110	Outlays, gross (total) .....	19	37	32
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-31		
4123	Non-Federal sources .....	-589	-581	-542
4130	Offsets against gross budget authority and outlays (total) .....	-620	-581	-542
4160	Budget authority, net (mandatory) .....	-534	-552	-516
4170	Outlays, net (mandatory) .....	-601	-544	-510
4180	Budget authority, net (total) .....	-534	-552	-516
4190	Outlays, net (total) .....	-601	-544	-510

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4141-0-3-371	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	8,964	8,560	8,197
1251	Repayments: Repayments and prepayments .....	-310	-301	-301
1261	Adjustments: Capitalized interest .....	4	4	4
Write-offs for default:				
1263	Direct loans .....	-28	-29	-29
1264	Other adjustments, net (+ or -) .....	-70	-37	-37
1290	Outstanding, end of year .....	8,560	8,197	7,834

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 12-4141-0-3-371	2012 actual	2013 CR	2014 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	2	2	2
2251	Repayments and prepayments .....			
2290	Outstanding, end of year .....	2	2	2
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	2	2	2

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. New loan activity in 1992 and beyond is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 12-4141-0-3-371	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	101	122
1601	Direct loans, gross .....	8,964	8,560
1602	Interest receivable .....	698	698
1603	Allowance for estimated uncollectible loans and interest (-) .....	-4,901	-4,451
1604	Direct loans and interest receivable, net .....	4,761	4,807
1606	Foreclosed property .....	33	32
1699	Value of assets related to direct loans .....	4,794	4,839
1901	Other Federal assets: Other assets .....	3	3
1999	Total assets .....	4,898	4,964
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....	4,884	4,971
2207	Non-Federal liabilities: Other .....	14	-7
2999	Total liabilities .....	4,898	4,964

RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 12-4141-0-3-371	2011 actual	2012 actual
4999 Total liabilities and net position .....	4,898	4,964

RURAL BUSINESS—COOPERATIVE SERVICE

Federal Funds

ENERGY ASSISTANCE PAYMENTS

Program and Financing (in millions of dollars)

Identification code 12-2073-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Energy Assistance Payments .....	40	41	57
0900 Total new obligations (object class 41.0) .....	40	41	57
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	73	98	52
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-40	
1050 Unobligated balance (total) .....	73	58	52
Budget authority:			
Appropriations, discretionary:			
1134 Appropriations precluded from obligation .....		-45	
1160 Appropriation, discretionary (total) .....		-45	
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [12-4336] .....	65	80	45
1260 Appropriations, mandatory (total) .....	65	80	45
1900 Budget authority (total) .....	65	35	45
1930 Total budgetary resources available .....	138	93	97
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	98	52	40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	12
3010 Obligations incurred, unexpired accounts .....	40	41	57
3020 Outlays (gross) .....	-40	-31	-69
3050 Unpaid obligations, end of year .....	2	12	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	12
3200 Obligated balance, end of year .....	2	12	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-45	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-34	
4011 Outlays from discretionary balances .....			-11
4020 Outlays, gross (total) .....		-34	-11
Mandatory:			
4090 Budget authority, gross .....	65	80	45
Outlays, gross:			
4100 Outlays from new mandatory authority .....	35	60	34
4101 Outlays from mandatory balances .....	5	5	46
4110 Outlays, gross (total) .....	40	65	80
4180 Budget authority, net (total) .....	65	35	45
4190 Outlays, net (total) .....	40	31	69

The purpose of the Bioenergy Program for Advanced Biofuels is to provide payments to eligible agricultural producers to support and ensure an expanding production of advanced biofuels. This program is authorized pursuant to section 9005 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation, the Energy Act of 2008 and the American Taxpayer Relief Act of 2012. The account also includes funding for Repowering Assistance payments. The purpose of this program is to encourage biorefineries to replace fossil fuel used to produce heat or power to operate the biorefineries. This program was

authorized pursuant to section 9004 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation, and Energy Act of 2008 and the American Relief Act of 2012. The Budget does not request discretionary funding in 2014 for either program.

RURAL BUSINESS AND COOPERATIVE GRANTS

For the cost of grants to support projects that provide technical and financial assistance to assist small and emerging private businesses and cooperatives in rural areas based on a standard for private sector growth proposed by the grantee, \$55,000,000, which shall remain available through September 30, 2015: Provided, That the Secretary shall establish minimum performance standards that a grantee's plan must meet to be eligible for assistance: Provided further, That if a grantee does meet the grantee's proposed standard for a fiscal year shall not be eligible for funding for the subsequent fiscal year: Provided further, That the Secretary will award additional points for projects that serve communities with exceptional needs as measured by socioeconomic indicators, as established by the Secretary.

Program and Financing (in millions of dollars)

Identification code 12-0406-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Rural Business and Cooperative Grants .....			55
0900 Total new obligations (object class 41.0) .....			55
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			55
1160 Appropriation, discretionary (total) .....			55
1930 Total budgetary resources available .....			55
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			55
3020 Outlays (gross) .....			-1
3050 Unpaid obligations, end of year .....			54
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			54
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			55
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4180 Budget authority, net (total) .....			55
4190 Outlays, net (total) .....			1

The President's budget proposes a new economic development grant program designed to target small and emerging private businesses and cooperatives in rural areas with populations of 50,000 or less. This new program will award funding to grantees that meet or exceed minimum performance targets, and that agree to be tracked against those performance targets. Additional points will be awarded to communities that meet the minimum performance targets and have exceptional need as measured by socioeconomic indicators, established by the Secretary. Because the new program will improve upon the agency's current grant allocation and evaluation process, the President's Budget does not provide funding to the following programs: Rural Business Enterprise Grants, Rural Business Opportunity Grants, Rural Cooperative Development Grants, Small/Socially Disadvantaged Producer Grants, Rural Microenterprise Assistance Grants, and Rural Community Development Initiative Grants. The consolidated rural business and cooperative grant authority will allow the Agency to better promote economic development through regional planning, and by leveraging resources to create greater

wealth, improve quality of life, and sustain and grow the regional economy. The Department plans to set up the new platform for the Rural Business and Cooperative Grants Program under a Notice of Funding Availability. For 2014, \$55 million is available for the program to remain available until September 30, 2015.

RURAL COOPERATIVE DEVELOPMENT GRANTS

For rural cooperative development grants authorized under section 310B(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932), \$17,250,000, of which \$2,250,000 shall be for cooperative agreements for the appropriate technology transfer for rural areas program; and of which \$15,000,000, to remain available until expended, shall be for value-added agricultural product market development grants, as authorized by section 231 of the Agricultural Risk Protection Act of 2000 (7 U.S.C. 1621 note).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12–1900–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Rural Cooperative Development Grants .....	9	9	.....
0011 Value Added Agricultural Product Marketing (discretionary) .....	39	30	19
0012 Appropriate Technology Transfer for Rural Areas .....	2	2	2
0013 Value Added Agricultural Product Marketing (mandatory) .....	1	1	.....
0900 Total new obligations (object class 41.0) .....	51	42	21
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	41	17	18
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	40	17	.....
1021 Recoveries of prior year unpaid obligations .....	2	18	.....
1050 Unobligated balance (total) .....	43	35	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	25	25	17
1160 Appropriation, discretionary (total) .....	25	25	17
1900 Budget authority (total) .....	25	25	17
1930 Total budgetary resources available .....	68	60	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	18	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	56	40
3010 Obligations incurred, unexpired accounts .....	51	42	21
3020 Outlays (gross) .....	-27	-40	-43
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-18	.....
3050 Unpaid obligations, end of year .....	56	40	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	34	56	40
3200 Obligated balance, end of year .....	56	40	18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	25	25	17
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	3	3
4011 Outlays from discretionary balances .....	16	34	39
4020 Outlays, gross (total) .....	23	37	42
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	4	3	1
4180 Budget authority, net (total) .....	25	25	17
4190 Outlays, net (total) .....	27	40	43

Grants for rural cooperative development were authorized under section 310B(e) of the Consolidated Farm and Rural Development Act by Public Law 104–127, April 4, 1996. These grants are made

available to nonprofit corporations and institutions of higher education to fund the establishment and operation of centers for rural cooperative development. In 2006, the Rural Business Service began a separate solicitation for the Small Minority Producer grants. These grants provide assistance to small, minority producers through cooperatives and associations of cooperatives. The Budget does not propose funding for these programs. Instead, these activities will be part of a new economic development grant program designed to target small and emerging private businesses and cooperatives in rural areas with populations of 50,000 or less. The new Rural Business and Cooperative Grants program will award funding to grantees that meet or exceed minimum performance targets, and that agree to be tracked against those performance targets. Additional points will be awarded to communities that meet the minimum performance targets and have exceptional need as measured by socioeconomic indicators, established by the Secretary. The new program will improve upon the agency's current grant allocation and evaluation process. The Appropriate Technology Transfer to Rural Areas (ATTRA) program was first authorized by the Food Security Act of 1985. The program provides information and technical assistance to agricultural producers to adopt sustainable agricultural practices that are environmentally friendly and lower production costs. The 2014 Budget requests \$2.25 million for ATTRA.

Additionally, USDA provides Value-Added Marketing Grants for producers of agricultural commodities. These grants can be used for planning activities and for working capital for marketing value-added agricultural products. The Budget requests to fund the program at \$15 million.

RURAL ECONOMIC DEVELOPMENT GRANTS

Program and Financing (in millions of dollars)

Identification code 12–3105–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Rural economic development grants .....	10	10	10
0002 Subsidy .....	4	4	3
0900 Total new obligations (object class 41.0) .....	14	14	13
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	171	200	224
1021 Recoveries of prior year unpaid obligations .....	1	3	.....
1050 Unobligated balance (total) .....	172	203	224
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	.....	-155	-155
1160 Appropriation, discretionary (total) .....	.....	-155	-155
Appropriations, mandatory:			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-155	.....	.....
1260 Appropriations, mandatory (total) .....	-155	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	197	190	166
1850 Spending auth from offsetting collections, mand (total) .....	197	190	166
1900 Budget authority (total) .....	42	35	11
1930 Total budgetary resources available .....	214	238	235
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	200	224	222
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	14	10
3010 Obligations incurred, unexpired accounts .....	14	14	13
3020 Outlays (gross) .....	-10	-15	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-3	.....

RURAL ECONOMIC DEVELOPMENT GRANTS—Continued  
Program and Financing—Continued

Identification code 12-3105-0-1-452	2012 actual	2013 CR	2014 est.
3050 Unpaid obligations, end of year .....	14	10	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-15	-15	-15
3090 Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-4	-1	-5
3200 Obligated balance, end of year .....	-1	-5	-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-155	-155
Mandatory:			
4090 Budget authority, gross .....	42	190	166
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	6	5
4101 Outlays from mandatory balances .....		9	7
4110 Outlays, gross (total) .....	10	15	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Cushion of Credit Payments .....	-187	-180	-156
4123 Guaranteed Underwriter Fees .....	-10	-10	-10
4130 Offsets against gross budget authority and outlays (total) ....	-197	-190	-166
4160 Budget authority, net (mandatory) .....	-155		
4170 Outlays, net (mandatory) .....	-187	-175	-154
4180 Budget authority, net (total) .....	-155	-155	-155
4190 Outlays, net (total) .....	-187	-175	-154

This grant program is authorized under section 313 of the Rural Electrification Act, as amended, and provides funds for the purpose of promoting rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects and other expenses for the purpose of fostering rural development.

Funding for this program is provided from the interest differential on Rural Utilities Service borrowers' "cushion of credit" accounts. The Budget proposes a cancellation of \$155 million from the "cushion of credit" account in 2014. The Budget proposes \$10 million for rural economic development grants and \$2.8 million for loan subsidy. This subsidy maintains the 2013 loan level at \$33.077 million.

RURAL MICROENTERPRISE INVESTMENT PROGRAM ACCOUNT

For the cost of loans, \$1,405,000, under the same terms and conditions as authorized by section 379E of the Consolidated Farm and Rural Development Act (7 U.S.C. 2008s): Provided, That such costs of loans, including the cost of modifying such loans, shall be as defined by section 502 of the Congressional Budget Act of 1974.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-1955-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0011 Grants .....	1		1
Credit program obligations:			
0701 Direct loan subsidy .....			3
0900 Total new obligations (object class 41.0) .....	1		4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			1
1134 Appropriations precluded from obligation .....		-3	
1160 Appropriation, discretionary (total) .....		-3	1
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [12-4336] ....		3	3
1260 Appropriations, mandatory (total) .....		3	3
1900 Budget authority (total) .....			4
1930 Total budgetary resources available .....	1		4

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	10	8
3010 Obligations incurred, unexpired accounts .....	1		4
3020 Outlays (gross) .....	-4	-2	-2
3050 Unpaid obligations, end of year .....	10	8	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	10	8
3200 Obligated balance, end of year .....	10	8	10

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....		-3	1
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		-2
Mandatory:			
4090 Budget authority, gross .....		3	3
Outlays, gross:			
4101 Outlays from mandatory balances .....	3	2	4
4180 Budget authority, net (total) .....			4
4190 Outlays, net (total) .....	4	2	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1955-0-1-452	2012 actual	2013 CR	2014 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Rural Microenterprise Direct Loans .....			46
115999 Total direct loan levels .....			46
Direct loan subsidy (in percent):			
132001 Rural Microenterprise Direct Loans .....			6.26
132999 Weighted average subsidy rate .....			6.26
Direct loan subsidy budget authority:			
133001 Rural Microenterprise Direct Loans .....			3
133999 Total subsidy budget authority .....			3
Direct loan subsidy outlays:			
134001 Rural Microenterprise Direct Loans .....	1	1	1
134999 Total subsidy outlays .....	1	1	1

This program provides microentrepreneurs with the skills necessary to establish new rural microenterprises, as well as support these types of businesses with technical and financial assistance. The program provides loans and grants to intermediaries that assist microentrepreneurs. For 2014 the Budget requests \$1.4 million in discretionary funds to support a loan level of \$22.4 million. The program is authorized pursuant to section 6022 of the Food, Conservation, and Energy Act of 2008, and the American Taxpayer Relief Act 2012.

RURAL MICROENTERPRISE INVESTMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4354-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....			46
0900 Total new obligations .....			46
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2		

1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-1		
1021	Recoveries of prior year unpaid obligations .....	1		
1023	Unobligated balances applied to repay debt .....	-1		
1024	Unobligated balance of borrowing authority withdrawn .....	-1		
<b>Financing authority:</b>				
Borrowing authority, mandatory:				
1400	Borrowing authority .....			42
1440	Borrowing authority, mandatory (total) .....			42
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1	1	3
1801	Change in uncollected payments, Federal sources .....	-1	-1	1
1850	Spending auth from offsetting collections, mand (total) .....			4
1900	Financing authority (total) .....			46
1930	Total budgetary resources available .....			46

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	31	23	15
3010	Obligations incurred, unexpired accounts .....			46
3020	Financing disbursements (gross) .....	-7	-8	-7
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	23	15	54
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-4	-3
3070	Change in uncollected pymts, Fed sources, unexpired .....	1	1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-4	-3	-4
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	26	19	12
3200	Obligated balance, end of year .....	19	12	50

**Financing authority and disbursements, net:**

<b>Mandatory:</b>				
4090	Financing authority, gross .....			46
Financing disbursements:				
4110	Financing disbursements, gross .....	7	8	7
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1	-1	-1
4123	Repayments of Loan Principal .....			-1
4123	Repayments of Loan Interest .....			-1
4130	Offsets against gross financing auth and disbursements (total) .....	-1	-1	-3
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	1	1	-1
4160	Financing authority, net (mandatory) .....			42
4170	Financing disbursements, net (mandatory) .....	6	7	4
4180	Financing authority, net (total) .....			42
4190	Financing disbursements, net (total) .....	6	7	4

**Status of Direct Loans (in millions of dollars)**

Identification code 12-4354-0-3-452	2012 actual	2013 CR	2014 est.	
<b>Position with respect to appropriations act limitation on obligations:</b>				
1131	Direct loan obligations exempt from limitation .....		46	
1150	Total direct loan obligations .....		46	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	8	15	23
1231	Disbursements: Direct loan disbursements .....	7	8	6
1251	Repayments: Repayments and prepayments .....			-1
1290	Outstanding, end of year .....	15	23	28

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligations. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Rural Microenterprise Investment Program Account.

**Balance Sheet (in millions of dollars)**

Identification code 12-4354-0-3-452	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	1	1
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	8	15

1405	Allowance for subsidy cost (-) .....	-1	-1
1499	Net present value of assets related to direct loans .....	7	14
1999	Total assets .....	8	15
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	8	15
4999	Total liabilities and net position .....	8	15

**RURAL BUSINESS PROGRAM ACCOUNT**  
(INCLUDING TRANSFERS OF FUNDS)

For the cost of loan guarantees, for the rural business development programs authorized by section 310B and described in sections 310B (g) and 381E(d)(3)(B) of the Consolidated Farm and Rural Development Act, \$51,777,000, to remain available until expended: Provided, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to funds made available under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 12-1902-0-1-452	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0010	Rural Business Enterprise Grants .....	29	32	3
0012	Rural Business Opportunity Grants .....	2	3	
0091	Direct program activities, subtotal .....	31	35	3
<b>Credit program obligations:</b>				
0702	Loan guarantee subsidy .....	59	52	63
0705	Reestimates of direct loan subsidy .....		2	
0706	Interest on reestimates of direct loan subsidy .....		2	
0707	Reestimates of loan guarantee subsidy .....	178	96	
0708	Interest on reestimates of loan guarantee subsidy .....	35	14	
0791	Direct program activities, subtotal .....	272	166	63
0900	Total new obligations (object class 41.0) .....	303	201	66
<b>Budgetary Resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	6	12	14
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	6	12	
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-14		
1021	Recoveries of prior year unpaid obligations .....	8	14	
1050	Unobligated balance (total) .....		26	14
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	75	75	52
1160	Appropriation, discretionary (total) .....	75	75	52
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....	213	114	
1260	Appropriations, mandatory (total) .....	213	114	
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	27		
1750	Spending auth from offsetting collections, disc (total) .....	27		
1900	Budget authority (total) .....	315	189	52
1930	Total budgetary resources available .....	315	215	66
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	12	14	

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	114	127	99
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	14		
3010	Obligations incurred, unexpired accounts .....	303	201	66
3020	Outlays (gross) .....	-293	-215	-89
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8	-14	
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	127	99	76
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	128	127	99

RURAL BUSINESS PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 12-1902-0-1-452	2012 actual	2013 CR	2014 est.
3200 Obligated balance, end of year .....	127	99	76
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	102	75	52
Outlays, gross:			
4010 Outlays from new discretionary authority .....	29	23	22
4011 Outlays from discretionary balances .....	51	78	67
4020 Outlays, gross (total) .....	80	101	89
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-27		
Mandatory:			
4090 Budget authority, gross .....	213	114	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	213	114	
4180 Budget authority, net (total) .....	288	189	52
4190 Outlays, net (total) .....	266	215	89

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1902-0-1-452	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135004 Business and Industry Loans .....		4	
135999 Total upward reestimate budget authority .....		4	
Direct loan downward reestimates:			
137004 Business and Industry Loans .....	-39		
137999 Total downward reestimate budget authority .....	-39		
Guaranteed loan levels supportable by subsidy budget authority:			
215007 Business and Industry Loan Guarantees .....	1,053	860	897
215999 Total loan guarantee levels .....	1,053	860	897
Guaranteed loan subsidy (in percent):			
232007 Business and Industry Loan Guarantees .....	5.58	5.88	6.99
232999 Weighted average subsidy rate .....	5.58	5.88	6.99
Guaranteed loan subsidy budget authority:			
233007 Business and Industry Loan Guarantees .....	59	51	63
233999 Total subsidy budget authority .....	59	51	63
Guaranteed loan subsidy outlays:			
234006 Guaranteed Business and Industry Loans - ARRA .....	3	3	2
234007 Business and Industry Loan Guarantees .....	2	51	66
234008 Business and Industry Emergency Supplemental Loan Guarantees .....		1	
234999 Total subsidy outlays .....	5	55	68
Guaranteed loan upward reestimates:			
235005 North American Development Bank Loan Guarantees .....	2		
235006 Guaranteed Business and Industry Loans - ARRA .....	33	8	
235007 Business and Industry Loan Guarantees .....	178	102	
235999 Total upward reestimate budget authority .....	213	110	
Guaranteed loan downward reestimates:			
237006 Guaranteed Business and Industry Loans - ARRA .....		-1	
237007 Business and Industry Loan Guarantees .....		-8	
237999 Total downward reestimate subsidy budget authority .....		-9	

This account funds direct and guaranteed business and industry loans, rural business enterprise grants, and rural business opportunity grants. Business and industry guaranteed and direct loans are authorized under section 310B(a)(1) of the Consolidated Farm and Rural Development, as amended. These loans are made to public, private or cooperative organizations, Indian tribes or tribal groups, corporate entities, or individuals for the purpose of improving the economic climate in rural areas. For direct loans, no funds have been requested or provided since 2002, and no funds are requested in the Budget. The 2014 projections for loan guarantees are \$740.7 million. The Budget does not propose funding for rural business enterprise grants and rural business opportunity grants. Instead, these activities will be part of a new economic development grant program designed to target small and emer-

ging private businesses and cooperatives in rural areas with populations of 50,000 or less. The new Rural Business and Cooperative Grants program will award funding to grantees that meet or exceed minimum performance targets, and that agree to be tracked against those performance targets. Additional points will be awarded to communities that meet the minimum performance targets and have exceptional need as measured by socioeconomic indicators, established by the Secretary. The new program will improve upon the agency's current grant allocation and evaluation process.

RURAL BUSINESS AND INDUSTRY DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4223-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	3	3	3
0742 Downward reestimate paid to receipt account .....	20		
0743 Interest on downward reestimates .....	19		
0900 Total new obligations .....	42	3	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	4	
1023 Unobligated balances applied to repay debt .....	-10	-4	
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	39		
1440 Borrowing authority, mandatory (total) .....	39		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7	10	4
1825 Spending authority from offsetting collections applied to repay debt .....		-7	-1
1850 Spending auth from offsetting collections, mand (total) .....	7	3	3
1900 Financing authority (total) .....	46	3	3
1930 Total budgetary resources available .....	46	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	42	3	3
3020 Financing disbursements (gross) .....	-42	-3	-3
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	46	3	3
Financing disbursements:			
4110 Financing disbursements, gross .....	42	3	3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-4	
4122 Interest on uninvested funds .....		-1	-1
4123 Repayments of principal .....	-5	-4	-3
4123 Interest received on loans .....	-1	-1	
4123 Non-Federal sources .....	-1		
4130 Offsets against gross financing auth and disbursements (total) .....	-7	-10	-4
4160 Financing authority, net (mandatory) .....	39	-7	-1
4170 Financing disbursements, net (mandatory) .....	35	-7	-1
4180 Financing authority, net (total) .....	39	-7	-1
4190 Financing disbursements, net (total) .....	35	-7	-1

Status of Direct Loans (in millions of dollars)

Identification code 12-4223-0-3-452	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	25	17	13
1251 Repayments: Repayments and prepayments .....	-5	-4	-3
Write-offs for default:			
1263 Direct loans .....	-2		
1264 Other adjustments, net (+ or -) .....	-1		

1290	Outstanding, end of year .....	17	13	10
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**Balance Sheet** (in millions of dollars)

Identification code 12-4223-0-3-452	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101 Federal assets: Fund balances with Treasury .....	10	5	
Net value of assets related to post-1991 direct loans receivable:			
1401 Direct loans receivable, gross .....	25	17	
1405 Allowance for subsidy cost (-) .....	-10	32	
1499 Net present value of assets related to direct loans .....	15	49	
1999 Total assets .....	25	54	
<b>LIABILITIES:</b>			
2104 Federal liabilities: Resources payable to Treasury .....	25	54	
4999 Total liabilities and net position .....	25	54	

**RURAL BUSINESS AND INDUSTRY GUARANTEED LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4227-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	159	228	259
0712 Default claim payments on interest .....	3	4	5
0713 Payment of interest to Treasury .....	1	1	1
0742 Downward reestimate paid to receipt account .....	6	6	6
0743 Interest on downward reestimates .....	3	3	3
0900 Total new obligations .....	163	242	265
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	369	531	525
1023 Unobligated balances applied to repay debt .....	-24	-12	-12
1050 Unobligated balance (total) .....	345	519	513
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	7		
1440 Borrowing authority, mandatory (total) .....	7		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	296	251	163
1801 Change in uncollected payments, Federal sources .....	46	-3	
1850 Spending auth from offsetting collections, mand (total) .....	342	248	163
1900 Financing authority (total) .....	349	248	163
1930 Total budgetary resources available .....	694	767	676
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	531	525	411
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	163	242	265
3020 Financing disbursements (gross) .....	-163	-242	-265
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-46	-43	-43
3070 Change in uncollected pymts, Fed sources, unexpired .....	-46	3	
3090 Uncollected pymts, Fed sources, end of year .....	-46	-43	-43
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-46	-43	-43
3200 Obligated balance, end of year .....	-46	-43	-43
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	349	248	163

<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....	163	242	265
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-218	-166	-67
4122 Interest on uninvested funds .....	-13	-16	-18
4123 Interest and principal on purchased loans from secondary market .....	-40	-47	-56
4123 Guarantee fees .....	-24	-22	-22
4123 Non-Federal sources .....	-1		
4130 Offsets against gross financing auth and disbursements (total) .....	-296	-251	-163
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-46	3	
4160 Financing authority, net (mandatory) .....	7		
4170 Financing disbursements, net (mandatory) .....	-133	-9	102
4180 Financing authority, net (total) .....	7		
4190 Financing disbursements, net (total) .....	-133	-9	102

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 12-4227-0-3-452	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation .....	1,053	860	897
2150 Total guaranteed loan commitments .....	1,053	860	897
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	6,791	6,883	7,032
2231 Disbursements of new guaranteed loans .....	957	1,069	944
2251 Repayments and prepayments .....	-625	-688	-703
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-95	-135	-153
2263 Terminations for default that result in claim payments .....	-66	-97	-111
2264 Other adjustments, net .....	-79		
2290 Outstanding, end of year .....	6,883	7,032	7,009
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	5,506	5,625	5,607
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	349	269	402
2331 Disbursements for guaranteed loan claims .....	156	206	211
2351 Repayments of loans receivable .....	-37	-27	-40
2361 Write-offs of loans receivable .....	-63	-46	-68
2364 Other adjustments, net .....	-136		
2390 Outstanding, end of year .....	269	402	505

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account. The account finances loan guarantee commitments for business development in rural areas.

**Balance Sheet** (in millions of dollars)

Identification code 12-4227-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	369	485
Investments in US securities:		
1106 Receivables, net .....	69	71
1501 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans receivable, gross .....	349	269
1999 Total assets .....	787	825
<b>LIABILITIES:</b>		
Federal liabilities:		
2104 Resources payable to Treasury .....	28	12
2105 Other .....		8
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	759	805

RURAL BUSINESS AND INDUSTRY GUARANTEED LOANS FINANCING  
ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 12-4227-0-3-452	2011 actual	2012 actual
2999 Total liabilities .....	787	825
4999 Total liabilities and net position .....	787	825

RURAL DEVELOPMENT LOAN FUND PROGRAM ACCOUNT  
(INCLUDING TRANSFER OF FUNDS)

For the principal amount of direct loans, as authorized by the Rural Development Loan Fund (42 U.S.C. 9812(a)), \$18,889,000.

For the cost of direct loans, \$4,082,000, as authorized by the Rural Development Loan Fund (42 U.S.C. 9812(a)), of which \$531,000 shall be available through June 30, 2014, for Federally Recognized Native American Tribes; and of which \$1,021,000 shall be available through June 30, 2014, for Mississippi Delta Region counties (as determined in accordance with Public Law 100-460): Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.

In addition, for administrative expenses to carry out the direct loan programs, \$4,467,000 shall be paid to the appropriation for "Rural Development, Salaries and Expenses".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-2069-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	6	6	4
0709 Administrative expenses .....	5	5	5
0900 Total new obligations .....	11	11	9
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	11	11	9
1160 Appropriation, discretionary (total) .....	11	11	9
1930 Total budgetary resources available .....	11	11	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	25	20
3010 Obligations incurred, unexpired accounts .....	11	11	9
3020 Outlays (gross) .....	-11	-16	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	25	20	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	25	20
3200 Obligated balance, end of year .....	25	20	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11	11	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	5	5
4011 Outlays from discretionary balances .....	6	11	8
4020 Outlays, gross (total) .....	11	16	13
4180 Budget authority, net (total) .....	11	11	9
4190 Outlays, net (total) .....	11	16	13

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-2069-0-1-452	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Intermediary Relending Program .....	18	19	19
115999 Total direct loan levels .....	18	19	19
Direct loan subsidy (in percent):			
132001 Intermediary Relending Program .....	33.88	32.04	21.61
132999 Weighted average subsidy rate .....	33.88	32.04	21.61
Direct loan subsidy budget authority:			
133001 Intermediary Relending Program .....	6	6	4
133999 Total subsidy budget authority .....	6	6	4
Direct loan subsidy outlays:			
134001 Intermediary Relending Program .....	6	11	8
134999 Total subsidy outlays .....	6	11	8
Direct loan downward reestimates:			
137001 Intermediary Relending Program .....	-5	-4	
137999 Total downward reestimate budget authority .....	-5	-4	
Administrative expense data:			
3510 Budget authority .....	5	5	4
3590 Outlays from new authority .....	5	5	4

This account finances loans to intermediary borrowers, who, in turn, re-lend the funds to small rural businesses, community development corporations, and other organizations for the purpose of improving economic opportunities in rural areas. Through the use of local intermediaries, this program serves small-scale enterprises and gives preference to those communities with the greatest need. The 2014 Budget proposes \$4.1 million in budget authority to support this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 12-2069-0-1-452	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	5	5	5
41.0 Grants, subsidies, and contributions .....	6	6	4
99.9 Total new obligations .....	11	11	9

RURAL DEVELOPMENT LOAN FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4219-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	17	19	19
0713 Payment of interest to Treasury .....	17	18	19
0742 Downward reestimate paid to receipt account .....	3	2	
0743 Interest on downward reestimates .....	2	2	
0900 Total new obligations .....	39	41	38
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2	
1021 Recoveries of prior year unpaid obligations .....	9		
1023 Unobligated balances applied to repay debt .....	-4	-2	
1024 Unobligated balance of borrowing authority withdrawn .....	-5		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	25	10	8
1440 Borrowing authority, mandatory (total) .....	25	10	8

1800	Spending authority from offsetting collections, mandatory: Collected .....	37	36	34
1801	Change in uncollected payments, Federal sources .....	-4	-5	-4
1825	Spending authority from offsetting collections applied to repay debt .....	-17		
1850	Spending auth from offsetting collections, mand (total) .....	16	31	30
1900	Financing authority (total) .....	41	41	38
1930	Total budgetary resources available .....	41	41	38
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2		

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	79	71	60
3010	Obligations incurred, unexpired accounts .....	39	41	38
3020	Financing disbursements (gross) .....	-38	-52	-43
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9		
3050	Unpaid obligations, end of year .....	71	60	55

Uncollected payments:

3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-29	-25	-20
3070	Change in uncollected pymts, Fed sources, unexpired .....	4	5	4
3090	Uncollected pymts, Fed sources, end of year .....	-25	-20	-16

Memorandum (non-add) entries:

3100	Obligated balance, start of year .....	50	46	40
3200	Obligated balance, end of year .....	46	40	39

**Financing authority and disbursements, net:**

Mandatory:

4090	Financing authority, gross .....	41	41	38
4110	Financing disbursements, gross .....	38	52	43

Offsets against gross financing authority and disbursements:

Offsetting collections (collected) from:

4120	Payments from program account .....	-6	-11	-8
4122	Interest on uninvested funds .....	-1	-2	-2
4123	Non-Federal sources - repayment of principal .....	-26	-19	-20
4123	Non-Federal sources - interest on loans .....	-4	-4	-4

Offsets against gross financing auth and disbursements (total) .....

4130		-37	-36	-34
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Additional offsets against financing authority only (total):

4140	Change in uncollected pymts, Fed sources, unexpired .....	4	5	4
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4160	Financing authority, net (mandatory) .....	8	10	8
4170	Financing disbursements, net (mandatory) .....	1	16	9
4180	Financing authority, net (total) .....	8	10	8
4190	Financing disbursements, net (total) .....	1	16	9

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4219-0-3-452	2012 actual	2013 CR	2014 est.	
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation .....	17	19	19
1150	Total direct loan obligations .....	17	19	19
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	439	428	438
1231	Disbursements: Direct loan disbursements .....	17	29	29
1251	Repayments: Repayments and prepayments .....	-26	-19	-20
1264	Write-offs for default: Other adjustments, net (+ or -) .....	-2		
1290	Outstanding, end of year .....	428	438	447

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 12-4219-0-3-452	2011 actual	2012 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury .....	11	11
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	439	428
1402	Interest receivable .....	2	2
1405	Allowance for subsidy cost (-) .....	-144	-144
1499	Net present value of assets related to direct loans .....	297	286

1999	Total assets .....	308	297
LIABILITIES:			
2104	Federal liabilities: Resources payable to Treasury .....	308	297
4999	Total liabilities and net position .....	308	297

**RURAL DEVELOPMENT LOAN FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4233-0-3-452	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	3	2	2
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-3	-2	-2
<b>Budget authority and outlays, net:</b>				
Mandatory:				
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-3	-2	-2
4180	Budget authority, net (total) .....	-3	-2	-2
4190	Outlays, net (total) .....	-3	-2	-2

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4233-0-3-452	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	27	24	22
1251	Repayments: Repayments and prepayments .....	-3	-2	-2
1290	Outstanding, end of year .....	24	22	20

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. New loan activity in 1992 and beyond is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 12-4233-0-3-452	2011 actual	2012 actual	
ASSETS:			
1601	Direct loans, gross .....	27	24
1603	Allowance for estimated uncollectible loans and interest (-) .....	-12	-7
1699	Value of assets related to direct loans .....	15	17
1999	Total assets .....	15	17
LIABILITIES:			
2104	Federal liabilities: Resources payable to Treasury .....	15	17
4999	Total liabilities and net position .....	15	17

**RURAL ECONOMIC DEVELOPMENT LOANS PROGRAM ACCOUNT**

(INCLUDING CANCELLATION OF FUNDS)

For the principal amount of direct loans, as authorized under section 313 of the Rural Electrification Act, for the purpose of promoting rural economic development and job creation projects, \$33,077,000.

Of the funds derived from interest on the cushion of credit payments, as authorized by section 313 of the Rural Electrification Act of 1936, \$155,000,000 shall not be obligated and \$155,000,000 are hereby permanently cancelled.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**RURAL ECONOMIC DEVELOPMENT LOANS PROGRAM ACCOUNT—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 12-3108-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	6	10	6
0705 Reestimates of direct loan subsidy .....	1		
0900 Total new obligations (object class 41.0) .....	7	10	6
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	3
1021 Recoveries of prior year unpaid obligations .....	1	3	
1050 Unobligated balance (total) .....	7	9	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2		
1260 Appropriations, mandatory (total) .....	2		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	4	3
1850 Spending auth from offsetting collections, mand (total) .....	4	4	3
1900 Budget authority (total) .....	6	4	3
1930 Total budgetary resources available .....	13	13	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	3	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	7	7
3010 Obligations incurred, unexpired accounts .....	7	10	6
3020 Outlays (gross) .....	-5	-7	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-3	
3050 Unpaid obligations, end of year .....	7	7	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	7	7
3200 Obligated balance, end of year .....	7	7	6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	6	4	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	1	1
4101 Outlays from mandatory balances .....	3	6	6
4110 Outlays, gross (total) .....	5	7	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-4	-4	-3
4180 Budget authority, net (total) .....	2		
4190 Outlays, net (total) .....	1	3	4

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 12-3108-0-1-452	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Rural Economic Development Loans .....	41	78	73
115999 Total direct loan levels .....	41	78	73
Direct loan subsidy (in percent):			
132001 Rural Economic Development Loans .....	12.98	12.39	8.45
132999 Weighted average subsidy rate .....	12.98	12.39	8.45
Direct loan subsidy budget authority:			
133001 Rural Economic Development Loans .....	6	10	6
133999 Total subsidy budget authority .....	6	10	6
Direct loan subsidy outlays:			
134001 Rural Economic Development Loans .....	3	6	7
134999 Total subsidy outlays .....	3	6	7
Direct loan upward reestimates:			
135001 Rural Economic Development Loans .....	2		
135999 Total upward reestimate budget authority .....	2		
Direct loan downward reestimates:			
137001 Rural Economic Development Loans .....	-1	-1	
137999 Total downward reestimate budget authority .....	-1	-1	

Rural economic development loans are made for the purpose of promoting rural economic development and job creation projects. Loans are made to electric and telecommunication borrowers, who, in turn, finance rural development projects in their service areas. Program costs are derived from interest earnings on borrowers' "cushion of credit" loan prepayments. The 2014 Budget proposes a loan level of \$33 million for this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

**RURAL ECONOMIC DEVELOPMENT DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4176-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	41	78	73
0713 Payment of interest to Treasury .....	4	4	5
0742 Downward reestimate paid to receipt account .....	1	1	
0900 Total new obligations .....	46	83	78
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	
1021 Recoveries of prior year unpaid obligations .....	3		
1023 Unobligated balances applied to repay debt .....	-1	-2	
1024 Unobligated balance of borrowing authority withdrawn .....	-3		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	46	49	36
1440 Borrowing authority, mandatory (total) .....	46	49	36
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	28	33	39
1801 Change in uncollected payments, Federal sources .....	1	1	3
1825 Spending authority from offsetting collections applied to repay debt .....	-27		
1850 Spending auth from offsetting collections, mand (total) .....	2	34	42
1900 Financing authority (total) .....	48	83	78
1930 Total budgetary resources available .....	48	83	78
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	47	77
3010 Obligations incurred, unexpired accounts .....	46	83	78
3020 Financing disbursements (gross) .....	-29	-53	-73
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	47	77	82
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-7	-8
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-3
3090 Uncollected pymts, Fed sources, end of year .....	-7	-8	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	40	69
3200 Obligated balance, end of year .....	40	69	71
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	48	83	78
Financing disbursements:			
4110 Financing disbursements, gross .....	29	53	73
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Funds: Program Account .....	-6	-7	-8
4122 Interest on uninvested funds .....	-1	-3	-3
4123 Non-Federal sources: Repayment of Principal .....	-21	-23	-28
4130 Offsets against gross financing auth and disbursements (total) .....	-28	-33	-39
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-3
4160 Financing authority, net (mandatory) .....	19	49	36

4170	Financing disbursements, net (mandatory)	1	20	34
4180	Financing authority, net (total)	19	49	36
4190	Financing disbursements, net (total)	1	20	34

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4176-0-3-452	2012 actual	2013 CR	2014 est.	
<b>Position with respect to appropriations act limitation on obligations:</b>				
1111	Limitation on direct loans	33	33	33
1121	Limitation available from carry-forward	8	45	40
1150	Total direct loan obligations	41	78	73
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year	110	113	139
1231	Disbursements: Direct loan disbursements	24	48	69
1251	Repayments: Repayments and prepayments	-21	-22	-27
1290	Outstanding, end of year	113	139	181

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 12-4176-0-3-452	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury	11	9
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	110	113
1405	Allowance for subsidy cost (-)	-10	-12
1499	Net present value of assets related to direct loans	100	101
1999	Total assets	111	110
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury	111	110
4999	Total upward reestimate subsidy BA [12-3108]	111	110

**RURAL BUSINESS INVESTMENT PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-1907-0-1-452	2012 actual	2013 CR	2014 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	3	3
3050	Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	3	3
3200	Obligated balance, end of year	3	3	3

The Rural Business Investment Program was authorized and provided mandatory funding by section 6029 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171. The Deficit Reduction Act rescinded the unobligated balance and no funds are requested for 2014.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**RURAL BUSINESS INVESTMENT PROGRAM GUARANTEE FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4033-0-3-452	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	2
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected		1	1
1850	Spending auth from offsetting collections, mand (total)		1	1
1930	Total budgetary resources available	1	2	3
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	2	3
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross		1	1
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources		-1	
4123	Non-Federal sources (Guaranteed fees)			-1
4130	Offsets against gross financing auth and disbursements (total)		-1	-1
4170	Financing disbursements, net (mandatory)		-1	-1
4190	Financing disbursements, net (total)		-1	-1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 12-4033-0-3-452	2012 actual	2013 CR	2014 est.	
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year	12	16	20
2231	Disbursements of new guaranteed loans	4	5	4
2251	Repayments and prepayments		-1	-1
2290	Outstanding, end of year	16	20	23
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	16	20	23

**Balance Sheet** (in millions of dollars)

Identification code 12-4033-0-3-452	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury	1	1
1999	Total assets	1	1
<b>LIABILITIES:</b>			
2204	Non-Federal liabilities: Liabilities for loan guarantees	1	1
4999	Total liabilities and net position	1	1

**RURAL ENERGY FOR AMERICA PROGRAM**

For the cost of a program of loan guarantees and grants, under the same terms and conditions as authorized by section 9007 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8107), \$19,741,000: Provided, That the cost of loan guarantees, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-1908-0-1-451	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0011	Grants	22	12	28
Credit program obligations:				
0702	Loan guarantee subsidy	3	13	33
0707	Reestimates of loan guarantee subsidy	14	5	

**RURAL ENERGY FOR AMERICA PROGRAM—Continued**  
**Program and Financing—Continued**

Identification code 12-1908-0-1-451	2012 actual	2013 CR	2014 est.
0708 Interest on reestimates of loan guarantee subsidy .....	1		
0791 Direct program activities, subtotal .....	18	18	33
0900 Total new obligations (object class 41.0) .....	40	30	61
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	15	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-15	
1021 Recoveries of prior year unpaid obligations .....	13		
1050 Unobligated balance (total) .....	16		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	20
1134 Appropriations precluded from obligation .....		-41	
1160 Appropriation, discretionary (total) .....	3	-38	20
Appropriations, mandatory:			
1200 Appropriation .....	14	5	
1221 Appropriations transferred from other accts [12-4336] .....	22	63	41
1260 Appropriations, mandatory (total) .....	36	68	41
1900 Budget authority (total) .....	39	30	61
1930 Total budgetary resources available .....	55	30	61
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	120	77	51
3010 Obligations incurred, unexpired accounts .....	40	30	61
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-71	-56	-31
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	77	51	81
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	120	77	51
3200 Obligated balance, end of year .....	77	51	81

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	-38	20
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	-2	
4011 Outlays from discretionary balances .....	7	14	-19
4020 Outlays, gross (total) .....	8	12	-19
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
Non-Federal sources .....			
4033 Additional offsets against gross budget authority only .....	-3		
4052 Offsetting collections credited to expired accounts .....	3		
4070 Budget authority, net (discretionary) .....	3	-38	20
4080 Outlays, net (discretionary) .....	5	12	-19
Mandatory:			
4090 Budget authority, gross .....	36	68	41
Outlays, gross:			
4100 Outlays from new mandatory authority .....	17	7	1
4101 Outlays from mandatory balances .....	46	37	49
4110 Outlays, gross (total) .....	63	44	50
4180 Budget authority, net (total) .....	39	30	61
4190 Outlays, net (total) .....	68	56	31

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 12-1908-0-1-451	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Renewable Energy Loan Guarantees .....	14	53	120
215999 Total loan guarantee levels .....	14	53	120
Guaranteed loan subsidy (in percent):			
232001 Renewable Energy Loan Guarantees .....	26.19	24.01	27.43
232999 Weighted average subsidy rate .....	26.19	24.01	27.43
Guaranteed loan subsidy budget authority:			
233001 Renewable Energy Loan Guarantees .....	4	13	33

233999 Total subsidy budget authority .....	4	13	33
Guaranteed loan subsidy outlays:			
234001 Renewable Energy Loan Guarantees .....	2	10	10
234999 Total subsidy outlays .....	2	10	10
Guaranteed loan upward reestimates:			
235001 Renewable Energy Loan Guarantees .....	14	5	
235999 Total upward reestimate budget authority .....	14	5	
Guaranteed loan downward reestimates:			
237001 Renewable Energy Loan Guarantees .....	-1	-5	
237999 Total downward reestimate subsidy budget authority .....	-1	-5	

The Rural Energy for America Program was formerly the Renewable Energy Systems and Energy Efficiency Improvements, and is authorized under 7 U.S.C. 8107. This program provides loan guarantees and grants to farmers, ranchers, and small rural businesses to purchase renewable energy systems and make energy efficiency improvements. The budget requests discretionary funding of \$7.4 million for grants and \$12.3 million for loan guarantees to support \$44.9 million in private lending. This program is authorized pursuant to Section 9007 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation and Energy Act of 2008 and the American Taxpayer Relief Act of 2012.

**RURAL ENERGY FOR AMERICA GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 12-4267-0-3-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		8	8
0742 Downward reestimate paid to receipt account .....	1	5	
0900 Total new obligations .....	1	13	8
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	59	64
1023 Unobligated balances applied to repay debt .....	-6		
1050 Unobligated balance (total) .....	16	59	64
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	11		
1440 Borrowing authority, mandatory (total) .....	11		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	19	15	10
1801 Change in uncollected payments, Federal sources .....	14	3	23
1850 Spending auth from offsetting collections, mand (total) .....	33	18	33
1900 Financing authority (total) .....	44	18	33
1930 Total budgetary resources available .....	60	77	97
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	59	64	89

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	13	8
3020 Financing disbursements (gross) .....	-1	-13	-8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-14	-17
3070 Change in uncollected pymts, Fed sources, unexpired .....	-14	-3	-23
3090 Uncollected pymts, Fed sources, end of year .....	-14	-17	-40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		-14	-17
3200 Obligated balance, end of year .....	-14	-17	-40

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	44	18	33
Financing disbursements:			
4110 Financing disbursements, gross .....	1	13	8
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-16	-15	-10

4122	Interest on uninvested funds .....	-1		
4123	Guarantee fees .....	-2		
4130	Offsets against gross financing auth and disbursements (total) .....	-19	-15	-10
	Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-14	-3	-23
4160	Financing authority, net (mandatory) .....	11		
4170	Financing disbursements, net (mandatory) .....	-18	-2	-2
4180	Financing authority, net (total) .....	11		
4190	Financing disbursements, net (total) .....	-18	-2	-2

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 12-4267-0-3-451	2012 actual	2013 CR	2014 est.	
Position with respect to appropriations act limitation on commitments:				
2131	Guaranteed loan commitments exempt from limitation .....	14	53	120
2150	Total guaranteed loan commitments .....	14	53	120
2199	Guaranteed amount of guaranteed loan commitments .....	11	42	94
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	65	70	72
2231	Disbursements of new guaranteed loans .....	39	36	35
2251	Repayments and prepayments .....	-24	-26	-26
Adjustments:				
2261	Terminations for default that result in loans receivable .....		-8	-8
2264	Other adjustments, net .....	-10		
2290	Outstanding, end of year .....	70	72	73
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	56	57	57
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	10		6
2331	Disbursements for guaranteed loan claims .....		6	6
2361	Write-offs of loans receivable .....	-10		
2390	Outstanding, end of year .....		6	12

This account finances loan guarantee commitments to farmers, ranchers, and small businesses to purchase renewable energy systems and make energy efficiency improvements in rural areas.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Rural Energy for American Program Account.

**Balance Sheet** (in millions of dollars)

Identification code 12-4267-0-3-451	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	22	34
	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	Defaulted guaranteed loans receivable, gross .....	10	
1505	Allowance for subsidy cost (-) .....	-3	
1599	Net present value of assets related to defaulted guaranteed loans .....	7	
1999	Total assets .....	29	34
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	7	1
2204	Non-Federal liabilities: Liability for loan guarantees .....	22	33
2999	Total liabilities .....	29	34
4999	Total liabilities and net position .....	29	34

**BIOREFINERY ASSISTANCE PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-3106-0-1-452	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0702	Loan guarantee subsidy .....	144	41	
0707	Reestimates of loan guarantee subsidy .....	20	5	
0708	Interest on reestimates of loan guarantee subsidy .....	1		
0900	Total new obligations (object class 41.0) .....	165	46	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	186	41	
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	20	5	
1260	Appropriations, mandatory (total) .....	20	5	
1930	Total budgetary resources available .....	206	46	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	41		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	78	203	154
3010	Obligations incurred, unexpired accounts .....	165	46	
3020	Outlays (gross) .....	-40	-95	-96
3050	Unpaid obligations, end of year .....	203	154	58
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	78	203	154
3200	Obligated balance, end of year .....	203	154	58
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	20	5	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	20	5	
4101	Outlays from mandatory balances .....	20	90	96
4110	Outlays, gross (total) .....	40	95	96
4180	Budget authority, net (total) .....	20	5	
4190	Outlays, net (total) .....	40	95	96

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 12-3106-0-1-452	2012 actual	2013 CR	2014 est.	
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Section 9003 Loan Guarantees .....	462	96	
215999	Total loan guarantee levels .....	462	96	
Guaranteed loan subsidy (in percent):				
232001	Section 9003 Loan Guarantees .....	31.30	42.00	
232999	Weighted average subsidy rate .....	31.30	42.00	
Guaranteed loan subsidy budget authority:				
233001	Section 9003 Loan Guarantees .....	145	40	
233999	Total subsidy budget authority .....	145	40	
Guaranteed loan subsidy outlays:				
234001	Section 9003 Loan Guarantees .....	20	90	96
234999	Total subsidy outlays .....	20	90	96
Guaranteed loan upward reestimates:				
235001	Section 9003 Loan Guarantees .....	20	5	
235999	Total upward reestimate budget authority .....	20	5	
Guaranteed loan downward reestimates:				
237001	Section 9003 Loan Guarantees .....		-35	
237999	Total downward reestimate subsidy budget authority .....		-35	

The Biorefinery Assistance Program provides loan guarantees to fund the development, construction, and retrofitting of commercial-scale advanced biorefineries. The 2014 Budget does not request discretionary funding for this program. The Biorefinery Assistance Program is authorized under section 9003 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation, and Energy Act of 2008, and the American Taxpayers Relief Act of 2012.

**BIOREFINERY ASSISTANCE PROGRAM ACCOUNT—Continued**

**Balance Sheet** (in millions of dollars)

Identification code 12-3106-0-1-452	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	99	72
1999 Total assets .....	99	72
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	99	72
4999 Total liabilities and net position .....	99	72

**BIOREFINERY ASSISTANCE GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4355-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	38	7	10
0742 Downward reestimate paid to receipt account .....		31	
0743 Interest on downward reestimates .....		4	
0900 Total new obligations .....	38	42	10

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	99	275	484
1023 Unobligated balances applied to repay debt .....	-36		
1050 Unobligated balance (total) .....	63	275	484
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	47	98	101
1801 Change in uncollected payments, Federal sources .....	203	153	57
1850 Spending auth from offsetting collections, mand (total) .....	250	251	158
1900 Financing authority (total) .....	250	251	158
1930 Total budgetary resources available .....	313	526	642
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	275	484	632

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			5
3010 Obligations incurred, unexpired accounts .....	38	42	10
3020 Financing disbursements (gross) .....	-38	-37	-4
3050 Unpaid obligations, end of year .....		5	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-203	-356
3070 Change in uncollected pymts, Fed sources, unexpired .....	-203	-153	-57
3090 Uncollected pymts, Fed sources, end of year .....	-203	-356	-413
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		-203	-351
3200 Obligated balance, end of year .....	-203	-351	-402

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	250	251	158
Financing disbursements:			
4110 Financing disbursements, gross .....	38	37	4
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-40	-95	-96
4122 Interest on uninvested funds .....	-2	-1	-1
4123 Loan Principal .....	-4	-2	-4
4123 Guaranteed Fees .....	-1		
4130 Offsets against gross financing auth and disbursements (total) .....	-47	-98	-101
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-203	-153	-57
4170 Financing disbursements, net (mandatory) .....	-9	-61	-97
4190 Financing disbursements, net (total) .....	-9	-61	-97

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 12-4355-0-3-452	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation .....	462	97	
2150 Total guaranteed loan commitments .....	462	97	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	165	133	279
2231 Disbursements of new guaranteed loans .....	55	167	217
2251 Repayments and prepayments .....	-6	-14	-28
Adjustments:			
2263 Terminations for default that result in claim payments .....	-38	-7	-10
2264 Other adjustments, net .....	-43		
2290 Outstanding, end of year .....	133	279	458
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	106	251	412
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....			2
2331 Disbursements for guaranteed loan claims .....	38	2	4
2351 Repayments of loans receivable .....	-5		
2361 Write-offs of loans receivable .....	-33		
2390 Outstanding, end of year .....		2	6

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Biorefinery Assistance Program Account.

**Balance Sheet** (in millions of dollars)

Identification code 12-4355-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	99	72
1999 Total assets .....	99	72
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2203 Debt .....	36	
2204 Liabilities for loan guarantees .....	63	72
2999 Total liabilities .....	99	72
4999 Total liabilities and net position .....	99	72

**ALTERNATIVE AGRICULTURAL RESEARCH AND COMMERCIALIZATION CORPORATION REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 12-4144-0-3-352	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**RURAL UTILITIES SERVICE**

**Federal Funds**

**HIGH ENERGY COST GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 12-2042-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 High energy cost grants .....	12	20	10
0900 Total new obligations (object class 41.0) .....	12	20	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	20	10
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other accts [12-1980] ....	10	10	.....
1160 Appropriation, discretionary (total) .....	10	10	.....
1930 Total budgetary resources available .....	32	30	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	10	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	18	12
3010 Obligations incurred, unexpired accounts .....	12	20	10
3020 Outlays (gross) .....	-8	-26	-5
3050 Unpaid obligations, end of year .....	18	12	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	18	12
3200 Obligated balance, end of year .....	18	12	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	10	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	7	.....
4011 Outlays from discretionary balances .....	8	19	5
4020 Outlays, gross (total) .....	8	26	5
4180 Budget authority, net (total) .....	10	10	.....
4190 Outlays, net (total) .....	8	26	5

High energy costs grants can be made to eligible entities or the Denali Commission to construct, extend, upgrade, and otherwise improve energy generation, transmission, or distribution facilities serving communities in which the average residential expenditure for home energy is at least 275 percent of the national average residential expenditure for home energy (as determined by the Energy Information Agency using the most recent data available). Grants are also available to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where the fuel cannot be shipped by means of surface transportation. The Budget proposes no funding in 2014 for these grants.

**RURAL WATER AND WASTE DISPOSAL PROGRAM ACCOUNT**

**(INCLUDING TRANSFERS OF FUNDS)**

For the cost of direct loans and grants for the rural water, waste water, waste disposal, and solid waste management programs authorized by sections 306, 306A, 306C, 306D, 306E, and 310B and described in sections 306C(a)(2), 306D, 306E, and 381E(d)(2) of the Consolidated Farm and Rural Development Act, \$304,000,000, to remain available until expended: Provided, That not to exceed 12 percent of the amount appropriated under this heading shall be for loans and grants including water and waste disposal systems grants authorized by 306C(a)(2)(B) and 306D of the Consolidated Farm and Rural Development Act, Federally recognized Native American Tribes authorized by 306C(a)(1), and the Department of Hawaiian Home Lands (of the State of Hawaii): Provided further, That funding provided for section 306D of the Consolidated Farm and Rural Development Act may be provided to a consortium formed pursuant to section 325 of Public Law 105-83: Provided further, That not more than

2 percent of the funding provided for section 306D of the Consolidated Farm and Rural Development Act may be used by the State of Alaska for training and technical assistance programs and not more than 2 percent of the funding provided for section 306D of the Consolidated Farm and Rural Development Act may be used by a consortium formed pursuant to section 325 of Public Law 105-83 for training and technical assistance programs: Provided further, That not to exceed 3 percent of the amount appropriated under this heading shall be for technical assistance grants for rural water and waste systems pursuant to section 306(a)(14) of such Act, unless the Secretary makes a determination of extreme need, of which not more than 30 percent shall be made available for a grant to a qualified non-profit multi-state regional technical assistance organization, with experience in working with small communities on water and waste water problems, the principal purpose of such grant shall be to assist rural communities with populations of 3,300 or less, in improving the planning, financing, development, operation, and management of water and waste water systems, and of which not more than 4 percent shall be for a qualified national Native American organization to provide technical assistance for rural water systems for tribal communities: Provided further, That not to exceed 2.5 percent of the amount appropriated under this heading shall be for contracting with qualified national organizations for a circuit rider program to provide technical assistance for rural water systems: Provided further, That not to exceed \$4,000,000 shall be for solid waste management grants: Provided further, That any prior year balances for high energy cost grants authorized by section 19 of the Rural Electrification Act of 1936 (7 U.S.C. 918a) shall be transferred to and merged with the Rural Utilities Service, High Energy Cost Grants Account: Provided further, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to the funds made available under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-1980-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Water and waste disposal systems grants .....	471	498	354
0011 Water and waste disposal systems grants-Natural disaster .....	.....	.....	4
0012 Solid waste management grants .....	3	3	4
0013 Emergency Community Water Assistance Grants .....	3	12	.....
0091 Direct program activities, subtotal .....	477	513	362
Credit program obligations:			
0701 Direct loan subsidy .....	90	77	.....
0702 Loan guarantee subsidy .....	.....	2	1
0705 Reestimates of direct loan subsidy .....	14	16	.....
0706 Interest on reestimates of direct loan subsidy .....	2	1	.....
0791 Direct program activities, subtotal .....	106	96	1
0900 Total new obligations (object class 41.0) .....	583	609	363
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	91	90	59
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	91	90	.....
1021 Recoveries of prior year unpaid obligations .....	64	55	.....
1050 Unobligated balance (total) .....	155	145	59
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	513	516	304
1120 Appropriations transferred to other accts [12-2042] .....	-10	-10	.....
1160 Appropriation, discretionary (total) .....	503	506	304
Appropriations, mandatory:			
1200 Appropriation .....	15	17	.....
1260 Appropriations, mandatory (total) .....	15	17	.....
1900 Budget authority (total) .....	518	523	304
1930 Total budgetary resources available .....	673	668	363
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	90	59	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,084	2,621	2,091

RURAL WATER AND WASTE DISPOSAL PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 12-1980-0-1-452	2012 actual	2013 CR	2014 est.
3010 Obligations incurred, unexpired accounts .....	583	609	363
3020 Outlays (gross) .....	-949	-1,084	-875
3040 Recoveries of prior year unpaid obligations, unexpired .....	-64	-55	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-33	.....	.....
3050 Unpaid obligations, end of year .....	2,621	2,091	1,579
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,084	2,621	2,091
3200 Obligated balance, end of year .....	2,621	2,091	1,579
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	503	506	304
Outlays, gross:			
4010 Outlays from new discretionary authority .....	16	21	12
4011 Outlays from discretionary balances .....	904	1,038	860
4020 Outlays, gross (total) .....	920	1,059	872
Mandatory:			
4090 Budget authority, gross .....	15	17	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	15	17	.....
4101 Outlays from mandatory balances .....	14	8	3
4110 Outlays, gross (total) .....	29	25	3
4180 Budget authority, net (total) .....	518	523	304
4190 Outlays, net (total) .....	949	1,084	875

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1980-0-1-452	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Water and Waste Disposal Loans .....	947	951	1,200
115999 Total direct loan levels .....	947	951	1,200
Direct loan subsidy (in percent):			
132001 Water and Waste Disposal Loans .....	9.58	8.07	-0.87
132999 Weighted average subsidy rate .....	9.58	8.07	-0.87
Direct loan subsidy budget authority:			
133001 Water and Waste Disposal Loans .....	91	77	-10
133999 Total subsidy budget authority .....	91	77	-10
Direct loan subsidy outlays:			
134001 Water and Waste Disposal Loans .....	70	96	91
134002 Water and Waste Disposal Emergency Supplemental Loans .....	.....	1	1
134003 Water and Waste Disposal Loans - ARRA .....	56	50	28
134999 Total subsidy outlays .....	126	147	120
Direct loan upward reestimates:			
135001 Water and Waste Disposal Loans .....	15	17	.....
135999 Total upward reestimate budget authority .....	15	17	.....
Direct loan downward reestimates:			
137001 Water and Waste Disposal Loans .....	-126	-274	.....
137999 Total downward reestimate budget authority .....	-126	-274	.....
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Water and Waste Disposal Loan Guarantees .....	8	177	98
215999 Total loan guarantee levels .....	8	177	98
Guaranteed loan subsidy (in percent):			
232001 Water and Waste Disposal Loan Guarantees .....	1.59	1.06	0.71
232999 Weighted average subsidy rate .....	1.59	1.06	0.71
Guaranteed loan subsidy budget authority:			
233001 Water and Waste Disposal Loan Guarantees .....	.....	2	1
233999 Total subsidy budget authority .....	.....	2	1

This account funds the direct and guaranteed water and waste disposal loans, water and waste disposal grants, emergency community water assistance grants, and solid waste management grants.

Water and waste disposal loans are authorized under 7 U.S.C. 1926. The program provides direct loans to municipalities, counties, special purpose districts, certain Indian Tribes, and non-profit corporations to develop water and waste disposal systems in rural areas and towns with populations of less than

10,000. The program also guarantees water and waste disposal loans made by banks and other eligible lenders. In 2014 the projected loan level is \$1.2 billion for direct loans. No guaranteed loans are proposed for 2014 due to the increase in cost for this program coupled with the low demand for these funds.

Water and waste disposal grants are authorized under Section 306(a)(2) of the Consolidated Farm and Rural Development Act, as amended. Grants are authorized to be made to associations, including nonprofit corporations, municipalities, counties, public and quasi-public agencies, and certain Indian tribes. The grants can be used to finance development, storage, treatment, purification, or distribution of water or the collection, treatment, or disposal of waste in rural areas and cities or towns with populations of less than 10,000. The amount of any development grant may not exceed 75 percent of the eligible development cost of the project. In 2014, \$300 million is requested for this program.

Emergency community water assistance grants are authorized under Section 306A of the Consolidated Farm and Rural Development Act, as amended. Grants are made to public bodies and nonprofit organizations for construction or extension of water lines, repair or maintenance of existing systems, replacement of equipment, and payment of costs to correct emergency situations. These grants are funded on an as needed basis using flexibility of funds authority. The 2014 Budget assumes no funding for these grants.

Solid waste management grants are authorized under Section 310B(b) of the Consolidated Farm and Rural Development Act, as amended. Grants are made to non-profit organizations to provide regional technical assistance to local and regional governments and related agencies for the purpose of reducing or eliminating pollution of water resources, and for improving the planning and management of solid waste disposal facilities. In 2014 \$4 million is requested for this program.

RURAL WATER AND WASTE DISPOSAL DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4226-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	947	951	1,200
0713 Payment of interest to Treasury .....	561	595	630
0740 Negative subsidy obligations .....	.....	.....	10
0742 Downward reestimate paid to receipt account .....	98	209	.....
0743 Interest on downward reestimates .....	28	64	.....
0900 Total new obligations .....	1,634	1,819	1,840
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	60	333	.....
1021 Recoveries of prior year unpaid obligations .....	159	.....	.....
1023 Unobligated balances applied to repay debt .....	-80	-333	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-139	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	980	966	980
1440 Borrowing authority, mandatory (total) .....	980	966	980
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,516	1,168	1,225
1801 Change in uncollected payments, Federal sources .....	-53	-70	-120
1825 Spending authority from offsetting collections applied to repay debt .....	-476	-245	-245
1850 Spending auth from offsetting collections, mand (total) .....	987	853	860
1900 Financing authority (total) .....	1,967	1,819	1,840
1930 Total budgetary resources available .....	1,967	1,819	1,840
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	333	.....	.....

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4,349	3,872	3,242
3010	Obligations incurred, unexpired accounts .....	1,634	1,819	1,840
3020	Financing disbursements (gross) .....	-1,952	-2,449	-1,986
3040	Recoveries of prior year unpaid obligations, unexpired .....	-159		
3050	Unpaid obligations, end of year .....	3,872	3,242	3,096
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-412	-359	-289
3070	Change in uncollected pymts, Fed sources, unexpired .....	53	70	120
3090	Uncollected pymts, Fed sources, end of year .....	-359	-289	-169
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3,937	3,513	2,953
3200	Obligated balance, end of year .....	3,513	2,953	2,927
Financing authority and disbursements, net:				
Mandatory:				
4090	Financing authority, gross .....	1,967	1,819	1,840
Financing disbursements:				
4110	Financing disbursements, gross .....	1,952	2,449	1,986
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-141	-164	-120
4122	Interest on uninvested funds .....	-49	-54	-60
4123	Repayment of principal .....	-841	-449	-494
4123	Interest Received on Loans .....	-485	-501	-551
4130	Offsets against gross financing auth and disbursements (total) .....	-1,516	-1,168	-1,225
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	53	70	120
4160	Financing authority, net (mandatory) .....	504	721	735
4170	Financing disbursements, net (mandatory) .....	436	1,281	761
4180	Financing authority, net (total) .....	504	721	735
4190	Financing disbursements, net (total) .....	436	1,281	761

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4226-0-3-452	2012 actual	2013 CR	2014 est.	
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation .....	947	951	1,200
1150	Total direct loan obligations .....	947	951	1,200
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	10,871	11,280	12,456
1231	Disbursements: Direct loan disbursements .....	1,264	1,625	1,345
1251	Repayments: Repayments and prepayments .....	-841	-449	-494
1261	Adjustments: Capitalized interest .....	1		
1263	Write-offs for default: Direct loans .....	-15		
1290	Outstanding, end of year .....	11,280	12,456	13,307

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of these loans is provided through the Rural Water and Waste Disposal Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**Balance Sheet** (in millions of dollars)

Identification code 12-4226-0-3-452	2011 actual	2012 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury .....	176	614
Investments in US securities:			
1106	Receivables, net .....	15	17
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	10,871	11,280
1402	Interest receivable .....	109	102
1405	Allowance for subsidy cost (-) .....	-735	-556
1499	Net present value of assets related to direct loans .....	10,245	10,826
1999	Total assets .....	10,436	11,457

LIABILITIES:			
Federal liabilities:			
2103	Debt .....	10,310	11,183
2105	Other .....	126	274
2999	Total liabilities .....	10,436	11,457
4999	Total liabilities and net position .....	10,436	11,457

**RURAL WATER AND WASTE WATER DISPOSAL GUARANTEED LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4218-0-3-452	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		1
1850	Spending auth from offsetting collections, mand (total) .....		1
1930	Total budgetary resources available .....		1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		1
Financing authority and disbursements, net:			
Mandatory:			
4090	Financing authority, gross .....		1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....		-1
4190	Financing disbursements, net (total) .....		-1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 12-4218-0-3-452	2012 actual	2013 CR	2014 est.	
Position with respect to appropriations act limitation on commitments:				
2131	Guaranteed loan commitments exempt from limitation .....	8	177	98
2150	Total guaranteed loan commitments .....	8	177	98
2199	Guaranteed amount of guaranteed loan commitments .....	7	159	88
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	62	78	85
2231	Disbursements of new guaranteed loans .....	26	18	57
2251	Repayments and prepayments .....	-10	-11	-12
2290	Outstanding, end of year .....	78	85	130
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	68	73	110

This account finances loan guarantee commitments for water systems and waste disposal facilities in rural areas.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS PROGRAM ACCOUNT**

(INCLUDING TRANSFER OF FUNDS)

*The principal amount of guaranteed rural electric loans made under section 306 of the Rural Electrification Act of 1936 (7 U.S.C. 936) shall be \$4,000,000,000, and the principal amount of cost of money rural telecommunications loans made under section 305 of such Act (7 U.S.C. 935) shall be \$690,000,000: Provided, That not less than \$3,000,000,000 shall be used for the construction, acquisition, or improvement of renewable energy plants or for construction, acquisition or improvement of fossil-*

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS PROGRAM  
ACCOUNT—Continued

fuelled electric generating plants (whether new or existing) that utilize carbon sequestration systems: Provided further, That funding may be made available for fossil-fuel electric generating peaking units (new or existing) to the extent that the peaking unit operates in conjunction with an electric generating plant that produces electricity from solar, wind, or other intermittent sources of energy: Provided further, That not more than \$1,000,000,000 shall be available for environmental improvements to fossil-fuel electric generating plants that would reduce emission of air pollution including greenhouse gases.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$34,694,000, which shall be paid to the appropriation for "Rural Development, Salaries and Expenses".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-1230-0-1-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	239	423	
0706 Interest on reestimates of direct loan subsidy .....	100	83	
0709 Administrative expenses .....	36	37	35
0900 Total new obligations .....	375	543	35
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	37	38	35
1120 Appropriations transferred to other accts [12-4609] .....	-1		
1160 Appropriation, discretionary (total) .....	36	38	35
Appropriations, mandatory:			
1200 Appropriation .....	339	506	
1260 Appropriations, mandatory (total) .....	339	506	
1900 Budget authority (total) .....	375	544	35
1930 Total budgetary resources available .....	375	544	36
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	3	1
3010 Obligations incurred, unexpired accounts .....	375	543	35
3020 Outlays (gross) .....	-377	-545	-35
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	3	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	3	1
3200 Obligated balance, end of year .....	3	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	36	38	35
Outlays, gross:			
4010 Outlays from new discretionary authority .....	36	37	35
4011 Outlays from discretionary balances .....	2	2	
4020 Outlays, gross (total) .....	38	39	35
Mandatory:			
4090 Budget authority, gross .....	339	506	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	339	506	
4180 Budget authority, net (total) .....	375	544	35
4190 Outlays, net (total) .....	377	545	35

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1230-0-1-271	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115004 FFB Electric Loans .....	4,318	4,742	4,000

115006 Treasury Telecommunications Loans .....	19	80	690
115007 FFB Telecommunications Loans .....	61		
115008 FFB Guaranteed Underwriting .....	424		
115999 Total direct loan levels .....	4,822	4,822	4,690
Direct loan subsidy (in percent):			
132004 FFB Electric Loans .....	-4.00	-6.29	-3.31
132006 Treasury Telecommunications Loans .....	-1.19	-1.14	-1.19
132007 FFB Telecommunications Loans .....	-3.64		
132008 FFB Guaranteed Underwriting .....	-6.32		
132999 Weighted average subsidy rate .....	-4.19	-6.20	-3.00
Direct loan subsidy budget authority:			
133004 FFB Electric Loans .....	-173	-298	-132
133006 Treasury Telecommunications Loans .....		-1	-8
133007 FFB Telecommunications Loans .....	-2		
133008 FFB Guaranteed Underwriting .....	-27		
133999 Total subsidy budget authority .....	-202	-299	-140
Direct loan subsidy outlays:			
134001 Electric Hardship Loans .....	-2	-6	-5
134004 FFB Electric Loans .....	-70	-167	-203
134005 Telecommunication Hardship Loans .....	-2	-3	-3
134006 Treasury Telecommunications Loans .....			-1
134007 FFB Telecommunications Loans .....	-3	-4	-3
134008 FFB Guaranteed Underwriting .....		-13	-20
134999 Total subsidy outlays .....	-77	-193	-235
Direct loan upward reestimates:			
135001 Electric Hardship Loans .....	5	23	
135002 Municipal Electric Loans .....	3		
135003 Treasury Electric Loans .....	4	6	
135004 FFB Electric Loans .....	215	206	
135005 Telecommunication Hardship Loans .....	3	4	
135006 Treasury Telecommunications Loans .....	4	19	
135007 FFB Telecommunications Loans .....	18	22	
135008 FFB Guaranteed Underwriting .....	76	199	
135011 Electric Loan Modifications .....	12	27	
135999 Total upward reestimate budget authority .....	340	506	
Direct loan downward reestimates:			
137001 Electric Hardship Loans .....	-65	-22	
137002 Municipal Electric Loans .....	-8	-24	
137003 Treasury Electric Loans .....	-9	-7	
137004 FFB Electric Loans .....	-323	-295	
137005 Telecommunication Hardship Loans .....	-8	-5	
137006 Treasury Telecommunications Loans .....	-16	-6	
137007 FFB Telecommunications Loans .....	-9	-9	
137008 FFB Guaranteed Underwriting .....	-67	-12	
137011 Electric Loan Modifications .....	-1		
137999 Total downward reestimate budget authority .....	-506	-380	
Guaranteed loan downward reestimates:			
Administrative expense data:			
3510 Budget authority .....	36	37	35
3590 Outlays from new authority .....	36	37	35

The Rural Utilities Service (RUS) conducts the rural electrification and the rural telecommunications loan programs. The rural electrification loan program finances the operation of generating plants, electric transmission, and distribution lines or systems. The rural telecommunications loan program provides funding for construction, expansion, and operation of telecommunications lines and facilities or systems. The Budget requests \$690 million in 2014 for the telecommunications loan program.

The Budget supports the Administration's commitment to phase out fossil fuel subsidies. The total electric loan level included in the Budget is \$4 billion, of which, up to \$1 billion may be available for environmental improvements to fossil fuel electric generating plants that would reduce emissions of air pollutants, including greenhouse gases. The remaining funding would be limited to renewable energy, transmission, distribution, carbon capture projects on generation facilities, and low emission peaking units affiliated with energy facilities that produce electricity from solar, wind and other intermittent sources of energy.

RUS will cancel loans obligated, but not disbursed, more than ten years ago. Most electric loans obligated more than ten years ago have either been disbursed or cancelled. However, current law prohibits the cancellation of telecommunications loans in most instances. This has resulted in many outstanding obligations

that are older than ten years. Since loans are issued for specific projects, and technology is changing at a very fast pace, it is doubtful that the original project will be accomplished ten years after a loan is approved.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, the subsidy costs associated with the direct and guaranteed loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 12-1230-0-1-271	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	36	37	35
41.0 Grants, subsidies, and contributions .....	339	506	.....
99.9 Total new obligations .....	375	543	35

**RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4208-0-3-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Interest on FFB Loans .....	1,365	1,383	1,155
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	4,822	4,822	4,690
0713 Payment of interest to Treasury .....	645	813	918
0740 Negative subsidy obligations .....	202	299	140
0742 Downward reestimate paid to receipt account .....	305	224	.....
0743 Interest on downward reestimates .....	202	156	.....
0791 Direct program activities, subtotal .....	6,176	6,314	5,748
0900 Total new obligations .....	7,541	7,697	6,903
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,117	501	.....
1021 Recoveries of prior year unpaid obligations .....	901	.....	.....
1023 Unobligated balances applied to repay debt .....	-1,121	-501	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-897	.....	.....
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	5,531	3,490	2,203
1440 Borrowing authority, mandatory (total) .....	5,531	3,490	2,203
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	4,799	4,986	5,350
1801 Change in uncollected payments, Federal sources .....	-6	-2	-1
1825 Spending authority from offsetting collections applied to repay debt .....	-2,282	-777	-649
1850 Spending auth from offsetting collections, mand (total) .....	2,511	4,207	4,700
1900 Financing authority (total) .....	8,042	7,697	6,903
1930 Total budgetary resources available .....	8,042	7,697	6,903
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	501	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	19,052	17,802	15,011
3010 Obligations incurred, unexpired accounts .....	7,541	7,697	6,903
3020 Financing disbursements (gross) .....	-7,890	-10,488	-8,407
3040 Recoveries of prior year unpaid obligations, unexpired .....	-901	.....	.....
3050 Unpaid obligations, end of year .....	17,802	15,011	13,507
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-3	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	6	2	1
3090 Uncollected pymts, Fed sources, end of year .....	-3	-1	.....

<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	19,043	17,799	15,010
3200 Obligated balance, end of year .....	17,799	15,010	13,507
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Financing authority, gross .....	8,042	7,697	6,903
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....	7,890	10,488	8,407
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Payment from program account .....	-341	-508	.....
4122 Interest on uninvested funds .....	-203	-182	-163
4123 Repayment of principal .....	-2,620	-1,823	-1,990
4123 Interest received on loans .....	-1,598	-543	-1,371
4123 Other .....	-37	.....	.....
4123 Repayment of principal Cushion of Credit .....	.....	-846	-800
4123 Repayment of interest Cushion of Credit .....	.....	-1,084	-1,026
4130 Offsets against gross financing auth and disbursements (total) .....	-4,799	-4,986	-5,350
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	6	2	1
4160 Financing authority, net (mandatory) .....	3,249	2,713	1,554
4170 Financing disbursements, net (mandatory) .....	3,091	5,502	3,057
4180 Financing authority, net (total) .....	3,249	2,713	1,554
4190 Financing disbursements, net (total) .....	3,091	5,502	3,057

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4208-0-3-271	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1131 Direct loan obligations exempt from limitation .....	4,822	4,822	4,690
1150 Total direct loan obligations .....	4,822	4,822	4,690
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	43,042	46,002	51,049
1231 Disbursements: Direct loan disbursements .....	5,579	7,716	6,360
<b>Repayments:</b>			
1251 Repayments and prepayments - Cash .....	-2,620	-1,823	-1,990
1251 Repayments and prepayments - CoC .....	.....	-846	-800
1264 Write-offs for default: Other adjustments, Reclassified, net .....	1	.....	.....
1290 Outstanding, end of year .....	46,002	51,049	54,619

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from electric and telecommunication direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 12-4208-0-3-271	2011 actual	2012 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	1,069	1,142
<b>Investments in US securities:</b>		
1106 Receivables, net .....	314	461
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	40,071	42,897
1402 Interest receivable .....	28	253
1405 Allowance for subsidy cost (-) .....	-672	-759
1499 Net present value of assets related to direct loans .....	39,427	42,391
1999 Total assets .....	40,810	43,994
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2103 Debt .....	40,314	9,826
2103 FFB .....	.....	33,508
<b>Non-Federal liabilities:</b>		
2202 Interest payable .....	22	301
2207 Other .....	474	359
2999 Total liabilities .....	40,810	43,994
4999 Total liabilities and net position .....	40,810	43,994

**RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Balance Sheet—Continued**

Identification code 12-4208-0-3-271	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	113	99
Investments in US securities:		
1106 Receivables, net .....	25	44
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	2,971	3,105
1402 Interest receivable .....	1	3
1405 Allowance for subsidy cost (-) .....	12	-7
1499 Net present value of assets related to direct loans .....	2,984	3,101
1999 Total assets .....	3,122	3,244
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....		5
2103 Debt .....	3,089	2,335
2103 FFB .....		884
2207 Non-Federal liabilities: Other .....	33	20
2999 Total liabilities .....	3,122	3,244
4999 Total liabilities and net position .....	3,122	3,244

**RURAL ELECTRIFICATION AND TELECOMMUNICATIONS GUARANTEED LOANS FINANCING ACCOUNT**

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 12-4209-0-3-271	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	199	193	189
2251 Repayments and prepayments .....	-6	-4	-4
2290 Outstanding, end of year .....	193	189	185
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	193	189	185

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 12-4230-0-3-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Interest Expense, FFB direct .....	158	96	66
0005 Other: cushion of credit .....	186	180	156
0091 Direct program activities, subtotal .....	344	276	222
Credit program obligations:			
0739 CoC for Financing .....	1,930	1,826	
0900 Total new obligations .....	344	2,206	2,048
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,997	4,808	3,349
1021 Recoveries of prior year unpaid obligations .....	43		
1023 Unobligated balances applied to repay debt .....		-1,042	
1050 Unobligated balance (total) .....	3,040	3,766	3,349
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation for CoC Borrower Interest .....	190	178	198
1200 Appropriation for CBOs .....	528	344	69

1200 Appropriation for RED Grants .....	192	180	156
1260 Appropriations, mandatory (total) .....	910	702	423
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,043	1,886	1,627
1825 Spending authority from offsetting collections applied to repay debt .....	-841	-799	-759
1850 Spending auth from offsetting collections, mand (total) .....	1,202	1,087	868
1900 Budget authority (total) .....	2,112	1,789	1,291
1930 Total budgetary resources available .....	5,152	5,555	4,640
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4,808	3,349	2,592
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	84	51	2,037
3010 Obligations incurred, unexpired accounts .....	344	2,206	2,048
3020 Outlays (gross) .....	-334	-220	-182
3040 Recoveries of prior year unpaid obligations, unexpired .....	-43		
3050 Unpaid obligations, end of year .....	51	2,037	3,903
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	84	51	2,037
3200 Obligated balance, end of year .....	51	2,037	3,903

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	2,112	1,789	1,291
Outlays, gross:			
4100 Outlays from new mandatory authority .....	294	188	157
4101 Outlays from mandatory balances .....	40	32	25
4110 Outlays, gross (total) .....	334	220	182
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Loans Repaid - Cash .....	-1,373	-599	-449
4123 Interest Repaid - Cash .....	-212	-206	-142
4123 Cushion of Credit Deposits .....	-458	-384	-511
4123 Loans Repaid - CoC .....		-540	-404
4123 Interest Repaid - CoC .....		-147	-110
4123 Electric Underwriting Fee .....		-10	-11
4130 Offsets against gross budget authority and outlays (total) .....	-2,043	-1,886	-1,627
4160 Budget authority, net (mandatory) .....	69	-97	-336
4170 Outlays, net (mandatory) .....	-1,709	-1,666	-1,445
4180 Budget authority, net (total) .....	69	-97	-336
4190 Outlays, net (total) .....	-1,709	-1,666	-1,445

**Status of Direct Loans (in millions of dollars)**

Identification code 12-4230-0-3-999	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,596	4,285	3,252
Repayments:			
1251 Repayments and prepayments - Cash .....	-1,373	-599	-449
1251 Repayments and prepayments - CoC .....		-540	-404
1261 Adjustments: Capitalized interest .....		106	82
Write-offs for default:			
1264 Other adjustments, net (+ or -) .....	-735		
1264 Other adjustments, net (+ or -) .....	3,797		
1290 Outstanding, end of year .....	4,285	3,252	2,481

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 12-4230-0-3-999	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	97	96	91
2251 Repayments and prepayments .....	-1	-5	-5
2290 Outstanding, end of year .....	96	91	86
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	96	91	86

**STATUS OF AGENCY DEBT**

[In millions of dollars]

	2012 actual	2013 est.	2014 est.
Agency debt held by FFB:			
Outstanding FFB direct, start of year .....	1,835	1,119	760

Outstanding Certificate of Beneficial Ownership (CBO's), start of year .....	1,675	1,147	803
New agency borrowing, FFB direct .....	0	0	0
Repayments and prepayments, FFB Direct .....	-716	-359	-244
Repayments, CBO's .....	-528	-344	-69
Outstanding FFB direct, end of year .....	1,119	760	516
Outstanding CBO's, end of year .....	1,147	803	734

The Rural Telephone Bank was dissolved in 2006. To accomplish this, the Rural Telephone Bank liquidating account loans were used to redeem a portion of the Government's stock. The Rural Telephone Bank liquidating account loans were transferred to the Rural Electrification and Telecommunications liquidating account in 2006.

The Rural Utilities Service (RUS) continues to service all loans in this account, providing business management and technical assistance to the borrowers on a regular basis over the life of the loans.

*Rural electric.*—This program is financed through RUS direct loans for the construction and operation of generating plants, electric transmission, and distribution lines or systems.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in RETRF in 1992 and beyond is recorded in corresponding program and financing accounts.

The following tables reflect statistics on loans made through the liquidating account only. Since 1992 new electric and telephone loans have been made through a separate program account.

**ELECTRIC PROGRAM STATISTICS**

[dollars in millions]

	2012 actual	2013 est.	2014 est.
Cumulative RUS financed direct loans .....	21,832	21,832	21,832
Cumulative FFB financed direct loans .....	26,598	26,598	26,598
Cumulative RUS funds advanced .....	21,832	21,832	21,832
Unadvanced RUS funds, end of year .....	0	0	0
Cumulative RUS principal repaid .....	20,624	21,149	21,680
Cumulative RUS interest paid .....	13,632	13,972	14,312
Cumulative loan guarantee commitments\1 .....	0	0	0
Number of borrowers .....	287	261	235

*Rural telecommunications.*—This loan program is financed through RUS direct loans for the construction, expansion, and operation of telecommunications lines and facilities or systems.

**TELECOMMUNICATIONS PROGRAM STATISTICS**

[dollars in millions]

	2012 actual	2013 est.	2014 est.
Cumulative RUS financed direct loans .....	5,916	5,916	5,916
Cumulative FFB financed direct loans .....	562	562	562
Cumulative RUS funds advanced .....	5,916	5,916	5,916
Unadvanced RUS funds, end of period .....	0	0	0
Cumulative RUS principal repaid .....	5,507	5,622	5,742
Cumulative RUS interest paid .....	3,491	3,546	3,605
Cumulative loan guarantee commitments\1 .....	0	0	0
Number of borrowers .....	269	245	221

**RURAL TELEPHONE BANK PROGRAM STATISTICS**

[dollars in millions]

	2012 actual	2013 est.	2014 est.
Cumulative net loans .....	2,471	2,471	2,471
Cumulative loan funds, advanced .....	2,471	2,471	2,471
Unadvanced loan funds, end of year .....	0	0	0
Cumulative principal repaid .....	2,456	2,460	2,465
Cumulative interest paid .....	2,460	2,463	2,466
Number of borrowers .....	34	30	25

**Balance Sheet** (in millions of dollars)

Identification code 12-4230-0-3-999	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	2,907	4,466
1601 Direct loans, gross .....	2,178	4,065
1602 Interest receivable .....	41	157

1603 Allowance for estimated uncollectible loans and interest (-) .....	-1,467	-1,087
1699 Value of assets related to direct loans .....	752	3,135
1999 Total assets .....	3,659	7,601
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2102 Interest payable .....	23	36
2103 Debt .....	3,979	3,359
2104 Resources payable to Treasury .....	14	586
2105 Other .....	-357	
2207 Non-Federal liabilities: Other .....		3,620
2999 Total liabilities .....	3,659	7,601
4999 Total liabilities and net position .....	3,659	7,601

<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	174	394
1601 Direct loans, gross .....	418	220
1602 Interest receivable .....	1	1
1603 Allowance for estimated uncollectible loans and interest (-) .....	-19	-1
1699 Value of assets related to direct loans .....	400	220
1999 Total assets .....	574	614
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2102 Interest payable .....	4	
2103 Debt .....	221	96
2104 Resources payable to Treasury .....	339	1
2207 Non-Federal liabilities: Other .....		507
2999 Total liabilities .....	564	604
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	10	10
4999 Total liabilities and net position .....	574	614

**Object Classification** (in millions of dollars)

Identification code 12-4230-0-3-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	186	180	156
43.0 Interest and dividends .....	158	96	66
94.0 Financial transfers .....		1,930	1,826
99.9 Total new obligations .....	344	2,206	2,048

**RURAL TELEPHONE BANK PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-1231-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0705 Reestimates of direct loan subsidy .....		2	
0706 Interest on reestimates of direct loan subsidy .....	1	3	
0900 Total new obligations (object class 41.0) .....	1	5	
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	1	5	
1260 Appropriations, mandatory (total) .....	1	5	
1900 Budget authority (total) .....	1	5	
1930 Total budgetary resources available .....	1	5	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	1	1
3010 Obligations incurred, unexpired accounts .....	1	5	
3020 Outlays (gross) .....	-2	-5	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	1	1	
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	1	1
3200 Obligated balance, end of year .....	1	1	

RURAL TELEPHONE BANK PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 12-1231-0-1-452	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		1
Mandatory:			
4090 Budget authority, gross .....	1	5	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	5	
4180 Budget authority, net (total) .....	1	5	
4190 Outlays, net (total) .....	2	5	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1231-0-1-452	2012 actual	2013 CR	2014 est.
Direct loan subsidy outlays:			
134001 Rural Telephone Bank .....	1	1	1
134999 Total subsidy outlays .....	1	1	1
Direct loan upward reestimates:			
135001 Rural Telephone Bank .....	1	4	
135999 Total upward reestimate budget authority .....	1	4	
Direct loan downward reestimates:			
137001 Rural Telephone Bank .....	-2	-1	
137999 Total downward reestimate budget authority .....	-2	-1	

The Rural Telephone Bank (RTB) completed dissolution in 2006, therefore no federally funded RTB loans are proposed.

As required by the Federal Credit Reform Act of 1990, this account records, for the RTB, the subsidy costs associated with the direct loans obligated in 1992 and beyond as well as administrative expenses for the program. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

RURAL TELEPHONE BANK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4210-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	18	19	19
0742 Downward reestimate paid to receipt account .....	2	1	
0900 Total new obligations .....	20	20	19
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		3	2
1021 Recoveries of prior year unpaid obligations .....	57		
1023 Unobligated balances applied to repay debt .....	-1		
1024 Unobligated balance of borrowing authority withdrawn .....	-56		
1050 Unobligated balance (total) .....		3	2
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2		
1440 Borrowing authority, mandatory (total) .....	2		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	74	53	55
1801 Change in uncollected payments, Federal sources .....	-1	-1	
1825 Spending authority from offsetting collections applied to repay debt .....	-52	-33	-36
1850 Spending auth from offsetting collections, mand (total) .....	21	19	19
1900 Financing authority (total) .....	23	19	19
1930 Total budgetary resources available .....	23	22	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	164	99	92
3010 Obligations incurred, unexpired accounts .....	20	20	19
3020 Financing disbursements (gross) .....	-28	-27	-27
3040 Recoveries of prior year unpaid obligations, unexpired .....	-57		
3050 Unpaid obligations, end of year .....	99	92	84
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-2	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	1	
3090 Uncollected pymts, Fed sources, end of year .....	-2	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	161	97	91
3200 Obligated balance, end of year .....	97	91	83

Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	23	19	19
Financing disbursements:			
4110 Financing disbursements, gross .....	28	27	27
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2	-6	-1
4122 Interest on uninvested funds .....	-1	-2	-2
4123 Principal received on loans .....	-52	-27	-33
4123 Interest received on loans .....	-19	-18	-19
4130 Offsets against gross financing auth and disbursements (total) .....	-74	-53	-55
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1	1	
4160 Financing authority, net (mandatory) .....	-50	-33	-36
4170 Financing disbursements, net (mandatory) .....	-46	-26	-28
4180 Financing authority, net (total) .....	-50	-33	-36
4190 Financing disbursements, net (total) .....	-46	-26	-28

Status of Direct Loans (in millions of dollars)

Identification code 12-4210-0-3-452	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	365	320	320
1231 Disbursements: Direct loan disbursements .....	7	27	27
1251 Repayments: Repayments and prepayments .....	-52	-27	-33
1290 Outstanding, end of year .....	320	320	314

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4210-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	12	16
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	365	320
1405 Allowance for subsidy cost (-) .....	28	27
1499 Net present value of assets related to direct loans .....	393	347
1999 Total assets .....	405	363
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	405	363
4999 Total liabilities and net position .....	405	363

DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND PROGRAM

For the principal amount of broadband telecommunication loans, \$63,356,000.

For grants for telemedicine and distance learning services in rural areas, as authorized by 7 U.S.C. 950aaa et seq., \$24,950,000, to remain available until expended.

For the cost of broadband loans, as authorized by section 601 of the Rural Electrification Act, \$8,268,000, to remain available until expended: Provided, That the cost of direct loans shall be as defined in section 502 of the Congressional Budget Act of 1974.

In addition, \$10,372,000, to remain available until expended, for a grant program to finance broadband transmission in rural areas eligible for Distance Learning and Telemedicine Program benefits authorized by 7 U.S.C. 950aaa.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12–1232–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Grants .....	26	45	44
Credit program obligations:			
0701 Direct loan subsidy .....	2	5	33
0705 Reestimates of direct loan subsidy .....	6	39	.....
0706 Interest on reestimates of direct loan subsidy .....	2	17	.....
0791 Direct program activities, subtotal .....	10	61	33
0900 Total new obligations (object class 41.0) .....	36	106	77
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	25	45	33
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	25	45	.....
1021 Recoveries of prior year unpaid obligations .....	10	.....	.....
1050 Unobligated balance (total) .....	35	45	33
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	37	38	44
1160 Appropriation, discretionary (total) .....	37	38	44
Appropriations, mandatory:			
1200 Appropriation .....	9	56	.....
1260 Appropriations, mandatory (total) .....	9	56	.....
1900 Budget authority (total) .....	46	94	44
1930 Total budgetary resources available .....	81	139	77
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	45	33	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,160	1,513	795
3010 Obligations incurred, unexpired accounts .....	36	106	77
3020 Outlays (gross) .....	–596	–824	–722
3040 Recoveries of prior year unpaid obligations, unexpired .....	–10	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–77	.....	.....
3050 Unpaid obligations, end of year .....	1,513	795	150
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,160	1,513	795
3200 Obligated balance, end of year .....	1,513	795	150
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	37	38	44
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1	3
4011 Outlays from discretionary balances .....	587	767	719
4020 Outlays, gross (total) .....	587	768	722
Mandatory:			
4090 Budget authority, gross .....	9	56	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9	56	.....
4180 Budget authority, net (total) .....	46	94	44
4190 Outlays, net (total) .....	596	824	722

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 12–1232–0–1–452	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115003 Broadband Treasury Rate Loans .....	69	53	257
115999 Total direct loan levels .....	69	53	257

Identification code	2012 actual	2013 CR	2014 est.
Direct loan subsidy (in percent):			
132003 Broadband Treasury Rate Loans .....	3.55	9.47	13.05
132999 Weighted average subsidy rate .....	3.55	9.47	13.05
Direct loan subsidy budget authority:			
133003 Broadband Treasury Rate Loans .....	2	5	34
133999 Total subsidy budget authority .....	2	5	34
Direct loan subsidy outlays:			
134003 Broadband Treasury Rate Loans .....	1	3	4
134004 Broadband Treasury Rate Loans - ARRA .....	22	19	16
134999 Total subsidy outlays .....	23	22	20
Direct loan upward reestimates:			
135001 Distance Learning and Telemedicine Loans .....	4	5	.....
135003 Broadband Treasury Rate Loans .....	4	51	.....
135999 Total upward reestimate budget authority .....	8	56	.....
Direct loan downward reestimates:			
137001 Distance Learning and Telemedicine Loans .....	–7	–1	.....
137003 Broadband Treasury Rate Loans .....	–34	–19	.....
137999 Total downward reestimate budget authority .....	–41	–20	.....

The loan and grant program provides access to advanced telecommunications services for improved education and health care in rural areas throughout the country. The loans and grants help education and health care providers bring the most modern technology, level of care, and education to rural America so its citizens can compete regionally, nationally, and globally.

Since there is little demand for the Distance Learning, Telemedicine (DLT) loans, the Budget proposes no funding for DLT loans in 2014 while requesting \$24.95 million for DLT grants. The request for Broadband grants is \$10.4 million and the Broadband loan request is \$8.3 million.

**DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12–4146–0–3–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	69	53	257
0713 Payment of interest to Treasury .....	38	39	39
0742 Downward reestimate paid to receipt account .....	36	17	.....
0743 Interest on downward reestimates .....	6	2	.....
0900 Total new obligations .....	149	111	296
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	361	.....	.....
1023 Unobligated balances applied to repay debt .....	–10	.....	.....
1024 Unobligated balance of borrowing authority withdrawn .....	–351	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	127	.....	137
1440 Borrowing authority, mandatory (total) .....	127	.....	137
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	105	232	173
1801 Change in uncollected payments, Federal sources .....	–31	19	–14
1825 Spending authority from offsetting collections applied to repay debt .....	–52	–140	.....
1850 Spending auth from offsetting collections, mand (total) .....	22	111	159
1900 Financing authority (total) .....	149	111	296
1930 Total budgetary resources available .....	149	111	296
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,411	781	536
3010 Obligations incurred, unexpired accounts .....	149	111	296
3020 Financing disbursements (gross) .....	–418	–356	–211
3040 Recoveries of prior year unpaid obligations, unexpired .....	–361	.....	.....
3050 Unpaid obligations, end of year .....	781	536	621
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–85	–54	–73

DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND DIRECT LOAN  
FINANCING ACCOUNT—Continued

Program and Financing—Continued

Identification code 12-4146-0-3-452	2012 actual	2013 CR	2014 est.
3070 Change in uncollected pymts, Fed sources, unexpired .....	31	-19	14
3090 Uncollected pymts, Fed sources, end of year .....	-54	-73	-59
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,326	727	463
3200 Obligated balance, end of year .....	727	463	562
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	149	111	296
Financing disbursements:			
4110 Financing disbursements, gross .....	418	356	211
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-32	-78	-20
4122 Interest on uninvested funds .....	-7	-7	-4
4123 Repayment of principal .....	-42	-106	-125
4123 Interest received on loans .....	-24	-41	-24
4130 Offsets against gross financing auth and disbursements (total) .....	-105	-232	-173
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	31	-19	14
4160 Financing authority, net (mandatory) .....	75	-140	137
4170 Financing disbursements, net (mandatory) .....	313	124	38
4180 Financing authority, net (total) .....	75	-140	137
4190 Financing disbursements, net (total) .....	313	124	38

Status of Direct Loans (in millions of dollars)

Identification code 12-4146-0-3-452	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....	69	53	257
1150 Total direct loan obligations .....	69	53	257
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	695	949	1,141
1231 Disbursements: Direct loan disbursements .....	338	298	172
1251 Repayments: Repayments and prepayments .....	-42	-106	-125
1264 Write-offs for default: Charge Off - Misc and Assn Loans, net .....	-42		
1290 Outstanding, end of year .....	949	1,141	1,188

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4146-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	29	23
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	695	949
1402 Interest receivable .....	4	3
1405 Allowance for subsidy cost (-) .....	19	78
1405 Allowance for loss on interest receivable (-) .....	-3	-2
1499 Net present value of assets related to direct loans .....	715	1,028
1999 Total assets .....	744	1,051
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	744	1,051
4999 Total liabilities and net position .....	744	1,051

RURAL DEVELOPMENT INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4155-0-3-452	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	26	
1022 Capital transfer of unobligated balances to general fund .....	-6	-26	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	226	246	118
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-200	-246	-118
1850 Spending auth from offsetting collections, mand (total) .....	26		
1930 Total budgetary resources available .....	26		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26		

Budget authority and outlays, net:

Identification code 12-4155-0-3-452	2012 actual	2013 CR	2014 est.
Mandatory:			
4090 Budget authority, gross .....	26		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-226	-246	-118
4180 Budget authority, net (total) .....	-200	-246	-118
4190 Outlays, net (total) .....	-226	-246	-118

Status of Direct Loans (in millions of dollars)

Identification code 12-4155-0-3-452	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,072	904	750
1251 Repayments: Repayments and prepayments .....	-168	-154	-73
1290 Outstanding, end of year .....	904	750	677

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4155-0-3-452	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....		5	4
2210 Outstanding, start of year .....	9		
2251 Repayments and prepayments .....	-4	-1	-1
2290 Outstanding, end of year .....	5	4	3
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	4	3	2

The Rural Development Insurance Fund (RDIF) was established on October 1, 1972, pursuant to section 116 of the Rural Development Act of 1972 (Public Law 92-419).

The fund is used to insure or guarantee loans for water systems and waste disposal facilities, community facilities, and industrial development in rural areas. Communities unable to afford low interest loans for water and waste disposal facilities are also able to obtain water and waste disposal grants.

The water and waste direct and guaranteed loan programs are administered by the Rural Utilities Service, the community facility direct and guaranteed loan programs are administered by the Rural Housing Service, and the business and industry direct and guaranteed loan programs are administered by the Rural Business-Cooperative Service.

As required by the Federal Credit Reform Act of 1990, this account records, for these loan programs, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in these programs is recorded in corresponding program accounts and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 12-4155-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	6	26
1201 Non-Federal assets: Investments in non-Federal securities, net .....	34	34
1601 Direct loans, gross .....	1,072	904
1602 Interest receivable .....	10	8
1603 Allowance for estimated uncollectible loans and interest (-) .....	-117	-40
1699 Value of assets related to direct loans .....	965	872
1999 Total assets .....	1,005	932
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	1,004	931
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	1	1
2999 Total liabilities .....	1,005	932
4999 Total liabilities and net position .....	1,005	932

**RURAL COMMUNICATION DEVELOPMENT FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4142-0-3-452	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	2	.....
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-2	-2	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2	-2	.....
4180 Budget authority, net (total) .....	-2	-2	.....
4190 Outlays, net (total) .....	-2	-2	.....

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4142-0-3-452	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2	2	.....
1251 Repayments: Repayments and prepayments .....	.....	-2	.....
1290 Outstanding, end of year .....	2	.....	.....

The Rural Communication Development Fund was established pursuant to the Secretary's Memorandum No. 1988, approved May 22, 1979. No loans have been made through this account since 1992.

**Balance Sheet** (in millions of dollars)

Identification code 12-4142-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	2	2
1603 Allowance for estimated uncollectible loans and interest (-) .....	-1	-1
1699 Value of assets related to direct loans .....	1	1
1999 Total assets .....	1	1
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	1	1
4999 Total liabilities and net position .....	1	1

**FOREIGN AGRICULTURAL SERVICE  
Federal Funds**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Foreign Agricultural Service, including not to exceed \$158,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), \$178,826,000: Provided, That the Service may utilize advances of funds, or reimburse this appropriation for expenditures made on behalf of Federal agencies, public and private organizations and institutions under agreements executed pursuant to the agricultural food production assistance programs (7 U.S.C. 1737) and the foreign assistance programs of the United States Agency for International Development: Provided further, That funds made available for middle-income country training programs, funds made available for the Borlaug International Agricultural Science and Technology Fellowship program, and up to \$2,000,000 of the Foreign Agricultural Service appropriation solely for the purpose of offsetting fluctuations in international currency exchange rates, subject to documentation by the Foreign Agricultural Service, shall remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 12-2900-0-1-352	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	1
<b>Receipts:</b>			
0220 Deposits of Miscellaneous Contributed Funds, Foreign Agricultural Service .....	.....	1	1
0400 Total: Balances and collections .....	.....	1	2
0799 Balance, end of year .....	.....	1	2

**Program and Financing** (in millions of dollars)

Identification code 12-2900-0-1-352	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Trade Promotion .....	66	65	66
0002 Trade Policy .....	77	78	78
0003 Capacity Building/Food Security .....	40	41	41
0799 Total direct obligations .....	183	184	185
0801 Reimbursable Program .....	149	146	146
0900 Total new obligations .....	332	330	331

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	53	44	29
1011 Unobligated balance transfer from other accts [72-1037] .....	14	.....	.....
1011 Unobligated balance transfer from other accts [72-1021] .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	77	44	29
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	176	177	179
1121 Appropriations transferred from other accts [72-0306] .....	9	.....	.....
1160 Appropriation, discretionary (total) .....	185	177	179
Appropriations, mandatory:			
1200 Appropriation .....	.....	2	2
1260 Appropriations, mandatory (total) .....	.....	2	2
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	30	136	63
1701 Change in uncollected payments, Federal sources .....	251	.....	66
1750 Spending auth from offsetting collections, disc (total) .....	281	136	129
1900 Budget authority (total) .....	466	315	310
1930 Total budgetary resources available .....	543	359	339
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-167	.....	.....
1941 Unexpired unobligated balance, end of year .....	44	29	8

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 12–2900–0–1–352	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	220	187	28
3010 Obligations incurred, unexpired accounts .....	332	330	331
3011 Obligations incurred, expired accounts .....	53		
3020 Outlays (gross) .....	–370	–315	–311
3040 Recoveries of prior year unpaid obligations, unexpired .....	–9		
3041 Recoveries of prior year unpaid obligations, expired .....	–39	–174	
3050 Unpaid obligations, end of year .....	187	28	48
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–336	–580	–580
3070 Change in uncollected pymts, Fed sources, unexpired .....	–251		–66
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	–580	–580	–646
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	–116	–393	–552
3200 Obligated balance, end of year .....	–393	–552	–598
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	466	313	308
Outlays, gross:			
4010 Outlays from new discretionary authority .....	203	297	293
4011 Outlays from discretionary balances .....	167	16	16
4020 Outlays, gross (total) .....	370	313	309
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–113	–136	–63
4033 Non-Federal sources .....	–2		
4040 Offsets against gross budget authority and outlays (total) ....	–115	–136	–63
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–251		–66
4052 Offsetting collections credited to expired accounts .....	86		
4060 Additional offsets against budget authority only (total) .....	–165		–66
4070 Budget authority, net (discretionary) .....	186	177	179
4080 Outlays, net (discretionary) .....	255	177	246
Mandatory:			
4090 Budget authority, gross .....		2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	2
4180 Budget authority, net (total) .....	186	179	181
4190 Outlays, net (total) .....	255	179	248

The Foreign Agricultural Service's (FAS) mission is linking U.S. agriculture to the world to enhance export opportunities and global food security. FAS helps to provide outlets for the wide variety of U.S. agricultural products, thereby enhancing economic activity for U.S. workers. FAS serves U.S. agriculture's interests by expanding and maintaining international export opportunities, supporting international economic development and trade and capacity building, and global food security. The outcomes envisioned are exports that help U.S. agriculture prosper, the expansion of U.S. exports of organics and crops produced using new technologies and food that are globally available, accessible, and appropriately used. In addition to its Washington-based staff, the agency maintains a network of overseas offices that serve as first responders in cases of market disruption. The overseas offices also provide the Department with critical market and policy intelligence, and they represent U.S. agriculture in consultations with foreign governments. The 2014 Budget includes \$178.8 million for FAS, an increase of \$1.4 million over the 2013 annualized Continuing Resolution level.

**Trade Promotion.**—A substantial portion of U.S. agricultural cash receipts come from export sales, making the vitality of rural America heavily dependent on international trade. U.S. farmers and ranchers are among the most productive and efficient in the world. However, they face complex and unfair obstacles in the global marketplace, where 95 percent of the world's consumers

live. FAS trade promotion activities help U.S. food and agricultural exporters take advantage of market opportunities created by its trade policy and capacity building successes. FAS administers a set of market development tools that support U.S. exporters facing fierce competition in the international marketplace. A cooperative effort with the U.S. industry is needed to ensure that the U.S. agricultural sector has fair market access, a strong understanding of key market trends, and support in overcoming constraints such as tight credit in international markets. FAS administers programs and activities, working in partnership with private sector associations and state and regional trade groups, and U.S. food and agricultural exporters. U.S. producers are not guaranteed a role in the global marketplace. Successful marketing strategies depend on a strong understanding of market trends, such as rising incomes in countries such as China, Indonesia, and Mexico that stimulate demand for a more nutritious and varied diet. As markets change, farmers need the tools to introduce new products to new customers, maintain current sales in the face of new competition, and overcome constraints such as tight credit. The results of FAS efforts ultimately benefit both the farm and non-farm sectors of the U.S. economy through job creation and additional economic activity.

**Trade Policy.**—The agency's trade policy work ensures that U.S. exporters can sell safe, wholesome U.S. food and agricultural products around the world. With its network of knowledgeable overseas attaches and Washington experts, FAS is well positioned to harness a wide range of resources to address complex problems. FAS partners with the Office of the United States Trade Representative (USTR), other U.S. Government agencies and trade associations, as well as regional and international organizations, in a coordinated effort to negotiate trade agreements; establish transparent, science-based standards; and resolve trade barriers. Unfair trade barriers limit U.S. sales to many countries. As tariffs and other traditional trade barriers have been negotiated away, many importing countries have begun to erect new trade barriers using unscientific plant and animal health requirements and other technical barriers to limit trade. Removing existing barriers, while ensuring new ones are not introduced, will directly help U.S. food and agricultural exports thrive. U.S. farmers are taking full advantage of biotechnology and other new technologies to increase their productivity. They are also expanding production of organic products in response to growing consumer demand.

**Capacity Building / Food Security.**—FAS capacity building and food security activities lay the groundwork for furthering U.S. agriculture's trade interests in developing countries around the world. In-country institutional capacity-building, research, technical training, and food assistance activities target developing economies with promising market potential. Our farmers and scientists are among the most productive and advanced in the world, producing bountiful supplies of staple foods like wheat, rice, and soybeans, while developing new innovative crop technologies and farming techniques. FAS plays the lead role in coordinating the linkage of agricultural expertise to U.S. international development activities, ensuring alignment with U.S. trade and foreign affairs policies as well as the national security strategy. FAS administers several food assistance programs to help developing countries with humanitarian crises, economic development, and the transition from being food aid recipients to commercial importers. Programs administered by FAS consist of P.L. 480, Title I; Food for Progress; and the McGovern-Dole International Food for Education and Child Nutrition Program. These programs feature a mix of monetization, direct distribution, and local food aid commodity procurement to meet the specific needs of recipient countries. All funding for PL 480 Title II food aid is being replaced

with funding through three other accounts managed by the U.S. Agency for International Development (USAID).

**Object Classification** (in millions of dollars)

Identification code 12-2900-0-1-352	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	79	78	79
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	3	3
11.8 Special personal services payments .....	2	2	2
11.9 Total personnel compensation .....	84	84	85
12.1 Civilian personnel benefits .....	37	25	25
21.0 Travel and transportation of persons .....	7	7	7
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	47	51	51
26.0 Supplies and materials .....	2	11	11
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	183	184	185
99.0 Reimbursable obligations .....	149	146	146
99.9 Total new obligations .....	332	330	331

**Employment Summary**

Identification code 12-2900-0-1-352	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	851	801	801
2001 Reimbursable civilian full-time equivalent employment .....	166	166	166

**TRADE ADJUSTMENT ASSISTANCE FOR FARMERS**

**Program and Financing** (in millions of dollars)

Identification code 12-1406-0-1-351	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	86	47	2
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-41	-45	.....
3050 Unpaid obligations, end of year .....	47	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	86	47	2
3200 Obligated balance, end of year .....	47	2	2
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	41	45	.....
4190 Outlays, net (total) .....	41	45	.....

The Trade Adjustment Assistance (TAA) for Farmers Program was reauthorized and modified by the American Recovery and Reinvestment Act of 2009 as established by Subtitle C of Title I of the Trade Act of 2002, which amended the Trade Act of 1974. The statute authorized appropriations to the Department of Agriculture not to exceed \$90 million each year for 2009 and for 2010 and \$22.5 million for the period beginning October 1, 2010 and ending December 31, 2010 to carry out the program. Title II of Public Law 112-40, the Trade Adjustment Assistance Extension Act of 2011, extended the authority for the program and authorized appropriations of \$90 million for 2012 and 2013, and \$22.5 million for the period October 1, 2013 through December 31, 2013. The 2014 Budget does not request funding for the program.

**FOREIGN ASSISTANCE PROGRAMS**

Multiple food aid programs are appropriated to USDA and administered by USDA or the U.S. Agency for International Development (USAID) to provide U.S. commodities, technical and financial assistance to address hunger and malnutrition needs worldwide. These programs address emergency needs and foster economic development activities to alleviate global food insecurity.

**SUMMARY OF FOOD ASSISTANCE PROGRAMMING**

	[In millions of dollars]		
	2012 actual	2013 est.	2014 est.
McGovern-Dole International Food for Education and Child Nutrition (budget authority) .....	184	184	185
P.L. 480:			
Title I Credit (budget authority) .....	0	0	0
Title II Grants (budget authority) .....	1,466	1,475	0
Food for Progress:			
CCC Funded .....	246	255	255
Title I Funded (budget authority) .....	0	0	0
Bill Emerson Humanitarian Trust .....	0	0 <sup>1</sup>	0 <sup>1</sup>
Local and Regional Food Aid Procurement Program .....	5	0	0

<sup>1</sup>Assets of the trust can be released any time the Administrator of the U.S. Agency for International Development determines that P.L. 480 Title II funding for emergency needs is inadequate to meet these needs in any fiscal year.

Included in this category are the following activities carried out under Public Law 480 (P.L. 480):

*Financing sales of agricultural commodities to developing countries for dollars on credit terms, or for local currencies (including for local currencies on credit terms) for use under sec. 104; and for furnishing commodities to carry out the Food for Progress Act of 1985, as amended (Title I).*—Funds appropriated for P.L. 480 are used to finance all sales made pursuant to agreements concluded under the authority of Title I. No 2014 funding is requested for new direct credit under Title I; however, funding for administrative expenses associated with managing the existing loan portfolio is requested. No funding is requested for Title I ocean freight differential for 2014..

Sales are made to developing countries as defined in section 402(5) of P.L. 480 and must not displace expected commercial sales (secs. 403(e) and (h)). Agreements are made with developing countries for delivery in accordance with the terms of the agreement.

Payment by developing countries or private entities may be made over a period of not more than 30 years with a deferral of principal payments for up to five years. Interest accrues at a concessional rate as determined appropriate.

Section 411 of P.L. 480 authorizes the President to waive payments of principal and interest under dollar credit sales agreements for countries that meet certain enumerated requirements. Such debt relief may be provided only if the President notifies Congress and may not exceed the amount approved for such purpose in an Act appropriating funds to carry out P.L. 480.

Payment by a recipient country may be made in local currencies for use in carrying out activities under section 104 of P.L. 480.

Foreign currency received in payment for credit extended may be used for payment of U.S. obligations abroad, subject to the appropriation process. The P.L. 480 program is reimbursed for the dollar value of currencies so used.

The financing of sales of agricultural commodities for local currencies on credit terms is subject to the same terms that are applicable to dollar credit financing.

Funds appropriated to carry out Title I may be used to furnish commodities to carry out the Food for Progress Act of 1985. Such commodities may be furnished on credit terms or on a grant basis in order to assist developing countries and countries that are emerging democracies that have made a commitment to introduce and expand free enterprise elements in their agricultural economies.

FOREIGN ASSISTANCE PROGRAMS—Continued

*Commodities supplied in connection with dispositions abroad (Title II).*—To ensure the U.S. can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need, the FY 2014 Budget shifts funding previously requested in P.L. 480 Title II, which is administered by USAID, to three other assistance accounts: International Disaster Assistance for emergency food response; Development Assistance to support the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. USAID's Office of Food for Peace will continue to manage these resources. (See the account narrative for additional information.) For any residual Title II funds, including carryover, recoveries, and offsetting collections, agricultural commodities are furnished to meet emergency relief needs and address the underlying causes of food insecurity through non-emergency programs. The Commodity Credit Corporation (the Corporation) is authorized to pay the costs of acquisition, packaging, processing, enrichment, preservation, fortification, transportation, handling, and other incidental costs incurred up to the time of delivery at U.S. ports. The Corporation also pays ocean freight charges, and pays transportation costs to points of entry other than ports in the case of landlocked countries, where carriers to a specific country are not available, where ports cannot be used effectively, or where a substantial savings in costs or time can be effected, and pays general average contributions arising from ocean transport. In addition, transportation costs from designated points of entry or ports of entry abroad to storage and distribution sites and associated storage and distribution costs may be paid for commodities made available to meet urgent and extraordinary relief requirements. P.L. 480 funds reimburse the Corporation for all of the cost items authorized above.

MC GOVERN-DOLE INTERNATIONAL FOOD FOR EDUCATION AND CHILD NUTRITION PROGRAM GRANTS

*For necessary expenses to carry out the provisions of section 3107 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 1736o-1), \$185,126,000, to remain available until expended: Provided, That the Commodity Credit Corporation is authorized to provide the services, facilities, and authorities for the purpose of implementing such section, subject to reimbursement from amounts provided herein.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-2903-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 McGovern-Dole International Food for Education & Child Nutrition Program	184	185	185
0801 Reimbursable program activity	8	12	12
0900 Total new obligations	192	197	197
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	34	38	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	184	185	185
1160 Appropriation, discretionary (total)	184	185	185
Spending authority from offsetting collections, discretionary:			
1700 Collected	12		

1750	Spending auth from offsetting collections, disc (total)	12		
1900	Budget authority (total)	196	185	185
1930	Total budgetary resources available	230	223	211
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	38	26	14
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	14	13	7
3010	Obligations incurred, unexpired accounts	192	197	197
3020	Outlays (gross)	-193	-203	-191
3050	Unpaid obligations, end of year	13	7	13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	14	13	7
3200	Obligated balance, end of year	13	7	13
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	196	185	185
Outlays, gross:				
4010	Outlays from new discretionary authority	164	185	185
4011	Outlays from discretionary balances	29	18	6
4020	Outlays, gross (total)	193	203	191
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-12		
4180	Budget authority, net (total)	184	185	185
4190	Outlays, net (total)	181	203	191

The McGovern-Dole International Food for Education and Child Nutrition Program, as amended, is authorized under the Farm Security and Rural Investment Act of 2002 (Public Law 107-171). The program provides for the donation of U.S. agricultural commodities and associated technical and financial assistance to carry out preschool and school feeding programs in foreign countries in order to improve food security, reduce the incidence of hunger and malnutrition, and improve literacy and primary education. Maternal, infant, and child nutrition programs also are authorized. The 2014 Budget includes \$185 million, which maintains the 2013 annualized level.

Object Classification (in millions of dollars)

Identification code 12-2903-0-1-151	2012 actual	2013 CR	2014 est.	
41.0	Direct obligations: Grants, subsidies, and contributions	184	185	185
99.0	Reimbursable obligations	8	12	12
99.9	Total new obligations	192	197	197

PUBLIC LAW 480 TITLE I OCEAN FREIGHT DIFFERENTIAL GRANTS

Program and Financing (in millions of dollars)

Identification code 12-2271-0-1-351	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3		
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced	-3		
1160	Appropriation, discretionary (total)	-3		
1900	Budget authority (total)	-3		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	2	2
3050	Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	2	2
3200	Obligated balance, end of year	2	2	2

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross	-3	
4180	Budget authority, net (total)	-3	

This account funds the title I ocean freight differential program. No funding is requested for 2014.

**FOOD FOR PEACE TITLE II GRANTS**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12–2278–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002	Title II Grants	1,994	1,700
0003	Title II Administrative Expenses		7
0799	Total direct obligations	1,994	1,707
0801	Reimbursable program	93	69
0900	Total new obligations	2,087	1,776
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	193	232
1001	Discretionary unobligated balance brought fwd, Oct 1	173	143
1021	Recoveries of prior year unpaid obligations	553	
1050	Unobligated balance (total)	746	232
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	1,466	1,475
1160	Appropriation, discretionary (total)	1,466	1,475
Spending authority from offsetting collections, discretionary:			
1700	Collected	3	
1750	Spending auth from offsetting collections, disc (total)	3	
Spending authority from offsetting collections, mandatory:			
1800	Collected	35	69
1801	Change in uncollected payments, Federal sources	69	
1850	Spending auth from offsetting collections, mand (total)	104	69
1900	Budget authority (total)	1,573	1,544
1930	Total budgetary resources available	2,319	1,776
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	232	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,520	1,405
3010	Obligations incurred, unexpired accounts	2,087	1,776
3020	Outlays (gross)	-1,649	-1,770
3040	Recoveries of prior year unpaid obligations, unexpired	-553	
3050	Unpaid obligations, end of year	1,405	1,411
636			
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-20	-89
3070	Change in uncollected pymts, Fed sources, unexpired	-69	
3090	Uncollected pymts, Fed sources, end of year	-89	-89
3100	Obligated balance, start of year	1,500	1,316
3200	Obligated balance, end of year	1,316	1,322
547			

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross	1,469	1,475
Outlays, gross:			
4010	Outlays from new discretionary authority		778
4011	Outlays from discretionary balances	1,649	854
706			
4020	Outlays, gross (total)	1,649	1,632
706			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources	-3	
Mandatory:			
4090	Budget authority, gross	104	69

Outlays, gross:			
4100	Outlays from new mandatory authority		69
4101	Outlays from mandatory balances		69
69			
4110	Outlays, gross (total)		138
69			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources	-35	-69
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	-69	
4170	Outlays, net (mandatory)	-35	69
69			
4180	Budget authority, net (total)	1,466	1,475
4190	Outlays, net (total)	1,611	1,701
775			

The Budget shifts P.L. 480 Title II food aid funding to three other accounts managed by the U.S. Agency for International Development (USAID) as part of the Food Aid Reform described below. USAID's Office of Food for Peace (FFP) will continue to manage related emergency food and development assistance resources.

*Food Aid Reform:* The FY 2014 Food Aid Reform will ensure that the U.S. Government can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need. It includes a shift of funding previously requested in P.L. 480 Title II to three other assistance accounts: International Disaster Assistance (IDA) for emergency food response; Development Assistance (DA) for the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. The CRDF will be composed of \$330 million, replacing Title II non-emergency resources, including \$80 million in DA from the Bureau for Food Security resources and \$250 million in additional DA, to be implemented by NGOs that have received Title II funding. These jointly-funded CDRF programs will be managed by FFP and are a critical component of Feed the Future, strengthening the ability to address chronic poverty, build resilience, and help prevent food crises. The goal is to make food aid more timely and cost-effective and to improve program efficiencies and performance by shifting resources to programs that will allow the use of the right tool at the right time for responding to emergencies and chronic food insecurity. The range of tools and programs include interventions such as local and regional purchase, purchase of U.S. agricultural commodities and products, cash vouchers and transfers, and cash for work programs. Provided that the proposed food aid reforms are enacted and all the funding previously requested in P.L. 480 Title II is appropriated as described above, at least fifty-five percent of the requested (and appropriated) IDA funding of \$1,416 million for emergency food assistance programs administered by FFP will be used for the purchase and transport of agricultural commodities produced in the United States. The reform will facilitate robust emergency and development programming. (The Budget also shifts \$25 million of the efficiency savings to the Department of Transportation Maritime Administration for additional targeted operating subsidies for militarily-useful vessels and incentives to facilitate the retention of mariners.)

**Object Classification** (in millions of dollars)

Identification code 12–2278–0–1–151	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3	Other goods and services from Federal sources		7
41.0	Grants, subsidies, and contributions	1,994	1,700
99.0	Direct obligations	1,994	1,707
99.0	Reimbursable obligations	93	69

FOOD FOR PEACE TITLE II GRANTS—Continued  
Object Classification—Continued

Identification code 12-2278-0-1-151	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	2,087	1,776	.....

FOOD FOR PEACE TITLE I DIRECT CREDIT AND FOOD FOR PROGRESS PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For administrative expenses to carry out the credit program of title I, Food for Peace Act (Public Law 83-480) and the Food for Progress Act of 1985, \$2,628,000, which shall be paid to the appropriation for "Farm Service Agency, Salaries and Expenses": Provided, That funds made available for the cost of agreements under title I of the Agricultural Trade Development and Assistance Act of 1954 and for title I ocean freight differential may be used interchangeably between the two accounts with prior notice to the Committees on Appropriations of both Houses of Congress.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-2277-0-1-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	20	11	.....
0706 Interest on reestimates of direct loan subsidy .....	18	8	.....
0709 Administrative expenses .....	3	3	3
0900 Total new obligations .....	41	22	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1131 Unobligated balance of appropriations permanently reduced .....	-2	.....	.....
1160 Appropriation, discretionary (total) .....	1	3	3
Appropriations, mandatory:			
1200 Appropriation .....	38	19	.....
1260 Appropriations, mandatory (total) .....	38	19	.....
1900 Budget authority (total) .....	39	22	3
1930 Total budgetary resources available .....	41	22	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	14	.....
3010 Obligations incurred, unexpired accounts .....	41	22	3
3020 Outlays (gross) .....	-53	-36	-3
3050 Unpaid obligations, end of year .....	14	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	14	.....
3200 Obligated balance, end of year .....	14	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	3
4011 Outlays from discretionary balances .....	12	14	.....
4020 Outlays, gross (total) .....	15	17	3
Mandatory:			
4090 Budget authority, gross .....	38	19	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	38	19	.....
4180 Budget authority, net (total) .....	39	22	3
4190 Outlays, net (total) .....	53	36	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-2277-0-1-351	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135001 P. L. 480 title I loans .....	38	19	.....
135999 Total upward reestimate budget authority .....	38	19	.....
Direct loan downward reestimates:			
137001 P. L. 480 title I loans .....	-10	-9	.....
137999 Total downward reestimate budget authority .....	-10	-9	.....
Administrative expense data:			
3510 Budget authority .....	3	3	3
3590 Outlays from new authority .....	3	3	3

As required by the Federal Credit Reform Act of 1990, this account records, for the P.L. 480 Program, the subsidy costs associated with the direct credit obligated in 1992 and beyond (including modifications of direct credit agreements that resulted from obligation in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; and the administrative expenses and grants are estimated on a cash basis. The current balance of Title I debt owed to USDA is \$4.8 billion. No additional funding is requested for new Title I credit financing in 2014. The 2014 Budget includes \$2.8 million for administrative expenses.

Object Classification (in millions of dollars)

Identification code 12-2277-0-1-351	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	3	3	3
41.0 Grants, subsidies, and contributions .....	38	19	.....
99.9 Total new obligations .....	41	22	3

P.L. 480 DIRECT CREDIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4049-0-3-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	60	52	52
0742 Downward reestimate paid to receipt account .....	1	1	.....
0743 Interest on downward reestimates .....	9	9	.....
0900 Total new obligations .....	70	62	52
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	166	88	102
1023 Unobligated balances applied to repay debt .....	-118	-60	-70
1050 Unobligated balance (total) .....	48	28	32
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	1	60	52
1440 Borrowing authority, mandatory (total) .....	1	60	52
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	143	96	78
1801 Change in uncollected payments, Federal sources .....	1	.....	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-35	-20	.....
1850 Spending auth from offsetting collections, mand (total) .....	109	76	78
1900 Financing authority (total) .....	110	136	130
1930 Total budgetary resources available .....	158	164	162
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	88	102	110
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	70	62	52
3020 Financing disbursements (gross) .....	-70	-62	-52

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-42	-43	-43
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090	Uncollected pymts, Fed sources, end of year .....	-43	-43	-43
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-42	-43	-43
3200	Obligated balance, end of year .....	-43	-43	-43

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....	110	136	130
Financing disbursements:				
4110	Financing disbursements, gross .....	70	62	52
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payments from program account .....	-38	-19	
4122	Interest on uninvested funds .....	-8	-1	-1
4123	Interest received on loans .....	-23	-16	-16
4123	Principal received on loans .....	-74	-60	-61
4130	Offsets against gross financing auth and disbursements (total) .....	-143	-96	-78
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4160	Financing authority, net (mandatory) .....	-34	40	52
4170	Financing disbursements, net (mandatory) .....	-73	-34	-26
4180	Financing authority, net (total) .....	-34	40	52
4190	Financing disbursements, net (total) .....	-73	-34	-26

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4049-0-3-351	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	1,140	1,066	1,006
1251	Repayments: Repayments and prepayments .....	-74	-60	-61
1290	Outstanding, end of year .....	1,066	1,006	945

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 12-4049-0-3-351	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	124	45
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	1,140	1,066
1402	Interest receivable .....	43	46
1405	Allowance for subsidy cost (-) .....	-252	-278
1499	Net present value of assets related to direct loans .....	931	834
1901	Other Federal assets: Accounts Receivable .....	45	28
1999	Total assets .....	1,100	907
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....	1,042	888
2105	Other .....	58	19
2999	Total liabilities .....	1,100	907
4999	Total liabilities and net position .....	1,100	907

**DEBT REDUCTION—FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 12-4143-0-3-351	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	6	5	5
0715	Loan Subsidy .....	21		

0900	Total new obligations .....	27	5	5
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**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	93	98	108
1023	Unobligated balances applied to repay debt .....	-10	-3	-3
1050	Unobligated balance (total) .....	83	95	105
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	2		
1440	Borrowing authority, mandatory (total) .....	2		
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	40	18	18
1850	Spending auth from offsetting collections, mand (total) .....	40	18	18
1900	Financing authority (total) .....	42	18	18
1930	Total budgetary resources available .....	125	113	123
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	98	108	118

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	27	5	5
3020	Financing disbursements (gross) .....	-27	-5	-5

**Financing authority and disbursements, net:**

Mandatory:				
4090	Financing authority, gross .....	42	18	18
Financing disbursements:				
4110	Financing disbursements, gross .....	27	5	5
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-21		
4122	Interest on uninvested funds .....	-4	-2	-2
4123	Loan Repayments - Principal .....	-15	-12	-12
4123	Loan Repayments- Interest .....		-4	-4
4130	Offsets against gross financing auth and disbursements (total) .....	-40	-18	-18
4160	Financing authority, net (mandatory) .....	2		
4170	Financing disbursements, net (mandatory) .....	-13	-13	-13
4180	Financing authority, net (total) .....	2		
4190	Negative subsidy BA total [11-0091] .....	-13	-13	-13

**Status of Direct Loans** (in millions of dollars)

Identification code 12-4143-0-3-351	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	247	234	222
1251	Repayments: Repayments and prepayments .....	-13	-12	-12
1290	Outstanding, end of year .....	234	222	210

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 12-4143-0-3-351	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	93	97
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	247	234
1402	Interest receivable .....		16
1405	Allowance for subsidy cost (-) .....	-226	-243
1499	Net present value of assets related to direct loans .....	21	7
1901	Other Federal assets: Accounts Receivable .....	7	8
1999	Total assets .....	121	112
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....	121	112

DEBT REDUCTION—FINANCING ACCOUNT—Continued

Balance Sheet—Continued

Identification code 12-4143-0-3-351	2011 actual	2012 actual
4999 Total liabilities and net position .....	121	112

EXPENSES, PUBLIC LAW 480, FOREIGN ASSISTANCE PROGRAMS, AGRICULTURE  
LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-2274-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0715 Vietnam Education Fund .....	3	3	3
0900 Total new obligations (object class 41.0) .....	3	3	3

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	45	110	
1022 Capital transfer of unobligated balances to general fund .....	-45	-110	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash) (Principal and interest) .....	372	335	278
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-259	-332	-275
1850 Spending auth from offsetting collections, mand (total) .....	113	3	3
1930 Total budgetary resources available .....	113	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	110		

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	113	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	3	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Policy Program [Payment from Financing Fund] .....	-21		
4123 Principal repayments .....	-271	-250	-215
4123 Interest repayments .....	-80	-85	-63
4130 Offsets against gross budget authority and outlays (total) .....	-372	-335	-278
4160 Budget authority, net (mandatory) .....	-259	-332	-275
4170 Outlays, net (mandatory) .....	-369	-332	-275
4180 Budget authority, net (total) .....	-259	-332	-275
4190 Outlays, net (total) .....	-369	-332	-275

Status of Direct Loans (in millions of dollars)

Identification code 12-2274-0-1-151	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	3,820	3,168	2,918
1251 Repayments: Repayments and prepayments .....	-271	-250	-215
1264 Write-offs for default: Other adjustments, net (+ or -) .....	-381		
1290 Outstanding, end of year .....	3,168	2,918	2,703

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 12-2274-0-1-151	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	45	110
1601 Direct loans, gross .....	3,820	3,168
1602 Interest receivable .....	868	824
1603 Allowance for estimated uncollectible loans and interest (-) .....	-2,005	-2,362
1699 Value of assets related to direct loans .....	2,683	1,630
1999 Total assets .....	2,728	1,740
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	1,948	1,633
2207 Non-Federal liabilities: Other .....	780	107
2999 Total liabilities .....	2,728	1,740
4999 Total liabilities and net position .....	2,728	1,740

FOOD AND NUTRITION SERVICE

Federal Funds

NUTRITION PROGRAMS ADMINISTRATION

For necessary administrative expenses of the Food and Nutrition Service for carrying out any domestic nutrition assistance program, \$146,592,000: Provided, That of the funds provided herein, \$2,000,000 shall be used for the purposes of section 4404 of Public Law 107-171, as amended by section 4401 of Public Law 110-246.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-3508-0-1-605	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Nutrition programs administration .....	135	137	145
0003 Congressional hunger center fellowship .....	2	2	2
0799 Total direct obligations .....	137	139	147
0801 Reimbursable administrative services provided to Federal agencies .....	1		
0900 Total new obligations .....	138	139	147

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	139	139	147
1160 Appropriation, discretionary (total) .....	139	139	147
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	140	139	147
1930 Total budgetary resources available .....	140	139	147
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	32	31	22
3010 Obligations incurred, unexpired accounts .....	138	139	147
3011 Obligations incurred, expired accounts .....	10		
3020 Outlays (gross) .....	-139	-148	-146
3041 Recoveries of prior year unpaid obligations, expired .....	-10		
3050 Unpaid obligations, end of year .....	31	22	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	32	31	22
3200 Obligated balance, end of year .....	31	22	23

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	140	139	147
Outlays, gross:			
4010 Outlays from new discretionary authority .....	115	117	124

4011	Outlays from discretionary balances .....	24	31	22
4020	Outlays, gross (total) .....	139	148	146
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources .....	-1		
4180	Budget authority, net (total) .....	139	139	147
4190	Outlays, net (total) .....	138	148	146

This account funds the majority of the Federal operating expenses of the Food and Nutrition Service and the Center for Nutrition Policy and Promotion (CNPP). Funding is also provided for the Congressional Hunger Fellows Program.

**Object Classification** (in millions of dollars)

Identification code 12-3508-0-1-605	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	83	85	88
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	85	87	90
12.1	Civilian personnel benefits .....	24	25	25
21.0	Travel and transportation of persons .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	2	1	1
25.2	Other services from non-Federal sources .....	18	18	23
26.0	Supplies and materials .....	4	4	4
41.0	Grants, subsidies, and contributions .....	2	2	2
99.0	Direct obligations .....	137	139	147
99.0	Reimbursable obligations .....	1		
99.9	Total new obligations .....	138	139	147

**Employment Summary**

Identification code 12-3508-0-1-605	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	934	1,006	1,006

**SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM**

For necessary expenses to carry out the Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.), \$78,389,610,000, of which \$5,000,000,000, to remain available through September 30, 2015, shall be placed in reserve for use only in such amounts and at such times as may become necessary to carry out program operations: Provided, That funds provided herein shall be expended in accordance with section 16 of the Food and Nutrition Act of 2008: Provided further, That, of the funds made available under this heading, \$998,000 may be used to provide nutrition education services to state agencies and Federally recognized tribes participating in the Food Distribution Program on Indian Reservations: Provided further, That, of the funds made available under this heading, \$1,498,000 may be available for the Center for Nutrition Policy and Promotion: Provided further, That, of the funds made available under this heading, \$5,000,000 may be used to fund a national food consumption survey: Provided further, That this appropriation shall be subject to any work registration or workfare requirements as may be required by law: Provided further, That funds made available for the purposes of section 16(h)(1) of the Food and Nutrition Act of 2008 under this heading shall remain available until expended, notwithstanding section 16(h)(1) of the Food and Nutrition Act of 2008: Provided further, That funds made available under this heading for section 28(d)(1) of the Food and Nutrition Act of 2008 shall remain available through September 30, 2015: Provided further, That funds made available under this heading may be used to enter into contracts and employ staff to conduct studies, evaluations, or to conduct activities related to program integrity provided that such activities are authorized by the Food and Nutrition Act of 2008.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-3505-0-1-605	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Benefits issued .....	66,304	68,708	66,089
0002	State administration .....	3,236	3,867	3,999
0003	Employment and training program .....	334	420	439
0004	Other program costs .....	111	140	170
0005	Nutrition Assistance for Puerto Rico .....	1,835	1,873	1,892
0006	Food Distribution Program on Indian Reservations (Commodities in lieu of food stamps) .....	65	61	64
0007	Food Distribution Program on Indian Reservations (Cooperator administrative expense) .....	38	39	40
0008	The Emergency Food Assistance Program (commodities) .....	260	266	268
0009	American Samoa .....	7	8	8
0010	Community food project .....	5	5	5
0011	Commonwealth of the Northern Mariana Islands .....	13	12	12
0012	Nutrition Education Grant Program .....	388	285	401
0013	Program access .....	5	5	5
0016	Health & Nutrition Pilot Projects .....	1	3	
0017	RA - Benefits issued .....	8,177	6,691	453
0019	RA - Nutrition Assistance for Puerto Rico .....	165	128	108
0020	RA - American Samoa .....	1		
0799	Total direct obligations .....	80,945	82,511	73,953
0801	Reimbursable program .....	66	65	65
0900	Total new obligations .....	81,011	82,576	74,018
<b>Budgetary Resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	29	2,814	3,004
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	3,000		
1021	Recoveries of prior year unpaid obligations .....	8		
1050	Unobligated balance (total) .....	3,037	2,814	3,004
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	1	1	7
1131	Unobligated balance of appropriations permanently reduced .....		-11	
1160	Appropriation, discretionary (total) .....	1	-10	7
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....	80,401	78,682	78,383
1200	Appropriation, Recovery Act .....	8,456	6,819	561
1220	Appropriations transferred to other accts [12-3510] .....	-400		
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-11		
1260	Appropriations, mandatory (total) .....	88,446	85,501	78,944
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	67	65	65
1850	Spending auth from offsetting collections, mand (total) .....	67	65	65
1900	Budget authority (total) .....	88,514	85,556	79,016
1930	Total budgetary resources available .....	91,551	88,370	82,020
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring .....	-7,726	-2,790	-3,000
1941	Unexpired unobligated balance, end of year .....	2,814	3,004	5,002
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,563	3,076	2,984
3010	Obligations incurred, unexpired accounts .....	81,011	82,576	74,018
3011	Obligations incurred, expired accounts .....	198		
3020	Outlays (gross) .....	-80,472	-82,668	-74,113
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8		
3041	Recoveries of prior year unpaid obligations, expired .....	-216		
3050	Unpaid obligations, end of year .....	3,076	2,984	2,889
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	2,563	3,076	2,984
3200	Obligated balance, end of year .....	3,076	2,984	2,889
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	1	-10	7
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	1		3
4011	Outlays from discretionary balances .....	79	1	1
4020	Outlays, gross (total) .....	80	1	4
<b>Offsets against gross budget authority and outlays: Offsetting collections (collected) from:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross .....	88,513	85,566	79,009

**SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM—Continued**  
**Program and Financing—Continued**

Identification code 12-3505-0-1-605	2012 actual	2013 CR	2014 est.
Outlays, gross:			
4100 Outlays from new mandatory authority .....	78,508	80,003	71,475
4101 Outlays from mandatory balances .....	1,884	2,664	2,634
4110 Outlays, gross (total) .....	80,392	82,667	74,109
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Policy Program [Prior Year Collections] .....	-2		
4123 Baseline Program [State Option Plans] .....	-69	-65	-65
4130 Offsets against gross budget authority and outlays (total) ....	-71	-65	-65
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts .....	4		
4160 Budget authority, net (mandatory) .....	88,446	85,501	78,944
4170 Outlays, net (mandatory) .....	80,321	82,602	74,044
4180 Budget authority, net (total) .....	88,447	85,491	78,951
4190 Outlays, net (total) .....	80,401	82,603	74,048

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	88,447	85,491	78,951
Outlays .....	80,401	82,603	74,048
Legislative proposal, subject to PAYGO:			
Budget Authority .....			2,256
Outlays .....			2,215
Total:			
Budget Authority .....	88,447	85,491	81,207
Outlays .....	80,401	82,603	76,263

The Supplemental Nutrition Assistance Program (SNAP) is the primary source of nutrition assistance for low-income Americans.

This account also includes funds for a grant to Puerto Rico to administer a low-income nutrition assistance program, in lieu of the Supplemental Nutrition Assistance Program; funds to carry out the Emergency Food Assistance Act of 1983; and funds for food distribution and administrative expenses for Native Americans under section 4(b) of the Food and Nutrition Act.

The SNAP contingency fund level holds in reserve about one month's worth of benefits to cover unforeseen events, such as natural disasters and fluctuations in food prices.

**Object Classification (in millions of dollars)**

Identification code 12-3505-0-1-605	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	15	14	23
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	2		
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	46	81	88
26.0 Supplies and materials .....	325	327	332
31.0 Equipment .....		1	1
41.0 Grants, subsidies, and contributions .....	80,550	82,081	73,502
99.0 Direct obligations .....	80,945	82,511	73,953
99.0 Reimbursable obligations .....	66	65	65
99.9 Total new obligations .....	81,011	82,576	74,018

**Employment Summary**

Identification code 12-3505-0-1-605	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	154	164	239

**SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM**  
**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 12-3505-4-1-605	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefit issued .....			-8
0017 RA - Benefits issued .....			2,264
0900 Total new obligations (object class 41.0) .....			2,256
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-8
1200 Appropriation .....			2,264
1260 Appropriations, mandatory (total) .....			2,256
1930 Total budgetary resources available .....			2,256

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2,256
3020 Outlays (gross) .....			-2,215
3050 Unpaid obligations, end of year .....			41
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			41

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....			2,256
Outlays, gross:			
4100 Outlays from new mandatory authority .....			2,215
4180 Budget authority, net (total) .....			2,256
4190 Outlays, net (total) .....			2,215

**CHILD NUTRITION PROGRAMS**

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses to carry out the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.), except section 21, and the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.), except sections 17 and 21; \$20,487,229,000, to remain available through September 30, 2015, of which such sums as are made available under section 14222(b)(1) of the Food, Conservation, and Energy Act of 2008 (Public Law 110-246), shall be merged with and available for the same time period as provided herein: Provided, That of the total amount available, \$17,004,000 shall be available to carry out section 19 of the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.): Provided further, That of the total amount available, \$35,000,000 shall be available to provide competitive grants to state agencies for subgrants to local educational agencies and schools to purchase the equipment needed to serve healthier meals, improve food safety, and to help support the establishment, maintenance, or expansion of the school breakfast program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 12-3539-0-1-605	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Above 185 of poverty .....	443	553	586
0002 130-185 of poverty .....	1,102	1,199	1,253
0003 Below 130 of poverty .....	8,882	9,711	9,878

0091	Subtotal, National School Lunch Program .....	10,427	11,463	11,717
0101	Above 185 of poverty .....	94	95	104
0102	130–185 of poverty .....	261	277	298
0103	Below 130 of poverty .....	2,995	3,233	3,441
0191	Subtotal, School Breakfast Program .....	3,350	3,605	3,843
0201	Above 185 of poverty .....	191	190	198
0202	130–185 of poverty .....	135	137	149
0203	Below 130 of poverty .....	2,481	2,515	2,598
0204	Audits .....	39	42	44
0205	CNR Add-ons .....	.....	54	63
0291	Subtotal, Child and Adult Care Feeding Program .....	2,846	2,938	3,052
0301	Summer Food Service Program .....	400	436	468
0302	Special Milk Program .....	13	11	11
0303	State Administrative Expenses .....	276	291	248
0304	Commodity Procurement .....	999	1,059	1,103
0310	Coordinated Review Effort .....	9	12	10
0315	Food Safety Education .....	2	4	3
0320	CN Studies and Evaluations .....	12	36	20
0325	Computer Support and Processing .....	9	11	11
0340	Other Mandatory Program Costs .....	6	18	19
0391	Subtotal, Other mandatory activities .....	1,726	1,878	1,893
0401	Team Nutrition and HealthierUS Schools Challenge .....	15	19	17
0410	School Breakfast Expansion Grants .....	.....	1	.....
0415	School Meals Equipment Grants .....	.....	.....	35
0491	Subtotal, discretionary activities .....	15	20	52
0501	Fresh Fruit and Vegetable Program .....	157	309	40
0502	Tech. Assist. Program Integrity/Administrative Reviews .....	6	13	8
0504	National Food Service Management Inst./Information Clearinghouse .....	5	5	5
0505	School Lunch Equipment Grants (Sect. 749) .....	.....	1	.....
0507	Direct Certification Technical Assistance (Sect. 749) .....	10	12	.....
0508	Summer Demonstration Projects (Sect. 749) .....	18	34	.....
0520	Other Permanent Programs .....	10	98	10
0591	Subtotal, Permanent Programs .....	206	472	63
0900	Total new obligations .....	18,570	20,376	20,620

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	643	732	75
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	74	49	.....
1021	Recoveries of prior year unpaid obligations .....	404	.....	.....
1050	Unobligated balance (total) .....	1,047	732	75
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	18	17	-67
1160	Appropriation, discretionary (total) .....	18	17	-67
Appropriations, mandatory:				
1200	Appropriation .....	11,384	11,643	12,470
1200	Appropriation - Permanent Appropriation .....	18	73	23
1221	Appropriations transferred from other accts [12–5209] .....	6,887	7,986	8,124
1260	Appropriations, mandatory (total) .....	18,289	19,702	20,617
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	14	.....	.....
1850	Spending auth from offsetting collections, mand (total) .....	14	.....	.....
1900	Budget authority (total) .....	18,321	19,719	20,550
1930	Total budgetary resources available .....	19,368	20,451	20,625
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-66	.....	.....
1941	Unexpired unobligated balance, end of year .....	732	75	5

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,822	2,676	2,186
3010	Obligations incurred, unexpired accounts .....	18,570	20,376	20,620
3011	Obligations incurred, expired accounts .....	25	.....	.....
3020	Outlays (gross) .....	-18,332	-20,866	-20,581
3040	Recoveries of prior year unpaid obligations, unexpired .....	-404	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050	Unpaid obligations, end of year .....	2,676	2,186	2,225
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,822	2,676	2,186
3200	Obligated balance, end of year .....	2,676	2,186	2,225

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	18	17	-67
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	5	-88
4011	Outlays from discretionary balances .....	30	77	20

4020	Outlays, gross (total) .....	34	82	-68
Mandatory:				
4090	Budget authority, gross .....	18,303	19,702	20,617
Outlays, gross:				
4100	Outlays from new mandatory authority .....	15,022	17,369	17,751
4101	Outlays from mandatory balances .....	3,276	3,415	2,898
4110	Outlays, gross (total) .....	18,298	20,784	20,649
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Policy Program [Prior Year Collections - Commodities] .....	-15	.....	.....
4123	Policy Program [Prior Year Collections] .....	-8	.....	.....
4130	Offsets against gross budget authority and outlays (total) .....	-23	.....	.....
Additional offsets against gross budget authority only:				
4142	Offsetting collections credited to expired accounts .....	9	.....	.....
4160	Budget authority, net (mandatory) .....	18,289	19,702	20,617
4170	Outlays, net (mandatory) .....	18,275	20,784	20,649
4180	Budget authority, net (total) .....	18,307	19,719	20,550
4190	Outlays, net (total) .....	18,309	20,866	20,581

The Child Nutrition Programs provide reimbursement to State agencies for cash and commodity meal subsidies through the National School Lunch Program (NSLP), School Breakfast Program (SBP), Special Milk Program, Summer Food Service Program (SFSP), and Child and Adult Care Food Program (CACFP). These programs provide nutritionally balanced, low-cost or free breakfasts and lunches to children every school day; provide nutrition assistance to children when school is not in session during summer months; and improve the quality of day care, making it more affordable for low-income families by providing reimbursement for nutritious meals and snacks. In addition, the Fresh Fruit and Vegetable program (FFVP), targeted to low-income elementary schools, provides fresh fruits and vegetables at no charge to children during the school day. The 2014 Budget will support almost 5.6 billion lunches and snacks served to 32.1 million children in the NSLP, over 2.4 billion breakfasts served to 14.0 million children in the SBP, and over 2.0 billion meals and snacks served in day care facilities.

**Object Classification** (in millions of dollars)

Identification code 12–3539–0–1–605	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	13	18	18
12.1	Civilian personnel benefits .....	4	6	6
21.0	Travel and transportation of persons .....	1	1	1
24.0	Printing and reproduction .....	2	.....	.....
25.2	Other services from non-Federal sources .....	15	37	38
26.0	Supplies and materials (Commodities) .....	999	1,059	1,103
41.0	Grants, subsidies, and contributions .....	17,536	19,255	19,454
99.9	Total new obligations .....	18,570	20,376	20,620

**Employment Summary**

Identification code 12–3539–0–1–605	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	198	221	221

**SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN (WIC)**

For necessary expenses to carry out the special supplemental nutrition program as authorized by section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786), \$7,141,625,000, to remain available through September 30, 2015, of which \$50,000,000 shall be placed in reserve, to remain available until expended, to be allocated as the Secretary deems necessary, notwithstanding section 17(i) of such Act, to support participation should cost or participation exceed budget estimates: Provided, That notwithstanding section 17(h)(10) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(h)(10)), of the amounts made available under this heading, \$60,000,000 shall be used for breast-feeding peer counselors and other related activities, \$14,000,000 shall be used for infrastructure, and

SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN (WIC)—Continued

\$30,000,000 shall be used for management information systems: Provided further, That none of the funds provided in this account shall be available for the purchase of infant formula except in accordance with the cost containment and competitive bidding requirements specified in section 17 of such Act: Provided further, That none of the funds provided shall be available for activities that are not fully reimbursed by other Federal Government departments or agencies unless authorized by section 17 of such Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12–3510–0–1–605	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants to States .....	7,074	7,024	7,128
0004 WIC EBT/MIS .....	10		30
0010 Infrastructure Grants and Technical Assistance .....	3		14
0020 Breastfeeding Peer Counselors and Bonuses .....	60	60	60
0030 Program Initiatives and Evaluations .....	19	20	16
0091 Direct program activities (discretionary), subtotal .....	7,166	7,104	7,248
0101 UPC Database (mandatory) .....	2	1	1
0900 Total new obligations .....	7,168	7,105	7,249
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26	166	41
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	25	166	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	125		
1021 Recoveries of prior year unpaid obligations .....	164	320	157
1050 Unobligated balance (total) .....	315	486	198
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,618	6,659	7,142
1121 Appropriations transferred from other accts [12–3505] .....	400		
1160 Appropriation, discretionary (total) .....	7,018	6,659	7,142
Appropriations, mandatory:			
1200 Appropriation - Permanent Appropriation .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	7,020	6,660	7,143
1930 Total budgetary resources available .....	7,335	7,146	7,341
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	166	41	92
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,214	1,378	1,492
3010 Obligations incurred, unexpired accounts .....	7,168	7,105	7,249
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	–6,838	–6,671	–7,008
3040 Recoveries of prior year unpaid obligations, unexpired .....	–164	–320	–157
3041 Recoveries of prior year unpaid obligations, expired .....	–3		
3050 Unpaid obligations, end of year .....	1,378	1,492	1,576
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,214	1,378	1,492
3200 Obligated balance, end of year .....	1,378	1,492	1,576
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7,019	6,659	7,142
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5,793	5,321	5,638
4011 Outlays from discretionary balances .....	1,045	1,349	1,369
4020 Outlays, gross (total) .....	6,838	6,670	7,007
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	–1		

Identification code 12–3510–0–1–605	2012 actual	2013 CR	2014 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances .....		1	1
4180 Budget authority, net (total) .....	7,019	6,660	7,143
4190 Outlays, net (total) .....	6,837	6,671	7,008

The Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) provides low-income at-risk pregnant and post-partum women, infants, and children nutritious supplemental food packages, nutrition education and counseling, and health and immunization referrals. The 2014 Budget supports nutrition benefits for the 8.9 million individuals expected to participate in the program each month.

Object Classification (in millions of dollars)

Identification code 12–3510–0–1–605	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	3	16	16
26.0 Supplies and materials .....		1	1
41.0 Grants, subsidies, and contributions .....	7,162	7,084	7,228
99.9 Total new obligations .....	7,168	7,105	7,249

Employment Summary

Identification code 12–3510–0–1–605	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	28	30	35

COMMODITY ASSISTANCE PROGRAM

For necessary expenses to carry out disaster assistance and the Commodity Supplemental Food Program as authorized by section 4(a) of the Agriculture and Consumer Protection Act of 1973 (7 U.S.C. 612c note); the Emergency Food Assistance Act of 1983; special assistance for the nuclear affected islands, as authorized by section 103(f)(2) of the Compact of Free Association Amendments Act of 2003 (Public Law 108–188); and the Farmers' Market Nutrition Program, as authorized by section 17(m) of the Child Nutrition Act of 1966, \$271,701,000, to remain available through September 30, 2015: Provided, That none of these funds shall be available to reimburse the Commodity Credit Corporation for commodities donated to the program: Provided further, That notwithstanding any other provision of law, effective with funds made available in fiscal year 2014 to support the Seniors Farmers' Market Nutrition Program, as authorized by section 4402 of the Farm Security and Rural Investment Act of 2002, such funds shall remain available through September 30, 2015: Provided further, That of the funds made available under section 27(a) of the Food and Nutrition Act of 2008 (7 U.S.C. 2036(a)), the Secretary may use up to 10 percent for costs associated with the distribution of commodities: Provided further, That of the total amount available under this account, \$2,000,000 shall be available for program integrity activities associated with the Emergency Food Assistance Program, including, but not limited to, grants to States, and section 204(a) of the Emergency Food Assistance Act of 1983, as amended, shall not apply to such grants.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 12–3507–0–1–605	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Commodity procurement .....	147	148	158
0002 Administrative costs .....	42	43	44
0091 Subtotal, commodity supplemental food program .....	189	191	202
0105 TEFAP Administrative .....	49	49	49

0106	TEFAP disaster assistance .....	6	.....	.....
0107	TEFAP program integrity .....	.....	.....	2
0110	Senior farmers' market .....	22	21	21
0115	Farmers' market nutrition program .....	20	17	17
0120	Pacific island and disaster assistance .....	1	1	1
0130	NSIP (Transfer Funds) .....	2	.....	.....
0191	Direct program activities, subtotal .....	94	94	90
0900	Total new obligations .....	283	285	292

**Budgetary Resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	2	4	.....
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	2	4	.....
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-2	.....	.....
1021	Recoveries of prior year unpaid obligations .....	6	.....	.....
1050	Unobligated balance (total) .....	6	4	.....
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	242	254	272
1100	Discretionary, TEFAP disaster assistance pursuant to Disaster Relief Appropriation Act, 2013 P.L. 113-2, Appropriations Committee .....	.....	6	.....
1121	Appropriations transferred from other accts [75-0142] .....	2	.....	.....
1160	Appropriation, discretionary (total) .....	244	260	272
<b>Appropriations, mandatory:</b>				
1221	Appropriations transferred from other accts [12-4336] .....	21	21	21
1260	Appropriations, mandatory (total) .....	21	21	21
1700	Spending authority from offsetting collections, discretionary: Collected .....	16	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	16	.....	.....
1900	Budget authority (total) .....	281	281	293
1930	Total budgetary resources available .....	287	285	293
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	4	.....	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	41	42	47
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	2	.....	.....
3010	Obligations incurred, unexpired accounts .....	283	285	292
3020	Outlays (gross) .....	-278	-280	-292
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6	.....	.....
3050	Unpaid obligations, end of year .....	42	47	47
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	43	42	47
3200	Obligated balance, end of year .....	42	47	47

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	260	260	272
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	209	223	234
4011	Outlays from discretionary balances .....	48	36	37
4020	Outlays, gross (total) .....	257	259	271
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Baseline Program [Commodity Collections] .....	-19	.....	.....
<b>Additional offsets against gross budget authority only:</b>				
4052	Offsetting collections credited to expired accounts .....	3	.....	.....
4070	Budget authority, net (discretionary) .....	244	260	272
4080	Outlays, net (discretionary) .....	238	259	271
<b>Mandatory:</b>				
4090	Budget authority, gross .....	21	21	21
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	14	14	14
4101	Outlays from mandatory balances .....	7	7	7
4110	Outlays, gross (total) .....	21	21	21
4180	Budget authority, net (total) .....	265	281	293
4190	Outlays, net (total) .....	259	280	292

This account funds the Commodity Supplemental Food Program (CSFP), The Emergency Food Assistance Program (TEFAP), farmers' market nutrition programs, assistance for the nuclear-affected islands, and disaster relief.

CSFP provides food packages for low-income women, infants, and children, and low-income elderly persons. It also funds State

administrative expenses. TEFAP provides cash to support State administrative activities and to maintain the storage and distribution pipeline for USDA and privately-donated commodities (TEFAP commodities are separately funded through the Supplemental Nutrition Assistance Program (SNAP) account). The account also funds two programs which provide low-income participants vouchers to purchase produce at farmers' markets. The Senior Farmers' Market Nutrition Program is funded by transfer from the Commodity Credit Corporation. The WIC Farmers' Market Program is funded by discretionary appropriation.

**Object Classification** (in millions of dollars)

Identification code 12-3507-0-1-605		2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	.....	.....	1
26.0	Supplies and materials (commodities) .....	149	150	158
41.0	Grants, subsidies, and contributions .....	134	135	133
99.9	Total new obligations .....	283	285	292

**Employment Summary**

Identification code 12-3507-0-1-605		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	.....	3	8

**FOREST SERVICE**

**Federal Funds**

CAPITAL IMPROVEMENT AND MAINTENANCE

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Forest Service, not otherwise provided for, \$328,783,000, to remain available until expended, for construction, capital improvement, maintenance and acquisition of buildings and other facilities and infrastructure; and for construction, reconstruction, and maintenance of forest roads and trails by the Forest Service as authorized by 16 U.S.C. 532-538 and 23 U.S.C. 101 and 205: Provided, That funds becoming available in fiscal year 2014 under the Act of March 4, 1913 (16 U.S.C. 501) shall be transferred to the General Fund of the Treasury and shall not be available for transfer or obligation for any other purpose unless the funds are appropriated.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 12-1103-0-1-302		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Capital improvement and maintenance .....	398	475	325
0801	Reimbursable program .....	29	25	25
0900	Total new obligations .....	427	500	350

**Budgetary Resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	100	58	13
1021	Recoveries of prior year unpaid obligations .....	4	.....	.....
1050	Unobligated balance (total) .....	104	58	13
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	395	396	329
1100	Appropriation, Discretionary, Emergency pursuant to 2011 Budget Control Act, Appropriations Committee .....	.....	4	.....
1120	Appropriations transferred to other accts [12-1106] .....	-11	.....	.....
1120	Appropriations transferred to other accts [12-1115] .....	-30	.....	.....
1121	Appropriations transferred from other accts [12-1115] .....	.....	30	.....
1130	Appropriations permanently reduced .....	-1	.....	.....

CAPITAL IMPROVEMENT AND MAINTENANCE—Continued  
Program and Financing—Continued

Identification code 12-1103-0-1-302	2012 actual	2013 CR	2014 est.
1160 Appropriation, discretionary (total) .....	353	430	329
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	26	25	25
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	28	25	25
1900 Budget authority (total) .....	381	455	354
1930 Total budgetary resources available .....	485	513	367
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	58	13	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	383	216	266
3010 Obligations incurred, unexpired accounts .....	427	500	350
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-589	-450	-389
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	216	266	227
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-60	-62	-62
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-62	-62	-62
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	323	154	204
3200 Obligated balance, end of year .....	154	204	165
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	381	455	354
Outlays, gross:			
4010 Outlays from new discretionary authority .....	301	296	230
4011 Outlays from discretionary balances .....	288	154	159
4020 Outlays, gross (total) .....	589	450	389
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7	-7	-7
4033 Non-Federal sources .....	-19	-18	-18
4040 Offsets against gross budget authority and outlays (total) .....	-26	-25	-25
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070 Budget authority, net (discretionary) .....	353	430	329
4080 Outlays, net (discretionary) .....	563	425	364
4180 Budget authority, net (total) .....	353	430	329
4190 Outlays, net (total) .....	563	425	364

The 2014 Budget requests \$328,783,000 for Capital Improvement and Maintenance, a decrease of \$67,718,000 below the 2013 annualized CR level. Funding provides for capital improvement and maintenance of Forest Service assets including facilities, roads, and trails. Addressing critical maintenance and operational components of the Forest Service demonstrates our commitment to maintaining a healthy environment. The program emphasizes an efficient and effective infrastructure that supports public and administrative uses and quality recreation experiences with minimal impact to ecosystem stability and conditions.

Capital improvement of facilities, roads, and trails includes alteration of existing assets to change the function of the assets, or expansion of an asset to change the capacity or to serve needs that are different from what was originally intended. The Budget shifts activities previously conducted under the Legacy Roads and Trails program, such as road decommissioning, to the Integrated Resource Restoration program under the National Forest System appropriation.

**Facilities.**—Provides for capital improvement and maintenance of recreation developed sites, fire, administrative, and other facilities, including visitor centers, research facilities, telecommunication sites and towers, and dams. The program also includes

the acquisition of buildings and other facilities necessary to carry out the mission of the Forest Service.

**Roads.**—Provides for capital improvement and maintenance of the national forest road system, including bridges and terminal facilities such as parking lots, trailhead parking, camping spurs, and truck turnarounds. The agency continues to address the growing road system maintenance backlog. Funding priorities are health and safety and resource protection, including clean water, aquatic passage, and mission critical needs.

**Trails.**—Provides for capital improvement and maintenance of National Forest System trails. Funding is used to protect capital investments by keeping trails open for access and protecting vegetation, soil, and water quality. Work includes clearing the pathway of encroaching vegetation and fallen trees, and repairing or improving trail signs, treadways, drainage facilities, and bridges.

**Infrastructure Improvement.**—Provides for capital improvement and maintenance directed toward reducing the backlog in deferred maintenance on National Forest System roads and trails, as well as recreation developed sites and fire, administrative, and other facilities. Funding priorities are to ensure the safety of the public, agency employees, volunteers and contractors.

Object Classification (in millions of dollars)

Identification code 12-1103-0-1-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	135	135	124
11.3 Other than full-time permanent .....	11	11	11
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	150	150	139
12.1 Civilian personnel benefits .....	49	49	45
13.0 Benefits for former personnel .....	4	5	3
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	4	5	5
23.2 Rental payments to others .....	5	6	6
23.3 Communications, utilities, and miscellaneous charges .....	9	11	7
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	89	126	50
25.3 Other goods and services from Federal sources .....	47	73	35
25.4 Operation and maintenance of facilities .....	3	4	2
25.7 Operation and maintenance of equipment .....	5	6	4
26.0 Supplies and materials .....	9	11	7
31.0 Equipment .....	3	4	3
32.0 Land and structures .....	7	8	6
41.0 Grants, subsidies, and contributions .....	10	12	8
99.0 Direct obligations .....	399	475	325
99.0 Reimbursable obligations .....	27	25	25
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	427	500	350

Employment Summary

Identification code 12-1103-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,446	2,446	2,247
2001 Reimbursable civilian full-time equivalent employment .....	235	235	235
3001 Allocation account civilian full-time equivalent employment .....	93	93	93

FOREST AND RANGELAND RESEARCH

For necessary expenses of forest and rangeland research as authorized by law, \$310,236,000, to remain available until expended: Provided, That of the funds provided, \$66,805,000 is for the forest inventory and analysis program.

GIFTS, DONATIONS AND BEQUESTS FOR FOREST AND RANGELAND RESEARCH

For expenses authorized by 16 U.S.C. 1643(b), \$40,000, to remain available until expended, to be derived from the fund established pursuant to the above Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12–1104–0–1–302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0006 Forest and rangeland research .....	320	325	347
0801 Reimbursable program .....	22	20	20
0900 Total new obligations .....	342	345	367
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	42	45	46
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	44	45	46
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	296	297	310
1121 Appropriations transferred from other accts [12–1115] ...	26	29	27
1160 Appropriation, discretionary (total) .....	322	326	337
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	20	20	20
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	21	20	20
1900 Budget authority (total) .....	343	346	357
1930 Total budgetary resources available .....	387	391	403
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	45	46	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	157	142	98
3010 Obligations incurred, unexpired accounts .....	342	345	367
3020 Outlays (gross) .....	–355	–389	–379
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2		
3050 Unpaid obligations, end of year .....	142	98	86
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–40	–41	–41
3070 Change in uncollected pymts, Fed sources, unexpired .....	–1		
3090 Uncollected pymts, Fed sources, end of year .....	–41	–41	–41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	117	101	57
3200 Obligated balance, end of year .....	101	57	45
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	343	346	357
Outlays, gross:			
4010 Outlays from new discretionary authority .....	263	277	286
4011 Outlays from discretionary balances .....	92	112	93
4020 Outlays, gross (total) .....	355	389	379
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–16	–16	–16
4033 Non-Federal sources .....	–4	–4	–4
4040 Offsets against gross budget authority and outlays (total) ...	–20	–20	–20
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–1		
4070 Budget authority, net (discretionary) .....	322	326	337
4080 Outlays, net (discretionary) .....	335	369	359
4180 Budget authority, net (total) .....	322	326	337
4190 Outlays, net (total) .....	335	369	359

search Areas and Strategic Program Areas. Forest Service R&D provides scientific information and new technologies to support sustainable management of the Nation's forests and rangelands that sustain jobs and provide economic benefits. Forest Service R&D conducts ecological and social science research to understand ecosystems, how humans influence those ecosystems, how those ecosystems respond to the impacts of climate change, and how forests can be managed sustainably to enable both environmental conservation and economic opportunities. These products and services increase the basic biological and physical knowledge of the composition, structure, and function of forest and grassland ecosystems.

Forest Service R&D is federally mandated to provide new knowledge and technologies to foster healthy watersheds, forest products, wildlife protection, outdoor recreation opportunities, and other benefits, across all U.S. territories and States. Research is conducted at five Research Stations, the Forest Products Laboratory, and the International Institute of Tropical Forestry located in Puerto Rico. The Forest Service R&D structure has two components: Priority Research Areas and Strategic Program Areas. The Priority Research Areas address national needs in seven areas: Forest Inventory and Analysis, Forest Disturbances, Watershed Management and Restoration, Bioenergy and Biobased Products, Urban Natural Resources Stewardship, Nanotechnology, and Localized Needs Research. Strategic Program Areas include Wildland Fire and Fuels; Invasive Species; Recreation; Resource Management and Use; Water, Air, and Soil; Wildlife and Fish; and Inventory and Monitoring. The Budget sustains the outputs and products on which land managers depend for developing management options, strategies and systems for addressing current issues.

Object Classification (in millions of dollars)

Identification code 12–1104–0–1–302	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	151	151	149
11.3 Other than full-time permanent .....	6	6	6
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	161	161	159
12.1 Civilian personnel benefits .....	48	48	47
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	5	5	5
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	4	4	4
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	6	6	6
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	18	18	18
25.3 Other goods and services from Federal sources .....	18	18	18
25.5 Research and development contracts .....	27	30	54
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	6	6	6
31.0 Equipment .....	6	6	6
41.0 Grants, subsidies, and contributions .....	12	14	15
99.0 Direct obligations .....	320	325	347
99.0 Reimbursable obligations .....	19	20	20
99.5 Below reporting threshold (Direct/Reimb) .....	3		
99.9 Total new obligations .....	342	345	367

Employment Summary

Identification code 12–1104–0–1–302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,069	2,069	2,043
2001 Reimbursable civilian full-time equivalent employment .....	95	95	90

The 2014 Budget requests \$310,236,000 for Forest and Rangeland Research (Forest Service R&D), an increase of \$13,129,000 above the 2013 annualized CR level. Funding maintains an essential level of basic research associated with the Priority Re-

NATIONAL FOREST SYSTEM

For necessary expenses of the Forest Service, not otherwise provided for, for management, protection, improvement, and utilization of the National Forest System, \$1,556,662,000, to remain available until expended, and in addition, \$5,000,000 for the processing of grazing permits and leases, to remain available until expended, to be reduced by amounts collected by the Forest Service and credited to this appropriation, which shall be derived by a \$1.00 per head month administrative fee, as provided for in this Act: Provided, That of the funds provided, \$39,851,000 shall be deposited in the Collaborative Forest Landscape Restoration Fund for ecological restoration treatments as authorized by 16 U.S.C. 7303(f): Provided further, That funds provided under this heading may be used for necessary expenses of the Forest Service to manage Federal lands in Alaska for subsistence uses under title VIII of the Alaska National Interest Lands Conservation Act (Public Law 96-487): Provided further, That funds provided under this heading shall be available for urgently needed road decommissioning, road and trail repair and maintenance and associated activities, and removal of fish passage barriers, especially in areas where Forest Service roads may be contributing to water quality problems in streams and water bodies that support threatened, endangered or sensitive species or community water sources, as authorized by Public Law 88-567, as amended (16 U.S.C. 532-538) and Public Law 85-767, as amended (23 U.S.C. 101 and 205): Provided further, That funds provided herein shall be available for the decommissioning of roads which are no longer needed, including unauthorized roads not part of the transportation system: Provided further, That for fiscal year 2014 through fiscal year 2019, the Secretary may authorize the expenditure or transfer of such sums as necessary to the Department of the Interior, Bureau of Land Management, for removal, preparation, and adoption of excess wild horses and burros from National Forest System lands, and for the performance of cadastral surveys to designate the boundaries of such lands.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-1106-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National forest system .....	1,565	1,710	1,549
0801 Reimbursable program .....	52	65	69
0900 Total new obligations .....	1,617	1,775	1,618
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	148	130	34
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	154	130	34
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,557	1,564	1,557
1120 Appropriations transferred to other accts [12-1115] .....	-50		
1121 Appropriations transferred from other accts [12-1103] .....	11		
1121 Appropriations transferred from other accts [12-1115] .....	20		
1121 Appropriations transferred from other accts [12-1115] .....		50	
1130 Appropriations permanently reduced .....	-2		
1160 Appropriation, discretionary (total) .....	1,536	1,614	1,557
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	67	65	65
1700 Offsetting Collections (Grazing fees) .....			5
1701 Change in uncollected payments, Federal sources .....	-10		
1750 Spending auth from offsetting collections, disc (total) .....	57	65	70
1900 Budget authority (total) .....	1,593	1,679	1,627
1930 Total budgetary resources available .....	1,747	1,809	1,661
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	130	34	43
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	438	385	560
3010 Obligations incurred, unexpired accounts .....	1,617	1,775	1,618
3020 Outlays (gross) .....	-1,664	-1,600	-1,716

3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	385	560	462
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-102	-92	-92
3070 Change in uncollected pymts, Fed sources, unexpired .....	10		
3090 Uncollected pymts, Fed sources, end of year .....	-92	-92	-92
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	336	293	468
3200 Obligated balance, end of year .....	293	468	370

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,593	1,679	1,627
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,366	1,427	1,382
4011 Outlays from discretionary balances .....	298	173	334
4020 Outlays, gross (total) .....	1,664	1,600	1,716
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-43	-42	-42
4033 Non-Federal sources .....	-24	-23	-28
4040 Offsets against gross budget authority and outlays (total) ....	-67	-65	-70
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	10		
4070 Budget authority, net (discretionary) .....	1,536	1,614	1,557
4080 Outlays, net (discretionary) .....	1,597	1,535	1,646
4180 Budget authority, net (total) .....	1,536	1,614	1,557
4190 Outlays, net (total) .....	1,597	1,535	1,646

The 2014 Budget requests \$1,556,662,000 for the National Forest System (NFS), an decrease of 6,987,000 below the 2013 annualized continuing resolution (CR) level for the stewardship and management of the 193 million acres of national forests and grasslands. This includes the 155 National Forests and 20 National Grasslands located in 44 States and Puerto Rico that are managed under multiple-use and sustained-yield principles. The natural resources of timber, minerals, range, wildlife, outdoor recreation, watershed, and soil are used in a planned combination that best meets the needs of the Nation without impairing productivity of the land or damaging the environment.

These management and utilization principles are recognized in the Multiple-Use Sustained-Yield Act of 1960 (16 U.S.C. 528-531) and use an ecological approach to managing the NFS. NFS operations and maintenance provide for the planning, assessment, and conservation of ecosystems while delivering multiple public services and uses. These are delivered through the principal NFS programs of integrated resource restoration; land management planning, assessment, and monitoring; recreation, heritage, and wilderness; grazing management; minerals and geology management; landownership management; and law enforcement operations. These programs maintain the capability to manage natural resources in a manner consistent with ecological principles and responsibilities.

Following the Secretary's "All Lands" vision, the Budget focuses on meeting the challenges of ecological restoration through collaborative approaches to address fire and fuels, invasive species, and watershed degradation; engaging communities to help Americans reconnect to the outdoors, expand on recreation benefits and create a wide range of opportunities for economic expansion to retain and create jobs; and partnering with communities and fellow agencies to reduce the threat of wildland fires. The goals of these efforts are to reestablish and retain the resilience of NFS lands, to achieve sustainable management and use, and to provide a broad range of ecosystem services. Healthy and resilient landscapes have a greater capacity to survive natural disturbances, provide for the natural storage and sustained delivery of high quality water, and are more resilient and adaptable to changing environmental conditions.

Specific conservation and restoration actions depend on the particular needs and priorities identified for a given landscape. These may include management of off-highway vehicle use and other recreation activities, road decommissioning, mitigation of abandoned mine and hazardous material sites, enhanced administration of grazing authorizations, and other actions identified as necessary for ecosystem restoration.

The Budget emphasizes Integrated Resource Restoration (IRR) as a priority approach to accomplish on-the-ground restoration. IRR promotes improved forest and grassland health and resilience using landscape scale restoration to recover watershed health and clean water and create or maintain local economic opportunities and jobs. IRR does this by improving the efficient delivery of NFS programs throughout the Nation and integrating watershed protection and restoration into all aspects of our management of national forests and grasslands. This allows the Forest Service to more effectively accomplish forest health and water quality improvement goals.

The Budget also invests in the Collaborative Forest Landscape Restoration Program (CFLRP), which fosters collaborative, science-based restoration on priority forest landscapes across the Nation. The CFLRP was established specifically to create job stability, achieve a reliable wood supply, restore forest health, and reduce the costs of fire suppression in overgrown forests. The ultimate goal of the CFLRP is to collaboratively achieve improved forest benefits for people, water, and wildlife in a way that can be shared across broad landscapes.

The Budget proposes to fund Restoration Partnerships at \$10,029,000. This new program will develop public-private partnerships intended to reduce risk to fire-prone communities. Projects will protect and enhance water quality in municipal watersheds and maintain and restore resilience to aquatic ecosystems through the agency's Watershed Condition Framework (WCF). National forests that largely comprise a municipal watershed area, forests with special use utility permits, and priority partnership actions within the WCF will be eligible for restoration partnership funding. This program will support Restoration Partnership projects reducing fire risk through hazardous fuels reduction, forest thinning, and similar vegetative activities to protect and enhance water quality and reduce risk to utility investments, while providing ancillary benefits for public recreation, trails, and fish and wildlife management.

The Budget reflects the continuing emphasis on Forest Service program performance and accountability agency-wide. The Forest Service business rules for accomplishment reporting incorporate not only directly funded work, but also accomplishments achieved through integration between program areas or partnerships with external groups. This effort improves performance and accountability by shifting focus to accomplishments that naturally align other programs and partner organizations to achieve multiple goals.

**Object Classification** (in millions of dollars)

Identification code 12-1106-0-1-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	671	671	670
11.3 Other than full-time permanent .....	40	40	40
11.5 Other personnel compensation .....	34	34	34
11.9 Total personnel compensation .....	745	745	744
12.1 Civilian personnel benefits .....	255	255	255
13.0 Benefits for former personnel .....	8	9	8
21.0 Travel and transportation of persons .....	15	15	15
22.0 Transportation of things .....	9	10	9
23.1 Rental payments to GSA .....	15	16	15
23.2 Rental payments to others .....	20	22	20
23.3 Communications, utilities, and miscellaneous charges .....	35	38	35

24.0	Printing and reproduction .....	3	3	3
25.2	Other services from non-Federal sources .....	194	266	187
25.3	Other goods and services from Federal sources .....	149	203	143
25.4	Operation and maintenance of facilities .....	1	1	1
25.5	Research and development contracts .....	1	1	.....
25.7	Operation and maintenance of equipment .....	6	7	6
26.0	Supplies and materials .....	34	37	34
31.0	Equipment .....	23	25	23
41.0	Grants, subsidies, and contributions .....	51	56	50
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	1,564	1,710	1,549
99.0	Reimbursable obligations .....	52	65	69
99.5	Below reporting threshold .....	1	.....	.....
99.9	Total new obligations .....	1,617	1,775	1,618

**Employment Summary**

Identification code 12-1106-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	11,442	11,442	11,429
2001 Reimbursable civilian full-time equivalent employment .....	321	321	321
3001 Allocation account civilian full-time equivalent employment .....	1,555	1,555	1,555

**STATE AND PRIVATE FORESTRY**

*For necessary expenses of cooperating with and providing technical and financial assistance to States, territories, possessions, and others, and for forest health management, including treatments of pests, pathogens, and invasive or noxious plants and for restoring and rehabilitating forests damaged by pests or invasive plants, cooperative forestry, and education and land conservation activities and conducting an international program as authorized, \$239,954,000, to remain available until expended, as authorized by law; of which \$60,000,000 is to be derived from the Land and Water Conservation Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 12-1105-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State and private forestry .....	292	292	280
0002 Forest Legacy .....	57	57	60
0799 Total direct obligations .....	349	349	340
0801 Reimbursable program .....	56	60	55
0900 Total new obligations .....	405	409	395
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	90	104	101
1021 Recoveries of prior year unpaid obligations .....	7	.....	.....
1050 Unobligated balance (total) .....	97	104	101
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	200	201	180
1101 Appropriation (Legacy) .....	53	54	60
1120 Appropriations transferred to other accts [12-1115] .....	-5	.....	.....
1121 Appropriations transferred from other accts [12-1115] .....	86	86	.....
1121 Appropriations transferred from other accts [12-1115] .....	.....	5	.....
1160 Appropriation, discretionary (total) .....	334	346	240
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	60	60	60
1701 Change in uncollected payments, Federal sources .....	18	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	78	60	60
1900 Budget authority (total) .....	412	406	300
1930 Total budgetary resources available .....	509	510	401
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	104	101	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	609	612	627

STATE AND PRIVATE FORESTRY—Continued  
Program and Financing—Continued

Identification code 12-1105-0-1-302		2012 actual	2013 CR	2014 est.
3010	Obligations incurred, unexpired accounts .....	405	409	395
3020	Outlays (gross) .....	-395	-394	-362
3040	Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050	Unpaid obligations, end of year .....	612	627	660
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-53	-71	-71
3070	Change in uncollected pymts, Fed sources, unexpired .....	-18		
3090	Uncollected pymts, Fed sources, end of year .....	-71	-71	-71
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	556	541	556
3200	Obligated balance, end of year .....	541	556	589
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	412	406	300
Outlays, gross:				
4010	Outlays from new discretionary authority .....	129	158	120
4011	Outlays from discretionary balances .....	266	236	242
4020	Outlays, gross (total) .....	395	394	362
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-60	-60	-60
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-18		
4070	Budget authority, net (discretionary) .....	334	346	240
4080	Outlays, net (discretionary) .....	335	334	302
4180	Budget authority, net (total) .....	334	346	240
4190	Outlays, net (total) .....	335	334	302

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	334	346	240
Outlays .....	335	334	302
Legislative proposal, subject to PAYGO:			
Budget Authority .....			25
Outlays .....			6
Total:			
Budget Authority .....	334	346	265
Outlays .....	335	334	308

The 2014 Budget requests \$239,954,000 for State and Private Forestry (S&PF), a decrease of \$14,520,000 below the 2013 annualized CR level. Funds provide technical and financial assistance to landowners and resource managers. S&PF programs help sustain the Nation's urban and rural forests and protect communities and the environment from wildland fires, insects, disease, and invasive plants. S&PF provides assistance to landowners and resource managers to help sustain forests on State and private lands, in both rural and urban areas, to meet domestic and international demands for goods and services. S&PF programs also help facilitate sound stewardship and provide tools to address forest health threats on lands of all ownerships on a landscape scale, while maintaining the flexibility for individual forest landowners to pursue their objectives. The International Forestry program is included as part of the S&PF appropriation. To improve the transparency of funding for forest health and fire assistance, the budget proposes to shift funds for State and Volunteer Fire Assistance from S&PF to Wildland Fire Management (WFM) and Federal and Cooperative Forest Health Management from WFM to S&PF. This allows for the full funding amount to be reflected in a single account.

**Landscape Scale Restoration.**—The Budget establishes the Landscape Scale Restoration program and formalizes the S&PF Redesign process. It includes funding for competitive projects focused on issues and landscapes of national importance and on

activities that promise meaningful outcomes on the ground. Evidence of these improved outcomes will be identified through improved data collection on project accomplishments by leveraging existing investments in streamlined spatial reporting tools. Building upon the successes of the State and Private Forestry Redesign process, Landscape Scale Restoration focuses and prioritizes resources to better shape and influence forest land use on a scale, and in a way, that optimizes public benefits from trees and forests. The funds continue to improve our ability to identify the greatest threats to forest sustainability and accomplish meaningful change in high-priority areas. As a competitive grant program, it provides flexible opportunities to fund innovative projects across program boundaries and across landscape jurisdictions to address priorities and needs consistent with the State Forest Action Plans. Projects will focus on restoring healthy and resilient forests and communities in priority areas that States have identified. These high-priority needs are essential to addressing today's critical restoration issues on Federal, State and private lands. This program will continue to help ensure the ability of the Nation's forests to sustain and enrich the well-being of all citizens and communities.

**Forest Health Management.**—Includes funding for Federal and cooperative lands to maintain healthy, productive ecosystems by preventing, detecting, and suppressing damaging native and invasive forest and tree insects and diseases across all land ownerships and invasive plants on cooperative lands. Through the continued use of a science-based forest health risk map, the Budget reflects allocations of program funding that address national priorities and reduce risk in the most effective and efficient manner. The agency will document changes in insect, disease, and invasive plant geographic range, population dynamics of host preferences of pests, and other changes in pest activity and will explore gene conservation efforts to conserve at-risk tree species projected to be negatively impacted by climate change.

**Cooperative Forestry.**—Includes funding for the Forest Stewardship, Forest Legacy, Community Forest and Open Space Conservation, and Urban and Community Forestry programs. This suite of complementary programs helps maintain the integrity of our nation's valuable forested landscapes and supports the Federal interest in obtaining public benefits from private forests that include an array of social, economic, and environmental benefits. The Cooperative Forestry programs will continue to provide assistance to landowners, conserve private lands, and support the priorities identified in State Forest Action Plans. The Forest Service will track how cooperative funds are targeted to priority areas and themes identified in State Forest Action Plans.

**Forest Stewardship Program.**—Provides professional forestry assistance to landowners to encourage sound environmental management of non-industrial private forest lands.

**Forest Legacy Program.**—Partners with States to protect environmentally sensitive forestlands. Consistent with the President's commitment to the America's Great Outdoors Initiative, the Budget includes funding for the Forest Legacy Program through the Land and Water Conservation Fund to support the acquisition of conservation easements and other interests in forest lands threatened by conversion. These conservation easements will protect air and water quality, provide access for recreation, and provide habitat for threatened or endangered wildlife and fish.

**Community Forest and Open Space Conservation.**—Achieves community benefits through grants to local and Tribal governments and qualified nonprofit organizations to establish community forests by acquiring and protecting forestlands.

**Urban and Community Forestry.**—Provides technical, financial and educational assistance to cities and towns nationwide so they can improve the condition and extent of their trees and forests to achieve the full range of benefits and services from these resources. This program improves the lives of most Americans near where they live and work.

**International Forestry.**—Provides funding for high priority investments in natural resource conservation issues, including invasive species, biodiversity conservation, migratory species, and advancing U.S. policy interests.

4190 Outlays, net (total) ..... 6

**MANAGEMENT OF NATIONAL FOREST LANDS FOR SUBSISTENCE USES**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Object Classification** (in millions of dollars)

Identification code 12–1105–0–1–302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	53	53	44
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	57	57	48
12.1 Civilian personnel benefits .....	17	17	14
21.0 Travel and transportation of persons .....	3	3	3
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	15	15	15
25.3 Other goods and services from Federal sources .....	8	8	8
25.5 Research and development contracts .....	2	2	2
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	236	236	239
99.0 Direct obligations .....	349	349	340
99.0 Reimbursable obligations .....	54	60	55
99.5 Below reporting threshold .....	2		
99.9 Total new obligations .....	405	409	395

**Employment Summary**

Identification code 12–1105–0–1–302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	728	728	601
2001 Reimbursable civilian full-time equivalent employment .....	36	36	36

**STATE AND PRIVATE FORESTRY**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 12–1105–4–1–302	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other accts [14–5005] ....			25
1260 Appropriations, mandatory (total) .....			25
1930 Total budgetary resources available .....			25
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			25
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			–6
3050 Unpaid obligations, end of year .....			–6
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			–6
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			25
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			6
4180 Budget authority, net (total) .....			25

**Program and Financing** (in millions of dollars)

Identification code 12–1119–0–1–302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Management of national forest lands for subsistence uses .....	3	3	
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3	3	
1160 Appropriation, discretionary (total) .....	3	3	
1930 Total budgetary resources available .....	3	3	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	3	3	
3020 Outlays (gross) .....	–2	–3	–1
3050 Unpaid obligations, end of year .....	2	2	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	3	3	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	2	
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....	2	3	1
4180 Budget authority, net (total) .....	3	3	
4190 Outlays, net (total) .....	2	3	1

The 2014 Presidents Budget does not propose a separate funding level for Subsistence Management. This is a decrease of \$2,589,000 from the 2013 Annualized CR. The Forest Service will continue to meet its responsibilities under the 1980 Alaska National Interest Lands Conservation Act (ANILCA). The most critical subsistence management related components will be achieved through other NFS funds, such as Integrated Resource Restoration, Recreation Management, and Law Enforcement Operation funds that complement the objectives of the subsistence program.

**Object Classification** (in millions of dollars)

Identification code 12–1119–0–1–302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	
25.2 Other services from non-Federal sources .....	1	2	
99.0 Direct obligations .....	2	3	
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	3	3	

MANAGEMENT OF NATIONAL FOREST LANDS FOR SUBSISTENCE  
USES—Continued  
Employment Summary

Identification code 12-1119-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	15	15	.....

WILDLAND FIRE MANAGEMENT  
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for forest fire presuppression activities on National Forest System lands, for emergency fire suppression on or adjacent to such lands or other lands under fire protection agreement, hazardous fuels reduction on or adjacent to such lands, for state and volunteer fire assistance, and for emergency rehabilitation of burned-over National Forest System lands and water, \$2,046,669,000, to remain available until expended: Provided, That such funds including unobligated balances under this heading, are available for repayment of advances from other appropriations accounts previously transferred for such purposes: Provided further, That such funds shall be available to reimburse State and other cooperating entities for services provided in response to wildfire and other emergencies or disasters to the extent such reimbursements by the Forest Service for non-fire emergencies are fully repaid by the responsible emergency management agency: Provided further, That, notwithstanding any other provision of law, \$6,914,000 of funds appropriated under this appropriation shall be available for the Forest Service in support of fire science research authorized by the Joint Fire Science Program, including all Forest Service authorities for the use of funds, such as contracts, grants, research joint venture agreements, and cooperative agreements: Provided further, That all authorities for the use of funds, including the use of contracts, grants, and cooperative agreements, available to execute the Forest and Rangeland Research appropriation, are also available in the utilization of these funds for Fire Science Research: Provided further, That funds provided shall be available for emergency rehabilitation and restoration, hazardous fuels reduction activities, support to Federal emergency response, and wildfire suppression activities of the Forest Service: Provided further, That of the funds provided, \$201,228,000 is for hazardous fuels reduction activities, and \$19,795,000 is for research activities and to make competitive research grants pursuant to the Forest and Rangeland Renewable Resources Research Act, as amended (16 U.S.C. 1641 et seq.), \$69,459,000 is for State fire assistance, and \$11,205,000 is for volunteer fire assistance under section 10 of the Cooperative Forestry Assistance Act of 1978 (16 U.S.C. 2106): Provided further, That amounts in this paragraph may be transferred to the "Forest and Rangeland Research" account to fund forest and rangeland research and the Joint Fire Science Program: Provided further, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: Provided further, That funds provided herein may be used by the Secretary of Agriculture to enter into procurement contracts or cooperative agreements or to issue grants for hazardous fuels reduction and for training or monitoring associated with such hazardous fuels reduction activities on Federal land or on non-Federal land if the Secretary determines such activities benefit resources on Federal land: Provided further, That funds made available to implement the Community Forest Restoration Act, Public Law 106-393, title VI, shall be available for use on non-Federal lands in accordance with authorities made available to the Forest Service under the "State and Private Forestry" appropriation: Provided further, That the Secretary of the Interior and the Secretary of Agriculture may authorize the transfer of funds appropriated for wildland fire management, in an aggregate amount not to exceed \$50,000,000, between the Departments when such transfers would facilitate and expedite wildland fire management programs and projects: Provided further, That, of the funds provided for hazardous fuels reduction, not to exceed \$10,500,000 may be used to make grants, using any authorities available to the Forest Service under the "State and Private Forestry" appropriation, for the purpose of creating incentives for increased use of biomass from National Forest System lands: Provided further, That Section 5 of the Act of May 27, 1955

(42 U.S.C. 1856d), is amended in subsection (b) by inserting "or Department of Agriculture" after "Department of Defense".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-1115-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Wildland fire management .....	2,669	2,500	2,320
0801 Reimbursable program .....	124	175	175
0900 Total new obligations .....	2,793	2,675	2,495
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	374	177	10
1011 Unobligated balance transfer from other accts [12-9921] .....	175	.....	.....
1021 Recoveries of prior year unpaid obligations .....	99	.....	.....
1050 Unobligated balance (total) .....	648	177	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,738	1,971	2,047
1100 Appropriation - Fire Repayment PL 112-175, Sec. 141b .....	.....	400	.....
1120 Appropriations transferred to other accts [14-1125] .....	-4	.....	.....
1120 Appropriations transferred to other accts [12-1104] .....	-26	-29	-27
1120 Appropriations transferred to other accts [12-1105] .....	-86	-86	.....
1120 Appropriations transferred to other accts [12-1106] .....	-20	.....	.....
1120 Appropriations transferred to other accts [12-9923] .....	.....	-20	.....
1120 Appropriations transferred to other accts [12-9921] .....	.....	-135	.....
1120 Appropriations transferred to other accts [12-1103] .....	.....	-30	.....
1120 Appropriations transferred to other accts [12-1105] .....	.....	-5	.....
1120 Appropriations transferred to other accts [12-1106] .....	.....	-50	.....
1121 Appropriations transferred from other accts [12-1106] .....	50	.....	.....
1121 Appropriations transferred from other accts [12-1120] .....	315	317	315
1121 Appropriations transferred from other accts [12-1105] .....	5	.....	.....
1121 Appropriations transferred from other accts [12-1103] .....	30	.....	.....
1121 Appropriations transferred from other accts [12-9923] .....	20	.....	.....
1121 Appropriations transferred from other accts [14-1125] .....	3	.....	.....
1130 Appropriations permanently reduced .....	-3	.....	.....
1160 Appropriation, discretionary (total) .....	2,022	2,333	2,335
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	267	175	175
1701 Change in uncollected payments, Federal sources .....	33	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	300	175	175
1900 Budget authority (total) .....	2,322	2,508	2,510
1930 Total budgetary resources available .....	2,970	2,685	2,520
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	177	10	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	984	1,018	746
3010 Obligations incurred, unexpired accounts .....	2,793	2,675	2,495
3020 Outlays (gross) .....	-2,659	-2,947	-2,680
3040 Recoveries of prior year unpaid obligations, unexpired .....	-99	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	1,018	746	561
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-229	-262	-262
3070 Change in uncollected pymts, Fed sources, unexpired .....	-33	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-262	-262	-262
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	755	756	484
3200 Obligated balance, end of year .....	756	484	299
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,322	2,508	2,510
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,082	2,132	2,134
4011 Outlays from discretionary balances .....	577	815	546
4020 Outlays, gross (total) .....	2,659	2,947	2,680
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-167	-109	-109

4033	Non-Federal sources .....	-100	-66	-66
4040	Offsets against gross budget authority and outlays (total) ....	-267	-175	-175
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-33		
4070	Budget authority, net (discretionary) .....	2,022	2,333	2,335
4080	Outlays, net (discretionary) .....	2,392	2,772	2,505
4180	Budget authority, net (total) .....	2,022	2,333	2,335
4190	Outlays, net (total) .....	2,392	2,772	2,505

The 2014 Budget requests \$2,046,669,000 for Wildland Fire Management (WFM), an increase of \$75,279,000 above the 2013 annualized CR level to fund Forest Service fire preparedness, fire suppression, hazardous fuels treatments, joint fire sciences, research and development, and cooperative fire programs on National Forest System (NFS) lands, adjacent State and private lands, and other lands under fire protection agreement.

*Preparedness.*—To protect NFS lands from damage by wildfires commensurate with the threat to life, values at risk, public values, and management objectives.

Preparedness provides the basic fire organization and capability to prevent forest fires and to take prompt, effective initial attack suppression operations action on wildfires. This funding covers expenses associated with planning, prevention, detection, information, and education; pre-incident training; equipment and supply purchase and replacement; aviation services; and other preparedness activities.

Through this program the Forest Service also assists other Federal agencies and States with planning assistance, sharing joint equipment use contracts, and interagency fire coordination centers. Readiness levels reflect improvements in efficiencies and management controls, including those gained through a centralized aviation services office, as well as management of national shared resources such as: large airtankers, helitankers, hotshot crews, and smokejumpers.

*Suppression.*—Risk-informed suppression of wildland fires on or threatening NFS lands, Department of the Interior lands, or other lands under fire protection agreements. The Budget request responsibly budgets for wildfires, providing funding at the 10-year average of suppression costs, adjusted for inflation, and includes indirect costs as required by Congress. Suppression, FLAME, and preparedness costs have been realigned to inform accurate costs of initial attack, large complex fires, and readiness. To improve the management of wildland fire resources, the Forest Service will:

- Utilize the Senior Fire Leadership Council to provide executive level oversight and leadership of Wildland Fire Suppression practices.
- Implement key findings from large fire cost reviews.
- Continue implementation of performance improvements including monitoring and analyzing large fire suppression expenditures with the stratified cost index and reducing the number of human-caused ignitions.
- Utilize a risk-informed management response strategy for unplanned ignitions to manage risk, maximize resource utilization, manage loss, and contain costs.
- Implement Phase 1 and Phase 2, and initiate development of Phase 3 of the Cohesive Strategy for Wildland Fire Management.
- Accurately share firefighting costs in the Wildland Urban Interface (WUI) between responding agencies.
- Continue to use the Wildland Fire Decision Support System (WFDSS) to support managers in analyzing risk relative to strategic suppression decisions which affect suppression costs, firefighter and public safety, and impacts to property and resources.

- Utilize an integrated system to procure and allocate fire-fighting assets that improves the systems for determining the appropriate type and quantity of firefighting assets needed for the fire season or for effectively and efficiently procuring them.
- Place priority on those projects associated with a community wildfire protection plan, or equivalent.

These actions highlight important refinements within the Forest Service wildland fire management program that strengthen oversight and accountability of suppression spending and use risk management principles to guide decision-making at the strategic, program, and operational levels. As part of these efforts, the Forest Service will categorize incidents by risk and apply operational and managerial protocols to guide the risk-informed allocation and use of resources; improve firefighter and community safety; and develop and use credible performance indicators. By identifying and analyzing risk in a systematic fashion, the Forest Service is better equipped to assess wildfire intensity and associated threats posed to lives, improved property, or the environment. This risk-informed fire protection system allows agency administrators the ability to choose from a full spectrum of wildfire management actions and appropriately scale their responses to the risks posed.

*Fire Operations—Other.*—The Other Fire Operations programs include Hazardous Fuels, National Fire Plan Research and Development, Joint Fire Sciences, State Fire Assistance, and Volunteer Fire Assistance. Funding will focus on treating hazardous fuels in the WUI and highest priority areas, research and technology transfer activities, and providing vital support to assist local communities and State foresters develop firefighting capacity to provide critical preparedness and response actions for communities at risk. State and Volunteer Fire Assistance programs includes funding to enhance the capacity of States to provide effective initial attack and coordinated fire suppression response, reduce hazardous fuels in and adjacent to communities, and purchase and maintain equipment. Funding also supports training, planning, and fire prevention and education programs. The request for Hazardous Fuels provides funding for treatment of hazardous fuels within WUI and highest priority areas of NFS lands and adjacent State and private lands. Treatments for purposes other than community protection (non-Wildland Urban Interface) will be funded through the NFS appropriation.

Hazardous fuel reduction modifies the arrangement of or reduces the volume of naturally occurring flammable vegetation and forest litter. The program includes prescribed burning, mechanical treatments, and other methods. Treatments are designed to alter fire behavior and reduce negative impacts of wildland fires such as erosion or soils that, due to high fire temperatures, shed water rather than absorbing it. Managing forest fuels and increasing the use of fire is necessary to maintain the carbon sequestration capability of NFS lands.

The strategy of focusing treatments on the WUI and highest priority areas will help minimize large, destructive, and costly wildfires, thereby protecting both communities and natural resources, and reducing safety risks to firefighters and the public. In 2014, the Forest Service will continue to use the Hazardous Fuels Prioritization and Allocation (HFPAS) System to allocate funds to the field. Additional modifications to HFPAS will be made as better data and information becomes available. Funding will be prioritized for communities that have identified acres to be treated in Community Wildfire Protection Plans and made an investment in implementing local solutions to protection against wildland fire.

To improve the transparency of funding for forest health and fire assistance, the budget proposes to shift funds for State and

WILDLAND FIRE MANAGEMENT—Continued

Volunteer Fire Assistance from State and Private Forestry to WFM and Federal and Cooperative Forest Health Management from WFM to State and Private Forestry. This allows for the full funding amount to be reflected in a single account.

Object Classification (in millions of dollars)

Identification code 12-1115-0-1-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	475	475	455
11.3 Other than full-time permanent .....	63	63	63
11.5 Other personnel compensation .....	283	283	283
11.8 Special personal services payments .....	51	51	51
11.9 Total personnel compensation .....	872	872	852
12.1 Civilian personnel benefits .....	270	270	258
13.0 Benefits for former personnel .....	36	35	35
21.0 Travel and transportation of persons .....	50	50	44
22.0 Transportation of things .....	7	7	7
23.1 Rental payments to GSA .....	13	13	13
23.2 Rental payments to others .....	29	29	29
23.3 Communications, utilities, and miscellaneous charges .....	39	39	39
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	793	675	595
25.3 Other goods and services from Federal sources .....	214	191	138
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	4	4	4
26.0 Supplies and materials .....	84	82	71
31.0 Equipment .....	19	19	19
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	234	210	212
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,668	2,500	2,320
99.0 Reimbursable obligations .....	125	175	175
99.9 Total new obligations .....	2,793	2,675	2,495

Employment Summary

Identification code 12-1115-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	11,794	11,794	11,291
2001 Reimbursable civilian full-time equivalent employment .....	39	39	39

FLAME WILDFIRE SUPPRESSION RESERVE FUND

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for large fire suppression operations of the Department of Agriculture and as a reserve fund for suppression and Federal emergency response activities, \$315,000,000, to remain available until expended: Provided, That such amounts are available only for transfer to the "Wildland Fire Management" account and only following a declaration by the Secretary that either (1) a wildland fire suppression event meets certain previously established risk-based written criteria for significant complexity, severity, or threat posed by the fire or (2) funds in the "Wildland Fire Management" account will be exhausted within 30 days.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 12-1120-0-1-302	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	316	317	315
1120 Appropriations transferred to other accts [12-1115] .....	-315	-317	-315
1130 Appropriations permanently reduced .....	-1		

The 2014 Budget requests \$315,000,000 for the FLAME Wildfire Suppression Reserve Fund, a decrease of \$2,311,000 below the

2013 annualized CR level. The FLAME Wildfire Suppression Reserve Fund and the Suppression appropriation fully fund the 10-year average cost of fire suppression obligations, adjusted for inflation. The Secretary may permit transfers from this account to cover severe complex fire events. The Secretary may also transfer funds in the event that USDA has exhausted its suppression resources due to an active fire season.

RANGE BETTERMENT FUND

For necessary expenses of range rehabilitation, protection, and improvement, 50 percent of all moneys received during the prior fiscal year, as fees for grazing domestic livestock on lands in National Forests in the 16 Western States, pursuant to section 401(b)(1) of Public Law 94-579, as amended, to remain available until expended, of which not to exceed 6 percent shall be available for administrative expenses associated with on-the-ground range rehabilitation, protection, and improvements.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 12-5207-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	3	3	2
<b>Receipts:</b>			
0220 Receipts, Cooperative Range Improvements .....	3	2	3
0400 Total: Balances and collections .....	6	5	5
<b>Appropriations:</b>			
0500 Range Betterment Fund .....	-3	-3	-2
0799 Balance, end of year .....	3	2	3

Program and Financing (in millions of dollars)

Identification code 12-5207-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Range betterment fund .....	2	3	3
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	3	3	2
1160 Appropriation, discretionary (total) .....	3	3	2
1930 Total budgetary resources available .....	3	4	3
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	2	3	3
3020 Outlays (gross) .....	-3	-3	-3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	3	3	2
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	2	2
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	3	3	3
4180 Budget authority, net (total) .....	3	3	2
4190 Outlays, net (total) .....	3	3	3

The 2014 Budget requests \$1,922,000 for the Range Betterment Fund, a decrease of \$1,355,000 below the 2013 annualized CR level. This program emphasizes essential structural and non-structural improvements prescribed in grazing allotment National

Environmental Policy Act decision documents. Treatment of invasive plant species related to permitted livestock use continues to be a priority for non-structural rangeland improvement work. Fifty percent of grazing fees from National Forests in the 16 western States, once appropriated, are used to protect and improve rangeland productivity primarily through revegetation, construction and reconstruction, and maintenance of improvements under authority of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1751), as amended.

**Object Classification** (in millions of dollars)

Identification code 12-5207-0-2-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
26.0 Supplies and materials .....	1	2	2
99.9 Total new obligations .....	2	3	3

**Employment Summary**

Identification code 12-5207-0-2-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	8	8	7

**STEWARDSHIP CONTRACTING PRODUCT SALES**

**Program and Financing** (in millions of dollars)

Identification code 12-5540-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Stewardship contracting .....	7	12	2
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	8	12	10
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	9	12	10
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	10	10	
1260 Appropriations, mandatory (total) .....	10	10	
1930 Total budgetary resources available .....	19	22	10
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	10	8
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	7	8
3010 Obligations incurred, unexpired accounts .....	7	12	2
3020 Outlays (gross) .....	-8	-11	-5
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	7	8	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	9	7	8
3200 Obligated balance, end of year .....	7	8	5
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	10	10	
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		5	
4101 Outlays from mandatory balances .....	8	6	5
4110 Outlays, gross (total) .....	8	11	5
4180 Budget authority, net (total) .....	10	10	
4190 Outlays, net (total) .....	8	11	5

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	10	10	

Outlays .....	8	11	5
Legislative proposal, subject to PAYGO:			
Budget Authority .....			10
Outlays .....			5
Total:			
Budget Authority .....	10	10	10
Outlays .....	8	11	10

**Stewardship Contracting.**—The Forest Service may enter into stewardship projects via agreement or contract to perform services to achieve land management goals and meet local and rural community needs. Stewardship contracting enables the Forest Service to apply the value of timber or other forest products from stewardship sales as an offset against the costs to accomplish land and resource management objectives. If the offset value exceeds the value of the resource improvement treatments, those receipts are retained and deposited in the Stewardship Contracting Fund and are available until expended for other authorized stewardship projects (16 U.S.C. 2104 note). The Budget proposes permanent reauthorization of stewardship contracting. The current authority expires at the end of 2013.

**Object Classification** (in millions of dollars)

Identification code 12-5540-0-2-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	6	11	2
41.0 Grants, subsidies, and contributions .....	1	1	
99.9 Total new obligations .....	7	12	2

**STEWARDSHIP CONTRACTING PRODUCT SALES**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 12-5540-4-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Stewardship contracting .....			10
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			10
1260 Appropriations, mandatory (total) .....			10
1930 Total budgetary resources available .....			10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			10
3020 Outlays (gross) .....			-5
3050 Unpaid obligations, end of year .....			5
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			5
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			10
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			5
4180 Budget authority, net (total) .....			10
4190 Outlays, net (total) .....			5

**Object Classification** (in millions of dollars)

Identification code 12-5540-4-2-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....			9
41.0 Grants, subsidies, and contributions .....			1
99.9 Total new obligations .....			10

LAND ACQUISITION

For expenses necessary to carry out the provisions of the Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the Forest Service, \$57,984,000, to be derived from the Land and Water Conservation Fund and to remain available until expended.

ACQUISITION OF LANDS FOR NATIONAL FORESTS SPECIAL ACTS

For acquisition of lands within the exterior boundaries of the Cache, Uinta, and Wasatch National Forests, Utah; the Toiyabe National Forest, Nevada; and the Angeles, San Bernardino, Sequoia, and Cleveland National Forests, California, as authorized by law, \$912,000, to be derived from forest receipts.

ACQUISITION OF LANDS TO COMPLETE LAND EXCHANGES

For acquisition of lands, such sums, to be derived from funds deposited by State, county, or municipal governments, public school districts, or other public school authorities, and for authorized expenditures from funds deposited by non-Federal parties pursuant to Land Sale and Exchange Acts, pursuant to the Act of December 4, 1967, as amended (16 U.S.C. 484a), to remain available until expended (16 U.S.C. 4601-516-617a, 555a; Public Law 96-586; Public Law 76-589, 76-591; and Public Law 78-310).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 12-9923-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	2	6	7
Adjustments:			
0190 Adjustment - small receipt balances were missing	4		
0199 Balance, start of year	6	6	7
Receipts:			
0220 Deposits, Acquisitions of Lands for National Forests, Special Acts	1	1	1
0221 Land Acquisition Proceeds for Exchanges, Acquisition of Lands to Complete Land Exchanges	5	45	22
0222 Facility Realignment and Enhancement Receipts, Acquisition of Lands to Complete Land Exchanges		1	1
0299 Total receipts and collections	6	47	24
0400 Total: Balances and collections	12	53	31
Appropriations:			
0500 Land Acquisition	-1	-1	-1
0501 Land Acquisition	-5	-45	-22
0599 Total appropriations	-6	-46	-23
0799 Balance, end of year	6	7	8

Program and Financing (in millions of dollars)

Identification code 12-9923-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Land Acquisition (12X5004 LALW) Discretionary	45	64	64
0002 Land Facilities Enhancement (12X5216 EXSC/SL) Mandatory	4	28	30
0003 Land Acquisition - Special Acts (12Y5208) Discretionary	1	1	1
0900 Total new obligations	50	93	95
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	48	38	64
1001 Discretionary unobligated balance brought fwd, Oct 1	17	27	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	49	38	64
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation: Land Acquisition (12X5004)	53	53	58
1101 Appropriation: Special Acts (12Y5208)	1	1	1
1120 Appropriations transferred to other accts [12-1115]	-20		

1121 Appropriations transferred from other accts [12-1115]	20		
1160 Appropriation, discretionary (total)	34	74	59
Appropriations, mandatory:			
1201 Appropriation (12X5216 EXSC EXSL)	5	45	22
1260 Appropriations, mandatory (total)	5	45	22
1900 Budget authority (total)	39	119	81
1930 Total budgetary resources available	88	157	145
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	38	64	50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	12	23
3010 Obligations incurred, unexpired accounts	50	93	95
3020 Outlays (gross)	-54	-82	-85
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	12	23	33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17	12	23
3200 Obligated balance, end of year	12	23	33
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	34	74	59
Outlays, gross:			
4010 Outlays from new discretionary authority	39	56	44
4011 Outlays from discretionary balances	10	14	25
4020 Outlays, gross (total)	49	70	69
Mandatory:			
4090 Budget authority, gross	5	45	22
Outlays, gross:			
4100 Outlays from new mandatory authority		9	4
4101 Outlays from mandatory balances	5	3	12
4110 Outlays, gross (total)	5	12	16
4180 Budget authority, net (total)	39	119	81
4190 Outlays, net (total)	54	82	85

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	39	119	81
Outlays	54	82	85
Legislative proposal, subject to PAYGO:			
Budget Authority			34
Outlays			26
Total:			
Budget Authority	39	119	115
Outlays	54	82	111

The 2014 Budget requests \$59,113,000 for the Land Acquisition accounts, an increase of \$5,083,000 above the 2013 annualized CR level. This heading consolidates land acquisition authorities for acquisition of lands, waters, or interest therein, as authorized by law. Consistent with the President's commitment to the America's Great Outdoors Initiative, the Budget will provide funding for land acquisition through the Land and Water Conservation Fund to support the acquisition of high-priority forests and grasslands. The 2014 Federal Land Acquisition program builds on efforts started in 2012 and 2013 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals.

**Land Acquisition.**—Lands and other interests are acquired adjacent to areas of the National Forest System for such purposes as outdoor recreation, wilderness management, wildlife habitat conservation, watershed protection and enhancement, resource management, and land consolidation.

**Mandatory Land and Water Conservation Fund.**—The Administration proposes to permanently authorize annual mandatory

funding, without further appropriation or fiscal year limitation for Departments of Agriculture and the Interior Land and Water Conservation Fund programs beginning in fiscal year 2014.

**Acquisition of Lands for National Forests, Special Acts.**—To acquire lands within critical watersheds to provide soil stabilization and restoration of vegetation. Public Laws 76–589, 76–591 and 78–310 (54 Stat. 297, 298, 299, and 402; and 58 Stat. 227–228) authorize appropriations for the purchase of lands to minimize erosion and flood damage to critical watersheds within the following National Forests: the Cache, Uinta, and Wasatch, Utah; the Toiyabe, Nevada; and the Angeles, Cleveland, San Bernardino, and Sequoia, California. Appropriations are made from receipts on these national forests.

**Acquisition of Lands to Complete Land Exchanges.**—Deposits are made by State, county, or municipal governments, public school authorities, or non-Federal parties and are used to acquire lands for National Forest System purposes or for other authorized purposes.

**Land Facilities Enhancement Fund.**—This fund includes the Conveyance of Administrative Sites Program and the Sites Specific Lands Acts Program. These programs enable specific national forests to convey land or facilities and use the proceeds to acquire, construct, or improve land and facilities within the same national forest or State. They also provide for the realignment of the agency's facility portfolio by providing an incentive for local managers to liquidate obsolete or underutilized assets and reinvest in assets that best support the agency's mission (U.S.C. 590d note).

**Object Classification** (in millions of dollars)

Identification code 12–9923–0–2–302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	1	2	2
25.3 Other goods and services from Federal sources .....	1	2	2
25.7 Operation and maintenance of equipment .....	1	2	2
32.0 Land and structures .....	39	80	82
99.0 Direct obligations .....	49	93	95
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	50	93	95

**Employment Summary**

Identification code 12–9923–0–2–302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	71	72	72
3001 Allocation account civilian full-time equivalent employment .....	131	131	131

**LAND ACQUISITION**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 12–9923–4–2–302	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [14–5005] ....			34
1260 Appropriations, mandatory (total) .....			34
1900 Budget authority (total) .....			34
1930 Total budgetary resources available .....			34
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			34
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			–26
3050 Unpaid obligations, end of year .....			–26

<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			–26
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			34
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			26
4180 Budget authority, net (total) .....			34
4190 Outlays, net (total) .....			26

**FOREST SERVICE PERMANENT APPROPRIATIONS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 12–9921–0–2–999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	67	143	189
<b>Receipts:</b>			
0220 National Forests Fund .....	–2		
0221 National Forests Fund, Payments to States .....	113	70	70
0222 National Forests Fund, Payments to States .....			61
0223 Timber Roads, Purchaser Elections .....	4	2	2
0224 National Forests Fund, Roads and Trails for States .....	19		18
0225 Timber Salvage Sales .....	25	20	19
0226 Deposits, Brush Disposal .....	9	9	9
0227 Rents and Charges for Quarters, Forest Service .....	8	9	9
0228 Timber Sales Pipeline Restoration Fund .....	8	7	7
0229 Recreational Fee Demonstration Program, Forest Service .....	67	68	68
0230 Midewin National Tallgrass Prairie Rental Fees .....	1	1	1
0231 Charges, User Fees, and Natural Resource Utilization, Land between the Lakes, Forest Service .....	4	4	4
0232 Administration of Rights-of-way and Other Land Uses .....	2	2	2
0233 Miscellaneous Collections, Valles Caldera Fund .....	1	1	1
0234 Funds Retained, Stewardship Contracting Product Sales .....	10	10	
0235 Funds Retained, Stewardship Contracting Product Sales .....			10
0236 National Grasslands .....	104	80	80
0237 Miscellaneous Special Funds, Forest Service .....	63	40	15
0299 Total receipts and collections .....	436	323	376
0400 Total: Balances and collections .....	503	466	565
<b>Appropriations:</b>			
0500 Stewardship Contracting Product Sales .....	–10	–10	
0501 Stewardship Contracting Product Sales .....			–10
0502 Forest Service Permanent Appropriations .....	–350	–267	–245
0503 Forest Service Permanent Appropriations .....			–61
0599 Total appropriations .....	–360	–277	–316
0799 Balance, end of year .....	143	189	249

**Program and Financing** (in millions of dollars)

Identification code 12–9921–0–2–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Brush disposal (5206) .....	7	9	9
0002 Restoration of Forest Lands and Improvements (5215) .....	14	40	40
0003 Recreation fee demonstration / enhancement programs (5268) .....	62	76	76
0004 Timber Roads - Purchaser Election program (5202) .....	1	2	2
0005 Timber Salvage Sale program (5204) .....	23	21	19
0006 Timber Pipeline Restoration fund (includes forest botanical products) (5264) .....	5	8	8
0007 Resource Management Timber Receipts (5220) .....	2		
0008 Midewin Tallgrass Prairie funds (5277) .....	1	1	1
0009 Operation and maintenance of quarters (5219) .....	7	10	10
0010 Land between the lakes management fund (5360) .....	4	4	4
0011 Valles Caldera fund (5363) .....	1	1	1
0012 Administration of rights-of-way and other land uses (5361 - URRF, URMN) .....	1	2	2
0013 Payment to States - National Forest Fund (5201) .....	137	129	64
0014 Payment to States - transfers from Treasury (1117) .....	225	207	
0015 Payments to Minnesota (5213) .....	6	6	6
0016 Payments to Counties - National Grasslands (5896) .....	23	20	20
0799 Total direct obligations .....	519	536	262
0801 Admin rights of way - Reimbursable program (5361 - URMJ) ....	5	8	8
0900 Total new obligations .....	524	544	270
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	601	484	545

FOREST SERVICE PERMANENT APPROPRIATIONS—Continued  
Program and Financing—Continued

Identification code 12–9921–0–2–999	2012 actual	2013 CR	2014 est.
1010 Unobligated balance transfer to other accts [12–1115] .....	–175		
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	428	484	545
Budget authority:			
Appropriations, discretionary:			
1130 Appropriations permanently reduced .....		–12	–18
1160 Appropriation, discretionary (total) .....		–12	–18
Appropriations, mandatory:			
1200 Appropriation .....	225	207	
1201 Appropriation (special or trust fund) .....	350	267	245
1221 Appropriations transferred from other accts [12–1115] .....		135	
1260 Appropriations, mandatory (total) .....	575	609	245
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5	8	8
1850 Spending auth from offsetting collections, mand (total) .....	5	8	8
1900 Budget authority (total) .....	580	605	235
1930 Total budgetary resources available .....	1,008	1,089	780
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	484	545	510
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	84	77	42
3010 Obligations incurred, unexpired accounts .....	524	544	270
3020 Outlays (gross) .....	–529	–579	–305
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2		
3050 Unpaid obligations, end of year .....	77	42	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	84	77	42
3200 Obligated balance, end of year .....	77	42	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		–12	–18
Outlays, gross:			
4010 Outlays from new discretionary authority .....		–12	–18
Mandatory:			
4090 Budget authority, gross .....	580	617	253
Outlays, gross:			
4100 Outlays from new mandatory authority .....	232	525	216
4101 Outlays from mandatory balances .....	297	66	107
4110 Outlays, gross (total) .....	529	591	323
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	–5	–8	–8
4180 Budget authority, net (total) .....	575	597	227
4190 Outlays, net (total) .....	524	571	297
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....			3
5001 Total investments, EOY: Federal securities: Par value .....		3	3

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	575	597	227
Outlays .....	524	571	297
Legislative proposal, subject to PAYGO:			
Budget Authority .....			248
Outlays .....			211
Total:			
Budget Authority .....	575	597	475
Outlays .....	524	571	508

**Brush Disposal.**—Funds from payments by purchasers of National Forest timber to dispose of or treat slash and other debris that result from cutting operations (16 U.S.C. 490).

**Restoration of Forestlands and Improvements.**—Funds from claim settlements involving damage to lands or improvements and from forfeiture of deposits and bonds by permittees and timber purchasers are used for the restoration made necessary

by the action which led to the settlement of forfeiture (16 U.S.C. 579c).

**Recreation Fees, Forest Service (also referred to as the Federal Lands Recreation Enhancement Fund).**—The Secretary may implement a fee program for certain recreation sites on Federal lands. Fees collected from users of recreation facilities are used to pay for on-the-ground operation, maintenance, and improvements of recreation sites and services to maintain and enhance recreation opportunities, visitor experiences, and related habitat. (16 U.S.C. 6806 and 6807, P.L. 108–447, Div. J, [title VIII, Sec. 807 and Sec. 808] Dec. 8, 2004, 118 Stat. 3388). The Administration proposes to permanently reauthorize the Departments of Agriculture and the Interior recreation fee program under the Federal Lands Recreation Enhancement Act, which is set to expire on December 8, 2014.

**Timber Purchaser Election Roads Construction.**—Funds from timber receipts for Government-constructed permanent roads for purchasers of timber who qualify as small businesses and elect to have the Forest Service construct the roads designated under the timber sale contract (16 U.S.C. 472a(i)).

**Timber Salvage Sales.**—Funds are used for salvage of insect-infested, dead, damaged, or down timber, and to remove associated trees for stand improvement (16 U.S.C. 472a(h)).

**Timber Sales Pipeline Restoration Fund.**—Funds are used for restoring the timber pipeline and funding the backlog of recreation projects on National Forest System lands. This fund includes Forest Botanical Products fees (P.L. 104–134, Sec. 101 (c), [Title III Sec. 327], April 26, 1996, 110 Stat. 1321–206 and 207)

**Forest Botanical Products.**—Fees are assessed based on the fair market value for the sale of forest botanical products. The fees cover the costs of analyzing, granting, modifying, and monitoring the harvest of these products; the determination of sustainable harvest levels; and the establishment of personal use levels for which fees would not be collected (16 U.S.C. 528 note).

**Midwin National Tallgrass Prairie funds.**—Funds collected through user and rental fees can be used as follows:

**Midwin National Tallgrass Prairie Rental Fees.**—Monies received under a special use authorization are available to cover the cost to the United States of prairie improvement work at the Midwin National Tallgrass Prairie (Public Law 104–106, Div. B, [Title XXIX, sec. 2915 (b), (c)], Feb. 10, 1996, 110 Stat. 601).

**Midwin National Tallgrass Prairie Restoration Fund.**—Monies received from user fees and the salvage value proceeds from sale of any facilities and improvements are available to cover the costs of restoration and administrative activities. (Public Law 104–106, Div. B, [Title XXIX, sec. 2915 (d), (e), and (f)], Feb. 10, 1996, 110 Stat. 601).

**Operation and Maintenance of Quarters.**—Quarters rental deductions are collected from employees occupying Forest Service housing facilities. Amounts are deposited into a special fund and are available for the maintenance and operation of employee-occupied quarters (5 U.S.C. 5911).

**Land between the Lakes Management Fund.**—Under the Land between the Lakes Protection Act of 1998, all amounts received from charges, user fees and natural resource use on the Land between the Lakes National Recreation Area (LBLNRA) are deposited into this fund. The funds are available for the management of the LBLNRA, including payments, salaries, and expenses (16 U.S.C. 4601ll-24) (P.L. 105–277, div. A, Sec. 101(e) [title V, Sec. 524], Oct. 21, 1998, 112 Stat. 2681–315).

**Valles Caldera Fund.**—Funds authorized under the Valles Caldera Preservation Act (Public Law 106–248) are available

without further appropriation for any purpose consistent with the purposes of the Act. All monies received from donations under subsection (g) or from the management of the Preserve are available for the administration, preservation, restoration, operation and maintenance, improvement, repair, and related expenses incurred with respect to properties under its management jurisdiction (16 U.S.C. 698v-4, 698v-6).

*Administration of Rights-of-Way (Cost Recovery Lands Minor Projects) and Other Land Uses.*—Fees collected from applicants and holders of special use authorizations are available to pay for processing applications and monitoring compliance with special use authorizations. Flat fees are assessed for permits that require less than 50 hours of agency time to process or monitor. (31 U.S.C. 9701; 43 U.S.C. 1764(g); 30 U.S.C. 815(1); P.L. 82137; P.L. 66146; P.L. 94579; 113 Stat. 1501A-196197 as amended by 118 Stat. 3105; 119 Stat. 555 and P.L. 110161; 16 U.S.C. 46016d; 117 Stat. 294297). In addition to the Cost Recovery Lands Minor Projects, this fund also includes:

*Commercial Filming.*—The Act of May 26, 2000 permits the collection and use of fees from commercial filming and still photography permits. (16 U.S.C. 4601-6d) (P. L. 106–206).

*Organizational Camps.*—The National Forest Organizational Camp Fee Improvement Act of 2003 permits the collection of land use fees from organizational camps located on national forests. (16 U.S.C. 6231 et seq.) (P.L. 108–7).

*Administration of Rights-of-Way Reimbursable Program (Cost Recovery Lands Major Projects).*—See description of Administration of Rights-of-Way above. (31 U.S.C. 9701; 43 U.S.C. 1764(g); 30 U.S.C. 815(1); P.L. 82137; P.L. 66146; P.L. 94579; 113 Stat. 1501A-196197 as amended by 118 Stat. 3105; 119 Stat. 555 and P.L. 110161; 16 U.S.C. 46016d; 117 Stat. 294297).

*Payments to States.*—The Secure Rural Schools and Community Self-Determination Act of 2000 (SRS Act), P.L. 106–393, was enacted to provide for transitional assistance to rural counties affected by the decline in revenue from timber harvests on federal lands. The last payment authorized under P.L. 106–393 was for 2006. Section 601 of Division C of P.L. 110–343 amended and reauthorized the SRS Act (P.L. 106–393) through 2011, and P.L. 112–141 reauthorized the program through 2012. The SRS Act, as amended, retains the original title. The amended SRS Act was similar to P.L. 106–393, although it is structured to phase out payments. The amended Act is no longer authorized at the end of 2012 with the last payment being issued in 2013.

If the SRS Act is not reauthorized, States will revert to the Payments to States Act of 1908, as amended (16 U.S.C. 500). This Act requires with a few exceptions, that 25 percent of all monies received from the national forests and deposited into the National Forest Fund during a fiscal year from timber, grazing, special-use permits, power and mineral leases, and admission and user fees be paid to the States in which the national forests are located, for public schools and public roads in the county or counties in which the national forests are situated.

The Budget reflects a five-year reauthorization, starting in 2013, of the Secure Rural Schools Act with funding through mandatory appropriations. This SRS proposal revises the allocation split between the three portions of the program from the current authority emphasizing enhancement of forest ecosystems, restoration and improvement of land health and water quality and the increase of economic activity. The 2014 payment is proposed to be \$278 million.

*Payment to Minnesota.*—At the close of each fiscal year, the State of Minnesota is paid 0.75 percent of the appraised value of certain Superior National Forest lands in the counties of Cook

Lake and St. Louis for distribution to these counties (16 U.S.C. 577g).

*Payments to Counties, National Grasslands.*—This program provides an annual payment to counties in which Title III-Bankhead-Jones Acquired Lands are located for funding public schools and roads. Of the net revenues for use of Title III Bankhead-Jones Farm Tenant Act lands, 25 percent is paid to the counties in which such lands are located for public school and road purposes (7 U.S.C. 1012).

*Roads and Trails (10 Percent) Fund.*—Under this fund, 10 percent of all national forest receipts are used by the Forest Service to repair or reconstruct roads, bridges, and trails on NFS lands. Funds are directed towards correcting road and trail deficiencies that adversely affect ecosystems. Beginning in 1999, the authority was expanded to allow the use of funds for the purpose of improving forest health conditions, and repairing or reconstructing roads and bridges on NFS lands in the wildland-urban interface where there is an abnormally high risk of fire. (16 U.S.C. 501). Refer to the Capital Improvement and Maintenance appropriation for details of activities funded for roads and trails. Since 2008, Congress directed that funds becoming available under the Act of March 4, 1913, be transferred to Treasury.

*Licensee Program.*—Funds from fees for the use of characters by private enterprises are collected under regulations promulgated by the Secretary. Funds collected and used annually are less than \$1 million. The licensee program includes the following:

*Smokey Bear.*—For furthering the nationwide forest fire prevention campaign (16 U.S.C. 580p(2)).

*Woody Owl.*—For promoting wise use of the environment and programs which foster maintenance and improvement of environmental quality (16 U.S.C. 580p(1)).

*Quinault Special Management Area.*—The Act of November 8, 1988 assigns responsibility to the Forest Service for managing the natural resources and distributing proceeds from the sale of forest products in the Quinault Special Management Area of the Olympic National Forest. Receipts from use of this land are divided between the State of Washington (45 percent), the Quinault Tribe (45 percent) and the Quinault Special Management Area fund (10 percent) for use by the Olympic National Forester to administer future timber sales. Funds collected and used annually are less than \$1 million (P.L. 100–638) (102 Stat. 3327).

*Hardwood Technology Transfer and Applied Research Fund.*—The Hardwood Technology Transfer and Applied Research fund allows for funds to be collected from leasing the Wood Education and Research Center (WERC) wood shop and rough mill under a special use permit. These funds are available for the management and operation of the WERC and the payment of salaries and expenses. Funds collected and used annually are less than \$1 million (P.L. 106–113, div. B, 1000(a)(3) [Title III, 332], Nov. 29, 1999, 113 Stat. 1535, 1501A197).

Object Classification (in millions of dollars)

Identification code 12–9921–0–2–999	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	44	44	42
11.3 Other than full-time permanent .....	12	12	12
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	60	60	58
12.1 Civilian personnel benefits .....	17	17	16
13.0 Benefits for former personnel .....	2	2	1
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	48	51	19

FOREST SERVICE PERMANENT APPROPRIATIONS—Continued  
Object Classification—Continued

Identification code 12-9921-0-2-999	2012 actual	2013 CR	2014 est.
25.3 Other goods and services from Federal sources .....	8	9	4
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	8	8	8
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	362	376	144
42.0 Insurance claims and indemnities .....	2	2	1
99.0 Direct obligations .....	518	536	262
99.0 Reimbursable obligations .....	5	8	8
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	524	544	270

Employment Summary

Identification code 12-9921-0-2-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,243	1,400	1,182
2001 Reimbursable civilian full-time equivalent employment .....	48	68	68

FOREST SERVICE PERMANENT APPROPRIATIONS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 12-9921-4-2-999	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation (SRS Warrant) .....			196
1201 Appropriation (special or trust fund) .....			61
1230 Appropriations and/or unobligated balance of appropriations permanently reduced (Purchaser Elect pay for Stewardship) .....			-9
1260 Appropriations, mandatory (total) .....			248
1930 Total budgetary resources available .....			248
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			248
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			-211
3050 Unpaid obligations, end of year .....			-211
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			-211
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			248
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			211
4180 Budget authority, net (total) .....			248
4190 Outlays, net (total) .....			211

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 12-4605-0-4-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Working capital fund .....	237	245	245
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	134	142	142
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	135	142	142
<b>Budget authority:</b>			
1700 Spending authority from offsetting collections, discretionary: Collected .....	243	245	245

1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	244	245	245
1930 Total budgetary resources available .....	379	387	387
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	142	142	142
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	45	45	18
3010 Obligations incurred, unexpired accounts .....	237	245	245
3020 Outlays (gross) .....	-236	-272	-256
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	45	18	7
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	44	43	16
3200 Obligated balance, end of year .....	43	16	5
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	244	245	245
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	197	208	208
4011 Outlays from discretionary balances .....	39	64	48
4020 Outlays, gross (total) .....	236	272	256
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-241	-243	-243
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) ...	-243	-245	-245
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4080 Outlays, net (discretionary) .....	-7	27	11
4190 Outlays, net (total) .....	-7	27	11

The Working Capital Fund is a self-sustaining revolving fund that provides services to national forests, to research experiment stations, to other Federal agencies when necessary, to State and private agencies as provided by law, and to persons who cooperate with the Forest Service in fire control and other authorized programs. Forestry-related supply and support services include:

*Equipment Services.*—The Fund owns, operates, maintains, replaces, and repairs common-use, motor-driven, and similar equipment. This equipment is rented to administrative units, that is, national forests, research experiment stations, and other units, and, in some cases, to other agencies, at rates which recover the cost of operation, repair and maintenance, management, and depreciation. The rates also include an increment which provides additional cash which, when added to depreciation earnings and the residual value of equipment, provides sufficient funds to replace the equipment. The Budget includes Forest Service implementation, in conjunction with the General Services Administration, of a vehicle allocation methodology that analyzes fleet vehicle effectiveness, cost to serve, life cycle costs, vehicle pooling, procurement practices, and reduction of operating costs.

*Aircraft Services.*—The Fund operates, maintains, and repairs Forest Service-owned aircraft used in fire surveillance and suppression and in other Forest Service programs. The aircraft are rented to national forests, research experiment stations, and in some cases to other agencies, at rates which recover the cost of depreciation, operation, maintenance, repair, and improvements in the airworthiness of the aircraft. Aircraft replacement costs are financed from either appropriated funds or the Forest Service Working Capital Fund, or a combination of both.

*Supply Services.*—The fund operates the following common services, and provides for cost-recovery of Working Capital Fund Program Management: photo reproduction laboratories that

store, reproduce, and supply aerial photographs, aerial maps, and other photographs of national forest lands. Photographic reproductions are sold to national forests, research experiment stations, and others at cost. Sign shops that manufacture and supply special signs for the national forests for use in regulating traffic and as information to the public and other users of the national forests. Signs are sold to national forests and research experiment stations at cost.

**Nurseries.**—The fund operates seed supply services that provide tree seeds for direct seeding or sowing in nurseries for the production of trees. Activities include purchase or collection of cones, extraction of seeds, cleaning and testing, and storage and delivery. The fund operates in conjunction with forest tree nurseries and cold storage facilities for storage of tree seedlings. Tree seedlings are sold to national forests, State foresters, and other cooperators at cost.

**Object Classification** (in millions of dollars)

Identification code 12-4605-0-4-302	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	37	37	37
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	42	42	42
12.1 Civilian personnel benefits .....	13	13	13
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	12	14	14
25.3 Other goods and services from Federal sources .....	3	3	3
25.7 Operation and maintenance of equipment .....	18	19	19
26.0 Supplies and materials .....	72	75	75
31.0 Equipment .....	70	72	72
99.9 Total new obligations .....	237	245	245

**Employment Summary**

Identification code 12-4605-0-4-302	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	658	658	658

**Trust Funds**

**FOREST SERVICE TRUST FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 12-9974-0-7-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0200 Transfers from General Fund of Amounts Equal to Certain Customs Duties, Reforestation Trust Fund .....	30	30	30
0220 Forest Service Cooperative Fund .....	72	73	77
0240 General Fund Payment from Wildland Fire Management, Forest Service Cooperative Fund .....		160	
0299 Total receipts and collections .....	102	263	107
0400 Total: Balances and collections .....	102	263	107
<b>Appropriations:</b>			
0500 Forest Service Trust Funds .....	-102	-103	-107
0501 Forest Service Trust Funds .....		-160	
0599 Total appropriations .....	-102	-263	-107
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 12-9974-0-7-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Cooperative work trust fund (8028 - CWKV/K2) .....	219	74	70
0002 Cooperative work advance payments (8028 - CWF2) .....	12	14	18
0003 Reforestation trust fund (8046 - RTRT) .....	29	30	30
0799 Total direct obligations .....	260	118	118
0801 Reimbursable program-coop work other (8028 - CWFS) .....	27	60	60
0900 Total new obligations .....	287	178	178
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	353	194	335
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	354	194	335
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	102	103	107
1201 Appropriation (General Fund Repayment from Wildfire Management) .....		160	
1260 Appropriations, mandatory (total) .....	102	263	107
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected (CWFS) .....	25	56	56
1850 Spending auth from offsetting collections, mand (total) .....	25	56	56
1900 Budget authority (total) .....	127	319	163
1930 Total budgetary resources available .....	481	513	498
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	194	335	320
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	41	
3010 Obligations incurred, unexpired accounts .....	287	178	178
3020 Outlays (gross) .....	-287	-219	-178
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	41		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	42	41	
3200 Obligated balance, end of year .....	41		
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	127	319	163
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	39	177	99
4101 Outlays from mandatory balances .....	248	42	79
4110 Outlays, gross (total) .....	287	219	178
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-25	-56	-56
4180 Budget authority, net (total) .....	102	263	107
4190 Outlays, net (total) .....	262	163	122
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....			6
5001 Total investments, EOY: Federal securities: Par value .....		6	6

**Cooperative Work Trust Fund-Knutson Vandenberg.**—Funds, including deposits from purchasers of timber, are received and used for specified work in forest investigations, protection, and improvement of the National Forest System, including protection, reforestation, and administration of private lands adjacent to National Forests (7 U.S.C. 2269; 16 U.S.C. 498, 535, 572, 572a, 576b, 1643; and 31 U.S.C. 1321).

**Cooperative Work Trust Fund-Advanced Payments (Non-Agreement Based).**—This fund is used to collect deposits received from partners and cooperators for protecting and improving resources of the National Forest System as authorized by permits or sale contracts. Under this fund deposits from multiple contributors can be pooled; the majority of these pooled collections are not subject to refunds, in accordance with the provisions of the respective authorizing instrument. Deposit funds support a wide variety of activities that benefit and support programs in Forest

FOREST SERVICE TRUST FUNDS—Continued

and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 572 and 31 U.S.C. 1321.

**Reforestation Trust Fund.**—Amounts from this account are used for reforestation and timber stand improvement (16 U.S.C. 1606a(d)).

**Cooperative Work Trust Fund-Reimbursable Program (Agreement Based).**—This fund is used to collect deposits received from partners and cooperators for protecting and improving resources of the National Forest System as authorized by cooperative agreements. Deposit funds support a wide variety of activities that benefit and support programs in Forest and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 498, 16 U.S.C. 532–537, and 31 U.S.C. 1321.

**Land between the Lakes Trust Fund.**—Interest earned from funds transferred by the Tennessee Valley Authority is available for public education, grants, recreation internships, conservation and multiple-use management of the Land between the Lakes. Funds collected and used annually are less than \$1 million (16 U.S.C. 460lll-31).

Object Classification (in millions of dollars)

Identification code 12–9974–0–7–302	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	36	44	44
11.3 Other than full-time permanent .....	3	4	4
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	41	50	50
12.1 Civilian personnel benefits .....	13	16	16
13.0 Benefits for former personnel .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	1	1
25.2 Other services from non-Federal sources .....	186	40	40
25.3 Other goods and services from Federal sources .....	8	4	4
26.0 Supplies and materials .....	5	2	2
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	260	118	118
99.0 Reimbursable obligations .....	25	60	60
99.5 Below reporting threshold .....	2	.....	.....
99.9 Total new obligations .....	287	178	178

Employment Summary

Identification code 12–9974–0–7–302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	718	872	872
2001 Reimbursable civilian full-time equivalent employment .....	176	308	308

ADMINISTRATIVE PROVISIONS, FOREST SERVICE

(INCLUDING TRANSFERS OF FUNDS)

Appropriations to the Forest Service for the current fiscal year shall be available for: (1) purchase of passenger motor vehicles; acquisition of passenger motor vehicles from excess sources, and hire of such vehicles; purchase, lease, operation, maintenance, and acquisition of aircraft to maintain the operable fleet for use in Forest Service wildland fire programs and other Forest Service programs; notwithstanding other provisions of law, existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft; (2) services pursuant to 7 U.S.C. 2225, and not to exceed \$100,000 for employment under 5 U.S.C. 3109; (3) purchase, erection, and alteration of buildings and other public improvements (7 U.S.C. 2250); (4) acquisition of land, waters, and interests therein pursuant to 7 U.S.C. 428a; (5) for

expenses pursuant to the Volunteers in the National Forest Act of 1972 (16 U.S.C. 558a, 558d, and 558a note); (6) the cost of uniforms as authorized by 5 U.S.C. 5901–5902; and (7) for debt collection contracts in accordance with 31 U.S.C. 3718(c).

Any appropriations or funds available to the Forest Service may be transferred to the Wildland Fire Management appropriation for forest firefighting, emergency rehabilitation of burned-over or damaged lands or waters under its jurisdiction, and fire preparedness due to severe burning conditions upon the Secretary's notification of the House and Senate Committees on Appropriations that all fire suppression funds appropriated under the headings "Wildland Fire Management" and "FLAME Wildfire Suppression Reserve Fund" will be obligated within 30 days.

Funds appropriated to the Forest Service shall be available for assistance to or through the Agency for International Development in connection with forest and rangeland research, technical information, and assistance in foreign countries, and shall be available to support forestry and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with U.S., private, and international organizations. The Forest Service, acting for the International Program, may sign direct funding agreements with foreign governments and institutions as well as other domestic agencies (including the U.S. Agency for International Development, the Department of State, and the Millennium Challenge Corporation), U.S. private sector firms, institutions and organizations to provide technical assistance and training programs overseas on forestry and rangeland management.

None of the funds made available to the Forest Service in this Act or any other Act with respect to any fiscal year shall be subject to transfer under the provisions of section 702(b) of the Department of Agriculture Organic Act of 1944 (7 U.S.C. 2257), section 442 of Public Law 106–224 (7 U.S.C. 7772), or section 10417(b) of Public Law 107–107 (7 U.S.C. 8316(b)).

Not more than \$82,000,000 of funds available to the Forest Service shall be transferred to the Working Capital Fund of the Department of Agriculture and not more than \$14,500,000 of funds available to the Forest Service shall be transferred to the Department of Agriculture for Department Reimbursable Programs, commonly referred to as Greenbook charges. Nothing in this paragraph shall prohibit or limit the use of reimbursable agreements requested by the Forest Service in order to obtain services from the Department of Agriculture's National Information Technology Center. Nothing in this paragraph shall limit the Forest Service portion of implementation costs to be paid to the Department of Agriculture for the Financial Management Modernization Initiative.

Funds available to the Forest Service shall be available for priority projects within the scope of the approved budget, which shall be carried out by the Youth Conservation Corps and shall be carried out under the authority of the Public Lands Corps Act of 1993, Public Law 103–82, as amended by Public Lands Corps Healthy Forests Restoration Act of 2005, Public Law 109–154.

Of the funds available to the Forest Service, \$4,000 is available to the Chief of the Forest Service for official reception and representation expenses.

Pursuant to sections 405(b) and 410(b) of Public Law 101–593, of the funds available to the Forest Service, up to \$3,000,000 may be advanced in a lump sum to the National Forest Foundation to aid conservation partnership projects in support of the Forest Service mission, without regard to when the Foundation incurs expenses, for projects on or benefitting National Forest System lands or related to Forest Service programs: Provided, That of the Federal funds made available to the Foundation, no more than \$300,000 shall be available for administrative expenses: Provided further, That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match on at least one-for-one basis funds made available by the Forest Service: Provided further, That the Foundation may transfer Federal funds to a Federal or a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds: Provided further, That authorized investments of Federal funds held by the Foundation may be made only in interest-bearing obligations of the United States or in obligations guaranteed as to both principal and interest by the United States.

Pursuant to section 2(b)(2) of Public Law 98–244, up to \$3,000,000 of the funds available to the Forest Service may be advanced to the National Fish and Wildlife Foundation in a lump sum to aid cost-share conservation

projects, without regard to when expenses are incurred, on or benefitting National Forest System lands or related to Forest Service programs: Provided, That such funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients: Provided further, That the Foundation may transfer Federal funds to a Federal or non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Funds appropriated to the Forest Service shall be available for interactions with and providing technical assistance to rural communities and natural resource-based businesses for sustainable rural development purposes.

Funds appropriated to the Forest Service shall be available for payments to counties within the Columbia River Gorge National Scenic Area, pursuant to section 14(c)(1) and (2), and section 16(a)(2) of Public Law 99-663.

Any funds appropriated to the Forest Service may be used to meet the non-Federal share requirement in section 502(c) of the Older Americans Act of 1965 (42 U.S.C. 3056(c)(2)).

Funds available to the Forest Service, not to exceed \$55,000,000, shall be assessed for the purpose of performing fire, administrative and other facilities maintenance and decommissioning. Such assessments shall occur using a square foot rate charged on the same basis the agency uses to assess programs for payment of rent, utilities, and other support services.

Notwithstanding any other provision of law, any appropriations or funds available to the Forest Service not to exceed \$500,000 may be used to reimburse the Office of the General Counsel (OGC), Department of Agriculture, for travel and related expenses incurred as a result of OGC assistance or participation requested by the Forest Service at meetings, training sessions, management reviews, land purchase negotiations and similar non-litigation-related matters. Future budget justifications for both the Forest Service and the Department of Agriculture should clearly display the sums previously transferred and the requested funding transfers.

An eligible individual who is employed in any project funded under title V of the Older Americans Act of 1965 (42 U.S.C. 3056 et seq.) and administered by the Forest Service shall be considered to be a Federal employee for purposes of chapter 171 of title 28, United States Code.

The 19th unnumbered paragraph under the heading "Administrative Provisions, Forest Service" in title III of the Department of the Interior, Environment, and Related Agencies Appropriations Act, 2006, Public Law 109-54, as amended, is amended by striking "2014" and inserting "2019".

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
12-181100 National Grasslands .....		76	60
12-222100 National Forest Fund .....	18	12	62
Legislative proposal, subject to PAYGO .....			-62
12-267530 Biorefinery Assistance, Downward Reestimates of Subsidies .....		35	
12-270110 Agriculture Credit Insurance, Negative Subsidies .....	1	3	6
12-270130 Agriculture Credit Insurance, Downward Reestimates of Subsidies .....	208	202	
12-270210 Rural Electrification and Telephone Loans, Negative Subsidies .....	78	195	235
12-270230 Rural Electrification and Telephone Loans, Downward Reestimates of Subsidies .....	507	379	
12-270330 Rural Water and Waste Disposal, Downward Reestimates of Subsidies .....	126	274	
12-270510 Rural Community Facility, Negative Subsidies .....	3	16	41
12-270530 Rural Community Facility, Downward Reestimates of Subsidies .....	132	68	
12-270610 Rural Housing Insurance, Negative Subsidies .....	12	52	42
12-270630 Rural Housing Insurance, Downward Reestimates of Subsidies .....	68	36	
12-270730 Rural Business and Industry, Downward Reestimates of Subsidies .....	40	9	
12-270830 P.L. 480 Loan Program, Downward Reestimates of Subsidies .....	10	9	
12-271030 Rural Development Loans, Downward Reestimates of Subsidies .....	5	4	
12-271130 Rural Telephone Bank Loans, Downward Reestimates of Subsidies .....	2	1	
12-271330 Economic Development Loans, Downward Reestimates of Subsidies .....	1	1	

12-274630 Downward Reestimates, Distance Learning, Telemedicine, and Broadband Program .....	42	19	
12-275430 Apple Loan Program, Downward Reestimates of Subsidies .....	4		
12-275610 Negative Subsidies, Farm Storage Facility Loans .....	4	4	7
12-275630 Farm Storage Facility Loans, Downward Reestimate of Subsidies .....	14	26	
12-275730 Commodity Credit Corporation Export Guarantee Financing, Downward Reestimate of Subsidies .....	7	27	
12-277930 Multifamily Housing Revitalization Fund, Downward Reestimates of Subsidies .....	11	3	
12-278630 Rural Energy for America Program, Downward Reestimates of Subsidies .....		5	
12-279310 Commodity Credit Corporation Export Guarantee Financing, Negative Subsidies .....	34	55	59
12-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	11	5	5
General Fund Offsetting receipts from the public .....	1,338	1,516	455
Intragovernmental payments:			
12-388600 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-24		
General Fund Intragovernmental payments .....	-24		

**TITLE VII—GENERAL PROVISIONS**

(INCLUDING CANCELLATIONS AND TRANSFERS OF FUNDS)

SEC. 701. Within the unit limit of cost fixed by law, appropriations and authorizations made for the Department of Agriculture for the current fiscal year under this Act shall be available for the purchase, in addition to those specifically provided for, of not to exceed 69 passenger motor vehicles of which 69 shall be for replacement only, and for the hire of such vehicles.

SEC. 702. The Secretary of Agriculture may transfer unobligated balances of discretionary funds appropriated by this Act or other available unobligated discretionary balances of the Department of Agriculture to the Working Capital Fund for the acquisition of plant and capital equipment necessary for the delivery of financial, administrative, and information technology services of primary benefit to the agencies of the Department of Agriculture: Provided, That none of the funds made available by this Act or any other Act shall be transferred to the Working Capital Fund without the prior notification to the agency administrator: Provided further, That none of the funds transferred to the Working Capital Fund pursuant to this section shall be available for obligation without the prior notification to the Committees on Appropriations of both Houses of Congress: Provided further, That of annual income amounts in the Working Capital Fund of the Department of Agriculture allocated for the National Finance Center, the Secretary may reserve not more than 4 percent for the replacement or acquisition of capital equipment, including equipment for the improvement and implementation of a financial management plan, information technology, and other systems of the National Finance Center or to pay any unforeseen, extraordinary cost of the National Finance Center: Provided further, That none of the amounts reserved shall be available for obligation unless the Secretary submits notification of the obligation to the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That the limitation on the obligation of funds pending notification to Congressional Committees shall not apply to any obligation that, as determined by the Secretary, is necessary to respond to a declared state of emergency that significantly impacts the operations of the National Finance Center; or to evacuate employees of the National Finance Center to a safe haven to continue operations of the National Finance Center.

SEC. 703. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 704. No funds appropriated by this Act may be used to pay negotiated indirect cost rates on cooperative agreements or similar arrangements between the United States Department of Agriculture and nonprofit institutions in excess of 10 percent of the total direct cost of the agreement when the purpose of such cooperative arrangements is to carry out programs of mutual interest between the two parties. This does not preclude appropriate payment of indirect costs on grants and contracts with such institutions when such indirect costs are computed on a similar basis for all agencies for which appropriations are provided in this Act.

SEC. 705. Appropriations to the Department of Agriculture for the cost of direct and guaranteed loans made available in the current fiscal year shall remain available until expended to disburse obligations made in the current fiscal year for the following accounts: the Rural Development Loan Fund program account, the Rural Electrification and Telecommunication Loans program account, and the Rural Housing Insurance Fund program account.

SEC. 706. None of the funds appropriated by this Act may be used to carry out section 410 of the Federal Meat Inspection Act (21 U.S.C. 679a) or section 30 of the Poultry Products Inspection Act (21 U.S.C. 471).

SEC. 707. None of the funds made available to the Department of Agriculture by this Act may be used to acquire new information technology systems or significant upgrades, as determined by the Office of the Chief Information Officer, without the approval of the Chief Information Officer and the concurrence of the Executive Information Technology Investment Review Board: Provided, That notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this Act may be transferred to the Office of the Chief Information Officer unless notification has been transmitted to the Committees on Appropriations of both Houses of Congress: Provided further, That none of the funds available to the Department of Agriculture for information technology shall be obligated for projects over \$25,000 prior to receipt of written approval by the Chief Information Officer.

SEC. 708. Funds made available under section 1240I and section 1241(a) of the Food Security Act of 1985 and section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)) in the current fiscal year shall remain available until expended to disburse obligations made in the current fiscal year.

SEC. 709. Notwithstanding any other provision of law, any former RUS borrower that has repaid or prepaid an insured, direct or guaranteed loan under the Rural Electrification Act of 1936, or any not-for-profit utility that is eligible to receive an insured or direct loan under such Act, shall be eligible for assistance under section 313(b)(2)(B) of such Act in the same manner as a borrower under such Act.

SEC. 710. Notwithstanding any other provision of law, for the purposes of a grant under section 412 of the Agricultural Research, Extension, and Education Reform Act of 1998, none of the funds in this or any other Act may be used to prohibit the provision of in-kind support from non-Federal sources under section 412(e)(3) of such Act in the form of unrecovered indirect costs not otherwise charged against the grant, consistent with the indirect rate of cost approved for a recipient.

SEC. 711. Except as otherwise specifically provided by law, unobligated balances remaining available at the end of the fiscal year from appropriations made available for salaries and expenses in this Act for the Farm Service Agency and the Rural Development mission area, shall remain available through September 30, 2015, for information technology expenses.

SEC. 712. The Secretary of Agriculture may authorize a State agency to use funds provided in this Act to exceed the maximum amount of liquid infant formula specified in 7 CFR 246.10 when issuing liquid infant formula to participants.

SEC. 713. None of the funds appropriated or otherwise made available by this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

SEC. 714. (a) In the case of each program established or amended by the Food, Conservation, and Energy Act of 2008 (Public Law 110–246), other than by title I or subtitle A of title III of such Act, that is authorized or required to be carried out using funds of the Commodity Credit Corporation—

(1) such funds shall be available for salaries and related administrative expenses, including technical assistance, associated with the implementation of the program, without regard to the limitation on the total amount of allotments and fund transfers contained in section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i); and

(2) the use of such funds for such purpose shall not be considered to be a fund transfer or allotment for purposes of applying the limitation on the total amount of allotments and fund transfers contained in such section.

(b) The authority provided by this section shall apply without limitation to programs that receive definite budget authority.

(c) Up to a total of \$50,000,000 may be used to carry out this section for programs that receive permanent indefinite authority.

SEC. 715. Sections 412(e)(1) and 501(d) of the Food for Peace Act (7 U.S.C. 1736f(e)(1) and 7 U.S.C. 1737(d), respectively), are amended by striking "amounts made available" and inserting "amounts appropriated".

SEC. 716. Of the funds made available by this Act, not more than \$2,900,000 shall be used to cover necessary expenses of activities related to all advisory committees, panels, commissions, and task forces of the Department of Agriculture, except for panels used to comply with negotiated rule makings and panels used to evaluate competitively awarded grants.

SEC. 717. None of the funds in this Act shall be available to pay indirect costs charged against any agricultural research, education, or extension grant awards issued by the National Institute of Food and Agriculture that exceed 30 percent of total Federal funds provided under each award: Provided, That notwithstanding section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), funds provided by this Act for grants awarded competitively by the National Institute of Food and Agriculture shall be available to pay full allowable indirect costs for each grant awarded under section 9 of the Small Business Act (15 U.S.C. 638).

SEC. 718. The Secretary may reserve, through June 30, 2014, up to 5 percent of the funding available for the following items for projects in areas that are engaged in strategic regional development planning as defined by the Secretary: business and industry guaranteed loans; rural development loan fund; rural business and cooperative grants; rural economic development program; rural microenterprise program; rural energy for America program; value-added producer grants; broadband program; water and waste program; and rural community facilities program.

SEC. 719. Notwithstanding section 310B(g)(5) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932(g)(5)), the Secretary may assess a one-time fee for any guaranteed business and industry loan in an amount that does not exceed 3 percent of the guaranteed principal portion of the loan.

SEC. 720. None of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries and expenses of personnel to carry out the following: (a) a Conservation Stewardship Program as authorized by Chapter 2 of subtitle D of title XII of the Food Security Act of 1985, as amended (16 U.S.C. 3838d–3838i), to enroll in excess of 11,991,222 acres in the fiscal year 2014. Such program shall be permanently reduced by 777,778 acres; (b) an Agricultural Management Assistance Program as authorized by section 524 of the Federal Crop Insurance Act, as amended (7 U.S.C. 1524), in excess of \$2,500,000 for the Natural Resources Conservation Service. Funds exceeding this amount for fiscal year 2014 are hereby permanently cancelled; (c) an Environmental Quality Incentives Program as authorized by sections 1240–1240H of the Food Security Act of 1985, as amended (16 U.S.C. 3839aa–3839aa(8)), in excess of \$1,350,000,000. Funds exceeding this amount for fiscal year 2014 are hereby permanently cancelled; (d) a program authorized by section 14(h)(1) of the Watershed Protection and Flood Prevention Act (16 U.S.C. 1012(h)(1)). Of the funds available under such section for fiscal year 2014, \$165,000,000 are hereby permanently cancelled; (e) a Wildlife Habitat Incentives Program established under section 1240N of the Food Security Act of 1985, as amended (16 U.S.C. 3839bb–1), in excess of \$45,000,000. Funds exceeding this amount for fiscal year 2014 are hereby permanently cancelled; (f) a Farmland Protection Program as authorized by section 1238I of the Food Security Act of 1985 (16 U.S.C. 3838i) in excess of \$150,000,000. Funds exceeding this amount for fiscal year 2014 are hereby permanently cancelled.

SEC. 721. In addition to amounts otherwise made available by this Act, there is appropriated from the Commodity Credit Corporation to implement the Voluntary Public Access and Habitat Incentive Program (16 U.S.C. 3839bb–5), \$5,000,000, to remain available until expended.

SEC. 722. Of the unobligated balances in the Natural Resources Conservation Service, Resource Conservation and Development account, \$2,017,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 723. In carrying out subsection (h) of section 502 of the Housing Act of 1949, the Secretary may use the authority described in subsections (h) and (j) of section 538 of such Act.

SEC. 724. None of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries and expenses of personnel to carry out a program under subsection (b)(2)(A)(vi) of section 14222 of Public Law 110–246 in excess of \$981,000,000: Provided, That none of the funds made available in this or any other Act shall be used for salaries and expenses to carry out in this fiscal year section 19(i)(1)(E) of the Richard B. Russell National School Lunch Act, as amended, except in an amount that excludes the transfer of \$119,000,000 of the funds to be transferred under subsection (c) of section 14222 of Public Law 110–246, until October 1, 2014: Provided further, That \$119,000,000 made avail-

able October 1, 2014, to carry out Section 19(i)(1)(E) of the Richard B. Russell National School Lunch Act, as amended, shall be excluded from the limitation described in subsection (b)(2)(A)(vii) of section 14222 of Public Law 110–246: Provided further, That of the available unobligated balances under (b)(2)(A)(vi) of section 14222 of Public Law 110–246, \$166,000,000 are hereby permanently cancelled.

SEC. 725. None of the funds made available in this Act may be used to pay the salaries or expenses of personnel to—(1) inspect horses under section 3 of the Federal Meat Inspection Act (21 U.S.C. 603); (2) inspect horses under section 903 of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 1901 note; Public Law 104127); or (3) implement or enforce section 352.19 of title 9, Code of Federal Regulations.



# DEPARTMENT OF COMMERCE

## DEPARTMENTAL MANAGEMENT

### Federal Funds

#### SALARIES AND EXPENSES

*For expenses necessary for the departmental management of the Department of Commerce provided for by law, including not to exceed \$4,500 for official reception and representation, \$59,595,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 13–0120–0–1–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Executive direction .....	35	33	34
0002 Departmental staff services .....	24	24	26
0799 Total direct obligations .....	59	57	60
0801 Reimbursable program .....	78	95	98
0900 Total new obligations .....	137	152	158
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	
1011 Unobligated balance transfer from other accts [72–0306] ...	3		
1011 Unobligated balance transfer from other accts [72–1037] ...	1		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	6	2	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	57	57	60
1160 Appropriation, discretionary (total) .....	57	57	60
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	60	93	98
1701 Change in uncollected payments, Federal sources .....	17		
1750 Spending auth from offsetting collections, disc (total) .....	77	93	98
1900 Budget authority (total) .....	134	150	158
1930 Total budgetary resources available .....	140	152	158
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	36	27
3010 Obligations incurred, unexpired accounts .....	137	152	158
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	–131	–161	–158
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3041 Recoveries of prior year unpaid obligations, expired .....	–7		
3050 Unpaid obligations, end of year .....	36	27	27
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–17	–22	–22
3070 Change in uncollected pymts, Fed sources, unexpired .....	–17		
3071 Change in uncollected pymts, Fed sources, expired .....	12		
3090 Uncollected pymts, Fed sources, end of year .....	–22	–22	–22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	14	5
3200 Obligated balance, end of year .....	14	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	134	150	158
Outlays, gross:			
4010 Outlays from new discretionary authority .....	108	143	151
4011 Outlays from discretionary balances .....	23	18	7
4020 Outlays, gross (total) .....	131	161	158
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–67	–93	–98
4033 Non-Federal sources .....	–1		
4040 Offsets against gross budget authority and outlays (total) ...	–68	–93	–98

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–17		
4052 Offsetting collections credited to expired accounts .....	8		
4060 Additional offsets against budget authority only (total) .....	–9		
4070 Budget authority, net (discretionary) .....	57	57	60
4080 Outlays, net (discretionary) .....	63	68	60
4180 Budget authority, net (total) .....	57	57	60
4190 Outlays, net (total) .....	63	68	60

The Salaries and Expenses account funds Executive Direction, which provides policy oversight for the Department, and Departmental Staff Services, which oversees the day-to-day operations of the Department. This Budget also includes funding for the BusinessUSA initiative.

*Reimbursable program.*—Provides a centralized collection source for special tasks or costs and their billing to users.

#### Object Classification (in millions of dollars)

Identification code 13–0120–0–1–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	21	23	23
12.1 Civilian personnel benefits .....	6	6	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	4	4	5
23.3 Communications, utilities, and miscellaneous charges .....	1	2	2
25.2 Other services from non-Federal sources .....	8	9	9
25.3 Other goods and services from Federal sources .....	17	11	13
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	59	57	60
99.0 Reimbursable obligations .....	78	95	98
99.9 Total new obligations .....	137	152	158

#### Employment Summary

Identification code 13–0120–0–1–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	151	154	157
2001 Reimbursable civilian full-time equivalent employment .....	57	56	57

#### OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), \$30,489,600.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 13–0126–0–1–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	31	29	30
0801 Reimbursable program activity .....	4	6	7
0809 Reimbursable program activities, subtotal .....	4	6	7
0900 Total new obligations .....	35	35	37
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	27	27	30
1121 Appropriations transferred from other accts [13–1460] ...	1	1	
1121 Appropriations transferred from other accts [13–0450] ...	1	1	
1160 Appropriation, discretionary (total) .....	29	29	30

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 13-0126-0-1-376	2012 actual	2013 CR	2014 est.
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4	5	5
1711 Spending authority from offsetting collections transferred from other accounts [13-1006] .....	1	1	2
1750 Spending auth from offsetting collections, disc (total) .....	5	6	7
1900 Budget authority (total) .....	34	35	37
1930 Total budgetary resources available .....	42	42	44
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	8	4
3010 Obligations incurred, unexpired accounts .....	35	35	37
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-34	-39	-37
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	8	4	4
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5	6	2
3200 Obligated balance, end of year .....	6	2	2
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	34	35	37
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	25	32	34
4011 Outlays from discretionary balances .....	9	7	3
4020 Outlays, gross (total) .....	34	39	37
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-5	-5	-5
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	30	30	32
4080 Outlays, net (discretionary) .....	29	34	32
4180 Budget authority, net (total) .....	30	30	32
4190 Outlays, net (total) .....	29	34	32

The Office of Inspector General (OIG) promotes efficient and effective programs across the Department of Commerce through various analyses of bureau and Departmental programs and activities. It also works to prevent waste, fraud and abuse through audits, inspections and investigations related to Department of Commerce programs.

Object Classification (in millions of dollars)

Identification code 13-0126-0-1-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	17	17	17
12.1 Civilian personnel benefits .....	5	4	5
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	4	3	3
99.0 Direct obligations .....	31	29	30
99.0 Reimbursable obligations .....	4	6	7
99.9 Total new obligations .....	35	35	37

Employment Summary

Identification code 13-0126-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	146	131	144
2001 Reimbursable civilian full-time equivalent employment .....	1	6	14

RENOVATION AND MODERNIZATION

For expenses necessary for the renovation and modernization of Department of Commerce facilities, \$14,803,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 13-0123-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	5	6	15
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1	1	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	5	5	15
1160 Appropriation, discretionary (total) .....	5	5	15
1930 Total budgetary resources available .....	6	6	15
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	29	2
3010 Obligations incurred, unexpired accounts .....	5	6	15
3020 Outlays (gross) .....	-9	-33	-13
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	29	2	4
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	34	29	2
3200 Obligated balance, end of year .....	29	2	4
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	5	5	15
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	4	11
4011 Outlays from discretionary balances .....	7	29	2
4020 Outlays, gross (total) .....	9	33	13
4180 Budget authority, net (total) .....	5	5	15
4190 Outlays, net (total) .....	9	33	13

This account funds the Commerce Department's portion of expenses associated with renovating and modernizing the Herbert C. Hoover Building. The renovation will upgrade infrastructure, remove safety hazards, and improve energy efficiency. The General Services Administration (GSA) and Commerce are each responsible for certain aspects of the project's costs. Project funding for both GSA and Commerce should occur simultaneously so that design, installation, furnishing and office relocations can be coordinated.

Object Classification (in millions of dollars)

Identification code 13-0123-0-1-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	1	5	14
25.3 Other goods and services from Federal sources .....	3		
99.9 Total new obligations .....	5	6	15

**Employment Summary**

Identification code 13-0123-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

25.3	Other goods and services from Federal sources .....	16	18	18
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	10	7	7
99.9	Total new obligations .....	153	160	188

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 13-4511-0-4-376	2012 actual	2013 CR	2014 est.
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**Obligations by program activity:**

0801	Departmental staff services .....	95	95	119
0802	Executive Direction .....	58	65	69
0900	Total new obligations .....	153	160	188

**Budgetary Resources:**

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	8	13	
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	10	13	

Budget authority:

Spending authority from offsetting collections, discretionary:

1700	Collected .....	157	147	188
1701	Change in uncollected payments, Federal sources .....	-1		
1750	Spending auth from offsetting collections, disc (total) .....	156	147	188
1930	Total budgetary resources available .....	166	160	188

Memorandum (non-add) entries:

1941	Unexpired unobligated balance, end of year .....	13		
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**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	40	48	
3010	Obligations incurred, unexpired accounts .....	153	160	188
3020	Outlays (gross) .....	-143	-208	-188
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	48		

Uncollected payments:

3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		

Memorandum (non-add) entries:

3100	Obligated balance, start of year .....	39	48	
3200	Obligated balance, end of year .....	48		

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	156	147	188
4010	Outlays, gross:			
4011	Outlays from new discretionary authority .....	117	147	188
4011	Outlays from discretionary balances .....	26	61	
4020	Outlays, gross (total) .....	143	208	188
4030	Offsets against gross budget authority and outlays:			
4030	Offsetting collections (collected) from:			
4030	Federal sources .....	-157	-147	-188
4050	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4080	Outlays, net (discretionary) .....	-14	61	
4190	Outlays, net (total) .....	-14	61	

This fund finances, on a reimbursable basis, Department-wide administrative functions that are more efficiently performed on a centralized basis, including general counsel, human resources, financial, procurement, and security services.

**Object Classification** (in millions of dollars)

Identification code 13-4511-0-4-376	2012 actual	2013 CR	2014 est.
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Reimbursable obligations:

11.1	Personnel compensation: Full-time permanent .....	61	64	69
12.1	Civilian personnel benefits .....	18	18	20
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	6	8	8
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	36	38	59

**Employment Summary**

Identification code 13-4511-0-4-376	2012 actual	2013 CR	2014 est.	
2001	Reimbursable civilian full-time equivalent employment .....	604	542	577

**FRANCHISE FUND**

**Program and Financing** (in millions of dollars)

Identification code 13-4564-0-4-376	2012 actual	2013 CR	2014 est.
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**Budgetary Resources:**

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	3	3	3
1930	Total budgetary resources available .....	3	3	3

Memorandum (non-add) entries:

1941	Unexpired unobligated balance, end of year .....	3	3	3
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This fund is to promote entrepreneurial business activities on a fully competitive and cost-reimbursable basis to Federal customers.

**EMERGENCY STEEL, OIL, AND GAS GUARANTEED LOAN PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 13-0122-0-1-376	2012 actual	2013 CR	2014 est.
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**Budgetary Resources:**

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	1		
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Budget authority:

Appropriations, discretionary:

1131	Unobligated balance of appropriations permanently reduced .....	-1		
1160	Appropriation, discretionary (total) .....	-1		

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	-1		
4180	Budget authority, net (total) .....	-1		

As required by the Federal Credit Reform Act of 1990, this account records the administrative expenses for this program, as well as the subsidy costs associated with the loan guarantees. For presentation purposes, data for the Emergency Oil and Gas Guaranteed Loan Program, which expired in 2001, was merged into the Steel account. The Emergency Steel Guaranteed Loan Program expired in 2011.

**Trust Funds**

GIFTS AND BEQUESTS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 13-8501-0-7-376	2012 actual	2013 CR	2014 est.
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0100	Balance, start of year .....			
0220	Receipts:			
0220	Gifts and Bequests .....	5	7	5
0400	Total: Balances and collections .....	5	7	5
0500	Appropriations:			
0500	Gifts and Bequests .....	-5	-7	-5
0799	Balance, end of year .....			

**GIFTS AND BEQUESTS—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 13–8501–0–7–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	4	8	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	5	7	5
1260 Appropriations, mandatory (total) .....	5	7	5
1930 Total budgetary resources available .....	5	8	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	2
3010 Obligations incurred, unexpired accounts .....	4	8	5
3020 Outlays (gross) .....	-4	-7	-6
3050 Unpaid obligations, end of year .....	1	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	2
3200 Obligated balance, end of year .....	1	2	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	5	7	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....		5	4
4101 Outlays from mandatory balances .....	4	2	2
4110 Outlays, gross (total) .....	4	7	6
4180 Budget authority, net (total) .....	5	7	5
4190 Outlays, net (total) .....	4	7	6

The Secretary of Commerce is authorized to accept, hold, administer, and utilize gifts and bequests of property, both real and personal, for the purpose of aiding or facilitating the work of the Department of Commerce. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest.

**Object Classification** (in millions of dollars)

Identification code 13–8501–0–7–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	2	5
25.3 Other goods and services from Federal sources .....	3	6	
99.9 Total new obligations .....	4	8	5

**Program and Financing** (in millions of dollars)

Identification code 13–0125–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	40	38	39
0801 Reimbursable program .....		1	1
0900 Total new obligations .....	40	39	40
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	38	38	39
1121 Appropriations transferred from other accts [13–2050] ....	3		
1160 Appropriation, discretionary (total) .....	41	38	39
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	42	39	40
1930 Total budgetary resources available .....	45	44	45
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	4	4
3010 Obligations incurred, unexpired accounts .....	40	39	40
3020 Outlays (gross) .....	-42	-39	-40
3050 Unpaid obligations, end of year .....	4	4	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	42	39	40
Outlays, gross:			
4010 Outlays from new discretionary authority .....	35	35	36
4011 Outlays from discretionary balances .....	7	4	4
4020 Outlays, gross (total) .....	42	39	40
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	41	38	39
4080 Outlays, net (discretionary) .....	41	38	39
4180 Budget authority, net (total) .....	41	38	39
4190 Outlays, net (total) .....	41	38	39

The administration and oversight of the Economic Development Administration's programs are carried out utilizing a network of headquarters and regional personnel who work with local organizations and leaders to identify and invest in projects that demonstrate potential for the greatest economic impact in distressed communities.

**Direct program.**—These activities include pre-application assistance and development, application processing, and project monitoring, as well as general support functions such as economic development research, technical assistance, information dissemination, legal and environmental compliance, financial management, budgeting, and debt management.

**Reimbursable program.**—EDA provides grant review and processing services to other Federal agencies on a reimbursable basis. Funds received cover the cost of performing this work.

## ECONOMIC DEVELOPMENT ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of administering the economic development assistance programs as provided for by law, \$38,913,000: Provided, That these funds may be used to monitor projects approved pursuant to title I of the Public Works Employment Act of 1976, title II of the Trade Act of 1974, and the Community Emergency Drought Relief Act of 1977.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Object Classification** (in millions of dollars)

Identification code 13-0125-0-1-452	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	20	20	21
12.1 Civilian personnel benefits .....	5	6	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	4	3	3
25.3 Other goods and services from Federal sources .....	6	5	5
99.0 Direct obligations .....	39	38	39
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	40	39	40

**Employment Summary**

Identification code 13-0125-0-1-452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	201	205	207
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS**

For grants for economic development assistance as provided by the Public Works and Economic Development Act of 1965 and for trade adjustment assistance, \$282,000,000 to remain available until expended: Provided, That, notwithstanding section 27(d)(7) of Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3722(d)(7)), amounts made available in prior appropriations Acts for the purpose of guarantying loans for science park infrastructure shall be available to enter into guarantees of such loans after September 30, 2013.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13-2050-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Planning grants .....	29	29	27
0002 Technical assistance grants .....	13	12	12
0003 Public works grants .....	129	149	71
0004 Economic adjustment grants .....	39	61	76
0005 Research Grants .....	1	1	1
0009 Trade Adjustment Assistance .....	17	16	10
0015 Regional Export Challenge .....	.....	.....	12
0016 Disaster Recovery .....	69	157	.....
0017 Investing in Manufacturing Communities .....	.....	.....	113
0799 Total direct obligations .....	297	425	322
0801 Reimbursable program .....	.....	30	30
0900 Total new obligations .....	297	455	352
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	185	21
1021 Recoveries of prior year unpaid obligations .....	34	40	40
1050 Unobligated balance (total) .....	65	225	61
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	420	221	282
1120 Appropriations transferred to other accts [13-0125] .....	-3	.....	.....
1160 Appropriation, discretionary (total) .....	417	221	282
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	30	30
1750 Spending auth from offsetting collections, disc (total) .....	.....	30	30
1900 Budget authority (total) .....	417	251	312
1930 Total budgetary resources available .....	482	476	373
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	185	21	21

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,245	1,112	1,021
3010 Obligations incurred, unexpired accounts .....	297	455	352
3020 Outlays (gross) .....	-393	-476	-390
3040 Recoveries of prior year unpaid obligations, unexpired .....	-34	-40	-40
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-30	-30
3050 Unpaid obligations, end of year .....	1,112	1,021	913
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,245	1,112	1,021
3200 Obligated balance, end of year .....	1,112	1,021	913

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	417	251	312
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	41	44
4011 Outlays from discretionary balances .....	393	435	346
4020 Outlays, gross (total) .....	393	476	390
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	.....	-30	-30
4180 Budget authority, net (total) .....	417	221	282
4190 Outlays, net (total) .....	393	446	360

**Economic Development Assistance Programs (EDAP).**—Economic Development Administration (EDA) investments are focused in six broad development assistance programs, which include: Economic Adjustment Assistance (EAA), Partnership Planning, Technical Assistance, Public Works, Research and Evaluation, and Trade Adjustment Assistance. EDA provides grants within each of these areas to generate or retain jobs, attract new industry and private sector investment, encourage business expansion, and serve as a backstop to sudden and severe economic impacts. These investments assist communities characterized by substantial and persistent unemployment levels, low income and population growth, loss of jobs, out-migration, and long-term economic deterioration. EDA works in partnership with other Federal agencies, State and local governments, regional economic development districts, public and private non-profit organizations, Native American Tribes, and Alaska Native Villages to accomplish its mission.

In 2014, EDA will continue to place funding priority on investments that drive economic growth, enhance regional competitiveness, and diversify the regional and local economy while also seeking to target funds to the Nation's most distressed communities. Specifically, EDA is focused on accelerating the transition to the 21st Century economy by supporting sustainable job growth and competitive communities throughout the United States. Under the authorities provided by the America COMPETES Reauthorization Act of 2010, the Budget includes \$113 million for the Investing in Manufacturing Communities Fund. The Fund is intended to leverage local resources in order to increase the Nation's manufacturing base by attracting inbound investment from U.S. and global manufacturers. Projects may include, for example, commercial manufacturing parks or manufacturing-focused research and training centers and will be designed to attract manufacturers as well as their supply chain of parts suppliers, fabricators, and distributors. Funds will be awarded on a competitive basis to communities to first develop a strategic plan and then to provide capital to attract manufacturers. It is expected that grantees will leverage existing suppliers and local assets, such as infrastructure, research and education institutions, and training centers to create an innovation ecosystem where manufacturers can thrive in global competition. Additionally, under authorities provided in the America COMPETES Reauthorization Act of 2010, the Budget includes \$12 million in EDA for the new Regional Export Challenge program. This is a new grant program that will support those regions that develop

ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS—Continued  
and implement sustainable export action plans to identify and support firms and sectors with the greatest export potential.

*Performance measures.*—A detailed presentation of the performance outcomes, measures, and targets is found in the Department's 2014 budget submission.

**Object Classification** (in millions of dollars)

Identification code 13–2050–0–1–452	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	297	425	322
99.0 Reimbursable obligations .....		30	30
99.9 Total new obligations .....	297	455	352

**ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS FINANCING ACCOUNT**

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 13–4356–0–3–452	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....	70	70	
2121 Limitation available from carry-forward .....		70	140
2143 Uncommitted limitation carried forward .....	–70	–140	–140
2150 Total guaranteed loan commitments .....			

**ECONOMIC DEVELOPMENT REVOLVING FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 13–4406–0–3–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....		1	1
0900 Total new obligations (object class 43.0) .....		1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	1	
1022 Capital transfer of unobligated balances to general fund .....	–5	–1	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	2	2
1820 Capital transfer of spending authority from offsetting collections to general fund .....		–1	–1
1850 Spending auth from offsetting collections, mand (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....		1	1
3020 Outlays (gross) .....	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	–1	–2	–2
4180 Budget authority, net (total) .....		–1	–1
4190 Outlays, net (total) .....		–1	–1

**Status of Direct Loans** (in millions of dollars)

Identification code 13–4406–0–3–452	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	5	3	1
1251 Repayments: Repayments and prepayments .....	–1	–1	
1263 Write-offs for default: Direct loans .....	–1	–1	–1
1290 Outstanding, end of year .....	3	1	

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992 for these programs. This includes: interest on loans outstanding; principal repayments from loans made under the Area Redevelopment Act, the Public Works and Economic Development Act of 1965 as amended, and the Trade Act of 1974; and proceeds from the sale of collateral.

**Balance Sheet** (in millions of dollars)

Identification code 13–4406–0–3–452	2011 actual	2012 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	5	3
1999 Total assets .....	5	3
<b>LIABILITIES:</b>		
2102 Federal liabilities: Interest payable .....	5	3
4999 Total liabilities and net position .....	5	3

**BUREAU OF THE CENSUS**

**Federal Funds**

**SALARIES AND EXPENSES**

*For expenses necessary for collecting, compiling, analyzing, preparing, and publishing statistics, provided for by law, \$256,048,000: Provided, That, from amounts provided herein, funds may be used for promotion, outreach, and marketing activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13–0401–0–1–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Current economic statistics .....	178	179	183
0002 Current demographic statistics .....	104	105	101
0003 Survey development and data services .....	2	3	2
0900 Total new obligations .....	284	287	286
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	253	255	256
1160 Appropriation, discretionary (total) .....	253	255	256
Appropriations, mandatory:			
1200 Appropriation .....	30	30	30
1260 Appropriations, mandatory (total) .....	30	30	30
1900 Budget authority (total) .....	283	285	286
1930 Total budgetary resources available .....	286	287	286
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	37	15
3010 Obligations incurred, unexpired accounts .....	284	287	286

3020	Outlays (gross) .....	-277	-309	-286
3050	Unpaid obligations, end of year .....	37	15	15
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	30	37	15
3200	Obligated balance, end of year .....	37	15	15
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	253	255	256
Outlays, gross:				
4010	Outlays from new discretionary authority .....	224	242	243
4011	Outlays from discretionary balances .....	23	37	13
4020	Outlays, gross (total) .....	247	279	256
Mandatory:				
4090	Budget authority, gross .....	30	30	30
Outlays, gross:				
4100	Outlays from new mandatory authority .....	29	30	30
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	30	30	30
4180	Budget authority, net (total) .....	283	285	286
4190	Outlays, net (total) .....	277	309	286

23.2	Rental payments to others .....	3		
23.3	Communications, utilities, and miscellaneous charges .....	5	4	4
24.0	Printing and reproduction .....		1	1
25.1	Advisory and assistance services .....	15	20	20
25.2	Other services from non-Federal sources .....	8	24	22
25.3	Other goods and services from Federal sources .....	12	12	13
25.4	Operation and maintenance of facilities .....	4	3	3
25.5	Research and development contracts .....	4	1	2
25.7	Operation and maintenance of equipment .....	24	12	13
26.0	Supplies and materials .....	5	2	2
31.0	Equipment .....	3	3	3
99.9	Total new obligations .....	284	287	286

**Employment Summary**

Identification code 13-0401-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,995	2,036	1,981

**PERIODIC CENSUSES AND PROGRAMS**

The activities of this appropriation provide for the collection, compilation, analysis, and publication of a broad range of current economic, demographic, and social statistics.

**Current economic statistics.**—These programs provide public and private sector data users with relevant, accurate, and timely national statistical profiles of every sector of the U.S. economy. The 2014 amount includes \$3.8 million to strengthen program evaluation capabilities at the Census Bureau and provide greater access to Federal statistical and administrative data for use by researchers at the Bureau's Research Data Centers.

**Current demographic statistics.**—These programs provide social and economic information on monthly, quarterly, and annual bases to inform effective public and private decision-making.

**Survey Development and Data Services.**—This program funds research into survey design and estimation, time series analysis, error reduction, privacy protection, and special experimental and evaluation studies.

**Survey of program dynamics.**—This program is supported by mandatory appropriations provided by the Personal Responsibility and Work Opportunity Act of 1996 to provide data necessary to determine the impact of the Act and other income security provisions on program participants.

**State children's health insurance program (SCHIP).**—Mandatory appropriations provided by the Medicare, Medicaid, and State Children's Health Insurance Program Balanced Budget Refinement Act of 1999 support data collection by the Current Population Survey (CPS) on the number of low-income children who do not have health insurance coverage. Data from this enhanced survey are used in the formula to allocate funds to States under the SCHIP program.

**Performance measures and program information.**—A detailed presentation and description of each program, its performance outcome and related measures, and targets is found in the Department's 2014 budget submission.

*For necessary expenses to collect and publish statistics for periodic censuses and programs provided for by law, \$726,436,000, to remain available until September 30, 2015: Provided, That from amounts provided herein, funds may be used for promotion, outreach, and marketing activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13-0450-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Economic censuses .....	113	139	118
0002 Census of governments .....	11	11	10
0006 Intercensal demographic estimates .....	11	10	10
0009 2010 decennial census .....	392	298	
0010 2020 decennial census .....	63	93	486
0011 Demographic surveys sample redesign .....	11	11	10
0013 Geographic support .....	63	73	56
0014 Data processing .....	33	33	37
0100 Total direct program .....	697	668	727
0900 Total new obligations .....	697	668	727

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	13	
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	22	13	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	635	639	727
1120 Appropriations transferred to other accts [13-0126] .....	-1	-1	
1121 Appropriations transferred from other accts [13-4512] .....	55	17	
1160 Appropriation, discretionary (total) .....	689	655	727
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	2		
1900 Budget authority (total) .....	691	655	727
1930 Total budgetary resources available .....	713	668	727
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	13		

Object Classification (in millions of dollars)				
Identification code 13-0401-0-1-376	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1 Full-time permanent .....	119	123	122	
11.3 Other than full-time permanent .....	19	17	16	
11.5 Other personnel compensation .....	4	2	2	
11.9 Total personnel compensation .....	142	142	140	
12.1 Civilian personnel benefits .....	41	43	43	
13.0 Benefits for former personnel .....	1	1	1	
21.0 Travel and transportation of persons .....	7	7	7	
22.0 Transportation of things .....		1	1	
23.1 Rental payments to GSA .....	10	11	11	

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000 Unpaid obligations, brought forward, Oct 1 .....	328	216	142	
3010 Obligations incurred, unexpired accounts .....	697	668	727	
3011 Obligations incurred, expired accounts .....	1			
3020 Outlays (gross) .....	-769	-742	-735	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4			
3041 Recoveries of prior year unpaid obligations, expired .....	-37			
3050 Unpaid obligations, end of year .....	216	142	134	

PERIODIC CENSUSES AND PROGRAMS—Continued  
Program and Financing—Continued

Identification code 13-0450-0-1-376	2012 actual	2013 CR	2014 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	328	216	142
3200 Obligated balance, end of year .....	216	142	134
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	691	655	727
Outlays, gross:			
4010 Outlays from new discretionary authority .....	577	517	594
4011 Outlays from discretionary balances .....	192	225	141
4020 Outlays, gross (total) .....	769	742	735
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2		
4070 Budget authority, net (discretionary) .....	689	655	727
4080 Outlays, net (discretionary) .....	765	742	735
4180 Budget authority, net (total) .....	689	655	727
4190 Outlays, net (total) .....	765	742	735

This appropriation funds legislatively mandated periodic economic and demographic censuses and other authorized activities. Major programs include the five-year economic census and census of governments, and the decennial census. In addition, other programs provide annual population estimates, updated survey sample designs, and bureau-wide geographic and data processing systems and support.

**Economic Census.**—The economic census is integral to the Bureau of Economic Analysis (BEA) estimates of gross domestic product (GDP) and industry inputs and outputs. It provides decision-makers with comprehensive, detailed, and authoritative facts about the structure and functioning of the U.S. economy. Activity in 2014 will focus on completing data collection, reviewing industry analyses, and reviewing and publishing the advance report and 70 percent of the industry reports. Activity in 2014 will also include the continued data collection and review for the Survey of Business Owners.

**Census of Governments.**—This program is also integral to the BEA's estimates of GDP. It is the only source of comprehensive and uniformly classified data on the economic activities of more than 90,000 State and local governments, which account for about 12 percent of GDP and nearly 16 percent of the U.S. workforce. 2014 is the final year in the five-year cycle of the 2012 Census of Governments. Activities will focus on completing data processing, developing data products, and disseminating information from the census.

**Intercensal demographic estimates.**—In years between decennial censuses, this program develops annual estimates of the population of the Nation, States, metropolitan areas, counties and functioning governmental units. These data are used for a variety of purposes including the allocation of hundreds of billions of dollars in Federal funds, as controls for a variety of federally sponsored surveys, as denominators for vital statistics and other health and economic indicators, and for a variety of Federal, State, and private program planning needs.

**2020 Decennial Census.**—2014 is the third year of a three-year research and testing phase of the 2020 Census, and the proposed 2014 amount expands on and supports the research and testing already underway. 2014 activities will support the research and testing infrastructure, allowing the Census Bureau to effectively test (1) new enumeration methods, including research into the use of administrative records to support and augment response, (2) new processes to support field operations, (3) more cost-effect-

ive IT systems, and (4) methods that will supplement research being carried out in the geographic support program, discussed below. By the end of 2014, preliminary design decisions for the 2020 Census will be made in preparation for acquisitions beginning in 2015. In 2014, the funding for the American Community Survey (ACS) will be transferred from the 2010 Decennial Program to the 2020 Decennial Census Program. The ACS, sent monthly to a small percentage of the population, collects detailed information on the characteristics of the population and housing units on an ongoing basis.

**Demographic Surveys Sample Design.**—The demographic surveys sample redesign activity updates the samples for the major recurring household surveys, to reflect America's mobile population and complex socioeconomic environment.

**Geographic Support.**—The goal of the geographic support program is to determine the correct location of every residential and business establishment address in the U.S. and its Territories. Major components include the Master Address File (MAF), a geographically-assigned address list for the Nation, and the Topologically Integrated Geographic Encoding and Referencing (TIGER) database, which provides maps and geographic information for data tabulation. Together, they provide essential information and products critical for conducting many of the Census Bureau's programs. The 2014 amount reflects a \$10 million reduction due to the cancellation of the 2014 Boundary and Annexation Survey and reduced support for partnership activities and MAF analysis.

**Data Processing Systems.**—The objective of the Data Processing Systems activity is to provide day-to-day information technology support for all program areas of the Census Bureau.

**Performance measures and program information.**—A detailed presentation and description of each program, its performance outcome and related measures, and targets is found in the Department's 2014 budget submission.

Object Classification (in millions of dollars)

Identification code 13-0450-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	237	245	242
11.3 Other than full-time permanent .....	57	57	58
11.5 Other personnel compensation .....	8	4	4
11.9 Total personnel compensation .....	302	306	304
12.1 Civilian personnel benefits .....	88	91	96
13.0 Benefits for former personnel .....	3	3	2
21.0 Travel and transportation of persons .....	21	20	19
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	31	26	27
23.2 Rental payments to others .....	3	1	1
23.3 Communications, utilities, and miscellaneous charges .....	25	24	22
24.0 Printing and reproduction .....	8	4	5
25.1 Advisory and assistance services .....	63	65	34
25.2 Other services from non-Federal sources .....	18	16	65
25.3 Other goods and services from Federal sources .....	29	27	40
25.4 Operation and maintenance of facilities .....	10	9	12
25.5 Research and development contracts .....	1	3	10
25.7 Operation and maintenance of equipment .....	73	52	69
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	11	5	4
31.0 Equipment .....	7	14	15
99.0 Direct obligations .....	695	668	727
99.0 Reimbursable obligations .....	2		
99.9 Total new obligations .....	697	668	727

**Employment Summary**

Identification code 13-0450-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4,577	4,659	4,841

**CENSUS WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 13-4512-0-4-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Current economic statistics .....	190	191	180
0802 Current demographic statistics .....	353	365	302
0803 Other .....	18	13	14
0804 Decennial census .....	253	224	247
0900 Total new obligations .....	814	793	743
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	295	261	243
1021 Recoveries of prior year unpaid obligations .....	20	10	10
1050 Unobligated balance (total) .....	315	271	253
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [13-0450] .....	-55	-17	.....
1160 Appropriation, discretionary (total) .....	-55	-17	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	816	782	721
1701 Change in uncollected payments, Federal sources .....	-1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	815	782	721
1900 Budget authority (total) .....	760	765	721
1930 Total budgetary resources available .....	1,075	1,036	974
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	261	243	231

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	257	199	108
3010 Obligations incurred, unexpired accounts .....	814	793	743
3020 Outlays (gross) .....	-852	-874	-727
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20	-10	-10
3050 Unpaid obligations, end of year .....	199	108	114
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-54	-53	-53
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-53	-53	-53
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	203	146	55
3200 Obligated balance, end of year .....	146	55	61

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	760	765	721
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	704	649
4011 Outlays from discretionary balances .....	850	170	78
4020 Outlays, gross (total) .....	852	874	727
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-816	-777	-711
4033 Non-Federal sources .....	.....	-5	-10
4040 Offsets against gross budget authority and outlays (total) .....	-816	-782	-721
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	-55	-17	.....
4080 Outlays, net (discretionary) .....	36	92	6
4180 Budget authority, net (total) .....	-55	-17	.....
4190 Outlays, net (total) .....	36	92	6

The Working Capital Fund finances, on a reimbursable basis, functions within the Census Bureau that are more efficiently and economically performed on a centralized basis. The Fund

also finances reimbursable work that the Census Bureau performs for other public, including Federal, and private entities.

**Object Classification** (in millions of dollars)

Identification code 13-4512-0-4-376	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	274	278	271
11.3 Other than full-time permanent .....	86	82	75
11.5 Other personnel compensation .....	18	14	4
11.9 Total personnel compensation .....	378	374	350
12.1 Civilian personnel benefits .....	104	107	103
13.0 Benefits for former personnel .....	2	6	4
21.0 Travel and transportation of persons .....	37	43	22
22.0 Transportation of things .....	3	3	3
23.1 Rental payments to GSA .....	58	69	63
23.2 Rental payments to others .....	11	.....	1
23.3 Communications, utilities, and miscellaneous charges .....	24	19	15
24.0 Printing and reproduction .....	3	3	3
25.1 Advisory and assistance services .....	27	28	15
25.2 Other services from non-Federal sources .....	23	24	19
25.3 Other goods and services from Federal sources .....	45	40	48
25.4 Operation and maintenance of facilities .....	16	19	20
25.5 Research and development contracts .....	2	1	3
25.7 Operation and maintenance of equipment .....	60	29	56
25.8 Subsistence and support of persons .....	3	3	2
26.0 Supplies and materials .....	10	11	5
31.0 Equipment .....	8	14	11
99.9 Total new obligations .....	814	793	743

**Employment Summary**

Identification code 13-4512-0-4-376	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	2,983	3,412	2,866

**ECONOMICS AND STATISTICS ADMINISTRATION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses, as authorized by law, of economic and statistical analysis programs of the Department of Commerce, \$104,048,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13-1500-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Bureau of Economic Analysis .....	93	93	100
0002 Policy support .....	4	4	4
0799 Total direct obligations .....	97	97	104
0801 Reimbursable .....	7	8	6
0900 Total new obligations .....	104	105	110
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	1	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	96	97	104
1160 Appropriation, discretionary (total) .....	96	97	104
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	8	6
1750 Spending auth from offsetting collections, disc (total) .....	7	8	6
1900 Budget authority (total) .....	103	105	110

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 13–1500–0–1–376	2012 actual	2013 CR	2014 est.
1930 Total budgetary resources available .....	104	105	110
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	18	12
3010 Obligations incurred, unexpired accounts .....	104	105	110
3020 Outlays (gross) .....	–100	–111	–110
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3050 Unpaid obligations, end of year .....	18	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	18	12
3200 Obligated balance, end of year .....	18	12	12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	103	105	110
Outlays, gross:			
4010 Outlays from new discretionary authority .....	89	93	98
4011 Outlays from discretionary balances .....	11	18	12
4020 Outlays, gross (total) .....	100	111	110
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–7	–8	–6
4180 Budget authority, net (total) .....	96	97	104
4190 Outlays, net (total) .....	93	103	104

**Bureau of Economic Analysis (BEA).**—The Bureau of Economic Analysis (BEA), a principal Federal statistical agency, promotes a better understanding of the U.S. economy by providing timely, relevant, and accurate economic accounts data in an objective and cost-effective manner. BEA's national, industry, regional, and international economic statistics present crucial information on key issues such as U.S. economic growth, regional economic development, inter-industry relationships, and the Nation's position in the world economy. These key statistics provide a comprehensive picture of the U.S. economy and affect decisions related to interest and exchange rates, tax and budget projections, and business investment plans. The statistics are used by Federal, State, and local governments for budget development and projections and to allocate over \$300 billion in Federal funds. The statistics are also used by the American public to follow and understand the performance of the Nation's economy. Some of the Bureau's widely used statistical measures include gross domestic product (GDP), personal income and outlays, corporate profits, GDP by state and by metropolitan area, balance of payments, and GDP by industry. BEA's strategic vision is to remain the world's most respected producer of economic accounts. The 2014 Budget proposes an initiative to better measure foreign direct investment in the U.S. and direct investment by U.S. companies abroad, in support of the Department of Commerce's SelectUSA program.

**Economics and Statistics Administration (ESA) Policy support.**—ESA headquarters conducts economic research and policy analysis in direct support of the Secretary of Commerce. ESA also provides management oversight of the Census Bureau and BEA. In addition, ESA provides economic and statistical data and analyses to other Federal agencies, individuals, and firms requesting such information through reimbursable funding. The Census Bureau and BEA reimburse ESA headquarters for certain administrative, financial, and policy services.

**Performance measures and program information.**—A detailed presentation and description of each program, and related performance outcomes, measures, and targets is found in the Department's 2014 budget submission.

## Object Classification (in millions of dollars)

Identification code 13–1500–0–1–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	50	52	55
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	52	53	56
12.1 Civilian personnel benefits .....	15	15	17
23.1 Rental payments to GSA .....	5	7	7
23.2 Rental payments to others .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	8	3	3
25.2 Other services from non-Federal sources .....	3	5	6
25.3 Other goods and services from Federal sources .....	8	8	8
25.4 Operation and maintenance of facilities .....	1	2	2
25.7 Operation and maintenance of equipment .....		1	1
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	1	1	2
99.0 Direct obligations .....	97	97	104
99.0 Reimbursable obligations .....	7	8	6
99.9 Total new obligations .....	104	105	110

## Employment Summary

Identification code 13–1500–0–1–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	483	491	505
2001 Reimbursable civilian full-time equivalent employment .....	35	35	31

## INTERNATIONAL TRADE ADMINISTRATION

## Federal Funds

## OPERATIONS AND ADMINISTRATION

*For necessary expenses for international trade activities of the Department of Commerce provided for by law, to carry out the SelectUSA Initiative as provided by Executive Order 13577 of June 15, 2011, and for engaging in trade promotional activities abroad, including expenses of grants and cooperative agreements for the purpose of promoting exports of United States firms, without regard to 44 U.S.C. 3702 and 3703; full medical coverage for dependent members of immediate families of employees stationed overseas and employees temporarily posted overseas; travel and transportation of employees of the International Trade Administration between two points abroad, without regard to 49 U.S.C. 40118; employment of Americans and aliens by contract for services; rental of space abroad for periods not exceeding 10 years, and expenses of alteration, repair, or improvement; purchase or construction of temporary demountable exhibition structures for use abroad; payment of tort claims, in the manner authorized in the first paragraph of 28 U.S.C. 2672 when such claims arise in foreign countries; not to exceed \$294,300 for official representation expenses abroad; purchase of passenger motor vehicles for official use abroad, not to exceed \$45,000 per vehicle; obtaining insurance on official motor vehicles; and rental of tie lines, \$529,196,000, to remain available until September 30, 2015, of which \$9,439,000 is to be derived from fees to be retained and used by the International Trade Administration, notwithstanding 31 U.S.C. 3302: Provided, That, of amounts provided under this heading, not less than \$7,000,000 shall be for the Office of China Compliance, and not less than \$4,400,000 shall be for the China Countervailing Duty Group: Provided further, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities without regard to section 5412 of the Omnibus Trade and Competitiveness Act of 1988 (15 U.S.C. 4912); and that for the purpose of this Act, contributions under the provisions of the Mutual Educational and Cultural Exchange Act of 1961 shall include payment for assessments for services provided as part of these activities: Provided further, That within the amounts appropriated herein, \$2,000,000 shall be available for allocation or transfer to the Department of Commerce Departmental Management appropriation for salaries and*

expenses for commercial law development activities of the Office of the General Counsel.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13–1250–0–1–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Manufacturing and services .....	46	48	.....
0002 Market access and compliance .....	51	47	.....
0003 Import administration .....	70	71	.....
0004 U.S. and foreign commercial services .....	271	275	.....
0005 Administration and executive direction .....	26	29	.....
0006 Industry and Analysis .....	.....	.....	57
0007 Enforcement and Compliance .....	.....	.....	91
0008 Global Markets .....	.....	.....	346
0009 Administration and executive direction .....	.....	.....	26
0100 Total direct program .....	464	470	520
0799 Total direct obligations .....	464	470	520
0801 Reimbursable program .....	21	23	23
0900 Total new obligations .....	485	493	543
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	17	3
1011 Unobligated balance transfer from other accts [72–1037] .....	8	.....	.....
1021 Recoveries of prior year unpaid obligations .....	6	.....	.....
1050 Unobligated balance (total) .....	21	17	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	456	458	520
1121 Appropriations transferred from other accts [72–0306] .....	2	.....	.....
1160 Appropriation, discretionary (total) .....	458	458	520
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	20	23	23
1701 Change in uncollected payments, Federal sources .....	5	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	25	23	23
1900 Budget authority (total) .....	483	481	543
1930 Total budgetary resources available .....	504	498	546
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–2	–2	.....
1941 Unexpired unobligated balance, end of year .....	17	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	87	92	177
3010 Obligations incurred, unexpired accounts .....	485	493	543
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	–469	–408	–524
3040 Recoveries of prior year unpaid obligations, unexpired .....	–6	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–7	.....	.....
3050 Unpaid obligations, end of year .....	92	177	196
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–16	–16	–16
3070 Change in uncollected pymts, Fed sources, unexpired .....	–5	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	5	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–16	–16	–16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	71	76	161
3200 Obligated balance, end of year .....	76	161	180
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	483	481	543
Outlays, gross:			
4010 Outlays from new discretionary authority .....	389	344	387
4011 Outlays from discretionary balances .....	80	64	137
4020 Outlays, gross (total) .....	469	408	524
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–21	–12	–12
4033 Non-Federal sources .....	.....	–11	–11
4040 Offsets against gross budget authority and outlays (total) ....	–21	–23	–23

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–5	.....	.....
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4060 Additional offsets against budget authority only (total) .....	–4	.....	.....
4070 Budget authority, net (discretionary) .....	458	458	520
4080 Outlays, net (discretionary) .....	448	385	501
4180 Budget authority, net (total) .....	458	458	520
4190 Outlays, net (total) .....	448	385	501

The International Trade Administration (ITA) improves the competitiveness of U.S. industry, promotes trade and investment, and ensures fair trade and compliance with trade laws and agreements. ITA is comprised of four program units: Industry and Analysis, Enforcement and Compliance, Global Markets, and Executive Direction and Administration.

The President has set the goal of doubling American exports by the end of 2014. To that end, the Administration proposes \$520 million for the International Trade Administration to continue to implement the National Export Initiative, a broad Federal strategy to increase U.S. exports and export-related jobs. This funding will allow ITA to increase its export promotion and trade enforcement efforts in key, growing markets abroad, as well as support the activities of SelectUSA, which helps state and local governments attract investment capital that creates jobs. ITA will also play a key role in the interagency BusinessUSA initiative, a one-stop resource that will make it much easier for businesses to access the wide array of Federal export promotion services available to them.

*Performance measures.*—A detailed presentation of the performance outcomes, measures, and targets is found in the Department's 2014 budget submission.

**Object Classification** (in millions of dollars)

Identification code 13–1250–0–1–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	162	166	166
11.3 Other than full-time permanent .....	25	27	27
11.5 Other personnel compensation .....	9	9	8
11.9 Total personnel compensation .....	196	202	201
12.1 Civilian personnel benefits .....	64	66	68
13.0 Benefits for former personnel .....	2	2	3
21.0 Travel and transportation of persons .....	14	14	19
22.0 Transportation of things .....	2	2	4
23.1 Rental payments to GSA .....	14	16	20
23.2 Rental payments to others .....	10	11	17
23.3 Communications, utilities, and miscellaneous charges .....	13	11	13
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	3	3	9
25.2 Other services from non-Federal sources .....	31	30	36
25.3 Other goods and services from Federal sources .....	98	97	113
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	3	2	3
31.0 Equipment .....	9	9	9
41.0 Grants, subsidies, and contributions .....	3	3	3
99.0 Direct obligations .....	464	470	520
99.0 Reimbursable obligations .....	21	23	23
99.9 Total new obligations .....	485	493	543

**Employment Summary**

Identification code 13–1250–0–1–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,745	1,837	1,841
2001 Reimbursable civilian full-time equivalent employment .....	33	31	31

## GRANTS TO MANUFACTURERS OF WORSTED WOOL FABRICS

## Program and Financing (in millions of dollars)

Identification code 13-5521-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	5	5	5
0900 Total new obligations (object class 41.0) .....	5	5	5
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [70-5533] ....	5	5	5
1260 Appropriations, mandatory (total) .....	5	5	5
1900 Budget authority (total) .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	.....
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-5	-10	-5
3050 Unpaid obligations, end of year .....	5	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	5	.....
3200 Obligated balance, end of year .....	5	.....	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	5	5
4101 Outlays from mandatory balances .....	5	5	.....
4110 Outlays, gross (total) .....	5	10	5
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	5	10	5

## BUREAU OF INDUSTRY AND SECURITY

## Federal Funds

## OPERATIONS AND ADMINISTRATION

For necessary expenses for export administration and national security activities of the Department of Commerce, including costs associated with the performance of export administration field activities both domestically and abroad; full medical coverage for dependent members of immediate families of employees stationed overseas; employment of Americans and aliens by contract for services abroad; payment of tort claims, in the manner authorized in the first paragraph of 28 U.S.C. 2672 when such claims arise in foreign countries; not to exceed \$13,500 for official representation expenses abroad; awards of compensation to informers under the Export Administration Act of 1979, and as authorized by 22 U.S.C. 401(b); and purchase of passenger motor vehicles for official use and motor vehicles for law enforcement use with special requirement vehicles eligible for purchase without regard to any price limitation otherwise established by law, \$112,095,000, to remain available until expended: Provided, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities: Provided further, That payments and contributions collected and accepted for materials or services provided as part of such activities may be retained for use in covering the cost of such activities, and for providing information to the public with respect to the export administration and national security activities of the Department of Commerce and other export control programs of the United States and other governments.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 13-0300-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Management and policy coordination .....	6	6	6
0002 Export administration .....	58	58	59
0003 Export enforcement .....	41	38	47
0100 Total direct program .....	105	102	112
0799 Total direct obligations .....	105	102	112
0801 Reimbursable program .....	3	3	3
0900 Total new obligations .....	108	105	115
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	6	6
1021 Recoveries of prior year unpaid obligations .....	.....	1	1
1050 Unobligated balance (total) .....	10	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	101	102	112
1160 Appropriation, discretionary (total) .....	101	102	112
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1701 Change in uncollected payments, Federal sources .....	1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	3	2	2
1900 Budget authority (total) .....	104	104	114
1930 Total budgetary resources available .....	114	111	121
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	35	25
3010 Obligations incurred, unexpired accounts .....	108	105	115
3020 Outlays (gross) .....	-99	-114	-114
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	-1	-1
3050 Unpaid obligations, end of year .....	35	25	25
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	29	19
3200 Obligated balance, end of year .....	29	19	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	104	104	114
Outlays, gross:			
4010 Outlays from new discretionary authority .....	79	88	98
4011 Outlays from discretionary balances .....	20	26	16
4020 Outlays, gross (total) .....	99	114	114
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) ....	-2	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	.....	.....
4070 Budget authority, net (discretionary) .....	101	102	112
4080 Outlays, net (discretionary) .....	97	112	112
4180 Budget authority, net (total) .....	101	102	112
4190 Outlays, net (total) .....	97	112	112

The Bureau of Industry and Security (BIS) advances U.S. national security, foreign policy, and economic objectives by administering and enforcing controls on the export of sensitive goods and technologies. BIS also enforces antiboycott laws, monitors the economic viability of the U.S. defense industry, and assists U.S. companies in complying with certain international arms agreements.

The 2014 Budget continues to provide for positions that are critical to the Administration's Export Control Reform (ECR)

Initiative, which will fundamentally reform the U.S. export control system. The ECR Initiative is designed to enhance U.S. national security and strengthen the United States' ability to counter threats such as the proliferation of weapons of mass destruction. A detailed presentation of the request can be found in the Department's 2014 budget submission.

**Object Classification** (in millions of dollars)

Identification code 13-0300-0-1-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	39	39	41
11.5 Other personnel compensation .....	3	3	4
11.9 Total personnel compensation .....	42	42	45
12.1 Civilian personnel benefits .....	14	13	14
21.0 Travel and transportation of persons .....	2	4	4
23.1 Rental payments to GSA .....	4	6	7
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	15	13	16
25.3 Other goods and services from Federal sources .....	24	14	15
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	1	5	6
99.0 Direct obligations .....	105	102	112
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	108	105	115

**Employment Summary**

Identification code 13-0300-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	366	390	414
2001 Reimbursable civilian full-time equivalent employment .....	3	3	3

**MINORITY BUSINESS DEVELOPMENT AGENCY**

**Federal Funds**

MINORITY BUSINESS DEVELOPMENT

For necessary expenses of the Department of Commerce in fostering, promoting, and developing minority business enterprise, including expenses of grants, contracts, and other agreements with public or private organizations, \$29,286,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13-0201-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Business Development .....	28	31	29
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	30	31	29
1160 Appropriation, discretionary (total) .....	30	31	29
1930 Total budgetary resources available .....	30	31	29
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	11	18
3010 Obligations incurred, unexpired accounts .....	28	31	29
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-28	-24	-31
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	11	18	16

<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10	11	18
3200 Obligated balance, end of year .....	11	18	16
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	30	31	29
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	18	16	15
4011 Outlays from discretionary balances .....	10	8	16
4020 Outlays, gross (total) .....	28	24	31
4180 Budget authority, net (total) .....	30	31	29
4190 Outlays, net (total) .....	28	24	31

The Minority Business Development Agency (MBDA) is dedicated to the establishment, growth, and global competitiveness of U.S. businesses that are minority-owned. Through a network of minority business centers and strategic partners, MBDA works with minority entrepreneurs who wish to grow their businesses in size, scale and capacity. These firms are then better positioned to create jobs, impact local economies and expand into national and global markets.

Performance Measures.—A detailed presentation of performance outcomes, related measures, and targets is found in the Department's 2014 budget submission.

**Object Classification** (in millions of dollars)

Identification code 13-0201-0-1-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	1	2	2
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	2	3	2
41.0 Grants, subsidies, and contributions .....	12	12	11
99.9 Total new obligations .....	28	31	29

**Employment Summary**

Identification code 13-0201-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	68	100	100

**NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION**

**Federal Funds**

OPERATIONS, RESEARCH, AND FACILITIES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of activities authorized by law for the National Oceanic and Atmospheric Administration, including maintenance, operation, and hire of aircraft and vessels; grants, contracts, or other payments to nonprofit organizations for the purposes of conducting activities pursuant to cooperative agreements; and relocation of facilities, \$3,277,833,000, to remain available until September 30, 2015, except that funds provided for cooperative enforcement shall remain available until September 30, 2016: Provided, That fees and donations received by the National Ocean Service for the management of national marine sanctuaries may be retained and used for the salaries and expenses associated with those activities, notwithstanding 31 U.S.C. 3302: Provided further, That in addition, \$123,164,000 shall be derived by transfer from the fund entitled "Promote and Develop Fishery Products and Research Pertaining to American Fisheries": Provided further, That of the \$3,406,997,000 provided for in direct obligations under this heading, \$3,277,833,000 is appropriated from the general fund, \$123,164,000 is provided by transfer, and \$6,000,000 is derived from recoveries of prior year obligations.

In addition, for necessary retired pay expenses under the Retired Serviceman's Family Protection and Survivor Benefits Plan, and for payments

OPERATIONS, RESEARCH, AND FACILITIES—Continued

for the medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C. 55), such sums as may be necessary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 13–1450–0–1–306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Ocean Service .....	468	468	497
0002 National Marine Fisheries Service .....	796	798	847
0003 Oceanic and Atmospheric Research .....	367	379	462
0004 National Weather Service .....	898	909	933
0005 National Environmental Satellite Service .....	179	181	208
0006 Program support .....	432	422	457
0009 Retired pay for NOAA Corps Officers .....	25	28	28
0010 Supplemental .....	140		
0100 Total direct program .....	3,165	3,325	3,432
0799 Total direct obligations .....	3,165	3,325	3,432
0801 National Ocean Service .....	25	29	29
0802 National Marine Fisheries Service .....	62	69	69
0803 Oceanic and Atmospheric Research .....	49	33	33
0804 National Weather Service .....	56	75	75
0805 National Environmental Satellite Service .....	25	21	21
0806 Program support .....	12	15	15
0899 Total reimbursable obligations .....	229	242	242
0900 Total new obligations .....	3,394	3,567	3,674
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	137	187	187
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	137	187	
1010 Unobligated balance transfer to other accts [13–5583] .....	–4		
1021 Recoveries of prior year unpaid obligations .....	7	8	6
1050 Unobligated balance (total) .....	140	195	193
Budget authority:			
Appropriations, discretionary:			
1100 Operations, research & facilities .....	3,022	3,040	3,277
1100 Emergency Supplemental .....		140	
1121 Appropriations transferred from other accts [13–5139] .....	109	109	123
1121 Appropriations transferred from other accts [13–1460] .....	18		
1160 Appropriation, discretionary (total) .....	3,149	3,289	3,400
Appropriations, mandatory:			
1200 Appropriation .....	28	28	28
1260 Appropriations, mandatory (total) .....	28	28	28
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	320	242	242
1701 Change in uncollected payments, Federal sources .....	–50		
1750 Spending auth from offsetting collections, disc (total) .....	270	242	242
1900 Budget authority (total) .....	3,447	3,559	3,670
1930 Total budgetary resources available .....	3,587	3,754	3,863
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–6		
1941 Unexpired unobligated balance, end of year .....	187	187	189
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,268	1,966	1,978
3010 Obligations incurred, unexpired accounts .....	3,394	3,567	3,674
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	–3,668	–3,547	–3,680
3040 Recoveries of prior year unpaid obligations, unexpired .....	–7	–8	–6
3041 Recoveries of prior year unpaid obligations, expired .....	–23		
3050 Unpaid obligations, end of year .....	1,966	1,978	1,966
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–504	–454	–454
3070 Change in uncollected pymts, Fed sources, unexpired .....	50		
3090 Uncollected pymts, Fed sources, end of year .....	–454	–454	–454
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,764	1,512	1,524

3200 Obligated balance, end of year .....	1,512	1,524	1,512
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,419	3,531	3,642
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,179	2,250	2,350
4011 Outlays from discretionary balances .....	1,456	1,269	1,302
4020 Outlays, gross (total) .....	3,635	3,519	3,652
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–207	–180	–180
4033 Non-Federal sources .....	–114	–62	–62
4040 Offsets against gross budget authority and outlays (total) ...	–321	–242	–242
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	50		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	51		
4070 Budget authority, net (discretionary) .....	3,149	3,289	3,400
4080 Outlays, net (discretionary) .....	3,314	3,277	3,410
Mandatory:			
4090 Budget authority, gross .....	28	28	28
Outlays, gross:			
4100 Outlays from new mandatory authority .....	20	28	28
4101 Outlays from mandatory balances .....	13		
4110 Outlays, gross (total) .....	33	28	28
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total) .....	3,177	3,317	3,428
4190 Outlays, net (total) .....	3,347	3,305	3,438

The mission of the National Oceanic and Atmospheric Administration (NOAA) is to understand and predict changes in the Earth's environment and to conserve and manage coastal and marine resources to meet our Nation's economic, social, and environmental needs.

NOAA executes activities to achieve its mission through six line offices:

**National Ocean Service (NOS).**—NOS programs work to promote safe navigation; assess the health of coastal and marine resources and respond to natural and human-induced threats; and conserve the coastal ocean environment.

**National Marine Fisheries Service (NMFS).**—NMFS programs provide for the management and conservation of the Nation's living marine resources including fish stocks, marine mammals, and endangered species and their habitats within the United States Exclusive Economic Zone (EEZ).

**Office of Oceanic and Atmospheric Research (OAR).**—OAR programs provide climate, weather, air chemistry, ocean and coastal research and technology with applications across NOAA's mission. To accomplish these goals, OAR supports a network of scientists in its Federal research laboratories, universities, and cooperative institutes and partnership programs.

**National Weather Service (NWS).**—NWS programs provide timely and accurate meteorological, hydrologic, and oceanographic warnings and forecasts to ensure the safety of the population, minimize property losses, and improve the economic productivity of the Nation.

**National Environmental Satellite, Data, and Information Service (NESDIS).**—NESDIS operates polar orbiting and geostationary satellites, and collects and archives global environmental data and information for distribution to private and public sector users.

**Program Support.**—Program Support provides management and administrative support for NOAA, including acquisition and grant administration, budget, accounting functions, and human resources. Through the Office of Marine and Aviation Operations (OMAO), it provides aircraft and marine data acquisition fleet

repair and maintenance and technical and management support for NOAA-wide activities.

**Foreign Fishing Observer Fund.**—The Foreign Fishing Observer Fund is financed through fees collected from owners and operators of foreign fishing vessels fishing within the U.S. EEZ (such fishing requires a permit issued under the Magnuson-Stevens Act). The fund is used by NOAA to pay salaries, administrative costs, data editing and entry costs, and other costs incurred in placing observers aboard foreign fishing vessels.

**Object Classification** (in millions of dollars)

Identification code 13-1450-0-1-306	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,067	1,074	1,113
11.3 Other than full-time permanent .....	9	9	10
11.5 Other personnel compensation .....	77	78	74
11.7 Military personnel .....	21	22	33
11.9 Total personnel compensation .....	1,174	1,183	1,230
12.1 Civilian personnel benefits .....	348	350	346
13.0 Benefits for former personnel .....	23	23	23
21.0 Travel and transportation of persons .....	39	39	62
22.0 Transportation of things .....	15	15	17
23.1 Rental payments to GSA .....	79	79	92
23.2 Rental payments to others .....	31	31	22
23.3 Communications, utilities, and miscellaneous charges .....	76	76	126
24.0 Printing and reproduction .....	5	5	9
25.1 Advisory and assistance services .....	386	389	259
25.2 Other services from non-Federal sources .....	177	318	611
25.3 Other goods and services from Federal sources .....	120	121	166
25.5 Research and development contracts .....	13	13	52
26.0 Supplies and materials .....	95	95	129
31.0 Equipment .....	25	26	93
32.0 Land and structures .....	3	3	24
41.0 Grants, subsidies, and contributions .....	556	559	171
99.0 Direct obligations .....	3,165	3,325	3,432
99.0 Reimbursable obligations .....	229	242	242
99.9 Total new obligations .....	3,394	3,567	3,674

**Employment Summary**

Identification code 13-1450-0-1-306	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	11,624	11,870	11,753
1101 Direct military average strength employment .....	311	315	315
2001 Reimbursable civilian full-time equivalent employment .....	676	706	706

**PROCUREMENT, ACQUISITION AND CONSTRUCTION**

For procurement, acquisition and construction of capital assets, including alteration and modification costs, of the National Oceanic and Atmospheric Administration, \$2,117,555,000, to remain available until September 30, 2016, except that funds provided for construction of facilities shall remain available until expended: Provided, That of the \$2,124,555,000 provided for in direct obligations under this heading, \$2,117,555,000 is appropriated from the general fund and \$7,000,000 is provided from recoveries of prior year obligations.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 13-1460-0-1-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Ocean Service .....	9	15	7
0003 Office of Oceanic and Atmospheric Research .....	10	10	10
0004 National Weather Service .....	91	120	118
0005 National Environmental Satellite Service .....	1,673	1,816	1,978
0006 Program Support .....	3	58	12

0007 National Marine Fisheries Service .....	3		
0900 Total new obligations .....	1,786	2,022	2,125
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9	23	17
1021 Recoveries of prior year unpaid obligations .....	3	3	7
1050 Unobligated balance (total) .....	12	26	24
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,817	2,014	2,118
1120 Appropriations transferred to other accts [13-0126] .....	-1	-1	
1120 Appropriations transferred to other accts [13-1450] .....	-18		
1160 Appropriation, discretionary (total) .....	1,798	2,013	2,118
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	2		
1900 Budget authority (total) .....	1,800	2,013	2,118
1930 Total budgetary resources available .....	1,812	2,039	2,142
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	23	17	17

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,346	1,262	2,107
3010 Obligations incurred, unexpired accounts .....	1,786	2,022	2,125
3011 Obligations incurred, expired accounts .....	14		
3020 Outlays (gross) .....	-1,874	-1,174	-1,986
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-3	-7
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	1,262	2,107	2,239
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,346	1,262	2,107
3200 Obligated balance, end of year .....	1,262	2,107	2,239

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,800	2,013	2,118
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	838	714	741
4011 Outlays from discretionary balances .....	1,036	460	1,245
4020 Outlays, gross (total) .....	1,874	1,174	1,986
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-2		
4180 Budget authority, net (total) .....	1,798	2,013	2,118
4190 Outlays, net (total) .....	1,872	1,174	1,986

This account funds capital acquisition, construction, and fleet and aircraft replacement projects that support NOAA's operational mission across all line offices. The 2014 Budget maintains continuity of satellite coverage needed for weather forecasting by providing \$2 billion to fund the development of NOAA's geostationary and polar-orbiting satellites, as well as satellite-borne measurements of sea level and potentially damaging solar storms. The Budget also provides increases to update National Weather Service IT infrastructure to improve system reliability, increase supercomputing capacity, and accommodate a substantial increase in satellite observations that will help to improve weather warnings and forecasts.

**Object Classification** (in millions of dollars)

Identification code 13-1460-0-1-306	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	33	34	36
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	34	35	37
12.1 Civilian personnel benefits .....	9	9	9
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	3	3	3
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.1 Advisory and assistance services .....	60	61	64

PROCUREMENT, ACQUISITION AND CONSTRUCTION—Continued  
Object Classification—Continued

Identification code 13–1460–0–1–306	2012 actual	2013 CR	2014 est.
25.2 Other services from non-Federal sources .....	95	98	109
25.3 Other goods and services from Federal sources .....	1,308	1,391	1,460
25.5 Research and development contracts .....	21	164	171
26.0 Supplies and materials .....	9	9	9
31.0 Equipment .....	197	201	210
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	41	42	44
99.9 Total new obligations .....	1,786	2,022	2,125

Employment Summary

Identification code 13–1460–0–1–306	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	196	186	179

LIMITED ACCESS SYSTEM ADMINISTRATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 13–5284–0–2–306	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Permit Title Registration Fees, Limited Access System Administration Fund .....	10	10	10
0400 Total: Balances and collections .....	10	10	10
Appropriations:			
0500 Limited Access System Administration Fund .....	–10	–10	–10
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 13–5284–0–2–306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	10	10	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	14	14
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10	10	10
1260 Appropriations, mandatory (total) .....	10	10	10
1930 Total budgetary resources available .....	24	24	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	7	2
3010 Obligations incurred, unexpired accounts .....	10	10	10
3020 Outlays (gross) .....	–9	–15	–10
3050 Unpaid obligations, end of year .....	7	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	7	2
3200 Obligated balance, end of year .....	7	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	10	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	10	10
4101 Outlays from mandatory balances .....	4	5	
4110 Outlays, gross (total) .....	9	15	10
4180 Budget authority, net (total) .....	10	10	10
4190 Outlays, net (total) .....	9	15	10

Under the authority of the Magnuson-Stevens Act Section 304(d)(2)(A), NMFS must collect a fee to recover the incremental

costs of management, data collection, and enforcement of Limited Access Privilege (LAP) Programs. Funds collected under this authority are deposited into the Limited Access System Administrative Fund. Fees shall not exceed three percent of the ex-vessel value of fish harvested under any such program, and shall be collected at either the time of the landing, filing of a landing report, or sale of such fish during a fishing season or in the last quarter of the calendar year in which the fish is harvested. The Limited Access Administration Fund shall be available, without appropriation or fiscal year limitation, only for the purposes of administering the central registry system and administering and implementing the Magnuson-Stevens Act in the fishery in which the fees were collected. Sums in the fund that are not currently needed for these purposes shall be kept on deposit or invested in obligations of, or guaranteed by the U.S. Also, in establishing a LAP program, a Regional Council can consider, and may provide, if appropriate, an auction system or other program to collect royalties for the initial or any subsequent distribution of allocations. If an auction system is developed, revenues from these royalties are deposited in the Limited Access System Administration Fund.

Object Classification (in millions of dollars)

Identification code 13–5284–0–2–306	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3		
12.1 Civilian personnel benefits .....	2		
25.2 Other services from non-Federal sources .....	2		
41.0 Grants, subsidies, and contributions .....	3	10	10
99.9 Total new obligations .....	10	10	10

Employment Summary

Identification code 13–5284–0–2–306	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	40		

PACIFIC COASTAL SALMON RECOVERY

For necessary expenses associated with the restoration of Pacific salmon populations, \$50,000,000, to remain available until September 30, 2015: Provided, That, of the funds provided herein, the Secretary of Commerce may issue grants to the States of Washington, Oregon, Idaho, Nevada, California, and Alaska, and to the federally recognized tribes of the Columbia River and Pacific Coast (including Alaska), for projects necessary for conservation of salmon and steelhead populations that are listed as threatened or endangered, or that are identified by a State as at-risk to be so listed, for maintaining populations necessary for exercise of tribal treaty fishing rights or native subsistence fishing, or for conservation of Pacific coastal salmon and steelhead habitat, based on guidelines to be developed by the Secretary of Commerce: Provided further, That all funds shall be allocated based on scientific and other merit principles and shall not be available for marketing activities: Provided further, That funds disbursed to States shall be subject to a matching requirement of funds or documented in-kind contributions of at least 33 percent of the Federal funds.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 13–1451–0–1–306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0008 Grants to States and Tribes .....	65	65	50

0900	Total new obligations (object class 41.0)	65	65	50
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<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	65	65	50
1160	Appropriation, discretionary (total)	65	65	50
1930	Total budgetary resources available	65	65	50

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	239	224	210
3010	Obligations incurred, unexpired accounts	65	65	50
3020	Outlays (gross)	-79	-79	-86
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	224	210	174
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	239	224	210
3200	Obligated balance, end of year	224	210	174

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	65	65	50
Outlays, gross:				
4010	Outlays from new discretionary authority		16	13
4011	Outlays from discretionary balances	79	63	73
4020	Outlays, gross (total)	79	79	86
4180	Budget authority, net (total)	65	65	50
4190	Outlays, net (total)	79	79	86

The Pacific Coastal Salmon Recovery Fund account was established in 2000 to augment State, tribal, and local programs to conserve and restore sustainable Pacific salmon populations and their habitats. Through 2012, over \$1 billion has been provided to the States of California, Oregon, Washington, Alaska, and Idaho and to the Pacific Coastal and Columbia River Tribes to supplement State and Federal programs and promote the development of partnerships among Federal, State, tribal, and local governments to conserve salmon. The States and Tribes have used these funds for restoring salmon and steelhead populations that are listed as threatened or endangered, or identified by a State as at risk of such listing; maintaining populations necessary for exercise of tribal treaty fishing rights or native subsistence fishing; or restoring and conserving Pacific coastal salmon and steelhead habitat.

**SANCTUARIES ENFORCEMENT ASSET FORFEITURE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 13-5584-0-2-376	2012 actual	2013 CR	2014 est.
0100	Balance, start of year		
Receipts:			
0260	Sanctuaries Enforcement Asset Forfeiture Fund, Deposits (PDF Account)	1	1
0400	Total: Balances and collections	1	1
Appropriations:			
0500	Sanctuaries Enforcement Asset Forfeiture Fund	-1	-1
0799	Balance, end of year		

**Program and Financing** (in millions of dollars)

Identification code 13-5584-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity	1	1
0900	Total new obligations (object class 25.2)	1	1

<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	1		1
1260	Appropriations, mandatory (total)	1		1
1900	Budget authority (total)	1		1
1930	Total budgetary resources available	1		1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts	1		1
3020	Outlays (gross)	-1		-1

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	1		1
Outlays, gross:				
4100	Outlays from new mandatory authority	1		1
4180	Budget authority, net (total)	1		1
4190	Outlays, net (total)	1		1

The Sanctuaries Enforcement Asset Forfeiture Fund receives proceeds from civil penalties and forfeiture claims against responsible parties, as determined through court settlements or agreements, for violations of NOAA sanctuary regulations. Funds are expended for resource protection purposes which may include all aspects of law enforcement (from equipment to labor), community-oriented policing programs, and other resource protection and management measures such as the installation of mooring buoys or restoration of injured resources.

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NOAA**

**Program and Financing** (in millions of dollars)

Identification code 13-1465-0-1-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity	2	2
0900	Total new obligations (object class 25.3)	2	2

<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2	2	2
1160	Appropriation, discretionary (total)	2	2	2
1930	Total budgetary resources available	2	2	2

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts	2	2	2
3020	Outlays (gross)	-2	-2	-2

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	2	2	2
Outlays, gross:				
4010	Outlays from new discretionary authority	2	2	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	2	2	2

This account includes amounts necessary to finance the cost of Tricare retirement health care benefits accrued by the active duty members of the NOAA Commissioned Corps. The Ronald W. Reagan National Defense Authorization Act for 2005 (P.L. 108-375) provided permanent, indefinite appropriations to finance these costs for all uniformed service members. As these costs are borne in support of NOAA's mission, they are shown as part of the NOAA discretionary total. Total obligations on behalf of active NOAA Commissioned Corps personnel include both the wages

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION,  
NOAA—Continued

and related amounts requested for appropriation and amounts paid from the permanent, indefinite authority.

FISHERIES ENFORCEMENT ASSET FORFEITURE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 13-5583-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0260 Fisheries Enforcement Asset Forfeiture Fund, Deposits (PDF Account) .....	10	5	5
0400 Total: Balances and collections .....	10	5	5
Appropriations:			
0500 Fisheries Enforcement Asset Forfeiture Fund .....	-10	-5	-5
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 13-5583-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	4	5	5
0900 Total new obligations (object class 25.2) .....	4	5	5

Budgetary Resources:

Identification code 13-5583-0-2-376	2012 actual	2013 CR	2014 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		10	10
1011 Unobligated balance transfer from other accts [13-1450] .....	4		
1050 Unobligated balance (total) .....	4	10	10
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10	5	5
1260 Appropriations, mandatory (total) .....	10	5	5
1900 Budget authority (total) .....	10	5	5
1930 Total budgetary resources available .....	14	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10

Change in obligated balance:

Identification code 13-5583-0-2-376	2012 actual	2013 CR	2014 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		2	2
3010 Obligations incurred, unexpired accounts .....	4	5	5
3020 Outlays (gross) .....	-2	-5	-5
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		2	2
3200 Obligated balance, end of year .....	2	2	2

Budget authority and outlays, net:

Identification code 13-5583-0-2-376	2012 actual	2013 CR	2014 est.
Mandatory:			
4090 Budget authority, gross .....	10	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	5	5
4180 Budget authority, net (total) .....	10	5	5
4190 Outlays, net (total) .....	2	5	5

Section 311(e)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSA) authorizes the Secretary of Commerce (Secretary) to pay certain enforcement-related expenses from fines, penalties and forfeiture proceeds received for violations of the Magnuson-Stevens Act, or of any other marine resource law enforced by the Secretary. Pursuant to this authority, NOAA established an account for these receipts, the Fisheries Enforcement Asset Forfeiture Fund (AFF). Certain fines, penalties and forfeiture proceeds received by NOAA are deposited into this Fund and subsequently used to pay for certain enforcement-related expenses. When Congress authorized the AFF it was deemed appropriate to use these proceeds to offset in part the costs of administering the enforcement program. Expenses include

the following: costs directly related to the storage, maintenance, and care of seized fish, vessels, or other property during a civil or criminal proceeding; reimbursement to other Federal or State agencies for enforcement related services provided pursuant to an agreement entered into with the Secretary; and other limited uses as outlined in NOAA's Asset Forfeiture Fund policy. The NMFS Office of Law Enforcement (OLE) manages the AFF, which is used by OLE and NOAA General Counsel for Enforcement and Litigation to pay for enforcement activities.

PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH PERTAINING TO  
AMERICAN FISHERIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 13-5139-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Access Fees, Western Pacific Sustainable Fisheries Fund .....	1	1	1
0400 Total: Balances and collections .....	1	1	1
Appropriations:			
0500 Promote and Develop Fishery Products and Research Pertaining to American Fisheries .....	-1	-1	-1
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 13-5139-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	23	9
0900 Total new obligations (object class 41.0) .....	1	23	9

Budgetary Resources:

Identification code 13-5139-0-2-376	2012 actual	2013 CR	2014 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [13-1450] .....		-109	-123
1160 Appropriation, discretionary (total) .....		-109	-123
Appropriations, mandatory:			
1201 Appropriation (Western Pacific Sustainable Fisheries Fund) .....	1	1	1
1220 Appropriations transferred to other accts [13-1450] .....	-109		
1221 Appropriations transferred from other accts [12-5209] .....	109	131	131
1260 Appropriations, mandatory (total) .....	1	132	132
1900 Budget authority (total) .....	1	23	9
1930 Total budgetary resources available .....	2	24	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

Identification code 13-5139-0-2-376	2012 actual	2013 CR	2014 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	6	54
3010 Obligations incurred, unexpired accounts .....	1	23	9
3020 Outlays (gross) .....	-6	25	3
3050 Unpaid obligations, end of year .....	6	54	66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	6	54
3200 Obligated balance, end of year .....	6	54	66

Budget authority and outlays, net:

Identification code 13-5139-0-2-376	2012 actual	2013 CR	2014 est.
Discretionary:			
4000 Budget authority, gross .....		-109	-123
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-109	-123
Mandatory:			
4090 Budget authority, gross .....	1	132	132
Outlays, gross:			
4100 Outlays from new mandatory authority .....		79	80
4101 Outlays from mandatory balances .....	6	5	40
4110 Outlays, gross (total) .....	6	84	120
4180 Budget authority, net (total) .....	1	23	9
4190 Outlays, net (total) .....	6	-25	-3

An amount equal to 30 percent of the gross receipts from customs duties on imported fishery products is transferred to the Department of Commerce annually from the Department of Agriculture. A portion of the funds is transferred to offset the appropriation requirements for fisheries research and management in the Operations, Research, and Facilities account. Remaining funds will support the Saltonstall-Kennedy grants program for fisheries research and development projects to enhance the productivity and improve the sustainable yield of domestic marine fisheries resources.

FISHERMEN'S CONTINGENCY FUND

For carrying out the provisions of title IV of Public Law 95-372, not to exceed \$350,000, to be derived from receipts collected pursuant to that Act, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

The Fishermen's Contingency Fund is authorized under Section 402 of Title IV of the Outer Continental Shelf Lands Act Amendments of 1978. NOAA compensates U.S. commercial fishermen for damage or loss of fishing gear, vessels, and resulting economic loss caused by obstructions related to oil and gas exploration, development, and production in any area of the Outer Continental Shelf. The funds used to provide this compensation are derived from fees collected by the Secretary of the Interior from the holders of leases, exploration permits, easements, or rights-of-way in areas of the Outer Continental Shelf. This activity is funded entirely through user fees. Disbursements can be made only to the extent authorized in appropriation acts.

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 13-5362-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0240 Interest Earned, Environmental Improvement and Restoration Fund	10	10	10
0400 Total: Balances and collections	10	10	10
Appropriations:			
0500 Environmental Improvement and Restoration Fund	-10	-10	-10
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 13-5362-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 North Pacific Research Board	10	10	10
0900 Total new obligations (object class 41.0)	10	10	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	10
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	10	10	10
1260 Appropriations, mandatory (total)	10	10	10
1930 Total budgetary resources available	20	20	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10	10

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	26	26	13
3010	Obligations incurred, unexpired accounts	10	10	10
3020	Outlays (gross)	-10	-23	-16
3050	Unpaid obligations, end of year	26	13	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	26	26	13
3200	Obligated balance, end of year	26	13	7

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	10	10	10
Outlays, gross:				
4100	Outlays from new mandatory authority		6	6
4101	Outlays from mandatory balances	10	17	10
4110	Outlays, gross (total)	10	23	16
4180	Budget authority, net (total)	10	10	10
4190	Outlays, net (total)	10	23	16

This fund was established by Title IV of P.L. 105-83. Twenty percent of the interest earned from this fund is made available to the Department of Commerce. Funds are to be used by Federal, State, private or foreign organizations or individuals to conduct research activities on or relating to the fisheries or marine ecosystems in the North Pacific Ocean, Bering Sea, and Arctic Ocean. Research priorities and grant requests are reviewed and approved by the North Pacific Research Board with emphasis placed on cooperative research efforts designed to address pressing fishery management or marine ecosystem information needs.

COASTAL ZONE MANAGEMENT FUND

Program and Financing (in millions of dollars)

Identification code 13-4313-0-3-306	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1802	Offsetting collections (previously unavailable)	18		
1820	Capital transfer of spending authority from offsetting collections to general fund	-18		
<b>Memorandum (non-add) entries:</b>				
5090	Unavailable balance, SOY: Offsetting collections	18		

Status of Direct Loans (in millions of dollars)

Identification code 13-4313-0-3-306	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	22	21	21
1251	Repayments: Repayments and prepayments	-1		
1290	Outstanding, end of year	21	21	21

This fund consists of loan repayments from the former Coastal Energy Impact Program. The Department of Commerce Appropriations Act, 2012, cancelled all balances in the Coastal Zone Management Fund, made future payments to the Fund subject to the Federal Credit Reform Act of 1990, and eliminated the annual transfer from this account to the Operations, Research, and Facilities account. The display below includes reporting information consistent with all other credit liquidating accounts.

Balance Sheet (in millions of dollars)

Identification code 13-4313-0-3-306	2011 actual	2012 actual	
<b>ASSETS:</b>			
1601	Direct loans, gross	22	21
1603	Allowance for estimated uncollectible loans and interest (-)	-15	-14
1699	Value of assets related to direct loans	7	7

COASTAL ZONE MANAGEMENT FUND—Continued  
Balance Sheet—Continued

Identification code 13-4313-0-3-306	2011 actual	2012 actual
1999 Total assets .....	7	7
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	7	7
4999 Total liabilities and net position .....	7	7

DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 13-4316-0-3-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	171	16	16
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	51	98	107
1011 Unobligated balance transfer from other accts [14-1618] .....	7	8	8
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	59	106	115
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [14-1618] .....	7	8	8
1260 Appropriations, mandatory (total) .....	7	8	8
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	202	9	9
1801 Change in uncollected payments, Federal sources .....	1		
1850 Spending auth from offsetting collections, mand (total) .....	203	9	9
1900 Budget authority (total) .....	210	17	17
1930 Total budgetary resources available .....	269	123	132
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	98	107	116
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	74	133	3
3010 Obligations incurred, unexpired accounts .....	171	16	16
3020 Outlays (gross) .....	-111	-146	-18
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	133	3	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	74	132	2
3200 Obligated balance, end of year .....	132	2	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	210	17	17
Outlays, gross:			
4100 Outlays from new mandatory authority .....	52	9	9
4101 Outlays from mandatory balances .....	59	137	9
4110 Outlays, gross (total) .....	111	146	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-103	-1	-1
4123 Non-Federal sources .....		-8	-8
4124 Offsetting governmental collections .....	-99		
4130 Offsets against gross budget authority and outlays (total) .....	-202	-9	-9
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4160 Budget authority, net (mandatory) .....	7	8	8
4170 Outlays, net (mandatory) .....	-91	137	9
4180 Budget authority, net (total) .....	7	8	8
4190 Outlays, net (total) .....	-91	137	9

The Damage Assessment and Restoration Revolving Fund is authorized under Section 1012(a) of the Oil Pollution Act of 1990,

for the deposit of sums provided by any party or governmental entity to respond to the environmental effects of discharges of oil and other hazardous substances. Through the Revolving Fund, NOAA retains funds that are recovered through settlement or awarded by a court for the assessment and restoration of injured natural resources. NOAA also ensures deposited funds shall remain available to the trustee, without further appropriation, until expended to pay costs associated with the response, damage assessment, and restoration of natural resources.

These program functions are conducted jointly within NOAA by the Office of General Counsel, the National Ocean Service, and the National Marine Fisheries Service.

Object Classification (in millions of dollars)

Identification code 13-4316-0-3-306	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	165	10	10
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations .....	171	16	16

Employment Summary

Identification code 13-4316-0-3-306	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	62	62	62

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 13-4487-0-3-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Enterprise Info Tech services .....			100
0900 Total new obligations (object class 25.2) .....			100
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			100
1750 Spending auth from offsetting collections, disc (total) .....			100
1930 Total budgetary resources available .....			100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			100
3020 Outlays (gross) .....			-77
3050 Unpaid obligations, end of year .....			23
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			100
Outlays, gross:			
4010 Outlays from new discretionary authority .....			77
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-100
4190 Outlays, net (total) .....			-23

This newly proposed fund finances, on a reimbursable basis, NOAA-wide administrative services that can be more efficiently and economically performed on a centralized basis.

**Object Classification** (in millions of dollars)

Identification code 13-4487-0-3-376	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
25.2 Other services from non-Federal sources .....			100
99.0 Reimbursable obligations .....			100

**FISHERIES FINANCE PROGRAM ACCOUNT**

Subject to section 502 of the Congressional Budget Act of 1974, during fiscal year 2014, obligations of direct loans may not exceed \$24,000,000 for Individual Fishing Quota loans and not to exceed \$59,000,000 for traditional direct loans as authorized by the Merchant Marine Act of 1936: Provided, That none of the funds made available under this heading may be used for direct loans for any new fishing vessel that will increase the harvesting capacity in any United States fishery.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13-1456-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	4	8	
0706 Interest on reestimates of direct loan subsidy .....	1	7	
0708 Interest on reestimates of loan guarantee subsidy .....	1		
0900 Total new obligations (object class 25.2) .....	6	15	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	6	15	
1260 Appropriations, mandatory (total) .....	6	15	
1930 Total budgetary resources available .....	9	18	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	6	15	
3020 Outlays (gross) .....	-6	-15	

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	6	15	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6	15	
4180 Budget authority, net (total) .....	6	15	
4190 Outlays, net (total) .....	6	15	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 13-1456-0-1-376	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Individual Fishing Quota Loans .....	6	24	24
115002 Traditional Direct Loans .....	36	59	59
115011 Seine Buyback .....	23		
115999 Total direct loan levels .....	65	83	83
Direct loan subsidy (in percent):			
132001 Individual Fishing Quota Loans .....	-12.80	-2.70	-8.06
132002 Traditional Direct Loans .....	-13.77	-4.83	-7.36
132011 Seine Buyback .....	1.00		
132999 Weighted average subsidy rate .....	-8.45	-4.21	-7.56
Direct loan subsidy budget authority:			
133001 Individual Fishing Quota Loans .....	-1	-1	-2
133002 Traditional Direct Loans .....	-5	-3	-4
133999 Total subsidy budget authority .....	-6	-4	-6

Direct loan subsidy outlays:			
134001 Individual Fishing Quota Loans .....	-1	-1	-1
134002 Traditional Direct Loans .....	-5	-4	-5
134999 Total subsidy outlays .....	-6	-5	-6
Direct loan upward reestimates:			
135001 Individual Fishing Quota Loans .....		2	
135002 Traditional Direct Loans .....	3	11	
135003 Pacific Ground Fish .....		1	
135007 Pollock Buyback .....	2	1	
135999 Total upward reestimate budget authority .....	5	15	
Direct loan downward reestimates:			
137001 Individual Fishing Quota Loans .....	-1	-1	
137002 Traditional Direct Loans .....	-1	-13	
137008 Crab Buyback loans .....	-4	-7	
137999 Total downward reestimate budget authority .....	-6	-21	
Guaranteed loan upward reestimates:			
235002 Guaranteed Traditional Loans .....	1		
235999 Total upward reestimate budget authority .....	1		

The Fisheries Finance Program (FFP) is a national loan program that makes long-term fixed-rate financing available to U.S. citizens who otherwise qualify for financing or refinancing of the construction, reconstruction, reconditioning, and, in some cases, the purchasing of fishing vessels, shoreside processing, aquaculture, and mariculture facilities. The FFP also provides fishery-wide financing to ease the transition to sustainable fisheries through its fishing capacity reduction programs and provides financial assistance in the form of loans to fishermen who fish from small vessels and entry-level fishermen to promote stability and reduce consolidation in already rationalized fisheries. Additionally, FFP can provide loans for fisheries investments of Native American Community Development Quota groups.

The FFP operates under the authority of Title XI of the Merchant Marine Act of 1936, as amended; Section 303(a) of the Sustainable Fisheries Act amendments to the Magnuson-Stevens Act; and, from time to time FFP-specific legislation. The overriding guideline for all FFP financings is that they cannot contribute or be construed to contribute to an increase in existing fishing capacity.

**FISHERIES FINANCE DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 13-4324-0-3-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	65	83	83
0713 Payment of interest to Treasury .....	28	27	27
0740 Negative subsidy obligations .....	6	4	6
0742 Downward reestimate paid to receipt account .....	4	17	
0743 Interest on downward reestimates .....	2	4	
0900 Total new obligations .....	105	135	116
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	5	1	1
1024 Unobligated balance of borrowing authority withdrawn .....	-5	-1	-1
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	72	83	83
1440 Borrowing authority, mandatory (total) .....	72	83	83
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	103	94	89
1825 Spending authority from offsetting collections applied to repay debt .....	-70	-42	-55
1850 Spending auth from offsetting collections, mand (total) .....	33	52	34
1900 Financing authority (total) .....	105	135	117
1930 Total budgetary resources available .....	105	135	117
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1

**FISHERIES FINANCE DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 13-4324-0-3-376	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	145	130	154
3010 Obligations incurred, unexpired accounts .....	105	135	116
3020 Financing disbursements (gross) .....	-115	-110	-110
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-1	-1
3050 Unpaid obligations, end of year .....	130	154	159
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	145	130	154
3200 Obligated balance, end of year .....	130	154	159
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	105	135	117
Financing disbursements:			
4110 Financing disbursements, gross .....	115	110	110
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account .....	-5	-14	.....
4122 Interest on uninvested funds .....	-2	-2	-2
4123 Repayments of principal, net .....	-62	-45	-54
4123 Interest Received on loans .....	-34	-33	-33
4130 Offsets against gross financing auth and disbursements (total) .....	-103	-94	-89
4160 Financing authority, net (mandatory) .....	2	41	28
4170 Financing disbursements, net (mandatory) .....	12	16	21
4180 Financing authority, net (total) .....	2	41	28
4190 Financing disbursements, net (total) .....	12	16	21

**Status of Direct Loans (in millions of dollars)**

Identification code 13-4324-0-3-376	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....	83	83	83
1121 Limitation available from carry-forward .....	23	.....	.....
1142 Unobligated direct loan limitation (-) .....	-41	.....	.....
1150 Total direct loan obligations .....	65	83	83
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	457	471	484
1231 Disbursements: Direct loan disbursements .....	76	58	76
1251 Repayments: Repayments and prepayments .....	-62	-45	-54
1290 Outstanding, end of year .....	471	484	506

This account covers the financing of direct loans as authorized by the Magnuson-Stevens Fishery Conservation and Management Act to promote market-based approaches to sustainable fisheries management. Funds are not used for purposes that would contribute to the overcapitalization of the fishing industry. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 13-4324-0-3-376	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Investments in US securities:		
1106 Federal Receivables, net .....	4	10
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	457	471
1402 Interest receivable .....	6	6
1405 Allowance for subsidy cost (-) .....	81	75
1499 Net present value of assets related to direct loans .....	544	552
1999 Total assets .....	548	562
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	9	10
2103 Federal liabilities, debt .....	539	552
2999 Total liabilities .....	548	562

4999 Total liabilities and net position .....	548	562

**FISHERIES FINANCE GUARANTEED LOAN FINANCING ACCOUNT**
**Program and Financing (in millions of dollars)**

Identification code 13-4314-0-3-376	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	.....	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-1	.....	.....
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from Program Account .....	-1	.....	.....
4180 Financing authority, net (total) .....	-1	.....	.....
4190 Financing disbursements, net (total) .....	-1	.....	.....

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 13-4314-0-3-376	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1	1	1
2290 Outstanding, end of year .....	1	1	1
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	14	14	14
2390 Outstanding, end of year .....	14	14	14

This account covers the financing of guaranteed loans obligated or committed subsequent to October 1, 1991 as authorized by the Merchant Marine Act of 1936, as amended. Funds are not used for purposes which would contribute to the overcapitalization of the fishing industry. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 13-4314-0-3-376	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Investments in US securities:		
1106 Receivables, net .....	1	1
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	14	14
1502 Interest receivable .....	1	1
1505 Allowance for subsidy cost (-) .....	-15	-15
1599 Net present value of assets related to defaulted guaranteed loans .....	.....	.....
1999 Total assets .....	1	1
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	1	1
4999 Total liabilities and net position .....	1	1

**FEDERAL SHIP FINANCING FUND FISHING VESSELS LIQUIDATING ACCOUNT**
**Status of Guaranteed Loans (in millions of dollars)**

Identification code 13-4417-0-3-376	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	2	1	1
2251 Repayments and prepayments .....	-1	.....	.....
2290 Outstanding, end of year .....	1	1	1

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1	1	1
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	10	10	10
2390	Outstanding, end of year .....	10	10	10

The Federal Ship Financing Fund Vessels Liquidating Account collects premiums and fees of the loan guarantee portfolio that existed prior to 1992. Administrative expenses for management of the loan guarantee portfolio were charged to the Federal Ship Financing Fund prior to the enactment of the Federal Credit Reform Act of 1990.

**Balance Sheet** (in millions of dollars)

Identification code 13-4417-0-3-376	2011 actual	2012 actual	
<b>ASSETS:</b>			
1701	Defaulted guaranteed loans, gross .....	10	10
1703	Allowance for estimated uncollectible loans and interest (-) .....	-8	-8
1799	Value of assets related to loan guarantees .....	2	2
1999	Total assets .....	2	2
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....	2	2
4999	Total liabilities and net position .....	2	2

**U.S. PATENT AND TRADEMARK OFFICE**

**Federal Funds**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the United States Patent and Trademark Office (USPTO) provided for by law, including defense of suits instituted against the Under Secretary of Commerce for Intellectual Property and Director of the USPTO, \$3,071,375,000 to remain available until expended: Provided, That the sum herein appropriated from the general fund shall be reduced as offsetting collections of fees and surcharges assessed and collected by the USPTO under any law are received during fiscal year 2014, so as to result in a fiscal year 2014 appropriation from the general fund estimated at \$0: Provided further, That during fiscal year 2014, should the total amount of such offsetting collections be less than \$3,071,375,000, this amount shall be reduced accordingly: Provided further, That any amount received in excess of \$3,071,375,000 in fiscal year 2014 and deposited in the Patent and Trademark Fee Reserve Fund shall be transferred to the United States Patent and Trademark Office Salaries and Expenses account in the Treasury and remain available until expended: Provided further, That the Director of USPTO shall submit a spending plan to the Committees on Appropriations of the House of Representatives and the Senate for any amounts made available by the preceding proviso and such spending plan shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That from amounts provided herein, not to exceed \$900 shall be made available in fiscal year 2014 for official reception and representation expenses: Provided further, That in fiscal year 2014 from the amounts made available for "Salaries and Expenses" for the USPTO, the amounts necessary to pay (1) the difference between the percentage of basic pay contributed by the USPTO and employees under section 8334(a) of title 5, United States Code, and the normal cost percentage (as defined by section 8331(17) of that title) as provided by the Office of Personnel Management (OPM) for USPTO's specific use, of basic pay, of employees subject to subchapter III of chapter 83 of that title, and (2) the present value of the otherwise unfunded accruing costs, as determined by OPM for USPTO's specific use of post-retirement life insurance and post-retirement health benefits coverage for all USPTO employees who are enrolled in Federal Employees Health Benefits (FEHB) and Federal Employees

Group Life Insurance (FEGLI), shall be transferred to the Civil Service Retirement and Disability Fund, the FEGLI Fund, and the FEHB Fund, as appropriate, and shall be available for the authorized purposes of those accounts: Provided further, That any differences between the present value factors published in OPM's yearly 300 series benefit letters and the factors that OPM provides for USPTO's specific use shall be recognized as an imputed cost on USPTO's financial statements, where applicable: Provided further, That, notwithstanding any other provision of law, all fees and surcharges assessed and collected by USPTO are available for USPTO only pursuant to section 42(c) of title 35, United States Code, as amended by section 22 of the Leahy-Smith America Invents Act (Public Law 112-29): Provided further, That within the amounts appropriated, \$2,000,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to the USPTO.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13-1006-0-1-376	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Patents .....	2,142	2,529	2,745
0802	Trademarks .....	233	263	291
0809	Reimbursable program activities, subtotal .....	2,375	2,792	3,036
0900	Total new obligations .....	2,375	2,792	3,036
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	178	238	220
1021	Recoveries of prior year unpaid obligations .....	23	23	23
1050	Unobligated balance (total) .....	201	261	243
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Base Fee Collections .....	2,407	2,746	3,071
1700	Other Income .....	6	6	6
1710	Spending authority from offsetting collections transferred to other accounts [13-0126] .....	-1	-1	-2
1750	Spending auth from offsetting collections, disc (total) .....	2,412	2,751	3,075
1930	Total budgetary resources available .....	2,613	3,012	3,318
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	238	220	282
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	325	345	383
3010	Obligations incurred, unexpired accounts .....	2,375	2,792	3,036
3020	Outlays (gross) .....	-2,332	-2,731	-3,024
3040	Recoveries of prior year unpaid obligations, unexpired .....	-23	-23	-23
3050	Unpaid obligations, end of year .....	345	383	372
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	325	345	383
3200	Obligated balance, end of year .....	345	383	372
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,412	2,751	3,075
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,070	2,410	2,694
4011	Outlays from discretionary balances .....	262	321	330
4020	Outlays, gross (total) .....	2,332	2,731	3,024
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-8	-8	-8
4033	Non-Federal sources .....	-2,405	-2,744	-3,069
4040	Offsets against gross budget authority and outlays (total) ....	-2,413	-2,752	-3,077
4070	Budget authority, net (discretionary) .....	-1	-1	-2
4080	Outlays, net (discretionary) .....	-81	-21	-53
4180	Budget authority, net (total) .....	-1	-1	-2
4190	Outlays, net (total) .....	-81	-21	-53
<b>Memorandum (non-add) entries:</b>				
5090	Unavailable balance, SOY: Offsetting collections .....	790	790	790

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 13–1006–0–1–376	2012 actual	2013 CR	2014 est.
5091 Unavailable balance, EOY: Offsetting collections .....	790	790	790

The United States Patent and Trademark Office (USPTO) issues patents and registers trademarks, which provide protection to inventors and businesses for their inventions and corporate and product identifications. USPTO also advises other U.S. Government agencies on intellectual property issues and promotes stronger intellectual property protections in other countries. USPTO is funded through fees that are paid to obtain and renew patents and trademarks. The 2014 Budget requests a program level of \$3.08 billion for USPTO and full access for the agency to its fee collections.

*Patent program.*—Requested funding for 2014 will be used for examining patent applications and granting patents. USPTO will continue its aggressive patent pendency reduction agenda to reduce overall pendency and backlog over the next three years; continue to reengineer its quality management program; make improvements to its information technology infrastructure; and improve intellectual property protections worldwide.

*Trademark program.*—The 2014 Budget provides resources for examining trademark applications, registering trademarks, maximizing the use of e-government for conducting business with applicants and registrants, and improving trademark practices worldwide.

Object Classification (in millions of dollars)

Identification code 13–1006–0–1–376	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	2,375	2,792	3,036

Employment Summary

Identification code 13–1006–0–1–376	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	10,469	12,018	12,667

NATIONAL TECHNICAL INFORMATION SERVICE

Federal Funds

NTIS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 13–4295–0–3–376	2012 actual	2013 CR	2014 est.
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<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	66	65	65

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	9	10
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	65	66	66
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	68	66	66
1930 Total budgetary resources available .....	75	75	76
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	10	11

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	24	24
3010 Obligations incurred, unexpired accounts .....	66	65	65
3020 Outlays (gross) .....	–65	–65	–66

3050 Unpaid obligations, end of year .....	24	24	23
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–3	–6	–6
3070 Change in uncollected pymts, Fed sources, unexpired .....	–3		
3090 Uncollected pymts, Fed sources, end of year .....	–6	–6	–6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	18	18
3200 Obligated balance, end of year .....	18	18	17

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	68	66	66
Outlays, gross:			
4010 Outlays from new discretionary authority .....	58	36	36
4011 Outlays from discretionary balances .....	7	29	30
4020 Outlays, gross (total) .....	65	65	66
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–55	–52	–52
4033 Non-Federal sources .....	–10	–14	–14
4040 Offsets against gross budget authority and outlays (total) .....	–65	–66	–66
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–3		
4080 Outlays, net (discretionary) .....		–1	
4190 Outlays, net (total) .....		–1	

The National Technical Information Service (NTIS) collects and disseminates government scientific, technical, and business-related information. NTIS operates this revolving fund for the payment of all expenses incurred in performing these activities.

*Performance measures.*—A detailed presentation of performance outcomes, related measures, and targets is found in the Department's 2014 budget.

Balance Sheet (in millions of dollars)

Identification code 13–4295–0–3–376	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	30	30
1206 Non-Federal assets: Receivables, net .....	1	1
1901 Other Federal assets: Other assets .....	11	11
1999 Total assets .....	42	42
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	8	8
2105 Other .....	7	7
Non-Federal liabilities:		
2201 Accounts payable .....	5	5
2207 Other .....	7	7
2999 Total liabilities .....	27	27
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	15	15
4999 Total liabilities and net position .....	42	42

Object Classification (in millions of dollars)

Identification code 13–4295–0–3–376	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	12	12	12
12.1 Civilian personnel benefits .....	4	4	4
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	4	4	4
25.2 Other services from non-Federal sources .....	31	30	30
25.3 Other goods and services from Federal sources .....	2	2	2
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	2	2	2
99.9 Total new obligations .....	66	65	65

**Employment Summary**

Identification code 13-4295-0-3-376	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	114	150	150

**NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY**  
*Federal Funds*

SCIENTIFIC AND TECHNICAL RESEARCH AND SERVICES

*For necessary expenses of the National Institute of Standards and Technology, \$693,745,000, to remain available until expended, of which not to exceed \$9,000,000 may be transferred to the "Working Capital Fund": Provided, That not to exceed \$5,000 shall be for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13-0500-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Laboratory programs .....	529	537	620
0201 Corporate services .....	19	20	18
0301 Standards coordination and special programs .....	27	43	61
0900 Total new obligations .....	575	600	699
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	19	.....
1010 Unobligated balance transferred to NIST ITS [13-0525] .....	-2	.....	.....
1021 Recoveries of prior year unpaid obligations .....	5	1	1
1050 Unobligated balance (total) .....	19	20	1
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail .....	567	570	694
1120 Transferred to NIST WCF [13-4650] .....	-2	.....	-2
1121 Transferred from State and Local Law Enforcement Assistance, DoJ [15-0404] .....	2	2	.....
1121 Transferred from EAC [95-1650] .....	3	3	3
1121 Transferred from Research, Evaluation, and Statistics, DoJ [15-0401] .....	5	5	3
1160 Appropriation, discretionary (total) .....	575	580	698
1900 Budget authority (total) .....	575	580	698
1930 Total budgetary resources available .....	594	600	699
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Change in obligated balances .....	247	210	167
3010 Obligations incurred, unexpired accounts .....	575	600	699
3020 Outlays (gross) .....	-607	-642	-691
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-1	-1
3050 Unpaid obligations, end of year .....	210	167	174
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	247	210	167
3200 Obligated balance, end of year .....	210	167	174
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	575	580	698
Outlays, gross:			
4010 Outlays (gross), detail .....	420	447	537
4011 Outlays from discretionary balances .....	187	195	154
4020 Outlays, gross (total) .....	607	642	691
4180 Budget authority, net (total) .....	575	580	698
4190 Outlays, net (total) .....	607	642	691

The mission of the National Institute of Standards and Technology (NIST) is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life. For more than 100 years, NIST has maintained the national standards of measurement, a role that the U.S. Constitution assigns to the Federal Government to ensure fairness in the marketplace. Today, the NIST Laboratories address increasingly complex measurement challenges. For example, NIST develops measurements focusing on the very small (e.g., nanotechnology devices) and the very large (e.g., skyscrapers), the physical (e.g., methods for characterizing strands of DNA for forensic testing) and the virtual (e.g., methods for testing electronic health record systems). NIST promotes the use of measurements based on the international system of units (SI). The measurement science research at NIST is useful to all science and engineering disciplines.

The 2014 President's Budget recognizes the important role of NIST programs to advancing innovation by requesting \$694 million for the Scientific and Technical Research and Services (STRS) appropriation, an increase of \$127 million over the FY 2012 enacted level. Within the \$694 million request, current Administration priority areas targeted for budget increases include Advanced Manufacturing, Cybersecurity, Healthcare IT, Disaster Resilience, Forensics, Advanced Communications, and NIST Centers of Excellence in measurement science and new technology areas.

*Performance Measures.*—A detailed presentation of performance outcomes, measures, and targets is found in the Department's FY 2014 budget submission.

**Object Classification** (in millions of dollars)

Identification code 13-0500-0-1-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	199	202	219
11.3 Other than full-time permanent .....	14	15	15
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	218	222	239
12.1 Civilian personnel benefits .....	62	64	70
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	9	10	11
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	22	21	35
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	71	90	85
25.3 Other goods and services from Federal sources .....	16	16	23
25.5 Research and development contracts .....	1	1	16
25.7 Operation and maintenance of equipment .....	13	13	15
26.0 Supplies and materials .....	32	32	38
31.0 Equipment .....	47	47	56
41.0 Grants, subsidies, and contributions .....	79	79	106
99.9 Total new obligations .....	575	600	699

**Employment Summary**

Identification code 13-0500-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,042	2,202	2,362

INDUSTRIAL TECHNOLOGY SERVICES

*For necessary expenses of the Industrial Technology Services, \$174,507,000, to remain available until expended, of which \$153,078,000 shall be for the Hollings Manufacturing Extension Partnership, and of which \$21,429,000 shall be for the Advanced Manufacturing Technology Consortia.*

INDUSTRIAL TECHNOLOGY SERVICES—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 13–0525–0–1–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Technology Innovation Program .....	4	3	.....
0002 Hollings Manufacturing Extension Partnership .....	129	135	153
0003 Baldrige Performance Excellence Program .....	2	.....	.....
0004 Advanced Manufacturing Technology Consortia .....	.....	.....	21
0100 Total direct program .....	135	138	174
0900 Total new obligations .....	135	138	174
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	7	.....
1011 Unobligated balance transfer from other accts [13–0500] ....	2	.....	.....
1021 Recoveries of prior year unpaid obligations .....	4	2	.....
1050 Unobligated balance (total) .....	14	9	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	128	129	174
1160 Appropriation, discretionary (total) .....	128	129	174
1900 Budget authority (total) .....	128	129	174
1930 Total budgetary resources available .....	142	138	174
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	147	120	73
3010 Obligations incurred, unexpired accounts .....	135	138	174
3020 Outlays (gross) .....	–158	–183	–161
3040 Recoveries of prior year unpaid obligations, unexpired .....	–4	–2	.....
3050 Unpaid obligations, end of year .....	120	73	86
Memorandum (non-add) entries:			
3100 Outlays balance, start of year .....	147	120	73
3200 Obligated balance, end of year .....	120	73	86
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	128	129	174
Outlays, gross:			
4010 Outlays from new discretionary authority .....	54	71	90
4011 Outlays from discretionary balances .....	104	112	71
4020 Outlays, gross (total) .....	158	183	161
4180 Budget authority, net (total) .....	128	129	174
4190 Outlays, net (total) .....	158	183	161

NIST requests \$175 million for the ITS appropriation, which consists of two extramural programs, the Hollings Manufacturing Extension Partnership (MEP) and the Advanced Manufacturing Technology Consortia program (AMTech).

*Hollings Manufacturing Extension Partnership (MEP).*—The request includes \$153 million for MEP, a \$25 million increase over the FY 2012 enacted level. MEP is a Federal-State-industry partnership that provides U.S. manufacturers with access to technologies, resources, and industry experts. The program consists of 60 MEP Centers that work directly with their local manufacturing communities to strengthen the competitiveness of our Nation's domestic manufacturing base. MEP supports the mission of NIST and the Department of Commerce to promote U.S. innovation and competitiveness and enable economic growth for American industries, workers, and consumers. Across the country, MEP Centers serve as trusted advisors to their manufacturing clients. MEP helps companies navigate economic and business challenges, and provides an innovation framework to enable them to capitalize on opportunities and develop pathways

leading to profitable growth. Services provided by MEP are grounded in technology-related activities, sustainability, efficiencies through continuous improvement, and the integration of supply chains. The 2014 Budget provides an increase of \$25 million to establish Manufacturing Technology Acceleration Centers that will enhance MEP's ability to help manufacturers with technology adoption and supply chain development.

*Advanced Manufacturing Technology Consortia (AMTech).*—The request includes \$21 million for the Advanced Manufacturing Technology Consortia program (AMTech). AMTech will provide grants to leverage existing consortia or establish new industry-led consortia to develop road-maps of critical long-term industrial research needs as well as fund facilities, equipment and research at leading universities and government laboratories directed at meeting these needs. This program would be based on NIST's experience with the Nanoelectronics Research Initiative (NRI) partnership and would expand and improve on that model.

*Performance Measures.*—A detailed presentation of performance outcomes, related measures, and targets is found in the Department's 2014 budget.

Object Classification (in millions of dollars)

Identification code 13–0525–0–1–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	12	9	9
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	13	10	10
12.1 Civilian personnel benefits .....	4	3	3
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	2
25.2 Other services from non-Federal sources .....	9	21	16
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	106	101	140
99.0 Direct obligations .....	135	138	173
99.5 Below reporting threshold .....	.....	.....	1
99.9 Total new obligations .....	135	138	174

Employment Summary

Identification code 13–0525–0–1–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	127	94	94

CONSTRUCTION OF RESEARCH FACILITIES

*For construction of new research facilities, including architectural and engineering design, and for renovation and maintenance of existing facilities, not otherwise provided for the National Institute of Standards and Technology, as authorized by 15 U.S.C. 278c-278e, \$60,040,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 13–0515–0–1–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	34	91	60
0801 Reimbursable program .....	1	1	.....
0900 Total new obligations .....	35	92	60
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	35	.....

1021	Recoveries of prior year unpaid obligations .....		1	
1050	Unobligated balance (total) .....	14	36	
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	55	56	60
1160	Appropriation, discretionary (total) .....	55	56	60
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	1		
1750	Spending auth from offsetting collections, disc (total) .....	1		
1900	Budget authority (total) .....	56	56	60
1930	Total budgetary resources available .....	70	92	60
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	35		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Change in obligated balances .....	455	206	183
3010	Obligations incurred, unexpired accounts .....	35	92	60
3020	Outlays (gross) .....	-284	-114	-71
3040	Recoveries of prior year unpaid obligations, unexpired .....		-1	
3050	Unpaid obligations, end of year .....	206	183	172
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	455	206	183
3200	Obligated balance, end of year .....	206	183	172
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	56	56	60
	Outlays, gross:			
4010	Outlays (gross), detail .....	10	7	7
4011	Outlays from discretionary balances .....	274	107	64
4020	Outlays, gross (total) .....	284	114	71
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4034	Offsetting governmental collections .....	-1		
4180	Budget authority, net (total) .....	55	56	60
4190	Outlays, net (total) .....	283	114	71

This appropriation supports the construction of new facilities and the renovation and maintenance of NIST's current buildings and laboratories to comply with scientific and engineering requirements and to keep pace with Federal, State, and local health and safety regulations. The Construction of Research Facilities (CRF) request totals \$60 million, an increase of \$5 million over the FY 2012 enacted level.

**Object Classification** (in millions of dollars)

Identification code 13-0515-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	8	8
11.5	Other personnel compensation .....	1	1
11.9	Total personnel compensation .....	9	9
12.1	Civilian personnel benefits .....	2	2
23.3	Communications, utilities, and miscellaneous charges .....		1
25.2	Other services from non-Federal sources .....	17	49
25.7	Operation and maintenance of equipment .....	1	1
26.0	Supplies and materials .....	2	2
32.0	Land and structures .....	3	28
99.0	Direct obligations .....	34	91
99.0	Reimbursable obligations .....	1	1
99.9	Total new obligations .....	35	92

**Employment Summary**

Identification code 13-0515-0-1-376	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	89	89

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 13-4650-0-4-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	Laboratory programs .....	153	173
0802	Corporate services .....	2	4
0803	Standards coordination and special programs .....	14	6
0804	Baldrige Performance Excellence Program .....		1
0812	Hollings manufacturing extension partnership .....	2	6
0900	Total new obligations .....	171	190
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	126	136
Budget authority:			
Appropriations, discretionary:			
1121	Transferred from NIST STRS [13-0500] .....	2	
1160	Appropriation, discretionary (total) .....	2	
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	173	170
1701	Change in uncollected payments, Federal sources .....	6	
1750	Spending auth from offsetting collections, disc (total) .....	179	170
1900	Budget authority (total) .....	181	170
1930	Total budgetary resources available .....	307	306
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	136	116
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Change in obligated balances .....	145	149
3010	Obligations incurred, unexpired accounts .....	171	190
3020	Outlays (gross) .....	-167	-243
3050	Unpaid obligations, end of year .....	149	96
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-37	-43
3070	Change in uncollected pymts, Fed sources, unexpired .....	-6	
3090	Uncollected pymts, Fed sources, end of year .....	-43	-43
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	108	106
3200	Obligated balance, end of year .....	106	53
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	181	170
Outlays, gross:			
4010	Outlays (gross), detail .....	117	131
4011	Outlays from discretionary balances .....	50	112
4020	Outlays, gross (total) .....	167	243
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-122	-120
4033	Non-Federal sources .....	-51	-50
4040	Offsets against gross budget authority and outlays (total) .....	-173	-170
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-6	
4070	Budget authority, net (discretionary) .....	2	
4080	Outlays, net (discretionary) .....	-6	73
4180	Budget authority, net (total) .....	2	
4190	Outlays, net (total) .....	-6	73

The Working Capital Fund finances research and technical services performed for other Government agencies and the public. These activities are funded through advances and reimbursements. The Fund also finances the acquisition of equipment, standard reference materials, and storeroom inventories until issued or sold.

**Object Classification** (in millions of dollars)

Identification code 13-4650-0-4-376	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	68	69
11.3	Other than full-time permanent .....	5	5

WORKING CAPITAL FUND—Continued  
Object Classification—Continued

Identification code 13-4650-0-4-376	2012 actual	2013 CR	2014 est.
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	74	75	75
12.1 Civilian personnel benefits .....	21	21	21
21.0 Travel and transportation of persons .....	3	2	2
22.0 Transportation of things .....	1	1	.....
23.2 Rental payments to others .....	1	.....	.....
23.3 Communications, utilities, and miscellaneous charges .....	6	6	6
25.1 Advisory and assistance services .....	1	.....	.....
25.2 Other services from non-Federal sources .....	20	20	7
25.3 Other goods and services from Federal sources .....	8	9	6
25.7 Operation and maintenance of equipment .....	3	3	2
26.0 Supplies and materials .....	14	15	8
31.0 Equipment .....	10	28	20
41.0 Grants, subsidies, and contributions .....	9	10	5
99.9 Total new obligations .....	171	190	152

Employment Summary

Identification code 13-4650-0-4-376	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	715	756	756

WIRELESS INNOVATION (WIN) FUND

As part of the Middle Class Tax Relief and Job Creation Act of 2012, NIST will receive \$100 million to conduct public safety research and development as part of a Wireless Innovation (WIN) Fund. The WIN Fund will initially provide \$100 million from spectrum auction proceeds to help industry and public safety organizations conduct research and develop new standards, technologies and applications to advance public safety communications in support of the initiative's efforts to build an interoperable nationwide broadband network for first responders.

NATIONAL NETWORK FOR MANUFACTURING INNOVATION

As part of its efforts to revitalize U.S. manufacturing, the Administration is proposing \$1 billion in mandatory funding to establish a National Network for Manufacturing Innovation (NNMI), which will consist of a network of institutes where researchers, companies, and entrepreneurs can come together to develop new manufacturing technologies with broad applications. Each institute will have a unique technology focus. These institutes will help support an ecosystem of manufacturing activity in local areas. The Manufacturing Innovation Institutes will support manufacturing technology commercialization by allowing new manufacturing processes and technologies to progress more smoothly from basic research to implementation in manufacturing.

The NNMI Federal investment is designed to catalyze industry and non-federal co-investment in advanced manufacturing. Each institute is expected to have a plan to become self-sustaining and fully independent of NNMI Federal funds five to seven years after launch.

NATIONAL NETWORK FOR MANUFACTURING INNOVATION

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 13-0530-4-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	.....	.....	148

Budgetary Resources:

Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	.....	1,000
1260	Appropriations, mandatory (total) .....	.....	1,000
1930	Total budgetary resources available .....	.....	1,000
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	.....	852

Change in obligated balance:

Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	.....	148
3020	Outlays (gross) .....	.....	-38
3050	Unpaid obligations, end of year .....	.....	110
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....	.....	110

Budget authority and outlays, net:

Mandatory:			
4090	Budget authority, gross .....	.....	1,000
Outlays, gross:			
4100	Outlays from new mandatory authority .....	.....	38
4180	Budget authority, net (total) .....	.....	1,000
4190	Outlays, net (total) .....	.....	38

Object Classification (in millions of dollars)

Identification code 13-0530-4-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	.....	2
12.1	Civilian personnel benefits .....	.....	1
23.3	Communications, utilities, and miscellaneous charges .....	.....	1
25.1	Advisory and assistance services .....	.....	1
25.2	Other services from non-Federal sources .....	.....	2
25.3	Other goods and services from Federal sources .....	.....	2
41.0	Grants, subsidies, and contributions .....	.....	139
99.9	Total new obligations .....	.....	148

Employment Summary

Identification code 13-0530-4-1-376	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	.....	19

NATIONAL TELECOMMUNICATIONS AND  
INFORMATION ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses, as provided for by law, of the National Telecommunications and Information Administration (NTIA), \$52,122,300, to remain available until September 30, 2015: Provided, That, notwithstanding 31 U.S.C. 1535(d), the Secretary of Commerce shall charge Federal agencies for costs incurred in spectrum management, analysis, operations, and related services, and such fees shall be retained and used as offsetting collections for costs of such spectrum services, to remain available until expended: Provided further, That the Secretary of Commerce is authorized to retain and use as offsetting collections all funds transferred, or previously transferred, from other Government agencies for all costs incurred in telecommunications research, engineering, and related activities by the Institute for Telecommunication Sciences of NTIA, in furtherance of its assigned functions under this paragraph, and such funds received from other Government agencies shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13-0550-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Domestic and international policy .....	6	6	6
0002 Spectrum management .....	8	7	7
0003 Telecommunications sciences research .....	7	7	5
0004 Broadband programs .....	24	26	25
0005 Wireless broadband access .....	.....	.....	1
0006 Spectrum Measurement Pilot .....	.....	.....	8
0100 Total, direct program .....	45	46	52
0799 Total direct obligations .....	45	46	52
0801 Spectrum management .....	7	45	29
0802 Telecommunication sciences research .....	30	16	8
0899 Total reimbursable obligations .....	37	61	37
0900 Total new obligations .....	82	107	89
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	22	.....
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	23	22	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	46	46	52
1160 Appropriation, discretionary (total) .....	46	46	52
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	41	39	37
1701 Change in uncollected payments, Federal sources .....	-3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	38	39	37
1900 Budget authority (total) .....	84	85	89
1930 Total budgetary resources available .....	107	107	89
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3	.....	.....
1941 Unexpired unobligated balance, end of year .....	22	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	28	30
3010 Obligations incurred, unexpired accounts .....	82	107	89
3020 Outlays (gross) .....	-81	-105	-89
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	28	30	30
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	27	29
3200 Obligated balance, end of year .....	27	29	29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	84	85	89
Outlays, gross:			
4010 Outlays from new discretionary authority .....	62	68	72
4011 Outlays from discretionary balances .....	19	37	17
4020 Outlays, gross (total) .....	81	105	89
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-41	-39	-37
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	3	.....	.....
4070 Budget authority, net (discretionary) .....	46	46	52
4080 Outlays, net (discretionary) .....	40	66	52
4180 Budget authority, net (total) .....	46	46	52
4190 Outlays, net (total) .....	40	66	52

The National Telecommunications and Information Administration (NTIA) is the principal Executive Branch adviser on domestic and international telecommunications and Internet policy. NTIA manages the Federal Government's use of the radio frequency spectrum and performs extensive research in telecommunication sciences.

During FY 2014, NTIA will continue to evaluate options for repurposing spectrum for broadband use, in support of the President's goal of making 500 MHz of spectrum available for wireless broadband use. As part of this effort and in support of recommendations made by the President's Council of Advisors on Science and Technology, the FY 2014 Budget provides \$7.5 million for NTIA to develop a spectrum monitoring system in 10 major metropolitan areas to measure spectrum usage patterns with the goal of identifying and determining the feasibility of potential repurposing opportunities. In addition, NTIA will: (1) oversee the First Responder Network Authority, which was created by the Middle Class Tax Relief and Job Creation Act of 2012 to build a broadband network for first responders, (2) lead the formation of domestic and international Internet policies pertaining to consumer data privacy, the global free flow of information, and other matters impacting the global Internet economy and (3) monitor broadband grants that were awarded under the American Recovery and Reinvestment Act of 2009 to ensure that funds are used appropriately by recipients and that promised project benefits are delivered to the American people.

**Object Classification** (in millions of dollars)

Identification code 13-0550-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	15	17	19
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	2	1	2
25.3 Other goods and services from Federal sources .....	19	20	19
31.0 Equipment .....	2	1	5
99.0 Direct obligations .....	45	46	52
99.0 Reimbursable obligations .....	36	61	37
99.5 Below reporting threshold .....	1	.....	.....
99.9 Total new obligations .....	82	107	89

**Employment Summary**

Identification code 13-0550-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	140	147	154
2001 Reimbursable civilian full-time equivalent employment .....	117	155	155

**PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND CONSTRUCTION**

For the administration of prior-year grants, recoveries and unobligated balances of funds previously appropriated are available for the administration of all open grants until their expiration.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 13-0551-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Program management .....	1	5	.....
0900 Total new obligations .....	1	5	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	8	.....
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	12	8	.....

**PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND CONSTRUCTION—Continued**

**Program and Financing—Continued**

Identification code 13-0551-0-1-503	2012 actual	2013 CR	2014 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-3	-3	.....
1160 Appropriation, discretionary (total) .....	-3	-3	.....
1930 Total budgetary resources available .....	9	5	.....
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	5	7
3010 Obligations incurred, unexpired accounts .....	1	5	.....
3020 Outlays (gross) .....	-11	-3	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	5	7	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	20	5	7
3200 Obligated balance, end of year .....	5	7	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-3	-3	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	11	3	2
4180 Budget authority, net (total) .....	-3	-3	.....
4190 Outlays, net (total) .....	11	3	2

This program was terminated in FY 2011. However, the 2014 Budget proposes to continue to use grant recoveries and unobligated balances of funds previously appropriated to administer prior-year grants until their expiration.

**Object Classification (in millions of dollars)**

Identification code 13-0551-0-1-503	2012 actual	2013 CR	2014 est.
25.3 Direct obligations: Other goods and services from Federal sources .....	1	4	.....
99.5 Below reporting threshold .....	.....	1	.....
99.9 Total new obligations .....	1	5	.....

**Employment Summary**

Identification code 13-0551-0-1-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	1	.....

**INFORMATION INFRASTRUCTURE GRANTS**

**Program and Financing (in millions of dollars)**

Identification code 13-0552-0-1-503	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	.....
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-2	-1	.....
1160 Appropriation, discretionary (total) .....	-2	-1	.....
1930 Total budgetary resources available .....	1	.....	.....
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	.....	.....
3020 Outlays (gross) .....	-1	.....	.....

<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-2	-1	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	.....	.....
4180 Budget authority, net (total) .....	-2	-1	.....
4190 Outlays, net (total) .....	1	.....	.....

This program was discontinued in 2005, and all close-out activities were completed in FY 2012.

**BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT**

**Program and Financing (in millions of dollars)**

Identification code 13-0554-0-1-376	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,386	1,709	347
3020 Outlays (gross) .....	-1,589	-1,362	-347
3041 Recoveries of prior year unpaid obligations, expired .....	-88	.....	.....
3050 Unpaid obligations, end of year .....	1,709	347	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3,386	1,709	347
3200 Obligated balance, end of year .....	1,709	347	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1,589	1,362	347
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	4	.....	.....
4080 Outlays, net (discretionary) .....	1,585	1,362	347
4190 Outlays, net (total) .....	1,585	1,362	347

The American Recovery and Reinvestment Act of 2009 provided over \$4 billion to deploy broadband and promote adoption in underserved areas. NTIA will continue to provide oversight of active projects funded through these grants.

**DIGITAL TELEVISION TRANSITION AND PUBLIC SAFETY FUND**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 13-5396-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	4	8
Appropriations:			
0500 Digital Television Transition and Public Safety Fund .....	.....	4	.....
0501 Digital Television Transition and Public Safety Fund .....	4	.....	.....
0599 Total appropriations .....	4	4	.....
0799 Balance, end of year .....	4	8	8

**Program and Financing (in millions of dollars)**

Identification code 13-5396-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0006 National Alert Program .....	18	.....	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8,787	8,765	.....
1022 Capital transfer of unobligated balances to general fund .....	.....	-8,761	.....
1050 Unobligated balance (total) .....	8,787	4	.....

Budget authority:			
Appropriations, discretionary:			
1133	Unobligated balance of appropriations temporarily reduced .....	-4	
1160	Appropriation, discretionary (total) .....	-4	
Appropriations, mandatory:			
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-4	
1260	Appropriations, mandatory (total) .....	-4	
1900	Budget authority (total) .....	-4	-4
1930	Total budgetary resources available .....	8,783	
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	8,765	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	276	74 34
3010	Obligations incurred, unexpired accounts .....	18	
3020	Outlays (gross) .....	-220	-40 -34
3050	Unpaid obligations, end of year .....	74	34
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	276	74 34
3200	Obligated balance, end of year .....	74	34

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	-4	
Mandatory:			
4090	Budget authority, gross .....	-4	
Outlays, gross:			
4101	Outlays from mandatory balances .....	220	40 34
4180	Budget authority, net (total) .....	-4	-4
4190	Outlays, net (total) .....	220	40 34

The Digital Television Transition and Public Safety Fund, created by the Deficit Reduction Act of 2005, as amended by the DTV Delay Act, received offsetting receipts from the auction of licenses to use electromagnetic spectrum formerly assigned to broadcast television service, and provided funding for several one-time programs from these receipts. Authority for all programs funded under the Act has expired.

**Object Classification** (in millions of dollars)

Identification code 13-5396-0-2-376			
	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	
25.3	Other goods and services from Federal sources .....	1	
41.0	Grants, subsidies, and contributions .....	16	
99.9	Total new obligations .....	18	

**Employment Summary**

Identification code 13-5396-0-2-376			
	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	6	

**STATE AND LOCAL IMPLEMENTATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 13-0516-0-1-376			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	Reimbursable program activity .....	125	10
<b>Budgetary Resources:</b>			
Budget authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	125	10
1440	Borrowing authority, mandatory (total) .....	125	10
1900	Budget authority (total) .....	125	10
1930	Total budgetary resources available .....	125	10

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		112
3010	Obligations incurred, unexpired accounts .....	125	10
3020	Outlays (gross) .....	-13	-78
3050	Unpaid obligations, end of year .....	112	44
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		112
3200	Obligated balance, end of year .....	112	44

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	125	10
Outlays, gross:			
4100	Outlays from new mandatory authority .....	13	9
4101	Outlays from mandatory balances .....		69
4110	Outlays, gross (total) .....	13	78
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180	Budget authority, net (total) .....	125	10
4190	Outlays, net (total) .....	13	78

<b>Memorandum (non-add) entries:</b>			
5080	Outstanding debt, SOY: Repayable advances .....		-13
5081	Outstanding debt, EOY: Repayable advances .....	-13	-91
5082	Borrowing: Repayable advances .....	-13	-78

The Middle Class Tax Relief and Job Creations Act of 2012 provides \$135 million for grants to state and localities to plan for the build-out of a nationwide broadband network for first responders. The grants will support State, regional, tribal, and local jurisdictions to identify, plan and implement the most efficient and effective options for utilizing and integrating infrastructure associated with the nationwide public safety broadband network. The Act provides borrowing authority to support these activities until spectrum auctions are conducted and their proceeds are available through the Public Safety Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 13-0516-0-1-376			
	2012 actual	2013 CR	2014 est.
99.9	Total new obligations .....	125	10

**Employment Summary**

Identification code 13-0516-0-1-376			
	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	10	10

**NETWORK CONSTRUCTION FUND**

**Program and Financing** (in millions of dollars)

Identification code 13-4358-0-3-376			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	Reimbursable program activity .....		257
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		257
1850	Spending auth from offsetting collections, mand (total) .....		257
1930	Total budgetary resources available .....		257

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		257
3020	Outlays (gross) .....		-173
3050	Unpaid obligations, end of year .....		84
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		84

**NETWORK CONSTRUCTION FUND—Continued**  
**Program and Financing—Continued**

Identification code 13-4358-0-3-376	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			257
Outlays, gross:			
4100 Outlays from new mandatory authority .....			173
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			-257
4190 Outlays, net (total) .....			-84

The Middle Class Tax Relief and Job Creation Act of 2012 created this account to pay for certain expenses related to the construction of a broadband network for public safety users. All resources are derived from the Public Safety Trust Fund.

**Object Classification (in millions of dollars)**

Identification code 13-4358-0-3-376	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....			4
12.1 Civilian personnel benefits .....			2
21.0 Travel and transportation of persons .....			1
23.1 Rental payments to GSA .....			10
25.2 Other services from non-Federal sources .....			157
25.3 Other goods and services from Federal sources .....			3
26.0 Supplies and materials .....			2
31.0 Equipment .....			78
99.9 Total new obligations .....			257

**Employment Summary**

Identification code 13-4358-0-3-376	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....			24

**Trust Funds**

**PUBLIC SAFETY TRUST FUND**

**Program and Financing (in millions of dollars)**

Identification code 13-8233-0-7-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	86	1,913

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	5
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2	90	1,908
1440 Borrowing authority, mandatory (total) .....	2	90	1,908
1900 Budget authority (total) .....	2	90	1,908
1930 Total budgetary resources available .....	2	91	1,913
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	5	

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	45
3010 Obligations incurred, unexpired accounts .....	1	86	1,913
3020 Outlays (gross) .....		-42	-263
3050 Unpaid obligations, end of year .....	1	45	1,695
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	45
3200 Obligated balance, end of year .....	1	45	1,695

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	2	90	1,908

Outlays, gross:			
4100 Outlays from new mandatory authority .....		41	215
4101 Outlays from mandatory balances .....		1	48
4110 Outlays, gross (total) .....		42	263
4180 Budget authority, net (total) .....	2	90	1,908
4190 Outlays, net (total) .....		42	263

**Memorandum (non-add) entries:**

5080 Outstanding debt, SOY: Repayable advances .....			-104
5081 Outstanding debt, EOY: Repayable advances .....		-104	-470
5082 Borrowing: Repayable advances .....		-104	-366

The Middle Class Tax Relief and Job Creation Act of 2012 authorizes the repurposing of spectrum from private license holders to wireless broadband through the use of voluntary incentive auctions. A portion of the proceeds from these incentive auctions and other auctions authorized in the Act will be deposited in the Public Safety Trust Fund. The Act directs that over \$7 billion of these proceeds be used to support the establishment of a nationwide, interoperable public safety broadband network to support first responders and make other improvements to emergency communications. The Act also created the First Responder Network Authority (FirstNet) within NTIA to manage the communications network and allows NTIA to borrow over \$2 billion prior to the auctions authorized by the Act to support the establishment of the network.

**Object Classification (in millions of dollars)**

Identification code 13-8233-0-7-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....		5	4
11.5 Other personnel compensation .....		2	2
11.9 Total personnel compensation .....		7	6
21.0 Travel and transportation of persons .....		1	
23.1 Rental payments to GSA .....		1	
25.2 Other services from non-Federal sources .....	1	65	
25.3 Other goods and services from Federal sources .....		1	1,907
31.0 Equipment .....		11	
99.9 Total new obligations .....	1	86	1,913

**Employment Summary**

Identification code 13-8233-0-7-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2	31	40

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
13-271710 Fisheries Finance, Negative Subsidies .....	6	5	6
13-271730 Fisheries Finance, Downward Reestimates of Subsidies .....	6	21	
13-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	6		
General Fund Offsetting receipts from the public .....	18	26	6
Intragovernmental payments:			
13-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	18		
General Fund Intragovernmental payments .....	18		

**GENERAL PROVISIONS—DEPARTMENT OF COMMERCE**

SEC. 101. During the current fiscal year, applicable appropriations and funds made available to the Department of Commerce by this Act shall

be available for the activities specified in the Act of October 26, 1949 (15 U.S.C. 1514), to the extent and in the manner prescribed by the Act, and, notwithstanding 31 U.S.C. 3324, may be used for advanced payments not otherwise authorized only upon the certification of officials designated by the Secretary of Commerce that such payments are in the public interest.

SEC. 102. During the current fiscal year, appropriations made available to the Department of Commerce by this Act for salaries and expenses shall be available for hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; services as authorized by 5 U.S.C. 3109; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901–5902).

SEC. 103. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Commerce in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 104. Any costs incurred by a department or agency funded under this title resulting from personnel actions taken in response to funding reductions included in this title or from actions taken for the care and protection of loan collateral or grant property shall be absorbed within the total budgetary resources available to such department or agency: Provided, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: Provided further, That use of funds to carry out this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 105. Notwithstanding any other provision of law, the Secretary may furnish services (including but not limited to utilities, telecommunications, and security services) necessary to support the operation, maintenance, and improvement of space that persons, firms, or organizations are authorized, pursuant to the Public Buildings Cooperative Use Act of 1976 or other authority, to use or occupy in the Herbert C. Hoover Building, Washington, DC, or other buildings, the maintenance, operation, and protection of which has been delegated to the Secretary from the Administrator of General Services pursuant to the Federal Property and Administrative Services Act of 1949, as amended, on a reimbursable or non-reimbursable basis. Amounts received as reimbursement for services provided under this section or the authority under which the use or occupancy of the space is authorized, up to \$200,000, shall be credited to the appropriation or fund which initially bears the costs of such services.

SEC. 106. Nothing in this title shall be construed to prevent a grant recipient from deterring child pornography, copyright infringement, or any other unlawful activity over its networks.

SEC. 107. The Administrator of the National Oceanic and Atmospheric Administration is authorized to use, with their consent, with reimbursement and subject to the limits of available appropriations, the land, services, equipment, personnel, and facilities of any department, agency, or instrumentality of the United States, or of any State, local government, Indian tribal government, Territory, or possession, or of any political subdivision thereof, or of any foreign government or international organization, for purposes related to carrying out the responsibilities of any statute administered by the National Oceanic and Atmospheric Administration.

SEC. 108. The Secretary of Commerce may use funds appropriated to the Department of Commerce in this or any other Act to engage in activities that provide businesses and communities with information, advice, and referrals to Federal Government programs or related programs of state, local, and other governmental entities, non-profit entities, or other businesses: Provided, That, in carrying out these activities, the Secretary may use space provided by such governmental entities on a reimbursable or nonreimbursable basis.

SEC. 109. In order to provide support for environmental and biological studies, surveys, and investigations related to the orderly exploration and development of Outer Continental Shelf and renewable energy resources as authorized by law, and notwithstanding 31 U.S.C. 1301(a), 1532, and 3302(b), and 18 U.S.C. 209, the Department of Commerce's National Oceanic and Atmospheric Administration is authorized: to enter into grants, contracts or other forms of financial assistance with; to use on a

non-reimbursable basis land, buildings, and equipment made available by; and to receive and expend funds made available by any Federal agency, State or subdivision thereof, local government, Tribal government, Territory or possession or any subdivision thereof.

SEC. 110. There is hereby established in the National Oceanic and Atmospheric Administration a Working Capital Fund, which shall be available without fiscal year limitation, for expenses and equipment necessary for the performance of such services and projects that the Administrator of the National Oceanic and Atmospheric Administration determines may be performed more advantageously when centralized: Provided, That such central services shall, to the fullest extent practicable, be used to make unnecessary the maintenance of separate, like services in the divisions and offices of the National Oceanic and Atmospheric Administration and the Department of Commerce: Provided further, That a separate schedule of expenditures and reimbursements, and a statement of the current assets and liabilities of the Working Capital Fund, as of the close of the last completed fiscal year, shall be prepared each year: Provided further, That notwithstanding 31 U.S.C. 3302, the Working Capital Fund may be credited with advances and reimbursements from applicable appropriations of the divisions and offices for whom the services are provided: Provided further, That any inventories, equipment, and other assets pertaining to the services to be provided by such funds, either on hand or on order, less the related liabilities or unpaid obligations, and any appropriations made hereafter for the purpose of providing capital, shall be used to capitalize the Working Capital Fund: Provided further, That the Working Capital Fund shall provide for centralized services at rates which will return in full all expenses of operation, including depreciation or replacement of Fund plant, equipment, and automated data processing software and hardware systems, and an amount necessary to maintain a reasonable operating reserve as determined by the Administrator of the National Oceanic and Atmospheric Administration and the Secretary of Commerce.

## GENERAL PROVISIONS

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 503. If any provision of this Act or the application of such provision to any person or circumstances shall be held invalid, the remainder of the Act and the application of each provision to persons or circumstances other than those as to which it is held invalid shall not be affected thereby.

SEC. 504. None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that: (1) creates or initiates a new program, project or activity; (2) eliminates a program, project or activity; (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted; (4) relocates an office or employees; (5) reorganizes offices, programs or activities; (6) contracts out or privatizes any functions or activities presently performed by Federal employees; (7) augments existing programs, projects or activities in excess of \$1,000,000 or 10 percent, whichever is less, or reduces by 10 percent funding for any program, project or activity, or numbers of personnel by 10 percent; or (8) results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, projects or activities as approved by Congress; unless the House and Senate Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

SEC. 505. (a) If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the

United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

(b)(1) To the extent practicable, with respect to authorized purchases of promotional items, funds made available by this Act shall be used to purchase items that are manufactured, produced, or assembled in the United States, its territories or possessions.

(2) The term "promotional items" has the meaning given the term in OMB Circular A-87, Attachment B, Item (1)(f)(3).

SEC. 506. Any costs incurred by a department or agency funded under this Act resulting from, or to prevent, personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available to such department or agency: Provided, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: Provided further, That use of funds to carry out this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 507. None of the funds provided by this Act shall be available to promote the sale or export of tobacco or tobacco products.

SEC. 508. None of the funds appropriated pursuant to this Act or any other provision of law may be used for—

(1) the implementation of any tax or fee in connection with the implementation of subsection 922(t) of title 18, United States Code; and

(2) any system to implement subsection 922(t) of title 18, United States Code, that does not require and result in the destruction of any identifying information submitted by or on behalf of any person who has been determined not to be prohibited from possessing or receiving a firearm no more than 24 hours after the system advises a Federal firearms licensee that possession or receipt of a firearm by the prospective transferee would not violate subsection (g) or (n) of section 922 of title 18, United States Code, or State law.

SEC. 509. None of the funds made available to the Department of Justice in this Act may be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.

SEC. 510. (a) Tracing studies conducted by the Bureau of Alcohol, Tobacco, Firearms and Explosives are released without adequate disclaimers regarding the limitations of the data.

(b) The Bureau of Alcohol, Tobacco, Firearms and Explosives shall include in all such data releases, language similar to the following that would make clear that trace data cannot be used to draw broad conclusions about firearms-related crime:

(1) Firearm traces are designed to assist law enforcement authorities in conducting investigations by tracking the sale and possession of specific firearms. Law enforcement agencies may request firearms traces for any reason, and those reasons are not necessarily reported to the Federal Government. Not all firearms used in crime are traced and not all firearms traced are used in crime.

(2) Firearms selected for tracing are not chosen for purposes of determining which types, makes, or models of firearms are used for illicit purposes. The firearms selected do not constitute a random sample and should not be considered representative of the larger universe of all firearms used by criminals, or any subset of that universe. Firearms are normally traced to the first retail seller, and sources reported for firearms traced do not necessarily represent the sources or methods by which firearms in general are acquired for use in crime.

SEC. 511. None of the funds made available in this Act shall be used in any way whatsoever to support or justify the use of torture by any official or contract employee of the United States Government.

SEC. 512. None of the funds made available in this Act may be used to authorize or issue a national security letter in contravention of any of the

following laws authorizing the Federal Bureau of Investigation to issue national security letters: The Right to Financial Privacy Act; The Electronic Communications Privacy Act; The Fair Credit Reporting Act; The National Security Act of 1947; USA PATRIOT Act; and the laws amended by these Acts.

SEC. 513. If at any time during any quarter, the program manager of a project within the jurisdiction of the Departments of Commerce or Justice, the National Aeronautics and Space Administration, or the National Science Foundation totaling more than \$250,000,000 has reasonable cause to believe that the total program cost has increased by 15 percent, the program manager shall immediately inform the respective Secretary, Administrator, or Director. The Secretary, Administrator, or Director shall notify the House and Senate Committees on Appropriations within 30 days in writing of such increase, and shall include in such notice: the date on which such determination was made; a statement of the reasons for such increases; the action taken and proposed to be taken to control future cost growth of the project; changes made in the performance or schedule milestones and the degree to which such changes have contributed to the increase in total program costs or procurement costs; new estimates of the total project or procurement costs; and a statement validating that the project's management structure is adequate to control total project or procurement costs.

SEC. 514. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of the Intelligence Authorization Act for fiscal year 2014.

SEC. 515. None of the funds appropriated or otherwise made available by this Act may be used to enter into a contract in an amount greater than \$5,000,000 or to award a grant in excess of such amount unless the prospective contractor or grantee certifies in writing to the agency awarding the contract or grant that, to the best of its knowledge and belief, the contractor or grantee has filed all Federal tax returns required during the three years preceding the certification, has not been convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

SEC. 516. None of the funds made available in this Act may be used to purchase first class or premium airline travel in contravention of sections 301-10.122 through 301-10.124 of title 41 of the Code of Federal Regulations.

SEC. 517. None of the funds made available under this Act may be distributed to the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries.

SEC. 518. To the extent practicable, funds made available in this Act should be used to purchase light bulbs that are "Energy Star" qualified or have the "Federal Energy Management Program" designation.

SEC. 519. The Departments of Commerce and Justice, the National Aeronautics and Space Administration, and the National Science Foundation shall submit spending plans, signed by the respective department or agency head, to the Committees on Appropriations of the House of Representatives and the Senate within 60 days after the date of enactment of this Act.

SEC. 520. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

# DEPARTMENT OF DEFENSE—MILITARY PROGRAMS

## MILITARY PERSONNEL

### ACTIVE AND RESERVE FORCES

These appropriations finance the personnel costs of the Active, Reserve, and Guard forces of the Army, Navy, Air Force, and Marine Corps. They include pay and allowances of officers, enlisted personnel, cadets and midshipmen, permanent change of station travel, inactive duty and active duty training, accruing retirement and health benefits, enlistment, reenlistment and affiliation bonuses, special and incentive pays, and other personnel costs. Over the past several years, significant pay increases, coupled with new requirements to accrue funds for future health benefits, have significantly increased the requirements of these appropriations.

Included in these accounts is funding for a 1.0 percent across-the-board pay raise. With this and other compensation increases, military salaries, as defined by Regular Military Compensation, which includes basic pay, a tax-free allowance for housing or the value of in-kind housing, a tax-free allowance for subsistence (food) and the tax savings because these allowances are tax free, will average more than \$56,000 for enlisted personnel and more than \$105,000 for officers. Many military personnel also receive one-time or yearly bonuses, monthly special pays, other allowances, and significant non-cash benefits, including comprehensive health care. Also included in these appropriations are the amounts required to implement items contained in the Department of Defense's (DOD's) proposed 2014 Authorization Act, which will be submitted in support of the 2014 President's Budget.

The Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (P.L. 108-375) provided permanent, indefinite appropriations to finance the cost of accruing TRICARE benefits of uniformed servicemembers. These costs are included in the DOD discretionary total.

The Budget includes proposals to adjust beneficiary cost shares for TRICARE Prime/Standard/Extra programs for retirees under age 65, and to implement annual enrollment fees for the TRICARE-for-Life (TFL) program for Medicare-eligible retirees. These proposals are phased-in over a 4 or 5-year period. The Budget also includes a proposal to adjust the prescription pharmacy co-payments over a 10-year period for active duty families and all retirees. Also included is a proposal to realign the TRICARE health plan benefit year from a fiscal year basis to a calendar year basis for the calculation to align annual adjustments with the retiree cost-of-living adjustment (COLA). In addition to discretionary savings in the Defense Health Program, the proposal reduces future accrual costs, resulting in reduced Services' discretionary contributions to the Medicare Eligible Retiree Health Care Fund.

The following summary table reflects the actual base and supplemental 2013 amounts and the base 2014 military personnel appropriation request and the additional amounts for TRICARE accrual funded from permanent, indefinite authority. Total base 2014 military personnel requirements are \$137,076 million.

#### Military Personnel Totals with Tricare Accrual Amounts

	2013	2014 Base
	Appropriation Estimate <sup>1</sup> (\$ mil)	Appropriation Request (\$ mil)
Military Personnel, Army .....	52,728	41,038
Tricare accrual (permanent, indefinite authority) .....	2,434	1,824
<b>Total, Military Personnel, Army .....</b>	<b>55,162</b>	<b>42,862</b>
Military Personnel, Navy .....	27,842	27,824

Tricare accrual (permanent, indefinite authority) .....	1,397	1,198
<b>Total, Military Personnel, Navy .....</b>	<b>29,239</b>	<b>29,022</b>
Military Personnel, Marine Corps .....	15,340	12,905
Tricare accrual (permanent, indefinite authority) .....	876	684
<b>Total, Military Personnel, Marine Corps .....</b>	<b>16,216</b>	<b>13,589</b>
Military Personnel, Air Force .....	29,555	28,520
Tricare accrual (permanent, indefinite authority) .....	1,442	1,218
<b>Total, Military Personnel, Air Force .....</b>	<b>30,997</b>	<b>29,738</b>
Reserve Personnel, Army .....	4,473	4,565
Tricare accrual (permanent, indefinite authority) .....	522	427
<b>Total, Reserve Personnel, Army .....</b>	<b>4,995</b>	<b>4,992</b>
Reserve Personnel, Navy .....	1,987	1,892
Tricare accrual (permanent, indefinite authority) .....	169	135
<b>Total, Reserve Personnel, Navy .....</b>	<b>2,156</b>	<b>2,027</b>
Reserve Personnel, Marine Corps .....	673	677
Tricare accrual (permanent, indefinite authority) .....	98	81
<b>Total, Reserve Personnel, Marine Corps .....</b>	<b>771</b>	<b>758</b>
Reserve Personnel, Air Force .....	1,749	1,759
Tricare accrual (permanent, indefinite authority) .....	174	141
<b>Total, Reserve Personnel, Air Force .....</b>	<b>1,923</b>	<b>1,900</b>
National Guard Personnel, Army .....	8,216	8,041
Tricare accrual (permanent, indefinite authority) .....	909	742
<b>Total, National Guard Personnel, Army .....</b>	<b>9,125</b>	<b>8,783</b>
National Guard Personnel, Air Force .....	3,118	3,178
Tricare accrual (permanent, indefinite authority) .....	275	228
<b>Total, National Guard Personnel, Air Force .....</b>	<b>3,393</b>	<b>3,406</b>
<b>Total, Appropriated Military Personnel Accounts .....</b>	<b>145,681</b>	<b>130,400</b>
<b>Total, Permanent, Indefinite Authority .....</b>	<b>8,297</b>	<b>6,676</b>
<b>Total, Military Personnel .....</b>	<b>153,978</b>	<b>137,076</b>

<sup>1</sup>The 2013 column reflects annualized amounts available under the Continuing Appropriations Resolution, 2013 in Public Law 112-175, including funds provided for overseas contingency operations. Totals may not add due to rounding.

## ACTIVE FORCES

### YEAR-END NUMBER

	2012	2013 est. <sup>1</sup>	2014 est. <sup>1</sup>
	actual <sup>1</sup>		
Defense total .....	1,399,622	1,401,560	1,361,400
Officers .....	238,074	237,278	237,415
Enlisted .....	1,148,481	1,151,292	1,111,237
Academy cadets and midshipmen .....	13,067	12,990	12,748
Army .....	550,064	552,100	520,000
Officers .....	98,423	99,824	97,871
Enlisted .....	447,075	447,776	417,703
Military Academy cadets .....	4,566	4,500	4,426
Navy .....	318,406	322,700	323,600
Officers .....	52,855	51,298	53,400
Enlisted .....	261,072	266,912	265,878
Naval Academy midshipmen .....	4,479	4,490	4,322
Marine Corps .....	198,193	197,300	190,200
Officers .....	21,776	21,157	21,467
Enlisted .....	176,417	176,143	168,733
Officers .....	332,959	329,460	327,600
Officers .....	65,020	64,999	64,677
Enlisted .....	263,917	260,461	258,923
Air Force Academy cadets .....	4,022	4,000	4,000

<sup>1</sup>The 2012 column includes 2,664 Army and 3,836 Navy end strength funded in the 2012 supplemental. The 2013 column reflects the authorized end strength levels in the National Defense Authorization Act for FY 2013 in Public Law 112-239, which includes 49,700 Army and 15,200 Marine Corps end strength in the 2013 Overseas Contingency Op-

erations (OCO) request. The 2014 column includes 30,000 Army and 8,100 Marine Corps end strength in the 2014 OCO request.

**RESERVE FORCES**

The number of National Guard and Reserve personnel estimated to participate in the Selected Reserve training programs and the number of full-time active duty military personnel provided for are summarized in the following table.

	YEAR-END NUMBER		
	2012 actual	2013 est.	2014 est.
Defense total .....	840,320	841,880	833,700
Trained inactive duty .....	714,260	715,934	705,462
Training pipeline .....	49,343	47,581	49,852
Full-time active duty .....	76,717	78,365	78,386
Army Reserve .....	201,166	205,000	205,000
Trained inactive duty .....	175,156	179,267	180,112
Training pipeline .....	9,725	9,456	8,627
Full-time active duty .....	16,285	16,277	16,261
Navy Reserve .....	64,715	62,500	59,100
Trained inactive duty .....	52,833	50,942	47,447
Training pipeline .....	1,492	1,444	1,494
Full-time active duty .....	10,390	10,114	10,159
Marine Corps Reserve .....	39,544	39,600	39,600
Trained inactive duty .....	34,303	33,661	34,013
Training pipeline .....	3,020	3,678	3,326
Full-time active duty .....	2,221	2,261	2,261
Air Force Reserve .....	71,428	70,880	70,400
Trained inactive duty .....	64,509	65,797	65,294
Training pipeline .....	4,186	2,195	2,195
Full-time active duty .....	2,733	2,888	2,911
Army National Guard .....	358,078	358,200	354,200
Trained inactive duty .....	301,475	299,105	291,703
Training pipeline .....	26,249	27,035	30,437
Full-time active duty .....	30,354	32,060	32,060
Air National Guard .....	105,389	105,700	105,400
Trained inactive duty .....	85,984	87,162	86,893
Training pipeline .....	4,671	3,773	3,773
Full-time active duty .....	14,734	14,765	14,734

The FY 2013 column reflects the authorized end strength levels in the National Defense Authorization Act for FY 2013 (P.L. 112-239)

The Reserve Officers' Training Corps (ROTC) program provides training for reserve and regular officer candidates who have enrolled in the course while attending a college at which an ROTC unit has been established. College graduates who satisfactorily complete the advanced course of the program are commissioned and may be ordered to active duty for a minimum of three years.

The Reserve Officers' Training Corps Vitalization Act of 1964, as amended, authorizes a limited number of scholarships for ROTC students on a competitive basis. Successful candidates for the scholarships generally serve a minimum period of four years on active duty upon graduation and appointment as a commissioned officer. A number of scholarship recipients will fulfill their entire obligation in the Reserve components.

The Armed Forces Health Professions Scholarship Program provides a source of active duty commissioned officers for the various health professions.

The numbers of commissioned officers graduated from these programs are summarized below:

	2012 actual	2013 est.	2014 est.
ROTC:			
Army .....	5,350	5,350	5,350
Navy .....	1,069	1,173	1,000
Air Force .....	1,951	1,900	2,080
Total .....	8,370	8,423	8,430
Marine Corps officer candidates .....	567	260	501
Total .....	567	260	501
Health Professions scholarship:			
Army .....	507	492	513
Navy .....	167	267	223
Air Force .....	476	480	477

Total .....	1,150	1,239	1,213
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**Federal Funds**

**MILITARY PERSONNEL, ARMY**

*For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Army on active duty, (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, \$41,037,790,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 21-2010-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....	14,170	15,147	12,462
0002 Pay and allowances of enlisted .....	30,366	31,308	24,235
0003 Pay and allowances of cadets .....	76	76	78
0004 Subsistence of enlisted personnel .....	3,189	3,242	1,955
0005 Permanent change of station travel .....	1,941	2,091	1,796
0006 Other military personnel costs .....	939	864	512
0799 Total direct obligations .....	50,681	52,728	41,038
0801 Reimbursable program .....	265	254	275
0900 Total new obligations .....	50,946	52,982	41,313
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	98	96	96
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	98	96	.....
1011 Unobligated balance transfer from other accts [97-9999] .....	9	.....	.....
1050 Unobligated balance (total) .....	107	96	96
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50,494	52,728	41,038
1121 Appropriations transferred from other accts [97-9999] .....	487	.....	.....
1130 Appropriations permanently reduced .....	-312	.....	.....
1160 Appropriation, discretionary (total) .....	50,669	52,728	41,038
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	106	254	275
1701 Change in uncollected payments, Federal sources .....	6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	112	254	275
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	154	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	154	.....	.....
1900 Budget authority (total) .....	50,935	52,982	41,313
1930 Total budgetary resources available .....	51,042	53,078	41,409
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	96	96	96
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,859	5,072	5,229
3010 Obligations incurred, unexpired accounts .....	50,946	52,982	41,313
3011 Obligations incurred, expired accounts .....	7,782	.....	.....
3020 Outlays (gross) .....	-48,394	-52,825	-43,152
3041 Recoveries of prior year unpaid obligations, expired .....	-8,121	.....	.....
3050 Unpaid obligations, end of year .....	5,072	5,229	3,390
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,858	5,071	5,228
3200 Obligated balance, end of year .....	5,071	5,228	3,389

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	50,781	52,982	41,313
Outlays, gross:				
4010	Outlays from new discretionary authority .....	47,292	49,291	38,441
4011	Outlays from discretionary balances .....	1,102	3,534	4,711
4020	Outlays, gross (total) .....	48,394	52,825	43,152
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-113	-246	-267
4033	Non-Federal sources .....	.....	-8	-8
4040	Offsets against gross budget authority and outlays (total) ....	-113	-254	-275
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
4052	Offsetting collections credited to expired accounts .....	7	.....	.....
4060	Additional offsets against budget authority only (total) .....	1	.....	.....
4070	Budget authority, net (discretionary) .....	50,669	52,728	41,038
4080	Outlays, net (discretionary) .....	48,281	52,571	42,877
Mandatory:				
4090	Budget authority, gross .....	154	.....	.....
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-154	.....	.....
4180	Budget authority, net (total) .....	50,669	52,728	41,038
4190	Outlays, net (total) .....	48,127	52,571	42,877

**Object Classification** (in millions of dollars)

Identification code 21-2010-0-1-051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.6	Military personnel - basic allowance for housing .....	7,913	9,122	6,717
11.7	Military personnel .....	28,089	26,960	22,447
11.8	Special personal services payments .....	1	1	1
11.9	Total personnel compensation .....	36,003	36,083	29,165
12.2	Accrued retirement benefits .....	7,709	8,837	6,266
12.2	Other personnel benefits .....	4,428	4,592	3,539
13.0	Benefits for former personnel .....	522	746	283
21.0	Travel and transportation of persons .....	588	495	399
22.0	Transportation of things .....	1,024	1,411	1,168
25.7	Operation and maintenance of equipment .....	32	13	11
26.0	Supplies and materials .....	133	125	144
42.0	Insurance claims and indemnities .....	233	412	62
43.0	Interest and dividends .....	10	14	1
99.0	Direct obligations .....	50,682	52,728	41,038
99.0	Reimbursable obligations .....	264	254	275
99.9	Total new obligations .....	50,946	52,982	41,313

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, ARMY**

**Program and Financing** (in millions of dollars)

Identification code 21-1004-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Health care contribution - Officers .....	541	437	375
0002	Health care contribution - Enlisted .....	2,606	1,997	1,597
0900	Total new obligations (object class 12.2) .....	3,147	2,434	1,972
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3,147	2,434	1,972
1160	Appropriation, discretionary (total) .....	3,147	2,434	1,972
1930	Total budgetary resources available .....	3,147	2,434	1,972
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	3,147	2,434	1,972
3020	Outlays (gross) .....	-3,147	-2,434	-1,972
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,147	2,434	1,972

Outlays, gross:				
4010	Outlays from new discretionary authority .....	3,147	2,434	1,972
4180	Budget authority, net (total) .....	3,147	2,434	1,972
4190	Outlays, net (total) .....	3,147	2,434	1,972

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	3,147	2,434	1,972
Outlays .....	3,147	2,434	1,972
Legislative proposal, not subject to PAYGO:			
Budget Authority .....	.....	.....	-148
Outlays .....	.....	.....	-148
Total:			
Budget Authority .....	3,147	2,434	1,824
Outlays .....	3,147	2,434	1,824

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, ARMY**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 21-1004-2-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Health care contribution - Officers .....	.....	.....	-28
0002	Health care contribution - Enlisted .....	.....	.....	-120
0900	Total new obligations (object class 12.2) .....	.....	.....	-148
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	.....	.....	-148
1160	Appropriation, discretionary (total) .....	.....	.....	-148
1930	Total budgetary resources available .....	.....	.....	-148
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	.....	.....	-148
3020	Outlays (gross) .....	.....	.....	148
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	.....	.....	-148
Outlays, gross:				
4010	Outlays from new discretionary authority .....	.....	.....	-148
4180	Budget authority, net (total) .....	.....	.....	-148
4190	Outlays, net (total) .....	.....	.....	-148

**MILITARY PERSONNEL, NAVY**

*For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Navy on active duty (except members of the Reserve provided for elsewhere), midshipmen, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, \$27,824,444,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17-1453-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Pay and allowances of officers .....	7,614	7,800	7,600
0002	Pay and allowances of enlisted personnel .....	17,355	17,406	17,791
0003	Pay and allowances of cadets .....	77	75	77
0004	Subsistence of enlisted personnel .....	1,140	1,168	1,195

MILITARY PERSONNEL, NAVY—Continued  
Program and Financing—Continued

Identification code 17-1453-0-1-051	2012 actual	2013 CR	2014 est.
0005 Permanent change of station travel .....	967	1,086	957
0006 Other military personnel costs .....	334	307	204
0799 Total direct obligations .....	27,487	27,842	27,824
0801 Reimbursable program .....	311	289	294
0900 Total new obligations .....	27,798	28,131	28,118
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	3	3
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	3	
1011 Unobligated balance transfer from other accts [97-9999] .....	78		
1050 Unobligated balance (total) .....	79	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	28,063	27,842	27,824
1120 Appropriations transferred to other accts [97-9999] .....	-509		
1121 Appropriations transferred from other accts [97-9999] .....	45		
1130 Appropriations permanently reduced .....	-11		
1160 Appropriation, discretionary (total) .....	27,588	27,842	27,824
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	185	289	294
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	190	289	294
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	123		
1850 Spending auth from offsetting collections, mand (total) .....	123		
1900 Budget authority (total) .....	27,901	28,131	28,118
1930 Total budgetary resources available .....	27,980	28,134	28,121
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-179		
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	701	1,651	1,667
3010 Obligations incurred, unexpired accounts .....	27,798	28,131	28,118
3011 Obligations incurred, expired accounts .....	1,452		
3020 Outlays (gross) .....	-26,788	-28,115	-27,980
3041 Recoveries of prior year unpaid obligations, expired .....	-1,512		
3050 Unpaid obligations, end of year .....	1,651	1,667	1,805
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	697	1,645	1,661
3200 Obligated balance, end of year .....	1,645	1,661	1,799
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	27,778	28,131	28,118
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26,227	26,461	26,448
4011 Outlays from discretionary balances .....	438	1,654	1,532
4020 Outlays, gross (total) .....	26,665	28,115	27,980
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-190	-289	-294
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-191	-289	-294
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	27,588	27,842	27,824
4080 Outlays, net (discretionary) .....	26,474	27,826	27,686
Mandatory:			
4090 Budget authority, gross .....	123		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	123		

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-123		
4180 Budget authority, net (total) .....	27,588	27,842	27,824
4190 Outlays, net (total) .....	26,474	27,826	27,686

Object Classification (in millions of dollars)

Identification code 17-1453-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	5,066	5,216	5,369
11.7 Military personnel .....	14,357	14,662	14,631
11.9 Total personnel compensation .....	19,423	19,878	20,000
12.2 Accrued retirement benefits .....	4,140	4,142	4,005
12.2 Other personnel benefits .....	2,684	2,518	2,728
13.0 Benefits for former personnel .....	183	166	125
21.0 Travel and transportation of persons .....	234	305	230
22.0 Transportation of things .....	636	685	633
25.7 Operation and maintenance of equipment .....	1	6	1
26.0 Supplies and materials .....	96	68	83
42.0 Insurance claims and indemnities .....	88	71	17
43.0 Interest and dividends .....	2	2	2
99.0 Direct obligations .....	27,487	27,841	27,824
99.0 Reimbursable obligations .....	311	290	294
99.9 Total new obligations .....	27,798	28,131	28,118

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NAVY

Program and Financing (in millions of dollars)

Identification code 17-1000-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....	295	235	220
0002 Health care contribution - Enlisted .....	1,511	1,162	1,075
0900 Total new obligations (object class 12.2) .....	1,806	1,397	1,295
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,806	1,397	1,295
1160 Appropriation, discretionary (total) .....	1,806	1,397	1,295
1930 Total budgetary resources available .....	1,806	1,397	1,295
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1,806	1,397	1,295
3020 Outlays (gross) .....	-1,806	-1,397	-1,295
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,806	1,397	1,295
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,806	1,397	1,295
4180 Budget authority, net (total) .....	1,806	1,397	1,295
4190 Outlays, net (total) .....	1,806	1,397	1,295

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,806	1,397	1,295
Outlays .....	1,806	1,397	1,295
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-97
Outlays .....			-97
<b>Total:</b>	<b>1,806</b>	<b>1,397</b>	<b>1,198</b>

Outlays .....	1,806	1,397	1,198
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**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NAVY**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 17-1000-2-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....			-16
0002 Health care contribution - Enlisted .....			-81
0900 Total new obligations (object class 12.2) .....			-97
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-97
1160 Appropriation, discretionary (total) .....			-97
1930 Total budgetary resources available .....			-97
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-97
3020 Outlays (gross) .....			97
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-97
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-97
4180 Budget authority, net (total) .....			-97
4190 Outlays, net (total) .....			-97

**MILITARY PERSONNEL, MARINE CORPS**

*For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Marine Corps on active duty (except members of the Reserve provided for elsewhere); and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, \$12,905,216,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17-1105-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....	2,916	3,169	2,687
0002 Pay and allowances of enlisted personnel .....	9,703	10,460	8,865
0004 Subsistence of enlisted personnel .....	766	842	724
0005 Permanent change of station travel .....	537	633	511
0006 Other military personnel costs .....	234	236	118
0799 Total direct obligations .....	14,156	15,340	12,905
0801 Reimbursable program .....	26	23	24
0900 Total new obligations .....	14,182	15,363	12,929
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	6
1011 Unobligated balance transfer from other accts [97-9999] .....	39		
1050 Unobligated balance (total) .....	44	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14,349	15,340	12,905
1120 Appropriations transferred to other accts [97-9999] .....	-113		
1121 Appropriations transferred from other accts [97-9999] .....	23		
1130 Appropriations permanently reduced .....	-56		

1160 Appropriation, discretionary (total) .....	14,203	15,340	12,905
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	27	23	24
1750 Spending auth from offsetting collections, disc (total) .....	27	23	24
1900 Budget authority (total) .....	14,230	15,363	12,929
1930 Total budgetary resources available .....	14,274	15,369	12,935
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-86		
1941 Unexpired unobligated balance, end of year .....	6	6	6

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	771	660	955
3010 Obligations incurred, unexpired accounts .....	14,182	15,363	12,929
3011 Obligations incurred, expired accounts .....	116		
3020 Outlays (gross) .....	-14,235	-15,068	-13,129
3041 Recoveries of prior year unpaid obligations, expired .....	-174		
3050 Unpaid obligations, end of year .....	660	955	755
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	4	-1	-1
3071 Change in uncollected pymts, Fed sources, expired .....	-5		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	775	659	954
3200 Obligated balance, end of year .....	659	954	754

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	14,230	15,363	12,929
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13,779	14,596	12,283
4011 Outlays from discretionary balances .....	456	472	846
4020 Outlays, gross (total) .....	14,235	15,068	13,129
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-17	-23	-24
4033 Non-Federal sources .....	-11		
4040 Offsets against gross budget authority and outlays (total) .....	-28	-23	-24
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	14,203	15,340	12,905
4080 Outlays, net (discretionary) .....	14,207	15,045	13,105
4180 Budget authority, net (total) .....	14,203	15,340	12,905
4190 Outlays, net (total) .....	14,207	15,045	13,105

**Object Classification** (in millions of dollars)

Identification code 17-1105-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	2,252	2,358	2,132
11.7 Military personnel .....	7,826	8,632	7,196
11.9 Total personnel compensation .....	10,078	10,990	9,328
12.2 Accrued retirement benefits .....	2,256	2,442	2,003
12.2 Other personnel benefits .....	1,077	1,072	935
13.0 Benefits for former personnel .....	161	154	96
21.0 Travel and transportation of persons .....	183	189	183
22.0 Transportation of things .....	271	355	253
25.7 Operation and maintenance of equipment .....	16	11	10
26.0 Supplies and materials .....	55	62	87
42.0 Insurance claims and indemnities .....	58	64	10
43.0 Interest and dividends .....	1	1	
99.0 Direct obligations .....	14,156	15,340	12,905
99.0 Reimbursable obligations .....	26	23	24
99.9 Total new obligations .....	14,182	15,363	12,929

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE CORPS**

**Program and Financing** (in millions of dollars)

Identification code 17-1001-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....	122	95	81
0002 Health care contribution - Enlisted .....	1,004	781	659

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE CORPS—Continued

Program and Financing—Continued

Identification code 17-1001-0-1-051	2012 actual	2013 CR	2014 est.
0900 Total new obligations (object class 12.2)	1,126	876	740
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,126	876	740
1160 Appropriation, discretionary (total)	1,126	876	740
1930 Total budgetary resources available	1,126	876	740
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	1,126	876	740
3020 Outlays (gross)	-1,126	-876	-740
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	1,126	876	740
Outlays, gross:			
4010 Outlays from new discretionary authority	1,126	876	740
4180 Budget authority, net (total)	1,126	876	740
4190 Outlays, net (total)	1,126	876	740

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	1,126	876	740
Outlays	1,126	876	740
Legislative proposal, not subject to PAYGO:			
Budget Authority			-56
Outlays			-56
Total:			
Budget Authority	1,126	876	684
Outlays	1,126	876	684

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE CORPS (Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 17-1001-2-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers			-6
0002 Health care contribution - Enlisted			-50
0900 Total new obligations (object class 12.2)			-56
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			-56
1160 Appropriation, discretionary (total)			-56
1930 Total budgetary resources available			-56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-56
3020 Outlays (gross)			56
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			-56
Outlays, gross:			
4010 Outlays from new discretionary authority			-56
4180 Budget authority, net (total)			-56
4190 Outlays, net (total)			-56

MILITARY PERSONNEL, AIR FORCE

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Air Force on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, \$28,519,877,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 57-3500-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers	9,230	9,578	9,094
0002 Pay and allowances of enlisted	17,246	17,253	16,852
0003 Pay and allowances of cadets	72	72	70
0004 Subsistence of enlisted personnel	1,195	1,175	1,102
0005 Permanent change of station travel	1,201	1,235	1,261
0006 Other military personnel costs	256	242	141
0799 Total direct obligations	29,200	29,555	28,520
0801 Reimbursable program	395	417	427
0900 Total new obligations	29,595	29,972	28,947
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	78	79	79
1001 Discretionary unobligated balance brought fwd, Oct 1	78	79	
1011 Unobligated balance transfer from other accts [97-9999]	39		
1050 Unobligated balance (total)	117	79	79
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	29,589	29,555	28,520
1120 Appropriations transferred to other accts [97-9999]	-298		
1130 Appropriations permanently reduced	-35		
1160 Appropriation, discretionary (total)	29,256	29,555	28,520
Spending authority from offsetting collections, discretionary:			
1700 Collected	191	417	427
1701 Change in uncollected payments, Federal sources	8		
1750 Spending auth from offsetting collections, disc (total)	199	417	427
Spending authority from offsetting collections, mandatory:			
1800 Collected	197		
1850 Spending auth from offsetting collections, mand (total)	197		
1900 Budget authority (total)	29,652	29,972	28,947
1930 Total budgetary resources available	29,769	30,051	29,026
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-95		
1941 Unexpired unobligated balance, end of year	79	79	79
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,696	2,371	1,596
3010 Obligations incurred, unexpired accounts	29,595	29,972	28,947
3011 Obligations incurred, expired accounts	2		
3020 Outlays (gross)	-28,214	-30,747	-28,852
3041 Recoveries of prior year unpaid obligations, expired	-708		
3050 Unpaid obligations, end of year	2,371	1,596	1,691
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-88	-46	-46
3070 Change in uncollected pymts, Fed sources, unexpired	-8		
3071 Change in uncollected pymts, Fed sources, expired	50		
3090 Uncollected pymts, Fed sources, end of year	-46	-46	-46
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,608	2,325	1,550
3200 Obligated balance, end of year	2,325	1,550	1,645
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	29,455	29,972	28,947

4010	Outlays, gross:			
	Outlays from new discretionary authority .....	27,525	28,495	27,521
4011	Outlays from discretionary balances .....	492	2,252	1,331
4020	Outlays, gross (total) .....	28,017	30,747	28,852
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-218	-417	-427
4033	Non-Federal sources .....	-23		
4040	Offsets against gross budget authority and outlays (total) ....	-241	-417	-427
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-8		
4052	Offsetting collections credited to expired accounts .....	50		
4060	Additional offsets against budget authority only (total) .....	42		
4070	Budget authority, net (discretionary) .....	29,256	29,555	28,520
4080	Outlays, net (discretionary) .....	27,776	30,330	28,425
	Mandatory:			
4090	Budget authority, gross .....	197		
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	197		
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources .....	-197		
4180	Budget authority, net (total) .....	29,256	29,555	28,520
4190	Outlays, net (total) .....	27,776	30,330	28,425

**Object Classification** (in millions of dollars)

Identification code 57-3500-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	4,756	4,900	5,044
11.7 Military personnel .....	15,742	15,894	15,282
11.9 Total personnel compensation .....	20,498	20,794	20,326
12.2 Accrued retirement benefits .....	4,679	4,645	4,339
12.2 Other personnel benefits .....	2,713	2,790	2,604
13.0 Benefits for former personnel .....	111	92	66
21.0 Travel and transportation of persons .....	194	199	201
22.0 Transportation of things .....	768	794	809
25.7 Operation and maintenance of equipment .....	105	110	110
26.0 Supplies and materials .....	45	43	45
42.0 Insurance claims and indemnities .....	84	85	17
43.0 Interest and dividends .....	3	3	3
99.0 Direct obligations .....	29,200	29,555	28,520
99.0 Reimbursable obligations .....	395	417	427
99.9 Total new obligations .....	29,595	29,972	28,947

**MEDICARE-ELIGIBLE RETREEE HEALTH FUND CONTRIBUTION, AIR FORCE**

**Program and Financing** (in millions of dollars)

Identification code 57-1007-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....	365	287	263
0002 Health care contribution - Enlisted .....	1,475	1,155	1,054
0900 Total new obligations (object class 12.2) .....	1,840	1,442	1,317
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,840	1,442	1,317
1160 Appropriation, discretionary (total) .....	1,840	1,442	1,317
1930 Total budgetary resources available .....	1,840	1,442	1,317
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1,840	1,442	1,317
3020 Outlays (gross) .....	-1,840	-1,442	-1,317
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,840	1,442	1,317
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,840	1,442	1,317
4180 Budget authority, net (total) .....	1,840	1,442	1,317

4190	Outlays, net (total) .....	1,840	1,442	1,317
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**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	1,840	1,442	1,317
Outlays .....	1,840	1,442	1,317
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-99
Outlays .....			-99
Total:			
Budget Authority .....	1,840	1,442	1,218
Outlays .....	1,840	1,442	1,218

**MEDICARE-ELIGIBLE RETREEE HEALTH FUND CONTRIBUTION, AIR FORCE**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 57-1007-2-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....			-20
0002 Health care contribution - Enlisted .....			-79
0900 Total new obligations (object class 12.2) .....			-99
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-99
1160 Appropriation, discretionary (total) .....			-99
1930 Total budgetary resources available .....			-99
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-99
3020 Outlays (gross) .....			99
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-99
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-99
4180 Budget authority, net (total) .....			-99
4190 Outlays, net (total) .....			-99

**RESERVE PERSONNEL, ARMY**

*For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army Reserve on active duty under sections 10211, 10302, and 3038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$4,565,261,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 21-2070-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	4,465	4,473	4,565
0801 Reimbursable program .....	29	35	35
0900 Total new obligations .....	4,494	4,508	4,600

RESERVE PERSONNEL, ARMY—Continued  
Program and Financing—Continued

Identification code 21-2070-0-1-051	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000		1	1
Budget authority:			
Appropriations, discretionary:			
1100	4,497	4,473	4,565
1121	3		
1130	-11		
1160	4,489	4,473	4,565
Spending authority from offsetting collections, discretionary:			
1700	27	35	35
1701	3		
1750	30	35	35
1900	4,519	4,508	4,600
1930	4,519	4,509	4,601
Memorandum (non-add) entries:			
1940	-24		
1941	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	470	514	519
3010	4,494	4,508	4,600
3011	1,414		
3020	-4,262	-4,503	-4,529
3041	-1,602		
3050	514	519	590
Uncollected payments:			
3060	-12	-12	-12
3070	-3		
3071	3		
3090	-12	-12	-12
Memorandum (non-add) entries:			
3100	458	502	507
3200	502	507	578
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	4,519	4,508	4,600
Outlays, gross:			
4010	4,088	4,016	4,112
4011	174	487	417
4020	4,262	4,503	4,529
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-29	-35	-35
Additional offsets against gross budget authority only:			
4050	-3		
4052	2		
4060	-1		
4070	4,489	4,473	4,565
4080	4,233	4,468	4,494
4180	4,489	4,473	4,565
4190	4,233	4,468	4,494

Object Classification (in millions of dollars)

Identification code 21-2070-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.6	467	472	534
11.7	2,279	2,165	2,676
11.9	2,746	2,637	3,210
12.2	842	813	697
12.2	462	528	361
21.0	279	363	205
22.0	69	68	53
26.0	67	64	39
99.0	4,465	4,473	4,565
99.0	29	35	35

99.9	Total new obligations	4,494	4,508	4,600
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MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, ARMY

Program and Financing (in millions of dollars)

Identification code 21-1005-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	704	522	471
0900	704	522	471
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	704	522	471
1160	704	522	471
1930	704	522	471
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	704	522	471
3020	-704	-522	-471
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	704	522	471
Outlays, gross:			
4010	704	522	471
4180	704	522	471
4190	704	522	471

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	704	522	471
Outlays	704	522	471
Legislative proposal, not subject to PAYGO:			
Budget Authority			-44
Outlays			-44
Total:			
Budget Authority	704	522	427
Outlays	704	522	427

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, ARMY

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 21-1005-2-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001			-44
0900			-44
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			-44
1160			-44
1930			-44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			-44
3020			44
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			-44
Outlays, gross:			
4010			-44

4180	Budget authority, net (total)	-44
4190	Outlays, net (total)	-44

4070	Budget authority, net (discretionary)	1,950	1,987	1,892
4080	Outlays, net (discretionary)	1,888	2,043	1,886
4180	Budget authority, net (total)	1,950	1,987	1,892
4190	Outlays, net (total)	1,888	2,043	1,886

RESERVE PERSONNEL, NAVY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Navy Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$1,891,936,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 17-1405-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Reserve component training and support	1,949	1,987	1,892
0801	Reimbursable program	26	57	59
0900	Total new obligations	1,975	2,044	1,951

Budgetary Resources:

<b>Budget authority:</b>				
Appropriations, discretionary:				
1100	Appropriation	1,980	1,987	1,892
1120	Appropriations transferred to other accts [97-9999]	-43		
1121	Appropriations transferred from other accts [97-9999]	14		
1130	Appropriations permanently reduced	-1		
1160	Appropriation, discretionary (total)	1,950	1,987	1,892
Spending authority from offsetting collections, discretionary:				
1700	Collected	25	57	59
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	26	57	59
1900	Budget authority (total)	1,976	2,044	1,951
1930	Total budgetary resources available	1,976	2,044	1,951
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		

Change in obligated balance:

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1	174	195	139
3010	Obligations incurred, unexpired accounts	1,975	2,044	1,951
3011	Obligations incurred, expired accounts	1,020		
3020	Outlays (gross)	-1,914	-2,100	-1,945
3041	Recoveries of prior year unpaid obligations, expired	-1,060		
3050	Unpaid obligations, end of year	195	139	145
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-1	-1	-1
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year	173	194	138
3200	Obligated balance, end of year	194	138	144

Budget authority and outlays, net:

<b>Discretionary:</b>				
4000	Budget authority, gross	1,976	2,044	1,951
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority	1,840	1,905	1,815
4011	Outlays from discretionary balances	74	195	130
4020	Outlays, gross (total)	1,914	2,100	1,945
<b>Offsets against gross budget authority and outlays:</b>				
Offsetting collections (collected) from:				
4030	Federal sources	-26	-57	-59
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4052	Offsetting collections credited to expired accounts	1		

Object Classification (in millions of dollars)

Identification code 17-1405-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.6	Military personnel - basic allowance for housing	245	251	247
11.7	Military personnel	1,110	1,126	1,072
11.9	Total personnel compensation	1,355	1,377	1,319
12.2	Accrued retirement benefits	274	279	260
12.2	Other personnel benefits	109	123	122
21.0	Travel and transportation of persons	182	176	159
22.0	Transportation of things	19	19	19
26.0	Supplies and materials	8	10	9
42.0	Insurance claims and indemnities	2	4	4
99.0	Direct obligations	1,949	1,988	1,892
99.0	Reimbursable obligations	26	56	59
99.9	Total new obligations	1,975	2,044	1,951

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL, NAVY

Program and Financing (in millions of dollars)

Identification code 17-1002-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Health care contribution - Reserve component	236	169	148
0900	Total new obligations (object class 12.2)	236	169	148

Budgetary Resources:

<b>Budget authority:</b>				
Appropriations, discretionary:				
1100	Appropriation	236	169	148
1160	Appropriation, discretionary (total)	236	169	148
1930	Total budgetary resources available	236	169	148

Change in obligated balance:

<b>Unpaid obligations:</b>				
3010	Obligations incurred, unexpired accounts	236	169	148
3020	Outlays (gross)	-236	-169	-148

Budget authority and outlays, net:

<b>Discretionary:</b>				
4000	Budget authority, gross	236	169	148
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority	236	169	148
4180	Budget authority, net (total)	236	169	148
4190	Outlays, net (total)	236	169	148

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority	236	169	148
Outlays	236	169	148
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority			-13
Outlays			-13
<b>Total:</b>	<b>236</b>	<b>169</b>	<b>135</b>

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, NAVY—Continued  
Summary of Budget Authority and Outlays—Continued

	2012 actual	2013 CR	2014 est.
Outlays .....	236	169	135

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, NAVY  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 17-1002-2-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....			-13
0900 Total new obligations (object class 12.2) .....			-13

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-13
1160 Appropriation, discretionary (total) .....			-13
1930 Total budgetary resources available .....			-13

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-13
3020 Outlays (gross) .....			13

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-13
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-13
4180 Budget authority, net (total) .....			-13
4190 Outlays, net (total) .....			-13

RESERVE PERSONNEL, MARINE CORPS

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Marine Corps Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and for members of the Marine Corps platoon leaders class, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$677,499,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 17-1108-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	657	673	677
0801 Reimbursable program .....	4	4	4
0900 Total new obligations .....	661	677	681

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	670	673	677
1120 Appropriations transferred to other accts [97-9999] .....	-12		
1121 Appropriations transferred from other accts [97-9999] .....	14		
1130 Appropriations permanently reduced .....	-2		

1160 Appropriation, discretionary (total) .....	670	673	677
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	4	4
1750 Spending auth from offsetting collections, disc (total) .....	3	4	4
1900 Budget authority (total) .....	673	677	681
1930 Total budgetary resources available .....	673	677	681
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-12		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	36	40	49
3010 Obligations incurred, unexpired accounts .....	661	677	681
3011 Obligations incurred, expired accounts .....	47		
3020 Outlays (gross) .....	-682	-668	-693
3041 Recoveries of prior year unpaid obligations, expired .....	-22		
3050 Unpaid obligations, end of year .....	40	49	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	36	40	49
3200 Obligated balance, end of year .....	40	49	37

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	673	677	681
Outlays, gross:			
4010 Outlays from new discretionary authority .....	646	646	647
4011 Outlays from discretionary balances .....	36	22	46
4020 Outlays, gross (total) .....	682	668	693
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-4	-4
4180 Budget authority, net (total) .....	670	673	677
4190 Outlays, net (total) .....	679	664	689

Object Classification (in millions of dollars)

Identification code 17-1108-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	55	59	59
11.7 Military personnel .....	363	404	404
11.9 Total personnel compensation .....	418	463	463
12.2 Accrued retirement benefits .....	91	99	93
12.2 Other personnel benefits .....	50	53	26
21.0 Travel and transportation of persons .....	59	50	59
22.0 Transportation of things .....		6	
25.8 Subsistence and support of persons .....	38		35
42.0 Insurance claims and indemnities .....	1	2	1
99.0 Direct obligations .....	657	673	677
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	661	677	681

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, MARINE CORPS

Program and Financing (in millions of dollars)

Identification code 17-1003-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	135	98	89
0900 Total new obligations (object class 12.2) .....	135	98	89

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	135	98	89
1160 Appropriation, discretionary (total) .....	135	98	89
1930 Total budgetary resources available .....	135	98	89

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	135	98	89
3020 Outlays (gross) .....	-135	-98	-89

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	135	98	89
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	135	98	89
4180	Budget authority, net (total) .....	135	98	89
4190	Outlays, net (total) .....	135	98	89

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	135	98	89
Outlays .....	135	98	89
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-8
Outlays .....			-8
Total:			
Budget Authority .....	135	98	81
Outlays .....	135	98	81

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL, MARINE CORPS**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 17-1003-2-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Health care contribution - Reserve component .....			-8
0900	Total new obligations (object class 12.2) .....			-8

<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....			-8
1160	Appropriation, discretionary (total) .....			-8
1930	Total budgetary resources available .....			-8

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			-8
3020	Outlays (gross) .....			8

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			-8
	Outlays, gross:			
4010	Outlays from new discretionary authority .....			-8
4180	Budget authority, net (total) .....			-8
4190	Outlays, net (total) .....			-8

**RESERVE PERSONNEL, AIR FORCE**

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air Force Reserve on active duty under sections 10211, 10305, and 8038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$1,758,629,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 57-3700-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Reserve component training and support .....	1,739	1,749	1,759
0801	Reimbursable program .....	7	8	9
0900	Total new obligations .....	1,746	1,757	1,768

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:				
Appropriation, discretionary:				
1100	Appropriation .....	1,740	1,749	1,759
1121	Appropriations transferred from other accts [97-9999] ....	4		
1130	Appropriations permanently reduced .....	-1		
1160	Appropriation, discretionary (total) .....	1,743	1,749	1,759
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	8	8	9
1750	Spending auth from offsetting collections, disc (total) .....	8	8	9
1900	Budget authority (total) .....	1,751	1,757	1,768
1930	Total budgetary resources available .....	1,751	1,758	1,769
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-4		
1941	Unexpired unobligated balance, end of year .....	1	1	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	109	122	133
3010	Obligations incurred, unexpired accounts .....	1,746	1,757	1,768
3011	Obligations incurred, expired accounts .....	46		
3020	Outlays (gross) .....	-1,724	-1,746	-1,796
3041	Recoveries of prior year unpaid obligations, expired .....	-55		
3050	Unpaid obligations, end of year .....	122	133	105
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	108	121	132
3200	Obligated balance, end of year .....	121	132	104

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,751	1,757	1,768
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	1,642	1,668	1,680
4011	Outlays from discretionary balances .....	82	78	116
4020	Outlays, gross (total) .....	1,724	1,746	1,796
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-8	-8	-9
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ...	-9	-8	-9
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	1,743	1,749	1,759
4080	Outlays, net (discretionary) .....	1,715	1,738	1,787
4180	Budget authority, net (total) .....	1,743	1,749	1,759
4190	Outlays, net (total) .....	1,715	1,738	1,787

**Object Classification** (in millions of dollars)

Identification code 57-3700-0-1-051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.6	Military personnel - basic allowance for housing .....	164	160	168
11.7	Military personnel .....	1,010	995	1,028
11.9	Total personnel compensation .....	1,174	1,155	1,196
12.2	Accrued retirement benefits .....	226	225	225
12.2	Other personnel benefits .....	131	138	133
21.0	Travel and transportation of persons .....	182	198	178
22.0	Transportation of things .....	7	7	7
26.0	Supplies and materials .....	17	23	17
41.0	Grants, subsidies, and contributions .....	2	3	3
99.0	Direct obligations .....	1,739	1,749	1,759

RESERVE PERSONNEL, AIR FORCE—Continued  
Object Classification—Continued

Identification code 57-3700-0-1-051	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	7	8	9
99.9 Total new obligations .....	1,746	1,757	1,768

3020 Outlays (gross) .....			15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-15
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-15
4180 Budget authority, net (total) .....			-15
4190 Outlays, net (total) .....			-15

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, AIR FORCE

Program and Financing (in millions of dollars)

Identification code 57-1008-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	239	175	156
0900 Total new obligations (object class 12.2) .....	239	175	156
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	239	175	156
1160 Appropriation, discretionary (total) .....	239	175	156
1930 Total budgetary resources available .....	239	175	156

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	239	175	156
3020 Outlays (gross) .....	-239	-175	-156

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	239	175	156
Outlays, gross:			
4010 Outlays from new discretionary authority .....	239	175	156
4180 Budget authority, net (total) .....	239	175	156
4190 Outlays, net (total) .....	239	175	156

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	239	175	156
Outlays .....	239	175	156
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-15
Outlays .....			-15
Total:			
Budget Authority .....	239	175	141
Outlays .....	239	175	141

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, AIR FORCE

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 57-1008-2-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....			-15
0900 Total new obligations (object class 12.2) .....			-15
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-15
1160 Appropriation, discretionary (total) .....			-15
1930 Total budgetary resources available .....			-15

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-15

NATIONAL GUARD PERSONNEL, ARMY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army National Guard while on duty under section 10211, 10302, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$8,041,268,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 21-2060-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	8,280	8,216	8,041
0801 Reimbursable program .....	27	52	55
0900 Total new obligations .....	8,307	8,268	8,096

<b>Budgetary Resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other accts [97-9999] ...	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8,250	8,216	8,041
1121 Appropriations transferred from other accts [97-9999] ...	180		
1130 Appropriations permanently reduced .....	-35		
1160 Appropriation, discretionary (total) .....	8,395	8,216	8,041
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	22	52	55
1701 Change in uncollected payments, Federal sources .....	32		
1750 Spending auth from offsetting collections, disc (total) .....	54	52	55
1900 Budget authority (total) .....	8,449	8,268	8,096
1930 Total budgetary resources available .....	8,450	8,268	8,096
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-143		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	642	969	806
3010 Obligations incurred, unexpired accounts .....	8,307	8,268	8,096
3011 Obligations incurred, expired accounts .....	619		
3020 Outlays (gross) .....	-7,908	-8,431	-8,251
3041 Recoveries of prior year unpaid obligations, expired .....	-691		
3050 Unpaid obligations, end of year .....	969	806	651
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-42	-48	-48
3070 Change in uncollected pymts, Fed sources, unexpired .....	-32		
3071 Change in uncollected pymts, Fed sources, expired .....	26		
3090 Uncollected pymts, Fed sources, end of year .....	-48	-48	-48
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	600	921	758
3200 Obligated balance, end of year .....	921	758	603

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8,449	8,268	8,096
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7,550	7,693	7,534

4011	Outlays from discretionary balances .....	358	738	717
4020	Outlays, gross (total) .....	7,908	8,431	8,251
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources .....	-33	-47	-50
4033	Non-Federal sources .....		-5	-5
4040	Offsets against gross budget authority and outlays (total) ...	-33	-52	-55
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-32		
4052	Offsetting collections credited to expired accounts .....	11		
4060	Additional offsets against budget authority only (total) .....	-21		
4070	Budget authority, net (discretionary) .....	8,395	8,216	8,041
4080	Outlays, net (discretionary) .....	7,875	8,379	8,196
4180	Budget authority, net (total) .....	8,395	8,216	8,041
4190	Outlays, net (total) .....	7,875	8,379	8,196

**Object Classification** (in millions of dollars)

Identification code 21-2060-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.6	Military personnel - basic allowance for housing .....	938	890	892
11.7	Military personnel .....	4,460	3,805	4,273
11.9	Total personnel compensation .....	5,398	4,695	5,165
12.2	Accrued retirement benefits .....	1,254	1,248	1,203
12.2	Other personnel benefits .....	1,142	1,486	1,174
21.0	Travel and transportation of persons .....	273	511	279
22.0	Transportation of things .....	37	62	31
26.0	Supplies and materials .....	175	214	189
99.0	Direct obligations .....	8,279	8,216	8,041
99.0	Reimbursable obligations .....	28	52	55
99.9	Total new obligations .....	8,307	8,268	8,096

Total:	Budget Authority .....	1,234	909	742
	Outlays .....	1,234	909	742

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD PERSONNEL, ARMY**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 21-1006-2-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Health care contribution - Reserve component .....			-77
0900	Total new obligations (object class 12.2) .....			-77
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....			-77
1160	Appropriation, discretionary (total) .....			-77
1930	Total budgetary resources available .....			-77
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			-77
3020	Outlays (gross) .....			77
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			-77
Outlays, gross:				
4010	Outlays from new discretionary authority .....			-77
4180	Budget authority, net (total) .....			-77
4190	Outlays, net (total) .....			-77

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD PERSONNEL, ARMY**

**Program and Financing** (in millions of dollars)

Identification code 21-1006-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Health care contribution - Reserve component .....	1,234	909	819
0900	Total new obligations (object class 12.2) .....	1,234	909	819
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,234	909	819
1160	Appropriation, discretionary (total) .....	1,234	909	819
1930	Total budgetary resources available .....	1,234	909	819
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1,234	909	819
3020	Outlays (gross) .....	-1,234	-909	-819
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,234	909	819
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,234	909	819
4180	Budget authority, net (total) .....	1,234	909	819
4190	Outlays, net (total) .....	1,234	909	819

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,234	909	819
Outlays .....	1,234	909	819
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-77
Outlays .....			-77

**NATIONAL GUARD PERSONNEL, AIR FORCE**

*For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air National Guard on duty under section 10211, 10305, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$3,177,961,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 57-3850-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Reserve component training and support .....	3,161	3,118	3,178
0801	Reimbursable program .....	43	49	49
0900	Total new obligations .....	3,204	3,167	3,227
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3,098	3,118	3,178
1120	Appropriations transferred to other accts [97-9999] .....	-6		
1121	Appropriations transferred from other accts [97-9999] .....	76		
1160	Appropriation, discretionary (total) .....	3,168	3,118	3,178
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	40	49	49
1701	Change in uncollected payments, Federal sources .....	4		
1750	Spending auth from offsetting collections, disc (total) .....	44	49	49
1900	Budget authority (total) .....	3,212	3,167	3,227

NATIONAL GUARD PERSONNEL, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 57-3850-0-1-051	2012 actual	2013 CR	2014 est.
1930 Total budgetary resources available .....	3,212	3,167	3,227
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-8		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	191	265	106
3010 Obligations incurred, unexpired accounts .....	3,204	3,167	3,227
3011 Obligations incurred, expired accounts .....	68		
3020 Outlays (gross) .....	-3,135	-3,326	-3,210
3041 Recoveries of prior year unpaid obligations, expired .....	-63		
3050 Unpaid obligations, end of year .....	265	106	123
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	179	250	91
3200 Obligated balance, end of year .....	250	91	108

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,212	3,167	3,227
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,053	3,074	3,132
4011 Outlays from discretionary balances .....	82	252	78
4020 Outlays, gross (total) .....	3,135	3,326	3,210
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-49	-47	-47
4033 Non-Federal sources .....	-15	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-64	-49	-49
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	24		
4060 Additional offsets against budget authority only (total) .....	20		
4070 Budget authority, net (discretionary) .....	3,168	3,118	3,178
4080 Outlays, net (discretionary) .....	3,071	3,277	3,161
4180 Budget authority, net (total) .....	3,168	3,118	3,178
4190 Outlays, net (total) .....	3,071	3,277	3,161

**Object Classification** (in millions of dollars)

Identification code 57-3850-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	397	396	419
11.7 Military personnel .....	1,832	1,815	1,874
11.9 Total personnel compensation .....	2,229	2,211	2,293
12.2 Accrued retirement benefits .....	484	478	482
12.2 Other personnel benefits .....	278	262	233
21.0 Travel and transportation of persons .....	165	162	164
22.0 Transportation of things .....	3	3	4
42.0 Insurance claims and indemnities .....	2	3	2
99.0 Direct obligations .....	3,161	3,119	3,178
99.0 Reimbursable obligations .....	43	48	49
99.9 Total new obligations .....	3,204	3,167	3,227

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD  
PERSONNEL, AIR FORCE

**Program and Financing** (in millions of dollars)

Identification code 57-1009-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	378	275	251

0900 Total new obligations (object class 12.2) .....	378	275	251
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	378	275	251
1160 Appropriation, discretionary (total) .....	378	275	251
1930 Total budgetary resources available .....	378	275	251

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	378	275	251
3020 Outlays (gross) .....	-378	-275	-251

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	378	275	251
Outlays, gross:			
4010 Outlays from new discretionary authority .....	378	275	251
4180 Budget authority, net (total) .....	378	275	251
4190 Outlays, net (total) .....	378	275	251

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	378	275	251
Outlays .....	378	275	251
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-23
Outlays .....			-23
Total:			
Budget Authority .....	378	275	228
Outlays .....	378	275	228

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD  
PERSONNEL, AIR FORCE

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 57-1009-2-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....			-23
0900 Total new obligations (object class 12.2) .....			-23

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-23
1160 Appropriation, discretionary (total) .....			-23
1930 Total budgetary resources available .....			-23

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-23
3020 Outlays (gross) .....			23

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-23
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-23
4180 Budget authority, net (total) .....			-23
4190 Outlays, net (total) .....			-23

CONCURRENT RECEIPT ACCRUAL PAYMENTS TO THE MILITARY RETIREMENT FUND

Program and Financing (in millions of dollars)

Identification code 97-0041-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity	5,376	6,791	6,970
0900 Total new obligations (object class 12.2)	5,376	6,791	6,970
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	5,376	6,791	6,970
1260 Appropriations, mandatory (total)	5,376	6,791	6,970
1930 Total budgetary resources available	5,376	6,791	6,970
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	5,376	6,791	6,970
3020 Outlays (gross)	-5,376	-6,791	-6,970
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	5,376	6,791	6,970
Outlays, gross:			
4100 Outlays from new mandatory authority	5,376	6,791	6,970
4180 Budget authority, net (total)	5,376	6,791	6,970
4190 Outlays, net (total)	5,376	6,791	6,970

OPERATION AND MAINTENANCE

These appropriations finance the cost of operating and maintaining the Armed Forces, including the Reserve components and related support activities of the Department of Defense, except military personnel pay, allowances, and travel costs. Included are amounts for training and operation costs, pay of civilians, contract services for maintenance of equipment and facilities, fuel, supplies, and repair parts for weapons and equipment. Financial requirements are influenced by many factors, including the number of aircraft squadrons, Army brigades and Marine Corps regiments, installations, military strength and deployments, rates of operational activity, and the quantity and complexity of major equipment (aircraft, ships, missiles, tanks, et cetera) in operation.

Federal Funds

OPERATION AND MAINTENANCE, ARMY

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Army, as authorized by law; and not to exceed \$12,478,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Army, and payments may be made on his certificate of necessity for confidential military purposes, \$35,073,077,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 21-2020-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating Forces	55,322	40,519	21,102
0002 Mobilization	541	563	559
0003 Training and recruiting	4,894	4,976	4,830
0004 Administration and Service-wide activities	16,526	13,154	8,582

0799 Total direct obligations	77,283	59,212	35,073
0801 Reimbursable program	11,948	8,037	7,817
0900 Total new obligations	89,231	67,249	42,890

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	345	129	254
1011 Unobligated balance transfer from other accts [97-9999]	1,730		
1050 Unobligated balance (total)	2,075	129	254
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	75,867	59,337	35,073
1121 Appropriations transferred from other accts [97-9999]	2,651		
1130 Appropriations permanently reduced	-3,073		
1160 Appropriation, discretionary (total)	75,445	59,337	35,073
Spending authority from offsetting collections, discretionary:			
1700 Collected	5,629	8,037	7,817
1701 Change in uncollected payments, Federal sources	6,330		
1750 Spending auth from offsetting collections, disc (total)	11,959	8,037	7,817
1900 Budget authority (total)	87,404	67,374	42,890
1930 Total budgetary resources available	89,479	67,503	43,144
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-119		
1941 Unexpired unobligated balance, end of year	129	254	254

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	56,352	52,210	45,861
3010 Obligations incurred, unexpired accounts	89,231	67,249	42,890
3011 Obligations incurred, expired accounts	6,761		
3020 Outlays (gross)	-89,754	-73,598	-49,320
3041 Recoveries of prior year unpaid obligations, expired	-10,380		
3050 Unpaid obligations, end of year	52,210	45,861	39,431
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-8,805	-9,176	-9,176
3070 Change in uncollected pymts, Fed sources, unexpired	-6,330		
3071 Change in uncollected pymts, Fed sources, expired	5,959		
3090 Uncollected pymts, Fed sources, end of year	-9,176	-9,176	-9,176
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	47,547	43,034	36,685
3200 Obligated balance, end of year	43,034	36,685	30,255

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	87,404	67,374	42,890
Outlays, gross:			
4010 Outlays from new discretionary authority	52,514	37,850	25,354
4011 Outlays from discretionary balances	37,240	35,748	23,966
4020 Outlays, gross (total)	89,754	73,598	49,320
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-8,225	-8,037	-7,817
4033 Non-Federal sources	-2,785		
4040 Offsets against gross budget authority and outlays (total)	-11,010	-8,037	-7,817
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-6,330		
4052 Offsetting collections credited to expired accounts	5,381		
4060 Additional offsets against budget authority only (total)	-949		
4070 Budget authority, net (discretionary)	75,445	59,337	35,073
4080 Outlays, net (discretionary)	78,744	65,561	41,503
4180 Budget authority, net (total)	75,445	59,337	35,073
4190 Outlays, net (total)	78,744	65,561	41,503

Object Classification (in millions of dollars)

Identification code 21-2020-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	6,145	5,852	5,910
11.3 Other than full-time permanent	826	723	832
11.5 Other personnel compensation	480	613	138
11.9 Total personnel compensation	7,451	7,188	6,880
12.1 Civilian personnel benefits	2,390	2,240	2,368
13.0 Benefits for former personnel	45	16	41
21.0 Travel and transportation of persons	2,458	802	961
22.0 Transportation of things	5,960	499	898
23.1 Rental payments to GSA	165	162	168

OPERATION AND MAINTENANCE, ARMY—Continued  
Object Classification—Continued

Identification code 21–2020–0–1–051	2012 actual	2013 CR	2014 est.	
23.2	Rental payments to others	410	300	365
23.3	Communications, utilities, and miscellaneous charges	1,971	1,290	1,606
24.0	Printing and reproduction	141	113	130
25.1	Advisory and assistance services	5,303	381	296
25.2	Other services from non-Federal sources	7,044	18,048	2,070
25.3	Purchases of goods and services from other Federal accounts	3,646	1,629	2,174
25.3	Payments to foreign national indirect hire personnel	482	504	507
25.3	Purchases from revolving funds	7,487	7,376	2,184
25.4	Operation and maintenance of facilities	5,799	6,711	2,874
25.5	Research and development contracts	11		1
25.6	Medical care	31		
25.7	Operation and maintenance of equipment	10,117	5,112	4,752
25.8	Subsistence and support of persons	120	45	40
26.0	Supplies and materials	12,012	4,263	4,726
31.0	Equipment	1,915	1,608	1,240
32.0	Land and structures	1,345	535	405
41.0	Grants, subsidies, and contributions	930	387	382
42.0	Insurance claims and indemnities	32	3	5
43.0	Interest and dividends	19		
99.0	Direct obligations	77,284	59,212	35,073
99.0	Reimbursable obligations	11,947	8,037	7,817
99.9	Total new obligations	89,231	67,249	42,890

Employment Summary

Identification code 21–2020–0–1–051	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment	107,502	102,100	98,386
2001	Reimbursable civilian full-time equivalent employment	57,272	62,324	61,901
3001	Allocation account civilian full-time equivalent employment	15	13	13

OPERATION AND MAINTENANCE, NAVY

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Navy and the Marine Corps, as authorized by law; and not to exceed \$15,055,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Navy, and payments may be made on his certificate of necessity for confidential military purposes, \$39,945,237,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 17–1804–0–1–051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Operating forces	38,992	36,544	32,610
0002	Mobilization	825	1,091	661
0003	Training and recruiting	1,837	1,820	1,798
0004	Administration and Service-wide activities	5,325	4,819	4,876
0799	Total direct obligations	46,979	44,274	39,945
0801	Reimbursable program	5,282	5,330	6,620
0900	Total new obligations	52,261	49,604	46,565
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	15	15	16
1010	Unobligated balance transfer to other accts [97–9999]	–3		
1011	Unobligated balance transfer from other accts [97–9999]	103		
1050	Unobligated balance (total)	115	15	16
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	45,795	44,275	39,945
1120	Appropriations transferred to other accts [97–9999]	–25		
1121	Appropriations transferred from other accts [97–9999]	1,383		
1130	Appropriations permanently reduced	–156		

1160	Appropriation, discretionary (total)	46,997	44,275	39,945
Spending authority from offsetting collections, discretionary:				
1700	Collected	3,992	5,330	6,620
1701	Change in uncollected payments, Federal sources	1,289		
1750	Spending auth from offsetting collections, disc (total)	5,281	5,330	6,620
1900	Budget authority (total)	52,278	49,605	46,565
1930	Total budgetary resources available	52,393	49,620	46,581
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	–117		
1941	Unexpired unobligated balance, end of year	15	16	16
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	19,114	19,832	19,890
3010	Obligations incurred, unexpired accounts	52,261	49,604	46,565
3011	Obligations incurred, expired accounts	2,326		
3020	Outlays (gross)	–50,576	–49,546	–45,274
3041	Recoveries of prior year unpaid obligations, expired	–3,293		
3050	Unpaid obligations, end of year	19,832	19,890	21,181
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	–1,355	–1,667	–1,667
3070	Change in uncollected pymts, Fed sources, unexpired	–1,289		
3071	Change in uncollected pymts, Fed sources, expired	977		
3090	Uncollected pymts, Fed sources, end of year	–1,667	–1,667	–1,667
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	17,759	18,165	18,223
3200	Obligated balance, end of year	18,165	18,223	19,514

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	52,278	49,605	46,565
Outlays, gross:				
4010	Outlays from new discretionary authority	37,678	37,650	30,703
4011	Outlays from discretionary balances	12,898	11,896	14,571
4020	Outlays, gross (total)	50,576	49,546	45,274
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	–4,785	–5,330	–6,620
4033	Non-Federal sources	–128		
4040	Offsets against gross budget authority and outlays (total)	–4,913	–5,330	–6,620
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	–1,289		
4052	Offsetting collections credited to expired accounts	921		
4060	Additional offsets against budget authority only (total)	–368		
4070	Budget authority, net (discretionary)	46,997	44,275	39,945
4080	Outlays, net (discretionary)	45,663	44,216	38,654
4180	Budget authority, net (total)	46,997	44,275	39,945
4190	Outlays, net (total)	45,663	44,216	38,654

Object Classification (in millions of dollars)

Identification code 17–1804–0–1–051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	5,550	5,591	5,625
11.3	Other than full-time permanent	123	131	125
11.5	Other personnel compensation	437	418	431
11.9	Total personnel compensation	6,110	6,140	6,181
12.1	Civilian personnel benefits	1,953	2,002	1,987
13.0	Benefits for former personnel	15	16	12
21.0	Travel and transportation of persons	970	827	555
22.0	Transportation of things	432	790	176
23.1	Rental payments to GSA	15	34	34
23.2	Rental payments to others	105	110	78
23.3	Communications, utilities, and miscellaneous charges	863	428	693
24.0	Printing and reproduction	104	74	54
25.1	Advisory and assistance services	590	514	390
25.2	Contracts with the private sector	2,124	1,887	1,298
25.3	Other goods and services from Federal sources	3,581	3,654	3,489
25.3	Payments to foreign national indirect hire personnel	73	83	87
25.3	Purchases from revolving funds	9,919	9,098	8,346
25.4	Operation and maintenance of facilities	2,159	2,410	2,410
25.7	Operation and maintenance of equipment	7,289	6,466	5,647
25.8	Subsistence and support of persons	79	111	98
26.0	Supplies and materials	6,950	6,275	5,236
31.0	Equipment	3,331	3,295	3,115
32.0	Land and structures	255	2	2
41.0	Grants, subsidies, and contributions	47	42	42
42.0	Insurance claims and indemnities	9	13	12

43.0	Interest and dividends .....	6	3	3
99.0	Direct obligations .....	46,979	44,274	39,945
99.0	Reimbursable obligations .....	5,282	5,330	6,620
99.9	Total new obligations .....	52,261	49,604	46,565

**Employment Summary**

Identification code 17—1804—0—1—051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	76,052	75,689	76,973
2001 Reimbursable civilian full-time equivalent employment .....	27,789	27,900	27,076

**OPERATION AND MAINTENANCE, MARINE CORPS**

*For expenses, not otherwise provided for, necessary for the operation and maintenance of the Marine Corps, as authorized by law, \$6,254,650,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17—1106—0—1—051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	7,883	7,659	4,994
0003 Training and recruiting .....	924	928	778
0004 Administration and Service-wide activities .....	934	1,056	483
0799 Total direct obligations .....	9,741	9,643	6,255
0801 Reimbursable program .....	359	66	81
0900 Total new obligations .....	10,100	9,709	6,336
<b>Budgetary Resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other accts [97–9999] ....	221		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9,478	9,643	6,255
1120 Appropriations transferred to other accts [97–9999] .....	–4		
1121 Appropriations transferred from other accts [97–9999] ....	469		
1130 Appropriations permanently reduced .....	–397		
1160 Appropriation, discretionary (total) .....	9,546	9,643	6,255
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	292	66	81
1701 Change in uncollected payments, Federal sources .....	67		
1750 Spending auth from offsetting collections, disc (total) .....	359	66	81
1900 Budget authority (total) .....	9,905	9,709	6,336
1930 Total budgetary resources available .....	10,126	9,709	6,336
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–26		

Identification code 17—1106—0—1—051	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,368	4,843	5,299
3010 Obligations incurred, unexpired accounts .....	10,100	9,709	6,336
3011 Obligations incurred, expired accounts .....	137		
3020 Outlays (gross) .....	–10,355	–9,253	–7,007
3041 Recoveries of prior year unpaid obligations, expired .....	–407		
3050 Unpaid obligations, end of year .....	4,843	5,299	4,628
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–142	–97	–97
3070 Change in uncollected pymts, Fed sources, unexpired .....	–67		
3071 Change in uncollected pymts, Fed sources, expired .....	112		
3090 Uncollected pymts, Fed sources, end of year .....	–97	–97	–97
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,226	4,746	5,202
3200 Obligated balance, end of year .....	4,746	5,202	4,531

Identification code 17—1106—0—1—051	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9,905	9,709	6,336
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,306	6,045	3,236

4011	Outlays from discretionary balances .....	4,049	3,208	3,771
4020	Outlays, gross (total) .....	10,355	9,253	7,007
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–348	–66	–81
4033	Non-Federal sources .....	–49		
4040	Offsets against gross budget authority and outlays (total) ....	–397	–66	–81
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	–67		
4052	Offsetting collections credited to expired accounts .....	105		
4060	Additional offsets against budget authority only (total) .....	38		
4070	Budget authority, net (discretionary) .....	9,546	9,643	6,255
4080	Outlays, net (discretionary) .....	9,958	9,187	6,926
4180	Budget authority, net (total) .....	9,546	9,643	6,255
4190	Outlays, net (total) .....	9,958	9,187	6,926

**Object Classification** (in millions of dollars)

Identification code 17—1106—0—1—051	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	1,135	1,195	1,240
11.3	Other than full-time permanent .....	23		
11.5	Other personnel compensation .....	43	81	38
11.9	Total personnel compensation .....	1,201	1,276	1,278
12.1	Civilian personnel benefits .....	390	344	419
13.0	Benefits for former personnel .....	1		
21.0	Travel and transportation of persons .....	370	377	179
22.0	Transportation of things .....	134	565	83
23.1	Rental payments to GSA .....	42	14	25
23.2	Rental payments to others .....	48	61	19
23.3	Communications, utilities, and miscellaneous charges .....	384	397	312
24.0	Printing and reproduction .....	124	74	111
25.1	Advisory and assistance services .....	432	188	127
25.2	Other services from non-Federal sources .....	462	291	231
25.3	Other goods and services from Federal sources .....	914	876	795
25.3	Payments to foreign national indirect hire personnel .....	21	17	19
25.3	Purchases from revolving funds .....	1,216	878	570
25.4	Operation and maintenance of facilities .....	784	682	611
25.6	Medical care .....	10	31	
25.7	Operation and maintenance of equipment .....	1,102	1,178	481
25.8	Subsistence and support of persons .....	24	16	26
26.0	Supplies and materials .....	1,106	1,519	684
31.0	Equipment .....	975	844	285
32.0	Land and structures .....		15	
99.0	Direct obligations .....	9,740	9,643	6,255
99.0	Reimbursable obligations .....	360	66	81
99.9	Total new obligations .....	10,100	9,709	6,336

**Employment Summary**

Identification code 17—1106—0—1—051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	15,766	17,246	17,296
2001 Reimbursable civilian full-time equivalent employment .....	926	636	737

**OPERATION AND MAINTENANCE, AIR FORCE**

*For expenses, not otherwise provided for, necessary for the operation and maintenance of the Air Force, as authorized by law; and not to exceed \$7,699,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Air Force, and payments may be made on his certificate of necessity for confidential military purposes, \$37,270,842,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

OPERATION AND MAINTENANCE, AIR FORCE—Continued  
Program and Financing (in millions of dollars)

Identification code 57-3400-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	27,018	24,343	21,968
0002 Mobilization .....	8,685	8,424	4,594
0003 Training and recruiting .....	3,768	3,669	3,606
0004 Administration and Service-wide activities .....	8,716	8,006	7,103
0799 Total direct obligations .....	48,187	44,442	37,271
0801 Reimbursable program .....	4,188	1,659	1,896
0900 Total new obligations .....	52,375	46,101	39,167
<b>Budgetary Resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other accts [97-9999] ...	370		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	45,865	44,442	37,271
1120 Appropriations transferred to other accts [97-9999] .....	-29		
1121 Appropriations transferred from other accts [97-9999] ...	2,639		
1130 Appropriations permanently reduced .....	-468		
1160 Appropriation, discretionary (total) .....	48,007	44,442	37,271
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,373	1,659	1,896
1701 Change in uncollected payments, Federal sources .....	815		
1750 Spending auth from offsetting collections, disc (total) .....	4,188	1,659	1,896
1900 Budget authority (total) .....	52,195	46,101	39,167
1930 Total budgetary resources available .....	52,565	46,101	39,167
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-190		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23,531	22,457	23,896
3010 Obligations incurred, unexpired accounts .....	52,375	46,101	39,167
3011 Obligations incurred, expired accounts .....	1,938		
3020 Outlays (gross) .....	-52,820	-44,662	-38,744
3041 Recoveries of prior year unpaid obligations, expired .....	-2,567		
3050 Unpaid obligations, end of year .....	22,457	23,896	24,319
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2,370	-1,081	-1,081
3070 Change in uncollected pymts, Fed sources, unexpired .....	-815		
3071 Change in uncollected pymts, Fed sources, expired .....	2,104		
3090 Uncollected pymts, Fed sources, end of year .....	-1,081	-1,081	-1,081
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21,161	21,376	22,815
3200 Obligated balance, end of year .....	21,376	22,815	23,238
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52,195	46,101	39,167
Outlays, gross:			
4010 Outlays from new discretionary authority .....	36,189	33,214	22,497
4011 Outlays from discretionary balances .....	16,631	11,448	16,247
4020 Outlays, gross (total) .....	52,820	44,662	38,744
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5,140	-1,659	-1,896
4033 Non-Federal sources .....	-449		
4040 Offsets against gross budget authority and outlays (total) ...	-5,589	-1,659	-1,896
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-815		
4052 Offsetting collections credited to expired accounts .....	2,216		
4060 Additional offsets against budget authority only (total) .....	1,401		
4070 Budget authority, net (discretionary) .....	48,007	44,442	37,271
4080 Outlays, net (discretionary) .....	47,231	43,003	36,848
4180 Budget authority, net (total) .....	48,007	44,442	37,271
4190 Outlays, net (total) .....	47,231	43,003	36,848

Object Classification (in millions of dollars)

Identification code 57-3400-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	5,445	5,425	5,323

11.3 Other than full-time permanent .....	227	241	454
11.5 Other personnel compensation .....	148	394	153
Total personnel compensation .....			
11.9	5,820	6,060	5,930
12.1 Civilian personnel benefits .....	2,078	1,757	2,211
13.0 Benefits for former personnel .....	81	216	40
21.0 Travel and transportation of persons .....	1,231	835	734
22.0 Transportation of things .....	410	196	137
23.1 Rental payments to GSA .....	13	12	15
23.2 Rental payments to others .....	125	127	458
23.3 Communications, utilities, and miscellaneous charges .....	2,662	1,507	2,076
24.0 Printing and reproduction .....	64	74	71
25.1 Advisory and assistance services .....	1,147	146	309
25.2 Contracts with the private sector .....	2,281	4,164	2,252
25.3 Other goods and services from Federal sources .....	494	47	110
25.3 Payments to foreign national indirect hire personnel .....	157	260	228
25.3 Purchases from revolving funds .....	5,193	6,245	2,659
25.4 Operation and maintenance of facilities .....	3,331	2,480	1,186
25.5 Research and development contracts .....	5		20
25.6 Medical care .....	40		2
25.7 Operation and maintenance of equipment .....	10,312	9,380	9,360
25.8 Subsistence and support of persons .....	218	19	160
26.0 Supplies and materials .....	9,754	9,103	6,834
31.0 Equipment .....	1,599	942	739
32.0 Land and structures .....	1,129	799	1,671
41.0 Grants, subsidies, and contributions .....	34	30	29
42.0 Insurance claims and indemnities .....	9	43	39
43.0 Interest and dividends .....		1	1
99.0 Direct obligations .....	48,187	44,443	37,271
99.0 Reimbursable obligations .....	4,188	1,658	1,896
99.9 Total new obligations .....	52,375	46,101	39,167

Employment Summary

Identification code 57-3400-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	81,251	86,627	83,928
2001 Reimbursable civilian full-time equivalent employment .....	16,551	15,517	16,254

OPERATION AND MAINTENANCE, DEFENSE-WIDE  
(INCLUDING TRANSFER OF FUNDS)

*For expenses, not otherwise provided for, necessary for the operation and maintenance of activities and agencies of the Department of Defense (other than the military departments), as authorized by law, \$32,997,693,000: Provided, That not more than \$25,000,000 may be used for the Combatant Commander Initiative Fund authorized under section 166a of title 10, United States Code: Provided further, That not to exceed \$36,000,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of Defense, and payments may be made on his certificate of necessity for confidential military purposes: Provided further, That \$8,721,000, to remain available until expended, is available only for expenses relating to certain classified activities, and may be transferred as necessary by the Secretary of Defense to operation and maintenance appropriations or research, development, test and evaluation appropriations, to be merged with and to be available for the same time period as the appropriations to which transferred: Provided further, That any ceiling on the investment item unit cost of items that may be purchased with operation and maintenance funds shall not apply to the funds described in the preceding proviso: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 97-0100-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	7,992	6,974	5,734
0003 Training and recruiting .....	222	218	242
0004 Administration and Service-wide activities .....	31,745	32,318	27,021
0799 Total direct obligations .....	39,959	39,510	32,997

0801	Reimbursable program .....	2,093	2,624	2,894
0900	Total new obligations .....	42,052	42,134	35,891
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2,089	1,795	742
1010	Unobligated balance transfer to other accts [97–9999] .....	-1,649		
1011	Unobligated balance transfer from other accts [97–9999] .....	1,657		
1021	Recoveries of prior year unpaid obligations .....	109		
1050	Unobligated balance (total) .....	2,206	1,795	742
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	39,698	38,457	32,997
1120	Appropriations transferred to other accts [97–9999] .....	-2,333		
1120	Appropriations transferred to other accts [11–1041] .....	-22		
1121	Appropriations transferred from other accts [95–0401] .....	9		
1121	Appropriations transferred from other accts [97–9999] .....	2,445		
1160	Appropriation, discretionary (total) .....	39,797	38,457	32,997
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	868	2,624	2,894
1701	Change in uncollected payments, Federal sources .....	1,228		
1750	Spending auth from offsetting collections, disc (total) .....	2,096	2,624	2,894
1900	Budget authority (total) .....	41,893	41,081	35,891
1930	Total budgetary resources available .....	44,099	42,876	36,633
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-252		
1941	Unexpired unobligated balance, end of year .....	1,795	742	742

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	18,207	19,191	21,104
3010	Obligations incurred, unexpired accounts .....	42,052	42,134	35,891
3011	Obligations incurred, expired accounts .....	1,555		
3020	Outlays (gross) .....	-40,288	-40,221	-34,573
3040	Recoveries of prior year unpaid obligations, unexpired .....	-109		
3041	Recoveries of prior year unpaid obligations, expired .....	-2,226		
3050	Unpaid obligations, end of year .....	19,191	21,104	22,422
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,328	-1,774	-1,774
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1,228		
3071	Change in uncollected pymts, Fed sources, expired .....	782		
3090	Uncollected pymts, Fed sources, end of year .....	-1,774	-1,774	-1,774
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	16,879	17,417	19,330
3200	Obligated balance, end of year .....	17,417	19,330	20,648

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	41,893	41,081	35,891
Outlays, gross:				
4010	Outlays from new discretionary authority .....	25,972	27,083	19,620
4011	Outlays from discretionary balances .....	14,316	13,138	14,953
4020	Outlays, gross (total) .....	40,288	40,221	34,573
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1,606	-2,624	-2,893
4033	Non-Federal sources .....	-90		-1
4040	Offsets against gross budget authority and outlays (total) .....	-1,696	-2,624	-2,894
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1,228		
4052	Offsetting collections credited to expired accounts .....	828		
4060	Additional offsets against budget authority only (total) .....	-400		
4070	Budget authority, net (discretionary) .....	39,797	38,457	32,997
4080	Outlays, net (discretionary) .....	38,592	37,597	31,679
4180	Budget authority, net (total) .....	39,797	38,457	32,997
4190	Outlays, net (total) .....	38,592	37,597	31,679

**Object Classification** (in millions of dollars)

Identification code 97–0100–0–1–051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	6,786	5,129	8,562
11.3	Other than full-time permanent .....	168	164	147
11.5	Other personnel compensation .....	456	407	261
11.8	Special personal services payments .....	17		10
11.9	Total personnel compensation .....	7,427	5,700	8,980

12.1	Civilian personnel benefits .....	2,399	1,706	1,831
13.0	Benefits for former personnel .....	11	9	5
21.0	Travel and transportation of persons .....	1,076	982	922
22.0	Transportation of things .....	141	691	128
23.1	Rental payments to GSA .....	199	171	169
23.2	Rental payments to others .....	195	331	395
23.3	Communications, utilities, and miscellaneous charges .....	1,335	976	1,159
24.0	Printing and reproduction .....	48	68	42
25.1	Advisory and assistance services .....	5,152	5,067	3,141
25.2	Other services from non-Federal sources .....	2,578	2,652	2,055
25.3	Other goods and services from Federal sources .....	6,132	9,422	3,851
25.3	Payments to foreign national indirect hire personnel .....	15	17	17
25.3	Purchases from revolving funds .....	1,195	1,215	921
25.4	Operation and maintenance of facilities .....	588	907	471
25.5	Research and development contracts .....	20	91	
25.6	Medical care .....	36	4	78
25.7	Operation and maintenance of equipment .....	6,005	4,908	4,837
25.8	Subsistence and support of persons .....	27	19	26
26.0	Supplies and materials .....	1,523	1,795	1,313
31.0	Equipment .....	2,840	2,079	1,927
32.0	Land and structures .....	323	1	164
33.0	Investments and loans .....	3		
41.0	Grants, subsidies, and contributions .....	675	677	564
42.0	Insurance claims and indemnities .....	1		
91.0	Unvouchered .....	14	21	1
99.0	Direct obligations .....	39,958	39,509	32,997
99.0	Reimbursable obligations .....	2,094	2,625	2,894
99.9	Total new obligations .....	42,052	42,134	35,891

**Employment Summary**

Identification code 97–0100–0–1–051	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	55,795	59,770	59,972
2001	Reimbursable civilian full-time equivalent employment .....	2,091	2,274	2,309
3001	Allocation account civilian full-time equivalent employment .....	326	405	403

**OFFICE OF THE INSPECTOR GENERAL**

*For expenses and activities of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$312,131,000, of which \$311,131,000 shall be for operation and maintenance, of which not to exceed \$700,000 is available for emergencies and extraordinary expenses to be expended on the approval or authority of the Inspector General, and payments may be made on the Inspector General's certificate of necessity for confidential military purposes; and of which \$1,000,000, to remain available until September 30, 2016, shall be for procurement.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97–0107–0–1–051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Operation and maintenance .....	331	354	311
0002	Research, development, test, and evaluation .....		9	
0003	Procurement .....	1	3	1
0799	Total direct obligations .....	332	366	312
0801	Reimbursable program .....	7	9	9
0900	Total new obligations .....	339	375	321

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	8	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	358	360	312
1120	Appropriations transferred to other accts [97–9999] .....	-19		
1160	Appropriation, discretionary (total) .....	339	360	312
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	5	9	9
1701	Change in uncollected payments, Federal sources .....	3		

OFFICE OF THE INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 97-0107-0-1-051	2012 actual	2013 CR	2014 est.
1750 Spending auth from offsetting collections, disc (total) .....	8	9	9
1900 Budget authority (total) .....	347	369	321
1930 Total budgetary resources available .....	349	377	323
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	8	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	58	65	52
3010 Obligations incurred, unexpired accounts .....	339	375	321
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-329	-388	-321
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	65	52	52
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	56	62	49
3200 Obligated balance, end of year .....	62	49	49
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	347	369	321
Outlays, gross:			
4010 Outlays from new discretionary authority .....	287	315	274
4011 Outlays from discretionary balances .....	42	73	47
4020 Outlays, gross (total) .....	329	388	321
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-9	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	339	360	312
4080 Outlays, net (discretionary) .....	323	379	312
4180 Budget authority, net (total) .....	339	360	312
4190 Outlays, net (total) .....	323	379	312

Object Classification (in millions of dollars)

Identification code 97-0107-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	153	164	164
11.3 Other than full-time permanent .....	4	5	2
11.5 Other personnel compensation .....	18	8	16
11.9 Total personnel compensation .....	175	177	182
12.1 Civilian personnel benefits .....	56	60	59
21.0 Travel and transportation of persons .....	7	8	6
22.0 Transportation of things .....		1	
23.1 Rental payments to GSA .....	21	34	21
25.1 Advisory and assistance services .....	40	9	18
25.2 Other services from non-Federal sources .....	8	37	6
25.3 Purchases of goods and services from other Federal agencies .....	10	19	8
25.3 Purchases from revolving funds .....	3	5	4
25.7 Operation and maintenance of equipment .....	2	1	2
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	8	13	4
99.0 Direct obligations .....	332	366	312
99.0 Reimbursable obligations .....	7	9	9
99.9 Total new obligations .....	339	375	321

Employment Summary

Identification code 97-0107-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,532	1,631	1,613

OPERATION AND MAINTENANCE, ARMY RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Army Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, \$3,095,036,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 21-2080-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	3,095	3,087	3,002
0004 Administration and Service-wide activities .....	156	158	93
0799 Total direct obligations .....	3,251	3,245	3,095
0801 Reimbursable program .....	27	66	72
0900 Total new obligations .....	3,278	3,311	3,167
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,289	3,245	3,095
1120 Appropriations transferred to other accts [97-9999] .....	-26		
1160 Appropriation, discretionary (total) .....	3,263	3,245	3,095
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	17	66	72
1701 Change in uncollected payments, Federal sources .....	11		
1750 Spending auth from offsetting collections, disc (total) .....	28	66	72
1900 Budget authority (total) .....	3,291	3,311	3,167
1930 Total budgetary resources available .....	3,291	3,311	3,167
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-13		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,372	1,692	1,846
3010 Obligations incurred, unexpired accounts .....	3,278	3,311	3,167
3011 Obligations incurred, expired accounts .....	202		
3020 Outlays (gross) .....	-2,838	-3,157	-3,328
3041 Recoveries of prior year unpaid obligations, expired .....	-322		
3050 Unpaid obligations, end of year .....	1,692	1,846	1,685
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-15	-14	-14
3070 Change in uncollected pymts, Fed sources, unexpired .....	-11		
3071 Change in uncollected pymts, Fed sources, expired .....	12		
3090 Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,357	1,678	1,832
3200 Obligated balance, end of year .....	1,678	1,832	1,671
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,291	3,311	3,167
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,897	2,046	1,960
4011 Outlays from discretionary balances .....	941	1,111	1,368
4020 Outlays, gross (total) .....	2,838	3,157	3,328
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-9	-66	-72
4033 Non-Federal sources .....	-23		
4040 Offsets against gross budget authority and outlays (total) ...	-32	-66	-72

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-11	.....
4052	Offsetting collections credited to expired accounts .....	15	.....
4060	Additional offsets against budget authority only (total) .....	4	.....
4070	Budget authority, net (discretionary) .....	3,263	3,245 3,095
4080	Outlays, net (discretionary) .....	2,806	3,091 3,256
4180	Budget authority, net (total) .....	3,263	3,245 3,095
4190	Outlays, net (total) .....	2,806	3,091 3,256

**Object Classification** (in millions of dollars)

Identification code 21-2080-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	Full-time permanent .....	544	653 672
11.3	Other than full-time permanent .....	5	20 7
11.5	Other personnel compensation .....	14	18 8
11.9	Total personnel compensation .....	563	691 687
12.1	Civilian personnel benefits .....	202	237 246
13.0	Benefits for former personnel .....	1	.....
21.0	Travel and transportation of persons .....	230	161 176
22.0	Transportation of things .....	59	37 32
23.1	Rental payments to GSA .....	5	7 4
23.2	Rental payments to others .....	8	15 15
23.3	Communications, utilities, and miscellaneous charges .....	76	87 93
24.0	Printing and reproduction .....	10	18 10
25.1	Advisory and assistance services .....	44	15 28
25.2	Other services from non-Federal sources .....	334	545 194
25.3	Purchases of goods and services from other Federal agencies .....	220	277 223
25.3	Purchases from revolving funds .....	260	285 110
25.4	Operation and maintenance of facilities .....	187	172 200
25.6	Medical care .....	166	..... 184
25.7	Operation and maintenance of equipment .....	151	32 52
25.8	Subsistence and support of persons .....	49	..... 43
26.0	Supplies and materials .....	366	481 559
31.0	Equipment .....	320	185 191
32.0	Land and structures .....	.....	..... 48
99.0	Direct obligations .....	3,251	3,245 3,095
99.0	Reimbursable obligations .....	27	66 72
99.9	Total new obligations .....	3,278	3,311 3,167

**Employment Summary**

Identification code 21-2080-0-1-051	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	9,900	11,847 11,860
2001	Reimbursable civilian full-time equivalent employment .....	38	21 21

**OPERATION AND MAINTENANCE, NAVY RESERVE**

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Navy Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, \$1,197,752,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17-1806-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Operating Forces .....	1,351	1,347 1,175
0004	Administration and Service-wide activities .....	23	22 23
0799	Total direct obligations .....	1,374	1,369 1,198
0801	Reimbursable program .....	10	3 4
0900	Total new obligations .....	1,384	1,372 1,202

**Budgetary Resources:**

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100	Appropriation .....	1,379	1,369 1,198
1120	Appropriations transferred to other accts [97-9999] .....	-8	.....
1121	Appropriations transferred from other accts [97-9999] .....	9	.....
1160	Appropriation, discretionary (total) .....	1,380	1,369 1,198
<b>Spending authority from offsetting collections, discretionary:</b>			
1700	Collected .....	9	3 4
1701	Change in uncollected payments, Federal sources .....	1	.....
1750	Spending auth from offsetting collections, disc (total) .....	10	3 4
1900	Budget authority (total) .....	1,390	1,372 1,202
1930	Total budgetary resources available .....	1,390	1,372 1,202
<b>Memorandum (non-add) entries:</b>			
1940	Unobligated balance expiring .....	-6	.....

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000	Unpaid obligations, brought forward, Oct 1 .....	597	571 544
3010	Obligations incurred, unexpired accounts .....	1,384	1,372 1,202
3011	Obligations incurred, expired accounts .....	74	.....
3020	Outlays (gross) .....	-1,355	-1,399 -1,275
3041	Recoveries of prior year unpaid obligations, expired .....	-129	.....
3050	Unpaid obligations, end of year .....	571	544 471
<b>Uncollected payments:</b>			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-1 -1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	.....
3071	Change in uncollected pymts, Fed sources, expired .....	3	.....
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1 -1
<b>Memorandum (non-add) entries:</b>			
3100	Obligated balance, start of year .....	594	570 543
3200	Obligated balance, end of year .....	570	543 470

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000	Budget authority, gross .....	1,390	1,372 1,202
<b>Outlays, gross:</b>			
4010	Outlays from new discretionary authority .....	955	961 842
4011	Outlays from discretionary balances .....	400	438 433
4020	Outlays, gross (total) .....	1,355	1,399 1,275
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030	Federal sources .....	-11	-3 -4
<b>Additional offsets against gross budget authority only:</b>			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1	.....
4052	Offsetting collections credited to expired accounts .....	2	.....
4060	Additional offsets against budget authority only (total) .....	1	.....
4070	Budget authority, net (discretionary) .....	1,380	1,369 1,198
4080	Outlays, net (discretionary) .....	1,344	1,396 1,271
4180	Budget authority, net (total) .....	1,380	1,369 1,198
4190	Outlays, net (total) .....	1,344	1,396 1,271

**Object Classification** (in millions of dollars)

Identification code 17-1806-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	Full-time permanent .....	51	55 53
11.5	Other personnel compensation .....	1	2 1
11.9	Total personnel compensation .....	52	57 54
12.1	Civilian personnel benefits .....	17	18 17
21.0	Travel and transportation of persons .....	40	37 17
22.0	Transportation of things .....	11	8 2
23.1	Rental payments to GSA .....	1	2 2
23.2	Rental payments to others .....	2	2 3
23.3	Communications, utilities, and miscellaneous charges .....	47	25 25
25.1	Advisory and assistance services .....	.....	1 .....
25.2	Other services from non-Federal sources .....	21	22 15
25.3	Other goods and services from Federal sources .....	117	80 73
25.3	Purchases from revolving funds .....	88	100 63
25.4	Operation and maintenance of facilities .....	71	71 69
25.6	Medical care .....	4	4 4
25.7	Operation and maintenance of equipment .....	300	294 246
25.8	Subsistence and support of persons .....	17	16 16
26.0	Supplies and materials .....	409	418 394
31.0	Equipment .....	177	214 198
99.0	Direct obligations .....	1,374	1,369 1,198
99.0	Reimbursable obligations .....	10	3 4

OPERATION AND MAINTENANCE, NAVY RESERVE—Continued  
Object Classification—Continued

Identification code 17-1806-0-1-051	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	1,384	1,372	1,202

Employment Summary

Identification code 17-1806-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	854	877	870
2001 Reimbursable civilian full-time equivalent employment .....	16	20	27

OPERATION AND MAINTENANCE, MARINE CORPS RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Marine Corps Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, \$263,317,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 17-1107-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	288	276	241
0004 Administration and Service-wide activities .....	19	23	22
0799 Total direct obligations .....	307	299	263
0801 Reimbursable program .....	3		
0900 Total new obligations .....	310	299	263

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	308	299	263
1160 Appropriation, discretionary (total) .....	308	299	263
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	3		
1900 Budget authority (total) .....	311	299	263
1930 Total budgetary resources available .....	311	299	263
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	193	186	183
3010 Obligations incurred, unexpired accounts .....	310	299	263
3011 Obligations incurred, expired accounts .....	7		
3020 Outlays (gross) .....	-305	-302	-277
3041 Recoveries of prior year unpaid obligations, expired .....	-19		
3050 Unpaid obligations, end of year .....	186	183	169
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	193	186	183
3200 Obligated balance, end of year .....	186	183	169

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	311	299	263
Outlays, gross:			
4010 Outlays from new discretionary authority .....	165	159	139
4011 Outlays from discretionary balances .....	140	143	138
4020 Outlays, gross (total) .....	305	302	277
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
4180 Budget authority, net (total) .....	308	299	263

4190 Outlays, net (total) .....	302	302	277
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Object Classification (in millions of dollars)

Identification code 17-1107-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	16	17	17
11.5 Other personnel compensation .....		1	
11.9 Total personnel compensation .....	16	18	17
12.1 Civilian personnel benefits .....	5	5	6
21.0 Travel and transportation of persons .....	24	19	14
22.0 Transportation of things .....	15	7	7
23.1 Rental payments to GSA .....	2	3	3
23.2 Rental payments to others .....		1	
23.3 Communications, utilities, and miscellaneous charges .....	15	20	19
24.0 Printing and reproduction .....	5	2	5
25.1 Advisory and assistance services .....	3	4	3
25.2 Other services from non-Federal sources .....	6	3	2
25.3 Other goods and services from Federal sources .....	32	38	20
25.3 Purchases from revolving funds .....	23	27	33
25.4 Operation and maintenance of facilities .....	77	52	53
25.7 Operation and maintenance of equipment .....	5	16	18
25.8 Subsistence and support of persons .....	4	1	6
26.0 Supplies and materials .....	42	58	34
31.0 Equipment .....	34	25	23
99.0 Direct obligations .....	308	299	263
99.0 Reimbursable obligations .....	2		
99.9 Total new obligations .....	310	299	263

Employment Summary

Identification code 17-1107-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	260	317	291
2001 Reimbursable civilian full-time equivalent employment .....		5	5

OPERATION AND MAINTENANCE, AIR FORCE RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Air Force Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, \$3,164,607,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 57-3740-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	3,252	3,286	3,054
0004 Administration and Service-wide activities .....	129	129	110
0799 Total direct obligations .....	3,381	3,415	3,164
0801 Reimbursable program .....	481	84	83
0900 Total new obligations .....	3,862	3,499	3,247

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,416	3,415	3,164
1120 Appropriations transferred to other accts [97-9999] .....	-30		
1121 Appropriations transferred from other accts [97-9999] .....	3		
1160 Appropriation, discretionary (total) .....	3,389	3,415	3,164
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	389	84	83
1701 Change in uncollected payments, Federal sources .....	92		
1750 Spending auth from offsetting collections, disc (total) .....	481	84	83
1900 Budget authority (total) .....	3,870	3,499	3,247
1930 Total budgetary resources available .....	3,870	3,499	3,247

Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-8	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1,245	1,043 1,056
3010	Obligations incurred, unexpired accounts .....	3,862	3,499 3,247
3011	Obligations incurred, expired accounts .....	69	
3020	Outlays (gross) .....	-4,011	-3,486 -3,265
3041	Recoveries of prior year unpaid obligations, expired .....	-122	
3050	Unpaid obligations, end of year .....	1,043	1,056 1,038
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-41	-92 -92
3070	Change in uncollected pymts, Fed sources, unexpired .....	-92	
3071	Change in uncollected pymts, Fed sources, expired .....	41	
3090	Uncollected pymts, Fed sources, end of year .....	-92	-92 -92
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,204	951 964
3200	Obligated balance, end of year .....	951	964 946

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	3,870	3,499 3,247
Outlays, gross:			
4010	Outlays from new discretionary authority .....	3,002	2,646 2,456
4011	Outlays from discretionary balances .....	1,009	840 809
4020	Outlays, gross (total) .....	4,011	3,486 3,265
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-432	-84 -83
4033	Non-Federal sources .....	-9	
4040	Offsets against gross budget authority and outlays (total) ...	-441	-84 -83
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-92	
4052	Offsetting collections credited to expired accounts .....	52	
4060	Additional offsets against budget authority only (total) .....	-40	
4070	Budget authority, net (discretionary) .....	3,389	3,415 3,164
4080	Outlays, net (discretionary) .....	3,570	3,402 3,182
4180	Budget authority, net (total) .....	3,389	3,415 3,164
4190	Outlays, net (total) .....	3,570	3,402 3,182

**Object Classification (in millions of dollars)**

Identification code 57-3740-0-1-051			
	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	799	925 840
11.3	Other than full-time permanent .....	33	34 35
11.5	Other personnel compensation .....	24	20 25
11.9	Total personnel compensation .....	856	979 900
12.1	Civilian personnel benefits .....	306	293 346
13.0	Benefits for former personnel .....	4	2
21.0	Travel and transportation of persons .....	34	13 13
22.0	Transportation of things .....	5	2 2
23.2	Rental payments to others .....	6	1 1
23.3	Communications, utilities, and miscellaneous charges .....	47	42 42
24.0	Printing and reproduction .....	22	1 2
25.1	Advisory and assistance services .....	11	1 1
25.2	Other services from non-Federal sources .....	14	20 18
25.3	Other goods and services from Federal sources .....	7	3 14
25.3	Purchases from revolving funds .....	567	609 476
25.4	Operation and maintenance of facilities .....	252	104 71
25.6	Medical care .....	2	1 1
25.7	Operation and maintenance of equipment .....	296	331 286
25.8	Subsistence and support of persons .....	30	4 2
26.0	Supplies and materials .....	792	954 887
31.0	Equipment .....	89	33 36
32.0	Land and structures .....	34	17 59
42.0	Insurance claims and indemnities .....	7	6 7
99.0	Direct obligations .....	3,381	3,416 3,164
99.0	Reimbursable obligations .....	481	83 83
99.9	Total new obligations .....	3,862	3,499 3,247

**Employment Summary**

Identification code 57-3740-0-1-051			
	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	12,771	13,866 13,724

2001	Reimbursable civilian full-time equivalent employment .....	232	304 304
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**OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD**

*For expenses of training, organizing, and administering the Army National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; hire of passenger motor vehicles; personnel services in the National Guard Bureau; travel expenses (other than mileage), as authorized by law for Army personnel on active duty, for Army National Guard division, regimental, and battalion commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau; supplying and equipping the Army National Guard as authorized by law; and expenses of repair, modification, maintenance, and issue of supplies and equipment (including aircraft), \$7,054,196,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing (in millions of dollars)**

Identification code 21-2065-0-1-051			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Operating forces .....	6,620	6,827 6,613
0004	Administration and Service-wide activities .....	608	526 441
0799	Total direct obligations .....	7,228	7,353 7,054
0801	Reimbursable program .....	136	193 193
0900	Total new obligations .....	7,364	7,546 7,247
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	
1011	Unobligated balance transfer from other accts [97-9999] ...	2	
1050	Unobligated balance (total) .....	3	
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	7,302	7,353 7,054
1120	Appropriations transferred to other accts [97-9999] .....	-4	
1160	Appropriation, discretionary (total) .....	7,298	7,353 7,054
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	77	193 193
1701	Change in uncollected payments, Federal sources .....	66	
1750	Spending auth from offsetting collections, disc (total) .....	143	193 193
1900	Budget authority (total) .....	7,441	7,546 7,247
1930	Total budgetary resources available .....	7,444	7,546 7,247
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-80	

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2,556	3,034 3,265
3010	Obligations incurred, unexpired accounts .....	7,364	7,546 7,247
3011	Obligations incurred, expired accounts .....	679	
3020	Outlays (gross) .....	-6,759	-7,315 -7,335
3041	Recoveries of prior year unpaid obligations, expired .....	-806	
3050	Unpaid obligations, end of year .....	3,034	3,265 3,177
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-68 -68
3070	Change in uncollected pymts, Fed sources, unexpired .....	-66	
3071	Change in uncollected pymts, Fed sources, expired .....	15	
3090	Uncollected pymts, Fed sources, end of year .....	-68	-68 -68
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2,539	2,966 3,197
3200	Obligated balance, end of year .....	2,966	3,197 3,109

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....	7,441	7,546 7,247
Outlays, gross:			
4010	Outlays from new discretionary authority .....	4,825	5,120 4,919

OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD—Continued  
Program and Financing—Continued

Identification code 21–2065–0–1–051	2012 actual	2013 CR	2014 est.
4011 Outlays from discretionary balances .....	1,934	2,195	2,416
4020 Outlays, gross (total) .....	6,759	7,315	7,335
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	–7	–193	–193
4033 Non-Federal sources .....	–89		
4040 Offsets against gross budget authority and outlays (total) ....	–96	–193	–193
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–66		
4052 Offsetting collections credited to expired accounts .....	19		
4060 Additional offsets against budget authority only (total) .....	–47		
4070 Budget authority, net (discretionary) .....	7,298	7,353	7,054
4080 Outlays, net (discretionary) .....	6,663	7,122	7,142
4180 Budget authority, net (total) .....	7,298	7,353	7,054
4190 Outlays, net (total) .....	6,663	7,122	7,142

Object Classification (in millions of dollars)

Identification code 21–2065–0–1–051	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,469	1,614	1,600
11.3 Other than full-time permanent .....	45	48	49
11.5 Other personnel compensation .....	17	30	18
11.9 Total personnel compensation .....	1,531	1,692	1,667
12.1 Civilian personnel benefits .....	584	610	655
13.0 Benefits for former personnel .....	7		
21.0 Travel and transportation of persons .....	116	61	87
22.0 Transportation of things .....	86	59	127
23.1 Rental payments to GSA .....	31	87	43
23.2 Rental payments to others .....	18	23	21
23.3 Communications, utilities, and miscellaneous charges .....	242	408	372
24.0 Printing and reproduction .....	185	110	112
25.1 Advisory and assistance services .....	729	54	68
25.2 Other services from non-Federal sources .....	291	300	169
25.3 Other goods and services from Federal sources .....	55	196	113
25.3 Purchases from revolving funds .....	227	411	188
25.4 Operation and maintenance of facilities .....	776	788	658
25.6 Medical care .....	147	104	170
25.7 Operation and maintenance of equipment .....	74	137	119
25.8 Subsistence and support of persons .....	17		27
26.0 Supplies and materials .....	1,689	2,031	1,999
31.0 Equipment .....	423	282	411
32.0 Land and structures .....			48
99.0 Direct obligations .....	7,228	7,353	7,054
99.0 Reimbursable obligations .....	136	193	193
99.9 Total new obligations .....	7,364	7,546	7,247

Employment Summary

Identification code 21–2065–0–1–051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	27,447	29,110	29,363
2001 Reimbursable civilian full-time equivalent employment .....	89		

OPERATION AND MAINTENANCE, AIR NATIONAL GUARD

For expenses of training, organizing, and administering the Air National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; transportation of things, hire of passenger motor vehicles; supplying and equipping the Air National Guard, as authorized by law; expenses for repair, modification, maintenance, and issue of supplies and equipment, including those furnished from stocks under the control of agencies of the Department of Defense; travel expenses (other than mileage) on the same basis as authorized by law for Air National Guard personnel on active Federal duty, for Air National Guard commanders while inspecting units in compliance with National Guard Bureau regulations when

specifically authorized by the Chief, National Guard Bureau, \$6,566,004,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 57–3840–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating Forces .....	6,086	6,088	6,501
0004 Administration and Service-wide activities .....	82	74	65
0799 Total direct obligations .....	6,168	6,162	6,566
0801 Reimbursable program .....	1,299	254	253
0900 Total new obligations .....	7,467	6,416	6,819
<b>Budgetary Resources:</b>			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [97–9999] .....	–1		
1011 Unobligated balance transfer from other accts [97–9999] .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,133	6,162	6,566
1120 Appropriations transferred to other accts [97–9999] .....	–1		
1121 Appropriations transferred from other accts [97–9999] .....	74		
1160 Appropriation, discretionary (total) .....	6,206	6,162	6,566
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,096	254	253
1701 Change in uncollected payments, Federal sources .....	203		
1750 Spending auth from offsetting collections, disc (total) .....	1,299	254	253
1900 Budget authority (total) .....	7,505	6,416	6,819
1930 Total budgetary resources available .....	7,505	6,416	6,819
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–38		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,290	1,988	2,193
3010 Obligations incurred, unexpired accounts .....	7,467	6,416	6,819
3011 Obligations incurred, expired accounts .....	302		
3020 Outlays (gross) .....	–7,673	–6,211	–6,685
3041 Recoveries of prior year unpaid obligations, expired .....	–398		
3050 Unpaid obligations, end of year .....	1,988	2,193	2,327
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–119	–207	–207
3070 Change in uncollected pymts, Fed sources, unexpired .....	–203		
3071 Change in uncollected pymts, Fed sources, expired .....	115		
3090 Uncollected pymts, Fed sources, end of year .....	–207	–207	–207
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,171	1,781	1,986
3200 Obligated balance, end of year .....	1,781	1,986	2,120

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	7,505	6,416	6,819
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5,931	4,753	5,047
4011 Outlays from discretionary balances .....	1,742	1,458	1,638
4020 Outlays, gross (total) .....	7,673	6,211	6,685
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–1,169	–254	–253
4033 Non-Federal sources .....	–23		
4040 Offsets against gross budget authority and outlays (total) ....	–1,192	–254	–253
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–203		
4052 Offsetting collections credited to expired accounts .....	96		
4060 Additional offsets against budget authority only (total) .....	–107		
4070 Budget authority, net (discretionary) .....	6,206	6,162	6,566
4080 Outlays, net (discretionary) .....	6,481	5,957	6,432
4180 Budget authority, net (total) .....	6,206	6,162	6,566
4190 Outlays, net (total) .....	6,481	5,957	6,432

**Object Classification** (in millions of dollars)

Identification code 57-3840-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,458	1,490	1,523
11.5 Other personnel compensation .....	18	17	20
11.9 Total personnel compensation .....	1,476	1,507	1,543
12.1 Civilian personnel benefits .....	546	533	581
13.0 Benefits for former personnel .....	3	1	1
21.0 Travel and transportation of persons .....	72	49	39
22.0 Transportation of things .....	12	9	10
23.2 Rental payments to others .....	4	5	4
23.3 Communications, utilities, and miscellaneous charges .....	222	115	148
24.0 Printing and reproduction .....	23	20	19
25.1 Advisory and assistance services .....	15	3	3
25.2 Other services from non-Federal sources .....	193	37	36
25.3 Other goods and services from Federal sources .....	1	1	1
25.3 Purchases from revolving funds .....	515	603	705
25.4 Operation and maintenance of facilities .....	515	522	335
25.6 Medical care .....	17	15	32
25.7 Operation and maintenance of equipment .....	704	855	963
25.8 Subsistence and support of persons .....	12		
26.0 Supplies and materials .....	1,607	1,750	1,796
31.0 Equipment .....	140	94	103
32.0 Land and structures .....	78	29	233
42.0 Insurance claims and indemnities .....	14	14	14
99.0 Direct obligations .....	6,168	6,162	6,566
99.0 Reimbursable obligations .....	1,299	254	253
99.9 Total new obligations .....	7,467	6,416	6,819

**Employment Summary**

Identification code 57-3840-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	22,985	24,075	23,183
2001 Reimbursable civilian full-time equivalent employment .....	563	395	314

**OVERSEAS CONTINGENCY OPERATIONS TRANSFER FUND**

*For expenses directly relating to Overseas Contingency Operations, \$5,000,000 to remain available until expended: Provided, That the Secretary of Defense may transfer such funds, and funds appropriated in prior fiscal years under the heading Overseas Contingency Operations Transfer Fund, to appropriations for military personnel; operation and maintenance; Overseas Humanitarian, Disaster, and Civic Aid; Defense Health Program; procurement; research, development, test and evaluation; and defense working capital funds: Provided further, That the funds transferred shall be merged with the appropriation to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation and shall be available for the same time period as originally appropriated: Provided further, That the Secretary shall notify the congressional defense committees 15 days prior to such transfer: Provided further, That the transfer authority provided in this paragraph is in addition to any other transfer authority contained elsewhere in this act.*

**Program and Financing** (in millions of dollars)

Identification code 97-0118-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			5
0900 Total new obligations (object class 26.0) .....			5
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	367	10	10
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			5
1131 Unobligated balance of appropriations permanently reduced .....	-357		
1160 Appropriation, discretionary (total) .....	-357		5

1930 Total budgetary resources available .....	10	10	15
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	10	10	10

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			5
3020 Outlays (gross) .....			-3
3050 Unpaid obligations, end of year .....			2
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			2

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	-357		5
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			3
4180 Budget authority, net (total) .....	-357		5
4190 Outlays, net (total) .....			3

**UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES**

*For salaries and expenses necessary for the United States Court of Appeals for the Armed Forces, \$13,606,000, of which not to exceed \$5,000 may be used for official representation purposes.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97-0104-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0004 Administration and associated activities .....	13	14	14
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	14	14	14
1160 Appropriation, discretionary (total) .....	14	14	14
1930 Total budgetary resources available .....	14	15	15
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	3	3
3010 Obligations incurred, unexpired accounts .....	13	14	14
3020 Outlays (gross) .....	-14	-14	-14
3050 Unpaid obligations, end of year .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	14	14	14
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	11	11	11
4011 Outlays from discretionary balances .....	3	3	3
4020 Outlays, gross (total) .....	14	14	14
4180 Budget authority, net (total) .....	14	14	14
4190 Outlays, net (total) .....	14	14	14

**Object Classification** (in millions of dollars)

Identification code 97-0104-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	3	6	6
11.3 Other than full-time permanent .....	2		

UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES—Continued  
Object Classification—Continued

Identification code 97-0104-0-1-051	2012 actual	2013 CR	2014 est.
11.9 Total personnel compensation .....	5	6	6
12.1 Civilian personnel benefits .....	2	2	3
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.1 Advisory and assistance services .....	1	.....	.....
25.2 Other services from non-Federal sources .....	2	.....	.....
25.3 Other goods and services from Federal sources .....	.....	3	3
25.7 Operation and maintenance of equipment .....	1	.....	.....
99.9 Total new obligations .....	13	14	14

Employment Summary

Identification code 97-0104-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	59	59	59

DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE  
(INCLUDING TRANSFER OF FUNDS)

For drug interdiction and counter-drug activities of the Department of Defense, for transfer to appropriations available to the Department of Defense for military personnel of the reserve components serving under the provisions of title 10 and title 32, United States Code; for operation and maintenance; for procurement; and for research, development, test and evaluation, \$938,545,000: Provided, That the funds appropriated under this heading shall be available for obligation for the same time period and for the same purpose as the appropriation to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority contained elsewhere in this Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 97-0105-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Drug interdiction and counter drug activities .....	1,576	1,021	.....
0002 Demand reduction program .....	110	122	.....
0900 Total new obligations .....	1,686	1,143	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	174	205	205
1010 Unobligated balance transfer to other accts [97-9999] .....	-174	.....	.....
1050 Unobligated balance (total) .....	.....	205	205
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,666	1,686	939
1120 Appropriations transferred to other accts [97-9999] .....	-1,461	.....	.....
1160 Appropriation, discretionary (total) .....	205	1,686	939
1930 Total budgetary resources available .....	205	1,891	1,144
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	205	205	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	301
3010 Obligations incurred, unexpired accounts .....	.....	1,686	1,143
3020 Outlays (gross) .....	.....	-1,385	-1,069
3050 Unpaid obligations, end of year .....	.....	301	375

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	.....	.....	301
3200 Obligated balance, end of year .....	301	.....	375

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	205	1,686	939
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1,180	658
4011 Outlays from discretionary balances .....	.....	205	411
4020 Outlays, gross (total) .....	.....	1,385	1,069
4180 Budget authority, net (total) .....	205	1,686	939
4190 Outlays, net (total) .....	.....	1,385	1,069

Object Classification (in millions of dollars)

Identification code 97-0105-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	.....	90	66
22.0 Transportation of things .....	.....	2	17
23.1 Rental payments to GSA .....	.....	2	1
23.2 Rental payments to others .....	.....	4	3
23.3 Communications, utilities, and miscellaneous charges .....	.....	9	15
24.0 Printing and reproduction .....	.....	1	1
25.1 Advisory and assistance services .....	.....	214	124
25.2 Other services from non-Federal sources .....	.....	64	28
25.3 Other goods and services from Federal sources .....	.....	631	431
25.3 Other goods and services from Federal sources .....	.....	13	7
25.4 Operation and maintenance of facilities .....	.....	12	22
25.5 Research and development contracts .....	.....	.....	1
25.7 Operation and maintenance of equipment .....	.....	120	120
26.0 Supplies and materials .....	.....	482	249
31.0 Equipment .....	.....	41	58
41.0 Grants, subsidies, and contributions .....	.....	1	.....
99.9 Total new obligations .....	.....	1,686	1,143

SUPPORT FOR INTERNATIONAL SPORTING COMPETITIONS

Program and Financing (in millions of dollars)

Identification code 97-0838-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2	.....	.....
0900 Total new obligations (object class 26.0) .....	2	.....	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	10	10
1930 Total budgetary resources available .....	12	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	1	1
3010 Obligations incurred, unexpired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	.....	.....
4190 Outlays, net (total) .....	1	.....	.....

FOREIGN CURRENCY FLUCTUATIONS

Program and Financing (in millions of dollars)

Identification code 97-0801-0-1-051	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	928	970	970
1010 Unobligated balance transfer to other accts [97-9999] .....	-249		
1012 Unobligated balance transfers between expired and unexpired accounts .....	291		
1050 Unobligated balance (total) .....	970	970	970
1930 Total budgetary resources available .....	970	970	970
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	970	970	970

This account transfers funds to operation and maintenance and military personnel appropriations, for Defense activities that purchase foreign currencies, to finance upward adjustments of recorded obligations due to foreign currency fluctuations above the budget rate. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this appropriation and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Department to withdraw unobligated balances from operation and maintenance and military personnel appropriations from prior years. By statute (10 U.S.C. 2779(d)(3)), the total amount of discretionary budget authority in this transfer account may not exceed \$970,000,000.

DEFENSE HEALTH PROGRAM

For expenses, not otherwise provided for, for medical and health care programs of the Department of Defense as authorized by law, \$33,351,528,000; of which \$31,950,734,000 shall be for operation and maintenance, of which not to exceed two percent shall remain available until September 30, 2015; of which \$671,181,000, to remain available for obligation until September 30, 2016, shall be for procurement; and of which \$729,613,000, to remain available for obligation until September 30, 2015, shall be for research, development, test and evaluation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 97-0130-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....	30,770	32,027	31,665
0002 Research, development, test, & evaluation .....	1,274	1,148	684
0003 Procurement .....	572	713	665
0010 TRICARE benefit reform .....			170
0011 Pharmacy savings .....			127
0799 Total direct obligations .....	32,616	33,888	33,311
0801 Reimbursable program .....	2,704	3,242	3,544
0900 Total new obligations .....	35,320	37,130	36,855

Identification code 97-0130-0-1-051	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,681	1,810	1,702
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,681	1,810	
1010 Unobligated balance transfer to other accts [97-9999] .....	-76		
1011 Unobligated balance transfer from other accts [97-9999] .....	78		
1012 Unobligated balance transfers between expired and unexpired accounts .....	297		
1021 Recoveries of prior year unpaid obligations .....	136		
1050 Unobligated balance (total) .....	2,116	1,810	1,702

Budget authority:

Identification code	2012 actual	2013 CR	2014 est.
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	33,714	33,678	33,352
1120 Appropriations transferred to other accts [97-9999] .....	-724		
1120 Appropriations transferred to other accts [36-0165] .....	-65	-15	-15
1120 Appropriations transferred to other accts [36-0169] .....	-119		
1121 Appropriations transferred from other accts [97-9999] .....	6		
1130 Appropriations permanently reduced .....	-14		
1160 Appropriation, discretionary (total) .....	32,798	33,663	33,337
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,049	1,968	2,142
1701 Change in uncollected payments, Federal sources .....	309		
1750 Spending auth from offsetting collections, disc (total) .....	1,358	1,968	2,142
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1,336	1,391	1,408
1850 Spending auth from offsetting collections, mand (total) .....	1,336	1,391	1,408
1900 Budget authority (total) .....	35,492	37,022	36,887
1930 Total budgetary resources available .....	37,608	38,832	38,589
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-478		
1941 Unexpired unobligated balance, end of year .....	1,810	1,702	1,734

Change in obligated balance:

Identification code	2012 actual	2013 CR	2014 est.
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10,915	11,459	10,537
3010 Obligations incurred, unexpired accounts .....	35,320	37,130	36,855
3011 Obligations incurred, expired accounts .....	1,025		
3020 Outlays (gross) .....	-33,925	-38,052	-36,034
3040 Recoveries of prior year unpaid obligations, unexpired .....	-136		
3041 Recoveries of prior year unpaid obligations, expired .....	-1,740		
3050 Unpaid obligations, end of year .....	11,459	10,537	11,358
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-396	-529	-529
3070 Change in uncollected pymts, Fed sources, unexpired .....	-309		
3071 Change in uncollected pymts, Fed sources, expired .....	176		
3090 Uncollected pymts, Fed sources, end of year .....	-529	-529	-529
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10,519	10,930	10,008
3200 Obligated balance, end of year .....	10,930	10,008	10,829

Budget authority and outlays, net:

Identification code	2012 actual	2013 CR	2014 est.
<b>Discretionary:</b>			
4000 Budget authority, gross .....	34,156	35,631	35,479
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	25,317	26,543	26,558
4011 Outlays from discretionary balances .....	7,272	10,118	8,068
4020 Outlays, gross (total) .....	32,589	36,661	34,626
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-758	-997	-1,838
4033 Non-Federal sources .....	-447	-971	-304
4040 Offsets against gross budget authority and outlays (total) .....	-1,205	-1,968	-2,142
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-309		
4052 Offsetting collections credited to expired accounts .....	156		
4060 Additional offsets against budget authority only (total) .....	-153		
4070 Budget authority, net (discretionary) .....	32,798	33,663	33,337
4080 Outlays, net (discretionary) .....	31,384	34,693	32,484
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1,336	1,391	1,408
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1,336	1,391	1,408
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-1,336	-1,391	-1,408
4180 Budget authority, net (total) .....	32,798	33,663	33,337
4190 Outlays, net (total) .....	31,384	34,693	32,484

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	32,798	33,663	33,337
Outlays .....	31,384	34,693	32,484
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-297
Outlays .....			-297
<b>Total:</b>			
Budget Authority .....	32,798	33,663	33,040

DEFENSE HEALTH PROGRAM—Continued  
Summary of Budget Authority and Outlays—Continued

	2012 actual	2013 CR	2014 est.
Outlays .....	31,384	34,693	32,187

The Defense Health Program (DHP) provides care to current and retired members of the Armed Forces, their family members, and other eligible beneficiaries. Beneficiaries may obtain care from the Military Department medical and dental facilities or through the civilian health care network under the TRICARE program.

Accrual accounting for Medicare-eligible beneficiaries began in 2003 and the health care for these beneficiaries is funded from the Department of Defense Medicare-Eligible Retiree Health Care Fund. The DHP also manages Research and Development funds appropriated by Congress, which support medical research and health information management systems development.

The DHP and Department of Veterans Affairs (VA) share the goal of improving the access, quality, and cost effectiveness of health care provided by VA and DOD. To this end, each Department contributes a minimum of \$15 million per year for joint health care incentives.

The Budget assumes enactment of a comprehensive package of health care enrollment fees, deductible, and co-pay changes phased in over several years—cost share generally tied to a simple percentage of the member's gross retired pay. Once the reform is fully implemented, the benefits are still generous with the average beneficiary cost share well below the original 27% of total health care costs. The current reform proposals include: increase in enrollment fees and deductibles for retirees under age 65; modest enrollment fees for Medicare-eligible retirees over age 65 (with full grandfathering of those Medicare-eligible retirees who are already receiving TRICARE benefits at the time of enactment); increases pharmacy co-pays for retirees and military family members, but not active duty members, to incentivise the use of mail order and generic drugs; exempts survivors of members who die on active duty and medically retired and their family members to protect the most vulnerable.

The requested appropriation for the Defense Health Program is over \$33 billion. Contingent upon the enactment of authorizing legislation of the health care proposals, the appropriation shall be reduced by approximately \$297 million.

Health care is provided in military facilities as follows:

	2012	2013	2014
Inpatient Facilities .....	56	56	56
Outpatient Clinics .....	365	361	361
Dental Clinics .....	281	249	249

The DHP is staffed by:

	2012	2013	2014
Civilian work years (thousands) .....	65	68	68
Military personnel (thousands) .....	85	86	86

The number of eligible beneficiaries of the Defense Health Program is estimated as follows:

Eligible Beneficiary Categories	2012	2013	2014
Active Duty (AD) Personnel .....	1,685,856	1,677,992	1,658,078
Active Duty Family Members .....	2,376,648	2,365,510	2,337,177
(Medicare Eligible AD Family Members) .....	(10,171)	(10,156)	(10,032)
Retirees .....	2,120,367	2,126,129	2,128,379
(Medicare Eligible Retirees) .....	(1,037,258)	(1,061,593)	(1,080,388)
Retiree Family Members and Survivors .....	3,478,691	3,469,821	3,466,787
(Medicare Eligible Retiree Family Members and Survivors) .....	(1,135,384)	(1,157,809)	(1,175,620)
Total .....	9,661,562	9,639,452	9,590,421
(Total Medicare Eligible) .....	(2,182,813)	(2,229,560)	(2,266,040)

Source MCFAS FY2012.0

Object Classification (in millions of dollars)

Identification code 97-0130-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	436	399	467
11.3 Other than full-time permanent .....	22	13	14
11.5 Other personnel compensation .....	38	43	43
11.9 Total personnel compensation .....	496	455	524
12.1 Civilian personnel benefits .....	131	108	133
21.0 Travel and transportation of persons .....	281	306	233
22.0 Transportation of things .....	43	51	45
23.1 Rental payments to GSA .....	51	52	61
23.2 Rental payments to others .....	41	35	37
23.3 Communications, utilities, and miscellaneous charges .....	261	233	253
24.0 Printing and reproduction .....	18	23	23
25.1 Advisory and assistance services .....	339	291	267
25.2 Other services from non-Federal sources .....	714	773	730
25.3 Other goods and services from Federal sources .....	5,434	4,865	5,461
25.3 Other goods and services from Federal sources .....	172	197	212
25.4 Operation and maintenance of facilities .....	544	738	548
25.5 Research and development contracts .....	1,273	1,267	730
25.6 Medical care .....	15,265	16,963	15,941
25.6 Medical care .....			127
25.7 Operation and maintenance of equipment .....	1,053	1,216	1,098
25.8 Subsistence and support of persons .....	5	10	10
26.0 Supplies and materials .....	4,644	5,123	4,949
31.0 Equipment .....	1,233	1,146	1,356
32.0 Land and structures .....	580		543
41.0 Grants, subsidies, and contributions .....	37	35	28
43.0 Interest and dividends .....	1	1	2
99.0 Direct obligations .....	32,616	33,888	33,311
99.0 Reimbursable obligations .....	2,704	3,242	3,544
99.9 Total new obligations .....	35,320	37,130	36,855

Employment Summary

Identification code 97-0130-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5,714	5,866	5,882
2001 Reimbursable civilian full-time equivalent employment .....	61	42	42

DEFENSE HEALTH PROGRAM

(Legislative proposal, not subject to PAYGO)

*Contingent upon the enactment of authorizing legislation to revise TRICARE Prime fees, implement new Standard/Extra enrollment fees, adjust TRICARE pharmacy fees, and adjust the deductibles and catastrophic cap, the amount made available under this heading shall be reduced by \$297,000,000, all of which shall be from amounts made available for operation and maintenance.*

Program and Financing (in millions of dollars)

Identification code 97-0130-2-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 TRICARE benefit reform .....			-170
0011 Pharmacy savings .....			-127
0900 Total new obligations .....			-297
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			-297
1160 Appropriation, discretionary (total) .....			-297
1930 Total budgetary resources available .....			-297
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-297
3020 Outlays (gross) .....			297
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			-297
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			-297
4180 Budget authority, net (total) .....			-297

4190 Outlays, net (total) ..... -297

**Object Classification** (in millions of dollars)

Identification code 97-0130-2-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.6 Medical care .....			-170
25.6 Medical care .....			-127
99.9 Total new obligations .....			-297

**ENVIRONMENTAL RESTORATION, ARMY**

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, \$298,815,000, to remain available until transferred: Provided, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Army, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

**ENVIRONMENTAL RESTORATION, NAVY**

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Navy, \$316,103,000, to remain available until transferred: Provided, That the Secretary of the Navy shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Navy, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Navy, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

**ENVIRONMENTAL RESTORATION, AIR FORCE**

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Air Force, \$439,820,000, to remain available until transferred: Provided, That the Secretary of the Air Force shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Air Force, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Air Force, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

**ENVIRONMENTAL RESTORATION, DEFENSE-WIDE**

(INCLUDING TRANSFER OF FUNDS)

For the Department of Defense, \$10,757,000, to remain available until transferred: Provided, That the Secretary of Defense shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of Defense, or for similar purposes, transfer the funds

made available by this appropriation to other appropriations made available to the Department of Defense, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97-0810-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Department of the Army .....		348	299
0002 Department of the Navy .....		310	316
0003 Department of the Air Force .....		529	440
0004 Defense-wide .....		11	10
0900 Total new obligations .....		1,198	1,065
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	10	10
1010 Unobligated balance transfer to other accts [97-9999] .....	-4		
1011 Unobligated balance transfer from other accts [97-9999] .....	8		
1050 Unobligated balance (total) .....	8	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,191	1,198	1,065
1120 Appropriations transferred to other accts [97-9999] .....	-1,190		
1160 Appropriation, discretionary (total) .....	1	1,198	1,065
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	2	1,198	1,065
1930 Total budgetary resources available .....	10	1,208	1,075
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			699
3010 Obligations incurred, unexpired accounts .....		1,198	1,065
3020 Outlays (gross) .....		-499	-905
3050 Unpaid obligations, end of year .....		699	859
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			699
3200 Obligated balance, end of year .....		699	859
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	1,198	1,065
Outlays, gross:			
4010 Outlays from new discretionary authority .....		489	426
4011 Outlays from discretionary balances .....		10	479
4020 Outlays, gross (total) .....		499	905
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4180 Budget authority, net (total) .....	1	1,198	1,065
4190 Outlays, net (total) .....	-1	499	905

**Object Classification** (in millions of dollars)

Identification code 97-0810-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....		346	
32.0 Land and structures .....		852	1,065

THE DEPARTMENT OF DEFENSE ENVIRONMENTAL RESTORATION  
ACCOUNTS—Continued  
Object Classification—Continued

Identification code 97-0810-0-1-051	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....		1,198	1,065

ENVIRONMENTAL RESTORATION, FORMERLY USED DEFENSE SITES  
(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, \$237,443,000, to remain available until transferred: Provided, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris at sites formerly used by the Department of Defense, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 97-0811-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 Defense-wide .....		328	237
0900 Total new obligations (object class 32.0) .....		328	237
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2		
1010 Unobligated balance transfer to other accts [97-9999] .....	-2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	326	328	237
1120 Appropriations transferred to other accts [97-9999] .....	-326		
1160 Appropriation, discretionary (total) .....		328	237
1930 Total budgetary resources available .....		328	237
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			197
3010 Obligations incurred, unexpired accounts .....		328	237
3020 Outlays (gross) .....		-131	-227
3050 Unpaid obligations, end of year .....		197	207
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			197
3200 Obligated balance, end of year .....		197	207
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		328	237
Outlays, gross:			
4010 Outlays from new discretionary authority .....		131	95
4011 Outlays from discretionary balances .....			132
4020 Outlays, gross (total) .....		131	227
4180 Budget authority, net (total) .....		328	237
4190 Outlays, net (total) .....		131	227

The Defense Environmental Restoration Program provides for the identification, investigation, and cleanup of contamination resulting from past DOD activities. The Department has 27,553

sites that have a remedy in place or a response completed, leaving 5,495 open sites at active and Base Realignment and Closure (BRAC) military installations and 1,821 open sites at Formerly Used Defense Sites (FUDS). For these remaining open sites, DOD is engaged in either a study to determine the extent of the contamination or the actual clean-up of the contamination.

The Department's environmental restoration program is funded by five separate environmental restoration accounts, one for each military department, one for defense agencies and one for FUDS. The first four accounts, Army, Navy, Air Force and defense-wide environmental restoration accounts cover funding for active installations, and are shown separately from the FUDS program environmental restoration account, which funds environmental cleanup on properties no longer owned and/or used by DOD. These five accounts include restoration activities ranging from inventory to preliminary assessment, then to investigation and cleanup of contamination, and finally to closeout of a site. BRAC sites are funded separately under the relevant BRAC account.

OVERSEAS HUMANITARIAN, DISASTER, AND CIVIC AID

For expenses relating to the Overseas Humanitarian, Disaster, and Civic Aid programs of the Department of Defense (consisting of the programs provided under sections 401, 402, 404, 407, 2557, and 2561 of title 10, United States Code), \$109,500,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 97-0819-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Humanitarian assistance .....	117	142	109
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	85	89	55
1010 Unobligated balance transfer to other accts [97-9999] .....	-19		
1011 Unobligated balance transfer from other accts [97-9999] .....	19		
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	102	89	55
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	108	108	110
1160 Appropriation, discretionary (total) .....	108	108	110
1930 Total budgetary resources available .....	210	197	165
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	89	55	56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	245	201	189
3010 Obligations incurred, unexpired accounts .....	117	142	109
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-130	-154	-129
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17		
3041 Recoveries of prior year unpaid obligations, expired .....	-20		
3050 Unpaid obligations, end of year .....	201	189	169
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	245	201	189
3200 Obligated balance, end of year .....	201	189	169
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	108	108	110
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	9	8
4011 Outlays from discretionary balances .....	126	145	121

4020	Outlays, gross (total) .....	130	154	129
4180	Budget authority, net (total) .....	108	108	110
4190	Outlays, net (total) .....	130	154	129

**Object Classification** (in millions of dollars)

Identification code 97-0819-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
21.0	Travel and transportation of persons .....	6	3	3
22.0	Transportation of things .....		21	
25.1	Advisory and assistance services .....	1		2
25.2	Other services from non-Federal sources .....	11	108	46
25.3	Other goods and services from Federal sources .....	49	2	22
25.3	Other goods and services from Federal sources .....	4		17
26.0	Supplies and materials .....	3	1	3
31.0	Equipment .....	2	3	2
32.0	Land and structures .....	41	4	14
99.9	Total new obligations .....	117	142	109

**COOPERATIVE THREAT REDUCTION ACCOUNT**

For assistance to the republics of the former Soviet Union and, with appropriate authorization by the Department of Defense and Department of State, to countries outside of the former Soviet Union, including assistance provided by contract or by grants, for facilitating the elimination and the safe and secure transportation and storage of nuclear, chemical and other weapons; for establishing programs to prevent the proliferation of weapons, weapons components, and weapon-related technology and expertise; for programs relating to the training and support of defense and military personnel for demilitarization and protection of weapons, weapons components and weapons technology and expertise, and threat reduction engagements, \$528,455,000, to remain available until September 30, 2016.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97-0134-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	FSU threat reduction .....	486	521	521
0801	Reimbursable program .....		1	1
0900	Total new obligations .....	486	522	522
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	233	254	244
1010	Unobligated balance transfer to other accts [97-9999] .....	-2		
1011	Unobligated balance transfer from other accts [97-9999] .....	2		
1050	Unobligated balance (total) .....	233	254	244
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	508	511	528
1160	Appropriation, discretionary (total) .....	508	511	528
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	12	1	1
1701	Change in uncollected payments, Federal sources .....	-12		
1750	Spending auth from offsetting collections, disc (total) .....		1	1
1900	Budget authority (total) .....	508	512	529
1930	Total budgetary resources available .....	741	766	773
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	254	244	251
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	516	538	646
3010	Obligations incurred, unexpired accounts .....	486	522	522
3020	Outlays (gross) .....	-462	-414	-441
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	538	646	727

<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-16	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired .....	12		
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	500	534	642
3200	Obligated balance, end of year .....	534	642	723

**Budget authority and outlays, net:**

Identification code 97-0134-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Discretionary:</b>				
4000	Budget authority, gross .....	508	512	529
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	21	27	27
4011	Outlays from discretionary balances .....	441	387	414
4020	Outlays, gross (total) .....	462	414	441
<b>Offsets against gross budget authority and outlays:</b>				
Offsetting collections (collected) from:				
4030	Federal sources .....	-12	-1	-1
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	12		
4070	Budget authority, net (discretionary) .....	508	511	528
4080	Outlays, net (discretionary) .....	450	413	440
4180	Budget authority, net (total) .....	508	511	528
4190	Outlays, net (total) .....	450	413	440

**Object Classification** (in millions of dollars)

Identification code 97-0134-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
21.0	Travel and transportation of persons .....	4	3	5
25.1	Advisory and assistance services .....	32	35	32
25.2	Other services from non-Federal sources .....	385	420	321
25.3	Other goods and services from Federal sources .....	60	39	147
25.5	Research and development contracts .....			12
25.7	Operation and maintenance of equipment .....	5		4
26.0	Supplies and materials .....		24	
99.0	Direct obligations .....	486	521	521
99.0	Reimbursable obligations .....		1	1
99.9	Total new obligations .....	486	522	522

**MILITARY INTELLIGENCE PROGRAM TRANSFER FUND**

**Program and Financing** (in millions of dollars)

Identification code 97-0462-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	MIP transfer fund .....		313	
0900	Total new obligations (object class 26.0) .....		313	
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	311	313	
1120	Appropriations transferred to other accts [97-9999] .....	-311		
1160	Appropriation, discretionary (total) .....		313	
1930	Total budgetary resources available .....		313	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....			94
3010	Obligations incurred, unexpired accounts .....		313	
3020	Outlays (gross) .....		-219	-78
3050	Unpaid obligations, end of year .....		94	16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....			94
3200	Obligated balance, end of year .....		94	16
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....		313	
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....		219	
4011	Outlays from discretionary balances .....			78
4020	Outlays, gross (total) .....		219	78

**MILITARY INTELLIGENCE PROGRAM TRANSFER FUND—Continued**  
**Program and Financing—Continued**

Identification code 97-0462-0-1-051	2012 actual	2013 CR	2014 est.
4180 Budget authority, net (total) .....		313	
4190 Outlays, net (total) .....		219	78

**AFGHANISTAN SECURITY FORCES FUND**  
**Program and Financing (in millions of dollars)**

Identification code 21-2091-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ministry of Defense .....	6,395	5,798	1,467
0002 Ministry of Interior .....	2,447	3,689	794
0004 Detainee operations .....	219	94	10
0005 Contributions .....	182	151	
0799 Total direct obligations .....	9,243	9,732	2,271
0820 Reimbursable program .....		287	
0900 Total new obligations .....	9,243	10,019	2,271

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6,104	6,559	2,289
1010 Unobligated balance transfer to other accts [97-9999] .....	-1,000		
1021 Recoveries of prior year unpaid obligations .....	229		
1050 Unobligated balance (total) .....	5,333	6,559	2,289
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	11,200	5,749	
1120 Appropriations transferred to other accts [97-9999] .....	-1,000		
1160 Appropriation, discretionary (total) .....	10,200	5,749	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	287		
1750 Spending auth from offsetting collections, disc (total) .....	287		
1900 Budget authority (total) .....	10,487	5,749	2,289
1930 Total budgetary resources available .....	15,820	12,308	2,289
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-18		
1941 Unexpired unobligated balance, end of year .....	6,559	2,289	18

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,733	5,670	7,421
3010 Obligations incurred, unexpired accounts .....	9,243	10,019	2,271
3011 Obligations incurred, expired accounts .....	15		
3020 Outlays (gross) .....	-6,930	-8,268	-4,659
3040 Recoveries of prior year unpaid obligations, unexpired .....	-229		
3041 Recoveries of prior year unpaid obligations, expired .....	-162		
3050 Unpaid obligations, end of year .....	5,670	7,421	5,033
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,733	5,670	7,421
3200 Obligated balance, end of year .....	5,670	7,421	5,033

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10,487	5,749	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,875	1,150	
4011 Outlays from discretionary balances .....	5,055	7,118	4,659
4020 Outlays, gross (total) .....	6,930	8,268	4,659
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-287		
4180 Budget authority, net (total) .....	10,200	5,749	
4190 Outlays, net (total) .....	6,643	8,268	4,659

**Object Classification (in millions of dollars)**

Identification code 21-2091-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	635		
25.3 Other goods and services from Federal sources .....	635	1,221	
26.0 Supplies and materials .....	3,879	4,800	2,271

31.0 Equipment .....	786	1,774	
32.0 Land and structures .....	3,308	1,937	
99.0 Direct obligations .....	9,243	9,732	2,271
99.0 Reimbursable obligations .....		287	
99.9 Total new obligations .....	9,243	10,019	2,271

**AFGHANISTAN INFRASTRUCTURE FUND**  
**Program and Financing (in millions of dollars)**

Identification code 21-2096-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	248	436	200
0900 Total new obligations (object class 32.0) .....	248	436	200

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	184	236	200
1010 Unobligated balance transfer to other accts [72-1037] .....	-101		
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	88	236	200
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	400	400	
1160 Appropriation, discretionary (total) .....	400	400	
1930 Total budgetary resources available .....	488	636	200
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	236	200	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	213	402	662
3010 Obligations incurred, unexpired accounts .....	248	436	200
3020 Outlays (gross) .....	-54	-176	-320
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	402	662	542
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	213	402	662
3200 Obligated balance, end of year .....	402	662	542

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	400	400	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	16	
4011 Outlays from discretionary balances .....	42	160	320
4020 Outlays, gross (total) .....	54	176	320
4180 Budget authority, net (total) .....	400	400	
4190 Outlays, net (total) .....	54	176	320

**IRAQ SECURITY FORCES FUND**  
**Program and Financing (in millions of dollars)**

Identification code 21-2092-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ministry of Defense .....	983		
0002 Ministry of Interior .....	76		
0003 Associated activities .....	1		
0900 Total new obligations (object class 26.0) .....	1,060		

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,393		
1010 Unobligated balance transfer to other accts [97-9999] .....	-345		
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	1,065		
1930 Total budgetary resources available .....	1,065		

Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-5		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	618	383	133
3010	Obligations incurred, unexpired accounts .....	1,060		
3011	Obligations incurred, expired accounts .....	43		
3020	Outlays (gross) .....	-1,111	-250	-72
3040	Recoveries of prior year unpaid obligations, unexpired .....	-17		
3041	Recoveries of prior year unpaid obligations, expired .....	-210		
3050	Unpaid obligations, end of year .....	383	133	61
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	618	383	133
3200	Obligated balance, end of year .....	383	133	61
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	1,111	250	72
4190	Outlays, net (total) .....	1,111	250	72

PAKISTAN COUNTERINSURGENCY FUND

Program and Financing (in millions of dollars)

Identification code 21-2095-0-1-051				
		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Defense Security Forces .....	70		
0900	Total new obligations (object class 26.0) .....	70		
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	503	1	1
1010	Unobligated balance transfer to other accts [97-9999] .....	-126		
1050	Unobligated balance (total) .....	377	1	1
1930	Total budgetary resources available .....	377	1	1
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-306		
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	88	64	23
3010	Obligations incurred, unexpired accounts .....	70		
3020	Outlays (gross) .....	-89	-41	-20
3041	Recoveries of prior year unpaid obligations, expired .....	-5		
3050	Unpaid obligations, end of year .....	64	23	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	88	64	23
3200	Obligated balance, end of year .....	64	23	3
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	89	41	20
4190	Outlays, net (total) .....	89	41	20

DEPARTMENT OF DEFENSE ACQUISITION WORKFORCE DEVELOPMENT FUND

For the Department of Defense Acquisition Workforce Development Fund, \$256,031,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 97-0111-0-1-051				
		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Acquisition workforce development .....	655	668	827
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	218	398	406
1021	Recoveries of prior year unpaid obligations .....	28		
1050	Unobligated balance (total) .....	246	398	406
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	106	106	256
1160	Appropriation, discretionary (total) .....	106	106	256
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	702	570	797
1850	Spending auth from offsetting collections, mand (total) .....	702	570	797
1900	Budget authority (total) .....	808	676	1,053
1930	Total budgetary resources available .....	1,054	1,074	1,459
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	398	406	632
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	129	142	190
3010	Obligations incurred, unexpired accounts .....	655	668	827
3011	Obligations incurred, expired accounts .....	17		
3020	Outlays (gross) .....	-611	-620	-1,011
3040	Recoveries of prior year unpaid obligations, unexpired .....	-28		
3041	Recoveries of prior year unpaid obligations, expired .....	-20		
3050	Unpaid obligations, end of year .....	142	190	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	129	142	190
3200	Obligated balance, end of year .....	142	190	6
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	106	106	256
Outlays, gross:				
4010	Outlays from new discretionary authority .....	99	92	222
4011	Outlays from discretionary balances .....	36	100	48
4020	Outlays, gross (total) .....	135	192	270
Mandatory:				
4090	Budget authority, gross .....	702	570	797
Outlays, gross:				
4100	Outlays from new mandatory authority .....	80	428	598
4101	Outlays from mandatory balances .....	396		143
4110	Outlays, gross (total) .....	476	428	741
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-702	-570	-797
4180	Budget authority, net (total) .....	106	106	256
4190	Outlays, net (total) .....	-91	50	214
<b>Object Classification (in millions of dollars)</b>				
Identification code 97-0111-0-1-051				
		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	295	288	214
11.5	Other personnel compensation .....	16	8	23
11.9	Total personnel compensation .....	311	296	237
12.1	Civilian personnel benefits .....	52	91	76
21.0	Travel and transportation of persons .....	28	42	27
23.1	Rental payments to GSA .....	4	4	3
23.3	Communications, utilities, and miscellaneous charges .....	1		
24.0	Printing and reproduction .....	1		
25.1	Advisory and assistance services .....	7	11	149
25.2	Other services from non-Federal sources .....	240	208	34
25.3	Other goods and services from Federal sources .....		3	76
25.4	Operation and maintenance of facilities .....		4	
25.7	Operation and maintenance of equipment .....	4	1	3
26.0	Supplies and materials .....	1		214
31.0	Equipment .....	2	8	1
32.0	Land and structures .....	4		7

DEPARTMENT OF DEFENSE ACQUISITION WORKFORCE DEVELOPMENT  
FUND—Continued  
Object Classification—Continued

Identification code 97-0111-0-1-051	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	655	668	827

Employment Summary

Identification code 97-0111-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,812	4,064	3,279

EMERGENCY RESPONSE FUND

Program and Financing (in millions of dollars)

Identification code 97-0833-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity .....	5		
0900 Total new obligations (object class 26.0) .....	5		

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	214	217	217
1021 Recoveries of prior year unpaid obligations .....	8		
1050 Unobligated balance (total) .....	222	217	217
1930 Total budgetary resources available .....	222	217	217
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	217	217	217

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	43	21
3010 Obligations incurred, unexpired accounts .....	5		
3020 Outlays (gross) .....		-22	-21
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050 Unpaid obligations, end of year .....	43	21	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	46	43	21
3200 Obligated balance, end of year .....	43	21	

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		22	21
4190 Outlays, net (total) .....		22	21

EMERGENCY RESPONSE

Program and Financing (in millions of dollars)

Identification code 97-4965-0-4-051	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	12	12
1930 Total budgetary resources available .....	12	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	12	12

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	
3020 Outlays (gross) .....		-3	
3050 Unpaid obligations, end of year .....	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	
3200 Obligated balance, end of year .....	3		

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		3	
4190 Outlays, net (total) .....		3	

ALLIED CONTRIBUTIONS AND COOPERATION ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 97-9927-0-2-051	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	8	8	8
Receipts:			
0220 Contributions for Burdensharing and Other Cooperative Activities (Kuwait) .....	140	142	145
0221 Contributions for Burdensharing and Other Cooperative Activities (Japan) .....	332	338	343
0222 Contributions for Burdensharing and Other Cooperative Activities (So. Korea) .....	313	318	324
0299 Total receipts and collections .....	785	798	812
0400 Total: Balances and collections .....	793	806	820
Appropriations:			
0500 Allied Contributions and Cooperation Account .....	-785	-798	-812
0799 Balance, end of year .....	8	8	8

Program and Financing (in millions of dollars)

Identification code 97-9927-0-2-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity .....	785	798	812
0900 Total new obligations (object class 26.0) .....	785	798	812

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	95	95	95
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	785	798	812
1260 Appropriations, mandatory (total) .....	785	798	812
1930 Total budgetary resources available .....	880	893	907
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	95	95	95

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	785	798	812
3020 Outlays (gross) .....	-785	-797	-812
3050 Unpaid obligations, end of year .....		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1

Budget authority and outlays, net:

Mandatory:			
Budget authority, gross:			
4090 Budget authority, gross .....	785	798	812
Outlays, gross:			
4100 Outlays from new mandatory authority .....	785	797	812
4180 Budget authority, net (total) .....	785	798	812
4190 Outlays, net (total) .....	785	797	812

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	8	8	8
5001 Total investments, EOY: Federal securities: Par value .....	8	8	8

Cash contributions from foreign countries, international organizations, and individuals are deposited into this account for DOD costs such as compensation of local national employees, military construction, and supplies and services. Contributions are used to offset costs of DOD's overseas presence.

MISCELLANEOUS SPECIAL FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 97-9922-0-2-051	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	3	2	7
Receipts:			
0220 Proceeds from the Transfer or Disposition of Commissary Facilities .....	1	6	6
0260 Restoration of the Rocky Mountain Arsenal, Army .....		7	7
0299 Total receipts and collections .....	1	13	13
0400 Total: Balances and collections .....	4	15	20
Appropriations:			
0500 Miscellaneous Special Funds .....	-2	-8	
0799 Balance, end of year .....	2	7	20

Program and Financing (in millions of dollars)

Identification code 97-9922-0-2-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Miscellaneous special funds .....	16	8	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	34	20	20
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	8	
1260 Appropriations, mandatory (total) .....	2	8	
1930 Total budgetary resources available .....	36	28	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	-1		
3010 Obligations incurred, unexpired accounts .....	16	8	
3020 Outlays (gross) .....	-15	-8	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	8	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		8	
4101 Outlays from mandatory balances .....	15		
4110 Outlays, gross (total) .....	15	8	
4180 Budget authority, net (total) .....	2	8	
4190 Outlays, net (total) .....	15	8	

Object Classification (in millions of dollars)

Identification code 97-9922-0-2-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.4 Operation and maintenance of facilities .....	15	8	
26.0 Supplies and materials .....	1		
99.9 Total new obligations .....	16	8	

DISPOSAL OF DEPARTMENT OF DEFENSE REAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 97-5188-0-2-051	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....		-1	14
Adjustments:			
0190 Adjustment - to correct prior year accounting for balances .....		15	
0199 Balance, start of year .....		14	14
Receipts:			
0220 Disposal of Department of Defense Real Property .....		14	1
0400 Total: Balances and collections .....		28	15

Appropriations:			
0500 Disposal of Department of Defense Real Property .....	-1	-14	-10
0799 Balance, end of year .....	-1	14	5

Program and Financing (in millions of dollars)

Identification code 97-5188-0-2-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Concept obligations .....	37	61	10
0900 Total new obligations (object class 25.4) .....	37	61	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	95	62	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-15	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	98	47	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	1	14	10
1160 Appropriation, discretionary (total) .....	1	14	10
1930 Total budgetary resources available .....	99	61	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	62		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	25	13
3010 Obligations incurred, unexpired accounts .....	37	61	10
3020 Outlays (gross) .....	-22	-73	-21
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	25	13	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-4	-4
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	21	9
3200 Obligated balance, end of year .....	21	9	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	14	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....		11	8
4011 Outlays from discretionary balances .....	22	62	13
4020 Outlays, gross (total) .....	22	73	21
4180 Budget authority, net (total) .....	1	14	10
4190 Outlays, net (total) .....	22	73	21

These receipts from the disposal of DOD real property are applied to real property maintenance and environmental efforts at DOD installations.

LEASE OF DEPARTMENT OF DEFENSE REAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 97-5189-0-2-051	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	27	37	37
Receipts:			
0220 Lease of Department of Defense Real Property .....	33	29	30
0400 Total: Balances and collections .....	60	66	67
Appropriations:			
0500 Lease of Department of Defense Real Property .....	-23	-29	-30
0799 Balance, end of year .....	37	37	37

Program and Financing (in millions of dollars)

Identification code 97-5189-0-2-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Concept obligations .....	79	93	36

LEASE OF DEPARTMENT OF DEFENSE REAL PROPERTY—Continued  
Program and Financing—Continued

Identification code 97-5189-0-2-051	2012 actual	2013 CR	2014 est.
0900 Total new obligations (object class 25.4)	79	93	36
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	83	82	18
1021 Recoveries of prior year unpaid obligations	55		
1050 Unobligated balance (total)	138	82	18
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	23	29	30
1160 Appropriation, discretionary (total)	23	29	30
1930 Total budgetary resources available	161	111	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	82	18	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	27	14
3010 Obligations incurred, unexpired accounts	79	93	36
3020 Outlays (gross)	-13	-106	-50
3040 Recoveries of prior year unpaid obligations, unexpired	-55		
3050 Unpaid obligations, end of year	27	14	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	1	1	1
3090 Uncollected pymts, Fed sources, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17	28	15
3200 Obligated balance, end of year	28	15	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	23	29	30
Outlays, gross:			
4010 Outlays from new discretionary authority		23	24
4011 Outlays from discretionary balances	13	83	26
4020 Outlays, gross (total)	13	106	50
4180 Budget authority, net (total)	23	29	30
4190 Outlays, net (total)	13	106	50

These receipts from the lease of DOD real property are applied to real property maintenance and environmental efforts at DOD installations. Receipts are available for maintenance, protection, alteration, repair, improvement, restoration of property or facilities, construction or acquisition of new facilities, lease of facilities, and facilities operation support.

OVERSEAS MILITARY FACILITY INVESTMENT RECOVERY

Program and Financing (in millions of dollars)

Identification code 97-5193-0-2-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Concept obligations	6	1	
0900 Total new obligations (object class 25.4)	6	1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	2	1
1930 Total budgetary resources available	8	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	7	1
3010 Obligations incurred, unexpired accounts	6	1	
3020 Outlays (gross)	-1	-7	
3050 Unpaid obligations, end of year	7	1	1

Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	7	1
3200 Obligated balance, end of year	7	1	1

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	1	7	
4190 Outlays, net (total)	1	7	

DEPARTMENT OF DEFENSE VIETNAM WAR COMMEMORATION FUND

Program and Financing (in millions of dollars)

Identification code 97-5750-0-2-051	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	11	11
1010 Unobligated balance transfer to other accts [97-9999]	-5		
1011 Unobligated balance transfer from other accts [97-9999]	5		
1050 Unobligated balance (total)	5	11	11
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other accts [97-9999]	6		
1160 Appropriation, discretionary (total)	6		
1930 Total budgetary resources available	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	11	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	6		
4180 Budget authority, net (total)	6		

INTERNATIONAL RECONSTRUCTION AND OTHER ASSISTANCE

Federal Funds

OPERATING EXPENSES OF THE COALITION PROVISIONAL AUTHORITY

Program and Financing (in millions of dollars)

Identification code 21-2090-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating expenses	2		
0900 Total new obligations (object class 26.0)	2		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	2	2
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	4	2	2
1930 Total budgetary resources available	4	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	3	3
3010 Obligations incurred, unexpired accounts	2		
3020 Outlays (gross)	-1		-3
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	3	3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	3	3
3200 Obligated balance, end of year	3	3	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	1		3

4190 Outlays, net (total) ..... 1 ..... 3

IRAQ RELIEF AND RECONSTRUCTION FUND, ARMY

Program and Financing (in millions of dollars)

Identification code 21–2089–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Iraq relief and reconstruction .....	15		
0900 Total new obligations (object class 25.2) .....	15		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	25	10	10
1930 Total budgetary resources available .....	25	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	15		
3020 Outlays (gross) .....	-15		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	15		
4190 Outlays, net (total) .....	15		

PROCUREMENT

Appropriations in this title support the acquisition of aircraft, ships, combat vehicles, satellites and their launch vehicles, weapons and all capital equipment. Major systems in production typically are budgeted annually to maintain production continuity through the life of the acquisition program and in several instances multiyear contracts are used to ensure stability of production and economies of scale. Initial spares and support as well as the modification of existing equipment are also funded. Resources presented under the Procurement title contribute primarily to achieving the Department's annual goals of assuring readiness and sustainability, transforming the force for new missions, and reforming processes and organizations. Performance targets in support of these goals contribute to the Department's efforts to mitigate force management and operational risk, future challenges risk, and institutional risk.

Procurement in support of the ground forces encompasses wheeled and tracked vehicles, rotary wing aircraft, ammunition and equipment procured to meet inventory requirements dictated by the force size and anticipated mission requirements. Similarly, procurement in support of naval forces includes ships, equipment for the ships, aircraft, munitions, the Marine Corps ground element, and other equipment to sustain future naval operations. The Air Force programs support the broad range of missions and include aircraft, tactical missiles, ballistic missile weapons and associated surveillance and space assets keyed to the strategic deterrence mission, munitions and other mission support equipment. Advance appropriations are being requested for VIRGINIA class submarines.

Federal Funds

AIRCRAFT PROCUREMENT, ARMY

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment

and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$5,024,387,000, to remain available for obligation until September 30, 2016, of which \$896,015,000 shall be available for the Army National Guard and Army Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 21–2031–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Aircraft .....	5,246	3,740	3,679
0002 Modification of aircraft .....	2,418	1,608	1,149
0003 Spares and repair parts .....	6		
0004 Support equipment and facilities .....	621	451	397
0799 Total direct obligations .....	8,291	5,799	5,225
0801 Reimbursable program .....	120	216	145
0900 Total new obligations .....	8,411	6,015	5,370
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,374	2,686	2,674
1010 Unobligated balance transfer to other accts [97–9999] .....	-26		
1021 Recoveries of prior year unpaid obligations .....	181		
1050 Unobligated balance (total) .....	4,529	2,686	2,674
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,498	5,880	5,024
1120 Appropriations transferred to other accts [97–9999] .....	-21		
1131 Unobligated balance of appropriations permanently reduced .....	-27	-22	
1160 Appropriation, discretionary (total) .....	6,450	5,858	5,024
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	95	145	145
1701 Change in uncollected payments, Federal sources .....	27		
1750 Spending auth from offsetting collections, disc (total) .....	122	145	145
1900 Budget authority (total) .....	6,572	6,003	5,169
1930 Total budgetary resources available .....	11,101	8,689	7,843
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unobligated balance carried forward, EOY .....	2,686	2,674	2,473
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,709	9,447	9,330
3010 Obligations incurred, unexpired accounts .....	8,411	6,015	5,370
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-7,368	-6,132	-5,137
3040 Recoveries of prior year unpaid obligations, unexpired .....	-181		
3041 Recoveries of prior year unpaid obligations, expired .....	-128		
3050 Unpaid obligations, end of year .....	9,447	9,330	9,563
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-362	-293	-293
3070 Change in uncollected pymts, Fed sources, unexpired .....	-27		
3071 Change in uncollected pymts, Fed sources, expired .....	96		
3090 Uncollected pymts, Fed sources, end of year .....	-293	-293	-293
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8,347	9,154	9,037
3200 Obligated balance, end of year .....	9,154	9,037	9,270
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,572	6,003	5,169
Outlays, gross:			
4010 Outlays from new discretionary authority .....	557	614	547
4011 Outlays from discretionary balances .....	6,811	5,518	4,590
4020 Outlays, gross (total) .....	7,368	6,132	5,137

**AIRCRAFT PROCUREMENT, ARMY—Continued**  
**Program and Financing—Continued**

Identification code 21–2031–0–1–051	2012 actual	2013 CR	2014 est.
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	–107	–145	–145
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–27		
4052 Offsetting collections credited to expired accounts .....	12		
4060 Additional offsets against budget authority only (total) .....	–15		
4070 Budget authority, net (discretionary) .....	6,450	5,858	5,024
4080 Outlays, net (discretionary) .....	7,261	5,987	4,992
4180 Budget authority, net (total) .....	6,450	5,858	5,024
4190 Outlays, net (total) .....	7,261	5,987	4,992

**Object Classification (in millions of dollars)**

Identification code 21–2031–0–1–051	2012 actual	2013 CR	2014 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1	1	
22.0 Transportation of things .....	2	2	2
25.1 Advisory and assistance services .....	640	84	85
25.2 Other services from non-Federal sources .....	8	34	9
25.3 Other goods and services from Federal sources .....	621	412	606
25.3 Purchases from revolving funds .....	169	98	171
25.4 Operation and maintenance of facilities .....	2		3
25.7 Operation and maintenance of equipment .....	200	134	185
26.0 Supplies and materials .....	52	95	41
31.0 Equipment .....	6,595	4,939	4,123
99.0 Direct obligations .....	8,290	5,799	5,225
99.0 Reimbursable obligations .....	121	216	145
99.9 Total new obligations .....	8,411	6,015	5,370

**MISSILE PROCUREMENT, ARMY**

*For construction, procurement, production, modification, and modernization of missiles, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$1,334,083,000, to remain available for obligation until September 30, 2016, of which \$19,527,000 shall be available for the Army National Guard and Army Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 21–2032–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Other missiles .....	1,812	1,507	1,076
0003 Modification of missiles .....	162	111	282
0004 Spares and repair parts .....	13	9	10
0005 Support equipment and facilities .....	8	7	10
0799 Total direct obligations .....	1,995	1,634	1,378
0801 Reimbursable program .....	61	338	200
0900 Total new obligations .....	2,056	1,972	1,578
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	683	564	382
1011 Unobligated balance transfer from other accts [97–9999] .....	210		

1021 Recoveries of prior year unpaid obligations .....	217		
1050 Unobligated balance (total) .....	1,110	564	382
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,588	1,520	1,334
1131 Unobligated balance of appropriations permanently reduced .....	–100	–30	
1160 Appropriation, discretionary (total) .....	1,488	1,490	1,334
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	90	300	200
1701 Change in uncollected payments, Federal sources .....	–67		
1750 Spending auth from offsetting collections, disc (total) .....	23	300	200
1900 Budget authority (total) .....	1,511	1,790	1,534
1930 Total budgetary resources available .....	2,621	2,354	1,916
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unobligated balance carried forward, EOY .....	564	382	338

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,231	3,147	3,105
3010 Obligations incurred, unexpired accounts .....	2,056	1,972	1,578
3011 Obligations incurred, expired accounts .....	12		
3020 Outlays (gross) .....	–1,903	–2,014	–1,779
3040 Recoveries of prior year unpaid obligations, unexpired .....	–217		
3041 Recoveries of prior year unpaid obligations, expired .....	–32		
3050 Unpaid obligations, end of year .....	3,147	3,105	2,904
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–102	–23	–23
3070 Change in uncollected pymts, Fed sources, unexpired .....	67		
3071 Change in uncollected pymts, Fed sources, expired .....	12		
3090 Uncollected pymts, Fed sources, end of year .....	–23	–23	–23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,129	3,124	3,082
3200 Obligated balance, end of year .....	3,124	3,082	2,881

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	1,511	1,790	1,534
Outlays, gross:			
4010 Outlays from new discretionary authority .....	159	435	320
4011 Outlays from discretionary balances .....	1,744	1,579	1,459
4020 Outlays, gross (total) .....	1,903	2,014	1,779
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	–48	–285	–185
4033 Non-Federal sources .....	–53	–15	–15
4040 Offsets against gross budget authority and outlays (total) .....	–101	–300	–200
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	67		
4052 Offsetting collections credited to expired accounts .....	11		
4060 Additional offsets against budget authority only (total) .....	78		
4070 Budget authority, net (discretionary) .....	1,488	1,490	1,334
4080 Outlays, net (discretionary) .....	1,802	1,714	1,579
4180 Budget authority, net (total) .....	1,488	1,490	1,334
4190 Outlays, net (total) .....	1,802	1,714	1,579

**Object Classification (in millions of dollars)**

Identification code 21–2032–0–1–051	2012 actual	2013 CR	2014 est.
Direct obligations:			
22.0 Transportation of things .....	1		1
23.1 Rental payments to GSA .....			1
25.1 Advisory and assistance services .....	101	31	31
25.2 Other services from non-Federal sources .....	7	23	5
25.3 Other goods and services from Federal sources .....	165	294	114
25.3 Purchases from revolving funds .....	8	1	6
25.4 Operation and maintenance of facilities .....			4
26.0 Supplies and materials .....	7	33	5
31.0 Equipment .....	1,706	1,252	1,211
99.0 Direct obligations .....	1,995	1,634	1,378
99.0 Reimbursable obligations .....	61	338	200
99.9 Total new obligations .....	2,056	1,972	1,578

PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY

For construction, procurement, production, and modification of weapons and tracked combat vehicles, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$1,597,267,000, to remain available for obligation until September 30, 2016, of which \$112,696,000 shall be available for the Army National Guard and Army Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 21–2033–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Tracked combat vehicles .....	3,583	1,728	1,388
0002 Weapons and other combat vehicles .....	452	300	320
0003 Spare and repair parts .....	36	101	60
0799 Total direct obligations .....	4,071	2,129	1,768
0801 Reimbursable program .....	6	88	85
0900 Total new obligations .....	4,077	2,217	1,853
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,179	1,382	1,330
1010 Unobligated balance transfer to other accts [97–9999] .....	–2		
1011 Unobligated balance transfer from other accts [97–9999] .....	34		
1021 Recoveries of prior year unpaid obligations .....	1,206		
1050 Unobligated balance (total) .....	3,417	1,382	1,330
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,108	2,099	1,597
1120 Appropriations transferred to other accts [97–9999] .....	–36		
1131 Unobligated balance of appropriations permanently reduced .....	–23	–19	
1160 Appropriation, discretionary (total) .....	2,049	2,080	1,597
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	22	85	85
1701 Change in uncollected payments, Federal sources .....	–18		
1750 Spending auth from offsetting collections, disc (total) .....	4	85	85
1900 Budget authority (total) .....	2,053	2,165	1,682
1930 Total budgetary resources available .....	5,470	3,547	3,012
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–11		
1941 Unobligated balance carried forward, EOY .....	1,382	1,330	1,159
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,243	4,599	3,885
3010 Obligations incurred, unexpired accounts .....	4,077	2,217	1,853
3011 Obligations incurred, expired accounts .....	892		
3020 Outlays (gross) .....	–4,550	–2,931	–2,270
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1,206		
3041 Recoveries of prior year unpaid obligations, expired .....	–857		
3050 Unpaid obligations, end of year .....	4,599	3,885	3,468
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–245	–304	–304
3070 Change in uncollected pymts, Fed sources, unexpired .....	18		
3071 Change in uncollected pymts, Fed sources, expired .....	–77		
3090 Uncollected pymts, Fed sources, end of year .....	–304	–304	–304
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,998	4,295	3,581
3200 Obligated balance, end of year .....	4,295	3,581	3,164
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,053	2,165	1,682

Outlays, gross:			
4010 Outlays from new discretionary authority .....	162	230	197
4011 Outlays from discretionary balances .....	4,388	2,701	2,073
4020 Outlays, gross (total) .....	4,550	2,931	2,270
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–27	–65	–65
4033 Non-Federal sources .....		–20	–20
4040 Offsets against gross budget authority and outlays (total) ....	–27	–85	–85
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	18		
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	23		
4070 Budget authority, net (discretionary) .....	2,049	2,080	1,597
4080 Outlays, net (discretionary) .....	4,523	2,846	2,185
4180 Budget authority, net (total) .....	2,049	2,080	1,597
4190 Outlays, net (total) .....	4,523	2,846	2,185

Object Classification (in millions of dollars)

Identification code 21–2033–0–1–051	2012 actual	2013 CR	2014 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1		1
22.0 Transportation of things .....	12	4	5
25.1 Advisory and assistance services .....	17	14	13
25.2 Other services from non-Federal sources .....	24	10	21
25.3 Other goods and services from Federal sources .....	509	170	221
25.3 Purchases from revolving funds .....	81	46	38
26.0 Supplies and materials .....	79	43	35
31.0 Equipment .....	3,348	1,842	1,434
99.0 Direct obligations .....	4,071	2,129	1,768
99.0 Reimbursable obligations .....	6	88	85
99.9 Total new obligations .....	4,077	2,217	1,853

PROCUREMENT OF AMMUNITION, ARMY

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$1,540,437,000, to remain available for obligation until September 30, 2016, of which \$147,219,000 shall be available for the Army National Guard and Army Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 21–2034–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ammunition .....	2,034	1,472	1,591
0002 Ammunition production base support .....	449	273	475
0799 Total direct obligations .....	2,483	1,745	2,066
0801 Reimbursable program .....	3,936	2,414	1,906
0900 Total new obligations .....	6,419	4,159	3,972
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,673	1,200	1,380
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,673		
1011 Unobligated balance transfer from other accts [97–9999] .....	52		
1021 Recoveries of prior year unpaid obligations .....	2,665		
1050 Unobligated balance (total) .....	4,390	1,200	1,380

PROCUREMENT OF AMMUNITION, ARMY—Continued  
Program and Financing—Continued

Identification code 21–2034–0–1–051	2012 actual	2013 CR	2014 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100	2,093	2,254	1,540
1120	–97		
1121	1		
1131	–58	–15	
1160	1,939	2,239	1,540
Appropriations, mandatory:			
1200	1		
1260	1		
Spending authority from offsetting collections, discretionary:			
1700	1,083	2,100	2,400
1701	215		
1750	1,298	2,100	2,400
1900	3,238	4,339	3,940
1930	7,628	5,539	5,320
Memorandum (non-add) entries:			
1940	–9		
1941	1,200	1,380	1,348
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	5,546	5,545	5,456
3010	6,419	4,159	3,972
3011	29		
3020	–3,736	–4,248	–4,476
3040	–2,665		
3041	–48		
3050	5,545	5,456	4,952
Uncollected payments:			
3060	–2,806	–2,697	–2,697
3070	–215		
3071	324		
3090	–2,697	–2,697	–2,697
Memorandum (non-add) entries:			
3100	2,740	2,848	2,759
3200	2,848	2,759	2,255
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	3,237	4,339	3,940
Outlays, gross:			
4010	284	2,189	2,462
4011	3,451	2,059	2,014
4020	3,735	4,248	4,476
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	–1,395	–2,078	–2,378
4033	–8	–22	–22
4040	–1,403	–2,100	–2,400
Additional offsets against gross budget authority only:			
4050	–215		
4052	320		
4060	105		
4070	1,939	2,239	1,540
4080	2,332	2,148	2,076
Mandatory:			
4090	1		
Outlays, gross:			
4100	1		
4180	1,940	2,239	1,540
4190	2,333	2,148	2,076

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 21–2034–0–1–051	2012 actual	2013 CR	2014 est.
Guaranteed loan downward reestimates:			
237001		–1	
237999		–1	

Object Classification (in millions of dollars)

Identification code 21–2034–0–1–051	2012 actual	2013 CR	2014 est.
Direct obligations:			
22.0	5	8	4
25.1	43	15	15
25.2	5	32	4
25.3	494	150	355
25.3	42	36	32
25.4	43	127	32
26.0	1,424	1,375	1,337
31.0	17	1	11
32.0	410	1	276
99.0	2,483	1,745	2,066
99.0	3,936	2,414	1,906
99.9	6,419	4,159	3,972

OTHER PROCUREMENT, ARMY

For construction, procurement, production, and modification of vehicles, including tactical, support, and non-tracked combat vehicles; the purchase of passenger motor vehicles for replacement only; communications and electronic equipment; other support equipment; spare parts, ordnance, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$6,465,218,000, to remain available for obligation until September 30, 2016, of which \$1,690,958,000 shall be available for the Army National Guard and Army Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 21–2035–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	4,610	3,527	1,780
0002	8,210	5,372	4,422
0003	2,874	1,618	1,617
0004	27	31	43
0799	15,721	10,548	7,862
0801	151	116	99
0900	15,872	10,664	7,961
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	10,181	6,436	5,418
1010	–20		
1011	122		
1021	2,940		
1050	13,223	6,436	5,418
Budget authority:			
Appropriations, discretionary:			
1100	9,259	9,985	6,465
1121	289		
1131	–497	–438	
1160	9,051	9,547	6,465
Spending authority from offsetting collections, discretionary:			
1700	102	99	99
1701	42		
1750	144	99	99
1900	9,195	9,646	6,564
1930	22,418	16,082	11,982
Memorandum (non-add) entries:			
1940	–110		

1941	Unobligated balance carried forward, EOY .....	6,436	5,418	4,021
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	20,621	16,426	14,730
3010	Obligations incurred, unexpired accounts .....	15,872	10,664	7,961
3011	Obligations incurred, expired accounts .....	449	.....	.....
3020	Outlays (gross) .....	-17,052	-12,360	-7,965
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2,940	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-524	.....	.....
3050	Unpaid obligations, end of year .....	16,426	14,730	14,726
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-465	-600	-600
3070	Change in uncollected pymts, Fed sources, unexpired .....	-42	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	-93	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-600	-600	-600
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	20,156	15,826	14,130
3200	Obligated balance, end of year .....	15,826	14,130	14,126
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	9,195	9,646	6,564
Outlays, gross:				
4010	Outlays from new discretionary authority .....	814	862	616
4011	Outlays from discretionary balances .....	16,238	11,498	7,349
4020	Outlays, gross (total) .....	17,052	12,360	7,965
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-113	-82	-82
4033	Non-Federal sources .....	.....	-17	-17
4040	Offsets against gross budget authority and outlays (total) ....	-113	-99	-99
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-42	.....	.....
4052	Offsetting collections credited to expired accounts .....	11	.....	.....
4060	Additional offsets against budget authority only (total) .....	-31	.....	.....
4070	Budget authority, net (discretionary) .....	9,051	9,547	6,465
4080	Outlays, net (discretionary) .....	16,939	12,261	7,866
4180	Budget authority, net (total) .....	9,051	9,547	6,465
4190	Outlays, net (total) .....	16,939	12,261	7,866

**Object Classification (in millions of dollars)**

Identification code 21-2035-0-1-051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
21.0	Travel and transportation of persons .....	3	3	2
22.0	Transportation of things .....	305	425	283
23.3	Communications, utilities, and miscellaneous charges .....	1	1	.....
25.1	Advisory and assistance services .....	513	108	104
25.2	Other services from non-Federal sources .....	31	35	23
25.3	Other goods and services from Federal sources .....	1,834	1,419	950
25.3	Purchases from revolving funds .....	490	731	480
26.0	Supplies and materials .....	151	266	160
31.0	Equipment .....	12,392	7,560	5,860
99.0	Direct obligations .....	15,720	10,548	7,862
99.0	Reimbursable obligations .....	152	116	99
99.9	Total new obligations .....	15,872	10,664	7,961

**JOINT IMPROVISED EXPLOSIVE DEVICE DEFEAT FUND**

(INCLUDING TRANSFER OF FUNDS)

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 21-2093-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Network attack .....	1,254	559	382
0002	JIEDDO device defeat .....	1,192	272	502
0003	Force training .....	177	90	95

0004	Staff and infrastructure .....	562	111	351
0900	Total new obligations .....	3,185	1,032	1,330
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,200	839	1,482
1021	Recoveries of prior year unpaid obligations .....	382	.....	.....
1050	Unobligated balance (total) .....	1,582	839	1,482
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,442	1,675	.....
1160	Appropriation, discretionary (total) .....	2,442	1,675	.....
1930	Total budgetary resources available .....	4,024	2,514	1,482
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	839	1,482	152

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,883	2,365	1,572
3010	Obligations incurred, unexpired accounts .....	3,185	1,032	1,330
3011	Obligations incurred, expired accounts .....	144	.....	.....
3020	Outlays (gross) .....	-2,285	-1,825	-1,267
3040	Recoveries of prior year unpaid obligations, unexpired .....	-382	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-180	.....	.....
3050	Unpaid obligations, end of year .....	2,365	1,572	1,635
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,883	2,365	1,572
3200	Obligated balance, end of year .....	2,365	1,572	1,635

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,442	1,675	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	338	335	.....
4011	Outlays from discretionary balances .....	1,947	1,490	1,267
4020	Outlays, gross (total) .....	2,285	1,825	1,267
4180	Budget authority, net (total) .....	2,442	1,675	.....
4190	Outlays, net (total) .....	2,285	1,825	1,267

Funding provides for the Joint Improvised Explosive Device Defeat Organization's mission capabilities beyond support of current operations in Afghanistan, to include Headquarters Staff and Infrastructure, Science and Technology efforts, and the Joint Center of Excellence.

**Object Classification (in millions of dollars)**

Identification code 21-2093-0-1-051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	27	.....	32
11.5	Other personnel compensation .....	1	.....	1
11.9	Total personnel compensation .....	28	.....	33
12.1	Civilian personnel benefits .....	7	.....	8
21.0	Travel and transportation of persons .....	8	.....	.....
23.3	Communications, utilities, and miscellaneous charges .....	4	63	.....
25.1	Advisory and assistance services .....	1,584	196	68
25.2	Other services from non-Federal sources .....	1	122	.....
25.3	Other goods and services from Federal sources .....	2	1	.....
25.4	Operation and maintenance of facilities .....	4	.....	.....
25.5	Research and development contracts .....	908	638	88
25.7	Operation and maintenance of equipment .....	1	5	1
26.0	Supplies and materials .....	3	6	.....
31.0	Equipment .....	634	.....	1,132
32.0	Land and structures .....	1	1	.....
99.9	Total new obligations .....	3,185	1,032	1,330

**Employment Summary**

Identification code 21-2093-0-1-051	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	227	.....	454

**AIRCRAFT PROCUREMENT, NAVY**

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, \$17,927,651,000, to remain available for obligation until September 30, 2016, of which \$243,988,000 shall be available for the Navy Reserve and Marine Corps Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17–1506–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Combat aircraft .....	14,762	14,202	13,385
0002 Airlift aircraft .....	3		
0003 Trainer aircraft .....	227	185	228
0004 Other aircraft .....	337	347	326
0005 Modification of aircraft .....	2,306	1,793	2,342
0006 Aircraft spares and repair parts .....	1,424	1,095	1,095
0007 Aircraft support equipment and facilities .....	437	425	504
0799 Total direct obligations .....	19,496	18,047	17,880
0801 Reimbursable program .....	1	5	5
0900 Total new obligations .....	19,497	18,052	17,885

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7,922	7,296	7,119
1010 Unobligated balance transfer to other accts [97–9999] .....	–68		
1011 Unobligated balance transfer from other accts [97–9999] .....	17		
1021 Recoveries of prior year unpaid obligations .....	1,016		
1050 Unobligated balance (total) .....	8,887	7,296	7,119
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	18,157	17,948	17,928
1120 Appropriations transferred to other accts [97–9999] .....	–44		
1131 Unobligated balance of appropriations permanently reduced .....	–168	–78	
1160 Appropriation, discretionary (total) .....	17,945	17,870	17,928
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		5	5
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1	5	5
1900 Budget authority (total) .....	17,946	17,875	17,933
1930 Total budgetary resources available .....	26,833	25,171	25,052
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	–40		
1941 Unexpired unobligated balance, end of year .....	7,296	7,119	7,167

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	21,443	23,190	24,055
3010 Obligations incurred, unexpired accounts .....	19,497	18,052	17,885
3011 Obligations incurred, expired accounts .....	186		
3020 Outlays (gross) .....	–16,602	–17,187	–14,538
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1,016		
3041 Recoveries of prior year unpaid obligations, expired .....	–318		
3050 Unpaid obligations, end of year .....	23,190	24,055	27,402
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	3		
3070 Change in uncollected pymts, Fed sources, unexpired .....	–1		
3071 Change in uncollected pymts, Fed sources, expired .....	–2		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	21,446	23,190	24,055
3200 Obligated balance, end of year .....	23,190	24,055	27,402

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	17,946	17,875	17,933

<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,424	2,328	2,336
4011 Outlays from discretionary balances .....	14,178	14,859	12,202
4020 Outlays, gross (total) .....	16,602	17,187	14,538
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....		–5	–5
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–1		
4070 Budget authority, net (discretionary) .....	17,945	17,870	17,928
4080 Outlays, net (discretionary) .....	16,602	17,182	14,533
4180 Budget authority, net (total) .....	17,945	17,870	17,928
4190 Outlays, net (total) .....	16,602	17,182	14,533

**Object Classification** (in millions of dollars)

Identification code 17–1506–0–1–051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
22.0 Transportation of things .....			1
25.1 Advisory and assistance services .....	171	169	149
25.2 Other services from non-Federal sources .....	183	183	143
25.3 Other goods and services from Federal sources .....	78	77	284
25.3 Purchases from other Govt acct – revolving funds .....	1,270	1,189	1,223
25.4 Operation and maintenance of facilities .....		16	
26.0 Supplies and materials .....	10	6	29
31.0 Equipment .....	17,783	16,407	16,051
99.0 Direct obligations .....	19,495	18,047	17,880
99.0 Reimbursable obligations .....	2	5	5
99.9 Total new obligations .....	19,497	18,052	17,885

**WEAPONS PROCUREMENT, NAVY**

For construction, procurement, production, modification, and modernization of missiles, torpedoes, other weapons, and related support equipment including spare parts, and accessories therefor; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, \$3,122,193,000, to remain available for obligation until September 30, 2016.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17–1507–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ballistic missiles .....	1,284	1,171	1,139
0002 Other missiles .....	1,680	1,621	1,603
0003 Torpedoes and related equipment .....	184	211	270
0004 Other weapons .....	521	207	198
0006 Spares and repair parts .....	59	57	58
0799 Total direct obligations .....	3,728	3,267	3,268
0801 Reimbursable program .....	1	4	4
0900 Total new obligations .....	3,729	3,271	3,272

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,468	1,134	1,100
1010 Unobligated balance transfer to other accts [97–9999] .....	–15		
1011 Unobligated balance transfer from other accts [97–9999] .....	6		
1021 Recoveries of prior year unpaid obligations .....	230		
1050 Unobligated balance (total) .....	1,689	1,134	1,100
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3,266	3,267	3,122
1120 Appropriations transferred to other accts [97–9999] .....	–23		

1131	Unobligated balance of appropriations permanently reduced .....	-34	-34	.....
1160	Appropriation, discretionary (total) .....	3,209	3,233	3,122
1700	Spending authority from offsetting collections, discretionary: Collected .....	1	4	4
1701	Change in uncollected payments, Federal sources .....	-3	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	-2	4	4
1900	Budget authority (total) .....	3,207	3,237	3,126
1930	Total budgetary resources available .....	4,896	4,371	4,226
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-33	.....	.....
1941	Unexpired unobligated balance, end of year .....	1,134	1,100	954

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4,167	4,668	4,759
3010	Obligations incurred, unexpired accounts .....	3,729	3,271	3,272
3011	Obligations incurred, expired accounts .....	39	.....	.....
3020	Outlays (gross) .....	-2,985	-3,180	-3,106
3040	Recoveries of prior year unpaid obligations, unexpired .....	-230	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-52	.....	.....
3050	Unpaid obligations, end of year .....	4,668	4,759	4,925
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	1	1	1
3070	Change in uncollected pymts, Fed sources, unexpired .....	3	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	-3	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4,168	4,669	4,760
3200	Obligated balance, end of year .....	4,669	4,760	4,926

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	3,207	3,237	3,126
Outlays, gross:				
4010	Outlays from new discretionary authority .....	476	554	534
4011	Outlays from discretionary balances .....	2,509	2,626	2,572
4020	Outlays, gross (total) .....	2,985	3,180	3,106
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-4	-4
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	3	.....	.....
4070	Budget authority, net (discretionary) .....	3,209	3,233	3,122
4080	Outlays, net (discretionary) .....	2,984	3,176	3,102
4180	Budget authority, net (total) .....	3,209	3,233	3,122
4190	Outlays, net (total) .....	2,984	3,176	3,102

**Object Classification (in millions of dollars)**

Identification code 17-1507-0-1-051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
22.0	Transportation of things .....	4	4	4
25.1	Advisory and assistance services .....	15	17	15
25.2	Other services from non-Federal sources .....	36	32	14
25.3	Other goods and services from Federal sources .....	94	66	47
25.3	Purchases from revolving funds .....	249	256	138
25.4	Operation and maintenance of facilities .....	.....	1	.....
26.0	Supplies and materials .....	852	669	776
31.0	Equipment .....	2,478	2,222	2,274
99.0	Direct obligations .....	3,728	3,267	3,268
99.0	Reimbursable obligations .....	1	4	4
99.9	Total new obligations .....	3,729	3,271	3,272

necessary for the foregoing purposes, \$589,267,000, to remain available for obligation until September 30, 2016, of which \$206,000 shall be available for the Navy Reserve and Marine Corps Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 17-1508-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Procurement of ammunition, Navy .....	509	416	444
0002	Ammunition, Marine Corps .....	668	402	197
0799	Total direct obligations .....	1,177	818	641
0801	Reimbursable program .....	9	6	5
0900	Total new obligations .....	1,186	824	646
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	361	192	261
1010	Unobligated balance transfer to other accts [97-9999] .....	-60	.....	.....
1011	Unobligated balance transfer from other accts [97-9999] .....	16	.....	.....
1021	Recoveries of prior year unpaid obligations .....	146	.....	.....
1050	Unobligated balance (total) .....	463	192	261
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	945	916	589
1121	Appropriations transferred from other accts [97-9999] .....	1	.....	.....
1131	Unobligated balance of appropriations permanently reduced .....	-28	-28	.....
1160	Appropriation, discretionary (total) .....	918	888	589
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	8	5	5
1750	Spending auth from offsetting collections, disc (total) .....	8	5	5
1900	Budget authority (total) .....	926	893	594
1930	Total budgetary resources available .....	1,389	1,085	855
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-11	.....	.....
1941	Unobligated balance carried forward, EOY .....	192	261	209

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,467	2,190	1,771
3010	Obligations incurred, unexpired accounts .....	1,186	824	646
3011	Obligations incurred, expired accounts .....	8	.....	.....
3020	Outlays (gross) .....	-1,305	-1,243	-1,035
3040	Recoveries of prior year unpaid obligations, unexpired .....	-146	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-20	.....	.....
3050	Unpaid obligations, end of year .....	2,190	1,771	1,382
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,467	2,190	1,771
3200	Obligated balance, end of year .....	2,190	1,771	1,382

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	926	893	594
Outlays, gross:				
4010	Outlays from new discretionary authority .....	81	85	58
4011	Outlays from discretionary balances .....	1,224	1,158	977
4020	Outlays, gross (total) .....	1,305	1,243	1,035
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-5	-5	-5
4033	Non-Federal sources .....	-3	.....	.....
4040	Offsets against gross budget authority and outlays (total) .....	-8	-5	-5
4070	Budget authority, net (discretionary) .....	918	888	589
4080	Outlays, net (discretionary) .....	1,297	1,238	1,030
4180	Budget authority, net (total) .....	918	888	589
4190	Outlays, net (total) .....	1,297	1,238	1,030

**PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS**

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses

PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS—Continued  
Object Classification (in millions of dollars)

Identification code 17-1508-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	5	4	3
25.2 Other services from non-Federal sources .....	2	25	1
25.3 Other goods and services from Federal sources .....	254	192	110
26.0 Supplies and materials .....	867	428	388
31.0 Equipment .....	49	169	139
99.0 Direct obligations .....	1,177	818	641
99.0 Reimbursable obligations .....	9	6	5
99.9 Total new obligations .....	1,186	824	646

SHIPBUILDING AND CONVERSION, NAVY

For expenses necessary for the construction, acquisition, or conversion of vessels as authorized by law, including armor and armament thereof, plant equipment, appliances, and machine tools and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; procurement of critical, long lead time components and designs for vessels to be constructed or converted in the future; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, \$15,030,543,000, to remain available for obligation until September 30, 2018: Provided, That of the amounts provided under this heading, \$952,739,000 shall become available on October 1, 2014 for construction of Virginia class submarines and shall remain available until September 30, 2019: Provided further, That additional obligations may be incurred after September 30, 2018, for the amounts made available on October 1, 2013, and after September 30, 2019, for the amounts made available on October 1, 2014, for engineering services, tests, evaluations, and other such budgeted work that must be performed in the final stage of ship construction: Provided further, That none of the funds provided under this heading for the construction or conversion of any naval vessel to be constructed in shipyards in the United States shall be expended in foreign facilities for the construction of major components of such vessel: Provided further, That none of the funds provided under this heading shall be used for the construction of any naval vessel in foreign shipyards.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 17-1611-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Other warships .....	10,378	10,075	11,258
0003 Amphibious ships .....	5,195	3,940	2,033
0005 Auxiliaries, craft, and prior-year program costs .....	764	547	1,167
0900 Total new obligations .....	16,337	14,562	14,458
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9,289	9,357	9,805
1010 Unobligated balance transfer to other accts [97-9999] .....	-17		
1012 Unobligated balance transfers between expired and unexpired accounts .....	281		
1021 Recoveries of prior year unpaid obligations .....	1,610		
1050 Unobligated balance (total) .....	11,163	9,357	9,805
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	14,919	15,010	14,078
1121 Appropriations transferred from other accts [97-9999] .....	219		
1131 Unobligated balance of appropriations permanently reduced .....	-110		
1160 Appropriation, discretionary (total) .....	15,028	15,010	14,078
1900 Budget authority (total) .....	15,028	15,010	14,078
1930 Total budgetary resources available .....	26,191	24,367	23,883

Memorandum (non-add) entries:

1940 Unobligated balance expiring .....	-497		
1941 Unexpired unobligated balance, end of year .....	9,357	9,805	9,425

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	26,023	28,329	29,433
3010 Obligations incurred, unexpired accounts .....	16,337	14,562	14,458
3011 Obligations incurred, expired accounts .....	10		
3020 Outlays (gross) .....	-12,353	-13,458	-12,883
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,610		
3041 Recoveries of prior year unpaid obligations, expired .....	-78		
3050 Unpaid obligations, end of year .....	28,329	29,433	31,008
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	26,023	28,329	29,433
3200 Obligated balance, end of year .....	28,329	29,433	31,008

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	15,028	15,010	14,078
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	901	901	845
4011 Outlays from discretionary balances .....	11,452	12,557	12,038
4020 Outlays, gross (total) .....	12,353	13,458	12,883
4180 Budget authority, net (total) .....	15,028	15,010	14,078
4190 Outlays, net (total) .....	12,353	13,458	12,883

Object Classification (in millions of dollars)

Identification code 17-1611-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
22.0 Transportation of things .....	5	5	5
25.1 Advisory and assistance services .....	161	153	154
25.2 Other services from non-Federal sources .....	209	161	181
25.3 Other goods and services from Federal sources .....	92	88	145
25.3 Purchases from revolving funds .....	738	715	847
25.7 Operation and maintenance of equipment .....	10	10	1
25.8 Subsistence and support of persons .....	9	9	
26.0 Supplies and materials .....	22	28	59
31.0 Equipment .....	15,091	13,393	13,066
99.9 Total new obligations .....	16,337	14,562	14,458

OTHER PROCUREMENT, NAVY

For procurement, production, and modernization of support equipment and materials not otherwise provided for, Navy ordnance (except ordnance for new aircraft, new ships, and ships authorized for conversion); the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, \$6,310,257,000, to remain available for obligation until September 30, 2016, of which \$8,971,000 shall be available for the Navy Reserve and Marine Corps Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 17-1810-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ships support equipment .....	2,407	2,382	1,941
0002 Communications and electronics equipment .....	2,022	1,877	2,282
0003 Aviation support equipment .....	470	367	477
0004 Ordnance support equipment .....	656	656	787
0005 Civil engineering support equipment .....	150	108	85
0006 Supply support equipment .....	78	75	57
0007 Personnel and command support equipment .....	525	471	607
0008 Spares and repair parts .....	217	236	320
0799 Total direct obligations .....	6,525	6,172	6,556

0801	Reimbursable program .....	139	350	295
0900	Total new obligations .....	6,664	6,522	6,851
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2,302	2,349	2,205
1011	Unobligated balance transfer from other accts [97–9999] ....	108		
1021	Recoveries of prior year unpaid obligations .....	277		
1050	Unobligated balance (total) .....	2,687	2,349	2,205
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	6,250	6,149	6,310
1120	Appropriations transferred to other accts [97–9999] .....	-58		
1121	Appropriations transferred from other accts [97–9999] ....	52		
1131	Unobligated balance of appropriations permanently reduced .....	-60	-60	
1160	Appropriation, discretionary (total) .....	6,184	6,089	6,310
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	191	289	295
1701	Change in uncollected payments, Federal sources .....	-1		
1750	Spending auth from offsetting collections, disc (total) .....	190	289	295
1900	Budget authority (total) .....	6,374	6,378	6,605
1930	Total budgetary resources available .....	9,061	8,727	8,810
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-48		
1941	Unexpired unobligated balance, end of year .....	2,349	2,205	1,959

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6,295	6,619	7,092
3010	Obligations incurred, unexpired accounts .....	6,664	6,522	6,851
3011	Obligations incurred, expired accounts .....	28		
3020	Outlays (gross) .....	-5,986	-6,049	-6,242
3040	Recoveries of prior year unpaid obligations, unexpired .....	-277		
3041	Recoveries of prior year unpaid obligations, expired .....	-105		
3050	Unpaid obligations, end of year .....	6,619	7,092	7,701
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	8	7	7
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
3071	Change in uncollected pymts, Fed sources, expired .....	-2		
3090	Uncollected pymts, Fed sources, end of year .....	7	7	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6,303	6,626	7,099
3200	Obligated balance, end of year .....	6,626	7,099	7,708

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	6,374	6,378	6,605
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,240	1,507	1,557
4011	Outlays from discretionary balances .....	4,746	4,542	4,685
4020	Outlays, gross (total) .....	5,986	6,049	6,242
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-82	-289	-295
4033	Non-Federal sources .....	-109		
4040	Offsets against gross budget authority and outlays (total) ....	-191	-289	-295
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4070	Budget authority, net (discretionary) .....	6,184	6,089	6,310
4080	Outlays, net (discretionary) .....	5,795	5,760	5,947
4180	Budget authority, net (total) .....	6,184	6,089	6,310
4190	Outlays, net (total) .....	5,795	5,760	5,947

**Object Classification** (in millions of dollars)

Identification code 17–1810–0–1–051				
		2012 actual	2013 CR	2014 est.
Direct obligations:				
22.0	Transportation of things .....	6	6	4
25.1	Advisory and assistance services .....	47	43	35
25.2	Other services from non-Federal sources .....	258	236	137
25.3	Other goods and services from Federal sources .....	682	588	811
25.3	Purchases from revolving funds .....	1,343	1,551	1,512
25.8	Subsistence and support of persons .....	25	28	
26.0	Supplies and materials .....	39	59	66
31.0	Equipment .....	4,125	3,661	3,991
99.0	Direct obligations .....	6,525	6,172	6,556

99.0	Reimbursable obligations .....	139	350	295
99.9	Total new obligations .....	6,664	6,522	6,851

**COASTAL DEFENSE AUGMENTATION**

**Program and Financing** (in millions of dollars)

Identification code 17–0380–0–1–051				
		2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	4	4
1930	Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	53	53	3
3020	Outlays (gross) .....		-50	
3050	Unpaid obligations, end of year .....	53	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	53	53	3
3200	Obligated balance, end of year .....	53	3	3
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....		50	
4190	Outlays, net (total) .....		50	

**PROCUREMENT, MARINE CORPS**

*For expenses necessary for the procurement, manufacture, and modification of missiles, armament, military equipment, spare parts, and accessories thereof; plant equipment, appliances, and machine tools, and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; vehicles for the Marine Corps, including the purchase of passenger motor vehicles for replacement only; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, \$1,343,511,000, to remain available for obligation until September 30, 2016, of which \$64,541,000 shall be available for the Marine Corps Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17–1109–0–1–051				
		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0002	Weapons and combat vehicles .....	225	425	250
0003	Guided missiles and equipment .....	90	133	114
0004	Communications and electronics equipment .....	1,139	1,352	861
0005	Support vehicles .....	293	303	158
0006	Engineer and other equipment .....	1,001	765	506
0007	Spare parts and repair parts .....	4	1	8
0799	Total direct obligations .....	2,752	2,979	1,897
0801	Reimbursable program .....	36	45	45
0900	Total new obligations .....	2,788	3,024	1,942
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,925	2,046	1,442
1011	Unobligated balance transfer from other accts [97–9999] ....	65		
1021	Recoveries of prior year unpaid obligations .....	86		
1050	Unobligated balance (total) .....	2,076	2,046	1,442

PROCUREMENT, MARINE CORPS—Continued  
Program and Financing—Continued

Identification code 17-1109-0-1-051	2012 actual	2013 CR	2014 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	2,657	2,375	1,344
1121 Appropriations transferred from other accts [97-9999] ....	100		
1160 Appropriation, discretionary (total) .....	2,757	2,375	1,344
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	45	45
1701 Change in uncollected payments, Federal sources .....	8		
1750 Spending auth from offsetting collections, disc (total) .....	10	45	45
1900 Budget authority (total) .....	2,767	2,420	1,389
1930 Total budgetary resources available .....	4,843	4,466	2,831
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9		
1941 Unexpired unobligated balance, end of year .....	2,046	1,442	889
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,274	2,868	3,282
3010 Obligations incurred, unexpired accounts .....	2,788	3,024	1,942
3011 Obligations incurred, expired accounts .....	9		
3020 Outlays (gross) .....	-3,051	-2,610	-2,274
3040 Recoveries of prior year unpaid obligations, unexpired .....	-86		
3041 Recoveries of prior year unpaid obligations, expired .....	-66		
3050 Unpaid obligations, end of year .....	2,868	3,282	2,950
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	8	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-8		
3071 Change in uncollected pymts, Fed sources, expired .....	-4		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,282	2,864	3,278
3200 Obligated balance, end of year .....	2,864	3,278	2,946
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,767	2,420	1,389
Outlays, gross:			
4010 Outlays from new discretionary authority .....	277	330	206
4011 Outlays from discretionary balances .....	2,774	2,280	2,068
4020 Outlays, gross (total) .....	3,051	2,610	2,274
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-45	-45
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-8		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-7		
4070 Budget authority, net (discretionary) .....	2,757	2,375	1,344
4080 Outlays, net (discretionary) .....	3,048	2,565	2,229
4180 Budget authority, net (total) .....	2,757	2,375	1,344
4190 Outlays, net (total) .....	3,048	2,565	2,229

Object Classification (in millions of dollars)

Identification code 17-1109-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....		1	
23.3 Communications, utilities, and miscellaneous charges .....		1	
25.1 Advisory and assistance services .....	90	38	31
25.2 Other services from non-Federal sources .....	23	3	4
25.3 Other goods and services from Federal sources .....	87	27	52
25.3 Purchases from revolving funds .....	149	45	170
25.7 Operation and maintenance of equipment .....	1	2	
26.0 Supplies and materials .....	111	1	41
31.0 Equipment .....	2,291	2,861	1,599
99.0 Direct obligations .....	2,752	2,979	1,897
99.0 Reimbursable obligations .....	36	45	45
99.9 Total new obligations .....	2,788	3,024	1,942

AIRCRAFT PROCUREMENT, AIR FORCE  
(INCLUDING TRANSFER OF FUNDS)

For construction, procurement, and modification of aircraft and equipment, including armor and armament, specialized ground handling equipment, and training devices, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, \$11,398,901,000, to remain available for obligation until September 30, 2016, of which \$958,403,000 shall be available for the Air National Guard and Air Force Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 57-3010-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Combat aircraft .....	2,567	5,494	4,018
0002 Airlift aircraft .....	2,342	1,730	1,901
0003 Trainer aircraft .....	1	1	1
0004 Other aircraft .....	1,922	2,196	1,397
0005 Modification of inservice aircraft .....	3,736	3,966	3,533
0006 Aircraft spares and repair parts .....	634	1,033	757
0007 Aircraft support equipment and facilities .....	1,874	1,424	1,295
0799 Total direct obligations .....	13,076	15,844	12,902
0801 Reimbursable program .....	47	586	550
0900 Total new obligations .....	13,123	16,430	13,452

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	14,397	14,876	12,045
1010 Unobligated balance transfer to other accts [97-9999] .....	-87		
1011 Unobligated balance transfer from other accts [97-9999] ....	165		
1021 Recoveries of prior year unpaid obligations .....	134		
1050 Unobligated balance (total) .....	14,609	14,876	12,045
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	14,186	13,269	11,399
1120 Appropriations transferred to other accts [97-9999] .....	-226		
1120 Appropriations transferred to other accts [70-0613] .....	-64		
1121 Appropriations transferred from other accts [97-9999] ....	46		
1131 Unobligated balance of appropriations permanently reduced .....	-253	-220	
1160 Appropriation, discretionary (total) .....	13,689	13,049	11,399
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	66	550	550
1701 Change in uncollected payments, Federal sources .....	44		
1750 Spending auth from offsetting collections, disc (total) .....	110	550	550
1900 Budget authority (total) .....	13,799	13,599	11,949
1930 Total budgetary resources available .....	28,408	28,475	23,994
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-409		
1941 Unobligated balance carried forward, EOY .....	14,876	12,045	10,542

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	18,720	18,170	20,168
3010 Obligations incurred, unexpired accounts .....	13,123	16,430	13,452
3011 Obligations incurred, expired accounts .....	48		
3020 Outlays (gross) .....	-13,273	-14,432	-11,459
3040 Recoveries of prior year unpaid obligations, unexpired .....	-134		
3041 Recoveries of prior year unpaid obligations, expired .....	-314		
3050 Unpaid obligations, end of year .....	18,170	20,168	22,161
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	20	-26	-26
3070 Change in uncollected pymts, Fed sources, unexpired .....	-44		
3071 Change in uncollected pymts, Fed sources, expired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-26	-26	-26

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	18,740	18,144	20,142
3200	Obligated balance, end of year .....	18,144	20,142	22,135
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	13,799	13,599	11,949
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,196	1,463	1,348
4011	Outlays from discretionary balances .....	12,075	12,969	10,111
4020	Outlays, gross (total) .....	13,271	14,432	11,459
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-63	-550	-550
4033	Non-Federal sources .....	-13		
4040	Offsets against gross budget authority and outlays (total) ....	-76	-550	-550
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-44		
4052	Offsetting collections credited to expired accounts .....	10		
4060	Additional offsets against budget authority only (total) .....	-34		
4070	Budget authority, net (discretionary) .....	13,689	13,049	11,399
4080	Outlays, net (discretionary) .....	13,195	13,882	10,909
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	2		
4180	Budget authority, net (total) .....	13,689	13,049	11,399
4190	Outlays, net (total) .....	13,197	13,882	10,909

**Object Classification (in millions of dollars)**

Identification code 57-3010-0-1-051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	78	78	68
31.0	Equipment .....	12,999	15,766	12,834
99.0	Direct obligations .....	13,077	15,844	12,902
99.0	Reimbursable obligations .....	46	586	550
99.9	Total new obligations .....	13,123	16,430	13,452

**MISSILE PROCUREMENT, AIR FORCE**

*For construction, procurement, and modification of missiles, spacecraft, rockets, and related equipment, including spare parts and accessories therefor, ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, \$5,343,286,000, to remain available for obligation until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 57-3020-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Ballistic missiles .....	56	84	52
0002	Other missiles .....	731	548	731
0003	Modification of in-service missiles .....	162	201	85
0004	Spares and repair parts .....	70	46	62
0005	Other support .....	4,724	5,146	4,604
0799	Total direct obligations .....	5,743	6,025	5,534
0801	Reimbursable program .....	44	275	275
0900	Total new obligations .....	5,787	6,300	5,809

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2,678	2,676	2,596
1010	Unobligated balance transfer to other accts [97-9999] .....	-42		
1011	Unobligated balance transfer from other accts [97-9999] .....	69		
1021	Recoveries of prior year unpaid obligations .....	40		
1050	Unobligated balance (total) .....	2,745	2,676	2,596
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	6,122	6,139	5,343
1120	Appropriations transferred to other accts [97-9999] .....	-87		
1130	Appropriations permanently reduced .....	-13		
1131	Unobligated balance of appropriations permanently reduced .....	-198	-194	
1160	Appropriation, discretionary (total) .....	5,824	5,945	5,343
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	48	275	275
1701	Change in uncollected payments, Federal sources .....	-1		
1750	Spending auth from offsetting collections, disc (total) .....	47	275	275
1900	Budget authority (total) .....	5,871	6,220	5,618
1930	Total budgetary resources available .....	8,616	8,896	8,214
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-153		
1941	Unexpired unobligated balance, end of year .....	2,676	2,596	2,405

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6,587	7,640	8,614
3010	Obligations incurred, unexpired accounts .....	5,787	6,300	5,809
3011	Obligations incurred, expired accounts .....	29		
3020	Outlays (gross) .....	-4,611	-5,326	-5,448
3040	Recoveries of prior year unpaid obligations, unexpired .....	-40		
3041	Recoveries of prior year unpaid obligations, expired .....	-112		
3050	Unpaid obligations, end of year .....	7,640	8,614	8,975
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6,582	7,636	8,610
3200	Obligated balance, end of year .....	7,636	8,610	8,971

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	5,871	6,220	5,618
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,203	1,464	1,344
4011	Outlays from discretionary balances .....	3,403	3,862	4,104
4020	Outlays, gross (total) .....	4,606	5,326	5,448
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-28	-275	-275
4033	Non-Federal sources .....	-23		
4040	Offsets against gross budget authority and outlays (total) ....	-51	-275	-275
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4052	Offsetting collections credited to expired accounts .....	3		
4060	Additional offsets against budget authority only (total) .....	4		
4070	Budget authority, net (discretionary) .....	5,824	5,945	5,343
4080	Outlays, net (discretionary) .....	4,555	5,051	5,173
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	5		
4180	Budget authority, net (total) .....	5,824	5,945	5,343
4190	Outlays, net (total) .....	4,560	5,051	5,173

**Object Classification (in millions of dollars)**

Identification code 57-3020-0-1-051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	174	174	152
31.0	Equipment .....	5,569	5,850	5,382
99.0	Direct obligations .....	5,743	6,024	5,534
99.0	Reimbursable obligations .....	44	276	275

MISSILE PROCUREMENT, AIR FORCE—Continued  
Object Classification—Continued

Identification code 57-3020-0-1-051	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	5,787	6,300	5,809

PROCUREMENT OF AMMUNITION, AIR FORCE

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$759,442,000, to remain available for obligation until September 30, 2016, of which, \$24,625,000 shall be available for the Air National Guard and Air Force Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 57-3011-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ammunition .....	874	575	687
0002 Weapons .....	16	19	22
0799 Total direct obligations .....	890	594	709
0801 Reimbursable program .....	9	86	29
0900 Total new obligations .....	899	680	738
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	415	231	229
1011 Unobligated balance transfer from other accts [97-9999] .....	47		
1021 Recoveries of prior year unpaid obligations .....	20		
1050 Unobligated balance (total) .....	482	231	229
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	608	618	759
1160 Appropriation, discretionary (total) .....	608	618	759
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	38	60	29
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	42	60	29
1900 Budget authority (total) .....	650	678	788
1930 Total budgetary resources available .....	1,132	909	1,017
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	231	229	279
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,783	1,704	1,454
3010 Obligations incurred, unexpired accounts .....	899	680	738
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-949	-930	-787
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20		
3041 Recoveries of prior year unpaid obligations, expired .....	-11		
3050 Unpaid obligations, end of year .....	1,704	1,454	1,405
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090 Uncollected pymts, Fed sources, end of year .....	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,779	1,696	1,446
3200 Obligated balance, end of year .....	1,696	1,446	1,397

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	650	678	788
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	66	37
4011 Outlays from discretionary balances .....	938	864	750
4020 Outlays, gross (total) .....	949	930	787
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-38	-60	-29
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4070 Budget authority, net (discretionary) .....	608	618	759
4080 Outlays, net (discretionary) .....	911	870	758
4180 Budget authority, net (total) .....	608	618	759
4190 Outlays, net (total) .....	911	870	758

Object Classification (in millions of dollars)

Identification code 57-3011-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	3	3	2
31.0 Equipment .....	887	591	707
99.0 Direct obligations .....	890	594	709
99.0 Reimbursable obligations .....	9	86	29
99.9 Total new obligations .....	899	680	738

OTHER PROCUREMENT, AIR FORCE

For procurement and modification of equipment (including ground guidance and electronic control equipment, and ground electronic and communication equipment), and supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; lease of passenger motor vehicles; and expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon, prior to approval of title; reserve plant and Government and contractor-owned equipment layaway, \$16,760,581,000, to remain available for obligation until September 30, 2016, of which \$82,160,000 shall be available for the Air National Guard and Air Force Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 57-3080-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Vehicular equipment .....	259	209	107
0003 Electronics and telecommunications equipment .....	1,579	2,270	1,470
0004 Other base maintenance and support equipment .....	23,555	16,274	15,484
0005 Spare and repair parts .....	21	27	25
0799 Total direct obligations .....	25,414	18,780	17,086
0801 Reimbursable program .....	336	576	650
0900 Total new obligations .....	25,750	19,356	17,736
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7,985	3,024	4,464
1010 Unobligated balance transfer to other accts [97-9999] .....	-48		
1011 Unobligated balance transfer from other accts [97-9999] .....	27		
1021 Recoveries of prior year unpaid obligations .....	97		
1050 Unobligated balance (total) .....	8,061	3,024	4,464
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	20,492	20,324	16,761
1120 Appropriations transferred to other accts [97-9999] .....	-101		
1121 Appropriations transferred from other accts [97-9999] .....	52		
1130 Appropriations permanently reduced .....	-4		

1131	Unobligated balance of appropriations permanently reduced .....	-67	-53	.....
1160	Appropriation, discretionary (total) .....	20,372	20,271	16,761
1700	Spending authority from offsetting collections, discretionary: Collected .....	382	525	650
1701	Change in uncollected payments, Federal sources .....	19	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	401	525	650
1900	Budget authority (total) .....	20,773	20,796	17,411
1930	Total budgetary resources available .....	28,834	23,820	21,875
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-60	.....	.....
1941	Unobligated balance carried forward, EOY .....	3,024	4,464	4,139
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,937	8,304	7,710
3010	Obligations incurred, unexpired accounts .....	25,750	19,356	17,736
3011	Obligations incurred, expired accounts .....	37	.....	.....
3020	Outlays (gross) .....	-21,248	-19,950	-16,834
3040	Recoveries of prior year unpaid obligations, unexpired .....	-97	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-75	.....	.....
3050	Unpaid obligations, end of year .....	8,304	7,710	8,612
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	9	-5	-5
3070	Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	5	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3,946	8,299	7,705
3200	Obligated balance, end of year .....	8,299	7,705	8,607
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	20,773	20,796	17,411
Outlays, gross:				
4010	Outlays from new discretionary authority .....	13,098	12,688	9,873
4011	Outlays from discretionary balances .....	8,150	7,262	6,961
4020	Outlays, gross (total) .....	21,248	19,950	16,834
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-380	-525	-650
4033	Non-Federal sources .....	-24	.....	.....
4040	Offsets against gross budget authority and outlays (total) ...	-404	-525	-650
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
4052	Offsetting collections credited to expired accounts .....	22	.....	.....
4060	Additional offsets against budget authority only (total) .....	3	.....	.....
4070	Budget authority, net (discretionary) .....	20,372	20,271	16,761
4080	Outlays, net (discretionary) .....	20,844	19,425	16,184
4180	Budget authority, net (total) .....	20,372	20,271	16,761
4190	Outlays, net (total) .....	20,844	19,425	16,184

**Object Classification (in millions of dollars)**

Identification code 57-3080-0-1-051	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	180	180	162
31.0	Equipment .....	25,234	18,600	16,924
99.0	Direct obligations .....	25,414	18,780	17,086
99.0	Reimbursable obligations .....	336	576	650
99.9	Total new obligations .....	25,750	19,356	17,736

**PROCUREMENT, DEFENSE-WIDE**

For expenses of activities and agencies of the Department of Defense (other than the military departments) necessary for procurement, production, and modification of equipment, supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, equipment, and installation thereof in such plants, erection of structures, and acquisition of land for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-

owned equipment layaway, \$4,534,083,000, to remain available for obligation until September 30, 2016.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 97-0300-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Major equipment .....	3,292	2,217	2,692
0002	Special Operations Command .....	2,533	1,987	1,715
0003	Chemical/biological defense .....	354	186	247
0799	Total direct obligations .....	6,179	4,390	4,654
0801	Reimbursable program .....	380	548	515
0900	Total new obligations .....	6,559	4,938	5,169
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2,266	1,363	2,061
1010	Unobligated balance transfer to other accts [97-9999] .....	-444	.....	.....
1011	Unobligated balance transfer from other accts [97-9999] ...	427	.....	.....
1021	Recoveries of prior year unpaid obligations .....	279	.....	.....
1050	Unobligated balance (total) .....	2,528	1,363	2,061
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	5,314	5,134	4,534
1120	Appropriations transferred to other accts [97-9999] .....	-291	.....	.....
1121	Appropriations transferred from other accts [97-9999] ...	71	.....	.....
1131	Unobligated balance of appropriations permanently reduced .....	-5	-4	.....
1160	Appropriation, discretionary (total) .....	5,089	5,130	4,534
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	212	506	660
1701	Change in uncollected payments, Federal sources .....	191	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	403	506	660
1900	Budget authority (total) .....	5,492	5,636	5,194
1930	Total budgetary resources available .....	8,020	6,999	7,255
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-98	.....	.....
1941	Unexpired unobligated balance, end of year .....	1,363	2,061	2,086
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4,868	5,828	5,321
3010	Obligations incurred, unexpired accounts .....	6,559	4,938	5,169
3011	Obligations incurred, expired accounts .....	81	.....	.....
3020	Outlays (gross) .....	-5,239	-5,445	-5,441
3040	Recoveries of prior year unpaid obligations, unexpired .....	-279	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-162	.....	.....
3050	Unpaid obligations, end of year .....	5,828	5,321	5,049
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-284	-477	-477
3070	Change in uncollected pymts, Fed sources, unexpired .....	-191	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	-2	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-477	-477	-477
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4,584	5,351	4,844
3200	Obligated balance, end of year .....	5,351	4,844	4,572
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5,492	5,636	5,194
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,234	1,635	1,657
4011	Outlays from discretionary balances .....	4,005	3,810	3,784
4020	Outlays, gross (total) .....	5,239	5,445	5,441
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-214	-506	-660
4033	Non-Federal sources .....	-7	.....	.....
4040	Offsets against gross budget authority and outlays (total) ...	-221	-506	-660
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-191	.....	.....
4052	Offsetting collections credited to expired accounts .....	9	.....	.....

PROCUREMENT, DEFENSE-WIDE—Continued  
Program and Financing—Continued

Identification code 97-0300-0-1-051	2012 actual	2013 CR	2014 est.
4060 Additional offsets against budget authority only (total) .....	-182		
4070 Budget authority, net (discretionary) .....	5,089	5,130	4,534
4080 Outlays, net (discretionary) .....	5,018	4,939	4,781
4180 Budget authority, net (total) .....	5,089	5,130	4,534
4190 Outlays, net (total) .....	5,018	4,939	4,781

Object Classification (in millions of dollars)

Identification code 97-0300-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	2
25.1 Advisory and assistance services .....	78	59	50
25.2 Other services from non-Federal sources .....	107	23	13
25.3 Other goods and services from Federal sources .....	141	147	50
25.5 Research and development contracts .....	37	1	
25.7 Operation and maintenance of equipment .....	66	24	4
26.0 Supplies and materials .....	97	96	28
31.0 Equipment .....	5,605	3,999	4,482
41.0 Grants, subsidies, and contributions .....	42	36	23
99.0 Direct obligations .....	6,178	4,390	4,654
99.0 Reimbursable obligations .....	381	548	515
99.9 Total new obligations .....	6,559	4,938	5,169

NATIONAL GUARD AND RESERVE EQUIPMENT

Program and Financing (in millions of dollars)

Identification code 97-0350-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Reserve equipment .....	330	195	95
0002 National Guard equipment .....	858	147	76
0900 Total new obligations (object class 31.0) .....	1,188	342	171

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	519	513	171
1010 Unobligated balance transfer to other accts [97-9999] .....	-5		
1011 Unobligated balance transfer from other accts [97-9999] .....	13		
1021 Recoveries of prior year unpaid obligations .....	175		
1050 Unobligated balance (total) .....	702	513	171
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,000		
1160 Appropriation, discretionary (total) .....	1,000		
1930 Total budgetary resources available .....	1,702	513	171
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	513	171	

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,306	1,843	1,096
3010 Obligations incurred, unexpired accounts .....	1,188	342	171
3011 Obligations incurred, expired accounts .....	121		
3020 Outlays (gross) .....	-1,465	-1,089	-762
3040 Recoveries of prior year unpaid obligations, unexpired .....	-175		
3041 Recoveries of prior year unpaid obligations, expired .....	-132		
3050 Unpaid obligations, end of year .....	1,843	1,096	505
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,306	1,843	1,096
3200 Obligated balance, end of year .....	1,843	1,096	505

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,000		
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	19		

4011 Outlays from discretionary balances .....	1,446	1,089	762
4020 Outlays, gross (total) .....	1,465	1,089	762
4180 Budget authority, net (total) .....	1,000		
4190 Outlays, net (total) .....	1,465	1,089	762

DEFENSE PRODUCTION ACT PURCHASES

For activities by the Department of Defense pursuant to sections 108, 301, 302, and 303 of the Defense Production Act of 1950 (50 U.S.C. App. 2078, 2091, 2092, and 2093), \$25,135,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 97-0360-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Defense Production Act purchases .....	40	171	25
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	67	203	203
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	68	203	203
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	170	171	25
1121 Appropriations transferred from other accts [97-9999] .....	5		
1160 Appropriation, discretionary (total) .....	175	171	25
1930 Total budgetary resources available .....	243	374	228
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	203	203	203

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	106	69	128
3010 Obligations incurred, unexpired accounts .....	40	171	25
3020 Outlays (gross) .....	-76	-112	-97
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	69	128	56
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	106	69	128
3200 Obligated balance, end of year .....	69	128	56

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	175	171	25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3	68	10
4011 Outlays from discretionary balances .....	73	44	87
4020 Outlays, gross (total) .....	76	112	97
4180 Budget authority, net (total) .....	175	171	25
4190 Outlays, net (total) .....	76	112	97

The Defense Production Act (50 U.S.C. App. 2061, et seq.) authorizes the use of Federal funds to expedite and expand the supply of critical resources and services from the U.S. industrial base to support national defense and homeland security.

Object Classification (in millions of dollars)

Identification code 97-0360-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	5	2	5
25.3 Other goods and services from Federal sources .....	2	2	3
25.5 Research and development contracts .....	24	163	11
31.0 Equipment .....	9	4	6

99.9	Total new obligations .....	40	171	25
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**CHEMICAL AGENTS AND MUNITIONS DESTRUCTION, DEFENSE**

For expenses, not otherwise provided for, necessary for the destruction of the United States stockpile of lethal chemical agents and munitions in accordance with the provisions of section 1412 of the Department of Defense Authorization Act, 1986 (50 U.S.C. 1521), and for the destruction of other chemical warfare materials that are not in the chemical weapon stockpile, \$1,057,123,000, of which \$451,572,000 shall be for operation and maintenance, of which no less than \$51,217,000 shall be for the Chemical Stockpile Emergency Preparedness Program, consisting of \$21,489,000 for activities on military installations and \$29,728,000, to remain available until September 30, 2015, to assist State and local governments; \$1,368,000 shall be for procurement, to remain available until September 30, 2016, of which \$1,368,000 shall be for the Chemical Stockpile Emergency Preparedness Program to assist State and local governments; and \$604,183,000, to remain available until September 30, 2015, shall be for research, development, test and evaluation, of which \$584,264,000 shall only be for the Assembled Chemical Weapons Alternatives (ACWA) program.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97–0390–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....	1,049	636	452
0002 Research, development, test, and evaluation .....	411	884	613
0003 Procurement .....	18	1	1
0799 Total direct obligations .....	1,460	1,538	1,066
0801 Reimbursable program .....	25	10	10
0900 Total new obligations .....	1,460	1,563	1,076

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	17	4	30
1010 Unobligated balance transfer to other accts [97–9999] .....	–2		
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	19	4	30
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,554	1,564	1,057
1120 Appropriations transferred to other accts [97–9999] .....	–99		
1160 Appropriation, discretionary (total) .....	1,455	1,564	1,057
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		25	10
1750 Spending auth from offsetting collections, disc (total) .....		25	10
1900 Budget authority (total) .....	1,455	1,589	1,067
1930 Total budgetary resources available .....	1,474	1,593	1,097
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	–10		
1941 Unexpired unobligated balance, end of year .....	4	30	21

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,065	990	1,178
3010 Obligations incurred, unexpired accounts .....	1,460	1,563	1,076
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	–1,419	–1,375	–1,149
3040 Recoveries of prior year unpaid obligations, unexpired .....	–4		
3041 Recoveries of prior year unpaid obligations, expired .....	–115		
3050 Unpaid obligations, end of year .....	990	1,178	1,105
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–10	–10	–10
3090 Uncollected pymts, Fed sources, end of year .....	–10	–10	–10
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,055	980	1,168
3200 Obligated balance, end of year .....	980	1,168	1,095

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,455	1,589	1,067
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	553	651	433
4011 Outlays from discretionary balances .....	866	724	716
4020 Outlays, gross (total) .....	1,419	1,375	1,149
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....		–25	–10
4180 Budget authority, net (total) .....	1,455	1,564	1,057
4190 Outlays, net (total) .....	1,419	1,350	1,139

The Chemical Agents and Munitions Destruction, Defense account supports the Chemical Demilitarization Program, whose objective is to destroy the U.S. inventory of lethal chemical agents, munitions and related (non-stockpile) material, thus avoiding future risks and costs associated with their continued storage. The program supports the Chemical Weapons Convention initiatives to rid the world of chemical weapons.

**Object Classification** (in millions of dollars)

Identification code 97–0390–0–1–051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	1		
23.3 Communications, utilities, and miscellaneous charges .....		1	1
25.1 Advisory and assistance services .....	67	82	46
25.2 Other services from non-Federal sources .....		118	182
25.3 Other goods and services from Federal sources .....	177	289	229
25.3 Other goods and services from Federal sources .....		73	58
25.4 Operation and maintenance of facilities .....	821	795	407
25.5 Research and development contracts .....	388	146	116
25.7 Operation and maintenance of equipment .....		2	1
31.0 Equipment .....	1	28	23
32.0 Land and structures .....	1		
41.0 Grants, subsidies, and contributions .....	3	4	3
99.0 Direct obligations .....	1,459	1,538	1,066
99.0 Reimbursable obligations .....	1	25	10
99.9 Total new obligations .....	1,460	1,563	1,076

**JOINT URGENT OPERATIONAL NEEDS FUND**

(INCLUDING TRANSFER OF FUNDS)

For the "Joint Urgent Operational Needs Fund", \$98,800,000, to remain available until September 30, 2016: Provided, That such funds shall be available to the Secretary of Defense, with the advice of the Chairman of the Joint Chiefs of Staff, for the purpose of providing for Joint Urgent Operational Needs: Provided further, That the Secretary of Defense may transfer such funds to appropriations for operation and maintenance; procurement; and research, development, test, and evaluation: Provided further, That funds so transferred shall be merged with the account to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such funds may be transferred back to this appropriation: Provided further, That the transfer authority provided herein is in addition to any other transfer authority available to the Department of Defense.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97–0303–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Joint Urgent Operational Needs funds .....			99
0900 Total new obligations (object class 31.0) .....			99

**JOINT URGENT OPERATIONAL NEEDS FUND—Continued**  
**Program and Financing—Continued**

Identification code 97-0303-0-1-051	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			99
Appropriation .....			
1160			99
Appropriation, discretionary (total) .....			
1930			99
Total budgetary resources available .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			99
Obligations incurred, unexpired accounts .....			
3020			-32
Outlays (gross) .....			
3050			67
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3200			67
Obligated balance, end of year .....			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			99
Budget authority, gross .....			
Outlays, gross:			
4010			32
Outlays from new discretionary authority .....			
4180			99
Budget authority, net (total) .....			
4190			32
Outlays, net (total) .....			

**MINE RESISTANT AMBUSH PROTECTED VEHICLE FUND**

**Program and Financing (in millions of dollars)**

Identification code 97-0144-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001		648	
Mine resistant ambush protected vehicle program .....			
0900		648	
Total new obligations (object class 31.0) .....			
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	695	648	
Unobligated balance brought forward, Oct 1 .....			
1010	-695		
Unobligated balance transfer to other accts [97-9999] .....			
1050		648	
Unobligated balance (total) .....			
Budget authority:			
Appropriations, discretionary:			
1100	2,600		
Appropriation .....			
1120	-1,952		
Appropriations transferred to other accts [97-9999] .....			
1160	648		
Appropriation, discretionary (total) .....			
1930	648	648	
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1941	648		
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			648
Unpaid obligations, brought forward, Oct 1 .....			
3010		648	
Obligations incurred, unexpired accounts .....			
3050		648	648
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3100			648
Obligated balance, start of year .....			
3200		648	648
Obligated balance, end of year .....			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	648		
Budget authority, gross .....			
4180	648		
Budget authority, net (total) .....			

**ARMS INITIATIVE GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 21-4275-0-3-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742		1	
Downward reestimate paid to receipt account .....			
0900		1	
Total new obligations .....			
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000		1	
Unobligated balance brought forward, Oct 1 .....			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	1		
Collected .....			
1850	1		
Spending auth from offsetting collections, mand (total) .....			
1930	1	1	
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1941	1		
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			1
Unpaid obligations, brought forward, Oct 1 .....			
3010		1	
Obligations incurred, unexpired accounts .....			
3050		1	1
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3100			1
Obligated balance, start of year .....			
3200		1	1
Obligated balance, end of year .....			
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	1		
Financing authority, gross .....			
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-1		
Federal sources .....			
4190	-1		
Financing disbursements, net (total) .....			

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 21-4275-0-3-051	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210	2	2	2
Outstanding, start of year .....			
2251			
Repayments and prepayments .....			
2290	2	2	2
Outstanding, end of year .....			
Memorandum:			
2299	2	2	2
Guaranteed amount of guaranteed loans outstanding, end of year .....			

This program, first authorized in Public Law 102-484 (the 1992 Authorization Act), is to encourage commercial firms to use idle government owned, contractor-operated Army ammunition manufacturing facilities to ensure a viable industrial base for the manufacture of ammunition.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 21-4275-0-3-051	2011 actual	2012 actual
<b>ASSETS:</b>		
1101	2	1
Federal assets: Fund balances with Treasury .....		
1999	2	1
Total assets .....		
<b>LIABILITIES:</b>		
2204	2	1
Non-Federal liabilities: Liabilities for loan guarantees .....		

4999	Total liabilities and net position .....	2	1
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### RESEARCH, DEVELOPMENT, TEST, AND EVALUATION

Appropriations in this title support modernization through basic and applied research, fabrication of technology-demonstration devices, and development and testing of prototypes and full-scale preproduction hardware. This work is performed by contractors, government laboratories and facilities, universities, and nonprofit organizations. Research and development programs are funded to cover annual needs. Resources presented under the RDT&E title contribute primarily to achieving the Department's annual goals of transforming the force for new missions and reforming processes and organizations.

Funds for each fiscal year are available for obligation for a two-year period beginning on the first day of that fiscal year.

This year's Budget provides for major technology and development efforts. These include science and technology programs, development of weapons systems and supporting systems, including missile defense, and support of test and evaluation programs and necessary infrastructure. The Department continues to emphasize technology efforts that ensure that the Nation will maintain a technological advantage over potential adversaries.

DOD's request reflects the partnership between NNSA and DOD to modernize the nuclear deterrent. As in last year's Budget, DOD is carrying a separate account for the outyears that contains the amounts for NNSA's Weapons Activities and Naval Reactors. These funds, shown in the table below, underscore the close link between these activities and DOD nuclear requirements and missions. OMB will ensure that these future budget year allocations to NNSA occur in the required amounts.

#### Department of Defense Support for NNSA

(in millions of dollars)

	Future Funds from DOD for Weapons Activities	Future Funds from DOD for Naval Reactors
2014 .....	...	...
2015 .....	948	249
2016 .....	1130	314
2017 .....	1133	470
2018 .....	1271	393
Total .....	4482	1426

#### Federal Funds

##### RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, \$7,989,102,000, to remain available for obligation until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 21–2040–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Basic research .....	409	353	413
0002 Applied Research .....	912	769	844
0003 Advanced technology development .....	1,089	935	896
0004 Advanced component development and prototypes .....	702	536	569
0005 System development and demonstration .....	3,746	2,980	2,775

0006 Management support .....	1,412	999	1,060
0007 Operational system development .....	1,419	1,135	1,117
0799 Total direct obligations .....	9,689	7,707	7,674
0801 Reimbursable program .....	5,377	4,784	4,989
0900 Total new obligations .....	15,066	12,491	12,663

#### Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4,468	3,507	2,580
1010 Unobligated balance transfer to other accts [97–9999] .....	-57		
1021 Recoveries of prior year unpaid obligations .....	602		
1050 Unobligated balance (total) .....	5,013	3,507	2,580
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	8,774	8,815	7,989
1120 Appropriations transferred to other accts [97–9999] .....	-56		
1130 Appropriations permanently reduced .....	-14		
1131 Unobligated balance of appropriations permanently reduced .....	-357		
1160 Appropriation, discretionary (total) .....	8,347	8,815	7,989
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4,462	2,749	4,989
1701 Change in uncollected payments, Federal sources .....	860		
1750 Spending auth from offsetting collections, disc (total) .....	5,322	2,749	4,989
1900 Budget authority (total) .....	13,669	11,564	12,978
1930 Total budgetary resources available .....	18,682	15,071	15,558
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-109		
1941 Unobligated balance carried forward, EOY .....	3,507	2,580	2,895

#### Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,909	10,432	11,543
3010 Obligations incurred, unexpired accounts .....	15,066	12,491	12,663
3011 Obligations incurred, expired accounts .....	144		
3020 Outlays (gross) .....	-13,726	-11,380	-13,326
3040 Recoveries of prior year unpaid obligations, unexpired .....	-602		
3041 Recoveries of prior year unpaid obligations, expired .....	-359		
3050 Unpaid obligations, end of year .....	10,432	11,543	10,880
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3,955	-4,398	-4,398
3070 Change in uncollected pymts, Fed sources, unexpired .....	-860		
3071 Change in uncollected pymts, Fed sources, expired .....	417		
3090 Uncollected pymts, Fed sources, end of year .....	-4,398	-4,398	-4,398
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5,954	6,034	7,145
3200 Obligated balance, end of year .....	6,034	7,145	6,482

#### Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	13,669	11,564	12,978
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	5,315	5,835	7,546
4011 Outlays from discretionary balances .....	8,411	5,545	5,780
4020 Outlays, gross (total) .....	13,726	11,380	13,326
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-4,270	-2,749	-4,989
4033 Non-Federal sources .....	-525		
4040 Offsets against gross budget authority and outlays (total) .....	-4,795	-2,749	-4,989
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-860		
4052 Offsetting collections credited to expired accounts .....	333		
4060 Additional offsets against budget authority only (total) .....	-527		
4070 Budget authority, net (discretionary) .....	8,347	8,815	7,989
4080 Outlays, net (discretionary) .....	8,931	8,631	8,337
4180 Budget authority, net (total) .....	8,347	8,815	7,989
4190 Outlays, net (total) .....	8,931	8,631	8,337

#### Object Classification (in millions of dollars)

Identification code 21–2040–0–1–051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	850	901	863
11.3 Other than full-time permanent .....	5	28	34
11.5 Other personnel compensation .....	37	19	12

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY—Continued  
Object Classification—Continued

Identification code 21–2040–0–1–051	2012 actual	2013 CR	2014 est.	
11.9	Total personnel compensation	892	948	909
12.1	Civilian personnel benefits	236	234	242
13.0	Benefits for former personnel	5		
21.0	Travel and transportation of persons	68	60	56
22.0	Transportation of things	17	9	8
23.1	Rental payments to GSA	7	4	4
23.2	Rental payments to others	3	2	2
23.3	Communications, utilities, and miscellaneous charges	10	8	7
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	954	610	549
25.2	Other services from non-Federal sources	129	101	93
25.3	Other goods and services from Federal sources	821	758	701
25.3	Purchases from revolving funds	9	2	2
25.4	Operation and maintenance of facilities	27	12	11
25.5	Research and development contracts	5,242	3,663	3,891
25.7	Operation and maintenance of equipment	69	21	20
25.8	Subsistence and support of persons	1	1	1
26.0	Supplies and materials	127	379	350
31.0	Equipment	880	621	574
32.0	Land and structures	60	3	3
41.0	Grants, subsidies, and contributions	131	270	250
99.0	Direct obligations	9,689	7,707	7,674
99.0	Reimbursable obligations	5,377	4,784	4,989
99.9	Total new obligations	15,066	12,491	12,663

Employment Summary

Identification code 21–2040–0–1–051	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment	9,634	9,422	9,166
2001	Reimbursable civilian full-time equivalent employment	12,653	11,430	8,675

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, \$15,974,780,000, to remain available for obligation until September 30, 2015: Provided, That funds appropriated in this paragraph which are available for the V–22 may be used to meet unique operational requirements of the Special Operations Forces: Provided further, That funds appropriated in this paragraph shall be available for the Cobra Judy program.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 17–1319–0–1–051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Basic research	588	557	614
0002	Applied research	826	791	832
0003	Advanced technology development	687	701	594
0004	Advanced component development and prototypes	4,367	4,517	4,615
0005	System development and demonstration	6,764	6,757	5,149
0006	Management support	1,236	963	881
0007	Operational system development	4,307	4,085	3,459
0799	Total direct obligations	18,775	18,371	16,144
0801	Reimbursable program	166	276	465
0900	Total new obligations	18,941	18,647	16,609
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,569	2,331	1,813
1010	Unobligated balance transfer to other accts [97–9999]	–74		
1010	Unobligated balance transfer to other accts [11–5512]	–15		
1011	Unobligated balance transfer from other accts [97–9999]	34		
1021	Recoveries of prior year unpaid obligations	1,006		
1050	Unobligated balance (total)	3,520	2,331	1,813

Budget authority:

Identification code 17–1319–0–1–051	2012 actual	2013 CR	2014 est.	
<b>Appropriations, discretionary:</b>				
1100	Appropriation	17,808	17,908	15,975
1120	Appropriations transferred to other accts [97–9999]	–190		
1121	Appropriations transferred from other accts [97–9999]	120		
1130	Appropriations permanently reduced	–14		
1131	Unobligated balance of appropriations permanently reduced	–66		
1160	Appropriation, discretionary (total)	17,658	17,908	15,975
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected	160	221	465
1701	Change in uncollected payments, Federal sources	12		
1750	Spending auth from offsetting collections, disc (total)	172	221	465
1900	Budget authority (total)	17,830	18,129	16,440
1930	Total budgetary resources available	21,350	20,460	18,253
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring	–78		
1941	Unobligated balance carried forward, EOY	2,331	1,813	1,644
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1	9,504	10,271	11,911
3010	Obligations incurred, unexpired accounts	18,941	18,647	16,609
3011	Obligations incurred, expired accounts	34		
3020	Outlays (gross)	–16,995	–17,007	–15,547
3040	Recoveries of prior year unpaid obligations, unexpired	–1,006		
3041	Recoveries of prior year unpaid obligations, expired	–207		
3050	Unpaid obligations, end of year	10,271	11,911	12,973
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	–134	–118	–118
3070	Change in uncollected pymts, Fed sources, unexpired	–12		
3071	Change in uncollected pymts, Fed sources, expired	28		
3090	Uncollected pymts, Fed sources, end of year	–118	–118	–118
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year	9,370	10,153	11,793
3200	Obligated balance, end of year	10,153	11,793	12,855

Budget authority and outlays, net:

Identification code 17–1319–0–1–051	2012 actual	2013 CR	2014 est.	
<b>Discretionary:</b>				
4000	Budget authority, gross	17,830	18,129	16,440
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority	8,520	8,460	7,342
4011	Outlays from discretionary balances	8,475	8,547	8,205
4020	Outlays, gross (total)	16,995	17,007	15,547
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources	–166	–221	–465
4033	Non-Federal sources	–24		
4040	Offsets against gross budget authority and outlays (total)	–190	–221	–465
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired	–12		
4052	Offsetting collections credited to expired accounts	30		
4060	Additional offsets against budget authority only (total)	18		
4070	Budget authority, net (discretionary)	17,658	17,908	15,975
4080	Outlays, net (discretionary)	16,805	16,786	15,082
4180	Budget authority, net (total)	17,658	17,908	15,975
4190	Outlays, net (total)	16,805	16,786	15,082

Object Classification (in millions of dollars)

Identification code 17–1319–0–1–051	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent	51	58	64
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	53	60	66
12.1	Civilian personnel benefits	15	16	19
21.0	Travel and transportation of persons	37	35	30
22.0	Transportation of things	1	1	1
23.2	Rental payments to others	13	12	13
23.3	Communications, utilities, and miscellaneous charges	9	6	6
25.1	Advisory and assistance services	715	466	656
25.2	Other services from non-Federal sources	85	66	76
25.3	Other goods and services from Federal sources	1,136	594	436
25.3	Purchases from revolving funds	3,981	3,922	3,767
25.4	Operation and maintenance of facilities	5	2	4
25.5	Research and development contracts	11,270	12,157	8,794
25.7	Operation and maintenance of equipment	421	118	666

26.0	Supplies and materials .....	28	26	31
31.0	Equipment .....	543	348	1,099
32.0	Land and structures .....			23
41.0	Grants, subsidies, and contributions .....	463	542	457
99.0	Direct obligations .....	18,775	18,371	16,144
99.0	Reimbursable obligations .....	166	276	465
99.9	Total new obligations .....	18,941	18,647	16,609

**Employment Summary**

Identification code 17-1319-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	436	486	575
2001 Reimbursable civilian full-time equivalent employment .....	458	840	718

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE**

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, \$25,702,946,000, to remain available for obligation until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 57-3600-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Basic research .....	503	462	529
0002 Applied research .....	1,223	1,130	1,150
0003 Advanced technology development .....	685	587	622
0004 Advanced component development and prototypes .....	1,662	1,518	980
0005 System development and demonstration .....	3,523	4,129	4,891
0006 Management support .....	1,562	1,552	1,215
0007 Operational system development .....	18,570	17,006	16,591
0799 Total direct obligations .....	27,728	26,384	25,978
0801 Reimbursable program .....	3,136	6,970	5,752
0900 Total new obligations .....	30,864	33,354	31,730

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5,501	4,507	4,606
1010 Unobligated balance transfer to other accts [97-9999] .....	-96		
1011 Unobligated balance transfer from other accts [97-9999] .....	169		
1021 Recoveries of prior year unpaid obligations .....	275		
1050 Unobligated balance (total) .....	5,849	4,507	4,606
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	26,796	26,695	25,703
1120 Appropriations transferred to other accts [97-9999] .....	-259		
1120 Appropriations transferred to other accts [95-0401] .....	-8		
1121 Appropriations transferred from other accts [97-9999] .....	157		
1130 Appropriations permanently reduced .....	-56		
1131 Unobligated balance of appropriations permanently reduced .....	-258		
1160 Appropriation, discretionary (total) .....	26,372	26,695	25,703
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	2,854	6,758	5,752
1701 Change in uncollected payments, Federal sources .....	387		
1750 Spending auth from offsetting collections, disc (total) .....	3,241	6,758	5,752
1900 Budget authority (total) .....	29,613	33,453	31,455
1930 Total budgetary resources available .....	35,462	37,960	36,061
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-91		
1941 Unobligated balance carried forward, EOY .....	4,507	4,606	4,331

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	11,525	13,953	15,263
3010 Obligations incurred, unexpired accounts .....	30,864	33,354	31,730
3011 Obligations incurred, expired accounts .....	174		
3020 Outlays (gross) .....	-27,984	-32,044	-30,240
3040 Recoveries of prior year unpaid obligations, unexpired .....	-275		

3041 Recoveries of prior year unpaid obligations, expired .....	-351		
3050 Unpaid obligations, end of year .....	13,953	15,263	16,753
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-423	-689	-689
3070 Change in uncollected pymts, Fed sources, unexpired .....	-387		
3071 Change in uncollected pymts, Fed sources, expired .....	121		
3090 Uncollected pymts, Fed sources, end of year .....	-689	-689	-689
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	11,102	13,264	14,574
3200 Obligated balance, end of year .....	13,264	14,574	16,064

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	29,613	33,453	31,455
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	15,781	20,106	17,335
4011 Outlays from discretionary balances .....	12,203	11,938	12,905
4020 Outlays, gross (total) .....	27,984	32,044	30,240
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-2,871	-6,758	-5,752
4033 Non-Federal sources .....	-82		
4040 Offsets against gross budget authority and outlays (total) .....	-2,953	-6,758	-5,752
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-387		
4052 Offsetting collections credited to expired accounts .....	99		
4060 Additional offsets against budget authority only (total) .....	-288		
4070 Budget authority, net (discretionary) .....	26,372	26,695	25,703
4080 Outlays, net (discretionary) .....	25,031	25,286	24,488
4180 Budget authority, net (total) .....	26,372	26,695	25,703
4190 Outlays, net (total) .....	25,031	25,286	24,488

**Object Classification** (in millions of dollars)

Identification code 57-3600-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	681	651	745
11.3 Other than full-time permanent .....	28	27	31
11.5 Other personnel compensation .....	25	25	26
11.9 Total personnel compensation .....	734	703	802
12.1 Civilian personnel benefits .....	206	190	228
25.1 Advisory and assistance services .....	1,146	1,146	1,182
25.5 Research and development contracts .....	25,643	24,345	23,766
99.0 Direct obligations .....	27,729	26,384	25,978
99.0 Reimbursable obligations .....	3,135	6,970	5,752
99.9 Total new obligations .....	30,864	33,354	31,730

**Employment Summary**

Identification code 57-3600-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	8,247	8,897	8,846
2001 Reimbursable civilian full-time equivalent employment .....	1,586	1,466	1,586

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE**

For expenses of activities and agencies of the Department of Defense (other than the military departments), necessary for basic and applied scientific research, development, test and evaluation; advanced research projects as may be designated and determined by the Secretary of Defense, pursuant to law; maintenance, rehabilitation, lease, and operation of facilities and equipment, \$17,667,108,000, to remain available for obligation until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

RESEARCH, DEVELOPMENT, TEST AND EVALUATION,  
DEFENSE-WIDE—Continued

Program and Financing (in millions of dollars)

Identification code 97-0400-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Basic research .....	528	507	578
0002 Applied research .....	1,748	1,782	1,776
0003 Advanced technology development .....	3,102	2,861	3,078
0004 Advanced component development and prototypes .....	7,673	6,455	6,085
0005 System development and demonstration .....	767	876	742
0006 Management support .....	1,228	1,354	945
0007 Operational system development .....	5,541	4,900	4,736
0799 Total direct obligations .....	20,587	18,735	17,940
0801 Reimbursable program .....	1,422	1,971	2,406
0900 Total new obligations .....	22,009	20,706	20,346
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,550	2,657	3,336
1010 Unobligated balance transfer to other accts [97-9999] .....	-794		
1011 Unobligated balance transfer from other accts [97-9999] .....	774		
1021 Recoveries of prior year unpaid obligations .....	341		
1050 Unobligated balance (total) .....	3,871	2,657	3,336
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	19,388	19,391	17,667
1120 Appropriations transferred to other accts [97-9999] .....	-4		
1121 Appropriations transferred from other accts [97-9999] .....	371		
1130 Appropriations permanently reduced .....	-33		
1131 Unobligated balance of appropriations permanently reduced .....	-254		
1160 Appropriation, discretionary (total) .....	19,468	19,391	17,667
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,241	1,994	2,491
1701 Change in uncollected payments, Federal sources .....	158		
1750 Spending auth from offsetting collections, disc (total) .....	1,399	1,994	2,491
1900 Budget authority (total) .....	20,867	21,385	20,158
1930 Total budgetary resources available .....	24,738	24,042	23,494
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-72		
1941 Unexpired unobligated balance, end of year .....	2,657	3,336	3,148
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13,938	14,617	14,580
3010 Obligations incurred, unexpired accounts .....	22,009	20,706	20,346
3011 Obligations incurred, expired accounts .....	307		
3020 Outlays (gross) .....	-20,828	-20,743	-20,536
3040 Recoveries of prior year unpaid obligations, unexpired .....	-341		
3041 Recoveries of prior year unpaid obligations, expired .....	-468		
3050 Unpaid obligations, end of year .....	14,617	14,580	14,390
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,459	-1,474	-1,474
3070 Change in uncollected pymts, Fed sources, unexpired .....	-158		
3071 Change in uncollected pymts, Fed sources, expired .....	143		
3090 Uncollected pymts, Fed sources, end of year .....	-1,474	-1,474	-1,474
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12,479	13,143	13,106
3200 Obligated balance, end of year .....	13,143	13,106	12,916
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	20,867	21,385	20,158
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8,633	10,138	9,432
4011 Outlays from discretionary balances .....	12,195	10,605	11,104
4020 Outlays, gross (total) .....	20,828	20,743	20,536
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,369	-1,994	-2,491
4033 Non-Federal sources .....	-15		
4040 Offsets against gross budget authority and outlays (total) .....	-1,384	-1,994	-2,491
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-158		
4052 Offsetting collections credited to expired accounts .....	143		
4060 Additional offsets against budget authority only (total) .....	-15		

4070 Budget authority, net (discretionary) .....	19,468	19,391	17,667
4080 Outlays, net (discretionary) .....	19,444	18,749	18,045
4180 Budget authority, net (total) .....	19,468	19,391	17,667
4190 Outlays, net (total) .....	19,444	18,749	18,045

Object Classification (in millions of dollars)

Identification code 97-0400-0-1-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	553	358	405
11.3 Other than full-time permanent .....	2	3	2
11.5 Other personnel compensation .....	24	26	21
11.9 Total personnel compensation .....	579	387	428
12.1 Civilian personnel benefits .....	155	104	119
13.0 Benefits for former personnel .....	3		
21.0 Travel and transportation of persons .....	56	54	47
22.0 Transportation of things .....	20	24	17
23.1 Rental payments to GSA .....	24	35	25
23.2 Rental payments to others .....	9	27	21
23.3 Communications, utilities, and miscellaneous charges .....	94	79	98
25.1 Advisory and assistance services .....	3,171	2,496	2,163
25.2 Other services from non-Federal sources .....	183	194	217
25.3 Other goods and services from Federal sources .....	1,858	2,152	1,499
25.3 Other goods and services from Federal sources .....	169		168
25.4 Operation and maintenance of facilities .....	29	25	16
25.5 Research and development contracts .....	12,146	11,634	10,946
25.7 Operation and maintenance of equipment .....	280	136	172
25.8 Subsistence and support of persons .....	22		
26.0 Supplies and materials .....	273	51	239
31.0 Equipment .....	1,396	1,238	1,651
32.0 Land and structures .....	13	2	9
41.0 Grants, subsidies, and contributions .....	106	97	105
99.0 Direct obligations .....	20,586	18,735	17,940
99.0 Reimbursable obligations .....	1,423	1,971	2,406
99.9 Total new obligations .....	22,009	20,706	20,346

Employment Summary

Identification code 97-0400-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,119	3,266	3,483
2001 Reimbursable civilian full-time equivalent employment .....	284	338	377

OPERATIONAL TEST AND EVALUATION, DEFENSE

For expenses, not otherwise provided for, necessary for the independent activities of the Director, Operational Test and Evaluation, in the direction and supervision of operational test and evaluation, including initial operational test and evaluation which is conducted prior to, and in support of, production decisions; joint operational testing and evaluation; and administrative expenses in connection therewith, \$186,300,000, to remain available for obligation until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 97-0460-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0006 Management support .....	200	188	187
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	21	22
1010 Unobligated balance transfer to other accts [97-9999] .....	-13		
1011 Unobligated balance transfer from other accts [97-9999] .....	13		
1021 Recoveries of prior year unpaid obligations .....	24		
1050 Unobligated balance (total) .....	34	21	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	191	189	186

1130	Appropriations permanently reduced .....	-3		
1160	Appropriation, discretionary (total) .....	188	189	186
1930	Total budgetary resources available .....	222	210	208
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	21	22	21

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	94	83	90
3010	Obligations incurred, unexpired accounts .....	200	188	187
3020	Outlays (gross) .....	-185	-181	-181
3040	Recoveries of prior year unpaid obligations, unexpired .....	-24		
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	83	90	96
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	94	83	90
3200	Obligated balance, end of year .....	83	90	96

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	188	189	186
Outlays, gross:				
4010	Outlays from new discretionary authority .....	99	98	97
4011	Outlays from discretionary balances .....	86	83	84
4020	Outlays, gross (total) .....	185	181	181
4180	Budget authority, net (total) .....	188	189	186
4190	Outlays, net (total) .....	185	181	181

**Object Classification** (in millions of dollars)

Identification code 97-0460-0-1-051				
		2012 actual	2013 CR	2014 est.
Direct obligations:				
21.0	Travel and transportation of persons .....	3	3	3
25.1	Advisory and assistance services .....	47	47	45
25.3	Other goods and services from Federal sources .....	141	136	137
26.0	Supplies and materials .....	9	2	2
99.9	Total new obligations .....	200	188	187

**CONTRIBUTIONS FOR RENEWABLE ENERGY IMPACT ASSESSMENTS AND MITIGATION, DEFENSE**

Contributions of funds from applicants for renewable energy projects filed with the Secretary of Transportation pursuant to section 44718 of title 49, United States Code. Contributions are used to conduct studies of potential measures to mitigate adverse impacts of such projects on military operations and readiness. As applicable, contributions may also be reallocated to other DOD appropriations and used to offset the costs of measures undertaken by the Secretary of Defense to mitigate adverse impacts of approved projects on military operations and readiness.

**MILITARY CONSTRUCTION**

The Military Construction programs are intended to provide facilities required as a result of new weapon systems entering the inventory, including aircraft and naval vessels, and other high priority initiatives. The Program also continues initiatives to realign the global defense posture, improve living and working conditions, reduce operating costs, and increase productivity. The Program also supports energy conservation by upgrading or replacing facilities which have become functionally obsolete or can be made more efficient through relatively modest investments in improvements. Also included in this request are resources required to clean up and dispose of property consistent with the five closure rounds required by the Base Closure Acts of 1988 and 1990.

Resources presented under the Military Construction title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

**Federal Funds**

**MILITARY CONSTRUCTION, ARMY**

*For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Army as currently authorized by law, including personnel in the Army Corps of Engineers and other personal services necessary for the purposes of this appropriation, and for construction and operation of facilities in support of the functions of the Commander in Chief, \$1,119,875,000, to remain available until September 30, 2018: Provided, That of this amount, not to exceed \$74,575,000 shall be available for study, planning, design, architect and engineer services, and host nation support, as authorized by law, unless the Secretary of Army determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 21-2050-0-1-051		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Major construction .....	3,043	3,788	1,888
0002	Minor construction .....	44	35	36
0003	Planning .....	235	366	191
0004	Supporting activities .....	47	111	43
0799	Total direct obligations .....	3,369	4,300	2,158
0801	Reimbursable program .....	8,183	4,803	4,626
0900	Total new obligations .....	11,552	9,103	6,784
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6,287	6,493	4,725
1010	Unobligated balance transfer to other accts [97-9999] .....	-20		
1011	Unobligated balance transfer from other accts [97-9999] .....	82		
1021	Recoveries of prior year unpaid obligations .....	934		
1050	Unobligated balance (total) .....	7,283	6,493	4,725
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3,086	3,024	1,120
1120	Appropriations transferred to other accts [97-9999] .....	-18		
1121	Appropriations transferred from other accts [97-9999] .....	18		
1131	Unobligated balance of appropriations permanently reduced .....	-334	-103	
1160	Appropriation, discretionary (total) .....	2,752	2,921	1,120
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	7,903	4,414	4,826
1701	Change in uncollected payments, Federal sources .....	660		
1750	Spending auth from offsetting collections, disc (total) .....	8,563	4,414	4,826
1900	Budget authority (total) .....	11,315	7,335	5,946
1930	Total budgetary resources available .....	18,598	13,828	10,671
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-553		
1941	Unexpired unobligated balance, end of year .....	6,493	4,725	3,887

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	15,229	14,297	14,375
3010	Obligations incurred, unexpired accounts .....	11,552	9,103	6,784
3011	Obligations incurred, expired accounts .....	57		
3020	Outlays (gross) .....	-11,501	-9,025	-8,544
3040	Recoveries of prior year unpaid obligations, unexpired .....	-934		
3041	Recoveries of prior year unpaid obligations, expired .....	-106		
3050	Unpaid obligations, end of year .....	14,297	14,375	12,615
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11,167	-11,738	-11,738
3070	Change in uncollected pymts, Fed sources, unexpired .....	-660		

MILITARY CONSTRUCTION, ARMY—Continued  
Program and Financing—Continued

Identification code 21–2050–0–1–051	2012 actual	2013 CR	2014 est.
3071 Change in uncollected pymts, Fed sources, expired .....	89		
3090 Uncollected pymts, Fed sources, end of year .....	-11,738	-11,738	-11,738
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,062	2,559	2,637
3200 Obligated balance, end of year .....	2,559	2,637	877
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11,315	7,335	5,946
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,059	4,443	4,837
4011 Outlays from discretionary balances .....	10,442	4,582	3,707
4020 Outlays, gross (total) .....	11,501	9,025	8,544
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7,823	-4,414	-4,826
4033 Non-Federal sources .....	-126		
4040 Offsets against gross budget authority and outlays (total) ....	-7,949	-4,414	-4,826
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-660		
4052 Offsetting collections credited to expired accounts .....	46		
4060 Additional offsets against budget authority only (total) .....	-614		
4070 Budget authority, net (discretionary) .....	2,752	2,921	1,120
4080 Outlays, net (discretionary) .....	3,552	4,611	3,718
4180 Budget authority, net (total) .....	2,752	2,921	1,120
4190 Outlays, net (total) .....	3,552	4,611	3,718

Object Classification (in millions of dollars)

Identification code 21–2050–0–1–051	2012 actual	2013 CR	2014 est.
32.0 Direct obligations: Land and structures .....	3,370	4,300	2,158
99.0 Reimbursable obligations .....	8,182	4,803	4,626
99.9 Total new obligations .....	11,552	9,103	6,784

Employment Summary

Identification code 21–2050–0–1–051	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	7,365	6,630	5,380

MILITARY CONSTRUCTION, NAVY AND MARINE CORPS

For acquisition, construction, installation, and equipment of temporary or permanent public works, naval installations, facilities, and real property for the Navy and Marine Corps as currently authorized by law, including personnel in the Naval Facilities Engineering Command and other personal services necessary for the purposes of this appropriation, \$1,700,269,000, to remain available until September 30, 2018: Provided, That of this amount, not to exceed \$89,830,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 17–1205–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	2,730	2,220	1,829
0002 Minor construction .....	19	28	25
0003 Planning .....	110	64	71

0005 Major repair construction .....	1	2	1
0006 Minor maintenance construction .....	6	1	
0799 Total direct obligations .....	2,866	2,315	1,926
0801 Reimbursable program .....	1,739	566	548
0900 Total new obligations .....	4,605	2,881	2,474

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,282	2,157	1,894
1010 Unobligated balance transfer to other accts [97–9999] .....	-1		
1011 Unobligated balance transfer from other accts [97–9999] ....	6		
1021 Recoveries of prior year unpaid obligations .....	1,238	1	
1050 Unobligated balance (total) .....	3,525	2,158	1,894
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,303	2,126	1,700
1120 Appropriations transferred to other accts [97–9999] .....	-13		
1121 Appropriations transferred from other accts [97–9999] ....	13		
1131 Unobligated balance of appropriations permanently reduced .....	-25	-47	
1160 Appropriation, discretionary (total) .....	2,278	2,079	1,700
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,135	538	548
1701 Change in uncollected payments, Federal sources .....	-108		
1750 Spending auth from offsetting collections, disc (total) .....	1,027	538	548
1900 Budget authority (total) .....	3,305	2,617	2,248
1930 Total budgetary resources available .....	6,830	4,775	4,142
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-68		
1941 Unexpired unobligated balance, end of year .....	2,157	1,894	1,668

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,525	5,021	4,484
3010 Obligations incurred, unexpired accounts .....	4,605	2,881	2,474
3011 Obligations incurred, expired accounts .....	39		
3020 Outlays (gross) .....	-3,870	-3,417	-2,537
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,238	-1	
3041 Recoveries of prior year unpaid obligations, expired .....	-40		
3050 Unpaid obligations, end of year .....	5,021	4,484	4,421
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-649	-543	-543
3070 Change in uncollected pymts, Fed sources, unexpired .....	108		
3071 Change in uncollected pymts, Fed sources, expired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-543	-543	-543
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,876	4,478	3,941
3200 Obligated balance, end of year .....	4,478	3,941	3,878

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	3,305	2,617	2,248
Outlays, gross:			
4010 Outlays from new discretionary authority .....	452	580	582
4011 Outlays from discretionary balances .....	3,418	2,837	1,955
4020 Outlays, gross (total) .....	3,870	3,417	2,537
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-962	-538	-548
4033 Non-Federal sources .....	-177		
4040 Offsets against gross budget authority and outlays (total) ....	-1,139	-538	-548
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	108		
4052 Offsetting collections credited to expired accounts .....	4		
4060 Additional offsets against budget authority only (total) .....	112		
4070 Budget authority, net (discretionary) .....	2,278	2,079	1,700
4080 Outlays, net (discretionary) .....	2,731	2,879	1,989
4180 Budget authority, net (total) .....	2,278	2,079	1,700
4190 Outlays, net (total) .....	2,731	2,879	1,989

Object Classification (in millions of dollars)

Identification code 17–1205–0–1–051	2012 actual	2013 CR	2014 est.
32.0 Direct obligations: Land and structures .....	2,867	2,315	1,926
99.0 Reimbursable obligations .....	1,738	566	548

99.9	Total new obligations .....	4,605	2,881	2,474
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**MILITARY CONSTRUCTION, AIR FORCE**

For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Air Force as currently authorized by law, \$1,156,573,000, to remain available until September 30, 2018: Provided, That of this amount, not to exceed \$11,314,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of Air Force determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 57–3300–0–1–051		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Major construction .....	1,407	1,125	1,141
0002	Minor construction .....	53	43	29
0003	Planning .....	56	121	55
0799	Total direct obligations .....	1,516	1,289	1,225
0801	Reimbursable program .....	1	1	
0900	Total new obligations .....	1,517	1,290	1,225
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,443	848	752
1010	Unobligated balance transfer to other accts [97–9999] .....	-76		
1011	Unobligated balance transfer from other accts [97–9999] .....	23		
1021	Recoveries of prior year unpaid obligations .....	32		
1050	Unobligated balance (total) .....	1,422	848	752
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,227	1,234	1,157
1131	Unobligated balance of appropriations permanently reduced .....	-67	-41	
1160	Appropriation, discretionary (total) .....	1,160	1,193	1,157
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	13	1	
1701	Change in uncollected payments, Federal sources .....	1		
1750	Spending auth from offsetting collections, disc (total) .....	14	1	
1900	Budget authority (total) .....	1,174	1,194	1,157
1930	Total budgetary resources available .....	2,596	2,042	1,909
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-231		
1941	Unexpired unobligated balance, end of year .....	848	752	684
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,868	2,134	2,031
3010	Obligations incurred, unexpired accounts .....	1,517	1,290	1,225
3011	Obligations incurred, expired accounts .....	19		
3020	Outlays (gross) .....	-1,230	-1,393	-1,123
3040	Recoveries of prior year unpaid obligations, unexpired .....	-32		
3041	Recoveries of prior year unpaid obligations, expired .....	-8		
3050	Unpaid obligations, end of year .....	2,134	2,031	2,133
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,869	2,134	2,031
3200	Obligated balance, end of year .....	2,134	2,031	2,133
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,174	1,194	1,157
Outlays, gross:				
4010	Outlays from new discretionary authority .....	25	25	23
4011	Outlays from discretionary balances .....	1,205	1,368	1,100

4020	Outlays, gross (total) .....	1,230	1,393	1,123
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	
4033	Non-Federal sources .....	-12		
4040	Offsets against gross budget authority and outlays (total) ....	-13	-1	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070	Budget authority, net (discretionary) .....	1,160	1,193	1,157
4080	Outlays, net (discretionary) .....	1,217	1,392	1,123
4180	Budget authority, net (total) .....	1,160	1,193	1,157
4190	Outlays, net (total) .....	1,217	1,392	1,123

**Object Classification** (in millions of dollars)

Identification code 57–3300–0–1–051		2012 actual	2013 CR	2014 est.
32.0	Direct obligations: Land and structures (direct) .....	1,515	1,289	1,225
99.0	Reimbursable obligations .....	2	1	
99.9	Total new obligations .....	1,517	1,290	1,225

**MILITARY CONSTRUCTION, DEFENSE-WIDE**

(INCLUDING TRANSFER OF FUNDS)

For acquisition, construction, installation, and equipment of temporary or permanent public works, installations, facilities, and real property for activities and agencies of the Department of Defense (other than the military departments), as currently authorized by law, \$3,985,300,000, to remain available until September 30, 2018: Provided, That such amounts of this appropriation as may be determined by the Secretary of Defense may be transferred to such appropriations of the Department of Defense available for military construction or family housing as the Secretary may designate, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: Provided further, That of the amount appropriated, not to exceed \$237,838,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor: Provided further, That, of the amount appropriated, notwithstanding any other provision of law, \$38,513,000 shall be available for payments to the North Atlantic Treaty Organization for the planning, design, and construction of a new North Atlantic Treaty Organization headquarters.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97–0500–0–1–051		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Major construction .....	2,995	3,502	3,585
0002	Minor construction .....	31	37	42
0003	Planning .....	269	281	246
0900	Total new obligations (object class 32.0) .....	3,295	3,820	3,873
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3,120	3,236	2,705
1010	Unobligated balance transfer to other accts [97–9999] .....	-1,202	-3	
1011	Unobligated balance transfer from other accts [97–9999] ....	1,218	3	
1021	Recoveries of prior year unpaid obligations .....	175	7	
1050	Unobligated balance (total) .....	3,311	3,243	2,705
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3,432	3,452	3,985
1120	Appropriations transferred to other accts [97–9999] .....	-4		
1121	Appropriations transferred from other accts [97–9999] ....	4		
1131	Unobligated balance of appropriations permanently reduced .....	-132	-170	

**MILITARY CONSTRUCTION, DEFENSE-WIDE—Continued**  
**Program and Financing—Continued**

Identification code 97-0500-0-1-051	2012 actual	2013 CR	2014 est.
1160 Appropriation, discretionary (total) .....	3,300	3,282	3,985
1930 Total budgetary resources available .....	6,611	6,525	6,690
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-80		
1941 Unexpired unobligated balance, end of year .....	3,236	2,705	2,817
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,899	5,081	5,592
3010 Obligations incurred, unexpired accounts .....	3,295	3,820	3,873
3011 Obligations incurred, expired accounts .....	9		
3020 Outlays (gross) .....	-2,938	-3,302	-2,764
3040 Recoveries of prior year unpaid obligations, unexpired .....	-175	-7	
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	5,081	5,592	6,701
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	1	1	1
3090 Uncollected pymts, Fed sources, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4,900	5,082	5,593
3200 Obligated balance, end of year .....	5,082	5,593	6,702
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	3,300	3,282	3,985
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	100	66	80
4011 Outlays from discretionary balances .....	2,838	3,236	2,684
4020 Outlays, gross (total) .....	2,938	3,302	2,764
4180 Budget authority, net (total) .....	3,300	3,282	3,985
4190 Outlays, net (total) .....	2,938	3,302	2,764

**NORTH ATLANTIC TREATY ORGANIZATION SECURITY INVESTMENT PROGRAM**

*For the United States share of the cost of the North Atlantic Treaty Organization Security Investment Program for the acquisition and construction of military facilities and installations (including international military headquarters) and for related expenses for the collective defense of the North Atlantic Treaty Area as authorized by section 2806 of title 10, United States Code, and Military Construction Authorization Acts, \$239,700,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97-0804-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 NATO infrastructure .....	193	249	240
0801 Reimbursable program activity .....	20	20	
0900 Total new obligations .....	213	269	240
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	39	97	97
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	42	97	97
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	248	249	240
1160 Appropriation, discretionary (total) .....	248	249	240
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		20	
1701 Change in uncollected payments, Federal sources .....	20		
1750 Spending auth from offsetting collections, disc (total) .....	20	20	
1900 Budget authority (total) .....	268	269	240
1930 Total budgetary resources available .....	310	366	337

1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year .....	97	97	97
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	453	521	467
3010	Obligations incurred, unexpired accounts .....	213	269	240
3020	Outlays (gross) .....	-142	-323	-319
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050	Unpaid obligations, end of year .....	521	467	388
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-20	-20
3070	Change in uncollected pymts, Fed sources, unexpired .....	-20		
3090	Uncollected pymts, Fed sources, end of year .....	-20	-20	-20
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	453	501	447
3200	Obligated balance, end of year .....	501	447	368
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	268	269	240
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	20	108	96
4011	Outlays from discretionary balances .....	122	215	223
4020	Outlays, gross (total) .....	142	323	319
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....		-20	
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-20		
4070	Budget authority, net (discretionary) .....	248	249	240
4080	Outlays, net (discretionary) .....	142	303	319
4180	Budget authority, net (total) .....	248	249	240
4190	Outlays, net (total) .....	142	303	319

**Object Classification** (in millions of dollars)

Identification code 97-0804-0-1-051	2012 actual	2013 CR	2014 est.	
32.0	Direct obligations: Land and structures .....	193	249	240
99.0	Reimbursable obligations .....	20	20	
99.9	Total new obligations .....	213	269	240

**MILITARY CONSTRUCTION, ARMY NATIONAL GUARD**

*For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army National Guard, and contributions therefor, as authorized by law, \$320,815,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$29,005,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Army National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 21-2085-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Major construction .....	1,149	745	387
0002	Minor construction .....	11	12	12
0003	Planning .....	68	111	62
0900	Total new obligations (object class 32.0) .....	1,228	868	461
<b>Budgetary Resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	468	503	438

1021	Recoveries of prior year unpaid obligations .....	504		
1050	Unobligated balance (total) .....	972	503	438
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	774	803	321
1160	Appropriation, discretionary (total) .....	774	803	321
1930	Total budgetary resources available .....	1,746	1,306	759
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-15		
1941	Unexpired unobligated balance, end of year .....	503	438	298

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1,104	1,187	1,397
3010	Obligations incurred, unexpired accounts .....	1,228	868	461
3011	Obligations incurred, expired accounts .....	29		
3020	Outlays (gross) .....	-644	-658	-583
3040	Recoveries of prior year unpaid obligations, unexpired .....	-504		
3041	Recoveries of prior year unpaid obligations, expired .....	-26		
3050	Unpaid obligations, end of year .....	1,187	1,397	1,275
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,104	1,187	1,397
3200	Obligated balance, end of year .....	1,187	1,397	1,275

**Budget authority and outlays, net:**

	Discretionary:			
4000	Budget authority, gross .....	774	803	321
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	13	32	12
4011	Outlays from discretionary balances .....	631	626	571
4020	Outlays, gross (total) .....	644	658	583
4180	Budget authority, net (total) .....	774	803	321
4190	Outlays, net (total) .....	644	658	583

**MILITARY CONSTRUCTION, AIR NATIONAL GUARD**

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air National Guard, and contributions therefor, as authorized by law, \$119,800,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$13,400,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Air National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 57-3830-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	98	112	106
0002 Minor construction .....	22	28	13
0003 Planning .....	53	54	26
0900 Total new obligations (object class 32.0) .....	173	194	145
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	180	159	82
1021 Recoveries of prior year unpaid obligations .....	37		
1050 Unobligated balance (total) .....	217	159	82
Budget authority:			
Appropriations, discretionary:			
1100      Appropriation .....	116	117	120
1160      Appropriation, discretionary (total) .....	116	117	120
1930 Total budgetary resources available .....	333	276	202
Memorandum (non-add) entries:			
1940      Unobligated balance expiring .....	-1		
1941      Unobligated balance carried forward, EOY .....	159	82	57

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	316	250	189
3010	Obligations incurred, unexpired accounts .....	173	194	145
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-203	-255	-131
3040	Recoveries of prior year unpaid obligations, unexpired .....	-37		
3050	Unpaid obligations, end of year .....	250	189	203
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	316	250	189
3200	Obligated balance, end of year .....	250	189	203

**Budget authority and outlays, net:**

	Discretionary:			
4000	Budget authority, gross .....	116	117	120
	Outlays, gross:			
4010	Outlays from new discretionary authority .....		2	2
4011	Outlays from discretionary balances .....	203	253	129
4020	Outlays, gross (total) .....	203	255	131
4180	Budget authority, net (total) .....	116	117	120
4190	Outlays, net (total) .....	203	255	131

**MILITARY CONSTRUCTION, ARMY RESERVE**

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army Reserve as authorized by law, \$174,060,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$14,212,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Army Reserve determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 21-2086-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001 Major construction .....	341	254	184	
0002 Minor construction .....	4	6	3	
0003 Planning .....	28	23	17	
0900 Total new obligations (object class 32.0) .....	373	283	204	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000 Unobligated balance brought forward, Oct 1 .....	241	152	151	
1021 Recoveries of prior year unpaid obligations .....	3			
1050 Unobligated balance (total) .....	244	152	151	
Budget authority:				
Appropriations, discretionary:				
1100      Appropriation .....	281	282	174	
1160      Appropriation, discretionary (total) .....	281	282	174	
1930 Total budgetary resources available .....	525	434	325	
Memorandum (non-add) entries:				
1941      Unexpired unobligated balance, end of year .....	152	151	121	
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	454	482	426
3010	Obligations incurred, unexpired accounts .....	373	283	204
3020	Outlays (gross) .....	-342	-339	-223
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050	Unpaid obligations, end of year .....	482	426	407
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	454	482	426
3200	Obligated balance, end of year .....	482	426	407
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	281	282	174

MILITARY CONSTRUCTION, ARMY RESERVE—Continued  
Program and Financing—Continued

Identification code 21–2086–0–1–051	2012 actual	2013 CR	2014 est.
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	27	17	10
4011 Outlays from discretionary balances .....	315	322	213
4020 Outlays, gross (total) .....	342	339	223
4180 Budget authority, net (total) .....	281	282	174
4190 Outlays, net (total) .....	342	339	223

MILITARY CONSTRUCTION, NAVY RESERVE

*For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the reserve components of the Navy and Marine Corps as authorized by law, \$32,976,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$2,540,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 17–1235–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	77	40	38
0002 Minor construction .....	3	3	1
0003 Planning .....	1	3	3
0900 Total new obligations (object class 32.0) .....	78	46	42
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	38	46	26
1021 Recoveries of prior year unpaid obligations .....	60		
1050 Unobligated balance (total) .....	98	46	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	26	26	33
1160 Appropriation, discretionary (total) .....	26	26	33
1930 Total budgetary resources available .....	124	72	59
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	46	26	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	119	58	22
3010 Obligations incurred, unexpired accounts .....	78	46	42
3020 Outlays (gross) .....	-78	-82	-30
3040 Recoveries of prior year unpaid obligations, unexpired .....	-60		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	58	22	34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	119	58	22
3200 Obligated balance, end of year .....	58	22	34
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	26	26	33
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4011 Outlays from discretionary balances .....	77	81	29
4020 Outlays, gross (total) .....	78	82	30
4180 Budget authority, net (total) .....	26	26	33

4190 Outlays, net (total) .....	78	82	30
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MILITARY CONSTRUCTION, AIR FORCE RESERVE

*For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air Force Reserve as authorized by law, \$45,659,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$2,229,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Air Force Reserve determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 57–3730–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	33	27	39
0002 Minor construction .....	1	9	3
0003 Planning .....	2	5	3
0900 Total new obligations (object class 32.0) .....	36	41	45
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	26	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	34	34	46
1160 Appropriation, discretionary (total) .....	34	34	46
1930 Total budgetary resources available .....	62	60	65
Memorandum (non-add) entries:			
1941 Unobligated balance carried forward, EOY .....	26	19	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	38	44
3010 Obligations incurred, unexpired accounts .....	36	41	45
3020 Outlays (gross) .....	-59	-35	-32
3050 Unpaid obligations, end of year .....	38	44	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	61	38	44
3200 Obligated balance, end of year .....	38	44	57
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	34	34	46
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4011 Outlays from discretionary balances .....	59	34	31
4020 Outlays, gross (total) .....	59	35	32
4180 Budget authority, net (total) .....	34	34	46
4190 Outlays, net (total) .....	59	35	32

CHEMICAL DEMILITARIZATION CONSTRUCTION, DEFENSE-WIDE

*For expenses of construction, not otherwise provided for, necessary for the destruction of the United States stockpile of lethal chemical agents and munitions in accordance with section 1412 of the Department of Defense Authorization Act, 1986 (50 U.S.C. 1521), and for the destruction of other chemical warfare materials that are not in the chemical weapon stockpile, as currently authorized by law, \$122,536,000, to remain available until September 30, 2018, which shall be only for the Assembled Chemical Weapons Alternatives program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97-0391-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Chemical demilitarization construction, defense-wide .....	96	63	109
0900 Total new obligations (object class 32.0) .....	96	63	109
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	3	16
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	24	3	16
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	75	76	123
1160 Appropriation, discretionary (total) .....	75	76	123
1930 Total budgetary resources available .....	99	79	139
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	16	30

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	136	79	89
3010 Obligations incurred, unexpired accounts .....	96	63	109
3020 Outlays (gross) .....	-152	-53	-60
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	79	89	138
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	136	79	89
3200 Obligated balance, end of year .....	79	89	138

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	75	76	123
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	7	11
4011 Outlays from discretionary balances .....	145	46	49
4020 Outlays, gross (total) .....	152	53	60
4180 Budget authority, net (total) .....	75	76	123
4190 Outlays, net (total) .....	152	53	60

**DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT**

For deposit into the Department of Defense Base Closure Account 1990, established by section 2906(a)(1) of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note), as amended by section 2711 of the National Defense Authorization Act for Fiscal Year 2013, \$451,357,000, to remain available until expended.

**Program and Financing** (in millions of dollars)

Identification code 97-0516-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			451
<b>Budgetary Resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other accts [97-9999] ....			1,255
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			451
1160 Appropriation, discretionary (total) .....			451
1930 Total budgetary resources available .....			1,706
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1,255

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			451
3020 Outlays (gross) .....			-280
3050 Unpaid obligations, end of year .....			171

Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			171
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			451
Outlays, gross:			
4010 Outlays from new discretionary authority .....			280
4180 Budget authority, net (total) .....			451
4190 Outlays, net (total) .....			280

**Object Classification** (in millions of dollars)

Identification code 97-0516-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			263
25.4 Operation and maintenance of facilities .....			1
31.0 Equipment .....			20
32.0 Land and structures .....			164
41.0 Grants, subsidies, and contributions .....			2
99.9 Total new obligations .....			451

**DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 1990**

**Program and Financing** (in millions of dollars)

Identification code 97-0510-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Base Closure (II) .....	106	75	
0004 Base Closure (IV) .....	353	251	
0900 Total new obligations .....	459	326	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	212	137	141
1010 Unobligated balance transfer to other accts [97-9999] .....	-171		-137
1011 Unobligated balance transfer from other accts [97-9999] ....	171		
1021 Recoveries of prior year unpaid obligations .....	42		
1050 Unobligated balance (total) .....	254	137	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	324	326	
1160 Appropriation, discretionary (total) .....	324	326	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	14	4	
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	18	4	
1900 Budget authority (total) .....	342	330	
1930 Total budgetary resources available .....	596	467	4
Memorandum (non-add) entries:			
1941 Unobligated balance carried forward, EOY .....	137	141	4

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	702	705	607
3010 Obligations incurred, unexpired accounts .....	459	326	
3020 Outlays (gross) .....	-414	-424	-331
3040 Recoveries of prior year unpaid obligations, unexpired .....	-42		
3050 Unpaid obligations, end of year .....	705	607	276
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	16	12	12
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090 Uncollected pymts, Fed sources, end of year .....	12	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	718	717	619
3200 Obligated balance, end of year .....	717	619	288

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	342	330	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	41	119	
4011 Outlays from discretionary balances .....	373	305	331

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 1990—Continued  
Program and Financing—Continued

Identification code 97-0510-0-1-051	2012 actual	2013 CR	2014 est.
4020 Outlays, gross (total) .....	414	424	331
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-4	
4033 Non-Federal sources .....	-14		
4040 Offsets against gross budget authority and outlays (total) ....	-14	-4	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4070 Budget authority, net (discretionary) .....	324	326	
4080 Outlays, net (discretionary) .....	400	420	331
4180 Budget authority, net (total) .....	324	326	
4190 Outlays, net (total) .....	400	420	331

Object Classification (in millions of dollars)

Identification code 97-0510-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	2		
25.2 Other services from non-Federal sources .....		1	
25.3 Other goods and services from Federal sources .....	169	150	
25.3 Purchases from revolving funds .....	14	6	
25.4 Operation and maintenance of facilities .....	11	9	
31.0 Equipment .....		15	
32.0 Land and structures .....	260	144	
41.0 Grants, subsidies, and contributions .....	2	1	
99.9 Total new obligations .....	459	326	

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 2005

Program and Financing (in millions of dollars)

Identification code 97-0512-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 BRAC 2005 .....	660	14	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,641	1,118	1,118
1010 Unobligated balance transfer to other accts [97-9999] .....	-730		-1,118
1011 Unobligated balance transfer from other accts [97-9999] ....	226		
1021 Recoveries of prior year unpaid obligations .....	573		
1050 Unobligated balance (total) .....	1,710	1,118	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	259	259	
1131 Unobligated balance of appropriations permanently reduced .....	-259	-259	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	54	14	
1701 Change in uncollected payments, Federal sources .....	14		
1750 Spending auth from offsetting collections, disc (total) .....	68	14	
1900 Budget authority (total) .....	68	14	
1930 Total budgetary resources available .....	1,778	1,132	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,118	1,118	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,564	1,502	656
3010 Obligations incurred, unexpired accounts .....	660	14	
3020 Outlays (gross) .....	-2,149	-860	-575
3040 Recoveries of prior year unpaid obligations, unexpired .....	-573		
3050 Unpaid obligations, end of year .....	1,502	656	81
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	13	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-14		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,577	1,501	655

3200 Obligated balance, end of year .....	1,501	655	80
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	68	14	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	17	4	
4011 Outlays from discretionary balances .....	2,132	856	575
4020 Outlays, gross (total) .....	2,149	860	575
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-51	-14	
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) ....	-54	-14	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-14		
4080 Outlays, net (discretionary) .....	2,095	846	575
4190 Outlays, net (total) .....	2,095	846	575

Object Classification (in millions of dollars)

Identification code 97-0512-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	518		
32.0 Land and structures .....	142	14	
99.9 Total new obligations .....	660	14	

FOREIGN CURRENCY FLUCTUATIONS, CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 97-0803-0-1-051	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1010 Unobligated balance transfer to other accts [97-9999] .....	-335		
1011 Unobligated balance transfer from other accts [97-9999] ....	53		
1012 Expired unobligated balance transfer to unexpired accounts (+) .....	282		
1050 Unobligated balance (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

FAMILY HOUSING

These appropriations finance all costs associated with construction, improvements, operations, maintenance, utilities, privatization, and leasing of all military family housing. In addition to quality of life enhancements, the program contains initiatives to reduce operating costs and conserve energy by upgrading or replacing facilities which can be made more efficient through relatively modest investments in improvements.

The Family Housing Improvement Fund (FHIF) was created to finance the use of innovative methods authorized in the National Defense Authorization Act for Fiscal Year 1996 (Public Law 104-106), under the Military Housing Privatization Initiative (MHPI) to meet the Department's housing needs. The MHPI authorities allow the Department to use limited partnerships, make direct and guaranteed loans, provide equity investments, and convey Department-owned property to stimulate the private sector to increase the availability of affordable, quality housing for military personnel. The funds required to privatize military family housing are transferred from the military departments' family housing construction accounts into the Family Housing Improvement Fund.

Resources presented under the Family Housing title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

**Federal Funds**

**FAMILY HOUSING CONSTRUCTION, ARMY**

For expenses of family housing for the Army for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, \$44,008,000, to remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 21–0720–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Construction of new housing .....	83	99	40
0003 Construction Improvements .....	43	118	13
0004 Planning and design .....	3	19	14
0900 Total new obligations (object class 32.0) .....	129	236	67
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	131	137	79
1010 Unobligated balance transfer to other accts [97–9999] .....	-62		
1011 Unobligated balance transfer from other accts [97–9999] .....	1		
1021 Recoveries of prior year unpaid obligations .....	19		
1050 Unobligated balance (total) .....	89	137	79
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	177	178	44
1160 Appropriation, discretionary (total) .....	177	178	44
1930 Total budgetary resources available .....	266	315	123
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	137	79	56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	248	196	110
3010 Obligations incurred, unexpired accounts .....	129	236	67
3020 Outlays (gross) .....	-162	-322	-75
3040 Recoveries of prior year unpaid obligations, unexpired .....	-19		
3050 Unpaid obligations, end of year .....	196	110	102
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	248	196	110
3200 Obligated balance, end of year .....	196	110	102
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	177	178	44
Outlays, gross:			
4010 Outlays from new discretionary authority .....		9	2
4011 Outlays from discretionary balances .....	162	313	73
4020 Outlays, gross (total) .....	162	322	75
4180 Budget authority, net (total) .....	177	178	44
4190 Outlays, net (total) .....	162	322	75

**FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY**

For expenses of family housing for the Army for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, \$512,871,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 21–0725–0–1–051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 Utilities .....	85	74	97
0006 Operation .....	85	86	102
0007 Leasing .....	196	206	181
0008 Maintenance .....	101	105	107
0012 Housing privatization support .....	23	26	26
0799 Total direct obligations .....	490	497	513
0801 Reimbursable program .....	5	15	15
0900 Total new obligations .....	495	512	528
<b>Budgetary Resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other accts [97–9999] .....	7		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	493	497	513
1160 Appropriation, discretionary (total) .....	493	497	513
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	15	15
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	6	15	15
1900 Budget authority (total) .....	499	512	528
1930 Total budgetary resources available .....	506	512	528
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-11		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	310	322	309
3010 Obligations incurred, unexpired accounts .....	495	512	528
3011 Obligations incurred, expired accounts .....	119		
3020 Outlays (gross) .....	-454	-525	-594
3041 Recoveries of prior year unpaid obligations, expired .....	-148		
3050 Unpaid obligations, end of year .....	322	309	243
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	310	318	305
3200 Obligated balance, end of year .....	318	305	239
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	499	512	528
Outlays, gross:			
4010 Outlays from new discretionary authority .....	289	363	374
4011 Outlays from discretionary balances .....	165	162	220
4020 Outlays, gross (total) .....	454	525	594
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-15	-15
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-2	-15	-15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4070 Budget authority, net (discretionary) .....	493	497	513
4080 Outlays, net (discretionary) .....	452	510	579
4180 Budget authority, net (total) .....	493	497	513
4190 Outlays, net (total) .....	452	510	579

**Object Classification** (in millions of dollars)

Identification code 21–0725–0–1–051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	22	19	18
11.3 Other than full-time permanent .....		8	13
11.5 Other personnel compensation .....	1	1	
11.9 Total personnel compensation .....	23	28	31
12.1 Civilian personnel benefits .....	7	9	10
21.0 Travel and transportation of persons .....	2	1	3
22.0 Transportation of things .....	6	3	4

**FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY—Continued**  
**Object Classification—Continued**

Identification code 21-0725-0-1-051	2012 actual	2013 CR	2014 est.
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	129	70	70
23.3 Communications, utilities, and miscellaneous charges .....	101	51	68
25.1 Advisory and assistance services .....	2	14	1
25.2 Other services from non-Federal sources .....	27	12	7
25.3 Other goods and services from Federal sources .....	28	89	106
25.3 Payments to foreign national indirect hire personnel .....	21	15	12
25.3 Other goods and services from Federal sources .....	4	69	72
25.4 Operation and maintenance of facilities .....	90	96	95
25.7 Operation and maintenance of equipment .....	12	6	10
26.0 Supplies and materials .....	13	26	7
31.0 Equipment .....	12	8	16
32.0 Land and structures .....	12	.....	.....
99.0 Direct obligations .....	490	498	513
99.0 Reimbursable obligations .....	5	14	15
99.9 Total new obligations .....	495	512	528

**Employment Summary**

Identification code 21-0725-0-1-051	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	404	458	484

**FAMILY HOUSING CONSTRUCTION, NAVY AND MARINE CORPS**

*For expenses of family housing for the Navy and Marine Corps for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, \$73,407,000, to remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17-0730-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Construction of new housing .....	38	17	1
0003 Construction Improvements .....	89	144	101
0004 Planning and design .....	2	7	6
0900 Total new obligations (object class 32.0) .....	129	168	108
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	258	249	77
1010 Unobligated balance transfer to other accts [97-9999] .....	-2	-106	.....
1011 Unobligated balance transfer from other accts [97-9999] .....	18	.....	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	277	143	77
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	101	102	73
1160 Appropriation, discretionary (total) .....	101	102	73
1930 Total budgetary resources available .....	378	245	150
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	249	77	42
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	133	167	125
3010 Obligations incurred, unexpired accounts .....	129	168	108
3011 Obligations incurred, expired accounts .....	7	.....	.....
3020 Outlays (gross) .....	-98	-210	-87
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	167	125	146
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	133	167	125

3200 Obligated balance, end of year .....	167	125	146
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	101	102	73
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	6	4
4011 Outlays from discretionary balances .....	98	204	83
4020 Outlays, gross (total) .....	98	210	87
4180 Budget authority, net (total) .....	101	102	73
4190 Outlays, net (total) .....	98	210	87

**FAMILY HOUSING OPERATION AND MAINTENANCE, NAVY AND MARINE CORPS**

*For expenses of family housing for the Navy and Marine Corps for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, \$389,844,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17-0735-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 Utilities .....	70	70	94
0006 Operation .....	82	93	103
0007 Leasing .....	76	80	75
0008 Maintenance .....	121	98	90
0012 Housing privatization support .....	26	29	28
0799 Total direct obligations .....	375	370	390
0801 Reimbursable program .....	6	14	18
0900 Total new obligations .....	381	384	408
<b>Budgetary Resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other accts [97-9999] .....	18	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	368	370	390
1160 Appropriation, discretionary (total) .....	368	370	390
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	12	14	18
1701 Change in uncollected payments, Federal sources .....	-6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	6	14	18
1900 Budget authority (total) .....	374	384	408
1930 Total budgetary resources available .....	392	384	408
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-11	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	178	169	166
3010 Obligations incurred, unexpired accounts .....	381	384	408
3011 Obligations incurred, expired accounts .....	38	.....	.....
3020 Outlays (gross) .....	-382	-387	-393
3041 Recoveries of prior year unpaid obligations, expired .....	-46	.....	.....
3050 Unpaid obligations, end of year .....	169	166	181
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	18	14	14
3070 Change in uncollected pymts, Fed sources, unexpired .....	6	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	-10	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	14	14	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	196	183	180
3200 Obligated balance, end of year .....	183	180	195
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	374	384	408
Outlays, gross:			
4010 Outlays from new discretionary authority .....	247	256	273

4011	Outlays from discretionary balances .....	135	131	120
4020	Outlays, gross (total) .....	382	387	393
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-1	-14	-18
4033	Non-Federal sources .....	-31		
4040	Offsets against gross budget authority and outlays (total) ...	-32	-14	-18
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	6		
4052	Offsetting collections credited to expired accounts .....	20		
4060	Additional offsets against budget authority only (total) .....	26		
4070	Budget authority, net (discretionary) .....	368	370	390
4080	Outlays, net (discretionary) .....	350	373	375
4180	Budget authority, net (total) .....	368	370	390
4190	Outlays, net (total) .....	350	373	375

**Object Classification** (in millions of dollars)

Identification code 17-0735-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	30	30	29
11.3	Other than full-time permanent .....	5	4	6
11.5	Other personnel compensation .....	1	2	1
11.9	Total personnel compensation .....	36	36	36
12.1	Civilian personnel benefits .....	11	11	12
21.0	Travel and transportation of persons .....	1	2	1
22.0	Transportation of things .....	1	3	3
23.2	Rental payments to others .....	46	50	46
23.3	Communications, utilities, and miscellaneous charges .....	9	8	6
25.1	Advisory and assistance services .....	3	4	3
25.2	Other services from non-Federal sources .....	12		
25.3	Other goods and services from Federal sources .....	49	50	55
25.3	Other goods and services from Federal sources .....	2	3	3
25.3	Other goods and services from Federal sources .....	75	73	97
25.4	Operation and maintenance of facilities .....	118	120	116
25.7	Operation and maintenance of equipment .....	3	3	4
26.0	Supplies and materials .....	5	4	4
31.0	Equipment .....	4	3	4
99.0	Direct obligations .....	375	370	390
99.0	Reimbursable obligations .....	6	14	18
99.9	Total new obligations .....	381	384	408

**Employment Summary**

Identification code 17-0735-0-1-051	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	566	594	592
2001	Reimbursable civilian full-time equivalent employment .....		4	

**FAMILY HOUSING CONSTRUCTION, AIR FORCE**

For expenses of family housing for the Air Force for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, \$76,360,000, to remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 57-0740-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0003	Construction Improvements .....	197	280	98
0004	Planning and design .....	6	13	6
0799	Total direct obligations .....	203	293	104
0801	Reimbursable program activity .....	2	1	
0900	Total new obligations .....	205	294	104

**Budgetary Resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	373	385	113
1010	Unobligated balance transfer to other accts [97-9999] .....	-27	-40	
1011	Unobligated balance transfer from other accts [97-9999] ...	209		
1050	Unobligated balance (total) .....	555	345	113
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	60	60	76
1160	Appropriation, discretionary (total) .....	60	60	76
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....		2	
1701	Change in uncollected payments, Federal sources .....	2		
1750	Spending auth from offsetting collections, disc (total) .....	2	2	
1900	Budget authority (total) .....	62	62	76
1930	Total budgetary resources available .....	617	407	189
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring .....	-27		
1941	Unexpired unobligated balance, end of year .....	385	113	85

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	597	381	377
3010	Obligations incurred, unexpired accounts .....	205	294	104
3011	Obligations incurred, expired accounts .....	4		
3020	Outlays (gross) .....	-421	-298	-198
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	381	377	283
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	2		
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2		
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	599	381	377
3200	Obligated balance, end of year .....	381	377	283

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	62	62	76
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....		6	8
4011	Outlays from discretionary balances .....	421	292	190
4020	Outlays, gross (total) .....	421	298	198
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....		-2	
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070	Budget authority, net (discretionary) .....	60	60	76
4080	Outlays, net (discretionary) .....	421	296	198
4180	Budget authority, net (total) .....	60	60	76
4190	Outlays, net (total) .....	421	296	198

**Object Classification** (in millions of dollars)

Identification code 57-0740-0-1-051	2012 actual	2013 CR	2014 est.	
32.0	Direct obligations: Land and structures .....	203	293	104
99.0	Reimbursable obligations .....	2	1	
99.9	Total new obligations .....	205	294	104

**FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE**

For expenses of family housing for the Air Force for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, \$388,598,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 57-0745-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 Utilities .....	88	77	71
0006 Operation .....	101	111	111
0007 Leasing .....	58	81	55
0008 Maintenance .....	149	115	111
0012 Housing privatization support .....	44	48	41
0799 Total direct obligations .....	440	432	389
0801 Reimbursable program .....	5	6	6
0900 Total new obligations .....	445	438	395
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2	2
1011 Unobligated balance transfer from other accts [97-9999] .....	25		
1050 Unobligated balance (total) .....	25	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	430	432	389
1160 Appropriation, discretionary (total) .....	430	432	389
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	6	6
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	6	6	6
1900 Budget authority (total) .....	436	438	395
1930 Total budgetary resources available .....	461	440	397
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-14		
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	244	186	221
3010 Obligations incurred, unexpired accounts .....	445	438	395
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-477	-403	-411
3041 Recoveries of prior year unpaid obligations, expired .....	-30		
3050 Unpaid obligations, end of year .....	186	221	205
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	243	185	220
3200 Obligated balance, end of year .....	185	220	204
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	436	438	395
Outlays, gross:			
4010 Outlays from new discretionary authority .....	300	278	251
4011 Outlays from discretionary balances .....	177	125	160
4020 Outlays, gross (total) .....	477	403	411
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-6	-6
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) .....	-6	-6	-6
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	430	432	389
4080 Outlays, net (discretionary) .....	471	397	405
4180 Budget authority, net (total) .....	430	432	389
4190 Outlays, net (total) .....	471	397	405

**Object Classification** (in millions of dollars)

Identification code 57-0745-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
23.2 Rental payments to others .....	172	167	173
23.3 Communications, utilities, and miscellaneous charges .....	88	76	71

25.4	Operation and maintenance of facilities .....	178	187	143
26.0	Supplies and materials .....	2	2	2
99.0	Direct obligations .....	440	432	389
99.0	Reimbursable obligations .....	5	6	6
99.9	Total new obligations .....	445	438	395

**FAMILY HOUSING OPERATION AND MAINTENANCE, DEFENSE-WIDE**

*For expenses of family housing for the activities and agencies of the Department of Defense (other than the military departments) for operation and maintenance, leasing, and minor construction, as authorized by law, \$55,845,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97-0765-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0006 Operation .....	3	5	4
0007 Leasing .....	46	45	52
0008 Maintenance .....	1	1	
0799 Total direct obligations .....	50	51	56
0801 Reimbursable program .....		4	
0900 Total new obligations .....	50	55	56
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	51	51	56
1160 Appropriation, discretionary (total) .....	51	51	56
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		4	
1750 Spending auth from offsetting collections, disc (total) .....		4	
1900 Budget authority (total) .....	51	55	56
1930 Total budgetary resources available .....	51	56	57
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	16	19
3010 Obligations incurred, unexpired accounts .....	50	55	56
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-39	-52	-55
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	16	19	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-3	-3
3071 Change in uncollected pymts, Fed sources, expired .....	-3		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	13	16
3200 Obligated balance, end of year .....	13	16	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	51	55	56
Outlays, gross:			
4010 Outlays from new discretionary authority .....	39	45	45
4011 Outlays from discretionary balances .....		7	10
4020 Outlays, gross (total) .....	39	52	55
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	3	-4	
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	-3		
4070 Budget authority, net (discretionary) .....	51	51	56
4080 Outlays, net (discretionary) .....	42	48	55

4180	Budget authority, net (total) .....	51	51	56
4190	Outlays, net (total) .....	42	48	55

**Object Classification** (in millions of dollars)

Identification code 97-0765-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
23.2	Rental payments to others .....	37	34	7
23.3	Communications, utilities, and miscellaneous charges .....	5	2	4
25.2	Other services from non-Federal sources .....	3	2	1
25.3	Other goods and services from Federal sources .....	2	10	41
25.4	Operation and maintenance of facilities .....	1	1	3
26.0	Supplies and materials .....	2	1	.....
31.0	Equipment .....	.....	1	.....
99.0	Direct obligations .....	50	51	56
99.0	Reimbursable obligations .....	.....	4	.....
99.9	Total new obligations .....	50	55	56

**HOMEOWNERS ASSISTANCE FUND**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97-4090-0-3-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Reimbursable program activity .....	631	1	.....
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	154	233	233
1011	Unobligated balance transfer from other accts [97-9999] ....	507	.....	.....
1021	Recoveries of prior year unpaid obligations .....	18	.....	.....
1050	Unobligated balance (total) .....	679	233	233
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1	1	.....
1160	Appropriation, discretionary (total) .....	1	1	.....
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	184	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	184	.....	.....
1900	Budget authority (total) .....	185	1	.....
1930	Total budgetary resources available .....	864	234	233
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	233	233	233
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	38	246	12
3010	Obligations incurred, unexpired accounts .....	631	1	.....
3020	Outlays (gross) .....	-405	-235	-12
3040	Recoveries of prior year unpaid obligations, unexpired .....	-18	.....	.....
3050	Unpaid obligations, end of year .....	246	12	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	38	246	12
3200	Obligated balance, end of year .....	246	12	.....
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	185	1	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	1	.....
4011	Outlays from discretionary balances .....	404	234	12
4020	Outlays, gross (total) .....	405	235	12
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-184	.....	.....
4180	Budget authority, net (total) .....	1	1	.....
4190	Outlays, net (total) .....	221	235	12

The Homeowners Assistance Fund finances a program which provides assistance to eligible homeowners by mitigating losses incident to the disposal of a primary residence.

**Object Classification** (in millions of dollars)

Identification code 97-4090-0-3-051	2012 actual	2013 CR	2014 est.	
<b>Reimbursable obligations:</b>				
26.0	Supplies and materials .....	58	1	.....
32.0	Land and structures .....	573	.....	.....
99.9	Total new obligations .....	631	1	.....

**DEPARTMENT OF DEFENSE FAMILY HOUSING IMPROVEMENT FUND**

For the Department of Defense Family Housing Improvement Fund, \$1,780,000, to remain available until expended, for family housing initiatives undertaken pursuant to section 2883 of title 10, United States Code, providing alternative means of acquiring and improving military family housing and supporting facilities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 97-0834-0-1-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Equity program .....	70	79	203
0002	Differential lease payments .....	.....	12	.....
0003	Other .....	.....	35	.....
0091	Direct program activities, subtotal .....	70	126	203
Credit program obligations:				
0701	Direct loan subsidy .....	20	60	.....
0705	Reestimates of direct loan subsidy .....	5	9	.....
0706	Interest on reestimates of direct loan subsidy .....	2	1	.....
0709	Administrative expenses .....	2	2	2
0791	Direct program activities, subtotal .....	29	72	2
0900	Total new obligations .....	99	198	205
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	166	142	76
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	166	142	.....
1011	Unobligated balance transfer from other accts [97-9999] ....	62	120	193
1050	Unobligated balance (total) .....	228	262	269
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2	2	2
1160	Appropriation, discretionary (total) .....	2	2	2
Appropriations, mandatory:				
1200	Appropriation .....	7	10	.....
1260	Appropriations, mandatory (total) .....	7	10	.....
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	4	.....	.....
1900	Budget authority (total) .....	13	12	2
1930	Total budgetary resources available .....	241	274	271
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	142	76	66
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	126	107	183
3010	Obligations incurred, unexpired accounts .....	99	198	205
3020	Outlays (gross) .....	-118	-122	-85
3050	Unpaid obligations, end of year .....	107	183	303
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	126	107	183
3200	Obligated balance, end of year .....	107	183	303

DEPARTMENT OF DEFENSE FAMILY HOUSING IMPROVEMENT FUND—Continued  
Program and Financing—Continued

Identification code 97-0834-0-1-051	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	2
4011 Outlays from discretionary balances .....	111	110	83
4020 Outlays, gross (total) .....	111	112	85
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4		
Mandatory:			
4090 Budget authority, gross .....	7	10	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7	10	
4180 Budget authority, net (total) .....	9	12	2
4190 Outlays, net (total) .....	114	122	85

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 97-0834-0-1-051	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Family Housing Improvement Fund Direct Loans .....	143	367	
115999 Total direct loan levels .....	143	367	
Direct loan subsidy (in percent):			
132001 Family Housing Improvement Fund Direct Loans .....	14.07	16.26	
132999 Weighted average subsidy rate .....	14.07	16.26	
Direct loan subsidy budget authority:			
133001 Family Housing Improvement Fund Direct Loans .....	20	60	
133999 Total subsidy budget authority .....	20	60	
Direct loan subsidy outlays:			
134001 Family Housing Improvement Fund Direct Loans .....	36	42	41
134999 Total subsidy outlays .....	36	42	41
Direct loan upward reestimates:			
135001 Family Housing Improvement Fund Direct Loans .....	7	10	
135999 Total upward reestimate budget authority .....	7	10	
Direct loan downward reestimates:			
137001 Family Housing Improvement Fund Direct Loans .....	-41	-19	
137999 Total downward reestimate budget authority .....	-41	-19	
Guaranteed loan subsidy outlays:			
234001 Family Housing Improvement Fund Guaranteed Loans .....			2
234999 Total subsidy outlays .....			2
Guaranteed loan downward reestimates:			
237001 Family Housing Improvement Fund Guaranteed Loans .....	-3	-3	
237999 Total downward reestimate subsidy budget authority .....	-3	-3	
Administrative expense data:			
3510 Budget authority .....	2	2	2
3590 Outlays from new authority .....	2	2	2

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with investments obligated, the direct loans obligated and loan guarantees committed in 1996 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 97-0834-0-1-051	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	2	2	2
33.0 Investments and loans .....	70	79	203
41.0 Grants, subsidies, and contributions .....	27	117	

99.9 Total new obligations .....	99	198	205
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FAMILY HOUSING IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 97-4166-0-3-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	142	367	
0713 Payment of interest to Treasury .....	44	50	63
0742 Downward reestimate paid to receipt account .....	34	13	
0743 Interest on downward reestimates .....	7	6	
0900 Total new obligations .....	227	436	63
<b>Budgetary Resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	177	331	12
1440 Borrowing authority, mandatory (total) .....	177	331	12
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	84	99	98
1801 Change in uncollected payments, Federal sources .....	-16	18	-41
1825 Spending authority from offsetting collections applied to repay debt .....	-18	-12	-6
1850 Spending auth from offsetting collections, mand (total) .....	50	105	51
1900 Financing authority (total) .....	227	436	63
1930 Total budgetary resources available .....	227	436	63

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	569	542	603
3010 Obligations incurred, unexpired accounts .....	227	436	63
3020 Financing disbursements (gross) .....	-254	-375	-289
3050 Unpaid obligations, end of year .....	542	603	377
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-100	-84	-102
3070 Change in uncollected pymts, Fed sources, unexpired .....	16	-18	41
3090 Uncollected pymts, Fed sources, end of year .....	-84	-102	-61
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	469	458	501
3200 Obligated balance, end of year .....	458	501	316

Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	227	436	63
Financing disbursements:			
4110 Financing disbursements, gross .....	254	375	289
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-5	-9	
4120 Interest on upward reestimate .....	-2	-1	
4120 Payment from program account .....	-36	-42	-41
4122 Interest on uninvested funds .....	-1		
4123 Interest received on loans .....	-36	-42	-50
4123 Repayment of loan principal, net .....	-4	-5	-7
4130 Offsets against gross financing auth and disbursements (total) .....	-84	-99	-98
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	16	-18	41
4160 Financing authority, net (mandatory) .....	159	319	6
4170 Financing disbursements, net (mandatory) .....	170	276	191
4180 Financing authority, net (total) .....	159	319	6
4190 Financing disbursements, net (total) .....	170	276	191

Status of Direct Loans (in millions of dollars)

Identification code 97-4166-0-3-051	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	142	367	
1150 Total direct loan obligations .....	142	367	
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	958	1,171	1,467
1231 Disbursements: Direct loan disbursements .....	217	303	226

1251	Repayments: Repayments and prepayments .....	-4	-5	-7
1263	Write-offs for default: Direct loans .....		-2	-3
1290	Outstanding, end of year .....	1,171	1,467	1,683

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans made under the authorities of the Military Family Housing Initiative. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 97-4166-0-3-051	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets: Investments in US securities:			
1106	Federal Assets: Receivables, net .....	13	12
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	958	1,171
1405	Allowance for subsidy cost (-) .....	-139	-162
1499	Net present value of assets related to direct loans .....	819	1,009
1999	Total assets .....	832	1,021
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....	782	999
2105	Other-Downward reestimate payables .....	50	22
2999	Total liabilities .....	832	1,021
4999	Total liabilities and net position .....	832	1,021

4130	Offsets against gross financing auth and disbursements (total) .....		-1	-6
4170	Financing disbursements, net (mandatory) .....	3	2	1
4190	Financing disbursements, net (total) .....	3	2	1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 97-4167-0-3-051	2012 actual	2013 CR	2014 est.	
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	445	438	425
2231	Disbursements of new guaranteed loans .....			32
2251	Repayments and prepayments .....	-7	-8	-8
2262	Adjustments: Terminations for default that result in acquisition of property .....		-5	-7
2290	Outstanding, end of year .....	438	425	442
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	433	422	439

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees made under the authorities of the Military Housing Privatization Initiative. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 97-4167-0-3-051	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	17	15
1999	Total assets .....	17	15
<b>LIABILITIES:</b>			
2105	Federal liabilities: Other: Downward reestimate payables .....	3	3
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	14	12
2999	Total liabilities .....	17	15
4999	Total liabilities and net position .....	17	15

**FAMILY HOUSING IMPROVEMENT GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 97-4167-0-3-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0711	Default claim payments on principal .....	5	7	
0742	Downward reestimate paid to receipt account .....	2	2	
0743	Interest on downward reestimates .....	1	1	
0900	Total new obligations .....	3	8	7
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	17	14	7
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1	6	
1850	Spending auth from offsetting collections, mand (total) .....	1	6	
1930	Total budgetary resources available .....	17	15	13
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	14	7	6

**REVOLVING AND MANAGEMENT FUNDS**

Resources presented under the Revolving and Management Funds title support logistics and other infrastructure activities under the authority of 10 U.S.C. 2208 and other sections to accept customer reimbursable orders to meet customer needs. The activities include depot maintenance, supply management, distribution depots, transportation services, Navy research and development, finance and accounting services, information systems and telecommunications services, and commissaries, among others.

**Federal Funds**

**NATIONAL DEFENSE STOCKPILE TRANSACTION FUND**

**Program and Financing** (in millions of dollars)

Identification code 97-4555-0-3-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0804	Civilian pay benefits .....	43	45	46
0807	Payments to receipt accounts .....	77	89	85
0900	Total new obligations .....	120	134	131
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	357	301	320
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	359	301	320

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090	Financing authority, gross .....	1	6
<b>Financing disbursements:</b>			
4110	Financing disbursements, gross .....	3	7
<b>Offsets against gross financing authority and disbursements:</b>			
Offsetting collections (collected) from:			
4120	Federal sources .....		-2
4122	Interest on uninvested funds .....		-1
4123	Non-Federal sources: Other (Recoveries) .....		-3

NATIONAL DEFENSE STOCKPILE TRANSACTION FUND—Continued  
Program and Financing—Continued

Identification code 97-4555-0-3-051	2012 actual	2013 CR	2014 est.	
<b>Budget authority:</b>				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	62	153	152
1850	Spending auth from offsetting collections, mand (total) .....	62	153	152
1930	Total budgetary resources available .....	421	454	472
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	301	320	341
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	25	32	32
3010	Obligations incurred, unexpired accounts .....	120	134	131
3020	Outlays (gross) .....	-111	-134	-131
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	32	32	32
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	25	32	32
3200	Obligated balance, end of year .....	32	32	32
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	62	153	152
Outlays, gross:				
4100	Outlays from new mandatory authority .....	57	123	107
4101	Outlays from mandatory balances .....	54	11	24
4110	Outlays, gross (total) .....	111	134	131
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-62	-153	-152
4190	Outlays, net (total) .....	49	-19	-21

The National Defense Stockpile program is managed under the authority of the Strategic and Critical Materials Stockpiling Act. The purpose of the Stockpile is to decrease or preclude U.S. dependence on foreign sources for supplies of strategic and critical materials in times of national emergency.

Revenues from the sales of excess commodities are either deposited into the National Defense Stockpile Transaction Fund to finance the National Defense Stockpile program or are transferred to the Treasury for specific congressionally mandated programs or to reduce the deficit.

Object Classification (in millions of dollars)

Identification code 97-4555-0-3-051	2012 actual	2013 CR	2014 est.	
<b>Reimbursable obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	6	7	7
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	7	8	8
12.1	Civilian personnel benefits .....	2	2	2
21.0	Travel and transportation of persons .....	1	1	1
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	1	1	1
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.1	Advisory and assistance services .....	14	6	8
25.2	Other services from non-Federal sources .....	14	22	21
25.3	Other goods and services from Federal sources .....	1	1	1
25.4	Operation and maintenance of facilities .....	1	1	1
31.0	Equipment .....	2		
94.0	Financial transfers .....	78	89	85
99.9	Total new obligations .....	120	134	131

Employment Summary

Identification code 97-4555-0-3-051	2012 actual	2013 CR	2014 est.	
2001	Reimbursable civilian full-time equivalent employment .....	79	90	88

PENTAGON RESERVATION MAINTENANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 97-4950-0-4-051	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Building operations .....	363	267	272
0803	Pentagon Force Protection Agency .....	215	207	211
0804	Site R .....	51	61	68
0805	Building operations - capital program .....	14		16
0807	Pentagon Force Protection Agency - capital program .....	11	17	12
0808	Site R - capital program .....	9	2	2
0900	Total new obligations .....	663	554	581
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	72	2	13
1021	Recoveries of prior year unpaid obligations .....	68		
1022	Capital transfer of unobligated balances to general fund .....	-4		
1050	Unobligated balance (total) .....	136	2	13
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	503	565	580
1801	Change in uncollected payments, Federal sources .....	26		
1850	Spending auth from offsetting collections, mand (total) .....	529	565	580
1930	Total budgetary resources available .....	665	567	593
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	13	12
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	393	426	286
3010	Obligations incurred, unexpired accounts .....	663	554	581
3020	Outlays (gross) .....	-562	-694	-655
3040	Recoveries of prior year unpaid obligations, unexpired .....	-68		
3050	Unpaid obligations, end of year .....	426	286	212
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-67	-93	-93
3070	Change in uncollected pymts, Fed sources, unexpired .....	-26		
3090	Uncollected pymts, Fed sources, end of year .....	-93	-93	-93
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	326	333	193
3200	Obligated balance, end of year .....	333	193	119
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	529	565	580
Outlays, gross:				
4100	Outlays from new mandatory authority .....	410	450	462
4101	Outlays from mandatory balances .....	152	244	193
4110	Outlays, gross (total) .....	562	694	655
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-503	-565	-580
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-26		
4170	Outlays, net (mandatory) .....	59	129	75
4190	Outlays, net (total) .....	59	129	75

The Pentagon Reservation Maintenance Revolving Fund was established by the 1991 National Defense Authorization Act and is codified in 10 U.S.C 2674. It provides for space, building services, deep underground relocation capability, and force protection for Department of Defense Components, including Military Departments and other activities located within the Pentagon Reservation and defense facilities in the National Capital Region.

**Object Classification** (in millions of dollars)

Identification code 97-4950-0-4-051	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	128	147	152
11.5 Other personnel compensation .....	19	13	10
11.9 Total personnel compensation .....	147	160	162
12.1 Civilian personnel benefits .....	44	45	47
21.0 Travel and transportation of persons .....	1	2	1
23.1 Rental payments to GSA .....	8	5	4
23.2 Rental payments to others .....	34		
23.3 Communications, utilities, and miscellaneous charges .....	36	42	32
25.1 Advisory and assistance services .....	140	57	66
25.2 Other services from non-Federal sources .....	19	9	19
25.3 Other goods and services from Federal sources .....	4	43	61
25.4 Operation and maintenance of facilities .....	137	119	121
25.7 Operation and maintenance of equipment .....	8	10	11
26.0 Supplies and materials .....	20	27	20
31.0 Equipment .....	38	30	32
32.0 Land and structures .....	27	5	5
99.9 Total new obligations .....	663	554	581

**Employment Summary**

Identification code 97-4950-0-4-051	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,676	1,794	1,814

**SPECIAL DEFENSE REPAIR FUND**

The Special Defense Repair Fund finances the repair, overhaul, or refurbishment of in-stock defense articles in anticipation of the sale or transfer of such defense articles to eligible foreign countries or international organizations. DOD may transfer up to \$50 million into the Fund from amounts appropriated for Overseas Contingency Operations for Fiscal Year 2013. The Fund may also, under certain conditions, retain collections from the sale or transfer of defense stocks repaired, overhauled, or refurbished with amounts from the Fund. The total amount in the fund at any time is limited to \$50 million.

**NATIONAL DEFENSE SEALIFT FUND**

For National Defense Sealift Fund programs, projects, and activities, and for expenses of the National Defense Reserve Fleet, as established by section 11 of the Merchant Ship Sales Act of 1946 (50 U.S.C. App. 1744), and for the necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, \$730,700,000, to remain available until expended: Provided, That none of the funds provided in this paragraph shall be used to award a new contract that provides for the acquisition of any of the following major components unless such components are manufactured in the United States: auxiliary equipment, including pumps, for all shipboard services; propulsion system components (engines, reduction gears, and propellers); shipboard cranes; and spreaders for shipboard cranes: Provided further, That the exercise of an option in a contract awarded through the obligation of previously appropriated funds shall not be considered to be the award of a new contract: Provided further, That the Secretary of the military department responsible for such procurement may waive the restrictions in the first proviso on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 17-4557-0-4-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Construction and conversion .....	798	77	178
0002 Operations, maintenance and lease .....	313	185	197
0004 Research and development .....	51	43	56
0005 Ready reserve forces .....	310	802	299
0799 Total direct obligations .....	1,472	1,107	730
0803 Strategic sealift O&M .....	683	714	1,117
0900 Total new obligations .....	2,155	1,821	1,847
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	519	139	1
1021 Recoveries of prior year unpaid obligations .....	26		
1050 Unobligated balance (total) .....	545	139	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,101	1,107	731
1121 Appropriations transferred from other accts [97-9999] ...	5		
1131 Unobligated balance of appropriations permanently reduced .....	-35		
1160 Appropriation, discretionary (total) .....	1,071	1,107	731
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	725	576	1,117
1701 Change in uncollected payments, Federal sources .....	-47		
1750 Spending auth from offsetting collections, disc (total) .....	678	576	1,117
1900 Budget authority (total) .....	1,749	1,683	1,848
1930 Total budgetary resources available .....	2,294	1,822	1,849
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	139	1	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,335	1,226	1,361
3010 Obligations incurred, unexpired accounts .....	2,155	1,821	1,847
3020 Outlays (gross) .....	-2,238	-1,686	-2,031
3040 Recoveries of prior year unpaid obligations, unexpired .....	-26		
3050 Unpaid obligations, end of year .....	1,226	1,361	1,177
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-264	-217	-217
3070 Change in uncollected pymts, Fed sources, unexpired .....	47		
3090 Uncollected pymts, Fed sources, end of year .....	-217	-217	-217
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,071	1,009	1,144
3200 Obligated balance, end of year .....	1,009	1,144	960
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,749	1,683	1,848
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	338	1,019	1,409
4011 Outlays from discretionary balances .....	1,900	667	622
4020 Outlays, gross (total) .....	2,238	1,686	2,031
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-725	-576	-1,117
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	47		
4070 Budget authority, net (discretionary) .....	1,071	1,107	731
4080 Outlays, net (discretionary) .....	1,513	1,110	914
4180 Budget authority, net (total) .....	1,071	1,107	731
4190 Outlays, net (total) .....	1,513	1,110	914

**Object Classification** (in millions of dollars)

Identification code 17-4557-0-4-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
22.0 Transportation of things .....		129	
25.1 Advisory and assistance services .....	16	7	9
25.2 Other services from non-Federal sources .....	10	3	9
25.3 Other goods and services from Federal sources .....	605	807	301
25.3 Other goods and services from Federal sources .....	18	61	150
31.0 Equipment .....	823	100	261
99.0 Direct obligations .....	1,472	1,107	730

NATIONAL DEFENSE SEALIFT FUND—Continued  
Object Classification—Continued

Identification code 17-4557-0-4-051	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
25.3 Other goods and services from Federal sources .....	683	714	1,117
99.0 Reimbursable obligations .....	683	714	1,117
99.9 Total new obligations .....	2,155	1,821	1,847

DEFENSE WORKING CAPITAL FUNDS

For the Defense Working Capital Funds, \$1,545,827,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

WORKING CAPITAL FUND, ARMY

Program and Financing (in millions of dollars)

Identification code 97-493001-0-4-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Industrial operations .....	6,748	6,420	6,115
0804 Supply management .....	5,794	6,592	6,342
0809 Subtotal, Operations Programs .....	12,542	13,012	12,457
0811 Industrial .....	191	200	178
0814 Supply management .....	33	59	72
0819 Subtotal, Capital Programs .....	224	259	250
0900 Total new obligations .....	12,766	13,271	12,707

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2,150	435	.....
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,150	435	.....
1010 Unobligated balance transfer to other accts [97-9999] .....	-1,140	.....	.....
1021 Recoveries of prior year unpaid obligations .....	1,528	.....	.....
1025 Unobligated balance of contract authority withdrawn .....	-854	.....	.....
1050 Unobligated balance (total) .....	1,684	435	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	155	144	25
1160 Appropriation, discretionary (total) .....	155	144	25
<b>Contract authority, mandatory:</b>			
1600 Contract authority .....	5,980	.....	.....
1640 Contract authority, mandatory (total) .....	5,980	.....	.....
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	12,897	12,692	12,707
1701 Change in uncollected payments, Federal sources .....	-1,000	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	11,897	12,692	12,707
<b>Spending authority from offsetting collections, mandatory:</b>			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-6,515	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	-6,515	.....	.....
1900 Budget authority (total) .....	11,517	12,836	12,732
1930 Total budgetary resources available .....	13,201	13,271	12,732
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	435	.....	25

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,229	6,989	7,415
3010 Obligations incurred, unexpired accounts .....	12,766	13,271	12,707
3020 Outlays (gross) .....	-12,478	-12,845	-12,819
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,528	.....	.....
3050 Unpaid obligations, end of year .....	6,989	7,415	7,303
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7,077	-6,077	-6,077
3070 Change in uncollected pymts, Fed sources, unexpired .....	1,000	.....	.....

3090 Uncollected pymts, Fed sources, end of year .....	-6,077	-6,077	-6,077
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,152	912	1,338
3200 Obligated balance, end of year .....	912	1,338	1,226

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	12,052	12,836	12,732
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	10,578	9,642	8,026
4011 Outlays from discretionary balances .....	1,900	3,203	4,793
4020 Outlays, gross (total) .....	12,478	12,845	12,819
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-12,587	-12,402	-12,310
4033 Non-Federal sources .....	-310	-290	-397
4040 Offsets against gross budget authority and outlays (total) .....	-12,897	-12,692	-12,707
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1,000	.....	.....
4070 Budget authority, net (discretionary) .....	155	144	25
4080 Outlays, net (discretionary) .....	-419	153	112
<b>Mandatory:</b>			
4090 Budget authority, gross .....	-535	.....	.....
4180 Budget authority, net (total) .....	-380	144	25
4190 Outlays, net (total) .....	-419	153	112

**Memorandum (non-add) entries:**

5052 Obligated balance, SOY: Contract authority .....	1,401	12	12
5053 Obligated balance, EOY: Contract authority .....	12	12	12

The Army Working Capital Fund finances industrial and supply operations of the Army. The Fund finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The Fund uses cost accounting and business management techniques to provide DOD Managers with information that can be used to monitor, control, and minimize the cost of operations.

Object Classification (in millions of dollars)

Identification code 97-493001-0-4-051	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,378	1,431	1,356
11.3 Other than full-time permanent .....	52	87	59
11.5 Other personnel compensation .....	325	224	194
11.8 Special personal services payments .....	3	4	4
11.9 Total personnel compensation .....	1,758	1,746	1,613
12.1 Civilian personnel benefits .....	582	473	450
13.0 Benefits for former personnel .....	9	16	21
21.0 Travel and transportation of persons .....	49	47	40
22.0 Transportation of things .....	118	114	124
23.1 Rental payments to GSA .....	13	12	11
23.2 Rental payments to others .....	4	5	4
23.3 Communications, utilities, and miscellaneous charges .....	59	65	58
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	181	158	124
25.2 Other services from non-Federal sources .....	136	742	575
25.3 Purchases of goods and services from other Federal Agencies .....	682	224	343
25.3 Other goods and services from Federal sources .....	716	434	403
25.4 Operation and maintenance of facilities .....	159	163	148
25.7 Operation and maintenance of equipment .....	288	170	163
26.0 Supplies and materials .....	7,741	8,557	8,304
31.0 Equipment .....	270	344	325
99.9 Total new obligations .....	12,766	13,271	12,707

Employment Summary

Identification code 97-493001-0-4-051	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	28,349	26,176	24,536

WORKING CAPITAL FUND, NAVY

Program and Financing (in millions of dollars)

Identification code 97-493002-0-4-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Supply management .....	8,574	7,075	6,707
0803 Depot maintenance - aviation .....	2,196	2,212	2,116
0805 Depot maintenance - other .....	566	581	560
0806 Base support .....	3,110	3,207	3,334
0807 Transportation .....	2,831	2,895	2,841
0808 Research and development activities .....	12,955	13,645	13,742
0809 Subtotal, Operations Programs .....	30,232	29,615	29,300
0820 Supply management .....	6	4	5
0822 Depot maintenance - aviation .....	56	42	46
0824 Depot maintenance - other .....	9	10	10
0825 Base support .....	16	19	18
0826 Transportation .....	16	23	11
0827 Research and development activities .....	104	125	122
0829 Subtotal, Capital Programs .....	207	223	212
0900 Total new obligations .....	30,439	29,838	29,512
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,164	3,735	556
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3,164	3,735	
1010 Unobligated balance transfer to other accts [97-9999] .....	-172		
1011 Unobligated balance transfer from other accts [97-9999] .....	172		
1021 Recoveries of prior year unpaid obligations .....	1,870		
1025 Unobligated balance of contract authority withdrawn .....	-1,449		
1050 Unobligated balance (total) .....	3,585	3,735	556
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		24	
1160 Appropriation, discretionary (total) .....		24	
Contract authority, mandatory:			
1600 Contract authority .....	8,930		
1640 Contract authority, mandatory (total) .....	8,930		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	28,281	26,635	29,512
1701 Change in uncollected payments, Federal sources .....	1,828		
1750 Spending auth from offsetting collections, disc (total) .....	30,109	26,635	29,512
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-8,450		
1850 Spending auth from offsetting collections, mand (total) .....	-8,450		
1900 Budget authority (total) .....	30,589	26,659	29,512
1930 Total budgetary resources available .....	34,174	30,394	30,068
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,735	556	556
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12,119	12,494	16,032
3010 Obligations incurred, unexpired accounts .....	30,439	29,838	29,512
3020 Outlays (gross) .....	-28,194	-26,300	-29,873
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,870		
3050 Unpaid obligations, end of year .....	12,494	16,032	15,671
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10,847	-12,675	-12,675
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1,828		
3090 Uncollected pymts, Fed sources, end of year .....	-12,675	-12,675	-12,675
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,272	-181	3,357
3200 Obligated balance, end of year .....	-181	3,357	2,996
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30,109	26,659	29,512
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26,946	18,687	20,677
4011 Outlays from discretionary balances .....	1,248	7,613	9,196
4020 Outlays, gross (total) .....	28,194	26,300	29,873
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-28,017	-26,635	-29,512
4033 Non-Federal sources .....	-264		

4040 Offsets against gross budget authority and outlays (total) ....	-28,281	-26,635	-29,512
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1,828		
4070 Budget authority, net (discretionary) .....		24	
4080 Outlays, net (discretionary) .....	-87	-335	361
Mandatory:			
4090 Budget authority, gross .....	480		
4180 Budget authority, net (total) .....	480	24	
4190 Outlays, net (total) .....	-87	-335	361
<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	3,188	2,220	2,220
5053 Obligated balance, EOY: Contract authority .....	2,220	2,220	2,220

The Navy Working Capital Fund (NWCF) finances the operations of Navy industrial, logistical, and commercial and support-type activities. These activities include Marine Corps Depot Maintenance, Marine Corps Supply, Navy Supply, Fleet Readiness Centers (Aviation Depots), Research and Development, Military Sealift Command (MSC) and Base Operations. The NWCF finances operating and capital costs through the receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The Fund uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

Object Classification (in millions of dollars)

Identification code 97-493002-0-4-051	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6,134	6,133	6,147
11.3 Other than full-time permanent .....	95	108	109
11.5 Other personnel compensation .....	585	547	541
11.8 Special personal services payments .....	118	107	109
11.9 Total personnel compensation .....	6,932	6,895	6,906
12.0 Civilian personnel benefits .....	1,923	1,973	1,982
13.0 Benefits for former personnel .....	11	10	5
21.0 Travel and transportation of persons .....	381	383	380
22.0 Transportation of things .....	180	204	210
23.1 Rental payments to GSA .....	58	63	67
23.2 Rental payments to others .....	415	406	364
23.3 Communications, utilities, and miscellaneous charges .....	1,358	1,429	1,514
24.0 Printing and reproduction .....	12	10	10
25.1 Advisory and assistance services .....	16	18	18
25.2 Other services from non-Federal sources .....	801	676	692
25.3 Purchases of goods and services from other Federal Agencies .....	412	429	432
25.3 Purchases of goods and services from other Federal Agencies .....	32	39	39
25.3 Purchases from revolving funds .....	795	941	915
25.4 Operation and maintenance of facilities including GOCOs .....	705	746	814
25.5 Research and development contracts .....	3,693	4,492	4,547
25.7 Contract operation and maintenance of equipment including ADP hard/software .....	1,304	1,316	1,332
26.0 Supplies and materials .....	10,496	8,900	8,397
31.0 Equipment .....	844	862	836
32.0 Land and structures .....	71	46	52
99.9 Total new obligations .....	30,439	29,838	29,512

Employment Summary

Identification code 97-493002-0-4-051	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	78,238	76,534	77,787

WORKING CAPITAL FUND, AIR FORCE

Program and Financing (in millions of dollars)

Identification code 97-493003-0-4-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Transportation .....	12,785	13,176	12,138

**WORKING CAPITAL FUND, AIR FORCE—Continued**  
**Program and Financing—Continued**

Identification code 97-493003-0-4-051	2012 actual	2013 CR	2014 est.
0802 CSAG supply .....	3,581	3,616	6,149
0803 Supply management .....	3,790	4,326	4,457
0804 CSAG maintenance .....	4,814	4,841	4,794
0809 Subtotal, Operations Programs .....	24,970	25,959	27,538
0810 CSAG maintenance .....	143	161	162
0811 Transportation .....	144	203	177
0812 CSAG supply .....	7	10	7
0819 Subtotal, Capital Programs .....	294	374	346
0900 Total new obligations .....	25,264	26,333	27,884

**Budgetary Resources:**

Identification code 97-493003-0-4-051	2012 actual	2013 CR	2014 est.
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	698	342	505
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	698	342	
1010 Unobligated balance transfer to other accts [97-9999] .....	-1,584		
1011 Unobligated balance transfer from other accts [97-9999] .....	1,214		
1021 Recoveries of prior year unpaid obligations .....	42		
1025 Unobligated balance of contract authority withdrawn .....	-42		
1050 Unobligated balance (total) .....	328	342	505
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	77	306	62
1160 Appropriation, discretionary (total) .....	77	306	62
<b>Contract authority, mandatory:</b>			
1600 Contract authority .....	7,708		
1640 Contract authority, mandatory (total) .....	7,708		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	25,829	26,190	30,090
1701 Change in uncollected payments, Federal sources .....	-504		
1750 Spending auth from offsetting collections, disc (total) .....	25,325	26,190	30,090
<b>Spending authority from offsetting collections, mandatory:</b>			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-7,832		
1850 Spending auth from offsetting collections, mand (total) .....	-7,832		
1900 Budget authority (total) .....	25,278	26,496	30,152
1930 Total budgetary resources available .....	25,606	26,838	30,657
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	342	505	2,773

**Change in obligated balance:**

Identification code 97-493003-0-4-051	2012 actual	2013 CR	2014 est.
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,823	9,294	9,161
3010 Obligations incurred, unexpired accounts .....	25,264	26,333	27,884
3020 Outlays (gross) .....	-25,751	-26,466	-30,091
3040 Recoveries of prior year unpaid obligations, unexpired .....	-42		
3050 Unpaid obligations, end of year .....	9,294	9,161	6,954
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5,741	-5,237	-5,237
3070 Change in uncollected pymts, Fed sources, unexpired .....	504		
3090 Uncollected pymts, Fed sources, end of year .....	-5,237	-5,237	-5,237
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4,082	4,057	3,924
3200 Obligated balance, end of year .....	4,057	3,924	1,717

**Budget authority and outlays, net:**

Identification code 97-493003-0-4-051	2012 actual	2013 CR	2014 est.
<b>Discretionary:</b>			
4000 Budget authority, gross .....	25,402	26,496	30,152
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	24,725	19,905	22,623
4011 Outlays from discretionary balances .....	1,026	6,561	7,468
4020 Outlays, gross (total) .....	25,751	26,466	30,091
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-25,286	-25,127	-29,126
4033 Non-Federal sources .....	-543	-1,063	-964
4040 Offsets against gross budget authority and outlays (total) .....	-25,829	-26,190	-30,090
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	504		
4070 Budget authority, net (discretionary) .....	77	306	62
4080 Outlays, net (discretionary) .....	-78	276	1
<b>Mandatory:</b>			
4090 Budget authority, gross .....	-124		

4180 Budget authority, net (total) .....	-47	306	62
4190 Outlays, net (total) .....	-78	276	1

**Memorandum (non-add) entries:**

5052 Obligated balance, SOY: Contract authority .....	3,754	3,588	3,588
5053 Obligated balance, EOY: Contract authority .....	3,588	3,588	3,588

The Air Force Working Capital Fund finances the commercial operations of the Air Force and the United States Transportation Command. Activities performed include depot maintenance, supply support, and the transport of cargo and personnel. The Fund finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The fund uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

**Object Classification (in millions of dollars)**

Identification code 97-493003-0-4-051	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,818	1,828	1,796
11.5 Other personnel compensation .....	172	151	148
11.8 Special personal services payments .....	58	60	60
11.9 Total personnel compensation .....	2,048	2,039	2,004
12.1 Civilian personnel benefits .....	739	736	721
13.0 Benefits for former personnel .....	7		
21.0 Travel and transportation of persons .....	138	159	151
22.0 Transportation of things .....	8,140	8,104	7,076
23.2 Rental payments to others .....	9	10	12
23.3 Communications, utilities, and miscellaneous charges .....	166	209	215
24.0 Printing and reproduction .....	3	1	1
25.1 Advisory and assistance services .....	90	88	89
25.2 Other services from non-Federal sources .....	675	574	540
25.3 Purchases of goods and services from other Federal Agencies .....	22	18	18
25.3 Purchases from revolving funds .....	2,928	2,825	2,756
25.4 Operation and maintenance of facilities including GOCOs .....	172	210	209
25.7 Contract operation and maintenance of equipment including ADP hard/software .....	1,416	1,795	1,799
26.0 Supplies and materials .....	8,409	9,178	11,941
31.0 Equipment .....	302	387	352
99.9 Total new obligations .....	25,264	26,333	27,884

**Employment Summary**

Identification code 97-493003-0-4-051	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	31,086	30,854	29,473

**WORKING CAPITAL FUND, DEFENSE-WIDE**

**Program and Financing (in millions of dollars)**

Identification code 97-493005-0-4-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0803 Defense Automated Printing Service .....	300	330	363
0804 Defense Financial Operations .....	1,367	1,444	1,430
0805 Information services .....	6,052	5,713	6,481
0806 Energy management .....	25,130	19,848	18,955
0807 COMP services .....	1,080	965	1,212
0808 Supply chain management .....	26,280	24,514	23,147
0809 Subtotal, Operations Programs .....	60,209	52,814	51,588
0812 Defense Automated Printing Service .....	1	8	8
0813 Defense Financial Operations .....	37	31	34
0814 Information services .....	4	31	20
0816 COMP services .....	48	50	49
0817 Energy management .....	101	147	149
0818 Supply chain management .....	124	108	103
0819 Subtotal, Capital Programs .....	315	375	363
0900 Total new obligations .....	60,524	53,189	51,951

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	202	-367 1,892
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	202	.....
1011	Unobligated balance transfer from other accts [97-9999] ....	1,000	.....
1021	Recoveries of prior year unpaid obligations .....	1,779	.....
1025	Unobligated balance of contract authority withdrawn .....	-1,716	.....
1050	Unobligated balance (total) .....	1,265	-367 1,892
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	401	252 46
1160	Appropriation, discretionary (total) .....	401	252 46
Contract authority, mandatory:			
1600	Contract authority .....	51,750	.....
1640	Contract authority, mandatory (total) .....	51,750	.....
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	52,215	55,196 51,418
1701	Change in uncollected payments, Federal sources .....	1,903	.....
1750	Spending auth from offsetting collections, disc (total) .....	54,118	55,196 51,418
Spending authority from offsetting collections, mandatory:			
1826	Spending authority from offsetting collections applied to liquidate contract authority .....	-47,377	.....
1850	Spending auth from offsetting collections, mand (total) .....	-47,377	.....
1900	Budget authority (total) .....	58,892	55,448 51,464
1930	Total budgetary resources available .....	60,157	55,081 53,356
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	-367	1,892 1,405
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	24,551	28,794 25,242
3010	Obligations incurred, unexpired accounts .....	60,524	53,189 51,951
3020	Outlays (gross) .....	-54,502	-56,741 -52,041
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1,779	.....
3050	Unpaid obligations, end of year .....	28,794	25,242 25,152
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11,308	-13,211 -13,211
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1,903	.....
3090	Uncollected pymts, Fed sources, end of year .....	-13,211	-13,211 -13,211
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	13,243	15,583 12,031
3200	Obligated balance, end of year .....	15,583	12,031 11,941
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	54,519	55,448 51,464
Outlays, gross:			
4010	Outlays from new discretionary authority .....	51,406	41,642 30,939
4011	Outlays from discretionary balances .....	3,096	15,099 21,102
4020	Outlays, gross (total) .....	54,502	56,741 52,041
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-50,742	-53,279 -48,861
4033	Non-Federal sources .....	-1,473	-1,917 -2,557
4040	Offsets against gross budget authority and outlays (total) ....	-52,215	-55,196 -51,418
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1,903	.....
4070	Budget authority, net (discretionary) .....	401	252 46
4080	Outlays, net (discretionary) .....	2,287	1,545 623
Mandatory:			
4090	Budget authority, gross .....	4,373	.....
4180	Budget authority, net (total) .....	4,774	252 46
4190	Outlays, net (total) .....	2,287	1,545 623
<b>Memorandum (non-add) entries:</b>			
5052	Obligated balance, SOY: Contract authority .....	10,349	13,006 13,006
5053	Obligated balance, EOY: Contract authority .....	13,006	13,006 13,006

The Defense-Wide Working Capital Fund finances the commercial and support-type operations of the Defense Logistics Agency, the Defense Finance and Accounting Service, and the Defense Information Systems Agency. The Fund supports activities such as the Department's finance and accounting operations, information systems to include command and control systems and enterprise infrastructure, global acquisition and delivery of fuel, and document printing and distribution services. The Fund oper-

ates and finances operating and capital expenses (excluding Military Construction) in accordance with 10 U.S.C. 2208 through receipt of funded customer reimbursable orders. The Fund uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize its cost of operations.

**Object Classification** (in millions of dollars)

Identification code 97-493005-0-4-051	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1	Full-time permanent .....	2,522	2,655 2,672
11.3	Other than full-time permanent .....	100	106 104
11.5	Other personnel compensation .....	203	218 225
11.8	Special personal services payments .....	66	62 62
11.9	Total personnel compensation .....	2,891	3,041 3,063
12.1	Civilian personnel benefits .....	835	843 855
13.0	Benefits for former personnel .....	18	14 15
21.0	Travel and transportation of persons .....	59	69 78
22.0	Transportation of things .....	1,009	1,026 979
23.1	Rental payments to GSA .....	43	50 51
23.2	Rental payments to others .....	91	105 106
23.3	Communications, utilities, and miscellaneous charges .....	2,290	1,902 2,138
24.0	Printing and reproduction .....	91	96 97
25.1	Advisory and assistance services .....	62	50 65
25.2	Other services from non-Federal sources .....	908	893 919
25.3	Purchases of goods and services from other Federal Agencies .....	1,120	1,540 1,289
25.3	Payments to foreign national indirect hire personnel .....	21	26 27
25.3	Purchases from revolving funds .....	326	730 768
25.4	Operation and maintenance of facilities including GOCOs .....	965	1,289 1,321
25.5	Research and development contracts .....	.....	126 .....
25.7	Contract operation and maintenance of equipment including ADP hard/software .....	3,699	3,303 4,063
26.0	Supplies and materials .....	45,488	37,438 35,376
31.0	Equipment .....	548	579 665
32.0	Land and structures .....	60	68 75
43.0	Interest and dividends .....	.....	1 1
99.9	Total new obligations .....	60,524	53,189 51,951

**Employment Summary**

Identification code 97-493005-0-4-051	2012 actual	2013 CR	2014 est.
2001	Reimbursable civilian full-time equivalent employment .....	39,978	41,453 41,384

**WORKING CAPITAL FUND, DEFENSE COMMISSARY AGENCY**

**Program and Financing** (in millions of dollars)

Identification code 97-493004-0-4-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	Commissary resale stocks .....	6,099	6,139 6,159
0802	Commissary operations .....	1,341	1,429 1,443
0810	Capital program .....	21	20 24
0900	Total new obligations .....	7,461	7,588 7,626

**Budgetary Resources:**  
Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	8	61 1
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	8	61 .....
1021	Recoveries of prior year unpaid obligations .....	1	.....
1025	Unobligated balance of contract authority withdrawn .....	-1	.....
1050	Unobligated balance (total) .....	8	61 1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	1,377	1,385 1,413
1160	Appropriation, discretionary (total) .....	1,377	1,385 1,413
Contract authority, mandatory:			
1600	Contract authority .....	6,119	.....
1640	Contract authority, mandatory (total) .....	6,119	.....
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	6,099	6,143 6,214

**WORKING CAPITAL FUND, DEFENSE COMMISSARY AGENCY—Continued**  
**Program and Financing—Continued**

Identification code 97-493004-0-4-051	2012 actual	2013 CR	2014 est.
1750 Spending auth from offsetting collections, disc (total) .....	6,099	6,143	6,214
1826 Spending authority from offsetting collections, mandatory: Spending authority from offsetting collections applied to liquidate contract authority .....	-6,081		
1850 Spending auth from offsetting collections, mand (total) .....	-6,081		
1900 Budget authority (total) .....	7,514	7,528	7,627
1930 Total budgetary resources available .....	7,522	7,589	7,628
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	61	1	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	530	466	515
3010 Obligations incurred, unexpired accounts .....	7,461	7,588	7,626
3020 Outlays (gross) .....	-7,524	-7,539	-7,629
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	466	515	512
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	528	464	513
3200 Obligated balance, end of year .....	464	513	510
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7,476	7,528	7,627
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7,339	7,306	7,401
4011 Outlays from discretionary balances .....	185	233	228
4020 Outlays, gross (total) .....	7,524	7,539	7,629
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7	-5	-7
4033 Non-Federal sources .....	-6,093	-6,138	-6,207
4040 Offsets against gross budget authority and outlays (total) ....	-6,100	-6,143	-6,214
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	1,377	1,385	1,413
4080 Outlays, net (discretionary) .....	1,424	1,396	1,415
Mandatory:			
4090 Budget authority, gross .....	38		
4180 Budget authority, net (total) .....	1,415	1,385	1,413
4190 Outlays, net (total) .....	1,424	1,396	1,415
<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	352	389	389
5053 Obligated balance, EOY: Contract authority .....	389	389	389

The Defense Commissary Agency Working Capital Fund finances the cost of Commissary Operations and Resale Stocks activities. Commissary Operations pays the operating costs of 248 commissaries worldwide, agency and region headquarters, and support services. Costs include civilian pay, transportation of commissary goods overseas, rewarehousing, shelf stocking, janitorial services in each commissary, and base support as a tenant organization. Resale Stocks pays for the purchase of inventory for resale to commissary patrons.

**Object Classification (in millions of dollars)**

Identification code 97-493004-0-4-051	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	334	337	342
11.3 Other than full-time permanent .....	189	191	194
11.5 Other personnel compensation .....	41	41	42
11.9 Total personnel compensation .....	564	569	578
12.1 Civilian personnel benefits .....	158	172	174
13.0 Benefits for former personnel .....	2	3	3
21.0 Travel and transportation of persons .....	8	8	8

22.0 Transportation of things .....	161	160	165
23.1 Rental payments to GSA .....	1	1	
23.3 Communications, utilities, and miscellaneous charges .....	72	72	75
24.0 Printing and reproduction .....		1	1
25.2 Other services from non-Federal sources .....	32	36	37
25.3 Other goods and services from Federal sources .....	29	35	36
25.3 Payments to foreign national indirect hire personnel .....	49	63	65
25.3 Purchases from revolving funds .....	38	40	41
25.4 Operation and maintenance of facilities .....	158	164	167
25.7 Operation and maintenance of equipment .....	8	21	21
26.0 Supplies and materials .....	6,159	6,222	6,230
31.0 Equipment .....	22	21	25
99.9 Total new obligations .....	7,461	7,588	7,626

**Employment Summary**

Identification code 97-493004-0-4-051	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	12,955	13,216	13,310

**BUILDINGS MAINTENANCE FUND**

**Program and Financing (in millions of dollars)**

Identification code 97-49310-0-4-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Operation and maintenance .....	67	181	293
0802 Pentagon Force Protection Agency .....	55	61	55
0900 Total new obligations .....	122	242	348
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	45	25	25
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	49	25	25
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	99	242	348
1801 Change in uncollected payments, Federal sources .....	-1		
1850 Spending auth from offsetting collections, mand (total) .....	98	242	348
1930 Total budgetary resources available .....	147	267	373
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	25	25	25

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	76	96
3010 Obligations incurred, unexpired accounts .....	122	242	348
3020 Outlays (gross) .....	-73	-222	-334
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050 Unpaid obligations, end of year .....	76	96	110
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-44	-43	-43
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-43	-43	-43
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-13	33	53
3200 Obligated balance, end of year .....	33	53	67

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	98	242	348
Outlays, gross:			
4100 Outlays from new mandatory authority .....	60	206	296
4101 Outlays from mandatory balances .....	13	16	38
4110 Outlays, gross (total) .....	73	222	334
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-99	-242	-348
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1		
4170 Outlays, net (mandatory) .....	-26	-20	-14
4190 Outlays, net (total) .....	-26	-20	-14

The Buildings Maintenance Fund was established in accordance with direction from Congress in the 1994 Appropriations Conference Report for the General Services Administration and operates under the authority provided in 10 U.S.C. 2208. It provides for operation and maintenance of three federally owned and 15 leased facilities occupied by DOD in the Washington Metropolitan area.

**Object Classification** (in millions of dollars)

Identification code 97-4931-0-4-051	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	12	18	18
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	14	19	19
12.1 Civilian personnel benefits .....	4	5	5
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....		110	217
23.3 Communications, utilities, and miscellaneous charges .....	11	10	11
25.1 Advisory and assistance services .....	38	38	33
25.2 Other services from non-Federal sources .....	2	1	1
25.4 Operation and maintenance of facilities .....	30	32	32
25.7 Operation and maintenance of equipment .....	17	20	20
26.0 Supplies and materials .....	1	1	5
31.0 Equipment .....	1	2	1
99.9 Total new obligations .....	122	242	348

**Employment Summary**

Identification code 97-4931-0-4-051	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	155	203	229

**ALLOWANCES**

**Federal Funds**

DEPARTMENT OF DEFENSE CLOSED ACCOUNTS

**Program and Financing** (in millions of dollars)

Identification code 97-3999-0-1-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0020 Direct program activity .....	51		
0900 Total new obligations (object class 26.0) .....	51		
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	51		
1160 Appropriation, discretionary (total) .....	51		
1930 Total budgetary resources available .....	51		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	51		
3020 Outlays (gross) .....	-51		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	51		
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	51		
4180 Budget authority, net (total) .....	51		
4190 Outlays, net (total) .....	51		

**TRUST FUNDS**

**Trust Funds**

VOLUNTARY SEPARATION INCENTIVE FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 97-8335-0-7-051	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	348	308	271
<b>Receipts:</b>			
0240 Payment to Voluntary Separation Incentive Fund .....	57	55	52
0241 Earnings on Investments .....	14	14	12
0299 Total receipts and collections .....	71	69	64
0400 Total: Balances and collections .....	419	377	335
<b>Appropriations:</b>			
0500 Voluntary Separation Incentive Fund .....	-71	-69	-64
0501 Voluntary Separation Incentive Fund .....	-59	-37	-35
0502 Voluntary Separation Incentive Fund .....	19		
0599 Total appropriations .....	-111	-106	-99
0799 Balance, end of year .....	308	271	236

**Program and Financing** (in millions of dollars)

Identification code 97-8335-0-7-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity .....	111	106	99
0900 Total new obligations (object class 41.0) .....	111	106	99

**Budgetary Resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	71	69	64
1203 Appropriation (previously unavailable) .....	59	37	35
1235 Appropriations precluded from obligation .....	-19		
1260 Appropriations, mandatory (total) .....	111	106	99
1930 Total budgetary resources available .....	111	106	99

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	4	
3010 Obligations incurred, unexpired accounts .....	111	106	99
3020 Outlays (gross) .....	-119	-110	-99
3050 Unpaid obligations, end of year .....	4		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	12	4	
3200 Obligated balance, end of year .....	4		

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	111	106	99
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	106	106	99
4101 Outlays from mandatory balances .....	13	4	
4110 Outlays, gross (total) .....	119	110	99
4180 Budget authority, net (total) .....	111	106	99
4190 Outlays, net (total) .....	119	110	99

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	358	312	275
5001 Total investments, EOY: Federal securities: Par value .....	312	275	239

Section 662 of the National Defense Authorization Act for 1992 and 1993, Public Law 102-190, established the Voluntary Separation Incentive (VSI) Fund to more effectively manage and account for the costs of the Voluntary Separation Incentive program. The VSI program provides annual payments to former active-duty servicemembers who voluntarily left service after serving more than six but less than 20 years. For all members who left service after December 31, 1992, the Department of Defense was required to deposit the total present value of their future VSI benefits into the VSI fund by the time authority to approve VSI benefits ended on December 31, 2001. DOD was also required to

VOLUNTARY SEPARATION INCENTIVE FUND—Continued  
cover the unfunded benefits of former members who separated before January 1, 1993 through yearly, actuarially-determined Government contributions from the DOD military personnel appropriations. Permanent authority to make these payments is contained in section 8044 of the 1997 Defense Appropriations Act. The fund also receives interest on its investments.

#### HOST NATION SUPPORT FUND FOR RELOCATION

##### Special and Trust Fund Receipts (in millions of dollars)

Identification code 97-8337-0-7-051	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....		1	6
Receipts:			
0200 Contributions, Host National Support for U.S. Relocation Activities .....	100	101	103
0201 Contributions from Japan, Support for U.S. Relocation to Guam Activities .....		195	30
0240 Earnings on Investments, Support for U.S. Relocation to Guam Activities .....	2	5	9
0299 Total receipts and collections .....	102	301	142
0400 Total: Balances and collections .....	102	302	148
Appropriations:			
0500 Host Nation Support Fund for Relocation .....	-101	-296	-133
0799 Balance, end of year .....	1	6	15

##### Program and Financing (in millions of dollars)

Identification code 97-8337-0-7-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Concept obligations undistributed .....	106	128	347
0900 Total new obligations (object class 41.0) .....	106	128	347
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	744	739	907
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	101	296	133
1260 Appropriations, mandatory (total) .....	101	296	133
1930 Total budgetary resources available .....	845	1,035	1,040
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	739	907	693
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	96	77	104
3010 Obligations incurred, unexpired accounts .....	106	128	347
3020 Outlays (gross) .....	-125	-101	-285
3050 Unpaid obligations, end of year .....	77	104	166
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	96	77	104
3200 Obligated balance, end of year .....	77	104	166
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	101	296	133
Outlays, gross:			
4100 Outlays from new mandatory authority .....		101	103
4101 Outlays from mandatory balances .....	125		182
4110 Outlays, gross (total) .....	125	101	285
4180 Budget authority, net (total) .....	101	296	133
4190 Outlays, net (total) .....	125	101	285

##### Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	840	816	1,011
5001 Total investments, EOY: Federal securities: Par value .....	816	1,011	859

Section 2350k of U.S.C. Title 10 established a trust fund for cash contributions from any nation in support of relocation of elements of the Armed Forces from or to any location within that

nation. The Host Nation Support for Relocation account is financed through these cash contributions and interest accrued on the cash balances. Funds may be used to defray costs incurred in connection with the relocation for which the contribution was made.

#### OTHER DOD TRUST FUNDS

##### Special and Trust Fund Receipts (in millions of dollars)

Identification code 21-9971-0-7-051	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			13
Receipts:			
0220 Deposits, Other DOD Trust Funds .....	16	18	5
0240 Interest, Other DOD Trust Funds .....		1	1
0241 Profits from Sale of Ships' Shores, Other DOD Trust Funds .....	13	20	20
Adjustments:			
0290 Adjustment - receipts rounding issue .....	1		
0299 Total receipts and collections .....	30	39	26
0400 Total: Balances and collections .....	30	39	39
Appropriations:			
0500 Other DOD Trust Funds .....	-30	-26	-26
0799 Balance, end of year .....		13	13

##### Program and Financing (in millions of dollars)

Identification code 21-9971-0-7-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Other DOD trust funds .....	27	26	26
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	38	42	42
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	39	42	42
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	30	26	26
1260 Appropriations, mandatory (total) .....	30	26	26
1930 Total budgetary resources available .....	69	68	68
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	42	42	42
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	5	
3010 Obligations incurred, unexpired accounts .....	27	26	26
3020 Outlays (gross) .....	-29	-31	-26
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	5		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	5	
3200 Obligated balance, end of year .....	5		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	30	26	26
Outlays, gross:			
4100 Outlays from new mandatory authority .....	23	26	26
4101 Outlays from mandatory balances .....	6	5	
4110 Outlays, gross (total) .....	29	31	26
4180 Budget authority, net (total) .....	30	26	26
4190 Outlays, net (total) .....	29	31	26
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	13	11	16
5001 Total investments, EOY: Federal securities: Par value .....	11	16	16

This fund includes gifts and bequests limited to specific purposes by the donors. In addition, it accounts for gifts and bequests, not limited to specific use by the donors, which may be used for pur-

poses as determined by the Secretaries of the Army, Navy and Air Force.

**Object Classification** (in millions of dollars)

Identification code 21-9971-0-7-051	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....		6	6
26.0 Supplies and materials .....	9	6	6
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	16	12	12
99.9 Total new obligations .....	27	26	26

**NATIONAL SECURITY EDUCATION TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 97-8168-0-7-051	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	1	1	1
0799 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 97-8168-0-7-051	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	5	5	5
5001 Total investments, EOY: Federal securities: Par value .....	5	5	5

**FOREIGN NATIONAL EMPLOYEES SEPARATION PAY**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 97-8165-0-7-051	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0240 Foreign National Employees Separation Pay Trust Fund .....	32	44	44
0400 Total: Balances and collections .....	32	44	44
Appropriations:			
0500 Foreign National Employees Separation Pay .....	-32	-44	-44
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 97-8165-0-7-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Foreign national employees separation pay .....	29	44	44
0900 Total new obligations (object class 13.0) .....	29	44	44
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	99	102	102
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	32	44	44
1260 Appropriations, mandatory (total) .....	32	44	44
1930 Total budgetary resources available .....	131	146	146
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	102	102	102

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	446	423	
3010 Obligations incurred, unexpired accounts .....	29	44	44
3020 Outlays (gross) .....	-52	-467	-44
3050 Unpaid obligations, end of year .....	423		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	446	423	
3200 Obligated balance, end of year .....	423		

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	32	44	44
Outlays, gross:			
4100 Outlays from new mandatory authority .....		44	44
4101 Outlays from mandatory balances .....	52	423	
4110 Outlays, gross (total) .....	52	467	44
4180 Budget authority, net (total) .....	32	44	44
4190 Outlays, net (total) .....	52	467	44

This account funds separation payments for former Department of Defense employees who are not United States citizens and who worked outside the United States. The payments are determined according to the applicable labor laws of the various countries.

**SURCHARGE COLLECTIONS, SALES OF COMMISSARY STORES, DEFENSE**

**Program and Financing** (in millions of dollars)

Identification code 97-8164-0-8-051	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	291	306	328
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	55	55
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	313	306	319
1850 Spending auth from offsetting collections, mand (total) .....	313	306	319
1930 Total budgetary resources available .....	346	361	374
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	55	55	46

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	328	328	328
3010 Obligations incurred, unexpired accounts .....	291	306	328
3020 Outlays (gross) .....	-291	-306	-319
3050 Unpaid obligations, end of year .....	328	328	337
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	328	328	328
3200 Obligated balance, end of year .....	328	328	337

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	313	306	319
Outlays, gross:			
4100 Outlays from new mandatory authority .....	50	260	271
4101 Outlays from mandatory balances .....	241	46	48
4110 Outlays, gross (total) .....	291	306	319
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-313	-306	-319
4190 Outlays, net (total) .....	-22		

This fund was established in 1992 as a result of the consolidation of Defense Commissaries. The trust fund pays commissary costs to acquire (including leases), construct, convert, expand, improve, repair, maintain, and equip the physical infrastructure of commissary stores and central processing facilities of the Defense Commissary system. Surcharge funds are also utilized for real property, environmental evaluation, and construction costs including costs for surveys, administration, overhead, planning,

SURCHARGE COLLECTIONS, SALES OF COMMISSARY STORES,  
DEFENSE—Continued

and design. Title 10 of the United States Code prescribes costs which may be financed by the trust fund.

Object Classification (in millions of dollars)

Identification code 97-8164-0-8-051	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
25.4 Operation and maintenance of facilities .....	92	76	104
25.7 Operation and maintenance of equipment .....	41	69	46
31.0 Equipment .....	56	102	63
32.0 Land and structures .....	99	55	111
99.9 Total new obligations .....	291	306	328

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
17-143517 General Fund Proprietary Interest Receipts, not Otherwise Classified, Navy .....		1	1
17-304117 Recoveries under the Foreign Military Sales Program, Navy .....	32	156	156
17-321017 General Fund Proprietary Receipts, not Otherwise Classified, Navy .....	221	82	82
21-278230 Arms Initiative, Downward Reestimates of Subsidies .....		1	
21-301900 Recoveries for Government Property Lost or Damaged .....	37	13	12
21-304121 Recoveries under the Foreign Military Sales Program, Army .....	37	22	22
21-321021 General Fund Proprietary Receipts, not Otherwise Classified, Army .....	-427	68	68
57-304157 Recoveries under the Foreign Military Sales Program, Air Force .....	21	41	41
57-321057 General Fund Proprietary Receipts, not Otherwise Classified, Air Force .....	65	97	97
97-184000 Rent of Equipment and Other Personal Property .....		1	1
97-223600 Sale of Certain Materials in National Defense Stockpile .....	76	48	20
97-246200 Deposits for Survivor Annuity Benefits .....	15	21	21
97-265197 Sale of Scrap and Salvage Materials .....	1	1	1
97-276130 Family Housing Improvement Fund, Downward Reestimates of Subsidies .....	44	22	
97-304197 Recoveries under the Foreign Military Sales Program, Defense Agencies .....	6	5	5
97-321097 General Fund Proprietary Receipts, not Otherwise Classified, Defense Agencies .....	194	87	87
General Fund Offsetting receipts from the public .....	322	666	614
Intragovernmental payments:			
17-388517 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Navy .....	-55	45	45
21-388521 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Army .....	-475		
57-388557 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Air Force .....	87		
97-388597 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Defense Agencies .....	-36		
General Fund Intragovernmental payments .....	-479	45	45

ADMINISTRATIVE PROVISIONS

SEC. 101. None of the funds made available in this title shall be expended for payments under a cost-plus-a-fixed-fee contract for construction, where cost estimates exceed \$25,000, to be performed within the United States, except Alaska, without the specific approval in writing of the Secretary of Defense setting forth the reasons therefor.

SEC. 102. Funds made available in this title for construction shall be available for hire of passenger motor vehicles.

SEC. 103. Funds made available in this title for construction may be used for advances to the Federal Highway Administration, Department of Transportation, for the construction of access roads as authorized by section 210 of title 23, United States Code, when projects authorized therein

are certified as important to the national defense by the Secretary of Defense.

SEC. 104. None of the funds made available in this title may be used to begin construction of new bases in the United States for which specific appropriations have not been made.

SEC. 105. None of the funds made available in this title shall be used for purchase of land or land easements in excess of 100 percent of the value as determined by the Army Corps of Engineers or the Naval Facilities Engineering Command, except: (1) where there is a determination of value by a Federal court; (2) purchases negotiated by the Attorney General or the designee of the Attorney General; (3) where the estimated value is less than \$25,000; or (4) as otherwise determined by the Secretary of Defense to be in the public interest.

SEC. 106. None of the funds made available in this title shall be used to: (1) acquire land; (2) provide for site preparation; or (3) install utilities for any family housing, except housing for which funds have been made available in annual Acts making appropriations for military construction.

SEC. 107. None of the funds made available in this title for minor construction may be used to transfer or relocate any activity from one base or installation to another, without prior notification to the Committees on Appropriations of both Houses of Congress.

SEC. 108. None of the funds made available in this title may be used for the procurement of steel for any construction project or activity for which American steel producers, fabricators, and manufacturers have been denied the opportunity to compete for such steel procurement.

SEC. 109. None of the funds available to the Department of Defense for military construction or family housing during the current fiscal year may be used to pay real property taxes in any foreign nation.

SEC. 110. None of the funds made available in this title may be used to initiate a new installation overseas without prior notification to the Committees on Appropriations of both Houses of Congress.

SEC. 111. None of the funds made available in this title for military construction in the United States territories and possessions in the Pacific and on Kwajalein Atoll, or in countries bordering the Arabian Sea, may be used to award any contract estimated by the Government to exceed \$1,000,000 to a foreign contractor: Provided, That this section shall not be applicable to contract awards for which the lowest responsive and responsible bid of a United States contractor exceeds the lowest responsive and responsible bid of a foreign contractor by greater than 20 percent: Provided further, That this section shall not apply to contract awards for military construction on Kwajalein Atoll for which the lowest responsive and responsible bid is submitted by a Marshallese contractor.

SEC. 112. Funds appropriated to the Department of Defense for construction in prior years shall be available for construction authorized for each such military department by the authorizations enacted into law during the current session of Congress.

SEC. 113. For military construction or family housing projects that are being completed with funds otherwise expired or lapsed for obligation, expired or lapsed funds may be used to pay the cost of associated supervision, inspection, overhead, engineering and design on those projects and on subsequent claims, if any.

SEC. 114. Notwithstanding any other provision of law, any funds made available to a military department or defense agency for the construction of military projects may be obligated for a military construction project or contract, or for any portion of such a project or contract, at any time before the end of the fourth fiscal year after the fiscal year for which funds for such project were made available, if the funds obligated for such project: (1) are obligated from funds available for military construction projects; and (2) do not exceed the amount appropriated for such project, plus any amount by which the cost of such project is increased pursuant to law.

(INCLUDING TRANSFER OF FUNDS)

SEC. 115. In addition to any other transfer authority available to the Department of Defense, proceeds deposited to the Department of Defense Base Closure Account established by section 207(a)(1) of the Defense Authorization Amendments and Base Closure and Realignment Act (10 U.S.C. 2687 note) pursuant to section 207(a)(2)(C) of such Act, may be transferred to the account established by section 2906(a)(1) of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note), to be merged with, and to be available for the same purposes and the same time period as that account.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 116. Subject to 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, such additional amounts as may be determined by the Secretary of Defense may be transferred to: (1) the Department of Defense Family Housing Improvement Fund from amounts appropriated for construction in "Family Housing" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund; or (2) the Department of Defense Military Unaccompanied Housing Improvement Fund from amounts appropriated for construction of military unaccompanied housing in "Military Construction" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund: Provided, That appropriations made available to the Funds shall be available to cover the costs, as defined in section 502(5) of the Congressional Budget Act of 1974, of direct loans or loan guarantees issued by the Department of Defense pursuant to the provisions of subchapter IV of chapter 169 of title 10, United States Code, pertaining to alternative means of acquiring and improving military family housing, military unaccompanied housing, and supporting facilities.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 117. In addition to any other transfer authority available to the Department of Defense, amounts may be transferred from the accounts established by sections 2906(a)(1) and 2906A(a)(1) of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note), to the fund established by section 1013(d) of the Demonstration Cities and Metropolitan Development Act of 1966 (42 U.S.C. 3374) to pay for expenses associated with the Homeowners Assistance Program incurred under 42 U.S.C. 3374(a)(1)(A). Any amounts transferred shall be merged with and be available for the same purposes and for the same time period as the fund to which transferred.

SEC. 118. Notwithstanding any other provision of law, funds made available in this title for operation and maintenance of family housing shall be the exclusive source of funds for repair and maintenance of all family housing units, including general or flag officer quarters: Provided, That not more than \$35,000 per unit may be spent annually for the maintenance and repair of any general or flag officer quarters without 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, except that an after-the-fact notification shall be submitted if the limitation is exceeded solely due to costs associated with environmental remediation that could not be reasonably anticipated at the time of the budget submission.

SEC. 119. Amounts contained in the Ford Island Improvement Account established by subsection (h) of section 2814 of title 10, United States Code, are appropriated and shall be available until expended for the purposes specified in subsection (i)(1) of such section or until transferred pursuant to subsection (i)(3) of such section.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 120. During the 5-year period after appropriations available in this Act to the Department of Defense for military construction and family housing operation and maintenance and construction have expired for obligation, upon a determination that such appropriations will not be necessary for the liquidation of obligations or for making authorized adjustments to such appropriations for obligations incurred during the period of availability of such appropriations, unobligated balances of such appropriations may be transferred into the appropriation "Foreign Currency Fluctuations, Construction, Defense", to be merged with and to be available for the same time period and for the same purposes as the appropriation to which transferred.

SEC. 121. Notwithstanding any other provision of law, the Secretary of the Army may use funds appropriated for operation and maintenance in any other act for real property maintenance and repair projects and activities at Arlington National Cemetery: Provided, That amounts provided in this title or in any other Act for maintenance and repair activities at Arlington National Cemetery are in addition to, and do not supplant, amounts made available in this Act under the heading the Cemeterial Expenses, Army for necessary expenses of the same general purpose.

SEC. 122. During any fiscal year for which an act authorizing military construction projects for that fiscal year has been enacted but appropriations for that fiscal year have been provided under a continuing appropriations resolution, notwithstanding any new start restrictions contained in the continuing resolution, appropriations available for military construction, including those provided under the authority of the continuing resolution, may be used for projects authorized in the military construction authorization act for that fiscal year, with the approval of the Office of Management and Budget: Provided, That, before using the authority provided by this section, the Secretary of Defense or the Secretary of the military department concerned shall provide notice to the Committees on Appropriations of both Houses of Congress not less than 30 days prior to such use, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code: Provided further, That the use of the authority of this section shall not result in the cancellation of any previously authorized military construction project.

## TITLE VIII—GENERAL PROVISIONS

SEC. 8001. During the current fiscal year, provisions of law prohibiting the payment of compensation to, or employment of, any person not a citizen of the United States shall not apply to personnel of the Department of Defense: Provided, That salary increases granted to direct and indirect hire foreign national employees of the Department of Defense funded by this Act shall not be at a rate in excess of the percentage increase authorized by law for civilian employees of the Department of Defense whose pay is computed under the provisions of section 5332 of title 5, United States Code, or at a rate in excess of the percentage increase provided by the appropriate host nation to its own employees, whichever is higher: Provided further, That this section shall not apply to Department of Defense foreign service national employees serving at United States diplomatic missions whose pay is set by the Department of State under the Foreign Service Act of 1980: Provided further, That the limitations of this provision shall not apply to foreign national employees of the Department of Defense in the Republic of Turkey.

SEC. 8002. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year, unless expressly so provided herein.

## (TRANSFER OF FUNDS)

SEC. 8003. Upon determination by the Secretary of Defense that such action is necessary in the national interest, he may, with the approval of the Office of Management and Budget, transfer not to exceed \$4,000,000,000 of working capital funds of the Department of Defense or funds made available in this Act to the Department of Defense for military functions (except military construction) between such appropriations or funds or any subdivision thereof, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: Provided, That the Secretary of Defense shall notify the Congress promptly of all transfers made pursuant to this authority or any other authority in this Act: Provided further, That transfers among military personnel appropriations shall not be taken into account for purposes of the limitation on the amount of funds that may be transferred under this section.

## (TRANSFER OF FUNDS)

SEC. 8004. During the current fiscal year, cash balances in working capital funds of the Department of Defense established pursuant to section 2208 of title 10, United States Code, may be maintained in only such amounts as are necessary at any time for cash disbursements to be made from such funds: Provided, That transfers may be made between such funds: Provided further, That transfers may be made between working capital funds and the "Foreign Currency Fluctuations, Defense" appropriation and the "Operation and Maintenance" appropriation accounts in such amounts as may be determined by the Secretary of Defense, with the approval of the Office of Management and Budget, except that such transfers may not be made unless the Secretary of Defense has notified the Congress of the proposed transfer. Except in amounts equal to the amounts appropriated to working capital funds in this Act, no obligations may be made against a working capital fund to procure or increase the value of war reserve material inventory, unless the Secretary of Defense has notified the Congress prior to any such obligation.

SEC. 8005.

Funds appropriated in title III of this Act may be used for a multiyear procurement contract as follows:

E-2D Advanced Hawkeye, SSN 774 Virginia class submarine, KC-130J, C-130J, HC-130J, MC-130J, AC-130J aircraft, and government furnished equipment.

SEC. 8006. Within the funds appropriated for the operation and maintenance of the Armed Forces, funds are hereby appropriated pursuant to section 401 of title 10, United States Code, for humanitarian and civic assistance costs under chapter 20 of title 10, United States Code. Such funds may also be obligated for humanitarian and civic assistance costs incidental to authorized operations and pursuant to authority granted in section 401 of chapter 20 of title 10, United States Code, and these obligations shall be reported as required by section 401(d) of title 10, United States Code: Provided, That funds available for operation and maintenance shall be available for providing humanitarian and similar assistance by using Civic Action Teams in the Trust Territories of the Pacific Islands and freely associated states of Micronesia, pursuant to the Compact of Free Association as authorized by Public Law 99-239 as amended: Provided further, That upon a determination by the Secretary of the Army that such action is beneficial for graduate medical education programs conducted at Army medical facilities located in Hawaii, the Secretary of the Army may authorize the provision of medical services at such facilities and transportation to such facilities, on a nonreimbursable basis, for civilian patients from American Samoa, the Commonwealth of the Northern Mariana Islands, the Marshall Islands, the Federated States of Micronesia, Palau, and Guam.

SEC. 8007. (a) During fiscal year 2014, the civilian personnel of the Department of Defense may not be managed on the basis of any end-strength, and the management of such personnel during that fiscal year shall not be subject to any constraint or limitation (known as an end-strength) on the number of such personnel who may be employed on the last day of such fiscal year.

SEC. 8008. None of the funds appropriated by this Act shall be available for the basic pay and allowances of any member of the Army participating as a full-time student and receiving benefits paid by the Secretary of Veterans Affairs from the Department of Defense Education Benefits Fund when time spent as a full-time student is credited toward completion of a service commitment: Provided, That this section shall not apply to those members who have reenlisted with this option prior to October 1, 1987: Provided further, That this section applies only to active components of the Army.

(TRANSFER OF FUNDS)

SEC. 8009. Funds appropriated in title III of this Act for the Department of Defense Pilot Mentor-Protege Program may be transferred to any other appropriation contained in this Act solely for the purpose of implementing a Mentor-Protege Program developmental assistance agreement pursuant to section 831 of the National Defense Authorization Act for Fiscal Year 1991 (Public Law 101-510; 10 U.S.C. 2302 note), as amended, under the authority of this provision or any other transfer authority contained in this Act.

SEC. 8010. Funds appropriated by this Act for the Defense Media Activity shall not be used for any national or international political or psychological activities.

SEC. 8011. During the current fiscal year, the Department of Defense is authorized to incur obligations of not to exceed \$350,000,000 for purposes specified in section 2350j(c) of title 10, United States Code, in anticipation of receipt of contributions, only from the Government of Kuwait, under that section: Provided, That upon receipt, such contributions from the Government of Kuwait shall be credited to the appropriations or fund which incurred such obligations.

SEC. 8012. (a) None of the funds appropriated in this Act are available to establish a new Department of Defense (department) federally funded research and development center (FFRDC), either as a new entity, or as a separate entity administered by an organization managing another FFRDC, or as a nonprofit membership corporation consisting of a consortium of other FFRDCs and other nonprofit entities.

(b) No member of a Board of Directors, Trustees, Overseers, Advisory Group, Special Issues Panel, Visiting Committee, or any similar entity of a defense FFRDC, and no paid consultant to any defense FFRDC, except when acting in a technical advisory capacity, may be compensated for his or her services as a member of such entity, or as a paid consultant by more than one FFRDC in a fiscal year: Provided, That a member of

any such entity referred to previously in this subsection shall be allowed travel expenses and per diem as authorized under the Federal Joint Travel Regulations, when engaged in the performance of membership duties.

(c) Notwithstanding any other provision of law, none of the funds available to the department from any source during fiscal year 2014 may be used by a defense FFRDC, through a fee or other payment mechanism, for construction of new buildings, for payment of cost sharing for projects funded by Government grants, for absorption of contract overruns, or for certain charitable contributions, not to include employee participation in community service and/or development.

SEC. 8013. For the purposes of this Act, the term "congressional defense committees" means the Armed Services Committee of the House of Representatives, the Armed Services Committee of the Senate, the Subcommittee on Defense of the Committee on Appropriations of the Senate, and the Subcommittee on Defense of the Committee on Appropriations of the House of Representatives.

SEC. 8014. During the current fiscal year, the Department of Defense may acquire the modification, depot maintenance and repair of aircraft, vehicles and vessels as well as the production of components and other Defense-related articles, through competition between Department of Defense depot maintenance activities and private firms: Provided, That the Senior Acquisition Executive of the military department or Defense Agency concerned, with power of delegation, shall certify that successful bids include comparable estimates of all direct and indirect costs for both public and private bids.

SEC. 8015. (a)(1) If the Secretary of Defense, after consultation with the United States Trade Representative, determines that a foreign country which is party to an agreement described in paragraph (2) has violated the terms of the agreement by discriminating against certain types of products produced in the United States that are covered by the agreement, the Secretary of Defense shall rescind the Secretary's blanket waiver of the Buy American Act with respect to such types of products produced in that foreign country.

(2) An agreement referred to in paragraph (1) is any reciprocal defense procurement memorandum of understanding, between the United States and a foreign country pursuant to which the Secretary of Defense has prospectively waived the Buy American Act for certain products in that country.

(b) The Secretary of Defense shall submit to the Congress a report on the amount of Department of Defense purchases from foreign entities in fiscal year 2014. Such report shall separately indicate the dollar value of items for which the Buy American Act was waived pursuant to any agreement described in subsection (a)(2), the Trade Agreement Act of 1979 (19 U.S.C. 2501 et seq.), or any international agreement to which the United States is a party.

(c) For purposes of this section, the term "Buy American Act" means chapter 83 of title 41, United States Code.

SEC. 8016. During the current fiscal year, amounts contained in the Department of Defense Overseas Military Facility Investment Recovery Account established by section 2921(c)(1) of the National Defense Authorization Act of 1991 (Public Law 101-510; 10 U.S.C. 2687 note) shall be available until expended for the payments specified by section 2921(c)(2) of that Act.

SEC. 8017. (a) Notwithstanding any other provision of law, the Secretary of the Air Force may convey at no cost to the Air Force, without consideration, to Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington relocatable military housing units located at Grand Forks Air Force Base, Malmstrom Air Force Base, Mountain Home Air Force Base, Ellsworth Air Force Base, and Minot Air Force Base that are excess to the needs of the Air Force.

(b) The Secretary of the Air Force shall convey, at no cost to the Air Force, military housing units under subsection (a) in accordance with the request for such units that are submitted to the Secretary by the Operation Walking Shield Program on behalf of Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington. Any such conveyance shall be subject to the condition that the housing units shall be removed within a reasonable period of time, as determined by the Secretary.

(c) The Operation Walking Shield Program shall resolve any conflicts among requests of Indian tribes for housing units under subsection (a)

before submitting requests to the Secretary of the Air Force under subsection (b).

(d) In this section, the term "Indian tribe" means any recognized Indian tribe included on the current list published by the Secretary of the Interior under section 104 of the Federally Recognized Indian Tribe Act of 1994 (Public Law 103-454; 108 Stat. 4792; 25 U.S.C. 479a-1).

SEC. 8018. During the current fiscal year, appropriations which are available to the Department of Defense for operation and maintenance may be used to purchase items having an investment item unit cost of not more than \$250,000.

SEC. 8019. None of the funds appropriated by this Act for programs of the Central Intelligence Agency shall remain available for obligation beyond the current fiscal year, except for funds appropriated for the Reserve for Contingencies, which shall remain available until September 30, 2015: Provided, That funds appropriated, transferred, or otherwise credited to the Central Intelligence Agency Central Services Working Capital Fund during this or any prior or subsequent fiscal year shall remain available until expended: Provided further, That any funds appropriated or transferred to the Central Intelligence Agency for advanced research and development acquisition, for agent operations, and for covert action programs authorized by the President under section 503 of the National Security Act of 1947, as amended, shall remain available until September 30, 2015.

SEC. 8020. Notwithstanding any other provision of law, funds made available in this Act for the Defense Intelligence Agency may be used for the design, development, and deployment of General Defense Intelligence Program intelligence communications and intelligence information systems for the Services, the Unified and Specified Commands, and the component commands.

SEC. 8021. None of the funds appropriated by this Act shall be available for a contract for studies, analysis, or consulting services entered into without competition on the basis of an unsolicited proposal unless the head of the activity responsible for the procurement determines—

(1) as a result of thorough technical evaluation, only one source is found fully qualified to perform the proposed work;

(2) the purpose of the contract is to explore an unsolicited proposal which offers significant scientific or technological promise, represents the product of original thinking, and was submitted in confidence by one source; or

(3) the purpose of the contract is to take advantage of unique and significant industrial accomplishment by a specific concern, or to insure that a new product or idea of a specific concern is given financial support: Provided, That this limitation shall not apply to contracts in an amount of less than \$25,000, contracts related to improvements of equipment that is in development or production, or contracts as to which a civilian official of the Department of Defense, who has been confirmed by the Senate, determines that the award of such contract is in the interest of the national defense.

SEC. 8022. None of the funds appropriated or otherwise made available in this Act may be obligated or expended for assistance to the Democratic People's Republic of Korea unless specifically appropriated for that purpose.

SEC. 8023. Funds appropriated in this Act for operation and maintenance of the Military Departments, Combatant Commands and Defense Agencies shall be available for reimbursement of pay, allowances and other expenses which would otherwise be incurred against appropriations for the National Guard and Reserve when members of the National Guard and Reserve provide intelligence or counterintelligence support to Combatant Commands, Defense Agencies and Joint Intelligence Activities, including the activities and programs included within the National Intelligence Program and the Military Intelligence Program: Provided, That nothing in this section authorizes deviation from established Reserve and National Guard personnel and training procedures.

SEC. 8024. (a) None of the funds available to the Department of Defense for any fiscal year for drug interdiction or counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

(b) None of the funds available to the Central Intelligence Agency for any fiscal year for drug interdiction and counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

SEC. 8025. None of the funds available to the Department of Defense under this Act shall be obligated or expended to pay a contractor under

a contract with the Department of Defense for costs of any amount paid by the contractor to an employee when—

(1) such costs are for a bonus or otherwise in excess of the normal salary paid by the contractor to the employee; and

(2) such bonus is part of restructuring costs associated with a business combination.

(INCLUDING TRANSFER OF FUNDS)

SEC. 8026. During the current fiscal year, no more than \$30,000,000 of appropriations made in this Act under the heading "Operation and Maintenance, Defense-Wide" may be transferred to appropriations available for the pay of military personnel, to be merged with, and to be available for the same time period as the appropriations to which transferred, to be used in support of such personnel in connection with support and services for eligible organizations and activities outside the Department of Defense pursuant to section 2012 of title 10, United States Code.

SEC. 8027. (a) Notwithstanding any other provision of law, the Chief of the National Guard Bureau may permit the use of equipment of the National Guard Distance Learning Project by any person or entity on a space-available, reimbursable basis. The Chief of the National Guard Bureau shall establish the amount of reimbursement for such use on a case-by-case basis.

(b) Amounts collected under subsection (a) shall be credited to funds available for the National Guard Distance Learning Project and be available to defray the costs associated with the use of equipment of the project under that subsection. Such funds shall be available for such purposes without fiscal year limitation.

SEC. 8028. None of the funds appropriated in title IV of this Act may be used to procure end-items for delivery to military forces for operational training, operational use or inventory requirements: Provided, That this restriction does not apply to end-items used in development, prototyping, and test activities preceding and leading to acceptance for operational use: Provided further, That this restriction does not apply to programs funded within the National Intelligence Program: Provided further, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that it is in the national security interest to do so.

SEC. 8029. (a) The Secretary of Defense may, on a case-by-case basis, waive with respect to a foreign country each limitation on the procurement of defense items from foreign sources provided in law if the Secretary determines that the application of the limitation with respect to that country would invalidate cooperative programs entered into between the Department of Defense and the foreign country, or would invalidate reciprocal trade agreements for the procurement of defense items entered into under section 2531 of title 10, United States Code, and the country does not discriminate against the same or similar defense items produced in the United States for that country.

(b) Subsection (a) applies with respect to—

(1) contracts and subcontracts entered into on or after the date of the enactment of this Act; and

(2) options for the procurement of items that are exercised after such date under contracts that are entered into before such date if the option prices are adjusted for any reason other than the application of a waiver granted under subsection (a).

(c) Subsection (a) does not apply to a limitation regarding construction of public vessels, ball and roller bearings, food, and clothing or textile materials as defined by section 11 (chapters 50-65) of the Harmonized Tariff Schedule and products classified under headings 4010, 4202, 4203, 6401 through 6406, 6505, 7019, 7218 through 7229, 7304.41 through 7304.49, 7306.40, 7502 through 7508, 8105, 8108, 8109, 8211, 8215, and 9404.

SEC. 8030. (a) None of the funds made available by this Act may be used to support any training program involving a unit of the security forces or police of a foreign country if the Secretary of Defense has received credible information from the Department of State that the unit has committed a gross violation of human rights, unless all necessary corrective steps have been taken.

(b) The Secretary of Defense, in consultation with the Secretary of State, shall ensure that prior to a decision to conduct any training program referred to in subsection (a), full consideration is given to all credible information available to the Department of State relating to human rights violations by foreign security forces.

(c) *The Secretary of Defense, after consultation with the Secretary of State, may waive the prohibition in subsection (a) if he determines that such waiver is required by extraordinary circumstances.*

(d) *Not more than 15 days after the exercise of any waiver under subsection (c), the Secretary of Defense shall submit a report to the congressional defense committees describing the extraordinary circumstances, the purpose and duration of the training program, the United States forces and the foreign security forces involved in the training program, and the information relating to human rights violations that necessitates the waiver.*

SEC. 8031. *Notwithstanding any other provision of law, funds appropriated in this Act under the heading "Research, Development, Test and Evaluation, Defense-Wide" for any new start advanced concept technology demonstration project or joint capability demonstration project may only be obligated 45 days after a report, including a description of the project, the planned acquisition and transition strategy and its estimated annual and total cost, has been provided in writing to the congressional defense committees: Provided, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying to the congressional defense committees that it is in the national interest to do so.*

SEC. 8032. *Notwithstanding section 12310(b) of title 10, United States Code, a Reserve who is a member of the National Guard serving on full-time National Guard duty under section 502(f) of title 32, United States Code, may perform duties in support of the ground-based elements of the National Ballistic Missile Defense System.*

SEC. 8033. *None of the funds provided in this Act may be used to transfer to any nongovernmental entity ammunition held by the Department of Defense that has a center-fire cartridge and a United States military nomenclature designation of "armor penetrator", "armor piercing (AP)", "armor piercing incendiary (API)", or "armor-piercing incendiary tracer (API-T)", except to an entity performing demilitarization services for the Department of Defense under a contract that requires the entity to demonstrate to the satisfaction of the Department of Defense that armor piercing projectiles are either: (1) rendered incapable of reuse by the demilitarization process; or (2) used to manufacture ammunition pursuant to a contract with the Department of Defense or the manufacture of ammunition for export pursuant to a License for Permanent Export of Unclassified Military Articles issued by the Department of State.*

SEC. 8034. *Notwithstanding any other provision of law, the Chief of the National Guard Bureau, or his designee, may waive payment of all or part of the consideration that otherwise would be required under section 2667 of title 10, United States Code, in the case of a lease of personal property for a period not in excess of 1 year to any organization specified in section 508(d) of title 32, United States Code, or any other youth, social, or fraternal nonprofit organization as may be approved by the Chief of the National Guard Bureau, or his designee, on a case-by-case basis.*

(INCLUDING TRANSFER OF FUNDS)

SEC. 8035. *Of the amounts appropriated in this Act under the heading "Operation and Maintenance, Army", \$108,725,800 shall remain available until expended: Provided, That notwithstanding any other provision of law, the Secretary of Defense is authorized to transfer such funds to other activities of the Federal Government: Provided further, That the Secretary of Defense is authorized to enter into and carry out contracts for the acquisition of real property, construction, personal services, and operations related to projects carrying out the purposes of this section: Provided further, That contracts entered into under the authority of this section may provide for such indemnification as the Secretary determines to be necessary: Provided further, That projects authorized by this section shall comply with applicable Federal, State, and local law to the maximum extent consistent with the national security, as determined by the Secretary of Defense.*

SEC. 8036. *Section 8106 of the Department of Defense Appropriations Act, 1997 (titles I through VIII of the matter under subsection 101(b) of Public Law 104-208; 110 Stat. 3009-111; 10 U.S.C. 113 note) shall continue in effect to apply to disbursements that are made by the Department of Defense in fiscal year 2014.*

(INCLUDING TRANSFER OF FUNDS)

SEC. 8037. *Of the amounts appropriated in this Act under the heading "Procurement, Defense-wide" and "Research, Development, Test and Evaluation, Defense-Wide", \$316,091,000 shall be for the Israeli Cooperative Programs: Provided, That of this amount, \$220,309,000 shall be for the Secretary of Defense to provide to the Government of Israel for the*

*procurement of the Iron Dome defense system to counter short-range rocket threats: Provided further, That \$95,782,000 provided under the heading "Research, Development, Test and Evaluation, Defense-Wide" shall be available as follows: \$32,512,000 shall be for the Short Range Ballistic Missile Defense (SRBMD) program, including cruise missile defense research and development under the SRBMD program, of which \$15,000,000 shall be for production activities of SRBMD missiles in the United States and in Israel to meet Israel's defense requirements consistent with each nation's laws, regulations, and procedures, \$52,607,000 shall be available for an upper-tier component to the Israeli Missile Defense Architecture, and \$10,663,000 shall be for the Arrow System Improvement Program including development of a long range, ground and airborne, detection suite: Provided further, That funds made available under this provision for production of missiles and missile components may be transferred to appropriations available for the procurement of weapons and equipment, to be merged with and to be available for the same time period and the same purposes as the appropriation to which transferred: Provided further, That the transfer authority provided under this provision is in addition to any other transfer authority contained in this Act.*

SEC. 8038. *Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of the Intelligence Authorization Act for Fiscal Year 2014.*

(INCLUDING TRANSFER OF FUNDS)

SEC. 8039. *The Secretary of Defense may transfer funds from any available Department of the Navy appropriation to any available Navy ship construction appropriation for the purpose of liquidating necessary changes resulting from inflation, market fluctuations, or rate adjustments for any ship construction program appropriated in law: Provided, That the Secretary may transfer not to exceed \$100,000,000 under the authority provided by this section: Provided further, That the Secretary may not transfer any funds until 30 days after the proposed transfer has been reported to the Committees on Appropriations of the House of Representatives and the Senate, unless a response from the Committees is received sooner: Provided further, That any funds transferred pursuant to this section shall retain the same period of availability as when originally appropriated: Provided further, That the transfer authority provided by this section is in addition to any other transfer authority contained elsewhere in this Act.*

SEC. 8040. *For purposes of section 7108 of title 41, United States Code, any subdivision of appropriations made under the heading "Shipbuilding and Conversion, Navy" that is not closed at the time reimbursement is made shall be available to reimburse the Judgment Fund and shall be considered for the same purposes as any subdivision under the heading "Shipbuilding and Conversion, Navy" appropriations in the current fiscal year or any prior fiscal year.*

SEC. 8041. *None of the funds appropriated by this Act for programs of the Office of the Director of National Intelligence shall remain available for obligation beyond the current fiscal year, except for funds appropriated for research and technology, which shall remain available until September 30, 2015.*

SEC. 8042. *For purposes of section 1553(b) of title 31, United States Code, any subdivision of appropriations made in this Act under the heading "Shipbuilding and Conversion, Navy" shall be considered to be for the same purpose as any subdivision under the heading "Shipbuilding and Conversion, Navy" appropriations in any prior fiscal year, and the 1 percent limitation shall apply to the total amount of the appropriation.*

(INCLUDING TRANSFER OF FUNDS)

SEC. 8043. *During the current fiscal year, not to exceed \$200,000,000 from funds available under "Operation and Maintenance" may be transferred to the Department of State "Global Security Contingency Fund": Provided, That this transfer authority is in addition to any other transfer authority available to the Department of Defense: Provided further, That the Secretary of Defense shall, not fewer than 30 days prior to making transfers to the Department of State "Global Security Contingency Fund", notify the congressional defense committees in writing with the source of funds and a detailed justification, execution plan, and timeline for each proposed project.*

## (INCLUDING TRANSFER OF FUNDS)

SEC. 8044. During the current fiscal year, not to exceed \$11,000,000 from each of the appropriations made in title II of this Act for "Operation and Maintenance, Army", "Operation and Maintenance, Navy", "Operation and Maintenance, Marine Corps", and "Operation and Maintenance, Air Force" may be transferred by the military department concerned to its central fund established for Fisher Houses and Suites pursuant to section 2493(d) of title 10, United States Code.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 8045. Of the funds appropriated in the Intelligence Community Management Account for the Program Manager for the Information Sharing Environment, \$20,000,000 is available for transfer by the Director of National Intelligence to other departments and agencies for purposes of Government-wide information sharing activities: Provided, That funds transferred under this provision are to be merged with and available for the same purposes and time period as the appropriation to which transferred: Provided further, That the Office of Management and Budget must approve any transfers made under this provision.

SEC. 8046. Funds appropriated by this Act for operation and maintenance may be available for the purpose of making remittances to the Defense Acquisition Workforce Development Fund in accordance with the requirements of section 1705 of title 10, United States Code: Provided, That funds may also be transferred to the Fund in accordance with the provisions of section 1705(d)(3) of title 10, United States Code.

SEC. 8047. (a) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract for an amount in excess of \$1,000,000, unless the contractor agrees not to—

(1) enter into any agreement with any of its employees or independent contractors that requires, as a condition of employment, that the employee or independent contractor agree to resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention; or

(2) take any action to enforce any provision of an existing agreement with an employee or independent contractor that mandates that the employee or independent contractor resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention.

(b) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract unless the contractor certifies that it requires each covered subcontractor to agree not to enter into, and not to take any action to enforce any provision of, any agreement as described in paragraphs (1) and (2) of subsection (a), with respect to any employee or independent contractor performing work related to such subcontract. For purposes of this subsection, a "covered subcontractor" is an entity that has a subcontract in excess of \$1,000,000 on a contract subject to subsection (a).

(c) The prohibitions in this section do not apply with respect to a contractor's or subcontractor's agreements with employees or independent contractors that may not be enforced in a court of the United States.

(d) The Secretary of Defense may waive the application of subsection (a) or (b) to a particular contractor or subcontractor for the purposes of a particular contract or subcontract if the Secretary or the Deputy Secretary personally determines that the waiver is necessary to avoid harm to national security interests of the United States, and that the term of the contract or subcontract is not longer than necessary to avoid such harm. The determination shall set forth with specificity the grounds for the waiver and for the contract or subcontract term selected, and shall state any alternatives considered in lieu of a waiver and the reasons each such alternative would not avoid harm to national security interests of the United States. The Secretary of Defense shall transmit to Congress, and simultaneously make public, any determination under this subsection not less than 15 business days before the contract or subcontract addressed in the determination may be awarded.

SEC. 8048. None of the funds made available under this Act may be distributed to the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 8049. From within the funds appropriated for operation and maintenance for the Defense Health Program in this Act, up to \$143,087,000, shall be available for transfer to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund in accordance with the provisions of section 1704 of the National Defense Authorization Act for Fiscal Year 2010, Public Law 111-84: Provided, That for purposes of section 1704(b), the facility operations funded are operations of the integrated Captain James A. Lovell Federal Health Care Center, consisting of the North Chicago Veterans Affairs Medical Center, the Navy Ambulatory Care Center, and supporting facilities designated as a combined Federal medical facility as described by section 706 of Public Law 110-417: Provided further, That additional funds may be transferred from funds appropriated for operation and maintenance for the Defense Health Program to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Defense to the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 8050. Appropriations available to the Department of Defense may be used for the purchase of heavy and light armored vehicles for the physical security of personnel or for force protection purposes up to a limit of \$250,000 per vehicle, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.

SEC. 8051. Of the amounts appropriated for "Operation and Maintenance, Defense-Wide", the following amount shall be available to the Secretary of Defense, for the following authorized purpose, notwithstanding any other provision of law, acting through the Office of Economic Adjustment of the Department of Defense, to make grants, conclude cooperative agreements, and supplement other Federal funds, to remain available until expended, to support critical existing and enduring military installations and missions on Guam, as well as any potential Department of Defense growth, \$273,300,000 for addressing the need for civilian water and wastewater improvements: Provided, That the Secretary of Defense shall, not fewer than 15 days prior to obligating funds for either of the foregoing purposes, notify the congressional defense committees in writing of the details of any such obligation.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 8052. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

SEC. 8053. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that was convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

SEC. 8054. During the current fiscal year, funds appropriated by this or any prior Act may be used under authority of this section to carry out or continue programs, projects and activities authorized by law on September 30, 2013: Provided, That the additional authority provided by this section expires on the date of enactment of a National Defense Authorization Act for fiscal year 2014.

SEC. 8055. Of the amounts appropriated for Military Personnel under title I of this Act, not to exceed two percent of each appropriation shall remain available for obligation until September 30, 2015.

SEC. 8056. Of the amounts appropriated in this Act under the heading "Shipbuilding and Conversion, Navy", \$625,800,000 shall be available until September 30, 2014, to fund prior year shipbuilding cost increases: Provided, That upon enactment of this Act, the Secretary of the Navy shall transfer funds to the following appropriations in the amounts specified: Provided further, That the amounts transferred shall be merged with and

be available for the same purposes as the appropriations to which transferred to:

(1) Under the heading "Shipbuilding and Conversion, Navy" in Public

Law 109-289: LHA Replacement Program \$37,700,000.

(2) Under the heading "Shipbuilding and Conversion, Navy" in Public Law 110-116: Carrier Replacement Program, \$588,100,000.

# DEPARTMENT OF EDUCATION

## OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

### Federal Funds

#### ACCELERATING ACHIEVEMENT AND ENSURING EQUITY

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 91–0900–0–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....	14,490	14,548	10,841
0002 School improvement grants .....	534	1,069	.....
0003 Striving readers .....	160	161	.....
0004 State agency programs .....	444	454	.....
0005 Evaluation .....	3	3	.....
0006 Special programs for migrant students .....	37	37	.....
0007 High school graduation initiative .....	49	49	.....
0900 Total new obligations .....	15,717	16,321	10,841
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	543	549	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	544	549	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,910	4,931	.....
1130 Appropriations permanently reduced .....	-9	.....	.....
1160 Appropriation, discretionary (total) .....	4,901	4,931	.....
Advance appropriations, discretionary:			
1170 Advance appropriation .....	10,841	10,841	10,841
1173 Advance appropriations permanently reduced .....	-20	.....	.....
1180 Advanced appropriation, discretionary (total) .....	10,821	10,841	10,841
1900 Budget authority (total) .....	15,722	15,772	10,841
1930 Total budgetary resources available .....	16,266	16,321	10,841
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	549	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12,788	11,373	10,271
3010 Obligations incurred, unexpired accounts .....	15,717	16,321	10,841
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-17,112	-17,423	-16,488
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-20	.....	.....
3050 Unpaid obligations, end of year .....	11,373	10,271	4,624
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12,788	11,373	10,271
3200 Obligated balance, end of year .....	11,373	10,271	4,624
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15,722	15,772	10,841
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7,545	8,826	8,456
4011 Outlays from discretionary balances .....	9,567	8,597	8,032
4020 Outlays, gross (total) .....	17,112	17,423	16,488
4180 Budget authority, net (total) .....	15,722	15,772	10,841
4190 Outlays, net (total) .....	17,112	17,423	16,488

#### Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	15,722	15,772	10,841
Outlays .....	17,112	17,423	16,488
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			4,002

Outlays .....			80
Total:			
Budget Authority .....	15,722	15,772	14,843
Outlays .....	17,112	17,423	16,568

#### SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2012–2013 Academic Year	2013–2014 Academic Year	2014–2015 Academic Year
New Budget Authority .....	\$4,900	\$4,931	\$4,002
Advance appropriation .....	10,841	10,841	11,682
Total program level .....	15,741	15,772	15,684
Change in advance appropriation from the previous year .....	0	0	+841 <sup>1</sup>

<sup>1</sup>To account for the Administration's ESEA reauthorization proposal, the 2014 Budget eliminates the \$1.7 billion advance appropriation that was previously in the Education Improvement Programs account and replaces it with corresponding increases to advance appropriations in the Accelerating Achievement and Ensuring Equity account (\$841 million) and the Special Education account (\$841 million). Total advance appropriations in the Department of Education remain \$22.6 billion.

The Administration is proposing legislation reauthorizing programs included in the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

#### Object Classification (in millions of dollars)

Identification code 91–0900–0–1–501	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	5	6	.....
25.2 Other services from non-Federal sources .....	23	29	.....
41.0 Grants, subsidies, and contributions .....	15,689	16,286	10,841
99.9 Total new obligations .....	15,717	16,321	10,841

#### ACCELERATING ACHIEVEMENT AND ENSURING EQUITY

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 91–0900–2–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....			2,835
0002 School turnaround grants .....			659
0003 State agency programs .....			443
0004 Homeless children and youth education .....			65
0900 Total new obligations .....			4,002
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			4,002
1160 Appropriation, discretionary (total) .....			4,002
1900 Budget authority (total) .....			4,002
1930 Total budgetary resources available .....			4,002
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			4,002
3020 Outlays (gross) .....			-80
3050 Unpaid obligations, end of year .....			3,922
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			3,922
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			4,002

ACCELERATING ACHIEVEMENT AND ENSURING EQUITY—Continued  
Program and Financing—Continued

Identification code 91–0900–2–1–501	2012 actual	2013 CR	2014 est.
Outlays, gross:			
4010 Outlays from new discretionary authority .....			80
4180 Budget authority, net (total) .....			4,002
4190 Outlays, net (total) .....			80

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

*College- and career-ready students (formerly Grants to local educational agencies).*—Funds would be allocated via formula for programs that provide academic support to help students in high-poverty schools meet college- and career-ready standards. States would assess annually all students in certain grades in at least English language arts and mathematics, and use the results of these assessments to measure local educational agency (LEA) and school progress in ensuring that all students are meeting, or are on track to meet, college- and career-ready standards; to inform families about whether their children are meeting or are on track to meet such standards; and to develop appropriate improvement and support strategies for schools and LEAs. States would establish systems for differentiating among schools and LEAs on the basis of performance, including recognition and rewards for highly effective schools and LEAs, the implementation of rigorous school intervention models in the lowest-achieving schools, and State-approved, research-based interventions in low-performing schools that are not serving their students well.

*School turnaround grants.*—Funds would primarily support formula grants to States to help LEAs turn around their lowest-achieving schools by implementing rigorous school intervention models. In general, such schools would rank in the bottom five percent of performance in their States based on proficiency rates and lack of progress or, in the case of high schools, have a graduation rate below 60 percent. Once States have served their lowest-achieving schools, funds could be used for other school improvement and support efforts in eligible schools. Funds also would support competitive grants to LEAs to build local capacity to support school turnaround efforts and sustain successful turnarounds following the end of the grant period.

*State agency migrant program.*—Funds would support formula grants to States for educational services to children of migratory farmworkers and fishers, with resources and services focused on children who have moved within the past 36 months.

*State agency neglected and delinquent children and youth education program.*—Funds would support formula grants to States for educational services to neglected or delinquent children and youth in State-run institutions, attending community day programs, and in other correctional facilities.

*Homeless children and youth education.*—Funds would support formula grants to States to provide educational and support services that enable homeless children and youth to attend and achieve success in school.

Object Classification (in millions of dollars)

Identification code 91–0900–2–1–501	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....			5
25.2 Other services from non-Federal sources .....			23
41.0 Grants, subsidies, and contributions .....			3,974

99.9 Total new obligations ..... 4,002

SCHOOL READINESS

*For carrying out activities authorized by part D of title V of the Elementary and Secondary Education Act of 1965, \$750,000,000 for a preschool development grants program: Provided, That the Secretary shall make competitive grants to States to carry out activities that support high-quality preschool programs for children from families at or below 200 percent of the Federal poverty line: Provided further: That the Secretary may permit or require States to subgrant a portion of grant funds to local educational agencies, or local educational agencies in partnership with other early learning providers, for the implementation of high-quality preschool programs for children from families at or below 200 percent of the Federal poverty line: Provided further, That up to 5 percent of such funds for competitive grants shall be available for national activities.*

Program and Financing (in millions of dollars)

Identification code 91–0015–0–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Preschool development grants .....			750
0900 Total new obligations (object class 41.0) .....			750
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			750
1160 Appropriation, discretionary (total) .....			750
1930 Total budgetary resources available .....			750
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			750
3020 Outlays (gross) .....			–38
3050 Unpaid obligations, end of year .....			712
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			712
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			750
Outlays, gross:			
4010 Outlays from new discretionary authority .....			38
4180 Budget authority, net (total) .....			750
4190 Outlays, net (total) .....			38

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....			750
Outlays .....			38
Legislative proposal, subject to PAYGO:			
Budget Authority .....			1,300
Outlays .....			130
Total:			
Budget Authority .....			2,050
Outlays .....			168

*Preschool development grants.*—Funds would support grants to States to carry out the activities needed to ensure that every State willing to commit to expanding preschool access has the high-quality programs required to successfully serve four-year-old children. The Department would provide competitive grants to States with preschool systems at various stages of development that are planning to provide universal access to high-quality

preschool for four-year-old children from low and moderate income families.

SCHOOL READINESS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-0015-4-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Preschool for all			1,300
0900 Total new obligations (object class 41.0)			1,300
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			1,300
1260 Appropriations, mandatory (total)			1,300
1930 Total budgetary resources available			1,300
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			1,300
3020 Outlays (gross)			-130
3050 Unpaid obligations, end of year			1,170
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,170
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			1,300
Outlays, gross:			
4100 Outlays from new mandatory authority			130
4180 Budget authority, net (total)			1,300
4190 Outlays, net (total)			130

*Preschool for all.*—Funds would support grants to States for the implementation of high-quality preschool programs that are aligned with elementary and secondary education systems. The Department would share costs with States to provide universal access to high-quality preschool for children from low and moderate income families and provide incentives for States to serve additional children from middle-class families.

IMPACT AID

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 91-0102-0-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Basic support payments	1,154	1,161	
0002 Payments for children with disabilities	48	49	
0091 Direct program activities, subtotal	1,202	1,210	
0101 Facilities maintenance	6	5	4
0201 Construction		17	17
0301 Payments for Federal property	67	67	
0900 Total new obligations (object class 41.0)	1,275	1,299	21
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	21	21
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,294	1,299	
1130 Appropriations permanently reduced	-2		
1160 Appropriation, discretionary (total)	1,292	1,299	
1930 Total budgetary resources available	1,296	1,320	21

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	21	21	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	484	454	323
3010 Obligations incurred, unexpired accounts	1,275	1,299	21
3011 Obligations incurred, expired accounts	440		
3020 Outlays (gross)	-1,305	-1,430	-221
3041 Recoveries of prior year unpaid obligations, expired	-440		
3050 Unpaid obligations, end of year	454	323	123
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	484	454	323
3200 Obligated balance, end of year	454	323	123

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	1,292	1,299	
Outlays, gross:			
4010 Outlays from new discretionary authority	1,048	1,151	
4011 Outlays from discretionary balances	257	279	221
4020 Outlays, gross (total)	1,305	1,430	221
4180 Budget authority, net (total)	1,292	1,299	
4190 Outlays, net (total)	1,305	1,430	221

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	1,292	1,299	
Outlays	1,305	1,430	221
Legislative proposal, not subject to PAYGO:			
Budget Authority			1,224
Outlays			1,084
Total:			
Budget Authority	1,292	1,299	1,224
Outlays	1,305	1,430	1,305

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

IMPACT AID

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-0102-2-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Basic support payments			1,154
0002 Payments for children with disabilities			48
0091 Direct program activities, subtotal			1,202
0101 Facilities maintenance			5
0201 Construction			17
0900 Total new obligations (object class 41.0)			1,224
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			1,224
1160 Appropriation, discretionary (total)			1,224
1930 Total budgetary resources available			1,224
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			1,224
3020 Outlays (gross)			-1,084
3050 Unpaid obligations, end of year			140
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			140

IMPACT AID—Continued  
Program and Financing—Continued

Identification code 91–0102–2–1–501	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			1,224
Outlays, gross:			
4010 Outlays from new discretionary authority			1,084
4180 Budget authority, net (total)			1,224
4190 Outlays, net (total)			1,084

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

Impact Aid helps to replace the lost local revenue that would otherwise be available to educate federally connected children. The presence of certain students living on Federal property, such as students who are military dependents or who reside on Indian lands, can place a financial burden on local educational agencies (LEAs) that educate them. The property on which the children live and their parents work is exempt from local property taxes, denying local educational agencies access to the primary source of revenue used by most communities to finance education.

**Basic support payments.**—Payments will be made on behalf of approximately 950,000 federally connected students enrolled in about 1,200 LEAs to assist them in meeting their operation and maintenance costs. Average per-student payments will be approximately \$1,200.

**Payments for children with disabilities.**—Payments in addition to those provided under the Individuals with Disabilities Education Act (IDEA) will be provided on behalf of approximately 55,000 federally connected students with disabilities in about 900 LEAs. Average per-student payments will be approximately \$900.

**Facilities maintenance.**—Funds will be used to provide emergency repairs for school facilities that serve military dependents and are owned by the Department of Education. Funds will also be used to transfer the facilities to LEAs.

**Construction.**—Approximately 12 construction grants will be awarded competitively to the highest-need Impact Aid LEAs for emergency repairs and modernization of school facilities.

EDUCATION IMPROVEMENT PROGRAMS

For carrying out school improvement activities authorized by section 203 of the Educational Technical Assistance Act of 2002; the Compact of Free Association Amendments Act of 2003; and the Civil Rights Act of 1964, \$75,694,000, of which \$51,113,000 shall be available to carry out section 203 of the Educational Technical Assistance Act of 2002: Provided, That \$17,619,000 shall be available to carry out the Supplemental Education Grants program for the Federated States of Micronesia and the Republic of the Marshall Islands: Provided further, That the Secretary of Education may reserve up to 5 percent of the amount referred to in the previous proviso to provide technical assistance in the implementation of the Supplemental Education Grants program.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 91–1000–0–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Improving teacher quality State grants	2,450	2,473	1,730
0002 Mathematics and science partnerships	148	152	
0003 21st century community learning centers	1,150	1,173	
0004 State assessments	389	403	

0005 Education for homeless children and youth	65	66	
0006 Education for Native Hawaiians	34	34	
0007 Alaska Native education equity	33	33	
0008 Training and advisory services	7	7	7
0009 Rural education	179	180	
0010 Supplemental education grants	18	18	18
0011 Comprehensive centers	51	51	51
0012 Project SERV	1	1	
0900 Total new obligations	4,525	4,591	1,806

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	62	79	50
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,869	2,881	76
1130 Appropriations permanently reduced	–5		
1160 Appropriation, discretionary (total)	2,864	2,881	76
Advance appropriations, discretionary:			
1170 Advance appropriation	1,681	1,681	1,681
1173 Advance appropriations permanently reduced	–3		
1180 Advanced appropriation, discretionary (total)	1,678	1,681	1,681
1900 Budget authority (total)	4,542	4,562	1,757
1930 Total budgetary resources available	4,604	4,641	1,807
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	79	50	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,568	5,103	5,045
3010 Obligations incurred, unexpired accounts	4,525	4,591	1,806
3020 Outlays (gross)	–4,950	–4,649	–4,496
3041 Recoveries of prior year unpaid obligations, expired	–40		
3050 Unpaid obligations, end of year	5,103	5,045	2,355
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,568	5,103	5,045
3200 Obligated balance, end of year	5,103	5,045	2,355

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	4,542	4,562	1,757
Outlays, gross:			
4010 Outlays from new discretionary authority	880	1,093	1,011
4011 Outlays from discretionary balances	4,070	3,556	3,485
4020 Outlays, gross (total)	4,950	4,649	4,496
4180 Budget authority, net (total)	4,542	4,562	1,757
4190 Outlays, net (total)	4,950	4,649	4,496

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	4,542	4,562	1,757
Outlays	4,950	4,649	4,496
Legislative proposal, not subject to PAYGO:			
Budget Authority			1,000
Outlays			20
Total:			
Budget Authority	4,542	4,562	2,757
Outlays	4,950	4,649	4,516

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2012–2013 Academic Year	2013–2014 Academic Year	2014–2015 Academic Year
New Budget Authority	\$2,863	\$2,881	\$1,076
Advance Appropriation	1,681	1,681	0
Total program level	4,544	4,562	1,076
Change in advance appropriation over previous year	+3	0	–1681 <sup>1</sup>

<sup>1</sup>To account for the Administration's ESEA reauthorization proposal, the 2014 Budget eliminates the \$1.7 billion advance appropriation that was previously in the School Improvement Programs account (renamed the Education Improvement Programs account) and replaces it with corresponding increases to advance appropriations in the Education for the Disadvantaged account (\$841 million, renamed the Accelerating Achievement and Ensuring Equity account) and the Special Education account (\$841 million). Total advance appropriations in the Department of Education remain \$22.6 billion.

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

**Training and advisory services.**—Funds support grants to regional equity assistance centers that provide technical assistance to local educational agencies (LEAs) in addressing educational equity related to issues of race, gender, and national origin.

**Supplemental education grants.**—Funds support grants to the Federated States of Micronesia and to the Republic of the Marshall Islands in place of grant programs in which those Freely Associated States no longer participate pursuant to the Compact of Free Association Amendments Act of 2003.

**Comprehensive centers.**—Funds support at least 22 comprehensive centers that focus on building State capacity to help school districts and schools meet the requirements of the ESEA.

**Object Classification** (in millions of dollars)

Identification code 91–1000–0–1–501	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	3	54	.....
25.2 Other services from non-Federal sources .....	20	25	2
25.7 Operation and maintenance of equipment .....	2	148	.....
41.0 Grants, subsidies, and contributions .....	4,500	4,364	1,804
99.9 Total new obligations .....	4,525	4,591	1,806

**EDUCATION IMPROVEMENT PROGRAMS**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 91–1000–2–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Effective teaching and learning for a complete education .....	.....	.....	263
0003 College pathways and accelerated learning .....	.....	.....	102
0004 Assessing achievement .....	.....	.....	389
0005 Rural education .....	.....	.....	179
0006 Native Hawaiian student education .....	.....	.....	34
0007 Alaska Native student education .....	.....	.....	33
0900 Total new obligations .....	.....	.....	1,000

**Budgetary Resources:**

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	.....	.....	1,000
1160 Appropriation, discretionary (total) .....	.....	.....	1,000
1930 Total budgetary resources available .....	.....	.....	1,000

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	.....	1,000
3020 Outlays (gross) .....	.....	.....	–20
3050 Unpaid obligations, end of year .....	.....	.....	980
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....	.....	.....	980

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	.....	.....	1,000
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	.....	20
4180 Budget authority, net (total) .....	.....	.....	1,000
4190 Outlays, net (total) .....	.....	.....	20

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

**Effective teaching and learning: literacy.**—Funds would support competitive grants to States to support the development and implementation of comprehensive State and local efforts to provide high-quality literacy programs, aligned with college- and career-ready English-language arts standards, for students from preschool through grade 12.

**Effective teaching and learning for a well-rounded education.**—Funds would support competitive grants to States and high-need LEAs to develop and expand innovative practices to improve teaching and learning in the arts, health education, foreign languages, civics and government, history, geography, environmental education, economics, financial literacy, and other subjects.

**College pathways and accelerated learning.**—Funds would support competitive grants to high-need LEAs for programs that prepare students to enter and succeed in college by providing college-level and other accelerated courses and instruction in middle and high schools as well as accelerated learning opportunities in elementary schools.

**Assessing achievement.**—Funds would support formula and competitive grants to States to develop and implement assessments that are aligned with college- and career-ready academic standards.

**Rural education.**—Funds would support formula grants under two programs: the Small, Rural School Achievement program and the Rural and Low-Income School program. The Small, Rural School Achievement program provides rural LEAs with small enrollments with additional formula funds. Funds under the Rural and Low-Income School program, which targets rural LEAs that serve concentrations of poor students, are allocated by formula to States, which in turn allocate funds to eligible LEAs.

**Native Hawaiian student education.**—Funds would support competitive grants to public and private entities to develop or operate innovative projects that enhance the educational services provided to Native Hawaiian children and adults.

**Alaska Native student education.**—Funds would support competitive grants to LEAs and other public and private organizations to develop or operate innovative projects that enhance the educational services provided to Alaska Native children and adults.

**Object Classification** (in millions of dollars)

Identification code 91–1000–2–1–501	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	.....	.....	22
25.2 Other services from non-Federal sources .....	.....	.....	2
41.0 Grants, subsidies, and contributions .....	.....	.....	976
99.9 Total new obligations .....	.....	.....	1,000

**SUPPORTING STUDENT SUCCESS**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91–0203–0–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Safe and drug-free schools and communities national activities .....	66	71	.....
0002 Elementary and secondary school counseling .....	52	53	.....

SUPPORTING STUDENT SUCCESS—Continued  
Program and Financing—Continued

Identification code 91-0203-0-1-501	2012 actual	2013 CR	2014 est.
0003 Physical education program .....	79	79	.....
0004 Promise neighborhoods .....	30	62	58
0500 Direct program activities, subtotal .....	227	265	58
0799 Total direct obligations .....	227	265	58
0803 Reimbursable program activity .....	6	2	.....
0900 Total new obligations .....	233	267	58
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	65	58
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	256	258	.....
1160 Appropriation, discretionary (total) .....	256	258	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	2	.....
1701 Change in uncollected payments, Federal sources .....	-2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	5	2	.....
1900 Budget authority (total) .....	261	260	.....
1930 Total budgetary resources available .....	298	325	58
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	65	58	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	641	483	354
3010 Obligations incurred, unexpired accounts .....	233	267	58
3020 Outlays (gross) .....	-370	-396	-279
3041 Recoveries of prior year unpaid obligations, expired .....	-21	.....	.....
3050 Unpaid obligations, end of year .....	483	354	133
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	639	483	354
3200 Obligated balance, end of year .....	483	354	133
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	261	260	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	5	.....
4011 Outlays from discretionary balances .....	369	391	279
4020 Outlays, gross (total) .....	370	396	279
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7	-2	.....
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
4070 Budget authority, net (discretionary) .....	256	258	.....
4080 Outlays, net (discretionary) .....	363	394	279
4180 Budget authority, net (total) .....	256	258	.....
4190 Outlays, net (total) .....	363	394	279

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	256	258	.....
Outlays .....	363	394	279
Legislative proposal, not subject to PAYGO:			
Budget Authority .....	.....	.....	1,832
Outlays .....	.....	.....	37
Total:			
Budget Authority .....	256	258	1,832
Outlays .....	363	394	316

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA ac-

counts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

Object Classification (in millions of dollars)

Identification code 91-0203-0-1-501	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	2	7	.....
25.2 Other services from non-Federal sources .....	3	11	.....
25.3 Other goods and services from Federal sources .....	.....	1	.....
41.0 Grants, subsidies, and contributions .....	223	246	58
99.0 Direct obligations .....	228	265	58
99.0 Reimbursable obligations .....	5	2	.....
99.9 Total new obligations .....	233	267	58

SUPPORTING STUDENT SUCCESS  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-0203-2-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Promise neighborhoods .....	.....	.....	300
0002 Successful, safe, and healthy students .....	.....	.....	280
0003 21st Century community learning centers .....	.....	.....	1,252
0500 Direct program activities, subtotal .....	.....	.....	1,832
0900 Total new obligations .....	.....	.....	1,832
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	.....	1,832
1160 Appropriation, discretionary (total) .....	.....	.....	1,832
1930 Total budgetary resources available .....	.....	.....	1,832
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	1,832
3020 Outlays (gross) .....	.....	.....	-37
3050 Unpaid obligations, end of year .....	.....	.....	1,795
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	1,795
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	1,832
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	37
4180 Budget authority, net (total) .....	.....	.....	1,832
4190 Outlays, net (total) .....	.....	.....	37

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

*Promise neighborhoods.*—Funds would support competitive grants and other activities for projects designed to improve significantly the educational and developmental outcomes of children within the Nation's most distressed communities, with the goal of transforming those communities so that all children in the community have access to a cradle-through-college-to-career continuum of academic programs and community supports, including effective schools and services. Promise Neighborhoods is also a central component of the Administration's new Promise Zones—high-poverty communities where the Federal government will engage more directly with local leaders to break down barriers and help them access the resources and expertise they need to create jobs, leverage private investment, increase economic activity, reduce violence, and expand educational opportunities.

*Successful, safe, and healthy students.*—Funds would support competitive grants and other activities to assist States, local educational agencies (LEAs), and partnerships between LEAs and non-profit organizations or local government entities in improving school climate; reducing or preventing drug use, violence, bullying, or harassment; and promoting and supporting the physical and mental well-being of students so that schools are safe, healthy, and drug-free environments. Funds would also support a variety of school safety initiatives that are proposed in the President's plan to protect our children and our communities by reducing gun violence, including efforts to improve school emergency plans, create positive school climates, and counter the effects of pervasive violence on students.

*21st century community learning centers.*—Funds would support competitive grants to States, LEAs, non-profit organizations, or local governmental entities for projects that provide the additional time, support, and enrichment activities needed to improve student achievement, including projects that support expanding learning time by significantly increasing the number of hours in a regular school schedule and by comprehensively redesigning the school schedule for all students in a school. Projects could also provide teachers the time they need to collaborate, plan, and engage in professional development within and across grades and subjects. The additional funds requested in fiscal year 2014 reflect the Administration's commitment to increasing this program's support for State and local efforts to implement high-quality expanded learning time.

**Object Classification** (in millions of dollars)

Identification code 91-0203-2-1-501	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			14
25.2 Other services from non-Federal sources .....			22
25.3 Other goods and services from Federal sources .....			2
41.0 Grants, subsidies, and contributions .....			1,794
99.9 Total new obligations .....			1,832

**INDIAN STUDENT EDUCATION**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91-0101-0-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....	106	107	
0002 Special programs for Indian children .....	19	19	
0003 National activities .....	6	6	
0900 Total new obligations .....	131	132	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	131	132	
1160 Appropriation, discretionary (total) .....	131	132	
1930 Total budgetary resources available .....	131	132	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	134	140	153
3010 Obligations incurred, unexpired accounts .....	131	132	
3020 Outlays (gross) .....	-123	-119	-124
3041 Recoveries of prior year unpaid obligations, expired .....	-2		

3050 Unpaid obligations, end of year .....	140	153	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	134	140	153
3200 Obligated balance, end of year .....	140	153	29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	131	132	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	7	
4011 Outlays from discretionary balances .....	121	112	124
4020 Outlays, gross (total) .....	123	119	124
4180 Budget authority, net (total) .....	131	132	
4190 Outlays, net (total) .....	123	119	124

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	131	132	
Outlays .....	123	119	124
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			131
Outlays .....			7
<b>Total:</b>			
Budget Authority .....	131	132	131
Outlays .....	123	119	131

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

**Object Classification** (in millions of dollars)

Identification code 91-0101-0-1-501	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	5	6	
41.0 Grants, subsidies, and contributions .....	126	126	
99.9 Total new obligations .....	131	132	

**INDIAN STUDENT EDUCATION**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 91-0101-2-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....			106
0002 Special programs for Indian children .....			19
0003 National activities .....			6
0900 Total new obligations .....			131
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			131
1160 Appropriation, discretionary (total) .....			131
1930 Total budgetary resources available .....			131
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			131
3020 Outlays (gross) .....			-7
3050 Unpaid obligations, end of year .....			124
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			124
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			131

INDIAN STUDENT EDUCATION—Continued  
Program and Financing—Continued

Identification code 91-0101-2-1-501	2012 actual	2013 CR	2014 est.
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			7
4180 Budget authority, net (total) .....			131
4190 Outlays, net (total) .....			7

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

The Indian Education programs support the efforts of local educational agencies (LEA) and tribal schools to improve teaching and learning for the Nation's American Indian and Alaska Native children.

*Grants to local educational agencies.*—Formula grants support LEAs in their efforts to reform elementary and secondary school programs that serve Indian students, with the goal of ensuring that such programs assist participating students in meeting the same academic standards as all other students.

*Special programs for Indian children.*—Funds support competitive awards for demonstration projects in early childhood education and college preparation, as well as professional development grants for training Native American teachers and administrators for employment in school districts with concentrations of Indian students.

*National activities.*—Funds support research, evaluation, data collection, and related activities.

Object Classification (in millions of dollars)

Identification code 91-0101-2-1-501	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....			6
41.0 Grants, subsidies, and contributions .....			125
99.9 Total new obligations .....			131

AMERICAN JOBS ACT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-0702-4-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Teacher stabilization .....		12,500	
0900 Total new obligations (object class 41.0) .....		12,500	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		12,500	
1260 Appropriations, mandatory (total) .....		12,500	
1930 Total budgetary resources available .....		12,500	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			11,875
3010 Obligations incurred, unexpired accounts .....		12,500	
3020 Outlays (gross) .....		-625	-11,875
3050 Unpaid obligations, end of year .....		11,875	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			11,875
3200 Obligated balance, end of year .....		11,875	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		12,500	

<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		625	
4101 Outlays from mandatory balances .....			11,875
4110 Outlays, gross (total) .....		625	11,875
4180 Budget authority, net (total) .....		12,500	
4190 Outlays, net (total) .....		625	11,875

*Teacher stabilization.*—Funds would support formula grants to States to retain, rehire, and hire early childhood, elementary, and secondary educators, including teachers, guidance counselors, classroom assistants, after-school personnel, tutors, and literacy and math coaches.

EDUCATION JOBS FUND

Program and Financing (in millions of dollars)

Identification code 91-0012-0-1-501	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,713	229	
3020 Outlays (gross) .....	-3,484	-229	
3050 Unpaid obligations, end of year .....	229		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,713	229	
3200 Obligated balance, end of year .....	229		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	3,484	229	
4190 Outlays, net (total) .....	3,484	229	

Amounts in the schedule reflect balances that are spending out from a prior-year appropriation.

STATE FISCAL STABILIZATION FUND, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 91-1909-0-1-999	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,456	3,865	2,000
3020 Outlays (gross) .....	-1,591	-1,865	-1,000
3050 Unpaid obligations, end of year .....	3,865	2,000	1,000
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,456	3,865	2,000
3200 Obligated balance, end of year .....	3,865	2,000	1,000
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1,591	1,865	1,000
4190 Outlays, net (total) .....	1,591	1,865	1,000

Amounts in this schedule reflect balances that are spending out from a prior-year appropriation.

OFFICE OF INNOVATION AND IMPROVEMENT

Federal Funds

INNOVATION AND INSTRUCTIONAL TEAMS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91-0204-0-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Race to the top .....	704	552	545
0002 Investing in innovation .....	153	150	144
0003 Teacher incentive fund .....	299	301	.....
0004 Transition to teaching .....	26	26	.....
0005 School leadership .....	29	29	.....
0006 Charter schools grants .....	244	248	.....
0007 Credit enhancement for charter school facilities .....	11	8	.....
0008 Magnet schools assistance .....	97	100	.....
0009 Advanced placement .....	30	27	.....
0010 Ready-to-learn television .....	27	27	.....
0011 FIE programs of national significance .....	41	41	.....
0012 Arts in education .....	25	25	.....
0100 Total direct program .....	1,686	1,534	689
0799 Total direct obligations .....	1,686	1,534	689
0801 Reimbursable program activity .....	22	100	52
0900 Total new obligations .....	1,708	1,634	741
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	847	726	689
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,530	1,537	.....
1130 Appropriations permanently reduced .....	-3	.....	.....
1160 Appropriation, discretionary (total) .....	1,527	1,537	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	60	60	52
1750 Spending auth from offsetting collections, disc (total) .....	60	60	52
1900 Budget authority (total) .....	1,587	1,597	52
1930 Total budgetary resources available .....	2,434	2,323	741
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	726	689	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,216	2,909	2,938
3010 Obligations incurred, unexpired accounts .....	1,708	1,634	741
3020 Outlays (gross) .....	-956	-1,605	-2,196
3041 Recoveries of prior year unpaid obligations, expired .....	-59	.....	.....
3050 Unpaid obligations, end of year .....	2,909	2,938	1,483
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,216	2,909	2,938
3200 Obligated balance, end of year .....	2,909	2,938	1,483
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,587	1,597	52
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	79	3
4011 Outlays from discretionary balances .....	951	1,526	2,193
4020 Outlays, gross (total) .....	956	1,605	2,196
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-60	-60	-52
4180 Budget authority, net (total) .....	1,527	1,537	.....
4190 Outlays, net (total) .....	896	1,545	2,144

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	1,527	1,537	.....
Outlays .....	896	1,545	2,144
Legislative proposal, not subject to PAYGO:			
Budget Authority .....	.....	.....	5,335
Outlays .....	.....	.....	267
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	5,000	.....
Outlays .....	.....	100	2,650
Total:			
Budget Authority .....	1,527	6,537	5,335
Outlays .....	896	1,645	5,061

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including the programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

**Object Classification** (in millions of dollars)

Identification code 91-0204-0-1-501	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	4	38	.....
25.2 Other services from non-Federal sources .....	26	26	.....
25.5 Research and development contracts .....	3	4	.....
41.0 Grants, subsidies, and contributions .....	1,653	1,466	689
99.0 Direct obligations .....	1,686	1,534	689
99.0 Reimbursable obligations .....	22	100	52
99.9 Total new obligations .....	1,708	1,634	741

**INNOVATION AND INSTRUCTIONAL TEAMS**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 91-0204-2-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Race to the top .....	.....	.....	1,000
0002 Investing in innovation .....	.....	.....	215
0003 Science, technology, engineering, and mathematics (STEM) innovation .....	.....	.....	415
0004 High school transformation .....	.....	.....	300
0005 Effective teachers and leaders State grants .....	.....	.....	2,466
0006 School leadership .....	.....	.....	98
0007 Teacher and leader innovation fund .....	.....	.....	400
0008 Expanding educational options .....	.....	.....	295
0009 Magnet schools assistance .....	.....	.....	100
0010 FIE programs of national significance .....	.....	.....	46
0100 Total direct program .....	.....	.....	5,335
0900 Total new obligations .....	.....	.....	5,335
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	.....	5,335
1160 Appropriation, discretionary (total) .....	.....	.....	5,335
1930 Total budgetary resources available .....	.....	.....	5,335
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	5,335
3020 Outlays (gross) .....	.....	.....	-267
3050 Unpaid obligations, end of year .....	.....	.....	5,068
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	5,068
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	5,335
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	267
4180 Budget authority, net (total) .....	.....	.....	5,335
4190 Outlays, net (total) .....	.....	.....	267

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

*Race to the top.*—Funds would support a College Affordability and Completion initiative that would provide grants to States demonstrating a commitment to undertake reforms in the following key areas: sustaining fiscal support for higher education while modernizing funding policies to constrain costs and improve

INNOVATION AND INSTRUCTIONAL TEAMS—Continued

outcomes; removing barriers preventing the creation of innovative methods of student learning and degree pathways; empowering consumer choice through increased transparency; and smoothing transitions into college and between institutions of higher education.

*Investing in innovation.*—Funds would support grants to local educational agencies (LEAs) or to nonprofit organizations in consortium with one or more schools or LEAs to develop and expand innovative strategies and practices that have been shown to be effective in improving educational outcomes for students. A portion of the funds would be used to launch the Advanced Research Projects Agency—Education, which would pursue breakthrough developments in educational technologies and other strategies for raising achievement.

*STEM innovation networks.*—Funds would support competitive grants to consortia of LEAs in partnership with institutions of higher education, businesses, science agencies, or other entities. These public-private partnerships would harness local, regional, and national resources to transform STEM teaching and learning by implementing innovative evidence-based practices that improve teacher recruitment, preparation, and professional development and student engagement. Funds would also support a STEM Master Teacher Corps, and a STEM Virtual Learning Network, a robust online community to inform and guide the field in adopting the most effective practices for improving teaching and learning.

*Effective teaching and learning: science, technology, engineering, and mathematics (STEM).*—Funds would support grants to States to implement comprehensive strategies to improve the teaching and learning of STEM subjects.

*High school transformation.*—Funds would support competitive grants to transform teaching and learning in high schools by encouraging partnerships among LEAs, postsecondary institutions, businesses, and non-profits to prepare students for college and career. Grantees would leverage new and existing federal, state, and local resources to create learning models that are rigorous, relevant, and better focused on real-world experiences while incorporating personalized learning, work- and project-based learning, and career and college exploration.

*Effective teachers and leaders State grants.*—Funds would support formula grants to States and LEAs to promote and enhance the teaching profession; recruit, prepare, develop, reward, and retain effective and highly effective teachers, principals, and other school leaders and foster excellent instructional teams, especially in high-need LEAs, schools, fields, and subjects; ensure the equitable distribution of effective and highly effective teachers and principals; increase the effectiveness of teachers and principals; strengthen teacher and principal evaluation systems; ensure that teachers have the knowledge, skills, data, support, and collaborative opportunities needed to be effective in the classroom; and improve the management of the education workforce in States and LEAs. The Department would reserve up to 25 percent of the appropriation for this program to build evidence on how to best recruit, train, and support effective teachers and school leaders and invest in efforts to enhance the teaching and leadership professions.

*School leadership.*—Funds would support competitive grants to States, high-need LEAs, nonprofit organizations, and institutions of higher education, to assist high-need LEAs in recruiting and training principals (including assistant principals) through such activities as professional development programs in leading the transition to college- and career-ready standards, evaluating

and providing feedback to teachers, developing school leadership teams, and creating a positive school climate.

*Teacher and leader innovation fund.*—Funds would support competitive grants to States and LEAs willing to implement bold approaches to improving the effectiveness of the education workforce in high-need schools and districts by creating the conditions needed to identify, reward, retain, and advance effective teachers, principals, and school leadership teams in those schools, and enabling schools to build the strongest teams possible.

*Expanding educational options.*—Funds would support competitive grants to States, charter school authorizers, charter management organizations, LEAs, and other nonprofit organizations to start or expand high-performing charter and other autonomous public schools in high-need areas.

*Magnet schools assistance.*—Funds would support competitive grants to LEAs to establish and operate magnet school programs that are part of an approved desegregation plan.

*Fund for the improvement of education: programs of national significance.*—Funds would support nationally significant projects to improve the quality of elementary and secondary education, including an interagency initiative to strengthen services provided to disconnected youth and continuation of efforts to improve the quality, analysis, and reporting of elementary and secondary education performance data. Funds would also go towards a joint evidence-based math initiative with the National Science Foundation.

Object Classification (in millions of dollars)

Identification code 91-0204-2-1-501	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			40
25.2 Other services from non-Federal sources .....			672
25.5 Research and development contracts .....			4
41.0 Grants, subsidies, and contributions .....			4,619
99.9 Total new obligations .....			5,335

INNOVATION AND INSTRUCTIONAL TEAMS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-0204-4-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Recognizing educational success, professional excellence, and collaborative teaching (RESPECT) .....		5,000	
0100 Total direct program .....		5,000	
0900 Total new obligations (object class 41.0) .....		5,000	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		5,000	
1260 Appropriations, mandatory (total) .....		5,000	
1930 Total budgetary resources available .....		5,000	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			4,900
3010 Obligations incurred, unexpired accounts .....		5,000	
3020 Outlays (gross) .....		-100	-2,650
3050 Unpaid obligations, end of year .....		4,900	2,250
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			4,900
3200 Obligated balance, end of year .....		4,900	2,250
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		5,000	

Outlays, gross:			
4100	Outlays from new mandatory authority .....	100	.....
4101	Outlays from mandatory balances .....	.....	2,650
4110	Outlays, gross (total) .....	100	2,650
4180	Budget authority, net (total) .....	5,000	.....
4190	Outlays, net (total) .....	100	2,650

*Recognizing educational success, professional excellence, and collaborative teaching (RESPECT).*—Funds would support reforms at each stage of the teaching profession.

**OFFICE OF ENGLISH LANGUAGE ACQUISITION**  
*Federal Funds*

ENGLISH LEARNER EDUCATION

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91–1300–0–1–501	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	English language acquisition grants .....	726	742	10
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	8	15	10
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	734	737	.....
1130	Appropriations permanently reduced .....	–1	.....	.....
1160	Appropriation, discretionary (total) .....	733	737	.....
1930	Total budgetary resources available .....	741	752	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	15	10	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,040	1,035	1,013
3010	Obligations incurred, unexpired accounts .....	726	742	10
3020	Outlays (gross) .....	–727	–764	–728
3041	Recoveries of prior year unpaid obligations, expired .....	–4	.....	.....
3050	Unpaid obligations, end of year .....	1,035	1,013	295
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,040	1,035	1,013
3200	Obligated balance, end of year .....	1,035	1,013	295
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	733	737	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	7	7	.....
4011	Outlays from discretionary balances .....	720	757	728
4020	Outlays, gross (total) .....	727	764	728
4180	Budget authority, net (total) .....	733	737	.....
4190	Outlays, net (total) .....	727	764	728

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	733	737	.....
Outlays .....	727	764	728
Legislative proposal, not subject to PAYGO:			
Budget Authority .....	.....	.....	732
Outlays .....	.....	.....	7
<b>Total:</b>			
Budget Authority .....	733	737	732
Outlays .....	727	764	735

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including

programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

**Object Classification** (in millions of dollars)

Identification code 91–1300–0–1–501	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	1	4	.....
25.2	Other services from non-Federal sources .....	2	2	.....
25.7	Operation and maintenance of equipment .....	1	.....	.....
41.0	Grants, subsidies, and contributions .....	722	736	10
99.9	Total new obligations .....	726	742	10

ENGLISH LEARNER EDUCATION

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 91–1300–2–1–501	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	English language acquisition grants .....	.....	.....	732
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	.....	.....	732
1160	Appropriation, discretionary (total) .....	.....	.....	732
1930	Total budgetary resources available .....	.....	.....	732
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	.....	.....	732
3020	Outlays (gross) .....	.....	.....	–7
3050	Unpaid obligations, end of year .....	.....	.....	725
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....	.....	.....	725
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	.....	.....	732
Outlays, gross:				
4010	Outlays from new discretionary authority .....	.....	.....	7
4180	Budget authority, net (total) .....	.....	.....	732
4190	Outlays, net (total) .....	.....	.....	7

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

*Language acquisition State grants.*—This program provides formula grants to States to improve services for English Learners. States are accountable for demonstrating that English Learners are making progress toward proficiency in English and meeting the same high State academic standards as all other students. Funds also support national activities, including professional development, evaluation, a national information clearinghouse on English language acquisition, and technical assistance to grantees and funding for demonstration projects to replicate proven practices.

**Object Classification** (in millions of dollars)

Identification code 91–1300–2–1–501	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	.....	.....	3
25.2	Other services from non-Federal sources .....	.....	.....	2
41.0	Grants, subsidies, and contributions .....	.....	.....	727

ENGLISH LEARNER EDUCATION—Continued  
Object Classification—Continued

Identification code 91–1300–2–1–501	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....			732

OFFICE OF SPECIAL EDUCATION AND  
REHABILITATIVE SERVICES

Federal Funds

SPECIAL EDUCATION

For carrying out the Individuals with Disabilities Education Act ("IDEA") and the Special Olympics Sport and Empowerment Act of 2004, \$12,657,307,000, of which \$2,289,108,000 shall become available on July 1, 2014, and shall remain available through September 30, 2015, and of which \$10,124,103,000 shall become available on October 1, 2014, and shall remain available through September 30, 2015, for academic year 2014–2015: Provided, That the amount for section 611(b)(2) of the IDEA shall be equal to the lesser of the amount available for that activity during fiscal year 2013, increased by the amount of inflation as specified in section 619(d)(2)(B) of the IDEA, or the percent change in the funds appropriated under section 611(i) of the IDEA, but not less than the amount for that activity during fiscal year 2013: Provided further, That the Secretary shall, without regard to section 611(d) of the IDEA, distribute to all other States (as that term is defined in section 611(g)(2)), subject to the third proviso, any amount by which a State's allocation under section 611(d), from funds appropriated under this heading, is reduced under section 612(a)(18)(B), according to the following: 85 percent on the basis of the States' relative populations of children aged 3 through 21 who are of the same age as children with disabilities for whom the State ensures the availability of a free appropriate public education under this part, and 15 percent to States on the basis of the States' relative populations of those children who are living in poverty: Provided further, That the Secretary may not distribute any funds under the previous proviso to any State whose reduction in allocation from funds appropriated under this heading made funds available for such a distribution: Provided further, That the States shall allocate such funds distributed under the second proviso to local educational agencies in accordance with section 611(f): Provided further, That the amount by which a State's allocation under section 611(d) of the IDEA is reduced under section 612(a)(18)(B) and the amounts distributed to States under the previous provisos in fiscal year 2012 or any subsequent year shall not be considered in calculating the awards under section 611(d) for fiscal year 2013 or for any subsequent fiscal years: Provided further, that the Secretary may, notwithstanding section 643(e)(1) of the IDEA, reserve up to \$2,710,000 of the amount provided under section 644 for incentive grants to States to carry out section 635(c): Provided further, that funds made available for the Special Olympics Sport and Empowerment Act of 2004 may be used to support expenses associated with the Special Olympics National and World Games: Provided further, That the funds reserved under section 611(c) may be used to provide technical assistance to States to improve the capacity of the States to meet the data collection requirements of sections 616 and 618 and to administer and carry out other services and activities to improve data collection, coordination, quality, and use under Parts B and C of the IDEA: Provided further, That the level of effort a local educational agency must meet under section 613(a)(2)(A)(iii) of the IDEA, in the year after it fails to maintain effort is the level of effort that would have been required in the absence of that failure and not the LEA's reduced level of expenditures: Provided further, That the Secretary may use funds made available for the State Personnel Development Grants program under Part D, subpart 1 of IDEA to evaluate program performance.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 91–0300–0–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants to States .....	10,868	11,615	10,737
0002 Preschool grants .....	373	375	372
0003 Grants for infants and families .....	364	523	462
0091 Subtotal, State grants .....	11,605	12,513	11,571
0101 State personnel development .....	44	44	45
0102 Technical assistance and dissemination .....	55	55	47
0103 Personnel preparation .....	88	89	86
0104 Parent information centers .....	29	29	29
0105 Technology and media services .....	30	30	30
0191 Subtotal, National activities .....	246	247	237
0200 Total Direct Program .....	11,851	12,760	11,808
0201 Special Olympics education programs .....			8
0203 PROMISE: Promoting Readiness of Minors in SSI .....		4	
0291 Direct program activities, subtotal .....		4	8
0900 Total new obligations .....	11,851	12,764	11,816
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	103	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,364	3,378	2,533
1130 Appropriations permanently reduced .....	-6		
1160 Appropriation, discretionary (total) .....	3,358	3,378	2,533
Advance appropriations, discretionary:			
1170 Advance appropriation (Advance appropriated in previous year) .....	8,592	9,283	9,283
1173 Advance appropriations permanently reduced .....	-16		
1180 Advanced appropriation, discretionary (total) .....	8,576	9,283	9,283
1900 Budget authority (total) .....	11,934	12,661	11,816
1930 Total budgetary resources available .....	11,954	12,764	11,816
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	103		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,634	6,946	6,577
3010 Obligations incurred, unexpired accounts .....	11,851	12,764	11,816
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-13,530	-13,133	-13,204
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	6,946	6,577	5,189
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8,634	6,946	6,577
3200 Obligated balance, end of year .....	6,946	6,577	5,189
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11,934	12,661	11,816
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5,648	7,620	7,621
4011 Outlays from discretionary balances .....	7,882	5,513	5,583
4020 Outlays, gross (total) .....	13,530	13,133	13,204
4180 Budget authority, net (total) .....	11,934	12,661	11,816
4190 Outlays, net (total) .....	13,530	13,133	13,204

SUMMARY OF GRANTS TO STATES PROGRAM LEVEL

	[in millions of dollars]		
	2012–2013 Academic Year	2013–2014 Academic Year	2014–2015 Academic Year
Current Budget Authority .....	\$2,295	\$2,309	\$1,454
Advance appropriation .....	9,283	9,283	10,124
Total program level .....	11,578	11,592	11,578
Change in advance appropriation from the previous year .....	707	0	841 <sup>1</sup>

<sup>1</sup>To account for the Administration's ESEA reauthorization proposal, the 2014 Budget eliminates the \$1.7 billion advance appropriation that was previously in the School Improvement Programs account (renamed the Education Improvement Programs account) and replaces it with corresponding increases to advance appropriations in the Education for the Disadvantaged (\$841 million, renamed the Accelerating Achievement and Ensuring Equity account) and Special

Education (\$841 million) accounts. Total advance appropriations in the Department of Education remain the same at \$22.6 billion.

**State Grants:**

**Grants to States.**—Formula grants are provided to States to assist them in providing special education and related services to children with disabilities ages 3 through 21.

**Preschool grants.**—Formula grants provide additional funds to States to further assist them in providing special education and related services to children with disabilities ages 3 through 5 served under the Grants to States program.

The goal of both of these programs is to improve results for children with disabilities by assisting State and local educational agencies to provide children with disabilities with access to high quality education that will help them meet challenging standards and prepare them for employment and independent living.

**Grants for infants and families.**—Formula grants are provided to assist States to implement statewide systems of coordinated, comprehensive, multi-disciplinary interagency programs to provide early intervention services to children with disabilities, birth through age 2, and their families.

The goal of this program is to help States provide a comprehensive system of early intervention services that will enhance child and family outcomes.

**National activities.**—These activities include personnel preparation and development, technical assistance, and other activities to support State efforts to improve results for children with disabilities under the State Grants programs.

The goal of National Activities is to link States, school systems, and families to best practices to improve results for infants, toddlers, and children with disabilities.

**Special Olympics education programs.**—This program funds activities that promote the expansion of the Special Olympics and the design and implementation of Special Olympics education programs.

Performance data related to program goals include:

	2008–2009 actual	2009–2010 actual	2010–2011 actual
<b>Status of Exiting Students</b>			
Percent / number of students with disabilities aged 14–21 exiting special education:			
Graduated with a diploma .....	35.7% / 247,299	37.9% / 256,102	39.7% / 255,801
Graduated through certification .....	8.9% / 61,508	8.9% / 60,001	9.2% / 58,946
Transferred to regular education .....	9.6% / 66,364	9.9% / 66,920	9.5% / 61,243
Dropped out of school/not known to continue .....	13.2% / 91,318	12.8% / 86,327	12.6% / 80,927
Moved, but known to have continued in education .....	31.6% / 219,020	29.6% / 199,899	28.1% / 181,618
Reached maximum age for services/other .....	.9% / 6,112	.8% / 5,071	.8% / 5,245
<b>Total .....</b>	<b>100% / 691,621</b>	<b>100% / 674,320</b>	<b>100% / 643,780</b>

Note-Percentages may not add to 100% due to rounding.

Note-Previous versions of this table did not contain the categories "Transferred to regular education" and "Moved, but known to have continued in education." The Department of Education revised its data collection forms to include these additional items, which track additional students with disabilities ages 14 and older who leave special education, and are mutually exclusive with other categories included in this table. Because this is the case, the percentages reported in this table are not comparable with percentages reported in the same table in previous years.

**Object Classification** (in millions of dollars)

Identification code 91–0300–0–1–501	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	5	5	5
25.3 Other goods and services from Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	11,846	12,757	11,811
99.9 Total new obligations .....	11,851	12,764	11,816

**REHABILITATION SERVICES AND DISABILITY RESEARCH**

For carrying out, to the extent not otherwise provided, the Rehabilitation Act of 1973, the Assistive Technology Act of 1998, and the Helen Keller National Center Act, \$3,655,577,000, of which \$3,302,053,000 shall be for grants for vocational rehabilitation services under Title I of the Rehabilitation Act: Provided, That funds available for the Vocational Rehabilitation State Grants program may be used to pay the continuation costs of grants awarded under section 304 of the Rehabilitation Act: Provided further, That no State's allocation under the Vocational Rehabilitation State Grants program shall be less than the total amount allocated to the State in fiscal year 2013 under sections 110(a) and 622(a) of the Rehabilitation Act: Provided further, That section 302(g)(3) of the Rehabilitation Act shall not apply to funds provided under section 302 of such Act: Provided further, That the Secretary may use amounts provided in this Act that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act for innovative activities aimed at improving the outcomes of individuals with disabilities as defined in section 7(20)(B) of the Rehabilitation Act, including activities aimed at improving the education and post-school outcomes of children receiving Supplemental Security Income (SSI) and their families that may result in long-term improvement in the SSI child recipient's economic status and self-sufficiency: Provided further, That States may award subgrants for a portion of the funds to other public and private, non-profit entities: Provided further, That any funds made available subsequent to reallocation for innovative activities aimed at improving the outcomes of individuals with disabilities shall remain available until September 30, 2015: Provided further, That not to exceed \$20,000,000 of the amounts made available in the fourth proviso may be used for performance-based awards for Pay for Success projects: Provided further, That, with respect to the previous proviso, any funds obligated for such projects shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a): Provided further, That, with respect to the sixth proviso, any deobligated funds from such projects shall immediately be available for programs authorized under the Rehabilitation Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91–0301–0–1–506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Vocational rehabilitation State grants .....	3,027	3,231	3,302
0002 Client assistance State grants .....	12	13	12
0003 Supported employment State grants .....	29	29	.....
0004 Migrant and seasonal farmworkers .....	1	1	.....
0005 Training .....	36	36	30
0006 Demonstration and training programs .....	5	5	6
0007 Independent living .....	137	138	137
0008 Protection and advocacy of individual rights .....	18	18	18
0009 National Institute on Disability and Rehabilitation Research .....	109	109	110
0011 Helen Keller National Center .....	9	9	9
0012 Assistive technology .....	33	33	31
0013 PROMISE .....	.....	95	.....
0100 Total direct program .....	3,416	3,717	3,655
0799 Total direct obligations .....	3,416	3,717	3,655
0801 Reimbursable program .....	2	2	2
0900 Total new obligations .....	3,418	3,719	3,657
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1012 Unobligated balance transfers between expired and unexpired accounts .....	.....	95	.....
1050 Unobligated balance (total) .....	.....	95	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	390	391	353
1130 Appropriations permanently reduced .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	389	391	353
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	3,122	3,231	3,302

REHABILITATION SERVICES AND DISABILITY RESEARCH—Continued  
Program and Financing—Continued

Identification code 91-0301-0-1-506		2012 actual	2013 CR	2014 est.
1260	Appropriations, mandatory (total) .....	3,122	3,231	3,302
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	2	2	2
1750	Spending auth from offsetting collections, disc (total) .....	2	2	2
1900	Budget authority (total) .....	3,513	3,624	3,657
1930	Total budgetary resources available .....	3,513	3,719	3,657
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-95		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,336	2,364	2,129
3010	Obligations incurred, unexpired accounts .....	3,418	3,719	3,657
3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-3,377	-3,954	-4,174
3041	Recoveries of prior year unpaid obligations, expired .....	-15		
3050	Unpaid obligations, end of year .....	2,364	2,129	1,612
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,336	2,364	2,129
3200	Obligated balance, end of year .....	2,364	2,129	1,612
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	391	393	355
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	85	247	223
4011	Outlays from discretionary balances .....	375	342	188
4020	Outlays, gross (total) .....	460	589	411
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-2	-2	-2
Mandatory:				
4090	Budget authority, gross .....	3,122	3,231	3,302
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	1,387	2,036	2,080
4101	Outlays from mandatory balances .....	1,530	1,329	1,683
4110	Outlays, gross (total) .....	2,917	3,365	3,763
4180	Budget authority, net (total) .....	3,511	3,622	3,655
4190	Outlays, net (total) .....	3,375	3,952	4,172

**Vocational rehabilitation State grants.**—The basic State grants program provides Federal matching funds to State vocational rehabilitation (VR) agencies to assist individuals with physical or mental impairments to become gainfully employed. Services are tailored to the specific needs of the individual. Priority is given to serving those with the most significant disabilities. Current law requires that between 1.0 percent and 1.5 percent of the funds appropriated for the VR State grants program be set aside for Grants for Indians.

The program performance measures for this program are based on State VR agency performance indicators developed pursuant to Section 106 of the Rehabilitation Act. One of these indicators measures the percentage of general and combined State VR agencies that assist at least 55.8 percent of individuals receiving services to achieve an employment outcome. In 2011, 54 percent of the agencies met this performance criterion. Another indicator measures the percentage of general and combined State VR agencies that assist at least 85 percent of individuals with employment outcomes to achieve competitive employment. In 2011, 93 percent of general and combined agencies met this performance criterion. These outcome data are based on the approximately 330,570 individuals whose service records were closed in 2011 after receiving services.

The request for the VR State Grants program includes the CPIU adjustment specified in the authorizing statute, which would more than offset the reduction in funds resulting from the Administration's proposal to eliminate separate funding authorities for the smaller VR-related programs under the Rehabilitation Act

in order to reduce duplication of effort and administrative costs, streamline program administration at the Federal and local level, and improve efficiency and accountability. The FY 2014 request also includes language that would allow the Secretary to use amounts provided in this Act for the VR State Grants program that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act to support innovative activities aimed at improving outcomes for individuals with disabilities, including activities under the Promoting Readiness of Minors in Supplemental Security Income (PROMISE) program. A portion of these funds may be used for Pay for Success bonds to engage social investors, the Federal Government, and a State or local community to collaboratively finance effective interventions.

**Client assistance State grants.**—Formula grants are made to States to provide assistance in informing and advising clients and applicants about benefits available under the Rehabilitation Act and, if requested, to pursue legal or administrative remedies to ensure the protection of the rights of individuals with disabilities.

**Training.**—Grants are made to States and public or nonprofit agencies and organizations, including institutions of higher education, to increase the number of skilled personnel available for employment in the field of rehabilitation and to upgrade the skills of those already employed. The Administration's proposal would eliminate funds currently provided to State VR agencies to support in-service training for agency personnel under section 302(g)(3) of the Training program (\$5.327 million) as these agencies are able to use VR State Grant funds for training State agency personnel. This proposal would eliminate the administrative costs involved in making small grants each year to State VR agencies under the Training program and improve the efficiency of training delivered under the Rehabilitation Act.

**Demonstration and training programs.**—Competitive grants and contracts are awarded to expand and improve the provision and effectiveness of programs and services authorized under the Rehabilitation Act or further the purposes of the Act in promoting the employment and independence of individuals with disabilities in the community. Funds are used to support model demonstrations, technical assistance, and projects designed to improve program performance and the delivery of vocational rehabilitation and independent living services.

**Independent living.**—Grants are awarded to States and consumer-controlled nonprofit organizations to assist individuals with significant disabilities in their achievement of self-determined independent living goals. Grants are also awarded to provide support services to older blind individuals to increase their ability to care for their own needs.

**Protection and advocacy of individual rights.**—Formula grants are made to State protection and advocacy systems to protect the legal and human rights of individuals with disabilities.

**National institute on disability and rehabilitation research.**—The Institute carries out a comprehensive and coordinated program of rehabilitation research and related activities. Through grants and contracts, it supports the conduct and dissemination of research and development aimed at improving the lives of individuals with disabilities. The Institute also promotes the development and utilization of new technologies to assist these individuals in achieving greater independence and integration into society. Funds would also be used to conduct rigorous evaluations of programs and activities authorized under the Rehabilitation Act.

**Helen Keller national center for deaf blind youths and adults.**—The Center provides services to deaf-blind youths and

adults and provides training and technical assistance to professional and allied personnel at its national headquarters center and through its regional representatives and affiliate agencies.

**Assistive technology.**—Assistive Technology (AT) programs support AT State formula grants to implement comprehensive Statewide programs designed to maximize the ability of individuals with disabilities of all ages to obtain assistive technology. States conduct activities that include alternative financing programs, device reutilization programs, device loan programs, and device demonstrations. Formula grants are also provided under the AT Protection and Advocacy program to systems established under the Developmental Disabilities Assistance and Bill of Rights Act for protection and advocacy services to assist individuals with disabilities of all ages. Funds also support national technical assistance activities for these formula grant programs.

**Object Classification** (in millions of dollars)

Identification code 91–0301–0–1–506	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	8	6	6
25.2 Other services from non-Federal sources .....		1	1
25.3 Other goods and services from Federal sources .....	1		
41.0 Grants, subsidies, and contributions .....	3,407	3,710	3,648
99.0 Direct obligations .....	3,416	3,717	3,655
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	3,418	3,719	3,657

**SPECIAL INSTITUTIONS FOR PERSONS WITH DISABILITIES**

**AMERICAN PRINTING HOUSE FOR THE BLIND**

*For carrying out the Act of March 3, 1879, \$24,505,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91–0600–0–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 American printing house for the blind .....	25	25	25
0900 Total new obligations (object class 41.0) .....	25	25	25
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	25	25	25
1160 Appropriation, discretionary (total) .....	25	25	25
1930 Total budgetary resources available .....	25	25	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	7	2
3010 Obligations incurred, unexpired accounts .....	25	25	25
3020 Outlays (gross) .....	–25	–30	–26
3050 Unpaid obligations, end of year .....	7	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	7	2
3200 Obligated balance, end of year .....	7	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	25	25	25
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	24	24
4011 Outlays from discretionary balances .....	7	6	2
4020 Outlays, gross (total) .....	25	30	26
4180 Budget authority, net (total) .....	25	25	25

4190 Outlays, net (total) .....	25	30	26
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The Federal appropriation supports: the production and distribution of free educational materials for students below the college level who are blind, research related to developing and improving products, and advisory services to consumer organizations on the availability and use of materials. In 2012, the portion of the Federal appropriation allocated to educational materials represented approximately 70 percent of the Printing House's total sales. The full 2012 appropriation represented approximately 86 percent of the Printing House's total budget.

**NATIONAL TECHNICAL INSTITUTE FOR THE DEAF**

*For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986, \$65,422,000, of which \$2,000,000, to remain available until expended, shall be for construction: Provided, That from the total amount available, the Institute may at its discretion use funds for the endowment program as authorized under section 207 of such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91–0601–0–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	65	67	63
0002 Construction .....			2
0900 Total new obligations (object class 41.0) .....	65	67	65
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	66	66	65
1160 Appropriation, discretionary (total) .....	66	66	65
1930 Total budgetary resources available .....	66	67	65
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	65	67	65
3020 Outlays (gross) .....	–65	–67	–63
3050 Unpaid obligations, end of year .....			2
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	66	66	65
Outlays, gross:			
4010 Outlays from new discretionary authority .....	65	66	63
4011 Outlays from discretionary balances .....		1	
4020 Outlays, gross (total) .....	65	67	63
4180 Budget authority, net (total) .....	66	66	65
4190 Outlays, net (total) .....	65	67	63

This residential program provides postsecondary technical and professional education for people who are deaf to prepare them for employment, provides training, and conducts applied research into employment-related aspects of deafness. In 2012, the Federal appropriation represented approximately 70 percent of the Institute's operating budget. The 2014 request includes funds for the support of a deferred maintenance account. The 2014 request

NATIONAL TECHNICAL INSTITUTE FOR THE DEAF—Continued  
also includes funds that may be used for the Endowment Grant program.

GALLAUDET UNIVERSITY

*For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act of 1986, \$117,541,000: Provided, That from the total amount available, the University may at its discretion use funds for the endowment program as authorized under section 207 of such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 91–0602–0–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	118	118	118
0002 Construction .....	8	8	.....
0900 Total new obligations (object class 41.0) .....	126	126	118
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	126	126	118
1160 Appropriation, discretionary (total) .....	126	126	118
1930 Total budgetary resources available .....	126	126	118
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	17	13
3010 Obligations incurred, unexpired accounts .....	126	126	118
3020 Outlays (gross) .....	–118	–130	–125
3050 Unpaid obligations, end of year .....	17	13	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	17	13
3200 Obligated balance, end of year .....	17	13	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	126	126	118
Outlays, gross:			
4010 Outlays from new discretionary authority .....	117	119	118
4011 Outlays from discretionary balances .....	1	11	7
4020 Outlays, gross (total) .....	118	130	125
4180 Budget authority, net (total) .....	126	126	118
4190 Outlays, net (total) .....	118	130	125

This institution provides undergraduate, continuing education, and graduate programs related to deafness for students who are deaf and hard of hearing. The University also conducts basic and applied research and provides public service programs for persons who are deaf and persons who work with them.

Gallaudet operates the Laurent Clerc National Deaf Education Center, which includes two elementary and secondary education programs on the main campus of the University. The Kendall Demonstration Elementary School serves students who are deaf from infancy through age 15, and the Model Secondary School for the Deaf (MSSD) serves high school age students who are deaf. The Clerc Center also develops and disseminates information on effective educational techniques and strategies for teachers and professionals working with students who are deaf or hard of hearing.

In 2012, the appropriation for Gallaudet represented approximately 68 percent of total revenue for the University. Approximately 25 percent of the Federal appropriation was used to support

activities at the Clerc Center, which received nearly 100 percent of its revenue through the appropriation. In addition, the University receives other Federal funds such as student financial aid, vocational rehabilitation, Endowment Grant program income, and competitive grants and contracts. The 2014 request includes funds that may be used for the Endowment Grant program.

OFFICE OF VOCATIONAL AND ADULT EDUCATION

Federal Funds

CAREER, TECHNICAL, AND ADULT EDUCATION

*For carrying out, to the extent not otherwise provided, the Adult Education and Family Literacy Act (referred to in this Act as the "AEFLA"), \$609,295,000, which shall become available on July 1, 2014, and shall remain available through September 30, 2015: Provided, That of the amount provided for Adult Education State Grants, \$74,709,000 shall be made available for integrated English literacy and civics education services to immigrants and other limited-English-proficient populations: Provided further, That of the amount reserved for integrated English literacy and civics education, notwithstanding section 211 of the AEFLA, 65 percent shall be allocated to States based on a State's absolute need as determined by calculating each State's share of a 10-year average of the United States Citizenship and Immigration Services data for immigrants admitted for legal permanent residence for the 10 most recent years, and 35 percent allocated to States that experienced growth as measured by the average of the 3 most recent years for which United States Citizenship and Immigration Services data for immigrants admitted for legal permanent residence are available, except that no State shall be allocated an amount less than \$60,000: Provided further, That, of the amounts made available for AEFLA, \$14,302,000 shall be for national leadership activities under section 243.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 91–0400–0–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Career and technical education:			
0001 State grants .....	1,122	1,125	791
0002 National programs .....	8	8	.....
0091 Total, Career and technical education .....	1,130	1,133	791
Adult education:			
0101 State grants .....	595	599	595
0102 National leadership activities .....	11	11	14
0191 Total, adult education .....	606	610	609
0900 Total new obligations .....	1,736	1,743	1,400
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	31	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	948	952	609
1130 Appropriations permanently reduced .....	–2	.....	.....
1160 Appropriation, discretionary (total) .....	946	952	609
Advance appropriations, discretionary:			
1170 Advance appropriation from prior year .....	791	791	791
1173 Advance appropriations permanently reduced .....	–1	.....	.....
1180 Advanced appropriation, discretionary (total) .....	790	791	791
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	.....	.....
1701 Change in uncollected payments, Federal sources .....	–1	.....	.....
1900 Budget authority (total) .....	1,736	1,743	1,400
1930 Total budgetary resources available .....	1,767	1,774	1,431
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	31	31	31

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,607	1,470	1,444
3010	Obligations incurred, unexpired accounts .....	1,736	1,743	1,400
3011	Obligations incurred, expired accounts .....	5		
3020	Outlays (gross) .....	-1,867	-1,769	-1,462
3041	Recoveries of prior year unpaid obligations, expired .....	-11		
3050	Unpaid obligations, end of year .....	1,470	1,444	1,382
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,606	1,470	1,444
3200	Obligated balance, end of year .....	1,470	1,444	1,382

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	1,736	1,743	1,400
Outlays, gross:				
4010	Outlays from new discretionary authority .....	590	624	599
4011	Outlays from discretionary balances .....	1,277	1,145	863
4020	Outlays, gross (total) .....	1,867	1,769	1,462
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4070	Budget authority, net (discretionary) .....	1,736	1,743	1,400
4080	Outlays, net (discretionary) .....	1,866	1,769	1,462
4180	Budget authority, net (total) .....	1,736	1,743	1,400
4190	Outlays, net (total) .....	1,866	1,769	1,462

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	1,736	1,743	1,400
Outlays .....	1,866	1,769	1,462
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			350
Outlays .....			18
Total:			
Budget Authority .....	1,736	1,743	1,750
Outlays .....	1,866	1,769	1,480

**SUMMARY OF PROGRAM LEVEL**

	2011-12 Academic Year	2012-13 Academic Year	2013-14 Academic Year
New Budget Authority .....	\$946	\$952	\$959
Advance Appropriation .....	790	791	791
Total program level .....	1,736	1,743	1,750
Change in advance appropriation over previous year .....	-1	+1	0

The Administration is proposing legislation reauthorizing the Carl D. Perkins Career and Technical Education Act of 2006, including programs in this account. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

**Adult education:**

**State programs.**—Funds support formula grants to States to help eliminate functional illiteracy among the Nation's adults, to assist adults in obtaining a high school diploma or its equivalent, and to promote family literacy. A portion of the funds is reserved for formula grants to States to provide English literacy and civics education for immigrants and other limited English proficient adults.

**National leadership activities.**—Funds support discretionary activities to evaluate the effectiveness of Federal, State, and local adult education programs, and to test and demonstrate methods of improving program quality.

**Object Classification** (in millions of dollars)

Identification code 91-0400-0-1-501	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1	Advisory and assistance services .....		2
25.5	Research and development contracts .....	15	13
41.0	Grants, subsidies, and contributions .....	1,721	1,728
99.9	Total new obligations .....	1,736	1,743

**CAREER, TECHNICAL AND ADULT EDUCATION**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 91-0400-2-1-501	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
Career and technical education:			
0001	State grants .....		332
0002	National programs .....		18
0900	Total new obligations .....		350
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		350
1160	Appropriation, discretionary (total) .....		350
1900	Budget authority (total) .....		350
1930	Total budgetary resources available .....		350

**Change in obligated balance:**

Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		350
3020	Outlays (gross) .....		-18
3050	Unpaid obligations, end of year .....		332
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		332

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....		350
Outlays, gross:			
4010	Outlays from new discretionary authority .....		18
4180	Budget authority, net (total) .....		350
4190	Outlays, net (total) .....		18

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Carl D. Perkins Career and Technical Education Act of 2006.

**Career and Technical Education:**

**State grants.**—Funds would support formula grants to States for programs that focus on improving the academic achievement and career and technical skills of secondary and postsecondary students. Funds would also support projects to promote innovation in career and technical education.

**National programs.**—Funds would support discretionary activities to support research, evaluation, data collection, technical assistance, and other national leadership activities aimed at improving the quality and effectiveness of career and technical education.

**Object Classification** (in millions of dollars)

Identification code 91-0400-2-1-501	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1	Advisory and assistance services .....		12
25.5	Research and development contracts .....		3
41.0	Grants, subsidies, and contributions .....		335
99.9	Total new obligations .....		350

**OFFICE OF POSTSECONDARY EDUCATION**

**Federal Funds**

HIGHER EDUCATION

For carrying out, to the extent not otherwise provided, titles III, IV, V, VI, VII, and VIII of the HEA, the Mutual Educational and Cultural Exchange Act of 1961, and section 117 of the Carl D. Perkins Career and Technical Education Act of 2006, \$2,182,799,000: Provided, That \$67,607,000 shall be used for data collection, evaluation, research, and demonstration activities relating to programs under the HEA, including activities that are designed to test approaches for providing grant, loan, or work assistance under title IV of the HEA in ways that promote access to, and completion of, affordable and high-quality postsecondary education programs: Provided further, That the Secretary may use funds under the preceding proviso for the costs of postsecondary tuition, fees, textbooks, and related costs for students enrolled in postsecondary courses who are participating in evaluation, research, and demonstration activities funded under the preceding proviso: Provided further, That, notwithstanding any other provision of law, funds made available in this Act to carry out title VI of the HEA and section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 may be used to support visits and study in foreign countries by individuals who are participating in advanced foreign language training and international studies in areas that are vital to United States national security and who plan to apply their language skills and knowledge of these countries in the fields of government, the professions, or international development: Provided further, That, of the funds referred to in the preceding proviso up to 1 percent may be used for program evaluation, national outreach, and information dissemination activities: Provided further, That funds available for part B of title VII may be used for continuation awards authorized under subpart 2 of part D of title VII: Provided further, That up to \$75,000,000 of the funds made available under this Act for part B of title VII may be used: (1) to support projects to develop third-party validation systems that identify competencies, assessments, and curricula for particular fields; or (2) for performance-based awards or other agreements under the Pay for Success program: Provided further, That, with respect to the preceding proviso, any funds obligated for such projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any funds deobligated from such projects or agreements shall immediately be available for part B of title VII: Provided further, That, with respect to the second preceding proviso, the Secretary may award funds to the types of entities listed in section 741(a) or any other type of entity that the Secretary identifies in the notice inviting applications for such awards: Provided further, That up to \$100,000,000 of the funds made available under this Act for part B of title VII shall be used for awards to develop, implement, and evaluate interventions to help high school students, particularly from low-income families, successfully transition to and succeed in higher education: Provided further, That, of the amount available under subpart 2 of part A of title VII of the HEA, the Secretary may use up to \$1,485,000 to fund continuation awards for projects originally supported under subpart 1 of part A of title VII of the HEA: Provided further, That up to 1.5 percent of the funds made available under chapter 2 of subpart 2 of part A of title IV may be used for evaluation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91–0201–0–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Strengthening institutions .....	81	81	81
0002 Strengthening tribally controlled colleges and universities .....	56	56	56
0003 Strengthening Alaska Native and Native Hawaiian-serving institutions .....	25	28	28
0004 Strengthening historically Black colleges and universities .....	313	314	313
0005 Strengthening historically Black graduate institutions .....	59	59	59
0006 Masters degree programs for HBCUs and predominantly Black institutions .....	12	12	12
0007 Strengthening predominantly Black institutions .....	24	24	24
0008 Strengthening Asian American and Native American Pacific Islander-serving institutions .....	8	8	8

0009 Strengthening Native American-serving nontribal institutions .....	8	8	8
0010 Minority science and engineering improvement .....	9	10	9
0091 Subtotal, aid for institutional development .....	595	600	598
0101 Developing Hispanic-serving institutions .....	100	101	100
0102 Developing Hispanic-serving institution STEM and articulation programs .....	100	100	100
0103 Promoting baccalaureate opportunities for Hispanic Americans .....	21	21	21
0104 International education and foreign language studies .....	74	74	81
0105 Fund for the Improvement of Postsecondary Education .....	3	4	260
0106 Model transition programs for students with intellectual disabilities into higher education .....	11	11	
0107 Tribally controlled postsecondary vocational and technical institutions .....	8	8	8
0108 Special programs for migrant students .....			37
0191 Subtotal, other aid for institutions .....	317	319	607
0201 Federal TRIO programs .....	840	845	840
0202 Gaining early awareness and readiness for undergraduate programs (GEAR UP) .....	302	304	302
0204 Javits fellowships .....	8		
0205 Graduate assistance in areas of national need .....	31	31	31
0208 Child care access means parents in school .....	16	16	16
0291 Subtotal, assistance for students .....	1,197	1,196	1,189
0302 Teacher quality partnerships .....	43	43	
0303 GPRA data/HEA program evaluation .....	1	1	68
0305 College access challenge grants .....	128	150	150
0391 Subtotal, other higher education activities .....	172	194	218
0900 Total new obligations .....	2,281	2,309	2,612

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	10	3	3
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	10	3	
1012 Unobligated balance transfers between expired and unexpired accounts .....	130	137	125
1050 Unobligated balance (total) .....	140	140	128
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,873	1,881	2,183
1130 Appropriations permanently reduced .....	–4		
1160 Appropriation, discretionary (total) .....	1,869	1,881	2,183
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	428	428	428
1260 Appropriations, mandatory (total) .....	428	428	428
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	2,298	2,309	2,611
1930 Total budgetary resources available .....	2,438	2,449	2,739
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	–154	–137	–125
1941 Unexpired unobligated balance, end of year .....	3	3	2

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,461	3,361	3,243
3010 Obligations incurred, unexpired accounts .....	2,281	2,309	2,612
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	–2,346	–2,427	–2,320
3041 Recoveries of prior year unpaid obligations, expired .....	–36		
3050 Unpaid obligations, end of year .....	3,361	3,243	3,535
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3,461	3,361	3,243
3200 Obligated balance, end of year .....	3,361	3,243	3,535

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,870	1,881	2,183
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	33	56	65
4011 Outlays from discretionary balances .....	1,938	1,858	1,816
4020 Outlays, gross (total) .....	1,971	1,914	1,881
<b>Mandatory:</b>			
4090 Budget authority, gross .....	428	428	428
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		13	13
4101 Outlays from mandatory balances .....	375	500	426
4110 Outlays, gross (total) .....	375	513	439

4180	Budget authority, net (total) .....	2,298	2,309	2,611
4190	Outlays, net (total) .....	2,346	2,427	2,320

*Aid for institutional development:*

*Strengthening institutions.*—Funds support planning and development grants for improving academic programs and financial management at schools that enroll high proportions of disadvantaged students and have low per-student expenditures.

*Strengthening tribally controlled colleges and universities.*—Discretionary and mandatory funds support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening Alaska Native and Native Hawaiian-serving institutions.*—Discretionary and mandatory funds support Alaska Native and Native Hawaiian-serving institutions to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening historically Black colleges and universities.*—Discretionary and mandatory funds support grants to help historically Black undergraduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening historically Black graduate institutions.*—Funds support grants to help historically Black graduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Master's degree programs at historically Black colleges and universities and predominantly Black institutions.*—Mandatory funds support Historically Black Colleges and Universities (HBCUs) and Predominantly Black Institutions (PBIs) to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening predominantly Black institutions.*—Discretionary and mandatory funds support grants to predominantly Black institutions to improve and expand their capacity to serve students.

*Strengthening Asian American and Native American Pacific Islander-serving institutions.*—Discretionary and mandatory funds support grants to help Asian American and Native American Pacific Islander-serving institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening Native American-serving nontribal institutions.*—Discretionary and mandatory funds support grants to help Native American-serving nontribal institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Minority science and engineering improvement.*—Funds support grants to predominantly minority institutions to help them make long-range improvements in science and engineering education and to increase the participation of minorities in scientific and technological careers.

*Aid for Hispanic-serving institutions:*

*Developing Hispanic-serving institutions.*—Funds support Hispanic-serving institutions to help them improve and expand their capacity to serve students.

*Developing Hispanic-serving institutions STEM and articulation programs.*—Mandatory funds support Hispanic-serving institutions to help them improve and expand their capacity to serve students with priority given to applications that propose to increase the number of Hispanics and other low-income students attaining degrees in the fields of science, technology, engineering, or mathematics; and to develop model transfer and

articulation agreements between 2-year Hispanic-serving institutions and 4-year institutions in such fields.

*Promoting postbaccalaureate opportunities for Hispanic Americans.*—Discretionary and mandatory funds support Hispanic-serving Institutions to help them expand and improve postbaccalaureate educational opportunities.

*Other aid for institutions:*

*International education and foreign language studies programs.*—Funds promote the development and improvement of domestic and overseas international and foreign language programs.

*Fund for the improvement of postsecondary education.*—Funds would support the First in the World initiative, which would include an evidence-based competition with a priority for projects designed to improve college access and student success. Funds would also support development of third-party validation systems to facilitate competency-based learning in particular fields and Pay for Success awards that provide alternative pathways for postsecondary programs that can demonstrate good student outcomes to receive Federal funding.

*Tribally controlled postsecondary career and technical institutions.*—Funds support the operation and improvement of eligible tribally controlled postsecondary career institutions to ensure continued and expanded educational opportunities for Indian students.

*Special programs for migrant students.*—Funds support grants to institutions of higher education and nonprofit organizations that assist migrant students in earning a high school equivalency certificate or in completing their first year of college.

*Assistance for students:*

*Federal TRIO programs.*—Funds support postsecondary education outreach and student support services to help individuals from disadvantaged backgrounds prepare for, enter, and complete college and graduate studies.

*Gaining early awareness and readiness for undergraduate programs.*—Funds support early college preparation and awareness activities at the State and local levels to ensure that low-income elementary and secondary school students are prepared for and pursue postsecondary education.

*Graduate assistance in areas of national need.*—Funds support fellowships to graduate students of superior ability who have financial need for study in areas of national need and continuation awards for Javits Fellowships to students of superior ability who have financial need and who are pursuing terminal degrees in the arts, humanities, and social sciences.

*Child care access means parents in school.*—Funds support a program designed to bolster the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

*Other activities:*

*GPR data / HEA program evaluation.*—Funds support data collection and evaluation activities for programs under the Higher Education Act (HEA) of 1965. The request for 2014 includes funds to conduct research and test approaches for providing grant, loan, or work assistance under title IV of the HEA in ways that promote access to, and completion of, affordable and high-quality postsecondary education programs.

*College access challenge grants.*—Mandatory funds support grants to States to promote activities designed to increase the number of low-income students who are prepared to enter and succeed in postsecondary education.

HIGHER EDUCATION—Continued  
Object Classification (in millions of dollars)

Identification code 91–0201–0–1–502	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	3	6	73
25.2 Other services from non-Federal sources .....	4	5	4
25.3 Other goods and services from Federal sources .....	1		
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2,272	2,297	2,534
99.9 Total new obligations .....	2,281	2,309	2,612

HIGHER EDUCATION

(Legislative proposal, subject to PAYGO)

*Community college to career fund.*—Beginning in 2015, provides \$8 billion (\$4 billion each in the Departments of Labor and Education) over three years to support and evaluate community college-based training programs that build the skills of American workers, with a particular emphasis on initiatives with strong State and community college partnerships with businesses. The Fund will be jointly administered by the Departments of Labor and Education and is the successor to the Trade Adjustment Assistance Community College and Career Training program in the Department of Labor, for which 2014 is the final year of funding.

HOWARD UNIVERSITY

*For partial support of Howard University, \$234,064,000, of which not less than \$3,593,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 91–0603–0–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 General support .....	206	206	205
0002 Howard University Hospital .....	29	29	29
0900 Total new obligations (object class 41.0) .....	235	235	234
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	234	235	234
1160 Appropriation, discretionary (total) .....	234	235	234
1930 Total budgetary resources available .....	235	235	234
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	15
3010 Obligations incurred, unexpired accounts .....	235	235	234
3020 Outlays (gross) .....	–234	–225	–234
3050 Unpaid obligations, end of year .....	5	15	15
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	5	15
3200 Obligated balance, end of year .....	5	15	15
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	234	235	234
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	230	221	220
4011 Outlays from discretionary balances .....	4	4	14

4020 Outlays, gross (total) .....	234	225	234
4180 Budget authority, net (total) .....	234	235	234
4190 Outlays, net (total) .....	234	225	234

Howard University is a private, nonprofit educational institution consisting of 12 schools and colleges. Federal funds are used to provide partial support for university programs as well as for the teaching hospital facilities. In 2012, Federal funding represented approximately 43 percent of the university's revenue.

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM

*For Federal administrative expenses to carry out activities related to existing facility loans pursuant to section 121 of the HEA, \$459,000.*

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING PROGRAM ACCOUNT

*For the cost of guaranteed loans, \$20,150,000 as authorized pursuant to part D of title III of the HEA, which shall remain available through September 30, 2015: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed \$320,350,000: Provided further, That these funds may be used to support loans to public and private Historically Black Colleges and Universities without regard to the limitations within section 344(a) of the HEA.*

*In addition, for administrative expenses to carry out the Historically Black College and University Capital Financing Program entered into pursuant to part D of title III of the HEA, \$352,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 91–0241–0–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0701 Direct loan subsidy .....	13	20	10
0703 Subsidy for modifications of direct loans .....			10
0705 Reestimates of direct loan subsidy .....	10	1	
0706 Interest on reestimates of direct loan subsidy .....	2		
0709 Administrative expenses .....		1	1
0715 Other .....	8		
0900 Total new obligations (object class 41.0) .....	33	22	21
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	21	21	21
1160 Appropriation, discretionary (total) .....	21	21	21
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	12	1	
1260 Appropriations, mandatory (total) .....	12	1	
1900 Budget authority (total) .....	33	22	21
1930 Total budgetary resources available .....	33	22	21
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	35	37
3010 Obligations incurred, unexpired accounts .....	33	22	21
3020 Outlays (gross) .....	–26	–20	–19
3050 Unpaid obligations, end of year .....	35	37	39
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	28	35	37
3200 Obligated balance, end of year .....	35	37	39
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	21	21	21
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	5	5

4011	Outlays from discretionary balances .....	12	14	14
4020	Outlays, gross (total) .....	14	19	19
	Mandatory:			
4090	Budget authority, gross .....	12	1	.....
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	12	1	.....
4180	Budget authority, net (total) .....	33	22	21
4190	Outlays, net (total) .....	26	20	19

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 91-0241-0-1-502	2012 actual	2013 CR	2014 est.	
<b>Direct loan levels supportable by subsidy budget authority:</b>				
115002	Historically Black Colleges and Universities .....	235	318	320
115999	Total direct loan levels .....	235	318	320
<b>Direct loan subsidy (in percent):</b>				
132002	Historically Black Colleges and Universities .....	5.50	6.29	3.09
132999	Weighted average subsidy rate .....	5.50	6.29	3.09
<b>Direct loan subsidy budget authority:</b>				
133002	Historically Black Colleges and Universities .....	13	20	10
133999	Total subsidy budget authority .....	13	20	10
<b>Direct loan subsidy outlays:</b>				
134002	Historically Black Colleges and Universities .....	14	7	11
134999	Total subsidy outlays .....	14	7	11
<b>Direct loan upward reestimates:</b>				
135002	Historically Black Colleges and Universities .....	8	1	.....
135003	HBCU Hurricane Supplemental .....	4	.....	.....
135999	Total upward reestimate budget authority .....	12	1	.....
<b>Direct loan downward reestimates:</b>				
137001	College housing and academic facilities loans .....	.....	-1	.....
137002	Historically Black Colleges and Universities .....	-20	-27	.....
137003	HBCU Hurricane Supplemental .....	.....	-171	.....
137999	Total downward reestimate budget authority .....	-20	-199	.....
<b>Administrative expense data:</b>				
3510	Budget authority .....	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, as well as any administrative expenses for the College Housing and Academic Facilities Loans Program and the Historically Black College and University Capital Financing Program. The subsidy amounts are estimated on a present value basis; the administrative expenses are on a cash basis. These programs are administered separately but consolidated in the Budget for presentation purposes.

**College housing and academic facilities loans program.**—Funds for this activity pay the Federal costs of administering the College Housing and Academic Facilities Loans (CHAFL), College Housing Loans (CHL), and Higher Education Facilities Loans (HEFL) programs. Prior to 1994, these programs provided financing for the construction, reconstruction, and renovation of housing, academic, and other educational facilities. Although no new loans have been awarded since 1993, the Department of Education will incur costs for administering the outstanding loans through 2030.

**Historically Black college and university capital financing program.**—The Historically Black College and University (HBCU) Capital Financing Program provides HBCUs with access to capital financing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation. The authorizing statute gives the Department authority to enter into insurance agreements with a private for-profit Designated Bonding Authority. The bonding authority issues the loans and maintains an escrow account in which 5 percent of each institution's principal is deposited. The Budget requests \$20.150 million in new loan subsidies, allowing the program to guarantee an estimated \$320

million in new loans in 2014. The Budget also requests a 2-year period of availability for this loan subsidy. In addition, the Budget requests funds for the Federal costs of administering the program and providing technical assistance activities that improve the financial stability of HBCUs.

**Employment Summary**

Identification code 91-0241-0-1-502	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	4	4	4

**COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 91-4252-0-3-502	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
<b>Credit program obligations:</b>				
0713	Payment of interest to Treasury .....	1	1	1
0743	Interest on downward reestimates .....	.....	1	.....
0900	Total new obligations .....	1	2	1
<b>Budgetary Resources:</b>				
<b>Financing authority:</b>				
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	1	2	1
1850	Spending auth from offsetting collections, mand (total) .....	1	2	1
1930	Total budgetary resources available .....	1	2	1
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3010	Obligations incurred, unexpired accounts .....	1	2	1
3020	Financing disbursements (gross) .....	-1	-2	-1
<b>Financing authority and disbursements, net:</b>				
<b>Mandatory:</b>				
4090	Financing authority, gross .....	1	2	1
<b>Financing disbursements:</b>				
4110	Financing disbursements, gross .....	1	2	1
<b>Offsets against gross financing authority and disbursements:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Federal sources .....	.....	-1	.....
4123	Interest repayments .....	-1	-1	-1
4130	Offsets against gross financing auth and disbursements (total) .....	-1	-2	-1

**Status of Direct Loans** (in millions of dollars)

Identification code 91-4252-0-3-502	2012 actual	2013 CR	2014 est.	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	11	10	10
1251	Repayments: Repayments and prepayments .....	-1	.....	.....
1290	Outstanding, end of year .....	10	10	10

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and 1993. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 91-4252-0-3-502	2011 actual	2012 actual	
<b>ASSETS:</b>			
<b>Net value of assets related to post-1991 direct loans receivable:</b>			
1401	Direct loans receivable, gross .....	11	10
1405	Allowance for subsidy cost (-) .....	-1	-2
1499	Net present value of assets related to direct loans .....	10	8
1999	Total assets .....	10	8

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING  
ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 91-4252-0-3-502	2011 actual	2012 actual
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	10	8
4999 Total liabilities and net position .....	10	8

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT  
Program and Financing (in millions of dollars)

Identification code 91-0242-0-1-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	4	4	4
0900 Total new obligations (object class 43.0) .....	4	4	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8		
1023 Unobligated balances applied to repay debt .....	-8		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	26	26	26
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-18	-19	-19
1825 Spending authority from offsetting collections applied to repay debt .....	-5	-4	-4
1850 Spending auth from offsetting collections, mand (total) .....	3	3	3
1900 Budget authority (total) .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-4	-4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-26	-26	-26
4180 Budget authority, net (total) .....	-22	-22	-22
4190 Outlays, net (total) .....	-22	-22	-22

Status of Direct Loans (in millions of dollars)

Identification code 91-0242-0-1-502	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	141	136	132
1251 Repayments: Repayments and prepayments .....	-5	-4	-4
1290 Outstanding, end of year .....	136	132	128

As required by the Federal Credit Reform Act of 1990, the College Housing and Academic Facilities Loans Liquidating Account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account includes loans made under the College Housing and Academic Facilities Loans, College Housing Loans, and Higher Education Facilities Loans programs, which continue to be administered separately.

Balance Sheet (in millions of dollars)

Identification code 91-0242-0-1-502	2011 actual	2012 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	141	136
1602 Interest receivable .....	6	6
1699 Value of assets related to direct loans .....	147	142
1999 Total assets .....	147	142
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	51	46
2104 Resources payable to Treasury .....	96	96
2999 Total liabilities .....	147	142
4999 Total liabilities and net position .....	147	142

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING DIRECT  
LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-4255-0-3-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Interest paid to Treasury (FFB) .....			
0004	14	14	15
Credit program obligations:			
0710 Direct loan obligations .....	235	318	320
0742 Downward reestimate paid to receipt account .....	14	126	
0743 Interest on downward reestimates .....	6	72	
0791 Direct program activities, subtotal .....	255	516	320
0900 Total new obligations .....	269	530	335
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	391	414	120
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	235	198	367
1440 Borrowing authority, mandatory (total) .....	235	198	367
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	71	52	57
1825 Spending authority from offsetting collections applied to repay debt .....	-14	-14	-14
1850 Spending auth from offsetting collections, mand (total) .....	57	38	43
1900 Financing authority (total) .....	292	236	410
1930 Total budgetary resources available .....	683	650	530
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	414	120	195
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	192	270	591
3010 Obligations incurred, unexpired accounts .....	269	530	335
3020 Financing disbursements (gross) .....	-191	-209	-202
3050 Unpaid obligations, end of year .....	270	591	724
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-22	-22
3090 Uncollected pymts, Fed sources, end of year .....	-22	-22	-22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	170	248	569
3200 Obligated balance, end of year .....	248	569	702
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	292	236	410
Financing disbursements:			
4110 Financing disbursements, gross .....	191	209	202
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-25	-7	-11
4122 Interest on uninvested funds .....	-18	-17	-18
4123 Interest repayments .....	-14	-14	-14
4123 Principal repayments .....	-14	-14	-14

4130	Offsets against gross financing auth and disbursements (total)	-71	-52	-57
4160	Financing authority, net (mandatory)	221	184	353
4170	Financing disbursements, net (mandatory)	120	157	145
4180	Financing authority, net (total)	221	184	353
4190	Financing disbursements, net (total)	120	157	145

**Status of Direct Loans** (in millions of dollars)

Identification code 91-4255-0-3-502	2012 actual	2013 CR	2014 est.	
<b>Position with respect to appropriations act limitation on obligations:</b>				
1111	Limitation on direct loans	368	368	320
1142	Unobligated direct loan limitation (-)	-133	-50	
1150	Total direct loan obligations	235	318	320
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year	782	929	1,046
1231	Disbursements: Direct loan disbursements	161	138	186
1251	Repayments: Repayments and prepayments	-14	-21	-21
1290	Outstanding, end of year	929	1,046	1,211

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Federal Government resulting from direct loans obligated in 1996 and beyond. The Federal Financing Bank (FFB) purchases bonds issued by the HBCU Designated Bonding Authority. Under the policies governing Federal credit programs, bonds purchased by the FFB and supported by the Department of Education with a letter of credit create the equivalent of a Federal direct loan. HBCU bonds are also available for purchase by the private sector, and these will be treated as loan guarantees. However, the Department anticipates that all HBCU loans will be financed by the FFB. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 91-4255-0-3-502	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury	280	365
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	822	929
1402	Interest receivable	12	6
1405	Allowance for subsidy cost (-)	-280	-365
1499	Net present value of assets related to direct loans	554	570
1999	Total assets	834	935
<b>LIABILITIES:</b>			
Federal liabilities:			
2102	Interest payable	12	6
2103	Debt	822	929
2999	Total liabilities	834	935
4999	Total liabilities and net position	834	935

**OFFICE OF FEDERAL STUDENT AID**  
**Federal Funds**

STUDENT FINANCIAL ASSISTANCE

For carrying out subparts 1, 3, and 10 of part A, and part C of title IV of the HEA, \$24,685,281,000, which shall remain available through September 30, 2015: Provided, That, of amounts provided under this heading, \$3,022,000,000 shall also be available for Pell Grants for award year 2015-2016.

The maximum Pell Grant for which a student shall be eligible during award year 2014-2015 shall be \$4,860.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91-0200-0-1-502	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0101	Federal Pell grants	34,308	32,274	34,921
0201	Federal supplemental educational opportunity grants (SEOG)	738	740	735
0202	Federal work-study	986	983	1,127
0291	Campus-based activities - Subtotal	1,724	1,723	1,862
0900	Total new obligations (object class 41.0)	36,032	33,997	36,783
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,007	8,464	11,593
1001	Discretionary unobligated balance brought fwd, Oct 1	1,007	8,464	
1021	Recoveries of prior year unpaid obligations	210		
1050	Unobligated balance (total)	1,217	8,464	11,593
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	24,539	24,685	24,685
1130	Appropriations permanently reduced	-3		
1160	Appropriation, discretionary (total)	24,536	24,685	24,685
Appropriations, mandatory:				
1200	Appropriation	18,745	12,441	7,044
1260	Appropriations, mandatory (total)	18,745	12,441	7,044
1900	Budget authority (total)	43,281	37,126	31,729
1930	Total budgetary resources available	44,498	45,590	43,322
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-2		
1941	Unexpired unobligated balance, end of year	8,464	11,593	6,539
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	22,667	23,468	23,232
3010	Obligations incurred, unexpired accounts	36,032	33,997	36,783
3011	Obligations incurred, expired accounts	205		
3020	Outlays (gross)	-34,980	-34,233	-35,386
3040	Recoveries of prior year unpaid obligations, unexpired	-210		
3041	Recoveries of prior year unpaid obligations, expired	-246		
3050	Unpaid obligations, end of year	23,468	23,232	24,629
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	22,667	23,468	23,232
3200	Obligated balance, end of year	23,468	23,232	24,629
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	24,536	24,685	24,685
Outlays, gross:				
4010	Outlays from new discretionary authority	7,597	6,212	5,410
4011	Outlays from discretionary balances	15,411	16,778	18,474
4020	Outlays, gross (total)	23,008	22,990	23,884
Mandatory:				
4090	Budget authority, gross	18,745	12,441	7,044
Outlays, gross:				
4100	Outlays from new mandatory authority	4,017	840	1,735
4101	Outlays from mandatory balances	7,955	10,403	9,767
4110	Outlays, gross (total)	11,972	11,243	11,502
4180	Budget authority, net (total)	43,281	37,126	31,729
4190	Outlays, net (total)	34,980	34,233	35,386

**Status of Direct Loans** (in millions of dollars)

Identification code 91-0200-0-1-502	2012 actual	2013 CR	2014 est.	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year	308	303	299
1251	Repayments: Repayments and prepayments	-25	-24	-24
Write-offs for default:				
1263	Direct loans	-1	-1	-1
1264	Other adjustments, net (+ or -)	21	21	20
1290	Outstanding, end of year	303	299	294

Notes.—Figures include, in all years, institutional matching share of defaulted notes assigned from institutions to the Education Department.

Funding from the Student Financial Assistance account and related matching funds would provide over 12.2 million awards

## STUDENT FINANCIAL ASSISTANCE—Continued

totaling more than \$38.5 billion in available aid in award year 2014–2015.

**Federal Pell grants.**—Pell Grants are the single largest source of grant aid for postsecondary education. Funding for this program is provided from two sources: discretionary appropriations and mandatory budget authority provided by the College Cost Reduction and Access Act, as amended, the Budget Control Act, and changes to the Higher Education Act of 1965 made in the 2011 and 2012 appropriations acts.

In 2014, 9.4 million undergraduates will receive up to \$4,860 from the discretionary award and an additional \$925 from the mandatory add-on to help pay for postsecondary education. Undergraduate students establish eligibility for these grants under award and need determination rules set out in the authorizing statute and annual appropriations act. The 2014 Budget request includes \$22.8 billion in discretionary funding for Pell Grants in 2014, which, when combined with previously provided mandatory funding, will support a projected maximum award of \$5,785.

**Federal supplemental educational opportunity grants (SEOG).**—Federal funds are awarded by formula to qualifying institutions, which use these funds to award grants to undergraduate students. While institutions have discretion in awarding these funds, they are required to give priority to Pell Grant recipients and other students with exceptional need. The Federal share of these grants cannot exceed 75 percent of the total grant. The 2014 Budget includes \$735 million for SEOG, which would generate \$982 million in aid to 1.6 million students.

**Federal work-study.**—Federal funds are awarded by formula to qualifying institutions, which provide part-time jobs to eligible undergraduate and graduate students. Hourly earnings under this program must be at least the Federal minimum wage. Federal funding, in most cases, pays 75 percent of a student's hourly wages, with the remaining 25 percent paid by the employer. The Federal Work-Study program also requires participating institutions to use at least seven percent of their total funds for students employed in community service jobs. The 2014 Budget includes \$1.13 billion for Work-Study, which would generate \$1.35 billion in aid to 809,000 students, representing increases of \$150 million and 112,000 recipients over the 2012 level.

**Federal Perkins loans.**—Institutions award low-interest loans from institutional revolving funds, which are comprised of Federal Capital Contributions, institutional matching funds, and student repayments on outstanding loans. No new Federal Capital Contributions have been appropriated since 2004. The Budget proposes to modernize and expand the Perkins Loan program so more colleges can participate and more students can access these loans. The proposal would increase, beginning on July 1, 2014, the annual loan amounts available to students to \$8.5 billion from the current \$1 billion. Rather than operating through institutional revolving funds, the Federal Government would originate and service Perkins Loans. Loan volume would be allocated among degree-granting institutions. This new formula will encourage colleges to control costs and offer need-based aid to prevent excessive indebtedness. Schools would have some discretion about student eligibility. Perkins Loan borrowers would be charged the same interest rate as Unsubsidized Stafford Loan borrowers. The 2014 Budget proposes to change how interest rates for all new student loans are calculated to better reflect current market conditions. For Perkins, this would mean an annually adjusted interest rate based on the 10-year Treasury bill plus 293 basis points, fixed at that rate for the life of the loan. These loans would accrue interest while students are in school, and other loan terms and conditions would be the same as current

Unsubsidized Stafford loans. As current Perkins Loan borrowers repay their loans, schools would remit the Federal share of those payments to the Department of Education, beginning at the statutory date described in the Higher Education Act of 1965. Schools would retain their own share of the revolving funds, as well as amounts sufficient to cover the costs of the various Perkins Loan forgiveness provisions. Mandatory loan subsidy costs of this proposal would reduce 2014 outlays by \$673 million and are displayed in the Federal Perkins Loan program account.

**Iraq and Afghanistan service grants.**—This program, which became effective July 1, 2010, provides non-need-based grants to students whose parent or guardian was a member of the Armed Forces and died in Iraq or Afghanistan as a result of performing military service after September 11, 2001. Service Grants are equal to the maximum Pell Grant for a given award year. The Administration anticipates spending \$231,000 to support an estimated maximum of 1,000 awards in 2014.

**Funding tables.**—The following tables display student aid funds available, the number of aid awards, average awards, and the unduplicated count of recipients from each Federal student aid program. Loan amounts reflect the amount actually loaned to borrowers, not the Federal cost of these loans. The data in these tables include matching funds wherever appropriate. The 2014 data in these tables reflect the Administration's legislative proposals.

## AID FUNDS AVAILABLE FOR POSTSECONDARY EDUCATION AND TRAINING

	[in thousands of dollars]		
	2012	2013	2014
Pell grants .....	32,798,175	33,728,145	35,325,135
Student loans:			
Direct student loans:			
Stafford loans .....	33,612,370	28,645,382	29,345,724
Unsubsidized Stafford loans .....	53,887,607	59,185,855	62,729,063
PLUS .....	17,851,442	18,602,421	19,986,972
Consolidation .....	35,964,152	27,049,105	27,938,030
Perkins loans .....	856,789	856,789	856,789
Unsubsidized Perkins loans .....	0	0	4,113,423
Student loans, subtotal .....	142,172,360	134,339,552	144,970,001
Work-study .....	1,161,950	1,169,316 <sup>1</sup>	1,349,620
Supplemental educational opportunity grants .....	981,994	988,005 <sup>1</sup>	981,994
Iraq and Afghanistan service grants .....	257	225	268
TEACH grants .....	110,698	116,233	87,030
Presidential teaching fellows .....	0	0	149,030
Total aid available .....	177,225,434	170,341,475	182,863,079

<sup>1</sup>Includes 0.612 percent across-the-board increase provided by P.L. 112–175.

## NUMBER OF AID AWARDS

	[in thousands]		
	2012	2013	2014
Pell grants .....	8,965	9,171	9,373
Direct student loans—Stafford loans .....	9,911	8,941	9,153
Direct student loans—Unsubsidized Stafford loans .....	10,924	10,973	11,420
Direct student loans—PLUS .....	1,347	1,291	1,318
Direct student loans—Consolidation .....	2,579	690	600
Perkins loans .....	461	461	461
Unsubsidized Perkins loans .....	0	0	751
Work-study .....	697	701 <sup>1</sup>	809
Supplemental educational opportunity grants .....	1,584	1,594 <sup>1</sup>	1,584
Iraq and Afghanistan service grants .....	0 <sup>2</sup>	0 <sup>2</sup>	0 <sup>2</sup>
TEACH grants .....	37	39	30
Presidential teaching fellows .....	0	0	15
Total awards .....	36,503	33,860	35,514

<sup>1</sup>Reflects the impact of 0.612 percent across-the-board increase provided by P.L. 112–175.

<sup>2</sup>Number of recipients is fewer than 1,000.

## AVERAGE AID AWARDS

	[in whole dollars]		
	2012	2013	2014
Pell grants .....	3,658	3,678	3,769

Direct student loans-Stafford loans .....	3,392	3,204	3,206
Direct student loans-Unsubsidized Stafford loans .....	4,933	5,394	5,493
Direct student loans-PLUS .....	13,256	14,410	15,169
Direct student loans-Consolidation .....	13,946	39,201	46,537
Perkins loans .....	1,860	1,860	1,860
Unsubsidized Perkins loans .....	0	0	5,476
Work-study .....	1,668	1,668 <sup>1</sup>	1,668
Supplemental educational opportunity grants .....	620	620 <sup>1</sup>	620
Iraq and Afghanistan service grants .....	4,759	4,884	4,963
TEACH grants .....	2,979	2,979	
Presidential teaching fellows .....	0	0	10,000

<sup>1</sup>Reflects the impact of 0.612 percent across-the-board increase provided by P.L. 112-175.

**NUMBER OF STUDENTS AIDED**

[in thousands]

	2012	2013	2014
Unduplicated student count .....	13,941	14,257 <sup>1</sup>	14,694

<sup>1</sup>Reflects the impact of 0.612 percent across-the-board increase provided by P.L. 112-175.

**ADMINISTRATIVE PAYMENTS TO INSTITUTIONS**

[in thousands of dollars]

	2012	2013	2014
Pell grants .....	44,825	45,855	46,865
Work-study .....	72,748	73,206 <sup>1</sup>	72,748
Supplemental educational opportunity grants .....	39,280	39,520 <sup>1</sup>	39,280
Perkins loans .....	34,272	34,272	34,272

<sup>1</sup>Reflects the impact of 0.612 percent across-the-board increase provided by P.L. 112-175.

**STUDENT AID ADMINISTRATION**

For Federal administrative expenses to carry out part D of title I, and subparts 1, 3, 9, and 10 of part A, and parts B, C, D, and E of title IV of the HEA, \$1,050,091,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91-0202-0-1-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Student aid administration .....	904	1,076	1,170
0002 Discretionary servicing activities .....	349	431	317
0900 Total new obligations .....	1,253	1,507	1,487
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	72	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3	72	
1021 Recoveries of prior year unpaid obligations .....	22		
1050 Unobligated balance (total) .....	25	72	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,045	1,049	1,050
1121 Appropriations transferred from other accts [75-0340] .....			3
1130 Appropriations permanently reduced .....	-2		
1160 Appropriation, discretionary (total) .....	1,043	1,049	1,053
Appropriations, mandatory:			
1200 Appropriation .....	277	386	434
1260 Appropriations, mandatory (total) .....	277	386	434
1900 Budget authority (total) .....	1,320	1,435	1,487
1930 Total budgetary resources available .....	1,345	1,507	1,487
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-20		
1941 Unexpired unobligated balance, end of year .....	72		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	528	576	863
3010 Obligations incurred, unexpired accounts .....	1,253	1,507	1,487
3020 Outlays (gross) .....	-1,178	-1,220	-1,391
3040 Recoveries of prior year unpaid obligations, unexpired .....	-22		

3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	576	863	959
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	528	576	863
3200 Obligated balance, end of year .....	576	863	959
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,043	1,049	1,053
Outlays, gross:			
4010 Outlays from new discretionary authority .....	557	590	591
4011 Outlays from discretionary balances .....	410	369	432
4020 Outlays, gross (total) .....	967	959	1,023
Mandatory:			
4090 Budget authority, gross .....	277	386	434
Outlays, gross:			
4100 Outlays from new mandatory authority .....	149	185	208
4101 Outlays from mandatory balances .....	62	76	160
4110 Outlays, gross (total) .....	211	261	368
4180 Budget authority, net (total) .....	1,320	1,435	1,487
4190 Outlays, net (total) .....	1,178	1,220	1,391

The Department of Education manages Federal student aid programs that will provide nearly \$183 billion in new Federal student aid grants and loans to 14.7 million students and parents in 2014. Primary responsibility for administering these programs lies with the Office of Postsecondary Education and the performance-based Federal Student Aid (FSA), which are both overseen by the Office of the Under Secretary. FSA was created by Congress in 1998 with a mandate to improve service to students and other student aid program participants, reduce student aid administration costs, and improve accountability and program integrity.

**Student Aid Administration**

The 2014 Budget includes \$733 million for student aid administration activities, \$317 million for loan servicing activities, and \$3 million in transferred budget authority for administering the Health Education Assistance Loan Program (HEAL), for a total of \$1.053 billion in discretionary budget authority. The 2014 Budget includes appropriations language to transfer the HEAL Program from the Secretary of Health and Human Services (HHS) to the Secretary of Education (ED). Administrative functions supported by these discretionary funds include: processing student aid applications; providing and tracking aid awards to students, parents, and schools; promoting efforts to reach key student populations; and simplifying the student aid application. In addition, the 2014 Budget includes \$434 million in mandatory funds for administrative costs of servicing contracts with Not-For-Profit (NFP) loan servicers.

**Servicing Cost Assumptions:**

The following table details the major assumptions driving servicing costs for Federal student loans. Servicing costs are largely determined by volume (average borrower accounts per month) and the average contractual unit costs negotiated to service the volume. Average borrower accounts per month are calculated by the distribution of new unique borrower accounts to one of the multiple servicers contracted with the Department. Currently, the Department contracts with 16 servicers, through the Common Servicers for Borrowers (CSB) contract, the Title IV Additional Servicers (TIVAS) contract, and the new Not-For-Profit Servicers (NFP) contract. The average unit cost to service each borrower is derived by contractual pricing schedules based on different borrower statuses (e.g., in-school, in-grace/current repayment, deferment/forbearance, and delinquency). Differences in distribution among loan statuses will affect overall unit costs due to different pricing for different statuses (e.g., in-repayment borrowers cost more to service than in-school borrowers).

**Trends in Assumptions:**

STUDENT AID ADMINISTRATION—Continued

The increases in average unit cost from 2012 to 2013, and 2013 to 2014, under the NFP servicers, are partly due to the maturity of the 100 percent Direct Loan portfolio as more loans shift from in-school to in-repayment, and the assumption that borrowers will be shifted from the CSB servicer to new NFP servicers, in accordance with Section 2212 of the Health Care and Education Reconciliation Act of 2010 (Pub. L. 111–152, 124 Stat. 1029). By comparison, the unit costs for the For-Profit servicers will likely decrease between 2013 and 2014, because the current CSB servicer unit costs are higher for this portfolio than the TIVAS For-Profit Servicers' unit costs.

Student Aid Administration Servicing Variables

	2012	2013	2014
For-Profit Servicers Average Borrower Accounts per Month .....	18,613,305	16,613,609	17,736,571
For-Profit Servicers Average Unit Cost per Month (whole dollars) .....	\$1.56	\$1.65	\$1.45
Not-For-Profit Servicers Average Borrower Accounts per Month .....	11,086,907	16,549,824	19,042,873
Not-For-Profit Servicers Average Unit Cost per Month (whole dollars) .....	\$1.67	\$1.79	\$1.85

Object Classification (in millions of dollars)

Identification code 91–0202–0–1–502	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	135	137	138
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	138	139	140
12.1 Civilian personnel benefits .....	39	39	40
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	14	19	19
23.3 Communications, utilities, and miscellaneous charges .....	1		
24.0 Printing and reproduction .....	3	2	1
25.1 Advisory and assistance services .....	9	2	5
25.2 Other services from non-Federal sources .....	640	820	793
25.3 Other goods and services from Federal sources .....	23	18	20
25.7 Operation and maintenance of equipment .....	381	464	464
31.0 Equipment .....	3		1
99.0 Direct obligations .....	1,253	1,506	1,486
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	1,253	1,507	1,487

Employment Summary

Identification code 91–0202–0–1–502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,325	1,326	1,321

ACADEMIC COMPETITIVENESS/SMART GRANT PROGRAM

Program and Financing (in millions of dollars)

Identification code 91–0205–0–1–502	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	2	
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	–9		
3041 Recoveries of prior year unpaid obligations, expired .....	–2	–2	
3050 Unpaid obligations, end of year .....	2		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10	2	
3200 Obligated balance, end of year .....	2		
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	9		
4190 Outlays, net (total) .....	9		

The Academic Competitiveness Grant and Science and Mathematics Access to Retain Talent Grant programs expired July 1, 2011. This account reflects the final transactions of grants provided in prior years.

TEACHER EDUCATION ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 91–0206–0–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0701 Direct loan subsidy .....	14	2	2
0705 Reestimates of direct loan subsidy .....	18	1	
0706 Interest on reestimates of direct loan subsidy .....	2		
0900 Total new obligations (object class 41.0) .....	34	3	2
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation (indefinite) - Loan subsidy .....	14	2	2
1200 Appropriation (indefinite) - Upward reestimate .....	20	1	
1260 Appropriations, mandatory (total) .....	34	3	2
1930 Total budgetary resources available .....	34	3	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	4	
3010 Obligations incurred, unexpired accounts .....	34	3	2
3020 Outlays (gross) .....	–35	–7	–2
3041 Recoveries of prior year unpaid obligations, expired .....	–3		
3050 Unpaid obligations, end of year .....	4		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	8	4	
3200 Obligated balance, end of year .....	4		
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	34	3	2
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	30	2	1
4101 Outlays from mandatory balances .....	5	5	1
4110 Outlays, gross (total) .....	35	7	2
4180 Budget authority, net (total) .....	34	3	2
4190 Outlays, net (total) .....	35	7	2

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	34	3	2
Outlays .....	35	7	2
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			189
Outlays .....			5
<b>Total:</b>			
Budget Authority .....	34	3	191
Outlays .....	35	7	7

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91–0206–0–1–502	2012 actual	2013 CR	2014 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 TEACH Grants .....	138	125	132
115999 Total direct loan levels .....	138	125	132
<b>Direct loan subsidy (in percent):</b>			
132001 TEACH Grants .....	10.25	1.48	1.52
132999 Weighted average subsidy rate .....	10.25	1.48	1.52
<b>Direct loan subsidy budget authority:</b>			
133001 TEACH Grants .....	14	2	2
133999 Total subsidy budget authority .....	14	2	2
<b>Direct loan subsidy outlays:</b>			
134001 TEACH Grants .....	14	5	2

134999	Total subsidy outlays .....	14	5	2
	Direct loan upward reestimates:			
135001	TEACH Grants .....	20	2	
135999	Total upward reestimate budget authority .....	20	2	
	Direct loan downward reestimates:			
137001	TEACH Grants .....		-17	
137999	Total downward reestimate budget authority .....		-17	

The TEACH Grant program, authorized by the College Cost Reduction and Access Act of 2007, awards annual grants of up to \$4,000 to full- or part-time undergraduate and graduate students who agree to teach mathematics, science, foreign languages, bilingual education, special education, or reading at a high-poverty school for not less than four years within eight years of graduating. The program began awarding grants in the 2008–2009 award year. Students must have a grade point average of 3.25 or higher to be eligible to receive a grant. Students who fail to fulfill the service requirements must repay the grants, including interest accrued from the time of award.

Because TEACH Grants turn into loans in cases where the service requirements are not fulfilled, for budget and accounting purposes the program is operated consistent with the requirements of the Federal Credit Reform Act of 1990. This program account records subsidy costs reflecting the net present value of the estimated lifetime Federal program costs for grants awarded in a given fiscal year. Under this approach the subsidy cost reflects the cost of grant awards net of expected future repayments for grants that are converted to loans.

The 2014 Budget proposes to overhaul the TEACH Grant program, as of the end of the 2013–2014 academic year, and replace it with a new, targeted teacher recruitment and retention program, Presidential Teaching Fellows. This new program would provide grants to States that meet certain conditions to supply scholarships of up to \$10,000 to talented individuals attending the most effective programs in the State. These individuals would commit to teaching for at least three years in a high-need school and subject. To be eligible for funds, States would measure the effectiveness of their teacher preparation programs based on student achievement data of their graduates, among other measures; hold teacher preparation programs accountable for results; and upgrade licensure and certification standards.

TEACHER EDUCATION ASSISTANCE  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91–0206–4–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002	Presidential teaching fellows .....		190
	Credit program obligations:		
0701	Direct loan subsidy .....		-1
0900	Total new obligations (object class 41.0) .....		189
<b>Budgetary Resources:</b>			
	Budget authority:		
	Appropriations, mandatory:		
1200	Appropriation (indefinite) - Loan subsidy .....		-1
1200	Appropriation Presidential Teaching Fellows .....		190
1260	Appropriations, mandatory (total) .....		189
1930	Total budgetary resources available .....		189
<b>Change in obligated balance:</b>			
	Unpaid obligations:		
3010	Obligations incurred, unexpired accounts .....		189
3020	Outlays (gross) .....		-5
3050	Unpaid obligations, end of year .....		184

<b>Memorandum (non-add) entries:</b>			
3200	Obligated balance, end of year .....		184
<b>Budget authority and outlays, net:</b>			
	Mandatory:		
4090	Budget authority, gross .....		189
	Outlays, gross:		
4100	Outlays from new mandatory authority .....		5
4180	Budget authority, net (total) .....		189
4190	Outlays, net (total) .....		5

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91–0206–4–1–502	2012 actual	2013 CR	2014 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001	TEACH Grants .....		-38
115999	Total direct loan levels .....		-38
	Direct loan subsidy (in percent):		
132001	TEACH Grants .....		0.00
132999	Weighted average subsidy rate .....		0.00
	Direct loan subsidy budget authority:		
133001	TEACH Grants .....		-1
133999	Total subsidy budget authority .....		-1

TEACH GRANT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91–4290–0–3–502	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
	Credit program obligations:			
0710	Direct loan obligations .....	138	125	132
0713	Payment of interest to Treasury .....	15	20	30
0742	Downward reestimate paid to receipt account .....		16	
0743	Interest on downward reestimates .....		1	
0900	Total new obligations .....	153	162	162
<b>Budgetary Resources:</b>				
	Unobligated balance:			
1021	Recoveries of prior year unpaid obligations .....	24	9	10
1023	Unobligated balances applied to repay debt .....	-9		
1024	Unobligated balance of borrowing authority withdrawn .....	-15	-9	-10
	Financing authority:			
	Borrowing authority, mandatory:			
1400	Borrowing authority .....	130	157	160
1440	Borrowing authority, mandatory (total) .....	130	157	160
	Spending authority from offsetting collections, mandatory:			
1800	Collected .....	45	17	17
1801	Change in uncollected payments, Federal sources .....	-1	1	1
1825	Spending authority from offsetting collections applied to repay debt .....	-21	-13	-16
1850	Spending auth from offsetting collections, mand (total) .....	23	5	2
1900	Financing authority (total) .....	153	162	162
1930	Total budgetary resources available .....	153	162	162
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	88	82	79
3010	Obligations incurred, unexpired accounts .....	153	162	162
3020	Financing disbursements (gross) .....	-135	-156	-169
3040	Recoveries of prior year unpaid obligations, unexpired .....	-24	-9	-10
3050	Unpaid obligations, end of year .....	82	79	62
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-4	-5
3070	Change in uncollected pymts, Fed sources, unexpired .....	1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-4	-5	-6
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	83	78	74
3200	Obligated balance, end of year .....	78	74	56
<b>Financing authority and disbursements, net:</b>				
	Mandatory:			
4090	Financing authority, gross .....	153	162	162

**TEACH GRANT FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 91-4290-0-3-502	2012 actual	2013 CR	2014 est.
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross	135	156	169
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Upward Reestimate	-14	-1	
4120 Upward Reestimate, interest	-18		
4120 Subsidy from Program Account	-2	-5	-2
4122 Interest on uninvested funds	-4		
4123 Payment of Principal	-7	-6	-9
4123 Interest Received		-5	-6
4130 Offsets against gross financing auth and disbursements (total)	-45	-17	-17
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired	1	-1	-1
4160 Financing authority, net (mandatory)	109	144	144
4170 Financing disbursements, net (mandatory)	90	139	152
4180 Financing authority, net (total)	109	144	144
4190 Financing disbursements, net (total)	90	139	152

**Status of Direct Loans (in millions of dollars)**

Identification code 91-4290-0-3-502	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1131 Direct loan obligations exempt from limitation	138	125	132
1150 Total direct loan obligations	138	125	132
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year	278	396	508
1231 Disbursements: Direct loan disbursements	125	118	120
1251 Repayments: Repayments and prepayments	-7	-6	-9
1290 Outstanding, end of year	396	508	619

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the TEACH Grant program. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 91-4290-0-3-502	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury	28	27
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	278	396
1402 Interest receivable	22	41
1405 Allowance for subsidy cost (-)	-46	-93
1499 Net present value of assets related to direct loans	254	344
1999 Total assets	282	371
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable		1
2103 Debt	282	370
2999 Total liabilities	282	371
4999 Total liabilities and net position	282	371

**TEACH GRANT FINANCING ACCOUNT**  
**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 91-4290-4-3-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations			-38
0713 Payment of interest to Treasury			-6
0900 Total new obligations			-44

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1021 Recoveries of prior year unpaid obligations			-3
1024 Unobligated balance of borrowing authority withdrawn			3
<b>Financing authority:</b>			
Borrowing authority, mandatory:			
1400 Borrowing authority			-44
1440 Borrowing authority, mandatory (total)			-44
1930 Total budgetary resources available			-44

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts			-44
3020 Financing disbursements (gross)			44
3040 Recoveries of prior year unpaid obligations, unexpired			3
3050 Unpaid obligations, end of year			3
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year			3

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Financing authority, gross			-44
Financing disbursements:			
4110 Financing disbursements, gross			-44
4180 Financing authority, net (total)			-44
4190 Financing disbursements, net (total)			-44

**Status of Direct Loans (in millions of dollars)**

Identification code 91-4290-4-3-502	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1131 Direct loan obligations exempt from limitation			-38
1150 Total direct loan obligations			-38
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year			
1231 Disbursements: Direct loan disbursements			-38
1251 Repayments: Repayments and prepayments			
1290 Outstanding, end of year			-38

**STUDENT FINANCIAL ASSISTANCE DEBT COLLECTION**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 91-5557-0-2-502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
<b>Receipts:</b>			
0220 Student Financial Assistance Debt Collection	8	9	9
0400 Total: Balances and collections	8	9	9
<b>Appropriations:</b>			
0500 Student Financial Assistance Debt Collection	-8	-9	-9
0799 Balance, end of year			

**Program and Financing (in millions of dollars)**

Identification code 91-5557-0-2-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Student Financial Assistance Debt Collection	3	5	5
0900 Total new obligations (object class 25.2)	3	5	5
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	15	12	10
1022 Capital transfer of unobligated balances to general fund	-8	-6	-6
1050 Unobligated balance (total)	7	6	4
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	8	9	9
1260 Appropriations, mandatory (total)	8	9	9
1930 Total budgetary resources available	15	15	13

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	12	10	8
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010	Obligations incurred, unexpired accounts .....	3	5	5
3020	Outlays (gross) .....	-3	-5	-5
3050	Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	2	2
3200	Obligated balance, end of year .....	2	2	2

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	8	9	9
Outlays, gross:				
4101	Outlays from mandatory balances .....	3	5	5
4180	Budget authority, net (total) .....	8	9	9
4190	Outlays, net (total) .....	3	5	5

FEDERAL STUDENT LOAN RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 91-4257-0-3-502				
2012 actual    2013 CR    2014 est.				
<b>Obligations by program activity:</b>				
0102	Obligations, non-Federal .....	12,448	10,588	9,572
0900	Total new obligations (object class 42.0) .....	12,448	10,588	9,572
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,664	1,306	994
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	12,090	10,276	9,305
1850	Spending auth from offsetting collections, mand (total) .....	12,090	10,276	9,305
1930	Total budgetary resources available .....	13,754	11,582	10,299
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1,306	994	727
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	12,448	10,588	9,572
3020	Outlays (gross) .....	-12,448	-10,588	-9,572
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	12,090	10,276	9,305
Outlays, gross:				
4100	Outlays from new mandatory authority .....	12,090	10,276	9,305
4101	Outlays from mandatory balances .....	358	312	267
4110	Outlays, gross (total) .....	12,448	10,588	9,572
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-12,029	-10,084	-9,122
4123	Non-Federal sources .....	-61	-192	-183
4130	Offsets against gross budget authority and outlays (total) .....	-12,090	-10,276	-9,305
4170	Outlays, net (mandatory) .....	358	312	267
4190	Outlays, net (total) .....	358	312	267

The Higher Education Amendments of 1998 clarified that reserve funds held by public and non-profit guaranty agencies participating in the Federal Family Education Loan (FFEL) program are Federal property. These reserves are used to pay default claims from FFEL lenders and fees to support agency efforts to avert defaults. The Federal Government reimburses these reserves for default claim payments. The following schedule reflects the balances in these guaranty agency funds.

Balance Sheet (in millions of dollars)

Identification code 91-4257-0-3-502			
		2011 actual	2012 actual
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	1,664	1,306
1999	Total assets .....	1,664	1,306
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	1,664	1,306
4999	Total liabilities and net position .....	1,664	1,306

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-0243-0-1-502				
		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
Credit program obligations:				
0705	Reestimates of direct loan subsidy .....	6,614	2,377	.....
0706	Interest on reestimates of direct loan subsidy .....	303	897	.....
0709	Administrative expenses .....	.....	3	.....
0900	Total new obligations .....	6,917	3,277	.....
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	.....	3	.....
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (indefinite) - Upward reestimate .....	6,917	3,274	.....
1260	Appropriations, mandatory (total) .....	6,917	3,274	.....
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	3	.....	.....
1850	Spending auth from offsetting collections, mand (total) .....	3	.....	.....
1900	Budget authority (total) .....	6,920	3,274	.....
1930	Total budgetary resources available .....	6,920	3,277	.....
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	5	1
3010	Obligations incurred, unexpired accounts .....	6,917	3,277	.....
3020	Outlays (gross) .....	-6,918	-3,281	-1
3050	Unpaid obligations, end of year .....	5	1	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	5	1
3200	Obligated balance, end of year .....	5	1	.....
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	6,920	3,274	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	6,917	3,274	.....
4101	Outlays from mandatory balances .....	1	7	1
4110	Outlays, gross (total) .....	6,918	3,281	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Administrative refund from settlement .....	-3	.....	.....
4180	Budget authority, net (total) .....	6,917	3,274	.....
4190	Outlays, net (total) .....	6,915	3,281	1

Summary of Budget Authority and Outlays (in millions of dollars)

		2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>				
Budget Authority .....		6,917	3,274	.....
Outlays .....		6,915	3,281	1
<b>Legislative proposal, subject to PAYGO:</b>				
Budget Authority .....		.....	.....	2,871
Outlays .....		.....	.....	2,871
<b>Total:</b>				
Budget Authority .....		6,917	3,274	2,871
Outlays .....		6,915	3,281	2,872

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91-0243-0-1-502	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Stafford	38,719	32,122	33,115
115002 Unsubsidized Stafford	65,500	66,925	71,492
115003 PLUS	20,819	19,463	20,921
115004 Consolidation	39,264	27,180	28,076
115999 Total direct loan levels	164,302	145,690	153,604
Direct loan subsidy (in percent):			
132001 Stafford	5.82	-7.47	-11.33
132002 Unsubsidized Stafford	-28.30	-37.21	-37.12
132003 PLUS	-33.29	-46.03	-45.99
132004 Consolidation	-9.90	-13.63	-10.93
132999 Weighted average subsidy rate	-16.49	-27.43	-27.98
Direct loan subsidy budget authority:			
133001 Stafford	2,253	-2,399	-3,752
133002 Unsubsidized Stafford	-18,536	-24,903	-26,538
133003 PLUS	-6,931	-8,959	-9,622
133004 Consolidation	-3,887	-3,705	-3,069
133999 Total subsidy budget authority	-27,101	-39,966	-42,981
Direct loan subsidy outlays:			
134001 Stafford	2,173	-1,054	-2,997
134002 Unsubsidized Stafford	-15,185	-20,790	-22,924
134003 PLUS	-6,029	-8,085	-8,969
134004 Consolidation	-3,866	-3,676	-3,057
134999 Total subsidy outlays	-22,907	-33,605	-37,947
Direct loan upward reestimates:			
135005 Federal Direct Student Loans	6,917	3,274	.....
135999 Total upward reestimate budget authority	6,917	3,274	.....
Direct loan downward reestimates:			
137005 Federal Direct Student Loans	-1,351	-11,426	.....
137999 Total downward reestimate budget authority	-1,351	-11,426	.....
Administrative expense data:			
3580 Outlays from balances	.....	7	1

The Federal Government currently operates two major student loan programs: the Federal Family Education Loan (FFEL) program and the William D. Ford Federal Direct Loan (Direct Loan) program. The Health Care and Education Reconciliation Act of 2010 (HCERA) eliminated the authorization to originate new FFEL loans; as of July 1, 2010, all new loans are originated in the Direct Loan program. This summary section outlines the structure of these two programs and provides text tables displaying program cost data; loan volume, subsidy, default, and interest rates; and other descriptive information.

From its inception in 1965 through the end of June 2010, the FFEL program provided almost \$899 billion in loans to postsecondary students and their parents. Although no new FFEL loans will be originated, billions of outstanding FFEL loans will continue to be serviced by lenders. Since July 1, 1994, the Direct Loan program has provided nearly \$668 billion in new and consolidation loans to students and parents. The Direct Loan program will make \$112.1 billion in new loans available in 2014.

Loan capital in the FFEL program was provided by private lenders, facilitated by the Federal guarantee on the loans. For the outstanding FFEL portfolio, State and private nonprofit guaranty agencies act as agents of the Federal Government, providing a variety of services including collection of some defaulted loans, default avoidance activities, and counseling to schools, students, and lenders. The Government provides substantial payments to these guaranty agencies. The 2014 Budget proposes two technical changes to the guaranty agencies' compensation via the retention of loan rehabilitation funds: eliminating their current retention share of the original defaulted student loan amount, and reducing the maximum fee they can charge a borrower on the borrower's outstanding balance from 18.5 to 16 percent. This policy requires agencies to send the rehabilitated

loans to the Department of Education if they cannot find a private lender buyer but maintains their right to the 16 percent collection fee. The Government also pays interest subsidies to lenders for certain borrowers, as well as most costs associated with loan defaults and other write-offs.

Under the Direct Loan program, the Federal Government provides loan capital through the Treasury while loan origination and servicing is handled by private-sector companies under performance-based contracts with the Department. The Direct Loan program began operation in academic year 1994–1995 with 7 percent of overall loan volume but now originates all new loans.

The Direct Loan program offers four types of loans: Stafford, Unsubsidized Stafford, PLUS, and Consolidation. Evidence of financial need is required for a student to receive a subsidized Stafford loan. The other three loan programs are available to borrowers at all income levels. Loans can be used only to meet qualified educational expenses.

For Stafford loans available to undergraduates, the Moving Ahead for Progress in the 21st Century Act (MAP-21 Act) maintained the existing 3.4 percent interest rate on new loans through June 30, 2013. The interest rate for new loans is set to rise to 6.8 percent beginning July 1, 2013. Interest payments for these loans are fully subsidized by the Federal Government while a student is in school (up to 150 percent of program length) and during grace and deferment periods. The Consolidated Appropriations Act, 2012, provides that interest on Stafford loans issued between July 1, 2012 and July 1, 2014 will begin to accrue during the 6-month grace period after a borrower leaves school.

Borrower interest rates on new Unsubsidized Stafford loans are fixed at 6.8 percent. The fixed borrower interest rate on PLUS loans is 7.9 percent.

The Budget proposes to reform the interest rate calculation for new loans to benchmark borrower interest rates to current market rates. New rates for Stafford loans would be equal to the 10-year Treasury bill plus 93 basis points; the Unsubsidized Stafford loan rate would be equal to the 10-year Treasury bill plus 293 basis points; and the new PLUS loan rate would be equal to the 10-year Treasury bill plus 393 basis points. Additionally, the 8.25 percent cap on new Consolidation loans would be eliminated. These rates would be determined annually before the start of the academic year and fixed for the duration of the loan.

For loans originated in the FFEL program, lenders may receive an interest subsidy, known as a special allowance payment, from the Government to ensure a guaranteed rate of return on the loans. Special allowance payments vary by loan type, are determined quarterly, and are based on current borrower interest rates and market-yield formulas. The guarantee percentage paid to lenders on most defaults is 97 percent of unpaid loan principal (including any accrued interest on the full loan principal). The Consolidated Appropriations Act, 2012, gave holders of Federal student loans the option to change the basis for the special allowance calculation from commercial paper to the London Interbank Offered Rate (LIBOR), beginning April 1, 2012.

Consolidation loans allow borrowers to combine FFEL, Direct Loans, and Perkins Loans, as well as some loans made under the Public Health Service Act. The interest rate for new Consolidation loans equals the weighted average of the interest rate on the loans consolidated, rounded up to the nearest one-eighth of one percent. Interest rates for new Consolidation Loans are capped at 8.25 percent.

Direct Loan origination fees are one percent. Loans are discharged when borrowers die, are totally and permanently disabled, or, under some circumstances, declare bankruptcy.

New borrowers after October 1, 1998, who are employed as teachers in schools serving low-income populations for five consecutive, complete school years, qualify for up to \$5,000 in loan forgiveness; this benefit is increased to \$17,500 for mathematics, science, and special education teachers considered highly qualified under criteria established in the Elementary and Secondary Education Act.

In addition, under a loan forgiveness program for public-sector employees, qualifying borrowers who have worked for 10 years while making payments on their student loans will have any remaining loan balance forgiven. This benefit is only available in the Direct Loan program, though FFEL borrowers may receive the benefit by taking out a Direct Consolidation Loan. Forgiveness is available for all borrowers, regardless of when they took out their loans.

Borrowers may choose from four repayment plans. Repayment periods under standard, graduated, and income-sensitive or income-contingent repayment may not exceed 10 years. An extended repayment plan of up to 25 years is available for new borrowers with outstanding loans totaling more than \$30,000. FFEL borrowers may change repayment plans annually. Direct Loan borrowers may switch between repayment plans at any time.

In addition, since July 1, 2009, qualifying student borrowers may choose an income-based repayment plan under which monthly loan payments are based on the borrower's income, and outstanding balances, if any, are forgiven after 25 years in repayment. Parent PLUS borrowers are not eligible for this payment plan. Interest does not accrue in the first three years for Stafford Loans and the Stafford portion of Consolidation Loans. Monthly loan payments can be up to 15 percent of a borrower's prior-year income, and cannot exceed the amount calculated under the Standard 10-year repayment option. Beginning July 1, 2014, new loans will be eligible for a reduced maximum assessment rate of 10 percent of prior-year income with a maximum of 20 years in repayment. As of December 21, 2012, the Pay As You Earn plan, which provides this reduced assessment rate and repayment length, is available for eligible borrowers. To be eligible for this new income-contingent repayment initiative, an individual must be a new borrower as of October 1, 2007, and have received a Direct Loan disbursement on or after October 1, 2011. The Budget proposes to extend this plan to all borrowers beginning in fiscal year 2014.

The following tables display performance indicators and program data; including projected overall Direct Loan and FFEL costs; and summary of default rates.

Program:			
Net Reestimate of Prior Year Costs	(15,164,122)	(6,843,641)	0
Net Modification <sup>2</sup>	152,957	0	(3,657,173)
Subtotal, Program	(15,011,166)	(6,843,641)	(3,657,173)
Total, FFEL	(15,418,449)	(7,154,552)	(3,901,131)
Direct Loans:			
Program:			
Regular	(22,907,141)	(24,652,847)	(25,403,384)
Net Reestimate of Prior Year Costs	5,566,331	(8,151,717)	0
Net Modification <sup>2</sup>	0	0	2,871,258
Total, Direct Loans	(17,340,811)	(32,804,564)	(22,532,126)
Total, FFEL and Direct Loans	(32,759,259)	(39,959,116)	(26,433,258)

Details may not sum to totals due to rounding.

<sup>1</sup>Liquidating account reflects loans made prior to 1992.

<sup>2</sup>Reflects the cost or savings associated with policy changes proposed in the 2014 President's Budget.

**Summary of Default Rates<sup>1</sup>**

(expressed as percentages)

	2012 est.	2013 est.	2014 est.
Direct Loans:			
Stafford	20.18%	21.64%	21.41%
Unsubsidized Stafford	16.39%	15.19%	14.93%
PLUS	7.78%	7.57%	7.49%
Consolidation	18.43%	20.91%	20.90%
Weighted Average, Direct Loans	16.72%	16.67%	16.42%

<sup>1</sup>Default rates displayed in this table, which reflect projected defaults over the life of a loan cohort, are used in developing program cost estimates. The Department uses other rates based on defaults occurring in the first 3 years of repayment to determine institutional eligibility to participate in Federal loan programs. These 3-year rates are lower than those included in this table.

FFEL program payments are made to lenders (interest subsidies, loan defaults, and discharges) and guaranty agencies (default collection costs, administrative services). These payments are partially offset by an annual consolidation loan holder fee. In Direct Loans, cash outflows are primarily payments to Treasury. Cash inflows include principal and interest payments on outstanding Direct Loans.

The following table shows Government payments to and from lenders, guaranty agencies, and borrowers for specific years, regardless of when loans were originated. These flows do not reflect long-term costs to the Government, nor the value of outstanding loan assets, which are reflected in credit reform subsidy estimates.

The Federal Credit Reform Act of 1990 accounts for differences in the amount and timing of cash flows among direct and guaranteed loan programs to make cost estimates for these programs comparable with each other and other Federal programs.

**Federal Budget Authority and Outlays**

(in thousands of dollars)

	2012 actual	2013 est.	2014 est.
PROGRAM COST:			
FFEL:			
Liquidating <sup>1</sup>	(187,821)	(310,911)	(243,958)
Program:			
Net Reestimate of Prior Year Costs	(15,164,122)	(6,843,641)	0
Net Modification <sup>2</sup>	152,957	0	(3,657,173)
Subtotal, Program	(15,011,166)	(6,843,641)	(3,657,173)
Total, FFEL	(15,198,987)	(7,154,552)	(3,901,131)
Direct Loans:			
Program:			
New Loan Subsidies	(27,100,852)	(26,140,573)	(29,172,697)
Net Reestimate of Prior Year Costs	5,566,331	(8,151,717)	0
Net Modification <sup>2</sup>	0	0	2,871,258
Total, Direct Loans	(21,534,522)	(34,292,290)	(26,301,439)
Total, FFEL and Direct Loans	(36,733,509)	(41,446,842)	(30,202,571)
PROGRAM COST OUTLAYS:			
FFEL:			
Liquidating <sup>1</sup>	(407,283)	(310,911)	(243,958)

**Selected Program Costs and Offsets**

(in thousands of dollars)

	2012 actual	2013 est.	2014 est.
FFEL:			
Payments to lenders:			
Interest benefits	1,626,733	863,163	651,912
Special allowance payments <sup>1</sup>	(5,959,586)	(4,189,091)	(3,672,489)
Default claims	10,579,681	7,494,630	6,180,344
Loan discharges	1,449,896	993,876	938,714
Teacher loan forgiveness	158,146	195,315	185,821
Administrative payments to guaranty agencies	208,276	196,769	186,240
Fees paid to the Department of Education:			
Loan holder fees	(1,993,094)	(1,623,387)	(1,452,929)
Other Major Transactions:			
Net default collections	(8,745,939)	(8,176,915)	(7,655,597)
Contract collection costs	59,255	64,727	46,354
Federal administrative costs	69,702	59,909	47,381
Net Cash Flow, FFEL	(2,546,929)	(4,121,004)	(4,544,251)
Ensuring Continued Access to Student Loans (ECASLA):			
Inflows	(12,322,152)	(12,667,339)	(12,338,304)
Outflows	8,729,863	15,925,288	13,003,638
Federal administrative costs	189,191	203,689	201,370

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued  
Selected Program Costs and Offsets—Continued

	2012 actual	2013 est.	2014 est.
Net Cash Flow, ECASLA .....	(3,403,098)	3,461,638	866,704
<b>Direct Loans:</b>			
Loan disbursements to borrowers .....	142,284,597	131,912,862	138,297,842
Borrower interest payments .....	(5,029,070)	(8,113,397)	(11,881,050)
Borrower principal payments .....	(17,422,538)	(29,332,572)	(40,098,586)
Borrower origination fees .....	(1,584,526)	(1,531,745)	(1,688,618)
Net default collections .....	(1,215,093)	(2,947,951)	(4,391,470)
Contract collection costs .....	353,319	448,118	401,351
Federal administrative costs .....	736,849	934,573	935,779
<b>Net operating cash flows .....</b>	<b>118,123,538</b>	<b>91,369,888</b>	<b>81,575,248</b>
Loan capital borrowings from Treasury .....	(142,284,597)	(131,912,862)	(138,297,842)
Net interest payments to Treasury .....	16,378,402	25,511,853	30,490,123
Principal payments to Treasury .....	19,465,383	22,444,090	32,668,930
<b>Subtotal, Treasury activity .....</b>	<b>(106,440,813)</b>	<b>(83,956,920)</b>	<b>(75,138,789)</b>
<b>Net Cash Flow, Direct Loans .....</b>	<b>11,682,725</b>	<b>7,412,968</b>	<b>6,436,459</b>

Net Cash Flow, Direct Loans

<sup>1</sup>Includes Negative Special Allowance Payments.

Student Loan Program Costs: Analysis of Direct Loans including Program and Administrative Expenses

	(expressed as percentages)		
	2012 actual	2013 est.	2014 est.
<b>Direct Loans:</b>			
<b>New Loans:</b>			
Stafford .....	7.88	3.31	1.57
Unsubsidized Stafford .....	-26.05	-26.17	-27.56
PLUS .....	-36.30	-33.64	-37.12
<b>Subtotal, new loan subsidy .....</b>	<b>-20.54</b>	<b>-19.41</b>	<b>-21.47</b>
Federal administrative costs .....	1.72	1.70	1.70
<b>Subtotal, new loans .....</b>	<b>-18.82</b>	<b>-17.71</b>	<b>-19.77</b>
<b>Consolidation Loans</b>			
Loan subsidy .....	-13.74	-11.56	-7.92
Federal administrative costs .....	0.38	0.38	0.38
<b>Subtotal, consolidation loans .....</b>	<b>-13.36</b>	<b>-11.18</b>	<b>-7.54</b>
<b>New and Consolidation Loans</b>			
Loan subsidy .....	-8.32	-17.94	-18.99
Federal administrative costs .....	1.24	1.45	1.45
<b>Total, Direct Loans .....</b>	<b>-18.95</b>	<b>-16.49</b>	<b>-17.54</b>

Totals may not add due to rounding. Subsidies are weighted on Gross Volumes.

Notes: For 2012, the rates are current; these include the actual executed rates for 2012 and the effect of re-estimates on those rates. The 2012 and 2013 cohorts for Consolidation Loans include volume and subsidy from the Special Direct Consolidation Loan program.

The table above describes Direct Loan costs on a subsidy rate basis: program costs calculated under the Federal Credit Reform Act of 1990 and comparably projected estimates of Federal administrative costs. As with any long-term projection, the comparison is based on assumed future interest rates, borrower characteristics, administrative costs, and other factors over the life of the loan cohort. To the degree actual conditions differ from projections, estimated subsidy rates will change.

The Federal Credit Reform Act of 1990 requires the cost of existing loan cohorts to be reestimated to reflect changes in actual and assumed borrower behavior, interest rates, and other factors. The following table shows the impact of these reestimates in FFEL and Direct Loans.

Loan Disbursement and Subsidy Costs

(in billions of dollars)

	FFEL	Direct Loans
Original Subsidy Costs .....	+\$77.1	-\$50.0
Cumulative Reestimates .....	-\$50.6	+\$1.5
Net Subsidy Costs .....	+\$26.4	-\$48.5
Total Disbursements .....	+\$898.7	+\$667.9

Changes in interest rate projections are a significant factor in FFEL and Direct Loan reestimates; recent declines in interest

rates below historical averages have accordingly been a major driver in changes to program costs. Changes in borrower behavior (notably, prepayment of loans through consolidation) have also contributed to these reestimates.

Direct Loan Repayment Options

(expressed as percentages)

Subsidies by Repayment Option	2012 actual <sup>1</sup>	2013 est.	2014 est.
<b>Stafford:</b>			
Standard .....	6.76	2.44	0.89
Extended .....	9.95	4.82	0.80
Graduated .....	10.78	5.58	1.62
ICR/IBR .....	17.09	10.40	10.29
<b>Unsubsidized Stafford:</b>			
Standard .....	-28.36	-27.70	-29.08
Extended .....	-40.31	-40.26	-42.32
Graduated .....	-39.36	-39.62	-41.95
ICR/IBR .....	17.07	10.06	10.03
<b>PLUS:<sup>2</sup></b>			
Standard .....	-32.40	-30.01	-32.87
Extended .....	-51.69	-47.74	-53.58
Graduated .....	-52.88	-49.19	-55.38
<b>Consolidated:</b>			
Standard .....	-20.17	-19.88	-17.23
Extended .....	-48.07	-48.89	-42.44
Graduated .....	-42.94	-42.67	-36.50
ICR/IBR .....	13.25	20.18	23.51
Special Direct Consolidation <sup>3</sup> .....	-14.23	-9.27	-

Direct Loan Repayment Options

(gross volumes in millions of dollars)

Volumes by Repayment Option	2012 actual <sup>1</sup>	2013 est.	2014 est.
<b>Stafford:</b>			
Standard .....	31,023	26,372	27,187
Extended .....	446	379	391
Graduated .....	3,867	3,287	3,389
ICR/IBR .....	2,452	2,084	2,148
<b>Unsubsidized Stafford:</b>			
Standard .....	47,720	51,817	55,353
Extended .....	1,127	1,224	1,307
Graduated .....	7,536	8,183	8,742
ICR/IBR .....	5,250	5,701	6,090
<b>PLUS:<sup>2</sup></b>			
Standard .....	15,079	15,702	16,877
Extended .....	998	1,039	1,117
Graduated .....	2,614	2,722	2,926
<b>Consolidated:</b>			
Standard .....	8,866	10,337	11,010
Extended .....	1,258	1,485	1,584
Graduated .....	4,335	5,126	5,468
ICR/IBR .....	8,647	9,462	10,014
Special Direct Consolidation <sup>3</sup> .....	13,100	770	.....

<sup>1</sup>2012 rates are current; these include actual executed rates for 2012 and the effect of re-estimates on those rates.

<sup>2</sup>ICR/IBR are not available repayment options for PLUS loans.

<sup>3</sup>Special Direct Consolidation loans are made up of both FFEL and Direct Loans from underlying cohorts 1992–2010. They retain their repayment plan from the underlying loans.

Object Classification (in millions of dollars)

Identification code 91–0243–0–1–502	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.7 Operation and maintenance of equipment .....	.....	3	.....
41.0 Grants, subsidies, and contributions .....	6,917	3,274	.....
99.9 Total new obligations .....	6,917	3,277	.....

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91–0243–4–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0703 Subsidy for modifications of direct loans .....	.....	.....	2,871

0900	Total new obligations (object class 41.0)	2,871
<b>Budgetary Resources:</b>		
Budget authority:		
Appropriations, mandatory:		
1200	Appropriation-indefinite-Upward Modification	2,871
1260	Appropriations, mandatory (total)	2,871
1900	Budget authority (total)	2,871
1930	Total budgetary resources available	2,871
<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	Obligations incurred, unexpired accounts	2,871
3020	Outlays (gross)	-2,871
<b>Budget authority and outlays, net:</b>		
Mandatory:		
4090	Budget authority, gross	2,871
Outlays, gross:		
4100	Outlays from new mandatory authority	2,871
4180	Budget authority, net (total)	2,871
4190	Outlays, net (total)	2,871

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 91-0243-4-1-502	2012 actual	2013 CR	2014 est.
<b>Direct loan subsidy (in percent):</b>			
132001	Stafford	10.78	12.90
132002	Unsubsidized Stafford	11.04	9.56
132003	PLUS	12.39	8.87
132004	Consolidation	2.07	3.01
132999	Weighted average subsidy rate	9.49	8.99
<b>Direct loan subsidy budget authority:</b>			
133001	Stafford	3,463	4,272
133002	Unsubsidized Stafford	7,388	6,835
133003	PLUS	2,411	1,855
133004	Consolidation	563	845
133999	Total subsidy budget authority	13,825	13,807
<b>Direct loan subsidy outlays:</b>			
134001	Stafford	2,231	3,593
134002	Unsubsidized Stafford	4,683	6,148
134003	PLUS	1,482	1,963
134004	Consolidation	556	839
134005	Federal Direct Student Loans		2,871
134999	Total subsidy outlays	8,952	15,414

**FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 91-4253-0-3-502	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0201	Interest rate rebate	470	22	
0301	Consolidation loans-Payment of Orig. Services	60	47	48
0401	Payment of contract collection costs	354	448	401
<b>Credit program obligations:</b>				
0710	Direct loan obligations	164,302	145,690	153,604
0713	Payment of interest to Treasury	20,643	25,681	31,044
0740	Negative subsidy obligations	27,101	39,966	42,980
0742	Downward reestimate paid to receipt account	1,103	10,650	
0743	Interest on downward reestimates	248	776	
0791	Direct program activities, subtotal	213,397	222,763	227,628
0900	Total new obligations	214,281	223,280	228,077
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,817	3,015	
1021	Recoveries of prior year unpaid obligations	17,772	19,548	21,503
1023	Unobligated balances applied to repay debt	-6,376	-3,015	
1024	Unobligated balance of borrowing authority withdrawn	-12,943	-19,548	-21,503
1050	Unobligated balance (total)	270		
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	193,140	197,081	196,584

1440	Borrowing authority, mandatory (total)	193,140	197,081	196,584
Spending authority from offsetting collections, mandatory:				
1800	Collected	36,434	45,224	58,504
1825	Spending authority from offsetting collections applied to repay debt	-12,548	-19,025	-27,011
1850	Spending auth from offsetting collections, mand (total)	23,886	26,199	31,493
1900	Financing authority (total)	217,026	223,280	228,077
1930	Total budgetary resources available	217,296	223,280	228,077
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3,015		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	77,058	86,010	86,600
3010	Obligations incurred, unexpired accounts	214,281	223,280	228,077
3020	Financing disbursements (gross)	-187,557	-203,142	-207,738
3040	Recoveries of prior year unpaid obligations, unexpired	-17,772	-19,548	-21,503
3050	Unpaid obligations, end of year	86,010	86,600	85,436
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	77,058	86,010	86,600
3200	Obligated balance, end of year	86,010	86,600	85,436

**Financing authority and disbursements, net:**

Identification code 91-0243-4-1-502	2012 actual	2013 CR	2014 est.	
<b>Mandatory:</b>				
4090	Financing authority, gross	217,026	223,280	228,077
Financing disbursements:				
4110	Financing disbursements, gross	187,557	203,142	207,738
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Upward reestimate	-6,614	-2,377	
4120	Upward reestimate, interest	-303	-897	
4120	Civil legal assistance attorney repayment program	-1		
4122	Interest on uninvested funds	-4,265		
4123	Repayment of principal, Stafford	-4,755	-7,651	-10,887
4123	Interest received on loans, Stafford	-834	-1,205	-1,880
4123	Origination Fees, Stafford	-554	-276	-291
4123	Other fees, Stafford	-31		
4123	Repayment of principal, Unsubsidized Stafford	-5,664	-9,722	-14,700
4123	Interest received on loans, Unsubsidized Stafford	-1,171	-1,876	-3,359
4123	Origination Fees, Unsubsidized Stafford	-761	-563	-617
4123	Other fees, Unsubsidized Stafford	-22		
4123	Repayment of principal, PLUS	-3,266	-5,103	-7,105
4123	Interest received on loans, PLUS	-950	-1,820	-2,761
4123	Origination Fees, PLUS	-270	-692	-780
4123	Other fees, PLUS	-6		
4123	Payment of principal, Consolidation	-4,388	-9,554	-11,581
4123	Interest received on loans, Consolidation	-2,525	-3,488	-4,543
4123	Other fees, Consolidation	-54		
4130	Offsets against gross financing auth and disbursements (total)	-36,434	-45,224	-58,504
4160	Financing authority, net (mandatory)	180,592	178,056	169,573
4170	Financing disbursements, net (mandatory)	151,123	157,918	149,234
4180	Financing authority, net (total)	180,592	178,056	169,573
4190	Financing disbursements, net (total)	151,123	157,918	149,234

**Status of Direct Loans** (in millions of dollars)

Identification code 91-4253-0-3-502	2012 actual	2013 CR	2014 est.	
<b>STAFFORD</b>				
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation	38,719	32,122	33,115
1150	Total direct loan obligations	38,719	32,122	33,115
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	96,590	130,879	151,744
1231	Disbursements: Direct loan disbursements	37,112	28,618	29,159
1251	Repayments: Repayments and prepayments	-4,756	-7,651	-10,887
1261	Adjustments: Capitalized interest	1,491	49	259
1264	Write-offs for default: Other adjustments, net (+ or -)	442	-151	-193
1290	Outstanding, end of year	130,879	151,744	170,082
<b>UNSUBSIDIZED STAFFORD</b>				
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation	65,500	66,925	71,492
1150	Total direct loan obligations	65,500	66,925	71,492
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	105,150	152,643	205,490
1231	Disbursements: Direct loan disbursements	51,052	58,231	61,715

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT—Continued  
Status of Direct Loans—Continued

Identification code 91-4253-0-3-502	2012 actual	2013 CR	2014 est.
1251 Repayments: Repayments and prepayments .....	-5,664	-9,722	-14,700
1261 Adjustments: Capitalized interest .....	1,624	4,491	6,877
1264 Write-offs for default: Other adjustments, net (+ or -) .....	481	-153	-218
1290 Outstanding, end of year .....	152,643	205,490	259,164
<b>PLUS</b>			
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	20,819	19,463	20,921
1150 Total direct loan obligations .....			
1150 Total direct loan obligations .....	20,819	19,463	20,921
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	35,531	51,067	64,696
1231 Disbursements: Direct loan disbursements .....	18,090	18,057	19,497
1251 Repayments: Repayments and prepayments .....	-3,266	-5,103	-7,105
1261 Adjustments: Capitalized interest .....	549	748	1,193
1264 Write-offs for default: Other adjustments, net (+ or -) .....	163	-73	-103
1290 Outstanding, end of year .....	51,067	64,696	78,178
<b>CONSOLIDATION</b>			
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	39,264	27,180	28,076
1150 Total direct loan obligations .....			
1150 Total direct loan obligations .....	39,264	27,180	28,076
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	104,552	138,288	155,321
1231 Disbursements: Direct loan disbursements .....	36,031	27,008	27,927
1251 Repayments: Repayments and prepayments .....	-4,388	-9,554	-11,581
1261 Adjustments: Capitalized interest .....	1,614	17	10
1264 Write-offs for default: Other adjustments, net (+ or -) .....	479	-438	-539
1290 Outstanding, end of year .....	138,288	155,321	171,138

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Federal Direct Student Loans. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 91-4253-0-3-502	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	17,606	23,441
Investments in US securities:		
1106 Receivables, net .....	101	4,347
1206 Non-Federal assets: Receivables, net .....	7	12
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	341,823	472,877
1402 Interest receivable .....	13,726	21,082
1405 Allowance for subsidy cost (-) .....	25,905	32,076
1499 Net present value of assets related to direct loans .....	381,454	526,035
1999 Total assets .....	399,168	553,835
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	3,307	932
2103 Debt .....	392,374	549,332
2201 Non-Federal liabilities: Accounts payable .....	3,487	3,571
2999 Total liabilities .....	399,168	553,835

4999 Total liabilities and net position .....	399,168	553,835
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FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-4253-4-3-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....		-169	-554
0740 Negative subsidy obligations .....		-13,825	-13,807
0900 Total new obligations .....		-13,994	-14,361
<b>Budgetary Resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		-13,825	-13,807
1440 Borrowing authority, mandatory (total) .....		-13,825	-13,807
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		-24	2,427
1820 Capital transfer of spending authority from offsetting collections to general fund-MAT .....			-194
1825 Spending authority from offsetting collections applied to repay debt .....		-145	-2,787
1850 Spending auth from offsetting collections, mand (total) .....		-169	-554
1900 Financing authority (total) .....		-13,994	-14,361
1930 Total budgetary resources available .....		-13,994	-14,361
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			-4,873
3010 Obligations incurred, unexpired accounts .....		-13,994	-14,361
3020 Financing disbursements (gross) .....		9,121	13,097
3050 Unpaid obligations, end of year .....		-4,873	-6,137
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			-4,873
3200 Obligated balance, end of year .....			-4,873
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....		-13,994	-14,361
Financing disbursements:			
4110 Financing disbursements, gross .....		-9,121	-13,097
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward Modification .....			-2,871
4123 Repayment of principal, Stafford .....		-5	-2
4123 Interest received on loans, Stafford .....		8	51
4123 Repayment of principal, Unsubsidized Stafford .....		2	12
4123 Interest received on loans, Unsubsidized Stafford .....		12	54
4123 Repayment of principal, PLUS .....		-1	-25
4123 Interest received on loans, PLUS .....		4	82
4123 Payment of principal, Consolidation .....		-2	139
4123 Interest received on loans, Consolidation .....		6	133
4130 Offsets against gross financing auth and disbursements (total) .....		24	-2,427
4160 Financing authority, net (mandatory) .....		-13,970	-16,788
4170 Financing disbursements, net (mandatory) .....		-9,097	-15,524
4180 Financing authority, net (total) .....		-13,970	-16,788
4190 Financing disbursements, net (total) .....		-9,097	-15,524

Status of Direct Loans (in millions of dollars)

Identification code 91-4253-4-3-502	2012 actual	2013 CR	2014 est.
<b>STAFFORD</b>			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....			1
1251 Repayments: Repayments and prepayments .....		-5	-2
1261 Adjustments: Capitalized interest .....			-44
1264 Write-offs for default: Other adjustments, net (+ or -) .....		6	8
1290 Outstanding, end of year .....		1	-37
<b>UNSUBSIDIZED STAFFORD</b>			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....			2
1251 Repayments: Repayments and prepayments .....		2	12

1261	Adjustments: Capitalized interest .....			-57
1290	Outstanding, end of year .....		2	-43
<b>PLUS</b>				
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....			-1
1251	Repayments: Repayments and prepayments .....		-1	-25
1261	Adjustments: Capitalized interest .....			-8
1290	Outstanding, end of year .....		-1	-34
<b>CONSOLIDATION</b>				
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....			-1
1251	Repayments: Repayments and prepayments .....		-1	139
1290	Outstanding, end of year .....		-1	138

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-0231-0-1-502	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0704	Subsidy for modifications of loan guarantees .....	415		
0705	Reestimates of direct loan subsidy .....	715	2,298	
0706	Interest on reestimates of direct loan subsidy .....	86	306	
0707	Reestimates of loan guarantee subsidy .....	96	151	
0708	Interest on reestimates of loan guarantee subsidy .....	48	344	
0900	Total new obligations (object class 41.0) .....	1,360	3,099	
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1,360	3,099	
1260	Appropriations, mandatory (total) .....	1,360	3,099	
1930	Total budgetary resources available .....	1,360	3,099	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1,360	3,099	
3020	Outlays (gross) .....	-1,360	-3,099	
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1,360	3,099	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,360	3,099	
4180	Budget authority, net (total) .....	1,360	3,099	
4190	Outlays, net (total) .....	1,360	3,099	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91-0231-0-1-502	2012 actual	2013 CR	2014 est.	
Direct loan upward reestimates:				
135010	Direct Participation Agreement Reestimates .....	1,825		
135012	Direct Standard Put Reestimates .....	801	779	
135999	Total upward reestimate budget authority .....	801	2,604	
Direct loan downward reestimates:				
137010	Direct Participation Agreement Reestimates .....	-1,621	-519	
137012	Direct Standard Put Reestimates .....	-719	-598	
137999	Total downward reestimate budget authority .....	-2,340	-1,117	
Guaranteed loan subsidy outlays:				
234006	FFEL Guarantees .....	153		
234999	Total subsidy outlays .....	153		
Guaranteed loan upward reestimates:				
235006	FFEL Guarantees .....	144	494	
235999	Total upward reestimate budget authority .....	144	494	
Guaranteed loan downward reestimates:				
237006	FFEL Guarantees .....	-13,769	-8,825	
237999	Total downward reestimate subsidy budget authority .....	-13,769	-8,825	

As required by the Federal Credit Reform Act of 1990, this program account records the subsidy costs associated with Federal Family Education Loans (FFEL), formerly guaranteed student loans (GSL), committed in 1992 and beyond. Beginning with the 1993 cohort of loans, mandatory administrative costs, specifically contract collection costs, are included in the FFEL subsidy estimates of each year's cohort. Subsidy amounts are estimated on a net present value basis.

A description of the FFEL program and accompanying tables are included under the Federal Direct Student Loan program account.

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91-0231-4-1-502	2012 actual	2013 CR	2014 est.	
Guaranteed loan subsidy outlays:				
234006	FFEL Guarantees .....			-3,657
234999	Total subsidy outlays .....			-3,657

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-4251-0-3-502	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0101	Default claims .....	2,812	1,735	1,037
0102	Special allowance .....	146	35	26
0103	Interest benefits .....	1,157	445	311
0104	Death, disability, and bankruptcy claims .....	260	84	65
0105	Teacher loan forgiveness, other write-offs .....	44	110	103
0107	Contract collection costs .....	14	17	18
0110	Guaranty Agency account maintenance fees .....	37	26	24
0191	Subtotal, Stafford loans .....	4,470	2,452	1,584
0202	Default claims .....	2,611	1,595	1,008
0203	Special allowance .....	48	36	27
0204	Death, disability, and bankruptcy claims .....	237	88	64
0205	Teacher loan forgiveness, other write-offs .....	80	85	83
0207	Contract collection costs .....	10	9	11
0210	Guaranty Agency account maintenance fees .....	39	22	20
0291	Subtotal, Unsubsidized Stafford loans .....	3,025	1,835	1,213
0301	Default claims .....	313	208	138
0302	Special allowance .....	5	1	
0304	Death, disability, and bankruptcy claims .....	72	41	31
0307	Contract Collection Costs .....	1	1	1
0310	Guaranty Agency account maintenance fees .....	9	8	7
0391	Subtotal, PLUS loans .....	400	259	177
0403	Default claims .....	41		
0404	Special allowance .....	1		
0405	Death, disability, and bankruptcy claims .....	4		
0407	Contract collection costs .....	3	1	1
0491	Subtotal, SLS loans .....	49	1	1
0501	Default claims .....	4,737	3,898	3,945
0502	Special allowance .....	10	98	90
0503	Interest benefits .....	467	415	339
0504	Death, disability, and bankruptcy claims .....	870	774	772
0505	Teacher loan forgiveness, other write-offs .....	34		
0507	Contract collection costs .....	2	12	16
0510	Guaranty Agency account maintenance fees .....	124	141	135
0591	Subtotal, Consolidations loans .....	6,244	5,338	5,297
Credit program obligations:				
0713	Payment of interest to Treasury .....	2,083	112	85
0741	Modification savings .....	262		
0742	Downward reestimate paid to receipt account .....	10,450	6,456	
0743	Interest on downward reestimates .....	3,319	2,369	
0791	Direct program activities, subtotal .....	16,114	8,937	85
0900	Total new obligations .....	30,302	18,822	8,357

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 91-4251-0-3-502	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11,839	13,781	9,208
1021 Recoveries of prior year unpaid obligations .....	853		
1050 Unobligated balance (total) .....	12,692	13,781	9,208
Financing authority:			
Appropriations, mandatory:			
1200 Appropriation .....	35		
1260 Appropriations, mandatory (total) .....	35		
Borrowing authority, mandatory:			
1400 Borrowing authority .....	13,769		
1440 Borrowing authority, mandatory (total) .....	13,769		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	17,616	14,249	12,922
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-29		
1850 Spending auth from offsetting collections, mand (total) .....	17,587	14,249	12,922
1900 Financing authority (total) .....	31,391	14,249	12,922
1930 Total budgetary resources available .....	44,083	28,030	22,130
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13,781	9,208	13,773
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,455	2,275	2,275
3010 Obligations incurred, unexpired accounts .....	30,302	18,822	8,357
3020 Financing disbursements (gross) .....	-29,629	-18,822	-8,357
3040 Recoveries of prior year unpaid obligations, unexpired .....	-853		
3050 Unpaid obligations, end of year .....	2,275	2,275	2,275
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,455	2,275	2,275
3200 Obligated balance, end of year .....	2,275	2,275	2,275
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	31,391	14,249	12,922
Financing disbursements:			
4110 Financing disbursements, gross .....	29,629	18,822	8,357
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-96	-151	
4120 Interest on upward reestimate .....	-48	-344	
4120 Upward Modification .....	-415		
4122 Interest on uninvested funds .....	-643		
4123 Stafford recoveries on defaults .....	-2,592	-2,367	-2,213
4123 Stafford other fees .....	-101		
4123 Stafford special allowance rebate .....	-1,599	-905	-755
4123 Unsubsidized Stafford recoveries on default .....	-1,491	-1,989	-1,895
4123 Unsubsidized Stafford other fees .....	-82		
4123 Unsubsidized Stafford special allowance rebate .....	-1,856	-1,194	-1,036
4123 PLUS recoveries on defaults .....	-289	-206	-201
4123 PLUS other fees .....	-12		
4123 PLUS special allowance rebate .....	-690	-402	-325
4123 SLS recoveries on defaults .....	-30	-22	-16
4123 SLS other fees .....	-1		
4123 Consolidation recoveries on defaults .....	-3,499	-3,189	-3,330
4123 Consolidation loan holders fee .....	-1,993	-1,623	-1,453
4123 Consolidation other fees .....	-153		
4123 Consolidation special allowance rebate .....	-2,026	-1,857	-1,698
4130 Offsets against gross financing auth and disbursements (total) .....	-17,616	-14,249	-12,922
4160 Financing authority, net (mandatory) .....	13,775		
4170 Financing disbursements, net (mandatory) .....	12,013	4,573	-4,565
4180 Financing authority, net (total) .....	13,775		
4190 Financing disbursements, net (total) .....	12,013	4,573	-4,565

Status of Guaranteed Loans (in millions of dollars)

Identification code 91-4251-0-3-502	2012 actual	2013 CR	2014 est.
<b>STAFFORD</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	55,818	46,132	40,136
2251 Repayments and prepayments .....	-4,418	-3,872	-3,621

Adjustments:			
2261 Terminations for default that result in loans receivable .....	-3,071	-1,929	-1,162
2263 Terminations for default that result in claim payments .....	-260	-84	-65
2264 Other adjustments, net .....	-1,937	-111	-103
2290 Outstanding, end of year .....	46,132	40,136	35,185

Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	44,748	38,129	33,426

Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	6,994	7,145	6,483
2331 Disbursements for guaranteed loan claims .....	3,071	1,929	1,162
2351 Repayments of loans receivable .....	-2,278	-2,367	-2,213
2361 Write-offs of loans receivable .....	-260	-224	-181
2364 Other adjustments, net .....	-382		
2390 Outstanding, end of year .....	7,145	6,483	5,251

<b>UNSUBSIDIZED STAFFORD</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	58,593	49,707	42,560
2251 Repayments and prepayments .....	-4,638	-5,197	-4,834
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-2,849	-1,777	-1,115
2263 Terminations for default that result in claim payments .....	-237	-88	-64
2264 Other adjustments, net .....	-1,162	-85	-83
2290 Outstanding, end of year .....	49,707	42,560	36,464

Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	48,216	40,432	34,641

Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	7,820	8,595	8,169
2331 Disbursements for guaranteed loan claims .....	2,849	1,777	1,115
2351 Repayments of loans receivable .....	-1,374	-1,989	-1,895
2361 Write-offs of loans receivable .....	-237	-214	-188
2364 Other adjustments, net .....	-463		
2390 Outstanding, end of year .....	8,595	8,169	7,201

<b>PLUS</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	13,791	11,014	9,240
2251 Repayments and prepayments .....	-1,092	-1,505	-1,419
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-385	-228	-151
2263 Terminations for default that result in claim payments .....	-72	-41	-31
2264 Other adjustments, net .....	-1,228		
2290 Outstanding, end of year .....	11,014	9,240	7,639

Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	10,684	8,778	7,257

Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	603	625	584
2331 Disbursements for guaranteed loan claims .....	385	228	151
2351 Repayments of loans receivable .....	-257	-206	-201
2361 Write-offs of loans receivable .....	-72	-63	-53
2364 Other adjustments, net .....	-34		
2390 Outstanding, end of year .....	625	584	481

<b>SLS</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	94	80	70
2251 Repayments and prepayments .....	-7	-4	-2
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-45	-6	
2263 Terminations for default that result in claim payments .....	-4		
2264 Other adjustments, net .....	42		
2290 Outstanding, end of year .....	80	70	68

Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	77	66	64

<b>Addendum:</b>				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	306	308	288
2331	Disbursements for guaranteed loan claims	45	6	
2351	Repayments of loans receivable	-22	-23	-16
2361	Write-offs of loans receivable	-4	-3	-3
2364	Other adjustments, net	-17		
2390	Outstanding, end of year	308	288	269
<b>CONSOLIDATION</b>				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	198,540	183,067	165,845
2251	Repayments and prepayments	-15,716	-12,355	-11,573
Adjustments:				
2261	Terminations for default that result in loans receivable	-5,607	-4,093	-4,006
2263	Terminations for default that result in claim payments	-870	-774	-773
2264	Other adjustments, net	6,720		
2290	Outstanding, end of year	183,067	165,845	149,493
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	177,575	157,552	142,019
<b>Addendum:</b>				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	14,067	14,876	14,950
2331	Disbursements for guaranteed loan claims	5,607	4,093	4,006
2351	Repayments of loans receivable	-3,129	-3,189	-3,330
2361	Write-offs of loans receivable	-870	-830	-822
2364	Other adjustments, net	-799		
2390	Outstanding, end of year	14,876	14,950	14,804

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Federal Family Education Loans (FFEL), formerly guaranteed student loans (GSL), committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 91-4251-0-3-502	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury	13,920	15,682
Investments in US securities:			
1106	Receivables, net	333	695
1206	Non-Federal assets: Receivables, net	115	72
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross	29,790	31,549
1502	Interest receivable	4,236	4,541
1505	Allowance for subsidy cost (-)	-5,400	-6,446
1599	Net present value of assets related to defaulted guaranteed loans	28,626	29,644
1999	Total assets	42,994	46,093
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable	3,192	1,664
2103	Debt	29,485	43,254
Non-Federal liabilities:			
2201	Accounts payable	333	162
2204	Liabilities for loan guarantees	9,984	1,013
2999	Total liabilities	42,994	46,093
4999	Total liabilities and net position	42,994	46,093

**FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 91-4251-4-3-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	Payment of interest to Treasury		51

0741	Modification savings			3,657
0900	Total new obligations			3,708
<b>Budgetary Resources:</b>				
Financing authority:				
Appropriations, mandatory:				
1200	Appropriation-Positive MAT			528
1260	Appropriations, mandatory (total)			528
Spending authority from offsetting collections, mandatory:				
1800	Collected			2
1850	Spending auth from offsetting collections, mand (total)			2
1900	Financing authority (total)			530
1930	Total budgetary resources available			530
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year			-3,178
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts			3,708
3020	Financing disbursements (gross)			-3,708
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross			530
Financing disbursements:				
4110	Financing disbursements, gross			3,708
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Stafford special allowance rebate			-1
4123	Unsubsidized Stafford special allowance rebate			-1
4130	Offsets against gross financing auth and disbursements (total)			-2
4160	Financing authority, net (mandatory)			528
4170	Financing disbursements, net (mandatory)			3,706
4180	Financing authority, net (total)			528
4190	Financing disbursements, net (total)			3,706

**TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 91-4453-0-3-502	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0006	Contract collection costs	14	58	83
Credit program obligations:				
0713	Payment of interest to Treasury	2,471	2,873	2,717
0742	Downward reestimate paid to receipt account	1,491	474	
0743	Interest on downward reestimates	130	45	
0791	Direct program activities, subtotal	4,092	3,392	2,717
0900	Total new obligations	4,106	3,450	2,800
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,021	576	
1023	Unobligated balances applied to repay debt	-1,021	-576	
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	1,621	519	
1440	Borrowing authority, mandatory (total)	1,621	519	
Spending authority from offsetting collections, mandatory:				
1800	Collected	5,671	9,702	7,631
1825	Spending authority from offsetting collections applied to repay debt	-2,610	-6,771	-4,831
1850	Spending auth from offsetting collections, mand (total)	3,061	2,931	2,800
1900	Financing authority (total)	4,682	3,450	2,800
1930	Total budgetary resources available	4,682	3,450	2,800
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	576		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	11,652	11,652	11,652
3010	Obligations incurred, unexpired accounts	4,106	3,450	2,800
3020	Financing disbursements (gross)	-4,106	-3,450	-2,800

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING  
ACCOUNT—Continued

Program and Financing—Continued

Identification code 91-4453-0-3-502	2012 actual	2013 CR	2014 est.
3050 Unpaid obligations, end of year .....	11,652	11,652	11,652
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11,652	11,652	11,652
3200 Obligated balance, end of year .....	11,652	11,652	11,652
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	4,682	3,450	2,800
Financing disbursements:			
4110 Financing disbursements, gross .....	4,106	3,450	2,800
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....		-1,607	
4120 Upward reestimate interest .....		-218	
4122 Interest on uninvested funds .....	-237		
4123 Principal repayments .....	-4,264	-6,530	-6,167
4123 Interest repayments .....	-1,008	-1,347	-1,464
4123 Fees and other refunds .....	-162		
4130 Offsets against gross financing auth and disbursements (total) .....	-5,671	-9,702	-7,631
4160 Financing authority, net (mandatory) .....	-989	-6,252	-4,831
4170 Financing disbursements, net (mandatory) .....	-1,565	-6,252	-4,831
4180 Financing authority, net (total) .....	-989	-6,252	-4,831
4190 Financing disbursements, net (total) .....	-1,565	-6,252	-4,831

Status of Direct Loans (in millions of dollars)

Identification code 91-4453-0-3-502	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	61,125	58,834	53,250
1251 Repayments: Repayments and prepayments .....	-4,264	-6,530	-6,167
1261 Adjustments: Capitalized interest .....		1,005	680
1264 Write-offs for default: Other adjustments, net (+ or -) .....	1,973	-59	-76
1290 Outstanding, end of year .....	58,834	53,250	47,687

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the participation interest program authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 91-4453-0-3-502	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	5,546	5,101
Investments in US securities:		
1106 Receivables, net .....	1,075	1,822
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	61,125	58,834
1402 Interest receivable .....	2,993	3,143
1405 Allowance for subsidy cost (-) .....	8,564	8,910
1499 Net present value of assets related to direct loans .....	72,682	70,887
1999 Total assets .....	79,303	77,810
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....		518
2103 Debt .....	79,302	77,292
2201 Non-Federal liabilities: Accounts payable .....	1	
2999 Total liabilities .....	79,303	77,810
4999 Total liabilities and net position .....	79,303	77,810

STUDENT LOAN ACQUISITION ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-4449-0-3-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 Contract collection costs .....	21	35	49
Credit program obligations:			
0713 Payment of interest to Treasury .....	1,318	1,700	1,615
0742 Downward reestimate paid to receipt account .....	658	531	
0743 Interest on downward reestimates .....	61	67	
0791 Direct program activities, subtotal .....	2,037	2,298	1,615
0900 Total new obligations .....	2,058	2,333	1,664
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	248	1,190	
1023 Unobligated balances applied to repay debt .....	-248	-1,190	
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	719	598	
1440 Borrowing authority, mandatory (total) .....	719	598	
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4,517	5,569	4,671
1825 Spending authority from offsetting collections applied to repay debt .....	-1,988	-3,834	-3,007
1850 Spending auth from offsetting collections, mand (total) .....	2,529	1,735	1,664
1900 Financing authority (total) .....	3,248	2,333	1,664
1930 Total budgetary resources available .....	3,248	2,333	1,664
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,190		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	305	305	305
3010 Obligations incurred, unexpired accounts .....	2,058	2,333	1,664
3020 Financing disbursements (gross) .....	-2,058	-2,333	-1,664
3050 Unpaid obligations, end of year .....	305	305	305
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	305	305	305
3200 Obligated balance, end of year .....	305	305	305

Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	3,248	2,333	1,664
Financing disbursements:			
4110 Financing disbursements, gross .....	2,058	2,333	1,664
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-715	-692	
4120 Upward reestimate interest .....	-86	-87	
4122 Interest on uninvested funds .....	-73		
4123 Principal repayments .....	-2,929	-3,982	-3,788
4123 Borrower interest repayments .....	-645	-808	-883
4123 Fees and other refunds .....	-69		
4130 Offsets against gross financing auth and disbursements (total) .....	-4,517	-5,569	-4,671
4160 Financing authority, net (mandatory) .....	-1,269	-3,236	-3,007
4170 Financing disbursements, net (mandatory) .....	-2,459	-3,236	-3,007
4180 Financing authority, net (total) .....	-1,269	-3,236	-3,007
4190 Financing disbursements, net (total) .....	-2,459	-3,236	-3,007

Status of Direct Loans (in millions of dollars)

Identification code 91-4449-0-3-502	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	35,822	34,012	30,691
1251 Repayments: Repayments and prepayments .....	-2,929	-3,982	-3,788
1261 Adjustments: Capitalized interest .....		698	477
1264 Write-offs for default: Other adjustments, net (+ or -) .....	1,119	-37	-48
1290 Outstanding, end of year .....	34,012	30,691	27,332

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the standard and short-term Put programs authorized under the Ensuring Continued Access to Student

Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 91-4449-0-3-502	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	84	1,025
Investments in US securities:		
1106 Receivables, net .....	1,661	772
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	35,822	34,012
1402 Interest receivable .....	1,879	1,875
1405 Allowance for subsidy cost (-) .....	4,415	5,258
1499 Net present value of assets related to direct loans .....	42,116	41,145
1999 Total assets .....	43,861	42,942
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....		600
2103 Debt .....	43,860	42,342
2201 Non-Federal liabilities: Accounts payable .....	1	
2999 Total liabilities .....	43,861	42,942
4999 Total liabilities and net position .....	43,861	42,942

**TEMPORARY STUDENT LOAN PURCHASE AUTHORITY CONDUIT FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 91-4459-0-3-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Contract collection costs .....	17	91	97
Credit program obligations:			
0713 Payment of interest to Treasury .....	90	62	63
0900 Total new obligations .....	107	153	160
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	79	17	86
1023 Unobligated balances applied to repay debt .....	-79	-17	-86
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	324	741	35,849
1825 Spending authority from offsetting collections applied to repay debt .....	-200	-502	-542
1850 Spending auth from offsetting collections, mand (total) .....	124	239	35,307
1930 Total budgetary resources available .....	124	239	35,307
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	86	35,147
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	72,638	71,634	70,930
3010 Obligations incurred, unexpired accounts .....	107	153	160
3020 Financing disbursements (gross) .....	-1,111	-857	-35,708
3050 Unpaid obligations, end of year .....	71,634	70,930	35,382
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	72,638	71,634	70,930
3200 Obligated balance, end of year .....	71,634	70,930	35,382
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	124	239	35,307
Financing disbursements:			
4110 Financing disbursements, gross .....	1,111	857	35,708
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-32		
4123 Direct Conduit Fees .....	-156	-44	-35
4123 Principal repayments .....	-105	-566	-599
4123 Interest repayments .....	-31	-89	-103
4123 FFB Commitment Fee .....		-42	-34
4123 FFB principal repayment .....			-35,000

4123	FFB interest repayment .....			-78
4130	Offsets against gross financing auth and disbursements (total) .....	-324	-741	-35,849
4160	Financing authority, net (mandatory) .....	-200	-502	-542
4170	Financing disbursements, net (mandatory) .....	787	116	-141
4180	Financing authority, net (total) .....	-200	-502	-542
4190	Financing disbursements, net (total) .....	787	116	-141

**Status of Direct Loans** (in millions of dollars)

Identification code 91-4459-0-3-502	2012 actual	2013 CR	2014 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	1,121	2,038	2,176
1232 Disbursements: Purchase of loans assets from the public .....	1,004	704	35,548
1251 Repayments: Repayments and prepayments .....	-104	-566	-35,598
1261 Adjustments: Capitalized interest .....			
1264 Write-offs for default: Other adjustments, net (+ or -) .....	17		
1290 Outstanding, end of year .....	2,038	2,176	2,126

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the asset-backed commercial paper conduit authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 91-4459-0-3-502	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	38	22
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,121	2,038
1402 Interest receivable .....	55	133
1405 Allowance for subsidy cost (-) .....	-233	-440
1499 Net present value of assets related to direct loans .....	943	1,731
1999 Total assets .....	981	1,753
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	963	1,734
2201 Non-Federal liabilities: Accounts payable .....	18	19
2999 Total liabilities .....	981	1,753
4999 Total liabilities and net position .....	981	1,753

**FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 91-0230-0-1-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Interest benefits, net of origination fees .....	3	3	3
0103 Default claims .....	59	51	45
0104 Death, disability, and bankruptcy claims .....	5	5	4
0105 Contract collection costs .....	24	20	17
0191 Subtotal, Stafford loans .....	91	79	69
0201 Default claims .....	7	8	7
0202 Death, disability, and bankruptcy claims .....	2	2	2
0205 Contract collection costs .....	6	4	3
0291 Subtotal, PLUS/SLS loans .....	15	14	12
0900 Total new obligations .....	106	93	81
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	236	203	
1021 Recoveries of prior year unpaid obligations .....	1		
1022 Capital transfer of unobligated balances to general fund .....	-236	-203	
1050 Unobligated balance (total) .....	1		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	496	404	325

FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 91-0230-0-1-502	2012 actual	2013 CR	2014 est.
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-188	-311	-244
1850 Spending auth from offsetting collections, mand (total) .....	308	93	81
1900 Budget authority (total) .....	308	93	81
1930 Total budgetary resources available .....	309	93	81
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	203		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	36	36
3010 Obligations incurred, unexpired accounts .....	106	93	81
3020 Outlays (gross) .....	-89	-93	-81
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	36	36	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	36	36
3200 Obligated balance, end of year .....	36	36	36
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	308	93	81
Outlays, gross:			
4100 Outlays from new mandatory authority .....	89	93	81
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Fed collections on defaulted loans, stafford .....	-99	-112	-90
4123 Fed collections on bankruptcies, Stafford .....	-2	-1	-1
4123 Offsets against Federal tax refunds, Stafford .....	-136	-120	-97
4123 Reimbursements from guaranty agencies, Stafford .....	-127	-85	-68
4123 Other collections, Stafford .....	-32	-25	-20
4123 Federal collections on defaulted loans, PLUS/SLS .....	-65	-34	-27
4123 Federal collections on bankruptcies, PLUS/SLS .....		-1	-1
4123 Offsets against Federal tax refunds, PLUS/SLS .....	-8	-9	-7
4123 Reimbursements from guaranty agencies, PLUS/SLS .....	-27	-17	-14
4130 Offsets against gross budget authority and outlays (total) .....	-496	-404	-325
4160 Budget authority, net (mandatory) .....	-188	-311	-244
4170 Outlays, net (mandatory) .....	-407	-311	-244
4180 Budget authority, net (total) .....	-188	-311	-244
4190 Outlays, net (total) .....	-407	-311	-244

Status of Guaranteed Loans (in millions of dollars)

Identification code 91-0230-0-1-502	2012 actual	2013 CR	2014 est.
<b>STAFFORD LOANS</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	692	594	537
2251 Repayments and prepayments .....	-19	-15	-11
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-64	-38	-29
2263 Terminations for default that result in claim payments .....	-5	-4	-4
2264 Other adjustments, net .....	-10		
2290 Outstanding, end of year .....	594	537	493
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	576	510	468
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	5,375	4,770	4,616
2331 Disbursements for guaranteed loan claims .....	64	38	29
2351 Repayments of loans receivable .....	-244	-166	-134
2361 Write-offs of loans receivable .....	-5	-4	-4
2364 Other adjustments, net .....	-420	-22	-17
2390 Outstanding, end of year .....	4,770	4,616	4,490
<b>PLUS/SLS LOANS</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	90	75	67
2251 Repayments and prepayments .....	-4	-4	-4
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-9	-3	-2
2263 Terminations for default that result in claim payments .....	-2	-1	-2

2290 Outstanding, end of year .....	75	67	59
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	73	63	56
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	853	749	719
2331 Disbursements for guaranteed loan claims .....	9	3	2
2351 Repayments of loans receivable .....	-45	-28	-22
2361 Write-offs of loans receivable .....	-2	-2	-2
2364 Other adjustments, net .....	-66	-3	-2
2390 Outstanding, end of year .....	749	719	695

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed student loans committed prior to 1992. This account is shown on a cash basis. All new loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 91-0230-0-1-502	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	256	240
1701 Defaulted guaranteed loans, gross .....	6,228	5,519
1702 Interest receivable .....	4,034	5,358
1703 Allowance for estimated uncollectible loans and interest (-) .....	-6,587	-8,180
1799 Value of assets related to loan guarantees .....	3,675	2,697
1999 Total assets .....	3,931	2,937
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	3,886	2,913
Non-Federal liabilities:		
2201 Accounts payable .....	4	
2204 Liabilities for loan guarantees .....	41	24
2999 Total liabilities .....	3,931	2,937
4999 Total liabilities and net position .....	3,931	2,937

Object Classification (in millions of dollars)

Identification code 91-0230-0-1-502	2012 actual	2013 CR	2014 est.
Direct obligations:			
33.0 Investments and loans .....	96	84	72
41.0 Grants, subsidies, and contributions .....	3	3	3
42.0 Insurance claims and indemnities .....	7	6	6
99.9 Total new obligations .....	106	93	81

FEDERAL PERKINS LOAN PROGRAM ACCOUNT  
(Legislative proposal, subject to PAYGO)

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91-0217-4-1-502	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Federal Perkins Loans .....			4,684
115999 Total direct loan levels .....			4,684
Direct loan subsidy (in percent):			
132001 Federal Perkins Loans .....			-30.07
132999 Weighted average subsidy rate .....			-30.07
Direct loan subsidy budget authority:			
133001 Federal Perkins Loans .....			-1,409
133999 Total subsidy budget authority .....			-1,409
Direct loan subsidy outlays:			
134001 Federal Perkins Loans .....			-673

134999 Total subsidy outlays ..... -673

FEDERAL PERKINS LOAN FINANCING ACCOUNT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-4574-4-3-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....			4,684
0713 Payment of interest to Treasury .....			40
0740 Negative subsidy obligations .....			1,409
0900 Total new obligations .....			6,133
<b>Budgetary Resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....			6,110
1440 Borrowing authority, mandatory (total) .....			6,110
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			23
1850 Spending auth from offsetting collections, mand (total) .....			23
1900 Financing authority (total) .....			6,133
1930 Total budgetary resources available .....			6,133
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			6,133
3020 Financing disbursements (gross) .....			-2,951
3050 Unpaid obligations, end of year .....			3,182
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			3,182
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....			6,133
Financing disbursements:			
4110 Financing disbursements, gross .....			2,951
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Payment of principal .....			-1
4123 Origination fees .....			-22
4130 Offsets against gross financing auth and disbursements (total) .....			-23
4160 Financing authority, net (mandatory) .....			6,110
4170 Financing disbursements, net (mandatory) .....			2,928
4180 Financing authority, net (total) .....			6,110
4190 Financing disbursements, net (total) .....			2,928

Status of Direct Loans (in millions of dollars)

Identification code 91-4574-4-3-502	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....			4,684
1150 Total direct loan obligations .....			4,684
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....			2,238
1231 Disbursements: Direct loan disbursements .....			-1
1251 Repayments: Repayments and prepayments .....			-1
1261 Adjustments: Capitalized interest .....			
1264 Write-offs for default: Other adjustments, net (+ or -) .....			
1290 Outstanding, end of year .....			2,237

of Health and Human Services. The Department of Education will assume responsibility for the program and the authority to administer, service, collect, and enforce the program. In addition, the functions, assets, and liabilities of the Secretary of Health and Human Services that are associated with the HEAL program will be permanently transferred to the Secretary of Education.

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-4300-0-3-552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....			12
0900 Total new obligations .....			12
<b>Budgetary Resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other accts [75-4304] ....			99
1050 Unobligated balance (total) .....			99
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			6
1850 Spending auth from offsetting collections, mand (total) .....			6
1930 Total budgetary resources available .....			105
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			93
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			12
3020 Financing disbursements (gross) .....			-12
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....			6
Financing disbursements:			
4110 Financing disbursements, gross .....			12
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....			-3
4123 Non-Federal sources .....			-3
4130 Offsets against gross financing auth and disbursements (total) .....			-6
4170 Financing disbursements, net (mandatory) .....			6
4190 Financing disbursements, net (total) .....			6

Status of Guaranteed Loans (in millions of dollars)

Identification code 91-4300-0-3-552	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			
2251 Repayments and prepayments .....			-16
Adjustments:			
2261 Terminations for default that result in loans receivable .....			-9
2263 Terminations for default that result in claim payments .....			-3
2264 Other adjustments, net .....			403
2290 Outstanding, end of year .....			375
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			375
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....			
2331 Disbursements for guaranteed loan claims .....			9
2351 Repayments and prepayments .....			-3

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

Consistent with the 2013 President's Budget, in 2014, the Health Education Assistance Loan (HEAL) program will be transferred to the Department of Education from the Department

**HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT—Continued**  
**Status of Guaranteed Loans—Continued**

Identification code 91-4300-0-3-552	2012 actual	2013 CR	2014 est.
2361 Write-offs of loans receivable .....			
2364 Other adjustments, net .....			184
2390 Outstanding, end of year .....			190

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the Health Education Assistance Loan program. Amounts in this account are a means of financing and are not included in the budget totals.

**HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 91-4299-0-3-552	2012 actual	2013 CR	2014 est.
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<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....			2

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			1
1260 Appropriations, mandatory (total) .....			1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			10
1820 Capital transfer of spending authority from offsetting collections to general fund .....			-9
1850 Spending auth from offsetting collections, mand (total) .....			1
1900 Budget authority (total) .....			2
1930 Total budgetary resources available .....			2

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2
3020 Outlays (gross) .....			-2

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			2
Outlays, gross:			
4100 Outlays from new mandatory authority .....			2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....			-10
4180 Budget authority, net (total) .....			-8
4190 Outlays, net (total) .....			-8

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 91-4299-0-3-552	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			
2251 Repayments and prepayments .....			-8
Adjustments:			
2261 Terminations for default that result in loans receivable .....			-1
2264 Other adjustments, net .....			62
2290 Outstanding, end of year .....			53

<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			53

<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....			
2331 Disbursements for guaranteed loan claims .....			3
2351 Repayments of loans receivable .....			-7
2361 Write-offs of loans receivable .....			-21

2364 Other adjustments, net .....			349
2390 Outstanding, end of year .....			324

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed HEAL loans committed prior to 1992. This account is shown on a cash basis. All loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

**Object Classification** (in millions of dollars)

Identification code 91-4299-0-3-552	2012 actual	2013 CR	2014 est.
Direct obligations:			
33.0 Investments and loans .....			1
42.0 Insurance claims and indemnities .....			1
99.9 Total new obligations .....			2

**INSTITUTE OF EDUCATION SCIENCES**

**Federal Funds**

INSTITUTE OF EDUCATION SCIENCES

For carrying out activities authorized by the Education Sciences Reform Act of 2002, the National Assessment of Educational Progress Authorization Act, section 208 of the Educational Technical Assistance Act of 2002, and section 664 of the Individuals with Disabilities Education Act, \$671,073,000, which shall remain available through September 30, 2015: Provided, That funds available to carry out section 208 of the Educational Technical Assistance Act may be used to link Statewide elementary and secondary data systems with early childhood, postsecondary, and workforce data systems, or to further develop such systems: Provided further, That up to \$25,000,000 of the funds available to carry out section 208 of the Educational Technical Assistance Act may be used for awards to public or private organizations or agencies to support activities to improve data coordination, quality, and use at the local, State, and national levels.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91-1100-0-1-503	2012 actual	2013 CR	2014 est.
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<b>Obligations by program activity:</b>			
0001 Research, development, and dissemination .....	191	191	202
0002 Statistics .....	101	123	123
0003 Regional educational laboratories .....	61	87	57
0004 National Assessment .....	121	142	125
0005 National Assessment Governing Board .....	7	9	8
0006 Research in special education .....	50	50	60
0007 Statewide data systems .....	55	46	85
0008 Special education studies and evaluations .....	15	15	11
0100 Total direct program .....	601	663	671

0799 Total direct obligations .....	601	663	671
0801 Reimbursable program .....	2	2	2
0900 Total new obligations .....	603	665	673

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	62	69	4
1021 Recoveries of prior year unpaid obligations .....	13		
1050 Unobligated balance (total) .....	75	69	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	595	598	671
1130 Appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	594	598	671

1700	Spending authority from offsetting collections, discretionary: Collected .....	3	2	2
1750	Spending auth from offsetting collections, disc (total) .....	3	2	2
1900	Budget authority (total) .....	597	600	673
1930	Total budgetary resources available .....	672	669	677
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	69	4	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,120	998	946
3010	Obligations incurred, unexpired accounts .....	603	665	673
3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-705	-717	-624
3040	Recoveries of prior year unpaid obligations, unexpired .....	-13		
3041	Recoveries of prior year unpaid obligations, expired .....	-9		
3050	Unpaid obligations, end of year .....	998	946	995
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,118	996	944
3200	Obligated balance, end of year .....	996	944	993
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	597	600	673
Outlays, gross:				
4010	Outlays from new discretionary authority .....	82	100	111
4011	Outlays from discretionary balances .....	623	617	513
4020	Outlays, gross (total) .....	705	717	624
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2	-2	-2
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ....	-3	-2	-2
4070	Budget authority, net (discretionary) .....	594	598	671
4080	Outlays, net (discretionary) .....	702	715	622
4180	Budget authority, net (total) .....	594	598	671
4190	Outlays, net (total) .....	702	715	622

**Research and Statistics:**

*Research, development, and dissemination.*—Funds support a diverse portfolio of investigator-led research and development, evaluation studies, research and development centers, and dissemination activities that provide parents, teachers, and schools with evidence-based information on effective educational practices. Funds requested in 2014 would be used to sustain and expand research and evaluation efforts on topics in early childhood, elementary, secondary, and postsecondary education.

*Statistics.*—Funds support the Department's statistical data collection activities, which are conducted by the National Center for Education Statistics (NCES). NCES collects, analyzes, and disseminates education statistics at all levels, from preschool through postsecondary and adult education, including statistics on international education activities. The 2014 request would allow NCES to continue its on-going portfolio of activities, including collecting and reporting information on sub-baccalaureate education and training for adults, as well as to obtain State-level Program for International Student Assessment (PISA) data and to collect student-level administrative National Post-secondary Student Aid Survey (NPSAS) data every 2 years, providing more timely information on educational costs, financial aid, enrollment, and student progress.

*Regional educational laboratories.*—Funds support a network of 10 regional laboratories that provide expert advice, including training and technical assistance, to help States and school districts apply proven research findings in their school improvement efforts.

*Assessment.*—Funds support the National Assessment of Educational Progress (NAEP) and the National Assessment Govern-

ing Board (NAGB). NAEP administers assessments to samples of students in order to gather reliable information about educational attainment in important academic areas. NAGB is responsible for formulating NAEP policy; developing student achievement levels; and selecting, consistent with the requirements of the statute, the subjects to be assessed. The request for 2014 would provide support for conducting assessments in U.S. history, civics, and geography, as well as a technology and engineering literacy assessment.

*Research in special education.*—Funds support research to address gaps in scientific knowledge in order to improve special education and early intervention services and results for infants, toddlers, and children with disabilities.

*Statewide data systems.*—Funds support competitive awards to States to foster the design, development, and implementation of longitudinal data systems. In 2014, funding would support systems that include early childhood, postsecondary, and workforce information, as well as awards to public and private agencies to improve data coordination, quality, and use at the local, State, and national levels. Funding also will support initiatives designed to improve data on whether students are prepared for postsecondary education and the workforce.

*Special education studies and evaluations.*—Funds support studies, evaluations, and assessments related to the implementation of the Individuals with Disabilities Education Act in order to improve special education and early intervention services and results for infants, toddlers, and children with disabilities.

**Object Classification** (in millions of dollars)

Identification code 91-1100-0-1-503	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	1	1	1
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	2	2	2
12.1	Civilian personnel benefits .....		1	1
25.1	Advisory and assistance services .....	21	30	30
25.2	Other services from non-Federal sources .....	217	251	238
25.5	Research and development contracts .....	102	123	94
25.7	Operation and maintenance of equipment .....	2	1	1
31.0	Equipment .....		2	2
41.0	Grants, subsidies, and contributions .....	256	253	303
99.0	Direct obligations .....	600	663	671
99.0	Reimbursable obligations .....	2	1	1
99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	603	665	673

**Employment Summary**

Identification code 91-1100-0-1-503	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	14	15	15

**DEPARTMENTAL MANAGEMENT**  
*Federal Funds*

PROGRAM ADMINISTRATION

*For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, \$463,450,000, of which \$2,000,000, to remain available until expended, shall be for relocation of, and renovation of buildings occupied by, Department staff.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

PROGRAM ADMINISTRATION—Continued

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 91-0800-0-1-503	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Contributions	1		
0400 Total: Balances and collections	1		
Appropriations:			
0500 Program Administration	-1		
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 91-0800-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Program administration	447	449	463
0801 Reimbursable program	4	4	4
0900 Total new obligations	451	453	467

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	11	10
1001 Discretionary unobligated balance brought fwd, Oct 1	3	11	
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	11	11	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	447	449	463
1130 Appropriations permanently reduced	-1		
1160 Appropriation, discretionary (total)	446	449	463
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1		
1260 Appropriations, mandatory (total)	1		
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	4	3	3
1900 Budget authority (total)	451	452	466
1930 Total budgetary resources available	462	463	476
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	10	9

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	139	132	137
3010 Obligations incurred, unexpired accounts	451	453	467
3011 Obligations incurred, expired accounts	2		
3020 Outlays (gross)	-445	-448	-459
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	132	137	145
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	138	131	136
3200 Obligated balance, end of year	131	136	144

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	450	452	466
Outlays, gross:			
4010 Outlays from new discretionary authority	353	356	364
4011 Outlays from discretionary balances	90	92	95
4020 Outlays, gross (total)	443	448	459
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-3	-3

Additional offsets against gross budget authority only:

4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4070 Budget authority, net (discretionary)	446	449	463
4080 Outlays, net (discretionary)	440	445	456
Mandatory:			
4090 Budget authority, gross	1		
Outlays, gross:			
4100 Outlays from new mandatory authority	1		
4101 Outlays from mandatory balances	1		
4110 Outlays, gross (total)	2		
4180 Budget authority, net (total)	447	449	463
4190 Outlays, net (total)	442	445	456

The Program Administration account includes the direct Federal costs of providing grants and administering elementary and secondary education; Indian education; English language acquisition; higher education; career, technical, and adult education; special education programs; and programs for persons with disabilities. It also supports assessment, statistics, and research activities.

In addition, this account includes the cost of providing centralized support and administrative services, overall policy development, and strategic planning for the Department. Included in the centralized activities are rent and mail services; telecommunications; contractual services; financial management and accounting, including payments to schools, education agencies and other grant recipients, and preparation of auditable financial statements; information technology services; personnel management; personnel security; budget formulation and execution; legal services; congressional and public relations; and intergovernmental affairs.

Included in this account is the Department of Education's cost to relocate staff and renovate buildings occupied by Department staff.

Also included in this account are contributions from the public. Activities supported include receptions for Blue Ribbon Schools and Historically Black Colleges and Universities. Contributions not designated for a specific purpose are in the account's Gifts and Bequests Miscellaneous Fund.

Reimbursable program.—Reimbursements to this account are for providing administrative services to other agencies.

Object Classification (in millions of dollars)

Identification code 91-0800-0-1-503	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	194	206	209
11.3 Other than full-time permanent	28	13	13
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	225	222	225
12.1 Civilian personnel benefits	63	65	66
13.0 Benefits for former personnel	3		
21.0 Travel and transportation of persons	5	4	4
23.1 Rental payments to GSA	41	43	44
23.3 Communications, utilities, and miscellaneous charges	2	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	3	3	3
25.2 Other services from non-Federal sources	19	23	25
25.3 Other goods and services from Federal sources	22	22	22
25.7 Operation and maintenance of equipment	60	62	67
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	2
32.0 Land and structures	1	1	2
99.0 Direct obligations	447	449	463
99.0 Reimbursable obligations	4	4	4
99.9 Total new obligations	451	453	467

**Employment Summary**

Identification code 91-0800-0-1-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,053	2,035	2,051

**OFFICE FOR CIVIL RIGHTS**

For expenses necessary for the Office for Civil Rights, as authorized by section 203 of the Department of Education Organization Act, \$107,500,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91-0700-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Civil rights .....	103	104	108
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	103	104	108
1160 Appropriation, discretionary (total) .....	103	104	108
1930 Total budgetary resources available .....	103	104	108
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	17	19
3010 Obligations incurred, unexpired accounts .....	103	104	108
3020 Outlays (gross) .....	-103	-102	-106
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	17	19	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	17	19
3200 Obligated balance, end of year .....	17	19	21
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	103	104	108
Outlays, gross:			
4010 Outlays from new discretionary authority .....	90	88	91
4011 Outlays from discretionary balances .....	13	14	15
4020 Outlays, gross (total) .....	103	102	106
4180 Budget authority, net (total) .....	103	104	108
4190 Outlays, net (total) .....	103	102	106

The Office for Civil Rights is responsible for ensuring that no person is unlawfully discriminated against on the basis of race, color, national origin, sex, disability, or age in the delivery of services or the provision of benefits in programs or activities of schools and institutions receiving financial assistance from the Department of Education. The authorities under which the Office for Civil Rights operates are Title VI of the Civil Rights Act of 1964 (racial and ethnic discrimination), Title IX of the Education Amendments of 1972 (sex discrimination), section 504 of the Rehabilitation Act of 1973 (discrimination against individuals with a disability), the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and the Boy Scouts of America Equal Access Act of 2002.

**Object Classification** (in millions of dollars)

Identification code 91-0700-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	56	59	58
11.3 Other than full-time permanent .....	3	3	4

11.5 Other personnel compensation .....	1	1	
11.9 Total personnel compensation .....	60	63	62
12.1 Civilian personnel benefits .....	17	18	18
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	8	8	9
25.2 Other services from non-Federal sources .....	1	2	2
25.3 Other goods and services from Federal sources .....	3	3	3
25.7 Operation and maintenance of equipment .....	13	9	13
99.9 Total new obligations .....	103	104	108

**Employment Summary**

Identification code 91-0700-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	582	598	598

**OFFICE OF INSPECTOR GENERAL**

For expenses necessary for the Office of the Inspector General, as authorized by section 212 of the Department of Education Organization Act, \$62,347,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 91-1400-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Inspector General .....	64	60	62
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	60	60	62
1160 Appropriation, discretionary (total) .....	60	60	62
1930 Total budgetary resources available .....	65	60	62
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	12	16
3010 Obligations incurred, unexpired accounts .....	64	60	62
3020 Outlays (gross) .....	-64	-56	-60
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	12	16	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	12	16
3200 Obligated balance, end of year .....	12	16	18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	60	60	62
Outlays, gross:			
4010 Outlays from new discretionary authority .....	49	47	49
4011 Outlays from discretionary balances .....	15	9	11
4020 Outlays, gross (total) .....	64	56	60
4180 Budget authority, net (total) .....	60	60	62
4190 Outlays, net (total) .....	64	56	60

The Inspector General is responsible for the quality, coverage, and coordination of audit and investigation functions relating to Federal education activities. The Inspector General has the authority to inquire into all activities of the Department, including those performed under Federal education contracts, grants, or other agreements. Under the Chief Financial Officers Act of 1990, the Inspector General is also responsible for internal reviews of

OFFICE OF INSPECTOR GENERAL—Continued  
the Department's financial systems and audits of its financial statements.

**Object Classification** (in millions of dollars)

Identification code 91-1400-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	28	28	29
11.3 Other than full-time permanent .....	2	1	1
11.5 Other personnel compensation .....	2		
11.9 Total personnel compensation .....	32	29	30
12.1 Civilian personnel benefits .....	11	10	11
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	5	5	6
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	4	4	4
25.3 Other goods and services from Federal sources .....	2	2	2
25.7 Operation and maintenance of equipment .....	6	5	5
31.0 Equipment .....	1	1	
32.0 Land and structures .....		1	1
99.0 Direct obligations .....	63	60	62
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	64	60	62

**Employment Summary**

Identification code 91-1400-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	298	269	277

**HURRICANE EDUCATION RECOVERY**

**Federal Funds**

HURRICANE EDUCATION RECOVERY

**Program and Financing** (in millions of dollars)

Identification code 91-0013-0-1-500	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	9	1
3020 Outlays (gross) .....	-15	-8	
3050 Unpaid obligations, end of year .....	9	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	24	9	1
3200 Obligated balance, end of year .....	9	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	15	8	
4190 Outlays, net (total) .....	15	8	

Amounts in this schedule reflect balances that are spending out from prior-year appropriations.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Offsetting receipts from the public:</b>			
91-143500 General Fund Proprietary Interest Receipts, not Classified .....	6	20	20
91-271810 Federal Family Education Loan Program, Negative Subsidies .....	262		
Legislative proposal, subject to PAYGO .....			3,657
91-271830 Federal Family Education Loan Program, Downward Reestimates of Subsidies .....	16,109	9,942	
91-274130 College Housing and Academic Facilities Loan, Downward Reestimates of Subsidies .....	20	198	

91-278110 Federal Direct Student Loan Program, Negative Subsidies .....	22,907	33,605	37,947
Legislative proposal, subject to PAYGO .....		-8,952	-12,543
91-278130 Federal Direct Student Loan Program, Downward Reestimates of Subsidies .....	1,351	11,426	
91-278310 Federal Perkins Loan, Negative Subsidies .....			673
91-279430 TEACH Grant Program, Downward Reestimates of Subsidies .....		17	
91-291500 Repayment of Loans, Capital Contributions, Higher Education Activities .....	25	25	25
91-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	2	47	47
General Fund Offsetting receipts from the public .....	40,682	46,328	29,826
<b>Intragovernmental payments:</b>			
91-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-69		
General Fund Intragovernmental payments .....	-69		

**GENERAL PROVISIONS**

*SEC. 301. No funds appropriated in this Act may be used for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to overcome racial imbalance in any school or school system, or for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to carry out a plan of racial desegregation of any school or school system.*

*SEC. 302. None of the funds contained in this Act shall be used to require, directly or indirectly, the transportation of any student to a school other than the school which is nearest the student's home, except for a student requiring special education, to the school offering such special education, in order to comply with title VI of the Civil Rights Act of 1964. For the purpose of this section an indirect requirement of transportation of students includes the transportation of students to carry out a plan involving the reorganization of the grade structure of schools, the pairing of schools, or the clustering of schools, or any combination of grade restructuring, pairing, or clustering. The prohibition described in this section does not include the establishment of magnet schools.*

*SEC. 303. No funds appropriated in this Act may be used to prevent the implementation of programs of voluntary prayer and meditation in the public schools.*

(TRANSFER OF FUNDS)

*SEC. 304. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the Department of Education in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.*

*SEC. 305. The Outlying Areas may consolidate funds received under this Act, pursuant to 48 U.S.C. 1469a, under part A of title V of the ESEA.*

*SEC. 306. Section 105(f)(1)(B)(ix) of the Compact of Free Association Amendments Act of 2003 (48 U.S.C. 1921d(f)(1)(B)(ix)) shall be applied by substituting "2014" for "2009".*

*SEC. 307. (a) The Secretary may reserve not more than 0.5 percent from each appropriation made available in this Act for the accounts identified in subsection (d), with the exception of the appropriation for subpart 1 of part A of title IV of the Higher Education Act of 1965, in order to carry out evaluations of any of the programs or activities that are funded under such accounts.*

(b) Any funds reserved under this section shall be available for obligation through September 30, 2015.

(c) Such funds shall be in addition to any other funds available to the Secretary for evaluation.

(d) The accounts referred to in subsection (a) are: "Accelerating Achievement and Ensuring Equity", "Impact Aid", "Education Improve-

ment Programs", "Supporting Student Success", "Indian Student Education", "Innovation and Instructional Teams", "English Learner Education", "Rehabilitation Services and Disability Research", "Career, Technical, and Adult Education", "Student Financial Assistance", "Student Aid Administration", and "Higher Education."



# DEPARTMENT OF ENERGY

## NATIONAL NUCLEAR SECURITY ADMINISTRATION

### Federal Funds

#### OFFICE OF THE ADMINISTRATOR

*For necessary expenses of the Office of the Administrator in the National Nuclear Security Administration, including official reception and representation expenses not to exceed \$12,000, \$397,784,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 89–0313–0–1–053	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Office of the Administrator .....	408	422	398
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	9	.....
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	7	9	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	410	413	398
1160 Appropriation, discretionary (total) .....	410	413	398
1930 Total budgetary resources available .....	417	422	398
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	108	92	93
3010 Obligations incurred, unexpired accounts .....	408	422	398
3020 Outlays (gross) .....	-422	-421	-411
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	92	93	80
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	108	92	93
3200 Obligated balance, end of year .....	92	93	80
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	410	413	398
Outlays, gross:			
4010 Outlays from new discretionary authority .....	339	341	328
4011 Outlays from discretionary balances .....	83	80	83
4020 Outlays, gross (total) .....	422	421	411
4180 Budget authority, net (total) .....	410	413	398
4190 Outlays, net (total) .....	422	421	411

*Office of the Administrator.*—This account provides the Federal salaries and other expenses of the National Nuclear Security Administration (NNSA) mission and mission support staff, including the Federal personnel for Defense Programs, Defense Nuclear Nonproliferation, Emergency Operations, Defense Nuclear Security, Acquisition and Project Management, the Office of the Chief Information Officer, Safety and Health, the Administrator's direct staff, and Federal employees at the Albuquerque Complex and site offices. The Office of the Administrator creates a well-managed, inclusive, responsive, and accountable organization through the strategic management of human capital and greater integration of budget and performance data. Program direction for Naval Reactors is within that program's account, and program direction for Secure Transportation Asset is within the Weapons Activities account.

#### Object Classification (in millions of dollars)

Identification code 89–0313–0–1–053	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	224	228	212
11.3 Other than full-time permanent .....	4	5	5
11.5 Other personnel compensation .....	6	9	12
11.8 Special personal services payments .....	1	2	2
11.9 Total personnel compensation .....	235	244	231
12.1 Civilian personnel benefits .....	62	62	63
21.0 Travel and transportation of persons .....	13	16	15
22.0 Transportation of things .....	1	1	.....
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	17	17	19
25.2 Other services from non-Federal sources .....	33	35	25
25.3 Other goods and services from Federal sources .....	32	34	29
25.4 Operation and maintenance of facilities .....	8	6	7
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	1	1	.....
32.0 Land and structures .....	.....	.....	2
41.0 Grants, subsidies, and contributions .....	.....	.....	1
99.9 Total new obligations .....	408	422	398

#### Employment Summary

Identification code 89–0313–0–1–053	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,886	1,817	1,817
2001 Reimbursable civilian full-time equivalent employment .....	2	.....	.....

#### NAVAL REACTORS

*For Department of Energy expenses necessary for naval reactors activities to carry out the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition (by purchase, condemnation, construction, or otherwise) of real property, plant, and capital equipment, facilities, and facility expansion, \$1,246,134,000, to remain available until expended: Provided, That \$44,404,000 shall be available until September 30, 2015 for program direction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 89–0314–0–1–053	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Naval reactors development .....	417	424	419
0020 Program Direction .....	40	45	44
0030 S8G prototype refueling .....	99	100	144
0040 Naval reactors operations and infrastructure .....	354	361	456
0050 Construction .....	40	8	70
0060 OHIO replacement reactor systems development .....	120	122	126
0900 Total new obligations .....	1,070	1,060	1,259
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	16	43
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	6	16	43
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,080	1,087	1,246
1160 Appropriation, discretionary (total) .....	1,080	1,087	1,246
1930 Total budgetary resources available .....	1,086	1,103	1,289
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	43	30

NAVAL REACTORS—Continued  
Program and Financing—Continued

Identification code 89-0314-0-1-053	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	269	311	183
3010 Obligations incurred, unexpired accounts .....	1,070	1,060	1,259
3020 Outlays (gross) .....	-1,027	-1,188	-1,199
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	311	183	243
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	269	311	183
3200 Obligated balance, end of year .....	311	183	243
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,080	1,087	1,246
Outlays, gross:			
4010 Outlays from new discretionary authority .....	790	924	1,059
4011 Outlays from discretionary balances .....	237	264	140
4020 Outlays, gross (total) .....	1,027	1,188	1,199
4180 Budget authority, net (total) .....	1,080	1,087	1,246
4190 Outlays, net (total) .....	1,027	1,188	1,199

*Naval Reactors.*—This account funds all naval nuclear propulsion work. It begins with reactor technology development and design, continues through reactor operation and maintenance, and ends with reactor plant disposal. The program ensures the safe and reliable operation of reactor plants in nuclear-powered submarines and aircraft carriers (constituting over 40 percent of the Navy's combatants), and fulfills the Navy's requirements for new nuclear propulsion plants that meet current and future national defense requirements.

Due to the crucial nature of nuclear reactor work, Naval Reactors is a centrally managed organization. Federal employees oversee and set policies/procedures for developing new reactor plants and operating existing nuclear plants and the facilities that support these plants.

Object Classification (in millions of dollars)

Identification code 89-0314-0-1-053	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	26	28	26
11.5 Other personnel compensation .....	1	1	.....
11.9 Total personnel compensation .....	27	29	26
12.1 Civilian personnel benefits .....	7	8	8
21.0 Travel and transportation of persons .....	2	2	2
25.2 Other services from non-Federal sources .....	5	5	2
25.3 Other goods and services from Federal sources .....	3	3	1
25.4 Operation and maintenance of facilities .....	922	918	1,132
26.0 Supplies and materials .....	1	1	.....
31.0 Equipment .....	25	16	16
32.0 Land and structures .....	77	77	71
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations .....	1,070	1,060	1,259

Employment Summary

Identification code 89-0314-0-1-053	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	231	238	238

WEAPONS ACTIVITIES

*For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for atomic energy defense weapons activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C.*

*7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion \$7,868,409,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89-0240-0-1-053	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0020 Directed stockpile work .....	1,854	2,099	2,429
0021 Science campaign .....	332	347	398
0022 Engineering campaign .....	141	149	150
0023 Inertial confinement fusion ignition and high yield campaign .....	474	455	401
0024 Advanced simulation and computing campaign .....	616	596	564
0025 Readiness campaign .....	130	129	198
0026 Readiness in technical base and facilities .....	1,892	2,201	122
0027 Secure transportation asset .....	228	218	219
0029 Nuclear programs .....	.....	.....	741
0091 Defense programs (DP), subtotal .....	5,667	6,194	5,222
0150 Nuclear counterterrorism incident response .....	220	246	.....
0160 Facilities and infrastructure recapitalization program .....	96	.....	.....
0170 Site stewardship .....	82	90	1,706
0180 Defense nuclear security .....	688	672	679
0181 Cyber security .....	132	134	.....
0182 NNSA CIO Activities .....	.....	.....	148
0183 Legacy contractor pensions .....	168	232	280
0184 National security applications .....	10	18	.....
0191 Non-DP activities, subtotal .....	1,396	1,392	2,813
0300 Subtotal, Weapons Activities .....	7,063	7,586	8,035
0799 Total direct obligations .....	7,063	7,586	8,035
0810 Reimbursable program .....	1,323	1,283	1,272
0900 Total new obligations .....	8,386	8,869	9,307

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26	211	169
1021 Recoveries of prior year unpaid obligations .....	41	.....	.....
1050 Unobligated balance (total) .....	67	211	169
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7,234	7,557	7,868
1130 Appropriations permanently reduced .....	-20	.....	.....
1160 Appropriation, discretionary (total) .....	7,214	7,557	7,868
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,354	1,385	1,385
1701 Change in uncollected payments, Federal sources .....	-38	-115	-115
1750 Spending auth from offsetting collections, disc (total) .....	1,316	1,270	1,270
1900 Budget authority (total) .....	8,530	8,827	9,138
1930 Total budgetary resources available .....	8,597	9,038	9,307
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	211	169	.....

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,275	4,951	5,271
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	-11	.....	.....
3010 Obligations incurred, unexpired accounts .....	8,386	8,869	9,307
3020 Outlays (gross) .....	-8,658	-8,549	-10,074
3040 Recoveries of prior year unpaid obligations, unexpired .....	-41	.....	.....
3050 Unpaid obligations, end of year .....	4,951	5,271	4,504
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2,017	-1,979	-1,864
3070 Change in uncollected pymts, Fed sources, unexpired .....	38	115	115
3090 Uncollected pymts, Fed sources, end of year .....	-1,979	-1,864	-1,749
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,247	2,972	3,407
3200 Obligated balance, end of year .....	2,972	3,407	2,755

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	8,530	8,827	9,138

Outlays, gross:				
4010	Outlays from new discretionary authority .....	4,813	5,739	5,940
4011	Outlays from discretionary balances .....	3,845	2,810	4,134
4020	Outlays, gross (total) .....	8,658	8,549	10,074
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1,253	-1,280	-1,280
4033	Non-Federal sources .....	-101	-105	-105
4040	Offsets against gross budget authority and outlays (total) ....	-1,354	-1,385	-1,385
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	38	115	115
4070	Budget authority, net (discretionary) .....	7,214	7,557	7,868
4080	Outlays, net (discretionary) .....	7,304	7,164	8,689
4180	Budget authority, net (total) .....	7,214	7,557	7,868
4190	Outlays, net (total) .....	7,304	7,164	8,689

Programs funded within the Weapons Activities appropriation support the nation's current and future defense posture, and its attendant nationwide infrastructure of science, technology and engineering capabilities. Weapons Activities provides for the maintenance and refurbishment of nuclear weapons to sustain confidence in their safety, reliability, and performance; expansion of scientific, engineering, and manufacturing capabilities to enable certification of the enduring nuclear weapons stockpile; and manufacture of nuclear weapon components. Weapons Activities also provides for continued maintenance and investment in the NNSA nuclear complex to be more responsive and cost effective. The major elements of the program include the following:

**Directed Stockpile Work.**—Encompasses all activities that directly support the nuclear weapons stockpile. These activities include: maintenance and surveillance; planned refurbishment; reliability assessment; weapon dismantlement and disposal; and research, development, and certification technology efforts to meet stockpile requirements.

**Campaigns.**—Focuses on scientific, technical, and engineering efforts to develop and maintain critical capabilities, tools, and processes needed to support science based stockpile stewardship, refurbishment, and continued certification of the stockpile over the long-term in the absence of underground nuclear testing.

**Nuclear Programs.**—Focuses on the strategic management of Defense Programs Special Nuclear Materials inventories, recovery and processing technologies, storage, and sustainment of essential Weapons Activities through capability investment.

**Secure Transportation Asset.**—Provides for the safe, secure movement of nuclear weapons, special nuclear material, and weapon components to meet projected DOE, Department of Defense (DOD), and other customer requirements. The Program Direction in this account provides for the secure transportation workforce, including the Federal agents.

**Site Stewardship.**—Ensures the overall health and viability of necessary site-wide infrastructure to support NNSA, DOE, and other national missions, with a focus on maintaining environmental compliance, achieving energy efficiency, dispositioning nuclear materials, and establishing a new standardized corporate project management enterprise, while efficiently providing common and shared services for the various program customers and maximizing the overall availability and utility of the NNSA nuclear complex for all programmatic objectives.

**Defense Nuclear Security.**—Provides protection for NNSA personnel, facilities, and nuclear weapons from a full spectrum of threats, most notably terrorism. Provides for all safeguards and security requirements including protective forces and systems at all NNSA sites.

**NNSA Chief Information Officer Activities.**—Provides for research and development of information technology and cyber security solutions such as identity, credential, and access manage-

ment to help meet energy security, proliferation resistance, and climate goals.

NNSA's request reflects the partnership between NNSA and the DOD to maintain and modernize the nuclear deterrent. The DOD's NNSA Program Support account has the amounts for Weapons Activities that are shown in the table below, underscoring the close link between these activities and DOD nuclear weapons-related requirements and missions. The OMB will ensure that future budget year allocations to NNSA occur in the required amounts. Total Weapons Activities funding for each year will thereby equal the amounts projected in the table below with the amounts above.

**Department of Defense Support for Weapons Activities**

	(in millions)	
	Future Funds from DOD	Weapons Activities Total Including DOD Funds
FY 2014 .....	0	7,868
FY 2015 .....	948	8,550
FY 2016 .....	1,130	8,785
FY 2017 .....	1,133	8,933
FY 2018 .....	1,271	9,293

OMB will ensure that the following additional allocations from DOD occur as planned for Naval Reactors: FY 2015, \$249 million; FY 2016, \$314 million; FY 2017, \$470 million; and FY 2018, \$393 million.

**Object Classification (in millions of dollars)**

Identification code 89-0240-0-1-053	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	44	44	47
11.5	Other personnel compensation .....	13	14	14
11.9	Total personnel compensation .....	57	58	61
12.1	Civilian personnel benefits .....	21	21	21
13.0	Benefits for former personnel .....	5	2	1
21.0	Travel and transportation of persons .....	6	5	5
23.1	Rental payments to GSA .....	1	1	.....
23.3	Communications, utilities, and miscellaneous charges .....	5	2	2
25.1	Advisory and assistance services .....	123	45	45
25.2	Other services from non-Federal sources .....	389	327	270
25.3	Other goods and services from Federal sources .....	8	12	12
25.4	Operation and maintenance of facilities .....	5,749	6,205	6,716
25.5	Research and development contracts .....	102	80	80
25.7	Operation and maintenance of equipment .....	.....	10	10
26.0	Supplies and materials .....	8	11	11
31.0	Equipment .....	78	296	296
32.0	Land and structures .....	472	457	450
41.0	Grants, subsidies, and contributions .....	44	55	55
99.0	Direct obligations .....	7,063	7,586	8,035
99.0	Reimbursable obligations .....	1,323	1,283	1,272
99.9	Total new obligations .....	8,386	8,869	9,307

**Employment Summary**

Identification code 89-0240-0-1-053	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	547	547	562

**DEFENSE NUCLEAR NONPROLIFERATION**

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for defense nuclear nonproliferation activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real

DEFENSE NUCLEAR NONPROLIFERATION—Continued

property or any facility or for plant or facility acquisition, construction, or expansion, \$2,140,142,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89–0309–0–1–053	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Defense nuclear nonproliferation research and development (formerly nonproliferation and verification research and development) .....	348	448	389
0020 Elimination of weapons-grade plutonium production .....	1		
0030 Nonproliferation and international security .....	153	152	142
0040 International material protection and cooperation (formerly international nuclear materials protection and cooperation) .....	577	561	370
0050 U.S. surplus fissile materials disposition .....	667	708	503
0070 Russian surplus fissile materials disposition .....	1	2	
0080 Global threat reduction initiative .....	499	492	425
0081 Nuclear counterterrorism incident response .....			181
0082 Counterterrorism and counterproliferation programs .....			75
0085 Legacy contractor pensions .....	56	69	94
0100 Subtotal, obligations by program activity .....	2,302	2,432	2,179
0799 Total direct obligations .....	2,302	2,432	2,179
0801 INMP&C international contributions .....	6		
0802 GTRI international contribution .....	5	1	
0899 Total reimbursable obligations .....	11	1	
0900 Total new obligations .....	2,313	2,433	2,179
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	25	61	39
1021 Recoveries of prior year unpaid obligations .....	48		
1050 Unobligated balance (total) .....	73	61	39
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,324	2,431	2,140
1120 Appropriations transferred to other accts [89–0222] .....	–6		
1130 Appropriations permanently reduced .....	–7		
1131 Unobligated balance of appropriations permanently reduced .....	–21	–21	
1160 Appropriation, discretionary (total) .....	2,290	2,410	2,140
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	1	
1750 Spending auth from offsetting collections, disc (total) .....	11	1	
1900 Budget authority (total) .....	2,301	2,411	2,140
1930 Total budgetary resources available .....	2,374	2,472	2,179
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	61	39	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,090	1,830	1,919
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	–1		
3010 Obligations incurred, unexpired accounts .....	2,313	2,433	2,179
3020 Outlays (gross) .....	–2,524	–2,344	–2,448
3040 Recoveries of prior year unpaid obligations, unexpired .....	–48		
3050 Unpaid obligations, end of year .....	1,830	1,919	1,650
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,089	1,830	1,919
3200 Obligated balance, end of year .....	1,830	1,919	1,650
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,301	2,411	2,140
Outlays, gross:			
4010 Outlays from new discretionary authority .....	825	1,326	1,177
4011 Outlays from discretionary balances .....	1,699	1,018	1,271
4020 Outlays, gross (total) .....	2,524	2,344	2,448
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	–11	–1	

4180 Budget authority, net (total) .....	2,290	2,410	2,140
4190 Outlays, net (total) .....	2,513	2,343	2,448

Programs funded within the Defense Nuclear Nonproliferation appropriation account support the mission to: 1) prevent the spread of materials, technology, and expertise relating to weapons of mass destruction (WMD); 2) advance the technologies to detect the proliferation of WMD worldwide; 3) eliminate or secure inventories of surplus materials and infrastructure usable for nuclear weapons; and 4) respond to nuclear or radiological incidents worldwide. The programs address the danger that hostile nations or terrorist groups may acquire WMD or weapons-usable material, dual-use production technology, or WMD expertise. The major elements of the appropriation account include the following:

*Defense Nuclear Nonproliferation Research and Development (DNN R&D).*—Formerly Nonproliferation and Verification Research and Development, this program drives the innovation of unilateral and multi-lateral technical capabilities to detect, identify, and characterize: 1) foreign nuclear weapons programs, 2) illicit diversion of special nuclear materials, and 3) nuclear detonations. DNN R&D changed its name to reflect a stronger alignment with its mission space as expressed in both the National Nuclear Security Administration and Department of Energy strategic plans and to communicate the scope of its R&D activities more clearly. To meet national and departmental nuclear security requirements, DNN R&D leverages the unique facilities and scientific skills of the Department of Energy, academia, and industry for the performance of research, conduct of technology demonstrations, and development of prototypes for integration into operational systems.

*Nonproliferation and International Security (NIS).*—The NIS mission is to prevent and counter the proliferation of WMD, including materials, technologies, and expertise, by states and non-state actors. The program provides policy and technical support for nonproliferation and associated treaties and agreements, domestic and international legal and regulatory controls, and diplomatic and counter-proliferation initiatives, and it cooperates with international organizations and foreign partners on export controls, safeguards, and security. The program makes vital contributions to strengthen international security and the nuclear nonproliferation regime in four main areas: (1) Nuclear Safeguards and Security, (2) Nuclear Controls, (3) Nuclear Verification, and (4) Nonproliferation Policy. The NIS program safeguards nuclear material to ensure it is not diverted for non-peaceful uses; controls the spread of WMD material, technology, and expertise; and verifies nuclear reductions and programs.

*International Materials Protection and Cooperation (IMPC).*—The IMPC program supports one of the President's top priorities to lead a global effort to secure all nuclear weapons materials at vulnerable sites within four years—the most effective way to prevent terrorists from acquiring a nuclear bomb. The IMPC program prevents nuclear terrorism by working in Russia and other regions of concern to: 1) secure and eliminate vulnerable nuclear weapons and weapons exploitable materials, and 2) install and sustain detection equipment at international crossing points and ports to prevent and detect the illicit transfer of nuclear material. The program continues to improve the security of nuclear material and nuclear warheads in Russia and other countries of proliferation concern by installing Material, Protection, Control and Accounting (MPC&A) upgrades and providing sustainability support to sites with previously installed MPC&A upgrades. Reducing the potential for diversion of nuclear warheads and nuclear materials has been a critical priority for the United States. The United States, through DOE/NNSA's Second Line of

Defense program, will continue to work with international partners to prevent nuclear smuggling through border crossings, airports, seaports, and within borders.

**Fissile Materials Disposition (FMD).**—The program goal is to dispose of surplus Russian weapon-grade plutonium and surplus U.S. weapon-grade plutonium and highly enriched uranium. To dispose of U.S. plutonium, the program has been building the Mixed Oxide (MOX) Fuel Fabrication Facility, which would enable the Department of Energy to dispose of plutonium by converting it into MOX fuel and burning it in commercial nuclear reactors. This approach may be unaffordable, though, due to cost growth and fiscal pressure. While the Administration will assess the feasibility of alternative plutonium disposition strategies, resulting in a slowdown of MOX Fuel Fabrication Facility construction in 2014, it is nonetheless committed to the overarching goals of the plutonium disposition program to: 1) dispose of excess U.S. plutonium; and 2) achieve Russian disposition of equal quantities of plutonium. The Administration recognizes the importance of the U.S.-Russia Plutonium Management and Disposition Agreement (PMDA), whereby each side committed to dispose of at least 34 metric tons of weapon-grade plutonium.

**Global Threat Reduction Initiative (GTRI).**—The GTRI mission is to reduce and protect vulnerable nuclear and radiological materials located at civilian sites worldwide. The GTRI program directly supports the international effort to secure all vulnerable nuclear material around the world within four years. GTRI supports DOE's Strategic Plan Goal to Reduce Global Nuclear Dangers by preventing terrorists from acquiring nuclear and radiological materials that could be used in WMD or acts of terrorism by: 1) Converting research reactors and isotope production facilities from the use of highly enriched uranium to low enriched uranium, 2) Removing and disposing of excess nuclear and radiological materials from theft and sabotage. These three key aspects of GTRI—convert, remove, and protect—together provide a comprehensive approach to achieving its mission and denying terrorists access to nuclear and radiological materials.

**Nuclear Counterterrorism Incident Response (NCTIR).**—Strategically manages people with specialized expertise and equipment to provide a technically trained response to nuclear or radiological incidents worldwide, mitigates nuclear or radiological threats through research and development, and provides inter-agency training and support to the Nation from the threat of nuclear terrorism.

**Counterterrorism and Counterproliferation Programs (CTCP).**—Advances the U.S. Government counterterrorism and counterproliferation goals through innovative science, technology, and policy-driven solutions. The CTCP programs consolidate the Nuclear Counterterrorism subprogram from the NCTIR program and the National Security Applications program into an integrated program of technical work that materially contributes to the Department of Energy's goal of enhancing nuclear security through preventing nuclear terrorism.

**Object Classification** (in millions of dollars)

Identification code 89-0309-0-1-053	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
23.3 Communications, utilities, and miscellaneous charges .....		1	1
25.1 Advisory and assistance services .....	129	129	140
25.2 Other services from non-Federal sources .....	185	198	115
25.3 Other goods and services from Federal sources .....	4	4	6
25.4 Operation and maintenance of facilities .....	1,457	1,573	1,376
25.5 Research and development contracts .....	13	13	151
31.0 Equipment .....	38	38	70
32.0 Land and structures .....	463	463	300
41.0 Grants, subsidies, and contributions .....	13	13	20

99.0	Direct obligations .....	2,302	2,432	2,179
99.0	Reimbursable obligations .....	11	1	
99.9	<b>Total new obligations .....</b>	<b>2,313</b>	<b>2,433</b>	<b>2,179</b>

**CERRO GRANDE FIRE ACTIVITIES**

**Program and Financing** (in millions of dollars)

Identification code 89-0312-0-1-053	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	3
1021	Recoveries of prior year unpaid obligations .....		2	
1050	Unobligated balance (total) .....	1	3	3
1930	Total budgetary resources available .....	1	3	3
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	3	3
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		13	
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	13		
3020	Outlays (gross) .....		-11	
3040	Recoveries of prior year unpaid obligations, unexpired .....		-2	
3050	Unpaid obligations, end of year .....	13		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	13	13	
3200	Obligated balance, end of year .....	13		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....		11	
4190	Outlays, net (total) .....		11	

**Cerro Grande Fire Activities.**—Emergency funding was provided in 2000 and 2001 for restoration activities at the Los Alamos National Laboratory in New Mexico after the Cerro Grande Fire in May 2000.

**ENVIRONMENTAL AND OTHER DEFENSE ACTIVITIES**

**Federal Funds**

**DEFENSE ENVIRONMENTAL CLEANUP**

*For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for atomic energy defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and the purchase of not to exceed one sport utility vehicle, three lube trucks, and one fire truck for replacement only, \$4,853,909,000, to remain available until expended: Provided, That \$280,784,000 shall be available until September 30, 2015 for program direction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 89-0251-0-1-053	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Closure Sites .....	5	5	5
0002	Hanford Site .....	949	956	922
0003	River Protection - Tank Farm .....	442	445	521
0004	River Protection - Waste Treatment Plant .....	740	744	690
0005	Idaho .....	384	388	362

DEFENSE ENVIRONMENTAL CLEANUP—Continued  
Program and Financing—Continued

Identification code 89-0251-0-1-053		2012 actual	2013 CR	2014 est.
0006	NNSA Sites .....	281	286	286
0007	Oak Ridge .....	198	200	194
0008	Savannah River .....	1,135	1,253	1,088
0009	Waste Isolation Pilot Plant .....	213	215	204
0010	Program Support .....	19	24	18
0011	Safeguards & Security .....	251	253	235
0012	Technology Development & Demonstration .....	10	13	24
0013	Program Direction .....	320	330	281
0016	SPRU .....			24
0799	Total direct obligations .....	4,947	5,112	4,854
0801	Reimbursable program activity .....			1
0900	Total new obligations .....	4,947	5,112	4,855
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	19	80	15
1021	Recoveries of prior year unpaid obligations .....	5	12	12
1050	Unobligated balance (total) .....	24	92	27
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	5,023	5,034	4,854
1120	Appropriations transferred to other accts [89-0222] .....	-1		
1130	Appropriations permanently reduced .....	-20		
1160	Appropriation, discretionary (total) .....	5,002	5,034	4,854
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	1	1
1750	Spending auth from offsetting collections, disc (total) .....	1	1	1
1900	Budget authority (total) .....	5,003	5,035	4,855
1930	Total budgetary resources available .....	5,027	5,127	4,882
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	80	15	27
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,633	1,891	1,850
3010	Obligations incurred, unexpired accounts .....	4,947	5,112	4,855
3020	Outlays (gross) .....	-5,683	-5,141	-4,922
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5	-12	-12
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	1,891	1,850	1,771
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,633	1,891	1,850
3200	Obligated balance, end of year .....	1,891	1,850	1,771
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5,003	5,035	4,855
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3,434	3,525	3,399
4011	Outlays from discretionary balances .....	2,249	1,616	1,523
4020	Outlays, gross (total) .....	5,683	5,141	4,922
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-1	-1	-1
4180	Budget authority, net (total) .....	5,002	5,034	4,854
4190	Outlays, net (total) .....	5,682	5,140	4,921

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	5,002	5,034	4,854
Outlays .....	5,682	5,140	4,921
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			463
Outlays .....			463
Total:			
Budget Authority .....	5,002	5,034	5,317
Outlays .....	5,682	5,140	5,384

The Defense Environmental Cleanup program is responsible for identifying and reducing risks and managing waste at sites where the Department carried out defense-related nuclear re-

search and production activities that resulted in radioactive, hazardous, and mixed waste contamination requiring remediation, stabilization, or some other type of cleanup action. The budget displays the cleanup program by site.

**Closure Sites.**—Funds post-closure administration costs after physical completion.

**Hanford Site.**—Funds the Hanford site cleanup and environmental restoration to protect the Columbia River. The Hanford site cleanup is managed by two Environmental Management (EM) site offices: the Richland Operations Office and the Office of River Protection.

The Richland Office is responsible for cleanup of most of the geographic area on the Hanford site. The Richland site projects are displayed in three separate control points (Central Plateau Remediation, River Corridor and Other Cleanup Operations, and Richland Community and Regulatory Support). The primary cleanup focus is the safe storage, treatment and disposal of Hanford's legacy wastes and environmental restoration. Risk to the public, workers, and the environment will be reduced by removing contamination before it migrates to the Columbia River.

The Office of River Protection on the Hanford site is responsible for the storage, retrieval, treatment, immobilization, and disposal of tank waste and the operation, maintenance, engineering, and construction activities in the 200 Area Tank Farms. Its budget has two components, the operation and maintenance of radioactive liquid waste tank farms and construction of the Waste Treatment and Immobilization Plant.

**Idaho.**—Funds the Idaho Cleanup Project, which is aimed at reducing the risk of contamination reaching the Snake River Plain Aquifer from nuclear and hazardous waste buried or stored on-site. It also funds efforts to eliminate infrastructure costs by conducting cleanup operations to reduce the site "footprint"; and treat and dispose of the sodium bearing tank wastes, close tank farms, perform initial tank soils remediation work. The Idaho projects are displayed in two separate control points (Idaho Cleanup and Waste Disposition and Idaho Community and Regulatory Support).

**NNSA Sites.**—Funds the safe and efficient cleanup of the environmental legacy at National Nuclear Security Administration (NNSA) sites including Los Alamos National Laboratory, Nevada National Security Site, Sandia, Lawrence Livermore National Laboratory, and the Separations Process Research Unit. The cleanup strategy is a risk-informed approach that focuses first on those contaminant plumes and sources that are the greatest contributors to risk. The overall goal is first to ensure that risks to the public and workers are controlled, then to clean up soil and groundwater using a risk-informed methodology. NNSA is responsible for long-term stewardship of its sites after physical cleanup is completed.

**Oak Ridge.**—Funds defense-related cleanup of the three facilities that make up the Oak Ridge Reservation: the East Tennessee Technology Park, the Oak Ridge National Laboratory, and the Y-12 Plant. The overall cleanup strategy is based on surface water considerations, encompassing five distinct watersheds that feed the Clinch River. Cleanup actions will contain that waste; improve on-site surface water quality to meet required standards; and protect off-site users of the Clinch River. The Oak Ridge projects are displayed in three separate control points (Oak Ridge Cleanup and Disposition, Oak Ridge Nuclear Facility D&D, and Oak Ridge Community and Regulatory Support).

**Savannah River Site.**—Funds the safe stabilization, treatment, and disposition of legacy nuclear materials, spent nuclear fuel, and waste at the Savannah River site. The Savannah River projects are displayed in three separate control points (Radioactive

Liquid Waste Stabilization and Disposition, Site Risk Management Operations, and Savannah River Community and Regulatory Support). The Savannah River cleanup strategy has four primary objectives: 1) eliminate the highest risks first through safe stabilization, treatment, and disposition of EM-owned nuclear materials, spent nuclear fuel, and waste; 2) significantly reduce costs of continuing operations and surveillance and maintenance; 3) decommission all EM-owned facilities; and 4) remediate groundwater and contaminated soils, using an area closure approach.

**Waste Isolation Pilot Plant.**—Funds the world's first permitted deep geologic repository for the permanent disposal of radioactive waste, and the Nation's only disposal site for defense-generated transuranic waste. The Waste Isolation Pilot Plant, managed by the Carlsbad Field Office, is an operating facility, supporting the cleanup of transuranic waste from waste generator and storage sites. The Waste Isolation Pilot Plant is crucial to the Department of Energy (DOE) completing its cleanup and closure mission.

**Program Direction.**—Funds the Federal workforce responsible for the overall direction and administrative support of the EM program, including both Headquarters and field personnel.

**Program Support.**—Funds Headquarters policy and oversight activities including management and direction for various crosscutting EM and DOE initiatives; establishment and implementation of national and departmental policy; and analyses and integration activities across the DOE complex in a consistent, responsible, and efficient manner.

**Safeguards and Security.**—Funds activities to protect against unauthorized access, theft, diversion, loss of custody or destruction of DOE assets and hostile acts that may cause adverse impacts on fundamental national security or the health and safety of DOE and contractor employees, the public or the environment.

**Technology Development and Deployment.**—Funds projects to address the immediate, near- and long-term technology needs identified by the EM sites, enabling them to accelerate their cleanup schedules, treat orphaned wastes, improve worker safety, and provide technical foundations for the sites' end state visions. Specific focus is to mature and deploy the necessary technologies to accelerate tank waste processing, treatment, and waste loading.

**Object Classification** (in millions of dollars)

Identification code 89-0251-0-1-053	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	169	175	171
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	2	2	7
11.9 Total personnel compensation .....	173	179	180
12.1 Civilian personnel benefits .....	48	50	45
13.0 Benefits for former personnel .....	2	2	1
21.0 Travel and transportation of persons .....	6	6	7
22.0 Transportation of things .....			1
23.1 Rental payments to GSA .....	9	9	10
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	4
25.1 Advisory and assistance services .....	96	99	117
25.2 Other services from non-Federal sources .....	1,661	1,717	1,505
25.3 Other goods and services from Federal sources .....	42	43	47
25.4 Operation and maintenance of facilities .....	1,921	1,985	1,860
25.5 Research and development contracts .....	3	3	3
25.6 Medical care .....	2	2	
26.0 Supplies and materials .....	3	3	2
31.0 Equipment .....	10	10	8
32.0 Land and structures .....	911	942	972
41.0 Grants, subsidies, and contributions .....	56	58	91
99.0 Direct obligations .....	4,946	5,111	4,854
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	4,947	5,112	4,855

**Employment Summary**

Identification code 89-0251-0-1-053	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,526	1,435	1,398

**DEFENSE ENVIRONMENTAL CLEANUP**

(Legislative proposal, not subject to PAYGO)

*Contingent upon the enactment of legislation reauthorizing the Uranium Enrichment Decontamination and Decommissioning Fund, \$463,000,000, which shall be transferred to the "Uranium Enrichment Decontamination and Decommissioning Fund".*

**Program and Financing** (in millions of dollars)

Identification code 89-0251-2-1-053	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0014 UE D&D Fund Contribution .....			463
0900 Total new obligations (object class 41.0) .....			463
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			463
1160 Appropriation, discretionary (total) .....			463
1900 Budget authority (total) .....			463
1930 Total budgetary resources available .....			463
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			463
3020 Outlays (gross) .....			-463
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			463
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			463
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4180 Budget authority, net (total) .....			463
4190 Outlays, net (total) .....			463

**OTHER DEFENSE ACTIVITIES**

*For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses, necessary for atomic energy defense, other defense activities, and classified activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, \$749,080,000, to remain available until expended: Provided, That \$127,035,000 shall be available until September 30, 2015, for program direction.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 89-0243-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Health, safety and security .....	254	256	252
0015 Specialized security activities .....	193	190	196
0020 Legacy management .....	173	174	177
0030 Defense related administrative support .....	124	121	119
0050 Defense activities at INL .....	93	94	
0060 Hearings and Appeals .....	4	5	5
0100 Subtotal, Direct program activities .....	841	840	749
0799 Total direct obligations .....	841	840	749

OTHER DEFENSE ACTIVITIES—Continued  
Program and Financing—Continued

Identification code 89-0243-0-1-999	2012 actual	2013 CR	2014 est.
0810 Reimbursable program .....	1,687	1,749	1,700
0819 Reimbursable program activities, subtotal .....	1,687	1,749	1,700
0900 Total new obligations .....	2,528	2,589	2,449
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	25	16	.....
1021 Recoveries of prior year unpaid obligations .....	4	.....	.....
1050 Unobligated balance (total) .....	29	16	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	823	828	749
1160 Appropriation, discretionary (total) .....	823	828	749
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,745	1,745	1,745
1701 Change in uncollected payments, Federal sources .....	-52	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1,693	1,745	1,745
1900 Budget authority (total) .....	2,516	2,573	2,494
1930 Total budgetary resources available .....	2,545	2,589	2,494
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	16	.....	45
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,623	1,612	1,586
3010 Obligations incurred, unexpired accounts .....	2,528	2,589	2,449
3020 Outlays (gross) .....	-2,535	-2,615	-2,633
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	1,612	1,586	1,402
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,436	-1,384	-1,384
3070 Change in uncollected pymts, Fed sources, unexpired .....	52	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1,384	-1,384	-1,384
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	187	228	202
3200 Obligated balance, end of year .....	228	202	18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,516	2,573	2,494
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,278	1,411	1,360
4011 Outlays from discretionary balances .....	1,257	1,204	1,273
4020 Outlays, gross (total) .....	2,535	2,615	2,633
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,696	-1,696	-1,696
4033 Non-Federal sources .....	-49	-49	-49
4040 Offsets against gross budget authority and outlays (total) ....	-1,745	-1,745	-1,745
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	52	.....	.....
4070 Budget authority, net (discretionary) .....	823	828	749
4080 Outlays, net (discretionary) .....	790	870	888
4180 Budget authority, net (total) .....	823	828	749
4190 Outlays, net (total) .....	790	870	888

**Health, Safety and Security.**—The Office of Health, Safety and Security (HSS) supports the Secretary's mission-related objectives by strengthening the Department's health, safety, environment, and security programs to enhance productivity while maintaining the highest standards of safe operation, protection of national assets, and environmental sustainability. HSS functions include: policy and guidance development and technical assistance; analysis of health, safety, environment, and security performance; nuclear safety; domestic and international health studies; medical screening programs for former workers; Energy Employee Occupational Illness Compensation Program Act support; quality assurance programs; safety and security professional development

and training; interface with the Defense Nuclear Facilities Safety Board; national security information programs; security for the Department's facilities and personnel in the National Capital Area; independent oversight of security, cyber security, emergency management, environment, safety, and health performance; and worker safety, nuclear safety, and classified information security enforcement programs.

**Office of Specialized Security Activities.**—The program supports national security related analyses requiring highly specialized skills and capabilities.

**Office of Legacy Management.**—The program supports long-term stewardship activities (e.g., groundwater monitoring, disposal cell maintenance, records management, and management of natural resources) at 92 sites where active remediation has been completed. In addition, Legacy Management funds the pensions and/or post-retirement benefits for 11,000 former contractor employees.

**Office of Hearings and Appeals.**—The Office of Hearings and Appeals adjudicates personnel security cases, as well as whistleblower reprisal complaints filed by DOE contractor employees. The office is the appeal authority in various other areas, including Freedom of Information Act and Privacy Act appeals. In addition, the office decides requests for exception from DOE orders, rules, regulations, and is responsible for the DOE's alternative dispute resolution function.

**All Other.**—Obligations are included for defense-related administrative support. Idaho site-wide safeguards and security activities are requested in the Nuclear Energy account for 2014.

Object Classification (in millions of dollars)

Identification code 89-0243-0-1-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	106	99	97
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	3	3	4
11.9 Total personnel compensation .....	110	103	102
12.1 Civilian personnel benefits .....	29	26	23
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	5	5	5
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	4	1	1
25.1 Advisory and assistance services .....	44	70	72
25.2 Other services from non-Federal sources .....	305	301	285
25.3 Other goods and services from Federal sources .....	40	22	22
25.4 Operation and maintenance of facilities .....	284	294	221
26.0 Supplies and materials .....	1	4	4
31.0 Equipment .....	11	5	5
32.0 Land and structures .....	2	3	3
41.0 Grants, subsidies, and contributions .....	3	3	3
99.0 Direct obligations .....	841	840	749
99.0 Reimbursable obligations .....	1,687	1,749	1,700
99.9 Total new obligations .....	2,528	2,589	2,449

Employment Summary

Identification code 89-0243-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	768	748	864
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

DEFENSE NUCLEAR WASTE DISPOSAL

Program and Financing (in millions of dollars)

Identification code 89-0244-0-1-053	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Repository Program	1		
0900 Total new obligations (object class 99.5)	1		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	9	9
1930 Total budgetary resources available	10	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	31	20	1
3010 Obligations incurred, unexpired accounts	1		
3020 Outlays (gross)	-12	-19	-1
3050 Unpaid obligations, end of year	20	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	31	20	1
3200 Obligated balance, end of year	20	1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	12	19	1
4190 Outlays, net (total)	12	19	1

In FY 2010, the Department closed the Yucca Mountain Project and the Office of Civilian Radioactive Waste Management (RW). Related activities that were performed by RW are now being performed elsewhere in the Department.

ENERGY PROGRAMS

Federal Funds

SCIENCE

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for science activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or facility or for plant or facility acquisition, construction, or expansion, and purchase of not more than 25 passenger motor vehicles for replacement only, including one law enforcement vehicle, one ambulance, and one bus, \$5,152,752,000, to remain available until expended: Provided, That \$193,300,000 shall be available until September 30, 2015 for program direction.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89-0222-0-1-251	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Basic Energy Sciences	1,631	1,722	1,862
0002 Advanced Scientific Computing Research	429	445	466
0003 Biological and Environmental Research	593	616	625
0004 High Energy Physics	770	796	777
0005 Nuclear Physics	536	551	570
0006 Fusion Energy Sciences	394	404	458
0007 Science Laboratories Infrastructure	114	113	98
0008 Science Program Direction	186	192	193
0009 Workforce Development for Teachers and Scientists	19	19	17
0010 Safeguards and Security	81	81	87
0011 Small Business Innovation Research	161	2	
0012 Small Business Technology Transfer	23		
0799 Total direct obligations	4,937	4,941	5,153

0801 Reimbursable program	564	599	610
0900 Total new obligations	5,501	5,540	5,763

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	43	6
1011 Unobligated balance transfer from other accts [89-0319]	2		
1020 Adjustment of unobligated bal brought forward, Oct 1	-2		
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	50	43	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,889	4,904	5,153
1121 Appropriations transferred from other accts [89-0213]	10		
1121 Appropriations transferred from other accts [89-0321]	29		
1121 Appropriations transferred from other accts [89-0251]	1		
1121 Appropriations transferred from other accts [89-0309]	6		
1121 Appropriations transferred from other accts [89-0318]	3		
1121 Appropriations transferred from other accts [89-0319]	11		
1130 Appropriations permanently reduced	-15		
1160 Appropriation, discretionary (total)	4,934	4,904	5,153
Spending authority from offsetting collections, discretionary:			
1700 Collected	583	599	610
1701 Change in uncollected payments, Federal sources	-23		
1750 Spending auth from offsetting collections, disc (total)	560	599	610
1900 Budget authority (total)	5,494	5,503	5,763
1930 Total budgetary resources available	5,544	5,546	5,769
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	43	6	6

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,891	4,543	4,449
3001 Adjustments to unpaid obligations, brought forward, Oct 1	-1		
3010 Obligations incurred, unexpired accounts	5,501	5,540	5,763
3020 Outlays (gross)	-5,835	-5,634	-5,958
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	4,543	4,449	4,254
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-473	-450	-450
3070 Change in uncollected pymts, Fed sources, unexpired	23		
3090 Uncollected pymts, Fed sources, end of year	-450	-450	-450
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,417	4,093	3,999
3200 Obligated balance, end of year	4,093	3,999	3,804

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	5,494	5,503	5,763
Outlays, gross:			
4010 Outlays from new discretionary authority	1,992	3,465	3,622
4011 Outlays from discretionary balances	3,843	2,169	2,336
4020 Outlays, gross (total)	5,835	5,634	5,958
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-395	-319	-330
4033 Non-Federal sources	-188	-280	-280
4040 Offsets against gross budget authority and outlays (total)	-583	-599	-610
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	23		
4070 Budget authority, net (discretionary)	4,934	4,904	5,153
4080 Outlays, net (discretionary)	5,252	5,035	5,348
4180 Budget authority, net (total)	4,934	4,904	5,153
4190 Outlays, net (total)	5,252	5,035	5,348

**Advanced Scientific Computing Research.**—This program supports advanced computational research, applied mathematics, computer science, and networking. The program also supports the development, maintenance, and operation of large high performance computing and network facilities including leadership computing facilities at the Oak Ridge and Argonne National Laboratories, the National Energy Research Scientific Computing Facility at Lawrence Berkeley National Laboratory, and the Energy Sciences Network. The request includes research, in partnership with other science programs, on the application of

## SCIENCE—Continued

high performance computer simulation and modeling to science problems. Research will continue to focus on coordinated efforts to address the challenges for emerging computing hardware such as energy management and fault tolerance. Research will continue to address the challenges of data-intensive science including the massive quantities of data generated by Office of Science facilities and collaborations. ASCR efforts will consider and integrate the full spectrum of this challenge from hardware to applications.

*Basic Energy Sciences.*—The Basic Energy Sciences (BES) program supports fundamental research in material sciences, chemistry, geosciences, and aspects of biosciences to understand, predict, and ultimately control matter and energy at the electronic, atomic, and molecular levels. BES core research awards permit individual scientists and small groups to pursue discovery driven research interests with broad energy relevance. BES also supports two innovative approaches to integrated research: Energy Frontier Research Centers and Energy Innovation Hubs. The Energy Frontier Research Centers (EFRCs) support multi-year, multi-investigator scientific collaborations focused on overcoming hurdles in basic science that block transformational discoveries. The EFRCs portfolio will undergo an open re-competition in FY 2014 to select new EFRCs and consider renewal applications for existing EFRCs. The request includes funding for new EFRCs to replace some of the awards that will be completed. The Energy Innovation Hubs establish larger, highly integrated teams working to solve priority technology challenges.

The BES program operates large national user research facilities: a complementary set of intense x-ray sources, neutron scattering centers, electron beam characterization capabilities, and research centers for nanoscale science. These facilities probe materials in space, time, and energy at resolutions that can investigate the inner workings of matter to answer some of the most challenging grand science questions. The request includes support to utilize these state-of-the-art national user facilities at optimal levels. Research areas that will benefit from the facilities funding include materials sciences, chemical sciences, structural biology, and energy technology development. The request supports funding for construction of the National Synchrotron Light Source-II at Brookhaven National Laboratory, the Linac coherent Light Source-II at the SLAC National Accelerator Laboratory and the upgrade of the Advanced Photon Source at Argonne National Laboratory.

*Biological and Environmental Research.*—This program addresses diverse and critical global challenges, from the sustainable and affordable production of renewable biofuels in an environmentally conscientious manner to the simulation and prediction of climate change and greenhouse gas emissions relevant to energy production. The science portfolio examines complex biological, climatic, and environmental systems across spatial and temporal scales ranging from sub-cellular to global, individual molecules to entire ecosystems, and nanoseconds to millennia. Multidisciplinary systems approaches are employed to study and predict dynamic biological interactions from the subcellular molecular level to large scale processes performed by complex plant and microbial communities. The program plays a vital role in supporting research examining atmospheric processes, climate change and its impacts, including warmer temperatures, changes in precipitation, increased levels of greenhouse gases, changing distributions of weather extremes on different ecosystems. The program also seeks understanding of the critical role that biogeochemical processes play in controlling the cycling and mo-

bility of materials in the Earth's subsurface and across key surface-subsurface interfaces in the environment.

The budget continues support for key core research areas and scientific user facilities in bioenergy, climate, and environmental research. The Bioenergy Research Centers continue to address the fundamental science underpinning the development of cost-effective cellulosic biofuels. Genomic sciences investments target the development of synthetic biology tools and technologies and integrative analysis of experimental datasets to accelerate the interpretation of complex genomes that are sequenced and analyzed at the Joint Genome Institute. Observational research on clouds and aerosols at the Atmospheric Radiation Measurement (ARM) Climate Research Facility will improve understanding of the priority climatic sensitive regions of the Arctic and tropics, and modeling efforts will shift their emphasis from global scale dynamics to higher resolution scale interactions for these priority regions. The Environmental Molecular Sciences Laboratory enables experimental and computational research on physical, chemical, and biological processes to resolve molecular-scale challenges in areas such as atmospheric aerosols and trace gases, biofuel feedstocks, biogeochemistry subsurface science and energy materials.

*Fusion Energy Sciences.*—The Fusion Energy Sciences (FES) program focuses on developing the scientific basis for fusion energy. Burning plasma science, control of the plasma state required for attractive fusion energy, plasma-material interfaces, and harnessing fusion power are the four themes being addressed for the purposes of magnetic fusion research. FES supports the construction and development of the research program for ITER, an international experiment that will test many theories underpinning our understanding of burning plasmas magnetic fusion. An essential element of the FES program is the invention of advanced measurement techniques to ascertain the properties of plasma and its surroundings at the level required to test, challenge, and advance theoretical models. This validation forms the foundation of computational tools used to understand and predict the behavior of natural and human-made plasmas systems, including burning plasmas for fusion energy. FES funds the U.S. contributions to the ITER Project in collaboration with the European Union (EU), Japan, Russia, Korea, China, and India. FES supports two major domestic research facilities (DIII-D and the National Spherical Torus Experiment) to develop a more complete understanding of the physics of magnetically confined plasma and carry out research relevant to the success of ITER. The FES program also provides support for basic research in plasma science in partnership with the National Science Foundation; basic research in fusion science with university, private sector, and DOE laboratory engagement; and the study of high energy density laboratory plasmas.

*High Energy Physics.*—The High Energy Physics (HEP) program aims to understand how our universe works at its most fundamental level by discovering the most elementary constituents of matter and energy, probing the interactions between them, and exploring the basic nature of space and time itself. The program encompasses both experimental and theoretical particle physics research at the Energy, Intensity, and Cosmic Frontiers, as well as related advanced accelerator and detector technology research and development (R&D). The primary mode of experimental research involves the study of collisions of beams of intense and/or energetic particles using large particle accelerators or colliding beam facilities.

The HEP request supports Intensity Frontier research, primarily at the Fermi National Accelerator Laboratory, including a diverse portfolio of experiments studying the fundamental

properties of neutrinos, quarks and leptons, and searching for new forces and phenomena. The HEP request also supports the Energy Frontier Research program at the Large Hadron Collider (LHC), including support for software and computing, pre-operations, and maintenance of the U.S. built systems that are part of the LHC detectors and accelerator commissioning and accelerator physics studies using the LHC, and Cosmic Frontier program focused on discovering the nature of dark matter and dark energy using sensitive, state-of-the-art detectors underground, in space, and mounted on telescopes.

In addition to contributing to breakthrough scientific discoveries, HEP research also makes major contributions to accelerator technology development and provides the expertise necessary for the expansion of such technology into medicine, industry, and homeland security, as well as materials, biology, and chemistry research using light sources. To formally acknowledge this broader role in accelerator R&D stewardship, HEP submitted a strategic plan to Congress that outlines the HEP stewardship role and explains how input will be solicited from outside HEP and how HEP sponsored research would be applied to benefit other programs. The request includes support for an initial program of accelerator R&D stewardship.

**Nuclear Physics.**—The Nuclear Physics (NP) program provides new insights into and advances understanding of the evolution and structure of nuclear matter. The program focuses on three broad but highly related research frontiers: strong interactions among quarks and gluons (quantum chromodynamics) and how they assemble into the various forms of matter; the structure of atomic nuclei at their limits of existence and nuclear astrophysics to address the origin of the elements and the evolution of the cosmos; and development of a new Standard Model of fundamental interactions and understanding of its implications for the origin of matter and the properties of neutrinos and nuclei. NP develops the scientific knowledge, technologies, and trained workforce needed to underpin DOE's applied missions. The advancement of knowledge of nuclear matter and its properties is intertwined with nuclear power, nuclear medicine, national security, environmental and geological sciences, and isotope production.

The request continues support of the Relativistic Heavy Ion Collider at Brookhaven National Laboratory to characterize new states of matter and phenomena that occur in hot, dense nuclear matter; the Continuous Electron Beam Accelerator Facility (CEBAF) at Thomas Jefferson National Accelerator Facility to understand the substructure of the nucleon; and the Argonne Tandem Linear Accelerator System at Argonne National Laboratory for the study of nuclear structure and nuclear astrophysics. Construction continues on the 12 GeV CEBAF Upgrade project to double the electron beam energy at CEBAF, which will open the opportunity for new discoveries and an understanding of quark confinement. Efforts also continue for the Facility for Rare Isotope Beams at Michigan State University. The Isotope Development and Production for Research and Applications program will continue to develop and produce commercial and research radioisotopes that are provided to medical institutions, universities, research organizations, and industry for a wide array of uses and applications.

**Science Laboratories Infrastructure.**—The mission of this program is to support scientific and technological innovation at Office of Science (SC) laboratories by funding and sustaining mission-ready infrastructure and fostering safe and environmentally responsible operations. Revitalizing facilities and providing modern laboratory infrastructure is critical to ensuring the continued mission readiness of SC laboratories. The program provides the modern laboratory infrastructure necessary to support world

leadership by the SC national laboratories in the area of basic scientific research now and in the future.

**Safeguards and Security.**—The mission of this program is to support the Department's research at SC laboratories by ensuring appropriate levels of protection against unauthorized access, theft, or destruction of Department assets, and hostile acts that may have adverse impacts on fundamental science, national security, the health and safety of DOE and contractor employees, the public, and the environment.

**Workforce Development for Teachers and Scientists.**—This program supports the annual National Science Bowl in Washington D.C., a middle-and high-school science competition that is the culmination of regional competitions held across the nation. This and other investments help develop the next generation of scientists and engineers to support the DOE mission, administer its programs, and conduct its research.

**Program Direction.**—This program provides a highly skilled Federal workforce to develop and sustain world-class science programs that deliver the scientific discoveries and technological innovations needed to solve our nation's energy and environmental challenges and enable the U.S. to maintain its global competitiveness. The SC workforce is responsible for overseeing taxpayer dollars for science program development; program and project execution and management; managing the administrative, business, and technical aspects of research grants and contracts; overseeing 10 of the 17 DOE national laboratories; and providing public access to DOE's R&D results.

**Object Classification** (in millions of dollars)

Identification code 89-0222-0-1-251	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	105	107	111
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	110	112	116
12.1 Civilian personnel benefits .....	29	30	31
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	3	3	4
22.0 Transportation of things .....		2	
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	4	4	5
25.1 Advisory and assistance services .....	9	10	9
25.2 Other services from non-Federal sources .....	67	67	70
25.3 Other goods and services from Federal sources .....	14	14	15
25.4 Operation and maintenance of facilities .....	3,008	3,135	3,243
25.5 Research and development contracts .....	200	191	186
25.7 Operation and maintenance of equipment .....	1	2	1
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	288	379	418
32.0 Land and structures .....	369	306	363
41.0 Grants, subsidies, and contributions .....	829	680	686
99.0 Direct obligations .....	4,937	4,941	5,153
99.0 Reimbursable obligations .....	564	599	610
99.9 Total new obligations .....	5,501	5,540	5,763

**Employment Summary**

Identification code 89-0222-0-1-251	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	974	989	1,010
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**ADVANCED RESEARCH PROJECTS AGENCY—ENERGY**

For necessary expenses in carrying out the activities authorized by section 5012 of the America COMPETES Act (Public Law 110-69), as amended, \$379,000,000 to remain available until expended: Provided, That

**ADVANCED RESEARCH PROJECTS AGENCY—ENERGY—Continued**  
\$34,110,000 shall be available until September 30, 2015 for program direction.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 89–0337–0–1–270	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 ARPA-E Projects .....	266	285	352
0002 Program Direction .....	31	22	34
0799 Total direct obligations .....	297	307	386
0801 Reimbursable program activity .....		3	
0900 Total new obligations .....	297	310	386
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	178	159	126
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	275	277	379
1160 Appropriation, discretionary (total) .....	275	277	379
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		3	
1701 Change in uncollected payments, Federal sources .....	3	–3	
1750 Spending auth from offsetting collections, disc (total) .....	3		
1900 Budget authority (total) .....	278	277	379
1930 Total budgetary resources available .....	456	436	505
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	159	126	119
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	266	332
3010 Obligations incurred, unexpired accounts .....	297	310	386
3020 Outlays (gross) .....	–35	–244	–376
3050 Unpaid obligations, end of year .....	266	332	342
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		–3	
3070 Change in uncollected pymts, Fed sources, unexpired .....	–3	3	
3090 Uncollected pymts, Fed sources, end of year .....	–3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	263	332
3200 Obligated balance, end of year .....	263	332	342
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	278	277	379
Outlays, gross:			
4010 Outlays from new discretionary authority .....	23	69	95
4011 Outlays from discretionary balances .....	12	175	281
4020 Outlays, gross (total) .....	35	244	376
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		–3	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–3	3	
4070 Budget authority, net (discretionary) .....	275	277	379
4080 Outlays, net (discretionary) .....	35	241	376
4180 Budget authority, net (total) .....	275	277	379
4190 Outlays, net (total) .....	35	241	376

The Advanced Research Projects Agency-Energy (ARPA-E) within the Department of Energy was established by the America COMPETES Act of 2007 (Pub. L. No. 110–69), as amended. The mission of ARPA-E is to overcome the long-term and high-risk technological barriers to the development of new energy technologies that increase energy efficiency and reduce emissions, including green house gases.

ARPA-E will facilitate initiatives to enhance the energy and economic security of the United States through the development

of new energy technologies and ensure that the United States maintains a technological lead in developing and deploying advanced energy technologies. ARPA-E will identify and promote revolutionary advances in energy-related applied sciences, translating scientific discoveries and cutting edge inventions into technological innovations. It will also accelerate transformational technological advances in areas where industry by itself is not likely to invest due to technical and financial uncertainty. The role of ARPA-E is not to duplicate DOE's basic research and applied programs but to focus on novel early-stage energy research and development with technology applications.

**Object Classification** (in millions of dollars)

Identification code 89–0337–0–1–270	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	7	7	7
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	9	9	9
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	2	1	2
23.2 Rental payments to others .....	1	1	1
25.1 Advisory and assistance services .....	16	8	20
25.3 Other goods and services from Federal sources .....	2	2	1
25.5 Research and development contracts .....	266	285	352
99.0 Direct obligations .....	297	307	386
99.0 Reimbursable obligations .....		3	
99.9 Total new obligations .....	297	310	386

**Employment Summary**

Identification code 89–0337–0–1–270	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	25	40	44

**RACE TO THE TOP FOR ENERGY EFFICIENCY AND GRID MODERNIZATION**

For Department of Energy expenses necessary to promote policies at the State, local, or tribal level or by electric cooperatives intended to increase energy efficiency, increase clean distributed generation, and modernize the grid in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.) \$200,000,000, to remain available until September 30, 2018.

**Program and Financing** (in millions of dollars)

Identification code 89–0220–0–1–272	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants to States .....			100
0900 Total new obligations (object class 41.0) .....			100
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			200
1160 Appropriation, discretionary (total) .....			200
1930 Total budgetary resources available .....			200
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			100
3020 Outlays (gross) .....			–20
3050 Unpaid obligations, end of year .....			80
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			80

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross	200	
Outlays, gross:			
4010	Outlays from new discretionary authority	20	
4180	Budget authority, net (total)	200	
4190	Outlays, net (total)	20	

*Race to the Top for Energy Efficiency and Grid Modernization.*—The Budget includes \$200 million in one-time funding for Race to the Top performance based awards to support state governments that implement effective policies to cut energy waste and modernize the grid. These awards will be modeled after a successful Administration approach in education reform designed to promote forward-leaning policies at the state-level. This approach will challenge states to pursue policy and regulatory reforms for key opportunities that include: modernizing utility regulations to encourage cost-effective investments in efficiency such as combined heat and power, clean distributed generation, and demand response resources; enhancing customer access to data; investments that improve the reliability, security and resilience of the grid; and enhancing information sharing regarding grid conditions.

ENERGY TRANSFORMATION ACCELERATION FUND, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 89-0336-0-1-270	2012 actual	2013 CR	2014 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	220	99	14
3020	Outlays (gross)	-114	-85	-14
3041	Recoveries of prior year unpaid obligations, expired	-7		
3050	Unpaid obligations, end of year	99	14	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	220	99	14
3200	Obligated balance, end of year	99	14	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	114	85	14
4190	Outlays, net (total)	114	85	14

ENERGY SUPPLY AND CONSERVATION

Program and Financing (in millions of dollars)

Identification code 89-0224-0-1-999	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	12	13	13
1020	Adjustment of unobligated bal brought forward, Oct 1	2		
1050	Unobligated balance (total)	14	13	13
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1701	Change in uncollected payments, Federal sources	-1		
1750	Spending auth from offsetting collections, disc (total)	-1		
1930	Total budgetary resources available	13	13	13
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	13	13	13
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	-4	-4
3020	Outlays (gross)	-4		
3030	Unpaid obligations transferred to other accts [89-0320]	-1		
3050	Unpaid obligations, end of year	-4	-4	-4

Uncollected payments:				
3060	Obligated balance transferred to other accts	-4	-3	-3
3070	Uncollected pymts from Fed sources transferred to other accounts	1		
3090	Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-3	-7	-7
3200	Obligated balance, end of year	-7	-7	-7

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	-1		
Outlays, gross:				
4011	Outlays from discretionary balances	4		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	1		
4190	Outlays, net (total)	4		

NUCLEAR ENERGY

*For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for nuclear energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion \$735,460,000, to remain available until expended, of which \$24,000,000 shall be derived from the Nuclear Waste Fund: Provided, That , of the amount made available under this heading, \$87,500,000 shall be available until September 30, 2015, for program direction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89-0319-0-1-999	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0032	Reactor Concepts RD&D	111	119	73
0041	Fuel Cycle R&D	178	190	165
0042	Integrated University Program	5	5	
0043	Nuclear Energy Enabling Technologies R&D	73	75	62
0091	Research and Development programs, subtotal	367	389	300
0301	Radiological Facilities Management	70	70	5
0401	Idaho Facilities Management	154	155	182
0450	Idaho National Laboratory safeguards and security			94
0451	International Nuclear Safety	3		
0491	Infrastructure programs, subtotal	157	155	276
0501	Small Modular Reactor Licensing Technical Support Program	1	133	70
0551	Program Direction	84	95	88
0552	International Nuclear Energy Cooperation	3	3	3
0591	Other direct program activities, subtotal	88	231	161
0799	Total direct obligations	682	845	742
0801	Reimbursable program	113	73	70
0900	Total new obligations	795	918	812
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	10	93	16
1010	Unobligated balance transfer to other accts [89-0222]	-2		
1011	Unobligated balance transfer from other accts [72-0306]	4		
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	15	93	16
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	769	771	711
1101	Appropriation (special or trust fund)			24
1120	Appropriations transferred to other accts [89-0222]	-11		
1121	Appropriations transferred from other accts [72-0306]	4		
1130	Appropriations permanently reduced	-3		

**NUCLEAR ENERGY—Continued**  
**Program and Financing—Continued**

Identification code 89-0319-0-1-999	2012 actual	2013 CR	2014 est.
1160 Appropriation, discretionary (total) .....	759	771	735
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	102	70	70
1701 Change in uncollected payments, Federal sources .....	12		
1750 Spending auth from offsetting collections, disc (total) .....	114	70	70
1900 Budget authority (total) .....	873	841	805
1930 Total budgetary resources available .....	888	934	821
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	93	16	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	566	513	555
3010 Obligations incurred, unexpired accounts .....	795	918	812
3020 Outlays (gross) .....	-845	-876	-869
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	513	555	498
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-51	-63	-63
3070 Change in uncollected pymts, Fed sources, unexpired .....	-12		
3090 Uncollected pymts, Fed sources, end of year .....	-63	-63	-63
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	515	450	492
3200 Obligated balance, end of year .....	450	492	435
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	873	841	805
Outlays, gross:			
4010 Outlays from new discretionary authority .....	397	417	446
4011 Outlays from discretionary balances .....	448	459	423
4020 Outlays, gross (total) .....	845	876	869
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-89	-70	-70
4033 Non-Federal sources .....	-13		
4040 Offsets against gross budget authority and outlays (total) .....	-102	-70	-70
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-12		
4070 Budget authority, net (discretionary) .....	759	771	735
4080 Outlays, net (discretionary) .....	743	806	799
4180 Budget authority, net (total) .....	759	771	735
4190 Outlays, net (total) .....	743	806	799

The Office of Nuclear Energy funds a range of research and development activities as well as supports the Nation's nuclear facilities. The FY 2014 budget continues programmatic support for advanced reactor R&D activities; fuel cycle R&D, including R&D on storage, transportation, and disposal that supports the implementation of recommendations put forward by the Blue Ribbon Commission on America's Nuclear Future; and the safe, environmentally compliant, and cost-effective operation of the Department's facilities vital to nuclear energy R&D activities. The Reactor Concepts Research, Development and Demonstration program will support R&D focused on innovative small modular reactors, Light Water Reactor Sustainability, and other advanced reactor concepts. The Nuclear Energy Enabling Technologies program will support R&D focused on a broad spectrum of nuclear energy issues that crosscut reactor types and fuel cycle issues, including materials, proliferation risk assessment, and advanced sensors and instrumentation. The budget will also support cutting-edge nuclear technology R&D across the full spectrum of nuclear energy issues to inspire creative solutions to the broad array of nuclear energy challenges. Consistent with the FY 2013 request, Safeguards and Security for Idaho National Laboratory, previously included within the Other Defense Activities appropriation, is requested within the Nuclear Energy appropriation. In addition, the Office of Nuclear Energy will continue to fund ongoing

responsibilities under the Nuclear Waste Policy Act, including administration of the Nuclear Waste Fund and the Standard Contract, and will lead future waste management activities. Beginning in FY 2014 the Space and Defense Infrastructure subprogram, previously included within the Radiological Facilities Management program, is transitioning to a full cost recovery funding model and is included in the National Aeronautics and Space Administration budget request.

**Object Classification (in millions of dollars)**

Identification code 89-0319-0-1-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	44	55	47
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	46	57	49
12.1 Civilian personnel benefits .....	13	16	14
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	2	2	2
25.1 Advisory and assistance services .....	8	10	9
25.2 Other services from non-Federal sources .....	45	56	48
25.3 Other goods and services from Federal sources .....	10	12	11
25.4 Operation and maintenance of facilities .....	522	647	570
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	6	8	6
32.0 Land and structures .....	7	9	8
41.0 Grants, subsidies, and contributions .....	21	26	23
99.0 Direct obligations .....	682	845	742
99.0 Reimbursable obligations .....	113	73	70
99.9 Total new obligations .....	795	918	812

**Employment Summary**

Identification code 89-0319-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	404	404	418

**NUCLEAR ENERGY**

(Legislative proposal, subject to PAYGO)

In January 2013 the Administration released its *Strategy for the Management and Disposal of Used Nuclear Fuel and High Level Radioactive Waste*. This Strategy lays out a broad outline for a stable, integrated system capable of transporting, storing, and disposing of high-level nuclear waste from civilian nuclear power generation, defense, national security and other activities. The Administration looks forward to working with Congress to build and implement this new program and believes that providing adequate and timely funding is critical to success.

Currently more than 68,000 metric tons heavy metal (MTHM) of used nuclear fuel are stored at 72 commercial power plants around the country with approximately 2,000 MTHM added to that amount every year. As a result of litigation by contract holders, the government was found in partial breach of contract, and is now liable for damages to some utilities to cover the costs of that on-site, at-reactor storage. For the first time, the FY 2014 Budget reflects a more complete estimate of those liability payments in the baseline. Please see additional discussion of the cost of the government's liability in the Budget Process chapter in the *Analytical Perspectives* volume.

To support the nuclear waste management program over the long term, reform of the current funding arrangement is necessary and the Administration believes the funding system should consist of the following elements: ongoing discretionary appropriations, access to annual fee collections provided in legislation either through their reclassification from mandatory to discretionary or as a direct mandatory appropriation, and eventual access to the balance or "corpus" of the Nuclear Waste Fund.

The FY 2014 Budget includes a proposal to implement such reform. Discretionary appropriations are included for this new program beginning in 2014 and continue for the duration of the effort. These funds would be used to fund expenses that are regular and recurring, such as program management costs, including administrative expenses, salaries and benefits, studies, and regulatory interactions. Mandatory appropriations in addition to the discretionary funding are proposed to be provided annually beginning in 2017 to fund the balance of the annual program costs.

The program envisioned in the FY 2014 Budget is a very long term, flexible, multi-faceted approach to dispose of the nations commercial and defense waste. The estimated programmatic cost of this effort over its first 10 years is approximately \$5.6 billion. As part of this program, the Budget assumes the construction and operation of a pilot interim waste storage facility within the next 10 years as well as notable progress on both full-scale interim storage and long-term permanent geologic disposal. The deployment of pilot interim storage within the next 10 years allows the government to begin picking up waste, thus enabling the collection of one-time fees owed by certain generators that will offset some of this spending. Over the 10-year budget window, the projected net mandatory cost would be in the range of \$1.3 billion.

The sooner that legislation enables progress on implementing a nuclear waste management program, the lower the ultimate cost will be to the taxpayers. This proposal is intended to limit, and then end, liability costs by making it possible for the government to begin performing on its contractual obligations.

ELECTRICITY DELIVERY AND ENERGY RELIABILITY

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for electricity delivery and energy reliability activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, \$169,015,000, to remain available until expended: Provided, That \$27,615,000 shall be available until September 30, 2015 for program direction.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89–0318–0–1–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Research and development .....	106	100	119
0020 Infrastructure Security and Energy Restoration .....	6	6	16
0030 Permitting, Siting, and Analysis .....	7	7	6
0040 Program Direction .....	24	27	28
0799 Total direct obligations .....	143	140	169
0801 Reimbursable work .....	1	1	1
0900 Total new obligations .....	144	141	170
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	20	21
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	24	20	21
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	140	140	169
1120 Appropriations transferred to other accts [89–0222] .....	–3		
1130 Appropriations permanently reduced .....	–1		

1160 Appropriation, discretionary (total) .....	136	140	169
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1701 Change in uncollected payments, Federal sources .....	3	1	1
1750 Spending auth from offsetting collections, disc (total) .....	4	2	2
1900 Budget authority (total) .....	140	142	171
1930 Total budgetary resources available .....	164	162	192
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	21	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,823	1,516	866
3010 Obligations incurred, unexpired accounts .....	144	141	170
3020 Outlays (gross) .....	–1,438	–791	–749
3040 Recoveries of prior year unpaid obligations, unexpired .....	–4		
3041 Recoveries of prior year unpaid obligations, expired .....	–9		
3050 Unpaid obligations, end of year .....	1,516	866	287
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–1	–4	–5
3070 Change in uncollected pymts, Fed sources, unexpired .....	–3	–1	–1
3090 Uncollected pymts, Fed sources, end of year .....	–4	–5	–6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,822	1,512	861
3200 Obligated balance, end of year .....	1,512	861	281
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	140	142	171
Outlays, gross:			
4010 Outlays from new discretionary authority .....	41	86	104
4011 Outlays from discretionary balances .....	1,397	705	645
4020 Outlays, gross (total) .....	1,438	791	749
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–1	–1	–1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–3	–1	–1
4070 Budget authority, net (discretionary) .....	136	140	169
4080 Outlays, net (discretionary) .....	1,437	790	748
4180 Budget authority, net (total) .....	136	140	169
4190 Outlays, net (total) .....	1,437	790	748

The mission of the Office of Electricity Delivery and Energy Reliability (OE) is to drive electric grid modernization and reliability in energy infrastructure. OE leads the Department of Energy's efforts to ensure a resilient, reliable, and flexible electricity system through research, partnerships, facilitation, modeling and analytics, and emergency preparedness. OE programs include:

**Clean Energy Transmission and Reliability (CETR).**—The CETR program develops advanced modeling, monitoring, and control applications to improve the reliability and resiliency of the US transmission system.

**Smart Grid.**—The Smart Grid program targets modernization of the electric system at the distribution level. The program develops tools and applications with a goal of achieving a self-healing system for improved reliability and integration of demand-side management and improved system efficiency.

**Electricity Systems Hub.**—The Electricity Systems Hub, proposed in the Budget, will address the basic science, technology, economic, and policy issues that affect our ability to achieve a seamless and modernized grid.

**Cybersecurity for Energy Delivery System (CEDS).**—The CEDS program develops advanced cybersecurity technologies and capabilities to enhance the reliability and resiliency of the Nation's energy infrastructure by reducing the risk of energy disruptions due to cyber events.

**Energy Storage.**—The Energy Storage program conducts research, development, and demonstrations to enhance the stability, reliability, and flexibility of the electric grid by accelerating the

ELECTRICITY DELIVERY AND ENERGY RELIABILITY—Continued

development and deployment of advanced grid-scale energy storage in the electric system.

*National Electricity Delivery (NED).*—Formerly called Permitting, Siting, and Analysis, the NED program provides technical assistance to states, regional entities, and tribes to help them develop and improve their programs, policies, and laws that facilitate the development of reliable and affordable electricity infrastructure. The program implements the electricity grid modernization requirements contained in the Energy Policy Act of 2005 and the Energy Independence and Security Act of 2007, and authorizes the export of electric energy and processes permits for the construction of transmission infrastructure across international borders.

*Infrastructure Security and Energy Restoration (ISER).*—The ISER program leads efforts for securing the U.S. energy infrastructure against all hazards, reducing the impact of disruptive events, and responding to and facilitating recovery from energy disruptions, in collaboration with industry and State and local governments.

*Program Direction.*—Program Direction provides for the costs associated with the federal workforce and contractor services that support OE's mission. These costs include salaries, benefits, travel, training, building occupancy, IT systems, and other related expenses.

Object Classification (in millions of dollars)

Identification code 89-0318-0-1-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	8	8	8
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	9	9	9
12.1 Civilian personnel benefits .....	3	2	2
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	13	18	20
25.2 Other services from non-Federal sources .....	4	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
25.4 Operation and maintenance of facilities .....	57	57	82
25.5 Research and development contracts .....	53	49	51
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	143	140	169
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	144	141	170

Employment Summary

Identification code 89-0318-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	75	75	75
2001 Reimbursable civilian full-time equivalent employment .....	5	5	5

LEGACY MANAGEMENT

Program and Financing (in millions of dollars)

Identification code 89-0320-0-1-271	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3031 Unpaid obligations transferred from other accts [89-0224] .....	1		
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		1	1

3200 Obligated balance, end of year .....	1	1	1
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ENERGY EFFICIENCY AND RENEWABLE ENERGY

*For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for energy efficiency and renewable energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, \$2,775,700,000, to remain available until expended: Provided, That \$185,000,000 shall be available until September 30, 2015 for program direction: Provided further, That, of the amount provided under this heading, the Secretary may transfer up to \$95,000,000 to the Defense Production Act Fund for activities of the Department of Energy pursuant to the Defense Production Act of 1950 (50 U.S.C. App. 2061, et seq.).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89-0321-0-1-270	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Hydrogen Technology .....	92	115	100
0002 Biomass and Biorefinery Systems R&D .....	129	277	282
0003 Solar Energy .....	233	378	357
0004 Wind Energy .....	73	115	144
0005 Geothermal Technology .....	34	42	60
0006 Water Power .....	49	69	55
0007 Vehicle Technologies .....	315	340	575
0008 Building Technologies .....	208	245	300
0009 Advanced Manufacturing .....	117	146	365
0010 Federal Energy Management Program .....	29	33	36
0011 Facilities & Infrastructure .....	26	26	46
0012 Weatherization & Intergovernmental Activities .....	126	145	248
0013 Program Direction & Support .....	187	204	221
0014 Congressionally Directed Projects .....	1	15	
0799 Total direct obligations .....	1,619	2,150	2,789
0810 Reimbursable program .....	205	308	276
0900 Total new obligations .....	1,824	2,458	3,065
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	119	335	
1021 Recoveries of prior year unpaid obligations .....	58	26	13
1050 Unobligated balance (total) .....	177	361	13
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,825	1,831	2,776
1120 Appropriations transferred to other accts [89-0222] .....	-29		
1130 Appropriations permanently reduced .....	-5		
1131 Unobligated balance of appropriations permanently reduced .....	-10	-10	
1160 Appropriation, discretionary (total) .....	1,781	1,821	2,776
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	191	276	276
1701 Change in uncollected payments, Federal sources .....	10		
1750 Spending auth from offsetting collections, disc (total) .....	201	276	276
1900 Budget authority (total) .....	1,982	2,097	3,052
1930 Total budgetary resources available .....	2,159	2,458	3,065
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	335		

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,783	4,776	3,863
3010 Obligations incurred, unexpired accounts .....	1,824	2,458	3,065
3020 Outlays (gross) .....	-6,689	-3,345	-3,097
3040 Recoveries of prior year unpaid obligations, unexpired .....	-58	-26	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-84		
3050 Unpaid obligations, end of year .....	4,776	3,863	3,818

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-143	-153	-153
3070	Change in uncollected pymts, Fed sources, unexpired .....	-10		
3090	Uncollected pymts, Fed sources, end of year .....	-153	-153	-153
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9,640	4,623	3,710
3200	Obligated balance, end of year .....	4,623	3,710	3,665
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,982	2,097	3,052
Outlays, gross:				
4010	Outlays from new discretionary authority .....	514	776	1,025
4011	Outlays from discretionary balances .....	6,175	2,569	2,072
4020	Outlays, gross (total) .....	6,689	3,345	3,097
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-117	-210	-210
4033	Non-Federal sources .....	-74	-66	-66
4040	Offsets against gross budget authority and outlays (total) ....	-191	-276	-276
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-10		
4070	Budget authority, net (discretionary) .....	1,781	1,821	2,776
4080	Outlays, net (discretionary) .....	6,498	3,069	2,821
4180	Budget authority, net (total) .....	1,781	1,821	2,776
4190	Outlays, net (total) .....	6,498	3,069	2,821

The Department of Energy's Office of Energy Efficiency and Renewable Energy (EERE) supports clean energy research, development, demonstration, and deployment activities to advance the state-of-the-art in efficiency and renewable energy technologies and to transition them from early-stage research to the private sector. EERE programs accelerate the development and commercialization of new generations of energy technologies for buildings, factories, and vehicles that are clean, reliable, efficient, and affordable and that help the country meet its economic, environmental, and energy security goals. These technologies can provide the basis for increased domestic manufacturing and economic growth; protect the environment by reducing greenhouse gas emissions and improving air and water quality; reduce petroleum use; increase diversity and choice in energy sources and services; and decrease energy use and costs for consumers. As EERE technologies become more cost competitive, grid integration issues associated with higher penetration of EERE technologies on the power grid (such as variable renewable electricity generation, electric vehicle charging, building efficiency, demand response, etc.) emerge as a high priority barrier to address.

EERE programs include:

**Hydrogen and Fuel Cell Technologies.**—This program aims to reduce petroleum use, greenhouse gas emissions, and criteria air pollutants, and to contribute to a more diverse and efficient energy infrastructure by supporting the development of affordable, high efficiency and low emissions hydrogen and fuel cell technologies for widespread commercialization. The program supports applied research, development, and demonstration (RD&D) of transformative advances in hydrogen and fuel cell technologies, as well as efforts to overcome economic and institutional barriers to their commercial deployment.

**Biomass and Biorefinery Systems.**—This program funds research, development, and demonstration projects to advance biofuels technologies and to validate and assist in the commercialization of integrated biorefinery technologies that will help transform the nation's transportation sector. The program's activities include the development of biomass conversion technologies to produce a variety of biofuels, bioproducts, and biopower. The program also works to evaluate environmentally sustainable feedstocks and to develop economically viable feedstock logistics systems to sustainably supply the biofuels industry. With the completion of the program's technology development for cost-

competitive cellulosic ethanol, the program is now partnering with the private sector to demonstrate economic viability at larger scales. It is also developing follow-on technology for more infrastructure-compatible biofuels, such as bio-based gasoline, diesel and jet fuel. This work is coordinated closely with other agencies such as the Agriculture and Defense Departments.

**Solar Energy.**—This program's main objective under the Sun-Shot Initiative is to make solar energy cost-competitive with other sources of electricity, across the nation and without subsidies, by 2020—a goal of approximately 5–6 cents per kWh for installed systems. To achieve this objective, the program supports solar energy research, development, and demonstration at universities and the national laboratories and in collaboration with industry and industry-led consortia. The Photovoltaic (PV) and Innovations in Manufacturing R&D subprograms focus on lowering the cost of PV through increased conversion efficiency, reduction in cell and module costs, and manufacturing technology improvements and on increasing the competitiveness of the U.S. solar energy manufacturing industry and supply chain. The Concentrating Solar Power (CSP) subprogram supports the development of thermal storage, heat transfer fluids, and component and systems research and optimization to enable CSP to provide baseload power on demand. Additionally, the Systems Integration and Soft Balance of Systems Cost Reduction subprograms support cost goals for the deployment of solar technologies by addressing grid integration issues, the balance-of-system and non-hardware costs of installation, and other market barriers.

**Wind Energy.**—This program develops technology in partnership with industry to improve the reliability and affordability of land-based and offshore wind energy systems, with an increased focus on next generation technologies (e.g., floating platform designs, etc.) that will enable America's sizable offshore wind resources to be captured at a competitive price. The program also supports advanced turbine component research and design, wind resource assessments and modeling, advanced turbine and system modeling and optimization of entire wind plants, and improved approaches to systems interconnection and integration with the electric transmission grid. These efforts also help reduce barriers to technology acceptance and enable increased market penetration of this variable resource.

**Geothermal Technologies.**—This program conducts research, development and demonstration in partnership with industry, academia, and the national laboratories to improve the discovery of new geothermal resources and to develop innovative methods for accessing and using those resources for cost-effective baseload renewable electricity generation. The program's geothermal work will concentrate on improved exploration technologies and on developing new technologies for enhanced geothermal systems (EGS) that offer the potential for tapping into enormous geothermal resources across America. The program's new EGS field test laboratory will enable transformative, high-impact technologies and techniques to be tested and improved and will increase technology sharing and leverage with the private sector.

**Water Power.**—This program conducts research, development, and validation testing and demonstration of innovative water power technologies to enable improved, cost-effective, and environmentally responsible renewable power generation from water. The program focuses primarily on a diverse array of marine and hydrokinetic technologies for producing electricity from waves, tides, and currents in oceans and rivers. It also focuses on advanced hydropower technologies and tools that significantly improve energy and environmental performance. In addition, the program supports resource assessments, cost assessments, environmental studies, and advanced modeling aimed at determining

ENERGY EFFICIENCY AND RENEWABLE ENERGY—Continued

and demonstrating the viability of emerging water power technologies and reducing the market barriers to their deployment.

**Vehicle Technologies.**—This program's research and development (R&D) seeks technology breakthroughs that will enable the U.S. to greatly reduce transportation petroleum use and greenhouse gas emissions while reducing the costs of vehicle operation. To accomplish this, the program focuses on a suite of technologies from transportation electrification to lightweight materials, advanced combustion engines, and non-petroleum fuels and lubricant technologies. The program incorporates a DOE grand challenge, the EV Everywhere Initiative, to develop the technologies to make electric-powered vehicles as affordable and convenient as gasoline-powered vehicles for the average American family by 2020. The EV Everywhere Initiative will include accelerated R&D on emerging battery technologies and innovative battery manufacturing processes, power electronics, and electric motors. The program also supports early demonstration, field validation, and community-scale deployment of advanced vehicle technologies, as well as efforts to reduce the vehicle miles traveled by the public.

**Building Technologies.**—In partnership with the buildings industry, this program develops, demonstrates, and integrates energy technologies and practices to make buildings more efficient and affordable. The program accelerates the availability of innovative, highly efficient building technologies and practices through R&D; increases the minimum efficiency of buildings and equipment through the promotion of model building efficiency codes and the promulgation of national lighting and appliance standards; and encourages the use of energy-efficient and renewable energy technologies and practices in residential and commercial buildings through integration activities such as Better Buildings, Building America, and the ENERGY STAR partnership with EPA. As part of its activities, the program oversees the Energy Efficient Buildings Hub.

**Advanced Manufacturing.**—This program supports RD&D focused on high-impact energy-efficient manufacturing processes and materials technologies. The program is accelerating its activities to develop cross-cutting manufacturing process technologies and advanced industrial materials that will enable U.S. companies to cut the costs of manufacturing by using less energy while improving product quality and accelerating product development. These activities include one or more Clean Energy Manufacturing Innovation Institutes as part of a larger proposed interagency network aimed at bringing together universities, companies, and the government to co-invest in solving industry-relevant manufacturing challenges. The program seeks to demonstrate materials and processes at a convincing scale to prove reductions in energy intensity and in the life-cycle energy consumption of manufactured products, plus promote a corporate culture of continuous improvement in energy efficiency among existing facilities and manufacturers. The program also manages the Energy Innovation Hub on Critical Materials.

**Federal Energy Management Program.**—This program enables the Federal Government to meet its relevant energy, water, greenhouse gas, and transportation goals as defined in existing legislation and Executive Orders by providing interagency coordination, technical expertise, training, financing resources, and contracting support. FEMP also assists agencies in implementing and monitoring performance-based contracting to improve the efficiency of Federal buildings.

**Strategic Programs.**—The mission of the Office of Strategic Programs (OSP) is to increase the effectiveness and impact of all EERE activities by funding cross-cutting activities, analysis, and

support functions. The office conducts sector analyses, feasibility studies, and evaluations to characterize technology cost and performance, understand market trends, estimate impacts, and complete long-term strategic planning. Strategic Programs also supports technology-to-market activities that accelerate the commercialization of innovative clean energy technologies, coordinates EERE communication and outreach to consumers and other stakeholders on the progress and benefits of clean energy development, and assists in catalyzing international markets for clean energy solutions and U.S. export opportunities.

**Facilities and Infrastructure.**—This activity sustains RD&D infrastructure and supports EERE's clean energy RD&D by providing funding for general plant projects, maintenance and repair, general purpose equipment, upgrades to accommodate new research requirements, and safeguards and security operations at the National Renewable Energy Laboratory (NREL). Facilities and Infrastructure will also support the operation of the NREL Energy Systems Integration Facility as a DOE Technology User Facility. This new facility will provide component and system testing and grid simulation capability to DOE programs and the private sector, to help integrate clean energy technologies seamlessly into electrical grid infrastructure and utility operations at the speed and scale required to meet national goals.

**Weatherization and Intergovernmental.**—This program supports clean energy deployment in partnership with State, local, U.S. territory, and tribal governments. The State Energy Program provides technical and financial resources to States to help them achieve their energy efficiency and renewable energy goals through interactions with utilities and through building codes and other local policies. Funding also supports energy efficiency and renewable energy projects that meet local needs. The Tribal Energy Program supports feasibility assessments and the development of implementation plans for clean energy projects on Tribal lands. The Weatherization Assistance Program lowers energy use and costs for low income families by supporting energy-efficient home retrofits through State-managed networks of local weatherization providers.

Object Classification (in millions of dollars)

Identification code 89-0321-0-1-270	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	71	71	81
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	76	76	86
12.1 Civilian personnel benefits .....	23	31	40
21.0 Travel and transportation of persons .....	4	5	7
23.3 Communications, utilities, and miscellaneous charges .....	2	3	4
25.1 Advisory and assistance services .....	93	125	163
25.2 Other services from non-Federal sources .....	76	102	133
25.3 Other goods and services from Federal sources .....	27	36	47
25.4 Operation and maintenance of facilities .....	717	964	1,256
25.5 Research and development contracts .....	189	254	331
31.0 Equipment .....	2	3	4
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	409	550	717
99.0 Direct obligations .....	1,619	2,150	2,789
99.0 Reimbursable obligations .....	205	308	276
99.9 Total new obligations .....	1,824	2,458	3,065

**Employment Summary**

Identification code 89-0321-0-1-270	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	703	735	770

**HOME ENERGY RETROFIT REBATE PROGRAM**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 89-0341-4-1-272	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants for rebates .....			300
0900 Total new obligations (object class 41.0) .....			300
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			6,000
1260 Appropriations, mandatory (total) .....			6,000
1930 Total budgetary resources available .....			6,000
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			5,700
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			300
3020 Outlays (gross) .....			-300
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			6,000
Outlays, gross:			
4100 Outlays from new mandatory authority .....			300
4180 Budget authority, net (total) .....			6,000
4190 Outlays, net (total) .....			300

*HomeStar.*—The HomeStar program is a legislative proposal for mandatory funding to provide consumers incentives to make their homes more energy efficient. It will create jobs by encouraging Americans to invest in energy saving home improvements. The HomeStar program will provide direct rebates to consumers at the point of sale, through vendors who would be reimbursed by the Federal government, for both individual energy efficiency upgrades and whole home energy improvement projects. It also will include funding for State and local governments to provide financing options for consumers seeking to make efficiency investments.

**NON-DEFENSE ENVIRONMENTAL CLEANUP**

*For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for non-defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, \$212,956,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 89-0315-0-1-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Fast Flux Test Facility .....	3	3	3

0003 Gaseous Diffusion Plants .....	100	101	96
0004 Small Sites .....	59	79	50
0005 West Valley Demonstration Project .....	65	65	64
0799 Total direct obligations .....	227	248	213
0801 Reimbursable program .....	26	28	28
0900 Total new obligations .....	253	276	241

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	11	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	2	11	
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail .....	236	237	213
1160 Appropriation, discretionary (total) .....	236	237	213
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	27	26	27
1701 Change in uncollected payments, Federal sources .....	-1	2	1
1750 Spending auth from offsetting collections, disc (total) .....	26	28	28
1900 Budget authority (total) .....	262	265	241
1930 Total budgetary resources available .....	264	276	241
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11		

**Change in obligated balance:**

Unpaid obligations:			
3000 Obligated balances, start of year .....	202	121	100
3010 Obligations incurred, unexpired accounts .....	253	276	241
3020 Outlays (gross) .....	-333	-297	-248
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	121	100	93
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-3	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	-2	-1
3090 Uncollected pymts, Fed sources, end of year .....	-3	-5	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	198	118	95
3200 Obligated balance, end of year .....	118	95	87

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	262	265	241
Outlays, gross:			
4010 Outlays (gross), detail .....	163	194	177
4011 Outlays from discretionary balances .....	168	103	71
4020 Outlays, gross (total) .....	331	297	248
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-1	-1
4033 Non-Federal sources .....	-24	-25	-26
4040 Offsets against gross budget authority and outlays (total) .....	-27	-26	-27
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1	-2	-1
4070 Budget authority, net (discretionary) .....	236	237	213
4080 Outlays, net (discretionary) .....	304	271	221
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	2		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total) .....	236	237	213
4190 Outlays, net (total) .....	306	271	221

The Non-Defense Environmental Cleanup program includes funds to manage and clean up sites used for civilian energy research and non-defense related activities. Past activities related to nuclear energy research and development resulted in radioactive, hazardous, and mixed waste contamination that requires remediation, stabilization, or some other type of action. The budget displays the cleanup program by site.

*West Valley Demonstration Project.*—Funds waste disposition, building decontamination, and removal of non-essential facilities in the near-term. As a result of the Environmental Impact Statement (completed in January 2010), a Record of Decision to

NON-DEFENSE ENVIRONMENTAL CLEANUP—Continued

proceed with "Phased Decommissioning" was issued in April 2010.

**Gaseous Diffusion Plants.**—Funds surveillance and maintenance of the former Uranium Program facilities and manages legacy polychlorinated biphenyl contamination. Also included is the operation of two depleted uranium hexafluoride conversion facilities at Paducah, Kentucky, and Portsmouth, Ohio, to convert the depleted uranium hexafluoride into a more stable form for reuse or disposition.

**Fast Flux Test Facility.**—Funds the long-term surveillance and maintenance and eventual decontamination and decommissioning of the Fast Flux Test Facility, constructed and operated from the 1960s through 1980s.

**Small Sites.**—Funds cleanup, closure, and post-closure environmental activities at a number of geographic sites across the Nation, including Brookhaven National Laboratory, Energy Technology Engineering Center, Moab, and the Stanford Linear Accelerator Center (SLAC), as well as non-defense activities at Idaho. Some sites are associated with other Department of Energy programs, particularly the Office of Science, and will have continuing missions after EM completes the cleanup. Others will transition to the Office of Legacy Management or private sector entities for post-closure activities. Brookhaven and SLAC transfer back to Office of Science in FY 2014.

Object Classification (in millions of dollars)

Identification code 89-0315-0-1-271	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	206	225	193
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	17	19	16
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	227	248	213
99.0 Reimbursable obligations .....	26	28	28
99.9 Total new obligations .....	253	276	241

FOSSIL ENERGY RESEARCH AND DEVELOPMENT

*For necessary expenses in carrying out fossil energy research and development activities, under the authority of the Department of Energy Organization Act (Public Law 95-91), including the acquisition of interest, including defeasible and equitable interests in any real property or any facility or for plant or facility acquisition or expansion, and for conducting inquiries, technological investigations and research concerning the extraction, processing, use, and disposal of mineral substances without objectionable social and environmental costs (30 U.S.C. 3, 1602, and 1603), \$420,575,000, to remain available until expended: Provided, That \$115,753,000 shall be available until September 30, 2015 for program direction: Provided further, That for all programs funded under Fossil Energy appropriations in this Act or any other Act, the Secretary may vest fee title or other property interests acquired under projects in any entity, including the United States.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89-0213-0-1-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Carbon Capture .....	67	69	112
0003 Carbon Storage .....	112	115	61

0004 Advanced Energy Systems .....	97	101	48
0005 Cross-Cutting Research .....	48	48	21
0012 Program Direction - Management .....	123	123	116
0013 Program Direction - NETL R&D .....	35	35	35
0014 Plant and Capital Equipment .....	17	17	13
0016 Environmental Restoration .....	7	8	6
0017 Special Recruitment Program .....	1	1	1
0020 Natural gas technologies .....	15	15	17
0021 Unconventional FE Technologies .....	5	5	.....
0799 Total direct obligations .....	527	537	430
0801 Reimbursable program .....	8	8	8
0900 Total new obligations .....	535	545	438

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	35	29	.....
1021 Recoveries of prior year unpaid obligations .....	190	13	9
1050 Unobligated balance (total) .....	225	42	9
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	534	537	421
1120 Appropriations transferred to other accts [89-0222] .....	-10	.....	.....
1130 Appropriations permanently reduced .....	.....	-13	.....
1131 Unobligated balance of appropriations permanently reduced .....	-187	-29	.....
1160 Appropriation, discretionary (total) .....	337	495	421
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4	8	8
1701 Change in uncollected payments, Federal sources .....	-2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	2	8	8
1900 Budget authority (total) .....	339	503	429
1930 Total budgetary resources available .....	564	545	438
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	29	.....	.....

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,444	3,586	2,468
3010 Obligations incurred, unexpired accounts .....	535	545	438
3020 Outlays (gross) .....	-1,051	-1,650	-1,698
3040 Recoveries of prior year unpaid obligations, unexpired .....	-190	-13	-9
3041 Recoveries of prior year unpaid obligations, expired .....	-152	.....	.....
3050 Unpaid obligations, end of year .....	3,586	2,468	1,199
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4,440	3,584	2,466
3200 Obligated balance, end of year .....	3,584	2,466	1,197

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	339	503	429
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	144	201	171
4011 Outlays from discretionary balances .....	907	1,449	1,527
4020 Outlays, gross (total) .....	1,051	1,650	1,698
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-6	-6
4033 Non-Federal sources .....	-3	-2	-2
4040 Offsets against gross budget authority and outlays (total) .....	-4	-8	-8
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
4070 Budget authority, net (discretionary) .....	337	495	421
4080 Outlays, net (discretionary) .....	1,047	1,642	1,690
4180 Budget authority, net (total) .....	337	495	421
4190 Outlays, net (total) .....	1,047	1,642	1,690

The Fossil Energy Research and Development program supports high-priority, high-risk research that will improve the Nation's ability to use fossil energy resources cleanly, affordably, and efficiently. The program funds research and development with academia, national laboratories, and the private sector to advance the technology base used to develop new products and processes. Fossil Energy R&D supports activities ranging from early concept

research in universities and national laboratories to applied R&D and proof-of-concept projects with private-sector firms.

**Research, Development & Demonstration.**—Program activities, including NETL in-house R&D, focus on: 1) CO2 capture technology applicable to both new and existing fossil-fueled facilities; 2) CO2 storage, with emphasis on modeling, simulation, and CO2 monitoring, verification and accounting; 3) advanced coal-fueled power systems that support carbon capture and storage (CCS), including integrated gasification combined cycle (IGCC) and oxy-combustion technologies; and 4) cross-cutting research to bridge fundamental science and applied engineering development. The Department will continue to work with the private sector and academia to conduct and direct research toward overcoming critical challenges to reducing greenhouse gas emissions from fossil energy power generation in the United States, as well as with the Department of the Interior and the Environmental Protection Agency to ensure that hydraulic fracturing for natural gas development is conducted in a manner that is environmentally sound and protective of human health and safety. Also, methane hydrates R&D activities will continue to advance our understanding of naturally-occurring gas hydrates.

**Program Direction and Management Support.**—The program provides the funding for all headquarters and field personnel and operational expenses in Fossil Energy R&D. In addition, it provides support for day-to-day project management functions. Also included is the Import/Export Authorization program, which will continue regulatory reviews and oversight of the transmission of natural gas across the U.S. borders.

**Environmental Restoration.**—The program provides the funding for environmental cleanup of former and present Fossil Energy project sites, security and safeguard services for NETL, and health, safety, and environmental protection programs at NETL.

**Object Classification** (in millions of dollars)

Identification code 89-0213-0-1-271	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	57	65	65
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	59	68	68
12.1 Civilian personnel benefits .....	17	10	10
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	2	2	2
23.2 Rental payments to others .....	2	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	6	4
25.1 Advisory and assistance services .....	114	35	35
25.2 Other services from non-Federal sources .....	20	20	20
25.3 Other goods and services from Federal sources .....	9	5	5
25.4 Operation and maintenance of facilities .....	61	51	47
25.5 Research and development contracts .....	231	321	220
25.7 Operation and maintenance of equipment .....	2	3	1
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	5	2	5
32.0 Land and structures .....	2	7	7
41.0 Grants, subsidies, and contributions .....	2	2	2
99.0 Direct obligations .....	527	537	430
99.0 Reimbursable obligations .....	8	8	8
99.9 Total new obligations .....	535	545	438

**Employment Summary**

Identification code 89-0213-0-1-271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	570	680	675

**NAVAL PETROLEUM AND OIL SHALE RESERVES**

*For expenses necessary to carry out naval petroleum and oil shale reserve activities, \$20,000,000, to remain available until expended: Provided, That, notwithstanding any other provision of law, unobligated funds remaining from prior years shall be available for all naval petroleum and oil shale reserve activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 89-0219-0-1-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Production and Operations .....	8	8	13
0002 Naval Petroleum and Oil Shale Reserves Program Direction .....	8	7	7
0900 Total new obligations .....	16	15	20
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	3
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	4	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	15	15	20
1160 Appropriation, discretionary (total) .....	15	15	20
1930 Total budgetary resources available .....	19	18	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27	22	13
3010 Obligations incurred, unexpired accounts .....	16	15	20
3020 Outlays (gross) .....	-19	-24	-27
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	22	13	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	22	13
3200 Obligated balance, end of year .....	22	13	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	15	20
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	9	12
4011 Outlays from discretionary balances .....	14	15	15
4020 Outlays, gross (total) .....	19	24	27
4180 Budget authority, net (total) .....	15	15	20
4190 Outlays, net (total) .....	19	24	27

Following the sale of the government's interests in Naval Petroleum Reserve 1 (NPR-1) (Elk Hills) mandated by the National Defense Authorization Act for Fiscal Year 1996 (P.L. 104-106), post-sale activities required by legally binding agreements involve the environmental cleanup/remediation under the Corrective Action Consent Agreement with the State of California Department of Toxic Substances Control (DTSC). Program activities encompass execution of a technical baseline, interim measures, environmental sampling and analysis, corrective measures, waste removal and disposal, confirmatory sampling, and requests to DTSC for release from further corrective actions.

The account also funds activities at the Naval Petroleum Reserve 3 (NPR-3) in Wyoming (Teapot Dome field), a stripper well oil field. Disposition of NPR-3 will be the primary focus. NPR-3 will continue implementing the approved disposition plan. A competitive sale will be conducted in late FY 2014 with final disposition of the property estimated to occur in FY 2015. NPR-3 will be utilized for production and testing operations in order

## NAVAL PETROLEUM AND OIL SHALE RESERVES—Continued

to retain asset value during preparation to transfer to new ownership. Production facilities will remain operational as long as economic, until date of transfer. The program will continue Rocky Mountain Oilfield Testing Center (RMOTC) testing for 100 percent funds-in projects until date of transfer. Environmental remediation of NPR-3 facilities will continue to facilitate the sale/disposition of the property in a manner consistent with the approved property disposition plan.

## Object Classification (in millions of dollars)

Identification code 89–0219–0–1–271	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	2	2
12.1 Civilian personnel benefits .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	5	4	5
25.2 Other services from non-Federal sources .....	7	7	10
25.4 Operation and maintenance of facilities .....	1	1	2
26.0 Supplies and materials .....	1		
99.9 Total new obligations .....	16	15	20

## Employment Summary

Identification code 89–0219–0–1–271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	13	20	12

## STRATEGIC PETROLEUM RESERVE

For necessary expenses for Strategic Petroleum Reserve facility development and operations and program management activities pursuant to the Energy Policy and Conservation Act of 1975, as amended (42 U.S.C. 6201 et seq.), \$189,400,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 89–0218–0–1–274	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 SPR Management .....	20	22	24
0002 SPR Storage Facilities Development .....	174	172	165
0900 Total new obligations .....	194	194	189

## Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	12	12
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	13	12	12
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	193	194	189
1160 Appropriation, discretionary (total) .....	193	194	189
1930 Total budgetary resources available .....	206	206	201
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	12	12

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	147	112	105
3010 Obligations incurred, unexpired accounts .....	194	194	189
3020 Outlays (gross) .....	–222	–201	–184
3040 Recoveries of prior year unpaid obligations, unexpired .....	–7		
3050 Unpaid obligations, end of year .....	112	105	110
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	147	112	105
3200 Obligated balance, end of year .....	112	105	110

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	193	194	189
Outlays, gross:			
4010 Outlays from new discretionary authority .....	96	107	104
4011 Outlays from discretionary balances .....	126	94	80
4020 Outlays, gross (total) .....	222	201	184
4180 Budget authority, net (total) .....	193	194	189
4190 Outlays, net (total) .....	222	201	184

The Strategic Petroleum Reserve (SPR) Program has the national security mission to reduce the vulnerability of the United States to energy supply disruptions by maintaining a crude oil stockpile capable of rapid deployment at the direction of the President. This program protects the United States against foreign and domestic disruptions in its critical petroleum supplies that would result from international incidents, hurricanes or terrorism, and fulfills the United States obligations under the International Energy Program. The International Energy Program (the charter of the International Energy Agency) avails the United States to worldwide emergency assistance through its International Energy Agency alliance in the event of a petroleum supply disruption.

This account provides for the operations, maintenance and security of the SPR storage facilities, drawdown testing and readiness of the Reserve, and program administration. The FY 2014 budget continues to provide further insurance against oil supply disruptions that could harm the U.S. economy by pursuing a SPR program that is environmentally responsible and fully responsive to the needs of the Nation and the public. The FY 2014 budget funds the resumption of degasification operations at West Hackberry site to begin the process of treating oil to safe vapor pressure levels to ensure the availability of crude oil inventories at SPR sites within environmental and safety constraints; provides for the capacity maintenance program to regain cavern volume lost to geologically induced cavern creep and continues a cavern casing inspection and remediation program to comply with state regulations.

The key measure of program performance is expressed as capability to comply with Level 1 Technical and Performance Criteria. These criteria are specifically engineered performance and reliability standards applied to critical inventory storage, drawdown, and delivery systems required for drawing down and delivering crude oil inventory.

## Object Classification (in millions of dollars)

Identification code 89–0218–0–1–274	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	11	10	10
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	2	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	1	1
25.1 Advisory and assistance services .....		1	1
25.2 Other services from non-Federal sources .....	31	47	47
25.4 Operation and maintenance of facilities .....	142	130	125
99.9 Total new obligations .....	194	194	189

## Employment Summary

Identification code 89–0218–0–1–274	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	107	123	123

SPR PETROLEUM ACCOUNT

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89–0233–0–1–274	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,243	2,743	2,243
Budget authority:			
Appropriations, discretionary:			
1130 Appropriations permanently reduced .....		–500	
1160 Appropriation, discretionary (total) .....		–500	
Appropriations, mandatory:			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	–500		
1260 Appropriations, mandatory (total) .....	–500		
1900 Budget authority (total) .....	–500	–500	
1930 Total budgetary resources available .....	2,743	2,243	2,243
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,743	2,243	2,243
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	19	19
3020 Outlays (gross) .....	–6		
3050 Unpaid obligations, end of year .....	19	19	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	19	19
3200 Obligated balance, end of year .....	19	19	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		–500	
Outlays, gross:			
4011 Outlays from discretionary balances .....	6		
Mandatory:			
4090 Budget authority, gross .....	–500		
4180 Budget authority, net (total) .....	–500	–500	
4190 Outlays, net (total) .....	6		

No funding is requested for FY 2014.

ENERGY INFORMATION ADMINISTRATION

For necessary expenses in carrying out the activities of the Energy Information Administration, \$117,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89–0216–0–1–276	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Obligations by Program Activity .....	106	106	117
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Discretionary: .....	105	106	117
1160 Appropriation, discretionary (total) .....	105	106	117
1930 Total budgetary resources available .....	106	106	117

Change in obligated balance:

Unpaid obligations:			
3000 Change in obligated balances .....	18	28	40
3010 Obligations incurred, unexpired accounts .....	106	106	117
3020 Outlays (gross) .....	–95	–94	–109
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3050 Unpaid obligations, end of year .....	28	40	48
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	28	40
3200 Obligated balance, end of year .....	28	40	48

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	105	106	117
Outlays, gross:			
4010 Outlays from new discretionary authority .....	78	74	82
4011 Outlays from discretionary balances .....	17	20	27
4020 Outlays, gross (total) .....	95	94	109
4180 Budget authority, net (total) .....	105	106	117
4190 Outlays, net (total) .....	95	94	109

The Energy Information Administration (EIA) is the statistical and analytical agency within the U.S. Department of Energy. EIA collects, analyzes, and disseminates independent and impartial energy information to promote sound policymaking, efficient markets, and public understanding of energy and its interaction with the economy and the environment. EIA is the Nation's premier source of energy information and, by law, its data, analyses, and forecasts are independent of approval by any other officer or employee of the United States Government. EIA conducts a data collection program with the goal of covering the full spectrum of energy sources, end uses, and energy flows; generates short- and long-term domestic and international energy projections; and performs informative energy analyses. The work of EIA is to further understanding of the energy complex and its interactions with the economy and the environment using state-of-the-art analytical tools and the most comprehensive and timely data available for both supply and demand. Given that the data products, analyses, reports, and services are primarily disseminated to customers and stakeholders through its website, EIA endeavors to provide continuous improvement for users with an emphasis on enabling access to desired information including data in a format and structure usable with minimal additional effort. Priority areas include restoring important electricity trade data collection and adding collection of monthly oil production data; restoring energy modeling and enhancing international, short-term, end-use efficiency, and refinery analytic capabilities; improving the analysis of energy market behavior, the interrelationship of energy and financial markets, and the analysis of refined product markets; revitalizing the energy consumption data program to enhance understanding of energy use and provide benchmarking and performance measurement of energy efficiency programs; modernizing the systems and tools used to produce EIA's weekly petroleum and natural gas statistical reports, on which industry and market participants heavily rely; leveraging technology to more efficiently manage data collection and processing across the agency; and enhancing customer access and usability of EIA's information by developing more integrated and interactive dissemination platforms.

Object Classification (in millions of dollars)

Identification code 89–0216–0–1–276	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	38	40	40
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....	39	42	42
12.1 Civilian personnel benefits .....	11	11	11

ENERGY INFORMATION ADMINISTRATION—Continued  
Object Classification—Continued

Identification code 89-0216-0-1-276	2012 actual	2013 CR	2014 est.
25.1 Consulting services - non-Government contracts .....	34	35	45
25.3 Purchases of goods and services from Government accounts ....	10	9	10
25.4 Operation and maintenance of facilities .....	1		
25.7 Operation and maintenance of equipment .....	5	5	5
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	5	3	3
99.9 Total new obligations .....	106	106	117

## Employment Summary

Identification code 89-0216-0-1-276	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	352	350	350

FEDERAL ENERGY REGULATORY COMMISSION  
SALARIES AND EXPENSES

For necessary expenses of the Federal Energy Regulatory Commission to carry out the provisions of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including services as authorized by 5 U.S.C. 3109, the hire of passenger motor vehicles, and official reception and representation expenses not to exceed \$3,000, \$304,600,000, to remain available until expended: Provided, That notwithstanding any other provision of law, not to exceed \$304,600,000 of revenues from fees and annual charges, and other services and collections in fiscal year 2014 shall be retained and used for necessary expenses in this account, and shall remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as revenues are received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$0.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 89-0212-0-1-276	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Just and Reasonable Rates, Terms & Conditions .....	164	167	166
0802 Infrastructure .....	141	139	139
0900 Total new obligations .....	305	306	305
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	21	20
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	21	21	20
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	305	305	305
1750 Spending auth from offsetting collections, disc (total) .....	305	305	305
1930 Total budgetary resources available .....	326	326	325
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	36	37
3010 Obligations incurred, unexpired accounts .....	305	306	305
3020 Outlays (gross) .....	-301	-305	-332
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	36	37	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	33	36	37
3200 Obligated balance, end of year .....	36	37	10

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	305	305	305
Outlays, gross:			
4010 Outlays from new discretionary authority .....	260	275	275
4011 Outlays from discretionary balances .....	41	30	57
4020 Outlays, gross (total) .....	301	305	332
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	-305	-305	-305
4190 Outlays, net (total) .....	-4		27

The Federal Energy Regulatory Commission (Commission) regulates and oversees key interstate aspects of the electric power, natural gas and oil pipeline and hydropower industries. The Commission assists consumers in obtaining reliable, efficient and sustainable energy services at a reasonable cost through appropriate regulatory and market means. Regulated businesses pay fees and charges sufficient to recover the Commission's full cost of operations.

*Just and Reasonable Rates, Terms and Conditions.*—One of the Commission's fundamental statutory responsibilities is to ensure that rates, terms and conditions for wholesale sales and transmission of electric energy and for transportation of natural gas are just and reasonable and not unduly discriminatory or preferential. The Commission uses a combination of regulatory and market means to achieve this goal, consistent with national policy and priorities. The Commission approves cost-based, and where appropriate, market-based rates for the interstate transportation of natural gas and oil on jurisdictional pipelines, and for the interstate transmission and wholesale sales of electric energy. The Commission accepts tariff provisions, as appropriate, to allow natural gas and oil pipelines and public utilities to modify their services to meet their customers' needs. The organized wholesale electric markets illustrate the Commission's use of regulatory and market means. Improving the competitiveness of these markets encourages new entry by supply-side and demand-side resources, spurs innovation and deployment of new technologies, improves operating performance, and exerts downward pressure on costs. Notable benefits also stem from more broadly diversifying the fuels used to generate electricity. The Commission will continue to pursue market reforms to allow all resources, including renewable energy resources, to compete in jurisdictional markets on a level playing field. These efforts could include amendments to market rules, the modification of ancillary services and related policies, or the implementation of operational tools that support the reliable integration of renewable resources. The Commission will continue its efforts to identify and eliminate barriers to participation by demand resources in organized wholesale electric markets. The Commission also is implementing policies which reform compensation mechanisms for demand response resources in organized wholesale electric markets. The provision of ancillary services is critical to the reliable operation of the interstate electric transmission grid. To build on earlier reforms, the Commission is instituting formal proceedings to determine whether the modification of ancillary services is necessary to support the provision of transmission service on terms and conditions that are just and reasonable and not unduly discriminatory or preferential. The development of RTOs and ISOs and modified market structures was aimed at increasing the efficiency of wholesale electric market operations and increasing non-discriminatory access to the transmission grid. To measure these benefits, the Commission worked with RTO and ISO staff, stakeholders, and other experts to develop operational and financial metrics for RTOs and ISOs. The Commission collected and analyzed the historic data for these metrics to measure performance on three dimensions: market benefits, organizational effect-

iveness, and reliability. The Commission engaged in a similar process with a diverse group of utilities in regions outside RTO and ISO markets to develop comparable operational and financial performance metrics. Participating utilities have submitted performance data on these metrics, which staff is analyzing. The Commission will establish appropriate common metrics between the two groups, refining the metrics as necessary. The final product will be a report that compares the results of the non-RTO/ISO performance metrics with performance data provided by RTOs and ISOs. Oversight and enforcement are essential complements to the Commission's approach to ensure that rates, terms and conditions of service are just and reasonable and not unduly discriminatory or preferential. The Commission will review internal compliance programs as part of its compliance audits, issue publicly available audit reports, and engage in formal and informal outreach efforts to promote effective compliance programs. Audits are planned and prioritized using a risk-based approach in order to maximize the impact of the Commission's resources. The Commission also uses its oversight authority to prevent the accumulation and exercise of market power by reviewing mergers and other corporate filings to ensure that mergers and consolidations will not harm the public interest.

*Infrastructure.*—The Commission plays an important role in the development of a strong and secure energy infrastructure that operates efficiently, safely and reliably. In addition, the Commission will provide leadership, expertise and assistance in identifying, communicating and seeking comprehensive solutions to significant potential cyber and physical security risks to the energy infrastructure under the Commission's jurisdiction. One aspect of the Commission's role in energy infrastructure development stems from siting authority that includes licensing non-federal hydropower projects, certificating interstate natural gas pipelines and storage projects, authorizing liquefied natural gas (LNG) facilities, and, in certain circumstances, permitting electric transmission lines. Throughout all of these processes, the Commission's goal is to expedite application processing without compromising environmental responsibilities or public participation. The Commission encourages, and sometimes requires, project proponents to engage in early involvement with state and federal agencies, Indian tribes, affected landowners and the public. The Commission will support the deployment of smart grid applications in the electric grid by reviewing and adopting, as appropriate, standards and protocols developed through the process coordinated by the National Institute of Standards and Technology. In addition, the Commission will implement rate treatment policies that support investments in smart grid technologies where appropriate. The Commission also evaluates requests for incentive rate treatments on a case-by-case basis, in an effort to encourage development of the Nation's transmission infrastructure. Although ownership of the interstate electric transmission grid is highly disaggregated, with more than 500 owners, transmission planning to meet reliability and economic needs, as well as transmission needs driven by public policy requirements, must be considered not only on a local basis, but also on a regional basis. The Commission therefore requires transmission providers to participate in an open and transparent regional transmission planning process that aims to improve the coordination of transmission planning among utilities. The Commission issued new policies to reform regional transmission planning and cost allocation for new transmission facilities and public utilities have submitted filings to comply with these new requirements. The Commission is monitoring implementation of these new policies. The Commission is responsible for the safety of LNG and non-federal hydropower facilities throughout the entire life cycle of

a project: design review, construction and operation. To meet this mandate, FERC primarily relies on physical inspections of the facilities. The Commission is incorporating risk-informed decision making into its dam safety program. By doing so, the Commission is focusing its resources on those structures that pose the greatest risk. The Commission also has an important role in maintaining the reliability of the electric transmission grid through its oversight of the bulk power system infrastructure and the Electric Reliability Organization (ERO). The ERO develops and enforces mandatory reliability standards, including cyber and physical security standards, subject to the Commission's oversight and approval. The Reliability Standards development process uses an open and inclusive process that employs extensive negotiation, consultation and coordination among many stakeholders. Regional Entities may also develop regional Reliability Standards or regional modifications to a national Reliability Standard. In addition, the ERO may develop interpretations of approved standards, subject to Commission review. In all such cases, the Commission must either accept or remand these filings. The Commission may also, upon its own motion or upon complaint, order the ERO to submit a proposed reliability standard or a modification of an existing reliability standard that addresses a specific reliability matter. Once proposed standards are filed, it is important that the Commission respond in a timely manner so that mandatory and enforceable standards affecting reliability can be implemented in a timely manner. Rigorous audits and investigations of potential violations coupled with appropriate penalties and adequate mitigation plans should reduce the frequency of repeat violations of Reliability Standards. To determine the effectiveness of the compliance program, the Commission has developed a process to track the number and type of violations. The Commission has also established contacts throughout the industry and other government agencies to identify other reliability issues.

*Management Initiatives.*—The Commission has management initiatives underway and administrative processes in place to support its two strategic goals. These activities, including the effective management of human capital, agency resources and information technology, help the Commission work more efficiently, both within and across program areas. The Commission also understands that open lines of communication with affected parties and the public are critical for effective function of Commission operations. The Commission therefore communicates its policies and actions to the public in order to provide a transparent and open process.

**Object Classification** (in millions of dollars)

Identification code 89-0212-0-1-276	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	305	306	305

**Employment Summary**

Identification code 89-0212-0-1-276	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,468	1,480	1,480

**CLEAN COAL TECHNOLOGY**

**Program and Financing** (in millions of dollars)

Identification code 89-0235-0-1-271	2012 actual	2013 CR	2014 est.
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**Budgetary Resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	5	6	6
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CLEAN COAL TECHNOLOGY—Continued  
Program and Financing—Continued

Identification code 89-0235-0-1-271	2012 actual	2013 CR	2014 est.
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	1		
1930 Total budgetary resources available .....	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3020 Outlays (gross) .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1		
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		

The Clean Coal Technology Program was established in the 1980s to perform commercial-scale demonstrations of advanced coal-based technologies. The budget proposes no new funding. All projects have concluded and only closeout activities remain.

ALTERNATIVE FUELS PRODUCTION  
Program and Financing (in millions of dollars)

Identification code 89-5180-0-2-271	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	9		
1022 Capital transfer of unobligated balances to general fund .....	-9		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9		

The alternative fuels program was established in 1980 for the purpose of expediting the development and production of alternative fuels from coal. A loan guarantee was issued by the Department of Energy in 1982 for the construction and startup of the Great Plains Synthetic Fuels Plant to produce synthetic gas lignite coal.

Upon default of the borrower in 1985 under the terms of the loan guarantee, the Department acquired ownership of the Great Plains Coal Gasification Project plant by foreclosure. On October 31, 1988, the Department completed the transfer of the Great Plains Plant to Dakota Gasification Company (DGC) under terms of an Asset Purchase Agreement.

Funds in this account had previously been used to pay for expenses and responsibilities related to the Department's prior operation of the Great Plains Coal Gasification Project and any close-out expenses related to the Asset Purchase Agreement, which expired on December 31, 2009. In August 2012 a balance outstanding of \$9 million of prior-year obligations in this account

was returned, in entirety, to the Treasury via a SF-1151 Nonexpenditure transfer pursuant to 2 U.S.C. 661 d(d).

ULTRA-DEEPWATER AND UNCONVENTIONAL NATURAL GAS AND OTHER  
PETROLEUM RESEARCH FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 89-5523-0-2-271	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 OCS Receipts, Ultra-deepwater and Unconventional Natural Gas and Other Petroleum Research Fund .....	50	50	50
0221 OCS Receipts, Ultra-deepwater and Unconventional Natural Gas and Other Petroleum Research Fund .....			-50
0299 Total receipts and collections .....	50	50	
0400 Total: Balances and collections .....	50	50	
Appropriations:			
0500 Ultra-deepwater and Unconventional Natural Gas and Other Petroleum Research Fund .....	-50	-50	-50
0501 Ultra-deepwater and Unconventional Natural Gas and Other Petroleum Research Fund .....			50
0599 Total appropriations .....	-50	-50	
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 89-5523-0-2-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Consortium-Ultra-Deepwater .....	36	36	36
0002 NETL-Ultra-Deepwater .....	14	15	14
0900 Total new obligations .....	50	51	50
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	50	50	50
1260 Appropriations, mandatory (total) .....	50	50	50
1930 Total budgetary resources available .....	51	51	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	131	146	118
3010 Obligations incurred, unexpired accounts .....	50	51	50
3020 Outlays (gross) .....	-35	-79	-99
3050 Unpaid obligations, end of year .....	146	118	69
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	131	146	118
3200 Obligated balance, end of year .....	146	118	69

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	50	50	50
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	20	20
4101 Outlays from mandatory balances .....	33	59	79
4110 Outlays, gross (total) .....	35	79	99
4180 Budget authority, net (total) .....	50	50	50
4190 Outlays, net (total) .....	35	79	99

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	50	50	50
Outlays .....	35	79	99
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-50
Outlays .....			-20

Total:			
Budget Authority .....	50	50	.....
Outlays .....	35	79	79

The Energy Policy Act of 2005 (Public Law 109–58) created a mandatory Ultra-Deepwater and Unconventional Natural Gas and Other Petroleum Research program beginning in 2007. The program is funded from Federal revenues from oil and gas leases. This Budget proposes to cancel the program through a legislative proposal.

**Object Classification** (in millions of dollars)

Identification code 89–5523–0–2–271	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	10	8	8
25.2 Other services from non-Federal sources .....	2	1	1
25.4 Operation and maintenance of facilities .....	1	.....	.....
25.5 Research and development contracts .....	37	42	41
99.9 Total new obligations .....	50	51	50

**Employment Summary**

Identification code 89–5523–0–2–271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

**ULTRA-DEEPWATER AND UNCONVENTIONAL NATURAL GAS AND OTHER  
PETROLEUM RESEARCH FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 89–5523–4–2–271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Consortium-Ultra-Deepwater .....	.....	.....	–36
0002 NETL-Ultra-Deepwater .....	.....	.....	–14
0900 Total new obligations .....	.....	.....	–50
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	.....	.....	–50
1260 Appropriations, mandatory (total) .....	.....	.....	–50
1930 Total budgetary resources available .....	.....	.....	–50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	–50
3020 Outlays (gross) .....	.....	.....	20
3050 Unpaid obligations, end of year .....	.....	.....	–30
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	–30
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	–50
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	–20
4180 Budget authority, net (total) .....	.....	.....	–50
4190 Outlays, net (total) .....	.....	.....	–20

**Object Classification** (in millions of dollars)

Identification code 89–5523–4–2–271	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	.....	.....	–8
25.2 Other services from non-Federal sources .....	.....	.....	–1
25.5 Research and development contracts .....	.....	.....	–41
99.9 Total new obligations .....	.....	.....	–50

**Employment Summary**

Identification code 89–5523–4–2–271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	.....	.....	–4

**ELK HILLS SCHOOL LANDS FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 89–5428–0–2–271	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	15	15	16
<b>Receipts:</b>			
0220 Elk Hills School Lands Fund .....	.....	1	.....
0400 Total: Balances and collections .....	15	16	16
0799 Balance, end of year .....	15	16	16

Title XXXIV, Subtitle B of Public Law 104–106 required the Department to sell the government's interest in Naval Petroleum Reserve No. 1 (NPR-1;Elk Hills) pursuant to the terms of the Act. The sale occurred in February 1998. Section 3415 of the Act required, among other things, that the Department make an offer of settlement based on the fair value of the State of California's longstanding claims to two parcels of land ("school lands") within the Reserve. Under the Act, nine percent of the net proceeds were reserved in a contingent fund in the Treasury for payment to the State. In compliance with the Act and in order to remove any cloud over title which could diminish the sales value of the Reserve, the Department entered into a settlement agreement with the State on October 11, 1996, in which the Department agreed to compensate the State of California for its claim of title to two sections of land with NPR-1. The "Settlement Agreement" stipulates installments totaling nine percent of the net proceeds from the sale will be paid to the State. Installments totaling \$299,520,000 have been paid to date. On April 21, 2011 the Department settled NPR-1 final equity with Chevron. Under the terms of the settlement, Chevron paid \$108,000,000 to the United States. That, in turn, increased the net proceeds of the sale. On August 3, 2011, the Department and the State agreed on the final payment of \$15,579,815 with respect to the longstanding claim on the two sections of land.

**PAYMENTS TO STATES UNDER FEDERAL POWER ACT**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 89–5105–0–2–806	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
0200 Licenses under Federal Power Act from Public Lands and National Forests, Payment to States (37 1/2%) .....	3	3	3
0400 Total: Balances and collections .....	3	3	3
<b>Appropriations:</b>			
0500 Payments to States under Federal Power Act .....	–3	–3	–3
0799 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 89–5105–0–2–806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3	3	3
0900 Total new obligations (object class 41.0) .....	3	3	3

PAYMENTS TO STATES UNDER FEDERAL POWER ACT—Continued  
Program and Financing—Continued

Identification code 89-5105-0-2-806	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	3	3	3
Appropriation (special or trust fund) .....			
1260	3	3	3
Appropriations, mandatory (total) .....			
1930	3	3	3
Total budgetary resources available .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	2		
Unpaid obligations, brought forward, Oct 1 .....			
3010	3	3	3
Obligations incurred, unexpired accounts .....			
3020	-5	-3	-3
Outlays (gross) .....			
Memorandum (non-add) entries:			
3100	2		
Obligated balance, start of year .....			
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	3	3	3
Budget authority, gross .....			
Outlays, gross:			
4100	3	3	3
Outlays from new mandatory authority .....			
4101	2		
Outlays from mandatory balances .....			
4110	5	3	3
Outlays, gross (total) .....			
4180	3	3	3
Budget authority, net (total) .....			
4190	5	3	3
Outlays, net (total) .....			

The States are paid 37.5 percent of the receipts from licenses for occupancy and use of national forests and public lands within their boundaries issued by the Federal Energy Regulatory Commission (16 U.S.C. 810).

NORTHEAST HOME HEATING OIL RESERVE

For necessary expenses for Northeast Home Heating Oil Reserve storage, operation, and management activities pursuant to the Energy Policy and Conservation Act, \$8,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89-5369-0-2-274	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	156	10	8
NEHOR .....			
0900	156	10	8
Total new obligations (object class 25.2) .....			
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	233	92	92
Unobligated balance brought forward, Oct 1 .....			
1021	5		
Recoveries of prior year unpaid obligations .....			
1050	238	92	92
Unobligated balance (total) .....			
Budget authority:			
Appropriations, discretionary:			
1100	10	10	8
Appropriation .....			
1160	10	10	8
Appropriation, discretionary (total) .....			
1900	10	10	8
Budget authority (total) .....			
1930	248	102	100
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1941	92	92	92
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	12	16	18
Unpaid obligations, brought forward, Oct 1 .....			
3010	156	10	8
Obligations incurred, unexpired accounts .....			
3020	-147	-8	-10
Outlays (gross) .....			
3040	-5		
Recoveries of prior year unpaid obligations, unexpired .....			
3050	16	18	16
Unpaid obligations, end of year .....			

Memorandum (non-add) entries:			
3100	12	16	18
Obligated balance, start of year .....			
3200	16	18	16
Obligated balance, end of year .....			

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	10	10	8
Budget authority, gross .....			
Outlays, gross:			
4010		8	6
Outlays from new discretionary authority .....			
4011	6		4
Outlays from discretionary balances .....			
4020	6	8	10
Outlays, gross (total) .....			
Mandatory:			
Outlays, gross:			
4101	141		
Outlays from mandatory balances .....			
4180	10	10	8
Budget authority, net (total) .....			
4190	147	8	10
Outlays, net (total) .....			

The Northeast Home Heating Oil Reserve provides an emergency supply of home heating oil supply for the Northeast States during times of inventory shortages and significant threats to immediate further supply. In FY 2011, the NEHHOR sold its 2 million barrel inventory of high sulfur heating oil located in Northeast commercial terminals. In order to comply with new Northeast states' emission standards, 1 million barrels of Ultra Low Sulfur Diesel (ULSD) was purchased and stored at commercial terminals at Groton, CT and Boston, MA by the end of FY 2012. The FY 2014 Budget continues the operation and management of the Reserve, including the extension of the leases for the Northeast commercial storage terminals.

NUCLEAR WASTE DISPOSAL

Special and Trust Fund Receipts (in millions of dollars)

Identification code 89-5227-0-2-271	2012 actual	2013 CR	2014 est.
0100	26,110	28,170	30,253
Balance, start of year .....			
Receipts:			
0220	753	755	775
Nuclear Waste Disposal Fund .....			
0240	1,310	1,331	1,447
Earnings on Investments, Nuclear Waste Disposal Fund .....			
0299	2,063	2,086	2,222
Total receipts and collections .....			
0400	28,173	30,256	32,475
Total: Balances and collections .....			
Appropriations:			
0500			-24
Nuclear Energy .....			
0501	-3	-3	-3
Salaries and Expenses .....			
0599	-3	-3	-27
Total appropriations .....			
0799	28,170	30,253	32,448
Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 89-5227-0-2-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	6		
Repository .....			
0900	6		
Total new obligations (object class 41.0) .....			
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	15	9	9
Unobligated balance brought forward, Oct 1 .....			
1930	15	9	9
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1941	9	9	9
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	22	18	
Unpaid obligations, brought forward, Oct 1 .....			
3010	6		
Obligations incurred, unexpired accounts .....			
3020	-10	-18	
Outlays (gross) .....			
3050	18		
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3100	22	18	
Obligated balance, start of year .....			
3200	18		
Obligated balance, end of year .....			

Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	10	18
4190	Outlays, net (total) .....	10	18
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value .....	48,611	49,552
5001	Total investments, EOY: Federal securities: Par value .....	49,552	54,206

A new nuclear waste management approach was outlined in the Administrations January 2013 *Strategy for the Management and Disposal of Used Nuclear Fuel and High Level Radioactive Waste* and the FY 2014 Budget reflects this new effort. The Budget includes a proposal to implement funding reforms needed to support the new approach, which includes the collection of one-time fees anticipated to begin in the 2022 timeframe. Additional discussion of the proposal can be found in the narrative for the Department of Energy's Nuclear Energy account.

In FY 2010, the Department closed the Yucca Mountain Project and the Office of Civilian Radioactive Waste Management. Residual obligations and outlays in the Nuclear Waste Disposal account are associated with Yucca project closeout activities and remaining legacy activities such as accounting.

URANIUM ENRICHMENT DECONTAMINATION AND DECOMMISSIONING FUND

For necessary expenses in carrying out uranium enrichment facility decontamination and decommissioning, remedial actions, and other activities of title II of the Atomic Energy Act of 1954, and title X, subtitle A, of the Energy Policy Act of 1992, \$554,823,000, to be derived from the Uranium Enrichment Decontamination and Decommissioning Fund, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 89–5231–0–2–271	2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....	4,193	3,880
	Receipts:		
0200	Assessments, Decontamination and Decommissioning Fund .....		200
0240	Earnings on Investments, Decontamination and Decommissioning Fund .....	159	106
0241	General Fund Payment - Defense, Decontamination and Decommissioning Fund .....		463
0299	Total receipts and collections .....	159	770
0400	Total: Balances and collections .....	4,352	3,986
	Appropriations:		
0500	Uranium Enrichment Decontamination and Decommissioning Fund .....	–473	–475
0501	Uranium Enrichment Decontamination and Decommissioning Fund .....	1	
0599	Total appropriations .....	–472	–475
0799	Balance, end of year .....	3,880	3,511

Program and Financing (in millions of dollars)

Identification code 89–5231–0–2–271	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001	Oak Ridge .....	201	202
0002	Paducah .....	81	82
0003	Portsmouth .....	190	191
0004	Pension and Community and Regulatory Support .....		24
0900	Total new obligations .....	472	475

Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust fund) .....	473	475
1132	Appropriations temporarily reduced .....	–1	
1160	Appropriation, discretionary (total) .....	472	475
1930	Total budgetary resources available .....	472	475

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	242	150
3010	Obligations incurred, unexpired accounts .....	472	475
3020	Outlays (gross) .....	–564	–463
3050	Unpaid obligations, end of year .....	150	162
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	242	150
3200	Obligated balance, end of year .....	150	162

Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4000	Budget authority, gross .....	472	475
4010	Outlays from new discretionary authority .....	396	333
4011	Outlays from discretionary balances .....	168	130
4020	Outlays, gross (total) .....	564	463
4180	Budget authority, net (total) .....	472	475
4190	Outlays, net (total) .....	564	463

Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value .....	4,372	4,022
5001	Total Investments, end of year: Federal securities: Par Value .....	4,022	3,906

Decontamination and Decommissioning Activities.—Funds 1) projects to decontaminate, decommission, and remediate the sites and facilities of the gaseous diffusion plants at Portsmouth, Ohio; Paducah, Kentucky; and East Tennessee Technology Park, Oak Ridge, Tennessee; 2) pensions and post-retirement medical benefits for active and inactive gaseous diffusion plant workers.

Object Classification (in millions of dollars)

Identification code 89–5231–0–2–271	2012 actual	2013 CR	2014 est.
Direct obligations:			
23.3	Communications, utilities, and miscellaneous charges .....	8	8
25.2	Other services from non-Federal sources .....	259	261
25.4	Operation and maintenance of facilities .....	202	203
41.0	Grants, subsidies, and contributions .....	3	3
99.9	Total new obligations .....	472	475

URANIUM SALES AND REMEDIATION

Program and Financing (in millions of dollars)

Identification code 89–5530–0–2–271	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	5	5
3020	Outlays (gross) .....		–5
3050	Unpaid obligations, end of year .....	5	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	5	5
3200	Obligated balance, end of year .....	5	

Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....		5
4190	Outlays, net (total) .....		5

The Energy and Water Development Appropriations Act of 2006 provided the Department of Energy authority to barter, transfer, or sell uranium and to use any proceeds, without fiscal year

URANIUM SALES AND REMEDIATION—Continued

limitation, to remediate contaminated uranium inventories held by the Secretary of Energy.

ISOTOPE PRODUCTION AND DISTRIBUTION PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 89-4180-0-3-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Isotope Production and Distribution Reimbursable program .....	47	47	47
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	18	18
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	47	47	47
1750 Spending auth from offsetting collections, disc (total) .....	47	47	47
1930 Total budgetary resources available .....	65	65	65
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18	18	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	41	41	37
3010 Obligations incurred, unexpired accounts .....	47	47	47
3020 Outlays (gross) .....	-47	-51	-51
3050 Unpaid obligations, end of year .....	41	37	33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	41	41	37
3200 Obligated balance, end of year .....	41	37	33
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	47	47	47
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	47	47
4011 Outlays from discretionary balances .....	43	4	4
4020 Outlays, gross (total) .....	47	51	51
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-20	-20	-20
4033 Non-Federal sources .....	-27	-27	-27
4040 Offsets against gross budget authority and outlays (total) ....	-47	-47	-47
4080 Outlays, net (discretionary) .....		4	4
4190 Outlays, net (total) .....		4	4

Object Classification (in millions of dollars)

Identification code 89-4180-0-3-271	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	45	45	45
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations .....	47	47	47

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING LOAN PROGRAM

For administrative expenses in carrying out the Advanced Technology Vehicles Manufacturing Loan Program, \$6,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89-0322-0-1-272	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Administrative Expenses -ARRA .....	1		
Credit program obligations:			
0701 Direct loan subsidy .....		4,223	
0705 Reestimates of direct loan subsidy .....	2	13	
0706 Interest on reestimates of direct loan subsidy .....		94	
0709 Administrative expenses .....	5	7	8
0791 Direct program activities, subtotal .....	7	4,337	8
0900 Total new obligations .....	8	4,337	8
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,066	4,230	6
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4,066	4,230	
1021 Recoveries of prior year unpaid obligations .....	164		
1050 Unobligated balance (total) .....	4,230	4,230	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	6
1160 Appropriation, discretionary (total) .....	6	6	6
Appropriations, mandatory:			
1200 Appropriation .....	2	107	
1260 Appropriations, mandatory (total) .....	2	107	
1900 Budget authority (total) .....	8	113	6
1930 Total budgetary resources available .....	4,238	4,343	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4,230	6	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,128	122	3,377
3010 Obligations incurred, unexpired accounts .....	8	4,337	8
3020 Outlays (gross) .....	-850	-1,082	-1,697
3040 Recoveries of prior year unpaid obligations, unexpired .....	-164		
3050 Unpaid obligations, end of year .....	122	3,377	1,688
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,128	122	3,377
3200 Obligated balance, end of year .....	122	3,377	1,688
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	5	5
4011 Outlays from discretionary balances .....	845	970	1,692
4020 Outlays, gross (total) .....	848	975	1,697
Mandatory:			
4090 Budget authority, gross .....	2	107	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	107	
4180 Budget authority, net (total) .....	8	113	6
4190 Outlays, net (total) .....	850	1,082	1,697

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 89-0322-0-1-272	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Auto Loans .....		16,602	
115999 Total direct loan levels .....		16,602	
Direct loan subsidy (in percent):			
132001 Direct Auto Loans .....		25.44	
132999 Weighted average subsidy rate .....		25.44	
Direct loan subsidy budget authority:			
133001 Direct Auto Loans .....		4,224	
133999 Total subsidy budget authority .....		4,224	
Direct loan subsidy outlays:			
134001 Direct Auto Loans .....	837	967	1,689
134999 Total subsidy outlays .....	837	967	1,689
Direct loan upward reestimates:			
135001 Direct Auto Loans .....	2	108	
135999 Total upward reestimate budget authority .....	2	108	

Direct loan downward reestimates:			
137001	Direct Auto Loans .....	-1,131	-919
137999	Total downward reestimate budget authority .....	-1,131	-919
Administrative expense data:			
3510	Budget authority .....	6	6
3580	Outlays from balances .....	7	2
3590	Outlays from new authority .....	3	5

Section 136 of the Energy Independence and Security Act of 2007 established a direct loan program to support the development of advanced technology vehicles and associated components in the United States, known as the Advanced Technology Vehicles Manufacturing Loan Program (ATVM). The 2009 Continuing Resolution (CR), enacted on September 30, 2008, appropriated \$7.5 billion to support a maximum of \$25 billion in loans under the ATVM. The ATVM provides loans to automobile and automobile part manufacturers' for the cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components and for associated engineering integration costs.

The FY 2014 Budget reflects placeholder estimates for direct loan subsidy costs. These estimates are not related to any specific project proposals. DOE will calculate the credit subsidy cost of any direct loan on a case-by-case basis in accordance with Federal Credit Reform Act of 1990 (FCRA) and OMB Circular A-11. For any project, the terms and conditions of the loan, the risks associated with the project, and any other factor that affects the amount and timing of such cash flows will affect the credit subsidy cost calculations.

As required by the FCRA, this account records, for this program, the subsidy costs associated with the direct loans committed in 1992 and beyond (including modifications of direct loans that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 89-0322-0-1-272	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	1	1
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	3	5	6
41.0 Grants, subsidies, and contributions .....	2	4,330	
99.9 Total new obligations .....	8	4,337	8

**Employment Summary**

Identification code 89-0322-0-1-272	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	14	12	11

**ADVANCED TECHNOLOGY VEHICLES MANUFACTURING DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 89-4579-0-3-272	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....		16,602	
0715 Interest paid to FFB .....	329	653	631
0742 Downward reestimate paid to receipt account .....	987	919	
0743 Interest on downward reestimates .....	145		
0900 Total new obligations .....	1,461	18,174	631

**Budgetary Resources:**

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	2,671	1,290
1021	Recoveries of prior year unpaid obligations .....	731	
1023	Unobligated balances applied to repay debt .....	-149	-383
1024	Unobligated balance of borrowing authority withdrawn .....	-731	
1050	Unobligated balance (total) .....	2,522	907
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....		16,602
1440	Borrowing authority, mandatory (total) .....		16,602
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	1,229	2,397
1801	Change in uncollected payments, Federal sources .....	-1,000	3,260
1825	Spending authority from offsetting collections applied to repay debt .....		-328
1850	Spending auth from offsetting collections, mand (total) .....	229	5,329
1900	Financing authority (total) .....	229	21,931
1930	Total budgetary resources available .....	2,751	22,838
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1,290	4,664
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	4,210	1,303
3010	Obligations incurred, unexpired accounts .....	1,461	18,174
3020	Financing disbursements (gross) .....	-3,637	-6,195
3040	Recoveries of prior year unpaid obligations, unexpired .....	-731	
3050	Unpaid obligations, end of year .....	1,303	13,282
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,118	-118
3070	Change in uncollected pymts, Fed sources, unexpired .....	1,000	-3,260
3090	Uncollected pymts, Fed sources, end of year .....	-118	-3,378
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3,092	1,185
3200	Obligated balance, end of year .....	1,185	9,904
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	229	21,931
Financing disbursements:			
4110	Financing disbursements, gross .....	3,637	6,195
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Payment from program account .....	-837	-967
4120	Upward Reestimate .....	-2	-13
4120	Interest on Reestimate .....		-94
4122	Interest on uninvested funds .....	-100	-386
4123	Non-Federal sources (interest) .....	-149	-257
4123	Non-Federal sources (principal) .....	-141	-663
4123	Other Income - Fees .....		-17
4130	Offsets against gross financing auth and disbursements (total) .....	-1,229	-2,397
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	1,000	-3,260
4160	Financing authority, net (mandatory) .....		16,274
4170	Financing disbursements, net (mandatory) .....	2,408	3,798
4180	Financing authority, net (total) .....		16,274
4190	Financing disbursements, net (total) .....	2,408	3,798

**Status of Direct Loans** (in millions of dollars)

Identification code 89-4579-0-3-272	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121	Limitation available from carry-forward .....	16,602	
1143	Unobligated limitation carried forward (P.L. xx) (-) .....	-16,602	
1150	Total direct loan obligations .....		16,602
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	4,912	6,940
1231	Disbursements: Direct loan disbursements .....	2,177	4,623
1251	Repayments: Repayments and prepayments .....	-149	-663
1290	Outstanding, end of year .....	6,940	10,900

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING DIRECT LOAN FINANCING ACCOUNT—Continued

(including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 89-4579-0-3-272	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	1,553	1,173
Investments in US securities:		
1106 Receivables, net .....	13	104
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	4,912	6,940
1402 Interest receivable .....	6	6
1405 Allowance for subsidy cost (-) .....	-490	-337
1499 Net present value of assets related to direct loans .....	4,428	6,609
1999 Total assets .....	5,994	7,886
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	1,082	946
2103 Debt .....	4,912	6,940
2999 Total liabilities .....	5,994	7,886
4999 Total upward reestimate subsidy BA [89-0322] .....	5,994	7,886

TITLE 17 INNOVATIVE TECHNOLOGY LOAN GUARANTEE PROGRAM

Such sums as are derived from amounts received from borrowers pursuant to section 1702(b)(2) of the Energy Policy Act of 2005 under this heading in prior Acts, shall be collected in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided, That, for necessary administrative expenses to carry out this Loan Guarantee program, \$48,000,000 is appropriated, to remain available until expended: Provided further, That \$48,000,000 of the fees collected pursuant to section 1702(h) of the Energy Policy Act of 2005 shall be credited as offsetting collections to this account to cover administrative expenses and shall remain available until expended, so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$0: Provided further, That fees collected under section 1702(h) in excess of the amount appropriated for administrative expenses shall not be available until appropriated.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89-0208-0-1-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....			169
0705 Reestimates of direct loan subsidy .....	409	64	
0706 Interest on reestimates of direct loan subsidy .....	24	4	
0707 Reestimates of loan guarantee subsidy .....	12	1	
0708 Interest on reestimates of loan guarantee subsidy .....	1		
0709 Administrative expenses .....	50	48	50
0900 Total new obligations .....	496	117	219
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	751	756	274
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	751	756	
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	768	756	274
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	36		
1131 Unobligated balance of appropriations permanently reduced .....		-472	

1160 Appropriation, discretionary (total) .....	36	-472	
Appropriations, mandatory:			
1200 Appropriation .....	446	69	
1260 Appropriations, mandatory (total) .....	446	69	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	38	48
1750 Spending auth from offsetting collections, disc (total) .....	2	38	48
1900 Budget authority (total) .....	484	-365	48
1930 Total budgetary resources available .....	1,252	391	322
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	756	274	103

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,555	969	503
3010 Obligations incurred, unexpired accounts .....	496	117	219
3020 Outlays (gross) .....	-1,065	-583	-303
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17		
3050 Unpaid obligations, end of year .....	969	503	419
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,555	969	503
3200 Obligated balance, end of year .....	969	503	419

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	38	-434	48
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	38	48
4011 Outlays from discretionary balances .....	600	476	255
4020 Outlays, gross (total) .....	619	514	303
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2	-38	-48
Mandatory:			
4090 Budget authority, gross .....	446	69	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	446	69	
4180 Budget authority, net (total) .....	482	-403	
4190 Outlays, net (total) .....	1,063	545	255

Memorandum (non-add) entries:

5090 Unavailable balance, SOY: Offsetting collections .....	47	47	47
5091 Unavailable balance, EOY: Offsetting collections .....	47	47	47

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 89-0208-0-1-271	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Section 1703 FFB Loans (Self Pay) .....		9,050	8,750
115003 Section 1703 FFB Loans (EERE) .....			1,072
115999 Total direct loan levels .....		9,050	9,822
Direct loan subsidy (in percent):			
132001 Section 1703 FFB Loans (Self Pay) .....		0.00	0.00
132003 Section 1703 FFB Loans (EERE) .....			15.79
132999 Weighted average subsidy rate .....		0.00	1.72
Direct loan subsidy budget authority:			
133003 Section 1703 FFB Loans (EERE) .....			169
133999 Total subsidy budget authority .....			169
Direct loan subsidy outlays:			
134002 Section 1705 FFB Loans .....	492	423	120
134003 Section 1703 FFB Loans (EERE) .....			85
134999 Total subsidy outlays .....	492	423	205
Direct loan upward reestimates:			
135002 Section 1705 FFB Loans .....	433	68	
135999 Total upward reestimate budget authority .....	433	68	
Direct loan downward reestimates:			
137002 Section 1705 FFB Loans .....		-52	
137999 Total downward reestimate budget authority .....		-52	
Guaranteed loan subsidy outlays:			
234002 Section 1705 Loan Guarantees .....	66	37	48
234999 Total subsidy outlays .....	66	37	48
Guaranteed loan upward reestimates:			
235002 Section 1705 Loan Guarantees .....	13	1	
235999 Total upward reestimate budget authority .....	13	1	
Guaranteed loan downward reestimates:			
237002 Section 1705 Loan Guarantees .....		-6	

237999	Total downward reestimate subsidy budget authority .....	-6		
Administrative expense data:				
3510	Budget authority .....	38	38	48
3580	Outlays from balances .....	42	34	16
3590	Outlays from new authority .....	19	19	34

The Loan Programs Office (LPO) will consider and coordinate Departmental action on all loan guarantee applications submitted to the Department of Energy in compliance with Title XVII of the Energy Policy Act of 2005 (EPAAct of 2005). Section 1703 of that Act authorizes the Department to provide loan guarantees for projects in categories including renewable energy systems, advanced nuclear facilities, coal gasification, carbon sequestration, energy efficiency, and various other types of projects. These projects must avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; employ new or significantly improved technologies compared to commercial technologies in service in the United States at the time the guarantee is issued; and offer a reasonable prospect of repayment of the principal and interest on the guaranteed obligation. To date, DOE has been implementing Section 1703 of this program under authorizing law that allows borrowers to pay the credit subsidy costs of these loan guarantees ("self-pay" authority).

Section 406 of the American Recovery and Reinvestment Act of 2009, P.L. No. 111-5 (the "Recovery Act"), amended the LGPO's authorizing legislation, by establishing Section 1705, a temporary program for the rapid deployment of renewable energy and electric power transmission projects. For the Section 1705 program, \$2.435 billion (after rescissions and transfers) in appropriated credit subsidy was provided, which allows the Secretary to make loan guarantees available for the following categories of projects that commence construction not later than September 30, 2011: renewable energy systems, including incremental hydropower, that generate electricity or thermal energy, and facilities that manufacture related components; electric power transmission systems, including upgrading and reconductoring projects; and leading edge biofuel projects that will use technologies performing at the pilot or demonstrations scale that the Secretary determines are likely to become commercial technologies and will produce transportation fuels that substantially reduce life-cycle greenhouse gas emissions compared to other transportation fuels. Funding for these biofuel projects shall not exceed \$500 million. The authority to enter into loan guarantees under Section 1705 expired on September 30, 2011.

The decision to issue loan guarantees depends on the merits and benefits of particular project proposals and their compliance with statutory and regulatory requirements.

As of 2012, \$34 billion in self-pay loan guarantee authority is available to support projects eligible under Section 1703. In addition, the FY 2011 full-year continuing resolution provided \$170 million in appropriated credit subsidy for Section 1703 loan guarantees for energy efficiency and renewable energy projects. Loan volume utilized may not be reused. The FY 2014 Budget does not include any additional loan authority or appropriated credit subsidy as the program will focus on deploying the significant amount of remaining resources appropriated in prior years. The FY 2014 Budget reflects estimates based on illustrative examples, unrelated to any specific project.

The Loan Programs Office will ensure all processes and criteria are applied uniformly in accordance with established requirements, procedures and guidelines. The Department requests \$48 million in FY 2014 to operate the Office and support personnel and associated costs. This request is intended to be offset by collections authorized under the EPAAct of 2005. To ensure that the Department meets statutory and regulatory requirements and

implements effective management and oversight of its loan guarantee activities, program funding also will support the procurement of outside expertise in areas such as finance, project engineering, and commercial market assessment.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as the administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 89-0208-0-1-271	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	3	3	3
25.1 Advisory and assistance services .....	33	33	35
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	3	1	1
41.0 Grants, subsidies, and contributions .....	446	64	169
43.0 Interest and dividends .....		5	
99.9 Total new obligations .....	496	117	219

**Employment Summary**

Identification code 89-0208-0-1-271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	77	80	80

**TITLE 17 INNOVATIVE TECHNOLOGY DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 89-4455-0-3-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....		9,050	9,822
0715 Interest paid to FFB .....	203	399	558
0742 Downward reestimate paid to receipt account .....		52	
0900 Total new obligations .....	203	9,501	10,380
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,498	1,775	2,384
1021 Recoveries of prior year unpaid obligations .....	120		
1023 Unobligated balances applied to repay debt .....	-64	-180	-673
1024 Unobligated balance of borrowing authority withdrawn .....	-120		
1050 Unobligated balance (total) .....	1,434	1,595	1,711
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	4	9,051	9,822
1440 Borrowing authority, mandatory (total) .....	4	9,051	9,822
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,101	1,690	2,746
1801 Change in uncollected payments, Federal sources .....	-509	-423	-36
1825 Spending authority from offsetting collections applied to repay debt .....	-52	-28	-539
1850 Spending auth from offsetting collections, mand (total) .....	540	1,239	2,171
1900 Financing authority (total) .....	544	10,290	11,993
1930 Total budgetary resources available .....	1,978	11,885	13,704
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,775	2,384	3,324
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,058	4,662	8,378
3010 Obligations incurred, unexpired accounts .....	203	9,501	10,380
3020 Financing disbursements (gross) .....	-3,479	-5,785	-6,603
3040 Recoveries of prior year unpaid obligations, unexpired .....	-120		

**TITLE 17 INNOVATIVE TECHNOLOGY DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued**

Identification code 89-4455-0-3-271	2012 actual	2013 CR	2014 est.
3050 Unpaid obligations, end of year .....	4,662	8,378	12,155
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,190	-681	-258
3070 Change in uncollected pymts, Fed sources, unexpired .....	509	423	36
3090 Uncollected pymts, Fed sources, end of year .....	-681	-258	-222
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,868	3,981	8,120
3200 Obligated balance, end of year .....	3,981	8,120	11,933
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	544	10,290	11,993
Financing disbursements:			
4110 Financing disbursements, gross .....	3,479	5,785	6,603
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account .....	-492	-423	-205
4120 Upward reestimate .....	-409	-64	
4120 Interest on reestimate .....	-24	-4	
4122 Interest on uninvested funds .....	-100	-155	-176
4123 Interest payments .....	-20	-42	-369
4123 Principal payments .....	-56	-85	-895
4123 Fees .....		-917	-1,101
4130 Offsets against gross financing auth and disbursements (total) .....	-1,101	-1,690	-2,746
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	509	423	36
4160 Financing authority, net (mandatory) .....	-48	9,023	9,283
4170 Financing disbursements, net (mandatory) .....	2,378	4,095	3,857
4180 Financing authority, net (total) .....	-48	9,023	9,283
4190 Financing disbursements, net (total) .....	2,378	4,095	3,857

**Status of Direct Loans (in millions of dollars)**

Identification code 89-4455-0-3-271	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....		8,300	9,822
1131 Direct loan obligations exempt from limitation .....		750	
1143 Unobligated limitation carried forward (P.L. xx) (-) .....			
1150 Total direct loan obligations .....		9,050	9,822
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,023	5,293	10,769
1231 Disbursements: Direct loan disbursements .....	3,276	5,334	6,046
1251 Repayments: Repayments and prepayments .....	-56	-85	-895
1261 Adjustments: Capitalized interest .....	50	227	182
1290 Outstanding, end of year .....	5,293	10,769	16,102

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 89-4455-0-3-271	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	308	1,094
Investments in US securities:		
1106 Receivables, net .....	404	98
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	2,023	5,293
1402 Interest receivable .....	11	28
1405 Allowance for subsidy cost (-) .....	-737	-1,254
1499 Net present value of assets related to direct loans .....	1,297	4,067
1999 Total assets .....	2,009	5,259

**LIABILITIES:**

Federal liabilities:			
2101	Accounts payable .....	1	87
2103	Debt .....	2,008	5,172
2999	Total liabilities .....	2,009	5,259
4999	Total liabilities and net position .....	2,009	5,259

**Trust Funds**

**ENERGY SECURITY TRUST**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 89-8577-0-7-272	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Royalties from OCS Oil and Gas Development, Energy Security Trust .....			200
0400 Total: Balances and collections .....			200
Appropriations:			
0500 Energy Security Trust .....			-200
0799 Balance, end of year .....			

**Energy Security Trust.**—The Energy Security Trust proposal is a \$2 billion investment that will support research into a range of technologies—like advanced vehicles that run on electricity, homegrown biofuels, hydrogen, and domestically produced natural gas—to allow the Nation to transition from oil towards more secure alternatives. The Trust will be funded by revenue generated from Federal oil and gas development. Establishing a guaranteed source of funding will allow the Energy Department to maintain targeted and sustained investments that will directly advance U.S. energy security.

**ENERGY SECURITY TRUST**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 89-8577-4-7-272	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Alternative Fuel Vehicle R&D .....			200
0900 Total new obligations (object class 25.5) .....			200
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			200
1260 Appropriations, mandatory (total) .....			200
1930 Total budgetary resources available .....			200
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			200
3020 Outlays (gross) .....			-60
3050 Unpaid obligations, end of year .....			140
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			140
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			200
Outlays, gross:			
4100 Outlays from new mandatory authority .....			60
4180 Budget authority, net (total) .....			200

4190	Outlays, net (total)	60
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2290	Outstanding, end of year	2,963	3,324	3,531
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**TITLE 17 INNOVATIVE TECHNOLOGY GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 89-4577-0- -271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711	Default claim payments on principal	4	17
0712	Default claim payments on interest	2	3
0742	Downward reestimate paid to receipt account	5	
0743	Interest on downward reestimates	1	
0900	Total new obligations	12	20

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	424	437
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	84	58
1801	Change in uncollected payments, Federal sources	-66	-48
1850	Spending auth from offsetting collections, mand (total)	18	10
1930	Total budgetary resources available	442	447
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	442	427

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	12	20
3020	Financing disbursements (gross)	-12	-20
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-347	-244
3070	Change in uncollected pymts, Fed sources, unexpired	66	48
3090	Uncollected pymts, Fed sources, end of year	-281	-196
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-347	-244
3200	Obligated balance, end of year	-281	-196

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross	18	10
Financing disbursements:			
4110	Financing disbursements, gross	12	20
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Payment from program account	-66	-48
4120	Upward Reestimate	-12	-1
4120	Interest on Reestimate	-1	
4122	Interest on uninvested funds	-5	-7
4123	Principal payments		-2
4123	Interest Payments		-1
4130	Offsets against gross financing auth and disbursements (total)	-84	-58
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired	66	48
4170	Financing disbursements, net (mandatory)	-84	-38
4190	Financing disbursements, net (total)	-84	-38

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 89-4577-0- -271	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2121	Limitation available from carry-forward	2,200	2,200
2143	Uncommitted limitation carried forward	-2,200	-2,200
2150	Total guaranteed loan commitments		
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	1,762	3,324
2231	Disbursements of new guaranteed loans	1,264	617
2251	Repayments and prepayments	-63	-389
Adjustments:			
2261	Terminations for default that result in loans receivable		-17
2264	Other adjustments, net	-1	-4

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	2,370	2,659	2,825

Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year			6
2331	Disbursements for guaranteed loan claims	4		17
2351	Repayments of loans receivable			-2
2364	Other adjustments, net	2		3
2390	Outstanding, end of year		6	24

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 89-4577-0- -271	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101	Fund balances with Treasury	161
Investments in US securities:		
1106	Receivables, net	17
1999	Total assets	178
<b>LIABILITIES:</b>		
2101	Federal liabilities: Accounts payable	21
2204	Non-Federal liabilities: Liabilities for loan guarantees	157
2999	Total liabilities	178
4999	Total liabilities and net position	178

**POWER MARKETING ADMINISTRATION**

**Federal Funds**

OPERATION AND MAINTENANCE, ALASKA POWER ADMINISTRATION

The Alaska Power Administration (APA) was created in 1967 by the Secretary of the Interior to assume the functions of the Bureau of Reclamation in Alaska. These functions include operations, maintenance, transmission, and power marketing of the two Federal hydroelectric projects (Eklutna and Snettisham), and the investigation of future water and power development programs. All Alaska activities of APA, including the Juneau headquarters office, were terminated on September 30, 1998. A fund is maintained to liquidate the remaining obligations of the APA.

OPERATION AND MAINTENANCE, SOUTHEASTERN POWER ADMINISTRATION

For necessary expenses of operation and maintenance of power transmission facilities and of marketing electric power and energy, including transmission wheeling and ancillary services, pursuant to section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the southeastern power area, and including official reception and representation expenses in an amount not to exceed \$1,500, \$7,750,000, to remain available until expended: Provided, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944, up to \$7,750,000 collected by the Southeastern Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the Southeastern Power Administration: Provided further, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation estimated at not more than \$0: Provided

OPERATION AND MAINTENANCE, SOUTHEASTERN POWER  
ADMINISTRATION—Continued

further, That, notwithstanding 31 U.S.C. 3302, up to \$93,284,000 collected by the Southeastern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: Provided further, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 89–0302–0–1–271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Purchase Power and Wheeling .....	47	107	78
0802 Annual Expenses and other costs repaid in one year .....	7	12	9
0900 Total new obligations .....	54	119	87
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	9	9
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	61	119	87
1750 Spending auth from offsetting collections, disc (total) .....	61	119	87
1900 Budget authority (total) .....	61	119	87
1930 Total budgetary resources available .....	63	128	96
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	9	2
3010 Obligations incurred, unexpired accounts .....	54	119	87
3020 Outlays (gross) .....	-60	-126	-89
3050 Unpaid obligations, end of year .....	9	2	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	9	2
3200 Obligated balance, end of year .....	9	2	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	61	119	87
Outlays, gross:			
4010 Outlays from new discretionary authority .....	44	119	87
4011 Outlays from discretionary balances .....	16	7	2
4020 Outlays, gross (total) .....	60	126	89
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-53	-107	-78
4033 Non-Federal sources .....	-8	-12	-9
4040 Offsets against gross budget authority and outlays (total) ....	-61	-119	-87
4080 Outlays, net (discretionary) .....	-1	7	2
4190 Outlays, net (total) .....	-1	7	2

The Southeastern Power Administration (Southeastern) markets power generated at 22 U.S. Army Corps of Engineers' hydroelectric generating plants in an eleven-State area of the Southeast. Power deliveries are made by means of contracting for use of transmission facilities owned by others.

Southeastern sells wholesale power primarily to publicly and cooperatively-owned electric distribution utilities. Southeastern does not own or operate any transmission facilities. Its long-term contracts provide for periodic electric rate adjustments to ensure that the Federal Government recovers the costs of operations and the capital invested in power facilities, with interest, in keeping with statutory requirements. As in past years, the budget

continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

**Program Direction.**—Provision is made for negotiation and administration of transmission and power contracts, collection of revenues, development of wholesale power rates, amortization of the Federal power investment, energy efficiency and competitiveness program, investigation and planning of proposed water resources projects, scheduling and dispatch of power generation, scheduling storage and release of water, administration of contractual operation requirements, and determination of methods of operating generating plants individually and in coordination with others to obtain maximum utilization of resources.

**Purchase Power and Wheeling.**—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the disposal of power under contracts with utility companies. Customers are encouraged to use alternative funding mechanisms, including customer advances and net billing to finance these activities. Offsetting collections to fund these ongoing operating services are also available up to \$78.1 million in 2014.

**Reimbursable Program.**—The Consolidated Appropriations Act, 2008 (Pub. L. No. 110–161) provided Southeastern with authority to accept advance payment from customers for reimbursable work associated with operations and maintenance activities, consistent with those authorized in section 5 of the Flood Control Act of 1944. Funds received from any State, municipality, corporation, association, firm, district or individual as an advance payment for reimbursable work will be credited to Southeastern's account and remain available until expended.

## Object Classification (in millions of dollars)

Identification code 89–0302–0–1–271	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	51	119	84
99.5 Below reporting threshold .....	3	.....	3
99.9 Total new obligations .....	54	119	87

## Employment Summary

Identification code 89–0302–0–1–271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	41	46	44

## CONTINUING FUND, SOUTHEASTERN POWER ADMINISTRATION

A continuing fund maintained from receipts from the sale and transmission of electric power in the Southeastern service area is available to defray emergency expenses necessary to ensure continuity of service (16 U.S.C. 825s-2). The fund was last activated in fiscal year 2009 to finance power purchases associated with below normal hydro power generation due to severe drought. Consistent with sound business practices, the Southeastern Power Administration has implemented a policy to recover all emergency costs associated with purchased power and wheeling within one year from the time funds are expended.

## OPERATION AND MAINTENANCE, SOUTHWESTERN POWER ADMINISTRATION

For necessary expenses of operation and maintenance of power transmission facilities and of marketing electric power and energy, for construction and acquisition of transmission lines, substations and appurtenant facilities, and for administrative expenses, including official reception and representation expenses in an amount not to exceed \$1,500 in carrying

out section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the Southwestern Power Administration, \$45,456,000, to remain available until expended: Provided, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), up to \$33,564,000 collected by the Southwestern Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Southwestern Power Administration: Provided further, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation estimated at not more than \$11,892,000: Provided further, That, notwithstanding 31 U.S.C. 3302, up to \$42,000,000 collected by the Southwestern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: Provided further, That, for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 89–0303–0–1–271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Systems operation and maintenance .....	5	5	4
0003 Construction .....	6	6	6
0004 Program direction .....	1	1	2
0200 Direct program subtotal .....	12	12	12
0799 Total direct obligations .....	12	12	12
0805 Purchase power and wheeling .....	12	40	41
0810 Other reimbursable activities .....	37	37	37
0811 Annual Expenses .....	33	33	32
0899 Total reimbursable obligations .....	82	110	110
0900 Total new obligations .....	94	122	122
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		12	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	12	12
1121 Appropriations transferred from other accts [11–5512] .....	17		
1160 Appropriation, discretionary (total) .....	29	12	12
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	79	110	110
1701 Change in uncollected payments, Federal sources .....	–2		
1750 Spending auth from offsetting collections, disc (total) .....	77	110	110
1900 Budget authority (total) .....	106	122	122
1930 Total budgetary resources available .....	106	134	134
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	75	87	60
3010 Obligations incurred, unexpired accounts .....	94	122	122
3020 Outlays (gross) .....	–82	–149	–147
3050 Unpaid obligations, end of year .....	87	60	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2		
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	73	87	60
3200 Obligated balance, end of year .....	87	60	35
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	106	122	122
Outlays, gross:			
4010 Outlays from new discretionary authority .....	54	117	117

4011	Outlays from discretionary balances .....	28	32	30
4020	Outlays, gross (total) .....	82	149	147
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–2	–6	–6
4033	Non-Federal sources .....	–77	–104	–104
4040	Offsets against gross budget authority and outlays (total) ...	–79	–110	–110
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	2		
4070	Budget authority, net (discretionary) .....	29	12	12
4080	Outlays, net (discretionary) .....	3	39	37
4180	Budget authority, net (total) .....	29	12	12
4190	Outlays, net (total) .....	3	39	37

The Southwestern Power Administration (Southwestern) operates in a six-state area marketing and delivering renewable hydroelectric power produced at the U.S. Army Corps of Engineers' dams. Southwestern operates and maintains 1,380 miles of high voltage transmission lines, 25 substations and switching stations, associated power system controls, and communication sites. Southwestern is also responsible for the construction of these facilities.

Southwestern markets and delivers its power at wholesale rates primarily to public bodies and rural electric cooperatives. In compliance with statutory requirements, Southwestern's power sales contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of operations, other costs allocated to power, and the capital investments in power facilities, with interest. Southwestern is also responsible for scheduling and dispatching power and negotiating power sales contracts to meet changing customer load requirements. As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

**Program Direction.**—Provides compensation and all related expenses for personnel who market, deliver, operate, and maintain Southwestern's high-voltage interconnected power system and associated facilities.

**Operations and Maintenance.**—Provides essential electrical and communications equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for the safe, reliable, and cost effective operation and maintenance of the power system.

**Purchase Power and Wheeling.**—Provides for the purchase and delivery of energy to meet limited peaking power contractual obligations. Federal power receipts and alternative financing methods, including net billing, bill crediting, and customer advances are used to fund system-purchased power support and other contractual services. Customers will provide other power resources and/or purchases for the remainder of their firm loads.

**Construction.**—Provides for replacement, addition or upgrade of existing infrastructure to sustain reliable delivery of power to its customers, contain annual maintenance costs, and improve overall efficiency.

**Reimbursable Program.**—This activity involves services provided by Southwestern to others under various types of reimbursable arrangements.

**Object Classification** (in millions of dollars)

Identification code 89–0303–0–1–271	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	1	2
25.2	Other services from non-Federal sources .....	6	6	6
26.0	Supplies and materials .....	2	2	1
31.0	Equipment .....	3	3	3
99.0	Direct obligations .....	12	12	12

OPERATION AND MAINTENANCE, SOUTHWESTERN POWER  
ADMINISTRATION—Continued  
Object Classification—Continued

Identification code 89-0303-0-1-271	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	82	110	110
99.9 Total new obligations .....	94	122	122

Employment Summary

Identification code 89-0303-0-1-271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	10	10	10
2001 Reimbursable civilian full-time equivalent employment .....	164	184	184

WHITE RIVER MINIMUM FLOW

In 2010, Southwestern compensated the licensee of Federal Energy Regulatory Commission (FERC) Project No. 2221 \$26,563,700 for impacts of the White River Minimum Flows project. Under this legislation, Southwestern also has the authority to collect and disburse receipts for Purchase Power and Wheeling expenses as a result of the implementation of the White River Minimum Flows project. Southwestern has made final payment to the licensee of FERC Project No. 2221 from this account.

CONTINUING FUND, SOUTHWESTERN POWER ADMINISTRATION

A continuing fund maintained from receipts from the sale and transmission of electric power in the Southwestern service area, is available permanently for emergency expenses necessary to ensure continuity of electric service and continuous operation of the facilities. The fund is also available on an ongoing basis to pay for purchase power and wheeling expenses when the Administrator determines that such expenses are necessary to meet contractual obligations for the sale and delivery of power during periods of below-average generation (16 U.S.C. 825s-1 as amended further by Public Law No. 101-101). The fund was last activated in fiscal year 2009 to repair and replace damaged transmission lines due to an ice storm.

CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE, WESTERN AREA POWER ADMINISTRATION

For carrying out the functions authorized by title III, section 302(a)(1)(E) of the Act of August 4, 1977 (42 U.S.C. 7152), and other related activities including conservation and renewable resources programs as authorized, including official reception and representation expenses in an amount not to exceed \$1,500; \$299,919,000, to remain available until expended, of which \$292,019,000 shall be derived from the Department of the Interior Reclamation Fund: Provided, That notwithstanding 31 U.S.C. 3302, section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), and section 1 of the Interior Department Appropriation Act, 1939 (43 U.S.C. 392a), up to \$203,989,000 collected by the Western Area Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Western Area Power Administration: Provided further, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation estimated at not more than \$95,930,000, of which \$88,030,000 is derived from the Reclamation Fund: Provided further, That notwithstanding 31 U.S.C. 3302, up to \$230,738,000 collected by the Western Area Power Administration pursuant to the Flood Control Act of 1944 and the Reclamation Project Act of 1939 to recover purchase power and wheeling expenses

shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures, including the cost of voluntary participation in state greenhouse gas programs: Provided further, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 89-5068-0-2-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Systems operation and maintenance .....	41	57	53
0004 Program direction .....	40	39	39
0005 Utah mitigation and conservation fund .....	3	3	.....
0091 Direct Program by Activities - Subtotal (1 level) .....	84	99	92
0100 Total operating expenses .....	84	99	92
0101 Capital investment .....	19	23	17
0799 Total direct obligations .....	103	122	109
0802 Purchase Power and Wheeling .....	117	308	231
0803 Annual Expenses .....	161	221	204
0804 Other Reimbursable .....	259	1,029	807
0809 Reimbursable program activities, subtotal .....	537	1,558	1,242
0899 Total reimbursable obligations .....	537	1,558	1,242
0900 Total new obligations .....	640	1,680	1,351

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	310	369	55
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-1	.....	.....
1050 Unobligated balance (total) .....	309	369	55
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7	10	8
1101 Appropriation (special or trust fund) .....	89	88	88
1160 Appropriation, discretionary (total) .....	96	98	96
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	618	1,268	1,242
1701 Change in uncollected payments, Federal sources .....	-14	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	604	1,268	1,242
1900 Budget authority (total) .....	700	1,366	1,338
1930 Total budgetary resources available .....	1,009	1,735	1,393
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	369	55	42

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	315	297	398
3010 Obligations incurred, unexpired accounts .....	640	1,680	1,351
3020 Outlays (gross) .....	-658	-1,579	-1,355
3050 Unpaid obligations, end of year .....	297	398	394
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-41	-27	-27
3070 Change in uncollected pymts, Fed sources, unexpired .....	14	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-27	-27	-27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	274	270	371
3200 Obligated balance, end of year .....	270	371	367

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	700	1,366	1,338
Outlays, gross:			
4010 Outlays from new discretionary authority .....	375	1,312	1,285
4011 Outlays from discretionary balances .....	283	267	70
4020 Outlays, gross (total) .....	658	1,579	1,355
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-160	-269	-262

4033	Non-Federal sources .....	-458	-999	-980
4040	Offsets against gross budget authority and outlays (total) ....	-618	-1,268	-1,242
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	14		
4070	Budget authority, net (discretionary) .....	96	98	96
4080	Outlays, net (discretionary) .....	40	311	113
4180	Budget authority, net (total) .....	96	98	96
4190	Outlays, net (total) .....	40	311	113

The Western Area Power Administration (Western) markets electric power in 15 central and western states from federally-owned power plants operated primarily by the Bureau of Reclamation, the Army Corps of Engineers, and the International Boundary and Water Commission. Western operates and maintains about 17,000 circuit-miles of high-voltage transmission lines, more than 300 substations/switchyards and associated power system controls, and communication and electrical facilities for 15 separate power projects. Western also constructs additions and modifications to existing facilities.

In keeping with statutory requirements, Western's long-term power contracts allow for periodic rate adjustments to ensure that the Federal Government recovers costs of operations, other costs allocated to power, and the capital investment in power facilities, with interest.

Power is sold to wholesale customers such as municipalities, cooperatives, irrigation districts, public utility districts, State and Federal Government agencies, and private utilities. Receipts are deposited in the Reclamation Fund, the Falcon and Amistad Operating and Maintenance Fund, the General Fund, the Colorado River Dam Fund and the Colorado River Basins Power Marketing Fund.

As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

This account includes appropriations enacted in the American Recovery and Reinvestment Act of 2009 for use by Western Area Power Administration to complete activities authorized in section 402 of the Act.

**Systems Operation and Maintenance.**—Provides essential electrical and communication equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for safe reliable operation and cost-effective maintenance of the power systems.

**Purchase Power and Wheeling.**—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the distribution of power under contracts with utility companies, including the cost of voluntary participation in state greenhouse gas programs. Customers are encouraged to contract for power and wheeling on their own, or use alternative funding mechanisms, including customer advances, net billing and bill crediting to finance these activities. Ongoing operating services are also available on a reimbursable basis.

**System Construction.**—Western's construction and rehabilitation activity emphasizes replacement and upgrades of existing infrastructure to sustain reliable power delivery to its customers, to contain annual maintenance costs, and to improve overall operational efficiency. Western will continue to participate in joint construction projects with customers to encourage more widespread transmission access.

**Program Direction.**—Provides compensation and all related expenses for the workforce that operates and maintains Western's high-voltage interconnected transmission system (systems operation and maintenance program), and those that plan, design, and supervise the construction of replacements, upgrades and

additions (system construction program) to the transmission facilities.

**Utah Mitigation and Conservation.**—This account is primarily for environmental mitigation expenditures covering fish and wildlife, and recreation resources impacted by the Central Utah Project and the Colorado River Storage Project (CRSP) in the State of Utah.

**Reimbursable Program.**—This program involves services provided by Western to others under various types of reimbursable arrangements. Western will continue to spend out of the Colorado River Dam Fund for operations and maintenance activities associated with the Boulder Canyon Project via a reimbursable arrangement with the Interior Department's Bureau of Reclamation. The Colorado River Dam Fund is a revolving fund operated by the Bureau of Reclamation. Authority for Western to obligate directly from the Colorado River Dam Fund comes from section 104(a) of the Hoover Power Plant Act of 1984.

**Object Classification** (in millions of dollars)

Identification code 89-5068-0-2-271	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	12	18	18
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	14	19	19
12.1 Civilian personnel benefits .....	4	6	7
21.0 Travel and transportation of persons .....	1	2	2
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....		1	1
25.2 Other services from non-Federal sources .....	31	30	20
26.0 Supplies and materials .....	1	3	2
31.0 Equipment .....	10	28	16
32.0 Land and structures .....	38	29	41
41.0 Grants, subsidies, and contributions .....	3	3	
99.0 Direct obligations .....	103	122	109
99.0 Reimbursable obligations .....	537	1,558	1,242
99.9 Total new obligations .....	640	1,680	1,351

**Employment Summary**

Identification code 89-5068-0-2-271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	146	198	198
2001 Reimbursable civilian full-time equivalent employment .....	970	940	950

WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY ACT.

**Program and Financing** (in millions of dollars)

Identification code 89-4404-0-3-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0102 Transmission Infrastructure Program Projects .....	1	180	29
0811 Reimbursable program activity .....		151	
0900 Total new obligations .....	1	331	29
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		169	26
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1		
1021 Recoveries of prior year unpaid obligations .....	9		
1050 Unobligated balance (total) .....	10	169	26
<b>Budget authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	382	180	29
1421 Borrowing authority applied to repay debt .....	-382		
1440 Borrowing authority, mandatory (total) .....		180	29
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	9	8	9

WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY  
ACT.—Continued

## Program and Financing—Continued

Identification code 89-4404-0-3-271	2012 actual	2013 CR	2014 est.
1750	9	8	9
Spending authority from offsetting collections, mandatory:			
1800	151	151	
1825		-151	
Spending authority from offsetting collections applied to repay debt			
1850	151		
1900	160	188	38
1930	170	357	64
Memorandum (non-add) entries:			
1941	169	26	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	126	90	164
3010	1	331	29
3020	-28	-257	-51
3040	-9		
Recoveries of prior year unpaid obligations, unexpired			
3050	90	164	142
Memorandum (non-add) entries:			
3100	126	90	164
3200	90	164	142
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	9	8	9
Outlays, gross:			
4010	1	8	9
4011		8	
Outlays, gross (total)			
4020	1	16	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-1	-8	-9
4033	-8		
Offsets against gross budget authority and outlays (total)			
4040	-9	-8	-9
4080	-8	8	
Mandatory:			
4090	151	180	29
Outlays, gross:			
4100		46	20
4101	27	195	22
Outlays, gross (total)			
4110	27	241	42
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	-151	-151	
4180		29	29
4190	-132	98	42

The American Recovery and Reinvestment Act of 2009 (the Act) provided Western Area Power Administration (Western) borrowing authority for the purpose of constructing, financing, facilitating, planning, operating, maintaining or studying construction of new or upgraded electric power transmission lines and related facilities with at least one terminus within the area served by Western, and for delivering or facilitating the delivery of power generated by renewable energy resources constructed or reasonably expected to be constructed after the date of enactment. This authority to borrow from the United States Treasury is available to Western on a permanent, indefinite basis, with the amount of borrowing outstanding not to exceed \$3.25 billion at any one time. Western has established a separate program and office to administer the borrowing authority. The Transmission Infrastructure Program will support Western's and the Department of Energy's priorities by facilitating the delivery of renewable energy resources to market.

## Object Classification (in millions of dollars)

Identification code 89-4404-0-3-271	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	1		
25.2		180	29
Other services from non-Federal sources			
99.0	1	180	29
Direct obligations			
Reimbursable obligations:			
25.2		151	
99.0		151	
Other services from non-Federal sources			
99.9	1	331	29
Reimbursable obligations			
Total new obligations			

## Employment Summary

Identification code 89-4404-0-3-271	2012 actual	2013 CR	2014 est.
1001	14		
2001	2		
Direct civilian full-time equivalent employment			
Reimbursable civilian full-time equivalent employment			

## EMERGENCY FUND, WESTERN AREA POWER ADMINISTRATION

## Program and Financing (in millions of dollars)

Identification code 89-5069-0-2-271	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	1	1	1
1930	1	1	1
Total budgetary resources available			
Memorandum (non-add) entries:			
1941	1	1	1
Unexpired unobligated balance, end of year			

An emergency fund maintained from receipts from the sale and transmission of electric power is available to defray expenses necessary to ensure continuity of service. The fund was last activated in fiscal year 2010 to repair and replace damaged transmission lines due to severe winter storm conditions. This work has since been completed.

## FALCON AND AMISTAD OPERATING AND MAINTENANCE FUND

For operation, maintenance, and emergency costs for the hydroelectric facilities at the Falcon and Amistad Dams, \$5,330,671, to remain available until expended, and to be derived from the Falcon and Amistad Operating and Maintenance Fund of the Western Area Power Administration, as provided in section 2 of the Act of June 18, 1954 (68 Stat. 255) as amended: Provided, That notwithstanding the provisions of that Act and of 31 U.S.C. 3302, up to \$4,910,671 collected by the Western Area Power Administration from the sale of power and related services from the Falcon and Amistad Dams shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the hydroelectric facilities of these Dams and associated Western Area Power Administration activities: Provided further, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation estimated at not more than \$420,000: Provided further, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred: Provided further, That, for fiscal year 2014 and thereafter, the Administrator of the Western Area Power Administration may accept funds contributed by United States power customers of the Falcon and Amistad Dams for deposit into the Falcon and Amistad Operating and Maintenance Fund, and such funds shall be available for the purpose for which contributed in like manner as if said sums had been specifically appropriated for such purpose: Provided further, That any such funds shall be available without further appropriation and without fiscal year limitation for use by the Commissioner of the United States Section of the International Boundary and Water Commission for the sole purpose of operating, maintaining, repairing, rehabilitating, replacing, or upgrading the hydroelectric facilities at these Dams in accordance with agreements

reached between the Administrator, Commissioner, and the power customers.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 89–5178–0–2–271	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	3	4	4
Receipts:			
0220 Falcon and Amistad Operating and Maintenance Fund Receipts .....	1		
0400 Total: Balances and collections .....	4	4	4
0799 Balance, end of year .....	4	4	4

**Program and Financing** (in millions of dollars)

Identification code 89–5178–0–2–271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	4		1
0801 Reimbursable program activity - Annual expenses .....		5	5
0900 Total new obligations (object class 25.3) .....	4	5	6
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections .....	4	5	6
1750 Spending auth from offsetting collections, disc (total) .....	4	5	6
1900 Budget authority (total) .....	4	5	6
1930 Total budgetary resources available .....	4	5	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	2
3010 Obligations incurred, unexpired accounts .....	4	5	6
3020 Outlays (gross) .....	-3	-6	-7
3050 Unpaid obligations, end of year .....	3	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	2
3200 Obligated balance, end of year .....	3	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	5	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	3	4
4011 Outlays from discretionary balances .....	1	3	3
4020 Outlays, gross (total) .....	3	6	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4	-5	-6
4190 Outlays, net (total) .....	-1	1	1

Pursuant to section 2 of the Act of June 18, 1954, as amended, Western Area Power Administration is requesting funding for the Falcon and Amistad Operating and Maintenance Fund to defray operations, maintenance, and emergency (OM&E) expenses for the hydroelectric facilities at Falcon and Amistad Dams on the Rio Grande River. Most of these funds will be made available to the United States Section of the International Boundary and Water Commission through a reimbursable agreement. Within the fund, \$200,000 is for an emergency reserve that will remain unobligated unless unanticipated expenses arise. Revenues in excess of OM&E will be paid to the General Fund to repay the costs of replacements and the original investment with interest. The budget provides funding for annual expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

**Object Classification** (in millions of dollars)

Identification code 89–5178–0–2–271	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	4	5	6

**COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA POWER ADMINISTRATION**

**Program and Financing** (in millions of dollars)

Identification code 89–4452–0–3–271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Program direction .....	54	56	58
0802 Equipment, Contracts and Related Expenses .....	94	166	137
0900 Total new obligations .....	148	222	195
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	171	181	181
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	178	245	204
1720 Capital transfer of spending authority from offsetting collections to general fund .....	-20	-23	-23
1750 Spending auth from offsetting collections, disc (total) .....	158	222	181
1930 Total budgetary resources available .....	329	403	362
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	181	181	167
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	54	45	45
3010 Obligations incurred, unexpired accounts .....	148	222	195
3020 Outlays (gross) .....	-157	-222	-195
3050 Unpaid obligations, end of year .....	45	45	45
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	53	44	44
3200 Obligated balance, end of year .....	44	44	44
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	158	222	181
Outlays, gross:			
4010 Outlays from new discretionary authority .....		50	40
4011 Outlays from discretionary balances .....	157	172	155
4020 Outlays, gross (total) .....	157	222	195
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-10	-10
4033 Non-Federal sources .....	-175	-235	-194
4040 Offsets against gross budget authority and outlays (total) .....	-178	-245	-204
4070 Budget authority, net (discretionary) .....	-20	-23	-23
4080 Outlays, net (discretionary) .....	-21	-23	-9
4180 Budget authority, net (total) .....	-20	-23	-23
4190 Outlays, net (total) .....	-21	-23	-9

Western Area Power Administration's (Western) operation and maintenance (O&M) and power marketing expenses for the Colorado River Storage Project, the Colorado River Basin Project, the Seedskadee Project, the Dolores Project and the Fort Peck Project are financed from power revenues.

*Colorado River Storage Project.*—Western markets power and operates and maintains the power transmission facilities of the Colorado River Storage Project consisting of four major storage units: Glen Canyon on the Colorado River in Arizona, Flaming Gorge on the Green River in Utah, Navajo on the San Juan River in New Mexico, and the Wayne N. Aspinall unit on the Gunnison River in Colorado.

COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA POWER ADMINISTRATION—Continued

**Colorado River Basin Project.**—This project includes Western's expenses associated with the Central Arizona Project and the United States entitlement from the Navajo coal-fired powerplant. Revenues in excess of operating expenses are transferred to the Lower Colorado River Basin Development Fund.

**Seedskadee Project.**—This project includes Western's expenses for O&M, power marketing, and transmission of hydroelectric power from the Fontenelle Dam power plant in southwestern Wyoming.

**Dolores Project.**—This project includes Western's expenses for O&M, power marketing, and transmission of hydroelectric power from power plants at McPhee Dam and Towaoc Canal in southwestern Colorado.

**Fort Peck Project.**—Revenues collected by Western are used to defray operation and maintenance and power marketing expenses associated with the power generation and transmission facilities of the Fort Peck Project, and Western operates and maintains the transmission system and performs power marketing functions.

**Equipment, Contracts and Related Expenses.**—Western operates and maintains approximately 4,000 miles of transmission lines, substations, switchyards, communications and control equipment associated with this fund. Wholesale power is provided to utilities over interconnected high-voltage transmission systems. In keeping with statutory requirements, long-term power contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of O&M, and all capital invested in power, with interest. This activity provides for the supplies, materials, services, capital equipment replacements and additions, including communications and control equipment, purchase power, transmission and wheeling services, and interest payments to the U.S. Treasury.

**Program Direction.**—The personnel compensation and related expenses for all these activities are quantified under Program Direction.

**Balance Sheet** (in millions of dollars)

Identification code 89-4452-0-3-271	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	224	224
Investments in US securities:		
1106 Receivables, net .....	1	1
1206 Non-Federal assets: Receivables, net .....	42	42
Other Federal assets:		
1802 Inventories and related properties .....	4	4
1803 Property, plant and equipment, net .....	183	183
1901 Other assets .....	28	28
1999 Total assets .....	482	482
<b>LIABILITIES:</b>		
2105 Federal liabilities: Other .....	284	284
Non-Federal liabilities:		
2201 Accounts payable .....	8	8
2203 Debt .....	15	15
2207 Other .....	18	18
2999 Total liabilities .....	325	325
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	157	157
4999 Total liabilities and net position .....	482	482

**Object Classification** (in millions of dollars)

Identification code 89-4452-0-3-271	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	27	26	26
11.5 Other personnel compensation .....	3	3	3

11.9 Total personnel compensation .....	30	29	29
12.1 Civilian personnel benefits .....	10	11	11
21.0 Travel and transportation of persons .....	2	3	3
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	72	142	111
25.3 Other goods and services from Federal sources .....	10	5	5
26.0 Supplies and materials .....	4	3	3
31.0 Equipment .....	2	3	10
32.0 Land and structures .....	16	13	13
43.0 Interest and dividends .....	10	7	7
99.9 Total new obligations .....	148	222	195

**Employment Summary**

Identification code 89-4452-0-3-271	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	299	297	295

**BONNEVILLE POWER ADMINISTRATION FUND**

*Expenditures from the Bonneville Power Administration Fund, established pursuant to Public Law 93-454, are approved for construction of, or participating in the construction of, a high voltage line from Bonneville's high voltage system to the service areas of requirements customers located within Bonneville's service area in southern Idaho, southern Montana, and western Wyoming; and such line may extend to, and interconnect in, the Pacific Northwest with lines between the Pacific Northwest and the Pacific Southwest, and for John Day Reprogramming and Construction, the Columbia River Basin White Sturgeon Hatchery, and Kelt Reconditioning and Reproductive Success Evaluation Research, and, in addition, for official reception and representation expenses in an amount not to exceed \$5,000: Provided, That during fiscal year 2014, no new direct loan obligations may be made.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 89-4045-0-3-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Power business line .....	1,288	1,278	1,274
0802 Residential exchange .....	204	203	204
0803 Bureau of Reclamation .....	89	120	150
0804 Corp of Engineers .....	207	216	231
0805 Colville settlement .....	20	21	21
0806 U.S. Fish & Wildlife .....	22	30	31
0807 Planning council .....	9	10	11
0808 Fish and Wildlife .....	249	246	254
0809 Reimbursable program activities, subtotal .....	2,088	2,124	2,176
0811 Transmission business line .....	303	326	336
0812 Conservation and energy efficiency .....	72	86	88
0813 Interest .....	335	362	409
0814 Pension and health benefits .....	34	35	37
0819 Reimbursable program activities, subtotal .....	744	809	870
0821 Power business line .....	214	248	250
0822 Transmission services .....	255	717	746
0823 Conservation and energy efficiency .....	80	75	75
0824 Fish and Wildlife .....	58	67	60
0825 Capital Equipment .....	42	56	45
0826 Projects funded in advance .....	305	72	61
0827 Capitalized Bond Premiums .....	2	2	2
0829 Reimbursable program activities, subtotal .....	956	1,237	1,239
0900 Total new obligations .....	3,788	4,170	4,285

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	11	964
1023 Unobligated balances applied to repay debt .....		-2	-956
1050 Unobligated balance (total) .....	15	9	8

Budget authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	806	1,166	1,179
1440	Borrowing authority, mandatory (total) .....	806	1,166	1,179
Contract authority, mandatory:				
1600	Contract authority .....	1,363		
1640	Contract authority, mandatory (total) .....	1,363		
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	3,306	4,180	4,296
1801	Change in uncollected payments, Federal sources .....	19		
1810	Spending authority from offsetting collections transferred to other accounts [96–3123] .....	-93		
1825	Spending authority from offsetting collections applied to repay debt .....	-329	-221	-152
1826	Spending authority from offsetting collections applied to liquidate contract authority .....	-1,288		
1850	Spending auth from offsetting collections, mand (total) .....	1,615	3,959	4,144
1900	Budget authority (total) .....	3,784	5,125	5,323
1930	Total budgetary resources available .....	3,799	5,134	5,331
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	11	964	1,046
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,473	2,524	2,524
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	-91		
3010	Obligations incurred, unexpired accounts .....	3,788	4,170	4,285
3020	Outlays (gross) .....	-3,646	-4,170	-4,286
3050	Unpaid obligations, end of year .....	2,524	2,524	2,523
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-324	-343	-343
3070	Change in uncollected pymts, Fed sources, unexpired .....	-19		
3090	Uncollected pymts, Fed sources, end of year .....	-343	-343	-343
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,058	2,181	2,181
3200	Obligated balance, end of year .....	2,181	2,181	2,180
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	3,784	5,125	5,323
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3,640	4,070	4,186
4101	Outlays from mandatory balances .....	6	100	100
4110	Outlays, gross (total) .....	3,646	4,170	4,286
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-36	-90	-90
4121	Interest on Federal securities .....	-3	-3	-3
4123	Non-Federal sources .....	-3,267	-4,087	-4,203
4130	Offsets against gross budget authority and outlays (total) .....	-3,306	-4,180	-4,296
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-19		
4160	Budget authority, net (mandatory) .....	459	945	1,027
4170	Outlays, net (mandatory) .....	340	-10	-10
4180	Budget authority, net (total) .....	459	945	1,027
4190	Outlays, net (total) .....	340	-10	-10
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	291	395	395
5001	Total investments, EOY: Federal securities: Par value .....	395	395	395
5052	Obligated balance, SOY: Contract authority .....	1,288	1,363	1,363
5053	Obligated balance, EOY: Contract authority .....	1,363	1,363	1,363

**Status of Direct Loans** (in millions of dollars)

Identification code 89-4045-0-3-271	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2	2	2
1290 Outstanding, end of year .....	2	2	2

Bonneville Power Administration (BPA) is a Federal electric power marketing agency in the Pacific Northwest. BPA markets hydroelectric power from 21 multipurpose water resource projects of the U.S. Army Corps of Engineers and 10 projects of the U.S. Bureau of Reclamation, plus some energy from non-Federal

generating projects in the region. These generating resources and BPA's transmission system are operated as an integrated power system with operating and financial results combined and reported as the Federal Columbia River Power System (FCRPS). BPA provides about 50 percent of the region's electric energy supply and about three-fourths of the region's high-voltage electric power transmission capacity.

BPA is responsible for meeting the net firm power requirements of its requesting customers through a variety of means, including energy conservation programs, acquisition of renewable and other resources, and power exchanges with utilities both in and outside the region.

BPA will finance its operations with a business-type budget under the Government Corporation Control Act, 31 U.S.C. 9101-10, on the basis of the self-financing authority provided by the Federal Columbia River Transmission System Act of 1974 (Transmission Act) (Public Law 93-454) and the U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Electric Power Planning and Conservation Act (Pacific Northwest Power Act) (Public Law 96-501) for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), and other legislation. Authority to borrow from the U.S. Treasury is available to the BPA on a permanent, indefinite basis. The amount of U.S. Treasury borrowing outstanding at any time cannot exceed \$7.70 billion. BPA finances its approximate \$4.3 billion annual cost of operations and investments primarily using power and transmission revenues and loans from the U.S. Treasury.

**Operating Expenses.—Transmission Services.**—Provides for operating over 15,000 circuit miles of high-voltage transmissions lines and 262 substations, and for maintaining the facilities and equipment of the Bonneville transmission system in 2014.

**Power Services.**—Provides for the planning, contractual acquisition and oversight of reliable, cost effective resources. These resources are needed to serve BPA's portion of the region's forecasted net electric load requirements. This activity also includes protection, mitigation and enhancement of fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries in accordance with the Pacific Northwest Power Act. This activity provides for payment of the operation and maintenance (O&M) costs allocated to power the 31 U.S. Army Corps of Engineers and U.S. Bureau of Reclamation hydro projects, amortization on the capital investment in power generating facilities, and irrigation assistance at U.S. Bureau of Reclamation facilities. This activity also provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation. It also provides for extending the benefits of low cost Federal power to the residential and small farm customers of investor-owned and publicly-owned utilities, in accordance with the Pacific Northwest Power Act and for activities of the Pacific Northwest Electric Power and Conservation Planning Council required by the Pacific Northwest Power Act.

**Interest.**—Provides for payments to the U.S. Treasury for interest on U.S. Treasury borrowings to finance BPA's capital investments under \$7.70 billion of U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009, and other legislation. This interest category also includes interest on U.S. Army Corps of Engineers, BPA and U.S. Bureau of Reclamation appropriated debt.

**Capital Investments-Transmission Services.**—Provides for the planning, design and construction of transmission lines, substa-

## BONNEVILLE POWER ADMINISTRATION FUND—Continued

tion and control system additions, replacements, and enhancements to the FCRPS transmission system for a reliable, efficient and cost-effective regional transmission system. Provides for planning, design, and construction work to repair or replace existing transmission lines, substations, control systems, and general facilities of the FCRPS transmission system.

**Power Services.**—Provides for direct funding of additions, improvements, and replacements at existing Federal hydroelectric projects in the Northwest. It also provides for capital investments to implement environmental activities, and protect, mitigate, and enhance fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries, in accordance with the Pacific Northwest Power Act. This activity provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation. *Capital Equipment/Capitalized Bond Premium.*—Provides for capital information technologies, and office furniture and equipment, and software capital development in support of all BPA programs. It also provides for bond premiums incurred for refinancing of bonds.

**Total Capital Obligations.**—The 2014 capital obligations are estimated to be \$1.2 billion.

**Contingencies.**—Although contingencies are not specifically funded, the need may arise to provide for purchase of power in low-water years; for repair and/or replacement of facilities affected by natural and man-made emergencies, including the resulting additional costs for contracting, construction, and operation and maintenance work; for unavoidable increased costs for the planned program due to necessary but unforeseen adjustments, including engineering and design changes, contractor and other claims and relocations; or for payment of a retrospective premium adjustment in excess nuclear property insurance.

**Financing.**—The Transmission Act provides for the use by BPA of all receipts, collections, and recoveries in cash from all sources, including the sale of bonds, to finance the annual budget programs of BPA. These receipts result primarily from the sale of power and transmission services. The Transmission Act also provides for authority to borrow from the U.S. Treasury at rates comparable to borrowings at open market rates for similar issues. BPA has \$7.70 billion of U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009, and other legislation. The amount of BPA's current outstanding bonds with the U.S. Treasury is \$3.4 billion. BPA also currently has \$6.9 billion of non-Federal debt outstanding, including Energy Northwest bonds. BPA will rely primarily on its U.S. Treasury borrowing authority to finance capital projects, but may also elect to use cash reserves generated by revenues from customers or seek third party financing sources when feasible to finance some of these investments.

In 2012, BPA made payments to the Treasury of \$886 million and also expects to make payments of \$675 million in 2013 and \$671 million in 2014. The 2014 payment will be distributed as follows: interest on bonds and appropriations ( \$449 million), amortization ( \$132 million), and other ( \$89 million). BPA also received credits totaling \$77 million applied against its Treasury payments in 2012 to reflect amounts diverted to fish mitigation efforts, but not allocable to power, in the Columbia and Snake River systems.

BPA, with input from its stakeholders, considers other strategies to sustain funding for its infrastructure investment requirements as well. BPA's recently updated Financial Plan defines strategies and policies for guiding how BPA will manage risk and variability

of electricity markets and water years. It also describes how BPA will continue to manage to ensure it meets its Treasury repayment responsibilities.

**Direct Loans.**—During 2014, no new direct loan obligations may be made.

**Operating Results.**—Total revenues are forecast at approximately \$4.3 billion in 2014.

It should be noted that BPA's revenue forecasts are based on several critical assumptions about both the supply of and demand for Federal energy. During the operating year, deviation from the conditions assumed in a rate case may result in a variation in actual revenues of several hundred million dollars from the forecast.

Consistent with Administration policy, BPA will continue to fully recover, from the sale of electric power and transmission, funds sufficient to cover the full cost of Civil Service Retirement System and Post-Retirement Health Benefits for its employees. The entire cost of BPA and the power share of FCRPS U.S. Army Corps of Engineers and U.S. Bureau of Reclamation employees working under the Federal Employees Retirement System is fully recovered in wholesale electric power and transmission rates.

**Balance Sheet** (in millions of dollars)

Identification code 89-4045-0-3-271	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	618	566
Investments in US securities:		
1106 Receivables, net .....	2	1
1206 Non-Federal assets: Receivables, net .....	322	322
Other Federal assets:		
1802 Inventories and related properties .....	94	99
1803 Property, plant and equipment, net .....	4,962	5,228
1901 Other assets .....	16,136	16,828
1999 Total assets .....	22,134	23,044
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....	60	66
2103 Debt .....	8,453	8,778
Non-Federal liabilities:		
2201 Accounts payable .....	394	426
2203 Debt .....	5,713	6,078
2207 Other .....	7,514	7,696
2999 Total liabilities .....	22,134	23,044
4999 Total liabilities and net position .....	22,134	23,044

**Object Classification** (in millions of dollars)

Identification code 89-4045-0-3-271	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	248	280	284
12.1 Civilian personnel benefits .....	131	70	74
13.0 Benefits for former personnel .....	34	35	37
21.0 Travel and transportation of persons .....	12	10	10
22.0 Transportation of things .....	9	7	4
23.2 Rental payments to others .....	23	22	25
23.3 Communications, utilities, and miscellaneous charges .....	9	8	5
25.1 Advisory and assistance services .....	508	507	532
25.2 Other services from non-Federal sources .....	1,298	1,190	1,188
25.5 Research and development contracts .....	15	16	16
26.0 Supplies and materials .....	206	658	660
32.0 Land and structures .....	209	83	72
41.0 Grants, subsidies, and contributions .....	779	881	928
43.0 Interest and dividends .....	307	403	450
99.9 Total new obligations .....	3,788	4,170	4,285

**Employment Summary**

Identification code 89-4045-0-3-271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,037	3,175	3,100

**DEPARTMENTAL ADMINISTRATION**

**Federal Funds**

DEPARTMENTAL ADMINISTRATION

For salaries and expenses of the Department of Energy necessary for departmental administration in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the hire of passenger motor vehicles and official reception and representation expenses not to exceed \$30,000, \$226,580,000, to remain available until September 30, 2015, plus such additional amounts as necessary to cover increases in the estimated amount of cost of work for others notwithstanding the provisions of the Anti-Deficiency Act (31 U.S.C. 1511 et seq.): Provided, That such increases in cost of work are offset by revenue increases of the same or greater amount: Provided further, That moneys received by the Department for miscellaneous revenues estimated to total \$108,188,000 in fiscal year 2014 may be retained and used for operating expenses within this account, as authorized by section 201 of Public Law 95-238, notwithstanding the provisions of 31 U.S.C. 3302: Provided further, That the sum herein appropriated shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$118,392,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 89-0228-0-1-276	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Office of Policy and International Affairs .....	25	28	26
0003 Chief Information Officer .....		1	
0004 Office of Congressional and Intergovernmental Affairs .....	5	5	5
0005 Office of Public Affairs .....	4	4	4
0006 General Counsel .....	31	33	32
0007 Office of the Secretary .....	5	6	6
0008 Economic Impact and Diversity .....	6	8	10
0009 Chief Financial Officer .....	20	28	24
0010 Management .....	61	55	55
0011 Human Capital Management .....	25	24	23
0012 Indian Energy Policy .....	3	4	3
0013 Recovery Act .....	33		
0100 Total, direct programs .....	218	196	188
0799 Total direct obligations .....	218	196	188
0801 Reimbursable program .....	46	46	46
0900 Total new obligations .....	264	242	234
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	66	44	41
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	71	44	41
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	129	131	118
1160 Appropriation, discretionary (total) .....	129	131	118
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	108	108	108
1750 Spending auth from offsetting collections, disc (total) .....	108	108	108
1900 Budget authority (total) .....	237	239	226
1930 Total budgetary resources available .....	308	283	267
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	44	41	33

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	133	127	80
3010 Obligations incurred, unexpired accounts .....	264	242	234
3020 Outlays (gross) .....	-265	-289	-260
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	127	80	54
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	133	127	80
3200 Obligated balance, end of year .....	127	80	54

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	237	239	226
Outlays, gross:			
4010 Outlays from new discretionary authority .....	146	197	186
4011 Outlays from discretionary balances .....	119	92	74
4020 Outlays, gross (total) .....	265	289	260
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-38	-38	-38
4033 Non-Federal sources .....	-70	-70	-70
4040 Offsets against gross budget authority and outlays (total) .....	-108	-108	-108
4070 Budget authority, net (discretionary) .....	129	131	118
4080 Outlays, net (discretionary) .....	157	181	152
4180 Budget authority, net (total) .....	129	131	118
4190 Outlays, net (total) .....	157	181	152

**Chief Financial Officer (CFO).**—The Office of the Chief Financial Officer provides the Department with centralized oversight for a full range of financial management and program evaluation services. CFO financial activities include: budget formulation, presentation and execution; accounting and financial policy; oversight of DOE-wide internal control; and development, maintenance and operation of DOE financial management systems. Management activities include strategic planning, program evaluation, performance management, and special analysis.

**Chief Information Officer (CIO).**—The Office of the Chief Information Officer provides advice and assistance to the Secretary of Energy and other senior managers to ensure that information technology is acquired and information resources are managed in a manner that complies with policies and procedures of legislation including the Paperwork Reduction Act, the Clinger Cohen Act and the Federal Information Security Act.

**Policy and International Affairs (PI).**—The Office of Policy and International Affairs serves as the primary advisor to the Secretary and the Department on energy supply, demand, and technology policy development, analysis and implementation, and leads the Department's international energy initiatives. PI's objectives are: increasing energy diversity; reducing energy-related environmental impacts; enhancing U.S. energy infrastructure; and increasing energy productivity.

**Management (MA).**—The Office of Management provides DOE with centralized direction and oversight for the full range of management, procurement and administrative services. MA is responsible for project and contract management policy development and oversight, acquisition and contract administration, cost estimating, and delivery of procurement services to DOE headquarters organizations. MA's administrative activities include the management of headquarters facilities and the delivery of other services critical to the proper functions of the Department.

**Chief Human Capital Officer (HC).**—The Office of the Chief Human Capital Officer (OCHCO) provides leadership to the Department on the impact and use of policies, proposals, programs, partnership agreements and relationships related to all aspects of human capital management. OCHCO seeks solutions that address workforce issues in the areas of recruiting, hiring, motivating, succession planning, competency development, training

DEPARTMENTAL ADMINISTRATION—Continued

and learning, retention, and diversity. OCHCO also provides leadership and direction on DOE human capital issues with the Office of Personnel Management (OPM), Government Accountability Office (GAO), the Merit Systems Protection Board (MSPB), Federal Labor Relations Authority (FLRA), and other organizations.

*Congressional and Intergovernmental Affairs (CI).*—The Office of Congressional and Intergovernmental Affairs is responsible for the Department's liaison, communication, coordinating, directing, and promoting the Secretary's and the Department's policies and legislative initiatives with Congress, State, territorial, Tribal and local government officials, other Federal agencies, and the general public.

*Indian Energy Policy and Programs (IE).*—The Office of Indian Energy Policy and Programs is charged to direct, foster, coordinate, and implement energy planning, education, management, and programs that assist tribes with energy development, capacity building, energy infrastructure, energy costs, and electrification of Indian lands and homes. Indian Energy coordinates programmatic activity across the Department related to development of energy resources on Indian lands, and works with other federal government agencies, Indian tribes and tribal organizations to promote Indian energy policies and initiatives.

*Public Affairs (PA).*—The Office of Public Affairs is responsible for directing and managing the Department's policies and initiatives with the public, news media, and other stakeholders on energy issues. The Office serves as the Department's chief spokesperson with the news media, shapes initiatives aimed at educating the press and public about energy issues, builds and maintains the Department's innovative and cost-saving Energy.gov internet platform, and oversees all public affairs efforts. This includes public information, press and media services, employee communications, speech writing, special projects, editorial services, and review of proposed publications and audiovisuals. The Office is also leading a cost-saving effort to help upgrade the Department's digital communications and website efforts, reducing costly duplications while improving transparency and customer service to the public.

*General Counsel (GC).*—The Office of the General Counsel is responsible for providing legal services to all DOE offices, and for determining the Department's authoritative position on any question of law with respect to all DOE offices and programs, except for those belonging exclusively to the Federal Energy Regulatory Commission. GC's responsibilities include the provision of legal opinions, advice and services to administrative and program offices, and participation in or management of both administrative and judicial litigation. The office is responsible for the coordination and clearance of proposed legislation affecting energy policy and Department activities. GC serves as the Department's Regulatory Policy Officer under Executive Order 12866; administers and monitors standards of conduct requirements; conducts patent program and intellectual property activities; and coordinates DOE rulemaking actions with other federal agencies. GC also includes the Office of National Environmental Policy Act (NEPA) Policy and Compliance, which provides independent technical and policy reviews to ensure that proposed Department actions comply with NEPA and related environmental requirements. GC also includes the Office of Standard Contract Management, which manages standard contracts between nuclear utilities and the government according to the Nuclear Waste Policy Act of 1982, as amended.

*Office of the Secretary (OSE).*—Directs and leads the management of the Department and provides policy guidance to line and staff organizations in the accomplishment of DOE's mission.

*Economic Impact and Diversity (ED).*—The Office of Economic Impact and Diversity develops and executes Department-wide policies to implement applicable legislation and Executive Orders that strengthen diversity goals affecting equal employment opportunities, small and disadvantaged businesses, minority banks, minority educational institutions, and historically underrepresented communities. The mission is to identify and implement ways of ensuring that underrepresented population groups are afforded an opportunity to participate fully in the energy programs of the Department, including promoting and increasing prime contracting, subcontracting, and energy technology opportunities for small businesses in the acquisition process and technology programs. ED serves as a strong advocate for equal employment opportunities, civil rights concerns, and non-discriminatory practices at the Department. In addition, the Office is charged with creating and sustaining a high performing, inclusive workforce by leveraging diversity and empowering all employees to achieve superior results.

*Cost of Work for Others.*—This activity covers the cost of work performed under orders placed with the Department by non-DOE entities which are precluded by law from making advance payments and certain revenue programs. Reimbursement for these costs is made through deposits of offsetting collections to this account.

Object Classification (in millions of dollars)

Identification code 89-0228-0-1-276	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	67	88	87
11.3 Other than full-time permanent .....	10	5	5
11.5 Other personnel compensation .....	1	2	2
Total personnel compensation .....	78	95	94
12.1 Civilian personnel benefits .....	20	22	22
21.0 Travel and transportation of persons .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	21	16	15
25.2 Other services from non-Federal sources .....	25	13	13
25.3 Other goods and services from Federal sources .....	29	19	18
25.4 Operation and maintenance of facilities .....	30	25	20
26.0 Supplies and materials .....	1	1	1
44.0 Refunds .....	10		
99.0 Direct obligations .....	218	196	188
99.0 Reimbursable obligations .....	46	46	46
99.9 Total new obligations .....	264	242	234

Employment Summary

Identification code 89-0228-0-1-276	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	958	785	885

OFFICE OF THE INSPECTOR GENERAL

*For necessary expenses of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$42,120,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 89-0236-0-1-276	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	42	48	48
0002 Recovery Act Activities .....	4		
0900 Total new obligations .....	46	48	48
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	25	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	42	42	42
1160 Appropriation, discretionary (total) .....	42	42	42
1930 Total budgetary resources available .....	71	67	61
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	25	19	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	7	6
3010 Obligations incurred, unexpired accounts .....	46	48	48
3020 Outlays (gross) .....	-46	-49	-45
3050 Unpaid obligations, end of year .....	7	6	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	7	6
3200 Obligated balance, end of year .....	7	6	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	42	42	42
Outlays, gross:			
4010 Outlays from new discretionary authority .....	23	36	36
4011 Outlays from discretionary balances .....	23	13	9
4020 Outlays, gross (total) .....	46	49	45
4180 Budget authority, net (total) .....	42	42	42
4190 Outlays, net (total) .....	46	49	45

This appropriation provides Department-wide, including the National Nuclear Security Administration and the Federal Energy Regulatory Commission, audit, inspection, and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, abuse and violations of law. The audit function provides financial and performance audits of programs and operations. The inspection function provides independent inspections and analyses of the performance, on a system basis, of programs and operations. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations. Through these efforts the OIG identifies opportunities for cost savings and operational efficiencies; identifies programs that are not meeting performance expectations; recovers monies to the Department and the Treasury as a result of civil and criminal prosecutions; and identifies ways to make Departmental programs safer and more secure.

**Object Classification** (in millions of dollars)

Identification code 89-0236-0-1-276	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	25	28	28
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	28	30	30
12.1 Civilian personnel benefits .....	9	10	10
21.0 Travel and transportation of persons .....	2	2	2
25.2 Other services from non-Federal sources .....	4	3	3
25.3 Other goods and services from Federal sources .....	3	2	2
26.0 Supplies and materials .....		1	1

99.9 Total new obligations .....	46	48	48
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**Employment Summary**

Identification code 89-0236-0-1-276	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	270	270	270

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 89-4563-0-4-276	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Payroll and other personnel .....	8	9	9
0802 Project management and career development program .....	1	2	2
0810 Supplies .....	3	4	3
0811 Postage .....	3	5	4
0812 Photocopying .....	3	3	3
0813 Printing and graphics .....	3	4	4
0814 Building rental, operations & maintenance .....	96	102	96
0815 iManage .....	25	19	35
0817 Internal control/Financial Statement Audit .....	10	15	13
0818 Procurement Management .....	16	17	17
0820 Telecommunication .....	19	30	30
0821 Overseas Representation .....		15	15
0822 Interagency Transfers to GSA .....		6	6
0823 Health Services .....	1	2	2
0824 CyberOne .....		40	40
0825 Corporate Training Services .....	1		
0900 Total new obligations .....	189	273	279
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	43	31
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	204	261	279
1750 Spending auth from offsetting collections, disc (total) .....	204	261	279
1930 Total budgetary resources available .....	232	304	310
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	43	31	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	57	58	5
3010 Obligations incurred, unexpired accounts .....	189	273	279
3020 Outlays (gross) .....	-188	-326	-278
3050 Unpaid obligations, end of year .....	58	5	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	57	58	5
3200 Obligated balance, end of year .....	58	5	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	204	261	279
Outlays, gross:			
4010 Outlays from new discretionary authority .....	108	251	268
4011 Outlays from discretionary balances .....	80	75	10
4020 Outlays, gross (total) .....	188	326	278
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-204	-261	-279
4190 Outlays, net (total) .....	-16	65	-1

The Department's Working Capital Fund (WCF) provides the following shared services: rent and building operations, telecommunications, cyber-security, automated office systems including the Standard Accounting and Reporting System, Strategic Integrated Procurement Enterprise System, payroll and personnel processing, administrative services, training and health services, overseas representation, procurement management, audits, and controls for financial reporting. The WCF helps the Department reduce waste and improve efficiency.

**WORKING CAPITAL FUND—Continued**  
**Object Classification** (in millions of dollars)

Identification code 89-4563-0-4-276	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6	11	11
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	7	13	13
12.1 Civilian personnel benefits .....	2	3	3
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	60	60	60
23.3 Communications, utilities, and miscellaneous charges .....	23	68	73
24.0 Printing and reproduction .....	3	2	2
25.1 Advisory and assistance services .....	1	20	20
25.2 Other services from non-Federal sources .....	85	66	67
25.3 Other goods and services from Federal sources .....	5	33	33
25.6 Medical care .....	3	2	2
26.0 Supplies and materials .....		5	5
99.9 Total new obligations .....	189	273	279

**Employment Summary**

Identification code 89-4563-0-4-276	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	38	38	38

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
89-089400 Fees and Recoveries, Federal Energy Regulatory Commission .....	33	27	26
89-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	1	1	1
89-223000 Oil and Gas Sale Proceeds at NPRs. ....	5	1	1
89-224500 Sale and Transmission of Electric Energy, Falcon Dam .....	1	2	1
89-224700 Sale and Transmission of Electric Energy, Southwestern Power Administration .....	33	84	84
89-224800 Sale and Transmission of Electric Energy, Southeastern Power Administration .....	112	155	196
89-224900 Sale of Power and Other Utilities, not Otherwise Classified .....	62	30	30
89-279530 DOE ATVM Direct Loans Downward Reestimate Account .....	1,131	919	
89-279730 DOE Loan Guarantees Downward Reestimate Account .....		58	
89-288900 Repayments on Miscellaneous Recoverable Costs, not Otherwise Classified .....	33	35	37
89-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	173	14	14
General Fund Offsetting receipts from the public .....	1,584	1,326	390
Intragovernmental payments:			
89-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	7	7	7
General Fund Intragovernmental payments .....	7	7	7

**GENERAL PROVISIONS—DEPARTMENT OF ENERGY**

(INCLUDING CANCELLATION AND TRANSFER OF FUNDS)

*SEC. 301. The unexpended balances of prior appropriations provided for activities in this Act may be available to the same appropriation ac-*

*counts for such activities established pursuant to this title. Available balances may be merged with funds in the applicable established accounts and thereafter may be accounted for as one fund for the same time period as originally enacted.*

*SEC. 302. Funds appropriated by this or any other Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of the Intelligence Authorization Act for fiscal year 2014.*

*SEC. 303. Not to exceed 5 percent, or \$100,000,000, of any appropriation, whichever is less, made available for Department of Energy activities funded in this Act or subsequent Energy and Water Development and Related Agencies Appropriations Acts may be transferred between such appropriations, but no such appropriation, except as otherwise provided, shall be increased or decreased by more than 5 percent by any such transfers, and any such proposed transfers shall be submitted promptly to the Committees on Appropriations of the House and Senate.*

*SEC. 304. None of the funds made available in this title shall be used for the construction of facilities classified as high-hazard nuclear facilities under 10 CFR Part 830 unless independent oversight is conducted by the Office of Health, Safety, and Security to ensure the project is in compliance with nuclear safety requirements.*

*SEC. 305. None of the funds made available in this title may be used to approve critical decision-2 or critical decision-3 under Department of Energy Order 413.3B, or any successive departmental guidance, for construction projects where the total project cost exceeds \$100,000,000, until a separate independent cost estimate has been developed for the project for that critical decision.*

*SEC. 306. (a) The set-asides included in Division C of Public Law 111-8 for projects specified in the explanatory statement accompanying that Act in the following accounts shall not apply to such funds: "Defense Environmental Cleanup", "Electricity Delivery and Energy Reliability", "Energy Efficiency and Renewable Energy", "Fossil Energy Research and Development", "Non-Defense Environmental Cleanup", "Nuclear Energy", "Other Defense Activities", and "Science". (b) The set-asides included in Public Law 111-85 for projects specified in the explanatory statement accompanying that Act in the following accounts shall not apply to such funds: "Electricity Delivery and Energy Reliability", "Energy Efficiency and Renewable Energy", "Fossil Energy Research and Development", "Nuclear Energy", and "Science".*

*SEC. 307. The Secretary of Energy may transfer up to \$48,000,000 from any appropriation or combination of appropriations made available to the Department of Energy in this or prior Acts to any other appropriation, for the purpose of carrying out domestic uranium enrichment research, development, and demonstration activities: Provided, That any transfer pursuant to this section does not transfer funds from the national defense (050) budget function to any other budget function, or from any other budget function to the national defense (050) budget function.*

**TITLE V—GENERAL PROVISIONS**

*SEC. 501. Notwithstanding the limitations contained in section 306(g) of the Denali Commission Act of 1998, the Denali Commission may use amounts transferred pursuant to section 329 of the Department of Transportation and Related Agencies Appropriations Act, 1999, for administrative expenses.*

*SEC. 502. None of the funds made available by this Act may be used in contravention of Executive Order No. 12898 of February 11, 1994 ("Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations").*

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

## FOOD AND DRUG ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; and notwithstanding section 521 of Public Law 107-188; \$3,957,738,000: Provided, That, of the amount provided under this heading, \$760,000,000 shall be derived from prescription drug user fees authorized by 21 U.S.C. 379h, and shall be credited to this account and remain available until expended; \$114,833,000 shall be derived from medical device user fees authorized by 21 U.S.C. 379j, and shall be credited to this account and shall remain available until expended; \$534,000,000 shall be derived from tobacco product user fees authorized by 21 U.S.C. 387s, and shall be credited to this account and remain available until expended: Provided further, That, in addition to and notwithstanding any other provision under this heading, amounts collected for prescription drug user fees and medical device user fees that exceed the respective fiscal year 2014 limitations are appropriated and shall be credited to this account and remain available until expended: Provided further, That fees derived from prescription drug, medical device, human generic drug, and biosimilar biological product assessments for fiscal year 2014, including any such fees collected prior to fiscal year 2014 but credited for fiscal year 2014, shall be subject to the fiscal year 2014 limitations: Provided further, That the Secretary may, prior to the due date for such fees, accept payment during fiscal year 2014 of user fees specified under this heading and authorized for fiscal year 2015, and that amounts of such fiscal year 2015 fees for which the Secretary accepts payment during fiscal year 2014 shall not be included in amounts provided under this heading: Provided further, That not to exceed \$25,000 of this amount shall be for official reception and representation expenses, not otherwise provided for, as determined by the Commissioner.

In addition, human generic drug user fees authorized by 21 U.S.C. 379j-42, biosimilar biological product fees authorized by 21 U.S.C. 379j-52, mammography user fees authorized by 42 U.S.C. 263b, export certification user fees authorized by 21 U.S.C. 381, priority review user fees authorized by 21 U.S.C. 360n, and food and feed recall and reinspection fees authorized by 21 U.S.C. 379j-31 shall be credited to this account, to remain available until expended.

#### BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, \$8,788,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 75-9911-0-1-554	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Cooperative Research and Development Agreements, FDA .....	2	2	2
0400 Total: Balances and collections .....	2	2	2
Appropriations:			
0500 Salaries and Expenses .....	-2	-2	-2
0799 Balance, end of year .....			

#### Program and Financing (in millions of dollars)

Identification code 75-9911-0-1-554	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Foods .....	866	864	883
0002 Drugs .....	828	481	466
0003 Devices and radiological products .....	323	325	321
0004 National Center for Toxicological Research .....	60	60	59
0005 Other activities .....	154	163	173
0006 Other rent and rent related activities .....	106	107	133
0007 Rental payments .....	160	161	162
0008 Buildings and facilities .....	9	9	9
0009 CRADAs .....	2	2	2
0010 Animal Drugs and Feed .....		138	141
0011 Biologics .....		213	211
0799 Total direct obligations .....	2,508	2,523	2,560
0801 Reimbursable program (User fees) .....	1,086	1,686	1,788
0802 Reimbursable program (Federal sources) .....		49	49
0899 Total reimbursable obligations .....	1,086	1,735	1,837
0900 Total new obligations .....	3,594	4,258	4,397
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	581	854	854
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	581	854	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	582	854	854
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,506	2,521	2,558
1160 Appropriation, discretionary (total) .....	2,506	2,521	2,558
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	2	2
1260 Appropriations, mandatory (total) .....	2	2	2
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,275	1,416	1,837
1701 Change in uncollected payments, Federal sources .....	19		
1702 Offsetting collections (previously unavailable) .....	388	324	333
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-324	-324	-333
1750 Spending auth from offsetting collections, disc (total) .....	1,358	1,416	1,837
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		319	
1850 Spending auth from offsetting collections, mand (total) .....		319	
1900 Budget authority (total) .....	3,866	4,258	4,397
1930 Total budgetary resources available .....	4,448	5,112	5,251
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	854	854	854
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,459	1,663	1,641
3010 Obligations incurred, unexpired accounts .....	3,594	4,258	4,397
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-3,330	-4,280	-4,354
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-64		
3050 Unpaid obligations, end of year .....	1,663	1,641	1,684
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-46	-44	-44
3070 Change in uncollected pymts, Fed sources, unexpired .....	-19		
3071 Change in uncollected pymts, Fed sources, expired .....	21		
3090 Uncollected pymts, Fed sources, end of year .....	-44	-44	-44
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,413	1,619	1,597
3200 Obligated balance, end of year .....	1,619	1,597	1,640
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,864	3,937	4,395
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,420	2,888	3,331
4011 Outlays from discretionary balances .....	908	1,071	1,021
4020 Outlays, gross (total) .....	3,328	3,959	4,352

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 75-9911-0-1-554	2012 actual	2013 CR	2014 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-49	-49	-49
4033 Non-Federal sources .....	-1,252	-1,367	-1,788
4040 Offsets against gross budget authority and outlays (total) ....	-1,301	-1,416	-1,837
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-19		
4052 Offsetting collections credited to expired accounts .....	26		
4060 Additional offsets against budget authority only (total) .....	7		
4070 Budget authority, net (discretionary) .....	2,570	2,521	2,558
4080 Outlays, net (discretionary) .....	2,027	2,543	2,515
Mandatory:			
4090 Budget authority, gross .....	2	321	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	321	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....		-319	
4180 Budget authority, net (total) .....	2,572	2,523	2,560
4190 Outlays, net (total) .....	2,029	2,545	2,517

Memorandum (non-add) entries:			
5090 Unavailable balance, SOY: Offsetting collections .....	413	349	349
5091 Unavailable balance, EOY: Offsetting collections .....	349	349	349

[In millions of dollars]

	2012	2013	2014
Distribution of discretionary budget authority by account:			
Salaries and expenses .....	2497	2512	2549
Buildings and facilities .....	9	9	9
Distribution of discretionary outlays by account:			
Salaries and expenses .....	2018	2534	2506
Buildings and facilities .....	9	9	9

The Food and Drug Administration (FDA) is responsible for protecting the public health by ensuring the safety, effectiveness, and security of human and veterinary drugs, biological products and medical devices; ensuring the safety of foods, cosmetics, and radiation-emitting products; and regulating tobacco products. FDA also advances public health by helping to speed innovations that make medicines and devices safer and more effective. The agency strives to provide the public with accurate, science-based information necessary to use medical products and foods to maintain and improve their health. FDA also has responsibility for regulating the manufacturing, marketing and distribution of tobacco products to protect the public health and to reduce tobacco use by minors. Finally, FDA plays a significant role in the Nations counterterrorism efforts. FDA fulfills this responsibility by ensuring the security of the food supply and by fostering the development of medical products to respond to intentional and natural public health threats. The Budget includes funding for counterterrorism activities that specifically relate to the protection of products or therapies regulated by the FDA (such as drugs, vaccines, foods, and animal feed), and the availability of medical products for public health preparedness in the event of an attack. Specifically, the Budget requests funding for food protection, drug safety, medical device review and safety, developing medical countermeasures, and headquarters consolidation in White Oak, Maryland.

Object Classification (in millions of dollars)

Identification code 75-9911-0-1-554	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	757	719	787
11.3 Other than full-time permanent .....	101	97	106
11.5 Other personnel compensation .....	54	51	56
11.7 Military personnel .....	60	57	61

11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	973	925	1,011
12.1 Civilian personnel benefits .....	267	254	278
12.2 Military personnel benefits .....	32	30	32
21.0 Travel and transportation of persons .....	47	51	49
22.0 Transportation of things .....	4	5	5
23.1 Rental payments to GSA .....	161	161	162
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	37	40	38
24.0 Printing and reproduction .....	3	3	3
25.1 Advisory and assistance services .....	47	50	48
25.2 Other services from non-Federal sources .....	359	384	340
25.3 Other goods and services from Federal sources .....	226	242	233
25.4 Operation and maintenance of facilities .....	57	61	59
25.5 Research and development contracts .....	33	35	34
25.7 Operation and maintenance of equipment .....	48	52	50
26.0 Supplies and materials .....	42	46	44
31.0 Equipment .....	54	58	56
32.0 Land and structures .....	4	5	5
41.0 Grants, subsidies, and contributions .....	110	117	109
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,508	2,523	2,560
99.0 Reimbursable obligations .....	1,086	1,735	1,837
99.9 Total new obligations .....	3,594	4,258	4,397

Employment Summary

Identification code 75-9911-0-1-554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	8,974	10,025	10,316
1101 Direct military average strength employment .....	690	690	690
2001 Reimbursable civilian full-time equivalent employment .....	3,514	3,477	3,580
2101 Reimbursable military average strength employment .....	270	270	270
3001 Allocation account civilian full-time equivalent employment .....	53	72	74
3101 Allocation account military average strength employment .....	1	1	1

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

*In addition, contingent upon the enactment of authorizing legislation, the Secretary shall assess a fee with respect to animal drugs and animal generic drugs: Provided, That fees of \$23,600,000 with respect to animal drugs shall be credited to this account and remain available until expended; and \$7,328,000 with respect to animal generic drugs shall be credited to this account and remain available until expended: Provided further, That in addition to and notwithstanding any other provision under this heading, amounts collected for such animal drug user fees and animal generic drug user fees that exceed the respective fiscal year 2014 limitations are appropriated and shall be credited to this account and remain available until expended: Provided further, That fees derived from such animal drugs and animal generic drugs assessments for fiscal year 2014, including any such fees collected prior to fiscal year 2014 but credited for fiscal 2014, shall be subject to the fiscal year 2014 limitations: Provided further, That the Secretary may, prior to the due date for such animal drug and animal generic drug user fees, accept payment during fiscal year 2014 of such fees authorized for fiscal year 2015, and that amounts of such fiscal year 2015 fees for which the Secretary accepts payment during fiscal year 2014 shall not be included in amounts provided under this heading.*

*In addition, contingent upon the enactment of authorizing legislation, the Secretary shall assess user fees with respect to food facility registrations and inspections, food imports, food contact notification activities, reinspection of medical product facilities, cosmetic activities, and international express courier import activities, and such fees shall be credited to this account and remain available until expended.*

Program and Financing (in millions of dollars)

Identification code 75-9911-2-1-554	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 ADUFA .....			23
0802 AGDUFA .....			7
0803 Med.Prod.Reinspect .....			15
0804 Intl.Courier .....			6
0805 Food Reg.and Inspect .....			59
0806 Food Import .....			166
0807 Cosmetics .....			19
0808 Food Contact .....			5

0900	Total new obligations .....	300
<b>Budgetary Resources:</b>		
Budget authority:		
Spending authority from offsetting collections, discretionary:		
1700	Collected .....	300
1750	Spending auth from offsetting collections, disc (total) .....	300
1900	Budget authority (total) .....	300
1930	Total budgetary resources available .....	300
<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	Obligations incurred, unexpired accounts .....	300
3020	Outlays (gross) .....	-300
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	300
Outlays, gross:		
4010	Outlays from new discretionary authority .....	300
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4033	Non-Federal sources .....	-300

The 2014 Budget includes a number of new user fees. Legislation will be proposed to allow FDA to collect fees for food facility registration and inspection as well as for food import to implement the requirements of the FDA Food Safety Modernization Act (FSMA). The additional resources, estimated at \$59 million for the food facility registration and inspection program, would enable FDA to target new and improved activities required by FSMA to modernize the food safety system and support improvements in food safety science and risk analysis to prevent food safety outbreaks. The fees collected for the food import program, estimated at \$166 million, would support FDA's food safety efforts to modernize the import system. The Budget also repropose user fees to support activities related to cosmetics and food contact notification activities and user fees to support inspection-related activities at domestic courier facilities and reinspections at medical product facilities.

The FDA transmitted proposed legislation to reauthorize the Animal Drug User Fee Act and the Animal Generic Drug User Fee Act to Congress on February 25, 2012. The proposed legislation authorizes the collection and spending of these fees subject to appropriations.

**Object Classification** (in millions of dollars)

Identification code 75-9911-2-1-554	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....			300

**Employment Summary**

Identification code 75-9911-2-1-554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			
1101 Direct military average strength employment .....			
2001 Reimbursable civilian full-time equivalent employment .....			612
2101 Reimbursable military average strength employment .....			
3001 Allocation account civilian full-time equivalent employment .....			
3101 Allocation account military average strength employment .....			

**REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES**

**Program and Financing** (in millions of dollars)

Identification code 75-4309-0-3-554	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	7	8	8

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	3	3
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	8	8	8
1850	Spending auth from offsetting collections, mand (total) .....	8	8	8
1930	Total budgetary resources available .....	10	11	11
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	3	2
3010	Obligations incurred, unexpired accounts .....	7	8	8
3020	Outlays (gross) .....	-7	-9	-9
3050	Unpaid obligations, end of year .....	3	2	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	3	2
3200	Obligated balance, end of year .....	3	2	1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	8	8	8
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2	8	8
4101	Outlays from mandatory balances .....	5	1	1
4110	Outlays, gross (total) .....	7	9	9
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-8	-8	-8
4190	Outlays, net (total) .....	-1	1	1

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics (21 U.S.C. 346a, 356, 357, 376). These services are financed wholly by fees paid by the industries affected.

**Object Classification** (in millions of dollars)

Identification code 75-4309-0-3-554	2012 actual	2013 CR	2014 est.	
<b>Reimbursable obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	4	4	4
12.1	Civilian personnel benefits .....	1	1	1
23.1	Rental payments to GSA .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	1
26.0	Supplies and materials .....		1	1
99.9	Total new obligations .....	7	8	8

**Employment Summary**

Identification code 75-4309-0-3-554	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	36	37	37

**HEALTH RESOURCES AND SERVICES  
ADMINISTRATION**

*Federal Funds*

HEALTH RESOURCES AND SERVICES

PRIMARY HEALTH CARE

*For carrying out titles II and III of the Public Health Service Act (referred to in this Act as the "PHS Act") with respect to primary health care and the Native Hawaiian Health Care Act of 1988, \$1,566,932,000: Provided, That no more than \$40,000 shall be available until expended for carrying out the provisions of section 224(o) of the PHS Act, including associated administrative expenses and relevant evaluations: Provided further, That no more than \$94,893,000 shall be available until expended for carrying out the provisions of Public Law 104-73 and for expenses incurred by the Department of Health and Human Services (referred to in this Act as "HHS") pertaining to administrative claims made under such law.*

HEALTH RESOURCES AND SERVICES—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

HEALTH WORKFORCE

For carrying out titles III, VII, and VIII of the PHS Act with respect to the health workforce, section 1128E and 1921(b) of the Social Security Act, and the Health Care Quality Improvement Act of 1986, \$540,804,000: Provided, That sections 747(c)(2) and the proportional funding amounts in paragraphs (1) through (4) of section 756(e) of the PHS Act shall not apply to funds made available under this heading: Provided further, That in addition to fees authorized by section 427(b) of the Health Care Quality Improvement Act of 1986, fees shall be collected for the full disclosure of information under such Act sufficient to recover the full costs of operating the National Practitioner Data Bank and shall remain available until expended to carry out that Act: Provided further, That fees collected for the full disclosure of information under the "Health Care Fraud and Abuse Data Collection Program", authorized by section 1128E(d)(2) of the Social Security Act, shall be sufficient to recover the full costs of operating the program, and shall remain available until expended to carry out that Act: Provided further, That fees collected for the disclosure of information under the information reporting requirement program authorized by section 1921 of the Social Security Act shall be sufficient to recover the full costs of operating the program and shall remain available until expended to carry out that Act: Provided further, That funds transferred to this account to carry out section 846 and subpart 3 of part D of title III of the PHS Act may be used to make prior year adjustments to awards made under such sections: Provided further, That, of the amount appropriated under this heading, \$88,000,000 shall be for payments to children's hospitals pursuant to section 340E of the PHS Act, all of which shall be for payments for direct graduate medical education as described in section 340E(c).

MATERNAL AND CHILD HEALTH

For carrying out titles III, XI, XII, and XIX of the PHS Act with respect to maternal and child health, title V of the Social Security Act, and section 712 of the American Jobs Creation Act of 2004, \$824,861,000: Provided, That notwithstanding sections 502(a)(1) and 502(b)(1) of the Social Security Act, not more than \$78,641,000 shall be available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act and \$10,276,000 shall be available for projects described in paragraphs (A) through (F) of section 501(a)(3) of such Act.

RYAN WHITE HIV/AIDS PROGRAM

For carrying out title XXVI of the PHS Act with respect to the Ryan White HIV/AIDS program, \$2,387,178,000, of which \$2,036,898,000 shall remain available to the Secretary through September 30, 2016, for parts A and B of title XXVI of the PHS Act: Provided, That of the funds available for parts A and B of title XXVI of the PHS Act, not less than \$943,299,000 shall be for State AIDS Drug Assistance Programs pursuant to section 2616 or 311(c) of such Act: Provided further, That in addition to amounts provided herein, \$25,000,000 shall be available from amounts available under section 241 of the PHS Act to carry out parts A, B, C, and D of title XXVI of the PHS Act to fund Special Projects of National Significance under section 2691.

HEALTH CARE SYSTEMS

For carrying out titles III and XII of the PHS Act with respect to health care systems, and the Stem Cell Therapeutic and Research Act of 2005, \$83,836,000, of which \$127,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: Provided, That the Secretary may collect a fee of 0.1 percent of each purchase of 340B drugs from entities participating in the Drug Pricing Program pursuant to section 340B of the PHS Act to pay for the operating costs of such program: Provided further, That fees pursuant to the 340B Drug Pricing Program shall be collected by the Secretary based on sales data that shall be submitted by drug manufacturers and shall be credited to this account, to remain available until expended.

RURAL HEALTH

For carrying out titles III and IV of the PHS Act with respect to rural health, section 427(a) of the Federal Coal Mine Health and Safety Act, the Cardiac Arrest Survival Act of 2000, and sections 711 and 1820 of the Social Security Act, \$122,232,000, of which \$26,200,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program: Provided, That, of the funds made available under this heading for Medicare rural hospital flexibility grants, \$1,000,000 shall be to carry out section 1820(g)(6) of the Social Security Act, with funds provided for grants under section 1820(g)(6) available for the purchase and implementation of telehealth services, including pilots and demonstrations on the use of electronic health records to coordinate rural veterans care between rural providers and the Department of Veterans Affairs electronic health record system: Provided further, That notwithstanding section 338J(k) of the PHS Act, \$10,036,000 shall be available for State Offices of Rural Health.

FAMILY PLANNING

For carrying out the program under title X of the PHS Act to provide for voluntary family planning projects, \$327,402,000: Provided, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office.

PROGRAM MANAGEMENT

For program support in the Health Resources and Services Administration, \$161,794,000: Provided, That funds made available under this heading may be used to supplement program support funding provided under the headings "Primary Health Care", "Health Workforce", "Maternal and Child Health", "Ryan White HIV/AIDS Program", "Health Care Systems", and "Rural Health": Provided further, That the Administrator may transfer funds between any of the accounts of HRSA with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-0350-0-1-550	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Health Centers .....	1,472	1,492	1,472
0013 Hansen's Disease Center .....	16	16	16
0014 Payment to Hawaii for the Treatment of Hansen's Disease .....	2	2	2
0015 Black Lung Clinics .....	7	7	7
0016 Nursing Education Loan Repayment and Scholarships .....	83	84	83
0017 Health Professions .....	376	383	363
0018 Maternal and Child Health Block Grant .....	639	649	639
0019 Healthy Start .....	104	105	104
0020 Poison Control Centers .....	19	19	.....
0021 EMS for Children .....	21	21	21
0022 Universal Newborn Hearing Screening .....	19	19	.....
0023 HIV/AIDS .....	2,367	2,337	2,387
0024 Organ Transplantation .....	24	25	26
0025 Bone Marrow Donor Registry .....	23	23	23
0026 Rural Health Policy Development .....	10	10	10
0027 Rural Health Outreach Grants .....	55	56	56
0028 Rural Health Flexibility Grants .....	41	41	26
0030 Telehealth .....	11	12	12
0031 Program Management .....	160	162	162
0032 Family Planning .....	294	299	327
0033 Loan Repayment/Faculty Fellowship .....	1	1	1
0035 Health Centers Tort Claim Fund .....	75	133	95
0036 Heritable Disorders .....	10	10	.....
0038 Children's GME .....	265	270	88
0041 State Offices of Rural Health .....	10	10	10
0043 Radiogenic Diseases .....	2	2	2
0044 Traumatic Brain Injury .....	10	10	10
0045 Autism and Other Developmental Disorders .....	47	48	47
0046 Cord Blood Stem Cell Bank .....	12	12	12

0048	Sickle Cell .....	5	5	5
0049	Drug Pricing Program .....	4	4	4
0050	Family to Family Health Information Centers ACA .....	5	5	.....
0067	Prevention Fund .....	37	.....	57
0073	Health Centers ACA .....	1,171	1,220	1,246
0074	Health Centers Construction ACA .....	741	10	7
0075	National Health Service Corps ACA .....	297	300	305
0076	School Based Health Centers ACA .....	19	83	.....
0077	GME Payments THC ACA .....	17	50	73
0079	Rural Health AED .....	1	3	.....
0080	Pediatric Loan Repayment .....	.....	.....	5
0300	Total direct programs .....	8,472	7,938	7,703
0799	Total direct obligations .....	8,472	7,938	7,703
0801	Reimbursable program .....	75	71	77
0802	Reimbursable program: PHS evaluation .....	22	25	25
0899	Total reimbursable obligations .....	97	96	102
0900	Total new obligations .....	8,569	8,034	7,805

**Budgetary Resources:**

1000	Unobligated balance:			
1001	Unobligated balance brought forward, Oct 1 .....	1,061	381	531
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	58	83	.....
1021	Recoveries of prior year unpaid obligations .....	8	.....	.....
1050	Unobligated balance (total) .....	1,069	381	531
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	6,206	6,232	6,015
1121	Appropriations transferred from other accts [75-9915] .....	9	.....	.....
1121	Appropriations transferred from other accts [75-0943] .....	1	.....	.....
1121	Appropriations transferred from other accts [75-1362] .....	1	.....	.....
1130	Appropriations permanently reduced .....	-12	.....	.....
1160	Appropriation, discretionary (total) .....	6,205	6,232	6,015
	Appropriations, mandatory:			
1200	Appropriation .....	1,550	1,855	2,505
1221	Appropriations transferred from other accts [75-0116] .....	37	.....	57
1260	Appropriations, mandatory (total) .....	1,587	1,855	2,562
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	33	81	87
1701	Change in uncollected payments, Federal sources .....	45	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	78	81	87
	Spending authority from offsetting collections, mandatory:			
1800	Offsetting collections (cash)(HPSL&NSL) .....	14	16	16
1850	Spending auth from offsetting collections, mand (total) .....	14	16	16
1900	Budget authority (total) .....	7,884	8,184	8,680
1930	Total budgetary resources available .....	8,953	8,565	9,211
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-3	.....	.....
1941	Unexpired unobligated balance, end of year .....	381	531	1,406

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	6,836	6,681	6,186
3010	Obligations incurred, unexpired accounts .....	8,569	8,034	7,805
3011	Obligations incurred, expired accounts .....	15	.....	.....
3020	Outlays (gross) .....	-8,638	-8,529	-8,272
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-93	.....	.....
3050	Unpaid obligations, end of year .....	6,681	6,186	5,719
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-42	-80	-80
3070	Change in uncollected pymts, Fed sources, unexpired .....	-45	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	7	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-80	-80	-80
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	6,794	6,601	6,106
3200	Obligated balance, end of year .....	6,601	6,106	5,639

**Budget authority and outlays, net:**

	Discretionary:			
4000	Budget authority, gross .....	6,283	6,313	6,102
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	2,515	2,549	2,460
4011	Outlays from discretionary balances .....	4,277	3,764	3,721
4020	Outlays, gross (total) .....	6,792	6,313	6,181
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-16	-52	-53
4033	Non-Federal sources .....	-29	-29	-28

4033	Non-Federal sources .....	.....	.....	-6
4040	Offsets against gross budget authority and outlays (total) .....	-45	-81	-87
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-45	.....	.....
4052	Offsetting collections credited to expired accounts .....	12	.....	.....
4060	Additional offsets against budget authority only (total) .....	-33	.....	.....
4070	Budget authority, net (discretionary) .....	6,205	6,232	6,015
4080	Outlays, net (discretionary) .....	6,747	6,232	6,094
	Mandatory:			
4090	Budget authority, gross .....	1,601	1,871	2,578
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	801	850	855
4101	Outlays from mandatory balances .....	1,045	1,366	1,236
4110	Outlays, gross (total) .....	1,846	2,216	2,091
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-14	-16	-16
4180	Budget authority, net (total) .....	7,792	8,087	8,577
4190	Outlays, net (total) .....	8,579	8,432	8,169

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 75-0350-0-1-550	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Health centers: Facilities renovation loan guarantee levels .....	10	8	4
215002 Health centers: Managed care network development loan guarantee .....	.....	1	1
215003 Health centers: Managed care plan loan guarantee levels .....	.....	3	1
215999 Total loan guarantee levels .....	10	12	6
Guaranteed loan subsidy (in percent):			
232001 Health centers: Facilities renovation loan guarantee levels .....	2.67	2.69	2.81
232002 Health centers: Managed care network development loan guarantee .....	9.62	9.73	10.10
232003 Health centers: Managed care plan loan guarantee levels .....	5.76	5.79	5.86
232999 Weighted average subsidy rate .....	2.67	4.05	4.53

Resources displayed here support categorical grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Federally Qualified Health Centers, treatment and care for those living with HIV/AIDS, health professions training, maternal and child health care services, promotion of organ and bone marrow donation, and the medical malpractice claims funds, which pay malpractice claims filed against employees of federally-supported health centers and free clinics. HRSA is also responsible for oversight of the 340B Drug Discount Program. The 2014 Budget proposes a new user fee for this program to improve administration and oversight of this activity.

HRSA administers the following revolving loan programs: Health Professions Student Loans (HPSL), Nursing Student Loans (NSL), Primary Care Loans (PCL) and Loans for Disadvantaged Students (LDS). These programs are financed through revolving accounts (Federal Capital Contribution) and do not receive annual appropriations. Through these revolving fund accounts, funds are awarded to institutions that in turn provide loans to individual students. As borrowers pay back loans the programs revolving account gets replenished, and the collected funds are then used to give out new loans in the following academic years. If the programs revolving account has excess funds that will not be used to provide new loans, these excess funds are returned to HRSA. Funds returned to HRSA are then awarded to programs that are in need of additional funds. The information below reflects Academic Year 2011-2012 data reported in the Annual Operating Report.

**Health Professions Revolving Loan Programs**

Program	Federal Capital Contribution	Account Balance
HPSL .....	.....	\$378,701,815

HEALTH RESOURCES AND SERVICES—Continued  
Health Professions Revolving Loan Programs—Continued

Program	Account Balance
NSL .....	\$171,324,184
PCL .....	\$246,664,915
LDS .....	\$130,836,447
<b>Total .....</b>	<b>\$927,527,361</b>

Object Classification (in millions of dollars)

Identification code 75-0350-0-1-550	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	150	150	151
11.3 Other than full-time permanent .....	6	6	6
11.5 Other personnel compensation .....	3	3	4
11.7 Military personnel .....	20	20	20
11.8 Special personal services payments .....	1	1	.....
11.9 Total personnel compensation .....	180	180	181
12.1 Civilian personnel benefits .....	45	45	44
12.2 Military personnel benefits .....	11	11	11
21.0 Travel and transportation of persons .....	3	3	4
23.1 Rental payments to GSA .....	23	23	23
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	1
24.0 Printing and reproduction .....	.....	.....	1
25.1 Advisory and assistance services .....	13	13	38
25.2 Other services from non-Federal sources .....	181	176	156
25.3 Other goods and services from Federal sources .....	202	201	197
25.4 Operation and maintenance of facilities .....	1	1	1
25.6 Medical care .....	3	3	3
25.7 Operation and maintenance of equipment .....	13	13	14
26.0 Supplies and materials .....	2	2	1
31.0 Equipment .....	6	6	4
41.0 Grants, subsidies, and contributions .....	7,718	7,131	6,934
42.0 Insurance claims and indemnities .....	68	127	89
99.0 Direct obligations .....	8,472	7,938	7,703
99.0 Reimbursable obligations .....	97	96	102
99.9 Total new obligations .....	8,569	8,034	7,805

Employment Summary

Identification code 75-0350-0-1-550	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,564	1,556	1,547
1101 Direct military average strength employment .....	200	200	200
2001 Reimbursable civilian full-time equivalent employment .....	79	85	85
2101 Reimbursable military average strength employment .....	8	8	8

VACCINE INJURY COMPENSATION

Program and Financing (in millions of dollars)

Identification code 75-0320-0-1-551	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	16	16
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	4	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	4	.....	.....
1900 Budget authority (total) .....	4	.....	.....
1930 Total budgetary resources available .....	16	16	16
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	16	16	16
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	4	.....	.....
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-4	.....	.....
4190 Outlays, net (total) .....	-4	.....	.....

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988 are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 claims yet to be adjudicated, no appropriation is requested to cover payment of pre-1988 claims. By statute, no new claims are accepted for this account. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988 are reflected in the Vaccine Injury Compensation Program trust fund account.

COVERED COUNTERMEASURE PROCESS FUND

Program and Financing (in millions of dollars)

Identification code 75-0343-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Claims .....	.....	2	1
0103 Admin Expense .....	2	3	3
0900 Total new obligations .....	2	5	4
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	1
1011 Unobligated balance transfer from other accts [75-0140] .....	3	3	3
1050 Unobligated balance (total) .....	5	6	4
1930 Total budgetary resources available .....	5	6	4
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	1	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	6
3010 Obligations incurred, unexpired accounts .....	2	5	4
3020 Outlays (gross) .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	1	6	10
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	1	6
3200 Obligated balance, end of year .....	1	6	10
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	3	.....	.....
4190 Outlays, net (total) .....	3	.....	.....

The Covered Countermeasure Process Fund is established pursuant to the PHS Act, as amended by Division C of Public Law 109-148, to serve as a source of funds to pay for compensation for injuries, illnesses or death, or losses resulting from the administration to or use by an individual of a covered countermeasure for which a Secretarial Declaration has been issued, pursuant to section 319F-3(b) of the Public Health Service Act. Additionally, authority is provided to address any unexpected claims that may arise under the Smallpox Emergency Personal Protection Act of 2003.

Object Classification (in millions of dollars)

Identification code 75-0343-0-1-551	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	3	3
42.0 Insurance claims and indemnities .....	.....	2	1
99.9 Total new obligations .....	2	5	4

**Employment Summary**

Identification code 75-0343-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4
1101 Direct military average strength employment .....	3	3	3

**MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 75-0321-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Maternal, Infant, and Early Childhood Home Visiting Programs .....	344	400	406
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		13	13
1012 Unobligated balance transfers between expired and unexpired accounts .....	7		
1050 Unobligated balance (total) .....	7	13	13
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	350	400	400
1260 Appropriations, mandatory (total) .....	350	400	400
1930 Total budgetary resources available .....	357	413	413
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	13	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	313	528	527
3010 Obligations incurred, unexpired accounts .....	344	400	406
3020 Outlays (gross) .....	-122	-401	-318
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	528	527	615
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	313	528	527
3200 Obligated balance, end of year .....	528	527	615
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	350	400	400
Outlays, gross:			
4100 Outlays from new mandatory authority .....	19	120	120
4101 Outlays from mandatory balances .....	103	281	198
4110 Outlays, gross (total) .....	122	401	318
4180 Budget authority, net (total) .....	350	400	400
4190 Outlays, net (total) .....	122	401	318

P.L. 111-148 provides resources to Maternal, Infant and Early Childhood Home Visiting Program through 2014 to provide comprehensive services for at risk communities. These activities are administered by HRSA.

**Object Classification** (in millions of dollars)

Identification code 75-0321-0-1-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	18	15	15
41.0 Grants, subsidies, and contributions .....	322	382	388
99.9 Total new obligations .....	344	400	406

**Employment Summary**

Identification code 75-0321-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	19	21	21

1101 Direct military average strength employment .....	4	4	4
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**HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 75-4442-0-3-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		1	1
0900 Total new obligations .....		1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	2
1930 Total budgetary resources available .....	3	3	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....		1	1
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	2

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 75-4442-0-3-551	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward .....	28	18	6
2143 Uncommitted limitation carried forward .....	-18	-6	
2150 Total guaranteed loan commitments .....	10	12	6
2199 Guaranteed amount of guaranteed loan commitments .....	8	10	5
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	80	77	77
2231 Disbursements of new guaranteed loans .....	8	11	5
2251 Repayments and prepayments .....	-10	-10	-10
2263 Adjustments: Terminations for default that result in claim payments .....	-1	-1	-1
2290 Outstanding, end of year .....	77	77	71
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	70	65	60

P.L. 104-299 and P.L. 104-208 authorize Health Resources and Services Administration (HRSA) to guarantee up to \$160 million in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation and modernization of medical facilities. As required by the Federal Credit Reform Act of 1990, this financing account records all cash flows to and from the Government resulting from the Health Center Loan Guarantee program. The program account for this activity is displayed in the Health Resources and Services account (75-0350) as a line in the program and financing schedule.

**Balance Sheet** (in millions of dollars)

Identification code 75-4442-0-3-551	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	3	3
1999 Total assets .....	3	3
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	3	3

HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 75-4442-0-3-551	2011 actual	2012 actual
4999 Total liabilities and net position .....	3	3

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

Such sums as may be necessary to carry out the purpose of the program, as authorized by title VII of the PHS Act.

In addition, for administrative expenses to carry out the guaranteed loan program, including section 709 of the PHS Act, \$2,807,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-0340-0-1-552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy .....		25	
0708 Interest on reestimates of loan guarantee subsidy .....		31	
0709 Administrative expenses .....	3	3	
0900 Total new obligations .....	3	59	

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1120 Appropriations transferred to other accts [91-0202] .....			-3
1160 Appropriation, discretionary (total) .....	3	3	
Appropriations, mandatory:			
1200 Appropriation .....		56	
1260 Appropriations, mandatory (total) .....		56	
1900 Budget authority (total) .....	3	59	
1930 Total budgetary resources available .....	3	59	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	3	59	
3020 Outlays (gross) .....	-3	-59	
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	3	3	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	3	
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	3	3	
Mandatory:			
4090 Budget authority, gross .....		56	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		56	
4180 Budget authority, net (total) .....	3	59	
4190 Outlays, net (total) .....	3	59	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 75-0340-0-1-552	2012 actual	2013 CR	2014 est.
Guaranteed loan upward reestimates:			
235001 HEAL Loan guarantee .....		56	
235999 Total upward reestimate budget authority .....		56	

Guaranteed loan downward reestimates:			
237001 HEAL Loan guarantee .....	-12		
237999 Total downward reestimate subsidy budget authority .....	-12		
Administrative expense data:			
3510 Budget authority .....	3	3	
3590 Outlays from new authority .....		3	

In 2014 the Department of Education will assume responsibility for the program. Legislative language that would effect this transfer is included under General Provisions. The authority to administer, service, collect, and enforce the program as well as the functions, assets, and liabilities of the Secretary of Health and Human Services will be permanently transferred to the Secretary of Education.

Object Classification (in millions of dollars)

Identification code 75-0340-0-1-552	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	
25.3 Other goods and services from Federal sources .....	1	1	
41.0 Grants, subsidies, and contributions .....		56	
99.9 Total new obligations .....	3	59	

Employment Summary

Identification code 75-0340-0-1-552	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	13	13	

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 75-4304-0-3-552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	19	13	
0742 Downward reestimate paid to receipt account .....	5		
0743 Interest on downward reestimates .....	7		
0900 Total new obligations .....	31	13	

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	69	49	99
1010 Unobligated balance transfer to other accts [91-4300] .....			-99
1050 Unobligated balance (total) .....	69	49	
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	11	63	
1850 Spending auth from offsetting collections, mand (total) .....	11	63	
1930 Total budgetary resources available .....	80	112	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	49	99	

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	31	13	
3020 Financing disbursements (gross) .....	-31	-13	

Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	11	63	
Financing disbursements:			
4110 Financing disbursements, gross .....	31	13	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources-upward estimate and interest .....		-56	
4122 Interest on uninvested funds .....	-4	-3	
4123 Recoveries of defaulted loans .....	-7	-4	
4130 Offsets against gross financing auth and disbursements (total) .....	-11	-63	

4170	Financing disbursements, net (mandatory) .....	20	-50	.....
4190	Financing disbursements, net (total) .....	20	-50	.....

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 75-4304-0-3-552	2012 actual	2013 CR	2014 est.	
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	526	430	402
2251	Repayments and prepayments .....	-77	-15	.....
<b>Adjustments:</b>				
2261	Terminations for default that result in loans receivable .....	-18	-9	.....
2263	Terminations for default that result in claim payments .....	-1	-4	.....
2264	Other adjustments, net .....	.....	.....	-402
2290	Outstanding, end of year .....	430	402	.....
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	430	.....	.....
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....	175	184	190
2331	Disbursements for guaranteed loan claims .....	16	10	.....
2361	Write-offs of loans receivable .....	-7	-4	.....
2364	Other adjustments, net .....	.....	.....	-190
2390	Outstanding, end of year .....	184	190	.....

**Balance Sheet** (in millions of dollars)

Identification code 75-4304-0-3-552	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	70	50
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	175	184
1505	Allowance for subsidy cost (-) .....	-126	-126
1599	Net present value of assets related to defaulted guaranteed loans .....	49	58
1999	Total assets .....	119	108
<b>LIABILITIES:</b>			
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	119	108
4999	Total liabilities and net position .....	119	108

**HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 75-4305-0-3-552	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0711	Default claim payments on principal .....	4	3	.....
0900	Total new obligations (object class 33.0) .....	4	3	.....
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1	1	.....
1260	Appropriations, mandatory (total) .....	1	1	.....
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	8	7	.....
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-5	-5	.....
1850	Spending auth from offsetting collections, mand (total) .....	3	2	.....
1900	Budget authority (total) .....	4	3	.....
1930	Total budgetary resources available .....	4	3	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	4	3	.....
3020	Outlays (gross) .....	-4	-3	.....

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	4	3	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	4	3	.....
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-8	-7	.....
4180	Budget authority, net (total) .....	-4	-4	.....
4190	Outlays, net (total) .....	-4	-4	.....

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 75-4305-0-3-552	2012 actual	2013 CR	2014 est.	
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	83	64	53
2251	Repayments and prepayments .....	-15	-8	.....
<b>Adjustments:</b>				
2261	Terminations for default that result in loans receivable .....	-4	-3	.....
2264	Other adjustments, net .....	.....	.....	-53
2290	Outstanding, end of year .....	64	53	.....
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	64	53	.....
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....	362	346	324
2331	Disbursements for guaranteed loan claims .....	3	3	.....
2351	Repayments of loans receivable .....	-8	-7	.....
2361	Write-offs of loans receivable .....	-21	-18	.....
2364	Other adjustments, net .....	10	.....	-324
2390	Outstanding, end of year .....	346	324	.....

**Balance Sheet** (in millions of dollars)

Identification code 75-4305-0-3-552	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	1	1
1701	Defaulted guaranteed loans, gross .....	362	346
1703	Allowance for estimated uncollectible loans and interest (-) .....	-238	-238
1799	Value of assets related to loan guarantees .....	124	108
1999	Total assets .....	125	109
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....	119	109
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	6	.....
2999	Total liabilities .....	125	109
4999	Total liabilities and net position .....	125	109

**MEDICAL FACILITIES GUARANTEE AND LOAN FUND**

**Program and Financing** (in millions of dollars)

Identification code 75-9931-0-3-551	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations .....	1	.....	.....
1022	Capital transfer of unobligated balances to general fund .....	-1	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	.....	.....
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	.....	.....

**Status of Direct Loans** (in millions of dollars)

Identification code 75-9931-0-3-551	2012 actual	2013 CR	2014 est.	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	7	7	7

**MEDICAL FACILITIES GUARANTEE AND LOAN FUND—Continued**  
**Status of Direct Loans—Continued**

Identification code 75-9931-0-3-551	2012 actual	2013 CR	2014 est.
1251 Repayments: Repayments and prepayments .....			
1290 Outstanding, end of year .....	7	7	7

Titles VI and XVI of the Public Health Service Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in event of default, \$30 million as a revolving fund for direct loans and an amount for interest subsidy payments on guaranteed loans.

**Balance Sheet** (in millions of dollars)

Identification code 75-9931-0-3-551	2011 actual	2012 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	7	7
1999 Total assets .....	7	7
<b>LIABILITIES:</b>		
2201 Non-Federal liabilities: Accounts payable .....	7	7
4999 Total liabilities and net position .....	7	7

**Trust Funds**

**VACCINE INJURY COMPENSATION PROGRAM TRUST FUND**

For payments from the Vaccine Injury Compensation Program Trust Fund ("Trust Fund"), such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the PHS Act, to remain available until expended: Provided, That for necessary administrative expenses, not to exceed \$6,477,000 shall be available from the Trust Fund to the Secretary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 75-8175-0-7-551	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	3,115	3,214	3,289
<b>Receipts:</b>			
0200 Deposits, Vaccine Injury Compensation Trust Fund .....	254	262	271
0240 Interest and Profits on Investments, Vaccine Injury Compensation Trust Fund .....	49	74	121
0299 Total receipts and collections .....	303	336	392
0400 Total: Balances and collections .....	3,418	3,550	3,681
<b>Appropriations:</b>			
0500 Vaccine Injury Compensation Program Trust Fund .....	-19	-19	-19
0501 Vaccine Injury Compensation Program Trust Fund .....	-185	-242	-242
0599 Total appropriations .....	-204	-261	-261
0799 Balance, end of year .....	3,214	3,289	3,420

**Program and Financing** (in millions of dollars)

Identification code 75-8175-0-7-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Compensation: Claims for post - FY 1989 injuries .....	185	242	242
0103 Claims processing (Claims Court) .....	5	5	5
0104 Claims processing (HRSA) .....	6	6	6
0105 Claims processing (Dept. of Justice) .....	8	8	8

0191 Total, administrative expenses .....	19	19	19
0799 Total direct obligations .....	204	261	261
0801 Reimbursable program activity (claims) .....	1		
0900 Total new obligations .....	205	261	261

**Budgetary Resources:**

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	19	19	19
1160 Appropriation, discretionary (total) .....	19	19	19
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	185	242	242
1260 Appropriations, mandatory (total) .....	185	242	242
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1		
1850 Spending auth from offsetting collections, mand (total) .....	1		
1900 Budget authority (total) .....	205	261	261
1930 Total budgetary resources available .....	205	261	261

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	19	
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	2		
3010 Obligations incurred, unexpired accounts .....	205	261	261
3020 Outlays (gross) .....	-207	-280	-261
3050 Unpaid obligations, end of year .....	19		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	21	19	
3200 Obligated balance, end of year .....	19		

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	19	19	19
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	10	19	19
4011 Outlays from discretionary balances .....	10	19	
4020 Outlays, gross (total) .....	20	38	19
<b>Mandatory:</b>			
4090 Budget authority, gross .....	186	242	242
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	242	242
4101 Outlays from mandatory balances .....	185		
4110 Outlays, gross (total) .....	187	242	242
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-1		
4180 Budget authority, net (total) .....	204	261	261
4190 Outlays, net (total) .....	206	280	261

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	3,108	3,194	3,287
5001 Total investments, EOY: Federal securities: Par value .....	3,194	3,287	3,433

The Vaccine Injury Compensation Program was established pursuant to P.L. 99-660 and P.L. 100-203 and serves as a source of funds to pay claims for compensation for vaccine-related injury or death. This account reflects payments for claims for vaccine-related injury or death occurring after October 1, 1988.

**Object Classification** (in millions of dollars)

Identification code 75-8175-0-7-551	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	19	19	19
42.0 Insurance claims and indemnities .....	185	242	242
99.0 Direct obligations .....	204	261	261
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations .....	205	261	261

**INDIAN HEALTH SERVICE**

**Federal Funds**

INDIAN HEALTH SERVICE

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, \$3,505,293,000, together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) and 238b for services furnished by the Indian Health Service: Provided, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: Provided further, That, \$878,575,000 for Purchased/Referred Care, including \$51,500,000 for the Indian Catastrophic Health Emergency Fund, shall remain available until expended: Provided further, That, of the funds provided, up to \$36,000,000 shall remain available until expended for implementation of the loan repayment program under section 108 of the Indian Health Care Improvement Act: Provided further, That the amounts collected by the Federal Government as authorized by sections 104 and 108 of the Indian Health Care Improvement Act (25 U.S.C. 1613a and 1616a) during the preceding fiscal year for breach of contracts shall be deposited to the Fund authorized by section 108A of the Act (25 U.S.C. 1616a-1) and shall remain available until expended and, notwithstanding section 108A(c) of the Act (25 U.S.C. 1616a-1(c)), funds shall be available to make new awards under the loan repayment and scholarship programs under sections 104 and 108 of the Act (25 U.S.C. 1613a and 1616a): Provided further, That notwithstanding any other provision of law, the amounts made available within this account for the methamphetamine and suicide prevention and treatment initiative and for the domestic violence prevention initiative shall be allocated at the discretion of the Director of the Indian Health Service and shall remain available until expended: Provided further, That funds provided in this Act may be used for annual contracts and grants that fall within 2 fiscal years, provided the total obligation is recorded in the year the funds are appropriated: Provided further, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act, except for those related to the planning, design, or construction of new facilities: Provided further, That funding contained herein for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available until expended: Provided further, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: Provided further, That the Bureau of Indian Affairs may collect from the Indian Health Service, tribes and tribal organizations operating health facilities pursuant to Public Law 93-638, such individually identifiable health information relating to disabled children as may be necessary for the purpose of carrying out its functions under the Individuals with Disabilities Education Act (20 U.S.C. 1400, et seq.): Provided further, That the Indian Health Care Improvement Fund may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75-0390-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Clinical services .....	3,153	3,177	3,189
0002 Preventive health .....	147	148	152
0003 Urban health .....	43	43	43
0004 Indian health professions .....	41	41	41
0005 Tribal management .....	3	3	3
0006 Direct operations .....	72	72	72

0007 Self-governance .....	6	6	6
0008 Contract support costs .....	471	476	.....
0009 Diabetes funds .....	187	187	150
0799 Total direct obligations .....	4,123	4,153	3,656
0801 Reimbursable program .....	1,100	1,102	1,100
0900 Total new obligations .....	5,223	5,255	4,756

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	745	560	510
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	700	514	.....
1021 Recoveries of prior year unpaid obligations .....	118	.....	.....
1050 Unobligated balance (total) .....	863	560	510
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3,872	3,890	3,505
1120 Appropriations transferred to other accts [75-0390] .....	-69	.....	.....
1121 Appropriations transferred from other accts [75-0390] .....	69	.....	.....
1130 Appropriations permanently reduced .....	-6	.....	.....
1160 Appropriation, discretionary (total) .....	3,866	3,890	3,505
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	150	150	150
1260 Appropriations, mandatory (total) .....	150	150	150
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,147	1,165	1,165
1701 Change in uncollected payments, Federal sources .....	-242	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	905	1,165	1,165
1900 Budget authority (total) .....	4,921	5,205	4,820
1930 Total budgetary resources available .....	5,784	5,765	5,330
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	560	510	574

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	866	844	768
3010 Obligations incurred, unexpired accounts .....	5,223	5,255	4,756
3011 Obligations incurred, expired accounts .....	30	.....	.....
3020 Outlays (gross) .....	-5,138	-5,331	-5,010
3040 Recoveries of prior year unpaid obligations, unexpired .....	-118	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-19	.....	.....
3050 Unpaid obligations, end of year .....	844	768	514
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-332	-82	-82
3070 Change in uncollected pymts, Fed sources, unexpired .....	242	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	8	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-82	-82	-82
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	534	762	686
3200 Obligated balance, end of year .....	762	686	432

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	4,771	5,055	4,670
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4,307	4,355	4,039
4011 Outlays from discretionary balances .....	721	820	815
4020 Outlays, gross (total) .....	5,028	5,175	4,854
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-324	-368	-368
4033 Non-Federal sources .....	-823	-797	-797
4040 Offsets against gross budget authority and outlays (total) .....	-1,147	-1,165	-1,165
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	242	.....	.....
4070 Budget authority, net (discretionary) .....	3,866	3,890	3,505
4080 Outlays, net (discretionary) .....	3,881	4,010	3,689
<b>Mandatory:</b>			
4090 Budget authority, gross .....	150	150	150
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	49	144	144
4101 Outlays from mandatory balances .....	61	12	12
4110 Outlays, gross (total) .....	110	156	156
4180 Budget authority, net (total) .....	4,016	4,040	3,655
4190 Outlays, net (total) .....	3,991	4,166	3,845

INDIAN HEALTH SERVICE—Continued

The Indian Health Services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. An estimated \$2.403 billion primarily through self determination contracts and compacts, will be administered by tribal governments in 2014.

Object Classification (in millions of dollars)

Identification code 75-0390-0-1-551	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	413	413	409
11.3 Other than full-time permanent .....	21	21	20
11.5 Other personnel compensation .....	58	58	58
11.7 Military personnel .....	76	76	75
11.9 Total personnel compensation .....	568	568	562
12.1 Civilian personnel benefits .....	148	148	147
12.2 Military personnel benefits .....	35	35	34
13.0 Benefits for former personnel .....	9	9	9
21.0 Travel and transportation of persons .....	8	8	8
21.0 Patient travel .....	35	35	37
22.0 Transportation of things .....	7	7	7
23.1 Rental payments to GSA .....	9	9	9
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	12	12	12
25.1 Advisory and assistance services .....	9	9	4
25.2 Other services from non-Federal sources .....	123	125	121
25.3 Other goods and services from Federal sources .....	48	48	48
25.4 Operation and maintenance of facilities .....	8	8	8
25.6 Medical care .....	328	333	343
25.7 Operation and maintenance of equipment .....	18	18	19
25.8 Subsistence and support of persons .....	3	3	4
26.0 Supplies and materials .....	109	111	114
31.0 Equipment .....	12	12	12
41.0 Grants, subsidies, and contributions .....	2,633	2,654	2,157
99.0 Direct obligations .....	4,123	4,153	3,656
99.0 Reimbursable obligations .....	1,100	1,102	1,100
99.9 Total new obligations .....	5,223	5,255	4,756

Employment Summary

Identification code 75-0390-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	7,013	7,128	7,128
1101 Direct military average strength employment .....	1,085	1,103	1,103
2001 Reimbursable civilian full-time equivalent employment .....	5,272	5,291	5,291
2101 Reimbursable military average strength employment .....	816	819	819

INDIAN HEALTH CONTRACT SUPPORT COSTS

For payments of contract support costs associated with ongoing Indian Self-Determination Act agreements with the Indian Health Service for fiscal or calendar year 2014, not to exceed \$477,205,000: Provided, That, notwithstanding any other provision of law, the amount available for contract support costs associated with each ongoing Indian Self-Determination Act agreement with the Indian Health Service for fiscal or calendar year 2014 shall not exceed the amount identified in the Indian Health Service Contract Support Costs table submitted by the Secretary of Health and Human Services to the House and Senate Committees on Appropriations.

In addition, not to exceed \$500,000 shall be available for payments for contract support costs associated with new or expanded Indian Self-Determination Act agreements with the Indian Health Service for fiscal or calendar year 2014.

Program and Financing (in millions of dollars)

Identification code 75-3920-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Contract Support Costs .....			477

0900 Total new obligations (object class 41.0) .....			477
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			477
1160 Appropriation, discretionary (total) .....			477
1930 Total budgetary resources available .....			477
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			477
3020 Outlays (gross) .....			-391
3050 Unpaid obligations, end of year .....			86
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			86
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			477
Outlays, gross:			
4010 Outlays from new discretionary authority .....			391
4180 Budget authority, net (total) .....			477
4190 Outlays, net (total) .....			391

The Indian Health Service Contract Support Costs (CSC) account supports federal government payments to tribes for certain administrative costs associated with executing tribal self-governance contracts and compacts under the Indian Self Determination and Education Assistance Act (ISDEAA), P.L. 93-638, that are not otherwise funded under the contract or compact. The Bureau of Indian Affairs is also required to pay CSC under ISDEAA. Payments are available for direct and indirect contract support costs. Indirect contract support costs are those incurred for a Tribe's or tribal organization's common services, including, but not limited to, insurance and audits. Direct contract costs include program-specific costs such as unemployment taxes and workers compensation insurance. The account also supports the costs associated with executing or administering new and/or expanded self-determination contracts.

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, \$448,139,000 to remain available until expended: Provided, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction, renovation or expansion of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land on which such facilities will be located: Provided further, That not to exceed \$500,000 shall be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: Provided further, That none of the funds appropriated to the Indian Health Service may be used for sanitation facilities construction for new homes funded with grants by the housing programs of the United States Department of Housing and Urban Development: Provided further, That not to exceed \$2,700,000 from this account and the "Indian Health Services" account shall be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: Provided further, That not to exceed \$500,000 shall be placed in a Demolition Fund, to remain available until expended, and be used by the Indian Health Service for the demolition of Federal buildings.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 75–0391–0–1–551	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Rent and Charges for Quarters, Indian Health Service	8	8	8
0400 Total: Balances and collections	8	8	8
Appropriations:			
0500 Indian Health Facilities	–8	–8	–8
0799 Balance, end of year			

**Program and Financing** (in millions of dollars)

Identification code 75–0391–0–1–551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Sanitation and health facilities	175	176	164
0002 Maintenance	63	63	54
0003 Facilities and environmental health	203	205	207
0004 Equipment	26	26	23
0100 Total direct program	467	470	448
0799 Total direct obligations	467	470	448
0801 Reimbursable program	39	39	39
0900 Total new obligations	506	509	487

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	216	167	115
1001 Discretionary unobligated balance brought fwd, Oct 1	216	167	
1021 Recoveries of prior year unpaid obligations	7		
1050 Unobligated balance (total)	223	167	115
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation	441	443	448
1130 Appropriations permanently reduced	–1		
1160 Appropriation, discretionary (total)	440	443	448
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	8	8	8
1260 Appropriations, mandatory (total)	8	8	8
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected	18	6	6
1701 Change in uncollected payments, Federal sources	–16		
1750 Spending auth from offsetting collections, disc (total)	2	6	6
1900 Budget authority (total)	450	457	462
1930 Total budgetary resources available	673	624	577
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	167	115	90

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	578	526	540
3010 Obligations incurred, unexpired accounts	506	509	487
3011 Obligations incurred, expired accounts	1		
3020 Outlays (gross)	–551	–495	–491
3040 Recoveries of prior year unpaid obligations, unexpired	–7		
3041 Recoveries of prior year unpaid obligations, expired	–1		
3050 Unpaid obligations, end of year	526	540	536
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–21	–6	–6
3070 Change in uncollected pymts, Fed sources, unexpired	16		
3071 Change in uncollected pymts, Fed sources, expired	–1		
3090 Uncollected pymts, Fed sources, end of year	–6	–6	–6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	557	520	534
3200 Obligated balance, end of year	520	534	530

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross	442	449	454

<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority	283	139	141
4011 Outlays from discretionary balances	263	348	342
4020 Outlays, gross (total)	546	487	483
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources	–18	–6	–6
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired	16		
4070 Budget authority, net (discretionary)	440	443	448
4080 Outlays, net (discretionary)	528	481	477
<b>Mandatory:</b>			
4090 Budget authority, gross	8	8	8
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	2	8	8
4101 Outlays from mandatory balances	3		
4110 Outlays, gross (total)	5	8	8
4180 Budget authority, net (total)	448	451	456
4190 Outlays, net (total)	533	489	485

The Indian Health Facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian Health Service. An estimated \$208.8 million primarily through self determination contracts and compacts, will be administered by tribal governments in 2014.

**Object Classification** (in millions of dollars)

Identification code 75–0391–0–1–551	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent	47	47	47
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	2	2	2
11.7 Military personnel	23	23	24
11.9 Total personnel compensation	74	74	75
12.1 Civilian personnel benefits	15	15	14
12.2 Military personnel benefits	8	8	8
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	4	4	4
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	16	16	16
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	81	82	71
25.3 Other goods and services from Federal sources	4	4	4
25.4 Operation and maintenance of facilities	8	8	6
25.7 Operation and maintenance of equipment	4	4	4
25.8 Subsistence and support of persons	1	1	1
26.0 Supplies and materials	8	8	7
31.0 Equipment	14	14	13
32.0 Land and structures	42	43	41
41.0 Grants, subsidies, and contributions	183	184	179
99.0 Direct obligations	467	470	448
99.0 Reimbursable obligations	39	39	39
99.9 Total new obligations	506	509	487

**Employment Summary**

Identification code 75–0391–0–1–551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1,021	1,021	1,021
1101 Direct military average strength employment	170	170	170
2001 Reimbursable civilian full-time equivalent employment	32	32	32

**ADMINISTRATIVE PROVISIONS—INDIAN HEALTH SERVICE**

Appropriations provided in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary;

uniforms or allowances therefor as authorized by 5 U.S.C. 5901–5902; and for expenses of attendance at meetings that relate to the functions or activities of the Indian Health Service: Provided, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651–2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: Provided further, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86–121, the Indian Sanitation Facilities Act and Public Law 93–638, as amended: Provided further, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: Provided further, That none of the funds made available to the Indian Health Service in this Act shall be used for any assessments or charges by the Department of Health and Human Services unless identified in the budget justification and provided in this Act, or approved by the House and Senate Committees on Appropriations through the reprogramming process: Provided further, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title V of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title V of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: Provided further, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: Provided further, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities on a reimbursable basis, including payments in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account from which the funds were originally derived, with such amounts to remain available until expended: Provided further, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance: Provided further, That the appropriation structure for the Indian Health Service may not be altered without advance notification to the House and Senate Committees on Appropriations.

## CENTERS FOR DISEASE CONTROL AND PREVENTION

### Federal Funds

#### CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT

##### IMMUNIZATION AND RESPIRATORY DISEASES

For carrying out titles II, III, XVII, and XXI, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to immunization and respiratory diseases, \$668,696,000: Provided, That in addition to amounts provided herein, \$12,864,000 shall be available from amounts available under section 241 of the PHS Act to carry out the National Immunization Surveys.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### HIV/AIDS, VIRAL HEPATITIS, SEXUALLY TRANSMITTED DISEASES, AND TUBERCULOSIS PREVENTION

For carrying out titles II, III, XVII, and XXIII of the PHS Act with respect to HIV/AIDS, viral hepatitis, sexually transmitted diseases, and tuberculosis prevention, \$1,173,942,000: Provided, That in addition to the amounts provided herein, \$3,000,000 shall be available from amounts available under section 241 of the PHS Act to carry out the evaluation of HIV school health activities.

#### EMERGING AND ZONOTIC INFECTIOUS DISEASES

For carrying out titles II, III, and XVII, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to emerging and zoonotic infectious diseases, \$380,664,000, of which \$1,000,000 shall remain available until expended to pay for the transportation, medical care, treatment, and other related costs of persons quarantined or isolated under federal or state quarantine laws.

#### CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION

For carrying out titles II, III, XI, XV, and XVII of the PHS Act and Public Law 111–148 with respect to chronic disease prevention and health promotion, \$620,189,000: Provided, That Centers for Disease Control and Prevention and State grant recipients may reallocate up to five percent of grant funds received for diabetes, heart disease and stroke, obesity, and school health activities to address cross-cutting chronic disease issues: Provided further, That with respect to the previous proviso, grantees shall submit a plan in writing to the Centers for Disease Control and Prevention and obtain the approval of the Centers for Disease Control and Prevention to reallocate such funds: Provided further, That funds appropriated under this account may be available for making grants under section 1509 of the PHS Act for up to 21 States, tribes, or tribal organizations: Provided further, That the Secretary may grant up to ten waivers under section 1503(d) of the PHS Act.

#### BIRTH DEFECTS, DEVELOPMENTAL DISABILITIES, DISABILITIES AND HEALTH

For carrying out titles II, III, XI, and XVII of the PHS Act with respect to birth defects, developmental disabilities, disabilities and health, \$67,148,000.

#### PUBLIC HEALTH SCIENTIFIC SERVICES

For carrying out titles II, III, and XVII of the PHS Act and Public Law 111–148 with respect to health statistics, surveillance, health informatics, and workforce development, \$144,416,000: Provided, That in addition to amounts provided herein, \$324,889,000 shall be available from amounts available under section 241 of the PHS Act to carry out Public Health Scientific Services.

#### ENVIRONMENTAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to environmental health, \$126,126,000.

#### INJURY PREVENTION AND CONTROL

For carrying out titles II, III, and XVII of the PHS Act with respect to injury prevention and control, \$176,585,000: Provided, that in addition to the amounts provided herein, \$5,000,000 shall be available from amounts under section 241 of the PHS Act to carry out the evaluation of Rape Prevention and Education programs authorized by the Violence Against Women Act.

#### NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

For carrying out titles II, III, and XVII of the PHS Act, sections 101, 102, 103, 201, 202, 203, 301, 501, and 514 of the Federal Mine Safety and Health Act, section 13 of the Mine Improvement and New Emergency Response Act, and sections 20, 21, and 22 of the Occupational Safety and Health Act, with respect to occupational safety and health, \$271,911,000 shall be available from amounts available under section 241 of the PHS Act.

#### ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION PROGRAM

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, \$55,358,000 to remain available until expended: Provided, That this amount shall be available consistent with the provision regarding administrative expenses in section 151(b) of division B, title I of Public Law 106–554.

GLOBAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to global health, \$393,024,000 of which \$131,942,000 for international HIV/AIDS shall remain available through September 30, 2015: Provided, That funds may be used for purchase and insurance of official motor vehicles in foreign countries.

PUBLIC HEALTH PREPAREDNESS AND RESPONSE

For carrying out titles II, III, and XVII of the PHS Act with respect to public health preparedness and response, and for expenses necessary to support activities related to countering potential biological, nuclear, radiological, and chemical threats to civilian populations, \$1,334,316,000, of which \$510,278,000 shall remain available until expended for the Strategic National Stockpile.

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT

For carrying out titles II, III, and XVII and section 2821 of the PHS Act and for cross-cutting activities and program support that supplement activities funded under the headings "Immunization and Respiratory Diseases", "HIV/AIDS, Viral Hepatitis, Sexually Transmitted Diseases, and Tuberculosis Prevention", "Emerging and Zoonotic Infectious Diseases", "Chronic Disease Prevention and Health Promotion", "Birth Defects, Developmental Disabilities, Disabilities and Health", "Environmental Health", "Injury Prevention and Control", "National Institute for Occupational Safety and Health", "Employees Occupational Illness Compensation Program Act", "Global Health", "Public Health Preparedness and Response", and "Public Health Scientific Services", and for carrying out section 4001 of the Patient Protection and Affordable Care Act, \$131,403,000, of which \$14,591,000 shall be available until expended for equipment, construction, and renovation of facilities: Provided, That funds appropriated under this heading and in all other accounts of the Centers for Disease Control and Prevention (referred to in this title as "CDC") may be used to support the purchase, hire, maintenance, and operation of aircraft for use and support of the activities of CDC: Provided further, That employees of CDC or the Public Health Service, both civilian and commissioned officers, detailed to States, municipalities, or other organizations under authority of section 214 of the PHS Act, or in overseas assignments, shall be treated as non-Federal employees for reporting purposes only and shall not be included within any personnel ceiling applicable to the Agency, Service, or HHS during the period of detail or assignment: Provided further, That CDC may use up to \$10,000 from amounts appropriated to CDC in this Act for official reception and representation expenses when specifically approved by the Director of CDC: Provided further, That in addition, such sums as may be derived from authorized user fees, which shall be credited to the appropriation charged with the cost thereof: Provided further, That with respect to the previous proviso, authorized user fees from the Vessel Sanitation Program shall be available through September 30, 2015: Provided further, That of the funds made available under this heading and in all other accounts of CDC, up to \$1,000 per eligible employee of CDC shall be made available until expended for Individual Learning Accounts: Provided further, That the Director may transfer funds between any of the accounts of CDC with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–0943–0–1–999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Cooperative Research and Development Agreements, Centers for Disease Control .....	3	2	2
0400 Total: Balances and collections .....	3	2	2
Appropriations:			
0500 CDC-Wide Activities and Program Support .....	–3	–2	–2
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 75–0943–0–1–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Birth Defects, Developmental Disabilities, Disability and Health (BA & Prevention Fund) (0958) .....	137	138	142
0002 CDC Wide Activities and Program Support (0943) .....	659	622	173
0004 Chronic Disease Prevention and Health Promotion (0948) .....	1,167	761	1,036
0005 Emerging and Zoonotic Infectious Diseases (0949) .....	304	254	432
0006 Energy Employee Illness Occupational Compensation Program Act (EEOICPA) (0954) .....	54		55
0007 Environmental Health (0947) .....	140	106	155
0008 Global Health (0955) .....	348	350	393
0012 HIV/AIDS, Viral Hepatitis, STD and TB Prevention (0950) .....	1,110	1,107	1,177
0013 Immunization and Respiratory Diseases (0951) .....	779	593	754
0015 Injury Prevention and Control (0952) .....	138	139	182
0016 Occupational Safety and Health (0953) .....	293	294	272
0019 Public Health Preparedness & Response (0956) .....	1,299	1,308	1,334
0020 Public Health Scientific Services (0959) .....	462	394	539
0021 Cooperative Research and Development Agreements (CRADA) (5146) .....	2	2	2
0799 Total direct obligations .....	6,892	6,068	6,646
0802 Other Reimbursable Programs .....	273	273	273
0809 Reimbursable program activities, subtotal .....	273	273	273
0900 Total new obligations .....	7,165	6,341	6,919
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	193	182	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	96	96	
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	200	182	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,688	5,692	5,217
1120 Appropriations transferred to other accts [75–0350] .....	–1		
1130 Appropriations permanently reduced .....	–31		
1160 Appropriation, discretionary (total) .....	5,656	5,692	5,217
Appropriations, mandatory:			
1200 Appropriation .....	55		55
1201 Appropriation (special or trust fund) .....	3	2	2
1221 Appropriations transferred from other accts [75–0116] .....	809		755
1260 Appropriations, mandatory (total) .....	867	2	812
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	326	465	890
1701 Change in uncollected payments, Federal sources .....	307		
1750 Spending auth from offsetting collections, disc (total) .....	633	465	890
1900 Budget authority (total) .....	7,156	6,159	6,919
1930 Total budgetary resources available .....	7,356	6,341	6,919
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–9		
1941 Unexpired unobligated balance, end of year .....	182		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,452	6,314	5,830
3010 Obligations incurred, unexpired accounts .....	7,165	6,341	6,919
3011 Obligations incurred, expired accounts .....	28		
3020 Outlays (gross) .....	–7,243	–6,825	–6,809
3040 Recoveries of prior year unpaid obligations, unexpired .....	–7		
3041 Recoveries of prior year unpaid obligations, expired .....	–81		
3050 Unpaid obligations, end of year .....	6,314	5,830	5,940
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–468	–460	–460
3070 Change in uncollected pymts, Fed sources, unexpired .....	–307		
3071 Change in uncollected pymts, Fed sources, expired .....	315		
3090 Uncollected pymts, Fed sources, end of year .....	–460	–460	–460
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,984	5,854	5,370
3200 Obligated balance, end of year .....	5,854	5,370	5,480

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	6,289	6,157	6,107
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,497	2,415	2,702
4011 Outlays from discretionary balances .....	4,183	3,994	3,723
4020 Outlays, gross (total) .....	6,680	6,409	6,425

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT—Continued  
Program and Financing—Continued

Identification code 75-0943-0-1-999	2012 actual	2013 CR	2014 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-629	-458	-881
4033 Non-Federal sources .....	-7	-7	-9
4040 Offsets against gross budget authority and outlays (total) ....	-636	-465	-890
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-307		
4052 Offsetting collections credited to expired accounts .....	310		
4060 Additional offsets against budget authority only (total) .....	3		
4070 Budget authority, net (discretionary) .....	5,656	5,692	5,217
4080 Outlays, net (discretionary) .....	6,044	5,944	5,535
Mandatory:			
4090 Budget authority, gross .....	867	2	812
Outlays, gross:			
4100 Outlays from new mandatory authority .....	207	2	303
4101 Outlays from mandatory balances .....	356	414	81
4110 Outlays, gross (total) .....	563	416	384
4180 Budget authority, net (total) .....	6,523	5,694	6,029
4190 Outlays, net (total) .....	6,607	6,360	5,919

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs designed to improve the health, safety, and protection of all Americans. These activities include immunization and respiratory diseases, HIV/AIDS, Hepatitis, STDs, and Tuberculosis prevention, emerging and zoonotic infectious diseases, chronic disease prevention and health promotion, occupational safety and health, public health and scientific services, injury prevention and control, environmental health, global health, programs that reduce the occurrence of birth defects and developmental disabilities, and CDC-Wide Activities and Program Support. CDC also supports bioterrorism, and emergency response. The 2012 and 2013 levels in MAX are not comparable with the 2014 Budget levels. The 2014 Budget realigns business support services from the prior fiscal to the program budget lines based on previous consumption data.

Object Classification (in millions of dollars)

Identification code 75-0943-0-1-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	696	737	742
11.3 Other than full-time permanent .....	95	92	92
11.5 Other personnel compensation .....	31	39	39
11.7 Military personnel .....	68	67	69
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	891	936	943
12.1 Civilian personnel benefits .....	247	252	254
12.2 Military personnel benefits .....	46	48	48
21.0 Travel and transportation of persons .....	46	41	41
22.0 Transportation of things .....	20	15	15
23.1 Rental payments to GSA .....	25	52	52
23.2 Rental payments to others .....	2	1	1
23.3 Communications, utilities, and miscellaneous charges .....	51	34	34
24.0 Printing and reproduction .....	3	5	5
25.1 Advisory and assistance services .....	634	448	448
25.2 Other services from non-Federal sources .....	226	193	193
25.3 Other goods and services from Federal sources .....	386	371	371
25.4 Operation and maintenance of facilities .....	74	47	47
25.5 Research and development contracts .....	84	63	63
25.6 Medical care .....	36	28	28
25.7 Operation and maintenance of equipment .....	40	33	33
25.8 Subsistence and support of persons (Includes 1259) .....	41	30	30
26.0 Supplies and materials .....	646	528	528
31.0 Equipment .....	94	56	56
32.0 Land and structures .....	15	8	8
41.0 Grants, subsidies, and contributions .....	3,285	2,879	3,448
99.0 Direct obligations .....	6,892	6,068	6,646
99.0 Reimbursable obligations .....	273	273	273
99.9 Total new obligations .....	7,165	6,341	6,919

Employment Summary

Identification code 75-0943-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	8,676	8,623	8,623
1101 Direct military average strength employment .....	805	805	805
2001 Reimbursable civilian full-time equivalent employment .....	1,018	1,018	1,018
2101 Reimbursable military average strength employment .....	72	72	72

CDC WORKING CAPITAL FUND

The Consolidated Appropriations Bill, 2012 (P.L. 112-74, Division F) provided authorities equivalent to those provided in 42 U.S.C. 231 for CDC to begin creating a Working Capital Fund. The Working Capital Fund will be used by CDC to achieve greater cost efficiencies across the administrative operations of the agency.

AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY

TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH

For necessary expenses for the Agency for Toxic Substances and Disease Registry (ATSDR) in carrying out activities set forth in sections 104(i) and 111(c)(4) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended; and section 3019 of the Solid Waste Disposal Act, as amended, \$76,215,000 of which up to \$1,000 per eligible employee of the Agency for Toxic Substances and Disease Registry shall remain available until expended for Individual Learning Accounts: Provided, That notwithstanding any other provision of law, in lieu of performing a health assessment under section 104(i)(6) of CERCLA, the Administrator of ATSDR may conduct other appropriate health studies, evaluations, or activities, including, without limitation, biomedical testing, clinical evaluations, medical monitoring, and referral to accredited healthcare providers: Provided further, That in performing any such health assessment or health study, evaluation, or activity, the Administrator of ATSDR shall not be bound by the deadlines in section 104(i)(6)(A) of CERCLA: Provided further, That none of the funds appropriated under this heading shall be available for ATSDR to issue in excess of 40 toxicological profiles pursuant to section 104(i) of CERCLA during fiscal year 2014, and existing profiles may be updated as necessary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-0944-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	79	77	76
0801 Reimbursable program .....	11	10	10
0900 Total new obligations .....	90	87	86
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	17	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	76	77	76
1160 Appropriation, discretionary (total) .....	76	77	76
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	10	10
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	11	10	10
1900 Budget authority (total) .....	87	87	86
1930 Total budgetary resources available .....	107	104	103
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	17	17

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	48	52	26
3010	Obligations incurred, unexpired accounts .....	90	87	86
3020	Outlays (gross) .....	-85	-113	-81
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	52	26	31
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-14	-14
3070	Change in uncollected pymts, Fed sources, unexpired .....	-7		
3071	Change in uncollected pymts, Fed sources, expired .....	6		
3090	Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	35	38	12
3200	Obligated balance, end of year .....	38	12	17

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	87	87	86
Outlays, gross:				
4010	Outlays from new discretionary authority .....	53	64	63
4011	Outlays from discretionary balances .....	29	38	15
4020	Outlays, gross (total) .....	82	102	78
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-9	-10	-10
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-7		
4052	Offsetting collections credited to expired accounts .....	5		
4060	Additional offsets against budget authority only (total) .....	-2		
4070	Budget authority, net (discretionary) .....	76	77	76
4080	Outlays, net (discretionary) .....	73	92	68
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	3	11	3
4180	Budget authority, net (total) .....	76	77	76
4190	Outlays, net (total) .....	76	103	71

The Agency for Toxic Substances and Disease Registry (ATSDR) is authorized under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA). ATSDR assesses health hazards at specific hazardous waste sites helping to prevent or reduce exposure and illnesses that result, and increasing knowledge and understanding of the health effects that may result from exposure to hazardous substances.

**Object Classification (in millions of dollars)**

Identification code 75-0944-0-1-551				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	22	22	22
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.7	Military personnel .....	3	4	4
11.9	Total personnel compensation .....	27	28	28
12.1	Civilian personnel benefits .....	7	7	7
12.2	Military personnel benefits .....	1	2	2
21.0	Travel and transportation of persons .....	1		
25.1	Advisory and assistance services .....	4	4	4
25.2	Other services from non-Federal sources .....	7	7	7
25.3	Other goods and services from Federal sources .....	14	11	11
31.0	Equipment .....	1	1	1
41.0	Grants, subsidies, and contributions .....	17	17	15
99.0	Direct obligations .....	79	77	75
99.0	Reimbursable obligations .....	11	10	11
99.9	Total new obligations .....	90	87	86

**Employment Summary**

Identification code 75-0944-0-1-551				
1001	Direct civilian full-time equivalent employment .....	249	250	250
1101	Direct military average strength employment .....	37	35	35

2001	Reimbursable civilian full-time equivalent employment .....	10	10	10
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**WORLD TRADE CENTER HEALTH PROGRAM FUND**

**Program and Financing (in millions of dollars)**

Identification code 75-0946-0-1-551				
<b>Obligations by program activity:</b>				
0001	Federal Share .....	187	231	241
0002	NYC .....	21	26	26
0900	Total new obligations .....	208	257	267

**Budgetary Resources:**

Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (WTC (CDC Direct)) .....	187	231	241
1200	Appropriation (WTC—NYC DHSS—CDC) .....	18	26	26
1260	Appropriations, mandatory (total) .....	205	257	267
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	3		
1850	Spending auth from offsetting collections, mand (total) .....	3		
1900	Budget authority (total) .....	208	257	267
1930	Total budgetary resources available .....	208	257	267

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	68	113	137
3010	Obligations incurred, unexpired accounts .....	208	257	267
3020	Outlays (gross) .....	-146	-233	-226
3041	Recoveries of prior year unpaid obligations, expired .....	-17		
3050	Unpaid obligations, end of year .....	113	137	178
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	68	113	137
3200	Obligated balance, end of year .....	113	137	178

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	208	257	267
Outlays, gross:				
4100	Outlays from new mandatory authority .....	106	129	134
4101	Outlays from mandatory balances .....	40	104	92
4110	Outlays, gross (total) .....	146	233	226
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-6		
Additional offsets against gross budget authority only:				
4142	Offsetting collections credited to expired accounts .....	3		
4160	Budget authority, net (mandatory) .....	205	257	267
4170	Outlays, net (mandatory) .....	140	233	226
4180	Budget authority, net (total) .....	205	257	267
4190	Outlays, net (total) .....	140	233	226

HHS along with CDC began implementing provisions of The James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111-347) on July 1, 2011, to provide monitoring and treatment benefits to eligible responders and survivors. CDC/NIOSH serves as the Program Administrator for the WTC Health Program. The WTC Health Program provides quality care for WTC-related health conditions, conducts WTC research, and maintains a health registry to collect data on victims of the September 11, 2001, terrorist attacks. The amounts included for 2013 and 2014 Budget reflect estimated Federal obligations for the WTC Health Program.

**Object Classification (in millions of dollars)**

Identification code 75-0946-0-1-551				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	2	2	2
11.7	Military personnel .....	1	1	1
11.9	Total personnel compensation .....	3	3	3

## WORLD TRADE CENTER HEALTH PROGRAM FUND—Continued

## Object Classification—Continued

Identification code 75–0946–0–1–551	2012 actual	2013 CR	2014 est.
25.1 Advisory and assistance services .....	3	20	20
25.2 Other services from non-Federal sources .....	33	33	33
25.3 Other goods and services from Federal sources .....	77	4	4
25.6 Medical care .....	106	177	187
41.0 Grants, subsidies, and contributions .....	19	20	20
99.9 Total new obligations .....	208	257	267

## Employment Summary

Identification code 75–0946–0–1–551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	8	8	8
1101 Direct military average strength employment .....	2	2	2

## NATIONAL INSTITUTES OF HEALTH

## Federal Funds

## NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cancer, \$5,125,951,000, of which up to \$8,000,000 may be used for facilities repairs and improvements at the National Cancer Institute–Frederick Federally Funded Research and Development Center in Frederick, Maryland.

## NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, \$3,098,508,000.

## NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to dental and craniofacial diseases, \$411,515,000.

## NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to diabetes and digestive and kidney disease, \$1,811,786,000.

## NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the PHS Act with respect to neurological disorders and stroke, \$1,642,619,000.

## NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to allergy and infectious diseases, \$4,578,813,000.

## NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to general medical sciences, \$2,401,011,000.

## EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the PHS Act with respect to child health and human development, \$1,339,360,000.

## NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, \$699,216,000.

## NATIONAL INSTITUTE OF ENVIRONMENT HEALTH SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to environmental health sciences, \$691,348,000.

For necessary expenses for the National Institute of Environmental Health Sciences in carrying out activities set forth in section 311(a) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 and section 126(g) of the Superfund Amendments and Reauthorization Act of 1986, \$79,411,000.

## NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the PHS Act with respect to aging, \$1,193,370,000.

## NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to arthritis and musculoskeletal and skin diseases, \$540,993,000.

## NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the PHS Act with respect to deafness and other communication disorders, \$422,936,000.

## NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to nursing research, \$146,244,000.

## NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the PHS Act with respect to alcohol abuse and alcoholism, \$463,848,000.

## NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the PHS Act with respect to drug abuse, \$1,071,612,000.

## NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to mental health, \$1,465,782,000.

## NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to human genome research, \$517,319,000.

## NATIONAL INSTITUTE OF BIOMEDICAL IMAGING AND BIOENGINEERING

For carrying out section 301 and title IV of the PHS Act with respect to biomedical imaging and bioengineering research, \$338,892,000.

## NATIONAL CENTER FOR COMPLEMENTARY AND ALTERNATIVE MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to complementary and alternative medicine, \$129,041,000.

## NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

For carrying out section 301 and title IV of the PHS Act with respect to minority health and health disparities research, \$283,299,000.

## JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities of the John E. Fogarty International Center (described in subpart 2 of part E of title IV of the PHS Act), \$72,864,000.

## NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to health information communications, \$382,252,000, of which \$4,000,000 shall be available until September 30, 2015, for improvement of information systems: Provided, That in fiscal year 2014, the National Library of Medicine may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health (referred to in this title as "NIH"): Provided further, That in addition to amounts provided herein, \$8,200,000 shall be available from amounts available under section 241 of the PHS Act to carry out the purposes of the National Information Center on Health Services Research and Health Care Technology established under section 478A of the PHS Act and related health information services.

## NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to translational sciences, \$665,688,000: Provided, That up to \$50,000,000 shall be available to implement section 480 of the PHS Act, relating to the Cures Acceleration Network.

## OFFICE OF THE DIRECTOR

For carrying out the responsibilities of the Office of the Director, NIH, \$1,473,398,000, of which up to \$25,000,000 shall be used to carry out section 211 of this Act: Provided, That funding shall be available for the purchase of not to exceed 29 passenger motor vehicles for replacement only: Provided further, That NIH is authorized to collect third-party

payments for the cost of clinical services that are incurred in NIH research facilities and that such payments shall be credited to the NIH Management Fund: Provided further, That all funds credited to the NIH Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: Provided further, That \$572,948,000 shall be available for the Common Fund established under section 402A(c)(1) of the PHS Act: Provided further, That of the funds provided \$10,000 shall be for official reception and representation expenses when specifically approved by the Director of the NIH: Provided further, That the Office of AIDS Research within the Office of the Director of the NIH may spend up to \$8,000,000 to make grants for construction or renovation of facilities as provided for in section 2354(a)(5)(B) of the PHS Act: Provided further, That the Director may direct up to 1 percent of the total made available in this or any other Act to all National Institutes of Health appropriations to activities that the Director may so designate: Provided further, That no such appropriation shall be decreased by more than 1 percent by any such transfers and that the Congress is promptly notified of the transfer.

**BUILDINGS AND FACILITIES**

For the study of, construction of, renovation of, and acquisition of equipment for, facilities of or used by NIH, including the acquisition of real property, \$126,111,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 75–9915–0–1–552	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Cooperative Research and Development Agreements, NIH	16	17	17
0400 Total: Balances and collections	16	17	17
Appropriations:			
0500 National Institutes of Health	–16	–17	–17
0799 Balance, end of year			

**Program and Financing** (in millions of dollars)

Identification code 75–9915–0–1–552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Cancer Institute (0849)	5,068	5,103	5,126
0002 National Heart, Lung, and Blood Institute (0872)	3,052	3,098	3,099
0003 National Institute of Dental and Craniofacial Research (0873)	410	413	412
0004 National Institute of Diabetes and Digestive and Kidney Disease (0884)	1,795	1,808	1,812
0005 National Institute of Neurological Disorders and Stroke (0886)	1,625	1,636	1,643
0006 National Institute of Allergy and Infectious Diseases (0885)	4,487	4,518	4,579
0007 National Institute of General Medical Sciences (0851)	2,428	2,445	2,401
0008 National Institute of Child Health and Human Development (0844)	1,320	1,329	1,339
0009 National Eye Institute (0887)	702	707	699
0010 National Institute of Environmental Health Sciences (0862)	764	769	771
0011 National Institute on Aging (0843)	1,121	1,110	1,193
0012 National Institute of Arthritis and Musculoskeletal and Skin Disease (0888)	535	539	541
0013 National Institute on Deafness and Other Communication Disorder (0890)	416	419	423
0014 National Institute of Mental Health (0892)	1,479	1,489	1,466
0015 National Institute on Drug Abuse (0893)	1,052	1,060	1,072
0016 National Institute on Alcohol Abuse and Alcoholism (0894)	459	462	464
0017 National Institute of Nursing Research (0889)	145	146	146
0018 National Human Genome Research Institute (0891)	513	516	517
0019 National Institute of Biomedical Imaging and Bioengineering (0898)	338	340	339
0021 National Center for Complementary and Alternative Medicine (0896)	128	129	129
0022 National Institute on Minority Health and Health Disparities (0897)	276	278	283
0023 John E. Fogarty International Center (0819)	70	70	73
0024 National Library of Medicine (0807)	337	340	382
0025 Office of the Director (0846)	1,458	1,468	1,473
0026 Buildings and facilities (0838)	99	126	126
0027 Cooperative Research and Development Agreements	18	17	17

0028 National Center for Advancing Translational Sciences (0875)	575	579	666
0031 Type 1 Diabetes	150	150	150
0799 Total direct obligations	30,820	31,064	31,341
0801 Reimbursable program activity	3,166	3,168	3,377
0802 Royalties	87	88	88
0809 Reimbursable program activities, subtotal	3,253	3,256	3,465
0899 Total reimbursable obligations	3,253	3,256	3,465
0900 Total new obligations	34,073	34,320	34,806
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	374	457	371
1001 Discretionary unobligated balance brought fwd, Oct 1	342	420	
1021 Recoveries of prior year unpaid obligations	52		
1050 Unobligated balance (total)	426	457	371
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30,769	30,899	31,173
1120 Appropriations transferred to other accts [75–0350]	–9		
1130 Appropriations permanently reduced	–58		
1160 Appropriation, discretionary (total)	30,702	30,899	31,173
Appropriations, mandatory:			
1200 Appropriation	150	150	150
1201 Appropriation (special or trust fund)	16	17	17
1260 Appropriations, mandatory (total)	166	167	167
Spending authority from offsetting collections, discretionary:			
1700 Collected	2,733	3,168	3,377
1701 Change in uncollected payments, Federal sources	530		
1750 Spending auth from offsetting collections, disc (total)	3,263	3,168	3,377
1900 Budget authority (total)	34,131	34,234	34,717
1930 Total budgetary resources available	34,557	34,691	35,088
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–27		
1941 Unexpired unobligated balance, end of year	457	371	282

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	35,624	33,051	32,411
3010 Obligations incurred, unexpired accounts	34,073	34,320	34,806
3011 Obligations incurred, expired accounts	926		
3020 Outlays (gross)	–36,568	–34,960	–34,713
3040 Recoveries of prior year unpaid obligations, unexpired	–52		
3041 Recoveries of prior year unpaid obligations, expired	–952		
3050 Unpaid obligations, end of year	33,051	32,411	32,504
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1,151	–938	–938
3070 Change in uncollected pymts, Fed sources, unexpired	–530		
3071 Change in uncollected pymts, Fed sources, expired	743		
3090 Uncollected pymts, Fed sources, end of year	–938	–938	–938
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	34,473	32,113	31,473
3200 Obligated balance, end of year	32,113	31,473	31,566

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross	33,965	34,067	34,550
Outlays, gross:			
4010 Outlays from new discretionary authority	10,769	11,512	11,794
4011 Outlays from discretionary balances	25,653	23,140	22,750
4020 Outlays, gross (total)	36,422	34,652	34,544
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–3,675	–3,168	–3,377
4033 Non-Federal sources	–112		
4040 Offsets against gross budget authority and outlays (total)	–3,787	–3,168	–3,377
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–530		
4052 Offsetting collections credited to expired accounts	1,054		
4060 Additional offsets against budget authority only (total)	524		
4070 Budget authority, net (discretionary)	30,702	30,899	31,173
4080 Outlays, net (discretionary)	32,635	31,484	31,167
Mandatory:			
4090 Budget authority, gross	166	167	167
Outlays, gross:			
4100 Outlays from new mandatory authority	10	52	53

NATIONAL INSTITUTES OF HEALTH—Continued  
Program and Financing—Continued

Identification code 75-9915-0-1-552	2012 actual	2013 CR	2014 est.
4101 Outlays from mandatory balances .....	136	256	116
4110 Outlays, gross (total) .....	146	308	169
4180 Budget authority, net (total) .....	30,868	31,066	31,340
4190 Outlays, net (total) .....	32,781	31,792	31,336

DISTRIBUTION OF BUDGET AUTHORITY AND OUTLAYS BY ACCOUNT

(in millions of dollars)

	2012	2013 <sup>1</sup>	2014
Distribution of budget authority by account:			
National Cancer Institute .....	5,072	5,103	5,126
National Heart, Lung, and Blood Institute .....	3,079	3,098	3,099
National Institute of Dental and Craniofacial Research .....	411	413	412
National Institute of Diabetes and Digestive and Kidney Diseases .....	1,947	1,958	1,962
National Institute of Neurological Disorder and Stroke .....	1,626	1,636	1,643
National Institute of Allergy and Infectious Diseases .....	4,491	4,518	4,579
National Institute of General Medical Sciences .....	2,430	2,445	2,401
Eunice Kennedy Shriver National Institute of Child Health and Human Development .....	1,321	1,329	1,339
National Eye Institute .....	703	707	699
National Institute of Environmental Health Sciences .....	764	769	771
National Institute on Aging .....	1,103	1,110	1,193
National Institute of Arthritis and Musculoskeletal and Skin Diseases .....	536	539	541
National Institute on Deafness and Other Communication Disorders ....	416	419	423
National Institute of Mental Health .....	1,480	1,489	1,466
National Institute on Drug Abuse .....	1,053	1,060	1,072
National Institute on Alcohol Abuse and Alcoholism .....	460	462	464
National Institute of Nursing Research .....	145	146	146
National Center for Research Resources .....	0	0	0
National Human Genome Research Institute .....	513	516	517
National Institute of Biomedical Imaging and Bioengineering .....	338	340	339
National Institute on Minority Health and Health Disparities .....	276	278	283
National Center for Complementary and Alternative Medicine .....	128	129	129
National Center for Advancing Translational Sciences .....	575	579	666
John E. Fogarty International Center .....	70	70	73
National Library of Medicine .....	338	340	382
Office of the Director .....	1,459	1,468	1,473
Buildings and Facilities .....	125	126	126
ARRA Funds .....	0	0	0
Subtotal .....	30,861	31,049	31,323
Cooperative Research and Development Agreements .....	17	17	17
Total Budget Authority, NIH .....	30,878	31,066	31,340

<sup>1</sup>Assumes annualized continuing resolution

(in millions of dollars)

	2012	2013	2014
Distribution of outlays by account:			
National Cancer Institute .....	5,154	4,909	5,102
National Heart, Lung, and Blood Institute .....	3,151	2,925	3,089
National Institute of Dental and Craniofacial Research .....	403	423	412
National Institute of Diabetes and Digestive and Kidney Diseases .....	1,927	2,342	1,956
National Institute of Neurological Disorders and Stroke .....	1,593	1,715	1,636
National Institute of Allergy and Infectious Diseases .....	4,569	4,340	4,527
National Institute of General Medical Sciences .....	1,824	2,668	2,427
Eunice Kennedy Shriver National Institute of Child Health and Human Development .....	1,289	1,359	1,331
National Eye Institute .....	699	707	704
National Institute of Environmental Health Sciences .....	1,049	490	769
National Institute on Aging .....	1,101	1,081	1,131
National Institute of Arthritis and Musculoskeletal and Skin Diseases .....	531	527	539
National Institute on Deafness and Other Communication Disorders ....	417	420	419
National Institute of Mental Health .....	1,490	1,435	1,481
National Institute on Drug Abuse .....	1,090	962	1,061
National Institute on Alcohol Abuse and Alcoholism .....	467	460	462
National Institute of Nursing Research .....	145	137	146
National Center for Research Resources .....	910	406	77
National Human Genome Research Institute .....	498	537	516
National Institute of Biomedical Imaging and Bioengineering .....	318	331	339
National Institute on Minority Health and Health Disparities .....	227	243	274
National Center for Complementary and Alternative Medicine .....	123	126	129
National Center for Advancing Translational Sciences .....	98	326	554
John E. Fogarty International Center .....	65	72	71
National Library of Medicine .....	341	328	362
Office of the Director .....	1,341	1,359	1,439
Buildings and Facilities .....	112	130	90
ARRA Funds .....	1,880	1,020	277

Management Fund/Service and Supply Fund .....	-46	0	0
Subtotal Outlays .....	32,769	31,777	31,318
Cooperative Research and Development Agreements .....	13	16	16
Total Outlays, NIH .....	32,782	31,792	31,336

This program funds biomedical research and research training. These accounts will continue to be appropriated separately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

Object Classification (in millions of dollars)

Identification code 75-9915-0-1-552	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	879	933	944
11.3 Other than full-time permanent .....	475	487	496
11.5 Other personnel compensation .....	30	32	33
11.7 Military personnel .....	21	22	22
11.8 Special personal services payments .....	162	163	166
11.9 Total personnel compensation .....	1,567	1,637	1,661
12.1 Civilian personnel benefits .....	411	430	435
12.2 Military personnel benefits .....	15	16	16
21.0 Travel and transportation of persons .....	50	49	49
22.0 Transportation of things .....	5	5	5
23.1 Rental payments to GSA .....	1	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	27	27	27
24.0 Printing and reproduction .....	3	3	3
25.1 Advisory and assistance services .....	125	115	115
25.2 Other services from non-Federal sources .....	819	702	725
25.3 Other goods and services from Federal sources .....	3,171	3,126	3,273
25.4 Operation and maintenance of facilities .....	45	45	45
25.5 Research and development contracts .....	1,996	1,984	1,905
25.6 Medical care .....	20	20	20
25.7 Operation and maintenance of equipment .....	88	86	86
26.0 Supplies and materials .....	193	190	189
31.0 Equipment .....	154	142	144
32.0 Land and structures .....		126	126
41.0 Grants, subsidies, and contributions .....	22,130	22,358	22,514
99.0 Direct obligations .....	30,821	31,064	31,341
99.0 Reimbursable obligations .....	3,252	3,256	3,465
99.9 Total new obligations .....	34,073	34,320	34,806

Employment Summary

Identification code 75-9915-0-1-552	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	13,048	13,604	13,607
1101 Direct military average strength employment .....	201	201	198
2001 Reimbursable civilian full-time equivalent employment .....	5,137	4,581	4,581
2101 Reimbursable military average strength employment .....	107	107	107

SUBSTANCE ABUSE AND MENTAL HEALTH  
SERVICES ADMINISTRATION

Federal Funds

MENTAL HEALTH

For carrying out titles III, V, and XIX of the PHS Act with respect to mental health, and the Protection and Advocacy for Individuals with Mental Illness Act, \$990,341,000 of which \$438,717,000 shall be for carrying out Section 1911 of the PHS Act: Provided, That notwithstanding section 520A(f)(2) of the PHS Act, no funds appropriated for carrying out section 520A shall be available for carrying out section 1971 of the PHS Act: Provided further, That in addition to amounts provided herein, \$21,039,000 shall be available under section 241 of the PHS Act to carry out subpart I of part B of title XIX of the PHS Act to fund section 1920(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1920(b) activities shall not exceed 5 percent of the amounts appropriated for subpart I of part B of title XIX: Provided further, That section 520E(b)(2) of the PHS Act shall not apply to funds appropriated under this Act for

fiscal year 2014: Provided further, That notwithstanding section 565(b)(1) of the PHS Act, technical assistance may be provided to communities that wish to establish such programs irrespective of whether the communities have a grant under section 561(a): Provided further, That States shall expend at least 3 percent of the amount for carrying out section 1911 of the PHS Act to award competitive subawards that assist providers in building capacity to bill third party insurance or assist individuals to enroll in insurance for which they are eligible: Provided further, That States shall expend at least 5 percent of the amount for carrying out section 1911 of the PHS Act to award competitive subawards that support effective evidence-based mental health prevention and treatment practices: Provided further, That any State receiving 2 percent or more of the total amount distributed for carrying out section 1911 of the PHS Act shall expend the amounts described in the two immediately preceding provisos through competitive subawards.

**SUBSTANCE ABUSE TREATMENT**

For carrying out titles III, V, and XIX of the PHS Act with respect to substance abuse treatment and section 1922(a) of the PHS Act with respect to substance abuse prevention, \$2,052,926,000 of which \$1,748,132,000 shall be for carrying out Section 1921 of the PHS Act: Provided, That in addition to amounts provided herein, \$71,724,000 shall be available under section 241 of the PHS Act to carry out subpart II of part B of title XIX of the PHS Act to fund section 1935(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1935(b) activities shall not exceed 5 percent of the amounts appropriated for subpart II of part B of title XIX: Provided further, That States shall expend at least 3 percent of the amount for carrying out section 1921 of the PHS Act to award competitive subawards that assist providers in building capacity to bill third party insurance or assist individuals to enroll in insurance for which they are eligible: Provided further, That any State receiving 1 percent or more of the total amount distributed for carrying out section 1921 of the PHS Act shall expend the amounts described in the immediately preceding proviso through competitive subawards.

**SUBSTANCE ABUSE PREVENTION**

For carrying out titles III and V of the PHS Act with respect to substance abuse prevention, \$175,560,000.

**HEALTH SURVEILLANCE AND PROGRAM SUPPORT**

For program support and cross-cutting activities that supplement activities funded under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention" in carrying out titles III, V, and XIX of the PHS Act and the Protection and Advocacy for Individuals with Mental Illness Act in the Substance Abuse and Mental Health Services Administration, \$129,124,000: Provided, That in addition to amounts provided herein, \$71,995,000 shall be available under section 241 of the PHS Act to supplement funds available to carry out national surveys on drug abuse and mental health, to collect and analyze program data, and to conduct public awareness and technical assistance activities: Provided further, That, in addition, fees may be collected for the costs of publications, data, data tabulations, and data analysis completed under title V of the PHS Act and provided to a public or private entity upon request, which shall be credited to this appropriation and shall remain available until expended for such purposes: Provided further, That funds made available under this heading may be used to supplement program support funding provided under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention": Provided further, That the Administrator may transfer funds between any of the accounts of SAMHSA with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75–1362–0–1–551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0006 Mental Health .....	978	934	990
0007 Substance Abuse Treatment .....	2,148	2,132	2,053
0008 Substance Abuse Prevention .....	186	187	176
0009 Health Surveillance and Program Support .....	126	115	129
0100 Total, direct program .....	3,438	3,368	3,348
0799 Total direct obligations .....	3,438	3,368	3,348
0802 Reimbursable program: PHS Evaluation .....	248	253	257
0900 Total new obligations .....	3,686	3,621	3,605
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,354	3,368	3,348
1120 Appropriations transferred to other accts [75–0350] .....	–1		
1130 Appropriations permanently reduced .....	–6		
1160 Appropriation, discretionary (total) .....	3,347	3,368	3,348
Appropriations, mandatory:			
1221 Appropriations transferred from the Prevention and Public Health Fund [75–0116] .....	92		58
1260 Appropriations, mandatory (total) .....	92		58
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	238	253	259
1701 Change in uncollected payments, Federal sources .....	10		
1750 Spending auth from offsetting collections, disc (total) .....	248	253	259
1900 Budget authority (total) .....	3,687	3,621	3,665
1930 Total budgetary resources available .....	3,687	3,621	3,665
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....			60
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,965	3,125	2,899
3010 Obligations incurred, unexpired accounts .....	3,686	3,621	3,605
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	–3,487	–3,847	–3,669
3041 Recoveries of prior year unpaid obligations, expired .....	–44		
3050 Unpaid obligations, end of year .....	3,125	2,899	2,835
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–241	–22	–22
3070 Change in uncollected pymts, Fed sources, unexpired .....	–10		
3071 Change in uncollected pymts, Fed sources, expired .....	229		
3090 Uncollected pymts, Fed sources, end of year .....	–22	–22	–22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,724	3,103	2,877
3200 Obligated balance, end of year .....	3,103	2,877	2,813
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,595	3,621	3,607
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,359	1,469	1,468
4011 Outlays from discretionary balances .....	2,118	2,295	2,150
4020 Outlays, gross (total) .....	3,477	3,764	3,618
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–471	–253	–257
4033 Non-Federal sources .....			–2
4040 Offsets against gross budget authority and outlays (total) .....	–471	–253	–259
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–10		
4052 Offsetting collections credited to expired accounts .....	233		
4060 Additional offsets against budget authority only (total) .....	223		
4070 Budget authority, net (discretionary) .....	3,347	3,368	3,348
4080 Outlays, net (discretionary) .....	3,006	3,511	3,359
Mandatory:			
4090 Budget authority, gross .....	92		58
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10		
4101 Outlays from mandatory balances .....		83	51
4110 Outlays, gross (total) .....	10	83	51
4180 Budget authority, net (total) .....	3,439	3,368	3,406

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES  
ADMINISTRATION—Continued  
Program and Financing—Continued

Identification code 75-1362-0-1-551	2012 actual	2013 CR	2014 est.
4190 Outlays, net (total)	3,016	3,594	3,410

This program provides Federal support to strengthen the capacity of the Nation's health care delivery system to provide effective substance abuse prevention, addiction treatment, and mental health services for people at risk for or experiencing substance abuse or mental illness. SAMHSA builds partnerships with states, communities, tribal and private not-for-profit organizations to enhance health and reduce the adverse impact of substance abuse and mental illness on America's communities.

Object Classification (in millions of dollars)

Identification code 75-1362-0-1-551	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent	46	54	57
11.3 Other than full-time permanent	2	3	4
11.5 Other personnel compensation	1	4	4
11.7 Military personnel	4	4	4
11.9 Total personnel compensation	53	65	69
12.1 Civilian personnel benefits	12	15	16
12.2 Military personnel benefits	2	2	2
21.0 Travel and transportation of persons	2	1	1
23.1 Rental payments to GSA	7	7	7
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	3	2	2
25.1 Advisory and assistance services	26	55	49
25.2 Other services from non-Federal sources	201	173	166
25.3 Other goods and services from Federal sources	90	41	32
25.7 Operation and maintenance of equipment	1	1	1
41.0 Grants, subsidies, and contributions	3,039	3,005	3,002
42.0 Insurance claims and indemnities	1		
99.0 Direct obligations	3,438	3,368	3,348
99.0 Reimbursable obligations	248	253	257
99.9 Total new obligations	3,686	3,621	3,605

Employment Summary

Identification code 75-1362-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	498	533	552
1101 Direct military average strength employment	44	48	48
2001 Reimbursable civilian full-time equivalent employment	40	43	48
2101 Reimbursable military average strength employment	8	7	7

**AGENCY FOR HEALTHCARE RESEARCH AND QUALITY**

**Federal Funds**

HEALTHCARE RESEARCH AND QUALITY

For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, \$333,697,000 shall be available from amounts available under section 241 of the PHS Act, notwithstanding subsection 947(c) of such Act: Provided, That in addition, amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data shall be credited to this appropriation and shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-1700-0-1-552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Prevention and Public Health Fund	12		
0801 Reimbursable program	15	15	15
0802 Reimbursable program: PHS evaluation	366	371	334
0899 Total reimbursable obligations	381	386	349
0900 Total new obligations	393	386	349
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	4	5	5
1001 Discretionary unobligated balance brought fwd, Oct 1	4	5	
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other accts [75-0116]	12		
1260 Appropriations, mandatory (total)	12		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected	187	386	349
1701 Change in uncollected payments, Federal sources	195		
1750 Spending auth from offsetting collections, disc (total)	382	386	349
1900 Budget authority (total)	394	386	349
1930 Total budgetary resources available	398	391	354
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	5	5	5
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	989	726	68
3010 Obligations incurred, unexpired accounts	393	386	349
3020 Outlays (gross)	-647	-1,044	-375
3041 Recoveries of prior year unpaid obligations, expired	-9		
3050 Unpaid obligations, end of year	726	68	42
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-382	-293	-293
3070 Change in uncollected pymts, Fed sources, unexpired	-195		
3071 Change in uncollected pymts, Fed sources, expired	284		
3090 Uncollected pymts, Fed sources, end of year	-293	-293	-293
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	607	433	-225
3200 Obligated balance, end of year	433	-225	-251
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross	382	386	349
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority	124	386	349
4011 Outlays from discretionary balances	517	651	21
4020 Outlays, gross (total)	641	1,037	370
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources	-463	-839	-349
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired	-195		
4052 Offsetting collections credited to expired accounts	276	453	
4060 Additional offsets against budget authority only (total)	81	453	
4080 Outlays, net (discretionary)	178	198	21
<b>Mandatory:</b>			
4090 Budget authority, gross	12		
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances	6	7	5
4180 Budget authority, net (total)	12		
4190 Outlays, net (total)	184	205	26

This activity supports the development of scientific evidence and tools to improve the quality, safety, and effectiveness of all aspects of the health care system, and initiatives to disseminate and translate scientific findings into health care practice.

Object Classification (in millions of dollars)

Identification code 75-1700-0-1-552	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.5 Research and development contracts	8		
41.0 Grants, subsidies, and contributions	4		

99.0	Direct obligations .....	12		
99.0	Reimbursable obligations .....	381	386	349
99.9	Total new obligations .....	393	386	349

**Employment Summary**

Identification code 75-1700-0-1-552	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			
2001 Reimbursable civilian full-time equivalent employment .....	295	299	294
2101 Reimbursable military average strength employment .....	9	9	9
3001 Allocation account civilian full-time equivalent employment .....	4	12	20

**CENTERS FOR MEDICARE AND MEDICAID SERVICES**

**Federal Funds**

**GRANTS TO STATES FOR MEDICAID**

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, \$177,872,985,000, to remain available until expended.

For making, after May 31, 2014, payments to States under title XIX or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the last quarter of fiscal year 2014 for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the first quarter of fiscal year 2015, \$103,472,323,000, to remain available until expended.

Payment under such title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75-0512-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Medicaid vendor payments .....	248,004	246,797	283,045
0002 State and local administration .....	17,216	16,182	16,453
0003 Vaccines for Children .....	4,000	3,607	4,293
0004 Incurred by providers but not yet reported .....	1,092	1,959	2,369
0799 Total direct obligations .....	270,312	268,545	306,160
0801 Medicare Part B premiums .....	602	645	300
0900 Total new obligations .....	270,914	269,190	306,460

**Budgetary Resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	408	21,091	21,951
1021 Recoveries of prior year unpaid obligations .....	20,186		
1050 Unobligated balance (total) .....	20,594	21,091	21,951
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation Medicaid .....	184,279	178,791	177,873
1260 Appropriations, mandatory (total) .....	184,279	178,791	177,873
<b>Advance appropriations, mandatory:</b>			
1270 Advance appropriation .....	86,445	90,614	106,336
1280 Advanced appropriation, mandatory (total) .....	86,445	90,614	106,336
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	687	645	300
1850 Spending auth from offsetting collections, mand (total) .....	687	645	300
1900 Budget authority (total) .....	271,411	270,050	284,509
1930 Total budgetary resources available .....	292,005	291,141	306,460
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	21,091	21,951	

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	28,392	27,899	29,858

3010 Obligations incurred, unexpired accounts .....	270,914	269,190	306,460
3020 Outlays (gross) .....	-251,221	-267,231	-304,091
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20,186		
3050 Unpaid obligations, end of year .....	27,899	29,858	32,227
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	28,392	27,899	29,858
3200 Obligated balance, end of year .....	27,899	29,858	32,227

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	271,411	270,050	284,509
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	226,477	232,191	267,211
4101 Outlays from mandatory balances .....	24,744	35,040	36,880
4110 Outlays, gross (total) .....	251,221	267,231	304,091
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-602	-645	-300
4123 Non-Federal sources .....	-85		
4130 Offsets against gross budget authority and outlays (total) .....	-687	-645	-300
4160 Budget authority, net (mandatory) .....	270,724	269,405	284,209
4170 Outlays, net (mandatory) .....	250,534	266,586	303,791
4180 Budget authority, net (total) .....	270,724	269,405	284,209
4190 Outlays, net (total) .....	250,534	266,586	303,791

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	270,724	269,405	284,209
Outlays .....	250,534	266,586	303,791
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....		-21	-436
Outlays .....		-21	-436
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			279
Outlays .....			279
<b>Total:</b>			
Budget Authority .....	270,724	269,384	284,052
Outlays .....	250,534	266,565	303,634

Medicaid assists States in providing medical care to their low-income populations by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Medicaid estimates assume budget authority for expenses that are incurred but not reported (IBNR).

Authorized as part of Title XIX, Vaccines for Children (VFC) finances the purchase of vaccines for low-income, eligible children. VFC is administered by the Centers for Disease Control and Prevention and is funded entirely by the Federal Government.

**Vaccines for Children**

(in millions of dollars)

	2012	2013	2014
Obligations			
Vaccine Purchase .....	3,703	3,335	3,996
Vaccine Stockpile .....	137	137	137
Ordering, Distribution, and Operations .....	117	92	117
Vaccine Management Contract Support .....	2	1	0
Evaluation Activities .....	41	42	43
Total Obligations .....	4,000	3,607	4,293

**Object Classification** (in millions of dollars)

Identification code 75-0512-0-1-551	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	270,312	268,545	306,160
99.0 Reimbursable obligations .....	602	645	300

GRANTS TO STATES FOR MEDICAID—Continued  
Object Classification—Continued

Identification code 75-0512-0-1-551	2012 actual	2013 CR	2014 est.
99.9 Total new obligations	270,914	269,190	306,460

GRANTS TO STATES FOR MEDICAID  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0512-2-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Medicaid vendor payments		-21	-31
0900 Total new obligations (object class 41.0)		-21	-31

**Budgetary Resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation		-21	-31
1260 Appropriations, mandatory (total)		-21	-31
1930 Total budgetary resources available		-21	-31

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts		-21	-31
3020 Outlays (gross)		21	31

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross		-21	-31
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority		-21	-31
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4120 Federal sources			-405
4180 Budget authority, net (total)		-21	-436
4190 Outlays, net (total)		-21	-436

Please see the narratives in the *Program Integrity Administrative Expenses* (Social Security Administration) and *Health Care Fraud and Abuse Control* accounts for a description of the program integrity estimates reflected here. This schedule also reflects the offsetting collections from Medicare Part B for the extension of the Qualified Individuals (QI) program.

GRANTS TO STATES FOR MEDICAID  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0512-4-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Medicaid Proposals			-126
0801 Medicare Part B premiums			405
0900 Total new obligations			279

**Budgetary Resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation Medicaid			-126
1260 Appropriations, mandatory (total)			-126
Spending authority from offsetting collections, mandatory:			
1800 Collected			405
1850 Spending auth from offsetting collections, mand (total)			405
1900 Budget authority (total)			279
1930 Total budgetary resources available			279

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts			279
3020 Outlays (gross)			-279

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross			279
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority			279
4180 Budget authority, net (total)			279
4190 Outlays, net (total)			279

This schedule reflects the Administration's Medicaid proposals.

Object Classification (in millions of dollars)

Identification code 75-0512-4-1-551	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions			-126
99.0 Reimbursable obligations			405
99.9 Total new obligations			279

STATE GRANTS AND DEMONSTRATIONS

Program and Financing (in millions of dollars)

Identification code 75-0516-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Ticket to Work grants	1		
0011 Emergency health services for undocumented aliens	22	16	5
0012 Medicaid integrity program	63	96	86
0018 Money follows the person (MFP) demonstration	296	434	434
0019 MFP evaluations and technical support	1	1	1
0023 Grants to improve outreach and enrollment	3	39	2
0024 Application of Prospective Payment System	1		
0025 Medicaid emergency psychiatric demonstration	1	28	26
0026 Incentives for prevention of chronic diseases in Medicaid	28	22	22
0900 Total new obligations	416	636	576

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	1,303	1,459	1,353
1021 Recoveries of prior year unpaid obligations	44		
1050 Unobligated balance (total)	1,347	1,459	1,353
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation (Money follows the person (MFP) demonstration)	449	449	449
1200 Appropriation (MFP research & evaluation)	1	1	1
1200 Appropriation (Medicaid integrity program)	78	80	82
1260 Appropriations, mandatory (total)	528	530	532
1930 Total budgetary resources available	1,875	1,989	1,885
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	1,459	1,353	1,309

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	881	764	612
3010 Obligations incurred, unexpired accounts	416	636	576
3011 Obligations incurred, expired accounts	12		
3020 Outlays (gross)	-480	-788	-749
3040 Recoveries of prior year unpaid obligations, unexpired	-44		
3041 Recoveries of prior year unpaid obligations, expired	-21		
3050 Unpaid obligations, end of year	764	612	439
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	881	764	612
3200 Obligated balance, end of year	764	612	439

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross	528	530	532
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority		81	133
4101 Outlays from mandatory balances	480	707	616
4110 Outlays, gross (total)	480	788	749
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-3		
<b>Additional offsets against gross budget authority only:</b>			
4142 Offsetting collections credited to expired accounts	3		

4160	Budget authority, net (mandatory)	528	530	532
4170	Outlays, net (mandatory)	477	788	749
4180	Budget authority, net (total)	528	530	532
4190	Outlays, net (total)	477	788	749

State Grants and Demonstrations includes funding for grant programs enacted in several legislative authorities, including the Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106–170), the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108–173), the Deficit Reduction Act of 2005 (P.L. 109–171), the Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3), the Patient Protection and Affordable Care Act (P.L. 111–148), and the Health Care and Education Reconciliation Act of 2010 (P.L. 111–152).

The Budget includes an unobligated balance of \$129 million for activities authorized by Section 204 of the Ticket to Work and Work Incentives Improvement Act. Thus, pursuant to 31 U.S.C. 1555, any remaining balance (whether obligated or unobligated) will be withdrawn and returned to the Treasury two years after there has been no disbursement made against the appropriation.

**Object Classification** (in millions of dollars)

Identification code 75–0516–0–1–551	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent - Medicaid Integrity Program	9	9	9
12.1 Civilian personnel benefits - Medicaid Integrity Program	6	6	6
41.0 Grants, subsidies, and contributions - Ticket to Work	1		
41.0 Grants, subsidies, and contributions - Emergency services for undocumented aliens	22	16	5
41.0 Grants, subsidies, and contributions - Medicaid Integrity Program	48	81	71
41.0 Grants, subsidies, and contributions - Money follows the person (MFP) demonstration	296	434	434
41.0 Grants, subsidies, and contributions - MFP evaluations and technical support	1	1	1
41.0 Grants, subsidies, and contributions - Grants to improve outreach and enrollment	3	39	2
41.0 Grants, subsidies, and contributions - Application of Prospective Payment System	1		
41.0 Grants, subsidies, and contributions - Medicaid emergency psychiatric demonstration	1	28	26
41.0 Grants, subsidies, and contributions - Incentives for prevention of chronic diseases in Medicaid	28	22	22
99.9 Total new obligations	416	636	576

**Employment Summary**

Identification code 75–0516–0–1–551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	80	97	102

**PAYMENTS TO HEALTH CARE TRUST FUNDS**

For payment to the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as provided under sections 217(g), 1844, and 1860D-16 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d)(3) of Public Law 97–248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, \$255,185,000,000.

In addition, for making matching payments under section 1844 and benefit payments under section 1860D-16 of the Social Security Act that were not anticipated in budget estimates, such sums as may be necessary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75–0580–0–1–571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Federal contribution to match premiums (SMI)	165,254	181,351	194,565
0002 Part D benefits (Rx Drug)	44,875	51,245	58,596
0003 Part D Federal administration (Rx Drug)	394	382	373
0004 General Fund Transfers to HI	485	1,104	1,651
0006 Federal Bureau of Investigation (HCFAC)	132	135	138
0007 Federal payments from taxation of OASDI benefits (HI)	18,643	14,387	18,877
0008 Criminal fines (HCFAC)	1,389	1,126	1,141
0009 Civil monetary penalties and damages (HCFAC - DOJ and CMS administration)	18	20	21
0010 Asset Forfeiture	20	23	23
0900 Total new obligations	231,210	249,773	275,385
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			184
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (definite, annual)	230,741	234,266	255,321
1200 Appropriation (indefinite, permanent)	18,643	14,387	18,877
1200 Appropriation (HCFAC for FBI)	132	135	138
1200 Appropriation (indefinite for HCFAC)	1,428	1,169	1,049
1260 Appropriations, mandatory (total)	250,944	249,957	275,385
1930 Total budgetary resources available	250,944	249,957	275,569
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–19,734		
1941 Unexpired unobligated balance, end of year		184	184
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	231,210	249,773	275,385
3011 Obligations incurred, expired accounts	171		
3020 Outlays (gross)	–231,381	–249,773	–275,385
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	250,944	249,957	275,385
Outlays, gross:			
4100 Outlays from new mandatory authority	231,210	249,773	275,385
4101 Outlays from mandatory balances	171		
4110 Outlays, gross (total)	231,381	249,773	275,385
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–14		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	14		
4160 Budget authority, net (mandatory)	250,944	249,957	275,385
4170 Outlays, net (mandatory)	231,367	249,773	275,385
4180 Budget authority, net (total)	250,944	249,957	275,385
4190 Outlays, net (total)	231,367	249,773	275,385

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority	250,944	249,957	275,385
Outlays	231,367	249,773	275,385
<b>Amounts included in the adjusted baseline:</b>			
Budget Authority			2,007
Outlays			2,007
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority			136
Outlays		127	136
<b>Total:</b>			
Budget Authority	250,944	249,957	277,528
Outlays	231,367	249,900	277,528

Payments are made to the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds from the general fund of the Treasury to finance Medicare's medical and drug benefits for beneficiaries and certain other qualified individuals.

PAYMENTS TO HEALTH CARE TRUST FUNDS—Continued

Object Classification (in millions of dollars)

Identification code 75-0580-0-1-571	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
41.0 Grants, subsidies, and contributions .....	230,181	248,413	273,625
42.0 Insurance claims and indemnities .....	262	228	204
94.0 Financial transfers (Federal admin) .....	767	1,132	1,556
99.9 Total new obligations .....	231,210	249,773	275,385

PAYMENTS TO HEALTH CARE TRUST FUNDS

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 75-0580-7-1-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Federal contribution to match premiums (SM) .....			2,007
0900 Total new obligations (object class 41.0) .....			2,007

**Budgetary Resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation (definite annual) .....			2,007
1260 Appropriations, mandatory (total) .....			2,007
1930 Total budgetary resources available .....			2,007

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			2,007
3020 Outlays (gross) .....			-2,007

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			2,007
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			2,007
4180 Budget authority, net (total) .....			2,007
4190 Outlays, net (total) .....			2,007

PAYMENTS TO HEALTH CARE TRUST FUNDS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0580-2-1-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0004 General Fund Transfers to HI .....		127	136
0900 Total new obligations (object class 41.0) .....		127	136

**Budgetary Resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation (definite annual) .....			136
1260 Appropriations, mandatory (total) .....			136
1930 Total budgetary resources available .....			136
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....		127	

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....		127	136
3020 Outlays (gross) .....		-127	-136

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			136
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		127	136
4180 Budget authority, net (total) .....			136
4190 Outlays, net (total) .....		127	136

QUALITY IMPROVEMENT ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 75-0519-0-1-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 QIO contracts .....	147	173	270
0002 QIO support contracts .....	226	286	108
0900 Total new obligations .....	373	459	378

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....			69
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	40	528	628
1801 Change in uncollected payments, Federal sources .....	472		
1850 Spending auth from offsetting collections, mand (total) .....	512	528	628
1930 Total budgetary resources available .....	512	528	697
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-139		
1941 Unexpired unobligated balance, end of year .....		69	319

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	825	766	697
3010 Obligations incurred, unexpired accounts .....	373	459	378
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-429	-528	-628
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	766	697	447
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-999	-1,100	-1,100
3070 Change in uncollected pymts, Fed sources, unexpired .....	-472		
3071 Change in uncollected pymts, Fed sources, expired .....	371		
3090 Uncollected pymts, Fed sources, end of year .....	-1,100	-1,100	-1,100
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-174	-334	-403
3200 Obligated balance, end of year .....	-334	-403	-653

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	512	528	628
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	50	56	207
4101 Outlays from mandatory balances .....	379	472	421
4110 Outlays, gross (total) .....	429	528	628
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4120 Baseline Program [Text] .....	-411	-528	-628
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-472		
4142 Offsetting collections credited to expired accounts .....	371		
4150 Additional offsets against budget authority only (total) .....	-101		
4170 Outlays, net (mandatory) .....	18		
4190 Outlays, net (total) .....	18		

Part B of Title XI of the Social Security Act, as amended by the Peer Review Improvement Act of 1982, provides the statutory authority for the Medicare Quality Improvement Organization (QIO) Program. The mission of the program is to promote the effectiveness, efficiency, economy, and quality of services delivered to Medicare beneficiaries and to ensure that those services are reasonable and necessary. The program is funded through transfers from the Medicare Hospital Insurance Trust Fund and the Medicare Supplementary Medical Insurance Trust Fund. In FY 2012, a Treasury account specific to the QIO program was established to improve budgetary operations.

Object Classification (in millions of dollars)

Identification code 75-0519-0-1-571	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	20	28	28
25.2 Other services from non-Federal sources .....	353	342	342

25.3	Other goods and services from Federal sources .....	89	8	
99.9	Total new obligations .....	373	459	378

**Employment Summary**

Identification code 75-0519-0-1-571	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	138	174	182

**PROGRAM MANAGEMENT**

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the PHS Act, the Clinical Laboratory Improvement Amendments of 1988, and other responsibilities of the Centers for Medicare & Medicaid Services, not to exceed \$5,217,357,000, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the PHS Act and section 1857(e)(2) of the Social Security Act, funds retained by the Secretary pursuant to section 302 of the Tax Relief and Health Care Act of 2006; and such sums as may be collected from authorized user fees and the sale of data, which shall be credited to this account and remain available until expended: Provided, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the PHS Act shall be credited to and available for carrying out the purposes of this appropriation: Provided further, That the Secretary is directed to collect fees in fiscal year 2014 from Medicare Advantage organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75-0511-0-1-550	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Program operations .....	2,856	2,773	4,034
0002 Federal administration .....	780	942	866
0003 State survey and certification .....	386	415	450
0004 Research, demonstrations, and evaluation projects .....	33	623	56
0006 High-risk pool grants .....	44	44	22
0007 ARRA Medicare/Medicaid HIT .....	142	166	140
0008 Consumer Assistance Grants .....	2	2	.....
0100 Total direct program .....	4,243	4,965	5,568
0799 Total direct obligations .....	4,243	4,965	5,568
0801 Clinical laboratory improvement amendments .....	55	50	50
0802 Sale of data .....	11	7	7
0803 Coordination of benefits .....	33	35	35
0804 Medicare advantage/Prescription drug plan .....	107	70	71
0805 Provider enrollment .....	2	28	28
0806 Recovery audit contractors .....	228	310	310
0807 Other reimbursable program activity .....	35	.....	.....
0808 Marketplace User Fee .....	.....	.....	450
0809 Reimbursable program activities, subtotal .....	471	500	951
0899 Total reimbursable obligations .....	471	500	951
0900 Total new obligations .....	4,714	5,465	6,519

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,349	1,296	525
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	217	195	.....
1021 Recoveries of prior year unpaid obligations .....	20	.....	.....
1050 Unobligated balance (total) .....	1,369	1,296	525
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	253	253	253
1260 Appropriations, mandatory (total) .....	253	253	253

<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	2,024	4,369	5,224
1701 Change in uncollected payments, Federal sources .....	2,303	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	4,327	4,369	5,224
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	103	72	970
1801 Change in uncollected payments, Federal sources .....	31	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	134	72	970
1900 Budget authority (total) .....	4,714	4,694	6,447
1930 Total budgetary resources available .....	6,083	5,990	6,972
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-73	.....	.....
1941 Unexpired unobligated balance, end of year .....	1,296	525	453

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,824	3,436	3,157
3010 Obligations incurred, unexpired accounts .....	4,714	5,465	6,519
3011 Obligations incurred, expired accounts .....	133	.....	.....
3020 Outlays (gross) .....	-4,035	-5,744	-6,519
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-180	.....	.....
3050 Unpaid obligations, end of year .....	3,436	3,157	3,157
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3,623	-4,251	-4,251
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2,334	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1,706	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-4,251	-4,251	-4,251
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-799	-815	-1,094
3200 Obligated balance, end of year .....	-815	-1,094	-1,094

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	4,327	4,369	5,224
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,935	4,369	5,224
4011 Outlays from discretionary balances .....	1,726	.....	.....
4020 Outlays, gross (total) .....	3,661	4,369	5,224
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3,240	-3,896	-5,217
4033 Non-Federal sources .....	-356	-473	-7
4040 Offsets against gross budget authority and outlays (total) .....	-3,596	-4,369	-5,224
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2,303	.....	.....
4052 Offsetting collections credited to expired accounts .....	1,572	.....	.....
4060 Additional offsets against budget authority only (total) .....	-731	.....	.....
4080 Outlays, net (discretionary) .....	65	.....	.....
<b>Mandatory:</b>			
4090 Budget authority, gross .....	387	325	1,223
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	9	75	989
4101 Outlays from mandatory balances .....	365	1,300	306
4110 Outlays, gross (total) .....	374	1,375	1,295
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-119	-44	-26
4123 Non-Federal sources .....	-30	-28	-944
4130 Offsets against gross budget authority and outlays (total) .....	-149	-72	-970
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-31	.....	.....
4142 Offsetting collections credited to expired accounts .....	46	.....	.....
4150 Additional offsets against budget authority only (total) .....	15	.....	.....
4160 Budget authority, net (mandatory) .....	253	253	253
4170 Outlays, net (mandatory) .....	225	1,303	325
4180 Budget authority, net (total) .....	253	253	253
4190 Outlays, net (total) .....	290	1,303	325

Program management activities include funding for program operations, survey and certification, State high-risk pools, the Clinical Laboratory Improvement Amendments (CLIA), Medicare Advantage, Medicare Part D coordination of benefits, recovery audit contracts and other administrative costs.

**PROGRAM MANAGEMENT—Continued**  
**Object Classification** (in millions of dollars)

Identification code 75-0511-0-1-550	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	433	489	487
11.3 Other than full-time permanent .....	14	12	12
11.5 Other personnel compensation .....	6	8	8
11.7 Military personnel .....	9	12	13
11.9 Total personnel compensation .....	462	521	520
12.1 Civilian personnel benefits .....	133	133	136
12.2 Military personnel benefits .....	4	7	6
21.0 Travel and transportation of persons .....	6	7	7
22.0 Transportation of things .....	1		
23.1 Rental payments to GSA .....	17	34	35
23.3 Communications, utilities, and miscellaneous charges .....	62		
24.0 Printing and reproduction .....	30	3	3
25.1 Advisory and assistance services .....	79	8	8
25.2 Other services from non-Federal sources .....	2,180	2,586	3,172
25.3 Other goods and services from Federal sources .....	137	19	11
25.5 Research and development contracts .....	21	21	
25.6 Medical care .....	808	1,338	1,412
25.7 Operation and maintenance of equipment .....	151	16	16
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	28		
32.0 Land and structures .....		11	11
41.0 Grants, subsidies, and contributions .....	120	260	230
42.0 Insurance claims and indemnities .....	2		
99.0 Direct obligations .....	4,243	4,965	5,568
99.0 Reimbursable obligations .....	471	500	951
99.9 Total new obligations .....	4,714	5,465	6,519

**Employment Summary**

Identification code 75-0511-0-1-550	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4,241	4,622	4,511
1001 Direct civilian full-time equivalent employment .....	156	190	204
1101 Direct military average strength employment .....	114	124	124
2001 Reimbursable civilian full-time equivalent employment .....	103	124	124

**CHILDREN'S HEALTH INSURANCE FUND**

**Program and Financing** (in millions of dollars)

Identification code 75-0515-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants to States and U.S. territories .....	8,971	8,939	9,306
0002 Performance bonus payments .....	352	330	340
0003 Child health quality improvement .....	39	47	52
0900 Total new obligations (object class 41.0) .....	9,362	9,316	9,698
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7,527	860	2,627
1012 Unobligated balance transfers between expired and unexpired accounts .....	80		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-34		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	7,574	860	2,627
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1130 Appropriations permanently reduced .....		-6,368	-3,779
1160 Appropriation, discretionary (total) .....		-6,368	-3,779
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	14,982	17,406	19,147
1200 Appropriation .....	45	45	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-6,368		
1260 Appropriations, mandatory (total) .....	8,659	17,451	19,147
1900 Budget authority (total) .....	8,659	11,083	15,368
1930 Total budgetary resources available .....	16,233	11,943	17,995
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-6,011		

1941 Unexpired unobligated balance, end of year .....	860	2,627	8,297
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,106	6,474	5,893
3010 Obligations incurred, unexpired accounts .....	9,362	9,316	9,698
3011 Obligations incurred, expired accounts .....	215		
3020 Outlays (gross) .....	-9,065	-9,897	-9,992
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1,143		
3050 Unpaid obligations, end of year .....	6,474	5,893	5,599
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	7,106	6,474	5,893
3200 Obligated balance, end of year .....	6,474	5,893	5,599
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....		-6,368	-3,779
<b>Mandatory:</b>			
4090 Budget authority, gross .....	8,659	17,451	19,147
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	3,073	2,045	1,500
4101 Outlays from mandatory balances .....	5,992	7,852	8,492
4110 Outlays, gross (total) .....	9,065	9,897	9,992
4180 Budget authority, net (total) .....	8,659	11,083	15,368
4190 Outlays, net (total) .....	9,065	9,897	9,992

The Balanced Budget Act of 1997 established the Children's Health Insurance Program (CHIP) under Title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use Title XXI funds for obtaining health benefit coverage for uninsured children through a separate CHIP program, a CHIP Medicaid expansion program, or a combination of both. The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3, CHIPRA) reauthorized the CHIP program and appropriated funding for CHIP through 2013. CHIPRA made some modifications to the program including increased funding for States and territories, bonus payments for States that exceed Medicaid child enrollment targets, and support for child health quality and outreach activities. A contingency fund to assist States who project spending above their available allocated CHIP funds was also created by CHIPRA and funding is included in a separate account. The Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) extended funding for CHIP, providing appropriations through 2015.

**CENTER FOR MEDICARE AND MEDICAID INNOVATION**

**Program and Financing** (in millions of dollars)

Identification code 75-0522-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administration .....	71	151	155
0002 Innovation Activities .....	713	1,162	1,257
0900 Total new obligations .....	784	1,313	1,412
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9,910	9,129	7,816
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	9,913	9,129	7,816
1930 Total budgetary resources available .....	9,913	9,129	7,816
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	9,129	7,816	6,404
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	84	647	967
3010 Obligations incurred, unexpired accounts .....	784	1,313	1,412
3020 Outlays (gross) .....	-218	-993	-1,339
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		

3050	Unpaid obligations, end of year .....	647	967	1,040
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	84	647	967
3200	Obligated balance, end of year .....	647	967	1,040
<b>Budget authority and outlays, net:</b>				
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	218	993	1,339
4190	Outlays, net (total) .....	218	993	1,339

The Center for Medicare and Medicaid Innovation ("Innovation Center") was established by section 1115A of the Social Security Act (as added by section 3021 of the Affordable Care Act). The Innovation Center is tasked with testing innovative payment and service delivery models to reduce program expenditures while preserving or enhancing the quality of care provided to individuals under Medicare, Medicaid, or Children's Health Insurance Program (CHIP). The statute provides \$10 billion in mandatory funding for these purposes in fiscal year 2011 through 2019.

**Object Classification** (in millions of dollars)

Identification code 75-0522-0-1-551	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	17	47	51
12.1	Civilian personnel benefits .....	5	13	14
25.2	Other services from non-Federal sources .....	713	1,162	1,257
25.3	Other goods and services from Federal sources .....	49	91	90
99.9	Total new obligations .....	784	1,313	1,412

**Employment Summary**

Identification code 75-0522-0-1-551	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	163	309	347

**CHILD ENROLLMENT CONTINGENCY FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 75-5551-0-2-551	2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....		
Receipts:			
0240	Interest, Child Enrollment Contingency Fund .....	3	4
0400	Total: Balances and collections .....	3	4
Appropriations:			
0500	Child Enrollment Contingency Fund .....	-3	-4
0799	Balance, end of year .....		

**Program and Financing** (in millions of dollars)

Identification code 75-5551-0-2-551	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Grants to States and US Territories .....	1	100	100
0900	Total new obligations (object class 41.0) .....	1	100	100
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2,093	2,092	1,995
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....		3	4
1260	Appropriations, mandatory (total) .....		3	4
1930	Total budgetary resources available .....	2,093	2,095	1,999
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2,092	1,995	1,899
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....			
3010	Obligations incurred, unexpired accounts .....			
3020	Outlays (gross) .....			
3050	Unpaid obligations, end of year .....	448	448	448
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,839	-1,898	-1,898
3070	Change in uncollected pymts, Fed sources, unexpired .....	-59		

3010	Obligations incurred, unexpired accounts .....	1	100	100
3020	Outlays (gross) .....		-125	-100
3050	Unpaid obligations, end of year .....	26	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	25	26	1
3200	Obligated balance, end of year .....	26	1	1

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....		3	4
Outlays, gross:				
4101	Outlays from mandatory balances .....	125		100
4180	Budget authority, net (total) .....		3	4
4190	Outlays, net (total) .....	125		100

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	2,093	2,096	1,996
5001	Total investments, EOY: Federal securities: Par value .....	2,096	1,996	1,899

The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3, CHIPRA) established the Child Enrollment Contingency Fund under Title XXI of the Social Security Act. Beginning in 2009, a State may qualify for a contingency fund payment if it projects a funding shortfall for the fiscal year and if its average monthly child enrollment exceeds its target average number of enrollees for the fiscal year. The Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) extended the contingency fund through 2015.

The fund received an initial appropriation equal to 20 percent of the FY 2009 national allotment (\$2.1 billion). In fiscal years 2010 through 2015, the statute appropriates the amount necessary to make payments to eligible States, but not to exceed 20 percent of the total annual appropriation for CHIP allotments to States. Any amounts in excess of this aggregate cap will be made available for CHIP performance bonus payments. The contingency fund is invested in interest bearing securities of the United States, and the income derived from these investments constitutes a part of the fund.

**MEDICARE HEALTH INFORMATION TECHNOLOGY INCENTIVE PAYMENTS, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 75-0508-0-1-551	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Incentive payments to hospitals .....	2,560	2,920	2,670
0802	Incentive payments to eligible professionals .....	1,620	1,640	2,790
0900	Total new obligations (object class 42.0) .....	4,180	4,560	5,460
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,659	1,070	1,070
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected from the HI Trust Fund .....	2,111	2,920	2,670
1800	Collected from the SMI Trust Fund .....	1,421	1,640	2,790
1801	Change in uncollected payments, Federal sources .....	59		
1850	Spending auth from offsetting collections, mand (total) .....	3,591	4,560	5,460
1930	Total budgetary resources available .....	5,250	5,630	6,530
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1,070	1,070	1,070
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	90	448	448
3010	Obligations incurred, unexpired accounts .....	4,180	4,560	5,460
3020	Outlays (gross) .....	-3,822	-4,560	-5,460
3050	Unpaid obligations, end of year .....	448	448	448
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,839	-1,898	-1,898
3070	Change in uncollected pymts, Fed sources, unexpired .....	-59		

MEDICARE HEALTH INFORMATION TECHNOLOGY INCENTIVE PAYMENTS,  
RECOVERY ACT—Continued

Program and Financing—Continued

Identification code 75-0508-0-1-551	2012 actual	2013 CR	2014 est.
3090 Uncollected pymts, Fed sources, end of year .....	-1,898	-1,898	-1,898
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1,749	-1,450	-1,450
3200 Obligated balance, end of year .....	-1,450	-1,450	-1,450
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3,591	4,560	5,460
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,164	4,114	5,460
4101 Outlays from mandatory balances .....	1,658	446	.....
4110 Outlays, gross (total) .....	3,822	4,560	5,460
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-3,532	-4,560	-5,460
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-59	.....	.....
4170 Outlays, net (mandatory) .....	290	.....	.....
4190 Outlays, net (total) .....	290	.....	.....

RATE REVIEW GRANTS

Program and Financing (in millions of dollars)

Identification code 75-0112-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Premium rate review grants .....	8	90	.....
0900 Total new obligations (object class 41.0) .....	8	90	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	91	90	.....
1021 Recoveries of prior year unpaid obligations .....	7	.....	.....
1050 Unobligated balance (total) .....	98	90	.....
1930 Total budgetary resources available .....	98	90	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	90	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	147	126	116
3010 Obligations incurred, unexpired accounts .....	8	90	.....
3020 Outlays (gross) .....	-22	-100	-80
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	.....	.....
3050 Unpaid obligations, end of year .....	126	116	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	147	126	116
3200 Obligated balance, end of year .....	126	116	36
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	22	100	80
4190 Outlays, net (total) .....	22	100	80

The Affordable Care Act (P.L. 111-148) amends Section 2794 of the Public Health Service Act and provides that the Secretary shall carry out a program to award grants to States for a five-year period beginning in fiscal year 2010. The Grants to States for Health Insurance Premium Review program provides funding to help States develop or enhance their current rate review activities. The program provides \$250,000,000 over the five-year period beginning with fiscal year 2010. States may receive no less than \$1,000,000 and no more than \$5,000,000 in any one

grant year. Grant recipients are required to submit data to the Secretary on health insurance rate trends.

PRE-EXISTING CONDITION INSURANCE PLAN PROGRAM

Program and Financing (in millions of dollars)

Identification code 75-0113-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2,131	1,520	312
0801 Premiums from Fallback States .....	98	199	46
0900 Total new obligations .....	2,229	1,719	358
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,961	1,832	312
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	3,963	1,832	312
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	98	199	46
1850 Spending auth from offsetting collections, mand (total) .....	98	199	46
1900 Budget authority (total) .....	98	199	46
1930 Total budgetary resources available .....	4,061	2,031	358
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,832	312	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	633	1,261	625
3010 Obligations incurred, unexpired accounts .....	2,229	1,719	358
3020 Outlays (gross) .....	-1,599	-2,355	-983
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	1,261	625	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	633	1,261	625
3200 Obligated balance, end of year .....	1,261	625	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	98	199	46
Outlays, gross:			
4100 Outlays from new mandatory authority .....	63	199	46
4101 Outlays from mandatory balances .....	1,536	2,156	937
4110 Outlays, gross (total) .....	1,599	2,355	983
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-98	-199	-46
4190 Outlays, net (total) .....	1,501	2,156	937

This account funds the Pre-Existing Condition Insurance Plan Program (PCIP), which makes health insurance available to people who have been unable to get insurance due to a pre-existing condition. Enrollees pay monthly premiums similar to those charged in the commercial individual market, and the Federal government pays for remaining costs that exceed enrollee contributions. The funding for this program, including operating costs, was provided in the FY 2010 appropriation by the Affordable Care Act (P.L. 111-148). The FY 2014 President's Budget obligation and outlays projections are based on policy assumptions to manage costs in the program to the \$5 billion appropriation and continue coverage for current enrollees.

Object Classification (in millions of dollars)

Identification code 75-0113-0-1-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
25.2 Other services from non-Federal sources .....	28	21	21
41.0 Grants, subsidies, and contributions .....	2,099	1,494	286
99.0 Direct obligations .....	2,131	1,520	312
99.0 Reimbursable obligations .....	98	199	46

99.9 Total new obligations ..... 2,229 1,719 358

**Employment Summary**

Identification code 75-0113-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	18	16	16

**EARLY RETIREE REINSURANCE PROGRAM**

**Program and Financing (in millions of dollars)**

Identification code 75-0114-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Subsidies for early retirees .....	1,907	41	.....
0002 Administration .....	27	24	8
0799 Total direct obligations .....	1,934	65	8
0801 Reimbursable program activity .....	24	75	.....
0900 Total new obligations .....	1,958	140	8

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,998	74	9
1021 Recoveries of prior year unpaid obligations .....	10	.....	.....
1050 Unobligated balance (total) .....	2,008	74	9
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	24	75	.....
1850 Spending auth from offsetting collections, mand (total) .....	24	75	.....
1900 Budget authority (total) .....	24	75	.....
1930 Total budgetary resources available .....	2,032	149	9
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	74	9	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	24	17
3010 Obligations incurred, unexpired accounts .....	1,958	140	8
3020 Outlays (gross) .....	-1,950	-147	-23
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3050 Unpaid obligations, end of year .....	24	17	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	26	24	17
3200 Obligated balance, end of year .....	24	17	2

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	24	75	.....
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	75	.....
4101 Outlays from mandatory balances .....	1,950	72	23
4110 Outlays, gross (total) .....	1,950	147	23
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-24	-75	.....
4190 Outlays, net (total) .....	1,926	72	23

The Affordable Care Act (P.L. 111-148) authorized and appropriated \$5 billion for the Early Retiree Reinsurance Program (ERRP). ERRP provides reimbursement to participating sponsors of certified plans that provide health benefits to early retirees (age 55 and older and not eligible for Medicare), their spouses, and surviving spouses and dependents. Reimbursement is equal to 80 percent of the actual cost of health expenses paid for an individual (net of price concessions) between a cost threshold of \$15,000 and cost limit of \$90,000 beginning in 2010. The cost threshold and cost limit are adjusted for inflation each year based on the Medical Care Component of the consumer price index for all urban consumers. Reimbursements to sponsors shall be used to reduce the sponsor's health benefit or premium costs, provide premium and cost sharing relief to plan participants, or both.

**Object Classification (in millions of dollars)**

Identification code 75-0114-0-1-551	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	26	23	7
42.0 Insurance claims and indemnities .....	1,907	41	.....
99.0 Direct obligations .....	1,934	65	8
99.0 Reimbursable obligations .....	24	75	.....
99.9 Total new obligations .....	1,958	140	8

**Employment Summary**

Identification code 75-0114-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	12	12

**AFFORDABLE INSURANCE EXCHANGE GRANTS**

**Program and Financing (in millions of dollars)**

Identification code 75-0115-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Planning and establishment grants .....	1,626	2,700	1,292
0002 Administration .....	29	51	51
0900 Total new obligations .....	1,655	2,751	1,343

**Budgetary Resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	1,655	2,751	1,343
1260 Appropriations, mandatory (total) .....	1,655	2,751	1,343
1930 Total budgetary resources available .....	1,655	2,751	1,343

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	503	1,900	3,194
3010 Obligations incurred, unexpired accounts .....	1,655	2,751	1,343
3020 Outlays (gross) .....	-167	-1,457	-2,061
3041 Recoveries of prior year unpaid obligations, expired .....	-91	.....	.....
3050 Unpaid obligations, end of year .....	1,900	3,194	2,476
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	503	1,900	3,194
3200 Obligated balance, end of year .....	1,900	3,194	2,476

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	1,655	2,751	1,343
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	37	275	134
4101 Outlays from mandatory balances .....	130	1,182	1,927
4110 Outlays, gross (total) .....	167	1,457	2,061
4180 Budget authority, net (total) .....	1,655	2,751	1,343
4190 Outlays, net (total) .....	167	1,457	2,061

This program provides funding for Planning and Establishment Grants to States for their activities to implement Affordable Insurance Exchanges, which are also referred to as Marketplaces. The Exchanges will facilitate the purchase of qualified health plans in the individual market and provide for the establishment of a Small Business Health Options Program to allow small businesses to offer qualified health plans to their employees. Section 1311 of the Patient Protection and Affordable Care Act (P.L. 111-148) provides amounts necessary to enable the Secretary to award grants to States beginning no later than March 23, 2011, and allows for renewal grants through January 1, 2015.

AFFORDABLE INSURANCE EXCHANGE GRANTS—Continued

Object Classification (in millions of dollars)

Identification code 75-0115-0-1-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	8	8	8
25.2 Other services from non-Federal sources .....	21	43	43
41.0 Grants, subsidies, and contributions .....	1,626	2,700	1,292
99.9 Total new obligations .....	1,655	2,751	1,343

Employment Summary

Identification code 75-0115-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	44	62	63

REDUCED COST SHARING FOR INDIVIDUALS ENROLLING IN QUALIFIED HEALTH PLANS

For carrying out, except as otherwise provided, sections 1402 and 1412 of the Patient Protection and Affordable Care Act (Public Law 111-148), such sums as necessary.

For carrying out, except as otherwise provided, such sections in the first quarter of fiscal year 2015 (including upward adjustments to prior year payments), \$1,420,000,000.

Program and Financing (in millions of dollars)

Identification code 75-0126-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments .....			3,978
0900 Total new obligations (object class 42.0) .....			3,978
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			3,978
1260 Appropriations, mandatory (total) .....			3,978
1930 Total budgetary resources available .....			3,978
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			3,978
3020 Outlays (gross) .....			-3,978
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4090 Budget authority, gross .....			3,978
Outlays, gross:			
4100 Outlays from new mandatory authority .....			3,978
4180 Budget authority, net (total) .....			3,978
4190 Outlays, net (total) .....			3,978

Section 1402 of the Patient Protection and Affordable Care Act (P.L. 111-148) provides for reductions in cost sharing for certain individuals enrolled in qualified health plans purchased on the Exchanges, and section 1412 of the Patient Protection and Affordable Care Act (P.L. 111-148) provides for the advance payment of these reductions to issuers. This assistance helps eligible low- and moderate-income qualified individuals and families afford the out-of-pocket spending associated with health care services provided through Exchange-based qualified health plan coverage.

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 75-0524-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....		68	
0709 Administrative expenses .....		17	17
0900 Total new obligations .....		85	17
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			168
1011 Unobligated balance transfer from other accts [75-0118] ....		253	
1050 Unobligated balance (total) .....		253	168
1930 Total budgetary resources available .....		253	168
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		168	151
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			55
3010 Obligations incurred, unexpired accounts .....		85	17
3020 Outlays (gross) .....		-30	-55
3050 Unpaid obligations, end of year .....		55	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			55
3200 Obligated balance, end of year .....		55	17

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		30	55
4190 Outlays, net (total) .....		30	55

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 75-0524-0-1-551	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Contingency Fund Loans .....		180	
115999 Total direct loan levels .....		180	
Direct loan subsidy (in percent):			
132001 Contingency Fund Loans .....		37.66	
132999 Weighted average subsidy rate .....		37.66	
Direct loan subsidy budget authority:			
133001 Contingency Fund Loans .....		68	
133999 Total subsidy budget authority .....		68	
Direct loan subsidy outlays:			
134001 Contingency Fund Loans .....		25	39
134999 Total subsidy outlays .....		25	39
Direct loan downward reestimates:			
Administrative expense data:			
3580 Outlays from balances .....		5	16

The Consumer Operated and Oriented Plan Contingency Fund was established by the American Taxpayer Relief Act of 2012 (P.L. 112-240). This fund provides assistance and oversight to qualified nonprofit health insurance issuers that have been awarded loans or grants under section 1322 of the Affordable Care Act (P.L. 111-148).

Object Classification (in millions of dollars)

Identification code 75-0524-0-1-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		5	5
25.2 Other services from non-Federal sources .....		12	12
41.0 Grants, subsidies, and contributions .....		68	
99.9 Total new obligations .....		85	17

**Employment Summary**

Identification code 75-0524-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		25	22

**CONSUMER OPERATED AND ORIENTED PLAN PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 75-0118-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	726	122	
0703 Subsidy for modifications of direct loans .....		5	
0705 Reestimates of direct loan subsidy .....		3	
0709 Administrative expenses .....	12	1	
0900 Total new obligations .....	738	131	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,797	2,659	
1010 Unobligated balance transfer to other accts [75-0524] .....		-253	
1050 Unobligated balance (total) .....	3,797	2,406	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		3	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-400	-2,278	
1260 Appropriations, mandatory (total) .....	-400	-2,275	
1930 Total budgetary resources available .....	3,397	131	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,659		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	703	580
3010 Obligations incurred, unexpired accounts .....	738	131	
3020 Outlays (gross) .....	-38	-254	-175
3050 Unpaid obligations, end of year .....	703	580	405
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	703	580
3200 Obligated balance, end of year .....	703	580	405
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	-400	-2,275	
Outlays, gross:			
4101 Outlays from mandatory balances .....	38	254	175
4180 Budget authority, net (total) .....	-400	-2,275	
4190 Outlays, net (total) .....	38	254	175

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 75-0118-0-1-551	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Startup Loans .....	281	38	
115003 Solvency .....	1,410	257	
115999 Total direct loan levels .....	1,691	295	
Direct loan subsidy (in percent):			
132002 Startup Loans .....	37.51	37.66	
132003 Solvency .....	43.99	41.90	
132999 Weighted average subsidy rate .....	42.91	41.35	
Direct loan subsidy budget authority:			
133002 Startup Loans .....	105	14	
133003 Solvency .....	620	108	
133999 Total subsidy budget authority .....	725	122	
Direct loan subsidy outlays:			
134002 Startup Loans .....	34	65	19
134003 Solvency .....		176	155
134999 Total subsidy outlays .....	34	241	174
Direct loan upward reestimates:			
135002 Startup Loans .....		3	
135999 Total upward reestimate budget authority .....		3	

Direct loan downward reestimates:

Administrative expense data:				
3510 Budget authority .....		12	1	
3580 Outlays from balances .....		4	10	1

Section 1322 of the Affordable Care Act (P.L. 111-148) authorized and appropriated funding for the Consumer Operated and Oriented Plan (CO-OP) Program. The CO-OP Program fosters the creation of qualified nonprofit health insurance issuers that operate with a strong consumer focus to offer qualified health plans in the individual and small group markets in the States. The Secretary shall award loans to qualified nonprofit issuers to fund start-up costs and reserves which enable qualified issuers to meet state solvency requirements. The Secretary may also award loans for the purposes of encouraging the establishment of CO-OPs in states where no issuer applies to be a qualified nonprofit issuer under Section 1322.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with CO-OP Program grants and loans, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 75-0118-0-1-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	
25.2 Other services from non-Federal sources .....		11	1
41.0 Grants, subsidies, and contributions .....	726	130	
99.9 Total new obligations .....	738	131	

**Employment Summary**

Identification code 75-0118-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6		

**CONSUMER OPERATED AND ORIENTED PLAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 75-4418-0-3-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	1,691	295	
0713 Payment of interest to Treasury .....	4	14	18
0900 Total new obligations .....	1,695	309	18
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2,228	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-2,228	
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	3,194	182	18
1440 Borrowing authority, mandatory (total) .....	3,194	182	18
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	37	244	174
1801 Change in uncollected payments, Federal sources .....	692	-114	-174
1825 Spending authority from offsetting collections applied to repay debt .....		-3	
1850 Spending auth from offsetting collections, mand (total) .....	729	127	
1900 Financing authority (total) .....	3,923	309	18
1930 Total budgetary resources available .....	3,923	309	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,228		

CONSUMER OPERATED AND ORIENTED PLAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 75-4418-0-3-551	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000		1,601	1,326
3010	1,695	309	18
3020	-94	-584	-422
3050	1,601	1,326	922
Uncollected payments:			
3060		-692	-578
3070	-692	114	174
3090	-692	-578	-404
Memorandum (non-add) entries:			
3100		909	748
3200	909	748	518
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	3,923	309	18
Financing disbursements:			
4110	94	584	422
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-34	-244	-174
4122	-3		
4130	-37	-244	-174
Additional offsets against financing authority only (total):			
4140	-692	114	174
4160	3,194	179	18
4170	57	340	248
4180	3,194	179	18
4190	57	340	248

Status of Direct Loans (in millions of dollars)

Identification code 75-4418-0-3-551	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131	1,691	295	
1150	1,691	295	
Cumulative balance of direct loans outstanding:			
1210		90	660
1231	90	570	404
1251			
1263			
1290	90	660	1,064

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 75-4418-0-3-551	2011 actual	2012 actual
Group heading		
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401		90
1405		-34
1499		56
1999		56
<b>LIABILITIES:</b>		
2103		56

4999 Total liabilities and net position ..... 56

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND  
FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 75-4482-0-3-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710		180	
0713		1	2
0900		181	2
<b>Budgetary Resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400		113	2
1440		113	2
Spending authority from offsetting collections, mandatory:			
1800		25	39
1801		43	-39
1850		68	
1900		181	2
1930		181	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			114
3010		181	2
3020		-67	-106
3050		114	10
Uncollected payments:			
3060			-43
3070		-43	39
3090		-43	-4
Memorandum (non-add) entries:			
3100			71
3200		71	6
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090		181	2
Financing disbursements:			
4110		67	106
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120		-25	-39
Additional offsets against financing authority only (total):			
4140		-43	39
4160		113	2
4170		42	67
4180		113	2
4190		42	67

Status of Direct Loans (in millions of dollars)

Identification code 75-4482-0-3-551	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131		180	
1150		180	
Cumulative balance of direct loans outstanding:			
1210			66
1231		66	104
1251			
1263			
1290		66	170

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the federal treasury resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from oblig-

ations in any year). The amounts in this account are a means of financing only and are not included in the budget totals.

**Trust Funds**

**FEDERAL HOSPITAL INSURANCE TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 75-8005-0-7-571	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	212,203	203,744	175,265
Adjustments:			
0190 Adjustment - rounding issues over several years	2		
0191 Adjustment - HCFAC - Small Jobs Act	100		
0199 Balance, start of year	212,305	203,744	175,265
Receipts:			
0200 FHI Trust Fund, Transfers from General Fund (FICA Taxes)	186,773	191,684	205,563
0201 FHI Trust Fund, Transfers from General Fund (FICA Taxes)		7	224
0202 FHI Trust Fund, Receipts from Railroad Retirement Board	484	508	546
0203 FHI Trust Fund, Transfers from General Fund (SECA Taxes)	13,886	16,220	17,465
0204 FHI Trust Fund, Civil Penalties and Damages	602	675	663
0220 FHI Trust Fund, Other Proprietary Interest from the Public	3	2	2
0221 FHI Trust Fund, Basic Premium, Medicare Advantage	234	258	248
0222 FHI Trust Fund, Basic Premium, Medicare Advantage			5
0223 FHI Trust Fund, Medicare Refunds	7,115	5,800	6,000
0224 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible	3,400	3,529	3,507
0240 FHI Trust Fund, Federal Employer Contributions (FICA)	3,510	3,586	3,690
0241 FHI Trust Fund, Postal Service Employer Contributions (FICA)	583	595	623
0242 FHI Trust Fund, Interest Received by Trust Funds	11,270	9,972	8,787
0243 FHI Trust Fund, Taxation on OASDI Benefits	18,643	14,387	18,877
0244 FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account	132	135	138
0245 FHI Trust Fund, Transfers from General Fund (criminal Fines)	1,389	1,126	1,141
0246 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)	16	22	23
0247 FHI Trust Fund, Transfers from General Fund (asset Forfeitures)	20	23	23
0248 FHI Trust Fund, Interest Payments by Railroad Retirement Board	27	25	27
0249 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)	657	1,105	1,652
0250 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)		127	136
0299 Total receipts and collections	248,744	249,786	269,340
0400 Total: Balances and collections	461,049	453,530	444,605
Appropriations:			
0500 Federal Hospital Insurance Trust Fund	-2,441	-2,573	-3,954
0501 Federal Hospital Insurance Trust Fund	5		
0502 Federal Hospital Insurance Trust Fund	-244,733	-245,479	-263,389
0503 Federal Hospital Insurance Trust Fund	-8,646	-28,828	-15,596
0504 Federal Hospital Insurance Trust Fund		-600	-821
0505 Federal Hospital Insurance Trust Fund		600	821
0506 Federal Hospital Insurance Trust Fund		303	329
0507 Federal Hospital Insurance Trust Fund		-303	-329
0508 Federal Hospital Insurance Trust Fund			324
0509 Federal Hospital Insurance Trust Fund			-806
0510 Health Care Fraud and Abuse Control Account	-310	-312	-311
0511 Health Care Fraud and Abuse Control Account	-1,290	-1,243	-1,219
0512 Health Care Fraud and Abuse Control Account		473	685
0513 Health Care Fraud and Abuse Control Account		-303	-329
0599 Total appropriations	-257,415	-278,265	-284,595
0610 Federal Hospital Insurance Trust Fund	1		
0611 Health Care Fraud and Abuse Control Account	26		
0620 Federal Hospital Insurance Trust Fund	83		
0799 Balance, end of year	203,744	175,265	160,010

**Program and Financing** (in millions of dollars)

Identification code 75-8005-0-7-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments, HI	251,139	270,814	275,461
0002 HIT Incentive Payments	2,135	2,920	2,670
0003 Administration, HI	2,612	2,773	4,180
0004 Quality improvement organizations, HI	419	367	628
0900 Total new obligations	256,305	276,874	282,939

**Budgetary Resources:**

1021 Unobligated balance:			
1026 Recoveries of prior year unpaid obligations	436		
1029 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	-83		
1050 Other balances withdrawn	-1		
Unobligated balance (total)	352		
Budget authority:			
1101 Appropriations, discretionary:			
1130 Appropriation (special or trust fund)	2,441	2,573	3,954
1132 Appropriations permanently reduced		-6	
1132 Appropriations temporarily reduced	-5		
1160 Appropriation, discretionary (total)	2,436	2,567	3,954
1201 Appropriations, mandatory:			
1203 Appropriation (special or trust fund)	244,733	245,479	263,389
1260 Appropriation (previously unavailable)	8,646	28,828	15,596
1800 Appropriations, mandatory (total)	253,379	274,307	278,985
1850 Spending authority from offsetting collections, mandatory:			
1900 Collected	138		
1930 Spending auth from offsetting collections, mand (total)	138		
1900 Budget authority (total)	255,953	276,874	282,939
1930 Total budgetary resources available	256,305	276,874	282,939
Memorandum (non-add) entries:			
1950 Special and non-revolving trust funds:			
Other balances withdrawn	1		

**Change in obligated balance:**

3000 Unpaid obligations:			
3010 Unpaid obligations, brought forward, Oct 1	32,195	24,209	24,178
3010 Obligations incurred, unexpired accounts	256,305	276,874	282,939
3020 Outlays (gross)	-263,855	-276,905	-282,186
3040 Recoveries of prior year unpaid obligations, unexpired	-436		
3050 Unpaid obligations, end of year	24,209	24,178	24,931
3060 Uncollected payments:			
Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
3100 Memorandum (non-add) entries:			
Obligated balance, start of year	32,194	24,208	24,177
3200 Obligated balance, end of year	24,208	24,177	24,930

**Budget authority and outlays, net:**

4000 Discretionary:			
Budget authority, gross	2,436	2,567	3,954
4010 Outlays, gross:			
Outlays from new discretionary authority	1,619	1,936	2,694
4011 Outlays from discretionary balances	365	540	585
4020 Outlays, gross (total)	1,984	2,476	3,279
4090 Mandatory:			
Budget authority, gross	253,517	274,307	278,985
4100 Outlays, gross:			
Outlays from new mandatory authority	232,676	251,666	252,523
4101 Outlays from mandatory balances	29,195	22,763	26,384
4110 Outlays, gross (total)	261,871	274,429	278,907
4123 Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Non-Federal sources	-138		
4180 Budget authority, net (total)	255,815	276,874	282,939
4190 Outlays, net (total)	263,717	276,905	282,186

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value	245,939	228,292	199,923
5001 Total investments, EOY: Federal securities: Par value	228,292	199,923	185,039

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	255,815	276,874	282,939
Outlays	263,717	276,905	282,186
Amounts included in the adjusted baseline:			
Budget Authority			482
Outlays			482
Total:			
Budget Authority	255,815	276,874	283,421
Outlays	263,717	276,905	282,668

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

The status of the trust fund is as follows. In addition, the Budget includes a package of proposals that improve Medicare's sustainability by promoting high-quality, efficient care; aligning payments with the costs of providing care; encouraging beneficiaries to seek high-value services; and reducing the Federal subsidy of Medicare costs for those beneficiaries who can most afford them.

Status of Funds (in millions of dollars)

Identification code 75-8005-0-7-571	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	245,698	229,337	200,668
Adjustments:			
0190 Adjustment - HCFAC - Small Jobs Act .....	100		
0199 Total balance, start of year .....	245,798	229,337	200,668
Cash income during the year:			
Current law:			
Receipts:			
1200 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....	186,773	191,684	205,563
1202 FHI Trust Fund, Receipts from Railroad Retirement Board .....	484	508	546
1203 FHI Trust Fund, Transfers from General Fund (SECA Taxes) .....	13,886	16,220	17,465
1204 FHI Trust Fund, Civil Penalties and Damages .....	602	675	663
Offsetting receipts (proprietary):			
1220 FHI Trust Fund, Other Proprietary Interest from the Public .....	3	2	2
1221 FHI Trust Fund, Basic Premium, Medicare Advantage .....	234	258	248
1222 FHI Trust Fund, Basic Premium, Medicare Advantage .....			5
1223 FHI Trust Fund, Medicare Refunds .....	7,115	5,800	6,000
1224 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....	3,400	3,529	3,507
Offsetting receipts (intragovernmental):			
1240 FHI Trust Fund, Federal Employer Contributions (FICA) .....	3,510	3,586	3,690
1241 FHI Trust Fund, Postal Service Employer Contributions (FICA) .....	583	595	623
1242 FHI Trust Fund, Interest Received by Trust Funds .....	11,270	9,972	8,787
1243 FHI Trust Fund, Taxation on OASDI Benefits .....	18,643	14,387	18,877
1244 FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account .....	132	135	138
1245 FHI Trust Fund, Transfers from General Fund (criminal Fines) .....	1,389	1,126	1,141
1246 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....	16	22	23
1247 FHI Trust Fund, Transfers from General Fund (asset Forfeitures) .....	20	23	23
1248 FHI Trust Fund, Interest Payments by Railroad Retirement Board .....	27	25	27
1249 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management) .....	657	1,105	1,652
Offsetting collections:			
1280 Federal Hospital Insurance Trust Fund .....	138		
1299 Income under present law .....	248,882	249,652	268,980
Proposed legislation:			
Receipts:			
2201 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....		7	224
Offsetting receipts (intragovernmental):			
2250 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management) .....		127	136
2299 Income under proposed legislation .....		134	360
3299 Total cash income .....	248,882	249,786	269,340
Cash outgo during year:			
Current law:			
4500 Federal Hospital Insurance Trust Fund .....	-263,855	-276,905	-282,186
4500 Health Care Fraud and Abuse Control Account .....	-1,488	-1,714	-1,614
4500 Federal Hospital Insurance Trust Fund .....			-482
4599 Outgo under current law (-) .....	-265,343	-278,619	-284,282
Proposed legislation:			
5500 Health Care Fraud and Abuse Control Account .....		-303	-329
5500 Health Care Fraud and Abuse Control Account .....		473	685
5599 Outgo under proposed legislation (-) .....		170	356
6599 Total cash outgo (-) .....	-265,343	-278,449	-283,926
7625 Federal Hospital Insurance Trust Fund .....		-6	
7699 Total adjustments .....		-6	

Unexpended balance, end of year:				
8700	Uninvested balance (net), end of year .....	1,045	745	1,043
8701	Federal Hospital Insurance Trust Fund .....	228,292	199,923	185,039
8799	Total balance, end of year .....	229,337	200,668	186,082

Object Classification (in millions of dollars)

Identification code 75-8005-0-7-571	2012 actual	2013 CR	2014 est.	
Direct obligations:				
41.0	Payment for Quality Improvement Organization (QIO) activities .....	419	367	628
42.0	Insurance claims and indemnities (benefits) .....	253,274	273,734	278,131
94.0	Financial transfers .....	2,612	2,773	4,180
99.9	Total new obligations .....	256,305	276,874	282,939

FEDERAL HOSPITAL INSURANCE TRUST FUND

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 75-8005-7-7-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Benefit payments, HI .....		482
0900	Total new obligations (object class 42.0) .....		482
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....		-324
1203	Appropriation (previously unavailable) .....		806
1260	Appropriations, mandatory (total) .....		482
1900	Budget authority (total) .....		482
1930	Total budgetary resources available .....		482
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		482
3020	Outlays (gross) .....		-482
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		482
Outlays, gross:			
4100	Outlays from new mandatory authority .....		482
4180	Budget authority, net (total) .....		482
4190	Outlays, net (total) .....		482

FEDERAL HOSPITAL INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-8005-2-7-571	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	600	821
1203	Appropriation (previously unavailable) .....	-600	-821

FEDERAL HOSPITAL INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-8005-4-7-571	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	-303	-329
1203	Appropriation (previously unavailable) .....	303	329

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

In addition to amounts otherwise available for program integrity and program management, \$311,000,000, to remain available through September 30, 2015, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act, of which \$251,420,650 shall be for the Centers for Medicare and Medicaid Services Program Integrity Activities, including administrative costs, to conduct oversight activities for the Medicare program including, but not limited to, Medicare Advantage and the Medicare Prescription Drug Program authorized in title XVIII of the Social Security Act and for activities described in section 1893 of such Act and for Medicaid and Children's Health Insurance Program ("CHIP") program integrity activities, of which \$29,789,675 shall be for the Department of Health and Human Services Office of Inspector General to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act, and of which \$29,789,675 shall be for the Department of Justice to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act: Provided, That the report required by section 1817(k)(5) of the Social Security Act for fiscal year 2014 shall include measures of the operational efficiency and impact on fraud, waste, and abuse in the Medicare, Medicaid, and CHIP programs for the funds provided by this appropriation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75–8393–0–7–571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Medicare integrity program .....	854	864	882
0002 FBI fraud and abuse control .....	131	135	138
0003 Other fraud and abuse control .....	323	295	300
0004 Predictive Modeling .....	39	30	3
0091 Total Mandatory .....	1,347	1,324	1,323
0101 CMS discretionary .....	269	252	251
0102 Other discretionary .....	78	60	60
0191 Total Discretionary .....	347	312	311
0900 Total new obligations .....	1,694	1,636	1,634
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	241	185	104
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	74	55	.....
1021 Recoveries of prior year unpaid obligations .....	41	.....	.....
1050 Unobligated balance (total) .....	282	185	104
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	310	312	311
1160 Appropriation, discretionary (total) .....	310	312	311
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1,290	1,243	1,219
1260 Appropriations, mandatory (total) .....	1,290	1,243	1,219
1900 Budget authority (total) .....	1,600	1,555	1,530
1930 Total budgetary resources available .....	1,882	1,740	1,634
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–3	.....	.....
1941 Unexpired unobligated balance, end of year .....	185	104	.....
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	3	.....	.....
1952 Expired unobligated balance, start of year .....	41	44	44
1953 Expired unobligated balance, end of year .....	41	44	44
1954 Unobligated balance canceling .....	26	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,018	1,157	1,079
3010 Obligations incurred, unexpired accounts .....	1,694	1,636	1,634
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	–1,488	–1,714	–1,614
3040 Recoveries of prior year unpaid obligations, unexpired .....	–41	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–27	.....	.....
3050 Unpaid obligations, end of year .....	1,157	1,079	1,099

Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,018	1,157	1,079
3200 Obligated balance, end of year .....	1,157	1,079	1,099
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	310	312	311
Outlays, gross:			
4010 Outlays from new discretionary authority .....	58	312	311
4011 Outlays from discretionary balances .....	233	.....	.....
4020 Outlays, gross (total) .....	291	312	311
Mandatory:			
4090 Budget authority, gross .....	1,290	1,243	1,219
Outlays, gross:			
4100 Outlays from new mandatory authority .....	436	841	808
4101 Outlays from mandatory balances .....	761	561	495
4110 Outlays, gross (total) .....	1,197	1,402	1,303
4180 Budget authority, net (total) .....	1,600	1,555	1,530
4190 Outlays, net (total) .....	1,488	1,714	1,614

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	1,600	1,555	1,530
Outlays .....	1,488	1,714	1,614
Legislative proposal, not subject to PAYGO:			
Budget Authority .....	.....	–473	–685
Outlays .....	.....	–473	–685
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	303	329
Outlays .....	.....	303	329
Total:			
Budget Authority .....	1,600	1,385	1,174
Outlays .....	1,488	1,544	1,258

The Health Insurance Portability and Accountability Act of 1996 (P.L. 104–191) established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal Hospital Insurance Trust Fund and appropriated funds from the Trust Fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

The Budget includes a discretionary request for efforts to safeguard Medicare, Medicaid, and CHIP that will supplement the mandatory funds made available by P.L. 104–191 and subsequent Acts. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

Object Classification (in millions of dollars)

Identification code 75–8393–0–7–571	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent (CMS) .....	45	42	42
12.1 Civilian personnel benefits (CMS) .....	13	13	12
23.3 Communications, utilities, and miscellaneous charges .....	12	11	11
25.1 Advisory and assistance services (predictive modeling) .....	39	30	3
25.2 Other services (CMS/Medicaid) .....	46	29	29
25.3 Other purchases of goods and services from Government accounts (HHS/DOJ) .....	110	91	92
25.3 Other purchases of goods and services from Government accounts (HHS/OIG) .....	233	226	230
25.3 Other purchases of goods and services from Government accounts (HHS/AoA) .....	11	11	11
25.3 Other purchases of goods and services from Government accounts (HHS/OGC) .....	9	9	9
25.3 Other goods and services from Federal sources (HHS/CMS) .....	26	15	15
25.3 Other goods and services from Government accounts (HHS/FDA) .....	6	3	3
25.6 Medical care (CMS) .....	1,005	1,021	1,039
94.0 Financial transfers (FBI) .....	139	135	138
99.9 Total new obligations .....	1,694	1,636	1,634

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT—Continued  
Employment Summary

Identification code 75-8393-0-7-571	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	333	405	405

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-8393-2-7-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Medicare Program Integrity savings .....		-430	-476
0002 SSA Program integrity savings .....		-43	-209
0900 Total new obligations (object class 25.6) .....		-473	-685
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		-473	-685
1260 Appropriations, mandatory (total) .....		-473	-685
1930 Total budgetary resources available .....		-473	-685
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		-473	-685
3020 Outlays (gross) .....		473	685
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		-473	-685
Outlays, gross:			
4100 Outlays from new mandatory authority .....		-473	-685
4180 Budget authority, net (total) .....		-473	-685
4190 Outlays, net (total) .....		-473	-685

The mandatory proposal included in the Budget is estimated to achieve additional savings.

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-8393-4-7-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 CMS program integrity .....		162	221
0002 Other program integrity .....		141	108
0900 Total new obligations .....		303	329
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		303	329
1260 Appropriations, mandatory (total) .....		303	329
1930 Total budgetary resources available .....		303	329
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		303	329
3020 Outlays (gross) .....		-303	-329
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		303	329
Outlays, gross:			
4100 Outlays from new mandatory authority .....		303	329
4180 Budget authority, net (total) .....		303	329
4190 Outlays, net (total) .....		303	329

Despite enactment of multi-year discretionary cap adjustments in the Budget Control Act (BCA) of 2011, annual appropriations

have not provided the full amount of program integrity funding authorized in law. Billions of dollars in deficit savings over the next ten years from curtailing improper payments will not be realized if the administrative expenses for program integrity envisioned by the Balanced Budget and Emergency Deficit Control Act (BBEDCA), as amended by the BCA, are not provided in each year. As a result, the Budget is proposing to repeal the discretionary cap adjustments beginning in 2013 for HCFAC and instead provide a dedicated, dependable source of mandatory funding that will ensure the Department of Health and Human Services (HHS) and the Department of Justice (DOJ) have the resources they need to conduct necessary program integrity activities and make certain that only the right people receive the right payment for the right reason at the right time. Providing additional mandatory funding for HCFAC will also eliminate delays in annual appropriations that make it difficult for the agencies to execute their budget plans and achieve targeted results in each year. For 2014, the Budget proposes to continue to provide the base funding (\$311 million for HHS and DOJ) through discretionary appropriations. After 2014, no discretionary funding is being proposed for this purpose. In addition, an annual reduction to the discretionary spending limits in section 251(c) of the BBEDCA, as amended, is proposed beginning in 2015 to offset the cost of shifting the base funding from discretionary to mandatory. The more stable mandatory program integrity funding will produce new net deficit savings of over \$2 billion over 11 years.

Proposed Additional Mandatory Funding for HCFAC

(\$Mil)	FY 2013	FY 2014
HHS-CMS .....	161	178
HHS-OIG .....	73	78
DOJ .....	69	73
Total Additional Mandatory Funding .....	303	329

Object Classification (in millions of dollars)

Identification code 75-8393-4-7-571	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services (CMS/Medicaid) .....		16	25
25.3 Other purchases of goods and services from Government accounts (HHS/DOJ) .....		68	53
25.3 Other purchases of goods and services from Government accounts (HHS/OIG) .....		73	55
25.3 Other goods and services from Federal sources (HHS/CMS) .....		146	196
99.9 Total new obligations .....		303	329

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75-8004-0-7-571	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	42,930	41,270	39,454
Receipts:			
0200 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI .....	2,808	4,207	2,960
0220 Other Proprietary Interest from the Public, FSMI Fund .....	1	3	3
0221 Premiums Collected for Medicare Prescription Drug Account, FSMI .....	2,955	3,432	3,843
0222 Payments from States, Medicare Prescription Drug Account, FSMI .....	8,324	8,766	9,036
0223 Basic Premium, Medicare Advantage, FSMI Trust Fund .....	208	233	242
0224 Basic Premium, Medicare Advantage, FSMI Trust Fund .....			19
0225 Medicare Refunds, SMI .....	4,989	4,400	4,500
0226 Premiums Collected for the Aged, FSMI Fund .....	48,297	52,481	53,997
0227 Premiums Collected for the Aged, FSMI Fund .....			545
0228 Premiums Collected for the Disabled, FSMI Fund .....	9,592	9,707	10,031
0229 Premiums Collected for the Disabled, FSMI Fund .....			101
0240 Federal Contributions, FSMI Fund .....	165,254	181,351	194,565

0241	Federal Contributions, FSMI Fund .....			2,007
0242	Interest Received by Trust Fund, FSMI Fund .....	2,941	2,074	3,077
0243	Interest Received by Trust Fund, FSMI Fund .....			-123
0244	Interest, Medicare Prescription Drug Account, FSMI .....	6	6	8
0245	Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI .....	380	382	373
0246	Federal Contributions for Benefits, Prescription Drug Account, SMI .....	44,874	51,245	58,596
0247	Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund .....	1	1	1
0299	Total receipts and collections .....	290,630	318,288	343,781
0400	Total: Balances and collections .....	333,560	359,558	383,235
<b>Appropriations:</b>				
0500	Federal Supplementary Medical Insurance Trust Fund .....	-3,270	-3,148	-3,042
0501	Federal Supplementary Medical Insurance Trust Fund .....	6		
0502	Federal Supplementary Medical Insurance Trust Fund .....	-231,232	-251,238	-266,390
0503	Federal Supplementary Medical Insurance Trust Fund .....	-1,860	-1,812	
0504	Federal Supplementary Medical Insurance Trust Fund .....			11,898
0505	Federal Supplementary Medical Insurance Trust Fund .....			-2,550
0506	Federal Supplementary Medical Insurance Trust Fund .....			-13,037
0507	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	-302	-361	-328
0508	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	-55,745	-63,545	-71,471
0599	Total appropriations .....	-292,403	-320,104	-344,920
0610	Federal Supplementary Medical Insurance Trust Fund .....	1		
0620	Federal Supplementary Medical Insurance Trust Fund .....	76		
0795	Adjustment- expired accounts, receipts withdrawn .....	36		
0799	Balance, end of year .....	41,270	39,454	38,315

**Program and Financing** (in millions of dollars)

Identification code 75-8004-0-7-571	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Benefit payments, SMI .....	230,916	250,593	251,160
0002	Transfer to Medicaid for payment of SMI premiums .....	602	645	300
0003	HIT Incentive Payments .....	1,456	1,640	2,790
0004	Administration, SMI .....	3,328	3,224	3,127
0005	Quality Improvement Organizations, SMI .....	93	92	157
0799	Total direct obligations .....	236,395	256,194	257,534
0801	Reimbursable program activity .....	17		
0900	Total new obligations .....	236,412	256,194	257,534

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
1021	Recoveries of prior year unpaid obligations .....	117		
1026	Adjustment for change in allocation of trust fund limitation or foreign exchange valuation .....	-76		
1029	Other balances withdrawn .....	-1		
1050	Unobligated balance (total) .....	40	1	1
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	3,270	3,148	3,042
1130	Appropriations permanently reduced .....		-4	
1132	Appropriations temporarily reduced .....	-6		
1160	Appropriation, discretionary (total) .....	3,264	3,144	3,042
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	231,232	251,238	266,390
1203	Appropriation (previously unavailable) .....	1,860	1,812	
1235	Appropriations precluded from obligation .....			-11,898
1260	Appropriations, mandatory (total) .....	233,092	253,050	254,492
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	17		
1850	Spending auth from offsetting collections, mand (total) .....	17		
1900	Budget authority (total) .....	236,373	256,194	257,534
1930	Total budgetary resources available .....	236,413	256,195	257,535
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
Special and non-revolving trust funds:				
1950	Other balances withdrawn .....	1		

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	24,063	24,403	24,369
3010	Obligations incurred, unexpired accounts .....	236,412	256,194	257,534
3020	Outlays (gross) .....	-235,955	-256,228	-257,570

3040	Recoveries of prior year unpaid obligations, unexpired .....	-117		
3050	Unpaid obligations, end of year .....	24,403	24,369	24,333
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	24,063	24,403	24,369
3200	Obligated balance, end of year .....	24,403	24,369	24,333
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,264	3,144	3,042
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,830	2,162	2,086
4011	Outlays from discretionary balances .....	1,294	935	959
4020	Outlays, gross (total) .....	3,124	3,097	3,045
Mandatory:				
4090	Budget authority, gross .....	233,109	253,050	254,492
Outlays, gross:				
4100	Outlays from new mandatory authority .....	211,941	231,342	230,373
4101	Outlays from mandatory balances .....	20,890	21,789	24,152
4110	Outlays, gross (total) .....	232,831	253,131	254,525
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-17		
4180	Budget authority, net (total) .....	236,356	256,194	257,534
4190	Outlays, net (total) .....	235,938	256,228	257,570

<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	70,446	69,324	67,876
5001	Total investments, EOY: Federal securities: Par value .....	69,324	67,876	66,660

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	236,356	256,194	257,534
Outlays .....	235,938	256,228	257,570
<b>Amounts included in the adjusted baseline:</b>			
Budget Authority .....			15,587
Outlays .....			15,587
<b>Total:</b>			
Budget Authority .....	236,356	256,194	273,121
Outlays .....	235,938	256,228	273,157

The Supplementary Medical Insurance (SMI) program is a voluntary program that affords protection against the costs of physician and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are generally financed by premium payments from enrollees and contributions from the general revenues.

The status of the trust fund is as follows. In addition, the Budget includes a package of proposals that improve Medicare's sustainability by promoting high-quality, efficient care; aligning payments with the costs of providing care; encouraging beneficiaries to seek high-value services; and reducing the Federal subsidy of Medicare costs for those beneficiaries who can most afford them.

**Status of Funds** (in millions of dollars)

Identification code 75-8004-0-7-571	2012 actual	2013 CR	2014 est.	
<b>Unexpended balance, start of year:</b>				
0100	Balance, start of year .....	72,780	71,728	69,862
0199	Total balance, start of year .....	72,780	71,728	69,862
<b>Cash income during the year:</b>				
Current law:				
Receipts:				
1200	Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI .....	2,808	4,207	2,960
Offsetting receipts (proprietary):				
1220	Other Proprietary Interest from the Public, FSMI Fund .....	1	3	3
1221	Premiums Collected for Medicare Prescription Drug Account, FSMI .....	2,955	3,432	3,843
1222	Payments from States, Medicare Prescription Drug Account, FSMI .....	8,324	8,766	9,036
1223	Basic Premium, Medicare Advantage, FSMI Trust Fund .....	208	233	242
1224	Basic Premium, Medicare Advantage, FSMI Trust Fund .....			19
1225	Medicare Refunds, SMI .....	4,989	4,400	4,500
1226	Premiums Collected for the Aged, FSMI Fund .....	48,297	52,481	53,997
1227	Premiums Collected for the Aged, FSMI Fund .....			545

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—Continued  
Status of Funds—Continued

Identification code 75-8004-0-7-571	2012 actual	2013 CR	2014 est.
1228 Premiums Collected for the Disabled, FSMI Fund .....	9,592	9,707	10,031
1229 Premiums Collected for the Disabled, FSMI Fund .....			101
Offsetting receipts (intragovernmental):			
1240 Federal Contributions, FSMI Fund .....	165,254	181,351	194,565
1241 Federal Contributions, FSMI Fund .....			2,007
1242 Interest Received by Trust Fund, FSMI Fund .....	2,941	2,074	3,077
1243 Interest Received by Trust Fund, FSMI Fund .....			-123
1244 Interest, Medicare Prescription Drug Account, FSMI .....	6	6	8
1245 Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI .....	380	382	373
1246 Federal Contributions for Benefits, Prescription Drug Account, SMI .....	44,874	51,245	58,596
1247 Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund .....	1	1	1
Offsetting collections:			
1280 Federal Supplementary Medical Insurance Trust Fund .....	17		
1281 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	2,216		
1299 Income under present law .....	292,863	318,288	343,781
3299 Total cash income .....	292,863	318,288	343,781
Cash outgo during year:			
Current law:			
4500 Federal Supplementary Medical Insurance Trust Fund .....	-235,955	-256,228	-257,570
4500 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	-57,960	-63,922	-71,839
4500 Federal Supplementary Medical Insurance Trust Fund .....			-15,587
4599 Outgo under current law (-) .....	-293,915	-320,150	-344,996
6599 Total cash outgo (-) .....	-293,915	-320,150	-344,996
7625 Federal Supplementary Medical Insurance Trust Fund .....			-4
7699 Total adjustments .....		-4	
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	2,404	1,986	1,987
8701 Federal Supplementary Medical Insurance Trust Fund .....	69,324	67,876	66,660
8799 Total balance, end of year .....	71,728	69,862	68,647

Object Classification (in millions of dollars)

Identification code 75-8004-0-7-571	2012 actual	2013 CR	2014 est.
Direct obligations:			
41.0 Payment for Quality Improvement Organization (QIO) activity ....	93	92	157
42.0 Insurance claims and indemnities .....	232,974	252,878	254,250
94.0 Financial transfers .....	3,328	3,224	3,127
99.0 Direct obligations .....	236,395	256,194	257,534
42.0 Allocation Account - reimbursable: Insurance claims and indemnities .....	17		
99.9 Total new obligations .....	236,412	256,194	257,534

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND  
(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 75-8004-7-7-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefits .....			15,587
0900 Total new obligations (object class 42.0) .....			15,587
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			2,550
1235 Appropriations precluded from obligation .....			13,037
1260 Appropriations, mandatory (total) .....			15,587
1900 Budget authority (total) .....			15,587
1930 Total budgetary resources available .....			15,587
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			15,587
3020 Outlays (gross) .....			-15,587

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....			15,587
Outlays, gross:			
4100 Outlays from new mandatory authority .....			15,587
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total) .....			15,587
4190 Outlays, net (total) .....			15,587

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY  
INSURANCE TRUST FUND

Program and Financing (in millions of dollars)

Identification code 75-8308-0-7-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Prescription Drug Benefits .....	58,365	63,540	71,467
0002 Administrative Costs .....	306	366	332
0900 Total new obligations .....	58,671	63,906	71,799
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1021 Recoveries of prior year unpaid obligations .....	409		
1050 Unobligated balance (total) .....	409	1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	302	361	328
1160 Appropriation, discretionary (total) .....	302	361	328
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	55,745	63,545	71,471
1260 Appropriations, mandatory (total) .....	55,745	63,545	71,471
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,216		
1850 Spending auth from offsetting collections, mand (total) .....	2,216		
1900 Budget authority (total) .....	58,263	63,906	71,799
1930 Total budgetary resources available .....	58,672	63,907	71,800
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	572	449	449
1953 Expired unobligated balance, end of year .....	449	449	449

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,216	5,606	5,590
3010 Obligations incurred, unexpired accounts .....	58,671	63,906	71,799
3011 Obligations incurred, expired accounts .....	120		
3020 Outlays (gross) .....	-57,960	-63,922	-71,839
3040 Recoveries of prior year unpaid obligations, unexpired .....	-409		
3041 Recoveries of prior year unpaid obligations, expired .....	-32		
3050 Unpaid obligations, end of year .....	5,606	5,590	5,550
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,216	5,606	5,590
3200 Obligated balance, end of year .....	5,606	5,590	5,550

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	302	361	328
Outlays, gross:			
4010 Outlays from new discretionary authority .....	276	286	259
4011 Outlays from discretionary balances .....	100	73	72
4020 Outlays, gross (total) .....	376	359	331
Mandatory:			
4090 Budget authority, gross .....	57,961	63,545	71,471
Outlays, gross:			
4100 Outlays from new mandatory authority .....	55,335	59,916	67,771
4101 Outlays from mandatory balances .....	2,249	3,647	3,737
4110 Outlays, gross (total) .....	57,584	63,563	71,508
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2,216		
4180 Budget authority, net (total) .....	56,047	63,906	71,799
4190 Outlays, net (total) .....	55,744	63,922	71,839

Since January 2006, Medicare beneficiaries have had the opportunity to enroll in a comprehensive voluntary prescription drug benefit. The Budget includes a package of proposals that slow the growth of Part D net expenditures.

**Object Classification** (in millions of dollars)

Identification code 75-8308-0-7-571	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	306	366	332
42.0 Insurance claims and indemnities .....	58,365	63,540	71,467
99.9 Total new obligations .....	58,671	63,906	71,799

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows: Centers for Medicare and Medicaid Services "Health Care Fraud and Abuse Control Account."

**ADMINISTRATION FOR CHILDREN AND FAMILIES**

*Federal Funds*

**TEMPORARY ASSISTANCE FOR NEEDY FAMILIES**

**Program and Financing** (in millions of dollars)

Identification code 75-1552-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State family assistance grant .....	16,488	16,486	16,486
0002 Territories - family assistance grants .....	75	78	78
0006 Tribal work programs .....	8	8	8
0009 Healthy marriage and responsible fatherhood grants .....	150	150	150
0900 Total new obligations .....	16,721	16,722	16,722

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			2
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	16,739	16,739	16,739
1260 Appropriations, mandatory (total) .....	16,739	16,739	16,739
1930 Total budgetary resources available .....	16,739	16,739	16,741
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-18	-15	-15
1941 Unexpired unobligated balance, end of year .....		2	4

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,595	5,180	5,054
3010 Obligations incurred, unexpired accounts .....	16,721	16,722	16,722
3020 Outlays (gross) .....	-16,136	-16,848	-16,982
3050 Unpaid obligations, end of year .....	5,180	5,054	4,794
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,595	5,180	5,054
3200 Obligated balance, end of year .....	5,180	5,054	4,794

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	16,739	16,739	16,739
Outlays, gross:			
4100 Outlays from new mandatory authority .....	13,210	13,379	13,379
4101 Outlays from mandatory balances .....	2,926	3,469	3,603
4110 Outlays, gross (total) .....	16,136	16,848	16,982
4180 Budget authority, net (total) .....	16,739	16,739	16,739
4190 Outlays, net (total) .....	16,136	16,848	16,982

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	16,739	16,739	16,739
Outlays .....	16,136	16,848	16,982

Legislative proposal, subject to PAYGO:

Budget Authority .....			319
Outlays .....			289
Total:			
Budget Authority .....	16,739	16,739	17,058
Outlays .....	16,136	16,848	17,271

This account provides funding for the Temporary Assistance for Needy Families block grant and related activities authorized by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193), as amended by the Deficit Reduction Act of 2005 (P.L. 109-171). Since 2010, this account has been temporarily reauthorized by a series of Acts and at the time the Budget was prepared was operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175).

**Object Classification** (in millions of dollars)

Identification code 75-1552-0-1-609	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	1	1
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	23	23	23
25.2 Other services from non-Federal sources .....	15	15	15
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	16,677	16,679	16,679
99.9 Total new obligations .....	16,721	16,722	16,722

**Employment Summary**

Identification code 75-1552-0-1-609	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	15	15	15

**TEMPORARY ASSISTANCE FOR NEEDY FAMILIES**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 75-1552-4-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0007 Supplemental Grants .....			319
0900 Total new obligations (object class 41.0) .....			319

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			319
1260 Appropriations, mandatory (total) .....			319
1930 Total budgetary resources available .....			319

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			319
3020 Outlays (gross) .....			-289
3050 Unpaid obligations, end of year .....			30
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			30

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			319
Outlays, gross:			
4100 Outlays from new mandatory authority .....			289
4180 Budget authority, net (total) .....			319
4190 Outlays, net (total) .....			289

CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 75-1522-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Contingency fund	612	612	612
0900 Total new obligations (object class 41.0)	612	612	612
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	612	612	612
1260 Appropriations, mandatory (total)	612	612	612
1930 Total budgetary resources available	612	612	612

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	832	595	331
3010 Obligations incurred, unexpired accounts	612	612	612
3020 Outlays (gross)	-678	-876	-776
3041 Recoveries of prior year unpaid obligations, expired	-171		
3050 Unpaid obligations, end of year	595	331	167
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	832	595	331
3200 Obligated balance, end of year	595	331	167

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	612	612	612
Outlays, gross:			
4100 Outlays from new mandatory authority	473	555	555
4101 Outlays from mandatory balances	205	321	221
4110 Outlays, gross (total)	678	876	776
4180 Budget authority, net (total)	612	612	612
4190 Outlays, net (total)	678	876	776

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	612	612	612
Outlays	678	876	776
Legislative proposal, subject to PAYGO:			
Budget Authority			-319
Outlays			-289
<b>Total:</b>			
Budget Authority	612	612	293
Outlays	678	876	487

This account provides funding for the TANF Contingency Fund authorized by section 403(b) of the Social Security Act that currently provides money to qualifying States (but not Territories or Tribes) during an economic downturn.

CONTINGENCY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-1522-4-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Contingency fund			-319
0900 Total new obligations (object class 41.0)			-319
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-319
1260 Appropriations, mandatory (total)			-319
1930 Total budgetary resources available			-319

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-319
3020 Outlays (gross)			289
3050 Unpaid obligations, end of year			-30
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-30

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-319
Outlays, gross:			
4100 Outlays from new mandatory authority			-289
4180 Budget authority, net (total)			-319
4190 Outlays, net (total)			-289

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For carrying out, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, \$2,965,245,000, to remain available until expended; and for such purposes for the first quarter of fiscal year 2015, \$1,250,000,000, to remain available until expended.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-1501-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State child support administrative costs	3,626	3,730	3,780
0002 Child support incentive payments	508	530	541
0003 Access and visitation grants	10	10	10
0091 Subtotal, child support enforcement	4,144	4,270	4,331
0102 Payments to territories	32	33	33
0103 Repatriation	1	1	1
0191 Subtotal, other payments	33	34	34
0799 Total direct obligations	4,177	4,304	4,365
0801 Offset obligations (CSE grants to States)	2	8	8
0900 Total new obligations	4,179	4,312	4,373

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	
1021 Recoveries of prior year unpaid obligations	341	300	300
1050 Unobligated balance (total)	341	301	300
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2,636	2,904	2,965
1260 Appropriations, mandatory (total)	2,636	2,904	2,965
Advance appropriations, mandatory:			
1270 Advance appropriation	1,200	1,100	1,100
1280 Advanced appropriation, mandatory (total)	1,200	1,100	1,100
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	7	8
1850 Spending auth from offsetting collections, mand (total)	3	7	8
1900 Budget authority (total)	3,839	4,011	4,073
1930 Total budgetary resources available	4,180	4,312	4,373
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,108	986	997

3010	Obligations incurred, unexpired accounts .....	4,179	4,312	4,373
3020	Outlays (gross) .....	-3,960	-4,001	-4,043
3040	Recoveries of prior year unpaid obligations, unexpired .....	-341	-300	-300
3050	Unpaid obligations, end of year .....	986	997	1,027
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,108	986	997
3200	Obligated balance, end of year .....	986	997	1,027

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	3,839	4,011	4,073
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3,627	3,702	3,751
4101	Outlays from mandatory balances .....	333	299	292
4110	Outlays, gross (total) .....	3,960	4,001	4,043
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-3	-7	-8
4180	Budget authority, net (total) .....	3,836	4,004	4,065
4190	Outlays, net (total) .....	3,957	3,994	4,035

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	3,836	4,004	4,065
Outlays .....	3,957	3,994	4,035
Legislative proposal, subject to PAYGO:			
Budget Authority .....			10
Outlays .....			10
Total:			
Budget Authority .....	3,836	4,004	4,075
Outlays .....	3,957	3,994	4,045

This account provides for payments to States for child support enforcement and other family support programs, including access and visitation programs for families. The Federal share of child support collections is returned to the Treasury in a receipt account. This request proposes a Child Support and Fatherhood Initiative, a comprehensive set of proposals which promotes using child support payments in the best interest of the child; supports noncustodial parents becoming and staying involved in their children's lives; and improves establishment and enforcement procedures by closing loopholes and improving federal processes.

**Object Classification** (in millions of dollars)

Identification code 75-1501-0-1-609	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	4,177	4,304	4,365
99.0 Reimbursable obligations .....	2	8	8
99.9 Total new obligations .....	4,179	4,312	4,373

**PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 75-1501-4-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State child support administrative costs .....			10
0900 Total new obligations (object class 41.0) .....			10
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			10
1260 Appropriations, mandatory (total) .....			10
1930 Total budgetary resources available .....			10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			10

3020	Outlays (gross) .....			-10
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			10
Outlays, gross:				
4100	Outlays from new mandatory authority .....			10
4180	Budget authority, net (total) .....			10
4190	Outlays, net (total) .....			10

**LOW INCOME HOME ENERGY ASSISTANCE**

For making payments under subsections (b), (d), and (e) of section 2602 of the Low Income Home Energy Assistance Act of 1981, \$2,970,000,000, of which \$2,820,000,000 shall be for making payments under subsections (b) and (d) of such section; and of which \$150,000,000 shall be for making payments under subsection (e) of such section, to be made notwithstanding the designation requirements of such subsection: Provided, That all but \$403,000,000 of the amount provided in this section for subsections (b) and (d) shall be allocated as though the total appropriation for such payments for fiscal year 2014 was less than \$1,975,000,000: Provided further, That notwithstanding section 2609A(a), the Secretary may reserve up to \$3,000,000 of the amounts appropriated under section 2602(b) for technical assistance, training, and monitoring of program activities for compliance with internal controls, policies and procedures and may, in addition to the authorities provided in section 2609A(a)(1), use such funds through contracts with private entities that do not qualify as nonprofit organizations.

For making competitive grants to assist low-income households in reducing household energy burdens and for conducting a national evaluation of such grants, \$50,000,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75-1502-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 LIHEAP Block Grant .....	3,472	3,493	2,820
0002 Contingency Fund .....			150
0003 Energy Burden Reduction Grants .....			50
0900 Total new obligations .....	3,472	3,493	3,020
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,478	3,493	3,020
1130 Appropriations permanently reduced .....	-6		
1160 Appropriation, discretionary (total) .....	3,472	3,493	3,020
1930 Total budgetary resources available .....	3,472	3,493	3,020
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,991	1,642	1,431
3010 Obligations incurred, unexpired accounts .....	3,472	3,493	3,020
3020 Outlays (gross) .....	-3,817	-3,704	-2,936
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	1,642	1,431	1,515
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,991	1,642	1,431
3200 Obligated balance, end of year .....	1,642	1,431	1,515
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,472	3,493	3,020
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,191	2,201	1,905
4011 Outlays from discretionary balances .....	1,626	1,503	1,031
4020 Outlays, gross (total) .....	3,817	3,704	2,936
4180 Budget authority, net (total) .....	3,472	3,493	3,020
4190 Outlays, net (total) .....	3,817	3,704	2,936

LOW INCOME HOME ENERGY ASSISTANCE—Continued

This program makes grants to States and Indian Tribes to aid low-income households with high energy costs through payments to eligible households, energy suppliers, and weatherization providers. Obligation estimates for the contingency fund assume that all funds will be released in response to emergencies such as extreme weather related events and high fuel prices. The Budget proposes new competitive grants to reduce household energy burdens for low-income households.

Object Classification (in millions of dollars)

Identification code 75-1502-0-1-609	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	2	2	2
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3,469	3,490	3,017
99.9 Total new obligations .....	3,472	3,493	3,020

REFUGEE AND ENTRANT ASSISTANCE

For necessary expenses for refugee and entrant assistance activities authorized by section 414 of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980, and for carrying out section 462 of the Homeland Security Act of 2002, the Torture Victims Relief Act of 1998, the Trafficking Victims Protection Act of 2000 (TVPA), section 203 of the Trafficking Victims Protection Reauthorization Act of 2005, and section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, \$1,123,432,000, to remain available through September 30, 2016: Provided, That up to \$19,775,000 shall be available to carry out the last three Acts cited above, of which \$300,000 of such amount shall be available for research and evaluation under sections 107(f) and 112A of the TVPA, as amended, notwithstanding any limitations or earmarks in such sections.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-1503-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Refugee and entrant assistance .....	507	587	607
0002 Assistance for treatment of torture victims .....	11	11	11
0003 Unaccompanied alien children .....	274	302	495
0005 Domestic Trafficking Victims program .....			10
0900 Total new obligations .....	792	900	1,123
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	1	1
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	25	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	769	900	1,123
1130 Appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	768	900	1,123
1930 Total budgetary resources available .....	793	901	1,124
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	567	544	552
3010 Obligations incurred, unexpired accounts .....	792	900	1,123
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-802	-892	-952
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		

3041 Recoveries of prior year unpaid obligations, expired .....	-13		
3050 Unpaid obligations, end of year .....	544	552	723
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	567	544	552
3200 Obligated balance, end of year .....	544	552	723
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	768	900	1,123
Outlays, gross:			
4010 Outlays from new discretionary authority .....	435	450	562
4011 Outlays from discretionary balances .....	367	442	390
4020 Outlays, gross (total) .....	802	892	952
4180 Budget authority, net (total) .....	768	900	1,123
4190 Outlays, net (total) .....	802	892	952

This program provides funds to States and non-governmental organizations for administering the refugee and entrant assistance program. Funds also are provided to assist in the rehabilitation of victims of torture, trafficking victims, and the care and placement of unaccompanied alien children. Additional funding is included to address the increase in unaccompanied alien children and for a new initiative aimed at preventing human trafficking and providing direct services to domestic victims.

Object Classification (in millions of dollars)

Identification code 75-1503-0-1-609	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	5	6
12.1 Civilian personnel benefits .....	1	1	2
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	35	20	31
25.2 Other services from non-Federal sources .....	5	6	7
25.3 Other goods and services from Federal sources .....	4	24	30
41.0 Grants, subsidies, and contributions .....	741	842	1,045
99.9 Total new obligations .....	792	900	1,123

Employment Summary

Identification code 75-1503-0-1-609	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	45	54	64

SUPPORTING HEALTH FAMILIES AND ADOLESCENT DEVELOPMENT

For carrying out, except as otherwise provided, section 436 of the Social Security Act, \$345,000,000 and, in addition, for carrying out, except as otherwise provided, section 437 of such Act, \$63,065,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-1512-0-1-506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants to States and Tribes .....	368	368	368
0002 Research, training and technical assistance .....	8	8	8
0003 State court improvement activities .....	32	32	32
0004 Family Connection Grants .....	15	15	
0005 PREP .....	84	84	82
0006 Abstinence Education .....	39	37	37
0900 Total new obligations .....	546	544	527
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	25	16	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	63	63	75

1130	Appropriations permanently reduced .....			-12
1160	Appropriation, discretionary (total) .....	63	63	63
	Appropriations, mandatory:			
1200	Appropriation .....	485	485	470
1260	Appropriations, mandatory (total) .....	485	485	470
1900	Budget authority (total) .....	548	548	533
1930	Total budgetary resources available .....	573	564	553
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-11		
1941	Unexpired unobligated balance, end of year .....	16	20	26

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	614	678	708
3010	Obligations incurred, unexpired accounts .....	546	544	527
3020	Outlays (gross) .....	-476	-511	-525
3041	Recoveries of prior year unpaid obligations, expired .....	-6	-3	
3050	Unpaid obligations, end of year .....	678	708	710
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	614	678	708
3200	Obligated balance, end of year .....	678	708	710

**Budget authority and outlays, net:**

	Discretionary:			
4000	Budget authority, gross .....	63	63	63
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	19	20	24
4011	Outlays from discretionary balances .....	38	43	44
4020	Outlays, gross (total) .....	57	63	68
	Mandatory:			
4090	Budget authority, gross .....	485	485	470
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	91	98	98
4101	Outlays from mandatory balances .....	328	350	359
4110	Outlays, gross (total) .....	419	448	457
4180	Budget authority, net (total) .....	548	548	533
4190	Outlays, net (total) .....	476	511	525

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	548	548	533
Outlays .....	476	511	525
Legislative proposal, subject to PAYGO:			
Budget Authority .....			15
Total:			
Budget Authority .....	548	548	548
Outlays .....	476	511	525

This account provides funds for a broad range of child welfare services, including family preservation and family support services. Additionally, this account includes funding for the Personal Responsibility Education Program (PREP) and Abstinence Education which were made available by the Patient Protection and Affordable Care Act (P.L. 111-148). This account also includes a new initiative to prevent pregnancy among youth in foster care.

**Object Classification (in millions of dollars)**

Identification code 75-1512-0-1-506	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	12	11	11
25.3 Other goods and services from Federal sources .....	2	3	2
41.0 Grants, subsidies, and contributions .....	531	529	513
99.9 Total new obligations .....	546	544	527

**Employment Summary**

Identification code 75-1512-0-1-506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	10	10	10

**SUPPORTING HEALTHY FAMILIES AND ADOLESCENT DEVELOPMENT  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 75-1512-4-1-506	2012 actual	2013 CR	2014 est.
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**Obligations by program activity:**

0004 Family Connection Grants .....			15
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**Budgetary Resources:**

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			15
1260 Appropriations, mandatory (total) .....			15
1900 Budget authority (total) .....			15
1930 Total budgetary resources available .....			15

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			15
3050 Unpaid obligations, end of year .....			15
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			15

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....			15
4180 Budget authority, net (total) .....			15

**Object Classification (in millions of dollars)**

Identification code 75-1512-4-1-506	2012 actual	2013 CR	2014 est.
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**Direct obligations:**

25.1 Advisory and assistance services .....			1
41.0 Grants, subsidies, and contributions .....			14
99.9 Total new obligations .....			15

**CHILD CARE ENTITLEMENT TO STATES**

**Program and Financing (in millions of dollars)**

Identification code 75-1550-0-1-609	2012 actual	2013 CR	2014 est.
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**Obligations by program activity:**

0001 Mandatory child care .....	1,178	1,178	1,178
0002 Matching child care .....	1,697	1,674	1,674
0003 Training and technical assistance .....	7	7	7
0004 Child care tribal grants .....	58	58	58
0900 Total new obligations .....	2,940	2,917	2,917

**Budgetary Resources:**

Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts .....	23		
1050 Unobligated balance (total) .....	23		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2,917	2,917	2,917
1260 Appropriations, mandatory (total) .....	2,917	2,917	2,917
1900 Budget authority (total) .....	2,917	2,917	2,917
1930 Total budgetary resources available .....	2,940	2,917	2,917

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	609	710	719
3010 Obligations incurred, unexpired accounts .....	2,940	2,917	2,917
3020 Outlays (gross) .....	-2,828	-2,908	-2,916

CHILD CARE ENTITLEMENT TO STATES—Continued  
Program and Financing—Continued

Identification code 75-1550-0-1-609		2012 actual	2013 CR	2014 est.
3041	Recoveries of prior year unpaid obligations, expired .....	-11		
3050	Unpaid obligations, end of year .....	710	719	720
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	609	710	719
3200	Obligated balance, end of year .....	710	719	720

Summary of Budget Authority and Outlays (in millions of dollars)				
		2012 actual	2013 CR	2014 est.
Enacted/requested:				
	Budget Authority .....	2,917	2,917	2,917
	Outlays .....	2,828	2,908	2,916
Legislative proposal, subject to PAYGO:				
	Budget Authority .....			500
	Outlays .....			406
Total:				
	Budget Authority .....	2,917	2,917	3,417
	Outlays .....	2,828	2,908	3,322

This account provides child care subsidies for low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193), as amended by the Deficit Reduction Act of 2005 (P.L. 109-171). Since 2010, this account has been temporarily reauthorized by a series of Acts and at the time the Budget was prepared was operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175).

Object Classification (in millions of dollars)

Identification code 75-1550-0-1-609		2012 actual	2013 CR	2014 est.
Direct obligations:				
25.1	Advisory and assistance services .....	7	1	1
25.2	Other services from non-Federal sources .....	11	6	6
41.0	Grants, subsidies, and contributions .....	2,922	2,910	2,910
99.9	Total new obligations .....	2,940	2,917	2,917

CHILD CARE ENTITLEMENT TO STATES  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-1550-4-1-609		2012 actual	2013 CR	2014 est.
Obligations by program activity:				
0002	Matching child care .....			480
0003	Training and technical assistance .....			10
0004	Child care tribal grants .....			10
0900	Total new obligations .....			500
Budgetary Resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....			500
1260	Appropriations, mandatory (total) .....			500
1900	Budget authority (total) .....			500
1930	Total budgetary resources available .....			500

Change in obligated balance:

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			500
3020	Outlays (gross) .....			-406
3050	Unpaid obligations, end of year .....			94
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			94
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross .....			500
Outlays, gross:				
4100	Outlays from new mandatory authority .....			406
4180	Budget authority, net (total) .....			500
4190	Outlays, net (total) .....			406

Object Classification (in millions of dollars)

Identification code 75-1550-4-1-609		2012 actual	2013 CR	2014 est.
Direct obligations:				
25.2	Other services from non-Federal sources .....			10
41.0	Grants, subsidies, and contributions .....			490
99.9	Total new obligations .....			500

PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK GRANT

*For carrying out the Child Care and Development Block Grant Act of 1990, \$2,278,313,000 shall be used to supplement, not supplant State general revenue funds for child care assistance for low-income families: Provided, That \$19,396,000 shall be available for child care resource and referral and school-aged child care activities, of which \$1,000,000 shall be available to the Secretary for a competitive grant for the operation of a national toll free hotline and Web site to develop and disseminate child care consumer education information for parents and help parents access child care in their local community: Provided further, That, in addition to the amounts required to be reserved by the States under section 658G, \$290,698,000 shall be reserved by the States for activities authorized under section 658G, of which \$106,611,000 shall be for activities that improve the quality of infant and toddler care: Provided further, That \$9,871,000 shall be for use by the Secretary for child care research, demonstration, and evaluation activities.*

*In addition, \$200,000,000, to be allocated by the Secretary for discretionary grants to States to improve the quality of child care, including health and safety measures, under section 658I(a)(1) of such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-1515-0-1-609		2012 actual	2013 CR	2014 est.
Obligations by program activity:				
0001	Block grant payments to States .....	2,268	2,282	2,468
0004	Research and evaluation fund .....	10	10	10
0900	Total new obligations .....	2,278	2,292	2,478
Budgetary Resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,282	2,292	2,478
1130	Appropriations permanently reduced .....	-4		
1160	Appropriation, discretionary (total) .....	2,278	2,292	2,478
1930	Total budgetary resources available .....	2,278	2,292	2,478
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	478	555	561
3010	Obligations incurred, unexpired accounts .....	2,278	2,292	2,478
3020	Outlays (gross) .....	-2,200	-2,286	-2,442
3041	Recoveries of prior year unpaid obligations, expired .....	-1		

3050	Unpaid obligations, end of year .....	555	561	597
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	478	555	561
3200	Obligated balance, end of year .....	555	561	597
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,278	2,292	2,478
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,788	1,857	2,007
4011	Outlays from discretionary balances .....	412	429	435
4020	Outlays, gross (total) .....	2,200	2,286	2,442
4180	Budget authority, net (total) .....	2,278	2,292	2,478
4190	Outlays, net (total) .....	2,200	2,286	2,442

This program provides grants to States for child care subsidies for low-income working families and activities to improve child care quality. In FY 2014, it includes a new initiative to improve the quality of child care, including healthy and safety measures.

**Object Classification** (in millions of dollars)

Identification code 75-1515-0-1-609	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	14	13	13
25.2	Other services from non-Federal sources .....	10		
25.3	Other goods and services from Federal sources .....	1	1	1
41.0	Grants, subsidies, and contributions .....	2,253	2,278	2,464
99.9	Total new obligations .....	2,278	2,292	2,478

**SOCIAL SERVICES BLOCK GRANT**

For making grants to States pursuant to section 2002 of the Social Security Act, \$1,700,000,000: Provided, That notwithstanding subparagraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX-A of such Act shall be 10 percent.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75-1534-0-1-506	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Social Services Block Grant .....	1,700	1,700	1,700
0002	Health Profession Opportunity Grants .....	85	85	85
0003	Emergency Funding .....		500	
0900	Total new obligations .....	1,785	2,285	1,785
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	30	30	30
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1,785	1,785	1,785
1221	Appropriations transferred from other accts [75-0140] .....		500	
1260	Appropriations, mandatory (total) .....	1,785	2,285	1,785
1930	Total budgetary resources available .....	1,815	2,315	1,815
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	30	30	30
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	580	572	893
3010	Obligations incurred, unexpired accounts .....	1,785	2,285	1,785
3020	Outlays (gross) .....	-1,715	-1,964	-2,062
3041	Recoveries of prior year unpaid obligations, expired .....	-78		
3050	Unpaid obligations, end of year .....	572	893	616
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	580	572	893

3200	Obligated balance, end of year .....	572	893	616
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1,785	2,285	1,785
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,359	1,498	1,398
4101	Outlays from mandatory balances .....	356	466	664
4110	Outlays, gross (total) .....	1,715	1,964	2,062
4180	Budget authority, net (total) .....	1,785	2,285	1,785
4190	Outlays, net (total) .....	1,715	1,964	2,062

**Object Classification** (in millions of dollars)

Identification code 75-1534-0-1-506	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
25.1	Advisory and assistance services .....	16	16	16
41.0	Grants, subsidies, and contributions .....	1,768	2,268	1,768
99.9	Total new obligations .....	1,785	2,285	1,785

**Employment Summary**

Identification code 75-1534-0-1-506	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	7	7	7

**CHILDREN AND FAMILIES SERVICES PROGRAMS**

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, sections 303 and 313 of the Family Violence Prevention and Services Act, the Native American Programs Act of 1974, title II of the Child Abuse Prevention and Treatment and Adoption Reform Act of 1978 (adoption opportunities), the Abandoned Infants Assistance Act of 1988, part B-1 of title IV and sections 413, 1110, and 1115 of the Social Security Act; for making payments under the Community Services Block Grant Act ("CSBG Act"), sections 473B and 477(i) of the Social Security Act, and the Assets for Independence Act; and for necessary administrative expenses to carry out such Acts and titles I, IV, V, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960, the Low Income Home Energy Assistance Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, and for the administration of prior year obligations made by the Administration for Children and Families under the Developmental Disabilities Assistance and Bill of Rights Act and the Help America Vote Act of 2002, \$11,083,182,000, of which \$39,346,000, to remain available through September 30, 2015, shall be for grants to States for adoption incentive payments, as authorized by section 473A of the Social Security Act and may be made for adoptions completed before September 30, 2014: Provided, That \$9,621,070,000 shall be for making payments under the Head Start Act: Provided further, That of the amount in the previous proviso, \$8,190,694,000 shall be available for payments under section 640 of the Head Start Act of which \$25,000,000 shall be available for allocation by the Secretary to supplement activities described in paragraphs (7)(B) and (9) of section 641(c) of such Act under the Designation Renewal System, established under the authority of sections 641(c)(7), 645A(b)(12) and 645A(d) of such Act: Provided further, That amounts allocated to Head Start grantees at the discretion of the Secretary to supplement activities pursuant to the previous proviso shall not be included in the calculation of the "base grant" in subsequent fiscal years, as such term is used in section 640(a)(7)(A) of the Head Start Act: Provided further, That notwithstanding section 640 of the Head Start Act, of the amount described in the first proviso, \$1,430,376,000 shall be available to entities defined as eligible under section 645A(d) of such Act for expansion of Early Head Start programs as described in section 645(A) of such Act, for conversion of Head Start services to Early Head Start services as described in section 645(a)(5)(A) of such Act, and for new discretionary grants for high quality infant and toddler care through Early Head Start-Child Care Partnerships, and, notwithstanding section 645A(c)(2) of such Act, these funds are available to serve children under age 4: Provided further, That of the

CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued

amount made available in the immediately preceding proviso, up to \$15,000,000 shall be available for the Federal costs of administration and evaluation activities of the program described in such proviso: Provided further, That an Early Head Start agency awarded funds for an Early Head Start-Child Care Partnership after October 1, 2014, shall not be subject to the requirements of the system for designation renewal as defined by section 641 of the Head Start Act, for this award only, prior to 18 months after the date of such award: Provided further, That \$350,000,000 shall be for making payments under the CSBG Act: Provided further, That no more than \$350,000 shall be reserved under section 674(b)(3) of the CSBG Act, all of which shall be available solely for carrying out section 678E(b)(2) of such Act: Provided further, That, to the extent funds for the Assets for Independence Act are distributed as grant funds to a qualified entity and have not been expended by such entity within three years after the date of award, such funds may be recaptured and reallocated among other qualified entities, to remain available for five years: Provided further, That, notwithstanding section 414(e) of the Assets for Independence Act, the Secretary may award up to \$1,000,000 to support evidence-based research to evaluate the demonstration project: Provided further, That, in addition to amounts provided herein, \$5,762,000 shall be available from amounts available under section 241 of the PHS Act to carry out the provisions of section 1110 of the Social Security Act: Provided further, That amounts provided under this heading to carry out section 1110 of the Social Security Act, other than amounts made available for that purpose under the immediately preceding proviso, shall remain available until expended; Provided further, That to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the CSBG Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: Provided further, That the Secretary shall establish procedures regarding the disposition of intangible assets and program income that permit such assets acquired with, and program income derived from, grant funds authorized under section 680 of the CSBG Act to become the sole property of such grantees after a period of not more than 12 years after the end of the grant period for any activity consistent with section 680(a)(2)(A) of the CSBG Act: Provided further, That intangible assets in the form of loans, equity investments and other debt instruments, and program income may be used by grantees for any eligible purpose consistent with section 680(a)(2)(A) of the CSBG Act: Provided further, That these procedures shall apply to such grant funds made available after November 29, 1999: Provided further, That funds appropriated for section 680(a)(2) of the CSBG Act shall be available for financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations: Provided further, That \$1,992,000 shall be for a human services case management system for federally declared disasters, to include a comprehensive national case management contract and Federal costs of administering the system: Provided further, That section 303 (a)(2)(A)(i) of the Family Violence Prevention and Services Act shall not apply to amounts provided herein: Provided further, That up to \$2,000,000 shall be for improving the Public Assistance Reporting Information System, including grants to States to support data collection for a study of the system's effectiveness.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 75–1536–0–1–506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Head start .....	7,968	8,017	9,621
0102 Head Start Emergency Hurricane Sandy funding .....		15	50
0103 Runaway and homeless youth (basic centers) .....	53	54	53
0104 Transitional living .....	44	44	44
0105 Prevalence, Needs and Characteristics of Homeless Youth .....			3
0106 Education grants to reduce sexual abuse of runaway youth .....	18	18	18
0109 Child abuse State grants .....	26	26	26
0110 Child abuse discretionary activities .....	26	26	26
0111 Community-based child abuse prevention .....	42	42	42

0112 Child welfare services .....	281	282	281
0113 Child welfare training, research, or demonstration projects .....	26	26	26
0114 Adoption opportunities .....	39	40	39
0115 Abandoned infants assistance .....	12	12	11
0116 Adoption incentives .....	42	40	39
0117 Independent living training vouchers .....	45	45	45
0119 State councils on developmental disabilities .....	75	75	
0120 Protection and advocacy .....	41	41	
0121 Projects of national significance .....	8	9	
0122 University centers for excellence .....	39	39	
0123 Voting access for individuals with disabilities .....	5	5	
0124 Native American programs .....	49	49	49
0125 Social services and income maintenance research .....			44
0128 Federal administration .....	199	205	204
0129 Center for faith-based and community initiatives .....	1	1	1
0131 Disaster human services case management .....	2	2	2
0191 Direct program activities, subtotal .....	9,041	9,113	10,624
0301 Community services block grant .....	677	682	350
0303 Rural community facilities .....	5	5	
0304 Community services discretionary (JOLI & CED) .....	30	30	
0306 Assets for independence .....	20	20	19
0308 Domestic violence hotline .....	3	3	5
0309 Family violence prevention and services .....	129	131	135
0391 Direct program activities, subtotal .....	864	871	509
0400 Total, direct program .....	9,905	9,984	11,133
0799 Total direct obligations .....	9,905	9,984	11,133
0801 Reimbursable program .....	53	25	25
0809 Reimbursable program activities, subtotal .....	53	25	25
0900 Total new obligations .....	9,958	10,009	11,158

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	88
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2	3	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	5	3	88
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	9,927	9,969	11,083
1121 Discretionary Emergency pursuant to 2011 Budget Control Act [75–0140] .....		100	
1130 Appropriations permanently reduced .....	–19		
1160 Appropriation, discretionary (total) .....	9,908	10,069	11,083
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	46	24	24
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	51	24	24
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1801 Change in uncollected payments, Federal sources .....	1		
1850 Spending auth from offsetting collections, mand (total) .....	2	1	1
1900 Budget authority (total) .....	9,961	10,094	11,108
1930 Total budgetary resources available .....	9,966	10,097	11,196
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	–5		
1941 Unexpired unobligated balance, end of year .....	3	88	38

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,703	6,626	6,793
3010 Obligations incurred, unexpired accounts .....	9,958	10,009	11,158
3020 Outlays (gross) .....	–9,909	–9,842	–10,461
3040 Recoveries of prior year unpaid obligations, unexpired .....	–3		
3041 Recoveries of prior year unpaid obligations, expired .....	–123		
3050 Unpaid obligations, end of year .....	6,626	6,793	7,490
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–7	–10	–10
3070 Change in uncollected pymts, Fed sources, unexpired .....	–6		
3071 Change in uncollected pymts, Fed sources, expired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	–10	–10	–10
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6,696	6,616	6,783
3200 Obligated balance, end of year .....	6,616	6,783	7,480

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	9,959	10,093	11,107
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4,180	4,217	4,681

4011	Outlays from discretionary balances .....	5,726	5,623	5,778
4020	Outlays, gross (total) .....	9,906	9,840	10,459
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources .....	-49	-18	-18
4033	Non-Federal sources .....		-6	-6
4040	Offsets against gross budget authority and outlays (total) ....	-49	-24	-24
	Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052	Offsetting collections credited to expired accounts .....	3		
4060	Additional offsets against budget authority only (total) .....	-2		
4070	Budget authority, net (discretionary) .....	9,908	10,069	11,083
4080	Outlays, net (discretionary) .....	9,857	9,816	10,435
	Mandatory:			
4090	Budget authority, gross .....	2	1	1
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	2	1	1
4101	Outlays from mandatory balances .....	1	1	1
4110	Outlays, gross (total) .....	3	2	2
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120	Federal sources .....	-1	-1	-1
	Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired .....	-1		
4140	Outlays, net (mandatory) .....	2	1	1
4180	Budget authority, net (total) .....	9,908	10,069	11,083
4190	Outlays, net (total) .....	9,859	9,817	10,436

The request prioritizes investments in early learning to improve outcomes for children. A total of \$9.6 billion is requested to support an early learning initiative to expand access to high quality infant and toddler care, maintain the number of children served in Head Start, and support the transition between new and incumbent Head Start grantees in the Designation Renewal System. This Budget also requests an additional \$7 million for family violence prevention, \$35 million for an initiative to address marriage disincentives, and \$3 million to study the prevalence, needs and characteristics of homeless youth. This request discontinues funding for the Rural Communities Facilities and the Community Economic Development programs.

**Object Classification** (in millions of dollars)

Identification code 75-1536-0-1-506	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	110	116	121
11.3 Other than full-time permanent .....	4	5	5
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	116	123	128
12.1 Civilian personnel benefits .....	30	32	33
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	13	14	14
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	234	241	246
25.2 Other services from non-Federal sources .....	5	4	4
25.3 Other goods and services from Federal sources .....	47	49	49
25.4 Operation and maintenance of facilities .....	2	2	2
25.5 Research and development contracts .....	1	1	1
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	9,446	9,507	10,645
99.0 Direct obligations .....	9,905	9,984	11,133
99.0 Reimbursable obligations .....	53	25	25
99.9 Total new obligations .....	9,958	10,009	11,158

**Employment Summary**

Identification code 75-1536-0-1-506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,145	1,203	1,243
1101 Direct military average strength employment .....	4	6	6

2001 Reimbursable civilian full-time equivalent employment .....	10	10	10
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**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE**

**Program and Financing** (in millions of dollars)

Identification code 75-1553-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Training and technical assistance .....	14	12	12
0002 Federal parent locator service .....	25	25	25
0004 Welfare research .....	15	15	15
0799 Total direct obligations .....	54	52	52
0801 Reimbursable program FPLS REIMB .....	21	26	26
0900 Total new obligations .....	75	78	78
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	7
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	10	7	7
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	52	52	52
1260 Appropriations, mandatory (total) .....	52	52	52
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	20	26	26
1850 Spending auth from offsetting collections, mand (total) .....	20	26	26
1900 Budget authority (total) .....	72	78	78
1930 Total budgetary resources available .....	82	85	85
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	60	52
3010 Obligations incurred, unexpired accounts .....	75	78	78
3020 Outlays (gross) .....	-73	-86	-84
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	60	52	46
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-5	-5
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	56	55	47
3200 Obligated balance, end of year .....	55	47	41

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	72	78	78
Outlays, gross:			
4100 Outlays from new mandatory authority .....	29	15	15
4101 Outlays from mandatory balances .....	44	71	69
4110 Outlays, gross (total) .....	73	86	84
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120 Federal sources .....	-19	-25	-25
4123 Non-Federal sources .....	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-20	-26	-26
4160 Budget authority, net (mandatory) .....	52	52	52
4170 Outlays, net (mandatory) .....	53	60	58
4180 Budget authority, net (total) .....	52	52	52
4190 Outlays, net (total) .....	53	60	58

This account provides funding for research and technical assistance activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193), as amended by the Deficit Reduction Act of 2005 (P.L. 109-171). Since 2010, Welfare Research has been temporarily reauthorized by a series of Acts and is currently authorized through March 27, 2013, in the Continuing Appropriations Resolution, 2013 (P.L. 112-175).

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE—Continued

Object Classification (in millions of dollars)

Identification code 75-1553-0-1-609	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
25.1 Advisory and assistance services .....	13	11	11
25.2 Other services from non-Federal sources .....	15	13	13
25.3 Other goods and services from Federal sources .....	7	6	6
25.7 Operation and maintenance of equipment .....	4	7	7
41.0 Grants, subsidies, and contributions .....	2	2	2
99.0 Direct obligations .....	54	52	52
99.0 Reimbursable obligations .....	21	26	26
99.9 Total new obligations .....	75	78	78

Employment Summary

Identification code 75-1553-0-1-609	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	62	70	70

PAYMENTS FOR FOSTER CARE AND PERMANENCY

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, \$4,806,000,000.

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, for the first quarter of fiscal year 2015, \$2,200,000,000.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under section 474 of title IV-E of the Social Security Act, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-1545-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Foster care .....	4,180	4,286	4,279
0002 Independent living .....	140	140	140
0004 Adoption assistance .....	2,296	2,369	2,463
0005 Guardianship .....	74	123	124
0006 Tribal T&TA .....	3	3	3
0900 Total new obligations .....	6,693	6,921	7,009
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	5,156	4,821	4,809
1260 Appropriations, mandatory (total) .....	5,156	4,821	4,809
Advance appropriations, mandatory:			
1270 Advance appropriation .....	1,850	2,100	2,200
1280 Advanced appropriation, mandatory (total) .....	1,850	2,100	2,200
1900 Budget authority (total) .....	7,006	6,921	7,009
1930 Total budgetary resources available .....	7,006	6,921	7,009
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-313		

Change in obligated balance:

Identification code 75-1545-0-1-609	2012 actual	2013 CR	2014 est.
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,218	979	1,153
3010 Obligations incurred, unexpired accounts .....	6,693	6,921	7,009
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-6,847	-6,744	-6,899
3041 Recoveries of prior year unpaid obligations, expired .....	-87	-3	-4
3050 Unpaid obligations, end of year .....	979	1,153	1,259

Identification code 75-1545-0-1-609	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,218	979	1,153
3200 Obligated balance, end of year .....	979	1,153	1,259

Budget authority and outlays, net:

Identification code 75-1545-0-1-609	2012 actual	2013 CR	2014 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	7,006	6,921	7,009
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	5,878	6,027	6,113
4101 Outlays from mandatory balances .....	969	717	786
4110 Outlays, gross (total) .....	6,847	6,744	6,899
4180 Budget authority, net (total) .....	7,006	6,921	7,009
4190 Outlays, net (total) .....	6,847	6,744	6,899

Summary of Budget Authority and Outlays (in millions of dollars)

Identification code 75-1545-0-1-609	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	7,006	6,921	7,009
Outlays .....	6,847	6,744	6,899
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			2
Outlays .....			2
<b>Total:</b>			
Budget Authority .....	7,006	6,921	7,011
Outlays .....	6,847	6,744	6,901

This account provides formula grants for Foster Care, Adoption Assistance, Guardianship Assistance, and the Chafee Foster Care Independence Program as well as technical assistance and implementation services for Tribal programs.

**Foster Care.**—The proposed level will support eligible low-income children who must be placed outside the home. An average of 147,300 children per month are estimated to be served in FY 2014.

**Adoption Assistance.**—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 450,700 children per month are estimated to be served in FY 2014.

**Guardianship Assistance.**—The proposed funding level will provide payments for relatives taking legal guardianship of eligible children who have been in foster care. An average of 24,200 children per month are estimated to be served in FY 2014.

Object Classification (in millions of dollars)

Identification code 75-1545-0-1-609	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	15	17	22
25.3 Other goods and services from Federal sources .....	1		
41.0 Grants, subsidies, and contributions .....	6,676	6,903	6,986
99.9 Total new obligations .....	6,693	6,921	7,009

Employment Summary

Identification code 75-1545-0-1-609	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

PAYMENTS FOR FOSTER CARE AND PERMANENCY

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-1545-4-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Foster care .....			2
0900 Total new obligations (object class 41.0) .....			2

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		2
1260	Appropriations, mandatory (total) .....		2
1900	Budget authority (total) .....		2
1930	Total budgetary resources available .....		2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		2
3020	Outlays (gross) .....		-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		2
Outlays, gross:			
4100	Outlays from new mandatory authority .....		2
4180	Budget authority, net (total) .....		2
4190	Outlays, net (total) .....		2

This request continues support for the proposal to require that child support payments made on behalf of youth in foster care are used in the best interest of the child, rather than as an offset to state and federal child welfare costs.

**ADMINISTRATION FOR COMMUNITY LIVING**

**Federal Funds**

AGING AND DISABILITY SERVICES PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965 ("OAA"), titles III and XXIX of the PHS Act, section 119 of the Medicare Improvements for Patients and Providers Act of 2008, section 6021(d) of the Deficit Reduction Act of 2005, title XX-B of the Social Security Act, the Developmental Disabilities Assistance and Bill of Rights Act, parts 2 and 5 of subtitle D of title II of the Help America Vote Act of 2002, and for department-wide coordination of policy and program activities that assist individuals with disabilities, \$2,042,640,000, together with \$52,115,000 to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to carry out section 4360 of the Omnibus Budget Reconciliation Act of 1990: Provided, That amounts appropriated under this heading may be used for grants to States under section 361 of the OAA only for disease prevention and health promotion programs and activities which have been demonstrated through rigorous evaluation to be evidence-based and effective: Provided further, That, notwithstanding section 206(g) of the OAA, up to one percent of amounts appropriated to carry out programs authorized under title III of such Act shall be available for conducting evaluations, training and technical assistance: Provided further, That none of the funds provided shall be used to carry out sections 1701 and 1703 of the PHS Act (with respect to chronic disease self-management activity grants), except that such funds may be used for necessary expenses associated with administering any such grants awarded prior to the date of the enactment of this Act: Provided further, That notwithstanding any other provision of this Act, funds made available under this heading to carry out section 311 of the OAA may be transferred to the Secretary of Agriculture in accordance with such section: Provided further, That, of the amounts provided under this heading, \$380,000,000 shall be available for carrying out title V of the OAA: Provided further, That, with respect to the previous proviso, such funds shall be available through June 30, 2015, and may be recaptured and reobligated in accordance with section 517(c) of the OAA.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75-0142-0-1-506	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0101	Home and community-based supportive services .....	367	369	367
0102	Preventive health services .....	21	21	21
0103	National family caregiver support program .....	154	155	154
0104	Native American caregiver support program .....	6	6	6
0105	Congregate nutrition services .....	439	442	439
0106	Home-delivered nutrition services .....	217	218	217
0107	Nutrition services incentive program .....	158	159	160
0108	Native American nutrition and supportive services .....	28	28	28
0110	Aging network support activities .....	8	8	8
0111	Long-term care ombudsmen program .....	17	17	17
0112	Prevention of elder abuse and neglect .....	5	5	5
0113	Alzheimer's disease demonstration grants .....	4	4	9
0114	Program administration .....	23	23	30
0115	Lifespan respite care program .....	2	2	2
0117	Aging and Disability Resource Centers (ADRC) .....	16	16	10
0118	Chronic Disease Self-Management Education Program .....	10		10
0121	Senior Medicare Patrol program .....	9	9	9
0122	Elder Rights Support Activities .....	4	4	4
0126	National Clearinghouse Long-Term Care Information .....	3		3
0127	Alzheimer's Disease Awareness and Education .....	4		4
0128	Adult Protective Services .....	6		8
0129	Senior Community Service Employment Program .....			380
0131	State Health Insurance Assistance Program .....			26
0133	Alzheimer's Disease Initiative - Services .....			11
0135	Paralysis Resource Center .....			7
0136	Voting Access for People With Disabilities .....			5
0138	State Councils on Developmental Disabilities .....			75
0139	Protection and Advocacy .....			41
0141	University Centers for Excellence in Developmental Disabilities .....			39
0142	Projects of National Significance .....			8
0300	Total, direct program .....	1,501	1,486	2,103
0799	Total direct obligations .....	1,501	1,486	2,103
0801	Reimbursable program - HCFA and Other .....	11	11	11
0802	ADRC's - MIPPA (TRA) .....		5	
0803	Area Agencies on Aging - MIPPA (TRA) .....		8	
0804	MIPPA - Natl Center Benefits Outreach Enrollment .....		5	
0805	SHIPs .....			26
0899	Total reimbursable obligations .....	11	29	37
0900	Total new obligations .....	1,512	1,515	2,140
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	5
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	2	1	5
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,474	1,480	2,043
1120	Appropriations transferred to other accts [12-3507] .....	-2		
1130	Appropriations permanently reduced .....	-3		
1160	Appropriation, discretionary (total) .....	1,469	1,480	2,043
Appropriations, mandatory:				
1200	Appropriation .....	13	10	10
1221	PPHF Appropriations transferred from other accounts [75-0116] .....	20		25
1260	Appropriations, mandatory (total) .....	33	10	35
Spending authority from offsetting collections, discretionary:				
1700	Collected .....			52
1750	Spending auth from offsetting collections, disc (total) .....			52
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	22	29	11
1801	Change in uncollected payments, Federal sources .....	-12		
1850	Spending auth from offsetting collections, mand (total) .....	10	29	11
1900	Budget authority (total) .....	1,512	1,519	2,141
1930	Total budgetary resources available .....	1,514	1,520	2,146
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	1	5	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	679	691	707
3010	Obligations incurred, unexpired accounts .....	1,512	1,515	2,140
3020	Outlays (gross) .....	-1,493	-1,499	-1,889

AGING AND DISABILITY SERVICES PROGRAMS—Continued  
Program and Financing—Continued

Identification code 75-0142-0-1-506	2012 actual	2013 CR	2014 est.
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	691	707	958
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-37	-18	-18
3070 Change in uncollected pymts, Fed sources, unexpired .....	12		
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-18	-18	-18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	642	673	689
3200 Obligated balance, end of year .....	673	689	940

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,469	1,480	2,095
Outlays, gross:			
4010 Outlays from new discretionary authority .....	936	903	1,298
4011 Outlays from discretionary balances .....	522	554	567
4020 Outlays, gross (total) .....	1,458	1,457	1,865
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-52
Mandatory:			
4090 Budget authority, gross .....	43	39	46
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	30	15
4101 Outlays from mandatory balances .....	32	12	9
4110 Outlays, gross (total) .....	35	42	24
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-28	-29	-11
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	12		
4142 Offsetting collections credited to expired accounts .....	6		
4150 Additional offsets against budget authority only (total) .....	18		
4160 Budget authority, net (mandatory) .....	33	10	35
4170 Outlays, net (mandatory) .....	7	13	13
4180 Budget authority, net (total) .....	1,502	1,490	2,078
4190 Outlays, net (total) .....	1,465	1,470	1,826

Note.—The reimbursable program (HCFAC) in the Administration on Aging (AoA) reflects the actual distribution of the allocation account for 2012. Future allocations will be determined annually, and are not estimated in the current budget.

This account provides funding for formula grant programs that provide nutrition, supportive services and caregiver support services through a network of aging-related organizations to help predominantly older Americans, with the assistance of their caregivers, maintain their dignity and independence in their homes and communities. The Budget proposes to transfer the Senior Community Services Employment Program (SCSEP), authorized under title V of the Older Americans Act, from the Department of Labor to the Department of Health and Human Services (HHS), which administers the remainder of the Older Americans Act. SCSEP offers participants work-based community service training so that they can gain on-the-job experience and prepare to enter or to re-enter the workforce. As a part of the proposed transfer to HHS, the Department will make improving program performance, including targeting the SCSEP program to people with the greatest need, a priority. This process is expected to include better aligning the program's eligibility, income, and other requirements with those of other HHS income maintenance programs

Object Classification (in millions of dollars)

Identification code 75-0142-0-1-506	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	12	12	19
12.1 Civilian personnel benefits .....	3	3	5

23.1 Rental payments to GSA .....	2	2	4
25.1 Advisory and assistance services .....	18	13	28
25.3 Other goods and services from Federal sources .....	8	7	9
41.0 Grants, subsidies, and contributions .....	1,458	1,449	2,038
99.0 Direct obligations .....	1,501	1,486	2,103
99.0 Reimbursable obligations .....	11	29	37
99.9 Total new obligations .....	1,512	1,515	2,140

Employment Summary

Identification code 75-0142-0-1-506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	111	111	188
2001 Reimbursable civilian full-time equivalent employment .....	8	8	8

DEPARTMENTAL MANAGEMENT

Federal Funds

OFFICE OF THE SECRETARY

GENERAL DEPARTMENTAL MANAGEMENT

For necessary expenses, not otherwise provided, for general departmental management, including hire of passenger motor vehicles, and for carrying out titles II, III, XVII, and XXI of the PHS Act, the United States-Mexico Border Health Commission Act, and research studies under section 1110 of the Social Security Act, \$301,435,000, together with \$119,841,000 from the amounts available under section 241 of the PHS Act to carry out national health or human services research and evaluation activities: Provided, That of the funds made available under this heading, \$1,750,000 is for strengthening the Department's acquisition workforce capacity and capabilities: Provided further, That with respect to the previous proviso, such funds shall be available for training, recruitment, retention and hiring members of the acquisition workforce as defined by 41 U.S.C. 1703: Provided further, That, with respect to the second proviso, such funds shall be available for information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management: Provided further, That of the amounts provided under this heading from amounts available under section 241 of the PHS Act, no less than \$4,232,000 shall be available to carry out evaluations (including longitudinal evaluations) of teenage pregnancy prevention approaches, and \$53,891,000 shall be for minority AIDS prevention and treatment activities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-9912-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 General Departmental Management .....	475	477	301
0004 Prevention & Public Health Fund (PPHF) (MAND) .....	30		105
0799 Total direct obligations .....	505	477	406
0801 GDM (PHS Evaluation & Collected) .....	166	166	166
0802 HCFAC (Mandatory) .....	9	9	9
0803 PHS Evaluation (Collected) .....	69	70	120
0899 Total reimbursable obligations .....	244	245	295
0900 Total new obligations .....	749	722	701
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	2
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	3	3	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	475	477	301
1130 Appropriations permanently reduced (Rescission) .....	-1		
1160 Appropriation, discretionary (total) .....	474	477	301

1221	Appropriations, mandatory: Appropriations transferred from other accts [75-0116] ....	30	.....	105
1260	Appropriations, mandatory (total) .....	30	.....	105
1700	Spending authority from offsetting collections, discretionary: Collected (PHS Evaluation & Collected) .....	113	235	286
1701	Change in uncollected payments, Federal sources (PHS Evaluation & Collected) .....	125	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	238	235	286
1800	Spending authority from offsetting collections, mandatory: Collected HCFAC (Mandatory) .....	9	9	9
1801	Change in uncollected payments, Federal sources .....	9	.....	.....
1850	Spending auth from offsetting collections, mand (total) .....	9	9	9
1900	Budget authority (total) .....	751	721	701
1930	Total budgetary resources available .....	754	724	703
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-2	.....	.....
1941	Unexpired unobligated balance, end of year .....	3	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	717	623	710
3010	Obligations incurred, unexpired accounts .....	749	722	701
3011	Obligations incurred, expired accounts .....	49	.....	.....
3020	Outlays (gross) .....	-860	-635	-815
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-31	.....	.....
3050	Unpaid obligations, end of year .....	623	710	596
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-226	-233	-233
3070	Change in uncollected pymts, Fed sources, unexpired .....	-134	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	127	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-233	-233	-233
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	491	390	477
3200	Obligated balance, end of year .....	390	477	363
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	712	712	587
Outlays, gross:				
4010	Outlays from new discretionary authority .....	340	339	289
4011	Outlays from discretionary balances .....	485	271	490
4020	Outlays, gross (total) .....	825	610	779
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources (PHS Evaluation & Collected) .....	-219	-235	-286
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-125	.....	.....
4052	Offsetting collections credited to expired accounts .....	106	.....	.....
4060	Additional offsets against budget authority only (total) .....	-19	.....	.....
4070	Budget authority, net (discretionary) .....	474	477	301
4080	Outlays, net (discretionary) .....	606	375	493
Mandatory:				
4090	Budget authority, gross .....	39	9	114
Outlays, gross:				
4100	Outlays from new mandatory authority .....	16	9	23
4101	Outlays from mandatory balances .....	19	16	13
4110	Outlays, gross (total) .....	35	25	36
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources (HCFAC Mandatory) .....	-34	-9	-9
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-9	.....	.....
4142	Offsetting collections credited to expired accounts .....	34	.....	.....
4150	Additional offsets against budget authority only (total) .....	25	.....	.....
4160	Budget authority, net (mandatory) .....	30	.....	105
4170	Outlays, net (mandatory) .....	1	16	27
4180	Budget authority, net (total) .....	504	477	406
4190	Outlays, net (total) .....	607	391	520

Note.—The reimbursable program (HCFAC) in the General Department Management (GDM) account reflects the actual distribution of the allocation account for 2012. Future allocation will be determined annually, and are not estimated in the current budget.

General Departmental Management (GDM) funds activities that provide leadership, policy, legal, and administrative guidance to HHS components, and support research to develop policy initiatives and improve existing HHS programs. GDM also funds the activities of the Office of the Assistant Secretary for Health,

including adolescent health, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and programs funded through the Prevention and Public Health Fund. This includes funding the continuation of grants for medically accurate and age appropriate programs to reduce teen pregnancy, including funds for research, demonstration grants, and replication of programs that have been proven effective through rigorous evaluation to reduce teen pregnancy.

**Object Classification** (in millions of dollars)

Identification code 75-9912-0-1-551	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	92	92	94
11.3	Other than full-time permanent .....	12	12	12
11.5	Other personnel compensation .....	3	3	3
11.7	Military personnel .....	4	4	4
11.9	Total personnel compensation .....	111	111	113
12.1	Civilian personnel benefits .....	28	29	28
12.2	Military personnel benefits .....	2	2	2
21.0	Travel and transportation of persons .....	5	5	4
23.1	Rental payments to GSA .....	18	18	19
23.3	Communications, utilities, and miscellaneous charges .....	4	4	3
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	59	30	23
25.2	Other services from non-Federal sources .....	39	40	31
25.3	Other goods and services from Federal sources .....	75	75	48
25.4	Operation and maintenance of facilities .....	7	7	5
25.7	Operation and maintenance of equipment .....	4	4	4
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	1	.....	1
41.0	Grants, subsidies, and contributions .....	150	150	123
99.0	Direct obligations .....	505	477	406
99.0	Reimbursable obligations .....	244	245	295
99.9	Total new obligations .....	749	722	701

**Employment Summary**

Identification code 75-9912-0-1-551	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	1,043	1,054	1,035
1101	Direct military average strength employment .....	40	37	37
2001	Reimbursable civilian full-time equivalent employment .....	485	418	434
2101	Reimbursable military average strength employment .....	27	17	17

**OFFICE FOR CIVIL RIGHTS**

*For expenses necessary for the Office for Civil Rights, \$42,205,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75-0135-0-1-751	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	41	41	42
0801	Reimbursable program activity .....	4	6	7
0900	Total new obligations .....	45	47	49

**Budgetary Resources:**

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	41	41	42
1160	Appropriation, discretionary (total) .....	41	41	42
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4	6	7
1750	Spending auth from offsetting collections, disc (total) .....	4	6	7
1900	Budget authority (total) .....	45	47	49

OFFICE FOR CIVIL RIGHTS—Continued  
Program and Financing—Continued

Identification code 75-0135-0-1-751	2012 actual	2013 CR	2014 est.
1930 Total budgetary resources available .....	45	47	49
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	12	11
3010 Obligations incurred, unexpired accounts .....	45	47	49
3020 Outlays (gross) .....	-44	-48	-49
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	12	11	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	12	11
3200 Obligated balance, end of year .....	12	11	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	47	49
Outlays, gross:			
4010 Outlays from new discretionary authority .....	37	39	41
4011 Outlays from discretionary balances .....	7	9	8
4020 Outlays, gross (total) .....	44	48	49
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4033 Non-Federal sources .....	-4	-6	-7
4040 Offsets against gross budget authority and outlays (total) ....	-5	-6	-7
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	41	41	42
4080 Outlays, net (discretionary) .....	39	42	42
4180 Budget authority, net (total) .....	41	41	42
4190 Outlays, net (total) .....	39	42	42

The Office for Civil Rights funds activities that carry out the Department's civil rights nondiscrimination and health information privacy and security compliance programs.

Object Classification (in millions of dollars)

Identification code 75-0135-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	21	22	23
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	22	23	24
12.1 Civilian personnel benefits .....	6	5	6
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	3	2	2
25.3 Other goods and services from Federal sources .....	5	6	5
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
99.0 Direct obligations .....	41	41	42
99.0 Reimbursable obligations .....	4	6	7
99.9 Total new obligations .....	45	47	49

Employment Summary

Identification code 75-0135-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	223	220	228
1101 Direct military average strength employment .....	1	2	2
2001 Reimbursable civilian full-time equivalent employment .....	2	3	3

OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION TECHNOLOGY

For expenses necessary for the Office of the National Coordinator for Health Information Technology, including grants, contracts, and cooperative agreements for the development and advancement of interoperable health information technology, \$20,576,000: Provided, That in addition to amounts provided herein, \$56,307,000 shall be available from amounts available under section 241 of the PHS Act: Provided further, That health information technology user fees collected in FY 2014, as provided in this Act, shall be credited to this account as offsetting collections, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 75-0130-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Health information technology .....	16	17	21
0002 Recovery Act activities .....	33		
0799 Total direct obligations .....	49	17	21
0801 Reimbursable program .....	12	7	7
0802 Reimbursable program: PHS evaluation .....	44	45	57
0803 Reimbursable program activity: User Fee .....			1
0899 Total reimbursable obligations .....	56	52	65
0900 Total new obligations .....	105	69	86
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	1	1
1021 Recoveries of prior year unpaid obligations .....	30		
1050 Unobligated balance (total) .....	35	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	16	17	21
1160 Appropriation, discretionary (total) .....	16	17	21
Spending authority from offsetting collections, discretionary:			
1700 PHS and Other .....	47	52	64
1700 User Fee .....			1
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	56	52	65
1900 Budget authority (total) .....	72	69	86
1930 Total budgetary resources available .....	107	70	87
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,553	977	414
3010 Obligations incurred, unexpired accounts .....	105	69	86
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-648	-632	-441
3040 Recoveries of prior year unpaid obligations, unexpired .....	-30		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	977	414	59
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-44	-23	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071 Change in uncollected pymts, Fed sources, expired .....	30	20	
3090 Uncollected pymts, Fed sources, end of year .....	-23	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,509	954	411
3200 Obligated balance, end of year .....	954	411	56

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	72	69	86
Outlays, gross:			
4010 Outlays from new discretionary authority .....	46	66	83
4011 Outlays from discretionary balances .....	599	563	358
4020 Outlays, gross (total) .....	645	629	441

Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-78	-52	-64
4033	Non-Federal sources .....			-1
4040	Offsets against gross budget authority and outlays (total) ....	-78	-52	-65
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052	Offsetting collections credited to expired accounts .....	31		
4060	Additional offsets against budget authority only (total) .....	22		
4070	Budget authority, net (discretionary) .....	16	17	21
4080	Outlays, net (discretionary) .....	567	577	376
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	3	3	
4180	Budget authority, net (total) .....	16	17	21
4190	Outlays, net (total) .....	570	580	376

This program supports coordination, leadership, and development of Federal health information technology activities and Federal initiatives for the nationwide advancement of private and secure interoperable health information technology, in cooperation with participants in the health sector. The Office of the National Coordinator for Health Information Technology was established in the Health Information Technology for Economic and Clinical Health Act (P.L. 111-5, Title XIII), for the purpose of addressing strategic planning, coordination, and the analysis of key technical, economic and other issues related to the public and private adoption of health information technology.

**Object Classification** (in millions of dollars)

Identification code 75-0130-0-1-551	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	3		
12.1	Civilian personnel benefits .....	1		
23.1	Rental payments to GSA .....	3	2	3
25.1	Advisory and assistance services .....		1	1
25.2	Other services from non-Federal sources .....	5	6	8
25.3	Other goods and services from Federal sources .....	6	7	8
25.4	Operation and maintenance of facilities .....	2	1	1
41.0	Grants, subsidies, and contributions .....	29		
99.0	Direct obligations .....	49	17	21
99.0	Reimbursable obligations .....	56	52	65
99.9	Total new obligations .....	105	69	86

**Employment Summary**

Identification code 75-0130-0-1-551	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	158	190	190
1101	Direct military average strength employment .....	1	1	1

**OFFICE OF MEDICARE HEARINGS AND APPEALS**

For expenses necessary for the Office of Medicare Hearings and Appeals, \$82,381,000, to be transferred in appropriate part from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75-0139-0-1-551	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	71	72	82

**Budgetary Resources:**

Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	72	72	82
1750	Spending auth from offsetting collections, disc (total) .....	72	72	82
1930	Total budgetary resources available .....	72	72	82
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	15	14	
3010	Obligations incurred, unexpired accounts .....	71	72	82
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-70	-86	-82
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	14		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	15	14	
3200	Obligated balance, end of year .....	14		

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	72	72	82
Outlays, gross:				
4010	Outlays from new discretionary authority .....	62	72	82
4011	Outlays from discretionary balances .....	8	14	
4020	Outlays, gross (total) .....	70	86	82
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-72	-72	-82
4190	Outlays, net (total) .....	-2	14	

This appropriation funds the operations of the Office of Medicare Hearings and Appeals (OMHA), as authorized by the Medicare Prescription Drug, Improvement, and Modernization Act of 2003. OMHA provides an independent and impartial forum for the adjudication of claims brought by or on behalf of Medicare beneficiaries related to their benefits and care.

**Object Classification** (in millions of dollars)

Identification code 75-0139-0-1-551	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	39	42	45
12.1	Civilian personnel benefits .....	12	13	14
22.0	Transportation of things .....			1
23.1	Rental payments to GSA .....	7	6	7
23.3	Communications, utilities, and miscellaneous charges .....	1	3	4
25.1	Advisory and assistance services .....	1		
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	9	5	5
25.4	Operation and maintenance of facilities .....		1	1
25.7	Operation and maintenance of equipment .....			1
26.0	Supplies and materials .....	1		1
31.0	Equipment .....		1	2
99.9	Total new obligations .....	71	72	82

**Employment Summary**

Identification code 75-0139-0-1-551	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	466	490	514

**PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND**

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary to support activities related to countering potential biological, nuclear, radiological, chemical, and cybersecurity threats to civilian populations, and for other public health emergencies, \$858,521,000, of which \$415,130,000 shall remain available through September 30, 2015, for expenses necessary to support advanced research and development pursuant to section 319L of the PHS Act, and other administrative expenses of the Biomedical Advanced Research and Develop-

**PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND—Continued**  
*ment Authority, and of which up to \$5,000,000 shall remain available through September 30, 2016, to support the delivery of medical countermeasures and shall be in addition to any other amounts available for such purpose: Provided, That funds provided under this heading for the purpose of acquisition of security countermeasures shall be in addition to any other funds available for such purpose: Provided further, That products purchased with funds provided under this heading may, at the discretion of the Secretary, be deposited in the Strategic National Stockpile pursuant to section 319F-2 of the PHS Act: Provided further, That \$5,000,000 of the amounts made available to support emergency operations shall remain available through September 30, 2016: Provided further, That of the amounts made available to support advanced research and development, \$20,000,000 shall remain available through September 30, 2015, for the purposes of establishing and funding, using for either such purpose contracts, grants, cooperative agreements, or other transactions as described in section 319L of the PHS Act (42 U.S.C. 247d-7e), a strategic investment corporation, which shall not be deemed to be a Federal agency for any purpose, to further the purposes of such section 319L to foster innovation in the development of medical countermeasures.*

*For necessary expenses for procuring security countermeasures (as defined in section 319F-2(c)(1)(B) of the PHS Act), \$250,000,000, to remain available until expended.*

*For expenses necessary to prepare for and respond to an influenza pandemic, \$140,009,000; of which \$108,000,000 shall be available until expended, for activities including the development and purchase of vaccine, antivirals, necessary medical supplies, diagnostics, and other surveillance tools: Provided further, That notwithstanding section 496(b) of the PHS Act, funds may be used for the construction or renovation of privately owned facilities for the production of pandemic influenza vaccines and other biologics, if the Secretary finds such construction or renovation necessary to secure sufficient supplies of such vaccines or biologics: Provided further, That funds appropriated in this paragraph may be transferred to other appropriation accounts of the Department of Health and Human Services, as determined by the Secretary to be appropriate, to be used for the purposes specified in this paragraph.*

*In addition, for expenses necessary for replacement of building leases and associated renovation costs for Public Health Service agencies and other components of the Department of Health and Human Services, including relocation and fit-out costs, \$41,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 75-0140-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Public Health and Social Services Emergency Fund .....	1,749	2,305	881
0801 Reimbursable program .....	36	60	60
0900 Total new obligations .....	1,785	2,365	941
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,414	2,349	808
1010 Unobligated balance transfer to other accts [75-0343] .....	-3	-3	-3
1021 Recoveries of prior year unpaid obligations .....	120		
1050 Unobligated balance (total) .....	3,531	2,346	805
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	569	1,372	1,290
1120 Appropriations transferred to other accts [75-1534] .....		-500	
1120 Appropriations transferred to other accts [75-1536] .....		-100	
1120 Appropriations transferred to other accts [75-0128] .....		-5	
1130 Appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	568	767	1,290
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	60	60
1701 Change in uncollected payments, Federal sources .....	35		
1750 Spending auth from offsetting collections, disc (total) .....	36	60	60

1900 Budget authority (total) .....	604	827	1,350
1930 Total budgetary resources available .....	4,135	3,173	2,155
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	2,349	808	1,214
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,611	4,455	4,931
3010 Obligations incurred, unexpired accounts .....	1,785	2,365	941
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-1,795	-1,889	-1,999
3040 Recoveries of prior year unpaid obligations, unexpired .....	-120		
3041 Recoveries of prior year unpaid obligations, expired .....	-28		
3050 Unpaid obligations, end of year .....	4,455	4,931	3,873
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-290	-241	-241
3070 Change in uncollected pymts, Fed sources, unexpired .....	-35		
3071 Change in uncollected pymts, Fed sources, expired .....	84		
3090 Uncollected pymts, Fed sources, end of year .....	-241	-241	-241
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,321	4,214	4,690
3200 Obligated balance, end of year .....	4,214	4,690	3,632
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	604	827	1,350
Outlays, gross:			
4010 Outlays from new discretionary authority .....	147	248	431
4011 Outlays from discretionary balances .....	1,648	1,641	1,568
4020 Outlays, gross (total) .....	1,795	1,889	1,999
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-81	-60	-60
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-35		
4052 Offsetting collections credited to expired accounts .....	80		
4060 Additional offsets against budget authority only (total) .....	45		
4070 Budget authority, net (discretionary) .....	568	767	1,290
4080 Outlays, net (discretionary) .....	1,714	1,829	1,939
4180 Budget authority, net (total) .....	568	767	1,290
4190 Outlays, net (total) .....	1,714	1,829	1,939

The Public Health and Social Services Emergency Fund (PHSSEF) provides resources to support a comprehensive program to prepare for the health and medical consequences of bioterrorism or other public health emergencies. This account includes funding for the Office of the Assistant Secretary for Preparedness and Response (ASPR), as authorized by the Pandemic and All-Hazards Preparedness Act. Funds will be used for hospital preparedness and other emergency preparedness activities including the National Disaster Medical System. The PHSSEF also supports the HHS Cyber Security and Security and Strategic Information programs, and the Medical Reserve Corps.

The PHSSEF also continues to support the advanced development and procurement of biodefense and pandemic influenza countermeasures.

A Strategic Investor corporation will be created to make investments in the private sector with specific focus on disruptive technologies including novel antimicrobials and multi-use platform technologies for diagnostics and medical countermeasures.

Resources are also being provided for the Department's consolidation of lease replacements and renovation costs for the National Capital Region.

**Object Classification** (in millions of dollars)

Identification code 75-0140-0-1-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	57	57	58
11.3 Other than full-time permanent .....	14	14	15
11.7 Military personnel .....	9	9	9
11.9 Total personnel compensation .....	80	80	82

12.1	Civilian personnel benefits .....	21	21	21
12.2	Military personnel benefits .....	4	4	4
21.0	Travel and transportation of persons .....	8	6	3
22.0	Transportation of things .....	10	9	7
23.1	Rental payments to GSA .....	11	10	10
23.3	Communications, utilities, and miscellaneous charges .....	5	5	4
25.1	Advisory and assistance services .....	281	279	62
25.2	Other services from non-Federal sources .....	37	36	26
25.3	Other goods and services from Federal sources .....	525	498	103
25.4	Operation and maintenance of facilities .....	3	3	3
25.5	Research and development contracts .....	176	786	226
25.7	Operation and maintenance of equipment .....	43	43	31
26.0	Supplies and materials .....	48	40	17
31.0	Equipment .....	32	30	24
32.0	Land and structures .....	25	15	15
41.0	Grants, subsidies, and contributions .....	440	440	243
99.0	Direct obligations .....	1,749	2,305	881
99.0	Reimbursable obligations .....	36	60	60
99.9	Total new obligations .....	1,785	2,365	941

**Employment Summary**

Identification code 75-0140-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	551	567	608
1101 Direct military average strength employment .....	75	83	83

**TRANSFERS FROM THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 75-0145-0-1-552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 AHRQ .....	8	43	75
0802 Office of the Secretary .....	3	19	25
0900 Total new obligations .....	11	62	100
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	28	42
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	30	76	125
1850 Spending auth from offsetting collections, mand (total) .....	30	76	125
1930 Total budgetary resources available .....	39	104	167
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	42	67
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	9	61
3010 Obligations incurred, unexpired accounts .....	11	62	100
3020 Outlays (gross) .....	-3	-10	-35
3050 Unpaid obligations, end of year .....	9	61	126
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	9	61
3200 Obligated balance, end of year .....	9	61	126
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	30	76	125
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	2	4
4101 Outlays from mandatory balances .....	2	8	31
4110 Outlays, gross (total) .....	3	10	35
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-30	-76	-125
4190 Outlays, net (total) .....	-27	-66	-90

Public Law 111-148 established the Patient-Centered Outcomes Research Trust Fund (PCORTF). Beginning in FY 2011, a total of 20 percent of the funds appropriated or credited to the PCORTF will be transferred each year to the Department of Health and Human Services (HHS). As authorized in section 937 of the

Public Health Service Act, HHS will disseminate research findings from the Patient-Centered Outcomes Research Institute and other government-funded comparative clinical effectiveness research and build research and data capacity for comparative clinical effectiveness research. Transferred funds will be distributed to the Secretary of HHS and the Agency for Healthcare Research and Quality to carry out these activities.

**Object Classification** (in millions of dollars)

Identification code 75-0145-0-1-552	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	3	19	25
Allocation Account - reimbursable:			
11.1 Personnel compensation: Full-time permanent .....		2	3
25.5 Research and development contracts .....	8	41	72
99.0 Allocation account - reimbursable .....	8	43	75
99.9 Total new obligations .....	11	62	100

**Employment Summary**

Identification code 75-0145-0-1-552	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1	2	2

**PREVENTION AND WELLNESS FUND, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 75-0144-0-1-551	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	2	2
3020 Outlays (gross) .....	-14		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	14		
4190 Outlays, net (total) .....	14		

The Prevention and Wellness Fund is authorized under the American Recovery and Reinvestment Act (ARRA), (P.L. 111-5). The Prevention and Wellness Fund administers evidence-based clinical and community-based prevention and wellness strategies.

**NONRECURRING EXPENSES FUND**

The Nonrecurring Expenses Fund is a no-year account that captures expired unobligated balances from discretionary accounts prior to cancellation. The Fund will be used for capital acquisition, including facilities infrastructure and information technology infrastructure.

**HEALTH INSURANCE REFORM IMPLEMENTATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 75-0119-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	333	241	

HEALTH INSURANCE REFORM IMPLEMENTATION FUND—Continued  
Program and Financing—Continued

Identification code 75-0119-0-1-551	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	565	241	.....
1021 Recoveries of prior year unpaid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	574	241	.....
1930 Total budgetary resources available .....	574	241	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	241	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	205	265	188
3010 Obligations incurred, unexpired accounts .....	333	241	.....
3020 Outlays (gross) .....	-264	-318	-163
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9	.....	.....
3050 Unpaid obligations, end of year .....	265	188	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	205	265	188
3200 Obligated balance, end of year .....	265	188	25
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	264	318	163
4190 Outlays, net (total) .....	264	318	163

Section 1005 of the Health Care and Education Reconciliation Act of 2010 (P.L. 111-152) appropriated \$1,000,000,000 to the Health Insurance Reform Implementation Fund within the Department of Health and Human Services. The Fund shall be used for Federal administrative expenses necessary to carry out the requirements of the Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) and the Health Care and Education Reconciliation Act of 2010.

Object Classification (in millions of dollars)

Identification code 75-0119-0-1-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	.....	.....
12.1 Civilian personnel benefits .....	1	.....	.....
25.2 Other services from non-Federal sources .....	25	237	.....
25.3 Other goods and services from Federal sources .....	.....	4	.....
99.0 Direct obligations .....	27	241	.....
Allocation Account - direct:			
Personnel compensation:			
11.1 Full-time permanent .....	71	.....	.....
11.3 Other than full-time permanent .....	1	.....	.....
11.5 Other personnel compensation .....	1	.....	.....
11.9 Total personnel compensation .....	73	.....	.....
12.1 Civilian personnel benefits .....	20	.....	.....
21.0 Travel and transportation of persons .....	2	.....	.....
25.1 Advisory and assistance services .....	181	.....	.....
25.2 Other services from non-Federal sources .....	9	.....	.....
31.0 Equipment .....	20	.....	.....
99.0 Allocation account - direct .....	305	.....	.....
99.5 Below reporting threshold .....	1	.....	.....
99.9 Total new obligations .....	333	241	.....

Employment Summary

Identification code 75-0119-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	16	.....	.....

PREVENTION AND PUBLIC HEALTH FUND  
Program and Financing (in millions of dollars)

Identification code 75-0116-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	.....	1,000	.....
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1,000	1,250	1,250
1220 Appropriations transferred to other accts [75-0142] .....	-20	.....	-25
1220 Appropriations transferred to other accts [75-1700] .....	-12	.....	.....
1220 Appropriations transferred to other accts [75-0943] .....	-809	.....	-755
1220 Appropriations transferred to other accts [75-9912] .....	-30	.....	-105
1220 Appropriations transferred to other accts [75-0350] .....	-37	.....	-57
1220 Appropriations transferred to other accts [75-1362] .....	-92	.....	-58
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	.....	-250	-250
1260 Appropriations, mandatory (total) .....	.....	1,000	.....
1930 Total budgetary resources available .....	.....	1,000	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	890
3010 Obligations incurred, unexpired accounts .....	.....	1,000	.....
3020 Outlays (gross) .....	.....	-110	-800
3050 Unpaid obligations, end of year .....	.....	890	90
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	890
3200 Obligated balance, end of year .....	.....	890	90
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	1,000	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	110	.....
4101 Outlays from mandatory balances .....	.....	.....	800
4110 Outlays, gross (total) .....	.....	110	800
4180 Budget authority, net (total) .....	.....	1,000	.....
4190 Outlays, net (total) .....	.....	110	800

The Affordable Care Act, (P.L. 111-148) establishes the Prevention and Public Health Fund as a mandatory appropriation for prevention and public health activities. For FY 2014, \$1.00 billion is available within the Fund to support a range of public health efforts intended to prevent disease and reduce health care costs. The Secretary has authority to transfer to accounts within HHS.

Object Classification (in millions of dollars)

Identification code 75-0116-0-1-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	.....	250	.....
41.0 Grants, subsidies, and contributions .....	.....	750	.....
99.9 Total new obligations .....	.....	1,000	.....

PREGNANCY ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 75-0117-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	25	25	25
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	25	25	25
1260 Appropriations, mandatory (total) .....	25	25	25
1930 Total budgetary resources available .....	25	25	25

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	40	39	39
3010	Obligations incurred, unexpired accounts .....	25	25	25
3020	Outlays (gross) .....	-26	-25	-21
3050	Unpaid obligations, end of year .....	39	39	43
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	40	39	39
3200	Obligated balance, end of year .....	39	39	43
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross .....	25	25	25
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	2	2
4101	Outlays from mandatory balances .....	25	23	19
4110	Outlays, gross (total) .....	26	25	21
4180	Budget authority, net (total) .....	25	25	25
4190	Outlays, net (total) .....	26	25	21

For awarding competitive grants to States to assist pregnant and parenting teens and women.

**Object Classification** (in millions of dollars)

Identification code 75-0117-0-1-551	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.3	Other goods and services from Federal sources .....	1	1	1
41.0	Grants, subsidies, and contributions .....	24	24	24
99.9	Total new obligations .....	25	25	25

**SECTION 241 EVALUATION TRANSACTIONS ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 75-3902-0-1-552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	Reimbursable program activity .....	530	1,331
0809	Reimbursable program activities, subtotal .....	530	1,331
0900	Total new obligations (object class 25.3) .....	530	1,331
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	530	1,331
1750	Spending auth from offsetting collections, disc (total) .....	530	1,331
1930	Total budgetary resources available .....	530	1,331
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	530	1,331
3020	Outlays (gross) .....	-530	-1,331
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	530	1,331
Outlays, gross:			
4010	Outlays from new discretionary authority .....	530	1,331
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-530	-1,331

The Public Health Service Act (PHS) Evaluation Transactions account supports the execution of section 241 of the PHS Act.

**Object Classification** (in millions of dollars)

Identification code 75-3902-0-1-552	2012 actual	2013 CR	2014 est.
99.0	Reimbursable obligations .....	530	1,331

**PROGRAM SUPPORT CENTER**  
**Federal Funds**

**RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS**

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, and for medical care of dependents and retired personnel under the Dependents' Medical Care Act, such amounts as may be required during the current fiscal year.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 75-0379-0-1-551	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Retirement payments .....	405	394	415
0002	Survivors' benefits .....	25	27	28
0003	Medical care .....	94	101	108
0900	Total new obligations .....	524	522	551
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	524	522	551
1260	Appropriations, mandatory (total) .....	524	522	551
1930	Total budgetary resources available .....	524	522	551

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	29	101	31
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....		-31	
3010	Obligations incurred, unexpired accounts .....	524	522	551
3011	Obligations incurred, expired accounts .....	10		
3020	Outlays (gross) .....	-453	-561	-549
3041	Recoveries of prior year unpaid obligations, expired .....	-9		
3050	Unpaid obligations, end of year .....	101	31	33
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	29	70	31
3200	Obligated balance, end of year .....	101	31	33

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	524	522	551
Outlays, gross:				
4100	Outlays from new mandatory authority .....	440	496	523
4101	Outlays from mandatory balances .....	13	65	26
4110	Outlays, gross (total) .....	453	561	549
4180	Budget authority, net (total) .....	524	522	551
4190	Outlays, net (total) .....	453	561	549

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	524	522	551
Outlays .....	453	561	549
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-1
Outlays .....			-1
Total:			
Budget Authority .....	524	522	550
Outlays .....	453	561	548

The number of beneficiaries using the Commissioned Corps system is estimated as follows:

**Retirement Pay, Survivor Benefits, and Medical Benefits**

	2012	2013	2014
Active Duty:			
HHS .....	4888	4935	4938

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS—Continued

Retirement Pay, Survivor Benefits, and Medical Benefits—Continued

	2012	2013	2014
DOJ, BOP .....	812	845	845
Homeland Security .....	474	509	509
EPA .....	74	70	70
All Other .....	380	407	407
<b>Total Active Duty .....</b>	<b>6628</b>	<b>6766</b>	<b>6769</b>
<b>Retirees &amp; Survivors:</b>			
Retirees .....	5430	5565	5700
Retiree family members and survivors .....	979	1000	1021
<b>Total Retirement Pay .....</b>	<b>6409</b>	<b>6565</b>	<b>6721</b>
<b>Total Beneficiaries (active duty, retirees, survivors) .....</b>	<b>13037</b>	<b>13331</b>	<b>13490</b>

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical benefits for active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

Object Classification (in millions of dollars)

Identification code 75-0379-0-1-551	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
13.0 Benefits for former personnel .....	430	421	443
25.6 Medical care .....	94	101	108
<b>99.9 Total new obligations .....</b>	<b>524</b>	<b>522</b>	<b>551</b>

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0379-4-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			-1
<b>0900 Total new obligations (object class 25.6) .....</b>			<b>-1</b>

Budgetary Resources:

<b>Budget authority:</b>			
Appropriations, mandatory:			
1200	Appropriation .....		-1
1260	Appropriations, mandatory (total) .....		-1
1930	Total budgetary resources available .....		-1

Change in obligated balance:

<b>Unpaid obligations:</b>			
3010	Obligations incurred, unexpired accounts .....		-1
3020	Outlays (gross) .....		1

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090	Budget authority, gross .....		-1
<b>Outlays, gross:</b>			
4100	Outlays from new mandatory authority .....		-1
4180	Budget authority, net (total) .....		-1
4190	Outlays, net (total) .....		-1

HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH CARE FUND

Program and Financing (in millions of dollars)

Identification code 75-0170-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Medicare eligible accruals .....	36	29	28
<b>0900 Total new obligations (object class 12.2) .....</b>	<b>36</b>	<b>29</b>	<b>28</b>

Budgetary Resources:

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100	Appropriation .....	36	29
1160	Appropriation, discretionary (total) .....	36	29
1930	Total budgetary resources available .....	36	29

Change in obligated balance:

<b>Unpaid obligations:</b>			
3010	Obligations incurred, unexpired accounts .....	36	29
3020	Outlays (gross) .....	-36	-29

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000	Budget authority, gross .....	36	29
<b>Outlays, gross:</b>			
4010	Outlays from new discretionary authority .....	36	29
4180	Budget authority, net (total) .....	36	29
4190	Outlays, net (total) .....	36	29

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	36	29	28
Outlays .....	36	29	28
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-2
Outlays .....			-2
<b>Total:</b>			
Budget Authority .....	36	29	26
Outlays .....	36	29	26

The cost of medical benefits for Medicare-eligible beneficiaries is paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C., ch. 56). Beginning in 2006, permanent indefinite authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. No. 108-375, section 725).

HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH CARE FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0170-2-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct Program Activity .....			-2
<b>0900 Total new obligations (object class 12.2) .....</b>			<b>-2</b>

Budgetary Resources:

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100	Appropriation .....		-2
1160	Appropriation, discretionary (total) .....		-2
1930	Total budgetary resources available .....		-2

Change in obligated balance:

<b>Unpaid obligations:</b>			
3010	Obligations incurred, unexpired accounts .....		-2
3020	Outlays (gross) .....		2

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000	Budget authority, gross .....		-2
<b>Outlays, gross:</b>			
4010	Outlays from new discretionary authority .....		-2
4180	Budget authority, net (total) .....		-2
4190	Outlays, net (total) .....		-2

HEALTH ACTIVITIES FUNDS

Program and Financing (in millions of dollars)

Identification code 75-9913-0-1-552	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

This display shows activities in support of scientific activities overseas that were supported by foreign currencies by the United States abroad.

HHS SERVICE AND SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 75-9941-0-4-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Program Support Center .....	887	775	785
0802 OS activities .....	116	309	318
0900 Total new obligations .....	1,003	1,084	1,103

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	216	103	103
1021 Recoveries of prior year unpaid obligations .....	67		
1050 Unobligated balance (total) .....	283	103	103
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,019	1,084	1,103
1701 Change in uncollected payments, Federal sources .....	-196		
1750 Spending auth from offsetting collections, disc (total) .....	823	1,084	1,103
1930 Total budgetary resources available .....	1,106	1,187	1,206
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	103	103	103

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	415	509	25
3010 Obligations incurred, unexpired accounts .....	1,003	1,084	1,103
3020 Outlays (gross) .....	-842	-1,568	-1,103
3040 Recoveries of prior year unpaid obligations, unexpired .....	-67		
3050 Unpaid obligations, end of year .....	509	25	25
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-481	-285	-285
3070 Change in uncollected pymts, Fed sources, unexpired .....	196		
3090 Uncollected pymts, Fed sources, end of year .....	-285	-285	-285
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-66	224	-260
3200 Obligated balance, end of year .....	224	-260	-260

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	823	1,084	1,103
Outlays, gross:			
4010 Outlays from new discretionary authority .....	600	1,084	1,103
4011 Outlays from discretionary balances .....	242	484	
4020 Outlays, gross (total) .....	842	1,568	1,103
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,012	-1,084	-1,103
4033 Non-Federal sources .....	-7		
4040 Offsets against gross budget authority and outlays (total) .....	-1,019	-1,084	-1,103
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	196		
4080 Outlays, net (discretionary) .....	-177	484	
4190 Outlays, net (total) .....	-177	484	

HHS Service and Supply Fund (SSF) provides a wide range of logistical and support services to components of the Department and other Federal agencies. The Program Support Center includes activities such as personnel and payroll support, information technology, financial management operations, and administrative services, including acquisitions management, building and property management, telecommunication services, medical supplies repackaging and distribution services, and the Federal Occupational Health Service. The Office of Secretary activities include the fund manager, departmental contracts, audit resolutions, Commissioned Corps force management, web management, claims, acquisition integration and modernization, small business, grants tracking, the physical access aspects of the Department's implementation of Homeland Security Presidential Directive 12, and commercial services management.

Most Commissioned Corps officers work for agencies in the Department of Health and Human Services and are reflected in the agencies' personnel summaries. However, some officers are assigned to other Federal agencies. The allocation account section in the following personnel summary shows officers assigned to other agencies, which are paid directly by that agency, either through an allocation account or by directly citing that agency's appropriation.

Object Classification (in millions of dollars)

Identification code 75-9941-0-4-551	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	110	103	103
11.3 Other than full-time permanent .....	4	2	2
11.5 Other personnel compensation .....	2	3	3
11.7 Military personnel .....	8	10	10
11.8 Special personal services payments .....	10		
11.9 Total personnel compensation .....	134	118	118
12.1 Civilian personnel benefits .....	32	29	29
12.2 Military personnel benefits .....	4	2	2
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	3	4	4
23.1 Rental payments to GSA .....	25	22	22
23.3 Communications, utilities, and miscellaneous charges .....	32	6	6
24.0 Printing and reproduction .....	2	3	3
25.1 Advisory and assistance services .....	36	56	57
25.2 Other services from non-Federal sources .....	480	612	625
25.3 Other goods and services from Federal sources .....	127	69	71
25.4 Operation and maintenance of facilities .....	18	7	7
25.6 Medical care .....	14	29	29
25.7 Operation and maintenance of equipment .....	51	92	94
26.0 Supplies and materials .....	41	31	32
31.0 Equipment .....	2	2	2
99.9 Total new obligations .....	1,003	1,084	1,103

Employment Summary

Identification code 75-9941-0-4-551	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,280	1,166	1,168
2101 Reimbursable military average strength employment .....	94	94	94
3101 Allocation account military average strength employment .....	1,665	1,761	1,761

Trust Funds

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75-9971-0-7-551	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			3
Receipts:			
0220 Contributions, Indian Health Facilities .....	48	48	48
0221 Contributions, N.I.H., Unconditional Gift Fund .....	3	3	3
0222 Centers for Disease Control, Gifts and Donations .....	15	15	15
0223 Contributions, N.I.H., Conditional Gift Fund .....	27	27	27

MISCELLANEOUS TRUST FUNDS—Continued  
Special and Trust Fund Receipts—Continued

Identification code 75-9971-0-7-551	2012 actual	2013 CR	2014 est.
0224 Contributions to the Indian Health Service Gift Fund .....	1	1	1
0299 Total receipts and collections .....	94	94	94
0400 Total: Balances and collections .....	94	94	97
Appropriations:			
0500 Miscellaneous Trust Funds .....	-94	-91	-91
0799 Balance, end of year .....		3	6

Program and Financing (in millions of dollars)

Identification code 75-9971-0-7-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Gifts .....	55	57	57
0003 Contributions, Indian Health Facilities .....	33	35	35
0900 Total new obligations .....	88	92	92
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	114	133	132
1021 Recoveries of prior year unpaid obligations .....	13		
1050 Unobligated balance (total) .....	127	133	132
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	94	91	91
1260 Appropriations, mandatory (total) .....	94	91	91
1930 Total budgetary resources available .....	221	224	223
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	133	132	131
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	76	127
3010 Obligations incurred, unexpired accounts .....	88	92	92
3020 Outlays (gross) .....	-60	-41	-56
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13		
3050 Unpaid obligations, end of year .....	76	127	163
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	61	76	127
3200 Obligated balance, end of year .....	76	127	163

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	94	91	91
Outlays, gross:			
4100 Outlays from new mandatory authority .....	22	9	9
4101 Outlays from mandatory balances .....	38	32	47
4110 Outlays, gross (total) .....	60	41	56
4180 Budget authority, net (total) .....	94	91	91
4190 Outlays, net (total) .....	60	41	56

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	28	25	25
5001 Total investments, EOY: Federal securities: Par value .....	25	25	25

(in millions of dollars)

	2012	2013	2014
Distribution of budget authority by account:			
Gifts .....	55	57	57
Contributions, Indian Health Facilities .....	33	35	35
Distribution of outlays by account:			
Gifts .....	30	21	28
Contributions, Indian Health Facilities .....	30	20	28

Gifts to the Public Health Service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

Object Classification (in millions of dollars)

Identification code 75-9971-0-7-551	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	2	2	2
11.3 Other than full-time permanent .....	1	1	1
11.8 Special personal services payments .....	1		
11.9 Total personnel compensation .....	4	3	3
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	3	3	3
25.2 Other services from non-Federal sources .....	13	13	13
25.3 Other goods and services from Federal sources .....	14	14	14
25.5 Research and development contracts .....	8	8	8
25.6 Medical care .....	1	1	1
26.0 Supplies and materials .....	6	6	6
31.0 Equipment .....	5	6	6
41.0 Grants, subsidies, and contributions .....	32	36	36
99.9 Total new obligations .....	88	92	92

Employment Summary

Identification code 75-9971-0-7-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	27	27	27

OFFICE OF THE INSPECTOR GENERAL

Federal Funds

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, including the hire of passenger motor vehicles for investigations, in carrying out the provisions of the Inspector General Act of 1978, \$68,879,000: Provided, That of such amount, necessary sums shall be available for providing protective services to the Secretary of Health and Human Services and investigating non-payment of child support cases for which non-payment is a Federal offense under 18 U.S.C. 228.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 75-0128-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	74	53	70
0801 HCFCAC Reimbursable program .....	216	222	214
0802 Direct Reimbursable program .....	19	24	24
0803 HCFCAC Discretionary allocation adjustment .....	24	103	114
0899 Total reimbursable obligations .....	259	349	352
0900 Total new obligations .....	333	402	422

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	44	9	25
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	5		
1012 Unobligated balance transfers between expired and unexpired accounts .....	7	10	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	52	19	25
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	50	69
1121 Appropriations transferred from other accts [75-0140] .....		5	
1160 Appropriation, discretionary (total) .....	50	55	69
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	29	140	132
1701 Change in uncollected payments, Federal sources .....	14		
1750 Spending auth from offsetting collections, disc (total) .....	43	140	132

Spending authority from offsetting collections, mandatory:				
1800	Collected .....	195	213	212
1801	Change in uncollected payments, Federal sources .....	13		
1850	Spending auth from offsetting collections, mand (total) .....	208	213	212
1900	Budget authority (total) .....	301	408	413
1930	Total budgetary resources available .....	353	427	438
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-11		
1941	Unexpired unobligated balance, end of year .....	9	25	16
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	61	56	51
3010	Obligations incurred, unexpired accounts .....	333	402	422
3011	Obligations incurred, expired accounts .....	4		
3020	Outlays (gross) .....	-336	-407	-412
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-5		
3050	Unpaid obligations, end of year .....	56	51	61
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-73	-61	-61
3070	Change in uncollected pymts, Fed sources, unexpired .....	-27		
3071	Change in uncollected pymts, Fed sources, expired .....	39		
3090	Uncollected pymts, Fed sources, end of year .....	-61	-61	-61
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-12	-5	-10
3200	Obligated balance, end of year .....	-5	-10	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	93	195	201
Outlays, gross:				
4010	Outlays from new discretionary authority .....	82	184	191
4011	Outlays from discretionary balances .....	19	7	9
4020	Outlays, gross (total) .....	101	191	200
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-66	-140	-132
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-14		
4052	Offsetting collections credited to expired accounts .....	37		
4060	Additional offsets against budget authority only (total) .....	23		
4070	Budget authority, net (discretionary) .....	50	55	69
4080	Outlays, net (discretionary) .....	35	51	68
Mandatory:				
4090	Budget authority, gross .....	208	213	212
Outlays, gross:				
4100	Outlays from new mandatory authority .....	177	213	212
4101	Outlays from mandatory balances .....	58	3	
4110	Outlays, gross (total) .....	235	216	212
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-157	-201	-200
4123	Non-Federal sources .....	-20	-12	-12
4130	Offsets against gross budget authority and outlays (total) .....	-177	-213	-212
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-13		
4142	Offsetting collections credited to expired accounts .....	-18		
4150	Additional offsets against budget authority only (total) .....	-31		
4170	Outlays, net (mandatory) .....	58	3	
4180	Budget authority, net (total) .....	50	55	69
4190	Outlays, net (total) .....	93	54	68

The Office of Inspector General (OIG) is an independent and objective oversight unit of the U.S. Department of Health and Human Services (HHS) that promotes economy, efficiency, and effectiveness through preventing and detecting fraud, waste, and abuse in the Department's programs and operations. OIG fulfills its mission through a broad range of audits, evaluations, investigations, and enforcement and compliance activities. In addition to discretionary appropriations, OIG receives funds through the Health Care Fraud and Abuse Control (HCFAC) account created by the Health Insurance Portability and Accountability Act of 1996.

**Object Classification** (in millions of dollars)

Identification code 75-0128-0-1-551	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	40	28	36
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	41	29	37
12.1	Civilian personnel benefits .....	14	10	13
21.0	Travel and transportation of persons .....	2	1	2
22.0	Transportation of things .....	1		1
23.1	Rental payments to GSA .....	3	5	3
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services from non-Federal sources .....	2	1	3
25.3	Other goods and services from Federal sources .....	5	4	7
25.4	Operation and maintenance of facilities .....	2	1	1
25.7	Operation and maintenance of equipment .....	1		
26.0	Supplies and materials .....	1		
31.0	Equipment .....	1	1	2
99.0	Direct obligations .....	74	53	70
99.0	Reimbursable obligations .....	259	349	352
99.9	Total new obligations .....	333	402	422

**Employment Summary**

Identification code 75-0128-0-1-551	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	404	273	346
2001	Reimbursable civilian full-time equivalent employment .....	1,369	1,610	1,684

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Centers for Medicare and Medicaid Services "Health Care Fraud and Abuse Control Account."

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.	
<b>Offsetting receipts from the public:</b>				
75-143500	General Fund Proprietary Interest Receipts, not Otherwise Classified .....	93	90	90
75-274530	Health Education Assistance Loans, Downward Reestimates of Subsidies .....	12		
75-310700	Federal Share of Child Support Collections .....	789	852	831
Legislative proposal, subject to PAYGO				
75-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	34	34	34
General Fund Offsetting receipts from the public .....				
		928	976	959
<b>Intragovernmental payments:</b>				
75-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-27		
General Fund Intragovernmental payments .....				
		-27		

**GENERAL PROVISIONS**

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$50,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. None of the discretionary funds appropriated in this title shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II.

SEC. 203. Notwithstanding section 241(a) of the PHS Act, such portion as the Secretary shall determine, but not more than 3.0 percent, of any amounts appropriated for programs authorized under such Act shall be made available for the evaluation (directly, or by grants or contracts) of the implementation and effectiveness of such programs.

## (TRANSFER OF FUNDS)

SEC. 204. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for HHS in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

## (TRANSFER OF FUNDS)

SEC. 205. The Director of the NIH, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes and centers from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: Provided, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

## (TRANSFER OF FUNDS)

SEC. 206. Of the amounts made available in this Act for NIH, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of NIH and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the PHS Act.

SEC. 207. None of the funds appropriated in this Act may be made available to any entity under title X of the PHS Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

SEC. 208. Notwithstanding any other provision of law, no provider of services under title X of the PHS Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

SEC. 209. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare Advantage program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: Provided, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): Provided further, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare Advantage organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

SEC. 210. In order for HHS to carry out international health activities, including HIV/AIDS and other infectious disease, chronic and environmental disease, and other health activities abroad during fiscal year 2014:

(1) The Secretary may exercise authority equivalent to that available to the Secretary of State in section 2(c) of the State Department Basic Authorities Act of 1956. The Secretary shall consult with the Secretary of State and relevant Chief of Mission to ensure that the authority provided in this section is exercised in a manner consistent with section 207 of the Foreign Service Act of 1980 and other applicable statutes administered by the Department of State.

(2) The Secretary is authorized to provide such funds by advance or reimbursement to the Secretary of State as may be necessary to pay the costs of acquisition, lease, alteration, renovation, and management of facilities outside of the United States for the use of HHS. The Department of State shall cooperate fully with the Secretary to ensure that HHS has secure, safe, functional facilities that comply with applicable regulation governing location, setback, and other facilities requirements and serve the purposes established by this Act. The Secretary is authorized, in consultation with the Secretary of State, through grant or cooperative agreement, to make available to public or nonprofit private institutions or agencies in participating foreign countries, funds to acquire, lease, alter, or renovate facilities in those countries as necessary

to conduct programs of assistance for international health activities, including activities relating to HIV/AIDS and other infectious diseases, chronic and environmental diseases, and other health activities abroad.

(3) The Secretary is authorized to provide to personnel appointed or assigned by the Secretary to serve abroad, allowances and benefits similar to those provided under chapter 9 of title I of the Foreign Service Act of 1980, and 22 U.S.C. 4081 through 4086 and subject to such regulations prescribed by the Secretary. The Secretary is further authorized to provide locality-based comparability payments (stated as a percentage) up to the amount of the locality-based comparability payment (stated as a percentage) that would be payable to such personnel under section 5304 of title 5, United States Code if such personnel's official duty station were in the District of Columbia. Leaves of absence for personnel under this subsection shall be on the same basis as that provided under subchapter I of chapter 63 of title 5, United States Code, or section 903 of the Foreign Service Act of 1980, to individuals serving in the Foreign Service.

SEC. 211. (a) AUTHORITY.—Notwithstanding any other provision of law, the Director of NIH ("Director") may use funds available under section 402(b)(7) or 402(b)(12) of the PHS Act to enter into transactions (other than contracts, cooperative agreements, or grants) to carry out research identified pursuant to such section 402(b)(7) (pertaining to the Common Fund) or research and activities described in such section 402(b)(12).

(b) PEER REVIEW.—In entering into transactions under subsection (a), the Director may utilize such peer review procedures (including consultation with appropriate scientific experts) as the Director determines to be appropriate to obtain assessments of scientific and technical merit. Such procedures shall apply to such transactions in lieu of the peer review and advisory council review procedures that would otherwise be required under sections 301(a)(3), 405(b)(1)(B), 405(b)(2), 406(a)(3)(A), 492, and 494 of the PHS Act.

SEC. 212. Funds which are available for Individual Learning Accounts for employees of CDC and the Agency for Toxic Substances and Disease Registry ("ATSDR") may be transferred to appropriate accounts of CDC, to be available only for Individual Learning Accounts: Provided, That such funds may be used for any individual full-time equivalent employee while such employee is employed either by CDC or ATSDR.

SEC. 213. Notwithstanding any other provisions of law, discretionary funds made available in this Act may be used to continue operating the Council on Graduate Medical Education established by section 301 of Public Law 102–408.

SEC. 214. Not to exceed \$45,000,000 of funds appropriated by this Act to the institutes and centers of the National Institutes of Health may be used for alteration, repair, or improvement of facilities, as necessary for the proper and efficient conduct of the activities authorized herein, at not to exceed \$3,500,000 per project.

## (TRANSFER OF FUNDS)

SEC. 215. Of the amounts made available for NIH, 1 percent of the amount made available for National Research Service Awards ("NRSA") shall be made available to the Administrator of the Health Resources and Services Administration to make NRSA awards for research in primary medical care to individuals affiliated with entities who have received grants or contracts under section 747 of the PHS Act, and 1 percent of the amount made available for NRSA shall be made available to the Director of the Agency for Healthcare Research and Quality to make NRSA awards for health service research.

SEC. 216.

(a) A state shall be entitled to receive a grant under section 510 of the Social Security Act (42 U.S.C. 710) for fiscal year 2014 only if the Department of Health and Human Services receives an application under section 505(a) of such Act (42 U.S.C. 705(a)) for such fiscal year by no later than September 20, 2014.

(b) CANCELLATION.—The remaining unobligated balances of the amount appropriated for fiscal year 2014 by section 510(d) of such Act (42 U.S.C. 710(d)) for which no application has been received by September 20, 2014, shall be permanently cancelled as of September 27, 2014.

(c) APPROPRIATION.—There is appropriated to the Department of Health and Human Services, to become available on September 27, 2014, and to remain available through September 30, 2015, an amount equal to the unobligated balances cancelled pursuant to subsection (b), for carrying out (in addition to any other funds that may be available

for such purpose) a program of competitive contracts and grants to State and local governments to develop approaches to reduce pregnancy among youth in foster care and to fund age appropriate evidence-based programs that reduce teenage pregnancy, behavioral risk factors underlying teen pregnancy, or other associated risk factors among youth in foster care and for the Federal costs associated with administering and evaluating such contracts and grants.

SEC. 217. The Director of the CDC, or the Administrator of the Agency for Toxic Substances and Disease Registry, may detail staff without reimbursement for up to 180 days, to support the CDC response to a public health emergency or urgent public health event that involves activation of the Emergency Operations Center at the CDC.

SEC. 218. Funds provided to the National Institutes of Health in this and subsequent acts may be used to support the Sanctuary System for Surplus Chimpanzees authorized by section 404K of the Public Health Service Act, including for the construction, renovation, and funding of current or additional facilities of the sanctuary system as authorized by section 404K, notwithstanding the limitations in subsection (g) of such section.

SEC. 219. In the event of a public health emergency declared under section 319 of the PHS Act, the Secretary of HHS may, during the duration of the emergency, transfer discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated in this Act for the current fiscal year for HHS between appropriations for costs of responding to and aiding in recovery from such public health emergency: Provided, That no appropriation may be reduced by more than 10 percent under this section: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate shall be promptly notified of such transfers: Provided further, That this transfer authority is in addition to any other transfer authority.

SEC. 220. Notwithstanding section 338E(c)(2) of the PHS Act, unless funds have been paid to or on behalf of an individual under section 338B(g) of such Act, the Secretary or the individual with whom the Secretary entered into a contract under section 338B of such Act in this or any subsequent fiscal year may terminate the contract within 60 days of its execution. The party electing to terminate the contract under such section must provide written notice to the other party prior to expiration of the 60-day period, and termination of the contract shall be effective upon verified receipt of the termination notice.

SEC. 221. HEALTH INFORMATION TECHNOLOGY USER FEES.—

(a) The Secretary of HHS shall prescribe by regulation, for application in the current fiscal year and in subsequent fiscal years, a schedule of

fees for certification of health information technology as established by Section 300jj-11(c)(5) of Title 42. The fees shall be paid by health information technology vendors based on the fee structure established by the Secretary and published in the Federal Register. The Secretary shall periodically update this schedule of fees through a notice in the Federal Register. This fee structure shall be designed to be sufficient to recover costs associated with the administration of certification programs authorized by Section 300jj-11(c)(5) of Title 42, including the costs for health information technology standards, testing and certification, and other related costs for improving the efficiency of certification programs.

(b) COLLECTION PROCEDURES.—The Secretary shall prescribe procedures to collect the fees. The Secretary may, for the purpose of collecting fees, use the services of a department, agency, or instrumentality authorized by the National Coordinator to perform the certification of health information technology in accordance with Section 300jj-11(c)(5) of Title 42, and may reimburse such department, agency, or instrumentality a reasonable amount for its services.

(c) COLLECTION, DEPOSIT, AND USE.—(1) Fees collected under this section shall be deposited in the HHS Office of the National Coordinator for Health Information Technology account as offsetting collections.

(2) Such fees shall be collected and available only to the extent and in such amounts as provided in advance in appropriations acts.

SEC. 222. (a) The Biomedical Advanced Research and Development Authority (BARDA) may enter into a contract, for more than one but no more than ten program years, for purchase of research services or of security countermeasures, as that term is defined in section 319F-2(c)(1)(B) of the Public Health Service Act (42 U.S.C. 247d-6b(c)(1)(B)), if—

(1) funds are available and obligated—

(A) for the full period of the contract or for the first fiscal year in which the contract is in effect; and

(B) for the estimated costs associated with a necessary termination of the contract; and

(2) the Secretary determines that a multi-year contract will serve the best interests of the Federal Government by encouraging full and open competition or promoting economy in administration, performance, and operation of BARDA's programs.

(b) A contract entered into under this section:

(1) shall include a termination clause as described by subsection (c) of section 3903 of title 41, United States Code; and

(2) shall be subject to the congressional notice requirement stated in subsection (d) of such section.



# DEPARTMENT OF HOMELAND SECURITY

## DEPARTMENTAL MANAGEMENT AND OPERATIONS

### Federal Funds

#### DEPARTMENTAL OPERATIONS

##### OFFICE OF THE SECRETARY AND EXECUTIVE MANAGEMENT

For necessary expenses of the Office of the Secretary of Homeland Security, as authorized by section 102 of the Homeland Security Act of 2002 (6 U.S.C. 112), and executive management of the Department of Homeland Security, as authorized by law, \$126,554,000: Provided, That not to exceed \$51,000 shall be for official reception and representation expenses, of which \$17,000 shall be made available to the Office of International Affairs for Visa Waiver Program negotiations in Washington, DC, and for other international activities: Provided further, That all official costs associated with the use of government aircraft by Department of Homeland Security personnel to support official travel of the Secretary and the Deputy Secretary shall be paid from amounts made available for the Immediate Office of the Secretary and the Immediate Office of the Deputy Secretary.

##### OFFICE OF THE UNDER SECRETARY FOR MANAGEMENT

For necessary expenses of the Office of the Under Secretary for Management, as authorized by sections 701 through 705 of the Homeland Security Act of 2002 (6 U.S.C. 341 through 345), \$202,686,000, of which not to exceed \$2,500 shall be for official reception and representation expenses: Provided, That of the total amount made available under this heading, \$4,729,000 shall remain available until September 30, 2017, solely for the alteration and improvement of facilities, tenant improvements, and relocation costs to consolidate Department headquarters operations at the Nebraska Avenue Complex; and \$9,205,000 shall remain available until September 30, 2015, for the Human Resources Information Technology program.

##### OFFICE OF THE CHIEF FINANCIAL OFFICER

For necessary expenses of the Office of the Chief Financial Officer, as authorized by section 103 of the Homeland Security Act of 2002 (6 U.S.C. 113), \$48,779,000.

#### DEPARTMENT OF HOMELAND SECURITY CONSOLIDATED HEADQUARTERS PROJECT

For necessary expenses to plan, acquire, construct, renovate, remediate, equip, furnish, and occupy building and facilities for the consolidation of department headquarters at St. Elizabeths and associated mission consolidation, and to fund operational costs within the St. Elizabeths Department Operations Center, \$105,500,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 70–0100–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of the Secretary and Executive Management .....	138	134	127
0003 Under Secretary for Management .....	216	218	189
0004 DHS Headquarters (Nebraska Avenue Complex) .....	5	5	4
0005 Human Resources Information Technology .....	15	14	9
0006 Chief Financial Officer .....	54	51	49
0008 DHS HQ Consolidation .....	56	56	106
0100 Subtotal, Direct Programs .....	484	478	484
0799 Total direct obligations .....	484	478	484
0881 Reimbursable program activity .....	73	85	52
0889 Reimbursable program activities, subtotal .....	73	85	52
0900 Total new obligations .....	557	563	536
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	32	27	17

1011 Unobligated balance transfer from other accts [19–0113] ....	3		
1050 Unobligated balance (total) .....	35	27	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - OSEM .....	133	134	127
1100 Appropriation - CFO .....	51	51	49
1100 Appropriation - USM .....	236	237	202
1100 Appropriation - DHS HQ Consolidation .....	56	56	106
1121 Appropriations transferred from other accts [70–0566] ....	1		
1160 Appropriation, discretionary (total) .....	477	478	484
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	32	75	52
1701 Change in uncollected payments, Federal sources .....	41		
1750 Spending auth from offsetting collections, disc (total) .....	73	75	52
1900 Budget authority (total) .....	550	553	536
1930 Total budgetary resources available .....	585	580	553
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	27	17	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	554	531	737
3010 Obligations incurred, unexpired accounts .....	557	563	536
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	–570	–357	–350
3041 Recoveries of prior year unpaid obligations, expired .....	–13		
3050 Unpaid obligations, end of year .....	531	737	923
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–50	–48	–48
3070 Change in uncollected pymts, Fed sources, unexpired .....	–41		
3071 Change in uncollected pymts, Fed sources, expired .....	43		
3090 Uncollected pymts, Fed sources, end of year .....	–48	–48	–48
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	504	483	689
3200 Obligated balance, end of year .....	483	689	875
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	550	553	536
Outlays, gross:			
4010 Outlays from new discretionary authority .....	327	141	128
4011 Outlays from discretionary balances .....	243	216	222
4020 Outlays, gross (total) .....	570	357	350
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–75	–75	–52
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–41		
4052 Offsetting collections credited to expired accounts .....	43		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	477	478	484
4080 Outlays, net (discretionary) .....	495	282	298
4180 Budget authority, net (total) .....	477	478	484
4190 Outlays, net (total) .....	495	282	298

The Departmental Operations account funds basic support to the Secretary of Homeland Security, including executive planning and decision-making, management of departmental operations, institutional and public liaison activities, and other program support requirements to ensure effective operation and management of the Department. Specific activities funded by the Departmental Operations account include:

*Office of the Secretary and Executive Management.*—Directs and leads management of the Department and provides policy guidance to operating bureaus within the organization; plans and executes departmental strategies to accomplish agency objectives; provides leadership to the Department and includes the following offices: the Office of the Secretary; the Office of the Deputy Secretary; the Office of the Chief of Staff; the Office of Policy; the Office of the Executive Secretary; the Office of Public Affairs; the Office of Legislative Affairs; the Office of the General

DEPARTMENTAL OPERATIONS—Continued

Counsel; the Office for Civil Rights and Liberties; the Office of the Citizenship and Immigration Services Ombudsman; the Office of Privacy; the Private Sector Office; the Office of International Affairs; the Office of State and Local Law Enforcement; and the Office of Intergovernmental Affairs.

**Under Secretary for Management.**—Oversees management and operations of the Department, including procurement and acquisition, human capital policy, security, planning and systems, facilities, property, equipment, and administrative services for the Department. The Management Directorate is comprised of the Immediate Office of the Under Secretary for Management, the Office of the Chief Procurement Officer, the Office of the Chief Human Capital Officer, the Office of the Chief Readiness Support Officer, and the Office of the Chief Security Officer, which all report to the Under Secretary for Management.

**Department of Homeland Security Headquarters Consolidation Project.**—Provides funding for designing, building, equipping, and funding operations costs for the Department's consolidated headquarters at St. Elizabeths.

**Chief Financial Officer.**—Funds basic support for financial and budget operations for the Department of Homeland Security. Provides support funding for budget policy and operations; program analysis and evaluation; development of departmental financial management policies; operations, and systems, including consolidated financial statements; oversight of all matters involving relations between the Government Accountability Office and the Office of the Inspector General; policy and operations associated with the DHS bank card program; management of department internal controls; department-wide oversight of grants and assistance awards, and resource management systems.

Object Classification (in millions of dollars)

Identification code 70-0100-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	162	171	161
11.3 Other than full-time permanent .....	10	8	8
11.5 Other personnel compensation .....	3	5	4
11.8 Special personal services payments .....	1		
11.9 Total personnel compensation .....	176	184	173
12.1 Civilian personnel benefits .....	49	48	48
21.0 Travel and transportation of persons .....	6	6	4
22.0 Transportation of things .....		1	
23.1 Rental payments to GSA .....	26	20	21
23.2 Rental payments to others .....	1	1	
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	48	38	27
25.2 Other services from non-Federal sources .....	38	53	26
25.3 Other goods and services from Federal sources .....	79	121	177
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	5	1	3
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	44	1	1
32.0 Land and structures .....	9		
99.0 Direct obligations .....	484	478	484
99.0 Reimbursable obligations .....	73	85	52
99.9 Total new obligations .....	557	563	536

Employment Summary

Identification code 70-0100-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,712	1,808	1,708
2001 Reimbursable civilian full-time equivalent employment .....	47	62	82

OFFICE OF THE CHIEF INFORMATION OFFICER

For necessary expenses of the Office of the Chief Information Officer, as authorized by section 103 of the Homeland Security Act of 2002 (6 U.S.C. 113), and Department-wide technology investments, \$273,054,000; of which \$117,347,000 shall be available for salaries and expenses; and of which \$155,707,000, to remain available until September 30, 2016, shall be available for development and acquisition of information technology equipment, software, services, and related activities for the Department of Homeland Security: Provided, That in addition to the amounts provided herein, \$54,200,000 shall be available for data center migration.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0102-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	105	101	117
0002 Information Technology Services .....	52	39	33
0003 Infrastructure Security Activities .....	77	70	46
0005 Homeland Secure Data Network .....	42	44	77
0006 Spectrum Relocation Fund .....	2		
0008 Data Center Consolidation .....	70	70	54
0009 Financial Systems Modernization .....	2		
0100 Subtotal, Direct Programs .....	350	324	327
0799 Total direct obligations .....	350	324	327
0881 Reimbursable program activity .....	237	131	131
0889 Reimbursable program activities, subtotal .....	237	131	131
0900 Total new obligations .....	587	455	458
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50	42	42
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	61	42	42
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	327	329	327
1121 Appropriations transferred from other accts [11-5512] .....	7		
1131 Unobligated balance of appropriations permanently reduced .....	-5	-5	
1160 Appropriation, discretionary (total) .....	329	324	327
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	64	131	131
1701 Change in uncollected payments, Federal sources .....	176		
1750 Spending auth from offsetting collections, disc (total) .....	240	131	131
1900 Budget authority (total) .....	569	455	458
1930 Total budgetary resources available .....	630	497	500
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	42	42	42
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	473	603	599
3010 Obligations incurred, unexpired accounts .....	587	455	458
3020 Outlays (gross) .....	-442	-459	-444
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	603	599	613
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-141	-255	-255
3070 Change in uncollected pymts, Fed sources, unexpired .....	-176		
3071 Change in uncollected pymts, Fed sources, expired .....	62		
3090 Uncollected pymts, Fed sources, end of year .....	-255	-255	-255
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	332	348	344
3200 Obligated balance, end of year .....	348	344	358
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	569	455	458

4010	Outlays, gross:			
	Outlays from new discretionary authority .....	175	141	141
4011	Outlays from discretionary balances .....	267	318	303
4020	Outlays, gross (total) .....	442	459	444
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-126	-131	-131
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-176		
4052	Offsetting collections credited to expired accounts .....	62		
4060	Additional offsets against budget authority only (total) .....	-114		
4070	Budget authority, net (discretionary) .....	329	324	327
4080	Outlays, net (discretionary) .....	316	328	313
4180	Budget authority, net (total) .....	329	324	327
4190	Outlays, net (total) .....	316	328	313

This account includes funding for department-wide investments in information technology and operating expenses for the Office of the Chief Information Officer. Funding from this account will be used for department-wide investments and high-priority investments that DHS components need to modernize business processes and increase efficiency through information technology improvements. The account includes costs for operations and investments in program activities such as Information Technology Services, Infrastructure and Security Activities, and the Homeland Secure Data Network.

**Object Classification** (in millions of dollars)

Identification code 70-0102-0-1-751	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	38	41	41
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	39	42	42
12.1	Civilian personnel benefits .....	8	9	9
21.0	Travel and transportation of persons .....	1	2	2
23.1	Rental payments to GSA .....	7	13	13
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.1	Advisory and assistance services .....	67	56	63
25.2	Other services from non-Federal sources .....	6	6	6
25.3	Other goods and services from Federal sources .....	17	23	23
25.4	Operation and maintenance of facilities .....	4	6	6
25.7	Operation and maintenance of equipment .....	192	161	157
25.8	Subsistence and support of persons .....	2	1	1
26.0	Supplies and materials .....		1	1
31.0	Equipment .....	6	3	3
99.0	Direct obligations .....	350	324	327
99.0	Reimbursable obligations .....	237	131	131
99.9	Total new obligations .....	587	455	458

**Employment Summary**

Identification code 70-0102-0-1-751	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	261	271	274
2001	Reimbursable civilian full-time equivalent employment .....		27	

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 70-4640-0-4-751	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Reimbursable program .....	611	633	968
<b>Budgetary Resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	82	77	76
1021	Recoveries of prior year unpaid obligations .....	34		
1050	Unobligated balance (total) .....	116	77	76

<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1131	Unobligated balance of appropriations permanently reduced .....	-5	-1	
1160	Appropriation, discretionary (total) .....	-5	-1	
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	673	828	1,168
1701	Change in uncollected payments, Federal sources .....	-96	-195	-200
1750	Spending auth from offsetting collections, disc (total) .....	577	633	968
1900	Budget authority (total) .....	572	632	968
1930	Total budgetary resources available .....	688	709	1,044
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	77	76	76

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	412	350	293
3010	Obligations incurred, unexpired accounts .....	611	633	968
3020	Outlays (gross) .....	-639	-690	-902
3040	Recoveries of prior year unpaid obligations, unexpired .....	-34		
3050	Unpaid obligations, end of year .....	350	293	359
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-491	-395	-200
3070	Change in uncollected pymts, Fed sources, unexpired .....	96	195	200
3090	Uncollected pymts, Fed sources, end of year .....	-395	-200	
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	-79	-45	93
3200	Obligated balance, end of year .....	-45	93	359

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	572	632	968
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	399	348	532
4011	Outlays from discretionary balances .....	240	342	370
4020	Outlays, gross (total) .....	639	690	902
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-673	-828	-1,168
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	96	195	200
4070	Budget authority, net (discretionary) .....	-5	-1	
4080	Outlays, net (discretionary) .....	-34	-138	-266
4180	Budget authority, net (total) .....	-5	-1	
4190	Outlays, net (total) .....	-34	-138	-266

The Department of Homeland Security Working Capital Fund serves as a centralized reimbursable mechanism for collecting costs for administrative services that can be performed more advantageously and economically at the Departmental level. The Department of Homeland Security Working Capital Fund was authorized in the Department of Homeland Security Appropriations Act, 2004.

**Object Classification** (in millions of dollars)

Identification code 70-4640-0-4-751	2012 actual	2013 CR	2014 est.	
<b>Reimbursable obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	50	52	61
11.5	Other personnel compensation .....	1		
11.9	Total personnel compensation .....	51	52	61
12.1	Civilian personnel benefits .....	14	16	18
23.1	Rental payments to GSA .....	82	77	138
23.3	Communications, utilities, and miscellaneous charges .....	12	28	30
25.1	Advisory and assistance services .....	31	59	53
25.2	Other services from non-Federal sources .....	10	50	86
25.3	Other goods and services from Federal sources .....	187	107	116
25.7	Operation and maintenance of equipment .....	191	217	457
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	31	25	7
99.9	Total new obligations .....	611	633	968

WORKING CAPITAL FUND—Continued  
Employment Summary

Identification code 70-4640-0-4-751	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	483	480	564

ANALYSIS AND OPERATIONS

For necessary expenses for intelligence analysis and operations coordination activities, as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), \$309,228,000; of which not to exceed \$4,250 shall be for official reception and representation expenses; and of which \$117,412,000 shall remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0115-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Analysis and Operations .....	341	340	309
0801 Reimbursable program .....	9	6	6
0900 Total new obligations .....	350	346	315
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	4	4
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	7	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	338	340	309
1160 Appropriation, discretionary (total) .....	338	340	309
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	4
1701 Change in uncollected payments, Federal sources .....	8	4	2
1750 Spending auth from offsetting collections, disc (total) .....	10	6	6
1900 Budget authority (total) .....	348	346	315
1930 Total budgetary resources available .....	355	350	319
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	244	223	226
3010 Obligations incurred, unexpired accounts .....	350	346	315
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-352	-343	-319
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-15		
3050 Unpaid obligations, end of year .....	223	226	222
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11	-9	-13
3070 Change in uncollected pymts, Fed sources, unexpired .....	-8	-4	-2
3071 Change in uncollected pymts, Fed sources, expired .....	10		
3090 Uncollected pymts, Fed sources, end of year .....	-9	-13	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	233	214	213
3200 Obligated balance, end of year .....	214	213	207
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	348	346	315
Outlays, gross:			
4010 Outlays from new discretionary authority .....	206	173	158
4011 Outlays from discretionary balances .....	146	170	161
4020 Outlays, gross (total) .....	352	343	319
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11	-6	-6

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-8	-4	-2
4052 Offsetting collections credited to expired accounts .....	9	4	2
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	338	340	309
4080 Outlays, net (discretionary) .....	341	337	313
4180 Budget authority, net (total) .....	338	340	309
4190 Outlays, net (total) .....	341	337	313

The Analysis and Operations appropriation provides resources for the support of the Office of Intelligence and Analysis (I&A) and the Office of Operations Coordination and Planning (OPS). This appropriation includes both National Intelligence Program (NIP) and non-NIP funds. Even though these two offices are different and distinct in their missions, they work closely together and collaborate with other departmental component agencies and related federal agencies, as well as state, local, tribal, foreign, and private-sector partners, to improve intelligence analysis, information sharing, incident management support, and situational awareness.

*Office of Intelligence and Analysis (I&A).*—I&A's mission is to analyze intelligence and information about homeland security threats and serve as the two-way interface between the national Intelligence Community (IC) and state, local, tribal and private sector partners on homeland security intelligence and information—including warnings, actionable intelligence, and analysis—to ensure that Headquarters leadership, departmental operating Components, federal policy, law enforcement and IC partners, and frontline law enforcement have the tools they need to confront and disrupt terrorist threats. I&A has a unique analytic mission, blending intelligence from the IC with DHS Component and other stakeholder source data, to provide homeland security-centric products. The Under Secretary for Intelligence and Analysis leads I&A and is the Department's Chief Intelligence Officer responsible for managing the entire DHS Intelligence Enterprise. The Under Secretary is also the Department's Chief Information Sharing Officer responsible for implementing the objectives of the Department and the National Strategy on Information Sharing within DHS.

*Office of Operations Coordination and Planning (OPS).*—The mission of OPS is to provide decision support and enable the Secretary's execution of responsibilities across the homeland security enterprise by promoting situational awareness and information sharing, integrating and synchronizing strategic operations and planning, and administering the DHS continuity program. OPS plays a pivotal role in the DHS mission to lead the unified national effort to secure America by facilitating the Secretary's responsibilities across the full spectrum of incident management efforts (i.e., prevention, protection, response and recovery). OPS provides situational awareness, assessments, and operations coordination for the DHS Secretary and facilitates operational information sharing with all DHS components, as well as for Federal, state, local, tribal, private sector, and international partners. In support of the Secretary's role as the Principal Federal Official for domestic incident management, OPS develops and coordinates Departmental and interagency strategic-level operations plans. Additionally, OPS supports the DHS mission to lead the national unified effort to secure America by maintaining the National Operations Center (NOC) and by providing 24/7 incident management capabilities to ensure a seamless integration of threat monitoring and information flow. The NOC serves as a 24/7 multi-agency organization, fusing law enforcement, national intelligence, emergency response, and private sector reporting. The NOC is the primary national-level hub for domestic incident management, operations coordination, and situational awareness.

**Object Classification** (in millions of dollars)

Identification code 70-0115-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	85	88	93
11.5 Other personnel compensation .....	2	3	2
11.8 Special personal services payments .....	6	3	2
11.9 Total personnel compensation .....	93	94	97
12.1 Civilian personnel benefits .....	25	27	28
21.0 Travel and transportation of persons .....	4	4	4
22.0 Transportation of things .....	1		
23.1 Rental payments to GSA .....	9	11	11
25.1 Advisory and assistance services .....	119	121	91
25.2 Other services from non-Federal sources .....	1		
25.3 Other goods and services from Federal sources .....	48	53	54
25.4 Operation and maintenance of facilities .....	1		
25.7 Operation and maintenance of equipment .....	29	15	12
26.0 Supplies and materials .....	4	2	2
31.0 Equipment .....	6	13	10
32.0 Land and structures .....	1		
99.0 Direct obligations .....	341	340	309
99.0 Reimbursable obligations .....	9	6	6
99.9 Total new obligations .....	350	346	315

**Employment Summary**

Identification code 70-0115-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	810	871	852
2001 Reimbursable civilian full-time equivalent employment .....	7	7	10

**Trust Funds**

**GIFTS AND DONATIONS**

**Program and Financing** (in millions of dollars)

Identification code 70-8244-0-7-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1		
0100 Direct program activities, subtotal .....	1		
0900 Total new obligations (object class 25.2) .....	1		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	3
1930 Total budgetary resources available .....	4	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	
3010 Obligations incurred, unexpired accounts .....	1		
3020 Outlays (gross) .....		-1	
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	
3200 Obligated balance, end of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	
4190 Outlays, net (total) .....		1	
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....		2	2
5001 Total investments, EOY: Federal securities: Par value .....	2	2	2

This account represents contributions to the Department from private sources and through the "Heroes" semi-postal stamp

program, and includes bequests and gifts from the estate of Cora Brown given to the Federal Emergency Management Agency.

**OFFICE OF THE INSPECTOR GENERAL**

**Federal Funds**

OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), \$119,309,000, of which not to exceed \$300,000 may be used for certain confidential operational expenses, including the payment of informants, to be expended at the direction of the Inspector General.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 70-0200-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Inspections and Investigations .....	141	142	143
0801 Reimbursable program .....	18	18	18
0900 Total new obligations .....	159	160	161
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	1	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	117	118	119
1121 Appropriations transferred from other accts [70-0702] .....	24	27	24
1160 Appropriation, discretionary (total) .....	141	145	143
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	18	18
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	14	18	18
1900 Budget authority (total) .....	155	163	161
1930 Total budgetary resources available .....	160	164	165
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	34	41
3010 Obligations incurred, unexpired accounts .....	159	160	161
3020 Outlays (gross) .....	-154	-153	-163
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	34	41	39
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	-7		
3071 Change in uncollected pymts, Fed sources, expired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	25	32
3200 Obligated balance, end of year .....	25	32	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	155	163	161
Outlays, gross:			
4010 Outlays from new discretionary authority .....	132	137	135
4011 Outlays from discretionary balances .....	22	16	28
4020 Outlays, gross (total) .....	154	153	163
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-13	-18	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-7		
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	-1		

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 70-0200-0-1-751	2012 actual	2013 CR	2014 est.
4070 Budget authority, net (discretionary) .....	141	145	143
4080 Outlays, net (discretionary) .....	141	135	145
4180 Budget authority, net (total) .....	141	145	143
4190 Outlays, net (total) .....	141	135	145

This account finances the Office of Inspector General's (OIG) cost of conducting and supervising audits, inspections, and investigations relating to the programs and operations of the Department to promote economy, efficiency, and effectiveness and to prevent and detect fraud, waste, and abuse in such programs and operations. The Budget reflects resources that will enable the OIG to perform its oversight responsibilities, as well as assist DHS in achieving its goal of "organizational excellence." The resources requested will increase the number of reports that the OIG is able to produce and its ability to provide a greater number of departmental managers with recommendations to ensure that their program/activities are operating in the most economical, efficient, and effective manner possible. In addition, the resources will enable the OIG to conduct new audits annually, including in-house grant audits of state grantees and local government sub-grantees; adequately staff existing investigative field offices; address major information technology issues facing the Department in the various stages of development and implementation; and continue the prominent operations of the OIG's Emergency Management Oversight office.

Object Classification (in millions of dollars)

Identification code 70-0200-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	72	73	73
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	79	80	80
12.1 Civilian personnel benefits .....	26	26	26
21.0 Travel and transportation of persons .....	5	4	4
23.1 Rental payments to GSA .....	14	14	13
23.3 Communications, utilities, and miscellaneous charges .....	3	3	4
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	4	5	7
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	4	3
99.0 Direct obligations .....	141	142	143
99.0 Reimbursable obligations .....	18	18	18
99.9 Total new obligations .....	159	160	161

Employment Summary

Identification code 70-0200-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	779 <sup>1</sup>	681	681

<sup>1</sup>2012 the 779 FTEs displayed includes full time 51 CORE, 31 Student interns, 6 ARRA employees

**CITIZENSHIP AND IMMIGRATION SERVICES**

*Federal Funds*

UNITED STATES CITIZENSHIP AND IMMIGRATION SERVICES

For necessary expenses for citizenship and immigration services, \$124,213,000, of which \$114,213,000 is for the E-Verify Program, as described in section 403(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note), to assist United States employers with maintaining a legal workforce, and of which \$10,000,000

is for the Citizenship and Integration Grant Program: Provided, That, notwithstanding any other provision of law, funds otherwise made available to United States Citizenship and Immigration Services may be used to acquire, operate, equip, and dispose of up to 5 vehicles, for replacement only, for areas where the Administrator of General Services does not provide vehicles for lease: Provided further, That the Director of United States Citizenship and Immigration Services may authorize employees who are assigned to those areas to use such vehicles to travel between the employees' residences and places of employment: Provided further, That none of the funds made available in this Act for grants for immigrant integration may be used to provide services to aliens who have not been lawfully admitted for permanent residence.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 70-0300-0-1-751	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	1	2	20
<b>Receipts:</b>			
0260 Immigration Examination Fee .....	2,709	2,924	3,041
0261 H-1B Nonimmigrant Petitioner Account .....	323	260	260
0262 H-1B and L Fraud Prevention and Detection Account .....	136	123	123
0299 Total receipts and collections .....	3,168	3,307	3,424
0400 Total: Balances and collections .....	3,169	3,309	3,444
<b>Appropriations:</b>			
0500 Citizenship and Immigration Services .....	-2,709	-2,924	-3,041
0501 Citizenship and Immigration Services .....	-16	-13	-13
0502 Citizenship and Immigration Services .....	-45	-39	-41
0503 Training and Employment Services .....	-161	-125	-125
0504 State Unemployment Insurance and Employment Service Operations .....	-17	-13	-13
0505 H-1 B and L Fraud Prevention and Detection .....	-45	-35	-35
0506 Diplomatic and Consular Programs .....	-45	-40	-41
0507 Education and Human Resources .....	-129	-100	-100
0599 Total appropriations .....	-3,167	-3,289	-3,409
0799 Balance, end of year .....	2	20	35

Program and Financing (in millions of dollars)

Identification code 70-0300-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2,752	3,093	3,223
0801 Reimbursable program .....	27	39	36
0900 Total new obligations .....	2,779	3,132	3,259
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,055	1,271	1,345
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	31	8	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	90	92	91
1050 Unobligated balance (total) .....	1,146	1,363	1,436
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	102	103	124
1120 Appropriations transferred to other accts [15-0339] .....	.....	-4	-4
1131 Unobligated balance of appropriations permanently reduced .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	101	99	120
<b>Appropriations, mandatory:</b>			
1201 Appropriation (examinations fee) .....	2,709	2,924	3,041
1201 Appropriation (H-1B fee) .....	16	13	13
1201 Appropriation (H-1B L Fraud Fee) .....	45	39	41
1220 Appropriations transferred to other accts [15-0339] .....	-4	.....	.....
1260 Appropriations, mandatory (total) .....	2,766	2,976	3,095
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	33	39	36
1801 Change in uncollected payments, Federal sources .....	4	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	37	39	36
1900 Budget authority (total) .....	2,904	3,114	3,251

1930	Total budgetary resources available .....	4,050	4,477	4,687
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1,271	1,345	1,428
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,018	965	880
3010	Obligations incurred, unexpired accounts .....	2,779	3,132	3,259
3020	Outlays (gross) .....	-2,734	-3,125	-3,252
3040	Recoveries of prior year unpaid obligations, unexpired .....	-90	-92	-91
3041	Recoveries of prior year unpaid obligations, expired .....	-8		
3050	Unpaid obligations, end of year .....	965	880	796
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-14	-14
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090	Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,008	951	866
3200	Obligated balance, end of year .....	951	866	782

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	101	99	120
Outlays, gross:				
4010	Outlays from new discretionary authority .....	50	84	101
4011	Outlays from discretionary balances .....	88		15
4020	Outlays, gross (total) .....	138	84	116
Mandatory:				
4090	Budget authority, gross .....	2,803	3,015	3,131
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2,035	2,420	2,512
4101	Outlays from mandatory balances .....	561	621	624
4110	Outlays, gross (total) .....	2,596	3,041	3,136
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-24	-33	-30
4123	Non-Federal sources .....	-9	-6	-6
4130	Offsets against gross budget authority and outlays (total) ....	-33	-39	-36
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-4		
4160	Budget authority, net (mandatory) .....	2,766	2,976	3,095
4170	Outlays, net (mandatory) .....	2,563	3,002	3,100
4180	Budget authority, net (total) .....	2,867	3,075	3,215
4190	Outlays, net (total) .....	2,701	3,086	3,216

The mission of U.S. Citizenship and Immigration Services (USCIS) is to adjudicate and grant immigration and citizenship benefits, provide accurate and useful information to its customers, and promote an awareness and understanding of citizenship in support of immigrant integration, while also protecting the integrity of our Nation's immigration system. USCIS approves millions of immigration benefit applications each year, ranging from work authorization and lawful permanent residency to asylum and refugee status. The Budget continues to invest in technology to improve and automate business operations, eliminate paper-based processing, improve information sharing, and enhance USCIS' ability to identify and prevent immigration benefit fraud.

The Budget assumes that USCIS will continue to be funded primarily through fees on the applications and petitions it adjudicates.

**Object Classification** (in millions of dollars)

Identification code 70-0300-0-1-751	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	873	911	1,067
11.3	Other than full-time permanent .....	7	8	9
11.5	Other personnel compensation .....	36	37	43
11.9	Total personnel compensation .....	916	956	1,119
12.1	Civilian personnel benefits .....	274	286	335
21.0	Travel and transportation of persons .....	31	24	24
22.0	Transportation of things .....	9	11	11
23.1	Rental payments to GSA .....	211	214	226
23.2	Rental payments to others .....	11	15	14

23.3	Communications, utilities, and miscellaneous charges .....	43	52	52
24.0	Printing and reproduction .....	5	5	5
25.1	Advisory and assistance services .....	671	803	765
25.2	Other services from non-Federal sources .....	37	54	49
25.3	Other goods and services from Federal sources .....	317	361	357
25.7	Operation and maintenance of equipment .....	50	60	58
26.0	Supplies and materials .....	34	38	39
31.0	Equipment .....	110	173	128
32.0	Land and structures .....	19	22	22
41.0	Grants, subsidies, and contributions .....	13	18	18
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	2,752	3,093	3,223
99.0	Reimbursable obligations .....	27	39	36
99.9	Total new obligations .....	2,779	3,132	3,259

**Employment Summary**

Identification code 70-0300-0-1-751	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	10,769	10,849	13,151

**UNITED STATES SECRET SERVICE**

**Federal Funds**

**OPERATING EXPENSES**

*For necessary expenses of the United States Secret Service, including purchase of not to exceed 652 vehicles for police-type use for replacement only; hire of passenger motor vehicles; purchase of motorcycles made in the United States; hire of aircraft; services of expert witnesses at such rates as may be determined by the Director of the Secret Service; rental of buildings in the District of Columbia, and fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control, as may be necessary to perform protective functions; payment of per diem or subsistence allowances to employees in cases in which a protective assignment on the actual day or days of the visit of a protectee requires an employee to work 16 hours per day or to remain overnight at a post of duty; conduct of and participation in firearms matches; presentation of awards; travel of United States Secret Service employees on protective missions without regard to the limitations on such expenditures in this or any other Act; research and development; grants to conduct behavioral research in support of protective research and operations; and payment in advance for commercial accommodations as may be necessary to perform protective functions; \$1,494,614,000, of which not to exceed \$21,250 shall be for official reception and representation expenses; of which not to exceed \$100,000 shall be to provide technical assistance and equipment to foreign law enforcement organizations in counterfeit investigations: Provided, That \$18,000,000 for protective travel shall remain available until September 30, 2015: Provided further, That \$4,500,000 for National Special Security Events shall remain available until expended: Provided further, That the United States Secret Service is authorized to obligate funds in anticipation of reimbursements from Federal agencies and entities, as defined in section 105 of title 5, United States Code, for personnel receiving training sponsored by the James J. Rowley Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available under this heading at the end of the fiscal year: Provided further, That none of the funds made available under this heading shall be available to compensate any employee for overtime in an annual amount in excess of \$35,000, except that the Secretary of Homeland Security, or the designee of the Secretary, may waive that amount as necessary for national security purposes: Provided further, That none of the funds made available to the United States Secret Service by this Act or by previous appropriations Acts may be made available for the protection of the head of a Federal agency other than the Secretary of Homeland Security: Provided further, That the Director of the United States Secret Service may enter into an agreement to provide such protection on a fully reimbursable basis: Provided further, That none of the funds made available to the United States Secret Service by this Act or by previous appropriations Acts may be obligated for the purpose of opening a new permanent domestic or overseas office or location unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such obligation.*

OPERATING EXPENSES—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70–0400–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Protection of persons and facilities .....	846	863	823
0002 Protective intelligence activities .....	68	69	68
0003 Presidential candidate nominee protection .....	78	114	.....
0004 White House Mail Screening .....	18	19	18
0005 National Special Security Events .....	18	20	5
0006 Headquarters, management and administration .....	200	192	177
0007 Rowley Training Center .....	55	56	56
0008 Domestic field operations .....	239	225	259
0009 International field operations, administration and operations ....	33	33	31
0010 Electronic crimes special agent program and electronic crimes task forces .....	54	53	57
0011 Support for missing and exploited children .....	8	8	.....
0012 Information Integration and Technology Transformation .....	40	48	1
0799 Total direct obligations .....	1,657	1,700	1,495
0801 Reimbursable program .....	49	25	25
0900 Total new obligations .....	1,706	1,725	1,520
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	30	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1050 Unobligated balance (total) .....	16	30	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,661	1,671	1,495
1121 Appropriations transferred from other accts [11–5512] ....	15	.....	.....
1121 Appropriations transferred from other accts [70–0117] ....	2	.....	.....
1130 Appropriations permanently reduced .....	–1	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	.....	–1	.....
1160 Appropriation, discretionary (total) .....	1,677	1,670	1,495
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	25	25
1701 Change in uncollected payments, Federal sources .....	37	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	48	25	25
1900 Budget authority (total) .....	1,725	1,695	1,520
1930 Total budgetary resources available .....	1,741	1,725	1,520
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–5	.....	.....
1941 Unexpired unobligated balance, end of year .....	30	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	370	441	503
3010 Obligations incurred, unexpired accounts .....	1,706	1,725	1,520
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	–1,612	–1,663	–1,678
3041 Recoveries of prior year unpaid obligations, expired .....	–25	.....	.....
3050 Unpaid obligations, end of year .....	441	503	345
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–20	–42	–42
3070 Change in uncollected pymts, Fed sources, unexpired .....	–37	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	15	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–42	–42	–42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	350	399	461
3200 Obligated balance, end of year .....	399	461	303
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,725	1,695	1,520
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,349	1,366	1,226
4011 Outlays from discretionary balances .....	263	297	452
4020 Outlays, gross (total) .....	1,612	1,663	1,678

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–28	–25	–25
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–37	.....	.....
4052 Offsetting collections credited to expired accounts .....	17	.....	.....
4060 Additional offsets against budget authority only (total) .....	–20	.....	.....
4070 Budget authority, net (discretionary) .....	1,677	1,670	1,495
4080 Outlays, net (discretionary) .....	1,584	1,638	1,653
4180 Budget authority, net (total) .....	1,677	1,670	1,495
4190 Outlays, net (total) .....	1,584	1,638	1,653

The United States Secret Service has statutory authority to carry out two primary missions: protection of the nations leaders and investigation of financial and electronic crimes. The Secret Service protects and investigates threats against the President and Vice President, their families, visiting heads of state and government, and other individuals as directed by the President; protects the White House Complex, Vice President's Residence, foreign missions, and other buildings within Washington, D.C.; and manages the security at designated National Special Security Events. The Secret Service also investigates violations of laws relating to counterfeiting of obligations and securities of the United States; financial crimes that include, but are not limited to, access device fraud, financial institution fraud, identity theft, and computer fraud; and computer-based attacks on financial, banking, telecommunications, and other critical infrastructure.

Object Classification (in millions of dollars)

Identification code 70–0400–0–1–751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	609	630	633
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	188	196	183
11.9 Total personnel compensation .....	800	829	819
12.1 Civilian personnel benefits .....	329	294	302
21.0 Travel and transportation of persons .....	113	167	70
22.0 Transportation of things .....	8	9	5
23.1 Rental payments to GSA .....	94	81	81
23.2 Rental payments to others .....	4	2	1
23.3 Communications, utilities, and miscellaneous charges .....	32	35	28
24.0 Printing and reproduction .....	.....	1	1
25.2 Other services from non-Federal sources .....	188	173	127
26.0 Supplies and materials .....	22	22	20
31.0 Equipment .....	53	70	35
32.0 Land and structures .....	7	11	6
41.0 Grants, subsidies, and contributions .....	6	6	.....
42.0 Insurance claims and indemnities .....	1	.....	.....
99.0 Direct obligations .....	1,657	1,700	1,495
99.0 Reimbursable obligations .....	49	25	25
99.9 Total new obligations .....	1,706	1,725	1,520

Employment Summary

Identification code 70–0400–0–1–751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6,810	6,810	6,681
2001 Reimbursable civilian full-time equivalent employment .....	24	24	24

CONTRIBUTION FOR ANNUITY BENEFITS, UNITED STATES SECRET SERVICE

Program and Financing (in millions of dollars)

Identification code 70–0405–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0304 Mandatory-DC Annuity .....	247	250	255
0900 Total new obligations (object class 12.1) .....	247	250	255

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	247	250 255
1260	Appropriations, mandatory (total) .....	247	250 255
1930	Total budgetary resources available .....	247	250 255
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	22	23 23
3010	Obligations incurred, unexpired accounts .....	247	250 255
3020	Outlays (gross) .....	-246	-250 -255
3050	Unpaid obligations, end of year .....	23	23 23
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	22	23 23
3200	Obligated balance, end of year .....	23	23 23
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	247	250 255
Outlays, gross:			
4100	Outlays from new mandatory authority .....	225	229 234
4101	Outlays from mandatory balances .....	21	21 21
4110	Outlays, gross (total) .....	246	250 255
4180	Budget authority, net (total) .....	247	250 255
4190	Outlays, net (total) .....	246	250 255

This account provides the Secret Service funding for contributions to the District of Columbia's Police and Firefighters Retirement Plan (DC Annuity).

ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses for acquisition, construction, and improvement of physical and technological infrastructure, \$51,775,000, of which \$5,380,000, to remain available until September 30, 2018, shall be for acquisition, construction, improvement, and maintenance of the James J. Rowley Training Center, and of which \$46,395,000, to remain available until September 30, 2016, shall be for Information Integration and Technology Transformation program execution.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0401-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Rowley Training Center .....	5	6 5
0002	Information Integration and Technology Transformation .....		
0900	Total new obligations .....	5	6 52
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	5	5 52
1160	Appropriation, discretionary (total) .....	5	5 52
1930	Total budgetary resources available .....	6	6 52
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	7	7 2
3010	Obligations incurred, unexpired accounts .....	5	6 52
3020	Outlays (gross) .....	-5	-11 -45
3050	Unpaid obligations, end of year .....	7	2 9
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	7	7 2
3200	Obligated balance, end of year .....	7	2 9

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	5	5 52
Outlays, gross:			
4010	Outlays from new discretionary authority .....	2	4 44
4011	Outlays from discretionary balances .....	3	7 1
4020	Outlays, gross (total) .....	5	11 45
4180	Budget authority, net (total) .....	5	5 52
4190	Outlays, net (total) .....	5	11 45

This account supports the acquisition, construction, improvements and related costs for maintenance and support of the James J. Rowley Training Center. It also provides for ongoing costs and investments associated with the Information Integration and Technology Transformation program to stabilize and modernize the information technology capabilities needed to support the Secret Service's protective and investigative missions.

Object Classification (in millions of dollars)

Identification code 70-0401-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2	Other services from non-Federal sources .....	5	5 38
31.0	Equipment .....		
32.0	Land and structures .....		1 1
99.9	Total new obligations .....	5	6 52

TRANSPORTATION SECURITY ADMINISTRATION

Federal Funds

AVIATION SECURITY

For necessary expenses of the Transportation Security Administration related to providing civil aviation security services pursuant to the Aviation and Transportation Security Act (Public Law 107-71; 115 Stat. 597; 49 U.S.C. 40101 note), \$4,968,036,000, to remain available until September 30, 2015, of which not to exceed \$8,500 shall be for official reception and representation expenses: Provided, That of the total amount made available under this heading, not to exceed \$3,899,525,000 shall be for screening operations, of which \$382,496,000 shall be available for explosives detection systems; \$103,377,000 shall be for checkpoint support; and not to exceed \$1,068,511,000 shall be for aviation security direction and enforcement: Provided further, That of the amount made available in the preceding proviso for explosives detection systems, \$83,987,000 shall be available for the purchase and installation of these systems: Provided further, That any award to deploy explosives detection systems shall be based on risk, the airport's current reliance on other screening solutions, lobby congestion resulting in increased security concerns, high injury rates, airport readiness, and increased cost effectiveness: Provided further, That security service fees authorized under section 44940 of title 49, United States Code, shall be credited to this appropriation as offsetting collections and shall be available only for aviation security: Provided further, That the sum appropriated under this heading from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year 2014 so as to result in a final fiscal year appropriation from the general fund estimated at not more than \$2,722,139,000: Provided further, That any security service fees collected in excess of the amount made available under this heading shall become available during fiscal year 2015: Provided further, That notwithstanding section 44923 of title 49, United States Code, for fiscal year 2014, any funds in the Aviation Security Capital Fund established by section 44923(h) of title 49, United States Code, may be used for the procurement and installation of explosives detection systems or for the issuance of other transaction agreements for the purpose of funding projects described in section 44923(a): Provided further, That Members of the United States House of Representatives and United States Senate, including the leadership; the heads of Federal agencies and commissions, including the Secretary, Deputy Secretary, Under Secretaries, and Assistant Secretaries of the Department of Homeland Security; the United States Attorney General, Deputy Attorney General, Assistant Attorneys General, and the United States Attorneys; and senior members of the Executive Office of the President, including

AVIATION SECURITY—Continued

the Director of the Office of Management and Budget, shall not be exempt from Federal passenger and baggage screening.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 70–0550–0–1–402	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	1	1	2
Receipts:			
0220 Unclaimed Checkpoint Money	1	1	1
0260 Fees, Aviation Security Capital Fund	250	250	250
0261 Fees, Aviation Security, Deficit Reduction			200
0299 Total receipts and collections	251	251	451
0400 Total: Balances and collections	252	252	453
Appropriations:			
0500 Aviation Security	–1		
0501 Aviation Security	–250	–250	–250
0599 Total appropriations	–251	–250	–250
0799 Balance, end of year	1	2	203

Program and Financing (in millions of dollars)

Identification code 70–0550–0–1–402	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	5,222	5,536	5,218
0801 Reimbursable program activity		9	5
0900 Total new obligations	5,222	5,545	5,223
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,061	1,313	1,297
1001 Discretionary unobligated balance brought fwd, Oct 1	672		
1010 Unobligated balance transfer to other accts [70–0800]	–5		
1021 Recoveries of prior year unpaid obligations	45		
1050 Unobligated balance (total)	1,101	1,313	1,297
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,246	3,194	2,844
1101 Appropriation (special or trust fund)	1		
1131 Unobligated balance of appropriations permanently reduced	–71	–16	
1160 Appropriation, discretionary (total)	3,176	3,178	2,844
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	250	250	250
1260 Appropriations, mandatory (total)	250	250	250
Spending authority from offsetting collections, discretionary:			
1700 Collected	2,012	2,101	2,129
1750 Spending auth from offsetting collections, disc (total)	2,012	2,101	2,129
1900 Budget authority (total)	5,438	5,529	5,223
1930 Total budgetary resources available	6,539	6,842	6,520
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–4		
1941 Unexpired unobligated balance, end of year	1,313	1,297	1,297
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,298	3,119	3,221
3010 Obligations incurred, unexpired accounts	5,222	5,545	5,223
3011 Obligations incurred, expired accounts	2		
3020 Outlays (gross)	–5,279	–5,443	–5,408
3040 Recoveries of prior year unpaid obligations, unexpired	–45		
3041 Recoveries of prior year unpaid obligations, expired	–79		
3050 Unpaid obligations, end of year	3,119	3,221	3,036
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–2	–2	–2
3090 Uncollected pymts, Fed sources, end of year	–2	–2	–2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,296	3,117	3,219
3200 Obligated balance, end of year	3,117	3,219	3,034

Budget authority and outlays, net:

	2012 actual	2013 CR	2014 est.
Discretionary:			
4000 Budget authority, gross	5,188	5,279	4,973
Outlays, gross:			
4010 Outlays from new discretionary authority	3,806	4,481	4,262
4011 Outlays from discretionary balances	1,427	778	877
4020 Outlays, gross (total)	5,233	5,259	5,139
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		–9	–2
4033 Non-Federal sources	–5		–3
4034 Offsetting governmental collections	–2,008	–2,092	–2,124
4040 Offsets against gross budget authority and outlays (total)	–2,013	–2,101	–2,129
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	3,176	3,178	2,844
4080 Outlays, net (discretionary)	3,220	3,158	3,010
Mandatory:			
4090 Budget authority, gross	250	250	250
Outlays, gross:			
4100 Outlays from new mandatory authority		88	88
4101 Outlays from mandatory balances	46	96	181
4110 Outlays, gross (total)	46	184	269
4180 Budget authority, net (total)	3,426	3,428	3,094
4190 Outlays, net (total)	3,266	3,342	3,279

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	3,426	3,428	3,094
Outlays	3,266	3,342	3,279
Legislative proposal, not subject to PAYGO:			
Budget Authority			–122
Outlays			–122
Total:			
Budget Authority	3,426	3,428	2,972
Outlays	3,266	3,342	3,157

The Budget proposes \$5,218 million in discretionary and mandatory resources for the Transportation Security Administration's aviation security efforts. Of this amount, an estimated \$2,246 million is financed by offsetting collections from passenger and air carrier security fees. The \$2,246 million amount includes neither the first \$250 million in mandatory passenger security fee collections, which are provided to the Aviation Security Capital Fund, nor an additional \$200 million in mandatory passenger security fee collections submitted for deficit reduction.

Overall funding in this account will be used to fund screening personnel, compensation and benefits, and related expenses for transportation security officers; screening technologies; privatized passenger and baggage screening contracts; aviation regulation and enforcement activities, which include domestic and international inspections, explosives detection canine team deployments, and Visible Intermodal Prevention and Response exercises; airport management and support activities; air cargo screening operations; operational testing; and flight deck and air crew security activities.

This account also supports the TSA Pre-Check expedited-screening initiative, which is expanding to airports across the nation. A component of the agency's intelligence-driven, risk-based approach to security, TSA Pre-Check provides expedited screening to select populations who volunteer pre-screening information.

Object Classification (in millions of dollars)

Identification code 70–0550–0–1–402	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,008	2,110	2,128
11.3 Other than full-time permanent	310	287	286
11.5 Other personnel compensation	324	344	343

11.8	Special personal services payments .....	2	1	2
11.9	Total personnel compensation .....	2,644	2,742	2,759
12.1	Civilian personnel benefits .....	950	963	968
13.0	Benefits for former personnel .....	1	1	1
21.0	Travel and transportation of persons .....	70	74	65
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	100	120	126
23.2	Rental payments to others .....	15	12	12
23.3	Communications, utilities, and miscellaneous charges .....	14	5	5
24.0	Printing and reproduction .....	1		
25.1	Advisory and assistance services .....	420	424	318
25.2	Other services from non-Federal sources .....	68	167	139
25.3	Other goods and services from Federal sources .....	227	192	193
25.4	Operation and maintenance of facilities .....	24	14	13
25.7	Operation and maintenance of equipment .....	294	322	298
25.8	Subsistence and support of persons .....	4		
26.0	Supplies and materials .....	57	76	67
31.0	Equipment .....	216	311	162
32.0	Land and structures .....	23	10	11
41.0	Grants, subsidies, and contributions .....	91	101	79
42.0	Insurance claims and indemnities .....	2	1	1
99.0	Direct obligations .....	5,222	5,536	5,218
99.0	Reimbursable obligations .....		9	5
99.9	Total new obligations .....	5,222	5,545	5,223

**Employment Summary**

Identification code 70-0550-0-1-402	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	56,317	57,233	56,581

**AVIATION SECURITY**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 70-0550-2-1-402	2012 actual	2013 CR	2014 est.
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**Budgetary Resources:**

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		-122
1160	Appropriation, discretionary (total) .....		-122
Spending authority from offsetting collections, discretionary:			
1700	Collected .....		122
1750	Spending auth from offsetting collections, disc (total) .....		122

**Budget authority and outlays, net:**

Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034	Offsetting governmental collections .....		-122
4180	Budget authority, net (total) .....		-122
4190	Outlays, net (total) .....		-122

**FEDERAL AIR MARSHALS**

For necessary expenses of the Transportation Security Administration for federal air marshal activities, \$826,522,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0541-0-1-402	2012 actual	2013 CR	2014 est.
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**Obligations by program activity:**

0001	Direct program activity .....	964	972	827
0801	Reimbursable program activity .....		1	1
0900	Total new obligations .....	964	973	828

**Budgetary Resources:**

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	966	972	827
1160	Appropriation, discretionary (total) .....	966	972	827
Spending authority from offsetting collections, discretionary:				
1700	Collected .....		1	1
1750	Spending auth from offsetting collections, disc (total) .....		1	1
1900	Budget authority (total) .....	966	973	828
1930	Total budgetary resources available .....	966	973	828
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-2		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	156	165	195
3010	Obligations incurred, unexpired accounts .....	964	973	828
3011	Obligations incurred, expired accounts .....	4		
3020	Outlays (gross) .....	-952	-943	-868
3041	Recoveries of prior year unpaid obligations, expired .....	-7		
3050	Unpaid obligations, end of year .....	165	195	155
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	156	165	195
3200	Obligated balance, end of year .....	165	195	155

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	966	973	828
Outlays, gross:				
4010	Outlays from new discretionary authority .....	831	876	745
4011	Outlays from discretionary balances .....	121	67	123
4020	Outlays, gross (total) .....	952	943	868
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-1	-1
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ....	-1	-1	-1
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	966	972	827
4080	Outlays, net (discretionary) .....	951	942	867
4180	Budget authority, net (total) .....	966	972	827
4190	Outlays, net (total) .....	951	942	867

The Budget proposes \$827 million for Federal Air Marshal activities. The Federal Air Marshal Service promotes confidence in and protects our Nation's civil aviation system through the domestic and international deployment of Federal Air Marshals to detect, deter, and defeat hostile acts against passengers, crew, and property aboard U.S. aircraft.

**Object Classification** (in millions of dollars)

Identification code 70-0541-0-1-402	2012 actual	2013 CR	2014 est.
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**Direct obligations:**

Personnel compensation:				
11.1	Full-time permanent .....	380	400	370
11.3	Other than full-time permanent .....		14	13
11.5	Other personnel compensation .....	120	115	106
11.8	Special personal services payments .....		1	1
11.9	Total personnel compensation .....	500	530	490
12.1	Civilian personnel benefits .....	230	206	191
21.0	Travel and transportation of persons .....	114	119	106
23.1	Rental payments to GSA .....	3	4	1
23.2	Rental payments to others .....	25	17	6
23.3	Communications, utilities, and miscellaneous charges .....	13	15	5
25.1	Advisory and assistance services .....	33	14	4
25.2	Other services from non-Federal sources .....	2	27	9
25.3	Other goods and services from Federal sources .....	17	13	5
25.4	Operation and maintenance of facilities .....	4	3	1
25.6	Medical care .....		2	1
25.7	Operation and maintenance of equipment .....	6	6	2
26.0	Supplies and materials .....	7	6	3
31.0	Equipment .....	8	10	3
32.0	Land and structures .....	2		
99.0	Direct obligations .....	964	972	827
99.0	Reimbursable obligations .....		1	1

FEDERAL AIR MARSHALS—Continued  
Object Classification—Continued

Identification code 70-0541-0-1-402	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	964	973	828

SURFACE TRANSPORTATION SECURITY

For necessary expenses of the Transportation Security Administration related to surface transportation security activities, \$109,331,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0551-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	111	136	109
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	52	52
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	135	136	109
1160 Appropriation, discretionary (total) .....	135	136	109
1930 Total budgetary resources available .....	163	188	161
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	52	52	52
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	33	43
3010 Obligations incurred, unexpired accounts .....	111	136	109
3020 Outlays (gross) .....	-107	-126	-110
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	33	43	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	33	43
3200 Obligated balance, end of year .....	33	43	42
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	135	136	109
Outlays, gross:			
4010 Outlays from new discretionary authority .....	61	95	76
4011 Outlays from discretionary balances .....	46	31	34
4020 Outlays, gross (total) .....	107	126	110
4180 Budget authority, net (total) .....	135	136	109
4190 Outlays, net (total) .....	107	126	110

The Budget proposes \$109 million for surface transportation security activities. This funding will support operational requirements associated with day-to-day support personnel and resources dedicated to evaluating the risk of terrorist attack on surface transportation modes, assessing the standards and procedures to address those risks, and ensuring compliance with regulations and policies. This includes resources to support inspectors, canine teams, and Visible Intermodal Prevention and Response teams deployed to augment surface transportation security.

Object Classification (in millions of dollars)

Identification code 70-0551-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	55	74	67
11.5 Other personnel compensation .....	4	1	1

11.9 Total personnel compensation .....	59	75	68
12.1 Civilian personnel benefits .....	19	21	19
21.0 Travel and transportation of persons .....	4	3	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....		1	
25.1 Advisory and assistance services .....	15		
25.2 Other services from non-Federal sources .....	1	26	12
25.3 Other goods and services from Federal sources .....	2		
26.0 Supplies and materials .....	2	2	
31.0 Equipment .....	2		
41.0 Grants, subsidies, and contributions .....	6	7	7
99.9 Total new obligations .....	111	136	109

Employment Summary

Identification code 70-0551-0-1-401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	751	839	720

TRANSPORTATION SECURITY SUPPORT

For necessary expenses of the Transportation Security Administration related to transportation security support and intelligence pursuant to the Aviation and Transportation Security Act (Public Law 107-71; 115 Stat. 597; 49 U.S.C. 40101 note), \$997,789,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0554-0-1-400	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	992	1,038	998
0801 Reimbursable Agreements .....	1	3	1
0900 Total new obligations .....	993	1,041	999
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	111	169	169
1021 Recoveries of prior year unpaid obligations .....	15		
1050 Unobligated balance (total) .....	126	169	169
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,032	1,038	998
1160 Appropriation, discretionary (total) .....	1,032	1,038	998
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	3	1
1750 Spending auth from offsetting collections, disc (total) .....	5	3	1
1900 Budget authority (total) .....	1,037	1,041	999
1930 Total budgetary resources available .....	1,163	1,210	1,168
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	169	169	169

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	665	635	783
3010 Obligations incurred, unexpired accounts .....	993	1,041	999
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-988	-893	-1,099
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15		
3041 Recoveries of prior year unpaid obligations, expired .....	-25		
3050 Unpaid obligations, end of year .....	635	783	683
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	664	634	782
3200 Obligated balance, end of year .....	634	782	682

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	1,037	1,041	999
Outlays, gross:				
4010	Outlays from new discretionary authority .....	427	730	700
4011	Outlays from discretionary balances .....	561	163	399
4020	Outlays, gross (total) .....	988	893	1,099
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-3	-1
4033	Non-Federal sources .....	-7		
4040	Offsets against gross budget authority and outlays (total) ....	-8	-3	-1
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	3		
4070	Budget authority, net (discretionary) .....	1,032	1,038	998
4080	Outlays, net (discretionary) .....	980	890	1,098
4180	Budget authority, net (total) .....	1,032	1,038	998
4190	Outlays, net (total) .....	980	890	1,098

The Budget proposes \$998 million for a wide range of support functions for TSA missions. Significant support activities include information technology, intelligence and analysis, human capital services, and headquarters' administration functions, such as policy development, finance and administration, acquisitions, and legal counsel.

**Object Classification** (in millions of dollars)

Identification code 70-0554-0-1-400	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	179	191	209
11.3	Other than full-time permanent .....	3	3	3
11.5	Other personnel compensation .....	5	9	10
11.9	Total personnel compensation .....	187	203	222
12.1	Civilian personnel benefits .....	56	55	60
13.0	Benefits for former personnel .....	6	7	7
21.0	Travel and transportation of persons .....	8	10	7
23.1	Rental payments to GSA .....	3	3	3
23.2	Rental payments to others .....	34	28	28
23.3	Communications, utilities, and miscellaneous charges .....	42	47	46
25.1	Advisory and assistance services .....	510	360	326
25.2	Other services from non-Federal sources .....	4	140	123
25.3	Other goods and services from Federal sources .....	78	111	106
25.4	Operation and maintenance of facilities .....	11	7	6
25.7	Operation and maintenance of equipment .....	22	28	27
26.0	Supplies and materials .....	2	3	2
31.0	Equipment .....	29	35	34
32.0	Land and structures .....		1	1
99.0	Direct obligations .....	992	1,038	998
99.0	Reimbursable obligations .....	1	3	1
99.9	Total new obligations .....	993	1,041	999

**Employment Summary**

Identification code 70-0554-0-1-400	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	1,785	1,901	2,157

**TRANSPORTATION THREAT ASSESSMENT AND CREDENTIALING**

For necessary expenses of the Transportation Security Administration for the development and implementation of vetting and credentialing activities, \$180,617,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0557-0-1-400	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	202	165	181
0002	Fees .....	56	80	66
0799	Total direct obligations .....	258	245	247
0801	Reimbursable program activity .....	2	10	6
0900	Total new obligations .....	260	255	253
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	103	66	66
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	98		
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	105	66	66
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	164	165	181
1160	Appropriation, discretionary (total) .....	164	165	181
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections (cash) - TWIC .....	32	48	37
1700	Offsetting collections (cash) - HAZMAT CDL .....	11	12	12
1700	Offsetting collections (cash) - GA, IAC, SSI, & OSTA (prior to FY13) .....	1		
1700	Offsetting collections (cash) - Comm Aviation and Airport (formerly known as SIDA) .....	6	8	7
1700	Reimbursable Agreements .....	2	10	6
1700	Offsetting collections (cash) - Air Cargo (starting FY13, incl. IAC and CCSP) .....	1	7	5
1701	Change in uncollected payments, Federal sources .....	-1		
1750	Spending auth from offsetting collections, disc (total) .....	52	85	67
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	5	5	5
1850	Spending auth from offsetting collections, mand (total) .....	5	5	5
1900	Budget authority (total) .....	221	255	253
1930	Total budgetary resources available .....	326	321	319
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	66	66	66
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	118	168	176
3010	Obligations incurred, unexpired accounts .....	260	255	253
3020	Outlays (gross) .....	-207	-247	-253
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	168	176	176
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	117	168	176
3200	Obligated balance, end of year .....	168	176	176
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	216	250	248
Outlays, gross:				
4010	Outlays from new discretionary authority .....	91	126	125
4011	Outlays from discretionary balances .....	114	116	123
4020	Outlays, gross (total) .....	205	242	248
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Baseline Program [Text] .....	-2		
4030	Federal sources .....		-10	-6
4034	Offsetting governmental collections .....	-51	-75	-61
4040	Offsets against gross budget authority and outlays (total) ....	-53	-85	-67
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4070	Budget authority, net (discretionary) .....	164	165	181
4080	Outlays, net (discretionary) .....	152	157	181
Mandatory:				
4090	Budget authority, gross .....	5	5	5
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	5	5
4101	Outlays from mandatory balances .....	1		

TRANSPORTATION THREAT ASSESSMENT AND CREDENTIALING—Continued  
Program and Financing—Continued

Identification code 70-0557-0-1-400	2012 actual	2013 CR	2014 est.
4110 Outlays, gross (total) .....	2	5	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4124 Offsetting governmental collections .....	-5	-5	-5
4124 Offsetting governmental collections .....	-5	-5	-5
4130 Offsets against gross budget authority and outlays (total) ....	-5	-5	-5
4170 Outlays, net (mandatory) .....	-3	-3	-3
4180 Budget authority, net (total) .....	164	165	181
4190 Outlays, net (total) .....	149	157	181

The Budget proposes \$242 million in mandatory and discretionary resources of which \$181 million is directly appropriated and the remainder is generated from fees. The mission of the vetting and credentialing programs is to enhance the interdiction of terrorists and their instruments of terrorism by streamlining terrorist-related threat assessments by coordinating procedures that detect, identify, track, and interdict people, cargo, conveyances, and other entities and objects posing a threat to homeland security. This includes safeguarding legal rights, including freedoms, civil liberties, and information privacy guaranteed by Federal law. Some of the major vetting programs within this appropriation include: Secure Flight, Commercial Aviation and Airport Workers, Flight Crews, Transportation Worker Identification Credential, Alien Flight Students, Hazardous Materials Endorsement Threat Assessments, and Air Cargo Workers.

Object Classification (in millions of dollars)

Identification code 70-0557-0-1-400	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	38	48	46
11.5 Other personnel compensation .....	2	3	2
11.9 Total personnel compensation .....	40	51	48
12.1 Civilian personnel benefits .....	11	14	13
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	6	6	5
23.3 Communications, utilities, and miscellaneous charges .....			1
25.1 Advisory and assistance services .....	118	63	62
25.2 Other services from non-Federal sources .....	2	37	38
25.3 Other goods and services from Federal sources .....	14	16	14
25.4 Operation and maintenance of facilities .....	1	1	4
25.7 Operation and maintenance of equipment .....	4	9	14
31.0 Equipment .....	62	47	47
99.0 Direct obligations .....	258	245	247
99.0 Reimbursable obligations .....		10	6
25.3 Allocation Account - reimbursable: Other goods and services from Federal sources .....	2		
99.9 Total new obligations .....	260	255	253

Employment Summary

Identification code 70-0557-0-1-400	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	434	527	491

FEDERAL LAW ENFORCEMENT TRAINING  
CENTER

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Law Enforcement Training Center, including materials and support costs of Federal law enforcement basic training; the purchase of not to exceed 117 vehicles for police-type use and hire of passenger motor vehicles; expenses for student athletic and related activities; the conduct of and participation in firearms matches and

presentation of awards; public awareness and enhancement of community support of law enforcement training; room and board for student interns; a flat monthly reimbursement to employees authorized to use personal mobile phones for official duties; and services as authorized by section 3109 of title 5, United States Code; \$240,544,000; of which up to \$53,625,000 shall remain available until September 30, 2015, for materials and support costs of Federal law enforcement basic training; of which \$300,000 shall remain available until expended to be distributed to Federal law enforcement agencies for expenses incurred participating in training accreditation; and of which not to exceed \$10,200 shall be for official reception and representation expenses: Provided, That the Center is authorized to obligate funds in anticipation of reimbursements from agencies receiving training sponsored by the Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available at the end of the fiscal year: Provided further, That section 1202(a) of Public Law 107-206 (42 U.S.C. 3771 note), as amended by Public Law 112-74, is further amended by striking "December 31, 2015" and inserting "December 31, 2016": Provided further, That the Director of the Federal Law Enforcement Training Center shall schedule basic or advanced law enforcement training, or both, at all four training facilities under the control of the Federal Law Enforcement Training Center to ensure that such training facilities are operated at the highest capacity throughout the fiscal year: Provided further, That the Federal Law Enforcement Training Accreditation Board, including representatives from the Federal law enforcement community and non-Federal accreditation experts involved in law enforcement training, shall lead the Federal law enforcement training accreditation process to continue the implementation of measuring and assessing the quality and effectiveness of Federal law enforcement training programs, facilities, and instructors.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0509-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Law Enforcement Training .....	202	228	216
0002 Management and Administration .....	29	30	29
0003 Accreditation .....	2	1	1
0799 Total direct obligations .....	233	259	246
0801 Reimbursable program activity .....	70	90	108
0900 Total new obligations .....	303	349	354
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	22	5
1021 Recoveries of prior year unpaid obligations .....	2	2	
1050 Unobligated balance (total) .....	18	24	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	239	240	241
1160 Appropriation, discretionary (total) .....	239	240	241
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	66	85	102
1701 Change in uncollected payments, Federal sources .....	3	5	6
1750 Spending auth from offsetting collections, disc (total) .....	69	90	108
1900 Budget authority (total) .....	308	330	349
1930 Total budgetary resources available .....	326	354	354
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	22	5	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	60	52	66
3010 Obligations incurred, unexpired accounts .....	303	349	354
3011 Obligations incurred, expired accounts .....	11	2	
3020 Outlays (gross) .....	-311	-329	-349
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	
3041 Recoveries of prior year unpaid obligations, expired .....	-9	-6	
3050 Unpaid obligations, end of year .....	52	66	71

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-13	-14
3070	Change in uncollected pymts, Fed sources, unexpired .....	-3	-5	-6
3071	Change in uncollected pymts, Fed sources, expired .....	4	4	4
3090	Uncollected pymts, Fed sources, end of year .....	-13	-14	-16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	46	39	52
3200	Obligated balance, end of year .....	39	52	55

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	308	330	349
Outlays, gross:				
4010	Outlays from new discretionary authority .....	240	284	301
4011	Outlays from discretionary balances .....	71	45	48
4020	Outlays, gross (total) .....	311	329	349
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-68	-87	-106
4033	Non-Federal sources .....	-10	-2	-2
4040	Offsets against gross budget authority and outlays (total) ....	-78	-89	-108
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-3	-5	-6
4052	Offsetting collections credited to expired accounts .....	12	4	6
4060	Additional offsets against budget authority only (total) .....	9	-1	
4070	Budget authority, net (discretionary) .....	239	240	241
4080	Outlays, net (discretionary) .....	233	240	241
4180	Budget authority, net (total) .....	239	240	241
4190	Outlays, net (total) .....	233	240	241

The Federal Law Enforcement Training Center (FLETC) serves as an interagency law enforcement training organization for over 90 Partner Organizations, providing the necessary facilities, equipment, and support services to conduct advanced, specialized, and refresher training for Federal law enforcement personnel. FLETC personnel conduct the instructional programs for basic law enforcement recruits and some advanced training based on agency requests. Additionally, FLETC provides tuition-free or reduced cost training opportunities to state, local, rural, tribal and territorial law enforcement officers through export training deliveries, distance learning, and, on a space-available basis, advanced training conducted at any of FLETC's domestic campuses. In cooperation with the Department of State, FLETC manages the International Law Enforcement Academy (ILEA) at Gabarone, Botswana; assists in the management of the ILEA in Bangkok, Thailand; and, supports training at the other ILEAs in Budapest, Hungary, and San Salvador, El Salvador. Also, FLETC provides training and technical assistance at locations worldwide in collaboration with and in support of U.S. Embassies.

**Object Classification** (in millions of dollars)

Identification code 70-0509-0-1-751				
		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	88	88	87
11.3	Other than full-time permanent .....	1	1	2
11.5	Other personnel compensation .....	5	5	3
11.9	Total personnel compensation .....	94	94	92
12.1	Civilian personnel benefits .....	32	32	31
21.0	Travel and transportation of persons .....	7	6	5
22.0	Transportation of things .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	8	10	10
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	65	83	75
26.0	Supplies and materials .....	7	9	8
31.0	Equipment .....	18	23	23
99.0	Direct obligations .....	233	259	246
99.0	Reimbursable obligations .....	70	90	108
99.9	Total new obligations .....	303	349	354

**Employment Summary**

Identification code 70-0509-0-1-751				
		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	1,062	1,103	1,099
2001	Reimbursable civilian full-time equivalent employment .....	81	80	110

**ACQUISITIONS, CONSTRUCTION, IMPROVEMENTS, AND RELATED EXPENSES**

*For acquisition of necessary additional real property and facilities, construction, and ongoing maintenance, facility improvements, and related expenses of the Federal Law Enforcement Training Center, \$30,885,000, to remain available until September 30, 2018: Provided, That the Center is authorized to accept reimbursement to this appropriation from government agencies requesting the construction of special use facilities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0510-0-1-751				
		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0002	Direct program activity .....	38	43	31
0801	Reimbursable program activity .....	22	87	714
0900	Total new obligations .....	60	130	745

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	65	32	22
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-45		
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	21	32	22
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	32	33	31
1160	Appropriation, discretionary (total) .....	32	33	31
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	65	49	714
1701	Change in uncollected payments, Federal sources .....	-26	38	
1750	Spending auth from offsetting collections, disc (total) .....	39	87	714
1900	Budget authority (total) .....	71	120	745
1930	Total budgetary resources available .....	92	152	767
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	32	22	22

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	115	59	101
3010	Obligations incurred, unexpired accounts .....	60	130	745
3020	Outlays (gross) .....	-115	-88	-152
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	59	101	694
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-104	-33	-71
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	45		
3070	Change in uncollected pymts, Fed sources, unexpired .....	26	-38	
3090	Uncollected pymts, Fed sources, end of year .....	-33	-71	-71
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	56	26	30
3200	Obligated balance, end of year .....	26	30	623

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	71	120	745
Outlays, gross:				
4010	Outlays from new discretionary authority .....	25	14	89
4011	Outlays from discretionary balances .....	90	74	63
4020	Outlays, gross (total) .....	115	88	152
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-65	-49	-714

ACQUISITIONS, CONSTRUCTION, IMPROVEMENTS, AND RELATED EXPENSES—Continued

Program and Financing—Continued

Identification code 70-0510-0-1-751	2012 actual	2013 CR	2014 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	26	-38	.....
4070 Budget authority, net (discretionary) .....	32	33	31
4080 Outlays, net (discretionary) .....	50	39	-562
4180 Budget authority, net (total) .....	32	33	31
4190 Outlays, net (total) .....	50	39	-562

This account provides for the acquisition and related costs for the expansion and maintenance of the Federal Law Enforcement Training Center, to include funding for construction based on the Facilities Master Plan, Minor Construction and Maintenance, Environmental Compliance, and Communications Systems.

The Master Plan provides the long range blueprint for expansion of facilities to meet the training requirements of over 90 Partner Organizations. Minor Construction and Maintenance provides alterations and maintenance funding for approximately 300 buildings at four locations (Glynco, Georgia; Artesia, New Mexico; Charleston, South Carolina; and Cheltenham, Maryland). Environmental Compliance funding is to ensure compliance with the EPA and State environmental laws and regulations. Communications Systems funding is to maintain and repair or replace the fiber optics telecommunications cable system.

Object Classification (in millions of dollars)

Identification code 70-0510-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	3	3	3
32.0 Land and structures .....	35	40	28
99.0 Direct obligations .....	38	43	31
99.0 Reimbursable obligations .....	22	87	714
99.9 Total new obligations .....	60	130	745

IMMIGRATION AND CUSTOMS ENFORCEMENT

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to conduct investigations of criminal violations of Federal law relating to border security, customs and trade, immigration and naturalization, intellectual property rights, and travel and transportation, including overseas vetted units operations; and purchase and lease of up to 3,790 (2,350 for replacement only) police-type vehicles; \$4,956,822,000; of which not to exceed \$10,000,000 shall be available until expended for conducting special operations under section 3131 of the Customs Enforcement Act of 1986 (19 U.S.C. 2081); of which not to exceed \$12,750 shall be for official reception and representation expenses; of which not to exceed \$2,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security; of which not less than \$305,000 shall be for promotion of public awareness of the child pornography tipline and activities to counter child exploitation; of which not less than \$5,400,000 shall be used to facilitate agreements consistent with section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)); and of which not to exceed \$11,216,000 shall be available to fund or reimburse other Federal agencies for the costs associated with the care, maintenance, and repatriation of smuggled aliens unlawfully present in the United States: Provided, That none of the funds made available under this heading shall be available to compensate any employee for overtime in an annual amount in excess of \$35,000, except that the Secretary of Homeland Security, or the designee of the Secretary, may waive that amount as necessary for national security purposes and in cases of immigration emergencies: Provided further, That of the total amount provided, \$15,770,000 shall be for activities to enforce

laws against forced child labor, of which not to exceed \$6,000,000 shall remain available until expended: Provided further, That of the total amount available, not less than \$1,600,000,000 shall be available to identify aliens convicted of a crime who may be deportable, and to remove them from the United States once they are judged deportable: Provided further, That the Secretary of Homeland Security shall prioritize the identification and removal of aliens convicted of a crime by the severity of that crime: Provided further, That of the total amount provided, not less than \$2,590,713,000 is for enforcement and removal operations, including transportation of unaccompanied minor aliens: Provided further, That of the total amount provided, \$10,300,000 shall remain available until September 30, 2015, for the Visa Security Program: Provided further, That not less than \$10,000,000 shall be available for investigation of intellectual property rights violations, including the National Intellectual Property Rights Coordination Center: Provided further, That none of the funds provided under this heading may be used to continue a delegation of law enforcement authority authorized under section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)) if the Department of Homeland Security Inspector General determines that the terms of the agreement governing the delegation of authority have been violated: Provided further, That none of the funds provided under this heading may be used to continue any contract for the provision of detention services if the two most recent overall performance evaluations received by the contracted facility are less than "adequate" or the equivalent median score in any subsequent performance evaluation system: Provided further, That pursuant to section 503 of this Act, the Secretary may propose to reprogram funds necessary to ensure the detention of aliens prioritized for removal: Provided further, That nothing under this heading shall prevent U.S. Immigration and Customs Enforcement from exercising those authorities provided under immigration laws (as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))) during priority operations pertaining to aliens convicted of a crime.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Special and Trust Fund Receipts (in millions of dollars)

Identification code 70-0540-0-1-751	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
0260 Breached Bond/detention Fund .....	62	75	65
0261 Student and Exchange Visitor Fee .....	127	120	145
0299 Total receipts and collections .....	189	195	210
0400 Total: Balances and collections .....	189	195	210
Appropriations:			
0500 Immigration and Customs Enforcement .....	-127	-120	-145
0501 Immigration and Customs Enforcement .....	-62	-75	-65
0599 Total appropriations .....	-189	-195	-210
0799 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 70-0540-0-1-751	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity .....	5,809	5,562	4,957
0801 Reimbursable program activity .....	169	181	181
0900 Total new obligations .....	5,978	5,743	5,138
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	342	483	767
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	68	.....	.....
1011 Unobligated balance transfer from other accts [19-0113] .....	3	.....	.....
1021 Recoveries of prior year unpaid obligations .....	17	.....	.....
1050 Unobligated balance (total) .....	362	483	767
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,529	5,564	4,957
1121 Appropriations transferred from other accts [11-1070] .....	1	.....	.....

1121	Appropriations transferred from other accts [11–5512] ....	121	.....	.....
1131	Unobligated balance of appropriations permanently reduced .....	-16	-10	.....
1160	Appropriation, discretionary (total) .....	5,635	5,554	4,957
	Appropriations, mandatory:			
1201	Student and Exchange Visitor Program .....	127	120	145
1201	Breached Bond Fund .....	62	75	65
1201	Immigration User Fee .....	119	117	135
1260	Appropriations, mandatory (total) .....	308	312	345
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	89	161	161
1701	Change in uncollected payments, Federal sources .....	72	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	161	161	161
1900	Budget authority (total) .....	6,104	6,027	5,463
1930	Total budgetary resources available .....	6,466	6,510	6,230
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-5	.....	.....
1941	Unexpired unobligated balance, end of year .....	483	767	1,092

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1,813	1,489	1,612
3010	Obligations incurred, unexpired accounts .....	5,978	5,743	5,138
3011	Obligations incurred, expired accounts .....	71	.....	.....
3020	Outlays (gross) .....	-6,164	-5,620	-5,846
3040	Recoveries of prior year unpaid obligations, unexpired .....	-17	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-192	.....	.....
3050	Unpaid obligations, end of year .....	1,489	1,612	904
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-105	-105	-105
3070	Change in uncollected pymts, Fed sources, unexpired .....	-72	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	72	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-105	-105	-105
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,708	1,384	1,507
3200	Obligated balance, end of year .....	1,384	1,507	799

**Budget authority and outlays, net:**

	Discretionary:			
4000	Budget authority, gross .....	5,796	5,715	5,118
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	4,716	3,507	3,154
4011	Outlays from discretionary balances .....	1,193	1,717	2,208
4020	Outlays, gross (total) .....	5,909	5,224	5,362
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-158	-161	-161
4033	Non-Federal sources .....	-10	.....	.....
4040	Offsets against gross budget authority and outlays (total) ....	-168	-161	-161
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-72	.....	.....
4052	Offsetting collections credited to expired accounts .....	79	.....	.....
4060	Additional offsets against budget authority only (total) .....	7	.....	.....
4070	Budget authority, net (discretionary) .....	5,635	5,554	4,957
4080	Outlays, net (discretionary) .....	5,741	5,063	5,201
	Mandatory:			
4090	Budget authority, gross .....	308	312	345
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	148	281	312
4101	Outlays from mandatory balances .....	107	115	172
4110	Outlays, gross (total) .....	255	396	484
4180	Budget authority, net (total) .....	5,943	5,866	5,302
4190	Outlays, net (total) .....	5,996	5,459	5,685

As the largest investigative arm of the Department of Homeland Security, Immigration and Customs Enforcement (ICE) brings a unified and coordinated focus to the enforcement of Federal immigration and customs laws.

The Budget supports ICE's mission to enforce immigration and customs laws. ICE works to protect the United States and its people by deterring, interdicting, and investigating threats arising from the movement of people and goods into and out of the United States. Major programs funded by the Salaries and Expenses appropriation include:

**Investigations.**—Responsible for investigating a broad range of domestic and international activities, including human smuggling

and trafficking; weapons, narcotics and all other contraband smuggling; export enforcement, such as investigating illegal arms exports and exports of dual-use equipment that may threaten national security; financial crimes, such as money laundering, bulk cash smuggling, and other financial crimes; customs fraud and intellectual property rights violations; cybercrime; immigration crimes; child pornography and child sex tourism; and human rights violations.

**Intelligence.**—Responsible for the collection, analysis, and dissemination of strategic, operational, and tactical intelligence for use by the operational elements of ICE and DHS.

**Detention and Removal.**—Responsible for promoting the public safety and national security by ensuring the departure from the United States of all removable aliens through the fair enforcement of the nation's immigration laws.

**International Affairs.**—Responsible for investigating violations involving contraband smuggling, immigration violations, money laundering, arms/technology trafficking, child sexual exploitation and cyber crimes overseas.

**Principal Legal Advisor.**—Serves as the legal representative for the U.S. Government at immigration court hearings, and provides the legal advice, training, and services required to support the ICE mission while defending the immigration laws of the United States.

**Object Classification** (in millions of dollars)

Identification code 70–0540–0–1–751	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	1,579	1,823	1,527
11.3	Other than full-time permanent .....	18	61	17
11.5	Other personnel compensation .....	317	348	309
11.8	Special personal services payments .....	1	1	1
11.9	Total personnel compensation .....	1,915	2,233	1,854
12.1	Civilian personnel benefits .....	764	663	733
21.0	Travel and transportation of persons .....	339	194	294
22.0	Transportation of things .....	14	22	9
23.1	Rental payments to GSA .....	276	246	301
23.2	Rental payments to others .....	3	28	7
23.3	Communications, utilities, and miscellaneous charges .....	93	57	67
25.1	Advisory and assistance services .....	311	322	181
25.2	Other services from non-Federal sources .....	283	503	180
25.3	Other goods and services from Federal sources .....	73	98	38
25.4	Operation and maintenance of facilities .....	1,142	760	913
25.6	Medical care .....	162	118	159
25.7	Operation and maintenance of equipment .....	152	54	90
25.8	Subsistence and support of persons .....	.....	39	1
26.0	Supplies and materials .....	102	62	76
31.0	Equipment .....	90	151	42
32.0	Land and structures .....	4	10	4
42.0	Insurance claims and indemnities .....	3	2	3
91.0	Unvouchered .....	83	.....	5
99.0	Direct obligations .....	5,809	5,562	4,957
99.0	Reimbursable obligations .....	169	181	181
99.9	Total new obligations .....	5,978	5,743	5,138

**Employment Summary**

Identification code 70–0540–0–1–751	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	20,031	20,131	19,192
2001	Reimbursable civilian full-time equivalent employment .....	140	140	140

**AUTOMATION MODERNIZATION**

For expenses of immigration and customs enforcement automated systems, \$34,900,000, to remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The

## AUTOMATION MODERNIZATION—Continued

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 70–0543–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	43	12	35
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	7	7
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	38	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	22	22	35
1130 Appropriations permanently reduced .....		–3	
1131 Unobligated balance of appropriations permanently reduced .....	–10	–7	
1160 Appropriation, discretionary (total) .....	12	12	35
1930 Total budgetary resources available .....	50	19	42
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	96	69	79
3010 Obligations incurred, unexpired accounts .....	43	12	35
3020 Outlays (gross) .....	–65	–2	–21
3040 Recoveries of prior year unpaid obligations, unexpired .....	–5		
3050 Unpaid obligations, end of year .....	69	79	93
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	96	69	79
3200 Obligated balance, end of year .....	69	79	93
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	12	35
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	2	7
4011 Outlays from discretionary balances .....	59		14
4020 Outlays, gross (total) .....	65	2	21
4180 Budget authority, net (total) .....	12	12	35
4190 Outlays, net (total) .....	65	2	21

**Automation Modernization.**—Automation Modernization strengthens information availability, while improving information sharing across DHS, ICE, and other partner organizations in a fully secure IT environment.

## Object Classification (in millions of dollars)

Identification code 70–0543–0–1–751	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	17	5	13
25.2 Other services from non-Federal sources .....	16	5	
25.7 Operation and maintenance of equipment .....	1		
31.0 Equipment .....	9	2	22
99.9 Total new obligations .....	43	12	35

## CONSTRUCTION

**For necessary expenses to plan, construct, renovate, equip, and maintain buildings and facilities necessary for the administration and enforcement of the laws relating to customs and immigration, \$5,000,000, to remain available until expended.**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 70–0545–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	7	1	5
0900 Total new obligations (object class 25.4) .....	7	1	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	4	3
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	11	4	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			5
1160 Appropriation, discretionary (total) .....			5
1930 Total budgetary resources available .....	11	4	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	87	64	62
3010 Obligations incurred, unexpired accounts .....	7	1	5
3020 Outlays (gross) .....	–20	–3	–2
3040 Recoveries of prior year unpaid obligations, unexpired .....	–10		
3050 Unpaid obligations, end of year .....	64	62	65
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	87	64	62
3200 Obligated balance, end of year .....	64	62	65
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			5
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4011 Outlays from discretionary balances .....	20	3	1
4020 Outlays, gross (total) .....	20	3	2
4180 Budget authority, net (total) .....			5
4190 Outlays, net (total) .....	20	3	2

**Construction.**—The funding within this account is used for the acquisition, construction, and maintenance of ICE facilities.

## CUSTOMS AND BORDER PROTECTION

## Federal Funds

## U.S. CUSTOMS AND BORDER PROTECTION

## SALARIES AND EXPENSES

*For necessary expenses for enforcement of laws relating to border security, immigration, customs, agricultural inspections and regulatory activities related to plant and animal imports, and transportation of unaccompanied minor aliens; purchase and lease of up to 7,500 (6,500 for replacement only) police-type vehicles; and contracting with individuals for personal services abroad; \$9,127,088,000; of which \$3,274,000 shall be derived from the Harbor Maintenance Trust Fund for administrative expenses related to the collection of the Harbor Maintenance Fee pursuant to section 9505(c)(3) of the Internal Revenue Code of 1986 (26 U.S.C. 9505(c)(3)) and notwithstanding section 1511(e)(1) of the Homeland Security Act of 2002 (6 U.S.C. 551(e)(1)); of which not to exceed \$38,250 shall be for official reception and representation expenses; of which not less than \$286,769,000 shall be for Air and Marine Operations; of which such sums as become available in the Customs User Fee Account, except sums subject to section 13031(f)(3) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(f)(3)), shall be derived from that account; of which not to exceed \$150,000 shall be available for payment for rental space in connection with preclearance operations; of which not to exceed \$1,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security: Provided, That for fiscal year 2014, the overtime limitation prescribed in section 5(c)(1) of the Act of February 13, 1911 (19 U.S.C. 267(c)(1)) shall be \$35,000; and notwithstanding any other provision of law, none of the*

funds appropriated by this Act may be available to compensate any employee of U.S. Customs and Border Protection for overtime, from whatever source, in an amount that exceeds such limitation, except in individual cases determined by the Secretary of Homeland Security, or the designee of the Secretary, to be necessary for national security purposes, to prevent excessive costs, or in cases of immigration emergencies: Provided further, That of the amount provided under this heading, \$253,533,000 is for necessary expenses for the United States Visitor and Immigrant Status Indicator Technology program, as authorized by section 110 of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1365a), of which \$118,787,000 shall remain available until September 30, 2016.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 70–0530–0–1–751	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	1	719	830
Adjustments:			
0190 Adjustment- legal opinion that certain unobligated balances are unavailable for obligation	640		
0191 Adjustment - prior year small receipt balance missing	3		
0199 Balance, start of year	644	719	830
Receipts:			
0220 User Fees for Customs Services at Small Airports	7	8	9
0260 Immigration User Fee	686	698	724
0261 Immigration User Fee			166
0262 Land Border Inspection Fee	38	42	43
0263 Immigrant Enforcement Account	1	1	1
0264 Customs Conveyance, Passenger, and Other Fees	463	481	500
0265 Customs Conveyance, Passenger, and Other Fees			194
0266 US Customs User Fees Account, Merchandise Processing	2,097	2,160	2,237
0267 Elimination of NAFTA Certain Customs Fees Exemption	83	110	110
0299 Total receipts and collections	3,375	3,500	3,984
0400 Total: Balances and collections	4,019	4,219	4,814
Appropriations:			
0500 Immigration and Customs Enforcement	-119	-117	-135
0501 Customs and Border Protection (Small Airports)	-9	-8	-9
0502 Customs and Border Protection (MPF)	-2,100	-2,160	-2,237
0503 Customs and Border Protection (COBRA FTA)			-110
0504 Customs and Border Protection (Harbor Maintenance)	-3	-3	-3
0505 Customs and Border Protection (COBRA Increase)			-194
0506 Customs and Border Protection (IUF Increase)			-166
0507 Customs and Border Protection (Land Border)	-38	-42	-43
0508 Customs and Border Protection (IUF)	-567	-577	-599
0509 Customs and Border Protection (Enforcement Fines)	-1	-1	-1
0510 Customs and Border Protection (COBRA)	-463	-481	-500
0599 Total appropriations	-3,300	-3,389	-3,997
0799 Balance, end of year	719	830	817

**Program and Financing** (in millions of dollars)

Identification code 70–0530–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Headquarters M&A	1,979	1,988	1,782
0002 Border Security, at POEs	4,266	4,363	5,023
0003 Border Security, between POEs	3,631	3,645	3,757
0004 Air & Marine	288	290	287
0005 US VISIT			254
0799 Total direct obligations	10,164	10,286	11,103
0801 Reimbursable program activity	303	343	345
0802 Reimbursable program activity Border Security at POE	1,275	1,205	1,213
0803 Reimbursable program activity - Between Point of Entry	1	2	2
0804 Reimbursable program activity Air and Marine	7	6	6
0899 Total reimbursable obligations	1,586	1,556	1,566
0900 Total new obligations	11,750	11,842	12,669
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	823	173	108
1001 Discretionary unobligated balance brought fwd, Oct 1	16	8	

1011 Unobligated balance transfer from other accts [19–0113]	1		
1012 Unobligated balance transfers between expired and unexpired accounts	8		
1020 Adjustment of unobligated bal brought forward, Oct 1	-640		
1021 Recoveries of prior year unpaid obligations	8	7	
1050 Unobligated balance (total)	200	180	108
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,577	6,570	6,887
1100 Sandy Supplemental		2	
1101 Appropriation (Small Airports)	9	8	9
1101 Appropriation (MPF)	2,100	2,160	2,237
1101 Appropriation (COBRA FTA)			110
1101 Harbor Maintenance Fee	3	3	3
1101 Appropriation (COBRA Fee Increase)			194
1101 Appropriation (IUF Increase)			166
1121 Appropriations transferred from other accts [70–0521]	4		
1121 Appropriations transferred from other accts [11–5512]	14		
1121 Appropriations transferred from other accts [70–0533]	15		
1131 Unobligated balance of appropriations permanently reduced	-5	-5	
1160 Appropriation, discretionary (total)	8,717	8,738	9,606
Appropriations, mandatory:			
1201 Appropriation (Land Border)	38	42	43
1201 Appropriation (IUF)	567	577	599
1201 Appropriation (Enforcement fines)	1	1	1
1201 Appropriation (COBRA)	463	481	500
1221 Appropriations transferred from other accts [12–1600]	349	350	355
1260 Appropriations, mandatory (total)	1,418	1,451	1,498
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,516	1,556	1,566
1700 Collected (private public partnership)		25	25
1701 Change in uncollected payments, Federal sources	74		
1750 Spending auth from offsetting collections, disc (total)	1,590	1,581	1,591
1900 Budget authority (total)	11,725	11,770	12,695
1930 Total budgetary resources available	11,925	11,950	12,803
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	173	108	134

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,876	1,793	1,359
3010 Obligations incurred, unexpired accounts	11,750	11,842	12,669
3011 Obligations incurred, expired accounts	65		
3020 Outlays (gross)	-11,758	-12,269	-12,829
3040 Recoveries of prior year unpaid obligations, unexpired	-8	-7	
3041 Recoveries of prior year unpaid obligations, expired	-132		
3050 Unpaid obligations, end of year	1,793	1,359	1,199
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-163	-161	-161
3070 Change in uncollected pymts, Fed sources, unexpired	-74		
3071 Change in uncollected pymts, Fed sources, expired	76		
3090 Uncollected pymts, Fed sources, end of year	-161	-161	-161
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,713	1,632	1,198
3200 Obligated balance, end of year	1,632	1,198	1,038

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross	10,307	10,319	11,197
Outlays, gross:			
4010 Outlays from new discretionary authority	9,264	9,384	10,161
4011 Outlays from discretionary balances	1,412	1,407	1,172
4020 Outlays, gross (total)	10,676	10,791	11,333
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,543	-1,556	-1,566
4033 Non-Federal sources	-44	-25	-25
4040 Offsets against gross budget authority and outlays (total)	-1,587	-1,581	-1,591
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-74		
4052 Offsetting collections credited to expired accounts	71		
4060 Additional offsets against budget authority only (total)	-3		
4070 Budget authority, net (discretionary)	8,717	8,738	9,606
4080 Outlays, net (discretionary)	9,089	9,210	9,742
Mandatory:			
4090 Budget authority, gross	1,418	1,451	1,498
Outlays, gross:			
4100 Outlays from new mandatory authority	930	1,336	1,413

U.S. CUSTOMS AND BORDER PROTECTION—Continued  
Program and Financing—Continued

Identification code 70-0530-0-1-751	2012 actual	2013 CR	2014 est.
4101 Outlays from mandatory balances .....	152	142	83
4110 Outlays, gross (total) .....	1,082	1,478	1,496
4180 Budget authority, net (total) .....	10,135	10,189	11,104
4190 Outlays, net (total) .....	10,171	10,688	11,238

Among the missions at the Department of Homeland Security, U.S. Customs and Border Protection (CBP) is responsible for securing America's borders, while facilitating legitimate trade and travel. CBP is responsible for inspecting travelers at land, sea, and air ports-of-entry for immigration, customs, and agriculture compliance, as well as interdicting illegal crossers between ports-of-entry. CBP is responsible for enforcing the laws regarding admission of foreign-born persons into the United States; identifying and apprehending aliens; and ensuring that all goods and persons entering and exiting the United States do so legally.

## Object Classification (in millions of dollars)

Identification code 70-0530-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	4,448	4,597	5,102
11.3 Other than full-time permanent .....	13	13	21
11.5 Other personnel compensation .....	1,174	1,209	1,237
11.9 Total personnel compensation .....	5,635	5,819	6,360
12.1 Civilian personnel benefits .....	2,328	2,409	2,688
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....	116	116	96
22.0 Transportation of things .....	11	9	10
23.1 Rental payments to GSA .....	449	413	344
23.2 Rental payments to others .....	28	40	50
23.3 Communications, utilities, and miscellaneous charges .....	151	150	98
24.0 Printing and reproduction .....	11	8	4
25.1 Advisory and assistance services .....	7	7	22
25.2 Other services from non-Federal sources .....	587	517	691
25.3 Other goods and services from Federal sources .....	87	84	68
25.4 Operation and maintenance of facilities .....	76	112	44
25.6 Medical care .....	13	13	5
25.7 Operation and maintenance of equipment .....	189	154	195
25.8 Subsistence and support of persons .....	4	4	.....
26.0 Supplies and materials .....	200	167	191
31.0 Equipment .....	268	260	232
42.0 Insurance claims and indemnities .....	2	2	3
99.0 Direct obligations .....	10,164	10,286	11,103
99.0 Reimbursable obligations .....	1,586	1,556	1,566
99.9 Total new obligations .....	11,750	11,842	12,669

## Employment Summary

Identification code 70-0530-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	52,031	50,821	51,811
2001 Reimbursable civilian full-time equivalent employment .....	6,759	8,759	8,759

## BORDER SECURITY FENCING, INFRASTRUCTURE, AND TECHNOLOGY

For expenses for border security fencing, infrastructure, and technology, \$351,454,00, to remain available until September 30, 2016.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 70-0533-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Program Management .....		55	.....
0002 Development and Deployment .....	225	246	191
0003 Operations and Maintenance .....	207	149	160
0900 Total new obligations .....	432	450	351
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	390	405	354
1021 Recoveries of prior year unpaid obligations .....	69	.....	.....
1050 Unobligated balance (total) .....	459	405	354
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	400	402	351
1120 Appropriations transferred to other accts [70-0530] .....	-15	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-7	-3	.....
1160 Appropriation, discretionary (total) .....	378	399	351
1930 Total budgetary resources available .....	837	804	705
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	405	354	354

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	688	645	537
3010 Obligations incurred, unexpired accounts .....	432	450	351
3011 Obligations incurred, expired accounts .....	15	.....	.....
3020 Outlays (gross) .....	-418	-558	-348
3040 Recoveries of prior year unpaid obligations, unexpired .....	-69	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	645	537	540
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	688	645	537
3200 Obligated balance, end of year .....	645	537	540

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	378	399	351
Outlays, gross:			
4010 Outlays from new discretionary authority .....	66	60	53
4011 Outlays from discretionary balances .....	352	498	295
4020 Outlays, gross (total) .....	418	558	348
4180 Budget authority, net (total) .....	378	399	351
4190 Outlays, net (total) .....	418	558	348

This appropriation will fund acquisition, delivery, and sustainment of border security technology and infrastructure capabilities and services, while responding to changing threats and evolving operational needs including: 1) Delivering detection and surveillance technology systems to gain situational awareness of activity at the border; 2) Establishing and managing comprehensive Tactical Infrastructure (TI) maintenance and repair activities to support fielded pedestrian and vehicle fencing, roads, tower sites, canal crossovers, ongoing vegetation removal, among other similar efforts; 3) Modernizing Tactical Communications (TACCOM) systems on the southwest border for improved operations and agent safety; and, 4) Evaluating existing technologies for innovative application in addressing specific border security needs.

## Object Classification (in millions of dollars)

Identification code 70-0533-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	20	16	.....
12.1 Civilian personnel benefits .....	2	6	.....
21.0 Travel and transportation of persons .....	2	1	.....
23.2 Rental payments to others .....	2	3	.....
23.3 Communications, utilities, and miscellaneous charges .....	6	7	.....
25.2 Other services from non-Federal sources .....	186	196	219
25.3 Other goods and services from Federal sources .....	40	48	.....
25.4 Operation and maintenance of facilities .....	34	45	.....
25.7 Operation and maintenance of equipment .....	.....	1	33

26.0	Supplies and materials .....	10	8	
31.0	Equipment .....	65	65	96
32.0	Land and structures .....	65	54	3
99.9	Total new obligations .....	432	450	351

**Employment Summary**

Identification code 70-0533-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	167	205	

**AUTOMATION MODERNIZATION**

For expenses for U.S. Customs and Border Protection automated systems, \$340,105,000 to remain available until September 30, 2016, of which not less than 140,830,000 shall be for the development of the Automated Commercial Environment.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 70-0531-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 COPPS .....	200	198	199
0003 ACE .....	141	202	141
0900 Total new obligations .....	341	400	340

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	90	99	30
1021 Recoveries of prior year unpaid obligations .....	21		
1050 Unobligated balance (total) .....	111	99	30
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	334	336	340
1131 Unobligated balance of appropriations permanently reduced .....	-5	-5	
1160 Appropriation, discretionary (total) .....	329	331	340
1930 Total budgetary resources available .....	440	430	370
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	99	30	30

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	245	177	241
3010 Obligations incurred, unexpired accounts .....	341	400	340
3020 Outlays (gross) .....	-388	-336	-332
3040 Recoveries of prior year unpaid obligations, unexpired .....	-21		
3050 Unpaid obligations, end of year .....	177	241	249
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	245	177	241
3200 Obligated balance, end of year .....	177	241	249

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	329	331	340
Outlays, gross:			
4010 Outlays from new discretionary authority .....	164	136	140
4011 Outlays from discretionary balances .....	224	200	192
4020 Outlays, gross (total) .....	388	336	332
4180 Budget authority, net (total) .....	329	331	340
4190 Outlays, net (total) .....	388	336	332

The Automation Modernization account is divided into two program and project activities, the Automated Commercial Environment (ACE) and Critical Operations Protection and Processing Support (COPPS). The funding for information technology initiatives as well as maintenance of the existing information technology infrastructure at CBP resides in this account. ACE

is being developed and deployed in increments and will replace the current trade management system, the Automated Commercial System (ACS). ACE will provide tools and enhance the business processes that are essential to securing U.S. borders while ensuring the efficient processing of legitimate goods. COPPS provides nearly all the CBP Information Technology (IT) infrastructure to operate and maintain mission-critical IT systems requisite to secure the borders while facilitating legitimate trade and travel.

**Object Classification (in millions of dollars)**

Identification code 70-0531-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	11	11	11
12.1 Civilian personnel benefits .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	10	13	
25.2 Other services from non-Federal sources .....	175	205	182
25.7 Operation and maintenance of equipment .....	34	43	55
31.0 Equipment .....	108	125	89
99.9 Total new obligations .....	341	400	340

**Employment Summary**

Identification code 70-0531-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	63	82	82

**CONSTRUCTION AND FACILITIES MANAGEMENT**

For necessary expenses to plan, acquire, construct, renovate, equip, furnish, operate, manage, and maintain buildings, facilities, and related infrastructure necessary for the administration and enforcement of the laws relating to customs, immigration, and border security, \$471,499,000, to remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 70-0532-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Program Oversight .....	82	54	86
0003 Facilities Construction and Sustainment .....	236	185	385
0900 Total new obligations .....	318	239	471

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1021 Recoveries of prior year unpaid obligations .....	81		
1050 Unobligated balance (total) .....	82	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	237	238	471
1160 Appropriation, discretionary (total) .....	237	238	471
1930 Total budgetary resources available .....	319	239	471
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,075	693	652
3010 Obligations incurred, unexpired accounts .....	318	239	471
3011 Obligations incurred, expired accounts .....	11		
3020 Outlays (gross) .....	-627	-280	-652
3040 Recoveries of prior year unpaid obligations, unexpired .....	-81		
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	693	652	471

CONSTRUCTION AND FACILITIES MANAGEMENT—Continued  
Program and Financing—Continued

Identification code 70-0532-0-1-751	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,075	693	652
3200 Obligated balance, end of year .....	693	652	471
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	237	238	471
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	65	36	71
4011 Outlays from discretionary balances .....	562	244	581
4020 Outlays, gross (total) .....	627	280	652
4180 Budget authority, net (total) .....	237	238	471
4190 Outlays, net (total) .....	627	280	652

CBP has consolidated all multi-year facilities-related funding into a single account, except funding resources associated with rent and rent-related costs, so that the agency can consistently plan, finance, and manage its multifaceted facilities portfolio. The consolidation of these budget activities will allow CBP to best fulfill the driving mission needs.

Object Classification (in millions of dollars)

Identification code 70-0532-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	20	19	52
12.1 Civilian personnel benefits .....	2	6	16
12.2 Military personnel benefits .....	4	.....	1
21.0 Travel and transportation of persons .....	2	1	1
23.3 Communications, utilities, and miscellaneous charges .....	5	.....	63
25.2 Other services from non-Federal sources .....	97	54	25
25.3 Other goods and services from Federal sources .....	4	.....	.....
25.4 Operation and maintenance of facilities .....	50	142	214
25.7 Operation and maintenance of equipment .....	66	.....	8
26.0 Supplies and materials .....	1	.....	.....
31.0 Equipment .....	24	17	5
32.0 Land and structures .....	43	.....	86
99.9 Total new obligations .....	318	239	471

Employment Summary

Identification code 70-0532-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	173	200	633

AIR AND MARINE INTERDICTION, OPERATIONS, MAINTENANCE, AND PROCUREMENT

For necessary expenses for the operations, maintenance, and procurement of marine vessels, aircraft, unmanned aircraft systems, and other related equipment of the air and marine program, including operational training and mission-related travel, the operations of which include the following: the interdiction of narcotics and other goods; the provision of support to Federal, State, and local agencies in the enforcement or administration of laws enforced by the Department of Homeland Security; and, at the discretion of the Secretary of Homeland Security, the provision of assistance to Federal, State, and local agencies in other law enforcement and emergency humanitarian efforts, \$427,701,000, to remain available until September 30, 2016: Provided, That no aircraft or other related equipment, with the exception of aircraft that are one of a kind and have been identified as excess to U.S. Customs and Border Protection requirements and aircraft that have been damaged beyond repair, shall be transferred to any other Federal agency, department, or office outside of the Department of Homeland Security during fiscal year 2014 without the prior notice to the Committees on Appropriations of the Senate and the House of Representatives..

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0544-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operations and Maintenance .....	477	385	354
0002 Procurement .....	65	189	74
0799 Total direct obligations .....	542	574	428
0801 Reimbursable program activity .....	1	3	3
0900 Total new obligations .....	543	577	431
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	78	68	26
1021 Recoveries of prior year unpaid obligations .....	24	25	25
1050 Unobligated balance (total) .....	102	93	51
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	504	507	428
1160 Appropriation, discretionary (total) .....	504	507	428
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	5	3	3
1750 Spending auth from offsetting collections, disc (total) .....	5	3	3
1900 Budget authority (total) .....	509	510	431
1930 Total budgetary resources available .....	611	603	482
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	68	26	51

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	616	605	504
3010 Obligations incurred, unexpired accounts .....	543	577	431
3020 Outlays (gross) .....	-529	-653	-431
3040 Recoveries of prior year unpaid obligations, unexpired .....	-24	-25	-25
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	605	504	479
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	616	605	504
3200 Obligated balance, end of year .....	605	504	479

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	509	510	431
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	182	206	174
4011 Outlays from discretionary balances .....	347	447	257
4020 Outlays, gross (total) .....	529	653	431
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-3	-3
4033 Non-Federal sources .....	-4	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-5	-3	-3
4070 Budget authority, net (discretionary) .....	504	507	428
4080 Outlays, net (discretionary) .....	524	650	428
4180 Budget authority, net (total) .....	504	507	428
4190 Outlays, net (total) .....	524	650	428

The Air and Marine Interdiction, Operations, Maintenance, and Procurement account funds the operations, maintenance, lease, and procurement of marine vessels, aircraft, unmanned aircraft systems, and other related equipment of the air and marine program.

Object Classification (in millions of dollars)

Identification code 70-0544-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	15	7	16
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	2	3	.....
23.3 Communications, utilities, and miscellaneous charges .....	8	8	6
25.1 Advisory and assistance services .....	11	15	.....
25.2 Other services from non-Federal sources .....	29	45	37

25.3	Other goods and services from Federal sources .....	55	65	43
25.4	Operation and maintenance of facilities .....	3	5	.....
25.7	Operation and maintenance of equipment .....	180	185	166
26.0	Supplies and materials .....	119	135	91
31.0	Equipment .....	117	105	68
99.0	Direct obligations .....	540	574	428
99.0	Reimbursable obligations .....	3	3	3
99.9	Total new obligations .....	543	577	431

ENHANCED INSPECTIONAL SERVICES

Program and Financing (in millions of dollars)

Identification code 70-4363-0-3-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	.....	.....	25
0900 Total new obligations (object class 11.5) .....	.....	.....	25
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	.....	25
1750 Spending auth from offsetting collections, disc (total) .....	.....	.....	25
1930 Total budgetary resources available .....	.....	.....	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	25
3020 Outlays (gross) .....	.....	.....	-25
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	25
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	25
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	.....	.....	-25

The Budget includes a proposal to allow the Commissioner of Customs and Border Protection (CBP) to approve requests from interested parties to reimburse CBP for enhanced inspectional services. Under current law, 19 U.S.C. 58b, CBP is authorized to receive reimbursement only if the Secretary of Homeland Security determines that the volume or value of business cleared through the facility at issue is insufficient to justify the availability of CBP services and if the governor of the State in which the facility is located approves such designation. The proposed legislation would authorize CBP to (1) receive reimbursement from corporations, government agencies, and other interested parties for inspection services in the air, land and sea environments at both the domestic and foreign locations; (2) receive reimbursement at international and landing rights airports that already receive inspection services; and (3) collect reimbursable expenses including salaries, benefits, temporary duty costs, relocation and, as applicable, housing, infrastructure, equipment and training. This would allow CBP to provide services to requesting parties that it could not provide in the absence of reimbursement.

Object Classification (in millions of dollars)

Identification code 70-4363-0-3-751	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.5 Personnel compensation: Other personnel compensation .....	.....	.....	25
99.0 Reimbursable obligations .....	.....	.....	25

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO

Special and Trust Fund Receipts (in millions of dollars)

Identification code 70-5687-0-2-806	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
0200 Deposits, Duties, and Taxes, Puerto Rico .....	107	103	99
0400 Total: Balances and collections .....	107	103	99
Appropriations:			
0500 Refunds, Transfers, and Expenses of Operation, Puerto Rico .....	-107	-103	-99
0799 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 70-5687-0-2-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	113	105	105
0100 Direct program activities, subtotal .....	113	105	105
0811 Reimbursable program activity .....	28	27	27
0900 Total new obligations .....	141	132	132
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	2	3
1021 Recoveries of prior year unpaid obligations .....	8	.....	.....
1050 Unobligated balance (total) .....	8	2	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	107	103	99
1260 Appropriations, mandatory (total) .....	107	103	99
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	28	30	30
1850 Spending auth from offsetting collections, mand (total) .....	28	30	30
1900 Budget authority (total) .....	135	133	129
1930 Total budgetary resources available .....	143	135	132
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	3	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	36	11
3010 Obligations incurred, unexpired accounts .....	141	132	132
3020 Outlays (gross) .....	-149	-157	-129
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	.....	.....
3050 Unpaid obligations, end of year .....	36	11	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	52	36	11
3200 Obligated balance, end of year .....	36	11	14
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	135	133	129
Outlays, gross:			
4100 Outlays from new mandatory authority .....	134	123	119
4101 Outlays from mandatory balances .....	15	34	10
4110 Outlays, gross (total) .....	149	157	129
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-28	-30	-30
4180 Budget authority, net (total) .....	107	103	99
4190 Outlays, net (total) .....	121	127	99

U.S. Customs and Border Protection (CBP) acts as Puerto Rico's sole customs service. CBP and the Homeland Security Investigation (HSI) directorate of U.S. Immigration and Customs Enforcement (ICE) also perform investigative law enforcement activities under statute, 48 U.S.C. 1469c. This secondary statute provides any U.S. government agency or instrumentality the authority to provide additional services to Puerto Rico, at the Government of Puerto Rico's behest, on a reimbursable basis. Collections in Puerto Rico, less the costs of collecting duties and taxes, are trans-

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO  
RICO—Continued

ferred to Puerto Rico's Treasury (Hacienda) to be expended as required by law for the Government of Puerto Rico..

## Object Classification (in millions of dollars)

Identification code 70-5687-0-2-806	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	22	18	18
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	3	2	2
11.6 Military personnel - basic allowance for housing .....	1	1	1
11.9 Total personnel compensation .....	27	22	22
12.1 Civilian personnel benefits .....	2	10	10
12.2 Military personnel benefits .....	8		
21.0 Travel and transportation of persons .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	2	3	3
25.2 Other services from non-Federal sources .....	10	17	17
25.3 Other goods and services from Federal sources .....	27	37	37
25.4 Operation and maintenance of facilities .....	3		
25.7 Operation and maintenance of equipment .....	2		
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	14	13	13
44.0 Refunds .....	14		
99.0 Direct obligations .....	113	105	105
99.0 Reimbursable obligations .....	28	27	27
99.9 Total new obligations .....	141	132	132

## Employment Summary

Identification code 70-5687-0-2-806	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	297	292	292

## PAYMENTS TO WOOL MANUFACTURERS

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 70-5533-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0200 Wool Manufacturers Trust Fund .....	17	20	20
0400 Total: Balances and collections .....	17	20	20
<b>Appropriations:</b>			
0500 Payments to Wool Manufacturers .....	-17	-20	-20
0799 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 70-5533-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	12	15	15
0900 Total new obligations (object class 44.0) .....	12	15	15
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	17	20	20
1220 Appropriations transferred to other accts [13-5521] .....	-5	-5	-5
1260 Appropriations, mandatory (total) .....	12	15	15
1930 Total budgetary resources available .....	12	15	15
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	12	15	15
3020 Outlays (gross) .....	-12	-15	-15

## Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	12	15	15
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	12	15	15
4180 Budget authority, net (total) .....	12	15	15
4190 Outlays, net (total) .....	12	15	15

This account makes refunds pursuant to Section 5101 of the Trade Act of 2002. This section entitles U.S. manufacturers of certain wool articles to a limited refund of duties paid on imports of select wool products.

## INTERNATIONAL REGISTERED TRAVELER

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 70-5543-0-2-751	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0260 International Registered Traveler Program Fund .....	24	34	35
0400 Total: Balances and collections .....	24	34	35
<b>Appropriations:</b>			
0500 International Registered Traveler .....	-24	-34	-35
0799 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 70-5543-0-2-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	12	34	35
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	13	25	25
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	24	34	35
1160 Appropriation, discretionary (total) .....	24	34	35
1900 Budget authority (total) .....	24	34	35
1930 Total budgetary resources available .....	37	59	60
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	25	25	25

## Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	8	8
3010 Obligations incurred, unexpired accounts .....	12	34	35
3020 Outlays (gross) .....	-5	-34	-35
3050 Unpaid obligations, end of year .....	8	8	8
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	8	8
3200 Obligated balance, end of year .....	8	8	8

## Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	24	34	35
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		26	26
4011 Outlays from discretionary balances .....	5	8	9
4020 Outlays, gross (total) .....	5	34	35
4180 Budget authority, net (total) .....	24	34	35
4190 Outlays, net (total) .....	5	34	35

The Global Entry Program is authorized under the Consolidated Appropriations Act of 2008 (P.L. 110-161) Section 565(3)(A). The Global Entry program establishes an international registered traveler program that incorporates technologies, such as biometrics and e-passports, and security threat assessments to expedite screening and processing of international passengers. All applicants must be pre-approved, and they must undergo a rigorous background check and interview before enrollment. Global Entry

allows expedited clearance for pre-approved and low-risk travelers upon arrival in the United States. Though intended for frequent international travelers, there is no minimum number of trips necessary to qualify. Participants may enter the United States by using automated kiosks located at selected airports.

**Object Classification** (in millions of dollars)

Identification code 70-5543-0-2-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
24.0 Printing and reproduction .....	3	10	.....
25.2 Other services from non-Federal sources .....	9	24	35
99.9 Total new obligations .....	12	34	35

**ELECTRONIC SYSTEM FOR TRAVEL AUTHORIZATION**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 70-5595-0-2-751	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
0200 Electronic System for Travel Authorization (ESTA) Fees .....	48	54	55
0400 Total: Balances and collections .....	48	54	55
<b>Appropriations:</b>			
0500 Electronic System for Travel Authorization .....	-48	-54	-55
0799 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 70-5595-0-2-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	34	54	55
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	15	29	29
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	48	54	55
1260 Appropriations, mandatory (total) .....	48	54	55
1930 Total budgetary resources available .....	63	83	84
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	29	29	29
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	19	6
3010 Obligations incurred, unexpired accounts .....	34	54	55
3020 Outlays (gross) .....	-38	-67	-55
3050 Unpaid obligations, end of year .....	19	6	6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	23	19	6
3200 Obligated balance, end of year .....	19	6	6
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	48	54	55
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	11	49	50
4101 Outlays from mandatory balances .....	27	18	5
4110 Outlays, gross (total) .....	38	67	55
4180 Budget authority, net (total) .....	48	54	55
4190 Outlays, net (total) .....	38	67	55

P.L.110-53, or the Implementing Recommendations of the 9/11 Commission Act of 2007 established an electronic authorization system to pre-screen aliens prior to arrival in the United States. This mandate was made operational by the creation of the Electronic System for Travel Authorization (ESTA). ESTA operates under informed compliance, requiring all Visa Waiver Program

travelers to obtain authorization prior to travel. The Visa Waiver Program allows visitors to travel to the U.S. for business or pleasure for 90 days or less without obtaining a visa.

**Object Classification** (in millions of dollars)

Identification code 70-5595-0-2-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	8	8
12.1 Civilian personnel benefits .....	1	4	4
25.2 Other services from non-Federal sources .....	20	25	25
31.0 Equipment .....	11	17	18
99.9 Total new obligations .....	34	54	55

**Employment Summary**

Identification code 70-5595-0-2-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	27	110	110

**Trust Funds**

**U.S. CUSTOMS REFUNDS, TRANSFERS AND EXPENSES, UNCLAIMED AND ABANDONED GOODS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 70-8789-0-7-751	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
0200 Proceeds of the Sales of Unclaimed Abandoned, Seized Goods .....	3	4	4
0400 Total: Balances and collections .....	3	4	4
<b>Appropriations:</b>			
0500 US Customs Refunds, Transfers and Expenses, Unclaimed and Abandoned Goods .....	-3	-4	-4
0799 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 70-8789-0-7-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3	4	4
0900 Total new obligations (object class 44.0) .....	3	4	4
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	3	4	4
1260 Appropriations, mandatory (total) .....	3	4	4
1930 Total budgetary resources available .....	3	4	4
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-3	-4	-4
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	3	4	4
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	3	4	4
4180 Budget authority, net (total) .....	3	4	4
4190 Outlays, net (total) .....	3	4	4

This account expends proceeds from the auction of unclaimed and abandoned goods.

**UNITED STATES COAST GUARD**

**Federal Funds**

**OPERATING EXPENSES**

*For necessary expenses for the operation and maintenance of the Coast Guard, not otherwise provided for; purchase or lease of not to exceed 25 passenger motor vehicles, which shall be for replacement only; purchase or lease of small boats for contingent and emergent requirements (at a unit cost of no more than \$700,000) and repairs and service-life replacements, not to exceed a total of \$31,000,000; purchase or lease of boats necessary for overseas deployments and activities; minor shore construction projects not exceeding \$1,000,000 in total cost on any asset; payments pursuant to section 156 of Public Law 97-377 (42 U.S.C. 402 note; 96 Stat. 1920); and recreation and welfare; \$6,755,383,000, of which \$340,000,000 shall be for defense-related activities; of which \$24,500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)); and of which not to exceed \$17,000 shall be for official reception and representation expenses: Provided, That none of the funds made available by this Act shall be for expenses incurred for recreational vessels under section 12114 of title 46, United States Code, except to the extent fees are collected from owners of yachts and credited to this appropriation. Provided further, That, without regard to the limitation as to time and condition of section 503(d), after June 30, up to \$25,000,000 may be reprogrammed within this appropriation in accordance with subsections (a) and (b) of section 503.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0610-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Maritime .....	7,049	7,055	6,755
0600 Total direct program .....	7,049	7,055	6,755
0801 Reimbursable program .....	191	250	238
0900 Total new obligations .....	7,240	7,305	6,993
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	1	1
1012 Unobligated balance transfers between expired and unexpired accounts .....	38		
1050 Unobligated balance (total) .....	61	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7,027	7,068	6,731
1120 Appropriations transferred to other accts [70-0613] .....	-1		
1130 Appropriations permanently reduced .....	-38	-38	
1160 Appropriation, discretionary (total) .....	6,988	7,030	6,731
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	148	275	262
1701 Change in uncollected payments, Federal sources .....	68		
1750 Spending auth from offsetting collections, disc (total) .....	216	275	262
1900 Budget authority (total) .....	7,204	7,305	6,993
1930 Total budgetary resources available .....	7,265	7,306	6,994
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-24		
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,804	1,828	3,059
3010 Obligations incurred, unexpired accounts .....	7,240	7,305	6,993
3011 Obligations incurred, expired accounts .....	24		
3020 Outlays (gross) .....	-7,103	-6,074	-7,680
3041 Recoveries of prior year unpaid obligations, expired .....	-137		
3050 Unpaid obligations, end of year .....	1,828	3,059	2,372
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-124	-95	-95
3070 Change in uncollected pymts, Fed sources, unexpired .....	-68		

3071 Change in uncollected pymts, Fed sources, expired .....	97		
3090 Uncollected pymts, Fed sources, end of year .....	-95	-95	-95
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,680	1,733	2,964
3200 Obligated balance, end of year .....	1,733	2,964	2,277
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7,204	7,305	6,993
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5,702	5,733	5,598
4011 Outlays from discretionary balances .....	1,401	341	2,082
4020 Outlays, gross (total) .....	7,103	6,074	7,680
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-234	-275	-262
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) .....	-237	-275	-262
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-68		
4052 Offsetting collections credited to expired accounts .....	89		
4060 Additional offsets against budget authority only (total) .....	21		
4070 Budget authority, net (discretionary) .....	6,988	7,030	6,731
4080 Outlays, net (discretionary) .....	6,866	5,799	7,418
4180 Budget authority, net (total) .....	6,988	7,030	6,731
4190 Outlays, net (total) .....	6,866	5,799	7,418

Funding requested in the Operating Expenses account supports the operations of the Coast Guard as it carries out its duties as a maritime, military, multi-mission operating agency and one of the five armed forces. To fulfill its mission, the Coast Guard employs multipurpose vessels, aircraft, and shore units, strategically located along the coasts and inland waterways of the United States. The account funds operations and maintenance of these assets and sustainment of new and existing Coast Guard Programs, Projects, and Activities. In 2014, Coast Guard will begin procurement of their financial management system solution .

**Object Classification** (in millions of dollars)

Identification code 70-0610-0-1-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	551	567	570
11.3 Other than full-time permanent .....	9	9	9
11.5 Other personnel compensation .....	17	18	18
11.6 Military personnel - basic allowance for housing .....	708	706	728
11.7 Military personnel .....	1,916	1,911	1,834
11.8 Special personal services payments .....		7	7
11.9 Total personnel compensation .....	3,201	3,218	3,166
12.1 Civilian personnel benefits .....	177	182	183
12.2 Military personnel benefits .....	243	242	233
13.0 Benefits for former personnel .....	9	9	9
21.0 Travel and transportation of persons .....	322	308	254
22.0 Transportation of things .....	75	69	57
23.1 Rental payments to GSA .....	96	93	106
23.2 Rental payments to others .....	33	30	30
23.3 Communications, utilities, and miscellaneous charges .....	153	192	150
24.0 Printing and reproduction .....	4	4	4
25.1 Advisory and assistance services .....	127	126	68
25.2 Other services from non-Federal sources .....	874	843	825
25.3 Other goods and services from Federal sources .....	47	46	42
25.4 Operation and maintenance of facilities .....	111	111	104
25.6 Medical care .....	303	313	345
25.7 Operation and maintenance of equipment .....	372	378	358
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	778	772	705
31.0 Equipment .....	111	105	105
32.0 Land and structures .....	10	11	8
42.0 Insurance claims and indemnities .....	2	2	2
99.0 Direct obligations .....	7,049	7,055	6,755
99.0 Reimbursable obligations .....	191	250	238
99.9 Total new obligations .....	7,240	7,305	6,993

**Employment Summary**

Identification code 70-0610-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	7,336	7,526	7,582
1101 Direct military average strength employment .....	41,554	41,729	40,832
2001 Reimbursable civilian full-time equivalent employment .....	188	193	172
2101 Reimbursable military average strength employment .....	649	431	423

**ENVIRONMENTAL COMPLIANCE AND RESTORATION**

For necessary expenses to carry out the environmental compliance and restoration functions of the Coast Guard under chapter 19 of title 14, United States Code, \$13,187,000, to remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0611-0-1-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Environmental Compliance .....	12	20	13
0822 Reimbursable program activity .....		4	
0900 Total new obligations .....	12	24	13
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	8	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14	14	13
1160 Appropriation, discretionary (total) .....	14	14	13
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	
1750 Spending auth from offsetting collections, disc (total) .....	1	2	
1900 Budget authority (total) .....	15	16	13
1930 Total budgetary resources available .....	20	24	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	12	18
3010 Obligations incurred, unexpired accounts .....	12	24	13
3020 Outlays (gross) .....	-13	-18	-15
3050 Unpaid obligations, end of year .....	12	18	16
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	9	15
3200 Obligated balance, end of year .....	9	15	13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	16	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	8	6
4011 Outlays from discretionary balances .....	8	10	9
4020 Outlays, gross (total) .....	13	18	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-2	
4180 Budget authority, net (total) .....	14	14	13
4190 Outlays, net (total) .....	12	16	15

Funding requested in this account will be used by the Coast Guard to satisfy obligations arising under chapter 19 of title 14 of the United States Code related to Environmental Compliance and Restoration. This includes environmental cleanup, sustain-

ment, and restoration of current and former contaminated Coast Guard facilities, and engineering remedies for Coast Guard assets, to comply with environmental laws and prevent contamination and environmental damage.

**Object Classification** (in millions of dollars)

Identification code 70-0611-0-1-304	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	1	2	1
25.2 Other services from non-Federal sources .....	8	15	9
99.0 Direct obligations .....	12	20	13
99.0 Reimbursable obligations .....		4	
99.9 Total new obligations .....	12	24	13

**Employment Summary**

Identification code 70-0611-0-1-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	22	23	23
1101 Direct military average strength employment .....	1	1	1

**RESERVE TRAINING**

For necessary expenses of the Coast Guard Reserve, as authorized by law; operations and maintenance of the Coast Guard reserve program; personnel and training costs; and equipment and services; \$109,543,000, of which \$5,000,000 shall remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0612-0-1-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Reserve Training .....	132	135	110
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	134	135	110
1160 Appropriation, discretionary (total) .....	134	135	110
1930 Total budgetary resources available .....	134	135	110
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	13	6
3010 Obligations incurred, unexpired accounts .....	132	135	110
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-124	-142	-110
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	13	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	13	6
3200 Obligated balance, end of year .....	13	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	134	135	110
Outlays, gross:			
4010 Outlays from new discretionary authority .....	120	130	105
4011 Outlays from discretionary balances .....	4	12	5
4020 Outlays, gross (total) .....	124	142	110
4180 Budget authority, net (total) .....	134	135	110
4190 Outlays, net (total) .....	124	142	110

RESERVE TRAINING—Continued

Funding requested in this account will support the training of Coast Guard Reserve Forces so that they are prepared to provide qualified personnel to augment active duty forces in event of conflict, national emergency, or natural and manmade disasters. The Reservists maintain their readiness through both formal training and mobilization exercises and duty alongside regular Coast Guard members during routine and emergency operations. Reservists will continue to serve as a cost-effective surge force for response to man-made and natural disasters.

Object Classification (in millions of dollars)

Identification code 70-0612-0-1-403	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	5	5	5
11.6 Military personnel - basic allowance for housing .....	10	10	9
11.7 Military personnel .....	78	79	67
11.9 Total personnel compensation .....	93	94	81
12.1 Civilian personnel benefits .....	2	2	1
12.2 Military personnel benefits .....	10	10	7
21.0 Travel and transportation of persons .....	10	11	9
22.0 Transportation of things .....	1	2	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.2 Other services from non-Federal sources .....	3	3	2
25.6 Medical care .....	3	3	2
25.8 Subsistence and support of persons .....	2	2	1
26.0 Supplies and materials .....	7	7	6
99.9 Total new obligations .....	132	135	110

Employment Summary

Identification code 70-0612-0-1-403	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	91	98	78
1101 Direct military average strength employment .....	429	438	338

ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of acquisition, construction, renovation, and improvement of aids to navigation, shore facilities, vessels, and aircraft, including equipment related thereto; and maintenance, rehabilitation, lease and operation of facilities and equipment; as authorized by law; \$951,116,000, of which \$20,000,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)); of which \$743,000,000 shall be available until September 30, 2018, to acquire, effect major repairs to, renovate, or improve vessels, small boats, and related equipment; of which \$28,000,000 shall be available until September 30, 2018, to acquire, effect major repairs to, renovate, or improve aircraft or increase aviation capability; of which \$59,930,000 shall be available until September 30, 2018, for other acquisition programs; of which \$5,000,000 shall be available until September 30, 2018, for shore facilities and aids to navigation, including waterfront facilities at Navy installations used by the Coast Guard; of which \$115,186,000 shall be available for personnel compensation and benefits and related costs.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 70-0613-0-1-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Assets and Facilities .....	1,233	1,319	1,362
0600 Total Direct Program .....	1,233	1,319	1,362

0801 Reimbursable program .....	32	39	.....
0900 Total new obligations .....	1,265	1,358	1,362
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,148	1,360	1,788
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	50	.....	.....
1050 Unobligated balance (total) .....	1,199	1,360	1,788
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,370	1,456	931
1100 Appropriation .....	.....	274	.....
1121 Appropriations transferred from other accts [70-0610] ...	1	.....	.....
1121 Appropriations transferred from other accts [57-3010] ...	64	.....	.....
1121 Appropriations transferred from other accts [70-5710] ...	2	.....	.....
1130 Appropriations permanently reduced .....	-1	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-5	-3	-42
1160 Appropriation, discretionary (total) .....	1,431	1,727	889
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	125	59	20
1701 Change in uncollected payments, Federal sources .....	-136	.....	.....
1711 Spending authority from offsetting collections transferred from other accounts [70-0603] .....	12	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1	59	20
1900 Budget authority (total) .....	1,432	1,786	909
1930 Total budgetary resources available .....	2,631	3,146	2,697
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-6	.....	.....
1941 Unexpired unobligated balance, end of year .....	1,360	1,788	1,335

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,591	2,423	2,085
3010 Obligations incurred, unexpired accounts .....	1,265	1,358	1,362
3011 Obligations incurred, expired accounts .....	8	.....	.....
3020 Outlays (gross) .....	-1,380	-1,696	-1,595
3040 Recoveries of prior year unpaid obligations, unexpired .....	-50	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-11	.....	.....
3050 Unpaid obligations, end of year .....	2,423	2,085	1,852
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-204	-67	-67
3070 Change in uncollected pymts, Fed sources, unexpired .....	136	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-67	-67	-67
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,387	2,356	2,018
3200 Obligated balance, end of year .....	2,356	2,018	1,785

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,432	1,786	909
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	163	313	155
4011 Outlays from discretionary balances .....	1,217	1,383	1,440
4020 Outlays, gross (total) .....	1,380	1,696	1,595
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-127	-59	-20
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	136	.....	.....
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4060 Additional offsets against budget authority only (total) .....	138	.....	.....
4070 Budget authority, net (discretionary) .....	1,443	1,727	889
4080 Outlays, net (discretionary) .....	1,253	1,637	1,575
4180 Budget authority, net (total) .....	1,443	1,727	889
4190 Outlays, net (total) .....	1,253	1,637	1,575

Funding requested in this account provides for the acquisition, construction, and improvement of vessels, aircraft, information management resources, shore facilities, and aids to navigation required to execute the Coast Guard's missions and achieve its performance goals. In 2014, the Coast Guard will sustain or acquire assets and systems to continue the recapitalization of boats, major cutters and patrol boats, aircraft, and Command, Control,

Communications, Computers, Intelligence, Surveillance and Reconnaissance systems. Furthermore, the Coast Guard will continue fleet sustainment projects to enhance and extend the service life of selected existing aircraft and cutters. The Coast Guard will also invest in shore infrastructure as well as repair aging buildings, and other facilities. These vital recapitalization projects, along with the corresponding development of acquisition personnel and management systems, will provide the Coast Guard with additional capability to perform its missions.

1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)): Provided, That there may be credited to and used for the purposes of this appropriation funds received from State and local governments, other public authorities, private sources, and foreign countries for expenses incurred for research, development, testing, and evaluation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Object Classification** (in millions of dollars)

Identification code 70–0613–0–1–403	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	42	42	42
11.5 Other personnel compensation .....	1	1	1
11.6 Military personnel - basic allowance for housing .....	10	10	10
11.7 Military personnel .....	27	27	27
11.9 Total personnel compensation .....	80	80	80
12.1 Civilian personnel benefits .....	11	11	12
12.2 Military personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	10	9	8
22.0 Transportation of things .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	107	100	99
25.2 Other services from non-Federal sources .....	220	207	202
25.8 Subsistence and support of persons .....	3	3	3
26.0 Supplies and materials .....	55	52	50
31.0 Equipment .....	610	700	651
32.0 Land and structures .....	130	150	250
99.0 Direct obligations .....	1,233	1,319	1,362
99.0 Reimbursable obligations .....	32	39	.....
99.9 Total new obligations .....	1,265	1,358	1,362

**Employment Summary**

Identification code 70–0613–0–1–403	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	399	400	416
1101 Direct military average strength employment .....	363	385	402

**ALTERATION OF BRIDGES**

**Program and Financing** (in millions of dollars)

Identification code 70–0614–0–1–403	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	96	43	2
3020 Outlays (gross) .....	–53	–41	.....
3050 Unpaid obligations, end of year .....	43	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	96	43	2
3200 Obligated balance, end of year .....	43	2	2
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	53	41	.....
4190 Outlays, net (total) .....	53	41	.....

No new funding is requested for alteration of bridges in 2014.

**RESEARCH, DEVELOPMENT, TEST, AND EVALUATION**

For necessary expenses for applied scientific research, development, test, and evaluation; and for maintenance, rehabilitation, lease, and operation of facilities and equipment; as authorized by law; \$19,856,000, to remain available until September 30, 2018, of which \$500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section

**Program and Financing** (in millions of dollars)

Identification code 70–0615–0–1–403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Applied R&D .....	39	37	20
0801 Reimbursable program .....	1	4	4
0900 Total new obligations .....	40	41	24
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	23	9	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	–7	.....	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	17	9	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	27	28	20
1160 Appropriation, discretionary (total) .....	27	28	20
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4	4	5
1701 Change in uncollected payments, Federal sources .....	1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	5	4	5
1900 Budget authority (total) .....	32	32	25
1930 Total budgetary resources available .....	49	41	25
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	9	.....	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	23	21
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	–3	.....	.....
3010 Obligations incurred, unexpired accounts .....	40	41	24
3020 Outlays (gross) .....	–25	–43	–28
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	.....	.....
3050 Unpaid obligations, end of year .....	23	21	17
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–14	–6	–6
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	9	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	–1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–6	–6	–6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	17	15
3200 Obligated balance, end of year .....	17	15	11
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	32	32	25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	13	22	19
4011 Outlays from discretionary balances .....	12	21	9
4020 Outlays, gross (total) .....	25	43	28
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	–4	–4	–5
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–1	.....	.....
4070 Budget authority, net (discretionary) .....	27	28	20
4080 Outlays, net (discretionary) .....	21	39	23
4180 Budget authority, net (total) .....	27	28	20
4190 Outlays, net (total) .....	21	39	23

The Coast Guard's Research, Development, Test and Evaluation program develops techniques, methods, hardware, and systems that directly contribute to increasing the productivity and effect-

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION—Continued  
iveness of the Coast Guard's operating missions, as well as expertise and services that enhance pre-acquisition planning and analysis to reduce cost, schedule, and performance risks across all acquisition projects.

**Object Classification** (in millions of dollars)

Identification code 70-0615-0-1-403	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	7	8	8
11.6 Military personnel - basic allowance for housing .....	1	1	1
11.7 Military personnel .....	1	1	1
11.9 Total personnel compensation .....	9	10	10
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	2	1	.....
25.1 Advisory and assistance services .....	19	17	5
25.2 Other services from non-Federal sources .....	2	2	1
25.5 Research and development contracts .....	5	5	2
99.0 Direct obligations .....	39	37	20
99.0 Reimbursable obligations .....	1	4	4
99.9 Total new obligations .....	40	41	24

**Employment Summary**

Identification code 70-0615-0-1-403	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	74	80	80
1101 Direct military average strength employment .....	20	21	21

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, HOMELAND SECURITY**

**Program and Financing** (in millions of dollars)

Identification code 70-0616-0-1-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 MERHCF .....	262	202	201
0100 Direct program activities, subtotal .....	262	202	201
0900 Total new obligations (object class 12.2) .....	262	202	201
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	262	202	201
1160 Appropriation, discretionary (total) .....	262	202	201
1930 Total budgetary resources available .....	262	202	201
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	262	202	201
3020 Outlays (gross) .....	-262	-202	-201
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	262	202	201
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	262	202	201
4180 Budget authority, net (total) .....	262	202	201
4190 Outlays, net (total) .....	262	202	201

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	262	202	201
Outlays .....	262	202	201
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....	.....	.....	-14
Outlays .....	.....	.....	-14

Total:	2012 actual	2013 CR	2014 est.
Budget Authority .....	262	202	187
Outlays .....	262	202	187

This account reflects funding associated with the Coast Guard's permanent indefinite discretionary authority to maintain the cost of accruing the military Medicare-eligible health benefit contributions to the Department of Defense Medicare-Eligible Retiree Health Care Fund. Contributions are for Coast Guard military personnel who will become future Medicare-eligible retirees, their dependents, or their survivors. In 2014, the Coast Guard estimates it will pay \$186,602,000 to the fund.

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, HOMELAND SECURITY**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 70-0616-2-1-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 MERHCF .....	.....	.....	-14
0100 Direct program activities, subtotal .....	.....	.....	-14
0900 Total new obligations (object class 12.2) .....	.....	.....	-14
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	.....	.....	-14
1160 Appropriation, discretionary (total) .....	.....	.....	-14
1930 Total budgetary resources available .....	.....	.....	-14
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	.....	-14
3020 Outlays (gross) .....	.....	.....	14
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	.....	.....	-14
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	.....	-14
4180 Budget authority, net (total) .....	.....	.....	-14
4190 Outlays, net (total) .....	.....	.....	-14

**RETIRED PAY**

For retired pay, including the payment of obligations otherwise chargeable to lapsed appropriations for this purpose, payments under the Retired Serviceman's Family Protection and Survivor Benefits Plans, payment for career status bonuses, concurrent receipts and combat-related special compensation under the National Defense Authorization Act, and payments for medical care of retired personnel and their dependents under chapter 55 of title 10, United States Code, \$1,452,150,000 to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0602-0-1-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Retired Pay .....	1,382	1,440	1,460
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	156	214	214

Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1,440	1,440	1,460
1260	Appropriations, mandatory (total) .....	1,440	1,440	1,460
1930	Total budgetary resources available .....	1,596	1,654	1,674
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	214	214	214

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	42	123	2
3010	Obligations incurred, unexpired accounts .....	1,382	1,440	1,460
3020	Outlays (gross) .....	-1,301	-1,561	-1,457
3050	Unpaid obligations, end of year .....	123	2	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	42	123	2
3200	Obligated balance, end of year .....	123	2	5

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1,440	1,440	1,460
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,190	1,224	1,241
4101	Outlays from mandatory balances .....	111	337	216
4110	Outlays, gross (total) .....	1,301	1,561	1,457
4180	Budget authority, net (total) .....	1,440	1,440	1,460
4190	Outlays, net (total) .....	1,301	1,561	1,457

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	1,440	1,440	1,460
Outlays .....	1,301	1,561	1,457
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-8
Outlays .....			-8
Total:			
Budget Authority .....	1,440	1,440	1,452
Outlays .....	1,301	1,561	1,449

Funding requested in this account provides for retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the Retired Serviceman's Family Protection Plan (10 U.S.C. 1431-46) and Survivor Benefits Plans (10 U.S.C. 1447-55); payments for career status bonuses, concurrent receipts, and combat-related special compensation under the National Defense Authorization Act; and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55).

**Object Classification** (in millions of dollars)

Identification code 70-0602-0-1-403	2012 actual	2013 CR	2014 est.	
Direct obligations:				
13.0	Benefits for former personnel .....	1,209	1,267	1,293
25.6	Medical care .....	173	173	167
99.9	Total new obligations .....	1,382	1,440	1,460

**RETIRED PAY**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 70-0602-4-1-403	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Retired Pay .....			-8

<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....			-8
1260	Appropriations, mandatory (total) .....			-8
1930	Total budgetary resources available .....			-8

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			-8
3020	Outlays (gross) .....			8

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			-8
Outlays, gross:				
4100	Outlays from new mandatory authority .....			-8
4180	Budget authority, net (total) .....			-8
4190	Outlays, net (total) .....			-8

**Object Classification** (in millions of dollars)

Identification code 70-0602-4-1-403	2012 actual	2013 CR	2014 est.	
Direct obligations:				
13.0	Benefits for former personnel .....			-6
25.6	Medical care .....			-2
99.9	Total new obligations .....			-8

**COAST GUARD HOUSING FUND**

**Program and Financing** (in millions of dollars)

Identification code 70-0603-0-1-403	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1702	Offsetting collections (previously unavailable) .....	12		
1710	Spending authority from offsetting collections transferred to other accounts [70-0613] .....	-12		
Memorandum (non-add) entries:				
5090	Unavailable balance, SOY: Offsetting collections .....	12		

This fund, established in 1996, received deposits of proceeds from the conveyance or lease of property or facilities. The proceeds deposited in this account had been available for the purposes of chapter 18 of title 14, United States Code, with regard to the Acquisition, Construction, and Improvements of military family housing and military unaccompanied housing. The entire fund balance in this account has been transferred to the Acquisition, Construction and Improvements account pursuant to P.L. 112-74. Proceeds are now deposited in a special fund account established in 2011.

**U.S. COAST GUARD HOUSING SPECIAL FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 70-5710-0-2-403	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....			27
Adjustments:				
0190	Adjustment - reconcile to actual unappropriated balances .....		5	
0199	Balance, start of year .....		5	27
Receipts:				
0220	Sale of Real Property, U.S. Coast Guard Housing Special Fund .....	2	11	5
0221	Sale of Real Property, U.S. Coast Guard Housing Special Fund .....	5	11	5
0299	Total receipts and collections .....	7	22	10

**U.S. COAST GUARD HOUSING SPECIAL FUND—Continued**  
**Special and Trust Fund Receipts—Continued**

Identification code 70-5710-0-2-403	2012 actual	2013 CR	2014 est.
0400 Total: Balances and collections .....	7	27	37
Appropriations:			
0500 U.S. Coast Guard Housing Special Fund .....	-7		
0799 Balance, end of year .....		27	37

**Program and Financing (in millions of dollars)**

Identification code 70-5710-0-2-403	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		5	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-5	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	7		
1120 Appropriations transferred to other accts [70-0613] .....	-2		
1160 Appropriation, discretionary (total) .....	5		
1930 Total budgetary resources available .....	5		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5		

**Budget authority and outlays, net:**

4000 Discretionary:			
Budget authority, gross .....	5		
4180 Budget authority, net (total) .....	5		

This account, established in 2011, receives deposits of proceeds from the conveyance of property under the administrative control of the Service. The funds are available for the purposes of chapter 18 of title 14, United States Code, with regard to the Acquisition, Construction, and Improvements of military family housing and military unaccompanied housing.

**SUPPLY FUND**

**Program and Financing (in millions of dollars)**

Identification code 70-4535-0-4-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	153	158	149
0900 Total new obligations (object class 26.0) .....	153	158	149
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	9	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	3	9	
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	159	149	149
1750 Spending auth from offsetting collections, disc (total) .....	159	149	149
1930 Total budgetary resources available .....	162	158	149
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	12	
3010 Obligations incurred, unexpired accounts .....	153	158	149
3020 Outlays (gross) .....	-152	-170	-149
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	12		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	12	
3200 Obligated balance, end of year .....	12		

**Budget authority and outlays, net:**

4000 Discretionary:			
Budget authority, gross .....	159	149	149
Outlays, gross:			
4010 Outlays from new discretionary authority .....	143	149	149
4011 Outlays from discretionary balances .....	9	21	
4020 Outlays, gross (total) .....	152	170	149
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-159	-149	-149
4190 Outlays, net (total) .....	-7	21	

The Coast Guard supply fund, in accordance with 14 U.S.C. 650, finances the procurement of uniform clothing, commissary provisions, general stores, technical material, and fuel for vessels over 180 feet in length. The fund is normally financed by reimbursements from sale of goods.

**Object Classification (in millions of dollars)**

Identification code 70-4535-0-4-403	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
26.0 Supplies and materials .....	153	158	149
99.0 Reimbursable obligations .....	153	158	149

**YARD FUND**

**Program and Financing (in millions of dollars)**

Identification code 70-4743-0-4-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Shipyard activities .....	93	189	150
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	54	39	
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	83	150	150
1701 Change in uncollected payments, Federal sources .....	-5		
1750 Spending auth from offsetting collections, disc (total) .....	78	150	150
1930 Total budgetary resources available .....	132	189	150
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	39		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	34	34
3010 Obligations incurred, unexpired accounts .....	93	189	150
3020 Outlays (gross) .....	-90	-189	-150
3050 Unpaid obligations, end of year .....	34	34	34
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	5		
3090 Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	19	19
3200 Obligated balance, end of year .....	19	19	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	78	150	150
Outlays, gross:			
4010 Outlays from new discretionary authority .....	57	150	150
4011 Outlays from discretionary balances .....	33	39	
4020 Outlays, gross (total) .....	90	189	150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-83	-150	-150
Additional offsets against gross budget authority only:			
Change in uncollected pymts, Fed sources, unexpired .....	5		
4050 Outlays, net (discretionary) .....	7	39	
4190 Outlays, net (total) .....	7	39	

This fund finances the industrial operation of the Coast Guard YARD, Curtis Bay, MD (14 U.S.C. 648). The YARD finances all direct and indirect costs for its operations out of advances from Coast Guard and other agency appropriations that are placed in the fund.

**Object Classification** (in millions of dollars)

Identification code 70-4743-0-4-403	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	31	31	31
11.5 Other personnel compensation .....	7	7	7
11.7 Military personnel .....	1	2	1
11.9 Total personnel compensation .....	39	40	39
12.1 Civilian personnel benefits .....	10	10	10
23.3 Communications, utilities, and miscellaneous charges .....	3	9	6
25.2 Other services from non-Federal sources .....	16	49	37
26.0 Supplies and materials .....	25	81	58
99.9 Total new obligations .....	93	189	150

**Employment Summary**

Identification code 70-4743-0-4-403	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	519	505	505
2101 Reimbursable military average strength employment .....	11	12	12

**Trust Funds**

**AQUATIC RESOURCES TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 70-8147-0-7-403	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	627	669	608
<b>Receipts:</b>			
0200 Excise Taxes, Sport Fish Restoration, Aquatic Resources Trust Fund .....	614	545	561
0201 Customs Duties, Aquatic Resources Trust Fund .....	41	49	53
0240 Earnings on Investments, Aquatic Resources Trust Fund .....	13	13	15
0299 Total receipts and collections .....	668	607	629
0400 Total: Balances and collections .....	1,295	1,276	1,237
<b>Appropriations:</b>			
0500 Sport Fish Restoration .....	-626	-668	-607
0799 Balance, end of year .....	669	608	630

**Program and Financing** (in millions of dollars)

Identification code 70-8147-0-7-403	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,882	1,942	1,745
5001 Total investments, EOY: Federal securities: Par value .....	1,942	1,745	1,650

The Internal Revenue Code of 1986, as amended by TEA-21 and SAFETEA-LU, provides for the transfer of Highway Trust Fund revenue derived from the motor boat fuel tax and certain other taxes to the Aquatic Resources Trust Fund. Appropriations are authorized from this fund to meet expenditures for programs specified by law, including sport fish restoration and boating safety. Excise tax receipts for the trust fund include motorboat fuel tax receipts, plus receipts from excise taxes on sport fishing equipment, sonar and fish finders, small engine fuels, and import duties on fishing equipment and recreational vessels.

**BOAT SAFETY**

**Program and Financing** (in millions of dollars)

Identification code 70-8149-0-7-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State recreational boating safety programs .....	120	126	104
0002 Compliance and boating programs .....	5	5	5
0900 Total new obligations .....	125	131	109

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	19	10	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	22	10	
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other accts [14-8151] ...	113	121	109
1260 Appropriations, mandatory (total) .....	113	121	109
1930 Total budgetary resources available .....	135	131	109
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	10		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Change in obligated balances .....	114	118	103
3010 Obligations incurred, unexpired accounts .....	125	131	109
3020 Outlays (gross) .....	-118	-146	-123
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	118	103	89
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	114	118	103
3200 Obligated balance, end of year .....	118	103	89

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	113	121	109
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	31	53	47
4101 Outlays from mandatory balances .....	87	93	76
4110 Outlays, gross (total) .....	118	146	123
4180 Budget authority, net (total) .....	113	121	109
4190 Outlays, net (total) .....	118	146	123

This account provides grants for the development and implementation of a coordinated national recreational boating safety program. Boating safety statistics reflect the success in meeting the program's objectives. Pursuant to 16 U.S.C. 777c, as amended by the Safe, Accountable, Flexible, Efficient Transportation Equity Act—A Legacy for Users (SAFETEA-LU, P.L. 109-59), the Boat Safety program receives 18.5 percent of the funds collected in the Sport Fish Restoration and Boating Safety Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 70-8149-0-7-403	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	7	6	6
41.0 Grants, subsidies, and contributions .....	109	124	102
42.0 Insurance claims and indemnities .....	8		
99.9 Total new obligations .....	125	131	109

**Employment Summary**

Identification code 70-8149-0-7-403	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	10	10	10

TRUST FUND SHARE OF EXPENSES

Program and Financing (in millions of dollars)

Identification code 70-8314-0-7-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating expenses .....	24	24	24
0002 Acquisition, construction and improvements .....	20	20	20
0003 Research, development, test and evaluation .....	1	1	1
0900 Total new obligations (object class 94.0) .....	45	45	45
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	45	45	45
1160 Appropriation, discretionary (total) .....	45	45	45
1930 Total budgetary resources available .....	45	45	45
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	45	45	45
3020 Outlays (gross) .....	-45	-45	-45
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	45	45
Outlays, gross:			
4010 Outlays from new discretionary authority .....	45	45	45
4180 Budget authority, net (total) .....	45	45	45
4190 Outlays, net (total) .....	45	45	45

This account provides resources from the Oil Spill Liability Trust Fund for activities authorized in other accounts including Operating Expenses; Acquisition, Construction, and Improvements; and Research, Development, Test, and Evaluation.

GENERAL GIFT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 70-8533-0-7-403	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 General Gift Fund .....	2		
0400 Total: Balances and collections .....	2		
Appropriations:			
0500 General Gift Fund .....	-2		
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 70-8533-0-7-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Obligations by program activity .....	2	2	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2		
1260 Appropriations, mandatory (total) .....	2		
1930 Total budgetary resources available .....	4	2	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			2
3010 Obligations incurred, unexpired accounts .....	2	2	
3020 Outlays (gross) .....	-2		
3050 Unpaid obligations, end of year .....		2	2

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		2
3200	Obligated balance, end of year .....	2	2

Budget authority and outlays, net:

Mandatory:			
4090	Budget authority, gross .....	2	
Outlays, gross:			
4100	Outlays from new mandatory authority .....	2	
4180	Budget authority, net (total) .....	2	
4190	Outlays, net (total) .....	2	

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value .....	1	1	1
5001	Total investments, EOY: Federal securities: Par value .....	1	1	1

This fund, maintained from gifts, devises or bequests, is used for purposes as specified by the donor in connection with or benefit to the Coast Guard training program, as well as all other programs and activities permitted by law (10 U.S.C. 2601).

Object Classification (in millions of dollars)

Identification code 70-8533-0-7-403	2012 actual	2013 CR	2014 est.
99.9	Total new obligations .....	2	2

OIL SPILL LIABILITY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 70-8185-0-7-304	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	2,020	2,305	3,350
Adjustments:			
0190 Adjustment - prior year accounting adjustment .....	5		
0199 Balance, start of year .....	2,025	2,305	3,350
Receipts:			
0200 Excise Taxes, Oil Spill Liability Trust Fund .....	497	504	506
0201 Excise Taxes, Oil Spill Liability Trust Fund .....			85
0202 Fines and Penalties, OSLTF .....	53	659	329
0220 Recoveries, Oil Spill Liability Trust Fund .....	35	245	68
0240 Earnings on Investments .....	12	30	50
0299 Total receipts and collections .....	597	1,438	1,038
0400 Total: Balances and collections .....	2,622	3,743	4,388
Appropriations:			
0500 Trust Fund Share of Expenses .....	-45	-45	-45
0501 Maritime Oil Spill Programs .....	-213	-289	-239
0502 Oil Spill Research .....	-15	-15	-15
0503 Trust Fund Share of Pipeline Safety .....	-19	-19	-19
0504 Inland Oil Spill Programs .....	-18	-18	-21
0505 Denali Commission Trust Fund .....	-7	-7	-7
0599 Total appropriations .....	-317	-393	-346
0799 Balance, end of year .....	2,305	3,350	4,042

Program and Financing (in millions of dollars)

Identification code 70-8185-0-7-304	2012 actual	2013 CR	2014 est.	
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	2,225	2,553	3,420
5001	Total investments, EOY: Federal securities: Par value .....	2,553	3,420	3,960

The Oil Spill Liability Trust Fund (OSLTF) is used to finance oil pollution prevention and cleanup activities by various Federal agencies. In accordance with the provisions of the Oil Pollution Act of 1990, the Fund may finance annually up to \$50 million of emergency resources and all valid claims from injured parties resulting from oil spills. For Coast Guard, this funds the Trust Fund Share of Expenses and Maritime Oil Spill Programs accounts. The OSLTF is funded by an excise tax on each barrel of oil produced domestically or imported. The Energy Improvement and Extension Act of 2008 (P.L. 110-343) increased the tax rate

to eight cents on each barrel of oil through December 31, 2016, and nine cents on each barrel of oil for the period January 1, 2017 through December 31, 2017. The tax currently will sunset December 31, 2017. In 2014, the Administration proposes to increase these taxes by one cent per barrel, to nine cents per barrel for periods after December 31, 2013, and to 10 cents per barrel for periods after December 31, 2016.

**Status of Funds** (in millions of dollars)

Identification code 70-8185-0-7-304	2012 actual	2013 CR	2014 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year	2,453	2,586	3,545
0199 Total balance, start of year	2,453	2,586	3,545
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1200 Excise Taxes, Oil Spill Liability Trust Fund	497	504	506
1202 Fines and Penalties, OSLTF	53	659	329
<b>Offsetting receipts (proprietary):</b>			
1220 Recoveries, Oil Spill Liability Trust Fund	35	245	68
<b>Offsetting receipts (intragovernmental):</b>			
1240 Earnings on Investments	12	30	50
<b>Offsetting collections:</b>			
1280 Inland Oil Spill Programs	2		
1281 Inland Oil Spill Programs	31	31	31
1299 Income under present law	630	1,469	984
<b>Proposed legislation:</b>			
<b>Receipts:</b>			
2201 Excise Taxes, Oil Spill Liability Trust Fund			85
2299 Income under proposed legislation			85
3299 Total cash income	630	1,469	1,069
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
4500 Maritime Oil Spill Programs	-363	-364	-308
4500 Inland Oil Spill Programs	-56	-45	-53
4500 Trust Fund Share of Expenses	-45	-45	-45
4500 Trust Fund Share of Pipeline Safety	-20	-21	-19
4500 Oil Spill Research	-10	-14	-15
4500 Denali Commission Trust Fund	-8	-16	-7
4599 Outgo under current law (-)	-502	-505	-447
6599 Total cash outgo (-)	-502	-505	-447
7645 Inland Oil Spill Programs		-5	
7645 Inland Oil Spill Programs	5		
7699 Total adjustments	5	-5	
<b>Unexpended balance, end of year:</b>			
8700 Uninvested balance (net), end of year	33	125	207
8701 Oil Spill Liability Trust Fund	2,553	3,420	3,960
8799 Total balance, end of year	2,586	3,545	4,167

**MARITIME OIL SPILL PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 70-8349-0-7-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Emergency fund	50	90	50
0002 Payment of claims	264	238	188
0003 Prince William Sound Oil Spill Recovery Institute	1	1	1
0900 Total new obligations (object class 25.2)	315	329	239
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	77	40	
1021 Recoveries of prior year unpaid obligations	65		
1050 Unobligated balance (total)	142	40	
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	213	289	239
1260 Appropriations, mandatory (total)	213	289	239
1930 Total budgetary resources available	355	329	239
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	40		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	313	200	165
3010 Obligations incurred, unexpired accounts	315	329	239
3020 Outlays (gross)	-363	-364	-308
3040 Recoveries of prior year unpaid obligations, unexpired	-65		
3050 Unpaid obligations, end of year	200	165	96
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	313	200	165
3200 Obligated balance, end of year	200	165	96

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross	213	289	239
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	213	205	202
4101 Outlays from mandatory balances	150	159	106
4110 Outlays, gross (total)	363	364	308
4180 Budget authority, net (total)	213	289	239
4190 Outlays, net (total)	363	364	308

This account provides resources from the Oil Spill Liability Trust Fund for costs associated with the cleanup of oil spills. These include emergency costs associated with oil spill cleanup, funding provided to the Prince William Sound Oil Spill Recovery Institute, and the payment of claims to those who suffer harm from oil spills where the responsible party is not identifiable or is without resources. The claims activity in this account will continue to be funded under separate permanent appropriations and are being displayed in a consolidated format to enhance presentation.

**MISCELLANEOUS TRUST REVOLVING FUNDS**

**Program and Financing** (in millions of dollars)

Identification code 70-9981-0-8-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program	13	13	10
0900 Total new obligations (object class 25.2)	13	13	10
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected	13	13	10
1750 Spending auth from offsetting collections, disc (total)	13	13	10
1930 Total budgetary resources available	13	13	10

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 Obligations incurred, unexpired accounts	13	13	10
3020 Outlays (gross)	-14	-13	-10
3050 Unpaid obligations, end of year	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross	13	13	10
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority	13	13	10
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	14	13	10
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources		-13	-10
4033 Non-Federal sources	-13		
4040 Offsets against gross budget authority and outlays (total)	-13	-13	-10
4080 Outlays, net (discretionary)	1		
4190 Outlays, net (total)	1		

MISCELLANEOUS TRUST REVOLVING FUNDS—Continued

The Coast Guard Cadet Fund is used by the Superintendent of the Coast Guard Academy to receive, plan, control, and expend funds for personal expenses and obligations of Coast Guard Academy cadets.

The Coast Guard Surcharge Collections, Sales of Commissary Stores Fund is used to finance expenses incurred in connection with the operation of the Coast Guard commissary store in Kodiak, Alaska. Revenue is derived from a surcharge placed on sales (14 U.S.C. 487).

Object Classification (in millions of dollars)

Identification code 70-9981-0-8-403	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources .....	13	13	10
99.0 Reimbursable obligations .....	13	13	10

**NATIONAL PROTECTION AND PROGRAMS  
DIRECTORATE**

*Federal Funds*

MANAGEMENT AND ADMINISTRATION

For salaries and expenses of the Office of the Under Secretary and the offices of the Assistant Secretaries for the National Protection and Programs Directorate, support for operations, and information technology, \$64,725,000: Provided, That not to exceed \$4,250 shall be for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0566-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	50	51	65
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	51	51	65
1120 Appropriations transferred to other accts [70-0100] .....	-1		
1160 Appropriation, discretionary (total) .....	50	51	65
1930 Total budgetary resources available .....	50	51	65
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	20	13
3010 Obligations incurred, unexpired accounts .....	50	51	65
3020 Outlays (gross) .....	-48	-49	-71
3041 Recoveries of prior year unpaid obligations, expired .....	-2	-9	
3050 Unpaid obligations, end of year .....	20	13	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	20	13
3200 Obligated balance, end of year .....	20	13	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	50	51	65
Outlays, gross:			
4010 Outlays from new discretionary authority .....	38	46	59
4011 Outlays from discretionary balances .....	10	3	12
4020 Outlays, gross (total) .....	48	49	71
4180 Budget authority, net (total) .....	50	51	65
4190 Outlays, net (total) .....	48	49	71

The Department of Homeland Security (DHS) National Protection and Programs Directorate (NPPD) Management and Admin-

istration (M&A) appropriation provides Directorate leadership and management, coordination of Directorate activities with DHS Headquarters and Components, and program oversight and mission support services. NPPD M&A leads and coordinates Directorate activities to reduce the risks to the Nation's critical infrastructure, including cyber and physical assets. M&A also includes resources for the offices of the Assistant Secretary for Infrastructure Protection and the Assistant Secretary for Cybersecurity and Communications.

Object Classification (in millions of dollars)

Identification code 70-0566-0-1-453	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	23	27	34
11.3 Other than full-time permanent .....	2	1	2
11.9 Total personnel compensation .....	25	28	36
12.1 Civilian personnel benefits .....	7	8	9
21.0 Travel and transportation of persons .....	1		
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	5	4	2
25.2 Other services from non-Federal sources .....	1	2	1
25.3 Other goods and services from Federal sources .....	7	7	13
25.7 Operation and maintenance of equipment .....	1		1
31.0 Equipment .....	1		1
99.9 Total new obligations .....	50	51	65

Employment Summary

Identification code 70-0566-0-1-453	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	219	203	322

UNITED STATES VISITOR AND IMMIGRANT STATUS INDICATOR TECHNOLOGY

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0521-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 System development and deployment .....	275	324	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	40	
1021 Recoveries of prior year unpaid obligations .....	6	2	
1050 Unobligated balance (total) .....	39	42	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	307	309	
1120 Appropriations transferred to other accts [70-0530] .....	-4		
1131 Unobligated balance of appropriations permanently reduced .....	-27	-27	
1160 Appropriation, discretionary (total) .....	276	282	
1900 Budget authority (total) .....	276	282	
1930 Total budgetary resources available .....	315	324	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	40		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	397	344	291
3010 Obligations incurred, unexpired accounts .....	275	324	
3020 Outlays (gross) .....	-321	-375	-234
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-2	
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	344	291	57

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	397	344	291
3200	Obligated balance, end of year .....	344	291	57
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	276	282	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	103	195	.....
4011	Outlays from discretionary balances .....	218	180	234
4020	Outlays, gross (total) .....	321	375	234
4180	Budget authority, net (total) .....	276	282	.....
4190	Outlays, net (total) .....	321	375	234

The mission of the United States Visitor and Immigrant Status Indicator Technology (US-VISIT) Program is to provide biometric identification through the collection, maintenance, and sharing of biometric and selected biographic data to authorized DHS, Federal, State, tribal, and local law enforcement agencies, and through international data-sharing agreements with strategic foreign partners in support of the DHS mission. US-VISIT is a key component of a multi-layered, multi-agency defense that is integral to domestic and international security.

Through its use of biometrics, US-VISIT collects, stores, and share digital fingerscans and digital photographs for subsequent verification. This biometric information is paired with biographic information and used to establish and verify an individual's identity, as well as to match that identity against criminal and immigration violator watchlists. US-VISIT also analyzes biographic data for the identification of potential foreign national overstay violators. The President's Budget proposes the consolidation of US-VISIT to Customs and Border Protection and Immigration and Customs Enforcement.

**Object Classification** (in millions of dollars)

Identification code 70-0521-0-1-751				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	29	41	.....
11.5	Other personnel compensation .....	.....	1	.....
11.9	Total personnel compensation .....	29	42	.....
12.1	Civilian personnel benefits .....	9	13	.....
21.0	Travel and transportation of persons .....	1	.....	.....
23.1	Rental payments to GSA .....	5	6	.....
23.3	Communications, utilities, and miscellaneous charges .....	3	5	.....
25.2	Other services from non-Federal sources .....	19	38	.....
25.3	Other goods and services from Federal sources .....	22	23	.....
25.7	Operation and maintenance of equipment .....	145	155	.....
31.0	Equipment .....	42	42	.....
99.9	Total new obligations .....	275	324	.....

**Employment Summary**

Identification code 70-0521-0-1-751				
1001	Direct civilian full-time equivalent employment .....	308	416	.....

**INFRASTRUCTURE PROTECTION AND INFORMATION SECURITY**

For necessary expenses for infrastructure protection and information security programs and activities, as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), \$1,201,994,000, of which \$365,144,000 shall remain available until September 30, 2015: Provided, That \$168,061,000 shall be used to deploy on Federal systems technology for improvement of the agency information security policies, practices, and systems covered by 44 U.S.C. 3543(a): Provided further, That funds provided under this heading shall be used to assist and support Government-wide and agency-specific efforts to provide adequate, risk-based, and cost-effective cybersecurity measures that address escalating and rapidly evolving threats to information security, including the acquisition

and operation of a continuous monitoring and diagnostics program that includes equipment, software, and services supplied by the Department of Homeland Security.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0565-0-1-054				
2012 actual				
2013 CR				
2014 est.				
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	855	1,170	1,202
0861	Reimbursable program activity .....	5	.....	.....
0869	Reimbursable program activities, subtotal .....	5	.....	.....
0900	Total new obligations .....	860	1,170	1,202
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	30	83	83
1021	Recoveries of prior year unpaid obligations .....	21	.....	.....
1050	Unobligated balance (total) .....	51	83	83
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	888	1,170	1,202
1160	Appropriation, discretionary (total) .....	888	1,170	1,202
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	4	5
1701	Change in uncollected payments, Federal sources .....	9	-4	-5
1750	Spending auth from offsetting collections, disc (total) .....	10	.....	.....
1900	Budget authority (total) .....	898	1,170	1,202
1930	Total budgetary resources available .....	949	1,253	1,285
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-6	.....	.....
1941	Unexpired unobligated balance, end of year .....	83	83	83

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	797	699	624
3010	Obligations incurred, unexpired accounts .....	860	1,170	1,202
3011	Obligations incurred, expired accounts .....	4	.....	.....
3020	Outlays (gross) .....	-925	-1,245	-1,351
3040	Recoveries of prior year unpaid obligations, unexpired .....	-21	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-16	.....	.....
3050	Unpaid obligations, end of year .....	699	624	475
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-10	-6
3070	Change in uncollected pymts, Fed sources, unexpired .....	-9	4	5
3071	Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-10	-6	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	795	689	618
3200	Obligated balance, end of year .....	689	618	474

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	888	1,170	1,202
Outlays, gross:				
4010	Outlays from new discretionary authority .....	359	784	805
4011	Outlays from discretionary balances .....	566	461	546
4020	Outlays, gross (total) .....	925	1,245	1,351
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-3	-4	-5
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-9	4	5
4052	Offsetting collections credited to expired accounts .....	2	.....	.....
4060	Additional offsets against budget authority only (total) .....	-7	4	5
4070	Budget authority, net (discretionary) .....	888	1,170	1,202
4080	Outlays, net (discretionary) .....	922	1,241	1,346
4180	Budget authority, net (total) .....	888	1,170	1,202
4190	Outlays, net (total) .....	922	1,241	1,346

The Infrastructure Protection and Information Security (IPIS) appropriation funds Infrastructure Protection, Cybersecurity,

INFRASTRUCTURE PROTECTION AND INFORMATION SECURITY—Continued and Communications activities, which seek to understand and manage risk from natural disasters, terrorist attacks, or other disasters to the Nation's physical and cyber infrastructure.

*Infrastructure Protection (IP)* programs accomplish the IPIS mission by assisting security partners to identify and mitigate vulnerabilities; increase preparedness for facilities, systems, and surrounding communities; and assess the impact of risk mitigation efforts. The IP PPAs are: Infrastructure Analysis and Planning, Sector Management and Governance, Regional Field Operations, and Infrastructure Security Compliance. The Infrastructure Analysis and Planning PPA supports the identification, prioritization, and protection of the Nation's critical infrastructure and key resources. The Sector Management and Governance PPA is responsible for increasing the ability of all levels of government and private sector partners to assess risks and execute risk mitigation programs. The Regional Field Operations PPA includes voluntary projects and activities that enable security partners in identification of risks and implementation of protective measures. The Infrastructure Security Compliance PPA is responsible for coordination and management of regulatory authority over high-risk chemical facilities and ammonium nitrate.

*Cyber Security and Communications (CS&C)* collaborates with public, private, and international partners to ensure security and continuity of the Nation's cyber and communications infrastructures in the event of terrorist attacks, national disasters, and catastrophic incidents. Additionally, CS&C protects and strengthens the reliability, survivability and interoperability of the Nation's communications capabilities, including those utilized during emergencies, at the Federal, State, local, territorial, and tribal levels.

Cybersecurity and Communications partners with the private sector, government, military, and intelligence stakeholders in risk assessments and mitigating vulnerabilities and threats to information technology assets and activities affecting the operation of the government and civilian critical cyber infrastructures. It provides cyber threat and vulnerability analysis, early warning, and incident response assistance for public and private sector constituents. In addition, Cybersecurity and Communications is the Federal executive agent for carrying out many of the mandates under the Comprehensive National Cybersecurity Initiative. The cybersecurity-focused PPAs are: Cybersecurity Coordination, US-CERT Operations, Federal Network Security, Network Security Deployment, Global Cyber Security Management, Critical Infrastructure Cyber Protection and Awareness, and Business Operations.

Cybersecurity and Communications also provides mission-critical national security and emergency preparedness (NS/EP) telecommunications for Federal, State and local governments, and private industry through the following functions: 1) administering the National Coordinating Center for Telecommunications to facilitate the initiation, coordination, restoration and reconstitution of NS/EP telecommunications services or facilities under all circumstances; 2) developing and ensuring the implementation of plans and programs that support telecommunications infrastructure hardness, redundancy, mobility, connectivity and security; and 3) serving as the focal point for industry and government NS/EP telecommunications planning and partnerships. It supports and promotes the ability of emergency responders and government officials to continue communicating in the event of natural disasters, acts of terrorism, or other man-made disasters. Cybersecurity and Communications works

to ensure, accelerate, and attain interoperable and operable emergency communications nationwide.

The communications PPAs include: Priority Telecommunications services, Programs to Study and Enhance Telecommunications, Critical Infrastructure Protection, Next Generation Networks programs, and the Office of Emergency Communications.

**Object Classification** (in millions of dollars)

Identification code 70-0565-0-1-054	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	106	121	139
11.3 Other than full-time permanent .....	2	2	3
11.5 Other personnel compensation .....	6	4	5
11.9 Total personnel compensation .....	114	127	147
12.1 Civilian personnel benefits .....	31	34	39
21.0 Travel and transportation of persons .....	8	12	7
23.1 Rental payments to GSA .....	17	13	16
23.2 Rental payments to others .....	4	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	11	11
25.1 Advisory and assistance services .....	347	218	184
25.2 Other services from non-Federal sources .....	16	219	232
25.3 Other goods and services from Federal sources .....	290	149	172
25.4 Operation and maintenance of facilities .....	4	8	4
25.7 Operation and maintenance of equipment .....	14	271	206
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	101	164
32.0 Land and structures .....	4		
41.0 Grants, subsidies, and contributions .....		3	16
99.0 Direct obligations .....	855	1,170	1,202
99.0 Reimbursable obligations .....	5		
99.9 Total new obligations .....	860	1,170	1,202

**Employment Summary**

Identification code 70-0565-0-1-054	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,044	1,188	1,373

**FEDERAL PROTECTIVE SERVICE**

*The revenues and collections of security fees credited to this account shall be available until expended for necessary expenses related to the protection of federally owned and leased buildings and for the operations of the Federal Protective Service.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0542-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	1,174	1,302	1,302
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	141	161	174
1021 Recoveries of prior year unpaid obligations .....	43	40	20
1050 Unobligated balance (total) .....	184	201	194
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,178	1,302	1,302
1701 Change in uncollected payments, Federal sources .....	-27	-27	
1750 Spending auth from offsetting collections, disc (total) .....	1,151	1,275	1,302
1930 Total budgetary resources available .....	1,335	1,476	1,496
Memorandum (non-add) entries:			
1941 Policy Program [Text] .....	161	174	194

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	352	329 291
3010	Obligations incurred, unexpired accounts .....	1,174	1,302 1,302
3020	Outlays (gross) .....	-1,154	-1,300 -1,327
3040	Recoveries of prior year unpaid obligations, unexpired .....	-43	-40 -20
3050	Unpaid obligations, end of year .....	329	291 246
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-154	-127 -100
3070	Change in uncollected pymts, Fed sources, unexpired .....	27	27 .....
3090	Uncollected pymts, Fed sources, end of year .....	-127	-100 -100
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	198	202 191
3200	Obligated balance, end of year .....	202	191 146
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	1,151	1,275 1,302
Outlays, gross:			
4010	Outlays from new discretionary authority .....	953	1,275 1,302
4011	Outlays from discretionary balances .....	201	25 25
4020	Outlays, gross (total) .....	1,154	1,300 1,327
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-1,167	-1,299 -1,299
4033	Non-Federal sources .....	-11	-3 -3
4040	Offsets against gross budget authority and outlays (total) ....	-1,178	-1,302 -1,302
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	27	27 .....
4080	Outlays, net (discretionary) .....	-24	-2 25
4190	Outlays, net (total) .....	-24	-2 25

The Federal Protective Service (FPS) is the federal law enforcement organization responsible for the protection of federal facilities. FPS executes the Department of Homeland Security's responsibility to protect federally owned property, leased property and personnel across the nation, as required by section 1315 of title 40 United States Code. FPS operations focus on security and law enforcement activities reducing vulnerability to criminal and terrorist threats, while ensuring facilities are secure and occupants safe. FPS protects federal facilities and the employees within them through measures such as all hazards based risk assessments; appropriate placement of countermeasures, law enforcement response; assistance to stakeholders through Facility Security Committees; and emergency and safety education. FPS also partners with federal agencies to provide additional required security services. FPS is the lead Government Facilities Sector-specific Agency for the National Infrastructure Protection Plan (NIPP).

**Object Classification** (in millions of dollars)

Identification code 70-0542-0-1-804	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	1,174	1,302	1,302

**Employment Summary**

Identification code 70-0542-0-1-804	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,197	1,371	1,371

**BIODEFENSE COUNTERMEASURES**

**Program and Financing** (in millions of dollars)

Identification code 70-0714-0-1-551	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1
1021	Recoveries of prior year unpaid obligations .....	1	.....
1050	Unobligated balance (total) .....	1	1 1

1930	Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	564	344	62
3020	Outlays (gross) .....	-219	-282	-45
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	344	62	17
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	564	344	62
3200	Obligated balance, end of year .....	344	62	17
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	219	282	45
4190	Outlays, net (total) .....	219	282	45

The 2004 Homeland Security Appropriations Act established appropriations for Biodefense Countermeasures through 2013. This program, which the Departments of Homeland Security and Health and Human Services jointly manage, was designed to support the government's efforts to secure medical countermeasures to strengthen the Nation's preparedness against bioterror attacks by pre-purchasing critically needed vaccines and other countermeasures for biodefense.

**OFFICE OF HEALTH AFFAIRS**

*For necessary expenses of the Office of Health Affairs, \$131,797,000; of which \$27,369,000, is for salaries and expenses and \$90,609,000 is for BioWatch operations: Provided, That \$13,819,000 shall remain available until September 30, 2015, for biosurveillance, chemical defense, medical and health planning and coordination, and workforce health protection: Provided further, That not to exceed \$2,500 shall be for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0117-0-1-453	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Biodefense activities .....	158	168	132
0801	Reimbursable program (Sched. O-2118) .....	40	46	46
0900	Total new obligations .....	198	214	178
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	21	26	26
1021	Recoveries of prior year unpaid obligations .....	1	.....	.....
1050	Unobligated balance (total) .....	22	26	26
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	167	168	132
1120	Appropriations transferred to other accts [70-0400] .....	-2	.....	.....
1160	Appropriation, discretionary (total) .....	165	168	132
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	32	46	46
1701	Change in uncollected payments, Federal sources .....	8	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	40	46	46
1900	Budget authority (total) .....	205	214	178
1930	Total budgetary resources available .....	227	240	204
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-3	.....	.....
1941	Unexpired unobligated balance, end of year .....	26	26	26

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	143	161	124

OFFICE OF HEALTH AFFAIRS—Continued  
Program and Financing—Continued

Identification code 70-0117-0-1-453	2012 actual	2013 CR	2014 est.
3010 Obligations incurred, unexpired accounts .....	198	214	178
3020 Outlays (gross) .....	-175	-251	-246
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	161	124	56
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired .....	-8		
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	136	153	116
3200 Obligated balance, end of year .....	153	116	48
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	205	214	178
Outlays, gross:			
4010 Outlays from new discretionary authority .....	72	161	134
4011 Outlays from discretionary balances .....	103	90	112
4020 Outlays, gross (total) .....	175	251	246
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-38	-46	-46
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-8		
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	165	168	132
4080 Outlays, net (discretionary) .....	137	205	200
4180 Budget authority, net (total) .....	165	168	132
4190 Outlays, net (total) .....	137	205	200

The Office of Health Affairs (OHA) provides health and medical expertise in support of the DHS mission to prepare for, respond to, and recover from all threats. OHAs responsibilities include: serving as the principal advisor to the Secretary and FEMA Administrator on medical and public health issues; leading and coordinating biological and chemical defense activities; providing medical and scientific expertise to support DHS preparedness and response efforts; and leading the Department's workforce health and medical oversight activities. OHA also serves as the primary DHS point of contact for State and local governments on medical and public health issues.

One of OHA's primary responsibilities is to mitigate the consequences of biological incidents through early detection and biosurveillance. BioWatch is the only federally managed, locally operated nationwide biosurveillance system designed to detect the intentional release of select aerosolized biological agents. Deployed in more than 30 metropolitan areas throughout the country, the system is a collaborative effort of health personnel at all levels of government. The National Biosurveillance Integration Center (the Center) housed within OHA, coordinates comprehensive national biosurveillance and situational awareness. The Center's mission is to rapidly identify, characterize, localize, and track a biological event of national concern; integrate and analyze data relating to human health, animal, plant, food, water, and environmental domains; disseminate alerts and pertinent information; and oversee development and operation of the National Biosurveillance Integration System.

Object Classification (in millions of dollars)

Identification code 70-0117-0-1-453	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	10	12	12

11.8 Special personal services payments .....	4	3	5
11.9 Total personnel compensation .....	14	15	17
12.1 Civilian personnel benefits .....	3	3	4
21.0 Travel and transportation of persons .....	1		
23.1 Rental payments to GSA .....	2	3	3
25.1 Advisory and assistance services .....	54	61	45
25.3 Other goods and services from Federal sources .....	38	35	19
26.0 Supplies and materials .....	15	15	15
31.0 Equipment .....		6	1
41.0 Grants, subsidies, and contributions .....	31	30	28
99.0 Direct obligations .....	158	168	132
99.0 Reimbursable obligations .....	40	46	46
99.9 Total new obligations .....	198	214	178

Employment Summary

Identification code 70-0117-0-1-453	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	83	101	99

FEDERAL EMERGENCY MANAGEMENT AGENCY  
Federal Funds

FLOOD HAZARD MAPPING AND RISK ANALYSIS PROGRAM

For necessary expenses, including administrative costs, under section 1360 of the National Flood Insurance Act of 1968 (42 U.S.C. 4101), \$84,361,000, and such additional sums as may be provided by State and local governments or other political subdivisions for cost-shared mapping activities under section 1360(f)(2) of such Act (42 U.S.C. 4101(f)(2)), to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0500-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Flood Hazard Mapping and Risk Analysis .....	99	100	84
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-8		
1021 Recoveries of prior year unpaid obligations .....	8		
1050 Unobligated balance (total) .....	2	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	98	99	84
1160 Appropriation, discretionary (total) .....	98	99	84
1930 Total budgetary resources available .....	100	100	84
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	351	290	283
3010 Obligations incurred, unexpired accounts .....	99	100	84
3020 Outlays (gross) .....	-152	-107	-125
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050 Unpaid obligations, end of year .....	290	283	242
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8		
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	8		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	351	290	283
3200 Obligated balance, end of year .....	290	283	242
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	98	99	84

Outlays, gross:				
4010	Outlays from new discretionary authority .....	6	6	5
4011	Outlays from discretionary balances .....	146	101	120
4020	Outlays, gross (total) .....	152	107	125
4180	Budget authority, net (total) .....	98	99	84
4190	Outlays, net (total) .....	152	107	125

The Flood Hazard Mapping and Risk Analysis Program is used to ensure the map modernization investment is preserved and that FEMA makes progress toward addressing flood hazard data update needs for communities who rely heavily on structural flood control defenses as well as those communities at high risk of flooding along the Nation's open coasts.

Object Classification (in millions of dollars)				
Identification code 70-0500-0-1-453				
		2012 actual	2013 CR	2014 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	3	5	5
12.1	Civilian personnel benefits .....	1	1	1
21.0	Travel and transportation of persons .....	1		
23.1	Rental payments to GSA .....		1	1
25.1	Advisory and assistance services .....	23	24	24
25.2	Other services from non-Federal sources .....	35	33	17
25.3	Other goods and services from Federal sources .....	7	6	6
41.0	Grants, subsidies, and contributions .....	29	30	30
99.9	Total new obligations .....	99	100	84

Employment Summary				
Identification code 70-0500-0-1-453				
		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	37	57	57

STATE AND LOCAL PROGRAMS  
(INCLUDING TRANSFER OF FUNDS)

For grants, contracts, cooperative agreements, and other activities, \$2,123,200,000, shall be allocated as follows:

(1) \$1,043,200,000, shall be for the National Preparedness Grant Program for the purpose of achieving the National Preparedness Goal: Provided, That grants may be awarded on a competitive basis to achieve the National Preparedness Goal through the protection of critical infrastructure and key resources, the development and sustainment of capabilities, including nationally deployable resources to prevent and protect against terrorism and to respond to, recover from, and mitigate against all hazards: Provided further, That any grant made under the National Preparedness Grant Program, to the extent possible, be based on effectiveness determinations and threat and hazard identification and risk assessments: Provided further, That grants made under the National Preparedness Grant Program may provide a minimum allocation of funding to each state and territory, including the District of Columbia;

(2) \$670,000,000, to remain available until September 30, 2015, shall be for necessary expenses for programs authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.), of which \$335,000,000 shall be available to carry out section 33 of that Act (15 U.S.C. 2229 et seq.) and of which \$335,000,000 shall be available to carry out section 34 of that Act (15 U.S.C. 2229a): Provided, That, in making such grants, the Secretary may grant waivers from the requirements in subsections (a)(1)(A), (a)(1)(B), (a)(1)(E), (c)(1), (c)(2), and (c)(4)(A) of section 34 of that Act (15 U.S.C. 2229a);

(3) \$350,000,000 shall be available for necessary expenses for emergency management performance grants, as authorized by the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 7701 et seq.), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), and Reorganization Plan No. 3 of 1978 (5 U.S.C. App.); and

(4) \$60,000,000 shall be for Training Partnership Grants for the purpose of achieving the National Preparedness Goal: Provided, That any grant be awarded based on the result of full and open competition:

Provided, That for grants under paragraph (1), applications for grants shall be made available to eligible applicants not later than 60 days after the date of enactment of this Act, that eligible applicants shall submit applications not later than 80 days after the grant announcement, and the Administrator of the Federal Emergency Management Agency shall act within 65 days after the receipt of an application.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0560-0-1-453				
		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Firefighter Assistance Grants .....	826	645	670
0002	Emergency Management Performance Grants .....	350	352	350
0003	State and Local Program Grants .....	1,041		
0004	Education, Training, and Exercises .....	211		
0005	National Preparedness Grant Program .....		1,115	1,043
0006	Training Partnership Grants .....		60	60
0007	Management and Administration .....		95	
0008	National Security Special Event .....	15		
0799	Total direct obligations .....	2,443	2,267	2,123
0801	Reimbursable Program .....	8	2	
0900	Total new obligations .....	2,451	2,269	2,123
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	781	622	656
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	783	622	656
Budget authority:				
Appropriations, discretionary:				
1100	Firefighter Assistance Grants .....	675	679	670
1100	Emergency Management Performance Grants .....	350	352	350
1100	State and Local Program Grants .....	1,117		
1100	Education, Training and Exercises .....	232		
1100	National Preparedness Grant Program .....		1,132	1,043
1100	Training Partnership Grants .....		60	60
1100	Management and Administration .....		173	
1100	National Security Special Event .....	8		
1120	Appropriations transferred to other accts [70-0700] .....	-97	-92	
1131	Unobligated balance of appropriations permanently reduced .....	-3	-3	
1160	Appropriation, discretionary (total) .....	2,282	2,301	2,123
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	2	
1701	Change in uncollected payments, Federal sources .....	8		
1750	Spending auth from offsetting collections, disc (total) .....	9	2	
1900	Budget authority (total) .....	2,291	2,303	2,123
1930	Total budgetary resources available .....	3,074	2,925	2,779
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	622	656	656
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	10,782	9,064	7,973
3010	Obligations incurred, unexpired accounts .....	2,451	2,269	2,123
3011	Obligations incurred, expired accounts .....	44		
3020	Outlays (gross) .....	-4,027	-3,360	-3,150
3030	Unpaid obligations transferred to other accts [69-0700] .....	-10		
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041	Recoveries of prior year unpaid obligations, expired .....	-174		
3050	Unpaid obligations, end of year .....	9,064	7,973	6,946
Uncollected payments:				
3060	Obligated balance transferred to other accts .....	-163	-12	-12
3070	Change in uncollected pymts, Fed sources, unexpired .....	-8		
3071	Change in uncollected pymts, Fed sources, expired .....	159		
3090	Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	10,619	9,052	7,961
3200	Obligated balance, end of year .....	9,052	7,961	6,934

STATE AND LOCAL PROGRAMS—Continued  
Program and Financing—Continued

Identification code 70-0560-0-1-453	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,291	2,303	2,123
Outlays, gross:			
4010 Outlays from new discretionary authority .....	97	37	35
4011 Outlays from discretionary balances .....	3,930	3,323	3,115
4020 Outlays, gross (total) .....	4,027	3,360	3,150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-168	-2	.....
4033 Non-Federal sources .....	-2	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-170	-2	.....
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-8	.....	.....
4052 Offsetting collections credited to expired accounts .....	169	.....	.....
4060 Additional offsets against budget authority only (total) .....	161	.....	.....
4070 Budget authority, net (discretionary) .....	2,282	2,301	2,123
4080 Outlays, net (discretionary) .....	3,857	3,358	3,150
4180 Budget authority, net (total) .....	2,282	2,301	2,123
4190 Outlays, net (total) .....	3,857	3,358	3,150

Comparative Funding Display for Program Adjustment

Total Grant Awards for State and Local Programs	FY 2012	FY 2013	FY 2014
Total Budget Authority .....	2,084	2,084	2,132

**National Preparedness Grant Program.**—The National Preparedness Grant Program (NPGP) will focus on the development and sustainment of National Incident Management System (NIMS) typed capabilities, that can be utilized nationally and regionally. These types of investments include canine explosive detection teams, Urban Search and Rescue Teams (USAR), and Hazardous Materials (HAZMAT) Teams. Emergency Management and Homeland Security (EMHS) resources will be considered in the context of their availability and utility to multiple jurisdictions, regions, and the Nation. The NPGP will optimize the development and sustainment of core capabilities as outlined in the National Preparedness Goal. Particular emphasis will be placed on capabilities that pose the greatest risk to the security and resilience of the United States and can be utilized to address multiple threats and hazards. Grantees will map their proposed investments to one or more specific core capabilities and will incorporate effectiveness measures that facilitate accountability. This clear linkage will enable all levels of government to collectively demonstrate how the proposed investment will build and sustain core capabilities necessary to strengthen the Nation's preparedness.

**Emergency Management Performance Grants.**—These grants support State and regional efforts to achieve target levels of capability in catastrophic planning and emergency management. This program provides funding by formula basis to all 56 States and territories.

**Firefighter Assistance Grants.**—These grants provide direct assistance to local fire departments for investments to improve their ability to safeguard the lives of firefighting personnel and members of the public in the event of a terrorist attack. The request is focused on grants for hiring and retaining firefighters, training, equipment, and personal protective gear. Funding supports a menu of grant programs: the Staffing for Adequate Fire and Emergency Response (SAFER) grants, the Assistance to Firefighter Grant (AFG), and the Fire Prevention and Safety grant program. The competitive, peer-review grant process will

give priority to applications that enhance capabilities for terrorism response and other major incidents.

**Training Partnership Grants Program.**—These grants will be awarded to competitively selected applicants to develop and deliver innovative training programs addressing high priority national homeland security training needs. All training partners funded through this program will offer training regimens that build and sustain the core capabilities as outlined in the National Preparedness Goal.

**Management and Administration.**—To provide streamlined accounting and increased oversight in 2014, the FEMA Salaries and Expenses account includes \$259 million to fund management and administration of grants, including salaries and benefits, the National Exercise Program, the Center for Domestic Preparedness, Technical Assistance, Evaluations and Assessments.

Object Classification (in millions of dollars)

Identification code 70-0560-0-1-453	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	14	16	.....
12.1 Civilian personnel benefits .....	4	5	.....
21.0 Travel and transportation of persons .....	5	4	.....
23.2 Rental payments to others .....	1	4	.....
23.3 Communications, utilities, and miscellaneous charges .....	3	.....	.....
24.0 Printing and reproduction .....	1	.....	.....
25.1 Advisory and assistance services .....	8	3	.....
25.2 Other services from non-Federal sources .....	127	105	.....
25.3 Other goods and services from Federal sources .....	1	.....	.....
25.4 Operation and maintenance of facilities .....	11	.....	.....
25.7 Operation and maintenance of equipment .....	4	2	.....
26.0 Supplies and materials .....	1	.....	.....
31.0 Equipment .....	3	1	.....
41.0 Grants, subsidies, and contributions .....	2,260	2,127	2,123
99.0 Direct obligations .....	2,443	2,267	2,123
99.0 Reimbursable obligations .....	8	2	.....
99.9 Total new obligations .....	2,451	2,269	2,123

Employment Summary

Identification code 70-0560-0-1-453	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	170	181	.....

FIRST RESPONDER STABILIZATION FUND

This account provides immediate assistance for the retention, rehiring, and hiring of firefighters as requested by the President in the American Jobs Act. In addition, departments will gain a preference for implementing programs and policies that focus on the recruitment of post-9/11 veterans for firefighter positions. Based on the current economic environment, the proposal also provided authority for the Secretary to waive certain restrictions on the award and expenditure of SAFER grants to further assist local firefighting agencies and prevent unnecessary job losses.

FIRST RESPONDER STABILIZATION FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 70-0721-4-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	.....	1,000	.....
0900 Total new obligations (object class 41.0) .....	.....	1,000	.....

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221	Appropriations transferred from other accts [15-0413] .....	1,000	
1260	Appropriations, mandatory (total) .....	1,000	
1930	Total budgetary resources available .....	1,000	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		1,000
3010	Obligations incurred, unexpired accounts .....	1,000	
3020	Outlays (gross) .....		-50
3050	Unpaid obligations, end of year .....	1,000	950
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		1,000
3200	Obligated balance, end of year .....	1,000	950
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1,000	
Outlays, gross:			
4101	Outlays from mandatory balances .....		50
4180	Budget authority, net (total) .....	1,000	
4190	Outlays, net (total) .....		50

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	44	45 42
Outlays, gross:			
4010	Outlays from new discretionary authority .....	27	41 38
4011	Outlays from discretionary balances .....	16	16 9
4020	Outlays, gross (total) .....	43	57 47
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-1	-1 -1
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....		-1 -1
4052	Offsetting collections credited to expired accounts .....	1	1 1
4060	Additional offsets against budget authority only (total) .....	1	
4070	Budget authority, net (discretionary) .....	44	44 41
4080	Outlays, net (discretionary) .....	42	56 46
4180	Budget authority, net (total) .....	44	44 41
4190	Outlays, net (total) .....	42	56 46

The United States Fire Administration supports the preparedness of the Nation's fire and emergency service leaders through analysis, training, and education regarding how to evaluate and minimize community risk, improve protection of critical infrastructure, and prepare to react to fires, natural hazards, and terrorism emergencies.

UNITED STATES FIRE ADMINISTRATION

For necessary expenses of the United States Fire Administration and for other purposes, as authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.) and the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), \$41,306,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 70-0564-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	44	44 41
0801	Reimbursable program activity .....		1 1
0900	Total new obligations .....	44	45 42

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	44	44 41
1160	Appropriation, discretionary (total) .....	44	44 41
Spending authority from offsetting collections, discretionary:			
1701	Change in uncollected payments, Federal sources .....	1	1
1750	Spending auth from offsetting collections, disc (total) .....		1 1
1900	Budget authority (total) .....	44	45 42
1930	Total budgetary resources available .....	44	45 42

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	22	21 9
3010	Obligations incurred, unexpired accounts .....	44	45 42
3011	Obligations incurred, expired accounts .....	1	
3020	Outlays (gross) .....	-43	-57 -47
3041	Recoveries of prior year unpaid obligations, expired .....	-3	
3050	Unpaid obligations, end of year .....	21	9 4
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1 -2
3070	Change in uncollected pymts, Fed sources, unexpired .....		-1 -1
3071	Change in uncollected pymts, Fed sources, expired .....	1	
3090	Uncollected pymts, Fed sources, end of year .....	-1	-2 -3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	20	20 7
3200	Obligated balance, end of year .....	20	7 1

Object Classification (in millions of dollars)

Identification code 70-0564-0-1-453	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	11	11 12
12.1	Civilian personnel benefits .....	3	3 3
23.3	Communications, utilities, and miscellaneous charges .....	2	3 3
25.1	Advisory and assistance services .....	6	7 6
25.2	Other services from non-Federal sources .....	3	2 1
25.3	Other goods and services from Federal sources .....	1	1 1
25.4	Operation and maintenance of facilities .....	7	7 7
25.7	Operation and maintenance of equipment .....	4	6 6
26.0	Supplies and materials .....	1	1 1
31.0	Equipment .....	1	
32.0	Land and structures .....	2	
41.0	Grants, subsidies, and contributions .....	3	3 1
99.0	Direct obligations .....	44	44 41
99.0	Reimbursable obligations .....		1 1
99.9	Total new obligations .....	44	45 42

Employment Summary

Identification code 70-0564-0-1-453	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	124	133 133

RADIOLOGICAL EMERGENCY PREPAREDNESS PROGRAM

The aggregate charges assessed during fiscal year 2014, as authorized in title III of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999 (42 U.S.C. 5196e), shall not be less than 100 percent of the amounts anticipated by the Department of Homeland Security necessary for its radiological emergency preparedness program for the next fiscal year: Provided, That the methodology for assessment and collection of fees shall be fair and equitable and shall reflect costs of providing such services, including administrative costs of collecting such fees: Provided further, That fees received under this heading shall be deposited in this account as offsetting collections and will become available for authorized purposes on October 1, 2014, and remain available until September 30, 2016.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**RADIOLOGICAL EMERGENCY PREPAREDNESS PROGRAM—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 70-0715-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Radiological Emergency Preparedness .....	34	54	40
0802 Reimbursable program activity .....	60	14	.....
0900 Total new obligations .....	94	68	40
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	28	.....
1021 Recoveries of prior year unpaid obligations .....	10	.....	.....
1050 Unobligated balance (total) .....	29	28	.....
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	92	54	41
1701 Change in uncollected payments, Federal sources .....	6	.....	.....
1701 Change in uncollected payments, CSEPP .....	.....	-14	.....
1702 Offsetting collections (previously unavailable) .....	37	40	40
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-40	-40	-41
1750 Spending auth from offsetting collections, disc (total) .....	95	40	40
1930 Total budgetary resources available .....	124	68	40
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	28	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	112	95	98
3010 Obligations incurred, unexpired accounts .....	94	68	40
3011 Obligations incurred, expired accounts .....	9	.....	.....
3020 Outlays (gross) .....	-105	-65	-60
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	95	98	78
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-127	-77	-63
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	14	.....
3071 Change in uncollected pymts, Fed sources, expired .....	56	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-77	-63	-63
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-15	18	35
3200 Obligated balance, end of year .....	18	35	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	95	40	40
Outlays, gross:			
4010 Outlays from new discretionary authority .....	25	16	16
4011 Outlays from discretionary balances .....	80	49	44
4020 Outlays, gross (total) .....	105	65	60
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-56	-14	.....
4033 Non-Federal sources .....	-37	-40	-41
4040 Offsets against gross budget authority and outlays (total) .....	-93	-54	-41
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-6	14	.....
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4060 Additional offsets against budget authority only (total) .....	-5	14	.....
4070 Budget authority, net (discretionary) .....	-3	.....	-1
4080 Outlays, net (discretionary) .....	12	11	19
4180 Budget authority, net (total) .....	-3	.....	-1
4190 Outlays, net (total) .....	12	11	19
<b>Memorandum (non-add) entries:</b>			
5090 Unavailable balance, SOY: Offsetting collections .....	37	40	40
5091 Unavailable balance, EOY: Offsetting collections .....	40	40	41

The Radiological Emergency Preparedness (REP) program assists State, local and tribal governments in the development of off-site radiological emergency preparedness plans within the emergency planning zones of Nuclear Regulatory Commission (NRC) licensed commercial nuclear power facilities. The fund is

financed from fees assessed and collected from the NRC licensees to cover the costs for radiological emergency planning, preparedness, and response activities in the following year.

**Object Classification** (in millions of dollars)

Identification code 70-0715-0-1-453	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	94	68	40

**Employment Summary**

Identification code 70-0715-0-1-453	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	157	170	170
2001 Reimbursable civilian full-time equivalent employment .....	18	24	.....

**READINESS, MITIGATION, RESPONSE, AND RECOVERY**

**Program and Financing** (in millions of dollars)

Identification code 70-0711-0-1-453	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	.....	.....
3011 Obligations incurred, expired accounts .....	14	.....	.....
3020 Outlays (gross) .....	-16	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-15	.....	.....
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	13	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	16	.....	.....
4190 Outlays, net (total) .....	16	.....	.....

**ADMINISTRATIVE AND REGIONAL OPERATIONS**

**Program and Financing** (in millions of dollars)

Identification code 70-0712-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administrative and regional operations .....	2	1	.....
0900 Total new obligations (object class 25.7) .....	2	1	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	3	1	.....
1930 Total budgetary resources available .....	3	1	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	19	4
3010 Obligations incurred, unexpired accounts .....	2	1	.....
3020 Outlays (gross) .....	-14	-16	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	19	4	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	33	19	4
3200 Obligated balance, end of year .....	19	4	2

<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	14	16	2
4190	Outlays, net (total) .....	14	16	2

**SALARIES AND EXPENSES**

For necessary expenses of the Federal Emergency Management Agency, \$1,042,382,000, including activities authorized by the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Cerro Grande Fire Assistance Act of 2000 (division C, title I, 114 Stat. 583), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), the Defense Production Act of 1950 (50 U.S.C. App. 2061 et seq.), sections 107 and 303 of the National Security Act of 1947 (50 U.S.C. 404, 405), Reorganization Plan No. 3 of 1978 (5 U.S.C. App.), the National Dam Safety Program Act (33 U.S.C. 467 et seq.), the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), and the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109-295; 120 Stat. 1394): Provided, That not to exceed \$2,500 shall be for official reception and representation expenses: Provided further, That of the total amount made available under this heading, \$27,513,000 shall be for the Urban Search and Rescue Response System, of which no funds may be made available for administrative costs: Provided further, That, of the total amount made available under this heading, \$32,000,000 shall remain available until September 30, 2015, for capital improvements and other expenses related to continuity of operations at the Mount Weather Emergency Operations Center.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0700-0-1-999	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0005	Administrative and Regional Offices .....	256	253	241
0006	Preparedness and Protection .....	164	169	294
0007	Response .....	190	171	172
0008	Recovery .....	57	55	55
0009	Mitigation .....	31	27	26
0010	Mission Support .....	192	153	144
0011	Centrally Managed Accounts .....	117	177	110
0799	Total direct obligations .....	1,007	1,005	1,042
0801	Reimbursable program .....	12	45	55
0900	Total new obligations .....	1,019	1,050	1,097
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	14	13	.....
1021	Recoveries of prior year unpaid obligations .....	14	.....	.....
1050	Unobligated balance (total) .....	28	13	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	895	900	1,042
1121	Appropriations transferred from other accts [70-0560] ....	97	92	.....
1160	Appropriation, discretionary (total) .....	992	992	1,042
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	9	45	55
1701	Change in uncollected payments, Federal sources .....	4	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	13	45	55
1900	Budget authority (total) .....	1,005	1,037	1,097
1930	Total budgetary resources available .....	1,033	1,050	1,097
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	.....	.....
1941	Unexpired unobligated balance, end of year .....	13	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	675	554	437
3010	Obligations incurred, unexpired accounts .....	1,019	1,050	1,097

3011	Obligations incurred, expired accounts .....	13	.....	.....
3020	Outlays (gross) .....	-1,062	-1,167	-1,149
3040	Recoveries of prior year unpaid obligations, unexpired .....	-14	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-77	.....	.....
3050	Unpaid obligations, end of year .....	554	437	385
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-16	-5	.....
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	15	5	.....
3090	Uncollected pymts, Fed sources, end of year .....	-5	.....	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	659	549	437
3200	Obligated balance, end of year .....	549	437	385

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,005	1,037	1,097
Outlays, gross:				
4010	Outlays from new discretionary authority .....	613	733	775
4011	Outlays from discretionary balances .....	449	434	374
4020	Outlays, gross (total) .....	1,062	1,167	1,149
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-16	-45	-55
4033	Non-Federal sources .....	-1	.....	.....
4040	Offsets against gross budget authority and outlays (total) ...	-17	-45	-55
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
4052	Offsetting collections credited to expired accounts .....	8	.....	.....
4060	Additional offsets against budget authority only (total) .....	4	.....	.....
4070	Budget authority, net (discretionary) .....	992	992	1,042
4080	Outlays, net (discretionary) .....	1,045	1,122	1,094
4180	Budget authority, net (total) .....	992	992	1,042
4190	Outlays, net (total) .....	1,045	1,122	1,094

Funding for Salaries and Expenses provides for the development and maintenance of an integrated, nationwide capability to prepare for, mitigate against, respond to, and recover from the consequences of terrorist attacks and other major disasters and emergencies, in partnership with other Federal agencies, State and local governments, volunteer organizations and the private sector. Activities also include coordination of Federal homeland security programs affecting State, local, and regional authorities in the National Capital Region, congressional outreach, national security functions, information technology services, and facilities management. In order to provide streamlined accounting and increased oversight in FY 2014, the Salaries and Expenses account includes \$259 million in funding for management and administration of preparedness grants and operating programs that was requested as a transfer from State and Local Programs in previous years. For additional information, please reference the State and Local Programs narrative.

**Object Classification** (in millions of dollars)

Identification code 70-0700-0-1-999	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	361	408	417
11.3	Other than full-time permanent .....	1	.....	.....
11.5	Other personnel compensation .....	12	5	4
11.9	Total personnel compensation .....	374	413	421
12.1	Civilian personnel benefits .....	107	119	124
21.0	Travel and transportation of persons .....	20	16	15
23.1	Rental payments to GSA .....	32	25	25
23.2	Rental payments to others .....	6	.....	.....
23.3	Communications, utilities, and miscellaneous charges .....	29	19	35
24.0	Printing and reproduction .....	2	1	2
25.1	Advisory and assistance services .....	49	20	17
25.2	Other services from non-Federal sources .....	219	177	208
25.3	Other goods and services from Federal sources .....	47	24	30
25.4	Operation and maintenance of facilities .....	8	5	15
25.5	Research and development contracts .....	1	1	.....
25.7	Operation and maintenance of equipment .....	7	4	11
26.0	Supplies and materials .....	5	5	6

**SALARIES AND EXPENSES—Continued**  
**Object Classification—Continued**

Identification code 70-0700-0-1-999	2012 actual	2013 CR	2014 est.
31.0 Equipment .....	27	27	27
32.0 Land and structures .....	31	112	58
41.0 Grants, subsidies, and contributions .....	43	37	48
99.0 Direct obligations .....	1,007	1,005	1,042
99.0 Reimbursable obligations .....	12	45	55
99.9 Total new obligations .....	1,019	1,050	1,097

**Employment Summary**

Identification code 70-0700-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,770	4,280	4,332
2001 Reimbursable civilian full-time equivalent employment .....	5	5	27

**NATIONAL PREDISASTER MITIGATION GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 70-0701-0-1-453	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	4	3
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	5	4	3
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-1	-1	
1160 Appropriation, discretionary (total) .....	-1	-1	
1930 Total budgetary resources available .....	4	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	3	2
3020 Outlays (gross) .....	-1	-1	-1
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	3	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	3	2
3200 Obligated balance, end of year .....	3	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-1	-1	
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	1	1
4180 Budget authority, net (total) .....	-1	-1	
4190 Outlays, net (total) .....	1	1	1

**NATIONAL FLOOD MITIGATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 70-0717-0-1-453	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	8	
3020 Outlays (gross) .....	-6	-8	
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	8		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	8	
3200 Obligated balance, end of year .....	8		

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	6	8	
4190 Outlays, net (total) .....	6	8	

**NATIONAL PRE-DISASTER MITIGATION FUND**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0716-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Pre-disaster mitigation .....	77	74	58
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	174	143	105
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	184	143	105
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	36	36	
1160 Appropriation, discretionary (total) .....	36	36	
1930 Total budgetary resources available .....	220	179	105
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	143	105	47
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	201	225	255
3010 Obligations incurred, unexpired accounts .....	77	74	58
3020 Outlays (gross) .....	-43	-44	-57
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3050 Unpaid obligations, end of year .....	225	255	256
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	201	225	255
3200 Obligated balance, end of year .....	225	255	256
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	36	36	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	
4011 Outlays from discretionary balances .....	41	42	57
4020 Outlays, gross (total) .....	43	44	57
4180 Budget authority, net (total) .....	36	36	
4190 Outlays, net (total) .....	43	44	57

The goal of the National Pre-disaster Mitigation Fund is to support strategic local approaches to sustainable development by coupling hazard mitigation with related community development goals and activities that reduce risks while protecting life, property, and the environment. Funding requested through this program will provide grants funding to State, local, and tribal governments to reduce the risks associated with disasters. Resources support the development and enhancement of hazard mitigation plans, as well as the implementation of pre-disaster mitigation projects. Operating independently of the Disaster Relief Fund (DRF), which provides post-disaster mitigation funding, the Pre-disaster Mitigation program provides a stable, year-to-year funding source for qualified projects that is not dependent upon Presidentially declared disaster activity. No funding is requested; the program will operate exclusively from unobligated prior year appropriated balances.

**Object Classification** (in millions of dollars)

Identification code 70-0716-0-1-453	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
12.1 Civilian personnel benefits .....	1	.....	.....
25.2 Other services from non-Federal sources .....	4	5	4
41.0 Grants, subsidies, and contributions .....	71	68	53
99.9 Total new obligations .....	77	74	58

**Employment Summary**

Identification code 70-0716-0-1-453	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	12	7	3

**EMERGENCY FOOD AND SHELTER**

To carry out the emergency food and shelter program pursuant to title III of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11331 et seq.), \$100,000,000, to remain available until expended: Provided, That total administrative costs shall not exceed 3.5 percent of the total amount made available under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0707-0-1-605	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Emergency food and shelter .....	120	121	100
0900 Total new obligations (object class 41.0) .....	120	121	100
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	120	121	100
1160 Appropriation, discretionary (total) .....	120	121	100
1930 Total budgetary resources available .....	120	121	100
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	93	123	18
3010 Obligations incurred, unexpired accounts .....	120	121	100
3020 Outlays (gross) .....	-90	-226	-106
3050 Unpaid obligations, end of year .....	123	18	12
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	93	123	18
3200 Obligated balance, end of year .....	123	18	12
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	120	121	100
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	109	90
4011 Outlays from discretionary balances .....	90	117	16
4020 Outlays, gross (total) .....	90	226	106
4180 Budget authority, net (total) .....	120	121	100
4190 Outlays, net (total) .....	90	226	106

The Emergency Food and Shelter program provides grants to nonprofit and faith-based organizations at the local level to supplement their programs for emergency food and shelter.

**DISASTER RELIEF FUND**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), \$6,220,908,000, to remain available until expended: Provided, That, of the funds provided herein, \$5,626,386,394 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.): Provided further, That the amount for major disasters in the previous proviso is designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That of the funds provided herein other than for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), \$24,000,000 shall be transferred to the Department of Homeland Security Office of Inspector General for audits and investigations related to disasters.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 70-0702-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Base/Non-Major Disasters .....	700	680	571
0004 Disaster Relief .....	6,835	18,903	7,028
0799 Total direct obligations .....	7,535	19,583	7,599
0801 Reimbursable program activity .....	15	.....	.....
0900 Total new obligations .....	7,550	19,583	7,599
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	98	1,025	1,208
1021 Recoveries of prior year unpaid obligations .....	1,386	1,200	800
1050 Unobligated balance (total) .....	1,484	2,225	2,008
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	7,100	18,592	6,221
1120 Appropriations transferred to other accts [70-0200] .....	-24	-27	-24
1160 Appropriation, discretionary (total) .....	7,076	18,565	6,197
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	39	.....	1
1701 Change in uncollected payments, Federal sources .....	-24	1	.....
1750 Spending auth from offsetting collections, disc (total) .....	15	1	1
1900 Budget authority (total) .....	7,091	18,566	6,198
1930 Total budgetary resources available .....	8,575	20,791	8,206
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,025	1,208	607
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	11,346	11,126	20,295
3010 Obligations incurred, unexpired accounts .....	7,550	19,583	7,599
3020 Outlays (gross) .....	-6,384	-9,214	-11,782
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,386	-1,200	-800
3050 Unpaid obligations, end of year .....	11,126	20,295	15,312
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-24	.....	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	24	-1	.....
3090 Uncollected pymts, Fed sources, end of year .....	.....	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	11,322	11,126	20,294
3200 Obligated balance, end of year .....	11,126	20,294	15,311
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	7,091	18,566	6,198
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,937	6,422	2,200
4011 Outlays from discretionary balances .....	3,447	2,792	9,582
4020 Outlays, gross (total) .....	6,384	9,214	11,782

DISASTER RELIEF FUND—Continued  
Program and Financing—Continued

Identification code 70-0702-0-1-453	2012 actual	2013 CR	2014 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2		-1
4033 Non-Federal sources .....	-37		
4040 Offsets against gross budget authority and outlays (total) ....	-39		-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	24	-1	
4070 Budget authority, net (discretionary) .....	7,076	18,565	6,197
4080 Outlays, net (discretionary) .....	6,345	9,214	11,781
4180 Budget authority, net (total) .....	7,076	18,565	6,197
4190 Outlays, net (total) .....	6,345	9,214	11,781

Through the Disaster Relief Fund (DRF), FEMA provides a significant portion of the total Federal response to Presidentially-declared major disasters and emergencies. Primary assistance programs include Federal assistance to individuals and households, public assistance, and hazard mitigation assistance, which includes the repair and reconstruction of State, local, and non-profit infrastructure.

Beginning in 2012, section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended (BBEDCA) includes a discretionary cap adjustment for disaster relief, facilitating a shift from a reliance on supplemental appropriations. DRF funding has historically been fungible, but the BBEDCA requires that funding appropriated as disaster relief under the cap adjustment go toward declared major disasters only as defined under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Cap adjustment funding is not to be used for base/non-major disaster spending on emergency declarations, disaster readiness support activities, pre-disaster surge costs, or Fire Management Assistance Grants. The DRF request can be broken down into four principal components: catastrophic obligations, non-catastrophic obligations, recoveries, and a reserve. The funding required for the catastrophic category (events greater than \$500 million) is based on FEMA spend plans for all prior declared catastrophic events. The Budget does not include additional funding for Hurricane Sandy, because the funding tail could not yet be determined at the time of allocation; however, it should be noted that this event will be reflected in the 2015 Budget. It should also be noted that these figures include no funds for new catastrophic events that may occur in 2014. It is assumed that any new catastrophic events in 2014 will be funded with emergency supplemental funding requests as provided for in the BBEDCA. The non-catastrophic funding level is based on a new approach that uses the ten-year average for non-catastrophic events. As opposed to the method used in previous years that utilized the five-year average, this approach provides a more realistic projection of non-catastrophic needs in 2014. The recoveries figure represents an estimate of the amount that FEMA will recover and de-obligate from prior projects. The 2013 Budget included a \$500 million reserve. Given the uncertainty over whether this reserve will be used in 2013, an additional \$500 million is again included for a reserve in 2014.

Object Classification (in millions of dollars)

Identification code 70-0702-0-1-453	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	167	357	359
11.3 Other than full-time permanent .....	158	285	287
11.5 Other personnel compensation .....	59	71	72
11.9 Total personnel compensation .....	384	713	718

12.1	Civilian personnel benefits .....	87	277	279
13.0	Benefits for former personnel .....	18	24	26
21.0	Travel and transportation of persons .....	186	332	177
22.0	Transportation of things .....	36	123	41
23.1	Rental payments to GSA .....	70	60	60
23.2	Rental payments to others .....	5	5	5
23.3	Communications, utilities, and miscellaneous charges .....	44	52	47
24.0	Printing and reproduction .....	1	2	2
25.1	Advisory and assistance services .....	54	35	30
25.2	Other services from non-Federal sources .....	219	598	263
25.3	Other goods and services from Federal sources .....	387	615	464
25.4	Operation and maintenance of facilities .....	27	18	18
25.7	Operation and maintenance of equipment .....	14	10	10
25.8	Subsistence and support of persons .....	1		
26.0	Supplies and materials .....	49	63	59
31.0	Equipment .....	28	133	42
32.0	Land and structures .....	3	6	6
41.0	Grants, subsidies, and contributions .....	5,922	16,516	5,351
99.0	Direct obligations .....	7,535	19,582	7,598
99.0	Reimbursable obligations .....	15	1	1
99.9	Total new obligations .....	7,550	19,583	7,599

Employment Summary

Identification code 70-0702-0-1-453	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5,569	7,917	7,134

NATIONAL FLOOD INSURANCE FUND

For activities under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.) and the Flood Disaster Protection Act of 1973 (42 U.S.C. 4001 et seq.), the Biggert-Waters Flood Insurance Reform Act of 2012, Public Law 112-141, \$176,300,000, which shall remain available until September 30, 2015, and shall be derived from offsetting amounts collected under section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)), which is available for salaries and expenses associated with flood mitigation and flood insurance operations; and floodplain management and additional amounts for flood mapping: Provided, That \$22,000,000 shall be available for salaries and expenses associated with flood mitigation and flood insurance operations; and \$154,300,000 shall be available for flood plain management and flood mapping: Provided further, That any additional fees collected pursuant to section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)) shall be credited as an offsetting collection to this account, to be available for flood plain management and flood mapping: Provided further, That in fiscal year 2014, no funds shall be available from the National Flood Insurance Fund under section 1310 of that Act (42 U.S.C. 4017) in excess of:

- (1) \$132,000,000 for operating expenses;
- (2) \$1,152,000,000 for commissions and taxes of agents;
- (3) such sums as are necessary for interest on Treasury borrowings; and

(4) \$100,000,000, which shall remain available until expended, for flood mitigation actions and for flood mitigation assistance under section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c), notwithstanding subparagraphs (B) and (C) of subsection (b)(3) and subsection (f) of section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c) and notwithstanding subsection (a)(7) of section 1310 of the National Flood Insurance Act of 1968 (42 U.S.C. 4017): Provided further, That the amounts collected under section 102 of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4012a) and section 1366(e) of the National Flood Insurance Act of 1968 shall be deposited in the National Flood Insurance Fund to supplement other amounts specified as available for section 1366 of the National Insurance Act of 1968, notwithstanding subsection (f)(8) of such section 102 (42 U.S.C. 4012a(f)(8) and subsection 1366(e) and paragraphs (2) through (4) of section 1367(b) of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c(e), 4104d(b)(2)-(3)): Provided further, That total administrative costs shall not exceed 4 percent of the total appropriation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-4236-0-3-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 NFIP Mandatory - Insurance .....	3,579	14,930	4,783
0802 Flood Mitigation and Flood Insurance Operations .....	16	22	22
0803 Floodplain Management and Flood Mapping .....	157	149	154
0804 Flood Mitigation Grants .....	86	120	100
0900 Total new obligations .....	3,838	15,221	5,059
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,121	1,480	403
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	11	9	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-275	-1,077	.....
1021 Recoveries of prior year unpaid obligations .....	30	.....	.....
1050 Unobligated balance (total) .....	1,876	403	403
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority (Available) .....	.....	3,025	.....
1400 Borrowing authority (Request) .....	.....	8,700	1,000
1440 Borrowing authority, mandatory (total) .....	.....	11,725	1,000
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	165	171	176
1750 Spending auth from offsetting collections, disc (total) .....	165	171	176
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (Claims Expense) .....	3,352	3,325	3,526
1825 Spending authority from offsetting collections applied to repay debt .....	-75	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	3,277	3,325	3,526
1900 Budget authority (total) .....	3,442	15,221	4,702
1930 Total budgetary resources available .....	5,318	15,624	5,105
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,480	403	46

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	474	600	600
3010 Obligations incurred, unexpired accounts .....	3,838	15,221	5,059
3020 Outlays (gross) .....	-3,681	-15,221	-5,059
3040 Recoveries of prior year unpaid obligations, unexpired .....	-30	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	600	600	600
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	474	600	600
3200 Obligated balance, end of year .....	600	600	600

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	165	171	176
Outlays, gross:			
4010 Outlays from new discretionary authority .....	95	82	84
4011 Outlays from discretionary balances .....	84	89	92
4020 Outlays, gross (total) .....	179	171	176
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-165	-171	-176
Mandatory:			
4090 Budget authority, gross .....	3,277	15,050	4,526
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3,467	14,588	4,365
4101 Outlays from mandatory balances .....	35	462	518
4110 Outlays, gross (total) .....	3,502	15,050	4,883
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3,352	-3,325	-3,526
4180 Budget authority, net (total) .....	-75	11,725	1,000
4190 Outlays, net (total) .....	164	11,725	1,357

The National Flood Insurance Act of 1968, as amended, authorizes the Federal Government to provide flood insurance on a national basis. Flood insurance may be sold or continued in force only in communities which enact and enforce appropriate floodplain management measures. Communities must participate in the program within one year of the time they are identified as flood-prone in order to be eligible for flood insurance and some

forms of Federal financial assistance for acquisition or construction purposes. In addition, Federally regulated funding institutions cannot provide loans to non-participating communities with an identified flood hazard.

The Budget Request assumes collection of all of the administrative and program costs associated with flood insurance activities from policy holders. In addition, funding from premium collections will be used to support repetitive loss mitigation. Under the emergency program, structures in identified flood-prone areas are eligible for limited amounts of coverage at subsidized insurance rates. Under the regular program, studies must be made of different flood risks in flood-prone areas to establish actuarial premium rates. These rates are charged for insurance on new construction. Coverage is available on virtually all types of buildings and their contents in amounts up to \$350,000 for residential and \$1,000,000 for other types.

This account also provides funding for flood mitigation activities that reduce the risk of flood damage to structures insurable under the National Flood Insurance Program. These activities provide flood mitigation assistance planning support to States and communities through the Flood Mitigation Assistance grant program.

**Balance Sheet** (in millions of dollars)

Identification code 70-4236-0-3-453	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1,165	1,002
Non-Federal assets:		
1206 Receivables, net .....	2	3
1207 Advances and prepayments .....	533	526
1801 Other Federal assets: Cash and other monetary assets .....	33	100
1999 Total assets .....	1,733	1,631
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	17,750	17,750
Non-Federal liabilities:		
2201 Accounts payable .....	86	64
2207 Other .....	5,511	2,783
2999 Total liabilities .....	23,347	20,597
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	-18,966
4999 Total liabilities and net position .....	23,347	1,631

**Object Classification** (in millions of dollars)

Identification code 70-4236-0-3-453	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	31	33	34
11.5 Other personnel compensation .....	1	.....	.....
11.9 Total personnel compensation .....	32	33	34
12.1 Civilian personnel benefits .....	9	9	10
21.0 Travel and transportation of persons .....	2	1	1
23.1 Rental payments to GSA .....	2	3	3
23.2 Rental payments to others .....	1	.....	.....
23.3 Communications, utilities, and miscellaneous charges .....	2	1	1
24.0 Printing and reproduction .....	5	1	1
25.1 Advisory and assistance services .....	73	10	10
25.2 Other services from non-Federal sources .....	211	2,714	2,062
25.3 Other goods and services from Federal sources .....	882	3	.....
26.0 Supplies and materials .....	.....	1	.....
31.0 Equipment .....	.....	1	.....
41.0 Grants, subsidies, and contributions .....	85	183	106
42.0 Insurance claims and indemnities .....	2,445	12,172	2,684
43.0 Interest and dividends .....	89	89	147
99.9 Total new obligations .....	3,838	15,221	5,059

NATIONAL FLOOD INSURANCE FUND—Continued  
Employment Summary

Identification code 70-4236-0-3-453	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	315	327	341

NATIONAL FLOOD INSURANCE RESERVE FUND  
Special and Trust Fund Receipts (in millions of dollars)

Identification code 70-5701-0-2-453	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Fees, National Flood Insurance Reserve Fund .....			162
0400 Total: Balances and collections .....			162
Appropriations:			
0500 National Flood Insurance Reserve Fund .....			-162
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 70-5701-0-2-453	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			162
1260 Appropriations, mandatory (total) .....			162
1930 Total budgetary resources available .....			162
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			162
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			162
4180 Budget authority, net (total) .....			162
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			162

As directed by the Biggert-Waters Flood Insurance Reform Act of 2012, FEMA has established a Reserve Fund for the National Flood Insurance Program to be available for meeting the expected future obligations of the program, to include payment of claims, claims adjustment expenses, and the repayment of amounts outstanding under any note or obligation issued.

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 70-0703-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	4	336	
0706 Interest on reestimates of direct loan subsidy .....		22	
0709 Administrative expenses .....	1	4	
0900 Total new obligations (object class 25.2) .....	5	362	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	52	242	202

1021 Recoveries of prior year unpaid obligations .....	195		
1050 Unobligated balance (total) .....	247	242	202
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		300	
1160 Appropriation, discretionary (total) .....		300	
Appropriations, mandatory:			
1200 Appropriation .....		22	
1260 Appropriations, mandatory (total) .....		22	
1900 Budget authority (total) .....		322	
1930 Total budgetary resources available .....	247	564	202
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	242	202	202

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	208	17	137
3010 Obligations incurred, unexpired accounts .....	5	362	
3020 Outlays (gross) .....	-1	-242	-136
3040 Recoveries of prior year unpaid obligations, unexpired .....	-195		
3050 Unpaid obligations, end of year .....	17	137	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	208	17	137
3200 Obligated balance, end of year .....	17	137	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....		300	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		150	
4011 Outlays from discretionary balances .....	1	70	136
4020 Outlays, gross (total) .....	1	220	136
Mandatory:			
4090 Budget authority, gross .....		22	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		22	
4180 Budget authority, net (total) .....		322	
4190 Outlays, net (total) .....	1	242	136

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 70-0703-0-1-453	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 States share program .....		25	
115002 Community disaster loan program .....	5	367	
115999 Total direct loan levels .....	5	392	
Direct loan subsidy (in percent):			
132001 States share program .....		-1.51	
132002 Community disaster loan program .....	86.06	91.63	
132999 Weighted average subsidy rate .....	86.06	85.69	
Direct loan subsidy budget authority:			
133001 States share program .....		-1	
133002 Community disaster loan program .....	4	336	
133999 Total subsidy budget authority .....	4	335	
Direct loan subsidy outlays:			
134002 Community disaster loan program .....		182	101
134999 Total subsidy outlays .....		182	101
Direct loan upward reestimates:			
135003 Special community disaster loans .....		22	
135999 Total upward reestimate budget authority .....		22	
Direct loan downward reestimates:			
137002 Community disaster loan program .....		-21	
137003 Special community disaster loans .....	-327	-5	
137999 Total downward reestimate budget authority .....	-327	-26	
Administrative expense data:			
3510 Budget authority .....		4	
3590 Outlays from new authority .....		2	

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) are loans to States for the non-Federal portion of cost-sharing funds and community disaster loans to local governments incurring substantial loss of tax and other revenues as a result of a major disaster. As required by the Federal Credit Reform

Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans). The subsidy amounts are estimated on a present value basis. Loan activity prior to 1992, which is budgeted for on a cash basis, totals less than \$500,000 in every year and is not presented separately.

DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 70-4234-0-3-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	Direct loan obligations	5	392
0713	Payment of interest to Treasury	15	
0740	Negative subsidy obligations		1
0742	Downward reestimate paid to receipt account	252	23
0743	Interest on downward reestimates	76	4
0900	Total new obligations	348	420
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	33	358
1021	Recoveries of prior year unpaid obligations	195	
1050	Unobligated balance (total)	228	358
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	322	344
1440	Borrowing authority, mandatory (total)	322	344
Spending authority from offsetting collections, mandatory:			
1800	Collected	8	164
1801	Change in uncollected payments, Federal sources	-207	168
1825	Spending authority from offsetting collections applied to repay debt	-3	
1850	Spending auth from offsetting collections, mand (total)	-202	434
1900	Financing authority (total)	120	778
1930	Total budgetary resources available	348	522
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		358
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	208	17
3010	Obligations incurred, unexpired accounts	348	420
3020	Financing disbursements (gross)	-344	-199
3040	Recoveries of prior year unpaid obligations, unexpired	-195	
3050	Unpaid obligations, end of year	17	238
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-223	-16
3070	Change in uncollected pymts, Fed sources, unexpired	207	-168
3090	Uncollected pymts, Fed sources, end of year	-16	-184
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-15	1
3200	Obligated balance, end of year	1	54
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross	120	778
Financing disbursements:			
4110	Financing disbursements, gross	344	199
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources		-204
4123	Non-Federal sources	-8	-62
4130	Offsets against gross financing auth and disbursements (total)	-8	-266
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired	207	-168
4160	Financing authority, net (mandatory)	319	344
4170	Financing disbursements, net (mandatory)	336	-67
4180	Financing authority, net (total)	319	344
4190	Financing disbursements, net (total)	336	-67

Status of Direct Loans (in millions of dollars)

Identification code 70-4234-0-3-453	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans	25	425
1121	Limitation available from carry-forward	49	44
1142	Unobligated direct loan limitation (-)	-25	
1143	Unobligated limitation carried forward (P.L. xx) (-)	-44	-77
1150	Total direct loan obligations	5	392
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	423	423
1231	Disbursements: Direct loan disbursements	12	199
1251	Repayments: Repayments and prepayments	-7	-32
1264	Write-offs for default: Other adjustments, net (+ or -)	-5	-32
1290	Outstanding, end of year	423	558

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records, for this program, all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 70-4234-0-3-453	2011 actual	2012 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury	17
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	423
1402	Interest receivable	54
1405	Allowance for subsidy cost (-)	-467
1499	Net present value of assets related to direct loans	10
1999	Total assets	27
<b>LIABILITIES:</b>		
Federal liabilities:		
2103	Debt	4
2104	Resources payable to Treasury	7
2207	Non-Federal liabilities: Other	16
2999	Total liabilities	27
4999	Total liabilities and net position	27

SCIENCE AND TECHNOLOGY  
Federal Funds

RESEARCH, DEVELOPMENT, ACQUISITION, AND OPERATIONS

For necessary expenses for science and technology research, including advanced research projects, development, test and evaluation, acquisition, and operations as authorized by title III of the Homeland Security Act of 2002 (6 U.S.C. 181 et seq.), and the purchase or lease of not to exceed 5 vehicles, \$1,397,488,000, of which \$539,703,000, to remain available until September 30, 2016; and of which \$857,595,000, to remain available until September 30, 2018, solely for operation and construction of laboratory facilities.

MANAGEMENT AND ADMINISTRATION

For salaries and expenses of the Office of the Under Secretary for Science and Technology and for management and administration of programs and activities, as authorized by title III of the Homeland Security Act of 2002 (6 U.S.C. 181 et seq.), \$129,608,000: Provided, That not to exceed \$8,500 shall be for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

RESEARCH, DEVELOPMENT, ACQUISITIONS AND OPERATIONS—Continued  
Program and Financing (in millions of dollars)

Identification code 70-0800-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Management and Administration .....	135	136	130
0002 Research, Development, Acquisition, and Operations .....	541	585	1,295
0799 Total direct obligations .....	676	721	1,425
0801 Reimbursable program .....	116	158	127
0900 Total new obligations .....	792	879	1,552
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	49	111	42
1011 Unobligated balance transfer from other accts [70-0550] .....	5		
1021 Recoveries of prior year unpaid obligations .....	46		
1050 Unobligated balance (total) .....	100	111	42
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	668	675	1,527
1160 Appropriation, discretionary (total) .....	668	675	1,527
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	92	92	127
1701 Change in uncollected payments, Federal sources .....	43	43	
1750 Spending auth from offsetting collections, disc (total) .....	135	135	127
1900 Budget authority (total) .....	803	810	1,654
1930 Total budgetary resources available .....	903	921	1,696
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	111	42	144
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,089	842	805
3010 Obligations incurred, unexpired accounts .....	792	879	1,552
3020 Outlays (gross) .....	-987	-916	-1,247
3040 Recoveries of prior year unpaid obligations, unexpired .....	-46		
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	842	805	1,110
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-220	-167	-114
3070 Change in uncollected pymts, Fed sources, unexpired .....	-43	-43	
3071 Change in uncollected pymts, Fed sources, expired .....	96	96	
3090 Uncollected pymts, Fed sources, end of year .....	-167	-114	-114
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	869	675	691
3200 Obligated balance, end of year .....	675	691	996
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	803	810	1,654
Outlays, gross:			
4010 Outlays from new discretionary authority .....	245	296	548
4011 Outlays from discretionary balances .....	742	620	699
4020 Outlays, gross (total) .....	987	916	1,247
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-179	-179	-125
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) .....	-181	-181	-127
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-43	-43	
4052 Offsetting collections credited to expired accounts .....	89	89	
4060 Additional offsets against budget authority only (total) .....	46	46	
4070 Budget authority, net (discretionary) .....	668	675	1,527
4080 Outlays, net (discretionary) .....	806	735	1,120
4180 Budget authority, net (total) .....	668	675	1,527
4190 Outlays, net (total) .....	806	735	1,120

Appropriations in this title support the advance of homeland security through applied research; fabrication of technology demonstration devices; development and testing of standards; development and testing of prototypes and full-scale pre-production hardware; and the procurement of products, systems, and other capital equipment necessary to meet the missions of the

Department of Homeland Security and the activities of the Science and Technology Directorate. Contractors, government laboratories and facilities, universities, and nonprofit organizations support this work. Funding is also provided for the operations, maintenance, and construction of laboratory facilities.

The 2014 Budget provides for major technology and development efforts, including detection, destruction, disposal, and mitigation of chemical and biological agents and explosives. Funding is provided to develop technology to mitigate and prepare for natural disasters and to improve cyber security. Funding is also provided for the testing and evaluation of technologies, systems, and processes developed to counter these threats; acquisition of equipment and operations needed to field those technologies, systems, and processes; as well as others that may be available without further development, as part of the counter-WMD, counter-terror, and preparation for/response to natural disaster activities of the Department. Funding for Laboratory Facilities (including construction) will be available for obligation for five years. Funding for all other Research, Development, Acquisition, and Operations programs will be available for obligation for three years.

## Object Classification (in millions of dollars)

Identification code 70-0800-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	53	53	55
11.3 Other than full-time permanent .....	3	3	4
11.5 Other personnel compensation .....	1	1	2
11.9 Total personnel compensation .....	57	57	61
12.1 Civilian personnel benefits .....	15	15	15
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	2	2	1
23.3 Communications, utilities, and miscellaneous charges .....	15	15	13
25.1 Advisory and assistance services .....	178	111	65
25.2 Other services from non-Federal sources .....	1	1	3
25.3 Other goods and services from Federal sources .....	98	98	83
25.4 Operation and maintenance of facilities .....	4	7	7
25.5 Research and development contracts .....	250	291	390
25.7 Operation and maintenance of equipment .....	4	4	3
26.0 Supplies and materials .....	7	7	6
31.0 Equipment .....	3	3	4
32.0 Land and structures .....		68	743
41.0 Grants, subsidies, and contributions .....	39	39	28
99.0 Direct obligations .....	676	721	1,425
99.0 Reimbursable obligations .....	116	158	127
99.9 Total new obligations .....	792	879	1,552

## Employment Summary

Identification code 70-0800-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	459	491	467

## DOMESTIC NUCLEAR DETECTION OFFICE

## Federal Funds

## MANAGEMENT AND ADMINISTRATION

For salaries and expenses of the Domestic Nuclear Detection Office, as authorized by title XIX of the Homeland Security Act of 2002 (6 U.S.C. 591 et seq.), for management and administration of programs and activities, \$37,510,000: Provided, That not to exceed \$2,500 shall be for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0861-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Management and Administration .....	38	38	38
<b>Budgetary Resources:</b>			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	38	38	38
1131 Unobligated balance of appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	37	38	38
1930 Total budgetary resources available .....	38	38	38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	18	10
3010 Obligations incurred, unexpired accounts .....	38	38	38
3020 Outlays (gross) .....	-38	-46	-39
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	18	10	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	18	10
3200 Obligated balance, end of year .....	18	10	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	37	38	38
Outlays, gross:			
4010 Outlays from new discretionary authority .....	28	29	29
4011 Outlays from discretionary balances .....	10	17	10
4020 Outlays, gross (total) .....	38	46	39
4180 Budget authority, net (total) .....	37	38	38
4190 Outlays, net (total) .....	38	46	39

This account supports the personnel and related administrative costs for the Domestic Nuclear Detection Office (DNDO). The DNDO is a jointly-staffed office established to improve the Nation's capability to detect and interdict unauthorized attempts to import, possess, store, develop, or transport nuclear or radiological material for use against the Nation. The Management and Administration request includes most contributions to the DHS Working Capital Fund. Management and Administration funds will be available for obligation until the end of the fiscal year.

**Object Classification** (in millions of dollars)

Identification code 70-0861-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	14	17	17
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	15	17	17
12.1 Civilian personnel benefits .....	4	4	4
23.1 Rental payments to GSA .....		4	5
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	2	1	1
25.3 Other goods and services from Federal sources .....	15	11	10
25.7 Operation and maintenance of equipment .....	1	1	1
99.9 Total new obligations .....	38	38	38

**Employment Summary**

Identification code 70-0861-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	101	130	127

RESEARCH, DEVELOPMENT, AND OPERATIONS

For necessary expenses for radiological and nuclear research, development, testing, evaluation, and operations, \$211,210,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 70-0860-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Research, Development, and Operations .....	231	216	211
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	17	17
1021 Recoveries of prior year unpaid obligations .....	21		
1050 Unobligated balance (total) .....	33	17	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	215	216	211
1160 Appropriation, discretionary (total) .....	215	216	211
1900 Budget authority (total) .....	215	216	211
1930 Total budgetary resources available .....	248	233	228
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	17	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	267	231	77
3010 Obligations incurred, unexpired accounts .....	231	216	211
3020 Outlays (gross) .....	-246	-370	-213
3040 Recoveries of prior year unpaid obligations, unexpired .....	-21		
3050 Unpaid obligations, end of year .....	231	77	75
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	267	231	77
3200 Obligated balance, end of year .....	231	77	75
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	215	216	211
Outlays, gross:			
4010 Outlays from new discretionary authority .....	49	151	148
4011 Outlays from discretionary balances .....	197	219	65
4020 Outlays, gross (total) .....	246	370	213
4180 Budget authority, net (total) .....	215	216	211
4190 Outlays, net (total) .....	246	370	213

This account supports the engineering, operational, research, development, and technical nuclear forensics programs of the Domestic Nuclear Detection Office (DNDO). DNDO is dedicated to both the development and enhancement of the Global Nuclear Detection Architecture (GNDA); development of advanced nuclear detection systems; coordination of effective sharing of nuclear detection-related information; coordination of nuclear detection development; technical nuclear forensics; and the establishment of procedures and training for end users of nuclear detection equipment. The 2014 Budget provides for a systems development program that is responsive to commercial-first systems development approaches while providing near-term technical solutions addressing pressing operational requirements. Funding is provided for the test and evaluation of all developed systems prior to acquisition decisions, ensuring that a full systems characterization has been conducted prior to any deployments. The budget supports transformational research and development providing break-through technologies that address gaps in the GNDA and have a positive impact on capabilities to detect nuclear threats. Funding will be allocated for operational support programs that provide information analysis and situational aware-

RESEARCH, DEVELOPMENT, AND OPERATIONS—Continued  
ness, technical support, training curricula, and response protocols to field-users. Research, Development, and Operations funds for each fiscal year will be available for obligation for three years.

**Object Classification** (in millions of dollars)

Identification code 70-0860-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	1	1	3
25.1 Advisory and assistance services .....	36	23	41
25.3 Other goods and services from Federal sources .....	122	107	84
25.5 Research and development contracts .....	60	77	80
25.7 Operation and maintenance of equipment .....	1	.....	.....
41.0 Grants, subsidies, and contributions .....	11	8	3
99.9 Total new obligations .....	231	216	211

**SYSTEMS ACQUISITION**

For expenses for the Domestic Nuclear Detection Office acquisition and deployment of radiological detection systems in accordance with the global nuclear detection architecture, \$42,600,000, to remain available until September 30, 2016.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 70-0862-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Systems Acquisition .....	52	37	42
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	4	8
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	19	4	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	37	37	42
1100 Sandy Supplemental .....	.....	4	.....
1160 Appropriation, discretionary (total) .....	37	41	42
1900 Budget authority (total) .....	37	41	42
1930 Total budgetary resources available .....	56	45	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	132	120	24
3010 Obligations incurred, unexpired accounts .....	52	37	42
3020 Outlays (gross) .....	-63	-133	-39
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	120	24	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	132	120	24
3200 Obligated balance, end of year .....	120	24	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	37	41	42
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	19	17
4011 Outlays from discretionary balances .....	57	114	22
4020 Outlays, gross (total) .....	63	133	39
4180 Budget authority, net (total) .....	37	41	42
4190 Outlays, net (total) .....	63	133	39

Funds for this account are used to procure a full range of radiation detection technologies for DHS components such as Customs

and Border Protection and the U.S. Coast Guard. These technologies are deployed at and between the Nation's ports of entry as well as in the maritime domain. Systems Acquisition funds in FY 2014 will be used to procure a mix of Radiation Portal Monitors and Human Portable Radiation Detection Systems. Funding also supports efforts to build basic radiological and nuclear detection capabilities in high risk urban regions. Acquisition funds for each fiscal year will be available for obligation for three years.

**Object Classification** (in millions of dollars)

Identification code 70-0862-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	3	2	3
25.3 Other goods and services from Federal sources .....	1	.....	.....
31.0 Equipment .....	13	15	19
41.0 Grants, subsidies, and contributions .....	34	20	20
99.0 Direct obligations .....	51	37	42
99.0 Reimbursable obligations .....	1	.....	.....
99.9 Total new obligations .....	52	37	42

**INFORMATION ANALYSIS AND INFRASTRUCTURE PROTECTION**

**Federal Funds**

ASSESSMENTS AND EVALUATION

Funding is no longer requested for this account. Appropriations for the legacy Information Analysis and Infrastructure Protection, Assessments and Evaluation account is requested in the Preparedness Directorate: Infrastructure Protection and Information Security, and Analysis and Operations accounts.

OPERATING EXPENSES

**Program and Financing** (in millions of dollars)

Identification code 70-0900-0-1-751	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Governmental receipts:</b>			
70-083400 Breached Bond Penalties .....	8	8	8
70-0835 Immigration Fees, Border Security Act .....	150	104	104
General Fund Governmental receipts .....	158	112	112
<b>Offsetting receipts from the public:</b>			
70-031100 Tonnage Duty Increases .....	22	22	22
70-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	29	19	19
70-242100 Marine Safety Fees .....	16	16	16
70-274030 Disaster Assistance, Downward Reestimates .....	327	26	.....
70-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	16	.....	.....
General Fund Offsetting receipts from the public .....	410	83	57
<b>Intragovernmental payments:</b>			
70-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	21	.....	.....

## GENERAL PROVISIONS

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. Subject to the requirements of section 503 of this Act, the unexpended balances of prior appropriations provided for activities in this Act may be transferred to appropriation accounts for such activities established pursuant to this Act, may be merged with funds in the applicable established accounts, and thereafter may be accounted for as one fund for the same time period as originally enacted.

SEC. 503. (a) None of the funds provided by this Act, provided by previous appropriations Acts to the agencies in or transferred to the Department of Homeland Security that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that:

- (1) creates a new program, project, or activity;
- (2) eliminates a program, project, office, or activity;
- (3) increases funds for any program, project, or activity for which funds have been denied or restricted by the Congress;
- (4) proposes to use funds directed for a specific activity by either of the Committees on Appropriations of the Senate or the House of Representatives for a different purpose, unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such reprogramming of funds; or
- (5) contracts out any function or activity for which funding levels were requested for Federal full-time equivalents in the object classification tables contained in the fiscal year 2014 Budget Appendix for the Department of Homeland Security, as modified by the joint explanatory statement accompanying this Act, unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such reprogramming of funds.

(b) None of the funds provided by this Act, provided by previous appropriations Acts to the agencies in or transferred to the Department of Homeland Security that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees or proceeds available to the agencies funded by this Act, shall be available for obligation or expenditure for programs, projects, or activities through a reprogramming of funds in excess of \$5,000,000 or 10 percent, whichever is less, that:

- (1) augments existing programs, projects, or activities;
  - (2) reduces by 10 percent funding for any existing program, project, or activity, or reduces the numbers of personnel by 10 percent as approved by the Congress; or
  - (3) results from any general savings from a reduction in personnel that would result in a change in existing programs, projects, or activities as approved by the Congress, unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such reprogramming of funds.
- (c) Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Homeland Security by this Act or provided by previous appropriations Acts may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by such transfers: Provided, That, subject to approval by the Director of the Office of Management and Budget, any unobligated funds within such department or agency may be transferred between appropriations in order to expedite a more rapid and effective response to a Presidentially-declared major disaster, as provided in the National Response Plan required under Public Law 107-296: Provided further, That any transfer under this section shall be treated as a reprogramming of funds under subsection (b) and shall not be available for obligation unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such transfer.

(d) Notwithstanding subsections (a), (b), and (c) of this section, no funds shall be reprogrammed within or transferred between appropri-

ations after June 30, except in extraordinary circumstances that imminently threaten the safety of human life or the protection of property.

(e) The notification thresholds and procedures set forth in this section shall apply to any use of deobligated balances of funds provided in previous Department of Homeland Security Appropriations Acts.

SEC. 504. The Department of Homeland Security Working Capital Fund, established pursuant to section 403 of Public Law 103-356 (31 U.S.C. 501 note), shall continue operations as a permanent working capital fund for fiscal year 2014: Provided, That none of the funds appropriated or otherwise made available to the Department of Homeland Security may be used to make payments to the Working Capital Fund, except for the activities and amounts allowed in the President's fiscal year 2014 budget: Provided further, That funds provided to the Working Capital Fund shall be available for obligation until expended to carry out the purposes of the Working Capital Fund: Provided further, That all departmental components shall be charged only for direct usage of each Working Capital Fund service: Provided further, That funds provided to the Working Capital Fund shall be used only for purposes consistent with the contributing component: Provided further, That the Working Capital Fund shall be paid in advance or reimbursed at rates which will return the full cost of each service: Provided further, That the Working Capital Fund shall be subject to the requirements of section 503 of this Act.

SEC. 505. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2014 from appropriations for salaries and expenses for fiscal year 2014 in this Act shall remain available through September 30, 2015, in the account and for the purposes for which the appropriations were provided: Provided, That prior to the obligation of such funds, notice thereof shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives in accordance with section 503 of this Act.

SEC. 506. Funds made available by this Act for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of an Act authorizing intelligence activities for fiscal year 2014.

SEC. 507. (a) Except as provided in subsections (b) and (c), none of the funds made available by this Act may be used to—

- (1) make or award a grant allocation, grant, contract, other transaction agreement, task or delivery order on a Department of Homeland Security multiple award contract, or to issue a letter of intent totaling in excess of \$1,000,000;
- (2) award a task or delivery order requiring an obligation of funds in an amount greater than \$10,000,000 from multi-year Department of Homeland Security funds or a task or delivery order that would cause cumulative obligations of multi-year funds in a single account to exceed 50 percent of the total amount appropriated; or
- (3) announce publicly the intention to make or award items under paragraph (1) or (2), including a contract covered by the Federal Acquisition Regulation.

(b) The Secretary of Homeland Security may waive the prohibition under subsection (a) if the Secretary notifies the Committees on Appropriations of the Senate and the House of Representatives at least 3 full business days in advance of making an award or issuing a letter as described in that subsection.

(c) If the Secretary of Homeland Security determines that compliance with this section would pose a substantial risk to human life, health, or safety, an award may be made without notification, and the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives not later than 5 full business days after such an award is made or letter issued.

(d) A notification under this section—

- (1) may not involve funds that are not available for obligation; and
- (2) shall include the amount of the award, the fiscal year for which the funds for the award were appropriated, and the account from which the funds are being drawn.

(e) The Administrator of the Federal Emergency Management Agency shall brief the Committees on Appropriations of the Senate and the House of Representatives 5 full business days in advance of announcing publicly the intention of making an award under "State and Local Programs".

SEC. 508. Notwithstanding any other provision of law, no agency shall purchase, construct, or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without the advance notification to the Committees on Appropriations of the Senate and the House of Representatives, except that the Federal Law Enforcement Training Center is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training that cannot be accommodated in existing Center facilities.

SEC. 509. None of the funds appropriated or otherwise made available by this Act may be used for expenses for any construction, repair, alteration, or acquisition project for which a prospectus otherwise required under chapter 33 of title 40, United States Code, has not been transmitted to Congress, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus.

SEC. 510. Sections 520, 522, and 530, of the Department of Homeland Security Appropriations Act, 2008 (division E of Public Law 110-161; 121 Stat. 2073 and 2074) shall apply with respect to funds made available in this Act in the same manner as such sections applied to funds made available in that Act.

SEC. 511. None of the funds made available in this Act may be used in contravention of the applicable provisions of chapter 83 of title 41, United States Code.

SEC. 512. Within 45 days after the end of each month, the Chief Financial Officer of the Department of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives a monthly budget and staffing report for that month that includes total obligations, on-board versus funded full-time equivalent staffing levels, and the number of contract employees for each office of the Department.

SEC. 513. None of the funds appropriated by this Act may be used to process or approve a competition under Office of Management and Budget Circular A-76 for services provided as of June 1, 2004, by employees (including employees serving on a temporary or term basis) of United States Citizenship and Immigration Services of the Department of Homeland Security who are known as of that date as Immigration Information Officers, Contact Representatives, or Investigative Assistants.

SEC. 514. Any funds appropriated to Coast Guard "Acquisition, Construction, and Improvements" for fiscal years 2002, 2003, 2004, 2005, and 2006 for the 110-123 foot patrol boat conversion that are recovered, collected, or otherwise received as the result of negotiation, mediation, or litigation, shall be available until expended for the Fast Response Cutter program.

SEC. 515. Section 532(a) of Public Law 109-295 (120 Stat. 1384) is amended by striking "2012" and inserting "2014".

SEC. 516. The functions of the Federal Law Enforcement Training Center instructor staff shall be classified as inherently governmental for the purpose of the Federal Activities Inventory Reform Act of 1998 (31 U.S.C. 501 note).

SEC. 517. (a) Except as provided in subsection (b), none of the funds appropriated in this or any other Act to the "Office of the Secretary and Executive Management", the "Office of the Under Secretary for Management", or the "Office of the Chief Financial Officer", may be obligated for a grant or contract funded under such headings by any means other than full and open competition.

(b) Subsection (a) does not apply to obligation of funds for a contract awarded—

(1) by a means that is required by a Federal statute, including obligation for a purchase made under a mandated preferential program, including the AbilityOne Program, that is authorized under the Javits-Wagner-O'Day Act (41 U.S.C. 46 et seq.);

(2) pursuant to the Small Business Act (15 U.S.C. 631 et seq.);

(3) in an amount less than the simplified acquisition threshold described under section 302A(a) of the Federal Property and Administrative Services Act of 1949 (41 U.S.C. 252a(a)); or

(4) by another Federal agency using funds provided through an interagency agreement.

(c)(1) Subject to paragraph (2), the Secretary of Homeland Security may waive the application of this section for the award of a contract in the interest of national security or if failure to do so would pose a substantial risk to human health or welfare.

(2) Not later than 5 days after the date on which the Secretary of Homeland Security issues a waiver under this subsection, the Secretary shall submit notification of that waiver to the Committees on Appropriations of the Senate and the House of Representatives, including a description of the applicable contract to which the waiver applies and an explanation of why the waiver authority was used: Provided, That the Secretary may not delegate the authority to grant such a waiver.

(d) In addition to the requirements established by subsections (a), (b), and (c) of this section, the Inspector General of the Department of Homeland Security shall review departmental contracts awarded through means other than a full and open competition to assess departmental compliance with applicable laws and regulations: Provided, That the Inspector General shall review selected contracts awarded in the previous fiscal year through means other than a full and open competition: Provided further, That in selecting which contracts to review, the Inspector General shall consider the cost and complexity of the goods and services to be provided under the contract, the criticality of the contract to fulfilling Department missions, past performance problems on similar contracts or by the selected vendor, complaints received about the award process or contractor performance, and such other factors as the Inspector General deems relevant: Provided further, That the Inspector General shall report the results of the reviews to the Committees on Appropriations of the Senate and the House of Representatives no later than February 3, 2014.

SEC. 518. None of the funds made available in this Act may be used by United States Citizenship and Immigration Services to grant an immigration benefit unless the results of background checks required by law to be completed prior to the granting of the benefit have been received by United States Citizenship and Immigration Services, and the results do not preclude the granting of the benefit.

SEC. 519. None of the funds made available in this or any other Act for fiscal year 2014 may be used to destroy or put out to pasture any horse or other equine belonging to any component or agency of the Department of Homeland Security that has become unfit for service, unless the trainer or handler is first given the option to take possession of the equine through an adoption program that has safeguards against slaughter and inhumane treatment.

SEC. 520. Section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391) is amended—

(1) in subsection (a), by striking "Until September 30, 2012," and inserting "Until September 30, 2014,"; and

(2) in subsection (c)(1), by striking "September 30, 2012," and inserting "September 30, 2014,".

SEC. 521. The Secretary of Homeland Security shall require that all contracts of the Department of Homeland Security that provide award fees link such fees to successful acquisition outcomes (which outcomes shall be specified in terms of cost, schedule, and performance).

SEC. 522. None of the funds made available to the Office of the Secretary and Executive Management under this Act may be expended for any new hires by the Department of Homeland Security that are not verified through the E-Verify Program as described in section 403(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note).

SEC. 523. None of the funds made available in this Act for U.S. Customs and Border Protection may be used to prevent an individual not in the business of importing a prescription drug (within the meaning of section 801(g) of the Federal Food, Drug, and Cosmetic Act) from importing a prescription drug from Canada that complies with the Federal Food, Drug, and Cosmetic Act: Provided, That this section shall apply only to individuals transporting on their person a personal-use quantity of the prescription drug, not to exceed a 90-day supply: Provided further, That the prescription drug may not be—

(1) a controlled substance, as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802); or

(2) a biological product, as defined in section 351 of the Public Health Service Act (42 U.S.C. 262).

SEC. 524. The Secretary of Homeland Security, in consultation with the Secretary of the Treasury, shall notify the Committees on Appropriations of the Senate and the House of Representatives of any proposed transfers of funds available under section 9703.1(g)(4)(B) of title 31, United States Code (as added by Public Law 102-393) from the Department of the

Treasury Forfeiture Fund to any agency within the Department of Homeland Security.

SEC. 525. None of the funds made available in this Act may be used for planning, testing, piloting, or developing a national identification card.

SEC. 526. Section 550(b) of the Department of Homeland Security Appropriations Act, 2007 (Public Law 109-295; 6 U.S.C. 121 note), as amended by section 550 of the Department of Homeland Security Appropriations Act, 2010 (Public Law 111-83), is further amended by striking "on October 4, 2012" and inserting "on October 4, 2014".

SEC. 527. None of the funds made available in this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301-10.122 through 301.10-124 of title 41, Code of Federal Regulations.

SEC. 528. None of the funds made available in this Act may be used to propose or effect a disciplinary or adverse action, with respect to any Department of Homeland Security employee who engages regularly with the public in the performance of his or her official duties solely because that employee elects to utilize protective equipment or measures, including but not limited to surgical masks, N95 respirators, gloves, or hand-sanitizers, where use of such equipment or measures is in accord with Department of Homeland Security policy, and Centers for Disease Control and Prevention and Office of Personnel Management guidance.

SEC. 529. (a) Any company that collects or retains personal information directly from any individual who participates in the Registered Traveler program of the Transportation Security Administration shall safeguard and dispose of such information in accordance with the requirements in—

(1) the National Institute for Standards and Technology Special Publication 800-30, entitled "Risk Management Guide for Information Technology Systems";

(2) the National Institute for Standards and Technology Special Publication 800-53, Revision 3, entitled "Recommended Security Controls for Federal Information Systems and Organizations,"; and

(3) any supplemental standards established by the Administrator of the Transportation Security Administration (referred to in this section as the "Administrator").

(b) The airport authority or air carrier operator that sponsors the company under the Registered Traveler program shall be known as the Sponsoring Entity.

(c) The Administrator shall require any company covered by subsection (a) to provide, not later than 30 days after the date of enactment of this Act, to the Sponsoring Entity written certification that the procedures used by the company to safeguard and dispose of information are in compliance with the requirements under subsection (a). Such certification shall include a description of the procedures used by the company to comply with such requirements.

SEC. 530. Notwithstanding any other provision of this Act, none of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractor performance that has been judged to be below satisfactory performance or performance that does not meet the basic requirements of a contract.

SEC. 531. Notwithstanding the 10 percent limitation contained in section 503(c) of this Act, the Secretary of Homeland Security may transfer to the fund established by 8 U.S.C. 1101 note, up to \$20,000,000 from appropriations available to the Department of Homeland Security: Provided, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives 5 days in advance of such transfer.

SEC. 532. The administrative law judge annuitants participating in the Senior Administrative Law Judge Program managed by the Director of the Office of Personnel Management under section 3323 of title 5, United States Code, shall be available on a temporary re-employment basis to conduct arbitrations of disputes as part of the arbitration panel established by the President under section 601 of division A of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5; 123 Stat. 164).

SEC. 533. None of the funds appropriated or otherwise made available by this Act may be used by the Department of Homeland Security to enter into any federal contract unless such contract is entered into in accordance with the requirements of the Federal Property and Administrative Services Act of 1949 (41 U.S.C. 253) or Chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless such contract is otherwise authorized by statute to be entered into without regard to the above referenced statutes.

SEC. 534. For fiscal year 2014, U.S. Customs and Border Protection's Advanced Training Center is authorized to charge fees for any service and/or thing of value it provides to Federal Government or non-government entities or individuals, so long as the fees charged do not exceed the full costs associated with the service or thing of value provided: Provided, That notwithstanding 31 U.S.C. 3302(b), fees collected by the Advanced Training Center are to be deposited into a separate account entitled "Advanced Training Center Revolving Fund", and be available, without further appropriations, for necessary expenses of the Advanced Training Center program, and are to remain available until expended.

SEC. 535. Notwithstanding any other provision of law, should the Secretary of Homeland Security determine that specific U.S. Immigration and Customs Enforcement Service Processing Centers or other U.S. Immigration and Customs Enforcement owned detention facilities no longer meet the mission need, the Secretary is authorized to dispose of individual Service Processing Centers or other U.S. Immigration and Customs Enforcement owned detention facilities by directing the Administrator of General Services to sell all real and related personal property which support Service Processing Centers or other U.S. Immigration and Customs Enforcement owned detention facilities, subject to such terms and conditions as necessary to protect Government interests and meet program requirements: Provided, That the proceeds, net of the costs of sale incurred by the General Services Administration and U.S. Immigration and Customs Enforcement, shall be deposited as offsetting collections into a separate account that shall be available until expended for other real property capital asset needs of existing U.S. Immigration and Customs Enforcement assets, excluding daily operations and maintenance costs, as the Secretary deems appropriate: Provided further, That the Committees on Appropriations of the Senate and the House of Representatives shall be notified 15 days prior to the announcement of any proposed sale or collocation.

SEC. 536. The Commissioner of U.S. Customs and Border Protection and the Assistant Secretary of Homeland Security for U.S. Immigration and Customs Enforcement each shall submit to the Committees on Appropriations of the Senate and the House of Representatives with the congressional budget justification, a multi-year investment and management plan, to include each year starting with the current fiscal year and the 3 subsequent fiscal years, for their respective Offices of Information Technology to include for that office—

(1) the funding level by source for all funds to be executed;

(2) the funding included for each project and activity tied to mission requirements, program management capabilities, performance levels, and specific capabilities and services to be delivered;

(3) the total estimated cost and projected timeline of completion for all multi-year enhancements, modernizations, and new capabilities proposed in the current fiscal year or underway; and

(4) a detailed accounting of operation and maintenance costs.

SEC. 537. The Secretary of Homeland Security shall ensure enforcement of immigration laws (as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))).

SEC. 538. Section 401(b) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996, as amended (8 U.S.C. 1324a note), is amended by striking "September 30, 2012" and inserting "September 30, 2014".

SEC. 539. PREDISASTER HAZARD MITIGATION.—The FEMA Administrator may make grant awards at his discretion pursuant to section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133) with funding otherwise designated as congressionally directed spending and appropriated in any prior fiscal year to "National Predisaster Mitigation Fund" if either: (a) the intended applicant for such earmarked funding informs FEMA in writing that no application will be submitted to use the funding; or (b) no application for such earmarked funding is submitted to FEMA within two years of the date of the respective appropriation for such funds: Provided, That amounts appropriated to "National Predisaster Mitigation Fund" in any fiscal year shall be for necessary and reasonable costs to administer and to close out predisaster Mitigation grants: Provided further, That the FEMA Administrator may transfer and merge unexpired funds previously appropriated to FEMA for the purposes of predisaster mitigation into "National Predisaster Mitigation Fund".

SEC. 540. DAM SAFETY ACT.—The National Dam Safety Program Act, as amended (33 U.S.C. 467 note) is amended—

(1) In section 8(e) (33 U.S.C. 467f) by inserting after paragraph (6) the following new paragraphs:

"(7) **PERFORMANCE-BASED EVALUATION.**—FEMA shall establish performance measures for its assessment of State programs participating in the National Dam Safety Program. FEMA shall assess State performance under this section using these measures. FEMA shall establish targets for the States for the performance measures within 120 days of the enactment of this Act and may update them, as needed, each fiscal year.

"(8) **ALLOCATION-IN GENERAL.**—Subject to subparagraphs (C) and (D), for each fiscal year, amounts made available under this subsection to carry out section 8 shall be allocated among the states as follows:

(A) "One-third of the funds shall be awarded among states that qualify as eligible for assistance under this section.

(B) "Two-thirds of the funds shall be awarded among states that not only qualify as eligible for assistance under this section, but also meet or exceed their target established for the performance measures referred to in section 8(e)(7).

(C) "**MAXIMUM AMOUNT OF ALLOCATION.**—The amount of funds allocated to a State under this paragraph may not exceed the funds committed by the State to implement dam safety activities.

(D) "**DETERMINATION.**—The Administrator shall determine the amount allocated to States."

(2) By redesignating sections 11 through 13, as sections 12 through 14, respectively, and by inserting after section 10, the following new section: **SEC 11.—PUBLIC AWARENESS AND OUTREACH FOR DAM SAFETY.**—The Administrator, in consultation with other Federal agencies, State, and local governments, dam owners, the emergency management community, the private sector, non-governmental organizations and associations, and universities and academia, and others as appropriate, shall carry out a nationwide public awareness and outreach program to provide risk information to the public related to the hazards of dam failures and related matters."

(3) In section 14, as redesignated by this Act, (33 U.S.C. 467j) by—

(A) striking subsection (a) and inserting (a) "**APPROPRIATIONS.**—"There is authorized to be appropriated to FEMA to carry out the National Dam Safety Program Act (in addition to any amounts made available for similar purposes included in any other Act) \$14.8 million for fiscal year 2013, \$15.2 million for fiscal year 2014, \$15.7 million for fiscal year 2015, \$16.2 million for fiscal year 2016.;"

(B) striking subsections (c), (d) and (e); and

(C) redesignating subsection (f) as subsection (c).

**SEC. 541.** (a)(1) For fiscal year 2014, the fees imposed in subsection (a) of section 13031 of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(a)) shall be applied by substituting the following amounts for the amounts provided in such subsection:

(A) For paragraph (1), \$594;

(B) For paragraph (2), \$7.50;

(C) For paragraph (3), \$11.25;

(D) For paragraph (4), \$37.50;

(E) For paragraph (5), \$7.50 for the fee imposed in subparagraph (A) and \$2.60 for the fee imposed in subparagraph (B);

(F) For paragraph (6), \$7.50;

(G) For paragraph (7), \$188; and

(H) For paragraph (8), \$150.

(2) For fiscal year 2014, the dollar amounts in subsection (b) of section 58c of title 19, United States Code, shall be applied by substituting the following amounts for the amounts provided in such subsection:

(A) For paragraphs (2) and (3), \$135;

(B) For subparagraph (A) of paragraph (5), \$8,316;

(C) For paragraph (6), \$2,100;

(D) For subparagraph (A)(ii) of paragraph (9), \$1.36; and

(E) For subparagraph (B)(i) of paragraph (9), not more than \$3.00 per individual airway bill or bill of lading.

(3) As authorized by section 601(b) of the United States-Colombia Trade Promotion Agreement Implementation Act (Public Law 112–42) fees collected from passengers arriving from Canada, Mexico, or an adjacent island pursuant to section 13031(a)(5) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(a)(5)) shall be available until expended.

(b) For fiscal year 2014, the fees imposed in section 286 of the Immigration and Nationality Act (8 U.S.C. 1356) shall be applied by substituting the following amounts for the amounts provided in such section:

(1) For subsection (d), \$9; and

(2) For paragraph (3) of subsection (e), \$5.

**SEC. 542.** (a) Notwithstanding section 13031(e) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(e)) and section 451 of the Tariff Act of 1930 (19 U.S.C. 1451) upon the request of any persons, the Commissioner of U.S. Customs and Border Protection may enter into reimbursable fee agreements for a period of up to 5 years with such persons for the provision of U.S. Customs and Border Protection services and any other costs incurred by U.S. Customs and Border Protection relating to such services. Such requests may include additional U.S. Customs and Border Protection services at existing U.S. Customs and Border Protection serviced facilities (including but not limited to payment for overtime), the provision of U.S. Customs and Border Protection services at new facilities, and expanded U.S. Customs and Border Protection services at land border facilities.

(1) By December 31, 2013, the Commissioner may enter into not more than 5 agreements under this section.

(2) The Commissioner shall not enter into such an agreement if it would unduly and permanently impact services funded in this or any other appropriations Acts, or provided from any accounts in the Treasury of the United States derived by the collection of fees.

(b) Funds collected pursuant to any agreement entered into under this section shall be deposited in a newly established account as offsetting collections and remain available until expended, without fiscal year limitation, and shall directly reimburse each appropriation for the amount paid out of that appropriation for any expenses incurred by U.S. Customs and Border Protection in providing U.S. Customs and Border Protection services and any other costs incurred by U.S. Customs and Border Protection relating to such services.

(c) The amount of the fee to be charged pursuant to an agreement authorized under subsection (a) of this section shall be paid by each person requesting U.S. Customs and Border Protection services and shall include, but shall not be limited to, the salaries and expenses of individuals employed by U.S. Customs and Border Protection to provide such U.S. Customs and Border Protection services and other costs incurred by U.S. Customs and Border Protection relating to those services, such as temporary placement or permanent relocation of those individuals.

(d) U.S. Customs and Border Protection shall terminate the provision of services pursuant to an agreement entered into under subsection (a) with a person that, after receiving notice from the Commissioner that a fee imposed under subsection (a) is due, fails to pay the fee in a timely manner. In the event of such termination, all costs incurred by U.S. Customs and Border Protection, which have not been reimbursed, will become immediately due and payable. Interest on unpaid fees will accrue based on current U.S. Treasury borrowing rates. Additionally, any person who, after notice and demand for payment of any fee charged under subsection (a) of this section, fails to pay such fee in a timely manner shall be liable for a penalty or liquidated damage equal to two times the amount of the fee. Any amount collected pursuant to any agreement entered into under this subsection shall be deposited into the account specified under subsection (b) of this section and shall be available as described therein.

(e) Each facility at which such U.S. Customs and Border Protection services are performed shall provide, maintain, and equip, without cost to the Government, facilities in accordance with U.S. Customs and Border Protection specifications.

(f) The authority found in this section may not be used to enter into agreements to expand or begin to provide U.S. Customs and Border Protection services outside of the United States.

(g) The authority found in this section may not be used at existing U.S. Customs and Border Protection serviced air facilities to enter into agreements for costs other than payment of overtime.

(h) The Commissioner shall notify the appropriate Committees of Congress 15 days prior to entering into any agreement under the authority of this section and shall provide a copy of the agreement to the appropriate Committees of Congress.

(i) For purposes of this section the terms:

(1) *U.S. Customs and Border Protection services* means any activities of any employee or contractor of U.S. Customs and Border Protection pertaining to customs and immigration inspection-related matters.

(2) *Person* means any natural person or any corporation, partnership, trust, association, or any other public or private entity, or any officer, employee, or agent thereof.

(3) *Appropriate Committees of Congress* means the Committees on Appropriations; Finance; Judiciary; and Homeland Security and Governmental Affairs of the Senate and the Committees on Appropriations; Judiciary; Ways and Means; and Homeland Security of the House of Representatives.

**SEC. 543.**

(a) **IN GENERAL.**—Notwithstanding any other provision of law, including Chapter 33 of Title 40, United States Code, the Secretary of Homeland Security may, for purposes of constructing, altering, operating or maintaining a new or existing land port of entry facility, accept donations of real and personal property (including monetary donations) and non-personal services, from private parties and State and local government entities.

(b) **PURPOSES.**—The Secretary of Homeland Security may, with respect to any donation provided pursuant to subsection (a),

(1) use such property or services for necessary expenses related to the construction, alteration, operation or maintenance of a new or existing land port of entry facility under the custody and control of the Secretary, specifically including but not limited to expenses related to land acquisition, design, construction, repair and alteration, furniture and fixtures and equipment (FFE), deployment of technology and equipment, and operation and maintenance (O&M); or

(2) transfer such property or services to the Administrator of General Services for necessary expenses as described in subsection (b)(1) related to a new or existing land port of entry facility under the custody and control of the Administrator.

(c) **SUPPLEMENTAL FUNDING.**—Property (including monetary donations) and services provided pursuant to subsection (a) may be used in addition to any other funding (including appropriated funds), property or services made available for the same purpose.

(d) **UNCONDITIONAL DONATIONS.**—A donation provided pursuant to subsection (a) may specify the land port of entry facility(ies) in support of which the donation is being made and the timeframe in which the donated property or services must be used, but must otherwise be made unconditionally.

(e) **RETURN OF DONATIONS.**—If the Secretary or Administrator do not use the property or services donated pursuant to subsection (a) for the specific land port of entry facility(ies) designated or within the timeframe specified, then such donated property or services shall be returned to the entity that made the donation; provided, however, that no interest shall be owed on any donation of funding provided under subsection (a) and returned pursuant to this subparagraph.

(f) **SAVINGS.**—Nothing in this section shall be deemed to affect or alter the underlying authority of the Secretary of Homeland Security or the Administrator of General Services to construct, alter, operate and maintain land port of entry facilities.

**SEC. 544.** (a) The Commissioner of the United States Customs and Border Protection shall:

(1) conduct a study assessing the feasibility and cost relating to establishing and collecting a land border crossing fee for both land border pedestrians and passenger vehicles along the northern and southwest borders of the United States; the study should include:

(A) the feasibility of collecting from existing operators on the land border such as bridge commissions, toll operators, commercial passenger bus, and commercial passenger rail;

(B) requirements to collect at land ports of entry where existing capability is not present; and

(C) any legal and regulatory impediments to establishing and collecting a land border crossing fee; and

(2) complete the study within 9 months of enactment of this Act.

**SEC. 545.** (a) **IN GENERAL.**—Section 33 of the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2229) is amended to read as follows:

(a) **ASSISTANCE PROGRAM.**—

(1) **AUTHORITY.**—The Director may make grants on a competitive basis directly to State fire training academies, in consultation with the chief executive of the State, in accordance with paragraph (1)(C).

**SEC. 546.**

(a) Of the unobligated balances available under the heading Coast Guard, Acquisition, Construction, and Improvements by Public Law 111–83, the following funds are hereby permanently cancelled, from the following programs, projects, or activities in the specified amounts: (1) Integrated Deepwater Systems program (surface ships), \$9,000,000; (2) Integrated Deepwater Systems program (other), \$4,000,000; and (3) High Endurance Cutter Sustainment by Public Law 111–83, \$1,500,000.

(b) Of the unobligated balances available under the heading Coast Guard, Acquisition, Construction, and Improvements by Public Law 112–10, the following funds are hereby permanently cancelled, from the following programs, projects, and activities in the specified amounts: (1) Integrated Deepwater Systems program (surface), \$7,000,000; and (2) Integrated Deepwater Systems program (other), \$2,000,000.

(c) Of the unobligated balances available under the heading Coast Guard, Acquisition, Construction, and Improvements by Public Law 112–74, the following funds are hereby permanently cancelled, from the following programs, projects, and activities in the specified amounts: (1) Shore and Aton (Major Shore, MASI), \$8,500,000; (2) Aircraft (HH-60 Sustainment), \$2,000,000; (3) Vessels (Fast Response Cutter), \$7,000,000; and (4) Other (Systems Engineering & Integration), \$1,000,000.

**SEC. 547.** The Commissioner of the United States Customs and Border Protection may waive the claim for reimbursement of \$221,123 from the fiscal year 2009 appropriation for the Office of the Federal Coordinator for Gulf Coast Rebuilding.



# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

## PUBLIC AND INDIAN HOUSING PROGRAMS

### Federal Funds

#### RENTAL ASSISTANCE DEMONSTRATION

For continuing activities under the heading "Rental Assistance Demonstration" in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112-55), and in accordance with priorities established by the Secretary, \$10,000,000, to remain available through September 30, 2017: Provided, That such funds shall only be available to properties converting from assistance under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 86-0406-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 RAD Incremental Conversion Cost .....			10
0100 Direct program activities, subtotal .....			10
0900 Total new obligations (object class 41.0) .....			10
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			10
1160 Appropriation, discretionary (total) .....			10
1930 Total budgetary resources available .....			10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			10
3050 Unpaid obligations, end of year .....			10
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			10
4180 Budget authority, net (total) .....			10

In 2014, the Department will continue implementation of the Rental Assistance Demonstration (RAD), authorized by the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112-55). Under RAD, Public Housing Authorities (PHAs) and other owners of rental properties assisted under the Public Housing, Moderate Rehabilitation (Mod Rehab), Rent Supplement (Rent Supp) and Rental Assistance Payment (RAP) programs are offered the option to convert their properties to long-term, project-based Section 8 contracts that can leverage private financing for capital improvements. Through February 2013, HUD awarded 112 initial approvals to 70 PHAs, supporting the eventual conversion of over 12,100 Public Housing units; and 24 approvals to private owners of Rent Supp, RAP, and Mod Rehab properties for the conversion of 2,770 units with contracts expiring through September 30, 2013. The Department has also received 38 Letters of Interest (constituting an additional 4,300 units) from private owners of properties with contracts expiring after September 30, 2013.

While the Department will continue to process no-cost conversions in 2014, the Budget requests \$10 million for a targeted expansion of RAD to Public Housing properties that cannot feasibly convert at existing funding levels and are located in high-poverty neighborhoods, including designated Promise Zones, where the

Administration is supporting comprehensive revitalization efforts. This request will cover the incremental subsidy cost of converting approximately 3,300 Public Housing units, thereby increasing private investment in targeted projects and surrounding neighborhoods.

The Budget also includes the following proposals to facilitate additional no-cost conversions of HUD-assisted properties under RAD: 1) increases the unit cap on Public Housing conversions from 60,000 to 150,000; 2) excludes Mod Rehab properties from the unit cap; 3) makes Section 8 Mod Rehab Single Room Occupancy properties eligible for RAD; and 4) extends by two years (through September 30, 2015) the sunset date on conversions of Rent Supp, RAP and Mod Rehab properties.

#### PUBLIC AND INDIAN HOUSING

#### TENANT-BASED RENTAL ASSISTANCE

For activities and assistance for the provision of tenant-based rental assistance authorized under the United States Housing Act of 1937, as amended (42 U.S.C. 1437 et seq.) ("the Act" herein), not otherwise provided for, \$15,989,216,000, to remain available until expended, shall be available on October 1, 2013 (in addition to the \$4,000,000,000 previously appropriated under this heading that became available on October 1, 2013), and \$4,000,000,000, to remain available until expended, shall be available on October 1, 2014: Provided, That amounts made available under this heading are provided as follows:

(1) \$17,968,278,000 shall be available for renewals of expiring section 8 tenant-based annual contributions contracts (including renewals of enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act) and including renewal of other special purpose incremental vouchers: Provided, That notwithstanding any other provision of law, from amounts provided under this paragraph and any carryover, the Secretary for the calendar year 2014 funding cycle shall provide renewal funding for each public housing agency based on validated voucher management system (VMS) leasing and cost data for the prior calendar year and by applying an inflation factor as established by the Secretary, by notice published in the Federal Register, and by making any necessary adjustments for the costs associated with the first-time renewal of vouchers under this paragraph, including tenant protection and HOPE VI vouchers: Provided further, That in determining calendar year 2014 funding allocation under this heading for public housing agencies, including agencies participating in the Moving To Work (MTW) demonstration, the Secretary may take into account the anticipated impact of changes in targeting, medical expense thresholds, and utility allowances, to public housing agencies' contract renewal needs: Provided further, That the Secretary shall, to the extent necessary to stay within the amount specified under this paragraph (except as otherwise modified under this Act), pro rate each public housing agency's allocation otherwise established pursuant to this paragraph: Provided further, That except as provided in the following provisos, the entire amount specified under this paragraph (except as otherwise modified under this Act) shall be obligated to the public housing agencies based on the allocation and pro rata method described above, and the Secretary shall notify public housing agencies of their annual budget by the latter of 60 days after enactment of this Act or March 1, 2014: Provided further, That the Secretary may extend the notification period, with notification to the House and Senate Committees on Appropriations: Provided further, That public housing agencies participating in the MTW demonstration shall be funded pursuant to their MTW agreements and shall be subject to the same pro rata adjustments under the previous provisos: Provided further, That the Secretary may offset public housing agencies' calendar year 2014 allocations by the excess amount of agencies' reserves as established by the Secretary: Provided further, That public housing agencies participating in the MTW demonstration shall also be subject to the offset, as determined by the Secretary, from the agencies' calendar year 2014 MTW funding allocation: Provided further, That the Secretary shall use any offset referred to in the previous two provisos throughout

PUBLIC AND INDIAN HOUSING—Continued

the calendar year to prevent the termination of rental assistance for families as the result of insufficient funding, as determined by the Secretary, and to avoid or reduce the proration of renewal funding allocations: Provided further, That up to \$50,000,000 shall be available only: (1) for adjustments in the allocations for public housing agencies, after application for an adjustment by a public housing agency, that experienced a significant increase, as determined by the Secretary, in renewal costs of vouchers resulting from unforeseen circumstances or from portability under section 8(r) of the Act; (2) for vouchers that were not in use during the 12-month period in order to be available to meet a commitment pursuant to section 8(o)(13) of the Act; (3) for adjustments for costs associated with HUD-Veterans Affairs Supportive Housing (HUD-VASH) vouchers; (4) for adjustments in the allocations for public housing agencies that experienced a significant increase, as determined by the Secretary, in renewal costs as a result of participation in the Small Area Fair Market Rent demonstration: Provided further, That the Secretary shall allocate amounts under the previous proviso based on need as determined by the Secretary; and (5) for public housing agencies that despite taking reasonable cost savings measures, as determined by the Secretary, would otherwise be required to terminate rental assistance for families as the result of insufficient funding;

(2) \$150,000,000 shall be for section 8 rental assistance for relocation and replacement of housing units that are demolished or disposed of pursuant to section 18 of the Act, conversion of section 23 projects to assistance under section 8, the family unification program under section 8(x) of the Act, relocation of witnesses in connection with efforts to combat crime in public and assisted housing pursuant to a request from a law enforcement or prosecution agency, enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act, HOPE VI vouchers, mandatory and voluntary conversions, and tenant protection assistance including replacement and relocation assistance or for project-based assistance to prevent the displacement of unassisted elderly tenants currently residing in section 202 properties financed between 1959 and 1974 that are refinanced pursuant to Public Law 106-569, as amended, or under the authority as provided under this Act: Provided, That when a public housing development is submitted for demolition or disposition under section 18 of the Act, the Secretary may provide section 8 rental assistance when the units pose an imminent health and safety risk to residents: Provided further, That the Secretary, for the purposes under this paragraph, may use unobligated balances, including recaptures and carryovers, remaining from amounts appropriated in prior fiscal years under this heading for voucher assistance for nonelderly disabled families and for disaster assistance made available under Public Law 110-329;

(3) \$1,685,374,000 shall be for administrative and other expenses of public housing agencies in administering the section 8 tenant-based rental assistance program, of which up to \$50,000,000 shall be available to the Secretary to allocate to public housing agencies that need additional funds to administer their section 8 programs, including fees associated with section 8 tenant protection rental assistance, the administration of disaster-related vouchers, Veterans Affairs Supportive Housing vouchers, and other special purpose incremental vouchers: Provided, That no less than \$1,635,374,000 of the amount provided in this paragraph shall be allocated to public housing agencies for the calendar year 2014 funding cycle based on section 8(q) of the Act (and related Appropriation Act provisions) as in effect immediately before the enactment of the Quality Housing and Work Responsibility Act of 1998 (Public Law 105-276): Provided further, That if the amounts made available under this paragraph are insufficient to pay the amounts determined under the previous proviso, the Secretary may decrease the amounts allocated to agencies by a uniform percentage applicable to all agencies receiving funding under this paragraph or may, to the extent necessary to provide full payment of amounts determined under the previous proviso, utilize unobligated balances, including recaptures and carryovers, remaining from funds appropriated to the Department of Housing and Urban Development under this heading from prior fiscal years, notwithstanding the purposes for which such amounts were appropriated: Provided further, That all public housing agencies participating in the MTW demonstration shall be funded pursuant to their MTW agreements, and shall be subject to the same uniform percentage decrease as under the previous proviso: Provided further, That amounts provided under this

paragraph shall be only for activities related to the provision of tenant-based rental assistance authorized under section 8, including related development activities;

(4) \$110,564,000 for the renewal of tenant-based assistance contracts under section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), including necessary administrative expenses: Provided, That administrative and other expenses of public housing agencies in administering the special purpose vouchers in this paragraph shall be funded under the same terms and be subject to the same pro rata reduction as the percent decrease for administrative and other expenses to public housing agencies under paragraph (3) of this heading;

(5) \$75,000,000 for incremental rental voucher assistance for use through a supported housing program administered in conjunction with the Department of Veterans Affairs as authorized under section 8(o)(19) of the United States Housing Act of 1937: Provided, That the Secretary of Housing and Urban Development shall make such funding available, notwithstanding section 204 (competition provision) of this title, to public housing agencies that partner with eligible VA Medical Centers or other entities as designated by the Secretary of the Department of Veterans Affairs, based on geographical need for such assistance as identified by the Secretary of the Department of Veterans Affairs, public housing agency administrative performance, and other factors as specified by the Secretary of Housing and Urban Development in consultation with the Secretary of the Department of Veterans Affairs: Provided further, That the Secretary of Housing and Urban Development may waive, or specify alternative requirements for (in consultation with the Secretary of the Department of Veterans Affairs), any provision of any statute or regulation that the Secretary of Housing and Urban Development administers in connection with the use of funds made available under this paragraph (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment), upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective delivery and administration of such voucher assistance: Provided further, That assistance made available under this paragraph shall continue to remain available for homeless veterans upon turn-over; and

(6) The Secretary shall separately track all special purpose vouchers funded under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0302-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Tenant Protection .....	117	94	150
0002 Administrative Fees .....	1,490	1,451	1,685
0003 Family Self Sufficiency Coordinators .....	61	62	.....
0006 Contract Renewals .....	16,488	17,329	17,953
0007 Rental Assistance Demonstration .....	.....	.....	22
0008 Veterans Affairs Supportive Housing Vouchers .....	78	76	75
0012 Disaster Housing Assistance Program .....	.....	2	.....
0013 Section 811 Mainstream Vouchers .....	82	146	111
0900 Total new obligations (object class 41.0) .....	18,316	19,160	19,996
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	179	154	.....
1021 Recoveries of prior year unpaid obligations .....	27	.....	.....
1050 Unobligated balance (total) .....	206	154	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14,914	15,006	15,989
1120 Appropriations transferred to other accts [86-0402] .....	.....	.....	-15
1121 Appropriations transferred from other accts [86-0304] .....	.....	.....	6
1121 Appropriations transferred from other accts [86-0163] .....	.....	.....	16
1160 Appropriation, discretionary (total) .....	14,914	15,006	15,996
Advance appropriations, discretionary:			
1170 Advance appropriation .....	4,000	4,000	4,000
1173 Advance appropriations permanently reduced .....	-650	.....	.....
1180 Advanced appropriation, discretionary (total) .....	3,350	4,000	4,000
1900 Budget authority (total) .....	18,264	19,006	19,996

1930	Total budgetary resources available .....	18,470	19,160	19,996
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	154		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,677	2,008	2,249
3010	Obligations incurred, unexpired accounts .....	18,316	19,160	19,996
3020	Outlays (gross) .....	-17,952	-18,919	-19,956
3040	Recoveries of prior year unpaid obligations, unexpired .....	-27		
3041	Recoveries of prior year unpaid obligations, expired .....	-6		
3050	Unpaid obligations, end of year .....	2,008	2,249	2,289
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,677	2,008	2,249
3200	Obligated balance, end of year .....	2,008	2,249	2,289
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	18,264	19,006	19,996
Outlays, gross:				
4010	Outlays from new discretionary authority .....	16,194	17,037	17,897
4011	Outlays from discretionary balances .....	1,758	1,882	2,059
4020	Outlays, gross (total) .....	17,952	18,919	19,956
4180	Budget authority, net (total) .....	18,264	19,006	19,996
4190	Outlays, net (total) .....	17,952	18,919	19,956

The 2014 Budget provides \$20 billion for the Tenant-Based Rental Assistance Program (also known as the Housing Choice Voucher program). The Housing Choice Voucher program provides housing assistance to 2.2 million extremely low- to very low-income families to rent in the neighborhoods of their choice. This is the Federal government's largest and most income-targeted program for assisting very low-income families to rent decent, safe and sanitary housing in the private market. About 2,350 state and local Public Housing Authorities (PHAs) administer the Housing Choice Voucher program.

In addition to continuing assistance for families anticipated to be under lease by 2013, the Budget provides new vouchers to make progress on HUD's annual performance goals to maximize the number of families receiving rental assistance and to reduce the number of homeless veterans. The Budget includes \$111 million to renew over 14,000 vouchers for persons with disabilities that were previously funded from the Section 811 account, and \$75 million in new vouchers for homeless veterans through the HUD-Veteran Affairs Supportive Housing (HUD-VASH) program. The Budget also requests \$150 million for tenant protection vouchers, which are provided when certain actions occur beyond the control of the residents, such as public housing demolition or disposition, or when landlords terminate their Project-Based Rental Assistance contracts.

The Budget proposes comprehensive legislative reforms across HUD's core rental assistance programs (Housing Choice Vouchers, Project-Based Rental Assistance, and Public Housing). The main goals of this legislation are to improve family outcomes, streamline program delivery, reduce burden on PHAs and private owners, and reduce short- and long-term program costs.

This legislative proposal will include a substantial expansion of the Moving to Work (MTW) program to high-capacity PHAs. In partnership with HUD, participating PHAs will design and implement innovative policies related to housing preservation, family self-sufficiency, mobility, cost-effectiveness and other priority areas. Key tenant protections will continue to apply and PHAs will be subject to rigorous reporting and evaluation requirements.

Additional reforms include: (1) providing PHAs greater flexibility in the use of their funds for supportive services; (2) streamlining the Family Self-Sufficiency program; (3) allowing fixed-income families to recertify their incomes every three years; (4) simplifying and improving the annual plan requirement; (5) increasing

the threshold used to determine deductions for unreimbursed medical expenses from 3 to 10 percent of family income; (6) broadening the definition of extremely low-income to apply to families with incomes that are the higher of 30 percent of area median income or the Federal poverty level; and (7) ensuring the responsible stewardship of Federal funds by establishing reasonable limits on compensation provided to PHA personnel. This is part of a government-wide effort to review the compensation policy of non-Federal staff supported primarily with Federal funds.

The proposed legislation will also address reforms specific to the Housing Choice Voucher program, such as: (1) authorizing the renewal formula to ensure predictability and stability for the program; (2) improving the Project-Based Voucher program; (3) addressing homelessness through expansion of the sponsor-based assistance model; (4) enabling biennial and alternative inspections, and (5) streamlining the process for establishing annual Fair Market Rents. The Administration also continues to improve the management of the Housing Choice Voucher program by working on the development of the Next Generation Management System, which will comprehensively overhaul and improve HUD information technology systems to better manage and administer the program.

While some reforms are included in the general provisions at the end of this chapter, all others will be included in authorizing legislation to be transmitted to Congress in the Spring of 2013.

HOUSING CERTIFICATE FUND  
(CANCELLATION)

*Unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under this heading, the heading "Annual Contributions for Assisted Housing", and the heading "Project-Based Rental Assistance", for fiscal year 2014 and prior years may be used for renewal of or amendments to section 8 project-based contracts and for performance-based contract administrators, notwithstanding the purposes for which such funds were appropriated: Provided, That any obligated balances of contract authority from fiscal year 1974 and prior that have been terminated are hereby permanently cancelled: Provided further, That amounts previously recaptured, or recaptured during the current fiscal year, from section 8 project-based contracts from source years fiscal year 1975 through fiscal year 1987 are hereby permanently cancelled, and an amount of additional new budget authority, equivalent to the amount permanently cancelled is hereby appropriated, to remain available until expended, for the purposes set forth under this heading, in addition to amounts otherwise available.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0319-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Contract Renewals .....			29
0900 Total new obligations (object class 41.0) .....			29
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	53	25	
1021 Recoveries of prior year unpaid obligations .....	216	125	29
1025 Unobligated balance of contract authority withdrawn .....	-5		
1029 Other balances withdrawn .....	-39	-12	
1050 Unobligated balance (total) .....	225	138	29
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			29

**HOUSING CERTIFICATE FUND—Continued**  
**Program and Financing—Continued**

Identification code 86-0319-0-1-604	2012 actual	2013 CR	2014 est.
1131 Unobligated balance of appropriations permanently reduced (HCF funds) .....	-200	-95	-29
1131 Unobligated balance of appropriations permanently reduced (non-HCF funds) .....		-43	
1160 Appropriation, discretionary (total) .....	-200	-138	
1900 Budget authority (total) .....	-200	-138	
1930 Total budgetary resources available .....	25		29
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	25		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,180	2,130	1,527
3010 Obligations incurred, unexpired accounts .....			29
3020 Outlays (gross) .....	-834	-478	-427
3040 Recoveries of prior year unpaid obligations, unexpired .....	-216	-125	-29
3050 Unpaid obligations, end of year .....	2,130	1,527	1,100
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,180	2,130	1,527
3200 Obligated balance, end of year .....	2,130	1,527	1,100
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-200	-138	
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4011 Outlays from discretionary balances .....	834	478	426
4020 Outlays, gross (total) .....	834	478	427
4180 Budget authority, net (total) .....	-200	-138	
4190 Outlays, net (total) .....	834	478	427
<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	5		

Until 2005, the Housing Certificate Fund provided funding to both the project-based and tenant-based components of the Section 8 program. Project-Based Rental Assistance and Tenant-Based Rental Assistance are now funded in separate accounts. The Housing Certificate Fund retains and recovers balances from previous years' appropriations, and uses those balances to support contract renewals, amendments, and performance-based contract administrators.

**HOUSING PROGRAMS**

**PROJECT-BASED RENTAL ASSISTANCE**

For activities and assistance for the provision of project-based subsidy contracts under the United States Housing Act of 1937 (42 U.S.C. 1437 et seq.) ("the Act"), not otherwise provided for, \$9,872,000,000, to remain available until expended, shall be available on October 1, 2013 (in addition to the \$400,000,000 previously appropriated under this heading that became available October 1, 2013), and \$400,000,000, to remain available until expended, shall be available on October 1, 2014: Provided, That the amounts made available under this heading shall be available for expiring or terminating section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for amendments to section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for contracts entered into pursuant to section 441 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11401), for renewal of section 8 contracts for units in projects that are subject to approved plans of action under the Emergency Low Income Housing Preservation Act of 1987 or the Low-Income Housing Preservation and Resident Homeownership Act of 1990, and for administrative and other expenses associated with project-based activities and assistance funded under this paragraph: Provided further, That of the total amounts provided under this heading, not to exceed \$265,000,000 shall be available for assistance agreements with performance-based contract administrators for section 8 project-based assistance, for carrying out 42 U.S.C. 1437(f): Provided

further, That the Secretary of Housing and Urban Development may also use such amounts in the previous proviso for performance-based contract administrators for the administration of: interest reduction payments pursuant to section 236(a) of the National Housing Act (12 U.S.C. 1715z-1(a)); rent supplement payments pursuant to section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s); section 236(f)(2) rental assistance payments (12 U.S.C. 1715z-1(f)(2)); project rental assistance contracts for the elderly under section 202(c)(2) of the Housing Act of 1959 (12 U.S.C. 1701q); project rental assistance contracts for supportive housing for persons with disabilities under section 811(d)(2) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013(d)(2)); project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667); and loans under section 202 of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667): Provided further, That amounts recaptured under this heading, the heading "Annual Contributions for Assisted Housing", or the heading "Housing Certificate Fund" may be used for renewals of or amendments to section 8 project-based contracts or for performance-based contract administrators, notwithstanding the purposes for which such amounts were appropriated: Provided further, That, notwithstanding any other provision of law, upon the request of the Secretary of Housing and Urban Development, project funds that are held in residual receipts accounts for any project subject to a section 8 project-based Housing Assistance Payments contract that authorizes HUD to require that surplus project funds be deposited in an interest-bearing residual receipts account and that are in excess of an amount to be determined by the Secretary, shall be remitted to the Department and deposited in this account, to be available until expended: Provided further, That amounts deposited pursuant to the previous proviso shall be available in addition to the amount otherwise provided by this heading for uses authorized under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 86-0303-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Contract Renewals .....	8,442	8,660	9,515
0002 RAD Contract Renewals .....			21
0003 Section 8 Amendments .....	556	625	612
0004 Contract Administrators .....	308	260	265
0005 Vouchers for Disaster Relief .....			1
0006 Tenant Information and Outreach .....	5		
0900 Total new obligations (object class 41.0) .....	9,311	9,545	10,414
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	114	181	31
1021 Recoveries of prior year unpaid obligations .....	38		
1050 Unobligated balance (total) .....	152	181	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8,940	8,995	9,872
1120 Appropriations transferred to other accts [86-0402] .....			-15
1121 Appropriations transferred from other accts [86-0163] .....			16
1121 Appropriations transferred from other accts [86-0304] .....			5
1160 Appropriation, discretionary (total) .....	8,940	8,995	9,878
Advance appropriations, discretionary:			
1170 Advance appropriation .....	400	400	400
1180 Advanced appropriation, discretionary (total) .....	400	400	400
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			105
1750 Spending auth from offsetting collections, disc (total) .....			105
1900 Budget authority (total) .....	9,340	9,395	10,383
1930 Total budgetary resources available .....	9,492	9,576	10,414
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	181	31	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,584	5,613	5,600
3010 Obligations incurred, unexpired accounts .....	9,311	9,545	10,414

3020	Outlays (gross) .....	-9,244	-9,558	-10,079
3040	Recoveries of prior year unpaid obligations, unexpired .....	-38	.....	.....
3050	Unpaid obligations, end of year .....	5,613	5,600	5,935
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	5,584	5,613	5,600
3200	Obligated balance, end of year .....	5,613	5,600	5,935

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	9,340	9,395	10,383
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3,786	4,808	5,293
4011	Outlays from discretionary balances .....	5,458	4,750	4,786
4020	Outlays, gross (total) .....	9,244	9,558	10,079
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	.....	.....	-105
4180	Budget authority, net (total) .....	9,340	9,395	10,278
4190	Outlays, net (total) .....	9,244	9,558	9,974

The Budget requests \$10.3 billion for Project-Based Rental Assistance (PBRA), of which \$400 million is requested as an advance appropriation to become available in 2015. The PBRA program assists approximately 1.2 million extremely low- to low-income households in obtaining decent, safe, and sanitary housing in private accommodations. PBRA serves families, elderly and disabled households and provides transitional housing for the homeless. Through this funding, HUD supports approximately 17,500 contracts with private owners of multifamily housing by paying the difference between what a household can afford, generally 30 percent of its eligible income, and the approved market-based rent for a housing unit.

The Budget proposes comprehensive legislative reforms to HUD's core rental assistance programs, including PBRA. In addition to crosscutting reforms, which are summarized under the Tenant-Based Rental Assistance heading, the Budget includes the following proposals that are specific to the PBRA program: (1) collects excess reserves (residual receipts) from owners in the PBRA program and uses them to cover a portion of the assistance payments; (2) establishes a demonstration allowing HUD to enter multi-year agreements to repay private investors who provide upfront funding for energy efficiency retrofits of HUD-assisted housing; and (3) amends the Low-Income Housing Preservation and Resident Homeownership Act (LIHPRHA) to align owner distribution policies in properties governed by LIHPRHA with other PBRA-assisted properties in order to facilitate preservation transactions. HUD also plans to implement a Flexible Portfolio Demonstration, which would offer regulatory and administrative flexibilities to high-performing multifamily owners in exchange for commitments to provide costs savings and to preserve property affordability.

Program activities include the following:

**Contract Renewals and Amendments.**—These activities provide funding for HUD to renew expiring contracts and amend contracts that have not expired but require additional funding for HUD to meet remaining payment obligations. These funds cover the direct housing costs of families in the program. Currently, 91 percent of contracts are funded annually; the other 9 percent are long-term contracts funded with previous appropriations, of which 45 percent will need amendment funding in 2014. Appropriations for these activities are supplemented with recoveries of excess balances remaining on expired contracts that utilized less than anticipated resources during their initial terms.

**Contract Administrators.**—This activity funds the local level administration of the program through HUD contracts with performance-based contract administrators. These entities, which are typically public housing authorities or state housing finance agencies, are responsible for conducting on-site management re-

views of assisted properties; adjusting contract rents; reviewing, processing, and paying monthly vouchers submitted by owners; renewing contracts with property owners; and responding to health and safety issues at properties. The Budget requests up to \$265 million for this purpose.

PUBLIC HOUSING CAPITAL FUND

*For the Public Housing Capital Fund Program to carry out capital and management activities for public housing agencies, as authorized under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) (the "Act"), \$2,000,000,000, to remain available until September 30, 2017: Provided, That notwithstanding any other provision of law or regulation, during fiscal year 2014 the Secretary of Housing and Urban Development may not delegate to any Department official other than the Deputy Secretary and the Assistant Secretary for Public and Indian Housing any authority under paragraph (2) of section 9(j) regarding the extension of the time periods under such section: Provided further, That for purposes of such section 9(j), the term "obligate" means, with respect to amounts, that the amounts are subject to a binding agreement that will result in outlays, immediately or in the future: Provided further, That up to \$8,000,000 shall be to support ongoing Public Housing Financial and Physical Assessment activities: Provided further, That of the total amount provided under this heading, not to exceed \$20,000,000 shall be available for the Secretary to make grants, notwithstanding section 204 of this Act, to public housing agencies for emergency capital needs resulting from unforeseen or unpreventable emergencies and natural disasters excluding Presidentially declared emergencies and natural disasters under the Robert T. Stafford Disaster Relief and Emergency Act (42 U.S.C. 5121 et seq.) occurring in fiscal year 2014: Provided further, That from the funds made available under this heading, the Secretary shall provide bonus awards in fiscal year 2014 to public housing agencies that are designated high performers: Provided further, That up to \$15,000,000 of funds made available under this heading shall be used for a Jobs-Plus Pilot initiative modeled after the Jobs-Plus demonstration: Provided further, That the Jobs-Plus Pilot initiative shall provide competitive grants to partnerships between public housing authorities, local workforce investment boards established under section 117 of the Workforce Investment Act of 1998, and other agencies and organizations that provide support to help public housing residents obtain employment and increase earnings: Provided further, That the Secretary may waive or specify alternative requirements for any provision of the United States Housing Act of 1937 (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment) upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective implementation of the Jobs-Plus Pilot initiative: Provided further, That the Secretary shall publish by notice in the Federal Register any waivers or alternative requirements pursuant to the preceding proviso no later than 10 days before the effective date of such notice.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0304-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Capital Grants .....	1,789	1,843	1,936
0002 Technical Assistance .....	2	1	.....
0003 Emergency/Disaster Reserve .....	16	20	20
0006 Resident Opportunities and Supportive Services .....	50	50	.....
0007 Administrative Receivership .....	3	10	10
0008 Financial and Physical Assessment Support .....	13	18	18
0009 Early Childhood Education Facilities .....	7	.....	.....
0010 Jobs-Plus Pilot .....	.....	.....	15
0900 Total new obligations (object class 41.0) .....	1,880	1,942	1,999
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	76	87	20
1021 Recoveries of prior year unpaid obligations .....	102	.....	.....

PUBLIC HOUSING CAPITAL FUND—Continued  
Program and Financing—Continued

Identification code 86-0304-0-1-604	2012 actual	2013 CR	2014 est.
1029 Other balances withdrawn .....	-83	-11	.....
1050 Unobligated balance (total) .....	95	76	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,875	1,886	2,000
1120 Appropriations transferred to other accts [86-0303] .....	.....	.....	-5
1120 Appropriations transferred to other accts [86-0302] .....	.....	.....	-6
1120 Appropriations transferred to other accts [86-0402] .....	.....	.....	-10
1160 Appropriation, discretionary (total) .....	1,875	1,886	1,979
1900 Budget authority (total) .....	1,875	1,886	1,979
1930 Total budgetary resources available .....	1,970	1,962	1,999
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3	.....	.....
1941 Unexpired unobligated balance, end of year .....	87	20	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,635	4,688	4,130
3010 Obligations incurred, unexpired accounts .....	1,880	1,942	1,999
3020 Outlays (gross) .....	-2,719	-2,500	-2,388
3040 Recoveries of prior year unpaid obligations, unexpired .....	-102	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-6	.....	.....
3050 Unpaid obligations, end of year .....	4,688	4,130	3,741
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,635	4,688	4,130
3200 Obligated balance, end of year .....	4,688	4,130	3,741
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,875	1,886	1,979
Outlays, gross:			
4010 Outlays from new discretionary authority .....	93	38	40
4011 Outlays from discretionary balances .....	2,538	2,462	2,348
4020 Outlays, gross (total) .....	2,631	2,500	2,388
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	88	.....	.....
4180 Budget authority, net (total) .....	1,875	1,886	1,979
4190 Outlays, net (total) .....	2,719	2,500	2,388

The Budget proposes \$2 billion for the Public Housing Capital Fund, a formula program designed to respond to the capital and management improvement requirements of Public Housing properties. This program preserves and enhances a valuable affordable housing resource that serves approximately 1.1 million low-income families. Of the amount requested, over \$1.9 billion will fund capital grants to Public Housing Authorities. The balance includes: up to \$20 million for emergency capital needs resulting from non-Presidentially declared emergencies and natural disasters; up to \$15 million for a Jobs-Plus pilot modeled after the evidence-based Jobs-Plus demonstration; and up to \$8 million for Public Housing financial and physical assessment support.

## PUBLIC HOUSING OPERATING FUND

For 2014 payments to public housing agencies for the operation and management of public housing, as authorized by section 9(e) of the United States Housing Act of 1937 (42 U.S.C. 1437g(e)), \$4,600,000,000: Provided, That in determining public housing agencies', including Moving to Work agencies', calendar year 2014 funding allocations under this heading, the Secretary shall take into account the impact of changes in flat rents and medical expense thresholds on public housing agencies' formula income levels.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 86-0163-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating Subsidy .....	3,957	3,991	4,560
0900 Total new obligations (object class 41.0) .....	3,957	3,991	4,560
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	8	.....
1029 Other balances withdrawn .....	.....	-3	.....
1050 Unobligated balance (total) .....	3	5	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,962	3,986	4,600
1120 Appropriations transferred to other accts [86-0302] .....	.....	.....	-16
1120 Appropriations transferred to other accts [86-0303] .....	.....	.....	-16
1120 Appropriations transferred to other accts [86-0402] .....	.....	.....	-8
1160 Appropriation, discretionary (total) .....	3,962	3,986	4,560
1900 Budget authority (total) .....	3,962	3,986	4,560
1930 Total budgetary resources available .....	3,965	3,991	4,560
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,312	1,048	1,116
3010 Obligations incurred, unexpired accounts .....	3,957	3,991	4,560
3020 Outlays (gross) .....	-4,220	-3,923	-4,399
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	1,048	1,116	1,277
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,312	1,048	1,116
3200 Obligated balance, end of year .....	1,048	1,116	1,277
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,962	3,986	4,560
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,922	2,870	3,283
4011 Outlays from discretionary balances .....	1,298	1,053	1,116
4020 Outlays, gross (total) .....	4,220	3,923	4,399
4180 Budget authority, net (total) .....	3,962	3,986	4,560
4190 Outlays, net (total) .....	4,220	3,923	4,399

The Budget requests \$4.6 billion for the Public Housing Operating Fund, which provides subsidies to Public Housing Authorities (PHAs) to assist in funding the operating expenses of Public Housing units in accordance with Section 9(e) of the United States Housing Act of 1937. This request is equal to 90 percent of PHAs' estimated funding eligibility under the Operating Fund formula.

The Budget also proposes comprehensive legislative reforms to HUD's core rental assistance programs, including Public Housing. In addition to crosscutting reforms, which are summarized under the Tenant-Based Rental Assistance heading, the Budget includes the following proposals that are specific to Public Housing: (1) provides all PHAs with full flexibility to use their operating and capital funds for any eligible expense under both programs; (2) phases in a flat rent floor of 80 percent of the applicable fair market rent; (3) allows PHAs to form consortia for the purposes of administering Public Housing; (4) streamlines the community service requirement; and (5) establishes a utilities conservation pilot to encourage PHAs to undertake energy conservation measures and reduce Federal costs. The first three proposals are reflected in the general provisions at the end of this budget chapter; the latter two will be included in authorizing legislation to be submitted to Congress in the spring of 2013.

DRUG ELIMINATION GRANTS FOR LOW-INCOME HOUSING

Program and Financing (in millions of dollars)

Identification code 86-0197-0-1-604	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	.....
1029 Other balances withdrawn .....	.....	-1	.....
1050 Unobligated balance (total) .....	1	.....	.....
1930 Total budgetary resources available .....	1	.....	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

No new appropriations have been provided for the Public Housing Drug Elimination Grants program since 2001.

CHOICE NEIGHBORHOODS INITIATIVE

For competitive grants under the Choice Neighborhoods Initiative for transformation, rehabilitation, and replacement housing needs of both public and HUD-assisted housing and to transform neighborhoods of poverty into functioning, sustainable mixed income neighborhoods with appropriate services, schools, public assets, transportation and access to jobs, \$400,000,000, to remain available until September 30, 2016, of which the Secretary of Housing and Urban Development may use up to \$5,000,000 for technical assistance and contract expertise, including training and the cost of necessary travel for participants in such training, to be provided directly or indirectly through grants, contracts or cooperative agreements by or to officials and employees of the Department and of grantees and to residents: Provided, That grant funds may be used for resident and community services, community development, and affordable housing needs, including housing vouchers (the renewal of which shall be funded solely from this account), in the community, and for conversion of vacant or foreclosed properties to affordable housing: Provided further, That grantees shall commit to an additional period of affordability determined by the Secretary, but not fewer than 20 years: Provided further, That grantees shall undertake comprehensive local planning with input from residents and the community, and that grantees shall provide a match in State, local, other Federal or private funds: Provided further, That grantees may include local governments, tribal entities, public housing authorities, and nonprofits: Provided further, That for-profit developers may apply jointly with a public entity: Provided further, That such grantees shall create partnerships with other local organizations including assisted housing owners, service agencies, and resident organizations: Provided further, That the Secretary shall consult with the Secretaries of Education, Labor, Transportation, Health and Human Services, Agriculture, and Commerce, the Attorney General and the Administrator of the Environmental Protection Agency to coordinate and leverage other appropriate Federal resources.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0349-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Choice Neighborhoods Grants .....	1	240	398
0900 Total new obligations (object class 41.0) .....	1	240	398

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	119	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	120	121	400
1120 Appropriations transferred to other accts [86-0402] .....	.....	.....	-2
1160 Appropriation, discretionary (total) .....	120	121	398
1930 Total budgetary resources available .....	120	240	398
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	119	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	1	233
3010 Obligations incurred, unexpired accounts .....	1	240	398
3020 Outlays (gross) .....	.....	-8	-36
3050 Unpaid obligations, end of year .....	1	233	595
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	1	233
3200 Obligated balance, end of year .....	1	233	595
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	120	121	398
Outlays, gross:			
4011 Outlays from discretionary balances .....	.....	8	36
4180 Budget authority, net (total) .....	120	121	398
4190 Outlays, net (total) .....	.....	8	36

The Budget proposes \$400 million for Choice Neighborhoods to continue the transformation of neighborhoods of poverty into sustainable, mixed-income neighborhoods with well-functioning services, schools, public assets, transportation, and access to jobs. The goal of the program is to transform distressed neighborhoods and improve the quality of life of current and future residents by coordinating and concentrating neighborhood investments from multiple sources. To date, the Department has awarded 9 implementation grants in the amount of \$231 million and 47 planning grants in the amount of \$12.6 million. The Budget will fund an additional 10 implementation grants and 20 planning grants.

Choice Neighborhoods builds on the successes of Public Housing transformation under HOPE VI with a broader approach to concentrated poverty. In addition to preserving, rehabilitating, and transforming distressed Public Housing and other HUD-assisted rental properties, Choice Neighborhoods implementation grants support key social service investments as well as a range of community and economic development activities. A strong emphasis is placed on improving outcomes for youth by leveraging evidence-based school reforms and early education and after school programs. Grantees, which include public housing authorities, local governments, non-profits and for-profit developers, are required to undertake comprehensive local planning with input from residents and community stakeholders.

Choice Neighborhoods is also a central component of the Administration's new Promise Zones—high-poverty communities where the Federal government will work with local leadership to invest and engage more intensely to create jobs, leverage private investment, increase economic activity, reduce violence and expand educational opportunities. The Budget includes companion investments of \$200 million and \$10 million, respectively, in HUD's Neighborhood Stabilization and Rental Assistance Demonstration programs, \$300 million in the Department of Education's Promise Neighborhoods program, and \$35 million in the Department of Justice's Byrne Criminal Justice Innovation Grants program, as well as tax incentives to promote investment and economic growth.

## REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING (HOPE VI)

## Program and Financing (in millions of dollars)

Identification code 86-0218-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 HOPE VI Grants and Technical Assistance .....	9	2	5
0900 Total new obligations (object class 41.0) .....	9	2	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	7	5
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	15	7	5
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	2		
1900 Budget authority (total) .....	2		
1930 Total budgetary resources available .....	17	7	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	7	5	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	670	546	418
3010 Obligations incurred, unexpired accounts .....	9	2	5
3020 Outlays (gross) .....	-131	-130	-130
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	546	418	293
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	670	546	418
3200 Obligated balance, end of year .....	546	418	293
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2		
Outlays, gross:			
4011 Outlays from discretionary balances .....	131	130	130
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2		
4190 Outlays, net (total) .....	129	130	130

The HOPE VI program, in coordination with funding from the Public Housing Capital Fund, has accomplished its goal of contributing to the demolition of 100,000 severely distressed Public Housing units. The Budget proposes no additional funds for this program. Instead, the Budget builds on the success of HOPE VI with the Choice Neighborhoods program, which makes a broad range of transformative investments in high-poverty neighborhoods where Public Housing and other HUD-assisted housing is concentrated.

Remaining balances of HOPE VI grants will spend out over several years as redevelopment projects are completed. Cumulative results of the HOPE VI program as of September 30, 2012 are as follows: 75,116 households relocated; 96,456 units demolished; 93,265 units (new and rehabilitated) completed; and 92,139 completed units occupied.

## FAMILY SELF-SUFFICIENCY

For the Family Self-Sufficiency program to support family self-sufficiency coordinators under section 23 of the United States Housing Act of 1937, to promote the development of local strategies to coordinate the use of assistance under sections 8(o) and 9 of such Act with public and private resources, and enable eligible families to achieve economic independence and self-sufficiency, \$75,000,000: Provided, That the Secretary may, by Federal Register notice, waive or specify alternative requirements (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment) for any provision of section 23 of such Act in

order to better fulfill the purposes of section 23 of such Act, as determined by the Secretary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 86-0350-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Family Self-Sufficiency .....			75
0900 Total new obligations (object class 41.0) .....			75
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			75
1160 Appropriation, discretionary (total) .....			75
1930 Total budgetary resources available .....			75
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			75
3050 Unpaid obligations, end of year .....			75
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			75
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			75
4180 Budget authority, net (total) .....			75

The Budget requests \$75 million for a consolidated Family Self-Sufficiency (FSS) Program to help Housing Choice Voucher and Public Housing residents achieve self-sufficiency and economic independence. The FSS program is designed to provide service coordination through community partnerships that link assisted residents with employment assistance, job training, child care, transportation, financial literacy, and other supportive services. The funding will be allocated through one competition to eligible Public Housing Authorities (PHAs) to support service coordinators. Rather than operate two separate and independently administered FSS programs for Housing Choice Voucher and Public Housing families, the Budget proposes to consolidate and align the FSS program into one program to enable PHAs to more uniformly serve both programs' residents.

In addition to the FSS program consolidation, the Budget includes flexible authorities for PHAs to combine and use a portion of their funds from the Public Housing Operating and Capital Funds, and Tenant-Based Rental Assistance administrative fees towards additional service coordination that could complement the FSS program or provide residents with other supportive services that promote positive resident outcomes related to education, health, self-sufficiency and quality of life.

## NATIVE AMERICAN HOUSING BLOCK GRANTS

For the Native American Housing Block Grants program, as authorized under title I of the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4111 et seq.), \$650,000,000, to remain available until September 30, 2018: Provided, That, notwithstanding the Native American Housing Assistance and Self-Determination Act of 1996, to determine the amount of the allocation under title I of such Act for each Indian tribe, the Secretary shall apply the formula under section 302 of such Act with the need component based on single-race census data and with the need component based on multi-race census data, and the amount of the allocation for each Indian tribe shall be the greater of the two resulting allocation amounts: Provided further, That

of the amount provided under this heading, \$2,000,000 shall be made available for the cost of guaranteed notes and other obligations, as authorized by title VI of NAHASDA: Provided further, That such costs, including the costs of modifying such notes and other obligations, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: Provided further, That these funds are available to subsidize the total principal amount of any notes and other obligations, any part of which is to be guaranteed, not to exceed \$16,530,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 86–0313–0–1–604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Indian Housing Block Grants .....	682	685	640
0011 Technical Assistance .....	3	6	2
0015 National American Indian Housing Council .....	4	4	.....
0091 Direct program activities, subtotal .....	689	695	642
Credit program obligations:			
0702 Loan guarantee subsidy .....	2	5	5
0707 Reestimates of loan guarantee subsidy .....	.....	1	.....
0791 Direct program activities, subtotal .....	2	6	5
0900 Total new obligations (object class 41.0) .....	691	701	647
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	81	45	.....
1021 Recoveries of prior year unpaid obligations .....	4	.....	.....
1050 Unobligated balance (total) .....	85	45	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	650	654	650
1120 Appropriations transferred to other accts [86–0402] .....	.....	.....	–3
1160 Appropriation, discretionary (total) .....	650	654	647
Appropriations, mandatory:			
1200 Appropriation .....	.....	2	.....
1260 Appropriations, mandatory (total) .....	.....	2	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1	.....	.....
1900 Budget authority (total) .....	651	656	647
1930 Total budgetary resources available .....	736	701	647
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	45	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,172	1,104	1,155
3010 Obligations incurred, unexpired accounts .....	691	701	647
3020 Outlays (gross) .....	–752	–650	–673
3040 Recoveries of prior year unpaid obligations, unexpired .....	–4	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–3	.....	.....
3050 Unpaid obligations, end of year .....	1,104	1,155	1,129
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,172	1,104	1,155
3200 Obligated balance, end of year .....	1,104	1,155	1,129
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	651	654	647
Outlays, gross:			
4010 Outlays from new discretionary authority .....	192	193	191
4011 Outlays from discretionary balances .....	560	457	482
4020 Outlays, gross (total) .....	752	650	673
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	–1	.....	.....
Mandatory:			
4090 Budget authority, gross .....	.....	2	.....
4180 Budget authority, net (total) .....	650	656	647
4190 Outlays, net (total) .....	751	650	673

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 86–0313–0–1–604	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Title VI Indian Federal Guarantees Program .....	20	45	45
215999 Total loan guarantee levels .....	20	45	45
Guaranteed loan subsidy (in percent):			
232001 Title VI Indian Federal Guarantees Program .....	10.80	10.91	12.10
232999 Weighted average subsidy rate .....	10.80	10.91	12.10
Guaranteed loan subsidy budget authority:			
233001 Title VI Indian Federal Guarantees Program .....	2	5	5
233999 Total subsidy budget authority .....	2	5	5
Guaranteed loan subsidy outlays:			
234001 Title VI Indian Federal Guarantees Program .....	2	2	2
234999 Total subsidy outlays .....	2	2	2
Guaranteed loan upward reestimates:			
235001 Title VI Indian Federal Guarantees Program .....	.....	2	.....
235999 Total upward reestimate budget authority .....	.....	2	.....
Guaranteed loan downward reestimates:			
237001 Title VI Indian Federal Guarantees Program .....	–3	–3	.....
237999 Total downward reestimate subsidy budget authority .....	–3	–3	.....

Title I of the Native American Housing Assistance and Self-Determination Act (NAHASDA) of 1996 (P.L. 104–330) authorized the Native American Housing Block Grant program. This program provides an allocation of funds on a formula basis to Indian tribes and their tribally designated housing entities to help them address housing needs within their communities. HUD estimated that in 2011, out of a population of 1.5 million American Indians and Alaska Natives in block grant formula areas, over 100,000 households were either overcrowded or lacked adequate plumbing or kitchen facilities.

The Budget includes \$650 million for the total activities of this program in 2014, including \$2 million for the Title VI loan guarantee program, which will guarantee \$16.5 million in loans to tribes. A primary goal of the Title VI program is to encourage private lenders to provide financing in Indian Country. The program provides for the Federal guarantee of notes or other obligations issued by Indian tribes or tribally designated housing entities for the purpose of financing affordable housing activities described in section 202 of the Act.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1998 and beyond (including modifications of guarantees that resulted from obligations in any given year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**NATIVE HAWAIIAN HOUSING BLOCK GRANT**

*For the Native Hawaiian Housing Block Grant program, as authorized under title VIII of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4111 et seq.), \$13,000,000, to remain available until September 30, 2018: Provided, That of this amount, \$300,000 shall be for training and technical assistance activities, including up to \$100,000 for related travel by Hawaii-based employees of the Department of Housing and Urban Development.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

NATIVE HAWAIIAN HOUSING BLOCK GRANT—Continued  
Program and Financing (in millions of dollars)

Identification code 86-0235-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Native Hawaiian Housing Block Grant .....	13	14	13
0900 Total new obligations (object class 41.0) .....	13	14	13
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
1160 Appropriation, discretionary (total) .....	13	13	13
1930 Total budgetary resources available .....	14	14	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	53	54
3010 Obligations incurred, unexpired accounts .....	13	14	13
3020 Outlays (gross) .....	-3	-13	-16
3050 Unpaid obligations, end of year .....	53	54	51
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	43	53	54
3200 Obligated balance, end of year .....	53	54	51
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1	1
4011 Outlays from discretionary balances .....	3	12	15
4020 Outlays, gross (total) .....	3	13	16
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	3	13	16

The Hawaiian Homelands Homeownership Act of 2000 (P.L. 106-568) amended the Native American Housing Assistance and Self-Determination Act of 1996 by adding Title VIII, which authorized the Native Hawaiian Housing Block Grant program. This program provides funds to assist and promote affordable housing activities to develop, maintain and operate affordable housing for eligible low-income Native Hawaiian families.

It authorizes annual grants to the Department of Hawaiian Home Lands (DHHL) for housing and housing-related assistance, pursuant to an annual housing plan, within the area in which DHHL is authorized to provide that assistance. DHHL uses performance measures and benchmarks that are based on the needs and priorities established in its five- and one-year housing plans. The Budget requests \$13 million for this program.

INDIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

For the cost of guaranteed loans, as authorized by section 184 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z), \$6,000,000, to remain available until expended: Provided, That such costs, including the costs of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, up to \$1,818,000,000, to remain available until expended: Provided further, That up to \$750,000 of this amount may be used for administrative contract expenses including management processes and systems to carry out the loan guarantee program.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0223-0-1-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....	11	5	5
0707 Reestimates of loan guarantee subsidy .....	14	3	.....
0708 Interest on reestimates of loan guarantee subsidy .....	6	5	.....
0709 Administrative expenses .....	.....	.....	1
0900 Total new obligations .....	31	13	6
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	3	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	6
1160 Appropriation, discretionary (total) .....	6	6	6
Appropriations, mandatory:			
1200 Appropriation .....	20	6	.....
1260 Appropriations, mandatory (total) .....	20	6	.....
1900 Budget authority (total) .....	26	12	6
1930 Total budgetary resources available .....	34	15	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	4	5
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	-1	.....	.....
3010 Obligations incurred, unexpired accounts .....	31	13	6
3020 Outlays (gross) .....	-28	-12	-6
3050 Unpaid obligations, end of year .....	4	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	4	5
3200 Obligated balance, end of year .....	4	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	5	5
4011 Outlays from discretionary balances .....	8	7	1
4020 Outlays, gross (total) .....	8	12	6
Mandatory:			
4090 Budget authority, gross .....	20	6	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	20	.....	.....
4180 Budget authority, net (total) .....	26	12	6
4190 Outlays, net (total) .....	28	12	6

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0223-0-1-371	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Indian Housing Loan Guarantee .....	792	368	1,818
215999 Total loan guarantee levels .....	792	368	1,818
Guaranteed loan subsidy (in percent):			
232001 Indian Housing Loan Guarantee .....	1.46	1.35	0.33
232999 Weighted average subsidy rate .....	1.46	1.35	0.33
Guaranteed loan subsidy budget authority:			
233001 Indian Housing Loan Guarantee .....	12	5	6
233999 Total subsidy budget authority .....	12	5	6
Guaranteed loan subsidy outlays:			
234001 Indian Housing Loan Guarantee .....	7	5	4
234999 Total subsidy outlays .....	7	5	4
Guaranteed loan upward reestimates:			
235001 Indian Housing Loan Guarantee .....	20	7	.....
235999 Total upward reestimate budget authority .....	20	7	.....
Guaranteed loan downward reestimates:			
237001 Indian Housing Loan Guarantee .....	-1	-12	.....
237999 Total downward reestimate subsidy budget authority .....	-1	-12	.....

The Indian Housing Loan Guarantee program provides access to sources of private financing for Indian families, Indian tribes, and their tribally designated housing entities who otherwise could not acquire housing financing because of the unique legal status of Indian trust land. The Budget provides \$6 million to support additional loan guarantee activity and administrative systems support. In 2014, this program is projected to grow by 25 percent, representing almost 6,300 loans to American Indian borrowers. To support this increase in demand, the Budget proposes giving HUD increased flexibility to raise fees within this program to ensure the necessary resources are available. For 2014, HUD proposes using this authority to raise the upfront fee 50 basis points to 1.5 percent, allowing it to subsidize up to \$1.82 billion in Indian housing loans. The program has issued 18,949 loan guarantees totaling \$2.99 billion since 1995, with 52 percent of the activity occurring in 2010, 2011 and 2012. Even through the national foreclosure crisis, the program has maintained a claims rate of less than one and a half percent.

HUD will also submit a legislative proposal in the spring of 2013 to authorize qualifying lenders delegation of authority to participate in a direct guarantee process for underwriting loans. This will allow the Section 184 program to operate more efficiently and provide capital to more potential homebuyers.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond (including modifications of guarantees that resulted from obligations in any year). The subsidy amounts are estimated on a net present value basis. The administrative expenses are shown on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 86-0223-0-1-371	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	1	2	1
41.0 Grants, subsidies, and contributions .....	30	11	5
99.9 Total new obligations .....	31	13	6

**INDIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 86-4104-0-3-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0711 Default claim payments on principal .....	15	5	7
0713 Payment of interest to Treasury .....	2	2	2
0742 Downward reestimate paid to receipt account .....	1	12	
0900 Total new obligations .....	18	19	9
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	54	82	81
<b>Financing authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	44	18	19
1801 Change in uncollected payments, Federal sources .....	2		
1850 Spending auth from offsetting collections, mand (total) .....	46	18	19
1900 Financing authority (total) .....	46	18	19
1930 Total budgetary resources available .....	100	100	100
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	82	81	91
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		19
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	-2		
3010 Obligations incurred, unexpired accounts .....	18	19	9

3020	Financing disbursements (gross) .....	-17		
3050	Unpaid obligations, end of year .....		19	28
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	-2	-3	16
3200	Obligated balance, end of year .....	-3	16	25
<b>Financing authority and disbursements, net:</b>				
<b>Mandatory:</b>				
4090	Financing authority, gross .....	46	18	19
<b>Financing disbursements:</b>				
4110	Financing disbursements, gross .....	17		
<b>Offsets against gross financing authority and disbursements:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Federal sources: Payments from program account .....	-28	-12	-4
4122	Interest on uninvested funds .....	-3	-2	-3
4123	Non-Federal sources .....	-13	-4	-12
4130	Offsets against gross financing auth and disbursements (total) .....	-44	-18	-19
<b>Additional offsets against financing authority only (total):</b>				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-2		
4170	Financing disbursements, net (mandatory) .....	-27	-18	-19
4190	Financing disbursements, net (total) .....	-27	-18	-19

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 86-4104-0-3-604	2012 actual	2013 CR	2014 est.	
<b>Position with respect to appropriations act limitation on commitments:</b>				
2111	Limitation on guaranteed loans made by private lenders .....	360	360	1,818
2121	Limitation available from carry-forward .....	440	8	
2143	Uncommitted limitation carried forward .....	-8		
2150	Total guaranteed loan commitments .....	792	368	1,818
2199	Guaranteed amount of guaranteed loan commitments .....	792	368	1,818
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	2,189	2,841	3,671
2231	Disbursements of new guaranteed loans .....	671	850	1,050
2251	Repayments and prepayments .....	-4	-4	-4
2263	Adjustments: Terminations for default that result in claim payments .....	-15	-16	-16
2290	Outstanding, end of year .....	2,841	3,671	4,701
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	2,841	3,671	4,701

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

**Balance Sheet** (in millions of dollars)

Identification code 86-4104-0-3-604	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	34	67
1504	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Foreclosed property .....		19
1999	Total assets .....	34	86
<b>LIABILITIES:</b>			
<b>Federal liabilities: Debt Payable to Treasury</b> .....			
2103		10	20
<b>Non-Federal liabilities:</b>			
2204	Liabilities for loan guarantees .....	4	48
2207	Unearned revenues and advances .....	20	18
2999	Total liabilities .....	34	86

INDIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 86-4104-0-3-604	2011 actual	2012 actual
4999 Total liabilities and net position .....	34	86

## NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 86-0233-0-1-371	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	-3	1	1
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	4		
3020 Outlays (gross) .....			-1
3050 Unpaid obligations, end of year .....	1	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....			1
4190 Outlays, net (total) .....			1

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0233-0-1-371	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Native Hawaiian Housing Loan Guarantees .....	4	14	38
215999 Total loan guarantee levels .....	4	14	38
Guaranteed loan subsidy (in percent):			
232001 Native Hawaiian Housing Loan Guarantees .....	0.93	0.50	0.53
232999 Weighted average subsidy rate .....	0.93	0.50	0.53
Guaranteed loan subsidy budget authority:			
233001 Native Hawaiian Housing Loan Guarantees .....		1	1
233999 Total subsidy budget authority .....		1	1
Guaranteed loan downward reestimates:			
237001 Native Hawaiian Housing Loan Guarantees .....		-1	
237999 Total downward reestimate subsidy budget authority .....		-1	

The Native Hawaiian Housing Loan Guarantee program provides access to private financing to eligible Native Hawaiian families who reside on the Hawaiian Home Lands and who otherwise could not acquire private financing because of the unique legal status of the Hawaiian Home Lands. Because the program has sufficient carryover funds, the 2014 Budget does not provide any new credit subsidy budget authority.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 2001 and beyond (including modifications of guarantees that resulted from obligations in any

year). The subsidy amounts are estimated on a net present value basis. The administrative expenses are shown on a cash basis.

## NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 86-4351-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimate paid to receipt account .....		1	
0900 Total new obligations .....		1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	2	1
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-6		
1050 Unobligated balance (total) .....	2	2	1
1930 Total budgetary resources available .....	2	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....		1	
3050 Unpaid obligations, end of year .....		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1

## Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4351-0-3-371	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....	42	42	38
2121 Limitation available from carry-forward .....	545	583	611
2143 Uncommitted limitation carried forward .....	-583	-611	-611
2150 Total guaranteed loan commitments .....	4	14	38
2199 Guaranteed amount of guaranteed loan commitments .....	4	14	38
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	119	124	150
2231 Disbursements of new guaranteed loans .....	5	30	30
2251 Repayments and prepayments .....		-4	-4
2290 Outstanding, end of year .....	124	150	176
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	69	28	28

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the government resulting from the loan guarantees committed in 2001 and beyond (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

## Balance Sheet (in millions of dollars)

Identification code 86-4351-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1	1
1999 Total assets .....	1	1
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	1	1

4999	Total liabilities and net position .....	1	1
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**TITLE VI INDIAN FEDERAL GUARANTEES FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 86-4244-0-3-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711	Default claim payments on principal .....	1	1
0712	Default claim payments on interest .....	1	1
0742	Downward reestimate paid to receipt account .....	2	3
0743	Interest on downward reestimates .....	1	1
0900	Total new obligations .....	3	6
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	10	12
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	4	4
1801	Change in uncollected payments, Federal sources .....	1	
1850	Spending auth from offsetting collections, mand (total) .....	5	4
1930	Total budgetary resources available .....	15	16
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	12	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		3
3010	Obligations incurred, unexpired accounts .....	3	6
3020	Financing disbursements (gross) .....	-3	-3
3050	Unpaid obligations, end of year .....		3
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		-1
3200	Obligated balance, end of year .....	-1	2
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	5	4
Financing disbursements:			
4110	Financing disbursements, gross .....	3	3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-3	-3
4122	Interest on uninvested funds .....	-1	-1
4130	Offsets against gross financing auth and disbursements (total) .....	-4	-4
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-1	
4170	Financing disbursements, net (mandatory) .....	-1	-1
4190	Financing disbursements, net (total) .....	-1	-1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 86-4244-0-3-604	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111	Limitation on guaranteed loans made by private lenders .....	20	20
2121	Limitation available from carry-forward .....	52	52
2143	Uncommitted limitation carried forward .....	-52	-27
2150	Total guaranteed loan commitments .....	20	45
2199	Guaranteed amount of guaranteed loan commitments .....	20	20
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	122	135
2231	Disbursements of new guaranteed loans .....	20	20
2251	Repayments and prepayments .....	-5	-5
2263	Adjustments: Terminations for default that result in claim payments .....	-2	-2

2290	Outstanding, end of year .....	135	148	159
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Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	135	146	146
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

**Balance Sheet** (in millions of dollars)

Identification code 86-4244-0-3-604	2011 actual	2012 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	11
1999	Total assets .....	11
<b>LIABILITIES:</b>		
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	11
4999	Total liabilities and net position .....	11

**COMMUNITY PLANNING AND DEVELOPMENT**

*Federal Funds*

COMMUNITY PLANNING AND DEVELOPMENT

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

*For carrying out the Housing Opportunities for Persons with AIDS program, as authorized by the AIDS Housing Opportunity Act (42 U.S.C. 12901 et seq.), \$332,000,000, to remain available until September 30, 2015, except that amounts allocated pursuant to section 854(c)(3) of such Act shall remain available until September 30, 2016: Provided, That the Secretary shall renew all expiring contracts for permanent supportive housing that were funded under section 854(c)(3) of such Act that meet all program requirements before awarding funds for new contracts and activities authorized under this section.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 86-0308-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	HOPWA Formula Grants .....	319	301
0002	HOPWA Competitive Grants .....	33	34
0900	Total new obligations (object class 41.0) .....	352	335
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	90	70
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	332	334
1120	Appropriations transferred to other accts [86-0308] .....	-33	-33
1120	Appropriations transferred to other accts [86-0402] .....		-2
1121	Appropriations transferred from other accts [86-0308] .....	33	33
1160	Appropriation, discretionary (total) .....	332	334
1930	Total budgetary resources available .....	422	404
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	70	69
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	468	485
3010	Obligations incurred, unexpired accounts .....	352	335

COMMUNITY PLANNING AND DEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 86-0308-0-1-604		2012 actual	2013 CR	2014 est.
3020	Outlays (gross) .....	-334	-325	-316
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	485	495	509
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	468	485	495
3200	Obligated balance, end of year .....	485	495	509
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	332	334	330
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	3	3
4011	Outlays from discretionary balances .....	332	322	313
4020	Outlays, gross (total) .....	334	325	316
4180	Budget authority, net (total) .....	332	334	330
4190	Outlays, net (total) .....	334	325	316

The 2014 Budget provides \$332 million for the Housing Opportunities for Persons with AIDS (HOPWA) program, the only Federal program dedicated to address the urgent housing needs of low-income Americans living with HIV and AIDS. HOPWA funding provides States and localities with resources and incentives to devise long-term comprehensive strategies for planning and providing housing and supportive services to meet the complex, multiple needs of persons living with HIV and AIDS and their families. HOPWA funds have been demonstrated to help reduce the risk of homelessness, increase housing stability, and improve access to HIV care and health outcomes for program participants.

Ninety percent of HOPWA funds are distributed to States and eligible metropolitan areas according to a formula, which is based on the number of AIDS cases in the jurisdiction. The remaining ten percent are awarded competitively to States, local governments, and private nonprofit entities for projects of national significance and for projects in non-formula areas. However, the HOPWA formula does not reflect the current nature and distribution of the epidemic. To modernize the program, the Administration is proposing an updated formula based on living cases of HIV and adjusted for an area's fair market rent and poverty rates, focusing HOPWA funds on areas that have the most need. The proposal also includes several changes that will allow better targeting of HOPWA resources and more flexibility for grantees to provide the most cost-effective, timely interventions. These changes, which will be proposed in separate legislation, will improve the nation's response to the specialized housing needs of HIV/AIDS patients and will further the Administration's National HIV/AIDS Strategy.

In addition, the Department will seek to develop a strategy to effectively integrate HOPWA housing activities with homeless assistance and prevention programs under the Homeless Assistance Grants program while integrating HOPWA in Continuum of Care coordinated planning, centralized intake and assessment, and Homeless Management Information Systems. The integration of HOPWA resources with other homelessness prevention interventions will facilitate more collaborative local planning, better deploy limited resources to achieve the greatest impacts, and help synchronize program tools to reduce administrative burdens to give priority to ending homelessness for a greater number of persons who are homeless and who are also living with HIV/AIDS.

COMMUNITY DEVELOPMENT FUND

For assistance to units of State and local government, and to other entities, for economic and community development activities, and for other purposes, \$3,143,100,000, to remain available until September 30, 2016, unless otherwise specified: Provided, That of the total amount provided, \$2,798,100,000 is for carrying out the community development block grant program under title I of the Housing and Community Development Act of 1974, as amended (the "Act" herein) (42 U.S.C. 5301 et seq.): Provided further, That unless explicitly provided for under this heading, not to exceed 20 percent of any grant made with funds appropriated under this heading shall be expended for planning and management development and administration: Provided further, That \$70,000,000 shall be for grants to Indian tribes notwithstanding section 106(a)(1) of such Act, of which, notwithstanding any other provision of law (including section 204 of this Act), up to \$3,960,000 may be used for emergencies that constitute imminent threats to health and safety, and up to \$10,000,000 may be used for mold remediation and prevention.

Of the amounts made available under this heading, \$200,000,000 shall be for the redevelopment of abandoned and foreclosed property as authorized under division B, title III of the Housing and Economic Recovery Act of 2008 (42 U.S.C. 5301 note): Provided, That the Act shall govern the use of such assistance except as otherwise provided in this paragraph: Provided further, That the Secretary shall competitively award such assistance to States and units of general local government: Provided further, That for the purposes of such assistance, including the previous proviso, the term "State" at section 102(a) of the Act shall be construed as including State housing finance agencies: Provided further, That the Secretary shall by notice published in the Federal Register establish criteria for awarding such assistance, including the extent of need, the demonstrated capacity of the applicant to execute projects, concentration of investment, the ability to leverage other resources (which may include loans guaranteed under section 108 of the Act [42 U.S.C. 5308]), and such other factors as the Secretary determines to be appropriate: Provided further, That the Secretary shall establish a minimum grant size for awards: Provided further, That loans guaranteed under section 108 of the Act [42 U.S.C. 5308] and used in conjunction with such assistance shall not be subject to subsection 108(b): Provided further, That the Secretary may use a portion of such assistance for grants under subsection 108(q): Provided further, That the Secretary shall make establishment and operation of land banks, demolition, and new housing construction eligible for assistance under this paragraph: Provided further, That grantees receiving such assistance under this paragraph may also use funds provided under Title I of the Act for the purposes specified in the previous proviso, upon approval of the Secretary.

Of the amounts made available under this heading, \$75,000,000 shall be made available for Integrated Planning and Investment Grants to support local and regional public investment plans and implementation efforts that align public and private investments in development and infrastructure to better attract businesses and improve quality of life, modernize zoning and building codes, reduce barriers to achieve affordable and economically vital communities, attract private capital to community revitalization efforts, and sponsor community engagement efforts: Provided, That the Secretary will consult with the Secretary of Transportation and the heads of other relevant agencies in evaluating grant proposals awarded under this paragraph.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 86-0162-0-1-451		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Community Development Formula Grants .....	3,188	3,750	2,784
0003	Indian Tribes .....	57	61	70
0004	Administration, Operations and Management .....		1	1
0007	Economic Development Initiative Grants .....	6		
0008	Neighborhood Initiative Demonstration .....	2		
0010	Disaster Assistance .....	359	6,381	9,842
0013	Integrated Planning and Investment Strategies Grants .....	100		75

0014	Rural Fund .....	2		
0015	Neighborhood Stabilization Initiative .....			199
0900	Total new obligations (object class 41.0) .....	3,714	10,193	12,971
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,039	733	9,848
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	1,040	733	9,848
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3,407	3,328	3,143
1100	Appropriation .....		16,000	
1120	Appropriations transferred to other accts [86-0338] .....		-10	
1120	Appropriations transferred to other accts [86-0189] .....		-10	
1120	Appropriations transferred to other accts [86-0402] .....			-15
1160	Appropriation, discretionary (total) .....	3,407	19,308	3,128
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1		
1750	Spending auth from offsetting collections, disc (total) .....	1		
1900	Budget authority (total) .....	3,408	19,308	3,128
1930	Total budgetary resources available .....	4,448	20,041	12,976
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	733	9,848	5
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	18,136	15,036	18,827
3010	Obligations incurred, unexpired accounts .....	3,714	10,193	12,971
3020	Outlays (gross) .....	-6,795	-6,402	-10,066
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-18		
3050	Unpaid obligations, end of year .....	15,036	18,827	21,732
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	18,136	15,036	18,827
3200	Obligated balance, end of year .....	15,036	18,827	21,732
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,408	19,308	3,128
Outlays, gross:				
4010	Outlays from new discretionary authority .....	18	193	31
4011	Outlays from discretionary balances .....	6,777	6,209	10,035
4020	Outlays, gross (total) .....	6,795	6,402	10,066
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
4180	Budget authority, net (total) .....	3,407	19,308	3,128
4190	Outlays, net (total) .....	6,794	6,402	10,066

The Community Development Fund account includes funding for the Community Development Block Grant (CDBG) program, Indian CDBG, Integrated Planning and Investment Strategies Grants, and a new competitive neighborhood stabilization grant program.

The Budget funds the CDBG formula grant program at \$2.8 billion to assist State and local governments in addressing local priorities and needs. The CDBG program provides over 1,200 flexible annual formula grants to States, local governments, and Insular Areas to benefit mainly low-to moderate-income persons. The funding is used for a wide range of community and economic development activities, such as public infrastructure improvements (approximately 33 percent of all CDBG funds), housing rehabilitation and construction (approximately 25 percent of funds), job creation and retention, and public services (e.g., child care). 70 percent of the CDBG formula grants are distributed to mainly urban areas (entitlement communities), and 30 percent is distributed to the States (non-entitlement communities).

The Administration recognizes that CDBG funds represent a significant expenditure that is not optimally targeted based on community need or used most effectively in many cases. The Budget includes several proposals to better target funds based on community need and ensure that communities receive grants

large enough to be more effective in advancing the goals of the program. The Budget proposes statutory changes to establish a minimum grant threshold and eliminate the community "grandfathering" provision. In addition, HUD will seek input from stakeholders over the coming months regarding further programmatic changes that would improve the targeting of formula funds and strengthen their accountability and performance.

The Budget also includes \$200 million for a new competitive set-aside grant program to provide funds to areas hit hardest by the foreclosure crisis to support specific activities that support neighborhood stabilization. This new initiative builds on the successes of the first three rounds of the Neighborhood Stabilization Program, and will target neighborhoods still feeling the effects of the foreclosure crisis, and allow them to manage foreclosures, put land back to effective uses, and begin to recover economically. Competitive grants will go to States, cities, and state housing financing agencies for a number of eligible uses, including purchasing and rehabilitating abandoned and foreclosed properties, establishing land banks, demolishing blighted structures, and redeveloping vacant or demolished property. Grantees will be encouraged to leverage these funds with Community Development Loan Guarantees and other Federal, State, and local funds to ensure that they have maximum impact.

The Budget requests \$75 million for Integrated Planning and Investment Grants, which is administered by HUD's Office of Economic Resilience. In partnership with the Department of Transportation (DOT) and the Environmental Protection Agency (EPA) and other Federal agencies, the Integrated Planning and Investment Grants aim to expand job opportunities and improve the quality of life for families by providing incentives to regions and communities to align planning efforts, invest public and private resources to attract businesses, modernize land use and building codes, attract private capital for community revitalization efforts, and sponsor robust community engagement efforts. This initiative complements DOT's funding to strengthen state and local infrastructure capacity, EPA's technical assistance, and efforts by other Federal agencies to advance economic development initiatives. Language is proposed to streamline HUD and DOT joint grant solicitations and implementation efforts to increase overall effectiveness and reduce burden on grantees.

The Budget increases the Indian Community Development program funding to \$70 million. This program provides eligible grantees with direct grants for use in developing viable Indian and Alaska Native Communities, including decent housing, a suitable living environment, and economic opportunities, primarily for low- and moderate-income persons. Within this account, \$10 million will be set aside to address mold issues in Indian housing.

This account reflects \$16 billion in CDBG funding appropriated by the Disaster Relief Appropriations Act, 2013 (Public Law 113-2). These funds are intended primarily to respond to the effects of Hurricane Sandy that impacted the Atlantic Coast in late October 2012, but will also be used to respond to other significant Presidentially-declared disasters that occurred in calendar years 2011, 2012, and 2013. Other amounts reflected in this account include prior year CDBG disaster supplemental funding, as well as funds provided by the 2009 American Recovery and Reinvestment Act (\$1 billion in CDBG formula grants and \$2 billion for Neighborhood Stabilization Program (NSP) II grants). The \$3.92 billion in NSP funding from the Housing and Economic Recovery Act of 2008 and the \$1 billion from the Dodd-Frank Wall Street

COMMUNITY DEVELOPMENT FUND—Continued

Financial Reform and Consumer Protection Act are mandatory appropriations and are reflected in a separate account.

EMPOWERMENT ZONES/ENTERPRISE COMMUNITIES/RENEWAL COMMUNITIES

Unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under this heading are hereby permanently cancelled.

No new appropriation is requested for the Empowerment Zone (EZ) and Renewal Community (RC) programs in the 2014 Budget. Rather, a rescission of approximately \$25,000 in carryover is proposed. The tax incentives for RCs expired on December 31, 2009, while EZ tax incentives have been extended to December 31, 2013.

BROWNFIELDS REDEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 86-0314-0-1-451	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	35	23
3020 Outlays (gross) .....	-16	-12	-12
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	35	23	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	52	35	23
3200 Obligated balance, end of year .....	35	23	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	16	12	12
4190 Outlays, net (total) .....	16	12	12

The 2014 Budget requests no funding for the Brownfields Economic Development Initiative (BEDI) program, which is a competitive grant program designed to assist cities with the redevelopment of brownfield sites for the purposes of economic development and job creation. Brownfields are abandoned, idled, and underused industrial and commercial facilities and land where expansion and redevelopment is burdened by real or potential environmental contamination. Local governments have access to other public and private funds, including Community Development Block Grant (CDBG) funds, which can serve similar purposes.

HOME INVESTMENT PARTNERSHIPS PROGRAM

For the HOME Investment Partnerships program, as authorized under title II of the Cranston-Gonzalez National Affordable Housing Act, as amended, \$950,000,000, to remain available until September 30, 2016: Provided, That of the amounts made available under this heading, up to \$10,000,000 shall be made available for the Self-Help and Assisted Homeownership Opportunity Program (SHOP), as authorized by Section 11 of the Housing Opportunity Program Extension Act of 1996, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0205-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 HOME Investment Program .....	1,206	1,027	944
0002 Technical Assistance .....	2		
0003 SHOP .....			10
0900 Total new obligations (object class 41.0) .....	1,208	1,027	954
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	355	152	131
1021 Recoveries of prior year unpaid obligations .....	8		
1050 Unobligated balance (total) .....	363	152	131
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,000	1,006	950
1120 Appropriations transferred to other accts [86-0402] .....			-5
1160 Appropriation, discretionary (total) .....	1,000	1,006	945
1930 Total budgetary resources available .....	1,363	1,158	1,076
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	152	131	122
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,932	4,340	3,743
3010 Obligations incurred, unexpired accounts .....	1,208	1,027	954
3020 Outlays (gross) .....	-1,781	-1,624	-1,392
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8		
3041 Recoveries of prior year unpaid obligations, expired .....	-11		
3050 Unpaid obligations, end of year .....	4,340	3,743	3,305
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,932	4,340	3,743
3200 Obligated balance, end of year .....	4,340	3,743	3,305
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,000	1,006	945
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	10	9
4011 Outlays from discretionary balances .....	1,776	1,614	1,383
4020 Outlays, gross (total) .....	1,781	1,624	1,392
4180 Budget authority, net (total) .....	1,000	1,006	945
4190 Outlays, net (total) .....	1,781	1,624	1,392

The HOME Investment Partnerships Program is authorized by the National Affordable Housing Act (P.L. 101-625), as amended. This program provides flexible annual formula grant assistance to States and units of local government to increase the supply of affordable housing and expand homeownership for low- to very-low income persons. Sixty percent of the formula grant funds is awarded to participating local governments and 40 percent is awarded to states. These communities often use the funds in partnership with local non-profit organizations to fund a wide range of activities that build, buy, and/or rehabilitate affordable housing for rent or homeownership, or to a much lesser extent, provide direct rental assistance to low-income people. Projects funded by HOME often leverage private dollars and are used in conjunction with the Low-Income Housing Tax Credit (LIHTC), Community Development Block Grant, and local funds. For example, 53 percent of almost 150,000 completed HOME assisted rental units were part of awarded LIHTC projects from 2007-2011.

The Budget requests \$950 million for HOME. Over time, this funding is estimated to result in the production of almost 39,500 units of affordable housing through new construction, rehabilitation, and/or acquisition. It is also estimated that communities will use a portion of their funding to support tenant-based rental assistance for about 9,500 units.

The 2014 Budget also proposes statutory changes that would allow recaptured Community Housing Development Organization

funds to be reallocated by formula; establish a single qualification threshold of \$500,000 irrespective of the appropriation amount; revise the current "grandfathering" provision so that participating jurisdictions that fall below the threshold three out of a five year period are ineligible for direct formula funds; and facilitate eviction of HOME rental unit tenants who pose an imminent threat. When implemented, these changes will improve the targeting focus and effectiveness of the overall administration of the program.

In addition to funding HOME, up to \$10 million will be eligible to specifically fund the Self-Help and Assisted Homeownership Opportunity Program (SHOP). SHOP is a competitive grant program that provides funds to increase the ability of non-profit organizations to assist low-income homebuyers willing to contribute "sweat equity" toward the construction of their homes. Communities can further leverage SHOP grants by using other sources of funding including HOME funds, which can also be used for sweat equity projects. The 2014 Budget also proposes statutory changes that would improve the administration of the SHOP program. These include allowing HUD to develop program regulations over five pages long, establishing a standard grant term of 36 months, establishing a deadline for completion of SHOP units, and explicitly naming planning, administrative, and management costs as eligible activities.

**HOUSING TRUST FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 86-5553-4-2-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			1,000
0900 Total new obligations (object class 41.0) .....			1,000
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			1,000
1260 Appropriations, mandatory (total) .....			1,000
1930 Total budgetary resources available .....			1,000
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1,000
3020 Outlays (gross) .....			-10
3050 Unpaid obligations, end of year .....			990
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			990
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1,000
Outlays, gross:			
4100 Outlays from new mandatory authority .....			10
4180 Budget authority, net (total) .....			1,000
4190 Outlays, net (total) .....			10

The Housing Trust Fund was originally authorized in the Housing and Economic Recovery Act of 2008 (Pub. L. 110-289) under section 1338 of the Federal Housing Enterprises Financial Safety and Soundness Act of 1992 (U.S.C. 1301 et. seq.) with a dedicated funding stream from assessments on Fannie Mae and Freddie Mac. However, the Federal Housing Finance Agency, the regulator for Fannie Mae and Freddie Mac, has indefinitely suspended these assessments.

The Budget proposes a \$1 billion mandatory appropriations to capitalize the Housing Trust Fund. The purpose of the Housing

Trust Fund is to provide grants to States to increase and preserve the supply of affordable rental housing and homeownership opportunities for extremely low- and very low-income families, and help address the growing shortage of affordable housing for these families. This program is similar to HOME, but is more income-targeted. The funding will be distributed by formula to States or State-designated entities that will target resources to areas with substantial affordable housing needs. The funding will be used primarily for construction, preservation, and rehabilitation of affordable rental housing, with up to ten percent of the funding for similar eligible activities that support homeownership. Of the total amounts made available, not less than 75 percent shall be used to benefit extremely low-income households, for whom the shortage of affordable housing is most acute. Over time, the funding provided for the Housing Trust Fund in 2014 is expected to produce approximately 16,000 affordable units using a mix of funding sources, including other public funds, tax credits, and private debt.

**CAPACITY BUILDING**

*Of the amounts made available under this heading, \$20,000,000 shall be made available for the second, third, and fourth capacity building activities authorized under section 4(a) of the HUD Demonstration Act of 1993 (42 U.S.C. 9816 note), of which not less than \$5,000,000 may be made available for rural capacity building activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 86-0405-0-1-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Capacity Building .....			20
0900 Total new obligations (object class 41.0) .....			20
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			20
1160 Appropriation, discretionary (total) .....			20
1930 Total budgetary resources available .....			20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			20
3050 Unpaid obligations, end of year .....			20
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			20
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			20
4180 Budget authority, net (total) .....			20

The 2014 Budget provides \$20 million for the Capacity Building for Community Development and Affordable Housing program, which is authorized by Section 4 of the HUD Demonstration Act of 1993. The Capacity Building program provides grants to national intermediaries to develop, enhance, and strengthen the technical and administrative capabilities of community development corporations to carry out community development and affordable housing activities for low- and moderate-income persons that support and address local needs and priorities. This program

CAPACITY BUILDING—Continued

was previously funded as a part of the Self-Help and Assisted Homeownership Opportunity Program account.

SELF-HELP AND ASSISTED HOMEOWNERSHIP OPPORTUNITY PROGRAM

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86–0176–0–1–604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Self Help Housing Opportunity Program	48	14	
0002 Capacity Building	27	35	
0003 Rural Capacity Building		10	
0900 Total new obligations (object class 41.0)	75	59	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	27	6	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	54	54	
1160 Appropriation, discretionary (total)	54	54	
1930 Total budgetary resources available	81	60	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	187	199	176
3010 Obligations incurred, unexpired accounts	75	59	
3020 Outlays (gross)	–63	–82	–66
3050 Unpaid obligations, end of year	199	176	110
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	187	199	176
3200 Obligated balance, end of year	199	176	110
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	54	54	
Outlays, gross:			
4011 Outlays from discretionary balances	63	82	66
4180 Budget authority, net (total)	54	54	
4190 Outlays, net (total)	63	82	66

The 2014 Budget requests no separate funding for the Self-Help and Assisted Homeownership Opportunity Program (SHOP) account. Instead, SHOP is included as part of the request for the HOME Investment Partnerships Program. Activities under the Capacity Building for Community Development and Affordable Housing Program are requested separately under the Capacity Building account.

NEIGHBORHOOD STABILIZATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 86–0344–0–1–451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Abandoned and Foreclosed	1		
0002 Technical Assistance	20		
0003 Disaster Assistance		19	
0900 Total new obligations (object class 41.0)	21	19	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	31	19	
1010 Unobligated balance transfer to other accts [86–0338]	–3		

1010 Unobligated balance transfer to other accts [86–4586]	–5		
1010 Unobligated balance transfer to other accts [86–0335]	–2		
1021 Recoveries of prior year unpaid obligations	19		
1050 Unobligated balance (total)	40	19	
1930 Total budgetary resources available	40	19	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,091	1,416	405
3010 Obligations incurred, unexpired accounts	21	19	
3020 Outlays (gross)	–677	–1,030	–329
3040 Recoveries of prior year unpaid obligations, unexpired	–19		
3050 Unpaid obligations, end of year	1,416	405	76
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,091	1,416	405
3200 Obligated balance, end of year	1,416	405	76

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	677	1,030	329
4190 Outlays, net (total)	677	1,030	329

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Outlays	677	1,030	329
Legislative proposal, subject to PAYGO:			
Budget Authority		15,000	
Outlays			50
Total:			
Budget Authority		15,000	
Outlays	677	1,030	379

The Neighborhood Stabilization Program (NSP) was authorized by the Housing and Economic Recovery Act of 2008 (HERA) and funded at \$3.92 billion. In response to the foreclosure crisis, HERA directed HUD to develop a formula to distribute the funds to State and local governments with the greatest need. To determine the areas with the greatest need, the allocation formula had to be based on home foreclosures, subprime loans, and mortgage defaults or delinquencies. Grantees may use NSP funds for a number of eligible activities, including establishing financing mechanisms; purchasing and rehabilitating abandoned or foreclosed properties; establishing land banks; demolishing blighted structures; and redeveloping vacant or demolished property. NSP grantees must use at least 25 percent of the funds appropriated for the purchase and redevelopment of abandoned or foreclosed residential properties that will be used to house individuals or families whose incomes do not exceed 50 percent of the area median income. In addition, all activities funded by NSP must benefit low- and moderate-income persons whose income does not exceed 120 percent of area median income.

In September 2008, HUD announced direct NSP allocations to 309 jurisdictions, including all 50 states, Puerto Rico and the Insular Areas. Pursuant to HERA, grantees had 18 months from the date funds were made available to obligate the funds. As of February 2013, grantees had expended more than 95 percent of this first round of NSP funding (NSP1).

The American Recovery and Reinvestment Act of 2009 (ARRA) made several changes to the NSP program as enacted by HERA and appropriated an additional \$2 billion in funding for the NSP program. The ARRA funding for the second round of NSP funding (NSP2) is reflected within the Community Development Fund account. In January 2010, HUD announced 56 awards under the NSP2 program and all funds were obligated on February 11, 2010. NSP2 grantees had until February 11, 2013, to expend their funds. All but four grantees met the February deadline and

HUD is imposing corrective actions and sanctions to resolve expenditure issues surrounding these grants. As of February 2013, grantees had collectively expended more than 100 percent of NSP2 funding, including program income.

The Dodd-Frank Financial Reform and Consumer Protection Act of 2010 (Dodd-Frank Act) appropriated an additional \$1 billion for a third iteration of NSP (NSP3) in July 2010. The Department announced a formula allocation of these funds to 283 entities consisting of State and local governments in September 2010. Grantees submitted their plans for using the NSP3 funds by March 2011 and, from the date HUD made the funds available, grantees will have two years to expend 50 percent of the grant and three years to expend 100 percent. As of February 2013, NSP 3 grantees had expended more than 36 percent of funds.

The Budget provides \$200 million in new competitive funds for neighborhood stabilization activities as part of the Community Development Fund. The Administration continues to propose \$15 billion in mandatory funding for Project Rebuild which would build upon the success of the Neighborhood Stabilization Program and expand opportunities for grantees to address abandoned and foreclosed commercial properties for redevelopment purposes. Of the requested \$15 billion for Project Rebuild, \$10 billion is for a formula allocation to State and local governments while \$5 billion is reserved for competitive distribution to governmental entities as well as non-profit and for-profit entities.

NEIGHBORHOOD STABILIZATION PROGRAM  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 86-0344-4-1-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0004 Project Rebuild .....		15,000	
0900 Total new obligations (object class 41.0) .....		15,000	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		15,000	
1260 Appropriations, mandatory (total) .....		15,000	
1930 Total budgetary resources available .....		15,000	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			15,000
3010 Obligations incurred, unexpired accounts .....		15,000	
3020 Outlays (gross) .....			-50
3050 Unpaid obligations, end of year .....		15,000	14,950
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			15,000
3200 Obligated balance, end of year .....		15,000	14,950
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		15,000	
Outlays, gross:			
4101 Outlays from mandatory balances .....			50
4180 Budget authority, net (total) .....		15,000	
4190 Outlays, net (total) .....			50

HOMELESS ASSISTANCE GRANTS  
(INCLUDING TRANSFER OF FUNDS)

For the emergency solutions grants program as authorized under subtitle B of title IV of the McKinney-Vento Homeless Assistance Act, as amended; and the continuum of care program as authorized under subtitle C of title IV of such Act; \$2,381,000,000, to remain available until September 30, 2016, and any rental assistance amounts that are recaptured under such

continuum of care program shall remain available until expended: Provided, That not less than \$346,000,000 of the funds appropriated under this heading shall be available for such emergency solutions grants program, of which \$60,000,000 shall be for rapid re-housing for high need communities as determined by the Secretary: Provided further, That not less than \$2,027,000,000 of the funds appropriated under this heading shall be available for such continuum of care program: Provided further, That up to \$8,000,000 of the funds appropriated under this heading shall be available for the national homeless data analysis project: Provided further, That all funds awarded for supportive services under the continuum of care program shall be matched by not less than 25 percent in cash or in kind by each grantee: Provided further, That for all match requirements applicable to funds made available under this heading for this fiscal year and prior years, a grantee may use (or could have used) as a source of match funds other funds administered by the Secretary and other Federal agencies unless there is (or was) a specific statutory prohibition on any such use of any such funds: Provided further, That the Secretary shall renew on an annual basis expiring contracts or amendments to contracts funded under the continuum of care program if the program is determined to be needed under the applicable continuum of care and meets appropriate program requirements and financial standards, as determined by the Secretary: Provided further, That all awards of assistance under this heading shall be required to coordinate and integrate homeless programs with other mainstream health, social services, and employment programs for which homeless populations may be eligible, including Medicaid, State Children's Health Insurance Program, Temporary Assistance for Needy Families, Food Stamps, and services funding through the Mental Health and Substance Abuse Block Grant, Workforce Investment Act, and the Welfare-to-Work grant program: Provided further, That all balances for Shelter Plus Care renewals previously funded from the Shelter Plus Care Renewal account and transferred to this account shall be available, if recaptured, for continuum of care renewals in fiscal year 2014.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0192-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Homeless Assistance Grants .....	1,743		
0002 National Homeless Data Analysis Project .....	13		8
0003 Technical Assistance .....	7		
0004 Sec. 8 Mod Rehab Amendments .....	2		
0009 Continuum of Care (SPC, SHP, Rural) .....		1,681	1,574
0010 Emergency Solutions Grants - Formula .....	314	314	356
0900 Total new obligations (object class 41.0) .....	2,079	1,995	1,938
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,192	2,023	1,961
1021 Recoveries of prior year unpaid obligations .....	35	20	20
1050 Unobligated balance (total) .....	2,227	2,043	1,981
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,901	1,913	2,381
1160 Appropriation, discretionary (total) .....	1,901	1,913	2,381
1930 Total budgetary resources available .....	4,128	3,956	4,362
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-26		
1941 Unexpired unobligated balance, end of year .....	2,023	1,961	2,424
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,516	2,474	2,731
3010 Obligations incurred, unexpired accounts .....	2,079	1,995	1,938
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-1,955	-1,718	-1,652
3040 Recoveries of prior year unpaid obligations, unexpired .....	-35	-20	-20
3041 Recoveries of prior year unpaid obligations, expired .....	-132		
3050 Unpaid obligations, end of year .....	2,474	2,731	2,997

HOMELESS ASSISTANCE GRANTS—Continued  
Program and Financing—Continued

Identification code 86-0192-0-1-604	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,516	2,474	2,731
3200 Obligated balance, end of year .....	2,474	2,731	2,997
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,901	1,913	2,381
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4	10	12
4011 Outlays from discretionary balances .....	1,951	1,708	1,640
4020 Outlays, gross (total) .....	1,955	1,718	1,652
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-1		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	1,901	1,913	2,381
4080 Outlays, net (discretionary) .....	1,954	1,718	1,652
4180 Budget authority, net (total) .....	1,901	1,913	2,381
4190 Outlays, net (total) .....	1,954	1,718	1,652

The Homeless Assistance Grants account provides funds for the Emergency Solutions Grant (ESG) and Continuum of Care (CoC) programs. These programs, which award funds through formula and competitive processes, enable localities to shape and implement comprehensive, flexible, coordinated approaches to address the multiple issues of homelessness. Many communities have made great strides in creating comprehensive approaches to ending chronic homelessness through the development of local plans.

The 2014 Budget provides \$2.38 billion for a wide range of activities to assist homeless persons and prevent future homelessness. HUD estimates it will use \$1.95 billion for competitive renewals in the CoC program and \$346 million for the Emergency Solutions Grant Program, \$60 million of which shall be used for rapid re-housing in high need communities. The Budget also includes \$40 million for new competitive permanent supportive housing projects in the CoC program, and \$8 million for the Homeless Data Analysis Project.

In 2014, HUD will continue the implementation of the McKinney-Vento Act as amended by the HEARTH Act. HUD began implementation of the HEARTH Act with the Emergency Solutions Grants, Consolidated Plan, and Homeless Definition interim rules effective January 2012, and the Continuum of Care interim rule effective August 2012.

The 2014 Budget helps make progress toward ending homelessness by supporting the goals of "Opening Doors: the Federal Strategic Plan to Prevent and End Homelessness," which was published by the U.S. Interagency Council on Homelessness in 2010.

## PERMANENT SUPPORTIVE HOUSING

## Program and Financing (in millions of dollars)

Identification code 86-0342-0-1-604	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	36	24
3020 Outlays (gross) .....	-10	-12	-7
3050 Unpaid obligations, end of year .....	36	24	17
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	46	36	24
3200 Obligated balance, end of year .....	36	24	17

## Budget authority and outlays, net:

<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	10	12	7
4190 Outlays, net (total) .....	10	12	7

This program was created by the Supplemental Appropriations Act, 2008 (P.L. 110-252), which provided \$73 million for permanent supportive housing assistance as referenced in the Road Home Program of the Louisiana Recovery Authority (LRA). Of the total amount appropriated, \$50 million is for permanent supportive housing, which serves approximately 1,000 homeless individuals and families living with disabilities. These grants are administered under the Shelter Plus Care program, as authorized under subtitle F of title IV of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11403 et seq.). The LRA will be eligible to apply for Homeless Assistance Grants to renew this assistance. Additionally, this account provides \$23 million in project-based rental assistance vouchers to LRA to support an estimated 2,000 elderly and disabled disaster victims, as authorized, under section 8(o)(13) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)(13)). Beginning in 2010, these vouchers have been renewed within the Tenant-Based Rental Assistance account upon the termination of the original subsidy.

## RURAL HOUSING AND ECONOMIC DEVELOPMENT

## Program and Financing (in millions of dollars)

Identification code 86-0324-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Rural Housing and Economic Development .....	3		
0002 Border Capital Community Initiative .....		3	1
0900 Total new obligations (object class 41.0) .....	3	3	1
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	1
1021 Recoveries of prior year unpaid obligations .....	2	1	
1050 Unobligated balance (total) .....	6	4	1
1930 Total budgetary resources available .....	6	4	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	1	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	24	6
3010 Obligations incurred, unexpired accounts .....	3	3	1
3020 Outlays (gross) .....	-11	-20	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-1	
3050 Unpaid obligations, end of year .....	24	6	
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	34	24	6
3200 Obligated balance, end of year .....	24	6	

## Budget authority and outlays, net:

<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	11	20	7
4190 Outlays, net (total) .....	11	20	7

The 2014 Budget does not provide funding for the Rural Housing and Economic Development (RHED) program. RHED was created to encourage innovative approaches to serving the housing and economic development needs of the nation's rural communities.

REVOLVING FUND (LIQUIDATING PROGRAMS)

Program and Financing (in millions of dollars)

Identification code 86-4015-0-3-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Revolving Fund .....	2	1	1
0900 Total new obligations (object class 32.0) .....	2	1	1

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2	1	1
1260 Appropriations, mandatory (total) .....	2	1	1
1900 Budget authority (total) .....	2	1	1
1930 Total budgetary resources available .....	2	1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	9	8
3010 Obligations incurred, unexpired accounts .....	2	1	1
3020 Outlays (gross) .....		-2	-2
3050 Unpaid obligations, end of year .....	9	8	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	9	8
3200 Obligated balance, end of year .....	9	8	7

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....		1	1
4110 Outlays, gross (total) .....		2	2
4180 Budget authority, net (total) .....	2	1	1
4190 Outlays, net (total) .....		2	2

Status of Direct Loans (in millions of dollars)

Identification code 86-4015-0-3-451	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	5	5	5
1263 Write-offs for default: Direct loans .....			
1290 Outstanding, end of year .....	5	5	5

The Revolving Fund (liquidating programs) was established by the Independent Offices Appropriations Act of 1955 for the efficient liquidation of assets acquired under a number of housing and urban development programs, all of which are no longer active. The operational expenses are financed from a permanent, indefinite appropriation to administer the remaining repayments of loans and recaptures in the portfolio. Annually, any remaining unobligated balances in the account are returned as a dividend to the Treasury.

The Section 312 loan program portfolio, which provided first and junior lien financing at below market interest rates for the rehabilitation of homes in low-income neighborhoods, constituted a large portion of the account activities. This program ceased to originate new loans over 20 years ago. Since the sale of the Section 312 loan portfolio to the private sector in 2001, activity in this account has been minimal.

Balance Sheet (in millions of dollars)

Identification code 86-4015-0-3-451	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	7	9
1601 Direct loans, gross .....	5	5
1603 Allowance for estimated uncollectible loans and interest (-) .....	-5	-5
1604 Direct loans and interest receivable, net .....		
1606 Foreclosed property .....	1	2

1699 Value of assets related to direct loans .....	1	2
1999 Total assets .....	8	11
<b>LIABILITIES:</b>		
2207 Non-Federal liabilities: Other .....	1	1
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	7	10
4999 Total liabilities and net position .....	8	11

COMMUNITY DEVELOPMENT LOAN GUARANTEES PROGRAM ACCOUNT

Subject to section 502 of the Congressional Budget Act of 1974, during fiscal year 2014 commitments to guarantee loans under section 108 of the Housing and Community Development Act of 1974, any part of which is guaranteed, shall not exceed a total principal amount of \$500,000,000, notwithstanding any aggregate limitation on outstanding obligations guaranteed in subsection (k) of such section 108: Provided, That the Secretary shall collect fees from borrowers, notwithstanding subsection (m) of such section 108, to result in a credit subsidy cost of zero, and such fees shall be collected in accordance with section 502(7) of the Congressional Budget Act of 1974.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0198-0-1-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....	5	9	
0707 Reestimates of loan guarantee subsidy .....	7	7	
0708 Interest on reestimates of loan guarantee subsidy .....	1	1	
0900 Total new obligations (object class 33.0) .....	13	17	

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3	3	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	4	3	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	
1160 Appropriation, discretionary (total) .....	6	6	
Appropriations, mandatory:			
1200 Appropriation .....	7	8	
1260 Appropriations, mandatory (total) .....	7	8	
1900 Budget authority (total) .....	13	14	
1930 Total budgetary resources available .....	17	17	
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	3		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	14	15
3010 Obligations incurred, unexpired accounts .....	13	17	
3020 Outlays (gross) .....	-11	-16	-8
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	14	15	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	14	15
3200 Obligated balance, end of year .....	14	15	7

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	
4011 Outlays from discretionary balances .....	4	7	8
4020 Outlays, gross (total) .....	4	8	8

COMMUNITY DEVELOPMENT LOAN GUARANTEES PROGRAM  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 86-0198-0-1-451	2012 actual	2013 CR	2014 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	7	8	
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	7	8	
4180 Budget authority, net (total) .....	13	14	
4190 Outlays, net (total) .....	11	16	8

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0198-0-1-451	2012 actual	2013 CR	2014 est.
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Community development loan guarantee levels .....	206	364	500
215999 Total loan guarantee levels .....	206	364	500
<b>Guaranteed loan subsidy (in percent):</b>			
232001 Community development loan guarantee levels .....	2.48	2.46	0.00
232999 Weighted average subsidy rate .....	2.48	2.46	0.00
<b>Guaranteed loan subsidy budget authority:</b>			
233001 Community development loan guarantee levels .....	5	9	
233999 Total subsidy budget authority .....	5	9	
<b>Guaranteed loan subsidy outlays:</b>			
234001 Community development loan guarantee levels .....	4	5	5
234999 Total subsidy outlays .....	4	5	5
<b>Guaranteed loan upward reestimates:</b>			
235001 Community development loan guarantee levels .....	7	8	
235999 Total upward reestimate budget authority .....	7	8	
<b>Guaranteed loan downward reestimates:</b>			
237001 Community development loan guarantee levels .....	-10	-3	
237999 Total downward reestimate subsidy budget authority .....	-10	-3	

The 2014 Budget increases the guaranteed loan limit to \$500 million, but does not request funding for the Community Development Loan Guarantee program (Section 108). Instead of subsidy, the Budget includes a legislative change to allow HUD to collect fees to offset credit subsidy costs and make related adjustments to the program. Carryover loan guarantee credit subsidy in this account will continue to be used until exhausted. The Budget requires that the program operate at a zero credit subsidy cost and provides for the collection of fees to fund program costs. Program activities include economic development projects, housing rehabilitation, public facilities rehabilitation, construction or installation for the benefit of low- to moderate-income persons, or to aid in the prevention of slums.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the loan guarantees committed since 1992, including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year. The subsidy amounts are estimated on a present value basis.

COMMUNITY DEVELOPMENT LOAN GUARANTEES FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4096-0-3-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0742 Downward reestimate paid to receipt account .....	5	2	
0743 Interest on downward reestimates .....	5	1	
0900 Total new obligations .....	10	3	
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	104	109	119

<b>Financing authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	15	13	9
1850 Spending auth from offsetting collections, mand (total) .....	15	13	9
1930 Total budgetary resources available .....	119	122	128
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	109	119	128

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			3
3010 Obligations incurred, unexpired accounts .....	10	3	
3020 Financing disbursements (gross) .....	-10		
3050 Unpaid obligations, end of year .....		3	3
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-14	-14
3090 Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-14	-14	-11
3200 Obligated balance, end of year .....	-14	-11	-11

<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Financing authority, gross .....	15	13	9
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....	10		
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal Sources: Payments from Program Account .....	-11	-12	-5
4122 Interest on uninvested funds .....	-4	-1	-4
4130 Offsets against gross financing auth and disbursements (total) .....	-15	-13	-9
4170 Financing disbursements, net (mandatory) .....	-5	-13	-9
4190 Financing disbursements, net (total) .....	-5	-13	-9

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4096-0-3-451	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Limitation on guaranteed loans made by private lenders .....	240	240	500
2121 Limitation available from carry-forward .....	125	124	
2142 Uncommitted loan guarantee limitation .....	-34		
2143 Uncommitted limitation carried forward .....	-124		
2150 Total guaranteed loan commitments .....	207	364	500
2199 Guaranteed amount of guaranteed loan commitments .....	206	364	500
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	2,079	2,148	2,108
2231 Disbursements of new guaranteed loans .....	175	210	210
2251 Repayments and prepayments .....	-212	-250	-250
2264 Adjustments: Other adjustments, net .....	106		
2290 Outstanding, end of year .....	2,148	2,108	2,068
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	2,148	1,999	1,999

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

Balance Sheet (in millions of dollars)

Identification code 86-4096-0-3-451	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	95	96
1999 Total assets .....	95	96
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	95	96

4999	Total liabilities and net position .....	95	96
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COMMUNITY DEVELOPMENT LOAN GUARANTEES LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4097-0-3-451	2012 actual	2013 CR	2014 est.	
<b>Change in obligated balance:</b>				
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-3	-3	-3
3200	Obligated balance, end of year .....	-3	-3	-3

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4097-0-3-451	2012 actual	2013 CR	2014 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	10	5	.....
2251	Repayments and prepayments .....	-5	-5	.....
2290	Outstanding, end of year .....	5	.....	.....
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	5	.....	.....

As required by the Federal Credit Reform Act of 1990, this liquidating account records all cash flows to and from the Government resulting from FFB direct loans for which loan guarantees were committed prior to 1992. This account is shown on a cash basis.

Balance Sheet (in millions of dollars)

Identification code 86-4097-0-3-451	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	3	3
Investments in US securities:			
1106	Receivables, net .....	3	3
1999	Total assets .....	6	6

HOUSING PROGRAMS

Federal Funds

HOUSING FOR THE ELDERLY

For amendments to capital advance contracts for housing for the elderly, as authorized by section 202 of the Housing Act of 1959, as amended, and for project rental assistance for the elderly under section 202(c)(2) of such Act, including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, and for senior preservation rental assistance contracts, as authorized by section 811(e) of the American Housing and Economic Opportunity Act of 2000, as amended, and for supportive services associated with the housing, \$400,000,000 to remain available until September 30, 2017: Provided, That of the amount provided under this heading, up to \$70,000,000 shall be for service coordinators and the continuation of existing congregate service grants for residents of assisted housing projects: Provided further, That amounts under this heading shall be available for Real Estate Assessment Center inspections and inspection-related activities associated with section 202 projects: Provided further, That the Secretary may waive the provisions of section 202 governing the terms and conditions of project rental assistance, except that the initial contract term for such assistance shall not exceed 5 years in duration: Provided further, That upon the request of the Secretary of Housing and Urban Development, project funds that are held in residual receipts accounts for any project subject to a

section 202 project rental assistance contract and that upon termination of such contract are in excess of an amount to be determined by the Secretary shall be remitted to the Department and deposited in this account, to be available until expended: Provided further, That amounts deposited in this account pursuant to the previous proviso shall be available in addition to the amounts otherwise provided by this heading for the purposes authorized under this heading and, together with such other funds, may be used by the Secretary for demonstration programs to test housing with services models for the elderly: Provided further, That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated under this heading may be used for the current purposes authorized under this heading, notwithstanding the purposes for which such funds were originally appropriated.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0320-0-1-604	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Construction and Expansion .....	654	29	31
0002	PRAC Renewal/Amendment .....	228	285	310
0003	Service Coordinators/Congregate Services .....	127	81	70
0004	Conversion to Assisted Living Facilities .....	24	26	22
0005	Pre-Construction Demonstration .....	21	.....	.....
0006	Senior Preservation Rental Assistance Contracts .....	.....	.....	16
0007	Technical Assistance .....	2	.....	.....
0900	Total new obligations (object class 41.0) .....	1,056	421	449

Budgetary Resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	865	183	139
1021	Recoveries of prior year unpaid obligations .....	7	.....	.....
1050	Unobligated balance (total) .....	872	183	139
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	375	377	400
1120	Appropriations transferred to other accts [86-0402] .....	.....	.....	-2
1160	Appropriation, discretionary (total) .....	375	377	398
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	8	.....	28
1750	Spending auth from offsetting collections, disc (total) .....	8	.....	28
1900	Budget authority (total) .....	383	377	426
1930	Total budgetary resources available .....	1,255	560	565
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-16	.....	.....
1941	Unexpired unobligated balance, end of year .....	183	139	116

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,638	2,806	2,330
3010	Obligations incurred, unexpired accounts .....	1,056	421	449
3020	Outlays (gross) .....	-870	-897	-880
3040	Recoveries of prior year unpaid obligations, unexpired .....	-7	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-11	.....	.....
3050	Unpaid obligations, end of year .....	2,806	2,330	1,899
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,638	2,806	2,330
3200	Obligated balance, end of year .....	2,806	2,330	1,899

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross .....	383	377	426
Outlays, gross:				
4010	Outlays from new discretionary authority .....	71	117	126
4011	Outlays from discretionary balances .....	799	780	754
4020	Outlays, gross (total) .....	870	897	880
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-8	.....	-28
4180	Budget authority, net (total) .....	375	377	398
4190	Outlays, net (total) .....	862	897	852

HOUSING FOR THE ELDERLY—Continued

Since 1959, the Housing for the Elderly program (Section 202) has supported both the construction and operation of supportive housing for very low-income elderly households, including frail elderly. The Budget provides \$310 million to renew and amend operating subsidy contracts for existing Section 202 housing, \$70 million to support service coordinators who work on-site to help residents obtain critical services, such as benefit counseling, and \$20 million to support a demonstration program to test and implement housing with services models for seniors.

As proposed in the 2013 Budget, the Administration continues to support legislative and administrative changes to permit a new generation of Section 202 housing with supportive services targeted at populations most in need of affordable housing. Building off emerging research on best practices, HUD will provide Section 202 operating assistance to States to fund innovative supportive housing projects in line with state housing and health care priorities. Funded projects—new or existing multi-family housing complexes—must be fully leveraged with other capital resources, such as Low-Income Housing Tax Credits, HOME funds, and other Federal, state, and local programs, and only require Section 202 for operating assistance. This will result in long-term strategies to increase the supply of affordable permanent housing units with structured access to appropriate services, but also enables the program to better facilitate cost savings to state and federal health care budgets through reduced institutionalization and emergency room utilization.

In addition, the Budget provides HUD with new authorities to make better use of existing resources. In 2014, HUD will review residual receipts collections, recaptures, and other unobligated balances to increase the amount available for awards to support housing with services models that serve as a platform for seniors to live independently and age in place.

HOUSING FOR THE ELDERLY

	2012 actual	2013 est.	2014 est.
Units eligible for payment .....	115,112	119,698	125,661

HOUSING FOR PERSONS WITH DISABILITIES

For amendments to capital advance contracts for supportive housing for persons with disabilities, as authorized by section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), for project rental assistance for supportive housing for persons with disabilities under section 811(d)(2) of such Act and for project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667), including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, for project rental assistance to State housing finance agencies and other appropriate entities as authorized under section 811(b)(3) of the Cranston-Gonzalez National Housing Act, and for supportive services associated with the housing for persons with disabilities as authorized by section 811(b)(1) of such Act, \$126,000,000 to remain available until September 30, 2017: Provided, That amounts made available under this heading shall be available for Real Estate Assessment Center inspections and inspection-related activities associated with section 811 Projects. Provided further, That, notwithstanding any other provision of law, upon the request of the Secretary of Housing and Urban Development, project funds that are held in residual receipts accounts for any project subject to a section 811 project rental assistance contract and that upon termination of such contract are in excess of an amount to be determined by the Secretary shall be remitted to the Department and deposited in this account, to be available until expended: Provided further, That amounts deposited in this account pursuant to the previous proviso shall be available in addition to the amounts otherwise provided by this heading for the purposes authorized under this heading: Provided further, That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated

under this heading may be used for the current purposes authorized under this heading notwithstanding the purposes for which such funds originally were appropriated.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0237-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Construction and Expansion .....	161	10	.....
0002 PRAC Renewals/Amendments .....	81	96	106
0003 Mainstream Voucher Renewals .....	1	1	.....
0004 State Housing Project Rental Assistance .....	.....	101	122
0900 Total new obligations (object class 41.0) .....	243	208	228
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	257	183	141
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	262	183	141
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	165	166	126
1120 Appropriations transferred to other accts [86-0402] .....	.....	.....	-1
1160 Appropriation, discretionary (total) .....	165	166	125
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	.....	12
1750 Spending auth from offsetting collections, disc (total) .....	.....	.....	12
1900 Budget authority (total) .....	165	166	137
1930 Total budgetary resources available .....	427	349	278
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	183	141	50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	656	664	601
3010 Obligations incurred, unexpired accounts .....	243	208	228
3020 Outlays (gross) .....	-226	-271	-202
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	664	601	627
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	656	664	601
3200 Obligated balance, end of year .....	664	601	627
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	165	166	137
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	35	17
4011 Outlays from discretionary balances .....	204	236	185
4020 Outlays, gross (total) .....	226	271	202
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	.....	.....	-12
4180 Budget authority, net (total) .....	165	166	125
4190 Outlays, net (total) .....	226	271	190

Since 1992, the Housing for Persons with Disabilities program (Section 811) has supported the development of supportive housing for very low-income people with disabilities. The Budget provides \$106 million to renew and amend operating subsidy contracts for existing Section 811 housing, and \$20 million for new Project Rental Assistance (PRA) awards.

In 2014, HUD will continue to fund supportive housing projects in line with state housing and health care priorities through the Section 811 PRA program. PRA projects must be fully leveraged with other capital resources, such as Low-Income Housing Tax Credits, HOME funds, and other Federal, state, and local programs, and only require Section 811 for operating assistance.

Section 811 allows for States to better leverage community-based care, to affirmatively address legal requirements for integrated housing, and to provide a platform for disabled persons to live independently in integrated community-based settings. Supportive housing can achieve significant savings for state and federal health care budgets through reduced institutionalization and emergency room utilization.

The inaugural competition of the Section 811 PRA program in 2012 provided funding to thirteen states to support 3,530 units specifically set-aside for persons with disabilities with appropriate community-based supports and services. The majority of state PRA programs are targeting persons with disabilities in institutions or at risk of institutionalization consistent with each State's Olmstead agreements or Olmstead plan.

The Budget also provides HUD with new authorities to make better use of existing resources. In 2014, HUD will review residual receipts collections, recaptures, and other unobligated balances to redirect available funds to make new additional investments in PRA awards.

**HOUSING FOR PERSONS WITH DISABILITIES**

	2012 actual	2013 est.	2014 est.
Units eligible for payment .....	32,187	33,469	36,040

**HOUSING COUNSELING ASSISTANCE**

*For contracts, grants, and other assistance excluding loans, as authorized under section 106 of the Housing and Urban Development Act of 1968, as amended, \$55,000,000, including up to \$4,500,000 for administrative contract services, to remain available until September 30, 2015: Provided, That funds shall be used for providing counseling and advice to tenants and homeowners, both current and prospective, with respect to property maintenance, financial management/literacy, and such other matters as may be appropriate to assist them in improving their housing conditions, meeting their financial needs, and fulfilling the responsibilities of tenancy or homeownership; for program administration; and for housing counselor training.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 86–0156–0–1–604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Housing Counseling Assistance .....	43	42	50
0002 Administrative Contract Services .....	2	3	5
0900 Total new obligations .....	45	45	55
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	45	45	55
1160 Appropriation, discretionary (total) .....	45	45	55
1930 Total budgetary resources available .....	45	45	55
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	39	37
3010 Obligations incurred, unexpired accounts .....	45	45	55
3020 Outlays (gross) .....	-51	-47	-49
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	39	37	43
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	46	39	37
3200 Obligated balance, end of year .....	39	37	43

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	45	45	55
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	15	19
4011 Outlays from discretionary balances .....	36	32	30
4020 Outlays, gross (total) .....	51	47	49
4180 Budget authority, net (total) .....	45	45	55
4190 Outlays, net (total) .....	51	47	49

The Housing Counseling Assistance Program provides comprehensive housing counseling services to eligible homeowners and tenants through grants to non-profit intermediaries, state governmental entities, and other agencies with local to national presences. Eligible counseling activities include pre- and post-purchase education, personal financial management, reverse mortgage product education, foreclosure prevention/mitigation, and rental counseling. The Housing Counseling Assistance Program supports the delivery of a wide variety of housing counseling services to homebuyers, homeowners, low- to moderate-income renters, and elderly citizens including the Administration's current foreclosure mitigation efforts. The primary objectives of the Housing Counseling program are to expand homeownership opportunities, improve access to affordable housing, prevent foreclosure, increase financial literacy, and aid in HUD's commitment to bridging the minority homeownership gap. Additionally, the program supports a significant number of individuals with FHA-insured loans, which helps maintain the financial soundness of the FHA insurance funds. The 2014 Budget includes \$55 million for this program, the bulk of which funds grants for the direct provision of counseling.

**Object Classification** (in millions of dollars)

Identification code 86–0156–0–1–604	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....		3	5
41.0 Grants, subsidies, and contributions .....	45	42	50
99.9 Total new obligations .....	45	45	55

**ENERGY INNOVATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 86–0401–0–1–272	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Energy Efficient Mortgage Innovation Pilot .....	6	6	
0002 Multifamily Energy Pilot .....	23	2	
0900 Total new obligations (object class 41.0) .....	29	8	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	8	
1930 Total budgetary resources available .....	37	8	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	40	34
3010 Obligations incurred, unexpired accounts .....	29	8	
3020 Outlays (gross) .....	-1	-14	-19
3050 Unpaid obligations, end of year .....	40	34	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	40	34
3200 Obligated balance, end of year .....	40	34	15

ENERGY INNOVATION FUND—Continued  
Program and Financing—Continued

Identification code 86-0401-0-1-272	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	14	19
4190 Outlays, net (total) .....	1	14	19

The objective of the Energy Innovation Fund is to provide support for promising local initiatives that can be replicated across the nation and to stimulate and enhance private investment in cost-saving energy efficiency retrofits of existing housing, through improved use of FHA single family and multifamily mortgage products. In, 2010, \$50 million was appropriated for this initiative.

The single family Energy Efficient Mortgage Innovation Pilot provided up to \$25 million in incentive payments to support the new FHA PowerSaver loan guarantee program. PowerSaver is a partnership between HUD and 18 lenders that extends the benefits of Title I Energy Efficient Property Improvement loans to more homeowners and enabled them to borrow up to \$25,000 for terms as long as 20 years to make energy improvements of their choice, based on a list of proven measures developed by FHA and the U.S. Department of Energy (DOE).

The Multifamily Energy Innovation Fund Pilot provided \$25 million for financing and applied research demonstrations. The demonstrations will identify solutions to the primary and long-standing challenges to implementing energy efficiency and renewable energy improvements in existing affordable multifamily properties and leverage private capital and additional public funding to demonstrate proof of concept of specific models.

All funding for the program expires at the end of 2013. This schedule reflects the expenditure of prior year balances.

## EMERGENCY HOMEOWNERS' RELIEF FUND

## Program and Financing (in millions of dollars)

Identification code 86-0407-0-1-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	18	22	.....
0900 Total new obligations (object class 41.0) .....	18	22	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	472	185	163
1021 Recoveries of prior year unpaid obligations .....	106	.....	.....
1029 Other balances withdrawn .....	-375	.....	.....
1050 Unobligated balance (total) .....	203	185	163
1930 Total budgetary resources available .....	203	185	163
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	185	163	163
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	492	215	107
3010 Obligations incurred, unexpired accounts .....	18	22	.....
3020 Outlays (gross) .....	-189	-130	-69
3040 Recoveries of prior year unpaid obligations, unexpired .....	-106	.....	.....
3050 Unpaid obligations, end of year .....	215	107	38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	492	215	107
3200 Obligated balance, end of year .....	215	107	38

## Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	189	130	69
4190 Outlays, net (total) .....	189	130	69

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0407-0-1-371	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Emergency Homeowners' Relief .....	19	23	.....
115999 Total direct loan levels .....	19	23	.....
Direct loan subsidy (in percent):			
132001 Emergency Homeowners' Relief .....	97.72	97.71	.....
132999 Weighted average subsidy rate .....	97.72	97.71	.....
Direct loan subsidy budget authority:			
133001 Emergency Homeowners' Relief .....	18	22	.....
133999 Total subsidy budget authority .....	18	22	.....
Direct loan subsidy outlays:			
134001 Emergency Homeowners' Relief .....	67	32	18
134999 Total subsidy outlays .....	67	32	18

The Emergency Homeowners' Loan Program (EHLF), authorized by the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203), provided emergency mortgage assistance to homeowners who were unemployed or underemployed due to economic or medical conditions. EHLF offered an eligible homeowner a declining balance, deferred payment, non-recourse, zero interest subordinate loan of up to \$50,000 to assist with paying all arrearages on the homeowner's first mortgage and up to 24 consecutive months of first mortgage assistance payments. EHLF provided assistance to homeowners in Puerto Rico and the 32 states not assisted by the Treasury Department's Innovation Fund for Hardest Hit Housing Markets program. States with existing programs that provided substantially similar assistance to homeowners received grants to provide EHLF assistance through such programs. The program became effective October 1, 2010 and, per statute, stopped accepting applications on September 30, 2011. As required by the Federal Credit Reform Act of 1990, this account records the administrative expenses for EHLF, as well as the subsidy costs, associated with the direct loans obligated. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

## EMERGENCY HOMEOWNERS' RELIEF FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 86-4357-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	19	23	.....
0900 Total new obligations .....	19	23	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	1	11
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-18	.....	.....
1021 Recoveries of prior year unpaid obligations .....	109	.....	.....
1050 Unobligated balance (total) .....	109	1	11
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Positive Subsidy .....	67	32	18
1800 Repayments .....	.....	1	1
1801 Change in uncollected payments, Federal sources .....	-156	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	-89	33	19
1900 Financing authority (total) .....	-89	33	19
1930 Total budgetary resources available .....	20	34	30

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	11 30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	210	52 41
3010	Obligations incurred, unexpired accounts .....	19	23
3020	Financing disbursements (gross) .....	-68	-34 -19
3040	Recoveries of prior year unpaid obligations, unexpired .....	-109	
3050	Unpaid obligations, end of year .....	52	41 22
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-205	-49 -49
3070	Change in uncollected pymts, Fed sources, unexpired .....	156	
3090	Uncollected pymts, Fed sources, end of year .....	-49	-49 -49
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	5	3 -8
3200	Obligated balance, end of year .....	3	-8 -27

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	-89	33 19
Financing disbursements:			
4110	Financing disbursements, gross .....	68	34 19
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-67	-32 -18
4123	Repayments of principal, net .....		-1 -1
4130	Offsets against gross financing auth and disbursements (total) .....	-67	-33 -19
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	156	
4170	Financing disbursements, net (mandatory) .....	1	1
4190	Financing disbursements, net (total) .....	1	1

**Status of Direct Loans (in millions of dollars)**

Identification code 86-4357-0-3-371	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121	Limitation available from carry-forward .....	790	771 748
1143	Unobligated limitation carried forward (P.L. xx) (-) .....	-771	-748 -748
1150	Total direct loan obligations .....	19	23
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	68	77
1231	Disbursements: Direct loan disbursements .....	68	34 19
1251	Repayments: Repayments and prepayments .....	-1	-1
1263	Write-offs for default: Direct loans .....	-24	-20
1290	Outstanding, end of year .....	68	77 75

This non-budgetary account records all cash flows to and from the Government resulting from the loan guarantees (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. No administrative expenses can be recorded in the financing account.

**Balance Sheet (in millions of dollars)**

Identification code 86-4357-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	23 4
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross .....	68
1405	Allowance for subsidy cost (-) .....	-68
1499	Net present value of assets related to direct loans .....	
1999	Total assets .....	23 4
<b>LIABILITIES:</b>		
2103	Federal liabilities: Debt payable to Treasury .....	23 4
4999	Total upward reestimate subsidy BA [86-0407] .....	23 4

**OTHER ASSISTED HOUSING PROGRAMS**

**RENTAL HOUSING ASSISTANCE**

For amendments to contracts under section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s) and section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z-1) in State-aided, non-insured rental housing projects, \$21,000,000, to remain available until expended: Provided, That such amount, together with unobligated balances from recaptured amounts appropriated prior to fiscal year 2006 from terminated contracts under such sections of law, and any unobligated balances, including recaptures and carryover, remaining from funds appropriated under this heading after fiscal year 2005, shall also be available for extensions of up to one year for expiring contracts under such sections of law.

**RENT SUPPLEMENT**

**(CANCELLATION)**

Of the amounts recaptured from terminated contracts under section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s) and section 236 of the National Housing Act (12 U.S.C. 1715z-1) \$3,500,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 86-0206-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Rent supplement .....	12	16 19
0002	Homeownership and rental housing assistance (Sections 235 and 236) .....	20	21 25
0900	Total new obligations (object class 41.0) .....	32	37 44
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	327	70 11
1021	Recoveries of prior year unpaid obligations .....	112	
1025	Unobligated balance of contract authority withdrawn .....	-99	
1029	Other balances withdrawn .....	-8	
1050	Unobligated balance (total) .....	332	70 38
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	1	1 21
1131	Unobligated balance permanently reduced .....	-232	-23 -4
1160	Appropriation, discretionary (total) .....	-231	-22 17
Appropriations, mandatory:			
1200	Appropriation .....	444	444 444
1238	Appropriations applied to liquidate contract authority .....	-444	-444 -444
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	1	
1750	Spending auth from offsetting collections, disc (total) .....	1	
1900	Budget authority (total) .....	-230	-22 17
1930	Total budgetary resources available .....	102	48 55
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	70	11 11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2,487	1,961 1,619
3010	Obligations incurred, unexpired accounts .....	32	37 44
3020	Outlays (gross) .....	-446	-379 -367
3040	Recoveries of prior year unpaid obligations, unexpired .....	-112	
3050	Unpaid obligations, end of year .....	1,961	1,619 1,269
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2,487	1,961 1,619
3200	Obligated balance, end of year .....	1,961	1,619 1,269

OTHER ASSISTED HOUSING PROGRAMS—Continued  
Program and Financing—Continued

Identification code 86-0206-0-1-999	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-230	-22	17
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	10
4011 Outlays from discretionary balances .....	445	378	357
4020 Outlays, gross (total) .....	446	379	367
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
4180 Budget authority, net (total) .....	-231	-22	17
4190 Outlays, net (total) .....	445	379	367
<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	1,415	872	428
5053 Obligated balance, EOY: Contract authority .....	872	428	
5055 Fund balance in excess of liquidating requirements, EOY:			
Contract authority .....			16

The Other Assisted Housing Account contains the programs listed below:

**Rent Supplement.**—Rent Supplement assistance payments will continue to be made on behalf of qualified low-income tenants in approximately 6,120 units that have not converted to Section 8.

**Section 235.**—The Housing and Urban-Rural Recovery Act of 1983 (P.L. 98-181) authorized a restructured Section 235 (Homeownership Assistance) program that provided homeowners a 10-year interest reduction subsidy on their mortgages.

**Section 236.**—The Housing and Urban Development Act of 1968, as amended, authorizes the Section 236 Rental Housing Assistance Program, which subsidizes the monthly mortgage payment that an owner of a rental or cooperative project is required to make. This interest subsidy reduces rents for lower income tenants. Some Section 236 properties (approximately 11,300 units) also have rental assistance contracts with HUD through the Rental Assistance Payment (RAP) program.

When Rent Supplement and RAP contracts are terminated due to prepayments, remaining balances are recovered. HUD has identified \$3.5 million in Section 236 Interest Reduction Payment recaptures that may be cancelled in 2014 without impacting current contract amendment and extension activities.

As an increasing number of Rent Supplement and RAP rental assistance contracts reach the ends of their terms, the funding needs of the account have shifted from amendments to short-term extensions that help preserve this affordable housing stock. In order to meet the growing need for extensions, the Budget proposes appropriations language to allow recaptured funds to be used for these purposes. In addition, the Rental Assistance Demonstration (RAD) enables owners of properties with expiring Rent Supplement or RAP contracts to convert their assistance to long-term, project-based voucher contracts. More information on this Demonstration is available under the RAD heading.

The table below provides a summary of outlays by program.

SUMMARY OF OUTLAYS

(in millions of dollars)

	2012 actual	2013 est.	2014 est.
Total .....	446	379	367
Rent supplement .....	43	38	33
Homeownership assistance (Section 235) .....	1	1	1
Rental housing assistance (Section 236) .....	400	339	332
College housing grants .....	1	1	1

HOMEOWNERSHIP AND OPPORTUNITY FOR PEOPLE EVERYWHERE GRANTS (HOPE GRANTS)

Program and Financing (in millions of dollars)

Identification code 86-0196-0-1-604	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1029 Other balances withdrawn .....		-1	
1050 Unobligated balance (total) .....	1		
1930 Total budgetary resources available .....	1		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		

The Homeownership and Opportunity for People Everywhere Program, funded from 1992-1995, provided affordable homeownership opportunities for low-income families. Units were converted to homeownership from public and Indian housing properties in HOPE I, from FHA-insured and Government-held multifamily properties in HOPE II, and from Government-owned or -held single family properties in HOPE III. HOPE Grants were used for property acquisition, rehabilitation, mortgage subsidies, security measures, and technical assistance. In addition, grants have been devoted to counseling and training of residents, and other activities intended to help them become economically self-sufficient homeowners.

PAYMENT TO MANUFACTURED HOUSING FEES TRUST FUND

*For necessary expenses as authorized by the National Manufactured Housing Construction and Safety Standards Act of 1974 (42 U.S.C. 5401 et seq.), up to \$7,530,000, to remain available until expended, of which \$6,530,000 is to be derived from the Manufactured Housing Fees Trust Fund: Provided, That not to exceed the total amount appropriated under this heading shall be available from the general fund of the Treasury to the extent necessary to incur obligations and make expenditures pending the receipt of collections to the Fund pursuant to section 620 of such Act: Provided further, That the amount made available under this heading from the general fund shall be reduced as such collections are received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$1,000,000 and fees pursuant to such section 620 shall be modified as necessary to ensure such a final fiscal year 2014 appropriation: Provided further, That for the dispute resolution and installation programs, the Secretary of Housing and Urban Development may assess and collect fees from any program participant: Provided further, That such collections shall be deposited into the Fund, and the Secretary, as provided herein, may use such collections, as well as fees collected under section 620, for necessary expenses of such Act: Provided further, That, notwithstanding the requirements of section 620 of such Act, the Secretary may carry out responsibilities of the Secretary under such Act through the use of approved service providers that are paid directly by the recipients of their services.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0234-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to Trust Fund .....	3	3	1
0900 Total new obligations (object class 94.0) .....	3	3	1
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	1

1160	Appropriation, discretionary (total) .....	3	3	1
1930	Total budgetary resources available .....	3	3	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	3	3	1
3020	Outlays (gross) .....	-3	-3	-1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3	3	1
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	3	1
4180	Budget authority, net (total) .....	3	3	1
4190	Outlays, net (total) .....	3	3	1

The Budget provides a total of \$7.53 million, including \$6.53 million in estimated fees, to support activities authorized by the National Manufactured Housing Construction and Safety Standards Act of 1974, as amended, including the development and enforcement of manufactured housing construction standards, as well as the development and implementation of new installation and dispute resolution programs required by the Manufactured Housing Improvement Act of 2000.

GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 86-0306-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705	Reestimates of direct loan subsidy .....	1	
0900	Total new obligations (object class 99.5) .....	1	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1	
1260	Appropriations, mandatory (total) .....	1	
1900	Budget authority (total) .....	1	
1930	Total budgetary resources available .....	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	32	4
3010	Obligations incurred, unexpired accounts .....	1	1
3020	Outlays (gross) .....	-25	-1
3041	Recoveries of prior year unpaid obligations, expired .....	-3	-4
3050	Unpaid obligations, end of year .....	4	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	32	4
3200	Obligated balance, end of year .....	4	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	25	
Mandatory:			
4090	Budget authority, gross .....	1	
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1	
4180	Budget authority, net (total) .....	1	
4190	Outlays, net (total) .....	25	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0306-0-1-604	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135001	Energy Retrofit Loans .....	1	
135999	Total upward reestimate budget authority .....	1	
Direct loan downward reestimates:			
137001	Energy Retrofit Loans .....	-1	

137999	Total downward reestimate budget authority .....	-1	
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The Green Retrofit Program (GRP) offered grants and loans to owners of eligible HUD-assisted multifamily housing properties to fund green retrofits, which are intended to reduce ongoing utility consumption, benefit resident health, and benefit the environment. This program was funded under Title XII of the American Recovery and Reinvestment Act of 2009 (P.L. 111-5). This account includes funds for grants, direct loan credit subsidy, and administrative expenses. All loan cash flows are recorded in the corresponding financing account (86-4589).

GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4589-0-3-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	Payment of interest to Treasury .....	1	
0743	Interest on downward reestimates .....		1
0900	Total new obligations .....	1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....		3
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	4	1
1850	Spending auth from offsetting collections, mand (total) .....	4	1
1900	Financing authority (total) .....	4	1
1930	Total budgetary resources available .....	4	4
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		1
3010	Obligations incurred, unexpired accounts .....	1	1
3050	Unpaid obligations, end of year .....	1	2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		1
3200	Obligated balance, end of year .....	1	2
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	4	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....		-1
4123	Non-Federal sources .....	-4	
4130	Offsets against gross financing auth and disbursements (total) .....	-4	-1
4170	Financing disbursements, net (mandatory) .....	-4	-1
4190	Financing disbursements, net (total) .....	-4	-1

Status of Direct Loans (in millions of dollars)

Identification code 86-4589-0-3-604	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	83	80
1251	Repayments: Repayments and prepayments .....	-3	
1290	Outstanding, end of year .....	80	80

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans in the Green Retrofit Program, which received one-time funding in the Recovery Act (P.L. 111-5). The program account is displayed under "Green Retrofit Program for Multifamily Housing, Recovery Act" (86-0306).

GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING FINANCING  
ACCOUNT—Continued

## Balance Sheet (in millions of dollars)

Identification code 86-4589-0-3-604	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....		4
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	83	80
1402 Interest receivable .....	1	1
1405 Allowance for subsidy cost (-) .....	-69	-70
1499 Net present value of assets related to direct loans .....	15	11
1999 Total assets .....	15	15
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	15	15
4999 Total liabilities and net position .....	15	15

## RENTAL HOUSING ASSISTANCE FUND

## Program and Financing (in millions of dollars)

Identification code 86-4041-0-3-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Transfer to HUD's Flexible Subsidy Fund .....	3	4	.....
0900 Total new obligations (object class 94.0) .....	3	4	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	3
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	3	3
1850 Spending auth from offsetting collections, mand (total) .....	4	3	3
1930 Total budgetary resources available .....	7	7	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	4	.....
3020 Outlays (gross) .....	-3	-4	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	3	3
Outlays, gross:			
4101 Outlays from mandatory balances .....	3	4	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-4	-3	-3
4190 Outlays, net (total) .....	-1	1	-3

The Housing and Urban Development Act of 1968 authorized the Secretary to establish a revolving fund into which rental collections in excess of the established basic rents for units in Section 236 subsidized projects would be deposited.

The Housing and Community Development Amendment of 1978 authorized the Secretary, subject to approval in appropriation acts, to transfer excess rent collections received after 1978 to the Troubled Projects Operating Subsidy program, renamed the Flexible Subsidy Fund. Prior to that time, collections were used for paying tax and utility increases in Section 236 projects. The Housing and Community Development Act of 1980 amended the 1978 Act by authorizing the transfer of excess rent collections regardless of when collected.

The Budget proposes appropriation language in the general provisions at the end of this budget chapter to fully eliminate any authorities which mandate the transfer of excess resources from the Rental Housing Assistance Fund to the Flexible Subsidy Fund.

## Object Classification (in millions of dollars)

Identification code 86-4041-0-3-604	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
94.0 Financial transfers .....	3	4	.....
99.0 Reimbursable obligations .....	3	4	.....

## FLEXIBLE SUBSIDY FUND

## Program and Financing (in millions of dollars)

Identification code 86-4044-0-3-604	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	201	255	297
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	54	42	38
1750 Spending auth from offsetting collections, disc (total) .....	54	42	38
1930 Total budgetary resources available .....	255	297	335
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	255	297	335
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	54	42	38
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-4	.....
4033 Non-Federal sources .....	-51	-38	-38
4040 Offsets against gross budget authority and outlays (total) ....	-54	-42	-38
4080 Outlays, net (discretionary) .....	-54	-42	-38
4190 Outlays, net (total) .....	-54	-42	-38

## Status of Direct Loans (in millions of dollars)

Identification code 86-4044-0-3-604	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	563	533	508
1251 Repayments: Repayments and prepayments .....	-41	-25	-25
1264 Write-offs for default: Writeoff for default: Other adjustments, net (+ or -) .....	11	.....	.....
1290 Outstanding, end of year .....	533	508	483

The Flexible Subsidy Fund assisted financially troubled subsidized projects under certain Federal Housing Administration (FHA) authorities. The subsidies were intended to prevent potential losses to the FHA fund resulting from project insolvency and to preserve these projects as a viable source of housing for low and moderate-income tenants. Priority was given to projects with Federal insurance-in-force and then to those with mortgages that had been assigned to the Department of Housing and Urban Development.

The Budget proposes appropriation language in the general provisions at the end of this budget chapter to fully eliminate any authorities which mandate the transfer of excess resources from the Rental Housing Assistance Fund to the Flexible Subsidy Fund.

## Balance Sheet (in millions of dollars)

Identification code 86-4044-0-3-604	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	201	255
1601 Direct loans, gross .....	537	508
1602 Interest receivable .....	105	96
1603 Allowance for estimated uncollectible loans and interest (-) .....	-573	-44
1699 Value of assets related to direct loans .....	69	560
1999 Total assets .....	270	815

NET POSITION:

3100	Unexpended appropriations .....	201	255
3300	Cumulative results of operations .....	69	560
3999	Total net position .....	270	815
4999	Total liabilities and net position .....	270	815

HOME OWNERSHIP PRESERVATION EQUITY FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-0343-0-1-371	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	459	10
1023	Unobligated balances applied to repay debt .....	-449	
1050	Unobligated balance (total) .....	459	10
1930	Total budgetary resources available .....	459	10
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	459	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	1
3200	Obligated balance, end of year .....	1	1

The HOPE for Homeowners (H4H) program was created by the Housing and Economic Recovery Act of 2008 (Act) to help homeowners at risk of default and foreclosure refinance into more affordable, sustainable loans. Under the H4H Program, eligible homeowners refinanced their current mortgage loans into a new mortgage insured by FHA. The program ended on September 30, 2011.

As required by the Federal Credit Reform Act of 1990, this account records the administrative expenses for this program, as well as the subsidy costs, associated with the loan guarantees committed.

HOME OWNERSHIP PRESERVATION ENTITY FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4353-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711	Default claim payments on principal .....	1	2
0712	Default claim payments on interest .....	1	1
0900	Total new obligations .....	1	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	19	20
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	2	1
1850	Spending auth from offsetting collections, mand (total) .....	2	1
1930	Total budgetary resources available .....	21	21
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	20	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		1
3010	Obligations incurred, unexpired accounts .....	1	3
3020	Financing disbursements (gross) .....	-1	-2
3050	Unpaid obligations, end of year .....		1

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		1
3200	Obligated balance, end of year .....	1	1

Financing authority and disbursements, net:

Mandatory:			
4090	Financing authority, gross .....	2	1
Financing disbursements:			
4110	Financing disbursements, gross .....	1	2
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122	Interest on uninvested funds .....	-1	
4123	Premiums .....	-1	
4123	Recoveries on defaults .....		-1
4130	Offsets against gross financing auth and disbursements (total) .....	-2	-1
4170	Financing disbursements, net (mandatory) .....	-1	1
4190	Financing disbursements, net (total) .....	-1	1

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4353-0-3-371	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2143	Uncommitted limitation carried forward .....		
2150	Total guaranteed loan commitments .....		
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	120	122
2251	Repayments and prepayments .....	-1	-2
Adjustments:			
2262	Terminations for default that result in acquisition of property .....	-1	-2
2264	Other adjustments, net .....	4 <sup>1</sup>	
2290	Outstanding, end of year .....	122	118
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	122	112

<sup>1</sup>Correction to start of year balance

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loans insured in 1992 and thereafter. The amounts in this account are considered a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 86-4353-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	16
1999	Total assets .....	16
<b>LIABILITIES:</b>		
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	16
4999	Total liabilities and net position .....	16

NEHEMIAH HOUSING OPPORTUNITY FUND

Program and Financing (in millions of dollars)

Identification code 86-4071-0-3-604	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021	Recoveries of prior year unpaid obligations .....	3	
1029	Other balances withdrawn .....	-3	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	4	1
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3	
3050	Unpaid obligations, end of year .....	1	1

NEHEMIAH HOUSING OPPORTUNITY FUND—Continued  
Program and Financing—Continued

Identification code 86-4071-0-3-604	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	1	1
3200 Obligated balance, end of year .....	1	1	1

The Nehemiah Grants program was authorized by the Housing and Community Development Act of 1987 to provide loans to eligible families to assist in the purchase of new or substantially rehabilitated units.

FEDERAL HOUSING ADMINISTRATION

MUTUAL MORTGAGE INSURANCE PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

*New commitments to guarantee single family loans insured under the Mutual Mortgage Insurance Fund shall not exceed \$400,000,000,000, to remain available until September 30, 2015: Provided, That during fiscal year 2014, obligations to make direct loans to carry out the purposes of section 204(g) of the National Housing Act, as amended, shall not exceed \$20,000,000: Provided further, That the foregoing amount in the previous proviso shall be for loans to nonprofit and governmental entities in connection with sales of single family real properties owned by the Secretary and formerly insured under the Mutual Mortgage Insurance Fund. For administrative contract expenses of the Federal Housing Administration, \$127,000,000, to remain available until September 30, 2015: Provided further, That to the extent guaranteed loan commitments exceed \$200,000,000,000 on or before April 1, 2013, an additional \$1,400 for administrative contract expenses shall be available for each \$1,000,000 in additional guaranteed loan commitments (including a pro rata amount for any amount below \$1,000,000), but in no case shall funds made available by this proviso exceed \$30,000,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0183-0-1-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0707 Reestimates of loan guarantee subsidy .....	10,983	22,744	.....
0708 Interest on reestimates of loan guarantee subsidy .....	2,885	4,929	.....
0709 Administrative expenses .....	120	211	138
0900 Total new obligations .....	13,988	27,884	138
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		15	12
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		15	.....
1011 Unobligated balance transfer from other accts [86-0236] ....	4,685	3,299	.....
1050 Unobligated balance (total) .....	4,685	3,314	12
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation - Administrative Expenses .....	207	208	127
1120 Appropriations transferred to other accts [86-4586] .....	-72	.....	.....
1120 Appropriations transferred to other accts [86-0402] .....	.....	.....	-1
1160 Appropriation, discretionary (total) .....	135	208	126
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	.....	943	.....
1260 Appropriations, mandatory (total) .....	.....	943	.....
<b>Spending authority from offsetting collections, mandatory:</b>			
1811 Spending authority from offsetting collections transferred from other accounts [86-0236] .....	9,183	23,431	.....
1850 Spending auth from offsetting collections, mand (total) .....	9,183	23,431	.....
1900 Budget authority (total) .....	9,318	24,582	126
1930 Total budgetary resources available .....	14,003	27,896	138

1941 Memorandum (non-add) entries:			
Unexpired unobligated balance, end of year .....	15	12	.....

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	145	155	245
3010 Obligations incurred, unexpired accounts .....	13,988	27,884	138
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-13,969	-27,794	-188
3041 Recoveries of prior year unpaid obligations, expired .....	-10	.....	.....
3050 Unpaid obligations, end of year .....	155	245	195
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	145	155	245
3200 Obligated balance, end of year .....	155	245	195

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	135	208	126
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	11	21	13
4011 Outlays from discretionary balances .....	91	100	175
4020 Outlays, gross (total) .....	102	121	188
<b>Mandatory:</b>			
4090 Budget authority, gross .....	9,183	24,374	.....
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	9,182	24,374	.....
4101 Outlays from mandatory balances .....	4,685	3,299	.....
4110 Outlays, gross (total) .....	13,867	27,673	.....
4180 Budget authority, net (total) .....	9,318	24,582	126
4190 Outlays, net (total) .....	13,969	27,794	188

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0183-0-1-371	2012 actual	2013 CR	2014 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 MMI Fund, Direct loans .....	.....	50	20
115999 Total direct loan levels .....	.....	50	20
<b>Direct loan subsidy (in percent):</b>			
132001 MMI Fund, Direct loans .....	.....	0.00	0.00
132999 Weighted average subsidy rate .....	.....	0.00	0.00
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215002 MMI Fund .....	213,126	248,000	178,000
215004 MMI HECM .....	13,164	11,723	6,397
215007 MMI Refi .....	233	10,457	14,939
215999 Total loan guarantee levels .....	226,523	270,180	199,336
<b>Guaranteed loan subsidy (in percent):</b>			
232002 MMI Fund .....	-2.53	-7.22	-7.25
232004 MMI HECM .....	-1.52	-2.29	-0.90
232007 MMI Refi .....	0.00	0.00	0.00
232999 Weighted average subsidy rate .....	-2.47	-6.73	-6.50
<b>Guaranteed loan subsidy budget authority:</b>			
233002 MMI Fund .....	-5,382	-17,908	-12,902
233004 MMI HECM .....	-200	-269	-57
233999 Total subsidy budget authority .....	-5,582	-18,177	-12,959
<b>Guaranteed loan subsidy outlays:</b>			
234002 MMI Fund .....	-5,382	-17,908	-12,902
234004 MMI HECM .....	-200	-269	-57
234999 Total subsidy outlays .....	-5,582	-18,177	-12,959
<b>Guaranteed loan upward reestimates:</b>			
235002 MMI Fund .....	13,802	22,156	.....
235004 MMI HECM .....	66	5,517	.....
235999 Total upward reestimate budget authority .....	13,868	27,673	.....
<b>Guaranteed loan downward reestimates:</b>			
237002 MMI Fund .....	-4,304	-5,241	.....
237004 MMI HECM .....	-1,352	.....	.....
237999 Total downward reestimate subsidy budget authority .....	-5,656	-5,241	.....
<b>Administrative expense data:</b>			
3510 Budget authority .....	135	136	127
3580 Outlays from balances .....	90	100	128
3590 Outlays from new authority .....	11	14	13

The Federal Housing Administration (FHA) provides mortgage insurance to encourage lenders to make credit available to borrowers for which the conventional market does not adequately

serve. These include first-time homebuyers, minorities, lower-income families, and residents of underserved areas (central cities and rural areas). In recent years, FHA has also served broader populations, providing access as conventional financing became scarce.

In 2014, the Budget requests a limitation of \$400 billion on loan guarantees for the Mutual Mortgage Insurance (MMI) Fund. The Budget projects insurance of \$178 billion in single family forward mortgages, \$14.9 billion in FHA short refinances, and \$6.4 billion in Home Equity Conversion Mortgages (HECMs) with additional commitment authority available in case these amounts are exceeded during execution. Since October 2010, FHA has increased its annual premium five times, the most recent increase announced in January of 2013. Additionally, FHA also announced at that time that it would reverse a prior policy whereby borrowers were permitted to stop paying annual insurance premiums when their loans amortized to a certain percentage of the original principal balance. Due to this policy, FHA was forfeiting prematurely substantial premium revenue to which it was entitled, while still maintaining responsibility for the outstanding insurance guarantee for these loans. Also, FHA is now requiring manual underwriting for loans with credit scores below 620 and debt-to-income ratios greater than 43% in order to ensure that such borrowers possess compensating factors that accord with FHA underwriting guidelines. Finally, in addition to the premium increases that have placed premiums for loans with values above \$625,500 at their statutory maximum, FHA will also require a minimum down payment of 5% percent for these loans. These increases will bolster FHA's capital reserves, accelerating the point at which FHA will regain compliance with its target capital reserve ratio. These increases also contribute to higher receipts generated by FHA's loan guarantee volume.

Apart from the Budget, HUD is pursuing a comprehensive legislative package which will give FHA the tools it needs to build upon the many administrative steps it has taken since 2009 to strengthen FHA Single Family Programs. These items will allow FHA to enhance enforcement authority, create certainty for FHA approved lenders, protect reverse mortgage consumers, and enhance loss mitigation opportunities for borrowers with FHA approved loans. In total, all these steps will reduce losses to the MMIF.

**Enhanced Indemnification Authority to Obtain Indemnification for Direct Endorsement Lenders:** In order to originate FHA insured loans, lenders must be approved by FHA to be either a Lender Insurance or a Direct Endorsement Lender. FHA can only seek indemnification from lenders with Lender Insurance approval. This authority would provide FHA the ability to treat both classes of FHA approved lenders equally with respect to non-compliant loans.

**Authority to Terminate Origination and Underwriting Approval:** In 2014, HUD will continue to seek authority to terminate lender approval on a broader geographic basis for institutions with default rates significantly higher than their peers.

**Transfer of Servicing:** This fiscal year, HUD will seek authority to approve servicers of FHA insured loans, enabling FHA to, on a case by case basis, transfer servicing of loans to institutions better equipped to reduce losses to the fund by assisting borrowers.

**Additional Authority to manage the Reverse Mortgage Program:** To address the expected losses to the MMIF from the Home Equity Conversation Mortgage Program, HUD intends to make significant revisions to the program including instituting a required financial assessment and establishing mandatory escrow accounts.

**Revise FHA's Compare Ratio:** In an effort to provide greater clarity and certainty to lenders while enabling FHA to more effectively minimize poor lender performance and resulting losses, HUD seeks legislative authority to revise the calculation for the Compare Ratio to better reflect the modern lending environment.

The Budget requests an appropriation of \$127 million in administrative expenses, which will allow FHA to implement improved risk management systems critical for FHA's oversight of its insured portfolio. The Budget discontinues the practice of transferring funds into the Information Technology Portfolio (formerly the Working Capital Fund) from this account.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs, if any, associated with the loan guarantees committed in 1992 and thereafter. The subsidy amounts are estimated on a present value basis.

**Object Classification** (in millions of dollars)

Identification code 86-0183-0-1-371	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	120	211	138
41.0 Grants, subsidies, and contributions .....	10,983	22,744	.....
43.0 Interest and dividends .....	2,885	4,929	.....
99.9 Total new obligations .....	13,988	27,884	138

**FHA-MUTUAL MORTGAGE INSURANCE DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 86-4242-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Claims & other .....		4	4
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....		50	20
0713 Payment of interest to Treasury .....		2	2
0791 Direct program activities, subtotal .....		52	22
0900 Total new obligations .....		56	26
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	54
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....		50	20
1440 Borrowing authority, mandatory (total) .....		50	20
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....		55	25
1850 Spending auth from offsetting collections, mand (total) .....		55	25
1900 Financing authority (total) .....		105	45
1930 Total budgetary resources available .....	5	110	99
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5	54	73
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....		56	26
3020 Financing disbursements (gross) .....		-56	-26
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Financing authority, gross .....		105	45
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....		56	26
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4122 Interest on uninvested funds .....		-1	-1
4123 Repayment of Principal .....		-50	-20
4123 Repayment of interest .....		-4	-4
4130 Offsets against gross financing auth and disbursements (total) .....		-55	-25

**FHA-MUTUAL MORTGAGE INSURANCE DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued**

Identification code 86-4242-0-3-371	2012 actual	2013 CR	2014 est.
4160 Financing authority, net (mandatory) .....		50	20
4170 Financing disbursements, net (mandatory) .....		1	1
4180 Financing authority, net (total) .....		50	20
4190 Financing disbursements, net (total) .....		1	1

**Status of Direct Loans (in millions of dollars)**

Identification code 86-4242-0-3-371	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Limitation on direct loans .....	50	50	20
1142 Unobligated direct loan limitation (-) .....	-50		
1150 Total direct loan obligations .....		50	20
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....			
1231 Disbursements: Direct loan disbursements .....		50	20
1251 Repayments: Repayments and prepayments .....		-50	-20
1290 Outstanding, end of year .....			

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and thereafter (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

The 2014 direct loan limitation of \$20 million in the MMI Fund would permit the Department to use Purchase Money Mortgages (PMMs) to help finance the sale of acquired single family properties. HUD would extend credit for these single family homes to community non-profit organizations or local government entities, which would be expected to sell the properties to low- and moderate-income buyers. The use of PMMs provides a tool for State and local non-profit organizations to use in revitalizing communities, and creates enhanced homeownership opportunities for low- and moderate-income families.

**Balance Sheet (in millions of dollars)**

Identification code 86-4242-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	5	5
1999 Total assets .....	5	5
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2103 Federal Liabilities - Debt .....	1	1
2104 Resources payable to Treasury .....	4	4
2999 Total liabilities .....	5	5
4999 Total liabilities and net position .....	5	5

**FHA-MUTUAL MORTGAGE INSURANCE GUARANTEED LOAN FINANCING  
ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 86-4587-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Other capital investment & operating expenses .....	1,930	4,789	4,959
<b>Credit program obligations:</b>			
0711 Default claim payments on principal .....	15,835	45,438	32,103
0712 Default claim payments on interest .....	1,759	748	
0713 Payment of interest to Treasury .....	305	525	765
0740 Negative subsidy obligations .....	5,582	18,176	12,959
0742 Downward reestimate paid to receipt account .....	5,355	5,102	

0743 Interest on downward reestimates .....	301	139	
0791 Direct program activities, subtotal .....	29,137	70,128	45,827
0900 Total new obligations .....	31,067	74,917	50,786

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	27,044	33,167	27,203
1021 Recoveries of prior year unpaid obligations .....	103		
1050 Unobligated balance (total) .....	27,147	33,167	27,203
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	5,200	13,000	9,400
1440 Borrowing authority, mandatory (total) .....	5,200	13,000	9,400
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections .....	32,017	57,003	32,928
1825 Spending authority from offsetting collections applied to repay debt .....	-130	-1,050	-2,100
1850 Spending auth from offsetting collections, mand (total) .....	31,887	55,953	30,828
1900 Financing authority (total) .....	37,087	68,953	40,228
1930 Total budgetary resources available .....	64,234	102,120	67,431
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	33,167	27,203	16,645

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,007	1,930	1,929
3010 Obligations incurred, unexpired accounts .....	31,067	74,917	50,786
3020 Financing disbursements (gross) .....	-31,041	-74,918	-50,786
3040 Recoveries of prior year unpaid obligations, unexpired .....	-103		
3050 Unpaid obligations, end of year .....	1,930	1,929	1,929
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,007	1,930	1,929
3200 Obligated balance, end of year .....	1,930	1,929	1,929

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Financing authority, gross .....	37,087	68,953	40,228
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....	31,041	74,918	50,786
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Transfer of Reestimates from reserves in Capital Reserve account .....	-13,868	-27,673	
4122 Interest on uninvested funds .....	-1,474	-575	-575
4123 Fees and premiums .....	-16,675	-12,993	-13,290
4123 Recoveries on defaults .....		-15,762	-19,063
4130 Offsets against gross financing auth and disbursements (total) .....	-32,017	-57,003	-32,928
4160 Financing authority, net (mandatory) .....	5,070	11,950	7,300
4170 Financing disbursements, net (mandatory) .....	-976	17,915	17,858
4180 Financing authority, net (total) .....	5,070	11,950	7,300
4190 Financing disbursements, net (total) .....	-976	17,915	17,858

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 86-4587-0-3-371	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Limitation on guaranteed loans made by private lenders .....	400,000	400,000	400,000
2142 Uncommitted loan guarantee limitation .....	-173,477	-129,819	-200,664
2150 Total guaranteed loan commitments .....	226,523	270,181	199,336
2199 Guaranteed amount of guaranteed loan commitments .....	226,523	270,181	199,336
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	1,038,170	1,114,221	1,160,115
2231 Disbursements of new guaranteed loans .....	230,633	268,010	199,240
2251 Repayments and prepayments .....	-138,747	-176,678	-157,845
<b>Adjustments:</b>			
2261 Terminations for default that result in loans receivable .....	-2,813	-1,473	-1,786
2262 Terminations for default that result in acquisition of property .....	-12,412	-42,858	-29,861
2263 Terminations for default that result in claim payments .....	-610	-1,107	-897
2290 Outstanding, end of year .....	1,114,221	1,160,115	1,168,966
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,114,221	1,160,115	1,168,966

Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	1,142	1,744	2,492
2331	Disbursements for guaranteed loan claims .....	1,785	1,463	1,786
2351	Repayments of loans receivable .....	-1,183	-589	-499
2361	Write-offs of loans receivable .....		-126	-142
2390	Outstanding, end of year .....	1,744	2,492	3,637

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loans insured in 1992 and thereafter. The amounts in this account are considered a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 86-4587-0-3-371	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	29,051	35,098
Investments in US securities:			
1106	Receivables, net .....	9,725	20,643
Non-Federal assets:			
1201	Investments in non-Federal securities, net .....	4	
1206	Receivables, net .....	505	621
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	1,142	1,744
1502	Interest receivable .....	4	41
1504	Foreclosed property .....	5,200	4,888
1505	Allowance for subsidy cost .....	-3,866	-4,482
1599	Net value of assets related to defaulted guaranteed loan .....	2,480	2,191
1901	Other Federal assets: Other assets .....	267	260
1999	Total assets .....	42,032	58,813
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable .....	8,736	6,316
2103	Federal liabilities, Debt .....	3,585	8,655
Non-Federal liabilities:			
2201	Accounts payable .....	692	485
2204	Liabilities for loan guarantees .....	28,442	42,635
2207	Other .....	577	722
2999	Total liabilities .....	42,032	58,813
4999	Total liabilities and net position .....	42,032	58,813

**FHA-MUTUAL MORTGAGE INSURANCE CAPITAL RESERVE ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 86-0236-0-1-371	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4,685	3,309	
1010	Unobligated balance transfer to other accts [86-0183] .....	-4,685	-3,299	
1010	Unobligated balance transfer to other accts [86-4070] .....		-10	
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (negative subsidy) .....	5,582	18,176	12,959
1800	Offsetting collections (interest on investments) .....	1,273	14	208
1800	Offsetting collections (downward reestimate) .....	5,655	5,241	
1801	Change in uncollected payments, Federal sources .....	-18		
1810	Spending authority from offsetting collections transferred to other accounts [86-0183] .....	-9,183	-23,431	
1810	Spending authority from offsetting collections transferred to other accounts [86-4070] .....			-8
1850	Spending auth from offsetting collections, mand (total) .....	3,309		13,159
1930	Total budgetary resources available .....	3,309		13,159
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3,309		13,159
<b>Change in obligated balance:</b>				
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-19	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	18		

3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-19	-1	-1
3200	Obligated balance, end of year .....	-1	-1	-1

**Budget authority and outlays, net:**

Discretionary:				
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-5,582	-18,176	-12,959
Mandatory:				
4090	Budget authority, gross .....	3,309		13,159
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal Sources: Downward Re-estimate .....	-5,655	-5,241	
4121	Interest on Federal securities .....	-1,273	-14	-208
4130	Offsets against gross budget authority and outlays (total) ...	-6,928	-5,255	-208
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	18		
4160	Budget authority, net (mandatory) .....	-3,601	-5,255	12,951
4170	Outlays, net (mandatory) .....	-6,928	-5,255	-208
4180	Budget authority, net (total) .....	-9,183	-23,431	-8
4190	Outlays, net (total) .....	-12,510	-23,431	-13,167

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	4,157	2,774	
5001	Total investments, EOY: Federal securities: Par value .....	2,774		13,166

In 2002, a Capital Reserve account was established for the Mutual Mortgage Insurance Fund. Financial reserves, including securities, of the MMI Fund were transferred from the liquidating account to the Capital Reserve account. The Liquidating account now only reflects cash flows related to pre-1992 books of business. In 2003, the Capital Reserve account started earning interest on Treasury investments, collecting negative subsidy and downward re-estimates from the Financing account, and paying upward re-estimates to the Program account. As such, this account is the ultimate depository for all resources collected by the MMI Fund. The amount of reserves held in this account fluctuates with changes in economic conditions, loan performance, and other factors that cause actual reserve levels in the future to vary from projections. The Budget estimates that reserves will be insufficient for the cost of the 2013 upward credit subsidy re-estimate. As a result, the Budget anticipates that FHA will use a permanent indefinite appropriation in the MMI Program Account of \$943 million in addition to \$26,730 million in reserves transferred from this account to pay for the upward re-estimate. Such use of permanent indefinite authority is authorized by the Federal Credit Reform Act. Based on the results of the 2012 FHA Actuarial Review, the capital reserve ratio will reach the target level of 2 percent in 2017.

**Balance Sheet** (in millions of dollars)

Identification code 86-0236-0-1-371	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	266	537
Investments in US securities:			
1102	Treasury securities, net .....	4,127	2,772
1106	Receivables, net .....	6,908	21,051
1999	Total assets .....	11,301	24,360
<b>LIABILITIES:</b>			
2101	Federal liabilities: Accounts payable .....	3,542	20,643
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	7,759	3,717
4999	Total liabilities and net position .....	11,301	24,360

FHA-MUTUAL MORTGAGE AND COOPERATIVE HOUSING INSURANCE FUNDS  
LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4070-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0103 Acquisition of real properties .....	26	21	14
0107 Capitalized expenses .....	7	1	1
0108 Loss mitigation activities .....	1		
0191 Total capital investment .....	34	22	15
0202 Other Operation expenses .....	6	2	2
0900 Total new obligations .....	40	24	17
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	3	
1011 Unobligated balance transfer from other accts [86-0236] .....		10	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	22	13	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	21	11	9
1811 Spending authority from offsetting collections transferred from other accounts [86-0236] .....			8
1850 Spending auth from offsetting collections, mand (total) .....	21	11	17
1930 Total budgetary resources available .....	43	24	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	155	156	95
3010 Obligations incurred, unexpired accounts .....	40	24	17
3020 Outlays (gross) .....	-36	-85	-82
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	156	95	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	155	156	95
3200 Obligated balance, end of year .....	156	95	30
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	21	11	17
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	10	16
4101 Outlays from mandatory balances .....	35	75	66
4110 Outlays, gross (total) .....	36	85	82
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - Fees & Premiums .....	-21	-3	-2
4123 Non-Federal sources - Recoveries .....		-8	-7
4130 Offsets against gross budget authority and outlays (total) .....	-21	-11	-9
4160 Budget authority, net (mandatory) .....			8
4170 Outlays, net (mandatory) .....	15	74	73
4180 Budget authority, net (total) .....			8
4190 Outlays, net (total) .....	15	74	73

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4070-0-3-371	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	4,602	3,680	2,983
2251 Repayments and prepayments .....	-908	-676	-677
Adjustments:			
2262 Terminations for default that result in acquisition of property .....	-13	-19	-12
2263 Terminations for default that result in claim payments .....	-1	-2	-1
2290 Outstanding, end of year .....	3,680	2,983	2,293
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	3,680	2,983	2,293

Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	17	17	17
2390 Outstanding, end of year .....	17	17	17

The Federal Housing Administration Fund currently consists of four separate insurance funds.

In order to present more clearly the operations of the various funds, FHA's budget transactions are separated into two major business segments. The basic single family insurance programs, including the Condominium, Section 203(k) rehabilitation, and Home Equity Conversion Mortgage programs, in the Mutual Mortgage Insurance (MMI) fund and the multifamily Cooperative Management Housing Insurance (CMHI) funds form one segment. All other multifamily and other specialized insurance programs are in the General Insurance and Special Risk Insurance funds (GI/SRI).

The Federal Credit Reform Act of 1990 creates a structure of three accounts for existing credit programs. For each of the FHA business segments (MMI/CMHI and GI/SRI) there is a liquidating account, which records the revenues and costs associated with loan insurance committed prior to October 1, 1991; a financing account which records the revenues and costs associated with commitments to insure loans made after September 30, 1991; and, a program account which records the transactions associated with the program subsidy costs, if any, and the costs of administering the program.

This liquidating account records, for this program, all cash flows to and from the Government resulting from MMI/CMHI loans insured prior to 1992 and is shown on a cash basis. All new activity in this program in 1992 and thereafter (including modifications of loans insured in any year) is recorded in the corresponding program (86-0183) and financing (86-4587 and 86-4242) accounts.

In 2002, the MMI Capital Reserve account was established to maintain reserves required by statute that were previously deposited in the liquidating account.

*Financial condition.*—The following tables reflect the revenues, expenses and financial condition of the MMI/CMHI liquidating funds based on Generally Accepted Accounting Principles.

Balance Sheet (in millions of dollars)

Identification code 86-4070-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	174	159
1206 Non-Federal assets: Receivables, net .....	3	5
1701 Defaulted guaranteed loans, gross .....	17	17
1703 Allowance for estimated uncollectible loans and interest (-) .....	-15	-10
1799 Value of assets related to loan guarantees .....	2	7
1901 Other Federal assets: Other assets .....	1	1
1999 Total assets .....	180	172
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	145	136
2204 Liabilities for loan guarantees .....	18	11
2207 Unearned revenue and advances, and other .....	11	14
2999 Total liabilities .....	174	161
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	6	11
4999 Total liabilities and net position .....	180	172

Object Classification (in millions of dollars)

Identification code 86-4070-0-3-371	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	13		2

32.0	Land and structures .....	26	22	14
42.0	Insurance claims and indemnities .....	1	2	1
99.9	Total new obligations .....	40	24	17

GENERAL AND SPECIAL RISK PROGRAM ACCOUNT

New commitments to guarantee loans insured under the General and Special Risk Insurance Funds, as authorized by sections 238 and 519 of the National Housing Act (12 U.S.C. 1715z-3 and 1735c), shall not exceed \$30,000,000,000 in total loan principal, any part of which is to be guaranteed, to remain available until September 30, 2015: Provided, That during fiscal year 2014, gross obligations for the principal amount of direct loans, as authorized by sections 204(g), 207(l), 238, and 519(a) of the National Housing Act, shall not exceed \$20,000,000, which shall be for loans to nonprofit and governmental entities in connection with the sale of single family real properties owned by the Secretary and formerly insured under such Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0200-0-1-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707	Reestimates of loan guarantee subsidy .....	523	4,096
0708	Interest on reestimates of loan guarantee subsidy .....	223	1,586
0900	Total new obligations (object class 41.0) .....	746	5,682
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	17	17
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	746	5,682
1260	Appropriations, mandatory (total) .....	746	5,682
1900	Budget authority (total) .....	746	5,682
1930	Total budgetary resources available .....	763	5,699
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	17	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	17	8
3010	Obligations incurred, unexpired accounts .....	746	5,682
3020	Outlays (gross) .....	-749	-5,690
3041	Recoveries of prior year unpaid obligations, expired .....	-6	
3050	Unpaid obligations, end of year .....	8	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	17	8
3200	Obligated balance, end of year .....	8	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	3	8
Mandatory:			
4090	Budget authority, gross .....	746	5,682
Outlays, gross:			
4100	Outlays from new mandatory authority .....	746	5,682
4180	Budget authority, net (total) .....	746	5,682
4190	Outlays, net (total) .....	749	5,690

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0200-0-1-371	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001	GI/SRI Direct Loans .....	1	1
115999	Total direct loan levels .....	1	1
Direct loan subsidy (in percent):			
132001	GI/SRI Direct Loans .....	0.00	0.00

132999	Weighted average subsidy rate .....	0.00	0.00
Guaranteed loan levels supportable by subsidy budget authority:			
215001	Multifamily Development .....	2,584	2,500
215003	Tax Credit New Construction .....	1,283	1,252
215004	238(c) Military Impact Area .....	32	
215005	Apartments Refinance .....	11,144	12,802
215008	Housing Finance Authority Risk Sharing .....	166	170
215009	GSE Risk Sharing .....	128	135
215010	Health Care and Nursing Homes .....	266	256
215011	Health Care Refinances .....	5,688	5,486
215012	Hospitals .....	295	675
215013	Other Rental .....	331	242
215017	Title 1 Property Improvement .....	101	128
215018	Title 1 Manufactured Housing .....	32	24
215999	Total loan guarantee levels .....	22,050	23,670
Guaranteed loan subsidy (in percent):			
232001	Multifamily Development .....	-1.09	-2.51
232003	Tax Credit New Construction .....	-2.30	-3.15
232004	238(c) Military Impact Area .....	0.45	
232005	Apartments Refinance .....	-2.17	-4.65
232008	Housing Finance Authority Risk Sharing .....	-0.99	-3.41
232009	GSE Risk Sharing .....	-0.99	-2.15
232010	Health Care and Nursing Homes .....	-1.34	-3.15
232011	Health Care Refinances .....	-1.96	-4.29
232012	Hospitals .....	-3.82	-6.41
232013	Other Rental .....	-1.70	-1.08
232017	Title 1 Property Improvement .....	-0.67	0.00
232018	Title 1 Manufactured Housing .....	-2.14	-2.58
232999	Weighted average subsidy rate .....	-1.98	-4.21
Guaranteed loan subsidy budget authority:			
233001	Multifamily Development .....	-29	-63
233003	Tax Credit New Construction .....	-30	-39
233005	Apartments Refinance .....	-242	-595
233008	Housing Finance Authority Risk Sharing .....	-2	-6
233009	GSE Risk Sharing .....	-1	-3
233010	Health Care and Nursing Homes .....	-4	-8
233011	Health Care Refinances .....	-111	-235
233012	Hospitals .....	-11	-43
233013	Other Rental .....	-6	-3
233017	Title 1 Property Improvement .....	-1	
233018	Title 1 Manufactured Housing .....	-1	-1
233999	Total subsidy budget authority .....	-438	-996
Guaranteed loan subsidy outlays:			
234001	Multifamily Development .....	-26	-56
234003	Tax Credit New Construction .....	-26	-36
234005	Apartments Refinance .....	-227	-531
234007	Multifamily Operating Loss Loans .....	1	
234008	Housing Finance Authority Risk Sharing .....	-1	-6
234009	GSE Risk Sharing .....	-1	-2
234010	Health Care and Nursing Homes .....	-3	-8
234011	Health Care Refinances .....	-94	-206
234012	Hospitals .....	-12	-40
234013	Other Rental .....	-4	-4
234017	Title 1 Property Improvement .....	-1	
234018	Title 1 Manufactured Housing .....	-1	-1
234999	Total subsidy outlays .....	-395	-890
Guaranteed loan upward reestimates:			
235023	GI/SRI Reestimates .....	746	5,682
235999	Total upward reestimate budget authority .....	746	5,682
Guaranteed loan downward reestimates:			
237023	GI/SRI Reestimates .....	-2,216	-529
237999	Total downward reestimate subsidy budget authority .....	-2,216	-529

This account includes credit subsidy budget authority and outlays for FHA's General Insurance and Special Risk Insurance Fund programs, including reestimates and modifications. These programs provide mortgage insurance for a variety of purposes including financing for the development or rehabilitation of multifamily housing, nursing homes, and hospitals. The Budget does not request an appropriation of new credit subsidy funds and assumes that HUD will continue to suspend issuance of new insurance on the two types of loans that currently require positive credit subsidy: Section 221(d)(3) multifamily housing loans for projects with non-profit sponsors and Section 223(d) operating loss loans to multifamily housing projects with a primary FHA mortgage. Neither suspension is expected to have a detrimental impact on the production and preservation of rental housing.

## GENERAL AND SPECIAL RISK PROGRAM ACCOUNT—Continued

Effective October 1, 2012, Section 223(d) operating loss loans to healthcare facilities with a primary 232 mortgage and Section 241(a) supplemental loans to FHA-financed multifamily housing loans are reported under the budget risk category of the primary FHA mortgage.

Credit subsidy rates for 2014 reflect a continuation of 2013 mortgage insurance premium increases for newly insured market rate multifamily housing and healthcare facility loans.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with loan guarantees committed or direct loans obligated in 1992 and thereafter. The subsidy amounts are estimated on a present value basis. This account no longer includes appropriations for administrative contract costs, which were moved to the MMI Fund in 2010.

## FHA-GENERAL AND SPECIAL RISK GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 86-4077-0-3-371	2012 actual	2013 CR	2014 est.
<b>Capital investment, claims and other</b>			
0003 Other capital investments and operating expenses .....	32	45	36
0014 Contract Costs .....	42	75	123
0091 Direct program activities, subtotal .....	74	120	159
<b>Credit program obligations:</b>			
0711 Default claim payments on principal .....	2,008	4,313	3,338
0712 Default claim payments on interest .....	223	431	334
0713 Payment of interest to Treasury .....	158	175	175
0740 Negative subsidy obligations .....	438	996	848
0742 Downward reestimate paid to receipt account .....	1,723	470	.....
0743 Interest on downward reestimates .....	494	59	.....
0791 Direct program activities, subtotal .....	5,044	6,444	4,695
0900 Total new obligations .....	5,118	6,564	4,854
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9,181	7,083	9,104
1021 Recoveries of prior year unpaid obligations .....	19	.....	.....
1023 Unobligated balances applied to repay debt .....	.....	-200	-200
1050 Unobligated balance (total) .....	9,200	6,883	8,904
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	560	800	800
1440 Borrowing authority, mandatory (total) .....	560	800	800
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	2,576	8,185	2,714
1825 Spending authority from offsetting collections applied to repay debt .....	-135	-200	-200
1850 Spending auth from offsetting collections, mand (total) .....	2,441	7,985	2,514
1900 Financing authority (total) .....	3,001	8,785	3,314
1930 Total budgetary resources available .....	12,201	15,668	12,218
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	7,083	9,104	7,364
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	313	542	2,144
3010 Obligations incurred, unexpired accounts .....	5,118	6,564	4,854
3020 Financing disbursements (gross) .....	-4,870	-4,962	-3,212
3040 Recoveries of prior year unpaid obligations, unexpired .....	-19	.....	.....
3050 Unpaid obligations, end of year .....	542	2,144	3,786
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	312	541	2,143
3200 Obligated balance, end of year .....	541	2,143	3,785
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Financing authority, gross .....	3,001	8,785	3,314

<b>Financing disbursements:</b>				
4110 Financing disbursements, gross .....	4,870	4,962	3,212	
<b>Offsets against gross financing authority and disbursements:</b>				
<b>Offsetting collections (collected) from:</b>				
4120 Subsidy reestimate from program account .....	-746	-5,682	.....	
4120 Other payments from FHA Accounts .....	-1	-1	.....	
4122 Interest on uninvested funds .....	-405	-405	-405	
4123 Fees and premiums .....	-796	-947	-1,015	
4123 Recoveries on HUD-Held Notes .....	-128	-312	-547	
4123 Title I recoveries .....	-10	.....	.....	
4123 Single family property recoveries .....	-320	-659	-517	
4123 Gross Proceeds from Mortgage Note Sales .....	-160	-179	-230	
4123 Non-Federal Resources-other .....	-10	.....	.....	
4130 Offsets against gross financing auth and disbursements (total) .....	-2,576	-8,185	-2,714	
4160 Financing authority, net (mandatory) .....	425	600	600	
4170 Financing disbursements, net (mandatory) .....	2,294	-3,223	498	
4180 Financing authority, net (total) .....	425	600	600	
4190 Financing disbursements, net (total) .....	2,294	-3,223	498	

## Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4077-0-3-371	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Limitation on guaranteed loans made by private lenders .....	25,000	25,000	30,000
2142 Uncommitted loan guarantee limitation .....	-2,950	-1,330	-8,088
2150 Total guaranteed loan commitments .....	22,050	23,670	21,912
2199 Guaranteed amount of guaranteed loan commitments .....	21,613	23,559	21,750
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	136,016	141,710	156,238
2231 Disbursements of new guaranteed loans .....	19,957	26,708	23,937
2251 Repayments and prepayments .....	-12,032	-6,955	-5,965
<b>Adjustments:</b>			
2261 Terminations for default that result in loans receivable .....	-1,399	-2,816	-1,943
2262 Terminations for default that result in acquisition of property .....	-678	-1,141	-712
2263 Terminations for default that result in claim payments .....	-154	-1,268	-2,557
2290 Outstanding, end of year .....	141,710	156,238	168,998
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	138,455	152,650	165,117
<b>Addendum:</b>			
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>			
2310 Outstanding, start of year .....	2,120	2,458	4,197
2331 Disbursements for guaranteed loan claims .....	1,399	2,816	1,930
2351 Repayments of loans receivable .....	-231	-115	-551
2361 Write-offs of loans receivable .....	-830	-962	-1,166
2390 Outstanding, end of year .....	2,458	4,197	4,410

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and thereafter (including modifications of loan guarantees that resulted from commitments in any year) for FHA's General and Special Risk Insurance Fund programs. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

## Balance Sheet (in millions of dollars)

Identification code 86-4077-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	9,493	7,624
<b>Investments in US securities:</b>		
1106 Receivables, net .....	562	6,452
<b>Non-Federal assets:</b>		
1201 Investments in non-Federal securities, net .....	56	57
1206 Receivables, net .....	45	47
<b>Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:</b>		
1501 Defaulted guaranteed loans receivable, gross .....	2,120	2,458

1502	Interest receivable .....	503	846
1504	Foreclosed property .....	479	254
1505	Allowance for subsidy cost .....	-1,167	-1,531
1599	Net value of assets related to defaulted guaranteed loan .....	1,935	2,027
1901	Other Federal assets: Other assets .....	2,292	8
1999	Total assets .....	14,383	16,215
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable Intragovernmental .....	1,801	609
2103	Debt .....	2,447	2,873
Non-Federal liabilities:			
2201	Accounts payable .....	126	330
2204	Liabilities for loan guarantees .....	7,608	12,311
2207	Other .....	115	92
2999	Total liabilities .....	12,097	16,215
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	2,286	
4999	Total liabilities and net position .....	14,383	16,215

FHA-GENERAL AND SPECIAL RISK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4105-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	Direct loan obligations .....	1	1
0900	Total new obligations .....	1	1
<b>Budgetary Resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	1	1
1440	Borrowing authority, mandatory (total) .....	1	1
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	1	1
1825	Spending authority from offsetting collections applied to repay debt .....	-1	-1
1900	Financing authority (total) .....	1	1
1930	Total budgetary resources available .....	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	1	1
3020	Financing disbursements (gross) .....	-1	-1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	1	1
Financing disbursements:			
4110	Financing disbursements, gross .....	1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123	Repayment of Principal .....	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 86-4105-0-3-371	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans .....	20	20
1142	Unobligated direct loan limitation (-) .....	-20	-19
1150	Total direct loan obligations .....	1	1

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and thereafter (including loan modifications) for FHA's General Insurance and Special Risk Insurance Fund programs. The amounts in this account are a means of financing and are not included in the budget

totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

FHA-LOAN GUARANTEE RECOVERY FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4106-0-3-371	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	4	4
1930	Total budgetary resources available .....	4	4
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	4	4
<b>Change in obligated balance:</b>			
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	-1	-1
3200	Obligated balance, end of year .....	-1	-1

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4106-0-3-371	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	6	6
2251	Repayments and prepayments .....	-1	-1
2290	Outstanding, end of year .....	6	5
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	6	4

Section 4 of the Church Arson Prevention Act of 1996 (P.L. 104-155), entitled "Loan Guarantee Recovery Fund," authorizes the Secretary of Housing and Urban Development to guarantee loans made by financial institutions to assist certain non-profit organizations that were damaged as a result of acts of arson or terrorism. As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and thereafter. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

Balance Sheet (in millions of dollars)

Identification code 86-4106-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	4
1999	Total assets .....	4
<b>LIABILITIES:</b>		
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	4
4999	Total liabilities and net position .....	4

FHA-GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4072-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Capital investment: Claims and other			
0101	Interest on Debentures .....	1	
0102	Assignment and Property Acquisition Claims .....	20	10
0104	Mark-To-Market Restructures .....	9	

FHA-GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING  
ACCOUNT—Continued

## Program and Financing—Continued

Identification code 86-4072-0-3-371	2012 actual	2013 CR	2014 est.
0110 Capitalized Expenses .....	4		
0111 HUD Held Notes Escrow Activity .....	58	58	53
0112 Upfront Grants .....	4		
0113 Other .....	4		
0900 Total new obligations .....	80	78	63
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	253	182	
1021 Recoveries of prior year unpaid obligations .....	8		
1022 Capital transfer of unobligated balances to general fund .....	-253	-182	
1050 Unobligated balance (total) .....	8		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	30	30	30
1260 Appropriations, mandatory (total) .....	30	30	30
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	234	105	57
1820 Capital transfer of spending authority from offsetting collections to general fund .....		-57	-24
1825 Spending authority from offsetting collections applied to repay debt .....	-10		
1850 Spending auth from offsetting collections, mand (total) .....	224	48	33
1900 Budget authority (total) .....	254	78	63
1930 Total budgetary resources available .....	262	78	63
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	182		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	396	366	346
3010 Obligations incurred, unexpired accounts .....	80	78	63
3020 Outlays (gross) .....	-102	-98	-83
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050 Unpaid obligations, end of year .....	366	346	326
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	395	365	345
3200 Obligated balance, end of year .....	365	345	325
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	254	78	63
Outlays, gross:			
4100 Outlays from new mandatory authority .....	80	75	60
4101 Outlays from mandatory balances .....	22	23	23
4110 Outlays, gross (total) .....	102	98	83
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - Other .....	-234	-105	-57
4180 Budget authority, net (total) .....	20	-27	6
4190 Outlays, net (total) .....	-132	-7	26
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	3	3	
5001 Total investments, EOY: Federal securities: Par value .....	3		

## Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4072-0-3-371	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	2,332	1,755	1,477
2251 Repayments and prepayments .....	-566	-258	-176
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-9	-19	-10
2262 Terminations for default that result in acquisition of property .....	-2	-1	-1
2290 Outstanding, end of year .....	1,755	1,477	1,290

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1,755	1,477	1,290
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	2,483	2,359	2,322
2331	Disbursements for guaranteed loan claims .....	9	19	10
2351	Repayments of loans receivable .....	-133	-56	-54
2390	Outstanding, end of year .....	2,359	2,322	2,278

The General and Special Risk Insurance funds provide insurance for a large number of specialized mortgage insurance programs, including insurance of loans for property improvements, cooperatives, condominiums, nursing homes, rental housing and nonprofit hospitals.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from loan guarantees committed and direct loans obligated prior to 1992. This account is shown on a cash basis. New insurance and direct loan activity in 1992 and thereafter in the GI/SRI programs are recorded in corresponding program (86-0200) and financing (86-4077 and 86-4105) accounts.

## Balance Sheet (in millions of dollars)

Identification code 86-4072-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	645	544
Investments in US securities:		
1102 Treasury securities, par .....	3	3
Non-Federal assets:		
1201 Investments in non-Federal securities, net .....	3	3
1206 Receivables, net .....	11	3
1701 Defaulted guaranteed loans, gross .....	2,483	2,359
1702 Interest receivable .....	226	231
1703 Allowance for estimated uncollectible loans and interest (-) .....	-1,671	-1,371
1799 Value of assets related to loan guarantees .....	1,038	1,219
1901 Other Federal assets: Other assets .....	115	251
1999 Total assets .....	1,815	2,023
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	13	7
2203 Debt .....	10	
2204 Liabilities for loan guarantees .....	16	7
2207 Other .....	192	165
2999 Total liabilities .....	231	179
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	68	89
3300 Cumulative results of operations .....	1,516	1,755
3999 Total net position .....	1,584	1,844
4999 Total liabilities and net position .....	1,815	2,023

## Object Classification (in millions of dollars)

Identification code 86-4072-0-3-371	2012 actual	2013 CR	2014 est.
Direct obligations:			
32.0	Land and structures .....	2	1
33.0	Investments and loans .....	78	77
99.9	Total new obligations .....	80	78

## HOUSING FOR THE ELDERLY OR HANDICAPPED FUND LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 86-4115-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0102	Loan Management, Liquidations and Property Dispositions .....	2	6
			6

0900	Total new obligations (object class 32.0)	2	6	6
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	6	35	
1022	Capital transfer of unobligated balances to general fund	-6	-35	
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	572	550	400
1820	Capital transfer of spending authority from offsetting collections to general fund	-535	-544	-394
1850	Spending auth from offsetting collections, mand (total)	37	6	6
1930	Total budgetary resources available	37	6	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	35		

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	5	5	8
3010	Obligations incurred, unexpired accounts	2	6	6
3020	Outlays (gross)	-2	-3	-5
3050	Unpaid obligations, end of year	5	8	9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	5	5	8
3200	Obligated balance, end of year	5	8	9

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	37	6	6
Outlays, gross:				
4100	Outlays from new mandatory authority		2	2
4101	Outlays from mandatory balances	2	1	3
4110	Outlays, gross (total)	2	3	5
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-572	-550	-400
4180	Budget authority, net (total)	-535	-544	-394
4190	Outlays, net (total)	-570	-547	-395

**Status of Direct Loans** (in millions of dollars)

Identification code 86-4115-0-3-371	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	2,846	2,493	2,155
1251	Repayments: Repayments and prepayments	-348	-332	-332
1264	Write-offs for default: Other adjustments, net (+ or -)	-5	-6	-6
1290	Outstanding, end of year	2,493	2,155	1,817

The Housing for the Elderly or Handicapped Loan Fund was established pursuant to section 202 of the Housing Act of 1959, as amended. The fund provided direct loans to non-profit organizations sponsoring the construction and management of rental housing for the elderly or non-elderly persons with disabilities. No new loan commitments were made after 1991; however, projects developed under it continue to operate. After April 1, 1992, all projects for which there were administrative reservations converted to the capital advance assistance program. Any remaining activity for the loan program includes amendments for projects reaching final endorsement.

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from this program.

**Balance Sheet** (in millions of dollars)

Identification code 86-4115-0-3-371	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury	11	40
1206	Non-Federal assets: Interest Receivable: Public	29	27
1601	Direct loans, gross	2,846	2,493
1603	Allowance for estimated uncollectible loans and interest (-)	-9	-21
1604	Direct loans and interest receivable, net	2,837	2,472
1606	Acquired Real Property	1	
1699	Value of assets related to direct loans	2,838	2,472

1999	Total assets	2,878	2,539
<b>LIABILITIES:</b>			
2207	Non-Federal liabilities: Other	6	3
<b>NET POSITION:</b>			
3100	Unexpended Appropriations	10	8
3300	Revolving Fund: Cumulative results of operations	2,862	2,528
3999	Total net position	2,872	2,536
4999	Total liabilities and net position	2,878	2,539

**Trust Funds**

**MANUFACTURED HOUSING FEES TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 86-8119-0-7-376	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year	1	1	1
<b>Receipts:</b>				
0240	General Fund Payment, Manufactured Housing Fee Trust Fund	3	3	1
0260	Mobile Home Inspection and Monitoring Fees, Manufactured Housing Fee Trust Fund	3	3	7
0299	Total receipts and collections	6	6	8
0400	Total: Balances and collections	7	7	9
<b>Appropriations:</b>				
0500	Manufactured Housing Fees Trust Fund	-6	-6	-8
0799	Balance, end of year	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 86-8119-0-7-376	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0002	Manufactured Housing Program Costs — Fees	8	6	6
0004	Manufactured Housing Program Costs — Direct Appropriations	2	4	4
0900	Total new obligations	10	10	10
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	15	11	7
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund)	6	6	8
1160	Appropriation, discretionary (total)	6	6	8
1930	Total budgetary resources available	21	17	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	11	7	5

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	5	6
3010	Obligations incurred, unexpired accounts	10	10	10
3020	Outlays (gross)	-7	-9	-8
3050	Unpaid obligations, end of year	5	6	8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	5	6
3200	Obligated balance, end of year	5	6	8

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	6	6	8
Outlays, gross:				
4010	Outlays from new discretionary authority	1	2	3
4011	Outlays from discretionary balances	6	7	5
4020	Outlays, gross (total)	7	9	8
4180	Budget authority, net (total)	6	6	8
4190	Outlays, net (total)	7	9	8

The National Manufactured Housing Construction and Safety Standards Act of 1974, as amended, authorizes the development and enforcement of appropriate standards for the construction, design, and performance of manufactured homes to assure their

MANUFACTURED HOUSING FEES TRUST FUND—Continued

quality, durability, affordability, and safety. All manufactured homes produced, since the standards took effect in 1976, must comply with Federal construction and safety standards. A majority of States participate in the program under compliance plans approved by HUD. Program requirements mandated by the Manufactured Housing Improvement Act of 2000 include procurement of an Administering Organization, formation of a Consensus Committee to recommend revisions to and interpretations of the manufactured housing standards, development and implementation of standards for installation of manufactured housing, and development and implementation of a dispute resolution program.

Fees are charged to the manufacturers for each transportable section produced and may be charged to any dispute resolution and installation program participant. Prior to 2009, this fee income was sufficient to fully support program operations. However, due to a significant decline in manufactured housing production rates in recent years, fee collections have been insufficient to maintain program requirements. The 2014 Budget proposes to fund the costs of authorized activities necessary to carry out all aspects of the manufactured housing legislation with a direct appropriation of \$1 million and approximately \$6.53 million in estimated fees. In order to achieve this level of collections, HUD is also proposing to increase the label fee in 2014 from \$39 to up to \$100 per label. This fee increase is necessary to ensure that HUD can continue to fulfill its statutory responsibilities.

Object Classification (in millions of dollars)

Identification code 86-8119-0-7-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	7	7	7
41.0 Grants, subsidies, and contributions .....	3	3	3
99.9 Total new obligations .....	10	10	10

GOVERNMENT NATIONAL MORTGAGE ASSOCIATION

The Government National Mortgage Association (GNMA) was formed by Congress in 1968. It is a wholly owned government corporation within the U.S. Department of Housing and Urban Development (HUD). It was established to support Federal housing initiatives by providing liquidity to the secondary mortgage market and to attract capital from the global capital markets for the nation's mortgage markets. Its primary function is to guarantee the timely payment of principal and interest on Mortgage-Backed Securities (MBS) that are backed by loans insured or guaranteed by the Federal Housing Administration (FHA), the Department of Veterans Affairs (VA), Rural Development in the U.S. Department of Agriculture, and HUD's Office of Public and Indian Housing.

Federal Funds

GUARANTEES OF MORTGAGE-BACKED SECURITIES CAPITAL RESERVE ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-0238-0-1-371	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			8,489
1010 Unobligated balance transfer to other accts [86-0186] .....			-100
1011 Unobligated balance transfer from other accts [86-4238] ....		1,576	
1011 Unobligated balance transfer from other accts [86-4240] ....		200	200

1050 Unobligated balance (total) .....	1,776	8,589
Budget authority:		
Appropriations, mandatory:		
1221 Appropriations transferred from other accts [86-0186] ....	6,083	
1260 Appropriations, mandatory (total) .....	6,083	
Spending authority from offsetting collections, mandatory:		
1800 Offsetting collections (negative subsidy) .....	580	542
1800 Offsetting collections (interest on investments) .....	50	130
1850 Spending auth from offsetting collections, mand (total) .....	630	672
1900 Budget authority (total) .....	6,713	672
1930 Total budgetary resources available .....	8,489	9,261
Memorandum (non-add) entries:		
1941 Unexpired unobligated balance, end of year .....	8,489	9,261

Budget authority and outlays, net:

Discretionary:		
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4030 Federal sources .....	-580	-542
Mandatory:		
4090 Budget authority, gross .....	6,713	672
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4121 Interest on Federal securities .....	-50	-130
4180 Budget authority, net (total) .....	6,083	
4190 Outlays, net (total) .....	-630	-672

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....		7,659
5001 Total investments, EOY: Federal securities: Par value .....	7,659	7,678

In 2013, a Capital Reserve account was established for the Government National Mortgage Association. Financial reserves of the Association were transferred from the reserve receipt and liquidating accounts to the Capital Reserve account. This mandatory account earns interest on Treasury investments and is the eventual depository for all resources collected by the Association. The amount of reserves held in this account fluctuates with changes in economic conditions, issuer performance, and other factors that cause actual reserve levels in the future to vary from projections. The Liquidating account now only reflects cash flows related to pre-1998 books of business.

GUARANTEES OF MORTGAGE-BACKED SECURITIES LOAN GUARANTEE PROGRAM ACCOUNT

*New commitments to issue guarantees to carry out the purposes of section 306 of the National Housing Act, as amended (12 U.S.C. 1721(g)), shall not exceed \$500,000,000, to remain available until September 30, 2015: Provided, That \$21,200,000 shall be available for necessary salaries and expenses of the Office of Government National Mortgage Association: Provided further, That to the extent that guaranteed loan commitments will and do exceed \$155,000,000,000 on or before April 1, 2014, an additional \$100 for necessary salaries and expenses shall be available until expended for each \$1,000,000 in additional guaranteed loan commitments (including a pro rata amount for any amount below \$1,000,000), but in no case shall funds made available by this proviso exceed \$3,000,000: Provided further, That receipts from Commitment and Multiclass fees collected pursuant to title III of the National Housing Act, as amended, shall be credited as offsetting collections to this account.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 86-0186-0-1-371	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	5,486	6,083	
Receipts:			
0220 GNMA-guarantees of Mortgage Backed Securities Guarantee			
Loans, Negative Subsidies .....	737		
0400 Total: Balances and collections .....	6,223	6,083	

Appropriations:			
0500	Guarantees of Mortgage-backed Securities Loan Guarantee Program Account	-140	
0501	Guarantees of Mortgage-backed Securities Loan Guarantee Program Account		-6,083
0599	Total appropriations	-140	-6,083
0799	Balance, end of year	6,083	

4180	Budget authority, net (total)	38	-59	-56
4190	Outlays, net (total)	32	-82	29
<b>Memorandum (non-add) entries:</b>				
5090	Unavailable balance, SOY: Offsetting collections		102	161
5091	Unavailable balance, EOY: Offsetting collections	102	161	217

**Program and Financing** (in millions of dollars)

Identification code 86-0186-0-1-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707	Reestimates of loan guarantee subsidy	132	97
0708	Interest on reestimates of loan guarantee subsidy	8	5
0709	Administrative expenses	14	20
0799	Total direct obligations	154	122
0801	Servicing Expenses		72
0900	Total new obligations	154	194
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		21
1011	Unobligated balance transfer from other accts [86-0238]		100
1050	Unobligated balance (total)		121
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	140	
1201	Pre-2013 Accumulated GNMA Receipts		6,083
1220	Appropriations transferred to other accts [86-0186]	-140	
1220	Receipts transferred to GNMA Capital Reserve [86-0238]		-6,083
1221	Appropriations transferred from other accts [86-0186]	140	
1260	Appropriations, mandatory (total)	140	
Spending authority from offsetting collections, discretionary:			
1700	Collected	121	79
1725	Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-102	-59
1750	Spending auth from offsetting collections, disc (total)	19	20
Spending authority from offsetting collections, mandatory:			
1800	Collected		195
1850	Spending auth from offsetting collections, mand (total)		195
1900	Budget authority (total)	159	215
1930	Total budgetary resources available	159	215
Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-5	
1941	Unexpired unobligated balance, end of year		21
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		1
3010	Obligations incurred, unexpired accounts	154	194
3020	Outlays (gross)	-153	-192
3050	Unpaid obligations, end of year	1	3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year		1
3200	Obligated balance, end of year	1	3

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 86-0186-0-1-371	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001	Guarantees of Mortgage-Backed Securities	388,029	252,000
215999	Total loan guarantee levels	388,029	252,000
Guaranteed loan subsidy (in percent):			
232001	Guarantees of Mortgage-Backed Securities	-0.19	-0.23
232999	Weighted average subsidy rate	-0.19	-0.23
Guaranteed loan subsidy budget authority:			
233001	Guarantees of Mortgage-Backed Securities	-737	-580
233999	Total subsidy budget authority	-737	-580
Guaranteed loan subsidy outlays:			
234001	Guarantees of Mortgage-Backed Securities	-737	-580
234999	Total subsidy outlays	-737	-580
Guaranteed loan upward reestimates:			
235001	Guarantees of Mortgage-Backed Securities	140	102
235999	Total upward reestimate budget authority	140	102
Guaranteed loan downward reestimates:			
Administrative expense data:			
3510	Budget authority	20	20
3590	Outlays from new authority	13	18

The Budget requests loan commitment authority of \$500 billion in 2014. The Budget also requests \$21 million for the personnel costs of the Government National Mortgage Association (GNMA), to be offset by Commitment and Multiclass fees. Before 2012, personnel expenses were funded in the "Office of Government National Mortgage Association" appropriation under the Management and Administration section of the HUD budget.

**Object Classification** (in millions of dollars)

Identification code 86-0186-0-1-371	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	10	15
12.1	Civilian personnel benefits	3	4
21.0	Travel and transportation of persons		1
25.2	Other services from non-Federal sources	1	143
41.0	Grants, subsidies, and contributions	140	97
43.0	Interest and dividends		5
99.0	Direct obligations	154	122
99.0	Reimbursable obligations		72
99.9	Total new obligations	154	194

**Employment Summary**

Identification code 86-0186-0-1-371	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	89	122

**GUARANTEES OF MORTGAGE-BACKED SECURITIES FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 86-4240-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003	Advances and other	2,808	5,259
0004	Preservation of collateral		245
0091	Subtotal - Advances and Operating Expenses	2,808	5,504
Credit program obligations:			
0740	Negative subsidy obligations	737	580

Identification code 86-4240-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003	Advances and other	2,808	5,259
0004	Preservation of collateral		245
0091	Subtotal - Advances and Operating Expenses	2,808	5,504
Credit program obligations:			
0740	Negative subsidy obligations	737	580

**GUARANTEES OF MORTGAGE-BACKED SECURITIES FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued**

Identification code 86-4240-0-3-371	2012 actual	2013 CR	2014 est.
0900 Total new obligations .....	3,545	6,084	4,863
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,138	680	881
1010 Unobligated balance transfer to other accts [86-0238] .....		-200	-200
1050 Unobligated balance (total) .....	1,138	480	681
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3,082	6,485	4,234
1801 Change in uncollected payments, Federal sources .....	5		
1850 Spending auth from offsetting collections, mand (total) .....	3,087	6,485	4,234
1930 Total budgetary resources available .....	4,225	6,965	4,915
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	680	881	52
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	466	209	309
3010 Obligations incurred, unexpired accounts .....	3,545	6,084	4,863
3020 Financing disbursements (gross) .....	-3,802	-5,984	-4,786
3050 Unpaid obligations, end of year .....	209	309	386
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	464	202	302
3200 Obligated balance, end of year .....	202	302	379
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	3,087	6,485	4,234
Financing disbursements:			
4110 Financing disbursements, gross .....	3,802	5,984	4,786
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-140	-102	
4122 Interest on uninvested funds .....	-35	-89	-31
4123 Guarantee Fees .....	-774	-724	-834
4123 Commitment and other fees .....	-3		
4123 Multiclass fees .....	-22		
4123 Repayment of advances .....	-2,051	-5,570	-3,369
4123 Servicing Fees .....	-57		
4130 Offsets against gross financing auth and disbursements (total) .....	-3,082	-6,485	-4,234
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4170 Financing disbursements, net (mandatory) .....	720	-501	552
4190 Financing disbursements, net (total) .....	720	-501	552
<b>Status of Guaranteed Loans (in millions of dollars)</b>			
Identification code 86-4240-0-3-371	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....	500,000	500,000	500,000
2121 Limitation available from carry-forward .....	321,036	414,768	500,000
2142 Uncommitted loan guarantee limitation .....	-18,239	-162,768	-253,500
2143 Uncommitted limitation carried forward .....	-414,768	-500,000	-500,000
2150 Total guaranteed loan commitments .....	388,029	252,000	246,500
2199 Guaranteed amount of guaranteed loan commitments .....	388,029	252,000	246,500
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1,221,685	1,341,405	1,425,405
2231 Disbursements of new guaranteed loans .....	388,029	252,000	246,500
2251 Repayments and prepayments .....	-268,309	-168,000	-199,557
2290 Outstanding, end of year .....	1,341,405	1,425,405	1,472,348
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,341,405	1,425,405	1,472,348

This non-budgetary account records all cash flows to and from the Government resulting from the loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. No administrative expenses can be recorded in the financing account.

**Balance Sheet (in millions of dollars)**

Identification code 86-4240-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1,602	882
1206 Non-Federal assets: Receivables, net .....	2,478	6,882
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross .....	7,451	7,946
1505 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Allowance for subsidy cost (-) .....	-462	-342
1999 Total assets .....	11,069	15,368
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	313	111
2207 Other .....	2,724	7,089
2999 Total liabilities .....	3,037	7,200
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	8,032	8,168
4999 Total liabilities and net position .....	11,069	15,368

**GUARANTEES OF MORTGAGE-BACKED SECURITIES LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 86-4238-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administrative contract expenses .....	243	353	
Operating expenses			
0003 Servicing expenses .....	24		
0091 Direct program activities, subtotal .....	267	353	
Capital investment			
0101 Advances of guaranty payments .....		4	3
0900 Total new obligations .....	267	357	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,199	1,991	107
1010 Unobligated balance transfer to other accts [86-0238] .....		-1,576	
1050 Unobligated balance (total) .....	2,199	415	107
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	61	49	
1801 Change in uncollected payments, Federal sources .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	59	49	
1930 Total budgetary resources available .....	2,258	464	107
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,991	107	104
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	124	117
3010 Obligations incurred, unexpired accounts .....	267	357	3
3020 Outlays (gross) .....	-195	-364	-120
3050 Unpaid obligations, end of year .....	124	117	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-10	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	40	114	107
3200 Obligated balance, end of year .....	114	107	-10
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	59	49	

4100	Outlays, gross:			
4101	Outlays from new mandatory authority .....	1	49	.....
4101	Outlays from mandatory balances .....	194	315	120
4110	Outlays, gross (total) .....	195	364	120
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4121	Interest on Federal securities .....	-60	-40	.....
4123	Non-Federal sources .....	-1	-9	.....
4130	Offsets against gross budget authority and outlays (total) ....	-61	-49	.....
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
4170	Outlays, net (mandatory) .....	134	315	120
4190	Outlays, net (total) .....	134	315	120
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	2,134	2,117	100
5001	Total investments, EOY: Federal securities: Par value .....	2,117	100	100

**Status of Direct Loans** (in millions of dollars)

Identification code 86-4238-0-3-371		2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	8	8	7
1263	Write-offs for default: Direct loans .....	.....	-1	-1
1290	Outstanding, end of year .....	8	7	6

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 86-4238-0-3-371		2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	4	2	.....
2251	Repayments and prepayments .....	-2	-2	.....
2290	Outstanding, end of year .....	2	.....	.....

**Memorandum:**

2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	2	.....	.....
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This liquidating account records, for this program, all cash flows to and from the Government resulting from MMI/CMHI loans insured prior to 1992 and is shown on a cash basis. All new activity in this program in 1992 and thereafter (including modifications of loans insured in any year) is recorded in the corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 86-4238-0-3-371		2011 actual	2012 actual
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	119	.....
Investments in US securities:			
1102	Treasury securities, par .....	2,127	2,117
1106	Receivables, net .....	12	6
1601	Direct loans, gross .....	8	8
1603	Allowance for estimated uncollectible loans and interest (-) .....	-4	-4
1699	Value of assets related to direct loans .....	4	4
1901	Other Federal assets: Other assets .....	31	41
1999	Total assets .....	2,293	2,168
<b>LIABILITIES:</b>			
Non-Federal liabilities:			
2201	Accounts payable .....	52	123
2207	Other .....	1	1
2999	Total liabilities .....	53	124
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	2,240	2,044
4999	Total liabilities and net position .....	2,293	2,168

**Object Classification** (in millions of dollars)

Identification code 86-4238-0-3-371		2012 actual	2013 CR	2014 est.
Direct obligations:				
25.2	Other services from non-Federal sources .....	267	353	.....

33.0	Investments and loans .....	.....	4	3
99.9	Total new obligations .....	267	357	3

**POLICY DEVELOPMENT AND RESEARCH**

**Federal Funds**

**RESEARCH AND TECHNOLOGY**

For contracts, grants, and necessary expenses of programs of research and studies relating to housing and urban problems, not otherwise provided for, as authorized by title V of the Housing and Urban Development Act of 1970 (12 U.S.C. 1701z-1 et seq.), including carrying out the functions of the Secretary of Housing and Urban Development under section 1(a)(1)(i) of Reorganization Plan No. 2 of 1968, \$50,000,000, to remain available until September 30, 2015: Provided, That with respect to amounts made available under this heading, notwithstanding section 204 of this title, the Secretary may enter into cooperative agreements funded with philanthropic entities, other Federal agencies, or State or local governments and their agencies for research projects: Provided further, That with respect to the previous proviso, such partners to the cooperative agreements must contribute at least a 50 percent match toward the cost of the project.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 86-0108-0-1-451		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Contracts, Grants and Cooperative Agreements .....	51	46	50

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	5	5
1021	Recoveries of prior year unpaid obligations .....	7	.....	.....
1050	Unobligated balance (total) .....	10	5	5
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	46	46	50
1160	Appropriation, discretionary (total) .....	46	46	50
1930	Total budgetary resources available .....	56	51	55
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5	5	5

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	46	30	20
3010	Obligations incurred, unexpired accounts .....	51	46	50
3020	Outlays (gross) .....	-59	-56	-56
3040	Recoveries of prior year unpaid obligations, unexpired .....	-7	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	30	20	14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	46	30	20
3200	Obligated balance, end of year .....	30	20	14

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	46	46	50
Outlays, gross:				
4010	Outlays from new discretionary authority .....	34	34	37
4011	Outlays from discretionary balances .....	25	22	19
4020	Outlays, gross (total) .....	59	56	56
4180	Budget authority, net (total) .....	46	46	50
4190	Outlays, net (total) .....	59	56	56

The Housing and Urban Development Act of 1970 directs the Secretary to undertake programs of research, studies, testing, and demonstrations related to HUD's mission. These functions are carried out by HUD's Office of Policy Development and Re-

RESEARCH AND TECHNOLOGY—Continued

search, and through contracts with industry, nonprofit research organizations, educational institutions, and through non-competitive cooperative agreements with State and local governments, other Federal agencies, and philanthropic entities.

The Budget requests \$50 million for HUD's Research and Technology program. This request includes funding to restore and enhance various national housing surveys that are rich sources of data on the nation's housing stock, including the American Housing Survey, the Survey of New Home Sales and Completions, the Survey of Market Absorption of Multifamily Units, the Survey of New Manufactured Housing Placements, and the Rental Housing Finance Survey. Also included in the request is funding for research dissemination activities, for the Urban Data Systems, for housing finance studies, and for Research Partnerships. Research will also be conducted as part of HUD's Transformation Initiative and other set-asides.

Object Classification (in millions of dollars)

Identification code 86-0108-0-1-451	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	9	7	10
25.3 Other goods and services from Federal sources .....	41	38	39
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations .....	51	46	50

**FAIR HOUSING AND EQUAL OPPORTUNITY**

*Federal Funds*

FAIR HOUSING ACTIVITIES

For contracts, grants, and other assistance, not otherwise provided for, as authorized by title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988, and section 561 of the Housing and Community Development Act of 1987, as amended, \$71,000,000, to remain available until September 30, 2015, of which \$44,100,000 shall be to carry out activities pursuant to such section 561: Provided, That, notwithstanding 31 U.S.C. 3302, the Secretary may assess and collect fees to cover the costs of the Fair Housing Training Academy, and may use such funds to provide such training: Provided further, That no funds made available under this heading shall be used to lobby the executive or legislative branches of the Federal Government in connection with a specific contract, grant or loan: Provided further, That, of the funds made available under this heading, \$500,000 shall be available to the Secretary of Housing and Urban Development for the creation and promotion of translated materials and other programs that support the assistance of persons with limited English proficiency in utilizing the services provided by the Department of Housing and Urban Development.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0144-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Fair Housing Assistance .....	77	36	24
0002 Fair Housing Initiatives .....		43	44
0004 Limited English Proficiency Program .....		1	1
0005 National Fair Housing Training Academy .....			2
0900 Total new obligations (object class 41.0) .....	77	80	71
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	9	

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	71	71	71
1160 Appropriation, discretionary (total) .....	71	71	71
1930 Total budgetary resources available .....	86	80	71
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	96	102	110
3010 Obligations incurred, unexpired accounts .....	77	80	71
3020 Outlays (gross) .....	-70	-72	-79
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	102	110	102
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	96	102	110
3200 Obligated balance, end of year .....	102	110	102

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	71	71	71
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	7	7
4011 Outlays from discretionary balances .....	66	65	72
4020 Outlays, gross (total) .....	70	72	79
4180 Budget authority, net (total) .....	71	71	71
4190 Outlays, net (total) .....	70	72	79

The Budget requests \$71 million for fair housing activities to support efforts to end housing discrimination. Of the amount requested, \$24.6 million is for the Fair Housing Assistance Program (FHAP), \$1.8 million is for the National Fair Housing Training Academy, \$44.1 million is for the Fair Housing Initiatives Program (FHIP), and \$500 thousand is for the Limited English Proficiency Initiative.

The National Fair Housing Training Academy (NFTHA) provides comprehensive fair housing and civil rights training for investigators, local agencies, educators, attorneys, industry representatives and other housing industry professionals.

FHAP, authorized by Title VIII of the Civil Rights Act of 1968, as amended, provides funding to State and local agencies to assure prompt and effective processing of Title VIII (Civil Rights Act of 1968) complaints under substantially equivalent State and local fair housing laws. To be eligible for assistance through FHAP, an agency must demonstrate that the fair housing law it administers is substantially equivalent to the Fair Housing Act. It is estimated that there will be a total of 100 FHAP jurisdictions in 2014. The funding requested for FHAP will support fair housing enforcement by funding State and local fair housing organizations to meet the needs of currently underserved populations. It will also address the persistently high rate of discrimination against minorities and people with disabilities as identified by HUD's 2000 Housing Discrimination Study and provide additional support to FHAP agencies on the heels of the State and local budget crisis.

FHIP, authorized by the Housing and Community Development Act of 1987, as amended by the Housing and Community Development Act of 1992, provides funding to States and local governments, and to public and private non-profit organizations that administer programs to prevent or eliminate discriminatory housing practices through enforcement, education, and outreach.

**OFFICE OF LEAD HAZARD CONTROL AND  
HEALTHY HOMES**

**Federal Funds**

**LEAD HAZARD REDUCTION**

*For the Lead Hazard Reduction Program, as authorized by section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992, \$120,000,000, to remain available until September 30, 2015: Provided, That up to \$25,000,000 of that amount shall be for the Healthy Homes Initiative, pursuant to sections 501 and 502 of the Housing and Urban Development Act of 1970 that shall include research, studies, testing, and demonstration efforts, including education and outreach concerning lead-based paint poisoning and other housing-related diseases and hazards: Provided further, That for purposes of environmental review, pursuant to the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and other provisions of the law that further the purposes of such Act, a grant under the Healthy Homes Initiative or the Lead Technical Studies program under this heading or under prior appropriations Acts for such purposes under this heading, shall be considered to be funds for a special project for purposes of section 305(c) of the Multifamily Housing Property Disposition Reform Act of 1994: Provided further, That amounts made available under this heading in this or prior appropriations Acts, and that still remain available, may be used for any purpose under this heading notwithstanding the purpose for which such amounts were appropriated if a program competition is undersubscribed and there are other program competitions under this heading that are oversubscribed.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 86-0174-0-1-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Lead Hazard Reduction Grants .....	73	67	90
0002 Lead Hazard Reduction Demonstration .....	32	45	.....
0003 Healthy Homes .....	13	11	25
0004 Lead Technical Studies .....	2	5	4
0900 Total new obligations (object class 41.0) .....	120	128	119
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	9	2
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	9	9	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	120	121	120
1120 Appropriations transferred to other accts [86-0402] .....	.....	.....	-1
1160 Appropriation, discretionary (total) .....	120	121	119
1930 Total budgetary resources available .....	129	130	121
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	410	371	369
3010 Obligations incurred, unexpired accounts .....	120	128	119
3020 Outlays (gross) .....	-148	-130	-130
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-9	.....	.....
3050 Unpaid obligations, end of year .....	371	369	358
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	410	371	369
3200 Obligated balance, end of year .....	371	369	358
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	120	121	119
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	2	2
4011 Outlays from discretionary balances .....	147	128	128
4020 Outlays, gross (total) .....	148	130	130

4180 Budget authority, net (total) .....	120	121	119
4190 Outlays, net (total) .....	148	130	130

Title X of the Housing and Community Development Act of 1992 (Public Law 102-550), known as the Residential Lead-Based Paint Hazard Reduction Act, authorized the Secretary to establish the Lead-Based Paint Hazard Control Grant Program. The primary purpose of the program is to reduce the exposure of young children to lead-based paint and other environmental hazards in their homes, including protecting them from permanent developmental problems and asthma, and exposure to pesticides and carbon monoxide.

The program plays a critical role in addressing the number one environmental disease impacting children: lead poisoning. The Budget includes \$90 million for HUD's Lead Hazard Control Program, \$25 million for the Healthy Homes Program, and \$4 million for Technical Studies. The 2014 Budget also includes a provision that would allow the transfer of unobligated balances and recaptured funds from undersubscribed competitive programs to other competitive programs experiencing oversubscription.

The Lead Hazard Control Grant Programs provides grants of \$1 million to \$4 million to State and local governments and Indian tribes for control of lead-based paint hazards in low-income rental and owner-occupied housing. The grants are also designed to facilitate the development of a housing maintenance and rehabilitation workforce trained in lead-safe work practices and a certified hazard evaluation and control industry. In awarding grants, HUD promotes the use of new, low-cost approaches to hazard control that can be replicated across the nation.

The Healthy Homes Program enables the Department to assess and control housing-related hazards that contribute to childhood diseases and injuries. With funding from this program, grantees implement and evaluate methods for controlling two or more housing-related diseases through a single intervention.

The Office of Healthy Homes and Lead Hazard Control will continue its Technical Support program, which includes public education; support for State and local agencies, private property owners, HUD programs and field offices and professional organizations; technical studies to improve program policy and implementation; quality control to ensure that the evaluation and control of lead-based paint hazards is done properly in HUD-assisted housing; and development of standards, technical guidance, regulations and improved testing and hazard control methods.

**MANAGEMENT AND ADMINISTRATION**

**Federal Funds**

**EXECUTIVE OFFICES**

*For necessary salaries and expenses for Executive Offices, which shall be comprised of the offices of the Secretary, Deputy Secretary, Hearings and Appeals, Congressional and Intergovernmental Relations, Public Affairs, and Center for Faith-Based and Community Initiatives, \$14,540,000: Provided, That not to exceed \$25,000 of the amount made available under this heading shall be available to the Secretary for official reception and representation expenses as the Secretary may determine.*

**Program and Financing** (in millions of dollars)

Identification code 86-0332-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	.....	.....	14
0002 Non-Personnel cost .....	.....	.....	1
0900 Total new obligations .....	.....	.....	15

EXECUTIVE OFFICES—Continued  
Program and Financing—Continued

Identification code 86-0332-0-1-604	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			15
Appropriation .....			
1160			15
Appropriation, discretionary (total) .....			
1930			15
Total budgetary resources available .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			15
Obligations incurred, unexpired accounts .....			
3020			-15
Outlays (gross) .....			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			15
Budget authority, gross .....			
Outlays, gross:			
4010			15
Outlays from new discretionary authority .....			
4180			15
Budget authority, net (total) .....			
4190			15
Outlays, net (total) .....			

The 2014 Budget proposes to streamline HUD's salaries and expenses accounts to provide greater flexibility in funding the executive and support offices. Funding for these functions is proposed to be consolidated in two accounts, Executive Offices and Administrative Support Offices. The Executive Offices account supports the total salaries and expenses of various high level management offices, including the immediate offices of the Secretary; Deputy Secretary; Congressional and Intergovernmental Relations; Public Affairs; Hearing and Appeals; and the Center for Faith-Based and Community Initiatives.

Object Classification (in millions of dollars)

Identification code 86-0332-0-1-604	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1			11
Personnel compensation: Full-time permanent .....			
12.1			3
Civilian personnel benefits .....			
25.2			1
Other services from non-Federal sources .....			
99.9			15
Total new obligations .....			

Employment Summary

Identification code 86-0332-0-1-604	2012 actual	2013 CR	2014 est.
1001			89
Direct civilian full-time equivalent employment .....			

**[ADMINISTRATION, OPERATIONS, AND MANAGEMENT] ADMINISTRATIVE SUPPORT OFFICES**

For necessary salaries and expenses for Administrative Support Offices, which shall be comprised of the offices of Chief Financial Officer, General Counsel, Administration, Chief Human Capital Officer, Field Policy and Management, Chief Procurement Officer, Departmental Equal Employment Opportunity, Strategic Planning and Management, and Chief Information Officer, \$505,313,000: Provided, That funds provided under this heading may be used for necessary administrative and non-administrative expenses of the Department of Housing and Urban Development, not otherwise provided for, including purchase of uniforms, or allowances therefore, as authorized by 5 U.S.C. 5901-5902; hire of passenger motor vehicles; services as authorized by 5 U.S.C. 3109: Provided further, That notwithstanding any other provision of law, funds appropriated under this heading may be used for advertising and promotional activities that support the Department's mission .

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0335-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	321	331	302
Personnel compensation & benefits .....			
0002	211	210	203
Non-personnel costs .....			
0003	4		
Recovery Act Administrative Costs .....			
0900	536	541	505
Total new obligations .....			
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	7	2	2
Unobligated balance brought forward, Oct 1 .....			
1011	2		
Unobligated balance transfer from other accts [86-0344] ...			
1011	2		
Unobligated balance transfer from other accts [86-0338] ...			
1011	1		
Unobligated balance transfer from other accts [86-0337] ...			
1012	3		
Unobligated balance transfers between expired and unexpired accounts .....			
1050	15	2	2
Unobligated balance (total) .....			
Budget authority:			
Appropriations, discretionary:			
1100	538	541	505
Appropriation .....			
1120	-4		
Appropriations transferred to other accts [86-4586] .....			
1160	534	541	505
Appropriation, discretionary (total) .....			
1930	549	543	507
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1940	-11		
Unobligated balance expiring .....			
1941	2	2	2
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	158	153	154
Unpaid obligations, brought forward, Oct 1 .....			
3010	536	541	505
Obligations incurred, unexpired accounts .....			
3011	18		
Obligations incurred, expired accounts .....			
3020	-539	-540	-570
Outlays (gross) .....			
3041	-20		
Recoveries of prior year unpaid obligations, expired .....			
3050	153	154	89
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3100	158	153	154
Obligated balance, start of year .....			
3200	153	154	89
Obligated balance, end of year .....			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	534	541	505
Budget authority, gross .....			
Outlays, gross:			
4010	427	460	429
Outlays from new discretionary authority .....			
4011	112	80	141
Outlays from discretionary balances .....			
4020	539	540	570
Outlays, gross (total) .....			
4180	534	541	505
Budget authority, net (total) .....			
4190	539	540	570
Outlays, net (total) .....			

Identification code 86-0335-0-1-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	257	265	238
Full-time permanent .....			
11.3	2	2	2
Other than full-time permanent .....			
11.5	2	2	2
Other personnel compensation .....			
11.9	261	269	242
Total personnel compensation .....			
12.1	60	62	60
Civilian personnel benefits .....			

Object Classification (in millions of dollars)

Identification code 86-0335-0-1-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	257	265	238
Full-time permanent .....			
11.3	2	2	2
Other than full-time permanent .....			
11.5	2	2	2
Other personnel compensation .....			
11.9	261	269	242
Total personnel compensation .....			
12.1	60	62	60
Civilian personnel benefits .....			

The proposed Administrative Support Offices (ASO) account funds central Departmental functions, including the offices of the Chief Human Capital Officer, Chief Financial Officer, Chief Procurement Officer, General Counsel, Field Policy and Management, Strategic Planning and Management, Departmental Equal Employment Opportunity, and Administration. The newly created Office of Administration reflects a grouping of similar functions, such as facilities and security, which were transferred from the Office of the Chief Human Capital Officer. The ASO account supports all personnel and non-personnel expenses for these offices.

21.0	Travel and transportation of persons .....	6	5	8
23.1	Rental payments to GSA .....	107	104	104
23.3	Communications, utilities, and miscellaneous charges .....	26	26	26
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	61	58	53
25.4	Operation and maintenance of facilities .....	3	5	3
25.7	Operation and maintenance of equipment .....	5	5	2
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	2	2	2
42.0	Insurance claims and indemnities .....	1	1	1
99.9	Total new obligations .....	536	541	505

**Employment Summary**

Identification code 86-0335-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,239	2,341	2,109

**PROGRAM OFFICE SALARIES AND EXPENSES  
PUBLIC AND INDIAN HOUSING**

*For necessary salaries and expenses of the Office of Public and Indian Housing, \$220,299,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 86-0337-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	153	153	159
0002 Benefits .....	41	42	44
0003 Recovery Act - Personnel costs .....	2	.....	.....
0004 Non-personnel expenses .....	6	6	17
0900 Total new obligations .....	202	201	220
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	.....	.....
1010 Unobligated balance transfer to other accts [86-0335] .....	-1	.....	.....
1050 Unobligated balance (total) .....	2	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	200	201	220
1121 Appropriations transferred from other accts [86-0334] .....	2	.....	.....
1160 Appropriation, discretionary (total) .....	202	201	220
1930 Total budgetary resources available .....	204	201	220
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	8	6
3010 Obligations incurred, unexpired accounts .....	202	201	220
3011 Obligations incurred, expired accounts .....	9	.....	.....
3020 Outlays (gross) .....	-198	-203	-222
3041 Recoveries of prior year unpaid obligations, expired .....	-8	.....	.....
3050 Unpaid obligations, end of year .....	8	6	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	8	6
3200 Obligated balance, end of year .....	8	6	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	202	201	220
Outlays, gross:			
4010 Outlays from new discretionary authority .....	192	199	217
4011 Outlays from discretionary balances .....	6	4	5
4020 Outlays, gross (total) .....	198	203	222
4180 Budget authority, net (total) .....	202	201	220
4190 Outlays, net (total) .....	198	203	222

This account provides funding for all salaries and expenses of the Office of Public and Indian Housing, including the Office of the Assistant Secretary. The Office's mission is to ensure safe, decent, and affordable housing for low-income families; create opportunities for residents' self-sufficiency and economic independence; reduce improper payments; and support mixed-income developments to replace distressed public housing.

**Object Classification** (in millions of dollars)

Identification code 86-0337-0-1-604	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	154	152	158
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	155	153	159
12.1 Civilian personnel benefits .....	41	42	44
21.0 Travel and transportation of persons .....	4	4	6
25.2 Other services from non-Federal sources .....	2	2	11
99.9 Total new obligations .....	202	201	220

**Employment Summary**

Identification code 86-0337-0-1-604	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,542	1,493	1,551

**COMMUNITY PLANNING AND DEVELOPMENT**

*For necessary salaries and expenses of the Office of Community Planning and Development, \$109,740,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 86-0338-0-1-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	77	75	81
0002 Benefits .....	21	24	26
0003 Recovery Act - Personnel costs .....	4	.....	.....
0004 Disaster Supplemental - PS .....	2	1	1
0005 Disaster Supplemental - NPS .....	.....	3	1
0006 Non-personnel expenses .....	.....	2	3
0900 Total new obligations .....	104	105	112
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	3	9
1010 Unobligated balance transfer to other accts [86-0335] .....	-2	.....	.....
1011 Unobligated balance transfer from other accts [86-0344] .....	3	.....	.....
1050 Unobligated balance (total) .....	7	3	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	100	101	110
1121 Appropriations transferred from other accts [86-0334] .....	1	.....	.....
1121 Appropriations transferred from other accts [86-0340] .....	1	.....	.....
1121 Appropriations transferred from other accts [86-0162] .....	.....	10	.....
1160 Appropriation, discretionary (total) .....	102	111	110
1930 Total budgetary resources available .....	109	114	119
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	3	9	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	5
3010 Obligations incurred, unexpired accounts .....	104	105	112
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-103	-103	-114

COMMUNITY PLANNING AND DEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 86-0338-0-1-451	2012 actual	2013 CR	2014 est.
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	3	5	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	5
3200 Obligated balance, end of year .....	3	5	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	102	111	110
Outlays, gross:			
4010 Outlays from new discretionary authority .....	97	101	109
4011 Outlays from discretionary balances .....	6	2	5
4020 Outlays, gross (total) .....	103	103	114
4180 Budget authority, net (total) .....	102	111	110
4190 Outlays, net (total) .....	103	103	114

This account provides funding for all salaries and expenses of the Office of Community Planning and Development, including the Office of the Assistant Secretary. The Office provides funding to a broad array of state and local governments, non-profit and for-profit organizations to administer a wide range of housing, economic development, homeless assistance, infrastructure, disaster recovery, and other community development activities in urban and rural areas across the country. In partnership, the Office and its local funding recipients develop viable communities by providing decent housing, a suitable living environment, and expanded economic opportunities for low- and moderate-income persons.

Object Classification (in millions of dollars)

Identification code 86-0338-0-1-451	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	80	74	80
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	81	76	82
12.1 Civilian personnel benefits .....	21	24	26
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	1	4	3
99.9 Total new obligations .....	104	105	112

Employment Summary

Identification code 86-0338-0-1-451	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	828	792	845

HOUSING

For necessary salaries and expenses of the Office of Housing, \$383,375,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0334-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	298	304	296
0002 Benefits .....	80	82	80
0003 Non-Personnel Service .....	7	8	7

0900 Total new obligations .....	385	394	383
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1010 Unobligated balance transfer to other accts [86-4586] .....	-3		
1012 Unobligated balance transfers between expired and unexpired accounts .....	3		
1050 Unobligated balance (total) .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	392	394	383
1120 Appropriations transferred to other accts [86-0337] .....	-2		
1120 Appropriations transferred to other accts [86-0338] .....	-1		
1120 Appropriations transferred to other accts [86-4586] .....	-1		
1120 Appropriations transferred to other accts [86-0339] .....	-1		
1160 Appropriation, discretionary (total) .....	387	394	383
1930 Total budgetary resources available .....	387	395	384
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	11	10
3010 Obligations incurred, unexpired accounts .....	385	394	383
3011 Obligations incurred, expired accounts .....	13		
3020 Outlays (gross) .....	-382	-395	-387
3041 Recoveries of prior year unpaid obligations, expired .....	-13		
3050 Unpaid obligations, end of year .....	11	10	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	11	10
3200 Obligated balance, end of year .....	11	10	6

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	387	394	383
Outlays, gross:			
4010 Outlays from new discretionary authority .....	375	389	378
4011 Outlays from discretionary balances .....	7	6	9
4020 Outlays, gross (total) .....	382	395	387
4180 Budget authority, net (total) .....	387	394	383
4190 Outlays, net (total) .....	382	395	387

This account provides funding for all salaries and expenses of the Office of Housing, including the Office of the Federal Housing Commissioner. The mission of the Office is to maintain and expand homeownership, rental housing and healthcare opportunities; stabilize credit markets in times of economic disruption; contribute to building and preserving healthy neighborhoods and communities; operate with a high degree of public and fiscal accountability; and recognize and value its customers, staff, constituents, and partners.

Object Classification (in millions of dollars)

Identification code 86-0334-0-1-604	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	296	302	294
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	298	304	296
12.1 Civilian personnel benefits .....	80	82	80
21.0 Travel and transportation of persons .....	3	4	3
25.2 Other services from non-Federal sources .....	4	4	4
99.9 Total new obligations .....	385	394	383

Employment Summary

Identification code 86-0334-0-1-604	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,142	3,155	3,020

OFFICE OF THE GOVERNMENT NATIONAL MORTGAGE ASSOCIATION PERSONNEL  
COMPENSATION AND BENEFITS

Program and Financing (in millions of dollars)

Identification code 86-0336-0-1-371	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3011 Obligations incurred, expired accounts .....	1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		

The 2012 Appropriations Act eliminated the Personnel Compensation and Benefits account for the Office of Government National Mortgage Association (GNMA) and approved funding for GNMA salaries and expenses under the "Guarantees of Mortgage-backed Securities Loan Guarantee Program" account, as requested. This funding structure allows GNMA to maintain sufficient staffing, to strengthen risk management and oversight, and to move in-house some functions performed by contractors.

POLICY DEVELOPMENT AND RESEARCH

For necessary salaries and expenses of the Office of Policy Development and Research, \$21,687,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0339-0-1-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	16	16	16
0002 Benefits .....	4	4	4
0003 Non-personnel expenses .....	2	2	2
0900 Total new obligations .....	22	22	22
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	22	22	22
1120 Appropriations transferred to other accts [86-4586] .....	-1		
1121 Appropriations transferred from other accts [86-0334] .....	1		
1160 Appropriation, discretionary (total) .....	22	22	22
1930 Total budgetary resources available .....	22	22	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	
3010 Obligations incurred, unexpired accounts .....	22	22	22
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-21	-23	-22
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	
3200 Obligated balance, end of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	22	22	22
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	22	22
4011 Outlays from discretionary balances .....		1	
4020 Outlays, gross (total) .....	21	23	22
4180 Budget authority, net (total) .....	22	22	22
4190 Outlays, net (total) .....	21	23	22

This account provides funding for all salaries and expenses of the Office of Policy Development and Research, including the Office of the Assistant Secretary. The Office is responsible for

conducting research on priority housing and community development issues and maintaining current information on housing needs, market conditions, and existing programs. The Office also provides reliable and objective data, technical and statistical sampling support, and analysis to help inform policy decisions.

Object Classification (in millions of dollars)

Identification code 86-0339-0-1-451	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	16	16	16
12.1 Civilian personnel benefits .....	4	4	4
25.2 Other services from non-Federal sources .....	2	2	2
99.9 Total new obligations .....	22	22	22

Employment Summary

Identification code 86-0339-0-1-451	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	147	147	145

FAIR HOUSING AND EQUAL OPPORTUNITY

For necessary salaries and expenses of the Office of Fair Housing and Equal Opportunity, \$76,504,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86-0340-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	55	57	60
0002 Benefits .....	14	14	15
0003 Non-personnel expenses .....	2	2	2
0900 Total new obligations .....	71	73	77
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1010 Unobligated balance transfer to other accts [86-4586] .....	-1		
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	73	73	77
1120 Appropriations transferred to other accts [86-0338] .....	-1		
1160 Appropriation, discretionary (total) .....	72	73	77
1930 Total budgetary resources available .....	72	74	78
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	1
3010 Obligations incurred, unexpired accounts .....	71	73	77
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-71	-74	-78
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	2	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	1
3200 Obligated balance, end of year .....	2	1	

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	72	73	77
Outlays, gross:			
4010 Outlays from new discretionary authority .....	70	72	76

**FAIR HOUSING AND EQUAL OPPORTUNITY—Continued**  
**Program and Financing—Continued**

Identification code 86-0340-0-1-751	2012 actual	2013 CR	2014 est.
4011 Outlays from discretionary balances .....	1	2	2
4020 Outlays, gross (total) .....	71	74	78
4180 Budget authority, net (total) .....	72	73	77
4190 Outlays, net (total) .....	71	74	78

This account provides funding for all salaries and expenses of the Office of Fair Housing and Equal Opportunity, including the Office of the Assistant Secretary. The Office administers and enforces the Fair Housing Act and other civil rights laws and establishes policies to ensure all Americans have equal access to the housing of their choice.

**Object Classification (in millions of dollars)**

Identification code 86-0340-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	54	56	59
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	55	57	60
12.1 Civilian personnel benefits .....	14	14	15
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations .....	71	73	77

**Employment Summary**

Identification code 86-0340-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	583	573	595

**OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL**

*For necessary salaries and expenses of the Office of Healthy Homes and Lead Hazard Control, \$7,642,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 86-0341-0-1-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	6	6	7
0002 Benefits .....	1	1	1
0900 Total new obligations .....	7	7	8
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	7	7	8
1160 Appropriation, discretionary (total) .....	7	7	8
1930 Total budgetary resources available .....	7	7	8
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	7	7	8
3020 Outlays (gross) .....	-7	-7	-8
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4000 Budget authority, gross .....	7	7	8
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	7	7	8
4180 Budget authority, net (total) .....	7	7	8

4190 Outlays, net (total) .....	7	7	8
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This account provides funding for all salaries and expenses of the Office of Healthy Homes and Lead Hazard Control. The Office seeks to eliminate lead-based paint hazards in America's privately-owned and low-income housing and to lead the nation in addressing other housing-related health hazards that threaten vulnerable residents.

**Object Classification (in millions of dollars)**

Identification code 86-0341-0-1-451	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	6	7
12.1 Civilian personnel benefits .....	1	1	1
99.9 Total new obligations .....	7	7	8

**Employment Summary**

Identification code 86-0341-0-1-451	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	57	56	59

**EXECUTIVE DIRECTION**

**Program and Financing (in millions of dollars)**

Identification code 86-0333-0-1-604	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1010 Unobligated balance transfer to other accts [86-4586] .....	-2		
1012 Unobligated balance transfers between expired and unexpired accounts .....	2		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1		
4190 Outlays, net (total) .....	1		

The Executive Direction account previously supported the salaries and expenses of various high level management offices including the immediate offices of the Secretary and Deputy Secretary, and offices of Assistant Secretaries. The 2012 Appropriations Act eliminated this account and funded these offices under administrative and program office accounts.

**SALARIES AND EXPENSES**

**Program and Financing (in millions of dollars)**

Identification code 86-0143-0-1-999	2012 actual	2013 CR	2014 est.
<b>Direct program:</b>			
0001 PL 109-234 .....	2	1	1
0801 Gulf Coast Disaster related activities .....		1	1
0802 Sandy Task Force .....		6	2
0899 Total reimbursable obligations .....		7	3
0900 Total new obligations .....	2	8	4

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	9	9
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	5	8	
1750	Spending auth from offsetting collections, disc (total) .....	5	8	
1900	Budget authority (total) .....	5	8	
1930	Total budgetary resources available .....	11	17	9
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	9	9	5

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6		
3010	Obligations incurred, unexpired accounts .....	2	8	4
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-3	-8	-4
3041	Recoveries of prior year unpaid obligations, expired .....	-6		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6		

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	5	8	
Outlays, gross:				
4010	Outlays from new discretionary authority .....		6	
4011	Outlays from discretionary balances .....	3	2	4
4020	Outlays, gross (total) .....	3	8	4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-5	-8	
4190	Outlays, net (total) .....	-2		4

Beginning with the passage of the Consolidated Appropriations Act, 2008, this account no longer receives annual appropriations for Departmental administrative expenses. Instead, salary and expense funds are distributed across multiple accounts, achieving greater transparency and accountability within the Department. Resources in this account reflect prior-year appropriations, as well as funds for disaster-related administrative expenses and certain interagency agreements.

**Object Classification** (in millions of dollars)

Identification code 86-0143-0-1-999	2012 actual	2013 CR	2014 est.
25.3 Direct obligations: Other goods and services from Federal sources .....	2	1	1
99.0 Reimbursable obligations .....		7	3
99.9 Total new obligations .....	2	8	4

**OFFICE OF INSPECTOR GENERAL**

*For necessary salaries and expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, \$127,672,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 86-0189-0-1-451	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	0IG Salaries and Expenses .....	124	125	128
0002	Gulf States Disaster related activities .....	2	1	
0003	Recovery Act related activities .....	4	3	
0004	Hurricane Sandy and Other Disaster related activities .....		1	2
0900	Total new obligations .....	130	130	130

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10	4	9
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	124	125	128
1121	Appropriations transferred from other accts [86-0162] .....		10	
1160	Appropriation, discretionary (total) .....	124	135	128
1930	Total budgetary resources available .....	134	139	137
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4	9	7

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	17	17	26
3010	Obligations incurred, unexpired accounts .....	130	130	130
3011	Obligations incurred, expired accounts .....	5		
3020	Outlays (gross) .....	-129	-121	-134
3041	Recoveries of prior year unpaid obligations, expired .....	-6		
3050	Unpaid obligations, end of year .....	17	26	22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	17	17	26
3200	Obligated balance, end of year .....	17	26	22

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	124	135	128
Outlays, gross:				
4010	Outlays from new discretionary authority .....	109	105	106
4011	Outlays from discretionary balances .....	20	16	28
4020	Outlays, gross (total) .....	129	121	134
4180	Budget authority, net (total) .....	124	135	128
4190	Outlays, net (total) .....	129	121	134

The Office of the Inspector General (OIG) provides independent and objective reviews of the integrity, efficiency and effectiveness of Departmental programs and operations. Through various activities, the OIG seeks to promote efficiency and effectiveness in programs and operations, detect and deter fraud and abuse, investigate allegations of misconduct by HUD employees, and review and make recommendations regarding existing and proposed legislation and regulations affecting HUD. The Budget includes \$127.6 million to support agency-wide audit and investigative functions.

**Object Classification** (in millions of dollars)

Identification code 86-0189-0-1-451	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	72	81	81
11.5	Other personnel compensation .....	1	1	
11.9	Total personnel compensation .....	73	82	81
12.1	Civilian personnel benefits .....	24	20	21
21.0	Travel and transportation of persons .....	5	5	5
23.1	Rental payments to GSA .....	9	9	9
25.2	Other services from non-Federal sources .....	18	13	14
26.0	Supplies and materials .....		1	
31.0	Equipment .....	1		
99.9	Total new obligations .....	130	130	130

**Employment Summary**

Identification code 86-0189-0-1-451	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	660	665	665

**[WORKING CAPITAL FUND] INFORMATION TECHNOLOGY PORTFOLIO**

*For the development of, modifications to, and infrastructure for Department-wide and program-specific information technology systems, for the continuing operation and maintenance of both Department-wide and program-specific information systems, and for program-related mainten-*

INFORMATION TECHNOLOGY PORTFOLIO—Continued

ance activities, \$285,100,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86–4586–0–4–451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Information Technology Expenses .....	240	267	275
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	63	110	44
1011 Unobligated balance transfer from other accts [86–0333] .....	2		
1011 Unobligated balance transfer from other accts [86–0334] .....	3		
1011 Unobligated balance transfer from other accts [86–0340] .....	1		
1011 Unobligated balance transfer from other accts [86–0344] .....	5		
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	75	111	45
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	199	200	285
1121 Appropriations transferred from other accts [86–0183] .....	72		
1121 Appropriations transferred from other accts [86–0334] .....	1		
1121 Appropriations transferred from other accts [86–0335] .....	4		
1121 Appropriations transferred from other accts [86–0339] .....	1		
1160 Appropriation, discretionary (total) .....	277	200	285
Spending authority from offsetting collections, discretionary:			
1701 Change in uncollected payments, Federal sources .....	–1		
1750 Spending auth from offsetting collections, disc (total) .....	–1		
1900 Budget authority (total) .....	276	200	285
1930 Total budgetary resources available .....	351	311	330
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	110	44	55
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	204	210	160
3010 Obligations incurred, unexpired accounts .....	240	267	275
3020 Outlays (gross) .....	–232	–316	–324
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	–1	–1
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	210	160	110
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2	–1	–1
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	202	209	159
3200 Obligated balance, end of year .....	209	159	109
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	276	200	285
Outlays, gross:			
4010 Outlays from new discretionary authority .....	36	150	214
4011 Outlays from discretionary balances .....	196	166	110
4020 Outlays, gross (total) .....	232	316	324
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4180 Budget authority, net (total) .....	277	200	285
4190 Outlays, net (total) .....	232	316	324

The Information Technology Portfolio (formerly the Working Capital Fund) funds the information technology (IT) systems that support Departmental programs and operations, including FHA Mortgage Insurance, housing assistance, grant and disaster relief programs, as well as core financial and general operations. The Budget provides \$285.1 million for the development, modernization, enhancement, operation and maintenance of HUD's IT infrastructure and systems. The Budget renames the Working

Capital Fund to more accurately describe the account, and consolidates all IT funding within the IT Portfolio to align to the integrated IT investment strategy and governance processes that HUD has instituted in recent years.

Object Classification (in millions of dollars)

Identification code 86–4586–0–4–451	2012 actual	2013 CR	2014 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	148	177	183
25.3 Other goods and services from Federal sources .....	2	1	1
25.7 Operation and maintenance of equipment .....	89	86	88
31.0 Equipment .....	1	3	3
99.9 Total new obligations .....	240	267	275

TRANSFORMATION INITIATIVE

(INCLUDING TRANSFER OF FUNDS)

Of the amounts made available in this Act under each of the following headings under this title, the Secretary may transfer to, and merge with, this account up to 0.5 percent from each such account, and such transferred amounts shall be available until September 30, 2016, for (1) research, evaluation, and program metrics; (2) program demonstrations; and (3) technical assistance and capacity building: "Capacity Building", "Choice Neighborhoods Initiative", "Community Development Fund", "Fair Housing Activities", "Family Self-Sufficiency", "HOME Investment Partnerships Program", "Homeless Assistance Grants", "Housing Counseling Assistance", "Housing for Persons with Disabilities", "Housing for the Elderly", "Housing Opportunities for Persons with AIDS", "Lead Hazard Reduction", "Mutual Mortgage Insurance Program Account", "Native American Housing Block Grants", "Native Hawaiian Housing Block Grant", "Payment to the Manufactured Housing Fees Trust Fund", "Project-Based Rental Assistance", "Public Housing Capital Fund", "Public Housing Operating Fund", "Rental Assistance Demonstration", "Rental Housing Assistance", and "Tenant-Based Rental Assistance": Provided, That any such amounts, or portion thereof, transferred to this account, may be transferred back to be merged with any such other account and to be available for the same purpose and same time period as provided under this Act: Provided further, That with respect to amounts made available under this heading, notwithstanding section 204 of this title, the Secretary may enter into cooperative agreements funded with philanthropic entities, other Federal agencies, or State or local governments and their agencies for projects: Provided further, That with respect to the previous proviso, such partners to the cooperative agreements must contribute at least a 50 percent match toward the cost of the project.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 86–0402–0–1–451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 TI Research and Demonstrations .....	38	19	12
0002 TI Technical Assistance and Capacity Building .....	60	33	28
0003 TI Information Technology .....	108	71	
0004 Combat Mortgage Fraud .....	2	1	
0900 Total new obligations (object class 25.2) .....	208	124	40
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	278	126	52
1021 Recoveries of prior year unpaid obligations .....	9		
1050 Unobligated balance (total) .....	287	126	52
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	50	
1121 Appropriations transferred from other accts [86–0162] .....			15
1121 Appropriations transferred from other accts [86–0205] .....			5

1121	Appropriations transferred from other accts [86-0308] .....			2
1121	Appropriations transferred from other accts [86-0163] .....			8
1121	Appropriations transferred from other accts [86-0320] .....			2
1121	Appropriations transferred from other accts [86-0237] .....			1
1121	Appropriations transferred from other accts [86-0273] .....			1
1121	Appropriations transferred from other accts [86-0174] .....			1
1121	Appropriations transferred from other accts [86-0303] .....			15
1121	Appropriations transferred from other accts [86-0304] .....			10
1121	Appropriations transferred from other accts [86-0313] .....			3
1121	Appropriations transferred from other accts [86-0349] .....			2
1121	Appropriations transferred from other accts [86-0302] .....			15
1121	Appropriations transferred from other accts [86-0183] .....			1
1160	Appropriation, discretionary (total) .....	50	50	80
1930	Total budgetary resources available .....	337	176	132
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-3		
1941	Unexpired unobligated balance, end of year .....	126	52	92

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	106	210	233
3010	Obligations incurred, unexpired accounts .....	208	124	40
3020	Outlays (gross) .....	-95	-101	-103
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9		
3050	Unpaid obligations, end of year .....	210	233	170
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	106	210	233
3200	Obligated balance, end of year .....	210	233	170

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	50	50	80
Outlays, gross:				
4010	Outlays from new discretionary authority .....		1	1
4011	Outlays from discretionary balances .....	95	100	102
4020	Outlays, gross (total) .....	95	101	103
4180	Budget authority, net (total) .....	50	50	80
4190	Outlays, net (total) .....	95	101	103

Initiated in 2010, the Transformation Initiative (TI) is an ongoing effort aimed at increasing the effectiveness of program and service delivery, facilitating an evidence-based approach to improving program outcomes, and enabling innovative approaches to address the nation's housing and urban development problems. The 2014 Budget proposes TI transfers up to a maximum of 0.5 percent per program or \$15 million, whichever is less, and approximately \$80 million in total. The Budget proposes to use these funds for three complementary purposes: (1) research, evaluation and program metrics, (2) program demonstrations, and (3) technical assistance and capacity building.

Through an extensive consultation and prioritization process, HUD's Office of Policy Development and Research (PD&R) has developed the "Research Roadmap 2014–2018," which identifies research and evaluation priorities that will set HUD on a path to address the most pressing housing and urban development challenges. The TI provides a predictable stream of funding for these high quality research and evaluation projects and program demonstrations that will inform sound policymaking. The TI supplements HUD's Research and Technology appropriations, which are mainly dedicated to funding housing data, such as the American Housing Survey.

The TI also enables HUD to design and execute a series of major research demonstrations that rigorously test new program innovations. Demonstrations can be used to explore fundamental questions about housing market dynamics and their impact on economic, social and environmental objectives. The demonstrations will improve programs, help State and local governments, non-profits, and for profit organizations to develop more effective strategies for housing and community and economic development, and improve the delivery and reduce the cost of public services.

Traditionally, HUD has delivered program-oriented technical assistance to ensure that HUD grantees are fully aware of the rules governing the disparate programs. While awareness of rules

is necessary, effective responses to urban and housing challenges increasingly require coordination and awareness of diverse areas of knowledge: housing finance as well as land use, energy efficiency as well as healthy homes, community development as well as transportation planning, and accessibility as well as job creation. The TI enables HUD to develop enhanced and focused support to deliver cross-program technical assistance for States, local governments, and other HUD grantees for integrated management and planning across programs and jurisdictions, including improved use of data to drive decision-making and results. In 2014, HUD will strengthen its focus on using TI to deliver comprehensive capacity building to help distressed communities position themselves for revitalization and economic growth.

The following table illustrates the *maximum* and *estimated* transfers from HUD's programs into the Transformation Initiative account in 2014.

Program Name (amounts in thousands)	Treasury Account	2014 Maximum Transfer	2014 Estimated Transfer
Capacity Building .....	86-0405	100	100
Choice Neighborhoods .....	86-0349	2,000	2,000
Community Development Fund .....	86-0162	15,716	15,000
Fair Housing Activities .....	86-0144	355	355
Family Self Sufficiency .....	86-0350	375	375
HOME Investment Partnerships Program .....	86-0205	4,750	4,750
Homeless Assistance Grants .....	86-0192	11,905	0
Housing Counseling Assistance .....	86-0156	275	275
Housing for Persons with Disabilities .....	86-0237	630	630
Housing for the Elderly .....	86-0320	2,000	2,000
Housing Opportunities for Persons with AIDS .....	86-0308	1,660	1,660
Lead Hazard Reduction .....	86-0174	600	600
Mutual Mortgage Insurance Program Account .....	86-0183	635	635
Native American Housing Block Grants .....	86-0313	3,250	3,250
Native Hawaiian Housing Block Grants .....	86-0235	65	65
Payment to the Manufactured Housing Fees Trust Fund .....	86-0234	5	5
Project-Based Rental Assistance .....	86-0303	51,360	15,000
Public Housing Capital Fund .....	86-0304	10,000	10,000
Public Housing Operating Fund .....	86-0163	23,000	8,162
Rental Assistance Demonstration .....	86-0406	50	50
Rental Housing Assistance .....	86-0148	88	88
Tenant-Based Rental Assistance .....	86-0302	99,946	15,000
Transfer Total .....		228,764 <sup>1</sup>	80,000 <sup>2</sup>

<sup>1</sup>Amount represents maximum TI transfers in 2014 - 0.5% of program funding.

<sup>2</sup>Amount represents estimated TI transfers based on the 2014 Budget priorities and program requirements. Estimated TI transfers from CDF, HAG, PBRA, Operating Fund, and TBRA are less than the maximum.

**Trust Funds**

GIFTS AND BEQUESTS

**Program and Financing** (in millions of dollars)

Identification code 86-8093-0-7-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Gifts and bequests .....	3	
0900	Total new obligations (object class 41.0) .....	3	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	3	
1930	Total budgetary resources available .....	3	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		3
3010	Obligations incurred, unexpired accounts .....	3	
3020	Outlays (gross) .....		-1
3050	Unpaid obligations, end of year .....	3	2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		3
3200	Obligated balance, end of year .....	3	2

GIFTS AND BEQUESTS—Continued  
Program and Financing—Continued

Identification code 86-8093-0-7-451	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		1	1
4190 Outlays, net (total) .....		1	1

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
86-271910 FHA-general and Special Risk, Negative Subsidies .....	395	890	885
86-271930 FHA-general and Special Risk, Downward Reestimates of Subsidies .....	2,216	529	
86-274330 Indian Housing Loan Guarantees, Downward Reestimates of Subsidies .....	1	12	
86-276230 Title VI Indian Loan Guarantee Downward Reestimate .....	3	3	
86-277330 Community Development Loan Guarantees, Downward Reestimates .....	10	3	
86-279930 Native Hawaiian Housing Loan Guarantees, Downward Reestimates of Subsidies .....		1	
86-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	34	12	12
General Fund Offsetting receipts from the public .....	2,659	1,450	897
Intragovernmental payments:			
86-388510 Undistributed Intragovernmental Payments .....	23	7	7
General Fund Intragovernmental payments .....	23	7	7

## GENERAL PROVISIONS—DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

(INCLUDING CANCELLATION AND TRANSFER OF FUNDS)

SEC. 201. Fifty percent of the amounts of budget authority, or in lieu thereof 50 percent of the cash amounts associated with such budget authority, that are recaptured from projects described in section 1012(a) of the Stewart B. McKinney Homeless Assistance Amendments Act of 1988 (42 U.S.C. 1437 note) shall be cancelled or in the case of cash, shall be remitted to the Treasury, and such amounts of budget authority or cash recaptured and not cancelled or remitted to the Treasury shall be used by State housing finance agencies or local governments or local housing agencies with projects approved by the Secretary of Housing and Urban Development for which settlement occurred after January 1, 1992, in accordance with such section. Notwithstanding the previous sentence, the Secretary may award up to 15 percent of the budget authority or cash recaptured and not cancelled or remitted to the Treasury to provide project owners with incentives to refinance their project at a lower interest rate.

SEC. 202. None of the amounts made available under this Act may be used during fiscal year 2014 to investigate or prosecute under the Fair Housing Act any otherwise lawful activity engaged in by one or more persons, including the filing or maintaining of a nonfrivolous legal action, that is engaged in solely for the purpose of achieving or preventing action by a Government official or entity, or a court of competent jurisdiction.

SEC. 203. Sections 203 and 209 of division C of Public Law 112-55 (125 Stat. 693-694) shall apply during fiscal year 2014 as if such sections were included in this title, except that during such fiscal year such sections shall be applied by substituting fiscal year 2014 for fiscal year 2011 and fiscal year 2012, each place such terms appear.

SEC. 204. Except as explicitly provided in law, any grant, cooperative agreement or other assistance made pursuant to title II of this Act shall be made on a competitive basis and in accordance with section 102 of the Department of Housing and Urban Development Reform Act of 1989 (42 U.S.C. 3545).

SEC. 205. Funds of the Department of Housing and Urban Development subject to the Government Corporation Control Act or section 402 of the

Housing Act of 1950 shall be available, without regard to the limitations on administrative expenses, for legal services on a contract or fee basis, and for utilizing and making payment for services and facilities of the Federal National Mortgage Association, Government National Mortgage Association, Federal Home Loan Mortgage Corporation, Federal Financing Bank, Federal Reserve banks or any member thereof, Federal Home Loan banks, and any insured bank within the meaning of the Federal Deposit Insurance Corporation Act, as amended (12 U.S.C. 1811-1).

SEC. 206. Corporations and agencies of the Department of Housing and Urban Development which are subject to the Government Corporation Control Act are hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of such Act as may be necessary in carrying out the programs set forth in the budget for 2014 for such corporation or agency except as hereinafter provided: Provided, That collections of these corporations and agencies may be used for new loan or mortgage purchase commitments only to the extent expressly provided for in this Act (unless such loans are in support of other forms of assistance provided for in this or prior appropriations Acts), except that this proviso shall not apply to the mortgage insurance or guaranty operations of these corporations, or where loans or mortgage purchases are necessary to protect the financial interest of the United States Government.

SEC. 207. The Secretary of Housing and Urban Development shall provide quarterly reports to the House and Senate Committees on Appropriations regarding all uncommitted, unobligated, recaptured and excess funds in each program and activity within the jurisdiction of the Department.

SEC. 208. A public housing agency or such other entity that administers Federal housing assistance for the Housing Authority of the county of Los Angeles, California, the States of Alaska, Iowa, and Mississippi shall not be required to include a resident of public housing or a recipient of assistance provided under section 8 of the United States Housing Act of 1937 on the board of directors or a similar governing board of such agency or entity as required under section (2)(b) of such Act. Each public housing agency or other entity that administers Federal housing assistance under section 8 for the Housing Authority of the county of Los Angeles, California and the States of Alaska, Iowa and Mississippi that chooses not to include a resident of public housing or a recipient of section 8 assistance on the board of directors or a similar governing board shall establish an advisory board of not less than six residents of public housing or recipients of section 8 assistance to provide advice and comment to the public housing agency or other administering entity on issues related to public housing and section 8. Such advisory board shall meet not less than quarterly.

SEC. 209. (a) Notwithstanding any other provision of law, subject to the conditions listed in subsections (c) and (e), for fiscal years 2014 and 2015, the Secretary of Housing and Urban Development may authorize the transfer of some or all project-based assistance, debt held or insured by the Secretary, and statutorily required low-income and very low-income use restrictions if any, associated with one or more multifamily housing project to another multifamily housing project or projects.

(b) PHASED TRANSFERS.—Transfers of project-based assistance under this section may be done in phases to accommodate the financing and other requirements related to rehabilitating or constructing the project or projects to which the assistance is transferred, to ensure that such project or projects meet the standards under section (c).

(c) The transfer authorized in subsection (a) is subject to the following conditions:

(1) NUMBER AND BEDROOM SIZE OF UNITS.—

(A) For occupied units in the transferring project: the number of low-income and very low-income units and the configuration (i.e. bedroom size) provided by the transferring project shall be no less than when transferred to the receiving project or projects and the net dollar amount of Federal assistance provided to the transferring project shall remain the same in the receiving project or projects.

(B) For unoccupied units in the transferring project: the Secretary may authorize a reduction in the number of dwelling units in the receiving project or projects to allow for a reconfiguration of bedroom sizes to meet current market demands, as determined by the Secretary and provided there is no increase in the project-based assistance budget authority.

(2) The transferring project shall, as determined by the Secretary, be either physically obsolete or economically nonviable.

(3) The receiving project or projects shall meet or exceed applicable physical standards established by the Secretary.

(4) The owner or mortgagor of the transferring project shall notify and consult with the tenants residing in the transferring project and provide a certification of approval by all appropriate local governmental officials.

(5) The tenants of the transferring project who remain eligible for assistance to be provided by the receiving project or projects shall not be required to vacate their units in the transferring project or projects until new units in the receiving project are available for occupancy.

(6) The Secretary determines that this transfer is in the best interest of the tenants.

(7) If either the transferring project or the receiving project or projects meets the condition specified in subsection (d)(2)(A), any lien on the receiving project resulting from additional financing obtained by the owner shall be subordinate to any FHA-insured mortgage lien transferred to, or placed on, such project by the Secretary, except that the Secretary may waive this requirement upon determination that such a waiver is necessary to facilitate the financing of acquisition, construction, and/or rehabilitation of the receiving project or projects.

(8) If the transferring project meets the requirements of subsection (d)(2)(F), the owner or mortgagor of the receiving project or projects shall execute and record either a continuation of the existing use agreement or a new use agreement for the project where, in either case, any use restrictions in such agreement are of no lesser duration than the existing use restrictions.

(9) The transfer does not increase the cost (as defined in section 502 of the Congressional Budget Act of 1974, as amended) of any FHA-insured mortgage, except to the extent that appropriations are provided in advance for the amount of any such increased cost.

(d) For purposes of this section—

(1) the terms "low-income" and "very low-income" shall have the meanings provided by the statute and/or regulations governing the program under which the project is insured or assisted;

(2) the term "multifamily housing project" means housing that meets one of the following conditions—

(A) housing that is subject to a mortgage insured under the National Housing Act;

(B) housing that has project-based assistance attached to the structure including projects undergoing mark to market debt restructuring under the Multifamily Assisted Housing Reform and Affordability Housing Act;

(C) housing that is assisted under section 202 of the Housing Act of 1959 as amended by section 801 of the Cranston-Gonzales National Affordable Housing Act;

(D) housing that is assisted under section 202 of the Housing Act of 1959, as such section existed before the enactment of the Cranston-Gonzales National Affordable Housing Act;

(E) housing that is assisted under section 811 of the Cranston-Gonzales National Affordable Housing Act; or

(F) housing or vacant land that is subject to a use agreement;

(3) the term "project-based assistance" means—

(A) assistance provided under section 8(b) of the United States Housing Act of 1937;

(B) assistance for housing constructed or substantially rehabilitated pursuant to assistance provided under section 8(b)(2) of such Act (as such section existed immediately before October 1, 1983);

(C) rent supplement payments under section 101 of the Housing and Urban Development Act of 1965;

(D) interest reduction payments under section 236 and/or additional assistance payments under section 236(f)(2) of the National Housing Act;

(E) assistance payments made under section 202(c)(2) of the Housing Act of 1959; and

(F) assistance payments made under section 811(d)(2) of the Cranston-Gonzales National Affordable Housing Act;

(4) the term "receiving project or projects" means the multifamily housing project or projects to which some or all of the project-based assistance, debt, and statutorily required low-income and very low-income use restrictions are to be transferred;

(5) the term "transferring project" means the multifamily housing project which is transferring some or all of the project-based assistance, debt and the statutorily required low-income and very low-income use restrictions to the receiving project or projects; and

(6) the term "Secretary" means the Secretary of Housing and Urban Development.

(e) Public Notice and Research Report.—

(1) The Secretary shall publish by notice in the Federal Register the terms and conditions, including criteria for HUD approval, of transfers pursuant to this section no later than 30 days before the effective date of such notice.

(2) The Secretary shall conduct an evaluation of the transfer authority under this section, including the effect of such transfers on the operational efficiency, contract rents, physical and financial conditions, and long-term preservation of the affected properties.

SEC. 210. Section 255(g) of the National Housing Act (12 U.S.C. 1715z-20(g)) is amended by striking the sentence beginning "The aggregate number of mortgages".

SEC. 211. During fiscal year 2014, in the provision of rental assistance under section 8(o) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)) in connection with a program to demonstrate the economy and effectiveness of providing such assistance for use in assisted living facilities that is carried out in the counties of the State of Michigan notwithstanding paragraphs (3) and (18)(B)(iii) of such section 8(o), a family residing in an assisted living facility in any such county, on behalf of which a public housing agency provides assistance pursuant to section 8(o)(18) of such Act, may be required, at the time the family initially receives such assistance, to pay rent in an amount exceeding 40 percent of the monthly adjusted income of the family by such a percentage or amount as the Secretary of Housing and Urban Development determines to be appropriate.

SEC. 212. The commitment authority funded by fees as provided under the subheading "Program Account" under the heading "Community Development Loan Guarantees" may be used to guarantee, or make commitments to guarantee, notes, or other obligations issued by any State on behalf of non-entitlement communities in the State in accordance with the requirements of section 108 of the Housing and Community Development Act of 1974: Provided, That any State receiving such a guarantee or commitment shall distribute all funds subject to such guarantee to the units of general local government in non-entitlement areas that received the commitment.

SEC. 213. No official or employee of the Department of Housing and Urban Development shall be designated as an allotment holder unless the Office of the Chief Financial Officer has determined that such allotment holder has implemented an adequate system of funds control and has received training in funds control procedures and directives. The Chief Financial Officer shall ensure that there is a trained allotment holder for each HUD office under the accounts "Executive Offices" and "Administrative Support Offices," as well as each account receiving appropriations for "Program Office Salaries and Expenses" within the Department of Housing and Urban Development.

SEC. 214. The Secretary of the Department of Housing and Urban Development shall for fiscal year 2014 and subsequent fiscal years, notify the public through the Federal Register and other means, as determined appropriate, of the issuance of a notice of the availability of assistance or notice of funding availability (NOFA) for any program or discretionary fund administered by the Secretary that is to be competitively awarded. Notwithstanding any other provision of law, for fiscal year 2014 and subsequent fiscal years, the Secretary may make the NOFA available only on the Internet at the appropriate Government Web site or through other electronic media, as determined by the Secretary.

SEC. 215. The Secretary of the Department of Housing and Urban Development is authorized to transfer up to 5 percent or \$10,000,000, whichever is less, of the funds appropriated under any account under the headings "Management and Administration", "Program Office Salaries and Expenses", and "Government National Mortgage Association" to any other account funded under such headings: Provided, That no appropriation for any account funded under such headings shall be increased or decreased by more than 5 percent or \$10,000,000, whichever is less, without prior written notification to the House and Senate Committees on Appropriations.

SEC. 216. The Disaster Housing Assistance Programs, administered by the Department of Housing and Urban Development, shall be considered

a "program of the Department of Housing and Urban Development" under section 904 of the McKinney Act for the purpose of income verifications and matching.

SEC. 217. Of the amounts made available for salaries and expenses under all accounts under this title (except for the Office of Inspector General account), a total of up to \$10,000,000 may be transferred to and merged with amounts made available in the "Information Technology Portfolio" account under this title.

SEC. 218. Title II of Division K of Public Law 110–161 is amended by striking the item related to "Flexible Subsidy Fund".

SEC. 219. Paragraph (1) of section 242(i) of the National Housing Act (12 U.S.C. 1715z-7(i)(1)) is amended by striking "July 31, 2011" and inserting "July 31, 2016".

SEC. 220. Subparagraph (A) of Section 3(b)(6) of the U.S. Housing Act of 1937 (42 U.S.C. 1437a(b)(6)(A)) is amended by inserting before the period at the end the following: ", or a consortium of such entities or bodies as approved by the Secretary".

SEC. 221. FLAT RENTS.—

(a) Section 3(a) of the United States Housing Act of 1937 (42 U.S.C. 1437a(a)) is amended—

(1) in paragraph (2)(B)(i)—

(A) in the matter preceding subclause (I)—

(i) by striking "Except as otherwise provided under this clause, each" and inserting "Each";

(ii) by inserting after "which shall" the following: "not be lower than 80 percent of the applicable fair market rental established under section 8(c) of this Act and which shall";

(B) by striking the undesignated matter following subclause (II) and inserting the following: "Public housing agencies must comply by June 1, 2014, with the requirement of this clause, except that if a new flat rental amount for a dwelling unit will increase a family's existing rental payment by more than 35 percent, the new flat rental amount shall be phased in as necessary to ensure that the family's existing rental payment does not increase by more than 35 percent annually. The preceding sentence shall not be construed to require establishment of rental amounts equal to 80 percent of the fair market rental in years when the fair market rental falls from the prior year.";

SEC. 222. Notwithstanding any provision of the United States Housing Act of 1937 concerning the determination of tenant rent obligations, and of section 23 of such Act (42 U.S.C. 1437u) concerning deposits to escrow accounts, the Secretary may, during the 5-year period beginning on the date of enactment of this Act, allow the use of funds made available by the Secretary to public housing agencies to carry out rent policy demonstrations involving a limited number of families assisted under the 1937 Act, for the purpose of determining the effectiveness of different rent policies in encouraging families to obtain employment, increase their incomes, and achieve economic self-sufficiency, while reducing administrative burdens and maintaining housing stability. Such demonstrations shall include public housing agencies of various sizes, and may include providing income disregards, family self-sufficiency accounts, and policies under which families pay rent in amounts different from 30 percent of their adjusted income. The Secretary shall publish a report regarding the results and effectiveness of any demonstrations conducted under the authority of this section.

SEC. 223. INSPECTIONS.—

(a) Section 8(o)(8) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)(8)) is amended—

(1) by redesignating subparagraph (E) as subparagraph (G); and

(2) by striking subparagraph (D) and inserting the following new subparagraphs:

"(D) BIENNIAL INSPECTIONS.

"(i) REQUIREMENT.—Each public housing agency providing assistance under this subsection (or other entity, as provided in paragraph (11)) shall, for each assisted dwelling unit, make inspections not less often than biennially during the term of the housing assistance payments contract for the unit to determine whether the unit is maintained in accordance with the requirements under subparagraph (A).

"(ii) USE OF ALTERNATIVE INSPECTION METHOD.—The requirements under clause (i) may be complied with by use of inspections that qualify as an alternative inspection method pursuant to subparagraph (E).

"(iii) RECORDS.—The public housing agency (or other entity) shall retain the records of the inspection for a reasonable time, as determined by the Secretary, and shall make the records available upon request to the Secretary, the Inspector General for the Department of Housing and Urban Development, and any auditor conducting an audit under section 5(h).

"(iv) MIXED-FINANCE PROPERTIES.—The Secretary may adjust the frequency of inspections for mixed-finance properties assisted with vouchers under paragraph (13) to facilitate the use of the alternative inspections in subparagraph (E).

"(E) ALTERNATIVE INSPECTION METHOD.—An inspection of a property shall qualify as an alternative inspection method for purposes of this subparagraph if—

"(i) the inspection was conducted pursuant to requirements under a Federal, State, or local housing program (including the Home investment partnership program under title II of the Cranston-Gonzalez National Affordable Housing Act and the low-income housing tax credit program under section 42 of the Internal Revenue Code of 1986); and

"(ii) pursuant to such inspection, the property was determined to meet the standards or requirements regarding housing quality or safety applicable to properties assisted under such program, and, if a non-Federal standard or requirement was used, the public housing agency has certified to the Secretary that such standard or requirement provides the same (or greater) protection to occupants of dwelling units meeting such standard or requirement as would the housing quality standards under subparagraph (B).

"(F) INTERIM INSPECTIONS.—Upon notification to the public housing agency, by a family (on whose behalf tenant-based rental assistance is provided under this subsection) or by a government official, that the dwelling unit for which such assistance is provided does not comply with the housing quality standards under subparagraph (B), the public housing agency shall inspect the dwelling unit—

"(i) in the case of any condition that is life-threatening, within 24 hours after the agency's receipt of such notification, unless waived by the Secretary in extraordinary circumstances; and

"(ii) in the case of any condition that is not life-threatening, within a reasonable time frame as determined by the Secretary."

(b) EFFECTIVE DATE.—The amendments in subsection (a) shall take effect upon such date as the Secretary determines, in the Secretary's sole discretion, through the Secretary's publication of such date in the Federal Register, as part of regulations promulgated, or a notice issued, by the Secretary to implement such amendments.

SEC. 224. Notwithstanding any other provision of the United States Housing Act of 1937 (42 U.S.C. 1437f et seq.) and any provision in this Act under the headings "Public Housing Operating Fund", "Public Housing Capital Fund", "Tenant-Based Rental Assistance", and "General Provisions, Department of Housing and Urban Development" (except for provisions establishing the amount of funding made available), of the funds provided by this Act under the headings "Public Housing Operating Fund" and "Public Housing Capital Fund", and of the administrative fees in this Act under the heading "Tenant-Based Rental Assistance", a percentage of such funds and fees (which percentage the Secretary shall establish by notice published in the Federal Register) may be set aside and used by a public housing agency for the Consolidated Opportunities for Resident Enrichment (CORE) Flexibility program: Provided, That a public housing agency shall use such set-aside funds and fees to provide flexibility for supportive services activities for families that receive assistance under either section 8(o) or 9 of the United States Housing Act of 1937 (42 U.S.C. 1437f(o) or 42 U.S.C. 1437g), including activities such as service coordination, case management, direct services, services to keep the elderly or persons with disabilities successfully housed, and other activities that promote positive resident outcomes related to education, health, safety, economic security and self-sufficiency, and quality of life: Provided further, That funds and fees may be set aside pursuant to this section for a period of up to two years, after which any unexpended funds shall be used only for the original purposes for which such funds and fees were made available: Provided further, That the Secretary shall develop and publish, in the Federal Register, a notice regarding the use of such set-aside funds and fees, in which the Secretary shall provide program guidelines that include (but are not limited to) eligibility threshold, eligible activities, reporting and accountability, and other matters as determined by the Secretary.

SEC. 225. Subsection (d) of section 184 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13a) is amended to read as follows:

"(d) *Guarantee fee.* The Secretary shall establish and collect, at the time of issuance of the guarantee, a fee for the guarantee of loans under this section, in an amount not exceeding 3 percent of the principal obligation of the loan. The Secretary may also establish and collect annual premium payments in an amount not exceeding 1 percent of the remaining guaranteed balance (excluding the portion of the remaining balance attributable to the fee collected at the time of issuance of the guarantee). The Secretary shall establish the amount of the fees and premiums by publishing a notice in the Federal Register. The Secretary shall deposit any fees and premiums collected under this subsection in the Indian Housing Loan Guarantee Fund established under subsection (i)."

SEC. 226. (Subsection (g) of section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g(g)) is amended by striking paragraphs (1) and (2) and inserting the following new paragraph:

"(1) **FULL FLEXIBILITY OF CAPITAL AND OPERATING FUND AMOUNTS.**—The Secretary shall provide, by notice published in the Federal Register, that of any amounts allocated for any fiscal year from the funds under subsections (d) and (e) for any public housing agency that is not designated pursuant to section 6(j)(2) as a troubled public housing agency and that, in the determination of the Secretary is operating and maintaining its public housing in a safe, clean, and healthy condition, the agency may use any such amounts for any eligible activities under subsections (d)(1) and (e)(1), regardless of the fund from which the amounts were allocated and provided."; and

(2) by redesignating paragraph (3) as paragraph (2).

SEC. 227. **GINNIE MAE SECURITIZATION.**—

(a) Paragraph (8) of section 542(b) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-22(b)) is amended in its title by deleting "Prohibition on" and by revising the text of paragraph (8) to read as follows:

"The Government National Mortgage Association shall not securitize any multifamily loans insured or reinsured under this subsection, except as provided herein. The Government National Mortgage Association may, at the discretion of the Secretary, securitize any multifamily loan, provided that—

"(A) the Federal Housing Administration provides mortgage insurance based on the unpaid principal balance of the loan, as shall be described in the Risk Share Agreement

"(B) the Federal Housing Administration shall not require an assignment fee for mortgage insurance claims related to the securitized mortgages and

"(C) any successors and assigns of the risk share partner (including the holders of credit instruments issued under a trust mortgage or deed of trust pursuant to which such holders act by and through a trustee therein named) shall not assume any obligation under the risk-sharing agreement and may assign any defaulted loan to the Federal Housing Administration in exchange for payment of the mortgage insurance claim.

"The risk-sharing agreement must provide for reimbursement to the Secretary by the risk share partner(s) for either all or a portion of the losses incurred on the loans insured."

(b) Paragraph (6) of section 542(c) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-22(c)) is amended in its title by deleting "Prohibition on" and by revising the text of paragraph (6) to read as follows:

"The Government National Mortgage Association may, at the discretion of the Secretary, securitize any multifamily loan insured under this subsection, provided that—

"(A) the Federal Housing Administration provides mortgage insurance based on the unpaid principal balance of the loan, as shall be described by regulation,

"(B) the Federal Housing Administration shall not require an assignment fee for mortgage insurance claims related to the securitized mortgages, and

"(C) any successors and assigns of the risk share partner (including the holders of credit instruments issued under a trust mortgage or deed of trust pursuant to which such holders act by and through a trustee therein named) shall not assume any obligation under the risk-sharing agreement and may assign any defaulted loan to the Federal Housing

Administration in exchange for payment of the mortgage insurance claim.

"The risk-sharing agreement must provide for reimbursement to the Secretary by the risk share partner(s) for either all or a portion of the losses incurred on the loans insured."

(c) Clause (ii) of the first sentence of section 306(g)(1) of the National Housing Act (12 U.S.C. 1721(g)(1)) is amended by striking the semicolon and inserting a comma, and by inserting before the period at the end the following: ", or which are insured under subsection (b) or (c) of section 542 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-22), subject to the terms of paragraph (8) and (6), respectively, of such subsection".

SEC. 228. **EXCEPTION TO AFFORDABLE HOUSING QUALIFICATION FOR MULTIFAMILY HOUSING SECURING LOANS MADE BY CERTAIN ENTITIES.**—Section 542(b)(9) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-22(b)(9)) is amended by inserting after the period at the end the following: "This requirement does not apply to housing securing loans made to increase the availability of capital to small multifamily rental properties by entities approved by the Secretary as having demonstrated experience in making loans for low and moderate income multifamily housing."

SEC. 229. (a) Subsection (b) of section 225 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12755) is amended by inserting at the end the following sentence: "Such 30 day waiting period is not required if the grounds for the termination or refusal to renew involve a direct threat to the safety of the tenants or employees of the housing, or an imminent and serious threat to the property (and the termination or refusal to renew is in accordance with the requirements of State or local law)."

(b) Section 231 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12771) is amended—

(1) in subsection (b) by striking "make such funds available by direct reallocation" and all that follows through "were recaptured" and inserting "reallocate the funds by formula in accordance with section 217(d) of this Act (42 U.S.C. 12747(d))"; and

(2) by striking subsection (c).

(c) Section 104(6) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12704) is amended by inserting at the end of the undesignated matter after subparagraph (D) the following sentence: "In the case of an organization funded by the State under title II of this Act, the organization may serve all counties within the State."

(d) Section 216 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12746) is amended—

(1) in paragraph (3) by striking "Except as provided in paragraph (10), a" and inserting "A";

(2) in paragraph (8) by striking "subsequent" and inserting "five";

(3) by amending paragraph (9) to read as follows:

"(9) **REVOCATION.**—

"(A) The Secretary may revoke the designation of a jurisdiction as a participating jurisdiction if the Secretary finds, after reasonable notice and opportunity for hearing, that the jurisdiction is unwilling or unable to carry out the provisions of this title. Any remaining line of credit in the HOME Investment Trust Fund established for the jurisdiction under section 218 shall be reallocated in accordance with paragraph (6) of this section.

"(B) The Secretary shall revoke the designation of a jurisdiction as a participating jurisdiction if the allocation for the jurisdiction falls below \$500,000 for 3 years during the period in paragraph (8)."; and

(4) by striking paragraph (10).

(e) Section 217(b) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12747(b)) is amended—

(1) in paragraph (3) by striking ", except as provided in paragraph (4)"; and

(2) by striking paragraph (4).

SEC. 230. (a) Section 3(b) of the United States Housing Act of 1937 (42 U.S.C. 1437a) is amended—

(1) in paragraph (2),

(A) by designating the first sentence as subparagraph (A), the second sentence as subparagraph (B), and the remaining sentences as subparagraph (D);

(B) by inserting after subparagraph (B) the following new subparagraph (C):

"(C) The term *extremely low-income families* means very low-income families whose incomes do not exceed the higher of—

"(i) the poverty guidelines updated periodically by the Department of Health and Human Services under the authority of section 673(2) of the Community Services Block Grant Act applicable to a family of the size involved (except that this clause shall not apply in the case of public housing agencies located in Puerto Rico or any other territory or possession of the United States); or

"(ii) 30 percent of the median family income for the area, as determined by the Secretary, with adjustments for smaller and larger families (except that the Secretary may establish income ceilings higher or lower than 30 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes)."; and

(C) in subparagraph (D), as so designated by this subsection, by striking the second sentence and all that follows through the end of the subparagraph; and

(2) in paragraph 5(A), by revising subparagraph (ii) to read as follows:

"(ii) Health and medical expenses. The amount, if any, by which 10 percent of annual family income is exceeded by the sum of

"(I) in the case of any elderly or disabled family, any unreimbursed health and medical care expenses; and

"(II) any unreimbursed reasonable attendant care and auxiliary apparatus expenses for each handicapped member of the family, to the extent necessary to enable any member of such family to be employed.".

(b) Section 16 of the United States Housing Act of 1937 (42 U.S.C. 1437n) is amended—

(1) in subsection (a)(2)(A),

(2) in subsection (b)(1), and

(3) in subsection (c)(3),

by striking "families whose incomes" and all that follows through "low family incomes" and inserting "extremely low-income families".

SEC. 231. Notwithstanding Section 24(o) of the United States Housing Act of 1937 (42 U.S.C. 1437v(o)), amounts made available in prior appropriations Acts under the heading "Revitalization of Severely Distressed Public Housing (HOPE VI)" or under the heading "Choice Neighborhoods Initiative" may continue to be provided as assistance pursuant to such Section 24.

SEC. 232. PROJECT RENTAL ASSISTANCE AUTHORITY. —Section 202(f)(2) of the Housing Act of 1959 (12 U.S.C. 1701q(f)(2)) is amended—

(a) in paragraph (A)—

(1) by striking the matter before clause (i) and inserting the following: "The Secretary shall establish procedures to delegate the award, review and processing of projects to a State or local housing agency that—"; and

(2) in clause (iii), by striking "capital advance" and inserting "funding", and by replacing the comma with a semi-colon;

(b) in subparagraph (B), by striking "capital advances" and inserting "funding under this section";

(c) in subparagraph (C), by striking the first sentence;

(d) by redesignating subparagraph (D) as subparagraph (E), and in the redesignated subparagraph (E)—

(1) by striking "a capital advance" and inserting "funding under this section"; and

(2) by striking "capital advance amounts or project rental assistance" and inserting "funding under this section"; and

(e) by inserting the following new subparagraph after subparagraph (C):

"(D) Assistance under subsection (c)(2) may be provided for projects for which the applicable State agency responsible for health and human services programs, and the applicable State agency designated to administer or supervise the administration of the State plan for medical assistance under title XIX of the Social Security Act, have entered into such agreements as the Secretary considers appropriate—

"(i) to identify the target populations to be served by the project;

"(ii) to set forth methods for outreach and referral; and

"(iii) to make available appropriate services for tenants of the project.".

SEC. 233. The proviso under the "Community Development Fund" heading in Public Laws 109–148, 109–234, 110–252, and 110–329 which requires the Secretary to establish procedures to prevent duplication of benefits and to report to the Committees on Appropriations on all steps to

prevent fraud and abuse is amended by striking "quarterly" and inserting "annually".

SEC. 234. Section 11 of the Housing Opportunity Program Extension Act of 1996 (42 U.S.C. 12805 note) is amended—

(1) in subsection (d)(2) by inserting at the end the following new subparagraph:

"(C) PLANNING, ADMINISTRATION, AND MANAGEMENT. Planning, administration, and management of grant programs and activities, provided that such expenses do not exceed 20 percent of any grant made under this section.";

(2) in subsection (i)(5) by—

(A) striking "24" and inserting "36"; and

(B) striking "except that" and all that follow through "such grant amounts";

(3) in subsection (j) by—

(A) inserting after the heading "(1) REDISTRIBUTION OF FUNDS.";

(B) striking "24" and inserting "36";

(C) striking "(or, in the case" and all that follow through "within 36 months"); and

(D) inserting at the end the following new paragraph:

"(2) DEADLINE FOR COMPLETION AND CONVEYANCE.—The Secretary shall establish a deadline (which may be extended for good cause as determined by the Secretary) by which time all units that have been assisted with grant funds under this section must be completed and conveyed."; and

(4) by striking subsection (q).

SEC. 235. RENTAL ASSISTANCE DEMONSTRATION AMENDMENTS—

The language under the heading *Rental Assistance Demonstration* in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112–55) is amended—

(1) by striking "(except for funds allocated under such section for single room occupancy dwellings as authorized by title IV of the McKinney-Vento Homeless Assistance Act)" in both places such language appears;

(2) in the third proviso by inserting "in excess of amounts made available under this heading" after "associated with such conversion";

(3) in the fourth proviso—

(A) by striking "60,000" and inserting "150,000"; and

(B) by striking "or section 8(e)(2)"; and

(4) in the penultimate proviso by striking "and 2013," and inserting "through 2015".

SEC. 236. PHA COMPENSATION—

(a) Section 2(b) of the United States Housing Act of 1937 (42 U.S.C. 1437(b)) is amended by adding the following new paragraph at the end:

"(4) SALARY.—

"(A) GENERAL.—This paragraph establishes the maximum salary that a public housing agency may provide to its employees and the maximum annual contract amounts that may be paid to its contract personnel using funds provided under this Act. A public housing agency shall use the same salary structure as described in this paragraph and follow the requirements of uniform administrative rules for Federal grants and cooperative agreements and principles and standards for determining costs for Federal awards for all payments that it makes to its employees and for personnel hired as contractors when funds provided under this Act are used for such payments.

"(B) SALARY STRUCTURE.—

"(i) The base salary of public housing agency employees and the contract amount paid to contracted personnel from funds provided under this Act shall be based on the Federal General Schedule (GS) basic rate of pay, including locality adjustment, established under sections 5303 and 5304 of title 5, United States Code as follows:

"(I) For public housing agencies with fewer than 250 total units (public housing and section 8 housing vouchers), the base salary of a public housing agency employee or total annual payment to each contracted personnel shall not exceed the basic rate of pay, including a locality adjustment, for GS-11, step 10;

"(II) For public housing agencies with 250 to 1249 total units (public housing and section 8 housing vouchers), the base salary of a public housing employee or total annual payment to each contracted personnel shall not exceed the basic rate of pay, including locality adjustment, for GS-13, step 10;

(III) For public housing agencies with 1250 or more total units (public housing and section 8 housing vouchers), the base salary of a public housing agency employee or total annual payment to each contracted personnel shall not exceed the basic rate of pay, including locality adjustment, for GS-15, step 10.

"(ii) Any amount of salary paid to an employee or of total annual payment to each contracted personnel that exceeds the amount provided under the structure of this paragraph must be from non-Federal non-Act sources.

"(iii) The salary structure provided in subparagraph (B)(i) shall be subject to any requirements that may be established for the General Schedule by an appropriations Act or by Presidential executive order for any Federal fiscal year.

"(iv) A public housing agency must certify that it has established detailed performance measures that describe how public housing agency employees or personnel hired as contractors may receive a salary or contract increase within the limits of subparagraph (B)(i). The certification shall be transmitted to the Secretary in a format as determined by the Secretary.

"(C) DEFINITIONS.—For purposes of this section—

"(i) Employee includes any member of a public housing agency organization whose salary is paid in whole or in part from funds provided under this Act, and regardless of whether such employee is full-time or part-time, temporary or permanent.

"(ii) Contracted personnel includes any member of a public housing agency organization whose position is procured under uniform administrative rules for Federal grants and cooperative agreements and who is paid in whole or in part from funds provided under this Act, and regardless of whether such individual is full-time or part-time, hourly, temporary or permanent. No such position shall be for a period beyond 5 years without re-procurement.

"(iii) Salary includes the annual basic rate of pay, including a locality adjustment, as provided in sub-paragraph (B) and any additional adjustments, such as may be provided for overtime or shift differentials, bonuses, or contract payments including bonuses. Salary does not include fringe benefits as defined in principles and standards for determining costs for Federal awards.

"(D) DISCLOSURE OF RECORDS.— Each public housing agency shall make available to the Secretary upon request such financial and other records as the Secretary deems necessary for purpose of review and monitoring compliance with this section."

(b) EFFECTIVE DATE.—The amendment made by subsection (a) shall take effect on January 1, 2014 except that for contract personnel the amendment should be effective upon the expiration of any contract in effect on the date of enactment of the amendment.

SEC. 237. UTILITY ALLOWANCE.—Section 8(o)(2) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)(2)), is amended—

by adding at the end the following new subparagraph:

"(D) UTILITY ALLOWANCE.

"(1) GENERAL.—In determining the monthly assistance payment for a family under subparagraphs (A) and (B), the amount allowed for tenant-paid utilities shall not exceed the appropriate utility allowance for the family unit size as determined by the public housing agency regardless of the size of the dwelling unit leased by the family.

"(2) EXCEPTION FOR FAMILIES INCLUDING PERSONS WITH DISABILITIES.—Notwithstanding subparagraph (A), upon request by a family that includes a person with disabilities, the public housing agency shall approve a utility allowance that is higher than the applicable amount on the utility allowance schedule if a higher utility allowance is needed as a reasonable accommodation to make the program accessible to and usable by the family member with a disability."

SEC. 238. FAIR MARKET RENTALS.—Paragraph (1) of section 8(c) of the United States Housing Act of 1937 (42 U.S.C. 1437) is amended—

(a) by inserting "(A)" after the paragraph designation;

(b) by striking the fourth, seventh, eighth, ninth sentences; and

(c) by adding at the end the following:

"(B) Publication of Fair Market Rentals—Not less than annually:

"(1) The Secretary shall publish a notice in the Federal Register that proposed fair market rentals for an area have been published on the site of the Department on the Internet and in any other manner specified by the Secretary. Such notice shall describe proposed material changes in

the methodology for estimating fair market rentals and shall provide reasonable time for public comment.

"(2) The Secretary shall publish a notice in the Federal Register that final fair market rentals have been published on the site of the Department on the internet and in any other manner specified by the Secretary. Such notice shall include the final decisions regarding proposed substantial methodological changes for estimating fair market rentals and responses to public comments."

SEC. 239. Section 314 of the Department of Housing and Urban Development Appropriations Act, 2006 is repealed.

SEC. 240. Section 255 of the National Housing Act (12 U.S.C. 1715z-20) is amended—

(a) in subsection (b)(1) by inserting before the period ", except that the term mortgage shall not include the successors and assigns of the original borrower under a mortgage"; and

(b) in subsection (j) to read as follows: "(j) SAFEGUARD TO PREVENT DISPLACEMENT OF HOMEOWNER.—In order for a mortgage to be eligible for insurance under this section, the mortgage shall provide that the obligation of the mortgagor, to satisfy the loan obligation is deferred until the death of the mortgagor, the sale of the home, or the occurrence of other events specified in regulations of the Secretary. Section 1647(b) of title 15 and any implementing regulations issued by the Board of Governors of the Federal Reserve System shall not apply to a mortgage insured under this section."

SEC. 241. HOUSING COUNSELING AMENDMENTS—

(a) Section 106 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701x) is amended—

(1) by adding at the end of the section the following new subsection: "(j) FINANCIAL ASSISTANCE.—For purposes of this section, the Secretary may enter into multiyear agreements as is appropriate, subject to the availability of annual appropriations."

(2) in subsection (e)(2) by adding the following undesignated matter at the end of paragraph (2): "These standards may provide that an individual may also show competence to provide counseling by having successfully completed training in each of the six areas."; and

(3) in subsection (f)—

(A) in paragraph (1), by inserting "or entities" after "(which may be a nonprofit organization)"; and

(B) in paragraphs (3) through (6), by inserting "or entities" after the word "entity" each place such word appears.

(b) Section 4(g)(3)(A) of the Department of Housing and Urban Development Act (42 U.S.C. 3533(g)(3)(A)) is amended by—

(1) by striking "and" in clause (i);

(2) in clause (ii), by striking the period at the end, and inserting "; and"; and

(3) by adding the following clause at the end: "(iii) to accept and retain, on behalf of the Secretary, and subject to procedures established by the Secretary, funds from private entities, including mortgage lenders and servicers, and any funds made available to the Director pursuant to the settlement of any legal proceedings, to be distributed and used for housing counseling activities under section 106 of the Housing and Urban Development Act of 1968."

SEC. 242. COMMUNITY DEVELOPMENT BLOCK GRANT AMENDMENTS—

(a) Section 102 of the Housing and Community Development Act of 1974 (42 U.S.C. 5302) is amended—

(1) in subsection (a)(4)—

(A) in the second sentence, by striking "Any" and inserting "Through September 30, 2013, but not thereafter, any";

(B) by amending the fourth sentence to read, "A city may elect not to maintain its classification as a metropolitan city."; and

(C) by striking the fifth sentence; and

(2) in subsection (a)(6)(B) by striking "Any" and inserting "Through September 30, 2013, but not thereafter, any".

(b) Section 106 of the Housing and Community Development Act of 1974 (42 U.S.C. 5306) is amended by adding at the end the following new subsection:

"(g) QUALIFICATION FOR A GRANT.—

"(1) In general, in fiscal year 2014 and for subsequent fiscal years, once a metropolitan city or urban county receives a formula allocation, it shall receive an allocation for five years regardless of its classification

as a metropolitan city, whether it meets the population criteria under section 102(a)(6)(A)(ii), or any decrease in its formula allocation.

"(2) Notwithstanding section 106(a)(4)—

"(A) except as provided in (B), the Secretary will not make an allocation—

"(i) to a metropolitan city or urban county if its allocation falls below 0.0125 percent of the appropriation for three years during the five year period in paragraph (1);

"(ii) for a city, if it does not meet the definition of a metropolitan city in section 102(a)(4) after the five year period; or

"(iii) for a county, if it no longer maintains the population under section 102(a)(6)(A)(ii) after the five year period; and

"(B) any metropolitan city or urban county that does not receive a grant in any fiscal year after September 30, 2012, shall only receive a grant in fiscal year 2014 and any subsequent year if its formula allocation is \$500,000 or greater."

#### SEC. 243. PERFORMANCE PARTNERSHIP PILOTS—

(a) DEFINITIONS. In this section,

(1) "Performance Partnership Pilot" (or "Pilot") is a project that seeks to identify, through a demonstration, cost-effective strategies for providing services at the state, regional, or local level that—

(A) involve two or more Federal programs (administered by one or more Federal agencies)—

(i) which have related policy goals, and

(ii) at least one of which is administered (in whole or in part) by a state, local, or tribal government; and

(B) achieve better results for regions, communities, or specific at risk populations through making better use of the budgetary resources that are available for supporting such programs.

(2) "To improve outcomes for disconnected youth" means to increase the rate at which individuals between the ages of 14 and 24 (who are homeless, in foster care, involved in the juvenile justice system, or are neither employed nor enrolled in an educational institution) achieve success in meeting educational, employment or other key goals.

(3) The "lead Federal administering agency" is the Federal agency, to be designated by the Director of the Office of Management and Budget (from among the participating Federal agencies that have statutory responsibility for the Federal discretionary funds that will be used in a Performance Partnership Pilot) that will enter into and administer the particular Performance Partnership Agreement on behalf of that agency and the other participating Federal agencies.

(b) USE OF DISCRETIONARY FUNDS IN FISCAL YEAR 2014 APPROPRIATIONS ACT. Federal agencies may use Federal discretionary funds, that are made available in this act or any other appropriations act providing funds for Fiscal Year 2014 and corresponding authority to enter into Performance Partnership Pilots, to carry out up to a total of 13 Performance Partnership Pilots involving up to a total of \$130,000,000 in aggregate Federal discretionary budget authority. Such Pilots shall:

(1) be designed to improve outcomes for disconnected youth, and

(2) involve Federal programs targeted on disconnected youth, or designed to prevent youth from disconnecting from school or work, that provide education, training and employment, and other related social services; and

(c) PERFORMANCE PARTNERSHIP AGREEMENTS. Federal agencies may use Federal discretionary funds, as authorized in subsection (b), to participate in a Performance Partnership Pilot only in accordance with the terms of a Performance Partnership Agreement that—

(1) is entered into between—

(A) the head of the lead Federal administering agency, on behalf of all of the participating Federal agencies (subject to the head of the lead Federal administering agency having received from the heads of each of the other participating agencies their written concurrence for entering into the Agreement), and

(B) the respective representatives of all of the state, local or tribal governments that are participating in the Agreement; and

(2) specifies, at a minimum, the following information:

(A) the length of the Agreement (which shall not extend beyond September 30, 2018);

(B) the Federal programs and federally-funded services that are involved in the Pilot;

(C) the Federal discretionary funds that are being used in the Pilot (by the respective Federal account identifier, and the total amount from

such account that is being used in the Pilot), and the period (or periods) of availability for obligation (by the Federal Government) of such funds;

(D) the non-Federal funds that are involved in the Pilot, by source (which can include private funds as well as governmental funds) and by amount;

(E) the state, local, or tribal programs that are involved in the Pilot;

(F) the populations to be served by the Pilot;

(G) the cost-effective Federal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;

(H) the cost-effective State, local or tribal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;

(I) the outcome (or outcomes) that the Pilot is designed to achieve;

(J) the appropriate, reliable, and objective outcome-measurement methodology that the Federal Government and the participating state, local, or tribal governments will use, in carrying out the Pilot, to determine whether the Pilot is achieving, and has achieved, the specified outcomes that the Pilot is designed to achieve; and

(K) in cases where, during the course of the Pilot, it is determined that the Pilot is not achieving the specified outcomes that it is designed to achieve,

(i) the consequences that will result from such deficiencies with respect to the Federal discretionary funds that are being used in the Pilot, and

(ii) the corrective actions that will be taken in order to increase the likelihood that the Pilot, upon completion, will have achieved such specified outcomes.

(d) AGENCY HEAD DETERMINATIONS. A Federal agency may participate in a Performance Partnership Pilot (including by providing Federal discretionary funds that have been appropriated to such agency) only upon the written determination by the head of such agency that the agency's participation in such Pilot—

(1) will not result in denying or restricting the eligibility of any individual for any of the services that (in whole or in part) are funded by the agency's programs and Federal discretionary funds that are involved in the Pilot, and

(2) based on the best available information, will not otherwise adversely affect vulnerable populations that are the recipients of such services. In making this determination, the head of the agency may take into consideration the other Federal discretionary funds that will be used in the Pilot as well as any non-Federal funds (including from private sources as well as governmental sources) that will be used in the Pilot.

(e) TRANSFER AUTHORITY. For the purpose of carrying out the Pilot in accordance with the Performance Partnership Agreement, and subject to the written approval of the Director of the Office of Management and Budget, the head of each participating Federal agency may transfer Federal discretionary funds that are being used in the Pilot to an account of the lead Federal administering agency that includes Federal discretionary funds that are being used in the Pilot. Subject to the waiver authority under subsection (g), such transferred funds shall remain available for the same purposes for which such funds were originally appropriated: Provided, That such transferred funds shall remain available for obligation by the Federal Government until the expiration of those Federal discretionary funds (which are being used in the Pilot) that have the longest period of availability, except that any such transferred funds shall not remain available beyond September 30, 2018.

(f) WAIVER AUTHORITY. In connection with a Federal agency's participation in a Performance Partnership Pilot, and subject to the other provisions of this section (including subsection (e)), the head of the Federal agency to which the Federal discretionary funds were appropriated may waive (in whole or in part) the application, solely to such discretionary funds that are being used in the Pilot, of any statutory, regulatory, or administrative requirement that such agency head—

(1) is otherwise authorized to waive (in accordance with the terms and conditions of such other authority), and

(2) is not otherwise authorized to waive, provided that in such case the agency head, prior to granting the waiver, shall—

(A) not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and substate levels;

(B) issue a written determination with respect to such discretionary funds that the granting of such waiver for purposes of the Pilot—

(i) is consistent with both—

*(I) the statutory purposes of the Federal program for which such discretionary funds were appropriated, and*

*(II) the other provisions of this section, including the written determination by the agency head issued under subsection (e);*

*(ii) is necessary to achieve the outcomes of the Pilot as specified in the Performance Partnership Agreement, and is no broader in scope than is necessary to achieve such outcomes; and*

*(iii) will result in either—*

*(I) realizing efficiencies by simplifying reporting burdens or reducing administrative barriers with respect to such discretionary funds, or*

*(II) increasing the ability of individuals to obtain access to services that are provided by such discretionary funds; and*

*(C) provide at least 60 days advance written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.*



# DEPARTMENT OF THE INTERIOR

## LAND AND MINERALS MANAGEMENT

### BUREAU OF LAND MANAGEMENT

The Bureau of Land Management (BLM) is charged with the multiple use management of natural resources on more than 245 million acres of surface estate of public land, about one-eighth of the land in the United States. BLM also administers approximately 700 million acres of onshore Federal mineral estate underlying BLM and other surface ownerships. In addition, BLM has trust responsibilities on 56 million acres of Indian trust lands for mineral operations and cadastral (land) surveys. The lands managed by BLM provide important natural resources, recreational and scenic values to the American people, as well as resource commodities and revenue to the Federal Government, States, and counties. It is the mission of BLM to sustain the health, diversity, and productivity of the public lands for the use and enjoyment of present and future generations. The Budget proposes to establish a Congressionally-chartered National BLM Foundation. This Foundation will provide the BLM with an opportunity to broaden its partnership capabilities, leverage private funding, and focus public support for the BLM mission of protecting and restoring the natural, cultural, historical, and recreation resources on the Nation's public lands.

### Federal Funds

#### BUREAU OF LAND MANAGEMENT

#### MANAGEMENT OF LANDS AND RESOURCES

*For necessary expenses for protection, use, improvement, development, disposal, cadastral surveying, classification, acquisition of easements and other interests in lands, and performance of other functions, including maintenance of facilities, as authorized by law, in the management of lands and their resources under the jurisdiction of the Bureau of Land Management, including the general administration of the Bureau, and assessment of mineral potential of public lands pursuant to Public Law 96-487 (16 U.S.C. 3150(a)), \$980,228,000, to remain available until expended; of which \$4,500,000 shall be available in fiscal year 2014 subject to a match by at least an equal amount by the National Fish and Wildlife Foundation for cost-shared projects supporting conservation of Bureau lands; and such funds shall be advanced to the Foundation as a lump-sum grant without regard to when expenses are incurred.*

*In addition, \$32,500,000 is for the processing of applications for permit to drill and related use authorizations, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation that shall be derived from a fee of \$6,500 per new application for permit to drill that the Bureau shall collect upon submission of each new application, and in addition, \$47,950,000 is for conducting oil and gas inspection activities, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation that shall be derived from onshore oil and gas inspection fees that the Bureau shall collect, as provided for in this Act, and in addition, \$6,500,000 is for the processing of grazing permits and leases, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation, which shall be derived by a \$1.00 per animal unit month administrative fee, as provided for in this Act, and in addition, \$39,696,000 is for Mining Law Administration program operations, including the cost of administering the mining claim fee program, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation from mining claim maintenance fees and location fees that are hereby authorized for fiscal year 2014 so as to result in a final appropriation estimated at not more than \$980,228,000, and \$2,000,000, to remain available until expended, from communication site rental fees established by the Bureau for the cost of administering communication site activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is oper-

ating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

Identification code 14-1109-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0011 Land resources .....	266	266	267
0012 Wildlife and fisheries .....	53	53	53
0013 Threatened and endangered species .....	22	22	22
0014 Recreation management .....	69	69	70
0015 Energy and minerals .....	115	115	116
0016 Realty and ownership management .....	82	82	83
0017 Resource protection .....	105	105	106
0018 Transportation and facilities maintenance .....	75	75	76
0019 Land and resource information systems .....	17	17	17
0020 Workforce and organizational support .....	161	161	162
0026 Challenge Cost Share .....	8	8	8
0030 National Monuments & NCA .....	32	32	32
0799 Total direct obligations .....	1,005	1,005	1,012
0801 Reimbursable program .....	17	17	17
0802 Communication site rental fees .....	2	2	2
0803 Mining law administration .....	41	40	39
0804 APD fees .....	34	33	32
0805 Cadastral reimbursable program .....	13	13	9
0806 Inspection fees .....	.....	.....	4
0807 Grazing fees .....	.....	.....	3
0899 Total reimbursable obligations .....	107	105	106
0900 Total new obligations .....	1,112	1,110	1,118
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	164	131	143
1021 Recoveries of prior year unpaid obligations .....	40	43	43
1050 Unobligated balance (total) .....	204	174	186
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	962	966	980
1130 Appropriations permanently reduced .....	-2	.....	.....
1160 Appropriation, discretionary (total) .....	960	966	980
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (Mining law and Comm Sites) .....	43	42	42
1700 Offsetting collections (Economy Act) .....	37	38	38
1700 Offsetting collections (APD fees) .....	33	33	33
1700 Offsetting collections (Inspection fees) .....	.....	.....	48
1700 Offsetting Collections (Grazing fees) .....	.....	.....	7
1701 Change in uncollected payments, Federal sources .....	-34	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	79	113	168
1900 Budget authority (total) .....	1,039	1,079	1,148
1930 Total budgetary resources available .....	1,243	1,253	1,334
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	131	143	216
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	416	418	412
3010 Obligations incurred, unexpired accounts .....	1,112	1,110	1,118
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-1,070	-1,073	-1,150
3040 Recoveries of prior year unpaid obligations, unexpired .....	-40	-43	-43
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	418	412	337
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-104	-70	-70
3070 Change in uncollected pymts, Fed sources, unexpired .....	34	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-70	-70	-70
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	312	348	342
3200 Obligated balance, end of year .....	348	342	267
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,039	1,079	1,148
Outlays, gross:			
4010 Outlays from new discretionary authority .....	867	826	909

BUREAU OF LAND MANAGEMENT—Continued  
Program and Financing—Continued

Identification code 14-1109-0-1-302	2012 actual	2013 CR	2014 est.
4011 Outlays from discretionary balances .....	203	247	241
4020 Outlays, gross (total) .....	1,070	1,073	1,150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-37	-38	-38
4033 Non-Federal sources .....	-76	-75	-75
4033 Non-Federal sources .....			-48
4033 Non-Federal sources .....			-7
4040 Offsets against gross budget authority and outlays (total) ...	-113	-113	-168
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	34		
4070 Budget authority, net (discretionary) .....	960	966	980
4080 Outlays, net (discretionary) .....	957	960	982
4180 Budget authority, net (total) .....	960	966	980
4190 Outlays, net (total) .....	957	960	982

**Land resources.**—Provides for management of rangeland and forest resources; riparian areas; soil, water, and air activities; wild horses and burros; and cultural resources. The Budget funds rangeland management activities through a combination of direct appropriations and offsetting collections generated from a proposed 3-year pilot program to assess a new administrative processing fee on grazing permits. The new fee would allow BLM to recover some of the costs of issuing grazing permits/leases on BLM lands and use the funds to address pending applications for grazing permit renewals. BLM would charge a fee of \$1 per Animal Unit Month, which would be collected along with current grazing fees. BLM would promulgate regulations for the continuation of the grazing administrative fee as a cost recovery fee after the pilot expires.

**Wildlife and fisheries management.**—Provides for maintenance, improvement, or enhancement of fish and wildlife habitats as part of the management of public lands and ecosystems.

**Threatened and endangered species management.**—Provides for protection, conservation, consultation, recovery, and evaluation of populations and habitats of threatened, endangered and special status animal and plant species.

**Recreation management.**—Provides for management and protection of recreational resource values, designated and potential wilderness areas, and collection and expenditure of recreation user fees. The Administration proposes to permanently reauthorize the Departments of the Interior and Agriculture recreation fee program under the Federal Lands Recreation Enhancement Act, which is set to expire on December 8, 2014.

**Energy and minerals management.**—Provides for management of onshore oil and gas; coal; geothermal and renewable energy resources such as wind and solar; other leasable minerals and mineral materials activities; and the administration of encumbrances on the mineral estate on Federal and Indian lands. The Budget funds oil and gas management activities through a combination of direct appropriations and offsetting collections generated from assessing fees for inspection activities and processing applications for permits to drill. The 2014 Budget funds the inspection activity in the Oil and Gas Management program through inspection fees. These fees are expected to generate \$48 million in 2014 that will be used to offset the costs of administering BLM's oil and gas program.

**Realty and ownership management.**—Provides for management and non-reimbursable processing of authorizations and compliance for realty actions and rights-of-way (including Alaska), administration of land title records and completion of cadastral

surveys on public lands. Provides for the processing of communication site use authorization requests.

**Resource protection.**—Provides for management of the land use planning and National Environmental Policy Act processes, including monitoring activities. Also ensures the health and safety of users of the public lands through remediation of abandoned mine lands and protection from criminal and other unlawful activities; the effects of hazardous material and/or waste; and physical safety hazards.

**Transportation and facilities management.**—Provides for construction and maintenance of administrative and recreation sites, roads, trails, bridges and dams, including compliance with building codes and standards and environmental protection requirements. These funds allow for the systematic management of facilities with critical health and safety concerns, and ensure the protection of natural and cultural resources and the environment. In 2014, the BLM will fund all construction and deferred maintenance projects from this activity, including those on the Oregon and California grant lands.

**Challenge Cost Share (CCS).**—This program leverages non-Federal funding, in-kind services, and materials with Federal funding to conduct on-the-ground projects that improve conditions of the public lands. These conservation, restoration, and enhancement projects benefit forestry, range, riparian, fish, wildlife, threatened and endangered species, recreation, and cultural resources.

**National Landscape Conservation System (NLCS).**—Provides for the management of National Monuments, National Conservation Areas, and other Congressional conservation designations, key units in the NLCS. The program provides for the recurring operational (base) budgets of these NLCS units.

**Workforce and organizational support.**—Provides for the management of specified bureau business practices, such as human resources, Equal Employment Opportunity, financial resources, procurement, property, information technology, and fixed costs.

**Mining law administration.**—Provides for exploration and development of minerals on public lands pursuant to the General Mining Law of 1872, including validity examinations, patent application reviews, enforcement of environmental and bonding requirements, and recordation of mining claims. Program costs are expected to be fully offset by claim maintenance and other fees in 2014.

Object Classification (in millions of dollars)

Identification code 14-1109-0-1-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	364	362	370
11.3 Other than full-time permanent .....	23	22	24
11.5 Other personnel compensation .....	16	18	19
11.9 Total personnel compensation .....	403	402	413
12.1 Civilian personnel benefits .....	129	129	131
21.0 Travel and transportation of persons .....	16	14	14
22.0 Transportation of things .....	9	8	8
23.1 Rental payments to GSA .....	23	23	23
23.2 Rental payments to others .....	32	33	33
23.3 Communications, utilities, and miscellaneous charges .....	23	23	23
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	18	16	16
25.2 Other services from non-Federal sources .....	147	146	145
25.3 Other goods and services from Federal sources .....	59	59	59
25.4 Operation and maintenance of facilities .....	8	8	6
25.5 Research and development contracts .....		4	4
25.7 Operation and maintenance of equipment .....	13	13	13
26.0 Supplies and materials .....	27	24	24
31.0 Equipment .....	15	15	15
32.0 Land and structures .....	10	10	9
41.0 Grants, subsidies, and contributions .....	71	71	71
42.0 Insurance claims and indemnities .....		1	1

99.0	Direct obligations .....	1,005	1,001	1,010
99.0	Reimbursable obligations .....	107	109	108
99.9	Total new obligations .....	1,112	1,110	1,118

**Employment Summary**

Identification code 14-1109-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5,596	5,502	5,639
2001 Reimbursable civilian full-time equivalent employment .....	851	851	836
3001 Allocation account civilian full-time equivalent employment .....	2,376	2,178	2,138

**CONSTRUCTION**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-1110-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	5	5	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	11	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	.....
1160 Appropriation, discretionary (total) .....	4	4	.....
1930 Total budgetary resources available .....	16	15	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	10	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	5	4
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-17	-6	-5
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	5	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	5	4
3200 Obligated balance, end of year .....	5	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	.....
4011 Outlays from discretionary balances .....	16	5	5
4020 Outlays, gross (total) .....	17	6	5
4180 Budget authority, net (total) .....	4	4	.....
4190 Outlays, net (total) .....	17	6	5

**Construction.**—Provides for the construction of buildings, recreation facilities, bridges, roads, and trails necessary for effective multiple use management of the public lands and resources. In 2014, the Budget proposes to eliminate this account and fund projects through the Management of Lands and Resources appropriation.

**Object Classification** (in millions of dollars)

Identification code 14-1110-0-1-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	.....
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	.....	2	1
32.0 Land and structures .....	3	1	3

99.9	Total new obligations .....	5	5	5
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**Employment Summary**

Identification code 14-1110-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	12	12	.....

**OREGON AND CALIFORNIA GRANT LANDS**

*For expenses necessary for management, protection, and development of resources and for construction, operation, and maintenance of access roads, reforestation, and other improvements on the revested Oregon and California Railroad grant lands, on other Federal lands in the Oregon and California land-grant counties of Oregon, and on adjacent rights-of-way; and acquisition of lands or interests therein, including existing connecting roads on or adjacent to such grant lands; \$115,543,000, to remain available until expended: Provided, That 25 percent of the aggregate of all receipts during the current fiscal year from the revested Oregon and California Railroad grant lands is hereby made a charge against the Oregon and California land-grant fund and shall be transferred to the General Fund in the Treasury in accordance with the second paragraph of subsection (b) of title II of the Act of August 28, 1937 (50 Stat. 876).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-1116-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Western Oregon Maintenance .....	11	10	10
0004 Western Oregon Resource Management .....	107	95	99
0005 Western Oregon Data Systems Operation & Management .....	2	2	2
0006 Western Oregon National Monuments & NCA .....	1	2	2
0900 Total new obligations .....	121	109	113
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	4	8
1021 Recoveries of prior year unpaid obligations .....	4	.....	.....
1050 Unobligated balance (total) .....	13	4	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	112	113	116
1160 Appropriation, discretionary (total) .....	112	113	116
1930 Total budgetary resources available .....	125	117	124
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	8	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	39	48	47
3010 Obligations incurred, unexpired accounts .....	121	109	113
3020 Outlays (gross) .....	-108	-110	-116
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	48	47	44
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	39	48	47
3200 Obligated balance, end of year .....	48	47	44
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	112	113	116
Outlays, gross:			
4010 Outlays from new discretionary authority .....	81	84	86
4011 Outlays from discretionary balances .....	27	26	30
4020 Outlays, gross (total) .....	108	110	116
4180 Budget authority, net (total) .....	112	113	116
4190 Outlays, net (total) .....	108	110	116

OREGON AND CALIFORNIA GRANT LANDS—Continued

*Western Oregon resources management.*—Provides for the management of 2.2 million acres of lands that are primarily forested ecosystems in western Oregon. These lands support a number of resource management activities including timber management, grazing management, and recreation management. In support of these activities, the BLM is involved in improving critical watersheds, restoring wildlife and fish habitat, providing safe recreation opportunities, and preserving cultural resources.

*Western Oregon information and resource data systems.*—Provides for the acquisition, operation, and maintenance of the automated data support systems required for the management of the Oregon and California programs.

*Western Oregon transportation and facilities maintenance.*—Provides for the maintenance of office buildings, warehouse and storage structures, shops, greenhouses, recreation sites, and the transportation system that are necessary to assure public safety and effective management of the lands in western Oregon. In 2014, the Budget proposes to fund deferred maintenance projects on Oregon and California Grant Lands from the Management of Lands and Resources appropriation.

*Western Oregon Acquisition.*—Provides for the acquisition of road easements and road use agreements for timber site access and for other resource management activities, including recreation use. This activity also provides for transportation planning, survey, and design of access and other resource management roads.

*Western Oregon National Landscape Conservation System (NLCS).*—Provides for the management of National Monuments, National Conservation Areas, and other Congressional conservation designations, key units in the NLCS. The program provides for the recurring operational (base) budgets of these NLCS units.

Object Classification (in millions of dollars)

Identification code 14-1116-0-1-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	47	47	48
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	54	54	55
12.1 Civilian personnel benefits .....	17	17	17
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	3	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	17	12	13
25.3 Other goods and services from Federal sources .....	7	3	4
25.4 Operation and maintenance of facilities .....		2	3
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	5	5	5
41.0 Grants, subsidies, and contributions .....	7	5	5
99.9 Total new obligations .....	121	109	113

Employment Summary

Identification code 14-1116-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	780	780	782

LAND ACQUISITION

For expenses necessary to carry out sections 205, 206, and 318(d) of Public Law 94-579, including administrative expenses and acquisition of lands or waters, or interests therein, \$32,618,000, to be derived from the Land and Water Conservation Fund and to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-5033-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Land acquisition .....	24	24	16
0002 Acquisition management .....	2	3	2
0900 Total new obligations .....	26	27	18
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	15	10
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	22	22	33
1160 Appropriation, discretionary (total) .....	22	22	33
1930 Total budgetary resources available .....	41	37	43
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	10	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	6
3010 Obligations incurred, unexpired accounts .....	26	27	18
3020 Outlays (gross) .....	-25	-24	-19
3050 Unpaid obligations, end of year .....	3	6	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	6
3200 Obligated balance, end of year .....	3	6	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	22	22	33
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	6	8
4011 Outlays from discretionary balances .....	12	18	11
4020 Outlays, gross (total) .....	25	24	19
4180 Budget authority, net (total) .....	22	22	33
4190 Outlays, net (total) .....	25	24	19

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	22	22	33
Outlays .....	25	24	19
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			16
Outlays .....			4
<b>Total:</b>			
Budget Authority .....	22	22	49
Outlays .....	25	24	23

This appropriation provides for the acquisition of lands or interests in lands, by purchase or exchange, when necessary for public access and recreation use, preservation of open space, resource protection, and/or other purposes related to the management of public lands. The 2014 Federal Land Acquisition program builds on efforts started in 2011 to strategically invest in inter-agency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals. The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for the Departments of Agriculture and the Interior Land and

Water Conservation Fund programs beginning in fiscal year 2014.

Object Classification (in millions of dollars)

Identification code 14-5033-0-2-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	1		
32.0 Land and structures .....	24	26	17
99.9 Total new obligations .....	26	27	18

Employment Summary

Identification code 14-5033-0-2-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	12	12	11

LAND ACQUISITION

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 14-5033-4-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Land acquisition .....			9
0002 Acquisition management .....			1
0900 Total new obligations (object class 32.0) .....			10

Budgetary Resources:

Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [14-5005] ....			16
1260 Appropriations, mandatory (total) .....			16
1930 Total budgetary resources available .....			16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			6

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			10
3020 Outlays (gross) .....			-4
3050 Unpaid obligations, end of year .....			6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			6

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....			16
Outlays, gross:			
4100 Outlays from new mandatory authority .....			4
4180 Budget authority, net (total) .....			16
4190 Outlays, net (total) .....			4

RANGE IMPROVEMENTS

For rehabilitation, protection, and acquisition of lands and interests therein, and improvement of Federal rangelands pursuant to section 401 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1701), notwithstanding any other Act, sums equal to 50 percent of all moneys received during the prior fiscal year under sections 3 and 15 of the Taylor Grazing Act (43 U.S.C. 315 et seq.) and the amount designated for range improvements from grazing fees and mineral leasing receipts from Bankhead-Jones lands transferred to the Department of the Interior pursuant to law, but not less than \$10,000,000, to remain available until expended: Provided, That not to exceed \$600,000 shall be available for administrative expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5132-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Grazing Fees for Range Improvements, Taylor Grazing Act, As Amended .....	8	7	7
0400 Total: Balances and collections .....	8	7	7
Appropriations:			
0500 Range Improvements .....	-8	-7	-7
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 14-5132-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Public Lands Improvements .....	2	7	7
0002 Farm Tenant Act Lands Improvements .....	8	3	3
0900 Total new obligations .....	10	10	10

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	3
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	3	3	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (General Fund) .....	2	3	3
1201 Appropriation (special or trust fund) .....	8	7	7
1260 Appropriations, mandatory (total) .....	10	10	10
1930 Total budgetary resources available .....	13	13	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	4	4
3010 Obligations incurred, unexpired accounts .....	10	10	10
3020 Outlays (gross) .....	-11	-10	-10
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	4	4
3200 Obligated balance, end of year .....	4	4	4

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	10	10	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	4	4
4101 Outlays from mandatory balances .....	6	6	6
4110 Outlays, gross (total) .....	11	10	10
4180 Budget authority, net (total) .....	10	10	10
4190 Outlays, net (total) .....	11	10	10

This appropriation is derived from a percentage of receipts from grazing of livestock on the public lands and from grazing and mineral leasing receipts on Bankhead-Jones Farm Tenant Act lands transferred from the Department of Agriculture by various Executive Orders. These funds are used for the planning, construction, development, and monitoring of range improvements.

Object Classification (in millions of dollars)

Identification code 14-5132-0-2-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	1		
25.3 Other goods and services from Federal sources .....	1	2	2
26.0 Supplies and materials .....	2	2	2
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	1	1	1

RANGE IMPROVEMENTS—Continued  
Object Classification—Continued

Identification code 14-5132-0-2-302	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	10	10	10

## Employment Summary

Identification code 14-5132-0-2-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	42	42	42

## SERVICE CHARGES, DEPOSITS, AND FORFEITURES

For administrative expenses and other costs related to processing application documents and other authorizations for use and disposal of public lands and resources, for costs of providing copies of official public land documents, for monitoring construction, operation, and termination of facilities in conjunction with use authorizations, and for rehabilitation of damaged property, such amounts as may be collected under Public Law 94-579, as amended, and Public Law 93-153, to remain available until expended: Provided, That, notwithstanding any provision to the contrary of section 305(a) of Public Law 94-579 (43 U.S.C. 1735(a)), any moneys that have been or will be received pursuant to that section, whether as a result of forfeiture, compromise, or settlement, if not appropriate for refund pursuant to section 305(c) of that Act (43 U.S.C. 1735(c)), shall be available and may be expended under the authority of this Act by the Secretary to improve, protect, or rehabilitate any public lands administered through the Bureau of Land Management which have been damaged by the action of a resource developer, purchaser, permittee, or any unauthorized person, without regard to whether all moneys collected from each such action are used on the exact lands damaged which led to the action: Provided further, That any such moneys that are in excess of amounts needed to repair damage to the exact land for which funds were collected may be used to repair other damaged public lands.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5017-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Service Charges, Deposits, and Forfeitures, BLM .....	32	32	32
0400 Total: Balances and collections .....	32	32	32
Appropriations:			
0500 Service Charges, Deposits, and Forfeitures .....	-32	-32	-32
0799 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 14-5017-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Right-of-way processing .....	15	15	15
0004 Energy and minerals cost recovery .....	3	3	3
0005 Wild horse and burro cost recover .....	2	2	2
0006 Repair of damaged lands .....	4	4	4
0007 Cost recoverable realty .....	1	1	1
0008 Recreation cost recovery .....	2	2	2
0009 Copy fees .....	1	1	1
0011 Trans Alaska Pipeline Authority .....	4	4	4
0900 Total new obligations .....	32	32	32
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	47	47	47

## Budget authority:

Identification code	2012 actual	2013 CR	2014 est.
1101 Appropriations, discretionary:			
Appropriation (special or trust fund) .....	32	32	32
1160 Appropriation, discretionary (total) .....	32	32	32
1930 Total budgetary resources available .....	79	79	79
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	47	47	47

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	8	6
3010 Obligations incurred, unexpired accounts .....	32	32	32
3020 Outlays (gross) .....	-30	-34	-34
3050 Unpaid obligations, end of year .....	8	6	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	8	6
3200 Obligated balance, end of year .....	8	6	4

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	32	32	32
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	16	16
4011 Outlays from discretionary balances .....	15	18	18
4020 Outlays, gross (total) .....	30	34	34
4180 Budget authority, net (total) .....	32	32	32
4190 Outlays, net (total) .....	30	34	34

This appropriation is derived from: 1) revenues received to offset administrative and other costs incurred to process applications for rights-of-way, and the monitoring of construction, operation, and termination of rights-of-ways; 2) recovery of costs associated with the adopt-a-horse program; 3) revenues received for rehabilitation of damages to lands, resources, and facilities; 4) fees for processing specified categories of realty actions under the Federal Land Policy Management Act; 5) deposits received from contractors in lieu of completing contract requirements such as slash burning and timber extension expenses; 6) fees for costs of reproduction and administrative services involved in providing requested copies of materials; 7) fixed fees for energy and minerals lease applications, assignments, and transfers; 8) costs of processing applications and administering permits, including environmental analysis and monitoring of special recreation permits; and 9) rents received for permits to do commercial filming and photography on public lands. The Budget assumes that BLM will continue to identify new opportunities to recover more of its costs from benefiting public land users in order to reduce the need for direct appropriations from the Treasury.

## Object Classification (in millions of dollars)

Identification code 14-5017-0-2-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	14	14	14
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	16	16	16
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	4	3	3
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations .....	32	32	32

**Employment Summary**

Identification code 14-5017-0-2-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	211	211	208

**PERMANENT OPERATING FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-9926-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	80	77	78
Adjustments:			
0190 Adjustment - late processing of surplus warrant .....	-4		
0199 Balance, start of year .....	76	77	78
Receipts:			
0220 Deposits for Road Maintenance and Reconstruction .....	2	2	2
0221 Rents and Charges for Quarters, Bureau of Land Management, Interior .....	1	1	1
0222 Forest Ecosystem Health and Recovery, Disposal of Salvage Timber .....	6	6	3
0223 Forest Ecosystem Health and Recovery, Disposal of Salvage Timber .....			2
0224 Land Sales, Southern Nevada Public Land Management .....	7	13	5
0225 Timber Sale Pipeline Restoration Fund .....	4	4	1
0226 Timber Sale Pipeline Restoration Fund .....			1
0227 Surplus Land Sales, Federal Land Disposal Account .....			5
0228 Recreation Enhancement Fee, BLM .....	17	18	19
0229 Washington County, Utah Land Acquisition Account .....			1
0230 Rent from Mineral Leases, Permit Processing Improvement Fund .....	19	21	19
0240 Earnings on Investments, Southern Nevada Public Land Management .....	1	1	1
0299 Total receipts and collections .....	57	66	60
0400 Total: Balances and collections .....	133	143	138
Appropriations:			
0500 Permanent Operating Funds .....	-17	-18	-19
0501 Permanent Operating Funds .....	-6	-6	-3
0502 Permanent Operating Funds .....	-4	-4	-2
0503 Permanent Operating Funds .....	-2	-2	-2
0504 Permanent Operating Funds .....	-7	-13	-5
0505 Permanent Operating Funds .....	-1	-1	-1
0506 Permanent Operating Funds .....	-19	-20	-16
0507 Permanent Operating Funds .....	-1	-1	-1
0508 Permanent Operating Funds .....			-1
0509 Permanent Operating Funds .....			-1
0510 Permanent Operating Funds .....			-2
0511 Permanent Operating Funds .....			-5
0512 Permanent Operating Funds .....			-3
0599 Total appropriations .....	-57	-65	-61
0610 Permanent Operating Funds .....	1		
0799 Balance, end of year .....	77	78	77

**Program and Financing** (in millions of dollars)

Identification code 14-9926-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Forest ecosystems health and recovery .....	5	6	5
0002 Recreation fee demonstration .....	17	18	19
0003 Expenses, road maintenance deposits .....	1	1	1
0004 Timber sale pipeline restoration fund .....	5	4	4
0005 Southern Nevada public land sales (85) .....	101	110	114
0008 Lincoln County Lands Act .....	4	5	1
0013 Operation and maintenance of quarters .....	1	1	1
0014 Permit Processing Improvement Fund .....	23	19	19
0015 Geothermal Steam Act Fund .....	3	3	1
0018 NPR-2 Cleanup Fund .....			1
0900 Total new obligations .....	160	167	166
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	657	625	523
1021 Recoveries of prior year unpaid obligations .....	72		
1029 Other balances withdrawn .....	-1		
1050 Unobligated balance (total) .....	728	625	523

**Budget authority:**

	2012 actual	2013 CR	2014 est.
Appropriations, mandatory:			
1201 Recreation fee demonstration program .....	17	18	19
1201 Forest ecosystem health and recovery fund .....	6	6	3
1201 Timber sales pipeline restoration fund .....	4	4	2
1201 Expenses, road maintenance deposits .....	2	2	2
1201 S. Nevada public land management .....	7	13	5
1201 S. Nevada public land management-interest earned .....	1	1	1
1201 Permit processing improvement fund .....	19	20	16
1201 Operation and maintenance of quarters .....	1	1	1
1201 Owyhee Land Acquisition .....			1
1260 Appropriations, mandatory (total) .....	57	65	50
1930 Total budgetary resources available .....	785	690	573
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	625	523	407
Special and non-revolving trust funds:			
1950 Other balances withdrawn .....	1		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	754	492	376
3010 Obligations incurred, unexpired accounts .....	160	167	166
3020 Outlays (gross) .....	-350	-283	-220
3040 Recoveries of prior year unpaid obligations, unexpired .....	-72		
3050 Unpaid obligations, end of year .....	492	376	322
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	754	492	376
3200 Obligated balance, end of year .....	492	376	322

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	57	65	50
Outlays, gross:			
4100 Outlays from new mandatory authority .....	20	37	30
4101 Outlays from mandatory balances .....	330	246	190
4110 Outlays, gross (total) .....	350	283	220
4180 Budget authority, net (total) .....	57	65	50
4190 Outlays, net (total) .....	350	283	220

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	1,166	881	666
5001 Total investments, EOY: Federal securities: Par value .....	881	666	503

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	57	65	50
Outlays .....	350	283	220
Legislative proposal, subject to PAYGO:			
Budget Authority .....			11
Outlays .....			6
Total:			
Budget Authority .....	57	65	61
Outlays .....	350	283	226

**Permanent operating funds accounts include:**

*Operations and maintenance of quarters.*—Funds in this account are used to maintain and repair BLM employee-occupied quarters from which rental charges are collected. Agencies are required to collect quarters rentals from employees who occupy Government-owned housing and quarters. This housing is provided only in isolated areas or where an employee is required to live on-site at a Federally owned facility or reservation.

*Forest ecosystems health and recovery.*—Funds in this account are derived from revenue generated from the Federal share of receipts from the sale of salvage timber from the Oregon and California grant lands, public domain lands, and Coos Bay Wagon Road lands. Pursuant to Public Law 102-381, as amended, this account was established to allow BLM to more efficiently and effectively address forest health issues. Funds can be used for other forest health purposes, including release from competing vegetation and density control treatments.

*Timber sale pipeline restoration fund.*—This fund provides for the deposit and use of fees collected by BLM for sales of timber

PERMANENT OPERATING FUNDS—Continued

authorized by section 2001(k) of Public Law 104–19. Of the total deposited into this account, 75 percent is to be used for the preparation of timber sales to fill the timber pipeline on lands administered by the BLM, and 25 percent is to be used to address recreation projects on the BLM lands.

*Expenses, road maintenance deposits.*—Users of certain roads under the BLM's jurisdiction make deposits for maintenance purposes. Moneys collected are appropriated for necessary road maintenance. Moneys collected on Oregon and California grant lands are available only for those lands (43 U.S.C. 1762(c), 43 U.S.C. 1735(b)).

*Federal Lands Recreation Enhancement Act, BLM.*—The Federal Lands Recreation Enhancement Act (FLREA) was enacted on December 8, 2004, as part of the Consolidated Appropriations Act for 2005. FLREA replaced the Recreation Fee Demonstration Program, and most current BLM sites have transitioned to the new program. All receipts collected under this authority are deposited to this account. The BLM returns 100 percent of these receipts back to the site where the fees were generated. FLREA authorizes this program through 2014.

*Acquisitions in Deschutes, Oregon from land sale receipts.*—Pursuant to Public Law 105–221, the Oregon Public Lands Transfer Act, the Secretary of the Interior is authorized to use the proceeds from sales in Deschutes County to purchase environmentally sensitive lands.

*Operations and acquisitions in Nevada from land sale receipts.*—Pursuant to Public Law 105–263, 85 percent of receipts from sales of public domain lands in southern Nevada are used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by the National Park Service, the U.S. Fish and Wildlife Service and the BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails and natural areas and implement other conservation initiatives in Clark County, Nevada; and reimburse the BLM for costs incurred arranging sales and exchanges under the Act.

*Lincoln County Land Sales Act.*—Public Law 106–298 authorizes the Secretary to dispose of certain lands in Lincoln County, Nevada, and distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the County; and 85 percent to an interest bearing account that is available for expenditure without further appropriation to be used by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

*White Pine County Land Sales Act.*—Public Law 109–432 authorizes the Secretary to dispose of certain lands in White Pine County, Nevada, and to distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the County; and 85 percent to an account that is available for expenditure without further appropriation for the management of archaeological resources, wilderness protection, recreation activities, preparation of a management plan, reimbursement for sale costs, and other purposes.

*Leases from Naval Petroleum Reserve No. 2.*—The 2005 Energy Policy Act established this fund for environmental investigation and restoration on that site located in Kern County, California. A portion of revenue from new leases on the site is authorized to be deposited to this account.

*BLM Permit Processing Improvement Fund.*—The 2005 Energy Policy Act established pilot offices to improve interagency co-

ordination in processing onshore Federal oil and gas permits. Fifty-percent of the rents from non-geothermal onshore mineral leases are authorized to be deposited in this fund through 2015 and used to facilitate BLM oil and gas permit processing in these pilot offices.

*Geothermal Lease Revenue Fund.*—The 2005 Energy Policy Act established this fund. Twenty-five percent of geothermal bonuses, rents, and royalties were authorized to be deposited to this account through 2010 and used to expedite geothermal leasing activities. Unobligated balances are expected to be exhausted in 2013.

*Federal land disposal.*—The Federal Land Transaction Facilitation Act, P.L. 106–248 (114 Stat. 613), provided authority for the BLM to sell public lands that were classified as suitable for disposal under resource management plans in effect at the time of enactment. This law provided that receipts from such sales could be used to acquire non-Federal lands with significant resource values that fall within the boundaries of areas now managed by DOI. This authority expired on July 25, 2010, and was later was reauthorized through July 25, 2011. All funds not obligated by both expiration dates were transferred to the Land and Water Conservation Fund .

*Owyhee Land Acquisition Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1039), provides that the Secretary may sell public land located within the Boise District of the Bureau of Land Management that, as of July 25, 2000, was identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land in, or adjacent to, certain wilderness areas.

*Washington County, Utah Land Acquisition Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1091), authorizes the sale of public land located within Washington County, Utah, that, as of July 25, 2000, has been identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land in, or adjacent to, certain wilderness areas.

*Silver Saddle Endowment Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1114), requires Carson City to deposit twenty-five percent of the difference between what the Secretary of the Interior and the City paid for the 62 -acre Bernhard parcel before the Secretary conveys the land to the City. Amounts deposited in the account established by paragraph (1) shall be available to the Secretary, without further appropriation, for the oversight and enforcement of a certain conservation easement.

*Carson City Special Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1113), authorizes the sale of 158 acres of public land described in the statute. Five percent of the proceeds are paid to the State of Nevada for use for public education. The remainder is deposited to this account and used to acquire environmentally sensitive land or an interest in environmentally sensitive land in Carson City; to cover the cost of surveys and appraisals; and to reimburse the Bureau of Land Management for administrative expenses.

Object Classification (in millions of dollars)

Identification code 14–9926–0–2–302	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	24	26	21
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	29	31	26

12.1	Civilian personnel benefits .....	9	9	9
21.0	Travel and transportation of persons .....	2	2	2
22.0	Transportation of things .....	1	1	1
25.1	Advisory and assistance services .....	2	1	1
25.2	Other services from non-Federal sources .....	9	9	9
25.3	Other goods and services from Federal sources .....	9	11	11
25.7	Operation and maintenance of equipment .....	1	1	2
26.0	Supplies and materials .....	2	1	1
31.0	Equipment .....	1	1	1
32.0	Land and structures .....	4	4	4
41.0	Grants, subsidies, and contributions .....	7	10	13
99.0	Direct obligations .....	76	81	80
Allocation Account - direct:				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
21.0	Travel and transportation of persons .....	2	2	2
22.0	Transportation of things .....	2	2	2
25.1	Advisory and assistance services .....	2	1	.....
25.2	Other services from non-Federal sources .....	19	19	19
25.3	Other goods and services from Federal sources .....	22	22	22
25.7	Operation and maintenance of equipment .....	2	2	2
26.0	Supplies and materials .....	5	1	1
31.0	Equipment .....	2	1	1
32.0	Land and structures .....	10	10	10
41.0	Grants, subsidies, and contributions .....	17	25	26
99.0	Allocation account - direct .....	84	86	86
99.9	Total new obligations .....	160	167	166

**Employment Summary**

Identification code 14-9926-0-2-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	493	521	449

**PERMANENT OPERATING FUNDS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 14-9926-4-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Federal Land Disposal Account .....	.....	.....	5
0002 FEHRF and TSPRF .....	.....	.....	1
0900 Total new obligations .....	.....	.....	6
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (Timber Sales Pipeline Restoration Fund) ...	.....	.....	1
1201 Appropriation (Forest Ecosystem Health & Recovery Fund) .....	.....	.....	2
1201 Appropriation (Federal Land Disposal Account) .....	.....	.....	5
1201 Appropriation (Alaska Land Conveyance and Remediation) .....	.....	.....	3
1260 Appropriations, mandatory (total) .....	.....	.....	11
1930 Total budgetary resources available .....	.....	.....	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	6
3020 Outlays (gross) .....	.....	.....	-6

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	.....	.....	11
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	6
4180 Budget authority, net (total) .....	.....	.....	11
4190 Outlays, net (total) .....	.....	.....	6

**Reauthorize the Federal Lands Transaction Facilitation Act (FLTFA).**—The Budget proposes to reauthorize the FLTFA land sale authority, which expired in July 2011, to allow lands identified as suitable for disposal in recent land use plans to be sold using the FLTFA authority. FLTFA sales revenues would continue to be used to fund the acquisition of environmentally sensitive

lands and to cover BLM's administrative costs associated with conducting sales.

**Terminate the BLM Permit Processing Improvement Fund.**—As part of a broader package of oil and gas management reforms, the Budget proposes to reauthorize and expand the oil and gas permitting pilot office authority established under the 2005 Energy Policy Act (EPAct), while terminating in FY 2015 this mandatory fund established by EPAct. The mandatory nature of this funding reduces the agency's administrative flexibility to reallocate funding between programs and field offices. For FY 2014, the Budget assumes the mandatory fund will continue, resulting in a reduced need for discretionary appropriations. In 2014, BLM will also continue to rely on the oil and gas permit processing fees imposed by appropriations language and credited as offsetting collections to the Management of Lands and Resources account. This proposal would also repeal EPAct's prohibition on BLM charging oil and gas permit fees administratively; the Budget assumes that once the fee prohibition is lifted, BLM will begin assessing these fees administratively in FY 2015.

**Reallocate State Share of NPR-A Revenues to Priority BLM Alaska Activities.**—The Budget proposes to temporarily halt revenue sharing payments to the State of Alaska from NPR-A oil and gas development in order to reallocate these resources to a new Alaska Land Conveyance and Remediation Fund. This fund would be used to supplement discretionary appropriations and address priority BLM program needs in Alaska, specifically the remediation of oil and gas legacy wells in NPR-A and the completion of remaining land title conveyances to the State of Alaska, individual Alaska Natives, and Alaska Native Corporations. The regular 50/50 Federal-State revenue sharing arrangement would resume once the work on these two Alaska-specific activities is complete. This approach of temporarily suspending revenue sharing payments is similar to the approach taken by Congress to address priority site remediation needs in the Naval Oil Shale Reserve No. 3 located in the State of Colorado.

**Object Classification** (in millions of dollars)

Identification code 14-9926-4-2-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	.....	.....	1
25.1 Advisory and assistance services .....	.....	.....	1
25.2 Other services from non-Federal sources .....	.....	.....	1
25.3 Other goods and services from Federal sources .....	.....	.....	2
32.0 Land and structures .....	.....	.....	1
99.9 Total new obligations .....	.....	.....	6

**Employment Summary**

Identification code 14-9926-4-2-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	.....	.....	5

**MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-9921-0-2-999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	41	43	42
Receipts:			
0220 Receipts from Grazing, Etc., Public Lands outside Grazing Districts .....	1	1	1
0221 Receipts from Grazing, Etc., Public Lands within Grazing Districts .....	1	1	1
0222 Payments to States and Counties from Land Sales .....	1	3	1
0223 Sale of Public Lands and Materials .....	2	.....	.....
0224 Deposits, Oregon and California Grant Lands .....	12	12	8
0225 Deposits, Oregon and California Grant Lands .....	.....	.....	-8

MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS—Continued  
Special and Trust Fund Receipts—Continued

Identification code 14-9921-0-2-999	2012 actual	2013 CR	2014 est.
0226 Coos Bay Wagon Road Grant Fund .....	1		
0227 Funds Reserved, Coos Bay Wagon Road Grant Lands .....			1
0228 Funds Reserved, Coos Bay Wagon Road Grant Lands .....			-1
0299 Total receipts and collections .....	18	17	3
0400 Total: Balances and collections .....	59	60	45
<b>Appropriations:</b>			
0500 Miscellaneous Permanent Payment Accounts .....	-12	-12	
0501 Miscellaneous Permanent Payment Accounts .....	-1	-1	-1
0502 Miscellaneous Permanent Payment Accounts .....	-1	-1	-1
0503 Miscellaneous Permanent Payment Accounts .....	-1	-1	-1
0504 Miscellaneous Permanent Payment Accounts .....	-1	-1	-1
0505 Miscellaneous Permanent Payment Accounts .....		-2	-1
0506 Miscellaneous Permanent Payment Accounts .....			-8
0507 Miscellaneous Permanent Payment Accounts .....			-1
0508 Miscellaneous Permanent Payment Accounts .....			8
0509 Miscellaneous Permanent Payment Accounts .....			1
0599 Total appropriations .....	-16	-18	-5
0799 Balance, end of year .....	43	42	40

Program and Financing (in millions of dollars)

Identification code 14-9921-0-2-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payments to O&C Counties, Title I/III 5884 .....	36	36	
0003 Payment to O&C and CBWR Counties, Title II 5485 .....	6	4	
0004 From grazing fees, etc., public lands outside grazing districts 5016 .....	1	1	1
0005 From grazing fees, etc., public lands within grazing districts 5032 .....	1	1	1
0009 Proceeds from sales 5133 .....	1	1	1
0010 Payments to counties from national grasslands 5896 .....	1	1	1
0013 Payments to State and Counties from Nevada Land Sales .....		2	1
0014 Payments to O&C counties under 1937 statute .....			8
0015 Payments to CBWR counties under 1939 statute .....			1
0900 Total new obligations .....	46	46	14
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	4	4
Budget authority:			
Appropriations, mandatory:			
1200 SRS O&C Payments from GF- Title I/III .....	24	24	
1200 SRS Payments from GF-Title II .....	4	4	
1201 SRS O&C Title I/III Payments from receipts .....	12	12	
1201 Proceeds of sales-payments to states .....	1	1	1
1201 Payments from grazing fees outside grazing districts .....	1	1	1
1201 Payments from grazing fees within grazing districts .....	1	1	1
1201 Payments to Counties, National Grasslands, BLM .....	1	1	1
1201 Payments from Nevada Land Sales .....		2	1
1201 Payments to O&C Grants lands counties under 1937 statute .....			8
1201 Payments to CBWR counties under 1939 statute .....			1
1260 Appropriations, mandatory (total) .....	44	46	14
1930 Total budgetary resources available .....	50	50	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	7	13
3010 Obligations incurred, unexpired accounts .....	46	46	14
3020 Outlays (gross) .....	-47	-40	-23
3050 Unpaid obligations, end of year .....	7	13	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	7	13
3200 Obligated balance, end of year .....	7	13	4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	44	46	14
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	37	11
4101 Outlays from mandatory balances .....	46	3	12

4110 Outlays, gross (total) .....	47	40	23
4180 Budget authority, net (total) .....	44	46	14
4190 Outlays, net (total) .....	47	40	23

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	44	46	14
Outlays .....	47	40	23
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-9
Outlays .....			-9
<b>Total:</b>			
Budget Authority .....	44	46	5
Outlays .....	47	40	14

Miscellaneous permanent payments include:

*Payments for Oregon and California and Coos Bay Wagon Road grant lands, receipts.*—The Secure Rural Schools and Community Self-Determination Act of 2000 (P.L. 106-393), as amended by P.L. 110-343 and by P.L. 112-141, provides annual transition payments to the 18 O&C counties. These payments were derived from revenues from federal activities on O&C lands in the previous fiscal year that were not deposited to permanent operating funds, supplemented by amounts from the General Fund when necessary. The last payment authorized under P.L. 106-393 was for 2006. Section 601 of Division C of P.L. 110-343 amends and reauthorizes the Secure Rural Schools Act (P.L. 106-393). Payments authorized for 2012 by P.L. 112-141 will be made in 2013. Amendments to P.L. 106-393 retain most provisions of the original Act, but reduce payments each year. The Budget reflects a five-year reauthorization of the Secure Rural Schools Act with funding through mandatory appropriations. Counties not opting to receive a portion of the USDA Forest Service payments to communities will receive funds authorized under the 1937 and 1939 statutes. Payments to the Oregon counties under the 1937 statute will be 50 percent of revenues from O&C grant lands. Payments under the 1939 statute are for lost tax revenue in two Oregon counties and are estimated to be 75 percent of all revenues from Coos Bay Wagon Road grant lands.

*Payments to States (proceeds of sales).*—States are paid five percent of the net proceeds from the sale of public land and public land products (31 U.S.C. 1305).

*Payments to States from grazing receipts, etc., public lands outside grazing districts.*—States are paid 50 percent of the grazing receipts from public lands outside of grazing districts (43 U.S.C. 315i, 315m).

*Payments to States from grazing receipts, etc., public lands within districts.*—States are paid 12.5 percent of grazing receipts from public lands inside grazing districts (43 U.S.C. 315b, 315i).

*Payments to States from grazing receipts, etc., public lands within grazing districts, miscellaneous.*—States are paid specifically determined amounts from grazing receipts derived from miscellaneous lands within grazing districts when payment is not feasible on a percentage basis (43 U.S.C. 315).

*Payments to counties, National Grasslands.*—Of the revenues received from the use of Bankhead-Jones Act lands administered by the BLM, 25 percent is paid to the counties in which such lands are situated, for school and road purposes (7 U.S.C. 1012).

*Payments to Nevada from receipts on land sales.*—(A) Public Law 96-586 authorizes and directs the Secretary to sell not more than 700 acres of public lands per calendar year in and around Las Vegas, Nevada, the proceeds of which are to be used to acquire environmentally sensitive lands in the Lake Tahoe Basin of California and Nevada. Annual revenues are distrib-

uted to the State of Nevada (five percent) and the county in which the land is located (10 percent). (B) Public Law 105–263, as amended by P.L. 107–282, authorizes the disposal through sale of approximately 49,000 acres in Clark County Nevada, the proceeds of which are to be distributed as follows: a) five percent for use in the general education program of the State of Nevada; b) 10 percent for use by the Southern Nevada Water Authority for water treatment and transmission facility infrastructure in Clark County, Nevada; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to be used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by NPS, FWS and BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails and natural areas and implement other conservation initiatives in Clark County, Nevada; and reimburse BLM for costs incurred arranging sales and exchanges under the Act. (C) Public Law 106–298 authorizes the sale of certain lands in Lincoln County, Nevada. The proceeds of these sales are to be distributed as follows: a) five percent to the State of Nevada for general education purposes; b) 10 percent to Lincoln County for general purposes with emphasis on supporting schools; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

*Cook Inlet Region Inc. property.*—This account received funding appropriated by section 9102 of the fiscal year 1990 Department of Defense Appropriations Act for the acquisition of Federal real properties, improvements on such lands or rights to their use or exploitation, and any personal property related to the land purchased by the Cook Inlet Region, Incorporated as authorized by the provisions of section 12(b) of Public Law 94–204 (43 U.S.C. 1611). Funds are made available to the BLM for administration and subsequent payment to accounts accepting Cook Inlet Region, Incorporated offers for Federal properties.

*State 5 Percent Share, Carson City Land Sales.*—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 STAT. 1113), requires that five percent of proceeds from the sale of 158 acres described in the statute shall be paid to the State of Nevada for general public education purposes.

**Object Classification** (in millions of dollars)

Identification code 14–9921–0–2–999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	.....
25.2 Other services from non-Federal sources .....	2	2	.....
41.0 Grants, subsidies, and contributions .....	43	43	14
99.9 Total new obligations .....	46	46	14

**Employment Summary**

Identification code 14–9921–0–2–999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	9	9	.....

**MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 14–9921–4–2–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0014 Payments to O&C counties under 1937 statute .....	.....	.....	–8

0015 Payments to CBWR counties under 1939 statute .....	.....	.....	–1
0900 Total new obligations (object class 41.0) .....	.....	.....	–9

**Budgetary Resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Payments to O&C Grants lands counties under 1937 statute .....	.....	.....	–8
1201 Payments to CBWR counties under 1939 statute .....	.....	.....	–1
1260 Appropriations, mandatory (total) .....	.....	.....	–9
1930 Total budgetary resources available .....	.....	.....	–9

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	.....	–9
3020 Outlays (gross) .....	.....	.....	9

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	.....	.....	–9
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	.....	–9
4180 Budget authority, net (total) .....	.....	.....	–9
4190 Outlays, net (total) .....	.....	.....	–9

**HELIUM FUND**

**Program and Financing** (in millions of dollars)

Identification code 14–4053–0–3–306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Production and sales .....	19	21	.....
0802 Transmission and storage .....	2	2	.....
0803 Administration and other expenses .....	2	4	.....
0900 Total new obligations .....	23	27	.....

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	30	27	44
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1023 Unobligated balances applied to repay debt .....	.....	.....	–44
1050 Unobligated balance (total) .....	32	27	.....
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	198	212	.....
1820 Capital transfer of spending authority from offsetting collections to general fund .....	.....	–168	.....
1825 Spending authority from offsetting collections applied to repay debt .....	–180	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	18	44	.....
1930 Total budgetary resources available .....	50	71	.....

**Memorandum (non-add) entries:**

1941 Unexpired unobligated balance, end of year .....	27	44	.....
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**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	12	19
3010 Obligations incurred, unexpired accounts .....	23	27	.....
3020 Outlays (gross) .....	–21	–20	–7
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2	.....	.....
3050 Unpaid obligations, end of year .....	12	19	12
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	12	12	19
3200 Obligated balance, end of year .....	12	19	12

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	18	44	.....
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	8	11	.....
4101 Outlays from mandatory balances .....	13	9	7
4110 Outlays, gross (total) .....	21	20	7
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	–198	–212	.....
4180 Budget authority, net (total) .....	–180	–168	.....

HELIUM FUND—Continued  
Program and Financing—Continued

Identification code 14-4053-0-3-306	2012 actual	2013 CR	2014 est.
4190 Outlays, net (total) .....	-177	-192	7

## Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	-180	-168	.....
Outlays .....	-177	-192	7
Legislative proposal, subject to PAYGO:			
Outlays .....			-7
Total:			
Budget Authority .....	-180	-168	.....
Outlays .....	-177	-192	.....

The Helium Act Amendments of 1960, Public Law 86-777 (50 U.S.C. 167), authorized activities necessary to provide sufficient helium to meet the current and foreseeable future needs of essential government activities. The Helium Privatization Act of 1996 (HPA), Public Law 104-273, provides for the eventual privatization of the program and its functions, specifying that once the helium debt is retired, the Helium Production Fund would be dissolved. It is anticipated that the helium debt will be repaid at the beginning of 2014. The Budget proposes to continue operations of the Helium program while facilitating a gradual exit from the helium market. The Helium program would consist of: (a) continued storage and transmission of crude helium; (b) complete disposal of helium refining facilities and other excess property not needed for storage and transmission of crude helium; (c) oversight of the production of helium on Federal lands; and (d) administration of in-kind and open market crude helium gas sale program. To minimize impacts to the helium market, the Budget provides a "glide path" from the sales mandated under HPA, gradually increasing the sales price of helium and reducing the total volume of helium sold each year, until the amount in storage reaches 3,000,000,000 scf. At that point, the remaining helium will be reserved for Federal users.

## Balance Sheet (in millions of dollars)

Identification code 14-4053-0-3-306	2011 actual	2012 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	73	73
Other Federal assets:		
1802 Inventories and related properties .....	201	201
1803 Property, plant and equipment, net .....	3	3
1999 Total assets .....	277	277
LIABILITIES:		
Federal liabilities:		
2102 Interest payable .....	184	184
2103 Debt .....	252	252
2999 Total liabilities .....	436	436
NET POSITION:		
3300 Cumulative results of operations .....	-159	-159
4999 Total liabilities and net position .....	277	277

## Object Classification (in millions of dollars)

Identification code 14-4053-0-3-306	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	.....
12.1 Civilian personnel benefits .....	1	1	.....
23.3 Communications, utilities, and miscellaneous charges .....	2	4	.....
25.2 Other services from non-Federal sources .....	2	6	.....
26.0 Supplies and materials .....	1	4	.....
41.0 Grants, subsidies, and contributions .....	14	9	.....

99.9 Total new obligations .....	23	27	.....
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## Employment Summary

Identification code 14-4053-0-3-306	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	49	49	.....

## HELIUM FUND

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 14-4053-4-3-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Production and sales .....			19
0802 Transmission and storage .....			2
0803 Administration and other expenses .....			4
0900 Total new obligations .....			25

## Budgetary Resources:

Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			25
1850 Spending auth from offsetting collections, mand (total) .....			25
1930 Total budgetary resources available .....			25

## Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			25
3020 Outlays (gross) .....			-18
3050 Unpaid obligations, end of year .....			7
3200 Memorandum (non-add) entries:			
Obligated balance, end of year .....			7

## Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....			25
Outlays, gross:			
4100 Outlays from new mandatory authority .....			18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....			-25
4190 Outlays, net (total) .....			-7

## Object Classification (in millions of dollars)

Identification code 14-4053-4-3-306	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....			3
12.1 Civilian personnel benefits .....			1
23.3 Communications, utilities, and miscellaneous charges .....			4
25.2 Other services from non-Federal sources .....			5
26.0 Supplies and materials .....			3
41.0 Grants, subsidies, and contributions .....			9
99.9 Total new obligations .....			25

## Employment Summary

Identification code 14-4053-4-3-306	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....			49

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

Identification code 14-4525-0-4-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Operating expenses .....	29	25	29
0802 Capital investment .....	19	22	18

0900	Total new obligations .....	48	47	47
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	62	77	94
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	63	64	64
1750	Spending auth from offsetting collections, disc (total) .....	63	64	64
1930	Total budgetary resources available .....	125	141	158
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	77	94	111

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	17	15	8
3010	Obligations incurred, unexpired accounts .....	48	47	47
3020	Outlays (gross) .....	-50	-54	-52
3050	Unpaid obligations, end of year .....	15	8	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	17	15	8
3200	Obligated balance, end of year .....	15	8	3

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	63	64	64
Outlays, gross:				
4010	Outlays from new discretionary authority .....	30	26	26
4011	Outlays from discretionary balances .....	20	28	26
4020	Outlays, gross (total) .....	50	54	52
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-61	-55	-55
4033	Non-Federal sources .....	-2	-9	-9
4040	Offsets against gross budget authority and outlays (total) ....	-63	-64	-64
4080	Outlays, net (discretionary) .....	-13	-10	-12
4190	Outlays, net (total) .....	-13	-10	-12

Section 306 of the Federal Land Policy and Management Act of 1976 authorizes a BLM working capital fund. The fund is managed as a self-sustaining revolving fund for purchase and maintenance of vehicles and equipment, purchase of materials for resource conservation projects, purchase of uniforms, and other business-type functions.

**Balance Sheet** (in millions of dollars)

Identification code 14-4525-0-4-302	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	77	90
Other Federal assets:			
1801	Cash and other monetary assets .....	3	3
1802	Inventories and related properties .....	2	1
1803	Property, plant and equipment, net .....	134	130
1999	Total assets .....	216	224
<b>LIABILITIES:</b>			
2105	Federal liabilities: Other .....	9	9
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	207	215
4999	Total liabilities and net position .....	216	224

**Object Classification** (in millions of dollars)

Identification code 14-4525-0-4-302	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	1
12.1	Civilian personnel benefits .....	1	1
25.7	Operation and maintenance of equipment .....	5	6
26.0	Supplies and materials .....	22	20
31.0	Equipment .....	19	19
99.9	Total new obligations .....	48	47

**Employment Summary**

Identification code 14-4525-0-4-302	2012 actual	2013 CR	2014 est.	
2001	Reimbursable civilian full-time equivalent employment .....	24	24	24

**BUREAU OF LAND MANAGEMENT—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

The Department of Agriculture: Forest Service: "Forest Pest Management."  
The Department of Transportation: Federal Highway Administration: "Federal-Aid Highways."  
The Department of the Interior: Department-wide Programs: "Natural Resource Damage Assessment Fund."  
The Department of the Interior: Department-wide Programs: "Central Hazardous Materials Fund."  
The Department of the Interior: Department-wide Programs: "Wildland Fire Management."

**Trust Funds**

**MISCELLANEOUS TRUST FUNDS**

*In addition to amounts authorized to be expended under existing laws, there is hereby appropriated such amounts as may be contributed under section 307 of the Act of October 21, 1976 (43 U.S.C. 1701), and such amounts as may be advanced for administrative costs, surveys, appraisals, and costs of making conveyances of omitted lands under section 211(b) of that Act, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-9971-0-7-302	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....			
Receipts:				
0220	Contributions and Deposits, BLM .....	24	25	25
0400	Total: Balances and collections .....	24	25	25
Appropriations:				
0500	Miscellaneous Trust Funds .....	-24	-25	-25
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 14-9971-0-7-302	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Resource development FLPMA .....	17	16	16
0002	Resource development CA OHV .....	6	7	7
0003	Resource development Taylor Grazing .....	2	1	1
0004	Public survey .....	1	1	1
0005	Sikes Act .....		1	1
0900	Total new obligations .....	26	26	26

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	49	47	46
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	24	25	25
1260	Appropriations, mandatory (total) .....	24	25	25
1930	Total budgetary resources available .....	73	72	71
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	47	46	45

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	9	12	14
3010	Obligations incurred, unexpired accounts .....	26	26	26
3020	Outlays (gross) .....	-23	-24	-27
3050	Unpaid obligations, end of year .....	12	14	13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9	12	14

MISCELLANEOUS TRUST FUNDS—Continued  
Program and Financing—Continued

Identification code 14–9971–0–7–302		2012 actual	2013 CR	2014 est.
3200	Obligated balance, end of year .....	12	14	13
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	24	25	25
Outlays, gross:				
4100	Outlays from new mandatory authority .....	10	13	13
4101	Outlays from mandatory balances .....	13	11	14
4110	Outlays, gross (total) .....	23	24	27
4180	Budget authority, net (total) .....	24	25	25
4190	Outlays, net (total) .....	23	24	27

Current Trust Funds include:

*Land and Resource Management Trust Fund.*—Provides for the acceptance of contributed money or services for: 1) resource development, protection, and management; 2) conveyance or acquisition of public lands (including omitted lands or islands) to States, their political subdivisions, or individuals; and 3) conducting cadastral surveys, provided that estimated costs are paid prior to project initiation. (The Federal Land Policy and Management Act of 1976 (43 U.S.C. 1721, 1737).) The Sikes Act of 1974, as amended, provides for acceptance of contributions for conservation, restoration, and management of species and their habitats in cooperation with State wildlife agencies (16 U.S.C. 670 et seq.).

Permanent Trust Funds include:

*Range improvements.*—Acceptance of contributions for range-land improvements is authorized by the Taylor Grazing Act (43 U.S.C. 315h and 315i). These funds are permanently appropriated as trust funds to the Secretary for such uses as specified by those Acts.

*Public surveys.*—Acceptance of contributions for public surveys is authorized by 43 U.S.C. 759, 761, and 31 U.S.C. 1321(a). These contributions are permanently appropriated as trust funds to the Secretary for such uses as specified by those Acts.

*Trustee funds, Alaska townsites.*—Amounts received from the sale of Alaska town lots are available for expenses incident to the maintenance and sale of townsites (31 U.S.C. 1321; Comp. Gen. Dec. of Nov. 18, 1935).

Object Classification (in millions of dollars)

Identification code 14–9971–0–7–302		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	5	5	5
11.3	Other than full-time permanent .....	2	2	2
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	8	8	8
12.1	Civilian personnel benefits .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	1		
25.1	Advisory and assistance services .....		1	1
25.2	Other services from non-Federal sources .....	4	5	5
25.3	Other goods and services from Federal sources .....	4	4	4
26.0	Supplies and materials .....	2	1	1
31.0	Equipment .....		1	1
32.0	Land and structures .....	2	1	1
41.0	Grants, subsidies, and contributions .....	3	3	3
99.9	Total new obligations .....	26	26	26

Employment Summary

Identification code 14–9971–0–7–302		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	110	110	108

ADMINISTRATIVE PROVISIONS

*The Bureau of Land Management may carry out the operations funded under this Act by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities, including with States. Appropriations for the Bureau shall be available for purchase, erection, and dismantlement of temporary structures, and alteration and maintenance of necessary buildings and appurtenant facilities to which the United States has title; up to \$100,000 for payments, at the discretion of the Secretary, for information or evidence concerning violations of laws administered by the Bureau; miscellaneous and emergency expenses of enforcement activities authorized or approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$10,000: Provided, That notwithstanding 44 U.S.C. 501, the Bureau may, under cooperative cost-sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share the cost of printing either in cash or in services, and the Bureau determines the co-operator is capable of meeting accepted quality standards: Provided further, That projects to be funded pursuant to a written commitment by a State government to provide an identified amount of money in support of the project may be carried out by the Bureau on a reimbursable basis. Appropriations herein made shall not be available for the destruction of healthy, unadopted, wild horses and burros in the care of the Bureau or its contractors or for the sale of wild horses and burros that results in their destruction for processing into commercial products.*

BUREAU OF OCEAN ENERGY MANAGEMENT

Federal Funds

OCEAN ENERGY MANAGEMENT

*For expenses necessary for granting leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf and approving operations related thereto, as authorized by law; for environmental studies, as authorized by law; for implementing other laws and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, \$169,440,000, of which \$71,549,000 is to remain available until September 30, 2015 and of which \$97,891,000 is to remain available until expended: Provided, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Ocean Energy Management pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: Provided further, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year 2014 appropriation estimated at not more than \$71,549,000: Provided further, That not to exceed \$3,000 shall be available for reasonable expenses related to promoting volunteer beach and marine cleanup activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14–1917–0–1–302		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0003	Appropriations .....	64	60	63
0004	Offsetting Collections .....		119	116

0192	Total direct program .....	64	179	179
0799	Total direct obligations .....	64	179	179
0801	Offsetting Collections .....	94		
0802	RSAs .....		6	6
0899	Total reimbursable obligations .....	94	6	6
0900	Total new obligations .....	158	185	185
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	108	30	15
1010	Unobligated balance transfer to other accts [14–1700] .....	-36		
1010	Unobligated balance transfer to other accts [14–0102] .....	-54		
1021	Recoveries of prior year unpaid obligations .....	6	3	3
1050	Unobligated balance (total) .....	24	33	18
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	60	60	71
1160	Appropriation, discretionary (total) .....	60	60	71
Spending authority from offsetting collections, discretionary:				
1700	Collected - Offsetting Collections .....	167	163	98
1700	Collected - RSAs .....		6	4
1701	Change in uncollected payments, Federal sources .....	-1		
1710	Spending authority from offsetting collections transferred to other accounts [14–1700] .....	-62	-62	
1750	Spending auth from offsetting collections, disc (total) .....	104	107	102
1900	Budget authority (total) .....	164	167	173
1930	Total budgetary resources available .....	188	200	191
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	30	15	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	162	108	95
3010	Obligations incurred, unexpired accounts .....	158	185	185
3020	Outlays (gross) .....	-169	-195	-172
3030	Unpaid obligations transferred to other accts [14–1700] .....	-15		
3030	Unpaid obligations transferred to other accts [14–0102] .....	-22		
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6	-3	-3
3050	Unpaid obligations, end of year .....	108	95	105
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	158	105	92
3200	Obligated balance, end of year .....	105	92	102
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	164	167	173
Outlays, gross:				
4010	Outlays from new discretionary authority .....	83	110	116
4011	Outlays from discretionary balances .....	86	85	56
4020	Outlays, gross (total) .....	169	195	172
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Baseline Program [Text] .....	-4		
4033	Baseline Program [OCS offsetting collections-rents cost rec fees; RIK] .....	-163	-169	-102
4040	Offsets against gross budget authority and outlays (total) .....	-167	-169	-102
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4070	Budget authority, net (discretionary) .....	-2	-2	71
4080	Outlays, net (discretionary) .....	2	26	70
4180	Budget authority, net (total) .....	-2	-2	71
4190	Outlays, net (total) .....	2	26	70

The Bureau of Ocean Energy Management (BOEM) manages the exploration and development of the nation's offshore energy and marine mineral resources on the U.S. Outer Continental Shelf (OCS). The marine environment includes vast deposits of oil and natural gas, non-energy minerals, as well as renewable sources such as offshore wind, wave, and ocean currents. BOEM's goal is to balance economic development, energy needs, and environmental protection through responsible and transparent

management of offshore resources based on the best available science. In carrying out this mission, the bureau regulates OCS activities, including conducting oil and gas lease sales, conducting environmental reviews, preparing a five-year oil and gas leasing program which identifies OCS areas (parcels of the seafloor) to be offered to industry for development, and overseeing national resource assessments of oil, gas, and other mineral resource potential on the OCS. As the nation's designated steward of mineral resources on the OCS, BOEM works diligently to build a successful offshore program with a legal and regulatory framework that will provide for safe and environmentally sound OCS mineral resource development. The Ocean Energy Management account includes the following activities: Renewable Energy, Conventional Energy, Environmental Assessment and Studies, General Support Services, and Executive Direction.

**Renewable Energy.**—Includes leasing program development and implementation; related environmental analysis, assessment, and compliance work; review of site assessment, construction, and operation plans; consultation with state and local governments, Federal agencies, and other stakeholders; and development of the multipurpose marine cadastre.

**Conventional Energy.**—Includes OCS oil and gas leasing, including planning the 5-Year Oil and Gas Program; surveying OCS boundaries; implementing lease sales; administering leases; reviewing exploration and development plans; technical and economic resource evaluation; and coastal and marine spatial planning activities involving minerals other than oil and gas.

**Environmental Assessment and Studies.**—Funds the environmental impact statements and environmental assessments needed to assess potential environmental impacts of proposed actions in accordance with the National Environmental Protection Act and related regulations; and specific studies that address information needs before and after OCS activity.

**General Support Services.**—Provides shared support services for the bureau, such as space, workers and unemployment compensation, voice and data communications, and other central services.

**Executive Direction.**—Funds bureau-wide leadership, management, coordination, communications strategies, and outreach. It includes budget management, Congressional and public affairs, and program policy and analysis.

**Object Classification** (in millions of dollars)

Identification code 14–1917–0–1–302	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	40	50	51
12.1	Civilian personnel benefits .....	11	14	14
21.0	Travel and transportation of persons .....	1	2	2
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	11	100	99
26.0	Supplies and materials .....		1	1
31.0	Equipment .....		1	1
41.0	Grants, subsidies, and contributions .....		10	10
99.0	Direct obligations .....	64	179	179
99.0	Reimbursable obligations .....	94	6	6
99.9	Total new obligations .....	158	185	185

**Employment Summary**

Identification code 14–1917–0–1–302	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	453	572	580
2001	Reimbursable civilian full-time equivalent employment .....	107		

COASTAL IMPACT ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 14-5572-0-2-306	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	585		
1010 Unobligated balance transfer to other accts [14-5579] .....	-585		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	189	1	1
3020 Outlays (gross) .....	-8		
3030 Unpaid obligations transferred to other accts [14-5579] .....	-180		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	189	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	8		
4190 Outlays, net (total) .....	8		

The Energy Policy Act of 2005 (P.L. 109-58) amends section 31 of the Outer Continental Shelf (OCS) Lands Act (43 U.S.C. 1356 et seq.) to require that for each of the fiscal years 2007 through 2010, \$250,000,000 in OCS revenues be distributed annually to coastal States that have submitted approved coastal impact assistance plans. The program was transferred from the Bureau of Ocean Energy Management, Regulation and Enforcement (BOEMRE), now the Bureau of Ocean Energy Management (BOEM), to the U.S. Fish and Wildlife Service. Remaining balances from this program were transferred on October 1, 2011.

BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT

Federal Funds

OFFSHORE SAFETY AND ENVIRONMENTAL ENFORCEMENT

For expenses necessary for the regulation of operations related to leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf, as authorized by law; for enforcing and implementing laws and regulations as authorized by law and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, \$142,233,000, of which \$83,263,000 is to remain available until September 30, 2015 and of which \$58,970,000 is to remain available until expended: Provided, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Safety and Environmental Enforcement pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: Provided further, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year 2014 appropriation estimated at not more than \$83,263,000.

For an additional amount, \$65,000,000, to remain available until expended, to be reduced by amounts collected by the Secretary and credited to this appropriation, which shall be derived from non-refundable inspection fees collected in fiscal year 2014, as provided in this Act: Provided, That to the extent that amounts realized from such inspection fees exceed \$65,000,000, the amounts realized in excess of \$65,000,000 shall be credited to this appropriation and remain available until expended: Provided further, That for fiscal year 2014, not less than 50 percent of the inspection fees expended by the Bureau of Safety and Environmental Enforcement will be used to fund personnel and mission-related costs to expand capacity and expedite the orderly development, subject to environmental safeguards, of the Outer Continental Shelf pursuant to the Outer Continental Shelf

Lands Act (43 U.S.C. 1331 et seq.), including the review of applications for permits to drill.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-1700-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Appropriations .....	60	62	83
0002 Offsetting Collections .....		121	124
0192 Total direct program .....	60	183	207
0799 Total direct obligations .....	60	183	207
0801 Offsetting Collection & Reimbursable Receipts .....	133		
0802 Reimbursable Service Agreements .....		33	33
0899 Total reimbursable obligations .....	133	33	33
0900 Total new obligations .....	193	216	240
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		69	69
1011 Unobligated balance transfer from other accts [14-1917] .....	36		
1050 Unobligated balance (total) .....	36	69	69
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	61	62	83
1160 Appropriation, discretionary (total) .....	61	62	83
Spending authority from offsetting collections, discretionary:			
1700 Offsetting Collections (Cost Recovery) .....		6	8
1700 Offsetting Collections (Rents - Cost Recovery) .....	59	53	51
1700 Collected (Inspection Fee) .....	23		65
1700 Offsetting Collections (Reimbursable Service Agreements) .....		33	33
1701 Change in uncollected payments, Federal sources .....	21		
1711 Spending authority from offsetting collections transferred from other accounts [14-1917] .....	62	62	
1750 Spending auth from offsetting collections, disc (total) .....	165	154	157
1900 Budget authority (total) .....	226	216	240
1930 Total budgetary resources available .....	262	285	309
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	69	69	69
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		83	78
3010 Obligations incurred, unexpired accounts .....	193	216	240
3020 Outlays (gross) .....	-125	-221	-236
3031 Unpaid obligations transferred from other accts [14-1917] .....	15		
3050 Unpaid obligations, end of year .....	83	78	82
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-21	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	-21		
3090 Uncollected pymts, Fed sources, end of year .....	-21	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		62	57
3200 Obligated balance, end of year .....	62	57	61
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	226	216	240
Outlays, gross:			
4010 Outlays from new discretionary authority .....	100	150	168
4011 Outlays from discretionary balances .....	25	71	68
4020 Outlays, gross (total) .....	125	221	236
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-23	-33	-33
4033 Non-Federal sources .....	-59		
4034 Offsetting governmental collections .....		-59	-124
4040 Offsets against gross budget authority and outlays (total) .....	-82	-92	-157
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-21		

4070	Budget authority, net (discretionary) .....	123	124	83
4080	Outlays, net (discretionary) .....	43	129	79
4180	Budget authority, net (total) .....	123	124	83
4190	Outlays, net (total) .....	43	129	79

The Bureau of Safety and Environmental Enforcement (BSEE) was established on October 1, 2011, to aggressively promote safety, protect the environment, and conserve offshore resources. The mission of BSEE is to enforce safety, environmental, and conservation laws and regulations concerning development of Outer Continental Shelf resources. The Offshore Safety and Environmental Enforcement account is BSEE's primary operating account. Activities include: Operations, Safety, and Regulation; Environmental Enforcement; Administrative Operations; General Support Services; and Executive Direction.

**Operations, Safety, and Regulation.**—Provides for the development of standards and regulations, review of operational permits and requests, drilling rig and production facility inspections, oil spill planning and preparedness compliance, accident investigations, production verification (in cooperation with the Office of Natural Resources Revenue), emerging technology assessment and research, and the National Offshore Training and Learning Center.

**Environmental Enforcement.**—Funds environmental compliance activities related to issuing permits; inspections of environmental measures and enforcement of incidences of noncompliance, and monitoring industry compliance with mitigation and other environmental requirements through office and field inspections.

**Administrative Operations.**—Provides bureau-wide ethics programs and oversight, emergency management, finance, human resources, procurement, and information management. This activity also provides a full range of administrative and information management services to the Bureau of Ocean Energy Management (BOEM), as well as select services to other Departmental entities.

**General Support Services.**—Provides shared support services for the bureau, such as office space, workers' and unemployment compensation, voice and data communications, and other central services. BSEE provides some general support services to BOEM through a reimbursable service agreement.

**Executive Direction.**—Maintains bureau-wide leadership, management, coordination, communications strategies, and outreach. Funds such activities as budget management, congressional and public affairs, and program policy and analysis.

**Object Classification** (in millions of dollars)

Identification code 14-1700-0-1-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	32	46	52
12.1 Civilian personnel benefits .....	11	16	17
21.0 Travel and transportation of persons .....	1	4	4
23.1 Rental payments to GSA .....	1	8	8
25.1 Advisory and assistance services .....	.....	4	4
25.2 Other services from non-Federal sources .....	7	89	104
25.3 Other goods and services from Federal sources .....	2	1	1
25.5 Research and development contracts .....	.....	11	13
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	5	3	3
99.0 Direct obligations .....	60	183	207
99.0 Reimbursable obligations .....	133	33 <sup>1</sup>	33
99.9 Total new obligations .....	193	216	240

<sup>1</sup>As of FY 2013, Offsetting Collections moved to Direct Category

**Employment Summary**

Identification code 14-1700-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	386	563	616

2001 Reimbursable civilian full-time equivalent employment .....	.....	.....	.....
2001 Reimbursable civilian full-time equivalent employment .....	212	125	125

**OIL SPILL RESEARCH**

**Program and Financing** (in millions of dollars)

Identification code 14-1920-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	.....	3	.....
0900 Total new obligations (object class 25.2) .....	.....	3	.....
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	3	.....
1160 Appropriation, discretionary (total) .....	.....	3	.....
1930 Total budgetary resources available .....	.....	3	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	2
3010 Obligations incurred, unexpired accounts .....	.....	3	.....
3020 Outlays (gross) .....	.....	-1	-2
3050 Unpaid obligations, end of year .....	.....	2	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	2
3200 Obligated balance, end of year .....	.....	2	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	3	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1	.....
4011 Outlays from discretionary balances .....	.....	.....	2
4020 Outlays, gross (total) .....	.....	1	2
4180 Budget authority, net (total) .....	.....	3	.....
4190 Outlays, net (total) .....	.....	1	2

**Trust Funds**

**OIL SPILL RESEARCH**

For necessary expenses to carry out title I, section 1016, title IV, sections 4202 and 4303, title VII, and title VIII, section 8201 of the Oil Pollution Act of 1990, \$14,899,000, which shall be derived from the Oil Spill Liability Trust Fund, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-8370-0-7-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	12	15	15
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	6	6
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	15	15	15
1160 Appropriation, discretionary (total) .....	15	15	15
1900 Budget authority (total) .....	15	15	15
1930 Total budgetary resources available .....	18	21	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6

OIL SPILL RESEARCH—Continued  
Program and Financing—Continued

Identification code 14-8370-0-7-302	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	10	11
3010 Obligations incurred, unexpired accounts .....	12	15	15
3020 Outlays (gross) .....	-10	-14	-15
3050 Unpaid obligations, end of year .....	10	11	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	10	11
3200 Obligated balance, end of year .....	10	11	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	8	8
4011 Outlays from discretionary balances .....	6	6	7
4020 Outlays, gross (total) .....	10	14	15
4180 Budget authority, net (total) .....	15	15	15
4190 Outlays, net (total) .....	10	14	15

The Oil Pollution Act of 1990 authorizes use of the Oil Spill Liability Trust Fund, established by section 9509 of the Internal Revenue Code of 1986. The Oil Spill Research (OSR) appropriation funds oil spill research, oil spill prevention, response planning activities, and regulation of oil spill financial responsibility.

## Object Classification (in millions of dollars)

Identification code 14-8370-0-7-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	.....	1	1
25.2 Other services from non-Federal sources .....	4	2	2
25.5 Research and development contracts .....	4	10	10
94.0 Financial transfers .....	1	.....	.....
99.0 Direct obligations .....	11	15	15
99.0 Reimbursable obligations .....	1	.....	.....
99.9 Total new obligations .....	12	15	15

## Employment Summary

Identification code 14-8370-0-7-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	17	22	22

## OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT

## Federal Funds

## REGULATION AND TECHNOLOGY

For necessary expenses to carry out the provisions of the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, as amended, \$114,955,000, to remain available until September 30, 2015: Provided, That appropriations for the Office of Surface Mining Reclamation and Enforcement may provide for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.

In addition, for costs to review, administer, and enforce permits issued by the Bureau pursuant to section 507 of Public Law 95-87 (30 U.S.C. 1257), \$2,400,000, to remain available until expended: Provided, That fees assessed and collected by the Bureau pursuant to such section 507 shall be credited to this account as discretionary offsetting collections, to remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as collections are received during the fiscal year, so as to result in a fiscal year 2014 appropriation estimated at not more than \$114,955,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is oper-

ating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 14-1801-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Environmental protection .....	93	92	84
0003 Technology development & transfer .....	15	15	16
0004 Financial management .....	1	1	1
0005 Executive direction & administration .....	15	16	16
0900 Total new obligations .....	124	124	117
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	19	19
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	22	19	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	123	124	115
1160 Appropriation, discretionary (total) .....	123	124	115
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	.....	2
1750 Spending auth from offsetting collections, disc (total) .....	.....	.....	2
1900 Budget authority (total) .....	123	124	117
1930 Total budgetary resources available .....	145	143	136
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	19	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	54	52	42
3010 Obligations incurred, unexpired accounts .....	124	124	117
3020 Outlays (gross) .....	-119	-134	-120
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	52	42	39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	54	52	42
3200 Obligated balance, end of year .....	52	42	39
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	123	124	117
Outlays, gross:			
4010 Outlays from new discretionary authority .....	66	84	80
4011 Outlays from discretionary balances .....	53	50	40
4020 Outlays, gross (total) .....	119	134	120
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	.....	.....	-2
4180 Budget authority, net (total) .....	123	124	115
4190 Outlays, net (total) .....	119	134	118

**Environmental protection.**—This activity funds those functions that directly contribute to ensuring that the environment is protected during surface coal mining operations. It also addresses activities to ensure coal operators adequately reclaim the land after mining is completed.

Under this activity, the Office of Surface Mining Reclamation and Enforcement provides grants and support to States to operate enforcement programs on State and private lands under the terms of the Surface Mining Control and Reclamation Act of 1977 (SMCRA). This activity also provides for the operation of enforcement programs on Federal and Indian lands, as well as Federal oversight of these regulatory programs.

**Technology development and transfer.**—This activity provides funding to enhance the technical skills that States and Indian Tribes need to operate their regulatory programs. It provides technical tools, such as the Applicant Violator System, to States and Indian Tribes to solve problems related to the environmental

effects of coal mining and technical assistance to address specific coal mining issues.

**Financial management.**—This activity provides resources for managing, accounting, and processing collections and for pursuing delinquent civil penalties. This includes developing and maintaining information management systems that support these functions and enhance the agency's ability to deny new mining permits to applicants with unabated State or Federal violations.

**Executive direction and administration.**—This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services, such as rent, telephones, and postage.

**Object Classification** (in millions of dollars)

Identification code 14-1801-0-1-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	31	33	34
12.1 Civilian personnel benefits .....	8	7	7
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	4	4	4
23.2 Rental payments to others .....	1	1	1
25.2 Other services from non-Federal sources .....	7	6	7
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	2	1	1
41.0 Grants, subsidies, and contributions .....	69	68	57
99.0 Direct obligations .....	124	124	115
99.0 Reimbursable obligations .....			2
99.9 Total new obligations .....	124	124	117

**Employment Summary**

Identification code 14-1801-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	345	344	363

**ABANDONED MINE RECLAMATION FUND**

*For necessary expenses to carry out title IV of the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, as amended, \$28,013,000, to be derived from receipts of the Abandoned Mine Reclamation Fund and to remain available until expended: Provided, That pursuant to Public Law 97-365, the Department of the Interior is authorized to use up to 20 percent from the recovery of the delinquent debt owed to the United States Government to pay for contracts to collect these debts: Provided further, That funds made available under title IV of Public Law 95-87 may be used for any required non-Federal share of the cost of projects funded by the Federal Government for the purpose of environmental restoration related to treatment or abatement of acid mine drainage from abandoned mines: Provided further, That such projects must be consistent with the purposes and priorities of the Surface Mining Control and Reclamation Act: Provided further, That amounts provided under this heading may be used for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-5015-0-2-999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	2,459	2,468	2,447
<b>Receipts:</b>			
0200 Abandoned Mine Reclamation Fund, Reclamation Fees .....	250	216	213
0201 Abandoned Mine Reclamation Fund, Reclamation Fees .....			53
0240 Earnings on Investments, Abandoned Mine Reclamation Fund .....	55	56	15
0299 Total receipts and collections .....	305	272	281

0400 Total: Balances and collections .....	2,764	2,740	2,728
<b>Appropriations:</b>			
0500 Abandoned Mine Reclamation Fund .....	-27	-28	-28
0501 Abandoned Mine Reclamation Fund .....	-269	-55	-15
0502 Abandoned Mine Reclamation Fund .....		-210	-188
0599 Total appropriations .....	-296	-293	-231
0799 Balance, end of year .....	2,468	2,447	2,497

**Program and Financing** (in millions of dollars)

Identification code 14-5015-0-2-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Environmental Restoration .....	8	9	9
0002 Technology development and transfer .....	3	4	4
0003 Financial management .....	6	7	7
0004 Executive direction and administration .....	8	8	8
0005 AML funded Grants to States .....	232	210	188
0006 UMWA and other benefits .....	48	55	15
0900 Total new obligations .....	305	293	231

<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	27	29	34
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	27	29	
1021 Recoveries of prior year unpaid obligations .....	11	5	5
1050 Unobligated balance (total) .....	38	34	39
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	27	28	28
1160 Appropriation, discretionary (total) .....	27	28	28
<b>Appropriations, mandatory:</b>			
1201 Appropriation (AML & RAMP transfers to UMWA) .....	269	55	15
1201 Appropriation (AML grants to states) .....		210	188
1260 Appropriations, mandatory (total) .....	269	265	203
1900 Budget authority (total) .....	296	293	231
1930 Total budgetary resources available .....	334	327	270
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	29	34	39

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	229	262	321
3010 Obligations incurred, unexpired accounts .....	305	293	231
3020 Outlays (gross) .....	-261	-229	-247
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	-5	-5
3050 Unpaid obligations, end of year .....	262	321	300
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	229	262	321
3200 Obligated balance, end of year .....	262	321	300

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	27	28	28
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	21	24	24
4011 Outlays from discretionary balances .....	20	4	4
4020 Outlays, gross (total) .....	41	28	28
<b>Mandatory:</b>			
4090 Budget authority, gross .....	269	265	203
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	73	113	67
4101 Outlays from mandatory balances .....	147	88	152
4110 Outlays, gross (total) .....	220	201	219
4180 Budget authority, net (total) .....	296	293	231
4190 Outlays, net (total) .....	261	229	247

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,708	2,752	2,771
5001 Total investments, EOY: Federal securities: Par value .....	2,752	2,771	2,702

**Environmental restoration.**—This activity funds those functions that contribute to reclaiming lands affected by past coal mining practices. This activity provides discretionary funding for the Federal reclamation program for watershed restoration projects

ABANDONED MINE RECLAMATION FUND—Continued

and for the evaluation of State and tribal reclamation programs that now receive mandatory funding for reclamation activities.

*Technology development and transfer.*—This activity provides funding to enhance the technical skills that the States and Indian Tribes need to operate their reclamation programs. The Office of Surface Mining Reclamation and Enforcement (OSM) provides technical assistance on mining and reclamation-related problems.

*Financial management.*—This activity provides funds to identify, notify, collect, and audit fees from coal operators for the Abandoned Mine Reclamation Fund. OSM seeks to maximize voluntary compliance with the Surface Mining Control and Reclamation Act's reclamation fee provisions.

*Executive direction and administration.*— This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services such as rent, telephones, and postage.

Status of Funds (in millions of dollars)

Identification code 14-5015-0-2-999	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	2,715	2,759	2,802
0199 Total balance, start of year .....	2,715	2,759	2,802
Cash income during the year:			
Current law:			
Receipts:			
1200 Abandoned Mine Reclamation Fund, Reclamation Fees .....	250	216	213
Offsetting receipts (intragovernmental):			
1240 Earnings on Investments, Abandoned Mine Reclamation Fund .....	55	56	15
1299 Income under present law .....	305	272	228
Proposed legislation:			
Receipts:			
2201 Abandoned Mine Reclamation Fund, Reclamation Fees .....			53
2299 Income under proposed legislation .....			53
3299 Total cash income .....	305	272	281
Cash outgo during year:			
Current law:			
4500 Abandoned Mine Reclamation Fund .....	-261	-229	-247
4599 Outgo under current law (-) .....	-261	-229	-247
6599 Total cash outgo (-) .....	-261	-229	-247
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	7	31	134
8701 Abandoned Mine Reclamation Fund .....	2,752	2,771	2,702
8799 Total balance, end of year .....	2,759	2,802	2,836

Object Classification (in millions of dollars)

Identification code 14-5015-0-2-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	13	17	17
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	2	2
23.2 Rental payments to others .....	1		
23.3 Communications, utilities, and miscellaneous charges .....		1	1
25.2 Other services from non-Federal sources .....	55	3	3
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	230	265	203
99.9 Total new obligations .....	305	293	231

Employment Summary

Identification code 14-5015-0-2-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	141	159	159

ABANDONED MINE RECLAMATION FUND

(Legislative proposal, subject to PAYGO)

The Budget proposes to return coal fees to the level prior to 2006, when an amendment to the Surface Mining Control and

Reclamation Act reduced the fees. The proposal to return the fees to their historic levels will generate an additional \$53 million in 2014 that will be used to reclaim priority abandoned mine sites.

PAYMENTS TO STATES IN LIEU OF COAL FEE RECEIPTS

Program and Financing (in millions of dollars)

Identification code 14-1803-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Prior Balance Payments to Non-Certified States .....	98	85	85
0002 Prior Balance Payments to Certified States and Tribes .....	110	19	19
0003 In Lieu Payments to Certified States and Tribes .....	79	25	24
0900 Total new obligations (object class 41.0) .....	287	129	128
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1021 Recoveries of prior year unpaid obligations .....	21		
1050 Unobligated balance (total) .....	22		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	265	129	128
1260 Appropriations, mandatory (total) .....	265	129	128
1900 Budget authority (total) .....	265	129	128
1930 Total budgetary resources available .....	287	129	128
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	541	651	359
3010 Obligations incurred, unexpired accounts .....	287	129	128
3020 Outlays (gross) .....	-156	-421	-268
3040 Recoveries of prior year unpaid obligations, unexpired .....	-21		
3050 Unpaid obligations, end of year .....	651	359	219
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	541	651	359
3200 Obligated balance, end of year .....	651	359	219
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	265	129	128
Outlays, gross:			
4100 Outlays from new mandatory authority .....	16	54	53
4101 Outlays from mandatory balances .....	140	367	215
4110 Outlays, gross (total) .....	156	421	268
4180 Budget authority, net (total) .....	265	129	128
4190 Outlays, net (total) .....	156	421	268

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	265	129	128
Outlays .....	156	421	268
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-43
Outlays .....			-32
Total:			
Budget Authority .....	265	129	85
Outlays .....	156	421	236

Public Law 109-432 authorizes mandatory Treasury payments to all States and Tribes equivalent to their share of the accumulated balance of the Abandoned Mine Reclamation Fund. The payments also return half of annual coal fee collections to States and Tribes that have certified completion of their abandoned coal mine reclamation programs.

The Budget proposes to eliminate mandatory payments from the Treasury to States and Tribes that have been certified as completing reclamation of their abandoned coal mines, so that

abandoned mine lands funds are only used to clean up the most hazardous abandoned coal mines.

PAYMENTS TO STATES IN LIEU OF COAL FEE RECEIPTS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 14-1803-4-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 In Lieu Payments to Certified States and Tribes .....			-43
0900 Total new obligations (object class 41.0) .....			-43
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-43
1260 Appropriations, mandatory (total) .....			-43
1900 Budget authority (total) .....			-43
1930 Total budgetary resources available .....			-43
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-43
3020 Outlays (gross) .....			32
3050 Unpaid obligations, end of year .....			-11
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-11
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-43
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-32
4180 Budget authority, net (total) .....			-43
4190 Outlays, net (total) .....			-32

SUPPLEMENTAL PAYMENTS TO UMWA HEALTH PLANS

Program and Financing (in millions of dollars)

Identification code 14-1804-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	206	141	180
0900 Total new obligations (object class 25.2) .....	206	141	180
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	206	141	180
1260 Appropriations, mandatory (total) .....	206	141	180
1930 Total budgetary resources available .....	206	141	180
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	206	141	180
3020 Outlays (gross) .....	-206	-141	-180
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	206	141	180
Outlays, gross:			
4100 Outlays from new mandatory authority .....	206	141	180
4180 Budget authority, net (total) .....	206	141	180
4190 Outlays, net (total) .....	206	141	180

Public Law 109-432 authorizes mandatory Treasury payments to three United Mine Workers of America (UMWA) retiree health benefit plans (the Combined Benefit Fund, the 1992 Plan, and the 1993 Plan), to the extent that other Federal funding sources do not meet the plans' expenditure needs, subject to certain limitations. Interest earned on Abandoned Mine Land trust fund

balances is available for transfer to cover funding shortfalls in the plans; unobligated balances in the fund are used to generate interest for this purpose.

ADMINISTRATIVE PROVISION

*With funds available for the Technical Innovation and Professional Services program in this Act, the Secretary may transfer title for computer hardware, software and other technical equipment to State and tribal regulatory and reclamation programs.*

WATER AND SCIENCE

BUREAU OF RECLAMATION

Appropriations to the Bureau are made from the General Fund and special funds. The source of funds are a) the General Fund, which funds other projects or programs. Among the projects funded from the General Fund are the Colorado River Basin Project and the Colorado River Storage Project; b) the Reclamation Fund, derived from repayments and other revenues from water and power users, receipts from the sale, lease, and rental of Federal lands, and certain oil and mineral revenues. Program activities that can be financed from the Reclamation Fund are those that directly benefit the 17 Western States and are for the purposes authorized under "Reclamation Law"; c) the Central Valley Project Restoration Fund, consisting of revenues from project beneficiaries; and d) other sources such as the Colorado River Dam Fund, which generates revenue from the sale of Boulder Canyon power, and the recreation, entrance, and use fee account, consisting of fees collected pursuant to the Land and Water Conservation Fund Act of 1965, as amended. Non-Federal entities also advance funds for operation and maintenance and provide funds under the Contributed Funds Act. The 2014 estimates are summarized by source as follows (in millions of dollars):

	Total appropriations	General Fund	Reclamation Fund	CVP Restoration Fund	Other
<b>Appropriated Funds:</b>					
Water and Related Resources (net) .....	783	79	704		
Transferred from Water and Related Resources to Lower and Upper Colorado Basin Funds .....	8	8			
California Bay-Delta Restoration .....	37	37			
Central Utah Project Completion Account .....	4	4			0
Policy and Administration .....	60		60		
Working Capital Fund .....	0				
Loan Program .....	0				
Central Valley Project Restoration Fund .....	53			53	
San Joaquin Restoration Fund .....	26	26			0
Indian Water Rights Settlements .....	79	79			0
Gross Current Authority .....	1050	233	764	53	0
Central Valley Project Restoration Fund, current offset .....	-53			-53	
Net Current Authority .....	996	233	764	0	0
Loan Liquidating Account .....	-1				-1
Colorado River Dam Fund .....	111				111
Reclamation Trust Fund .....	3				3
San Joaquin Restoration Fund .....	0				0
Reclamation Water Settlements Fund .....	60	60			
Federal Lands Recreation Enhancement Act .....	1				1
Utah Reclamation Mitigation and Conservation Act .....	7	0	0	0	7
Total Permanent Appropriations .....	181	60	0	0	121

Grand Total .....	1177	293	764	0	121
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**Federal Funds**

**BUREAU OF RECLAMATION**

The following appropriations shall be expended to execute authorized functions of the Bureau of Reclamation:

**WATER AND RELATED RESOURCES  
(INCLUDING TRANSFERS OF FUNDS)**

For management, development, and restoration of water and related natural resources and for related activities, including the operation, maintenance, and rehabilitation of reclamation and other facilities, participation in fulfilling related Federal responsibilities to Native Americans, and related grants to, and cooperative and other agreements with, State and local governments, federally recognized Indian tribes, and others, \$791,135,000, to remain available until expended, of which \$28,000 shall be available for transfer to the Upper Colorado River Basin Fund and \$8,401,000 shall be available for transfer to the Lower Colorado River Basin Development Fund; of which such amounts as may be necessary may be advanced to the Colorado River Dam Fund: Provided, That such transfers may be increased or decreased within the overall appropriation under this heading: Provided further, That of the total appropriated, the amount for program activities that can be financed by the Reclamation Fund or the Bureau of Reclamation special fee account established by 16 U.S.C. 6806 shall be derived from that Fund or account: Provided further, That funds contributed under 43 U.S.C. 395 are available until expended for the purposes for which the funds were contributed: Provided further, That funds advanced under 43 U.S.C. 397a shall be credited to this account and are available until expended for the same purposes as the sums appropriated under this heading: Provided further, That of the amounts provided herein, funds may be used for high priority projects which shall be carried out by the Youth Conservation Corps, as authorized by 16 U.S.C. 1706.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-0680-0-1-301	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Recreation Enhancement Fee Program .....	1	1	1
0400 Total: Balances and collections .....	1	1	1
Appropriations:			
0500 Water and Related Resources .....	-1	-1	-1
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 14-0680-0-1-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Facility operations .....	233	252	241
0002 Facility maintenance and rehabilitation .....	188	179	177
0003 Water and energy management and development .....	492	261	234
0004 Fish and wildlife management and development .....	151	112	117
0005 Land management and development .....	35	36	35
0006 Recovery Act activities .....	20	10	
0100 Total direct program .....	1,119	850	804
0799 Total direct obligations .....	1,119	850	804
0801 Reimbursable program .....	325	404	294
0900 Total new obligations .....	1,444	1,254	1,098
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	529	358	321
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	248	302	

1010 Unobligated balance transfer to other accts [11-5512] .....	-3		
1012 Unobligated balance transfers between expired and unexpired accounts .....	14		
1021 Recoveries of prior year unpaid obligations .....	37		
1050 Unobligated balance (total) .....	577	358	321
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	172	175	87
1101 Appropriation (special or trust fund) .....	723	725	704
1120 Appropriations transferred to other accts [14-4081] .....	-39	-11	
1120 Appropriations transferred to other accts [14-4079] .....	-6	-6	-8
1131 Unobligated balance of appropriations permanently reduced .....		-2	
1160 Appropriation, discretionary (total) .....	850	881	783
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	377	335	294
1701 Change in uncollected payments, Federal sources .....	-3		
1750 Spending auth from offsetting collections, disc (total) .....	374	335	294
1900 Budget authority (total) .....	1,225	1,217	1,078
1930 Total budgetary resources available .....	1,802	1,575	1,399
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	358	321	301

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,234	920	932
3010 Obligations incurred, unexpired accounts .....	1,444	1,254	1,098
3011 Obligations incurred, expired accounts .....	-1		
3020 Outlays (gross) .....	-1,708	-1,242	-1,152
3040 Recoveries of prior year unpaid obligations, unexpired .....	-37		
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	920	932	878
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-148	-145	-145
3070 Change in uncollected pymts, Fed sources, unexpired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-145	-145	-145
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,086	775	787
3200 Obligated balance, end of year .....	775	787	733

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	1,224	1,216	1,077
Outlays, gross:			
4010 Outlays from new discretionary authority .....	906	731	646
4011 Outlays from discretionary balances .....	580	500	487
4020 Outlays, gross (total) .....	1,486	1,231	1,133
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-184	-242	-201
4033 Non-Federal sources .....	-193	-93	-93
4040 Offsets against gross budget authority and outlays (total) ...	-377	-335	-294
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	3		
4070 Budget authority, net (discretionary) .....	850	881	783
4080 Outlays, net (discretionary) .....	1,109	896	839
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4101 Outlays from mandatory balances .....	221	10	18
4110 Outlays, gross (total) .....	222	11	19
4180 Budget authority, net (total) .....	851	882	784
4190 Outlays, net (total) .....	1,331	907	858

The Water and Related Resources account supports the development, management, and restoration of water and related natural resources in the 17 Western States. The account includes funds for operating and maintaining existing facilities to obtain the greatest overall level of benefits, to protect public safety, and to conduct studies on ways to improve the use of water and related natural resources. Work will be done in partnership and cooperation with non-Federal entities and other Federal agencies to reduce conflict, facilitate solutions to complex water issues and

stretch limited water supplies. The American West is the fastest growing region of the country and faces serious water challenges. Adequate and safe water supplies are fundamental to the health, economy, security, and ecology of the country. With increased demands for water from growth and energy needs, amplified recognition of environmental water requirements, and the potential for decreased supplies due to drought and climate change, a water balance cannot be achieved without water conservation and water reuse. In 2014, Reclamation will help address these issues through a water conservation program, which includes cost-shared grants for conservation and water and energy management improvement projects; basin-wide planning studies that will address the impacts of climate change; cooperative watershed management; and funding of water reuse and recycling projects through the Title XVI Water Reclamation and Reuse Program. Reclamation will also partner with States, Tribes and local entities under the program to develop incentives and best practices for implementing water and energy conservation and water recycling projects.

**Object Classification** (in millions of dollars)

Identification code 14-0680-0-1-301	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	142	146	148
11.3 Other than full-time permanent .....	3	4	4
11.5 Other personnel compensation .....	10	10	10
11.9 Total personnel compensation .....	155	160	162
12.1 Civilian personnel benefits .....	39	41	42
21.0 Travel and transportation of persons .....	9	9	9
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	12	12	12
25.2 Other services from non-Federal sources .....	591	320	277
25.2 Other services - Recovery Act .....	18	8	.....
26.0 Supplies and materials .....	15	15	15
31.0 Equipment .....	20	20	20
32.0 Land and structures .....	105	107	109
32.0 Land and structures - Recovery Act .....	2	2	.....
41.0 Grants, subsidies, and contributions .....	147	150	152
99.0 Direct obligations .....	1,117	848	802
99.0 Reimbursable obligations .....	325	404	294
99.5 Below reporting threshold .....	2	2	2
99.9 Total new obligations .....	1,444	1,254	1,098

**Employment Summary**

Identification code 14-0680-0-1-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,711	2,210	2,210
2001 Reimbursable civilian full-time equivalent employment .....	849	614	614
3001 Allocation account civilian full-time equivalent employment .....	7	5	5
3001 Allocation account civilian full-time equivalent employment .....	2	2	2

**CALIFORNIA BAY-DELTA RESTORATION**  
(INCLUDING TRANSFERS OF FUNDS)

*For carrying out activities authorized by the Water Supply, Reliability, and Environmental Improvement Act, consistent with plans to be approved by the Secretary of the Interior, \$37,000,000, to remain available until expended, of which such amounts as may be necessary to carry out such activities may be transferred to appropriate accounts of other participating Federal agencies to carry out authorized purposes: Provided, That funds appropriated herein may be used for the Federal share of the costs of CALFED Program management: Provided further, That CALFED implementation shall be carried out in a balanced manner with clear performance measures demonstrating concurrent progress in achieving the goals and objectives of the Program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-0687-0-1-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	42	42	37
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	.....
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	4	2	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	40	40	37
1160 Appropriation, discretionary (total) .....	40	40	37
1930 Total budgetary resources available .....	44	42	37
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	78	81	82
3010 Obligations incurred, unexpired accounts .....	42	42	37
3020 Outlays (gross) .....	-37	-41	-39
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	81	82	80
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	78	81	82
3200 Obligated balance, end of year .....	81	82	80
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	40	40	37
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	14	13
4011 Outlays from discretionary balances .....	37	27	26
4020 Outlays, gross (total) .....	37	41	39
4180 Budget authority, net (total) .....	40	40	37
4190 Outlays, net (total) .....	37	41	39

This account funds activities that are consistent with the CALFED Bay-Delta Program, a collaborative effort involving State and Federal agencies and representatives of California's urban, agricultural, and environmental communities. The goals of the program are to improve fish and wildlife habitat, water supply reliability, water quality, and levee integrity in the San Francisco Bay-San Joaquin River Delta, the principal hub of California's water distribution system.

**Object Classification** (in millions of dollars)

Identification code 14-0687-0-1-301	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	19	19	13
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	17	17	18
99.0 Direct obligations .....	41	41	36
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	42	42	37

CALIFORNIA BAY-DELTA RESTORATION—Continued  
Employment Summary

Identification code 14-0687-0-1-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	32	31	31

INDIAN WATER RIGHTS SETTLEMENTS

For carrying out activities authorized for Indian Water Rights Settlements by the Claims Resolution Act of 2010 (Public Law 111-291), Title X of the Omnibus Public Land Management Act of 2009 (Public Law 111-11), and the White Mountain Apache Tribe Rural Water System Loan Authorization Act (Public Law 110-390), \$78,661,000, to remain available until expended: Provided, That, of the amount appropriated, the amount for program activities that can be financed by the Reclamation Fund shall be derived from that fund: Provided further, That the unobligated and unexpended balances in "Water and Related Resources," "Reclamation Water Settlements Fund", and "Taos Settlement Fund" authorized by Public Law 111-291, Public Law 110-390, and Title X of Public Law 111-11 may be transferred to the Indian Water Rights Settlements account.

Program and Financing (in millions of dollars)

Identification code 14-2636-0-1-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 White Mountain Apache .....			2
0002 Crow Tribe .....			8
0003 Taos Pueblo .....			4
0004 Aamodt .....			5
0005 Navajo-Gallup .....			60
0900 Total new obligations (object class 25.2) .....			79
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			79
1160 Appropriation, discretionary (total) .....			79
1930 Total budgetary resources available .....			79
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			79
3020 Outlays (gross) .....			-47
3050 Unpaid obligations, end of year .....			32
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			32
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			79
Outlays, gross:			
4010 Outlays from new discretionary authority .....			47
4180 Budget authority, net (total) .....			79
4190 Outlays, net (total) .....			47

This account covers expenses associated with the four Indian water rights settlements contained in the Claims Resolution Act of 2010 (Public Law 111-291), the Omnibus Public Land Management Act of 2009 (Public Law 111-11), and the White Mountain Apache Tribe Rural Water System Loan Authorization Act (Public Law 110-390). These settlements will provide permanent water supplies and offer economic security for the Taos and Aamodt Pueblos, including the Pojoaque, Tesuque, San Ildefonso, and Nambe Pueblos in New Mexico; as well as the Crow Tribe of Montana, the White Mountain Tribe in Arizona, and the Navajo Nation in New Mexico. The agreements will build and improve reservation water systems, rehabilitate irrigation projects, con-

struct a regional multi-pueblo water system, and codify water-sharing arrangements with neighboring communities.

TAOS SETTLEMENT FUND

Program and Financing (in millions of dollars)

Identification code 14-2638-0-1-301	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	16
1930 Total budgetary resources available .....	16	16	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	16	16

This account covers certain expenses associated with Mutual-Benefit Projects funding authorized by the Taos Pueblo Indian Water Rights Settlement Act contained in Title V of the Claims Resolution Act of 2010 (Public Law 111-291).

RECLAMATION WATER SETTLEMENTS FUND

Program and Financing (in millions of dollars)

Identification code 14-5593-0-2-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	12	41	51
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		48	67
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	60	60	60
1260 Appropriations, mandatory (total) .....	60	60	60
1930 Total budgetary resources available .....	60	108	127
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	48	67	76
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		12	16
3010 Obligations incurred, unexpired accounts .....	12	41	51
3020 Outlays (gross) .....		-37	-47
3050 Unpaid obligations, end of year .....	12	16	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		12	16
3200 Obligated balance, end of year .....	12	16	20
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	60	60	60
Outlays, gross:			
4100 Outlays from new mandatory authority .....		25	31
4101 Outlays from mandatory balances .....		12	16
4110 Outlays, gross (total) .....		37	47
4180 Budget authority, net (total) .....	60	60	60
4190 Outlays, net (total) .....		37	47

This account covers expenses associated with the Navajo-Gallup Water Supply Project or other projects as authorized by Public Law 111-11 and Public Law 111-291. The Secretary may expend money from the Fund to implement a settlement agreement approved by Congress that resolves, in whole or in part, litigation involving the United States, if the settlement agreement or implementing legislation requires the Bureau of Reclamation to provide financial assistance for, or plan, design, and construct: (A) water supply infrastructure; or (B) a project: (i) to rehabilitate a water delivery system to conserve water; or (ii) to restore fish and wildlife habitat or otherwise improve environmental conditions associated with or affected by, or located within the same

river basin as, a Federal reclamation project that is in existence on the date of enactment of this Act.

**Object Classification** (in millions of dollars)

Identification code 14-5593-0-2-301	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....		29	39
41.0 Grants, subsidies, and contributions .....	12	12	12
99.9 Total new obligations .....	12	41	51

**RECLAMATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-5000-0-2-301	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	9,622	10,841	12,032
<b>Adjustments:</b>			
0190 Adjustment - reconcile to Treasury .....	2		
0199 Balance, start of year .....	9,624	10,841	12,032
<b>Receipts:</b>			
0220 Reclamation Fund, All Other, Sale of Electric Energy, Bonneville Power Administration .....	28	90	84
0221 Reclamation Fund, All Other, Sale of Power and Other Utilities (WAPA) .....	237	189	142
0222 Reclamation Fund, Miscellaneous Interest .....	25	17	17
0223 Reclamation Fund, Royalties on Natural Resources .....	1,588	1,626	1,695
0224 Reclamation Fund, Royalties on Natural Resources .....			3
0225 Reclamation Fund, Other Proprietary Receipts from the Public .....	198	131	131
0226 Reclamation Fund, Sale of Public Domain .....	10	11	10
<b>Adjustments:</b>			
0290 Adjustment - late processing .....	3		
0299 Total receipts and collections .....	2,089	2,064	2,082
0400 Total: Balances and collections .....	11,713	12,905	14,114
<b>Appropriations:</b>			
0500 Construction, Rehabilitation, Operation and Maintenance, Western Area Power Administration .....	-89	-88	-88
0501 Water and Related Resources .....	-723	-725	-704
0502 Policy and Administration .....	-60	-60	-60
0599 Total appropriations .....	-872	-873	-852
0799 Balance, end of year .....	10,841	12,032	13,262

This fund is derived from repayments and other revenues from water and power users, together with certain receipts from the sale, lease, and rental of Federal lands in the 17 Western States and certain oil and mineral revenues, and is available for expenditure pursuant to appropriation acts.

**POLICY AND ADMINISTRATION**

For necessary expenses of policy, administration, and related functions in the Office of the Commissioner, the Denver office, and offices in the five regions of the Bureau of Reclamation, to remain available until expended, \$60,000,000, to be derived from the Reclamation Fund and be nonreimbursable as provided in 43 U.S.C. 377: Provided, That no part of any other appropriation in this Act shall be available for activities or functions budgeted as policy and administration expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-5065-0-2-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	58	68	60

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5	8	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	6	8	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	60	60	60
1160 Appropriation, discretionary (total) .....	60	60	60
1930 Total budgetary resources available .....	66	68	60
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Change in obligated balances .....	9	10	9
3010 Obligations incurred, unexpired accounts .....	58	68	60
3020 Outlays (gross) .....	-56	-69	-60
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	10	9	9
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	9	10	9
3200 Obligated balance, end of year .....	10	9	9

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	60	60	60
<b>Outlays, gross:</b>			
4010 Outlays (gross), detail .....	49	51	51
4011 Outlays from discretionary balances .....	7	18	9
4020 Outlays, gross (total) .....	56	69	60
4180 Budget authority, net (total) .....	60	60	60
4190 Outlays, net (total) .....	56	69	60

The policy and administration account supports the direction and management of all Reclamation activities as performed by the Commissioner's office and the five regional offices. Charges attributable to individual projects or specific beneficiaries, including the costs of related administrative and technical services, are covered under other Bureau of Reclamation accounts.

**Object Classification** (in millions of dollars)

Identification code 14-5065-0-2-301	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	26	31	31
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....	27	33	33
12.1 Civilian personnel benefits .....	6	8	8
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	4	4	4
25.2 Other services from non-Federal sources .....	17	19	11
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	57	67	59
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	58	68	60

**Employment Summary**

Identification code 14-5065-0-2-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	249	296	296

**CENTRAL VALLEY PROJECT RESTORATION FUND**

For carrying out the programs, projects, plans, habitat restoration, improvement, and acquisition provisions of the Central Valley Project Improvement Act, \$53,288,000, to be derived from such sums as may be collected in the Central Valley Project Restoration Fund pursuant to sections 3407(d), 3404(c)(3), and 3405(f) of Public Law 102-575, to remain available until expended: Provided, That the Bureau of Reclamation is directed

CENTRAL VALLEY PROJECT RESTORATION FUND—Continued

to assess and collect the full amount of the additional mitigation and restoration payments authorized by section 3407(d) of Public Law 102–575: Provided further, That none of the funds made available under this heading may be used for the acquisition or leasing of water for in-stream purposes if the water is already committed to in-stream purposes by a court adopted decree or order.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14–5173–0–2–301	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	14	14	1
Receipts:			
0220 Central Valley Project Restoration Fund, Revenue	53	40	53
0400 Total: Balances and collections	67	54	54
Appropriations:			
0500 Central Valley Project Restoration Fund	–53	–53	–53
0799 Balance, end of year	14	1	1

Program and Financing (in millions of dollars)

Identification code 14–5173–0–2–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	51	60	53
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	7	
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	5	7	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special fund, restoration fund, 3407(d))	53	53	53
1160 Appropriation, discretionary (total)	53	53	53
1930 Total budgetary resources available	58	60	53
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	64	65	65
3010 Obligations incurred, unexpired accounts	51	60	53
3020 Outlays (gross)	–47	–60	–53
3040 Recoveries of prior year unpaid obligations, unexpired	–3		
3050 Unpaid obligations, end of year	65	65	65
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	64	65	65
3200 Obligated balance, end of year	65	65	65
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	53	53	53
Outlays, gross:			
4010 Outlays from new discretionary authority		19	19
4011 Outlays from discretionary balances	47	41	34
4020 Outlays, gross (total)	47	60	53
4180 Budget authority, net (total)	53	53	53
4190 Outlays, net (total)	47	60	53

This fund was established to carry out the provisions of the Central Valley Project Improvement Act—to provide funding from project beneficiaries for habitat restoration, improvement and acquisition, and other fish and wildlife restoration activities in the Central Valley Project (CVP) area of California. Resources are derived from donations, revenues from voluntary water transfers and tiered water pricing. The account is also financed through additional mitigation and restoration payments collected on an annual basis from project beneficiaries.

Object Classification (in millions of dollars)

Identification code 14–5173–0–2–301	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
23.3 Communications, utilities, and miscellaneous charges	8	8	8
25.2 Other services from non-Federal sources	22	31	24
32.0 Land and structures	2	2	2
41.0 Grants, subsidies, and contributions	16	16	16
99.0 Direct obligations	50	59	52
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	51	60	53

Employment Summary

Identification code 14–5173–0–2–301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	19	18	18

COLORADO RIVER DAM FUND, BOULDER CANYON PROJECT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14–5656–0–2–301	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Revenues, Colorado River Dam Fund, Boulder Canyon Project	96	112	111
0400 Total: Balances and collections	96	112	111
Appropriations:			
0500 Colorado River Dam Fund, Boulder Canyon Project	–96	–112	–111
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14–5656–0–2–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Facility operations	44	79	63
0002 Facility maintenance and rehabilitation	17	17	17
0003 Payment of interest	11	11	11
0004 Payments to Arizona and Nevada	1	1	1
0005 Western Area Power Administration	4	4	4
0006 Payment to Lower Colorado River Basin Development Fund	11	11	11
0900 Total new obligations	88	123	107
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	33	40	27
1021 Recoveries of prior year unpaid obligations	1		
1022 Capital transfer of unobligated balances to general fund	–2	–2	–2
1050 Unobligated balance (total)	32	38	25
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	96	112	111
1260 Appropriations, mandatory (total)	96	112	111
1930 Total budgetary resources available	128	150	136
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	40	27	29
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	20	48
3010 Obligations incurred, unexpired accounts	88	123	107
3020 Outlays (gross)	–83	–95	–108
3040 Recoveries of prior year unpaid obligations, unexpired	–1		
3050 Unpaid obligations, end of year	20	48	47
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	20	48
3200 Obligated balance, end of year	20	48	47
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	96	112	111

Outlays, gross:				
4100	Outlays from new mandatory authority .....	78	64	63
4101	Outlays from mandatory balances .....	5	31	45
4110	Outlays, gross (total) .....	83	95	108
4180	Budget authority, net (total) .....	96	112	111
4190	Outlays, net (total) .....	83	95	108

Revenues from the sale of Boulder Canyon power are placed in this fund and are available without further appropriation to pay the operation and maintenance costs of the project including those of the Western Area Power Administration for power marketing, transmission, operation, maintenance, and rehabilitation; to pay interest on amounts advanced from the Treasury; to pay annually not more than \$300,000 each to Arizona and Nevada; and to repay advances from the Treasury for construction and other purposes. The rates charged for Boulder Canyon power also include certain amounts for transfer to the Lower Colorado River Basin Development Fund.

**Object Classification** (in millions of dollars)

Identification code 14-5656-0-2-301	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	17	17	18
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	19	19	20
12.1	Civilian personnel benefits .....	5	5	5
25.2	Other services from non-Federal sources .....	45	80	63
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	3	3	3
41.0	Grants, subsidies, and contributions .....	1	1	1
43.0	Interest and dividends .....	11	11	11
99.0	Direct obligations .....	86	121	105
99.5	Below reporting threshold .....	2	2	2
99.9	Total new obligations .....	88	123	107

**Employment Summary**

Identification code 14-5656-0-2-301	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	217	218	218

**SAN JOAQUIN RESTORATION FUND**

For carrying out activities authorized by the San Joaquin River Restoration Settlement Act (Public Law 111-11), \$26,000,000, to remain available until expended.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-5537-0-2-301	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....	150	150	192
Receipts:				
0220	San Joaquin River Restoration Fund Receipts .....	31	42	7
0400	Total: Balances and collections .....	181	192	199
Appropriations:				
0500	San Joaquin Restoration Fund .....	-31		
0799	Balance, end of year .....	150	192	199

**Program and Financing** (in millions of dollars)

Identification code 14-5537-0-2-301	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	21	34	33
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	44	54	20

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....			26
1160	Appropriation, discretionary (total) .....			26
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	31		
1260	Appropriations, mandatory (total) .....	31		
1900	Budget authority (total) .....	31		26
1930	Total budgetary resources available .....	75	54	46
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	54	20	13

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	10	19	
3010	Obligations incurred, unexpired accounts .....	21	34	33
3020	Outlays (gross) .....	-12	-53	-23
3050	Unpaid obligations, end of year .....	19		10
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	10	19	
3200	Obligated balance, end of year .....	19		10

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....			26
Outlays, gross:				
4010	Outlays from new discretionary authority .....			16
Mandatory:				
4090	Budget authority, gross .....	31		
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1		
4101	Outlays from mandatory balances .....	11	53	7
4110	Outlays, gross (total) .....	12	53	7
4180	Budget authority, net (total) .....	31		26
4190	Outlays, net (total) .....	12	53	23

This fund receives funding (user fees and repayment receipts) from the Friant Division long-term water contractors and other Federal and non-Federal sources to implement the provisions described in the Settlement (Settlement) for the National Resources Defense Council (NRDC) et. al. v. Rodgers lawsuit. The Settlement's two primary goals are: 1) To restore and maintain fish populations in "good condition" in the main stem of the San Joaquin River below Friant Dam to the confluence of the Merced River, including naturally reproducing and self-sustaining populations of salmon and other fish; and 2) To reduce or avoid adverse water supply impacts to all of the Friant Division long-term contractors that may result from the Interim Flows and Restoration Flows provided for in the Settlement.

**Object Classification** (in millions of dollars)

Identification code 14-5537-0-2-301	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
25.2	Other services from non-Federal sources .....	17	30	29
99.0	Direct obligations .....	19	32	31
99.5	Below reporting threshold .....	2	2	2
99.9	Total new obligations .....	21	34	33

**Employment Summary**

Identification code 14-5537-0-2-301	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	22	22	22

LOWER COLORADO RIVER BASIN DEVELOPMENT FUND

Program and Financing (in millions of dollars)

Identification code 14-4079-0-3-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Facility operation .....	155	149	177
0802 Water & energy management & development .....	98	124	136
0900 Total new obligations .....	253	273	313
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	547	521	506
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1	
1021 Recoveries of prior year unpaid obligations .....	3		
1022 Capital transfer of unobligated balances to general fund .....		-1	-1
1050 Unobligated balance (total) .....	550	520	505
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other accts [14-0680] ....	6	6	8
1160 Appropriation, discretionary (total) .....	6	6	8
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	231	253	283
1801 Change in uncollected payments, Federal sources .....	-12		
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-1		
1850 Spending auth from offsetting collections, mand (total) .....	218	253	283
1900 Budget authority (total) .....	224	259	291
1930 Total budgetary resources available .....	774	779	796
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	521	506	483
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	50	67
3010 Obligations incurred, unexpired accounts .....	253	273	313
3020 Outlays (gross) .....	-230	-256	-292
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	50	67	88
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	12		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	49	66
3200 Obligated balance, end of year .....	49	66	87
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	4	5
4011 Outlays from discretionary balances .....	8	5	2
4020 Outlays, gross (total) .....	13	9	7
Mandatory:			
4090 Budget authority, gross .....	218	253	283
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	188	199
4101 Outlays from mandatory balances .....	216	59	86
4110 Outlays, gross (total) .....	217	247	285
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....		-1	-1
4123 Non-Federal sources .....	-231	-252	-282
4130 Offsets against gross budget authority and outlays (total) ....	-231	-253	-283
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	12		
4160 Budget authority, net (mandatory) .....	-1		
4170 Outlays, net (mandatory) .....	-14	-6	2
4180 Budget authority, net (total) .....	5	6	8
4190 Outlays, net (total) .....	-1	3	9
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....		567	627
5001 Total investments, EOY: Federal securities: Par value .....	567	627	687

Ongoing construction costs of the Central Arizona project are financed through appropriations transferred to this fund. Revenues from the operation and repayment, including interest, of project facilities are available without further appropriation. A portion of the revenues from the Boulder Canyon power and Parker-Davis projects are also transferred to this fund. Use of the revenues are authorized for operation and maintenance expenses, for a share of Colorado River salinity control projects, and for other purposes defined in the Colorado River Basin Project Act as amended by the Arizona Water Settlements Act, P.L. 108-451.

Object Classification (in millions of dollars)

Identification code 14-4079-0-3-301	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	4
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	224		
32.0 Land and structures .....	2	26	30
41.0 Grants, subsidies, and contributions .....	21	241	276
99.0 Reimbursable obligations .....	251	271	311
99.5 Below reporting threshold .....	2	2	2
99.9 Total new obligations .....	253	273	313

Employment Summary

Identification code 14-4079-0-3-301	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	29	22	22

UPPER COLORADO RIVER BASIN FUND

Program and Financing (in millions of dollars)

Identification code 14-4081-0-3-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Facility operation .....		64	46
0802 Facility maintenance & rehabilitation .....	18	52	34
0803 Water & energy management & development .....	44	31	14
0804 Fish & wildlife management & development .....	18	26	15
0805 Land management & development .....	1	1	1
0806 Payment to Ute Indian Tribe .....	2	2	2
0807 Interest on investment .....	3	4	4
0900 Total new obligations .....	123	180	116
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	46	70	20
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	2	
1021 Recoveries of prior year unpaid obligations .....	8		
1022 Capital transfer of unobligated balances to general fund .....		-18	-8
1050 Unobligated balance (total) .....	54	52	12
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other accts [14-0680] ....	39	11	
1160 Appropriation, discretionary (total) .....	39	11	
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	116	137	124
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-16		
1850 Spending auth from offsetting collections, mand (total) .....	100	137	124
1900 Budget authority (total) .....	139	148	124
1930 Total budgetary resources available .....	193	200	136
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	70	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	128	136	164
3010 Obligations incurred, unexpired accounts .....	123	180	116
3020 Outlays (gross) .....	-107	-152	-131

3040	Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050	Unpaid obligations, end of year .....	136	164	149
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	128	136	164
3200	Obligated balance, end of year .....	136	164	149
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	39	11	
Outlays, gross:				
4010	Outlays from new discretionary authority .....		7	
4011	Outlays from discretionary balances .....	35	14	4
4020	Outlays, gross (total) .....	35	21	4
Mandatory:				
4090	Budget authority, gross .....	100	137	124
Outlays, gross:				
4100	Outlays from new mandatory authority .....	35	41	37
4101	Outlays from mandatory balances .....	37	90	90
4110	Outlays, gross (total) .....	72	131	127
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-13		
4123	Non-Federal sources .....	-103	-137	-124
4130	Offsets against gross budget authority and outlays (total) ....	-116	-137	-124
4160	Budget authority, net (mandatory) .....	-16		
4170	Outlays, net (mandatory) .....	-44	-6	3
4180	Budget authority, net (total) .....	23	11	
4190	Outlays, net (total) .....	-9	15	7

Ongoing construction costs of the Colorado River Storage project are financed through appropriations transferred to this account. Revenues from the operation of project facilities are available without further appropriation for operation and maintenance expenses and for capital repayment to the general fund.

**Object Classification** (in millions of dollars)

Identification code 14-4081-0-3-301	2012 actual	2013 CR	2014 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	16	2	2
11.5	Other personnel compensation .....	1		
11.9	Total personnel compensation .....	17	2	2
12.1	Civilian personnel benefits .....	5	1	1
21.0	Travel and transportation of persons .....	1		
23.3	Communications, utilities, and miscellaneous charges .....	1		
25.2	Other services from non-Federal sources .....	52		
26.0	Supplies and materials .....	2		
31.0	Equipment .....	1		
32.0	Land and structures .....	19	85	55
41.0	Grants, subsidies, and contributions .....	21	87	53
43.0	Interest and dividends .....	3	4	4
99.0	Reimbursable obligations .....	122	179	115
99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	123	180	116

**Employment Summary**

Identification code 14-4081-0-3-301	2012 actual	2013 CR	2014 est.	
2001	Reimbursable civilian full-time equivalent employment .....	179	95	97

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 14-4524-0-4-301	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Information resources management .....	7	9	7
0803	Administrative expenses .....	311	345	326
0804	Technical expenses .....	89	101	101
0900	Total new obligations .....	407	455	434

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	109	128	116
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	111	128	116
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	425	443	434
1701	Change in uncollected payments, Federal sources .....	-1		
1750	Spending auth from offsetting collections, disc (total) .....	424	443	434
1930	Total budgetary resources available .....	535	571	550
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	128	116	116
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	50	52	58
3010	Obligations incurred, unexpired accounts .....	407	455	434
3020	Outlays (gross) .....	-403	-449	-435
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	52	58	57
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-15	-14	-14
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	35	38	44
3200	Obligated balance, end of year .....	38	44	43

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	424	443	434
Outlays, gross:				
4010	Outlays from new discretionary authority .....	298	399	391
4011	Outlays from discretionary balances .....	105	50	44
4020	Outlays, gross (total) .....	403	449	435
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-410	-428	-419
4033	Non-Federal sources .....	-15	-15	-15
4040	Offsets against gross budget authority and outlays (total) ....	-425	-443	-434
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4080	Outlays, net (discretionary) .....	-22	6	1
4190	Outlays, net (total) .....	-22	6	1

This revolving fund enables Reclamation to recover the costs of administrative and technical services, and of facilities used by its programs and by others, and accumulates funds to finance capital equipment purchases.

**Object Classification** (in millions of dollars)

Identification code 14-4524-0-4-301	2012 actual	2013 CR	2014 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	173	175	177
11.3	Other than full-time permanent .....	5	6	6
11.5	Other personnel compensation .....	5	5	5
11.9	Total personnel compensation .....	183	186	188
12.1	Civilian personnel benefits .....	49	50	51
21.0	Travel and transportation of persons .....	4	4	4
23.1	Rental payments to GSA .....	16	16	17
23.2	Rental payments to others .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	8	8	8
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	117	161	136
26.0	Supplies and materials .....	10	10	10
31.0	Equipment .....	14	14	14
32.0	Land and structures .....	2	2	2
99.0	Reimbursable obligations .....	406	454	433
99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	407	455	434

**WORKING CAPITAL FUND—Continued**  
**Employment Summary**

Identification code 14-4524-0-4-301	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,963	1,850	1,848

**BUREAU OF RECLAMATION LOAN PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 14-0685-0-1-301	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 14-0685-0-1-301	2012 actual	2013 CR	2014 est.
Direct loan downward reestimates:			
137001 Reclamation Loans .....	-20		
137999 Total downward reestimate budget authority .....	-20		

Under the Small Reclamation Projects Act, loans and grants can be made to non-Federal organizations for construction of small water resource projects.

As required by the Federal Credit Reform Act of 1990, the loan program account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

No funds are requested for the Reclamation Loan Program for direct loans or Loan Program Administration for 2014.

**BUREAU OF RECLAMATION DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 14-4547-0-3-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2		
0742 Downward reestimate paid to receipt account .....	9		
0743 Interest on downward reestimates .....	11		
0900 Total new obligations .....	22		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19		
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	3	3
1825 Spending authority from offsetting collections applied to repay debt .....	-1	-3	-3
1850 Spending auth from offsetting collections, mand (total) .....	3		
1900 Financing authority (total) .....	3		
1930 Total budgetary resources available .....	22		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	22		
3020 Financing disbursements (gross) .....	-22		
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	3		

<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....	22		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Repayments of principal .....	-4	-2	-2
4123 Interest received on loans .....		-1	-1
4130 Offsets against gross financing auth and disbursements (total) .....	-4	-3	-3
4160 Financing authority, net (mandatory) .....	-1	-3	-3
4170 Financing disbursements, net (mandatory) .....	18	-3	-3
4180 Financing authority, net (total) .....	-1	-3	-3
4190 Financing disbursements, net (total) .....	18	-3	-3

**Status of Direct Loans** (in millions of dollars)

Identification code 14-4547-0-3-301	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	44	43	41
1251 Repayments: Repayments and prepayments .....	-1	-2	-2
1290 Outstanding, end of year .....	43	41	39

As required by the Federal Credit Reform Act of 1990, the direct loan financing account is a non-budgetary account for recording all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 14-4547-0-3-301	2011 actual	2012 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	44	43
1405 Allowance for subsidy cost (-) .....	-8	-7
1499 Net present value of assets related to direct loans .....	36	36
1999 Total assets .....	36	36
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	36	36
4999 Total liabilities and net position .....	36	36

**BUREAU OF RECLAMATION LOAN LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 14-0667-0-1-301	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	18	1	1
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-18	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-18	-1	-1
4180 Budget authority, net (total) .....	-18	-1	-1
4190 Outlays, net (total) .....	-18	-1	-1

**Status of Direct Loans** (in millions of dollars)

Identification code 14-0667-0-1-301	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	40	22	21
1251 Repayments: Repayments and prepayments .....	-18	-1	-1
1290 Outstanding, end of year .....	22	21	20

As required by the Federal Credit Reform Act of 1990, the loan liquidating account records all cash flows to and from the Govern-

ment resulting from direct loans obligated prior to 1992. All loans obligated in 1992 or thereafter are recorded in loan program account No. 14–0685–0–1–301 and loan program financing account No. 14–4547–0–3–301.

**Balance Sheet** (in millions of dollars)

Identification code 14–0667–0–1–301	2011 actual	2012 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	40	22
1603 Allowance for estimated uncollectible loans and interest (-) .....	-7	-7
1699 Value of assets related to direct loans .....	33	15
1999 Total assets .....	33	15
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	33	15
4999 Total liabilities and net position .....	33	15

**Trust Funds**

RECLAMATION TRUST FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14–8070–0–7–301	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0220 Deposits, Reclamation Trust Funds .....		3	3
0400 Total: Balances and collections .....		3	3
<b>Appropriations:</b>			
0500 Reclamation Trust Funds .....		-3	-3
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 14–8070–0–7–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Facility maintenance and rehabilitation .....	1	6	1
0002 Water and energy management and development .....	2	6	2
0900 Total new obligations .....	3	12	3
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	37	34	25
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....		3	3
1260 Appropriations, mandatory (total) .....		3	3
1930 Total budgetary resources available .....	37	37	28
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	34	25	25
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	3	2
3010 Obligations incurred, unexpired accounts .....	3	12	3
3020 Outlays (gross) .....	-1	-13	-3
3050 Unpaid obligations, end of year .....	3	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	3	2
3200 Obligated balance, end of year .....	3	2	2
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....		3	3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	1	12	2
4110 Outlays, gross (total) .....	1	13	3
4180 Budget authority, net (total) .....		3	3
4190 Outlays, net (total) .....	1	13	3

The Bureau of Reclamation performs work on various projects and activities with funding provided by non-Federal entities under 43 U.S.C. 395 and 396.

**Object Classification** (in millions of dollars)

Identification code 14–8070–0–7–301	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	1	10	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations .....	3	12	3

**Employment Summary**

Identification code 14–8070–0–7–301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

ADMINISTRATIVE PROVISION

*Appropriations for the Bureau of Reclamation shall be available for purchase of not to exceed five passenger motor vehicles, which are for replacement only.*

CENTRAL UTAH PROJECT

**Federal Funds**

CENTRAL UTAH PROJECT

CENTRAL UTAH PROJECT COMPLETION ACCOUNT

*For carrying out activities authorized by the Central Utah Project Completion Act, \$3,500,000, to remain available until expended, of which \$1,000,000 shall be deposited into the Utah Reclamation Mitigation and Conservation Account for use by the Utah Reclamation Mitigation and Conservation Commission, and of which \$1,300,000 is for necessary expenses incurred in carrying out related responsibilities of the Secretary of the Interior.*

*For fiscal year 2014, the Commission may use an amount not to exceed \$1,500,000 for administrative expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14–0787–0–1–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Central Utah project construction .....	27	25	3
0004 Program administration .....		1	1
0900 Total new obligations .....	27	26	4
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	3
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	2	2	3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	29	29	4
1120 Appropriations transferred to other accts [14–5174] .....	-2	-2	-1
1160 Appropriation, discretionary (total) .....	27	27	3
1930 Total budgetary resources available .....	29	29	6
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	3	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	
3010 Obligations incurred, unexpired accounts .....	27	26	4

**CENTRAL UTAH PROJECT—Continued**  
**Program and Financing—Continued**

Identification code 14-0787-0-1-301		2012 actual	2013 CR	2014 est.
3020	Outlays (gross) .....	-27	-27	-4
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	1	
3200	Obligated balance, end of year .....	1		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	27	27	3
Outlays, gross:				
4010	Outlays from new discretionary authority .....	25	26	3
4011	Outlays from discretionary balances .....	2	1	1
4020	Outlays, gross (total) .....	27	27	4
4180	Budget authority, net (total) .....	27	27	3
4190	Outlays, net (total) .....	27	27	4

In FY 2014, the Department proposes to consolidate this activity as a separate account within the Bureau of Reclamation. Titles II through VI of Public Law 102-575 authorize the completion of the Central Utah project and related activities, including the mitigation, conservation, and enhancement of fish and wildlife and recreational resources. Funds are requested in this account for the Central Utah Water Conservancy District, for transfer to the Utah Reclamation Mitigation and Conservation Commission, and to carry out related responsibilities of the Secretary.

**Object Classification (in millions of dollars)**

Identification code 14-0787-0-1-301		2012 actual	2013 CR	2014 est.
Direct obligations:				
25.2	Other services from non-Federal sources .....	2	2	2
41.0	Grants, subsidies, and contributions .....	25	24	2
99.9	Total new obligations .....	27	26	4

**Employment Summary**

Identification code 14-0787-0-1-301		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	4	4	4

**UTAH RECLAMATION MITIGATION AND CONSERVATION ACCOUNT**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 14-5174-0-2-301		2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....	179	154	159
Receipts:				
0240	Interest on Principal, Utah Mitigation and Conservation Fund .....	-25	8	8
0241	Contributions from Project Beneficiaries (WAPA), Utah Mitigation and Conservation Fund .....	3		
0299	Total receipts and collections .....	-22	8	8
0400	Total: Balances and collections .....	157	162	167
Appropriations:				
0500	Utah Reclamation Mitigation and Conservation Account .....	-3	-3	-7
0799	Balance, end of year .....	154	159	160

**Program and Financing (in millions of dollars)**

Identification code 14-5174-0-2-301		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Utah Reclamation Mitigation and Conservation .....	5	5	1
0002	Title IV Interest on Investment .....			7

0900	Total new obligations .....	5	5	8
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**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	
Budget authority:				
Appropriations, discretionary:				
1121	Appropriations transferred from other accts [14-0787] ....	2	2	1
1160	Appropriation, discretionary (total) .....	2	2	1
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	3	3	7
1260	Appropriations, mandatory (total) .....	3	3	7
1900	Budget authority (total) .....	5	5	8
1930	Total budgetary resources available .....	6	6	9
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4	4	3
3010	Obligations incurred, unexpired accounts .....	5	5	8
3020	Outlays (gross) .....	-5	-6	-7
3050	Unpaid obligations, end of year .....	4	3	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4	4	3
3200	Obligated balance, end of year .....	4	3	4

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	2	2	1
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	1	
4011	Outlays from discretionary balances .....		3	2
4020	Outlays, gross (total) .....	2	4	2
Mandatory:				
4090	Budget authority, gross .....	3	3	7
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3	1	2
4101	Outlays from mandatory balances .....		1	3
4110	Outlays, gross (total) .....	3	2	5
4180	Budget authority, net (total) .....	5	5	8
4190	Outlays, net (total) .....	5	6	7

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	179	153	167
5001	Total investments, EOY: Federal securities: Par value .....	153	167	168

In FY 2014, the Department proposes to consolidate this activity within the Bureau of Reclamation. The Utah Reclamation Mitigation & Conservation account was established under Title IV of Public Law 102-575 to reflect contributions from the State of Utah, the Federal Government (through the Secretary of the Interior and the Western Area Power Administration), and project beneficiaries (the Conservancy District). The requirement for contributions from the State, the Secretary, and the Conservancy District ended in 2001. The requirement for contributions from the Western Area Power Administration ended in 2013. Funds are deposited into the account as principal and may not be expended for any purpose. Interest earned annually on the account is available for expenditure without further appropriations by the Utah Reclamation Mitigation and Conservation Commission which has the option to use the funds for the mitigation, conservation, and enhancement of fish and wildlife and recreational resources, or to reinvest the funds into the account as principal.

**Object Classification (in millions of dollars)**

Identification code 14-5174-0-2-301		2012 actual	2013 CR	2014 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
25.2	Other services from non-Federal sources .....	3	3	6
99.9	Total new obligations .....	5	5	8

**Employment Summary**

Identification code 14-5174-0-2-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	9	10	10

UNITED STATES GEOLOGICAL SURVEY

**Federal Funds**

SURVEYS, INVESTIGATIONS, AND RESEARCH

*For expenses necessary for the United States Geological Survey to perform surveys, investigations, and research covering topography, geology, hydrology, biology, and the mineral and water resources of the United States, its territories and possessions, and other areas as authorized by 43 U.S.C. 31, 1332, and 1340; classify lands as to their mineral and water resources; give engineering supervision to power permittees and Federal Energy Regulatory Commission licensees; administer the minerals exploration program (30 U.S.C. 641); conduct inquiries into the economic conditions affecting mining and materials processing industries (30 U.S.C. 3, 21a, and 1603; 50 U.S.C. 98g(1)) and related purposes as authorized by law; and to publish and disseminate data relative to the foregoing activities; \$1,166,855,000, to remain available until September 30, 2015; of which \$53,337,189 shall remain available until expended for satellite operations; and of which \$7,280,000 shall be available until expended for deferred maintenance and capital improvement projects that exceed \$100,000 in cost: Provided, That none of the funds provided for the ecosystem research activity shall be used to conduct new surveys on private property, unless specifically authorized in writing by the property owner: Provided further, That no part of this appropriation shall be used to pay more than one-half the cost of topographic mapping or water resources data collection and investigations carried on in cooperation with States and municipalities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-0804-0-1-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ecosystems .....	166	161	180
0002 Climate and Land Use Change .....	141	142	156
0003 Energy, Minerals, and Environmental Health .....	99	99	107
0004 Natural Hazards .....	135	133	142
0005 Water Resources .....	216	217	223
0006 Core Science Systems .....	117	115	136
0007 Administration and Enterprise Information .....	112	125	116
0008 Facilities .....	104	102	104
0799 Total direct obligations .....	1,090	1,094	1,164
0801 Reimbursable program .....	448	448	448
0802 Reimbursable program - EPA Great Lakes .....	12	12	12
0899 Total reimbursable obligations .....	460	460	460
0900 Total new obligations .....	1,550	1,554	1,624
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	393	405	386
1011 Unobligated balance transfer from other accts [72-0306] .....	1		
1021 Recoveries of prior year unpaid obligations .....	15		
1050 Unobligated balance (total) .....	409	405	386
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,070	1,075	1,167
1130 Appropriations permanently reduced .....	-2		
1160 Appropriation, discretionary (total) .....	1,068	1,075	1,167
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	441	460	460
1701 Change in uncollected payments, Federal sources .....	38		
1750 Spending auth from offsetting collections, disc (total) .....	479	460	460
1900 Budget authority (total) .....	1,547	1,535	1,627
1930 Total budgetary resources available .....	1,956	1,940	2,013

Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	405	386	389
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	384	368	308
3010 Obligations incurred, unexpired accounts .....	1,550	1,554	1,624
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-1,548	-1,614	-1,657
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15		
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	368	308	275
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-475	-480	-480
3070 Change in uncollected pymts, Fed sources, unexpired .....	-38		
3071 Change in uncollected pymts, Fed sources, expired .....	33		
3090 Uncollected pymts, Fed sources, end of year .....	-480	-480	-480
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-91	-112	-172
3200 Obligated balance, end of year .....	-112	-172	-205
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,547	1,535	1,627
Outlays, gross:			
4010 Outlays from new discretionary authority .....	898	1,351	1,432
4011 Outlays from discretionary balances .....	650	263	225
4020 Outlays, gross (total) .....	1,548	1,614	1,657
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-269	-262	-262
4033 Non-Federal sources .....	-205	-198	-198
4040 Offsets against gross budget authority and outlays (total) .....	-474	-460	-460
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-38		
4052 Offsetting collections credited to expired accounts .....	33		
4060 Additional offsets against budget authority only (total) .....	-5		
4070 Budget authority, net (discretionary) .....	1,068	1,075	1,167
4080 Outlays, net (discretionary) .....	1,074	1,154	1,197
4180 Budget authority, net (total) .....	1,068	1,075	1,167
4190 Outlays, net (total) .....	1,074	1,154	1,197

The U.S. Geological Survey (USGS) provides research and scientific information to support the mission of the Department of the Interior (Interior) and its science requirements. The USGS also works in collaboration with other Federal, State, and tribal cooperators to conduct research and provide scientific data and information concerning natural hazards and environmental issues, including the water, land, geologic, and biological resources of the Nation. The USGS budget continues science programs that generate relevant, objective information for natural resource managers and for communities throughout the Nation and engages in partnerships with universities, research institutions, and major public and private laboratories.

**Ecosystems.**—The USGS Ecosystems mission area monitors and inventories biological resources and ecological systems; provides scientific information for the management of biological resources and their habitats; studies and predicts the consequences of environmental change and the effects of alternative management actions on plants, animals, and their habitats; conducts the high priority ecological and biological research needed by Interior land management bureaus and to support interagency efforts; and operates the Cooperative Research Unit focusing on graduate education and training of future natural resource professionals.

**Climate and Land Use Change.**—The USGS Climate and Land Use Change mission area conducts scientific research, monitoring, remote sensing, modeling, and forecasting to address the effects of climate and land use change on the Nation's natural resources. The resulting research and products are provided as the scientific

## SURVEYS, INVESTIGATIONS, AND RESEARCH—Continued

foundation upon which policymakers, natural resource managers, and the public make informed decisions about the management of natural resources. *Landsat satellites and data systems are developed, operated, and maintained to ensure a continuous global record of moderate-resolution land images.*

*Energy, Minerals, and Environmental Health.*—The USGS Energy, Minerals, and Environmental Health mission area includes scientific activities that support decision making related to natural resource security; environmental, wildlife, and human health; land and resource management; and economic development. The mission area provides scientific information for assessments and research on mineral and energy resource potential, production and consumption, and environmental impacts of permitting, extraction, and use in the United States and around the world. The mission area also provides information and tools to decision makers and the public regarding environmental contaminants, including impacts on susceptible ecosystems and implications for human, wildlife and fish health.

*Natural Hazards.*—The USGS plays a critical role in providing policymakers and the public with a clear understanding of potential threats from natural hazards, societal vulnerability to these threats, and strategies for achieving resilience to earthquakes, volcanic eruptions, landslides, floods, hurricanes, solar storms, tsunamis, and wildfires. The USGS Natural Hazards mission area is working with its partners and stakeholders to define and mitigate risks, build understanding of natural hazard processes, and characterize the potential impact and consequences on human activity, health, the economy, and the environment. This mission area includes USGS activities that characterize and assess coastal and marine processes, conditions, change and vulnerability.

*Water Resources.*—The USGS is one of the primary Federal science agencies that provides information about water resources. To fulfill this responsibility, the USGS Water Resources mission area produces data, analyses, and assessments, and develops methodologies to support Federal, State, tribal, and local government decisions in managing water resources for domestic, agricultural, commercial industrial, recreational, and ecological uses; protecting and enhancing water resources for human health, aquatic health, and environmental quality; minimizing loss of life and property as a result of water-related natural hazards, such as floods, droughts, and land movement; and contributing to sustainable stewardship and development of the Nation's resources for the benefit of present and future generations.

*Core Science Systems.*—The USGS Core Science Systems mission area conducts fundamental research and provides data about the Earth, its complex processes, and its natural resources. These activities provide the Nation with natural science information to support response planning for natural hazards and to manage natural resources. Core Science Systems produces geological, geophysical, and geochemical maps and three-dimensional geologic frameworks that provide critical data for sustaining and improving the quality of life and economic vitality of the Nation, and creates the informatics framework and provides scientific content needed for understanding and stewardship of our Nation's ecological, geologic, and geospatial resources.

*Administration and Enterprise Information.*—The USGS Administration and Enterprise Information mission area provides Bureau-wide executive direction and coordination, business administration and financial management, and management of information technology infrastructure, processes and systems.

*Facilities.*—The USGS Facilities mission area provides safe, functional workspace, state of the art labs, and facilities for the USGS to accomplish its scientific mission. The mission area

provides rental payments and operation and maintenance for properties and maintenance and capital improvement for existing assets.

*Reimbursable program.*—Reimbursements from non-Federal sources are from States, Tribes, and municipalities for: cooperative efforts and proceeds from sale to the public of copies of photographs and records; proceeds from sale of personal property; reimbursements from permittees and licensees of the Federal Energy Regulatory Commission; and reimbursements from foreign countries and international organizations for technical assistance. Reimbursements from other Federal agencies are for mission-related work performed at the request of the financing agency.

## Object Classification (in millions of dollars)

Identification code 14-0804-0-1-306	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	431	435	444
11.3 Other than full-time permanent .....	43	43	44
11.5 Other personnel compensation .....	7	7	7
11.9 Total personnel compensation .....	481	485	495
12.1 Civilian personnel benefits .....	138	140	143
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	23	23	27
22.0 Transportation of things .....	5	5	6
23.1 Rental payments to GSA .....	60	61	59
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	15	15	15
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	18	13	13
25.2 Other services from non-Federal sources .....	101	108	122
25.3 Other goods and services from Federal sources .....	76	76	76
25.4 Operation and maintenance of facilities .....	14	14	14
25.7 Operation and maintenance of equipment .....	16	16	16
26.0 Supplies and materials .....	22	18	22
31.0 Equipment .....	33	33	65
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	81	81	85
99.0 Direct obligations .....	1,090	1,094	1,164
99.0 Reimbursable obligations .....	460	460	460
99.9 Total new obligations .....	1,550	1,554	1,624

## Employment Summary

Identification code 14-0804-0-1-306	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5,431	5,439	5,531
2001 Reimbursable civilian full-time equivalent employment .....	2,838	2,838	2,838
3001 Allocation account civilian full-time equivalent employment .....	27	27	27

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

Identification code 14-4556-0-4-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Working capital fund .....	89	106	86
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	83	79	71
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	85	79	71
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	83	98	79
1750 Spending auth from offsetting collections, disc (total) .....	83	98	79
1930 Total budgetary resources available .....	168	177	150
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	79	71	64

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	37	29	63
3010	Obligations incurred, unexpired accounts .....	89	106	86
3020	Outlays (gross) .....	-95	-72	-71
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	29	63	78
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	37	29	63
3200	Obligated balance, end of year .....	29	63	78
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	83	98	79
Outlays, gross:				
4010	Outlays from new discretionary authority .....	48	44	36
4011	Outlays from discretionary balances .....	47	28	35
4020	Outlays, gross (total) .....	95	72	71
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-83	-98	-79
4190	Outlays, net (total) .....	12	-26	-8

The Working Capital Fund allows for: efficient financial management of the USGS telecommunications investments; acquisition, replacement, and enhancement of scientific equipment; facilities, GSA Building delegation operation, and laboratory operations; modernization and equipment replacement; drilling and training services; publications; and other USGS activities as determined and approved by the Director of the USGS and the Secretary.

**Balance Sheet** (in millions of dollars)

Identification code 14-4556-0-4-306	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	119	108
1803	Other Federal assets: Property, plant and equipment, net .....	26	26
1999	Total assets .....	145	134
<b>LIABILITIES:</b>			
2201	Non-Federal liabilities: Accounts payable .....	8	5
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	137	129
4999	Total liabilities and net position .....	145	134

**Object Classification** (in millions of dollars)

Identification code 14-4556-0-4-306	2012 actual	2013 CR	2014 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	18	18	18
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	20	20	20
12.1	Civilian personnel benefits .....	6	6	6
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	1	2	1
24.0	Printing and reproduction .....		1	1
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	11	16	10
25.3	Other goods and services from Federal sources .....	6	7	6
25.4	Operation and maintenance of facilities .....	4	5	4
25.7	Operation and maintenance of equipment .....	4	3	3
26.0	Supplies and materials .....	5	5	5
31.0	Equipment .....	27	31	25
32.0	Land and structures .....	1	6	1
99.9	Total new obligations .....	89	106	86

**Employment Summary**

Identification code 14-4556-0-4-306	2012 actual	2013 CR	2014 est.	
2001	Reimbursable civilian full-time equivalent employment .....	244	244	244

**UNITED STATES GEOLOGICAL SURVEY—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:  
Department of the Interior: Departmental Offices: "Natural resource damage assessment and restoration fund" and "Central hazardous materials fund".

**Trust Funds**

**CONTRIBUTED FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-8562-0-7-306	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....			
Receipts:				
0220	Contributed Funds, Geological Survey .....	1	1	1
0400	Total: Balances and collections .....	1	1	1
Appropriations:				
0500	Contributed Funds .....	-1	-1	-1
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 14-8562-0-7-306	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Donations and contributed funds .....	1	1	1
0900	Total new obligations (object class 99.5) .....	1	1	1
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	1	1	1
1260	Appropriations, mandatory (total) .....	1	1	1
1930	Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....	-1	-1	-1

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....		1	1
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	1	1	1
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....	1	1	1

Funds in this account are provided by States, local governments, and private organizations (pursuant to 43 U.S.C. 36c). This appropriation (a permanent, indefinite, special fund) makes these funds available to USGS to perform the work desired by the contributor and USGS. Research and development; data collection and analysis; and services are undertaken when such activities are of mutual interest and benefit and assist USGS in accomplishing its mandated purposes.

CONTRIBUTED FUNDS—Continued  
Employment Summary

Identification code 14—8562—0—7—306	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6	6	6

ADMINISTRATIVE PROVISIONS

From within the amount appropriated for activities of the United States Geological Survey such sums as are necessary shall be available for contracting for the furnishing of topographic maps and for the making of geophysical or other specialized surveys when it is administratively determined that such procedures are in the public interest; construction and maintenance of necessary buildings and appurtenant facilities; acquisition of lands for gauging stations and observation wells; expenses of the United States National Committee for Geological Sciences; and payment of compensation and expenses of persons employed by the Survey duly appointed to represent the United States in the negotiation and administration of interstate compacts: Provided, That activities funded by appropriations herein made may be accomplished through the use of contracts, grants, or cooperative agreements as defined in section 6302 of title 31, United States Code: Provided further, That the United States Geological Survey may enter into contracts or cooperative agreements directly with individuals or indirectly with institutions or nonprofit organizations, without regard to 41 U.S.C. 6101, for the temporary or intermittent services of students or recent graduates, who shall be considered employees for the purpose of chapters 57 and 81 of title 5, United States Code, relating to compensation for travel and work injuries, and chapter 171 of title 28, United States Code, relating to tort claims, but shall not be considered to be Federal employees for any other purposes.

FISH AND WILDLIFE AND PARKS

UNITED STATES FISH AND WILDLIFE SERVICE

Federal Funds

RESOURCE MANAGEMENT

For necessary expenses of the United States Fish and Wildlife Service, as authorized by law, and for scientific and economic studies, general administration, and for the performance of other authorized functions related to such resources, \$1,295,085,000, to remain available until September 30, 2015, except as otherwise provided herein: Provided, That not to exceed \$22,622,000 shall be used for implementing subsections (a), (b), (c), and (e) of section 4 of the Endangered Species Act, as amended, (except for processing petitions, developing and issuing proposed and final regulations, and taking any other steps to implement actions described in subsection (c)(2)(A), (c)(2)(B)(i), or (c)(2)(B)(ii)), of which not to exceed \$4,605,000 shall be used for any activity regarding the designation of critical habitat, pursuant to subsection (a)(3), excluding litigation support, for species listed pursuant to subsection (a)(1) prior to October 1, 2011; of which not to exceed \$1,501,000 shall be used for any activity regarding petitions to list species that are indigenous to the United States pursuant to subsections (b)(3)(A) and (b)(3)(B); and, of which not to exceed \$1,504,000 shall be used for implementing subsections (a), (b), (c), and (e) of section 4 of the Endangered Species Act, as amended, for species that are not indigenous to the United States.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14—1611—0—1—302	2012 actual	2013 CR	2014 est.
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Obligations by program activity:			
0001 Ecological Services .....	306	299	317
0002 National Wildlife Refuge System .....	485	492	504

0003 Migratory Bird Management, Law Enforcement and International Affairs .....	158	163	.....
0004 Conservation, Enforcement and Science .....	.....	.....	190
0005 Fisheries and Aquatic Resource Conservation .....	137	140	142
0006 Cooperative Landscape Conservation and Adaptive Science .....	33	41	.....
0007 Cooperative Landscape Conservation .....	146	.....	23
0008 General Administration .....	.....	155	159
0100 Subtotal, direct program .....	1,265	1,290	1,335
0799 Total direct obligations .....	1,265	1,290	1,335
0801 Great Lakes Restoration Initiative .....	46	45	45
0802 Reimbursable program activity .....	207	187	187
0899 Total reimbursable obligations .....	253	232	232
0900 Total new obligations .....	1,518	1,522	1,567

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	204	232	180
1011 Unobligated balance transfer from other accts [72–1021] .....	5	.....	.....
1021 Recoveries of prior year unpaid obligations .....	25	16	16
1050 Unobligated balance (total) .....	234	248	196
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,228	1,234	1,295
1121 Appropriations transferred from other accts [72–1021] .....	9	.....	.....
1130 Appropriations permanently reduced .....	-2	.....	.....
1160 Appropriation, discretionary (total) .....	1,235	1,234	1,295
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	197	220	220
1701 Change in uncollected payments, Federal sources .....	84	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	281	220	220
1900 Budget authority (total) .....	1,516	1,454	1,515
1930 Total budgetary resources available .....	1,750	1,702	1,711
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	232	180	144

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	590	584	535
3010 Obligations incurred, unexpired accounts .....	1,518	1,522	1,567
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-1,491	-1,555	-1,593
3040 Recoveries of prior year unpaid obligations, unexpired .....	-25	-16	-16
3041 Recoveries of prior year unpaid obligations, expired .....	-11	.....	.....
3050 Unpaid obligations, end of year .....	584	535	493
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-320	-353	-353
3070 Change in uncollected pymts, Fed sources, unexpired .....	-84	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	51	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-353	-353	-353
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	270	231	182
3200 Obligated balance, end of year .....	231	182	140

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,516	1,454	1,515
Outlays, gross:			
4010 Outlays from new discretionary authority .....	915	1,163	1,212
4011 Outlays from discretionary balances .....	576	392	381
4020 Outlays, gross (total) .....	1,491	1,555	1,593
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-195	-165	-165
4033 Non-Federal sources .....	-51	-55	-55
4040 Offsets against gross budget authority and outlays (total) .....	-246	-220	-220
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-84	.....	.....
4052 Offsetting collections credited to expired accounts .....	49	.....	.....
4060 Additional offsets against budget authority only (total) .....	-35	.....	.....
4070 Budget authority, net (discretionary) .....	1,235	1,234	1,295
4080 Outlays, net (discretionary) .....	1,245	1,335	1,373
4180 Budget authority, net (total) .....	1,235	1,234	1,295
4190 Outlays, net (total) .....	1,245	1,335	1,373

Ecological Services.—The Service conserves, protects, and enhances fish, wildlife, plants, and their habitat by working with

private landowners, States, Tribes, non-governmental organizations, and other Federal agencies. These partnership activities help protect and recover species that are listed under the Endangered Species Act and work toward making the listing of additional species unnecessary. Financial assistance is provided to private landowners to restore or improve habitat for endangered species and other at-risk species. Technical assistance helps prevent or minimize adverse environmental effects of development projects. Contaminants are investigated, monitored, and assessed for effects on trust resources.

**National Wildlife Refuge System.**—The Service maintains the National Wildlife Refuge System consisting of 561 refuges, waterfowl production areas in 209 counties managed by 38 wetland management districts, and 50 coordination areas. The National Wildlife Refuge System administers this network of more than 150 million acres of land and waters to conserve and restore fish, wildlife, plants, and their habitats, within the United States for the benefit of present and future generations of Americans.

**Conservation, Enforcement and Science.**—The Service directs and coordinates national migratory bird programs to protect and enhance populations and habitat of more than 1,000 species of birds. Grants and partnerships are key to these programs, such as the Joint Ventures that implement the North American Waterfowl Management Plan. The Service Law Enforcement program investigates wildlife crimes, regulates wildlife trade, helps Americans understand and obey wildlife protection laws, and works in partnership with international, State, and Tribal counterparts to conserve wildlife resources. The Service, through the International Affairs Program, works with private citizens, local communities, State and Federal agencies, foreign governments, and non-governmental organizations in the United States and internationally to promote a coordinated domestic and international strategy to protect, restore, and enhance the world's diverse wildlife and their habitats, with a focus on species of international concern. Science support provides funding for applied science directed at high impact questions surrounding threats to fish and wildlife resources to provide answers needed to manage species to healthy, sustainable, desired levels.

**Fisheries.**—The Fisheries Program consists of 72 National Fish Hatcheries, nine Fish Health Centers, seven Fish Technology Centers, 65 Fish and Wildlife Conservation Offices, and a Historic National Fish Hatchery. Working with partners, the Fisheries Program recovers, restores and maintains fish and other aquatic resources at self-sustaining levels; provides technical assistance to States, Tribes and others; and supports Federal mitigation programs for the benefit of the American public.

**Cooperative Landscape Conservation.**—Through a national network of Landscape Conservation Cooperatives (LCCs), the Service enhances its core capacity in biological planning and conservation design to strategically address the problems fish and wildlife will face in the future. These LCCs, in cooperation with both Federal and non-Federal partners, will conduct the planning necessary to implement effective on-the-ground strategies and actions to help fish, wildlife, and plants adapt to future challenges. The information gathered will also help to define clear conservation objectives, inform conservation management decisions, focus management actions where they will have the most effect on the landscape, and supply scientific knowledge and expertise needed most by the Service and its partners.

**General Operations.**—Funding for the Service's general operations provides policy guidance, program coordination, and administrative services to all of the Fish and Wildlife Service's programs. The funds also support the National Conservation Training Center and projects through the National Fish and

Wildlife Foundation to restore and enhance fish and wildlife populations.

Object Classification (in millions of dollars)

Identification code 14-1611-0-1-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	519	518	526
11.3 Other than full-time permanent .....	33	31	33
11.5 Other personnel compensation .....	18	18	18
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	571	568	578
12.1 Civilian personnel benefits .....	193	193	196
21.0 Travel and transportation of persons .....	30	30	30
22.0 Transportation of things .....	8	7	7
23.1 Rental payments to GSA .....	61	63	63
23.2 Rental payments to others .....	2	3	3
23.3 Communications, utilities, and miscellaneous charges .....	22	22	23
24.0 Printing and reproduction .....	5	3	3
25.1 Advisory and assistance services .....	10	2	2
25.2 Other services from non-Federal sources .....	66	76	78
25.3 Other goods and services from Federal sources .....	40	44	46
25.4 Operation and maintenance of facilities .....	26	28	29
25.7 Operation and maintenance of equipment .....	11	16	16
26.0 Supplies and materials .....	53	48	48
31.0 Equipment .....	32	34	34
32.0 Land and structures .....	19	22	24
41.0 Grants, subsidies, and contributions .....	116	131	155
99.0 Direct obligations .....	1,265	1,290	1,335
99.0 Reimbursable obligations .....	253	232	232
99.9 Total new obligations .....	1,518	1,522	1,567

Employment Summary

Identification code 14-1611-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	7,634	7,526	7,560
2001 Reimbursable civilian full-time equivalent employment .....	808	871	928
3001 Allocation account civilian full-time equivalent employment .....	624	588	533

CONSTRUCTION

*For construction, improvement, acquisition, or removal of buildings and other facilities required in the conservation, management, investigation, protection, and utilization of fishery and wildlife resources, and the acquisition of lands and interests therein; \$15,722,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 14-1612-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Refuges .....	13	30	51
0002 Hatcheries .....	1	3	2
0003 Law Enforcement and Other Projects .....			1
0004 Dam safety .....	2	2	2
0005 Bridge safety .....	1	1	1
0006 Nationwide engineering services .....	11	11	11
0100 Total, Direct program: .....	28	47	68
0799 Total direct obligations .....	28	47	68
0801 Reimbursable program .....	1	2	2
0900 Total new obligations .....	29	49	70
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	23	24	72
1021 Recoveries of prior year unpaid obligations .....	5	4	4

CONSTRUCTION—Continued  
Program and Financing—Continued

Identification code 14–1612–0–1–302	2012 actual	2013 CR	2014 est.
1050 Unobligated balance (total) .....	28	28	76
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	23	16
1100 Appropriation: Hurricane Sandy Emergency Supplemental .....		68	
1160 Appropriation, discretionary (total) .....	23	91	16
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	2
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	2	2	2
1900 Budget authority (total) .....	25	93	18
1930 Total budgetary resources available .....	53	121	94
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24	72	24
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	86	40	43
3010 Obligations incurred, unexpired accounts .....	29	49	70
3020 Outlays (gross) .....	-70	-42	-56
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-4	-4
3050 Unpaid obligations, end of year .....	40	43	53
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	81	35	38
3200 Obligated balance, end of year .....	35	38	48
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	25	93	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	14	5
4011 Outlays from discretionary balances .....	62	28	51
4020 Outlays, gross (total) .....	70	42	56
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070 Budget authority, net (discretionary) .....	23	91	16
4080 Outlays, net (discretionary) .....	69	40	54
4180 Budget authority, net (total) .....	23	91	16
4190 Outlays, net (total) .....	69	40	54

The Construction activity provides for projects that focus on construction and rehabilitation, environmental compliance, pollution abatement, hazardous materials cleanup, and seismic safety for facilities on Service lands. Repair and inspection of the Service's dams and bridges are also included. These projects are needed to accomplish the management objectives and purposes of these structures, natural resources, and the Service's mission.

Object Classification (in millions of dollars)

Identification code 14–1612–0–1–302	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6	6	5
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	7	7	6
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....			1
25.2 Other services from non-Federal sources .....	4	4	3
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1

26.0 Supplies and materials .....	1	1	
31.0 Equipment .....	1	1	
32.0 Land and structures .....	8	26	48
41.0 Grants, subsidies, and contributions .....	2	1	1
99.0 Direct obligations .....	28	47	68
99.0 Reimbursable obligations .....	1	2	2
99.9 Total new obligations .....	29	49	70

Employment Summary

Identification code 14–1612–0–1–302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	79	82	67

STATE AND TRIBAL WILDLIFE GRANTS

For wildlife conservation grants to States and to the District of Columbia, Puerto Rico, Guam, the United States Virgin Islands, the Northern Mariana Islands, American Samoa, and federally recognized Indian tribes under the provisions of the Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of wildlife and their habitat, including species that are not hunted or fished, \$61,323,000, to remain available until expended: Provided, That of the amount provided herein, \$4,268,000, is for a competitive grant program for federally recognized Indian tribes not subject to the remaining provisions of this appropriation: Provided further, That \$13,000,000 is for a competitive grant program to implement approved plans for States, territories, and other jurisdictions, and at the discretion of affected States, the regional associations of fish and wildlife agencies, not subject to the remaining provisions of this appropriation: Provided further, That the Secretary shall, after deducting \$17,268,000 and administrative expenses, apportion the amount provided herein in the following manner: (1) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (2) to Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands, each a sum equal to not more than one-fourth of 1 percent thereof: Provided further, That the Secretary shall apportion the remaining amount in the following manner: (1) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (2) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States: Provided further, That the amounts apportioned under this paragraph shall be adjusted equitably so that no State shall be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount: Provided further, That the Federal share of planning grants shall not exceed 75 percent of the total costs of such projects and the Federal share of implementation grants shall not exceed 65 percent of the total costs of such projects: Provided further, That the non-Federal share of such projects may not be derived from Federal grant programs: Provided further, That any amount apportioned in 2014 to any State, territory, or other jurisdiction that remains unobligated as of September 30, 2015, shall be reapportioned, together with funds appropriated in 2016, in the manner provided herein.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14–5474–0–2–302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State wildlife grants .....	50	51	51
0002 State competitive grants .....	7	9	11
0003 Administration .....	4	4	3
0004 Tribal wildlife grants .....	6	5	3
0900 Total new obligations .....	67	69	68

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	42	38	34
1021	Recoveries of prior year unpaid obligations .....	2	3	3
1050	Unobligated balance (total) .....	44	41	37
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	61	62	61
1160	Appropriation, discretionary (total) .....	61	62	61
1930	Total budgetary resources available .....	105	103	98
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	38	34	30
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	154	154	145
3010	Obligations incurred, unexpired accounts .....	67	69	68
3020	Outlays (gross) .....	-65	-75	-78
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	-3	-3
3050	Unpaid obligations, end of year .....	154	145	132
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	154	154	145
3200	Obligated balance, end of year .....	154	145	132
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	61	62	61
Outlays, gross:				
4010	Outlays from new discretionary authority .....	12	13	14
4011	Outlays from discretionary balances .....	53	62	64
4020	Outlays, gross (total) .....	65	75	78
4180	Budget authority, net (total) .....	61	62	61
4190	Outlays, net (total) .....	65	75	78

The State and Tribal Wildlife Grants program provides funds to States, Commonwealths and Territories primarily through a formula-based apportionment. Additionally, a sizable competitive program uses a merit-based process to fund the best outcome-oriented, results-based projects. At the discretion of affected States, the competitive program may be used by regional associations of State fish and wildlife agencies. Tribes also receive funds through a national competitive award process. These funds are provided to stabilize, restore, enhance, and protect species and their habitat that are of conservation concern, thereby avoiding the costly and time-consuming process that occurs when habitat is degraded or destroyed and species populations plummet.

**Object Classification** (in millions of dollars)

Identification code 14-5474-0-2-302	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	1
41.0	Grants, subsidies, and contributions .....	64	66	65
99.9	Total new obligations .....	67	69	68

**Employment Summary**

Identification code 14-5474-0-2-302	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	22	23	23

**MULTINATIONAL SPECIES CONSERVATION FUND**

For expenses necessary to carry out the African Elephant Conservation Act (16 U.S.C. 4201 et seq.), the Asian Elephant Conservation Act of 1997 (16 U.S.C. 4261 et seq.), the Rhinoceros and Tiger Conservation Act of 1994 (16 U.S.C. 5301 et seq.), the Great Ape Conservation Act of 2000 (16 U.S.C. 6301 et seq.), and the Marine Turtle Conservation Act of 2004 (16 U.S.C. 6601 et seq.), \$9,787,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-1652-0-1-302	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	African elephant .....	1	2	2
0002	Asian elephant .....	2	2	2
0003	Rhinoceros and tiger .....	2	2	2
0004	Great ape conservation .....	2	2	2
0005	Marine turtle .....	2	2	2
0799	Total direct obligations .....	9	10	10
0801	Multinational Species Semi Postal Stamp Act .....	1	1	1
0900	Total new obligations .....	10	11	11
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	9	10	10
1160	Appropriation, discretionary (total) .....	9	10	10
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1	1	1
1850	Spending auth from offsetting collections, mand (total) .....	1	1	1
1900	Budget authority (total) .....	10	11	11
1930	Total budgetary resources available .....	10	11	11
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7	8	7
3010	Obligations incurred, unexpired accounts .....	10	11	11
3020	Outlays (gross) .....	-9	-12	-11
3050	Unpaid obligations, end of year .....	8	7	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	8	7
3200	Obligated balance, end of year .....	8	7	7
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	9	10	10
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	3	3
4011	Outlays from discretionary balances .....	5	8	7
4020	Outlays, gross (total) .....	9	11	10
Mandatory:				
4090	Budget authority, gross .....	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....		1	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1	-1	-1
4180	Budget authority, net (total) .....	9	10	10
4190	Outlays, net (total) .....	8	11	10

**African Elephant Conservation Program.**—Provides technical and financial assistance to protect African elephants and their habitats, including elephant population management, public education, and anti-poaching activities.

**Rhinoceros and Tiger Conservation Program.**—Provides conservation grants to protect rhinoceros and tiger populations and their habitats within African and Asian countries.

**Asian Elephant Conservation Program.**—Provides financial assistance for Asian elephant conservation projects to protect elephant populations and their habitats within 13 range countries.

**Great Ape Conservation Program.**—Provides assistance for conservation and protection of chimpanzee, gorilla, orangutan, bonobo, and gibbon populations.

**Marine Sea Turtle Conservation Program.**—Provides financial assistance for projects, public education, and the conservation of marine sea turtles and their nesting habitats.

MULTINATIONAL SPECIES CONSERVATION FUND—Continued

The Multinational Species Conservation Fund Semipostal Stamp Act of 2010, requires the United States Postal Service to issue and sell, at a premium, a Multinational Species Conservation Funds Semipostal stamp. The proceeds of this stamp are to be transferred to the Service to help operations supported by the Multinational Species Conservation Funds. The expected collections from this stamp in FY 2014 are estimated to be \$1 million.

Object Classification (in millions of dollars)

Identification code 14-1652-0-1-302	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	9	10	10
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	10	11	11

Employment Summary

Identification code 14-1652-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

NEOTROPICAL MIGRATORY BIRD CONSERVATION

For expenses necessary to carry out the Neotropical Migratory Bird Conservation Act, as amended (16 U.S.C. 6101 et seq.), \$3,786,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-1696-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Neotropical Migratory Bird .....	4	4	4
0900 Total new obligations (object class 41.0) .....	4	4	4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1160 Appropriation, discretionary (total) .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	5
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-5	-5
3050 Unpaid obligations, end of year .....	6	5	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	5
3200 Obligated balance, end of year .....	6	5	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4011 Outlays from discretionary balances .....	4	4	4
4020 Outlays, gross (total) .....	4	5	5
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	5	5

Funds in this account provide grants to conserve migratory bird populations in the United States, Canada, Latin America, and the Caribbean pursuant to the Neotropical Migratory Bird Con-

servation Act, as amended (16 U.S.C. 6101 et seq.). Reauthorization is pending.

Employment Summary

Identification code 14-1696-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

LAND ACQUISITION

For expenses necessary to carry out the Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the United States Fish and Wildlife Service, \$70,833,000, to be derived from the Land and Water Conservation Fund and to remain available until expended: Provided, That none of the funds appropriated for specific land acquisition projects may be used to pay for any administrative overhead, planning or other management costs.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-5020-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Acquisition management .....	11	11	13
0002 Emergencies and hardships .....	3	3	3
0003 Exchanges .....	2	2	1
0004 Inholdings .....	2	2	2
0005 User Pay Cost Share .....	2	2	2
0006 Refuge Land Payments .....	25	36	46
0100 total, direct program .....	45	56	67
0799 Total direct obligations .....	45	56	67
0801 Reimbursable program activity Border Fence Mitigation .....	8		
0900 Total new obligations .....	53	56	67
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	35	35
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	22	36	36
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (LWCF) .....	55	55	71
1160 Appropriation, discretionary (total) .....	55	55	71
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11		
1750 Spending auth from offsetting collections, disc (total) .....	11		
1900 Budget authority (total) .....	66	55	71
1930 Total budgetary resources available .....	88	91	107
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	35	35	40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	25	18
3010 Obligations incurred, unexpired accounts .....	53	56	67
3020 Outlays (gross) .....	-60	-62	-64
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	25	18	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	33	25	18
3200 Obligated balance, end of year .....	25	18	20
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	66	55	71
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	22	28

4011	Outlays from discretionary balances .....	38	40	36
4020	Outlays, gross (total) .....	60	62	64
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-11		
4180	Budget authority, net (total) .....	55	55	71
4190	Outlays, net (total) .....	49	62	64

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	55	55	71
Outlays .....	49	62	64
Legislative proposal, subject to PAYGO:			
Budget Authority .....			36
Outlays .....			14
Total:			
Budget Authority .....	55	55	107
Outlays .....	49	62	78

Federal Land Acquisition funds are used to protect areas that have native fish or wildlife values and provide natural resource benefits over a broad geographical area. Funds in this account also cover acquisition management activities, such as title fees and land surveys. The Service places emphasis on acquiring important fish, wildlife, and plant habitat for the conservation of listed endangered and threatened species. The Federal Land Acquisition program uses alternative and innovative conservation tools, including conservation easements, and implements projects that have the input and participation of the affected local communities and stakeholders. In addition, the 2014 Federal Land Acquisition program builds on efforts started in 2011 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals.

**Mandatory Land and Water Conservation Fund.**—The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs beginning in fiscal year 2014.

**Object Classification** (in millions of dollars)

Identification code 14–5020–0–2–302	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	7	9
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	4	6	6
25.3 Other goods and services from Federal sources .....	1	1	1
32.0 Land and structures .....	29	39	48
99.0 Direct obligations .....	44	56	67
99.0 Reimbursable obligations .....	8		
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	53	56	67

**Employment Summary**

Identification code 14–5020–0–2–302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	89	86	106

**LAND ACQUISITION**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 14–5020–4–2–302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0006 Refuge Land Payments .....			24
0900 Total new obligations (object class 32.0) .....			24
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from the LWCF [14–5005] .....			36
1260 Appropriations, mandatory (total) .....			36
1930 Total budgetary resources available .....			36
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			24
3020 Outlays (gross) .....			-14
3050 Unpaid obligations, end of year .....			10
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			10
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			36
Outlays, gross:			
4100 Outlays from new mandatory authority .....			14
4180 Budget authority, net (total) .....			36
4190 Outlays, net (total) .....			14

**LANDOWNER INCENTIVE PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 14–5496–0–2–302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0501 Landowner grants .....		1	
0900 Total new obligations (object class 41.0) .....		1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1	1	
1930 Total budgetary resources available .....	1	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	16	5
3010 Obligations incurred, unexpired accounts .....		1	
3020 Outlays (gross) .....	-9	-12	-5
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	16	5	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	16	5
3200 Obligated balance, end of year .....	16	5	

**LANDOWNER INCENTIVE PROGRAM—Continued**  
**Program and Financing—Continued**

Identification code 14-5496-0-2-302	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	9	12	5
4190 Outlays, net (total) .....	9	12	5

The Landowner Incentive Program provided cost-shared, competitive grants to States, the District of Columbia, Territories, and Tribes to create, supplement or expand upon new or ongoing landowner incentive programs. These State, Tribal, and Territorial programs provided technical and financial assistance to private landowners across the country to help them protect and manage imperiled species and their habitat, while continuing to engage in traditional land use or working conservation practices. The program was phased out in 2008 and minimal balances remain in this account.

**PRIVATE STEWARDSHIP GRANTS**  
**Program and Financing** (in millions of dollars)

Identification code 14-5495-0-2-302	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3020 Outlays (gross) .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		
4190 Outlays, net (total) .....	1		

The Private Stewardship Grants program provided grants and other assistance on a competitive basis to individuals and groups engaged in local voluntary conservation efforts. The program was initiated during fiscal year 2002, but was discontinued after fiscal year 2007 grants were awarded. Minimal balances remain in this account.

**MIGRATORY BIRD CONSERVATION ACCOUNT**  
**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-5137-0-2-303	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Migratory Bird Hunting Stamps .....	22	22	22
0201 Migratory Bird Hunting Stamps .....			14
0202 Custom Duties on Arms and Ammunition .....	34	30	30
0299 Total receipts and collections .....	56	52	66
0400 Total: Balances and collections .....	56	52	66
Appropriations:			
0500 Migratory Bird Conservation Account .....	-56	-52	-52
0501 Migratory Bird Conservation Account .....			-14
0599 Total appropriations .....	-56	-52	-66
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 14-5137-0-2-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Printing and sale of duck stamps .....	1	1	1
0003 Acquisition of refuges and other areas .....	55	51	51
0900 Total new obligations .....	56	52	52
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	9	9
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	56	52	52
1260 Appropriations, mandatory (total) .....	56	52	52
1930 Total budgetary resources available .....	65	61	61
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	18	14
3010 Obligations incurred, unexpired accounts .....	56	52	52
3020 Outlays (gross) .....	-59	-56	-54
3050 Unpaid obligations, end of year .....	18	14	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	18	14
3200 Obligated balance, end of year .....	18	14	12

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	56	52	52
Outlays, gross:			
4100 Outlays from new mandatory authority .....	29	36	36
4101 Outlays from mandatory balances .....	30	20	18
4110 Outlays, gross (total) .....	59	56	54
4180 Budget authority, net (total) .....	56	52	52
4190 Outlays, net (total) .....	59	56	54

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	56	52	52
Outlays .....	59	56	54
Legislative proposal, subject to PAYGO:			
Budget Authority .....			14
Outlays .....			10
Total:			
Budget Authority .....	56	52	66
Outlays .....	59	56	64

Funds deposited into this account include import duties on arms and ammunition and receipts in excess of Postal Service expenses from the sale of migratory bird hunting and conservation stamps. These funds are used to locate and acquire land and water for migratory bird refuges and waterfowl production areas.

**Object Classification** (in millions of dollars)

Identification code 14-5137-0-2-303	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
32.0 Land and structures .....	45	41	41
99.0 Direct obligations .....	55	51	51
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	56	52	52

**Employment Summary**

Identification code 14-5137-0-2-303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	63	65	65

**MIGRATORY BIRD CONSERVATION ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 14-5137-4-2-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Printing and sale of duck stamps .....			1
0003 Acquisition of refuges and other areas .....			13
0900 Total new obligations .....			14
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			14
1260 Appropriations, mandatory (total) .....			14
1930 Total budgetary resources available .....			14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			14
3020 Outlays (gross) .....			-10
3050 Unpaid obligations, end of year .....			4
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			14
Outlays, gross:			
4100 Outlays from new mandatory authority .....			10
4180 Budget authority, net (total) .....			14
4190 Outlays, net (total) .....			10

**Object Classification** (in millions of dollars)

Identification code 14-5137-4-2-303	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
32.0 Land and structures .....			13
99.9 Total new obligations .....			14

**Employment Summary**

Identification code 14-5137-4-2-303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			10

**NORTH AMERICAN WETLANDS CONSERVATION FUND**

For expenses necessary to carry out the provisions of the North American Wetlands Conservation Act, as amended (16 U.S.C. 4401 et seq.), \$39,425,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-5241-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
0200 Fines, Penalties, and Forfeitures from Migratory Bird Treaty Act, North American Wetlands Conservation Fund .....	1	1	1

0400 Total: Balances and collections .....	2	2	2
Appropriations:			
0500 North American Wetlands Conservation Fund .....	-1	-1	-1
0799 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 14-5241-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Wetlands conservation projects .....	39	37	41
0004 Administration .....	1	1	1
0900 Total new obligations .....	40	38	42
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	5	5
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	7	4	
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	8	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	36	36	40
1160 Appropriation, discretionary (total) .....	36	36	40
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
1900 Budget authority (total) .....	37	37	41
1930 Total budgetary resources available .....	45	43	47
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	75	74	67
3010 Obligations incurred, unexpired accounts .....	40	38	42
3020 Outlays (gross) .....	-40	-44	-46
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	74	67	62
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	75	74	67
3200 Obligated balance, end of year .....	74	67	62
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	36	36	40
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	7	8
4011 Outlays from discretionary balances .....	35	34	36
4020 Outlays, gross (total) .....	39	41	44
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	1	2	1
4110 Outlays, gross (total) .....	1	3	2
4180 Budget authority, net (total) .....	37	37	41
4190 Outlays, net (total) .....	40	44	46

Funds deposited into this account include direct appropriations and fines, penalties, and forfeitures collected under the authority of the Migratory Bird Treaty Act (16 U.S.C. 707) and interest on obligations held in the Federal Aid in Wildlife Restoration Fund. The North American Wetlands Conservation Fund supports wetlands conservation projects approved by the Migratory Bird Conservation Commission. A portion of receipts to the Sport Fish Restoration Account is also available for coastal wetlands conservation projects.

These projects help fulfill the habitat protection, restoration, and enhancement goals of the North American Waterfowl Management Plan and the Tripartite Agreement among Mexico, Canada, and the United States. These projects may involve partnerships with public agencies and private entities, with non-Federal matching contributions, for the long-term conservation

**NORTH AMERICAN WETLANDS CONSERVATION FUND—Continued**  
of habitat for migratory birds and other fish and wildlife, including species that are listed, or are candidates to be listed, under the Endangered Species Act (16 U.S.C. 1531).

Wetlands conservation projects include the obtaining of a real property interest in lands or waters, including water rights; the restoration, management or enhancement of habitat; and training and development for conservation management in Mexico. Funding may be provided for assistance for wetlands conservation projects in Canada or Mexico.

**Object Classification** (in millions of dollars)

Identification code 14-5241-0-2-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....		1	1
32.0 Land and structures .....	2	1	1
41.0 Grants, subsidies, and contributions .....	37	35	39
99.9 Total new obligations .....	40	38	42

**Employment Summary**

Identification code 14-5241-0-2-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	8	9	9

**COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND**

For expenses necessary to carry out section 6 of the Endangered Species Act of 1973, as amended (16 U.S.C. 1531 et seq.), \$56,000,000, to remain available until expended, to be derived from the Land and Water Conservation Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-5143-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	291	321	361
<b>Receipts:</b>			
0240 Payment from the General Fund, Cooperative Endangered Species Conservation Fund .....	53	63	62
0400 Total: Balances and collections .....	344	384	423
<b>Appropriations:</b>			
0500 Cooperative Endangered Species Conservation Fund .....	-23	-23	
0799 Balance, end of year .....	321	361	423

**Program and Financing** (in millions of dollars)

Identification code 14-5143-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Conservation Grants to States .....	11	14	18
0002 HCP Planning Assistance Grants .....	6	7	14
0004 Administration .....	2	2	3
0005 HCP Land Acquisition Grants to States .....	10	14	18
0006 Species Recovery Land Acquisition .....	11	16	14
0007 Payment to special fund unavailable receipt account .....	53	63	62
0900 Total new obligations .....	93	116	129
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	52	75	74
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	52	75	
1021 Recoveries of prior year unpaid obligations .....	15	4	4
1050 Unobligated balance (total) .....	67	79	78

**Budget authority:**

<b>Appropriations, discretionary:</b>			
1101 Appropriation (LWCF special fund 14 5479) .....	25	25	56
1101 Appropriation (CESCF special fund 14 5143) .....	23	23	
1160 Appropriation, discretionary (total) .....	48	48	56
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	53	63	62
1260 Appropriations, mandatory (total) .....	53	63	62
1900 Budget authority (total) .....	101	111	118
1930 Total budgetary resources available .....	168	190	196
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	75	74	67

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	180	155	116
3010 Obligations incurred, unexpired accounts .....	93	116	129
3020 Outlays (gross) .....	-103	-151	-144
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15	-4	-4
3050 Unpaid obligations, end of year .....	155	116	97
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	180	155	116
3200 Obligated balance, end of year .....	155	116	97

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	48	48	56
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	5	6
4011 Outlays from discretionary balances .....	49	83	76
4020 Outlays, gross (total) .....	50	88	82
<b>Mandatory:</b>			
4090 Budget authority, gross .....	53	63	62
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	53	63	62
4180 Budget authority, net (total) .....	101	111	118
4190 Outlays, net (total) .....	103	151	144

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	101	111	118
Outlays .....	103	151	144
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			28
Outlays .....			3
<b>Total:</b>			
Budget Authority .....	101	111	146
Outlays .....	103	151	147

The Cooperative Endangered Species Conservation Fund provides grants to States and U.S. territories for species and habitat conservation actions on non-federal lands, including habitat acquisition, conservation planning, habitat restoration, status surveys, captive propagation and reintroduction, research, and education for species that are listed, or species that are candidates for listing, as threatened or endangered. These activities support recovery efforts and Habitat Conservation Plans in partnership with local governments and other interested parties to protect species. Appropriations to this account are financed by the Land and Water Conservation Fund and by a permanent fund that receives a transfer of General Funds from the U.S. Treasury. This permanent fund receives a transfer equal to five percent of receipts deposited to the Federal Aid in Wildlife and Sport Fish Restoration accounts plus Lacey Act receipts over \$500,000. Funds made available for grants from the permanent account are subject to annual appropriations authorized by Congress.

**Mandatory Land and Water Conservation Fund.**—The Administration proposes to permanently authorize annual mandatory funding without further appropriation or fiscal year limitation for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs, including the Cooperative

Endangered Species Conservation Fund program, beginning in fiscal year 2014.

Object Classification (in millions of dollars)

Identification code 14-5143-0-2-302	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	39	51	65
94.0 Financial transfers .....	53	63	62
99.0 Direct obligations .....	93	115	128
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	93	116	129

Employment Summary

Identification code 14-5143-0-2-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	16	18	18

COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 14-5143-4-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 HCP Land Acquisition Grants to States .....			10
0006 Species Recovery Land Acquisition .....			8
0900 Total new obligations (object class 41.0) .....			18
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from the LWCF [14-5005] .....			28
1260 Appropriations, mandatory (total) .....			28
1930 Total budgetary resources available .....			28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			18
3020 Outlays (gross) .....			-3
3050 Unpaid obligations, end of year .....			15
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			15
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			28
Outlays, gross:			
4100 Outlays from new mandatory authority .....			3
4180 Budget authority, net (total) .....			28
4190 Outlays, net (total) .....			3

NATIONAL WILDLIFE REFUGE FUND

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5091-0-2-806	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 National Wildlife Refuge Fund .....	8	8	8
0400 Total: Balances and collections .....	8	8	8

Appropriations:			
0500 National Wildlife Refuge Fund .....	-8	-8	-8
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 14-5091-0-2-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Expenses for sales .....	2	2	2
0002 Civilian Pay .....	1	1	1
0003 Payments to counties .....	18	19	5
0900 Total new obligations .....	21	22	8
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14	14	
1160 Appropriation, discretionary (total) .....	14	14	
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	8	8	8
1260 Appropriations, mandatory (total) .....	8	8	8
1900 Budget authority (total) .....	22	22	8
1930 Total budgetary resources available .....	26	27	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	4
3010 Obligations incurred, unexpired accounts .....	21	22	8
3020 Outlays (gross) .....	-21	-20	-8
3050 Unpaid obligations, end of year .....	2	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	4
3200 Obligated balance, end of year .....	2	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	14	14	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	14	
Mandatory:			
4090 Budget authority, gross .....	8	8	8
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	6	6
4101 Outlays from mandatory balances .....	2		2
4110 Outlays, gross (total) .....	7	6	8
4180 Budget authority, net (total) .....	22	22	8
4190 Outlays, net (total) .....	21	20	8

The Refuge Revenue Sharing Act (16 U.S.C. 715s) authorizes the Service to make payments to counties in which Service fee lands are located from revenues resulting from the sale of products from Service lands, less expenses for producing revenue and activities related to revenue sharing. While direct appropriations have previously been used to supplement revenues, the 2014 Budget eliminates discretionary funding as formulas contained in the Act do not account for the economic benefits which refuges provide.

Object Classification (in millions of dollars)

Identification code 14-5091-0-2-806	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....		1	1
41.0 Grants, subsidies, and contributions .....	18	19	5
99.0 Direct obligations .....	20	22	8
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	21	22	8

**NATIONAL WILDLIFE REFUGE FUND—Continued**  
**Employment Summary**

Identification code 14–5091–0–2–806	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	14	11	11

**RECREATION ENHANCEMENT FEE PROGRAM, FWS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14–5252–0–2–303	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Recreation Enhancement Fee, Fish and Wildlife Service .....	5	5	5
0400 Total: Balances and collections .....	5	5	5
Appropriations:			
0500 Recreation Enhancement Fee Program, FWS .....	–5	–5	–5
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 14–5252–0–2–303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	5	5	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	5	5	5
1260 Appropriations, mandatory (total) .....	5	5	5
1930 Total budgetary resources available .....	9	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	2
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	–5	–6	–7
3050 Unpaid obligations, end of year .....	3	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	2
3200 Obligated balance, end of year .....	3	2	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	4	4
4101 Outlays from mandatory balances .....	1	2	3
4110 Outlays, gross (total) .....	5	6	7
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	5	6	7

The Federal Lands Recreation Enhancement Act (FLREA) was passed on December 8, 2004, as part of the Omnibus Appropriations bill for 2005. Approximately 164 U.S. Fish and Wildlife Service sites collect entrance fees and other receipts. All receipts are deposited into a recreation fee account of which at least 80 percent is returned to the collecting site.

The recreation fee program demonstrates the feasibility of user generated cost recovery for the operation and maintenance of recreation areas, visitor services improvements, and habitat enhancement projects on federal lands. Fees are used primarily at the site to improve visitor access, enhance public safety and security, address maintenance needs, enhance resource protection, and cover the costs of collection. Recreation fees are often used

at U.S. Fish and Wildlife Service sites to fund student interns and for various youth programs focusing on hunting, fishing, wildlife observation, wildlife photography, environmental education, and environmental interpretation. FLREA authorizes this program through 2014.

The Administration proposes to permanently reauthorize the Department of the Interior's and the Department of Agriculture's recreation fee programs under the Federal Lands Recreation Enhancement Act, which is set to expire on December 8, 2014.

**Object Classification** (in millions of dollars)

Identification code 14–5252–0–2–303	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1	1	1
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Direct obligations .....	4	4	4
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	5	5	5

**Employment Summary**

Identification code 14–5252–0–2–303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	35	32	32

**FEDERAL AID IN WILDLIFE RESTORATION**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14–5029–0–2–303	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	384	556	595
Receipts:			
0200 Excise Taxes, Federal Aid to Wildlife Restoration Fund .....	556	595	559
0240 Earnings on Investments, Federal Aid to Wildlife Restoration Fund .....	14	15	16
0299 Total receipts and collections .....	570	610	575
0400 Total: Balances and collections .....	954	1,166	1,170
Appropriations:			
0500 Federal Aid in Wildlife Restoration .....	–398	–571	–611
0799 Balance, end of year .....	556	595	559

**Program and Financing** (in millions of dollars)

Identification code 14–5029–0–2–303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Multi-state conservation grant program .....	4	3	3
0004 Administration .....	10	10	11
0005 Wildlife restoration grants .....	371	518	573
0006 NAWCF (interest used for grants) .....	17	14	15
0007 Section 10 hunter education .....	8	8	8
0900 Total new obligations .....	410	553	610
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	147	167	205
1021 Recoveries of prior year unpaid obligations .....	32	20	20
1050 Unobligated balance (total) .....	179	187	225
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	398	571	611
1260 Appropriations, mandatory (total) .....	398	571	611
1930 Total budgetary resources available .....	577	758	836

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	167	205	226
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	394	395	461
3010	Obligations incurred, unexpired accounts .....	410	553	610
3020	Outlays (gross) .....	-377	-467	-544
3040	Recoveries of prior year unpaid obligations, unexpired .....	-32	-20	-20
3050	Unpaid obligations, end of year .....	395	461	507
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	394	395	461
3200	Obligated balance, end of year .....	395	461	507
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	398	571	611
Outlays, gross:				
4100	Outlays from new mandatory authority .....	118	171	183
4101	Outlays from mandatory balances .....	259	296	361
4110	Outlays, gross (total) .....	377	467	544
4180	Budget authority, net (total) .....	398	571	611
4190	Outlays, net (total) .....	377	467	544
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	913	735	839
5001	Total investments, EOY: Federal securities: Par value .....	735	839	906

The Federal Aid in Wildlife Restoration Act (16 U.S.C. 669 et seq.), now known as the Pittman-Robertson Wildlife Restoration Act, created a program to fund the selection, restoration, rehabilitation and improvement of wildlife habitat, hunter education and safety, and wildlife management research. Under the program, States, Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Northern Mariana Islands are allocated funds from an excise tax on sporting arms and ammunition, handguns, and a tax on certain archery equipment. States are reimbursed up to 75 percent of the cost of approved wildlife and hunter education projects.

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000 (P.L. 106-408) amends the Pittman-Robertson Wildlife Restoration Act and authorizes a Multistate Conservation Grant Program, as well as a firearm and bow hunter education and safety enhancement program that provides grants to the States.

The North American Wetlands Conservation Act (16 U.S.C. 4407) amends the Pittman-Robertson Wildlife Restoration Act and requires the Secretary of the Treasury to invest the portion of the fund not required for current year spending in interest-bearing obligations to be available for wetlands conservation projects.

**Object Classification** (in millions of dollars)

Identification code 14-5029-0-2-303	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	5	5	5
12.1	Civilian personnel benefits .....	2	2	2
23.1	Rental payments to GSA .....	1	1	1
25.1	Advisory and assistance services .....	1	.....	.....
25.3	Other goods and services from Federal sources .....	4	2	2
25.7	Operation and maintenance of equipment .....	.....	1	1
32.0	Land and structures .....	1	.....	.....
41.0	Grants, subsidies, and contributions .....	396	540	597
99.0	Direct obligations .....	410	551	608
99.5	Below reporting threshold .....	.....	2	2
99.9	Total new obligations .....	410	553	610

**Employment Summary**

Identification code 14-5029-0-2-303	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	53	53	53

**COASTAL IMPACT ASSISTANCE**

**Program and Financing** (in millions of dollars)

Identification code 14-5579-0-2-306	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Administration .....	3	3	3
0002	Grants to States .....	480	85	.....
0900	Total new obligations .....	483	88	3
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	.....	102	14
1011	Unobligated balance transfer from other accts [14-5572] ....	585	.....	.....
1050	Unobligated balance (total) .....	585	102	14
1930	Total budgetary resources available .....	585	102	14
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	102	14	11
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	578	544
3010	Obligations incurred, unexpired accounts .....	483	88	3
3020	Outlays (gross) .....	-85	-122	-130
3031	Unpaid obligations transferred from other accts [14-5572] .....	180	.....	.....
3050	Unpaid obligations, end of year .....	578	544	417
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	.....	578	544
3200	Obligated balance, end of year .....	578	544	417
<b>Budget authority and outlays, net:</b>				
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	85	122	130
4190	Outlays, net (total) .....	85	122	130

The Energy Policy Act of 2005 (P.L. 109-58) amends section 31 of the Outer Continental Shelf (OCS) Lands Act (43 U.S.C. 1356 et seq.) to require that for each of the fiscal years 2007 through 2010, \$250,000,000 in OCS revenues be distributed annually to coastal States that have submitted approved coastal impact assistance plans. The formula for distribution is based on the amount of qualified OCS revenues generated off the coastline of each producing State. In addition, 35 percent of each State's allocable share is to be distributed to coastal political subdivisions based on population, coastline, and distance to applicable OCS leases. In fiscal year 2011, this program was transferred from the Bureau of Ocean Energy Management, Regulation, and Enforcement to the U.S. Fish and Wildlife Service.

**Object Classification** (in millions of dollars)

Identification code 14-5579-0-2-306	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	2	2
25.2	Other services from non-Federal sources .....	1	1	1
41.0	Grants, subsidies, and contributions .....	480	85	.....
99.0	Direct obligations .....	482	88	3
99.5	Below reporting threshold .....	1	.....	.....
99.9	Total new obligations .....	483	88	3

COASTAL IMPACT ASSISTANCE—Continued  
Employment Summary

Identification code 14-5579-0-2-306	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	11	15	15

MISCELLANEOUS PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-9927-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Rents and Charges for Quarters, Fish and Wildlife Service .....	3	3	3
0221 Gifts, Community Partnership Enhancement .....		1	1
0240 Transfer from Lahontan Valley and Pyramid Lake Fish and Wildlife Fund .....			1
Adjustments:			
0290 Adjustment - receipts rounding issue .....	1		
0299 Total receipts and collections .....	4	4	5
0400 Total: Balances and collections .....	4	4	5
Appropriations:			
0500 Miscellaneous Permanent Appropriations .....	-4	-4	-4
0799 Balance, end of year .....			1

Program and Financing (in millions of dollars)

Identification code 14-9927-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous Permanents .....	3	4	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	6
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1260 Appropriations, mandatory (total) .....	4	4	4
1930 Total budgetary resources available .....	9	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4101 Outlays from mandatory balances .....	3	3	3
4110 Outlays, gross (total) .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

*Operation and maintenance of quarters.*—Revenue from rental of Government quarters is deposited in this account for use in the operation and maintenance of such quarters for the U.S. Fish and Wildlife Service, pursuant to Public Law 98-473, Section 320; 98 Stat. 1874, as amended.

*Proceeds from sales, water resources development projects.*—Receipts collected from the sale of timber and crops from National Wildlife Refuge System lands leased or licensed from the Department of the Army may be used to pay the costs of production of the timber and crops and for managing wildlife habitat, 16 U.S.C. 460(d).

*Lahontan Valley and Pyramid Lake Fish and Wildlife Fund.*—Under the Truckee-Carson Pyramid Lake Water Rights Settlement Act of 1990, as amended, the Lahontan Valley and Pyramid Lake Fish and Wildlife Fund receives revenues and donations from non-Federal parties to support the restoration and enhancement of wetlands in the Lahontan Valley and to restore and protect the Pyramid Lake fishery. Revenues received from the Bureau of Reclamation's Washoe Project in excess of operation and maintenance costs for Stampede Reservoir are available without further appropriation. Donations made for express purposes and State cost-sharing funds are available without further appropriation. The Secretary of the Interior is also authorized to deposit proceeds from the sale of certain lands and interests in lands into the Pyramid Lake Fish and Wildlife Fund.

*Community Partnership Enhancement.*—Under the National Wildlife Refuge System Volunteer and Community Partnership Enhancement Act of 1998 (Public Law 105-242, dated October 5, 1998), the Service is authorized to enter into cooperative agreements with nonprofit organizations, academic institutions, or State and local governments to construct, operate, maintain, or improve refuge facilities and services, and to promote volunteer outreach and education programs.

Object Classification (in millions of dollars)

Identification code 14-9927-0-2-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	1	2	2
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	3	4	4

Employment Summary

Identification code 14-9927-0-2-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

UNITED STATES FISH AND WILDLIFE SERVICE—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

The Department of Agriculture: Forest Service: "Forest Pest Management."  
The Department of Transportation: Federal Highway Administration: "Federal-Aid Highways."  
The Department of the Interior: Bureau of Land Management: "Southern Nevada Public Lands Management", "Energy Policy Act - Permit Processing."  
The Department of the Interior: Department-wide Programs: "Wildland Fire Management", "Natural Resource Damage Assessment and Restoration Fund" and "Central Hazardous Materials Fund."

Trust Funds

SPORT FISH RESTORATION

Program and Financing (in millions of dollars)

Identification code 14-8151-0-7-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payments to States for sport fish restoration .....	394	402	383
0003 North American wetlands conservation grants .....	18	18	16
0004 Coastal wetlands conservation grants .....	15	16	16
0005 Clean Vessel Act- pumpout stations grants .....	12	13	12
0006 Administration .....	11	11	11
0007 National communication & outreach .....	12	13	12
0008 Non-trailerable recreational vessel access .....	10	13	12
0009 Multi-State conservation grants .....	3	3	3
0010 Marine Fisheries Commissions & Boating Council .....	1	1	1
0900 Total new obligations .....	476	490	466

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	222	228	232

1021	Recoveries of prior year unpaid obligations .....	48	32	32
1050	Unobligated balance (total) .....	270	260	264
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	626	668	607
1220	Appropriations transferred to other accts [96–8333] .....	-79	-85	-77
1220	Appropriations transferred to other accts [70–8149] .....	-113	-121	-109
1260	Appropriations, mandatory (total) .....	434	462	421
1930	Total budgetary resources available .....	704	722	685
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	228	232	219
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	516	517	515
3010	Obligations incurred, unexpired accounts .....	476	490	466
3020	Outlays (gross) .....	-427	-460	-427
3040	Recoveries of prior year unpaid obligations, unexpired .....	-48	-32	-32
3050	Unpaid obligations, end of year .....	517	515	522
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	516	517	515
3200	Obligated balance, end of year .....	517	515	522
<b>Budget authority and outlays, net:</b>				
	Mandatory:			
4090	Budget authority, gross .....	434	462	421
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	130	139	126
4101	Outlays from mandatory balances .....	297	321	301
4110	Outlays, gross (total) .....	427	460	427
4180	Budget authority, net (total) .....	434	462	421
4190	Outlays, net (total) .....	427	460	427

The Federal Aid in Sport Fish Restoration Act, now known as the Dingell-Johnson Sport Fish Restoration Act (16 U.S.C. 777 et seq.), created a fishery resources, conservation, and restoration program funded by excise taxes on fishing equipment and certain other sport fish related products.

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000 (P.L. 106–408) amends the Dingell-Johnson Sport Fish Restoration Act and authorizes a Multistate Conservation Grant Program. It also provides funding for several fisheries commissions and the Sport Fishing and Boating Partnership Council.

The Sport Fish Restoration Act specifies that the net deposits made into the Sport Fish Restoration and Boating Safety Trust Fund, minus the distributions for administrative expenses, special commissions, the Boating Council, and Multistate Grants, be distributed to support the following:

*The Coastal Wetlands Planning, Protection, and Restoration Act (16 U.S.C. 3951 et seq.)*—18.5 percent of net deposits, or amounts collected in small engine fuels excise taxes as provided by 26 U.S.C. 9504(b), whichever is greater, are to be made available and distributed as follows: 70 percent to the Army Corps of Engineers for priority project and conservation planning activities in Louisiana; 15 percent to the U.S. Fish and Wildlife Service for coastal wetlands conservation grants; and 15 percent to the U.S. Fish and Wildlife Service for wetlands conservation projects per the North American Wetlands Conservation Act (16 U.S.C. 4407).

*Boating Safety Programs*—18.5 percent of net deposits are to be made available to the Department of Homeland Security (United States Coast Guard) for State recreational boating safety programs.

*The Clean Vessel Act*—2 percent of net deposits are to be made available to the Secretary of the Interior for grants to States, as determined through a competitive award process, to carry out projects for the construction, renovation, operation, and maintenance of pumpout stations and waste reception facilities.

*National Outreach and Communications Programs*—2 percent of net deposits are to be made available to the Secretary of the Interior to develop national and State outreach plans to promote safe fishing and boating opportunities and the conservation of aquatic resources.

*Boating Infrastructure Programs*—2 percent of net deposits are to be made available to the Secretary of Interior to make grants to States, as determined through a competitive award process, for the development and maintenance of facilities for transient non-trailerable recreational vessels.

The remaining 57 percent is provided to the States, Puerto Rico, Guam, the Virgin Islands, American Samoa, the Northern Mariana Islands, and the District of Columbia for up to 75 percent of the cost of approved projects including: research into fisheries problems, surveys and inventories of fish populations, acquisition and improvement of fish habitat, and provision of access for public use.

**Object Classification** (in millions of dollars)

Identification code 14–8151–0–7–303	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	6	6	6
12.1	Civilian personnel benefits .....	2	2	2
23.1	Rental payments to GSA .....	1	1	1
25.1	Advisory and assistance services .....	1		
25.2	Other services from non-Federal sources .....	1		
25.3	Other goods and services from Federal sources .....	4	1	1
25.7	Operation and maintenance of equipment .....		1	1
41.0	Grants, subsidies, and contributions .....	461	479	455
99.9	Total new obligations .....	476	490	466

**Employment Summary**

Identification code 14–8151–0–7–303	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	60	60	60

**CONTRIBUTED FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14–8216–0–7–302	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....			
	Receipts:			
0220	Deposits, Contributed Funds, Fish and Wildlife Service .....	3	3	3
0400	Total: Balances and collections .....	3	3	3
	Appropriations:			
0500	Contributed Funds .....	-3	-3	-3
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 14–8216–0–7–302	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	3	5	5
<b>Budgetary Resources:</b>				
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	6	6	4
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	3	3	3
1260	Appropriations, mandatory (total) .....	3	3	3
1930	Total budgetary resources available .....	9	9	7
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	6	4	2

CONTRIBUTED FUNDS—Continued  
Program and Financing—Continued

Identification code 14-8216-0-7-302	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	3	5	5
3020 Outlays (gross) .....	-4	-5	-4
3050 Unpaid obligations, end of year .....	1	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	4	4	3
4110 Outlays, gross (total) .....	4	5	4
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	4	5	4

Donated funds support activities such as endangered species projects, refuge and fishery operations and maintenance, migratory bird conservation projects and invasive species mitigation projects.

Object Classification (in millions of dollars)

Identification code 14-8216-0-7-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....		1	1
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	1	1	1
25.2 Other services from non-Federal sources .....		1	1
26.0 Supplies and materials .....		1	1
41.0 Grants, subsidies, and contributions .....		1	1
99.0 Direct obligations .....	1	4	4
99.5 Below reporting threshold .....	2	1	1
99.9 Total new obligations .....	3	5	5

Employment Summary

Identification code 14-8216-0-7-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	16	18	18

ADMINISTRATIVE PROVISIONS

The United States Fish and Wildlife Service may carry out the operations of Service programs by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities. Appropriations and funds available to the United States Fish and Wildlife Service shall be available for repair of damage to public roads within and adjacent to reservation areas caused by operations of the Service; options for the purchase of land at not to exceed \$1 for each option; facilities incident to such public recreational uses on conservation areas as are consistent with their primary purpose; and the maintenance and improvement of aquaria, buildings, and other facilities under the jurisdiction of the Service and to which the United States has title, and which are used pursuant to law in connection with management, and investigation of fish and wildlife resources: Provided, That notwithstanding 44 U.S.C. 501, the Service may, under cooperative cost sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share at least one-half the cost of printing either in cash or services and the Service determines the cooperator is capable of meeting accepted quality standards:

Provided further, That the Service may accept donated aircraft as replacements for existing aircraft.

NATIONAL PARK SERVICE

Federal Funds

OPERATION OF THE NATIONAL PARK SYSTEM

For expenses necessary for the management, operation, and maintenance of areas and facilities administered by the National Park Service and for the general administration of the National Park Service, \$2,284,920,000, of which \$9,917,000 for planning and interagency coordination in support of Everglades restoration and \$73,040,000 for maintenance, repair, or rehabilitation projects for constructed assets shall remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-1036-0-1-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Park management .....	2,066	2,071	2,132
0002 External administrative costs .....	168	173	171
0799 Total direct obligations .....	2,234	2,244	2,303
0801 Reimbursable program .....	28	28	28
0900 Total new obligations .....	2,262	2,272	2,331

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	42	43	52
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	43	44	53
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,240	2,250	2,285
1130 Appropriations permanently reduced .....	-4		
1160 Appropriation, discretionary (total) .....	2,236	2,250	2,285
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	30	30	30
1750 Spending auth from offsetting collections, disc (total) .....	30	30	30
1900 Budget authority (total) .....	2,266	2,280	2,315
1930 Total budgetary resources available .....	2,309	2,324	2,368
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	43	52	37

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	538	471	494
3010 Obligations incurred, unexpired accounts .....	2,262	2,272	2,331
3011 Obligations incurred, expired accounts .....	32		
3020 Outlays (gross) .....	-2,340	-2,248	-2,307
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-20		
3050 Unpaid obligations, end of year .....	471	494	517
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	538	471	494
3200 Obligated balance, end of year .....	471	494	517

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	2,266	2,280	2,315
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,877	1,734	1,760
4011 Outlays from discretionary balances .....	463	514	547
4020 Outlays, gross (total) .....	2,340	2,248	2,307
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-30	-30	-30
4180 Budget authority, net (total) .....	2,236	2,250	2,285
4190 Outlays, net (total) .....	2,310	2,218	2,277

The National Park Service administers 398 areas and 84.4 million acres of land in 49 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, Samoa, and the Northern Marianas. In 2012, park visits totaled 287 million. This annual appropriation funds the operation of individual units of the National Park System through two budget activities. Funds within this appropriation are available for one year, with the exception of repair and rehabilitation funds and funds for the cooperative restoration of the Everglades, which are both funded for two years.

The first activity, Park Management, covers the management and operation of park areas, and is divided into five subactivities that represent functional areas:

**Resources stewardship.**—Encompasses resource management operations that provide for the protection and preservation of the unique natural, cultural, and historical features of units in the National Park System.

**Visitor services.**—Includes operations that provide orientation, educational, and interpretive programs to enhance the visitor's park experience. It also provides for the efficient management of concession contracts, commercial use authorizations, and franchise fees for the benefit of visitors and the protection of resources.

**Park protection.**—Provides for the protection of park resources, visitors, and staff. Funding supports law enforcement operations that reduce vandalism and other destruction of park resources, safety and public health operations, and the operations of the United States Park Police.

**Facility operations and maintenance.**—Encompasses the maintenance and protection of buildings, other facilities, lands required to accommodate visitor use, and other government investments.

**Park support.**—Covers the management, supervision, and administrative operations for park areas and partnerships.

The second activity, External Administrative Costs, funds costs which are largely determined by organizations outside the National Park Service and for which funding requirements are therefore less flexible. The requirements for these costs are mandated in accordance with applicable laws. To promote the efficient performance of the National Park Service, these costs are most effectively managed on a centralized basis.

**Object Classification** (in millions of dollars)

Identification code 14-1036-0-1-303	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	895	897	904
11.3 Other than full-time permanent .....	143	141	143
11.5 Other personnel compensation .....	50	51	59
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	1,089	1,090	1,107
12.1 Civilian personnel benefits .....	345	349	353
21.0 Travel and transportation of persons .....	30	32	32
22.0 Transportation of things .....	25	25	25
23.1 Rental payments to GSA .....	60	67	69
23.2 Rental payments to others .....	1	1	3
23.3 Communications, utilities, and miscellaneous charges .....	79	79	79
24.0 Printing and reproduction .....	7	7	7
25.1 Advisory and assistance services .....	5	4	4
25.2 Other services from non-Federal sources .....	340	304	333
25.3 Other goods and services from Federal sources .....	6	6	6
25.4 Operation and maintenance of facilities .....	20	20	20
25.6 Medical care .....	1	1	1
25.7 Operation and maintenance of equipment .....	7	7	8
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	116	122	122
31.0 Equipment .....	27	54	54
32.0 Land and structures .....	16	16	20
41.0 Grants, subsidies, and contributions .....	59	59	59
99.0 Direct obligations .....	2,234	2,244	2,303

99.0 Reimbursable obligations .....	28	28	28
99.9 Total new obligations .....	2,262	2,272	2,331

**Employment Summary**

Identification code 14-1036-0-1-303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	17,015	16,869	16,916
2001 Reimbursable civilian full-time equivalent employment .....	304	304	304
3001 Allocation account civilian full-time equivalent employment .....	805	758	692

**PARK PARTNERSHIP PROJECT GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 14-2645-0-1-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Park Partnership Projects .....	1		
0900 Total new obligations (object class 25.2) .....	1		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1930 Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	7	4
3010 Obligations incurred, unexpired accounts .....	1		
3020 Outlays (gross) .....	-6	-3	-2
3050 Unpaid obligations, end of year .....	7	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	7	4
3200 Obligated balance, end of year .....	7	4	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	6	3	2
4190 Outlays, net (total) .....	6	3	2

Park Partnership funds are used to complete projects with partner donations. All Federal funds must be matched on a 50/50 basis, derived from non-Federal sources in the form of cash, assets, or a pledge of donation guaranteed by an irrevocable letter of credit. Grants are administered under the existing NPS partnership authority. No funds are proposed in FY 2014.

**UNITED STATES PARK POLICE**

The United States Park Police funding is now included within the Operation of the National Park System appropriation. Minimal balances remain in this account.

**NATIONAL RECREATION AND PRESERVATION**

*For expenses necessary to carry out recreation programs, natural programs, cultural programs, heritage partnership programs, environmental compliance and review, international park affairs, and grant administration, not otherwise provided for, \$52,035,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

NATIONAL RECREATION AND PRESERVATION—Continued  
Program and Financing (in millions of dollars)

Identification code 14-1042-0-1-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Recreation programs .....	1	1	1
0002 Natural programs .....	13	13	13
0003 Cultural programs .....	25	25	25
0005 Grant administration .....	2	2	2
0006 International park affairs .....	2	2	2
0008 Heritage partnership programs .....	17	17	9
0799 Total direct obligations .....	60	60	52
0801 Reimbursable program .....	1	1	1
0900 Total new obligations .....	61	61	53

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	60	60	52
1160 Appropriation, discretionary (total) .....	60	60	52
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	61	61	53
1930 Total budgetary resources available .....	61	61	53

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	49	46	47
3010 Obligations incurred, unexpired accounts .....	61	61	53
3020 Outlays (gross) .....	-63	-60	-59
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	46	47	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	49	46	47
3200 Obligated balance, end of year .....	46	47	41

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	61	61	53
Outlays, gross:			
4010 Outlays from new discretionary authority .....	36	40	35
4011 Outlays from discretionary balances .....	27	20	24
4020 Outlays, gross (total) .....	63	60	59
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	60	60	52
4190 Outlays, net (total) .....	62	59	58

The National Recreation and Preservation appropriation covers a broad range of activities relating to outdoor recreation planning, preservation of natural, cultural and historic resources, and environmental compliance. These programs provide a central point at the Federal level for recreation and preservation planning; the coordination of Federal and State policies, procedures and guidelines; and the administration of technical and financial assistance to Federal, State, and local governments and private organizations. Support is provided to the National Historic Preservation Program to develop a national inventory of historic properties, set standards for historic preservation, and provide technical and financial preservation assistance. Staff resources are also provided to coordinate a number of international assistance programs.

Object Classification (in millions of dollars)

Identification code 14-1042-0-1-303	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	20	20	20
11.3 Other than full-time permanent .....	3	3	3
11.9 Total personnel compensation .....	23	23	23

12.1 Civilian personnel benefits .....	7	7	7
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	3
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	25	25	17
99.0 Direct obligations .....	60	60	52
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	61	61	53

Employment Summary

Identification code 14-1042-0-1-303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	265	264	262
2001 Reimbursable civilian full-time equivalent employment .....	7	7	7

URBAN PARK AND RECREATION FUND

For expenses necessary to carry out the Urban Park and Recreation Recovery Act of 1978 (16 U.S.C. 2501-2514), \$10,000,000, to remain available until expended, to be derived from the Land and Water Conservation Fund.

Program and Financing (in millions of dollars)

Identification code 14-1031-0-1-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 UPARR Grants .....			7
0900 Total new obligations (object class 41.0) .....			7

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			10
1160 Appropriation, discretionary (total) .....			10
1930 Total budgetary resources available .....			10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			3

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			7
3020 Outlays (gross) .....			-1
3050 Unpaid obligations, end of year .....			6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			6

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			10
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4180 Budget authority, net (total) .....			10
4190 Outlays, net (total) .....			1

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....			10
Outlays .....			1
Legislative proposal, subject to PAYGO:			
Budget Authority .....			5
Total:			
Budget Authority .....			15
Outlays .....			1

The Urban Park and Recreation Recovery Act of 1978 authorizes matching grants and technical assistance to eligible economically distressed urban communities to revitalize and improve recreation opportunities. The program provides direct Federal grants to local governments to rehabilitate existing indoor and outdoor recreation facilities; to demonstrate innovative ways to enhance

park and recreation opportunities; and to develop local Recovery Action Programs to identify needs, priorities and strategies for revitalization of the total recreation system.

**Mandatory Land and Water Conservation Fund.**—The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs, including the Urban Park and Recreation Fund program, beginning in fiscal year 2014.

**Employment Summary**

Identification code 14–1031–0–1–303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			4

**URBAN PARK AND RECREATION FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 14–1031–4–1–303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			3
0900 Total new obligations (object class 41.0) .....			3
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [14–5005] .....			5
1260 Appropriations, mandatory (total) .....			5
1900 Budget authority (total) .....			5
1930 Total budgetary resources available .....			5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			3
3050 Unpaid obligations, end of year .....			3
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			5
4180 Budget authority, net (total) .....			5

**CONSTRUCTION**

*For construction, improvements, repair, or replacement of physical facilities, including modifications authorized by section 104 of the Everglades National Park Protection and Expansion Act of 1989 (16 U.S.C. 410r-8), \$159,961,000, to remain available until expended: Provided, That, notwithstanding any other provision of law, a single procurement for the irrigation project on the National Mall may be issued which includes the full scope of the project: Provided further, That the solicitation and contract in the preceding proviso shall contain the clause "availability of appropriated funds" found at 48 CFR 52.232–18.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 14–1039–0–1–303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Line item construction and maintenance .....	82	184	217

0002 Special programs .....	17	20	21
0003 Construction planning .....	9	8	8
0005 Construction program management and operations .....	35	39	37
0006 Management planning .....	14	14	15
0799 Total direct obligations .....	157	265	298
0801 Reimbursable program .....	131	131	131
0900 Total new obligations .....	288	396	429

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	178	174	429
1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	190	174	429
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	160	508	160
1120 Appropriations transferred to other accts [14–1125] .....	–16		
1121 Appropriations transferred from other accts [14–1125] .....		16	
1130 Appropriations permanently reduced .....	–4	–4	
1160 Appropriation, discretionary (total) .....	140	520	160
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	158	131	131
1701 Change in uncollected payments, Federal sources .....	–26		
1750 Spending auth from offsetting collections, disc (total) .....	132	131	131
1900 Budget authority (total) .....	272	651	291
1930 Total budgetary resources available .....	462	825	720
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	174	429	291

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	515	305	252
3010 Obligations incurred, unexpired accounts .....	288	396	429
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	–487	–449	–502
3040 Recoveries of prior year unpaid obligations, unexpired .....	–12		
3041 Recoveries of prior year unpaid obligations, expired .....	–3		
3050 Unpaid obligations, end of year .....	305	252	179
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–187	–146	–146
3070 Change in uncollected pymts, Fed sources, unexpired .....	26		
3071 Change in uncollected pymts, Fed sources, expired .....	15		
3090 Uncollected pymts, Fed sources, end of year .....	–146	–146	–146
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	328	159	106
3200 Obligated balance, end of year .....	159	106	33

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	272	651	291
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	253	129
4011 Outlays from discretionary balances .....	486	196	373
4020 Outlays, gross (total) .....	487	449	502
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–173	–131	–131
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	26		
4052 Offsetting collections credited to expired accounts .....	15		
4060 Additional offsets against budget authority only (total) .....	41		
4070 Budget authority, net (discretionary) .....	140	520	160
4080 Outlays, net (discretionary) .....	314	318	371
4180 Budget authority, net (total) .....	140	520	160
4190 Outlays, net (total) .....	314	318	371

The Construction appropriation is composed of five budget activities:

**Line item construction.**—This activity provides for the construction, rehabilitation, and replacement of facilities needed to accomplish the management objectives approved for each park. Projects are categorized as facility improvement, utility systems rehabilitation, historic preservation, or natural resource preservation.

**Special programs.**—This activity includes Emergency and Un-scheduled Projects, the Seismic Safety of National Park System

CONSTRUCTION—Continued

Buildings Program, Employee Housing, Dam Safety, and Equipment Replacement.

*Construction planning.*—This activity includes the project planning function in which funds are used to prepare working drawings, specification documents, and contracts needed to construct or rehabilitate National Park Service facilities.

*Construction program management and operations.*—This activity complies with the National Academy of Public Administration recommendations to base fund construction program management through offices in Washington, D.C. and Denver, CO. The NPS design center located in Harpers Ferry, WV also is funded under this activity.

*Management planning.*—Under this activity, funding is used to prepare and update Unit Management Plans. The plans guide National Park Service actions for the protection, use, development, and management of each park unit. Funding also is used to conduct studies of alternatives for the protection of areas that may have potential for addition to the National Park System and for environmental impact planning and compliance.

Object Classification (in millions of dollars)

Identification code 14-1039-0-1-303	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	29	29	29
11.3 Other than full-time permanent .....	6	8	9
11.5 Other personnel compensation .....	1	1	2
11.9 Total personnel compensation .....	36	38	40
12.1 Civilian personnel benefits .....	10	11	12
21.0 Travel and transportation of persons .....	2	3	3
23.3 Communications, utilities, and miscellaneous charges .....	2		
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	45	134	164
25.3 Other goods and services from Federal sources .....	1		
25.4 Operation and maintenance of facilities .....	2	1	1
25.7 Operation and maintenance of equipment .....		2	2
26.0 Supplies and materials .....	3	7	7
31.0 Equipment .....	14	23	23
32.0 Land and structures .....	28	28	28
41.0 Grants, subsidies, and contributions .....		5	5
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	147	255	288
99.0 Reimbursable obligations .....	131	131	131
25.2 Allocation Account - direct: Other services from non-Federal sources .....	10	10	10
99.9 Total new obligations .....	288	396	429

Employment Summary

Identification code 14-1039-0-1-303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	426	468	456
2001 Reimbursable civilian full-time equivalent employment .....	543	543	543
3001 Allocation account civilian full-time equivalent employment .....	168	168	168

LAND ACQUISITION AND STATE ASSISTANCE

For expenses necessary to carry out the Land and Water Conservation Act of 1965, as amended (16 U.S.C. 4601-4 through 11), including administrative expenses, and for acquisition of lands or waters, or interest therein, in accordance with the statutory authority applicable to the National Park Service, \$100,391,000, to be derived from the Land and Water Conservation Fund and to remain available until expended, of which \$40,000,000 is for the State assistance program and of which \$8,986,000 shall be for the American Battlefield Protection Program grants as authorized by section 7301 of the Omnibus Public Land Management Act of 2009 (Public Law 111-11).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-5035-0-2-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Land acquisition .....	37	35	40
0002 Land acquisition administration .....	9	10	10
0004 State grant administration .....	3	3	3
0005 Grants to States .....	43	43	41
0900 Total new obligations .....	92	91	94
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	117	134	153
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		128	
1021 Recoveries of prior year unpaid obligations .....	7	7	7
1050 Unobligated balance (total) .....	124	141	160
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (LWCF) .....	102	103	100
1160 Appropriation, discretionary (total) .....	102	103	100
Contract authority, discretionary:			
1520 Contract authority and/or unobligated balance of contract authority permanently reduced .....		-30	-30
1540 Contract authority, discretionary (total) .....		-30	-30
Contract authority, mandatory:			
1600 Contract authority .....		30	30
1640 Contract authority, mandatory (total) .....		30	30
1900 Budget authority (total) .....	102	103	100
1930 Total budgetary resources available .....	226	244	260
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	134	153	166
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	103	112	96
3010 Obligations incurred, unexpired accounts .....	92	91	94
3020 Outlays (gross) .....	-76	-100	-117
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	-7	-7
3050 Unpaid obligations, end of year .....	112	96	66
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	102	111	95
3200 Obligated balance, end of year .....	111	95	65
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	102	73	70
Outlays, gross:			
4010 Outlays from new discretionary authority .....		22	23
4011 Outlays from discretionary balances .....	75	74	91
4020 Outlays, gross (total) .....	75	96	114
Mandatory:			
4090 Budget authority, gross .....		30	30
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	4	3
4180 Budget authority, net (total) .....	102	103	100
4190 Outlays, net (total) .....	76	100	117

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	102	103	100
Outlays .....	76	100	117
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			50
Outlays .....			12
<b>Total:</b>			
Budget Authority .....	102	103	150

Outlays .....	76	100	129
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The Land Acquisition and State Assistance appropriation uses funding derived from the Land and Water Conservation Fund to support NPS land acquisition activities and provide grants to States for the purchase and development of land for outdoor recreation activities. The appropriation is composed of the five following budget activities:

*Federal land acquisition administration.*—Provides for the administration of land acquisitions throughout the National Park System, ensuring compliance with existing guidelines and laws.

*Federal land acquisition.*—Provides for the acquisition of land and interests in land to preserve and protect, for public use and enjoyment, the historic, scenic, natural, and recreational values of congressionally authorized areas within the National Park System. Funds are also used for matching grants for the purchase of Civil War Battlefield lands by non-Federal entities. The 2014 Federal Land Acquisition program builds on efforts started in 2011 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals.

*State conservation grants administration.*—Provides for the administration of matching grants to States and through States to local governments, for the acquisition and development of public outdoor recreation areas and facilities.

*State conservation grants.*—This activity provides matching grants to States and local units of government for the acquisition and development of land and facilities that will provide the public access to new opportunities to engage in outdoor recreation.

*Outer Continental Shelf Oil Lease Revenues.*—The Gulf of Mexico Energy Security Act of 2006 (P.L. 109–432) allows a portion of the revenue collected from oil and gas leasing activities in qualified areas just becoming available for leasing in the Outer Continental Shelf (OCS) to be deposited to the Land and Water Conservation Fund (LWCF) and distributed to States in accordance with the Land and Water Conservation Act of 1965. The National Park Service portion of the revenue is 12.5 percent of total qualified OCS revenues. These new OCS receipts became available for expenditure as mandatory funding beginning in 2009. The Omnibus Appropriations Act, 2009, (P.L. 111–8) permits the use of up to three percent of the amounts authorized to be disbursed for costs of administration.

*Mandatory Land and Water Conservation Fund.*—The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs beginning in fiscal year 2014.

**Object Classification** (in millions of dollars)

Identification code 14–5035–0–2–303	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	10	9
12.1 Civilian personnel benefits .....	3	3	3
25.2 Other services from non-Federal sources .....	2	2	3
25.3 Other goods and services from Federal sources .....			1
32.0 Land and structures .....	22	21	28
41.0 Grants, subsidies, and contributions .....	56	55	49
42.0 Insurance claims and indemnities .....			1
99.9 Total new obligations .....	92	91	94

**Employment Summary**

Identification code 14–5035–0–2–303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	105	104	105

**LAND ACQUISITION AND STATE ASSISTANCE**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 14–5035–4–2–303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			18
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other accts [14–5005] ....			50
1260 Appropriations, mandatory (total) .....			50
1930 Total budgetary resources available .....			50
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			32
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			18
3020 Outlays (gross) .....			–12
3050 Unpaid obligations, end of year .....			6
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			6
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			50
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			12
4180 Budget authority, net (total) .....			50
4190 Outlays, net (total) .....			12

**Object Classification** (in millions of dollars)

Identification code 14–5035–4–2–303	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
32.0 Land and structures .....			10
41.0 Grants, subsidies, and contributions .....			8
99.9 Total new obligations .....			18

**RECREATION FEE PERMANENT APPROPRIATIONS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14–9928–0–2–303	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			1
<b>Receipts:</b>			
0220 Recreation Enhancement Fee, National Park System .....	179	179	179
0221 Transportation Fees, Transportation System Fund .....	15	16	16
0222 Deposits for Educational Expenses, Children of Employees, Yellowstone (including Visitor Fees, Leased Federal Acquired Properties) .....	1	1	1
0299 Total receipts and collections .....	195	196	196
0400 Total: Balances and collections .....	195	196	197
<b>Appropriations:</b>			
0500 Recreation Fee Permanent Appropriations .....	–195	–195	–196
0799 Balance, end of year .....		1	1

**Program and Financing** (in millions of dollars)

Identification code 14–9928–0–2–303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Recreational Fee Program .....	183	180	180

RECREATION FEE PERMANENT APPROPRIATIONS—Continued  
Program and Financing—Continued

Identification code 14-9928-0-2-303	2012 actual	2013 CR	2014 est.
0002 Transportation systems fund .....	16	15	16
0004 Education Expenses, YELL .....	1	1	1
0900 Total new obligations .....	200	196	197
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	112	113	115
1021 Recoveries of prior year unpaid obligations .....	6	3	3
1050 Unobligated balance (total) .....	118	116	118
Budget authority:			
Appropriations, mandatory:			
1201 [14-9928] .....	195	195	196
1260 Appropriations, mandatory (total) .....	195	195	196
1930 Total budgetary resources available .....	313	311	314
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	113	115	117
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	122	94	152
3010 Obligations incurred, unexpired accounts .....	200	196	197
3020 Outlays (gross) .....	-222	-135	-151
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-3	-3
3050 Unpaid obligations, end of year .....	94	152	195
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	122	94	152
3200 Obligated balance, end of year .....	94	152	195
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	195	195	196
Outlays, gross:			
4100 Outlays from new mandatory authority .....	39	39	39
4101 Outlays from mandatory balances .....	222	96	112
4110 Outlays, gross (total) .....	222	135	151
4180 Budget authority, net (total) .....	195	195	196
4190 Outlays, net (total) .....	222	135	151

**Recreation Fee Program.**—The National Park Service and other land management agencies operate a fee program that allows parks and other units to collect admission and user fees in accordance with the Federal Lands Recreation Enhancement Act (FLREA). FLREA was passed on December 8, 2004, as part of the Omnibus Appropriations Act, 2005, and authorizes this program through 2014. The Administration proposes to permanently reauthorize the Department of the Interior's and the Department of Agriculture's recreation fee programs in FY 2014. Net proceeds are used for high-priority visitor service and facility management projects throughout the National Park System. By law, up to 80 percent may be retained for use by the collecting park and the remainder retained for discretionary, Servicewide use by the National Park Service Director. Also by law, up to 15 percent of proceeds may be used for administration, overhead, and indirect costs related to the program. Proceeds from the sale of the America the Beautiful passes, which allow access to all public lands that charge fees for a year, are distributed among the Federal land management agencies which offer them for sale, including the National Park Service, the Bureau of Land Management, the U.S. Fish and Wildlife Service, the Bureau of Reclamation and the U.S. Forest Service, as determined by the Secretaries of the Department of the Interior and the Department of Agriculture in accordance with Public Law 108-447.

**Deed-restricted parks fee program.**—Park units where admission fees may not be collected by reason of deed restrictions retain any other recreation fees collected and use them for certain park operation purposes in accordance with Public Law 105-327. This

law applies to Great Smoky Mountains National Park, Lincoln Home National Historic Site, and Abraham Lincoln Birthplace National Historic Site.

**Transportation systems fund.**—Fees charged for public use of transportation services at parks are retained and used by each collecting park for costs associated with the transportation systems in accordance with section 501 of Public Law 105-391.

**Educational expenses, children of employees, Yellowstone National Park.**—Revenues received from the collection of short-term recreation fees to the Park are used to provide education facilities to pupils who are dependents of persons engaged in the administration, operation, and maintenance of Yellowstone National Park (16 U.S.C. 40a).

**Payment for tax losses on land acquired for Grand Teton National Park.**—Revenues received from fees collected from visitors are used to compensate the State of Wyoming for tax losses on Grand Teton National Park lands (16 U.S.C. 406d-3).

Object Classification (in millions of dollars)

Identification code 14-9928-0-2-303	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	28	28	29
11.3 Other than full-time permanent .....	35	35	36
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	67	67	69
12.1 Civilian personnel benefits .....	16	16	16
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	3	3	3
25.1 Advisory and assistance services .....	4	2	2
25.2 Other services from non-Federal sources .....	50	48	47
25.3 Other goods and services from Federal sources .....	1		
25.4 Operation and maintenance of facilities .....	3	3	3
26.0 Supplies and materials .....	12	14	14
31.0 Equipment .....	3	3	3
41.0 Land and structures .....	26	25	25
41.0 Grants, subsidies, and contributions .....	10	10	10
99.9 Total new obligations .....	200	196	197

Employment Summary

Identification code 14-9928-0-2-303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,558	1,558	1,558

HISTORIC PRESERVATION FUND

*For expenses necessary in carrying out the National Historic Preservation Act, as amended (16 U.S.C. 470), \$58,910,000, to be derived from the Historic Preservation Fund and to remain available until September 30, 2015, of which \$3,000,000 is for competitive grants for the survey and nomination of properties to the National Register of Historic Places and as National Historic Landmarks associated with communities currently underrepresented, as determined by the Secretary: Provided, That such grants shall be made without imposing the matching requirements in Section 102(a)(3) of the National Historic Preservation Act (16 U.S.C. 470(a)(3)) to States and Tribes as defined in 16 U.S.C. 470w, Native Hawaiian organizations, local governments, including Certified Local Governments, and non-profit organizations.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-5140-0-2-303	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	2,896	2,995	3,039
Receipts:			
0220 Historic Preservation Fund, Rent Receipts, Outer Continental Shelf Lands .....	150	150	150
0400 Total: Balances and collections .....	3,046	3,145	3,189
Appropriations:			
0500 Historic Preservation Fund .....	-56	-106	-59
0610 Historic Preservation Fund .....	5		
0799 Balance, end of year .....	2,995	3,039	3,130

**Program and Financing** (in millions of dollars)

Identification code 14-5140-0-2-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants-in-aid .....	56	106	47
0900 Total new obligations (object class 41.0) .....	56	106	47
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special fund, definite) HPF .....	56	106	59
1160 Appropriation, discretionary (total) .....	56	106	59
1930 Total budgetary resources available .....	60	110	63
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	16
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	6	5	5
1953 Expired unobligated balance, end of year .....	5	5	5
1954 Unobligated balance canceling .....	5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	112	75	113
3010 Obligations incurred, unexpired accounts .....	56	106	47
3020 Outlays (gross) .....	-89	-68	-85
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	75	113	75
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	112	75	113
3200 Obligated balance, end of year .....	75	113	75
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	56	106	59
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	32	30
4011 Outlays from discretionary balances .....	68	36	55
4020 Outlays, gross (total) .....	89	68	85
4180 Budget authority, net (total) .....	56	106	59
4190 Outlays, net (total) .....	89	68	85

The Historic Preservation Fund appropriation includes grant programs to facilitate the preservation of the Nation's historic and cultural resources. This appropriation provides grants-in-aid to States, Territories, and Indian Tribes. Grants-in-aid to States and local governments require a 40 percent funding match; grants to Tribes do not require matching funds. In 2014, in addition to the traditional grants-in-aid described above, the budget proposes a competitive grant program for the survey and nomination of properties associated with communities currently under-represented in the National Register and as National Historic Landmarks. These grants would not be subject to the 40 percent matching requirement. Appropriations also have historically included funding for Save America's Treasures matching grants. No funds are requested for that program in 2014, but minimal balances remain in the account.

**Employment Summary**

Identification code 14-5140-0-2-303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		2	2

**OTHER PERMANENT APPROPRIATIONS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-9924-0-2-303	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	1		
Receipts:			
0220 Rents and Charges for Quarters, National Park Service .....	22	23	23
0221 Rental Payments, Park Buildings Lease and Maintenance Fund .....	6	7	7
0222 Concession Improvement Accounts Deposit .....	14	12	10
0223 User Fees for Filming and Photography on Public Lands .....	1	1	1
0224 Miscellaneous Fees, Glacier Bay National Park Resource Protection .....	3	3	4
0225 Park Concessions Franchise Fees .....	72	74	77
Adjustments:			
0290 Adjustment - receipts rounding issue .....	1		
0299 Total receipts and collections .....	119	120	122
0400 Total: Balances and collections .....	120	120	122
Appropriations:			
0500 Other Permanent Appropriations .....	-120	-120	-122
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 14-9924-0-2-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance of quarters .....	26	25	25
0002 Glacier Bay resource protection vessel management plan .....	3	4	4
0003 Park concessions franchise fees .....	60	65	65
0005 Rental Payments, Park Buildings Lease and Maintenance Fund .....	5	8	7
0006 Concessions improvements accounts .....	16	16	16
0007 Contribution for annuity benefits for USPP .....	40	45	46
0008 Filming and Photography Special Use Fee Program .....	1	1	1
0900 Total new obligations .....	151	164	164
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	110	121	123
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	111	122	124
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	44	45	46
1201 Appropriation (special or trust fund) .....	120	120	122
1260 Appropriations, mandatory (total) .....	164	165	168
1930 Total budgetary resources available .....	275	287	292
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	121	123	128
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	3		
1952 Expired unobligated balance, start of year .....	1	3	3
1953 Expired unobligated balance, end of year .....		3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	58	43	37
3010 Obligations incurred, unexpired accounts .....	151	164	164
3020 Outlays (gross) .....	-165	-169	-177
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	43	37	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	58	43	37
3200 Obligated balance, end of year .....	43	37	23

OTHER PERMANENT APPROPRIATIONS—Continued  
Program and Financing—Continued

Identification code 14-9924-0-2-303	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	164	165	168
Outlays, gross:			
4100 Outlays from new mandatory authority .....	39	149	151
4101 Outlays from mandatory balances .....	126	20	26
4110 Outlays, gross (total) .....	165	169	177
4180 Budget authority, net (total) .....	164	165	168
4190 Outlays, net (total) .....	165	169	177

*Park concessions franchise fees.*—Franchise fees for concessioner activities in the National Park System are deposited in this account and used for certain park operations activities in accordance with section 407 of Public Law 105-391. By law, 20 percent of franchise fees collected are used to support activities throughout the National Park System and 80 percent are retained and used by each collecting park unit for visitor services and for purposes of funding high-priority and urgently necessary resource management programs and operations.

*Concessions improvement accounts.*—National Park Service agreements with private concessioners providing visitor services within national parks can require concessioners to deposit a portion of gross receipts or a fixed sum of money in a separate bank account. A concessioner may expend funds from such an account with the approval of the park superintendent for improvements to facilities that directly support concession visitor services, but would not otherwise be funded through the appropriations process. Concessioners do not accrue possessory interests from improvements funded through these accounts.

*Park buildings lease and maintenance fund.*—Rental payments for leases to use buildings and associated property in the National Park System are deposited in this account and used for infrastructure needs at park units in accordance with section 802 of Public Law 105-391.

*Operation and maintenance of quarters.*—Revenues from the rental of Government-owned quarters to park employees are deposited in this account and used to operate and maintain the quarters.

*Delaware Water Gap, Route 209 operations.*—Fees collected for use of Route 209 within the Delaware Water Gap National Recreation Area by commercial vehicles are used for management, operation, and maintenance of the route within the park as authorized by Public Law 98-63 (97 Stat. 329), section 117 of Public Law 98-151 (97 Stat. 977) as amended by Public Law 99-88 (99 Stat. 343), and section 702 of Division I of Public Law 104-333 (110 Stat. 4185). The appropriation was reauthorized in fiscal year 1997 by Public Law 104-333 and in fiscal year 2006 by Public Law 109-156.

*Glacier Bay National Park resource protection.*—Of the revenues received from fees paid by tour boat operators or other permittees for entering Glacier Bay National Park, 60 percent are used for certain activities to protect resources of the Park from harm by permittees in accordance with section 703 of Division I of Public Law 104-333 (110 Stat. 4185).

*Filming and photography special use fees.*—The National Park Service is authorized to retain fee receipts that are collected from issuing permits to use park lands and facilities for commercial filming, still photography, and similar activities. Activities authorized for funding include acquisition of emergency response equipment to prevent harm to aquatic park resources from permittees and studies to quantify the impact of permittees' activities on wildlife and other natural resources of the park.

*Contributions to U.S. Park Police annuity benefits.*—Necessary costs of benefit payments to annuitants under the pension program for United States Park Police officers hired prior to January 1, 1984, established under Public Law 85-157, are paid from the General Fund of the Treasury to the extent the payments exceed deductions from salaries of active duty employees in the program. Permanent funding for such payments was provided in the Department of the Interior and Related Agencies Appropriations Act, 2002. Before 2002, such payments were funded from appropriations made annually to the National Park Service.

## Object Classification (in millions of dollars)

Identification code 14-9924-0-2-303	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	10	10	11
11.3 Other than full-time permanent .....	12	13	13
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	24	25	26
12.1 Civilian personnel benefits .....	7	7	7
13.0 Benefits for former personnel .....	40	45	46
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	6	6
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	45	48	46
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	4	4	4
25.7 Operation and maintenance of equipment .....		1	1
26.0 Supplies and materials .....	8	10	10
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	9	9	9
41.0 Grants, subsidies, and contributions .....	3	3	3
99.9 Total new obligations .....	151	164	164

## Employment Summary

Identification code 14-9924-0-2-303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	464	464	464

## NATIONAL PARK SERVICE—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

*Note.*—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:

- Department of Agriculture, Forest Service: "State and Private Forestry."
- Department of Transportation: Federal Highway Administration: "Federal-Aid Highways," (Liquidation of Contract Authorization) (Highway Trust Fund)" and "Highway Studies, Feasibility, Design, Environmental, Engineering."
- Department of the Interior, Bureau of Land Management: "Southern Nevada Lands Management."
- Department of the Interior, Department-wide Programs: "Wildland Fire Management," "Natural Resource Damage Assessment and Restoration Fund," and "Central Hazardous Materials Fund."

## Trust Funds

## CONSTRUCTION (TRUST FUND)

Parkway construction project funds have been derived from the Highway Trust Fund through appropriations to liquidate contract authority, which has been provided under section 104(a)(8) of the Federal Aid Highway Act of 1978, title I of Public Law 95-599, as amended, and appropriation language, which has made the contract authority and the appropriations available until expended.

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-9972-0-7-303	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Donations to National Park Service	44	30	30
0400 Total: Balances and collections	44	30	30
Appropriations:			
0500 Miscellaneous Trust Funds	-44	-30	-30
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-9972-0-7-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Donations to National Park Service	37	36	36
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	42	49	43
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	44	30	30
1260 Appropriations, mandatory (total)	44	30	30
1930 Total budgetary resources available	86	79	73
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	49	43	37
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	23	22
3010 Obligations incurred, unexpired accounts	37	36	36
3020 Outlays (gross)	-28	-37	-38
3050 Unpaid obligations, end of year	23	22	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	23	22
3200 Obligated balance, end of year	23	22	20
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	44	30	30
Outlays, gross:			
4100 Outlays from new mandatory authority		15	15
4101 Outlays from mandatory balances	28	22	23
4110 Outlays, gross (total)	28	37	38
4180 Budget authority, net (total)	44	30	30
4190 Outlays, net (total)	28	37	38

*National Park Service, donations.*—The Secretary of the Interior accepts and uses donated moneys for the purposes of the National Park System (16 U.S.C. 6), as designated by the donor when stated.

*Preservation, Birthplace of Abraham Lincoln, National Park Service.*—This fund consists of an endowment established by the Lincoln Farm Association. The interest therefrom is available for preservation of the Abraham Lincoln Birthplace National Historic Site, Kentucky (16 U.S.C. 211, 212).

Object Classification (in millions of dollars)

Identification code 14-9972-0-7-303	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2	2	3
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	8	7	8
12.1 Civilian personnel benefits	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	12	12	11
25.4 Operation and maintenance of facilities	2	2	2
26.0 Supplies and materials	3	3	3

32.0	Land and structures	8	8	8
41.0	Grants, subsidies, and contributions	1	1	1
99.9	Total new obligations	37	36	36

Employment Summary

Identification code 14-9972-0-7-303	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment	170	170	170

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

*In addition to other uses set forth in section 407(d) of Public Law 105-391, franchise fees credited to a sub-account shall be available for expenditure by the Secretary, without further appropriation, for use at any unit within the National Park System to extinguish or reduce liability for Possessory Interest or leasehold surrender interest. Such funds may only be used for this purpose to the extent that the benefitting unit anticipated franchise fee receipts over the term of the contract at that unit exceed the amount of funds used to extinguish or reduce liability. Franchise fees at the benefitting unit shall be credited to the sub-account of the originating unit over a period not to exceed the term of a single contract at the benefitting unit, in the amount of funds so expended to extinguish or reduce liability.*

*For the costs of administration of the Land and Water Conservation Fund grants authorized by section 105(a)(2)(B) of the Gulf of Mexico Energy Security Act of 2006 (Public Law 109-432), the National Park Service may retain up to 3 percent of the amounts which are authorized to be disbursed under such section, such retained amounts to remain available until expended.*

*National Park Service funds may be transferred to the Federal Highway Administration (FHWA), Department of Transportation, for purposes authorized under 23 U.S.C. 204. Transfers may include a reasonable amount for FHWA administrative support costs.*

INDIAN AFFAIRS

BUREAU OF INDIAN AFFAIRS AND BUREAU OF INDIAN EDUCATION

Federal Funds

OPERATION OF INDIAN PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

*For expenses necessary for the operation of Indian programs, as authorized by law, including the Snyder Act of November 2, 1921 (25 U.S.C. 13), the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450 et seq.), as amended, the Education Amendments of 1978 (25 U.S.C. 2001-2019), and the Tribally Controlled Schools Act of 1988 (25 U.S.C. 2501 et seq.), as amended, \$2,183,774,000, to remain available until September 30, 2015, except as otherwise provided herein; of which not to exceed \$8,500 may be for official reception and representation expenses; of which not to exceed \$74,809,000 shall be for welfare assistance payments: Provided, That in cases of designated Federal disasters, the Secretary may exceed such cap, from the amounts provided herein, to provide for disaster relief to Indian communities affected by the disaster; except that federally recognized tribes and tribal organizations of federally recognized tribes may use their tribal priority allocations for unmet welfare assistance costs; of which not to exceed \$596,234,000 for school operations costs of Bureau-funded schools and other education programs shall become available on July 1, 2014, and shall remain available until September 30, 2015; and of which not to exceed \$34,803,000 shall remain available until expended for road maintenance, attorney fees, litigation support, land records improvement, and the Navajo-Hopi Settlement Program: Provided further, That notwithstanding any other provision of law, including but not limited to the Indian Self-Determination Act of 1975, as amended, and 25 U.S.C. 2008, not to exceed \$48,253,000 within and only from such amounts made available for school operations shall be available for administrative cost grants associated with ongoing grants entered into with the Bureau prior to or during fiscal year 2013 for the operation of*

OPERATION OF INDIAN PROGRAMS—Continued

*Bureau-funded schools, and up to \$500,000 within and only from such amounts made available for administrative cost grants shall be available for the transitional costs of initial administrative cost grants to grantees that assume operation on or after July 1, 2013, of Bureau-funded schools: Provided further, That any forestry funds allocated to a federally recognized tribe which remain unobligated as of September 30, 2015, may be transferred during fiscal year 2016 to an Indian forest land assistance account established for the benefit of the holder of the funds within the holder's trust fund account: Provided further, That any such unobligated balances not so transferred shall expire on September 30, 2016: Provided further, That, in order to enhance the safety of Bureau field employees, the Bureau may use funds to purchase uniforms or other identifying articles of clothing for personnel.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14–2100–0–1–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0007 Tribal Government .....	618	564	440
0008 Human services .....	110	115	115
0009 Trust - Natural resources management .....	145	138	138
0010 Trust - Real estate services .....	124	127	127
0011 Education .....	803	787	700
0012 Public safety and justice .....	301	313	303
0013 Community and economic development .....	30	35	35
0014 Executive direction and administrative services .....	256	250	240
0015 Indian Arts and Crafts Board .....	.....	1	1
0799 Total direct obligations .....	2,387	2,330	2,099
0807 Reimbursable program .....	274	310	310
0808 Reimbursable program - Education Recovery Act .....	16	16	16
0899 Total reimbursable obligations .....	290	326	326
0900 Total new obligations .....	2,677	2,656	2,425
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	439	453	466
1011 Unobligated balance transfer from other accts [96–3122] .....	2	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	16	14	14
1021 Recoveries of prior year unpaid obligations .....	14	2	2
1050 Unobligated balance (total) .....	471	469	482
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,372	2,382	2,183
1120 Appropriations transferred to other accts [14–2100] .....	-43	.....	.....
1121 Appropriations transferred from other accts [14–2100] .....	43	.....	.....
1130 Appropriations permanently reduced .....	-4	.....	.....
1160 Appropriation, discretionary (total) .....	2,368	2,382	2,183
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	316	271	271
1701 Change in uncollected payments, Federal sources .....	-20	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	296	271	271
1900 Budget authority (total) .....	2,664	2,653	2,454
1930 Total budgetary resources available .....	3,135	3,122	2,936
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	453	466	511
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	373	325	491
3010 Obligations incurred, unexpired accounts .....	2,677	2,656	2,425
3011 Obligations incurred, expired accounts .....	22	.....	.....
3020 Outlays (gross) .....	-2,724	-2,488	-2,525
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14	-2	-2
3041 Recoveries of prior year unpaid obligations, expired .....	-9	.....	.....
3050 Unpaid obligations, end of year .....	325	491	389
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-126	-97	-97
3070 Change in uncollected pymts, Fed sources, unexpired .....	20	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	9	.....	.....

3090 Uncollected pymts, Fed sources, end of year .....	-97	-97	-97
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	247	228	394
3200 Obligated balance, end of year .....	228	394	292
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,664	2,653	2,454
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,915	1,796	1,668
4011 Outlays from discretionary balances .....	809	692	857
4020 Outlays, gross (total) .....	2,724	2,488	2,525
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-322	-271	-271
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	20	.....	.....
4052 Offsetting collections credited to expired accounts .....	6	.....	.....
4060 Additional offsets against budget authority only (total) .....	26	.....	.....
4070 Budget authority, net (discretionary) .....	2,368	2,382	2,183
4080 Outlays, net (discretionary) .....	2,402	2,217	2,254
4180 Budget authority, net (total) .....	2,368	2,382	2,183
4190 Outlays, net (total) .....	2,402	2,217	2,254

The Operation of Indian Programs appropriation consists of a wide range of services and benefits provided primarily to Federally-recognized Indian Tribes, Alaskan Native groups, and individual American Indians and Alaska Natives that fulfill Federal trust responsibility and implement Federal Indian policy.

This account covers expenses associated with the following activities:

*Tribal Government.*—This activity promotes the sovereignty of Federally-recognized Tribes by supporting and assisting them in the development and maintenance of strong and stable governments capable of administering quality programs and developing economies. This activity also provides for the maintenance of BIA roads and bridges.

*Human services.*—This activity provides funding for social services, housing improvement, welfare assistance, and Indian child welfare. The objective of this activity is to improve the quality of life for individual Indians who live on or near Indian reservations and to protect the children, elderly, and disabled from abuse and neglect.

*Trust: Natural resources management.*—This activity provides for the management, development, and protection of Indian trust land and natural resource assets. Natural resource programs in Indian country include agriculture, forestry, water, fish, wildlife, and parks.

*Trust: Real estate.*—This activity promotes cooperative efforts with landowners for the optimal utilization, development, and enhancement of trust and restricted Federal Indian-owned lands. The activity includes general real estate services, probate, land title and records, environmental compliance, and other trust services and rights protection.

*Education.*—This activity supports Bureau of Indian Education (BIE) tribal elementary and secondary school operations, other education programs for elementary-aged Indian children, Tribal post-secondary schools, education program management, and facilities maintenance. The BIE-funded schools include 169 elementary and secondary BIE- and Tribally-run schools, 14 dormitories, two post-secondary schools, and operating grants to eligible tribal colleges and universities.

*Public safety and justice.*—This activity funds law enforcement activities on approximately 56 million acres of Indian country in 35 States. Programs under this activity include investigative, police, and detention services; tribal courts; fire protection; and facilities maintenance.

*Community and economic development.*—This activity promotes the economic vitality of American Indians and Alaska

Natives through Job Placement and Training, Economic Development, and Community Development. This activity also provides assistance for renewable and conventional energy and hard mineral development for the economic and social benefit of the Tribes and individual Indian allottees.

**Executive direction and administrative services.**—This activity supports the management of BIA's and BIE's finance, budget, acquisition, and property functions, as well as information technology resources, personnel services, facilities management, payment of GSA and direct rentals, and intra-governmental payments.

Significant portions of Indian Affairs activities are executed under contracts or compacts with Federally-recognized Tribes to run tribal and Federal programs. Funding also supports BIA or BIE oversight and technical assistance for these activities in central and regional offices.

\*\* Note: A new account has been created for Contract Support Costs.

**Object Classification** (in millions of dollars)

Identification code 14-2100-0-1-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	236	235	235
11.3 Other than full-time permanent .....	122	103	103
11.5 Other personnel compensation .....	23	23	23
11.9 Total personnel compensation .....	381	361	361
12.1 Civilian personnel benefits .....	112	107	107
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	17	9	9
22.0 Transportation of things .....	1	2	2
23.1 Rental payments to GSA .....	23	26	26
23.2 Rental payments to others .....	14	14	14
23.3 Communications, utilities, and miscellaneous charges .....	38	41	41
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	33	28	28
25.2 Other services from non-Federal sources .....	1,031	988	757
25.3 Other goods and services from Federal sources .....	114	122	122
25.4 Operation and maintenance of facilities .....	3	3	3
25.5 ADP Contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	9	3	3
25.8 Subsistence and support of persons .....	10	14	14
26.0 Supplies and materials .....	41	35	35
31.0 Equipment .....	22	27	27
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	534	545	545
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,387	2,330	2,099
99.0 Reimbursable obligations .....	290	326	326
99.9 Total new obligations .....	2,677	2,656	2,425

**Employment Summary**

Identification code 14-2100-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6,045	5,974	5,800
2001 Reimbursable civilian full-time equivalent employment .....	906	906	906
3001 Allocation account civilian full-time equivalent employment .....	476	449	412

**CONTRACT SUPPORT COSTS**

For payments of contract support costs associated with ongoing Indian Self-Determination Act agreements with the Bureau of Indian Affairs for fiscal year 2014, not to exceed \$230,000,000, to remain available until September 30, 2015: Provided, That, notwithstanding any other provision of law, the amount available for contract support costs associated with each ongoing Indian Self-Determination Act agreement with the Bureau of Indian Affairs for fiscal year 2014 shall not exceed the amount identified in the Bureau of Indian Affairs Contract Support Costs table submitted by the Secretary of the Interior to the House and Senate Committees on Appropriations, except that tribes and tribal organizations may use their

tribal priority allocations for unmet contract support costs of ongoing Indian Self-Determination Act agreements.

In addition, not to exceed \$1,000,000 shall be available until expended for payments for contract support costs associated with new or expanded Indian Self-Determination Act agreements with the Bureau of Indian Affairs for fiscal year 2014, except that tribes and tribal organizations may use their tribal priority allocations for unmet contract support costs of new or expanded Indian Self-Determination Act agreements.

**Program and Financing** (in millions of dollars)

Identification code 14-2240-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			231
0100 Direct program activities, subtotal .....			231
0900 Total new obligations (object class 25.2) .....			231
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			231
1160 Appropriation, discretionary (total) .....			231
1930 Total budgetary resources available .....			231
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			231
3020 Outlays (gross) .....			-196
3050 Unpaid obligations, end of year .....			35
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			35
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			231
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			196
4180 Budget authority, net (total) .....			231
4190 Outlays, net (total) .....			196

The Bureau of Indian Affairs Contract Support Costs (CSC) account supports Federal government payments to tribes for the administrative costs associated with executing tribal self-determination contracts and compacts under the Indian Self-Determination and Education Assistance Act (ISDEAA), P.L. 93-638. The Indian Health Service is also required to pay CSC under ISDEAA. Payments are available for direct and indirect contract support costs. Indirect contract support costs are those incurred for a Tribe's or tribal organization's common services, including, but not limited to, insurance and audits. Direct contract costs include program-specific costs such as unemployment taxes and workers compensation insurance. The account also supports the costs associated with executing or administering new and/or expanded self-determination contracts.

**CONSTRUCTION**

(INCLUDING TRANSFER OF FUNDS)

For construction, repair, improvement, and maintenance of irrigation and power systems, buildings, utilities, and other facilities, including architectural and engineering services by contract; acquisition of lands, and interests in lands; and preparation of lands for farming, and for construction of the Navajo Indian Irrigation Project pursuant to Public Law 87-483, \$107,124,000, to remain available until expended: Provided, That such amounts as may be available for the construction of the Navajo Indian Irrigation Project may be transferred to the Bureau of Reclamation: Provided further, That not to exceed 6 percent of contract authority available to the Bureau of Indian Affairs from the Federal Highway Trust Fund may be used to cover the road program management costs of the Bureau: Provided further, That any funds provided for the Safety of Dams

CONSTRUCTION—Continued

program pursuant to 25 U.S.C. 13 shall be made available on a nonreimbursable basis: Provided further, That, in implementing new construction or facilities improvement and repair project grants in excess of \$100,000 that are provided to grant schools under Public Law 100–297, as amended, the Secretary of the Interior shall use the Administrative and Audit Requirements and Cost Principles for Assistance Programs contained in 43 CFR part 12 as the regulatory requirements: Provided further, That such grants shall not be subject to section 12.61 of 43 CFR; the Secretary and the grantee shall negotiate and determine a schedule of payments for the work to be performed: Provided further, That in considering grant applications, the Secretary shall consider whether such grantee would be deficient in assuring that the construction projects conform to applicable building standards and codes and Federal, tribal, or State health and safety standards as required by 25 U.S.C. 2005(b), with respect to organizational and financial management capabilities: Provided further, That if the Secretary declines a grant application, the Secretary shall follow the requirements contained in 25 U.S.C. 2504(f): Provided further, That any disputes between the Secretary and any grantee concerning a grant shall be subject to the disputes provision in 25 U.S.C. 2507(e): Provided further, That in order to ensure timely completion of construction projects, the Secretary may assume control of a project and all funds related to the project, if, within 18 months of the date of enactment of this Act, any grantee receiving funds appropriated in this Act or in any prior Act, has not completed the planning and design phase of the project and commenced construction: Provided further, That this appropriation may be reimbursed from the Office of the Special Trustee for American Indians appropriation for the appropriate share of construction costs for space expansion needed in agency offices to meet trust reform implementation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14–2301–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Education construction .....	154	82	82
0002 Public safety and justice construction .....	19	16	16
0003 Resource management construction .....	26	38	38
0004 Other Program Construction .....	12	12	12
0005 BOR Allocation Account .....	1	2	2
0799 Total direct obligations .....	212	150	150
0807 Reimbursable program .....	7	7	7
0900 Total new obligations .....	219	157	157
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	140	57	56
1021 Recoveries of prior year unpaid obligations .....	8	24	24
1050 Unobligated balance (total) .....	148	81	80
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	124	124	107
1160 Appropriation, discretionary (total) .....	124	124	107
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	8	8
1701 Change in uncollected payments, Federal sources .....	–3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	4	8	8
1900 Budget authority (total) .....	128	132	115
1930 Total budgetary resources available .....	276	213	195
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	57	56	38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	291	231	155
3010 Obligations incurred, unexpired accounts .....	219	157	157
3020 Outlays (gross) .....	–270	–209	–147
3040 Recoveries of prior year unpaid obligations, unexpired .....	–8	–24	–24
3041 Recoveries of prior year unpaid obligations, expired .....	–1	.....	.....
3050 Unpaid obligations, end of year .....	231	155	141

Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–3	.....
3070	Change in uncollected pymts, Fed sources, unexpired .....	3	.....
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	288	231 155
3200	Obligated balance, end of year .....	231	155 141
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	128	132 115
Outlays, gross:			
4010	Outlays from new discretionary authority .....	44	37 33
4011	Outlays from discretionary balances .....	226	172 114
4020	Outlays, gross (total) .....	270	209 147
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	–7	–8 –8
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	3	.....
4070	Budget authority, net (discretionary) .....	124	124 107
4080	Outlays, net (discretionary) .....	263	201 139
4180	Budget authority, net (total) .....	124	124 107
4190	Outlays, net (total) .....	263	201 139

**Education construction.**—This activity provides for the planning, design, construction, and rehabilitation of Bureau of Indian Education-funded school facilities.

**Public safety and justice construction.**—This activity provides for the planning, design, improvement, repair, and construction of detention center facilities on Indian lands.

**Resources management construction.**—This activity provides for the construction, extension, and rehabilitation of irrigation projects, dams, and related power systems on Indian reservations.

**Other program construction.**—This activity provides for the improvement and repair of Indian Affairs' non-education facilities, the telecommunications system, the facilities management information system, and construction program management.

Object Classification (in millions of dollars)

Identification code 14–2301–0–1–452	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1	Full-time permanent .....	8	4 4
11.3	Other than full-time permanent .....	1	2 2
11.5	Other personnel compensation .....	1	1 1
11.9	Total personnel compensation .....	10	7 7
12.1	Civilian personnel benefits .....	2	2 2
21.0	Travel and transportation of persons .....	1	1 1
23.3	Communications, utilities, and miscellaneous charges .....	1	3 3
25.1	Advisory and assistance services .....	3	1 1
25.2	Other services from non-Federal sources .....	128	88 88
25.3	Other goods and services from Federal sources .....	1	5 5
25.4	Operation and maintenance of facilities .....	6	6 6
25.7	Operation and maintenance of equipment .....	1	.....
26.0	Supplies and materials .....	2	4 4
31.0	Equipment .....	2	6 6
32.0	Land and structures .....	36	3 3
41.0	Grants, subsidies, and contributions .....	17	22 22
99.0	Direct obligations .....	210	148 148
99.0	Reimbursable obligations .....	7	7 7
Allocation Account – direct:			
11.1	Personnel compensation: Full-time permanent .....	1	1 1
32.0	Land and structures .....	1	1 1
99.0	Allocation account – direct .....	2	2 2
99.9	Total new obligations .....	219	157 157

Employment Summary

Identification code 14–2301–0–1–452	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	105	104 104
2001	Reimbursable civilian full-time equivalent employment .....	5	5 5

3001	Allocation account civilian full-time equivalent employment .....	387	387	387
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**WHITE EARTH SETTLEMENT FUND**  
**Program and Financing** (in millions of dollars)

Identification code 14-2204-0-1-452	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Payments for White Earth Settlement .....	1	3	3
0900	Total new obligations (object class 41.0) .....	1	3	3
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1	3	3
1260	Appropriations, mandatory (total) .....	1	3	3
1930	Total budgetary resources available .....	2	4	4
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1	3	3
3020	Outlays (gross) .....	-1	-3	-3
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	3	3
Outlays, gross:				
4100	Outlays from new mandatory authority .....		3	3
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	1	3	3
4180	Budget authority, net (total) .....	1	3	3
4190	Outlays, net (total) .....	1	3	3

The White Earth Reservation Land Settlement Act of 1985 (Public Law 99-264) authorizes the payment of funds to eligible allottees or heirs of the White Earth Reservation in Minnesota, as determined by the Secretary of the Interior. The payment of funds shall be treated as the final judgment, award, or compromise settlement under the provisions of Title 31, United States Code, section 1304.

**INDIAN LAND AND WATER CLAIM SETTLEMENTS AND MISCELLANEOUS PAYMENTS TO INDIANS**

For payments and necessary administrative expenses for implementation of Indian land and water claim settlements pursuant to Public Laws 99-264, 100-580, 101-618, 111-11, and 111-291, and for implementation of other land and water rights settlements, \$35,655,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-2303-0-1-452	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	White Earth .....	1	1	1
0020	Nez Perce/Snake River .....	9	9	9
0025	Navajo Nation Water Resources Development Trust Fund .....	6	6	6
0026	Duck Valley Reservation Water Rights Settlement .....	12	12	12
0027	Navajo Water Settlement .....	4	8	8
0028	Under the reporting threshold .....	1		
0030	Crow Settlement Fund (PL 111-291) .....	79		
0031	Taos Pueblo (PL 111-291) .....	35		
0032	Aamodt Settlement (PL 111-291) .....		25	

0900	Total new obligations .....	147	61	36
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<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	38	29	1
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	3	3	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	33	33	36
1160	Appropriation, discretionary (total) .....	33	33	36
Appropriations, mandatory:				
1200	Appropriation .....	105		
1260	Appropriations, mandatory (total) .....	105		
1900	Budget authority (total) .....	138	33	36
1930	Total budgetary resources available .....	176	62	37
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	29	1	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		4	7
3010	Obligations incurred, unexpired accounts .....	147	61	36
3020	Outlays (gross) .....	-143	-58	-36
3050	Unpaid obligations, end of year .....	4	7	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		4	7
3200	Obligated balance, end of year .....	4	7	7

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	33	33	36
Outlays, gross:				
4010	Outlays from new discretionary authority .....	26	33	36
4011	Outlays from discretionary balances .....	3		
4020	Outlays, gross (total) .....	29	33	36
Mandatory:				
4090	Budget authority, gross .....	105		
Outlays, gross:				
4100	Outlays from new mandatory authority .....	79		
4101	Outlays from mandatory balances .....	35	25	
4110	Outlays, gross (total) .....	114	25	
4180	Budget authority, net (total) .....	138	33	36
4190	Outlays, net (total) .....	143	58	36

This account covers expenses associated with the following activities.

**Land settlements:**

*White Earth Reservation Land Settlement Act (Public Law 99-264).*—Funds are used to investigate and verify questionable transfers of land by which individual Indian allottees, or their heirs, were divested of ownership and to achieve the payment of compensation to said allottees or heirs in accordance with the Act. A major portion of work is contracted under Public Law 93-638, as amended, to the White Earth Reservation Business Committee.

**Water settlements:**

*Snake River Water Rights Act (Public Law 108-447).*—Funds are not requested for payments for the settlement to the Nez Perce Water and Fisheries Fund, Nez Perce Tribe Habitat Accounts, and the Nez Perce Domestic Water Supply Fund, since funding for this settlement agreement will be completed in 2013.

*Navajo-Gallup Water Supply Project (Public Law 111-11).*—Funds will be used for the San Juan Conjunctive Use Wells and the San Juan Navajo Irrigation Project Rehabilitation as authorized by law.

*Navajo Nation Water Resources Development Trust Fund (Public Law 111-11).*—The Navajo Nation may use the amounts in the Trust Fund to investigate, construct, operate, maintain, or replace water project facilities, including facilities conveyed to the Nation and facilities owned by the United States for which the Nation is responsible for operation, maintenance, and replacement costs; and to investigate, implement, or improve a

INDIAN LAND AND WATER CLAIM SETTLEMENTS AND MISCELLANEOUS  
PAYMENTS TO INDIANS—Continued

water conservation measure (including a metering or monitoring activity) necessary for the Nation to make use of a water right of the Nation under the Agreement.

*Duck Valley Reservation Water Rights Settlement (Public Law 111-11).*—The purpose of the Duck Valley Reservation Water Rights Settlement is to resolve outstanding issues with respect to the East Fork of the Owyhee River in Nevada in a manner beneficial to the United States, Nevada, the Shoshone-Paiute Tribes of the Duck Valley Reservation, and the non-Federal water users located upstream from the Reservation who are signatories to the Agreement.

*Crow Tribe Water Rights Settlement (P.L. 111-291).*—Funds will be used for payments and projects required by the settlement as authorized by law.

*Taos Pueblo Indian Water Rights (P.L. 111-291).*—Funds will be used for payments and projects required by the settlement as authorized by law.

*Aamodt Litigation Settlement (P.L. 111-291).*—Funds will be used for payments and projects required by the settlement as authorized by law.

Under the reporting threshold:

*Hoopa-Yurok Settlement Act (Public Law 100-580).*—The Act provides for the settlement of claims regarding reservation lands between the Hoopa Valley Tribe and the Yurok Indians in northern California. Funds will be used for the settlement as authorized by law and for administrative expenses related to implementing the settlement.

*Truckee-Carson-Pyramid Lake Water Settlement Act (Public Law 101-618).*—The Act provides for the settlement of claims of the Pyramid Lake Paiute Tribe (NV). Funds will be used to provide payments to the Truckee-Carson Irrigation District for services provided to implement the settlement.

Object Classification (in millions of dollars)

Identification code 14-2303-0-1-452	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	5	1	1
41.0 Grants, subsidies, and contributions .....	142	60	35
99.9 Total new obligations .....	147	61	36

INDIAN LAND CONSOLIDATION

Program and Financing (in millions of dollars)

Identification code 14-2103-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	3	4	4
0900 Total new obligations (object class 32.0) .....	3	4	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	6
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	4	4
1750 Spending auth from offsetting collections, disc (total) .....	4	4	4
1900 Budget authority (total) .....	4	4	4
1930 Total budgetary resources available .....	9	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	4	4

3020 Outlays (gross) .....	-3	-4	-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....		4	4
4011 Outlays from discretionary balances .....	3		
4020 Outlays, gross (total) .....	3	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-4	-4
4190 Outlays, net (total) .....	-1		

This appropriation funds a program to consolidate fractional interests in Indian lands and assist land owners with estate planning. Funds will be used to purchase small interests in parcels of lands from willing individual Indian landowners and convey those interests to the tribe on whose reservation the land is located. Consolidation of these interests is expected to reduce the Government's costs for managing Indian lands and promote economic opportunity on these lands. This program is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106-462), the American Indian Probate Reform Act of 2004 (P.L. 108-374), and other authorities. No funding is requested in 2014 in this account. Implementation of the Individual Indian Money Account Litigation Settlement (Public Law 111-291, Title I) to address fractionation is in a separate account in the Office of the Secretary.

Object Classification (in millions of dollars)

Identification code 14-2103-0-1-452	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	3	4	4

INDIAN WATER RIGHTS AND HABITAT ACQUISITION PROGRAM

Program and Financing (in millions of dollars)

Identification code 14-5505-0-2-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		3	
0900 Total new obligations (object class 41.0) .....		3	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	
1930 Total budgetary resources available .....	3	3	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			3
3010 Obligations incurred, unexpired accounts .....		3	
3050 Unpaid obligations, end of year .....		3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			3
3200 Obligated balance, end of year .....		3	3

Funds were requested in 2003 for the settlement of the water claims of the Shivwits Band of the Paiute Indian Tribe of Utah. Public Law 106-263 specifies the use of the Land and Water Conservation Fund for the implementation of the water rights and habitat acquisition program.

OPERATION AND MAINTENANCE OF QUARTERS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5051-0-2-452	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Rents and Charges for Quarters, Bureau of Indian Affairs	6	6	6
0400 Total: Balances and collections	6	6	6
Appropriations:			
0500 Operation and Maintenance of Quarters	-6	-6	-6
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5051-0-2-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operations and maintenance	5	6	6
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	5	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	6	6	6
1260 Appropriations, mandatory (total)	6	6	6
1930 Total budgetary resources available	10	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	5	6	6
3020 Outlays (gross)	-5	-6	-6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	6	6	6
Outlays, gross:			
4100 Outlays from new mandatory authority	1	6	6
4101 Outlays from mandatory balances	4		
4110 Outlays, gross (total)	5	6	6
4180 Budget authority, net (total)	6	6	6
4190 Outlays, net (total)	5	6	6

Public Law 88-459 (Federal Employees Quarters and Facilities Act of 1964) is the basic authority under which the Secretary utilizes funds from the rental of quarters to defray the costs of operation and maintenance incidental to the employee quarters program. Public Law 98-473 established a special fund, to remain available until expended, for the operation and maintenance of quarters.

Object Classification (in millions of dollars)

Identification code 14-5051-0-2-452	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1	1	1
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	1	1	1
99.0 Direct obligations	5	5	5
99.5 Below reporting threshold		1	1
99.9 Total new obligations	5	6	6

Employment Summary

Identification code 14-5051-0-2-452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	46	46	46

MISCELLANEOUS PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-9925-0-2-452	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Deposits, Operation and Maintenance, Indian Irrigation Systems	29	28	29
0221 Alaska Resupply Program	1	2	3
0222 Power Revenues, Indian Irrigation Projects	73	70	71
0299 Total receipts and collections	103	100	103
0400 Total: Balances and collections	103	100	103
Appropriations:			
0500 Miscellaneous Permanent Appropriations	-103	-100	-100
0799 Balance, end of year			3

Program and Financing (in millions of dollars)

Identification code 14-9925-0-2-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Operation and maintenance, Indian irrigation systems	30	30	30
0003 Power systems, Indian irrigation projects	73	68	68
0004 Alaska resupply program	1	2	2
0900 Total new obligations	104	100	100
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	58	60
1021 Recoveries of prior year unpaid obligations	3	2	2
1050 Unobligated balance (total)	59	60	62
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	103	100	100
1260 Appropriations, mandatory (total)	103	100	100
1930 Total budgetary resources available	162	160	162
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	58	60	62
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	14	12
3010 Obligations incurred, unexpired accounts	104	100	100
3020 Outlays (gross)	-102	-100	-100
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-2	-2
3050 Unpaid obligations, end of year	14	12	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	15	14	12
3200 Obligated balance, end of year	14	12	10
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	103	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority	41	51	51
4101 Outlays from mandatory balances	61	49	49
4110 Outlays, gross (total)	102	100	100
4180 Budget authority, net (total)	103	100	100
4190 Outlays, net (total)	102	100	100
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	53	54	53
5001 Total investments, EOY: Federal securities: Par value	54	53	53

Claims and treaty obligations.—Payments are made to fulfill treaty obligations with the Senecas of New York (Act of February

MISCELLANEOUS PERMANENT APPROPRIATIONS—Continued

19, 1831), the Six Nations of New York (Act of November 11, 1794), and the Pawnees of Oklahoma (the treaty of September 24, 1857).

*Operation and maintenance, Indian irrigation systems.*—Revenues derived from charges for operation and maintenance of Indian irrigation projects are used to defray in part the cost of operating and maintaining these projects (25 USC 162a (The Act of November 4, 1983), 60 Stat. 895, P.L. 98–146).

*Power systems, Indian irrigation projects.*—Revenues collected from the sale of electric power by the Colorado River and Flathead power systems are used to operate and maintain those systems (25 USC 162a (The Act of November 4, 1983), 60 Stat. 895; 65 Stat. 254, P.L. 98–146). This activity also includes Cochiti Wet Field Solution funds that were transferred from the Corps of Engineers to pay for operation and maintenance, repair, and replacement of the on-going drainage system (P.L. 102–358).

*Alaska resupply program.*—Revenues collected from operation of the Alaska Resupply Program are used to operate and maintain this program (P.L. 77–457, 56 Stat. 95).

Object Classification (in millions of dollars)

Identification code 14–9925–0–2–452	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	15	12	12
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	17	14	14
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	30	30	30
25.2 Other services from non-Federal sources .....	20	33	33
25.3 Other goods and services from Federal sources .....	3	3	3
25.4 Operation and maintenance of facilities .....	5	2	2
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	6	5	5
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	12	4	4
41.0 Grants, subsidies, and contributions .....	2		
99.9 Total new obligations .....	104	100	100

Employment Summary

Identification code 14–9925–0–2–452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	275	275	275

INDIAN DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 14–4416–0–3–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0715 Other (Rounding) .....	1	1	1
0743 Interest on downward reestimates .....	2		
0900 Total new obligations .....	3	1	1
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	
1023 Unobligated balances applied to repay debt .....	–1	–1	
1050 Unobligated balance (total) .....	2		
<b>Financing authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	2	1	1
1850 Spending auth from offsetting collections, mand (total) .....	2	1	1
1930 Total budgetary resources available .....	4	1	1

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	3	1	1
3020 Financing disbursements (gross) .....	–3	–1	–1
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Financing authority, gross .....	2	1	1
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....	3	1	1
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Collections of loans .....	–2	–1	–1
4190 Financing disbursements, net (total) .....	1		

Status of Direct Loans (in millions of dollars)

Identification code 14–4416–0–3–452	2012 actual	2013 CR	2014 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	4	3	2
1251 Repayments: Repayments and prepayments .....	–1	–1	–1
1290 Outstanding, end of year .....	3	2	1

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 14–4416–0–3–452	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	3	
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	4	3
1405 Allowance for subsidy cost (-) .....	–1	–2
1499 Net present value of assets related to direct loans .....	3	5
1901 Other Federal assets: Upward Subsidy Reestimate Receivable .....	1	
1999 Total assets .....	7	5
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	7	5
4999 Total liabilities and net position .....	7	5

REVOLVING FUND FOR LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 14–4409–0–3–452	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1	1	1
1820 Capital transfer of spending authority from offsetting collections to general fund .....	–1	–1	–1
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	–1	–1	–1
4180 Budget authority, net (total) .....	–1	–1	–1
4190 Outlays, net (total) .....	–1	–1	–1

Status of Direct Loans (in millions of dollars)

Identification code 14-4409-0-3-452	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	4	3	2
1251 Repayments: Repayments and prepayments	-1	-1	-1
1290 Outstanding, end of year	3	2	1

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 14-4409-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
1601 Direct loans, gross	4	3
1602 Interest receivable	2	2
1603 Allowance for estimated uncollectible loans and interest (-)	-2	-2
1699 Value of assets related to direct loans	4	3
1999 Total assets	4	3
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury	4	3
4999 Total liabilities and net position	4	3

INDIAN GUARANTEED LOAN PROGRAM ACCOUNT

For the cost of guaranteed loans and insured loans, \$5,018,000, of which \$981,000 is for administrative expenses, as authorized by the Indian Financing Act of 1974, as amended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed or insured, not to exceed \$70,176,166.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-2628-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy	6	4	4
0707 Reestimates of loan guarantee subsidy	1		
0708 Interest on reestimates of loan guarantee subsidy	1		
0709 Administrative expenses	1	1	1
0900 Total new obligations	9	5	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7	7	5
1160 Appropriation, discretionary (total)	7	7	5
Appropriations, mandatory:			
1200 Appropriation	3		
1260 Appropriations, mandatory (total)	3		
1900 Budget authority (total)	10	7	5
1930 Total budgetary resources available	10	8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	3	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	4	2
3010 Obligations incurred, unexpired accounts	9	5	5
3020 Outlays (gross)	-10	-7	-7
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	4	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	4	2
3200 Obligated balance, end of year	4	2	

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	7	7	5
Outlays, gross:			
4010 Outlays from new discretionary authority	7	1	1
4011 Outlays from discretionary balances		6	6
4020 Outlays, gross (total)	7	7	7
Mandatory:			
4090 Budget authority, gross	3		
Outlays, gross:			
4101 Outlays from mandatory balances	3		
4180 Budget authority, net (total)	10	7	5
4190 Outlays, net (total)	10	7	7

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 14-2628-0-1-452	2012 actual	2013 CR	2014 est.
Direct loan downward reestimates:			
137001 Indian Direct Loans	-2		
137999 Total downward reestimate budget authority	-2		
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Indian Guaranteed Loans	72	72	69
215002 Indian Insured Loans	1	1	1
215999 Total loan guarantee levels	73	73	70
Guaranteed loan subsidy (in percent):			
232001 Indian Guaranteed Loans	8.42	5.57	5.79
232002 Indian Insured Loans	5.68	3.00	3.17
232999 Weighted average subsidy rate	8.38	5.53	5.75
Guaranteed loan subsidy budget authority:			
233001 Indian Guaranteed Loans	6	4	4
233999 Total subsidy budget authority	6	4	4
Guaranteed loan subsidy outlays:			
234001 Indian Guaranteed Loans	4	4	4
234002 Indian Insured Loans	3		
234999 Total subsidy outlays	7	4	4
Guaranteed loan upward reestimates:			
235001 Indian Guaranteed Loans	2		
235999 Total upward reestimate budget authority	2		
Guaranteed loan downward reestimates:			
237001 Indian Guaranteed Loans	-28		
237999 Total downward reestimate subsidy budget authority	-28		
Administrative expense data:			
3510 Budget authority	1	1	1
3590 Outlays from new authority	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with guaranteed and insured loans committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program including improvements to IT systems. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. Guaranteed and insured loans are targeted to projects with an emphasis on manufacturing, business services, and tourism (hotels, motels, restaurants) providing increased economic development on Indian reservations.

## INDIAN GUARANTEED LOAN PROGRAM ACCOUNT—Continued

## Object Classification (in millions of dollars)

Identification code 14-2628-0-1-452	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	8	4	4
99.9 Total new obligations .....	9	5	5

## INDIAN GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 14-4415-0-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Interest supplement payments .....	1	2	2
Credit program obligations:			
0711 Default claim payments on principal .....		2	2
0712 Default claim payments on interest .....		1	1
0742 Downward reestimate paid to receipt account .....	20		
0743 Interest on downward reestimates .....	9		
0791 Direct program activities, subtotal .....	29	3	3
0900 Total new obligations .....	30	5	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	69	56	58
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13	7	7
1801 Change in uncollected payments, Federal sources .....	4		
1850 Spending auth from offsetting collections, mand (total) .....	17	7	7
1930 Total budgetary resources available .....	86	63	65
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	56	58	60
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	30	5	5
3020 Financing disbursements (gross) .....	-30	-5	-5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		-4	-4
3200 Obligated balance, end of year .....	-4	-4	-4
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	17	7	7
Financing disbursements:			
4110 Financing disbursements, gross .....	30	5	5
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account .....	-9	-4	-4
4122 Interest on uninvested funds .....	-2	-2	-2
4123 Non-Federal sources .....	-2	-1	-1
4130 Offsets against gross financing auth and disbursements (total) .....	-13	-7	-7
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4170 Financing disbursements, net (mandatory) .....	17	-2	-2
4190 Financing disbursements, net (total) .....	17	-2	-2

## Status of Guaranteed Loans (in millions of dollars)

Identification code 14-4415-0-3-452	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....	73	73	70
2150 Total guaranteed loan commitments .....	73	73	70
2199 Guaranteed amount of guaranteed loan commitments .....	67	66	63

## Cumulative balance of guaranteed loans outstanding:

2210 Outstanding, start of year .....	483	522	512
2231 Disbursements of new guaranteed loans .....	82	76	73
2251 Repayments and prepayments .....	-43	-84	-82
2261 Adjustments: Terminations for default that result in loans receivable .....		-2	-2
2290 Outstanding, end of year .....	522	512	501

## Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	469	461	451
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## Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	11	8	9
2331 Disbursements for guaranteed loan claims .....		2	2
2351 Repayments of loans receivable .....	-3	-1	-1
2361 Write-offs of loans receivable .....			
2390 Outstanding, end of year .....	8	9	10

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 14-4415-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	69	48
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	11	8
1502 Interest receivable .....	2	2
1505 Allowance for subsidy cost (-) .....	-12	-9
1599 Net present value of assets related to defaulted guaranteed loans .....	1	1
1901 Other Federal assets: Upward Subsidy Reestimate Receivable .....	2	3
1999 Total assets .....	72	52
<b>LIABILITIES:</b>		
2105 Federal liabilities: Other-Downward Reestimate .....	30	23
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	42	29
2999 Total liabilities .....	72	52
4999 Total liabilities and net position .....	72	52

## INDIAN LOAN GUARANTY AND INSURANCE FUND LIQUIDATING ACCOUNT

## Status of Guaranteed Loans (in millions of dollars)

Identification code 14-4410-0-3-452	2012 actual	2013 CR	2014 est.
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	2	1	1
2351 Repayments of loans receivable .....	-1		
2390 Outstanding, end of year .....	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 14-4410-0-3-452	2011 actual	2012 actual
<b>ASSETS:</b>		
1701 Defaulted guaranteed loans, gross .....	2	1
1702 Interest receivable .....	1	1
1703 Allowance for estimated uncollectible loans and interest (-) .....	-3	-1
1799 Value of assets related to loan guarantees .....		1
1999 Total assets .....		1

**BUREAU OF INDIAN AFFAIRS—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

*Note.*—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:

The Department of the Interior: Department-wide Programs: "Natural Resource Damage Assessment Fund"

The Department of the Interior: Department-wide Programs: "Wildland Fire Management"

The Department of Transportation: Federal Highway Administration: "Federal-Aid Highways"

The Department of the Interior: Office of the Special Trustee for American Indians: "Federal Trust Programs"

**Trust Funds**

**GIFTS AND DONATIONS, BUREAU OF INDIAN AFFAIRS**

**Program and Financing** (in millions of dollars)

Identification code 14-8361-0-7-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		1	1
0900 Total new obligations (object class 41.0) .....		1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	1
1930 Total budgetary resources available .....	2	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		1
3010 Obligations incurred, unexpired accounts .....		1	1
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		1
3200 Obligated balance, end of year .....		1	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1		
4190 Outlays, net (total) .....	1		

**Donations and contributed funds.**—The Secretary of the Interior may accept donations of funds or other property, and may use the donated property in accordance with the terms of the donation in furtherance of any program authorized by other provision of law for the benefit of Indians (25 U.S.C. 451).

**ADMINISTRATIVE PROVISIONS**

The Bureau of Indian Affairs may carry out the operation of Indian programs by direct expenditure, contracts, cooperative agreements, compacts, and grants, either directly or in cooperation with States and other organizations.

Notwithstanding 25 U.S.C. 15, the Bureau of Indian Affairs may contract for services in support of the management, operation, and maintenance of the Power Division of the San Carlos Irrigation Project.

Appropriations for the Bureau of Indian Affairs (except the Revolving Fund for Loans Liquidating Account, Indian Loan Guaranty and Insur-

ance Fund Liquidating Account, Indian Guaranteed Loan Financing Account, Indian Direct Loan Financing Account, and the Indian Guaranteed Loan Program account) shall be available for expenses of exhibits.

Notwithstanding any other provision of law, no funds available to the Bureau of Indian Affairs for central office oversight and Executive Direction and Administrative Services (except executive direction and administrative services funding for Tribal Priority Allocations, regional offices, and facilities operations and maintenance) shall be available for contracts, grants, compacts, or cooperative agreements with the Bureau of Indian Affairs under the provisions of the Indian Self-Determination Act or the Tribal Self-Governance Act of 1994 (Public Law 103-413).

In the event any tribe returns appropriations made available by this Act to the Bureau of Indian Affairs, this action shall not diminish the Federal Government's trust responsibility to that tribe, or the government-to-government relationship between the United States and that tribe, or that tribe's ability to access future appropriations.

Notwithstanding any other provision of law, no funds available to the Bureau, other than the amounts provided herein for assistance to public schools under 25 U.S.C. 452 et seq., shall be available to support the operation of any elementary or secondary school in the State of Alaska.

No funds available to the Bureau shall be used to support expanded grades for any school or dormitory beyond the grade structure in place or approved by the Secretary of the Interior at each school in the Bureau school system as of October 1, 1995. Appropriations made available in this or any prior Act for schools funded by the Bureau shall be available, in accordance with the BIE funding formula, only to the schools in the Bureau school system as of September 1, 1996 and to any school or school program that was re-instated in FY 2012. Funds made available under this Act may not be used to establish a charter school at a Bureau-funded school (as that term is defined in section 1141 of the Education Amendments of 1978 (25 U.S.C. 2021)), except that a charter school that is in existence on the date of the enactment of this Act and that has operated at a Bureau-funded school before September 1, 1999, may continue to operate during that period, but only if the charter school pays to the Bureau a pro rata share of funds to reimburse the Bureau for the use of the real and personal property (including buses and vans), the funds of the charter school are kept separate and apart from Bureau funds, and the Bureau does not assume any obligation for charter school programs of the State in which the school is located if the charter school loses such funding. Employees of Bureau-funded schools sharing a campus with a charter school and performing functions related to the charter school's operation and employees of a charter school shall not be treated as Federal employees for purposes of chapter 171 of title 28, United States Code.

Notwithstanding any other provision of law, including section 113 of title I of appendix C of Public Law 106-113, if in fiscal year 2003 or 2004 a grantee received indirect and administrative costs pursuant to a distribution formula based on section 5(f) of Public Law 101-301, the Secretary shall continue to distribute indirect and administrative cost funds to such grantee using the section 5(f) distribution formula.

**DEPARTMENTAL OFFICES**

DEPARTMENTAL OFFICES

**Federal Funds**

OFFICE OF THE SECRETARY

DEPARTMENTAL OPERATIONS

For necessary expenses for management of the Department of the Interior, including the collection and disbursement of royalties, fees, and other mineral revenue proceeds, and for grants and cooperative agreements, as authorized by law, \$268,868,000, to remain available until September 30, 2015; of which not to exceed \$15,000 may be for official reception and representation expenses; and of which up to \$1,000,000 shall be available for workers compensation payments and unemployment compensation payments associated with the orderly closure of the United States Bureau of Mines; and of which \$12,168,000 for the Office of Valuation Services is to be derived from the Land and Water Conservation Fund and shall remain available until expended; and of which \$38,300,000 shall remain available until expended for the purpose of mineral revenue management activities: Provided, That, for fiscal year 2014, up to \$400,000 of the payments authorized by the Act of October 20, 1976, as amended (31 U.S.C.

OFFICE OF THE SECRETARY—Continued

6901–6907) may be retained for administrative expenses of the Payments in Lieu of Taxes Program: Provided further, That no payment shall be made pursuant to that Act to otherwise eligible units of local government if the computed amount of the payment is less than \$100: Provided further, That notwithstanding any other provision of law, \$15,000 under this heading shall be available for refunds of overpayments in connection with certain Indian leases in which the Secretary concurred with the claimed refund due, to pay amounts owed to Indian allottees or tribes, or to correct prior unrecoverable erroneous payments: Provided further, That, notwithstanding the provisions of section 35(b) of the Mineral Leasing Act, as amended (30 U.S.C. 191(b)), the Secretary shall deduct 2 percent from the amount payable to each State in fiscal year 2014 and deposit the amount deducted to miscellaneous receipts of the Treasury.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 14–0102–0–1–306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0012 Leadership and Administration .....	118	125	125
0013 Management Services .....	22	26	21
0014 Office of Natural Resources Revenue .....	112	149	126
0015 Disaster Relief Appropriations Act, 2013 .....		90	270
0100 Direct program subtotal .....	252	390	542
0799 Total direct obligations .....	252	390	542
0804 Leadership and Administration .....	46	60	60
0806 Office of Natural Resources Revenue .....	30		
0899 Total reimbursable obligations .....	76	60	60
0900 Total new obligations .....	328	450	602
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	44	278
1011 Unobligated balance transfer from other accts [14–1917] .....	54		
1050 Unobligated balance (total) .....	62	44	278
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	249	611	257
1101 Appropriation (special or trust fund) .....	13	13	12
1121 Appropriations transferred from other accts [72–1021] .....	1		
1160 Appropriation, discretionary (total) .....	263	624	269
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	46	60	60
1701 Change in uncollected payments, Federal sources .....	6		
1750 Spending auth from offsetting collections, disc (total) .....	52	60	60
1900 Budget authority (total) .....	315	684	329
1930 Total budgetary resources available .....	377	728	607
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–5		
1941 Unexpired unobligated balance, end of year .....	44	278	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	88	101
3010 Obligations incurred, unexpired accounts .....	328	450	602
3020 Outlays (gross) .....	–275	–437	–501
3031 Unpaid obligations transferred from other accts [14–1917] .....	22		
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	88	101	202
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–11	–7	–7
3070 Change in uncollected pymts, Fed sources, unexpired .....	–6		
3071 Change in uncollected pymts, Fed sources, expired .....	10		
3090 Uncollected pymts, Fed sources, end of year .....	–7	–7	–7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	81	94
3200 Obligated balance, end of year .....	81	94	195

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	315	684	329
Outlays, gross:			
4010 Outlays from new discretionary authority .....	261	353	303
4011 Outlays from discretionary balances .....	14	84	198
4020 Outlays, gross (total) .....	275	437	501
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–47	–60	–60
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–6		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	–5		
4070 Budget authority, net (discretionary) .....	263	624	269
4080 Outlays, net (discretionary) .....	228	377	441
4180 Budget authority, net (total) .....	263	624	269
4190 Outlays, net (total) .....	228	377	441

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	263	624	269
Outlays .....	228	377	441
Legislative proposal, subject to PAYGO:			
Budget Authority .....			6
Outlays .....			5
Total:			
Budget Authority .....	263	624	275
Outlays .....	228	377	446

This appropriation supports the functions of the Office of the Secretary of the Interior, including executive-level leadership, policy, guidance, and coordination of the responsibilities carried out by its bureaus and offices. In addition, the appropriation supports programmatic functions carried out by the Office of the Secretary including mineral revenue collections, the Take Pride in America program, the Department's quasi-judicial and appellate responsibilities, and the Office of Valuation Services. The appropriation also provides for workers and unemployment compensation payments for former Bureau of Mines employees.

Office of Natural Resources Revenue (ONRR). The Office of Natural Resources Revenue seeks to ensure that the Nation's Federal and Indian mineral revenues are accurately reported and paid in compliance with laws, regulations, and lease terms. Revenues collected by ONRR represent one of the largest sources of non-tax revenue to the Federal Government. ONRR disburses mineral revenues to States, the Office of the Special Trustee for American Indians, other Federal agencies, and the General Fund of the United States Treasury. Through ONRR, the Administration will continue to implement mineral revenue collection reforms, including the termination of the royalty-in-kind (RIK) program and the implementation of recommendations from GAO, the Department's Inspector General, and others. To solidify its reform of the RIK program, the Administration will propose legislation to repeal all Federal authorities to accept future royalties in-kind (rather than in cash).

Object Classification (in millions of dollars)

Identification code 14–0102–0–1–306	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	102	103	104
11.3 Other than full-time permanent .....	6	7	7
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	111	113	114
12.1 Civilian personnel benefits .....	31	32	33
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	26	28	28
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1

25.1	Advisory and assistance services .....	26	26	26
25.2	Other services from non-Federal sources .....	5	95	195
25.3	Other goods and services from Federal sources .....	30	73	123
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	7	7	7
41.0	Grants, subsidies, and contributions .....	11	11	11
99.0	Direct obligations .....	252	390	542
99.0	Reimbursable obligations .....	76	60	60
99.9	Total new obligations .....	328	450	602

**Employment Summary**

Identification code 14-0102-0-1-306		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	1,066	1,111	1,136
2001	Reimbursable civilian full-time equivalent employment .....	278	289	289
3001	Allocation account civilian full-time equivalent employment .....	64	60	60

**SALARIES AND EXPENSES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 14-0102-4-1-306		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0013	Management Services .....			6
0100	Direct program subtotal .....			6
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1221	Appropriations transferred from other accts [14-5005] ....			6
1260	Appropriations, mandatory (total) .....			6
1930	Total budgetary resources available .....			6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			6
3020	Outlays (gross) .....			-5
3050	Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			6
Outlays, gross:				
4100	Outlays from new mandatory authority .....			5
4180	Budget authority, net (total) .....			6
4190	Outlays, net (total) .....			5

**Object Classification** (in millions of dollars)

Identification code 14-0102-4-1-306		2012 actual	2013 CR	2014 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....			1
25.2	Other services from non-Federal sources .....			5
99.9	Total new obligations .....			6

**Employment Summary**

Identification code 14-0102-4-1-306		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....			10

**MINERAL LEASING AND ASSOCIATED PAYMENTS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-5003-0-2-999		2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....			

Receipts:				
0220	Receipts from Mineral Leasing, Public Lands .....	2,050	2,057	2,142
0400	Total: Balances and collections .....	2,050	2,057	2,142
Appropriations:				
0500	Mineral Leasing and Associated Payments .....	-2,050	-2,057	-2,142
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 14-5003-0-2-999		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	2,050	2,017	2,100
0900	Total new obligations (object class 41.0) .....	2,050	2,017	2,100
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1130	Appropriations permanently reduced .....		-40	-42
1160	Appropriation, discretionary (total) .....		-40	-42
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	2,050	2,057	2,142
1260	Appropriations, mandatory (total) .....	2,050	2,057	2,142
1900	Budget authority (total) .....	2,050	2,017	2,100
1930	Total budgetary resources available .....	2,050	2,017	2,100
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	2,050	2,017	2,100
3020	Outlays (gross) .....	-2,050	-2,017	-2,100
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....		-40	-42
Outlays, gross:				
4010	Outlays from new discretionary authority .....		-40	-42
Mandatory:				
4090	Budget authority, gross .....	2,050	2,057	2,142
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2,050	2,057	2,142
4180	Budget authority, net (total) .....	2,050	2,017	2,100
4190	Outlays, net (total) .....	2,050	2,017	2,100

**MINERAL LEASING AND ASSOCIATED PAYMENTS**

(Legislative proposal, subject to PAYGO)

States receive 50 percent of Federal revenues generated from mineral production occurring on Federal lands within that State's boundaries. To partially cover the costs of administering the Federal mineral leasing program, the Budget proposes to amend the Mineral Leasing Act (MLA) to deduct two percent from the required payments to States under the Act. Until 2000, the Department (acting through the former Minerals Management Service) was authorized to deduct a certain amount from State mineral revenue payments based on an assessment of the Federal Government's costs to manage and oversee mineral leasing and production. This was commonly referred to as "net receipts sharing," or NRS. The NRS authority was repealed in 2000, during a time of Federal budget surpluses. Since FY 2008, Congress has annually required a two-percent deduction from each year's State payments as part of the Interior, Environment, and Related Agencies Appropriations Acts. This two-percent deduction (equivalent to one percent of total mineral revenues) is a simpler form of net receipts sharing that addresses concerns raised about the administrative complexity of the original NRS process. The Administration proposes continuing net receipts sharing through the appropriations process in 2014. Separate authorizing legislation to amend the Mineral Leasing Act would make this change in the Federal-State revenue allocation permanent starting in 2015.

NATIONAL PETROLEUM RESERVE, ALASKA

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5045-0-2-806	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Receipts from Oil and Gas Leases, National Petroleum Reserve in Alaska, MMS	5	3	3
0400 Total: Balances and collections	5	3	3
Appropriations:			
0500 National Petroleum Reserve, Alaska	-5	-3	-3
0501 National Petroleum Reserve, Alaska			3
0599 Total appropriations	-5	-3	
0799 Balance, end of year			3

Program and Financing (in millions of dollars)

Identification code 14-5045-0-2-806	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	5	3	3
0900 Total new obligations (object class 41.0)	5	3	3

Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	3	3
1260 Appropriations, mandatory (total)	5	3	3
1930 Total budgetary resources available	5	3	3

Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	5	3	3
3020 Outlays (gross)	-5	-3	-3

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	5	3	3
4180 Budget authority, net (total)	5	3	3
4190 Outlays, net (total)	5	3	3

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	5	3	3
Outlays	5	3	3
Legislative proposal, subject to PAYGO:			
Budget Authority			-3
Outlays			-3
Total:			
Budget Authority	5	3	
Outlays	5	3	

Payments to Alaska from oil and gas leasing in the National Petroleum Reserve-Alaska (NPR-A).—P.L. 96-514 requires that 50 percent of all revenues received from oil and gas leasing in the NPR-A be paid to the State of Alaska.

NATIONAL PETROLEUM RESERVE, ALASKA

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 14-5045-4-2-806	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity			-3
0900 Total new obligations (object class 41.0)			-3

Budgetary Resources:

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-3
1260 Appropriations, mandatory (total)			-3
1930 Total budgetary resources available			-3

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-3
3020 Outlays (gross)			3

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-3
Outlays, gross:			
4100 Outlays from new mandatory authority			-3
4180 Budget authority, net (total)			-3
4190 Outlays, net (total)			-3

The Budget proposes to temporarily halt revenue sharing payments to the State of Alaska from NPR-A oil and gas development in order to reallocate these resources to a new Alaska Land Conveyance and Remediation Fund. This fund would be used to address priority BLM program needs in Alaska, as discussed under the BLM Permanent Operating Funds account heading. The regular 50/50 Federal-State revenue sharing arrangement would resume once the work on these two Alaska-specific activities is complete.

LEASES OF LANDS ACQUIRED FOR FLOOD CONTROL, NAVIGATION, AND ALLIED PURPOSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5248-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes	24	26	27
0400 Total: Balances and collections	24	26	27
Appropriations:			
0500 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes	-24	-26	-27
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5248-0-2-302	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	24	26	27
0900 Total new obligations (object class 41.0)	24	26	27

Budgetary Resources:

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	24	26	27
1260 Appropriations, mandatory (total)	24	26	27
1930 Total budgetary resources available	24	26	27

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	24	26	27
3020 Outlays (gross)	-24	-26	-27

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	24	26	27
Outlays, gross:			
4100 Outlays from new mandatory authority	24	26	27
4180 Budget authority, net (total)	24	26	27
4190 Outlays, net (total)	24	26	27

Flood control payments to States are shared according to the Flood Control Act of 1936 (33 U.S.C. 701 et seq.) which provides that 75 percent of revenue collected be shared with the State in which it was collected. These funds are to be expended as the State legislature may prescribe for the benefit of the public schools and roads in the county from which the revenue was collected or for defraying any of the expenses of county government. These expenses include public obligations of levee and drainage districts for flood control and drainage improvements.

NATIONAL FORESTS FUND, PAYMENT TO STATES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5243-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 National Forests Fund, Payments to States .....	10	8	8
0400 Total: Balances and collections .....	10	8	8
Appropriations:			
0500 National Forests Fund, Payment to States .....	-10	-8	-8
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 14-5243-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	10	8	8
0900 Total new obligations (object class 41.0) .....	10	8	8
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10	8	8
1260 Appropriations, mandatory (total) .....	10	8	8
1930 Total budgetary resources available .....	10	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	10	8	8
3020 Outlays (gross) .....	-10	-8	-8
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	8	8
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	8	8
4180 Budget authority, net (total) .....	10	8	8
4190 Outlays, net (total) .....	10	8	8

As of May 23, 1908 (16 U.S.C. 499), 25 percent of the revenues collected from onshore mineral leasing and production on national forest lands have been paid to the State in which the national forest resides. A State's payment is based on national forest acreage and when a national forest is situated in several States, an individual State payment is proportionate to its area within that particular national forest. These payments are now administered by the Office of Natural Resources Revenue within the Department of the Interior's Office of the Secretary.

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5574-0-2-806	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Geothermal Lease Revenues, County Share .....	4	4	4

0221 Geothermal Lease Revenues, County Share .....			-4
0299 Total receipts and collections .....	4	4	
0400 Total: Balances and collections .....	4	4	
Appropriations:			
0500 Geothermal Lease Revenues, Payment to Counties .....	-4	-4	-4
0501 Geothermal Lease Revenues, Payment to Counties .....			4
0599 Total appropriations .....	-4	-4	
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 14-5574-0-2-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	4	4	4
0900 Total new obligations (object class 41.0) .....	4	4	4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1260 Appropriations, mandatory (total) .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-4	-4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	4	4	4
Outlays .....	4	4	4
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-4
Outlays .....			-4
Total:			
Budget Authority .....	4	4	
Outlays .....	4	4	

The Energy Policy Act of 2005 (P.L. 109-58) amended section 20 of the Geothermal Steam Act of 1970 (30 U.S.C. 1019 et seq.). The amendment provides that for the revenues collected from geothermal leasing, 50 percent of the revenues are to be paid to the State and 25 percent are to be paid to the county in which the leased lands or geothermal resources are located.

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 14-5574-4-2-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			-4
0900 Total new obligations (object class 41.0) .....			-4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-4
1260 Appropriations, mandatory (total) .....			-4

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES—Continued  
Program and Financing—Continued

Identification code 14-5574-4-2-806	2012 actual	2013 CR	2014 est.
1930 Total budgetary resources available			-4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-4
3020 Outlays (gross)			4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			-4
Outlays, gross:			
4100 Outlays from new mandatory authority			-4
4180 Budget authority, net (total)			-4
4190 Outlays, net (total)			-4

*Terminate geothermal payments to counties.* The Budget proposes to repeal the 25 percent geothermal lease revenue sharing payments to counties established by the Energy Policy Act of 2005 (P.L. 109-58) and return to the traditional 50/50 Federal-State revenue sharing arrangement. States have the flexibility to allocate their share of revenue from Federal leases to counties, if appropriate.

STATES SHARE FROM CERTAIN GULF OF MEXICO LEASES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5535-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0220 Outer Continental Shelf Rentals and Bonuses, State Share from Certain Gulf of Mexico Leases			3
0400 Total: Balances and collections			3
Appropriations:			
0500 States Share from Certain Gulf of Mexico Leases			-3
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5535-0-2-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity			3
0900 Total new obligations (object class 41.0)			3
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			3
1260 Appropriations, mandatory (total)			3
1930 Total budgetary resources available			3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			3
3020 Outlays (gross)			-3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			3
Outlays, gross:			
4100 Outlays from new mandatory authority			3
4180 Budget authority, net (total)			3
4190 Outlays, net (total)			3

The Gulf of Mexico Energy Security Act of 2006 opened some additional areas in the Gulf of Mexico for offshore oil and gas leasing, while maintaining moratoria on activities east of the

Military Mission Line and within certain distances from the coastline of Florida. The Act provides that 37.5 percent of Outer Continental Shelf revenues from certain leases be distributed to four coastal States (Alabama, Louisiana, Mississippi, and Texas) based on a complex allocation formula, with most distributions subject to an annual cap in later years. The receipts are available in the year following collection, and the funding provided is to be used primarily for coastal protection and restoration activities. These payments are now administered by the Office of Natural Resources Revenue within the Department of the Interior's Office of the Secretary.

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5425-0-2-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	1,210	1,250	1,251
Receipts:			
0240 Interest Earned, Environmental Improvement and Restoration Fund	40	1	2
0400 Total: Balances and collections	1,250	1,251	1,253
0799 Balance, end of year	1,250	1,251	1,253

Program and Financing (in millions of dollars)

Identification code 14-5425-0-2-302	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	1,230	1,270	1,287
5001 Total investments, EOY: Federal securities: Par value	1,270	1,287	1,288

Title IV of the Department of the Interior and Related Agencies Appropriation Act, 1998 (P.L. 105-83) established the Environmental Improvement and Restoration Fund account. As required by law, 50 percent of the principal and 50 percent of the interest from the Alaska Escrow account are deposited into the Environmental Improvement and Restoration Fund. The law requires that the corpus of the Fund be invested. Twenty percent of the interest earned by the Fund is permanently appropriated to the Department of Commerce and the unappropriated balance of interest remains in the fund. At this time, no budget authority is requested.

INDIAN EDUCATION SCHOLARSHIP HOLDING FUND

Program and Financing (in millions of dollars)

Identification code 14-2010-0-1-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Scholarships		5	15
0900 Total new obligations (object class 41.0)		5	15
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			55
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [14-5670]		60	
1260 Appropriations, mandatory (total)		60	
1900 Budget authority (total)		60	
1930 Total budgetary resources available		60	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		55	40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts		5	15

3020	Outlays (gross) .....	-5	-15
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	60	
Outlays, gross:			
4100	Outlays from new mandatory authority .....	5	
4101	Outlays from mandatory balances .....		15
4110	Outlays, gross (total) .....	5	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180	Budget authority, net (total) .....	60	
4190	Outlays, net (total) .....	5	15

The Individual Indian Money Account Litigation Settlement (P.L. 111–291) establishes this fund to provide Indian land owners with an additional incentive to sell their fractionated interests, given the fact that the market value associated with highly fractionated interests will be quite low in many cases. Not more than \$60 million may be transferred from the Trust Land Consolidation Fund to this fund for higher education scholarships for American Indians and Alaska Natives to be administered as described in the settlement agreement.

**TRUST LAND CONSOLIDATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14–5670–0–2–452	2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....		
Receipts:			
0240	Judgment Fund Payment, Trust Land Consolidation Fund .....	1,900	
0400	Total: Balances and collections .....	1,900	
Appropriations:			
0500	Trust Land Consolidation Fund .....	-1,900	
0799	Balance, end of year .....		

**Program and Financing** (in millions of dollars)

Identification code 14–5670–0–2–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Land Purchases .....	40	326
0003	Administration .....	5	9
0900	Total new obligations .....	45	335
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....		1,795
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	1,900	
1220	Appropriations transferred to other accts [14–2010] .....	-60	
1260	Appropriations, mandatory (total) .....	1,840	
1930	Total budgetary resources available .....	1,840	1,795
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1,795	1,460
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	45	335
3020	Outlays (gross) .....	-45	-335
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1,840	
Outlays, gross:			
4100	Outlays from new mandatory authority .....	45	
4101	Outlays from mandatory balances .....		335
4110	Outlays, gross (total) .....	45	335
4180	Budget authority, net (total) .....	1,840	
4190	Outlays, net (total) .....	45	335

The Individual Indian Money Account Litigation Settlement (P.L. 111–291) establishes a new trust land consolidation fund for the buy-back and consolidation of fractionated interests in parcels of land from individual Indian landowners. The fund also covers administrative costs to undertake the process of acquiring fractionated interests and associated trust reform activities not to exceed 15 percent of the fund. The acquisition of fractionated interests is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106–462), and the American Indian Probate Reform Act of 2004 (P.L. 108–374). The Settlement provides additional authority for the acquisition of interests held by persons who cannot be located after engaging in extensive efforts to notify them and locate them for a five-year period. The Settlement was finalized on November 24, 2012 and in accordance with the terms of the legislation, these funds remain available for ten years from the date of the Settlement.

**Object Classification** (in millions of dollars)

Identification code 14–5670–0–2–452	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....		4
12.1	Civilian personnel benefits .....		1
25.3	Other goods and services from Federal sources .....	5	4
32.0	Land and structures .....	40	326
99.9	Total new obligations .....	45	335

**Employment Summary**

Identification code 14–5670–0–2–452	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	3	11

**LAND AND WATER CONSERVATION FUND**

*(CANCELLATION)*

*The contract authority provided for fiscal year 2012 by 16 U.S.C. 460l-10a is hereby permanently cancelled.*

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14–5005–0–2–303	2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....	17,742	18,397
19,054	Receipts:		
0200	Land and Water Conservation Fund, Motorboat Fuels Tax .....	1	1
0220	Land and Water Conservation Fund, Rent Receipts, Outer Continental Shelf Lands .....	531	897
0221	Land and Water Conservation Fund, Royalty Receipts, Outer Continental Shelf .....	366	
0222	Outer Continental Shelf Rents and Bonuses, LWCF Share from Certain Gulf of Mexico Leases .....		1
0223	Land and Water Conservation Fund, Surplus Property Sales .....	2	6
0299	Total receipts and collections .....	900	904
0400	Total: Balances and collections .....	18,642	19,301
19,958	Appropriations:		
0500	State and Private Forestry .....	-53	-54
0501	Land Acquisition .....	-22	-22
0502	Land Acquisition .....	-55	-55
0503	Land Acquisition and State Assistance .....	-102	-103
0504	Salaries and Expenses .....	-13	-13
0505	Land and Water Conservation Fund .....		-200
0599	Total appropriations .....	-245	-247
0799	Balance, end of year .....	18,397	19,054
19,482			

The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for Land and Water Conservation Fund programs beginning in fiscal year 2014. Annual funds will be appropriated to the Department of the Interior and allocated to the Bureau of

LAND AND WATER CONSERVATION FUND—Continued  
Land Management, Fish and Wildlife Service, National Park Service, and the Department of Agriculture's Forest Service for authorized land acquisition and grant programs. The annual amount to be allocated will be shown in each of the receiving accounts.

LAND AND WATER CONSERVATION FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 14-5005-4-2-303	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....		200
1220	Appropriations transferred to other accts [14-5035] .....		-50
1220	Appropriations transferred to other accts [14-5033] .....		-16
1220	Appropriations transferred to other accts [14-5020] .....		-36
1220	Appropriations transferred to other accts [14-0102] .....		-6
1220	Appropriations transferred to other accts [14-5143] .....		-28
1220	Appropriations transferred to other accts [14-1031] .....		-5
1220	Appropriations transferred to other accts [12-1105] .....		-25
1220	Appropriations transferred to other accts [12-9923] .....		-34

DEPARTMENTAL MANAGEMENT—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:  
Environmental Protection Agency: "Hazardous Substance Superfund".  
Office of the Special Trustee for American Indians: "Federal Trust Programs".  
Interior: Natural Resources Damage Assessment: "Natural Resources Damage Assessment Fund".

INSULAR AFFAIRS

The Secretary of the Interior is charged with the responsibility of promoting the economic and political development of those insular areas which are under U.S. jurisdiction and within the responsibility of the Department of the Interior. The Secretary originates and implements Federal policy for the U.S. territories; guides and coordinates certain operating programs and construction projects; provides information services and technical assistance; coordinates certain Federal programs and services provided to the freely associated states, and participates in foreign policy and defense matters concerning the U.S. territories and the freely associated states.

Federal Funds

TRUST TERRITORY OF THE PACIFIC ISLANDS

Program and Financing (in millions of dollars)

Identification code 14-0414-0-1-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Technical Assistance .....	1	
0900	Total new obligations (object class 25.3) .....	1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	
1930	Total budgetary resources available .....	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2
3010	Obligations incurred, unexpired accounts .....	1	
3020	Outlays (gross) .....	-1	

3050	Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	2	2
3200	Obligated balance, end of year .....	2	2	2

Budget authority and outlays, net:

Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	1		
4190	Outlays, net (total) .....	1		

Until October 1, 1994, the United States exercised jurisdiction over the Trust Territory of the Pacific Islands according to the terms of the 1947 Trusteeship Agreement between the United States and the Security Council of the United Nations. These responsibilities were carried out by the Department of the Interior.

The Department of the Interior is seeking no additional appropriations for the Trust Territory of the Pacific Islands. Compacts of Free Association have been implemented with the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Remaining funds in the Trust Territory of the Pacific Islands account are being used to improve basic economic information and financial management capabilities in the insular areas; address compact impact related issues; and also for brown treesnake control.

COMPACT OF FREE ASSOCIATION

For grants and necessary expenses, \$3,054,000, to remain available until expended, as provided for in section 221(a)(2) of the Compact of Free Association for the Republic of Palau; and section 221(a)(2) of the Compacts of Free Association for the Government of the Republic of the Marshall Islands and the Federated States of Micronesia, as authorized by Public Law 99-658 and Public Law 108-188.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-0415-0-1-808	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Federal services assistance .....	3	3	3
0101	Palau Compact Extension, mandatory .....	14	13	
0192	Subtotal .....	17	16	3
0201	Assistance to the Marshall Islands .....	68	68	75
0202	Assistance to the Federated States of Micronesia .....	98	107	109
0203	Assistance to the Republic of Palau .....	7		
0204	Compact Impact .....	34	30	30
0291	Subtotal, permanent indefinite .....	207	205	214
0799	Total direct obligations .....	224	221	217
0801	Reimbursable program .....	18	18	18
0900	Total new obligations .....	242	239	235
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	82	91	117
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	
1021	Recoveries of prior year unpaid obligations .....	13	13	
1050	Unobligated balance (total) .....	95	104	117
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3	16	3
1160	Appropriation, discretionary (total) .....	3	16	3
Appropriations, mandatory:				
1200	Appropriation .....	217	218	215
1260	Appropriations, mandatory (total) .....	217	218	215

1700	Spending authority from offsetting collections, discretionary:			
	Collected .....	18	18	18
1701	Change in uncollected payments, Federal sources .....	18		
1750	Spending auth from offsetting collections, disc (total) .....	18	18	18
1900	Budget authority (total) .....	238	252	236
1930	Total budgetary resources available .....	333	356	353
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	91	117	118

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	134	121	78
3010	Obligations incurred, unexpired accounts .....	242	239	235
3020	Outlays (gross) .....	-242	-269	-236
3040	Recoveries of prior year unpaid obligations, unexpired .....	-13	-13	
3050	Unpaid obligations, end of year .....	121	78	77
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-23	-20	-20
3070	Change in uncollected pymts, Fed sources, unexpired .....	-18		
3071	Change in uncollected pymts, Fed sources, expired .....	21		
3090	Uncollected pymts, Fed sources, end of year .....	-20	-20	-20
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	111	101	58
3200	Obligated balance, end of year .....	101	58	57

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	21	34	21
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	18	5
4011	Outlays from discretionary balances .....	19	17	16
4020	Outlays, gross (total) .....	22	35	21
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-19	-18	-18
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-18		
4052	Offsetting collections credited to expired accounts .....	19		
4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	3	16	3
4080	Outlays, net (discretionary) .....	3	17	3
Mandatory:				
4090	Budget authority, gross .....	217	218	215
Outlays, gross:				
4100	Outlays from new mandatory authority .....	178	185	183
4101	Outlays from mandatory balances .....	42	49	32
4110	Outlays, gross (total) .....	220	234	215
4180	Budget authority, net (total) .....	220	234	218
4190	Outlays, net (total) .....	223	251	218

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	220	234	218
Outlays .....	223	251	218
Legislative proposal, subject to PAYGO:			
Budget Authority .....			66
Outlays .....			66
Total:			
Budget Authority .....	220	234	284
Outlays .....	223	251	284

The peoples of the Republic of the Marshall Islands, the Federated States of Micronesia and the Republic of Palau approved Compacts of Free Association negotiated by the United States and their governments. The Compact of Free Association Act of 1985 (Public Law 99-239) constituted the necessary authorizing legislation to make annual payments to the Republic of the Marshall Islands and the Federated States of Micronesia. Payments began in 1987 and continued through 2003 when the original economic assistance package expired. The Compact of Free Association Amendments Act of 2003, Public Law 108-188, continues financial assistance to the Federated States of Micronesia and the Republic of the Marshall Islands through 2023. The Compact of Free Association for the Republic of Palau was enacted

on November 14, 1986 as P.L. 99-658, and was implemented on October 1, 1994. While the financial assistance provisions under the Compact of Free Association with the Republic of Palau were set to expire on September 30, 2009, subsequent appropriations in 2010 through 2013 have continued financial assistance to Palau at 2009 levels.

**Object Classification** (in millions of dollars)

Identification code 14-0415-0-1-808	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	3	3	3
41.0 Grants, subsidies, and contributions .....	221	219	214
99.0 Direct obligations .....	224	222	217
99.0 Reimbursable obligations .....	18	17	18
99.9 Total new obligations .....	242	239	235

**COMPACT OF FREE ASSOCIATION**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 14-0415-4-1-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0203 Assistance to the Republic of Palau .....			66
0900 Total new obligations (object class 41.0) .....			66
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			66
1260 Appropriations, mandatory (total) .....			66
1930 Total budgetary resources available .....			66
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			66
3020 Outlays (gross) .....			-66
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			66
Outlays, gross:			
4100 Outlays from new mandatory authority .....			66
4180 Budget authority, net (total) .....			66
4190 Outlays, net (total) .....			66

**PAYMENTS TO THE UNITED STATES TERRITORIES, FISCAL ASSISTANCE**

**Program and Financing** (in millions of dollars)

Identification code 14-0418-0-1-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Advance payments to Guam of estimated U.S. income tax collections .....	57	57	57
0002 Advance payments to the Virgin Islands of estimated U.S. excise tax collections .....	256	283	258
0900 Total new obligations (object class 41.0) .....	313	340	315
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	313	340	315
1260 Appropriations, mandatory (total) .....	313	340	315
1930 Total budgetary resources available .....	313	340	315
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	313	340	315
3020 Outlays (gross) .....	-313	-340	-315

PAYMENTS TO THE UNITED STATES TERRITORIES, FISCAL ASSISTANCE—Continued

Program and Financing—Continued

Identification code 14-0418-0-1-806	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	313	340	315
Outlays, gross:			
4100 Outlays from new mandatory authority	313	340	315
4180 Budget authority, net (total)	313	340	315
4190 Outlays, net (total)	313	340	315

Public Law 95-348 requires that certain revenues collected by the U.S. Treasury involving Guam and the Virgin Islands (income taxes withheld and excise taxes) be paid prior to the start of the fiscal year of collection. The Budget includes funds for these advance payments.

INSULAR AFFAIRS

ASSISTANCE TO TERRITORIES

For expenses necessary for assistance to territories under the jurisdiction of the Department of the Interior and other jurisdictions identified in section 104(e) of Public Law 108-188, \$88,976,000, of which: (1) \$79,528,000 shall remain available until expended for territorial assistance, including general technical assistance, maintenance assistance, disaster assistance, coral reef initiative activities, and brown tree snake control and research; grants to the judiciary in American Samoa for compensation and expenses, as authorized by law (48 U.S.C. 1661(c)); grants to the Government of American Samoa, in addition to current local revenues, for construction and support of governmental functions; grants to the Government of the Virgin Islands as authorized by law; grants to the Government of Guam, as authorized by law; and grants to the Government of the Northern Mariana Islands as authorized by law (Public Law 94-241; 90 Stat. 272); and (2) \$9,448,000 shall be available until September 30, 2015, for salaries and expenses of the Office of Insular Affairs: Provided, That all financial transactions of the territorial and local governments herein provided for, including such transactions of all agencies or instrumentalities established or used by such governments, may be audited by the Government Accountability Office, at its discretion, in accordance with chapter 35 of title 31, United States Code: Provided further, That Northern Mariana Islands Covenant grant funding shall be provided according to those terms of the Agreement of the Special Representatives on Future United States Financial Assistance for the Northern Mariana Islands approved by Public Law 104-134: Provided further, That the funds for the program of operations and maintenance improvement are appropriated to institutionalize routine operations and maintenance improvement of capital infrastructure with territorial participation and cost sharing to be determined by the Secretary based on the grantee's commitment to timely maintenance of its capital assets: Provided further, That any appropriation for disaster assistance under this heading in this Act or previous appropriations Acts may be used as non-Federal matching funds for the purpose of hazard mitigation grants provided pursuant to section 404 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170c).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-0412-0-1-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0009 Office of Insular Affairs	12	10	9
0010 Technical assistance	23	14	17
0015 Coral reef initiative	1	1	1
0016 Water and wastewater projects	1	1	
0017 Maintenance assistance fund	2	2	1
0018 American Samoa operations grants	22	23	23

0019 Brown Treesnake	3	3	4
0021 Empowering Insular Communities	2	2	3
0031 Compact Impact Discretionary		5	3
0091 Direct subtotal, discretionary	66	61	61
0101 Covenant grants, mandatory	33	28	28
0900 Total new obligations	99	89	89

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	9	3	3
1001 Discretionary unobligated balance brought fwd, Oct 1	2	2	
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	14	3	3
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation	60	61	61
1160 Appropriation, discretionary (total)	60	61	61
Appropriations, mandatory:			
1200 Appropriation	28	28	28
1260 Appropriations, mandatory (total)	28	28	28
1900 Budget authority (total)	88	89	89
1930 Total budgetary resources available	102	92	92
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	3	3	3

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	159	180	198
3010 Obligations incurred, unexpired accounts	99	89	89
3011 Obligations incurred, expired accounts	2		
3020 Outlays (gross)	-75	-71	-108
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3050 Unpaid obligations, end of year	180	198	179
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	156	177	195
3200 Obligated balance, end of year	177	195	176

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross	60	61	61
Outlays, gross:			
4010 Outlays from new discretionary authority	50	40	40
4011 Outlays from discretionary balances	4	2	32
4020 Outlays, gross (total)	54	42	72
<b>Mandatory:</b>			
4090 Budget authority, gross	28	28	28
Outlays, gross:			
4100 Outlays from new mandatory authority	21	1	1
4101 Outlays from mandatory balances		28	35
4110 Outlays, gross (total)	21	29	36
4180 Budget authority, net (total)	88	89	89
4190 Outlays, net (total)	75	71	108

This appropriation provides support for basic government operations for those territories requiring such support, capital infrastructure improvements, special program and economic development assistance, and technical assistance.

Pursuant to section 118 of P.L. 104-134, the \$27.7 million mandatory covenant grant funding may be allocated to high priority needs in the U.S. territories and freely associated states.

Object Classification (in millions of dollars)

Identification code 14-0412-0-1-808	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	17	3	4
41.0 Grants, subsidies, and contributions	74	78	77
99.9 Total new obligations	99	89	89

**Employment Summary**

Identification code 14-0412-0-1-808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	38	41	41

**ASSISTANCE TO AMERICAN SAMOA DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 14-4163-0-3-806	2012 actual	2013 CR	2014 est.
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**Obligations by program activity:**

Credit program obligations:				
0713	Payment of interest to Treasury .....	1	1	1
0900	Total new obligations .....	1	1	1

**Budgetary Resources:**

Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	2	2	2
1825	Spending authority from offsetting collections applied to repay debt .....	-1	-1	-1
1850	Spending auth from offsetting collections, mand (total) .....	1	1	1
1900	Financing authority (total) .....	1	1	1
1930	Total budgetary resources available .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....			1
3010	Obligations incurred, unexpired accounts .....	1	1	1
3020	Financing disbursements (gross) .....	-1		
3050	Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....			1
3200	Obligated balance, end of year .....		1	2

**Financing authority and disbursements, net:**

Mandatory:				
4090	Financing authority, gross .....	1	1	1
Financing disbursements:				
4110	Financing disbursements, gross .....	1		
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Non-Federal sources - interest payments fr. Am. Samoa .....	-2	-1	-1
4123	Non-Federal sources Principal Repayment American Samoa .....		-1	-1
4130	Offsets against gross financing auth and disbursements (total) .....	-2	-2	-2
4160	Financing authority, net (mandatory) .....	-1	-1	-1
4170	Financing disbursements, net (mandatory) .....	-1	-2	-2
4180	Financing authority, net (total) .....	-1	-1	-1
4190	Financing disbursements, net (total) .....	-1	-2	-2

**Status of Direct Loans** (in millions of dollars)

Identification code 14-4163-0-3-806	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	15	14	13
1251	Repayments: Repayments .....	-2	-2	-2
1261	Adjustments: Capitalized interest .....	1	1	1
1290	Outstanding, end of year .....	14	13	12

In 2000, the American Samoa Government (ASG) was authorized to borrow \$18.6 million from the U.S. Treasury in order to reduce significant past due debts to vendors. Repayment of the loan is secured and accomplished with funds, as they become due and payable to ASG from the Escrow Account established under the terms and conditions of the Tobacco Master Settlement Agreement. ASG agreed to significant financial reforms as a prerequisite to receiving the loan proceeds.

**Balance Sheet** (in millions of dollars)

Identification code 14-4163-0-3-806	2011 actual	2012 actual	
<b>ASSETS:</b>			
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	15	14
1405	Allowance for subsidy cost (-) .....	-5	-5
1499	Net present value of assets related to direct loans .....	10	9
1999	Total assets .....	10	9
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	10	9
4999	Total liabilities and net position .....	10	9

**ADMINISTRATIVE PROVISIONS**

(INCLUDING TRANSFER OF FUNDS)

*At the request of the Governor of Guam, the Secretary may transfer discretionary funds or mandatory funds provided under section 104(e) of Public Law 108-188 and Public Law 104-134, that are allocated for Guam, to the Secretary of Agriculture for the subsidy cost of direct or guaranteed loans, plus not to exceed three percent of the amount of the subsidy transferred for the cost of loan administration, for the purposes authorized by the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act for construction and repair projects in Guam, and such funds shall remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That such loans or loan guarantees may be made without regard to the population of the area, credit elsewhere requirements, and restrictions on the types of eligible entities under the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act: Provided further, That any funds transferred to the Secretary of Agriculture shall be in addition to funds otherwise made available to make or guarantee loans under such authorities.*

*If the Secretary of the Interior determines that a territory has a substantial backlog of capital improvement program funds at the beginning of a fiscal year, the Secretary may withhold or redistribute that territory's capital improvement funds for the current fiscal year among the other eligible recipient territories. For purposes of this section, a territory with an expenditure rate of less than 50 percent shall be deemed to have a substantial backlog. The expenditure rate will be calculated on the last day of each fiscal year, currently September 30, and will be based on expenditures and receipts over the five most recent fiscal years.*

**OFFICE OF THE SOLICITOR**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Office of the Solicitor, \$65,800,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-0107-0-1-306	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program .....	65	67	66
0801	Reimbursable program activity .....	12	13	13
0802	Allocation program activity .....		5	6
0899	Total reimbursable obligations .....	12	18	19
0900	Total new obligations .....	77	85	85

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 14-0107-0-1-306	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	66	67	66
Appropriation .....			
1160	66	67	66
Appropriation, discretionary (total) .....			
Spending authority from offsetting collections, discretionary:			
1700	10	18	19
Collected .....			
1701	2		
Change in uncollected payments, Federal sources .....			
1750	12	18	19
Spending auth from offsetting collections, disc (total) .....			
1900	78	85	85
Budget authority (total) .....			
1930	78	85	85
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1940	-1		
Unobligated balance expiring .....			

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	4	5	5
Unpaid obligations, brought forward, Oct 1 .....			
3010	77	85	85
Obligations incurred, unexpired accounts .....			
3011	1		
Obligations incurred, expired accounts .....			
3020	-77	-85	-85
Outlays (gross) .....			
3050	5	5	5
Unpaid obligations, end of year .....			
Uncollected payments:			
3060	-4	-2	-2
Uncollected pymts, Fed sources, brought forward, Oct 1 .....			
3070	-2		
Change in uncollected pymts, Fed sources, unexpired .....			
3071	4		
Change in uncollected pymts, Fed sources, expired .....			
3090	-2	-2	-2
Uncollected pymts, Fed sources, end of year .....			
Memorandum (non-add) entries:			
3100		3	3
Obligated balance, start of year .....			
3200	3	3	3
Obligated balance, end of year .....			

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	78	85	85
Budget authority, gross .....			
Outlays, gross:			
4010	72	80	80
Outlays from new discretionary authority .....			
4011	5	5	5
Outlays from discretionary balances .....			
4020	77	85	85
Outlays, gross (total) .....			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-11	-18	-19
Federal sources .....			
Additional offsets against gross budget authority only:			
4050	-2		
Change in uncollected pymts, Fed sources, unexpired .....			
4052	1		
Offsetting collections credited to expired accounts .....			
4060	-1		
Additional offsets against budget authority only (total) .....			
4070	66	67	66
Budget authority, net (discretionary) .....			
4080	66	67	66
Outlays, net (discretionary) .....			
4180	66	67	66
Budget authority, net (total) .....			
4190	66	67	66
Outlays, net (total) .....			

The Office of the Solicitor provides legal advice and counsel to the Secretary, the Secretariat, and all constituent bureaus and offices of the Department of the Interior. All attorneys employed in the Department for the purposes of providing legal services are under the supervision of the Solicitor, except the Justices of American Samoa and the attorneys in the Office of Congressional and Legislative Affairs, Office of Inspector General, and the Office of Hearings and Appeals. Additionally, the Office administers the Department's ethics program and manages Freedom of Information Act appeals. The Office is comprised of the headquarters staff, located in Washington, DC, and 16 regional and field offices.

Object Classification (in millions of dollars)

Identification code 14-0107-0-1-306	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	41	41	41
Personnel compensation: Full-time permanent .....			
12.1	11	11	11
Civilian personnel benefits .....			
23.1	8	9	9
Rental payments to GSA .....			
25.2	1	1	1
Other services from non-Federal sources .....			

25.3	4	5	4
Other goods and services from Federal sources .....			
99.0	65	67	66
Direct obligations .....			
99.0	12	13	13
Reimbursable obligations .....			
Allocation Account - reimbursable:			
11.3		2	3
Personnel compensation: Other than full-time permanent .....			
12.1		1	1
Civilian personnel benefits .....			
25.2		1	1
Other services from non-Federal sources .....			
25.3		1	1
Other goods and services from Federal sources .....			
99.0		5	6
Allocation account - reimbursable .....			
99.9	77	85	85
Total new obligations .....			

Employment Summary

Identification code 14-0107-0-1-306	2012 actual	2013 CR	2014 est.
1001	350	356	339
Direct civilian full-time equivalent employment .....			
2001	68	65	69
Reimbursable civilian full-time equivalent employment .....			
3001	19	18	20
Allocation account civilian full-time equivalent employment .....			

OFFICE OF INSPECTOR GENERAL  
**Federal Funds**

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General, \$50,831,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 14-0104-0-1-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	49	50	51
Direct program .....			
0002	4		
Recovery Act activities .....			
0799	53	50	51
Total direct obligations .....			
0801	4	4	4
Reimbursable program .....			
0900	57	54	55
Total new obligations .....			

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	5		
Unobligated balance brought forward, Oct 1 .....			
Budget authority:			
Appropriations, discretionary:			
1100	49	50	51
Appropriation .....			
1160	49	50	51
Appropriation, discretionary (total) .....			
Spending authority from offsetting collections, discretionary:			
1700	4	4	4
Collected .....			
1750	4	4	4
Spending auth from offsetting collections, disc (total) .....			
1900	53	54	55
Budget authority (total) .....			
1930	58	54	55
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1940	-1		
Unobligated balance expiring .....			

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	6	8	6
Unpaid obligations, brought forward, Oct 1 .....			
3010	57	54	55
Obligations incurred, unexpired accounts .....			
3011	1		
Obligations incurred, expired accounts .....			
3020	-55	-56	-55
Outlays (gross) .....			
3041	-1		
Recoveries of prior year unpaid obligations, expired .....			
3050	8	6	6
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3100	6	8	6
Obligated balance, start of year .....			
3200	8	6	6
Obligated balance, end of year .....			

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	53	54	55
Budget authority, gross .....			
Outlays, gross:			
4010	46	49	50
Outlays from new discretionary authority .....			
4011	9	7	5
Outlays from discretionary balances .....			

4020	Outlays, gross (total) .....	55	56	55
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Reimbursable program .....	-4	-4	-4
4180	Budget authority, net (total) .....	49	50	51
4190	Outlays, net (total) .....	51	52	51

The mission of the Office of Inspector General is to promote excellence, accountability and integrity in the programs, operations and management of the Department of the Interior. The Office's focus in assisting the Secretary and the Congress is to target resources toward developing solutions for the Department's most serious management and program challenges, and toward high-risk areas vulnerable to fraud, waste, abuse and mismanagement. The Office is responsible for independently and objectively identifying risks and vulnerabilities that directly impact, or could impact, the Department's ability to accomplish its mission. The Office is required to keep the Secretary and the Congress fully and currently informed about problems and deficiencies relating to the administration of departmental programs and operations. Effective implementation of this mandate addresses the public's demand for greater accountability and integrity in the administration of government programs and operations and the demand for programs that work better, cost less, and get the results about which Americans care most.

**Object Classification** (in millions of dollars)

Identification code 14-0104-0-1-306	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	31	30	31
12.1	Civilian personnel benefits .....	10	9	9
21.0	Travel and transportation of persons .....	2	2	2
23.1	Rental payments to GSA .....	3	3	3
23.2	Rental payments to others .....	1	1	1
25.2	Other services from non-Federal sources .....	2	2	2
25.3	Other goods and services from Federal sources .....	4	3	3
99.0	Direct obligations .....	53	50	51
99.0	Reimbursable obligations .....	4	4	4
99.9	Total new obligations .....	57	54	55

**Employment Summary**

Identification code 14-0104-0-1-306	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	275	267	273

OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS

**Federal Funds**

FEDERAL TRUST PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For the operation of trust programs for Indians by direct expenditure, contracts, cooperative agreements, compacts, and grants, \$139,677,000, to remain available until expended, of which not to exceed \$23,045,000 from this or any other Act, shall be available for historical accounting: Provided, That funds for trust management improvements and litigation support may, as needed, be transferred to or merged with the Bureau of Indian Affairs, "Operation of Indian Programs" account; the Office of the Solicitor, "Salaries and Expenses" account; and the Office of the Secretary, "Salaries and Expenses" account: Provided further, That funds made available through contracts or grants obligated during fiscal year 2014, as authorized by the Indian Self-Determination Act of 1975 (25 U.S.C. 450 et seq.), shall remain available until expended by the contractor or grantee: Provided further, That, notwithstanding any other provision of law, the statute of limitations shall not commence to run on any claim, including any claim in litigation pending on the date of the enactment of this Act, concerning losses to or mismanagement of trust funds, until the affected tribe or individual Indian has been furnished with an accounting

of such funds from which the beneficiary can determine whether there has been a loss: Provided further, That, notwithstanding any other provision of law, the Secretary shall not be required to provide a quarterly statement of performance for any Indian trust account that has not had activity for at least 18 months and has a balance of \$15 or less: Provided further, That the Secretary shall issue an annual account statement and maintain a record of any such accounts and shall permit the balance in each such account to be withdrawn upon the express written request of the account holder: Provided further, That not to exceed \$50,000 is available for the Secretary to make payments to correct administrative errors of either disbursements from or deposits to Individual Indian Money or Tribal accounts after September 30, 2002: Provided further, That erroneous payments that are recovered shall be credited to and remain available in this account for this purpose.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-0120-0-1-808	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Program operations, support, and improvements .....	145	151	138
0002	Executive direction .....	4	2	2
0900	Total new obligations .....	149	153	140
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	12	15
1021	Recoveries of prior year unpaid obligations .....	4	2	2
1050	Unobligated balance (total) .....	9	14	17
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	152	153	140
1160	Appropriation, discretionary (total) .....	152	153	140
Spending authority from offsetting collections, discretionary:				
1701	Change in uncollected payments, Federal sources .....		1	1
1750	Spending auth from offsetting collections, disc (total) .....		1	1
1900	Budget authority (total) .....	152	154	141
1930	Total budgetary resources available .....	161	168	158
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	12	15	18
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	37	43	34
3010	Obligations incurred, unexpired accounts .....	149	153	140
3020	Outlays (gross) .....	-139	-160	-144
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4	-2	-2
3050	Unpaid obligations, end of year .....	43	34	28
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....		-1	-1
3071	Change in uncollected pymts, Fed sources, expired .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	36	43	34
3200	Obligated balance, end of year .....	43	34	28
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	152	154	141
Outlays, gross:				
4010	Outlays from new discretionary authority .....	104	123	113
4011	Outlays from discretionary balances .....	35	37	31
4020	Outlays, gross (total) .....	139	160	144
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2	-1	-1
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....		-1	-1
4052	Offsetting collections credited to expired accounts .....	2	1	1
4060	Additional offsets against budget authority only (total) .....	2		
4070	Budget authority, net (discretionary) .....	152	153	140
4080	Outlays, net (discretionary) .....	137	159	143

FEDERAL TRUST PROGRAMS—Continued  
Program and Financing—Continued

Identification code 14-0120-0-1-808	2012 actual	2013 CR	2014 est.
4180 Budget authority, net (total) .....	152	153	140
4190 Outlays, net (total) .....	137	159	143

*Executive direction.*—This activity supports Office of the Special Trustee for American Indians and staff office responsibilities and authorities for Indian Trust Fund Management. Additionally, pursuant to the American Indian Trust Fund Management Reform Act of 1994, the Special Trustee for American Indians oversees Indian trust reform efforts department wide.

*Program operations, support, and improvements.*—This activity supports the management and investment of approximately \$4.4 billion held in trust for Tribes and individual Indians. Responsibilities include accurate and timely posting of collections, investment and disbursement of funds, and provision of timely financial information to Indian Tribes and individual Indian money (IIM) account holders. Resources also support the implementation of trust management reform efforts, including historical accounting.

Object Classification (in millions of dollars)

Identification code 14-0120-0-1-808	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	45	47	47
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	47	50	50
12.1 Civilian personnel benefits .....	14	13	13
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	1	3	3
23.2 Rental payments to others .....	3	6	6
23.3 Communications, utilities, and miscellaneous charges .....	1	2	2
25.1 Advisory and assistance services .....	4	17	14
25.2 Other services from non-Federal sources .....	45	27	18
25.3 Other goods and services from Federal sources .....	13	13	12
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
99.0 Direct obligations .....	133	137	124
99.0 Reimbursable obligations .....	3	3	3
Allocation Account - direct:			
Personnel compensation:			
11.1 Full-time permanent .....	6	6	6
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	8	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
99.0 Allocation account - direct .....	13	13	13
99.9 Total new obligations .....	149	153	140

Employment Summary

Identification code 14-0120-0-1-808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	639	638	638

TRIBAL SPECIAL FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5265-0-2-452	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			1
<b>Receipts:</b>			
0220 Interest on Investments in GSEs, Tribal Special Fund .....	18	18	18
0221 Return of Principal from Private Sector Investments, Tribal Special Fund .....	292	294	304
0222 Miscellaneous Sales of Assets, Tribal Special Fund .....		1	1

0240 Earnings on Investment, Tribal Special Fund .....	1	1	1
0299 Total receipts and collections .....	311	314	324
0400 Total: Balances and collections .....	311	314	325
<b>Appropriations:</b>			
0500 Tribal Special Fund .....	-311	-313	-324
0799 Balance, end of year .....		1	1

Program and Financing (in millions of dollars)

Identification code 14-5265-0-2-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	361	363	340
0900 Total new obligations (object class 41.0) .....	361	363	340
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	116	66	16
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	311	313	324
1260 Appropriations, mandatory (total) .....	311	313	324
1930 Total budgetary resources available .....	427	379	340
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	66	16	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			50
3010 Obligations incurred, unexpired accounts .....	361	363	340
3020 Outlays (gross) .....	-361	-313	-324
3050 Unpaid obligations, end of year .....		50	66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			50
3200 Obligated balance, end of year .....		50	66

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	311	313	324
Outlays, gross:			
4100 Outlays from new mandatory authority .....		300	311
4101 Outlays from mandatory balances .....	361	13	13
4110 Outlays, gross (total) .....	361	313	324
4180 Budget authority, net (total) .....	311	313	324
4190 Outlays, net (total) .....	361	313	324

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	116	66	125
5001 Total investments, EOY: Federal securities: Par value .....	66	125	128
5010 Total investments, SOY: non-Fed securities: Market value .....	412	464	472
5011 Total investments, EOY: non-Fed securities: Market value .....	464	472	483

The Tribal Special Fund includes the following accounts: Tribal Economic Recovery Fund which consists of the Three Affiliated Fort Berthold Trust Fund and the Standing Rock Trust Fund, Papago Cooperative Fund, Ute Tribe Trust Fund, Pyramid Lake Indian Reservation Trust Fund, San Luis Rey Water Authority Trust Fund, and Cochiti Wetfields Project. More detailed information on specific accounts is provided in the budget justification for the Office of the Special Trustee for American Indians.

Tribal trust funds are deposited into a consolidated account in the U.S. Treasury pursuant to: 1) general or specific acts of Congress and 2) Federal management of Tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to tribal groups for various purposes, under multiple acts of Congress, and may be subject to the provisions of constitutions, bylaws, charters, and resolutions of the Tribes, bands, or groups to which funds accrue.

**Trust Funds**  
**TRIBAL TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 14-8030-0-7-452	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			2
Receipts:			
0220 Interest on Investments in GSEs, Tribal Trust Fund .....	4	4	5
0221 Return of Principal from Private Sector Investments, Tribal Trust Fund .....	92	93	96
0222 Miscellaneous Sales of Assets, Tribal Trust Fund .....	3	3	3
0240 Federal Fund Payments, Tribal Trust Fund .....		1	1
0241 Earnings on Investments, Tribal Trust Fund .....	1	1	1
0299 Total receipts and collections .....	100	102	106
0400 Total: Balances and collections .....	100	102	108
Appropriations:			
0500 Tribal Trust Fund .....	-100	-100	-104
0799 Balance, end of year .....		2	4

**Program and Financing** (in millions of dollars)

Identification code 14-8030-0-7-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	118	121	123
0900 Total new obligations (object class 41.0) .....	118	121	123
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	58	40	19
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	100	100	104
1260 Appropriations, mandatory (total) .....	100	100	104
1930 Total budgetary resources available .....	158	140	123
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	40	19	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			21
3010 Obligations incurred, unexpired accounts .....	118	121	123
3020 Outlays (gross) .....	-118	-100	-104
3050 Unpaid obligations, end of year .....		21	40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			21
3200 Obligated balance, end of year .....		21	40
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	100	100	104
Outlays, gross:			
4100 Outlays from new mandatory authority .....		94	98
4101 Outlays from mandatory balances .....	118	6	6
4110 Outlays, gross (total) .....	118	100	104
4180 Budget authority, net (total) .....	100	100	104
4190 Outlays, net (total) .....	118	100	104
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	58	40	61
5001 Total investments, EOY: Federal securities: Par value .....	40	61	63
5010 Total investments, SOY: non-Fed securities: Market value .....	108	125	127
5011 Total investments, EOY: non-Fed securities: Market value .....	125	127	130

The Tribal Trust Fund includes the following accounts: Funds for Advancement of the Indian Race, George C. Edgeter Fund, Ella M. Franklin Fund, Josephine Lambert Fund, Orrie Shaw Fund, Welmas Endowment Fund, Arizona Intertribal Trust Fund, Navajo Trust Fund, So. Ute Tribal Resource Fund, Ute Mountain Tribal Resource Fund, Chippewa Cree Tribal Trust Fund, Shivwits Band of Paiute Indians Trust Fund, Northern Cheyenne Trust Fund, Crow Creek Sioux Tribe Infrastructure Development Trust Fund, and Lower Brule Sioux Infrastructure Development

Fund. More detailed information on specific accounts is provided in the budget justifications for the Office of the Special Trustee for American Indians.

Tribal trust funds are deposited into a consolidated account in the U.S. Treasury pursuant to: 1) general or specific acts of the Congress and 2) Federal management of tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to tribal groups for various purposes, under multiple acts of the Congress, and may be subject to the provisions of constitutions, bylaws, charters, and resolutions of the Tribes, bands, or groups to which funds accrue.

NATIONAL INDIAN GAMING COMMISSION

**Federal Funds**

SALARIES AND EXPENSES

**Program and Financing** (in millions of dollars)

Identification code 14-0118-0-1-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	2	2	2
0900 Total new obligations (object class 25.2) .....	2	2	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1750 Spending auth from offsetting collections, disc (total) .....	2	2	2
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2	-2	-2

The Indian Gaming Regulatory Act established, within the Department of the Interior, the National Indian Gaming Commission. The Commission monitors and regulates gaming activities conducted on Indian lands. The Commission fosters the economic development of Indian Tribes by ensuring the integrity of Indian gaming on Indian lands and ensuring that the Tribes are the primary beneficiaries of their gaming revenues. Operating costs of the Commission are financed through annual assessments of gaming operations regulated by the Commission.

**Object Classification** (in millions of dollars)

Identification code 14-0118-0-1-806	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	2	2	2

NATIONAL INDIAN GAMING COMMISSION, GAMING ACTIVITY FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-5141-0-2-806	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0200 National Indian Gaming Commission, Gaming Activity Fees	19	19	19
0400 Total: Balances and collections	19	19	19
Appropriations:			
0500 National Indian Gaming Commission, Gaming Activity Fees	-19	-19	-19
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5141-0-2-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	16	19	20
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	8	8
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	19	19	19
1260 Appropriations, mandatory (total)	19	19	19
1930 Total budgetary resources available	24	27	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	8	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	16	19	20
3020 Outlays (gross)	-16	-19	-20
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	19	19	19
Outlays, gross:			
4100 Outlays from new mandatory authority	16	18	18
4101 Outlays from mandatory balances		1	2
4110 Outlays, gross (total)	16	19	20
4180 Budget authority, net (total)	19	19	19
4190 Outlays, net (total)	16	19	20

The Indian Gaming Regulatory Act established, within the Department of the Interior, the National Indian Gaming Commission. The Commission monitors and regulates gaming activities conducted on Indian lands. The Commission fosters the economic development of Indian Tribes by ensuring the integrity of Indian gaming and ensuring that the Tribes are the primary beneficiaries of their gaming revenues. Operating costs of the Commission are financed through annual assessments of gaming operations regulated by the Commission.

Object Classification (in millions of dollars)

Identification code 14-5141-0-2-806	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	10	11	12
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	1	2	2
99.0 Direct obligations	16	18	19
99.5 Below reporting threshold		1	1
99.9 Total new obligations	16	19	20

Employment Summary

Identification code 14-5141-0-2-806	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	100	115	115

DEPARTMENT-WIDE PROGRAMS

Federal Funds

PAYMENTS IN LIEU OF TAXES

Program and Financing (in millions of dollars)

Identification code 14-1114-0-1-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	393	401	
0900 Total new obligations (object class 41.0)	393	401	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	393	401	
1260 Appropriations, mandatory (total)	393	401	
1930 Total budgetary resources available	393	401	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	393	401	
3020 Outlays (gross)	-393	-401	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	393	401	
Outlays, gross:			
4100 Outlays from new mandatory authority	393	401	
4180 Budget authority, net (total)	393	401	
4190 Outlays, net (total)	393	401	

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	393	401	
Outlays	393	401	
Legislative proposal, subject to PAYGO:			
Budget Authority			410
Outlays			410
Total:			
Budget Authority	393	401	410
Outlays	393	401	410

Public Law 94-565 (31 U.S.C. 6901-07), as amended, authorizes payments in lieu of taxes to counties and other units of local government for lands within their boundaries that are administered by the Bureau of Land Management, Forest Service, National Park Service, Fish and Wildlife Service, and certain other agencies. Public Law 112-141, the Moving Ahead for Progress in the 21st Century Act, provides that the payments authorized by 31 U.S.C. Chapter 69 will be funded as mandatory through fiscal year 2013.

**Employment Summary**

Identification code 14-1114-0-1-806	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

**PAYMENTS IN LIEU OF TAXES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 14-1114-4-1-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			410
0900 Total new obligations (object class 41.0) .....			410
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			410
1260 Appropriations, mandatory (total) .....			410
1930 Total budgetary resources available .....			410
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			410
3020 Outlays (gross) .....			-410
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			410
Outlays, gross:			
4100 Outlays from new mandatory authority .....			410
4180 Budget authority, net (total) .....			410
4190 Outlays, net (total) .....			410

The Budget proposes a one-year extension of mandatory PILT payments at the authorization levels in fiscal year 2013.

**CENTRAL HAZARDOUS MATERIALS FUND**

For necessary expenses of the Department of the Interior and any of its component offices and bureaus for the response action, including associated activities, performed pursuant to the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 et seq.), \$10,006,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-1121-0-1-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Remedial action .....	21	24	14
0801 Reimbursable program .....	6	8	4
0900 Total new obligations .....	27	32	18
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	36	24	11
1021 Recoveries of prior year unpaid obligations .....	1	2	2
1050 Unobligated balance (total) .....	37	26	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	10
1160 Appropriation, discretionary (total) .....	10	10	10
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	7	5
1750 Spending auth from offsetting collections, disc (total) .....	4	7	5
1900 Budget authority (total) .....	14	17	15

1930 Total budgetary resources available .....	51	43	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24	11	10

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	20	30
3010 Obligations incurred, unexpired accounts .....	27	32	18
3020 Outlays (gross) .....	-21	-20	-20
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	20	30	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	20	30
3200 Obligated balance, end of year .....	20	30	26

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	14	17	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	7	7
4011 Outlays from discretionary balances .....	18	13	13
4020 Outlays, gross (total) .....	21	20	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4	-7	-5
4180 Budget authority, net (total) .....	10	10	10
4190 Outlays, net (total) .....	17	13	15

The Central Hazardous Materials Fund is used to fund remedial investigations/feasibility studies and cleanups of hazardous waste sites for which the Department of the Interior is liable. Authority is provided for amounts recovered from responsible parties to be credited to this account. Thus, the account may be composed of both annual appropriations of no-year funds and of offsetting collections. The Comprehensive Environmental Response, Compensation and Liability Act, as amended (42 U.S.C. Section 9601 et seq.) requires responsible parties, including Federal landowners, to investigate and clean up releases of hazardous substances.

**Object Classification** (in millions of dollars)

Identification code 14-1121-0-1-304	2012 actual	2013 CR	2014 est.
25.2 Direct obligations: Other services from non-Federal sources .....	2	2	2
99.0 Reimbursable obligations .....	10	8	4
Allocation Account - direct:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.2 Other services from non-Federal sources .....	13	20	10
99.0 Allocation account - direct .....	15	22	12
99.9 Total new obligations .....	27	32	18

**Employment Summary**

Identification code 14-1121-0-1-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

**NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION**

**NATURAL RESOURCE DAMAGE ASSESSMENT FUND**

To conduct natural resource damage assessment, restoration activities, and onshore oil spill preparedness by the Department of the Interior necessary to carry out the provisions of the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 et seq.), the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq.), the Oil Pollution Act of 1990 (33 U.S.C. 2701 et seq.), and Public Law 101-337, as amended (16 U.S.C. 1911 et seq.), \$12,539,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION—Continued  
Special and Trust Fund Receipts (in millions of dollars)

Identification code 14-1618-0-1-302	2012 actual	2013 CR	2014 est.
0100 Balance, start of year		1	1
Receipts:			
0220 Natural Resources Damages from Legal Actions	124	87	80
0240 Natural Resources Damages from Legal Actions, EO1	2	3	3
0299 Total receipts and collections	126	90	83
0400 Total: Balances and collections	126	91	84
Appropriations:			
0500 Natural Resource Damage Assessment Fund	-125	-90	-80
0799 Balance, end of year	1	1	4

Program and Financing (in millions of dollars)

Identification code 14-1618-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Damage assessments	29	18	12
0002 Prince William Sound restoration	1	2	2
0003 Other restoration	43	47	63
0004 Program management	4	3	3
0005 Onshore oil spill preparedness			2
0900 Total new obligations	77	70	82
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	499	540	550
1001 Discretionary unobligated balance brought fwd, Oct 1	5	7	
1010 Unobligated balance transfer to other accts [13-4316]	-7	-8	-8
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	493	532	542
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	13
1160 Appropriation, discretionary (total)	6	6	13
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	125	90	80
1220 Appropriations transferred to other accts [13-4316]	-7	-8	-8
1260 Appropriations, mandatory (total)	118	82	72
1900 Budget authority (total)	124	88	85
1930 Total budgetary resources available	617	620	627
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	540	550	545
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	26	20
3010 Obligations incurred, unexpired accounts	77	70	82
3020 Outlays (gross)	-70	-76	-86
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	26	20	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	26	20
3200 Obligated balance, end of year	26	20	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	6	6	13
Outlays, gross:			
4010 Outlays from new discretionary authority	3	4	9
4011 Outlays from discretionary balances	2	2	2
4020 Outlays, gross (total)	5	6	11
Mandatory:			
4090 Budget authority, gross	118	82	72
Outlays, gross:			
4100 Outlays from new mandatory authority	39	16	7
4101 Outlays from mandatory balances	26	54	68
4110 Outlays, gross (total)	65	70	75
4180 Budget authority, net (total)	124	88	85
4190 Outlays, net (total)	70	76	86
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	444	134	525
5001 Total investments, EOY: Federal securities: Par value	134	525	525

Under the Natural Resource Damage Assessment and Restoration Fund (Restoration Fund), natural resource damage assessments will be performed in order to provide the basis for claims against responsible parties for the restoration of injured natural resources. Funds are appropriated to conduct damage assessments, provide restoration support, prepare for response to potential onshore spills, and for program management. In addition, funds will be received for the restoration of damaged resources and other activities and for natural resource damage assessments from responsible parties through cooperative assessment agreements, negotiated settlements, or other legal actions by the Department of the Interior. Responsible parties may also provide in-kind services to restore injured natural resources.

Restoration activities include: 1) the replacement and enhancement of affected resources; 2) acquisition of equivalent resources and services; and, 3) long-term environmental monitoring and research programs directed to the prevention, containment, and amelioration of hazardous substances and oil spill sites.

The Restoration Fund operates as a Department-wide program, incorporating the interdisciplinary expertise of its various bureaus and offices. Natural resource damage assessments and the restoration of injured natural resources are authorized by the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 *et seq.*), Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 *et seq.*), the Oil Pollution Act of 1990 (33 U.S.C. 2701 *et seq.*), and the Act of July 27, 1990 (16 U.S.C. 1911 *et seq.*). Since 1992, amounts received by the United States from responsible parties for restoration or reimbursement in settlement of natural resource damages may be deposited in the Fund and shall accrue interest.

Object Classification (in millions of dollars)

Identification code 14-1618-0-1-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	3
12.1 Civilian personnel benefits			1
25.3 Other goods and services from Federal sources	18	17	15
42.0 Insurance claims and indemnities	15	13	16
99.0 Direct obligations	34	31	35
Allocation Account - direct:			
Personnel compensation:			
11.1 Full-time permanent	7	6	8
11.3 Other than full-time permanent	2	2	3
11.9 Total personnel compensation	9	8	11
12.1 Civilian personnel benefits	3	2	3
21.0 Travel and transportation of persons	1	1	1
25.2 Other services from non-Federal sources	17	14	16
25.3 Other goods and services from Federal sources	1	2	2
26.0 Supplies and materials	1	1	1
32.0 Land and structures	3	3	3
41.0 Grants, subsidies, and contributions	7	8	10
99.0 Allocation account - direct	42	39	47
99.5 Below reporting threshold	1		
99.9 Total new obligations	77	70	82

Employment Summary

Identification code 14-1618-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	9	12	20

EXXON VALDEZ RESTORATION PROGRAM

The Budget reflects the receipts, transfers, and mandatory spending by the Department of the Interior associated with the civil and criminal settlements resulting from the 1989 Exxon Valdez oil spill in the Prince William Sound and surrounding

areas. Funding from the settlements, including interest, is provided to Federal and Alaska State natural resource trustee agencies to restore the natural resources and services damaged by the spill. The Exxon Valdez Oil Spill Trustee Council consists of 3 State and 3 Federal trustees who oversee restoration of the injured ecosystem through the use of civil settlement funds. The criminal settlement funds are managed separately by the Federal and Alaska State governments, but are coordinated with the Council.

The original civil settlement with the Exxon Corporation included a re-opener provision valid from September 2002 to September 2006, which provided an opportunity for the Trustee governments to claim up to an additional \$100 million for natural resource injury that could not have been known or anticipated at the time of settlement. In late 2006, the Trustee governments issued a demand letter for \$92 million to the ExxonMobil Corporation, as well as a comprehensive restoration project plan for the clean up of lingering oil. Negotiations with ExxonMobil await completion of additional scientific studies.

The civil settlement and interest earned to date total roughly \$1 billion, of which \$166.3 million remains, outside the Treasury. The balance is managed on behalf of the Trustee Council by the State of Alaska (Exxon Valdez Investment Fund), with funds earmarked for future habitat acquisition and protection and for marine research and ecological monitoring in the spill zone.

section 7 of such Act, in connection with wildland fire management activities: Provided further, That the Secretary of the Interior may use wildland fire appropriations to enter into leases of real property with local governments, at or below fair market value, to construct capitalized improvements for fire facilities on such leased properties, including but not limited to fire guard stations, retardant stations, and other initial attack and fire support facilities, and to make advance payments for any such lease or for construction activity associated with the lease: Provided further, That the Secretary of the Interior and the Secretary of Agriculture may authorize the transfer of funds appropriated for wildland fire management, in an aggregate amount not to exceed \$50,000,000, between the Departments when such transfers would facilitate and expedite jointly funded wildland fire management programs and projects: Provided further, That funds provided for wildfire suppression shall be available for support of Federal emergency response actions: Provided further, That funds appropriated under this heading shall be available for assistance to or through the Department of State in connection with forest and rangeland research, technical information, and assistance in foreign countries, and, with the concurrence of the Secretary of State, shall be available to support forestry, wildland fire management, and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with United States and international organizations.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

WILDLAND FIRE MANAGEMENT  
(INCLUDING TRANSFERS)

For necessary expenses for fire preparedness, suppression operations, fire science and research, emergency rehabilitation, hazardous fuels reduction, and rural fire assistance by the Department of the Interior, \$691,893,000 to remain available until expended, of which not to exceed \$6,127,000 shall be for the renovation or construction of fire facilities: Provided, That such funds are also available for repayment of advances to other appropriation accounts from which funds were previously transferred for such purposes: Provided further, That persons hired pursuant to 43 U.S.C. 1469 may be furnished subsistence and lodging without cost from funds available from this appropriation: Provided further, That notwithstanding 42 U.S.C. 1856d, sums received by a bureau or office of the Department of the Interior for fire protection rendered pursuant to 42 U.S.C. 1856 et seq., protection of United States property, may be credited to the appropriation from which funds were expended to provide that protection, and are available without fiscal year limitation: Provided further, That using the amounts designated under this title of this Act, the Secretary of the Interior may enter into procurement contracts, grants, or cooperative agreements, for hazardous fuels reduction activities, and for training and monitoring associated with such hazardous fuels reduction activities, on Federal land, or on adjacent non-Federal land for activities that benefit resources on Federal land: Provided further, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: Provided further, That notwithstanding requirements of the Competition in Contracting Act, the Secretary, for purposes of hazardous fuels reduction activities, may obtain maximum practicable competition among: (1) local private, nonprofit, or cooperative entities; (2) Youth Conservation Corps crews, Public Lands Corps (Public Law 109–154), or related partnerships with State, local, or nonprofit youth groups; (3) small or micro-businesses; or (4) other entities that will hire or train locally a significant percentage, defined as 50 percent or more, of the project workforce to complete such contracts: Provided further, That in implementing this section, the Secretary shall develop written guidance to field units to ensure accountability and consistent application of the authorities provided herein: Provided further, That funds appropriated under this heading may be used to reimburse the United States Fish and Wildlife Service and the National Marine Fisheries Service for the costs of carrying out their responsibilities under the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.) to consult and conference, as required by

Program and Financing (in millions of dollars)

Identification code 14–1125–0–1–302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Preparedness (Readiness, Facilities, and Fire Science) .....	305	292	294
0004 Fire suppression operations .....	466	276	286
0006 Hazardous fuels reduction .....	181	145	96
0008 Burned area rehabilitation .....	37	13	16
0799 Total direct obligations .....	989	726	692
0801 Fire reimbursable .....	41	18	18
0900 Total new obligations .....	1,030	744	710
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	359	73	198
1011 Unobligated balance transfer from other accts [14–1127] .....	85		
1021 Recoveries of prior year unpaid obligations .....	25	25	25
1050 Unobligated balance (total) .....	469	98	223
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	566	758	692
1120 Appropriations transferred to other accts [12–1115] .....	–3		
1120 Appropriations transferred to other accts [14–1039] .....		–16	
1121 Appropriations transferred from other accts [14–1127] .....	92	84	92
1121 Appropriations transferred from other accts [14–1039] .....	16		
1121 Appropriations transferred from other accts [12–1115] .....	4		
1130 Appropriations permanently reduced .....	–1		
1131 Unobligated balance of appropriations permanently reduced .....			–7
1131 Unobligated balance of appropriations permanently reduced .....	–82		
1160 Appropriation, discretionary (total) .....	592	826	777
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	39	18	18
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	42	18	18
1900 Budget authority (total) .....	634	844	795
1930 Total budgetary resources available .....	1,103	942	1,018
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	73	198	308
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	231	298	342
3010 Obligations incurred, unexpired accounts .....	1,030	744	710
3020 Outlays (gross) .....	–938	–675	–839
3040 Recoveries of prior year unpaid obligations, unexpired .....	–25	–25	–25

WILDLAND FIRE MANAGEMENT—Continued  
Program and Financing—Continued

Identification code 14-1125-0-1-302	2012 actual	2013 CR	2014 est.
3050 Unpaid obligations, end of year .....	298	342	188
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-13	-13
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3090 Uncollected pymts, Fed sources, end of year .....	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	221	285	329
3200 Obligated balance, end of year .....	285	329	175
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	634	844	795
Outlays, gross:			
4010 Outlays from new discretionary authority .....	483	504	474
4011 Outlays from discretionary balances .....	455	171	365
4020 Outlays, gross (total) .....	938	675	839
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-15	-9	-9
4033 Non-Federal sources .....	-24	-9	-9
4040 Offsets against gross budget authority and outlays (total) ....	-39	-18	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4070 Budget authority, net (discretionary) .....	592	826	777
4080 Outlays, net (discretionary) .....	899	657	821
4180 Budget authority, net (total) .....	592	826	777
4190 Outlays, net (total) .....	899	657	821

**Preparedness.**—Funds the non-emergency and predictable aspects of the Department's wildland fire program, including the initial attack suppression action on wildfires. Preparedness includes readiness, operational planning, oversight, procurement, training, supervision, and deployment of wildland fire suppression personnel and equipment prior to wildland fire occurrence. It also includes activities related to program monitoring and evaluation, and integration of fire into land-use planning.

**Suppression Operations.**—Funds the emergency and unpredictable aspects of the Department's wildland fire management program. Suppression operations include the total spectrum of management actions taken on wildland fires in a safe, cost-effective manner, considering public benefits and values to be protected consistent with resource objectives and land management plans. Emergency actions taken during and immediately following a wildfire to stabilize the soil and structures to prevent erosion, floods, landslides, and further resource damage are included in this activity. Emergency stabilization actions may be performed within one year of containment of a fire. Funding for the ten-year average of inflation-adjusted suppression obligations is split between the FLAME Wildfire Suppression Reserve Fund and this appropriation. The DOI and Forest Service wildland fire management programs will continue to strengthen oversight and accountability of suppression spending and use risk management principles to guide decision-making at the strategic, program, and operational levels.

**Other Operations.**—Funds all other aspects of the wildland fire management program designed to reduce the risk of damage resulting from catastrophic wildland fires. Programs include hazardous fuels reduction, fire facilities construction and maintenance, post-fire rehabilitation of burned areas, and applied research. The Hazardous Fuels Reduction program includes the planning, operational aspects, and monitoring of treatments to reduce fuel loads and promotes ecosystem health in forests and rangelands. Methods for fuels reduction include prescribed fire, mechanical, chemical, and biological treatments or a combination of methods. In 2014, all hazardous fuels project funding will be

allocated using the Hazardous Fuels Prioritization Allocation System, which ranks and prioritizes allocations based on factors such as fire risk, efficiency of treatments, and effectiveness of treatment. The Fire Facilities program funds construction and maintenance of facilities to house firefighters and equipment used in wildland firefighting and hazardous fuels reduction. Facilities funded in this activity include crew quarters, warehouses, fire caches, dispatch centers, fire stations, engine storage, and aviation bases. The Burned Area Rehabilitation program begins the restoration process for lands and resources damaged by wildland fires that would not return to fire adapted conditions without intervention. Soil stabilization and the introduction of native and other desirable plant species are employed for up to three years following containment of a fire to return severely-burned areas to appropriate fire regimes and resource conditions. The Joint Fire Science subactivity funds the Department's share of the Joint Fire Science program, an interagency partnership that sponsors and delivers applied research to assist field managers with fuels treatment, post-fire rehabilitation, smoke management and many other related topics.

Object Classification (in millions of dollars)

Identification code 14-1125-0-1-302	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	2	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
99.0 Direct obligations .....	8	6	6
Allocation Account - direct:			
Personnel compensation:			
11.1 Full-time permanent .....	185	173	175
11.3 Other than full-time permanent .....	22	20	19
11.5 Other personnel compensation .....	95	88	65
11.8 Special personal services payments .....	47	30	32
11.9 Total personnel compensation .....	349	311	291
12.1 Civilian personnel benefits .....	92	80	73
21.0 Travel and transportation of persons .....	27	22	18
22.0 Transportation of things .....	5	3	3
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	20	18	18
25.1 Advisory and assistance services .....	2	1	9
25.2 Other services from non-Federal sources .....	257	180	182
25.3 Other goods and services from Federal sources .....	95	30	27
25.4 Operation and maintenance of facilities .....	2	2	2
25.6 Medical care .....	1	1	1
25.7 Operation and maintenance of equipment .....	6	5	5
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	65	40	32
31.0 Equipment .....	13	10	8
32.0 Land and structures .....	5	5	4
41.0 Grants, subsidies, and contributions .....	38	8	8
99.0 Allocation account - direct .....	981	720	685
Allocation Account - reimbursable:			
Personnel compensation:			
11.1 Full-time permanent .....	5	5	4
11.3 Other than full-time permanent .....	1		1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	7	6	6
12.1 Civilian personnel benefits .....	3	2	2
21.0 Travel and transportation of persons .....	2	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2		
25.2 Other services from non-Federal sources .....	10	5	6
25.3 Other goods and services from Federal sources .....	2		
26.0 Supplies and materials .....	3	2	2
31.0 Equipment .....	2	1	1
41.0 Grants, subsidies, and contributions .....	10	1	1
99.0 Allocation account - reimbursable .....	41	18	19
99.9 Total new obligations .....	1,030	744	710

**Employment Summary**

Identification code 14-1125-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	23	23	20

**FLAME WILDFIRE SUPPRESSION RESERVE FUND**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for large fire suppression operations of the Department of the Interior and as a reserve fund for suppression and Federal emergency response activities, \$92,000,000, to remain available until expended: Provided, That such amounts are available only for transfer to the "Wildland Fire Management" account and only following a declaration by the Secretary that either (1) a wildland fire suppression event meets certain previously established risk-based written criteria for significant complexity, severity, or threat posed by the fire or (2) funds for suppression in the "Wildland Fire Management" account will be exhausted within 30 days.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-1127-0-1-302	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	85		
1010 Unobligated balance transfer to other accts [14-1125] .....	-85		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	92	84	92
1120 Appropriations transferred to other accts [14-1125] .....	-92	-84	-92

Amounts in the FLAME fund include the portion of the ten-year average of suppression obligations, adjusted for inflation, intended to support the most severe, complex, and threatening fires. The Secretary may permit transfers from this account to cover these extreme fire events. The Secretary may also transfer funds in the event that DOI has exhausted its suppression resources due to an active fire season.

**WORKING CAPITAL FUND**

For the acquisition of a departmental financial and business management system, information technology improvements of general benefit to the Department, and consolidation of facilities and operations throughout the Department, \$62,000,000, to remain available until expended: Provided, That none of the funds appropriated in this Act or any other Act may be used to establish reserves in the Working Capital Fund account other than for accrued annual leave and depreciation of equipment without prior notice to the House of Representatives and Senate Committees on Appropriations: Provided further, That the Secretary may assess reasonable charges to State, local and tribal government employees for training services provided by the National Indian Program Training Center, other than training related to Public Law 93-638: Provided further, That the Secretary may lease or otherwise provide space and related facilities, equipment or professional services of the National Indian Program Training Center to State, local and tribal government employees or persons or organizations engaged in cultural, educational, or recreational activities (as defined in section 3306(a) of title 40, United States Code) at the prevailing rate for similar space, facilities, equipment, or services in the vicinity of the National Indian Program Training Center: Provided further, That all funds received pursuant to the two preceding provisos shall be credited to this account, shall be available until expended, and shall be used by the Secretary for necessary expenses of the National Indian Program Training Center: Provided further, That the Secretary may enter

into grants and cooperative agreements to support the Office of Natural Resource Revenue's collection and disbursement of royalties, fees, and other mineral revenue proceeds, as authorized by law.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 14-4523-0-4-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Financial Business Management System .....	59	58	58
0100 Direct program activities, subtotal .....	59	58	58
0801 DM Activities .....	223	262	455
0802 Interior Business Center .....	988	919	609
0804 Rebate Funding .....	12	11	11
0805 Facilities .....	55	76	77
0806 Unemployment and Worker's Compensation .....	103	103	97
0809 Reimbursable program activities, subtotal .....	1,381	1,371	1,249
0899 Total reimbursable obligations .....	1,381	1,371	1,249
0900 Total new obligations .....	1,440	1,429	1,307
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	286	319	517
1021 Recoveries of prior year unpaid obligations .....	3	7	7
1050 Unobligated balance (total) .....	289	326	524
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	62	62	62
1160 Appropriation, discretionary (total) .....	62	62	62
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,266	1,558	1,588
1701 Change in uncollected payments, Federal sources .....	142		
1750 Spending auth from offsetting collections, disc (total) .....	1,408	1,558	1,588
1900 Budget authority (total) .....	1,470	1,620	1,650
1930 Total budgetary resources available .....	1,759	1,946	2,174
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	319	517	867
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	692	719	540
3010 Obligations incurred, unexpired accounts .....	1,440	1,429	1,307
3020 Outlays (gross) .....	-1,410	-1,601	-1,632
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-7	-7
3050 Unpaid obligations, end of year .....	719	540	208
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-562	-704	-704
3070 Change in uncollected pymts, Fed sources, unexpired .....	-142		
3090 Uncollected pymts, Fed sources, end of year .....	-704	-704	-704
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	130	15	-164
3200 Obligated balance, end of year .....	15	-164	-496
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,470	1,620	1,650
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,410	1,283	1,307
4011 Outlays from discretionary balances .....		318	325
4020 Outlays, gross (total) .....	1,410	1,601	1,632
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,253	-1,546	-1,576
4033 Non-Federal sources .....	-13	-12	-12
4040 Offsets against gross budget authority and outlays (total) .....	-1,266	-1,558	-1,588
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-142		
4070 Budget authority, net (discretionary) .....	62	62	62
4080 Outlays, net (discretionary) .....	144	43	44
4180 Budget authority, net (total) .....	62	62	62
4190 Outlays, net (total) .....	144	43	44

WORKING CAPITAL FUND—Continued

The Working Capital Fund finances services and activities that can be performed more effectively and efficiently in a centralized manner, including business services provided by the Interior Business Center (IBC), formerly the National Business Center. Activities financed through the fund include information technology and security, Departmental news and information, aircraft services, central reproduction, supplies and health services, and safety and health initiatives. IBC hosts the Department's administrative systems, including: the Federal Personnel and Payroll System (FPPS); the Federal Financial System (FFS); the Interior Department Electronic Acquisitions System (IDEAS); and the Financial and Business Management System (FBMS). IBC also provides accounting, acquisition, communications and help desk services. IBC has expanded payroll services to other agencies as one of the Government-wide payroll providers selected by OPM. Through the National Indian Program Training Center, a component of DOI University, the Working Capital Fund provides training courses and other services related to Indian culture, law and programs to Federal government employees. The appropriated portion of the Working Capital Fund includes funding for FBMS, Service First, and management of the Department's Cultural and Scientific collections.

Object Classification (in millions of dollars)

Identification code 14-4523-0-4-306	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	10	10
25.2 Other services from non-Federal sources .....	51	33	32
25.3 Other goods and services from Federal sources .....		15	16
99.0 Direct obligations .....	59	58	58
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	108	125	112
12.1 Civilian personnel benefits .....	31	35	32
13.0 Benefits for former personnel .....	6		
21.0 Travel and transportation of persons .....	4	4	3
23.1 Rental payments to GSA .....	55	59	59
23.3 Communications, utilities, and miscellaneous charges .....	43	60	59
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	1,114	332	299
25.3 Other goods and services from Federal sources .....		737	677
26.0 Supplies and materials .....	6	2	1
31.0 Equipment .....	13	16	6
99.0 Reimbursable obligations .....	1,381	1,371	1,249
99.9 Total new obligations .....	1,440	1,429	1,307

Employment Summary

Identification code 14-4523-0-4-306	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	64	55	55
2001 Reimbursable civilian full-time equivalent employment .....	1,228	1,281	1,281

INTERIOR FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 14-4529-0-4-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable Activity .....	1,013	1,005	1,005
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	120	124	136
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,005	1,005	1,005
1701 Change in uncollected payments, Federal sources .....	12	12	12
1750 Spending auth from offsetting collections, disc (total) .....	1,017	1,017	1,017

1930 Total budgetary resources available .....	1,137	1,141	1,153
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	124	136	148
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	832	770	750
3010 Obligations incurred, unexpired accounts .....	1,013	1,005	1,005
3020 Outlays (gross) .....	-1,075	-1,025	-1,092
3050 Unpaid obligations, end of year .....	770	750	663
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-640	-652	-664
3070 Change in uncollected pymts, Fed sources, unexpired .....	-12	-12	-12
3090 Uncollected pymts, Fed sources, end of year .....	-652	-664	-676
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	192	118	86
3200 Obligated balance, end of year .....	118	86	-13
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,017	1,017	1,017
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	967	915	915
4011 Outlays from discretionary balances .....	108	110	177
4020 Outlays, gross (total) .....	1,075	1,025	1,092
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1,005	-1,005	-1,005
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-12	-12	-12
4080 Outlays, net (discretionary) .....	70	20	87
4190 Outlays, net (total) .....	70	20	87

The Interior Franchise Fund (IFF) was established by the Government Management Reform Act (P.L. 103-356) as amended, and provides acquisition management and administrative services to the Department of the Interior and other Federal agencies on a competitive, fee basis. Operating costs for the IFF are funded fully by the fees collected in exchange for the services provided.

Balance Sheet (in millions of dollars)

Identification code 14-4529-0-4-306	2011 actual	2012 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	417	417
<b>Investments in US securities:</b>		
1106 Receivables, net .....	120	120
1999 Total assets .....	537	537
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2101 Accounts payable .....	252	252
2105 Other .....	259	259
2999 Total liabilities .....	511	511
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	26	26
4999 Total liabilities and net position .....	537	537

Object Classification (in millions of dollars)

Identification code 14-4529-0-4-306	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	11	11	11
12.1 Civilian personnel benefits .....	3	3	3
25.2 Other services from non-Federal sources .....	999	991	991
99.9 Total new obligations .....	1,013	1,005	1,005

**Employment Summary**

Identification code 14-4529-0-4-306	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	103	67	67

**ADMINISTRATIVE PROVISION**

There is hereby authorized for acquisition from available resources within the Working Capital Fund, 15 manned aircraft, 10 of which shall be for replacement, and 250 aircraft under 100 pounds, all of which may be obtained by donation, purchase or through available excess surplus property, to support the Department's goals: Provided, That existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft: Provided further, That the Bell 206L-1 aircraft, serial number 45287, currently registered as N613, is to be retired from service and, notwithstanding any other provision of law, the National Business Center, Aviation Management Directorate shall transfer the aircraft without reimbursement to the National Law Enforcement Officers Memorial Fund, for the purpose of providing a static display in the National Law Enforcement Museum: Provided, That such aircraft shall revert back to the Department of the Interior if said museum determines in the future that the subject aircraft is no longer needed.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
14-181100 Rent and Bonuses from Land Leases for Resource Exploration and Extraction .....	104	77	83
Legislative proposal, subject to PAYGO .....			1
14-182000 Rent and Bonuses on Outer Continental Shelf Lands .....		60	151
Legislative proposal, subject to PAYGO .....			50
14-202000 Royalties on Outer Continental Shelf Lands .....	5,558	5,736	5,833
Legislative proposal, subject to PAYGO .....			-150
14-202500 Revenues from Federal Oil and Gas Management Reforms .....			50
14-203200 Hardrock Mining Holding Fee .....	26	20	23
14-203900 Royalties on Natural Resources, not Otherwise Classified .....	383	382	353
Legislative proposal, subject to PAYGO .....			1
14-222900 Sale of Timber, Wildlife and Other Natural Land Products, not Otherwise Classified .....		1	5
Legislative proposal, subject to PAYGO .....			5
14-223900 Sale of Helium .....			10
Legislative proposal, subject to PAYGO .....			145
14-248400 Receipts from Grazing Fees, Federal Share .....	4	4	4
14-272930 Indian Loan Guarantee, Downward Reestimates of Subsidies .....	28		
14-274230 Bureau of Reclamation Loans, Downward Reestimates of Subsidies .....	20		
14-274730 Indian Direct Loan, Downward Reestimates of Subsidies .....	2		
14-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	61	78	84
General Fund Offsetting receipts from the public .....	6,186	6,358	6,648
Intragovernmental payments:			
14-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-49	3	3
General Fund Intragovernmental payments .....	-49	3	3

**GENERAL PROVISIONS**

(INCLUDING TRANSFERS OF FUNDS)

**EMERGENCY TRANSFER AUTHORITY—INTRA-BUREAU**

SEC. 101. Appropriations made in this title shall be available for expenditure or transfer (within each bureau or office), with the approval of the Secretary, for the emergency reconstruction, replacement, or repair of aircraft, buildings, utilities, or other facilities or equipment damaged or

destroyed by fire, flood, storm, or other unavoidable causes: Provided, That no funds shall be made available under this authority until funds specifically made available to the Department of the Interior for emergencies shall have been exhausted: Provided further, That it is the sense of Congress that all funds used pursuant to this section be replenished by a supplemental appropriation, to be requested as promptly as possible.

**EMERGENCY TRANSFER AUTHORITY—DEPARTMENT-WIDE**

SEC. 102. The Secretary may authorize the expenditure or transfer of any no year appropriation in this title, in addition to the amounts included in the budget programs of the several agencies, for the suppression or emergency prevention of wildland fires on or threatening lands under the jurisdiction of the Department of the Interior; for the emergency rehabilitation of burned-over lands under its jurisdiction; for emergency actions related to potential or actual earthquakes, floods, volcanoes, storms, or other unavoidable causes; for contingency planning subsequent to actual oil spills; for response and natural resource damage assessment activities related to actual oil spills or releases of hazardous substances into the environment; for the prevention, suppression, and control of actual or potential grasshopper and Mormon cricket outbreaks on lands under the jurisdiction of the Secretary, pursuant to the authority in section 417(b) of Public Law 106-224 (7 U.S.C. 7717(b)); for emergency reclamation projects under section 410 of Public Law 95-87; and shall transfer, from any no year funds available to the Office of Surface Mining Reclamation and Enforcement, such funds as may be necessary to permit assumption of regulatory authority in the event a primary State is not carrying out the regulatory provisions of the Surface Mining Act: Provided, That appropriations made in this title for wildland fire operations shall be available for the payment of obligations incurred during the preceding fiscal year, and for reimbursement to other Federal agencies for destruction of vehicles, aircraft, or other equipment in connection with their use for wildland fire operations, such reimbursement to be credited to appropriations currently available at the time of receipt thereof: Provided further, That for wildland fire operations, no funds shall be made available under this authority until the Secretary determines that funds appropriated for "wildland fire operations" and "FLAME Wildfire Suppression Reserve Fund" shall be exhausted within 30 days: Provided further, That it is the sense of Congress that all funds used pursuant to this section be replenished by a supplemental appropriation, to be requested as promptly as possible: Provided further, That such replenishment funds should be used to reimburse, on a pro rata basis, accounts from which emergency funds were transferred.

**AUTHORIZED USE OF FUNDS**

SEC. 103. Appropriations made to the Department of the Interior in this title shall be available for services as authorized by section 3109 of title 5, United States Code, when authorized by the Secretary, in total amount not to exceed \$500,000; purchase and replacement of motor vehicles, including specially equipped law enforcement vehicles; hire, maintenance, and operation of aircraft; hire of passenger motor vehicles; purchase of reprints; payment for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and the payment of dues, when authorized by the Secretary, for library membership in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members.

**AUTHORIZED USE OF FUNDS, INDIAN TRUST MANAGEMENT**

SEC. 104. Appropriations made in this Act under the headings Bureau of Indian Affairs and Office of the Special Trustee for American Indians and any unobligated balances from prior appropriations Acts made under the same headings shall be available for expenditure or transfer for Indian trust management and reform activities. Total funding for historical accounting activities shall not exceed amounts specifically designated in this Act for such purpose.

**REDISTRIBUTION OF FUNDS, BUREAU OF INDIAN AFFAIRS**

SEC. 105. Notwithstanding any other provision of law, the Secretary of the Interior is authorized to redistribute any Tribal Priority Allocation funds, including tribal base funds, to alleviate tribal funding inequities by transferring funds to address identified, unmet needs, dual enrollment, overlapping service areas or inaccurate distribution methodologies. No federally recognized tribe shall receive a reduction in Tribal Priority Allocation funds of more than 10 percent in fiscal year 2014. Under circum-

stances of dual enrollment, overlapping service areas or inaccurate distribution methodologies, the 10 percent limitation does not apply.

#### OUTER CONTINENTAL SHELF INSPECTION FEES

SEC. 106. (a) In fiscal year 2014, the Secretary shall collect a nonrefundable inspection fee, which shall be deposited in the "Offshore Safety and Environmental Enforcement" account, from the designated operator for facilities subject to inspection under 43 U.S.C. 1348(c).

(b) Annual fees shall be collected for facilities that are above the waterline, excluding drilling rigs, and are in place at the start of the fiscal year. Fees for fiscal year 2014 shall be:

(1) \$10,500 for facilities with no wells, but with processing equipment or gathering lines;

(2) \$17,000 for facilities with 1 to 10 wells, with any combination of active or inactive wells; and

(3) \$31,500 for facilities with more than 10 wells, with any combination of active or inactive wells.

(c) Fees for drilling rigs shall be assessed for all inspections completed in fiscal year 2014. Fees for fiscal year 2014 shall be:

(1) \$30,500 per inspection for rigs operating in water depths of 500 feet or more; and

(2) \$16,700 per inspection for rigs operating in water depths of less than 500 feet.

(d) The Secretary shall bill designated operators under subsection (b) within 60 days, with payment required within 30 days of billing. The Secretary shall bill designated operators under subsection (c) within 30 days of the end of the month in which the inspection occurred, with payment required within 30 days of billing.

#### OIL AND GAS LEASING INTERNET PROGRAM

SEC. 107. Notwithstanding section 17(b)(1)(A) of the Mineral Leasing Act (30 U.S.C. 226(b)(1)(A)), the Secretary of the Interior shall have the authority to implement an oil and gas leasing Internet program, under which the Secretary may conduct lease sales through methods other than oral bidding.

#### BUREAU OF OCEAN ENERGY MANAGEMENT, REGULATION AND ENFORCEMENT REORGANIZATION

SEC. 108. The Secretary of the Interior, in order to implement a reorganization of the Bureau of Ocean Energy Management, Regulation and Enforcement, may transfer funds among and between the successor offices and bureaus affected by the reorganization only in conformance with the reprogramming guidelines described in the report accompanying this Act.

#### AUTHORIZED USE OF INDIAN EDUCATION FUNDS

SEC. 109. Beginning July 1, 2008, any funds (including investments and interest earned, except for construction funds) held by a Public Law 100-297 grant or a Public Law 93-638 contract school shall, upon retrocession to or re-assumption by the Bureau of Indian Education, remain available to the Bureau of Indian Education for a period of 5 years from the date of retrocession or re-assumption for the benefit of the programs approved for the school on October 1, 1995.

#### CONTRACTS AND AGREEMENTS FOR WILD HORSE AND BURRO HOLDING FACILITIES

SEC. 110. (a) Notwithstanding any other provision of this Act, the Secretary of the Interior may enter into multiyear cooperative agreements with nonprofit organizations and other appropriate entities, and may enter into multiyear contracts in accordance with the provisions of section 304B of the Federal Property and Administrative Services Act of 1949 (41 U.S.C. 254c) (except that the 5-year term restriction in subsection (d) shall not apply), for the long-term care and maintenance of excess wild free roaming horses and burros by such organizations or entities on private land. Such cooperative agreements and contracts may not exceed 10 years, subject to renewal at the discretion of the Secretary.

#### MASS MARKING OF SALMONIDS

SEC. 111. The United States Fish and Wildlife Service shall, in carrying out its responsibilities to protect threatened and endangered species of salmon, implement a system of mass marking of salmonid stocks, intended for harvest, that are released from federally operated or federally financed hatcheries including but not limited to fish releases of coho, chinook, and steelhead species. Marked fish must have a visible mark that can be readily identified by commercial and recreational fishers.

#### ONSHORE OIL AND GAS INSPECTION FEES

SEC. 112. (a) In fiscal year 2014, the Bureau of Land Management (BLM) shall collect a non-refundable inspection fee, which shall be deposited in the "Management of Lands and Resources" account, from the designated

operator of each Federal and Indian lease or agreement subject to inspection under 30 U.S.C. 1718(b) that is in place at the start of fiscal year 2014.

(b) Fees for 2014 shall be:

(1) \$700 for each lease or agreement with no active or inactive wells, but with surface use, disturbance or reclamation;

(2) \$1,450 for each lease or agreement with 1 to 10 wells, with any combination of active or inactive wells;

(3) \$3,500 for each lease or agreement with 11 to 50 wells, with any combination of active or inactive wells; and

(4) \$6,800 for each lease or agreement with more than 50 wells, with any combination of active or inactive wells.

(c) BLM will bill designated operators within 60 days of enactment of this Act, with payment required within 30 days of billing.

#### OUTER CONTINENTAL SHELF LEASING REVIEW PERIOD

SEC. 113. Section 11 of the Outer Continental Shelf Lands Act (43 U.S.C. 1340) is amended in subsection (c)(1) in the fourth sentence by deleting "within thirty days of its submission" and inserting in lieu thereof "within ninety days of its submission".

#### INDIAN REORGANIZATION ACT

SEC. 114. (a) Modification.—(1) In general.—The first sentence of section 19 of the Act of June 18, 1934 (commonly known as the "Indian Reorganization Act") (25 U.S.C. 479), is amended—(A) by striking "The term" and inserting "Effective beginning on June 18, 1934, the term"; and (B) by striking "any recognized Indian tribe now under Federal jurisdiction" and inserting "any federally recognized Indian tribe". (2) Effective date—The amendments made by paragraph (1) shall take effect as if included in the Act of June 18, 1934 (commonly known as the "Indian Reorganization Act") (25 U.S.C. 479), on the date of enactment of that Act. (b) Ratification and confirmation of actions—Any action taken by the Secretary of the Interior pursuant to the Act of June 18, 1934 (commonly known as the "Indian Reorganization Act") (25 U.S.C. 461 et seq.) for any Indian tribe that was federally recognized on the date of the action is ratified and confirmed, to the extent such action is subjected to challenge based on whether the Indian tribe was federally recognized or under Federal jurisdiction on June 18, 1934, as if the action had, by prior act of Congress, been specifically authorized and directed. (c) Effect on other laws (1) In general nothing in this section or the amendments made by this section affects—(A) the application or effect of any Federal law other than the Act of June 18, 1934 (25 U.S.C. 461 et seq.) (as amended by subsection (a)); or (B) any limitation on the authority of the Secretary of the Interior under any Federal law or regulation other than the Act of June 18, 1934 (25 U.S.C. 461 et seq.) (as so amended). (2) References in other laws—An express reference to the Act of June 18, 1934 (25 U.S.C. 461 et seq.) contained in any other Federal law shall be considered to be a reference to that Act as amended by subsection (a).

#### MINING CLAIM FEE TECHNICAL CORRECTION

SEC. 115. Section 10101(a) of the Omnibus Budget Reconciliation Act of 1993 (30 U.S.C. 28f(a)), as amended by Section 430 of the Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012 (division E of Public Law 112-74; 125 Stat 1047), is further amended—(1) in paragraph (1) in the first sentence, by striking "on" the first place it appears and inserting "before, on, "; and (2) in paragraph (2)—(A) by striking "located" the second place it appears; (B) by inserting at the end the following: "Such claim maintenance fee shall be in lieu of the assessment work requirement contained in the Mining Law of 1872 (30 U.S.C. 28 to 28e) and the related filing requirements contained in section 314(a) and (c) of the Federal Policy and Management Act of 1976 (43 U.S.C. 1744(a) and (c)). "; and (C) by striking "(a)" in the first sentence and inserting "(a)(1)".

#### CIVIL PENALTIES

SEC. 116. Section 206 of the Federal Oil and Gas Royalty Management Act of 1982, Public Law 97-451 (30 U.S.C. 1736), as amended, is hereby amended by striking the second sentence, and inserting in lieu thereof "Any payments under this section shall be reduced by an amount equal to any payments provided or due to such State or Indian tribe under the cooperative agreement or delegation, as applicable, during the fiscal year in which the civil penalty is received, up to the total amount provided or due for that fiscal year."

#### AUTHORIZED USE OF FUNDS

SEC. 117. The Secretary may reduce the payment authorized by 31 U.S.C. 6901-6907 for an individual county by the amount necessary to correct

prior year overpayments to that county: Provided, That the amount needed to correct a prior year underpayment to an individual county shall be paid from any reductions for overpayments to other counties and the amount necessary to cover any remaining underpayment is hereby appropriated and shall be paid to individual counties.

#### SPECIAL PAY AUTHORITY

SEC. 118. The special pay authority provided to the Bureau of Ocean Energy Management and Bureau of Safety and Environmental Enforcement under Section 121(c) of Division E of Public Law 112–74 shall remain in effect for fiscal year 2014.

#### AUTHORIZED USE OF FUNDS, TRUST LAND CONSOLIDATION

SEC. 119. Funds made available to the Department of the Interior under section 101(e) of the Claims Resolution Act of 2010 (Public Law 111–291) shall be available for obligation by any of the Department of the Interior's component bureaus and offices.

#### PEER REVIEW

SEC. 120. Section 101 of the Federal Oil and Gas Royalty Management Act of 1982, Public Law 97–451 (30 U.S.C. 1711), is amended—(1) in subsection (a) by striking "oil and gas" and inserting "on oil, gas, coal, solid minerals, geothermal resources, and other renewable energy resources" after "payments owed"; (2) in subsection (c)(1) by striking "oil and gas" and inserting "oil, gas, coal, solid minerals, geothermal resources, and other renewable energy resources"; (3) in subsection (c)(2) by striking "oil and gas" and inserting "oil, gas, coal, solid minerals, geothermal resources, and other renewable energy resources".

#### EXTENSION OF NATIONAL HERITAGE AREA AUTHORITIES

SEC. 121. (a) Division II of Public Law 104–333 (16 U.S.C. 461 note) is amended in each of sections 107, 208, 310, 408, 507, 607, 707, 809, and 910, by striking "2012" and inserting "2014"; (b) Effective on October 12, 2012, section 7 of Public Law 99647, as amended by section 702(d) of Public Law 109–338 and section 1767 of Public Law 112–10, is further amended by striking the date and all that follows and inserting September 30, 2014; (c) Section 12 of Public Law 100–692 (16 U.S.C. 461 note) is amended—(1) in subsection (c)(1), by striking "2012" and inserting "2014" and (2) in subsection (d), by striking "the date that is 5 years after the date of enactment of this subsection" and inserting "September 30, 2014"; and (d) Section 108 of Public Law 106–278 (16 U.S.C. 461 note) is amended by striking "2012" and inserting "2014".

#### EXHAUSTION OF ADMINISTRATIVE REVIEW

SEC. 122. Paragraph (1) of Section 122(a) of division E of Public Law 112–74 is amended by striking "2012 and 2013 only," in the first sentence and inserting "2012 through 2014,".

#### TRAILING LIVESTOCK OVER PUBLIC LANDS

SEC. 123. Section 123 of division E of Public Law 112–74 is amended by striking "2012 through 2013 only," in the first sentence and inserting "2012 through 2014," and by striking "review" in the first sentence and inserting "prepare".

SEC. 124. Of the funds appropriated in Section 140(b) of Public Law 112–175 for repayment to other appropriations accounts from which funds were transferred in fiscal year 2012 for wildfire suppression, \$7,000,000 are hereby permanently cancelled.

## GENERAL PROVISIONS—DEPARTMENT OF THE INTERIOR

SEC. 201. (a) None of the funds appropriated or otherwise made available by this Act may be used to determine the final point of discharge for the interceptor drain for the San Luis Unit until development by the Secretary of the Interior and the State of California of a plan, which shall conform to the water quality standards of the State of California as approved by the Administrator of the Environmental Protection Agency, to minimize any detrimental effect of the San Luis drainage waters.

(b) The costs of the Kesterson Reservoir Cleanup Program and the costs of the San Joaquin Valley Drainage Program shall be classified by the Secretary of the Interior as reimbursable or nonreimbursable and collected until fully repaid pursuant to the "Cleanup Program-Alternative Repayment Plan" and the "SJVDP-Alternative Repayment Plan" described in the report entitled "Repayment Report, Kesterson Reservoir Cleanup Program and San Joaquin Valley Drainage Program, February 1995", prepared by the Department of the Interior, Bureau of Reclamation. Any future obligations of funds by the United States relating to, or

providing for, drainage service or drainage studies for the San Luis Unit shall be fully reimbursable by San Luis Unit beneficiaries of such service or studies pursuant to Federal reclamation law.

SEC. 202. The Secretary of the Interior may participate in non-Federal groundwater banking programs to increase the operational flexibility, reliability, and efficient use of water in the State of California, and this participation may include making payment for the storage of Central Valley Project water supplies, the purchase of stored water, the purchase of shares or an interest in ground banking facilities, or the use of Central Valley Project water as a medium of payment for groundwater banking services: Provided, That the Secretary of the Interior shall participate in groundwater banking programs only to the extent allowed under State law and consistent with water rights applicable to the Central Valley Project: Provided further, That any water user to which banked water is delivered shall pay for such water in the same manner provided by that water user's then-current Central Valley Project water service, repayment, or water rights settlement contract at the rate provided by the then-current Central-Valley Project Irrigation or Municipal and Industrial Rate Setting Policies; and: Provided further, That in implementing this section, the Secretary of the Interior shall comply with applicable environmental laws, including the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.) Nothing herein shall alter or limit the Secretary's existing authority to use groundwater banking to meet existing fish and wildlife obligations.

SEC. 203. Subject to compliance with all applicable Federal and State laws, a transfer of irrigation water among Central Valley Project contractors from the Friant, San Felipe, West San Joaquin, and Delta divisions, and a transfer from a long-term Friant Division water service or repayment contractor to a temporary or prior temporary service contractors within the place of use in existence on the date of the transfer, as identified in the Bureau of Reclamation water rights permits for the Friant Division, shall be considered to meet the conditions described in subparagraphs (A) and (I) of section 3405(a)(1) of the Reclamation Projects Authorization and Adjustment Act of 1992 (Public Law 102–575; 106 Stat. 4709).

SEC. 204. Section 201(e) of the Central Utah Project Completion Act of 1992 (Public Law 102–575), as amended, is further amended by striking "and may not delegate" and all that follows through "ratemaking".

SEC. 205. Title I of Public Law 108–361 (the Calfed Bay-Delta Authorization Act) (118 Stat. 1681), as amended by Section 210 of Public Law 111–85, is amended by striking "2014" each place it appears and inserting "2018".

SEC. 206. Section 9504(e) of the Secure Water Act of 2009 (42 U.S.C. 10364(e)) is amended by striking "\$200,000,000" and inserting "\$250,000,000".

SEC. 207. Section 8 of the Water Desalination Act of 1996 (42 U.S.C. 10301 note; Public Law 104–298), as amended, is further amended— (1) in subsection (a), in the first sentence, by striking "2013" and inserting "2018"; and (2) in subsection (b), by striking "2012 through 2013" and inserting "2014 through 2018".

SEC. 208. (a) Section 104(c) of the Reclamation States Emergency Drought Relief Act of 1991 (43 U.S.C. 2214(c)) is amended by striking "2012" and inserting "2017". (b) Section 301 of the Reclamation States Emergency Drought Relief Act of 1991 (43 U.S.C. 2241) is amended by striking "2012" and inserting "2017".

## TITLE IV—GENERAL PROVISIONS

### (INCLUDING TRANSFERS OF FUNDS)

#### LIMITATION ON CONSULTING SERVICES

SEC. 401. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

#### OBLIGATION OF APPROPRIATIONS

SEC. 402. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

## PROHIBITION ON USE OF FUNDS FOR PERSONAL SERVICES

SEC. 403. None of the funds provided in this Act to any department or agency shall be obligated or expended to provide a personal cook, chauffeur, or other personal servants to any officer or employee of such department or agency except as otherwise provided by law.

## DISCLOSURE OF ADMINISTRATIVE EXPENSES

SEC. 404. Estimated overhead charges, deductions, reserves or holdbacks from programs, projects, activities and subactivities to support government-wide, departmental, agency, or bureau administrative functions or headquarters, regional, or central operations shall be presented in annual budget justifications. Advance notice of changes to such estimates shall be presented to the Committees on Appropriations.

## MINING APPLICATIONS

SEC. 405. (a) LIMITATION OF FUNDS.—None of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended to accept or process applications for a patent for any mining or mill site claim located under the general mining laws.

(b) EXCEPTIONS.—Subsection (a) shall not apply if the Secretary of the Interior determines that, for the claim concerned (1) a patent application was filed with the Secretary on or before September 30, 1994; and (2) all requirements established under sections 2325 and 2326 of the Revised Statutes (30 U.S.C. 29 and 30) for vein or lode claims, sections 2329, 2330, 2331, and 2333 of the Revised Statutes (30 U.S.C. 35, 36, and 37) for placer claims, and section 2337 of the Revised Statutes (30 U.S.C. 42) for mill site claims, as the case may be, were fully complied with by the applicant by that date.

(c) REPORT.—On September 30, 2014, the Secretary of the Interior shall file with the House and Senate Committees on Appropriations and the Committee on Natural Resources of the House and the Committee on Energy and Natural Resources of the Senate a report on actions taken by the Department under the plan submitted pursuant to section 314(c) of the Department of the Interior and Related Agencies Appropriations Act, 1997 (Public Law 104-208).

(d) MINERAL EXAMINATIONS.—In order to process patent applications in a timely and responsible manner, upon the request of a patent applicant, the Secretary of the Interior shall allow the applicant to fund a qualified third-party contractor to be selected by the Director of the Bureau of Land Management to conduct a mineral examination of the mining claims or mill sites contained in a patent application as set forth in subsection (b). The Bureau of Land Management shall have the sole responsibility to choose and pay the third-party contractor in accordance with the standard procedures employed by the Bureau of Land Management in the retention of third-party contractors.

## CONTRACT SUPPORT COSTS

SEC. 406. Notwithstanding any other provision of law, amounts appropriated to or otherwise designated in committee reports for the Bureau of Indian Affairs and the Indian Health Service by Public Laws 103-138, 103-332, 104-134, 104-208, 105-83, 105-277, 106-113, 106-291, 107-63, 108-7, 108-108, 108-447, 109-54, 109-289, division B and Continuing Appropriations Resolution, 2007 (division B of Public Law 109-289, as amended by Public Laws 110-5 and 110-28), Public Laws 110-92, 110-116, 110-137, 110-149, 110-161, 110-329, 111-6, 111-8, 111-88, 112-10, and 112-74 for payments for contract support costs associated with self-determination or self-governance contracts, grants, compacts, or annual funding agreements with the Bureau of Indian Affairs or the Indian Health Service as funded by such Acts, are the total amounts available for fiscal years 1994 through 2013 for such purposes, except that the Bureau of Indian Affairs, tribes and tribal organizations may use their tribal priority allocations for unmet contract support costs of ongoing contracts, grants, self-governance compacts, or annual funding agreements.

## FOREST MANAGEMENT PLANS

SEC. 407. The Secretary of Agriculture shall not be considered to be in violation of subparagraph 6(f)(5)(A) of the Forest and Rangeland Renewable Resources Planning Act of 1974 (16 U.S.C. 1604(f)(5)(A)) solely because more than 15 years have passed without revision of the plan for a unit of the National Forest System. Nothing in this section exempts the Secretary from any other requirement of the Forest and Rangeland Renewable Resources Planning Act (16 U.S.C. 1600 et seq.) or any other law: Provided, That if the Secretary is not acting expeditiously and in good faith, within the funding available, to revise a plan for a unit of the National Forest System, this section shall be void with respect to such plan

and a court of proper jurisdiction may order completion of the plan on an accelerated basis.

## PROHIBITION WITHIN NATIONAL MONUMENTS

SEC. 408. No funds provided in this Act may be expended to conduct preleasing, leasing and related activities under either the Mineral Leasing Act (30 U.S.C. 181 et seq.) or the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.) within the boundaries of a National Monument established pursuant to the Act of June 8, 1906 (16 U.S.C. 431 et seq.) as such boundary existed on January 20, 2001, except where such activities are allowed under the Presidential proclamation establishing such monument.

## LIMITATION ON TAKINGS

SEC. 409. Unless otherwise provided herein, no funds appropriated in this Act for the acquisition of lands or interests in lands may be expended for the filing of declarations of taking or complaints in condemnation without providing prior notification to the House and Senate Committees on Appropriations: Provided, That this provision shall not apply to funds appropriated to implement the Everglades National Park Protection and Expansion Act of 1989, or to funds appropriated for Federal assistance to the State of Florida to acquire lands for Everglades restoration purposes.

## PROHIBITION ON NO-BID CONTRACTS

SEC. 410. None of the funds appropriated or otherwise made available by this Act to executive branch agencies may be used to enter into any Federal contract unless such contract is entered into in accordance with the requirements of Chapter 33 of title 41, United States Code, or Chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless—

(1) Federal law specifically authorizes a contract to be entered into without regard for these requirements, including formula grants for States, or federally recognized Indian tribes; or

(2) such contract is authorized by the Indian Self-Determination and Education and Assistance Act (Public Law 93-638, 25 U.S.C. 450 et seq., as amended) or by any other Federal laws that specifically authorize a contract within an Indian tribe as defined in section 4(e) of that Act (25 U.S.C. 450b(e)); or

(3) such contract was awarded prior to the date of enactment of this Act.

## POSTING OF REPORTS

SEC. 411. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

(1) the public posting of the report compromises national security; or

(2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.

## NATIONAL ENDOWMENT FOR THE ARTS GRANT GUIDELINES

SEC. 412. Of the funds provided to the National Endowment for the Arts—

(1) The Chairperson shall only award a grant to an individual if such grant is awarded to such individual for a literature fellowship, National Heritage Fellowship, or American Jazz Masters Fellowship.

(2) The Chairperson shall establish procedures to ensure that no funding provided through a grant, except a grant made to a State or local arts agency, or regional group, may be used to make a grant to any other organization or individual to conduct activity independent of the direct grant recipient. Nothing in this subsection shall prohibit payments made in exchange for goods and services.

(3) No grant shall be used for seasonal support to a group, unless the application is specific to the contents of the season, including identified programs and / or projects.

## NATIONAL ENDOWMENT FOR THE ARTS PROGRAM PRIORITIES

SEC. 413. (a) In providing services or awarding financial assistance under the National Foundation on the Arts and the Humanities Act of 1965 from funds appropriated under this Act, the Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects, productions, workshops, or programs that serve underserved populations.

(b) In this section:

(1) The term "underserved population" means a population of individuals, including urban minorities, who have historically been outside the purview of arts and humanities programs due to factors such as a high incidence of income below the poverty line or to geographic isolation.

(2) The term "poverty line" means the poverty line (as defined by the Office of Management and Budget, and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2))) applicable to a family of the size involved.

(c) In providing services and awarding financial assistance under the National Foundation on the Arts and Humanities Act of 1965 with funds appropriated by this Act, the Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects, productions, workshops, or programs that will encourage public knowledge, education, understanding, and appreciation of the arts.

(d) With funds appropriated by this Act to carry out section 5 of the National Foundation on the Arts and Humanities Act of 1965—

(1) the Chairperson shall establish a grant category for projects, productions, workshops, or programs that are of national impact or availability or are able to tour several States;

(2) the Chairperson shall not make grants exceeding 15 percent, in the aggregate, of such funds to any single State, excluding grants made under the authority of paragraph (1);

(3) the Chairperson shall report to the Congress annually and by State, on grants awarded by the Chairperson in each grant category under section 5 of such Act; and

(4) the Chairperson shall encourage the use of grants to improve and support community-based music performance and education.

#### NATIONAL ENDOWMENT FOR THE ARTS AWARDS TO STATES

SEC. 414. Section 5(g)(4) of the National Foundation on the Arts and the Humanities Act of 1965, as amended (20 U.S.C. 954(g)(4)), is further amended as follows: (a) in subparagraph (A), by inserting after the period the following new sentence: "When authorizing waivers of match for an eligible state arts agency, the Chairman, consistent with the provisions of this subsection, shall—(i) give consideration to the various circumstances the State is encountering at the time of the State's request for waiver; and (ii) ensure that waivers are not granted in perpetuity."; and (b) in subparagraph (C), by inserting after the period the following new sentence: "State partnership agreements must be matched one-to-one with state government funds that are directly controlled and appropriated by the state and directly managed by the state arts agency."

#### ALASKA NATIVE REGIONAL HEALTH ENTITIES

SEC. 415. (a) Notwithstanding any other provision of law and until October 1, 2013, the Indian Health Service may not disburse funds for the provision of health care services pursuant to Public Law 93-638 (25 U.S.C. 450 et seq.) to any Alaska Native village or Alaska Native village corporation that is located within the area served by an Alaska Native regional health entity.

(b) Nothing in this section shall be construed to prohibit the disbursement of funds to any Alaska Native village or Alaska Native village corporation under any contract or compact entered into prior to May 1, 2006, or to prohibit the renewal of any such agreement.

(c) For the purpose of this section, Eastern Aleutian Tribes, Inc., the Council of Athabascan Tribal Governments, and the Native Village of Eyak shall be treated as Alaska Native regional health entities to which funds may be disbursed under this section.

#### CONGRESSIONALLY DIRECTED SPENDING

SEC. 416. The Bureau of Land Management, Fish and Wildlife Service, National Park Service, and Forest Service may allocate either greater or lesser amounts than those specified under the heading "Congressionally Directed Spending" accompanying Public Law 111-8 and in the table entitled "Incorporation of Congressionally Requested Projects" in the joint explanatory statement of managers accompanying Public Law 111-88 within the construction, land acquisition, or capital improvement and maintenance accounts when necessary to complete projects based on the original project scope or to utilize excess funds available after completion

of a project on other projects within the same account, in consultation with the House and Senate Committees on Appropriations.

#### ENHANCING FOREST SERVICE ADMINISTRATION OF RIGHTS-OF-WAY AND LAND USES

SEC. 417. Section 331 of the Department of the Interior and Related Agencies Appropriations Act, 2000 (as enacted into law by section 1000(a)(3) of Public Law 106-113; 113 Stat. 1501 A-196; 16 U.S.C. 497 note), is further amended—(1) in subsection (a) - (A) by striking "develop and implement a pilot program" and inserting "implement a program"; (B) by striking "forest service" and inserting "Forest Service"; (C) by striking "through 2012" and inserting "and each fiscal year thereafter"; and (D) by striking "Prior to the expiration" and all that follows through "permit applications"; and (2) in subsection (b), by striking "during fiscal years 2000- 2012".

#### INTERPRETIVE ASSOCIATIONS

SEC. 418. Partnership authorities. (a) In general. The Secretary of Agriculture may enter into an agreement under the Cooperative Funds and Deposits Act (Public Law 94-148), with Federal, tribal, State, or local governments, or nonprofit entities, for additional purposes as follows: (1) to develop, produce, publish, distribute, or sell educational and interpretive materials and products; (2) to develop, conduct, or sell educational and interpretive programs and services; (3) to construct, maintain, or improve facilities not under the jurisdiction, custody, or control of the Administrator of General Services on or in the vicinity of National Forest System lands for the sale or distribution of educational and interpretive materials, products, programs, and services; (4) to operate facilities (including providing the services of Forest Service employees to staff facilities) in any public or private building or on land not under the jurisdiction, custody, or control of the Administrator of General Services for the sale or distribution of educational and interpretive materials, products, programs, and services, pertaining to National Forest System lands, private lands and lands administered by other public entities; (5) to sell health and safety products, visitor convenience items, or other similar items (as determined by the Secretary) in facilities not under the jurisdiction, custody, or control of the Administrator of General Services on or in the vicinity of National Forest System lands; and (6) to collect funds on behalf of cooperators from the sale of materials, products, programs, and services, as authorized by this section, when incidental to other duties of Forest Service personnel; (b) Treatment of Contributions of volunteers. - The Forest Service may consider the value of services performed by persons who volunteer their services to the Forest Service and who are recruited, trained and supported by a cooperator as an in-kind contribution of the cooperator for purposes of any cost sharing requirement under any Forest Service authority to enter into mutual benefit agreements. (c) Duration. - Subsection (a) and (b) shall remain in effect for fiscal years 2014-2019.

#### CONTRACTING AUTHORITIES

SEC. 419. Section 412 of Division E of Public Law 112-74 is amended by striking "fiscal year 2013," and inserting "fiscal year 2015,".

#### CHESAPEAKE BAY INITIATIVE

SEC. 420. Section 502(c) of the Chesapeake Bay Initiative Act of 1998 (16 U.S.C. 461 note; P.L. 105-312) is amended by striking "2013" and inserting "2014".

#### AMERICAN BATTLEFIELD PROTECTION PROGRAM GRANTS

SEC. 421. Section 7301(c)(6) of Public Law 111-11 is amended by striking "2013" and inserting "2014".

#### RECREATION FEE

SEC. 422. Section 810 of the Federal Lands Recreation Enhancement Act (16 U.S.C. 6809) shall be applied by substituting "11 years" for "10 years".

#### SERVICE FIRST

SEC. 423. Section 330 of the Department of the Interior and Related Agencies Appropriations Act, 2001 (Public Law 106-291; 114 Stat. 996; 43 U.S.C. 1701 note), concerning Service First authorities, as amended by section 428 of Public Law 109-54 (119 Stat. 555-556), section 418 of Public Law 111-8 (123 Stat. 747), and section 422 of Public Law 112-74 (125 Stat. 1045), is amended by striking "involving the land management agencies referred to in this section" in the first sentence and inserting in the third sentence "or matters under the purview of other bureaus or offices in either Department" after "Forest Service".

## EXTENSION OF GRAZING PERMITS

SEC. 424. The terms and conditions of section 325 of Public Law 108–108, regarding grazing permits at the Department of the Interior and the Forest Service, shall remain in effect for fiscal year 2014. A grazing permit or lease issued by the Secretary of the Interior for lands administered by the Bureau of Land Management that is the subject of a request for a grazing preference transfer shall be issued, without further processing, for the remaining time period in the existing permit or lease using the same mandatory terms and conditions. If the authorized officer determines a change in the mandatory terms and conditions is required the new permit must be processed as directed in section 325 of Public Law 108–108.

## LIVESTOCK GRAZING ADMINISTRATION

SEC. 425. Beginning on March 1, 2014, and each subsequent year through February 28, 2018, and only to the extent and in the amount provided in advance in appropriations Acts, the Secretary of Agriculture shall collect an annual administrative fee for grazing domestic livestock on National Forests in the 16 contiguous western States and on National Grasslands in the amount of \$1.00 per head month for cattle and its equivalent for other livestock. The administrative fee shall be billed and collected using the process as provided in sections 222.50 through 222.52 of title 36, Code of Federal Regulations. Fees collected may be used, subject to appropriation, to offset the cost of administering the livestock grazing program. Nothing in this provision shall affect the calculation, collection, distribution, or use of the grazing fee under 43 U.S.C. 1751(b), title III of the Bankhead Jones Farm Tenant Act (7 U.S.C. 1010), and implementing regulations.

In fiscal years 2014–2017, beginning on March 1, 2014, and only to the extent and in the amount provided in advance in appropriations Acts, the Secretary of the Interior shall collect an administrative fee to offset the increased cost of administering the livestock grazing program on public lands managed by the Bureau of Land Management by charging \$1.00 per Animal Unit Month, which shall be billed, collected, and subject to the penalties using the same process as the annual grazing fee in 43 C.F.R. 4130.8–1. Penalties assessed shall be deposited in the General Fund of the Treasury. Nothing in this provision affects the calculation, collection, distribution, or use of the grazing fee under 43 U.S.C. 315–315rr, 43 U.S.C. 1751(b), 43 U.S.C. 1905, Executive Order 12548, or administrative regulation.

## SEPARATE FOREST SERVICE DECISION MAKING AND APPEALS PROCESS

SEC. 426. Section 322 of the Department of the Interior and Related Agencies Appropriations Act, 1993 (Public Law 102–381; 16 U.S.C. 1612 note) and Section 428 of Division E of the Consolidated Appropriations

Act, 2012 (Public Law 112–74; 125 Stat. 1046; 16 U.S.C. 515 note) shall not apply to any project or activity implementing a land and resource management plan developed under section 6 of the Forest and Rangeland Renewable Resources Planning Act of 1974 (16 U.S.C. 1604) that is categorically excluded from documentation in an environmental assessment or an environmental impact statement under the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.).

## EXTENSION OF FOREST BOTANICAL PRODUCTS AUTHORITIES

SEC. 427. Section 339 of the Department of the Interior and Related Agencies Appropriations Act, 2000 (as enacted into law by section 1000(a)(3) of Public Law 106–113; 113 Stat. 1501, 1501A–199; 16 U.S.C. 528 note), as amended, concerning a pilot program for the sale of forest botanical products by the Forest Service, is further amended by striking "until September 30, 2014" in subsection (h)(1) and inserting "until September 30, 2019."

## SHASTA TRINITY MARINA FEES

SEC. 428. Section 422, Division F, Consolidated Appropriations Act, 2008 (P.L. 110–161; 121 Stat. 2149), as amended, is further amended by striking "and subsequent fiscal years through fiscal year 2014" and inserting "and each subsequent fiscal year through fiscal year 2019".

## FEDERAL, STATE, COOPERATIVE FOREST, RANGE-LAND AND WATERSHED RESTORATION IN UTAH

SEC. 429. The authority provided by section 337 of the Department of the Interior and Related Agencies Appropriations Act, 2005 (Public Law 108–447; 118 Stat. 3012), as amended, shall remain in effect until September 30, 2014.

## COLORADO COOPERATIVE CONSERVATION AUTHORITY

SEC. 430. Section 331(e) of the Department of the Interior and Related Agencies Appropriations Act, 2001 (Public Law 106–291), as added by section 336 of division E of the Consolidated Appropriations Act, 2005 (Public Law 108–447), concerning cooperative forestry agreements know as the Colorado Good Neighbor Act Authority is amended by striking "September 30, 2013" and inserting "September 30, 2014".

## NATIONAL COUNCIL ON THE ARTS

SEC. 431. Section 6(c) of the National Foundation on the Arts and the Humanities Act of 1965 (Public Law 89–209, 20 U.S.C. 955(c)), as amended, is further amended as follows: in the last sentence, by striking the fifth sentence.

Paragraph 6(d)(1) of the National Foundation on the Arts and the Humanities Act of 1965 (Public Law 89–209, 20 U.S.C. 955(d)(1)), as amended, is further amended as follows: by striking the second sentence and inserting "A majority of voting members of the Council who have taken office and are serving on the Council shall constitute a quorum."

# DEPARTMENT OF JUSTICE

## GENERAL ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

*For expenses necessary for the administration of the Department of Justice, \$126,208,000, of which not to exceed \$4,000,000 for security and construction of Department of Justice facilities shall remain available until expended: Provided, That the Attorney General is authorized to transfer funds appropriated within the General Administration to any office in this account: Provided further, That this transfer authority is in addition to transfers authorized under section 504 of this Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 15–0129–0–1–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Department Leadership .....	16	16	18
0003 Intergovernmental Relations and External Affairs .....	8	8	9
0004 Executive Support and Professional Responsibility .....	12	12	25
0005 Justice Management Division .....	71	71	74
0799 Total direct obligations .....	107	107	126
0801 Reimbursable program .....	20	20	14
0900 Total new obligations .....	127	127	140
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	111	111	126
1121 Appropriations transferred from ODR to DOJ General Administration [15–0128] .....	1	1	.....
1160 Appropriation, discretionary (total) .....	112	112	126
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	18	20	14
1701 Change in uncollected payments, Federal sources .....	2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	20	20	14
1900 Budget authority (total) .....	132	132	140
1930 Total budgetary resources available .....	133	133	146
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	1	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	20	11
3010 Obligations incurred, unexpired accounts .....	127	127	140
3020 Outlays (gross) .....	-131	-132	-139
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-4	.....
3050 Unpaid obligations, end of year .....	20	11	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	18	9
3200 Obligated balance, end of year .....	18	9	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	132	132	140
Outlays, gross:			
4010 Outlays from new discretionary authority .....	112	117	124
4011 Outlays from discretionary balances .....	19	15	15
4020 Outlays, gross (total) .....	131	132	139
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-20	-20	-14

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4070 Budget authority, net (discretionary) .....	112	112	126
4080 Outlays, net (discretionary) .....	111	112	125
4180 Budget authority, net (total) .....	112	112	126
4190 Outlays, net (total) .....	111	112	125

*Program direction and policy coordination.* The Attorney General of the United States is responsible for leading the Department of Justice in accomplishing its missions. The Attorney General is assisted by the Deputy Attorney General, the Associate Attorney General, Department policy-level officials, and the Justice Management Division. The General Administration appropriation provides the resources for the programs and operations of the Attorney General, the Deputy Attorney General, the Associate Attorney General, and their Offices, several Senior Policy Offices, and the Justice Management Division.

#### Object Classification (in millions of dollars)

Identification code 15–0129–0–1–999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	53	53	67
11.3 Other than full-time permanent .....	3	3	2
11.5 Other personnel compensation .....	1	1	2
11.9 Total personnel compensation .....	57	57	71
12.1 Civilian personnel benefits .....	15	15	18
21.0 Travel and transportation of persons .....	1	1	2
22.0 Transportation of things .....	3	3	2
23.1 Rental payments to GSA .....	17	17	20
23.2 Rental payments to others .....	1	1	.....
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Rental payments to GSA .....	4	4	4
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	2	2	2
99.0 Direct obligations .....	107	107	126
99.0 Reimbursable obligations .....	19	19	14
99.5 Below reporting threshold .....	1	1	.....
99.9 Total new obligations .....	127	127	140

#### Employment Summary

Identification code 15–0129–0–1–999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	500	486	536
2001 Reimbursable civilian full-time equivalent employment .....	77	73	29

## NATIONAL DRUG INTELLIGENCE CENTER

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 15–1102–0–1–754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Drug Intelligence Center .....	19	20	.....
0801 Reimbursable program activity .....	1	.....	.....
0900 Total new obligations .....	20	20	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1

NATIONAL DRUG INTELLIGENCE CENTER—Continued  
Program and Financing—Continued

Identification code 15-1102-0-1-754	2012 actual	2013 CR	2014 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	20	20	.....
1160 Appropriation, discretionary (total) .....	20	20	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1	.....	.....
1900 Budget authority (total) .....	21	20	.....
1930 Total budgetary resources available .....	22	21	1
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	3	7
3010 Obligations incurred, unexpired accounts .....	20	20	.....
3020 Outlays (gross) .....	-27	-16	-6
3050 Unpaid obligations, end of year .....	3	7	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-1	-1
3071 Change in uncollected pymts, Fed sources, expired .....	5	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	2	6
3200 Obligated balance, end of year .....	2	6	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	21	20	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	15	.....
4011 Outlays from discretionary balances .....	8	1	6
4020 Outlays, gross (total) .....	27	16	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	4	.....	.....
4070 Budget authority, net (discretionary) .....	20	20	.....
4080 Outlays, net (discretionary) .....	22	16	6
4180 Budget authority, net (total) .....	20	20	.....
4190 Outlays, net (total) .....	22	16	6

In 2013, the NDIC was proposed for elimination, and the resources and personnel required to maintain activities were included in the Drug Enforcement Administration Salaries and Expenses account. This transfer of activities is complete.

Object Classification (in millions of dollars)

Identification code 15-1102-0-1-754	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	.....	.....
12.1 Civilian personnel benefits .....	4	.....	.....
23.1 Rental payments to GSA .....	3	.....	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	.....	.....
25.2 Other services from non-Federal sources .....	1	.....	.....
25.3 Other goods and services from Federal sources .....	1	20	.....
99.0 Direct obligations .....	19	20	.....
99.0 Reimbursable obligations .....	1	.....	.....
99.9 Total new obligations .....	20	20	.....

Employment Summary

Identification code 15-1102-0-1-754	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	104	.....	.....

JUSTICE INFORMATION SHARING TECHNOLOGY

For necessary expenses for information sharing technology, including planning, development, deployment and departmental direction, \$25,842,000, to remain available until expended; Provided, That the Attorney General may transfer up to \$35,400,000 to this account, from funds available to the Department of Justice for information technology, for enterprise-wide information technology initiatives; Provided further, That the transfer authority in the preceding proviso is in addition to any other transfer authority contained in this Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15-0134-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Justice Information Sharing Technology .....	50	47	26
0801 Reimbursable program .....	58	64	30
0900 Total new obligations .....	108	111	56
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	34	7
1021 Recoveries of prior year unpaid obligations .....	9	7	.....
1050 Unobligated balance (total) .....	32	41	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	44	44	26
1160 Appropriation, discretionary (total) .....	44	44	26
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	55	33	30
1701 Change in uncollected payments, Federal sources .....	11	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	66	33	30
1900 Budget authority (total) .....	110	77	56
1930 Total budgetary resources available .....	142	118	63
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	34	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	99	84	79
3010 Obligations incurred, unexpired accounts .....	108	111	56
3020 Outlays (gross) .....	-114	-109	-79
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9	-7	.....
3050 Unpaid obligations, end of year .....	84	79	56
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-91	-102	-102
3070 Change in uncollected pymts, Fed sources, unexpired .....	-11	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-102	-102	-102
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	-18	-23
3200 Obligated balance, end of year .....	-18	-23	-46
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	110	77	56
Outlays, gross:			
4010 Outlays from new discretionary authority .....	48	74	54
4011 Outlays from discretionary balances .....	66	35	25
4020 Outlays, gross (total) .....	114	109	79
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-55	-33	-30
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-11	.....	.....
4070 Budget authority, net (discretionary) .....	44	44	26
4080 Outlays, net (discretionary) .....	59	76	49
4180 Budget authority, net (total) .....	44	44	26
4190 Outlays, net (total) .....	59	76	49

Funding for the Justice Information Sharing Technology (JIST) account will provide for corporate investments in information

technology (IT). Under the control of the DOJ Chief Information Officer, this centralized fund ensures that investments in information sharing technology are well-planned and aligned with the Department's overall IT strategy and enterprise architecture. The current major initiatives/projects are described below.

**IT Transformation and Cyber Security Program.**—The JIST IT Transformation and Cyber Security Program is a multiyear commitment that directly supports the Federal CIO's 25 Point Plan to Reform Federal IT Management and Portfolio Stat (PSTAT) process. The focus of the program is to advance enterprise initiatives to transform IT infrastructure and cyber security. This program consists of the following six projects: IT security, email consolidation, data center consolidation, mobility and remote access, desktops, and telecommunications.

**Law Enforcement Information Sharing Program (LEISP).**—LEISP is a Department-wide strategy to facilitate the sharing of information about terrorism, criminal activity, and threats to public safety. LEISP will implement the IT tools needed to facilitate timely, appropriate, and secure sharing of information across the law enforcement community.

**Public Key Infrastructure (PKI) Program.**—The PKI program is tasked with ensuring DOJ compliance with Homeland Security Presidential Directive 12 (HSPD-12) which strengthens federal facility and IT system access security.

**Unified Financial Management System.**—The Unified Financial Management System will allow the Department of Justice to streamline and standardize business processes and procedures across components, providing secure, accurate, timely, and useful financial and procurement data to program managers, and to produce component and Department level financial statements.

**Object Classification** (in millions of dollars)

Identification code 15-0134-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	7	6
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.1 Advisory and assistance services .....	9	9	3
25.2 Other services from non-Federal sources .....	8	8	10
25.3 Other goods and services from Federal sources .....	14	11	1
31.0 Equipment .....	7	7	1
99.0 Direct obligations .....	50	47	26
99.0 Reimbursable obligations .....	58	64	30
99.9 Total new obligations .....	108	111	56

**Employment Summary**

Identification code 15-0134-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	54	54	59

**TACTICAL LAW ENFORCEMENT WIRELESS COMMUNICATIONS**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0132-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Wireless communications equipment and services .....	116	115	.....

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	15	11	1
1011 Unobligated balance transfer from other accts [15-0324] .....	2	2	.....
1021 Recoveries of prior year unpaid obligations .....	11	3	.....
1050 Unobligated balance (total) .....	28	16	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	87	88	.....
1121 Appropriations transferred from other accts [15-0200] .....	10	10	.....
1121 Appropriations transferred from other accts [15-1100] .....	1	1	.....
1121 Appropriations transferred from other accts [15-0323] .....	1	1	.....
1160 Appropriation, discretionary (total) .....	99	100	.....
1930 Total budgetary resources available .....	127	116	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	11	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	119	93	105
3010 Obligations incurred, unexpired accounts .....	116	115	.....
3020 Outlays (gross) .....	-131	-100	-85
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	-3	.....
3050 Unpaid obligations, end of year .....	93	105	20
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	119	93	105
3200 Obligated balance, end of year .....	93	105	20

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	99	100	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	58	40	.....
4011 Outlays from discretionary balances .....	73	60	85
4020 Outlays, gross (total) .....	131	100	85
4180 Budget authority, net (total) .....	99	100	.....
4190 Outlays, net (total) .....	131	100	85

The mission of this program has been to achieve a secure, reliable and interoperable wireless network that will directly support agents in the conduct of counterterrorism, counterintelligence, law enforcement and emergency response. In 2014, operational and maintenance funding for legacy radio networks is transferred back to the participating components. The management of this program shifts to the Federal Bureau of Investigation (FBI), including resources for developing new technologies as well as improving and upgrading radio infrastructure.

**Object Classification** (in millions of dollars)

Identification code 15-0132-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	.....
23.2 Rental payments to others .....	1	1	.....
23.3 Communications, utilities, and miscellaneous charges .....	6	6	.....
25.1 Advisory and assistance services .....	5	5	.....
25.2 Other services from non-Federal sources .....	2	2	.....
25.3 Other goods and services from Federal sources .....	75	74	.....
31.0 Equipment .....	24	24	.....
99.9 Total new obligations .....	116	115	.....

**Employment Summary**

Identification code 15-0132-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	20	5	.....

**ADMINISTRATIVE REVIEW AND APPEALS**

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the administration of pardon and clemency petitions and immigration-related activities, \$333,147,000, of which \$4,000,000 shall be derived by transfer from the Executive Office for Im-

ADMINISTRATIVE REVIEW AND APPEALS—Continued

migration Review fees deposited in the "Immigration Examinations Fee" account. Of the amount provided:

- (1) \$5,000,000 is for Executive Office for Immigration Review information technology systems and shall remain available until expended;
- (2) \$10,000,000 is for the Executive Office for Immigration Review Legal Orientation Program; and
- (3) \$4,000,000 is for the Executive Office for Immigration Review to develop, implement and evaluate a pilot program to provide counsel for unaccompanied alien children; Provided, That such pilot program shall be carried out in consultation with the Department of Health and Human Services, the Department of Homeland Security, and relevant non-governmental organizations and experts.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15–0339–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Executive Office for Immigration Review (EOIR) .....	302	304	329
0002 Office of the Pardon Attorney (OPA) .....	2	3	4
0900 Total new obligations .....	304	307	333
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	301	300	325
1100 Appropriation .....		3	4
1121 Appropriations transferred from other accts [70–0300] .....	4	4	4
1160 Appropriation, discretionary (total) .....	305	307	333
1930 Total budgetary resources available .....	305	307	333
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		47	33
3010 Obligations incurred, unexpired accounts .....	304	307	333
3020 Outlays (gross) .....	-308	-321	-330
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	47	33	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	53	47	33
3200 Obligated balance, end of year .....	47	33	36
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	305	307	333
Outlays, gross:			
4010 Outlays from new discretionary authority .....	260	273	296
4011 Outlays from discretionary balances .....	48	48	34
4020 Outlays, gross (total) .....	308	321	330
4180 Budget authority, net (total) .....	305	307	333
4190 Outlays, net (total) .....	308	321	330

This program includes the Office of the Pardon Attorney (OPA) and the Executive Office for Immigration Review (EOIR). The Pardon Attorney receives, reviews, and prepares recommendations to the President for all petitions for executive clemency, i.e., commutation of sentences and pardons, submitted by persons convicted of Federal crimes. The Executive Office for Immigration Review contains 59 Immigration Courts and the Board of Immigration Appeals. EOIR was established January 1, 1983, to improve the immigration hearing and appeal process.

Object Classification (in millions of dollars)

Identification code 15–0339–0–1–751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	128	128	144
11.3 Other than full-time permanent .....	12	12	14
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	142	142	160
12.1 Civilian personnel benefits .....	41	41	46
21.0 Travel and transportation of persons .....	2	3	3
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	33	33	34
23.3 Communications, utilities, and miscellaneous charges .....	6	6	7
25.1 Advisory and assistance services .....	11	11	4
25.2 Other services from non-Federal sources .....	36	36	41
25.3 Other purchases & Svcs from Gov't accounts .....	11	11	12
25.4 Operation and maintenance of facilities .....	3	3	3
25.7 Operation and maintenance of equipment .....	12	12	16
26.0 Supplies and materials .....	2	3	2
31.0 Equipment .....	2	3	3
32.0 Land and structures .....	1	1	
99.9 Total new obligations .....	304	307	333

Employment Summary

Identification code 15–0339–0–1–751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,449	1,373	1,482

DETENTION TRUSTEE

(CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$80,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15–0136–0–1–753	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Management of detention resources and operations .....	1,599	1,593	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	13	80
1021 Recoveries of prior year unpaid obligations .....	4	70	
1050 Unobligated balance (total) .....	31	83	80
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,581	1,590	
1131 Unobligated balance of appropriations permanently reduced .....			-80
1160 Appropriation, discretionary (total) .....	1,581	1,590	-80
1900 Budget authority (total) .....	1,581	1,590	-80
1930 Total budgetary resources available .....	1,612	1,673	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	80	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		229	171
3010 Obligations incurred, unexpired accounts .....	1,599	1,593	
3020 Outlays (gross) .....	-1,541	-1,581	-159
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-70	
3050 Unpaid obligations, end of year .....	229	171	12

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	175	229	171
3200	Obligated balance, end of year .....	229	171	12
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,581	1,590	-80
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,386	1,352	-80
4011	Outlays from discretionary balances .....	155	229	239
4020	Outlays, gross (total) .....	1,541	1,581	159
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4180	Budget authority, net (total) .....	1,581	1,590	-80
4190	Outlays, net (total) .....	1,541	1,581	159

In 2013, the Office of the Federal Detention Trustee started merging with the U.S. Marshals Service. The costs associated with the care of Federal detainees will be funded through the U.S. Marshals Service-Federal Prisoner Detention appropriation.

**Object Classification** (in millions of dollars)

Identification code 15-0136-0-1-753	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	3	3	.....
12.1	Civilian personnel benefits .....	1	1	.....
23.1	Rental payments to GSA .....	1	1	.....
25.1	Advisory and assistance services .....	14	18	.....
25.2	Other services from non-Federal sources .....	72	72	.....
25.6	Medical care .....	112	112	.....
25.8	Subsistence and support of persons .....	1,396	1,386	.....
99.9	Total new obligations .....	1,599	1,593	.....

**Employment Summary**

Identification code 15-0136-0-1-753	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	21	19	.....

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General, \$85,845,000, including not to exceed \$10,000 to meet unforeseen emergencies of a confidential character.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0328-0-1-751	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Audits, inspections, and investigations .....	85	85	86
0801	Reimbursable program .....	18	16	13
0900	Total new obligations .....	103	101	99
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	.....	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	84	85	86
1160	Appropriation, discretionary (total) .....	84	85	86
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	8	16	13
1701	Change in uncollected payments, Federal sources .....	10	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	18	16	13
1900	Budget authority (total) .....	102	101	99
1930	Total budgetary resources available .....	103	101	99

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	17	16	8
3010	Obligations incurred, unexpired accounts .....	103	101	99
3020	Outlays (gross) .....	-104	-109	-99
3050	Unpaid obligations, end of year .....	16	8	8
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-10	-10
3070	Change in uncollected pymts, Fed sources, unexpired .....	-10	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	9	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-10	-10	-10
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	8	6	-2
3200	Obligated balance, end of year .....	6	-2	-2

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	102	101	99
Outlays, gross:				
4010	Outlays from new discretionary authority .....	87	94	92
4011	Outlays from discretionary balances .....	17	15	7
4020	Outlays, gross (total) .....	104	109	99
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-18	-16	-13
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-10	.....	.....
4052	Offsetting collections credited to expired accounts .....	10	.....	.....
4070	Budget authority, net (discretionary) .....	84	85	86
4080	Outlays, net (discretionary) .....	86	93	86
4180	Budget authority, net (total) .....	84	85	86
4190	Outlays, net (total) .....	86	93	86

The Office of the Inspector General (OIG) was statutorily established in the Department of Justice on April 14, 1989. The OIG investigates alleged violations of criminal and civil laws, regulations, and ethical standards arising from the conduct of the Department's employees. The OIG provides leadership and assists management in promoting integrity, economy, efficiency, and effectiveness within the Department and in its financial, contractual, and grant relationships with others. Also by statute, the OIG reports to the Attorney General, Congress, and the public on a semiannual basis regarding its significant activities.

The Audit function is responsible for independent audits and reviews of Department organizations, programs, functions, computer security and information technology systems, and financial statement audits. The Audit function also conducts or reviews external audits of expenditures made under Department contracts, grants, and other agreements.

The Investigations function investigates allegations of civil rights violations, bribery, fraud, abuse and violations of other laws, rules, and procedures that govern Department employees, contractors, and grantees. This function also develops these cases for criminal prosecution, civil action, or administrative action. In some instances, the OIG refers allegations to components within the Department and requests notification of their findings and of any disciplinary action taken.

The Evaluation and Inspections function conducts analyses and makes recommendations to decisionmakers for improvements in Department programs, policies, and procedures. In addition, this function also conducts shorter and more time-sensitive reviews and evaluations to provide managers with early warnings about possible program deficiencies.

The Oversight and Review function investigates allegations of significant interest to the American public and Congress and of vital importance to the Department.

The Executive Direction and Control function provides program direction for the OIG. Responsibilities include policy development, legal counsel, congressional affairs, planning, budget, finance,

OFFICE OF INSPECTOR GENERAL—Continued  
personnel, procurement, automated data processing, security,  
and general support services.

Object Classification (in millions of dollars)

Identification code 15-0328-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	44	44	44
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	49	49	49
12.1 Civilian personnel benefits .....	16	16	17
21.0 Travel and transportation of persons .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services from non-Federal sources .....	6	5	5
25.3 Rental payments to GSA .....	8	9	9
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	85	85	86
99.0 Reimbursable obligations .....	18	16	13
99.9 Total new obligations .....	103	101	99

Employment Summary

Identification code 15-0328-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	442	431	431
2001 Reimbursable civilian full-time equivalent employment .....	23	23	21

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 15-4526-0-4-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Financial and employee data .....	129	105	102
0802 Data Processing and Telecommunications .....	395	475	493
0803 Space Management .....	545	580	596
0804 Library Acquisition Services .....	8	8	8
0805 Human Resources .....	9	11	11
0806 Debt Collection Management .....	145	26	25
0807 Mail and Publication Services .....	33	36	36
0810 Security Services .....	28	40	40
0811 Capital Investment .....	70		
0900 Total new obligations .....	1,362	1,281	1,311
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	308	348	282
1012 Unobligated balance transfers between expired and unexpired accounts .....	109		
1021 Recoveries of prior year unpaid obligations .....	62		
1050 Unobligated balance (total) .....	479	348	282
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1131 Unobligated balance of appropriations permanently reduced .....	-40	-40	-30
1160 Appropriation, discretionary (total) .....	-40	-40	-30
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,283	1,255	1,255
1701 Change in uncollected payments, Federal sources .....	-12		
1750 Spending auth from offsetting collections, disc (total) .....	1,271	1,255	1,255
1900 Budget authority (total) .....	1,231	1,215	1,225
1930 Total budgetary resources available .....	1,710	1,563	1,507
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	348	282	196

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	389	425	47
3010 Obligations incurred, unexpired accounts .....	1,362	1,281	1,311
3020 Outlays (gross) .....	-1,264	-1,659	-1,255
3040 Recoveries of prior year unpaid obligations, unexpired .....	-62		

3050 Unpaid obligations, end of year .....	425	47	103
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-278	-266	-266
3070 Change in uncollected pymts, Fed sources, unexpired .....	12		
3090 Uncollected pymts, Fed sources, end of year .....	-266	-266	-266
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	111	159	-219
3200 Obligated balance, end of year .....	159	-219	-163

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,231	1,215	1,225
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,060	1,255	1,255
4011 Outlays from discretionary balances .....	204	404	
4020 Outlays, gross (total) .....	1,264	1,659	1,255
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1,283	-1,255	-1,255
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	12		
4070 Budget authority, net (discretionary) .....	-40	-40	-30
4080 Outlays, net (discretionary) .....	-19	404	
4180 Budget authority, net (total) .....	-40	-40	-30
4190 Outlays, net (total) .....	-19	404	

The Working Capital Fund finances, on a reimbursable basis, those administrative services that can be performed more efficiently at the Department level.

Object Classification (in millions of dollars)

Identification code 15-4526-0-4-751	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	58	66	71
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	60	68	73
12.1 Civilian personnel benefits .....	16	19	20
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	27	30	30
23.1 Rental payments to GSA .....	487	517	528
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	103	126	126
25.1 Advisory and assistance services .....	70	70	70
25.2 Other services from non-Federal sources .....	320	214	226
25.3 Other goods and services from Federal sources .....	162	162	162
25.3 Rental payments to GSA for WCF only .....	18	31	32
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	20	20	20
26.0 Supplies and materials .....	9	8	9
31.0 Equipment .....	65	11	10
99.9 Total new obligations .....	1,362	1,281	1,311

Employment Summary

Identification code 15-4526-0-4-751	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	550	557	557

UNITED STATES PAROLE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the United States Parole Commission as authorized, \$13,021,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-1061-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Determination of parole of prisoners and supervision of parolees .....	13	13	13
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
1160 Appropriation, discretionary (total) .....	13	13	13
1930 Total budgetary resources available .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 Obligations incurred, unexpired accounts .....	13	13	13
3020 Outlays (gross) .....	-12	-13	-13
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	11	11
4011 Outlays from discretionary balances .....	1	2	2
4020 Outlays, gross (total) .....	12	13	13
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	12	13	13

The United States Parole Commission is responsible for (1) making parole release and revocation decisions for all parole-eligible federal and District of Columbia Code offenders; (2) setting and enforcing the conditions of supervised release for District of Columbia Code offenders; (3) making release decisions for United States citizens convicted of a crime in another country who voluntarily return to the United States for service of sentence; (4) performing parole-related functions for certain military and state offenders; and (5) exercising decision-making authority over state offenders who are on the state probation or parole, and are transferred to federal authorities under the witness security program.

The Parole Commission works to reduce offender recidivism rates by implementing new revocation guidelines and establishing alternatives to incarceration for low-risk, non-violent offenders. In addition, the Commission seeks to improve the rehabilitation process by monitoring an effective offender supervision program through U.S. and District of Columbia probation officers and through research studies that evaluate the effectiveness of offender supervision programs. The Parole Commission has oversight responsibility for the supervision of District of Columbia parolees and supervised releases under the National Capital Revitalization and Self-Government Improvement Act (P.L. 105-33).

**Object Classification** (in millions of dollars)

Identification code 15-1061-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	6	6	6
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
23.2 Rental payments to others .....	1	2	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	1	2
99.9 Total new obligations .....	13	13	13

**Employment Summary**

Identification code 15-1061-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	74	74	74

**LEGAL ACTIVITIES AND U.S. MARSHALS**

**Federal Funds**

**SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES**

*For expenses necessary for the legal activities of the Department of Justice, not otherwise provided for, including not to exceed \$20,000 for expenses of collecting evidence, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General; and rent of private or Government-owned space in the District of Columbia, \$902,605,000, of which not to exceed \$10,000,000 for litigation support contracts shall remain available until expended: Provided, That of the total amount appropriated, not to exceed \$9,000 shall be available to INTERPOL Washington for official reception and representation expenses: Provided further, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for litigation activities of the Civil Division, the Attorney General may transfer such amounts to "Salaries and Expenses, General Legal Activities" from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the previous proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That of the amount appropriated, such sums as may be necessary shall be available to reimburse the Office of Personnel Management for salaries and expenses associated with the election monitoring program under section 8 of the Voting Rights Act of 1965 (42 U.S.C. 1973f): Provided further, That of the amounts provided under this heading for the election monitoring program, \$3,390,000 shall remain available until expended.*

*In addition, for reimbursement of expenses of the Department of Justice associated with processing cases under the National Childhood Vaccine Injury Act of 1986, not to exceed \$7,833,000, to be appropriated from the Vaccine Injury Compensation Trust Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0128-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Conduct of Supreme Court proceedings and review of appellate .....	11	11	11
0002 General tax matters .....	102	105	107
0003 Criminal matters .....	176	174	183
0004 Claims, customs, and general civil matters .....	283	285	297
0005 Land, natural resources, and Indian matters .....	109	110	113
0006 Legal opinions .....	7	7	7
0007 Civil rights matters .....	144	145	155
0008 INTERPOL Washington .....	29	30	30
0799 Total direct obligations .....	861	867	903
0880 Reimbursable program activity .....	385	426	464
0889 Reimbursable program activities, subtotal .....	385	426	464
0900 Total new obligations .....	1,246	1,293	1,367
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	4	5
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	11	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	864	869	903

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES—Continued  
Program and Financing—Continued

Identification code 15-0128-0-1-999	2012 actual	2013 CR	2014 est.
1120 Appropriations transferred ODR to DOJ General Administration [15-0129]	-1	-1	
1160 Appropriation, discretionary (total)	863	868	903
1700 Spending authority from offsetting collections, discretionary: Collected	153	426	467
1701 Change in uncollected payments, Federal sources	232		
1750 Spending auth from offsetting collections, disc (total)	385	426	467
1900 Budget authority (total)	1,248	1,294	1,370
1930 Total budgetary resources available	1,259	1,298	1,375
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-9		
1941 Unexpired unobligated balance, end of year	4	5	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	371	373	312
3010 Obligations incurred, unexpired accounts	1,246	1,293	1,367
3011 Obligations incurred, expired accounts	1		
3020 Outlays (gross)	-1,201	-1,354	-1,425
3041 Recoveries of prior year unpaid obligations, expired	-44		
3050 Unpaid obligations, end of year	373	312	254
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-302	-304	-304
3070 Change in uncollected pymts, Fed sources, unexpired	-232		
3071 Change in uncollected pymts, Fed sources, expired	230		
3090 Uncollected pymts, Fed sources, end of year	-304	-304	-304
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	69	69	8
3200 Obligated balance, end of year	69	8	-50
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	1,248	1,294	1,370
Outlays, gross:			
4010 Outlays from new discretionary authority	961	1,126	1,192
4011 Outlays from discretionary balances	240	228	233
4020 Outlays, gross (total)	1,201	1,354	1,425
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-348	-426	-467
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-232		
4052 Offsetting collections credited to expired accounts	195		
4060 Additional offsets against budget authority only (total)	-37		
4070 Budget authority, net (discretionary)	863	868	903
4080 Outlays, net (discretionary)	853	928	958
4180 Budget authority, net (total)	863	868	903
4190 Outlays, net (total)	853	928	958

The following legal activities of the Department are financed from this appropriation:

**Conduct Supreme Court proceedings and review appellate matters.**—Through this program, the Solicitor General supervises and processes all appellate matters and represents the Government before the U.S. Supreme Court.

**General tax matters.**—The mission of the Tax Division is to enforce the nation's tax laws fully, fairly, and consistently, through both criminal and civil litigation, in order to promote voluntary compliance with the tax laws, maintain public confidence in the integrity of the tax system, and promote the sound development of the law.

**Criminal matters.**—The Criminal Division develops, enforces, and supervises the application of all federal criminal laws, except those specifically assigned to other divisions. The mission of the Criminal Division is to identify and respond to critical and emerging national and international criminal threats, and to lead the enforcement, regulatory, and intelligence communities in a coordinated nationwide response to reduce those threats.

**Claims, customs, and general civil matters.**—The Civil Division represents the Federal Government in civil litigation to defend federal statutes, regulations, and policies, and to avoid payment of unjustified monetary claims. It also investigates and pursues perpetrators of financial, economic, health care, and other forms of fraud to recover billions of dollars owed to the Federal Government. Examples of non-monetary litigation include the defense of thousands of challenges to immigration enforcement decisions and to federal activities involving counterterrorism, as well as enforcement of consumer protection laws.

**Environment and natural resource matters.**—The Environment and Natural Resources Division enforces the Nation's civil and criminal environmental laws and defends environmental challenges to Government action. Additionally, the Division represents the United States in virtually all matters concerning the use and development of the Nation's natural resources and public lands, wildlife protection, Indian rights and claims, and the acquisition of Federal property.

**Legal opinions.**—This program is the preparation of legal opinions for the President and Executive agencies and the review of proposed Executive Orders and proclamations for form and legality.

**Civil rights matters.**—This program is the enforcement of the Nation's civil rights laws. It is the conscience of the federal government. Through the enforcement of a wide range of anti-discrimination laws, the Division gives meaning to our Nation's promise of equal opportunity. The Division works to uphold and defend the civil and constitutional rights of all individuals, particularly some of the most vulnerable members of our society. The Division enforces Federal statutes that prohibit discrimination and provide a remedy for constitutional violations.

**INTERPOL Washington.**—This program is the United States liaison, on behalf of the Attorney General, for international law enforcement cooperation. The mission of INTERPOL Washington is to provide the United States' local and federal law enforcement authorities a central point of communication to the international law enforcement community.

**Reimbursable program.**—This reflects reimbursable funding for the following:

**Civil Division.**—For litigating cases under the National Childhood Vaccine Injury Act, and for litigating a number of extraordinarily large cases on behalf of the United States;

**Criminal Division.**—For activities related to healthcare fraud and drug prosecutions, international training programs and asset forfeiture related activities;

**Environment and Natural Resources Division.**—From numerous client agencies for automated litigation support and litigation consultant services for a variety of environmental, natural resource, land acquisition, and Native American cases, including from the Environmental Protection Agency (EPA) for Superfund enforcement litigation; and,

**Civil Rights Division.**—For activities related to the Division's Complaint Adjudication Office and Health Care Fraud activities.

Object Classification (in millions of dollars)

Identification code 15-0128-0-1-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	412	414	438
11.3 Other than full-time permanent	29	30	28
11.5 Other personnel compensation	5	5	5
11.8 Special personal services payments	2	3	4
11.9 Total personnel compensation	448	452	475
12.1 Civilian personnel benefits	125	128	130
13.0 Benefits for former personnel	1		
21.0 Travel and transportation of persons	15	20	18

22.0	Transportation of things .....	6	5	4
23.1	Rental payments to GSA .....	105	97	106
23.2	Rental payments to others .....	3	3	3
23.3	Communications, utilities, and miscellaneous charges .....	13	14	14
24.0	Printing and reproduction .....	2	3	2
25.1	Advisory and assistance services .....	14	17	14
25.2	Other services from non-Federal sources .....	79	74	81
25.3	Other goods and services from Federal sources .....	23	23	26
25.7	Operation and maintenance of equipment .....	1	2	1
26.0	Supplies and materials .....	4	4	4
31.0	Equipment .....	11	8	7
32.0	Land and structures .....		2	3
41.0	Grants, subsidies, and contributions .....	10	15	15
42.0	Insurance claims and indemnities .....	1		
99.0	Direct obligations .....	861	867	903
99.0	Reimbursable obligations .....	385	426	464
99.9	Total new obligations .....	1,246	1,293	1,367

**Employment Summary**

Identification code 15-0128-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,862	3,718	3,817
2001 Reimbursable civilian full-time equivalent employment .....	520	550	550

**SALARIES AND EXPENSES, ANTITRUST DIVISION**

For expenses necessary for the enforcement of antitrust and kindred laws, \$160,410,000, to remain available until expended: Provided, That notwithstanding any other provision of law, fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection (and estimated to be \$102,300,000 in fiscal year 2014), shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year 2014, so as to result in a final fiscal year 2014 appropriation from the general fund estimated at \$58,110,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0319-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Antitrust .....	72	43	58
0801 Reimbursable program .....	93	108	102
0900 Total new obligations .....	165	151	160
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	20	20
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	20	20	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	72	43	58
1160 Appropriation, discretionary (total) .....	72	43	58
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	96	108	102
1701 Change in uncollected payments, Federal sources .....	-3		
1750 Spending auth from offsetting collections, disc (total) .....	93	108	102
1900 Budget authority (total) .....	165	151	160
1930 Total budgetary resources available .....	185	171	180
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	18	18
3010 Obligations incurred, unexpired accounts .....	165	151	160
3020 Outlays (gross) .....	-164	-151	-159

3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	18	18	19
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	17	17
3200 Obligated balance, end of year .....	17	17	18

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	165	151	160
Outlays, gross:			
4010 Outlays from new discretionary authority .....	148	136	144
4011 Outlays from discretionary balances .....	16	15	15
4020 Outlays, gross (total) .....	164	151	159
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-96	-108	-102
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	3		
4070 Budget authority, net (discretionary) .....	72	43	58
4080 Outlays, net (discretionary) .....	68	43	57
4180 Budget authority, net (total) .....	72	43	58
4190 Outlays, net (total) .....	68	43	57

The Antitrust Division administers and enforces antitrust and related statutes. This program primarily involves the investigation of suspected violations of the antitrust laws, the conduct of civil and criminal proceedings in the Federal courts, and the maintenance of competitive conditions.

The Federal Trade Commission and the Department of Justice Antitrust Division are responsible for reviewing corporate mergers to ensure they do not promote anticompetitive practices. Revenue collected from pre-merger filing fees, known as Hart-Scott-Rodino (HSR) fees, are collected by the FTC and split evenly between the two agencies. In 2014, the Antitrust Division will continue to collect filing fees for pre-merger notifications and will retain these fees for expenditure in support of its programs. The Budget proposes to increase the HSR fees and index them for the percentage annual change in the gross national product. The fee proposal would also create a new merger fee category for mergers valued at over \$1 billion. Under the proposal, the fee increase would take effect in 2015.

**Object Classification** (in millions of dollars)

Identification code 15-0319-0-1-752	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	57	35	47
12.1 Civilian personnel benefits .....	13	8	11
21.0 Travel and transportation of persons .....	1		
22.0 Transportation of things .....	1		
99.0 Direct obligations .....	72	43	58
99.0 Reimbursable obligations .....	93	108	102
99.9 Total new obligations .....	165	151	160

**Employment Summary**

Identification code 15-0319-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	407	392	392
2001 Reimbursable civilian full-time equivalent employment .....	298	284	284

**SALARIES AND EXPENSES, UNITED STATES ATTORNEYS**

For necessary expenses of the Offices of the United States Attorneys, including inter-governmental and cooperative agreements, \$2,007,717,000: Provided, That of the total amount appropriated, not to exceed \$7,200 shall be available for official reception and representation expenses:

**SALARIES AND EXPENSES, UNITED STATES ATTORNEYS—Continued**

Provided further, That not to exceed \$25,000,000 shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15–0322–0–1–752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Criminal .....	1,510	1,536	1,554
0003 Civil .....	402	405	420
0004 Legal Education .....	33	31	34
0799 Total direct obligations .....	1,945	1,972	2,008
0801 Reimbursable program activity .....	272	362	362
0900 Total new obligations .....	2,217	2,334	2,370
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	19	19
1012 Unobligated balance transfers between expired and unexpired accounts .....	4		
1021 Recoveries of prior year unpaid obligations .....	7		
1029 Other balances withdrawn .....	–2		
1050 Unobligated balance (total) .....	20	19	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,960	1,972	2,008
1121 Appropriations transferred from other accts [11–1070] .....	1		
1160 Appropriation, discretionary (total) .....	1,961	1,972	2,008
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	207		
1701 Change in uncollected payments, Federal sources .....	70	362	362
1750 Spending auth from offsetting collections, disc (total) .....	277	362	362
1900 Budget authority (total) .....	2,238	2,334	2,370
1930 Total budgetary resources available .....	2,258	2,353	2,389
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–22		
1941 Unexpired unobligated balance, end of year .....	19	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	381	410	374
3010 Obligations incurred, unexpired accounts .....	2,217	2,334	2,370
3020 Outlays (gross) .....	–2,167	–2,370	–2,404
3040 Recoveries of prior year unpaid obligations, unexpired .....	–7		
3041 Recoveries of prior year unpaid obligations, expired .....	–14		
3050 Unpaid obligations, end of year .....	410	374	340
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–80	–98	–460
3070 Change in uncollected pymts, Fed sources, unexpired .....	–70	–362	–362
3071 Change in uncollected pymts, Fed sources, expired .....	52		
3090 Uncollected pymts, Fed sources, end of year .....	–98	–460	–822
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	301	312	–86
3200 Obligated balance, end of year .....	312	–86	–482
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,238	2,334	2,370
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,864	2,078	2,110
4011 Outlays from discretionary balances .....	303	292	294
4020 Outlays, gross (total) .....	2,167	2,370	2,404
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–260	–362	–362
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–70	–362	–362
4052 Offsetting collections credited to expired accounts .....	53	362	362
4060 Additional offsets against budget authority only (total) .....	–17		
4070 Budget authority, net (discretionary) .....	1,961	1,972	2,008
4080 Outlays, net (discretionary) .....	1,907	2,008	2,042
4180 Budget authority, net (total) .....	1,961	1,972	2,008

4190 Outlays, net (total) .....	1,907	2,008	2,042
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There are 94 United States Attorneys' Offices located throughout the United States, Puerto Rico, the Virgin Islands, Guam, and the Northern Mariana Islands. The 93 U.S. Attorneys (Guam and the Northern Mariana Islands are under the direction of a single U.S. Attorney) prosecute criminal offenses against the United States, represent the Government in civil actions in which the United States is concerned, and initiate proceedings for the collection of fines, penalties, and forfeitures owed to the United States. For 2014, the U.S. Attorneys will continue to investigate and prosecute the diverse workload of criminal cases brought by the Federal Government and will continue to initiate civil actions to assert and protect the interests of the United States.

**Object Classification** (in millions of dollars)

Identification code 15–0322–0–1–752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	921	935	945
11.3 Other than full-time permanent .....	43	43	43
11.5 Other personnel compensation .....	14	13	14
11.8 Special personal services payments .....	5	5	5
11.9 Total personnel compensation .....	983	996	1,007
12.1 Civilian personnel benefits .....	288	305	314
21.0 Travel and transportation of persons .....	31	31	31
22.0 Transportation of things .....	5	5	5
23.1 Rental payments to GSA .....	244	251	261
23.2 Rental payments to others .....	4	4	10
23.3 Communications, utilities, and miscellaneous charges .....	35	37	34
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	54	55	56
25.2 Other services from non-Federal sources .....	150	160	164
25.3 Purchases from Govt Accts .....	39	38	39
25.4 Operation and maintenance of facilities .....	6	6	4
25.6 Medical care .....	1	1	1
25.7 Operation and maintenance of equipment .....	8	8	5
26.0 Supplies and materials .....	16	17	17
31.0 Equipment .....	58	51	53
32.0 Land and structures .....	21	5	5
99.0 Direct obligations .....	1,945	1,972	2,008
99.0 Reimbursable obligations .....	272	362	362
99.9 Total new obligations .....	2,217	2,334	2,370

**Employment Summary**

Identification code 15–0322–0–1–752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	9,702	9,717	9,807
2001 Reimbursable civilian full-time equivalent employment .....	1,554	1,639	1,676

**SALARIES AND EXPENSES, FOREIGN CLAIMS SETTLEMENT COMMISSION**

For expenses necessary to carry out the activities of the Foreign Claims Settlement Commission, including services as authorized by section 3109 of title 5, United States Code, \$2,218,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15–0100–0–1–153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Foreign Claims .....	2	2	2
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2

1160	Appropriation, discretionary (total)	2	2	2
1930	Total budgetary resources available	2	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts	2	2	2
3020	Outlays (gross)	-2	-2	-2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	2	2	2
Outlays, gross:				
4010	Outlays from new discretionary authority	2	2	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	2	2	2

The Foreign Claims Settlement Commission adjudicates the claims of American nationals (individuals and corporations) arising out of the nationalization, expropriation or other taking of their property, or injury, caused by foreign governments and which are paid out of foreign government funds, pursuant to the International Claims Settlement Act of 1949 and other statutes. In 2014, the Commission will continue to administer the Iraq Claims Program in accordance with the November 14, 2012 referral by the Department of the State and the Libya Claims Program in accordance with the U.S.-Libya Claims Settlement Agreement of August 14, 2008, and the Libyan Claims Resolution Act (LCRA), passed by Congress and signed into law on August 4, 2008.

**Object Classification** (in millions of dollars)

Identification code 15-0100-0-1-153	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
12.1 Civilian personnel benefits	1	1	1
99.9 Total new obligations	2	2	2

**Employment Summary**

Identification code 15-0100-0-1-153	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	9	9	9

**UNITED STATES MARSHALS SERVICE**

**SALARIES AND EXPENSES**

For necessary expenses of the United States Marshals Service, \$1,204,033,000, of which not to exceed \$6,000 shall be available for official reception and representation expenses, and not to exceed \$15,000,000 shall remain available until expended.

(CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$12,200,000 are hereby permanently cancelled. Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0324-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Judicial and Courthouse Security	458	457	462
0003 Fugitive Apprehension	401	400	399
0004 Prisoner Security and Transportation	245	249	252

0005	Protection of Witnesses	34	35	35
0006	Tactical Operations	40	38	44
0799	Total direct obligations	1,178	1,179	1,192
0801	Reimbursable program activity	1,498	1,662	70
0900	Total new obligations	2,676	2,841	1,262
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	14	8	37
1010	Unobligated balance transfer to other accts [15-0132]	-2	-2	
1012	Unobligated balance transfers between expired and unexpired accounts	9	6	
1021	Recoveries of prior year unpaid obligations	18	25	
1050	Unobligated balance (total)	39	37	37
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,174	1,181	1,204
1121	Appropriations transferred from other accts [11-1070]	1		
1131	Unobligated balance of appropriations permanently reduced	-2	-2	-12
1160	Appropriation, discretionary (total)	1,173	1,179	1,192
Spending authority from offsetting collections, discretionary:				
1700	Collected	1,479	1,662	70
1701	Change in uncollected payments, Federal sources	-1		
1750	Spending auth from offsetting collections, disc (total)	1,478	1,662	70
1900	Budget authority (total)	2,651	2,841	1,262
1930	Total budgetary resources available	2,690	2,878	1,299
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-6		
1941	Unexpired unobligated balance, end of year	8	37	37

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	470	378	430
3010	Obligations incurred, unexpired accounts	2,676	2,841	1,262
3011	Obligations incurred, expired accounts	15		
3020	Outlays (gross)	-2,742	-2,764	-1,539
3040	Recoveries of prior year unpaid obligations, unexpired	-18	-25	
3041	Recoveries of prior year unpaid obligations, expired	-23		
3050	Unpaid obligations, end of year	378	430	153
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-180	-151	-151
3070	Change in uncollected pymts, Fed sources, unexpired	1		
3071	Change in uncollected pymts, Fed sources, expired	28		
3090	Uncollected pymts, Fed sources, end of year	-151	-151	-151
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	290	227	279
3200	Obligated balance, end of year	227	279	2

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross	2,651	2,841	1,262
Outlays, gross:				
4010	Outlays from new discretionary authority	2,314	2,557	1,135
4011	Outlays from discretionary balances	428	207	404
4020	Outlays, gross (total)	2,742	2,764	1,539
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1,510	-1,662	-70
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	1		
4052	Offsetting collections credited to expired accounts	31		
4060	Additional offsets against budget authority only (total)	32		
4070	Budget authority, net (discretionary)	1,173	1,179	1,192
4080	Outlays, net (discretionary)	1,232	1,102	1,469
4180	Budget authority, net (total)	1,173	1,179	1,192
4190	Outlays, net (total)	1,232	1,102	1,469

The Federal Government is represented in each of the 94 judicial districts and the District of Columbia Superior Court by a United States Marshal. The primary missions of the United States Marshals Service (USMS) are to protect the Federal judiciary, apprehend fugitives and non-compliant sex offenders, protect witnesses, execute warrants and court orders, manage seized assets acquired through illegal means, and transport Federal prisoners from arrest to incarceration. The USMS is the principal

UNITED STATES MARSHALS SERVICE—Continued

support force in the Federal judicial system and an integral part of the Federal law enforcement community.

**Reimbursable program.**—Federal funds are derived from the Administrative Office of the U.S. Courts for the court security program, the Assets Forfeiture Fund for seized assets management, the Organized Crime Drug Enforcement Task Force Program for multi-agency drug investigations, and the Office of National Drug Control Policy and the Centers for Disease Control for security services. Non-Federal funds are derived from state and local governments for witness protection and the transportation of prisoners pursuant to state writs, as well as fees collected from service of civil process and sales associated with judicial orders.

**Object Classification** (in millions of dollars)

Identification code 15-0324-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	407	421	424
11.3 Other than full-time permanent .....	13	13	13
11.5 Other personnel compensation .....	82	95	96
11.8 Special personal services payments .....	7	7	7
11.9 Total personnel compensation .....	509	536	540
12.1 Civilian personnel benefits .....	215	222	227
21.0 Travel and transportation of persons .....	29	24	22
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	185	199	210
23.2 Rental payments to others .....	10	7	10
23.3 Communications, utilities, and miscellaneous charges .....	24	23	21
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	93	73	66
25.3 Other goods and services from Federal sources .....	20	18	18
25.4 Operation and maintenance of facilities .....	7	9	9
25.6 Medical care .....	2	2	2
25.7 Operation and maintenance of equipment .....	17	17	17
25.8 Subsistence and support of persons .....	6	.....	.....
26.0 Supplies and materials .....	27	17	17
31.0 Equipment .....	28	28	29
32.0 Land and structures .....	2	1	1
99.0 Direct obligations .....	1,178	1,179	1,192
99.0 Reimbursable obligations .....	1,498	1,662	70
99.9 Total new obligations .....	2,676	2,841	1,262

**Employment Summary**

Identification code 15-0324-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5,181	5,090	5,090
2001 Reimbursable civilian full-time equivalent employment .....	390	418	426

**CONSTRUCTION**

*For construction in space controlled, occupied or utilized by the United States Marshals Service for prisoner holding and related support, \$10,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0133-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Construction .....	17	15	10
0900 Total new obligations (object class 25.2) .....	17	15	10

**Budgetary Resources:**

	2012 actual	2013 CR	2014 est.
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	3	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	15	15	10
1160 Appropriation, discretionary (total) .....	15	15	10
1930 Total budgetary resources available .....	18	16	11
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

	2012 actual	2013 CR	2014 est.
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	38	35	29
3010 Obligations incurred, unexpired accounts .....	17	15	10
3020 Outlays (gross) .....	-19	-21	-23
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	35	29	16
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	38	35	29
3200 Obligated balance, end of year .....	35	29	16

**Budget authority and outlays, net:**

	2012 actual	2013 CR	2014 est.
<b>Discretionary:</b>			
4000 Budget authority, gross .....	15	15	10
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3	1	1
4011 Outlays from discretionary balances .....	16	20	22
4020 Outlays, gross (total) .....	19	21	23
4180 Budget authority, net (total) .....	15	15	10
4190 Outlays, net (total) .....	19	21	23

The construction appropriation provides resources to modify spaces controlled, occupied and/or utilized by the United States Marshals Service for prisoner holding and related support.

**FEDERAL PRISONER DETENTION**

*For necessary expenses related to United States prisoners in the custody of the United States Marshals Service as authorized by 18 U.S.C. 4013, \$1,635,538,000, to remain available until expended: Provided, That not to exceed \$20,000,000 shall be considered "funds appropriated for State and local law enforcement assistance" pursuant to 18 U.S.C. 4013(b): Provided further, That the United States Marshals Service shall be responsible for managing the Justice Prisoner and Alien Transportation System: Provided further, That the unobligated balances remaining under the heading "General Administration, Detention Trustee" after the cancellation of funds therein shall be transferred to and merged with this account.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-1020-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Federal Prisoner Detention .....	.....	.....	1,636
0100 Direct program activities, subtotal .....	.....	.....	1,636
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	.....	.....	1,636
1160 Appropriation, discretionary (total) .....	.....	.....	1,636
1930 Total budgetary resources available .....	.....	.....	1,636
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	.....	1,636

3020	Outlays (gross) .....	-1,391
3050	Unpaid obligations, end of year .....	245
	Memorandum (non-add) entries:	
3200	Obligated balance, end of year .....	245
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	1,636
	Outlays, gross:	
4010	Outlays from new discretionary authority .....	1,391
4180	Budget authority, net (total) .....	1,636
4190	Outlays, net (total) .....	1,391

The Federal Prisoner Detention (FPD) account is responsible for the costs associated with the care of Federal detainees in the custody of the United States Marshals Service (USMS). The USMS must ensure the safe, secure, and humane confinement of persons in its custody while allowing unimpeded prisoner transportation operations. The FPD account provides for the care of Federal detainees in private, state, and local facilities, which includes housing, subsistence, transportation, medical care, and medical guard service.

For 2014, FPD requests funding for housing, medical, and transportation costs associated with the projected USMS detention population. The Federal Government utilizes various methods to house detainees. Detention bed space for Federal detainees is acquired to maximize efficiency and effectiveness for the Government through: (1) Federally-owned and managed detention facilities, where the Government has paid for construction and operation of the facility (funded in the Federal Bureau of Prisons' (BOP) account); (2) Intergovernmental Agreements (IGAs) with state and local jurisdictions, whose excess prison and jail bed capacity is utilized and paid via a daily rate; and (3) Private performance-based contract facilities, where a daily rate is paid. Based on anticipated growth in the USMS detention population, over three-quarters of the USMS's Federally detained population will likely be housed in state, local, and private facilities.

The USMS continues to look for efficiencies and cost reductions and avoidance in detention through process and infrastructure improvements. The costs associated with these efforts will be funded from the FPD account. Proven successes in the detention infrastructure include implementation of eDesignate, which was developed to provide a more efficient workflow between the U.S. Courts, the USMS, and the BOP; establishment of Regional Transfer Centers and Ground Transfer Centers to accelerate the movement of prisoners to a designated BOP facility; and increased use of detention alternatives by providing funding to the Federal Judiciary to support alternatives to pretrial detention, such as electronic monitoring, halfway house placement, and drug testing and treatment. USMS will continue to identify issues and develop solutions to drive further efficiencies.

**Object Classification** (in millions of dollars)

Identification code 15-1020-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1	Personnel compensation: Full-time permanent .....		3
12.1	Civilian personnel benefits .....		1
25.1	Advisory and assistance services .....		18
25.2	Other services from non-Federal sources .....		81
25.6	Medical care .....		90
25.8	Subsistence and support of persons .....		1,443
99.9	Total new obligations .....		1,636

**Employment Summary**

Identification code 15-1020-0-1-752	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....		19

**FEES AND EXPENSES OF WITNESSES**

*For fees and expenses of witnesses, for expenses of contracts for the procurement and supervision of expert witnesses, for private counsel expenses, including advances, and for expenses of foreign counsel, \$270,000,000, to remain available until expended, of which not to exceed \$16,000,000 is for construction of buildings for protected witness safesites; not to exceed \$3,000,000 is for the purchase and maintenance of armored and other vehicles for witness security caravans; and not to exceed \$11,000,000 is for the purchase, installation, maintenance, and upgrade of secure telecommunications equipment and a secure automated information network to store and retrieve the identities and locations of protected witnesses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0311-0-1-752	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Fees and expenses of witnesses .....	178	216	216
0002	Protection of witnesses .....	38	40	40
0003	Private counsel .....	3	8	8
0004	Foreign counsel .....	4	4	4
0005	Alternative Dispute Resolution .....	1	2	2
0900	Total new obligations .....	224	270	270
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	92	177	177
1021	Recoveries of prior year unpaid obligations .....	39		
1050	Unobligated balance (total) .....	131	177	177
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	270	270	270
1260	Appropriations, mandatory (total) .....	270	270	270
1930	Total budgetary resources available .....	401	447	447
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	177	177	177
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	159	168	115
3010	Obligations incurred, unexpired accounts .....	224	270	270
3020	Outlays (gross) .....	-176	-323	-323
3040	Recoveries of prior year unpaid obligations, unexpired .....	-39		
3050	Unpaid obligations, end of year .....	168	115	62
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	159	168	115
3200	Obligated balance, end of year .....	168	115	62
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	270	270	270
Outlays, gross:				
4100	Outlays from new mandatory authority .....	101	189	189
4101	Outlays from mandatory balances .....	75	134	134
4110	Outlays, gross (total) .....	176	323	323
4180	Budget authority, net (total) .....	270	270	270
4190	Outlays, net (total) .....	176	323	323

This appropriation is used to pay fees and expenses of witnesses who appear on behalf of the Government in litigation in which the United States is a party. The United States Attorneys, the United States Marshals Service, and the Department's six litigating divisions are served by this appropriation.

FEES AND EXPENSES OF WITNESSES—Continued

*Fees and expenses of witnesses.*—Pays the fees and expenses associated with the presentation of testimony on behalf of the United States for fact witnesses, who testify as to events or facts about which they have personal knowledge, and for expert witnesses, who provide technical or scientific testimony. This program also pays the fees of physicians and psychiatrists who examine accused persons upon order of the court to determine their mental competency.

*Protection of witnesses.*—Pays subsistence and other costs to ensure the safety of Government witnesses whose testimony on behalf of the United States places them or their families in jeopardy.

*Victim compensation fund.*—Pays restitution to any victim of a crime committed by a protected witness who causes or threatens death or serious bodily injury.

*Private counsel.*—Pays private counsel retained to represent Government employees who are sued, charged, or subpoenaed for actions taken while performing their official duties (private counsel expenditures may be authorized for congressional testimony as well as for litigation in instances where Government counsel is precluded from representing the employee or private counsel is otherwise appropriate).

*Foreign Counsel.*—Allows the Civil Division, which is authorized to oversee litigation in foreign courts, to pay legal expenses of foreign counsel, retained and supervised by the Department of Justice, who represent the United States in cases filed in foreign courts.

*Alternative Dispute Resolution.*—Pays the costs of providing Alternative Dispute Resolution (ADR) services in instances wherein the Department has taken the initiative to use such services and wherein the courts have directed the parties to attempt a settlement using mediation or some other ADR process.

Object Classification (in millions of dollars)

Identification code 15-0311-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.8 Fees and expenses of witnesses .....	173	216	216
11.8 Fees, protection of witnesses .....	39	42	42
11.9 Total personnel compensation .....	212	258	258
21.0 Per diem in lieu of subsistence .....	4	4	4
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	1	1	1
25.8 Subsistence and support of persons .....	1	1	1
99.9 Total new obligations .....	224	270	270

SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE

*For necessary expenses of the Community Relations Service, \$12,464,000: Provided, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for conflict resolution and violence prevention activities of the Community Relations Service, the Attorney General may transfer such amounts to the Community Relations Service, from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15-0500-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Community Relations Service .....	11	11	12
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	11	11	12
1160 Appropriation, discretionary (total) .....	11	11	12
1930 Total budgetary resources available .....	11	11	12
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	4
3010 Obligations incurred, unexpired accounts .....	11	11	12
3020 Outlays (gross) .....	-11	-9	-11
3050 Unpaid obligations, end of year .....	2	4	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	2	4
3200 Obligated balance, end of year .....	2	4	5
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	11	11	12
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	9	9	10
4011 Outlays from discretionary balances .....	2	.....	1
4020 Outlays, gross (total) .....	11	9	11
4180 Budget authority, net (total) .....	11	11	12
4190 Outlays, net (total) .....	11	9	11

The Community Relations Service (CRS) is an agency of the Department of Justice that provides assistance to state and local communities in the prevention and resolution of tension, violence, and civil disorders relating to actual or perceived discrimination on the basis of race, color, or national origin. The Service also works with communities to employ strategies to prevent and respond to alleged violent hate crimes committed on the basis of actual or perceived race, color, national origin, gender, gender identity, sexual orientation, religion or disability. CRS helps local leaders, including community members, law enforcement, and government officials, and affected parties work together on a voluntary basis to develop locally defined and locally implemented solutions. As a result, CRS builds community capacity to manage conflicts and create permanent mechanisms so communities can independently resolve future conflicts. By empowering communities to prevent hate violence and address tension associated with alleged discrimination, CRS helps law enforcement, community leaders and city officials avoid costly litigation, preserve scarce resources, protect public safety, and ultimately enhance community stability. In 2014, the Service will fulfill its historical mandate pursuant to Title X of the Civil Rights Act of 1964 as well as its mandate pursuant to the Shepard and Byrd, Jr. Hate Crimes Prevention Act.

Object Classification (in millions of dollars)

Identification code 15-0500-0-1-752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	5	6
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	3
99.9 Total new obligations .....	11	11	12

**Employment Summary**

Identification code 15-0500-0-1-752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	45	44	48

**INDEPENDENT COUNSEL**

A permanent appropriation is available to fund independent and special counsel activities (28 U.S.C. 591 note).

**SEPTEMBER 11TH VICTIM COMPENSATION (GENERAL FUND)**

**Program and Financing** (in millions of dollars)

Identification code 15-0340-0-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Victim Compensation .....		290	184
0002 Management and Administration .....	9	32	16
0900 Total new obligations (object class 42.0) .....	9	322	200
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		191	191
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	200	322	200
1260 Appropriations, mandatory (total) .....	200	322	200
1930 Total budgetary resources available .....	200	513	391
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	191	191	191
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		3	
3010 Obligations incurred, unexpired accounts .....	9	322	200
3020 Outlays (gross) .....	-6	-325	-175
3050 Unpaid obligations, end of year .....	3		25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		3	
3200 Obligated balance, end of year .....	3		25
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	200	322	200
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6	322	150
4101 Outlays from mandatory balances .....		3	25
4110 Outlays, gross (total) .....	6	325	175
4180 Budget authority, net (total) .....	200	322	200
4190 Outlays, net (total) .....	6	325	175

Title II of the James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111-347) reopens the September 11 Victim Compensation Fund (VCF), extending eligibility for compensation associated with physical harm stemming from debris removal and extending the deadline for claims associated with harms not discovered before the Fund's original deadline. The VCF was established by the Air Transportation Safety and System Stabilization Act (P.L. 107-42) as an alternative to litigation, and had terminated operations in 2004 after making compensation payments of more than \$7 billion to survivors of 2,880 persons killed in the September 11, 2001 terrorist attacks and to 2,680 individuals who were injured in the attacks and subsequent rescue efforts. The James Zadroga 9/11 Health and Compensation Act of 2010 makes available up to \$2.775 billion for settlement of new claims through the VCF.

**UNITED STATES TRUSTEE SYSTEM FUND**

*For necessary expenses of the United States Trustee Program, as authorized, \$225,728,000, to remain available until expended and to be derived from the United States Trustee System Fund: Provided, That, notwithstanding any other provision of law, deposits to the Fund shall be available in such amounts as may be necessary to pay refunds due depositors: Provided further, That, notwithstanding any other provision of law, \$225,728,000 of offsetting collections pursuant to 28 U.S.C. 589a(b) shall be retained and used for necessary expenses in this appropriation and shall remain available until expended: Provided further, That the sum herein appropriated from the Fund shall be reduced as such offsetting collections are received during fiscal year 2014, so as to result in a final fiscal year 2014 appropriation from the Fund estimated at \$0.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 15-5073-0-2-752	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	206	217	210
Receipts:			
0240 Earnings on Investments, U.S. Trustees System .....		1	1
0260 Fees for Bankruptcy Oversight, U.S. Trustees System .....	234	217	260
0299 Total receipts and collections .....	234	218	261
0400 Total: Balances and collections .....	440	435	471
Appropriations:			
0500 United States Trustee System Fund .....	-223	-225	-226
0799 Balance, end of year .....	217	210	245

**Program and Financing** (in millions of dollars)

Identification code 15-5073-0-2-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 U.S. Trustee Program .....	226	225	226
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	223	225	226
1160 Appropriation, discretionary (total) .....	223	225	226
1930 Total budgetary resources available .....	226	225	226
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	24	26
3010 Obligations incurred, unexpired accounts .....	226	225	226
3020 Outlays (gross) .....	-223	-223	-225
3050 Unpaid obligations, end of year .....	24	26	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	24	26
3200 Obligated balance, end of year .....	24	26	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	223	225	226
Outlays, gross:			
4010 Outlays from new discretionary authority .....	204	203	203
4011 Outlays from discretionary balances .....	19	20	22
4020 Outlays, gross (total) .....	223	223	225
4180 Budget authority, net (total) .....	223	225	226
4190 Outlays, net (total) .....	223	223	225
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	216	224	249
5001 Total investments, EOY: Federal securities: Par value .....	224	249	274

*United States Trustee System Fund.*—The United States trustees supervise the administration of bankruptcy cases and private

UNITED STATES TRUSTEE SYSTEM FUND—Continued

trustees in the Federal Bankruptcy Courts and litigate against fraud and abuse in the system by debtors, creditors, attorneys, bankruptcy petition preparers, and others. The Bankruptcy Judges, U.S. Trustees and Family Farmer Bankruptcy Act of 1986 (Public Law 99–554) expanded the pilot trustee program to a twenty-one region, nationwide program encompassing 88 judicial districts. (Bankruptcy cases filed in Alabama and North Carolina are administered by the Administrative Office of the U.S. Courts.) The Bankruptcy Abuse Prevention and Consumer Protection Act of 2005 (Public Law 109–8) expanded United States trustees' existing responsibilities to include means testing, credit counseling/debtor education, and debtor audits. User fees that are assessed offset the annual appropriation.

Object Classification (in millions of dollars)

Identification code 15–5073–0–2–752	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	123	123	125
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	125	125	127
12.1 Civilian personnel benefits .....	37	38	39
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	27	27	26
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.1 Advisory and assistance services .....	3	3	3
25.2 Other services from non-Federal sources .....	2	4	3
25.3 Other goods and services from Federal sources .....	15	16	16
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	3	2
32.0 Land and structures .....	4	.....	1
99.9 Total new obligations .....	226	225	226

Employment Summary

Identification code 15–5073–0–2–752	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,216	1,202	1,202

ASSETS FORFEITURE FUND  
(INCLUDING CANCELLATION)

For expenses authorized by 28 U.S.C. 524(c)(1)(B), (F), and (G), \$20,948,000, to be derived from the Department of Justice Assets Forfeiture Fund.

Of the unobligated balances available under this heading, \$675,000,000 are hereby permanently cancelled.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 15–5042–0–2–752	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	495	675	696
Receipts:			
0200 Forfeited Cash and Proceeds from the Sale of Forfeited Property, Assets Forfeiture Fund .....	4,209	1,520	1,520
0240 Interest and Profit on Investment, Department of Justice Assets Forfeiture Fund .....	3	9	16
0299 Total receipts and collections .....	4,212	1,529	1,536
0400 Total: Balances and collections .....	4,707	2,204	2,232
Appropriations:			
0500 Assets Forfeiture Fund .....	–21	–21	–21
0501 Assets Forfeiture Fund .....	.....	675	.....
0502 Assets Forfeiture Fund .....	–4,686	–1,487	–1,488

0503 Assets Forfeiture Fund .....	.....	–675	–675
0504 Assets Forfeiture Fund .....	675	.....	.....
0599 Total appropriations .....	–4,032	–1,508	–2,184
0799 Balance, end of year .....	675	696	48

Program and Financing (in millions of dollars)

Identification code 15–5042–0–2–752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	4,488	1,601	1,566
0801 Reimbursable program .....	14	12	12
0900 Total new obligations .....	4,502	1,613	1,578

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,024	657	634
1021 Recoveries of prior year unpaid obligations .....	86	70	70
1050 Unobligated balance (total) .....	1,110	727	704
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	21	21	21
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	–675
1134 Appropriations precluded from obligation .....	.....	–675	.....
1160 Appropriation, discretionary (total) .....	21	–654	–654
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4,686	1,487	1,488
1201 Return of Super Surplus .....	.....	675	675
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–675	.....	.....
1260 Appropriations, mandatory (total) .....	4,011	2,162	2,163
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13	12	11
1801 Change in uncollected payments, Federal sources .....	4	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	17	12	11
1900 Budget authority (total) .....	4,049	1,520	1,520
1930 Total budgetary resources available .....	5,159	2,247	2,224
Memorandum (non-add) entries:			
1941 Unobligated balance carried forward, end of year, other .....	657	634	646

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,119	2,848	375
3010 Obligations incurred, unexpired accounts .....	4,502	1,613	1,578
3020 Outlays (gross) .....	–2,687	–4,016	–1,221
3040 Recoveries of prior year unpaid obligations, unexpired .....	–86	–70	–70
3050 Unpaid obligations, end of year .....	2,848	375	662
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–5	–9	–9
3070 Change in uncollected pymts, Fed sources, unexpired .....	–4	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–9	–9	–9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,114	2,839	366
3200 Obligated balance, end of year .....	2,839	366	653

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	21	–654	–654
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	–667	–667
4011 Outlays from discretionary balances .....	7	12	12
4020 Outlays, gross (total) .....	18	–655	–655
Mandatory:			
4090 Budget authority, gross .....	4,028	2,174	2,174
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,778	1,313	1,314
4101 Outlays from mandatory balances .....	891	3,358	562
4110 Outlays, gross (total) .....	2,669	4,671	1,876
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	–13	–12	–11
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	–4	.....	.....
4160 Budget authority, net (mandatory) .....	4,011	2,162	2,163
4170 Outlays, net (mandatory) .....	2,656	4,659	1,865
4180 Budget authority, net (total) .....	4,032	1,508	1,509

4190	Outlays, net (total) .....	2,674	4,004	1,210
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	2,405	4,093	1,631
5001	Total investments, EOY: Federal securities: Par value .....	4,093	1,631	2,290

The Comprehensive Crime Control Act of 1984 established the Assets Forfeiture Fund, into which forfeited cash and the proceeds of sales of forfeited property are deposited. Authorities of the fund have been amended by various public laws enacted since 1984. Under current law, authority to use the fund for certain investigative expenses shall be specified in annual appropriation acts. Expenses necessary to seize, detain, inventory, safeguard, maintain, advertise or sell property under seizure are funded through a permanent, indefinite appropriation. In addition, beginning in 1993, other general expenses of managing and operating the assets forfeiture program are paid from the permanent, indefinite portion of the fund. Once all expenses are covered, the balance is maintained to meet ongoing expenses of the program. Excess unobligated balances may also be allocated by the Attorney General in accordance with 28 U.S.C. 524(c)(8)(E).

**Object Classification** (in millions of dollars)

Identification code 15-5042-0-2-752	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	8	8	10
11.3	Other than full-time permanent .....	1	1	2
11.9	Total personnel compensation .....	9	9	12
12.1	Civilian personnel benefits .....	2	3	4
21.0	Travel and transportation of persons .....	5	6	14
22.0	Transportation of things .....	3	3	4
23.1	Rental payments to GSA .....	17	17	21
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	6	6	10
24.0	Printing and reproduction .....	3	3	4
25.1	Advisory and assistance services .....	66	69	98
25.2	Other services from non-Federal sources .....	4,162	1,418	1,286
25.3	Other goods and services from Federal sources .....	39	41	70
25.4	Operation and maintenance of facilities .....	5	6	10
25.7	Operation and maintenance of equipment .....	14	14	18
26.0	Supplies and materials .....	4	4	6
31.0	Equipment .....	1	1	8
32.0	Land and structures .....	151		
99.0	Direct obligations .....	4,488	1,601	1,566
99.0	Reimbursable obligations .....	14	12	12
99.9	Total new obligations .....	4,502	1,613	1,578

**Employment Summary**

Identification code 15-5042-0-2-752	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	20	22	22

**JUSTICE PRISONER AND ALIEN TRANSPORTATION SYSTEM FUND, U.S. MARSHALS**

**Program and Financing** (in millions of dollars)

Identification code 15-4575-0-4-752	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Reimbursable program activity .....	52	86	59
<b>Budgetary Resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	34	42	7
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	36	42	7
<b>Budget authority:</b>				
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	58	51	59

1750	Spending auth from offsetting collections, disc (total) .....	58	51	59
1930	Total budgetary resources available .....	94	93	66
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	42	7	7

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	9	4	37
3010	Obligations incurred, unexpired accounts .....	52	86	59
3020	Outlays (gross) .....	-55	-53	-58
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	4	37	38
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-9	-9
3090	Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....		-5	28
3200	Obligated balance, end of year .....	-5	28	29

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	58	51	59
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	48	46	53
4011	Outlays from discretionary balances .....	7	7	5
4020	Outlays, gross (total) .....	55	53	58
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-58	-51	-59
4190	Outlays, net (total) .....	-3	2	-1

The Justice Prisoner and Alien Transportation System (JPATS) is responsible for transporting by air all Federal prisoners and detainees, including sentenced and pretrial, in the custody of the United States Marshals Service or the Bureau of Prisons. JPATS also transports prisoners in the custody of the Department of Defense, Department of Homeland Security, and state and local law enforcement. JPATS transports prisoners and detainees on a full cost recovery reimbursable basis with participating executive departments and agencies without sacrificing the safety of the public, Federal employees, or those in custody. Additionally, any proceeds from the disposal of aircraft will be deposited into the Fund.

**Object Classification** (in millions of dollars)

Identification code 15-4575-0-4-752	2012 actual	2013 CR	2014 est.	
<b>Reimbursable obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	9	9	9
11.5	Other personnel compensation .....	1	3	1
11.8	Special personal services payments .....	2		2
11.9	Total personnel compensation .....	12	12	12
12.1	Civilian personnel benefits .....	3	3	3
21.0	Travel and transportation of persons .....	1	1	1
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....		1	1
25.1	Advisory and assistance services .....			1
25.2	Other services from non-Federal sources .....	3	40	11
25.3	Other goods and services from Federal sources .....	2		
25.7	Operation and maintenance of equipment .....	15	13	13
26.0	Supplies and materials .....	15	15	16
99.9	Total new obligations .....	52	86	59

**Employment Summary**

Identification code 15-4575-0-4-752	2012 actual	2013 CR	2014 est.	
2001	Reimbursable civilian full-time equivalent employment .....	92	95	95

**NATIONAL SECURITY DIVISION**

**Federal Funds**

**SALARIES AND EXPENSES**

For expenses necessary to carry out the activities of the National Security Division, \$96,240,000, of which not to exceed \$5,000,000 for information technology systems shall remain available until expended: Provided, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for the activities of the National Security Division, the Attorney General may transfer such amounts to this heading from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-1300-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Security Division	85	88	96
0801 Reimbursable program activity	3		
0900 Total new obligations	88	88	96
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	7	7
1012 Unobligated balance transfers between expired and unexpired accounts	3		
1050 Unobligated balance (total)	11	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	87	88	96
1160 Appropriation, discretionary (total)	87	88	96
Spending authority from offsetting collections, discretionary:			
1700 Collected	2		2
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	3		2
1900 Budget authority (total)	90	88	98
1930 Total budgetary resources available	101	95	105
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6		
1941 Unexpired unobligated balance, end of year	7	7	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	22	12
3010 Obligations incurred, unexpired accounts	88	88	96
3020 Outlays (gross)	-85	-98	-97
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	22	12	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	20	10
3200 Obligated balance, end of year	20	10	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	90	88	98
Outlays, gross:			
4010 Outlays from new discretionary authority	68	78	87
4011 Outlays from discretionary balances	17	20	10
4020 Outlays, gross (total)	85	98	97
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2		-2

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-1	
4070	Budget authority, net (discretionary)	87	88
4080	Outlays, net (discretionary)	83	98
4180	Budget authority, net (total)	87	88
4190	Outlays, net (total)	83	98

The National Security Division (NSD) strengthens the Department's core national security functions by providing strategic national security policy coordination and development. NSD combines counterterrorism and counterespionage prosecutors with attorneys who oversee the Department's foreign intelligence/counterintelligence operations.

For 2014, the NSD request includes \$4 million in enhancements to combat cyber threats to national security and violent extremism and expand intelligence collection capabilities.

**Object Classification** (in millions of dollars)

Identification code 15-1300-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	37	42
11.3	Other than full-time permanent	1	1
11.5	Other personnel compensation	1	1
11.9	Total personnel compensation	39	44
12.1	Civilian personnel benefits	11	12
21.0	Travel and transportation of persons	1	1
22.0	Transportation of things	1	1
23.1	Rental payments to GSA	9	10
23.3	Communications, utilities, and miscellaneous charges	4	4
25.1	Advisory and assistance services	1	1
25.2	Other services from non-Federal sources	9	9
25.3	Other goods and services from Federal sources	3	3
31.0	Equipment	7	2
99.0	Direct obligations	85	87
99.0	Reimbursable obligations	3	
99.5	Below reporting threshold		1
99.9	Total new obligations	88	88

**Employment Summary**

Identification code 15-1300-0-1-751	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	298	304

**RADIATION EXPOSURE COMPENSATION**

**Federal Funds**

**PAYMENT TO RADIATION EXPOSURE COMPENSATION TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 15-0333-0-1-054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Payment to radiation exposure compensation trust fund	75	94
0900	Total new obligations (object class 25.2)	75	94
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	75	94
1260	Appropriations, mandatory (total)	75	94
1930	Total budgetary resources available	75	94
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	75	94
3020	Outlays (gross)	-75	-94

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	75	94	82
Outlays, gross:				
4100	Outlays from new mandatory authority .....	75	94	82
4180	Budget authority, net (total) .....	75	94	82
4190	Outlays, net (total) .....	75	94	82

**Trust Funds**

**RADIATION EXPOSURE COMPENSATION TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 15-8116-0-7-054	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....			
Receipts:				
0240	Payment from the General Fund, Radiation Exposure Compensation Trust Fund .....	75	94	82
0400	Total: Balances and collections .....	75	94	82
Appropriations:				
0500	Radiation Exposure Compensation Trust Fund .....	-75	-94	-82
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 15-8116-0-7-054	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Payments to RECA claimants .....	81	90	78
0900	Total new obligations (object class 41.0) .....	81	90	78
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	12	6	10
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	75	94	82
1260	Appropriations, mandatory (total) .....	75	94	82
1930	Total budgetary resources available .....	87	100	92
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	10	14

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	4	28
3010	Obligations incurred, unexpired accounts .....	81	90	78
3020	Outlays (gross) .....	-83	-66	-87
3050	Unpaid obligations, end of year .....	4	28	19
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	4	28
3200	Obligated balance, end of year .....	4	28	19

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	75	94	82
Outlays, gross:				
4100	Outlays from new mandatory authority .....	75	56	49
4101	Outlays from mandatory balances .....	8	10	38
4110	Outlays, gross (total) .....	83	66	87
4180	Budget authority, net (total) .....	75	94	82
4190	Outlays, net (total) .....	83	66	87

The Radiation Exposure Compensation Act (RECA), as amended, authorizes payments to individuals exposed to radiation as a result of atmospheric nuclear tests or uranium mining, milling or transport. RECA workload is included with the workload of the Civil Division.

**INTERAGENCY LAW ENFORCEMENT  
Federal Funds**

**INTERAGENCY CRIME AND DRUG ENFORCEMENT**

*For necessary expenses for the identification, investigation, and prosecution of individuals associated with the most significant drug trafficking, and affiliated money laundering organizations not otherwise provided for, to include inter-governmental agreements with State and local law enforcement agencies engaged in the investigation and prosecution of individuals involved in organized crime drug trafficking, \$523,037,000, of which \$50,000,000 shall remain available until expended: Provided, That any amounts obligated from appropriations under this heading may be used under authorities available to the organizations reimbursed from this appropriation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0323-0-1-751	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Investigations .....	382	384	371
0003	Prosecution .....	150	150	149
0004	Transnational Organized Crime Investigations .....			3
0799	Total direct obligations .....	532	534	523
0801	Reimbursable program activity .....	45	55	55
0900	Total new obligations .....	577	589	578

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	1	
1021	Recoveries of prior year unpaid obligations .....	2	2	
1050	Unobligated balance (total) .....	6	3	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	528	531	523
1120	Appropriations transferred to other accts [15-0132] .....	-1	-1	
1160	Appropriation, discretionary (total) .....	527	530	523
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	10		
1701	Change in uncollected payments, Federal sources .....	35	56	55
1750	Spending auth from offsetting collections, disc (total) .....	45	56	55
1900	Budget authority (total) .....	572	586	578
1930	Total budgetary resources available .....	578	589	578
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	142	151	155
3010	Obligations incurred, unexpired accounts .....	577	589	578
3020	Outlays (gross) .....	-565	-583	-580
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	151	155	153
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-35	-91
3070	Change in uncollected pymts, Fed sources, unexpired .....	-35	-56	-55
3071	Change in uncollected pymts, Fed sources, expired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-35	-91	-146
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	140	116	64
3200	Obligated balance, end of year .....	116	64	7

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	572	586	578
Outlays, gross:				
4010	Outlays from new discretionary authority .....	478	440	433
4011	Outlays from discretionary balances .....	87	143	147
4020	Outlays, gross (total) .....	565	583	580

INTERAGENCY CRIME AND DRUG ENFORCEMENT—Continued  
Program and Financing—Continued

Identification code 15-0323-0-1-751	2012 actual	2013 CR	2014 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-12	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-35	-56	-55
4052 Offsetting collections credited to expired accounts .....	2	2	2
4060 Additional offsets against budget authority only (total) .....	-33	-54	-53
4070 Budget authority, net (discretionary) .....	527	530	523
4080 Outlays, net (discretionary) .....	553	581	578
4180 Budget authority, net (total) .....	527	530	523
4190 Outlays, net (total) .....	553	581	578

The Organized Crime Drug Enforcement Task Forces (OCDETF) Program, with the participation of its seven member federal law enforcement agencies, in cooperation with state and local investigators and with prosecutors from the U.S. Attorneys' Offices and the Criminal Division, focuses on targeting and destroying major domestic and transnational criminal organizations who engage in narcotic-trafficking and money-laundering and related criminal activities. The Program performs the following activities:

**Investigation.**—This activity includes resources for direct investigative, intelligence and support activities of the task forces, focusing on the disruption and dismantlement of the highest level drug trafficking and money laundering organizations that supply illegal drugs to the U.S. and fuel the attendant violence. This includes resources for the OCDETF Fusion Center (OFC). The OFC is a multi-agency intelligence center whose mission is to analyze fused law enforcement financial and human intelligence information and produce actionable intelligence for use by OCDETF member agencies to disrupt and dismantle those criminal organizations, and their supporting financial structures, posing the greatest illegal drug threat to the United States. Organizations participating under the Investigations function are the Drug Enforcement Administration, Federal Bureau of Investigation, Internal Revenue Service, Bureau of Alcohol, Tobacco, Firearms and Explosives, U.S. Coast Guard, U.S. Marshals Service, and U.S. Immigration and Customs Enforcement. OCDETF also maintains 11 co-located Strike Forces. These are prosecutor-led, intelligence-driven, multi-agency teams, which aggressively target the highest-level drug trafficking organizations. OCDETF strike forces benefit from the combined resources and expertise of all OCDETF's participating investigative agencies as well as state and local law enforcement and prosecutors.

**Prosecution.**—This activity includes resources for the prosecution of cases generated through the investigative efforts of task force agents. Litigation efforts are intended to dismantle drug trafficking and money laundering organizations in their entirety, most notably by targeting the leaders of these organizations. This includes activities designed to secure the seizure and forfeiture of the assets of these enterprises. Participating agencies are the U.S. Attorneys, and the Department of Justice's Criminal Division.

**Transnational Organized Crime Investigations.**—This activity includes resources for the International Organized Crime Intelligence and Operations Center (IOC-2), which is a multi-agency intelligence center whose mission is to significantly disrupt and dismantle those international criminal organizations posing the greatest threat to the United States. Multiple agencies participate in IOC-2 activities and related investigations.

The 2014 request includes a \$3 million enhancement for the International Organized Crime and Intelligence Center (IOC-2) which provides critical long term and large scale law enforcement

intelligence and investigative support to its multi-agency partners targeting the highest level transnational criminal organizations.

Object Classification (in millions of dollars)

Identification code 15-0323-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	528	530	519
99.0 Direct obligations .....	532	534	523
99.0 Reimbursable obligations .....	45	55	55
99.9 Total new obligations .....	577	589	578

Employment Summary

Identification code 15-0323-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	22	22	22

FEDERAL BUREAU OF INVESTIGATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Bureau of Investigation for detection, investigation, and prosecution of crimes against the United States, \$8,361,687,000: Provided, That not to exceed \$216,900,000 shall remain available until expended: Provided further, That not to exceed \$184,500 shall be available for official reception and representation expenses.

(CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$150,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 15-0200-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Intelligence .....	1,380	1,381	1,399
0002 Counterterrorism/Counterintelligence .....	2,979	2,991	3,171
0003 Criminal Enterprises and Federal Crimes .....	2,440	2,444	2,519
0004 Criminal Justice Services .....	92	91	223
0091 Total operating expenses .....	6,891	6,907	7,312
0201 Intelligence .....	316	315	311
0202 Counterterrorism/Counterintelligence .....	274	251	216
0203 Criminal Enterprises and Federal Crimes .....	200	194	164
0204 Criminal Justice Services .....	412	419	359
0291 Total capital investment .....	1,202	1,179	1,050
0300 Total .....	8,093	8,086	8,362
0799 Total direct obligations .....	8,093	8,086	8,362
0801 Reimbursable program activity .....	1,314	1,564	1,586
0900 Total new obligations .....	9,407	9,650	9,948
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	454	492	492
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	245	286	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	88	.....	.....
1021 Recoveries of prior year unpaid obligations .....	52	.....	.....

1050	Unobligated balance (total) .....	594	492	492
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	8,037	8,086	8,362
1100	Appropriation, Emergency .....		10	
1120	Appropriations transferred to other accts [15-0132] .....	-10	-10	
1121	Appropriations transferred from other accts [11-1070] .....	2		
1131	Unobligated balance of appropriations permanently reduced .....			-150
1160	Appropriation, discretionary (total) .....	8,029	8,086	8,212
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	954	1,424	1,444
1701	Change in uncollected payments, Federal sources .....	502		
1750	Spending auth from offsetting collections, disc (total) .....	1,456	1,424	1,444
	Spending authority from offsetting collections, mandatory:			
1800	Collected .....		140	142
1850	Spending auth from offsetting collections, mand (total) .....		140	142
1900	Budget authority (total) .....	9,485	9,650	9,798
1930	Total budgetary resources available .....	10,079	10,142	10,290
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-180		
1941	Unexpired unobligated balance, end of year .....	492	492	342
	<b>Change in obligated balance:</b>			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2,770	2,757	2,803
3010	Obligations incurred, unexpired accounts .....	9,407	9,650	9,948
3011	Obligations incurred, expired accounts .....	81		
3020	Outlays (gross) .....	-9,228	-9,604	-10,237
3040	Recoveries of prior year unpaid obligations, unexpired .....	-52		
3041	Recoveries of prior year unpaid obligations, expired .....	-221		
3050	Unpaid obligations, end of year .....	2,757	2,803	2,514
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-493	-569	-569
3070	Change in uncollected pymts, Fed sources, unexpired .....	-502		
3071	Change in uncollected pymts, Fed sources, expired .....	426		
3090	Uncollected pymts, Fed sources, end of year .....	-569	-569	-569
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2,277	2,188	2,234
3200	Obligated balance, end of year .....	2,188	2,234	1,945
	<b>Budget authority and outlays, net:</b>			
	Discretionary:			
4000	Budget authority, gross .....	9,485	9,510	9,656
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	7,092	7,998	8,082
4011	Outlays from discretionary balances .....	2,136	1,462	2,013
4020	Outlays, gross (total) .....	9,228	9,460	10,095
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-1,000	-1,424	-1,444
4033	Non-Federal sources .....	-321		
4040	Offsets against gross budget authority and outlays (total) .....	-1,321	-1,424	-1,444
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-502		
4052	Offsetting collections credited to expired accounts .....	367		
4060	Additional offsets against budget authority only (total) .....	-135		
4070	Budget authority, net (discretionary) .....	8,029	8,086	8,212
4080	Outlays, net (discretionary) .....	7,907	8,036	8,651
	Mandatory:			
4090	Budget authority, gross .....		140	142
	Outlays, gross:			
4100	Outlays from new mandatory authority .....		140	142
4101	Outlays from mandatory balances .....		4	
4110	Outlays, gross (total) .....		144	142
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources .....		-140	-142
4180	Budget authority, net (total) .....	8,029	8,086	8,212
4190	Outlays, net (total) .....	7,907	8,040	8,651

The mission of the FBI is to protect the United States from terrorist and foreign intelligence activities; to uphold the law through the investigation of violations of federal criminal law; to provide leadership and assistance to federal, state, local, and international law enforcement agencies; and to perform these

responsibilities in a manner that is responsive to the needs of the public and is faithful to the Constitution of the United States.

Protecting America in the current challenging national security and criminal environment requires that the FBI refocus its priorities; realign its workforce to address these priorities; and improve management policies and operating procedures to enhance flexibility, agility, effectiveness, and accountability. The FBI's strategic priorities are, in order:

- Protect the United States from terrorist attack,
- Protect the United States against foreign intelligence operations and espionage,
- Protect the United States against cyber-based attacks and high technology crimes,
- Combat public corruption at all levels of government,
- Protect civil rights,
- Combat transnational and national criminal organizations and enterprises,
- Combat major white-collar crime,
- Combat significant violent crime,
- Support federal, state, county, municipal, and international partners,
- Upgrade technology to successfully perform the FBI's mission.

FBI investigations and operations are conducted through a network of 56 major field offices, 366 smaller field offices (resident agencies), and three information technology centers located throughout the United States; the FBI Academy and engineering complex at Quantico, Virginia; a fingerprint identification and criminal justice information services center in Clarksburg, West Virginia; over 60 foreign liaison posts; and FBI Headquarters in Washington, D.C.

A number of FBI activities are carried out on a reimbursable basis. For example, the FBI is reimbursed for its participation in Interagency Crime and Drug Enforcement programs and by other federal agencies for certain investigative services, such as pre-employment background inquiries and fingerprint and name checks. The FBI is also authorized to conduct fingerprint and name checks for certain non-federal agencies.

For 2014, the FBI proposes \$215 million in program enhancements. These enhancements support National Security, Cyber Security, and financial and mortgage fraud investigations. In addition, an increase is included to double the capacity of the FBI's National Instant Criminal Background Check System (NICS). Also proposed is \$61 million in program offsets, to include administrative savings and low-priority program reductions.

**Object Classification** (in millions of dollars)

Identification code 15-0200-0-1-999	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	2,870	3,018	3,097
11.3	Other than full-time permanent .....	14		
11.5	Other personnel compensation .....	374	404	412
11.9	Total personnel compensation .....	3,258	3,422	3,509
12.1	Civilian personnel benefits .....	1,258	1,279	1,347
13.0	Benefits for former personnel .....	1	2	
21.0	Travel and transportation of persons .....	221	235	227
22.0	Transportation of things .....	17	22	29
23.1	Rental payments to GSA .....	548	597	605
23.2	Rental payments to others .....	85	45	66
23.3	Communications, utilities, and miscellaneous charges .....	167	179	175
24.0	Printing and reproduction .....	2	2	3
25.1	Advisory and assistance services .....	342	386	375
25.2	Other services from non-Federal sources .....	1,192	1,088	1,102
25.3	Other goods and services from Federal sources .....	67	54	50
25.4	Operation and maintenance of facilities .....	59	45	54
25.5	Research and development contracts .....		1	1
25.7	Operation and maintenance of equipment .....	64	56	56
25.8	Subsistence and support of persons .....		2	16

**SALARIES AND EXPENSES—Continued**  
**Object Classification—Continued**

Identification code 15-0200-0-1-999	2012 actual	2013 CR	2014 est.
26.0 Supplies and materials .....	173	140	161
31.0 Equipment .....	575	500	544
32.0 Land and structures .....	63	30	41
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	8,093	8,086	8,362
99.0 Reimbursable obligations .....	1,314	1,564	1,586
99.9 Total new obligations .....	9,407	9,650	9,948

**Employment Summary**

Identification code 15-0200-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	32,381	32,807	33,292
2001 Reimbursable civilian full-time equivalent employment .....	3,138	3,150	3,150

**CONSTRUCTION**

For necessary expenses, to include the cost of equipment, furniture, and information technology requirements, related to construction or acquisition of buildings, facilities and sites by purchase, or as otherwise authorized by law; conversion, modification and extension of Federally-owned buildings; preliminary planning and design of projects; and operation and maintenance of secure work environment facilities and secure networking capabilities; \$80,982,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0203-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Construction .....		81	81
0006 SCIFs and Work Environment .....	89		
0011 FBI Academy .....	5		
0013 Biometrics Technology Center .....	3		
0014 Terrorists Explosive Devices Analytical Center .....	8		
0900 Total new obligations .....	105	81	81
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	104	97	97
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	121	97	97
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	81	81	81
1160 Appropriation, discretionary (total) .....	81	81	81
1930 Total budgetary resources available .....	202	178	178
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	97	97	97
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	395	316	296
3010 Obligations incurred, unexpired accounts .....	105	81	81
3020 Outlays (gross) .....	-167	-101	-184
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17		
3050 Unpaid obligations, end of year .....	316	296	193
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	395	316	296
3200 Obligated balance, end of year .....	316	296	193
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	81	81	81

Outlays, gross:	2012 actual	2013 CR	2014 est.
4010 Outlays from new discretionary authority .....	26	8	8
4011 Outlays from discretionary balances .....	141	93	176
4020 Outlays, gross (total) .....	167	101	184
4180 Budget authority, net (total) .....	81	81	81
4190 Outlays, net (total) .....	167	101	184

For 2014, the FBI is requesting a total of \$81 million for Sensitive Compartmented Information Facilities (SCIF) and Secure Work Environments (SWE) buildouts and renovations at the FBI Academy.

**Object Classification** (in millions of dollars)

Identification code 15-0203-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
23.3 Communications, utilities, and miscellaneous charges .....	5		
25.1 Advisory and assistance services .....	53	33	33
25.2 Other services from non-Federal sources .....		12	12
25.4 Operation and maintenance of facilities .....		1	1
25.7 Operation and maintenance of equipment .....		4	4
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	23	18	18
32.0 Land and structures .....	22	12	12
99.9 Total new obligations .....	105	81	81

**DRUG ENFORCEMENT ADMINISTRATION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Drug Enforcement Administration, including not to exceed \$70,000 to meet unforeseen emergencies of a confidential character pursuant to 28 U.S.C. 530C; and expenses for conducting drug education and training programs, including travel and related expenses for participants in such programs and the distribution of items of token value that promote the goals of such programs, \$2,067,952,000; of which not to exceed \$75,000,000 shall remain available until expended and not to exceed \$90,000 shall be available, for official reception and representation expenses.

(CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$10,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 15-1100-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 International Enforcement .....	437	436	436
0003 Domestic Enforcement .....	1,688	1,697	1,657
0004 State and Local Assistance .....	15	20	5
0799 Total direct obligations .....	2,140	2,153	2,098
0801 Reimbursable .....	521	576	557
0900 Total new obligations .....	2,661	2,729	2,655
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	75	66	
1011 Unobligated balance transfer from other accts [11-1070] .....	1		
1012 Unobligated balance transfers between expired and unexpired accounts .....	60	40	40
1021 Recoveries of prior year unpaid obligations .....	23	27	

1050	Unobligated balance (total) .....	159	133	40
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	2,025	2,037	2,068
1100	Appropriation, Emergency .....		1	
1120	Appropriations transferred to other accts [15-0132] .....	-1	-1	
1121	Appropriations transferred from other accts [15-0406] .....	13	13	
1121	Appropriations transferred from other accts [11-1070] .....	15		
1131	Unobligated balance of appropriations permanently reduced .....	-10	-10	-10
1160	Appropriation, discretionary (total) .....	2,042	2,040	2,058
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	391	417	425
1701	Change in uncollected payments, Federal sources .....	145	139	139
1750	Spending auth from offsetting collections, disc (total) .....	536	556	564
1900	Budget authority (total) .....	2,578	2,596	2,622
1930	Total budgetary resources available .....	2,737	2,729	2,662
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-10		
1941	Unexpired unobligated balance, end of year .....	66		7
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	649	657	703
3010	Obligations incurred, unexpired accounts .....	2,661	2,729	2,655
3011	Obligations incurred, expired accounts .....	12		
3020	Outlays (gross) .....	-2,561	-2,656	-2,528
3040	Recoveries of prior year unpaid obligations, unexpired .....	-23	-27	
3041	Recoveries of prior year unpaid obligations, expired .....	-81		
3050	Unpaid obligations, end of year .....	657	703	830
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-157	-185	-185
3070	Change in uncollected pymts, Fed sources, unexpired .....	-145	-139	-139
3071	Change in uncollected pymts, Fed sources, expired .....	117	139	139
3090	Uncollected pymts, Fed sources, end of year .....	-185	-185	-185
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	492	472	518
3200	Obligated balance, end of year .....	472	518	645
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	2,578	2,596	2,622
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	2,059	2,084	2,106
4011	Outlays from discretionary balances .....	502	572	422
4020	Outlays, gross (total) .....	2,561	2,656	2,528
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-492	-556	-564
4033	Non-Federal sources .....	-5		
4040	Offsets against gross budget authority and outlays (total) .....	-497	-556	-564
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-145	-139	-139
4052	Offsetting collections credited to expired accounts .....	106	139	139
4060	Additional offsets against budget authority only (total) .....	-39		
4070	Budget authority, net (discretionary) .....	2,042	2,040	2,058
4080	Outlays, net (discretionary) .....	2,064	2,100	1,964
4180	Budget authority, net (total) .....	2,042	2,040	2,058
4190	Outlays, net (total) .....	2,064	2,100	1,964

The Drug Enforcement Administration's (DEA) mission is to enforce the controlled substances laws and regulations of the United States. DEA's major focus is the disruption and dismantlement of Priority Target Organizations (PTOs)—domestic and international drug trafficking and money laundering organizations having a significant impact on drug availability in the United States. DEA emphasizes PTOs with links to organizations on the Attorney General's Consolidated Priority Organization Target (CPOT) list, which represents the "Most Wanted" drug trafficking and money laundering organizations believed to be primarily responsible for the United States' illicit drug supply. DEA also places a high priority on targeting the financial infrastructure of major drug trafficking organizations and members of the financial community who facilitate the laundering of their proceeds. From 2005 to 2012, DEA denied drug traffickers a cumulative total of \$21.5 billion in revenue through the seizure of

both assets and drugs. In addition to keeping drugs and drug-related violence out of the United States, DEA plays a vital role in the areas of national and border security.

DEA has 223 domestic offices organized in 21 divisions throughout the United States. Internationally, DEA has 86 offices in 67 countries and has responsibility for coordinating and pursuing U.S. drug investigations abroad. Federal, state, local, and international partnerships continue to play an important role in DEA's enforcement efforts. For nearly 40 years DEA has led a task force program that today includes 2,158 task force officers participating in 195 task forces. The El Paso Intelligence Center (EPIC) and Special Operations Divisions (SOD) have become vital resources for federal, state and local law enforcement. Through its Office of National Security Intelligence, DEA ensures that national security information obtained in the course of conducting its drug law enforcement mission is expeditiously shared with the Intelligence Community.

DEA's activities are divided into three main decision units:

**Domestic Enforcement.**—Through effective enforcement efforts and associated support functions, DEA disrupts and dismantles the leadership, command, control, and infrastructure of major drug trafficking syndicates, criminal organizations, and violent drug trafficking groups that threaten the United States. This decision unit contains most of DEA's resources, domestic enforcement groups, state and local task forces, other federal and local task forces, intelligence groups, and all the support functions essential to accomplishing their mission. Strategic objectives have been established as follows:

- Identify and target the national/regional organizations most responsible for the domestic distribution and manufacture of illicit drugs;

- Systematically disrupt or dismantle targeted organizations by arresting/convicting their leaders and facilitators, seizing and forfeiting their assets, targeting their money laundering operations, and destroying their command and control networks; and,

- Work with international offices to dismantle domestic organizations directly affiliated with international cartels.

**International Enforcement.**—DEA works with its foreign counterparts to attack the vulnerabilities in the leadership, production, transportation, communications, finance, and distribution sectors of major international drug trafficking organizations. Strategic objectives include:

- Identify, prioritize, and target the most significant international drug and chemical trafficking organizations;

- Disrupt and dismantle the networks, financial infrastructures, operations, and the resource bases of targeted international drug and chemical trafficking organizations; and,

- Prevent drug trafficking organizations from funding terrorist organizations and activities.

**State and Local Assistance.**—DEA responds to clandestine laboratory training requirements, hazardous waste cleanup, and cannabis eradication/suppression needs of the U.S. law enforcement community. DEA supports state and local law enforcement with methamphetamine-related assistance and training, which allows state and local agencies to better address the methamphetamine threat in their communities and reduce the impact that methamphetamine has on the quality of life for America's citizens. By teaching and assisting others in the techniques of clandestine laboratory drug enforcement, hazardous waste cleanup, and cannabis eradication/suppression, DEA is able to expand drug enforcement across the United States in a cost-effective manner. The strategic objectives are to:

SALARIES AND EXPENSES—Continued

—Provide clandestine methamphetamine laboratory training to state and local law enforcement officers;

—Assist state and local law enforcement with efforts to clean up hazardous waste from clandestine methamphetamine laboratories; and,

—Assist local efforts to control the production of cannabis.

DEA also receives funding through reimbursable agreements. The primary reimbursements to DEA are for the Organized Crime Drug Enforcement Task Forces (OCDETF) Program and the Department of Justice's (DOJ) Assets Forfeiture Fund (AFF).

DEA's 2014 request for its Salaries and Expenses (S&E) Account includes \$54.9 million in transfers and base adjustments. This includes a total of \$23.8 million in proposed DOJ transfers. Specifically included in this, is \$8.026 million and 57 positions to transfer document and media exploitation functions and the production of high-priority Strategic Intelligence reports from NDIC to DEA.

For 2014, a total of \$11.9 million and 514 positions are proposed in program offsets, to include administrative savings and efficiencies and reductions to low-priority programs. Of the unobligated balances from prior year appropriations, DEA proposes to permanently cancel \$10,000,000.

Object Classification (in millions of dollars)

Identification code 15-1100-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	639	647	632
11.3 Other than full-time permanent .....	8	8	8
11.5 Other personnel compensation .....	111	112	117
11.9 Total personnel compensation .....	758	767	757
12.1 Civilian personnel benefits .....	335	335	346
21.0 Travel and transportation of persons .....	35	38	34
22.0 Transportation of things .....	11	12	11
23.1 Rental payments to GSA .....	209	215	213
23.2 Rental payments to others .....	37	38	46
23.3 Communications, utilities, and miscellaneous charges .....	63	65	65
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	146	115	99
25.2 Other services from non-Federal sources .....	166	167	152
25.3 Other goods and services from Federal sources .....	89	108	118
25.4 Operation and maintenance of facilities .....	32	28	24
25.6 Medical care .....	3	4	4
25.7 Operation and maintenance of equipment .....	99	91	88
26.0 Supplies and materials .....	46	53	43
31.0 Equipment .....	96	98	78
32.0 Land and structures .....	13	17	18
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,140	2,153	2,098
99.0 Reimbursable obligations .....	521	576	557
99.9 Total new obligations .....	2,661	2,729	2,655

Employment Summary

Identification code 15-1100-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6,968	6,969	6,969
2001 Reimbursable civilian full-time equivalent employment .....	1,353	1,351	1,323

Program and Financing (in millions of dollars)

Identification code 15-1101-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Construction .....	10	10	.....
0900 Total new obligations (object class 32.0) .....	10	10	.....

Budgetary Resources:

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	.....
1160 Appropriation, discretionary (total) .....	10	10	.....
1930 Total budgetary resources available .....	10	10	.....

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	5	.....
3010 Obligations incurred, unexpired accounts .....	10	10	.....
3020 Outlays (gross) .....	-15	-4	.....
3050 Unpaid obligations, end of year .....	10	5	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10	5	.....
3200 Obligated balance, end of year .....	10	5	1

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	10	10	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	8	.....	.....
4011 Outlays from discretionary balances .....	7	4	.....
4020 Outlays, gross (total) .....	15	4	.....
4180 Budget authority, net (total) .....	10	10	.....
4190 Outlays, net (total) .....	15	4	.....

DIVERSION CONTROL FEE ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 15-5131-0-2-751	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
0260 Diversion Control Fee Account, DEA .....	294	336	356
0400 Total: Balances and collections .....	294	336	356
<b>Appropriations:</b>			
0500 Diversion Control Fee Account .....	-294	-336	-356
0799 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 15-5131-0-2-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Diversion Control .....	294	352	361
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	42	52	50
1021 Recoveries of prior year unpaid obligations .....	10	14	14
1050 Unobligated balance (total) .....	52	66	64
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	294	336	356
1260 Appropriations, mandatory (total) .....	294	336	356
1930 Total budgetary resources available .....	346	402	420
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	52	50	59

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	63	55	61
3010 Obligations incurred, unexpired accounts .....	294	352	361
3020 Outlays (gross) .....	-292	-332	-333
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	-14	-14
3050 Unpaid obligations, end of year .....	55	61	75
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	63	55	61
3200 Obligated balance, end of year .....	55	61	75

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	294	336	356

Outlays, gross:				
4100	Outlays from new mandatory authority .....	246	252	267
4101	Outlays from mandatory balances .....	46	80	66
4110	Outlays, gross (total) .....	292	332	333
4180	Budget authority, net (total) .....	294	336	356
4190	Outlays, net (total) .....	292	332	333

Public Law 102–395 established the Diversion Control Fee Account in 1993. Fees charged by the Drug Enforcement Administration under the Diversion Control Program are set at a level that ensures the recovery of the full costs of operating this program. By carrying out the mandates of the Controlled Substances Act (CSA), DEA ensures that adequate supplies of controlled drugs are available to meet legitimate medical, scientific, industrial, and export needs, while preventing, detecting, and eliminating diversion of these substances to illicit traffic. The CSA requires physicians, pharmacists, and chemical companies to register with the DEA in order to distribute or manufacture controlled substances or listed chemicals. Investigations conducted by DEA's Diversion Control Program fall into two distinct categories: the diversion of legitimately manufactured pharmaceutical controlled substances and the diversion of controlled chemicals (List I and II) used in the illicit manufacture of controlled substances. Strategic objectives include:

- Identify and target those responsible for the diversion of pharmaceutical controlled substances through traditional investigation and cybercrime initiatives to systematically disrupt and dismantle those entities involved in diversion schemes;
- Support the registrant population with improved technology, including e-commerce and customer support, while maintaining cooperation, support and assistance from the regulated industry;
- Educate the public on the dangers of prescription drug abuse and taking proactive enforcement measures to combat emerging drug trends; and,
- Ensure an adequate and uninterrupted supply of pharmaceutical controlled substances and listed chemicals to meet legitimate medical, commercial, and scientific needs.

For 2014, \$8.98 million is requested for base adjustments.

**Object Classification** (in millions of dollars)

Identification code 15–5131–0–2–751	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	130	144	150
11.3	Other than full-time permanent .....	1	1	2
11.5	Other personnel compensation .....	9	11	11
11.9	Total personnel compensation .....	140	156	163
12.1	Civilian personnel benefits .....	38	46	49
21.0	Travel and transportation of persons .....	4	6	6
22.0	Transportation of things .....	1	2	1
23.1	Rental payments to GSA .....	25	28	28
23.2	Rental payments to others .....	1	1	2
23.3	Communications, utilities, and miscellaneous charges .....	5	8	8
24.0	Printing and reproduction .....	4	5	5
25.1	Advisory and assistance services .....	35	45	45
25.2	Other services from non-Federal sources .....	10	15	15
25.3	Other goods and services from Federal sources .....	7	9	8
25.4	Operation and maintenance of facilities .....	2	3	3
25.6	Medical care .....	1	.....	.....
25.7	Operation and maintenance of equipment .....	7	9	9
26.0	Supplies and materials .....	5	7	7
31.0	Equipment .....	8	10	10
32.0	Land and structures .....	1	2	2
99.9	Total new obligations .....	294	352	361

**Employment Summary**

Identification code 15–5131–0–2–751	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	1,335	1,347	1,347

**BUREAU OF ALCOHOL, TOBACCO, FIREARMS,  
AND EXPLOSIVES  
Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Bureau of Alcohol, Tobacco, Firearms and Explosives, for training of State and local law enforcement agencies with or without reimbursement, including training in connection with the training and acquisition of canines for explosives and fire accelerants detection; and for provision of laboratory assistance to State and local law enforcement agencies, with or without reimbursement, \$1,229,518,000, of which not to exceed \$36,000 shall be for official reception and representation expenses, not to exceed \$1,000,000 shall be available for the payment of attorneys' fees as provided by section 924(d)(2) of title 18, United States Code, and not to exceed \$20,000,000 shall remain available until expended: Provided, That no funds appropriated herein shall be available for salaries or administrative expenses in connection with consolidating or centralizing, within the Department of Justice, the records, or any portion thereof, of acquisition and disposition of firearms maintained by Federal firearms licensees: Provided further, That none of the funds appropriated herein shall be available to investigate or act upon applications for relief from Federal firearms disabilities under 18 U.S.C. 925(c): Provided further, That such funds shall be available to investigate and act upon applications filed by corporations for relief from Federal firearms disabilities under section 925(c) of title 18, United States Code: Provided further, That no funds made available by this or any other Act may be used to transfer the functions, missions, or activities of the Bureau of Alcohol, Tobacco, Firearms and Explosives to other agencies or Departments: Provided further, That no funds appropriated under this or any other Act may be used to disclose part or all of the contents of the Firearms Trace System database maintained by the National Trace Center of the Bureau of Alcohol, Tobacco, Firearms and Explosives or any information required to be kept by licensees pursuant to section 923(g) of title 18, United States Code, or required to be reported pursuant to paragraphs (3) and (7) of such section, except to: (1) a Federal, State, local, or tribal law enforcement agency, or a Federal, State, or local prosecutor; or (2) a foreign law enforcement agency solely in connection with or for use in a criminal investigation or prosecution; or (3) a Federal agency for a national security or intelligence purpose; unless such disclosure of such data to any of the entities described in (1), (2) or (3) of this proviso would compromise the identity of any undercover law enforcement officer or confidential informant, or interfere with any case under investigation; and no person or entity described in (1), (2) or (3) shall knowingly and publicly disclose such data; and all such data shall be immune from legal process, shall not be subject to subpoena or other discovery, shall be inadmissible in evidence, and shall not be used, relied on, or disclosed in any manner, nor shall testimony or other evidence be permitted based on the data, in a civil action in any State (including the District of Columbia) or Federal court or in an administrative proceeding other than a proceeding commenced by the Bureau of Alcohol, Tobacco, Firearms and Explosives to enforce the provisions of chapter 44 of such title, or a review of such an action or proceeding; except that this proviso shall not be construed to prevent: (A) the disclosure of statistical information concerning total production, importation, and exportation by each licensed importer (as defined in section 921(a)(9) of such title) and licensed manufacturer (as defined in section 921(a)(10) of such title); (B) the sharing or exchange of such information among and between Federal, State, local, or foreign law enforcement agencies, Federal, State, or local prosecutors, and Federal national security, intelligence, or counterterrorism officials; or (C) the publication of annual statistical reports on products regulated by the Bureau of Alcohol, Tobacco, Firearms and Explosives, including total production, importation, and exportation by each licensed importer (as so defined) and licensed manufacturer (as so defined), or statistical aggregate data regarding firearms traffickers and trafficking channels, or firearms misuse, felons, and trafficking investig-*

SALARIES AND EXPENSES—Continued

ations: Provided further, That no funds made available by this or any other Act may be used to electronically retrieve information gathered pursuant to 18 U.S.C. 923(g)(4) by name or any personal identification code: Provided further, That no funds authorized or made available under this or any other Act may be used to deny any application for a license under section 923 of title 18, United States Code, or renewal of such a license due to a lack of business activity, provided that the applicant is otherwise eligible to receive such a license, and is eligible to report business income or to claim an income tax deduction for business expenses under the Internal Revenue Code of 1986.

(CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$12,400,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15–0700–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Firearms .....	903	881	.....
0004 Arson and Explosives .....	242	256	.....
0005 Alcohol and Tobacco .....	20	22	.....
0006 Law Enforcement Operations .....	.....	.....	1,064
0007 Investigative Support Services .....	.....	.....	166
0192 Total Direct Program .....	1,165	1,159	1,230
0799 Total direct obligations .....	1,165	1,159	1,230
0801 Reimbursable program .....	104	125	125
0900 Total new obligations .....	1,269	1,284	1,355
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	35	28	28
1012 Unobligated balance transfers between expired and unexpired accounts .....	3	.....	.....
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	43	28	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,152	1,159	1,229
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	–12
1160 Appropriation, discretionary (total) .....	1,152	1,159	1,217
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	46	125	125
1701 Change in uncollected payments, Federal sources .....	58	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	104	125	125
1900 Budget authority (total) .....	1,256	1,284	1,342
1930 Total budgetary resources available .....	1,299	1,312	1,370
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–2	.....	.....
1941 Unexpired unobligated balance, end of year .....	28	28	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	243	259	200
3010 Obligations incurred, unexpired accounts .....	1,269	1,284	1,355
3011 Obligations incurred, expired accounts .....	10	.....	.....
3020 Outlays (gross) .....	–1,231	–1,343	–1,372
3040 Recoveries of prior year unpaid obligations, unexpired .....	–5	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–27	.....	.....
3050 Unpaid obligations, end of year .....	259	200	183
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–60	–67	–67
3070 Change in uncollected pymts, Fed sources, unexpired .....	–58	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	51	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–67	–67	–67

Memorandum (non-add) entries:

	183	192	133
3100 Obligated balance, start of year .....	183	192	133
3200 Obligated balance, end of year .....	192	133	116
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,256	1,284	1,342
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,034	1,144	1,231
4011 Outlays from discretionary balances .....	197	199	141
4020 Outlays, gross (total) .....	1,231	1,343	1,372
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–86	–125	–125
4033 Non-Federal sources .....	–1	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	–87	–125	–125
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–58	.....	.....
4052 Offsetting collections credited to expired accounts .....	41	.....	.....
4060 Additional offsets against budget authority only (total) .....	–17	.....	.....
4070 Budget authority, net (discretionary) .....	1,152	1,159	1,217
4080 Outlays, net (discretionary) .....	1,144	1,218	1,247
4180 Budget authority, net (total) .....	1,152	1,159	1,217
4190 Outlays, net (total) .....	1,144	1,218	1,247

ATF is the U.S. law enforcement agency dedicated to protecting our Nation from the illicit use of firearms and explosives in violent crime and acts of terrorism. ATF protects our communities from violent criminals and criminal organizations by investigating and preventing the illegal use and trafficking of firearms, the illegal use and improper storage of explosives, acts of arson and bombings, and the illegal diversion of alcohol and tobacco products. ATF regulates the firearms and explosives industries from manufacture and/or importation through retail sale to ensure that Federal Firearms Licensees (FFLs) and Federal Explosives Licensees (FELs) and permittees conduct business in compliance with all applicable laws and regulations.

Object Classification (in millions of dollars)

Identification code 15–0700–0–1–751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	463	449	486
11.3 Other than full-time permanent .....	1	2	2
11.5 Other personnel compensation .....	67	80	80
11.9 Total personnel compensation .....	531	531	568
12.1 Civilian personnel benefits .....	227	214	243
13.0 Benefits for former personnel .....	4	.....	.....
21.0 Travel and transportation of persons .....	23	24	24
22.0 Transportation of things .....	4	3	2
23.1 Rental payments to GSA .....	87	92	97
23.3 Communications, utilities, and miscellaneous charges .....	28	26	31
24.0 Printing and reproduction .....	2	1	2
25.2 Other services from non-Federal sources .....	197	180	143
26.0 Supplies and materials .....	21	29	30
31.0 Equipment .....	34	52	81
32.0 Land and structures .....	7	7	9
99.0 Direct obligations .....	1,165	1,159	1,230
99.0 Reimbursable obligations .....	104	125	125
99.9 Total new obligations .....	1,269	1,284	1,355

Employment Summary

Identification code 15–0700–0–1–751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4,772	4,748	4,876
2001 Reimbursable civilian full-time equivalent employment .....	57	55	55

CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 15-0720-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Center for Explosives Training and Research .....	1		
0900 Total new obligations (object class 32.0) .....	1		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1		
1930 Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	
3010 Obligations incurred, unexpired accounts .....	1		
3020 Outlays (gross) .....	-1	-1	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	
3200 Obligated balance, end of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	1	
4190 Outlays, net (total) .....	1	1	

**[VIOLENT CRIME REDUCTION PROGRAM]**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15-8528-0-1-751	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1029 Other balances withdrawn .....			-1
1050 Unobligated balance (total) .....	1	1	
1930 Total budgetary resources available .....	1	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	

**FEDERAL PRISON SYSTEM**

**Federal Funds**

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Federal Prison System for the administration, operation, and maintenance of Federal penal and correctional institutions, and for the provision of technical assistance and advice on corrections related issues to foreign governments, \$6,831,150,000: Provided, That the Attorney General may transfer to the Health Resources and Services Administration such amounts as may be necessary for direct expenditures by that Administration for medical relief for inmates of Federal penal and correctional institutions: Provided further, That the Director of the Federal Prison System, where necessary, may enter into contracts with a fiscal agent or fiscal intermediary claims processor to determine the amounts payable to persons who, on behalf of the Federal Prison System, furnish health services to individuals committed to the custody

of the Federal Prison System: Provided further, That not to exceed \$5,400 shall be available for official reception and representation expenses: Provided further, That not to exceed \$50,000,000 shall remain available for necessary operations until September 30, 2015: Provided further, That, of the amounts provided for contract confinement, not to exceed \$20,000,000 shall remain available until expended to make payments in advance for grants, contracts and reimbursable agreements, and other expenses: Provided further, That the Director of the Federal Prison System may accept donated property and services relating to the operation of the prison card program from a not-for-profit entity which has operated such program in the past notwithstanding the fact that such not-for-profit entity furnishes services under contracts to the Federal Prison System relating to the operation of pre-release services, halfway houses, or other custodial facilities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15-1060-0-1-753	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Inmate Care and Programs .....	2,350	2,421	2,480
0002 Institution Security and Administration .....	2,802	2,880	2,966
0003 Contract Confinement .....	1,014	1,040	1,114
0004 Management and Administration .....	202	210	210
0091 Total operating expenses .....	6,368	6,551	6,770
0101 Capital investment: Institutional improvements .....	100	40	61
0192 Total direct program .....	6,468	6,591	6,831
0799 Total direct obligations .....	6,468	6,591	6,831
0801 Reimbursable program .....	50	50	52
0900 Total new obligations .....	6,518	6,641	6,883
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	5	5
1012 Unobligated balance transfers between expired and unexpired accounts .....	30		
1050 Unobligated balance (total) .....	33	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,551	6,591	6,831
1120 Appropriations transferred to other accts [15-1003] .....	-9		
1120 Appropriations transferred to other accts [15-1060] .....	-97		
1121 Appropriations transferred from other accts [15-1060] .....	97		
1121 Appropriations transferred from other accts [15-0401] .....	1		
1160 Appropriation, discretionary (total) .....	6,543	6,591	6,831
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	45	50	52
1701 Change in uncollected payments, Federal sources .....	6		
1750 Spending auth from offsetting collections, disc (total) .....	51	50	52
1900 Budget authority (total) .....	6,594	6,641	6,883
1930 Total budgetary resources available .....	6,627	6,646	6,888
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-104		
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	805	744	753
3010 Obligations incurred, unexpired accounts .....	6,518	6,641	6,883
3011 Obligations incurred, expired accounts .....	10		
3020 Outlays (gross) .....	-6,566	-6,632	-6,860
3041 Recoveries of prior year unpaid obligations, expired .....	-23		
3050 Unpaid obligations, end of year .....	744	753	776
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6		
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	795	735	744
3200 Obligated balance, end of year .....	735	744	767

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 15-1060-0-1-753	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,594	6,641	6,883
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5,809	5,982	6,200
4011 Outlays from discretionary balances .....	757	650	660
4020 Outlays, gross (total) .....	6,566	6,632	6,860
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-1
4033 Non-Federal sources .....	-51	-50	-51
4040 Offsets against gross budget authority and outlays (total) ....	-51	-50	-52
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-6		
4052 Offsetting collections credited to expired accounts .....	6		
4070 Budget authority, net (discretionary) .....	6,543	6,591	6,831
4080 Outlays, net (discretionary) .....	6,515	6,582	6,808
4180 Budget authority, net (total) .....	6,543	6,591	6,831
4190 Outlays, net (total) .....	6,515	6,582	6,808

This appropriation will provide for the custody and care of an average daily population of over 222,400 offenders and for the maintenance and operation of 122 penal institutions, regional offices, and a central office located in Washington, D.C. The appropriation also finances the incarceration of sentenced Federal prisoners in State and local jails and other facilities for short periods of time. An average daily population of about 41,900 sentenced prisoners will be in contract facilities in 2014. The Federal Prison System (FPS) also receives reimbursements for the daily care and maintenance of state and local offenders, for utilities used by Federal Prison Industries, Inc., for staff housing, and for meals purchased by FPS staff at institutions.

**Inmate Care and Programs.**—This activity covers the costs of all food, medical supplies, clothing, welfare services, release clothing, transportation, gratuities, staff salaries (including salaries of Health Resources and Services Administration commissioned officers), and operational costs of functions directly related to providing inmate care. This decision unit also finances the costs of GED classes and other educational programs, vocational training, drug treatment, religious programs, psychological services, and other inmate programs such as Life Connections.

**Institution Security and Administration.**—This activity covers costs associated with the maintenance of facilities and institution security. This activity finances institution maintenance, motor pool operations, powerhouse operations, institution security, and other administrative functions.

**Contract Confinement.**—This activity provides for the confinement of sentenced Federal offenders in a Government-owned, contractor-operated facility, and State, local, and private contract facilities. It also provides for the care of Federal prisoners in contract community residential centers and covers the costs associated with management and oversight of contract confinement functions. This activity also funds assistance by the National Institute of Corrections to State and local corrections.

**Management and Administration.**—This activity covers all costs associated with general administration and provides funding for the central office, regional offices, and staff training centers. Also included are oversight functions of the executive staff and regional and central office program managers in the areas of: budget development and execution; financial management; procurement and property management; human resource management; inmate systems management; safety; legal counsel; research and evaluation; and systems support.

For 2014, a net increase of \$65.6 million in program changes are proposed. The request includes \$166.3 million in program enhancements to begin the activation process for three institutions (Federal Correctional Institution at Hazelton, West Virginia, United States Penitentiary at Yazoo City, Mississippi, and ADX United States Penitentiary at Thomson, Illinois), to acquire 1,000 private contract beds, and to expand the Reentry and Recidivism Reducing Programs. Finally, \$100.7 million in offsets are included for: a proposed legislative initiative that would allow additional Good Conduct Time for inmates, information technology savings, realignment of administrative operations, and to cap payments for BOP Medical Services Contracts.

Object Classification (in millions of dollars)

Identification code 15-1060-0-1-753	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	2,250	2,295	2,400
11.3 Other than full-time permanent .....	6	6	6
11.5 Other personnel compensation .....	216	220	223
11.9 Total personnel compensation .....	2,472	2,521	2,629
12.1 Civilian personnel benefits .....	1,233	1,258	1,318
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....	34	35	35
22.0 Transportation of things .....	9	9	9
23.1 Rental payments to GSA .....	23	23	25
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	307	313	338
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	1,575	1,607	1,676
26.0 Supplies and materials .....	593	605	619
31.0 Equipment .....	106	101	61
41.0 Grants, subsidies, and contributions .....	7	7	7
42.0 Insurance claims and indemnities .....	8	8	8
99.0 Direct obligations .....	6,372	6,492	6,730
99.0 Reimbursable obligations .....	50	50	52
Allocation Account - direct:			
11.1 Personnel compensation: Full-time permanent .....	67	69	70
12.1 Civilian personnel benefits .....	29	30	31
99.0 Allocation account - direct .....	96	99	101
99.9 Total new obligations .....	6,518	6,641	6,883

Employment Summary

Identification code 15-1060-0-1-753	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	35,307	35,988	36,873

BUILDINGS AND FACILITIES

*For planning, acquisition of sites and construction of new facilities; purchase and acquisition of facilities and remodeling, and equipping of such facilities for penal and correctional use, including all necessary expenses incident thereto, by contract or force account; and constructing, remodeling, and equipping necessary buildings and facilities at existing penal and correctional institutions, including all necessary expenses incident thereto, by contract or force account, \$105,244,000, to remain available until expended, of which not less than \$67,148,000 shall be available only for modernization, maintenance and repair, and of which not to exceed \$14,000,000 shall be available to construct areas for inmate work programs: Provided, That labor of United States prisoners may be used for work performed under this appropriation.*

(CANCELLATION)

*Of the unobligated balances from prior year appropriations available under this heading, \$30,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergencies Deficit Control Act of 1985, as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 15–1003–0–1–753	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 New construction .....	56	38	43
0002 Modernization and Repair .....	72	77	67
0799 Total direct obligations .....	128	115	110
0801 Reimbursable program activity .....	151		
0900 Total new obligations .....	279	115	110
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	197	123	63
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	90	90	105
1100 Appropriation .....		10	
1121 Appropriations transferred from other accts [15–1060] .....	9		
1131 Unobligated balance of appropriations permanently reduced .....	–45	–45	–30
1160 Appropriation, discretionary (total) .....	54	55	75
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	151		
1750 Spending auth from offsetting collections, disc (total) .....	151		
1900 Budget authority (total) .....	205	55	75
1930 Total budgetary resources available .....	402	178	138
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	123	63	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	222	96	69
3010 Obligations incurred, unexpired accounts .....	279	115	110
3020 Outlays (gross) .....	–405	–142	–92
3050 Unpaid obligations, end of year .....	96	69	87
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	222	96	69
3200 Obligated balance, end of year .....	96	69	87
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	205	55	75
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	5
4011 Outlays from discretionary balances .....	405	141	87
4020 Outlays, gross (total) .....	405	142	92
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	–151		
4180 Budget authority, net (total) .....	54	55	75
4190 Outlays, net (total) .....	254	142	92

**New Construction.**—This activity includes the costs associated with land and building acquisition, new prison construction, and leasing the Oklahoma Airport Trust Facility, which serves as a Bureau-wide transfer and processing center.

**Modernization and repair of existing facilities.**—This activity includes costs associated with rehabilitation, modernization and renovation of Bureau-owned buildings and other structures in order to meet legal requirements and accommodate correctional programs.

In 2014, the Administration proposes a cancellation of \$30 million in prior year unobligated new construction balances.

**Object Classification** (in millions of dollars)

Identification code 15–1003–0–1–753	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	13	14	12
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	4	1	3
25.2 Other services from non-Federal sources .....	69	61	57
26.0 Supplies and materials .....	16	15	15
31.0 Equipment .....	11	8	7
32.0 Land and structures .....		1	1
99.0 Direct obligations .....	128	115	110
99.0 Reimbursable obligations .....	151		
99.9 Total new obligations .....	279	115	110

**Employment Summary**

Identification code 15–1003–0–1–753	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	136	124	126

**FEDERAL PRISON INDUSTRIES, INCORPORATED**

*The Federal Prison Industries, Incorporated, is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available, and in accord with the law, and to make such contracts and commitments, without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the program set forth in the budget for the current fiscal year for such corporation.*

**LIMITATION ON ADMINISTRATIVE EXPENSES, FEDERAL PRISON INDUSTRIES, INCORPORATED**

*Not to exceed \$2,700,000 of the funds of the Federal Prison Industries, Incorporated shall be available for its administrative expenses, and for services as authorized by section 3109 of title 5, United States Code, to be computed on an accrual basis to be determined in accordance with the corporation's current prescribed accounting system, and such amounts shall be exclusive of depreciation, payment of claims, and expenditures which such accounting system requires to be capitalized or charged to cost of commodities acquired or produced, including selling and shipping expenses, and expenses in connection with acquisition, construction, operation, maintenance, improvement, protection, or disposition of facilities and other property belonging to the corporation or in which it has an interest.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15–4500–0–4–753	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0804 Federal Prison Industries .....	698	672	684
0809 Reimbursable program activities, subtotal .....	698	672	684
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	155	158	158
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	3	3
1750 Spending auth from offsetting collections, disc (total) .....	3	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	711	669	681
1801 Change in uncollected payments, Federal sources .....	–13		
1850 Spending auth from offsetting collections, mand (total) .....	698	669	681
1900 Budget authority (total) .....	701	672	684
1930 Total budgetary resources available .....	856	830	842

FEDERAL PRISON INDUSTRIES, INCORPORATED—Continued  
Program and Financing—Continued

Identification code 15-4500-0-4-753	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	158	158	158
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	171	164	164
3010 Obligations incurred, unexpired accounts .....	698	672	684
3020 Outlays (gross) .....	-705	-672	-684
3050 Unpaid obligations, end of year .....	164	164	164
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-34	-21	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	13		
3090 Uncollected pymts, Fed sources, end of year .....	-21	-21	-21
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	137	143	143
3200 Obligated balance, end of year .....	143	143	143
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	3	3	3
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3	3	3
<b>Mandatory:</b>			
4090 Budget authority, gross .....	698	669	681
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	695	519	671
4101 Outlays from mandatory balances .....	7	150	10
4110 Outlays, gross (total) .....	702	669	681
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-714	-669	-684
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	13		
4160 Budget authority, net (mandatory) .....	-3		-3
4170 Outlays, net (mandatory) .....	-12		-3
4180 Budget authority, net (total) .....		3	
4190 Outlays, net (total) .....	-9	3	
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	271	272	270
5001 Total investments, EOY: Federal securities: Par value .....	272	270	268

Federal Prison Industries, Inc. (FPI), was created by Congress in 1934 and is a wholly-owned Government corporation. Its mission is to employ and train Federal inmates through a diversified work program providing products and services to other Federal agencies. These operations are conducted in such a manner as to maximize meaningful inmate employment opportunities and minimize the effects of competition on private industry and labor. Employment provides inmates with work, occupational knowledge and skills, plus money for personal expenses and family assistance.

FPI strives to provide additional industrial employment opportunities at existing and planned institutions.

**Budget program.**—Federal Prison Industries, Inc. operations are entirely self-sustaining and no appropriations are required for its operations. The amounts used by the Corporation for administrative expenses are subject to a congressional limitation. Information regarding this limitation is provided separately following this account.

**Financing program.**—Revenues are derived entirely from the sale of products and services to other Federal agencies. Operating expenses are applied against these revenues resulting in operating income or loss. Earnings surplus to the needs of the manufacturing operations, capital improvements, and cash reserves are used to pay accident compensation.

**Operating results.**—To date, Federal Prison Industries, Inc. has returned to the Treasury a total of \$82 million of retained income

in excess of the Corporation's needs. No contributions from budget authority have been made to offset deficits for non-revenue producing outlays since the inception of the fund.

## Object Classification (in millions of dollars)

Identification code 15-4500-0-4-753	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	94	94	92
11.5 Other personnel compensation .....	2	1	1
11.8 Special personal services payments .....	32	38	38
11.9 Total personnel compensation .....	128	133	131
12.1 Civilian personnel benefits .....	48	49	45
21.0 Travel and transportation of persons .....	4	4	4
22.0 Transportation of things .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	15	15	15
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	13	13	13
26.0 Supplies and materials .....	484	449	467
31.0 Equipment .....	2	5	5
99.9 Total new obligations .....	698	672	684

## Employment Summary

Identification code 15-4500-0-4-753	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,213	1,147	1,147

## Trust Funds

## COMMISSARY FUNDS, FEDERAL PRISONS (TRUST REVOLVING FUND)

## Program and Financing (in millions of dollars)

Identification code 15-8408-0-8-753	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	357	368	379
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	56	60	60
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	361	368	379
1850 Spending auth from offsetting collections, mand (total) .....	361	368	379
1930 Total budgetary resources available .....	417	428	439
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	60	60	60
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	28	28
3010 Obligations incurred, unexpired accounts .....	357	368	379
3020 Outlays (gross) .....	-354	-368	-379
3050 Unpaid obligations, end of year .....	28	28	28
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	24	27	27
3200 Obligated balance, end of year .....	27	27	27
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	361	368	379
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	352	330	379
4101 Outlays from mandatory balances .....	2	38	
4110 Outlays, gross (total) .....	354	368	379
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-361	-368	-379
4190 Outlays, net (total) .....	-7		

**Budget program.**—The commissary fund consists of the operation of commissaries for the inmates as an earned privilege.

**Financing.**—Profits are derived from the sale of goods and services to inmates. Sales for 2014 are estimated at \$379 million. Adequate working capital is assured from retained earnings.

**Operating results.**—Profits received are used for programs, goods, and services for the benefit of inmates.

**Object Classification** (in millions of dollars)

Identification code 15-8408-0-8-753	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	43	45	46
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	41	42	43
11.9 Total personnel compensation .....	85	88	90
12.1 Civilian personnel benefits .....	22	23	24
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	14	14	14
26.0 Supplies and materials .....	229	238	245
31.0 Equipment .....	6	4	5
99.9 Total new obligations .....	357	368	379

**Employment Summary**

Identification code 15-8408-0-8-753	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	674	675	729

**OFFICE OF JUSTICE PROGRAMS**

**Federal Funds**

RESEARCH, EVALUATION, AND STATISTICS

For grants, contracts, cooperative agreements, and other assistance authorized by title I of the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); the Juvenile Justice and Delinquency Prevention Act of 1974 ("the 1974 Act"); the Missing Children's Assistance Act (42 U.S.C. 5771 et seq.); the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108-21); the Justice for All Act of 2004 (Public Law 108-405); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Victims of Child Abuse Act of 1990 (Public Law 101-647); the Second Chance Act of 2007 (Public Law 110-199); the Victims of Crime Act of 1984 (Public Law 98-473); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the PROTECT Our Children Act of 2008 (Public Law 110-401); subtitle D of title II of the Homeland Security Act of 2002 (Public Law 107-296) ("the 2002 Act"); the NICS Improvement Amendments Act of 2007 (Public Law 110-180); and other programs; \$134,400,000, to remain available until expended, of which—

(1) \$52,900,000 is for criminal justice statistics programs, and other activities, as authorized by part C of title I of the 1968 Act;

(2) \$44,500,000 is for research, development, and evaluation programs, and other activities as authorized by part B of title I of the 1968 Act and subtitle D of title II of the 2002 Act;

(3) \$3,000,000 is for an evaluation clearinghouse program;

(4) \$25,000,000 is for regional information sharing activities, as authorized by part M of title I of the 1968 Act; and

(5) \$9,000,000 is for activities to strengthen and enhance the practice of forensic sciences, of which \$1,000,000 is for the support of a Forensic Science Advisory Committee to be chaired by the Attorney General and the Director of the National Institute of Standards and Technology, \$3,000,000 is for transfer to the National Institute of Standards and Technology under the heading "Scientific and Technical Research and Services" for measurement science and standards in support of forensic science, and \$5,000,000 is for transfer to the National Science Foundation under the heading "Research and Related Activities" for a forensic science grant program to establish forensic science research centers.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0401-0-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Institute of Justice .....	29	32	40
0002 Bureau of Justice Statistics .....	8	42	49
0003 Forensic Sciences .....			1
0004 Regional Information Sharing System .....	24	25	23
0010 National Crime Victimization Survey .....	24		
0011 Management and Administration .....	9	9	10
0012 Evaluation Clearinghouse .....	1	1	3
0013 Redesign of National Crime Victimization Survey .....	9		
0014 2% Research, Evaluation, and Statistics Set-a-Side .....	24		
0799 Total direct obligations .....	128	109	126
0801 Reimbursable program .....	175	175	175
0900 Total new obligations .....	303	284	301
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	28	27
1021 Recoveries of prior year unpaid obligations .....	6	4	4
1050 Unobligated balance (total) .....	27	32	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	113	113	134
1120 Appropriations transferred to other accts [13-0500] .....	-5	-5	-3
1120 Appropriations transferred to other accts [15-1060] .....	-1		
1120 Appropriations transferred to other accts [49-0100] .....			-5
1121 Appropriations transferred from other accts [15-0404] .....	21		
1121 Appropriations transferred from other accts [15-0405] .....	5		
1131 Unobligated balance of appropriations permanently reduced .....	-4	-4	-3
1160 Appropriation, discretionary (total) .....	129	104	123
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	170	175	175
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	175	175	175
1900 Budget authority (total) .....	304	279	298
1930 Total budgetary resources available .....	331	311	329
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	27	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	334	303	206
3010 Obligations incurred, unexpired accounts .....	303	284	301
3020 Outlays (gross) .....	-328	-377	-309
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-4	-4
3050 Unpaid obligations, end of year .....	303	206	194
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-19	-19
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3090 Uncollected pymts, Fed sources, end of year .....	-19	-19	-19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	320	284	187
3200 Obligated balance, end of year .....	284	187	175
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	304	279	298
Outlays, gross:			
4010 Outlays from new discretionary authority .....	158	195	200
4011 Outlays from discretionary balances .....	170	182	109
4020 Outlays, gross (total) .....	328	377	309
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-169	-175	-175
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-170	-175	-175
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4070 Budget authority, net (discretionary) .....	129	104	123

RESEARCH, EVALUATION, AND STATISTICS—Continued  
Program and Financing—Continued

Identification code 15-0401-0-1-754	2012 actual	2013 CR	2014 est.
4080 Outlays, net (discretionary) .....	158	202	134
4180 Budget authority, net (total) .....	129	104	123
4190 Outlays, net (total) .....	158	202	134

The 2014 Budget requests \$134,400,000 for the Office of Justice Programs' (OJP) Research, Evaluation, and Statistics appropriation. This appropriation includes programs that provide grants, contracts, and cooperative agreements for research, development and evaluation; development and dissemination of quality statistical and scientific information; and nationwide support for law enforcement agencies.

Through leadership, funding, and technical support, OJP plays a significant role in the research and evaluation of new technologies to assist law enforcement, corrections personnel, and courts in protecting the public, and guides the development of new techniques and technologies in the areas of crime prevention, forensic science, and violence and victimization research. The research and statistical data compiled by OJP staff are used at all levels of government to guide decision making and planning efforts related to law enforcement, courts, corrections, and other criminal justice issues. Grants, technical assistance, and national leadership provided by OJP have supported efforts to provide and improve assistance to the Nation's Federal, state, local, and tribal law enforcement and criminal justice agencies.

*Research, Development, and Evaluation Program.*—The National Institute of Justice (NIJ) serves as the research and development agency of the Department of Justice, as authorized by 42 U.S.C. 3721–3723. The mission of NIJ is to advance scientific research, development, and evaluation to enhance the administration of justice and public safety by providing objective, independent, evidence-based knowledge, and tools to meet the challenges of crime and justice, particularly at the state and local levels. NIJ research, development, and evaluation (RD&E) efforts support practitioners and policy makers at all levels of government.

NIJ focuses its resources on crime control and related justice issues to provide objective, independent, evidence-based knowledge, and tools to meet the challenges of crime and justice, particularly at the state and local levels. Planned activities include but are not limited to: (1) Social science research addressing, among other topics: human trafficking; evaluation of anti-gang programs; policing; crime and justice; children exposed to violence; sentencing alternatives to incarceration; elder abuse; as well as demonstration field experiments in reentry and probation; (2) Provision of criminal justice-focused technology assistance to units of state, local and tribal government; (3) Criminal justice research infrastructure investments including funding for the National Criminal Justice Reference Service and the National Archive of Criminal Justice Data; (4) Development of equipment performance standards and conduct of compliance testing to help ensure the safety and effectiveness of the equipment used by criminal justice agencies, such as body armor, restraints, holsters, and video systems; and (5) Development of new tools and technologies for law enforcement, corrections, and forensic applications, including those aimed at improving officer safety, knowledge management, and community supervision.

In 2014, NIJ will continue to pursue research and evaluation projects to encourage the development and adoption of new crime-fighting tools, improve understanding of what works (and what does not) in criminal justice programs and policy, and expand understanding of complex criminal justice issues. The 2014

Budget proposes \$44,500,000 for the Research, Development, and Evaluation Program.

*Forensic Science Improvement.*—Continuing improvement is needed in the forensic sciences, which are crucial to ensuring the accuracy of evidence presented in criminal justice trials. The 2014 Budget proposes \$9,000,000 for this program. Of this amount, \$1,000,000 will be used by NIJ for the support of a Forensic Science Advisory Committee to be chaired by the Attorney General and the Director of the National Institute of Standards and Technology (NIST), \$3,000,000 will be transferred by NIJ to NIST for measurement science and standards in support of forensic science, and \$5,000,000 will be transferred by NIJ to the National Science Foundation for a forensic science grant program, to be developed and administered in consultation with NIJ, to establish forensic science research centers.

*Criminal Justice Statistics Program.*—The Bureau of Justice Statistics (BJS) serves as the principal statistical agency within the Department of Justice, as authorized by 42 U.S.C. 3721–3735, and assists state, local, and tribal governments in enhancing their statistical capabilities. It disseminates high quality information and statistics to inform policy makers, researchers, criminal justice practitioners, and the general public. The Criminal Justice Statistics Program encompasses a wide range of criminal justice topics, including: (1) victimization; (2) law enforcement; (3) prosecution; (4) courts and sentencing; (5) corrections; (6) recidivism and reentry; (7) tribal justice statistics; (8) justice expenditures and employment; (9) international justice systems; and (10) drugs, alcohol, and crime. In addition to collecting and analyzing statistical data, BJS administers the State Justice Statistics Program for the Statistical Analysis Centers (SACs). SACs have been established in all states and most territories to centralize and integrate criminal justice statistical functions. Through financial and technical assistance to the state SACs, BJS promotes efforts to coordinate statistical activities within the states and conducts the research as needed to estimate the impact of legislative and policy changes. The SACs also serve in a liaison role, assisting BJS with data gathering from respondent agencies within their states. Further, the Criminal Justice Statistics Program will expand on its base of work in 2014 by enhancing the quality and availability of statistics in a number of areas including: (1) criminal victimization via the redesign the National Criminal Victimization Survey (NCVS), which is the sole continuous source of national information for many topics related to crime and victimization rates for the Administration and Congress, state and local law enforcement, and the research community; (2) law enforcement administration; (3) state & Federal adjudication and sentencing; (4) jail and prison statistics; (5) recidivism and reentry data; (6) white collar crime and (7) statistics on American Indians in the criminal justice system. The 2014 Budget proposes \$52,900,000 for the Criminal Justice Statistics program.

*Regional Information Sharing System (RISS).*—RISS is a national criminal intelligence system operated by and for state and local law enforcement agencies. The RISS regional centers facilitate information sharing and communications to support member agency investigative and prosecution efforts by providing state-of-the-art investigative support and training, analytical services, specialized equipment, secure information-sharing technology, and secure encrypted email and communications capabilities to over 6,000 municipal, county, state, and Federal law enforcement agencies nationwide. The 2014 Budget proposes \$25,000,000 for this program.

*Evaluation Clearinghouse.*—The 2014 Budget requests \$3,000,000 for the continued development and deployment of CrimeSolutions.gov, which uses rigorous research to inform

practitioners and policy makers about what works in criminal justice, juvenile justice, and crime victim services.

99.9 Total new obligations ..... 2 1 .....

**Object Classification** (in millions of dollars)

Identification code 15-0401-0-1-754	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
12.1 Civilian personnel benefits .....	1		
21.0 Travel and transportation of persons .....	1		
22.0 Transportation of things .....	1		
23.1 Rental payments to GSA .....	1		
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	8	14	9
25.2 Other services from non-Federal sources .....	2		
25.3 Other goods and services from Federal sources .....	34	29	45
41.0 Grants, subsidies, and contributions .....	80	64	71
99.0 Direct obligations .....	128	108	126
99.0 Reimbursable obligations .....	175	176	175
99.9 Total new obligations .....	303	284	301

**Employment Summary**

Identification code 15-0401-0-1-754	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	628	601	611

**SALARIES AND EXPENSES, OFFICE OF JUSTICE PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 15-0420-0-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses - Office of Justice Programs .....	2	1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	
1930 Total budgetary resources available .....	3	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	106	16	1
3010 Obligations incurred, unexpired accounts .....	2	1	
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-90	-16	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	16	1	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	104	16	1
3200 Obligated balance, end of year .....	16	1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	90	16	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2		
4080 Outlays, net (discretionary) .....	88	16	1
4190 Outlays, net (total) .....	88	16	1

**Object Classification** (in millions of dollars)

Identification code 15-0420-0-1-754	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	1	1	

**STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE**

For grants, contracts, cooperative agreements, and other assistance authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) ("the 1994 Act"); the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); the Justice for All Act of 2004 (Public Law 108-405); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Trafficking Victims Protection Reauthorization Act of 2005 (Public Law 109-164); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386); the NICS Improvement Amendments Act of 2007 (Public Law 110-180); subtitle D of title II of the Homeland Security Act of 2002 (Public Law 107-296) ("the 2002 Act"); the Second Chance Act of 2007 (Public Law 110-199); the Prioritizing Resources and Organization for Intellectual Property Act of 2008 (Public Law 110-403); the Victims of Crime Act of 1984 (Public Law 98-473); the Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008 (Public Law 110-416); and other programs, \$1,005,000,000, to remain available until expended as follows—

(1) \$395,000,000 for the Edward Byrne Memorial Justice Assistance Grant program as authorized by subpart 1 of part E of title I of the 1968 Act (except that section 1001(c), and the special rules for Puerto Rico under section 505(g), of title I of the 1968 Act shall not apply for purposes of this Act), of which, notwithstanding such subpart 1, \$2,000,000 is for a program to improve State and local law enforcement intelligence capabilities including antiterrorism training and training to ensure that constitutional rights, civil liberties, civil rights, and privacy interests are protected throughout the intelligence process, \$2,000,000 is for a State, local, and tribal assistance help desk and diagnostic center program, \$15,000,000 is for a Preventing Violence Against Law Enforcement Officer Resilience and Survivability Initiative (VALOR), \$10,000,000 is for an initiative to support evidence-based policing, \$5,000,000 is for an initiative to enhance prosecutorial decision-making, and \$2,500,000 for objective, nonpartisan voter education about, and a plebiscite on, options that would resolve Puerto Rico's future political status, which shall be provided to the State Elections Commission of Puerto Rico: Provided, That funds provided for the plebiscite under the previous proviso shall not be obligated until 45 days after the Attorney General notifies the Committees on Appropriations that he approves of an expenditure plan from the Commission for voter education and plebiscite administration, including approval of the plebiscite ballot; Provided further, That the notification shall include a finding that the voter education materials, plebiscite ballot, and related materials are not incompatible with the Constitution and laws and policies of the United States: Provided further, That no Edward Byrne Memorial Justice Assistance Grant may be made by the Attorney General to any unit of local government (other than an Indian tribe) if the allocation thereto, pursuant to section 505(d)(2)(A) of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3755(d)(2)(A)), is less than \$25,000;

(2) \$40,000,000 for an Edward Byrne Memorial incentive grant program, of which \$15,000,000 is for incentive grants to states and localities that use a portion of Edward Byrne Memorial Justice Assistance Grant program funding for evidence-based strategies and interventions and \$25,000,000 is for incentive grants for states and localities using a portion of Edward Byrne Memorial Justice Assistance Grant program funding for justice system realignment efforts;

(3) \$15,000,000 for competitive grants to improve the functioning of the criminal justice system, to prevent or combat juvenile delinquency, and to assist victims of crime (other than compensation);

(4) \$44,000,000 for Drug Courts, as authorized by section 1001(a)(25)(A) of title I of the 1968 Act, mental health courts and adult and juvenile collaboration program grants, as authorized by parts V and HH of title I of the 1968 Act and the Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008 (Public Law 110-416), and other criminal justice system problem-solving grants;

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued

- (5) \$19,000,000 for grants for Residential Substance Abuse Treatment for State Prisoners, as authorized by part S of title I of the 1968 Act;
- (6) \$2,000,000 for the Capital Litigation Improvement Grant Program, as authorized by section 426 of Public Law 108–405, and for grants for wrongful conviction review;
- (7) \$9,000,000 for economic, high technology and Internet crime prevention grants, including as authorized by section 401 of Public Law 110–403; of which \$2,500,000 for intellectual property enforcement grants, including as authorized by section 401 of Public Law 110–403;
- (8) \$35,000,000 for an Edward Byrne Memorial criminal justice innovation program;
- (9) \$1,000,000 for the National Sex Offender Public Web site;
- (10) \$5,000,000 for competitive and evidence-based programs to reduce gun crime and gang violence;
- (11) \$5,000,000 for grants to assist State and tribal governments and related activities as authorized by the NICS Improvement Amendments Act of 2007 (Public Law 110–180);
- (12) \$50,000,000 for the National Criminal History Improvement Program for grants to upgrade criminal and mental health records necessary for the functioning of the National Instant Criminal Background Check System;
- (13) \$7,000,000 for a program to monitor prescription drugs and scheduled listed chemical products;
- (14) \$10,500,000 for prison rape prevention and prosecution grants to States and units of local government, and other programs, as authorized by the Prison Rape Elimination Act of 2003 (Public Law 108–79), including statistics, data, and research, of which not more than \$150,000 of these funds shall be available for the direct federal costs of facilitating an auditing process: Provided, That, upon the Attorney General's initial receipt of submissions pursuant to section 8(c)(2) of Public Law 108–79—(a) the statistical review and related analysis provided for in section 4 thereof shall next be required in the calendar year next following, and every fifth year thereafter, and (b) the review panel established under section 4(b) of Public Law 108–79 shall be terminated;
- (15) \$100,000,000 for DNA-related and forensic programs and activities (including related research and development, training and education, and technical assistance), of which \$20,000,000 is for programs and activities (including grants, technical assistance, and technology) to reduce the rape kit backlog; Provided, That the certification requirements of 42 U.S.C. 3797k(1), 3797k(2), and 3797k(4) shall apply to any DNA-related and forensic program grants made to forensic crime laboratories;
- (16) \$10,500,000 for victim services programs for victims of trafficking, as authorized by section 107(b)(2) of Public Law 106–386 and for programs authorized under Public Law 109–164;
- (17) \$23,000,000 for an initiative relating to children exposed to violence;
- (18) \$20,000,000 sex offender management assistance, as authorized by the Adam Walsh Act and the 1994 Act, and related activities; and
- (19) \$119,000,000 for offender reentry programs and research, as authorized by the Second Chance Act of 2007 (Public Law 110–199), without regard to the time limitations specified at section 6(1) of such Act, of which \$10,000,000 is for a program to improve State, local, and tribal probation or parole supervision efforts and strategies; and \$5,000,000 is for Children of Incarcerated Parents Demonstrations to enhance and maintain parental and family relationships for incarcerated parents as a reentry or recidivism reduction strategy: Provided, That up to \$40,000,000 of funds made available in this paragraph may be used for performance-based awards for Pay for Success projects, of which up to \$10,000,000 shall be for Pay for Success programs implementing the Permanent Supportive Housing Model: Provided further, That, with respect to the previous proviso, any funds obligated for such projects shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a): Provided further, That, with respect to the first proviso, any deobligated funds from such projects shall immediately be available for activities authorized under the Second Chance Act of 2007 (Public Law 110–199);
- (20) \$85,000,000 for a Justice Reinvestment Initiative program, for activities related to criminal justice reform and recidivism reduction;
- (21) \$10,000,000 for additional replication sites employing Hawaii's Opportunity Probation with Enforcement (HOPE) model implementing

swift and certain sanctions in probation, and for a research project on the effectiveness of the model;

Provided further, That, if a unit of local government uses any of the funds made available under this heading to increase the number of law enforcement officers, the unit of local government will achieve a net gain in the number of law enforcement officers who perform non-administrative public sector safety service.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15–0404–0–1–754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State Criminal Alien Assistance Program .....	216	220	.....
0002 Adam Walsh Act Implementation .....	17	18	19
0003 Presidential Nominating Conventions .....	100	101	.....
0004 NJ for Domestic Radicalization .....	4	.....	.....
0005 Byrne Competitive Grants .....	13	14	14
0006 Comprehensive Criminal Justice Reform and Recidivism Reduction .....	5	.....	79
0007 Justice Assistance Grants .....	316	339	331
0008 Byrne Incentive Grants .....	.....	.....	37
0009 Residential Substance Abuse Treatment .....	9	9	18
0010 Drug Court Program .....	33	32	.....
0012 Victims of Trafficking .....	10	11	10
0013 Prescription Drug Monitoring Program .....	6	6	7
0014 Prison Rape Prevention and Prosecution Program .....	11	12	10
0015 Capital Litigation Improvement Grant Program .....	3	3	2
0016 Mentally-Ill Offender Act .....	8	8	.....
0017 National Sex Offender Public Website .....	1	1	1
0018 Project Hope Opportunity Probation with Enforcement (HOPE) .....	.....	.....	9
0019 Bulletproof Vest Partnership .....	20	22	.....
0020 State and Local Anti-Terrorism Training .....	2	.....	2
0021 Smart Policing Initiative .....	.....	.....	9
0022 National Criminal History Improvement Program (NCHIP) .....	6	6	46
0023 Smart Prosecution Initiative .....	.....	.....	5
0026 Research and Evaluation, Violence Against Women (NIJ) .....	1	3	.....
0029 Court Appointed Special Advocate .....	4	4	.....
0031 National Instant Criminal Background Check System .....	10	5	5
0035 Post-conviction DNA Testing grants .....	4	.....	.....
0038 Sexual Assault Forensic Exam Program grants .....	4	.....	.....
0043 S&L Gun Crime Prosecution Assistance/Gun Violence Reduction .....	4	5	5
0044 DNA Initiative .....	106	113	91
0045 Coverdell Forensic Science Grants .....	11	11	.....
0050 Second Chance Act/Offender Reentry .....	59	58	108
0053 Missing Alzheimer's Program .....	1	1	.....
0056 Economic, High Tech, and Cybercrime Prevention .....	6	.....	6
0069 Problem Solving Justice .....	.....	.....	41
0076 State and Local Assistance Help Desk and Diagnostic Center ...	4	.....	4
0077 VALOR Initiative .....	2	.....	14
0080 Children Exposed to Violence .....	9	9	21
0081 Byrne Criminal Justice Innovation Program .....	14	14	33
0082 Indian Assistance .....	34	35	.....
0084 John R. Justice Student Loan Repayment Program .....	4	4	.....
0087 Border Prosecution Initiatives .....	9	9	.....
0088 Intellectual Property Enforcement Program .....	.....	6	2
0089 Management and Administration .....	86	89	76
0799 Total direct obligations .....	1,152	1,168	1,005
0801 Reimbursable program .....	14	13	13
0900 Total new obligations .....	1,166	1,181	1,018
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	85	65	65
1010 Unobligated balance transfer to other accts [15–0409] .....	–1	.....	.....
1011 Unobligated balance transfer from other accts [11–1460] ...	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	30	42	36
1050 Unobligated balance (total) .....	115	107	101
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,163	1,170	1,005
1120 Appropriations transferred to other accts [13–0500] .....	–2	–2	.....
1120 Appropriations transferred to other accts [15–0401] .....	–21	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	–38	–42	–36
1160 Appropriation, discretionary (total) .....	1,102	1,126	969

Spending authority from offsetting collections, discretionary:			
1700	Collected .....	13	13
1701	Change in uncollected payments, Federal sources .....	1	
1750	Spending auth from offsetting collections, disc (total) .....	14	13
1900	Budget authority (total) .....	1,116	1,139
1930	Total budgetary resources available .....	1,231	1,246
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	65	65
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2,777	2,250
3010	Obligations incurred, unexpired accounts .....	1,166	1,181
3020	Outlays (gross) .....	-1,660	-1,678
3040	Recoveries of prior year unpaid obligations, unexpired .....	-30	-42
3041	Recoveries of prior year unpaid obligations, expired .....	-3	
3050	Unpaid obligations, end of year .....	2,250	1,711
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-5
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	
3090	Uncollected pymts, Fed sources, end of year .....	-5	-5
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2,773	2,245
3200	Obligated balance, end of year .....	2,245	1,706
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	1,116	1,139
Outlays, gross:			
4010	Outlays from new discretionary authority .....	208	228
4011	Outlays from discretionary balances .....	1,452	1,450
4020	Outlays, gross (total) .....	1,660	1,678
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-11	-13
4033	Non-Federal sources .....	-2	
4040	Offsets against gross budget authority and outlays (total) .....	-13	-13
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1	
4070	Budget authority, net (discretionary) .....	1,102	1,126
4080	Outlays, net (discretionary) .....	1,647	1,665
4180	Budget authority, net (total) .....	1,102	1,126
4190	Outlays, net (total) .....	1,647	1,665

The 2014 Budget requests \$1,005,000,000 for the Office of Justice Programs' (OJP) State and Local Law Enforcement Assistance. This appropriation account includes programs that establish and build on partnerships with state, local, and tribal governments, and faith-based and community organizations. These programs provide Federal leadership on high-priority criminal justice concerns such as violent crime, criminal gang activity, illegal drugs, information sharing, and related justice system issues. OJP's formula and discretionary grant programs, coupled with training and technical assistance activities, assist law enforcement agencies, courts, local community partners, and other components of the criminal justice system in preventing and addressing violent crime, protecting the public, and ensuring that offenders are held accountable for their actions. This funding will be used to support the following initiatives:

**Byrne Justice Assistance Grants (JAG).**—The Byrne Justice Assistance Grants program awards grants to state and local governments to support a broad range of activities that prevent and control crime based on local needs and conditions, including: law enforcement programs; prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment programs; and planning, evaluation, and technology improvement programs. The 2014 Budget proposes \$395,000,000 for this program. Of this total, \$2,000,000 is for the State and Local Anti-Terrorism Training program, \$2,000,000 is for a state and local assistance help desk and diagnostic center, \$15,000,000 for VALOR, a program that supports officer safety, \$10,000,000

is for an initiative to assist and support evidence-based policing, \$5,000,000 will fund Smart Prosecution Initiatives, and \$2,500,000 will be used to fund non-partisan voter education about, and a plebiscite on, options that would resolve Puerto Rico's future political status.

**Byrne Incentive Grants.**—This program will provide supplementary grants to states and localities using Byrne JAG formula grant funds for evidence-based purposes. These incentive grants will serve as inducements for states and localities to use formula funds (as well as state and local funds) to implement proven public safety strategies. The 2014 Budget proposes \$40,000,000 for this new initiative.

**Byrne Competitive Grants.**—The Byrne Competitive Grants program awards grants to state, local, and tribal government agencies, for-profit and non-profit organizations, and faith-based and community organizations to improve the functioning of the criminal justice system and assist victims of crime. The 2014 Budget proposes \$15,000,000 for this program.

**Residential Substance Abuse Treatment (RSAT).**—The Residential Substance Abuse Treatment program for state prisoners helps states and units of local government develop, implement, and improve residential substance abuse treatment programs in correctional facilities, and establish and maintain community-based aftercare services for probationers and parolees. Ultimately, the program's goal is to help offenders become drug-free and learn the skills needed to sustain themselves upon return to the community. The 2014 Budget proposes \$19,000,000 for this program.

**Prison Rape Prevention and Prosecution Program.**—This program supports the Prison Rape Elimination Act (PREA) Grant Program, including training and technical assistance to the grantees in meeting their PREA goals and objectives, training and technical assistance to the field at large in implementing PREA standards, and the development of a national set of measures by the Bureau of Justice Statistics (BJS) describing the circumstances surrounding incidents of sexual assault in correctional institutions. The data collections provide facility-level estimates of sexual assault for a 12-month period. The 2014 Budget proposes \$10,500,000 for this program.

**Capital Litigation Improvement Program.**—The Capital Litigation Improvement Program provides grants for the training of defense counsel, state and local prosecutors, and state trial judges, with the goal of improving the quality of representation and the reliability of verdicts in state capital cases. The training focuses on investigation techniques; pre-trial and trial procedures, including the use of expert testimony and forensic science evidence; advocacy in capital cases; and capital case sentencing-phase procedures. The 2014 Budget proposes \$2,000,000 for this program.

**Drug, Mental Health, and Problem Solving Courts and Strategies.**—This initiative will assist state, local, and tribal governments in developing and implementing problem solving strategies, including specialized courts, that can serve as successful alternatives to the prosecution and incarceration of offenders with drug, mental health, and special needs. The initiative will provide grants, training, and technical assistance to help state, local, and tribal grantees develop and implement drug, mental health, and other problem solving courts and approaches. The 2014 Budget proposes \$44,000,000 for this program.

**Prescription Drug Monitoring Program.**—The purpose of the Harold Rogers Prescription Drug Monitoring Program (PDMP) is to enhance the capacity of regulatory and law enforcement

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued agencies to collect and analyze controlled substance prescription data. The 2014 Budget proposes \$7,000,000 for this program.

*National Public Sex Offender Registry.*—This program supports the maintenance and continued development of the Dru Sjodin National Sex Offender Public Website, which links the state, territory, and tribal sex offender registries. The 2014 Budget proposes \$1,000,000 for this program.

*Justice Reinvestment Initiative.*—Justice reinvestment is a data-driven approach to improve public safety, reduce corrections and related criminal justice spending, and reinvest savings in effective strategies that can decrease crime and strengthen neighborhoods. The initiative provides technical assistance and competitive financial support to states, counties, cities, and tribal authorities that are either currently engaged in justice reinvestment activities or are preparing to undertake such work. The 2014 Budget proposes \$85,000,000 for this program.

*Second Chance Act Program.*—The Second Chance Act Program provides grants to establish and expand various adult and juvenile offender reentry programs and funds reentry-related research. The 2014 Budget proposes \$119,000,000 for this program. Of this total, \$10,000,000 is for the Smart Probation Program to help states, localities, and tribes develop comprehensive, innovative probation and parole supervision programs and \$5,000,000 is for a new Children of Incarcerated Parents Demonstration Grant Program. In addition, up to \$40,000,000 may be used for performance-based awards for Pay-for-Success projects, including those implementing the Permanent Supportive Housing Model.

*Hawaii Opportunity Probation with Enforcement (HOPE).*—The HOPE program uses "swift and certain sanctions" in supervising probationers, especially drug offenders and others at high risk of recidivism in the criminal justice system. While evaluation results have been promising, the program needs to be replicated and evaluated elsewhere. For 2014, OJP will fund replication sites and a randomized controlled trial to determine the effectiveness of this promising intervention. The 2014 Budget proposes \$10,000,000 for this effort.

*National Criminal History Improvement (NCHIP).*—The National Criminal History Improvement (NCHIP) provides grants and technical assistance to help states and territories improve the quality, timeliness, and immediate accessibility of their criminal history, mental health, and related records needed to support the National Instant Background Check System. The 2014 Budget proposes \$50,000,000 for this program.

*National Instant Background Check System (NICS).*—This National Instant Background Check System (NICS) program provides grants to assist state and tribal governments in updating NICS with the criminal history and mental health records of individuals who are precluded from purchasing or possessing guns. The 2014 Budget proposes \$5,000,000 for this program.

*Byrne Criminal Justice Innovation Program.*—This program is a central component of the Administration's new Promise Zones: high-poverty communities where the Federal government will work with local leadership to invest and engage more intensely to create jobs, leverage private investment, increase economic activity, reduce violence and expand educational opportunities. In addition to the \$35,000,000 proposed for the Byrne Criminal Justice Innovation Program, the 2014

Budget includes companion investments of \$200,000,000 and \$10,000,000, respectively, in HUD's Neighborhood Stabilization and Rental Assistance Demonstration programs, and \$300,000,000 in the Department of Education's Promise Neighborhoods program, as well as tax incentives to promote investment and economic growth.

*State and Local Gun Crime and Gang Violence Reduction Program.*—This program supports state, local, and tribal efforts to reduce violent crime resulting from gang activity and the criminal misuse of firearms. The 2014 Budget proposes \$5,000,000 for this program.

*Economic, High-technology, and Cybercrime Prevention Program.*—This program provides grants, training, and technical assistance to support efforts to combat economic, high-technology, and Internet crimes, including intellectual property crimes of counterfeiting and piracy. The 2014 Budget proposes \$9,000,000 for this program, including \$2,500,000 for intellectual property enforcement, including prosecution, prevention, training, and technical assistance.

*Victims of Trafficking.*—The primary goal of the Victims of Trafficking program is to empower local law enforcement to better identify and rescue trafficking victims. An important secondary goal is the interdiction of trafficking in its various forms, whether it is forced prostitution, indentured servitude, peonage, or other forms of forced labor. The 2014 Budget proposes \$10,500,000 for this program.

*DNA Initiative.*—The DNA Initiative is a comprehensive strategy to maximize the use of forensic DNA technology in the criminal justice system. The program provides capacity building grants, training, and technical assistance to state and local governments, and supports innovative research on DNA analysis and use of forensic evidence. The 2014 Budget proposes \$100,000,000 for this program, including \$20,000,000 to address backlogs of rape kit-related DNA evidence.

*Children Exposed to Violence.*—The Attorney General's Initiative on Children Exposed to Violence will support research and provide demonstration grants, training and technical assistance, in partnership with the Department of Health and Human Services, to encourage the development of comprehensive intervention and treatment programs to assist children who are victims of, or witnesses to, violence. The 2014 Budget proposes \$23,000,000 for this program.

*Implementation of the Adam Walsh Act.*—This program will help state, local, and tribal jurisdictions to develop and enhance sex offender registration and notification systems that are in compliance with the Sex Offender Registration and Notification Act through discretionary grants and technical assistance. The 2014 Budget proposes \$20,000,000 for this program.

Object Classification (in millions of dollars)

Identification code 15-0404-0-1-754	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	17	17	17
25.3 Other goods and services from Federal sources .....	87	88	88
41.0 Grants, subsidies, and contributions .....	1,048	1,063	900
99.0 Direct obligations .....	1,152	1,168	1,005
99.0 Reimbursable obligations .....	14	13	13
99.9 Total new obligations .....	1,166	1,181	1,018

WEED AND SEED PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 15-0334-0-1-751	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	3		
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-3		
1160 Appropriation, discretionary (total) .....	-3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	3	
3020 Outlays (gross) .....	-9	-3	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	3	
3200 Obligated balance, end of year .....	3		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-3		
Outlays, gross:			
4011 Outlays from discretionary balances .....	9	3	
4180 Budget authority, net (total) .....	-3		
4190 Outlays, net (total) .....	9	3	

COMMUNITY ORIENTED POLICING SERVICES PROGRAMS

For activities authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322); the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); and the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"), \$439,500,000, to remain available until expended: Provided, That, in addition to any amounts that are otherwise available (or authorized to be made available) for training and technical assistance, up to 5 percent of funds made available to the Office of Community Oriented Policing Services for grants may be used to provide training and technical assistance: Provided further, That, in addition to any amounts that are otherwise available (or authorized to be made available) for research, evaluation or statistical purposes, up to 2 percent of funds made available to the Office of Community Oriented Policing Services (COPS) for grants may be used for such purposes, including an evaluation administered with assistance from the Department of Education on the effectiveness of COPS-funded school resource officers and any other studies evaluating the impact of advancing public safety through community policing.

Of the amount provided under this heading:

- (1) \$12,500,000 is for anti-methamphetamine-related activities, which shall be available to reimburse the Drug Enforcement Administration;
- (2) \$20,000,000 is for improving tribal law enforcement, including hiring, equipment, training, and anti-methamphetamine activities; and
- (3) \$257,000,000 is for grants under section 1701 of title I of the 1968 Act (42 U.S.C. 3796dd) for the hiring and rehiring of additional career law enforcement officers under part Q of such title notwithstanding subsections (i) and (h) of such section: Provided, That, notwithstanding subsection (g) of the 1968 Act (42 U.S.C. 3796dd), the Federal share of the costs of a project funded by such grants may not exceed 75 percent unless the Director of the Office of Community Oriented Policing Services waives, wholly or in part, the requirement of a non-Federal contribution to the costs of a project: Provided further, That, notwithstanding 42 U.S.C. 3796dd-3(c), funding for hiring or rehiring a career law enforcement officer may not exceed \$125,000, unless the Director of the Office of Community Oriented Policing Services grants a waiver from this limitation: Provided further, That in addition to the purposes set out in subsection 1701(b)(1) and (2) of the 1968 Act (42 U.S.C. 3796dd(b)(1)

and (2)), grants made with funds provided in this paragraph may be used for the hiring of non-sworn law enforcement personnel in amounts not to exceed \$50,000,000: Provided further, That, within the amounts appropriated, \$15,000,000 shall be transferred to the Tribal Resources Grant Program to be used for the hiring and rehiring of tribal law enforcement officers: Provided further, That, of the amounts appropriated under this paragraph, \$15,000,000 is for community policing development activities in furtherance of the purposes in section 1701: Provided further, That within the amounts appropriated under this paragraph, \$10,000,000 is for the collaborative reform model of technical assistance in furtherance of the purposes in Section 1701: Provided further, That, of the amounts appropriated under this paragraph, notwithstanding subsections (f) and (h) of section 1701 of title I of the 1968 Act, 5 percent may be awarded at the discretion of the Attorney General to address special needs, contingencies, and requirements; and

(4) \$150,000,000 is for a comprehensive school safety program of grants and technical assistance to improve school safety through hiring, equipment, training and responding to other critical needs as authorized by sections 1701 and 2701 of the 1968 Act (42 U.S.C. 3796dd and 42 U.S.C. 3797a): Provided, That in addition to the hiring of sworn school resource officers under 42 U.S.C. 3796dd(b)(12), grants made with funds under this paragraph may be used for the hiring of non-sworn school safety personnel, including civilian public safety personnel, school social workers, and child and adolescent psychiatrists: Provided further, That the terms school counselor, school psychologist, other qualified psychologist, school social worker, and child and adolescent psychiatrist are as defined by Section 5421(e) of the Elementary and Secondary Education Act of 1965, as amended: Provided further, That notwithstanding 42 U.S.C. 3796dd-3(c), funding for the hiring of a school safety position may not exceed \$125,000, unless the Director of the Office of Community Oriented Policing Services grants a waiver from this limitation: Provided further, That notwithstanding 42 U.S.C. 3797a(d)(1), the matching funds requirement set forth in 42 U.S.C. 3796dd(g) shall apply to this program: Provided further, That notwithstanding 42 U.S.C. 3797d(1), for the purposes of this program, "school" means any elementary or secondary school as set forth in 42 U.S.C. 3796dd(b)(12): Provided further, That grants may be awarded and technical assistance may be provided under this program to the entities set forth in 42 U.S.C. 3796dd(a): Provided further, That this program shall be administered with assistance from the Department of Education: Provided further, That the Attorney General may transfer such amounts to the Department of Education, from the amounts appropriated under this paragraph, as may be necessary to administer this program.

(CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$14,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15-0406-0-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Public safety and community policing grants .....	150		
0007 Management and administration .....	35	37	37
0008 Tribal Law Enforcement .....		28	18
0009 COPS Hiring Program .....		122	235
0010 Methamphetamine Enforcement and Cleanup .....			13
0011 Comprehensive School Safety .....			137
0900 Total new obligations .....	185	187	440
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	5	5
1021 Recoveries of prior year unpaid obligations .....	6	24	14

COMMUNITY ORIENTED POLICING SERVICES PROGRAMS—Continued  
Program and Financing—Continued

Identification code 15-0406-0-1-754		2012 actual	2013 CR	2014 est.
1050	Unobligated balance (total) .....	28	29	19
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	199	200	440
1120	Appropriations transferred to other accts [15-1100] .....	-13	-13	.....
1131	Unobligated balance of appropriations permanently reduced .....	-24	-24	-14
1160	Appropriation, discretionary (total) .....	162	163	426
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	36	.....	.....
1701	Change in uncollected payments, Federal sources .....	-36	.....	.....
1900	Budget authority (total) .....	162	163	426
1930	Total budgetary resources available .....	190	192	445
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,606	1,131	639
3010	Obligations incurred, unexpired accounts .....	185	187	440
3020	Outlays (gross) .....	-647	-655	-367
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6	-24	-14
3041	Recoveries of prior year unpaid obligations, expired .....	-7	.....	.....
3050	Unpaid obligations, end of year .....	1,131	639	698
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-39	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired .....	36	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,567	1,128	636
3200	Obligated balance, end of year .....	1,128	636	695
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	162	163	426
Outlays, gross:				
4010	Outlays from new discretionary authority .....	33	12	81
4011	Outlays from discretionary balances .....	614	643	286
4020	Outlays, gross (total) .....	647	655	367
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-36	.....	.....
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	36	.....	.....
4070	Budget authority, net (discretionary) .....	162	163	426
4080	Outlays, net (discretionary) .....	611	655	367
4180	Budget authority, net (total) .....	162	163	426
4190	Outlays, net (total) .....	611	655	367

The Office of Community Oriented Policing Services (COPS) administers grant programs to assist law enforcement agencies in advancing public safety through the implementation of community policing strategies in jurisdictions of all sizes across the country. Community policing represents a shift from more traditional law enforcement and focuses on proactive collaborative efforts and the use of problem-solving techniques to prevent and respond to crime, social disorder, and fear of crime. COPS provides funding to state, local, and tribal law enforcement agencies and other public and private entities to hire and train community policing professionals, acquire and deploy cutting-edge crime-fighting technologies, and develop, test, and implement innovative policing strategies. COPS funding also provides training and technical assistance to community members, local government leaders, and all levels of state, local, and tribal law enforcement.

The 2014 Budget requests \$439,500,000 for COPS programs, including these initiatives:

**Hiring Grants.**—The hiring program provides funding to state, local and tribal law enforcement agencies to hire additional sworn law enforcement officers to be deployed in community

policing activities. The 2014 Budget proposes \$257,000,000 for this program. Within this amount, up to \$50,000,000 may be used for non-sworn law enforcement positions to redeploy sworn law enforcement officers who are currently filling these positions to community policing activities. Within this amount, \$15,000,000 also will be dedicated toward the hiring of tribal law enforcement officers and \$15,000,000 will be used to fund training and technical assistance that supports the integration of community policing strategies throughout the law enforcement community so that it can more effectively address emerging law enforcement and community issues. Also within this amount, \$10,000,000 will be used to fund the collaborative reform model of technical assistance.

**Indian Country.**—This program provides funding and resources to meet the public safety needs of law enforcement and advance community policing in Native American communities. The 2014 Budget proposes \$20,000,000 for this program.

**Methamphetamine.**—This program provides assistance to help stem clandestine methamphetamine manufacture and its consequences. The 2014 Budget proposes \$12,500,000 for this program.

**Comprehensive School Safety Program.**—This program provides funding to support the hiring of school safety personnel, including but not limited to sworn school resource officers, civilian public safety positions, school psychologists, social workers, and counselors. The program will be administered by COPS in collaboration with the Department of Education to ensure a comprehensive approach to promoting school safety. The 2014 Budget proposes \$150,000,000 for this program.

Object Classification (in millions of dollars)

Identification code 15-0406-0-1-754		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	13	14	14
11.5	Other personnel compensation .....	.....	1	1
11.9	Total personnel compensation .....	13	15	15
12.1	Civilian personnel benefits .....	4	4	4
21.0	Travel and transportation of persons .....	.....	1	1
23.1	Rental payments to GSA .....	4	4	4
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	4	3	3
25.3	Other goods and services from Federal sources .....	9	9	11
41.0	Grants, subsidies, and contributions .....	149	149	400
99.9	Total new obligations .....	185	187	440

Employment Summary

Identification code 15-0406-0-1-754		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	128	128	128

OFFICE ON VIOLENCE AGAINST WOMEN

VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For grants, contracts, cooperative agreements, and other assistance for the prevention and prosecution of violence against women, as authorized by the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3711 et seq.) ("the 1968 Act"); the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) ("the 1994 Act"); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Prosectorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108-21); the Juvenile Justice and Delinquency Prevention Act of 1974 (42 U.S.C. 5601 et seq.) ("the 1974 Act");

the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386) ("the 2000 Act"); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); and the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4); and for related victims services, \$412,500,000, to remain available until expended: Provided, That except as otherwise provided by law, not to exceed 8 percent of funds made available under this heading may be used for expenses related to evaluation, training, and technical assistance: Provided further, That, in addition to any amounts that are otherwise available (or authorized to be made available) for the research and evaluation purposes set forth in section 40002(b)(7) of the 1994 Act, up to 2 percent of funds made available under this heading may be used for such purposes, except that this proviso shall not apply to funds provided for grants to combat violence against women, as authorized by part T of the 1968 Act, and grants for sexual assault victims assistance, as authorized by section 41601(b) of the 1994 Act: Provided further, That of the amount provided—

(1) \$189,000,000 is for grants to combat violence against women, as authorized by part T of the 1968 Act;

(2) \$22,000,000 is for transitional housing assistance grants for victims of domestic violence, dating violence, stalking or sexual assault as authorized by section 40299 of the 1994 Act;

(3) \$3,000,000 is for the National Institute of Justice for research and evaluation of violence against women and related issues addressed by grant programs of the Office on Violence Against Women, which shall be transferred to "Research, Evaluation, and Statistics" for administration by the Office of Justice Programs;

(4) \$10,000,000 is for a grant program to provide services to advocate for and respond to youth victims of domestic violence, dating violence, sexual assault, and stalking; assistance to children and youth exposed to such violence; programs to engage men and youth in preventing such violence; and assistance to middle and high school students through education and other services related to such violence: Provided, That unobligated balances available for the programs authorized by sections 41201, 41204, 41303 and 41305 of the 1994 Act shall be available for this program: Provided further, That 10 percent of the total amount available for this grant program shall be available for grants under the program authorized by section 2015 of the 1968 Act; Provided further, That the definitions and grant conditions in section 40002 of the 1994 Act shall apply to this program;

(5) \$50,000,000 is for grants to encourage arrest policies as authorized by part U of the 1968 Act, of which \$4,000,000 is for a homicide reduction initiative;

(6) \$23,000,000 is for sexual assault victims assistance, as authorized by section 41601 of the 1994 Act;

(7) \$37,500,000 is for rural domestic violence and child abuse enforcement assistance grants, as authorized by section 40295 of the 1994 Act;

(8) \$9,000,000 is for grants to reduce violent crimes against women on campus, as authorized by section 304 of the 2005 Act;

(9) \$41,000,000 is for legal assistance for victims, as authorized by section 1201 of the 2000 Act;

(10) \$4,250,000 is for enhanced training and services to end violence against and abuse of women in later life, as authorized by section 40802 of the 1994 Act;

(11) \$16,000,000 is for a grant program to provide comprehensive support to victims of domestic violence and child sexual abuse and their families in family law matters in the civil justice system, including safe visitation and exchange services, improved court responses, and legal assistance to victims, protective parents, and their children: Provided, That unobligated balances available for the programs authorized by section 1301 of the 2000 Act and section 41002 of the 1994 Act shall be available for this program: Provided further, That 10 percent of the total amount available for this grant program shall be available for grants under the program authorized by section 2015 of the 1968 Act; Provided further, That the definitions and grant conditions in section 40002 of the 1994 Act shall apply to this program;

(12) \$5,750,000 is for education and training to end violence against and abuse of women with disabilities, as authorized by section 1402 of the 2000 Act;

(13) \$500,000 is for the National Resource Center on Workplace Responses to assist victims of domestic violence, as authorized by section 41501 of the 1994 Act;

(14) \$1,000,000 is for analysis and research on violence against Indian women, including as authorized by section 904 of the 2005 Act: Provided, That such funds may be transferred to "Research, Evaluation, and Statistics" for administration by the Office of Justice Programs; and

(15) \$500,000 is for the Office on Violence Against Women to establish a national clearinghouse that provides training and technical assistance on issues relating to sexual assault of American Indian and Alaska Native women.

(CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$6,200,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 15-0409-0-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Grants to Combat Violence Against Women (STOP) .....	169	182	182
0003 Research and Evaluation of Violence Against Women (NIJ) .....	3	3	3
0004 Management and administration .....	18	18	18
0005 Transitional Housing .....	28	24	21
0006 Consolidated Youth Oriented Program .....	4	10	10
0007 Grants to Encourage Arrest Policies .....	47	48	48
0008 Rural Domestic Violence and Child Abuse Enforcement Assistance .....	40	32	35
0009 Legal Assistance Program .....	36	40	40
0010 Safe Haven Program .....	20	11	.....
0011 Campus Violence .....	10	9	9
0012 Disabilities Program .....	6	5	5
0013 Elder Program .....	5	4	4
0014 Sexual Assault Services .....	24	22	22
0015 Court Training Program .....	10	4	.....
0016 Indian Country - Sexual Assault Clearinghouse .....	1	1	.....
0017 National Resource Center on Workplace Responses .....	2	1	.....
0018 Research on Violence Against Indian Women .....	1	1	.....
0019 Safe Havens Court Training Consolidation .....	.....	.....	16
0900 Total new obligations .....	424	415	413
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50	36	36
1011 Unobligated balance transfer from other accts [15-0404] ....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	10	15	6
1050 Unobligated balance (total) .....	61	51	42
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	413	415	413
1131 Unobligated balance of appropriations permanently reduced .....	-15	-15	-6
1160 Appropriation, discretionary (total) .....	398	400	407
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	.....	.....
1701 Change in uncollected payments, Federal sources .....	-2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1	.....	.....
1900 Budget authority (total) .....	399	400	407
1930 Total budgetary resources available .....	460	451	449
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	36	36	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	946	950	859
3010 Obligations incurred, unexpired accounts .....	424	415	413
3020 Outlays (gross) .....	-408	-491	-539
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	-15	-6
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	950	859	727
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....

OFFICE ON VIOLENCE AGAINST WOMEN—Continued  
Program and Financing—Continued

Identification code 15-0409-0-1-754	2012 actual	2013 CR	2014 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	944	950	859
3200 Obligated balance, end of year .....	950	859	727
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	399	400	407
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	76	85
4011 Outlays from discretionary balances .....	394	415	454
4020 Outlays, gross (total) .....	408	491	539
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	2		
4070 Budget authority, net (discretionary) .....	398	400	407
4080 Outlays, net (discretionary) .....	405	491	539
4180 Budget authority, net (total) .....	398	400	407
4190 Outlays, net (total) .....	405	491	539

The Budget requests \$412,500,000 for programs administered by the Office on Violence Against Women (OVW) to prevent and respond to violence against women and related victims. OVW provides national leadership against domestic violence, dating violence, sexual assault, and stalking, and supports a multifaceted approach to responding to these crimes. Funding will support the Prevention and Prosecution of Violence Against Women and Related Victim Services Program. For 2014, funding requested for this account will support the following initiatives:

**STOP Violence Against Women Formula Grant Program.**—The STOP Program is designed to encourage the development and strengthening of effective law enforcement and prosecution strategies to combat violent crimes against women and the development and strengthening of victim services in cases involving violent crimes against women. The 2014 Budget proposes \$189,000,000 for this program.

**Transitional Housing Assistance Program.**—Transitional Housing grants support programs that provide assistance to victims of domestic violence, dating violence, sexual assault, and stalking who are in need of transitional housing, short-term housing assistance, and related support services. The 2014 Budget proposes \$22,000,000 for this program.

**Research on Violence Against Women (National Institute of Justice).**—This program supports research on violence against women. The 2014 Budget proposes \$3,000,000 for this program.

**Grants to Encourage Arrest Policies.**—This discretionary grant program is designed to encourage state, local, and tribal governments and state, local, and tribal courts to treat domestic violence, dating violence, sexual assault, and stalking as serious violations of criminal law requiring the coordinated involvement of the entire criminal justice system. The 2014 Budget proposes \$50,000,000 for this program.

**Homicide Reduction Initiative.**—This initiative is designed to address the urgent problem of homicide of the abused, especially those in escalating domestic violence situations. For 2014, \$4,000,000 will be made available from the Grants to Encourage Arrest Policies Program.

**Sexual Assault Services Program.**—This program provides funding for states and territories, tribes, state sexual assault coalitions, tribal coalitions, and culturally specific organizations. Overall, the purpose of this program is to provide intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault, family

and household members of victims, and those collaterally affected by sexual assault. The 2014 Budget proposes \$23,000,000 for this program.

**Rural Domestic Violence Program.**—This program enhances the safety of child, youth and adult victims of domestic violence, dating violence, sexual assault, and stalking by supporting projects uniquely designed to address and prevent these crimes in rural jurisdictions. The 2014 Budget proposes \$37,500,000 for this program.

**Grants to Reduce Violence Crimes Against Women on Campus.**—The Campus Program develops and strengthens victim services in cases involving violent crimes against women on campuses, and aims to strengthen security and investigative strategies to prevent and prosecute violent crimes against women on campuses. The 2014 Budget proposes \$9,000,000 for this program.

**Legal Assistance for Victims Grant Program.**—The Legal Assistance Program increases the availability of civil and criminal legal assistance in order to provide effective aid to victims who are seeking relief in legal matters arising as a consequence of abuse or violence. The 2014 Budget proposes \$41,000,000 for this program.

**Enhanced Training and Services to End Violence Against and Abuse of Women Later in Life.**—This program provides or enhances training and services to address elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, or stalking, involving victims who are 50 years of age or older. The 2014 Budget proposes \$4,250,000 for this program.

**Education and Training to End Violence Against and Abuse of Women with Disabilities Grant Program.**—The Disabilities Grant Program builds the capacity of jurisdictions to address domestic violence, dating violence, stalking and sexual assault against individuals with disabilities through the creation of multi-disciplinary teams. The 2014 Budget proposes \$5,750,000 for this program.

**Consolidation of Youth-Oriented Programming.**—This consolidated grant program consolidates the purpose areas of four programs under one competitive program. The four programs included in the consolidation include: Services to Advocate for and Respond to Youth, Grants to Assist Children and Youth Exposed to Violence, Engaging Men and Youth in Preventing Domestic Violence, and Supporting Teens through Education. This consolidation will allow OVW to leverage resources for maximum impact in communities by funding comprehensive projects that include both youth service and prevention components. The 2014 Budget proposes \$10,000,000 for this program.

**Indian Country—Sexual Assault Clearinghouse.**—This funding will support the establishment and maintenance of a national clearinghouse on the sexual assault of American Indian and Alaska Native women. This project will offer a one-stop shop for tribes to request free on-site training and technical assistance. The 2014 Budget proposes \$500,000 for this program.

**National Resource Center on Workplace Response.**—The Violence Against Women Act of 2005 provided for an award to establish and operate a national resource center on workplace responses to assist victims of domestic and sexual violence. The center will provide information and assistance to employers and labor organizations to better equip them to respond to victims. The 2014 Budget proposes \$500,000 for this program.

**Enhancing Safety for Victims and their Children in a Family Matter.**—This funding will provide comprehensive support to victims of domestic violence and child sexual abuse and their families in family law matters in the civil justice system, includ-

ing safe visitation and exchange services, improved court responses, and legal assistance to victims, protective parents, and their children. This program represents a consolidation of the Safe Havens and Court Training and Improvements program. The 2014 Budget proposes \$16,000,000 for this program.

For 2014, funding requested for this account also will support Analysis and Research on Violence Against Indian Women, a program that is administered by the Office of Justice Programs and supports comprehensive research on violence against Native American women. The 2014 Budget proposes \$1,000,000 for this program.

**Object Classification** (in millions of dollars)

Identification code 15-0409-0-1-754	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	5	9	9
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	6	9	9
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....		1	1
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	2	2	2
25.3 Other goods and services from Federal sources .....	10	5	5
41.0 Grants, subsidies, and contributions .....	400	392	390
99.9 Total new obligations .....	424	415	413

**Employment Summary**

Identification code 15-0409-0-1-754	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	58	58	58

**JUVENILE JUSTICE PROGRAMS**

For grants, contracts, cooperative agreements, and other assistance authorized by the *Juvenile Justice and Delinquency Prevention Act of 1974* ("the 1974 Act"); the *Omnibus Crime Control and Safe Streets Act of 1968* ("the 1968 Act"); the *Violence Against Women and Department of Justice Reauthorization Act of 2005* (Public Law 109-162) ("the 2005 Act"); the *Missing Children's Assistance Act* (42 U.S.C. 5771 et seq.); the *Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003* (Public Law 108-21); the *Victims of Child Abuse Act of 1990* (Public Law 101-647) ("the 1990 Act"); the *Adam Walsh Child Protection and Safety Act of 2006* (Public Law 109-248) ("the Adam Walsh Act"); the *PROTECT Our Children Act of 2008* (Public Law 110-401); and other juvenile justice programs, \$332,500,000, to remain available until expended as follows:—

(1) \$70,000,000 for programs authorized by section 221 of the 1974 Act, and for training and technical assistance to assist small, non-profit organizations with the Federal grants process: Provided, That notwithstanding sections 103(26) and 223(a)(11)(A) of the 1974 Act, for purposes of funds appropriated in this Act—(a) the term "adult inmate" shall be understood to mean an individual who has been arrested and is in custody as the result of being charged as an adult with a crime, but shall not be understood to include anyone under the care and custody of a juvenile detention or correctional agency, or anyone who is in custody as the result of being charged with or having committed an offense described in Section 223(a)(11)(A) of the 1974 Act; (b) the juveniles described in Section 223(a)(11)(A) of the 1974 Act who have been charged with or who have committed an offense that would not be criminal if committed by an adult shall be understood to include individuals under 18 who are charged with or who have committed an offense of purchase, consumption, or possession of any alcoholic beverage or tobacco product; and (c) Section 223(a)(11)(A)(ii) of the 1974 Act shall apply only to those individuals described in Section 223(a)(11)(A) who, while remaining under the jurisdiction of the court on the basis of the offense described therein, are charged with or commit a violation of a valid court order thereof;

- (2) \$58,000,000 for youth mentoring grants;
- (3) \$56,000,000 for delinquency prevention, as authorized by sections 261 and 262 of the 1974 Act; of which \$20,000,000 is for competitive grants to police and juvenile justice authorities in communities that have been awarded Department of Education School Climate Transformation Grants to collaborate on use of evidence-based positive behavior strategies to increase school safety and reduce juvenile arrests;
- (4) \$30,000,000 for the Juvenile Accountability Block Grants program as authorized by part R of title I of the 1968 Act; Provided, That Guam shall be considered a State for purposes thereof;
- (5) \$20,000,000 for incentive grants to assist states that use Juvenile Accountability Block Grants program funds for evidence-based juvenile justice system realignment to foster better outcomes for affected juveniles;
- (6) \$25,000,000 for community-based violence prevention initiatives, of which no less than \$12,500,000 is for public health approaches to reducing shootings and violence;
- (7) \$4,000,000 for grants and technical assistance in support of the National Forum on Youth Violence Prevention;
- (8) \$67,000,000 for missing and exploited children programs, including as authorized by sections 404(b) and 405(a) of the 1974 Act, of which \$22,000,000 is for grants and activities concerning Internet crimes against children, including as authorized by the PROTECT Our Children Act of 2008 (Public Law 110-401);
- (9) \$500,000 for an Internet site providing information and resources on children of incarcerated parents;
- (10) \$2,000,000 for competitive grants focusing on girls in the juvenile justice system:

Provided, That not more than 10 percent of each amount may be used for research, evaluation, and statistics activities designed to benefit the programs or activities authorized: Provided further, That not more than 5 percent of each amount may be used for training and technical assistance: Provided further, That the previous two provisos shall not apply to grants and projects authorized by sections 261 and 262 of the 1974 Act and to missing and exploited children programs.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0405-0-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Part B: Formula Grants .....	36	37	64
0002 Youth Mentoring .....	70	73	53
0003 Title V - Local Delinquency Prevention Incentive Grants .....	18	18	51
0004 Victims of Child Abuse .....	16	17	
0007 Juvenile Accountability Block Grant Program .....	28	28	28
0008 Community-Based Violence Prevention Initiatives .....	7	7	23
0012 National Forum on Youth Violence Prevention .....	2	2	4
0013 Missing and Exploited Children .....	58	60	62
0014 Child Abuse Training for Judicial Personnel .....	1	1	
0015 Management and Administration .....	22	21	27
0016 Juvenile Justice Realignment Incentive Grants .....			18
0017 Competitive Grants for Girls in the Juvenile Justice System .....			2
0018 Children of Incarcerated Parents Web Portal .....			1
0799 Total direct obligations .....	258	264	333
0801 Reimbursable program .....	6	2	6
0900 Total new obligations .....	264	266	339
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	5	5
1021 Recoveries of prior year unpaid obligations .....	7	9	9
1050 Unobligated balance (total) .....	14	14	14
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	263	264	333
1120 Appropriations transferred to other accts [15-0401] .....	-5		
1131 Unobligated balance of appropriations permanently reduced .....	-10	-9	-8
1160 Appropriation, discretionary (total) .....	248	255	325
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	8	2	

**JUVENILE JUSTICE PROGRAMS—Continued**  
**Program and Financing—Continued**

Identification code 15-0405-0-1-754		2012 actual	2013 CR	2014 est.
1701	Change in uncollected payments, Federal sources .....	-1		
1750	Spending auth from offsetting collections, disc (total) .....	7	2	
1900	Budget authority (total) .....	255	257	325
1930	Total budgetary resources available .....	269	271	339
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5	5	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	675	567	452
3010	Obligations incurred, unexpired accounts .....	264	266	339
3020	Outlays (gross) .....	-365	-372	-352
3040	Recoveries of prior year unpaid obligations, unexpired .....	-7	-9	-9
3050	Unpaid obligations, end of year .....	567	452	430
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	670	563	448
3200	Obligated balance, end of year .....	563	448	426
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	255	257	325
Outlays, gross:				
4010	Outlays from new discretionary authority .....	41	51	65
4011	Outlays from discretionary balances .....	324	321	287
4020	Outlays, gross (total) .....	365	372	352
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-8	-2	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4070	Budget authority, net (discretionary) .....	248	255	325
4080	Outlays, net (discretionary) .....	357	370	352
4180	Budget authority, net (total) .....	248	255	325
4190	Outlays, net (total) .....	357	370	352

The 2014 Budget requests \$332,500,000 for the Office of Justice Programs' (OJP) Juvenile Justice Programs. This appropriation account includes programs that support state, local and tribal community efforts to develop and implement effective and coordinated prevention and intervention juvenile programs. Such programs are designed to reduce juvenile delinquency and crime, and improve the juvenile justice system so that it protects public safety, holds offenders accountable, and provides treatment and rehabilitative services tailored to the needs of juveniles and their families.

The Nation's youth face an ever changing set of problems and barriers to successful lives. As a result, OJP is constantly challenged to develop enlightened policies and programs to address the needs and risks of those youth who enter the juvenile justice system. OJP remains committed to leading the nation in efforts addressing these challenges, which include: preparing juvenile offenders to return to their communities following release from secure correctional facilities; dealing with the small percentage of serious, violent, and chronic juvenile offenders; helping states address the disproportionate confinement of minority youth; and helping children who have been victimized by crime and child abuse. This request includes the following programs:

**Part B: Formula Grants.**—This program supports state, local, and tribal efforts to develop and implement comprehensive state juvenile justice plans. Funds also may be used for research, evaluation, statistics, other informational activities, and training and technical assistance. Funding also is available to help small, nonprofit organizations, including faith-based organizations,

with the federal grants process. The 2014 Budget proposes \$70,000,000 for this program.

**Youth Mentoring.**—The Youth Mentoring program supports faith and community-based, nonprofit, and for-profit agencies in the enhancement and expansion of existing mentoring strategies and programs, as well as assistance to develop, implement, and pilot test mentoring strategies and programs designed for youth in the juvenile justice, reentry, and foster care systems. In addition, OJP supports training and technical assistance to the sites to assist with adapting existing mentoring approaches to meet the needs of the target populations and to identify and maintain partnerships. The 2014 Budget proposes \$58,000,000 for this program.

**Title V Local Delinquency Prevention Incentive Grants Program.**—The Title V program provides resources through state advisory groups to units of local government for a broad range of delinquency prevention programs and activities to benefit youth who are at risk of having contact with the juvenile justice system. The 2014 Budget proposes \$56,000,000 for this program. Of this total, \$20,000,000 will be used for Juvenile Justice and Education Collaboration Assistance, a new initiative aimed at reducing the use of arrest and juvenile justice courts as a response to non-serious youth misbehaving in and around schools. This program will operate in concert with Department of Education School Climate Transformation Grants and other initiatives focused on improving school safety and climate.

**Community-Based Violence Prevention Initiatives.**—Based on the violence reduction work of several cities and public health research of recent years, OJP will provide funding for community-based strategies that focus on street-level outreach, conflict mediation, and the changing of community norms to reduce violence, particularly shootings. The 2014 Budget proposes \$25,000,000 for this program.

**National Forum on Youth Violence Prevention Initiative.**—This forum was created for participating localities to share challenges and promising strategies with each other and to explore how federal agencies can better support local efforts to curb youth and gang violence. The 2014 Budget proposes \$4,000,000 for this program.

**Juvenile Accountability Block Grant (JABG).**—The JABG program funds block grants to states to support a variety of accountability-based juvenile justice programs. The 2014 Budget proposes \$30,000,000 for this program.

**Juvenile Justice Realignment Incentive Grants.**—This new initiative will provide supplementary incentive grant awards to assist states that use JABG funds for evidence-based juvenile justice system realignment to foster better outcomes for system-involved youth, less costly use of incarceration, and increased public safety. The 2014 Budget proposes \$20,000,000 for this program.

**Missing and Exploited Children Program.**—This program supports efforts to prevent the abduction and exploitation of children, including funding for the Internet Crimes Against Children and AMBER Alert Programs. The 2014 Budget proposes \$67,000,000 for this program and of this amount, \$22,000,000 will be set aside to support assistance to combat internet crime against children, as provided for by the PROTECT our Children Act of 2008.

**Competitive Grants Focusing on Girls in the Juvenile Justice System.**—The 2014 Budget proposes \$2,000,000 for a new program that will provide competitive demonstration grants focusing on girls in the juvenile justice system through responses and strategies that consider gender and the special needs of girls.

*Children of Incarcerated Parents (COIP) Web Portal.*—The 2014 Budget proposes \$500,000 for the development and implementation of a web portal that would consolidate information regarding federal resources, grant opportunities, best and promising practices, and ongoing government initiatives that address and support children of incarcerated parents and their caregivers.

**Object Classification** (in millions of dollars)

Identification code 15-0405-0-1-754	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	7	7	.....
25.2 Other services from non-Federal sources .....	.....	.....	9
25.3 Other goods and services from Federal sources .....	23	23	29
41.0 Grants, subsidies, and contributions .....	228	234	295
99.0 Direct obligations .....	258	264	333
99.0 Reimbursable obligations .....	6	2	6
99.9 Total new obligations .....	264	266	339

**PUBLIC SAFETY OFFICER BENEFITS**  
(INCLUDING TRANSFER OF FUNDS)

*For payments and expenses authorized under section 1001(a)(4) of title I of the Omnibus Crime Control and Safe Streets Act of 1968, such sums as are necessary (including amounts for administrative costs), to remain available until expended; and \$16,300,000 for payments authorized by section 1201(b) of such Act and for educational assistance authorized by section 1218 of such Act, to remain available until expended: Provided, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for such disability and education payments, the Attorney General may transfer such amounts to "Public Safety Officers Benefits" from available appropriations for the Department of Justice as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the previous proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 15-0403-0-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Public safety officers benefit payments .....	92	90	81
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	12	.....
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2	6	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	16	16	16
1160 Appropriation, discretionary (total) .....	16	16	16
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	84	62	65
1260 Appropriations, mandatory (total) .....	84	62	65
1900 Budget authority (total) .....	100	78	81
1930 Total budgetary resources available .....	104	90	81
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	12	7
3010 Obligations incurred, unexpired accounts .....	92	90	81

3020 Outlays (gross) .....	-89	-95	-88
3050 Unpaid obligations, end of year .....	12	7	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	9	12	7
3200 Obligated balance, end of year .....	12	7	.....
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	16	16	16
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	10	16	16
4011 Outlays from discretionary balances .....	2	11	.....
4020 Outlays, gross (total) .....	12	27	16
<b>Mandatory:</b>			
4090 Budget authority, gross .....	84	62	65
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	70	62	65
4101 Outlays from mandatory balances .....	7	6	7
4110 Outlays, gross (total) .....	77	68	72
4180 Budget authority, net (total) .....	100	78	81
4190 Outlays, net (total) .....	89	95	88

The 2014 Budget is requesting \$81,300,000 for the Office of Justice Programs' Public Safety Officers Benefits (PSOB) appropriation, of which \$65,000,000 is a permanent indefinite (mandatory) appropriation for death benefits and \$16,300,000 is a discretionary appropriation for disability and education benefits. This appropriation account supports programs that provide benefits to public safety officers who are severely injured in the line of duty and to the families and survivors of public safety officers killed or mortally injured in the line of duty. These programs represent the continuation of a forty-year partnership among the Department of Justice, national public safety organizations, and state, local, and tribal public safety agencies. Created in 1976, the PSOB program oversees three types of benefits:

**Death Benefits.**—The Death Benefits program provides a one-time financial benefit to survivors of public safety officers whose deaths resulted from injuries sustained in the line of duty.

**Disability Benefits.**—The Disability Benefits program offers a one-time financial benefit to public safety officers permanently disabled by catastrophic injuries sustained in the line of duty.

**Education Benefits.**—This program provides financial support for higher education expenses to the eligible spouses and children of public safety officers killed or permanently disabled in the line of duty.

**Object Classification** (in millions of dollars)

Identification code 15-0403-0-1-754	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	3	.....	.....
25.2 Other services from non-Federal sources .....	.....	1	1
25.3 Other goods and services from Federal sources .....	3	3	3
41.0 Grants, subsidies, and contributions .....	6	5	5
42.0 Insurance claims and indemnities .....	80	81	72
99.9 Total new obligations .....	92	90	81

**CRIME VICTIMS FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 15-5041-0-2-754	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	6,099	8,186	9,531
<b>Receipts:</b>			
0200 Fines, Penalties, and Forfeitures, Crime Victims Fund .....	2,796	2,000	1,900
0400 Total: Balances and collections .....	8,895	10,186	11,431
<b>Appropriations:</b>			
0500 Crime Victims Fund .....	.....	9,531	10,631
0501 Crime Victims Fund .....	-2,795	-2,000	-1,850
0502 Crime Victims Fund .....	-6,100	-8,186	-9,531

**CRIME VICTIMS FUND—Continued**  
**Special and Trust Fund Receipts—Continued**

Identification code 15-5041-0-2-754	2012 actual	2013 CR	2014 est.
0503 Crime Victims Fund .....	8,186	.....	.....
0599 Total appropriations .....	-709	-655	-750
0799 Balance, end of year .....	8,186	9,531	10,681

**Program and Financing (in millions of dollars)**

Identification code 15-5041-0-2-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Crime victims grants and assistance .....	656	649	740
0002 Management and administration .....	56	56	60
0900 Total new obligations .....	712	705	800
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50	50	50
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	53	50	50
Budget authority:			
Appropriations, discretionary:			
1134 Appropriations Precluded from Obligation[-5041] .....	.....	-9,531	-10,631
1160 Appropriation, discretionary (total) .....	.....	-9,531	-10,631
Appropriations, mandatory:			
1200 Appropriation .....	.....	50	50
1201 [-5041] .....	2,795	2,000	1,850
1203 Appropriation (unavailable balances) .....	6,100	8,186	9,531
1235 Portion precluded from balances .....	-8,186	.....	.....
1260 Appropriations, mandatory (total) .....	709	10,236	11,431
1900 Budget authority (total) .....	709	705	800
1930 Total budgetary resources available .....	762	755	850
Memorandum (non-add) entries:			
1941 Unexpired unobligated balances, EOY (Antiterrorism Emergency Reserve) .....	50	50	50

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,110	1,123	1,068
3010 Obligations incurred, unexpired accounts .....	712	705	800
3020 Outlays (gross) .....	-696	-760	-965
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	1,123	1,068	903
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,110	1,123	1,068
3200 Obligated balance, end of year .....	1,123	1,068	903

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	.....	-9,531	-10,631
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	-5,719	-6,379
4011 Outlays from discretionary balances .....	.....	.....	-2,859
4020 Outlays, gross (total) .....	.....	-5,719	-9,238
Mandatory:			
4090 Budget authority, gross .....	709	10,236	11,431
Outlays, gross:			
4100 Outlays from new mandatory authority .....	100	6,142	6,859
4101 Outlays from mandatory balances .....	596	337	3,344
4110 Outlays, gross (total) .....	696	6,479	10,203
4180 Budget authority, net (total) .....	709	705	800
4190 Outlays, net (total) .....	696	760	965

Programs supported by the Crime Victims Fund focus on providing compensation to victims of crime and survivors; supporting appropriate victims' services programs and victimization prevention strategies; and building capacity to improve response to crime victims' needs and increase offender accountability. The Fund was established to address the need for victim services programs, and to assist state, local, and tribal governments in providing appropriate services to their communities. The Fund is financed by collections of fines, penalty assessments, and bond

forfeitures from defendants convicted of Federal crimes. The 2014 Budget proposes to provide \$800,000,000 from collections and balances for crime victim compensation, services, and related needs. Of this amount, the Budget also proposes to use \$45,000,000 for Vision 21, which provides supplemental victims services and other victim-related programs and initiatives in areas like: research, legal services, capacity building, national and international victim assistance, and tribal assistance. Also included is \$10,000,000 for domestic trafficking victims grants.

**Object Classification (in millions of dollars)**

Identification code 15-5041-0-2-754	2012 actual	2013 CR	2014 est.
Direct obligations:			
24.0 Printing and reproduction .....	.....	1	1
25.1 Advisory and assistance services .....	50	34	34
25.2 Other services from non-Federal sources .....	7	17	17
25.3 Other goods and services from Federal sources .....	56	56	56
41.0 Grants, subsidies, and contributions .....	598	596	691
42.0 Insurance claims and indemnities .....	1	1	1
99.9 Total new obligations .....	712	705	800

**COMMUNITY ORIENTED POLICING STABILIZATION FUND**

This account provides \$4 billion in immediate assistance for the retention, rehiring, and hiring of police officers in 2013, as requested by the President in the American Jobs Act. In addition, states and localities will gain a preference for implementing programs and policies that focus on the recruitment of post-9/11 veterans for law enforcement positions.

**COMMUNITY ORIENTED POLICING STABILIZATION FUND**  
**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 15-0413-4-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants .....	.....	.....	3,992
0002 Administrative costs .....	.....	1	7
0900 Total new obligations .....	.....	1	3,999
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	.....	3,999
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	5,000	.....
1220 Appropriations transferred to other accts [70-0721] .....	.....	-1,000	.....
1260 Appropriations, mandatory (total) .....	.....	4,000	.....
1930 Total budgetary resources available .....	.....	4,000	3,999
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	3,999	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	1
3010 Obligations incurred, unexpired accounts .....	.....	1	3,999
3020 Outlays (gross) .....	.....	.....	-2,400
3050 Unpaid obligations, end of year .....	.....	1	1,600
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	1
3200 Obligated balance, end of year .....	.....	1	1,600

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	.....	4,000	.....
Outlays, gross:			
4101 Outlays from mandatory balances .....	.....	.....	2,400
4180 Budget authority, net (total) .....	.....	4,000	.....
4190 Outlays, net (total) .....	.....	.....	2,400

**Object Classification** (in millions of dollars)

Identification code 15-0413-4-1-754	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....		1	7
41.0 Grants, subsidies, and contributions .....			3,992
99.9 Total new obligations .....		1	3,999

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Governmental receipts:			
15-085400 Registration Fees, DEA .....	15	15	15
General Fund Governmental receipts .....	15	15	15
Offsetting receipts from the public:			
15-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	1	1	1
15-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	267	77	77
General Fund Offsetting receipts from the public .....	268	78	78
Intragovernmental payments:			
15-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	568		
General Fund Intragovernmental payments .....	568		

**GENERAL PROVISIONS—DEPARTMENT OF JUSTICE**

(INCLUDING CANCELLATION OF FUNDS)

SEC. 201. In addition to amounts otherwise made available in this title for official reception and representation expenses, a total of not to exceed \$50,000 from funds appropriated to the Department of Justice in this title shall be available to the Attorney General for official reception and representation expenses.

SEC. 202. None of the funds appropriated by this title shall be available to pay for an abortion, except where the life of the mother would be endangered if the fetus were carried to term, or in the case of rape: Provided, That should this prohibition be declared unconstitutional by a court of competent jurisdiction, this section shall be null and void.

SEC. 203. None of the funds appropriated under this title shall be used to require any person to perform, or facilitate in any way the performance of, any abortion.

SEC. 204. Nothing in the preceding section shall remove the obligation of the Director of the Bureau of Prisons to provide escort services necessary for a female inmate to receive such service outside the Federal facility: Provided, That nothing in this section in any way diminishes the effect of section 203 intended to address the philosophical beliefs of individual employees of the Bureau of Prisons.

SEC. 205. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Justice in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

SEC. 206. Funds appropriated by this or any other Act under the heading Bureau of Alcohol, Tobacco, Firearms and Explosives, Salaries and Expenses shall be available for retention pay for any employee who would otherwise be subject to a reduction in pay upon the termination of the Bureau's Personnel Management Demonstration Project (as transferred to the Attorney General by section 1115 of the Homeland Security Act of 2002, Public Law 107-296 (28 U.S.C. 599B)). Such retention pay shall comply with section 5363 of title 5, United States Code, and related Office of Personnel Management regulations, except as provided in this section. Such retention pay shall be paid at the employee's rate of pay immediately

prior to the termination of the demonstration project and shall not be subject to the limitation set forth in section 5304(g)(1) of title 5, United States Code, and related regulations. The rate of pay of any employee receiving retention pay pursuant to this provision shall be increased at the time of any increase in the maximum rate of basic pay payable for the grade of the employee's position by 50 percent of the dollar amount of each such increase, except that an employee's retained rate of basic pay shall not be so increased if both (a) the employee's retained rate of basic pay immediately prior to the time of such increase exceeds the limitation set forth in section 5304(g)(1) of title 5, United States Code, and related regulations, and (b) the employee's increased rate of pay would exceed the maximum rate of basic pay payable for the employee's position.

SEC. 207. None of the funds made available to the Department of Justice in this Act may be used for the purpose of transporting an individual who is a prisoner pursuant to conviction for crime under State or Federal law and is classified as a maximum or high security prisoner, other than to a prison or other facility certified by the Federal Bureau of Prisons as appropriately secure for housing such a prisoner.

SEC. 208. (a) None of the funds appropriated by this Act may be used by Federal prisons to purchase cable television services, to rent or purchase videocassettes, videocassette recorders, or other audiovisual or electronic equipment used primarily for recreational purposes.

(b) The preceding sentence does not preclude the renting, maintenance, or purchase of audiovisual or electronic equipment for inmate training, religious, or educational programs.

SEC. 209. None of the funds made available under this title shall be obligated or expended for any new or enhanced information technology program having total estimated development costs in excess of \$100,000,000, unless the Deputy Attorney General and the investment review board certify to the Committees on Appropriations that the information technology program has appropriate program management controls and contractor oversight mechanisms in place, and that the program is compatible with the enterprise architecture of the Department of Justice.

SEC. 210. The notification thresholds and procedures set forth in section 504 of this Act shall apply to deviations from the amounts designated for specific activities in this Act and accompanying statement, and to any use of deobligated balances of funds provided under this title in previous years.

SEC. 211. None of the funds appropriated by this Act may be used to plan for, begin, continue, finish, process, or approve a public-private competition under the Office of Management and Budget Circular A-76 or any successor administrative regulation, directive, or policy for work performed by employees of the Bureau of Prisons or of Federal Prison Industries, Incorporated.

SEC. 212. At the discretion of the Attorney General, and in addition to any amounts that otherwise may be available (or authorized to be made available) by law, with respect to funds appropriated by this title under the headings "Research, Evaluation, and Statistics", "State and Local Law Enforcement Assistance", and "Juvenile Justice Programs"—

(1) Up to 5 percent of funds made available to the Office of Justice Programs for grant or reimbursement programs may be used by such Office to provide training and technical assistance;

(2) Up to 2 percent of funds made available for grant or reimbursement programs under such headings, except for amounts appropriated specifically for research, evaluation, or statistical programs administered by the National Institute of Justice and the Bureau of Justice Statistics, shall be transferred to and merged with funds provided to the National Institute of Justice and the Bureau of Justice Statistics, to be used by them for research, evaluation or statistical purposes, without regard to the authorizations for such grant or reimbursement programs; and

(3) 7 percent of funds made available for grant or reimbursement programs: (1) under the heading "State and Local Law Enforcement Assistance"; or (2) under the headings "Research, Evaluation, and Statistics" and "Juvenile Justice Programs", to be transferred to and merged with funds made available under the heading "State and Local Law Enforcement Assistance", shall be available for tribal criminal justice assistance without regard to the authorizations for such grant or reimbursement programs.

SEC. 213. The Attorney General may, upon request by a grantee and based upon a determination of fiscal hardship, waive the requirements of sections 2976(g)(1), 2978(e)(1) and (2), and 2904 of title I of the Omnibus

Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3797w(g)(1), 3797w-2(e)(1) and (2), 3797q-3) and section 6(c)(3) of the Prison Rape Elimination Act of 2003 (42 U.S.C. 15605(c)(3)) with respect to funds appropriated in this or any other Act making appropriations for fiscal years 2010 through 2013 for Adult and Juvenile Offender State and Local Reentry Demonstration Projects and State, Tribal, and Local Reentry Courts authorized under part FF of title I of such Act of 1968, and the Prosecution Drug Treatment Alternatives to Prison Program authorized under part CC of such Act of 1968, and Grants to Protect Inmates and Safeguard Communities under such Act of 2003.

SEC. 214. Notwithstanding any other provision of law, section 20109(a), in subtitle A of title II of the Violent Crime Control and Law Enforcement Act of 1994 (42 U.S.C. 13709(a)), shall not apply to amounts made available by this or any other Act.

SEC. 215. Of the unobligated balances from prior year appropriations for the Office of Justice Programs, \$47,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 216. Notwithstanding any other provision of law, amounts deposited or available in the Fund established under section 1402 of the Victims of Crime Act of 1984 (42 U.S.C. 10601) in any fiscal year in excess of \$800,000,000 shall not be available for obligation in this fiscal year: Provided, That, notwithstanding section 1402(d) of such Act of 1984, of the amounts available from the Fund for obligation, the following amounts shall be available without fiscal year limitation to the Director of the Office for Victims of Crime: \$25,000,000 for supplemental victims' services and other victim-related programs and initiatives, \$20,000,000 for tribal assistance for victims of violence, and \$10,000,000 for victims of trafficking grants focused on domestic victims: Provided, That up to 2 percent of funds may be made available to the National Institute of Justice and the Bureau of Justice Statistics, to be used by them for research, evaluation or statistical purposes related to crime victims and related programs

SEC. 217. None of the funds made available under this Act, other than for the national instant criminal background check system established under section 103 of the Brady Handgun Violence Prevention Act, may be used by a Federal law enforcement officer to facilitate the transfer of an operable firearm to an individual if the Federal law enforcement officer knows or suspects that the individual is an agent of a drug cartel, unless law enforcement personnel of the United States continuously monitor or control the firearm at all times.

SEC. 218. Of the unobligated balances from prior year appropriations available under the heading "Working Capital Fund", \$30,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 219. PERFORMANCE PARTNERSHIP PILOTS.—

(a) DEFINITIONS.—In this section,

(1) "Performance Partnership Pilot" (or "Pilot") is a project that seeks to identify, through a demonstration, cost-effective strategies for providing services at the state, regional, or local level that—

(A) involve two or more Federal programs (administered by one or more Federal agencies)—

(i) which have related policy goals, and

(ii) at least one of which is administered (in whole or in part) by a state, local, or tribal government; and

(B) achieve better results for regions, communities, or specific at risk populations through making better use of the budgetary resources that are available for supporting such programs.

(2) "To improve outcomes for disconnected youth" means to increase the rate at which individuals between the ages of 14 and 24 (who are homeless, in foster care, involved in the juvenile justice system, or are neither employed nor enrolled in an educational institution) achieve success in meeting educational, employment or other key goals.

(3) The "lead Federal administering agency" is the Federal agency, to be designated by the Director of the Office of Management and Budget (from among the participating Federal agencies that have statutory responsibility for the Federal discretionary funds that will be used in a Performance Partnership Pilot) that will enter into and administer the

particular Performance Partnership Agreement on behalf of that agency and the other participating Federal agencies.

(b) USE OF DISCRETIONARY FUNDS IN FISCAL YEAR 2014 APPROPRIATIONS ACT.—Federal agencies may use Federal discretionary funds, that are made available in this act or any other appropriations act providing funds for Fiscal Year 2014 and corresponding authority to enter into Performance Partnership Pilots, to carry out up to a total of 13 Performance Partnership Pilots involving up to a total of \$130,000,000 in aggregate Federal discretionary budget authority. Such Pilots shall:

(1) be designed to improve outcomes for disconnected youth, and

(2) involve Federal programs targeted on disconnected youth, or designed to prevent youth from disconnecting from school or work, that provide education, training and employment, and other related social services; and

(c) PERFORMANCE PARTNERSHIP AGREEMENTS.—Federal agencies may use Federal discretionary funds, as authorized in subsection (b), to participate in a Performance Partnership Pilot only in accordance with the terms of a Performance Partnership Agreement that—

(1) is entered into between—

(A) the head of the lead Federal administering agency, on behalf of all of the participating Federal agencies (subject to the head of the lead Federal administering agency having received from the heads of each of the other participating agencies their written concurrence for entering into the Agreement), and

(B) the respective representatives of all of the state, local or tribal governments that are participating in the Agreement; and

(2) specifies, at a minimum, the following information:

(A) the length of the Agreement (which shall not extend beyond September 30, 2018);

(B) the Federal programs and federally-funded services that are involved in the Pilot;

(C) the Federal discretionary funds that are being used in the Pilot (by the respective Federal account identifier, and the total amount from such account that is being used in the Pilot), and the period (or periods) of availability for obligation (by the Federal Government) of such funds;

(D) the non-Federal funds that are involved in the Pilot, by source (which can include private funds as well as governmental funds) and by amount;

(E) the state, local, or tribal programs that are involved in the Pilot;

(F) the populations to be served by the Pilot;

(G) the cost-effective Federal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;

(H) the cost-effective State, local or tribal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;

(I) the outcome (or outcomes) that the Pilot is designed to achieve;

(J) the appropriate, reliable, and objective outcome-measurement methodology that the Federal Government and the participating state, local, or tribal governments will use, in carrying out the Pilot, to determine whether the Pilot is achieving, and has achieved, the specified outcomes that the Pilot is designed to achieve; and

(K) in cases where, during the course of the Pilot, it is determined that the Pilot is not achieving the specified outcomes that it is designed to achieve,

(i) the consequences that will result from such deficiencies with respect to the Federal discretionary funds that are being used in the Pilot, and

(ii) the corrective actions that will be taken in order to increase the likelihood that the Pilot, upon completion, will have achieved such specified outcomes.

(d) AGENCY HEAD DETERMINATIONS.—A Federal agency may participate in a Performance Partnership Pilot (including by providing Federal discretionary funds that have been appropriated to such agency) only upon the written determination by the head of such agency that the agency's participation in such Pilot—

(1) will not result in denying or restricting the eligibility of any individual for any of the services that (in whole or in part) are funded by the agency's programs and Federal discretionary funds that are involved in the Pilot, and

(2) based on the best available information, will not otherwise adversely affect vulnerable populations that are the recipients of such services. In making this determination, the head of the agency may take into consid-

eration the other Federal discretionary funds that will be used in the Pilot as well as any non-Federal funds (including from private sources as well as governmental sources) that will be used in the Pilot.

(e) **TRANSFER AUTHORITY.**—For the purpose of carrying out the Pilot in accordance with the Performance Partnership Agreement, and subject to the written approval of the Director of the Office of Management and Budget, the head of each participating Federal agency may transfer Federal discretionary funds that are being used in the Pilot to an account of the lead Federal administering agency that includes Federal discretionary funds that are being used in the Pilot. Subject to the waiver authority under subsection (g), such transferred funds shall remain available for the same purposes for which such funds were originally appropriated: Provided, That such transferred funds shall remain available for obligation by the Federal Government until the expiration of those Federal discretionary funds (which are being used in the Pilot) that have the longest period of availability, except that any such transferred funds shall not remain available beyond September 30, 2018.

(f) **WAIVER AUTHORITY.**—In connection with a Federal agency's participation in a Performance Partnership Pilot, and subject to the other provisions of this section (including subsection (e)), the head of the Federal agency to which the Federal discretionary funds were appropriated may waive (in whole or in part) the application, solely to such discretionary funds that are being used in the Pilot, of any statutory, regulatory, or administrative requirement that such agency head—

(1) is otherwise authorized to waive (in accordance with the terms and conditions of such other authority), and

(2) is not otherwise authorized to waive, provided that in such case the agency head, prior to granting the waiver, shall—

(A) not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and substate levels;

(B) issue a written determination with respect to such discretionary funds that the granting of such waiver for purposes of the Pilot—

(i) is consistent with both—

(I) the statutory purposes of the Federal program for which such discretionary funds were appropriated, and

(II) the other provisions of this section, including the written determination by the agency head issued under subsection (e);

(ii) is necessary to achieve the outcomes of the Pilot as specified in the Partnership Performance Agreement, and is no broader in scope than is necessary to achieve such outcomes; and

(iii) will result in either—

(I) realizing efficiencies by simplifying reporting burdens or reducing administrative barriers with respect to such discretionary funds, or

(II) increasing the ability of individuals to obtain access to services that are provided by such discretionary funds; and

(C) provide at least 60 days advance written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.



# DEPARTMENT OF LABOR

## EMPLOYMENT AND TRAINING ADMINISTRATION

### Federal Funds

#### TRAINING AND EMPLOYMENT SERVICES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Workforce Investment Act of 1998 (referred to in this Act as "WIA"), the Second Chance Act of 2007, and the Workforce Innovation Fund, as established by this Act, \$3,387,405,000, plus reimbursements, shall be available. Of the amounts provided:

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, \$2,683,766,000 as follows:

(A) \$791,644,000 for adult employment and training activities, of which \$79,644,000 shall be available for the period July 1, 2014, through June 30, 2015, and of which \$712,000,000 shall be available for the period October 1, 2014 through June 30, 2015;

(B) \$846,632,000 for youth activities, which shall be available for the period April 1, 2014 through June 30, 2015; and

(C) \$1,045,490,000 for dislocated worker employment and training activities, of which \$185,490,000 shall be available for the period July 1, 2014 through June 30, 2015, and of which \$860,000,000 shall be available for the period October 1, 2014 through June 30, 2015:

Provided, That notwithstanding the transfer limitation under section 133(b)(4) of the WIA, up to 30 percent of such funds may be transferred by a local board if approved by the Governor: Provided further, That a local board may award a contract to an institution of higher education or other eligible training provider if the local board determines that it would facilitate the training of multiple individuals in high-demand occupations, if such contract does not limit customer choice: Provided further, That notwithstanding section 128(a)(1) of the WIA, the amount available to the Governor for statewide workforce investment activities shall not exceed 7.5 percent of the amount allotted to the State from each of the appropriations under the preceding subparagraphs;

(2) for federally administered programs, \$582,401,000 as follows:

(A) \$220,859,000 for the dislocated workers assistance national reserve, of which \$20,859,000 shall be available for the period July 1, 2014 through June 30, 2015, and of which \$200,000,000 shall be available for the period October 1, 2014 through June 30, 2015: Provided, That funds provided to carry out section 132(a)(2)(A) of the WIA may be used to provide assistance to a State for statewide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: Provided further, That funds provided to carry out section 171(d) of the WIA may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers: Provided further, That none of the funds shall be obligated to carry out section 173(e) of the WIA;

(B) \$47,562,000 for Native American programs, which shall be available for the period July 1, 2014 through June 30, 2015;

(C) \$84,291,000 for migrant and seasonal farmworker programs under section 167 of the WIA, including \$78,105,000 for formula grants (of which not less than 70 percent shall be for employment and training services), \$5,678,000 for migrant and seasonal housing (of which not less than 70 percent shall be for permanent housing), and \$508,000 for other discretionary purposes, which shall be available for the period July 1, 2014 through June 30, 2015: Provided, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants receiving related assistance services or discouraging grantees from providing such services;

(D) \$79,689,000 for YouthBuild activities as described in section 173A of the WIA, which shall be available for the period April 1, 2014 through June 30, 2015; and

(E) \$150,000,000 to be available to the Secretary of Labor (referred to in this title as "Secretary") for the Workforce Innovation Fund to

carry out projects that demonstrate innovative strategies or replicate effective evidence-based strategies that align and strengthen the workforce investment system in order to improve program delivery and education and employment outcomes for beneficiaries, which shall be for the period July 1, 2014 through September 30, 2015: Provided, That amounts shall be available for awards to States or State agencies that are eligible for assistance under any program authorized under the WIA, consortia of States, or partnerships, including regional partnerships: Provided further, That not more than 5 percent of the funds available for workforce innovation activities shall be for technical assistance and evaluations related to the projects carried out with these funds: Provided further, That the Secretary may authorize awardees to use a portion of awarded funds for evaluation, upon the Chief Evaluation Officer's approval of an evaluation plan: Provided further, That \$10,000,000 of the funds provided for the Workforce Innovation Fund shall be used for innovative and evidence-based approaches to improving outcomes for disconnected youth, which may include Pay for Success projects: Provided further, That up to \$20,000,000 of the funds provided for the Workforce Innovation Fund (in addition to any funds for disconnected youth) may be used for performance-based awards or other agreements under the Pay for Success program: Provided further, That any funds obligated for Pay for Success projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any funds deobligated from such projects or agreements shall immediately be available for Workforce Innovation Fund activities: Provided further, That \$50,000,000 of the funds provided for the Workforce Innovation Fund shall be for projects that demonstrate innovative strategies or replicate effective evidence-based strategies that address the employment needs of veterans (including recently separated veterans), family members of active duty military personnel, or members of the National Guard and Reserves.

(3) for national activities, \$121,238,000, as follows:

(A) \$25,000,000, in addition to any amounts available under paragraph (1) for Pilots, Demonstrations, and Research, which shall be available for the period April 1, 2014 through June 30, 2015;

(B) \$90,238,000 for ex-offender activities, under the authority of section 171 of the WIA and section 212 of the Second Chance Act of 2007, which shall be available for the period April 1, 2014 through June 30, 2015, notwithstanding the requirements of section 171(b)(2)(B) or 171(c)(4)(D) of the WIA: Provided, That of this amount, \$20,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas; and \$10,000,000 shall be used for performance-based awards or other agreements under the Pay for Success program relating to ex-offender activities: Provided further, That, with respect to the preceding proviso, any funds obligated for Pay for Success projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any deobligated funds from such projects or agreements shall immediately be available for ex-offender activities;

(C) \$6,000,000 for the Workforce Data Quality Initiative, under the authority of section 171(c)(2) of the WIA, which shall be available for the period July 1, 2013 through June 30, 2014, and which shall not be subject to the requirements of section 171(c)(4)(D).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

Identification code 16–0174–0–1–504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Adult Employment and Training Activities .....	773	771	791
0003 Dislocated Worker Employment and Training Activities .....	1,242	1,257	1,268
0005 Youth Activities .....	902	906	922
0008 Reintegration of Ex-Offenders .....	85	80	81

TRAINING AND EMPLOYMENT SERVICES—Continued  
Program and Financing—Continued

Identification code 16-0174-0-1-504	2012 actual	2013 CR	2014 est.
0010 Native Americans .....	53	53	51
0011 Migrant and Seasonal Farmworkers .....	84	85	84
0013 National programs .....	18	17	17
0015 H-1B Job Training Grants .....	343	134	150
0017 Data Quality Initiative .....	13	6	7
0028 Recovery Act - NEGs Health Insurance Assistance .....	2	4	3
0029 Workforce Innovation Fund .....	152	22	100
0799 Total direct obligations .....	3,667	3,335	3,474
0801 Reimbursable program .....	11	13	11
0900 Total new obligations .....	3,678	3,348	3,485
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	657	334	349
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	273	133	.....
1010 Unobligated balance transfer to other accts [16-0181] .....	-2	.....	.....
1010 Unobligated balance transfer to other accts [16-0179] .....	-4	.....	.....
1021 Recoveries of prior year unpaid obligations .....	4	.....	.....
1029 Other balances withdrawn .....	-3	.....	.....
1050 Unobligated balance (total) .....	652	334	349
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,423	1,457	1,616
1120 Appropriations transferred to other accts [16-0400] .....	.....	-1	.....
1120 Appropriations transferred to other accts [16-0143] .....	.....	-1	.....
1130 Appropriations permanently reduced .....	-3	.....	.....
1160 Appropriation, discretionary (total) .....	1,420	1,455	1,616
Advance appropriations, discretionary:			
1170 Advance appropriation .....	1,772	1,772	1,772
1173 Advance appropriations permanently reduced .....	-3	.....	.....
1180 Advanced appropriation, discretionary (total) .....	1,769	1,772	1,772
Appropriations, mandatory:			
1201 Appropriation (H-1B Skills Training) .....	161	125	125
1260 Appropriations, mandatory (total) .....	161	125	125
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	11	11
1750 Spending auth from offsetting collections, disc (total) .....	11	11	11
1900 Budget authority (total) .....	3,361	3,363	3,524
1930 Total budgetary resources available .....	4,013	3,697	3,873
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	334	349	388
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,592	3,446	3,220
3010 Obligations incurred, unexpired accounts .....	3,678	3,348	3,485
3011 Obligations incurred, expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	-3,750	-3,574	-3,452
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-74	.....	.....
3050 Unpaid obligations, end of year .....	3,446	3,220	3,253
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,591	3,445	3,219
3200 Obligated balance, end of year .....	3,445	3,219	3,252
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,200	3,238	3,399
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,202	1,175	1,187
4011 Outlays from discretionary balances .....	2,517	2,349	2,111
4020 Outlays, gross (total) .....	3,719	3,524	3,298
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11	-11	-11
Mandatory:			
4090 Budget authority, gross .....	161	125	125
Outlays, gross:			
4100 Outlays from new mandatory authority .....	16	1	1

4101 Outlays from mandatory balances .....	15	49	153
4110 Outlays, gross (total) .....	31	50	154
4180 Budget authority, net (total) .....	3,350	3,352	3,513
4190 Outlays, net (total) .....	3,739	3,563	3,441

Enacted in 1998, the Workforce Investment Act (WIA) is the primary authorization for this appropriation account. WIA expired on September 30, 2003. The Act is intended to provide workers with the information, advice, job search assistance, and training they need to get and keep good jobs; and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, and include substantial advance appropriation amounts. This account includes:

*Adult employment and training activities.*—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

*Dislocated worker employment and training activities.*—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

*Youth activities.*—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer and year-round jobs. The program links academic and occupational learning with youth development activities.

*Workforce Innovation Fund.*—Provides \$150 million to support competitive grants to test innovative strategies and replicate evidence-based practices in the workforce system. The Fund will support cross-program collaboration and bold systemic reforms to improve education and employment outcomes for participants. The Administration intends to set aside \$50 million of the funds for veterans (including recently separated veterans), members of military families, and members of the National Guard and Reserves. In addition, at least \$10 million of the funds for programmatic innovations targeting disconnected youth, with a particular focus on youth under age 20. This effort to serve disconnected youth will be coordinated with the Departments of Education and Health and Human Services. A portion of the Fund may also be used for Pay for Success financing to engage social investors, the Federal government, and a State or local community to collaboratively support effective interventions.

*Reintegration of Ex-Offenders.*—Supports activities authorized under the Second Chance Act to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. The Administration intends to devote funds to test and replicate evidence-based strategies for young ex-offenders. The Department of Labor will continue to coordinate closely with the Department of Justice and other relevant Agencies in carrying out this program.

*Native Americans.*—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

*Migrant and Seasonal Farmworkers.*—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

*National programs.*—Provides evaluation and demonstration resources for WIA activities. In 2014, evaluation activities will be funded via a set-aside of program funds provided by Sec. 107 of the Labor General Provisions. The funds in Pilots, Demonstrations, and Research will be used to pilot and rigorously evaluate

potential low-cost structural changes to the WIA system that would substantially improve services to seniors.

**Workforce Data Quality Initiative.**—Competitive grants to support the development of longitudinal data systems that integrate education and workforce data to provide timely and accessible information to consumers, policymakers, and others.

**Object Classification** (in millions of dollars)

Identification code 16-0174-0-1-504	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	6	6	6
25.2 Other services from non-Federal sources .....	25	33	29
25.3 Other goods and services from Federal sources .....	4	.....	.....
25.7 Operation and maintenance of equipment .....	1	.....	.....
41.0 Grants, subsidies, and contributions .....	3,631	3,296	3,437
99.0 Direct obligations .....	3,667	3,335	3,472
99.0 Reimbursable obligations .....	11	13	13
99.9 Total new obligations .....	3,678	3,348	3,485

**UNIVERSAL DISPLACED WORKERS PROGRAM**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-0188-4-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Training .....	.....	.....	1,255
0002 Reemployment services .....	.....	.....	727
0003 Rapid response .....	.....	.....	200
0004 Income support .....	.....	.....	1,157
0005 Wage insurance .....	.....	.....	686
0006 Relocation allowance .....	.....	.....	20
0900 Total new obligations (object class 41.0) .....	.....	.....	4,045
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	.....	.....	4,045
1260 Appropriations, mandatory (total) .....	.....	.....	4,045
1930 Total budgetary resources available .....	.....	.....	4,045
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	.....	4,045
3020 Outlays (gross) .....	.....	.....	-4,045
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	.....	.....	4,045
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	.....	4,045
4180 Budget authority, net (total) .....	.....	.....	4,045
4190 Outlays, net (total) .....	.....	.....	4,045

The 2014 Budget proposes legislation to establish a Universal Displaced Workers program. This program would make employment services and training available to a broader number of dislocated workers by consolidating and improving the Federal Government's two major dislocated worker programs: the Trade Adjustment Assistance for Workers program and the Workforce Investment Act's Dislocated Worker State grants program. Under the new Universal Displaced Workers program, all dislocated workers would receive high-quality job-search assistance, and those workers who had worked with their previous employer for three years or more would have access to income support and up to two years of skills training for high-growth and in-demand

industries. Older workers would also have the option of wage insurance, designed to get people back to work more quickly.

OFFICE OF JOB CORPS

To carry out subtitle C of title I of the WIA, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration, and repairs of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIA, \$1,691,923,000, plus reimbursements, as follows:

(1) \$1,586,776,000 for Job Corps Operations, which shall be available for the period July 1, 2014 through June 30, 2015;

(2) \$75,000,000 for construction, rehabilitation and acquisition of Job Corps Centers, which shall be available for the period July 1, 2014 through June 30, 2017: Provided, That the Secretary may transfer up to 15 percent of such funds to meet the operational needs of such centers or to achieve administrative efficiencies: Provided further, That any funds transferred pursuant to the preceding proviso shall not be available for obligation after June 30, 2015; and

(3) \$30,147,000 for necessary expenses of the Office of Job Corps, which shall be available for obligation for the period October 1, 2013 through September 30, 2014:

Provided further, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-0181-0-1-504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	1,565	1,583	1,581
0002 Construction, Rehabilitation, and Acquisition (CRA) .....	140	81	88
0003 Administration .....	29	29	30
0799 Total direct obligations .....	1,734	1,693	1,699
0801 Reimbursable program activity .....	1	1	1
0900 Total new obligations .....	1,735	1,694	1,700
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	611	1,288	1,308
1011 Unobligated balance transfer from other accts [16-0174] ....	2	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	24	.....	.....
1050 Unobligated balance (total) .....	638	1,288	1,308
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,706	1,713	1,692
1130 Appropriations permanently reduced .....	-3	.....	.....
1160 Appropriation, discretionary (total) .....	1,703	1,713	1,692
<b>Advance appropriations, discretionary:</b>			
1170 Advance appropriation .....	691	.....	.....
1173 Advance appropriations permanently reduced .....	-1	.....	.....
1180 Advanced appropriation, discretionary (total) .....	690	.....	.....
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	.....	1	1
1750 Spending auth from offsetting collections, disc (total) .....	.....	1	1
1900 Budget authority (total) .....	2,393	1,714	1,693
1930 Total budgetary resources available .....	3,031	3,002	3,001
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-8	.....	.....
1941 Unexpired unobligated balance, end of year .....	1,288	1,308	1,301
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	518	447	367
3010 Obligations incurred, unexpired accounts .....	1,735	1,694	1,700
3011 Obligations incurred, expired accounts .....	40	.....	.....
3020 Outlays (gross) .....	-1,774	-1,774	-1,787
3040 Recoveries of prior year unpaid obligations, unexpired .....	-24	.....	.....

OFFICE OF JOB CORPS—Continued  
Program and Financing—Continued

Identification code 16-0181-0-1-504		2012 actual	2013 CR	2014 est.
3041	Recoveries of prior year unpaid obligations, expired .....	-48		
3050	Unpaid obligations, end of year .....	447	367	280
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	518	447	367
3200	Obligated balance, end of year .....	447	367	280
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,393	1,714	1,693
Outlays, gross:				
4010	Outlays from new discretionary authority .....	871	346	348
4011	Outlays from discretionary balances .....	903	1,428	1,439
4020	Outlays, gross (total) .....	1,774	1,774	1,787
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-1	-1
4180	Budget authority, net (total) .....	2,393	1,713	1,692
4190	Outlays, net (total) .....	1,774	1,773	1,786

The Office of Job Corps supports the administration and management of the Job Corps program. Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Investment Act of 1998 (P.L. 105-220, Title 1, Subtitle C, section 141), Job Corps is the nation's largest federally-funded, primarily residential, training program for at-risk youth, ages 16-24. With 125 centers currently in 48 states, Puerto Rico, and the District of Columbia, Job Corps provides economically disadvantaged youth with academic, career technical and employability skills to enter the workforce, enroll in post-secondary education, or enlist in the military.

Serving approximately 60,000 participants each year, Job Corps emphasizes the attainment of academic credentials, including a High School Diploma (HSD) and/or General Educational Development (GED) and career technical credentials, including industry-recognized certifications, state licensures, and pre-apprenticeship credentials. These portable credentials provide for long-term attachment to the workforce and economic mobility as Job Corps graduates advance through their careers. They ensure that program graduates have gained the skills and knowledge necessary to compete in today's workforce.

Large and small businesses, nonprofit organizations, and American Indian tribes manage and operate 97 of the Job Corps centers through contractual agreements with the Department of Labor, while the remaining 28 centers are operated through an interagency agreement with the U.S. Department of Agriculture. Job Corps participants must be economically disadvantaged youth, ages 16-24, and meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular schoolwork or to secure and hold employment.

The 2014 Budget continues the Administration's commitment to strengthening and reforming the Job Corps program and improving students' outcomes. These reforms include closing the small number of Job Corps centers that are chronically low-performing; identifying and seeking to replicate the practices of high-performing centers; and adopting cost-saving reforms. The Administration will continue to shift the program's focus and approach based on evaluation findings, and will continue to provide information to the public about each Job Corps center's performance in a transparent way. In addition, the Budget proposes steps to strengthen financial and contract oversight, so the program

can continue to provide valuable services to disadvantaged youth while maintaining strong internal controls and ensuring that its contracts are procured at the lowest risk and the best value to the Federal government.

Object Classification (in millions of dollars)

Identification code 16-0181-0-1-504		2012 actual	2013 CR	2014 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	16	17	17
12.1	Civilian personnel benefits .....	5	5	5
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	2	2	2
23.2	Rental payments to others .....	8	8	9
25.1	Advisory and assistance services .....	2	1	1
25.2	Other services from non-Federal sources .....	1,423	1,405	1,404
25.3	Other goods and services from Federal sources .....	7	8	9
25.4	Operation and maintenance of facilities .....	22	29	30
25.7	Operation and maintenance of equipment .....	1	2	2
31.0	Equipment .....	3	1	1
32.0	Land and structures .....	60	30	33
99.0	Direct obligations .....	1,550	1,509	1,514
99.0	Reimbursable obligations .....	1	1	1
Allocation Account - direct:				
Personnel compensation:				
11.1	Full-time permanent .....	71	80	84
11.3	Other than full-time permanent .....	3	2	2
11.5	Other personnel compensation .....	6	7	7
11.9	Total personnel compensation .....	80	89	93
12.1	Civilian personnel benefits .....	33	32	34
21.0	Travel and transportation of persons .....	4	3	3
22.0	Transportation of things .....	1	2	2
23.1	Rental payments to GSA .....		1	1
23.2	Rental payments to others .....	8		
23.3	Communications, utilities, and miscellaneous charges .....	8	9	9
25.2	Other services from non-Federal sources .....		11	11
25.3	Other goods and services from Federal sources .....	10	5	5
25.4	Operation and maintenance of facilities .....	7	4	4
25.6	Medical care .....		2	2
25.7	Operation and maintenance of equipment .....	1	1	1
26.0	Supplies and materials .....	30	22	17
31.0	Equipment .....	2	3	3
99.0	Allocation account - direct .....	184	184	185
99.9	Total new obligations .....	1,735	1,694	1,700

Employment Summary

Identification code 16-0181-0-1-504		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	163	163	168

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0175-0-1-504		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	National programs .....	353	355	
0002	State programs .....	95	96	
0900	Total new obligations (object class 41.0) .....	448	451	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		2	2
1012	Unobligated balance transfers between expired and unexpired accounts .....	2		
1050	Unobligated balance (total) .....	2	2	2

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	449	451
1130	Appropriations permanently reduced .....	-1	
1160	Appropriation, discretionary (total) .....	448	451
1900	Budget authority (total) .....	448	451
1930	Total budgetary resources available .....	450	453
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	423	378
3010	Obligations incurred, unexpired accounts .....	448	451
3020	Outlays (gross) .....	-488	-448
3041	Recoveries of prior year unpaid obligations, expired .....	-5	
3050	Unpaid obligations, end of year .....	378	381
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	423	378
3200	Obligated balance, end of year .....	378	381
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	448	451
Outlays, gross:			
4010	Outlays from new discretionary authority .....	81	86
4011	Outlays from discretionary balances .....	407	362
4020	Outlays, gross (total) .....	488	448
4180	Budget authority, net (total) .....	448	451
4190	Outlays, net (total) .....	488	448

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109–365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program, known as the Senior Community Service Employment Program (SCSEP), offers participants work-based community service training at non-profit or governmental agencies, so that they can gain on-the-job experience and prepare to enter or re-enter the workforce. The 2014 Budget proposes transferring SCSEP to the Department of Health and Human Services to improve coordination between SCSEP and other senior-serving programs administered by the Administration for Community Living. The dual goals of the program are to foster individual economic self-sufficiency and to provide useful opportunities in community service activities.

TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

Program and Financing (in millions of dollars)

Identification code 16-0187-0-1-504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	500	500
0100	Direct program activities, subtotal .....	500	500
0900	Total new obligations (object class 41.0) .....	500	500
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	500	500
1260	Appropriations, mandatory (total) .....	500	500
1930	Total budgetary resources available .....	500	500
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	500	960
3010	Obligations incurred, unexpired accounts .....	500	500
3011	Obligations incurred, expired accounts .....	17	
3020	Outlays (gross) .....	-40	-219
3041	Recoveries of prior year unpaid obligations, expired .....	-17	
3050	Unpaid obligations, end of year .....	960	1,241

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	500	960
3200	Obligated balance, end of year .....	960	1,241
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	500	500
Outlays, gross:			
4100	Outlays from new mandatory authority .....		25
4101	Outlays from mandatory balances .....	40	194
4110	Outlays, gross (total) .....	40	219
4180	Budget authority, net (total) .....	500	500
4190	Outlays, net (total) .....	40	219

The Trade Adjustment Assistance (TAA) Community College and Career Training program, which received appropriations in the Health Care and Education Reconciliation Act of 2010 (Section 1501 of P.L. 111–152, 124 Stat.1070), provides \$500 million annually in fiscal years 2011–2014 for competitive grants to eligible institutions of higher education. The program aims to improve education and employment outcomes for community college and other students, helping more Americans prepare to succeed in growing occupations. Funding will allow expansion and improvement of education and training programs that can be completed in 2 years or less, result in skills and credentials necessary for high-wage, in-demand jobs, and are suited for workers who are eligible for training under the TAA for Workers program. Grants will support institutions that use evidence to design program strategies, are committed to using data for continuous improvement, and facilitate evaluation that can build evidence about effective practices. The Department is implementing this program in cooperation with the Department of Education.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during fiscal year 2014 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, including benefit payments, allowances, training, employment and case management services, and related State administration provided pursuant to section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, \$656,000,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, 2014.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0326-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Trade Adjustment Assistance benefits .....	239	189
0002	Trade Adjustment Assistance training .....	575	575
0005	Wage Insurance Payments .....	41	33
0799	Total direct obligations .....	855	797
0801	Disaster Unemployment Assistance .....	10	40
0900	Total new obligations .....	865	837
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	855	797
1260	Appropriations, mandatory (total) .....	855	797
1800	Spending authority from offsetting collections, mandatory: Offsetting collections (DUA) .....	10	40

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued  
Program and Financing—Continued

Identification code 16-0326-0-1-999	2012 actual	2013 CR	2014 est.
1850 Spending auth from offsetting collections, mand (total) .....	10	40	40
1900 Budget authority (total) .....	865	837	696
1930 Total budgetary resources available .....	865	837	696
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			74
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,030	1,195	1,198
3010 Obligations incurred, unexpired accounts .....	865	837	622
3020 Outlays (gross) .....	-618	-597	-704
3041 Recoveries of prior year unpaid obligations, expired .....	-82	-237	-394
3050 Unpaid obligations, end of year .....	1,195	1,198	722
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,030	1,195	1,198
3200 Obligated balance, end of year .....	1,195	1,198	722
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	865	837	696
Outlays, gross:			
4100 Outlays from new mandatory authority .....	278	297	419
4101 Outlays from mandatory balances .....	340	300	285
4110 Outlays, gross (total) .....	618	597	704
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-10	-40	-40
4180 Budget authority, net (total) .....	855	797	656
4190 Outlays, net (total) .....	608	557	664

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	855	797	656
Outlays .....	608	557	664
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-127
Outlays .....			-31
Total:			
Budget Authority .....	855	797	529
Outlays .....	608	557	633

This account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides weekly cash benefits, training, job search and relocation allowances, and employment and case management services to certain workers displaced by international trade. The account also funds the Alternative Trade Adjustment Assistance (ATAA) and the Reemployment Trade Adjustment Assistance (RTAA) programs that provide wage insurance payments for certain older workers who become reemployed at lower wages than the wages paid in their pre-layoff employment.

The TAA for Workers program was reauthorized through December 31, 2010, under the Trade and Globalization Adjustment Assistance Act of 2009 (TGAAA) extended through February 12, 2011 under the Omnibus Trade Act of 2010, and extended again through December 31, 2013 under the Trade Adjustment Assistance Extension Act (TAAEA) of 2011. Under these laws, workers covered by petitions for the TAA program filed between May 18, 2009 and February 13, 2011, were considered under expanded group eligibility provisions (e.g., workers in the service sector were eligible), and the covered workers could be eligible for enhanced services and benefits, including additional weeks of cash benefits, while in training and for the RTAA program. Applications filed between February 13, 2011 and October 21, 2011, were administered under prior law, as if the amendments made under the TGAAA and the Omnibus Trade Act of 2010 had never been enacted. Applications filed on or after October 21,

2011 were administered under expanded eligibility provisions of the TAAEA of 2011, which restored most of the provision of the 2009 program. In addition, the TAAEA of 2011 provided a limited window for trade affected workers who began receiving benefits and services during the reversion period (February 13, 2011 to October 21, 2011) to make a one-time election to be served under the TAAEA of 2011.

Object Classification (in millions of dollars)

Identification code 16-0326-0-1-999	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	855	797	582
99.0 Reimbursable obligations .....	10	40	40
99.9 Total new obligations .....	865	837	622

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0326-4-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Trade Adjustment Assistance benefits .....			-13
0002 Trade Adjustment Assistance training .....			-113
0005 Wage Insurance Payments .....			-1
0900 Total new obligations (object class 41.0) .....			-127
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-127
1260 Appropriations, mandatory (total) .....			-127
1900 Budget authority (total) .....			-127
1930 Total budgetary resources available .....			-127
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-127
3020 Outlays (gross) .....			31
3050 Unpaid obligations, end of year .....			-96
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-96
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-127
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-31
4180 Budget authority, net (total) .....			-127
4190 Outlays, net (total) .....			-31

The 2014 Budget proposes legislation to establish a Universal Displaced Worker program. This new program will consolidate and improve the Federal Government's two major dislocated worker programs—the existing Trade Adjustment Assistance for workers program and the Workforce Investment Act's Dislocated Worker State grants program. Please see the Universal Displaced Workers Program account for additional detail.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, \$113,068,000, together with not to exceed \$3,692,631,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) \$2,861,575,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including not less than \$60,000,000 to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews as specified for purposes of Section 251(b)(2) of the Balanced Budget and

Emergency Deficit Control Act of 1985, as amended, and \$10,000,000 for activities to address the misclassification of workers), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501–8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, and shall be available for obligation by the States through December 31, 2013, except that funds used for automation acquisitions or competitive grants awarded to States for improved operations, reemployment and eligibility assessments and improper payments, or activities to address misclassification of workers shall be available for Federal obligation through December 31, 2014, and for obligation by the States through September 30, 2016, and funds used for unemployment insurance workloads experienced by the States through September 30, 2014 shall be available for Federal obligation through December 31, 2014;

(2) \$11,297,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$708,247,000 from the Trust Fund, together with \$22,595,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, of which not less than \$30,000,000 shall be used to provide reemployment services to beneficiaries of unemployment insurance, and shall be available for Federal obligation for the period July 1, 2014 through June 30, 2015;

(4) \$20,912,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act, including not to exceed \$1,166,000 that may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980;

(5) \$65,600,000 from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which \$50,501,000 shall be available for the Federal administration of such activities, and \$15,099,000 shall be available for grants to States for the administration of such activities;

(6) \$90,473,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act and section 171(e)(2)(C) of the WIA and shall be available for Federal obligation for the period July 1, 2014 through June 30, 2015; and

(7) \$25,000,000 from the Trust Fund is for competitive grants to States for the administration and evaluation of demonstration projects under section 305 of the Social Security Act (as added by section 2102 of the Middle Class Tax Relief Act and Job Creation Act of 2012), except that section 305(d)(3) shall be applied by substituting "2017" for "2015", and these funds shall be available for Federal obligation through December 31, 2017:

Provided, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year 2014 is projected by the Department of Labor to exceed 3,357,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: Provided further, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: Provided further, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance or immigration programs, may be obligated in contracts, grants, or agreements with non-State entities: Provided further, That States awarded competitive grants for improved operations under title III of the Social Security Act, or

awarded grants to support the national activities of the Federal-State unemployment insurance system, may award subgrants to other States under such grants, subject to the conditions applicable to the grants; Provided further, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the Office of Management and Budget Circular A–87: Provided further, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request: Provided further, That the Secretary may collect fees for the costs associated with additional data collection, analyses, and reporting services relating to the National Agricultural Workers Survey requested by State and local governments, public and private institutions of higher education, and non-profit organizations and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, for the National Agricultural Workers Survey infrastructure, methodology, and data to meet the information collection and reporting needs of such entities, which shall be credited to this appropriation and shall remain available until September 30, 2015, for such purposes.

In addition, \$20,000,000 from the Employment Security Administration Account of the Unemployment Trust Fund shall be available for the amount of the additional appropriation for in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16–0179–0–1–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State UI admin .....	3,861	2,990	2,875
0002 UI national activities .....	11	11	11
0010 ES grants to States .....	701	708	708
0011 ES national activities .....	21	21	21
0012 One-stop career centers .....	29	63	93
0014 Foreign labor certification .....	65	66	66
0015 H-1B fees .....	19	13	13
0799 Total direct obligations .....	4,707	3,872	3,787
0801 Reimbursable program DUA administration .....	10	10	10
0803 Reimbursable program NAWs surveys .....			1
0899 Total reimbursable obligations .....	10	10	11
0900 Total new obligations .....	4,717	3,882	3,798
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	66	228	871
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	50	215	
1011 Unobligated balance transfer from other accts [16–0174] .....	4		
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	80	228	871
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	86	87	113
1160 Appropriation, discretionary (total) .....	86	87	113
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	17	13	13
1260 Appropriations, mandatory (total) .....	17	13	13
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,624	4,047	3,736
1701 Change in uncollected payments, Federal sources .....	635		
1710 Spending authority from offsetting collections transferred to other accounts [16–0165] .....	–16		
1750 Spending auth from offsetting collections, disc (total) .....	4,243	4,047	3,736
1800 Spending authority from offsetting collections, mandatory: Offsetting collections (EUCO8) .....	705	378	159

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued  
Program and Financing—Continued

Identification code 16-0179-0-1-999	2012 actual	2013 CR	2014 est.
1801 Change in uncollected payments, Federal sources .....	-186	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	519	378	159
1900 Budget authority (total) .....	4,865	4,525	4,021
1930 Total budgetary resources available .....	4,945	4,753	4,892
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	228	871	1,094
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,337	2,513	1,400
3010 Obligations incurred, unexpired accounts .....	4,717	3,882	3,798
3011 Obligations incurred, expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	-4,525	-4,995	-4,730
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-10	.....	.....
3050 Unpaid obligations, end of year .....	2,513	1,400	468
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2,021	-2,292	-2,292
3070 Change in uncollected pymts, Fed sources, unexpired .....	-449	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	178	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-2,292	-2,292	-2,292
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	316	221	-892
3200 Obligated balance, end of year .....	221	-892	-1,824
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,329	4,134	3,849
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,495	3,009	2,727
4011 Outlays from discretionary balances .....	1,463	1,614	1,631
4020 Outlays, gross (total) .....	3,958	4,623	4,358
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-678	-682	-708
4030 Federal sources .....	-21	-21	-21
4030 Federal sources .....	-50	-50	-51
4030 Federal sources .....	-15	-15	-15
4030 Federal sources .....	-2,955	-3,196	-2,838
4030 Federal sources .....	-10	-12	-60
4030 Federal sources .....	-50	-51	-20
4030 Federal sources .....	-10	-10	-12
4030 Federal sources .....	-10	-10	-10
4030 Federal sources .....	-1	.....	.....
4033 Non-Federal sources .....	.....	.....	-1
4040 Offsets against gross budget authority and outlays (total) ....	-3,800	-4,047	-3,736
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-635	.....	.....
4052 Offsetting collections credited to expired accounts .....	176	.....	.....
4060 Additional offsets against budget authority only (total) .....	-459	.....	.....
4070 Budget authority, net (discretionary) .....	70	87	113
4080 Outlays, net (discretionary) .....	158	576	622
Mandatory:			
4090 Budget authority, gross .....	536	391	172
Outlays, gross:			
4100 Outlays from new mandatory authority .....	336	247	172
4101 Outlays from mandatory balances .....	231	125	200
4110 Outlays, gross (total) .....	567	372	372
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-705	-378	-159
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	186	.....	.....
4160 Budget authority, net (mandatory) .....	17	13	13
4170 Outlays, net (mandatory) .....	-138	-6	213
4180 Budget authority, net (total) .....	87	100	126
4190 Outlays, net (total) .....	20	570	835

*Unemployment compensation.*—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay un-

employment benefits to former Federal personnel and ex-service-members as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or with non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administration resulting from increases in the number of claims filed and claims paid. The appropriation automatically provides additional funds whenever unemployment claims workload increases above levels specified in the appropriations language.

The request for additional funding for in-person reemployment and eligibility assessments of claimants of unemployment compensation builds upon the success of a number of States in reducing improper payments and speeding reemployment using these assessments. Because most unemployment claims are now filed by telephone or Internet, in-person assessments conducted in the One-Stop Career Centers can help determine continued eligibility for benefits and adequacy of work search, verify the identity of beneficiaries where there is suspicion of possible identify theft, and provide referral to reemployment assistance to those who need additional help. The \$80 million requested for reemployment and eligibility assessments is estimated to provide benefit savings of \$315 million. It is important that this integrity initiative and other new enforcement investments be fully funded. To ensure full funding of reemployment and eligibility assessments, the Administration proposes to protect the dollars requested for these activities in the appropriations process through cap adjustments, a mechanism that has been used by past Administrations and Congresses. Cap adjustments are increases in the ceiling or allocation for annual appropriations, but these increases would be granted only if the base level for reemployment and eligibility assessments was funded at \$60 million and if the use of the funds was clearly restricted to the specified purpose. The 2014 Budget proposes to amend the Balanced Budget and Emergency Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, to adjust the discretionary spending limits in the Act for administrative program integrity activities at DOL. These adjustments would be similar in nature to those enacted for the Social Security Administration and the Department of Health and Human Services for Medicare and Medicaid. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS

	2011 actual	2012 actual	2013 est.	2014 est.
Staff years .....	34,118	32,344	30,519	30,523
Basic workload (in thousands):				
Employer tax accounts .....	7,540	7,608	7,733	7,843
Employee wage items recorded .....	602,185	610,908	623,967	635,846
Initial claims taken .....	22,093	21,185	20,257	20,896
Weeks claimed .....	202,672	197,654	175,971	173,136
Nonmonetary determinations .....	8,517	8,223	7,950	7,893
Appeals .....	1,992	1,864	1,690	1,615
Covered employment .....	126,408	128,342	130,537	132,468

*Employment service.*—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allot-

ments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

**EMPLOYMENT SERVICE PROGRAM STATISTICS**

	2011 actual	2012 actual	2013 est.	2014 est.
Total participants (thousands) .....	17,074	16,546	16,732	15,842
Entered employment (thousands) .....	5,686	5,510	5,572	4,935
Cost per participant .....	42,35	43,62	44,93	46,28

Years are program years running from July 1 of the year indicated through June 30 of the following year.

**Foreign Labor Certification.**—This activity provides for the administration of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and that there would be no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural and temporary highly skilled worker visas. The account is divided into Federal and State activities.

**Federal Administration.**—Federal Administration provides leadership, policy, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

**State grants.**—Provides grants to State labor agencies in 54 States and U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Includes State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, state processing of H-2A agricultural and H-2B non-agricultural temporary labor certification applications, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

**One-stop career centers.**—These funds are used to support the joint Federal-State efforts to improve the comprehensive One-Stop system created under WIA. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

**National Agricultural Workers Survey fee.**—The Department of Labor conducts the National Agricultural Workers Survey (NAWS), which collects information annually about the demographic, employment, and health characteristics of the U.S. crop labor force. The information is obtained directly from farm workers through face-to-face interviews. The Administration proposes to charge non-Federal entities on a case-by-case basis the cost of conducting specifically requested data collection or analysis. For example, State and local governments, educational

institutions, or non-profit organizations may pay a fee to fund the addition of a question to the standard survey.

**Object Classification** (in millions of dollars)

Identification code 16-0179-0-1-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	16	17	17
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	17	17	17
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	26	26	26
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	4	4	4
25.7 Operation and maintenance of equipment .....	5	5	5
41.0 Grants, subsidies, and contributions .....	4,646	3,811	3,726
99.0 Direct obligations .....	4,707	3,872	3,787
99.0 Reimbursable obligations .....	10	10	11
99.9 Total new obligations .....	4,717	3,882	3,798

**Employment Summary**

Identification code 16-0179-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	181	181	183
1001 Direct civilian full-time equivalent employment .....	30	30	30

**AMERICAN JOBS ACT AND COMMUNITY COLLEGE TO CAREER FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-0171-4-1-504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Reemployment NOW .....		4,000	
0002 Pathways Back to Work - Youth .....		2,500	
0003 Pathways Back to Work - Adults .....		10,000	
0900 Total new obligations (object class 41.0) .....		16,500	
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....		16,500	
1260 Appropriations, mandatory (total) .....		16,500	
1930 Total budgetary resources available .....		16,500	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			15,675
3010 Obligations incurred, unexpired accounts .....		16,500	
3020 Outlays (gross) .....		-825	-13,750
3050 Unpaid obligations, end of year .....		15,675	1,925
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			15,675
3200 Obligated balance, end of year .....		15,675	1,925
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....		16,500	
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		825	
4101 Outlays from mandatory balances .....			13,750
4110 Outlays, gross (total) .....		825	13,750
4180 Budget authority, net (total) .....		16,500	
4190 Outlays, net (total) .....		825	13,750

The 2014 Budget proposes initiatives that aggressively address long-term unemployment and provide new employment opportunities for low-income and unemployed workers, and build the skills of American workers. This proposal includes:

AMERICAN JOBS ACT AND COMMUNITY COLLEGE TO CAREER FUND—Continued  
*Reemployment NOW.*—Provides \$4 billion for the Reemployment NOW fund, which gives the States flexibility to institute innovative approaches to better connect Emergency Unemployment Compensation (EUC) claimants and other long-term unemployed workers with job opportunities. With Reemployment NOW, States will be able to implement Bridge to Work programs to give EUC claimants valuable on-the-job experience and will also be able to offer claimants wage insurance and other intensive reemployment services.

*Pathways Back to Work.*—Provides \$12.5 billion to support subsidized employment and training opportunities for low-income and long-term unemployed adults, summer and year-round employment opportunities for low-income youth, and competitive grants to support innovative training and employment programs for these populations.

*Community College to Career Fund.*—Beginning in 2015, provides \$8 billion (\$4 billion each in the Departments of Labor and Education) over three years to support and evaluate community college-based training programs that build the skills of American workers, with a particular emphasis on initiatives with strong State and community college partnerships with businesses. The Fund will be jointly administered by the Departments of Labor and Education and is the successor to the Trade Adjustment Assistance Community College and Career Training program, for which 2014 is the final year of funding.

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 16-0178-0-1-603	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Payments to EUCA .....	39,902	30,137	6,887
0012 Payments to ESAA .....	653	630	270
0900 Total new obligations (object class 41.0) .....	40,555	30,767	7,157
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		35	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-35	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) .....	40,555	30,767	7,157
1200 Appropriation (definite) .....	35		
1260 Appropriations, mandatory (total) .....	40,590	30,767	7,157
1930 Total budgetary resources available .....	40,590	30,767	7,157
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	35		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	40,555	30,767	7,157
3020 Outlays (gross) .....	-40,555	-30,767	-7,157
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	40,590	30,767	7,157
Outlays, gross:			
4100 Outlays from new mandatory authority .....	40,555	30,767	7,157
4180 Budget authority, net (total) .....	40,590	30,767	7,157
4190 Outlays, net (total) .....	40,555	30,767	7,157

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. Under the Emergency Unemployment Compensation law enacted in Public Law (P.L.) 102-164, as amended, there continues to be general fund financing for administrative costs related to any extended benefits paid under the optional, total unemployment rate trigger created in that law. This account is also used to make

general fund reimbursements for some or all of the benefits and administrative costs incurred under the new Emergency Unemployment Compensation program (first enacted in P.L. 110-252 and expanded and extended several times, most recently in P.L. 112-240). These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

SHORT TIME COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 16-0168-0-1-603	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants .....		50	10
0002 Benefits .....	52	182	215
0900 Total new obligations (object class 41.0) .....	52	232	225
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		101	51
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	153	182	215
1260 Appropriations, mandatory (total) .....	153	182	215
1930 Total budgetary resources available .....	153	283	266
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	101	51	41
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		52	
3010 Obligations incurred, unexpired accounts .....	52	232	225
3020 Outlays (gross) .....		-284	-225
3050 Unpaid obligations, end of year .....		52	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		52	
3200 Obligated balance, end of year .....	52		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	153	182	215
Outlays, gross:			
4100 Outlays from new mandatory authority .....		182	215
4101 Outlays from mandatory balances .....		102	10
4110 Outlays, gross (total) .....		284	225
4180 Budget authority, net (total) .....	153	182	215
4190 Outlays, net (total) .....		284	225

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

Program and Financing (in millions of dollars)

Identification code 16-1800-0-1-603	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2		
0900 Total new obligations (object class 42.0) .....	2		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-13		
1021 Recoveries of prior year unpaid obligations .....		32	
1029 Other balances withdrawn .....		-32	
1050 Unobligated balance (total) .....	-13		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2		
1260 Appropriations, mandatory (total) .....	2		

1800	Spending authority from offsetting collections, mandatory: Collected .....	13		
1850	Spending auth from offsetting collections, mand (total) .....	13		
1900	Budget authority (total) .....	15		
1930	Total budgetary resources available .....	2		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	20	32	
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....			
3010	Obligations incurred, unexpired accounts .....	12		
3020	Outlays (gross) .....	2		
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
			-32	
3050	Unpaid obligations, end of year .....	32		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	32	32	
3200	Obligated balance, end of year .....	32		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	15		
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-13		
4180	Budget authority, net (total) .....	2		
4190	Outlays, net (total) .....	-11		

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through its December 7, 2010, phaseout period.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the revolving fund established by section 901(e) of the Social Security Act, to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0327-0-1-600	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 FECA Costs .....	140		
0011 Advance to ESAA revolving fund .....			600
0900 Total new obligations (object class 41.0) .....	140		600
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	140		600
1260 Appropriations, mandatory (total) .....	140		600
1930 Total budgetary resources available .....	140		600
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	140		600
3020 Outlays (gross) .....	-140		-600

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross .....	140	600
Outlays, gross:			
4100	Outlays from new mandatory authority .....	140	600
4180	Budget authority, net (total) .....	140	600
4190	Outlays, net (total) .....	140	600

This account makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) when its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This account also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment and Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance for Workers (TAA) program. These advances are shown as budget authority and outlays in the Advances account. The 2014 appropriations language for this account includes new authority for nonrepayable advances to the revolving fund for the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund. In turn, this revolving fund may provide repayable, interest-bearing advances to the ESAA account if it runs short of funds, and the borrowing authority will enable ESAA to cover its obligations despite seasonal variations in the account's receipts.

Advances were needed for the FUA, EUCA, and FECA accounts in fiscal year 2012, and the need is expected to continue. Detail on the nonrepayable advances is provided above; detail on the repayable advances is shown separately in the UTF account.

To address the potential need for significant, and somewhat unpredictable advances to various accounts, Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2014 request continues this authority.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, \$99,009,065, together with not to exceed \$50,608,425 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0172-0-1-504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Adult services .....	55	56	
0002 Youth services .....	12	12	
0003 Workforce security .....	43	43	43

PROGRAM ADMINISTRATION—Continued  
Program and Financing—Continued

Identification code 16-0172-0-1-504		2012 actual	2013 CR	2014 est.
0004	Apprenticeship training, employer and labor services .....	28	28	28
0005	Executive direction .....	9	9	9
0006	Training & Employment Services .....			69
0799	Total direct obligations .....	147	148	149
0803	Reimbursable programs (DUA & E-grants) .....	1	1	1
0900	Total new obligations .....	148	149	150
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	97	98	99
1160	Appropriation, discretionary (total) .....	97	98	99
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections (UTF) .....	51	51	51
1750	Spending auth from offsetting collections, disc (total) .....	51	51	51
1900	Budget authority (total) .....	148	149	150
1930	Total budgetary resources available .....	148	149	150
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	45	39	38
3010	Obligations incurred, unexpired accounts .....	148	149	150
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-151	-150	-168
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	39	38	20
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	45	39	38
3200	Obligated balance, end of year .....	39	38	20
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	148	149	150
Outlays, gross:				
4010	Outlays from new discretionary authority .....	128	130	131
4011	Outlays from discretionary balances .....	23	20	37
4020	Outlays, gross (total) .....	151	150	168
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-51	-51	-51
4180	Budget authority, net (total) .....	97	98	99
4190	Outlays, net (total) .....	100	99	117

This account provides for the Federal administration of Employment and Training Administration programs.

**Training and Employment services.**—In 2014, the Department proposes to combine the Adult services and Youth services activities into one budget activity, Training and Employment services. This restructuring will more accurately align with how work is performed in the field and allow greater flexibility for staff to perform functions related to adults and youth.

**Adult services.**—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

**Youth services.**—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for youth.

**Workforce security.**—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center net-

work, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

**Office of Apprenticeship.**—Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards, and provides outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs.

**Executive direction.**—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

## Object Classification (in millions of dollars)

Identification code 16-0172-0-1-504		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	75	77	77
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	76	78	78
12.1	Civilian personnel benefits .....	22	23	23
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	9	9	10
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	5	4	4
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	19	19	19
25.7	Operation and maintenance of equipment .....	10	9	9
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	1	1	1
99.0	Direct obligations .....	147	148	149
99.0	Reimbursable obligations .....	1	1	1
99.9	Total new obligations .....	148	149	150

## Employment Summary

Identification code 16-0172-0-1-504		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	778	778	780
2001	Reimbursable civilian full-time equivalent employment .....	4	4	4

## WORKERS COMPENSATION PROGRAMS

## Program and Financing (in millions of dollars)

Identification code 16-0170-0-1-806		2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	31	25	14
3020	Outlays (gross) .....	-6	-11	-9
3050	Unpaid obligations, end of year .....	25	14	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	31	25	14
3200	Obligated balance, end of year .....	25	14	5
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	6	11	9
4190	Outlays, net (total) .....	6	11	9

**Workers Compensation Programs.**—Section 5011 of Public Law 109-148 made \$50,000,000 available to the New York State Uninsured Employers Fund for reimbursement of claims related to the September 11, 2001, terrorist attacks on the United States and for reimbursement of claims related to the first response

emergency services personnel who were injured, were disabled, or died due to such terrorist attacks.

STATE PAID LEAVE FUND

For grants and contracts to assist in the start-up of new paid leave programs in the States, \$5,000,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0185–0–1–505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 States paid leave fund .....			5
0900 Total new obligations (object class 41.0) .....			5
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			5
1160 Appropriation, discretionary (total) .....			5
1930 Total budgetary resources available .....			5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			5
3020 Outlays (gross) .....			–1
3050 Unpaid obligations, end of year .....			4
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			5
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4180 Budget authority, net (total) .....			5
4190 Outlays, net (total) .....			1

The 2014 Budget requests \$5 million for the State paid leave fund in the Department of Labor to assist States in setting up paid leave programs by providing technical assistance and other support.

ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION ACCOUNT OF THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 16–4510–0–4–603	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			600
1850 Spending auth from offsetting collections, mand (total) .....			600
1930 Total budgetary resources available .....			600
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			600
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			600
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			–600
4190 Outlays, net (total) .....			–600

This account is a revolving fund that is available to make advances to the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing of the Federal and State administrative costs of employment security programs when the balance in ESAA is insufficient. The borrowing authority also enables ESAA to cover its obligations despite seasonal variations in the account's receipts.

Trust Funds

UNEMPLOYMENT TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 16–8042–0–7–999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	9,757	15,123	21,808
Adjustments:			
0190 Adjustment - prior year accounting adjustment .....	38		
0191 Adjustment - FY12 definite appropriation .....		–35	
0199 Balance, start of year .....	9,795	15,088	21,808
Receipts:			
0200 General Taxes, FUTA, Unemployment Trust Fund .....	7,059	7,862	8,442
0201 General Taxes, FUTA, Unemployment Trust Fund .....			1,305
0202 General Taxes, FUTA, Unemployment Trust Fund .....			–3,083
0203 Unemployment Trust Fund, State Accounts, Deposits by States .....	59,378	52,586	51,494
0204 Unemployment Trust Fund, State Accounts, Deposits by States .....			7
0205 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	210	107	39
0220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	1,129	606	455
0221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....		–606	–455
0240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	1,387	1,162	1,082
0241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund .....	140		
0242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....	40,590	30,767	7,157
0243 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	472	559	703
0299 Total receipts and collections .....	110,365	93,043	67,146
0400 Total: Balances and collections .....	120,160	108,131	88,954
Appropriations:			
0500 Unemployment Trust Fund .....	–4,336	–4,362	–4,100
0501 Unemployment Trust Fund .....	–105,797	–89,387	–65,138
0502 Unemployment Trust Fund .....	5,171	7,537	5,436
0503 Unemployment Trust Fund .....			33
0504 Unemployment Trust Fund .....			15
0505 Railroad Unemployment Insurance Trust Fund .....	–15	–26	–26
0506 Railroad Unemployment Insurance Trust Fund .....		11	11
0507 Railroad Unemployment Insurance Trust Fund .....	–199	–86	–16
0508 Railroad Unemployment Insurance Trust Fund .....	–40	–162	–152
0509 Railroad Unemployment Insurance Trust Fund .....	162	152	67
0599 Total appropriations .....	–105,054	–86,323	–63,870
0795 Adjustment - reconcile to actual unappropriated balances .....	17		
0799 Balance, end of year .....	15,123	21,808	25,084

Program and Financing (in millions of dollars)

Identification code 16–8042–0–7–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments by States .....	87,108	75,441	51,344
0002 Federal employees' unemployment compensation .....	1,412	1,100	1,076
0003 State administrative expenses .....	4,673	3,811	3,811
0007 UI Mod Benefits/Administration .....	261	200	200
0010 Direct expenses .....	124	123	123
0011 Reimbursements to the Department of the Treasury .....	82	88	90
0020 Veterans employment and training .....	212	213	221
0021 Interest on FUTA refunds .....	1	1	1
0022 Interest on General Fund Advances .....	1,247	830	670

UNEMPLOYMENT TRUST FUND—Continued  
Program and Financing—Continued

Identification code 16-8042-0-7-999	2012 actual	2013 CR	2014 est.
0900 Total new obligations .....	95,120	81,807	57,536
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	241
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		35	
1050 Unobligated balance (total) .....		36	241
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	4,336	4,362	4,100
1160 Appropriation, discretionary (total) .....	4,336	4,362	4,100
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	105,797	89,387	65,138
1235 Appropriations precluded from obligation .....	-5,171	-7,537	-5,436
1236 Appropriations applied to repay debt .....	-22,005	-9,100	-10,600
1260 Appropriations, mandatory (total) .....	78,621	72,750	49,102
Borrowing authority, mandatory:			
1400 Borrowing authority .....	12,860	4,900	4,200
1421 Borrowing authority applied to repay debt .....	-696		
1440 Borrowing authority, mandatory (total) .....	12,164	4,900	4,200
1900 Budget authority (total) .....	95,121	82,012	57,402
1930 Total budgetary resources available .....	95,121	82,048	57,643
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	241	107
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,225	5,236	3,058
3010 Obligations incurred, unexpired accounts .....	95,120	81,807	57,536
3020 Outlays (gross) .....	-96,109	-83,985	-58,734
3050 Unpaid obligations, end of year .....	5,236	3,058	1,860
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,225	5,236	3,058
3200 Obligated balance, end of year .....	5,236	3,058	1,860
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,336	4,362	4,100
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,774	3,294	3,059
4011 Outlays from discretionary balances .....	1,370	1,541	1,073
4020 Outlays, gross (total) .....	4,144	4,835	4,132
Mandatory:			
4090 Budget authority, gross .....	90,785	77,650	53,302
Outlays, gross:			
4100 Outlays from new mandatory authority .....	90,293	77,650	53,302
4101 Outlays from mandatory balances .....	1,672	1,500	1,300
4110 Outlays, gross (total) .....	91,965	79,150	54,602
4180 Budget authority, net (total) .....	95,121	82,012	57,402
4190 Outlays, net (total) .....	96,109	83,985	58,734
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	16,030	20,673	25,000
5001 Total investments, EOY: Federal securities: Par value .....	20,673	25,000	27,000
5080 Outstanding debt, SOY: Repayable advances .....	-42,773	-32,932	-28,732
5081 Outstanding debt, EOY: Repayable advances .....	-32,932	-28,732	-22,332
5082 Borrowing: Repayable advances .....	-12,860	-4,900	-4,200

## Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	95,121	82,012	57,402
Outlays .....	96,109	83,985	58,734
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-33
Outlays .....			-33
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-15
Outlays .....			-15
<b>Total:</b>			
Budget Authority .....	95,121	82,012	57,354
Outlays .....	96,109	83,985	58,686

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). All State and Federal unemployment tax receipts are deposited into the UTF and invested in Government securities until needed for benefit payments or administrative expenses. State payroll taxes pay for all regular State unemployment benefits. The Federal unemployment tax (FUTA) pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, and about 97 percent of the costs of the Employment Service. In addition, the Federal tax pays for certain extended benefit payments. During periods of high State unemployment, there is a stand-by program of extended benefits (EB), financed one-half by State unemployment taxes and one-half by the FUTA payroll tax, which are also paid out of the UTF. The American Recovery and Reinvestment Act (Public Law 111-5), and subsequent legislation, has temporarily made EB 100 percent federally financed. Temporary Federal extended benefit programs, including the current Emergency Unemployment Compensation program, are also funded from the Unemployment Trust Fund, either by the Federal tax or by reimbursement from Federal general revenues. The UTF also provides repayable advances (loans) to the States when the balances in their individual State accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable advances from the general fund when they have insufficient balances to make advances to States, pay the Federal share of extended unemployment benefits, or pay for State and Federal administrative costs.

The Federal Employees Compensation Account (FECA) in the Trust Fund provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA account can make the required payments to States are provided from the Advances to the Unemployment Trust Fund and Other Funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the Unemployment Trust Fund, and receipts from a tax on railroad payrolls are deposited into the Trust Fund to meet expenses.

*Legislative proposals to strengthen the unemployment insurance safety net.*—The economic downturn continues to severely test the adequacy of States' unemployment insurance (UI) systems, forcing States to borrow to continue paying benefits. These debts are now being repaid through additional taxes on employers, which undermine much-needed job creation. To provide short-term relief to employers in these States, the 2014 Budget will propose a suspension of interest on State UI borrowing in 2013 and 2014 along with a suspension of the FUTA credit reduction, which is an automatic debt repayment mechanism for those years. To address the need for States to return their unemployment trust funds to solvency, the Budget will also propose to increase the FUTA taxable wage base to \$15,000 in 2016 and to index it to average wages thereafter. States with lower wage bases will need to adjust their UI tax structures. The FUTA tax rate will be returned to 0.8% in 2014, to strengthen the solvency of the Federal trust fund accounts, then lowered in 2016 in a revenue-neutral way. This package will encourage States to put their UI systems on a firmer financial footing for the future, while preventing unnecessary burden on employers in the short term as the economy recovers. The impact of this proposal is on several receipt

accounts that feed into the UTF, including FUTA deposits, deposits of State unemployment taxes into the UTF, and interest on loans.

Section 908 of the Social Security Act currently requires the Secretary of Labor to establish an Advisory Council on Unemployment Compensation every fourth year. This requirement would be replaced with language that would allow the Secretary of Labor to periodically establish an Advisory Council.

**Status of Funds** (in millions of dollars)

Identification code 16-8042-0-7-999	2012 actual	2013 CR	2014 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	-26,748	-12,573	-3,626
0199 Total balance, start of year .....	-26,748	-12,573	-3,626
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1200 General Taxes, FUTA, Unemployment Trust Fund .....	7,059	7,862	8,442
1203 Unemployment Trust Fund, State Accounts, Deposits by States .....	59,378	52,586	51,494
1205 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	210	107	39
<b>Offsetting receipts (proprietary):</b>			
1220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	1,129	606	455
<b>Offsetting receipts (intragovernmental):</b>			
1240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	1,387	1,162	1,082
1241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund .....	140		
1242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....	40,590	30,767	7,157
1243 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	472	559	703
<b>Offsetting collections:</b>			
1280 Railroad Unemployment Insurance Trust Fund .....	21	22	23
1299 Income under present law .....	110,386	93,671	69,395
<b>Proposed legislation:</b>			
<b>Receipts:</b>			
2201 General Taxes, FUTA, Unemployment Trust Fund .....			1,305
2202 General Taxes, FUTA, Unemployment Trust Fund .....			-3,083
2204 Unemployment Trust Fund, State Accounts, Deposits by States .....			7
<b>Offsetting receipts (proprietary receipts):</b>			
2221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....		-606	-455
2299 Income under proposed legislation .....		-606	-2,226
3299 Total cash income .....	110,386	93,065	67,169
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
4500 Unemployment Trust Fund .....	-96,109	-83,985	-58,734
4500 Railroad Unemployment Insurance Trust Fund .....	-118	-133	-139
4599 Outgo under current law (-) .....	-96,227	-84,118	-58,873
<b>Proposed legislation:</b>			
5500 Unemployment Trust Fund .....			15
5500 Unemployment Trust Fund .....			33
5599 Outgo under proposed legislation (-) .....			48
6599 Total cash outgo (-) .....	-96,227	-84,118	-58,825
<b>Manual Adjustments:</b>			
7690 Adjustment - reconcile to actual unappropriated balances .....	17		
7691 Rounding adjustment .....	-1		
7699 Total adjustments .....	16		
<b>Unexpended balance, end of year:</b>			
8700 Uninvested balance (net), end of year .....	-33,246	-28,626	-22,282
8701 Unemployment Trust Fund .....	20,673	25,000	27,000
8799 Total balance, end of year .....	-12,573	-3,626	4,718

**Object Classification** (in millions of dollars)

Identification code 16-8042-0-7-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.3 Reimbursements to Department of the Treasury .....	82	88	90
42.0 FECA (Federal Employee) Benefits .....	1,412	1,100	1,076
42.0 State unemployment benefits .....	87,108	74,895	50,638
43.0 Interest and dividends .....	1,248	1,311	1,311

94.0	ETA-PA, BLS, FLC .....	124	183	183
94.0	Veterans employment and training .....	212	213	221
94.0	Payments to States for administrative expenses .....	4,667	3,811	3,811
94.0	Departmental management .....	6	6	6
94.0	UI Mod Benefits/Admin .....	261	200	200
99.9	Total new obligations .....	95,120	81,807	57,536

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-8042-2-7-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments by States .....			-33
0900 Total new obligations (object class 42.0) .....			-33
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			-33
1260 Appropriations, mandatory (total) .....			-33
1930 Total budgetary resources available .....			-33
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-33
3020 Outlays (gross) .....			33
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-33
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-33
4180 Budget authority, net (total) .....			-33
4190 Outlays, net (total) .....			-33

The savings reflected in the legislative proposal above are from a cap adjustment for Reemployment and Eligibility Assessments funded in the Unemployment Insurance administrative grants for the States. Please see the narrative in the "State Unemployment Insurance and Employment Service Operations" account for additional detail on this program integrity proposal.

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-8042-4-7-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments by States .....			-15
0900 Total new obligations (object class 42.0) .....			-15
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			-15
1260 Appropriations, mandatory (total) .....			-15
1930 Total budgetary resources available .....			-15
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-15
3020 Outlays (gross) .....			15
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-15
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-15
4180 Budget authority, net (total) .....			-15
4190 Outlays, net (total) .....			-15

UNEMPLOYMENT TRUST FUND—Continued

The savings reflected in the legislative proposal shown above are for three Unemployment Insurance (UI) program integrity proposals that would expand State use of programs that help them to prevent and recover improper payments.

The State Information Data Exchange System (SIDES) is designed to help employers provide the information required to determine a claimant's UI eligibility to States more quickly by providing a secure electronic data exchange between States and employers or their third party administrators. SIDES is currently used by about 35 States. This provision would require all State UI agencies to use SIDES. The improvements in speed and accuracy resulting from use of such a system will help avoid overpayments or underpayments and provide for more efficient and effective administration of the UI program.

States have gained access to the Treasury Offset Program (TOP) to recover certain UI debts (stemming from overpayments due to fraud or failure to report earnings). A number of States already use TOP, and they have found it an effective debt recovery tool when other attempts to collect legally enforceable UI debts have failed. The legislative proposal will expand State use of TOP, which will increase recovery of overpayments.

To avoid paying UI benefits to incarcerated individuals (with an exception for those on work release), legislation will be proposed to require States to cross-match UI claimants with the Prisoner Update Processing System (PUPS) database housed at the Social Security Administration. The proposal will also add new data elements to PUPS on prospective and actual release dates, which should make the system more valuable to agency users as an indicator of potential ineligibility for UI benefits.

**EMPLOYEE BENEFITS SECURITY  
ADMINISTRATION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses for the Employee Benefits Security Administration, \$179,104,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16–1700–0–1–601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Enforcement and participant assistance .....	145	144	147
0002 Policy and compliance assistance .....	30	34	26
0003 Executive leadership, program oversight and administration ...	7	7	7
0799 Total direct obligations .....	182	185	180
0801 Reimbursable program .....	6	9	9
0900 Total new obligations .....	188	194	189
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	184	184	180
1160 Appropriation, discretionary (total) .....	184	184	180
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected: Federal Sources .....	6	9	9
1710 Spending authority from offsetting collections transferred to other accounts [16–0165] .....	–1		
1750 Spending auth from offsetting collections, disc (total) .....	5	9	9
1900 Budget authority (total) .....	189	193	189

1930 Total budgetary resources available .....	189	194	189
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	60	52
3010 Obligations incurred, unexpired accounts .....	188	194	189
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	–170	–202	–190
3041 Recoveries of prior year unpaid obligations, expired .....	–6		
3050 Unpaid obligations, end of year .....	60	52	51
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	43	60	52
3200 Obligated balance, end of year .....	60	52	51
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	189	193	189
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	137	145	142
4011 Outlays from discretionary balances .....	33	57	48
4020 Outlays, gross (total) .....	170	202	190
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Baseline Program [Reimbursable] .....	–6	–9	–9
4180 Budget authority, net (total) .....	183	184	180
4190 Outlays, net (total) .....	164	193	181

*Enforcement and participant assistance.*—Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act. Assures compliance with applicable reporting, disclosure, and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance to benefit plan professionals and participants and to the general public.

*Policy and compliance assistance.*—Conducts policy, research, and legislative analyses on pension, health, and other employee benefit issues. Provides compliance assistance to employers and plan officials. Develops regulations and interpretations. Issues individual and class exemptions from regulations.

	2012 actual	2013 est.	2014 est.
<b>ENFORCEMENT AND PARTICIPANT ASSISTANCE</b>			
Investigation conducted .....	3,884	3,994	3,994
Investigations closed that restored or protected assets .....	2,570	2,676	2,676
Benefit recoveries from customer assistance .....	289,830,000 <sup>1</sup>	173,000,000	173,000,000
Inquiries received .....	239,520 <sup>2</sup>	250,000	250,000 <sup>3</sup>
Reporting compliance reviews .....	4,609	4,330	4,330
<b>POLICY AND COMPLIANCE ASSISTANCE</b>			
Exemptions, determinations, interpretations, and regulations issues .....	4,565	4,876	4,965 <sup>4</sup>
Average days to process exemption requests .....	238	300	300

<sup>1</sup> Of 4, 881 inquiries that produced benefit recoveries, 3 inquiries resulted in over \$78 million (30%) of recoveries from customer assistance.

<sup>2</sup> Includes 3, 240 American Recovery and Reinvestment Act (ARRA) related inquiries.

<sup>3</sup> ARRA inquiries not included in 2013 or 2014 projections because eligibility for the COBRA subsidy expired May 31, 2010.

<sup>4</sup> Includes Multiple Employer Welfare Arrangement (MEWA) registration.

*Executive leadership, program oversight, and administration.*—Provides leadership, policy direction, strategic planning, and administrative guidance in the support of the Department's ERISA responsibilities. Provides analytical and administrative support for the financial, human capital management, and other administrative functions. Manages the Agency's technical program training and employee development activities.

## Object Classification (in millions of dollars)

Identification code 16-1700-0-1-601	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	82	94	93
11.5 Other personnel compensation .....	2	3	3
11.9 Total personnel compensation .....	84	97	96
12.1 Civilian personnel benefits .....	24	27	27
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	11	11	11
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1	.....	.....
25.2 Other services from non-Federal sources .....	8	4	4
25.3 Other goods and services from Federal sources .....	17	16	16
25.5 Research and development contracts .....	12	9	5
25.7 Operation and maintenance of equipment .....	15	14	14
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	1	2
99.0 Direct obligations .....	182	185	181
99.0 Reimbursable obligations .....	6	9	8
99.9 Total new obligations .....	188	194	189

## Employment Summary

Identification code 16-1700-0-1-601	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	931	1,003	1,003

0803 Pension insurance activities .....	83	76	80
0804 Pension plan termination .....	225	241	270
0805 Operational support .....	138	162	155
9900 Total new obligations .....	5,860	6,219	6,978

Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15,312	15,582	16,784
Budget authority:			
Appropriations, mandatory:			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-100	.....	.....
1260 Appropriations, mandatory (total) .....	-100	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	6,230	7,421	8,598
1850 Spending auth from offsetting collections, mand (total) .....	6,230	7,421	8,598
1900 Budget authority (total) .....	6,130	7,421	8,598
1930 Total budgetary resources available .....	21,442	23,003	25,382
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15,582	16,784	18,404

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	240	238	.....
3010 Obligations incurred, unexpired accounts .....	5,860	6,219	6,978
3020 Outlays (gross) .....	-5,862	-6,457	-6,978
3050 Unpaid obligations, end of year .....	238	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	240	238	.....
3200 Obligated balance, end of year .....	238	.....	.....

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross .....	6,130	7,421	8,598
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5,694	6,219	6,978
4101 Outlays from mandatory balances .....	168	238	.....
4110 Outlays, gross (total) .....	5,862	6,457	6,978
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-734	-656	-705
4123 Non-Federal sources .....	-5,496	-6,765	-7,893
4130 Offsets against gross budget authority and outlays (total) .....	-6,230	-7,421	-8,598
4160 Budget authority, net (mandatory) .....	-100	.....	.....
4170 Outlays, net (mandatory) .....	-368	-964	-1,620
4180 Budget authority, net (total) .....	-100	.....	.....
4190 Outlays, net (total) .....	-368	-964	-1,620
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value .....	15,731	16,076	17,300
5001 Total investments, EOY: Federal securities: Par value .....	16,076	17,300	18,902

## PENSION BENEFIT GUARANTY CORPORATION

## Federal Funds

## PENSION BENEFIT GUARANTY CORPORATION FUND

The Pension Benefit Guaranty Corporation ("Corporation") is authorized to make such expenditures, including financial assistance authorized by subtitle E of title IV of the Employee Retirement Income Security Act of 1974, within limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, as may be necessary in carrying out the program, including associated administrative expenses, through September 30, 2014, for the Corporation: Provided, That none of the funds available to the Corporation for fiscal year 2014 shall be available for obligations for administrative expenses in excess of \$505,441,000: Provided further, That to the extent that the number of new plan participants in plans terminated by the Corporation exceeds 100,000 in fiscal year 2014, an amount not to exceed an additional \$9,200,000 shall be available through September 30, 2015, for obligation for administrative expenses for every 20,000 additional terminated participants: Provided further, That additional funds shall be available for obligation for investment management fees incurred in carrying out the Corporation's Board-approved Investment Policy, after approval by the Office of Management and Budget and notification of the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That obligations in excess of the amounts provided in this paragraph may be incurred for unforeseen and extraordinary pretermination expenses or extraordinary multiemployer program related expenses after approval by the Office of Management and Budget and notification of the Committees on Appropriations of the House of Representatives and the Senate.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 16-4204-0-3-601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Single-employer benefit payment .....	5,319	5,634	6,358
0802 Multi-employer financial assistance .....	95	106	115

The Pension Benefit Guaranty Corporation is a federal corporation established under the Employee Retirement Income Security Act of 1974, as amended. It guarantees payment of basic pension benefits earned by more than 43 million of America's workers and retirees participating in more than 26,000 private sector defined pension plans. The Corporation receives no funds from general tax revenues. Operations are financed by insurance premiums paid by companies that sponsor defined benefit pension plans, investment income, and assets from terminated plans.

PBGC is requesting \$505,441,000 in spending authority for administrative purposes in 2014. The increase from 2013 supports increasing costs for investments fees and the multiemployer program, as well as improving the benefit payment and processing function.

The 2014 Budget proposes to give the PBGC Board the authority, beginning in 2015, to make future premium rate adjustments that take into account the risks that different sponsors pose to their retirees and PBGC. The Budget requires the Board to undertake a one-year study and public comment period, as well as a gradual phase-in of any increases. This proposal will both en-

## PENSION BENEFIT GUARANTY CORPORATION FUND—Continued

courage companies to fully fund their pension benefits and ensure the agency's continued financial soundness.

PBGC is currently benchmarking its investment management practices against those of other large pension and/or trust fund administrators. This process may lead to modifications in 2015 on how PBGC accounts for investment management activities to bring PBGC more in line with standard investment industry practices. To facilitate this process, the Administration is proposing a modification to the PBGC appropriations language to make it easier for the Corporation to implement its Board-approved Investment Policy.

*Plan Preservation Efforts:* PBGC tries, first, to preserve plans and keep pension promises in the hands of the employers who make them. When companies undertake major transactions that might threaten their ability to pay pensions, PBGC negotiates protections for their pension plans. Last year PBGC negotiated with dozens of companies, both in bankruptcy and otherwise, to preserve their plans. Similarly, when major layoffs or plant closures threaten a plan's viability, PBGC steps in to negotiate protection for the plan. In 2012, PBGC:

— Helped to protect 130,000 people in American Airlines plans, and tens of thousands more in other plans in ongoing bankruptcies,

— Helped to protect 37,000 people in plans sponsored by companies that emerged from bankruptcy without terminating their plans,

— Negotiated \$31 million in financial assurance to protect more than 9,000 people in plans at risk from corporate transactions,

— Negotiated \$471 million in financial assurance to protect 50,000 people whose companies downsized, and

— Worked with media, Congressional staff, retiree groups, unions, and pension advocacy groups to help thousands to understand the lifetime consequences of accepting one-time cash payments instead of their pensions.

*Stepping in to Insure Pensions When Plans Fail:* When plans do fail, PBGC steps in to ensure that a portion of benefits continue to be paid. Over the years, PBGC has become responsible for almost 1.5 million people in 4,300 failed plans. In 2012, PBGC:

— Assumed responsibility for more than 47,000 people in 155 newly failed single-employer plans,

— Started paying benefits to the 17,000 retirees in those plans, on time and without missing a single payment,

— Paid \$5.5 billion to nearly 887,000 retirees in more than 4,500 failed plans (an additional 614,000 workers will receive benefits when they retire), and

— Re-evaluated the benefits of more than 223,000 pensioners at United Airlines, National Steel, and in other plans to correct possible errors in the original work over the past decade, and started changing procedures and organization to avoid errors in the future.

**Budget activities:**

*Single-employer benefit payments.*—The single-employer program protects about 33 million workers and retirees in about 24,000 pension plans. Under this program, a company may voluntarily seek to terminate its plan, or PBGC may seek termination. The PBGC must seek termination when a plan cannot pay current benefits. A plan that cannot pay all benefits may be ended by a "distress" termination, but only if the employer meets tests proving severe financial distress, such as proving that continuing the plan would force the company to go out of business. If a terminated plan cannot pay at least the PBGC-guaranteed level of benefits, PBGC uses its funds to ensure that guaranteed benefits are paid. A sponsor may terminate a plan in a "standard" termin-

ation only if plan assets are sufficient to pay all benefits. In a standard termination, the sponsor closes out the plan by purchasing annuities from an insurance company or by paying benefits in a lump sum. After a standard termination, the PBGC guarantee ends.

*Multiemployer financial assistance.*—The multiemployer insurance program protects about 10 million workers and retirees in about 1,500 pension plans. Multiemployer pension plans are maintained under collectively bargained agreements involving unrelated employers, generally of the same industry. If a PBGC-insured multiemployer plan is unable to pay guaranteed benefits when due, the PBGC will provide the plan with financial assistance (a loan to the plan) to continue paying guaranteed benefits.

*Pension insurance activities.*—This part of the administrative budget includes premium collections, purchase of U.S. Treasury securities using premium receipts, pre-trusteeship work, efforts to preserve pension plans, recovery of assets from former plan sponsors, and pension insurance program protection activities.

*Pension plan termination.*—This part of the administrative budget includes all activities related to trusteeship; plan asset management, investment and accounting; as well as benefit payments and administration services.

*Operational support.*—This part of the administrative budget includes the administrative, information technology infrastructure, and other shared program support for both PBGC's insurance and plan termination activities. The operational support activity includes the operations of the Inspector General and a request for funding in the amount of \$6,127,000 to support the required functions and efforts of the office, including training and CIGIE.

**Balance Sheet** (in millions of dollars)

Identification code 16-4204-0-3-601	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1102 Treasury securities, par .....	15,731	19,222
1102 Treasury securities, unamortized discount .....	3,107	
1106 Receivables, net .....	92	82
1206 Non-Federal assets: Receivables, net .....	563	1,088
1601 Direct loans, gross .....	599	693
1603 Allowance for estimated uncollectible loans and interest (-) .....	-599	-693
1699 Value of assets related to direct loans .....		
Other Federal assets:		
1801 Cash and other monetary assets .....	45	273
1803 Property, plant and equipment, net .....	33	42
1901 Other assets .....	132	122
1999 Total assets .....	19,703	20,829
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	463	430
2206 Pension and other actuarial liabilities .....	45,276	54,778
2999 Total liabilities .....	45,739	55,208
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	-26,036	-34,379
4999 Total liabilities and net position .....	19,703	20,829

**Object Classification** (in millions of dollars)

Identification code 16-4204-0-3-601	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	98	113	115
11.3 Other than full-time permanent .....	1	2	2
11.5 Other personnel compensation .....	3	4	5
11.9 Total personnel compensation .....	102	119	122
12.1 Civilian personnel benefits .....	29	33	33
21.0 Travel and transportation of persons .....	1	2	2
23.2 Rental payments to others .....	28	28	28
23.3 Communications, utilities, and miscellaneous charges .....	5	6	6

24.0	Printing and reproduction .....	1		
25.1	Advisory and assistance services .....	76	73	96
25.2	Other services from non-Federal sources .....	193	208	203
25.3	Other goods and services from Federal sources .....	4	1	4
26.0	Supplies and materials .....	2	3	3
31.0	Equipment .....	5	8	8
33.0	Investments and loans .....	95	116	115
42.0	Insurance claims and indemnities .....	5,319	5,622	6,358
99.9	Total new obligations .....	5,860	6,219	6,978

**Employment Summary**

Identification code 16-4204-0-3-601	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	956	1,017	1,018

**EMPLOYMENT STANDARDS ADMINISTRATION**

*Federal Funds*

SALARIES AND EXPENSES

**Program and Financing** (in millions of dollars)

Identification code 16-0105-0-1-505	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	98	21	
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-74	-21	
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	21		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	98	21	
3200 Obligated balance, end of year .....	21		

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	74	21	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4190 Outlays, net (total) .....	74	21	

In 2010, the Department of Labor abolished the Employment Standards Administration (ESA) to streamline administration of the programs. As the Department is reinvigorating its enforcement of worker protection laws, this reorganization supports the Administration's Worker Protection efforts by eliminating redundant management efforts by elevating program issues directly to the Secretarial level. It also reflects the importance of these programs and increased enforcement supporting the Secretary's Worker Protection goals. The Consolidated Appropriations Act, 2012 (P.L. 112-74) accepted the Administration's proposal to replace the appropriation for the Employment and Standards Administration by four individual appropriations for the component agencies and offices previously under the heading "Employment Standards Administration Salaries and Expenses." In the 2014 Budget, funding is requested separately for the Office of Workers' Compensation Programs, Wage and Hour Division, Office of Federal Contract Compliance Programs, and Office of Labor-Management Standards.

**OFFICE OF WORKERS' COMPENSATION PROGRAMS**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses for the Office of Workers' Compensation Programs, \$118,458,000, together with \$2,142,000 which may be expended

from the Special Fund in accordance with sections 39(c), 44(d), and 44(j) of the Longshore and Harbor Workers' Compensation Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-0163-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Federal programs for workers' compensation .....	151	151	153
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	116	116	118
1160 Appropriation, discretionary (total) .....	116	116	118
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	35	35	35
1750 Spending auth from offsetting collections, disc (total) .....	35	35	35
1900 Budget authority (total) .....	151	151	153
1930 Total budgetary resources available .....	151	151	153

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		16	17
3010 Obligations incurred, unexpired accounts .....	151	151	153
3020 Outlays (gross) .....	-135	-150	-151
3050 Unpaid obligations, end of year .....	16	17	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		16	17
3200 Obligated balance, end of year .....	16	17	19

**Budget authority and outlays, net:**

Discretionary:			
Budget authority, gross .....	151	151	153
Outlays, gross:			
4010 Outlays from new discretionary authority .....	135	140	142
4011 Outlays from discretionary balances .....		10	9
4020 Outlays, gross (total) .....	135	150	151
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-35	-35	-35
4180 Budget authority, net (total) .....	116	116	118
4190 Outlays, net (total) .....	100	115	116

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	116	116	118
Outlays .....	100	115	116
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			2
Outlays .....			2
Total:			
Budget Authority .....	116	116	120
Outlays .....	100	115	118

The Office of Workers' Compensation Programs (OWCP) administers the Federal Employees' Compensation Act, the Longshore and Harbor Workers' Compensation Act, the Energy Employees Occupational Illness Compensation Program Act, and the Black Lung Benefits Act. These programs ensure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services, including vocational rehabilitation, supervision of medical care, and technical and advisory counseling, to which they are entitled.

**SALARIES AND EXPENSES—Continued**  
**Object Classification** (in millions of dollars)

Identification code 16-0163-0-1-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	83	83	84
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	84	84	85
12.1 Civilian personnel benefits .....	27	25	28
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	9	10	9
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	5	5	5
25.3 Other goods and services from Federal sources .....	11	12	11
25.7 Operation and maintenance of equipment .....	9	9	9
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	151	151	153

**Employment Summary**

Identification code 16-0163-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	990	1,014	1,014

**SALARIES AND EXPENSES**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-0163-2-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Federal programs for workers' compensation .....			2
0900 Total new obligations (object class 25.2) .....			2
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			2
1160 Appropriation, discretionary (total) .....			2
1930 Total budgetary resources available .....			2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			2
3020 Outlays (gross) .....			-2
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			2
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			2
4180 Budget authority, net (total) .....			2
4190 Outlays, net (total) .....			2

The Budget proposes to replace the current Defense Base Act program with a new Government-wide benefit program the Overseas Contractors Compensation Act (OCCA). This account contains discretionary funding for OCCA, contingent on its enactment.

**SPECIAL BENEFITS**

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by 5 U.S.C. 81; continuation of benefits as provided for under the heading "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; sections 4(c) and 5(f) of the War Claims Act of 1948; and 50 percent of the additional compensation and benefits required by

section 10(h) of the Longshore and Harbor Workers' Compensation Act, \$396,000,000, together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year: Provided, That amounts appropriated may be used under 5 U.S.C. 8104 by the Secretary to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a re-employed, disabled beneficiary: further, That balances of reimbursements unobligated on September 30, 2013, shall remain available until expended for the payment of compensation, benefits, and expenses: Provided further, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under 5 U.S.C. 8147(c) to pay an amount for its fair share of the cost of administration, such sums as the Secretary determines to be the cost of administration for employees of such fair share entities through September 30, 2014: Provided further, That of those funds transferred to this account from the fair share entities to pay the cost of administration of the Federal Employees' Compensation Act, \$60,017,000 shall be made available to the Secretary as follows:

- (1) For enhancement and maintenance of automated data processing systems and telecommunications systems, \$19,499,000;
- (2) For automated workload processing operations, including document imaging, centralized mail intake, and medical bill processing, \$22,968,000;
- (3) For periodic roll and disability management and medical review, \$16,190,000;
- (4) For program integrity, \$1,360,000; and
- (5) The remaining funds shall be paid into the Treasury as miscellaneous receipts:

Provided further, That the Secretary may require that any person filing a notice of injury or a claim for benefits under 5 U.S.C. 81, or the Longshore and Harbor Workers' Compensation Act, provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-1521-0-1-600	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Longshore and harbor workers' compensation benefits .....	3	3	3
0002 Federal Employees' Compensation Act benefits .....	347	393	393
0799 Total direct obligations .....	350	396	396
0801 Federal Employees' Compensation Act benefits .....	2,677	2,891	2,891
0802 FECA Fair Share (administrative expenses) .....	62	59	60
0899 Total reimbursable obligations .....	2,739	2,950	2,951
0900 Total new obligations .....	3,089	3,346	3,347
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	482	644	680
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	483	644	680
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	350	396	396
1260 Appropriations, mandatory (total) .....	350	396	396
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	2,899	2,986	3,002
1801 Change in uncollected payments, Federal sources .....	1		
1850 Spending auth from offsetting collections, mand (total) .....	2,900	2,986	3,002
1900 Budget authority (total) .....	3,250	3,382	3,398
1930 Total budgetary resources available .....	3,733	4,026	4,078
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	644	680	731
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	118	185	
3010 Obligations incurred, unexpired accounts .....	3,089	3,346	3,347

3020	Outlays (gross) .....	-3,021	-3,531	-3,334
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	185		13
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	115	181	-4
3200	Obligated balance, end of year .....	181	-4	9

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	3,250	3,382	3,398
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	3,008	2,839	2,499
4101	Outlays from mandatory balances .....	13	692	835
4110	Outlays, gross (total) .....	3,021	3,531	3,334
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources .....	-2,899	-2,986	-3,002
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4160	Budget authority, net (mandatory) .....	350	396	396
4170	Outlays, net (mandatory) .....	122	545	332
4180	Budget authority, net (total) .....	350	396	396
4190	Outlays, net (total) .....	122	545	332

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	350	396	396
Outlays .....	122	545	332
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-9
Outlays .....			-9
<b>Total:</b>			
Budget Authority .....	350	396	387
Outlays .....	122	545	323

*Federal Employees' Compensation Act benefits.*—The Federal Employees' Compensation Act program provides monetary and medical benefits to Federal workers who sustain work-related injury or disease. Not all benefits are paid by the program, since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies (the continuation-of-pay period). In 2014, 115,000 injured Federal workers or their survivors are projected to file claims; 49,000 are projected to receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the beneficiaries' employing agencies.

**FEDERAL EMPLOYEES' COMPENSATION WORKLOAD**

	2012 actual	2013 est.	2014 est.
Wage-loss claims received .....	19,806	19,000	19,000
Number of compensation and medical payments processed .....	4,700,000	4,700,000	5,000,000
Cases received .....	115,697	115,000	115,000
Periodic payment cases .....	49,436	49,000	48,500

*Longshore and harbor workers' compensation benefits.*—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the special fund which is financed by private employers, and is assessed at the beginning of each calendar year for their proportionate share of these payments.

**Object Classification** (in millions of dollars)

Identification code 16-1521-0-1-600	2012 actual	2013 CR	2014 est.
42.0 Direct obligations: Insurance claims and indemnities .....	350	396	396
99.0 Reimbursable obligations .....	2,739	2,950	2,951

99.9	Total new obligations .....	3,089	3,346	3,347
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**Employment Summary**

Identification code 16-1521-0-1-600	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	119	109	114

**SPECIAL BENEFITS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-1521-4-1-600	2012 actual	2013 CR	2014 est.
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<b>Obligations by program activity:</b>				
0002	Federal Employees' Compensation Act benefits .....			-9
0900	Total new obligations (object class 42.0) .....			-9

<b>Budgetary Resources:</b>				
<b>Budget authority:</b>				
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....			-9
1260	Appropriations, mandatory (total) .....			-9
1900	Budget authority (total) .....			-9
1930	Total budgetary resources available .....			-9

<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3010	Obligations incurred, unexpired accounts .....			-9
3020	Outlays (gross) .....			9

<b>Budget authority and outlays, net:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross .....			-9
	Outlays, gross:			
4100	Outlays from new mandatory authority .....			-9
4180	Budget authority, net (total) .....			-9
4190	Outlays, net (total) .....			-9

The 2014 Budget incorporates longstanding Government Accountability Office, Congressional Budget Office, and Labor Inspector General recommendations, amending FECA to convert prospectively retirement-age beneficiaries to a retirement annuity-level benefit, establish an up-front waiting period for benefits for all beneficiaries, permit the Department of Labor to recapture compensation costs from responsible third parties, authorize the Department to cross-match FECA records with Social Security records to reduce improper payments, and make other changes to improve and update FECA. The 2014 reform legislation will also include a provision to allow the Department to add an administrative surcharge to the amount billed to Federal agencies for their FECA compensation costs, thereby shifting FECA administrative costs from the Department to Federal agencies in proportion to their usage. If enacted, the surcharge would not be applied until 2015 to give agencies an opportunity to plan for the change. The legislation would produce 10-year savings of more than \$460 million in the Special Benefits Fund, and more than \$500 million on a Government-wide basis over the same period.

**ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 16-1523-0-1-053	2012 actual	2013 CR	2014 est.
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<b>Obligations by program activity:</b>				
0001	Part B benefits .....	644	644	601
0002	Part E benefits .....	481	524	531
0003	RECA section 5 benefits .....	25	29	28
0004	RECA supplemental benefits (Part B) .....	17	15	14

ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION  
FUND—Continued  
Program and Financing—Continued

Identification code 16-1523-0-1-053	2012 actual	2013 CR	2014 est.
0900 Total new obligations (object class 42.0)	1,167	1,212	1,174
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1,167	1,212	1,174
1260 Appropriations, mandatory (total)	1,167	1,212	1,174
1900 Budget authority (total)	1,167	1,212	1,174
1930 Total budgetary resources available	1,167	1,212	1,174
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	26	1
3010 Obligations incurred, unexpired accounts	1,167	1,212	1,174
3020 Outlays (gross)	-1,163	-1,237	-1,174
3050 Unpaid obligations, end of year	26	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	26	1
3200 Obligated balance, end of year	26	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	1,167	1,212	1,174
Outlays, gross:			
4100 Outlays from new mandatory authority	1,163	1,212	1,174
4101 Outlays from mandatory balances		25	
4110 Outlays, gross (total)	1,163	1,237	1,174
4180 Budget authority, net (total)	1,167	1,212	1,174
4190 Outlays, net (total)	1,163	1,237	1,174
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value			1
5001 Total investments, EOY: Federal securities: Par value		1	1

**Energy Employees' Compensation Act benefits.**—The Department of Labor is delegated responsibility to adjudicate and administer claims for benefits under the Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA). In July 2001, the program began accepting claims from employees or survivors of employees of the Department of Energy (DOE) and of private companies under contract with DOE who suffer from a radiation-related cancer, beryllium-related disease, or chronic silicosis as a result of their work in producing or testing nuclear weapons. The Act authorizes a lump-sum payment of \$150,000 and reimbursement of medical expenses.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108-767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered under section 5 of the Radiation Exposure Compensation Act. Benefit payments under Part E began in 2005.

**EEOICPA Workload Summary**

	2012 actual	2013 est.	2014 est.
<b>Part B</b>			
Initial Claims Received	7,526	7,267	6,540
Initial Claims Processed	8,777	8,787	7,908
Final Decisions Issued	15,774	14,529	13,076
Payments Issued	5,501	5,689	5,120
<b>Part E</b>			
Initial Claims Received	6,520	6,387	5,940
Initial Claims Processed	7,417	7,647	7,418
Final Decisions Issued	15,927	12,904	11,614

Payments Issued ..... 968 3,919 3,801

OVERSEAS CONTRACTORS COMPENSATION ACT (OCCA)

(Legislative proposal, subject to PAYGO)

The growth in Federal contractors working overseas has brought into sharp focus the need for a more efficient approach to the Defense Base Act (DBA), which provides workers compensation coverage to Federal contract employees working overseas on defense bases and public works projects. The Budget proposes a new Government-wide fund to replace the patchwork of contract coverage now in effect under the DBA. Since 2002, the DBA caseload has increased by almost 2,600 percent, from 430 in 2002 to over 11,600 in 2011. DOL has experienced a number of administrative challenges in the wake of the increased workload, including difficulties in obtaining necessary documentation from foreign workers and delays in processing cases originating from war zones. In addition, under the program's current structure, the cost of DBA insurance which agencies pay through individual contracts exceed actual benefit by a significant margin. Over the past several years, DOL, the Department of Defense, the Department of State, and the U.S Agency for International Development have been working closely together to reform and improve the operation of the program, and the Budget reflects the culmination of those collaborative efforts. The proposal would replace the current DBA program with a new Government-wide benefit program called the Overseas Contractors Compensation Act (OCCA), under which benefits would be paid directly from a Federal fund administered by DOL and agencies would be billed only for their share of benefits and administrative costs.

ADMINISTRATIVE EXPENSES, ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND

*For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, \$55,176,000, to remain available until expended: Provided, That the Secretary may require that any person filing a claim for benefits under the Act provide as part of such claim such identifying information (including Social Security account number) as may be prescribed.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-1524-0-1-053	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Department of Labor	51	55	55
0004 Department of Labor (Part E)	73	74	74
0900 Total new obligations	124	129	129
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	6	6
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	4	6	6
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (Part B)	53	55	55
1200 Appropriation (Part E)	73	74	74
1260 Appropriations, mandatory (total)	126	129	129
1930 Total budgetary resources available	130	135	135
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	32	41
3010	Obligations incurred, unexpired accounts .....	124	129
3020	Outlays (gross) .....	-113	-170
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	
3050	Unpaid obligations, end of year .....	41	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	32	41
3200	Obligated balance, end of year .....	41	
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross .....	126	129
Outlays, gross:			
4100	Outlays from new mandatory authority .....	89	129
4101	Outlays from mandatory balances .....	24	41
4110	Outlays, gross (total) .....	113	170
4180	Budget authority, net (total) .....	126	129
4190	Outlays, net (total) .....	113	129

**Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA) administration.**—Under Executive Order 13179 the Secretary of Labor is assigned primary responsibility for administering the EEOICPA program, while other responsibilities have been delegated to the Departments of Health and Human Services (HHS), Energy (DOE), and Justice (DOJ). The Office of Workers' Compensation Programs (OWCP) in the Department of Labor (DOL) is responsible for claims adjudication, and award and payment of compensation and medical benefits. DOL's Office of the Solicitor provides legal support and represents the Department in claimant appeals of OWCP decisions. HHS is responsible for developing individual dose reconstructions to estimate occupational radiation exposure, and developing regulations to guide DOL's determination of whether an individual's cancer was caused by radiation exposure at a DOE or atomic weapons facility. DOE is responsible for providing exposure histories at employment facilities covered under the Act, and other employment information. DOJ assists claimants who have been awarded compensation under the Radiation Exposure Compensation Act to file for additional compensation, including medical benefits, under EEOICPA.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108-767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered by the Radiation Exposure Compensation Act. Administrative expenses for Part E are covered through indefinite, mandatory appropriations provided in P.L. 108-767.

**Object Classification** (in millions of dollars)

Identification code 16-1524-0-1-053	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	42	43
11.5	Other personnel compensation .....	1	
11.9	Total personnel compensation .....	43	43
12.1	Civilian personnel benefits .....	12	14
21.0	Travel and transportation of persons .....	1	1
23.1	Rental payments to GSA .....	9	6
23.3	Communications, utilities, and miscellaneous charges .....	1	1
25.1	Advisory and assistance services .....	1	
25.2	Other services from non-Federal sources .....	24	23
25.3	Other goods and services from Federal sources .....	17	19
25.7	Operation and maintenance of equipment .....	13	21
26.0	Supplies and materials .....	1	
31.0	Equipment .....	2	1
99.9	Total new obligations .....	124	129

**Employment Summary**

Identification code 16-1524-0-1-053	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	471	514

**SPECIAL BENEFITS FOR DISABLED COAL MINERS**

*For carrying out title IV of the Federal Mine Safety and Health Act of 1977, as amended by Public Law 107-275, \$98,235,000, to remain available until expended.*

*For making after July 31 of the current fiscal year, benefit payments to individuals under title IV of such Act, for costs incurred in the current fiscal year, such amounts as may be necessary.*

*For making benefit payments under title IV for the first quarter of fiscal year 2015, \$24,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-0169-0-1-601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Benefits .....	161	158
0002	Administration .....	5	5
0900	Total new obligations .....	166	163
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	89	106
1021	Recoveries of prior year unpaid obligations .....	1	
1050	Unobligated balance (total) .....	90	106
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	141	123
1260	Appropriations, mandatory (total) .....	141	123
Advance appropriations, mandatory:			
1270	Advance appropriation .....	41	40
1280	Advanced appropriation, mandatory (total) .....	41	40
1900	Budget authority (total) .....	182	163
1930	Total budgetary resources available .....	272	269
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	106	106
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	17	14
3010	Obligations incurred, unexpired accounts .....	166	163
3020	Outlays (gross) .....	-168	-172
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	
3050	Unpaid obligations, end of year .....	14	5
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	17	14
3200	Obligated balance, end of year .....	14	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	182	163
Outlays, gross:			
4100	Outlays from new mandatory authority .....	166	163
4101	Outlays from mandatory balances .....	2	9
4110	Outlays, gross (total) .....	168	172
4180	Budget authority, net (total) .....	182	163
4190	Outlays, net (total) .....	168	172

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled due to coal workers' pneumoconiosis (black lung), and to their widows and certain other dependents. Part B of the Act assigned the processing and paying of claims filed between December 30, 1969 (when the

SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

program originated) and June 30, 1973 to the Social Security Administration (SSA). P.L. 107–275 transferred Part B claims processing and payment operations from SSA to the Department of Labor's Office of Workers' Compensation Programs. This change was implemented on October 1, 2003.

Object Classification (in millions of dollars)

Identification code 16–0169–0–1–601	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.2 Other services from non-Federal sources .....	3	3	3
42.0 Insurance claims and indemnities .....	161	158	128
99.9 Total new obligations .....	166	163	133

Employment Summary

Identification code 16–0169–0–1–601	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	17	16	16

PANAMA CANAL COMMISSION COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 16–5155–0–2–602	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefits .....	5	5	5
0900 Total new obligations (object class 42.0) .....	5	5	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	62	57	52
1930 Total budgetary resources available .....	62	57	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	57	52	47
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	–5	–5	–5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	5	5	5
4190 Outlays, net (total) .....	5	5	5
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	63	57	52
5001 Total investments, EOY: Federal securities: Par value .....	57	52	47

This fund was established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission was dissolved as set forth in the Panama Canal Treaty of 1977; however, the liability of the Commission for payments beyond that date did not end with its termination. The establishment of this fund, into which funds were deposited on a regular basis by the Commission, was in conjunction with the transfer of the administration of the Federal Employees' Compensation Act (FECA) program from the Commission to the Department of Labor, effective January 1, 1989.

Trust Funds

BLACK LUNG DISABILITY TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

Such sums as may be necessary from the Black Lung Disability Trust Fund ("Fund"), to remain available until expended, for payment of all benefits authorized by section 9501(d)(1), (2), (6), and (7) of the Internal Revenue Code of 1986; and repayment of, and payment of interest on advances, as authorized by section 9501(d)(4) of that Act. In addition, the following amounts may be expended from the Fund for fiscal year 2014 for expenses of operation and administration of the Black Lung Benefits program, as authorized by section 9501(d)(5): not to exceed \$32,906,000 for transfer to the Office of Workers' Compensation Programs, "Salaries and Expenses"; not to exceed \$25,217,000 for transfer to Departmental Management, "Salaries and Expenses"; not to exceed \$327,000 for transfer to Departmental Management, "Office of Inspector General"; and not to exceed \$356,000 for payments into miscellaneous receipts for the expenses of the Department of the Treasury.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 16–8144–0–7–601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	50	97	97
<b>Receipts:</b>			
0200 Transfer from General Fund, Black Lung Benefits Revenue Act			
Taxes .....	629	580	566
0220 Miscellaneous Interest, Black Lung Disability Trust Fund .....	1	2	2
0299 Total receipts and collections .....	630	582	568
0400 Total: Balances and collections .....	680	679	665
<b>Appropriations:</b>			
0500 Black Lung Disability Trust Fund .....	–630	–582	–568
0501 Black Lung Disability Trust Fund .....	16		
<b>Adjustments:</b>			
0590 Adjustment - current year accounting adjustment .....	31		
0599 Total appropriations .....	–583	–582	–568
0799 Balance, end of year .....	97	97	97

Program and Financing (in millions of dollars)

Identification code 16–8144–0–7–601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Disabled coal miners benefits .....	215	189	181
0002 Administrative expenses .....	59	59	59
0003 Interest on zero coupon bonds .....	37	56	75
0004 Interest on short term advances .....			1
0900 Total new obligations .....	311	304	316
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	630	582	568
1235 Appropriations precluded from obligation .....	–16		
1236 Repay principal on zero coupon bonds .....	–319	–278	–252
1260 Appropriations, mandatory (total) .....	295	304	316
Borrowing authority, mandatory:			
1400 Borrowing authority .....	183	332	478
1421 Borrowing authority applied to repay advances .....	–108	–214	–333
1421 Repay principal on zero coupon bonds .....	–75	–118	–145
Spending authority from offsetting collections, mandatory:			
1801 Change in uncollected payments, Federal sources .....	15		
1850 Spending auth from offsetting collections, mand (total) .....	15		
1900 Budget authority (total) .....	310	304	316
1930 Total budgetary resources available .....	311	304	316
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		15	

3010	Obligations incurred, unexpired accounts .....	311	304	316
3020	Outlays (gross) .....	-296	-319	-316
3050	Unpaid obligations, end of year .....	15		
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-15	-15
3070	Change in uncollected pymts, Fed sources, unexpired .....	-15		
3090	Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....			-15
3200	Obligated balance, end of year .....		-15	-15
<b>Budget authority and outlays, net:</b>				
	Mandatory:			
4090	Budget authority, gross .....	310	304	316
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	295	304	316
4101	Outlays from mandatory balances .....	1	15	
4110	Outlays, gross (total) .....	296	319	316
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-15		
4180	Budget authority, net (total) .....	295	304	316
4190	Outlays, net (total) .....	296	319	316
<b>Memorandum (non-add) entries:</b>				
5080	Outstanding debt, SOY: Repayable advances .....	-5,533	-5,245	-4,967
5081	Outstanding debt, EOY: Repayable advances .....	-5,245	-4,967	-4,715
5082	Borrowing: Repayable advances .....	-214	-332	-478

The trust fund consists of all monies collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These moneys are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition, the fund pays all administrative costs incurred in the operation of Part C of the Black Lung program. The fund is administered jointly by the Secretaries of Labor, Treasury, and Health and Human Services. The Emergency Economic Stabilization Act of 2008, enacted on October 3, 2008, authorized restructuring of the Black Lung Disability Trust Fund (BLDTF) debt by (1) extending the current coal excise tax rates of \$1.10 per ton on underground-mined coal and \$0.55 per ton on surface-mined coal until December 31, 2018; (2) providing a one-time appropriation for the BLDTF to repay the market value of parts of the outstanding repayable advances and accrued interest; and (3) refinancing the remainder of the outstanding debt through the issuance of zero-coupon bonds, to be retired using the BLDTF's annual operating surplus until all of its remaining obligations have been paid.

The Patient Protection and Affordable Care Act (PPACA) of 2010 reinstated two provisions of the Black Lung Benefits Act that had been removed in 1981 for claims filed on or after January 1, 1982. These provisions include: automatic entitlement to benefits for survivors of miners who had been awarded benefits at the time of their death and a presumption that a miner who has at least 15 years of qualifying coal mine employment and has a totally disabling lung condition has pneumoconiosis even in the absence of a negative x-ray.

**BLACK LUNG DISABILITY TRUST FUND WORKLOAD**

	2012 actual	2013 est.	2014 est.
Claims received .....	5,368	6,000	5,500
Claims in payment status .....	27,335	25,420	23,640
Medical benefits only recipients .....	1,375	1,200	1,100

**Status of Funds** (in millions of dollars)

Identification code 16-8144-0-7-601	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	-5,482	-5,148	-4,885

0199	Total balance, start of year .....	-5,482	-5,148	-4,885
	Cash income during the year:			
	Current law:			
	Receipts:			
1200	Transfer from General Fund, Black Lung Benefits Revenue Act Taxes .....	629	580	566
	Offsetting receipts (proprietary):			
1220	Miscellaneous Interest, Black Lung Disability Trust Fund .....	1	2	2
1299	Income under present law .....	630	582	568
3299	Total cash income .....	630	582	568
	Cash outgo during year:			
	Current law:			
4500	Black Lung Disability Trust Fund .....	-296	-319	-316
4599	Outgo under current law (-) .....	-296	-319	-316
6599	Total cash outgo (-) .....	-296	-319	-316
	Unexpended balance, end of year:			
8700	Uninvested balance (net), end of year .....	-5,148	-4,885	-4,633
8799	Total balance, end of year .....	-5,148	-4,885	-4,633

**Object Classification** (in millions of dollars)

Identification code 16-8144-0-7-601	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	59	59	59
42.0 Insurance claims and indemnities .....	215	189	181
43.0 Interest and dividends .....	37	56	76
99.9 Total new obligations .....	311	304	316

**SPECIAL WORKERS' COMPENSATION EXPENSES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 16-9971-0-7-601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
	Receipts:		
0200 Longshoremen's and Harbor Workers Compensation Act, Receipts, Special Workers' .....	124	127	126
0201 Workmen's Compensation Act within District of Columbia, Receipts, Special Workers' .....	8	10	9
	Adjustments:		
0290 Adjustment - rounding .....	-1		
0299 Total receipts and collections .....	131	137	135
0400 Total: Balances and collections .....	131	137	135
	Appropriations:		
0500 Special Workers' Compensation Expenses .....	-2	-2	-2
0501 Special Workers' Compensation Expenses .....	-129	-135	-133
0599 Total appropriations .....	-131	-137	-135
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 16-9971-0-7-601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Longshore and Harbor Workers' Compensation Act, as amended .....	127	127	126
0002 District of Columbia Compensation Act .....	9	10	9
0900 Total new obligations .....	136	137	135

**Budgetary Resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	63	58	58
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	2	2	2
1160 Appropriation, discretionary (total) .....	2	2	2
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	129	135	133
1260 Appropriations, mandatory (total) .....	129	135	133
1900 Budget authority (total) .....	131	137	135
1930 Total budgetary resources available .....	194	195	193

SPECIAL WORKERS' COMPENSATION EXPENSES—Continued  
Program and Financing—Continued

Identification code 16-9971-0-7-601	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	58	58	58
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	136	137	135
3020 Outlays (gross) .....	-135	-137	-135
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	2	2	2
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	2	2
<b>Mandatory:</b>			
4090 Budget authority, gross .....	129	135	133
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	129	76	120
4101 Outlays from mandatory balances .....	4	59	13
4110 Outlays, gross (total) .....	133	135	133
4180 Budget authority, net (total) .....	131	137	135
4190 Outlays, net (total) .....	135	137	135
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	62	59	92
5001 Total investments, EOY: Federal securities: Par value .....	59	92	101

The trust funds consist of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and—pursuant to an annual assessment of the industry—for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act (LHWCA), as amended.

These trust funds are available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments, after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the LHWCA for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

Object Classification (in millions of dollars)

Identification code 16-9971-0-7-601	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	2	2	2
42.0 Insurance claims and indemnities .....	134	135	133
99.9 Total new obligations .....	136	137	135

WAGE AND HOUR DIVISION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Wage and Hour Division, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, \$243,254,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0143-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Wage and Hour (Direct and H-1B) .....	226	229	243
0801 Reimbursable program activity .....	2	3	3
0900 Total new obligations .....	228	232	246
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	227	228	243
1121 Appropriations transferred from other accts [16-0174] .....		1	
1130 Appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	226	229	243
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	3	3	3
1710 Spending authority from offsetting collections transferred to other accounts [16-0165] .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	2	3	3
1900 Budget authority (total) .....	228	232	246
1930 Total budgetary resources available .....	228	232	246
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		31	30
3010 Obligations incurred, unexpired accounts .....	228	232	246
3020 Outlays (gross) .....	-197	-233	-246
3050 Unpaid obligations, end of year .....	31	30	30
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		31	30
3200 Obligated balance, end of year .....	31	30	30
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	228	232	246
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	197	214	227
4011 Outlays from discretionary balances .....		19	19
4020 Outlays, gross (total) .....	197	233	246
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3		
4033 Non-Federal sources .....		-3	-3
4040 Offsets against gross budget authority and outlays (total) .....	-3	-3	-3
4070 Budget authority, net (discretionary) .....	225	229	243
4080 Outlays, net (discretionary) .....	194	230	243
4180 Budget authority, net (total) .....	225	229	243
4190 Outlays, net (total) .....	194	230	243

The Wage and Hour Division enforces the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act (FLSA), the Migrant and Seasonal Agricultural Worker Protection Act (MSPA), the Family and Medical Leave Act (FMLA), certain provisions of the Immigration and Nationality Act (INA), the wage garnishment provisions in Title III of the Consumer Credit Protection Act (CCPA), and the Employee Polygraph Protection Act (EPPA). The Division also determines prevailing wages and enforces employment standards under various Government contract wage standards. In 2014, approximately 265,000 persons are expected to be aided under

the FLSA through securing agreements with firms to pay back wages owed to their workers. In government contract compliance actions, about 25,000 persons will be aided through securing agreements to pay wages owed to workers. Under MSPA, approximately 1,400 investigations will be completed. While in the course of all on-site investigations investigators routinely check for employer compliance with child labor standards, approximately 1,000 investigations with the objective of detecting child labor violations will be conducted.

**Object Classification** (in millions of dollars)

Identification code 16-0143-0-1-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	103	107	111
11.3 Other than full-time permanent .....	2		
11.5 Other personnel compensation .....	1	3	4
11.9 Total personnel compensation .....	106	110	115
12.1 Civilian personnel benefits .....	34	33	34
21.0 Travel and transportation of persons .....	7	9	8
23.1 Rental payments to GSA .....	11	10	11
23.3 Communications, utilities, and miscellaneous charges .....	5	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	6	7	7
25.3 Other goods and services from Federal sources .....	23	24	26
25.7 Operation and maintenance of equipment .....	24	24	30
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	3	2	2
99.0 Direct obligations .....	226	229	243
99.0 Reimbursable obligations .....	2	3	3
99.9 Total new obligations .....	228	232	246

**Employment Summary**

Identification code 16-0143-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,510	1,510	1,573

**WAGE AND HOUR DIVISION H-2B**

**Program and Financing** (in millions of dollars)

Identification code 16-0142-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	2	
0900 Total new obligations (object class 21.0) .....	1	2	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	
1930 Total budgetary resources available .....	3	2	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			2
3010 Obligations incurred, unexpired accounts .....	1	2	
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....		2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			2
3200 Obligated balance, end of year .....		2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4101 Outlays, gross:			
4101 Outlays from mandatory balances .....	1		

4190 Outlays, net (total) .....	1		
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**H-1 B AND L FRAUD PREVENTION AND DETECTION**

**Program and Financing** (in millions of dollars)

Identification code 16-5393-0-2-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 H-1 B and L Fraud Prevention and Detection .....	43	35	35
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50	52	52
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	45	35	35
1260 Appropriations, mandatory (total) .....	45	35	35
1930 Total budgetary resources available .....	95	87	87
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	52	52	52
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		2	2
3010 Obligations incurred, unexpired accounts .....	43	35	35
3020 Outlays (gross) .....	-41	-35	-35
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	45	35	35
Outlays, gross:			
4100 Outlays from new mandatory authority .....	41	35	35
4180 Budget authority, net (total) .....	45	35	35
4190 Outlays, net (total) .....	41	35	35

The Wage and Hour Division has traditionally had responsibility for enforcing certain worker protections provisions of the Immigration and Nationality Act, specifically the H-2A and H-1B temporary non-immigrant foreign worker programs. Pursuant to an Interagency Agreement (IAA) between the U.S. Department of Homeland Security (DHS) and the U.S. Department of Labor (DOL) and section 214(c)(14)(B) of the Immigration and Nationality Act (INA), 8 U.S.C. 1184(c)(14)(B), DOL and WHD have been delegated the enforcement authority located at section 214(c)(14)(A)(i) of the INA, 8 U.S.C. 1184(c)(14)(A)(i) for enforcing the H-2B temporary non-immigrant foreign worker program. Under section 524 of H.R. 3288, the Secretary of Labor may use one-third of the H-1B and L Fraud Protection and Detection fee account for enforcement of these temporary worker program provisions and for related enforcement activities.

**Object Classification** (in millions of dollars)

Identification code 16-5393-0-2-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	32	28	28
12.1 Civilian personnel benefits .....	8	7	7
21.0 Travel and transportation of persons .....	2		
23.1 Rental payments to GSA .....	1		
99.9 Total new obligations .....	43	35	35

H-1 B AND L FRAUD PREVENTION AND DETECTION—Continued  
Employment Summary

Identification code 16-5393-0-2-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	299	299	299

**OFFICE OF FEDERAL CONTRACT COMPLIANCE PROGRAMS**

**Federal Funds**

SALARIES AND EXPENSES

For necessary expenses for the Office of Federal Contract Compliance Programs, \$108,467,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0148-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Federal contractor EEO standards enforcement .....	105	106	108

**Budgetary Resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	105	106	108
1160 Appropriation, discretionary (total) .....	105	106	108
1930 Total budgetary resources available .....	105	106	108

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		12	17
3010 Obligations incurred, unexpired accounts .....	105	106	108
3020 Outlays (gross) .....	-93	-101	-107
3050 Unpaid obligations, end of year .....	12	17	18
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		12	17
3200 Obligated balance, end of year .....	12	17	18

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	105	106	108
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	93	96	98
4011 Outlays from discretionary balances .....		5	9
4020 Outlays, gross (total) .....	93	101	107
4180 Budget authority, net (total) .....	105	106	108
4190 Outlays, net (total) .....	93	101	107

The Office of Federal Contract Compliance Programs (OFCCP) enforces equal employment opportunity and nondiscrimination requirements of Federal contractors and subcontractors. In particular, OFCCP enforces: Executive Order 11246, which prohibits employment discrimination on the basis of race, sex, religion, color, and national origin; Section 503 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990 (through a memorandum of understanding with the Equal Employment Opportunity Commission), which prohibit employment discrimination against individuals with disabilities; and the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended, which prohibits employment discrimination against certain protected veterans. OFCCP programs cover close to 100,000 work-sites and a total workforce of 12 million persons. OFCCP monitors contractors' compliance through compliance evaluations and reporting requirements. Specifically, OFCCP will complete 4,650 compliance evaluations in 2014, with a focus on both supply and

service construction reviews. OFCCP will continue to shift its outreach strategy from being contractor-centric to worker-focused, which will strengthen its enforcement capacity in the process. In addition, the agency will also ensure that contractors and subcontractors are provided linkages to recruitment sources for hiring and advancement of minorities, women, protected veterans, and individuals with disabilities.

Object Classification (in millions of dollars)

Identification code 16-0148-0-1-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	57	59	60
11.3 Other than full-time permanent .....		1	
11.5 Other personnel compensation .....	1		1
11.9 Total personnel compensation .....	58	60	61
12.1 Civilian personnel benefits .....	17	17	18
21.0 Travel and transportation of persons .....	1	1	2
23.1 Rental payments to GSA .....	6	6	7
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1	1	
25.2 Other services from non-Federal sources .....	1	2	2
25.3 Other goods and services from Federal sources .....	12	10	10
25.7 Operation and maintenance of equipment .....	6	6	6
26.0 Supplies and materials .....	1	1	
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	105	106	108

Employment Summary

Identification code 16-0148-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	743	743	753

**OFFICE OF LABOR MANAGEMENT STANDARDS**

**Federal Funds**

SALARIES AND EXPENSES

For necessary expenses for the Office of Labor Management Standards, \$46,891,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0150-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Labor-management standards .....	41	42	47

**Budgetary Resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	41	42	47
1160 Appropriation, discretionary (total) .....	41	42	47
1930 Total budgetary resources available .....	41	42	47

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		4	6
3010 Obligations incurred, unexpired accounts .....	41	42	47
3020 Outlays (gross) .....	-37	-40	-46
3050 Unpaid obligations, end of year .....	4	6	7
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		4	6
3200 Obligated balance, end of year .....	4	6	7

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	41	42	47

Outlays, gross:				
4010	Outlays from new discretionary authority .....	37	38	43
4011	Outlays from discretionary balances .....		2	3
4020	Outlays, gross (total) .....	37	40	46
4180	Budget authority, net (total) .....	41	42	47
4190	Outlays, net (total) .....	37	40	46

The Office of Labor-Management Standards (OLMS) receives and discloses reports of unions, union officers and employees, employers, labor consultants and others in accordance with the Labor Management Reporting and Disclosure Act (LMRDA), including union financial reports and employer and consultant activity reports; audits union financial records and investigates possible embezzlements of union funds; conducts union officer election investigations; supervises reruns of union officer elections pursuant to voluntary settlements or after court determinations that elections were not conducted in accordance with the LMRDA; and administers the statutory program to certify employee protection provisions under various Federally-sponsored transportation programs. In 2014, OLMS plans continued efforts to advance transparency and financial integrity protections, primarily through audits, investigations and compliance assistance efforts. OLMS will ensure that Federally sponsored transportation grants are processed in a timely manner providing requisite protection to employees against adverse impacts as a result of federal assistance.

**Object Classification** (in millions of dollars)

Identification code 16-0150-0-1-505	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	22	22	23
11.5	Other personnel compensation .....	1		
11.9	Total personnel compensation .....	23	22	23
12.1	Civilian personnel benefits .....	7	7	7
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	3	3	3
25.2	Other services from non-Federal sources .....		1	1
25.3	Other goods and services from Federal sources .....	4	4	5
25.7	Operation and maintenance of equipment .....	3	3	7
31.0	Equipment .....		1	
99.9	Total new obligations .....	41	42	47

**Employment Summary**

Identification code 16-0150-0-1-505	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	228	228	229

**OCCUPATIONAL SAFETY AND HEALTH  
ADMINISTRATION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses for the Occupational Safety and Health Administration, \$570,519,000, including not to exceed \$104,196,000 which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act ("Act"), which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Act; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$200,000 per fiscal year of training institute course tuition fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education: Provided, That notwithstanding 31 U.S.C. 3302, the Secretary is authorized, during the fiscal year ending September 30, 2014, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize

such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: Provided further, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the Act which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: Provided further, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Act with respect to any employer of 10 or fewer employees who is included within a category having a Days Away, Restricted, or Transferred (DART) occupational injury and illness rate, at the most precise industrial classification code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of the Act, except—

(1) to provide, as authorized by the Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;

(2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;

(3) to take any action authorized by the Act with respect to imminent dangers;

(4) to take any action authorized by the Act with respect to health hazards;

(5) to take any action authorized by the Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by the Act; and

(6) to take any action authorized by the Act with respect to complaints of discrimination against employees for exercising rights under the Act: Provided further, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: Provided further, That \$10,709,000 shall be available for Susan Harwood training grants.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-0400-0-1-554	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Safety and health standards .....	20	20	22
0002	Federal enforcement .....	208	210	208
0003	Whistleblower protection .....	16	16	22
0004	State programs .....	104	105	104
0005	Technical support .....	26	26	25
0006	Federal compliance assistance .....	76	77	75
0007	State consultation grants .....	58	58	58
0008	Training grants .....	11	11	11
0009	Safety and health statistics .....	35	35	34
0010	Executive direction and administration .....	11	11	12
0799	Total direct obligations .....	565	569	571
0801	Reimbursable program .....	2	2	2
0900	Total new obligations .....	567	571	573
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	566	568	571
1121	Appropriations transferred from other accts [16-0174] .....		1	
1130	Appropriations permanently reduced .....	-1		
1160	Appropriation, discretionary (total) .....	565	569	571
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	2	2
1750	Spending auth from offsetting collections, disc (total) .....	2	2	2
1900	Budget authority (total) .....	567	571	573
1930	Total budgetary resources available .....	567	571	573

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 16-0400-0-1-554	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	102	105	101
3010 Obligations incurred, unexpired accounts .....	567	571	573
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-558	-575	-578
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	105	101	96
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	100	103	99
3200 Obligated balance, end of year .....	103	99	94
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	567	571	573
Outlays, gross:			
4010 Outlays from new discretionary authority .....	482	497	499
4011 Outlays from discretionary balances .....	76	78	79
4020 Outlays, gross (total) .....	558	575	578
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) ....	-2	-2	-2
4070 Budget authority, net (discretionary) .....	565	569	571
4080 Outlays, net (discretionary) .....	556	573	576
4180 Budget authority, net (total) .....	565	569	571
4190 Outlays, net (total) .....	556	573	576

**Safety and Health Standards.**—This activity provides for the protection of workers' safety and health through development, promulgation, review, and evaluation of occupational safety and health standards and guidance, as specified under the Occupational Safety and Health (OSH) Act of 1970. Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is economically and technologically feasible when compared with alternative regulatory proposals providing equal levels of protection. This activity also ensures, through the SBREFA process, that small business concerns are taken into account in the process of developing standards.

**Federal Enforcement.**—This activity provides for ensuring the protection of employees through the enforcement of workplace standards promulgated under the Occupational Safety and Health (OSH) Act of 1970, through the physical inspection of worksites, and by providing guidance on how to comply with the requirements of OSHA standards. Enforcement programs are targeted to the investigation of imminent danger situations and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury-illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards. OSHA's enforcement strategy ranges from a selective targeting of inspections and related compliance activities to specific high hazard industries and worksites.

**Whistleblower Programs.**—This activity provides for the enforcement of Section 11(c) of the OSH Act, which prohibits any person from discharging or in any manner retaliating against any employee because the employee has exercised rights under the Act, including complaining to OSHA and seeking an OSHA inspection,

participating in an OSHA inspection, and participating or testifying in any proceeding related to an OSHA inspection. In addition, OSHA is responsible for enforcing more than 21 other whistleblower protection statutes that protect employees who report violations of various airline, commercial motor carrier, consumer product, environmental, financial reform, food safety, health care reform, nuclear, pipeline, public transportation agency, railroad, maritime, automotive manufacturing, and securities laws.

**State Programs.**—This activity supports states in assuming responsibility for administering occupational safety and health programs under State OSHA plans approved by the Secretary. Under section 23 of the OSH Act, grants matching up to fifty percent of total program costs are made to States that meet the Act's criteria for establishing and implementing State OSHA programs that are at least as effective as the Federal program. State programs, like their Federal counterparts, provide a mix of enforcement, outreach, training, and compliance assistance activities.

**Technical Support.**—This activity provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial hygiene, occupational health nursing, occupational medicine, chemical analysis, equipment calibration, safety engineering, environmental impact statements, technical and scientific databases, computer-based outreach products, and emergency preparedness. This activity also provides support for OSHA's emergency response activities, including responses to oil spills, hurricanes, tornados, and other natural or man-made disasters.

**Federal Compliance Assistance.**—This activity supports a range of training, outreach, and cooperative programs that provide compliance assistance for employers and employees in protecting workers' safety and health, with particular emphasis on small business, immigrant, and other high risk and hard-to-reach workers. OSHA works with employers and employees through Voluntary Protection Programs that recognize and promote effective safety and health management partnerships that focus on the development of extended cooperative relationships and alliances that commit organizations to collaborative efforts with OSHA. This activity also provides assistance to federal agencies in implementing and improving their job safety and health programs. Occupational safety and health training is provided at the OSHA Training Institute and affiliated Education Centers throughout the country. Compliance and technical assistance materials are prepared and disseminated to the public through various means, including the Internet.

**State Compliance Assistance: Consultation Grants.**—This activity supports 90 percent Federally funded cooperative agreements with designated State agencies to provide free on-site consultation to small and medium-sized employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

**Compliance Assistance: Training Grants.**—This activity supports safety and health grants to organizations that provide face-to-face training, education, technical assistance, and develop educational materials for employers and employees. These grants address safety and health education needs related to hard-to-reach workers and specific high-risk topics and industries identified by the agency.

*Safety and Health Statistics.*—This activity supports information technology infrastructure, management of information, OSHA's webpage and web-based compliance assistance services, and the statistical basis for OSHA's programs and field operations. These are provided through an integrated data network and statistical analysis and review. OSHA administers and maintains the recordkeeping system that serves as the foundation for the BLS survey on occupational injuries and illnesses and provides guidance on recordkeeping requirements to both the public and private sectors.

*Executive direction and administration.*—This activity supports executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, federal agency liaison, administrative services, and budgeting and financial control.

**PROGRAM STATISTICS**

	2012 actual	2013 est.	2014 est.
Standards promulgated .....	3	4	4
Inspections:			
Federal inspections .....	40,961	41,000	39,250
State program inspections .....	51,133	52,100	50,350
Whistleblower cases .....	2,787	2,654	2,877
Training and consultations:			
Consultation visits .....	29,310	28,500	28,500
Susan Harwood Training Grants .....	72	67	67
New strategic partnerships .....	17	18	17
Outreach Training .....	689,814	700,000	700,000

**Object Classification** (in millions of dollars)

Identification code 16-0400-0-1-554	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	194	200	202
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	5	6	6
Total personnel compensation .....	200	207	209
12.1 Civilian personnel benefits .....	58	62	62
21.0 Travel and transportation of persons .....	12	13	11
23.1 Rental payments to GSA .....	23	23	24
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
24.0 Printing and reproduction .....	2	1	1
25.1 Advisory and assistance services .....	3	3	3
25.2 Other services from non-Federal sources .....	84	80	81
25.3 Other goods and services from Federal sources .....	40	37	37
25.4 Operation and maintenance of facilities .....	1		
25.7 Operation and maintenance of equipment .....	17	17	17
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	4	4	5
41.0 Grants, subsidies, and contributions .....	115	116	115
99.0 Direct obligations .....	565	569	571
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	567	571	573

**Employment Summary**

Identification code 16-0400-0-1-554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,239	2,239	2,255
2001 Reimbursable civilian full-time equivalent employment .....	3	3	3

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

*Note.*—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:  
Environmental Protection Agency: Hazardous Substance Response Trust Fund.

**MINE SAFETY AND HEALTH ADMINISTRATION  
Federal Funds**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses for the Mine Safety and Health Administration, \$380,721,000, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles, including up to \$2,000,000 for mine rescue and recovery activities; in addition, not to exceed \$750,000 may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities, notwithstanding 31 U.S.C. 3302; in addition, the Mine Safety and Health Administration is authorized to collect and retain up to \$2,499,000 from fees collected for the approval and certification of equipment, materials, and explosives for use in mines, and may utilize such sums for such activities, notwithstanding 31 U.S.C. 3302; and, in addition, the Mine Safety and Health Administration is authorized to collect and retain fees for services related to the analysis of rock dust samples, and may utilize such sums to administer such activities, notwithstanding 31 U.S.C. 3302; the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private; the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations; the Secretary is authorized to recognize the Joseph A. Holmes Safety Association as a principal safety association and, notwithstanding any other provision of law, may provide funds and, with or without reimbursement, personnel, including service of Mine Safety and Health Administration officials as officers in local chapters or in the national organization; any funds available to the Department of Labor may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster; and the Secretary may reallocate among the items funded under this heading up to \$3,000,000 to support inspections or investigations pursuant to section 103 of the Federal Mine Safety and Health Act of 1977: Provided, That the Secretary of Labor may transfer such sums as may be necessary to "Departmental Management" for the Office of the Solicitor move related to the relocation of the Mine Safety and Health Administration headquarters.*

*Note.*—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-1200-0-1-554	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Coal .....	164	166	169
0002 Metal/non-metal .....	89	90	93
0003 Standards development .....	5	5	6
0004 Assessments .....	7	7	8
0005 Educational policy and development .....	38	38	29
0006 Technical support .....	34	34	34
0007 Program administration .....	17	18	20
0008 Program evaluation & information resources .....	18	18	22
0799 Total direct obligations .....	372	376	381
0801 Reimbursable program .....	1	2	3
0900 Total new obligations .....	373	378	384

**Budgetary Resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	374	376	381
1120 Appropriations transferred to other accts [16-0165] .....	-1		
1130 Appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	372	376	381
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	3
1750 Spending auth from offsetting collections, disc (total) .....	1	2	3

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 16-1200-0-1-554	2012 actual	2013 CR	2014 est.
1900 Budget authority (total) .....	373	378	384
1930 Total budgetary resources available .....	373	378	384
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	47	50	49
3010 Obligations incurred, unexpired accounts .....	373	378	384
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-371	-379	-388
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	50	49	45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	47	50	49
3200 Obligated balance, end of year .....	50	49	45
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	373	378	384
Outlays, gross:			
4010 Outlays from new discretionary authority .....	330	345	350
4011 Outlays from discretionary balances .....	41	34	38
4020 Outlays, gross (total) .....	371	379	388
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1	-2	-3
4180 Budget authority, net (total) .....	372	376	381
4190 Outlays, net (total) .....	370	377	385

**Enforcement.**—The enforcement strategy in 2014 will be an integrated approach toward the prevention of mining accidents, injuries, and occupational illnesses. This includes inspection of mines and other activities as mandated by the Federal Mine Safety and Health Act of 1977 (Mine Act), as amended by the Mine Improvement and New Emergency Response Act of 2006 (MINER Act), special emphasis initiatives that focus on persistent safety and health hazards, promulgation of federal mine safety and health standards, investigation of serious accidents, and on-site education and training. The desired outcome of these enforcement efforts is to prevent death, disease, and injury from mining and promote safe and healthful workplaces for the Nation's miners. In 2014, MSHA is proposing appropriations language that would provide the agency with additional flexibility to internally reallocate funding to ensure the enforcement programs have the necessary resources to effectively conduct mandated inspections or investigations.

**Office of Assessments, Accountability, Special Enforcement and Investigations.**— This activity assesses and collects civil monetary penalties for violations of safety and health standards and manages MSHA's accountability, special enforcement, and investigation functions.

**Educational Policy and Development.**—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Mine Health and Safety Academy for MSHA personnel, other governmental personnel, and the mining industry.

**Technical Support.**—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementing the Mine Act and the MINER Act. Technical Support administers a fee program to approve equipment, materials, and explosives for use in mines and performs field and laboratory audits of equipment previously approved by MSHA. It also collects and analyzes data relative to the cause, frequency, and circumstances of mine accidents. In 2014, MSHA is proposing appropriations language that would authorize the agency to charge a fee for the analysis of rock dust samples for determination of compliance.

As full implementation of this authority will require rulemaking that will not commence until the authority has been provided, MSHA does not anticipate beginning to collect fees until 2015.

**Program Evaluation and Information Resources (PEIR).**—This activity provides program evaluation and information technology resource management services for the agency.

**Program Administration.**—This activity performs general administrative functions and is responsible for meeting performance requirements and developing MSHA's performance plan and Annual Performance Report.

PROGRAM STATISTICS

	2012 Actual	2013 Est.	2014 Est.
Enforcement per 200,000 hours worked by employees:			
Fatality Rates .....			
All-MSHA fatality rates .....	0.0150	TBD	TBD
Coal Mines .....	0.0223	TBD	TBD
Metal/non-metal mines .....	0.0103	TBD	TBD
Regulations promulgated .....	1	3	TBD
Assessments:			
Violations assessed .....	143,152	143,000	TBD
Educational policy and development:			
Course days .....	1,544	1,350	1,350
Technical support:			
Equipment approvals .....	899	800	750
Laboratory samples analyzed .....	282,618	275,000	288,100

Object Classification (in millions of dollars)

Identification code 16-1200-0-1-554	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	180	184	189
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	11	6	6
11.9 Total personnel compensation .....	193	192	197
12.1 Civilian personnel benefits .....	66	67	69
21.0 Travel and transportation of things .....	13	14	14
22.0 Transportation of things .....	8	7	8
23.1 Rental payments to GSA .....	17	18	19
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	8	9	8
25.3 Other goods and services from Federal sources .....	26	27	28
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	10	11	14
26.0 Supplies and materials .....	5	5	4
31.0 Equipment .....	9	10	12
41.0 Grants, subsidies, and contributions .....	9	9	1
99.0 Direct obligations .....	372	376	381
99.0 Reimbursable obligations .....	1	2	3
99.9 Total new obligations .....	373	378	384

Employment Summary

Identification code 16-1200-0-1-554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,357	2,357	2,338

BUREAU OF LABOR STATISTICS  
Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, \$546,618,000, together with not to exceed \$67,176,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-0200-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Labor force statistics .....	276	278	277
0002 Prices and cost of living .....	206	207	210
0003 Compensation and working conditions .....	80	81	82
0004 Productivity and technology .....	12	12	10
0006 Executive direction and staff services .....	34	35	35
0799 Total direct obligations .....	608	613	614
0801 Reimbursable program .....	10	18	15
0900 Total new obligations .....	618	631	629
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	543	545	547
1130 Appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	542	545	547
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	78	86	82
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	79	86	82
1900 Budget authority (total) .....	621	631	629
1930 Total budgetary resources available .....	621	632	630
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	86	92	81
3010 Obligations incurred, unexpired accounts .....	618	631	629
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-610	-642	-628
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	92	81	82
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	85	90	79
3200 Obligated balance, end of year .....	90	79	80
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	621	631	629
Outlays, gross:			
4010 Outlays from new discretionary authority .....	506	555	553
4011 Outlays from discretionary balances .....	104	87	75
4020 Outlays, gross (total) .....	610	642	628
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-76	-68	-67
4033 Non-Federal sources .....	-1	-18	-15
4040 Offsets against gross budget authority and outlays (total) ...	-77	-86	-82
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	-1		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	542	545	547
4080 Outlays, net (discretionary) .....	533	556	546
4180 Budget authority, net (total) .....	542	545	547
4190 Outlays, net (total) .....	533	556	546

**Labor Force Statistics.**—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the Nation, States, and local areas. Makes studies of the labor force. Publishes data on employment and wages, by industry. Provides economic projections, including changes in the level and structure

of the economy, as well as employment projections by industry and by occupational category.

	2012 actual	2013 est.	2014 est.
Labor force statistics (selected items):			
Employment and wages for NAICS industries (quarterly series) .....	3,542,000	3,500,000	3,500,000
Employment and unemployment estimates for States and local areas (monthly and annual series) .....			
Occupational employment statistics (annual series) .....	101,381	101,445	101,509
Industry projections (2 yr. cycle) .....	195	N/A	195
Detailed occupations covered in the Occupational Outlook Handbook (2 yr. cycle) .....			
	536	N/A	530

**Prices and Cost of Living.**—Publishes the Consumer Price Index (CPI), the Producer Price Index, U.S. Import and Export Price Indexes, estimates of consumers' expenditures, and studies of price change.

	2012 actual	2013 est.	2014 est.
Consumer price indexes published (monthly) .....			
Percentage of CPI statistics released on schedule .....	6,409	6,400	6,400
Producer price indexes published (monthly) .....	100%	100%	100%
U.S. Import and Export Price Indexes published (monthly) .....	9,622	9,400	9,800
	856	1,050	1,050

**Compensation and Working Conditions.**—Publishes data on employee compensation, including information on wages, salaries, and employer-provided benefits, by occupation for major labor markets and industries. Publishes information on work stoppages. Compiles annual information to estimate the number and incidence rate of work-related injuries, illnesses, and fatalities.

	2012 actual	2013 est.	2014 est.
Compensation and working conditions (major items):			
Employment cost index: number of establishments .....	12,200	11,400	11,400
Occupational safety and health: number of establishments .....	241,081	240,000	240,000

**Productivity and Technology.**—Publishes trends in productivity and costs for major economic sectors and detailed industries. Also analyzes trends in order to examine the factors underlying productivity change. Publishes international comparisons of productivity, labor force and unemployment, and hourly compensation costs.

	2012 actual	2013 est.	2014 est.
Studies, articles, and special reports .....			
Series updated .....	21	21	21
	4,411	4,411	4,344

**Executive Direction and Staff Services.**—Provides planning and policy for the Bureau of Labor Statistics, operates the information technology, coordinates research, and publishes data and reports for government and public use.

**Object Classification** (in millions of dollars)

Identification code 16-0200-0-1-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	186	195	193
11.3 Other than full-time permanent .....	14	12	12
11.5 Other personnel compensation .....	3	2	3
11.9 Total personnel compensation .....	203	209	208
12.1 Civilian personnel benefits .....	59	61	60
21.0 Travel and transportation of persons .....	6	6	6
23.1 Rental payments to GSA .....	33	36	37
23.3 Communications, utilities, and miscellaneous charges .....	6	6	6
24.0 Printing and reproduction .....	3	3	2
25.2 Other services from non-Federal sources .....	20	17	19
25.3 Other goods and services from Federal sources .....	134	119	123
25.5 Research and development contracts .....	11	12	12
25.7 Operation and maintenance of equipment .....	51	62	60
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	7	6	6
41.0 Grants, subsidies, and contributions .....	74	75	74
99.0 Direct obligations .....	608	613	614
99.0 Reimbursable obligations .....	10	18	15
99.9 Total new obligations .....	618	631	629

**SALARIES AND EXPENSES—Continued**  
**Employment Summary**

Identification code 16-0200-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,304	2,304	2,271
2001 Reimbursable civilian full-time equivalent employment .....	4	59	59

**DEPARTMENTAL MANAGEMENT**

*Federal Funds*

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses for Departmental Management, including the hire of three passenger motor vehicles, \$347,630,000, together with not to exceed \$326,000, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund: Provided, That \$66,375,000 for the Bureau of International Labor Affairs shall be available for obligation through December 31, 2014: Provided further, That funds available to the Bureau of International Labor Affairs may be used to administer or operate international labor activities, bilateral and multilateral technical assistance, and microfinance programs, by or through contracts, grants, subgrants and other arrangements: Provided further, That not less than \$49,887,000 shall be for programs to combat exploitative child labor internationally and not less than \$16,488,000 shall be used to implement model programs that address worker rights issues through technical assistance in countries with which the United States has free trade agreements or trade preference programs: Provided further, That \$9,000,000 shall be used for program evaluation and shall be available for obligation through September 30, 2015: Provided further, That funds available for program evaluation may be transferred to any other appropriate account in the Department for such purpose: Provided further, That the funds available to the Women's Bureau may be used for grants to serve and promote the interests of women in the workforce.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-0165-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Program direction and support .....	33	33	32
0002 Legal services .....	137	137	137
0003 International labor affairs .....	64	93	95
0004 Administration and management .....	30	30	30
0005 Adjudication .....	47	47	48
0007 Women's bureau .....	12	12	9
0008 Civil rights .....	7	7	8
0009 Chief Financial Officer .....	5	5	5
0011 Departmental Program Evaluation .....	8	25	9
0192 Total Direct Program - Subtotal .....	343	389	373
0799 Total direct obligations .....	343	389	373
0801 Reimbursable - SOL .....	8	9	9
0802 Reimbursable - ILAB .....	2	2	2
0803 Reimbursable - OSEC .....	8	35	35
0899 Total reimbursable obligations .....	16	46	46
0900 Total new obligations .....	359	435	419
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	30	78	62
1011 Unobligated balance transfer from other accts [72-1037] .....	3	.....	.....
1050 Unobligated balance (total) .....	33	78	62
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Regular) .....	347	348	348
1121 Appropriations transferred from MSHA [16-1200] .....	1	.....	.....
1130 Appropriations permanently reduced .....	-1	.....	.....

1160 Appropriation, discretionary (total) .....	347	348	348
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	41	71	71
1711 Spending authority from offsetting collections transferred from other accounts [16-0179] .....	16	.....	.....
1711 Spending authority from offsetting collections transferred from other accounts [16-1700] .....	1	.....	.....
1711 Spending authority from offsetting collections transferred from other accounts [16-0143] .....	1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	59	71	71
1900 Budget authority (total) .....	406	419	419
1930 Total budgetary resources available .....	439	497	481
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	78	62	62

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	296	264	271
3010 Obligations incurred, unexpired accounts .....	359	435	419
3011 Obligations incurred, expired accounts .....	9	.....	.....
3020 Outlays (gross) .....	-389	-428	-427
3041 Recoveries of prior year unpaid obligations, expired .....	-11	.....	.....
3050 Unpaid obligations, end of year .....	264	271	263
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-7	-7
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	289	257	264
3200 Obligated balance, end of year .....	257	264	256

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	406	419	419
Outlays, gross:			
4010 Outlays from new discretionary authority .....	265	295	295
4011 Outlays from discretionary balances .....	124	133	132
4020 Outlays, gross (total) .....	389	428	427
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-41	-71	-71
4180 Budget authority, net (total) .....	365	348	348
4190 Outlays, net (total) .....	348	357	356

**Program Direction and Support.**—Provides leadership and direction for all programs and functions assigned to the Department of Labor (DOL). Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

**Legal Services.**—Provides the Secretary of Labor and departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases; providing assistance to the Department of Justice in case preparation and trials; reviewing rules, orders and written interpretations and opinions for DOL program agencies and the public; assisting in the development and defense of rules and regulations and opinions for DOL program agencies and the public; assisting in the development and defense of rules and regulations; providing opinions and advice to all agencies of the Department; and coordinating the Department's legislative program.

**International Labor Affairs.**—Supports the President's international labor agenda and coordinates the international activities for the Department of Labor. Activities include promotion of good labor policies and labor rights through intergovernmental organizations and bilateral relationships with other countries, as well as implementation of projects in developing countries to improve workers' rights and living standards and to protect vulnerable workers including women and children.

**Administration and Management.**—Exercises leadership in all departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

**Adjudication.**—Conducts formal hearings and renders timely decisions on appeals of claims filed under the Black Lung Benefits Act; the Longshore and Harbor Workers' Compensation Act and its extensions, including the Defense Base Act, and Permanent and Temporary Labor Certifications; the Federal Employees' Compensation Act; and other acts involving complaints to determine violations of minimum wage requirements, overtime payments, health and safety regulations, and unfair labor practices.

**Women's Bureau.**—Develops policies and standards, and conducts inquiries to safeguard the interests of working women; to advocate for equality and economic security for working women and their families; and to promote quality work environments.

**Civil Rights.**—Ensures compliance with certain Federal civil rights statutes and Executive Orders, and their implementing regulations, including Titles VI and VII of the Civil Rights Act of 1964, Sections 504 and 508 of the Rehabilitation Act of 1973, Title II of the Americans with Disabilities Act of 1990, and Section 188 of the Workforce Investment Act of 1998. These laws apply to and protect Department of Labor (DOL) employees, DOL applicants for employment, and individuals who interact with DOL programs and activities.

**Chief Financial Officer.**—Created as a result of the CFO Act of 1990, provides financial management leadership and direction to all DOL program agencies on financial matters arising from legislative and regulatory mandates such as the CFO Act, GMRA, FFMIA, FMFIA, Clinger-Cohen, The Reports Consolidation Act, IPIA, Treasury Financial Manual guidance and OMB Circulars.

**Program Evaluation.**—The Office of the Chief Evaluation Officer is charged with coordinating and overseeing rigorous evaluations of the Department of Labor's programs, and ensuring high standards in evaluations undertaken and funded by the Department of Labor. Provides for the centralization of evaluation activities; builds evaluation capacity and expertise within the Department; ensures the independence of the evaluation and research functions; and makes sure that evaluation and research findings are available and accessible in a timely and user-friendly way.

**Object Classification** (in millions of dollars)

Identification code 16-0165-0-1-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	148	151	151
11.3 Other than full-time permanent .....	3	2	2
11.5 Other personnel compensation .....	2	4	4
11.9 Total personnel compensation .....	153	157	157
12.1 Civilian personnel benefits .....	40	41	41
21.0 Travel and transportation of persons .....	4	3	3
23.1 Rental payments to GSA .....	19	20	20
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	10	22	6
25.2 Other services from non-Federal sources .....	12	14	14
25.3 Other goods and services from Federal sources .....	45	45	45
25.5 Research and development contracts .....	2	2	2
25.7 Operation and maintenance of equipment .....	11	11	11
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	2	3	3
41.0 Grants, subsidies, and contributions .....	40	66	66
99.0 Direct obligations .....	343	389	373
99.0 Reimbursable obligations .....	16	46	46

99.9	Total new obligations .....	359	435	419
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**Employment Summary**

Identification code 16-0165-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,380	1,380	1,367
2001 Reimbursable civilian full-time equivalent employment .....	44	44	44

**OFFICE OF DISABILITY EMPLOYMENT POLICY**

**SALARIES AND EXPENSES**

*For necessary expenses for the Office of Disability Employment Policy to provide leadership, develop policy and initiatives, and award grants furthering the objective of eliminating barriers to the training and employment of people with disabilities, \$42,432,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-0166-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of Disability Employment Policy .....	39	39	42
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	39	39	42
1160 Appropriation, discretionary (total) .....	39	39	42
1930 Total budgetary resources available .....	39	39	42
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	25	26
3010 Obligations incurred, unexpired accounts .....	39	39	42
3020 Outlays (gross) .....	-38	-38	-38
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	25	26	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	25	26
3200 Obligated balance, end of year .....	25	26	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	39	39	42
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	16	17
4011 Outlays from discretionary balances .....	16	22	21
4020 Outlays, gross (total) .....	38	38	38
4180 Budget authority, net (total) .....	39	39	42
4190 Outlays, net (total) .....	38	38	38

**Office of Disability Employment Policy.**—This agency provides national leadership in developing policy to eliminate barriers to employment faced by people with disabilities. ODEP works within the Department of Labor and in collaboration with other Federal, state and local agencies, private-sector employers, and employer associations to provide technical assistance and to develop and disseminate evidence-based policy strategies and effective practices. ODEP works in three broad areas of inquiry: workforce systems; employers and the workplace; and employment-related supports. The goal of these efforts is to increase employment opportunities and the workforce participation rate of people with disabilities.

OFFICE OF DISABILITY EMPLOYMENT POLICY—Continued

Object Classification (in millions of dollars)

Identification code 16-0166-0-1-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	6	6
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1		1
25.1 Advisory and assistance services .....	8	9	8
25.2 Other services from non-Federal sources .....	1		
25.3 Other goods and services from Federal sources .....	3	2	2
41.0 Grants, subsidies, and contributions .....	19	20	23
99.9 Total new obligations .....	39	39	42

Employment Summary

Identification code 16-0166-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	51	51	51

OFFICE OF INSPECTOR GENERAL

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$79,805,000, together with not to exceed \$5,909,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0106-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Program and Trust Funds .....	84	85	86
0002 Recovery Act .....	2		
0799 Total direct obligations .....	86	85	86
0801 Reimbursable program .....			1
0900 Total new obligations .....	86	85	87
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Program Activities) .....	78	78	80
1160 Appropriation, discretionary (total) .....	78	78	80
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	7	7
1750 Spending auth from offsetting collections, disc (total) .....	6	7	7
1900 Budget authority (total) .....	84	85	87
1930 Total budgetary resources available .....	86	85	87
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	13	11
3010 Obligations incurred, unexpired accounts .....	86	85	87
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-86	-87	-86
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	13	11	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	12	10
3200 Obligated balance, end of year .....	12	10	11

Budget authority and outlays, net:

Identification code 16-0106-0-1-505	2012 actual	2013 CR	2014 est.
<b>Discretionary:</b>			
4000 Budget authority, gross .....	84	85	87
Outlays, gross:			
4010 Outlays from new discretionary authority .....	74	73	74
4011 Outlays from discretionary balances .....	12	14	12
4020 Outlays, gross (total) .....	86	87	86
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-7	-6
4033 Non-Federal sources .....			-1
4040 Offsets against gross budget authority and outlays (total) .....	-6	-7	-7
4070 Budget authority, net (discretionary) .....	78	78	80
4080 Outlays, net (discretionary) .....	80	80	79
4180 Budget authority, net (total) .....	78	78	80
4190 Outlays, net (total) .....	80	80	79

The Office of Inspector General (OIG) conducts audits, investigations, and evaluations that improve the effectiveness, efficiency, and economy of departmental programs and operations. It addresses DOL program fraud and labor racketeering in the American workplace, provides technical assistance to DOL program agencies, and advice to the Secretary and the Congress on how to attain the highest possible program performance. The Office of Audit performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are effective, and resources are safeguarded. It also ensures funds are expended in a manner consistent with laws and regulations, and with achieving the desired program results. The Office of Labor Racketeering and Fraud Investigations conducts investigations to detect and deter fraud, waste, and abuse in departmental programs. It also identifies and reduces labor racketeering and corruption in employee benefit plans, labor management relations, and internal union affairs.

	2012 actual	2013 est.	2014 est.
Number of Audits .....	58	58	58
Number of Investigations Completed .....	445	431	431

Object Classification (in millions of dollars)

Identification code 16-0106-0-1-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	40	41	44
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	44	45	48
12.1 Civilian personnel benefits .....	16	16	16
21.0 Travel and transportation of persons .....	3	3	4
23.1 Rental payments to GSA .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	8	5	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	6	6	6
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....		1	
31.0 Equipment .....	1	1	
99.0 Direct obligations .....	86	85	86
99.0 Reimbursable obligations .....			1
99.9 Total new obligations .....	86	85	87

Employment Summary

Identification code 16-0106-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	409	409	407

VETERANS EMPLOYMENT AND TRAINING

Not to exceed \$262,333,000 may be derived from the Employment Security Administration Account in the Unemployment Trust Fund to carry

out the provisions of chapters 41, 42, and 43 of title 38, United States Code, of which:

(1) \$203,081,000 is for Jobs for Veterans State grants under 38 U.S.C. 4102A(b)(5) to support disabled veterans' outreach program specialists under section 4103A of such title and local veterans' employment representatives under section 4104(b) of such title, and for the expenses described in section 4102A(b)(5)(C), which shall be available for obligation by the States through December 31, 2014: Provided, That, in addition, such funds may be used to support such specialists and representatives in the provision of services to transitioning members of the Armed Forces who have participated in the Transition Assistance Program and have been identified as in need of intensive services, to members of the Armed Forces who are wounded, ill, or injured and receiving treatment in military treatment facilities or warrior transition units, and to the spouses or other family caregivers of such wounded, ill, or injured members;

(2) \$14,000,000 is for carrying out the Transition Assistance Program under 38 U.S.C. 4113 and 10 U.S.C. 1144;

(3) \$41,838,000 is for Federal administration of chapters 41, 42, and 43 of title 38, United States Code; and

(4) \$3,414,000 is for the National Veterans' Employment and Training Services Institute under 38 U.S.C. 4109:

Provided further, That the Secretary of Labor may reallocate among the appropriations provided under paragraphs (1) through (4) above an amount not to exceed 3 percent of the appropriation from which such reallocation is made.

In addition, from the General Fund of the Treasury, \$38,185,000 is for carrying out the Homeless Veterans Reintegration Programs under 38 U.S.C. 2021.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16–0164–0–1–702	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Jobs for Veterans State grants .....	160	166	203
0004 Transition Assistance Program .....	13	9	14
0005 Federal Management .....	35	35	42
0006 National Veterans' Training Institute .....	3	3	3
0007 Homeless veterans program .....	38	38	38
0008 Veterans' workforce investment program .....	14	15	.....
0900 Total new obligations .....	263	266	300
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	53	53	38
1160 Appropriation, discretionary (total) .....	53	53	38
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	212	213	262
1750 Spending auth from offsetting collections, disc (total) .....	212	213	262
1900 Budget authority (total) .....	265	266	300
1930 Total budgetary resources available .....	265	267	301
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1	.....	.....
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	89	102	89
3010 Obligations incurred, unexpired accounts .....	263	266	300
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	–250	–279	–336
3041 Recoveries of prior year unpaid obligations, expired .....	–2	.....	.....
3050 Unpaid obligations, end of year .....	102	89	53
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–1	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	88	102	89

3200	Obligated balance, end of year .....	102	89	53
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	265	266	300
Outlays, gross:				
4010	Outlays from new discretionary authority .....	175	217	266
4011	Outlays from discretionary balances .....	75	62	70
4020	Outlays, gross (total) .....	250	279	336
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–162	–167	–262
4030	Federal sources .....	–35	–35	.....
4030	Federal sources .....	–2	–2	.....
4030	Federal sources .....	–13	–9	.....
4040	Offsets against gross budget authority and outlays (total) .....	–212	–213	–262
4070	Budget authority, net (discretionary) .....	53	53	38
4080	Outlays, net (discretionary) .....	38	66	74
4180	Budget authority, net (total) .....	53	53	38
4190	Outlays, net (total) .....	38	66	74

**Jobs for Veterans State grants.**—The Jobs for Veterans Act (JVA) of 2002 provides the foundation for this budget activity. The JVA requires the Veterans Employment and Training Service (VETS) to act on behalf of the Secretary in the promulgation of policies and regulations that ensure maximum employment and training opportunities for veterans and priority of service for veterans within the State workforce delivery system for employment and training programs funded in whole or in part by the U.S. Department of Labor. Under the JVA, resources are allocated to States to support Disabled Veterans Outreach Program (DVOP) specialists and Local Veterans' Employment Representatives (LVERs).

Disabled Veterans Outreach Program specialists (38 U.S.C. 4103A) provide intensive services to meet the employment needs of eligible veterans. DVOPs place maximum emphasis on helping economically or educationally disadvantaged veterans. Priority of service (38 U.S.C. 4215) is given to special disabled veterans, other disabled veterans, and other eligible veterans.

Local Veterans' Employment Representatives (38 U.S.C. 4104) conduct outreach to employers as well as assist veterans in gaining employment by conducting job search workshops and establishing job search support groups. LVERs also facilitate employment, training, and placement services provided to veterans under the applicable State employment service delivery system, including One-Stop Career Centers. In addition, each LVER provides reports to the manager of the State employment service delivery system and to the State Director for Veterans Employment and Training (38 U.S.C. 4103) regarding the State's compliance with Federal law and regulations with respect to special services and priorities for eligible veterans.

**Transition Assistance Program.**—This program provides employment workshops for departing service members in the continental U.S. and at major overseas installations. VETS coordinates with the Departments of Defense, Veterans Affairs, and Homeland Security to provide transition services to military service members separating from active duty. TAP is implemented worldwide and provides labor-market and employment-related information and other services to separating service members and their spouses. The goal of TAP is to expedite and facilitate the transition from military to civilian employment.

**Federal management.**—VETS' Federal management budget activity carries out programs and develops policies to provide veterans the maximum employment and training opportunities (38 U.S.C. 4102–4103A) and to investigate complaints received under the Uniformed Services Employment and Reemployment Rights Act (USERRA) (38 USC 4322). Veterans' Preference activities, which are intended to assist veterans in obtaining

VETERANS EMPLOYMENT AND TRAINING—Continued

Federal employment (39 U.S.C. 4214), are also supported under this activity.

Resources under this activity are also used to evaluate the job training and employment assistance services provided to veterans under the Jobs for Veterans State Grants (38 USC 4102A), the Homeless Veterans Reintegration Program (Section 738 of the Stewart B. McKinney Homeless Assistance Act (MHAA) of July 1987, and amended by Section 5 of the Homeless Veterans Comprehensive Assistance Act (HVCAA of 2001), and the Veterans Workforce Investment Program (Section 168, Workforce Investment Act, P.L. 105–220). This budget activity supports field activities and personnel who provide technical assistance to grantees to ensure they meet negotiated and mandated performance goals and other grant provisions.

This budget activity also supports the oversight and development of policies for the Transition Assistance Program (10 USC 1144 and 38 USC 4113). The activity funds outreach and education efforts, such as job fairs, that raise the awareness of employers about the benefits of hiring veterans. The activities of the Advisory Committee for Veterans Employment, Training, and Employer Outreach (38 USC 4110) also are supported. The REALifeLines initiative facilitates timely and comprehensive employment services to our Nation's severely wounded and injured veterans.

*National Veterans' Employment and Training Services Institute.*—The National Veterans' Training Institute (NVTI) supplies competency-based training to Federal and State providers of services to veterans (38 U.S.C. 4109). NVTI also provides training for Veterans Employment and Training Service personnel. NVTI is administered through a contract and supported by dedicated funds. NVTI ensures that these service providers receive a comprehensive foundation so they can effectively assist job-seeking veterans.

*Homeless Veterans' Reintegration Program.*—The Homeless Veterans' Reintegration Program (HVRP) (38 U.S.C. 2021) provides grants to States or other public entities, as well as to non-profits, including faith-based organizations. Grant awards enable grantees to operate employment programs to reach out to homeless veterans and help them become employed. VETS partners with the Departments of Veterans Affairs and Housing and Urban Development to promote multi-agency-funded programs that integrate the different services needed by homeless veterans. HVRP grants are provided for both urban and rural areas.

*Veterans' Workforce Investment Program.*—The Veterans' Workforce Investment Program (VWIP) (Section 168 of P.L. 105–220) provides competitive grants geared toward training and retraining to create employment opportunities for veterans in high-skill occupations, and to meet employer demands. The fiscal year 2014 Budget will end funding for this program and instead support service delivery innovations through the Workforce Innovation Fund.

Object Classification (in millions of dollars)

Identification code 16-0164-0-1-702	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	18	20	22
12.1 Civilian personnel benefits .....	6	5	6
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1		
25.2 Other services from non-Federal sources .....	13	13	20
25.3 Other goods and services from Federal sources .....	6	5	6
41.0 Grants, subsidies, and contributions .....	214	218	241

99.0	Direct obligations .....	262	265	299
99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	263	266	300

Employment Summary

Identification code 16-0164-0-1-702	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	210	210	227

INFORMATION TECHNOLOGY MODERNIZATION

*For necessary expenses for Department of Labor centralized infrastructure technology investment activities related to support systems and modernization, \$20,587,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0162-0-1-505	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Departmental Support Systems .....	12	8	8
0002	IT Infrastructure Modernization .....	8	12	13
0100	Direct program activities, subtotal .....	20	20	21
0900	Total new obligations .....	20	20	21
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	20	20	21
1160	Appropriation, discretionary (total) .....	20	20	21
1930	Total budgetary resources available .....	20	20	21
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		19	21
3010	Obligations incurred, unexpired accounts .....	20	20	21
3020	Outlays (gross) .....	-1	-18	-20
3050	Unpaid obligations, end of year .....	19	21	22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		19	21
3200	Obligated balance, end of year .....	19	21	22
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	20	20	21
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	5	5
4011	Outlays from discretionary balances .....		13	15
4020	Outlays, gross (total) .....	1	18	20
4180	Budget authority, net (total) .....	20	20	21
4190	Outlays, net (total) .....	1	18	20

*Departmental Support Systems.*—This activity represents a permanent, centralized IT investment fund for the Department of Labor managed by the Chief Information Officer. The fund is used to support process improvements, modernization, and enhancements to Departmental common universal support processes and systems, as well as enterprise-wide programs for effective IT management and decision making.

*IT Infrastructure Modernization.*—This Chief Information Officer-managed activity funds the effort to transform nine major independently funded and managed IT infrastructure silos at the sub-agency level into a unified IT infrastructure. The unified infrastructure will be centrally managed and provide all agencies with general purpose business productivity tools, a shared enviro-

onment for common data sources, and the underlying IT services to support it.

**Object Classification** (in millions of dollars)

Identification code 16-0162-0-1-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
23.3 Communications, utilities, and miscellaneous charges .....		3	3
25.1 Advisory and assistance services .....	11	4	4
25.3 Other goods and services from Federal sources .....	3	3	3
25.7 Operation and maintenance of equipment .....	6	9	10
31.0 Equipment .....		1	1
99.9 Total new obligations .....	20	20	21

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 16-4601-0-4-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Financial and administrative services (includes Core Financial) .....	156	157	163
0802 Field services .....	43	44	44
0804 Human resources services .....	22	21	21
0805 Telecommunications .....	16	17	17
0806 Non-DOL Reimbursables .....	2	2	2
0900 Total new obligations .....	239	241	247

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	17	11
1012 Unobligated balance transfers between expired and unexpired accounts .....	3	3	3
1021 Recoveries of prior year unpaid obligations .....	6	3	3
1050 Unobligated balance (total) .....	22	23	17
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	234	229	235
1750 Spending auth from offsetting collections, disc (total) .....	234	229	235
1900 Budget authority (total) .....	234	229	235
1930 Total budgetary resources available .....	256	252	252
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	11	5

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	87	98	102
3010 Obligations incurred, unexpired accounts .....	239	241	247
3020 Outlays (gross) .....	-222	-234	-253
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-3	-3
3050 Unpaid obligations, end of year .....	98	102	93
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	85	96	100
3200 Obligated balance, end of year .....	96	100	91

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	234	229	235
Outlays, gross:			
4010 Outlays from new discretionary authority .....	173	213	218
4011 Outlays from discretionary balances .....	49	21	35
4020 Outlays, gross (total) .....	222	234	253
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-234	-229	-235
4190 Outlays, net (total) .....	-12	5	18

**Financial and Administrative Services.**—Provides a program of centralized services at both the national and regional levels supporting financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost deter-

mination activities, maintenance of departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space and telecommunications, property and supplies, printing and reproduction and energy management. In addition, support is provided for the operation and maintenance of the New Core Financial Management System.

**Field Services.**—Provides a full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are primarily in the personnel, financial, information technology and general administrative areas.

**Human Resources Services.**—Provides leadership, guidance, and technical expertise in all areas related to the management of the Department's human resources, including recruitment, development, and retention of staff, and leadership in labor-management cooperation. This activity's focus is on a strategic planning process that will result in sustained leadership and assistance to DOL agencies in recruiting, developing and retaining a high quality, diverse workforce that effectively meets the changing mission requirements and program priorities of the Department.

**Telecommunications.**—Provides for departmental telecommunications payments to the General Services Administration.

**Non-DOL Reimbursements.**—Provides for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services and support for regional consolidated administrative support unit activities. The income received from non-DOL agencies and organizations funds in full the costs of all services provided. This income is credited to and merged with other income received by the Working Capital Fund.

**Financing.**—The Working Capital Fund is funded by the agencies and organizations for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave.

**Object Classification** (in millions of dollars)

Identification code 16-4601-0-4-505	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	68	71	71
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	70	73	73
12.1 Civilian personnel benefits .....	30	30	30
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	8	8	8
23.3 Communications, utilities, and miscellaneous charges .....	26	22	25
25.1 Advisory and assistance services .....	3	2	2
25.2 Other services from non-Federal sources .....	32	33	33
25.3 Other goods and services from Federal sources .....	12	12	15
25.4 Operation and maintenance of facilities .....	26	26	26
25.7 Operation and maintenance of equipment .....	26	28	28
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	3	3	3
99.9 Total new obligations .....	239	241	247

WORKING CAPITAL FUND—Continued  
Employment Summary

Identification code 16-4601-0-4-505	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	730	730	730

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
16-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	1	1	1
16-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	22	28	27
General Fund Offsetting receipts from the public .....	23	29	28

**GENERAL PROVISIONS**

**SEC. 101.** None of the funds appropriated by this Act for the Job Corps shall be used to pay the salary and bonuses of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of Executive Level II.

(TRANSFER OF FUNDS)

**SEC. 102.** Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

**SEC. 103.** In accordance with Executive Order No. 13126, none of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended for the procurement of goods mined, produced, manufactured, or harvested or services rendered, in whole or in part, by forced or indentured child labor in industries and host countries already identified by the United States Department of Labor prior to enactment of this Act.

**SEC. 104.** None of the funds made available to the Department of Labor for grants under section 414(c) of the American Competitiveness and Workforce Improvement Act of 1998 may be used for any purpose other than competitive grants for training in the occupations and industries for which employers are using H-1B visas to hire foreign workers, and the related activities necessary to support such training.

**SEC. 105.** None of the funds made available by this Act under the heading "Employment and Training Administration" shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II. This limitation shall not apply to vendors providing goods and services as defined in Office of Management and Budget Circular A-133. Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the State, the compensation levels for comparable State or local government employees, and the size of the organizations that administer Federal programs involved including Employment and Training Administration programs. Notwithstanding this section, the limitation on salaries for the Job Corps shall continue to be governed by section 101.

**SEC. 106.** Notwithstanding section 102, the Secretary may transfer funds made available to the Employment and Training Administration by this Act, either directly or through a set-aside, for technical assistance services to grantees to "Program Administration" when it is determined that those services will be more efficiently performed by Federal employees.

**SEC. 107.** (a) The Secretary may reserve not more than 1 percent from each appropriation made available in this Act identified in subsection (b) in order to carry out evaluations of any of the programs or activities that are funded under such accounts. Any funds reserved under this section shall be transferred to "Departmental Management" for use by the Office of the Chief Evaluation Officer within the Department of Labor, and shall be available for obligation through September 30, 2015: Provided, That such funds shall only be available if the Chief Evaluation Officer of the Department of Labor submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the evaluations to be carried out 15 days in advance of any transfer.

(b) The accounts referred to in subsection (a) are: "Training and Employment Services", "Office of Job Corps", "State Unemployment Insurance and Employment Service Operations", "Employee Benefits Security Administration", "Office of Workers' Compensation Programs", "Wage and Hour Division", "Office of Federal Contract Compliance Programs", "Office of Labor Management Standards", "Occupational Safety and Health Administration", "Mine Safety and Health Administration", funding made available to the "Bureau of International Affairs" and "Women's Bureau" within the "Departmental Management Salaries and Expenses" account, and "Veterans Employment and Training".

**SEC. 108.** (a) Of the funds appropriated under section 272(b) of the Trade Act of 1974 for fiscal year 2014, the Secretary of Labor may reserve no more than 3 percent of such funds to conduct evaluations and provide technical assistance relating to the activities carried out under section 271 of such Act, including activities carried out under such section supported by the appropriations provided for fiscal years 2011 through 2013.

(b) Institutions of higher education awarded grants under section 271 of the Trade Act of 1974 may award subgrants to other institutions of higher education that meet the definition of "eligible institution" under section 271(b)(1)(A) of such Act, subject to the conditions applicable to such grants.

**SEC. 109.** (a) Section 5315 of title 5, United States Code, is amended after the item relating to the Assistant Secretaries of Labor by inserting "Administrator, Wage and Hour Division, Department of Labor." (b) Section 5316, title 5, United States Code, is amended by striking "Administrator, Wage and Hour and Public Contracts Division, Department of Labor."

**TITLE V—GENERAL PROVISIONS**

(TRANSFER OF FUNDS)

**SEC. 501.** The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act. Such transferred balances shall be used for the same purpose, and for the same periods of time, for which they were originally appropriated.

**SEC. 502.** No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

**SEC. 503.**

(a) No part of any appropriation contained in this Act or transferred to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature or legislative body, except in presentation to the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government, except in presentation to the executive branch of any State or local government itself.

(b) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, or appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any State government, State legislature or local legislature or legislative body,

other than for normal and recognized executive-legislative and State-local relationships, for presentation to any State or local legislature or legislative body itself, or for participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

SEC. 504. The Secretaries of Labor and Education are authorized to make available not to exceed \$28,000 and \$20,000, respectively, from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$5,000 from the funds available for "Federal Mediation and Conciliation Service, Salaries and Expenses"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$5,000 from funds available for "National Mediation Board, Salaries and Expenses".

SEC. 505. None of the funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 506. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state—

- (1) the percentage of the total costs of the program or project which will be financed with Federal money;
- (2) the dollar amount of Federal funds for the project or program; and
- (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

SEC. 507. (a) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for any abortion.

(b) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for health benefits coverage that includes coverage of abortion.

(c) The term "health benefits coverage" means the package of services covered by a managed care provider or organization pursuant to a contract or other arrangement.

SEC. 508. (a) The limitations established in the preceding section shall not apply to an abortion—

- (1) if the pregnancy is the result of an act of rape or incest; or
- (2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

(b) Nothing in the preceding section shall be construed as prohibiting the expenditure by a State, locality, entity, or private person of State, local, or private funds (other than a State's or locality's contribution of Medicaid matching funds).

(c) Nothing in the preceding section shall be construed as restricting the ability of any managed care provider from offering abortion coverage or the ability of a State or locality to contract separately with such a provider for such coverage with State funds (other than a State's or locality's contribution of Medicaid matching funds).

(d)(1) None of the funds made available in this Act may be made available to a Federal agency or program, or to a State or local government, if such agency, program, or government subjects any institutional or individual health care entity to discrimination on the basis that the health care entity does not provide, pay for, provide coverage of, or refer for abortions.

(2) In this subsection, the term "health care entity" includes an individual physician or other health care professional, a hospital, a provider-sponsored organization, a health maintenance organization, a health insurance plan, or any other kind of health care facility, organization, or plan.

SEC. 509. (a) None of the funds made available in this Act may be used for—

(1) the creation of a human embryo or embryos for research purposes; or

(2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.204(b) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term "human embryo or embryos" includes any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

SEC. 510. (a) None of the funds made available in this Act may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established under section 202 of the Controlled Substances Act except for normal and recognized executive-congressional communications.

(b) The limitation in subsection (a) shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. 511. None of the funds made available in this Act may be used to promulgate or adopt any final standard under section 1173(b) of the Social Security Act providing for, or providing for the assignment of, a unique health identifier for an individual (except in an individual's capacity as an employer or a health care provider), until legislation is enacted specifically approving the standard.

SEC. 512. None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity if—

(1) such entity is otherwise a contractor with the United States and is subject to the requirement in 38 U.S.C. 4212(d) regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and

(2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

SEC. 513. None of the funds made available by this Act to carry out the Library Services and Technology Act may be made available to any library covered by paragraph (1) of section 224(f) of such Act, as amended by the Children's Internet Protection Act, unless such library has made the certifications required by paragraph (4) of such section.

SEC. 514. None of the funds made available by this Act to carry out part D of title II of the Elementary and Secondary Education Act of 1965 may be made available to any elementary or secondary school covered by paragraph (1) of section 2441(a) of such Act, as amended by the Children's Internet Protection Act and the No Child Left Behind Act, unless the local educational agency with responsibility for such covered school has made the certifications required by paragraph (2) of such section.

(INCLUDING TRANSFERS OF FUNDS AND CANCELLATION)

SEC. 515. None of the funds appropriated in this Act shall be expended or obligated by the Commissioner of Social Security, for purposes of administering Social Security benefit payments under title II of the Social Security Act, to process any claim for credit for a quarter of coverage based on work performed under a social security account number that is not the claimant's number and the performance of such work under such number has formed the basis for a conviction of the claimant of a violation of section 208(a)(6) or (7) of the Social Security Act.

SEC. 516. TRANSFER OF OLDER AMERICAN COMMUNITY SERVICE EMPLOYMENT PROGRAM TO DEPARTMENT OF HEALTH AND HUMAN SERVICES.

(a) IN GENERAL.—Notwithstanding any other provision of law, the Older American Community Service Employment (OACSE) program under title V of the Older Americans Act of 1965 (42 U.S.C. 3056), and the authority to administer such program, shall be permanently transferred from the Secretary of Labor to the Secretary of Health and Human Services, acting through the Assistant Secretary for Aging.

(b) TRANSFER OF FUNCTIONS, ASSETS, AND LIABILITIES.—The functions, assets, and liabilities of the Secretary of Labor relating to the OACSE program shall be transferred to the Secretary of Health and Human Services.

(c) EFFECTIVE DATE OF TRANSFER.—The transfer under this section shall be effective no later than the last day of the second full fiscal quarter following the quarter in which this section is enacted.

SEC. 517. *Of the funds made available for performance bonus payments under section 2105(a)(3)(E) of the Social Security Act, \$3,779,000,000 shall be permanently cancelled as of January 20, 2014.*

SEC. 518. **WORKFORCE INNOVATION FUND.**

(a) *From funds appropriated under this Act for the Workforce Innovation Fund—*

(1) *amounts shall be available to support innovative new strategies and activities, or the replication and expansion of effective evidence-based strategies and activities, that are designed to align programs and strengthen the workforce development system in a State or region, in order to substantially improve education and employment outcomes for adults and youth served by such system, cost effectiveness, and the services provided to employers under such system; and*

(2) *amounts shall be available for awards to States or State agencies that are eligible for assistance under any program authorized under the Workforce Investment Act; consortia of States; or partnerships, including regional partnerships, which may include workforce investment boards, public agencies, or other entities, pursuant to criteria established by the Secretary of Labor.*

(b) *Amounts appropriated for the Workforce Innovation Fund shall be administered by the Secretary of Labor in consultation with the Secretary of Education and other heads of departments and agencies, as appropriate.*

(c) *Funds obligated for Workforce Innovation Fund projects may remain available until expended for disbursement, notwithstanding 31 U.S.C. 1552(a).*

(d)(1) *In the case of any innovation or replication project which, in the judgment of the Secretary of Labor and the Secretary of Education, is likely to substantially improve the education and employment outcomes for adults and youth served by such system and the services provided to employers under such system and requires waiver of statutory or regulatory requirements to achieve those improvements, the Secretary of Labor, with respect to title I of the Workforce Investment Act of 1998 and the Wagner-Peyser Act, and the Secretary of Education, with respect to title II of the Workforce Investment Act of 1998 and title I of the Rehabilitation Act of 1973—*

(A) *may waive compliance with statutory or regulatory requirements under such Acts to the extent and for the period the respective Secretary determines necessary to carry out such project;*

(B) *may not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and substate levels.*

(2) *Waivers may only be provided to projects which include—*

(A) *a plan, approved by the relevant Secretary, to effectively evaluate the impact of the strategies being tested on outcomes for program participants, including target populations identified by the Secretaries;*

(B) *a strong accountability system, including performance measures which show outcomes for program participants and demonstrate that vulnerable populations, including individuals with disabilities, are being appropriately served by the workforce system; and*

(C) *other required elements, as established by the Secretaries in regulation or grant solicitation.*

(3) *Prior to granting a waiver, the Secretaries of Education and Labor will provide at least 60 days written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.*

SEC. 519. **PERFORMANCE PARTNERSHIP PILOTS**

(a) **DEFINITIONS** *In this section —*

(1) *"Performance Partnership Pilot" (or "Pilot") is a project that seeks to identify, through a demonstration, cost-effective strategies for providing services at the state, regional, or local level that—*

(A) *involve two or more Federal programs (administered by one or more Federal agencies)—*

(i) *which have related policy goals, and*

(ii) *at least one of which is administered (in whole or in part) by a state, local, or tribal government; and*

(B) *achieve better results for regions, communities, or specific at risk populations through making better use of the budgetary resources that are available for supporting such programs.*

(2) *"To improve outcomes for disconnected youth" means to increase the rate at which individuals between the ages of 14 and 24 (who are homeless, in foster care, involved in the juvenile justice system, or are*

*neither employed nor enrolled in an educational institution) achieve success in meeting educational, employment or other key goals.*

(3) *The "lead Federal administering agency" is the Federal agency, to be designated by the Director of the Office of Management and Budget (from among the participating Federal agencies that have statutory responsibility for the Federal discretionary funds that will be used in a Performance Partnership Pilot) that will enter into and administer the particular Performance Partnership Agreement on behalf of that agency and the other participating Federal agencies.*

(b) **USE OF DISCRETIONARY FUNDS IN FISCAL YEAR 2014 APPROPRIATIONS ACT.** *Federal agencies may use Federal discretionary funds, that are made available in this act or any other appropriations act providing funds for Fiscal Year 2014 and corresponding authority to enter into Performance Partnership Pilots, to carry out up to a total of 13 Performance Partnership Pilots involving up to a total of \$130,000,000 in aggregate Federal discretionary budget authority. Such Pilots shall:*

(1) *be designed to improve outcomes for disconnected youth, and*

(2) *involve Federal programs targeted on disconnected youth, or designed to prevent youth from disconnecting from school or work, that provide education, training and employment, and other related social services; and*

(c) **PERFORMANCE PARTNERSHIP AGREEMENTS.** *Federal agencies may use Federal discretionary funds, as authorized in subsection (b), to participate in a Performance Partnership Pilot only in accordance with the terms of a Performance Partnership Agreement that—*

(1) *is entered into between—*

(A) *the head of the lead Federal administering agency, on behalf of all of the participating Federal agencies (subject to the head of the lead Federal administering agency having received from the heads of each of the other participating agencies their written concurrence for entering into the Agreement), and*

(B) *the respective representatives of all of the state, local or tribal governments that are participating in the Agreement; and*

(2) *specifies, at a minimum, the following information:*

(A) *the length of the Agreement (which shall not extend beyond September 30, 2018);*

(B) *the Federal programs and federally-funded services that are involved in the Pilot;*

(C) *the Federal discretionary funds that are being used in the Pilot (by the respective Federal account identifier, and the total amount from such account that is being used in the Pilot), and the period (or periods) of availability for obligation (by the Federal Government) of such funds;*

(D) *the non-Federal funds that are involved in the Pilot, by source (which can include private funds as well as governmental funds) and by amount;*

(E) *the state, local, or tribal programs that are involved in the Pilot;*

(F) *the populations to be served by the Pilot;*

(G) *the cost-effective Federal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;*

(H) *the cost-effective State, local or tribal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;*

(I) *the outcome (or outcomes) that the Pilot is designed to achieve;*

(J) *the appropriate, reliable, and objective outcome-measurement methodology that the Federal Government and the participating state, local, or tribal governments will use, in carrying out the Pilot, to determine whether the Pilot is achieving, and has achieved, the specified outcomes that the Pilot is designed to achieve; and*

(K) *in cases where, during the course of the Pilot, it is determined that the Pilot is not achieving the specified outcomes that it is designed to achieve,*

(i) *the consequences that will result from such deficiencies with respect to the Federal discretionary funds that are being used in the Pilot, and*

(ii) *the corrective actions that will be taken in order to increase the likelihood that the Pilot, upon completion, will have achieved such specified outcomes.*

(d) **AGENCY HEAD DETERMINATIONS.** *A Federal agency may participate in a Performance Partnership Pilot (including by providing Federal discretionary funds that have been appropriated to such agency) only upon the written determination by the head of such agency that the agency's participation in such Pilot—*

(1) will not result in denying or restricting the eligibility of any individual for any of the services that (in whole or in part) are funded by the agency's programs and Federal discretionary funds that are involved in the Pilot, and

(2) based on the best available information, will not otherwise adversely affect vulnerable populations that are the recipients of such services. In making this determination, the head of the agency may take into consideration the other Federal discretionary funds that will be used in the Pilot as well as any non-Federal funds (including from private sources as well as governmental sources) that will be used in the Pilot.

(e) **TRANSFER AUTHORITY.** For the purpose of carrying out the Pilot in accordance with the Performance Partnership Agreement, and subject to the written approval of the Director of the Office of Management and Budget, the head of each participating Federal agency may transfer Federal discretionary funds that are being used in the Pilot to an account of the lead Federal administering agency that includes Federal discretionary funds that are being used in the Pilot. Subject to the waiver authority under subsection (g), such transferred funds shall remain available for the same purposes for which such funds were originally appropriated: Provided, That such transferred funds shall remain available for obligation by the Federal Government until the expiration of those Federal discretionary funds (which are being used in the Pilot) that have the longest period of availability, except that any such transferred funds shall not remain available beyond September 30, 2018.

(f) **WAIVER AUTHORITY.** In connection with a Federal agency's participation in a Performance Partnership Pilot, and subject to the other provisions of this section (including subsection (e)), the head of the Federal agency to which the Federal discretionary funds were appropriated may waive (in whole or in part) the application, solely to such discretionary funds that are being used in the Pilot, of any statutory, regulatory, or administrative requirement that such agency head—

(1) is otherwise authorized to waive (in accordance with the terms and conditions of such other authority), and

(2) is not otherwise authorized to waive, provided that in such case the agency head, prior to granting the waiver, shall—

(A) not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and sub-state levels;

(B) issue a written determination with respect to such discretionary funds that the granting of such waiver for purposes of the Pilot—

(i) is consistent with both—

(I) the statutory purposes of the Federal program for which such discretionary funds were appropriated, and

(II) the other provisions of this section, including the written determination by the agency head issued under subsection (e);

(ii) is necessary to achieve the outcomes of the Pilot as specified in the Performance Partnership Agreement, and is no broader in scope than is necessary to achieve such outcomes; and

(iii) will result in either—

(I) realizing efficiencies by simplifying reporting burdens or reducing administrative barriers with respect to such discretionary funds, or

(II) increasing the ability of individuals to obtain access to services that are provided by such discretionary funds; and

(C) provide at least 60 days advance written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.

**SEC. 520. (a) IN GENERAL.** The Health Education Assistance Loan (HEAL) program under title VII, part A, subpart I of the Public Health Service Act (42 U.S.C. 292–292p), and the authority to administer such program, including servicing, collecting, and enforcing any loans that were made under such program that remain outstanding, shall be permanently transferred from the Secretary of Health and Human Services to the Secretary of Education no later than the end of the first fiscal quarter that begins after the date of enactment of this act.

(b) **TRANSFER OF FUNCTIONS, ASSETS, AND LIABILITIES.** The functions, assets, and liabilities of the Secretary of Health and Human Services relating to such program shall be transferred to the Secretary of Education.

(c) **INTERDEPARTMENTAL COORDINATION OF TRANSFER.** The Secretary of Health and Human Services and the Secretary of Education shall carry out the transfer of the HEAL program described in subsection (a), including the transfer of the functions, assets, and liabilities specified in subsection (b), in the manner that they determine is most appropriate.

(d) **USE OF AUTHORITIES UNDER HIGHER EDUCATION ACT OF 1965.** In servicing, collecting, and enforcing the loans described in subsection (a), the Secretary of Education shall have available any and all authorities available to such Secretary in servicing, collecting, or enforcing a loan made, insured, or guaranteed under part B of title IV of the Higher Education Act of 1965.

(e) **CONFORMING AMENDMENTS.** Effective as of the date on which the transfer of the HEAL program under subsection (a) takes effect, section 719 of the Public Health Service Act (42 U.S.C. 292o) is amended by adding at the end the following new paragraph: "(6) The term "Secretary" means the Secretary of Education."



# DEPARTMENT OF STATE AND OTHER INTERNATIONAL PROGRAMS

The Department of State, the U.S. Agency for International Development (USAID), and other international programs advance the national security interests of the United States by helping to build and sustain a more democratic, secure, and prosperous world. Investing in civilian diplomacy and development fosters stability around the world, supports the goals of the President's Policy Directive on Global Development, reduces poverty, and promotes universal values, which in turn helps to protect our national security. International programs also support economic development and job creation in the U.S. by increasing trade and expanding access for U.S. businesses to international markets. The 2014 Budget for the Department of State and Other International Programs includes funding for the necessary base resources to maintain critical diplomatic and development efforts around the world as well as for Overseas Contingency Operations (OCO) resources, which sustain current and future extraordinary civilian efforts in the frontline states of Afghanistan, Pakistan, and Iraq. The costs associated with OCO are temporary in nature, will diminish as the missions are normalized, and will vary over time commensurate with the pace of civilian activity and the security environment in each country.

(B) not to exceed \$15,000, which shall be derived from reimbursements, surcharges and fees for use of Blair House facilities.  
 (6) Transfer, reprogramming, and other matters.—

(A) Notwithstanding any provision of this Act, funds may be reprogrammed within and between subsections under this heading subject to section 7012 of this Act;

(B) Of the amount made available under this heading, not to exceed \$10,000,000 may be transferred to, and merged with, funds made available by this Act under the heading "Emergencies in the Diplomatic and Consular Service", to be available only for emergency evacuations and rewards, as authorized; and

(C) Funds appropriated under this heading are available for acquisition by exchange or purchase of passenger motor vehicles as authorized by law and, pursuant to 31 U.S.C. 1108(g), for the field examination of programs and activities in the United States funded from any account contained in this title.

(D) Of the amount made available under this heading, not to exceed \$1,000,000 may be transferred to, and merged with, funds made available by this Act under the heading Representation Allowances, to be available for official representation activities, as authorized.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## ADMINISTRATION OF FOREIGN AFFAIRS

### Federal Funds

#### DIPLOMATIC AND CONSULAR PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Department of State and the Foreign Service not otherwise provided for, \$7,282,363,000, of which \$1,791,174,000 is for Worldwide Security Protection (to remain available until expended): Provided, That funds made available under this heading shall be allocated as follows:

(1) Human resources.—For necessary expenses for training, human resources management, and salaries, including employment without regard to civil service and classification laws of persons on a temporary basis (not to exceed \$700,000), as authorized by section 801 of the United States Information and Educational Exchange Act of 1948, \$2,585,999,000, to remain available until September 30, 2015, of which not less than \$131,713,000 shall be available only for public diplomacy American salaries, and up to \$255,866,000 is for Worldwide Security Protection and shall remain available until expended.

(2) Overseas programs.—For necessary expenses for the regional bureaus of the Department of State and overseas activities as authorized by law, \$2,243,836,000, to remain available until September 30, 2015, of which not less than \$369,589,000 shall be available only for public diplomacy international information programs.

(3) Diplomatic policy and support.—For necessary expenses for the functional bureaus of the Department of State including representation to certain international organizations in which the United States participates pursuant to treaties ratified pursuant to the advice and consent of the Senate or specific Acts of Congress, general administration, and arms control, nonproliferation and disarmament activities as authorized, \$813,005,000, to remain available until September 30, 2015.

(4) Security programs.—For necessary expenses for security activities, \$1,639,523,000, to remain available until September 30, 2015, of which \$1,535,308,000 is for Worldwide Security Protection and shall remain available until expended.

(5) Fees and payments collected.—In addition to amounts otherwise made available under this heading—

(A) as authorized by section 810 of the United States Information and Educational Exchange Act, not to exceed \$5,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from English teaching, library, motion pictures, and publication programs and from fees from educational advising and counseling and exchange visitor programs; and

### Program and Financing (in millions of dollars)

Identification code 19–0113–0–1–153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Executive direction and policy formulation .....	974	1,315	1,375
0002 Conduct of diplomatic relations .....	1,909	2,631	2,315
0003 Conduct of public diplomacy .....	583	608	572
0005 Conduct of consular relations .....	141	190	213
0006 Professional development and training .....	320	432	335
0007 Information management .....	1,458	1,506	1,373
0008 Security .....	1,634	2,206	1,361
0009 Medical .....	78	102	90
0010 Administration and staff activities .....	1,753	2,021	1,273
0011 Iraq Operations .....	209	1,641	306
0799 Total direct obligations .....	9,059	12,652	9,213
0801 Reimbursable program .....	5,332	5,792	6,026
0900 Total new obligations .....	14,391	18,444	15,239
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,574	4,421	2,775
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,550	4,414	.....
1010 Unobligated balance transfer to other accts [70–0100] .....	–3	.....	.....
1010 Unobligated balance transfer to other accts [70–0530] .....	–1	.....	.....
1010 Unobligated balance transfer to other accts [70–0540] .....	–3	.....	.....
1010 Unobligated balance transfer to other accts [19–0535] .....	–147	.....	.....
1011 Unobligated balance transfer from other accts [19–0524] .....	31	.....	.....
1021 Recoveries of prior year unpaid obligations .....	292	.....	.....
1050 Unobligated balance (total) .....	2,743	4,421	2,775
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,551	6,591	7,282
1100 Appropriation - OCO .....	4,389	4,389	.....
1120 Appropriations transferred to other accts [19–0535] .....	–173	.....	.....
1120 Appropriations transferred to other accts [19–0113] .....	–1,380	.....	.....
1120 Appropriations transferred to other accts [19–0545] .....	–1	.....	.....
1120 Appropriations transferred to other accts [19–0523] .....	–1	.....	.....
1120 Appropriations transferred to other accts [19–0520] .....	–1	.....	.....
1120 Appropriations transferred to other accts [19–0121] .....	–22	.....	.....
1121 Appropriations transferred from other accts [19–0113] .....	1,380	.....	.....
1130 Appropriations permanently reduced .....	–14	–14	.....
1160 Appropriation, discretionary (total) .....	10,728	10,966	7,282
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	45	40	41
1260 Appropriations, mandatory (total) .....	45	40	41
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5,332	5,792	6,061
1701 Change in uncollected payments, Federal sources .....	46	.....	.....

**DIPLOMATIC AND CONSULAR PROGRAMS—Continued**  
**Program and Financing—Continued**

Identification code 19–0113–0–1–153	2012 actual	2013 CR	2014 est.
1750 Spending auth from offsetting collections, disc (total) .....	5,378	5,792	6,061
1900 Budget authority (total) .....	16,151	16,798	13,384
1930 Total budgetary resources available .....	18,894	21,219	16,159
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-82		
1941 Unexpired unobligated balance, end of year .....	4,421	2,775	920
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,439	6,109	8,977
3010 Obligations incurred, unexpired accounts .....	14,391	18,444	15,239
3011 Obligations incurred, expired accounts .....	68		
3020 Outlays (gross) .....	-13,324	-15,576	-14,466
3040 Recoveries of prior year unpaid obligations, unexpired .....	-292		
3041 Recoveries of prior year unpaid obligations, expired .....	-173		
3050 Unpaid obligations, end of year .....	6,109	8,977	9,750
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-173	-199	-199
3070 Change in uncollected pymts, Fed sources, unexpired .....	-46		
3071 Change in uncollected pymts, Fed sources, expired .....	20		
3090 Uncollected pymts, Fed sources, end of year .....	-199	-199	-199
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,266	5,910	8,778
3200 Obligated balance, end of year .....	5,910	8,778	9,551
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16,106	16,758	13,343
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9,090	8,178	6,415
4011 Outlays from discretionary balances .....	4,196	7,362	8,006
4020 Outlays, gross (total) .....	13,286	15,540	14,421
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2,842	-3,177	-3,237
4033 Non-Federal sources .....	-2,505	-2,615	-2,824
4040 Offsets against gross budget authority and outlays (total) ...	-5,347	-5,792	-6,061
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-46		
4052 Offsetting collections credited to expired accounts .....	15		
4060 Additional offsets against budget authority only (total) .....	-31		
4070 Budget authority, net (discretionary) .....	10,728	10,966	7,282
4080 Outlays, net (discretionary) .....	7,939	9,748	8,360
Mandatory:			
4090 Budget authority, gross .....	45	40	41
Outlays, gross:			
4100 Outlays from new mandatory authority .....	30	20	21
4101 Outlays from mandatory balances .....	8	16	24
4110 Outlays, gross (total) .....	38	36	45
4180 Budget authority, net (total) .....	10,773	11,006	7,323
4190 Outlays, net (total) .....	7,977	9,784	8,405

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	10,773	11,006	7,323
Outlays .....	7,977	9,784	8,405
Overseas contingency operations:			
Budget Authority .....			1,199
Outlays .....			421
Total:			
Budget Authority .....	10,773	11,006	8,522
Outlays .....	7,977	9,784	8,826

Diplomatic and Consular Programs are financed by this appropriation, fees for services, and reimbursements from other agencies (including for administrative and other services provided by the Department of State). As in previous years, two-year funding is requested for this account, except for funds requested for Worldwide Security Protection (WSP), which is to remain available until expended. This account is the Department of

State's primary operating account and funds a broad range of activities from policy setting, planning and design, to implementation and operations and maintenance. The 2014 request includes base funding for the State Department operations in Iraq, Afghanistan, and Pakistan that are supported by the Diplomatic and Consular Programs (D&CP) account. The balance of the funding requested for operations in Iraq, Afghanistan, and Pakistan is included in the Overseas Contingency Operations (OCO) account request for the D&CP account.

Funds are requested in the following categories:

*Human Resources.*—This activity supports American salaries at overseas and domestic United States diplomatic missions, including Department of State employees carrying out security protection activities. The professional development and training activity is a continuous process by which the Department ensures that its professionals have the skills, experience and judgment to fulfill its functions at all levels. Training programs are designed to provide employees with the specific functional area and language skills needed for the conduct of foreign relations in the Department and abroad. This activity also supports the management, recruitment, and performance evaluation of Foreign and Civil Service employees (including efforts to attract a diverse applicant pool) and locally employed staff.

*Overseas Programs.*—This activity provides funding for the operational programs of all the regional bureaus of the Department of State, which are responsible for managing United States foreign policy through bilateral and multilateral relationships. Funds made available for 2014 will support 274 United States embassies, consulates, and other diplomatic posts worldwide. Resources for this activity are used to provide for: the political and economic reporting and analysis of interests to the United States; the representation of U.S. diplomatic and national interests to countries abroad; and the bilateral and multilateral negotiation of U.S. foreign policy objectives, including the hosting of and participation in various international conferences, meetings and other multilateral activities in the United States and abroad. Resources in this appropriation support the conduct of international informational programs of the United States. The resources in this activity are used to define, explain and advocate U.S. policies abroad and to seek to increase knowledge and understanding among foreign audiences of U.S. society and its values. This activity also encompasses medical programs for the Department of State, the Foreign Service and other U.S. Government departments and agencies overseas. Centralized funding for travel and transportation of effects associated with the assignment, transfer, home leave and separation of the Department's personnel and dependents is also included in this activity.

*Diplomatic Policy and Support.*—This activity supports the operational programs of the functional bureaus of the Department of State, which includes providing overall policy direction, coordination, and program management among United States missions abroad in pursuit of regional and global foreign policy objectives, including the hosting of various international conferences and meetings in the United States and abroad. Resources also fund the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations and other verification and compliance activities, in addition to funds otherwise available for such purposes. This activity, supported by fees for consular services, also encompasses overseas American citizen services; the issuance of passports to U.S. citizens both here and abroad; visa adjudication and prevention and detection of visa fraud; and implementation of a coordinated strategy to improve consular systems and processes in support of U.S.

border security, including sharing data with the Department of Homeland Security, the Department of Justice, the Intelligence Community, the Treasury Department, and the law enforcement community. The information management activity in D&CP includes resources that are used for the effective and efficient creation, collection, processing, transmission, dissemination, use, storage, and disposition of information required for the formulation and execution of foreign policy and for the conduct of daily business. Its requirements are driven by the informational needs of the President, the Secretary of State, the Department and its 274 missions, and other Government agencies overseas. Components of the information management activity include: telecommunications; classified information handling; unclassified data and word processing; pouch, mail, and publishing services; administration of an electronic and archival records management program; document classification and declassification; information security; information technology capital planning; and provision of information management services. Administration and staff activities are also included in this area. These activities include domestic and overseas administrative services directly related to Department programs, such as:

—The direction and control of administration and management operations, and reviewing and setting resource levels and priorities for various programs and bureaus financed by this appropriation.

—The budgeting, financial planning, and fiscal operations for bureaus and offices financed by this appropriation.

—The contracting and procurement of services and supplies, maintenance and repair of equipment and property (including the operation and routine maintenance of property directly leased or owned by the Department), vehicle operation, and shipping and customs services.

—Rental payments to the General Services Administration for domestic space occupied by the Department.

**Security Programs.**—This activity provides for the operation of security programs, including for Worldwide Security Protection (WSP) and the Bureau of Diplomatic Security, to protect diplomatic personnel, overseas diplomatic missions, residences, domestic facilities and information. The salaries paid to Department employees who carry out the security protection function worldwide are included in the Human Resources program activity. This activity identifies resources that are used in meeting security and counterterrorism responsibilities, both foreign and domestic. Programs covered in this activity include but are not limited to: security operations; engineering services, which are related to the technical defense of U.S. Government personnel and establishments abroad against electronic and physical attack; homeland security related activities; protection of Department personnel and foreign dignitaries; and physical security operations.

**Object Classification** (in millions of dollars)

Identification code 19-0113-0-1-153	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	2,381	2,632	2,658
11.3 Other than full-time permanent .....	139	140	155
11.5 Other personnel compensation .....	186	187	240
11.8 Special personal services payments .....	5	5	5
11.9 Total personnel compensation .....	2,711	2,964	3,058
12.1 Civilian personnel benefits .....	948	962	989
13.0 Benefits for former personnel .....	7	10	11
21.0 Travel and transportation of persons .....	235	350	176
22.0 Transportation of things .....	156	232	175
23.1 Rental payments to GSA .....	131	196	194
23.3 Communications, utilities, and miscellaneous charges .....	359	535	332

24.0	Printing and reproduction .....	21	31	19
25.1	Advisory and assistance services .....	42	63	69
25.2	Other services from non-Federal sources .....	2,070	3,203	1,153
25.3	Other goods and services from Federal sources .....	127	641	382
25.3	Purchases of goods and services from Government accounts (ICASS) .....	1,213	1,863	1,456
25.4	Operation and maintenance of facilities .....	68	165	109
25.6	Medical care .....	5	8	9
25.7	Operation and maintenance of equipment .....	8	12	13
26.0	Supplies and materials .....	190	275	215
31.0	Equipment .....	676	1,008	735
41.0	Grants, subsidies, and contributions .....	90	132	116
42.0	Insurance claims and indemnities .....	2	2	2
99.0	Direct obligations .....	9,059	12,652	9,213
99.0	Reimbursable obligations .....	5,332	5,792	6,026
99.9	Total new obligations .....	14,391	18,444	15,239

**Employment Summary**

Identification code 19-0113-0-1-153	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	19,181	19,302	19,328
2001 Reimbursable civilian full-time equivalent employment .....	4,044	4,044	4,105

**DIPLOMATIC AND CONSULAR PROGRAMS**

(Overseas contingency operations)

(INCLUDING TRANSFER OF FUNDS)

*For an additional amount for "Diplomatic and Consular Programs", \$1,199,491,000, to remain available until September 30, 2015; of which \$390,961,000, to remain available until expended, is for Worldwide Security Protection: Provided, That the Secretary of State may transfer up to \$100,000,000 of the total funds made available under this heading to any other appropriation of any department or agency of the United States, upon the concurrence of the head of such department or agency, to support operations in and assistance for Afghanistan and to carry out the provisions of the Foreign Assistance Act of 1961: Provided further, That any such transfer shall be treated as a reprogramming of funds under section 7012 (a) and (b) of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That such amount is designated by the Congress for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-0113-8-1-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Conduct of diplomatic relations .....			411
0003 Conduct of public diplomacy .....			27
0006 Professional development and training .....			50
0007 Information management .....			7
0008 Security .....			350
0011 Iraq Operations .....			425
0799 Total direct obligations .....			1,270
0801 Reimbursable program activity .....			491
0900 Total new obligations .....			1,761
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1,199
1160 Appropriation, discretionary (total) .....			1,199
1930 Total budgetary resources available .....			1,199
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-562

**DIPLOMATIC AND CONSULAR PROGRAMS—Continued**  
**Program and Financing—Continued**

Identification code 19-0113-8-1-153	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			1,761
3020			-421
3050			1,340
Memorandum (non-add) entries:			
3200			1,340
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			1,199
Outlays, gross:			
4010			421
4180			1,199
4190			421

The Overseas Contingency Operations funding requested for Diplomatic and Consular Programs (D&CP) will address the extraordinary and temporary costs associated with deploying, securing and supplying the Department's civilian presence in Iraq, Afghanistan, and Pakistan. The request for Iraq supports the diplomatic presence in Baghdad and the provinces, including the full-year costs for security and logistical support. The embassy and consulates play a vital role in building relationships with the Iraqi people, managing ongoing programs and mitigating potential conflict. The requests for Afghanistan and Pakistan support implementation of a comprehensive diplomatic and development strategy to defeat Al Qaida and support the Afghan people. D&CP funding for both countries enables a civilian presence, including diplomats, development specialists, and civilian expertise from across the U.S. Government, along with critical security and logistical support. In all locations, these temporary funds are decreasing as the civilian presence becomes more normalized.

**Object Classification (in millions of dollars)**

Identification code 19-0113-8-1-153	2012 actual	2013 CR	2014 est.
Direct obligations:			
21.0			17
22.0			15
23.3			3
24.0			2
25.3			815
25.3			276
26.0			16
31.0			99
41.0			27
99.0			1,270
99.0			491
99.9			1,761

**INTERNATIONAL INFORMATION PROGRAMS**

**Program and Financing (in millions of dollars)**

Identification code 19-0201-0-1-154	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	1	1	1
1930	1	1	1
Memorandum (non-add) entries:			
1941	1	1	1

The appropriation for overseas information and cultural programs previously provided to the U.S. Information Agency and

designed to inform and influence foreign audiences has been administered by the Department of State and funded from the Diplomatic and Consular programs and other accounts within the Department of State since 2000, except those activities as are associated with international broadcasting functions which are funded from the Broadcasting Board of Governors account. This schedule reflects the spend-out of prior year funds.

**CONFLICT STABILIZATION OPERATIONS**

*For necessary expenses to support, maintain, mobilize, and deploy a civilian response corps and for related reconstruction and stabilization assistance and contributions to prevent or respond to conflict or civil strife in foreign countries or regions, or to enable transition from such strife, \$45,207,000, to remain available until expended: Provided, That funds made available under this heading may be made available to provide administrative expenses for the coordination of reconstruction and stabilization activities: Provided further, That the Secretary of State may transfer and merge funds made available under any other heading in Titles I, II, III and IV of this Act with funds made available under this heading to maintain and deploy a Civilian Response Corps and to provide reconstruction and stabilization assistance: Provided further, That the Secretary may appoint, on a time-limited basis solely to carry out reconstruction and stabilization activities, employees without regard to the provisions of title 5 governing appointment in the competitive service and may fix the basic compensation of such employees without regard to chapter 51 and subchapter III of chapter 53 of title 5.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 19-0121-0-1-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	60	25	45
0100	60	25	45
0801	1	1	1
0809	1	1	1
0900	61	26	46
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	29	17	
1021	15		
1050	44	17	
Budget authority:			
Appropriations, discretionary:			
1100			45
1100	9	8	
1121	22		
1160	31	8	45
Spending authority from offsetting collections, discretionary:			
1700	3	1	1
1750	3	1	1
1900	34	9	46
1930	78	26	46
Memorandum (non-add) entries:			
1941	17		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	34	27	21
3010	61	26	46
3020	-53	-32	-60
3040	-15		
3050	27	21	7
Memorandum (non-add) entries:			
3100	34	27	21

3200	Obligated balance, end of year .....	27	21	7
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	34	9	46
Outlays, gross:				
4010	Outlays from new discretionary authority .....	34	7	37
4011	Outlays from discretionary balances .....	19	25	23
4020	Outlays, gross (total) .....	53	32	60
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-3	-1	-1
4180	Budget authority, net (total) .....	31	8	45
4190	Outlays, net (total) .....	50	31	59

The appropriation provides authorization and appropriations for supporting an interagency Civilian Response Corps (CRC) and related reconstruction and stabilization activities. Conflict Stabilization Operations (CSO) applies technical expertise and innovative approaches to prevent conflict, break cycles of violence, harness beneficial drivers of change, and stabilize post-conflict countries and regions. CSO collaborates with U.S. embassies, U.S. interagency partners, local and international organizations, and host nations to develop local solutions to conflict. This appropriation provides funding for personnel and operating expenses to support conflict analysis and strategy, interagency planning, and deployment of expeditionary CRC teams.

**Object Classification** (in millions of dollars)

Identification code 19-0121-0-1-153	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	11	11	11
12.1	Civilian personnel benefits .....	3	4	7
21.0	Travel and transportation of persons .....	3	3	3
23.3	Communications, utilities, and miscellaneous charges .....	2	3	4
25.2	Other services from non-Federal sources .....	38	3	19
31.0	Equipment .....	1	1	1
99.0	Direct obligations .....	58	25	45
99.0	Reimbursable obligations .....	3	1	1
99.9	Total new obligations .....	61	26	46

**Employment Summary**

Identification code 19-0121-0-1-153	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	124	124	124

**CAPITAL INVESTMENT FUND**

For necessary expenses of the Capital Investment Fund, \$76,900,000, to remain available until expended, as authorized: Provided, That section 135(e) of Public Law 103-236 shall not apply to funds available under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-0120-0-1-153	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct Obligations .....	64	64	77
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	5	1
1021	Recoveries of prior year unpaid obligations .....	4		
1050	Unobligated balance (total) .....	10	5	1

<b>Budget authority:</b>				
Appropriations, discretionary:				
1100	Appropriation .....	59	60	77
1160	Appropriation, discretionary (total) .....	59	60	77
1930	Total budgetary resources available .....	69	65	78
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5	1	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	83	59	52
3010	Obligations incurred, unexpired accounts .....	64	64	77
3020	Outlays (gross) .....	-83	-71	-67
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	59	52	62
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	83	59	52
3200	Obligated balance, end of year .....	59	52	62

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	59	60	77
Outlays, gross:				
4010	Outlays from new discretionary authority .....	31	30	39
4011	Outlays from discretionary balances .....	52	41	28
4020	Outlays, gross (total) .....	83	71	67
4180	Budget authority, net (total) .....	59	60	77
4190	Outlays, net (total) .....	83	71	67

The Capital Investment Fund provides for the procurement of information technology and other related capital investments for the Department of State and is designed to ensure the efficient management, coordination, operation, and utilization of such resources. The fund is used to acquire and maintain information technology and other related capital investments necessary to improve operational performance in a continually evolving technological environment.

**Object Classification** (in millions of dollars)

Identification code 19-0120-0-1-153	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	44	44	46
31.0	Equipment .....	20	20	31
99.9	Total new obligations .....	64	64	77

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General, \$69,406,000, notwithstanding section 209(a)(1) of the Foreign Service Act of 1980 (Public Law 96-465), as it relates to post inspections.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-0529-0-1-153	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0002	Inspections and audits .....	46	47	47
0003	Administration and staff activities .....	16	16	22
0004	Policy Formulation .....	3	3	3
0005	Special Inspector General for Afghanistan Reconstruction (SIGAR) .....	44	44	
0006	Special Inspector General for Iraq Reconstruction (SIGIR/MERO) .....	20	19	
0799	Total direct obligations .....	129	129	72
0801	Reimbursable program activity .....	3	2	2
0900	Total new obligations .....	132	131	74

OFFICE OF THE INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 19-0529-0-1-153	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	8	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	129	62	69
1100 Appropriation - OCO .....		67	
1160 Appropriation, discretionary (total) .....	129	129	69
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	2	2
1750 Spending auth from offsetting collections, disc (total) .....	3	2	2
1900 Budget authority (total) .....	132	131	71
1930 Total budgetary resources available .....	140	139	79
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	8	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	49	65	38
3010 Obligations incurred, unexpired accounts .....	132	131	74
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-114	-158	-101
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	65	38	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	49	65	38
3200 Obligated balance, end of year .....	65	38	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	132	131	71
Outlays, gross:			
4010 Outlays from new discretionary authority .....	76	103	54
4011 Outlays from discretionary balances .....	38	55	47
4020 Outlays, gross (total) .....	114	158	101
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-2	-2
4180 Budget authority, net (total) .....	129	129	69
4190 Outlays, net (total) .....	111	156	99

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	129	129	69
Outlays .....	111	156	99
Overseas contingency operations:			
Budget Authority .....			50
Outlays .....			40
Total:			
Budget Authority .....	129	129	119
Outlays .....	111	156	139

This appropriation provides for the conduct or supervision of all audits, investigations, and inspections of the Department's programs and operations as mandated by the Inspector General Act of 1978, as amended, and the Foreign Service Act of 1980, as amended. The objectives of the Office of the Inspector General are to: improve the economy, efficiency, and effectiveness of the Department's operations; detect and prevent fraud, waste, abuse, and mismanagement; and evaluate independently the formulation, applicability, and implementation of security standards at all U.S. diplomatic and consular posts. The Office also assesses the implementation of U.S. foreign policy, primarily through its inspection of all overseas posts and domestic offices on a cyclical basis. The State Department's Inspector General also serves as Inspector General of the Broadcasting Board of Governors, as mandated by law.

Object Classification (in millions of dollars)

Identification code 19-0529-0-1-153	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	88	87	51
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....	88	88	52
12.1 Civilian personnel benefits .....	12	12	8
21.0 Travel and transportation of persons .....	8	8	7
23.3 Communications, utilities, and miscellaneous charges .....	8	8	2
25.2 Other services from non-Federal sources .....	13	13	3
99.0 Direct obligations .....	129	129	72
99.0 Reimbursable obligations .....	3	2	2
99.9 Total new obligations .....	132	131	74

Employment Summary

Identification code 19-0529-0-1-153	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	298	298	148

OFFICE OF INSPECTOR GENERAL  
(Overseas contingency operations)

For an additional amount for "Office of Inspector General", \$49,650,000, to remain available until September 30, 2015, for the Special Inspector General for Afghanistan Reconstruction for reconstruction oversight: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 19-0529-8-1-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 Special Inspector General for Afghanistan Reconstruction (SIGAR) .....			50
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			50
1160 Appropriation, discretionary (total) .....			50
1900 Budget authority (total) .....			50
1930 Total budgetary resources available .....			50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			50
3020 Outlays (gross) .....			-40
3050 Unpaid obligations, end of year .....			10
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			50
Outlays, gross:			
4010 Outlays from new discretionary authority .....			40
4180 Budget authority, net (total) .....			50
4190 Outlays, net (total) .....			40

This appropriation funds the Office of the Special Inspector General for Afghanistan Reconstruction (SIGAR). SIGAR provides oversight of programs and operations funded with amounts made

available for the reconstruction of Afghanistan. SIGAR performs this oversight through audits, field inspections and investigations of potential waste, fraud and abuse in coordination with, and receiving the cooperation of, the Inspectors General of the Department of State, Department of Defense and the United States Agency for International Development. SIGAR reports directly to, and are under the general supervision of, the Secretaries of State and Defense. In addition, it provides mandated quarterly reports directly to the U.S. Congress.

**Object Classification** (in millions of dollars)

Identification code 19-0529-8-1-153	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			37
21.0 Travel and transportation of persons .....			2
23.3 Communications, utilities, and miscellaneous charges .....			2
25.2 Other services from non-Federal sources .....			8
26.0 Supplies and materials .....			1
99.9 Total new obligations .....			50

**Employment Summary**

Identification code 19-0529-8-1-153	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			150

**EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS**

*For expenses of educational and cultural exchange programs, as authorized, \$562,659,000, to remain available until expended: Provided, That any fees or other payments received from or in connection with English teaching, educational advising and counseling programs, and exchange visitor programs as authorized may be credited to this account to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-0209-0-1-154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Academic Programs .....	351	326	320
0002 Professional/Cultural Exchanges .....	234	207	207
0003 Exchanges Support .....	71	64	60
0004 Program and Performance .....	7	6	2
0006 AECEA .....	2		
0100 Subtotal, Direct Obligations .....	665	603	589
0799 Total direct obligations .....	665	603	589
0880 Reimbursable Program .....	3	3	3
0900 Total new obligations .....	668	606	592
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	25	26
1011 Unobligated balance transfer from other accts [72-1037] .....	26		
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	60	25	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	583	587	563
1100 Appropriation - OCO .....	16	16	
1121 Appropriations transferred from other accts [72-1037] .....	30		
1160 Appropriation, discretionary (total) .....	629	603	563
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	4	4
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	4	4	4

1900 Budget authority (total) .....	633	607	567
1930 Total budgetary resources available .....	693	632	593
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	25	26	1

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	571	578	571
3010 Obligations incurred, unexpired accounts .....	668	606	592
3020 Outlays (gross) .....	-649	-613	-769
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	578	571	394
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	571	577	570
3200 Obligated balance, end of year .....	577	570	393

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	633	607	567
Outlays, gross:			
4010 Outlays from new discretionary authority .....	294	306	286
4011 Outlays from discretionary balances .....	355	307	483
4020 Outlays, gross (total) .....	649	613	769
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-4	-4
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070 Budget authority, net (discretionary) .....	629	603	563
4080 Outlays, net (discretionary) .....	646	609	765
4180 Budget authority, net (total) .....	629	603	563
4190 Outlays, net (total) .....	646	609	765

This appropriation provides funding for international exchange programs authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended, to support U.S. foreign, economic, and security policy objectives and to assist in the development of friendly, sympathetic, and peaceful relations between the United States and other countries. These goals are addressed by building increased mutual understanding through international exchange and professional development activities. Programs under this appropriation include:

**Academic Exchanges.**—Includes exchanges for foreign participants and U.S. citizens: the J. William Fulbright Educational Exchange Program for the exchange of students, teachers, and scholars; the Hubert H. Humphrey Fellowships for the exchange of mid-career professionals from developing nations; exchanges involving specially targeted undergraduates, teachers, graduate students, young professionals, and postdoctoral scholars as well as strategic critical foreign language education programs; the Benjamin Gilman program for American undergraduates with financial need to study abroad and similar programs to bring participants to the United States; English language programming abroad; promoting U.S. higher education overseas through educational advising centers and marketing activities; and U.S. studies programs designed to promote better foreign understanding of the United States.

**Professional/Cultural Exchanges.**—Includes exchanges for foreign participants and U.S. citizens: the International Visitor Leadership Program supports professional exchanges to the U.S. by current and emerging foreign leaders as well as key influencers to obtain firsthand knowledge about the U.S., its people, government, culture and values; and the Citizen Exchanges Program partners with the U.S. private sector to conduct professional, cultural, sports, and youth programs that establish linkages between the U.S. and other countries around the world.

EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS—Continued

**Program and Performance.**—Includes special crosscutting programs directed at establishing and maintaining alumni networks, and determining the effectiveness of programs through a comprehensive schema of evaluations. This includes the performance measurement of programs in accordance with the Government Performance and Results Act of 1993.

**Exchanges Support.**—Includes all domestic staff and Regional English Language Officers overseas and support costs related to exchanges managed by the Bureau of Educational and Cultural Affairs; government-wide exchanges coordination; and the Convention on Cultural Property Implementation Act.

**Object Classification** (in millions of dollars)

Identification code 19-0209-0-1-154	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	40	40	40
12.1 Civilian personnel benefits .....	10	10	10
21.0 Travel and transportation of persons .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	2	2	2
25.2 Other services from non-Federal sources .....	21	21	21
41.0 Grants, subsidies, and contributions .....	589	527	513
99.0 Direct obligations .....	665	603	589
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	668	606	592

**Employment Summary**

Identification code 19-0209-0-1-154	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	449	449	449

EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE

*For necessary expenses for carrying out the Foreign Service Buildings Act of 1926 (22 U.S.C. 292-303), preserving, maintaining, repairing, and planning for buildings that are owned or directly leased by the Department of State, renovating, in addition to funds otherwise available, the Harry S. Truman Building, and carrying out the Diplomatic Security Construction Program as authorized, \$785,351,000, to remain available until expended as authorized, of which not to exceed \$25,000 may be used for domestic and overseas representation as authorized: Provided, That none of the funds appropriated in this paragraph shall be available for acquisition of furniture, furnishings, or generators for other departments and agencies.*

*In addition, for the costs of worldwide security upgrades, acquisition, and construction as authorized, \$1,614,000,000, to remain available until expended.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-0535-0-1-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Capital Security Construction .....	1,112	950	1,100
0002 Compound Security .....	83	85	95
0003 Repair and Construction .....	339	550	200
0004 Operations .....	727	850	800
0005 Supplemental Appropriations .....	91	30	30
0006 OCO .....	110	33	.....
0100 Total direct program .....	2,462	2,498	2,225
0799 Total direct obligations .....	2,462	2,498	2,225
0801 Asset Management .....	126	100	50
0802 Other Reimbursable .....	169	250	350

0803 Capital Security Cost Sharing .....	528	450	525
0809 Reimbursable program activities, subtotal .....	823	800	925
0899 Total reimbursable obligations .....	823	800	925
0900 Total new obligations .....	3,285	3,298	3,150

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2,653	2,728	2,714
1011 Unobligated balance transfer from other accts [19-0113] .....	147	.....	.....
1021 Recoveries of prior year unpaid obligations .....	399	250	250
1050 Unobligated balance (total) .....	3,199	2,978	2,964
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,537	1,546	2,399
1100 Appropriation - OCO .....	33	33	.....
1121 Appropriations transferred from other accts [19-0113] .....	173	.....	.....
1160 Appropriation, discretionary (total) .....	1,743	1,579	2,399
<b>Spending authority from offsetting collections, discretionary:</b>			
<b>Offsetting collections (cash) - Capital Security Cost</b>			
1700 Sharing .....	509	500	604
1700 Offsetting collections (cash) - Other Collections .....	374	375	375
1700 Offsetting collections (cash) - Asset Mgt .....	92	580	50
1701 Change in uncollected payments, Federal sources .....	96	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1,071	1,455	1,029
1900 Budget authority (total) .....	2,814	3,034	3,428
1930 Total budgetary resources available .....	6,013	6,012	6,392
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2,728	2,714	3,242

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,502	4,723	4,251
3010 Obligations incurred, unexpired accounts .....	3,285	3,298	3,150
3020 Outlays (gross) .....	-2,665	-3,520	-3,582
3040 Recoveries of prior year unpaid obligations, unexpired .....	-399	-250	-250
3050 Unpaid obligations, end of year .....	4,723	4,251	3,569
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-116	-116
3070 Change in uncollected pymts, Fed sources, unexpired .....	-96	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-116	-116	-116
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4,482	4,607	4,135
3200 Obligated balance, end of year .....	4,607	4,135	3,453

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,814	3,034	3,428
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,090	1,160	1,233
4011 Outlays from discretionary balances .....	1,575	2,360	2,349
4020 Outlays, gross (total) .....	2,665	3,520	3,582
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-883	-875	-979
4033 Non-Federal sources .....	-92	-580	-50
4040 Offsets against gross budget authority and outlays (total) .....	-975	-1,455	-1,029
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-96	.....	.....
4070 Budget authority, net (discretionary) .....	1,743	1,579	2,399
4080 Outlays, net (discretionary) .....	1,690	2,065	2,553
4180 Budget authority, net (total) .....	1,743	1,579	2,399
4190 Outlays, net (total) .....	1,690	2,065	2,553

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,743	1,579	2,399
Outlays .....	1,690	2,065	2,553
<b>Overseas contingency operations:</b>			
Budget Authority .....	.....	.....	250
Outlays .....	.....	.....	38
<b>Total:</b>			
Budget Authority .....	1,743	1,579	2,649
Outlays .....	1,690	2,065	2,591

Under the direction of the Secretary of State, the overall mission of the Bureau of Overseas Buildings Operations (OBO) is to provide U.S. diplomatic and consular missions abroad with safe, secure, and functional facilities that support the foreign policy objectives of the United States. Specific program functions include: providing guidance to posts, the regional bureaus and other foreign affairs agencies on the renovation, construction and operations of facilities; providing expert space and facilities planning; managing and overseeing the design, construction, and renovation of mission facilities; incorporating security features into overseas and domestic facilities; and ensuring the security of facilities during construction or renovation. In addition, OBO is responsible for establishing standards and policies for overseas housing, developing, in conjunction with posts, effective maintenance programs for post facilities, and monitoring and reporting the inventory of maintenance and backlog requirements. OBO also ensures the safety of the building occupants through the development of fire/life safety and accessibility compliance programs.

In 2014, the Department will manage the tenth year of the Capital Security Cost Sharing (CSCS) Program. This program has two main goals: accelerating the construction of new safe, secure and functional embassy and consulate compounds, and providing an incentive for all United States Government agencies to right-size their presence overseas through the use of cost-sharing. The total requested program level of \$2.2 billion reflects the Benghazi Accountability Review Board recommended funding level and restores the program's lost purchasing power since its initial authorization.

The 2014 request continues the Maintenance Cost Sharing (MCS) Program to provide critically needed renovation, construction and repair of overseas facilities, to provide adequate working conditions for multi-agency staffs, and protect the U.S. taxpayer investment. Including cost sharing from other agencies, MCS will be funded at \$167 million to maintain overseas facilities in 2014.

In this transition year, this account includes additional amounts for both the CSCS and MCS programs that will not be cost-shared with other agencies.

The objective of the Asset Management Program is to obtain the best use of diplomatic and consular properties overseas through sale of surplus or underutilized properties and reinvestment of the proceeds in properties that provide a greater return to the U.S. Government and/or improve the safety of mission personnel. In lieu of appropriated resources, OBO uses asset sales proceeds for long-term capital investment to minimize the growth of U.S. Government leasehold requirements (through property acquisition) or to address a high-priority need for new construction or fit-out of leased space.

This appropriation also provides for capital expenditures necessary to preserve, maintain, repair, and plan for buildings owned or leased by the Department of State overseas or in the United States, including the renovation of the Harry S. Truman building where required.

**Object Classification** (in millions of dollars)

Identification code 19-0535-0-1-153	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	90	90	91
11.3 Other than full-time permanent .....	2	8	8
11.5 Other personnel compensation .....	8	4	4
11.9 Total personnel compensation .....	100	102	103
12.1 Civilian personnel benefits .....	54	52	53
21.0 Travel and transportation of persons .....	30	28	28

22.0	Transportation of objects .....	8	9	10
23.2	Rental payments to other entities .....	343	356	320
23.3	Communications, utilities, and miscellaneous charges .....	7	7	7
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	301	400	400
25.4	Operation and maintenance of facilities .....	70		
26.0	Supplies and materials .....	68	65	65
31.0	Equipment .....	48	55	55
32.0	Land and structures .....	1,377	1,373	1,153
41.0	Grants, subsidies, and contributions .....	55	50	30
99.0	Direct obligations .....	2,462	2,498	2,225
99.0	Reimbursable obligations .....	823	800	925
99.9	Total new obligations .....	3,285	3,298	3,150

**Employment Summary**

Identification code 19-0535-0-1-153	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	815	815	821
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE**

(Overseas contingency operations)

For an additional amount for "Embassy Security, Construction and Maintenance", \$250,000,000, to remain available until expended: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-0535-8-1-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0006 OCO .....			163
0100 Total direct program .....			163
0900 Total new obligations (object class 32.0) .....			163
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			250
1160 Appropriation, discretionary (total) .....			250
1900 Budget authority (total) .....			250
1930 Total budgetary resources available .....			250
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			87
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			163
3020 Outlays (gross) .....			-38
3050 Unpaid obligations, end of year .....			125
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			125
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			250
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			38
4180 Budget authority, net (total) .....			250
4190 Outlays, net (total) .....			38

The Overseas Contingency Operations funding requested in the Embassy Security, Construction, and Maintenance account

EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE—Continued  
will support urgently needed construction of new secure diplomatic facilities in the frontline states.

#### REPRESENTATION ALLOWANCES

For representation allowances as authorized, \$7,679,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 19–0545–0–1–153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	8	7	8
0900 Total new obligations (object class 26.0) .....	8	7	8
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7	7	8
1121 Appropriations transferred from other accts [19–0113] ....	1		
1160 Appropriation, discretionary (total) .....	8	7	8
1930 Total budgetary resources available .....	8	7	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	
3010 Obligations incurred, unexpired accounts .....	8	7	8
3020 Outlays (gross) .....	–8	–8	–8
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	
3200 Obligated balance, end of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	7	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	6	7
4011 Outlays from discretionary balances .....	2	2	1
4020 Outlays, gross (total) .....	8	8	8
4180 Budget authority, net (total) .....	8	7	8
4190 Outlays, net (total) .....	8	8	8

Amounts in this fund are used for expenses incurred by, including to reimburse in part, State Department personnel for official representation activities abroad and at missions to international organizations in the United States.

#### PROTECTION OF FOREIGN MISSIONS AND OFFICIALS

For expenses, not otherwise provided, to enable the Secretary of State to provide for extraordinary protective services, as authorized, \$28,200,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 19–0520–0–1–153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Missions and officials to United Nations .....	25	43	23
0002 Missions and officials in United States .....	5	5	5
0900 Total new obligations (object class 41.0) .....	30	48	28

#### Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	21	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	27	27	28
1121 Appropriations transferred from other accts [19–0113] ....	1		
1160 Appropriation, discretionary (total) .....	28	27	28
1900 Budget authority (total) .....	28	27	28
1930 Total budgetary resources available .....	51	48	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21		

#### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	5	31
3010 Obligations incurred, unexpired accounts .....	30	48	28
3020 Outlays (gross) .....	–27	–22	–31
3050 Unpaid obligations, end of year .....	5	31	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	5	31
3200 Obligated balance, end of year .....	5	31	28

#### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	28	27	28
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	8	8
4011 Outlays from discretionary balances .....	25	14	23
4020 Outlays, gross (total) .....	27	22	31
4180 Budget authority, net (total) .....	28	27	28
4190 Outlays, net (total) .....	27	22	31

This appropriation provides for extraordinary protection of: 1) foreign missions and officials, including those accredited to the United Nations and other international organizations, and visiting foreign dignitaries (under certain circumstances) in New York; and 2) international organizations, foreign missions and officials, and visiting foreign dignitaries (under certain circumstances) in other cities. Funds may be used to reimburse state or local authorities, contract for private security firm services, or reimburse Federal agencies for extraordinary protective services.

#### EMERGENCIES IN THE DIPLOMATIC AND CONSULAR SERVICE

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to enable the Secretary of State to meet unforeseen emergencies arising in the Diplomatic and Consular Service, \$9,652,000, to remain available until expended as authorized, of which not to exceed \$1,000,000 may be transferred to, and merged with, funds appropriated by this Act under the heading "Repatriation Loans Program Account", subject to the same terms and conditions.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 19–0522–0–1–153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Rewards .....	14	8	
0002 Other activities .....	11	7	10
0900 Total new obligations .....	25	15	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	15	9
1012 Unobligated balance transfers between expired and unexpired accounts .....	10		
1021 Recoveries of prior year unpaid obligations .....	2		

1050	Unobligated balance (total) .....	30	15	9
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	9	9	10
1160	Appropriation, discretionary (total) .....	9	9	10
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1		
1750	Spending auth from offsetting collections, disc (total) .....	1		
1900	Budget authority (total) .....	10	9	10
1930	Total budgetary resources available .....	40	24	19
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	15	9	9

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	19	16	10
3010	Obligations incurred, unexpired accounts .....	25	15	10
3020	Outlays (gross) .....	-26	-21	-14
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	16	10	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	19	16	10
3200	Obligated balance, end of year .....	16	10	6

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	10	9	10
Outlays, gross:				
4010	Outlays from new discretionary authority .....	10	6	7
4011	Outlays from discretionary balances .....	16	15	7
4020	Outlays, gross (total) .....	26	21	14
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
4180	Budget authority, net (total) .....	9	9	10
4190	Outlays, net (total) .....	25	21	14

These funds are used primarily for purposes authorized by section 4 of the State Department Basic Authorities Act of 1956, as amended (22 U.S.C. 2671), for rewards authorized by section 36 of that Act, as amended (22 U.S.C. 2708), and for purposes authorized by section 804(3) of the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1474(3)).

**Object Classification** (in millions of dollars)

Identification code 19-0522-0-1-153	2012 actual	2013 CR	2014 est.	
Direct obligations:				
21.0	Travel and transportation of persons .....	3	3	3
25.2	Other services from non-Federal sources .....	6	6	6
91.0	Unvouchered .....	15	6	1
99.0	Direct obligations .....	24	15	10
99.0	Reimbursable obligations .....	1		
99.9	Total new obligations .....	25	15	10

**BUYING POWER MAINTENANCE**

**Program and Financing** (in millions of dollars)

Identification code 19-0524-0-1-153	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1010	Unobligated balance transfer to other accts [19-0113] .....	-31		
1012	Unobligated balance transfers between expired and unexpired accounts .....	31		
1050	Unobligated balance (total) .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1

This account is available to offset adverse exchange rate and overseas wage and price fluctuations unanticipated in the budget as authorized by section 24(b) of the State Department Basic Authorities Act of 1956 (22 U.S.C 2696(b)).

**PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN**

For necessary expenses to carry out the Taiwan Relations Act (Public Law 96-8), \$36,221,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-0523-0-1-153	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Payment to the American Institute in Taiwan .....	22	21	36
0801	Reimbursable program .....	3	4	4
0900	Total new obligations .....	25	25	40
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	21	21	36
1121	Appropriations transferred from other accts [19-0113] .....	1		
1160	Appropriation, discretionary (total) .....	22	21	36
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	4	4
1701	Change in uncollected payments, Federal sources .....	1		
1750	Spending auth from offsetting collections, disc (total) .....	3	4	4
1900	Budget authority (total) .....	25	25	40
1930	Total budgetary resources available .....	25	25	40

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....		1	1
3010	Obligations incurred, unexpired accounts .....	25	25	40
3020	Outlays (gross) .....	-24	-25	-40
3050	Unpaid obligations, end of year .....	1	1	1
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-1		

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	25	25	40
Outlays, gross:				
4010	Outlays from new discretionary authority .....	24	25	40
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-3	-4	-4
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	22	21	36
4080	Outlays, net (discretionary) .....	21	21	36
4180	Budget authority, net (total) .....	22	21	36
4190	Outlays, net (total) .....	21	21	36

The Taiwan Relations Act (Public Law 96-8) requires programs with respect to Taiwan to be carried out by or through the American Institute in Taiwan (AIT). AIT supports U.S. interests by promoting U.S. exports, economic and commercial services, and cultural and information exchange; facilitating military sales; providing consular related services for Americans and the people on Taiwan; and on behalf of the Department of State and various

PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN—Continued  
U.S. Government agencies, carrying out liaison with Taiwan's counterpart organizations.

The Department contracts with AIT to conduct commercial, cultural, and other relations with the people of Taiwan. The 2014 request includes additional funding for the American Institute in Taiwan in light of Taiwan's entry into the visa waiver program.

**Object Classification** (in millions of dollars)

Identification code 19-0523-0-1-153	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	15	15	30
12.1 Civilian personnel benefits .....	4	4	4
23.2 Rental payments to others .....	2	2	2
99.0 Direct obligations .....	21	21	36
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	25	25	40

**PAYMENT TO THE FOREIGN SERVICE RETIREMENT AND DISABILITY FUND**

*For payment to the Foreign Service Retirement and Disability Fund, as authorized, \$158,900,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-0540-0-1-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to Foreign Service Retirement and Disability Fund .....	297	300	300
0900 Total new obligations (object class 42.0) .....	297	300	300
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	297	300	300
1260 Appropriations, mandatory (total) .....	297	300	300
1930 Total budgetary resources available .....	297	300	300
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	297	300	300
3020 Outlays (gross) .....	-297	-300	-300
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	297	300	300
Outlays, gross:			
4100 Outlays from new mandatory authority .....	297	300	300
4180 Budget authority, net (total) .....	297	300	300
4190 Outlays, net (total) .....	297	300	300

The current appropriation finances any unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. In addition, the appropriation also finances the annual balance of the Foreign Service normal cost not met by employee and employer contributions.

The 2014 permanent appropriation provides a payment to the fund for disbursements attributable to the Foreign Service Pension System; and unfunded interest along with liability from military service for the Foreign Service Retirement and Disability System.

**FOREIGN SERVICE NATIONAL DEFINED CONTRIBUTIONS RETIREMENT FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 19-5497-0-2-602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			2
Receipts:			
0240 Employing Agency Contributions, Foreign Service National Defined Contributions Retirement Fund .....	6	1	1
0241 Interest on Investments, Foreign Service National Defined Contributions Retirement Fund .....		2	2
0299 Total receipts and collections .....	6	3	3
0400 Total: Balances and collections .....	6	3	5
Appropriations:			
0500 Foreign Service National Defined Contributions Retirement Fund .....	-6	-1	-1
0799 Balance, end of year .....		2	4

**Program and Financing** (in millions of dollars)

Identification code 19-5497-0-2-602	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Retiree payments .....	6	1	1
0900 Total new obligations (object class 42.0) .....	6	1	1
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	6	1	1
1260 Appropriations, mandatory (total) .....	6	1	1
1900 Budget authority (total) .....	6	1	1
1930 Total budgetary resources available .....	6	1	1

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	6	1	1
3020 Outlays (gross) .....	-6		
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	2

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	6	1	1
Outlays, gross:			
4101 Outlays from mandatory balances .....	6		
4180 Budget authority, net (total) .....	6	1	1
4190 Outlays, net (total) .....	6		

This is a retirement fund for Locally Employed Staff (LES) employed by the Department of State and other Foreign Affairs agencies. The purpose of the fund is to accumulate and distribute U.S. Government contributions for end-of-service benefits for LES at overseas U.S. missions where it has been determined that participation in the local social security system is not in the public interest. The State Department determines which countries are eligible to participate in the fund. Upon separation, payments will be made from the fund as a lump sum paid directly to the employee.

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 19-4519-0-4-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Publishing services .....	23	29	23
0802 Supply services .....	117	117	125
0803 Central support services .....	378	404	413
0804 Post Assignment Travel .....	311	321	326

0805	Medical Services .....	23	25	25
0806	International cooperative administrative support services (ICASS) .....	2,455	3,064	2,764
0807	Aviation central support services .....	164	397	404
0900	Total new obligations .....	3,471	4,357	4,080
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	204	432	.....
1021	Recoveries of prior year unpaid obligations .....	212	200	250
1050	Unobligated balance (total) .....	416	632	250
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	3,263	3,725	4,280
1701	Change in uncollected payments, Federal sources .....	224	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	3,487	3,725	4,280
1930	Total budgetary resources available .....	3,903	4,357	4,530
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	432	.....	450
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,083	1,196	1,747
3010	Obligations incurred, unexpired accounts .....	3,471	4,357	4,080
3020	Outlays (gross) .....	-3,146	-3,606	-4,495
3040	Recoveries of prior year unpaid obligations, unexpired .....	-212	-200	-250
3050	Unpaid obligations, end of year .....	1,196	1,747	1,082
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-138	-362	-362
3070	Change in uncollected pymts, Fed sources, unexpired .....	-224	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-362	-362	-362
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	945	834	1,385
3200	Obligated balance, end of year .....	834	1,385	720
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,487	3,725	4,280
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,488	2,850	3,274
4011	Outlays from discretionary balances .....	658	756	1,221
4020	Outlays, gross (total) .....	3,146	3,606	4,495
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-3,249	-3,725	-4,280
4033	Non-Federal sources .....	-14	.....	.....
4040	Offsets against gross budget authority and outlays (total) .....	-3,263	-3,725	-4,280
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-224	.....	.....
4080	Outlays, net (discretionary) .....	-117	-119	215
4190	Outlays, net (total) .....	-117	-119	215

This fund, authorized by sections 13 and 23 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2684), finances on a reimbursable basis certain administrative services, such as printing and reproduction, editorial material, motor pool operations and dispatch agencies operations, inter-agency cooperative administrative support services, acquisition services, information technology desktop support, aviation services, and expenses of carrying out the Foreign Missions Act, including any acquisitions of property under section 204(f) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 4304(f)).

Using the Working Capital Fund, the International Cooperative Administrative Support Services (ICASS) program was fully implemented in 1998. ICASS restructures overseas administrative support activities to allow more decision-making and managerial participation by all participating agencies, more equitable cost distribution, and incentives for efficient provision of services. Under ICASS, each agency represented at an overseas post chooses the services it wishes to receive and pays a proportional share of the cost of those services. Working through inter-agency councils at each overseas post, all agencies have a say in determining post administrative budgets and defining service standards, as well as reviewing costs and vendor performance.

**Object Classification** (in millions of dollars)

Identification code 19-4519-0-4-153	2012 actual	2013 CR	2014 est.	
<b>Reimbursable obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	380	382	359
11.3	Other than full-time permanent .....	371	371	349
11.5	Other personnel compensation .....	112	112	105
11.9	Total personnel compensation .....	863	865	813
12.1	Civilian personnel benefits .....	321	436	410
13.0	Benefits for former personnel .....	6	6	6
21.0	Travel and transportation of persons .....	126	138	130
22.0	Transportation of things .....	351	415	390
23.2	Rental payments to others .....	159	209	196
23.3	Communications, utilities, and miscellaneous charges .....	191	445	418
24.0	Printing and reproduction .....	6	8	8
25.2	Other services from non-Federal sources .....	1,084	1,387	1,288
26.0	Supplies and materials .....	227	220	207
31.0	Equipment .....	103	200	188
41.0	Grants, subsidies, and contributions .....	34	28	26
99.9	Total new obligations .....	3,471	4,357	4,080

**Employment Summary**

Identification code 19-4519-0-4-153	2012 actual	2013 CR	2014 est.	
2001	Reimbursable civilian full-time equivalent employment .....	7,288	7,288	7,288

**REPATRIATION LOANS PROGRAM ACCOUNT**  
(INCLUDING TRANSFER OF FUNDS)

*For the cost of direct loans, \$1,700,000, as authorized, of which \$737,000 may be made available for administrative expenses necessary to carry out the direct loan program and may be paid to "Diplomatic and Consular Programs": Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-0601-0-1-153	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0701	Direct loan subsidy .....	1	1	1
0709	Administrative expenses .....	1	1	1
0900	Total new obligations (object class 41.0) .....	2	2	2
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2	2	2
1160	Appropriation, discretionary (total) .....	2	2	2
1930	Total budgetary resources available .....	2	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	2	2	2
3020	Outlays (gross) .....	-2	-2	-2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2	2	2
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	2	2
4180	Budget authority, net (total) .....	2	2	2
4190	Outlays, net (total) .....	2	2	2

## REPATRIATION LOANS PROGRAM ACCOUNT—Continued

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 19-0601-0-1-153	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Repatriation Loans .....	2	2	2
115999 Total direct loan levels .....	2	2	2
Direct loan subsidy (in percent):			
132001 Repatriation Loans .....	57.85	57.67	63.06
132999 Weighted average subsidy rate .....	57.85	57.67	63.06
Direct loan subsidy budget authority:			
133001 Repatriation Loans .....	1	1	1
133999 Total subsidy budget authority .....	1	1	1
Direct loan subsidy outlays:			
134001 Repatriation Loans .....	1	1	1
134999 Total subsidy outlays .....	1	1	1
Direct loan downward reestimates:			
137001 Repatriation Loans .....	-11	-1	.....
137999 Total downward reestimate budget authority .....	-11	-1	.....
Administrative expense data:			
3510 Budget authority .....		1	1
3590 Outlays from new authority .....		1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs and administrative expenses associated with direct loans for this program. The subsidy amounts are estimated on a net present value basis; the administrative expenses are estimated on a cash basis.

## REPATRIATION LOANS FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 19-4107-0-3-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	2	2	2
0742 Downward reestimate paid to receipt account .....	7	1	.....
0743 Interest on downward reestimates .....	3	.....	.....
0900 Total new obligations .....	12	3	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	3	4
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1	.....	.....
1050 Unobligated balance (total) .....	11	3	4
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	1	1	1
1440 Borrowing authority, mandatory (total) .....	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	3	3
1850 Spending auth from offsetting collections, mand (total) .....	3	3	3
1900 Financing authority (total) .....	4	4	4
1930 Total budgetary resources available .....	15	7	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	4	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	3
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	-1	.....	.....
3010 Obligations incurred, unexpired accounts .....	12	3	2
3020 Financing disbursements (gross) .....	-12	-1	-1
3050 Unpaid obligations, end of year .....	1	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	3
3200 Obligated balance, end of year .....	1	3	4

## Financing authority and disbursements, net:

Identification code	2012 actual	2013 CR	2014 est.
Mandatory:			
4090 Financing authority, gross .....	4	4	4
Financing disbursements:			
4110 Financing disbursements, gross .....	12	1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account .....	-2	-1	-1
4123 Non-Federal sources .....	-1	-2	-2
4130 Offsets against gross financing auth and disbursements (total) .....	-3	-3	-3
4160 Financing authority, net (mandatory) .....	1	1	1
4170 Financing disbursements, net (mandatory) .....	9	-2	-2
4180 Financing authority, net (total) .....	1	1	1
4190 Financing disbursements, net (total) .....	9	-2	-2

## Status of Direct Loans (in millions of dollars)

Identification code 19-4107-0-3-153	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	2	2	2
1150 Total direct loan obligations .....	2	2	2
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	6	8	7
1231 Disbursements: Direct loan disbursements .....	2	1	1
1251 Repayments: Repayments and prepayments .....	.....	-2	-2
1290 Outstanding, end of year .....	8	7	6

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans starting with obligations made in 1992 (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 19-4107-0-3-153	2011 actual	2012 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	6	8
1405 Allowance for subsidy cost (-) .....	-3	-5
1499 Net present value of assets related to direct loans .....	3	3
1999 Total assets .....	3	3
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	3	3
4999 Total liabilities and net position .....	3	3

## Trust Funds

## FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 19-8186-0-7-602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	16,395	16,892	17,385
Adjustments:			
0190 Adjustment - prior years rounding issues .....	2	.....	.....
0199 Balance, start of year .....	16,397	16,892	17,385
Receipts:			
0200 Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund .....	27	27	27
0240 Interest on Investments, Foreign Service Retirement and Disability Fund .....	726	736	746
0241 Employing Agency Contributions, Foreign Service Retirement and Disability Fund .....	315	322	332
0242 Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund .....	1	1	1
0243 Federal Contributions, Foreign Service Retirement and Disability Fund .....	297	300	300

0299	Total receipts and collections .....	1,366	1,386	1,406
0400	Total: Balances and collections .....	17,763	18,278	18,791
Appropriations:				
0500	Foreign Service Retirement and Disability Fund .....	-1,367	-1,421	-1,476
0501	Foreign Service Retirement and Disability Fund .....	496	528	561
0599	Total appropriations .....	-871	-893	-915
0799	Balance, end of year .....	16,892	17,385	17,876

**Program and Financing** (in millions of dollars)

Identification code 19-8186-0-7-602	2012 actual	2013 CR	2014 est.
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**Obligations by program activity:**

0001	Payments to beneficiaries .....	871	893	915
0900	Total new obligations (object class 42.0) .....	871	893	915

**Budgetary Resources:**

Budget authority:

Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	1,367	1,421	1,476
1235	Portion precluded from balances .....	-496	-528	-561
1260	Appropriations, mandatory (total) .....	871	893	915
1930	Total budgetary resources available .....	871	893	915

**Change in obligated balance:**

Unpaid obligations:

3010	Obligations incurred, unexpired accounts .....	871	893	915
3020	Outlays (gross) .....	-871	-893	-915

**Budget authority and outlays, net:**

Mandatory:

4090	Budget authority, gross .....	871	893	915
Outlays, gross:				
4100	Outlays from new mandatory authority .....	871	893	915
4180	Budget authority, net (total) .....	871	893	915
4190	Outlays, net (total) .....	871	893	915

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	16,397	16,893	17,409
5001	Total investments, EOY: Federal securities: Par value .....	16,893	17,409	17,931

This mandatory fund is maintained through: a) contributions by participants, consisting of all Foreign Service Officers, Foreign Service information officers, Foreign Service reserve officers with unlimited tenure, and all Foreign Service staff officers and employees with unlimited appointments; b) matching Government contributions; c) special Government contributions from the Payment to the Foreign Service Retirement and Disability Fund; d) interest on investments (22 U.S.C. 4042); and e) voluntary contributions.

Approximately 15,886 annuitants will be paid retirement benefits from this fund in 2014, compared with an estimated 15,761 to be paid in 2013 and 15,636 paid in 2012. Gratuities and refunds represent payments to eligible former participants leaving the retirement system.

**Status of Funds** (in millions of dollars)

Identification code 19-8186-0-7-602	2012 actual	2013 CR	2014 est.
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Unexpended balance, start of year:				
0100	Balance, start of year .....	16,397	16,892	17,385
0199	Total balance, start of year .....	16,397	16,892	17,385
Cash income during the year:				
Current law:				
Receipts:				
1200	Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund .....	27	27	27
Offsetting receipts (intragovernmental):				
1240	Interest on Investments, Foreign Service Retirement and Disability Fund .....	726	736	746
1241	Employing Agency Contributions, Foreign Service Retirement and Disability Fund .....	315	322	332
1242	Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund .....	1	1	1

1243	Federal Contributions, Foreign Service Retirement and Disability Fund .....	297	300	300
1299	Income under present law .....	1,366	1,386	1,406
3299	Total cash income .....	1,366	1,386	1,406
Cash outgo during year:				
Current law:				
4500	Foreign Service Retirement and Disability Fund .....	-871	-893	-915
4599	Outgo under current law (-) .....	-871	-893	-915
6599	Total cash outgo (-) .....	-871	-893	-915
Unexpended balance, end of year:				
8700	Uninvested balance (net), end of year .....	-1	-24	-55
8701	Foreign Service Retirement and Disability Fund .....	16,893	17,409	17,931
8799	Total balance, end of year .....	16,892	17,385	17,876

**FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 19-8340-0-7-602	2012 actual	2013 CR	2014 est.
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0100	Balance, start of year .....			
Receipts:				
0240	Foreign Service National Separation Liability Trust Fund .....	48	14	15
0400	Total: Balances and collections .....	48	14	15
Appropriations:				
0500	Foreign Service National Separation Liability Trust Fund .....	-48	-14	-15
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 19-8340-0-7-602	2012 actual	2013 CR	2014 est.
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**Obligations by program activity:**

0001	Direct program activity .....		23	23
0900	Total new obligations (object class 42.0) .....		23	23

**Budgetary Resources:**

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	142	288	279
1021	Recoveries of prior year unpaid obligations .....	98		
1050	Unobligated balance (total) .....	240	288	279
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	48	14	15
1260	Appropriations, mandatory (total) .....	48	14	15
1900	Budget authority (total) .....	48	14	15
1930	Total budgetary resources available .....	288	302	294
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	288	279	271

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	121	3	9
3010	Obligations incurred, unexpired accounts .....		23	23
3020	Outlays (gross) .....	-20	-17	-15
3040	Recoveries of prior year unpaid obligations, unexpired .....	-98		
3050	Unpaid obligations, end of year .....	3	9	17
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	121	3	9
3200	Obligated balance, end of year .....	3	9	17

**Budget authority and outlays, net:**

Mandatory:

4090	Budget authority, gross .....	48	14	15
Outlays, gross:				
4100	Outlays from new mandatory authority .....		14	15
4101	Outlays from mandatory balances .....	20	3	
4110	Outlays, gross (total) .....	20	17	15
4180	Budget authority, net (total) .....	48	14	15
4190	Outlays, net (total) .....	20	17	15

This fund is maintained to pay separation costs for Foreign Service National direct hire (FSN) employees, Personal Service Contractors (PSC), and Personal Service Agreements (PSA) of

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND—Continued the Department of State in those countries in which such pay is legally authorized. The fund, as authorized by section 151 of Public Law 102–138 (22 U.S.C. 4012a), is maintained by annual government contributions from the Department's Diplomatic and Consular Programs (D&CP) account, Consular Affairs (CA), the International Narcotics Control and Law Enforcement (INCLE) account and International Cooperative Administrative Support Services (ICASS). The separation costs of FSN employees of selected USAID missions participating in ICASS are also covered by this fund.

### MISCELLANEOUS TRUST FUNDS

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 19–9971–0–7–153	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	6	7	11
Receipts:			
0220 Contributions, Educational and Cultural Exchange, USIA .....		1	1
0221 Unconditional Gift Fund .....	15	2	2
0222 Deposits, Conditional Gift Fund .....	3	2	2
0240 Earnings on Investments, Unconditional Gift Fund .....		1	1
0241 Interest, Miscellaneous Trust Funds, USIA .....		1	1
0299 Total receipts and collections .....	18	7	7
0400 Total: Balances and collections .....	24	14	18
Appropriations:			
0500 Miscellaneous Trust Funds .....	–18	–3	–3
0501 Miscellaneous Trust Funds .....	1		
0599 Total appropriations .....	–17	–3	–3
0799 Balance, end of year .....	7	11	15

#### Program and Financing (in millions of dollars)

Identification code 19–9971–0–7–153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Conditional gift fund .....	15	3	3
0801 Reimbursable program activity .....	5		1
0900 Total new obligations .....	20	3	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	24	24
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	18	24	24
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	18	3	3
1235 Appropriations precluded from obligation .....	–1		
1260 Appropriations, mandatory (total) .....	17	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	9		
1850 Spending auth from offsetting collections, mand (total) .....	9		
1900 Budget authority (total) .....	26	3	3
1930 Total budgetary resources available .....	44	27	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24	24	23
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	9	7
3010 Obligations incurred, unexpired accounts .....	20	3	4
3020 Outlays (gross) .....	–21	–5	–5
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3050 Unpaid obligations, end of year .....	9	7	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	9	7
3200 Obligated balance, end of year .....	9	7	6

#### Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	26	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	16	1	1
4101 Outlays from mandatory balances .....	5	4	4
4110 Outlays, gross (total) .....	21	5	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	–9		
4180 Budget authority, net (total) .....	17	3	3
4190 Outlays, net (total) .....	12	5	5

#### Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	8	8	8
5001 Total investments, EOY: Federal securities: Par value .....	8	8	5

*Gift funds.*—The Department has authority to accept gifts for use in carrying out the Department's functions, pursuant to statutes including section 25 of the State Department Basic Authorities Act (22 U.S.C. 2697). Among other purposes, funds are used to renovate, furnish, and maintain the Department's diplomatic reception rooms and embassy properties overseas.

#### Object Classification (in millions of dollars)

Identification code 19–9971–0–7–153	2012 actual	2013 CR	2014 est.
33.0 Direct obligations: Investments and loans .....	15	3	3
99.0 Reimbursable obligations .....	5		1
99.9 Total new obligations .....	20	3	4

## INTERNATIONAL ORGANIZATIONS AND CONFERENCES

### Federal Funds

#### CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS

*For necessary expenses, not otherwise provided for, to meet annual obligations of membership in international multilateral organizations, pursuant to treaties ratified pursuant to the advice and consent of the Senate, conventions or specific Acts of Congress, \$1,573,454,000: Provided, That any payment of arrearages under this heading shall be directed toward activities that are mutually agreed upon by the United States and the respective international organization: Provided further, That none of the funds appropriated under this heading shall be available for a United States contribution to an international organization for the United States share of interest costs made known to the United States Government by such organization for loans incurred on or after October 1, 1984, through external borrowings.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 19–1126–0–1–153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Program Obligations .....	1,551	1,560	1,573
0900 Total new obligations (object class 41.0) .....	1,551	1,560	1,573
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,450	1,459	1,573
1100 Appropriation - OCO .....	101	101	
1160 Appropriation, discretionary (total) .....	1,551	1,560	1,573
1930 Total budgetary resources available .....	1,557	1,566	1,579

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	123	157	81
3010	Obligations incurred, unexpired accounts .....	1,551	1,560	1,573
3011	Obligations incurred, expired accounts .....	21		
3020	Outlays (gross) .....	-1,522	-1,636	-1,571
3041	Recoveries of prior year unpaid obligations, expired .....	-16		
3050	Unpaid obligations, end of year .....	157	81	83
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	123	157	81
3200	Obligated balance, end of year .....	157	81	83
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,551	1,560	1,573
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,442	1,485	1,496
4011	Outlays from discretionary balances .....	80	151	75
4020	Outlays, gross (total) .....	1,522	1,636	1,571
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	2		
4070	Budget authority, net (discretionary) .....	1,551	1,560	1,573
4080	Outlays, net (discretionary) .....	1,520	1,636	1,571
4180	Budget authority, net (total) .....	1,551	1,560	1,573
4190	Outlays, net (total) .....	1,520	1,636	1,571

As a member of the United Nations and other international organizations, the United States contributes an assessed share of the budgets of those organizations net of certain withholdings. The purpose of this appropriation is to ensure continued American leadership within those organizations that serve important U.S. interests.

CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES

For necessary expenses to pay assessed and other expenses of international peacekeeping activities directed to the maintenance or restoration of international peace and security, \$2,094,661,000, to remain available until September 30, 2015: Provided, That at least 15 days in advance of voting for a new or expanded mission in the United Nations Security Council (or in an emergency as soon as is practicable), the Committees on Appropriations should be notified: (1) of the estimated cost and duration of the mission, the national interest that will be served, and the exit strategy; (2) that the United Nations has taken necessary measures to prevent United Nations employees, contractor personnel, and peacekeeping troops serving in the mission from trafficking in persons, exploiting victims of trafficking, or committing acts of illegal sexual exploitation or other violations of human rights, and to bring to justice individuals who engage in such acts while participating in the peacekeeping mission, including prosecution in their home countries of such individuals in connection with such acts; and (3) pursuant to section 7012 of this Act, and the procedures therein followed, of the source of funds that will be used to pay the cost of the new or expanded mission; Provided further, That notwithstanding any other provision of law, funds appropriated or otherwise made available under this heading shall be available for United States assessed contributions up to the amount specified in the Annex accompanying United Nations General Assembly document A/67/224/Add.1.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 19–1124–0–1–153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0020 Peacekeeping Activities .....	2,032	1,839	2,095

0900	Total new obligations (object class 41.0) .....	2,032	1,839	2,095
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	262	58	58
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,828	1,839	2,095
1160	Appropriation, discretionary (total) .....	1,828	1,839	2,095
1900	Budget authority (total) .....	1,828	1,839	2,095
1930	Total budgetary resources available .....	2,090	1,897	2,153
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	58	58	58
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	16		34
3010	Obligations incurred, unexpired accounts .....	2,032	1,839	2,095
3020	Outlays (gross) .....	-2,048	-1,805	-1,873
3050	Unpaid obligations, end of year .....		34	256
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	16		34
3200	Obligated balance, end of year .....		34	256
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,828	1,839	2,095
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,770	1,747	1,781
4011	Outlays from discretionary balances .....	278	58	92
4020	Outlays, gross (total) .....	2,048	1,805	1,873
4180	Budget authority, net (total) .....	1,828	1,839	2,095
4190	Outlays, net (total) .....	2,048	1,805	1,873

This appropriation provides funds for the United States' share of the expenses associated with United Nations (UN) peacekeeping operations for which costs are distributed among UN members based on a scale of assessments. The purpose of this appropriation is to ensure continued American leadership in support of UN peacekeeping activities that serve U.S. interests in promoting international security, stability, and democracy.

INTERNATIONAL COMMISSIONS

Federal Funds

INTERNATIONAL COMMISSIONS

For necessary expenses, not otherwise provided for, to meet obligations of the United States arising under treaties, or specific Acts of Congress, as follows:

INTERNATIONAL BOUNDARY AND WATER COMMISSION, UNITED STATES AND MEXICO

For necessary expenses for the United States Section of the International Boundary and Water Commission, United States and Mexico, and to comply with laws applicable to the United States Section, including not to exceed \$6,000 for representation; as follows:

SALARIES AND EXPENSES

For salaries and expenses, not otherwise provided for, \$45,618,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 19–1069–0–1–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administration .....	8	8	8
0002 Engineering .....	4	4	4
0003 Operation and maintenance .....	33	33	34
0799 Total direct obligations .....	45	45	46

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 19-1069-0-1-301	2012 actual	2013 CR	2014 est.
0801 Reimbursable program .....	7	5	5
0900 Total new obligations .....	52	50	51
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	45	45	46
1160 Appropriation, discretionary (total) .....	45	45	46
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	5	5
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	7	5	5
1900 Budget authority (total) .....	52	50	51
1930 Total budgetary resources available .....	52	50	51
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	15	15
3010 Obligations incurred, unexpired accounts .....	52	50	51
3020 Outlays (gross) .....	-51	-50	-58
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	15	15	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	14	14
3200 Obligated balance, end of year .....	14	14	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52	50	51
Outlays, gross:			
4010 Outlays from new discretionary authority .....	39	43	44
4011 Outlays from discretionary balances .....	12	7	14
4020 Outlays, gross (total) .....	51	50	58
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	45	45	46
4080 Outlays, net (discretionary) .....	44	45	53
4180 Budget authority, net (total) .....	45	45	46
4190 Outlays, net (total) .....	44	45	53

Pursuant to treaties between the United States and Mexico and U.S. law, the U.S. Section of the International Boundary and Water Commission is charged with the identification and solution of boundary and water problems arising along the 1,952-mile common border, including the southern borders of Texas, New Mexico, Arizona, and California. Administration, Engineering, and Operations and Maintenance activities are also funded by the Salaries and Expenses appropriation.

**Administration.**—Resources under this heading provide for: negotiations and supervision of joint projects with Mexico to solve international boundary, water, and environmental problems; overall control of the operation of the U.S. section of the Commission; formulation of operating policies and procedures; and financial management and administrative services to carry out international obligations of the United States, pursuant to treaty and congressional authorization.

**Engineering.**—Resources under this heading provide for: a) technical engineering guidance and supervision of planning, construction, operation and maintenance, and environmental

monitoring and compliance of international projects; b) studies relating to international problems of a continuing nature; and c) preliminary surveys and investigations to determine the need for and feasibility of projects for the solution of international problems arising along the boundary.

**Operation and Maintenance (O&M).**—This activity finances the measurement and determination of the national ownership of boundary waters and the distribution thereof, as well as the U.S. part of the operations and maintenance of sanitation facilities, river channel and levee projects, flood control dams and hydroelectric power, gauging stations, water quality control projects and boundary demarcation, monuments, and markers. Reimbursements are received from Mexico for O&M costs of the South Bay and Nogales International Wastewater Treatment Plants as well as from the City of Nogales for O&M at Nogales. Other reimbursements are received from the Western Area Power Administration, U.S. Department of Energy, for O&M and capital costs of hydroelectric generation at Falcon and Amistad International Dams.

## Object Classification (in millions of dollars)

Identification code 19-1069-0-1-301	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	15	15	16
12.1 Civilian personnel benefits .....	5	5	5
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.2 Other services from non-Federal sources .....	13	13	13
26.0 Supplies and materials .....	3	3	3
41.0 Grants, subsidies, and contributions .....	4	4	4
99.0 Direct obligations .....	45	45	46
99.0 Reimbursable obligations .....	7	5	5
99.9 Total new obligations .....	52	50	51

## Employment Summary

Identification code 19-1069-0-1-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	225	225	225
2001 Reimbursable civilian full-time equivalent employment .....	28	28	28

## CONSTRUCTION

*For detailed plan preparation and construction of authorized projects, \$31,400,000, to remain available until expended, as authorized.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 19-1078-0-1-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Flood Control & Rehabilitation (Including Rio Grande Canalization) .....	10	30	30
0004 Safety of Dams (Rehabilitation) .....	5	15	15
0005 Reconstruction of the American Canal .....	1	3	3
0008 Resource Management Program .....	1	5	5
0009 Nogales International Outfall Interceptor .....	1	2	2
0100 Total, Direct Program .....	18	55	55
0600 Heavy Equipment Replacement .....	18	55	55
0799 Total direct obligations .....	18	55	55
0801 Reimbursable program .....		1	1
0900 Total new obligations .....	18	56	56

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	50	86	63
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	51	86	63
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	31	32	31
1160	Appropriation, discretionary (total) .....	31	32	31
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	22	1	1
1750	Spending auth from offsetting collections, disc (total) .....	22	1	1
1900	Budget authority (total) .....	53	33	32
1930	Total budgetary resources available .....	104	119	95
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	86	63	39
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	84	49	71
3010	Obligations incurred, unexpired accounts .....	18	56	56
3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-51	-34	-31
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	49	71	96
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	82	47	69
3200	Obligated balance, end of year .....	47	69	94
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	53	33	32
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	8	8
4011	Outlays from discretionary balances .....	48	26	23
4020	Outlays, gross (total) .....	51	34	31
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-22	-1	-1
4180	Budget authority, net (total) .....	31	32	31
4190	Outlays, net (total) .....	29	33	30

**Construction.**—This activity provides for the construction of projects to solve international problems of water supply, water quality, sewage treatment, and flood damage reduction. Projects are normally constructed jointly with Mexico. This account also receives reimbursement for such projects.

**Object Classification** (in millions of dollars)

Identification code 19-1078-0-1-301	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
25.2	Other services from non-Federal sources .....	17	54	54
99.0	Direct obligations .....	18	55	55
99.0	Reimbursable obligations .....		1	1
99.9	Total new obligations .....	18	56	56

**Employment Summary**

Identification code 19-1078-0-1-301	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	7	7	7

AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS

For necessary expenses, not otherwise provided, for the International Joint Commission and the International Boundary Commission, United States and Canada, as authorized by treaties between the United States

and Canada or Great Britain, and the Border Environment Cooperation Commission as authorized by Public Law 103-182, \$12,499,000: Provided, That of the amount provided under this heading for the International Joint Commission, \$9,000 may be made available for representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-1082-0-1-301	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	International Boundary Commission .....	3	3	3
0002	International Joint Commission .....	7	7	7
0005	Border Environment Cooperation Commission .....	2	2	2
0900	Total new obligations .....	12	12	12
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	12	12	12
1160	Appropriation, discretionary (total) .....	12	12	12
1930	Total budgetary resources available .....	12	12	12
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	4	4
3010	Obligations incurred, unexpired accounts .....	12	12	12
3020	Outlays (gross) .....	-13	-12	-11
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	4	4	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	4	4
3200	Obligated balance, end of year .....	4	4	5
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	12	12	12
Outlays, gross:				
4010	Outlays from new discretionary authority .....	9	8	8
4011	Outlays from discretionary balances .....	4	4	3
4020	Outlays, gross (total) .....	13	12	11
4180	Budget authority, net (total) .....	12	12	12
4190	Outlays, net (total) .....	13	12	11

These funds are used for payment of the U.S. share of the expenses of:

**International Boundary Commission.**—The Commission, in accordance with existing treaties, maintains the integrity of a well-delineated boundary between the United States and Canada by: surveying, inspecting, and clearing the boundary; repairing or replacing monuments; regulating construction crossing the boundary; and serving as the official U.S. Government source for boundary-specific positional/cartographic data.

**International Joint Commission.**—Pursuant to the Boundary Waters Treaty of 1909 and related Treaties and agreements, the Commission approves, regulates, and monitors structures in boundary waters and transboundary streams, apportions waters between the United States and Canada in selected rivers, and investigates matters referred to it by the United States and Canada that principally include transboundary environmental issues.

**Border Environment Cooperation Commission.**—This bilateral Commission works with States and local communities to provide technical and financial planning assistance and to review and certify project proposals for the purpose of developing effective solutions to environmental problems in the U.S.-Mexico border region.

## AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS—Continued

## Object Classification (in millions of dollars)

Identification code 19–1082–0–1–301	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	4	4	4
25.2 Other services from non-Federal sources .....	8	8	8
99.9 Total new obligations .....	12	12	12

## Employment Summary

Identification code 19–1082–0–1–301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	18	18	18

## INTERNATIONAL FISHERIES COMMISSIONS

For necessary expenses for international fisheries commissions, not otherwise provided for, as authorized by law, \$31,445,000: Provided, That the United States share of such expenses may be advanced to the respective commissions pursuant to 31 U.S.C. 3324.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 19–1087–0–1–302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Inter-American Tropical Tuna Commission .....	2	2	2
0006 Great Lakes Fishery Commission .....	24	25	19
0008 Inter-Pacific Halibut Commission .....	4	4	4
0009 Pacific Salmon Commission .....	3	3	3
0010 Other Commissions and Marine Science Organizations .....	3	3	3
0900 Total new obligations .....	36	37	31
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	36	37	31
1160 Appropriation, discretionary (total) .....	36	37	31
1930 Total budgetary resources available .....	36	37	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	36	37	31
3020 Outlays (gross) .....	–36	–37	–31
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Budget authority, gross .....			
4000 .....	36	37	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....	36	37	31
4180 Budget authority, net (total) .....	36	37	31
4190 Outlays, net (total) .....	36	37	31

This appropriation provides the U.S. share of operating expenses for ten treaty-based international fisheries commissions and organizations, two international marine science organizations, one whaling commission, the Arctic Council and the Antarctic Treaty Secretariat, as well as funding regional sea turtle and shark conservation, and travel expenses of non-government U.S. commissioners and their advisors. These commissions and organizations coordinate scientific studies of shared fish stocks and other living marine resources and their habitats and establish common management measures to be implemented by member govern-

ments based on their results. Many also oversee the allocation of fishing rights to their members. In addition, the Great Lakes Fishery Commission carries out a program to eradicate the invasive, parasitic sea lamprey. The marine science organizations coordinate international research on valuable fisheries, oceanography, and marine ecosystems and the results are publicly disseminated and used to advise member governments on fisheries and marine science policy.

## Object Classification (in millions of dollars)

Identification code 19–1087–0–1–302	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	4	4	4
41.0 Grants, subsidies, and contributions .....	32	33	27
99.9 Total new obligations .....	36	37	31

## OTHER

## Federal Funds

## GLOBAL HIV/AIDS INITIATIVE

## Program and Financing (in millions of dollars)

Identification code 19–1030–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Global HIV/AIDS Initiative .....	20	141	142
0900 Total new obligations (object class 41.0) .....	20	141	142
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	38	28	37
1021 Recoveries of prior year unpaid obligations .....	10	150	132
1050 Unobligated balance (total) .....	48	178	169
1930 Total budgetary resources available .....	48	178	169
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	37	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	84	51	20
3010 Obligations incurred, unexpired accounts .....	20	141	142
3020 Outlays (gross) .....	–43	–22	–22
3040 Recoveries of prior year unpaid obligations, unexpired .....	–10	–150	–132
3050 Unpaid obligations, end of year .....	51	20	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	84	51	20
3200 Obligated balance, end of year .....	51	20	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	43	22	22
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4190 Outlays, net (total) .....	43	22	22

The first phase of the President's Emergency Plan for AIDS Relief (PEPFAR), from 2004 to 2008, was the largest ever global public health initiative by a single country to fight the HIV/AIDS epidemic. Funding was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

## FUNDS APPROPRIATED TO THE PRESIDENT

For necessary expenses to enable the President to carry out the provisions of the Foreign Assistance Act of 1961, and for other purposes, as follows:

GLOBAL HEALTH PROGRAMS  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the provisions of chapters 1 and 10 of part I of the Foreign Assistance Act of 1961, for global health activities, in addition to funds otherwise available for such purposes, \$2,645,000,000, to remain available until September 30, 2015, and which shall be apportioned directly to the United States Agency for International Development (USAID): Provided, That this amount shall be made available for training, equipment, and technical assistance to build the capacity of public health institutions and organizations in developing countries, and for such activities as: (1) child survival and maternal health programs; (2) immunization and oral rehydration programs; (3) other health, nutrition, water and sanitation programs which directly address the needs of mothers and children, and related education programs; (4) assistance for children displaced or orphaned by causes other than AIDS; (5) programs for the prevention, treatment, control of, and research on HIV/AIDS, tuberculosis, polio, malaria, and other infectious diseases including neglected tropical diseases, and for assistance to communities severely affected by HIV/AIDS, including children infected or affected by AIDS; and (6) family planning / reproductive health: Provided further, That funds appropriated under this paragraph may be made available for a United States contribution to the GAVI Alliance: Provided further, That none of the funds made available in this Act nor any unobligated balances from prior appropriations Acts may be made available to any organization or program which, as determined by the President of the United States, supports or participates in the management of a program of coercive abortion or involuntary sterilization: Provided further, That none of the funds made available under this Act may be used to pay for the performance of abortion as a method of family planning or to motivate or coerce any person to practice abortions: Provided further, That nothing in this paragraph shall be construed to alter any existing statutory prohibitions against abortion under section 104 of the Foreign Assistance Act of 1961: Provided further, That none of the funds made available under this Act may be used to lobby for or against abortion: Provided further, That in order to reduce reliance on abortion in developing nations, funds shall be available only to voluntary family planning projects which offer, either directly or through referral to, or information about access to, a broad range of family planning methods and services, and that any such voluntary family planning project shall meet the following requirements: (1) service providers or referral agents in the project shall not implement or be subject to quotas, or other numerical targets, of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning (this provision shall not be construed to include the use of quantitative estimates or indicators for budgeting and planning purposes); (2) the project shall not include payment of incentives, bribes, gratuities, or financial reward to: (A) an individual in exchange for becoming a family planning acceptor; or (B) program personnel for achieving a numerical target or quota of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning; (3) the project shall not deny any right or benefit, including the right of access to participate in any program of general welfare or the right of access to health care, as a consequence of any individual's decision not to accept family planning services; (4) the project shall provide family planning acceptors comprehensible information on the health benefits and risks of the method chosen, including those conditions that might render the use of the method inadvisable and those adverse side effects known to be consequent to the use of the method; and (5) the project shall ensure that experimental contraceptive drugs and devices and medical procedures are provided only in the context of a scientific study in which participants are advised of potential risks and benefits; and, not less than 60 days after the date on which the USAID Administrator determines that there has been a violation of the requirements contained in paragraph (1), (2), (3), or (5) of this proviso, or a pattern or practice of violations of the requirements contained in paragraph (4) of this proviso, the Administrator shall submit to the Committees on Appropriations a report containing a description of such violation and the corrective action taken by the Agency: Provided further, That in awarding grants for natural family planning under section 104 of the Foreign Assistance Act of 1961 no applicant shall be discriminated against because of such applicant's religious or conscientious commitment to offer only natural family planning; and, additionally, all such applicants shall comply with the requirements of the previous proviso: Provided further, That for purposes

of this or any other Act authorizing or appropriating funds for the Department of State, foreign operations, and related programs, the term "motivate", as it relates to family planning assistance, shall not be construed to prohibit the provision, consistent with local law, of information or counseling about all pregnancy options: Provided further, That information provided about the use of condoms as part of projects or activities that are funded from amounts appropriated by this Act shall be medically accurate and shall include the public health benefits and failure rates of such use.

In addition, for necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 for the prevention, treatment, and control of, and research on, HIV/AIDS, \$5,670,000,000, to remain available until expended, which shall be apportioned directly to the Department of State: Provided, That funds appropriated under this paragraph may be made available, notwithstanding any other provision of law, except for the United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003 (Public Law 108-25), as amended, for a United States contribution to the Global Fund to Fight AIDS, Tuberculosis and Malaria (Global Fund), and shall be expended at the minimum rate necessary to make timely payment for projects and activities: Provided further, That up to 5 percent of the aggregate amount of funds made available to the Global Fund in fiscal year 2014 may be made available to USAID for technical assistance related to the activities of the Global Fund: Provided further, That of the funds appropriated under this paragraph, up to \$14,250,000 may be made available, in addition to amounts otherwise available for such purposes, for administrative expenses of the Office of the United States Global AIDS Coordinator.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 19-1031-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6,535	7,361	7,920
0002 Administrative Expenses .....	7	14	21
0799 Total direct obligations .....	6,542	7,375	7,941
0801 Reimbursable program activity - WCF .....	440	440	440
0900 Total new obligations .....	6,982	7,815	8,381
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,880	5,134	5,572
1012 Unobligated balance transfers between expired and unexpired accounts .....	33		
1021 Recoveries of prior year unpaid obligations .....	28	30	30
1050 Unobligated balance (total) .....	3,941	5,164	5,602
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8,168	8,218	8,315
1120 Appropriations transferred to other accts [72-1264] .....	-1		
1121 Appropriations transferred from other accts [72-1005] .....	5		
1160 Appropriation, discretionary (total) .....	8,172	8,218	8,315
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	5	5
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	3	5	5
1900 Budget authority (total) .....	8,175	8,223	8,320
1930 Total budgetary resources available .....	12,116	13,387	13,922
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5,134	5,572	5,541
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,238	8,486	8,171
3010 Obligations incurred, unexpired accounts .....	6,982	7,815	8,381
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-7,686	-8,100	-8,567
3040 Recoveries of prior year unpaid obligations, unexpired .....	-28	-30	-30
3041 Recoveries of prior year unpaid obligations, expired .....	-23		
3050 Unpaid obligations, end of year .....	8,486	8,171	7,955
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		

GLOBAL HEALTH PROGRAMS—Continued  
Program and Financing—Continued

Identification code 19–1031–0–1–151	2012 actual	2013 CR	2014 est.
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9,237	8,486	8,171
3200 Obligated balance, end of year .....	8,486	8,171	7,955
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8,175	8,223	8,320
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,385	2,213	2,490
4011 Outlays from discretionary balances .....	6,301	5,887	6,077
4020 Outlays, gross (total) .....	7,686	8,100	8,567
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-25	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4052 Offsetting collections credited to expired accounts .....	21		
4060 Additional offsets against budget authority only (total) .....	22		
4070 Budget authority, net (discretionary) .....	8,172	8,218	8,315
4080 Outlays, net (discretionary) .....	7,661	8,095	8,562
4180 Budget authority, net (total) .....	8,172	8,218	8,315
4190 Outlays, net (total) .....	7,661	8,095	8,562

The Global Health Programs account funds health-related foreign assistance for the Department of State (DOS) and the U.S. Agency for International Development (USAID), representing the majority of funds provided for the President's Global Health Initiative (GHI). GHI seeks to improve health outcomes by adopting a women, girls, and gender-equity approach to health; increasing impact through strategic integration and coordination; strengthening and leveraging multilateral institutions; encouraging country ownership and investing in country-led plans; building sustainability through health systems strengthening; improving metrics, monitoring and evaluation; and promoting research, development and innovation.

*Global Health Programs-State.*—Within GHI, the Global Health Programs (GHP-State) account supports the goal of creating an AIDS-free generation through the President's Emergency Plan for AIDS Relief (PEPFAR). The 2014 Budget requests \$5.7 billion in the GHP-State account, representing the bulk of PEPFAR funding. PEPFAR is led by the Office of the Global AIDS Coordinator in DOS, which draws upon the expertise and experience of other USG partners such as the U.S. Agency for International Development (USAID), the Department of Health and Human Services, the Department of Defense, and the Peace Corps to bring the full force of our government's capacity to the fight against global AIDS. Programs work through expanded partnerships to build capacity for effective, innovative, country-led, and sustainable services, and to create a supportive and enabling policy environment for combating HIV/AIDS, including as part of the broader USG and country-level health and development approach. In addition, PEPFAR supports implementation of strong monitoring and evaluation systems to set benchmarks for outcomes and programmatic efficiencies through regularly assessed planning and reporting processes to ensure goals are being met. PEPFAR programs support strategic, scientifically sound investments to rapidly scale up core HIV/AIDS prevention, care, and treatment interventions within the context of strengthened health systems, particularly in terms of human resources in nations with severe health worker shortages and lack of service delivery capacity. As part of GHI, PEPFAR integrates its efforts with important programs in other areas of global health as well as other areas of development, including the areas of education,

gender equity, and economic development. A contribution of \$1.65 billion to the Global Fund to Fight AIDS, Tuberculosis and Malaria is included in the GHP-State request.

*Global Health Programs-USAID.*—The 2014 Budget requests \$2.6 billion in the GHP-USAID account for a comprehensive and integrated approach to improve global health outcomes as outlined in GHI. USAID, working in partnership with foreign governments, local private sector and non-governmental organizations, and public-private partnerships, will build capacity, strengthen health systems, and promote sustainable integrated health care for vulnerable populations. Funding includes activities that support the goal of ending preventable child deaths, and—in synergy with the Feed the Future Initiative—support nutrition activities, addressing such issues as micronutrient and iodine deficiencies. GHP-USAID funding will also promote voluntary family planning/reproductive health, pursue polio eradication, support activities directed at vulnerable children, reduce HIV transmission and the impact of the global HIV/AIDS epidemic in high-burden countries, and address the threat of other infectious diseases such as tuberculosis and multi-drug resistant tuberculosis, malaria, influenza and other pandemic diseases, and neglected tropical diseases in developing countries.

Health programs formerly funded through the Assistance for Europe, Eurasia and Central Asia account are now being requested in the GHP account.

Object Classification (in millions of dollars)

Identification code 19–1031–0–1–151	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	6	6	6
99.0 Direct obligations .....	14	14	14
99.0 Reimbursable obligations .....	440	440	440
Allocation Account - direct:			
11.1 Personnel compensation: Full-time permanent .....	8	8	8
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	10	10	10
25.2 Other services from non-Federal sources .....	135	135	135
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	6,373	7,206	7,772
99.0 Allocation account - direct .....	6,528	7,361	7,927
99.9 Total new obligations .....	6,982	7,815	8,381

Employment Summary

Identification code 19–1031–0–1–151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	38	38	38

MIDDLE EAST AND NORTH AFRICA INCENTIVE FUND

*For necessary expenses for a Middle East and North Africa Incentive Fund to carry out the provisions of the Foreign Assistance Act of 1961, \$580,000,000, to remain available until September 30, 2018, which shall be available, notwithstanding any other provision of law, for assistance and for contributions to promote regional peace and security, political and economic reform, and stability in the Middle East and North Africa: Provided, That funds appropriated under this heading and the heading "Economic Support Fund" in this Act and prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, may be made available for the costs of direct and guaranteed loans for countries in the Middle East and North Africa: Provided further, That such costs, including the cost of modifying such loans and loan guarantees,*

shall be as defined in section 502 of the Congressional Budget Act of 1974, and may include the costs of selling, reducing, or cancelling any amounts owed to the United States or any agency of the United States by any country in the Middle East and North Africa: Provided further, That, during fiscal years 2014 through 2018, these funds are available to subsidize gross obligations for the principal amount of direct loans, and total loan principal, any part of which is to be guaranteed, not to exceed \$4,000,000,000: Provided further, That the Government of the United States may charge fees for loans and loan guarantees under this heading, which shall be collected from borrowers or third parties on behalf of such borrowers in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided further, That amounts that are made available under this heading for the costs of direct loans, loan guarantees, and modifications shall not be considered assistance for the purposes of provisions of law limiting assistance to a country: Provided further, That funds appropriated under this heading may be transferred to and merged with funds appropriated under the heading "Contributions to International Peacekeeping" for peacekeeping operations in the Middle East and North Africa: Provided further, That funds appropriated under this heading may be transferred to any institution, fund, or program for which funds were made available under the heading "Multilateral Assistance, Funds Appropriated to the President, International Financial Institutions" for the purposes of this heading: Provided further, That up to 5 percent of funds appropriated under this heading may be made available for administrative expenses of agencies implementing and managing programs funded under this heading, in addition to funds otherwise available for such purposes, and such funds may be transferred to and merged with funds under the headings "Diplomatic and Consular Programs" and "Operating Expenses".

**Program and Financing** (in millions of dollars)

Identification code 19-1156-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			290
0900 Total new obligations (object class 41.0) .....			290
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			580
1160 Appropriation, discretionary (total) .....			580
1930 Total budgetary resources available .....			580
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			290
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			290
3020 Outlays (gross) .....			-145
3050 Unpaid obligations, end of year .....			145
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			145
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			580
Outlays, gross:			
4010 Outlays from new discretionary authority .....			145
4180 Budget authority, net (total) .....			580
4190 Outlays, net (total) .....			145

The Middle East and North Africa Incentive Fund will be established to provide assistance to countries undergoing transitions in the Middle East and North Africa (MENA) region. The Fund will provide incentives for long-term political and economic reform, and may supplement contingency funds as needed to respond to emerging opportunities and crises in the region. The MENA Incentive Fund may provide assistance bilaterally, regionally, or through contributions to multilateral initiatives with other donors, to promote effective, democratic governance, vibrant

civil societies, and inclusive, market-based economic growth in transitioning MENA countries.

**MIGRATION AND REFUGEE ASSISTANCE**

For necessary expenses not otherwise provided for, to enable the Secretary of State to carry out the provisions of section 2(a) and (b) of the Migration and Refugee Assistance Act of 1962, and other activities to meet refugee and migration needs; salaries and expenses of personnel and dependents as authorized by the Foreign Service Act of 1980; allowances as authorized by sections 5921 through 5925 of title 5, United States Code; purchase and hire of passenger motor vehicles; and services as authorized by section 3109 of title 5, United States Code, \$1,760,960,000, to remain available until expended, of which \$15,000,000 shall be made available for refugees resettling in Israel.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-1143-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Overseas assistance .....	1,444	1,637	1,353
0002 U.S. refugee admissions program .....	329	375	403
0003 Refugees to Israel .....	20	15	15
0005 Administrative expenses .....	31	34	35
0799 Total direct obligations .....	1,824	2,061	1,806
0801 Reimbursable program .....	1	1	1
0900 Total new obligations .....	1,825	2,062	1,807
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	139	202	36
1021 Recoveries of prior year unpaid obligations .....	12	10	10
1050 Unobligated balance (total) .....	151	212	46
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,868	1,649	1,761
1100 Appropriation-OCO .....		229	
1121 Appropriations transferred from other accts [72-1037] .....	7	7	
1160 Appropriation, discretionary (total) .....	1,875	1,885	1,761
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	1,876	1,886	1,762
1930 Total budgetary resources available .....	2,027	2,098	1,808
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	202	36	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	610	699	836
3010 Obligations incurred, unexpired accounts .....	1,825	2,062	1,807
3020 Outlays (gross) .....	-1,724	-1,915	-1,856
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12	-10	-10
3050 Unpaid obligations, end of year .....	699	836	777
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	610	699	836
3200 Obligated balance, end of year .....	699	836	777
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,876	1,886	1,762
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,184	1,532	1,410
4011 Outlays from discretionary balances .....	540	383	446
4020 Outlays, gross (total) .....	1,724	1,915	1,856
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	1,875	1,885	1,761
4190 Outlays, net (total) .....	1,723	1,914	1,855

## MIGRATION AND REFUGEE ASSISTANCE—Continued

**Overseas Assistance.**—The majority of the Migration and Refugee Assistance (MRA) account addresses the protection and assistance needs of refugees, conflict victims, stateless persons, and vulnerable migrants worldwide. Funds primarily support the programs of international organizations, including the United Nations High Commissioner for Refugees (UNHCR), the International Committee of the Red Cross (ICRC), the United Nations Relief and Works Agency for Palestine Refugees in the Near East (UNRWA), and the International Organization for Migration (IOM), as well as non-governmental organizations (NGOs).

**Humanitarian Migrants to Israel.**—These funds assist humanitarian migrants resettling in Israel.

**U.S. Refugee Admissions.**—MRA funds overseas processing, transportation, and initial placement for refugees and certain other categories of special immigrants resettling in the United States. These activities are carried out primarily by NGO partners and IOM.

**Administrative Expenses.**—These funds finance the salaries and operating expenses in Washington, D.C. and overseas for the Bureau of Population, Refugees, and Migration. (Note: Funds for the salaries and support costs of the positions dedicated to international population policy and coordination are requested under the Department of State's Diplomatic and Consular Programs appropriation.)

## Object Classification (in millions of dollars)

Identification code 19–1143–0–1–151	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	15	16	17
12.1 Civilian personnel benefits .....	5	5	6
21.0 Travel and transportation of persons .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	29	31	30
41.0 Grants, subsidies, and contributions .....	1,773	2,006	1,750
99.0 Direct obligations .....	1,825	2,061	1,806
99.0 Reimbursable obligations .....	.....	1	1
99.9 Total new obligations .....	1,825	2,062	1,807

## Employment Summary

Identification code 19–1143–0–1–151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	130	130	130

## Program and Financing (in millions of dollars)

Identification code 11–0040–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	36	40	254
0900 Total new obligations (object class 41.0) .....	36	40	254
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26	17	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	27	27	250
1160 Appropriation, discretionary (total) .....	27	27	250
1930 Total budgetary resources available .....	53	44	254
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	4	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	8	9
3010 Obligations incurred, unexpired accounts .....	36	40	254
3020 Outlays (gross) .....	–42	–39	–206
3050 Unpaid obligations, end of year .....	8	9	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	8	9
3200 Obligated balance, end of year .....	8	9	57
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	27	27	250
Outlays, gross:			
4010 Outlays from new discretionary authority .....	29	22	200
4011 Outlays from discretionary balances .....	13	17	6
4020 Outlays, gross (total) .....	42	39	206
4180 Budget authority, net (total) .....	27	27	250
4190 Outlays, net (total) .....	42	39	206

The Emergency Refugee and Migration Assistance Fund enables the President to provide humanitarian assistance for unexpected and urgent refugee and migration needs worldwide. The 2014 request of \$250 million will allow the United States to respond quickly to urgent and unexpected needs of refugees and other populations of concern, including, but not limited to, emergency humanitarian needs in Syria. The ability to use the funds for either refugees or for other populations inside a country is crucial to respond effectively to evolving needs during crises. To meet these needs, the appropriations language provides that these funds can be transferred to International Disaster Assistance as appropriate, after the President has made the requisite determination under section 2(c)(1) of the Migration and Refugee Assistance Act of 1962.

## UNITED STATES EMERGENCY REFUGEE AND MIGRATION ASSISTANCE FUND

*For necessary expenses to carry out the provisions of section 2(c) of the Migration and Refugee Assistance Act of 1962, as amended (22 U.S.C. 2601(c)), \$250,000,000, to remain available until expended: Provided, That funds made available under this heading shall not be counted toward the limitation established by section 2(c)(2) of the Migration and Refugee Assistance Act of 1962, as amended, on amounts that may be appropriated to the United States Emergency Refugee and Migration Assistance Fund: Provided further, That the funds made available under this heading may be transferred to, and merged with, the funds made available under the heading International Disaster Assistance, after the President has made the determination under section 2(c)(1) of the Migration and Refugee Assistance Act of 1962, as amended, with respect to such funds.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## COMPLEX CRISES FUND

*For necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 to support programs and activities to prevent or respond to emerging or unforeseen complex crises overseas, \$40,000,000, to remain available until expended: Provided, That funds appropriated under this heading may be made available for the purposes of preventing or responding to such crises, except that no funds shall be made available to respond to natural disasters: Provided further, That funds appropriated under this heading may be made available notwithstanding any other provision of law: Provided further, That a report shall be submitted to the Committees on Appropriations at least 5 days in advance of the obligation of funds: Provided further, That up to \$7,000,000 of the funds appropriated under this heading may be transferred to, and merged with, funds appropriated under the heading "Conflict Stabilization Operations".*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 72-1015-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	43	70	40
0900 Total new obligations (object class 41.0) .....	43	70	40
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	41	38	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	40	40	40
1160 Appropriation, discretionary (total) .....	40	40	40
1930 Total budgetary resources available .....	81	78	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	38	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27	49	55
3010 Obligations incurred, unexpired accounts .....	43	70	40
3020 Outlays (gross) .....	-21	-64	-49
3050 Unpaid obligations, end of year .....	49	55	46
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	49	55
3200 Obligated balance, end of year .....	49	55	46
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	40	40	40
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	11	10
4011 Outlays from discretionary balances .....	20	53	39
4020 Outlays, gross (total) .....	21	64	49
4180 Budget authority, net (total) .....	40	40	40
4190 Outlays, net (total) .....	21	64	49

The Complex Crises Fund provides funding to support the State Department and U.S. Agency for International Development's rapid response capabilities for assistance activities to prevent or respond to emerging or unforeseen complex crises. Managed by USAID, the funds will target countries or regions that demonstrate a high or escalating risk of conflict or instability, or present an unanticipated opportunity for progress in a newly-emerging or fragile democracy. Projects aim to address and prevent root causes of conflict and instability through a whole-of-government approach and can include the participation of host governments and other partners. The request includes authority to transfer funds to the Civilian Stabilization Operations account to provide program funding for the Civilian Response Corps.

**INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT**

For necessary expenses to carry out section 481 of the Foreign Assistance Act of 1961, \$1,129,727,000 to remain available until September 30, 2015: Provided, That during fiscal year 2014, the Department of State may also use the authority of section 608 of the Foreign Assistance Act of 1961, without regard to its restrictions, to receive excess property from an agency of the United States Government for the purpose of providing it to a foreign country or international organization under chapter 8 of part I of that Act: Provided further, That section 482(b) of the Foreign Assistance Act of 1961 shall not apply to funds appropriated under this heading: Provided further, That the provision of assistance which is comparable to assistance made available under this heading but which is provided under any other provision of law, shall be provided in accordance with the provisions of sections 481(b) and 622(c) of the Foreign Assistance Act of 1961: Provided further, That notwithstanding any provision of this or any prior Act, funds appropriated in prior years under the headings "Andean Counterdrug

Initiative" and "Andean Counterdrug Program" shall be available for use in any country for which funds may be made available under this heading without regard to the geographic or purpose limitations under which such funds were originally appropriated.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 19-1022-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Counterdrug and Anti-Crime Programs .....	2,081	2,083	1,983
0801 Reimbursable program .....	138	284	284
0900 Total new obligations .....	2,219	2,367	2,267
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,618	1,422	1,390
1010 Unobligated balance transfer to other accts [72-1037] .....	-15	.....	.....
1011 Unobligated balance transfer from other accts [72-0306] .....	5	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	68	.....	.....
1021 Recoveries of prior year unpaid obligations .....	78	.....	.....
1050 Unobligated balance (total) .....	1,754	1,422	1,390
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (regular) .....	2,045	1,067	1,130
1100 Appropriation - OCO .....	.....	984	.....
1120 Appropriations transferred to other accts [72-1037] .....	-270	.....	.....
1120 Appropriations transferred to other accts [72-1032] .....	-45	.....	.....
1121 Appropriations transferred from other accts [72-0306] .....	74	.....	.....
1160 Appropriation, discretionary (total) .....	1,804	2,051	1,130
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	137	284	284
1701 Change in uncollected payments, Federal sources .....	1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	138	284	284
1900 Budget authority (total) .....	1,942	2,335	1,414
1930 Total budgetary resources available .....	3,696	3,757	2,804
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-55	.....	.....
1941 Unexpired unobligated balance, end of year .....	1,422	1,390	537
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,518	3,627	2,961
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	108	.....	.....
3010 Obligations incurred, unexpired accounts .....	2,219	2,367	2,267
3011 Obligations incurred, expired accounts .....	16	.....	.....
3020 Outlays (gross) .....	-2,068	-3,033	-2,574
3040 Recoveries of prior year unpaid obligations, unexpired .....	-78	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-88	.....	.....
3050 Unpaid obligations, end of year .....	3,627	2,961	2,654
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-29	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	29	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,597	3,626	2,960
3200 Obligated balance, end of year .....	3,626	2,960	2,653
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,942	2,335	1,414
Outlays, gross:			
4010 Outlays from new discretionary authority .....	97	267	175
4011 Outlays from discretionary balances .....	1,971	2,766	2,399
4020 Outlays, gross (total) .....	2,068	3,033	2,574
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-174	-284	-284
4033 Non-Federal sources .....	-1	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-175	-284	-284
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	.....	.....

INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT—Continued  
Program and Financing—Continued

Identification code 19–1022–0–1–151	2012 actual	2013 CR	2014 est.
4052 Offsetting collections credited to expired accounts .....	38	.....	.....
4060 Additional offsets against budget authority only (total) .....	37	.....	.....
4070 Budget authority, net (discretionary) .....	1,804	2,051	1,130
4080 Outlays, net (discretionary) .....	1,893	2,749	2,290
4180 Budget authority, net (total) .....	1,804	2,051	1,130
4190 Outlays, net (total) .....	1,893	2,749	2,290

## Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	1,804	2,051	1,130
Outlays .....	1,893	2,749	2,290
Overseas contingency operations:			
Budget Authority .....	.....	.....	344
Outlays .....	.....	.....	34
Total:			
Budget Authority .....	1,804	2,051	1,474
Outlays .....	1,893	2,749	2,324

This appropriation provides assistance to foreign countries and international organizations to assist them in developing and implementing policies and programs that strengthen institutional law enforcement and judicial capabilities, countering drug flows, and combating transnational crime, and establish and maintain the rule of law. This appropriation also provides assistance for regional security initiatives such as the Central Asia Counternarcotics Initiative, the Central America Regional Security Initiative, the Caribbean Basin Security Initiative, and provides capacity building to nations enduring transnational crime and stabilization problems, including Mexico and Afghanistan. The 2014 budget normalizes foreign assistance resources for the countries of Europe, Eurasia, and Central Asia. Appropriations for the programs formerly funded through the Assistance for Europe, Eurasia and Central Asia account are now being requested in the Economic Support Fund, the International Narcotics Control and Law Enforcement, and Global Health Programs accounts.

## Object Classification (in millions of dollars)

Identification code 19–1022–0–1–151	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	60	60	60
11.3 Other than full-time permanent .....	39	39	39
11.9 Total personnel compensation .....	99	99	99
12.1 Civilian personnel benefits .....	17	17	17
21.0 Travel and transportation of persons .....	23	23	23
23.2 Rental payments to others .....	7	8	8
25.2 Other services from non-Federal sources .....	1,516	1,520	1,420
26.0 Supplies and materials .....	19	18	18
31.0 Equipment .....	72	70	70
41.0 Grants, subsidies, and contributions .....	328	328	328
99.0 Direct obligations .....	2,081	2,083	1,983
99.0 Reimbursable obligations .....	138	284	284
99.9 Total new obligations .....	2,219	2,367	2,267

## Employment Summary

Identification code 19–1022–0–1–151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	283	283	283
2001 Reimbursable civilian full-time equivalent employment .....	49	49	49

## INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT

## (Overseas contingency operations)

For an additional amount for "International Narcotics Control and Law Enforcement", \$344,000,000, to remain available until September 30, 2015: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 19–1022–8–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Counterdrug and Anti-Crime Programs .....	.....	.....	344
0900 Total new obligations (object class 25.2) .....	.....	.....	344
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (regular) .....	.....	.....	344
1160 Appropriation, discretionary (total) .....	.....	.....	344
1930 Total budgetary resources available .....	.....	.....	344
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	344
3020 Outlays (gross) .....	.....	.....	–34
3050 Unpaid obligations, end of year .....	.....	.....	310
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	310
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	344
4010 Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	34
4180 Budget authority, net (total) .....	.....	.....	344
4190 Outlays, net (total) .....	.....	.....	34

As part of the Overseas Operations Contingency budget, a total of \$344 million is requested; of which, \$315 million is for the temporary and extraordinary costs of the Afghanistan program to support counternarcotics, justice, corrections, and various support programs in Afghanistan; and, \$29 million is requested for Pakistan to support law enforcement and border security efforts that strengthen the presence and operational capabilities of Pakistani law enforcement, particularly in the challenging terrain bordering Afghanistan.

## ANDEAN COUNTERDRUG PROGRAMS

## Program and Financing (in millions of dollars)

Identification code 19–1154–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Total: Program Activity .....	6	2	.....
0900 Total new obligations (object class 25.2) .....	6	2	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	2	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....

1050	Unobligated balance (total) .....	8	2	
1930	Total budgetary resources available .....	8	2	
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	260	130	46
3010	Obligations incurred, unexpired accounts .....	6	2	
3020	Outlays (gross) .....	-117	-86	-30
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-18		
3050	Unpaid obligations, end of year .....	130	46	16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	260	130	46
3200	Obligated balance, end of year .....	130	46	16
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	117	86	30
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-4		
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ...	-5		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	5		
4080	Outlays, net (discretionary) .....	112	86	30
4190	Outlays, net (total) .....	112	86	30

This account funded U.S. assistance to Plan Colombia and follow-on activities from 2000 to 2010. These funds supported the Colombian Army's push into southern Colombia in support of the Colombian National Police, enhanced drug interdiction in Colombia and the region, increased support to the Colombian National Police, provided for economic development in Colombia and the Andean region, and boosted Colombia's local and national government capacity. Beginning in 2010, funds for these programs is requested and appropriated in the International Narcotics Control and Law Enforcement account.

DEMOCRACY FUND

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 19-1121-0-1-151	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	118	113	113
0900	Total new obligations (object class 41.0) .....	118	113	113
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	113	113	115
1012	Unobligated balance transfers between expired and unexpired accounts .....	3		
1050	Unobligated balance (total) .....	116	113	115
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	115	115	
1160	Appropriation, discretionary (total) .....	115	115	
1930	Total budgetary resources available .....	231	228	115
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	113	115	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	189	188	148
3010	Obligations incurred, unexpired accounts .....	118	113	113

3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-114	-153	-145
3041	Recoveries of prior year unpaid obligations, expired .....	-6		
3050	Unpaid obligations, end of year .....	188	148	116
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	189	188	148
3200	Obligated balance, end of year .....	188	148	116
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	115	115	
Outlays, gross:				
4010	Outlays from new discretionary authority .....		38	
4011	Outlays from discretionary balances .....	114	115	145
4020	Outlays, gross (total) .....	114	153	145
4180	Budget authority, net (total) .....	115	115	
4190	Outlays, net (total) .....	114	153	145

This appropriation funds some democracy promotion activities of the Department of State and the U.S. Agency for International Development. 2014 funding for these activities is requested in the Economic Support Fund and Development Assistance accounts.

THE ASIA FOUNDATION

For a grant to The Asia Foundation, as authorized by The Asia Foundation Act (22 U.S.C. 4402), \$17,000,000, to remain available until expended, as authorized.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 19-0525-0-1-154	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Program activities and operations .....	17	17	17
0900	Total new obligations (object class 41.0) .....	17	17	17
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	17	17	17
1160	Appropriation, discretionary (total) .....	17	17	17
1930	Total budgetary resources available .....	17	17	17
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7	8	
3010	Obligations incurred, unexpired accounts .....	17	17	17
3020	Outlays (gross) .....	-16	-25	-17
3050	Unpaid obligations, end of year .....	8		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	8	
3200	Obligated balance, end of year .....	8		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	17	17	17
Outlays, gross:				
4010	Outlays from new discretionary authority .....	9	17	17
4011	Outlays from discretionary balances .....	7	8	
4020	Outlays, gross (total) .....	16	25	17
4180	Budget authority, net (total) .....	17	17	17
4190	Outlays, net (total) .....	16	25	17

The Asia Foundation is a private, nonprofit organization incorporated and headquartered in California. The Asia Foundation operates programs through 17 offices in Asia to support democratic initiatives, governance and economic reform, rule of law,

THE ASIA FOUNDATION—Continued  
women's empowerment programs, and closer U.S.-Asian relations by providing grants to institutions in Asia.

#### NATIONAL ENDOWMENT FOR DEMOCRACY

For grants made by the Department of State to the National Endowment for Democracy, as authorized by the National Endowment for Democracy Act, \$103,450,000, to remain available until expended: Provided, That the President of the National Endowment for Democracy shall submit to the Committees on Appropriations not later than 45 days after the date of enactment of this Act a report on the proposed uses of funds under this heading on a regional and country basis.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 19–0210–0–1–154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Base program activities .....	118	118	103
0900 Total new obligations (object class 41.0) .....	118	118	103
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	118	118	103
1160 Appropriation, discretionary (total) .....	118	118	103
1930 Total budgetary resources available .....	118	118	103
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		79	61
3010 Obligations incurred, unexpired accounts .....	118	118	103
3020 Outlays (gross) .....	–123	–136	–132
3050 Unpaid obligations, end of year .....	79	61	32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	84	79	61
3200 Obligated balance, end of year .....	79	61	32
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	118	118	103
Outlays, gross:			
4010 Outlays from new discretionary authority .....	56	81	71
4011 Outlays from discretionary balances .....	67	55	61
4020 Outlays, gross (total) .....	123	136	132
4180 Budget authority, net (total) .....	118	118	103
4190 Outlays, net (total) .....	123	136	132

The National Endowment for Democracy (NED) is a private, nonprofit corporation established in Washington, D.C. to encourage and strengthen the development of democratic institutions and processes internationally. NED supports democratic initiatives in six regions of the world: Africa, Asia, Central and Eastern Europe, Latin America, the Middle East, and Eurasia. Working with civil society organizations, NED will continue efforts to strengthen democracy and tolerance in the Middle East through the Broader Middle East and North Africa Initiative.

The National Endowment for Democracy Act (Public Law 98–164), as amended, provides for an annual grant to the Endowment to fulfill the purposes of the Act. NED does not carry out programs directly but its Board approves annual grants to organizations such as the American Center for International Labor Solidarity, the Center for International Private Enterprise, the International Republican Institute, the National Democratic Institute for International Affairs, and indigenous organizations

working to promote civic education, human rights, independent media, and other democratic processes and values.

#### EAST-WEST CENTER

To enable the Secretary of State to provide for carrying out the provisions of the Center for Cultural and Technical Interchange Between East and West Act of 1960, by grant to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, \$10,800,000: Provided, That none of the funds appropriated herein shall be used to pay any salary, or enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 19–0202–0–1–154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Program activities and operations .....	17	17	11
0900 Total new obligations (object class 41.0) .....	17	17	11
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17	17	11
1160 Appropriation, discretionary (total) .....	17	17	11
1930 Total budgetary resources available .....	17	17	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	17	17	11
3020 Outlays (gross) .....	–17	–17	–11
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	17	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	16	17	11
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	17	17	11
4180 Budget authority, net (total) .....	17	17	11
4190 Outlays, net (total) .....	17	17	11

The Center for Cultural and Technical Interchange Between East and West (East-West Center) is a national educational institution administered by a public, nonprofit educational corporation. The East-West Center contributes to a peaceful, prosperous, and just Asia Pacific community by serving as a vigorous hub for cooperative research, education, and dialogue on critical issues of common concern to the Asia Pacific region and the United States.

#### INTERNATIONAL LITIGATION FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 19–5177–0–2–153	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0240 International Litigation Fund .....	2	1	1
0400 Total: Balances and collections .....	2	1	1

Appropriations:				
0500	International Litigation Fund .....	-2	-1	-1
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 19-5177-0-2-153	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Reimbursable program .....	11	5	5
0900	Total new obligations (object class 25.2) .....	11	5	5
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	22	16	16
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	2	1	1
1260	Appropriations, mandatory (total) .....	2	1	1
Spending authority from offsetting collections, discretionary:				
1700	Collected .....		1	1
1750	Spending auth from offsetting collections, disc (total) .....		1	1
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	4	3	3
1801	Change in uncollected payments, Federal sources .....	-1		
1850	Spending auth from offsetting collections, mand (total) .....	3	3	3
1900	Budget authority (total) .....	5	5	5
1930	Total budgetary resources available .....	27	21	21
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	16	16	16

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	9	8
3010	Obligations incurred, unexpired accounts .....	11	5	5
3020	Outlays (gross) .....	-7	-6	-6
3050	Unpaid obligations, end of year .....	9	8	7
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4	9	8
3200	Obligated balance, end of year .....	9	8	7

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....		1	1
Outlays, gross:				
4010	Outlays from new discretionary authority .....		1	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-1	-1
Mandatory:				
4090	Budget authority, gross .....	5	4	4
Outlays, gross:				
4100	Outlays from new mandatory authority .....	4	4	4
4101	Outlays from mandatory balances .....	3	1	1
4110	Outlays, gross (total) .....	7	5	5
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-4	-3	-3
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	1		
4160	Budget authority, net (mandatory) .....	2	1	1
4170	Outlays, net (mandatory) .....	3	2	2
4180	Budget authority, net (total) .....	2	1	1
4190	Outlays, net (total) .....	3	2	2

The International Litigation Fund (ILF) is authorized by section 38(d) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2710(d)) to pay for expenses incurred by the Department of State relative to preparing or prosecuting a proceeding before an international tribunal or a claim by or against a foreign government or other foreign entity. Monies otherwise available for such purposes are authorized to be deposited in ILF. Funds received by the Department from other U.S. Government agencies

or from private parties for these purposes are also deposited in ILF.

In addition, section 38(e) authorizes the Secretary to retain 1.5 percent of any amount between \$100,000 and \$5,000,000, and one percent of any amount over \$5,000,000, received per claim under chapter 34 of the Act of February 1896 (22 U.S.C. 2668a; 29 Stat. 32).

**Object Classification** (in millions of dollars)

Identification code 19-5177-0-2-153	2012 actual	2013 CR	2014 est.	
99.0	Reimbursable obligations .....	11	5	5

**INTERNATIONAL CENTER, WASHINGTON, D.C.**

Not to exceed \$1,806,600 shall be derived from fees collected from other executive agencies for lease or use of facilities at the International Center in accordance with section 4 of the International Center Act, and, in addition, as authorized by section 5 of such Act, \$5,970,150, to be derived from the reserve authorized by that section, to be used for the purposes set out in that section and for development, maintenance and security of additional properties for use as foreign missions by foreign governments or international organizations.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 19-5151-0-2-153	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....			
Receipts:				
0220	International Center, Washington, D.C., Sale and Rent of Real Property .....	1	1	6
0400	Total: Balances and collections .....	1	1	6
Appropriations:				
0500	International Center, Washington, D.C. ....	-1	-1	-6
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 19-5151-0-2-153	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....		2	6
0801	Reimbursable program .....	2	2	2
0900	Total new obligations .....	2	4	8
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	3	2
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	1	1	6
1160	Appropriation, discretionary (total) .....	1	1	6
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	2	2
1750	Spending auth from offsetting collections, disc (total) .....	2	2	2
1900	Budget authority (total) .....	3	3	8
1930	Total budgetary resources available .....	5	6	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	2	2

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010	Obligations incurred, unexpired accounts .....	2	4	8
3020	Outlays (gross) .....	-2	-4	-9
3050	Unpaid obligations, end of year .....	2	2	1

INTERNATIONAL CENTER, WASHINGTON, D.C.—Continued  
Program and Financing—Continued

Identification code 19–5151–0–2–153	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	3	8
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....	2	4	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-2	-2
4180 Budget authority, net (total) .....	1	1	6
4190 Outlays, net (total) .....		2	7

These funds provide for the development, lease, or exchange of property owned by the United States at the International Center located in Washington, D.C. to foreign governments or international organizations. Funds also provide for operation of the Federal facility located at the International Center, for maintenance and security of those public improvements that have not been conveyed to a government or international organization, and for surveys and plans related to development of additional areas within the Nation's Capital for chancery and diplomatic purposes. This language was previously included under the heading for Diplomatic and Consular Programs.

Object Classification (in millions of dollars)

Identification code 19–5151–0–2–153	2012 actual	2013 CR	2014 est.
32.0 Direct obligations: Land and structures .....		2	6
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	2	4	8

FISHERMEN'S PROTECTIVE FUND

Program and Financing (in millions of dollars)

Identification code 19–5116–0–2–376	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

The Fishermen's Protective Fund provides for reimbursement to owners of vessels for amounts of fines, fees, and other direct charges that were paid by owners to a foreign country to secure the release of their vessels and crews and for other specified charges. No new budget authority is requested in 2014.

FISHERMEN'S GUARANTY FUND

Program and Financing (in millions of dollars)

Identification code 19–5121–0–2–376	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1930 Total budgetary resources available .....	3	3	3

1941	Memorandum (non-add) entries:	3	3	3
	Unexpired unobligated balance, end of year .....			

This fund provides for payment to vessel owners to compensate for certain financial losses sustained as a result of foreign seizures of American fishing vessels on the basis of claims to jurisdiction not recognized by the United States. No new budget authority is requested for 2014.

Trust Funds

EISENHOWER EXCHANGE FELLOWSHIP PROGRAM

*For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204–5205), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, 2014, to remain available until expended: Provided, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376; or for purposes which are not in accordance with OMB Circulars A-110 (Uniform Administrative Requirements) and A-122 (Cost Principles for Non-profit Organizations), including the restrictions on compensation for personal services.*

ISRAELI ARAB SCHOLARSHIP PROGRAM

*For necessary expenses of the Israeli Arab Scholarship Program, as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, 2014, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8276–0–7–154	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	12	7	6
Adjustments:			
0190 Adjustment- legal opinion that all 19X 8271 receipt balances are available for obligation .....	-4		
0199 Balance, start of year .....	8	7	6
Appropriations:			
0500 Israeli Arab and Eisenhower Exchange Fellowship Programs .....	-1	-1	
0799 Balance, end of year .....	7	6	6

Program and Financing (in millions of dollars)

Identification code 95–8276–0–7–154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		2	
0900 Total new obligations (object class 41.0) .....		2	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		5	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	4	-4	
1050 Unobligated balance (total) .....	4	1	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	1	1	
1160 Appropriation, discretionary (total) .....	1	1	
1930 Total budgetary resources available .....	5	2	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		1

3010	Obligations incurred, unexpired accounts .....	2	2	
3020	Outlays (gross) .....	-1	-1	
3050	Unpaid obligations, end of year .....	1	1	
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	1	
3200	Obligated balance, end of year .....	1	1	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1	1	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	1	
4011	Outlays from discretionary balances .....	1	1	
4020	Outlays, gross (total) .....	1	1	
4180	Budget authority, net (total) .....	1	1	
4190	Outlays, net (total) .....	1	1	
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	12	12	11
5001	Total investments, EOY: Federal securities: Par value .....	12	11	11

This presentation includes interest and earnings from the Eisenhower Exchange Fellowship Trust Fund and the Israeli Arab Scholarship Trust Fund.

The Eisenhower Exchange Fellowship Trust Fund (EEF Trust Fund) was created in 1992 with an appropriation of \$5,000,000. In 1995, an additional payment of \$2,500,000 was made to the EEF Trust Fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli Arab Scholarship Trust Fund was created in 1992 with an appropriation of \$4,978,500 to provide scholarships for Israeli Arabs to attend institutions of higher learning in the United States.

**CENTER FOR MIDDLE EASTERN-WESTERN DIALOGUE TRUST FUND**

For necessary expenses of the Center for Middle Eastern-Western Dialogue Trust Fund (Hollings Center), as authorized by section 633 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2004 (22 U.S.C. 2078), the total amount of the interest and earnings accruing to such Fund on or before September 30, 2014, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 19-8813-0-7-153	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	15		
Adjustments:			
0190 Adjustment- legal opinion that all receipt balances are available for obligation .....	-15		
0199 Balance, start of year .....			
0799 Balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 19-8813-0-7-153	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	17	17
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	15		
1050 Unobligated balance (total) .....	17	17	17
1930 Total budgetary resources available .....	17	17	17

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	17	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3020 Outlays (gross) .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		
4190 Outlays, net (total) .....	1		
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	16	16	16
5001 Total investments, EOY: Federal securities: Par value .....	16	16	16

This account provides funding for the International Center for Middle Eastern-Western Dialogue (Hollings Center) in Istanbul, Turkey. Appropriated funds have been deposited in the International Center for Middle Eastern-Western Dialogue Trust Fund (Trust Fund). Funding authority is also provided to enable the Hollings Center to use interest and earnings accruing to the Trust Fund on an annual basis for operations.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Governmental receipts:</b>			
20-08000 Immigration, Passport, and Consular Fees .....	688	700	711
General Fund Governmental receipts .....	688	700	711
<b>Offsetting receipts from the public:</b>			
19-277630 Repatriation Loans, Downward Reestimate of Subsidies .....	11	1	
19-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	5	5	5
General Fund Offsetting receipts from the public .....	16	6	5
<b>Intragovernmental payments:</b>			
19-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-147	33	33
General Fund Intragovernmental payments .....	-147	33	33

**MILLENNIUM CHALLENGE CORPORATION**

**Federal Funds**

MILLENNIUM CHALLENGE CORPORATION

For necessary expenses to carry out the provisions of the Millennium Challenge Act of 2003, \$898,200,000 to remain available until expended: Provided, That of the funds appropriated under this heading, up to \$105,000,000 may be available for administrative expenses of the Millennium Challenge Corporation (the Corporation): Provided further, That up to 10 percent of the funds appropriated under this heading may be made available to carry out the purposes of section 616 of the Millennium Challenge Act of 2003 for this fiscal year: Provided further, That section 605(e)(4) of the Millennium Challenge Act of 2003 shall apply to funds appropriated under this heading: Provided further, That funds appropriated under this heading may be made available for a Millennium Challenge Compact entered into pursuant to section 609 of the Millennium Challenge Act of 2003 only if such Compact obligates, or contains a commitment to obligate subject to the availability of funds and the mutual agreement of the parties to the Compact to proceed, the entire amount of the United States Government funding anticipated for the duration of the Compact: Provided further, That the Chief Executive Officer of the Corporation should notify the Committees on Appropriations not later than 15 days prior to signing any new country compact or new threshold country program; terminating or suspending any country compact or threshold

## MILLENNIUM CHALLENGE CORPORATION—Continued

country program; or commencing negotiations for any new compact or threshold country program. Provided further, That any funds that are deobligated from a Millennium Challenge Compact shall be subject to the regular notification procedures of the Committees on Appropriations prior to re-obligation: Provided further, That of the funds appropriated under this heading, not to exceed \$100,000 may be available for representation and entertainment allowances, of which not to exceed \$5,000 may be available for entertainment allowances.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 95–2750–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Country Programs Assistance (Compacts) .....	242	684	676
0002 Threshold Programs .....		15	20
0003 Monitoring and Evaluation (Due Diligence) .....	52	72	72
0004 609(g) Compact Assistance .....	11	23	20
0005 Administrative Expenses .....	102	105	105
0006 USAID Inspector General .....	5	5	5
0900 Total new obligations .....	412	904	898
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,265	1,761	1,761
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	–1		
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	1,275	1,761	1,761
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	898	904	898
1160 Appropriation, discretionary (total) .....	898	904	898
1930 Total budgetary resources available .....	2,173	2,665	2,659
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,761	1,761	1,761
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,609	3,560	2,638
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	412	904	898
3020 Outlays (gross) .....	–1,451	–1,826	–723
3040 Recoveries of prior year unpaid obligations, unexpired .....	–11		
3050 Unpaid obligations, end of year .....	3,560	2,638	2,813
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,610	3,560	2,638
3200 Obligated balance, end of year .....	3,560	2,638	2,813
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	898	904	898
Outlays, gross:			
4010 Outlays from new discretionary authority .....	100	113	113
4011 Outlays from discretionary balances .....	1,351	1,713	610
4020 Outlays, gross (total) .....	1,451	1,826	723
4180 Budget authority, net (total) .....	898	904	898
4190 Outlays, net (total) .....	1,451	1,826	723

Established by the Millennium Challenge Act of 2003, the Millennium Challenge Corporation (MCC) has the statutory goal of providing assistance to the poorest countries in the world to promote economic growth, eliminate extreme poverty, and strengthen good governance, economic freedom, and investments in people. Since its inception, MCC has signed compacts with 25 countries totaling over \$9.1 billion. These investments help foster stability through economic growth and poverty reduction in partner countries. MCC encourages policy reforms by working with only those countries that have created the conditions for growth by ruling justly, investing in their people, and committing

to economic freedom, with a particular emphasis on fighting corruption and maintaining democratic rights. Countries develop their poverty reduction proposals in broad consultation with their own civil society and MCC. MCC compacts specifically define the implementation responsibilities of partner countries, including financial accountability and transparent and fair procurement practices, and require measurable results to ensure that MCC assistance is used responsibly and effectively.

## Object Classification (in millions of dollars)

Identification code 95–2750–0–1–151	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	25	27	27
11.3 Other than full-time permanent .....	11	11	11
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	3	4	4
11.9 Total personnel compensation .....	40	43	43
12.1 Civilian personnel benefits .....	11	11	11
21.0 Travel and transportation of persons .....	5	5	5
23.2 Rental payments to others .....	9	7	7
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	5	6	6
25.1 Due Diligence .....	52	72	72
25.2 Other services from non-Federal sources .....	7	8	8
25.3 Other goods and services from Federal sources .....	23	24	24
25.7 Operation and maintenance of equipment .....	4	4	4
31.0 Equipment .....	1		
41.0 Country Program Assistance (Compacts) .....	242	684	676
41.0 609(g) Compact Assistance .....	11	23	20
41.0 Threshold Programs .....		15	20
99.0 Direct obligations .....	411	903	897
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	412	904	898

## Employment Summary

Identification code 95–2750–0–1–151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	289	304	308

## INTERNATIONAL SECURITY ASSISTANCE

## Federal Funds

## ECONOMIC SUPPORT FUND

## (INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the provisions of chapter 4 of part II of the Foreign Assistance Act of 1961, \$4,076,054,000, to remain available until September 30, 2015: Provided, That funds appropriated under this heading may be made available, notwithstanding any other provision of law, for assistance and related programs for the countries identified in section 3(c) of the Support for East European Democracy (SEED) Act of 1989 (P.L. 101–179) and section 3 of the FREEDOM Support Act (P.L. 102–511) and may be used to carry out the provisions of those Acts: Provided further, That funds appropriated under this heading, or under the "Assistance for Europe, Eurasia and Central Asia" heading in prior year Acts, may be made available as a contribution to memorialize sites of genocide, subject to the regular notification procedures of the Committees on Appropriations: Provided further, That up to \$300,000,000 of the funds appropriated for "Bilateral Economic Assistance—Funds Appropriated to the President—Economic Support Fund" in this and prior acts making appropriations for the Department of State, Foreign Operations, and Related Programs, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, may be transferred to, and merged with, funds available under the heading "Department of the Treasury—Debt Restructuring" in title III of prior acts making appropriations for the Department of State, foreign operations, and related programs for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying loans and loan

guarantees, as the President may determine, or for the cost of selling, reducing, or canceling amounts owed to the United States as a result of loans made to Sudan: Provided further, That such funds may be made available only if the Secretary of State determines and reports to the Committees on Appropriations that Sudan is implementing the agreement reached by the Governments of Sudan and South Sudan under the Comprehensive Peace Agreement, including a political resolution of the conflict in Southern Kordofan and Blue Nile, and other legislative requirements related to Heavily Indebted Poor Countries debt relief, including determinations on human rights and state sponsorship of terrorism.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 72–1037–0–1–152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	5,639	7,000	5,700
0881 Reimbursable program activity .....	90		
0900 Total new obligations .....	5,729	7,000	5,700
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,841	5,221	3,895
1010 Unobligated balance transfer to other accts [11–0072] .....	–6		
1010 Unobligated balance transfer to other accts [11–0073] .....	–5		
1010 Unobligated balance transfer to other accts [13–0120] .....	–1		
1010 Unobligated balance transfer to other accts [69–0142] .....	–1		
1010 Unobligated balance transfer to other accts [19–0209] .....	–26		
1010 Unobligated balance transfer to other accts [72–0409] .....	–30		
1010 Unobligated balance transfer to other accts [72–1000] .....	–4		
1010 Unobligated balance transfer to other accts [11–1001] .....	–4		
1010 Unobligated balance transfer to other accts [11–1045] .....	–1		
1010 Unobligated balance transfer to other accts [13–1250] .....	–8		
1010 Unobligated balance transfer to other accts [72–1264] .....	–2		
1010 Unobligated balance transfer to other accts [16–1301] .....	–5		
1010 Unobligated balance transfer to other accts [12–2900] .....	–14		
1010 Unobligated balance transfer to other accts [71–4184] .....	–1		
1010 Unobligated balance transfer to other accts [69–10165] .....	–3		
1011 Unobligated balance transfer from other accts [19–1022] .....	15		
1011 Unobligated balance transfer from other accts [11–1075] .....	12		
1011 Unobligated balance transfer from other accts [21–2096] .....	101		
1012 Unobligated balance transfers between expired and unexpired accounts .....	11		
1021 Recoveries of prior year unpaid obligations .....	150		
1050 Unobligated balance (total) .....	5,019	5,221	3,895
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,002	5,781	4,076
1100 Appropriation-OCO .....	2,761		
1120 Appropriations transferred to other accts [11–0077] .....	–30		
1120 Appropriations transferred to other accts [11–0071] .....	–25		
1120 Appropriations transferred to other accts [11–0080] .....	–45		
1120 Appropriations transferred to other accts [19–0209] .....	–30		
1120 Appropriations transferred to other accts [19–1143] .....	–7	–7	
1121 Appropriations transferred from other accts [19–1022] .....	270		
1121 Appropriations transferred from other accts [11–1083] .....	50		
1131 Unobligated balance of appropriations permanently reduced .....	–100	–100	
1160 Appropriation, discretionary (total) .....	5,846	5,674	4,076
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	90		
1750 Spending auth from offsetting collections, disc (total) .....	90		
1900 Budget authority (total) .....	5,936	5,674	4,076
1930 Total budgetary resources available .....	10,955	10,895	7,971
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–5		
1941 Unexpired unobligated balance, end of year .....	5,221	3,895	2,271

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,508	9,787	11,059
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	36		
3010 Obligations incurred, unexpired accounts .....	5,729	7,000	5,700
3011 Obligations incurred, expired accounts .....	22		
3020 Outlays (gross) .....	–5,337	–5,728	–6,262
3040 Recoveries of prior year unpaid obligations, unexpired .....	–150		

3041 Recoveries of prior year unpaid obligations, expired .....	–21		
3050 Unpaid obligations, end of year .....	9,787	11,059	10,497
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9,544	9,787	11,059
3200 Obligated balance, end of year .....	9,787	11,059	10,497
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,936	5,674	4,076
Outlays, gross:			
4010 Outlays from new discretionary authority .....	235	668	489
4011 Outlays from discretionary balances .....	5,102	5,060	5,773
4020 Outlays, gross (total) .....	5,337	5,728	6,262
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–95		
4033 Non-Federal sources .....	–1		
4040 Offsets against gross budget authority and outlays (total) .....	–96		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	6		
4070 Budget authority, net (discretionary) .....	5,846	5,674	4,076
4080 Outlays, net (discretionary) .....	5,241	5,728	6,262
4180 Budget authority, net (total) .....	5,846	5,674	4,076
4190 Outlays, net (total) .....	5,241	5,728	6,262

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	5,846	5,674	4,076
Outlays .....	5,241	5,728	6,262
Overseas contingency operations:			
Budget Authority .....			1,382
Outlays .....			278
Total:			
Budget Authority .....	5,846	5,674	5,458
Outlays .....	5,241	5,728	6,540

This account supports U.S. foreign policy objectives by providing economic assistance to allies and countries in transition to democracy, supporting Middle East peace efforts, increasing stability in conflict/post-conflict environments, and financing economic stabilization programs, frequently in a multi-donor context. Key objectives include:

1) Supporting strategically significant friends and allies through assistance designed to increase the role of the private sector in the economy, reduce government controls over markets, enhance job creation, and improve economic growth.

2) Developing and strengthening institutions necessary for sustainable democracy. Typical areas of assistance include technical assistance to administer and monitor elections, capacity-building for non-governmental organizations, judicial training, and women's participation in politics. Assistance is also provided to support the transformation of the public sector to encourage democratic development, including training to improve public administration; to promote decentralization; and to strengthen local governments, parliaments, independent media and non-governmental organizations.

3) Strengthening the capacity of partner governments to manage the human dimension of transitions out of instability and to help sustain the neediest sectors of the population during the transition period.

This account also includes funding for alternative development programs.

**AEECA Normalization:** In order to support the Administration's highest priorities globally in a constrained budget environment, and in recognition of the achievement of a number of assistance goals in the Europe, Eurasia, and Central Asia region over time, the 2014 Budget normalizes foreign assistance resources for the countries of this region. Appropriations for programs formerly funded through the Assistance for Europe, Eurasia and Central

ECONOMIC SUPPORT FUND—Continued

Asia account (AEECA) are now being requested in the Economic Support Fund, International Narcotics Control and Law Enforcement, and Global Health Programs accounts.

Object Classification (in millions of dollars)

Identification code 72-1037-0-1-152	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.3 Other than full-time permanent .....	13	16	14
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	14	17	15
12.1 Civilian personnel benefits .....	3	4	3
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	28	32	28
25.2 Other services from non-Federal sources .....	40	46	40
25.3 Other goods and services from Federal sources .....	7	9	7
25.5 Research and development contracts .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	5,538	6,883	5,598
99.0 Direct obligations .....	5,639	7,000	5,700
99.0 Reimbursable obligations .....	90		
99.9 Total new obligations .....	5,729	7,000	5,700

Employment Summary

Identification code 72-1037-0-1-152	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	17	17	17

ECONOMIC SUPPORT FUND

(Overseas contingency operations)

For an additional amount for "Economic Support Fund", \$1,382,200,000, to remain available until September 30, 2015: Provided, That such amount is designated by the Congress for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 72-1037-8-1-152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			160
0900 Total new obligations (object class 41.0) .....			160
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation-OCO .....			1,382
1160 Appropriation, discretionary (total) .....			1,382
1930 Total budgetary resources available .....			1,382
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			1,222
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			160
3020 Outlays (gross) .....			-278
3050 Unpaid obligations, end of year .....			-118
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			-118

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....			1,382
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			278
4180 Budget authority, net (total) .....			1,382
4190 Outlays, net (total) .....			278

The Economic Support Fund (ESF) Overseas Contingency Operations (OCO) account includes the extraordinary costs of our involvement in Afghanistan and Pakistan.

OCO funds will be used in Afghanistan for limited-term priorities linked to remaining stabilization needs, as well as to support the foundational investments in critical sectors and capacity building that are essential to economic stability and the long-term sustainability of the transition of security and governance to the Government of Afghanistan.

Non-Overseas Contingency Operations (OCO) funding for Afghanistan in the ESF account supports core development programs that must endure to secure Afghanistan's medium- and long-term development and strengthen its resiliency to the economic, security, and governance challenges associated with transition.

For Pakistan, OCO assistance will support development in areas of conflict and instability in order to help make these areas less hospitable to insurgents, while enabling the civilian government and Pakistani law enforcement to operate more effectively.

Non-OCO resources for Pakistan will support development assistance programs that will further the foundation for a stable economic and a strong democratic government that is responsive to its people.

CENTRAL AMERICA AND CARIBBEAN EMERGENCY DISASTER RECOVERY FUND

Program and Financing (in millions of dollars)

Identification code 72-1096-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	1	4	
0900 Total new obligations (object class 41.0) .....	1	4	
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	4	4	
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	1		
1930 Total budgetary resources available .....	5	4	
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	3
3010 Obligations incurred, unexpired accounts .....	1	4	
3020 Outlays (gross) .....		-2	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	1	3	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	3
3200 Obligated balance, end of year .....	1	3	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1		
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....		2	2

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-1	
4190	Outlays, net (total) .....	-1	2 2

**FOREIGN MILITARY FINANCING PROGRAM**

For necessary expenses for grants to enable the President to carry out the provisions of section 23 of the Arms Export Control Act, \$5,445,959,000: Provided, That to expedite the provision of assistance to foreign countries and international organizations, the Secretary of State may use the funds appropriated under this heading to procure defense articles and services to enhance the capacity of foreign security forces: Provided further, That the funds appropriated under this heading for assistance for Israel may be disbursed within 30 days of enactment of this Act or by October 31, 2013, whichever is later: Provided further, That funds appropriated under this heading estimated to be outlaid for Egypt during fiscal year 2014 may be transferred to an interest bearing account for Egypt in the Federal Reserve Bank of New York: Provided further, That funds appropriated or otherwise made available under this heading shall be nonrepayable notwithstanding any requirement in section 23 of the Arms Export Control Act: Provided further, That funds made available under this heading shall be obligated upon apportionment in accordance with paragraph (5)(C) of title 31, United States Code, section 1501(a).

None of the funds made available under this heading shall be available to finance the procurement of defense articles, defense services, or design and construction services that are not sold by the United States Government under the Arms Export Control Act unless the foreign country proposing to make such procurement has first signed an agreement with the United States Government specifying the conditions under which such procurement may be financed with such funds: Provided, That funds made available under this heading may be used, notwithstanding any other provision of law, for demining, the clearance of unexploded ordnance, and related activities, and may include activities implemented through nongovernmental and international organizations: Provided further, That only those countries for which assistance was justified for the "Foreign Military Sales Financing Program" in the fiscal year 1989 congressional presentation for security assistance programs may utilize funds made available under this heading for procurement of defense articles, defense services or design and construction services that are not sold by the United States Government under the Arms Export Control Act: Provided further, That funds appropriated under this heading shall be expended at the minimum rate necessary to make timely payment for defense articles and services: Provided further, That not more than \$60,000,000 of the funds appropriated under this heading may be obligated for necessary expenses, including the purchase of passenger motor vehicles for replacement only for use outside of the United States, for the general costs of administering military assistance and sales, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations: Provided further, That of the funds appropriated under this heading for general costs of administering military assistance and sales, not to exceed \$4,000 may be available for entertainment expenses and not to exceed \$130,000 may be available for representation allowances: Provided further, That not more than \$885,000,000 of funds realized pursuant to section 21(e)(1)(A) of the Arms Export Control Act may be obligated for expenses incurred by the Department of Defense during fiscal year 2014 pursuant to section 43(b) of the Arms Export Control Act, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11–1082–0–1–152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Country grants .....	6,250	6,281	5,386
0009 Administrative Expenses .....	62	63	60

0192	Total Direct Obligations .....	6,312	6,344	5,446
0900	Total new obligations (object class 41.0) .....	6,312	6,344	5,446

**Budgetary Resources:**

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	6,312	6,344	5,446
1160	Appropriation, discretionary (total) .....	6,312	6,344	5,446
1930	Total budgetary resources available .....	6,312	6,344	5,446

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,512	3,684	3,342
3010	Obligations incurred, unexpired accounts .....	6,312	6,344	5,446
3020	Outlays (gross) .....	-5,140	-6,686	-5,748
3030	Unpaid obligations transferred from expired accounts to unexpired accounts [11–1082] .....	-1,743		
3031	Unpaid obligations transferred to unexpired accounts from expired accounts [11–1082] .....	1,743		
3050	Unpaid obligations, end of year .....	3,684	3,342	3,040
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,512	3,684	3,342
3200	Obligated balance, end of year .....	3,684	3,342	3,040

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	6,312	6,344	5,446
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4,435	5,252	4,455
4011	Outlays from discretionary balances .....	705	1,434	1,293
4020	Outlays, gross (total) .....	5,140	6,686	5,748
4180	Budget authority, net (total) .....	6,312	6,344	5,446
4190	Outlays, net (total) .....	5,140	6,686	5,748

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	6,312	6,344	5,446
Outlays .....	5,140	6,686	5,748
Overseas contingency operations:			
Budget Authority .....			511
Outlays .....			385
Total:			
Budget Authority .....	6,312	6,344	5,957
Outlays .....	5,140	6,686	6,133

The Foreign Military Financing (FMF) program enables selected friendly and allied countries to improve their ability to defend themselves by financing their acquisition of U.S. military articles, services, and training. This account provides the grant financing portion of the FMF program. Credit financing, in the form of direct loans, is provided in the FMF loan program account.

**FOREIGN MILITARY FINANCING PROGRAM**

(Overseas contingency operations)

For an additional amount for "Foreign Military Financing Program", \$511,000,000, to remain available until September 30, 2015: Provided, That such amount is designated by the Congress for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11–1082–8–1–152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Country grants .....			500

FOREIGN MILITARY FINANCING PROGRAM—Continued  
Program and Financing—Continued

Identification code 11–1082–8–1–152	2012 actual	2013 CR	2014 est.
0009 Administrative Expenses .....			11
0192 Total Direct Obligations .....			511
0900 Total new obligations (object class 41.0) .....			511
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			511
1160 Appropriation, discretionary (total) .....			511
1930 Total budgetary resources available .....			511
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			511
3020 Outlays (gross) .....			–385
3050 Unpaid obligations, end of year .....			126
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			126
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			511
Outlays, gross:			
4010 Outlays from new discretionary authority .....			385
4180 Budget authority, net (total) .....			511
4190 Outlays, net (total) .....			385

The request includes \$511 million of Foreign Military Financing as part of the Overseas Operations Contingency budget for the temporary and extraordinary costs of the Iraq program. \$500 million in funding will support the continued development and professionalization of the Iraqi military, which is critical to Iraq's full assumption of security responsibilities. This funding, in synchronization with Iraqi investments in key defense articles, will target programs that build lasting logistics, sustainment, training, and education capabilities. \$11 million will support the operations of the Office of Security Cooperation-Iraq so that security assistance can be properly delivered to the Iraqi military while adequately protecting and providing life support for U.S. personnel operating in Iraq.

PAKISTAN COUNTERINSURGENCY CAPABILITY FUND

Program and Financing (in millions of dollars)

Identification code 11–1083–0–1–152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		752	755
0900 Total new obligations (object class 41.0) .....		752	755
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		777	875
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation-OCO .....	850	850	
1120 Appropriations transferred to other accts [72–1037] .....	–50		
1120 Appropriations transferred to other accts [11–1041] .....	–23		
1160 Appropriation, discretionary (total) .....	777	850	
1930 Total budgetary resources available .....	777	1,627	875
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	777	875	120
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	49	49	231
3010 Obligations incurred, unexpired accounts .....		752	755

3020 Outlays (gross) .....		–570	–662
3050 Unpaid obligations, end of year .....	49	231	324
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	49	49	231
3200 Obligated balance, end of year .....	49	231	324
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	777	850	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		85	
4011 Outlays from discretionary balances .....		485	662
4020 Outlays, gross (total) .....		570	662
4180 Budget authority, net (total) .....	777	850	
4190 Outlays, net (total) .....		570	662

The Administration is not requesting funding in 2014 for the Pakistan Counterinsurgency Capability Fund (PCCF). The Fund was designed to build the counterinsurgency capabilities of Pakistan's security forces engaged in operations against militant extremists in the Federally Administered Tribal Areas (FATA) and Khyber-Pakhtunkhwa. While the counterinsurgency purpose underlying the PCCF account and the maintenance of close U.S. Pakistani military ties remain important Administration priorities, these needs will be met through other accounts, including Foreign Military Financing (FMF) and International Military Education and Training (IMET).

INTERNATIONAL MILITARY EDUCATION AND TRAINING

For necessary expenses to carry out the provisions of section 541 of the Foreign Assistance Act of 1961, \$105,573,000, of which up to \$4,000,000 may remain available until expended: Provided, That the civilian personnel for whom military education and training may be provided under this heading may include civilians who are not members of a government whose participation would contribute to improved civil-military relations, civilian control of the military, or respect for human rights: Provided further, That of the funds appropriated under this heading, not to exceed \$55,000 may be available for entertainment allowances.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11–1081–0–1–152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	104	108	108
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	9	9
1012 Unobligated balance transfers between expired and unexpired accounts .....		2	4
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	8	11	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	106	106	106
1160 Appropriation, discretionary (total) .....	106	106	106
1930 Total budgetary resources available .....	114	117	119
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	9	9	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	94	90	93
3010 Obligations incurred, unexpired accounts .....	104	108	108
3011 Obligations incurred, expired accounts .....	19		
3020 Outlays (gross) .....	–94	–105	–112
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		

3041	Recoveries of prior year unpaid obligations, expired .....	-32		
3050	Unpaid obligations, end of year .....	90	93	89
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	94	90	93
3200	Obligated balance, end of year .....	90	93	89
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	106	106	106
Outlays, gross:				
4010	Outlays from new discretionary authority .....	43	42	42
4011	Outlays from discretionary balances .....	51	63	70
4020	Outlays, gross (total) .....	94	105	112
4180	Budget authority, net (total) .....	106	106	106
4190	Outlays, net (total) .....	94	105	112

This assistance provides grants for foreign military and civilian personnel to attend military education and training provided by the United States Government either at U.S. military schools or by trainers in country. In addition to helping these countries professionalize their militaries, this program also exposes foreign students to American democratic values, particularly respect for civilian control of the military and for internationally recognized standards of individual and human rights.

**Object Classification** (in millions of dollars)

Identification code 11-1081-0-1-152	2012 actual	2013 CR	2014 est.	
Direct obligations:				
26.0	Supplies and materials .....	8	8	8
41.0	Grants, subsidies, and contributions .....	96	100	100
99.9	Total new obligations .....	104	108	108

**PEACEKEEPING OPERATIONS**

For necessary expenses to carry out the provisions of section 551 of the Foreign Assistance Act of 1961, \$347,000,000: Provided, That funds appropriated under this heading may be used, notwithstanding section 660 of such Act, to provide assistance to enhance the capacity of foreign civilian security forces, including gendarmes, to participate in peacekeeping operations: Provided further, That funds transferred to, or funds appropriated under this heading in this Act, may be used to pay assessed expenses of international peacekeeping activities in Somalia.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 72-1032-0-1-152	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	435	386	347
0801	Reimbursable program activity .....	8		
0900	Total new obligations .....	443	386	347
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	18	54	54
1012	Unobligated balance transfers between expired and unexpired accounts .....	27		
1050	Unobligated balance (total) .....	45	54	54
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	302	386	347
1100	Appropriation - OCO .....	81		
1121	Appropriations transferred from other accts [19-1022] .....	45		
1160	Appropriation, discretionary (total) .....	428	386	347
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	32		

1750	Spending auth from offsetting collections, disc (total) .....	32		
1900	Budget authority (total) .....	460	386	347
1930	Total budgetary resources available .....	505	440	401
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-8		
1941	Unexpired unobligated balance, end of year .....	54	54	54

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	428	377	207
3010	Obligations incurred, unexpired accounts .....	443	386	347
3011	Obligations incurred, expired accounts .....	14		
3020	Outlays (gross) .....	-487	-556	-463
3041	Recoveries of prior year unpaid obligations, expired .....	-21		
3050	Unpaid obligations, end of year .....	377	207	91
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	428	377	207
3200	Obligated balance, end of year .....	377	207	91

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	460	386	347
Outlays, gross:				
4010	Outlays from new discretionary authority .....	173	267	239
4011	Outlays from discretionary balances .....	314	289	224
4020	Outlays, gross (total) .....	487	556	463
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-51		
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) .....	-52		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	20		
4070	Budget authority, net (discretionary) .....	428	386	347
4080	Outlays, net (discretionary) .....	435	556	463
4180	Budget authority, net (total) .....	428	386	347
4190	Outlays, net (total) .....	435	556	463

This account funds U.S. assistance to international efforts to monitor and maintain the peace in areas of special concern to the United States, and provides funds to other related programs carried out in furtherance of the national security interests of the United States. In 2014, support is planned for programs in Africa, the Multinational Force and Observers Mission in the Sinai, the Global Peace Operations Initiative, and other activities.

**Object Classification** (in millions of dollars)

Identification code 72-1032-0-1-152	2012 actual	2013 CR	2014 est.	
41.0	Direct obligations: Grants, subsidies, and contributions .....	435	386	347
99.0	Reimbursable obligations .....	8		
99.9	Total new obligations .....	443	386	347

**NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED PROGRAMS**

For necessary expenses for nonproliferation, anti-terrorism, demining and related programs and activities, \$616,125,000, to carry out the provisions of chapter 8 of part II of the Foreign Assistance Act of 1961 for anti-terrorism assistance, chapter 9 of part II of the Foreign Assistance Act of 1961, section 504 of the FREEDOM Support Act, section 23 of the Arms Export Control Act or the Foreign Assistance Act of 1961 for demining activities, the clearance of unexploded ordnance, the destruction of small arms, and related activities, notwithstanding any other provision of law, including activities implemented through nongovernmental and international organizations, and section 301 of the Foreign Assistance Act of 1961 for a voluntary contribution to the International Atomic Energy Agency (IAEA), and for a United States contribution to the Comprehensive Nuclear Test Ban Treaty Preparatory Commission: Provided, That funds made available for the Nonproliferation and Disarmament Fund shall remain available until expended, notwithstanding any other provision of law, to promote bilateral and multilateral activities relating to nonproliferation, disarmament and weapons destruction: Provided further, That such funds may also be used for such countries other than the Independent

NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED  
PROGRAMS—Continued

States of the former Soviet Union and international organizations when it is in the national security interest of the United States to do so: Provided further, That funds appropriated under this heading may be made available for public-private partnerships for conventional weapons and mine action by grant, cooperative agreement or contract: Provided further, That funds made available for demining, conventional weapons destruction, and related activities, in addition to funds otherwise available for such purposes, may be used for administrative expenses related to the operation and management of demining, conventional weapons destruction, and related programs: Provided further, That funds appropriated under this heading that are available for "Anti-terrorism Assistance" and "Export Control and Border Security" shall remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 11–1075–0–1–152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	743	685	645
0801 Reimbursable program .....	28		
0900 Total new obligations .....	771	685	645
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	497	463	493
1010 Unobligated balance transfer to other accts [72–1037] .....	–12		
1012 Unobligated balance transfers between expired and unexpired accounts .....	4		
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	500	463	493
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	711	715	616
1160 Appropriation, discretionary (total) .....	711	715	616
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	28		
1750 Spending auth from offsetting collections, disc (total) .....	28		
1900 Budget authority (total) .....	739	715	616
1930 Total budgetary resources available .....	1,239	1,178	1,109
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–5		
1941 Unexpired unobligated balance, end of year .....	463	493	464
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	576	640	596
3010 Obligations incurred, unexpired accounts .....	771	685	645
3011 Obligations incurred, expired accounts .....	9		
3020 Outlays (gross) .....	–687	–729	–839
3040 Recoveries of prior year unpaid obligations, unexpired .....	–11		
3041 Recoveries of prior year unpaid obligations, expired .....	–18		
3050 Unpaid obligations, end of year .....	640	596	402
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	575	640	596
3200 Obligated balance, end of year .....	640	596	402
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	739	715	616
Outlays, gross:			
4010 Outlays from new discretionary authority .....	270	286	246
4011 Outlays from discretionary balances .....	417	443	593
4020 Outlays, gross (total) .....	687	729	839
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–32		

Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	4	
4070	Budget authority, net (discretionary) .....	711	715
4080	Outlays, net (discretionary) .....	655	729
4180	Budget authority, net (total) .....	711	715
4190	Outlays, net (total) .....	655	729

This account funds contributions to certain organizations supporting nonproliferation, and provides assistance for nonproliferation, demining, anti-terrorism, export control assistance, and other related activities.

## Object Classification (in millions of dollars)

Identification code 11–1075–0–1–152	2012 actual	2013 CR	2014 est.
Direct obligations:			
21.0	Travel and transportation of persons .....	24	10
25.2	Other services from non-Federal sources .....	415	424
31.0	Equipment .....	54	44
41.0	Grants, subsidies, and contributions .....	250	207
99.0	Direct obligations .....	743	685
99.0	Reimbursable obligations .....	28	
99.9	Total new obligations .....	771	685

## GLOBAL SECURITY CONTINGENCY FUND

For necessary expenses of the Global Security Contingency Fund, \$25,000,000, to remain available until expended, notwithstanding any other provision of law: Provided, That notwithstanding any other provision of law, not to exceed \$50,000,000, from funds appropriated in this or prior Acts, except for funds designated for Overseas Contingency Operations/Global War on Terrorism, may be transferred to the Global Security Contingency Fund: Provided further, That this transfer authority is in addition to any other transfer authority available to the Department of State: Provided further, That any transfer pursuant to this section shall be subject to the regular notification procedures of the Committees on Appropriations.

## Program and Financing (in millions of dollars)

Identification code 11–1041–0–1–152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		45	12
0900 Total new obligations (object class 41.0) .....		45	12
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		45	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			25
1121 Appropriations transferred from other accts [11–1083] .....	23		
1121 Appropriations transferred from other accts [97–0100] .....	22		
1160 Appropriation, discretionary (total) .....	45		25
1930 Total budgetary resources available .....	45	45	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	45		13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			22
3010 Obligations incurred, unexpired accounts .....		45	12
3020 Outlays (gross) .....		–23	–33
3050 Unpaid obligations, end of year .....		22	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			22
3200 Obligated balance, end of year .....		22	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45		25
Outlays, gross:			
4010 Outlays from new discretionary authority .....			11

4011	Outlays from discretionary balances .....	23	22
4020	Outlays, gross (total) .....	23	33
4180	Budget authority, net (total) .....	45	25
4190	Outlays, net (total) .....	23	33

The Global Security Contingency Fund will provide military and other security sector assistance, as well as assistance to the justice sector (including law enforcement and prisons), rule of law programs, and stabilization efforts in cases where civilian providers are challenged in their ability to operate. Assistance programs under this account would be collaboratively developed by the Department of State and the Department of Defense. The fund also allows direct contributions from each Department. The fund would allow for implementation by the most appropriate agency in a given situation, be it State, Defense, the U.S. Agency for International Development, or others.

FOREIGN MILITARY FINANCING LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 11-1085-0-1-152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705	Reestimates of direct loan subsidy .....	37	121
0706	Interest on reestimates of direct loan subsidy .....		60
0900	Total new obligations (object class 25.3) .....	37	181
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	37	181
1260	Appropriations, mandatory (total) .....	37	181
1930	Total budgetary resources available .....	37	181
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	37	181
3020	Outlays (gross) .....	-37	-181
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	37	181
Outlays, gross:			
4100	Outlays from new mandatory authority .....	37	181
4180	Budget authority, net (total) .....	37	181
4190	Outlays, net (total) .....	37	181

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 11-1085-0-1-152	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135001	DSCA Loan Program .....	38	180
135999	Total upward reestimate budget authority .....	38	180
Direct loan downward reestimates:			
137001	DSCA Loan Program .....		-5
137999	Total downward reestimate budget authority .....		-5

FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 11-4122-0-3-152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742	Downward reestimate paid to receipt account .....		5
0900	Total new obligations .....		5

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	27	236
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	38	241
1825	Spending authority from offsetting collections applied to repay debt .....	-11	-27
1850	Spending auth from offsetting collections, mand (total) .....	27	214
1930	Total budgetary resources available .....	27	241
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	27	236

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2,462	2,462
3010	Obligations incurred, unexpired accounts .....		5
3020	Financing disbursements (gross) .....		-34
3050	Unpaid obligations, end of year .....	2,462	2,433
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2,462	2,462
3200	Obligated balance, end of year .....	2,462	2,433

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	27	214
Financing disbursements:			
4110	Financing disbursements, gross .....		34
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-37	-180
4122	Interest on uninvested funds .....	-1	
4123	Non-Federal sources - principal .....		-50
4123	Non-Federal sources - interest .....		-11
4130	Offsets against gross financing auth and disbursements (total) .....	-38	-241
4160	Financing authority, net (mandatory) .....	-11	-27
4170	Financing disbursements, net (mandatory) .....	-38	-207
4180	Financing authority, net (total) .....	-11	-27
4190	Financing disbursements, net (total) .....	-38	-207

Status of Direct Loans (in millions of dollars)

Identification code 11-4122-0-3-152	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	11	11
1231	Disbursements: Direct loan disbursements .....		34
1251	Repayments: Repayments and prepayments .....		-34
1290	Outstanding, end of year .....	11	11

The Foreign Military Financing Direct Loan Program (FMFDLP) Account is a program account established pursuant to the Federal Credit Reform Act (FCRA) of 1990, as amended, to provide the funds necessary for the subsidy element of loans. As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans for foreign military financing obligated in 1992 and after. The foreign military financing credit program provides loans that finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. The amounts in this account are a means of financing and are not included in budget totals. Expenditures from this account finance the subsidy element of direct loan disbursements and are transferred into the Foreign Military Financing Direct Loan Financing (FMFDLF) Account to make required loan disbursements for approved FMS or commercial sales. The FMFDLF is a financing account used to make disbursements of Foreign Military Loan funds for approved procurements and for subsequent collections for loans after September 30, 1991. The account uses permanent borrowing authority from the U.S. Treasury combined with transfers of appropriated funds from the Foreign Military Financing Direct Loan Program (FMFDLP) Account to make required disbursements to loan recipient country

FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING  
ACCOUNT—Continued

borrowers for approved procurements. Receipts of debt service collections from borrowers are used to repay borrowings from U.S. Treasury.

**Balance Sheet** (in millions of dollars)

Identification code 11-4122-0-3-152	2011 actual	2012 actual
<b>ASSETS:</b>		
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross .....	11	11
1999 Total assets .....	11	11
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	11	11
4999 Total liabilities and net position .....	11	11

## FOREIGN MILITARY LOAN LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 11-4121-0-3-152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	2	1	.....
0900 Total new obligations (object class 42.0) .....	2	1	.....
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2	1	.....
1260 Appropriations, mandatory (total) .....	2	1	.....
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash)-from country loans .....	140	120	100
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-34	-30	-25
1825 Spending authority from offsetting collections applied to repay debt .....	-106	-90	-75
1900 Budget authority (total) .....	2	1	.....
1930 Total budgetary resources available .....	2	1	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	1	.....
3020 Outlays (gross) .....	-2	-1	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	1	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	1	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Baseline Program [Loan collections-Non FFB] .....	-34	-30	-25
4123 Baseline Program [FFB Loan collections] .....	-106	-90	-75
4130 Offsets against gross budget authority and outlays (total) ....	-140	-120	-100
4160 Budget authority, net (mandatory) .....	-138	-119	-100
4170 Outlays, net (mandatory) .....	-138	-119	-100
4180 Budget authority, net (total) .....	-138	-119	-100
4190 Outlays, net (total) .....	-138	-119	-100

**Status of Direct Loans** (in millions of dollars)

Identification code 11-4121-0-3-152	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	571	446	327
1231 Disbursements: Direct loan disbursements .....	3	1	.....
1251 Repayments: Repayments and prepayments from country .....	-128	-120	-100
1290 Outstanding, end of year .....	446	327	227

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 11-4121-0-3-152	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	439	297	171
2251 Repayments and prepayments .....	-140	-125	-171
2263 Adjustments: Terminations for default that result in claim payments .....	-2	-1	.....
2290 Outstanding, end of year .....	297	171	.....
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	196	150	.....

The Foreign Military Loan Liquidating Account (FMLLA) is a liquidating account that records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees for foreign military financing committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. No new loan disbursements are made from this account. Certain collections made into this account are made available for default claim payments. The Federal Credit Reform Act (FCRA) provides permanent indefinite authority to cover obligations for default payments if the liquidating account funds are otherwise insufficient. All new foreign military financing credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 11-4121-0-3-152	2011 actual	2012 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	571	446
1602 Interest receivable .....	387	401
1699 Value of assets related to direct loans .....	958	847
1999 Total assets .....	958	847
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Accrued Interest Payable to FFB .....	3	2
2103 Debt - Principal owed to FFB .....	294	188
2104 Resources payable to Treasury .....	661	657
2999 Total liabilities .....	958	847
4999 Total liabilities and net position .....	958	847

## MILITARY DEBT REDUCTION FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 11-4174-0-3-152	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2	.....	.....
0900 Total new obligations .....	2	.....	.....
<b>Budgetary Resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2	.....	.....
1440 Borrowing authority, mandatory (total) .....	2	.....	.....
1930 Total budgetary resources available .....	2	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	.....	.....

3020	Financing disbursements (gross) .....	-2		
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....	2		
Financing disbursements:				
4110	Financing disbursements, gross .....	2		
4180	Financing authority, net (total) .....	2		
4190	Financing disbursements, net (total) .....	2		

**Status of Direct Loans** (in millions of dollars)

Identification code 11-4174-0-3-152	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	191	191	191
1290 Outstanding, end of year .....	191	191	191

As required by the Federal Credit Reform Act of 1990, the Military Debt Reduction Financing (MDRF) Account is a non-budgetary financing account that records all cash flows to and from the Government resulting from restructuring foreign military loans. The amounts in this account are a means of financing and are not included in budget totals. It is an account established for the debt relief of certain countries as established by Public Law 103-87, Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1994, Section 11, Special Debt Relief for the Poorest, Most Heavily Indebted Countries. The MDRF buys a portfolio of loans from the FMLLA, thus transferring the loans from the FMLLA Account to the MDRF Account.

**Balance Sheet** (in millions of dollars)

Identification code 11-4174-0-3-152	2011 actual	2012 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	191	191
1402 Interest receivable .....	55	55
1405 Allowance for subsidy cost (-) .....	-234	-234
1499 Net present value of assets related to direct loans .....	12	12
1999 Total assets .....	12	12
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	12	12
4999 Total liabilities and net position .....	12	12

**MULTILATERAL ASSISTANCE****Federal Funds**

## CONTRIBUTION TO THE CLEAN TECHNOLOGY FUND

For payment to the International Bank for Reconstruction and Development as trustee for the Clean Technology Fund by the Secretary of the Treasury, \$215,700,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-0080-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	230	186	216
0900 Total new obligations (object class 33.0) .....	230	186	216
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	185	186	216

1121	Appropriations transferred from other accts [72-1037] ....	45		
1160	Appropriation, discretionary (total) .....	230	186	216
1930	Total budgetary resources available .....	230	186	216

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		45	
3010	Obligations incurred, unexpired accounts .....	230	186	216
3020	Outlays (gross) .....	-185	-231	-216
3050	Unpaid obligations, end of year .....	45		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		45	
3200	Obligated balance, end of year .....	45		

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	230	186	216
Outlays, gross:				
4010	Outlays from new discretionary authority .....		186	216
4011	Outlays from discretionary balances .....	185	45	
4020	Outlays, gross (total) .....	185	231	216
4180	Budget authority, net (total) .....	230	186	216
4190	Outlays, net (total) .....	185	231	216

The Clean Technology Fund (CTF) is an ongoing multibillion dollar effort to reduce the growth of greenhouse gas emissions in developing countries by catalyzing large-scale private and public investments through financing the additional costs of commercially available cleaner technologies over dirtier, conventional alternatives. By funding the extra cost of the cleaner technology, the CTF incentivizes cleaner projects that leverage development bank financing and attract new investor capital into low-carbon sectors. The CTF, one of the two multilateral Climate Investment Funds (CIFs), leverages the capital bases and country program expertise of the multilateral development banks (MDBs). To receive funding, eligible countries must first develop credible national investment plans that identify key high-emissions sectors where targeted projects could stimulate low-carbon growth and the scalable uptake of clean technologies. Since 2009, the CTF's governing committee has endorsed 16 such plans with a combined CTF funding envelope of \$5.5 billion and total planned investments of over \$43 billion. As of September 2012, the CTF has approved 38 individual projects using \$2.2 billion in funding. The 38 approved projects have attracted co-financing of \$18.2 billion from recipient governments, the private sector, and the MDBs. The 2014 Budget includes \$215.7 million to meet a portion of the remaining U.S. commitment to the CTF.

## CONTRIBUTION TO THE STRATEGIC CLIMATE FUND

For payment to the International Bank for Reconstruction and Development as trustee for the Strategic Climate Fund by the Secretary of the Treasury, \$68,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-0071-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct Program Activity .....	75	50	68
0900 Total new obligations (object class 33.0) .....	75	50	68
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	50	68

CONTRIBUTION TO THE STRATEGIC CLIMATE FUND—Continued  
Program and Financing—Continued

Identification code 11-0071-0-1-151	2012 actual	2013 CR	2014 est.
1121 Appropriations transferred from other accts [72-1037] ....	25	.....	.....
1160 Appropriation, discretionary (total) .....	75	50	68
1930 Total budgetary resources available .....	75	50	68
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	25	.....
3010 Obligations incurred, unexpired accounts .....	75	50	68
3020 Outlays (gross) .....	-50	-75	-68
3050 Unpaid obligations, end of year .....	25	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	25	.....
3200 Obligated balance, end of year .....	25	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	75	50	68
Outlays, gross:			
4010 Outlays from new discretionary authority .....	50	50	68
4011 Outlays from discretionary balances .....	.....	25	.....
4020 Outlays, gross (total) .....	50	75	68
4180 Budget authority, net (total) .....	75	50	68
4190 Outlays, net (total) .....	50	75	68

The Strategic Climate Fund (SCF) is a suite of three programs to pilot innovative approaches and scaled-up activities aimed at specific climate change-related challenges in developing countries. The Pilot Program for Climate Resilience (PPCR) helps the most vulnerable populations in very poor countries better prepare for and respond to the effects of climate change through innovative development plans, strategies, and projects. The PPCR pilot programs are for Bangladesh, Bolivia, Cambodia, Mozambique, Nepal, Niger, Tajikistan, Yemen, Zambia, the Caribbean region (Dominica, Grenada, Haiti, Jamaica, Saint Lucia, Saint Vincent and the Grenadines), and the Pacific region (Papua New Guinea, Samoa, and Tonga). The value of planned PPCR investments is over \$925 million. The Forest Investment Program (FIP) helps protect our global forests by reducing deforestation in developing countries through improved governance and forest management, and by addressing the drivers of deforestation. The FIP pilot programs are Brazil, Burkina Faso, Democratic Republic of Congo, Ghana, Indonesia, Laos, Mexico, and Peru. The value of planned FIP investments is \$400 million. The Program for Scaling-Up Renewable Energy in Low Income Countries (SREP) will demonstrate the economic, social, and environmental viability of low-carbon development pathways in very poor countries. The SREP pilot programs are for Ethiopia, Honduras, Kenya, Maldives, Mali, Nepal, and Tanzania. The value of planned SREP investments is \$210 million. The 2014 Budget includes \$68 million to meet a portion of the remaining U.S. commitment to the SCF.

GLOBAL AGRICULTURE AND FOOD SECURITY PROGRAM

For payment to the Global Agriculture and Food Security Program by the Secretary of the Treasury, \$135,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11-1475-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	160	151	135
0900 Total new obligations (object class 33.0) .....	160	151	135
<b>Budgetary Resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other accts [72-1021] ....	25	15	.....
1050 Unobligated balance (total) .....	25	15	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	135	136	135
1160 Appropriation, discretionary (total) .....	135	136	135
1930 Total budgetary resources available .....	160	151	135
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	160	151	135
3020 Outlays (gross) .....	-160	-151	-135
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	135	136	135
Outlays, gross:			
4010 Outlays from new discretionary authority .....	135	136	135
4011 Outlays from discretionary balances .....	25	15	.....
4020 Outlays, gross (total) .....	160	151	135
4180 Budget authority, net (total) .....	135	136	135
4190 Outlays, net (total) .....	160	151	135

The Global Agriculture and Food Security Program (GAFSP) is a multilateral mechanism that funds projects supporting the agricultural investment plans of poor countries. The GAFSP, which is administered by the World Bank, leverages the expertise and implementing structures of other multilateral institutions such as the International Fund for Agricultural Development, the World Bank, and the regional development banks. Since its inception in 2010 through December 2012, the GAFSP has awarded grants totaling \$658 million for investments in 18 countries. These investments are expected to help 8.2 million smallholder farmers and their families increase their income and strengthen their nutritional outcomes. These grants were funded from contributions from the United States, Canada, Ireland, South Korea, Australia, Spain, the United Kingdom, and the Bill and Melinda Gates Foundation. The private sector window, which provides financing to small and medium-sized agribusinesses and small-holder farmers, has awarded \$30 million, funded from contributions from the United States, Canada, and the Netherlands. The 2014 Budget includes \$135 million as the first payment under the new U.S. pledge to commit \$1 for every \$2 contributed by other donors up to a total U.S. contribution of \$475 million.

INTERNATIONAL FINANCIAL INSTITUTIONS

CONTRIBUTION TO THE INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

For payment to the International Bank for Reconstruction and Development by the Secretary of the Treasury, for the United States share of the paid-in portion of the increases in capital stock, \$186,956,866, to remain available until expended.

LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

The United States Governor of the International Bank for Reconstruction and Development may subscribe without fiscal year limitation to the callable capital portion of the United States share of increases in capital stock in an amount not to exceed \$2,928,990,899.

## GLOBAL ENVIRONMENT FACILITY

For payment to the International Bank for Reconstruction and Development as trustee for the Global Environment Facility by the Secretary of the Treasury, \$143,750,000, to remain available until expended.

## TRANSITION FUND

For payment to the International Bank for Reconstruction and Development as trustee for the Transition Fund by the Secretary of the Treasury, \$5,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 11–0077–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Global Environment Facility .....	120	90	144
0002 International Bank for Reconstruction and Development .....	117	118	187
0003 Transition Fund .....			5
0900 Total new obligations (object class 33.0) .....	237	208	336
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7,663	7,663	7,663
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	207	208	336
1121 Appropriations transferred from other accts [72–1037] .....	30		
1160 Appropriation, discretionary (total) .....	237	208	336
1930 Total budgetary resources available .....	7,900	7,871	7,999
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7,663	7,663	7,663
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		30	
3010 Obligations incurred, unexpired accounts .....	237	208	336
3020 Outlays (gross) .....	–207	–238	–336
3050 Unpaid obligations, end of year .....	30		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		30	
3200 Obligated balance, end of year .....	30		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	237	208	336
Outlays, gross:			
4010 Outlays from new discretionary authority .....	207	208	336
4011 Outlays from discretionary balances .....		30	
4020 Outlays, gross (total) .....	207	238	336
4180 Budget authority, net (total) .....	237	208	336
4190 Outlays, net (total) .....	207	238	336

The International Bank for Reconstruction and Development (IBRD or World Bank) provides financing and technical assistance to support infrastructure investment and policy reform. IBRD operations are designed to promote sustainable economic growth, reduce poverty, and raise living standards, including through targeted investments in infrastructure, basic human needs, private-sector development, and core policy reforms. The IBRD is the "hard loan" window of the World Bank Group, while the International Development Association (IDA) is the "soft loan", or concessional, window of the World Bank Group. In 2012, the IBRD made new commitments of \$20.6 billion, including 93 operations. Since its establishment in 1945, the IBRD has made loans totaling almost \$573 billion. The 2014 Budget provides \$117.4 million for the third of five installments for the IBRD's general capital increase. In addition, the 2014 Budget includes the second installment of \$70 million for the IBRD's selective capital increase (SCI). Treasury requested and obtained author-

ization to subscribe to the SCI in 2012, and Treasury requested an authorization for appropriations for the SCI in 2013.

## Global Environment Facility

The Global Environment Facility (GEF) is the largest funder of projects to improve the global environment, providing grants to address issues related to biodiversity, clean energy and sustainable landscapes, oceans, land degradation, and chemical pollution. The GEF supports innovative, cost-effective investments that can be replicated and scaled up by the public and private sectors. In 2012, the GEF made new commitments of \$1.25 billion. Since its establishment in 1991, the GEF has allocated \$10.5 billion, supplemented by more than \$51 billion in co-financing, to fund more than 2,900 projects in 168 developing countries. In 2010, donor governments agreed on the fifth replenishment of the GEF (GEF-5) for 2011–2014. The U.S. pledged \$575 million over four years. Cumulative unpaid commitments to the GEF totaled \$229 million at the end of 2012, the largest of any donor. The 2014 Budget includes \$143.8 million for the fourth of four scheduled installments of GEF-5.

## Transition Fund

The Deauville Partnership Transition Fund is a new multi-donor trust fund established to assist members of the Deauville Partnership with Arab Countries in Transition—currently Egypt, Tunisia, Jordan, Morocco, Libya, and Yemen. As these countries work to address their diverse economic challenges, the fund will help promote a broad reform agenda and support inclusive development. The Transition Fund provides grants to countries for diagnostic analyses, technical advice, and initial implementation of targeted policy initiatives and reforms. A wide range of countries have already provided or committed to provide funding to the Transition Fund, including the United Kingdom, Saudi Arabia, Canada, France, Japan, Russia, Kuwait, and Qatar. Treasury requests \$5 million for a contribution to the Transition Fund in 2014.

## CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT ASSOCIATION

For payment to the International Development Association by the Secretary of the Treasury, \$1,358,500,000, to remain available until expended.

For payment to the International Development Association by the Secretary of the Treasury to satisfy commitments made by the United States to support the Multilateral Debt Relief Initiative, including through generation of early encashment credits, \$145,300,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 11–0073–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 International Development Association .....	1,325	1,333	1,359
0002 MDRI .....	167	168	145
0003 Haiti Reconstruction Fund .....		5	
0900 Total new obligations (object class 33.0) .....	1,492	1,506	1,504
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		5	
1011 Unobligated balance transfer from other accts [72–1037] .....	5		
1050 Unobligated balance (total) .....	5	5	

CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT  
ASSOCIATION—Continued  
Program and Financing—Continued

Identification code 11-0073-0-1-151	2012 actual	2013 CR	2014 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation - IDA .....	1,325	1,333	1,359
1100 Appropriation - MDRI .....	167	168	145
1160 Appropriation, discretionary (total) .....	1,492	1,501	1,504
1930 Total budgetary resources available .....	1,497	1,506	1,504
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1,492	1,506	1,504
3020 Outlays (gross) .....	-1,492	-1,506	-1,504
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,492	1,501	1,504
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,492	1,501	1,504
4011 Outlays from discretionary balances .....		5	
4020 Outlays, gross (total) .....	1,492	1,506	1,504
4180 Budget authority, net (total) .....	1,492	1,501	1,504
4190 Outlays, net (total) .....	1,492	1,506	1,504

The International Development Association (IDA) is a member of the World Bank Group and provides concessional development financing and grants to the world's poorest nations. IDA is the single largest source of multilateral lending extended on concessional terms to developing countries. Since its establishment in 1960, IDA has made commitments totaling \$250 billion. IDA resources for new lending are funded primarily by donor contributions coordinated through a cycle of three-year replenishments, and are augmented by earnings, repayments of existing loans, and transfers of income from the non-concessional lending windows of the World Bank.

Under the sixteenth replenishment of resources (IDA-16), IDA will provide \$49.2 billion in resources over the period from mid-2011 through mid-2014. During its fiscal year 2012, IDA made new commitments of \$14.8 billion. The largest regional share of 2012 IDA resources was committed to Africa, which received \$7.4 billion.

The 2014 Budget includes \$1,358.5 million for the third of three scheduled installments under IDA-16. The U.S. pledge for IDA-16 is \$4,075.5 billion over three years.

#### Multilateral Debt Relief Initiative

The Multilateral Debt Relief Initiative (MDRI) provides 100 percent cancellation of eligible debt to the International Development Association (IDA) and the African Development Fund (AfDF) for countries that reach completion point under the Heavily Indebted Poor Countries (HIPC) initiative. The HIPC initiative entails coordinated action by governments and international financial institutions to reduce the external debt burdens of HIPC countries to sustainable levels. The MDRI goes further by providing irrevocable debt stock reduction in order to free up additional resources for poverty reducing expenditures. Donors have committed to compensate IDA and the AfDF "dollar for dollar" for the roughly \$50 billion in MDRI-related foregone reflows over the 50-year MDRI period (2004 to 2054). The 2014 Budget includes \$145.3 million to help cover the U.S. share of the cost of MDRI at IDA under the IDA-16 replenishment.

A portion of the funds requested for MDRI will be used as a contribution to the outstanding U.S. commitment to the IDA-16 replenishment. This payment will simultaneously generate early

encashment credits used to satisfy the U.S. commitment to MDRI (the U.S. receives early encashment credits when it contributes to the IDA replenishment on an accelerated schedule). This approach is consistent with the use of resources appropriated for MDRI in 2012. The 2014 Budget includes revised MDRI appropriations language to clarify the manner in which this payment will be executed without expanding existing authorities.

#### CONTRIBUTION TO MULTILATERAL INVESTMENT GUARANTEE AGENCY

##### Program and Financing (in millions of dollars)

Identification code 11-0084-0-1-151	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	22	22
3050 Unpaid obligations, end of year .....	22	22	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	22	22
3200 Obligated balance, end of year .....	22	22	22

The Multilateral Investment Guarantee Agency (MIGA) is a member of the World Bank Group. MIGA is designed to encourage the flow of foreign private investment to and among developing countries by issuing guarantees against non-commercial risks and carrying out investment promotion activities. In 2012, MIGA issued 50 guaranteed contracts, with a net exposure of \$6.3 billion. Negotiations on MIGA's first general capital increase (GCI) were completed in 1998. The United States committed to contribute a total of \$30 million in paid-in capital and nearly \$140 million in callable capital over three years. The GCI decision included commitments from MIGA on a range of policy issues of substantial importance to the United States, including environment, information disclosure, labor, and creation of an inspection function for greater accountability and transparency. In 2000, the Administration sought and received congressional authorization for the United States' full participation in the MIGA GCI. No request is being made for MIGA for 2014.

#### CONTRIBUTION TO THE INTER-AMERICAN DEVELOPMENT BANK

*For payment to the Inter-American Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of the increase in capital stock, \$102,020,448, to remain available until expended.*

##### LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

*The United States Governor of the Inter-American Development Bank may subscribe without fiscal year limitation to the callable capital portion of the United States share of such capital stock in an amount not to exceed \$4,098,794,833.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### Program and Financing (in millions of dollars)

Identification code 11-0072-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Inter-American Development Bank .....	81	75	102
0003 International Investment Corp .....	5	5	
0900 Total new obligations (object class 33.0) .....	86	80	102
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,798	3,798	3,798
1011 Unobligated balance transfer from other accts [72-1037] ...	6		

1050	Unobligated balance (total) .....	3,804	3,798	3,798
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	80	80	102
1160	Appropriation, discretionary (total) .....	80	80	102
1930	Total budgetary resources available .....	3,884	3,878	3,900
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	3,798	3,798	3,798
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	86	80	102
3020	Outlays (gross) .....	-86	-80	-102
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	80	80	102
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	80	80	102
4011	Outlays from discretionary balances .....	6		
4020	Outlays, gross (total) .....	86	80	102
4180	Budget authority, net (total) .....	80	80	102
4190	Outlays, net (total) .....	86	80	102

The Inter-American Development Bank (IDB) promotes sustainable economic growth, poverty reduction, private sector development, and good governance in Latin America and the Caribbean through targeted loans and technical assistance. In 2012, the IDB approved 196 operations worth \$11.4 billion. Since its inception, the IDB has approved a total of \$220 billion in loans. The IDB provides financing through: 1) the Ordinary Capital (OC) window that lends at market-based rates, and 2) the Fund for Special Operations (FSO), which provides financing on concessional terms to the region's poorest nations.

The 2014 Budget provides \$102.0 million for the third of five installments for the IDB's Ninth General Capital Increase (GCI). In addition to providing resources needed to meet key development challenges in the Western Hemisphere, the capital increase negotiations provided the United States and other shareholders the opportunity to consolidate key institutional reforms and improve the strategic direction of the IDB in assuring sound finances, effective management and governance, safeguards, transparency and accountability, disclosure, and continued focus on core missions including the needs of the poorest populations.

#### Inter-American Investment Corporation

The Inter-American Investment Corporation (IIC), a member of the Inter-American Development Bank Group established in 1984, promotes development of private small- and medium- sized enterprises (SMEs) in Latin America and the Caribbean. It is a legally autonomous entity whose resources and management are separate from those of the Inter-American Development Bank itself. Through direct loans and equity investments in SMEs, as well as through lending to private financial intermediaries, the IIC helps SMEs in the region access the medium- and long-term capital necessary to start up, expand, or modernize operations. In 2012, the IIC approved 62 projects totaling \$301.7 million. Since its inception, the IIC has approved a total of \$4.72 billion in commitments.

#### CONTRIBUTION TO THE ASIAN DEVELOPMENT BANK

*For payment to the Asian Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of increase in capital stock, \$106,586,000, to remain available until expended.*

#### LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

*The United States Governor of the Asian Development Bank may subscribe without fiscal year limitation to the callable capital portion of the*

*United States share of such capital stock in an amount not to exceed \$2,558,048,769.*

#### CONTRIBUTION TO THE ASIAN DEVELOPMENT FUND

*For payment to the Asian Development Bank's Asian Development Fund by the Secretary of the Treasury, \$115,250,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 11-0076-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Asian Development Fund .....	100	101	115
0003 Asian Development Bank .....	107	107	107
0900 Total new obligations (object class 33.0) .....	207	208	222
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	748	748	748
Budget authority:			
Appropriations, discretionary:			
1100    Appropriation - Fund .....	100	101	115
1100    Appropriation - Bank .....	107	107	107
1160    Appropriation, discretionary (total) .....	207	208	222
1930 Total budgetary resources available .....	955	956	970
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	748	748	748
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	120	37	65
3010 Obligations incurred, unexpired accounts .....	207	208	222
3020 Outlays (gross) .....	-290	-180	-287
3050 Unpaid obligations, end of year .....	37	65	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	120	37	65
3200 Obligated balance, end of year .....	37	65	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	207	208	222
Outlays, gross:			
4010 Outlays from new discretionary authority .....	170	143	222
4011 Outlays from discretionary balances .....	120	37	65
4020 Outlays, gross (total) .....	290	180	287
4180 Budget authority, net (total) .....	207	208	222
4190 Outlays, net (total) .....	290	180	287

The Asian Development Bank (AsDB) Group promotes broad-based sustainable economic growth and development, poverty alleviation, and regional cooperation and integration in the Asia-Pacific region. It has two main financing windows: 1) the Asian Development Bank's "hard-loan" window (known as the Ordinary Capital Resources (OCR) window); and 2) the Asian Development Fund's (AsDF) "soft-loan" window, which lends at concessional rates to the region's poorest nations.

#### Asian Development Bank

AsDB OCR operations provide loans, technical assistance, and policy advice to 24 creditworthy developing economies in Asia. Through its operations, which include construction of schools, bridges, health clinics, and roads, the AsDB supports U.S. economic, security, and humanitarian interests by strengthening new sources of global growth, providing opportunities for people to lift themselves out of poverty, and increasing opportunities for U.S. suppliers of goods and services. The AsDB's comparative advantage is in financing infrastructure projects in core sectors such as energy, transport, and water. In 2012, the AsDB made

## CONTRIBUTION TO THE ASIAN DEVELOPMENT BANK—Continued

\$10 billion in commitments for operations in these and other areas. The 2014 Budget includes \$106.6 million for the fourth of five scheduled paid-in capital contributions to the AsDB's fifth general capital increase. This capital increase was necessary to avoid a precipitous decline in lending during the global financial crisis in a region where 1.7 billion people—nearly a quarter of the world's population—still live on less than two dollars per day.

**Asian Development Fund**

The AsDF is a key source of concessional financing for development in the 20 poorest countries of the Asia-Pacific region. The AsDF focuses on the construction of critical infrastructure such as roads, water and sanitation, electricity grids, and schools. In 2012, AsDF commitments totaled over \$3.5 billion. The AsDF is also a major U.S. partner in Afghanistan, committing over \$2.8 billion in the country since 2002. The AsDF's work has been recognized by the U.S. national security community as critical to the success of our security and political objectives there. The 2014 Budget includes \$115.3 million for the AsDF. Of this total, \$89.9 million will serve as the first of four contributions under the tenth replenishment of the Asian Development Fund (AsDF11). This amount is consistent with the outcome of the AsDF11 negotiations in early 2012, when the U.S. pledged \$359.6 million over four years (2014–2017), a 22 percent reduction from the amount pledged during the previous AsDF replenishment. The remaining \$25.4 million for AsDF in the 2014 Budget will be used for partial clearance of outstanding U.S. commitments to the AsDF, which currently total over \$326 million. This payment is part of a multi-year plan to address these outstanding U.S. commitments.

## CONTRIBUTION TO THE AFRICAN DEVELOPMENT BANK

*For payment to the African Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of the increase in capital stock, \$32,417,720, to remain available until expended.*

**LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS**

*The United States Governor of the African Development Bank may subscribe without fiscal year limitation to the callable capital portion of the United States share of such capital stock in an amount not to exceed \$507,860,808.*

## CONTRIBUTION TO THE AFRICAN DEVELOPMENT FUND

*For payment to the African Development Fund by the Secretary of the Treasury, \$195,000,000, to remain available until expended.*

*For payment to the African Development Fund by the Secretary of the Treasury to satisfy commitments made by the United States to support the Multilateral Debt Relief Initiative, including through generation of early encashment credits, \$30,000,000, to remain available until expended.*

**Program and Financing** (in millions of dollars)

Identification code 11–0082–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Bank .....	32	33	32
0002 Fund .....	216	174	195
0003 MDRI .....	8	7	30
0900 Total new obligations (object class 33.0) .....	256	214	257
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	44	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Bank .....	32	33	32
1100 Appropriation - Fund .....	173	174	195
1100 Appropriation - MDRI .....	8	7	30
1160 Appropriation, discretionary (total) .....	213	214	257
1930 Total budgetary resources available .....	257	215	258

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	15		
3010	Obligations incurred, unexpired accounts .....	256	214	257
3020	Outlays (gross) .....	-271	-214	-257
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	15		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	213	214	257
Outlays, gross:				
4010	Outlays from new discretionary authority .....	40	214	257
4011	Outlays from discretionary balances .....	231		
4020	Outlays, gross (total) .....	271	214	257
4180	Budget authority, net (total) .....	213	214	257
4190	Outlays, net (total) .....	271	214	257

The African Development Bank Group is composed of 1) the African Development Bank (AfDB), which lends at prevailing rates to middle-income countries and private-sector borrowers in middle- and low-income countries; and 2) the African Development Fund (AfDF), which provides grants and concessional loans to the poorest African countries.

**African Development Bank**

In 2012, the AfDB approved new projects, programs, and equity investments amounting to about \$2.8 billion. Since operations began in 1967, the AfDB has financed projects, programs, and equity investments amounting to about \$59 billion. The 2014 Budget includes \$32.4 million for the third of eight payments for the sixth general capital increase of the AfDB (GCI-6). In May 2010, AfDB Governors agreed to a general capital increase to support an increase in the AfDB's sustainable lending capacity from \$1.8 billion per year to \$5 billion per year.

**African Development Fund**

The AfDF approved \$2.26 billion for new projects and programs in 2012. Since operations began in 1974, AfDF financing has totaled \$40 billion. In September 2010, the United States and other donor countries reached agreement on the twelfth replenishment of the African Development Fund (AfDF-12), which is providing approximately \$3 billion per year in development financing for low-income countries in Africa. The 2014 Budget provides \$195 million for the third of three installments of the U.S. contribution to AfDF-12, which covers the period from 2012 to 2014.

**Multilateral Debt Relief Initiative**

The Multilateral Debt Relief Initiative (MDRI) provides 100 percent cancellation of eligible debt to the International Development Association (IDA) and the African Development Fund (AfDF) for countries that reach completion point under the Heavily Indebted Poor countries (HIPC) initiative. The HIPC initiative entails coordinated action by governments and international financial institutions to reduce the external debt burdens of HIPC countries to sustainable levels. The MDRI goes further by providing irrevocable debt stock reduction in order to free up additional resources for poverty reducing expenditures. Donors have committed to compensate IDA and the AfDF "dollar for dollar" for the roughly \$50 billion in MDRI-related foregone reflows over the 50-year MDRI period (2004 to 2054). The 2014 Budget includes \$30 million to help cover the U.S. share of the cost of MDRI at AfDF under the AfDF-12 replenishment. The 2014 Budget includes revised MDRI appropriations language to

clarify the manner in which this payment will be executed without expanding existing authorities.

CONTRIBUTION TO THE EUROPEAN BANK FOR RECONSTRUCTION AND  
DEVELOPMENT

The European Bank for Reconstruction and Development (EBRD) supports market-oriented economic reform and democratic pluralism predominately through private-sector lending and investments. Its original field of operation in the countries of Central and Eastern Europe and the former Soviet Union was expanded in 2012 to aid in the transitions of key countries in the Middle East and North Africa. The United States and other shareholders signed the articles of agreement of the EBRD on May 29, 1990, and the Bank officially began operating on April 15, 1991. In April 1996, shareholders approved a doubling of the EBRD's capital base from EUR 10 billion to EUR 20 billion (approximately \$24 billion), which went into effect in April 1997. In 2012, the United States provided \$1.25 billion in temporary callable capital to support increased resource demands that resulted from the 2008 financial crisis.

NORTH AMERICAN DEVELOPMENT BANK

The North American Development Bank (NADBank) provides financing for environmental infrastructure projects along the U.S.-Mexico border region. A portion of its capital also finances North American Free Trade Agreement (NAFTA)-related community adjustments and investment projects in both countries. Under NADBank's charter, the United States and Mexico contributed equally to NADBank's capital, a total contribution of \$450 million in paid-in capital and \$2.6 billion in callable capital.

NADBank finances environmental infrastructure projects that have been certified by the U.S.-Mexico Border Environmental Cooperation Commission (BECC), the sister institution designed to assist border states and local communities in identifying, designing, and coordinating border projects on both sides of the U.S.-Mexico border.

As of December 2012, NADBank had approved \$1,469 million in loans for 78 projects and \$89.78 million in grants for 40 projects. The Bank has also administered \$592.7 million in EPA-funded grants to 100 projects in Mexico and the United States. The total investment value of all the projects to which it provides or administers funding is approximately \$5.45 billion.

CONTRIBUTION TO THE ENTERPRISE FOR THE AMERICAS MULTILATERAL  
INVESTMENT FUND

*For payment to the Enterprise for the Americas Multilateral Investment Fund by the Secretary of the Treasury, \$6,298,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11-0089-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	25	25	6
0900 Total new obligations (object class 33.0) .....	25	25	6

<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	25	25	6
1160	Appropriation, discretionary (total) .....	25	25	6
1930	Total budgetary resources available .....	25	25	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	45	20	21
3010	Obligations incurred, unexpired accounts .....	25	25	6
3020	Outlays (gross) .....	-50	-24	-5
3050	Unpaid obligations, end of year .....	20	21	22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	45	20	21
3200	Obligated balance, end of year .....	20	21	22
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	25	25	6
Outlays, gross:				
4010	Outlays from new discretionary authority .....		4	1
4011	Outlays from discretionary balances .....	50	20	4
4020	Outlays, gross (total) .....	50	24	5
4180	Budget authority, net (total) .....	25	25	6
4190	Outlays, net (total) .....	50	24	5

The Multilateral Investment Fund (MIF), administered by the Inter-American Development Bank, provides grants and loans to support private-sector development, as well as financial and labor sector reforms, in Latin America and the Caribbean. Special consideration is given to reforms that promote privatization and encourage private foreign direct investment. Grants and loans are used for technical assistance to identify and resolve investment constraints, for investment in human capital, and for business infrastructure and development. In 2012, the MIF approved 85 projects totaling \$104.8 million. Since its inception in 1992, the MIF has approved over 1,600 projects, for which the MIF contribution totaled \$1.9 billion.

The United States made a \$500 million commitment to the MIF in 1992. Negotiations were completed in early 2005 for the first replenishment of the MIF (MIF-II), with a United States commitment of \$150 million to be paid in six equal annual installments. The United States achieved its key objectives in these negotiations: strengthening the commitment to measurable results, increasing efficiency, maintaining a focus on grants, allocating resources to maximize innovation, and reforming Inter-American Development Bank procurement. Treasury requests \$6.3 million in 2014 to clear a portion of U.S. arrears to the MIF.

CONTRIBUTION TO THE INTERNATIONAL FUND FOR AGRICULTURAL DEVELOPMENT

*For payment to the International Fund for Agricultural Development by the Secretary of the Treasury, \$30,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11-1039-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	30	30	30
0900 Total new obligations (object class 33.0) .....	30	30	30

CONTRIBUTION TO THE INTERNATIONAL FUND FOR AGRICULTURAL  
DEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 11–1039–0–1–151	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	30	30	30
1160	30	30	30
1930	30	30	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	36	66	51
3010	30	30	30
3020		–45	–24
3050	66	51	57
Memorandum (non-add) entries:			
3100	36	66	51
3200	66	51	57
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	30	30	30
Outlays, gross:			
4010		6	6
4011		39	18
4020		45	24
4180	30	30	30
4190		45	24

The International Fund for Agricultural Development (IFAD) was established in 1977 as a multilateral financial institution focused on promoting rural agricultural development and food security in poorer countries. IFAD's specific mandate is to help rural small-scale producers and subsistence farmers increase their productivity and incomes, improve food security, and to integrate them into larger markets.

In December 2011, negotiations were concluded on IFAD's ninth replenishment (IFAD-9). The U.S. pledged a total of \$90 million. This pledge will leverage resources to support a work program of almost \$1 billion per year over the three-year replenishment period of 2013–2015. The 2014 Budget includes \$30 million for the second of three scheduled contributions under IFAD-9.

INTERNATIONAL AFFAIRS TECHNICAL ASSISTANCE

*For necessary expenses to carry out the provisions of section 129 of the Foreign Assistance Act of 1961, \$23,500,000, to remain available until September 30, 2016, which shall be available notwithstanding any other provision of law.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11–1045–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	37	27	24
0801	13	4	4
0900	50	31	28
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	45	47	43
1011	4		
1011	1		

1021	Recoveries of prior year unpaid obligations	6		
1050	Unobligated balance (total)	56	47	43
Budget authority:				
Appropriations, discretionary:				
1100	New budget authority (gross), detail	25	25	25
1100	Appropriations - OCO	2	2	
1121	Appropriations transferred from other accts [72–0306]	1		
1160	Appropriation, discretionary (total)	28	27	25
Spending authority from offsetting collections, discretionary:				
1700	Collected	14		
1750	Spending auth from offsetting collections, disc (total)	14		
1900	Budget authority (total)	42	27	25
1930	Total budgetary resources available	98	74	68
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	–1		
1941	Unexpired unobligated balance, end of year	47	43	40
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Change in obligated balances	37	24	27
3010	Obligations incurred, unexpired accounts	50	31	28
3011	Obligations incurred, expired accounts	10		
3020	Outlays (gross)	–51	–28	–29
3040	Recoveries of prior year unpaid obligations, unexpired	–6		
3041	Recoveries of prior year unpaid obligations, expired	–16		
3050	Unpaid obligations, end of year	24	27	26
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	37	24	27
3200	Obligated balance, end of year	24	27	26
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	42	27	25
Outlays, gross:				
4010	Outlays (gross), detail	5	3	2
4011	Outlays from discretionary balances	46	25	27
4020	Outlays, gross (total)	51	28	29
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	–14		
4180	Budget authority, net (total)	28	27	25
4190	Outlays, net (total)	37	28	29

Pursuant to OTA's authorizing statute, OTA provides technical assistance to facilitate the implementation of policy, management, and administrative reforms in the areas of budget, revenue, government debt, financial institutions and financial enforcement to developing and transition countries. This assistance supports U.S. foreign policy and national security objectives.

The 2014 Budget includes \$23.5 million to fund full-time resident technical assistance advisors, intermittent advisors, and program-related administrative costs. The appropriation will support technical assistance programs in Asia, the Middle East, Africa, Latin America, and the Caribbean. It will enable the provision of technical assistance to developing and transition countries to strengthen the capacity of finance ministries, central banks, and other government institutions to manage public finances and oversee the financial sector. Technical assistance projects support efficient revenue collection, well-planned and executed budgets, judicious debt management, sound banking systems, and strong controls to combat corruption and economic crimes, including terrorist financing. The appropriation will also support Treasury's work to strengthen the financial underpinnings for infrastructure development. OTA will continue to coordinate its activities with international financial institutions, USAID, the Department of State, and other relevant U.S. Government agencies when determining where its technical assistance program can have the greatest positive impact.

**Object Classification** (in millions of dollars)

Identification code 11-1045-0-1-151	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	3	2	2
11.3 Other than full-time permanent .....	13	10	9
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	17	12	11
12.1 Civilian personnel benefits .....	3	1	1
21.0 Travel and transportation of persons .....	3	4	3
25.2 Other services from non-Federal sources .....	12	10	9
25.3 Other goods and services from Federal sources .....	2		
99.0 Direct obligations .....	37	27	24
99.0 Reimbursable obligations .....	13	4	4
99.9 Total new obligations .....	50	31	28

**Employment Summary**

Identification code 11-1045-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	21	20	20
2001 Reimbursable civilian full-time equivalent employment .....	1		1

**GLOBAL FUND TO FIGHT AIDS, TUBERCULOSIS AND MALARIA**

**Program and Financing** (in millions of dollars)

Identification code 72-1028-0-1-151	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	297		
3020 Outlays (gross) .....	-297		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	297		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	297		
4190 Outlays, net (total) .....	297		

The Global Fund to Fight AIDS, Tuberculosis, and Malaria (Global Fund) account exists to obligate and disburse U.S. contributions to the Global Fund which come from funds appropriated to the Department of Health and Human Services prior to 2012. Starting 2012, all appropriations for the Global Fund have been made directly to the Department of State's Global Health Programs account.

Founded in January 2002, and operating as an independent, non-profit foundation under Swiss law, the Global Fund functions as a financing instrument—not as an implementing entity—to attract and disburse resources to prevent and treat HIV/AIDS, tuberculosis, and malaria. The U.S. Government, as a founding member of the Global Fund and its first and largest donor, continues to play a leadership role in ensuring the success of this important international effort.

The Fund reflects a unique model that relies on partnerships among governments; civil society, including community and faith-based organizations; international organizations; bilateral and multilateral donors; the private sector; and affected communities in the fight against these three diseases. This model is intended to support improved health outcomes, increased country ownership, sustainability, and accountability by financing country-driven responses, supporting programs that evolve from national plans and priorities, and disbursing funds based on performance. The U.S. Government is committed to the ongoing effort to in-

crease the efficiency, effectiveness, accountability, and transparency of the Global Fund's management of its resources.

The 2014 request includes \$1.65 billion for the Global Fund from the Global Health Programs account.

**FUNDS APPROPRIATED TO THE PRESIDENT**

**INTERNATIONAL ORGANIZATIONS AND PROGRAMS**

*For necessary expenses to carry out the provisions of section 301 of the Foreign Assistance Act of 1961, and of section 2 of the United Nations Environment Program Participation Act of 1973, \$320,645,000: Provided, That section 307(a) of the Foreign Assistance Act of 1961 shall not apply to contributions to the United Nations Democracy Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 72-1005-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0102 International Civil Aviation Organization .....	1	1	1
0103 International Conservation Programs .....	8	8	7
0104 International Contributions for Scientific, Educational .....			1
0105 International Panel on Climate Change/UN Framework .....	10	10	13
0106 Montreal Protocol Multilateral Fund .....	27	27	26
0108 UN Children's Fund .....	132	132	125
0109 UN Development Fund for Women .....	8	8	7
0110 UN Development Program .....	82	82	67
0111 UN Environment Program .....	8	8	8
0113 UN Voluntary Fund for the Technical Cooperation in the Field of Human Rights .....	1	1	1
0114 UN Voluntary Fund for Victims of Torture .....	6	6	3
0115 World Meteorological Organization .....	2	2	1
0116 World Trade Organization .....	1	1	1
0117 OAS Development Assistance Programs .....	4	4	3
0118 OAS Fund for Strengthening Democracy .....	5	5	3
0119 UN Office for the Coordinator for Humanitarian Affairs .....	3	3	3
0122 UN Democracy Fund .....	5	5	4
0123 International Chemicals and Toxins Programs .....	4	4	4
0124 UNFPA .....	30	35	37
0125 UN-Habitat UN Human Settlements Program .....	2	2	1
0126 UN Capital Development Fund .....	1	1	1
0127 International Development Law Organization .....	1	1	1
0129 UN High Commissioner for Human Rights .....	5	5	2
0130 Community of Democracies .....	1	1	
0131 Multilateral Action Initiatives .....			1
0900 Total new obligations (object class 41.0) .....	347	352	321

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1012 Unobligated balance transfers between expired and unexpired accounts .....	3		
1050 Unobligated balance (total) .....	3		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	349	352	321
1120 Appropriations transferred to other accts [19-1031] .....	-5		
1160 Appropriation, discretionary (total) .....	344	352	321
1930 Total budgetary resources available .....	347	352	321

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	154	170	263
3010 Obligations incurred, unexpired accounts .....	347	352	321
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-329	-259	-375
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	170	263	209
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	154	170	263
3200 Obligated balance, end of year .....	170	263	209

FUNDS APPROPRIATED TO THE PRESIDENT—Continued  
Program and Financing—Continued

Identification code 72–1005–0–1–151	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	344	352	321
Outlays, gross:			
4010 Outlays from new discretionary authority .....	179	194	177
4011 Outlays from discretionary balances .....	150	65	198
4020 Outlays, gross (total) .....	329	259	375
4180 Budget authority, net (total) .....	344	352	321
4190 Outlays, net (total) .....	329	259	375

In addition to its assessed payments, the United States contributes to voluntary funds of many UN-affiliated and other international organizations and programs involved in a wide range of sustainable development, humanitarian, scientific, environmental and security activities. The 2014 request includes funding that reflects the Administration's continued support for the UN Funds and Programs, including the UN Children's Fund (UNICEF), the UN Development Program (UNDP), and the United Nations Population Fund (UNFPA), as well as international climate change activities and the recently established UN Women program.

## DEBT RESTRUCTURING

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 11–0091–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 HIPC Bilateral Debt Reduction .....	33	.....	.....
0103 Tropical Forest Conservation Initiative .....	.....	42	.....
0900 Total new obligations (object class 41.0) .....	33	42	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	51	30	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	12	.....
1160 Appropriation, discretionary (total) .....	12	12	.....
1930 Total budgetary resources available .....	63	42	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	157	116	42
3010 Obligations incurred, unexpired accounts .....	33	42	.....
3020 Outlays (gross) .....	-74	-116	-12
3050 Unpaid obligations, end of year .....	116	42	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	157	116	42
3200 Obligated balance, end of year .....	116	42	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	12	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	74	116	12
4180 Budget authority, net (total) .....	12	12	.....
4190 Outlays, net (total) .....	74	116	12

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 11–0091–0–1–151	2012 actual	2013 CR	2014 est.
Direct loan subsidy outlays:			
134002 U.S. Agency for Int'l Development .....	20	25	.....
134003 Department of Agriculture .....	21	.....	.....
134999 Total subsidy outlays .....	41	25	.....

Funds for debt restructuring are periodically needed to help countries remove the burden of unsustainable debts, thereby establishing a sounder footing for economic growth. Debt relief and restructuring can be fundamental to helping countries stabilize their economies, restart economic growth, and reduce poverty and instability. Through programs such as the Heavily Indebted Poor Countries (HIPC) Initiative, the Multilateral Debt Relief Initiative (MDRI), as well as through the Paris Club, countries that have demonstrated a commitment to economic reforms and poverty reduction can benefit from debt restructurings. These programs reschedule and/or reduce the debt repayments to multilateral institutions and/or the U.S. Government, allowing beneficiary countries to increase poverty reduction expenditures in areas such as health, education, and rural development. Debt relief can also be used to promote other USG priorities. Under the Tropical Forest Conservation Act (TFCA), for example, the United States reduces some of the official debt owed to the U.S. Government by a developing country with tropical forests and "redirects" those debt payments toward tropical forest conservation in the beneficiary country. No funding is requested for the Debt Restructuring account in 2014, though the request for the Economic Support Fund includes authorization to transfer up to \$300 million to cover the cost of HIPC debt relief for Sudan, should the Secretary of State determine that Sudan has made sufficient progress along the various fronts the U.S. has identified as pre-conditions for any U.S. support, including implementing the agreement reached by the Governments of Sudan and South Sudan under the Comprehensive Peace Agreement, and other legislative requirements related to HIPC debt relief, including determinations on human rights and state sponsorship of terrorism.

## AGENCY FOR INTERNATIONAL DEVELOPMENT

## Federal Funds

## DEVELOPMENT ASSISTANCE

For necessary expenses to carry out the provisions of sections 103, 105, 106, 214, and sections 251 through 255, and chapter 10 of part I of the Foreign Assistance Act of 1961, \$2,837,812,000, to remain available until September 30, 2015: Provided, That relevant bureaus and offices of the United States Agency for International Development (USAID) that support cross-cutting development programs shall coordinate such programs on a regular basis: Provided further, That in addition to funds otherwise available for such purposes, up to \$15,000,000 of the funds appropriated under this heading that are used for grants focused on science, technology, or innovation and designed to improve development outcomes in any sector may be made available pursuant to chapter 1 of part I of the Foreign Assistance Act of 1961: Provided further, That funds appropriated by title III of this Act for basic education may be made available for a contribution to multilateral partnerships that support education.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 72–1021–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2,651	2,650	2,650
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,032	882	752
1010 Unobligated balance transfer to other accts [72–1264] .....	-6		
1010 Unobligated balance transfer to other accts [11–1475] .....	-25	-15	
1010 Unobligated balance transfer to other accts [14–1611] .....	-5		
1010 Unobligated balance transfer to other accts [12–2900] .....	-1		
1010 Unobligated balance transfer to other accts [71–4184] .....	-1		
1021 Recoveries of prior year unpaid obligations .....	34		
1050 Unobligated balance (total) .....	1,028	867	752
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,520	2,535	2,838
1120 Appropriations transferred to other accts [72–1264] .....	-4		-40
1120 Appropriations transferred to other accts [14–0102] .....	-1		
1120 Appropriations transferred to other accts [14–1611] .....	-9		
1160 Appropriation, discretionary (total) .....	2,506	2,535	2,798
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1701 Change in uncollected payments, Federal sources .....	-2		
1900 Budget authority (total) .....	2,506	2,535	2,798
1930 Total budgetary resources available .....	3,534	3,402	3,550
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	882	752	900
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,122	5,029	5,121
3010 Obligations incurred, unexpired accounts .....	2,651	2,650	2,650
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-1,698	-2,558	-2,799
3040 Recoveries of prior year unpaid obligations, unexpired .....	-34		
3041 Recoveries of prior year unpaid obligations, expired .....	-15		
3050 Unpaid obligations, end of year .....	5,029	5,121	4,972
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2		
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,120	5,029	5,121
3200 Obligated balance, end of year .....	5,029	5,121	4,972
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,506	2,535	2,798
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	254	280
4011 Outlays from discretionary balances .....	1,695	2,304	2,519
4020 Outlays, gross (total) .....	1,698	2,558	2,799
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	2		
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	7		
4070 Budget authority, net (discretionary) .....	2,506	2,535	2,798
4080 Outlays, net (discretionary) .....	1,691	2,558	2,799
4180 Budget authority, net (total) .....	2,506	2,535	2,798
4190 Outlays, net (total) .....	1,691	2,558	2,799

**Development Assistance Programs.**—The U.S. Agency for International Development (USAID) uses Development Assistance funds to promote transformational development in developing countries working in partnership with foreign governments, local private sector and non-governmental organizations, and through public-private partnerships. These programs enable our host government partners to implement the often difficult political, economic and other systemic changes that must occur to achieve sustainable development, helping them become more self-reliant by sustaining economic and social progress.

**Promoting economic growth.**—Funding supports trade and investment programs to increase the capacity of developing countries to participate effectively in the global trading system, comply with trade agreements, improve business environments, and increase productivity. Development Assistance programs also support economic reforms, help create new job opportunities, expand access to markets, improve the knowledge and skills of entrepreneurs and workers, and support robust agricultural and natural resource management programs.

**Feed the Future and Global Climate Change.** Development Assistance provides the majority of the funding for two critical Presidential initiatives: Feed the Future (FTF) and Global Climate Change (GCC). Nearly 870 million people in developing countries suffer from chronic hunger and more than 3.5 million children die directly or indirectly from undernutrition each year. FTF aims to raise incomes of the poor, increase the availability of food, and reduce undernutrition, including by supporting the President's G8 commitment to the "New Alliance for Food Security and Nutrition", and reduce vulnerability to food insecurity, including through robust resilience efforts to enable countries in the Sahel and Horn of Africa to adapt to and help avoid recurrent food crises. The GCC initiative provides strategic investments to help vulnerable populations adapt to the impacts of climate change and reduce net greenhouse gas emissions. Global climate change threatens the livelihoods of millions in developing countries, especially the poorest.

**Governing justly and democratically.**—Funding supports evidence-based programming in countries to strengthen rule of law and respect for human rights, encourage open and competitive political processes, promote the development of a politically active civil society, and encourage more inclusive, transparent, and accountable government institutions. Funds also support a rigorous evaluation and thought leadership agenda.

**Investing in people.**—Funding helps to develop human capital through programs such as improved and expanded access to basic education, especially for girls and women, and higher education and training to expand the skilled human capital base that is needed for development.

**Peace and security.**—Funding for conflict mitigation and reconciliation activities addresses the unique needs of fragile or crisis prone countries, helps them establish a foundation for longer-term development, by promoting reconciliation, supporting peace processes, and providing support for addressing the root causes of violence through peace building programs.

**USAID Forward Initiatives.**—Funding will support initiatives on innovation, science and technology, and evaluation, changing the way USAID and other global development partners develop and bring innovations to scale, use scientific advancements, and evaluate development programs. The Development Innovation Ventures (DIV) program invests resources in testing and scaling-up innovative and high-return development projects. Science and technology funding supports partnerships with universities and scientists, and focuses on specific Grand Challenges for Development to bring the power of science to bear on major development problems. Evaluation funds support a rebuilding of USAID's capacity for performance monitoring and rigorous evaluation to help improve the effectiveness of our assistance.

**Food Aid Reform:** The FY 2014 Food Aid Reform will ensure that the U.S. Government can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need. It includes a shift of funding previously requested in P.L. 480 Title II to three other assistance accounts: International Disaster Assistance (IDA) for emergency food response; Development Assistance (DA)

## DEVELOPMENT ASSISTANCE—Continued

for the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. The CDRF will be composed of \$330 million, replacing Title II non-emergency resources, including \$80 million in DA from the Bureau for Food Security resources and \$250 million in additional DA, to be implemented by partners that receive Title II funding. These jointly-funded CDRF programs will be managed by USAID's Office of Food for Peace and are a critical component of food security, strengthening the ability to address chronic poverty, build resilience, and help prevent food crises. The goal is to make food aid more timely and cost-effective and to improve program efficiencies and performance by shifting resources to programs that will allow the use of the right tool at the right time for responding to emergencies and chronic food insecurity. The range of tools and programs include interventions such as local and regional purchase, purchase of U.S. agricultural commodities and products, cash vouchers and transfers, and cash for work programs. Provided that the proposed food aid reforms are enacted and all the funding previously requested in P.L. 480 Title II is appropriated as described above, at least fifty-five percent of the requested (and appropriated) IDA funding of \$1,416 million for emergency food assistance programs administered by USAID's Office of Food for Peace will be used for the purchase and transport of agricultural commodities produced in the United States. The reform will facilitate robust emergency and development programming. (The Budget also shifts \$25 million of the efficiency savings to the Department of Transportation's Maritime Administration for additional targeted operating subsidies for militarily-useful vessels and incentives to facilitate the retention of mariners.)

## Object Classification (in millions of dollars)

Identification code 72-1021-0-1-151	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	5	5	5
11.3 Other than full-time permanent .....	9	9	9
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	15	15	15
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	5	5	5
22.0 Transportation of things .....	6	6	6
23.1 Rental payments to GSA .....	3	3	3
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	115	115	115
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
25.5 Research and development contracts .....	6	6	6
41.0 Grants, subsidies, and contributions .....	2,491	2,490	2,490
99.9 Total new obligations .....	2,651	2,650	2,650

## Employment Summary

Identification code 72-1021-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	51	51	51

## CHILD SURVIVAL AND HEALTH PROGRAMS

## Program and Financing (in millions of dollars)

Identification code 72-1095-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	16	10	4
0900 Total new obligations (object class 41.0) .....	16	10	4
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	23	14	4
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	30	14	4
1930 Total budgetary resources available .....	30	14	4
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	14	4	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	82	39	18
3010 Obligations incurred, unexpired accounts .....	16	10	4
3020 Outlays (gross) .....	-45	-31	-11
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	39	18	11
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	81	38	17
3200 Obligated balance, end of year .....	38	17	10
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	45	31	11
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-4		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	4		
4080 Outlays, net (discretionary) .....	41	31	11
4190 Outlays, net (total) .....	41	31	11

Prior to 2008, funds were appropriated to the Child Survival and Health Programs account to support activities that address family planning/reproductive health; child survival and maternal health, including activities directed at vulnerable children and the primary causes of morbidity and mortality, polio, micronutrients and iodine deficiency; preventing and treating infectious diseases such as malaria and tuberculosis; and reducing HIV transmission and the impact of the HIV/AIDS pandemic in developing countries. Funding for HIV/AIDS was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

## HIV/AIDS WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

Identification code 72-1033-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	402	450	450
0900 Total new obligations (object class 41.0) .....	402	450	450
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	334	414	379

Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	479	415
1701	Change in uncollected payments, Federal sources .....	3	
1750	Spending auth from offsetting collections, disc (total) .....	482	415
1930	Total budgetary resources available .....	816	794
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	414	344
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	360	291
3010	Obligations incurred, unexpired accounts .....	402	450
3020	Outlays (gross) .....	-471	-537
3050	Unpaid obligations, end of year .....	291	266
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-13
3070	Change in uncollected pymts, Fed sources, unexpired .....	-3	
3090	Uncollected pymts, Fed sources, end of year .....	-13	-13
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	350	278
3200	Obligated balance, end of year .....	278	253
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	482	415
Outlays, gross:			
4010	Outlays from new discretionary authority .....		270
4011	Outlays from discretionary balances .....	471	267
4020	Outlays, gross (total) .....	471	537
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-479	-415
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-3	
4080	Outlays, net (discretionary) .....	-8	60
4190	Outlays, net (total) .....	-8	122

The HIV/AIDS Working Capital Fund was established to assist in providing a safe, secure, reliable, and sustainable supply chain of pharmaceuticals and other products needed to provide care to and treatment for persons with HIV/AIDS and related infections. These include anti-retroviral drugs; other pharmaceuticals and medical items; laboratory and other supplies for performing tests; other medical supplies needed for the operation of HIV/AIDS treatment and care centers, including products needed in programs for the prevention of mother-to-child transmission; pharmaceuticals and health commodities needed for the provision of palliative care; and laboratory and clinical equipment, equipment needed for the transportation and care of HIV/AIDS supplies, and other equipment and technical assistance needed to provide prevention, care and treatment of HIV/AIDS described above.

**Object Classification** (in millions of dollars)

Identification code 72-1033-0-1-151	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	402	450	450

**DEVELOPMENT FUND FOR AFRICA**

**Program and Financing** (in millions of dollars)

Identification code 72-1014-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	Reimbursable program activity .....	5	6
0900	Total new obligations (object class 41.0) .....	5	6
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	11	12
1021	Recoveries of prior year unpaid obligations .....	1	

1050	Unobligated balance (total) .....	12	12	6
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	5		
1750	Spending auth from offsetting collections, disc (total) .....	5		
1900	Budget authority (total) .....	5		
1930	Total budgetary resources available .....	17	12	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	12	6	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	9	3
3010	Obligations incurred, unexpired accounts .....	5	6	6
3020	Outlays (gross) .....		-12	-9
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	9	3	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5	9	3
3200	Obligated balance, end of year .....	9	3	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5		
Outlays, gross:				
4011	Outlays from discretionary balances .....		12	9
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-5		
4190	Outlays, net (total) .....	-5	12	9

For 2014, assistance to Africa is requested in other assistance accounts.

**Object Classification** (in millions of dollars)

Identification code 72-1014-0-1-151	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	5	6	6

**ASSISTANCE FOR EUROPE, EURASIA AND CENTRAL ASIA**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 72-0306-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	565	214
0801	Reimbursable program activity .....	12	
0900	Total new obligations .....	577	214
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	304	261
1010	Unobligated balance transfer to other accts [13-0120] .....	-3	
1010	Unobligated balance transfer to other accts [89-0319] .....	-4	
1010	Unobligated balance transfer to other accts [14-0804] .....	-1	
1010	Unobligated balance transfer to other accts [11-1001] .....	-2	
1010	Unobligated balance transfer to other accts [19-1022] .....	-5	
1010	Unobligated balance transfer to other accts [11-1045] .....	-4	
1021	Recoveries of prior year unpaid obligations .....	6	
1050	Unobligated balance (total) .....	291	261
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	627	631
1120	Appropriations transferred to other accts [89-0319] .....	-4	
1120	Appropriations transferred to other accts [19-1022] .....	-74	
1120	Appropriations transferred to other accts [11-1045] .....	-1	
1120	Appropriations transferred to other accts [13-1250] .....	-2	
1120	Appropriations transferred to other accts [72-1264] .....	-1	
1120	Appropriations transferred to other accts [12-2900] .....	-9	
1160	Appropriation, discretionary (total) .....	536	631

ASSISTANCE FOR EUROPE, EURASIA AND CENTRAL ASIA—Continued  
Program and Financing—Continued

Identification code 72-0306-0-1-151	2012 actual	2013 CR	2014 est.
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	12		
1750 Spending auth from offsetting collections, disc (total) .....	12		
1900 Budget authority (total) .....	548	631	
1930 Total budgetary resources available .....	839	892	678
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	261	678	631
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	934	913	545
3010 Obligations incurred, unexpired accounts .....	577	214	47
3020 Outlays (gross) .....	-591	-582	-556
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	913	545	36
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	934	913	545
3200 Obligated balance, end of year .....	913	545	36
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	548	631	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	24	32	
4011 Outlays from discretionary balances .....	567	550	556
4020 Outlays, gross (total) .....	591	582	556
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-12		
4180 Budget authority, net (total) .....	536	631	
4190 Outlays, net (total) .....	579	582	556

The purpose of the Assistance for Europe, Eurasia and Central Asia (AEECA) account was to support programs to foster the democratic and economic transitions of the countries of South-eastern Europe and the independent states that emerged from the dissolution of the Soviet Union as well as related efforts to address social sector reform and combat transnational threats in these countries. In order to support the highest priorities globally in a constrained budget environment, and in recognition of the achievement of a number of assistance goals in this region over time, the Administration requested the normalization of foreign assistance resources for the countries of Europe, Eurasia, and Central Asia beginning with the 2013 Budget. Appropriations for the programs formerly funded through AEECA are now requested in the Economic Support Fund, International Narcotics Control and Law Enforcement, and Global Health Programs accounts.

Object Classification (in millions of dollars)

Identification code 72-0306-0-1-151	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.5 Personnel compensation: Other personnel compensation .....	14		
12.1 Civilian personnel benefits .....	3		
21.0 Travel and transportation of persons .....	3	1	1
22.0 Transportation of things .....	1		
23.2 Rental payments to others .....	1		
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	8	2	
41.0 Grants, subsidies, and contributions .....	532	209	44
99.0 Direct obligations .....	565	214	47
99.0 Reimbursable obligations .....	12		
99.9 Total new obligations .....	577	214	47

ASSISTANCE FOR EASTERN EUROPE AND THE BALTIC STATES  
Program and Financing (in millions of dollars)

Identification code 72-1010-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6	1	1
0900 Total new obligations (object class 41.0) .....	6	1	1
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	1
1012 Unobligated balance transfers between expired and unexpired accounts .....	5		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	8	2	1
1930 Total budgetary resources available .....	8	2	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	1	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	57	25	9
3010 Obligations incurred, unexpired accounts .....	6	1	1
3020 Outlays (gross) .....	-30	-17	-8
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	25	9	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	57	25	9
3200 Obligated balance, end of year .....	25	9	2
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	30	17	8
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4080 Outlays, net (discretionary) .....	29	17	8
4190 Outlays, net (total) .....	29	17	8

This account provided funds for assistance programs that fostered the democratic and economic transitions of Eastern Europe and the Baltic states as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

ASSISTANCE FOR THE INDEPENDENT STATES OF THE FORMER SOVIET UNION

Program and Financing (in millions of dollars)

Identification code 72-1093-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	5	5	3
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	8	8	3
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	13	8	3
1930 Total budgetary resources available .....	13	8	3
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8	3	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	77	36	18
3010 Obligations incurred, unexpired accounts .....	5	5	3
3020 Outlays (gross) .....	-38	-23	-19
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-3		

3050	Unpaid obligations, end of year .....	36	18	2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	77	36	18
3200	Obligated balance, end of year .....	36	18	2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	38	23	19
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4080	Outlays, net (discretionary) .....	37	23	19
4190	Outlays, net (total) .....	37	23	19

This account provided funds for assistance programs that fostered the democratic and economic transitions of the independent states that emerged from the former Soviet Union, as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

**Object Classification** (in millions of dollars)

Identification code 72-1093-0-1-151	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	4	5	3
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations .....	5	5	3

**INTERNATIONAL DISASTER ASSISTANCE**

*For necessary expenses to carry out the provisions of section 491 of the Foreign Assistance Act of 1961 for international disaster relief, rehabilitation, and reconstruction assistance, \$2,045,000,000, to remain available until expended: Provided, That not less than 55 percent of such amounts made available and obligated in fiscal year 2014 by the United States Agency for International Development's Office of Food for Peace shall be used for the purchase, storage, transport and related costs of agricultural commodities produced in the United States, including the costs of determining the need for, and monitoring and evaluation of programs involving the use of, these commodities: Provided further, That funds appropriated under this heading may be used by the Office of Food for Peace for administrative costs associated with administering international disaster assistance programs.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 72-1035-0-1-151	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	1,123	1,050	2,114
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	237	139	69
1021	Recoveries of prior year unpaid obligations .....	50		
1050	Unobligated balance (total) .....	287	139	69
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	975	980	2,045
1160	Appropriation, discretionary (total) .....	975	980	2,045
1930	Total budgetary resources available .....	1,262	1,119	2,114
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	139	69	

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	913	1,041	1,062
3010	Obligations incurred, unexpired accounts .....	1,123	1,050	2,114
3020	Outlays (gross) .....	-945	-1,029	-1,570
3040	Recoveries of prior year unpaid obligations, unexpired .....	-50		
3050	Unpaid obligations, end of year .....	1,041	1,062	1,606
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	913	1,041	1,062
3200	Obligated balance, end of year .....	1,041	1,062	1,606
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	975	980	2,045
Outlays, gross:				
4010	Outlays from new discretionary authority .....	36	329	900
4011	Outlays from discretionary balances .....	909	700	670
4020	Outlays, gross (total) .....	945	1,029	1,570
4180	Budget authority, net (total) .....	975	980	2,045
4190	Outlays, net (total) .....	945	1,029	1,570

The International Disaster Assistance (IDA) account provides funds to save lives, reduce human suffering, and mitigate and prepare for natural and complex emergencies overseas. Specifically, these funds provide for the management of humanitarian assistance, rehabilitation, disaster risk reduction, and transition to development assistance programs. Humanitarian relief interventions include, but are not limited to, shelter, emergency health and nutrition, and the provision of safe drinking water.

IDA programs target the most vulnerable populations who are affected by the shock of a disaster, including those who are internally displaced.

In addition, \$1,416 million, including funding that was previously requested for PL 480 Title II, is being requested in IDA to support all emergency food assistance programs administered by USAID's Office of Food for Peace (FFP) as described below. This will provide more opportunities to use the right tool for the right need at the right time, providing more flexibility for more timely, effective, efficient, and cost-effective responses. In addition to the purchase of U.S. commodities described below, assistance options include interventions such as local and regional procurement of food, cash transfers and cash voucher programs to facilitate access to food.

*Food Aid Reform:* The FY 2014 Food Aid Reform will ensure that the U.S. Government can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need. It includes a shift of funding previously requested in P.L. 480 Title II to three other assistance accounts: International Disaster Assistance (IDA) for emergency food response; Development Assistance (DA) for the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. The CDRF will be composed of \$330 million, replacing Title II non-emergency resources, including \$80 million in DA from the Bureau for Food Security resources and \$250 million in additional DA, to be implemented by partners that receive Title II funding. These jointly-funded CDRF programs will be managed by FFP and are a critical component of food security, strengthening the ability to address chronic poverty, build resilience, and help prevent food crises. The goal is to make food aid more timely and cost-effective and to improve program efficiencies and performance by shifting resources to programs that will allow the use of the right tool at the right time for responding to emergencies and chronic food insecurity. The range of tools and programs include interventions such as local and regional purchase, purchase of U.S. agricultural commodities and products, cash vouchers and transfers, and cash for work programs. Provided that the proposed

## INTERNATIONAL DISASTER ASSISTANCE—Continued

food aid reforms are enacted and all the funding previously requested in P.L. 480 Title II is appropriated as described above, at least fifty-five percent of the requested (and appropriated) IDA funding of \$1,416 million for emergency food assistance programs administered by FFP will be used for the purchase and transport of agricultural commodities produced in the United States. The reform will facilitate robust emergency and development programming. (The Budget also shifts \$25 million of the efficiency savings to the Department of Transportation's Maritime Administration for additional targeted operating subsidies for militarily-useful vessels and incentives to facilitate the retention of mariners.)

The request includes authority for FFP to cover administrative costs that were available under P.L. 480 Title II. These authorities will facilitate the purchase and delivery of U.S. commodities under IDA.

## Object Classification (in millions of dollars)

Identification code 72-1035-0-1-151	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.3 Personnel compensation: Other than full-time permanent .....	14	14	14
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	8	7	7
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	13	12	12
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	3	3	3
41.0 Grants, subsidies, and contributions .....	1,076	1,005	2,069
99.9 Total new obligations .....	1,123	1,050	2,114

## Employment Summary

Identification code 72-1035-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3

## EMERGENCY FOOD ASSISTANCE CONTINGENCY FUND

*For necessary expenses to carry out the provisions of Section 491 of the Foreign Assistance Act of 1961, to respond to urgent and unanticipated food needs abroad, \$75,000,000, to remain available until expended: Provided, That this amount is in addition to funds otherwise available for such purposes: Provided further, That this assistance shall be furnished on such terms and conditions as the President may determine pursuant to section 491(b) of the Act.*

## Program and Financing (in millions of dollars)

Identification code 11-0049-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			75
0900 Total new obligations (object class 41.0) .....			75
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			75
1160 Appropriation, discretionary (total) .....			75
1930 Total budgetary resources available .....			75
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			75
3020 Outlays (gross) .....			-39
3050 Unpaid obligations, end of year .....			36
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			36

## Budget authority and outlays, net:

<b>Discretionary:</b>			
4000	Budget authority, gross .....		75
	Outlays, gross:		
4010	Outlays from new discretionary authority .....		39
4180	Budget authority, net (total) .....		75
4190	Outlays, net (total) .....		39

The Emergency Food Assistance Contingency Fund (EFAC) will enable the President to provide emergency food assistance for unexpected and urgent food needs worldwide.

Following a Presidential determination, funds released from EFAC will be managed by USAID's Office of Food for Peace. The account will have the same flexibility as the International Disaster Assistance account to provide timely and cost-effective food emergency responses through interventions such as local and regional procurement of food, cash transfers or vouchers to facilitate access to food, or the purchase and shipment of U.S. commodities as appropriate.

This funding is a reallocation from funding previously requested for PL 480 Title II.

*Food Aid Reform:* The FY 2014 Food Aid Reform will ensure that the U.S. Government can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need. It includes a shift of funding previously requested in P.L. 480 Title II to three other assistance accounts: International Disaster Assistance (IDA) for emergency food response; Development Assistance (DA) for the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. The CDRF will be composed of \$330 million, replacing Title II non-emergency resources, including \$80 million in DA from the Bureau for Food Security resources and \$250 million in additional DA, to be implemented by partners that receive Title II funding. These jointly-funded CDRF programs will be managed by USAID's Office of Food for Peace and are a critical component of food security, strengthening the ability to address chronic poverty, build resilience, and help prevent food crises. The goal is to make food aid more timely and cost-effective and to improve program efficiencies and performance by shifting resources to programs that will allow the use of the right tool at the right time for responding to emergencies and chronic food insecurity. The range of tools and programs include interventions such as local and regional purchase, purchase of U.S. agricultural commodities and products, cash vouchers and transfers, and cash for work programs. Provided that the proposed food aid reforms are enacted and all the funding previously requested in P.L. 480 Title II is appropriated as described above, at least fifty-five percent of the requested (and appropriated) IDA funding of \$1,416 million for emergency food assistance programs administered by USAID's Office of Food for Peace will be used for the purchase and transport of agricultural commodities produced in the United States. The reform will facilitate robust emergency and development programming. (The Budget also shifts \$25 million of the efficiency savings to the Department of Transportation's Maritime Administration for additional targeted operating subsidies for militarily-useful vessels and incentives to facilitate the retention of mariners.)

FUNDS APPROPRIATED TO THE PRESIDENT

OPERATING EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, \$1,328,200,000, to remain available until September 30, 2015: Provided, That contracts or agreements entered into with funds appropriated under this heading during fiscal year 2015 may entail commitments for the expenditure of such funds through the following fiscal year: Provided further, That the authority of sections 610 and 109 of the Foreign Assistance Act of 1961 may be exercised by the Secretary of State to transfer funds appropriated to carry out chapter 1 of part I of such Act to "Operating Expenses" in accordance with the provisions of those sections: Provided further, That of the funds appropriated or made available under this heading, not to exceed \$250,000 may be available for representation and entertainment allowances, of which not to exceed \$5,000 may be available for entertainment allowances, for the United States Agency for International Development during the current fiscal year: Provided further, That no such entertainment funds may be used for the purposes listed in section 7015 of this Act: Provided further, That appropriate steps shall be taken to assure that, to the maximum extent possible, United States-owned foreign currencies are utilized in lieu of dollars.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 72–1000–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	1,360	1,545	1,399
0002 Foreign national separation fund .....	1	2	2
0799 Total direct obligations .....	1,361	1,547	1,401
0801 Reimbursable program activity .....	33	25	33
0900 Total new obligations .....	1,394	1,572	1,434
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	413	485	313
1011 Unobligated balance transfer from other accts [72–1037] .....	4		
1012 Unobligated balance transfers between expired and unexpired accounts .....	–41		
1021 Recoveries of prior year unpaid obligations .....	117	13	13
1050 Unobligated balance (total) .....	493	498	326
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,092	1,099	1,328
1100 Appropriation – OCO .....	255	255	
1160 Appropriation, discretionary (total) .....	1,347	1,354	1,328
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	34	33	33
1701 Change in uncollected payments, Federal sources .....	6		
1750 Spending auth from offsetting collections, disc (total) .....	40	33	33
1900 Budget authority (total) .....	1,387	1,387	1,361
1930 Total budgetary resources available .....	1,880	1,885	1,687
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	485	313	253
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	800	751	989
3010 Obligations incurred, unexpired accounts .....	1,394	1,572	1,434
3020 Outlays (gross) .....	–1,323	–1,321	–1,410
3040 Recoveries of prior year unpaid obligations, unexpired .....	–117	–13	–13
3041 Recoveries of prior year unpaid obligations, expired .....	–3		
3050 Unpaid obligations, end of year .....	751	989	1,000
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–10	–11	–11
3070 Change in uncollected pymts, Fed sources, unexpired .....	–6		
3071 Change in uncollected pymts, Fed sources, expired .....	5		
3090 Uncollected pymts, Fed sources, end of year .....	–11	–11	–11

3100	Memorandum (non-add) entries:			
	Obligated balance, start of year .....	790	740	978
3200	Obligated balance, end of year .....	740	978	989

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross .....	1,387	1,387	1,361
Outlays, gross:				
4010	Outlays from new discretionary authority .....	747	909	892
4011	Outlays from discretionary balances .....	576	412	518
4020	Outlays, gross (total) .....	1,323	1,321	1,410
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–48	–33	–33
4033	Non-Federal sources .....	–3		
4040	Offsets against gross budget authority and outlays (total) .....	–51	–33	–33
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	–6		
4052	Offsetting collections credited to expired accounts .....	17		
4060	Additional offsets against budget authority only (total) .....	11		
4070	Budget authority, net (discretionary) .....	1,347	1,354	1,328
4080	Outlays, net (discretionary) .....	1,272	1,288	1,377
4180	Budget authority, net (total) .....	1,347	1,354	1,328
4190	Outlays, net (total) .....	1,272	1,288	1,377

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	1,347	1,354	1,328
Outlays .....	1,272	1,288	1,377
Overseas contingency operations:			
Budget Authority .....			71
Outlays .....			46
Total:			
Budget Authority .....	1,347	1,354	1,399
Outlays .....	1,272	1,288	1,423

This account supports the cost of managing U.S. Agency for International Development (USAID) programs, including salaries and other expenses of direct-hire personnel as well as costs associated with physical security of Agency personnel. USAID currently maintains resident staff in more than 70 foreign countries as well as a headquarters in Washington, D.C., which supports field programs and manages regional and worldwide activities.

Object Classification (in millions of dollars)

Identification code 72–1000–0–1–151	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	357	365	384
11.3	Other than full-time permanent .....	61	82	61
11.5	Other personnel compensation .....	65	58	58
11.8	Special personal services payments .....	6	4	4
11.9	Total personnel compensation .....	489	509	507
12.1	Civilian personnel benefits .....	171	156	171
21.0	Travel and transportation of persons .....	78	65	65
22.0	Transportation of things .....	32	30	30
23.1	Rental payments to GSA .....	45	55	47
23.2	Rental payments to others .....	52	50	52
23.3	Communications, utilities, and miscellaneous charges .....	21	22	21
24.0	Printing and reproduction .....	1	2	1
25.1	Advisory and assistance services .....	90	90	80
25.2	Other services from non-Federal sources .....	83	94	80
25.3	Other goods and services from Federal sources .....	189	190	208
25.4	Operation and maintenance of facilities .....	6	8	6
25.6	Medical care .....	1	1	1
25.7	Operation and maintenance of equipment .....	15	24	14
26.0	Supplies and materials .....	10	20	10
31.0	Equipment .....	48	68	46
32.0	Land and structures .....	11	157	60
41.0	Grants, subsidies, and contributions .....	17	5	1
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	1,360	1,547	1,401
99.0	Reimbursable obligations .....	32	24	32
99.5	Below reporting threshold .....	2	1	1

OPERATING EXPENSES OF THE AGENCY FOR INTERNATIONAL DEVELOPMENT—Continued  
Object Classification—Continued

Identification code 72–1000–0–1–151	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	1,394	1,572	1,434

Employment Summary

Identification code 72–1000–0–1–151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,540	3,540	3,562
2001 Reimbursable civilian full-time equivalent employment .....	5	5	5

FUNDS APPROPRIATED TO THE PRESIDENT  
(Overseas contingency operations)

OPERATING EXPENSES

For an additional amount for "Operating Expenses", \$71,000,000, to remain available until September 30, 2015: Provided, That such amount is designated by the Congress for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 72–1000–8–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....			71
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			71
1160 Appropriation, discretionary (total) .....			71
1930 Total budgetary resources available .....			71
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			71
3020 Outlays (gross) .....			–46
3050 Unpaid obligations, end of year .....			25
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			25
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			71
Outlays, gross:			
4010 Outlays from new discretionary authority .....			46
4180 Budget authority, net (total) .....			71
4190 Outlays, net (total) .....			46

Object Classification (in millions of dollars)

Identification code 72–1000–8–1–151	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....			29
11.3 Other than full-time permanent .....			2
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			32
12.1 Civilian personnel benefits .....			1
21.0 Travel and transportation of persons .....			7
22.0 Transportation of things .....			2
25.1 Advisory and assistance services .....			1
25.2 Other services from non-Federal sources .....			16

25.3 Other goods and services from Federal sources .....			12
99.9 Total new obligations .....			71

Employment Summary

Identification code 72–1000–8–1–151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			112
2001 Reimbursable civilian full-time equivalent employment .....			

CAPITAL INVESTMENT FUND

For necessary expenses for overseas construction and related costs, and for the procurement and enhancement of information technology and related capital investments, pursuant to section 667 of the Foreign Assistance Act of 1961, \$117,940,000, to remain available until expended: Provided, That this amount is in addition to funds otherwise available for such purposes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 72–0300–0–1–151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 IT/New Construction .....	133	149	118
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	19	
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	22	19	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - IT/New Construction .....	130	130	118
1160 Appropriation, discretionary (total) .....	130	130	118
1930 Total budgetary resources available .....	152	149	118
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	37	22	34
3010 Obligations incurred, unexpired accounts .....	133	149	118
3020 Outlays (gross) .....	–137	–137	–122
3040 Recoveries of prior year unpaid obligations, unexpired .....	–11		
3050 Unpaid obligations, end of year .....	22	34	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37	22	34
3200 Obligated balance, end of year .....	22	34	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	130	130	118
Outlays, gross:			
4010 Outlays from new discretionary authority .....		127	116
4011 Outlays from discretionary balances .....	137	10	6
4020 Outlays, gross (total) .....	137	137	122
4180 Budget authority, net (total) .....	130	130	118
4190 Outlays, net (total) .....	137	137	122

\$117.9 million is requested for this account, which funds both capital IT investments for USAID and USAID's contribution to the Capital Security Cost Sharing (CSCS) Program. The Administration requests \$27.4 for information technology (IT) capital projects in 2014. Funds from the Capital Investment Fund will only be made available after USAID has demonstrated a successful business case for its IT investments.

The Administration also requests funds for USAID's per capita contribution to the CSCS Program administered by the Depart-

ment of State Overseas Building Operations. The CSCS program is designed to accelerate the construction of secure, safe, functional facilities for all U.S. Government Personnel overseas.

**Object Classification** (in millions of dollars)

Identification code 72-0300-0-1-151	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	3	13	8
25.7 Operation and maintenance of equipment .....	7	18	19
31.0 Equipment .....	3	13	8
32.0 Land and structures .....	118	105	83
41.0 Grants, subsidies, and contributions .....	2		
99.9 Total new obligations .....	133	149	118

**TRANSITION INITIATIVES**

*For necessary expenses for international disaster rehabilitation and reconstruction assistance pursuant to section 491 of the Foreign Assistance Act of 1961, \$57,600,000, to remain available until expended, to support transition to democracy and to long-term development of countries in crisis: Provided, That such support may include assistance to develop, strengthen, or preserve democratic institutions and processes, revitalize basic infrastructure, and foster the peaceful resolution of conflict: Provided further, That the United States Agency for International Development shall submit a report to the Committees on Appropriations at least 5 days prior to beginning a new program of assistance: Provided further, That if the Secretary of State determines that it is important to the national interests of the United States to provide transition assistance in excess of the amount appropriated under this heading, up to \$15,000,000 of the funds appropriated by this Act to carry out the provisions of part I of the Foreign Assistance Act of 1961 may be used for purposes of this heading and under the authorities applicable to funds appropriated under this heading.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 72-1027-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	61	60	60
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	8	6
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	12	8	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	57	58	58
1160 Appropriation, discretionary (total) .....	57	58	58
1930 Total budgetary resources available .....	69	66	64
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	6	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	77	77
3010 Obligations incurred, unexpired accounts .....	61	60	60
3020 Outlays (gross) .....	-39	-60	-60
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	77	77	77
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	61	77	77
3200 Obligated balance, end of year .....	77	77	77
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	57	58	58

<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	15	15
4011 Outlays from discretionary balances .....	38	45	45
4020 Outlays, gross (total) .....	39	60	60
4180 Budget authority, net (total) .....	57	58	58
4190 Outlays, net (total) .....	39	60	60

The Transition Initiatives (TI) account addresses opportunities and challenges facing conflict-prone countries and those countries making the transition from the initial crisis stage of a complex emergency to sustainable development and democracy. Programs are focused on advancing peace and stability, including promoting responsiveness of central governments to local needs, civic participation programs, media programs raising awareness of national issues, addressing underlying causes of instability, and conflict resolution measures. Recent country examples where TI funds were used include Afghanistan, Pakistan, Haiti, Honduras, Kenya, Lebanon, Libya, Sri Lanka, Syria, Tunisia, Yemen, Kyrgyzstan, Burma, Mali, and Cote d'Ivoire.

TI funding provides core operational funds for the Office of Transition Initiatives within the U.S. Agency for International Development Bureau for Democracy, Conflict, and Humanitarian Assistance.

**Object Classification** (in millions of dollars)

Identification code 72-1027-0-1-151	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.3 Personnel compensation: Other than full-time permanent .....	10	10	10
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	46	43	43
99.9 Total new obligations .....	61	60	60

**Employment Summary**

Identification code 72-1027-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

**CONFLICT STABILIZATION OPERATIONS**

**Program and Financing** (in millions of dollars)

Identification code 72-0305-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	4	6	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	6	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	10	6	
1930 Total budgetary resources available .....	10	6	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	4	7
3010 Obligations incurred, unexpired accounts .....	4	6	
3020 Outlays (gross) .....	-4	-3	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	4	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	4	7
3200 Obligated balance, end of year .....	4	7	7

**CONFLICT STABILIZATION OPERATIONS—Continued**  
**Program and Financing—Continued**

Identification code 72-0305-0-1-151	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	4	3	.....
4190 Outlays, net (total) .....	4	3	.....

**Object Classification (in millions of dollars)**

Identification code 72-0305-0-1-151	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	3	.....
12.1 Civilian personnel benefits .....	1	1	.....
99.0 Direct obligations .....	3	4	.....
99.5 Below reporting threshold .....	1	2	.....
99.9 Total new obligations .....	4	6	.....

**Employment Summary**

Identification code 72-0305-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	20	20	.....

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, \$54,200,000, to remain available until September 30, 2015, which sum shall be available for the Office of Inspector General of the United States Agency for International Development.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 72-1007-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	52	57	59
0801 Reimbursable program .....	5	5	5
0900 Total new obligations .....	57	62	64

**Budgetary Resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	18	19	14
1021 Recoveries of prior year unpaid obligations .....	2	1	1
1050 Unobligated balance (total) .....	20	20	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	46	46	54
1100 Appropriation-OCO .....	5	5	.....
1160 Appropriation, discretionary (total) .....	51	51	54
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	5	5
1701 Change in uncollected payments, Federal sources .....	2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	7	5	5
1900 Budget authority (total) .....	58	56	59
1930 Total budgetary resources available .....	78	76	74
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	19	14	10

**Change in obligated balance:**  
Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1 .....	19	21	20
3010 Obligations incurred, unexpired accounts .....	57	62	64
3020 Outlays (gross) .....	-53	-62	-67

3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-1	-1
3050 Unpaid obligations, end of year .....	21	20	16
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	18	17
3200 Obligated balance, end of year .....	18	17	13

**Budget authority and outlays, net:**  
Discretionary:

4000 Budget authority, gross .....	58	56	59
Outlays, gross:			
4010 Outlays from new discretionary authority .....	35	47	48
4011 Outlays from discretionary balances .....	18	15	19
4020 Outlays, gross (total) .....	53	62	67
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
4070 Budget authority, net (discretionary) .....	51	51	54
4080 Outlays, net (discretionary) .....	48	57	62
4180 Budget authority, net (total) .....	51	51	54
4190 Outlays, net (total) .....	48	57	62

The funds cover the costs of operations of the Office of the Inspector General, U.S. Agency for International Development, and include salaries, expenses, and support costs of the Inspector General's personnel.

**Object Classification (in millions of dollars)**

Identification code 72-1007-0-1-151	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	17	20	21
11.3 Other than full-time permanent .....	2	5	5
11.5 Other personnel compensation .....	3	2	2
11.9 Total personnel compensation .....	22	27	28
12.1 Civilian personnel benefits .....	7	7	7
21.0 Travel and transportation of persons .....	3	4	4
22.0 Transportation of things .....	1	3	3
23.1 Rental payments to GSA .....	3	3	3
23.2 Rental payments to others .....	2	2	2
25.1 Advisory and assistance services .....	3	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	9	7	8
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	52	57	59
99.0 Reimbursable obligations .....	5	5	5
99.9 Total new obligations .....	57	62	64

**Employment Summary**

Identification code 72-1007-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	185	192	192
2001 Reimbursable civilian full-time equivalent employment .....	15	15	15

**PROPERTY MANAGEMENT FUND**

**Program and Financing (in millions of dollars)**

Identification code 72-4175-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	2	12	.....
0900 Total new obligations (object class 32.0) .....	2	12	.....
<b>Budgetary Resources:</b> Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	28	16

Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	6	
1850	Spending auth from offsetting collections, mand (total) .....	6	
1930	Total budgetary resources available .....	30	28 16
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	28	16 16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		2 2
3010	Obligations incurred, unexpired accounts .....	2	12
3020	Outlays (gross) .....		-12
3050	Unpaid obligations, end of year .....	2	2 2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		2 2
3200	Obligated balance, end of year .....	2	2 2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	6	
Outlays, gross:			
4101	Outlays from mandatory balances .....		12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-6	
4190	Outlays, net (total) .....	-6	12

This Fund, as authorized by Public Law 101-513, is maintained for the deposit of proceeds from the sale of overseas property acquired by the U.S. Agency for International Development (USAID). The proceeds are available to construct or otherwise acquire outside the United States: 1) essential living quarters, office space, and necessary supporting facilities for use of USAID personnel; and 2) schools (including dormitories and boarding facilities) and hospitals for use of USAID and other U.S. Government personnel and their dependents. In addition, the proceeds may be used to equip, staff, operate, and maintain such schools and hospitals.

**Object Classification** (in millions of dollars)

Identification code 72-4175-0-3-151	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
32.0	Land and structures .....	2	12
99.0	Reimbursable obligations .....	2	12

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 72-4513-0-4-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	Reimbursable program .....	23	24 25
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	5	6 6
1021	Recoveries of prior year unpaid obligations .....	2	
1050	Unobligated balance (total) .....	7	6 6
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	19	24 25
1701	Change in uncollected payments, Federal sources .....	3	
1750	Spending auth from offsetting collections, disc (total) .....	22	24 25
1930	Total budgetary resources available .....	29	30 31
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	6	6 6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	11	20 10
3010	Obligations incurred, unexpired accounts .....	23	24 25
3020	Outlays (gross) .....	-12	-34 -33

3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	20	10	2
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-12	-12
3070	Change in uncollected pymts, Fed sources, unexpired .....	-3		
3090	Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	8	-2
3200	Obligated balance, end of year .....	8	-2	-10
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	22	24	25
Outlays, gross:				
4010	Outlays from new discretionary authority .....		24	25
4011	Outlays from discretionary balances .....	12	10	8
4020	Outlays, gross (total) .....	12	34	33
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-19	-24	-25
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-3		
4080	Outlays, net (discretionary) .....	-7	10	8
4190	Outlays, net (total) .....	-7	10	8

The Fund, authorized by section 635(m) of the Foreign Assistance Act of 1961, finances on a reimbursable basis the costs associated with providing administrative support to other agencies under the International Cooperative Administrative Support Services (ICASS) program overseas. Under ICASS, each agency pays a proportional share of the cost of those services they have agreed to receive. Working through inter-agency councils at post, all agencies have a say in determining which services the USAID mission will provide, defining service standards, reviewing costs, and determining funding levels. The Fund is also used for deposit of rebates from the use of Federal credit cards, the deposits then being made available for start-up costs at new ICASS service provider missions and for technical support to missions currently providing services.

**Object Classification** (in millions of dollars)

Identification code 72-4513-0-4-151	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.5	Other personnel compensation .....	1	1 1
11.8	Special personal services payments .....	5	5 5
11.9	Total personnel compensation .....	6	6 6
12.1	Civilian personnel benefits .....	2	2 2
22.0	Transportation of things .....	1	1 1
23.2	Rental payments to others .....	3	4 4
23.3	Communications, utilities, and miscellaneous charges .....	1	1 1
25.2	Other services from non-Federal sources .....	2	2 2
25.4	Operation and maintenance of facilities .....	1	1 1
25.7	Operation and maintenance of equipment .....	1	1 1
26.0	Supplies and materials .....	3	3 3
31.0	Equipment .....	2	2 3
99.0	Reimbursable obligations .....	22	23 24
99.5	Below reporting threshold .....	1	1 1
99.9	Total new obligations .....	23	24 25

**DEBT REDUCTION FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 72-4137-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0605	Debt Forgiveness Adjusting Payment .....	36	25
Credit program obligations:			
0713	Payment of interest to Treasury .....	25	9 7
0900	Total new obligations .....	61	34 7

**DEBT REDUCTION FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 72-4137-0-3-151	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	337	340	33
1023 Unobligated balances applied to repay debt .....		-340	-33
1050 Unobligated balance (total) .....	337		
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections-non-federal .....	24	34	33
1800 Offsetting collections-federal .....	20	8	1
1800 Offsetting collections (Debt Restructuring) .....	20	25	
1850 Spending auth from offsetting collections, mand (total) .....	64	67	34
1900 Financing authority (total) .....	64	67	34
1930 Total budgetary resources available .....	401	67	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	340	33	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	61	34	7
3020 Financing disbursements (gross) .....	-61	-34	-7
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	64	67	34
Financing disbursements:			
4110 Financing disbursements, gross .....	61	34	7
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - subsidy received from debt reduction account .....	-20	-25	
4122 Interest on uninvested funds .....	-20	-8	-1
4123 Non-federal sources (Loan Repayments-Principal) .....	-7	-13	-13
4123 Non-Federal sources (Loan Payments-Interest) .....	-17	-21	-20
4130 Offsets against gross financing auth and disbursements (total) .....	-64	-67	-34
4170 Financing disbursements, net (mandatory) .....	-3	-33	-27
4190 Financing disbursements, net (total) .....	-3	-33	-27

**Status of Direct Loans (in millions of dollars)**

Identification code 72-4137-0-3-151	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	793	771	758
1233 Disbursements: Purchase of loans assets from a liquidating account .....	36	25	
1251 Repayments: Repayments and prepayments .....	-17	-13	-13
Write-offs for default:			
1263 Direct loans .....	-36		
1264 Other adjustments, net .....	-5	-25	
1290 Outstanding, end of year .....	771	758	745

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the restructuring of direct loans and loan guarantees administered by the U.S. Agency for International Development (including modifications of these restructured loans). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 72-4137-0-3-151	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	337	340
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	793	771
1402 Interest receivable .....	17	17
1405 Allowance for subsidy cost (-) .....	-640	-622
1499 Net present value of assets related to direct loans .....	170	166
1999 Total assets .....	507	506

**LIABILITIES:**

Federal liabilities:			
2101	Accounts payable .....	29	28
2103	Debt - Prin Payable to BPD .....	478	478
2999	Total liabilities .....	507	506
4999	Total liabilities and net position .....	507	506

**LOAN GUARANTEES TO ISRAEL PROGRAM ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 72-0301-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707	Reestimates of loan guarantee subsidy .....	6	3
0708	Interest on reestimates of loan guarantee subsidy .....		1
0900	Total new obligations (object class 41.0) .....	6	4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	6	4
1260	Appropriations, mandatory (total) .....	6	4
1930	Total budgetary resources available .....	6	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	6	4
3020	Outlays (gross) .....	-6	-4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	6	4
Outlays, gross:			
4100	Outlays from new mandatory authority .....	6	4
4180	Budget authority, net (total) .....	6	4
4190	Outlays, net (total) .....	6	4

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 72-0301-0-1-151	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001	Loan Guarantees to Israel .....	1,270	1,274
215999	Total loan guarantee levels .....	1,270	1,274
Guaranteed loan subsidy (in percent):			
232001	Loan Guarantees to Israel .....	0.00	0.00
232999	Weighted average subsidy rate .....	0.00	0.00
Guaranteed loan upward reestimates:			
235001	Loan Guarantees to Israel .....	6	4
235999	Total upward reestimate budget authority .....	6	4
Guaranteed loan downward reestimates:			
237001	Loan Guarantees to Israel .....	-663	-103
237999	Total downward reestimate subsidy budget authority .....	-663	-103

**LOAN GUARANTEES TO ISRAEL FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 72-4119-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742	Downward reestimate paid to receipt account .....	322	38
0743	Interest on downward reestimates .....	341	66
0900	Total new obligations .....	663	104
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1,972	1,397
			1,444

Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	88	151	150
1850	Spending auth from offsetting collections, mand (total) .....	88	151	150
1930	Total budgetary resources available .....	2,060	1,548	1,594
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1,397	1,444	1,594
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	663	104	.....
3020	Financing disbursements (gross) .....	-663	-104	.....
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....	88	151	150
Financing disbursements:				
4110	Financing disbursements, gross .....	663	104	.....
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources (Upward reestimate of subsidy) .....	-6	-4	.....
4122	Interest on uninvested funds .....	-82	-85	-88
4123	Non-Federal sources - Fees .....	.....	-62	-62
4130	Offsets against gross financing auth and disbursements (total) .....	-88	-151	-150
4170	Financing disbursements, net (mandatory) .....	575	-47	-150
4190	Financing disbursements, net (total) .....	575	-47	-150

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 72-4119-0-3-151				
	2012 actual	2013 CR	2014 est.	
Position with respect to appropriations act limitation on commitments:				
2121	Limitation available from carry-forward .....	3,814	3,814	2,544
2143	Uncommitted limitation carried forward .....	-3,814	-2,544	-1,270
2150	Total guaranteed loan commitments .....	.....	1,270	1,274
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	11,616	11,278	12,186
2231	Disbursements of new guaranteed loans .....	.....	1,270	1,274
2251	Repayments and prepayments .....	-338	-362	-362
2290	Outstanding, end of year .....	11,278	12,186	13,098
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	11,278	12,186	13,098

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 72-4119-0-3-151			
	2011 actual	2012 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury .....	1,972	1,397
1999	Total assets .....	1,972	1,397
LIABILITIES:			
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	1,972	1,397
4999	Total upward reestimate subsidy BA [72-0301] .....	1,972	1,397

**LOAN GUARANTEES TO EGYPT PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 72-0304-0-1-151			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707	Reestimates of loan guarantee subsidy .....	213	.....

0708	Interest on reestimates of loan guarantee subsidy .....	89	.....
0900	Total new obligations (object class 41.0) .....	302	.....
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	302	.....
1260	Appropriations, mandatory (total) .....	302	.....
1930	Total budgetary resources available .....	302	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	302	.....
3020	Outlays (gross) .....	-302	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	302	.....
Outlays, gross:			
4100	Outlays from new mandatory authority .....	302	.....
4180	Budget authority, net (total) .....	302	.....
4190	Outlays, net (total) .....	302	.....

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 72-0304-0-1-151			
	2012 actual	2013 CR	2014 est.
Guaranteed loan upward reestimates:			
235001	Loan Guarantees to Egypt .....	301	.....
235999	Total upward reestimate budget authority .....	301	.....
Guaranteed loan downward reestimates:			
237001	Loan Guarantees to Egypt .....	-69	.....
237999	Total downward reestimate subsidy budget authority .....	-69	.....

**LOAN GUARANTEES TO EGYPT FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 72-4491-0-3-151				
	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0742	Downward reestimate paid to receipt account .....	54	.....	
0743	Interest on downward reestimates .....	15	.....	
0900	Total new obligations .....	69	.....	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	201	137	445
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	5	308	18
1850	Spending auth from offsetting collections, mand (total) .....	5	308	18
1930	Total budgetary resources available .....	206	445	463
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	137	445	463
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	69	.....	
3020	Financing disbursements (gross) .....	-69	.....	
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....	5	308	18
Financing disbursements:				
4110	Financing disbursements, gross .....	69	.....	
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources - upward reestimate of subsidy .....	.....	-302	.....
4122	Interest on uninvested funds .....	-5	-6	-18
4130	Offsets against gross financing auth and disbursements (total) .....	-5	-308	-18
4170	Financing disbursements, net (mandatory) .....	64	-308	-18
4190	Financing disbursements, net (total) .....	64	-308	-18

## LOAN GUARANTEES TO EGYPT FINANCING ACCOUNT—Continued

## Status of Guaranteed Loans (in millions of dollars)

Identification code 72-4491-0-3-151	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1,250	1,250	1,250
2251 Repayments and prepayments			
2290 Outstanding, end of year	1,250	1,250	1,250
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1,250	1,250	1,250

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 72-4491-0-3-151	2011 actual	2012 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	202	137
1999 Total assets	202	137
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees	202	137
4999 Total liabilities and net position	202	137

## TUNISIA LOAN GUARANTEE PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 72-0409-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy	30		
0900 Total new obligations (object class 41.0)	30		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other accts [72-1037]	30		
1930 Total budgetary resources available	30		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	30		
3020 Outlays (gross)	-30		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	30		
4190 Outlays, net (total)	30		

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 72-0409-0-1-151	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan Guarantees to Tunisia	485		
215999 Total loan guarantee levels	485		
Guaranteed loan subsidy (in percent):			
232001 Loan Guarantees to Tunisia	6.16		
232999 Weighted average subsidy rate	6.16		
Guaranteed loan subsidy budget authority:			
233001 Loan Guarantees to Tunisia	30		
233999 Total subsidy budget authority	30		

Guaranteed loan subsidy outlays:			
234001 Loan Guarantees to Tunisia	30		
234999 Total subsidy outlays	30		
Guaranteed loan downward reestimates:			
237001 Loan Guarantees to Tunisia		-18	
237999 Total downward reestimate subsidy budget authority		-18	

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year). The subsidy amounts are estimated on a net present value basis.

## TUNISIA LOAN GUARANTEE FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 72-4493-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimate paid to receipt account		18	
0900 Total new obligations		18	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		30	13
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	30	1	1
1850 Spending auth from offsetting collections, mand (total)	30	1	1
1930 Total budgetary resources available	30	31	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	13	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts		18	
3020 Financing disbursements (gross)		-18	
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross	30	1	1
Financing disbursements:			
4110 Financing disbursements, gross		18	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - subsidy payments from program account	-30		
4122 Interest on uninvested funds		-1	-1
4130 Offsets against gross financing auth and disbursements (total)	-30	-1	-1
4170 Financing disbursements, net (mandatory)	-30	17	-1
4190 Financing disbursements, net (total)	-30	17	-1

## Status of Guaranteed Loans (in millions of dollars)

Identification code 72-4493-0-3-151	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	485		
2150 Total guaranteed loan commitments	485		
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year		485	485
2231 Disbursements of new guaranteed loans	485		
2251 Repayments and prepayments			
2290 Outstanding, end of year	485	485	485
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	485	485	485

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 72-4493-0-3-151	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....		30
1999 Total assets .....		30
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....		30
4999 Total liabilities and net position .....		30

**URBAN AND ENVIRONMENTAL CREDIT PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 72-0401-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy .....	2		
0708 Interest on reestimates of loan guarantee subsidy .....	2		
0900 Total new obligations (object class 41.0) .....	4		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	3	2	2
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	3		
1260 Appropriations, mandatory (total) .....	3		
1930 Total budgetary resources available .....	6	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	4		
3020 Outlays (gross) .....	-3		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3		
4180 Budget authority, net (total) .....	3		
4190 Outlays, net (total) .....	3		

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 72-0401-0-1-151	2012 actual	2013 CR	2014 est.
Guaranteed loan upward reestimates:			
235001 Urban and Environmental Loan Guarantees .....	3		
235999 Total upward reestimate budget authority .....	3		
Guaranteed loan downward reestimates:			
237001 Urban and Environmental Loan Guarantees .....	-16	-8	

237999 Total downward reestimate subsidy budget authority .....	-16	-8	
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**URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 72-4344-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	4	3	3
0712 Default claim payments on interest .....		1	1
0742 Downward reestimate paid to receipt account .....	8	3	
0743 Interest on downward reestimates .....	8	6	
0900 Total new obligations .....	20	13	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	74	59	55
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		3	
1050 Unobligated balance (total) .....	74	62	55
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5	6	5
1850 Spending auth from offsetting collections, mand (total) .....	5	6	5
1930 Total budgetary resources available .....	79	68	60
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	59	55	56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	20	13	4
3020 Financing disbursements (gross) .....	-20	-13	-4
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	5	6	5
Financing disbursements:			
4110 Financing disbursements, gross .....	20	13	4
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-3	-4	-3
4123 Non-Federal sources .....	-2	-2	-2
4130 Offsets against gross financing auth and disbursements (total) .....	-5	-6	-5
4170 Financing disbursements, net (mandatory) .....	15	7	-1
4190 Financing disbursements, net (total) .....	15	7	-1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 72-4344-0-3-151	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....			
2150 Total guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	247	234	212
2251 Repayments and prepayments .....	-9	-18	-18
2263 Adjustments: Terminations for default that result in claim payments .....	-4	-4	-4
2290 Outstanding, end of year .....	234	212	190
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	234	212	190

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING  
ACCOUNT—Continued

## Balance Sheet (in millions of dollars)

Identification code 72-4344-0-3-151	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	74	60
1206 Non-Federal assets: Receivables, net .....		94
1999 Total assets .....	74	154
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....	74	60
2207 Other .....		94
2999 Total liabilities .....	74	154
4999 Total upward reestimate subsidy BA [72-0401] .....	74	154

## HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 72-4340-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	8	7	8
0712 Default claim payments on interest .....	5	2	2
0900 Total new obligations (object class 33.0) .....	13	9	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	-1		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1		
1021 Recoveries of prior year unpaid obligations .....	2		
1029 Other balances withdrawn .....	-2		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	13	9	10
1260 Appropriations, mandatory (total) .....	13	9	10
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13	13	13
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-13	-13	-13
1900 Budget authority (total) .....	13	9	10
1930 Total budgetary resources available .....	13	9	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	13	9	10
3020 Outlays (gross) .....	-10	-9	-10
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	13	9	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....		9	10
4101 Outlays from mandatory balances .....	10		
4110 Outlays, gross (total) .....	10	9	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources - Debt Restructuring .....	-2		
4123 Non-Federal sources .....	-11	-13	-13
4130 Offsets against gross budget authority and outlays (total) .....	-13	-13	-13
4160 Budget authority, net (mandatory) .....		-4	-3
4170 Outlays, net (mandatory) .....	-3	-4	-3
4180 Budget authority, net (total) .....		-4	-3
4190 Outlays, net (total) .....	-3	-4	-3

## Status of Guaranteed Loans (in millions of dollars)

Identification code 72-4340-0-3-151	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	583	514	450
2251 Repayments and prepayments .....	-61	-57	-54
2261 Adjustments: Terminations for default that result in loans receivable .....	-8	-7	-8
2290 Outstanding, end of year .....	514	450	388
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	514	450	388
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	245	154	156
2310 Outstanding, start of year .....		154	156
2331 Disbursements for guaranteed loan claims .....	13	9	10
2351 Repayments of loans receivable .....	-2	-7	-8
2351 Repayments of unrescheduled claims receivable .....	-3		
2351 Repayments of loans receivable-debt restructuring .....	-2		
2364 Other adjustments, net .....	-97		
2390 Outstanding, end of year .....	154	156	158

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from loan guarantees committed prior to 1992. All new activity in this program (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in the appropriate corresponding program accounts and financing accounts.

## Balance Sheet (in millions of dollars)

Identification code 72-4340-0-3-151	2011 actual	2012 actual
<b>ASSETS:</b>		
1206 Non-Federal assets: Receivables, net .....	11	11
1701 Defaulted guaranteed loans, gross .....	245	154
1702 Interest receivable .....	88	116
1703 Allowance for estimated uncollectible loans and interest (-) .....	-127	-126
1799 Value of assets related to loan guarantees .....	206	144
1999 Total assets .....	217	155
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	160	115
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	57	40
2999 Total liabilities .....	217	155
4999 Total liabilities and net position .....	217	155

## MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 72-0400-0-1-151	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1	-1	-1
3200 Obligated balance, end of year .....	-1	-1	-1

**MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT GUARANTEED LOAN FINANCING ACCOUNT**

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**DEVELOPMENT CREDIT AUTHORITY  
(INCLUDING TRANSFER OF FUNDS)**

*For the cost of direct loans and loan guarantees provided by the United States Agency for International Development, as authorized by sections 256 and 635 of the Foreign Assistance Act of 1961, up to \$40,000,000 may be derived by transfer from funds appropriated by this Act to carry out part I of such Act: Provided, That funds provided under this paragraph and funds provided as a gift pursuant to section 635(d) of the Foreign Assistance Act of 1961 shall be made available only for micro and small enterprise programs, urban programs, and other programs which further the purposes of part I of such Act: Provided further, That such costs, including the cost of modifying such direct and guaranteed loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: Provided further, That funds made available by this paragraph may be used for the cost of modifying any such guaranteed loans under this Act or prior Acts: Provided further, That the provisions of section 107A(d) (relating to general provisions applicable to the Development Credit Authority) of the Foreign Assistance Act of 1961, as contained in section 306 of H.R. 1486 as reported by the House Committee on International Relations on May 9, 1997, shall be applicable to direct loans and loan guarantees provided under this heading, except that the principal amount of loans made or guaranteed under this heading with respect to any single country shall not exceed \$300,000,000: Provided further, That these funds are available to subsidize total loan principal, any portion of which is to be guaranteed, of up to \$2,000,000,000.*

*In addition, for administrative expenses to carry out credit programs administered by the United States Agency for International Development, \$8,200,000, which may be transferred to, and merged with, funds made available under the heading "Operating Expenses" in title II of this Act: Provided, That funds made available under this heading shall remain available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 72-1264-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....		3	3
0702 Loan guarantee subsidy .....	26	10	25
0707 Reestimates of loan guarantee subsidy .....	7	6	
0708 Interest on reestimates of loan guarantee subsidy .....	3	3	
0709 Administrative expenses .....	9	9	9
0900 Total new obligations .....	45	31	37
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	14	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	14		
1011 Unobligated balance transfer from other accts [72-1021] ....	6		
1011 Unobligated balance transfer from other accts [72-1037] ....	2		
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	32	14	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1121 Appropriations transferred from other accts [19-1031] ....	1		

1121 Appropriations transferred from other accts [72-1021] ....	4		40
1121 Appropriations transferred from other accts [72-0306] ....	1		
1160 Appropriation, discretionary (total) .....	14	8	48
Appropriations, mandatory:			
1200 Appropriation .....	10	9	
1260 Appropriations, mandatory (total) .....	10	9	
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3		
1850 Spending auth from offsetting collections, mand (total) .....	3		
1900 Budget authority (total) .....	27	17	48
1930 Total budgetary resources available .....	59	31	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14		11

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	62	75	60
3010 Obligations incurred, unexpired accounts .....	45	31	37
3020 Outlays (gross) .....	-27	-46	-57
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	75	60	40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	62	75	60
3200 Obligated balance, end of year .....	75	60	40

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	14	8	48
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	7	29
4011 Outlays from discretionary balances .....	14	30	28
4020 Outlays, gross (total) .....	17	37	57
Mandatory:			
4090 Budget authority, gross .....	13	9	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	9	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3		
4180 Budget authority, net (total) .....	24	17	48
4190 Outlays, net (total) .....	24	46	57

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 72-1264-0-1-151	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 DCA—Direct Loan Program .....		10	10
115999 Total direct loan levels .....		10	10
Direct loan subsidy (in percent):			
132001 DCA—Direct Loan Program .....		27.42	27.14
132999 Weighted average subsidy rate .....		27.42	27.14
Direct loan subsidy budget authority:			
133001 DCA—Direct Loan Program .....		3	3
133999 Total subsidy budget authority .....		3	3
Direct loan subsidy outlays:			
134001 DCA—Direct Loan Program .....		3	3
134999 Total subsidy outlays .....		3	3
Guaranteed loan levels supportable by subsidy budget authority:			
215001 DCA—Loan Guarantees .....	524	679	618
215002 DCA—Line of Credit Guarantees .....		50	
215999 Total loan guarantee levels .....	524	729	618
Guaranteed loan subsidy (in percent):			
232001 DCA—Loan Guarantees .....	5.04	6.19	4.07
232002 DCA—Line of Credit Guarantees .....		9.96	
232999 Weighted average subsidy rate .....	5.04	6.45	4.07
Guaranteed loan subsidy budget authority:			
233001 DCA—Loan Guarantees .....	26	42	25
233002 DCA—Line of Credit Guarantees .....		5	
233999 Total subsidy budget authority .....	26	47	25
Guaranteed loan subsidy outlays:			
234001 DCA—Loan Guarantees .....	6	20	22
234002 DCA—Line of Credit Guarantees .....	3	2	
234999 Total subsidy outlays .....	9	22	22

## DEVELOPMENT CREDIT AUTHORITY—Continued

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 72-1264-0-1-151	2012 actual	2013 CR	2014 est.
Guaranteed loan upward reestimates:			
235001 DCA—Loan Guarantees .....	10	8	.....
235999 Total upward reestimate budget authority .....	10	8	.....
Guaranteed loan downward reestimates:			
237001 DCA—Loan Guarantees .....	-6	-12	.....
237999 Total downward reestimate subsidy budget authority .....	-6	-12	.....
Administrative expense data:			
3510 Budget authority .....	8	8	8
3580 Outlays from balances .....	5	3	1
3590 Outlays from new authority .....	3	7	7

As required by the Federal Credit Reform Act of 1990, this account records, for the Development Credit Authority, the subsidy costs associated with direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program and legacy USAID credit programs. The subsidy amounts are estimated on a net present value basis; the administrative expenses are estimated on a cash basis.

In 2014, the U.S. Agency for International Development (USAID) will use the Development Credit Authority (DCA) transfer authority to support DCA projects in every region of the globe and every economic sector targeted by USAID. DCA augments grant assistance by mobilizing private capital in developing countries for sustainable development projects. Credit assistance under DCA is principally intended for use where a development activity is financially viable, where borrowers are creditworthy, and where there is true risk sharing with private lenders.

In 2014, the request for \$40 million in DCA transfer authority will continue to support the flow of credit to microfinance institutions, small and medium enterprises, and agribusinesses. In addition, USAID will develop new partnerships with diaspora groups, leasing companies, pension funds and other guarantors, both public and private. DCA loan guarantees also will be used to increase investments in climate change activities including sustainable forestry, adaptation and mitigation. The request for \$8.2 million in credit program administrative expenses will fund the total cost of development, implementation, and financial management of the DCA program, as well as the continued administration of USAID's legacy credit portfolios.

## Object Classification (in millions of dollars)

Identification code 72-1264-0-1-151	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	3	3	3
25.2 Other services from non-Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	36	22	28
99.9 Total new obligations .....	45	31	37

## Employment Summary

Identification code 72-1264-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	27	27	27

## DEVELOPMENT CREDIT AUTHORITY GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 72-4266-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		3	3
0742 Downward reestimate paid to receipt account .....	3	11	.....
0743 Interest on downward reestimates .....	3	1	.....
0900 Total new obligations .....	8	15	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	36	50	70
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-3	.....
1050 Unobligated balance (total) .....	36	47	70
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	22	38	29
1850 Spending auth from offsetting collections, mand (total) .....	22	38	29
1930 Total budgetary resources available .....	58	85	99
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	50	70	96
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	.....
3010 Obligations incurred, unexpired accounts .....	8	15	3
3020 Financing disbursements (gross) .....	-7	-17	-3
3050 Unpaid obligations, end of year .....	2	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	.....
3200 Obligated balance, end of year .....	2	.....	.....
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	22	38	29
Financing disbursements:			
4110 Financing disbursements, gross .....	7	17	3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
Federal sources: Subsidy payments from program account .....			
4120 .....	-9	-22	-22
4120 Federal sources - Upward Reestimate of Subsidy .....	-10	-9	.....
4122 Interest on uninvested funds .....	-2	-3	-3
4123 Non-Federal sources .....	-1	-4	-4
4130 Offsets against gross financing auth and disbursements (total) .....	-22	-38	-29
4170 Financing disbursements, net (mandatory) .....	-15	-21	-26
4190 Financing disbursements, net (total) .....	-15	-21	-26

## Status of Guaranteed Loans (in millions of dollars)

Identification code 72-4266-0-3-151	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....	740	740	740
2121 Limitation available from carry-forward .....	4,793	4,512	4,523
2142 Uncommitted loan guarantee limitation .....	-497	.....	.....
2143 Uncommitted limitation carried forward .....	-4,512	-4,523	-4,645
2150 Total guaranteed loan commitments .....	524	729	618
2199 Guaranteed amount of guaranteed loan commitments .....	210	365	310
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	331	263	325
2231 Disbursements of new guaranteed loans .....	97	275	300
2251 Repayments and prepayments .....	-163	-210	-210
2263 Adjustments: Terminations for default that result in claim payments .....	-2	-3	-3
2290 Outstanding, end of year .....	263	325	412
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	128	165	210

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 72-4266-0-3-151	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	37	50
1206 Non-Federal assets: Receivables, net .....	9	17
1999 Total assets .....	46	67
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....	30	59
2207 Other Liabilities .....	16	8
2999 Total liabilities .....	46	67
4999 Total Liabilities and Net Position [72-1264] .....	46	67

**DEVELOPMENT CREDIT AUTHORITY DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 72-4492-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	10	10	10
0900 Total new obligations .....	10	10	10
<b>Budgetary Resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	7	7	7
1440 Borrowing authority, mandatory (total) .....	7	7	7
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	4	4
1850 Spending auth from offsetting collections, mand (total) .....	3	4	4
1900 Financing authority (total) .....	10	11	11
1930 Total budgetary resources available .....	10	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	10	10	10
3020 Financing disbursements (gross) .....	-10	-10	-10
<b>Financing authority and disbursements, net:</b>			
Discretionary:			
4020 Financing disbursements, gross .....	10	10	10
Mandatory:			
4090 Financing authority, gross .....	10	11	11
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - DCA Subsidy .....	-3	-3	-3
4123 Non-Federal sources .....	-1	-1	-1
4130 Offsets against gross financing auth and disbursements (total) .....	-3	-4	-4
4160 Financing authority, net (mandatory) .....	7	7	7
4170 Financing disbursements, net (mandatory) .....	-3	-4	-4
4180 Financing authority, net (total) .....	7	7	7
4190 Financing disbursements, net (total) .....	7	6	6

**Status of Direct Loans** (in millions of dollars)

Identification code 72-4492-0-3-151	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....	10	10	10

1142 Unobligated direct loan limitation (-) .....	-10		
1150 Total direct loan obligations .....	10	10	
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....			10
1231 Disbursements: Direct loan disbursements .....	10	10	
1251 Repayments: Repayments and prepayments .....			-1
1290 Outstanding, end of year .....	10	10	19

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans committed in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 72-4103-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Liquidating Fund Payments to VEF .....	11	10	10
0900 Total new obligations (object class 41.0) .....	11	10	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	64	50	
1022 Capital transfer of unobligated balances to general fund .....	-64	-50	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	417	365	322
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-356	-355	-312
1850 Spending auth from offsetting collections, mand (total) .....	61	10	10
1930 Total budgetary resources available .....	61	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	50		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	11	10	10
3020 Outlays (gross) .....	-11	-10	-10
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	61	10	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11	10	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-34	-25	
4123 Non-Federal sources .....	-301	-268	-261
4123 Non-Federal sources .....	-82	-72	-61
4130 Offsets against gross budget authority and outlays (total) .....	-417	-365	-322
4160 Budget authority, net (mandatory) .....	-356	-355	-312
4170 Outlays, net (mandatory) .....	-406	-355	-312
4180 Budget authority, net (total) .....	-356	-355	-312
4190 Outlays, net (total) .....	-406	-355	-312

**Status of Direct Loans** (in millions of dollars)

Identification code 72-4103-0-3-151	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	3,240	2,738	2,442
1251 Repayments: Repayments and prepayments .....	-301	-268	-261
Write-offs for default:			
Other adjustments — purchase of debt by debt reduction finance account (72-4137) .....			
1264 .....	-34	-25	
1264 Other adjustments .....	-167	-3	
1290 Outstanding, end of year .....	2,738	2,442	2,181

## ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT—Continued

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account consolidates direct loan activity from legacy credit programs funded under various accounts, including the Economic Support Fund, Functional Development Assistance Program, and the Development Loan Fund. All new activity in this program (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in the appropriate program accounts and financing accounts.

## Balance Sheet (in millions of dollars)

Identification code 72-4103-0-3-151	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	63	50
1601 Direct loans, gross .....	3,240	2,738
1602 Interest receivable .....	360	377
1603 Allowance for estimated uncollectible loans and interest (-) .....	-618	-600
1699 Value of assets related to direct loans .....	2,982	2,515
1999 Total assets .....	3,045	2,565
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	3,045	2,565
4999 Total liabilities and net position .....	3,045	2,565

## Trust Funds

## FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 72-8342-0-7-602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0240 Foreign Service National Separation Liability Trust Fund .....	3	3	3
0400 Total: Balances and collections .....	3	3	3
Appropriations:			
0500 Foreign Service National Separation Liability Trust Fund .....	-3	-3	-3
0799 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 72-8342-0-7-602	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	8	3	3
0900 Total new obligations (object class 13.0) .....	8	3	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	3	3
1260 Appropriations, mandatory (total) .....	3	3	3
1930 Total budgetary resources available .....	9	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	35	35
3010 Obligations incurred, unexpired accounts .....	8	3	3
3020 Outlays (gross) .....	-1	-3	-3
3050 Unpaid obligations, end of year .....	35	35	35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	35	35
3200 Obligated balance, end of year .....	35	35	35

## Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	3	3	3
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	1	3	3

This Fund is maintained to pay separation costs for Foreign Service National employees of the U.S. Agency for International Development in those countries in which such pay is legally required. The Fund, as authorized by Public Law 102-138, is maintained by annual Government contributions which are appropriated in several Agency accounts.

## MISCELLANEOUS TRUST FUNDS, AID

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 72-9971-0-7-151	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			50
Receipts:			
0220 Gifts and Donations, Agency for International Development .....	122	100	100
0400 Total: Balances and collections .....	122	100	150
Appropriations:			
0500 Miscellaneous Trust Funds, AID .....	-122	-50	-50
0799 Balance, end of year .....		50	100

## Program and Financing (in millions of dollars)

Identification code 72-9971-0-7-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	81	50	50
0900 Total new obligations (object class 41.0) .....	81	50	50
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	68	68
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	25	68	68
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	122	50	50
1260 Appropriations, mandatory (total) .....	122	50	50
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2		
1850 Spending auth from offsetting collections, mand (total) .....	2		
1900 Budget authority (total) .....	124	50	50
1930 Total budgetary resources available .....	149	118	118
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	68	68	68

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	85	102	112
3010 Obligations incurred, unexpired accounts .....	81	50	50
3020 Outlays (gross) .....	-63	-40	-30
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	102	112	132
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	85	102	112
3200 Obligated balance, end of year .....	102	112	132

## Budget authority and outlays, net:

Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
Mandatory:			
4090 Budget authority, gross .....	124	50	50
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	10	10
4101 Outlays from mandatory balances .....	62	30	20
4110 Outlays, gross (total) .....	63	40	30

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-2	
4180	Budget authority, net (total) .....	122	50 50
4190	Outlays, net (total) .....	61	40 30

The Miscellaneous Trust Funds account includes gifts and donations that the U.S. Agency for International Development (USAID) receives from other governments, non-governmental organizations, or private citizens. USAID has authority to spend these gifts and donations for development purposes under Section 635(d) of the Foreign Assistance Act.

## OVERSEAS PRIVATE INVESTMENT CORPORATION

### Federal Funds

#### OVERSEAS PRIVATE INVESTMENT CORPORATION

#### NONCREDIT ACCOUNT

*The Overseas Private Investment Corporation is authorized to make, without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, such expenditures and commitments within the limits of funds available to it and in accordance with law as may be necessary: Provided, That the amount available for administrative expenses to carry out the credit and insurance programs (including an amount for official reception and representation expenses shall not exceed \$35,000) shall not exceed \$71,800,000: Provided further, That project-specific transaction costs, including direct and indirect costs incurred in claims settlements, and other direct costs associated with services provided to specific investors or potential investors pursuant to section 234 of the Foreign Assistance Act of 1961, shall not be considered administrative expenses for the purposes of this heading.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 71-4184-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Non credit administrative expenses .....	22	22 29
0003	Credit administrative expenses .....	33	33 43
0005	Insurance claims and provisions .....	3	3 3
0006	Investment Encouragement and Special Activities .....	1	1 1
0008	Project and non-project specific working capital .....	2	4 6
0009	Transfers from USAID and State Department .....	2	
0900	Total new obligations .....	63	63 82
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	4,838	4,991 5,095
1011	Unobligated balance transfer from other accts [72-1037] .....	1	
1011	Unobligated balance transfer from other accts [72-1021] .....	1	
1012	Unobligated balance transfers between expired and unexpired accounts .....	12	
1021	Recoveries of prior year unpaid obligations .....	1	
1050	Unobligated balance (total) .....	4,853	4,991 5,095
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	112	126 161
1701	Change in uncollected payments, Federal sources .....	7	-5 -5
1710	Transferred to other accounts [71-0100] .....	-58	-58 -74
1750	Spending auth from offsetting collections, disc (total) .....	61	63 82
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	140	104 61
1850	Spending auth from offsetting collections, mand (total) .....	140	104 61
1900	Budget authority (total) .....	201	167 143
1930	Total budgetary resources available .....	5,054	5,158 5,238
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	4,991	5,095 5,156

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	285	289 289
3010	Obligations incurred, unexpired accounts .....	63	63 82
3020	Outlays (gross) .....	-58	-63 -85
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	
3050	Unpaid obligations, end of year .....	289	289 286
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-37	-44 -39
3070	Change in uncollected pymts, Fed sources, unexpired .....	-7	5 5
3090	Uncollected pymts, Fed sources, end of year .....	-44	-39 -34
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	248	245 250
3200	Obligated balance, end of year .....	245	250 252

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross .....	61	63 82
Outlays, gross:			
4010	Outlays from new discretionary authority .....	44	63 82
4011	Outlays from discretionary balances .....	14	
4020	Outlays, gross (total) .....	58	63 85
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources - credit administrative expenses .....	-33	-33 -43
4031	Interest on Federal securities .....	-160	-158 -133
4033	Non-Federal sources .....	-59	-39 -46
4040	Offsets against gross budget authority and outlays (total) .....	-252	-230 -222
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-7	5 5
4070	Budget authority, net (discretionary) .....	-198	-162 -135
4080	Outlays, net (discretionary) .....	-194	-167 -137
Mandatory:			
4090	Budget authority, gross .....	140	104 61
4180	Budget authority, net (total) .....	-58	-58 -74
4190	Outlays, net (total) .....	-194	-167 -137

Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value .....	5,111	5,242 5,319
5001	Total investments, EOY: Federal securities: Par value .....	5,242	5,319 5,353

The Overseas Private Investment Corporation encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its primary noncredit program is political risk insurance against losses due to expropriation, convertibility, and damage due to political violence.

Balances in this account are reserves held for potential claims and are not expected to be obligated.

#### INSURANCE PROGRAM ACTIVITY

	(in millions of dollars)			
	2011 Actual	2012 Actual	2013 Projected	2014 Projected
Aggregate insurance outstanding, start of year .....	\$4,078	\$4,652	\$6,167	\$6,867
Aggregate insurance issued during year .....	728	\$1,760	800	800
Aggregate insurance reductions and cancellations .....	-154	-245	-100	-100
Aggregate insurance outstanding, end of year .....	\$4,652	\$6,167	\$6,867	\$7,567
Net growth/(decline) of portfolio .....	574	1,515	700	700
Net growth rate of insurance portfolio (in percent) .....	14.1%	32.6%	11.4%	10.2%

#### STATUS OF INSURANCE AUTHORITY

	(in millions of dollars)			
	2011 Actual	2012 Actual	2013 Projected	2014 Projected
Statutory authority limitation <sup>1</sup> .....	\$29,000	\$29,000	\$29,000	\$29,000
Maximum contingent liability, end of year .....	2,595	3,134	2,600	2,600
Estimated potential exposure to claims, end of year .....	1,662	2,354	1,600	1,600

<sup>1</sup> This is a combined insurance and finance limitation. OPIC will monitor issuance and runoff to stay within the limitation.

OVERSEAS PRIVATE INVESTMENT CORPORATION—Continued  
Status of Funds (in millions of dollars)

Identification code 71-4184-0-3-151	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	5,088	5,237	5,346
0199 Total balance, start of year .....	5,088	5,237	5,346
Cash income during the year:			
Current law:			
Offsetting collections:			
1280 Overseas Private Investment Corporation Noncredit Account .....	33	33	43
1281 Overseas Private Investment Corporation Noncredit Account .....	59	39	46
1282 Overseas Private Investment Corporation Noncredit Account .....	160	158	133
1299 Income under present law .....	252	230	222
3299 Total cash income .....	252	230	222
Cash outgo during year:			
Current law:			
4500 Overseas Private Investment Corporation Noncredit Account .....	-58	-63	-85
4599 Outgo under current law (-) .....	-58	-63	-85
6599 Total cash outgo (-) .....	-58	-63	-85
7645 Overseas Private Investment Corporation Noncredit Account .....	-58	-58	-74
7645 Overseas Private Investment Corporation Noncredit Account .....	1		
7645 Overseas Private Investment Corporation Noncredit Account .....	12		
7645 Overseas Private Investment Corporation Noncredit Account .....	1		
7699 Total adjustments .....	-45	-58	-74
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	-5	27	56
8701 Overseas Private Investment Corporation Noncredit Account .....	5,242	5,319	5,353
8799 Total balance, end of year .....	5,237	5,346	5,409

Object Classification (in millions of dollars)

Identification code 71-4184-0-3-151	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	26	27	36
12.1 Civilian personnel benefits .....	7	8	9
23.2 Rental payments to others .....	7	8	9
25.2 Other services from non-Federal sources .....	12	11	15
25.2 Other services (working capital) .....	7	4	6
26.0 Supplies and materials .....	2	1	2
31.0 Equipment .....	1	1	2
41.0 Grants, subsidies, and contributions .....	1	3	3
99.9 Total new obligations .....	63	63	82

Employment Summary

Identification code 71-4184-0-3-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	220	235	280

PROGRAM ACCOUNT

For the cost of direct and guaranteed loans, \$31,000,000, as authorized by section 234 of the Foreign Assistance Act of 1961, to be derived by transfer from the Overseas Private Investment Corporation Noncredit Account: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That such sums shall be available for direct loan obligations and loan guaranty commitments incurred or made during fiscal years 2014, 2015, and 2016: Provided further, That funds so obligated in fiscal year 2014 remain available for disbursement through 2022; funds obligated in fiscal year 2015 remain available for disbursement through 2023; and funds obligated in fiscal year 2016 remain available for disbursement through 2024: Provided further, That notwithstanding any other provision of law, the Overseas Private Investment Corporation is authorized to undertake any program authorized by title IV of chapter 2 of part I of the Foreign Assistance Act of 1961 in Iraq: Provided further, That funds made available pursuant to the authority of the previous

proviso shall be subject to the regular notification procedures of the Committees on Appropriations.

In addition, such sums as may be necessary for administrative expenses to carry out the credit program may be derived from amounts available for administrative expenses to carry out the credit and insurance programs in the Overseas Private Investment Corporation Noncredit Account and merged with said account.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 71-0100-0-1-151	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy .....	12	20	20
0702 Loan guarantee subsidy .....	10	5	11
0705 Reestimates of direct loan subsidy .....	18	45	
0706 Interest on reestimates of direct loan subsidy .....	15	39	
0707 Reestimates of loan guarantee subsidy .....	66	98	
0708 Interest on reestimates of loan guarantee subsidy .....	19	35	
0709 Administrative expenses .....	33	33	43
0900 Total new obligations .....	173	275	74

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	23	25
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	18	23	
1021 Recoveries of prior year unpaid obligations .....	3	3	3
1050 Unobligated balance (total) .....	21	26	28
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation - Direct and guaranteed loan upward subsidy reestimate .....	118	216	
1260 Appropriations, mandatory (total) .....	118	216	
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [71-4184] .....	58	58	74
1750 Spending auth from offsetting collections, disc (total) .....	58	58	74
1900 Budget authority (total) .....	176	274	74
1930 Total budgetary resources available .....	197	300	102
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	23	25	28

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	69	70	70
3010 Obligations incurred, unexpired accounts .....	173	275	74
3020 Outlays (gross) .....	-163	-272	-69
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-3	-3
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	70	70	72
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	69	70	70
3200 Obligated balance, end of year .....	70	70	72

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	58	58	74
Outlays, gross:			
4010 Outlays from new discretionary authority .....	33	37	48
4011 Outlays from discretionary balances .....	12	19	21
4020 Outlays, gross (total) .....	45	56	69
Mandatory:			
4090 Budget authority, gross .....	118	216	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	118	216	
4180 Budget authority, net (total) .....	176	274	74
4190 Outlays, net (total) .....	163	272	69

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 71-0100-0-1-151	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 OPIC Direct Loans .....	422	750	1,200
115999 Total direct loan levels .....	422	750	1,200
Direct loan subsidy (in percent):			
132001 OPIC Direct Loans .....	-1.64	-3.10	-4.28
132999 Weighted average subsidy rate .....	-1.64	-3.10	-4.28
Direct loan subsidy budget authority:			
133001 OPIC Direct Loans .....	-5	-23	-51
133999 Total subsidy budget authority .....	-5	-23	-51
Direct loan subsidy outlays:			
134001 OPIC Direct Loans .....		-6	-15
134999 Total subsidy outlays .....		-6	-15
Direct loan upward reestimates:			
135001 OPIC Direct Loans .....	33	77	
135003 NIS Direct Loans .....		6	
135999 Total upward reestimate budget authority .....	33	83	
Direct loan downward reestimates:			
137001 OPIC Direct Loans .....	-42	-51	
137999 Total downward reestimate budget authority .....	-42	-51	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 OPIC Loan Guarantees .....	2,234	1,800	3,000
215002 OPIC Investment Funds .....	288	400	700
215003 NIS — Guaranteed Loans .....	14		
215005 Limited Arbitral Award Coverage .....	300		
215999 Total loan guarantee levels .....	2,836	2,200	3,700
Guaranteed loan subsidy (in percent):			
232001 OPIC Loan Guarantees .....	-10.90	-5.45	-6.02
232002 OPIC Investment Funds .....	-0.60	-8.44	-8.95
232003 NIS — Guaranteed Loans .....	7.25		
232005 Limited Arbitral Award Coverage .....	-2.13		
232999 Weighted average subsidy rate .....	-8.84	-5.99	-6.57
Guaranteed loan subsidy budget authority:			
233001 OPIC Loan Guarantees .....	-243	-98	-181
233002 OPIC Investment Funds .....	-2	-34	-62
233003 NIS — Guaranteed Loans .....	1		
233005 Limited Arbitral Award Coverage .....	-6		
233999 Total subsidy budget authority .....	-250	-132	-243
Guaranteed loan subsidy outlays:			
234001 OPIC Loan Guarantees .....	-106	-53	-68
234002 OPIC Investment Funds .....	-9	-18	-27
234003 NIS — Guaranteed Loans .....	1		
234999 Total subsidy outlays .....	-114	-71	-95
Guaranteed loan upward reestimates:			
235001 OPIC Loan Guarantees .....	85	132	
235003 NIS — Guaranteed Loans .....		1	
235999 Total upward reestimate budget authority .....	85	133	
Guaranteed loan downward reestimates:			
237001 OPIC Loan Guarantees .....	-56	-233	
237003 NIS — Guaranteed Loans .....		-22	
237999 Total downward reestimate subsidy budget authority .....	-56	-255	
Administrative expense data:			
3510 Budget authority .....	33	33	36
3590 Outlays from new authority .....	33	33	36

The Overseas Private Investment Corporation encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its credit program is investment financing through loans and guaranteed loans.

As required by the Federal Credit Reform Act of 1990, the Program Account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

## Object Classification (in millions of dollars)

Identification code 71-0100-0-1-151	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services (contracts) .....	33	33	43
41.0 Grants, subsidies, and contributions .....	140	242	31
99.9 Total new obligations .....	173	275	74

OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING  
ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 71-4074-0-3-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Working Capital costs .....	4	4	4
Credit program obligations:			
0710 Direct loan obligations .....	422	750	1,200
0713 Payment of interest to Treasury .....	73	73	73
0740 Negative subsidy obligations .....	17	43	73
0742 Downward reestimate paid to receipt account .....	30	34	
0743 Interest on downward reestimates .....	13	18	
0791 Direct program activities, subtotal .....	555	918	1,346
0900 Total new obligations .....	559	922	1,350
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	225	402	745
1021 Recoveries of prior year unpaid obligations .....	489	200	200
1023 Unobligated balances applied to repay debt .....	-5	-5	-5
1024 Unobligated balance of borrowing authority withdrawn .....	-480	-100	-100
1050 Unobligated balance (total) .....	229	497	840
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	430	750	1,246
1440 Borrowing authority, mandatory (total) .....	430	750	1,246
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	306	424	446
1801 Change in uncollected payments, Federal sources .....	-4	-4	-4
1850 Spending auth from offsetting collections, mand (total) .....	302	420	442
1900 Financing authority (total) .....	732	1,170	1,688
1930 Total budgetary resources available .....	961	1,667	2,528
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	402	745	1,178
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,211	1,891	1,872
3010 Obligations incurred, unexpired accounts .....	559	922	1,350
3020 Financing disbursements (gross) .....	-390	-741	-1,092
3040 Recoveries of prior year unpaid obligations, unexpired .....	-489	-200	-200
3050 Unpaid obligations, end of year .....	1,891	1,872	1,930
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-49	-45	-41
3070 Change in uncollected pymts, Fed sources, unexpired .....	4	4	4
3090 Uncollected pymts, Fed sources, end of year .....	-45	-41	-37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,162	1,846	1,831
3200 Obligated balance, end of year .....	1,846	1,831	1,893
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	732	1,170	1,688
Financing disbursements:			
4110 Financing disbursements, gross .....	390	741	1,092
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources, Credit Reform subsidy .....	-41	-97	-15
4122 Interest on uninvested funds .....	-17	-14	-14
4123 Repayments of Principal .....	-248	-146	-232
4123 Interest received on loans .....		-167	-185
4130 Offsets against gross financing auth and disbursements (total) .....	-306	-424	-446

OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING  
ACCOUNT—Continued

## Program and Financing—Continued

Identification code 71-4074-0-3-151	2012 actual	2013 CR	2014 est.
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	4	4	4
4160 Financing authority, net (mandatory) .....	430	750	1,246
4170 Financing disbursements, net (mandatory) .....	84	317	646
4180 Financing authority, net (total) .....	430	750	1,246
4190 Financing disbursements, net (total) .....	84	317	646

## Status of Direct Loans (in millions of dollars)

Identification code 71-4074-0-3-151	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	422	750	1,200
1150 Total direct loan obligations .....	422	750	1,200
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,488	1,453	1,961
1231 Disbursements: Direct loan disbursements .....	134	658	1,042
1251 Repayments: Repayments and prepayments .....	-165	-146	-232
1263 Write-offs for default: Direct loans .....	-4	-4	-5
1290 Outstanding, end of year .....	1,453	1,961	2,766

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 71-4074-0-3-151	2011 actual	2012 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	230	230
1206 Non-Federal assets: Receivables, net .....	2	2
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,488	1,453
1402 Interest receivable .....	39	39
1405 Allowance for subsidy cost (-) .....	-140	-140
1499 Net present value of assets related to direct loans .....	1,387	1,352
1999 Total assets .....	1,619	1,584
LIABILITIES:		
2103 Federal liabilities: Debt .....	1,564	1,548
NET POSITION:		
3300 Cumulative results of operations .....	55	36
4999 Total liabilities and net position .....	1,619	1,584

## Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	355	531	453
1021 Recoveries of prior year unpaid obligations .....	15	15	20
1023 Unobligated balances applied to repay debt .....	-8	-10	-10
1024 Unobligated balance of borrowing authority withdrawn .....	-15	-15	-15
1050 Unobligated balance (total) .....	347	521	448
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	304	137	254
1440 Borrowing authority, mandatory (total) .....	304	137	254
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	239	285	282
1801 Change in uncollected payments, Federal sources .....	5	5	5
1850 Spending auth from offsetting collections, mand (total) .....	244	290	287
1900 Financing authority (total) .....	548	427	541
1930 Total budgetary resources available .....	895	948	989
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	531	453	656

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	235	363	428
3010 Obligations incurred, unexpired accounts .....	364	495	333
3020 Financing disbursements (gross) .....	-221	-415	-163
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15	-15	-20
3050 Unpaid obligations, end of year .....	363	428	578
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-19	-24	-29
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5	-5	-5
3090 Uncollected pymts, Fed sources, end of year .....	-24	-29	-34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	216	339	399
3200 Obligated balance, end of year .....	339	399	544

## Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	548	427	541
Financing disbursements:			
4110 Financing disbursements, gross .....	221	415	163
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account .....	-89	-142	-12
4122 Interest on uninvested funds .....	-19	-1	-1
4123 Claim recoveries .....	-131	-51	-52
4123 Fees .....	-91	-91	-217
4130 Offsets against gross financing auth and disbursements (total) .....	-239	-285	-282
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-5	-5	-5
4160 Financing authority, net (mandatory) .....	304	137	254
4170 Financing disbursements, net (mandatory) .....	-18	130	-119
4180 Financing authority, net (total) .....	304	137	254
4190 Financing disbursements, net (total) .....	-18	130	-119

## Status of Guaranteed Loans (in millions of dollars)

Identification code 71-4075-0-3-151	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation .....	2,548	1,800	3,000
2131 Guaranteed loan commitments exempt from limitation .....	288	400	700
2150 Total guaranteed loan commitments .....	2,548	1,800	3,000
2150 Total guaranteed loan commitments .....	288	400	700
2199 Guaranteed amount of guaranteed loan commitments .....	2,836	1,800	3,000
2199 Guaranteed amount of guaranteed loan commitments .....	400	700	700
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	5,815	6,361	9,186
2231 Disbursements of new guaranteed loans .....	1,314	3,375	4,018
2251 Repayments and prepayments .....	-745	-471	-690
2261 Adjustments: Terminations for default that result in loans receivable .....	-23	-79	-55
2290 Outstanding, end of year .....	6,361	9,186	12,459

OVERSEAS PRIVATE INVESTMENT CORPORATION GUARANTEED LOAN FINANCING  
ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 71-4075-0-3-151	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0003 Working Capital Costs .....	7	6	6
Credit program obligations:			
0711 Default claim payments on principal .....	23	79	55
0713 Payment of interest to Treasury .....	18	18	18
0740 Negative subsidy obligations .....	260	137	254
0742 Downward reestimate paid to receipt account .....	27	125	.....
0743 Interest on downward reestimates .....	29	130	.....
0791 Direct program activities, subtotal .....	357	489	327
0900 Total new obligations .....	364	495	333

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	6,284	7,031	7,647
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	162	150	173
2331	Disbursements for guaranteed loan claims .....	23	83	77
2351	Repayments of loans receivable .....	-26	-40	-40
2361	Write-offs of loans receivable .....	-9	-20	-10
2390	Outstanding, end of year .....	150	173	200

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 71-4075-0-3-151	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	347	519
1501	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans receivable, gross .....	162	150
1999	Total assets .....	509	669
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	264	428
Non-Federal liabilities:			
2204	Liabilities for loan guarantees .....	178	176
2207	Other .....	8	8
2999	Total liabilities .....	450	612
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	59	57
4999	Total liabilities and net position .....	509	669

**OVERSEAS PRIVATE INVESTMENT CORPORATION LIQUIDATING ACCOUNT**

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program, financing, and noncredit accounts.

**TRADE AND DEVELOPMENT AGENCY**

**Federal Funds**

TRADE AND DEVELOPMENT AGENCY

For necessary expenses to carry out the provisions of section 661 of the Foreign Assistance Act of 1961, \$62,662,000, to remain available until September 30, 2014: Provided, That, of the funds appropriated under this heading, not more than \$6,000 may be available for representation and entertainment allowances.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-1001-0-1-151	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Feasibility studies, technical assistance, and other activities .....	57	37	49

0002	Operating expenses .....	13	14	
0900	Total new obligations .....	57	50	63

**Budgetary Resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	7	9
1011	Unobligated balance transfer from other accts [72-1037] ...	4		
1011	Unobligated balance transfer from other accts [72-0306] ...	2		
1012	Unobligated balance transfers between expired and unexpired accounts .....	3		
1021	Recoveries of prior year unpaid obligations .....	1	2	2
1050	Unobligated balance (total) .....	14	9	11
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	50	50	63
1160	Appropriation, discretionary (total) .....	50	50	63
1930	Total budgetary resources available .....	64	59	74
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	7	9	11

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	99	94	86
3010	Obligations incurred, unexpired accounts .....	57	50	63
3020	Outlays (gross) .....	-55	-56	-65
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3041	Recoveries of prior year unpaid obligations, expired .....	-6		
3050	Unpaid obligations, end of year .....	94	86	82
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	99	94	86
3200	Obligated balance, end of year .....	94	86	82

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	50	50	63
Outlays, gross:				
4010	Outlays from new discretionary authority .....	11	18	22
4011	Outlays from discretionary balances .....	44	38	43
4020	Outlays, gross (total) .....	55	56	65
4180	Budget authority, net (total) .....	50	50	63
4190	Outlays, net (total) .....	55	56	65

The U.S. Trade and Development Agency (USTDA) helps companies create U.S. jobs through export of U.S. goods and services for priority development projects in emerging economies. USTDA links U.S. businesses to export opportunities by funding project planning activities, pilot projects, and reverse trade missions. USTDA will continue to support the promotion of U.S. exports for projects in priority sectors such as energy, transportation, telecommunications, and water and environment.

**Object Classification** (in millions of dollars)

Identification code 11-1001-0-1-151	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	4	5	5
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	5	6	6
12.1	Civilian personnel benefits .....	1	1	2
23.1	Rental payments to GSA .....	2	2	2
25.1	Advisory and assistance services .....	3	2	2
25.3	Other goods and services from Federal sources .....	1	1	1
41.0	Grants, subsidies, and contributions .....	45	38	50
99.9	Total new obligations .....	57	50	63

**Employment Summary**

Identification code 11-1001-0-1-151	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	45	50	50

**PEACE CORPS**

**Federal Funds**

PEACE CORPS

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses to carry out the provisions of the Peace Corps Act (22 U.S.C. 2501-2523), including the purchase of not to exceed five passenger motor vehicles for administrative purposes for use outside of the United States, \$378,800,000, of which \$5,000,000 is for the Office of Inspector General, to remain available until September 30, 2015: Provided, That the Director of the Peace Corps may transfer to the Foreign Currency Fluctuations Account, as authorized by 22 U.S.C. 2515, an amount not to exceed \$5,000,000: Provided further, That funds transferred pursuant to the previous proviso may not be derived from amounts made available for Peace Corps overseas operations: Provided further, That of the funds appropriated under this heading, not to exceed \$4,000 may be made available for entertainment expenses: Provided further, That none of the funds appropriated under this heading shall be used to pay for abortions: Provided further, That notwithstanding the previous proviso, section 614 of division C of Public Law 112-74 shall apply to funds appropriated under this heading.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-0100-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Peace Corps .....	373	411	427
0002 Peace Corps OIG .....	4	5	5
0799 Total direct obligations .....	377	416	432
0801 Reimbursable program activity .....	9	9	9
0900 Total new obligations .....	386	425	441
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	47	58	36
1010 Unobligated balance transfer to other accts [11-0101] .....	-4		
1021 Recoveries of prior year unpaid obligations .....	8	8	8
1050 Unobligated balance (total) .....	51	66	44
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	375	377	379
1160 Appropriation, discretionary (total) .....	375	377	379
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9	9	9
1701 Change in uncollected payments, Federal sources .....	9	9	9
1750 Spending auth from offsetting collections, disc (total) .....	18	18	18
1900 Budget authority (total) .....	393	395	397
1930 Total budgetary resources available .....	444	461	441
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	58	36	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	71	68	87
3010 Obligations incurred, unexpired accounts .....	386	425	441
3020 Outlays (gross) .....	-380	-397	-396
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	-8	-8
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	68	87	123
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-10	-19
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9	-9	-9
3090 Uncollected pymts, Fed sources, end of year .....	-10	-19	-28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	70	58	68
3200 Obligated balance, end of year .....	58	68	95

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	393	395	397
Outlays, gross:			
4010 Outlays from new discretionary authority .....	268	277	278
4011 Outlays from discretionary balances .....	112	120	118
4020 Outlays, gross (total) .....	380	397	396
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Policy Program [Text] .....	-5	-5	-5
4033 Policy Program [Text] .....	-4	-4	-4
4040 Offsets against gross budget authority and outlays (total) ...	-9	-9	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-9	-9	-9
4070 Budget authority, net (discretionary) .....	375	377	379
4080 Outlays, net (discretionary) .....	371	388	387
4180 Budget authority, net (total) .....	375	377	379
4190 Outlays, net (total) .....	371	388	387

The Peace Corps will provide direct and indirect support to Americans serving as Volunteers in approximately 70 countries worldwide in 2014, including the necessary safety and security provisions for Volunteers, trainees, and staff. The 2014 budget supports recruitment, screening, and placement of Peace Corps trainees and sustains new and existing Volunteers to have approximately 7,300 Americans enrolled in the Peace Corps by the end of 2014. The Volunteers help fill the trained manpower needs of developing countries and encourage self-sustaining development of skilled manpower. The Peace Corps also promotes mutual understanding between the peoples of the developing world and the United States and focuses the attention of the American people on the benefits of community service. Peace Corps Volunteers work primarily in the areas of agriculture, business development, education, environment, health and HIV/AIDS, and youth.

The Peace Corps Office of Inspector General provides independent oversight in accordance with the Inspector General Act of 1978, as amended. Through audits, evaluations and investigations the office prevents and detects waste, fraud, abuse and mismanagement; provides advice and assistance to agency management; and promotes efficiency, effectiveness and economy in agency programs and operations.

**Object Classification** (in millions of dollars)

Identification code 11-0100-0-1-151	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	75	82	85
11.3 Other than full-time permanent .....	6	7	7
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	82	91	94
12.1 Civilian personnel benefits .....	97	106	111
21.0 Travel and transportation of persons .....	32	32	32
22.0 Transportation of things .....	2	3	3
23.1 Rental payments to GSA .....	8	9	10
23.2 Rental payments to others .....	14	15	16
23.3 Communications, utilities, and miscellaneous charges .....	8	9	9
25.1 Advisory and assistance services .....	6	9	9
25.2 Other services from non-Federal sources .....	70	74	76
25.3 Other goods and services from Federal sources .....	9	12	12
25.4 Operation and maintenance of facilities .....	1	1	1
25.6 Medical care .....	25	28	30
25.7 Operation and maintenance of equipment .....	3	3	3
26.0 Supplies and materials .....	10	12	13
31.0 Equipment .....	9	11	12
32.0 Land and structures .....	1	1	1
99.0 Direct obligations .....	377	416	432
99.0 Reimbursable obligations .....	9	9	9
99.9 Total new obligations .....	386	425	441

**Employment Summary**

Identification code 11-0100-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,042	1,042	1,042
2001 Reimbursable civilian full-time equivalent employment .....	3	3	3

**FOREIGN CURRENCY FLUCTUATIONS****Program and Financing** (in millions of dollars)

Identification code 11-0101-0-1-151	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	5	5
1011 Unobligated balance transfer from other accts [11-0100] .....	4		
1050 Unobligated balance (total) .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5

This account transfers funds to the operating expense account for the Peace Corps to finance upward adjustments of recorded obligations because of foreign currency fluctuations. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this account and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Peace Corps to withdraw unobligated balances from the operating expenses account from prior years as long as the authorized limit of \$5 million is not exceeded at the time of the transfer.

**HOST COUNTRY RESIDENT CONTRACTORS SEPARATION LIABILITY FUND****Program and Financing** (in millions of dollars)

Identification code 11-5395-0-2-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	4	2	2
0900 Total new obligations (object class 25.2) .....	4	2	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	22	22
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	8	2	2
1850 Spending auth from offsetting collections, mand (total) .....	8	2	2
1930 Total budgetary resources available .....	26	24	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22	22	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	2	2
3020 Outlays (gross) .....	-4	-2	-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	8	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	2	2
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	4	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-8	-2	-2
4190 Outlays, net (total) .....	-4		

This fund is maintained to pay separation costs for Host Country Resident Personal Services Contractors of the Peace Corps in those countries in which such pay is legally authorized. The fund will be maintained by annual government contributions which are appropriated in the Peace Corps' operating account.

**Object Classification** (in millions of dollars)

Identification code 11-5395-0-2-151	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	4	2	2

**Trust Funds****PEACE CORPS MISCELLANEOUS TRUST FUND****Program and Financing** (in millions of dollars)

Identification code 11-9972-0-7-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0881 Reimbursable program activity .....	4	2	2
0900 Total new obligations (object class 25.2) .....	4	2	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	7	7
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	2	2
1850 Spending auth from offsetting collections, mand (total) .....	3	2	2
1930 Total budgetary resources available .....	11	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	2	2
3020 Outlays (gross) .....	-4	-2	-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
4101 Outlays from mandatory balances .....	2		
4110 Outlays, gross (total) .....	4	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3	-2	-2
4190 Outlays, net (total) .....	1		

Miscellaneous contributions received by gift, devise, bequest, or from foreign governments are used for the furtherance of the program, as authorized by 22 U.S.C. 2509(a)(4) (75 Stat. 612, as amended). Trust funds also include a fund to pay separation costs for Foreign Service National employees of the Peace Corps in those countries in which such pay is legally authorized. The fund, as authorized by Section 151 of Public Law 102-138, is maintained by annual Government contributions which are appropriated in the Peace Corps salaries and expenses account.

**Object Classification** (in millions of dollars)

Identification code 11-9972-0-7-151	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	4	2	2

**INTER-AMERICAN FOUNDATION****Federal Funds****INTER-AMERICAN FOUNDATION**

For necessary expenses to carry out the functions of the Inter-American Foundation in accordance with the provisions of section 401 of the Foreign Assistance Act of 1969, \$18,100,000, to remain available until September 30, 2015: Provided, That of the funds appropriated under this heading, not to exceed \$2,000 may be available for entertainment and representation allowances.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-3100-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Development grants .....	10	11	6
0002 Evaluations and other activities .....	4	5	5
0004 Program management and operations .....	9	9	9
0799 Total direct obligations .....	23	25	20
0801 Development Grants (SPTF) .....	8	4	6
0900 Total new obligations .....	31	29	26
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	5	6
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	9	6	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	23	18
1160 Appropriation, discretionary (total) .....	23	23	18
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	6	6
1750 Spending auth from offsetting collections, disc (total) .....	4	6	6
1900 Budget authority (total) .....	27	29	24
1930 Total budgetary resources available .....	36	35	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	6	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	29	29
3010 Obligations incurred, unexpired accounts .....	31	29	26
3020 Outlays (gross) .....	-29	-28	-27
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	29	29	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	29	29
3200 Obligated balance, end of year .....	29	29	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	27	29	24
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	13	11
4011 Outlays from discretionary balances .....	17	15	16
4020 Outlays, gross (total) .....	29	28	27
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4	-6	-6
4180 Budget authority, net (total) .....	23	23	18
4190 Outlays, net (total) .....	25	22	21

The Inter-American Foundation's (IAF) mission is to promote and invest in grassroots development in Latin America and the Caribbean to help communities thrive. It funds self-help ideas and priorities articulated by poor communities in the region. Grantee partners invest their own resources and mobilize contributions from others. This approach is cost-efficient and results

in effective, community-led development that is consistent with U.S. foreign policy goals in the region: to expand access to economic opportunities, enhance social inclusion, build citizen engagement in democratic processes at the grassroots, and strengthen resilience to crime and violence. The IAF has specialized expertise in citizen-led development, an extensive network with Latin American civil society organizations, and a robust evaluation system that complement the assets of other U.S. government agencies. It uses these tools in collaborating and sharing lessons in development with public and private sector partners.

**Development Grants:** IAF works in 21 countries in Latin America and the Caribbean and has a portfolio of approximately 270 active projects. It funds a variety of activities, including agriculture and food production, enterprise development, education and training, corporate social investment, cultural expression, environmental stewardship, health programs and legal assistance. Advancing the inclusion of women, children and youth, indigenous peoples and African descendants in economic and civic life is of high priority to the IAF. In 2014, the IAF plans to award approximately 100 new and supplemental grants.

**Leveraging of Resources:** Grantee partners invest their own resources and mobilize contributions from others in the local public and private sector; on average over the last five years, counterpart commitments have represented \$131,000 for every \$100,000 invested by the IAF. U.S. based migrant associations are also supporting the IAF-funded grassroots development projects in their home communities. In addition, members of ReDEAmerica, an IAF-initiated business sector alliance, have committed to match IAF grant funds for self-help projects at a three-to-one ratio. The IAF also manages resources from other public or private sources to fund its development grant program.

**Evaluations and Other Activities:** The IAF tracks and independently verifies the progress of its investments at six month intervals using a distinctive evaluation system known as the Grassroots Development framework (GDF). Grants are audited annually by an independent audit firm. The IAF also convenes learning exchanges among grantee partners and conducts end-of-project assessments and a five-year ex-post assessment of a sample of projects. The IAF also supports field research on relevant development topics by Ph.D. candidates in U.S. universities. These investments contribute to the cadre of specialists in the field and add to the growing body of knowledge about grassroots development.

**Object Classification** (in millions of dollars)

Identification code 11-3100-0-1-151	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....		1	1
25.1 Advisory and assistance services .....	5	6	6
25.3 Other goods and services from Federal sources .....	2	2	2
31.0 Equipment .....	1		
41.0 Grants, subsidies, and contributions .....	10	11	6
99.0 Direct obligations .....	23	25	20
99.0 Reimbursable obligations .....	8	4	6
99.9 Total new obligations .....	31	29	26

**Employment Summary**

Identification code 11-3100-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	42	45	45

## AFRICAN DEVELOPMENT FOUNDATION

## Federal Funds

## AFRICAN DEVELOPMENT FOUNDATION

For necessary expenses to carry out title V of the International Security and Development Cooperation Act of 1980 (Public Law 96-533), \$24,000,000, to remain available until September 30, 2015: Provided, That funds made available to grantees may be invested pending expenditure for project purposes when authorized by the Board of Directors of the Foundation: Provided further, That interest earned shall be used only for the purposes for which the grant was made: Provided further, That notwithstanding section 505(a)(2) of the African Development Foundation Act, in exceptional circumstances the Board of Directors of the Foundation may waive the \$250,000 limitation contained in that section with respect to a project and a project may exceed the limitation by up to 10 percent if the increase is due solely to foreign currency fluctuation: Provided further, That the Foundation shall provide a report to the Committees on Appropriations after each time such waiver authority is exercised: Provided further, That section 503(a) of the African Development Foundation Act (Public Law 96-533; 22 U.S.C. 290h-1(a)) is hereby amended by inserting "United States" before "African Development": Provided further, That the African Development Foundation may rent or lease in Africa for periods less than ten years such offices, buildings, grounds, and quarters as may be necessary to carry out its functions, and make payments therefor in advance from appropriations available for such purpose: Provided further, That the African Development Foundation may maintain bank accounts outside the United States Treasury and retain any interest earned on such accounts, in furtherance of the purposes of the African Development Foundation Act, provided that the Foundation may not withdraw any appropriations from the Treasury prior to the need for spending such funds for program purposes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 11-0700-0-1-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	31	10	9
0002 Development grants .....		19	14
0004 Other program costs .....		1	1
0900 Total new obligations .....	31	30	24
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	4
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	5	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	30	24
1160 Appropriation, discretionary (total) .....	30	30	24
1900 Budget authority (total) .....	30	30	24
1930 Total budgetary resources available .....	35	34	29
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	3	4	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	27	26
3010 Obligations incurred, unexpired accounts .....	31	30	24
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-29	-30	-25
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	27	26	24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	24	27	26
3200 Obligated balance, end of year .....	27	26	24

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	30	30	24
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	14	11
4011 Outlays from discretionary balances .....	16	16	14
4020 Outlays, gross (total) .....	29	30	25
4180 Budget authority, net (total) .....	30	30	24
4190 Outlays, net (total) .....	29	30	25

The African Development Foundation (ADF), is a public corporation and an independent agency of the U.S. Government established to support African-designed and African-driven initiatives to address grassroots economic and social problems and promote sustainable development. ADF provides grants of up to \$250,000 directly to community groups, agricultural cooperatives, and small enterprises that operate in under-served communities in conflict and post-conflict areas across Africa. Grant activities typically focus on food production and associated activities that improve food security and generate new economic opportunities. Each grant helps organizations create and sustain jobs, improve income levels, and address other social needs. ADF also provides grants to African NGOs to establish sustainable in-country development expertise and to provide technical assistance to project grantees.

ADF leverages additional matching program funds through strategic partnerships with several African governments and with other donor entities. In FY 2014, ADF will be expanding these outreach efforts. Leveraged funds and a lower-cost operating model help make ADF an efficient foreign assistance provider to Africa.

In FY 2014, funding for ADF programs will provide resources to establish new grants in 23 African countries and resources to monitor and maintain an active portfolio of 400 grants. ADF programs support three important strategic goals:

- 1) ADF programs support important security interests across Africa, such as the Sahel corridor (including Mali, Mauritania, and Niger, among others) and the Horn of Africa (including Somalia).
- 2) ADF programs support the Administration's development priorities that include Feed the Future and the Young African Leaders Initiative.
- 3) ADF programs are results and evidence based.

## Object Classification (in millions of dollars)

Identification code 11-0700-0-1-151	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	3	3	2
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	4	4	3
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....	1	1	1
25.1 Other administrative costs .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.2 Program non-development grants .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Development grants .....	21	20	15
99.9 Total new obligations .....	31	30	24

## Employment Summary

Identification code 11-0700-0-1-151	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	29	34	34

**Trust Funds**

## GIFTS AND DONATIONS, AFRICAN DEVELOPMENT FOUNDATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 11-8239-0-7-151	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	3		
Adjustments:			
0190 Adjustment - rounding issue over several years .....	-3		
0199 Balance, start of year .....			
Receipts:			
0220 Gifts and Donations, African Development Foundation .....	2	2	2
0400 Total: Balances and collections .....	2	2	2
Appropriations:			
0500 Gifts and Donations, African Development Foundation .....	-2	-2	-2
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 11-8239-0-7-151	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Project Grants .....	3	2	2
0900 Total new obligations (object class 41.0) .....	3	2	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	4
1021 Recoveries of prior year unpaid obligations .....	1	2	2
1050 Unobligated balance (total) .....	3	4	6
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	2	2
1260 Appropriations, mandatory (total) .....	2	2	2
1930 Total budgetary resources available .....	5	6	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	4	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	3	1
3010 Obligations incurred, unexpired accounts .....	3	2	2
3020 Outlays (gross) .....	-3	-2	-1
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	3	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	3	1
3200 Obligated balance, end of year .....	3	1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	3	1	
4110 Outlays, gross (total) .....	3	2	1
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	3	2	1

ADF has the authority to accept contributions from any legitimate source, such as foreign governments, private businesses, non-governmental organizations, international donors, and other strategic partners committed to promoting grassroots-based economic growth and development in Africa. These funds are used in coordination with appropriated amounts to further expand the reach and impact of ADF's programs.

**INTERNATIONAL MONETARY PROGRAMS****Federal Funds**

## UNITED STATES QUOTA, INTERNATIONAL MONETARY FUND

**Program and Financing** (in millions of dollars)

Identification code 11-0003-0-1-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Change in valuation .....	1,592		
0900 Total new obligations (object class 33.0) .....	1,592		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22,266	21,921	21,921
1021 Recoveries of prior year unpaid obligations .....	1,627		
1026 Adjustment for dollar equivalent .....	-1,749		
1050 Unobligated balance (total) .....	22,144	21,921	21,921
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,369		
1850 Spending auth from offsetting collections, mand (total) .....	1,369		
1930 Total budgetary resources available .....	23,513	21,921	21,921
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21,921	21,921	21,921
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	37,198	35,571	35,571
3010 Obligations incurred, unexpired accounts .....	1,592		
3020 Outlays (gross) .....	-1,592		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,627		
3050 Unpaid obligations, end of year .....	35,571	35,571	35,571
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37,198	35,571	35,571
3200 Obligated balance, end of year .....	35,571	35,571	35,571
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,369		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,369		
4101 Outlays from mandatory balances .....	223		
4110 Outlays, gross (total) .....	1,592		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1,369		
4190 Outlays, net (total) .....	223		

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Outlays .....	223		
Legislative proposal, not subject to PAYGO:			
Outlays .....		-1,995	
Legislative proposal, subject to PAYGO:			
Budget Authority .....		63,032	
Total:		63,032	
Budget Authority .....		63,032	
Outlays .....	223	-1,995	

The United States participates in the International Monetary Fund (IMF) through a quota subscription, denominated in Special Drawing Rights (SDRs). The total U.S. quota in the IMF is presently SDR 42,122,400,000 (about \$64.7 billion as of December 28, 2012). Quotas are the main metric used by the Fund to assign voting shares and to determine countries' contributions to the IMF's general resources and access to IMF financing.

The use of the U.S. quota by the IMF under this account constitutes an exchange of monetary assets and does not result in net budget outlays. When the United States transfers dollars or other reserve assets to the IMF under the U.S. quota subscription, the United States receives an equal, offsetting, and interest-

bearing claim on the IMF, which is reflected as an increase in U.S. international monetary reserves. The U.S. reserve position in the IMF is readily available to meet a U.S. balance-of-payments financing need.

In 2010, G-20 Leaders and the IMF membership decided on a set of quota and governance reforms designed to enhance IMF effectiveness. The United States successfully achieved its negotiating priorities during this process: (1) a U.S. quota increase with a corresponding equivalent rollback in U.S. participation in the IMF's New Arrangements to Borrow (NAB) for no change in overall U.S. financial participation in the IMF; and (2) preservation of U.S. veto power in the IMF.

To implement the terms of the 2010 agreement, the Administration is seeking to increase the U.S. quota by SDR 40,871,800,000 (approximately \$63 billion as of December 28, 2012) and simultaneously reduce U.S. participation in the NAB by an equal amount. Under the Administration proposal, which has an assumed enactment date in fiscal year 2013, the increases to the quota and NAB provided in the 2009 Supplemental Appropriations Act would be restated to reflect the pre-2009 agreement on budgetary treatment for the IMF and would be consolidated respectively into this account and the "Loans to International Monetary Fund" account. The Administration also seeks authorization for the United States to accept an amendment to the IMF Articles of Agreement that will facilitate changes in the composition of the IMF Executive Board while preserving the U.S. seat on the Board. The required authorization requests, including for mandatory funding for the quota increase and NAB rollback, will be submitted separately.

UNITED STATES QUOTA, INTERNATIONAL MONETARY FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 11-0003-2-1-155	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000			1,995
	Unobligated balance brought forward, Oct 1 .....		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800		1,995	
	Collected .....		
1850		1,995	
	Spending auth from offsetting collections, mand (total) .....		
1930		1,995	1,995
	Total budgetary resources available .....		
Memorandum (non-add) entries:			
1941		1,995	1,995
	Unexpired unobligated balance, end of year .....		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			5,755
	Unpaid obligations, brought forward, Oct 1 .....		
3001		5,755	
	Adjustments to unpaid obligations, brought forward, Oct 1 .....		
3050		5,755	5,755
	Unpaid obligations, end of year .....		
Memorandum (non-add) entries:			
3100		5,755	5,755
	Obligated balance, start of year .....		
3200		5,755	5,755
	Obligated balance, end of year .....		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090		1,995	
	Budget authority, gross .....		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120		-1,995	
	Federal sources .....		
4190		-1,995	
	Outlays, net (total) .....		

The table above shows the restatement and consolidation into this account of the 2009 appropriation to reflect the pre-2009

agreement on budgetary treatment for the IMF quota included in the Budget proposal described above.

UNITED STATES QUOTA, INTERNATIONAL MONETARY FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 11-0003-4-1-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003		47,275	
	December 2010 Agreement .....		
0900		47,275	
	Total new obligations (object class 33.0) .....		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000			15,757
	Unobligated balance brought forward, Oct 1 .....		
Budget authority:			
Appropriations, mandatory:			
1200		63,032	
	Appropriation .....		
1260		63,032	
	Appropriations, mandatory (total) .....		
1900		63,032	
	Budget authority (total) .....		
1930		63,032	15,757
	Total budgetary resources available .....		
Memorandum (non-add) entries:			
1941		15,757	15,757
	Unexpired unobligated balance, end of year .....		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			47,275
	Unpaid obligations, brought forward, Oct 1 .....		
3010		47,275	
	Obligations incurred, unexpired accounts .....		
3050		47,275	47,275
	Unpaid obligations, end of year .....		
Memorandum (non-add) entries:			
3100			47,275
	Obligated balance, start of year .....		
3200		47,275	47,275
	Obligated balance, end of year .....		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090		63,032	
	Budget authority, gross .....		
4180		63,032	
	Budget authority, net (total) .....		

The table above shows the Budget proposal described above to increase the IMF quota (which will be accompanied by a simultaneous and equivalent reduction in the New Arrangements to Borrow).

UNITED STATES QUOTA IMF DIRECT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 11-0006-0-1-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705	17	24	
	Reestimates of direct loan subsidy .....		
0900	17	24	
	Total new obligations (object class 41.0) .....		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000		7	
	Unobligated balance brought forward, Oct 1 .....		
1021	7		
	Recoveries of prior year unpaid obligations .....		
1029		-7	
	Other balances withdrawn .....		
1050	7		
	Unobligated balance (total) .....		
Budget authority:			
Appropriations, mandatory:			
1200	17	24	
	Appropriation .....		
1260	17	24	
	Appropriations, mandatory (total) .....		
1900	17	24	
	Budget authority (total) .....		
1930	24	24	
	Total budgetary resources available .....		
Memorandum (non-add) entries:			
1941	7		
	Unexpired unobligated balance, end of year .....		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	141	134	134
	Unpaid obligations, brought forward, Oct 1 .....		
3010	17	24	
	Obligations incurred, unexpired accounts .....		
3020	-17	-24	
	Outlays (gross) .....		

UNITED STATES QUOTA IMF DIRECT LOAN PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 11-0006-0-1-155	2012 actual	2013 CR	2014 est.
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	134	134	134
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	141	134	134
3200 Obligated balance, end of year .....	134	134	134
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	17	24	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	17	24	
4180 Budget authority, net (total) .....	17	24	
4190 Outlays, net (total) .....	17	24	

## Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	17	24	
Outlays .....	17	24	
Legislative proposal, not subject to PAYGO:			
Budget Authority .....		-24	
Outlays .....		-24	
Total:			
Budget Authority .....	17		
Outlays .....	17		

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 11-0006-0-1-155	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135001 Quota .....	17	24	
135999 Total upward reestimate budget authority .....	17	24	

The Supplemental Appropriations Act of 2009 (Public Law 111-32), enacted June 24, 2009, provided authorization and appropriations for an increase in the U.S. quota to the IMF by the dollar equivalent of SDR 4,973,100,000 (about \$7.6 billion as of December 28, 2012). This increase in the U.S. quota entered into effect on March 25, 2011.

For quota resources authorized by the Supplemental Appropriations Act of 2009, just as with the quota resources appropriated to the IMF prior to 2009, when the United States transfers dollars or other reserve assets to the IMF under the U.S. quota subscription, the United States receives an equal, offsetting, and interest-bearing claim on the IMF, which is reflected as an increase in U.S. international monetary reserves.

While the U.S. quota in the IMF is not a credit program, the Supplemental Appropriations Act of 2009 (Public Law 111-32) directed that the 2009 appropriation to increase the U.S. quota in the IMF be scored on a credit reform basis, per the Federal Credit Reform Act of 1990, as amended (FCRA), with an additional adjustment to the discount rate for market risk. The application of FCRA by operation of law to the 2009 quota appropriation was a significant change in the budgetary treatment of the U.S. quota to the IMF and does not apply to appropriations for the U.S. quota to the IMF prior to 2009.

As directed by the Supplemental Appropriations Act of 2009, this account records the subsidy costs associated with the increase in the U.S. quota to the IMF on a FCRA basis, including an adjustment to the discount rate for market risk.

For additional information, including about the Budget proposal, see the account entitled "United States Quota, International Monetary Fund".

UNITED STATES QUOTA IMF DIRECT LOAN PROGRAM ACCOUNT  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 11-0006-2-1-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....		-24	
0900 Total new obligations (object class 41.0) .....		-24	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		-24	
1260 Appropriations, mandatory (total) .....		-24	
1930 Total budgetary resources available .....		-24	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			-134
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....		-134	
3010 Obligations incurred, unexpired accounts .....		-24	
3020 Outlays (gross) .....		24	
3050 Unpaid obligations, end of year .....		-134	-134
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		-134	-134
3200 Obligated balance, end of year .....		-134	-134

## Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....		-24	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		-24	
4180 Budget authority, net (total) .....		-24	
4190 Outlays, net (total) .....		-24	

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 11-0006-2-1-155	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135001 Quota .....		-24	
135999 Total upward reestimate budget authority .....		-24	

The table above shows the restatement of the 2009 appropriation that is consolidated into the "United States Quota, International Monetary Fund" account to reflect the pre-2009 agreement on budgetary treatment for the IMF included in the Budget proposal described under that account.

## UNITED STATES IMF QUOTA, DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 11-4383-0-3-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	1	2	1
0900 Total new obligations .....	1	2	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		23	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-23	
1021 Recoveries of prior year unpaid obligations .....	297		
1024 Unobligated balance of borrowing authority withdrawn .....	-287		

1050	Unobligated balance (total) .....	10		
	Financing authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected .....	21	2	2
1801	Change in uncollected payments, Federal sources .....	-7		
1850	Spending auth from offsetting collections, mand (total) .....	14	2	2
1900	Financing authority (total) .....	14	2	2
1930	Total budgetary resources available .....	24	2	2
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	23		1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6,029	5,732	5,755
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....		23	
3010	Obligations incurred, unexpired accounts .....	1	2	1
3020	Financing disbursements (gross) .....	-1	-2	-290
3040	Recoveries of prior year unpaid obligations, unexpired .....	-297		
3050	Unpaid obligations, end of year .....	5,732	5,755	5,466
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-141	-134	-134
3070	Change in uncollected pymts, Fed sources, unexpired .....	7		
3090	Uncollected pymts, Fed sources, end of year .....	-134	-134	-134
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5,888	5,621	5,621
3200	Obligated balance, end of year .....	5,598	5,621	5,332

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....	14	2	2
Financing disbursements:				
4110	Financing disbursements, gross .....	1	2	290
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-21		
4122	Interest on uninvested funds .....		-1	-1
4123	Non-Federal sources .....		-1	-1
4130	Offsets against gross financing auth and disbursements (total) .....	-21	-2	-2
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	7		
4170	Financing disbursements, net (mandatory) .....	-20		288
4190	Financing disbursements, net (total) .....	-20		288

**Status of Direct Loans (in millions of dollars)**

Identification code 11-4383-0-3-155	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121	Limitation available from carry-forward .....		
1143	Unobligated limitation carried forward (P.L. xx) (-) .....		
1150	Total direct loan obligations .....		
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	1,995	1,995
1231	Disbursements: Direct loan disbursements .....		287
1251	Repayments: Repayments and prepayments .....		
1290	Outstanding, end of year .....	1,995	2,282

As directed by the Supplemental Appropriations Act of 2009 (Public Law 111-32), this non-budgetary account records all cash flows to and from the Government resulting from the 2009 increase in the U.S. quota in the IMF, consistent with FCRA rules. The amounts in this account are a means of financing and do not affect the deficit and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 11-4383-0-3-155	2011 actual	2012 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury .....	23
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross .....	1,995
1405	Allowance for subsidy cost (-) .....	-136
1499	Net present value of assets related to direct loans .....	1,859
1999	Total assets .....	1,882

LIABILITIES:			
2103	Federal liabilities: Debt .....	1,882	1,882
4999	Total liabilities and net position .....	1,882	1,882

**UNITED STATES IMF QUOTA, DIRECT LOAN FINANCING ACCOUNT  
(Legislative proposal, not subject to PAYGO)****Program and Financing (in millions of dollars)**

Identification code 11-4383-2-3-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Reclassification of 2009 Agreement .....	1,995	
Credit program obligations:			
0713	Payment of interest to Treasury .....	-2	-1
0900	Total new obligations .....	1,993	-1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....		-1,995
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-1,995	
1050	Unobligated balance (total) .....	-1,995	-1,995
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	-2	-2
1800	Collected .....	1,995	
1850	Spending auth from offsetting collections, mand (total) .....	1,993	-2
1930	Total budgetary resources available .....	-2	-1,997
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	-1,995	-1,996

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....			-5,755
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....		-5,755	
3010	Obligations incurred, unexpired accounts .....		1,993	-1
3020	Financing disbursements (gross) .....		-1,993	290
3050	Unpaid obligations, end of year .....		-5,755	-5,466
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....			134
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....		134	
3090	Uncollected pymts, Fed sources, end of year .....		134	134
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		-5,621	-5,621
3200	Obligated balance, end of year .....		-5,621	-5,332

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....		1,993	-2
Financing disbursements:				
4110	Financing disbursements, gross .....		1,993	-290
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4122	Interest on uninvested funds .....		1	1
4123	Non-Federal sources .....		1	1
4123	Non-Federal sources .....		-1,995	
4130	Offsets against gross financing auth and disbursements (total) .....		-1,993	2
4170	Financing disbursements, net (mandatory) .....			-288
4190	Financing disbursements, net (total) .....			-288

**Status of Direct Loans (in millions of dollars)**

Identification code 11-4383-2-3-155	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121	Limitation available from carry-forward .....		
1143	Unobligated limitation carried forward (P.L. xx) (-) .....		
1150	Total direct loan obligations .....		
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....		-1,995
1231	Disbursements: Direct loan disbursements .....		-287
1251	Repayments: Repayments and prepayments .....		-1,995

UNITED STATES IMF QUOTA, DIRECT LOAN FINANCING ACCOUNT—Continued  
Status of Direct Loans—Continued

Identification code 11-4383-2-3-155	2012 actual	2013 CR	2014 est.
1290 Outstanding, end of year .....		-1,995	-2,282

## LOANS TO INTERNATIONAL MONETARY FUND

## Program and Financing (in millions of dollars)

Identification code 11-0074-0-1-155	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10,563	10,563	10,563
3050 Unpaid obligations, end of year .....	10,563	10,563	10,563
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10,563	10,563	10,563
3200 Obligated balance, end of year .....	10,563	10,563	10,563

The General Arrangements to Borrow (GAB) were established in 1962 by 10 industrial countries, including the United States, as a means of supplementing the IMF's quota resources to forestall or cope with an impairment of the international monetary system. GAB participants agreed in early 1983 to increase their financial commitments to the GAB from approximately SDR 6.3 billion to SDR 17 billion (about \$17.9 billion at that time), with the U.S. share rising from SDR 1.9 billion to approximately SDR 4.25 billion (about \$6.5 billion as of December 28, 2012).

In January 1997, the Executive Board of the IMF approved the creation of the New Arrangements to Borrow (NAB), which is a standing arrangement among certain IMF members to supplement the IMF's quota resources as needed to the IMF to forestall or cope with an impairment of the international monetary system or to deal with an exceptional situation that poses a threat to the stability of the system. The NAB became effective on November 17, 1998, and was activated for the first time in December 1998 to finance an IMF arrangement for Brazil. The IMF repaid the NAB participants in March 1999. From 1999 through March 2011 the NAB was not activated.

In 2012, forty countries and institutions participated in the NAB for a total of SDR 370 billion (about \$568 billion as of December 28, 2012), of which the U.S. share is approximately SDR 69 billion (about \$106 billion as of December 28, 2012). In 2012, the NAB was activated for two six-month periods, commencing on April 1 and October 1. As of end 2012, the IMF had accessed SDR 7.6 billion (about \$11.7 billion) of the U.S. arrangement under the NAB.

The sum of U.S. resources made available to the IMF under the NAB and GAB cannot exceed total U.S. NAB participation of SDR 69 billion.

With respect to this account, resources provided by the United States under the GAB and NAB constitute an exchange of monetary assets and do not result in any net budgetary outlays because such transactions result in an equivalent increase in U.S. international reserve assets in the form of an equal, offsetting, interest-bearing claim on the IMF. U.S. claims on the IMF under the GAB and NAB are readily available to meet a U.S. balance-of-payments financing need.

In 2010, G-20 Leaders and the IMF membership decided on a set of quota and governance reforms designed to enhance IMF effectiveness. To implement the terms of the 2010 agreement, the Administration is seeking to increase the U.S. quota and reduce U.S. participation in the NAB by equal amounts, SDR 40,871,800,000 (approximately \$63 billion as of December 28,

2012). Under the Administration proposal, which has an assumed enactment date in fiscal year 2013, the increases to the NAB and quota provided in the 2009 Supplemental Appropriations Act would be restated to reflect the pre-2009 agreement on budgetary treatment for the IMF. The changes would be consolidated respectively into this account and the "United States Quota, International Monetary Fund" account. The required authorization requests, including for mandatory funding for the quota increase and NAB rollback agreed to in 2010, will be submitted separately.

For additional information about the Budget proposal, see the account entitled "United States Quota, International Monetary Fund". For additional information on the NAB, see also "Loans to the IMF Direct Loan Program".

## LOANS TO INTERNATIONAL MONETARY FUND

## (Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 11-0074-2-1-155	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			96,264
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....		96,264	
3050 Unpaid obligations, end of year .....		96,264	96,264
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		96,264	96,264
3200 Obligated balance, end of year .....		96,264	96,264

The table above shows the restatement and consolidation into this account of the 2009 NAB appropriation to reflect the pre-2009 agreement on budgetary treatment for the IMF included in the Budget proposal described above.

## LOANS TO INTERNATIONAL MONETARY FUND

## (Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 11-0074-4-1-155	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....		63,032	
1050 Unobligated balance (total) .....		63,032	
Budget authority:			
Appropriations, mandatory:			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....		-63,032	
1260 Appropriations, mandatory (total) .....		-63,032	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			-63,032
3040 Recoveries of prior year unpaid obligations, unexpired .....		-63,032	
3050 Unpaid obligations, end of year .....		-63,032	-63,032
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			-63,032
3200 Obligated balance, end of year .....		-63,032	-63,032
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		-63,032	
4180 Budget authority, net (total) .....		-63,032	

The table above shows the Budget proposal described above to roll back the NAB (which will be accompanied by a simultaneous and equivalent increase in the IMF quota).

## LOANS TO THE IMF DIRECT LOAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 11-0085-0-1-155	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	9	13	.....
1021	Recoveries of prior year unpaid obligations .....	4	.....	.....
1029	Other balances withdrawn .....	.....	-13	.....
1050	Unobligated balance (total) .....	13	.....	.....
1930	Total budgetary resources available .....	13	.....	.....
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	13	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	331	322	322
3020	Outlays (gross) .....	-5	.....	.....
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4	.....	.....
3050	Unpaid obligations, end of year .....	322	322	322
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	331	322	322
3200	Obligated balance, end of year .....	322	322	322
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	5	.....	.....
4190	Outlays, net (total) .....	5	.....	.....

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 11-0085-0-1-155	2012 actual	2013 CR	2014 est.	
Direct loan subsidy outlays:				
134001	NAB .....	5	.....	.....
134999	Total subsidy outlays .....	5	.....	.....
Direct loan downward reestimates:				
137001	NAB .....	.....	-25	.....
137999	Total downward reestimate budget authority .....	.....	-25	.....

At the G-20 Leaders' Summit in London in April 2009, the President secured agreement to expand participation and increase the size of the NAB by up to \$500 billion to restore global confidence and ensure the IMF has adequate resources to play its central role in resolving and preventing the spread of international economic and financial crises. As part of this agreement, the United States committed to increase its participation in the NAB by up to \$100 billion, which required congressional action. The Supplemental Appropriations Act of 2009 (Public Law 111-32) enacted on June 24, 2009, provided authorization and appropriations for an increase in the United States participation in the NAB by up to SDR 75 billion. This SDR amount was subject, as a practical matter, to the public commitment to an increase by up to \$100 billion. This increase in the U.S. participation in the NAB, equivalent to SDR 62.4 billion, entered into effect on March 11, 2011.

For all NAB resources, including those provided in the Supplemental Appropriations Act of 2009, when the IMF accesses NAB resources, the transaction constitutes an exchange of monetary assets resulting in an equivalent increase in U.S. international reserve assets in the form of an equal, offsetting, interest-bearing claim on the IMF. U.S. claims on the IMF under the GAB and NAB are readily available to meet a U.S. balance-of-payments financing need.

While U.S. participation in the NAB is not a credit program, the Supplemental Appropriations Act of 2009 directed that the 2009 appropriation for the increase in the U.S. participation in the NAB be scored on a credit reform basis, per the Federal Credit Reform Act of 1990, as amended (FCRA), with an adjust-

ment to the discount rate for market risk. The application of FCRA by operation of law to the 2009 NAB appropriation was a significant change in the budgetary treatment of appropriations for the NAB and does not apply to appropriations for the U.S. participation in the NAB prior to 2009.

As directed by the Supplemental Appropriations Act of 2009, this account records the subsidy costs associated with the increase in the U.S. participation in the NAB on a FCRA basis, including an adjustment to the discount rate for market risk.

For additional information about the Budget proposal and about the NAB, see the accounts entitled "United States Quota, International Monetary Fund" and "Loans to International Monetary Fund".

## LOANS TO THE IMF DIRECT LOAN PROGRAM ACCOUNT

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 11-0085-2-1-155	2012 actual	2013 CR	2014 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	.....	-322
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	.....	-322	.....
3050	Unpaid obligations, end of year .....	.....	-322	-322
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	.....	-322	-322
3200	Obligated balance, end of year .....	.....	-322	-322

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 11-0085-2-1-155	2012 actual	2013 CR	2014 est.	
Direct loan downward reestimates:				
137001	NAB .....	.....	25	.....
137999	Total downward reestimate budget authority .....	.....	25	.....

The table above shows the restatement of the 2009 appropriation that is consolidated into the "Loans to International Monetary Fund" account to reflect the pre-2009 agreement on budgetary treatment for the IMF included in the Budget proposal described under that account.

## LOANS TO IMF DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 11-4384-0-3-155	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	3	.....	.....
0742	Downward reestimate paid to receipt account .....	.....	25	.....
0900	Total new obligations .....	3	25	.....
<b>Budgetary Resources:</b>				
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations .....	1,235	.....	.....
1024	Unobligated balance of borrowing authority withdrawn .....	-1,231	.....	.....
1050	Unobligated balance (total) .....	4	.....	.....
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	1	.....	.....
1440	Borrowing authority, mandatory (total) .....	1	.....	.....
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	7	.....	.....
1801	Change in uncollected payments, Federal sources .....	-9	25	.....
1850	Spending auth from offsetting collections, mand (total) .....	-2	25	.....
1900	Financing authority (total) .....	-1	25	.....

LOANS TO IMF DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 11-4384-0-3-155	2012 actual	2013 CR	2014 est.
1930 Total budgetary resources available .....	3	25	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	97,499	94,774	89,985
3010 Obligations incurred, unexpired accounts .....	3	25	.....
3020 Financing disbursements (gross) .....	-1,493	-4,814	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,235	.....	.....
3050 Unpaid obligations, end of year .....	94,774	89,985	89,985
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-331	-322	-347
3070 Change in uncollected pymts, Fed sources, unexpired .....	9	-25	.....
3090 Uncollected pymts, Fed sources, end of year .....	-322	-347	-347
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	97,168	94,452	89,638
3200 Obligated balance, end of year .....	94,452	89,638	89,638
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	-1	25	.....
Financing disbursements:			
4110 Financing disbursements, gross .....	1,493	4,814	.....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-5	.....	.....
4122 Interest on uninvested funds .....	-2	.....	.....
4130 Offsets against gross financing auth and disbursements (total) .....	-7	.....	.....
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	9	-25	.....
4160 Financing authority, net (mandatory) .....	1	.....	.....
4170 Financing disbursements, net (mandatory) .....	1,486	4,814	.....
4180 Financing authority, net (total) .....	1	.....	.....
4190 Financing disbursements, net (total) .....	1,486	4,814	.....

Status of Direct Loans (in millions of dollars)

Identification code 11-4384-0-3-155	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....	.....	.....	.....
1143 Unobligated limitation carried forward (P.L. xx) (-) .....	.....	.....	.....
1150 Total direct loan obligations .....	.....	.....	.....
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	.....	1,490	6,304
1231 Disbursements: Direct loan disbursements .....	1,490	4,814	.....
1251 Repayments: Repayments and prepayments .....	.....	.....	.....
1290 Outstanding, end of year .....	1,490	6,304	6,304

As authorized by the Supplemental Appropriations Act of 2009 (Public Law 111-32), this non-budgetary account records all cash flows to and from the Government resulting from the 2009 increased participation by the U.S. in the New Arrangements to Borrow, consistent with FCRA rules. The amounts in this account are a means of financing and do not affect the deficit and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 11-4384-0-3-155	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	.....	2,587
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	.....	1,490
1405 Allowance for subsidy cost (-) .....	.....	21
1499 Net present value of assets related to direct loans .....	.....	1,511
1999 Total upward reestimate subsidy BA [11-0085] .....	.....	4,098
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	.....	4,098

4999 Total liabilities and net position ..... 4,098

LOANS TO IMF DIRECT LOAN FINANCING ACCOUNT  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 11-4384-2-3-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimate paid to receipt account .....	.....	-25	.....
0900 Total new obligations .....	.....	-25	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	.....	-1,490	.....
1050 Unobligated balance (total) .....	.....	-1,490	.....
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	1,490	.....
1801 Change in uncollected payments, Federal sources .....	.....	-25	.....
1850 Spending auth from offsetting collections, mand (total) .....	.....	1,465	.....
1930 Total budgetary resources available .....	.....	-25	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	-89,985
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	.....	.....	-96,264
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	.....	1,490	.....
3010 Obligations incurred, unexpired accounts .....	.....	-25	.....
3020 Outlays (gross) .....	.....	4,814	.....
3050 Unpaid obligations, end of year .....	.....	-89,985	-89,985
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	.....	347
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	322	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	.....	25	.....
3090 Uncollected pymts, Fed sources, end of year .....	.....	347	347
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	-94,452	-89,638
3200 Obligated balance, end of year .....	.....	-89,638	-89,638
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	1,465	.....
Financing disbursements:			
4110 Financing disbursements, gross .....	.....	-4,814	.....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	.....	-1,490	.....
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	.....	25	.....
4170 Financing disbursements, net (mandatory) .....	.....	-6,304	.....
4190 Financing disbursements, net (total) .....	.....	-6,304	.....

Status of Direct Loans (in millions of dollars)

Identification code 11-4384-2-3-155	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....	.....	.....	.....
1143 Unobligated limitation carried forward (P.L. xx) (-) .....	.....	.....	.....
1150 Total direct loan obligations .....	.....	.....	.....
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	.....	.....	-6,304
1231 Disbursements: Direct loan disbursements .....	.....	-4,814	.....
1251 Repayments: Repayments and prepayments .....	.....	-1,490	.....
1290 Outstanding, end of year .....	.....	-6,304	-6,304

**MILITARY SALES PROGRAM**

*Federal Funds*

SPECIAL DEFENSE ACQUISITION FUND

Program and Financing (in millions of dollars)

Identification code 11-4116-0-3-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	17	100	100
0900 Total new obligations (object class 25.3) .....	17	100	100
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		107	109
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	124	102	102
1750 Spending auth from offsetting collections, disc (total) .....	124	102	102
1900 Budget authority (total) .....	124	102	102
1930 Total budgetary resources available .....	124	209	211
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	107	109	111
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		15	24
3010 Obligations incurred, unexpired accounts .....	17	100	100
3020 Outlays (gross) .....	-2	-91	-103
3050 Unpaid obligations, end of year .....	15	24	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		15	24
3200 Obligated balance, end of year .....	15	24	21
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	124	102	102
Outlays, gross:			
4010 Outlays from new discretionary authority .....		77	77
4011 Outlays from discretionary balances .....	2	14	26
4020 Outlays, gross (total) .....	2	91	103
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-124	-102	-102
4190 Outlays, net (total) .....	-122	-11	1

The Special Defense Acquisition Fund (SDAF) will help to better support coalition and other U.S. partners participating in U.S. overseas contingency and other operations and expedite the procurement of defense articles for provision to foreign nations and international organizations. Advance purchases will focus initially on high-demand warfighter support equipment that has long procurement lead times. Long procurement lead times are often the main limiting factor in our ability to provide coalition partners with critical equipment to make them operationally effective. Improving the mechanism for supporting U.S. partners is a high priority for both the Departments of State and Defense.

Object Classification (in millions of dollars)

Identification code 11-4116-0-3-155	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.3 Other goods and services from Federal sources .....	17	100	100
99.0 Reimbursable obligations .....	17	100	100

*Trust Funds*

FOREIGN MILITARY SALES TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 11-8242-0-7-155	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			3,656

Receipts:			
0220 Deposits, Advances, Foreign Military Sales Trust Fund .....	26,310	31,399	33,035
0400 Total: Balances and collections .....	26,310	31,399	36,691
Appropriations:			
0500 Foreign Military Sales Trust Fund .....	-26,310	-27,743	-27,743
0799 Balance, end of year .....		3,656	8,948

Program and Financing (in millions of dollars)

Identification code 11-8242-0-7-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Collections from current law sales AECA Sec. 51(b) .....	46	100	100
0003 Aircraft .....	30,187	15,384	12,113
0004 Missiles .....	14,453	7,153	6,088
0005 Communication Equipment .....	2,167	1,072	912
0006 Maintenance and Support Equipment .....	2,044	1,012	861
0007 Special Activities/R&D .....	2,546	1,260	1,072
0008 Tactical/Support/Combat Vehicles .....	1,499	742	631
0009 Ammunition .....	10,105	5,001	4,256
0010 Supplies & Supply Operations .....	871	431	367
0011 Construction .....	595	294	250
0012 Weapons .....	143	70	60
0013 Training .....	826	409	348
0014 Ships .....	136	67	57
0015 Administration .....	855	885	885
0900 Total new obligations .....	66,473	33,880	28,000
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	26,310	27,743	27,743
1238 Appropriations applied to liquidate contract authority .....	-26,310	-27,743	-27,743
Contract authority, mandatory:			
1600 Contract authority .....	66,473	33,880	28,000
1640 Contract authority, mandatory (total) .....	66,473	33,880	28,000
1900 Budget authority (total) .....	66,473	33,880	28,000
1930 Total budgetary resources available .....	66,473	33,880	28,000

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	94,387	134,940	136,659
3010 Obligations incurred, unexpired accounts .....	66,473	33,880	28,000
3020 Outlays (gross) .....	-25,920	-32,161	-33,313
3050 Unpaid obligations, end of year .....	134,940	136,659	131,346
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	94,387	134,940	136,659
3200 Obligated balance, end of year .....	134,940	136,659	131,346

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	66,473	33,880	28,000
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,183	1,400	1,400
4101 Outlays from mandatory balances .....	24,737	30,761	31,913
4110 Outlays, gross (total) .....	25,920	32,161	33,313
4180 Budget authority, net (total) .....	66,473	33,880	28,000
4190 Outlays, net (total) .....	25,920	32,161	33,313

<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	75,926	116,089	122,226
5053 Obligated balance, EOY: Contract authority .....	116,089	122,226	122,483

This trust fund facilitates government-to-government sales of defense articles, defense services, and design and construction services. Estimates of sales used in this budget are in millions of dollars:

ESTIMATES OF NEW SALES

Estimates of new orders (sales) .....	2012 actual 69100	2013 est. 32900	2014 est. 28000
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Object Classification (in millions of dollars)

Identification code 11-8242-0-7-155	2012 actual	2013 CR	2014 est.
Allocation Account - direct:			
11.1 Personnel compensation: Full-time permanent .....	355	363	372
12.1 Civilian personnel benefits .....	82	84	86

FOREIGN MILITARY SALES TRUST FUND—Continued  
Object Classification—Continued

Identification code 11-8242-0-7-155	2012 actual	2013 CR	2014 est.
21.0 Travel and transportation of persons .....	22	23	23
23.2 Rental payments to others .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	9	9	9
25.1 Advisory and assistance services .....	147	150	154
25.2 Other services from non-Federal sources .....	17	17	18
25.3 Other goods and services from Federal sources .....	65,673	33,164	27,267
25.5 Research and development contracts .....	18	18	19
25.7 Operation and maintenance of equipment .....	11	12	12
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	30	31	31
94.0 Financial transfers .....	100	.....	.....
99.9 Total new obligations .....	66,473	33,880	28,000

SPECIAL ASSISTANCE INITIATIVES

Federal Funds

CENTRAL AMERICAN RECONCILIATION ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 72-1038-0-1-152	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	.....	.....
1029 Other balances withdrawn .....	-1	.....	.....

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
11-267130 New Arrangements to Borrow (IMF), Downward Reestimates of Subsidies .....	.....	25	.....
Legislative proposal, not subject to PAYGO .....	.....	-25	.....
11-272430 Foreign Military Financing, Downward Reestimates of Subsidies .....	.....	5	.....
71-274910 Overseas Private Investment Corporation Loans, Negative Subsidies .....	126	100	137
71-274930 Overseas Private Investment Corporation Loans, Downward Reestimates of Subsidy .....	100	306	.....
72-143600 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	5	1	1
72-267600 Tunisia Loan Guarantee, Downward Reestimates of Subsidies .....	.....	18	.....
72-272530 Loan Guarantees to Israel, Downward Reestimates of Subsidies .....	663	103	.....
72-274430 Urban and Environmental Credit Program, Downward Reestimates of Subsidies .....	16	8	.....
72-275230 Development Credit Authority Program Account, Downward Reestimates of Loan Guarantees .....	6	12	.....
72-278630 Loan Guarantees to Egypt, Downward Reestimates of Subsidies .....	69	.....	.....
72-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	17	.....	.....
General Fund Offsetting receipts from the public .....	1,002	553	138
Intragovernmental payments:			
72-388600 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-2	.....	.....
General Fund Intragovernmental payments .....	-2	.....	.....

GENERAL PROVISIONS

DIFFERENTIALS

SEC. 7001. Funds appropriated under title I of this Act shall be available, except as otherwise provided, for allowances and differentials as authorized by subchapter 59 of title 5, United States Code; for services as

authorized by 5 U.S.C. 3109; and for hire of passenger transportation pursuant to 31 U.S.C. 1343(b).

CONSULTING SERVICES

SEC. 7002. The expenditure of any appropriation under title I of this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

EMBASSY CONSTRUCTION

SEC. 7003. (a) Of funds provided under title I of this Act, except as provided in subsection (b), a project to construct a diplomatic facility of the United States may not include office space or other accommodations for an employee of a Federal agency or department if the Secretary of State determines that such department or agency has not provided to the Department of State the full amount of funding required by subsection (e) of section 604 of the Secure Embassy Construction and Counterterrorism Act of 1999 (as enacted into law by section 1000(a)(7) of Public Law 106-113 and contained in appendix G of that Act; 113 Stat. 1501A-453), as amended by section 629 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2005.

(b) Notwithstanding the prohibition in subsection (a), a project to construct a diplomatic facility of the United States may include office space or other accommodations for members of the United States Marine Corps.

PERSONNEL ACTIONS

SEC. 7004. Any costs incurred by a department or agency funded under title I of this Act resulting from personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available under title I to such department or agency: Provided, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act.

LOCAL GUARD CONTRACTS

SEC. 7005. In evaluating proposals for local guard contracts, the Secretary of State shall award contracts in accordance with section 136 of the Foreign Relations Authorization Act, Fiscal Years 1990 and 1991 (22 U.S.C. 4864), except that the Secretary may grant authorization to award such contracts on the basis of best value as determined by a cost-technical tradeoff analysis (as described in Federal Acquisition Regulation part 15.101), notwithstanding subsection (c)(3) of such section: Provided, That the authority in this section shall apply to any options for renewal that may be exercised under such contracts.

PROHIBITION AGAINST DIRECT FUNDING FOR CERTAIN COUNTRIES

SEC. 7006. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated or expended to finance directly any assistance or reparations for the governments of Cuba, North Korea, Iran, or Syria: Provided, That for purposes of this section, the prohibition on obligations or expenditures shall include direct loans, credits, insurance and guarantees of the Export-Import Bank or its agents.

COUPS D'ETAT

SEC. 7007. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated or expended to finance directly any assistance to the government of any country whose duly elected head of government is deposed by military coup d'etat or decree: Provided, That assistance may be resumed to such government if the President determines and certifies to the Committees on Appropriations that subsequent to the termination of assistance a democratically elected government has taken office or that provision of assistance is in the national security interest of the United States: Provided further, That the provisions of this section shall not apply to assistance to promote democratic elections or public participation in democratic processes.

TRANSFER AUTHORITY

SEC. 7008. (a) DEPARTMENT OF STATE AND BROADCASTING BOARD OF GOVERNORS.—

(1) Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of State under title I of this Act may be transferred between and merged with such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers.

(2) Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Broadcasting Board of Governors under title I of this Act may be transferred between and merged with such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers.

(3) Any transfer pursuant to this section shall be treated as a reprogramming of funds under section 7012(a) and (b) of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

(b) EXPORT FINANCING TRANSFER AUTHORITIES.—Not to exceed 5 percent of any appropriation other than for administrative expenses made available for fiscal year 2014, for programs under title VI of this Act may be transferred between such appropriations for use for any of the purposes, programs, and activities for which the funds in such receiving account may be used, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 25 percent by any such transfer: Provided, That the exercise of such authority shall be subject to the regular notification procedures of the Committees on Appropriations.

(c) TRANSFERS BETWEEN ACCOUNTS.—None of the funds made available under titles II through V of this Act may be obligated under an appropriation account to which they were not appropriated, except for transfers specifically provided for in this Act, unless the President, not less than 5 days prior to the exercise of any authority contained in the Foreign Assistance Act of 1961 to transfer funds, consults with and provides a written policy justification to the Committees on Appropriations.

(d) AUDIT OF INTER-AGENCY TRANSFERS.—Any agreement for the transfer or allocation of funds appropriated by this Act, or prior Acts, entered into between the Department of State or USAID and another agency of the United States Government under the authority of section 632(a) of the Foreign Assistance Act of 1961 or any comparable provision of law, shall expressly provide that the Inspector General (IG) for the agency receiving the transfer or allocation of such funds, or other entity with audit responsibility if the receiving agency does not have an IG, shall perform periodic program and financial audits of the use of such funds: Provided, That funds transferred under such authority may be made available for the cost of such audits.

#### AVAILABILITY OF FUNDS

SEC. 7009. No part of any appropriation contained in this Act shall remain available for obligation after the expiration of the current fiscal year unless expressly so provided in this Act: Provided, That funds appropriated for the purposes of chapters 1 and 8 of part I, sections 661 and 667, chapters 4, 5, 6, 8, and 9 of part II of the Foreign Assistance Act of 1961, section 23 of the Arms Export Control Act, and funds provided under the headings "Middle East and North Africa Incentive Fund" and "Development Credit Authority", shall remain available for an additional 4 years from the date on which the availability of such funds would otherwise have expired, if such funds are initially obligated before the expiration of their respective periods of availability contained in this Act: Provided further, That notwithstanding any other provision of this Act, any funds made available for the purposes of chapter 1 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961 which are allocated or obligated for cash disbursements in order to address balance of payments or economic policy reform objectives, shall remain available until expended, if such funds are initially allocated or obligated before the expiration of their respective periods of availability contained in this Act.

#### LIMITATION ON ASSISTANCE TO COUNTRIES IN DEFAULT

SEC. 7010. No part of any appropriation provided under titles III through VI in this Act shall be used to furnish assistance to the government of any country which is in default during a period in excess of one calendar year in payment to the United States of principal or interest on any loan made to the government of such country by the United States pursuant to a program for which funds are appropriated under this Act unless the President determines that assistance for such country is in the national interest of the United States.

#### RESERVATIONS OF FUNDS

SEC. 7011. (a) Funds appropriated under titles II through VI of this Act which are specifically designated may be reprogrammed for other programs within the same account notwithstanding the designation if compliance with the designation is made impossible by operation of any provision of this or any other Act: Provided, That any such reprogramming shall be

subject to the regular notification procedures of the Committees on Appropriations: Provided further, That assistance that is reprogrammed pursuant to this subsection shall be made available under the same terms and conditions as originally provided.

(b) In addition to the authority contained in subsection (a), the original period of availability of funds appropriated by this Act that are specifically designated for particular programs or activities by this or any other Act shall be extended for an additional fiscal year if the termination of assistance to a country or a significant change in circumstances makes it unlikely that such designated funds can be obligated during the original period of availability: Provided, That such designated funds that continue to be available for an additional fiscal year shall be obligated only for the purpose of such designation.

(c) Ceilings and specifically designated funding levels contained in this Act shall not be applicable to funds or authorities appropriated or otherwise made available by any subsequent Act unless such Act specifically so directs: Provided, That specifically designated funding levels or minimum funding requirements contained in any other Act shall not be applicable to funds appropriated by this Act.

#### NOTIFICATION REQUIREMENTS

SEC. 7012. (a) None of the funds made available in title I of this Act, or in prior appropriations Acts to the agencies and departments funded by this Act that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees or of currency reflows or other offsetting collections, or made available by transfer, to the agencies and departments funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that:

- (1) creates new programs;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted;
- (4) relocates an office or employees;
- (5) closes or opens a mission or post;
- (6) reorganizes offices;
- (7) reorganizes programs or activities; or
- (8) contracts out or privatizes any functions or activities presently performed by Federal employees; unless the Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

(b) None of the funds provided under title I of this Act, or provided under previous appropriations Acts to the agency or department funded under title I of this Act that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agency or department funded under title I of this Act, shall be available for obligation or expenditure for activities, programs, or projects through a reprogramming of funds in excess of \$1,000,000 or 10 percent, whichever is less, that:

- (1) augments existing programs, projects, or activities;
- (2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as previously justified to the Congress; or
- (3) results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, activities, or projects as previously justified to the Congress; unless the Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

(c) None of the funds made available under titles II through VI in this Act under the headings "Global Health Programs", "Development Assistance", "International Organizations and Programs", "Trade and Development Agency", "International Narcotics Control and Law Enforcement", "Economic Support Fund", "Peacekeeping Operations", "Capital Investment Fund", "Operating Expenses", "Office of Inspector General", "Nonproliferation, Anti-terrorism, Demining and Related Programs", "Millennium Challenge Corporation", "Foreign Military Financing Program", "International Military Education and Training", and "Peace Corps", shall be available for obligation for activities, programs, projects, type of materiel assistance, countries, or other operations not justified or in excess of the amount justified to the Committees on Appropriations for obligation under any of these specific headings unless the Committees on Appropriations are notified 15 days in advance: Provided, That the President shall not enter into any commitment of funds appropriated

for the purposes of section 23 of the Arms Export Control Act for the provision of major defense equipment, other than conventional ammunition, or other major defense items defined to be aircraft, ships, missiles, or combat vehicles, not previously justified to Congress or 20 percent in excess of the quantities justified to Congress unless the Committees on Appropriations are notified 15 days in advance of such commitment: Provided further, That requirements of this subsection or any similar provision of this or any other Act shall not apply to any reprogramming for an activity, program, or project for which funds are appropriated under titles II through VI of this Act of less than 10 percent of the amount previously justified to the Congress for obligation for such activity, program, or project for the current fiscal year.

(d) The requirements of this section or any similar provision of this Act or any other Act, including any prior Act requiring notification in accordance with the regular notification procedures of the Committees on Appropriations, may be waived if failure to do so would pose a substantial risk to human health or welfare: Provided, That in case of any such waiver, notification to the Committees on Appropriations shall be provided as early as practicable, but in no event later than 3 days after taking the action to which such notification requirement was applicable, in the context of the circumstances necessitating such waiver: Provided further, That any notification provided pursuant to such a waiver shall contain an explanation of the emergency circumstances.

#### LIMITATION ON AVAILABILITY OF FUNDS FOR INTERNATIONAL ORGANIZATIONS AND PROGRAMS

SEC. 7013. Subject to the regular notification procedures of the Committees on Appropriations, funds appropriated under titles III through VI of this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs, which are returned or not made available for organizations and programs because of the implementation of section 307(a) of the Foreign Assistance Act of 1961, shall remain available for obligation until September 30, 2015: Provided, That section 307(a) of the Foreign Assistance Act of 1961 is amended by striking "Burma,".

#### PROHIBITION ON FUNDING FOR ABORTIONS AND INVOLUNTARY STERILIZATION

SEC. 7014. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of abortions as a method of family planning or to motivate or coerce any person to practice abortions. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of involuntary sterilization as a method of family planning or to coerce or provide any financial incentive to any person to undergo sterilizations. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for any biomedical research which relates in whole or in part, to methods of, or the performance of, abortions or involuntary sterilization as a means of family planning. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be obligated or expended for any country or organization if the President certifies that the use of these funds by any such country or organization would violate any of the above provisions related to abortions and involuntary sterilizations.

#### PROHIBITION OF PAYMENT OF CERTAIN EXPENSES

SEC. 7015. None of the funds appropriated or otherwise made available by this Act under the headings "International Military Education and Training" or "Foreign Military Financing Program" for Informational Program activities or under the headings "Global Health Programs", "Development Assistance", and "Economic Support Fund" may be obligated or expended to pay for—

- (1) alcoholic beverages; or
- (2) entertainment expenses for activities that are substantially of a recreational character, including but not limited to entrance fees at sporting events, theatrical and musical productions, and amusement parks.

#### AUTHORIZATION REQUIREMENTS

SEC. 7016. Funds appropriated by this Act, except funds appropriated under the heading "Trade and Development Agency", may be obligated and expended notwithstanding section 10 of Public Law 91-672, section 15 of the State Department Basic Authorities Act of 1956, section 313 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995 (Public Law 103-236), and section 504(a)(1) of the National Security Act of 1947 (50 U.S.C. 414(a)(1)).

#### DEFINITION OF PROGRAM, PROJECT, AND ACTIVITY

SEC. 7017. For the purpose of titles II through VI of this Act "program, project, and activity" shall be defined at the appropriations Act account level and shall include all appropriations and authorizations Acts funding directives, ceilings, and limitations with the exception that for the following accounts: "Economic Support Fund" and "Foreign Military Financing Program", "program, project, and activity" shall also be considered to include country, regional, and central program level funding within each such account; for the development assistance accounts of the United States Agency for International Development "program, project, and activity" shall also be considered to include central, country, regional, and program level funding, either as:

- (1) justified to the Congress; or
- (2) allocated by the executive branch in accordance with a report, to be provided to the Committees on Appropriations within 30 days of the enactment of this Act, as required by section 653(a) of the Foreign Assistance Act of 1961.

#### AUTHORITIES FOR THE PEACE CORPS, INTER-AMERICAN FOUNDATION AND AFRICAN DEVELOPMENT FOUNDATION

SEC. 7018. Unless expressly provided to the contrary, provisions of this or any other Act, including provisions contained in prior Acts authorizing or making appropriations for the Department of State, foreign operations, and related programs, shall not be construed to prohibit activities authorized by or conducted under the Peace Corps Act, the Inter-American Foundation Act or the African Development Foundation Act: Provided, That prior to conducting activities in a country for which assistance is prohibited, the agency shall consult with the Committees on Appropriations and report to such Committees within 15 days of taking such action.

#### ELIGIBILITY FOR ASSISTANCE

SEC. 7019. (a) ASSISTANCE THROUGH NONGOVERNMENTAL ORGANIZATIONS.—Restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance in support of programs of nongovernmental organizations from funds appropriated by this Act to carry out the provisions of chapters 1, 10, 11, and 12 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961, and from funds appropriated under the heading "Middle East and North Africa Incentive Fund": Provided, That nothing in this subsection shall be construed to alter any existing statutory prohibitions against abortion or involuntary sterilizations contained in this or any other Act.

(b) PUBLIC LAW 480.—During fiscal year 2014, restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance under the Food for Peace Act (Public Law 83-480), as amended: Provided, That none of the funds appropriated to carry out title I of such Act and made available pursuant to this subsection may be obligated or expended except as provided through the regular notification procedures of the Committees on Appropriations.

#### IMPACT ON JOBS IN THE UNITED STATES

SEC. 7020. None of the funds appropriated under titles III through VI of this Act may be obligated or expended to provide—

- (1) any financial incentive to a business enterprise currently located in the United States for the purpose of inducing such an enterprise to relocate outside the United States if such incentive or inducement is likely to reduce the number of employees of such business enterprise in the United States because United States production is being replaced by such enterprise outside the United States; or
- (2) assistance for any program, project, or activity that contributes to the violation of internationally recognized workers' rights, as defined in section 507(4) of the Trade Act of 1974, of workers in the recipient country, including any designated zone or area in that country: Provided, That the application of section 507(4) (D) and (E) of such Act should be commensurate with the level of development of the recipient country and sector, and shall not preclude assistance for the informal sector in such country, micro and small-scale enterprise, and smallholder agriculture.

#### INTERNATIONAL FINANCIAL INSTITUTIONS

SEC. 7021. (a) None of the funds appropriated under title V of this Act may be made as payment to any international financial institution while the United States executive director to such institution is compensated by the institution at a rate which, together with whatever compensation such executive director receives from the United States, is in excess of the rate provided for an individual occupying a position at level IV of the Executive Schedule under section 5315 of title 5, United States Code, or while any

alternate United States executive director to such institution is compensated by the institution at a rate in excess of the rate provided for an individual occupying a position at level V of the Executive Schedule under section 5316 of title 5, United States Code.

(b) For the purposes of this Act "international financial institutions" shall mean the International Bank for Reconstruction and Development, the International Development Association, the International Finance Corporation, the Inter-American Development Bank, the International Monetary Fund, the Asian Development Bank, the Asian Development Fund, the Inter-American Investment Corporation, the North American Development Bank, the European Bank for Reconstruction and Development, the African Development Bank and the African Development Fund.

#### DEBT-FOR-DEVELOPMENT

SEC. 7022. In order to enhance the continued participation of nongovernmental organizations in economic assistance activities under the Foreign Assistance Act of 1961, debt-for-development, and debt-for-nature exchanges, a nongovernmental organization which is a grantee or contractor of the United States Agency for International Development or the Department of State may place in interest bearing accounts funds made available by this Act and prior Acts or local currencies which accrue to that organization as a result of economic assistance provided under title III of this Act and any interest earned on such investment shall be used for the purpose for which the assistance was provided to that organization.

#### AUTHORITY TO ENGAGE IN DEBT BUYBACKS OR SALES

SEC. 7023. (a) LOANS ELIGIBLE FOR SALE, REDUCTION, OR CANCELLATION.—

(1) AUTHORITY TO SELL, REDUCE, OR CANCEL CERTAIN LOANS.—Notwithstanding any other provision of law, the President may, in accordance with this section, sell to any eligible purchaser any concessional loan or portion thereof made before January 1, 1995, pursuant to the Foreign Assistance Act of 1961, to the government of any eligible country as defined in section 702(6) of that Act or on receipt of payment from an eligible purchaser, reduce or cancel such loan or portion thereof, only for the purpose of facilitating—

(A) debt-for-equity swaps, debt-for-development swaps, or debt-for-nature swaps; or

(B) a debt buyback by an eligible country of its own qualified debt, only if the eligible country uses an additional amount of the local currency of the eligible country, equal to not less than 40 percent of the price paid for such debt by such eligible country, or the difference between the price paid for such debt and the face value of such debt, to support activities that link conservation and sustainable use of natural resources with local community development, and child survival and other child development, in a manner consistent with sections 707 through 710 of the Foreign Assistance Act of 1961, if the sale, reduction, or cancellation would not contravene any term or condition of any prior agreement relating to such loan.

(2) TERMS AND CONDITIONS.—Notwithstanding any other provision of law, the President shall, in accordance with this section, establish the terms and conditions under which loans may be sold, reduced, or canceled pursuant to this section.

(3) ADMINISTRATION.—The Facility, as defined in section 702(8) of the Foreign Assistance Act of 1961, shall notify the administrator of the agency primarily responsible for administering part I of the Foreign Assistance Act of 1961 of purchasers that the President has determined to be eligible, and shall direct such agency to carry out the sale, reduction, or cancellation of a loan pursuant to this section: Provided, That such agency shall make adjustment in its accounts to reflect the sale, reduction, or cancellation.

(4) LIMITATION.—The authorities of this subsection shall be available only to the extent that appropriations for the cost of the modification, as defined in section 502 of the Congressional Budget Act of 1974, are made in advance.

(b) DEPOSIT OF PROCEEDS.—The proceeds from the sale, reduction, or cancellation of any loan sold, reduced, or canceled pursuant to this section shall be deposited in the United States Government account or accounts established for the repayment of such loan.

(c) ELIGIBLE PURCHASERS.—A loan may be sold pursuant to subsection (a)(1)(A) only to a purchaser who presents plans satisfactory to the President for using the loan for the purpose of engaging in debt-for-equity swaps, debt-for-development swaps, or debt-for-nature swaps.

(d) DEBTOR CONSULTATIONS.—Before the sale to any eligible purchaser, or any reduction or cancellation pursuant to this section, of any loan

made to an eligible country, the President should consult with the country concerning the amount of loans to be sold, reduced, or canceled and their uses for debt-for-equity swaps, debt-for-development swaps, or debt-for-nature swaps.

(e) AVAILABILITY OF FUNDS.—The authority provided by subsection (a) may be used only with regard to funds appropriated by this Act under the heading "Debt Restructuring".

#### SPECIAL PROVISIONS

SEC. 7024. (a) AFGHANISTAN, BURMA, SUDAN, IRAQ, LEBANON, PAKISTAN, VICTIMS OF WAR, DISPLACED CHILDREN, AND DISPLACED BURMESE.—Funds appropriated in titles III and VI of this Act that are made available for assistance for Afghanistan, Burma, Sudan, Iraq, Lebanon, Pakistan, and for victims of war, displaced children, and displaced Burmese, and to assist victims of trafficking in persons and to combat such trafficking, may be made available notwithstanding any other provision of law.

(b) RECONSTITUTING CIVILIAN POLICE AUTHORITY.—In providing assistance with funds appropriated by this Act under section 660(b)(6) of the Foreign Assistance Act of 1961, support for a nation emerging from instability may be deemed to mean support for regional, district, municipal, or other sub-national entity emerging from instability, as well as a nation emerging from instability.

(c) WORLD FOOD PROGRAM.—Funds managed by the Bureau for Democracy, Conflict, and Humanitarian Assistance, United States Agency for International Development (USAID), from this or any other Act, may be made available as a general contribution to the World Food Program, notwithstanding any other provision of law.

(d) DISARMAMENT, DEMOBILIZATION AND REINTEGRATION.—Notwithstanding any other provision of law, regulation or Executive order, funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Economic Support Fund", "Peacekeeping Operations", "Middle East and North Africa Incentive Fund", "International Disaster Assistance", and "Transition Initiatives" may be made available to support programs to disarm, demobilize, and reintegrate into civilian society former members of foreign terrorist organizations: Provided, That for the purposes of this subsection the term "foreign terrorist organization" means an organization designated as a terrorist organization under section 219 of the Immigration and Nationality Act.

(e) CONTINGENCIES.—During fiscal year 2014, the President may use up to \$100,000,000 under the authority of section 451 of the Foreign Assistance Act of 1961, notwithstanding any other provision of law.

(f) PROMOTION OF DEMOCRACY.—

(1) Funds made available by this Act that are made available for the promotion of democracy may be made available notwithstanding any other provision of law, and with regard to the National Endowment for Democracy, any regulation.

(2) For the purposes of funds appropriated by this Act, the term "promotion of democracy" means programs that support good governance, human rights, independent media, and the rule of law, and otherwise strengthen the capacity of democratic political parties, governments, nongovernmental organizations and institutions, and citizens to support the development of democratic states, institutions, and practices that are responsive and accountable to citizens.

(3) With respect to the provision of assistance for democracy, human rights and governance activities in this Act, the organizations implementing such assistance and the specific nature of that assistance shall not be subject to the prior approval by the government of any foreign country.

(4) Not to exceed \$4,000,000 in funds made available for democracy promotion assistance programs of the Bureau of Democracy, Human Rights and Labor, Department of State, may be transferred to and merged with funds made available under the heading Diplomatic and Consular Affairs for the administrative costs of democracy promotion assistance programs.

(g) EXTENSION OF AUTHORITIES.—

(1) Section 1(b)(2) of the Passport Act of June 4, 1920 (22 U.S.C. 214(b)(2)) shall be applied by substituting "September 30, 2014" for "September 30, 2010".

(2) The authority provided by section 301(a)(3) of the Omnibus Diplomatic Security and Antiterrorism Act of 1986 (22 U.S.C. 4831(a)(3)) shall remain in effect through September 30, 2014.

(3) The authority contained in section 1115(d) of Public Law 111–32 shall remain in effect through September 30, 2014.

(4) Section 824(g) of the Foreign Service Act of 1980 (22 U.S.C. 4064(g)) shall be applied by substituting "September 30, 2014" for "October 1, 2010" in paragraph (2)(A) and "September 30, 2009" in paragraph (2)(B).

(5) Section 61(a) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2733(a)) shall be applied by substituting "September 30, 2014" for "October 1, 2010" in paragraph (2).

(6) Section 625(j)(1) of the Foreign Assistance Act of 1961 (22 U.S.C. 2385(j)(1)) shall be applied by substituting "September 30, 2014" for "October 1, 2010" in subparagraph (B).

(7) The authority provided by section 1113 of Public Law 111–32 shall remain in effect through September 30, 2014.

(h) **REPORTS REPEALED.**—Sections 51(a)(2) and 404(e) of Public Law 84–885; Section 304(f) of Public Law 107–173; Section 1213 of Public Law 106–398; Section 804(b) of Public Law 101–246; Section 721(c) of Appendix G, Public Law 106–113; Section 1012(c) of Public Law 103–337; Section 702(c) and subsections (c)(4) and (c)(5) of section 601 of Public Law 96–465; Sections 570(d) and 585 in the matter under section 101(c) of Division A of Public Law 104–208; Sections 613(b) and 702 of Public Law 107–228; Sections 549, 620C(c), 620F(c), 655, and 656 of Public Law 87–195; Sections 8 and 11(b) of Public Law 107–245; Section 4(b) of Public Law 79–264; Sections 181 and 404(c) of Public Law 102–138; Section 527(f) of Public Law 103–236; Sections 12(a) and 12(b) of Public Law 108–19; Subsections (e) and (f) of section 539 of the Foreign Assistance and Related Programs Appropriations Act, 1987 (title V of Public Law 99–591, as enacted by section 101(f) of such public law; 22 U.S.C. 262l); Subsection (b) of section 533 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1990 (Public Law 101–167; 22 U.S.C. 262l note); Section 2 of Public Law 108–215 (22 U.S.C. 290m-6); Section 501(j) of title V of H.R. 3425 as enacted into law by section 1000(a)(5) of Public Law 106–113; subsection (b) of section 1621 of the International Financial Institutions Act, as amended (22 U.S.C. 262p-4p); Subsection (c) of section 701 of the International Financial Institutions Act, as amended (22 U.S.C. 262d); Subsections (a), (b), and (d) of section 1701 of the International Financial Institutions Act, as amended (22 U.S.C. 262r); Section 583 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1999 (Public Law 105–277); Section 605(d) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1999 (as enacted by Section 101(d), Division A, Public Law 105–277); Subsection (b) of section 803 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2001 (as enacted by Public Law 106–429; 22 U.S.C. 262r-6); Subsection (g)(3) of section 7081 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (Division F of Public Law 111–117); and Subsection (a) of section 1705 of the International Financial Institutions Act, as amended (22 U.S.C. 262r-4) are hereby repealed.

(i) **REPORT LANGUAGE AMENDED.**—

(A) Subsection (b) of section 504 of Appendix E of Public Law 106–113 (as enacted by section 1000(a)(5) of such Public Law) is amended by striking "a quarterly" and inserting in its place "an annual".

(B) Section 1621 of the International Financial Institutions Act, as amended (22 U.S.C. 262p-4p), is amended by striking "(a)";

(C) Section 129(h)(1) of the Foreign Assistance Act of 1961, as amended (22 U.S.C. 2151aa(h)(1)), is amended by striking the language at the beginning of the subsection "Not later than 3 months after the date of the enactment of this section, and every 6 months thereafter," replacing the word "the" before "Secretary" with "The", replacing the word "a" with "an annual", and replacing the phrase "6-month period" with "year."

(D) Subsection (b) of section 1705 of the International Financial Institutions Act, as amended (22 U.S.C. 262r-4) is amended by striking "(b) After submitting the report required by subsection (a) but not later than March 1 of each year, the Secretary of the Treasury shall appear before the Committee on Banking and" and inserting in its place "The Secretary of the Treasury shall appear annually before the Committee on".

(j) **WAIVER.**—

(1)(A) The President may waive the provisions of section 1003(1) and (2) of Public Law 100–204 if the President determines and certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that it is important to the national security interests of the United States.

(B) **PERIOD OF APPLICATION OF WAIVER.**— Any waiver pursuant to paragraph (1)(A) shall be effective for no more than a period of 6 months at a time and shall not apply beyond 12 months after the enactment of this Act.

(2) Upon written certification to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations, the President may waive the provisions of section 1003(3) of Public Law 100–204.

(k) **ENTERPRISE FUNDS.**—Funds appropriated by this Act may be made available to finance one or more enterprise funds for countries in the Middle East and North Africa: Provided, That section 201 of the Support for East European Democracy (SEED) Act of 1989, excluding subsections (b), (c), and (f), shall be deemed to apply to any such fund or funds, and to funds made available to such fund or funds, in order to enable such fund or funds to provide assistance: Provided further, That the authority of any such fund or funds to provide assistance shall cease to be effective on December 31, 2024.

(l) **NEAR EAST REGIONAL DEMOCRACY.**—Funds appropriated in this or prior appropriations Acts for assistance to fund fellowships and scholarships and exchanges for foreign academic professionals and foreign university students may be made available for such purposes for Near East countries notwithstanding any other provision of law.

(m) **PROPERTY MANAGEMENT.**—Section 585(a) of Public Law 101–513 is amended by inserting "and for maintenance" after "of that Act".

(n) **MICROENTERPRISE AND MICROFINANCE.**—

(1) Notwithstanding the requirements of sections 254(a)(1) and (2) of the Foreign Assistance Act of 1961, the USAID Administrator may certify, pursuant to section 254(a)(3) of such Act, poverty assessment tools developed by an organization other than USAID.

(2) Section 258(b) of the Foreign Assistance Act of 1961 is amended as follows:

(A) by striking paragraph (1) and paragraphs (6) through (11); and

(B) by redesignating paragraphs (2) through (5) as paragraphs (1) through (4), respectively.

(o) **WOMEN'S PEACE AND SECURITY.**—In furtherance of the Presidential Memorandum of January 30, 2013, there is hereby established an Office of Global Women's Issues headed by a Coordinator for Global Women's Issues designated by the Secretary of State, who may also be appointed as an Ambassador-at-Large, and who shall, to the extent the Secretary may direct, provide guidance and direction on assistance provided through this Fund.

(p) **SPECIALIZED AGENCY WAIVERS.**—The President may waive section 414 of Public Law 101–246 and section 410 of Public Law 103–236 on a case-by-case basis, if the President determines and certifies in writing to the Speaker of the House of Representatives, the President Pro Tempore of the Senate, and the Committees on Appropriations that to do so is important to the national interest of the United States.

(q) **EXTENSION.**—Section 1244 of P.L. 110–181, as amended, is further amended by adding at the end of subsection (c)(3)(B) the following new subparagraph: "(C) **ADDITIONAL FISCAL YEARS.** Any unused balance of the total number of principal aliens who may be provided special immigrant status under this subsection in fiscal years 2008 through 2012 may be carried forward and provided through the end of Fiscal Year 2018, notwithstanding the provisions of subparagraph (A) and (B), and consistent with relevant terms of subsection (b), except that the one year period during which an alien must have been employed in accordance with subsection (b)(1) shall be the period from March 20, 2003 through September 30, 2013, and except that the principal alien seeking special immigrant status under this subparagraph shall apply to the Chief of Mission in accordance with subsection (b)(4) no later than September 30, 2017".

(r) **EXTENSION.**—Section 602(b) of Public Law 111–8 is amended by adding at the end of subsection 602(b)(3)(C): "(D) **ADDITIONAL FISCAL YEARS.** For each of the Fiscal Years 2014 through 2018, the total number of principal aliens who may be provided special immigrant status under this section may not exceed 3,000 per year, except that any

unused balance of the total number of principal aliens who may be provided special immigrant status in fiscal years 2014 through 2018, in addition to any unused balance of the total number of principal aliens who may be provided special immigrant status under paragraph (A) of this subsection in fiscal years 2009 through 2013, may be carried forward and provided through the end of Fiscal Year 2019, notwithstanding the provisions of paragraph (C), except that the one year period during which an alien must have been employed in accordance with subsection (b)(2)(A)(ii) shall be the period from October 7, 2001 through December 31, 2014, and except that the principal alien seeking special immigrant status under this subparagraph shall apply to the Chief of Mission in accordance with subsection (b)(2)(D) no later than September 30, 2015.

#### RESTRICTIONS CONCERNING THE PALESTINIAN AUTHORITY

SEC. 7025. None of the funds appropriated under titles II through VI of this Act should be obligated or expended to create in any part of Jerusalem a new office of any department or agency of the United States Government for the purpose of conducting official United States Government business with the Palestinian Authority over Gaza and Jericho or any successor Palestinian governing entity provided for in the Israel-PLO Declaration of Principles: Provided, That this restriction shall not apply to the acquisition of additional space for the existing Consulate General in Jerusalem: Provided further, That meetings between officers and employees of the United States and officials of the Palestinian Authority, or any successor Palestinian governing entity provided for in the Israel-PLO Declaration of Principles, for the purpose of conducting official United States Government business with such authority should continue to take place in locations other than Jerusalem: Provided further, That as has been true in the past, officers and employees of the United States Government may continue to meet in Jerusalem on other subjects with Palestinians (including those who now occupy positions in the Palestinian Authority), have social contacts, and have incidental discussions.

#### PROHIBITION ON ASSISTANCE TO THE PALESTINIAN BROADCASTING CORPORATION

SEC. 7026. None of the funds appropriated or otherwise made available by this Act may be used to provide equipment, technical support, consulting services, or any other form of assistance to the Palestinian Broadcasting Corporation.

#### EGYPT

SEC. 7027. EGYPT.—The authority contained in section 7041(a)(3) of division I of Public Law 112–74 shall continue in effect during fiscal year 2014, except that the fourth and fifth provisos shall not apply: Provided, That such initiative may be funded using funds appropriated under the headings "Economic Support Fund" and "Middle East and North Africa Incentive Fund".

#### ASIA

##### SEC. 7028. (a) TIBET.—

Notwithstanding any other provision of law, funds appropriated by this Act under the heading "Economic Support Fund" may be made available to nongovernmental organizations to support activities which preserve cultural traditions and promote sustainable development and environmental conservation in Tibetan communities in the Tibetan Autonomous Region and in other Tibetan communities in China.

(b) NORTH KOREA. Funds appropriated under the heading "Economic Support Fund" may be made available for programs to support the goals of the Six Party Talks, including nuclear security initiatives relating to North Korea, notwithstanding any other provision of law.

(c) PEOPLE'S REPUBLIC OF CHINA.—Notwithstanding any other provision of law, funds appropriated by this Act may be made available for activities with the People's Republic of China designed to leverage assistance programs and improve aid effectiveness.

(d) VIETNAM.—Funds appropriated under the heading "Economic Support Fund" may be made available for remediation of dioxin contaminated sites in Vietnam and may be made available for assistance for the Government of Vietnam, including the military, for such purposes.

(e) Funds appropriated in this Act under the heading "Economic Support Fund" may be made available for Asian regional programs that include countries or governments otherwise ineligible for United States assistance, notwithstanding any other provision of law.

#### WESTERN HEMISPHERE

##### SEC. 7029. (a) COLOMBIA.—

(1) Funds appropriated by this Act and made available to the Department of State for assistance to the Government of Colombia may be used to support a unified campaign against narcotics trafficking, illegal

armed groups, and organizations designated as Foreign Terrorist Organizations and successor organizations, and to take actions to protect human health and welfare in emergency circumstances, including undertaking rescue operations: Provided, That rotary and fixed wing aircraft supported with funds appropriated under the heading "International Narcotics Control and Law Enforcement" for assistance for Colombia may be used for aerial or manual drug eradication and interdiction including to transport personnel and supplies and to provide security for such operations: Provided further, That such aircraft may also be used to provide transport in support of alternative development programs and investigations by civilian judicial authorities.

(b) HAITI.—The Government of Haiti shall be eligible to purchase defense articles and services under the Arms Export Control Act (22 U.S.C. 2751 et seq.) for the Coast Guard.

#### SOUTH ASIA

##### SEC. 7030. (a) AFGHANISTAN.—

###### (1) Authorities.—

(A) Funds appropriated or otherwise made available for assistance for Afghanistan may be made available as a United States contribution to the Afghanistan Reconstruction Trust Fund (ARTF), and to an internationally managed fund to support the reconciliation with and disarmament, demobilization and reintegration into Afghan society of former combatants who have renounced violence against the Government of Afghanistan. Funds appropriated or otherwise made available in this and prior year Acts for assistance for Afghanistan may be made available as a United States contribution to other multi-donor trust funds.

(B) The authority contained in section 1102(c) of Public Law 111–32 shall continue in effect during fiscal year 2014 and shall apply as if part of this Act.

(b) REGIONAL CROSS BORDER PROGRAMS.—Funds appropriated by this Act under the heading "Economic Support Fund" for assistance for Afghanistan and Pakistan may be provided, notwithstanding any other provision of law that restricts assistance to foreign countries, for cross border stabilization and development programs between Afghanistan and Pakistan or between either country and the Central Asian republics.

#### WAR CRIMES TRIBUNALS DRAWDOWN

SEC. 7031. If the President determines that doing so will contribute to a just resolution of charges regarding genocide or other violations of international humanitarian law, the President may direct a drawdown pursuant to section 552(c) of the Foreign Assistance Act of 1961 of up to \$30,000,000 of commodities and services for the United Nations War Crimes Tribunal established with regard to the former Yugoslavia by the United Nations Security Council or such other tribunals or commissions as the Council may establish or authorize to deal with such violations, without regard to the ceiling limitation contained in paragraph (2) thereof: Provided, That the determination required under this section shall be in lieu of any determinations otherwise required under section 552(c): Provided further, That funds made available pursuant to this section shall be made available subject to the regular notification procedures of the Committees on Appropriations.

#### COMMUNITY-BASED POLICE ASSISTANCE

SEC. 7032. AUTHORITY.—Funds made available by titles III and IV of this Act to carry out the provisions of chapter 1 of part I and chapters 4 and 6 of part II of the Foreign Assistance Act of 1961, may be used, notwithstanding section 660 of that Act, to enhance the effectiveness and accountability of civilian police authority through training and technical assistance in human rights, the rule of law, anti-corruption, strategic planning, and through assistance to foster civilian police roles that support democratic governance including assistance for programs to prevent conflict, respond to disasters, address gender-based violence, and foster improved police relations with the communities they serve.

#### AIRCRAFT TRANSFER AND COORDINATION

SEC. 7033. (a) TRANSFER AUTHORITY.—Notwithstanding any other provision of law or regulation, aircraft procured with funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Diplomatic and Consular Programs", "International Narcotics Control and Law Enforcement", "Andean Counterdrug Initiative" and "Andean Counterdrug Programs" may be used for any other program and in any region, including for the transportation of active and standby Civilian Response Corps personnel and equipment during a deployment.

**(b) AIRCRAFT COORDINATION.—**

(1) *The uses of aircraft purchased or leased by the Department of State and the United States Agency for International Development (USAID) with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs should be coordinated under the authority of the appropriate Chief of Mission: Provided, That such aircraft may be used to transport, on a reimbursable or non-reimbursable basis, Federal and non-Federal personnel supporting Department of State and USAID programs and activities: Provided further, That official travel for other agencies for other purposes may be supported on a reimbursable basis, or without reimbursement when traveling on a space available basis: Provided further, That in fiscal year 2014, funds received by the Department of State in connection with the use of vehicles or aircraft owned, leased, or chartered by the Department of State may be credited to the applicable account of the Department of State and shall be available for expenses related to the purchase, lease, maintenance, chartering, or operation of such vehicles or aircraft.*

(2) *The requirement and authorities of this subsection should only apply to aircraft, the primary purpose of which is the transportation of personnel.*

**LANDMINES**

**SEC. 7034. LANDMINES.—***Notwithstanding any other provision of law, demining equipment available to the United States Agency for International Development and the Department of State and used in support of the clearance of landmines and unexploded ordnance for humanitarian purposes may be disposed of on a grant basis in foreign countries, subject to such terms and conditions as the Secretary of State may prescribe.*

**PROHIBITION ON PUBLICITY OR PROPAGANDA**

**SEC. 7035.** *No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes within the United States not authorized before the date of the enactment of this Act by the Congress.*

**UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT MANAGEMENT (INCLUDING TRANSFER OF FUNDS)**

**SEC. 7036. (a) AUTHORITY.—***Up to \$93,000,000 of the funds made available in title III of this Act to carry out the provisions of part I of the Foreign Assistance Act of 1961 may be used by the United States Agency for International Development (USAID) to hire and employ individuals in the United States and overseas on a limited appointment basis pursuant to the authority of sections 308 and 309 of the Foreign Service Act of 1980.*

**(b) RESTRICTIONS.—**

(1) *The number of individuals hired in any fiscal year pursuant to the authority contained in subsection (a) may not exceed 175.*

(2) *The authority to hire individual individuals contained in subsection (a) shall expire on September 30, 2015.*

(c) **CONDITIONS.—***The authority of subsection (a) should only be used to the extent that an equivalent number of positions that are filled by personal services contractors or other non-direct hire employees of USAID, who are compensated with funds appropriated to carry out part I of the Foreign Assistance Act of 1961 are eliminated.*

(d) **PROGRAM ACCOUNT CHARGED.—***The account charged for the cost of an individual hired and employed under the authority of this section shall be the account to which such individual's responsibilities primarily relate: Provided, That funds made available to carry out this section may be transferred to, and merged with, funds appropriated by this Act in title II under the heading "Operating Expenses".*

(e) **FOREIGN SERVICE LIMITED EXTENSIONS.—***Individuals hired and employed by USAID, with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs, pursuant to the authority of section 309 of the Foreign Service Act of 1980, may be extended for a period of up to 4 years notwithstanding the limitation set forth in such section.*

(f) **DISASTER SURGE CAPACITY.—***Funds appropriated under title III of this Act to carry out part I of the Foreign Assistance Act of 1961 may be used, in addition to funds otherwise available for such purposes, for the cost (including the support costs) of individuals detailed to or employed by USAID whose primary responsibility is to carry out programs in response to natural or man-made disasters.*

(g) **PERSONAL SERVICES CONTRACTORS.—***Funds appropriated by this Act to carry out chapter 1 of part I, chapter 4 of part II, and section 667 of the Foreign Assistance Act of 1961, and title II of the Agricultural Trade Development and Assistance Act of 1954, may be used by USAID*

*to employ up to 40 personal services contractors in the United States, notwithstanding any other provision of law, for the purpose of providing direct, interim support for new or expanded overseas programs and activities managed by the agency until permanent direct hire personnel are hired and trained Provided, That such funds appropriated to carry out title II of the Agricultural Trade Development and Assistance Act of 1954, may be made available only for personal services contractors assigned to the Office of Food for Peace.*

(h) **SMALL BUSINESS.—***In entering into multiple award indefinite-quantity contracts with funds appropriated by this Act, USAID may provide an exception to the fair opportunity process for placing task orders under such contracts when the order is placed with any category of small or small disadvantaged business.*

(i) **SENIOR FOREIGN SERVICE LIMITED APPOINTMENTS.—***Individuals hired pursuant to the authority provided by section 7059(o) of division F of Public Law 111–117 may be assigned to or support programs in Iraq, Afghanistan, or Pakistan with funds made available in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs.*

**GLOBAL HEALTH ACTIVITIES**

**SEC. 7037. IN GENERAL.—***Funds appropriated by titles III and IV of this Act that are made available for global health activities including activities relating to research on, and the prevention, treatment and control of, HIV/AIDS may be made available notwithstanding any other provision of law except for provisions under the heading "Global Health Programs" and the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (117 Stat. 711; 22 U.S.C. 7601 et seq.), as amended.*

**ENVIRONMENT AND FOOD SECURITY**

**SEC. 7038. (a) ENVIRONMENT PROGRAMS.—**

(1) *Funds appropriated by this Act may be made available for United States contributions to the Least Developed Countries Fund, the Special Climate Change Fund, the Forest Carbon Partnership facility, and the Partnership for Market Readiness to support adaptation and mitigation programs and activities.*

(2) **AUTHORITY.—***Funds appropriated by this Act to carry out the provisions of sections 103 through 106, and chapter 4 of part II, of the Foreign Assistance Act of 1961 may be used, notwithstanding any other provision of law, to support environment programs.*

(b) **FOOD SECURITY AND AGRICULTURE DEVELOPMENT.** *Funds appropriated by title III of this Act may be made available for food security and agriculture development programs notwithstanding any other provision of law.*

**REQUESTS FOR DOCUMENTS**

**SEC. 7039.** *None of the funds appropriated or made available pursuant to titles III through VI of this Act shall be available to a nongovernmental organization, including any contractor, which fails to provide upon timely request any document, file, or record necessary to the auditing requirements of the United States Agency for International Development.*

**OVERSEAS PRIVATE INVESTMENT CORPORATION (INCLUDING TRANSFER OF FUNDS)**

**SEC. 7040. (a)** *Whenever the President determines that it is in furtherance of the purposes of the Foreign Assistance Act of 1961, up to a total of \$20,000,000 of the funds appropriated under title III of this Act may be transferred to, and merged with, funds appropriated by this Act for the Overseas Private Investment Corporation Program Account, to be subject to the terms and conditions of that account: Provided, That such funds shall not be available for administrative expenses of the Overseas Private Investment Corporation: Provided further, That designated funding levels in this Act shall not be transferred pursuant to this section: Provided further, That the exercise of such authority shall be subject to the regular notification procedures of the Committees on Appropriations.*

(b) *Notwithstanding section 235(a)(2) of the Foreign Assistance Act of 1961, the authority of subsections (a) through (c) of section 234 of such Act shall remain in effect until September 30, 2014.*

**INTERNATIONAL PRISON CONDITIONS**

**SEC. 7041. (a)** *Funds appropriated by this Act to carry out the provisions of chapters 1 and 11 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961, and the Support for East European Democracy (SEED) Act of 1989, may be made available, notwithstanding section 660 of the Foreign Assistance Act of 1961, for assistance to eliminate inhumane conditions in foreign prisons and other detention facilities.*

## PROHIBITION ON USE OF TORTURE

SEC. 7042. (a) None of the funds made available in this Act may be used to support or justify the use of torture, cruel or inhumane treatment by any official or contract employee of the United States Government.

(b) Funds appropriated by this Act to carry out the provisions of chapters 1, 10, 11, and 12 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961, and the Support for East European Democracy (SEED) Act of 1989, may be made available, notwithstanding section 660 of the Foreign Assistance Act of 1961, for assistance to eliminate torture by foreign police, military or other security forces in countries receiving assistance from funds appropriated by this Act.

## COMMERCIAL LEASING OF DEFENSE ARTICLES

SEC. 7043. Notwithstanding any other provision of law, the authority of section 23(a) of the Arms Export Control Act may be used to provide financing to Israel, Egypt and NATO and major non-NATO allies for the procurement by leasing (including leasing with an option to purchase) of defense articles from United States commercial suppliers, not including Major Defense Equipment (other than helicopters and other types of aircraft having possible civilian application), if the President determines that there are compelling foreign policy or national security reasons for those defense articles being provided by commercial lease rather than by government-to-government sale under such Act.

## INDEPENDENT STATES OF THE FORMER SOVIET UNION

SEC. 7044. (a) Section 907 of the FREEDOM Support Act shall not apply to—

(1) activities to support democracy or assistance under title V of the FREEDOM Support Act and section 1424 of Public Law 104–201 or non-proliferation assistance;

(2) any assistance provided by the Trade and Development Agency under section 661 of the Foreign Assistance Act of 1961 (22 U.S.C. 2421);

(3) any activity carried out by a member of the United States and Foreign Commercial Service while acting within his or her official capacity;

(4) any insurance, reinsurance, guarantee or other assistance provided by the Overseas Private Investment Corporation under title IV of chapter 2 of part I of the Foreign Assistance Act of 1961 (22 U.S.C. 2191 et seq.);

(5) any financing provided under the Export-Import Bank Act of 1945; or

(6) humanitarian assistance.

## PROCUREMENT REFORM

SEC. 7045. (a) LOCAL COMPETITION.—Notwithstanding any other provision of law, the Administrator of the United States Agency for International Development (USAID) may, with funds made available in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs, award contracts and other acquisition instruments in which competition is limited to local entities if doing so would result in cost savings, develop local capacity, or enable the USAID Administrator to initiate a program or activity in appreciably less time than if competition were not so limited: Provided, That the authority provided in this section may not be used to make awards in excess of \$5,000,000 and shall not exceed more than 10 percent of the funds made available to USAID under this Act for assistance programs.

(b) For the purposes of this section, local entity means an individual, a corporation, a nonprofit organization, or another body of persons that—

(1) is legally organized under the laws of;

(2) has as its principal place of business or operations in; and

(3) either is—

(A) a for-profit entity majority owned and operated by individuals who are citizens or lawful permanent residents of; or

(B) a non-profit entity majority operated and managed by individuals who are citizens or lawful permanent residents of;

a country receiving assistance from funds appropriated under title III of this Act.

(c) For purposes of this section, "majority owned" and "managed by" include, without limitation, beneficiary interests and the power, either directly or indirectly, whether exercised or exercisable, to control the election, appointment, or tenure of the organization's managers or a majority of the organization's governing body by any means.

## SPECIAL DEFENSE ACQUISITION FUND

SEC. 7046.

AUTHORIZATION OF OBLIGATIONS.—Not to exceed \$100,000,000 may be obligated pursuant to section 51(c)(2) of the Arms Export Control Act for the purposes of the Special Defense Acquisition Fund (Fund), to remain available for obligation until September 30, 2016: Provided, That the provision of defense articles and defense services to foreign countries or international organizations from the Fund shall be subject to the concurrence of the Secretary of State.

## AUTHORIZATION FOR SELECTIVE CAPITAL INCREASE

SEC. 7047. Section 70 of the Bretton Woods Agreements Act (22 U.S.C. 286 et seq.), is amended in subsection (b) by adding at the end the following: "(3) In order to pay for the increase in the United States subscription to the Bank under subsection (a)(1)(B), there are authorized to be appropriated, without fiscal year limitation, \$4,639,501,466 for payment by the Secretary of the Treasury. (4) Of the amount authorized to be appropriated under paragraph (1)(A)(i), \$278,370,088 shall be for paid in shares of the Bank; and (ii) \$4,361,131,378 shall be for callable shares of the Bank."

## AUTHORITY FOR REPLENISHMENTS

SEC. 7048. The Asian Development Bank Act, Public Law 89–369, as amended (22 U.S.C. 285 et seq.), is further amended by adding at the end thereof the following new sections: "Sec. 35. Tenth Replenishment.

(a) The United States Governor of the Bank is authorized to contribute, on behalf of the United States, \$359,600,000 to the tenth replenishment of the resources of the Fund, subject to obtaining the necessary appropriations.

(b) In order to pay for the United States contribution provided for in subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$359,600,000 for payment by the Secretary of the Treasury."

## SCIENCE AND TECHNOLOGY

SEC. 7049. Of the amounts made available by this or any other Act under the heading "Diplomatic and Consular Programs", up to \$1,000,000 may be made available for grants pursuant to section 504 of Public Law 95–426 (22 U.S.C. 2656d), including to facilitate collaboration with indigenous communities.

## FRAUD PREVENTION AND DETECTION FEES

SEC. 7050. In addition to the uses permitted pursuant to Section 286(v)(2)(A) of the Immigration and Nationality Act (8 U.S.C. 1356(v)(2)(A)), the Secretary of State may also use fees deposited into the Fraud Prevention and Detection Account for programs and activities: (i) to increase the number of personnel assigned to the function of preventing and detecting visa fraud; and (ii) to purchase, lease, construct, and staff facilities used for the processing of the class of visas described in subparagraphs (H)(i), (H)(ii), or (L) of section 101(a)(15) of that Act.

## HIV/AIDS WORKING CAPITAL FUND

SEC. 7051. Funds available in the HIV/AIDS Working Capital Fund (in this section referred to as the "Fund") established pursuant to Section 525(b)(1) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2005 (Public Law 108–477) may be made available for pharmaceuticals and other products for other global health and child survival activities to the same extent as HIV/AIDS pharmaceuticals and other products, subject to the terms and conditions in such section: Provided, That the authority in Section 525(b)(5) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2005 (Public Law 108–477) shall not be exercised by the Coordinator of the United States Activities to Combat HIV/AIDS Globally with respect to funds deposited for non-HIV/AIDS pharmaceuticals and other products.

## WORKING CAPITAL FUND

SEC. 7052. (a) The Administrator of the United States Agency for International Development (the Administrator) is authorized to establish a Working Capital Fund (in this section referred to as the "Fund").

(b) Funds deposited in the Fund during any fiscal year shall be available without fiscal year limitation and used, in addition to other funds available for such purposes, for administrative costs resulting from agency implementation and procurement reform efforts, the administration of this Fund, and administrative contingencies designated by the Administrator. Such expenses may include — (1) personal and non-personal services; (2) training; (3) supplies; and (4) other administrative costs related to implementation and procurement reform and administrative contingencies.

(c) There may be deposited during any fiscal year in the Fund up to 1 percent of the total value of obligations entered into by the United States Agency for International Development (USAID) from appropriations

available to USAID and any appropriation made available for the purpose of providing capital. Receipts from the disposal of, or repayments for the loss or damage to, property held in the Fund, rebates, reimbursements, refunds and other credits applicable to the operation of the Fund may be deposited into the Fund.

(d) At the close of each fiscal year the Administrator shall transfer to the general fund of the Treasury amounts in excess of \$100,000,000, and such other amounts as the Administrator determines to be in excess of the needs of the Fund.

#### PRIZE AUTHORITY

SEC. 7053. Funds appropriated in this Act may be made available for prizes in accordance with section 24 of the Stevenson-Wydler Technology Innovation Act of 1980, except that foreign citizens and foreign private entities may be eligible for such prizes notwithstanding section 24(g)(3) of such Act.

#### CONFLICT STABILIZATION OPERATIONS

SEC. 7054. (a) Funds appropriated or made available under this or any other Act for reconstruction and stabilization assistance, including funds that are reprogrammed or transferred to be made available for such purposes, may be made available for such purposes, notwithstanding any other provision of law: Provided, That the administrative authorities of the Foreign Assistance Act of 1961 may be utilized for assistance furnished with such funds: Provided further, That the President may furnish additional assistance by executing the authorities provided in sections 552(c) and 610 of the Foreign Assistance Act, notwithstanding the percentage and dollar limitations in such sections: Provided further, That funds allocated or reprogrammed for purposes of this section shall remain available until expended.

(b) The authority provided by section 618 of the Foreign Assistance Act of 1961 shall remain in effect through 2014.

#### BORDER CROSSING CARD FEE FOR MINORS

SEC. 7055. Section 410(a)(1)(A) of the Department of State and Related Agencies Appropriations Act, 1999 (Public Law 105-277) is amended by striking "a fee of \$13" and inserting instead "a fee equal to one half the fee that would otherwise apply for processing a machine readable combined border crossing identification card and non-immigrant visa".

#### BUYING POWER MAINTENANCE, INTERNATIONAL ORGANIZATIONS

SEC. 7056. (a) There may be established in the Treasury of the United States a "Buying Power Maintenance, International Organizations" account.

(b) At the end of each fiscal year, the Secretary of State may transfer to and merge with "Buying Power Maintenance, International Organizations" such amounts from "Contributions to International Organizations" as the Secretary determines are in excess of the needs of activities funded from "Contributions to International Organizations" because of fluctuations in foreign currency exchange rates.

(c) In order to offset adverse fluctuations in foreign currency exchange rates, the Secretary of State may transfer to and merge with "Contributions to International Organizations" such amounts from "Buying Power Maintenance, International Organizations" as the Secretary determines are necessary to provide for the activities funded from "Contributions to International Organizations".

(d)(1) Subject to the limitations contained in this section, not later than the end of the fifth fiscal year after the fiscal year for which funds are appropriated or otherwise made available for "Contributions to International Organizations", the Secretary of State may transfer any unobligated balance of such funds to the "Buying Power Maintenance, International Organizations" account.

(2) The balance of the Buying Power Maintenance, International Organizations account may not exceed \$100,000,000 as a result of any transfer under this subsection.

(3) Any transfer pursuant to this subsection shall be treated as a reprogramming of funds under section 34 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2706) and shall be available for obligation or expenditure only in accordance with the procedures under such section.

(e)(1) Funds transferred to the "Buying Power Maintenance, International Organizations" account pursuant to this section shall remain available until expended.

(2) The transfer authorities in this section shall be available for funds appropriated for fiscal year 2014 and for each fiscal year thereafter,

and are in addition to any transfer authority otherwise available to the Department of State under other provisions of law.

#### IT INNOVATION FUND

SEC. 7057. Of the funds appropriated or otherwise made available for "Diplomatic and Consular Programs" or "Capital Investment Fund" under Title I of this Act, up to \$2,000,000 may be made available, including through grants and cooperative agreements, to support training, workshops, conferences, or other programs to enhance the capacity of foreign governments, nongovernmental organizations, and civil society in foreign countries to use technology in support of economic development, education, and health objectives.

#### DEPARTMENT OF STATE ORGANIZATION

SEC. 7058. (a) Section 1 of the State Department Basic Authorities Act of 1956 (22 U.S.C 2651a) is amended—

(a) in subsection (c)(1), by striking "24" and inserting "25"; and

(b) by revising subsection (e) to read as follows: "The Secretary of State may designate a senior official to be responsible for the overall supervision (including policy oversight of resources) of international counterterrorism activities and to serve as the principal adviser to the Secretary of State on international counterterrorism matters and the principal counterterrorism official within the senior management of the Department of State."

(b) Section 62(a) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2734(a)) is amended —

(1) by revising the heading to read as follows: "Coordination of Reconstruction and Stabilization Activities";

(2) by revising paragraph (1) to read as follows: "The Secretary of State may designate a senior official to be responsible for the coordination of reconstruction and stabilization activities";

(3) by striking paragraph (2) and redesignating paragraph (3) as paragraph (2); and

(4) in paragraph (2), as redesignated, by striking "Office of the Coordinator for Reconstruction and Stabilization" and inserting in lieu thereof "senior official designated pursuant to paragraph (1)".

#### TRANSFER OF EXPIRED BALANCES TO THE PROTECTION OF FOREIGN MISSIONS AND OFFICIALS ACCOUNT

SEC. 7059. The Secretary of State may transfer to and merge with "Protection of Foreign Missions and Officials" unobligated balances of expired, discretionary funds appropriated under the "Diplomatic and Consular Programs" heading for fiscal year 2014 and for each fiscal year thereafter, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated: Provided, That no more than \$50,000,000 may be transferred in any single fiscal year.

#### AUTHORITY TO ISSUE ADMINISTRATIVE SUBPOENAS

SEC. 7060. Section 3486 of Title 18, United States Code, is amended—

(a) In subsection (a)(1)(A)—

(1) in clause (ii), by striking "or"; and

(2) by adding new clauses (iv) and (v) immediately prior to "may issue in writing and cause to be served a subpoena", as follows: "(iv) an offense under section 878, or a threat against a person, foreign mission or organization authorized to receive protection by special agents of the Department of State and the Foreign Service under paragraph (3) of section 2709 of title 22, if the Assistant Secretary for Diplomatic Security or the Director of the Diplomatic Security Service determines that the threat constituting the offense or threat against the person or place protected is imminent, the Secretary of State; or (v) an offense under chapter 75, Passports and Visas, the Secretary of State,";

(b) in subsection (a)(9), by striking "(1)(A)(i)(II) or (1)(A)(iii)" and inserting "(1)(A)(i)(II), (1)(A)(iii), (1)(A)(iv), or (1)(A)(v)";

(c) in subsection (a)(10), by inserting before the period, ", and as soon as practicable following issuance of a subpoena under paragraph (1)(A)(iv) the Secretary of State shall notify the Attorney General of its issuance."; and

(d) in subsection (e)(1) by replacing the existing language with the following:

"(1) Health information about an individual that is disclosed under this section may not be used in, or disclosed to any person for use in,

any administrative, civil, or criminal action or investigation directed against the individual who is the subject of the information unless the action or investigation arises out of and is directly related to receipt of health care or payment for health care or action involving a fraudulent claim related to health; directly relates to the purpose for which the subpoena was authorized under paragraph (a)(1); or if authorized by an appropriate order of a court of competent jurisdiction, granted after application showing good cause therefor."

MILLENNIUM CHALLENGE CORPORATION

SEC. 7061. *The Millennium Challenge Act of 2003, 22 U.S.C. 7701, is amended as follows:*

(a) **DURATION OF COMPACTS.**—Section 609(j) of the Act is amended to read as follows:

"(j) **DURATION OF COMPACT.**—

"(1) **IN GENERAL.**—Except as provided under paragraph (2), the duration of a Compact shall not exceed 5 years.

"(2) **EXCEPTION.**—The duration of a Compact may be extended beyond 5 years if the Board—

"(A) determines that a project included in the Compact cannot be completed in 5 years or less due to exceptional circumstances; and

"(B) approves an extension of the Compact that does not extend the total duration of the Compact beyond 6 years.

"(3) **CONGRESSIONAL NOTIFICATION.**—Not later than 15 days before the date on which the Board is scheduled to vote on the extension of a Compact beyond 5 years pursuant to paragraph (2), the Board, acting through the Chief Executive Officer,—

"(A) shall notify the Committee on Foreign Relations of the Senate and the Committee on Foreign Affairs of the House of Representatives of its intent to approve such extension; and

"(B) shall provide the committees referred to in subparagraph (A) with a detailed explanation for the determination and approval described in paragraph (2)."

(b) **MAINTAINING CANDIDATE STATUS FOR PURPOSES OF INCOME CATEGORY.**—Section 606 of the Act is amended—

(1) in subsection (a)—

(A) in paragraph (1)—

(i) by amending the paragraph heading to read as follows:

"(1) **IN GENERAL.**—";

(ii) in the matter preceding subparagraph (A), by striking "fiscal year 2004" and inserting "any fiscal year";

(iii) by amending subparagraph (A) to read as follows:

"(A) the country—

"(i) has a per capita income equal or below the World Bank's lower middle income country threshold for such fiscal year; and

"(ii) is among the 75 lowest per capita income countries, as identified by the World Bank; and"; and

(iv) in subparagraph (B), by striking "subject to paragraph (3)" and inserting "subject to paragraph (2)";

(B) by striking paragraph (2); and

(C) by redesignating paragraph (3) as paragraph (2);

(2) in subsection (b)—

(A) in paragraph (1)—

(i) in the matter preceding subparagraph (A), by striking "for fiscal year 2006 or a subsequent fiscal year" and inserting "for any fiscal year"; and

(ii) by striking subparagraphs (A) and (B) and inserting the following:

"(A) has a per capita income that is equal to or below the World Bank's lower middle income country threshold for such fiscal year;

"(B) is not among the 75 lowest per capita income countries as identified by the World Bank; and

"(C) meets the requirements under subsection (a)(1)(B)."; and

(B) in paragraph (2)—

(i) by striking "for fiscal year 2006 or any subsequent fiscal year" and inserting "for any fiscal year"; and

(ii) by striking "for fiscal year 2006 or the subsequent fiscal year, as the case may be" and inserting "for such fiscal year";

(3) by re-designating subsection (c) as subsection (d); and

(4) by inserting after subsection (b) the following:

"(c) **MAINTAINING CANDIDATE STATUS.**—A candidate country with a per capita income that changes in the fiscal year such that the country would be reclassified from a low income country to a lower middle income country or from a lower middle income country to a low

income country shall retain its candidacy status in its former income classification for the fiscal year and the 2 subsequent fiscal years."

(c) **PROVIDING HOLDOVER AUTHORITY FOR CERTAIN BOARD MEMBERS.**—Section 604 of the Act is amended—

(1) in subsection (c)—

(A) in paragraph (4)(B)—

(i) by striking the word "and" between "3 years" and "may be" and inserting a comma; and

(ii) by adding the words "and may continue in each appointment to serve until his or her successor is appointed, but in no case more than one year." after the words "an additional 2 years".

(d) **ELIMINATING THE PROVISION FOR AN INTERIM CEO.**—Section 604 of the Act is amended—

(1) In subsection (b)—

(A) by striking all of the provision in paragraph (2)(B) (relating to an Interim CEO).

(e) **ELIMINATING THE REQUIREMENT TO PUBLISH COMPACTS IN THE FEDERAL REGISTER.**—Section 610 of the Act is amended—

(1) In subsection (b)—

(A) In paragraph (2) by striking the words "in the Federal Register and".

CONSULAR NOTIFICATION COMPLIANCE

SEC. 7062.

(a) **Petition for Review.**—

(1) **Jurisdiction.**—Notwithstanding any other provision of law, a Federal court shall have jurisdiction to review the merits of a petition claiming violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bilateral international agreement addressing consular notification and access, filed by an individual convicted and sentenced to death by any Federal or State court before the date of enactment of this Act.

(2) **Standard.**—To obtain relief, an individual described in paragraph (1) must make a showing of actual prejudice to the criminal conviction or sentence as a result of the violation. The court may conduct an evidentiary hearing if necessary to supplement the record and, upon a finding of actual prejudice, shall order a new trial or sentencing proceeding.

(3) **Limitations.**—

(A) **Initial Showing.**—To qualify for review under this subsection, a petition must make an initial showing that—

(i) a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bi-lateral international agreement addressing consular notification and access, occurred with respect to the individual described in paragraph (1); and

(ii) if such violation had not occurred, the consulate would have provided assistance to the individual.

(B) **Effect of Prior Adjudication.**—A petition for review under this subsection shall not be granted if the claimed violation described in paragraph (1) has previously been adjudicated on the merits by a Federal or State court of competent jurisdiction in a proceeding in which no Federal or State procedural bars were raised with respect to such violation and in which the court provided review equivalent to the review provided in this subsection, unless the adjudication of the claim resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the prior Federal or State court proceeding.

(C) **Filing Deadline.**—A petition for review under this subsection shall be filed within 1 year of the later of—

(i) the date of enactment of this Act;

(ii) the date on which the Federal or State court judgment against the individual described in paragraph (1) became final by the conclusion of direct review or the expiration of the time for seeking such review; or

(iii) the date on which the impediment to filing a petition created by Federal or State action in violation of the Constitution or laws of the United States is removed, if the individual described in paragraph (1) was prevented from filing by such Federal or State action.

(D) **Tolling.**—The time during which a properly filed application for State post-conviction or other collateral review with respect to the

pertinent judgment or claim is pending shall not be counted toward the 1-year period of limitation.

(E) *Time Limit for Review.*—A Federal court shall give priority to a petition for review filed under this subsection over all noncapital matters. With respect to a petition for review filed under this subsection and claiming only a violation described in paragraph (1), a Federal court shall render a final determination and enter a final judgment not later than one year after the date on which the petition is filed.

(4) *Habeas Petition.*—A petition for review under this subsection shall be part of the first Federal habeas corpus application or motion for Federal collateral relief under chapter 153 of title 28, United States Code, filed by an individual, except that if an individual filed a Federal habeas corpus application or motion for Federal collateral relief before the date of enactment of this Act or if such application is required to be filed before the date that is 1 year after the date of enactment of this Act, such petition for review under this subsection shall be filed not later than 1 year after the enactment date or within the period prescribed by paragraph (3)(C)(iii), whichever is later. No petition filed in conformity with the requirements of the preceding sentence shall be considered a second or successive habeas corpus application or subjected to any bars to relief based on preenactment proceedings other than as specified in paragraph (2).

(5) *Referral to Magistrate.*—A Federal court acting under this subsection may refer the petition for review to a Federal magistrate for proposed findings and recommendations pursuant to 28 U.S.C. 636(b)(1)(B).

(6) *Appeal.*—

(A) *In General.*—A final order on a petition for review under paragraph (1) shall be subject to review on appeal by the court of appeals for the circuit in which the proceeding is held.

(B) *Appeal by Petitioner.*—An individual described in paragraph (1) may appeal a final order on a petition for review under paragraph (1) only if a district or circuit judge issues a certificate of appealability. A district or circuit court judge shall issue or deny a certificate of appealability not later than 30 days after an application for a certificate of appealability is filed. A district judge or circuit judge may issue a certificate of appealability under this subparagraph if the individual has made a substantial showing of actual prejudice to the criminal conviction or sentence of the individual as a result of a violation described in paragraph (1).

(b) *Violation.*—

(1) *In General.*—An individual not covered by subsection (a) who is arrested, detained, or held for trial on a charge that would expose the individual to a capital sentence if convicted may raise a claim of a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or of a comparable provision of a bilateral international agreement addressing consular notification and access, at a reasonable time after the individual becomes aware of the violation, before the court with jurisdiction over the charge. Upon a finding of such a violation—

(A) the consulate of the foreign state of which the individual is a national shall be notified immediately by the detaining authority, and consular access to the individual shall be afforded in accordance with the provisions of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or the comparable provisions of a bilateral international agreement addressing consular notification and access; and

(B) the court—

(i) shall postpone any proceedings to the extent the court determines necessary to allow for adequate opportunity for consular access and assistance; and

(ii) may enter necessary orders to facilitate consular access and assistance.

(2) *Evidentiary Hearings.*—The court may conduct evidentiary hearings if necessary to resolve factual issues.

(3) *Rule of Construction.*—Nothing in this subsection shall be construed to create any additional remedy.

(c) *Definitions.*—In this section—

(1) the term "State" means any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, and any territory or possession of the United States.

(d) *Applicability.*—The provisions of this section shall apply during the current fiscal year and hereafter.

#### OVERSEAS CONTINGENCY OPERATIONS

SEC. 8001. Unless otherwise provided for in this Act, the additional amounts appropriated by this Act and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, to appropriations accounts in this Act shall be available under the authorities and conditions applicable to such appropriations accounts.

SEC. 8002. Funds appropriated by this Act and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, under the headings "Economic Support Fund", "International Narcotics Control and Law Enforcement", and "Foreign Military Financing Program", may be transferred to, and merged with, funds appropriated by this Act under such headings: Provided, That such transfers shall be subject to the regular notification procedures of the Committees on Appropriations: Provided further, That the transfer authority in this section is in addition to any transfer authority otherwise available under any other provision of law, including section 610 of the Foreign Assistance Act, which may be exercised by the Secretary of State for the purposes of this Act.

SEC. 8003. Funds appropriated by this Act and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, under the heading "Administration of Foreign Affairs" may be transferred to, and merged with, funds appropriated by this Act under such heading: Provided, That such transfers shall be subject to the regular notification procedures of the Committees on Appropriations: Provided further, That the transfer authority in this section is in addition to any transfer authority otherwise available under any other provision of law.

SEC. 8004. Funds appropriated in prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs, and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, under the heading "Economic Support Fund" may be made available for the costs of direct and guaranteed loans for countries in the Middle East and North Africa: Provided, That such costs, including the cost of modifying such loans and loan guarantees, shall be as defined in section 502 of the Congressional Budget Act of 1974, and may include the costs of selling, reducing, or cancelling any amounts owed to the United States or any agency of the United States by any country in the Middle East and North Africa: Provided further, That these funds are available to subsidize gross obligations for the principal amount of direct loans, and total loan principal, any part of which is to be guaranteed, not to exceed \$2,000,000,000: Provided further, That the Government of the United States may charge fees for loans and loan guarantees under this section, which shall be collected from borrowers or third parties on behalf of such borrowers in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided further, That amounts that are made available in this section for the costs of direct loans, loan guarantees, and modifications shall not be considered assistance for the purposes of provisions of law limiting assistance to a country.

SEC. 8005. Funds transferred to, or funds appropriated under, the heading "Peacekeeping Operations" in prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, may be used to pay assessed expenses of international peacekeeping activities in Somalia.

# DEPARTMENT OF TRANSPORTATION

## *Federal Funds*

### IMMEDIATE TRANSPORTATION INVESTMENTS

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 69-0160-4-1-400	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Immediate Investments .....			25,000
0900 Total new obligations (object class 41.0) .....			25,000
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			50,000
1260 Appropriations, mandatory (total) .....			50,000
1930 Total budgetary resources available .....			50,000
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			25,000
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			25,000
3020 Outlays (gross) .....			-5,600
3050 Unpaid obligations, end of year .....			19,400
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			19,400
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			50,000
Outlays, gross:			
4100 Outlays from new mandatory authority .....			5,600
4180 Budget authority, net (total) .....			50,000
4190 Outlays, net (total) .....			5,600

This account provides \$50 billion in immediate transportation investments to support critical infrastructure projects, improving Americas roads, bridges, transit systems, border crossings, rail-ways, and runways.

#### Budget Authority

(\$ millions)

	2014 request
Federal Highway Administration	
Federal-aid Highways - National Highway Program .....	27,000
Federal Transit Administration	
Transit Capital Assistance .....	2,500
Transit Core Capacity Improvement .....	500
State of Good Repair .....	6,000
Federal Railroad Administration	
Rail Service Improvement Program .....	3,000
Current Passenger Rail Service .....	2,000
Office of the Secretary of Transportation	
Transportation Infrastructure Grants and Financing .....	4,000
Transportation Leadership Awards .....	2,000
Federal Aviation Administration	
Grants-in-Aid .....	2,000
Facilities and Equipment .....	1,000
<b>TOTAL .....</b>	<b>50,000</b>

— \$27 billion would be provided for highway restoration, repair, and construction projects. This investment of \$25 billion for critical highway and bridge projects and \$2 billion for border crossing infrastructure improvements will preserve and improve the condition on Federal-aid, Federal Lands, and territorial highway facilities and support necessary improvements at land ports of entry facilities that link directly to the transportation infrastructure at border crossing locations. The \$25 billion in highway infrastructure investment will be distributed among the following FHWA programs: \$16.6 billion for the National

Highway Performance Program (NHPP); \$7.7 billion for the Surface Transportation Program (STP); \$240 million for the Federal Lands Transportation Program and Federal Lands Access Programs; \$310 million for the Tribal Transportation Program; and \$150 million for the Territorial and Puerto Rico Highway Program. This approach will enable FHWA to target infrastructure investment in the most critical areas on all Federal-aid highways, bridges on any public road, as well as other Federal, tribal, and territorial roads and bridges. In order to speed project delivery, the Federal share for these projects will be up to 100 percent.

— \$2 billion would be provided for airport development grants. Grants made available under the section would have a 100 percent Federal share.

— \$1 billion would be provided to conduct research and development and demonstrations and to acquire, establish, and improve Federal Aviation Administration air navigation facilities, systems, and procedures to advance the Next Generation Air Transportation System.

— \$3 billion would be provided for capital projects to significantly improve existing intercity passenger rail services, or to develop new intercity passenger rail corridors. Grants made available under the section would have a 100 percent Federal share.

— \$2 billion would be provided to Amtrak for the repair, rehabilitation, and upgrade of the National Railroad Passenger Corporation's (Amtrak) assets and infrastructure, including rolling stock.

— \$2.5 billion would be provided for formula-based transit capital assistance grants for capital maintenance of the nation's \$663 billion in transit capital infrastructure in both urbanized and rural areas. To speed project delivery, the Federal share of project costs would be 100 percent. Of the funds provided, \$2 billion shall be apportioned to urbanized areas with a population of at least 50,000, \$200 million shall be apportioned to "Growing States and High Density States" as provided in Section 5340 of title 49, and \$300 million shall be apportioned to rural areas with populations below 50,000. Funds apportioned to urbanized areas with a population of at least 50,000, but not more than 200,000, are eligible for both capital and operating assistance. Funds apportioned to rural areas are also eligible for operating assistance.

— \$500 million would be provided for transit capital investment grants in Core Capacity improvement projects that enhance the capacity of an existing fixed guideway system that is at or above capacity, or projected to be at capacity within five years, by at least ten percent. To speed project delivery, the Federal share of project costs would be 100 percent. Grant awards will be merit-based using additional criteria established through rulemaking and applied through project review.

— \$6 billion would be provided to modernize existing fixed guideway systems and to replace and rehabilitate buses and bus facilities through formula-based capital investments. To speed project delivery, the Federal share of project costs would be 100 percent. To target fixed guideway modernization funding to the transit systems with the highest need for state of good repair upgrades, 75 percent of the funds provided will be apportioned based on fixed guideway revenue vehicle miles and passenger miles, as provided in Section 5336(b) of Title 49. The remaining 25 percent shall be available for bus and bus facilities and shall be apportioned based on formula in Section 5336 other than subsection (b).

IMMEDIATE TRANSPORTATION INVESTMENTS—Continued

—\$4 billion would be provided for credit assistance and grants on a competitive basis for projects across all surface transportation modes that will have a significant impact on the Nation, a metropolitan area or a region. Provisions require the Secretary to establish competition criteria with priority for distribution of funds given to projects expected to be completed within three years of the date of enactment of the Act.

—\$2 billion would be provided for a competitive grant program that will incentivize State departments of transportation, metropolitan planning organizations, tribal governments, and other transportation agencies to make the reforms necessary to institutionalize best practices and innovations in transportation policy. The program will reform the way transportation investments and decisions are made and implemented to realize better performance outcomes and to integrate performance management into the budget and project selection process.

**OFFICE OF THE SECRETARY**

*Federal Funds*

RESEARCH AND DEVELOPMENT

*For necessary expenses related to the Office of the Assistant Secretary for Research and Technology, \$14,765,000, of which \$8,218,000 shall remain available until September 30, 2016: Provided, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training: Provided further, That notwithstanding any other provision of law, the powers and duties, functions, authorities and personnel of the Research and Innovative Technology Administration are hereby transferred to the Office of the Assistant Secretary for Research and Technology in the Office of the Secretary, including the authority to accept funding from modal administrations for support of Global Positioning System activities pursuant to reimbursable agreements with the Assistant Secretary for Research and Technology in the Office of the Secretary; Provided further, That notwithstanding 49 U.S.C. 102 and 5 U.S.C. 5315, there shall be an Assistant Secretary for Research and Technology within the Office of the Secretary, appointed by the President with the advice and consent of the Senate, to lead such office; Provided further, That any reference in law, regulation, judicial proceedings, or elsewhere to the Research and Innovative Technology Administration shall be deemed to be a reference to the Office of the Assistant Secretary for Research and Technology of the Department of Transportation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69–1730–0–1–407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and administrative expenses .....	7	7	7
0002 Alternative fuels research & development .....		1	
0003 Research development & technology coordination .....		1	
0004 Nationwide differential global positioning system .....	7	8	6
0005 Positioning navigation & timing .....	1	1	2
0100 Direct program by activities, subtotal .....	15	18	15
0799 Total direct obligations .....	15	18	15
0801 University transportation centers .....	1	11	
0802 Transportation safety institute .....	15	27	20
0803 Other programs .....	1	10	10
0809 Reimbursable program by activities, subtotal .....	17	48	30
0899 Total reimbursable obligations .....	17	48	30
0900 Total new obligations .....	32	66	45

**Budgetary Resources:**

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	12	20
1021	Recoveries of prior year unpaid obligations .....	4	
1050	Unobligated balance (total) .....	16	20
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	16	16
1160	Appropriation, discretionary (total) .....	16	16
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	15	30
1701	Change in uncollected payments, Federal sources .....	5	
1750	Spending auth from offsetting collections, disc (total) .....	20	30
1900	Budget authority (total) .....	36	46
1930	Total budgetary resources available .....	52	66
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	20	

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	57	45
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	7	
3010	Obligations incurred, unexpired accounts .....	32	66
3011	Obligations incurred, expired accounts .....	1	
3020	Outlays (gross) .....	-47	-97
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4	
3041	Recoveries of prior year unpaid obligations, expired .....	-1	
3050	Unpaid obligations, end of year .....	45	14
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-31
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	
3070	Change in uncollected pymts, Fed sources, unexpired .....	-5	
3071	Change in uncollected pymts, Fed sources, expired .....	1	
3090	Uncollected pymts, Fed sources, end of year .....	-31	-31
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	37	14
3200	Obligated balance, end of year .....	14	-17

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....	36	46
Outlays, gross:			
4010	Outlays from new discretionary authority .....	18	44
4011	Outlays from discretionary balances .....	29	53
4020	Outlays, gross (total) .....	47	97
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-16	-30
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-5	
4052	Offsetting collections credited to expired accounts .....	1	
4060	Additional offsets against budget authority only (total) .....	-4	
4070	Budget authority, net (discretionary) .....	16	16
4080	Outlays, net (discretionary) .....	31	67
4180	Budget authority, net (total) .....	16	16
4190	Outlays, net (total) .....	31	67

The 2014 Budget elevates the Research and Innovative Technology Administration into the Office of the Assistant Secretary for Research and Technology within the Office of the Secretary. This proposal will strengthen research functions across the Department by providing a prominent centralized focus on research and technology, which will improve collaboration and coordination between operating administrations. The Office of the Assistant Secretary for Research and Technology is responsible for facilitating and reviewing the Department's research, development, and technology portfolio as well as enhancing the data collection and statistical analysis programs to support data-driven decision-making. The Office of the Assistant Secretary for Research and Technology is also responsible for Positioning, Navigation, and Timing (PNT) technology, PNT policy coordination, and spectrum management, and it is the program manager for the Nationwide Differential Global Positioning System.

The Office of the Assistant Secretary for Research and Technology oversees and provides direction to the following programs and activities:

The Bureau of Transportation Statistics (BTS) manages and shares statistical knowledge and information on the Nation's transportation systems, including statistics on freight movement, geospatial transportation information, and transportation economics. BTS is funded by an allocation from the Federal Highway Administration's Federal-Aid Highways account.

The Intelligent Transportation Systems (ITS) Joint Program Office facilitates the deployment of technology to enhance the safety, efficiency, convenience, and environmental sustainability of surface transportation. The ITS program carries out its goals through research and development, operational testing, technology transfer, training, and technical guidance. The ITS Research Program is currently funded through the Federal Highway Administration.

The University Transportation Centers (UTC) advance U.S. technology and expertise in many transportation-related disciplines through grants for transportation education, research, and technology transfer at university-based centers of excellence. The UTC Program funding is provided to the Office of the Assistant Secretary for Research and Technology through an allocation from the Federal Highway Administration.

The John A. Volpe National Transportation Systems Center (Cambridge, MA) provides expertise in research, analysis, technology deployment, and other technical knowledge to the Department of Transportation (DOT) and non-DOT customers on specific transportation system projects or issues on a fee-for-service basis.

The Transportation Safety Institute develops and conducts safety, security, and environmental training, products, and services for both the public and private sector on a fee-for-service and tuition basis.

**Object Classification** (in millions of dollars)

Identification code 69-1730-0-1-407	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.3 Other goods and services from Federal sources .....	10	13	10
99.0 Direct obligations .....	15	18	15
99.0 Reimbursable obligations .....	17	48	30
99.9 Total new obligations .....	32	66	45

**Employment Summary**

Identification code 69-1730-0-1-407	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	25	26	26
2001 Reimbursable civilian full-time equivalent employment .....	42	50	50
3001 Allocation account civilian full-time equivalent employment .....	68	70	70

**SALARIES AND EXPENSES**

*For necessary expenses of the Office of the Secretary, \$113,108,000, Provided, That not to exceed \$60,000 shall be for allocation within the Department for official reception and representation expenses as the Secretary may determine: Provided further, That notwithstanding any other provision of law, excluding fees authorized in Public Law 107-71, there may be credited to this appropriation up to \$2,500,000 in funds received in user fees.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-0102-0-1-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 General administration .....	98	106	115
0002 SCASDP grants .....	6	5	.....
0100 Subtotal Direct Obligations .....	104	111	115
0799 Total direct obligations .....	104	111	115
0801 Reimbursable program .....	9	7	8
0900 Total new obligations .....	113	118	123
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	8	8
1010 Unobligated balance transfer to other accts [69-1301] .....	-1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	8	8	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	102	103	113
1160 Appropriation, discretionary (total) .....	102	103	113
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	16	15	9
1750 Spending auth from offsetting collections, disc (total) .....	16	15	9
1900 Budget authority (total) .....	118	118	122
1930 Total budgetary resources available .....	126	126	130
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	8	8	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	48	42	2
3010 Obligations incurred, unexpired accounts .....	113	118	123
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-112	-158	-121
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	42	2	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	48	42	2
3200 Obligated balance, end of year .....	42	2	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	118	118	122
Outlays, gross:			
4010 Outlays from new discretionary authority .....	94	108	111
4011 Outlays from discretionary balances .....	18	50	10
4020 Outlays, gross (total) .....	112	158	121
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-16	-14	-8
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) .....	-17	-15	-9
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	102	103	113
4080 Outlays, net (discretionary) .....	95	143	112
4180 Budget authority, net (total) .....	102	103	113
4190 Outlays, net (total) .....	95	143	112

The Office of the Secretary is responsible for the overall planning, coordination, and administration of the Department's programs. Funding supports the Secretary, Deputy Secretary, Under Secretary for Policy, Secretarial Officers, and their immediate staffs, who provide federal transportation policy development and guidance, institutional and public liaison activities, and other program support to ensure effective management and operation of the Department.

**SALARIES AND EXPENSES—Continued**  
**Object Classification** (in millions of dollars)

Identification code 69-0102-0-1-407	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	41	49	51
11.3 Other than full-time permanent .....	5	3	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	47	53	56
12.1 Civilian personnel benefits .....	12	15	15
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	9	9	9
25.2 Other services from non-Federal sources .....	30	28	34
41.0 Grants, subsidies, and contributions .....	5	5	.....
99.0 Direct obligations .....	104	111	115
99.0 Reimbursable obligations .....	9	7	8
99.9 Total new obligations .....	113	118	123

**Employment Summary**

Identification code 69-0102-0-1-407	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	424	451	467
2001 Reimbursable civilian full-time equivalent employment .....	18	17	17

**AVIATION CONSUMER CALL CENTER**

For necessary expenses of establishing and operating an Aviation Consumer Call Center and other related activities pursuant to Section 42302(a) of Title 49, including providing the required notice to the public, \$7,500,000, to remain available until expended.

**Program and Financing** (in millions of dollars)

Identification code 69-0126-0-1-402	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	.....	.....	8
0900 Total new obligations (object class 25.2) .....	.....	.....	8
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	.....	.....	8
1160 Appropriation, discretionary (total) .....	.....	.....	8
1930 Total budgetary resources available .....	.....	.....	8
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	.....	8
3020 Outlays (gross) .....	.....	.....	-7
3050 Unpaid obligations, end of year .....	.....	.....	1
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....	.....	.....	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	.....	.....	8
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	.....	7
4180 Budget authority, net (total) .....	.....	.....	8
4190 Outlays, net (total) .....	.....	.....	7

This appropriation provides funds to establish and operate an Aviation Consumer Call Center and other related activities pursuant to Section 42302(a) of Title 49, including notifying the public of the call center telephone number as required.

**Employment Summary**

Identification code 69-0126-0-1-402	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	.....	.....	1

**NATIONAL INFRASTRUCTURE INVESTMENTS**

For capital investments in surface transportation infrastructure, \$500,000,000, to remain available through September 30, 2016: Provided, That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants to be awarded to a State, local government, transit agency, or a collaboration among such entities on a competitive basis for projects that will have a significant impact on the Nation, a metropolitan area, or a region: Provided further, That projects eligible for funding provided under this heading shall include, but not be limited to, highway or bridge projects eligible under title 23, United States Code; public transportation projects eligible under chapter 53 of title 49, United States Code; passenger and freight rail transportation projects; and port infrastructure investments: Provided further, That the Secretary shall give priority to projects which demonstrate transportation benefits for existing systems or improve interconnectivity between modes: Provided further, That the Secretary may use up to 35 percent of the funds made available under this heading for the purpose of paying the subsidy and administrative costs of projects eligible for Federal credit assistance under chapter 6 of title 23, United States Code, if the Secretary finds that such use of the funds would advance the purposes of this paragraph: Provided further, That in distributing funds provided under this heading, the Secretary shall take such measures so as to ensure an equitable geographic distribution of funds, an appropriate balance in addressing the needs of urban and rural areas, and the investment in a variety of transportation modes: Provided further, That a grant funded under this heading shall be not less than \$10,000,000 and not greater than \$200,000,000: Provided further, That not more than 25 percent of the funds made available under this heading may be awarded to projects in a single State: Provided further, That the Federal share of the costs for which an expenditure is made under this heading shall be, at the option of the recipient, up to 80 percent: Provided further, That not less than 20 percent of the funds provided under this heading shall be for projects located in rural areas: Provided further, That for projects located in rural areas, the minimum grant size shall be \$1,000,000 and the Secretary may increase the Federal share of costs above 80 percent: Provided further, That projects conducted using funds provided under this heading must comply with the requirements of subchapter IV of chapter 31 of title 40, United States Code: Provided further, That of the amount made available under this heading, the Secretary may use an amount not to exceed \$35,000,000 for the planning, preparation, or design of projects eligible for funding under this heading: Provided further, That the Secretary shall conduct a new competition to select the grants and credit assistance awarded under this heading: Provided further, That the Secretary may retain up to \$20,000,000 of the funds provided for this program to remain available through September 30, 2019, and may transfer portions of those funds to the Administrators of the Federal Highway Administration, the Federal Transit Administration, the Federal Railroad Administration and the Federal Maritime Administration, to fund the award and oversight of grants and credit assistance made under the National Infrastructure Investments program: Provided further, That the Secretary shall give priority to projects that require a contribution of Federal funds in order to complete an overall financing package.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-0143-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Infrastructure Investments Grants .....	275	1,305	480
0002 Award & Oversight .....	12	15	23

0003	TIFIA Subsidy and Admin Exp .....	45	5	.....
0900	Total new obligations .....	332	1,325	503

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	653	835	13
1010	Unobligated balance transfer to other accts [69-0143] .....	-492	.....	.....
1011	Unobligated balance transfer from other accts [69-0143] .....	492	.....	.....
1021	Recoveries of prior year unpaid obligations .....	1	.....	.....
1050	Unobligated balance (total) .....	654	835	13
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	500	503	500
1120	Appropriations transferred to other accts [69-0143] .....	-471	.....	.....
1121	Appropriations transferred from other accts [69-0143] .....	471	.....	.....
1160	Appropriation, discretionary (total) .....	500	503	500
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	14	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	14	.....	.....
1900	Budget authority (total) .....	514	503	500
1930	Total budgetary resources available .....	1,168	1,338	513
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	.....	.....
1941	Unexpired unobligated balance, end of year .....	835	13	10

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	440	540	1,533
3010	Obligations incurred, unexpired accounts .....	332	1,325	503
3020	Outlays (gross) .....	-231	-332	-427
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	540	1,533	1,609
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	440	540	1,533
3200	Obligated balance, end of year .....	540	1,533	1,609

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	514	503	500
Outlays, gross:				
4011	Outlays from discretionary balances .....	231	332	427
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-14	.....	.....
4180	Budget authority, net (total) .....	500	503	500
4190	Outlays, net (total) .....	217	332	427

This program provides funding for grant awards or credit assistance on a competitive basis for capital investments in surface transportation infrastructure that will have a significant impact on the Nation, a metropolitan area or a region.

**Object Classification** (in millions of dollars)

Identification code 69-0143-0-1-401				
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	2	2
25.2	Other services from non-Federal sources .....	2	4	16
41.0	Grants, subsidies, and contributions .....	45	5	.....
99.0	Direct obligations .....	48	11	18
Allocation Account - direct:				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
25.2	Other services from non-Federal sources .....	8	8	4
41.0	Grants, subsidies, and contributions .....	275	1,305	480
99.0	Allocation account - direct .....	284	1,314	485
99.9	Total new obligations .....	332	1,325	503

**Employment Summary**

Identification code 69-0143-0-1-401				
1001	Direct civilian full-time equivalent employment .....	7	10	10

WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER

**Program and Financing** (in millions of dollars)

Identification code 69-4522-0-4-407		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0801	Reimbursable program activity .....	275	260	260
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	245	303	303
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	359	260	260
1701	Change in uncollected payments, Federal sources .....	-26	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	333	260	260
1930	Total budgetary resources available .....	578	563	563
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	303	303	303
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	131	130	7
3010	Obligations incurred, unexpired accounts .....	275	260	260
3020	Outlays (gross) .....	-276	-383	-260
3050	Unpaid obligations, end of year .....	130	7	7
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-111	-85	-85
3070	Change in uncollected pymts, Fed sources, unexpired .....	26	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-85	-85	-85
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	20	45	-78
3200	Obligated balance, end of year .....	45	-78	-78
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	333	260	260
Outlays, gross:				
4010	Outlays from new discretionary authority .....	172	260	260
4011	Outlays from discretionary balances .....	104	123	.....
4020	Outlays, gross (total) .....	276	383	260
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-358	-260	-260
4033	Non-Federal sources .....	-1	.....	.....
4040	Offsets against gross budget authority and outlays (total) .....	-359	-260	-260
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	26	.....	.....
4080	Outlays, net (discretionary) .....	-83	123	.....
4190	Outlays, net (total) .....	-83	123	.....

The Working Capital Fund finances multidisciplinary research, evaluation, analytical and related activities undertaken at the Volpe Transportation Systems Center (Volpe Center) in Cambridge, MA. The fund is financed through negotiated agreements with the Office of the Secretary, Departmental operating administrations, and other governmental elements requiring the Center's capabilities. These agreements also define the activities undertaken at the Volpe Center.

**Object Classification** (in millions of dollars)

Identification code 69-4522-0-4-407				
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	69	52	52
11.3	Other than full-time permanent .....	3	3	3
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	73	56	56
12.1	Civilian personnel benefits .....	13	14	14
21.0	Travel and transportation of persons .....	4	4	4
23.3	Communications, utilities, and miscellaneous charges .....	2	6	6
25.2	Other services from non-Federal sources .....	77	63	63
25.3	Other goods and services from Federal sources .....	1	1	1
25.4	Operation and maintenance of facilities .....	5	5	5
25.5	Research and development contracts .....	88	98	98
25.7	Operation and maintenance of equipment .....	1	1	1

WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS  
CENTER—Continued

Object Classification—Continued

Identification code 69-4522-0-4-407	2012 actual	2013 CR	2014 est.
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	7	8	8
32.0 Land and structures .....	3	3	3
99.9 Total new obligations .....	275	260	260

Employment Summary

Identification code 69-4522-0-4-407	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	525	532	532

SUPPLEMENTAL DISCRETIONARY GRANTS FOR A NATIONAL SURFACE  
TRANSPORTATION SYSTEM, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 69-0106-0-1-401	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,254	690	270
3020 Outlays (gross) .....	-564	-420	-225
3050 Unpaid obligations, end of year .....	690	270	45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,254	690	270
3200 Obligated balance, end of year .....	690	270	45

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	564	420	225
4190 Outlays, net (total) .....	564	420	225

This American Recovery and Reinvestment Act of 2009 program provided funding for grant awards to State and local governments or transit agencies on a competitive basis for capital investments in surface transportation infrastructure resulting in a significant impact on the Nation, a metropolitan area or a region. Of the amount appropriated, amount not to exceed \$200,000,000 could be used to pay the subsidy and administrative costs of projects eligible for federal credit assistance under U.S.C. 23 Chapter 6, the Transportation Infrastructure Finance and Innovation Act. No funding is requested for this program in 2014.

FINANCIAL MANAGEMENT CAPITAL

For necessary expenses for upgrading and enhancing the Department of Transportation's financial systems and re-engineering business processes, \$10,000,000, to remain available through September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69-0116-0-1-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Financial management capital .....	3	17	10
0900 Total new obligations (object class 25.2) .....	3	17	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	12	.....

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	10
1160 Appropriation, discretionary (total) .....	5	5	10
1930 Total budgetary resources available .....	15	17	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	.....	.....

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	17	10
3020 Outlays (gross) .....	-3	-17	-9
3050 Unpaid obligations, end of year .....	.....	.....	1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	5	5	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	4	8
4011 Outlays from discretionary balances .....	1	13	1
4020 Outlays, gross (total) .....	3	17	9
4180 Budget authority, net (total) .....	5	5	10
4190 Outlays, net (total) .....	3	17	9

This appropriation provides funds to upgrade the commercial software used for DOT's core financial system. This effort will improve system security, enhance financial reporting capabilities, and position DOT to provide shared services across the Government.

CYBER SECURITY INITIATIVES

For necessary expenses for cyber security initiatives, including necessary upgrades to wide area network and information technology infrastructure, improvement of network perimeter controls and identity management, testing and assessment of information technology against business, security, and other requirements, implementation of Federal cyber security initiatives and information infrastructure enhancements, implementation of enhanced security controls on network devices, and enhancement of cyber security workforce training tools, \$6,000,000, to remain available through September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69-0159-0-1-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	4	16	6
0100 Direct program activities, subtotal .....	4	16	6
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	6	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	6
1160 Appropriation, discretionary (total) .....	10	10	6
1930 Total budgetary resources available .....	10	16	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	1	1
3010 Obligations incurred, unexpired accounts .....	4	16	6
3020 Outlays (gross) .....	-3	-16	-6
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	1	1

3200	Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	10	10	6
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	9	5
4011	Outlays from discretionary balances .....		7	1
4020	Outlays, gross (total) .....	3	16	6
4180	Budget authority, net (total) .....	10	10	6
4190	Outlays, net (total) .....	3	16	6

This appropriation will fund cyber security initiatives, including necessary upgrades to the wide area network and information technology infrastructure. The funding will support key program enhancements, infrastructure improvements, and contractual resources to enhance the security of the Department of Transportation network and reduce the risk of security breaches.

**Object Classification** (in millions of dollars)

Identification code 69-0159-0-1-407	2012 actual	2013 CR	2014 est.
Direct obligations:			
23.3	Communications, utilities, and miscellaneous charges .....	2	1
25.3	Other goods and services from Federal sources .....	1	6
31.0	Equipment .....	3	8
99.9	Total new obligations .....	4	16

**OFFICE OF CIVIL RIGHTS**

*For necessary expenses of the Office of Civil Rights, \$9,551,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-0118-0-1-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Office of Civil Rights .....	9	9
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	9	9
1160	Appropriation, discretionary (total) .....	9	9
1930	Total budgetary resources available .....	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2	3
3010	Obligations incurred, unexpired accounts .....	9	9
3020	Outlays (gross) .....	-8	-11
3050	Unpaid obligations, end of year .....	3	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2	3
3200	Obligated balance, end of year .....	3	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	9	9
Outlays, gross:			
4010	Outlays from new discretionary authority .....	7	8
4011	Outlays from discretionary balances .....	1	3
4020	Outlays, gross (total) .....	8	11
4180	Budget authority, net (total) .....	9	9
4190	Outlays, net (total) .....	8	11

The Office of Civil Rights provides Department-wide leadership for all civil rights activities, including employment opportunity and enforcement of laws and regulations that prohibit discrimin-

ation in the financing and operation of transportation programs with Federal resources. The office also is responsible for non-discrimination policy development, analysis, coordination and compliance, promotes an organizational culture that values workforce diversity, and handles all civil rights cases related to Department of Transportation employees.

**Object Classification** (in millions of dollars)

Identification code 69-0118-0-1-407	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	4	5
12.1	Civilian personnel benefits .....	1	2
25.2	Other services from non-Federal sources .....	4	2
99.9	Total new obligations .....	9	9

**Employment Summary**

Identification code 69-0118-0-1-407	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	41	53

**MINORITY BUSINESS OUTREACH**

*For necessary expenses of Minority Business Resource Center outreach activities, \$3,088,000, to remain available until September 30, 2015: Provided, That notwithstanding 49 U.S.C. 332, these funds may be used for business opportunities related to any mode of transportation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-0119-0-1-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Minority business outreach .....	3	4
0002	Bonding Assistance Program .....		6
0900	Total new obligations .....	3	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	7	7
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	3	3
1160	Appropriation, discretionary (total) .....	3	3
1930	Total budgetary resources available .....	10	10
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	7	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	6	4
3010	Obligations incurred, unexpired accounts .....	3	10
3020	Outlays (gross) .....	-4	-14
3041	Recoveries of prior year unpaid obligations, expired .....	-1	
3050	Unpaid obligations, end of year .....	4	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	6	4
3200	Obligated balance, end of year .....	4	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	3	3
Outlays, gross:			
4010	Outlays from new discretionary authority .....	1	3
4011	Outlays from discretionary balances .....	3	11
4020	Outlays, gross (total) .....	4	14
4180	Budget authority, net (total) .....	3	3
4190	Outlays, net (total) .....	4	14

MINORITY BUSINESS OUTREACH—Continued

This activity provides contractual support to assist small, women-owned, Native American, and other disadvantaged business firms in securing contracts and subcontracts resulting from transportation-related Federal support.

Object Classification (in millions of dollars)

Identification code 69-0119-0-1-407	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation		1	1
25.3 Other goods and services from Federal sources	1	1	
41.0 Grants, subsidies, and contributions	1	8	2
99.0 Direct obligations	2	10	3
99.5 Below reporting threshold	1		
99.9 Total new obligations	3	10	3

Employment Summary

Identification code 69-0119-0-1-407	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1	4	4

NEW HEADQUARTERS BUILDING

Program and Financing (in millions of dollars)

Identification code 69-0147-0-1-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 New Headquarters Building		1	
0900 Total new obligations (object class 31.0)		1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	
1930 Total budgetary resources available	1	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	1
3010 Obligations incurred, unexpired accounts		1	
3020 Outlays (gross)		-2	
3050 Unpaid obligations, end of year	2	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	1
3200 Obligated balance, end of year	2	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances		2	
4190 Outlays, net (total)		2	

This appropriation financed the costs for the new Department of Transportation headquarters, which consolidated all operating administrations headquarters functions (except FAA) from various locations into a single state-of-the-art, efficient leased building in the District of Columbia. No funding is requested for this program in 2014.

COMPENSATION FOR AIR CARRIERS

Program and Financing (in millions of dollars)

Identification code 69-0111-0-1-402	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	7

1930 Total budgetary resources available	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7

The Air Transportation Safety and System Stabilization Act of 2001 (P.L. 107-42) provided \$5 billion to compensate air carriers for direct losses incurred during the Federal ground stop of civil aviation after the September 11, 2001 terrorist attacks, and for incremental losses incurred between September 11 and December 31, 2001. The remaining balance in this account is not needed for the purpose originally enacted. If needed, the remaining balance will be transferred to Payments to Air Carriers pursuant to the transfer authority included in that appropriation.

COMPENSATION FOR GENERAL AVIATION OPERATIONS

Program and Financing (in millions of dollars)

Identification code 69-0156-0-1-402	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3		
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-3		
1160 Appropriation, discretionary (total)	-3		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	-3		
4180 Budget authority, net (total)	-3		

The Transportation, Treasury and Housing and Urban Development, The Judiciary, District of Columbia and Independent Agencies Appropriations Act of 2006 (P.L. 109-115) provided \$17 million to reimburse fixed-based general aviation operators and providers of general aviation ground support services at five facilities for the financial losses they incurred when the Federal Government closed the facilities due to the September 11, 2001 terrorist attacks. The Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112-55) rescinded the remaining balances in this account.

TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT

(INCLUDING CANCELLATION OF FUNDS)

For necessary expenses for conducting transportation planning, research, systems development, development activities, and making grants, to remain available until expended, \$9,750,000: Provided, That of the unobligated balances made available by Public Law 111-117 and designated for a single project in the accompanying conference report, \$750,000 are hereby permanently cancelled: Provided further, That of the unobligated balances made available by Section 195 of Public Law 111-117, \$2,000,000 are hereby permanently cancelled.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69-0142-0-1-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Transportation policy and planning	9	17	10
0002 Safe skies	1		
0100 Total direct program	10	17	10

0900	Total new obligations .....	10	17	10
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7	9	3
1011	Unobligated balance transfer from other accts [72–1037] ....	1		
1021	Recoveries of prior year unpaid obligations .....	2	2	
1050	Unobligated balance (total) .....	10	11	3
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	9	9	10
1131	Unobligated balance of appropriations permanently reduced .....			-3
1160	Appropriation, discretionary (total) .....	9	9	7
1930	Total budgetary resources available .....	19	20	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	9	3	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	22	16	4
3010	Obligations incurred, unexpired accounts .....	10	17	10
3020	Outlays (gross) .....	-14	-27	-9
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	
3050	Unpaid obligations, end of year .....	16	4	5
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	21	15	3
3200	Obligated balance, end of year .....	15	3	4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	9	9	7
Outlays, gross:				
4010	Outlays from new discretionary authority .....	7	4	4
4011	Outlays from discretionary balances .....	7	23	5
4020	Outlays, gross (total) .....	14	27	9
4180	Budget authority, net (total) .....	9	9	7
4190	Outlays, net (total) .....	14	27	9

This appropriation finances research and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of national transportation policies and the coordination of national-level transportation planning. Funding also supports departmental leadership in areas such as regulatory modernization, energy conservation, environmental and safety impacts of transportation, aviation economic policy and international transportation issues. The program activities include contracts with other Federal agencies, educational institutions, non-profit research organizations, and private firms.

**Object Classification** (in millions of dollars)

Identification code 69–0142–0–1–407	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	4	4	5
12.1	Civilian personnel benefits .....	1	1	1
25.1	Advisory and assistance services .....	2		
25.2	Other services from non-Federal sources .....		11	3
25.3	Other goods and services from Federal sources .....	3	1	1
99.9	Total new obligations .....	10	17	10

**Employment Summary**

Identification code 69–0142–0–1–407	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	28	32	36

**ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND**

**Program and Financing** (in millions of dollars)

Identification code 69–5423–0–2–402	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Essential air service and rural airport improvement .....	50	50	100
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1		
1010	Unobligated balance transfer to other accts [69–5422] .....	-16		
1021	Recoveries of prior year unpaid obligations .....	15		
Budget authority:				
Appropriations, mandatory:				
1221	Appropriations transferred from other accts [69–5422] ....	50	50	116
1260	Appropriations, mandatory (total) .....	50	50	116
1930	Total budgetary resources available .....	50	50	116
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....			16
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	24	8	20
3010	Obligations incurred, unexpired accounts .....	50	50	100
3020	Outlays (gross) .....	-51	-38	-90
3040	Recoveries of prior year unpaid obligations, unexpired .....	-15		
3050	Unpaid obligations, end of year .....	8	20	30
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	24	8	20
3200	Obligated balance, end of year .....	8	20	30
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	50	50	116
Outlays, gross:				
4100	Outlays from new mandatory authority .....	42	30	70
4101	Outlays from mandatory balances .....	9	8	20
4110	Outlays, gross (total) .....	51	38	90
4180	Budget authority, net (total) .....	50	50	116
4190	Outlays, net (total) .....	51	38	90

The Federal Aviation Reauthorization Act of 1996 (P.L. 104–264) authorized the collection of user fees for services provided by the Federal Aviation Administration (FAA) to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Act permanently appropriated the first \$50 million of such fees for the Essential Air Service (EAS) program and rural airport improvements. In addition, the recently enacted FAA Modernization and Reauthorization Act (P.L. 112–95) requires that, in any fiscal year, overflight fees collected in excess of \$50 million will be available to carry out the EAS program. A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 112–175). The continuing resolution extended as a term and condition a proviso of Section 112 of the 2012 FAA Administrative Provisions (P.L. 112–55) that the Department of Transportation interprets as restricting the availability of overflight fees for the Essential Air Service program provided in section 428 of the FAA Modernization and Reform Act of 2012 (P.L. 112–95). This restriction is reflected as a -\$76 million discretionary change in a mandatory program in FY 2013 in the Aviation Overflight Fee account, and results in only \$50 million being transferred to the Essential Air Service program. It is expected that a full year appropriation will amend this proviso of Section 112 so that the additional overflight fees will be available to the EAS program.

**ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND—Continued**  
**Object Classification** (in millions of dollars)

Identification code 69-5423-0-2-402	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
41.0 Grants, subsidies, and contributions .....	48	48	98
99.9 Total new obligations .....	50	50	100

**Employment Summary**

Identification code 69-5423-0-2-402	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	10	13	13

**WORKING CAPITAL FUND**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-4520-0-4-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 DOT service center activities .....	159	173	183
0802 Non-DOT service center activities .....	258	319	340
0900 Total new obligations .....	417	492	523

**Budgetary Resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	102	92	92
1021 Recoveries of prior year unpaid obligations .....	30		
1050 Unobligated balance (total) .....	132	92	92
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	397	492	523
1701 Change in uncollected payments, Federal sources .....	-20		
1750 Spending auth from offsetting collections, disc (total) .....	377	492	523
1930 Total budgetary resources available .....	509	584	615
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	92	92	92

**Change in obligated balance:**  
Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1 .....	126	93	43
3010 Obligations incurred, unexpired accounts .....	417	492	523
3020 Outlays (gross) .....	-420	-542	-565
3040 Recoveries of prior year unpaid obligations, unexpired .....	-30		
3050 Unpaid obligations, end of year .....	93	43	1
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-96	-76	-76
3070 Change in uncollected pymts, Fed sources, unexpired .....	20		
3090 Uncollected pymts, Fed sources, end of year .....	-76	-76	-76
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	30	17	-33
3200 Obligated balance, end of year .....	17	-33	-75

**Budget authority and outlays, net:**  
Discretionary:

4000 Budget authority, gross .....	377	492	523
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	334	487	518
4011 Outlays from discretionary balances .....	86	55	47
4020 Outlays, gross (total) .....	420	542	565
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4030 Federal sources .....	-395	-490	-521
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-397	-492	-523
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	20		

4080 Outlays, net (discretionary) .....	23	50	42
4190 Outlays, net (total) .....	23	50	42

The Working Capital Fund finances common administrative services and other services that are centrally performed in the interest of economy and efficiency. The fund is financed through agreements with the Department of Transportation operating administrations and other customers.

**Object Classification** (in millions of dollars)

Identification code 69-4520-0-4-407	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	19	23	24
11.3 Other than full-time permanent .....	2		
11.5 Other personnel compensation .....		2	1
11.9 Total personnel compensation .....	21	25	25
12.1 Civilian personnel benefits .....	6	7	7
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....		1	1
22.0 Transportation of things .....		1	1
23.1 Rental payments to GSA .....	7	9	10
23.3 Communications, utilities, and miscellaneous charges .....	16	14	14
25.2 Other services from non-Federal sources .....		1	
25.3 Other goods and services from Federal sources .....	103	105	109
25.7 Operation and maintenance of equipment .....	7	13	14
26.0 Supplies and materials .....	249	304	330
31.0 Equipment .....	6	9	9
99.0 Reimbursable obligations .....	417	491	522
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	417	492	523

**Employment Summary**

Identification code 69-4520-0-4-407	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	222	246	248

**MINORITY BUSINESS RESOURCE CENTER PROGRAM**

For the cost of guaranteed loans, \$333,000, as authorized by 49 U.S.C. 332: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed \$18,367,000. In addition, for administrative expenses to carry out the guaranteed loan program, \$592,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-0155-0-1-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0709 Administrative expenses .....		1	1
0733 Guaranteed loan subsidy and administrative expenses .....	1		
0900 Total new obligations (object class 99.5) .....	1	1	1

**Budgetary Resources:**  
Budget authority:

<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1	1	1
1160 Appropriation, discretionary (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1

**Change in obligated balance:**  
Unpaid obligations:

3010 Obligations incurred, unexpired accounts .....	1	1	1
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3020	Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1	1	1
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	1	1
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....	1	1	1

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 69-0155-0-1-407	2012 actual	2013 CR	2014 est.	
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Minority Business Resource Center Loan Guarantees .....	5	18	18
215999	Total loan guarantee levels .....	5	18	18
Guaranteed loan subsidy (in percent):				
232001	Minority Business Resource Center Loan Guarantees .....	1.81	1.73	1.76
232999	Weighted average subsidy rate .....	1.81	1.73	1.76
Guaranteed loan downward reestimates:				
Administrative expense data:				
3510	Budget authority .....	1	1	1
3590	Outlays from new authority .....		1	1

This program provides assistance in obtaining short-term working capital for minority, women-owned and other disadvantaged businesses and Small Business Administration 8(a) firms. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs for this program associated with guaranteed loans, as well as administrative expenses of this program.

**Employment Summary**

Identification code 69-0155-0-1-407	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	1	1	1

**MINORITY BUSINESS RESOURCE CENTER GUARANTEED LOAN FINANCING ACCOUNT**

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 69-4082-0-3-407	2012 actual	2013 CR	2014 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders .....	18	18	18
2142	Uncommitted loan guarantee limitation .....	-13		
2150	Total guaranteed loan commitments .....	5	18	18
2199	Guaranteed amount of guaranteed loan commitments .....	4	14	14
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	4	5	18
2231	Disbursements of new guaranteed loans .....	5	18	18
2251	Repayments and prepayments .....	-4	-5	-18
2290	Outstanding, end of year .....	5	18	18
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	4	14	14

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all the cash flows to and from the Government resulting from guaranteed loan commitments. The amounts in this account are a means of financing and are not included in the budget totals.

**Trust Funds**

**PAYMENTS TO AIR CARRIERS**

(AIRPORT AND AIRWAY TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

*In addition to funds made available from any other source to carry out the essential air service program under 49 U.S.C. 41731 through 41742, \$146,000,000, to be derived from the Airport and Airway Trust Fund, to remain available until expended: Provided, That in determining between or among carriers competing to provide service to a community, the Secretary may consider the relative subsidy requirements of the carriers: Provided further, That no funds made available under section 41742 of title 49, United States Code, and no funds made available in this Act or any other Act in any fiscal year, shall be available to carry out the essential air service program under sections 41731 through 41742 of such title 49 in communities in the 48 contiguous States unless the community received subsidized essential air service or received a 90-day notice of intent to terminate service and the Secretary required the air carrier to continue to provide service to the community at any time between September 30, 2010, and September 30, 2011, inclusive: Provided further, That basic essential air service minimum requirements shall not include the 15-passenger capacity requirement under subsection 41732(b)(3) of title 49, United States Code: Provided further, That if the funds under this heading are insufficient to meet the costs of the essential air service program in the current fiscal year, the Secretary shall transfer such sums as may be necessary to carry out the essential air service program from any available amounts appropriated to or directly administered by the Department of Transportation for such fiscal year: Provided further, That thereafter the Administrator of the Federal Aviation Administration shall make available, as requested by the Secretary, fees to replenish such transferred amounts from fees credited to the account established under section 45303, including the funds derived from fees imposed under the authority contained in section 45301(a).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-8304-0-7-402	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Payments to air carriers .....	174	154	146
0900	Total new obligations (object class 41.0) .....	174	154	146
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	23	11	1
1021	Recoveries of prior year unpaid obligations .....	19		
1050	Unobligated balance (total) .....	42	11	1
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	143	144	146
1160	Appropriation, discretionary (total) .....	143	144	146
1930	Total budgetary resources available .....	185	155	147
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	11	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	41	47	58
3010	Obligations incurred, unexpired accounts .....	174	154	146
3020	Outlays (gross) .....	-149	-143	-146
3040	Recoveries of prior year unpaid obligations, unexpired .....	-19		
3050	Unpaid obligations, end of year .....	47	58	58
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	41	47	58
3200	Obligated balance, end of year .....	47	58	58
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	143	144	146

**PAYMENTS TO AIR CARRIERS—Continued**  
**Program and Financing—Continued**

Identification code 69-8304-0-7-402	2012 actual	2013 CR	2014 est.
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	127	86	88
4011 Outlays from discretionary balances .....	22	57	58
4020 Outlays, gross (total) .....	149	143	146
4180 Budget authority, net (total) .....	143	144	146
4190 Outlays, net (total) .....	149	143	146

Through 1997, the Essential Air Service program was funded from the Airport and Airway Trust Fund. Starting in 1998, the Federal Aviation Administration reauthorization funded it as a mandatory program supported by overflight fees under the Essential Air Service and Rural Airport Improvement Fund. In addition to mandatory funding supported by overflight fees, direct appropriations from the Airport and Airway Trust Fund to Payments to Air Carriers have been enacted every year beginning in 2002 to meet the needs of the essential air service program. For 2014, \$146 million is requested from the Airport and Airway Trust Fund for Payments to Air Carriers.

**ADMINISTRATIVE PROVISIONS—OFFICE OF THE SECRETARY OF TRANSPORTATION**

*SEC. 101. The Secretary or his designee may engage in activities with States and State legislators to consider proposals related to the reduction of motorcycle fatalities.*

*SEC. 102. Notwithstanding section 3324 of title 31, United States Code, in addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide payments in advance to vendors that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order 13150 and section 3049 of Public Law 109-59: Provided, That the Department shall include adequate safeguards in the contract with the vendors to ensure timely and high-quality performance under the contract.*

*SEC. 103. The Secretary shall post on the Web site of the Department of Transportation a schedule of all meetings of the Credit Council, including the agenda for each meeting, and require the Credit Council to record the decisions and actions of each meeting.*

*SEC. 104. No funds appropriated in this Act to an agency of the Department shall be transferred to the Working Capital Fund without majority approval of the Working Capital Fund Steering Committee and approval of the Secretary.*

**FEDERAL AVIATION ADMINISTRATION**

The following table depicts the total funding for all Federal Aviation Administration (FAA) programs, for which more detail is furnished in the budget schedules:

[In millions of dollars]

	2012 actual	2013 est.	2014 est.
<b>Budget Authority:</b>			
Operations .....	9,653	9,713	9,707
General Fund .....	[4,593]	[4,621]	[3,223]
Facilities and Equipment (Trust Fund) .....	2,731	2,777	2,778
Research, Engineering and Development (Trust Fund) .....	168	169	166
Grants-in-Aid for Airports (Trust Fund) .....	3,350	3,350	2,900
<b>Total net .....</b>	<b>15,902</b>	<b>16,009</b>	<b>15,551</b>
<b>Obligations:</b>			
Operations .....	9,691	9,713	9,707
Facilities and Equipment (Trust Fund) .....	2,966	3,167	2,326
Research, Engineering and Development (Trust Fund) .....	172	169	167
Grants-in-Aid for Airports (Trust Fund) .....	3,494	3,363	2,900
Aviation Insurance Revolving Fund .....	3	31	58
<b>Total net .....</b>	<b>16,326</b>	<b>16,443</b>	<b>15,158</b>
<b>Outlays:</b>			

Operations .....	9,731	9,822	9,824
Facilities and Equipment (Trust Fund) .....	2,918	2,863	2,841
Facilities and Equipment (General Fund - ARRA) .....	31	2	—
Research, Engineering and Development (Trust Fund) .....	184	182	180
Grants-in-Aid for Airports (Trust Fund) .....	3,144	3,946	3,670
Grants-in-Aid for Airports (General Fund - ARRA) .....	5	3	—
Aviation Insurance Revolving Fund .....	-159	-137	-102
Administrative Services Franchise Fund .....	-14	15	-6
<b>Total net .....</b>	<b>15,840</b>	<b>16,696</b>	<b>16,407</b>

**Federal Funds**

**OPERATIONS**

(AIRPORT AND AIRWAY TRUST FUND)

*For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including operations and research activities related to commercial space transportation, administrative expenses for research and development, establishment of air navigation facilities, the operation (including leasing) and maintenance of aircraft, subsidizing the cost of aeronautical charts and maps sold to the public, lease or purchase of passenger motor vehicles for replacement only, in addition to amounts made available by Public Law 108-176, \$9,707,000,000, of which \$6,484,000,000 shall be derived from the Airport and Airway Trust Fund: Provided, That not to exceed 2 percent of any budget activity, except for aviation safety budget activity, may be transferred to any budget activity under this heading: Provided further, That no transfer may increase or decrease any appropriation by more than 2 percent: Provided further, That funds may be used to enter into a grant agreement with a nonprofit standard-setting organization to assist in the development of aviation safety standards: Provided further, That none of the funds in this Act shall be available for new applicants for the second career training program: Provided further, That there may be credited to this appropriation as offsetting collections funds received from States, counties, municipalities, foreign authorities, other public authorities, and private sources, including funds from fees authorized under Chapter 453 of title 49, United States Code, other than those authorized by section 45301(a)(1) of that title, which shall be available for expenses incurred in the provision of agency services, including receipts for the maintenance and operation of air navigation facilities; and for issuance, renewal or modification of certificates, including airman, aircraft, and repair station certificates, or for tests related thereto, or for processing major repair or alteration forms.*

*Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.*

**Program and Financing (in millions of dollars)**

Identification code 69-1301-0-1-402	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Air Traffic Organization (ATO) .....	7,475	7,489	7,304
0002 NextGen .....	61	61	60
0003 Finance & Management .....	581	585	815
0004 Regulation and certification .....	1,259	1,261	1,205
0005 Commercial space transportation .....	16	16	16
0006 Human Resources .....	99	99	107
0007 Staff offices .....	200	201	200
0100 Direct Program Activities Subtotal .....	9,691	9,712	9,707
0799 Total direct obligations .....	9,691	9,712	9,707
0801 Reimbursable program .....	183	184	193
0900 Total new obligations .....	9,874	9,896	9,900
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	63	48	55
1011 Unobligated balance transfer from other accts [72-1037] .....	5	.....	.....
1011 Unobligated balance transfer from other accts [69-0102] .....	1	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	5	.....	.....
1021 Recoveries of prior year unpaid obligations .....	6	.....	.....

1050	Unobligated balance (total) .....	80	48	55
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4,593	4,621	3,223
1160	Appropriation, discretionary (total) .....	4,593	4,621	3,223
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	5,167	5,282	6,677
1701	Change in uncollected payments, Federal sources .....	99		
1750	Spending auth from offsetting collections, disc (total) .....	5,266	5,282	6,677
1900	Budget authority (total) .....	9,859	9,903	9,900
1930	Total budgetary resources available .....	9,939	9,951	9,955
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-17		
1941	Unexpired unobligated balance, end of year .....	48	55	55

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,686	1,579	1,463
3010	Obligations incurred, unexpired accounts .....	9,874	9,896	9,900
3011	Obligations incurred, expired accounts .....	55		
3020	Outlays (gross) .....	-9,923	-10,012	-10,017
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041	Recoveries of prior year unpaid obligations, expired .....	-107		
3050	Unpaid obligations, end of year .....	1,579	1,463	1,346
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-195	-236	-236
3070	Change in uncollected pymts, Fed sources, unexpired .....	-99		
3071	Change in uncollected pymts, Fed sources, expired .....	58		
3090	Uncollected pymts, Fed sources, end of year .....	-236	-236	-236
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,491	1,343	1,227
3200	Obligated balance, end of year .....	1,343	1,227	1,110

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	9,859	9,903	9,900
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8,526	8,737	8,735
4011	Outlays from discretionary balances .....	1,397	1,275	1,282
4020	Outlays, gross (total) .....	9,923	10,012	10,017
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-5,233	-5,250	-6,645
4033	Non-Federal sources .....	-20	-32	-32
4040	Offsets against gross budget authority and outlays (total) .....	-5,253	-5,282	-6,677
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-99		
4052	Offsetting collections credited to expired accounts .....	85		
4060	Additional offsets against budget authority only (total) .....	-14		
4070	Budget authority, net (discretionary) .....	4,592	4,621	3,223
4080	Outlays, net (discretionary) .....	4,670	4,730	3,340
4180	Budget authority, net (total) .....	4,592	4,621	3,223
4190	Outlays, net (total) .....	4,670	4,730	3,340

For 2014, the Budget requests \$9,707 million for Federal Aviation Administration (FAA) operations. These funds will be used to continue to promote aviation safety and efficiency. The Budget provides funding for the Air Traffic Organization (ATO) which is responsible for managing the air traffic control system. As a performance-based organization, the ATO is designed to provide cost-effective, efficient, and, above all, safe air traffic services. The Budget also funds the Aviation Safety Organization which ensures the safe operation of the airlines and certifies new aviation products. In addition, the request also funds regulation of the commercial space transportation industry, as well as FAA policy oversight and overall management functions.

**Object Classification** (in millions of dollars)

Identification code 69-1301-0-1-402				
		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	4,622	4,714	4,752
11.3	Other than full-time permanent .....	42	42	42
11.5	Other personnel compensation .....	399	389	392

11.9	Total personnel compensation .....	5,063	5,145	5,186
12.1	Civilian personnel benefits .....	1,736	1,754	1,766
13.0	Benefits for former personnel .....	1	1	1
21.0	Travel and transportation of persons .....	149	156	154
22.0	Transportation of things .....	28	26	26
23.1	Rental payments to GSA .....	121	129	134
23.2	Rental payments to others .....	61	58	58
23.3	Communications, utilities, and miscellaneous charges .....	240	260	259
24.0	Printing and reproduction .....	8	4	4
25.1	Advisory and assistance services .....	487	500	532
25.2	Other services from non-Federal sources .....	1,584	1,487	1,395
26.0	Supplies and materials .....	149	133	133
31.0	Equipment .....	58	53	53
32.0	Land and structures .....	3	2	2
41.0	Grants, subsidies, and contributions .....	2	2	2
42.0	Insurance claims and indemnities .....	1	2	2
99.0	Direct obligations .....	9,691	9,712	9,707
99.0	Reimbursable obligations .....	183	184	193
99.9	Total new obligations .....	9,874	9,896	9,900

**Employment Summary**

Identification code 69-1301-0-1-402		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	41,972	41,964	41,967
2001	Reimbursable civilian full-time equivalent employment .....	216	220	222

**FACILITIES AND EQUIPMENT, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 69-1304-0-1-402				
		2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	33	2	
3020	Outlays (gross) .....	-31	-2	
3050	Unpaid obligations, end of year .....	2		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	33	2	
3200	Obligated balance, end of year .....	2		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	31	2	
4190	Outlays, net (total) .....	31	2	

The American Recovery and Reinvestment Act of 2009 provided \$200 million to Federal Aviation Administration's (FAA) Facilities & Equipment account, which finances major capital investments related to modernizing and improving air traffic control and airway facilities, equipment, and systems. Funds were appropriated from the General Fund of the U.S. Treasury and available for obligation through 2010. The funding is being used to upgrade, modernize, and improve FAA power systems, air route traffic control centers, air traffic control towers, terminal radar approach control facilities, and navigation and landing equipment.

**GRANTS-IN-AID FOR AIRPORTS, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 69-1306-0-1-402				
		2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	15	3	
3020	Outlays (gross) .....	-5	-3	
3041	Recoveries of prior year unpaid obligations, expired .....	-7		
3050	Unpaid obligations, end of year .....	3		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	15	3	

GRANTS-IN-AID FOR AIRPORTS, RECOVERY ACT—Continued  
Program and Financing—Continued

Identification code 69–1306–0–1–402	2012 actual	2013 CR	2014 est.
3200 Obligated balance, end of year .....	3		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5	3	
4190 Outlays, net (total) .....	5	3	

The American Recovery and Reinvestment Act of 2009 provided \$1.1 billion for Grants-in-Aid for Airports. Funds were appropriated from the General Fund of the U.S. Treasury and were available for obligation through 2010. Discretionary grants were allocated to qualified airports based on a project priority system that addresses airport safety and security, runway safety, increased capacity, and mitigation of environmental impacts.

AVIATION USER FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 69–5422–0–2–402	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	51	65	91
Receipts:			
0200 Aviation User Fees, Overflight Fees .....	64	76	88
0220 Property Disposal or Lease Proceeds, Aviation User Fee .....	1		
0299 Total receipts and collections .....	65	76	88
0400 Total: Balances and collections .....	116	141	179
Appropriations:			
0500 Aviation User Fees .....		76	
0501 Aviation User Fees .....	–51	–126	–116
0599 Total appropriations .....	–51	–50	–116
0799 Balance, end of year .....	65	91	63

Program and Financing (in millions of dollars)

Identification code 69–5422–0–2–402	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		17	17
1011 Unobligated balance transfer from other accts [69–5423] .....	16		
1050 Unobligated balance (total) .....	16	17	17
Budget authority:			
Appropriations, discretionary:			
1132 Appropriations temporarily reduced .....		–76	
1160 Appropriation, discretionary (total) .....		–76	
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	51	126	116
1220 Appropriations transferred to other accts [69–5423] .....	–50	–50	–116
1260 Appropriations, mandatory (total) .....	1	76	
1900 Budget authority (total) .....	1		
1930 Total budgetary resources available .....	17	17	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	17	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		–76	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		–76	
Mandatory:			
4090 Budget authority, gross .....	1	76	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		76	
4180 Budget authority, net (total) .....	1		

The Federal Aviation Reauthorization Act of 1996 (P.L. 104–264) authorized the collection of user fees for air traffic control and

related services provided by the Federal Aviation Administration to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Budget estimates that \$88 million in overflight fees will be collected in 2014. A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 112–175). The continuing resolution extended as a term and condition a proviso of Section 112 of the 2012 FAA Administrative Provisions (P.L. 112–55) that the Department of Transportation interprets as restricting the availability of overflight fees for the Essential Air Service program provided in section 428 of the FAA Modernization and Reform Act of 2012 (P.L. 112–95). This restriction, which limits the availability of overflight fees to \$50 million, is reflected as a –\$76 million discretionary change in a mandatory program in FY 2013. It is expected that a full year appropriation will amend this proviso of Section 112 so that the additional overflight fees will be available.

AVIATION INSURANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 69–4120–0–3–402	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Program Administration .....	3	7	7
0802 Projected Insurance Claims .....		24	51
0900 Total new obligations .....	3	31	58
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,676	1,835	1,972
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	162	168	50
1850 Spending auth from offsetting collections, mand (total) .....	162	168	50
1930 Total budgetary resources available .....	1,838	2,003	2,022
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,835	1,972	1,964
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	3	31	58
3020 Outlays (gross) .....	–3	–31	–58
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	162	168	50
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	31	48
4101 Outlays from mandatory balances .....			10
4110 Outlays, gross (total) .....	3	31	58
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	–1	–5	–8
4123 Non-Federal sources .....	–161	–163	–42
4130 Offsets against gross budget authority and outlays (total) .....	–162	–168	–50
4170 Outlays, net (mandatory) .....	–159	–137	8
4190 Outlays, net (total) .....	–159	–137	8
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,631	1,818	1,784
5001 Total investments, EOY: Federal securities: Par value .....	1,818	1,784	1,783

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Outlays .....	-159	-137	8
Legislative proposal, subject to PAYGO:			
Outlays .....			-110
Total:			
Outlays .....	-159	-137	-102

The fund provides direct support for the aviation insurance program (chapter 443 of title 49, U.S. Code). Income to the fund is derived from premium collections for premium insurance coverage issued, income from authorized investments, and filing fees for non-premium coverage issued. The non-premium program provides aviation insurance coverage for aircraft used in connection with certain Government contract operations by a Department or Agency that agrees to indemnify the Secretary of Transportation for any losses covered by the insurance. The premium program provides war risk insurance coverage at a premium based on activity.

The Homeland Security Act of 2002 (P.L. 107-296) added a provision to require the Secretary to provide additional premium war risk insurance coverage (hull loss or damage and passenger and crew liability) to air carriers insured for third-party war risk liability on November 25, 2002.

The premium war risk insurance policy covers: (i) hull losses at agreed value; (ii) death, injury or property loss to passengers or crew, the limit being the same as the air carrier's commercial coverage as of November 25, 2002; and (iii) third-party liability.

The Budget now includes outlays reflecting probabilistic estimates of losses for the aviation war risk insurance program.

The authority to provide aviation war risk insurance expires on December 31, 2013. With the goal of building private capacity to manage aviation war risk, the Administration proposes to transform the program into a co-insurance arrangement in which DOT and a private insurer would jointly underwrite a common policy. In the case of a claim, DOT would pay an established fraction of the losses, and the private partner would pay the remainder. The Federal share would be slightly reduced each year as private capacity expands. The proposal would extend the existing program through 2014, during which time DOT would propose changes to its underlying statutory authority and work with the private insurance industry to develop co-insurance policies. The Budget proposes that a co-insurance arrangement would begin to reduce the governments share of any losses, starting in 2015.

**Object Classification** (in millions of dollars)

Identification code 69-4120-0-3-402	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
42.0 Projected Insurance claims and indemnities .....		24	51
44.0 Refunds .....	2	6	6
99.9 Total new obligations .....	3	31	58

**Employment Summary**

Identification code 69-4120-0-3-402	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	4	5	6

**AVIATION INSURANCE REVOLVING FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 69-4120-4-3-402	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Program Administration .....			2
0802 Projected Insurance Claims .....			16
0900 Total new obligations .....			18
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			128
1850 Spending auth from offsetting collections, mand (total) .....			128
1930 Total budgetary resources available .....			128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			110
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			18
3020 Outlays (gross) .....			-18
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			128
Outlays, gross:			
4100 Outlays from new mandatory authority .....			18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....			-128
4190 Outlays, net (total) .....			-110
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			110

**Object Classification** (in millions of dollars)

Identification code 69-4120-4-3-402	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....			2
42.0 Projected insurance claims and indemnities .....			16
99.9 Total new obligations .....			18

**Employment Summary**

Identification code 69-4120-4-3-402	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....			6

**ADMINISTRATIVE SERVICES FRANCHISE FUND**

**Program and Financing** (in millions of dollars)

Identification code 69-4562-0-4-402	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Accounting Services .....	54	58	59
0804 Information Services .....	102	106	107
0805 Duplicating Services .....	3	4	4
0806 Multi Media .....	2	2	2
0807 CMEL/Training .....	11	12	12
0808 International Training .....	5	4	4
0810 Logistics .....	249	233	235
0811 Aircraft Maintenance .....	54	56	57
0812 Acquisition .....	9	9	10

ADMINISTRATIVE SERVICES FRANCHISE FUND—Continued  
Program and Financing—Continued

Identification code 69-4562-0-4-402	2012 actual	2013 CR	2014 est.
0900 Total new obligations .....	489	484	490
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	128	110	111
1021 Recoveries of prior year unpaid obligations .....	19		
1050 Unobligated balance (total) .....	147	110	111
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	458	485	500
1701 Change in uncollected payments, Federal sources .....	-6		
1750 Spending auth from offsetting collections, disc (total) .....	452	485	500
1930 Total budgetary resources available .....	599	595	611
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	110	111	121
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	143	169	153
3010 Obligations incurred, unexpired accounts .....	489	484	490
3020 Outlays (gross) .....	-444	-500	-494
3040 Recoveries of prior year unpaid obligations, unexpired .....	-19		
3050 Unpaid obligations, end of year .....	169	153	149
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	6		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	130	162	146
3200 Obligated balance, end of year .....	162	146	142
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	452	485	500
Outlays, gross:			
4010 Outlays from new discretionary authority .....	337	330	340
4011 Outlays from discretionary balances .....	107	170	154
4020 Outlays, gross (total) .....	444	500	494
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-458	-485	-500
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	6		
4080 Outlays, net (discretionary) .....	-14	15	-6
4190 Outlays, net (total) .....	-14	15	-6

In 1997, the Federal Aviation Administration (FAA) established a franchise fund to finance operations where the costs for goods and services provided are charged to the users on a fee-for-service basis. The fund improves organizational efficiency and provides better support to FAA's internal and external customers. The activities included in this franchise fund are: training, accounting, travel, duplicating services, multi-media services, information technology, materiel management (logistics), and aircraft maintenance.

Object Classification (in millions of dollars)

Identification code 69-4562-0-4-402	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	129	134	137
12.1 Civilian personnel benefits .....	40	42	43
21.0 Travel and transportation of persons .....	5	7	7
22.0 Transportation of things .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	17	15	16
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	208	192	193
26.0 Supplies and materials .....	73	74	74
31.0 Equipment .....	11	14	14
99.9 Total new obligations .....	489	484	490

Employment Summary

Identification code 69-4562-0-4-402	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,736	1,760	1,791

Trust Funds

AIRPORT AND AIRWAY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 69-8103-0-7-402	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	8,641	10,425	10,399
5001 Total investments, EOY: Federal securities: Par value .....	10,425	10,399	10,676

Section 9502 of Title 26, U.S. Code, provides for amounts equivalent to the funds received in the U.S. Treasury for the passenger ticket tax and certain other taxes paid by airport and airway users to be transferred to the Airport and Airway Trust Fund. In turn, appropriations are authorized from this fund to meet obligations for airport improvement grants, Federal Aviation Administration facilities and equipment, research, operations, payment to air carriers, and for the Bureau of Transportation Statistics Office of Airline Information.

To more equitably distribute the cost of air traffic services across the aviation user community, the Administration proposes to establish a new surcharge for air traffic services of \$100 per flight. Military aircraft, public aircraft, piston aircraft, air ambulances, aircraft operating outside of controlled airspace, and Canada-to-Canada flights would be exempt. The revenues generated by the surcharge would be deposited into the Airport and Airway Trust Fund. The surcharge would be effective for flights beginning after September 30, 2013.

The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 69-8103-0-7-402	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	10,326	11,623	11,550
0199 Total balance, start of year .....	10,326	11,623	11,550
Cash income during the year:			
Current law:			
Receipts:			
1200 Excise Taxes, Airport and Airway Trust Fund .....	12,532	11,921	12,199
Offsetting receipts (intragovernmental):			
1240 Interest, Airport and Airway Trust Fund .....	221	232	217
Offsetting collections:			
1280 Grants-in-aid for Airports (Airport and Airway Trust Fund) .....		1	1
1281 Research, Engineering and Development (Airport and Airway Trust Fund) .....	4	13	13
1282 Facilities and Equipment (Airport and Airway Trust Fund) .....		40	40
1283 Facilities and Equipment (Airport and Airway Trust Fund) .....	50	49	30
1299 Income under present law .....	12,807	12,256	12,500
Proposed legislation:			
Receipts:			
2201 Excise Taxes, Airport and Airway Trust Fund .....			807
2299 Income under proposed legislation .....			807
3299 Total cash income .....	12,807	12,256	13,307
Cash outgo during year:			
Current law:			
4500 Trust Fund Share of FAA Activities (Airport and Airway Trust Fund) .....	-5,061	-5,092	-6,484
4500 Grants-in-aid for Airports (Airport and Airway Trust Fund) .....	-3,144	-3,947	-3,671
4500 Facilities and Equipment (Airport and Airway Trust Fund) .....	-2,968	-2,952	-2,911
4500 Research, Engineering and Development (Airport and Airway Trust Fund) .....	-188	-195	-193
4500 Payments to Air Carriers .....	-149	-143	-146

4599	Outgo under current law (-)	-11,510	-12,329	-13,405
6599	Total cash outgo (-)	-11,510	-12,329	-13,405
7645	Facilities and Equipment (Airport and Airway Trust Fund)	1		
Manual Adjustments:				
7690	Rounding adjustment	-1		
7699	Total adjustments			
Unexpended balance, end of year:				
8700	Uninvested balance (net), end of year	1,198	1,151	776
8701	Airport and Airway Trust Fund	10,425	10,399	10,676
8799	Total balance, end of year	11,623	11,550	11,452

**GRANTS-IN-AID FOR AIRPORTS**  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(AIRPORT AND AIRWAY TRUST FUND)  
(INCLUDING TRANSFER OF FUNDS)

*For liquidation of obligations incurred for grants-in-aid for airport planning and development, and noise compatibility planning and programs as authorized under subchapter I of chapter 471 and subchapter I of chapter 475 of title 49, United States Code, and under other law authorizing such obligations; for procurement, installation, and commissioning of runway incursion prevention devices and systems at airports of such title; for grants authorized under section 41743 of title 49, United States Code; and for inspection activities and administration of airport safety programs, including those related to airport operating certificates under section 44706 of title 49, United States Code, \$3,200,000,000 to be derived from the Airport and Airway Trust Fund and to remain available until expended: Provided, That none of the funds under this heading shall be available for the planning or execution of programs the obligations for which are in excess of \$2,900,000,000 in fiscal year 2014, notwithstanding section 47117(g) of title 49, United States Code: Provided further, That none of the funds under this heading shall be available for the replacement of baggage conveyor systems, reconfiguration of terminal baggage areas, or other airport improvements that are necessary to install bulk explosive detection systems: Provided further, That notwithstanding any other provision of law, of funds limited under this heading, not more than \$106,600,000 shall be obligated for administration, not less than \$15,000,000 shall be available for the Airport Cooperative Research Program, and not less than \$29,500,000 shall be available for Airport Technology Research.*

(CANCELLATION)

*Of the amounts authorized under sections 48103 and 48112 of Title 49, United States Code, \$450,000,000 are hereby permanently cancelled from amounts authorized for the fiscal year ending September 30, 2014.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69–8106–0–7–402	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants-in-aid for airports	3,335	3,211	2,749
0002 Personnel and related expenses	100	102	107
0003 Airport technology research	29	29	29
0005 Small community air service	15	6	
0006 Airport Cooperative Research	15	15	15
0100 Total direct program	3,494	3,363	2,900
0799 Total direct obligations	3,494	3,363	2,900
0801 Reimbursable program		1	1
0900 Total new obligations	3,494	3,364	2,901
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	15	2

1021 Recoveries of prior year unpaid obligations	146		
1050 Unobligated balance (total)	159	15	2
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	3,435	3,456	3,200
1137 Appropriations applied to liquidate contract authority	-3,435	-3,456	-3,200
Contract authority, discretionary:			
1520 Contract authority and/or unobligated balance of contract authority permanently reduced			-450
1540 Contract authority, discretionary (total)			-450
Contract authority, mandatory:			
1600 Contract authority	3,350	3,350	3,350
1640 Contract authority, mandatory (total)	3,350	3,350	3,350
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1750 Spending auth from offsetting collections, disc (total)		1	1
1900 Budget authority (total)	3,350	3,351	2,901
1930 Total budgetary resources available	3,509	3,366	2,903
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	2	2

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,223	5,427	4,844
3010 Obligations incurred, unexpired accounts	3,494	3,364	2,901
3020 Outlays (gross)	-3,144	-3,947	-3,671
3040 Recoveries of prior year unpaid obligations, unexpired	-146		
3050 Unpaid obligations, end of year	5,427	4,844	4,074
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,223	5,427	4,844
3200 Obligated balance, end of year	5,427	4,844	4,074

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross		1	-449
Outlays, gross:			
4010 Outlays from new discretionary authority	316	673	592
4011 Outlays from discretionary balances	2,828	3,274	3,079
4020 Outlays, gross (total)	3,144	3,947	3,671
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources		-1	-1
Mandatory:			
4090 Budget authority, gross	3,350	3,350	3,350
4180 Budget authority, net (total)	3,350	3,350	2,900
4190 Outlays, net (total)	3,144	3,946	3,670

**Memorandum (non-add) entries:**

5052 Obligated balance, SOY: Contract authority	3,641	3,556	3,450
5053 Obligated balance, EOY: Contract authority	3,556	3,450	3,150
5061 Limitation on obligations (Transportation Trust Funds)	3,350	3,371	2,900

Subchapter I of chapter 471, title 49, U.S. Code provides for airport improvement grants, including those emphasizing capacity development, safety and security needs; and chapter 475 of title 49 provides for grants for aircraft noise compatibility planning and programs. The FY 2014 budget request proposes to lower funding for the airport grants program to \$2.9 billion, offset in part by eliminating passenger and cargo entitlement funding for large hub airports. To assist those airports that need the most help, the Administration proposes to focus Federal grants to support smaller commercial and general aviation airports that do not have access to additional revenue or other outside sources of capital. The Budget also proposes to allow all commercial service airports to increase the non-Federal Passenger Facility Charge, thereby giving airports greater flexibility to generate their own revenue. Eligible airports in all size categories will be able to compete for an additional \$2.0 billion in one-time funding that will be made available under the President's Immediate Transportation Investments proposal targeting investments in roads, railways, and runways. The combination of these changes to the AIP and PFC programs will allow airports to effectively transition to a reduced AIP level without hindering their ability to meet existing capital needs of the national airport system.

GRANTS-IN-AID FOR AIRPORTS—Continued  
Object Classification (in millions of dollars)

Identification code 69-8106-0-7-402	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	59	63	65
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	61	65	67
12.1 Civilian personnel benefits .....	17	18	19
21.0 Travel and transportation of persons .....	3	3	3
23.2 Rental payments to others .....	1	1	1
25.1 Advisory and assistance services .....	25	25	27
25.2 Other services from non-Federal sources .....	28	24	25
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	5	5	5
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3,344	3,212	2,749
94.0 Financial transfers .....	6	6	.....
99.0 Direct obligations .....	3,494	3,363	2,900
99.0 Reimbursable obligations .....	.....	1	1
99.9 Total new obligations .....	3,494	3,364	2,901

**Employment Summary**

Identification code 69-8106-0-7-402	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	547	589	605
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**FACILITIES AND EQUIPMENT**

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, technical support services, improvement by contract or purchase, and hire of national airspace systems and experimental facilities and equipment, as authorized under part A of subtitle VII of title 49, United States Code, including initial acquisition of necessary sites by lease or grant; engineering and service testing, including construction of test facilities and acquisition of necessary sites by lease or grant; construction and furnishing of quarters and related accommodations for officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; and the purchase, lease, or transfer of aircraft from funds available under this heading, including aircraft for aviation regulation and certification; to be derived from the Airport and Airway Trust Fund, \$2,777,798,000, of which \$482,000,000 shall remain available until September 30, 2014, and of which \$2,295,798,000 shall remain available until September 30, 2016: Provided, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment, improvement, and modernization of national airspace systems: Provided further, That upon initial submission to the Congress of the fiscal year 2015 President's budget, the Secretary of Transportation shall transmit to the Congress a comprehensive capital investment plan for the Federal Aviation Administration which includes funding for each budget line item for fiscal years 2015 through 2019, with total funding for each year of the plan constrained to the funding targets for those years as estimated and approved by the Office of Management and Budget.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 69-8107-0-7-402	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Engineering, development, test and evaluation .....	455	583	335
0002 Procurement and modernization of air traffic control (ATC) facilities and equipment .....	1,627	1,652	1,200
0003 Procurement and modernization of non-ATC facilities and equipment .....	180	202	126
0004 Mission support .....	232	252	183
0005 Personnel and related expenses .....	472	478	482
0100 Subtotal, direct program .....	2,966	3,167	2,326
0799 Total direct obligations .....	2,966	3,167	2,326
0801 Reimbursable program .....	74	75	75
0900 Total new obligations .....	3,040	3,242	2,401
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,355	1,163	787
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,352	1,163	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	61	.....	.....
1050 Unobligated balance (total) .....	1,417	1,163	787
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	2,731	2,777	2,778
1160 Appropriation, discretionary (total) .....	2,731	2,777	2,778
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	40	89	70
1701 Change in uncollected payments, Federal sources .....	24	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	64	89	70
1900 Budget authority (total) .....	2,795	2,866	2,848
1930 Total budgetary resources available .....	4,212	4,029	3,635
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-9	.....	.....
1941 Unexpired unobligated balance, end of year .....	1,163	787	1,234
<b>Special and non-revolving trust funds:</b>			
1951 Unobligated balance expiring .....	9	.....	.....
1952 Expired unobligated balance, start of year .....	125	103	103
1953 Expired unobligated balance, end of year .....	94	103	103
1954 Unobligated balance canceling .....	70	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,980	1,943	2,233
3010 Obligations incurred, unexpired accounts .....	3,040	3,242	2,401
3011 Obligations incurred, expired accounts .....	14	.....	.....
3020 Outlays (gross) .....	-2,968	-2,952	-2,911
3040 Recoveries of prior year unpaid obligations, unexpired .....	-61	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-62	.....	.....
3050 Unpaid obligations, end of year .....	1,943	2,233	1,723
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-74	-80	-80
3070 Change in uncollected pymts, Fed sources, unexpired .....	-24	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	18	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-80	-80	-80
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,906	1,863	2,153
3200 Obligated balance, end of year .....	1,863	2,153	1,643
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,795	2,866	2,848
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,107	1,262	1,229
4011 Outlays from discretionary balances .....	1,859	1,687	1,682
4020 Outlays, gross (total) .....	2,966	2,949	2,911
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-50	-49	-30
4033 Non-Federal sources .....	.....	-40	-40
4040 Offsets against gross budget authority and outlays (total) ...	-50	-89	-70
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-24	.....	.....
4052 Offsetting collections credited to expired accounts .....	10	.....	.....
4060 Additional offsets against budget authority only (total) .....	-14	.....	.....

4070	Budget authority, net (discretionary) .....	2,731	2,777	2,778
4080	Outlays, net (discretionary) .....	2,916	2,860	2,841
	Mandatory:			
	Outlays, gross:			
4101	Outlays from mandatory balances .....	2	3	.....
4180	Budget authority, net (total) .....	2,731	2,777	2,778
4190	Outlays, net (total) .....	2,918	2,863	2,841

Funding in this account provides for the deployment of communications, navigation, surveillance, and related capabilities within the National Airspace System (NAS). This includes funding for several activities of the Next Generation Air Transportation System, a joint effort between the Department of Transportation, the National Aeronautics and Space Administration, and the Departments of Defense, Homeland Security, and Commerce to improve the safety, capacity, security, and environmental performance of the NAS. The funding request supports the Federal Aviation Administration's comprehensive plan for modernizing, maintaining, and improving air traffic control and airway facilities services.

**Object Classification** (in millions of dollars)

Identification code 69-8107-0-7-402	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	312	318	321
11.3	Other than full-time permanent .....	2	2	2
11.5	Other personnel compensation .....	9	9	9
11.9	Total personnel compensation .....	323	329	332
12.1	Civilian personnel benefits .....	88	89	90
21.0	Travel and transportation of persons .....	41	34	34
22.0	Transportation of things .....	2	3	2
23.1	Rental payments to GSA .....	.....	1	.....
23.2	Rental payments to others .....	.....	44	27
23.3	Communications, utilities, and miscellaneous charges .....	54	18	26
25.1	Advisory and assistance services .....	.....	138	90
25.2	Other services from non-Federal sources .....	2,136	1,890	1,263
25.3	Other goods and services from Federal sources .....	.....	112	65
25.4	Operation and maintenance of facilities .....	.....	95	37
25.5	Research and development contracts .....	.....	3	5
25.7	Operation and maintenance of equipment .....	.....	69	41
26.0	Supplies and materials .....	21	21	19
31.0	Equipment .....	189	215	192
32.0	Land and structures .....	107	99	97
41.0	Grants, subsidies, and contributions .....	5	7	6
99.0	Direct obligations .....	2,966 <sup>1</sup>	3,167	2,326
99.0	Reimbursable obligations .....	74	75	75
99.9	Total new obligations .....	3,040	3,242	2,401

<sup>1</sup>Details about the above object class breakout is available upon request.

**Employment Summary**

Identification code 69-8107-0-7-402	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	2,793	2,833	2,833
2001	Reimbursable civilian full-time equivalent employment .....	39	61	62

**RESEARCH, ENGINEERING, AND DEVELOPMENT**  
(INCLUDING CANCELLATION OF FUNDS)  
(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for research, engineering, and development, as authorized under part A of subtitle VII of title 49, United States Code, including construction of experimental facilities and acquisition of necessary sites by lease or grant, \$166,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, 2016: Provided, That there may be credited to this appropriation as offsetting collections, funds received from States, counties, municipalities, other public authorities, and private sources, which shall be available for expenses incurred for research, engineering, and development: Provided further, That no amounts may be cancelled

from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-8108-0-7-402	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0011	Improve aviation safety .....	88	82	87
0012	Improve efficiency of the air traffic control system .....	38	29	31
0013	Reduce environmental impact of aviation .....	40	32	29
0014	Improve the efficiency of mission support .....	6	26	20
0100	Subtotal, direct program .....	172	169	167
0799	Total direct obligations .....	172	169	167
0801	Reimbursable program .....	7	13	13
0900	Total new obligations .....	179	182	180

**Budgetary Resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	77	76	76
1021	Recoveries of prior year unpaid obligations .....	5	.....	.....
1050	Unobligated balance (total) .....	82	76	76
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1101	Appropriation (special or trust fund) .....	168	169	166
1160	Appropriation, discretionary (total) .....	168	169	166
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	2	13	13
1701	Change in uncollected payments, Federal sources .....	4	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	6	13	13
1900	Budget authority (total) .....	174	182	179
1930	Total budgetary resources available .....	256	258	255
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring .....	-1	.....	.....
1941	Unexpired unobligated balance, end of year .....	76	76	75
<b>Special and non-revolving trust funds:</b>				
1951	Unobligated balance expiring .....	1	.....	.....
1952	Expired unobligated balance, start of year .....	6	6	6
1953	Expired unobligated balance, end of year .....	5	6	6
1954	Unobligated balance canceling[-8108] .....	2	.....	.....

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	158	143	130
3010	Obligations incurred, unexpired accounts .....	179	182	180
3011	Obligations incurred, expired accounts .....	1	.....	.....
3020	Outlays (gross) .....	-188	-195	-193
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050	Unpaid obligations, end of year .....	143	130	117
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-8	-8
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-8	-8	-8
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	152	135	122
3200	Obligated balance, end of year .....	135	122	109

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	174	182	179
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	60	87	86
4011	Outlays from discretionary balances .....	128	108	107
4020	Outlays, gross (total) .....	188	195	193
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-4	-13	-13
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
4052	Offsetting collections credited to expired accounts .....	2	.....	.....

RESEARCH, ENGINEERING, AND DEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 69-8108-0-7-402	2012 actual	2013 CR	2014 est.
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	168	169	166
4080 Outlays, net (discretionary) .....	184	182	180
4180 Budget authority, net (total) .....	168	169	166
4190 Outlays, net (total) .....	184	182	180

This account provides funding to conduct research, engineering, and development to improve the national airspace system's capacity and safety, as well as the ability to meet environmental needs. The proposed funding is allocated to the following performance goal areas of the Federal Aviation Administration: improve safety, economic competitiveness, and environmental performance of the National Airspace System. The request includes funding for several research and development activities of the Next Generation Air Transportation System (NextGen), as well as the Joint Planning and Development Office which coordinates the interagency NextGen efforts, including activities related to unmanned aircraft systems.

Object Classification (in millions of dollars)

Identification code 69-8108-0-7-402	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	28	28	28
11.3 Other than full-time permanent .....		1	1
11.9 Total personnel compensation .....	28	29	29
12.1 Civilian personnel benefits .....	8	8	8
21.0 Travel and transportation of persons .....	2	2	2
25.1 Advisory and assistance services .....	35	36	37
25.2 Other services from non-Federal sources .....	53	51	45
25.3 Other goods and services from Federal sources .....	2	2	2
25.4 Operation and maintenance of facilities .....	1		
25.5 Research and development contracts .....	22	21	23
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	2	2	3
31.0 Equipment .....	2	1	1
41.0 Grants, subsidies, and contributions .....	15	15	15
99.0 Direct obligations .....	172	169	167
99.0 Reimbursable obligations .....	7	13	13
99.9 Total new obligations .....	179	182	180

Employment Summary

Identification code 69-8108-0-7-402	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	255	260	260

TRUST FUND SHARE OF FAA ACTIVITIES (AIRPORT AND AIRWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-8104-0-7-402	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Payment to Operations .....	5,061	5,092	6,484
0900 Total new obligations (object class 94.0) .....	5,061	5,092	6,484
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	5,061	5,092	6,484
1160 Appropriation, discretionary (total) .....	5,061	5,092	6,484
1930 Total budgetary resources available .....	5,061	5,092	6,484

Change in obligated balance:

3010 Unpaid obligations:			
3020 Obligations incurred, unexpired accounts .....	5,061	5,092	6,484
3020 Outlays (gross) .....	-5,061	-5,092	-6,484

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	5,061	5,092	6,484
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5,061	5,092	6,484
4180 Budget authority, net (total) .....	5,061	5,092	6,484
4190 Outlays, net (total) .....	5,061	5,092	6,484

For 2014, the Budget proposes \$9,707 million for Federal Aviation Administration Operations, of which \$6,484 million would be provided from the Airport and Airway Trust Fund.

ADMINISTRATIVE PROVISIONS—FEDERAL AVIATION ADMINISTRATION

SEC. 110. The Administrator of the Federal Aviation Administration may reimburse amounts made available to satisfy 49 U.S.C. 41742(a)(1) from fees credited under 49 U.S.C. 45303, and any amount remaining in such account at the close of that fiscal year may be made available to satisfy section 41742(a)(1) for the subsequent fiscal year.

SEC. 111. Amounts collected under section 40113(e) of title 49, United States Code, shall be credited to the appropriation current at the time of collection, to be merged with and available for the same purposes of such appropriation.

SEC. 112. None of the funds limited by this Act for grants under the Airport Improvement Program shall be made available to the sponsor of a commercial service airport if such sponsor fails to agree to a request from the Secretary of Transportation for cost-free space in a non-revenue producing, public use area of the airport terminal or other airport facilities for the purpose of carrying out a public service air passenger rights and consumer outreach campaign.

SEC. 113. None of the funds in this Act shall be available for paying premium pay under subsection 5546(a) of title 5, United States Code, to any Federal Aviation Administration employee unless such employee actually performed work during the time corresponding to such premium pay.

SEC. 114. None of the funds in this Act may be obligated or expended for an employee of the Federal Aviation Administration to purchase a store gift card or gift certificate through use of a Government-issued credit card.

SEC. 115. None of the funds in this Act may be obligated or expended for retention bonuses for an employee of the Federal Aviation Administration without the prior written approval of the Deputy Assistant Secretary for Administration of the Department of Transportation.

SEC. 116. Subparagraph (D) of section 47124(b)(3) of title 49, United States Code, is amended by striking "20 percent." and inserting "50 percent."

FEDERAL HIGHWAY ADMINISTRATION

The Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, provides for increased transportation infrastructure investment, strengthens transportation safety programs and environmental programs, and continues core research activities. MAP-21, along with Title 23, United States Code (Highways) and other supporting legislation, provides authority for the various programs of the Federal-aid Program.

The Act is the first long-term highway authorization enacted since 2005. MAP-21 represents a milestone for the United States economy. It provides needed funds, and, more importantly, it transforms the policy and programmatic framework for investments to guide the growth and development of the country's vital transportation system. The 2014 Budget request reflects the program restructuring and performance-based investment approach laid out by MAP-21. The complex array of highway programs from previous years is substantially consolidated into a

smaller number of broader core programs, thus simplifying the transportation investment process and providing funding flexibility to States. In addition, each program requires that performance-based goals are monitored and achieved which will lead to more efficient investment of Federal funds by focusing on National transportation priorities, increasing the accountability and transparency of the Federal highway programs, and improving transportation investment decision-making.

In summary, the 2014 Budget consists of \$40,995 million in new budget authority and \$42,640 million in outlays (with both totals excluding transfers from the General Fund provided in MAP-21).

The following table reflects the total funding for all FHWA programs.

	[In millions of dollars]		
	2012 actual	2013 est.	2014 est.
<b>Budget Authority:</b>			
Federal-aid highways (TTF) .....	40,193	40,438	40,995
Federal-aid subject to limitation .....	39,447	39,699	40,256
Federal-aid highways exempt from the limitation .....	739	739	739
TIFIA Re-estimate .....	7	0	0
Miscellaneous appropriations (GF) .....	5	63	0
Miscellaneous trust funds (TF) .....	24	24	24
Emergency Relief (GF) .....	1,662	2,022	0
ROW Revolving Fund Liq Acct (TF) .....	-14	-19	0
<b>Total Budget Authority .....</b>	<b>41,871</b>	<b>42,528</b>	<b>41,019</b>
<b>Total Discretionary .....</b>	<b>1,662</b>	<b>2,022</b>	<b>0</b>
<b>Total Mandatory .....</b>	<b>40,208</b>	<b>40,506</b>	<b>41,019</b>
<b>Obligation Limitation:</b>			
Federal-aid highways (HTF) .....	39,144	39,144	40,256

Note: Numbers may not add due to rounding. Totals do not include transfers with the Federal Transit Administration.

**Federal Funds**

MISCELLANEOUS APPROPRIATIONS

**Program and Financing** (in millions of dollars)

Identification code 69-9911-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 69-X-0538 STP .....	64	44	44
0003 69-X-991 All Others .....	22	16	16
0083 69-X-0505 TIFIA .....	5	63	.....
0900 Total new obligations (object class 41.0) .....	91	123	60
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	332	260	193
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	332	260	.....
1010 Unobligated balance transfer to other accts [69-1129] .....	-1	-7	.....
1021 Recoveries of prior year unpaid obligations .....	15	.....	.....
1050 Unobligated balance (total) .....	346	253	193
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	5	63	.....
1260 Appropriations, mandatory (total) .....	5	63	.....
1900 Budget authority (total) .....	5	63	.....
1930 Total budgetary resources available .....	351	316	193
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	260	193	133
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	159	143	119
3010 Obligations incurred, unexpired accounts .....	91	123	60
3020 Outlays (gross) .....	-92	-147	-69
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15	.....	.....
3050 Unpaid obligations, end of year .....	143	119	110
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	159	143	119
3200 Obligated balance, end of year .....	143	119	110

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	87	84	69
<b>Mandatory:</b>			
4090 Budget authority, gross .....	5	63	.....
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	5	63	.....
4180 Budget authority, net (total) .....	5	63	.....
4190 Outlays, net (total) .....	92	147	69

This consolidated schedule shows the obligation and outlay of amounts appropriated from the General Fund for miscellaneous programs. The schedule reflects a Transportation Infrastructure Finance and Innovation (TIFIA) Act program upward interest re-estimate of \$5 million for 2012 and \$63 million for 2013. The Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, includes the TIFIA Act program upward subsidy re-estimate with this account instead of its previous inclusion in the Federal-aid highways account.

No further discretionary appropriations are requested for 2014.

EMERGENCY RELIEF

**Program and Financing** (in millions of dollars)

Identification code 69-0500-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1,393	1,107	1,555
0900 Total new obligations (object class 41.0) .....	1,393	1,107	1,555
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	266	640	1,555
1021 Recoveries of prior year unpaid obligations .....	105	.....	.....
1050 Unobligated balance (total) .....	371	640	1,555
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,662	2,022	.....
1160 Appropriation, discretionary (total) .....	1,662	2,022	.....
1930 Total budgetary resources available .....	2,033	2,662	1,555
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	640	1,555	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	549	811	1,044
3010 Obligations incurred, unexpired accounts .....	1,393	1,107	1,555
3020 Outlays (gross) .....	-1,026	-874	-1,048
3040 Recoveries of prior year unpaid obligations, unexpired .....	-105	.....	.....
3050 Unpaid obligations, end of year .....	811	1,044	1,551
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	549	811	1,044
3200 Obligated balance, end of year .....	811	1,044	1,551
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,662	2,022	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	689	126	.....
4011 Outlays from discretionary balances .....	337	748	1,048
4020 Outlays, gross (total) .....	1,026	874	1,048
4180 Budget authority, net (total) .....	1,662	2,022	.....
4190 Outlays, net (total) .....	1,026	874	1,048

The Emergency Relief program receives \$100 million annually in mandatory funds in the Federal-aid Highways account. The Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users (SAFETEA-LU); and Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, authorized the program to receive additional General Fund discretionary funding as needed. In 2012, \$1,662 million was

EMERGENCY RELIEF—Continued

enacted to remain available until expended, and in 2013, \$2,022 million was enacted to remain available until expended, both for necessary expenses resulting from major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.).

No further appropriations are requested for this account in 2014.

APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM

Program and Financing (in millions of dollars)

Identification code 69-0640-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Appalachian Development Highway System .....	10	57	.....
0900 Total new obligations (object class 41.0) .....	10	57	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	67	59	2
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	69	59	2
1930 Total budgetary resources available .....	69	59	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	59	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	23	53
3010 Obligations incurred, unexpired accounts .....	10	57	.....
3020 Outlays (gross) .....	-16	-27	-30
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	23	53	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	23	53
3200 Obligated balance, end of year .....	23	53	23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	16	27	30
4190 Outlays, net (total) .....	16	27	30

Funding for this program is used for the necessary expenses relating to construction of, and improvements to, corridors of the Appalachian Development Highway System. This schedule shows the obligation and outlay of amounts made available in prior years.

No funding is requested for 2014.

STATE INFRASTRUCTURE BANKS

Program and Financing (in millions of dollars)

Identification code 69-0549-0-1-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

This schedule shows the obligation and outlay of amounts made available in prior years.

No further appropriations are requested.

HIGHWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 69-0504-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0080 Projects and Activities Oversight .....	9	.....	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	.....	.....
1930 Total budgetary resources available .....	14	.....	.....
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,712	1,562	277
3010 Obligations incurred, unexpired accounts .....	9	.....	.....
3011 Obligations incurred, expired accounts .....	20	.....	.....
3020 Outlays (gross) .....	-3,037	-1,285	-277
3041 Recoveries of prior year unpaid obligations, expired .....	-142	.....	.....
3050 Unpaid obligations, end of year .....	1,562	277	.....
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-5	.....
3071 Change in uncollected pymts, Fed sources, expired .....	9	5	.....
3090 Uncollected pymts, Fed sources, end of year .....	-5	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,698	1,557	277
3200 Obligated balance, end of year .....	1,557	277	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	3,037	1,285	277
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-9	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	9	.....	.....
4080 Outlays, net (discretionary) .....	3,028	1,285	277
4190 Outlays, net (total) .....	3,028	1,285	277

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-0504-0-1-401	2012 actual	2013 CR	2014 est.
Direct loan subsidy outlays:			
134001 Tiger TIFIA Direct Loans (ARRA) .....	.....	8	1
134999 Total subsidy outlays .....	.....	8	1

Enacted on February 17, 2009, the American Recovery and Reinvestment Act of 2009 (Recovery Act) provided \$27.5 billion from the General Fund to the Federal Highway Administration (FHWA), of which \$26.6 billion was apportioned to States based on formulas described in the Recovery Act and \$0.9 billion was allocated to programs identified in the Recovery Act, including the Indian Reservation Roads Program, Park Roads and Parkway Program, Forest Highway Program, Refuge Roads Program, Disadvantaged Business Enterprise Bonding Assistance, Territorial Highway Program, Puerto Rico Highway Program, and the Ferry Boat Discretionary Program. Administrative oversight funds were available through September 30, 2012 and all other funds were available through September 30, 2010.

The FHWA Recovery Act funds have been used to invest in transportation, environmental protection, and other infrastructure that will provide longer term economic benefits to the Nation. The Recovery Act funds augmented existing investments, authorized by the Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users, enabled States, regional, and local governments to accelerate to completion a number of highway infrastructure projects planned or underway. Since the Recovery Act was enacted in February 2009, more than 42,000

miles of pavement across the United States have been improved. Of the 13,129 highway projects for which Recovery Act funds were obligated, 1,835 projects are under construction and 11,294 projects have been completed.

in the amount of \$6.2 billion and \$12.6 billion in 2013 and 2014, respectively.

**Object Classification** (in millions of dollars)

Identification code 69-0504-0-1-401	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	6		
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	7		
21.0 Travel and transportation of persons .....	1		
99.0 Direct obligations .....	8		
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	9		

**Employment Summary**

Identification code 69-0504-0-1-401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	20		

**PAYMENT TO THE TRANSPORTATION TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 69-0534-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		6,200	12,600
0900 Total new obligations (object class 41.0) .....		6,200	12,600
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....		6,200	12,600
1260 Appropriations, mandatory (total) .....		6,200	12,600
1930 Total budgetary resources available .....		6,200	12,600
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....		6,200	12,600
3020 Outlays (gross) .....		-6,200	-12,600

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....		6,200	12,600
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		6,200	12,600
4180 Budget authority, net (total) .....		6,200	12,600
4190 Outlays, net (total) .....		6,200	12,600

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....		6,200	12,600
Outlays .....		6,200	12,600
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			2,552
Outlays .....			2,552
<b>Total:</b>		6,200	15,152
Budget Authority .....		6,200	15,152
Outlays .....		6,200	15,152

Section 40251 of Public Law 112-141, Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, authorized additional appropriations from the General Fund of the Treasury to the Highway Account in the Highway Trust Fund

**PAYMENT TO THE TRANSPORTATION TRUST FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 69-0534-4-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			2,552
0900 Total new obligations (object class 94.0) .....			2,552
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			2,552
1260 Appropriations, mandatory (total) .....			2,552
1930 Total budgetary resources available .....			2,552

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			2,552
3020 Outlays (gross) .....			-2,552

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			2,552
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			2,552
4180 Budget authority, net (total) .....			2,552
4190 Outlays, net (total) .....			2,552

The Administration proposes to pay for the proposed rail reauthorization and the surface transportation reauthorization reserve by utilizing savings from ramping down overseas military operations. Specifically, the Budget proposes transfers from the General Fund to the Transportation Trust Fund (TTF) to maintain TTF solvency through the both reauthorization periods, which are fully offset by reduced overseas military expenditures. These transfers will cover both the existing structural trust fund structural deficit for current law surface transportation programs and new outlays associated with both reauthorization proposals for the ten year window. In 2014, the Budget proposes to transfer \$2.552 billion into the TTF.

**TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM  
DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 69-4123-0-3-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	852	7,619	9,793
0713 Payment of interest to Treasury .....	180	166	239
0742 Downward reestimate paid to receipt account .....	71	100	
0743 Interest on downward reestimates .....	28	35	
0900 Total new obligations .....	1,131	7,920	10,032

<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	30	29	3,230
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	1,033	10,240	9,037
1440 Borrowing authority, mandatory (total) .....	1,033	10,240	9,037
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	148	313	441
1801 Change in uncollected payments, Federal sources .....	-22	568	692
1825 Spending authority from offsetting collections applied to repay debt .....	-29		

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM  
DIRECT LOAN FINANCING ACCOUNT—Continued

Program and Financing—Continued

Identification code 69-4123-0-3-401	2012 actual	2013 CR	2014 est.
1850 Spending auth from offsetting collections, mand (total) .....	97	881	1,133
1900 Financing authority (total) .....	1,130	11,121	10,170
1930 Total budgetary resources available .....	1,160	11,150	13,400
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	29	3,230	3,368
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,682	2,890	8,361
3010 Obligations incurred, unexpired accounts .....	1,131	7,920	10,032
3020 Financing disbursements (gross) .....	-923	-2,449	-3,574
3050 Unpaid obligations, end of year .....	2,890	8,361	14,819
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-226	-204	-772
3070 Change in uncollected pymts, Fed sources, unexpired .....	22	-568	-692
3090 Uncollected pymts, Fed sources, end of year .....	-204	-772	-1,464
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,456	2,686	7,589
3200 Obligated balance, end of year .....	2,686	7,589	13,355
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	1,130	11,121	10,170
Financing disbursements:			
4110 Financing disbursements, gross .....	923	2,449	3,574
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: subsidy from program account .....	-68	-159	-303
4120 Federal sources: Upward Reestimate .....	-7	-45	.....
4120 Federal sources: Interest on upward reestimate .....	-5	-18	.....
4122 Interest on uninvested funds .....	-11	-21	-34
4123 Non-Federal sources - Interest payments .....	-41	-54	-88
4123 Non-Federal sources - Principal payments .....	-16	-16	-16
4130 Offsets against gross financing auth and disbursements (total) .....	-148	-313	-441
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	22	-568	-692
4160 Financing authority, net (mandatory) .....	1,004	10,240	9,037
4170 Financing disbursements, net (mandatory) .....	775	2,136	3,133
4180 Financing authority, net (total) .....	1,004	10,240	9,037
4190 Financing disbursements, net (total) .....	775	2,136	3,133

Status of Direct Loans (in millions of dollars)

Identification code 69-4123-0-3-401	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	852	7,619	9,793
1150 Total direct loan obligations .....	852	7,619	9,793
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	3,932	4,697	6,942
1231 Disbursements: Direct loan disbursements .....	644	2,078	3,235
1251 Repayments: Repayments and prepayments .....	-16	-16	-16
1261 Adjustments: Capitalized interest .....	137	183	294
1290 Outstanding, end of year .....	4,697	6,942	10,455

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans made under the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4123-0-3-401	2011 actual	2012 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	32	32
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	3,932	4,697

1402 Interest receivable .....	16	11
1405 Allowance for subsidy cost (-) .....	-346	-335
1499 Net present value of assets related to direct loans .....	3,602	4,373
1999 Total assets .....	3,634	4,405
LIABILITIES:		
2103 Federal liabilities: Debt .....	3,634	4,405
4999 Total liabilities and net position .....	3,634	4,405

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM LOAN  
GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4145-0-3-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	.....	10
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	10	.....
1850 Spending auth from offsetting collections, mand (total) .....	.....	10	.....
1930 Total budgetary resources available .....	.....	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	10	10

Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	.....	10	.....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	.....	-10	.....
4190 Financing disbursements, net (total) .....	.....	-10	.....

Status of Guaranteed Loans (in millions of dollars)

Identification code 69-4145-0-3-401	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation .....	.....	132	.....
2150 Total guaranteed loan commitments .....	.....	132	.....
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	.....	.....	132
2231 Disbursements of new guaranteed loans .....	.....	132	.....
2290 Outstanding, end of year .....	.....	132	132
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	.....	132	.....

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees made under the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM LINE  
OF CREDIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4173-0-3-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	.....	104	.....
0713 Payment of interest to Treasury .....	.....	1	.....
0900 Total new obligations .....	.....	105	.....

<b>Budgetary Resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	95	
1440	Borrowing authority, mandatory (total) .....	95	
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	1	
1801	Change in uncollected payments, Federal sources .....	9	
1850	Spending auth from offsetting collections, mand (total) .....	10	
1900	Financing authority (total) .....	105	
1930	Total budgetary resources available .....	105	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		95
3010	Obligations incurred, unexpired accounts .....	105	
3020	Financing disbursements (gross) .....	-10	-21
3050	Unpaid obligations, end of year .....	95	74
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-9
3070	Change in uncollected pymts, Fed sources, unexpired .....	-9	
3090	Uncollected pymts, Fed sources, end of year .....	-9	-9
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		86
3200	Obligated balance, end of year .....	86	65
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	105	
Financing disbursements:			
4110	Financing disbursements, gross .....	10	21
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-1	
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-9	
4160	Financing authority, net (mandatory) .....	95	
4170	Financing disbursements, net (mandatory) .....	9	21
4180	Financing authority, net (total) .....	95	
4190	Financing disbursements, net (total) .....	9	21

**Status of Direct Loans (in millions of dollars)**

Identification code 69-4173-0-3-401	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131	Direct loan obligations exempt from limitation .....	104	
1150	Total direct loan obligations .....	104	
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....		10
1231	Disbursements: Direct loan disbursements .....	10	21
1290	Outstanding, end of year .....	10	31

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from lines of credit made under the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

**TIFIA GENERAL FUND PROGRAM ACCOUNT, FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION**

**Program and Financing (in millions of dollars)**

Identification code 69-0542-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701	Direct loan subsidy .....	6	39
0709	Administrative expenses .....		1
0900	Total new obligations .....	6	40

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	20	45 10
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	31	5
1750	Spending auth from offsetting collections, disc (total) .....	31	5
1930	Total budgetary resources available .....	51	50 10
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	45	10 10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		6 32
3010	Obligations incurred, unexpired accounts .....	6	40
3020	Outlays (gross) .....		-14 -16
3050	Unpaid obligations, end of year .....	6	32 16
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		6 32
3200	Obligated balance, end of year .....	6	32 16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	31	5
Outlays, gross:			
4010	Outlays from new discretionary authority .....		1
4011	Outlays from discretionary balances .....		13 16
4020	Outlays, gross (total) .....		14 16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-31	-5
4190	Outlays, net (total) .....	-31	9 16

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 69-0542-0-1-401	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001	TIFIA TIGER Direct Loans .....	546	466
115999	Total direct loan levels .....	546	466
Direct loan subsidy (in percent):			
132001	TIFIA TIGER Direct Loans .....	1.05	8.28
132999	Weighted average subsidy rate .....	1.05	8.28
Direct loan subsidy budget authority:			
133001	TIFIA TIGER Direct Loans .....	6	39
133999	Total subsidy budget authority .....	6	39
Direct loan subsidy outlays:			
134001	TIFIA TIGER Direct Loans .....		12 15
134999	Total subsidy outlays .....		12 15
Direct loan downward reestimates:			
Administrative expense data:			
3510	Budget authority .....	1	1
3590	Outlays from new authority .....		1

The Office of the Secretary of Transportation (OST) received appropriations totaling \$1,127 million for TIGER discretionary grants as part of the 2010 and 2011 Department of Transportation (DOT) appropriations acts. The appropriations authorized DOT to pay subsidy and administrative costs, not to exceed \$300 million, of projects eligible for Federal credit assistance under Chapter 6 of Title 23 United States Code. In 2012, \$45 million was provided for TIGER discretionary grants as part of the 2012 DOT appropriation act to pay subsidy and administrative costs. OST has delegated the authority to negotiate and administer Transportation Infrastructure Finance Innovation Act of 1998 loans under this program to the Federal Highway Administration. No further amounts are requested for 2014.

**Object Classification (in millions of dollars)**

Identification code 69-0542-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1	Advisory and assistance services .....		1

TIFIA GENERAL FUND PROGRAM ACCOUNT, FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION—Continued

Object Classification—Continued

Identification code 69-0542-0-1-401	2012 actual	2013 CR	2014 est.
41.0 Grants, subsidies, and contributions .....	6	39	.....
99.9 Total new obligations .....	6	40	.....

TIFIA GENERAL FUND DIRECT LOAN FINANCING ACCOUNT, FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION

Program and Financing (in millions of dollars)

Identification code 69-4348-0-3-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	546	466	.....
0713 Payment of interest to Treasury .....	.....	8	10
0900 Total new obligations .....	546	474	10

<b>Budgetary Resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	540	432	8
1440 Borrowing authority, mandatory (total) .....	540	432	8
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	14	17
1801 Change in uncollected payments, Federal sources .....	6	28	-15
1850 Spending auth from offsetting collections, mand (total) .....	6	42	2
1900 Financing authority (total) .....	546	474	10
1930 Total budgetary resources available .....	546	474	10

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	546	872
3010 Obligations incurred, unexpired accounts .....	546	474	10
3020 Financing disbursements (gross) .....	.....	-148	-196
3050 Unpaid obligations, end of year .....	546	872	686
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-6	-34
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	-28	15
3090 Uncollected pymts, Fed sources, end of year .....	-6	-34	-19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	540	838
3200 Obligated balance, end of year .....	540	838	667

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	546	474	10
Financing disbursements:			
4110 Financing disbursements, gross .....	.....	148	196
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	.....	-12	-15
4122 Interest on uninvested funds .....	.....	-2	-2
4130 Offsets against gross financing auth and disbursements (total) .....	.....	-14	-17
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-6	-28	15
4160 Financing authority, net (mandatory) .....	540	432	8
4170 Financing disbursements, net (mandatory) .....	.....	134	179
4180 Financing authority, net (total) .....	540	432	8
4190 Financing disbursements, net (total) .....	.....	134	179

Status of Direct Loans (in millions of dollars)

Identification code 69-4348-0-3-401	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	546	466	.....
1150 Total direct loan obligations .....	546	466	.....

Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	.....	.....	148
1231 Disbursements: Direct loan disbursements .....	.....	140	186
1261 Adjustments: Capitalized interest .....	.....	8	10
1290 Outstanding, end of year .....	.....	148	344

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records cash flows to and from the Government resulting from direct loans made as National Infrastructure Investment awards and administered by the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

TIGER TIFIA DIRECT LOAN FINANCING ACCOUNT, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 69-4347-0-3-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	8	1
1801 Change in uncollected payments, Federal sources .....	.....	-8	-1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	472	472	54
3020 Financing disbursements (gross) .....	.....	-418	-17
3050 Unpaid obligations, end of year .....	472	54	37
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-12	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	.....	8	1
3090 Uncollected pymts, Fed sources, end of year .....	-12	-4	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	460	460	50
3200 Obligated balance, end of year .....	460	50	34

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
Financing disbursements:			
4110 Financing disbursements, gross .....	.....	418	17
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	.....	-8	-1
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	.....	8	1
4170 Financing disbursements, net (mandatory) .....	.....	410	16
4190 Financing disbursements, net (total) .....	.....	410	16

Status of Direct Loans (in millions of dollars)

Identification code 69-4347-0-3-401	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	.....	.....	439
1231 Disbursements: Direct loan disbursements .....	.....	418	17
1261 Adjustments: Capitalized interest .....	.....	21	23
1290 Outstanding, end of year .....	.....	439	479

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records cash flows to and from the Government resulting from direct loans made as Supplemental Discretionary Grants for National Surface Transportation System awards and administered by the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

HIGHWAY INFRASTRUCTURE PROGRAMS

Program and Financing (in millions of dollars)

Identification code 69-0548-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	223		
0900 Total new obligations (object class 41.0) .....	223		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	213		
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	223		
1930 Total budgetary resources available .....	223		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	215	242	107
3010 Obligations incurred, unexpired accounts .....	223		
3020 Outlays (gross) .....	-186	-135	-80
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3050 Unpaid obligations, end of year .....	242	107	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	215	242	107
3200 Obligated balance, end of year .....	242	107	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	186	135	80
4190 Outlays, net (total) .....	186	135	80

In 2010, the Congress appropriated \$650 million for the restoration, repair, and construction of highway infrastructure, and other activities eligible under paragraph (b) of section 133 of title 23, United States Code.

No further appropriations are requested in 2014.

Trust Funds

RIGHT-OF-WAY REVOLVING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-8402-0-8-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	14	19	
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-14	-19	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	6
3050 Unpaid obligations, end of year .....	6	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	6
3200 Obligated balance, end of year .....	6	6	6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-14	-19	
4180 Budget authority, net (total) .....	-14	-19	
4190 Outlays, net (total) .....	-14	-19	

Status of Direct Loans (in millions of dollars)

Identification code 69-8402-0-8-401	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	44	19	
1251 Repayments: Repayments and prepayments .....	-14	-19	

1264 Write-offs for default: Loan Forgiveness (P.L. 109-59) .....	-11		
1290 Outstanding, end of year .....	19		

The Federal-Aid Highway Act of 1968 authorized the establishment of a right-of-way revolving fund. This fund was used to make cash advances to States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby preventing the inflation of land prices from significantly increasing construction costs.

This program was terminated by the Transportation Equity Act for the 21st Century of 1998 but will continue to be shown for reporting purposes as loan balances remain outstanding. The purchase of right-of-way is an eligible expense of the Federal-Aid Highway program.

FEDERAL-AID HIGHWAYS

TRANSPORTATION TRUST FUND

Whenever in this fiscal year the Secretary of Transportation (after consultation with the Secretary of the Treasury) determines that the amount in Highway Trust Fund (other than the Mass Transit Account) or the Mass Transit Account is insufficient to timely meet the anticipated payments from the account, and the amount in the other account exceeds the amount necessary to timely meet the anticipated payments from that account, the Secretary of the Treasury may transfer to the insufficient account from such other account referred to in this paragraph an amount up to the estimated insufficiency or the excess in such other account, whichever is less: Provided, That any amount transferred to the insufficient account shall be treated as a non-interest bearing repayable advance: Provided further, That whenever the Secretary of Transportation (after consultation with the Secretary of the Treasury) determines that the amount in the account to which an advance is made exceeds the amount necessary to timely meet the anticipated payments from the account, the Secretary shall transfer from that account to the account from which the advance was made an amount equal to the amount so advanced or such excess, whichever is less.

Program and Financing (in millions of dollars)

Identification code 69-8102-0-7-401	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	16,302	9,970	7,100
5001 Total investments, EOY: Federal securities: Par value .....	9,970	7,100	6,800

The Highway Revenue Act of 1956, as amended, provides for the transfer from the General Fund to the Highway Trust Fund of revenue from the motor fuel tax and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs as specified by law. The Administration proposes to rename the Highway Trust Fund as the Transportation Trust Fund, and create a new Rail Account to finance inter-city passenger and freight rail investments.

The following Status of Funds table presents the status of the proposed Transportation Trust Fund.

**Cash balances.**—The Status of Funds table begins with the unexpended balance on a "cash basis" at the start of the year. The table shows the amount of cash invested in Federal securities at par value and the amount of cash on hand, i.e., uninvested balance. Next, the table provides the amounts of cash income and cash outlays during each year to show the cash balance at the end of each year.

**Revenues.**—The Budget presentation includes estimated receipts from existing Highway Trust Fund excise taxes, which would continue to be deposited into the Highway and Mass Transit

FEDERAL-AID HIGHWAYS—Continued

Accounts of the expanded Transportation Trust Fund in the same manner as current law.

**General Fund Transfers.**—The Moving Ahead for Progress in the 21st Century Act (Public Law 112–141) authorized transfers into the Transportation Trust Fund of \$2.4 billion from the Leaking Underground Storage Tank (LUST) Trust Fund in 2013, \$6.2 billion from the General Fund in 2013, and \$12.6 billion from the General Fund in 2014. The Budget reflects these transfers, and also proposes to transfer an additional \$214 billion over six years into the Transportation Trust Fund to maintain trust fund solvency and pay for increased outlays associated with the Administration's rail and surface transportation reauthorization proposals. The proposed General Fund transfers are fully offset by savings derived from reductions in overseas military operations.

5500	Railroad Research, Development, and Technology .....			-7
5599	Outgo under proposed legislation (-) .....			-1,787
6599	Total cash outgo (-) .....	-49,513	-51,347	-54,241
7645	Federal-aid Highways .....	-1,103	-1,386	-1,367
7645	Transit Formula Grants .....	-20		
7645	Federal-aid Highways .....	20		
7645	Transit Formula Grants .....	1,103	1,386	1,367
7650	Right-of-way Revolving Fund Liquidating Account .....	-14	-19	
	Manual Adjustments:			
7690	Rounding adjustment .....	-6		
7699	Total adjustments .....	-20	-19	
	Unexpended balance, end of year:			
8700	Uninvested balance (net), end of year .....	5,628	2,378	2,963
8701	Transportation Trust Fund .....	9,970	7,100	6,800
8799	Total balance, end of year .....	15,598	9,478	9,763

Status of Funds (in millions of dollars)

Identification code 69-8102-0-7-401	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	21,620	15,598	9,478
0111 Motor Carrier Safety Operations and Programs [021-17-8055-0] .....	16		
0111 Motor Carrier Safety [021-17-8159-0] .....	1		
0111 Motor Carrier Safety [021-17-8055-0] .....	2		
Adjustments:			
0190 Adjustment - reconcile to actual cash balance .....	691		
0199 Total balance, start of year .....	22,330	15,598	9,478
Cash income during the year:			
Current law:			
Receipts:			
1200 Transportation Trust Fund, Deposits (highway Account) .....	35,112	33,746	34,094
1201 Transportation Trust Fund, Deposits (Mass Transit Account) .....	5,057	4,906	4,915
Offsetting receipts (proprietary):			
1220 Transportation Infrastructure Finance and Innovation Program, Downward Reestimates of Subsidies (FHWA) .....	71		
Offsetting receipts (intragovernmental):			
1240 Payment from the General Fund, Transportation Trust Fund (Mass Transit) .....			2,200
1241 Transfer from the Leaking Underground Storage Tank Trust Fund, Transportation Trust Fund (Highway Account) .....	2,400		
1242 Earnings on Investments, Transportation Trust Fund .....	7	10	
1243 Payment from the General Fund, Transportation Trust Fund (Highway) .....		6,200	10,400
Offsetting collections:			
1280 Federal-aid Highways .....	1		
1281 Miscellaneous Transportation Trust Funds .....	2		
1282 Right-of-way Revolving Fund Liquidating Account .....	16	15	15
1283 Motor Carrier Safety Operations and Programs .....	14	19	
1284 Operations and Research (Transportation Trust Fund) .....	20	30	30
1285 Federal-aid Highways .....	101	320	320
1299 Income under present law .....	42,801	45,246	51,974
Proposed legislation:			
Offsetting receipts (intragovernmental):			
2244 Payment from the General Fund, Transportation Trust Fund (Rail Account) .....			2,552
2299 Income under proposed legislation .....			2,552
3299 Total cash income .....	42,801	45,246	54,526
Cash outgo during year:			
Current law:			
4500 Federal-aid Highways .....	-40,120	-40,927	-41,351
4500 Transit Formula Grants .....	-8,197	-9,252	-9,887
4500 Highway Traffic Safety Grants .....	-515	-428	-461
4500 Motor Carrier Safety Grants .....	-274	-283	-311
4500 Motor Carrier Safety Operations and Programs .....	-259	-258	-251
4500 Operations and Research (Transportation Trust Fund) .....	-120	-145	-147
4500 Miscellaneous Transportation Trust Funds .....	-13	-35	-36
4500 Discretionary Grants (Transportation Trust Fund, Mass Transit Account) .....	-13	-9	-9
4500 Appalachian Development Highway System (Transportation Trust Fund) .....	-1	-1	-1
4500 Motor Carrier Safety .....	-1	-4	
4500 National Motor Carrier Safety Program .....		-5	
4599 Outgo under current law (-) .....	-49,513	-51,347	-52,454
Proposed legislation:			
5500 Current Passenger Rail Service .....			-1,555
5500 Rail Service Improvement Program .....			-225

FEDERAL-AID HIGHWAYS

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

*Funds available for the implementation or execution of programs of Federal-aid highways and highway safety construction programs authorized under titles 23 and 49, United States Code, and the provisions of Public Law 112-141 shall not exceed total obligations of \$40,256,000,000 for fiscal year 2014: Provided, That the Secretary may collect and spend fees, as authorized by title 23, United States Code, to cover the costs of services of expert firms, including counsel, in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit instruments and all or a portion of the costs to the Federal Government of servicing such credit instruments: Provided further, That such fees are available until expended to pay for such costs: Provided further, That such amounts are in addition to administrative expenses that are also available for such purpose, and are not subject to any obligation limitation or the limitation on administrative expenses under 23 U.S.C. 608.*

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(TRANSPORTATION TRUST FUND)

*For the payment of obligations incurred in carrying out Federal-aid highways and highway safety construction programs authorized under title 23, United States Code, \$40,995,000,000 derived from the Highway account of the Transportation Trust Fund (other than the Mass Transit Account), to remain available until expended.*

LIMITATION ON ADMINISTRATIVE EXPENSES

(TRANSPORTATION TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

*Not to exceed \$429,855,000, together with advances and reimbursements received by the Federal Highway Administration, shall be paid in accordance with law from appropriations made available by this Act to the Federal Highway Administration for necessary expenses for administration and operation. In addition, not to exceed \$3,248,000 shall be paid from appropriations made available by this Act and transferred to the Appalachian Regional Commission in accordance with 23 U.S.C. 104.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69-8083-0-7-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Surface transportation program .....	9,300	9,605	9,686
0011 National highway system .....	8,335	538	538
0012 Interstate maintenance .....	5,390	416	416
0013 Bridge program .....	4,885	1,061	1,061
0014 National highway performance program .....		17,423	17,600
0015 Congestion mitigation and air quality improvement program .....	1,048	2,121	2,139
0016 Highway safety improvement program .....	1,436	2,294	2,315

0017	Metropolitan transportation planning .....	300	301	
0018	Transportation alternatives .....	777	787	
0021	Equity programs .....	1,426	1,444	1,444
0023	Federal lands highways .....	454		
0024	Federal lands and tribal programs .....	960	960	
0025	Appalachian development highway system .....	66	66	66
0026	High priority projects .....	790	670	549
0027	Projects of national and regional significance .....	101	101	101
0028	Research, development, and technology .....	242		
0029	Research, technology and education program .....	384	384	
0032	Administration - LAE .....	402	415	433
0033	Administration - other .....	34	33	
0056	Construction of ferry boats and ferry terminal facilities .....	64	64	
0057	Territorial and Puerto Rico highway programs .....	182	182	
0058	Other programs .....	3,621	464	644
0091	Programs subject to obligation limitation .....	37,496	39,319	39,703
0211	Exempt Programs .....	490	583	626
0500	Total direct program .....	37,986	39,902	40,329
	Credit program obligations:			
0701	Direct loan subsidy .....	47	746	995
0702	Loan guarantee subsidy .....		10	
0705	Reestimates of direct loan subsidy .....	7		
0709	Administrative expenses .....	2	4	5
0791	Direct program activities, subtotal .....	56	760	1,000
0799	Total direct obligations .....	38,042	40,662	41,329
0801	Reimbursable program .....	125	320	320
0900	Total new obligations .....	38,167	40,982	41,649
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	29,328	30,018	28,494
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	405	472	
1013	Unobligated balance of contract authority transferred to or from other accounts [69-8350] .....	6		
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-6		
1050	Unobligated balance (total) .....	29,328	30,018	28,494
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	39,883	40,438	40,995
1120	Appropriations transferred to other accts [69-8350] .....	-1,103	-1,386	-1,367
1121	Appropriations transferred from other accts [69-8350] .....	20		
1137	Appropriations applied to liquidate contract authority .....	-38,800	-39,052	-39,628
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	7		
1260	Appropriations, mandatory (total) .....	7		
Contract authority, mandatory:				
1600	Contract authority .....	40,186	40,438	40,995
1610	Transferred to other accounts [69-8350] .....	-1,543	-1,300	-1,300
1611	Transferred from other accounts [69-8350] .....	15		
1640	Contract authority, mandatory (total) .....	38,658	39,138	39,695
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	102	320	320
1701	Change in uncollected payments, Federal sources .....	90		
1750	Spending auth from offsetting collections, disc (total) .....	192	320	320
1900	Budget authority (total) .....	38,857	39,458	40,015
1930	Total budgetary resources available .....	68,185	69,476	68,509
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	30,018	28,494	26,860
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	69,414	67,461	67,516
3010	Obligations incurred, unexpired accounts .....	38,167	40,982	41,649
3020	Outlays (gross) .....	-40,120	-40,927	-41,351
3050	Unpaid obligations, end of year .....	67,461	67,516	67,814
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-431	-521	-521
3070	Change in uncollected pymts, Fed sources, unexpired .....	-90		
3090	Uncollected pymts, Fed sources, end of year .....	-521	-521	-521
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	68,983	66,940	66,995
3200	Obligated balance, end of year .....	66,940	66,995	67,293
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	192	320	320
Outlays, gross:				
4010	Outlays from new discretionary authority .....	10,670	10,538	10,838
4011	Outlays from discretionary balances .....	28,848	29,793	29,897

4020	Outlays, gross (total) .....	39,518	40,331	40,735
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-101	-320	-320
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) .....	-102	-320	-320
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-90		
4080	Outlays, net (discretionary) .....	39,416	40,011	40,415
Mandatory:				
4090	Budget authority, gross .....	38,665	39,138	39,695
Outlays, gross:				
4100	Outlays from new mandatory authority .....	215	200	200
4101	Outlays from mandatory balances .....	387	396	416
4110	Outlays, gross (total) .....	602	596	616
4180	Budget authority, net (total) .....	38,665	39,138	39,695
4190	Outlays, net (total) .....	40,018	40,607	41,031

**Memorandum (non-add) entries:**

5052	Obligated balance, SOY: Contract authority .....	60,004	59,862	59,948
5053	Obligated balance, EOY: Contract authority .....	59,862	59,948	60,015
5061	Limitation on obligations (Transportation Trust Funds) .....	39,144	37,844	38,956

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 69-8083-0-7-401	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 TIFIA Lines of Credit .....		104	
115002 TIFIA Direct Loans .....	852	7,619	9,793
115999 Total direct loan levels .....	852	7,723	9,793
Direct loan subsidy (in percent):			
132001 TIFIA Lines of Credit .....		9.66	
132002 TIFIA Direct Loans .....	5.50	9.66	10.16
132999 Weighted average subsidy rate .....	5.50	9.66	10.16
Direct loan subsidy budget authority:			
133001 TIFIA Lines of Credit .....		10	
133002 TIFIA Direct Loans .....	47	736	995
133999 Total subsidy budget authority .....	47	746	995
Direct loan subsidy outlays:			
134001 TIFIA Lines of Credit .....		1	1
134002 TIFIA Direct Loans .....	68	159	303
134999 Total subsidy outlays .....	68	160	304
Direct loan upward reestimates:			
135002 TIFIA Direct Loans .....	12	63	
135999 Total upward reestimate budget authority .....	12	63	
Direct loan downward reestimates:			
137002 TIFIA Direct Loans .....	-99	-135	
137999 Total downward reestimate budget authority .....	-99	-135	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan guarantee .....		132	
215999 Total loan guarantee levels .....		132	
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee .....		7.60	
232999 Weighted average subsidy rate .....		7.60	
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee .....		10	
233999 Total subsidy budget authority .....		10	
Guaranteed loan subsidy outlays:			
234001 Loan guarantee .....		10	
234999 Total subsidy outlays .....		10	
Guaranteed loan downward reestimates:			
Administrative expense data:			
3510 Budget authority .....	2	4	5
3590 Outlays from new authority .....	2	4	5

The Federal-Aid Highways (FAH) program is designed to aid in the development, operations, and management of an intermodal transportation system that is economically efficient, environmentally sound, provides the foundation for the Nation to compete in the global economy, and moves people and goods safely. Typically, all programs included within FAH are financed from the Highway Account of the proposed Transportation Trust Fund

## FEDERAL-AID HIGHWAYS—Continued

(formerly to be the Highway Trust Fund), and most are distributed via apportionments and allocations to States. Liquidating cash appropriations are subsequently requested to fund outlays resulting from obligations incurred under contract authority.

The authorization for Federal surface transportation programs (the Moving Ahead for Progress in the 21st Century Act, or MAP-21) was enacted on July 6, 2012.

The Federal Highway Administration's (FHWA) 2014 budget requests the programs and funding levels included in the MAP-21 authorization. Built on past successes, the new structure strives to enhance the safety, performance, condition, and efficiency of the Nation's highway system. The new construct consists of eight core programs and a handful of key activities: Highway Safety Improvement Program; National Highway Performance Program; Surface Transportation Program; Congestion Mitigation and Air Quality Improvement Program; Metropolitan Transportation Planning Program; Transportation Alternatives Program; Federal Lands and Tribal Programs; Transportation Infrastructure Finance and Innovation Act (TIFIA) Program; Research, Technology and Education Program; and, Other Programs.

*Highway Safety Improvement Program.*—The performance-based Highway Safety Improvement Program (\$2.4 billion) provides funding to significantly reduce traffic fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal land, directly tied to the Department's safety goal and Roadway Safety Plan principles. The request represents a slight increase over the MAP-21 2013 safety program, consistent with MAP-21 2014 funding levels. Improving roadway safety is a top priority of the Department, and has been designated one of DOT's Agency Priority Goals. FHWA, through National leadership and innovation, focuses on improving the safety of roadway infrastructure on all public roads. The program provides a data-and performance-driven, strategic approach to improving traffic safety to reduce fatalities and serious injuries. It strengthens coordination among all highway safety modes, including National Highway Traffic Safety Administration (NHTSA) and Federal Motor Carrier Safety Administration (FMCSA) safety programs in conjunction with all Department safety initiatives. It continues the requirement that each State utilize a Strategic Highway Safety Plan. This statewide, coordinated safety plan in each State will provide a comprehensive framework for establishing statewide goals, objectives, and performance targets. And it provides eligibility and flexibility for the effective use of safety-focused funding.

*National Highway Performance Program.*—The new performance-based National Highway Performance Program (\$21.9 billion) focuses significant Federal resources for the following purposes: to support the condition and performance of the National Highway System (NHS); to support the construction of new facilities on the NHS; and to ensure that investments of Federal-aid funds in highway construction support progress toward the achievement of performance targets for the NHS. The program includes performance management features, holds States accountable for achieving performance targets, and provides flexibility to States for making transportation investment decisions. It is a formula-based program that provides funding to maintain and improve the NHS. It streamlines and consolidates portions of several former SAFETEA-LU programs. MAP-21 redefined the NHS as a network composed of the Interstate System, all principal arterials, intermodal connectors, and roads important to national defense. The redefined NHS now totals approximately 220,000 miles. The NHS provides mobility to the vast majority of the Nation's population and almost all of its commerce. It

supports national defense and promotes intermodal connectivity. While NHS mileage accounts for a small portion of the Nation's public road mileage, it carries 55 percent of all vehicular traffic and 97 percent of truck-borne freight. While it comprises 53 percent of U.S. highway border crossings, it handles 98 percent of the value of total truck trade with Canada and Mexico.

*Surface Transportation Program.*—The new Surface Transportation Program (\$10.1 billion) provides flexible funding that may be used by States and localities for projects to preserve and improve the condition and performance on any federal-aid highway, bridges on any public road, and transit capital projects, including intercity bus terminals. An efficient transportation system is critical to maintaining the competitiveness of our economy. The highly developed U.S. transportation system played a key role in allowing GDP per capita to grow faster in the U.S. than comparable rates abroad. Additional transportation infrastructure investment is needed. This program will give transportation agencies the ability to target funding to State and local priorities. States will identify projects for STP funding in consultation with local transportation officials in rural areas and in cooperation with the Metropolitan Planning Organization (MPO) in metropolitan areas.

*Congestion Mitigation and Air Quality Improvement Program.*—The Congestion Mitigation and Air Quality (CMAQ) Improvement Program (\$2.3 billion) will help States, local governments, and private-sector sponsors reduce highway congestion and harmful emissions, and also assist many areas in reaching attainment of the National Ambient Air Quality Standards (NAAQS) - a strong environmental priority. The CMAQ program provides a flexible funding source for State and local governments to fund transportation projects and programs that help meet the requirements of the Clean Air Act and its amendments, and that help reduce regional congestion on transportation networks. CMAQ investments support transportation projects that reduce the mobile source emissions for which an area has been designated nonattainment or maintenance of the NAAQS by the Environmental Protection Agency. MAP-21 also places considerable emphasis on projects that reduce highway congestion, which in many metropolitan areas impedes economic development.

*Metropolitan Transportation Planning Program.*—The Metropolitan Transportation Planning Program (\$314 million) provides funds used by Metropolitan Planning Organizations (MPOs) for multimodal transportation planning and programming in metropolitan areas. Metropolitan planning activities include: the collection and analysis of data on demographics, trends, and system performance; travel demand and system performance forecasting; identification and prioritization of transportation system improvement needs; and coordination of the planning process and decision-making with the public, elected officials, and stakeholder groups.

*Transportation Alternatives Program.*—The Transportation Alternatives Program (\$820 million) supports the U.S. Department of Transportation Livable Communities strategic goal which aims to foster livable communities through policies and investments that increase transportation choices and access to transportation services. The program creates safe, accessible, attractive, and environmentally-sensitive communities where people want to live, work, and recreate. This program provides resources to expand transportation choices and enhance the transportation experience. Eligible projects include, but are not limited to pedestrian and bicycle infrastructure and safety programs, scenic and historic highway programs, landscaping and scenic beautification, historic preservation, and environmental mitigation.

**Federal Lands and Tribal Transportation Programs.**—The new Federal Lands and Tribal Transportation Programs (\$1.0 billion) provide funding for transportation projects on Federal and Tribal lands for construction and engineering projects that will provide multi-modal access to basic community services including safer all-weather access to schools and healthcare facilities for 565 federally-recognized sovereign tribal governments, improve multimodal access to recreational areas on public lands/national treasures, and expand economic development in and around Federal and tribal lands while preserving the environment and reducing congestion.

**Transportation Infrastructure Finance and Innovation Act (TIFIA) Program.**—The TIFIA Program (\$1.0 billion) provides contract authority for grant loan subsidies and administrative costs to assist with funding nationally or regionally significant transportation projects. The TIFIA Program leverages Federal dollars in a time of scarce budgetary resources, facilitating private participation in transportation projects and encouraging innovative financing mechanisms that help advance projects sooner. This program offers flexible repayment terms and attracts private capital to facilitate transportation projects that would otherwise go unfunded.

**Research, Technology, and Education Program.**—The Research, Technology, and Education (RT&E) Program (\$400 million) provides for a comprehensive, nationally-coordinated research, technology, and education program that will advance the Department of Transportation's organizational goals, while accelerating innovation delivery and technology implementation. The proposal restructures existing FHWA research, development and technology activities into three programs: a highway research and development program, a technology and innovation deployment program, and a training and education activities program. The Research Program also supports activities in the areas of safety, infrastructure preservation, operations, environmental sustainability, and policy. FHWA is in a unique leadership position to identify and address issues that require high-risk, long-term research, and research on emerging issues of National significance. FHWA's leadership role is necessary to build effective partnerships to maximize the investment in the transportation system. The entire innovation lifecycle is covered under the RT&E program umbrella from agenda setting to the deployment of technologies and innovations.

**Other Programs.**—This categorization consists of MAP-21 funding (\$357 million) authorized for three key programs: Emergency Relief; Territorial and Puerto Rico Highways Program; and Construction of Ferry Boats and Ferry Terminal Facilities. Emergency Relief funding assists Federal, State, tribal, and local governments with the expense of repairing serious damage to Federal-aid, tribal, and Federal Lands highways resulting from natural disasters or catastrophic failures. The Territorial and Puerto Rico Highways Program funds highway programs specifically in United States territories and Puerto Rico. The Construction of Ferry Boats and Ferry Terminal Facilities funding is used to construct ferry boats and ferry boat terminal facilities which will improve connectivity between NHS segments, provide travel mode options, and reduce congestion.

**Object Classification** (in millions of dollars)

Identification code 69-8083-0-7-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	250	293	298
11.3 Other than full-time permanent .....	3	6	6
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	257	303	308

12.1	Civilian personnel benefits .....	76	86	87
21.0	Travel and transportation of persons .....	18	15	15
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	27	27	27
23.2	Rental payments to others .....	1	.....	.....
23.3	Communications, utilities, and miscellaneous charges .....	2	3	3
24.0	Printing and reproduction .....	2	1	1
25.1	Advisory and assistance services .....	44	43	43
25.2	Other services from non-Federal sources .....	278	353	363
25.3	Other goods and services from Federal sources .....	140	425	435
25.4	Operation and maintenance of facilities .....	.....	4	4
25.7	Operation and maintenance of equipment .....	44	34	34
26.0	Supplies and materials .....	3	4	4
31.0	Equipment .....	2	6	6
32.0	Land and structures .....	1	8	8
33.0	Investments and loans .....	54	.....	.....
41.0	Grants, subsidies, and contributions .....	36,148	38,405	39,046
99.0	Direct obligations .....	37,098	39,718	40,385
99.0	Reimbursable obligations .....	125	320	320
	Allocation Account - direct:			
	Personnel compensation:			
11.1	Full-time permanent .....	12	12	12
11.5	Other personnel compensation .....	51	51	51
11.9	Total personnel compensation .....	63	63	63
12.1	Civilian personnel benefits .....	16	16	16
21.0	Travel and transportation of persons .....	3	3	3
23.1	Rental payments to GSA .....	7	7	7
25.1	Advisory and assistance services .....	26	26	26
25.2	Other services from non-Federal sources .....	186	186	186
25.3	Other goods and services from Federal sources .....	43	43	43
25.4	Operation and maintenance of facilities .....	9	9	9
25.5	Research and development contracts .....	1	1	1
25.7	Operation and maintenance of equipment .....	2	2	2
26.0	Supplies and materials .....	5	5	5
31.0	Equipment .....	2	2	2
32.0	Land and structures .....	23	23	23
41.0	Grants, subsidies, and contributions .....	557	558	558
99.0	Allocation account - direct .....	943	944	944
99.5	Below reporting threshold .....	1	.....	.....
99.9	Total new obligations .....	38,167	40,982	41,649

**Employment Summary**

Identification code 69-8083-0-7-401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,638	2,709	2,727
2001 Reimbursable civilian full-time equivalent employment .....	217	217	217
3001 Allocation account civilian full-time equivalent employment .....	3	3	3

**APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM (TRANSPORTATION TRUST FUND)**

**Program and Financing** (in millions of dollars)

Identification code 69-8072-0-7-401	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	3	3
1930	Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4	3	2
3020	Outlays (gross) .....	-1	-1	-1
3050	Unpaid obligations, end of year .....	3	2	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4	3	2
3200	Obligated balance, end of year .....	3	2	1

**Budget authority and outlays, net:**

Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	1	1	1
4190	Outlays, net (total) .....	1	1	1

APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM (TRANSPORTATION TRUST FUND)—Continued

Funding for this program is used for the necessary expenses for the Appalachian Development Highway System as distributed to the following States: Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Virginia, and West Virginia. This schedule shows the obligation and outlay of amounts made available in prior years.

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 69-9971-0-7-999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Advances from State Cooperating Agencies and Foreign Governments, FHA Miscellaneous Trust .....	17	17	17
0221 Contributions from States, Etc., Cooperative Work, Forest Highways, FHA, Miscellaneous Trust .....	5	5	5
0222 Deposits for Cooperative Work, International Highway Transportation Outreach Program .....	2	2	2
0299 Total receipts and collections .....	24	24	24
0400 Total: Balances and collections .....	24	24	24
Appropriations:			
0500 Miscellaneous Trust Funds .....	-24	-24	-24
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 69-9971-0-7-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Cooperative work, forest highways 69-X-8265 .....	8	10	10
0002 International Outreach Program 69-X-8371 .....	2	2	2
0003 Advances from State cooperating agencies 69-X-8054 .....	26	32	32
0004 Other Programs .....	1	1	1
0900 Total new obligations .....	37	45	45
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	57	45	24
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	58	45	24
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	24	24	24
1260 Appropriations, mandatory (total) .....	24	24	24
1900 Budget authority (total) .....	24	24	24
1930 Total budgetary resources available .....	82	69	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	45	24	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	27	22
3010 Obligations incurred, unexpired accounts .....	37	45	45
3020 Outlays (gross) .....	-37	-50	-52
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	27	22	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	27	22
3200 Obligated balance, end of year .....	27	22	15
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	24	24	24
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11	19	19
4101 Outlays from mandatory balances .....	26	31	33
4110 Outlays, gross (total) .....	37	50	52
4180 Budget authority, net (total) .....	24	24	24

4190 Outlays, net (total) .....	37	50	52
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The Miscellaneous Trust Funds account reflects work performed by Federal Highway Administration (FHWA) for other parties. FHWA performs the work on a reimbursable basis.

*Cooperative work, forest highways.*—Contributions are received from States and counties in connection with cooperative engineering, survey, maintenance, and construction projects for forest highways.

*International Outreach Program.*—Funds are collected to inform the domestic highway community of technological innovations, promote highway transportation expertise internationally, and increase transfers of transportation technology to foreign countries.

*Advances from State cooperating agencies.*—Funds are contributed by the State highway departments or local subdivisions thereof for construction and/or maintenance of roads or bridges. The work is performed under the supervision of the FHWA.

*Other Programs-Contributions for highway research programs.*—Contributions are received from various sources in support of the FHWA Research, Development, and Technology Program. The funds are used primarily in support of pooled-funds projects.

*Technical assistance, U.S. dollars advance from foreign governments.*—The FHWA renders technical assistance and acts as agent for the purchase of equipment and materials for carrying out highway programs in foreign countries.

Object Classification (in millions of dollars)

Identification code 69-9971-0-7-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.1 Advisory and assistance services .....	6	7	7
25.2 Other services from non-Federal sources .....	21	26	26
25.3 Other goods and services from Federal sources .....	5	6	6
44.0 Refunds .....	3	4	4
99.9 Total new obligations .....	37	45	45

Employment Summary

Identification code 69-9971-0-7-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	20	20	20

MISCELLANEOUS TRANSPORTATION TRUST FUNDS

Program and Financing (in millions of dollars)

Identification code 69-9972-0-7-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0027 Obligations by program activity Miscellaneous highway projects .....	16	37	26
0100 Direct Program by Activities - Subtotal (running) .....	16	37	26
0900 Total new obligations (object class 41.0) .....	16	37	26
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	96	86	49
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	100	86	49
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	2		
1900 Budget authority (total) .....	2		
1930 Total budgetary resources available .....	102	86	49

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	86	49	23
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	57	56	58
3010	Obligations incurred, unexpired accounts .....	16	37	26
3020	Outlays (gross) .....	-13	-35	-36
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050	Unpaid obligations, end of year .....	56	58	48
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	57	56	58
3200	Obligated balance, end of year .....	56	58	48
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2		
Outlays, gross:				
4011	Outlays from discretionary balances .....	13	35	36
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2		
4190	Outlays, net (total) .....	11	35	36

Accounts in this consolidated schedule show the obligation and outlay amounts made available in prior years.

ADMINISTRATIVE PROVISIONS—FEDERAL HIGHWAY ADMINISTRATION

SEC. 120.

(a) For fiscal year 2014, the Secretary of Transportation shall—

(1) not distribute from the obligation limitation for Federal-aid highways—

(A) amounts authorized for administrative expenses and programs by section 104(a) of title 23, United States Code; and

(B) amounts authorized for the Bureau of Transportation Statistics;

(2) not distribute an amount from the obligation limitation for Federal-aid highways that is equal to the unobligated balance of amounts—

(A) made available from the Highway Trust Fund (other than the Mass Transit Account) for Federal-aid highway and highway safety construction programs for previous fiscal years the funds for which are allocated by the Secretary (or apportioned by the Secretary under sections 202 or 204 of title 23, United States Code); and

(B) for which obligation limitation was provided in a previous fiscal year;

(3) determine the proportion that—

(A) the obligation limitation for Federal-aid highways, less the aggregate of amounts not distributed under paragraphs (1) and (2) of this subsection; bears to

(B) the total of the sums authorized to be appropriated for the Federal-aid highway and highway safety construction programs (other than sums authorized to be appropriated for provisions of law described in paragraphs (1) through (11) of subsection (b) and sums authorized to be appropriated for section 119 of title 23, United States Code, equal to the amount referred to in subsection (b)(12) for such fiscal years), less the aggregate of the amounts not distributed under paragraphs (1) and (2) of this subsection;

(4) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2), for each of the programs (other than programs to which paragraph (1) applies) that are allocated by the Secretary under the Moving Ahead for Progress in the 21st Century Act and title 23, United States Code, or apportioned by the Secretary under sections 202 or 204 of that title, by multiplying—

(A) the proportion determined under paragraph (3); by

(B) the amounts authorized to be appropriated for each such program for such fiscal year; and

(5) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2) and the amounts distributed under paragraph (4), for Federal-aid highway and highway safety construction programs that are apportioned by the Secretary under title 23, United States Code (other than the amounts apportioned for the national highway performance program in section 119 of title 23, United States Code, that are exempt

from the limitation under subsection (b)(12) and the amounts apportioned under sections 202 and 204 of that title) in the proportion that—

(A) amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to each State for such fiscal year; bears to

(B) the total of the amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to all States for such fiscal year.

(b) EXCEPTIONS FROM OBLIGATION LIMITATION- The obligation limitation for Federal-aid highways shall not apply to obligations under or for—

(1) section 125 of title 23, United States Code;

(2) section 147 of the Surface Transportation Assistance Act of 1978 (23 U.S.C. 144 note; 92 Stat. 2714);

(3) section 9 of the Federal-Aid Highway Act of 1981 (95 Stat. 1701);

(4) subsections (b) and (j) of section 131 of the Surface Transportation Assistance Act of 1982 (96 Stat. 2119);

(5) subsections (b) and (c) of section 149 of the Surface Transportation and Uniform Relocation Assistance Act of 1987 (101 Stat. 198);

(6) sections 1103 through 1108 of the Intermodal Surface Transportation Efficiency Act of 1991 (105 Stat. 2027);

(7) section 157 of title 23, United States Code (as in effect on June 8, 1998);

(8) section 105 of title 23, United States Code (as in effect for fiscal years 1998 through 2004, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(9) Federal-aid highway programs for which obligation authority was made available under the Transportation Equity Act for the 21st Century (112 Stat. 107) or subsequent Acts for multiple years or to remain available until expended, but only to the extent that the obligation authority has not lapsed or been used;

(10) section 105 of title 23, United States Code (but, for each of fiscal years 2005 through 2012, only in an amount equal to \$639,000,000 for each of those fiscal years);

(11) section 1603 of SAFETEA-LU (23 U.S.C. 118 note; 119 Stat. 1248), to the extent that funds obligated in accordance with that section were not subject to a limitation on obligations at the time at which the funds were initially made available for obligation; and

(12) section 119 of title 23, United States Code (but, for each of fiscal years 2013 and 2014, only in an amount equal to \$639,000,000 for each of those fiscal years).

(c) REDISTRIBUTION OF UNUSED OBLIGATION AUTHORITY - Notwithstanding subsection (a), the Secretary shall, after August 1 of such fiscal year—

(1) revise a distribution of the obligation limitation made available under subsection (a) if an amount distributed cannot be obligated during that fiscal year; and

(2) redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year, giving priority to those States having large unobligated balances of funds apportioned under sections 144 (as in effect on the day before the date of enactment of the Moving Ahead for Progress in the 21st Century Act) and 104 of title 23, United States Code.

(d) APPLICABILITY OF OBLIGATION LIMITATIONS TO TRANSPORTATION RESEARCH PROGRAMS-

(1) IN GENERAL- Except as provided in paragraph (2), the obligation limitation for Federal-aid highways shall apply to contract authority for transportation research programs carried out under—

(A) chapter 5 of title 23, United States Code; and

(B) division E of the Moving Ahead for Progress in the 21st Century Act.

(2) EXCEPTION- Obligation authority made available under paragraph (1) shall—

(A) remain available for a period of 4 fiscal years; and

(B) be in addition to the amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.

(e) REDISTRIBUTION OF CERTAIN AUTHORIZED FUNDS-

(1) IN GENERAL- Not later than 30 days after the date of distribution of obligation limitation under subsection (a), the Secretary shall distribute to the States any funds (excluding funds authorized for the program under section 202 of title 23, United States Code) that—

(A) are authorized to be appropriated for such fiscal year for Federal-aid highway programs; and

(B) the Secretary determines will not be allocated to the States (or will not be apportioned to the States under section 204 of title 23, United States Code), and will not be available for obligation, for such fiscal year because of the imposition of any obligation limitation for such fiscal year.

(2) **RATIO-** Funds shall be distributed under paragraph (1) in the same proportion as the distribution of obligation authority under subsection (a)(5).

(3) **AVAILABILITY-** Funds distributed to each State under paragraph (1) shall be available for any purpose described in section 133(b) of title 23, United States Code.

**SEC. 121.** Notwithstanding 31 U.S.C. 3302, funds received by the Bureau of Transportation Statistics from the sale of data products, for necessary expenses incurred pursuant to chapter 63 of title 49, United States Code, may be credited to the Federal-aid Highways account for the purpose of reimbursing the Bureau for such expenses: Provided, That such funds shall be subject to the obligation limitation for Federal-aid Highways and highway safety construction programs.

**SEC. 122.** Not less than 15 days prior to waiving, under his statutory authority, any Buy America requirement for Federal-aid highway projects, the Secretary of Transportation shall make an informal public notice and comment opportunity on the intent to issue such waiver and the reasons therefor: Provided, That the Secretary shall provide an annual report to the House and Senate Committees on Appropriations on any waivers granted under the Buy America requirements.

**SEC. 123.** From the unobligated balances of funds apportioned among the States prior to October 1, 2012, under sections 104(b) and 144 of title 23, United States Code (as in effect on the day before the date of enactment of Public Law 112-141), the amount of \$26,103,000 shall be made available in fiscal year 2014 for the administrative expenses of the Federal Highway Administration: Provided further, That this provision shall not apply to funds distributed in accordance with section 104(b)(5) of title 23, United States Code (as in effect on the day before the date of enactment of Public Law 112-141); section 133(d)(1) of such title (as in effect on the day before the date of enactment of Public Law 109-59); and the first sentence of section 133(d)(3)(A) of such title (as in effect on the day before the date of enactment of Public Law 112-141): Provided further, That such amount shall be derived on a proportional basis from the unobligated balances of apportioned funds to which this provision applies: Provided further, That the amount made available by this provision in fiscal year 2014 for the administrative expenses of the Federal Highway Administration shall be in addition to the amount made available in fiscal year 2014 for such purposes under section 104(a) of title 23, United States Code: Provided further, That the amount made available by this provision in fiscal year 2014 for the administrative expenses of the Federal Highway Administration shall have the same period of availability and characteristics of the contract authority made available under section 104(a) of title 23, United States Code.

**FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION**

The Federal Motor Carrier Safety Administration (FMCSA) was established within the Department of Transportation by the Motor Carrier Safety Improvement Act of 1999 (P.L. 106-159). Prior to this legislation, motor carrier safety responsibilities were under the jurisdiction of the Federal Highway Administration.

FMCSA's mission is to promote safe commercial motor vehicle operation and reduce truck and bus crashes. The agency accomplishes this mission by reducing fatalities and property losses associated with commercial motor vehicles through education, regulation, enforcement, and research and innovative technology, thereby achieving a safer and more secure transportation environment. FMCSA is also responsible for enforcing Federal motor carrier safety and hazardous materials regulations for all com-

mercial vehicles entering the United States along its southern and northern borders.

**Trust Funds**

**MOTOR CARRIER SAFETY**

**Program and Financing** (in millions of dollars)

Identification code 69-8055-0-7-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	1	1
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-18		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	-10	5	
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	16		
3020 Outlays (gross) .....		-5	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	5		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2		
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	5	
3200 Obligated balance, end of year .....	5		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		5	
4190 Outlays, net (total) .....		5	
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority .....	41	41	41
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority .....	41	41	41

Activities have not been funded in this account since 2005. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006.

**NATIONAL MOTOR CARRIER SAFETY PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 69-8048-0-7-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	19	19
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	19	19	19
1930 Total budgetary resources available .....	19	19	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	4	
3020 Outlays (gross) .....	-1	-4	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	4	

3200	Obligated balance, end of year .....	4		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	1	4	
4190	Outlays, net (total) .....	1	4	
<b>Memorandum (non-add) entries:</b>				
5050	Unobligated balance, SOY: Contract authority .....	3	7	11
5051	Unobligated balance, EOY: Contract authority .....	7	11	11
5052	Obligated balance, SOY: Contract authority .....	8	4	
5053	Obligated balance, EOY: Contract authority .....	4		

Activities have not been funded in this account since 2005. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006.

**MOTOR CARRIER SAFETY GRANTS**  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(TRANSPORTATION TRUST FUND)

*For payment of obligations incurred in carrying out sections 31102, 31104(a), 31106, 31107, 31109, 31309, 31313 of title 49, United States Code, and sections 4126 and 4128 of Public Law 109–59, as amended by Public Law 112–41, \$313,000,000, to be derived from the Transportation Trust Fund (Highway Account) and to remain available until expended: Provided, That funds available for the implementation or execution of motor carrier safety programs shall not exceed total obligations of \$313,000,000 in fiscal year 2014 for "Motor Carrier Safety Grants"; of which \$218,000,000 shall be available for the motor carrier safety assistance program, \$30,000,000 shall be available for the commercial driver's license improvements program, \$32,000,000 shall be available for border enforcement grants, \$5,000,000 shall be available for the performance and registration information system management program, \$25,000,000 shall be available for the commercial vehicle information systems and networks deployment program, and \$3,000,000 shall be available for the safety data improvement program: Provided further, That, of the funds made available herein for the motor carrier safety assistance program, \$32,000,000 shall be available for audits of new entrant motor carriers.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69–8158–0–7–401	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Motor Carrier Safety Assistance Program .....	212	214	218
0002	Border Enforcement Grants .....	32	32	32
0003	Safety Data Improvement Grants .....	3	3	3
0004	Commercial Driver's License (CDL) Program Improvement Grants .....	29	30	30
0005	Commercial Vehicle Information Systems .....	17	25	25
0006	Performance and Registration Information System .....	4	5	5
0900	Total new obligations .....	297	309	313
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	53	72	72
1021	Recoveries of prior year unpaid obligations .....	10		
1050	Unobligated balance (total) .....	63	72	72
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	307	310	313
1137	Portion applied to liquidate contract authority, Motor Carrier Safety Grants .....	–307	–310	–313
Contract authority, discretionary:				
1520	Contract authority and/or unobligated balance of contract authority permanently reduced .....		–1	
1540	Contract authority, discretionary (total) .....		–1	

<b>Contract authority, mandatory:</b>				
1600	Contract authority, Motor Carrier Safety Grants .....	307	310	313
1620	Contract authority and/or unobligated balance of contract authority permanently reduced .....	–1		
1640	Contract authority, mandatory (total) .....	306	310	313
1900	Budget authority (total) .....	306	309	313
1930	Total budgetary resources available .....	369	381	385
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	72	72	72
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	399	412	438
3010	Obligations incurred, unexpired accounts .....	297	309	313
3020	Outlays (gross) .....	–274	–283	–311
3040	Recoveries of prior year unpaid obligations, unexpired .....	–10		
3050	Unpaid obligations, end of year .....	412	438	440
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	399	412	438
3200	Obligated balance, end of year .....	412	438	440

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....		–1	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	62	87	88
4011	Outlays from discretionary balances .....	212	196	223
4020	Outlays, gross (total) .....	274	283	311
Mandatory:				
4090	Budget authority, gross .....	306	310	313
4180	Budget authority, net (total) .....	306	309	313
4190	Outlays, net (total) .....	274	283	311
<b>Memorandum (non-add) entries:</b>				
5054	Fund balance in excess of liquidating requirements, SOY: Contract authority .....	28	29	30
5055	Fund balance in excess of liquidating requirements, EOY: Contract authority .....	29	30	30
5061	Limitation on obligations (Transportation Trust Funds) .....	307	309	313

Motor Carrier Safety Grants support States to conduct compliance reviews, identify and apprehend traffic violators, conduct roadside inspections, and support safety audits on new entrant carriers. State safety enforcement efforts at the southern and northern borders ensure that all points of entry into the U.S. are fortified with comprehensive commercial vehicle safety measures. In addition, the Federal Motor Carrier Safety Administration (FMCSA) oversees State commercial driver's license (CDL) oversight activities to prevent unqualified drivers from being issued CDLs. The Performance and Registration Information Systems and Management program links State motor vehicle registration systems with carrier safety data in order to identify unsafe commercial motor carriers. FMCSA is also deploying Commercial Vehicle Information Systems and Networks to improve safety and productivity of commercial vehicles and drivers.

Under Moving Ahead for Progress in the 21st Century (MAP-21) (P.L. 112–141), the Motor Carriers Safety Grants account maintains the Agency's individual grants under the Compliance, Safety and Accountability Program.

**Object Classification** (in millions of dollars)

Identification code 69–8158–0–7–401	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	4	24	24
41.0	Grants, subsidies, and contributions .....	292	284	288
99.9	Total new obligations .....	297	309	313

MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

For payment of obligations incurred in the implementation, execution and administration of motor carrier safety operations and programs pursuant to section 31104(i) of title 49, United States Code, and sections 4127 and 4134 of Public Law 109–59, as amended by Public Law 112–141, \$259,000,000, to be derived from the Transportation Trust Fund (Highway Account) together with advances and reimbursements received by the Federal Motor Carrier Safety Administration, and to remain available until expended: Provided, That funds available for implementation, execution, or administration of motor carrier safety operations and programs authorized under title 49, United States Code, shall not exceed total obligations of \$259,000,000 for "Motor Carrier Safety Operations and Programs" for fiscal year 2014, of which \$9,000,000, to remain available for obligation until September 30, 2016, is for the Research and Technology program, and of which \$1,000,000 shall be available for grants to carry out section 4134 of Public Law 109–59: Provided further, That notwithstanding section 4127(e) of Public Law 109–59, none of the funds under this heading for outreach and education shall be available for transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69–8159–0–7–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses .....	192	193	207
0002 Research and Technology .....	9	9	9
0003 Information Management .....	35	34	29
0004 Regulatory Development .....	9	9	9
0005 Outreach and Education .....	3	3	4
0006 Commercial Motor Vehicle Operating Grants .....	1	1	1
0100 Subtotal, direct program .....	249	249	259
0799 Total direct obligations .....	249	249	259
0801 Reimbursable program .....	16	15	15
0900 Total new obligations .....	265	264	274
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	17	19
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4	5	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-1		
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	22	17	19
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	248	249	259
1137 Appropriations applied to liquidate contract authority .....	-248	-249	-259
Contract authority, mandatory:			
1600 Contract authority .....	244	251	259
1640 Contract authority, mandatory (total) .....	244	251	259
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	16	15	15
1750 Spending auth from offsetting collections, disc (total) .....	16	15	15
1900 Budget authority (total) .....	260	266	274
1930 Total budgetary resources available .....	282	283	293
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	85	87	93
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	265	264	274
3020 Outlays (gross) .....	-259	-258	-251
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	87	93	116

Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	86	87	93
3200 Obligated balance, end of year .....	87	93	116

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	199	202	209
4011 Outlays from discretionary balances .....	60	56	42
4020 Outlays, gross (total) .....	259	258	251
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	-16	-15	-15
Mandatory:			
4090 Budget authority, gross .....	244	251	259
4180 Budget authority, net (total) .....	244	251	259
4190 Outlays, net (total) .....	243	243	236

<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY:			
Contract authority .....	11	15	14
5055 Fund balance in excess of liquidating requirements, EOY:			
Contract authority .....	15	14	14
5061 Limitation on obligations (Transportation Trust Funds) .....	248	250	259

The Operations and Programs account provides the necessary resources to support program and administrative activities for motor carrier safety. Under Moving Ahead for Progress in the 21st Century (MAP-21) (P.L. 112–141), the Federal Motor Carrier Safety Administration (FMCSA) will continue to improve safety and reduce severe and fatal commercial motor vehicles crashes by raising the bar to entry into the commercial motor vehicle industry, by requiring operators to maintain standards to remain in the industry, and by removing high-risk carriers, vehicles, drivers and service providers from operation.

Funding supports nationwide motor carrier safety and consumer enforcement efforts, including the continuation of the Compliance, Safety and Accountability Program; Household goods regulation and enforcement, and Federal safety enforcement activities at the borders to ensure that foreign-domiciled carriers entering the U.S. are in compliance with FMSCA Regulations. Resources are also provided to fund regulatory development and implementation, information management, research and technology, grants to State and local partners, safety outreach and education, and the safety and consumer telephone hotline.

Object Classification (in millions of dollars)

Identification code 69–8159–0–7–401	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	87	86	88
11.3 Other than full-time permanent .....	2	2	3
11.9 Total personnel compensation .....	89	88	91
12.1 Civilian personnel benefits .....	28	26	27
21.0 Travel and transportation of persons .....	9	9	9
23.1 Rental payments to GSA .....	11	13	14
23.3 Communications, utilities, and miscellaneous charges .....	1	6	6
24.0 Printing and reproduction .....		1	1
25.2 Other services from non-Federal sources .....	100	90	97
25.5 Research and development contracts .....	9	10	10
26.0 Supplies and materials .....	1	4	1
31.0 Equipment .....		1	2
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	249	249	259
99.0 Reimbursable obligations .....	16	15	15
99.9 Total new obligations .....	265	264	274

Employment Summary

Identification code 69–8159–0–7–401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,031	1,062	1,088

2001 Reimbursable civilian full-time equivalent employment .....	61	61	61
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### NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

The National Highway Traffic Safety Administration (NHTSA) is responsible for motor vehicle safety, highway safety behavioral programs, motor vehicle information, and automobile fuel economy programs. NHTSA is charged with reducing traffic crashes and deaths and injuries resulting from traffic crashes; establishing motor vehicle safety standards for motor vehicles and motor vehicle equipment in interstate commerce; carrying out needed safety research and development; and the operation of the National Driver Register.

#### Federal Funds

#### CONSUMER ASSISTANCE TO RECYCLE AND SAVE PROGRAM

#### Program and Financing (in millions of dollars)

Identification code 69-0654-0-1-376	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	20	20
1930 Total budgetary resources available .....	20	20	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

The schedules above illustrate the remaining activity associated with the completed Consumer Assistance to Recycle and Save (Cash for Clunkers) program.

No new funds are requested for this program in 2014.

#### NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION OPERATIONS AND RESEARCH

For expenses necessary to discharge the functions of the Secretary, with respect to traffic and highway safety authorized under chapter 301 and part C of subtitle VI of title 49, United States Code, \$148,343,000, of which \$20,000,000 shall remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 69-0650-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Research and Analysis .....	37	36	38
0002 Rulemaking .....	23	21	25
0003 Enforcement .....	18	19	20
0004 Administrative Expenses .....	65	65	65
0900 Total new obligations .....	143	141	148
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	4
1021 Recoveries of prior year unpaid obligations .....	1	3	1

1050 Unobligated balance (total) .....	3	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	140	141	148
1160 Appropriation, discretionary (total) .....	140	141	148
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	141	141	148
1930 Total budgetary resources available .....	144	145	153
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	4	5

#### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	80	79	76
3010 Obligations incurred, unexpired accounts .....	143	141	148
3020 Outlays (gross) .....	-140	-141	-144
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-3	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	79	76	79
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	80	79	76
3200 Obligated balance, end of year .....	79	76	79

#### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	141	141	148
Outlays, gross:			
4010 Outlays from new discretionary authority .....	86	82	86
4011 Outlays from discretionary balances .....	54	59	58
4020 Outlays, gross (total) .....	140	141	144
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4180 Budget authority, net (total) .....	140	141	148
4190 Outlays, net (total) .....	139	141	144

These programs support vehicle safety activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll by research into, and implementation of, Federal motor vehicle safety standards. NHTSA's research areas include biomechanics, crash avoidance and mitigation technologies, and vehicle safety issues related to fuel efficiency and alternative fuels. NHTSA's Operation and Research programs fund a broad range of initiatives, including promulgation of Federal motor vehicle safety standards for motor vehicles and safety related equipment; automotive fuel economy standards required by the Energy Policy and Conservation Act, as amended by the Energy Independence and Security Act of 2007; international harmonization of vehicle standards; and consumer information on motor vehicle safety, including the New Car Assessment Program. NHTSA conducts compliance programs for motor vehicle safety and automotive fuel economy standards; investigations of safety-related motor vehicle defects; enforcement of Federal odometer law; support of enforcement of State odometer law; and safety recalls when warranted. Motor vehicle safety research and development supports all NHTSA programs, including the collection and analysis of crash data to identify safety problems; development of alternative solutions; and assessments of costs, benefits, and effectiveness. Research continues on standards and technologies to improve vehicle crashworthiness and crash avoidance, with emphasis on decreasing fatalities from rollover crashes and improving vehicle-to-vehicle crash compatibility.

#### Object Classification (in millions of dollars)

Identification code 69-0650-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	38	37	40
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	39	38	41

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION—Continued  
Object Classification—Continued

Identification code 69-0650-0-1-401	2012 actual	2013 CR	2014 est.
12.1 Civilian personnel benefits .....	10	10	11
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	2	3	3
25.2 Other services from non-Federal sources .....	55	53	51
25.5 Research and development contracts .....	33	33	38
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	143	141	148

Employment Summary

Identification code 69-0650-0-1-401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	328	340	369

NATIONAL DRIVER REGISTER MODERNIZATION

Program and Financing (in millions of dollars)

Identification code 69-0660-0-1-401	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2		
3020 Outlays (gross) .....	-2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	2		
4190 Outlays, net (total) .....	2		

The mission of the National Driver Register (NDR) is to improve traffic and transportation safety by providing a nationwide database of problem drivers that assists State driver licensing agencies in identifying these individuals and assists employers in making hiring and certification decisions. NDR is a computerized database of information about drivers who have had their licenses revoked or suspended, or who have been convicted of serious traffic violations such as driving while impaired by alcohol or drugs. State motor vehicle agencies provide NDR with the names of individuals who have lost their privileges or who have been convicted of a serious traffic violation.

The funds in this account supported the modernization of this program. The schedules above illustrate the remaining activity associated with the completed National Driver Register Modernization.

No new funds are requested for this program in 2014.

Trust Funds

- OPERATIONS AND RESEARCH
- (LIQUIDATION OF CONTRACT AUTHORIZATION)
- (LIMITATION ON OBLIGATIONS)
- (TRANSPORTATION TRUST FUND)

For payment of obligations incurred in carrying out the provisions of 23 U.S.C. 403, and chapter 303 of title 49, United States Code, \$118,500,000, to be derived from the Transportation Trust Fund (other than the Mass Transit Account) and to remain available until expended: Provided, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year 2014, are in excess of \$118,500,000, of which \$113,500,000

shall be for programs authorized under 23 U.S.C. 403, and of which \$5,000,000 shall be for the National Driver Register authorized under chapter 303 of title 49, United States Code: Provided further, That within the \$113,500,000 obligation limitation for operations and research, \$20,000,000 shall remain available until September 30, 2015 and shall be in addition to the amount of any limitation imposed on obligations for future years.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69-8016-0-7-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Highway safety programs .....	39	42	42
0002 Research and analysis .....	27	27	32
0007 National driver register .....	3	4	5
0008 Administrative Expenses .....	35	37	40
0100 Total Direct Obligations .....	104	110	119
0799 Total direct obligations .....	104	110	119
0801 Reimbursable program .....	20	30	30
0900 Total new obligations .....	124	140	149

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	31	37
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3	3	
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	23	31	37
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	110	110	119
1137 Appropriations applied to liquidate contract authority .....	-110	-110	-119
Contract authority, mandatory:			
1600 Contract authority .....	112	116	119
1640 Contract authority, mandatory (total) .....	112	116	119
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	20	30	30
1750 Spending auth from offsetting collections, disc (total) .....	20	30	30
1900 Budget authority (total) .....	132	146	149
1930 Total budgetary resources available .....	155	177	186
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	31	37	37
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	1	1	
1953 Expired unobligated balance, end of year .....	1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	100	99	94
3010 Obligations incurred, unexpired accounts .....	124	140	149
3020 Outlays (gross) .....	-120	-145	-147
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	99	94	96
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	100	99	94
3200 Obligated balance, end of year .....	99	94	96

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	20	30	30
Outlays, gross:			
4010 Outlays from new discretionary authority .....	61	81	86
4011 Outlays from discretionary balances .....	59	64	61
4020 Outlays, gross (total) .....	120	145	147
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Baseline Program [Text] .....	-20	-30	-30
Mandatory:			
4090 Budget authority, gross .....	112	116	119
4180 Budget authority, net (total) .....	112	116	119
4190 Outlays, net (total) .....	100	115	117

**Memorandum (non-add) entries:**

5054	Fund balance in excess of liquidating requirements, SOY:			
	Contract authority .....	25	21	16
5055	Fund balance in excess of liquidating requirements, EOY:			
	Contract authority .....	21	16	16
5061	Limitation on obligations (Transportation Trust Funds) .....	110	110	119

The NHTSA provides research, demonstrations, technical assistance, and national leadership for highway safety programs conducted by State and local governments, and various safety associations and organizations. This program emphasizes alcohol and drug countermeasures, driver and passenger occupant protection, traffic enforcement and justice services, emergency medical and trauma care systems, traffic records and licensing, State and community evaluation, motorcycle rider safety, pedestrian and bicycle safety, pupil transportation, young and older driver safety programs, and development of improved accident investigation procedures.

NHTSA will continue its efforts to further quantify the magnitude and nature of the emerging problem of distracted driving, assess the impact of distraction on driver behavior and driving performance, and inform public attitudes and opinions about distraction. In addition, NHTSA will continue to analyze the impact of product design on distraction potential, and assess how to effectively manage driver workload due to distraction.

NHTSA will continue to operate the National Driver Register's Problem Driver Pointer System, which helps to identify drivers who have been suspended for or convicted of serious traffic offenses, such as driving under the influence of alcohol or other drugs. Finally, NHTSA will improve its vital data collection and analysis which form the basis of its research, rulemaking, and performance measurement activities.

**Object Classification** (in millions of dollars)

Identification code 69-8016-0-7-401	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	17	19	20
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	18	20	21
12.1	Civilian personnel benefits .....	5	5	5
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	6	6	6
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services from non-Federal sources .....	45	44	47
25.5	Research and development contracts .....	27	32	37
26.0	Supplies and materials .....	1	1	1
99.0	Direct obligations .....	104	110	119
99.0	Reimbursable obligations .....	20	30	30
99.9	Total new obligations .....	124	140	149

**Employment Summary**

Identification code 69-8016-0-7-401	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	174	178	189
2001	Reimbursable civilian full-time equivalent employment .....	3	4	4

**HIGHWAY TRAFFIC SAFETY GRANTS**  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(TRANSPORTATION TRUST FUND)

For payment of obligations incurred in carrying out provisions of 23 U.S.C. 402 and 405, section 2009 of Public Law 109-59, as amended by Public Law 112-141, and section 31101(a)(6) of Public Law 112-141, to remain available until expended, \$561,500,000, to be derived from the

Transportation Trust Fund (other than the Mass Transit Account): Provided, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year 2014, are in excess of \$561,500,000 for programs authorized under 23 U.S.C. 402 and 405, section 2009 of Public Law 109-59, as amended by Public Law 112-141, and section 31101(a)(6) of Public Law 112-141, of which \$235,000,000 shall be for "Highway Safety Programs" under 23 U.S.C. 402; \$272,000,000 shall be for "National Priority Safety Programs" under 23 U.S.C. 405; \$29,000,000 shall be for "High Visibility Enforcement Program" under section 2009 of Public Law 109-59, as amended by Public Law 112-141; \$25,500,000 shall be for "Administrative Expenses" under section 31101(a)(6) of Public Law 112-141: Provided further, That none of these funds shall be used for construction, rehabilitation, or remodeling costs, or for office furnishings and fixtures for State, local or private buildings or structures: Provided further, That not to exceed \$500,000 of the funds made available for "National Priority Safety Programs" under 23 U.S.C. 405 for "Impaired Driving Countermeasures" (as described in subsection (d) of that section) shall be available for technical assistance to the States.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-8020-0-7-401	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Section 402 formula grants .....	235	236	235
0002	Section 405 Combined occupant protection grants .....	25	25	.....
0004	Section 408 State Traffic Information System Improvements .....	33	.....	.....
0005	Section 410 Impaired Driving Countermeasures .....	139	.....	.....
0006	Section 3010 High Visibility Enforcement .....	29	29	29
0007	Section 3011 Motorcyclist Safety .....	7	.....	.....
0008	Section 2011 Child Safety and Booster Seat Grants .....	7	.....	.....
0011	Administrative Expenses - Chapter 4 of Title 23 .....	25	26	26
0012	Section 406 Safety Belt Performance NASS Modernization (no-year limitation) .....	2	.....	.....
0014	Section 405A Occupant Protection Grants .....	.....	.....	44
0015	Section 405B State Traffic Information System Improvements .....	.....	.....	39
0016	Section 405C Impaired Driving Countermeasures .....	.....	.....	143
0017	Section 405D Distracted Driving .....	.....	.....	23
0018	Section 405E Motorcyclist Safety .....	.....	.....	4
0019	Section 405F State Graduated Driver Licensing Laws .....	.....	.....	14
0020	Section 403H In-Vehicle Alcohol Detection Device Research .....	.....	.....	5
0900	Total new obligations .....	502	316	562

<b>Budgetary Resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	135	185	433
1021	Recoveries of prior year unpaid obligations .....	2	10	1
1050	Unobligated balance (total) .....	137	195	434
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1101	Appropriation (special or trust fund) .....	550	554	562
1137	Appropriations applied to liquidate contract authority .....	-550	-554	-562
<b>Contract authority, mandatory:</b>				
1600	Contract authority .....	550	554	562
1640	Contract authority, mandatory (total) .....	550	554	562
1900	Budget authority (total) .....	550	554	562
1930	Total budgetary resources available .....	687	749	996
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	185	433	434

<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	728	713	591
3010	Obligations incurred, unexpired accounts .....	502	316	562
3020	Outlays (gross) .....	-515	-428	-461
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	-10	-1
3050	Unpaid obligations, end of year .....	713	591	691
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	728	713	591
3200	Obligated balance, end of year .....	713	591	691

HIGHWAY TRAFFIC SAFETY GRANTS—Continued  
Program and Financing—Continued

Identification code 69-8020-0-7-401	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority .....	122	227	230
4011 Outlays from discretionary balances .....	393	201	231
4020 Outlays, gross (total) .....	515	428	461
Mandatory:			
4090 Budget authority, gross .....	550	554	562
4180 Budget authority, net (total) .....	550	554	562
4190 Outlays, net (total) .....	515	428	461
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority .....	52	52	52
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority .....	52	52	52
5061 Limitation on obligations (Transportation Trust Funds) .....	550	554	562

The NHTSA provides grants for several activities related to highway traffic safety. MAP-21 streamlines the highway safety grant process and also establishes a new distracted driving grant for States that enact and enforce laws to prevent distracted driving, such as prohibiting texting while driving. States would be able to use up to \$23,120,000 for any safety activity authorized under title 23, of which up to \$5,000,000 is reserved for media campaigns.

NHTSA will also promote State adoption and implementation of effective graduated driver licensing laws, which require novice drivers to comply with a 2-stage licensing process and outlines minimum standards a State graduated licensing program must implement to receive grant funds.

The grant program will also support discretionary research on in-vehicle technologies that prevent alcohol-impaired driving.

NHTSA also strengthens its major highway safety grant programs that support the following efforts:

State highway safety programs designed to reduce traffic crashes and resulting deaths, injuries, and property damage.

Programs to reduce deaths and injuries of children and adults from riding unrestrained or improperly restrained in motor vehicles including the enforcement of laws or requirements regarding the use of safety belts and child restraints in passenger motor vehicles.

Adoption and implementation of effective programs to improve the timeliness, accuracy, completeness, uniformity, integration, and accessibility of State data that is needed to identify priorities for National, State, and local highway and traffic safety programs.

Adoption and implementation of effective programs to reduce traffic safety problems resulting from individuals driving while under the influence of alcohol.

Reduction of the number of single and multi-vehicle crashes involving motorcyclists through motorcyclist safety training and motorcyclist awareness programs, including improvements to training curricula, delivery of training, recruitment or retention of motorcyclist safety instructors, and public awareness and outreach programs.

MAP-21 also requires the implementation of high-visibility traffic safety law enforcement campaigns to achieve one or both of the following objectives: (1) reduce alcohol-impaired or drug-impaired operation of motor vehicles; and/or (2) increase the use of safety belts by occupants of motor vehicles.

Object Classification (in millions of dollars)

Identification code 69-8020-0-7-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	10	11
12.1 Civilian personnel benefits .....	2	2	3
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.2 Other services from non-Federal sources .....	42	42	41
41.0 Grants, subsidies, and contributions .....	448	262	507
99.9 Total new obligations .....	502	316	562

Employment Summary

Identification code 69-8020-0-7-401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	87	88	95

ADMINISTRATIVE PROVISIONS—NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

*SEC. 140. An additional \$130,000 shall be made available to the National Highway Traffic Safety Administration, out of the amount limited for section 402 of title 23, United States Code, to pay for travel and related expenses for State management reviews and to pay for core competency development training and related expenses for highway safety staff.*

*SEC. 141. None of the funds in this Act shall be used to implement section 404 of title 23, United States Code.*

FEDERAL RAILROAD ADMINISTRATION

The following tables show the funding for all Federal Railroad Administration programs:

	[In millions of dollars]		
	2012 Enacted	2013 Estimate	2014 Estimate
<b>Budget Authority:</b>			
Safety and Operations .....	179	180	185
Railroad Safety Technology Program .....	0	0	0
Railroad Research and Development .....	35	35	35
Grants to Amtrak .....	0	118	0
Current Passenger Rail Service (CA) (TF) (M) .....	0	0	2,700
Research, Development, and Technology (CA) (TF) (M) .....	0	0	55
Rail Service Improvement Program (CA) (TF) (M) .....	0	0	3,660
Rail Line Relocation .....	0	0	0
Intercity Passenger Rail Grant Program .....	0	0	0
Capital and Debt Service Grants to Amtrak (Rebased) (M) .....	952	958	0
Operating Subsidy Grants to Amtrak (Rebased) (M) .....	466	469	0
Capital Grants to Amtrak (Recovery Act) .....	0	0	0
Capital Assistance for High Speed Rail and Intercity Passenger Grants .....	0	0	0
Northeast Corridor Improvement Program .....	0	0	0
Railroad Rehabilitation and Repair Program .....	0	0	0
Pennsylvania Station Redevelopment Project .....	0	0	0
Railroad Rehabilitation and Improvement Program (M) .....	17	33	0
Next Generation High Speed Rail .....	0	0	0
Total Budget Authority-Discretionary .....	214	333	220
Total Budget Authority-Mandatory .....	1,435	1,460	6,415
Total Budget Authority-Net .....	1,649	1,793	6,635
<b>Outlays:</b>			
Safety and Operations .....	257	243	185
Railroad Safety Technology Program .....	17	13	12
Railroad Research and Development .....	41	36	37
Current Passenger Rail Service (CA) (TF) (M) .....	0	0	1,555
Research, Development, and Technology (CA) (TF) (M) .....	0	0	7
Rail Service Improvement Program (CA) (TF) (M) .....	0	0	225
Rail Line Relocation .....	12	20	20
Intercity Passenger Rail Grant Program .....	8	13	20
Capital and Debt Service Grants to Amtrak (Rebased) (M) .....	951	992	0
Operating Subsidy Grants to Amtrak (Rebased) (M) .....	466	469	0
Capital Assistance for High Speed Rail and Intercity Passenger Grants .....	513	1,097	2,256
Grants to Amtrak .....	1	89	30
Grants to Amtrak (ARRA) .....	3	2	0
Northeast Corridor Improvement Program .....	0	1	1
Railroad Rehabilitation and Repair Program .....	4	5	0
Pennsylvania Station Redevelopment Project .....	4	13	13

Railroad Rehabilitation and Improvement Program (M) .....	17	33	0
Next Generation High-Speed Rail .....	1	3	3
Total Outlays-Discretionary .....	861	1,535	2,577
Total Outlays-Mandatory .....	1,434	1,494	1,787
Total Outlays-Net .....	2,295	3,029	4,364

4033 Non-Federal sources .....	-2	-4	-4
4040 Offsets against gross budget authority and outlays (total) ....	-3	-5	-5
4070 Budget authority, net (discretionary) .....	179	180	185
4080 Outlays, net (discretionary) .....	257	243	185
4180 Budget authority, net (total) .....	179	180	185
4190 Outlays, net (total) .....	257	243	185

**Federal Funds**

FEDERAL RAILROAD ADMINISTRATION  
SAFETY AND OPERATIONS

For necessary expenses of the Federal Railroad Administration, not otherwise provided for, \$184,500,000, of which \$12,400,000 shall remain available until expended .

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69–0700–0–1–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	173	191	188
0006 Alaska railroad liabilities .....	2	2	2
0100 Total direct program .....	175	193	190
0799 Total direct obligations .....	175	193	190
0801 Reimbursable services .....	3	3	3
0900 Total new obligations .....	175	196	193
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	17	7
1021 Recoveries of prior year unpaid obligations .....	6	1	1
1050 Unobligated balance (total) .....	11	18	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	179	180	185
1160 Appropriation, discretionary (total) .....	179	180	185
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	5	5
1750 Spending auth from offsetting collections, disc (total) .....	3	5	5
1900 Budget authority (total) .....	182	185	190
1930 Total budgetary resources available .....	193	203	198
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	17	7	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	222	139	86
3010 Obligations incurred, unexpired accounts .....	175	196	193
3011 Obligations incurred, expired accounts .....	7	.....	.....
3020 Outlays (gross) .....	-260	-248	-190
3031 Unpaid obligations transferred from other accts [70–0560] .....	10	.....	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-9	.....	.....
3050 Unpaid obligations, end of year .....	139	86	88
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	222	139	86
3200 Obligated balance, end of year .....	139	86	88
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	182	185	190
Outlays, gross:			
4010 Outlays from new discretionary authority .....	149	137	141
4011 Outlays from discretionary balances .....	111	111	49
4020 Outlays, gross (total) .....	260	248	190
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1

Funds requested in the Safety and Operations account support the Federal Railroad Administration's (FRA) personnel and administrative expenses, the cost of rail safety inspectors, and other safety-related program activities including contracts.

**Object Classification** (in millions of dollars)

Identification code 69–0700–0–1–401	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	83	87	88
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	3	2
11.9 Total personnel compensation .....	85	91	91
12.1 Civilian personnel benefits .....	27	28	29
21.0 Travel and transportation of persons .....	9	11	11
23.1 Rental payments to GSA .....	6	7	7
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	2	10	10
25.2 Other services from non-Federal sources .....	3	3	2
25.3 Other goods and services from Federal sources .....	30	31	28
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	4	5	5
31.0 Equipment .....	2	2	1
41.0 Grants, subsidies, and contributions .....	1	1	2
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	173	193	190
99.0 Reimbursable obligations .....	.....	3	3
25.2 Allocation Account - reimbursable: Other services from non-Federal sources .....	2	.....	.....
99.9 Total new obligations .....	175	196	193

**Employment Summary**

Identification code 69–0700–0–1–401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	859	859	882

**RAILROAD RESEARCH AND DEVELOPMENT**

For necessary expenses for railroad research and development, \$35,250,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69–0745–0–1–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Railroad system issues .....	3	3	4
0002 Human factors .....	3	4	4
0003 Rolling stock and components .....	2	4	3
0004 Track and structures .....	4	6	5
0005 Track and train interaction .....	4	4	3
0006 Train control .....	10	8	6
0007 Grade crossings .....	5	3	2
0008 Hazardous materials transportation .....	1	2	1
0009 Train occupant protection .....	5	4	4
0010 R&D facilities and test equipment .....	3	3	3
0100 Total direct program .....	40	41	35
0799 Total direct obligations .....	40	41	35
0801 Reimbursable program activity .....	1	1	1

RAILROAD RESEARCH AND DEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 69-0745-0-1-401	2012 actual	2013 CR	2014 est.
0900 Total new obligations .....	41	42	36
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	12	6
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	17	12	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	35	35	35
1160 Appropriation, discretionary (total) .....	35	35	35
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	36	36	36
1930 Total budgetary resources available .....	53	48	42
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	51	47	52
3010 Obligations incurred, unexpired accounts .....	41	42	36
3020 Outlays (gross) .....	-42	-37	-38
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	47	52	50
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	50	46	51
3200 Obligated balance, end of year .....	46	51	49
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	36	36	36
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	6	6
4011 Outlays from discretionary balances .....	27	31	32
4020 Outlays, gross (total) .....	42	37	38
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	35	35	35
4190 Outlays, net (total) .....	41	36	37

Funding requested in the Railroad Research and Development Program provides science and technology support for Federal Railroad Administration's rail safety rulemaking and enforcement efforts. In addition to improving safety, the program makes significant contributions towards the Department of Transportation's (DOT) state of good repair, economic competitiveness, and environmental sustainability goals. The program focuses on the following areas of research:

*Track and Structures Research.*—To reduce derailments due to track related causes.

*Rolling Stock Research.*—To reduce derailments caused by equipment failures and to reduce consequences of derailments should they occur.

*Train Control and Communications Research.*—To reduce train collisions by facilitating the implementation of Positive Train Control and to reduce highway-rail grade crossing and trespass accidents.

*Human Factors Research.*—To reduce accidents caused by human error.

*Railroad System Issues.*—Studies include the prioritization of research and development (R&D) projects and addressing DOT goals other than safety.

*Rail Cooperative Research Program.*—To engage railroads, States, technology providers, and university researchers in the R&D program.

Object Classification (in millions of dollars)

Identification code 69-0745-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	7	8	3
25.4 Operation and maintenance of facilities .....	2	2	4
25.5 Research and development contracts .....	27	27	27
41.0 Grants, subsidies, and contributions .....	4	4	1
99.0 Direct obligations .....	40	41	35
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	41	42	36

PENNSYLVANIA STATION REDEVELOPMENT PROJECT

Program and Financing (in millions of dollars)

Identification code 69-0723-0-1-401	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	55	51	38
3020 Outlays (gross) .....	-4	-13	-13
3050 Unpaid obligations, end of year .....	51	38	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	55	51	38
3200 Obligated balance, end of year .....	51	38	25
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	4	13	13
4190 Outlays, net (total) .....	4	13	13

Funds are used to redevelop the Pennsylvania Station in New York City, which involves renovating the James A. Farley Post Office building. Funding for this project was included in the Grants to the National Railroad Passenger Corporation appropriation in 1995 through 1997, and the Northeast Corridor Improvement Program in 1998. In 2000, FRA received an advance appropriation of \$20 million for 2001, 2002, and 2003. In 2001, the Congress specified that the \$20 million advance appropriation for the Farley Building be used exclusively for fire and life safety initiatives. No new funds are requested for this program in 2014.

GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 69-0704-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0004 Amtrak Asset Valuation .....	1		
0005 System Eng / Program Mgmt .....		1	
0006 Operating Grant Sandy Recovery .....		32	
0007 Capital And Debt Grant Sandy Mitigation .....		86	
0900 Total new obligations .....	1	119	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		118	
1160 Appropriation, discretionary (total) .....		118	
1930 Total budgetary resources available .....	2	119	

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	5	2 30
3010	Obligations incurred, unexpired accounts .....	1	119
3020	Outlays (gross) .....	-4	-91 -30
3050	Unpaid obligations, end of year .....	2	30
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	5	2 30
3200	Obligated balance, end of year .....	2	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	118	.....
Outlays, gross:			
4010	Outlays from new discretionary authority .....	89	.....
4011	Outlays from discretionary balances .....	4	2 30
4020	Outlays, gross (total) .....	4	91 30
4180	Budget authority, net (total) .....	118	.....
4190	Outlays, net (total) .....	4	91 30

The National Railroad Passenger Corporation (Amtrak) was established in 1970 through the Rail Passenger Service Act. Amtrak is operated and managed as a for-profit corporation with all Board members appointed by the President, with the advice and consent of the Senate. Amtrak is not an agency or instrument of the U.S. Government, though since the railroad's creation FRA has provided it annual grants for operating and capital costs.

Prior to 2006, FRA received annual appropriations in this account for grants to Amtrak. Since that time, FRA has received individual appropriations for capital, operating, and efficiency incentive grants.

In addition, the American Recovery and Reinvestment Act of 2009 (Recovery Act) provided \$1.3 billion to Amtrak for capital grants, of which \$450 million was for capital security grants and \$850 million was for improving infrastructure.

FRA received \$118 million in this account from the Disaster Relief Appropriations Act of FY 2013 (PL 113-2) to fund Amtrak's recovery from Superstorm Sandy, including \$32 million for repair work and \$86 million for disaster mitigation projects.

**Object Classification** (in millions of dollars)

Identification code 69-0704-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3	Other goods and services from Federal sources .....	1	1
41.0	Grants, subsidies, and contributions .....	118	.....
99.9	Total new obligations .....	1	119

**OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-0121-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Operating subsidy grants .....	466	469
0900	Total new obligations (object class 41.0) .....	466	469

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	466	469
1160	Appropriation, discretionary (total) .....	466	469
1930	Total budgetary resources available .....	466	469

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	466	469
3020	Outlays (gross) .....	-466	-469

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	466	469
Outlays, gross:			
4010	Outlays from new discretionary authority .....	466	469
4180	Budget authority, net (total) .....	466	469
4190	Outlays, net (total) .....	466	469

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	466	469	.....
Outlays .....	466	469	.....
Amounts included in the adjusted baseline:			
Budget Authority .....	.....	.....	478
Outlays .....	.....	.....	478
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	-478
Outlays .....	.....	.....	-478
Total:			
Budget Authority .....	466	469	.....
Outlays .....	466	469	.....

Under the Administration's rail authorization proposal, FRA will support the National Railroad Passenger Corporation (Amtrak) operations through the Current Passenger Rail Service program of the new National High Performance Rail System, funded within the Rail Account of the Transportation Trust Fund.

**OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 69-0121-7-1-401	2012 actual	2013 CR	2014 est.
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<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	.....	-469
1160	Appropriation, discretionary (total) .....	.....	-469
Appropriations, mandatory:			
1200	Appropriation .....	469	478
1260	Appropriations, mandatory (total) .....	469	478
1900	Budget authority (total) .....	.....	478
1930	Total budgetary resources available .....	.....	478
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	.....	478

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020	Outlays (gross) .....	.....	-478
3050	Unpaid obligations, end of year .....	.....	-478
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....	.....	-478

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	.....	-469
Outlays, gross:			
4010	Outlays from new discretionary authority .....	.....	-469
Mandatory:			
4090	Budget authority, gross .....	469	478

OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION—Continued

Program and Financing—Continued

Identification code 69-0121-7-1-401	2012 actual	2013 CR	2014 est.
Outlays, gross:			
4100 Outlays from new mandatory authority		469	478
4180 Budget authority, net (total)			478
4190 Outlays, net (total)			478

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. This schedule reclassifies 2013 estimated and baseline budget authority and outlays as mandatory, for comparability purposes, in order to calculate the spending increase above the baseline subject to PAYGO.

OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Adjustments for year-to-year comparability)

Program and Financing (in millions of dollars)

Identification code 69-0121-9-1-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	-466		
1160 Appropriation, discretionary (total)	-466		
Appropriations, mandatory:			
1200 Appropriation	466		
1260 Appropriations, mandatory (total)	466		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	-466		
Outlays, gross:			
4010 Outlays from new discretionary authority	-466		
Mandatory:			
4090 Budget authority, gross	466		
Outlays, gross:			
4100 Outlays from new mandatory authority	466		

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. This schedule reclassifies 2012 enacted budget authority and outlays as mandatory, for comparability purposes.

OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 69-0121-4-1-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-478
1260 Appropriations, mandatory (total)			-478
1900 Budget authority (total)			-478
1930 Total budgetary resources available			-478
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-478
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross)			478
3050 Unpaid obligations, end of year			478

3200 Memorandum (non-add) entries:			
Obligated balance, end of year			478

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-478
Outlays, gross:			
4100 Outlays from new mandatory authority			-478
4180 Budget authority, net (total)			-478
4190 Outlays, net (total)			-478

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 69-0125-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 General Capital Improvements	622	625	
0002 Debt Service Grants	271	273	
0005 Contract Oversight	1	19	
0006 Northeast Corridor Operations and Improvement Program	9	10	
0007 American Disability Act (ADA)	50	50	
0799 Total direct obligations	953	977	
0881 Early Buy Outs (EBO)	310	59	
0889 Reimbursable program activities, subtotal	310	59	
0900 Total new obligations	1,263	1,036	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	19	
1001 Discretionary unobligated balance brought fwd, Oct 1	20	19	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	952	958	
1160 Appropriation, discretionary (total)	952	958	
Spending authority from offsetting collections, mandatory:			
1800 Collected	308	59	
1801 Change in uncollected payments, Federal sources	2		
1850 Spending auth from offsetting collections, mand (total)	310	59	
1900 Budget authority (total)	1,262	1,017	
1930 Total budgetary resources available	1,282	1,036	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	15	
3010 Obligations incurred, unexpired accounts	1,263	1,036	
3020 Outlays (gross)	-1,259	-1,051	
3050 Unpaid obligations, end of year	15		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-2	
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3071 Change in uncollected pymts, Fed sources, expired		2	
3090 Uncollected pymts, Fed sources, end of year	-2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	13	
3200 Obligated balance, end of year	13		

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	952	958	
Outlays, gross:			
4010 Outlays from new discretionary authority	941	958	
4011 Outlays from discretionary balances	10	34	
4020 Outlays, gross (total)	951	992	

Mandatory:			
4090 Budget authority, gross .....	310	59	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	308	59	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-308	-59	.....
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2		.....
4180 Budget authority, net (total) .....	952	958	.....
4190 Outlays, net (total) .....	951	992	.....

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	952	958	.....
Outlays .....	951	992	.....
Amounts included in the adjusted baseline:			
Budget Authority .....			976
Outlays .....			976
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-976
Outlays .....			-976
Total:			
Budget Authority .....	952	958	.....
Outlays .....	951	992	.....

Under the Administration's rail authorization proposal, FRA will support capital and debt service activities of the National Railroad Passenger Corporation (Amtrak) through the Current Passenger Rail Service program of the new National High Performance Rail System, funded within the Rail account of the Transportation Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 69-0125-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....		1	.....
41.0 Grants, subsidies, and contributions .....	953	976	.....
99.0 Direct obligations .....	953	977	.....
41.0 Allocation Account - reimbursable: Grants, subsidies, and contributions .....	310	59	.....
99.9 Total new obligations .....	1,263	1,036	.....

**CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 69-0125-7-1-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		-958	.....
1160 Appropriation, discretionary (total) .....		-958	.....
Appropriations, mandatory:			
1200 Appropriation .....		958	976
1260 Appropriations, mandatory (total) .....		958	976
1900 Budget authority (total) .....			976
1930 Total budgetary resources available .....			976
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			976

**Change in obligated balance:**

Unpaid obligations:			
3020 Outlays (gross) .....			-976
3050 Unpaid obligations, end of year .....			-976
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-976

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-958
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-958
4011 Outlays from discretionary balances .....			-34
4020 Outlays, gross (total) .....			-992
Mandatory:			
4090 Budget authority, gross .....			958
976			
Outlays, gross:			
4100 Outlays from new mandatory authority .....			958
976			
4101 Outlays from mandatory balances .....			34
4110 Outlays, gross (total) .....			992
976			
4180 Budget authority, net (total) .....			976
4190 Outlays, net (total) .....			976

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. This schedule reclassifies 2013 estimated and baseline budget authority and outlays as mandatory, for comparability purposes, in order to calculate the spending increase above the baseline subject to PAYGO.

**CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

(Adjustments for year-to-year comparability)

**Program and Financing** (in millions of dollars)

Identification code 69-0125-9-1-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		-952	.....
1160 Appropriation, discretionary (total) .....		-952	.....
Appropriations, mandatory:			
1200 Appropriation .....		952	.....
1260 Appropriations, mandatory (total) .....		952	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-952
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-941
4011 Outlays from discretionary balances .....			-10
4020 Outlays, gross (total) .....			-951
Mandatory:			
4090 Budget authority, gross .....			952
Outlays, gross:			
4100 Outlays from new mandatory authority .....			941
4101 Outlays from mandatory balances .....			10
4110 Outlays, gross (total) .....			951

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. This schedule reclassifies 2012 enacted budget authority and outlays as mandatory, for comparability purposes.

**CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 69-0125-4-1-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-976
1260 Appropriations, mandatory (total) .....			-976
1930 Total budgetary resources available .....			-976

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION—Continued

Program and Financing—Continued

Identification code 69-0125-4-1-401	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			-976
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross) .....			976
3050 Unpaid obligations, end of year .....			976
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			976
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-976
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-976
4180 Budget authority, net (total) .....			-976
4190 Outlays, net (total) .....			-976

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

EMERGENCY RAILROAD REHABILITATION AND REPAIR

Program and Financing (in millions of dollars)

Identification code 69-0124-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Emergency Railroad Rehabilitation and Repair .....	3	1	
0900 Total new obligations (object class 41.0) .....	3	1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	4	1	
1930 Total budgetary resources available .....	4	1	
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	4	
3010 Obligations incurred, unexpired accounts .....	3	1	
3020 Outlays (gross) .....	-4	-5	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	4		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	4	
3200 Obligated balance, end of year .....	4		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	4	5	
4190 Outlays, net (total) .....	4	5	

Funding for this program was provided in a supplemental appropriation in 2008. This program provides discretionary grants to States to repair and rehabilitate Class II and Class III railroad infrastructure damaged by hurricanes, floods, and other natural disasters in areas for which the President declared a major disaster under title IV of the Robert T. Stafford Disaster Relief and

Emergency Assistance Act of 1974. No new funding is requested in fiscal year 2014 for this program.

INTERCITY PASSENGER RAIL GRANT PROGRAM

Program and Financing (in millions of dollars)

Identification code 69-0715-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Intercity passenger rail grants .....	17	20	
0900 Total new obligations (object class 41.0) .....	17	20	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	34	20	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	37	20	
1930 Total budgetary resources available .....	37	20	
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	20		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	66	72	79
3010 Obligations incurred, unexpired accounts .....	17	20	
3020 Outlays (gross) .....	-8	-13	-20
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	72	79	59
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	66	72	79
3200 Obligated balance, end of year .....	72	79	59
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	8	13	20
4190 Outlays, net (total) .....	8	13	20

This competitive grant program encourages state participation in its passenger rail service. Under this program, a State or States may apply for grants for up to 50 percent of the cost of capital investments necessary to support improved intercity passenger rail service that either requires no operating subsidy or for which the State or States agree to provide any needed operating subsidy. To qualify for funding, States must include intercity passenger rail service as an integral part of statewide transportation planning as required under 23 U.S.C. 135. Additionally, the specific project must be on the Statewide Transportation Improvement Plan at the time of application.

No new funds are requested for this program in 2014.

CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE

Program and Financing (in millions of dollars)

Identification code 69-0719-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Capital Assistance High-Speed Rail (ARRA) Grants .....	214		
0002 Capital Assistance High-Speed Rail (ARRA) Oversight .....	51		
0003 Capital Assistance High-Speed Rail Corridors and IPR Service Grants .....	1,658	75	
0004 Capital Assistance High-Speed Rail Corridors and IPR Service Oversight .....	7	8	5
0005 Capital Assistance High-Speed Rail Corridors and IPR Service Research and Demonstrating Technologies .....	7	6	
0006 Capital Assistance High-Speed Rail Corridors and IPR Service Planning Activities .....	23	8	
0900 Total new obligations .....	1,960	97	5

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	2,000	119 22
1021	Recoveries of prior year unpaid obligations .....	79	.....
1050	Unobligated balance (total) .....	2,079	119 22
1930	Total budgetary resources available .....	2,079	119 22
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	119	22 17

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	7,777	9,145 8,145
3010	Obligations incurred, unexpired accounts .....	1,960	97 5
3020	Outlays (gross) .....	-513	-1,097 -2,256
3040	Recoveries of prior year unpaid obligations, unexpired .....	-79	.....
3050	Unpaid obligations, end of year .....	9,145	8,145 5,894
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	7,777	9,145 8,145
3200	Obligated balance, end of year .....	9,145	8,145 5,894

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	513	1,097 2,256
4190	Outlays, net (total) .....	513	1,097 2,256

Through this program, FRA provides capital grants to States to invest and improve intercity passenger rail service, including the development of new high-speed rail capacity. Activity in this account includes the \$8 billion provided by the American Recovery and Reinvestment Act of 2009 and an additional \$2.1 billion provided in subsequent enacted appropriations. No funds are requested in this account for 2014, as the Administration is proposing to include passenger rail (including high speed rail) within a multi-year rail authorization proposal. As part of that proposal, a new National High Performance Rail System program would be created, funded out a dedicated Rail Account of the Transportation Trust Fund. Activities currently carried out in this account would be continued in 2014 within a new Rail Service Improvement Program account.

**Object Classification** (in millions of dollars)

Identification code 69-0719-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.3	Personnel compensation: Other than full-time permanent .....	1	1
25.1	Advisory and assistance services .....	4	7 4
25.3	Other goods and services from Federal sources .....	70	6 .....
41.0	Grants, subsidies, and contributions .....	1,886	83 .....
99.9	Total new obligations .....	1,960	97 5

**Employment Summary**

Identification code 69-0719-0-1-401	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	1	5 7

**NEXT GENERATION HIGH-SPEED RAIL**

**Program and Financing** (in millions of dollars)

Identification code 69-0722-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003	Grade crossing hazard mitigation/low-cost innovative technologies .....	1	5 .....
0005	Corridor planning .....	2	2 .....
0900	Total new obligations .....	3	7 .....

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	9	9 2
1021	Recoveries of prior year unpaid obligations .....	3	.....
1050	Unobligated balance (total) .....	12	9 2
1930	Total budgetary resources available .....	12	9 2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	9	2 2

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	7	6 10
3010	Obligations incurred, unexpired accounts .....	3	7 .....
3020	Outlays (gross) .....	-1	-3 -3
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3	.....
3050	Unpaid obligations, end of year .....	6	10 7
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	7	6 10
3200	Obligated balance, end of year .....	6	10 7

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	1	3 3
4190	Outlays, net (total) .....	1	3 3

The Next Generation High-Speed Rail Program funds: research, development, and technology demonstration programs and the planning and analysis required to evaluate high speed rail technology proposals. No new funds are requested for this program in 2014.

**Object Classification** (in millions of dollars)

Identification code 69-0722-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.5	Research and development contracts .....	1	5 .....
41.0	Grants, subsidies, and contributions .....	2	2 .....
99.9	Total new obligations .....	3	7 .....

**NORTHEAST CORRIDOR IMPROVEMENT PROGRAM**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-0123-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Northeast Corridor Improvement Program .....	2	.....
0900	Total new obligations (object class 41.0) .....	2	.....

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	6	6 4
1930	Total budgetary resources available .....	6	6 4
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	6	4 4

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	1
3010	Obligations incurred, unexpired accounts .....	2	.....
3020	Outlays (gross) .....	-1	-1
3050	Unpaid obligations, end of year .....	1	.....
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	.....	1
3200	Obligated balance, end of year .....	1	.....

NORTHEAST CORRIDOR IMPROVEMENT PROGRAM—Continued  
Program and Financing—Continued

Identification code 69-0123-0-1-401	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	1
4190 Outlays, net (total) .....		1	1

This program provided funds to continue the upgrade of passenger rail service in the corridor between Washington, District of Columbia and Boston, Massachusetts. Since 2001, capital funding has been provided in the National Railroad Passenger Corporation (Amtrak) appropriation. Under the Administration's rail transportation reauthorization proposal, Federal resources for capital improvements to the Northeast Corridor will be an eligible activity under the new National High Performance Rail System, funded within the Rail Account of the Transportation Trust Fund.

RAIL LINE RELOCATION AND IMPROVEMENT PROGRAM  
Program and Financing (in millions of dollars)

Identification code 69-0716-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Rail line relocation .....	13	38	
0900 Total new obligations (object class 41.0) .....	13	38	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	51	38	
1930 Total budgetary resources available .....	51	38	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	38		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	35	53
3010 Obligations incurred, unexpired accounts .....	13	38	
3020 Outlays (gross) .....	-12	-20	-20
3050 Unpaid obligations, end of year .....	35	53	33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	34	35	53
3200 Obligated balance, end of year .....	35	53	33
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	12	20	20
4190 Outlays, net (total) .....	12	20	20

This program provides Federal assistance to States for relocating or making necessary improvements to local rail lines. No new funds are requested for this program in 2014.

RAILROAD SAFETY TECHNOLOGY PROGRAM  
Program and Financing (in millions of dollars)

Identification code 69-0701-0-1-401	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	25	12
3020 Outlays (gross) .....	-17	-13	-12
3050 Unpaid obligations, end of year .....	25	12	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	42	25	12
3200 Obligated balance, end of year .....	25	12	

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	17	13	12
4190 Outlays, net (total) .....	17	13	12

The Railroad Safety Technology Program is a competitive grant program for the deployment of train control technologies to passenger and freight rail carriers, railroad suppliers, and State and local governments. Projects may include the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new technologies that improve the safety of railroad systems.

FRA gives priority to projects that make technologies interoperable between railroad systems; accelerate the deployment of train control technology on high risk corridors, such as those that have high volumes of hazardous materials shipments, or over which commuter or passenger trains operate; or benefit both passenger and freight safety and efficiency.

No new funds are requested in this account for fiscal year 2014.

RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

*The Secretary of Transportation is authorized to issue direct loans and loan guarantees pursuant to sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, such authority to exist as long as any such direct loan or loan guarantee is outstanding: Provided, That, pursuant to section 502 of such Act, as amended, no new direct loans or loan guarantee commitments shall be made using Federal funds for the credit risk premium during fiscal year 2014.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69-0750-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....		12	
0706 Interest on reestimates of direct loan subsidy .....	17	21	
0900 Total new obligations (object class 43.0) .....	17	33	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	17	33	
1260 Appropriations, mandatory (total) .....	17	33	
1930 Total budgetary resources available .....	17	33	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	17	33	
3020 Outlays (gross) .....	-17	-33	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	17	33	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	17	33	
4180 Budget authority, net (total) .....	17	33	
4190 Outlays, net (total) .....	17	33	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 69-0750-0-1-401	2012 actual	2013 CR	2014 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Railroad Rehabilitation and Improvement Financing Direct Loans	139	600	600
115999 Total direct loan levels	139	600	600
<b>Direct loan subsidy (in percent):</b>			
132001 Railroad Rehabilitation and Improvement Financing Direct Loans	-2.12	0.00	0.00
132999 Weighted average subsidy rate	-2.12	0.00	0.00
<b>Direct loan subsidy budget authority:</b>			
133001 Railroad Rehabilitation and Improvement Financing Direct Loans	-3		
133999 Total subsidy budget authority	-3		
<b>Direct loan subsidy outlays:</b>			
134001 Railroad Rehabilitation and Improvement Financing Direct Loans	-3		
134999 Total subsidy outlays	-3		
<b>Direct loan upward reestimates:</b>			
135001 Railroad Rehabilitation and Improvement Financing Direct Loans	17	33	
135999 Total upward reestimate budget authority	17	33	
<b>Direct loan downward reestimates:</b>			
137001 Railroad Rehabilitation and Improvement Financing Direct Loans	-16	-20	
137999 Total downward reestimate budget authority	-16	-20	
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215002 Railroad Rehabilitation and Improvement Financing Guarantees		100	100
215999 Total loan guarantee levels		100	100
<b>Guaranteed loan subsidy (in percent):</b>			
232002 Railroad Rehabilitation and Improvement Financing Guarantees		0.00	0.00
232999 Weighted average subsidy rate		0.00	0.00

The Transportation Equity Act of the 21st Century of 1998 established the Railroad Rehabilitation and Improvement Financing loan and loan guarantee program. The Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users, changed the program to allow FRA to issue direct loan and loan guarantees up to \$35,000,000,000, and it required that no less than \$7,000,000,000 be reserved for projects primarily benefiting freight railroads other than class I carriers. The funding may be used: (1) to acquire, improve, or rehabilitate intermodal or rail equipment or facilities, including track, components of track, bridges, yards, buildings, or shops; (2) to refinance debt; or (3) to develop and establish new intermodal or railroad facilities.

The program does not require a subsidy appropriation to make loans since borrowers contribute the subsidy amount in the form of a credit risk premium.

**RRIF GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 69-4288-0-3-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1			3
<b>Financing authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections (credit risk premium)		3	3
1850 Spending auth from offsetting collections, mand (total)		3	3
1930 Total budgetary resources available		3	6
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year		3	6

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Financing authority, gross		3	3
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources		-3	-3
4190 Financing disbursements, net (total)		-3	-3

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 69-4288-0-3-401	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2131 Guaranteed loan commitments exempt from limitation		100	100
2150 Total guaranteed loan commitments		100	100
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year			95
2231 Disbursements of new guaranteed loans		100	100
2251 Repayments and prepayments		-5	-5
2290 Outstanding, end of year		95	190
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year		95	190

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 69-4420-0-3-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0710 Direct loan obligations	139	600	600
0713 Payment of interest to Treasury	32	38	38
0740 Negative subsidy obligations	3		
0742 Downward reestimate paid to receipt account	16	19	
0743 Interest on downward reestimates		1	
0900 Total new obligations	190	658	638
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	17	5	21
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority	143	600	600
1440 Borrowing authority, mandatory (total)	143	600	600
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections (interest on uninvested funds)	7	3	3
1800 Offsetting collections (principal-borrowers)	81	60	60
1800 Offsetting collections (upward reestimate)	17	33	
1800 Offsetting collections (interest-borrowers)	19	27	27
1800 Collected	14	9	10
1825 Spending authority from offsetting collections applied to repay debt	-103	-58	-58
1850 Spending auth from offsetting collections, mand (total)	35	74	42
1900 Financing authority (total)	178	674	642
1930 Total budgetary resources available	195	679	663
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	5	21	25
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	659	512	534
3010 Obligations incurred, unexpired accounts	190	658	638

RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING  
ACCOUNT—Continued

Program and Financing—Continued

Identification code 69-4420-0-3-401	2012 actual	2013 CR	2014 est.
3020 Financing disbursements (gross) .....	-337	-636	-636
3050 Unpaid obligations, end of year .....	512	534	536
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	659	512	534
3200 Obligated balance, end of year .....	512	534	536
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	178	674	642
Financing disbursements:			
4110 Financing disbursements, gross .....	337	636	636
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-17	-33	
4122 Interest on uninvested funds .....	-7	-3	-3
4123 Credit Risk Premium .....	-14	-9	-10
4123 Principal Repayment .....	-81	-60	-60
4123 Interest Repayment .....	-19	-27	-27
4130 Offsets against gross financing auth and disbursements (total) .....	-138	-132	-100
4160 Financing authority, net (mandatory) .....	40	542	542
4170 Financing disbursements, net (mandatory) .....	199	504	536
4180 Financing authority, net (total) .....	40	542	542
4190 Financing disbursements, net (total) .....	199	504	536

Status of Direct Loans (in millions of dollars)

Identification code 69-4420-0-3-401	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	139	600	600
1150 Total direct loan obligations .....	139	600	600
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....			1,106
1231 Disbursements: Direct loan disbursements .....	286	457	515
1251 Repayments: Repayments and prepayments .....	-81	-60	-60
1263 Write-offs for default: Direct loans .....		-1	-1
1290 Outstanding, end of year .....	710	1,106	1,560

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4420-0-3-401	2011 actual	2012 actual
<b>ASSETS:</b>		
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross .....	505	710
1999 Total assets .....	505	710
<b>LIABILITIES:</b>		
2105 Federal liabilities: Other .....	505	710
4999 Total liabilities and net position .....	505	710

Trust Funds

NATIONAL HIGH PERFORMANCE RAIL SYSTEM  
(Legislative proposal, not subject to PAYGO)

CURRENT PASSENGER RAIL SERVICE

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

Funds available for the Current Passenger Rail Service Program authorized under title 49, United States Code, shall not exceed total obligations

of \$2,700,000,000: Provided, That within the \$2,700,000,000 obligation limitation for the Current Passenger Rail Service Program, not more than \$675,000,000 shall be for the Northeast Corridor; \$300,000,000 shall be for State Corridors; \$800,000,000 shall be for Long-Distance Routes; and \$925,000,000 shall be for National Assets: Provided further, That the Secretary may retain up to one-half of one percent of the funds limited under this heading to fund program administration and oversight of the National High Performance Rail System.

CURRENT PASSENGER RAIL SERVICE

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(TRANSPORTATION TRUST FUND)

\$2,700,000,000 to be derived from the Rail Account of the Transportation Trust Fund and to remain available until expended, for payment of obligations incurred in carrying out the Current Passenger Rail Service Program authorized under title 49, United States Code.

CURRENT PASSENGER RAIL SERVICE

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 69-8320-4-7-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Northeast Corridor .....			675
0002 State Corridors .....			300
0003 Long-Distance Routes .....			800
0004 National Assets .....			925
0900 Total new obligations .....			2,700
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....			2,700
1137 Appropriations applied to liquidate contract authority .....			-2,700
Contract authority, mandatory:			
1600 Contract authority .....			2,700
1640 Contract authority, mandatory (total) .....			2,700
1900 Budget authority (total) .....			2,700
1930 Total budgetary resources available .....			2,700
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2,700
3020 Outlays (gross) .....			-1,555
3050 Unpaid obligations, end of year .....			1,145
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,145
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			2,700
Outlays, gross:			
4100 Outlays from new mandatory authority .....			1,555
4180 Budget authority, net (total) .....			2,700
4190 Outlays, net (total) .....			1,555

The Administration proposes to reauthorize FRA's passenger rail programs in FY 2014. Specifically, it proposes creating a new National High Performance Rail System Program including a Current Passenger Rail Service program that will be funded from the Rail Account of the Transportation Trust Fund. Through the Current Passenger Rail Service program account, FRA will make grants to ensure passenger rail assets are maintained to provide safe and reliable life-cycle service, as well as to continue operating long- distance train services. The 2014 budget request includes \$2.7 billion for this account, and over five years, the Administration proposes to invest \$13.2 billion. This account consists of four program areas:

Northeast Corridor.—To bring Northeast Corridor infrastructure and equipment into a state of good repair to enable future growth and service improvements.

*State Corridors.*—To facilitate efficient transition to full State financial control over State-supported corridors. This program area is transitional, and will be eliminated by the end of the 5-year period described in this budget proposal.

*Long-Distance Routes.*—To continue operations of the Nations important long-distance routes.

*National Assets.*—To improve efficiency of the Nations backbone rail facilities, implement positive train control (PTC) on Amtrak routes, and bring stations into compliance with requirements of the Americans with Disabilities Act (ADA).

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund, as part of a rail transportation reauthorization. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

**Object Classification** (in millions of dollars)

Identification code 69–8320–4–7–401	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			13
41.0 Grants, subsidies, and contributions .....			2,687
99.9 Total new obligations .....			2,700

**NATIONAL HIGH PERFORMANCE RAIL SYSTEM**

(Legislative proposal, not subject to PAYGO)

**RAILROAD RESEARCH, DEVELOPMENT, AND TECHNOLOGY**

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

*Funds available for the Railroad Research, Development, and Technology Program authorized under title 49, United States Code, shall not exceed total obligations of \$54,750,000; Provided, that the Secretary may retain up to one percent of the funds limited under this heading to fund program administration and oversight of the National High Performance Rail System.*

**RAILROAD RESEARCH, DEVELOPMENT, AND TECHNOLOGY**

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(TRANSPORTATION TRUST FUND)

*\$54,750,000, to be derived from the Rail Account of the Transportation Trust Fund and to remain available until expended, for payment of obligations incurred in carrying out the Railroad Research, Development, and Technology Program authorized under title 49, United States Code.*

**RAILROAD RESEARCH, DEVELOPMENT, AND TECHNOLOGY**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 69–8633–4–7–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 High Performance Rail R&D .....			12
0002 National Cooperative Research Program .....			5
0003 Workforce Development .....			12
0004 Program Oversight .....			1
0900 Total new obligations .....			30

**Budgetary Resources:**

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....			55
1137 Appropriations applied to liquidate contract authority .....			–55
<b>Contract authority, mandatory:</b>			
1600 Contract authority .....			55

1640 Contract authority, mandatory (total) .....	55
1900 Budget authority (total) .....	55
1930 Total budgetary resources available .....	55
<b>Memorandum (non-add) entries:</b>	
1941 Unexpired unobligated balance, end of year .....	25

**Change in obligated balance:**

<b>Unpaid obligations:</b>	
3010 Obligations incurred, unexpired accounts .....	30
3020 Outlays (gross) .....	–7
3050 Unpaid obligations, end of year .....	23
<b>Memorandum (non-add) entries:</b>	
3200 Obligated balance, end of year .....	23

**Budget authority and outlays, net:**

<b>Mandatory:</b>	
4090 Budget authority, gross .....	55
<b>Outlays, gross:</b>	
4100 Outlays from new mandatory authority .....	7
4180 Budget authority, net (total) .....	55
4190 Outlays, net (total) .....	7

The Administration proposes to reauthorize FRA's rail programs in FY 2014, including creating a new Railroad Research, Development, and Technology Program that will be funded from the Rail Account of the Transportation Trust Fund. The program provide science and technology support for the Federal Railroad Administration's high-speed efforts. In addition to improving safety, the program will make significant contributions towards the Department of Transportation's (DOT) state of good repair, economic competitiveness, and environmental sustainability goals. The program will be focused on the following areas of research:

*High-Performance Rail Research and Development.*—Focuses on advancing safe, state-of-the-art infrastructure and equipment to ensure that the United States is at the forefront of passenger rail technology.

*National Cooperative Rail Research Program.*—Focuses on developing the intellectual infrastructure needed to advance long-term effective rail policy, in conjunction with the National Academy of Sciences Transportation Research Board.

*Rail-based University Transportation Center.*—Provides basic and applied research into railroad safety and performance and educates the next generation of railroad professionals.

*Buy America Support.*—Focuses on advancing U.S. rail manufacturing through collaborative initiatives with the National Institute of Standards and Technologies Manufacturing Extension Partnership.

*Technical Assistance and Training.*—Develops and deploys training and technical assistance to build public and private institutional capacity and ensure successful rail project development and delivery.

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

**Object Classification** (in millions of dollars)

Identification code 69–8633–4–7–401	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....			2
25.5 Research and development contracts .....			16
41.0 Grants, subsidies, and contributions .....			12

RAILROAD RESEARCH, DEVELOPMENT, AND TECHNOLOGY—Continued  
Object Classification—Continued

Identification code 69-8633-4-7-401	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....			30

NATIONAL HIGH PERFORMANCE RAIL SYSTEM  
(Legislative proposal, not subject to PAYGO)

RAIL SERVICE IMPROVEMENT PROGRAM

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

*Funds available for the Rail Service Improvement Program authorized under title 49, United States Code, shall not exceed total obligations of \$3,660,000,000: Provided, That within the \$3,660,000,000 obligation limitation for the Rail Service Improvement Program, not more than \$3,250,000,000 shall be for Passenger Corridors; \$150,000,000 shall be for Congestion Mitigation (Freight and Passenger); \$190,000,000 shall be for Freight Capacity; and \$70,000,000 shall be for Planning: Provided further, That the Secretary may retain up to one percent of the funds limited under this heading to fund program administration and oversight of the National High Performance Rail System.*

RAIL SERVICE IMPROVEMENT PROGRAM

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(TRANSPORTATION TRUST FUND)

*\$3,660,000,000, to be derived from the Rail Account of the Transportation Trust Fund and to remain available until expended, for payment of obligations incurred in carrying out the Rail Service Improvement Program authorized under title 49, United States Code.*

RAIL SERVICE IMPROVEMENT PROGRAM

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 69-8310-4-7-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Passenger Corridors .....			1,000
0002 Congestion Mitigation .....			120
0003 Freight Capacity .....			150
0004 Planning .....			70
0005 Direct program activity .....			30
0900 Total new obligations .....			1,370

**Budgetary Resources:**

Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....			3,660
1137 Appropriations applied to liquidate contract authority .....			-3,660
Contract authority, mandatory:			
1600 Contract authority .....			3,660
1640 Contract authority, mandatory (total) .....			3,660
1900 Budget authority (total) .....			3,660
1930 Total budgetary resources available .....			3,660
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			2,290

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1,370
3020 Outlays (gross) .....			-225
3050 Unpaid obligations, end of year .....			1,145
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,145

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....			3,660
Outlays, gross:			
4100 Outlays from new mandatory authority .....			225

4180 Budget authority, net (total) .....			3,660
4190 Outlays, net (total) .....			225

The Administration proposes to reauthorize FRA's passenger rail programs in FY 2014. Specifically, it proposes creating a new National High Performance Rail System program, including a Rail Service Improvement Program that would be funded from a dedicated Rail Account of the Transportation Trust Fund. Through the Rail Service Improvement Program, FRA will make grants to States and local governments to develop infrastructure, stations, equipment, and capacity needed to initiate new passenger rail services and substantially upgrade existing corridors. The budget request includes \$3.66 billion for this account for 2014, and over five years, the Administration proposes to invest \$26.40 billion. This account consists of four program areas:

**Passenger Corridors.**—To build high Performance passenger rail corridors, through construction of new corridors or substantial improvements to existing corridors, and to implement positive train control systems on commuter railroads.

**Congestion Mitigation.**—To address major bottlenecks and congestion issues that reduce freight and passenger train reliability on shared-use infrastructure.

**Freight Capacity.**—To improve the competitiveness of the Nation's intermodal freight rail system by upgrading facilities and adding capacity.

**Planning.**—To develop comprehensive plans that will guide future investments in the Nation's passenger and freight rail systems.

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund, as part of a rail transportation reauthorization. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

Object Classification (in millions of dollars)

Identification code 69-8310-4-7-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....			30
41.0 Grants, subsidies, and contributions .....			1,340
99.9 Total new obligations .....			1,370

ADMINISTRATIVE PROVISIONS—FEDERAL RAILROAD ADMINISTRATION

**SEC. 150.** Hereafter, notwithstanding any other provision of law, funds provided in this Act for the National Railroad Passenger Corporation shall immediately cease to be available to said Corporation in the event that the Corporation contracts to have services provided at or from any location outside the United States. For purposes of this section, the word "services" shall mean any service that was, as of July 1, 2006, performed by a full-time or part-time Amtrak employee whose base of employment is located within the United States.

**SEC. 151.** The Secretary of Transportation may receive and expend cash, or receive and utilize spare parts and similar items, from non-United States Government sources to repair damages to or replace United States Government owned automated track inspection cars and equipment as a result of third-party liability for such damages, and any amounts collected under this section shall be credited directly to the Safety and Operations account of the Federal Railroad Administration, and shall remain available until expended for the repair, operation and maintenance of automated track inspection cars and equipment in connection with the automated track inspection program.

**SEC. 152.** None of the funds provided to the National Railroad Passenger Corporation may be used to fund any overtime costs in excess of \$35,000

for any individual employee: Provided, That the president of Amtrak may waive the cap set in the previous proviso for specific employees when the president of Amtrak determines such a cap poses a risk to the safety and operational efficiency of the system: Provided further, That Amtrak shall notify House and Senate Committees on Appropriations within 30 days of waiving such cap and delineate the reasons for such waiver.

Total Budget Authority .....	10540	21523	10910
Total Discretionary .....	2179	13111	2315
Total Mandatory .....	8361	8412	8595

Note: totals may not add due to rounding differences and do not include flex fund transfers with the Federal Highway Administration.  
1/ In FY 2013, the Public Transportation Emergency Relief Program includes \$10.9 billion in supplemental relief following Hurricane Sandy.

**FEDERAL TRANSIT ADMINISTRATION**

The Federal Transit Administration (FTA) provides grant funding to State and local governments, public and private transit operators and other recipients to enhance public transportation across the United States. FTA programs fund the construction of new public transit systems, purchase and maintain transit vehicles and equipment, subsidize public transit operations, support regional transportation planning efforts, and improve technology and service methods critical to the delivery of public transportation services. In 2013, FTA's programs were significantly changed by passage of a new surface transportation authorization law - Moving Ahead for Progress in the 21st Century (MAP-21). MAP-21 provides new authority to strengthen public transportation safety. MAP-21 also provides a renewed focus on reinvesting in and modernizing transit assets to help bring transit systems throughout the country into a state of good repair.

FTA's budget proposal restructures agency programs and accounts in accordance with MAP-21. The Administration proposes \$10.9 billion for FTA in 2014. This proposal includes \$8.6 billion to support FTA's base formula programs that provide assistance to transit agencies in both urban and rural areas. The Administration also proposes \$1.98 billion in new budget authority for Capital Investment Grants, the impact of which will be maximized through FTAs newly-streamlined New Starts program under MAP-21. The Capital Investment Grant budget request also will support newly eligible projects to improve or restore the core capacity of existing fixed guideway systems.

The Administration's proposal demonstrates a strong commitment to effective implementation of MAP-21. This includes a focus on improving the state of good repair of bus and rail transit infrastructure, strengthening the safety oversight of public transportation operators, providing affordable access to employment centers and social services, and enhancing economic opportunities and quality of life for all Americans. The table below presents actual funding enacted for 2012, estimated 2013 funding based on a full year Continuing Resolution and the Emergency Supplemental for Hurricane Sandy Relief, and the requested 2014 funding under the MAP-21 account structure. Note that the 2014 Budget proposes renaming the Highway Trust Fund the Transportation Trust Fund. Additional detail is provided in the program budget schedules that follow.

[In millions of dollars]

	2012 Actual	2013 Annualized CR	2014 Request
<b>Budget Authority:</b>			
Formula Grants (TF) .....	8361	8412	8595
Capital Investment Grants (GF) .....	1955	1967	1981
Capital Investment Grants Unobligated Balance Rescission .....	-59	-44	0
Transfers Out .....	-10	0	0
Research, Development, Demonstration, and Deployment (renamed) (GF) .....	44	44	30
Transit Cooperative Research (GF) .....	0	0	7
Technical Assistance and Standards Development (GF) .....	0	0	7
Human Resources and Training (GF) .....	0	0	5
Public Transportation Emergency Relief Program (GF) <sup>1/</sup> .....	0	10900	25
Transfers Out .....	0	-6	0
Washington Metropolitan Area Transit Authority .....	150	151	150
Administrative Expenses (GF) .....	99	99	110

**Federal Funds**

FEDERAL TRANSIT ADMINISTRATION

ADMINISTRATIVE EXPENSES

For necessary administrative expenses of the programs of the Federal Transit Administration authorized by chapter 53 of title 49, United States Code, \$109,888,000, to remain available until expended, of which not less than \$5,000,000 shall be available to carry out the provisions of 49 U.S.C. 5329 and not less than \$1,000,000 shall be available to carry out the provisions of 49 U.S.C. 5326.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-1120-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	98	99	104
0002 Transit Safety Oversight .....			5
0003 Transit Asset Management .....			1
0900 Total new obligations .....	98	99	110
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	99	99	110
1160 Appropriation, discretionary (total) .....	99	99	110
1930 Total budgetary resources available .....	99	99	110
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	13	11
3010 Obligations incurred, unexpired accounts .....	98	99	110
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-98	-101	-109
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	13	11	12
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	13	13	11
3200 Obligated balance, end of year .....	13	11	12
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	99	99	110
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	87	89	99
4011 Outlays from discretionary balances .....	11	12	10
4020 Outlays, gross (total) .....	98	101	109
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4180 Budget authority, net (total) .....	99	99	110
4190 Outlays, net (total) .....	98	101	109

The Federal Transit Administration (FTA) is requesting a total of \$109.9 million for its Administrative Expenses account. These funds will provide financial resources for three distinct activities within the account: salaries, benefits and administrative expenses to carry out the Agency's stewardship of Federal funds, technical assistance to grantees during project development and program implementation, capital project oversight and grantee compliance;

FEDERAL TRANSIT ADMINISTRATION—Continued

the Office of Safety and Oversight for staffing and related activities associated with FTA transit safety oversight including setting policies and standards, and developing and administering a State Safety Oversight program funded through the Formula Grant account; and, Transit Asset Management to support asset management activities required under MAP-21, which include defining state of good repair, developing objective standards to measure capital asset condition and collecting data on the asset condition of FTA's grantees.

Object Classification (in millions of dollars)

Identification code 69-1120-0-1-401	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	54	54	57
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	55	55	58
12.1 Civilian personnel benefits .....	15	15	16
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	7	7	8
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	12	12	14
25.3 Other goods and services from Federal sources .....	5	6	10
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	98	99	110

Employment Summary

Identification code 69-1120-0-1-401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	523	523	560

RESEARCH, TRAINING, AND HUMAN RESOURCES

Program and Financing (in millions of dollars)

Identification code 69-1121-0-1-401	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	.....
3020 Outlays (gross) .....	.....	-1	.....
3050 Unpaid obligations, end of year .....	1	.....	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	.....
3200 Obligated balance, end of year .....	1	.....	.....

Budget authority and outlays, net:

<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	.....	1	.....
4190 Outlays, net (total) .....	.....	1	.....

Activities have not been funded in the Research, Training and Human Resources account since 2006. This schedule shows the obligation and outlay of amounts made available in fiscal years prior to 2006.

JOB ACCESS AND REVERSE COMMUTE GRANTS

Program and Financing (in millions of dollars)

Identification code 69-1125-0-1-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	14	15	15

1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	15	15	15
1930 Total budgetary resources available .....	15	15	15
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	15	15	15

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	15	8
3020 Outlays (gross) .....	-5	-7	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	15	8	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	21	15	8
3200 Obligated balance, end of year .....	15	8	1

Budget authority and outlays, net:

<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	5	7	7
4190 Outlays, net (total) .....	5	7	7

Activities have not been funded in the Job Access and Reverse Commute Grants account since 2006. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006. Under MAP-21, Urbanized Area formula grants may be used to support job access and reverse commute activities in fiscal year 2014.

INTERSTATE TRANSFER GRANTS-TRANSIT

Program and Financing (in millions of dollars)

Identification code 69-1127-0-1-401	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	3	3

This account funds transit capital projects substituted for previously withdrawn segments of the Interstate Highway System under the provisions of 23 U.S.C. 103(e)(4).

GRANTS TO THE WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

*For grants to the Washington Metropolitan Area Transit Authority as authorized under section 601 of division B of Public Law 110-432, \$150,000,000, to remain available until expended: Provided, That the Secretary shall approve grants for capital and preventive maintenance expenditures for the Washington Metropolitan Area Transit Authority only after receiving and reviewing a request for each specific project: Provided further, That prior to approving such grants, the Secretary shall determine that the Washington Metropolitan Area Transit Authority has placed the highest priority on those investments that will improve the safety of the system: Provided further, That funds appropriated by this Act, or any other act, to carry out section 601 of Public Law 110-432 may be obligated and expended notwithstanding section 601(e)(1)(B) of such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-1128-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Washington Metropolitan Area Transit Authority .....	150	225	151
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	150	150	76
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	150	151	150
1160 Appropriation, discretionary (total) .....	150	151	150
1930 Total budgetary resources available .....	300	301	226
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	150	76	75
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	40	99	136
3010 Obligations incurred, unexpired accounts .....	150	225	151
3020 Outlays (gross) .....	-91	-188	-232
3050 Unpaid obligations, end of year .....	99	136	55
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	40	99	136
3200 Obligated balance, end of year .....	99	136	55
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	150	151	150
Outlays, gross:			
4010 Outlays from new discretionary authority .....		38	38
4011 Outlays from discretionary balances .....	91	150	194
4020 Outlays, gross (total) .....	91	188	232
4180 Budget authority, net (total) .....	150	151	150
4190 Outlays, net (total) .....	91	188	232

The Federal Rail Safety Improvements Act, 2008, (P.L. 110-432, Title VI, Sec. 601), provided authorization for capital and preventive maintenance projects for the Washington Metropolitan Area Transit Authority (WMATA). Funding will help WMATA address its reinvestment and maintenance backlog to improve the safety and reliability of service and to expand existing system capacity to meet growing demand. The Secretary will use his authority to approve grants under this program to ensure that available funds first address WMATA's most critical safety needs.

**Object Classification** (in millions of dollars)

Identification code 69-1128-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....			1
41.0 Grants, subsidies, and contributions .....	150	225	150
99.9 Total new obligations .....	150	225	151

**FORMULA GRANTS**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-1129-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Urban formula - capital .....	25	8	6
0002 Elderly and Disabled .....	1	1	1
0003 Nonurban formula .....	1	1	1
0004 Other Programs .....		1	1

0799 Total direct obligations .....	27	11	9
0801 FEMA Reimbursable .....		4	
0809 Reimbursable program activities, subtotal .....		4	
0900 Total new obligations .....	27	15	9

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	90	86	80
1011 Unobligated balance transfer from other accts [69-9911] ...	1	7	
1021 Recoveries of prior year unpaid obligations .....	22	2	2
1050 Unobligated balance (total) .....	113	95	82
1930 Total budgetary resources available .....	113	95	82
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	86	80	73

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	597	431	220
3010 Obligations incurred, unexpired accounts .....	27	15	9
3020 Outlays (gross) .....	-171	-224	-144
3040 Recoveries of prior year unpaid obligations, unexpired .....	-22	-2	-2
3050 Unpaid obligations, end of year .....	431	220	83
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-14	-14
3090 Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	583	417	206
3200 Obligated balance, end of year .....	417	206	69

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	171	224	144
4190 Outlays, net (total) .....	171	224	144

This schedule shows the obligation and outlay of formula grant program funding made available in fiscal years prior to 2006. In 2014, funds requested for transit formula grant programs are included in the Transit Formula Grants account and funded exclusively by the Mass Transit Account of the Transportation Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 69-1129-0-1-401	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	27	11	9
99.0 Reimbursable obligations .....		4	
99.9 Total new obligations .....	27	15	9

**GRANTS FOR ENERGY EFFICIENCY AND GREENHOUSE GAS REDUCTIONS**

**Program and Financing** (in millions of dollars)

Identification code 69-1131-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Energy and Greenhouse Gas Reductions .....	51	13	1
0900 Total new obligations (object class 41.0) .....	51	13	1

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	65	14	1
1930 Total budgetary resources available .....	65	14	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	1	

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	59	99	87
3010 Obligations incurred, unexpired accounts .....	51	13	1
3020 Outlays (gross) .....	-11	-25	-25
3050 Unpaid obligations, end of year .....	99	87	63

GRANTS FOR ENERGY EFFICIENCY AND GREENHOUSE GAS  
REDUCTIONS—Continued  
Program and Financing—Continued

Identification code 69–1131–0–1–401	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	59	99	87
3200 Obligated balance, end of year .....	99	87	63
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	11	25	25
4190 Outlays, net (total) .....	11	25	25

Initiated within the American Recovery & Reinvestment Act (ARRA) of 2009, the program provided grants to public transit agencies for capital investments to reduce the energy consumption or greenhouse gas emissions of their public transportation operations. Activities have not been funded in this account since 2011. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2012. Under MAP-21, projects for energy efficiency and greenhouse gas reduction can be funded with Urbanized Area Formula grants and Rural Area Formula grants in 2014.

CAPITAL INVESTMENT GRANTS

For necessary expenses to carry out 49 U.S.C. 5309, \$1,981,472,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69–1134–0–1–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Capital investment grants .....	2,334	2,141	2,674
0003 Lower Manhattan recovery FTA Direct P.L. 107–206 .....	72	10	10
0004 Capital Investment Grants Recovery Act .....	1		
0799 Total direct obligations .....	2,407	2,151	2,684
0801 FEMA Reimbursable LMRO PL 107–206 .....	617	2	2
0900 Total new obligations .....	3,024	2,153	2,686
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2,377	1,318	1,107
1021 Recoveries of prior year unpaid obligations .....	60		
1050 Unobligated balance (total) .....	2,437	1,318	1,107
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,955	1,967	1,981
1120 Appropriations transferred to other accts [95–1200] .....	–5		
1120 Appropriations transferred to other accts [69–1750] .....	–5		
1131 Unobligated balance of appropriations permanently reduced .....	–59	–44	
1160 Appropriation, discretionary (total) .....	1,886	1,923	1,981
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	19	19	14
1750 Spending auth from offsetting collections, disc (total) .....	19	19	14
1900 Budget authority (total) .....	1,905	1,942	1,995
1930 Total budgetary resources available .....	4,342	3,260	3,102
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,318	1,107	416
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,398	3,900	3,582
3010 Obligations incurred, unexpired accounts .....	3,024	2,153	2,686
3020 Outlays (gross) .....	–2,462	–2,471	–2,583

3040 Recoveries of prior year unpaid obligations, unexpired .....	–60		
3050 Unpaid obligations, end of year .....	3,900	3,582	3,685
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3,398	3,900	3,582
3200 Obligated balance, end of year .....	3,900	3,582	3,685
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Budget authority, gross:</b>			
4000 Budget authority, gross .....	1,905	1,942	1,995
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	511	562	577
4011 Outlays from discretionary balances .....	1,951	1,909	2,006
4020 Outlays, gross (total) .....	2,462	2,471	2,583
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	–19	–19	–14
4180 Budget authority, net (total) .....	1,886	1,923	1,981
4190 Outlays, net (total) .....	2,443	2,452	2,569

The Federal Transit Administration's (FTA) Capital Investment Grant (or New Starts) program is the Federal Government's primary source for capital investment in transit infrastructure projects that are planned, constructed and operated by State and local government entities. For 2014, the Budget requests a total of \$2.132 billion for transit New Starts projects, including \$1.981 billion in new budget authority and \$151 million in unobligated balances, for construction of new fixed guideway systems, extensions to existing fixed guideway systems, new corridor-based bus systems, and core capacity improvement projects. These investments include heavy rail, light rail, commuter rail, bus rapid transit, ferries, and streetcar systems. FTA awards these discretionary resources to grantees under provisions within existing multi-year full funding grant agreements for projects in the construction phase, or through a competitive process using project rating criteria established in MAP-21, to new projects projected to be ready to initiate construction.

Object Classification (in millions of dollars)

Identification code 69–1134–0–1–401	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	5	22	22
41.0 Grants, subsidies, and contributions .....	2,401	2,128	2,661
99.0 Direct obligations .....	2,407	2,151	2,684
99.0 Reimbursable obligations .....	617	2	2
99.9 Total new obligations .....	3,024	2,153	2,686

Employment Summary

Identification code 69–1134–0–1–401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

RESEARCH, DEVELOPMENT, DEMONSTRATION, AND DEPLOYMENT [UNIVERSITY RESEARCH CENTERS]

For necessary expenses to carry out 49 U.S.C. 5312, \$30,000,000, to remain available until expended: Provided, That of the amount provided under this heading, up to 50 percent shall be available to carry out the low or no emissions vehicle deployment program under 49 U.S.C. 5312(d)(5): Provided further, That the federal share for contracts and cooperative agreements under the program referred to in the previous proviso may be up to 100 percent.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69-1137-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Research and University Research Centers .....	41	42	42
0801 Reimbursable program .....	2	2	2
0900 Total new obligations .....	43	44	44
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	103	108	113
1021 Recoveries of prior year unpaid obligations .....	2	5	5
1050 Unobligated balance (total) .....	105	113	118
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	44	44	30
1160 Appropriation, discretionary (total) .....	44	44	30
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3		
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	2		
1900 Budget authority (total) .....	46	44	30
1930 Total budgetary resources available .....	151	157	148
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	108	113	104
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	108	91	77
3010 Obligations incurred, unexpired accounts .....	43	44	44
3020 Outlays (gross) .....	-58	-53	-64
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-5	-5
3050 Unpaid obligations, end of year .....	91	77	52
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-45	-44	-44
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-44	-44	-44
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	63	47	33
3200 Obligated balance, end of year .....	47	33	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	46	44	30
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	7	5
4011 Outlays from discretionary balances .....	53	46	59
4020 Outlays, gross (total) .....	58	53	64
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4070 Budget authority, net (discretionary) .....	44	44	30
4080 Outlays, net (discretionary) .....	55	53	64
4180 Budget authority, net (total) .....	44	44	30
4190 Outlays, net (total) .....	55	53	64

The 2014 budget presents FTA's new program authorization and account structure under MAP-21. MAP-21 authorizes FTA to conduct research activities that improve the safety, reliability, efficiency, and sustainability of public transportation by investing in the development, testing, and deployment of innovative technologies, materials, and processes. FTA is also authorized to award grants to demonstrate and deploy new technologies that promote clean energy and improve air quality in low-emission and no-emission vehicles. The 2014 budget includes \$30 million for Research, Development, Demonstration, and Deployment.

**Object Classification** (in millions of dollars)

Identification code 69-1137-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	14	17	17

41.0	Grants, subsidies, and contributions .....	27	25	25
99.0	Direct obligations .....	41	42	42
99.0	Reimbursable obligations .....	2	2	2
99.9	Total new obligations .....	43	44	44

**PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM**

*For necessary expenses to carry out 49 U.S.C. 5324, United States Code, \$25,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 69-1140-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 2013 Hurricane Sandy Emergency Supplemental (P.L. 113-2) .....		1,998	3,698
0002 Public Transportation Emergency Relief Program .....			13
0003 2013 Hurricane Sandy Emergency Supplemental (P.L. 113-2 Administration and Oversight) .....		2	6
0900 Total new obligations .....		2,000	3,717
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			8,894
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		10,900	25
1120 Appropriations transferred to other accts [69-0130] .....		-6	
1160 Appropriation, discretionary (total) .....		10,894	25
1930 Total budgetary resources available .....		10,894	8,919
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		8,894	5,202
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			911
3010 Obligations incurred, unexpired accounts .....		2,000	3,717
3020 Outlays (gross) .....		-1,089	-2,731
3050 Unpaid obligations, end of year .....		911	1,897
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			911
3200 Obligated balance, end of year .....		911	1,897
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		10,894	25
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1,089	7
4011 Outlays from discretionary balances .....			2,724
4020 Outlays, gross (total) .....		1,089	2,731
4180 Budget authority, net (total) .....		10,894	25
4190 Outlays, net (total) .....		1,089	2,731

The 2014 Budget presents FTA's new program authorization and account structure under MAP-21. This program helps transit agencies restore needed transportation services immediately following disaster events. Both capital and operating costs are eligible for funding following an emergency; however, this program does not replace the Federal Emergency Management Agency's capital assistance program. FTA administers the 2013 \$10.9 billion provided by the Disaster Relief Appropriations Act, 2013 (Public Law 113-2) following Hurricane Sandy through this account.

**PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM—Continued**

**Object Classification** (in millions of dollars)

Identification code 69–1140–0–1–401	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....		1	3
12.1 Civilian personnel benefits .....			1
41.0 Grants, subsidies, and contributions .....	1,998		3,712
99.0 Direct obligations .....		1,999	3,716
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....		2,000	3,717

**Employment Summary**

Identification code 69–1140–0–1–401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		6	31

**TRANSIT COOPERATIVE RESEARCH PROGRAM**

*For necessary expenses to carry out 49 U.S.C. 5313, \$7,000,000, to remain available until expended.*

**Program and Financing** (in millions of dollars)

Identification code 69–1141–0–1–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Transit Cooperative Research Program .....			7
0900 Total new obligations (object class 25.5) .....			7
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			7
1160 Appropriation, discretionary (total) .....			7
1930 Total budgetary resources available .....			7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			7
3020 Outlays (gross) .....			–7
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			7
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			7
4180 Budget authority, net (total) .....			7
4190 Outlays, net (total) .....			7

The 2014 Budget presents FTA's new program authorization and account structure under MAP-21. This new account structure separates the Transit Cooperative Research Program from the Research Technology and Deployment program. This account provides funds to the National Academy of Sciences to conduct investigative research on subjects related to public transportation. The 2014 Budget request includes \$7 million for this account, which is funded through the General Fund.

**TECHNICAL ASSISTANCE AND STANDARDS DEVELOPMENT**

*For necessary expenses to carry out 49 U.S.C. 5314, \$7,000,000, to remain available until expended: Provided, That the federal share for contracts and cooperative agreements may be up to 100 percent.*

**Program and Financing** (in millions of dollars)

Identification code 69–1142–0–1–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Technical Assistance and Standards Development .....			7
0900 Total new obligations (object class 25.5) .....			7
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			7
1160 Appropriation, discretionary (total) .....			7
1930 Total budgetary resources available .....			7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			7
3020 Outlays (gross) .....			–5
3050 Unpaid obligations, end of year .....			2
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			2
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			7
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			5
4180 Budget authority, net (total) .....			7
4190 Outlays, net (total) .....			5

The 2014 Budget presents FTA's new program authorization and account structure under MAP-21. This program enables FTA to provide technical assistance to the public transportation industry and to develop standards for transit service provision, with an emphasis on improving access for all individuals and transportation equity. Through this program, FTA is able to assist recipients to more effectively and efficiently provide public transportation and administer Federal funding in compliance with the law.

**HUMAN RESOURCES AND TRAINING**

*For necessary expenses to carry out 49 U.S.C. 5322(a), (b), and (e), \$5,000,000, to remain available until expended.*

**Program and Financing** (in millions of dollars)

Identification code 69–1143–0–1–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Human Resources and Training .....			4
0900 Total new obligations (object class 25.5) .....			4
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			5
1160 Appropriation, discretionary (total) .....			5
1930 Total budgetary resources available .....			5
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			4
3020 Outlays (gross) .....			–4
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			5
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			4
4180 Budget authority, net (total) .....			5

4190	Outlays, net (total) .....	4
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The 2014 Budget presents the FTA's new program authorization and account structure under MAP-21. This program enables FTA to carry out human resource and training activities and to establish a competitive workforce development grant program. FTA's goal is to improve the skill and capability of the transit industry workforce to operate increasingly complex transit vehicles and fixed guideway systems.

**TRANSIT CAPITAL ASSISTANCE, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 69-1101-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 Administration/Oversight .....	12		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	12		
1930 Total budgetary resources available .....	12		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,140	1,055	385
3010 Obligations incurred, unexpired accounts .....	12		
3020 Outlays (gross) .....	-1,057	-670	-346
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-39		
3050 Unpaid obligations, end of year .....	1,055	385	39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,140	1,055	385
3200 Obligated balance, end of year .....	1,055	385	39
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1,057	670	346
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources (NJ ARC Repayment) .....			-5
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....			5
4080 Outlays, net (discretionary) .....	1,057	670	341
4190 Outlays, net (total) .....	1,057	670	341

The American Recovery and Reinvestment Act of 2009 provided \$6.9 billion to fund transit capital assistance to create jobs to bolster the American economy. Transit capital assistance was provided through urbanized area formula grants, non-urbanized area formula grants, and discretionary Tribal Transit grants. Funds were used for eligible capital projects, preventive maintenance, and to purchase buses and rail rolling stock. Funds were also used for a new discretionary grant program, Transportation Investments in Greenhouse Gas and Energy Reduction, to increase the use of environmentally sustainable operations in the public transportation sector. This schedule shows the obligation and outlay of remaining amounts made available for administration and oversight of these transit capital assistance formula apportionments, discretionary grant awards and associated capital and preventive maintenance projects and vehicle procurements.

**Object Classification** (in millions of dollars)

Identification code 69-1101-0-1-401	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5		

25.2	Other services from non-Federal sources .....	7		
99.9	Total new obligations .....	12		

**Employment Summary**

Identification code 69-1101-0-1-401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	34		

**FIXED GUIDEWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 69-1102-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3		
0900 Total new obligations (object class 25.2) .....	3		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	3		
1930 Total budgetary resources available .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	221	93	3
3010 Obligations incurred, unexpired accounts .....	3		
3020 Outlays (gross) .....	-128	-90	-3
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	93	3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	221	93	3
3200 Obligated balance, end of year .....	93	3	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	128	90	3
4190 Outlays, net (total) .....	128	90	3

The American Recovery and Reinvestment Act of 2009 provided \$750 million to fund fixed guideway modernization grants to create jobs to bolster the American economy. The funds were apportioned consistent with the allocation formula authorized by SAFETEA-LU. Eligible capital projects included the purchase or rehabilitation of rail rolling stock and the construction or rehabilitation of transit guideway systems, passenger facilities, maintenance facilities and security systems.

**Trust Funds**

**DISCRETIONARY GRANTS (TRANSPORTATION TRUST FUND, MASS TRANSIT ACCOUNT)**

**Program and Financing** (in millions of dollars)

Identification code 69-8191-0-7-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Discretionary grants .....		10	10
0900 Total new obligations (object class 41.0) .....		10	10
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	20	10
1021 Recoveries of prior year unpaid obligations .....	15		
1050 Unobligated balance (total) .....	20	20	10
1930 Total budgetary resources available .....	20	20	10

DISCRETIONARY GRANTS (TRANSPORTATION TRUST FUND, MASS TRANSIT ACCOUNT)—Continued

Program and Financing—Continued

Identification code 69–8191–0–7–401	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	20	10	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	36	8	9
3010 Obligations incurred, unexpired accounts .....		10	10
3020 Outlays (gross) .....	-13	-9	-9
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15		
3050 Unpaid obligations, end of year .....	8	9	10
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	36	8	9
3200 Obligated balance, end of year .....	8	9	10
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	13	9	9
4190 Outlays, net (total) .....	13	9	9
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority .....	38	38	38
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority .....	38	38	38

In 2014, no additional liquidating cash is requested to pay previously incurred obligations in the Discretionary Grants account.

TRANSIT FORMULA GRANTS

(LIQUIDATION OF CONTRACT AUTHORITY)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

For payment of obligations incurred in carrying out the provisions of 49 U.S.C. 5305, 5307, 5310, 5311, 5318, 5322(d), 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by Public Law 112–141; and section 20005(b) of Public Law 112–141, \$9,500,000,000, to be derived from the Mass Transit Account of the Transportation Trust Fund and to remain available until expended: Provided, That funds available for the implementation or execution of programs authorized under 49 U.S.C. 5305, 5307, 5310, 5311, 5318, 5322(d), 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by Public Law 112–141, and section 20005(b) of Public Law 112–141, shall not exceed total obligations of \$8,595,000,000 in fiscal year 2013.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69–8350–0–7–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Urbanized area programs .....	5,638	5,338	6,045
0002 Fixed guideway modernization .....	1,680	852	243
0003 Bus and bus facility grants .....	990	1,039	299
0004 Over-the-road bus .....	10	10	3
0005 Clean Fuels Program .....	51	48	14
0006 Planning Programs .....	101	142	181
0007 Job Access & Reverse Commute .....	182	168	48
0008 Alternatives analysis program .....	33	28	8
0009 Alternative transportation in parks and public Lands .....	30	22	6
0011 Seniors and persons with disabilities .....	214	227	300
0012 Non-urbanized area programs .....	520	504	696
0013 New Freedom .....	102	97	28
0014 National Transit Database .....	3	4	4
0015 Oversight .....	48	81	62
0016 Transit Oriented Development .....			4
0017 Bus and Bus Facilities Formula Grants .....		165	361

0018 Bus Testing Facility .....		1	3
0019 National Transit Institute .....		2	4
0020 State of Good Repair Grants .....		828	1,816
0900 Total new obligations .....	9,602	9,556	10,125

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7,954	8,296	8,518
1013 Unobligated balance of contract authority transferred to or from other accounts [69–8083] .....	-6		
1021 Recoveries of prior year unpaid obligations .....	61		
1050 Unobligated balance (total) .....	8,009	8,296	8,518
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	9,400	9,400	9,600
1120 Appropriations transferred to other accts [69–8083] .....	-20		
1121 Appropriations transferred from other accts [69–8083] .....	1,103	1,386	1,367
1137 Portion applied to liquidate contract authority used .....	-10,483	-10,786	-10,967
<b>Contract authority, mandatory:</b>			
1600 Contract authority .....	8,361	8,478	8,595
1610 Transferred to other accounts [69–8083] .....	-15		
1611 Transferred from other accounts [69–8083] .....	1,543	1,300	1,300
1640 Contract authority, mandatory (total) .....	9,889	9,778	9,895
1900 Budget authority (total) .....	9,889	9,778	9,895
1930 Total budgetary resources available .....	17,898	18,074	18,413
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8,296	8,518	8,288

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	12,082	13,426	13,730
3010 Obligations incurred, unexpired accounts .....	9,602	9,556	10,125
3020 Outlays (gross) .....	-8,197	-9,252	-9,887
3040 Recoveries of prior year unpaid obligations, unexpired .....	-61		
3050 Unpaid obligations, end of year .....	13,426	13,730	13,968
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	12,082	13,426	13,730
3200 Obligated balance, end of year .....	13,426	13,730	13,968

Budget authority and outlays, net:

<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,430	1,793	1,829
4011 Outlays from discretionary balances .....	6,767	7,459	8,058
4020 Outlays, gross (total) .....	8,197	9,252	9,887
<b>Mandatory:</b>			
4090 Budget authority, gross .....	9,889	9,778	9,895
4180 Budget authority, net (total) .....	9,889	9,778	9,895
4190 Outlays, net (total) .....	8,197	9,252	9,887

Memorandum (non-add) entries:

5052 Obligated balance, SOY: Contract authority .....	6,437	5,838	4,829
5053 Obligated balance, EOY: Contract authority .....	5,838	4,829	3,757
5061 Limitation on obligations (Transportation Trust Funds) .....	9,904	9,712	9,895

FTA's 2014 budget request is presented in accordance with the MAP-21 program and has significant changes to the account structure in comparison to FTA's funding under its previous authorization (SAFETEA-LU). Funded from the Mass Transit Account of the Highway Trust Fund, the Formula and Bus Grants account has been renamed the Formula Grants account and certain programs have been reorganized. Some programs previously funded here have been consolidated with others or moved to other accounts.

Formula Grants funds can be used for transit capital purposes including bus and railcar purchases, facility repair and construction, as well as maintenance, and where eligible, planning and operating expenses. These funds help existing transit systems provide safe and reliable transportation options, and promote economically vibrant communities. The 2014 Budget request includes \$8.595 billion for Formula Grants. The 2014 formula grant program structure includes:

**Urbanized Area Formula.**—\$4.437 billion. For formula grants to urbanized areas with populations of 50,000 or more. Funds may be used for any transit capital purpose. Operating costs

continue to be eligible expenses for all urban areas under 200,000 in population; and, in certain circumstances, operating costs may be eligible expenses in urban areas with populations over 200,000. Additionally, under MAP-21, Urbanized Area grants may be used to support Job Access and Reverse Commute activities.

**State Safety Oversight Program.**—\$22 million. Under MAP-21, each State with rail systems not regulated by the Federal Railroad Administration (FRA) will meet requirements for a State Safety Oversight (SSO) program. To aid grantees in meeting new requirements, funding will be provided by a formula developed by FTA based on revenue miles, route miles, and passenger miles. The maximum Federal share for these grants is 80 percent.

**State of Good Repair Grants.**—\$2.166 billion. For a formula-based capital maintenance program to restore and replace aging transportation infrastructure through reinvestment in existing fixed guideway systems and buses on high occupancy vehicle (HOV) lanes.

**Rural Area Formula.**—\$608 million. For formula grants to provide funds for capital, planning and operating assistance grants for transit service implemented by States in rural areas with populations of less than 50,000. Funding may also be used to support intercity bus service. Additionally, under MAP-21, Rural Area grants may be used to support Job Access and Reverse Commute activities. Within this amount, MAP-21 also includes \$25 million in formula funds and \$5 million in discretionary grant funds to support the Public Transportation on Indian Reservations program and \$20 million to support the Appalachian Development Public Transportation Assistance Formula Program.

**Growing States and High Density States.**—\$526 million. For funds that are divided between the Urban and Rural Area programs based on the legislative funding formula for this program.

**Enhanced Mobility of Seniors and Individuals with Disabilities.**—\$258 million. Supports local governments and public and private transportation providers that serve special needs of these specific transit-dependent populations beyond traditional public transportation services, including complementary paratransit service. This program is a consolidation of the New Freedom and Elderly and Disabled grant programs authorized by SAFETEA-LU.

**Bus and Bus Facility Formula Grants.**—\$428 million. For formula funding to replace, rehabilitate, and purchase buses and related equipment, and to construct bus-related facilities. This program replaces the Section 5309 Bus and Bus Facilities discretionary grant program authorized by SAFETEA-LU. Under MAP-21, States may use these funds to supplement Urbanized Area and Rural Area formula grant programs.

**Bus Testing Facility.**—\$3 million. Funding supports a facility where all new bus models purchased using FTA capital assistance will be tested for compliance with performance standards for safety, structural integrity, reliability, performance (including braking performance) maintainability, emissions, noise and fuel economy. Under Map-21, FTA must develop a Pass/Fail rating system for buses. FTA grantees will not be able use Federal funds to purchase buses that do not receive a "pass" rating.

**Planning Programs.**—\$129 million. Funding supports cooperative, continuous, and comprehensive transportation infrastructure investment planning. The program requires that all Metropolitan Planning Organizations (MPOs) and States develop performance-driven, outcome-based transportation plans.

**Transit Oriented Development Pilot.**—\$10 million. This new pilot program funds planning for projects that support transit-oriented development associated with new fixed-guideway and core capacity improvement projects.

**National Transit Institute.**—\$5 million. To fund projects that enable FTA to partner with higher education to develop and provide training and educational programs to transit employees and others engaged in providing public transit services.

**National Transit Data Base (NTD).**—\$4 million. For operation and maintenance of the NTD, a database of nationwide statistics on the transit industry, which FTA is legally required to maintain under 49 U.S.C. 5335(a)(1)(2). NTD data serves as the basis for FTA formula grant apportionments and is used to track the condition and performance of our Nation's transit network.

Object Classification (in millions of dollars)

Identification code 69-8350-0-7-401		2012 actual	2013 CR	2014 est.
Direct obligations:				
25.2	Other services from non-Federal sources .....	69	81	62
41.0	Grants, subsidies, and contributions .....	9,533	9,475	10,063
99.9	Total new obligations .....	9,602	9,556	10,125

ADMINISTRATIVE PROVISIONS—FEDERAL TRANSIT ADMINISTRATION

**SEC. 160.** *The limitations on obligations for the programs of the Federal Transit Administration shall not apply to any authority under 49 U.S.C. 5338, previously made available for obligation, or to any other authority previously made available for obligation.*

**SEC. 161.** *Funds appropriated or limited by this Act under the heading "Fixed Guideway Capital Investment" of the Federal Transit Administration for projects specified in this Act or identified in reports accompanying this Act not obligated by September 30, 2018, and other recoveries, may be directed to any project eligible under 49 U.S.C. 5309.*

**SEC. 162.** *Any funds appropriated before October 1, 2012, under any section of chapter 53 of title 49, United States Code, that remain available for expenditure, may be transferred to and administered under the most recent appropriation heading for any such section.*

**SEC. 163.** *Unobligated and recovered fiscal year 2006 through 2012 funds that were made available to carry out 49 U.S.C. 5339 shall be available to carry out 49 U.S.C. 5309, as amended by Public Law 112-141, subject to the terms and conditions required under such section.*

**SEC. 164.** *For purposes of applying the project justification and local financial commitment criteria of 49 U.S.C. 5309(d) to a New Starts project, the Secretary may consider the costs and ridership of any connected project in an instance in which private parties are making significant financial contributions to the construction of the connected project; additionally, the Secretary may consider the significant financial contributions of private parties to the connected project in calculating the non-Federal share of net capital project costs for the New Starts project.*

**SEC. 165.** *New fixed guideway capital bus projects recommended in the President's Budget submission to the Congress of the United States for funds appropriated under the heading "Capital Investment Grants" in this Act or any other Act shall be funded from amounts made available under that heading or unobligated and recovered fiscal year 2012 and prior year funds that were made available to carry out the discretionary bus and bus facilities program of the Federal Transit Administrations: Provided, That all such projects shall remain subject to the Capital Investment Grants Program requirements of 49 U.S.C. 5309 for New Starts, Small Starts or Core Capacity projects as applicable.*

**SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION**

**Federal Funds**

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

*The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control*

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION—Continued  
*Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69–4089–0–3–403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Operations and maintenance .....	16	17	18
0802 Replacements and improvements .....	17	16	16
0900 Total new obligations .....	33	33	34
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	15	15
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	33	33	34
1850 Spending auth from offsetting collections, mand (total) .....	33	33	34
1930 Total budgetary resources available .....	48	48	49
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	27	24
3010 Obligations incurred, unexpired accounts .....	33	33	34
3020 Outlays (gross) .....	–29	–36	–36
3050 Unpaid obligations, end of year .....	27	24	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	27	24
3200 Obligated balance, end of year .....	27	24	22
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	33	33	34
Outlays, gross:			
4100 Outlays from new mandatory authority .....	17	33	34
4101 Outlays from mandatory balances .....	12	3	2
4110 Outlays, gross (total) .....	29	36	36
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	–32	–32	–33
4123 Non-Federal sources .....	–1	–1	–1
4130 Offsets against gross budget authority and outlays (total) ....	–33	–33	–34
4170 Outlays, net (mandatory) .....	–4	3	2
4190 Outlays, net (total) .....	–4	3	2

The Saint Lawrence Seaway Development Corporation (SLSDC) is a wholly-owned U.S. Government corporation responsible for the operation, maintenance, and development of the U.S. portion of the St. Lawrence Seaway between Montreal and mid Lake Erie. The St. Lawrence Seaway is a binational waterway and lock transportation system for the efficient and economic movement of commercial cargoes to and from the Great Lakes Region of North America. SLSDC works with its Canadian counterpart agency (the St. Lawrence Seaway Management Corporation) to ensure the reliability, safety, and security of the locks and waterway and the uninterrupted flow of maritime commerce through the system.

Appropriations from the Harbor Maintenance Trust Fund, and revenues from other non-Federal sources, are used to finance operational and capital asset renewal needs for the U.S. portion of the St. Lawrence Seaway.

**Balance Sheet** (in millions of dollars)

Identification code 69–4089–0–3–403	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	24	29
Other Federal assets:		
1801 Cash and other monetary assets .....	12	11
1803 Property, plant and equipment, net .....	85	91
1901 Other assets .....	4	5
1999 Total assets .....	125	136
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	7	5
2206 Pension and other actuarial liabilities .....	4	4
2999 Total liabilities .....	11	9
<b>NET POSITION:</b>		
3100 Invested Capital .....	100	106
3300 Cumulative results of operations .....	14	21
3999 Total net position .....	114	127
4999 Total liabilities and net position .....	125	136

**Object Classification** (in millions of dollars)

Identification code 69–4089–0–3–403	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	10	11	11
12.1 Civilian personnel benefits .....	3	3	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	1
32.0 Land and structures .....	13	15	15
99.0 Reimbursable obligations .....	31	33	34
99.5 Below reporting threshold .....	2		
99.9 Total new obligations .....	33	33	34

**Employment Summary**

Identification code 69–4089–0–3–403	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	127	144	144

**Trust Funds**

**OPERATIONS AND MAINTENANCE**

(HARBOR MAINTENANCE TRUST FUND)

*For necessary expenses to conduct the operations, maintenance, and capital asset renewal activities of those portions of the St. Lawrence Seaway owned, operated, and maintained by the Saint Lawrence Seaway Development Corporation, \$32,855,000, to be derived from the Harbor Maintenance Trust Fund, pursuant to Public Law 99–662.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69–8003–0–7–403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operations and maintenance .....	32	32	33
0900 Total new obligations (object class 25.3) .....	32	32	33
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	32	32	33
1160 Appropriation, discretionary (total) .....	32	32	33
1930 Total budgetary resources available .....	32	32	33

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	32	32
3020	Outlays (gross) .....	-32	-32
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	32	32
Outlays, gross:			
4010	Outlays from new discretionary authority .....	32	32
4180	Budget authority, net (total) .....	32	32
4190	Outlays, net (total) .....	32	32

The Water Resources Development Act of 1986 authorizes use of the Harbor Maintenance Trust Fund as an appropriation source for the Saint Lawrence Seaway Development Corporation's operating and capital asset renewal programs.

**PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION**

The following table depicts funding for all the Pipeline and Hazardous Materials Safety Administration programs.

	[In millions of dollars]		
	2012 Actual	2013 Annual CR	2014 Est.
<b>Budget authority:</b>			
Operational Expenses .....	20	20	20
Hazardous Materials Safety .....	42	43	52
Research and Special Programs .....	0	0	0
Emergency Preparedness Grants .....	18	28	28
Pipeline Safety .....	92	92	136
Pipeline Safety Share of Oil Spill Liability Trust Fund .....	19	19	19
<b>Total budget authority .....</b>	<b>191</b>	<b>202</b>	<b>255</b>
<b>Program level (obligations):</b>			
Operational Expenses .....	20	20	20
Hazardous Materials Safety .....	43	46	52
Research and Special Programs .....	0	0	0
Emergency Preparedness Grants .....	22	28	28
Pipeline Safety .....	101	115	136
Pipeline Safety Share of Oil Spill Liability Trust Fund .....	19	19	19
<b>Total program level .....</b>	<b>205</b>	<b>228</b>	<b>255</b>
<b>Outlays:</b>			
Operational Expenses .....	18	21	20
Hazardous Materials Safety .....	43	45	49
Research and Special Programs .....	0	0	0
Emergency Preparedness Grants .....	22	46	25
Pipeline Safety .....	71	105	114
Pipeline Safety Share of Oil Spill Liability Trust Fund .....	20	20	19
<b>Total outlays .....</b>	<b>174</b>	<b>237</b>	<b>227</b>

**Federal Funds**

**HAZARDOUS MATERIALS SAFETY**

(HAZARDOUS MATERIALS APPROVALS AND PERMITS FUND)

For expenses necessary to discharge the hazardous materials safety functions of the Pipeline and Hazardous Materials Safety Administration, \$51,801,000, of which \$2,300,000 shall remain available until September 30, 2016: Provided, That amounts collected from special permits and approval fees established in this Act (estimated to be \$12,000,000 in fiscal year 2014), shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting receipts are received during fiscal year 2014, so as to result in a final fiscal year 2014 appropriation from the general fund estimated at \$39,801,000: Provided further, That during fiscal year 2014, should the total amount of offsetting receipts be less than \$12,000,000, this amount shall be reduced accordingly: Provided further, That any amount received in excess of \$12,000,000 in fiscal year 2014 shall remain available until expended: Provided further, That up to \$800,000 in fees

collected under 49 U.S.C. 5108(g) shall be deposited in the general fund of the Treasury as offsetting receipts: Provided further, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training, for reports publication and dissemination, and for travel expenses incurred in performance of hazardous materials exemptions and approvals functions.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 69–1401–0–1–407	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0260 Hazardous Materials Approvals and Permits Fund .....			12
0400 Total: Balances and collections .....			12
0799 Balance, end of year .....			12

**Program and Financing** (in millions of dollars)

Identification code 69–1401–0–1–407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Hazardous materials safety .....	42	46	52
0801 Reimbursable program .....	1		
0900 Total new obligations .....	43	46	52
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1		
1050 Unobligated balance (total) .....	4	4	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	42	42	52
1160 Appropriation, discretionary (total) .....	42	42	52
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	43	42	52
1930 Total budgetary resources available .....	47	46	52
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	12	14
3010 Obligations incurred, unexpired accounts .....	43	46	52
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-45	-44	-49
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	12	14	17
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	13	12	14
3200 Obligated balance, end of year .....	12	14	17
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	43	42	52
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	32	29	35
4011 Outlays from discretionary balances .....	13	15	14
4020 Outlays, gross (total) .....	45	44	49
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1		
4180 Budget authority, net (total) .....	42	42	52
4190 Outlays, net (total) .....	44	44	49

The Pipeline and Hazardous Materials Safety Administration (PHMSA) is responsible for advancing the safe transportation of

HAZARDOUS MATERIALS SAFETY—Continued

hazardous materials, based on a comprehensive technical and analytical foundation to ensure that resources are effectively applied to minimize fatalities and injuries, mitigate the consequences of incidents that occur, and enhance safety. The program carries out its mission based on a foundation of five Cs: Classifying hazardous materials to ensure they are packaged and handled safely during transportation; Containing hazardous materials properly in accordance with the Hazardous Materials Regulations for shippers; Communicating to transportation workers and emergency responders regarding the hazards of the materials being transported; ensuring Compliance with the Hazardous Materials Regulations by enforcing safety standards, investigating incidents/failures and educating stakeholders; and Crisis Management to mitigate the consequences of hazardous materials incidents through grant and outreach programs.

Object Classification (in millions of dollars)

Identification code 69-1401-0-1-407	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	17	18	19
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	18	18	19
12.1 Civilian personnel benefits .....	5	5	6
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	
24.0 Printing and reproduction .....	1		
25.1 Advisory and assistance services .....	7		10
25.2 Other services from non-Federal sources .....		9	
25.3 Other goods and services from Federal sources .....	4	3	6
25.5 Research and development contracts .....	2	6	2
25.7 Operation and maintenance of equipment .....	2		2
31.0 Equipment .....			1
99.0 Direct obligations .....	42	46	50
99.0 Reimbursable obligations .....	1		
99.5 Below reporting threshold .....			2
99.9 Total new obligations .....	43	46	52

Employment Summary

Identification code 69-1401-0-1-407	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	174	190	190

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION

OPERATIONAL EXPENSES

(PIPELINE SAFETY FUND)

(INCLUDING TRANSFER OF FUNDS)

For necessary operational expenses of the Pipeline and Hazardous Materials Safety Administration, \$21,654,000, of which \$639,000 shall be derived from the Pipeline Safety Fund: Provided, That \$1,500,000 shall be transferred to "Pipeline Safety" in order to fund "Pipeline Safety Information Grants to Communities" as authorized under section 60130 of title 49, United States Code.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69-1400-0-1-407	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity .....	20	20	20

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	20	20	21
1120 Appropriations transferred to other accts [69-5172] .....	-1	-1	-2
1121 Appropriations transferred from other accts [69-5172] .....	1	1	1
1160 Appropriation, discretionary (total) .....	20	20	20
1900 Budget authority (total) .....	20	20	20
1930 Total budgetary resources available .....	20	20	20

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	7	6
3010 Obligations incurred, unexpired accounts .....	20	20	20
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-18	-21	-20
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	7	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	7	6
3200 Obligated balance, end of year .....	7	6	6

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	20	20	20
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	14	14
4011 Outlays from discretionary balances .....	4	7	6
4020 Outlays, gross (total) .....	18	21	20
4180 Budget authority, net (total) .....	20	20	20
4190 Outlays, net (total) .....	18	21	20

The success of the Pipeline and Hazardous Materials Safety Administration (PHMSA) safety programs depends on the performance of support organizations that empower the program offices to meet their safety mandate. These support organizations within PHMSA include the Administrator, Deputy Administrator, Assistant Administrator/Chief Safety Officer, Chief Counsel, Governmental, International and Public Affairs, Associate Administrator for Administration/Chief Financial Officer, Information Technology Services, Administrative Services, Budget and Finance, Contracts and Procurement, Human Resources and Civil Rights.

Object Classification (in millions of dollars)

Identification code 69-1400-0-1-407	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	7	8	8
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	8	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
25.7 Operation and maintenance of equipment .....	5	5	5
31.0 Equipment .....	1	1	
99.0 Direct obligations .....	20	20	19
99.5 Below reporting threshold .....			1
99.9 Total new obligations .....	20	20	20

Employment Summary

Identification code 69-1400-0-1-407	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	67	70	70
2001 Reimbursable civilian full-time equivalent employment .....	2	11	11

PIPELINE SAFETY  
(PIPELINE SAFETY FUND)

(OIL SPILL LIABILITY TRUST FUND)

(PIPELINE SAFETY DESIGN REVIEW FUND)

For expenses necessary to conduct the functions of the pipeline safety program, for grants-in-aid to carry out a pipeline safety program, as authorized by 49 U.S.C. 60107, and to discharge the pipeline program responsibilities of the Oil Pollution Act of 1990, \$153,573,000, of which \$18,573,000 shall be derived from the Oil Spill Liability Trust Fund and shall remain available until September 30, 2016; and of which \$133,000,000 shall be derived from the Pipeline Safety Fund, of which \$82,569,000 shall remain available until September 30, 2016; and of which \$2,000,000, to remain available until expended, shall be derived from the Pipeline Safety Design Review Fund, as established in the Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 (Public Law 112-90).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 69-5172-0-2-407	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	41	40	39
Receipts:			
0260 Pipeline Safety Fund	90	91	134
0261 Pipeline Safety Design Review Fund			2
0299 Total receipts and collections	90	91	136
0400 Total: Balances and collections	131	131	175
Appropriations:			
0500 Pipeline Safety	-91	-92	-136
0799 Balance, end of year	40	39	39

Program and Financing (in millions of dollars)

Identification code 69-5172-0-2-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operations	63	77	82
0002 Research and development	8	11	12
0003 Grants	49	46	61
0900 Total new obligations	120	134	155
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	24	23	
1021 Recoveries of prior year unpaid obligations	9		
1050 Unobligated balance (total)	33	23	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	91	92	136
1120 Appropriations transferred to other accts [69-1400]	-1	-1	-1
1121 Appropriations transferred from other accts [69-1400]	1	1	2
1160 Appropriation, discretionary (total)	91	92	137
Spending authority from offsetting collections, discretionary:			
1700 Collected	20	19	19
1701 Change in uncollected payments, Federal sources	-1		
1750 Spending auth from offsetting collections, disc (total)	19	19	19
1900 Budget authority (total)	110	111	156
1930 Total budgetary resources available	143	134	156
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	23		1
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	3	2	3
1953 Expired unobligated balance, end of year	2	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	61	80	90
3010 Obligations incurred, unexpired accounts	120	134	155
3011 Obligations incurred, expired accounts	2		
3020 Outlays (gross)	-91	-124	-132

3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	80	90	113
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-15	-14	-14
3070 Change in uncollected pymts, Fed sources, unexpired	1		
3090 Uncollected pymts, Fed sources, end of year	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	46	66	76
3200 Obligated balance, end of year	66	76	99

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	110	111	156
Outlays, gross:			
4010 Outlays from new discretionary authority	39	55	76
4011 Outlays from discretionary balances	52	69	56
4020 Outlays, gross (total)	91	124	132
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-20	-19	-19
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1		
4070 Budget authority, net (discretionary)	91	92	137
4080 Outlays, net (discretionary)	71	105	113
4180 Budget authority, net (total)	91	92	137
4190 Outlays, net (total)	71	105	113

The Pipeline and Hazardous Materials Safety Administration (PHMSA) is responsible for the Department's Pipeline Safety program. PHMSA provides safety oversight of the Nation's 2.6 million miles of gas and hazardous liquid pipelines, which are owned and operated by private industry. PHMSA, and its state pipeline safety partners, use a data-driven process to address the risks pipelines pose to the public and the environment. This includes data analysis, damage prevention, education and training, enforcement of regulations and standards, research and development, grants for States' pipeline safety programs and emergency planning for response to accidents.

Object Classification (in millions of dollars)

Identification code 69-5172-0-2-407	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent	21	21	24
12.1 Civilian personnel benefits	6	6	7
21.0 Travel and transportation	3	3	4
23.1 Rental payments to GSA	2	4	4
23.3 Communications, utilities, and miscellaneous charges - wcf	1	1	1
25.1 Advisory and assistance services	14	17	31
25.2 Other services from non-Federal sources		6	2
25.3 Other goods and services from Federal sources	6	6	6
25.4 Operation and maintenance of facilities	1		
25.5 Research and development contracts	8	12	12
25.7 Operation and maintenance of equipment	8	10	
31.0 Equipment	1	1	3
41.0 Grants, subsidies, and contributions	48	46	61
99.0 Direct obligations	119	133	155
99.5 Below reporting threshold	1	1	
99.9 Total new obligations	120	134	155

Employment Summary

Identification code 69-5172-0-2-407	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	195	215	242

EMERGENCY PREPAREDNESS GRANTS

(EMERGENCY PREPAREDNESS FUND)

For necessary expenses to carry out 49 U.S.C. 5128(b), \$188,000, to be derived from the Emergency Preparedness Fund, to remain available until September 30, 2015: Provided, That not more than \$28,318,000 shall

**EMERGENCY PREPAREDNESS GRANTS—Continued**

be made available for obligation in fiscal year 2014 from amounts made available by 49 U.S.C. 5116(i) and 5128(b)-(c): Provided further, That none of the funds made available by 49 U.S.C. 5116(i), 5128(b), or 5128(c) shall be made available for obligation by individuals other than the Secretary of Transportation, or his designee.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 69-5282-0-2-407	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	20	27	27
Adjustments:			
0190 Adjustment - add'l receipts needed for prior year adjustment of obligations .....	-5		
0199 Balance, start of year .....	15	27	27
Receipts:			
0220 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Emergency Preparedness Grants .....	30	28	28
0400 Total: Balances and collections .....	45	55	55
Appropriations:			
0500 Emergency Preparedness Grants .....	-30	-28	-28
0501 Emergency Preparedness Grants .....	12		
0599 Total appropriations .....	-18	-28	-28
0799 Balance, end of year .....	27	27	27

**Program and Financing** (in millions of dollars)

Identification code 69-5282-0-2-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants .....	17	22	22
0002 Competitive Training Grants .....	3	4	3
0003 Supplemental Training Grants .....	1	1	1
0004 Operations .....	1	1	2
0900 Total new obligations .....	22	28	28
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	4		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	30	28	28
1235 Appropriations precluded from obligation .....	-12		
1260 Appropriations, mandatory (total) .....	18	28	28
1930 Total budgetary resources available .....	22	28	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	39	40	23
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	5		
3010 Obligations incurred, unexpired accounts .....	22	28	28
3020 Outlays (gross) .....	-22	-45	-25
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050 Unpaid obligations, end of year .....	40	23	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	44	40	23
3200 Obligated balance, end of year .....	40	23	26
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	18	28	28
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	10	10
4101 Outlays from mandatory balances .....	20	35	15
4110 Outlays, gross (total) .....	22	45	25
4180 Budget authority, net (total) .....	18	28	28
4190 Outlays, net (total) .....	22	45	25

Federal hazardous material law (49 U.S.C. 5101 et seq.) established a national registration program for shippers and carriers of hazardous materials in 1992. The law also established collection of fees from registrants. These fees finance emergency preparedness planning and training grants; development of training curriculum guidelines for emergency responders and technical assistance to States, political subdivisions, and American Indian Tribes; publication and distribution of the Emergency Response Guidebook; and administrative costs for operating the program.

**Object Classification** (in millions of dollars)

Identification code 69-5282-0-2-407	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	21	27	26
99.5 Below reporting threshold .....	1	1	2
99.9 Total new obligations .....	22	28	28

**Trust Funds**

**TRUST FUND SHARE OF PIPELINE SAFETY**

**Program and Financing** (in millions of dollars)

Identification code 69-8121-0-7-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Trust fund share of pipeline safety .....	19	19	19
0900 Total new obligations (object class 94.0) .....	19	19	19
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	19	19	19
1160 Appropriation, discretionary (total) .....	19	19	19
1930 Total budgetary resources available .....	19	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	15	13
3010 Obligations incurred, unexpired accounts .....	19	19	19
3020 Outlays (gross) .....	-20	-21	-19
3050 Unpaid obligations, end of year .....	15	13	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	15	13
3200 Obligated balance, end of year .....	15	13	13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	19	19	19
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	9	9
4011 Outlays from discretionary balances .....	15	12	10
4020 Outlays, gross (total) .....	20	21	19
4180 Budget authority, net (total) .....	19	19	19
4190 Outlays, net (total) .....	20	21	19

The Oil Pollution Act of 1990 requires the preparation of spill response plans by operators that store, handle, or transport oil to minimize the environmental impact of oil spills and to improve public and private sector response. The Pipeline and Hazardous Materials Safety Administration (PHMSA) reviews response plans submitted by operators of onshore oil pipelines to ensure the plans comply with PHMSA regulations. These plans also must be regularly updated by the operator and submitted for subsequent review by PHMSA. PHMSA also seeks to improve oil spill preparedness and response through data analysis, spill monitoring, mapping pipelines in areas unusually sensitive to environmental damage, and advanced technologies to detect and

prevent leaks from hazardous liquid pipelines. These and related activities are funded in part by the Oil Spill Liability Trust Fund.

ADMINISTRATIVE PROVISIONS—PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION

SEC. 1. Establishment. (a) There is established a Hazardous Materials Approvals and Permits fund for the administration of special permits and approvals. (b) The Secretary of Transportation shall collect a reasonable fee, to the extent and in such amounts as provided in advance in appropriations acts, for the administration of special permits and approvals, as specified in paragraph (c) below, which shall be deposited in the fund established in paragraph (a). (c) For 2014, fees for permits and approvals shall be as follows: (1) New Special Permits: \$3,000 per application, under 49 C.F.R. 107.105. (2) Modification of a Special Permit: \$3,000 per application modification, under 49 C.F.R. 107.121. (3) Renewal Special Permit: \$1,000 per application, under 49 C.F.R. 107.109. (4) Party Status Special Permit: \$1,000 per application, under 49 C.F.R. 107.107. (5) Cylinder Manufacturer Approvals: \$3,000 per application for approval, under 49 C.F.R. 107.805. (6) All Other Approvals: \$700 per application, under 49 C.F.R. 107 Subpart H and Subpart I.

SEC. 2. Subsection(i)(4) of section 5116 of title 49, United States Code, is amended to read as follows: "(4) to pay administrative costs of carrying out this section and sections 5108 (g)(2) and 5115 of this title, except that not more than 4 percent of the amounts made available from the account in a fiscal year may be used to pay those costs".

OFFICE OF INSPECTOR GENERAL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of the Inspector General to carry out the provisions of the Inspector General Act of 1978, as amended, \$85,605,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the Department: Provided further, That the funds made available under this heading may be used to investigate, pursuant to section 41712 of title 49, United States Code: (1) unfair or deceptive practices and unfair methods of competition by domestic and foreign air carriers and ticket agents; and (2) the compliance of domestic and foreign air carriers with respect to item (1) of this proviso.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 69–0130–0–1–407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 General administration .....	80	80	86
0102 ARRA oversight administration .....	4	4	
0103 Disaster Relief and Oversight FY 2013 .....		1	2
0900 Total new obligations .....	84	85	88

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	80	80	86
1121 Appropriations transferred from other accts [69–1140] .....		6	
1160 Appropriation, discretionary (total) .....	80	86	86
1900 Budget authority (total) .....	80	86	86
1930 Total budgetary resources available .....	88	90	91

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	5	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	8	8
3010 Obligations incurred, unexpired accounts .....	84	85	88
3020 Outlays (gross) .....	–86	–85	–88
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	8	8	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	8	8
3200 Obligated balance, end of year .....	8	8	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	80	86	86
Outlays, gross:			
4010 Outlays from new discretionary authority .....	73	73	77
4011 Outlays from discretionary balances .....	13	12	11
4020 Outlays, gross (total) .....	86	85	88
4180 Budget authority, net (total) .....	80	86	86
4190 Outlays, net (total) .....	86	85	88

The Department of Transportation (DOT) Inspector General conducts independent audits, investigations and evaluations to promote economy, efficiency and effectiveness in the administration of DOT programs and operations, including contracts, grants, and financial management; and, to prevent and detect fraud, waste, and abuse in such activities. This appropriation provides funds to enable the Office of the Inspector General to perform these oversight responsibilities in accordance with the Inspector General Act of 1978, as Amended (5 U.S.C. App. 3).

Object Classification (in millions of dollars)

Identification code 69–0130–0–1–407	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	44	44	45
11.3 Other than full-time permanent .....	2	1	1
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	49	48	49
12.1 Civilian personnel benefits .....	16	16	16
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	5	5	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	3	4	4
25.3 Other goods and services from Federal sources .....	5	6	6
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	83	85	87
99.5 Below reporting threshold .....	1		1
99.9 Total new obligations .....	84	85	88

Employment Summary

Identification code 69–0130–0–1–407	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	443	420	422

SURFACE TRANSPORTATION BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, \$30,775,000: Provided, That notwithstanding any other provision of law, not to exceed \$1,250,000 from fees established by the Chairman of the Surface Transportation Board shall be credited to this appropriation as offsetting collections and used for necessary and authorized expenses under this heading: Provided further, That the sum herein appropriated from the general fund shall be

SALARIES AND EXPENSES—Continued

reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year 2014, to result in a final appropriation from the general fund estimated at no more than \$29,525,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69–0301–0–1–401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Rail carriers .....	27	27	29
0002 Other surface transportation carriers .....	1	1	1
0100 Total direct obligations .....	28	28	30
0799 Total direct obligations .....	28	28	30
0812 Reimbursable rail carriers .....	1	1	1
0900 Total new obligations .....	29	29	31
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29	28	30
1131 Unobligated balance of appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	28	28	30
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	29	29	31
1930 Total budgetary resources available .....	30	30	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 Obligations incurred, unexpired accounts .....	29	29	31
3020 Outlays (gross) .....	-29	-29	-31
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	29	29	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26	26	28
4011 Outlays from discretionary balances .....	3	3	3
4020 Outlays, gross (total) .....	29	29	31
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	28	28	30
4190 Outlays, net (total) .....	28	28	30

The Surface Transportation Board (the Board) was created on January 1, 1996, by P.L. 104–88, the Interstate Commerce Commission Termination Act of 1995 (ICCTA). The Board is specifically responsible for the regulation of the rail and pipeline industries and certain non-licensing regulation of motor carriers and water carriers.

**Rail Carriers.**—This regulatory oversight encompasses the regulation of rates, mergers and acquisitions, construction, and abandonment of railroad lines, as well as the planning, analysis, and policy development associated with these activities.

**Other Surface Transportation Carriers.**—This regulatory oversight includes certain regulation of the intercity bus industry

and surface pipeline carriers as well as the rate regulation of water transportation in the non-contiguous domestic trade, household-good carriers, and collectively determined motor rates.

**Fiscal Year 2014 Program.**—\$30,775,000 is requested to implement rulemakings and adjudicate the ongoing caseload within the directives and deadlines set forth by the ICCTA. This includes a request for \$1,250,00 from offsetting collections of user fees.

The following paragraph is presented in compliance with Section 703 of the ICCTA. It is presented without change or correction.

**The Board's Request to the Office of Management and Budget (OMB).**—The Board had submitted to the Secretary of Transportation and the OMB a 2014 appropriation request of \$34,284,000 and a request that \$1,250,000 from the offsetting collection of user fees be made available to the Board to operate at 170 full time equivalents. The offsetting collection of user fees is based on the costs incurred by the Board for fee-related activities and is commensurate with the costs of processing parties' submissions. In past fiscal years, the Board received both an appropriation and authorization for offsetting collections to be made available to the appropriation for the Board's expenses. The 2014 Budget request reflects offsetting collections as a credit to the appropriation received, to the extent that they are collected.

This level of funding is necessary to implement rulemakings and adjudicate the ongoing caseload within the deadlines imposed by ICCTA. The Board requires adequate resources to perform key functions under the ICCTA, including rail rate reasonableness oversight; the processing of rail consolidations, abandonments, and other restructuring proposals; and the resolution of non-rail matters. This request also includes staffing and resources required to implement the Board's expanded jurisdiction with respect to regulation of passenger rail service under the Passenger Rail Investment and Improvement Act of 2008 (P.L. No. 110–432) and the enhancement of the Board's audit program to monitor the financial condition of the Nation's railroads.

Object Classification (in millions of dollars)

Identification code 69–0301–0–1–401	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	16	16	17
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	17	17	18
12.1 Civilian personnel benefits .....	4	4	5
23.1 Rental payments to GSA .....	4	4	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
99.0 Direct obligations .....	28	28	30
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	29	29	31

Employment Summary

Identification code 69–0301–0–1–401	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	129	140	144
2001 Reimbursable civilian full-time equivalent employment .....	5	9	9

MARITIME ADMINISTRATION

Federal Funds

OPERATIONS AND TRAINING

For necessary expenses of operations and training activities authorized by law, \$152,168,000, of which \$11,100,000 shall remain available until expended for maintenance and repair of training ships at State Maritime Academies, and of which \$2,400,000 shall remain available through

September 30, 2015 for Student Incentive Program payments at State Maritime Academies, and of which \$4,000,000 shall remain available through September 30, 2015 for facilities maintenance, repairs, and equipment at the United States Merchant Marine Academy, and of which \$14,000,000 shall remain available until expended for capital improvements at the United States Merchant Marine Academy, and of which \$1,700,000 shall remain available until expended for port planning grants, and of which \$2,800,000 shall remain available until expended for custodial care and historic preservation of the N.S. Savannah: Provided, That amounts apportioned for the United States Merchant Marine Academy shall be available only upon allotments made personally by the Secretary of Transportation or the Assistant Secretary for Budget and Programs: Provided further, That the Superintendent, Deputy Superintendent and the Director of the Office of Resource Management of the United States Merchant Marine Academy may not be allotment holders for the United States Merchant Marine Academy, and the Administrator of the Maritime Administration shall hold all allotments made by the Secretary of Transportation or the Assistant Secretary for Budget and Programs under the previous proviso: Provided further, That 50 percent of the funding made available for the United States Merchant Marine Academy under this heading shall be available only after the Secretary, in consultation with the Superintendent and the Maritime Administrator, completes a plan detailing by program or activity how such funding will be expended at the Academy, and this plan is submitted to the House and Senate Committees on Appropriations.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69–1750–0–1–403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Merchant Marine Academy .....	101	109	81
0002 State marine schools .....	17	21	17
0003 MARAD operations .....	54	54	54
0004 Other Maritime Programs .....	2	11	.....
0100 Subtotal, Direct program .....	174	195	152
0799 Total direct obligations .....	174	195	152
0801 Reimbursable program .....	11	43	28
0900 Total new obligations .....	185	238	180
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	49	53	.....
1021 Recoveries of prior year unpaid obligations .....	21	.....	.....
1050 Unobligated balance (total) .....	70	53	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	156	157	152
1121 Appropriations transferred from other accts [69–1134] ....	5	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	160	157	152
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	28	28
1701 Change in uncollected payments, Federal sources .....	3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	10	28	28
1900 Budget authority (total) .....	170	185	180
1930 Total budgetary resources available .....	240	238	180
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	53	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	94	96	68
3010 Obligations incurred, unexpired accounts .....	185	238	180
3011 Obligations incurred, expired accounts .....	7	.....	.....
3020 Outlays (gross) .....	-161	-266	-190
3040 Recoveries of prior year unpaid obligations, unexpired .....	-21	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-8	.....	.....
3050 Unpaid obligations, end of year .....	96	68	58

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-24	-25	-25
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-25	-25	-25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	70	71	43
3200 Obligated balance, end of year .....	71	43	33
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	170	185	180
Outlays, gross:			
4010 Outlays from new discretionary authority .....	118	161	157
4011 Outlays from discretionary balances .....	43	105	33
4020 Outlays, gross (total) .....	161	266	190
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-8	-28	-28
4033 Non-Federal sources .....	-3	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-11	-28	-28
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
4052 Offsetting collections credited to expired accounts .....	4	.....	.....
4060 Additional offsets against budget authority only (total) .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	160	157	152
4080 Outlays, net (discretionary) .....	150	238	162
4180 Budget authority, net (total) .....	160	157	152
4190 Outlays, net (total) .....	150	238	162

The appropriation for Operations and Training provides funding for staff at headquarters and gateway offices to administer and direct Maritime Administration operations and training programs. Maritime Administration operations include planning for coordination of U.S. maritime industry activities under emergency conditions; technology assessments calculated to achieve advancements in ship design, construction and operation; and port and intermodal development to increase capacity and mitigate congestion in freight movements. Maritime training programs include the operation of the U.S. Merchant Marine Academy and financial assistance to the six State maritime academies.

The Operations and Training Budget request of \$152 million includes \$81 million for the United States Merchant Marine Academy, \$17 million for the State Maritime Academies, and \$54 million for maritime operations and programs at headquarters, storage of the obsolete nuclear-powered cargo-passenger ship *NS Savannah*, and MARAD gateway offices.

**Object Classification** (in millions of dollars)

Identification code 69–1750–0–1–403	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	40	38	39
11.3 Other than full-time permanent .....	6	4	4
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	47	44	45
12.1 Civilian personnel benefits .....	12	16	17
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.2 Other services from non-Federal sources .....	65	63	55
26.0 Supplies and materials .....	6	5	5
31.0 Equipment .....	5	3	4
32.0 Land and structures .....	31	45	14
41.0 Grants, subsidies, and contributions .....	.....	9	2
99.0 Direct obligations .....	175	195	152
99.0 Reimbursable obligations .....	10	43	28
99.9 Total new obligations .....	185	238	180

OPERATIONS AND TRAINING—Continued  
Employment Summary

Identification code 69–1750–0–1–403	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	464	496	499

## ASSISTANCE TO SMALL SHIPYARDS

## Program and Financing (in millions of dollars)

Identification code 69–1770–0–1–403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants for Capital Improvement for Small Shipyards .....	10	11	.....
0900 Total new obligations (object class 41.0) .....	10	11	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	.....
1160 Appropriation, discretionary (total) .....	10	10	.....
1930 Total budgetary resources available .....	11	11	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	23	.....
3010 Obligations incurred, unexpired accounts .....	10	11	.....
3020 Outlays (gross) .....	-13	-34	.....
3050 Unpaid obligations, end of year .....	23	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	23	.....
3200 Obligated balance, end of year .....	23	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	10	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	10	.....
4011 Outlays from discretionary balances .....	13	24	.....
4020 Outlays, gross (total) .....	13	34	.....
4180 Budget authority, net (total) .....	10	10	.....
4190 Outlays, net (total) .....	13	34	.....

The National Defense Authorization Act of 2006 authorized the Maritime Administration to make grants for capital and related improvements at eligible shipyard facilities that will foster efficiency, competitive operations, and quality ship construction, repair, and reconfiguration. Grant funds may also be used for maritime training programs to enhance technical skills and operational productivity in communities whose economies are related to or dependent upon the maritime industry.

No new funds are requested for 2014.

## SHIP DISPOSAL

For necessary expenses related to the disposal of obsolete vessels in the National Defense Reserve Fleet of the Maritime Administration, \$2,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 69–1768–0–1–403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ship disposal .....	10	12	8
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	13	7
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	17	13	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	2
1160 Appropriation, discretionary (total) .....	6	6	2
1930 Total budgetary resources available .....	23	19	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	7	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	4	5
3010 Obligations incurred, unexpired accounts .....	10	12	8
3020 Outlays (gross) .....	-13	-11	-4
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	4	5	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	4	5
3200 Obligated balance, end of year .....	4	5	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	3	1
4011 Outlays from discretionary balances .....	7	8	3
4020 Outlays, gross (total) .....	13	11	4
4180 Budget authority, net (total) .....	6	6	2
4190 Outlays, net (total) .....	13	11	4
<b>Object Classification (in millions of dollars)</b>			
Identification code 69–1768–0–1–403	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	1
25.3 Other goods and services from Federal sources .....	1	2	1
25.4 Operation and maintenance of facilities .....	7	8	6
99.9 Total new obligations .....	10	12	8

## Employment Summary

Identification code 69–1768–0–1–403	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	11	11	8

## MARITIME SECURITY PROGRAM

For necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, \$208,000,000, to remain available until expended, of which \$25,000,000 is to mitigate the impact on seelift capacity available to the Department of Defense under 46 U.S.C. 53102 of any fiscal year 2014 legislation that appropriates international food assistance funding for the headings "International Disaster Assistance", "Development Assistance", and the "Emergency Food Assistance Contingency Fund" in lieu of any new appro-

provision under Title II of the Food For Peace Act (Public Law 83–480), as amended: Provided, That these funds shall be used to address potential mariner losses due to reductions in the transportation of food assistance cargo on U.S.-flag vessels below the levels resulting from section 100124 of Public Law 112–141: Provided further, that for any funds used to preserve mariner employment on militarily-useful vessels not enrolled in the Maritime Security Program fleet, priority shall be given, in consultation with the Department of Defense, to eligible vessels under 46 U.S.C. 53102(b): Provided further, That these funds may be used to reimburse eligible costs for mariners to retain and or renew active United States Coast Guard issued merchant mariner credentials for unlimited oceans service on government or commercial ocean-going sealift vessels: Provided further, That such eligible costs shall be limited to mariner certifications to retain or renew active unlimited oceans credential status: Provided further, That these funds shall be made available to vessel owners, vessel operators, and mariners under terms and conditions established in regulations promulgated by the Secretary of Transportation in consultation with the Secretary of Defense.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 69–1711–0–1–054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Maritime security program .....	186	175	212
0900 Total new obligations (object class 41.0) .....	186	175	212
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	174	175	208
1160 Appropriation, discretionary (total) .....	174	175	208
1930 Total budgetary resources available .....	190	179	212
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	19	8
3010 Obligations incurred, unexpired accounts .....	186	175	212
3020 Outlays (gross) .....	–182	–186	–206
3050 Unpaid obligations, end of year .....	19	8	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	19	8
3200 Obligated balance, end of year .....	19	8	14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	174	175	208
Outlays, gross:			
4010 Outlays from new discretionary authority .....	168	163	193
4011 Outlays from discretionary balances .....	14	23	13
4020 Outlays, gross (total) .....	182	186	206
4180 Budget authority, net (total) .....	174	175	208
4190 Outlays, net (total) .....	182	186	206

The Maritime Security Program provides direct payments to U.S. flag ship operators engaged in foreign commerce to partially offset the higher operating costs of U.S. registry. The purpose of the program is to establish and sustain a fleet of active ships that are privately owned, commercially viable, and militarily useful to meet national defense and other emergency sealift requirements. Participating operators are required to make their ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency. Commercial transportation resources include ships, logistics management services, port terminal facilities, and U.S.

citizen merchant mariners to crew both commercial and government-owned merchant ships. The 2014 Budget requests \$183 million, to combine with the expected account balance, to fully fund the authorized per vessel stipends of \$3.1 million. The 2014 Budget proposes international food aid reform that is expected, over time, to reduce overall volumes of preference cargoes for agricultural commodities. Therefore, the Budget requests an additional \$25 million for supplemental stipends to ensure that the current number of militarily useful ships remain in the Maritime Security Program and to incentivize mariners to maintain their unlimited deep ocean credentials.

**OPERATING-DIFFERENTIAL SUBSIDIES**

**Program and Financing** (in millions of dollars)

Identification code 69–1709–0–1–403	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	11	
1021 Recoveries of prior year unpaid obligations .....	10		
1029 Other balances withdrawn .....		–11	
1050 Unobligated balance (total) .....	11		
1930 Total budgetary resources available .....	11		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10		
3040 Recoveries of prior year unpaid obligations, unexpired .....	–10		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10		

This program has been replaced by the Maritime Security Program. Final settlement of open contracts to close financial accounts was accomplished in 2013 and the remaining balance in the account was returned to the Treasury.

**OCEAN FREIGHT DIFFERENTIAL**

**Program and Financing** (in millions of dollars)

Identification code 69–1751–0–1–403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ocean freight differential - 20% Excess Freight .....	38	128	100
0002 Ocean Freight Differential - Incremental .....	9	6	
0003 Ocean freight differential - Interest to Treasury .....		1	
0900 Total new obligations (object class 22.0) .....	47	135	100
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	80	33	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	143	135	100
1236 Appropriations applied to repay debt .....	–143	–135	–100
Borrowing authority, mandatory:			
1400 Borrowing authority .....		102	100
1440 Borrowing authority, mandatory (total) .....		102	100
1900 Budget authority (total) .....		102	100
1930 Total budgetary resources available .....	80	135	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	33		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	47	135	100
3020 Outlays (gross) .....	–47	–135	–100

OCEAN FREIGHT DIFFERENTIAL—Continued  
Program and Financing—Continued

Identification code 69-1751-0-1-403	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		102	100
Outlays, gross:			
4100 Outlays from new mandatory authority .....		102	100
4101 Outlays from mandatory balances .....	47	33	
4110 Outlays, gross (total) .....	47	135	100
4180 Budget authority, net (total) .....		102	100
4190 Outlays, net (total) .....	47	135	100

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....		102	100
Outlays .....	47	135	100
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-50
Outlays .....			-50
Total:			
Budget Authority .....		102	50
Outlays .....	47	135	50

Ocean freight differential (OFD) is the amount reimbursed when the difference in ocean freight cost between U.S. flag vessels and foreign flag vessels exceeds 20 percent of specified program costs. When the Department of Agriculture (USDA) and the U.S. Agency for International Development (USAID) transport certain government-sponsored food aid shipments to international beneficiaries, the cargo preference provisions in 46 U.S.C. 55305 require that at least 50 percent of this cargo be shipped on U.S. flag vessels. The Maritime Administration (MARAD) is required to reimburse USDA and USAID for certain ocean freight costs associated with this U.S. flag vessel preference (46 U.S.C. 55316). The payment of this differential by the shipping agencies expands cargo opportunities, thereby encouraging ship operators to retain U.S. flag registry.

USDA and USAID pay all ocean shipping costs for international food assistance through the Commodity Credit Corporation. In any fiscal year in which shipping costs exceed 20 percent of total program costs (total shipping costs plus total value of commodities shipped), MARAD is required to reimburse shipping costs to the extent that they exceed 20 percent of the total program costs. The Commodity Credit Corporation receives these reimbursements from MARAD and returns them to USDA and USAID to fund additional food assistance procurements. MARAD is funded by mandatory appropriation of new borrowing authority commensurate with estimates for freight differential reimbursement in the budget year.

OCEAN FREIGHT DIFFERENTIAL  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 69-1751-2-1-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ocean freight differential - 20% Excess Freight .....			-50
0900 Total new obligations (object class 22.0) .....			-50
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-50
1236 Appropriations applied to repay debt .....			50

<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....			-50
1440 Borrowing authority, mandatory (total) .....			-50
1900 Budget authority (total) .....			-50
1930 Total budgetary resources available .....			-50

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-50
3020 Outlays (gross) .....			50

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-50
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-50
4180 Budget authority, net (total) .....			-50
4190 Outlays, net (total) .....			-50

Funding estimates for new borrowing authority are reduced to an appropriation commensurate with estimated freight differential reimbursement declines that will result from the food aid program reform requested for P.L. 480 Title II food aid. The reduction shown is an upper bound estimate based on full implementation of the reform. The reform shifts P.L. 480 Title II food aid funding to accounts that, while subject to a 50 percent cargo preference rate on goods shipped from the U.S., are not subject to the provisions of 46 U.S.C. 5316(b)(1)(B), which provides for the reimbursement by the Maritime Administration to the United States Agency for International Development (USAID) of any amount by which freight is more than 20 percent of the combined commodity and freight costs on all P.L. 480 Title II shipments. While substantial levels of U.S. food will still be shipped by both the United States Department of Agriculture and by the USAID on U.S. flag vessels under the reform, when the reform is enacted, USAID will no longer receive freight differential reimbursements.

READY RESERVE FORCE

Program and Financing (in millions of dollars)

Identification code 69-1710-0-1-054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	395	345	345
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	43	21	36
1021 Recoveries of prior year unpaid obligations .....	9		
1050 Unobligated balance (total) .....	52	21	36
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	343	360	360
1701 Change in uncollected payments, Federal sources .....	21		
1750 Spending auth from offsetting collections, disc (total) .....	364	360	360
1930 Total budgetary resources available .....	416	381	396
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21	36	51

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	136	198	83
3010 Obligations incurred, unexpired accounts .....	395	345	345
3020 Outlays (gross) .....	-323	-460	-412
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	198	83	16
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-70	-82	-82
3070 Change in uncollected pymts, Fed sources, unexpired .....	-21		
3071 Change in uncollected pymts, Fed sources, expired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	-82	-82	-82

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	66	116	1
3200	Obligated balance, end of year .....	116	1	-66
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	364	360	360
Outlays, gross:				
4010	Outlays from new discretionary authority .....	213	324	324
4011	Outlays from discretionary balances .....	110	136	88
4020	Outlays, gross (total) .....	323	460	412
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-351	-360	-360
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-21		
4052	Offsetting collections credited to expired accounts .....	8		
4060	Additional offsets against budget authority only (total) .....	-13		
4080	Outlays, net (discretionary) .....	-28	100	52
4190	Outlays, net (total) .....	-28	100	52

The Ready Reserve Force fleet (RRF) is comprised of government-owned merchant ships within the National Defense Reserve Fleet that are maintained in an advanced state of surge sealift readiness for the transport of cargo to a given area of operation to satisfy combatant commanders' critical war fighting requirements. Resources for RRF vessel maintenance, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the National Defense Sealift Fund.

**Object Classification** (in millions of dollars)

Identification code 69-1710-0-1-054	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	395	345	345

**Employment Summary**

Identification code 69-1710-0-1-054	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	321	333	333

**VESSEL OPERATIONS REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 69-4303-0-3-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Vessel operations .....	22	25	25
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	52	59	54
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	59	59	54
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	26	20	11
1701 Change in uncollected payments, Federal sources .....	-4		
1750 Spending auth from offsetting collections, disc (total) .....	22	20	11
1930 Total budgetary resources available .....	81	79	65
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	59	54	40

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	15	21
3010 Obligations incurred, unexpired accounts .....	22	25	25
3020 Outlays (gross) .....	-19	-19	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	15	21	34

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-16	-16	-16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1	-1	5
3200 Obligated balance, end of year .....	-1	5	18

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	22	20	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	18	10
4011 Outlays from discretionary balances .....	18	1	2
4020 Outlays, gross (total) .....	19	19	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-20	-11
4033 Non-Federal sources .....	-22		
4040 Offsets against gross budget authority and outlays (total) .....	-26	-20	-11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	4		
4080 Outlays, net (discretionary) .....	-7	-1	1
4190 Outlays, net (total) .....	-7	-1	1

This fund is authorized for the receipt of sales proceeds from the disposition of obsolete government-owned merchant vessels. Direct appropriations for the disposal of obsolete government-owned merchant vessels are provided to the Ship Disposal account.

The Maritime Administration is authorized to reactivate, maintain, operate, and deactivate government-owned merchant vessels comprising the National Defense Reserve Fleet (NDRF) and the Ready Reserve Force (RRF), a subset of the NDRF. Resources for RRF vessel maintenance, preservation, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the Department of Defense National Defense Sealift Fund. Through fiscal year 2010, interagency agreement transactions to fund and administer these programs were reflected in this fund. Beginning in fiscal year 2011, these interagency agreement transactions are instead reflected in the RRF account.

**Object Classification** (in millions of dollars)

Identification code 69-4303-0-3-403	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.4 Operation and maintenance of facilities .....	15	18	18
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	6	6	6
99.9 Total new obligations .....	22	25	25

**WAR RISK INSURANCE REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 69-4302-0-3-403	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	47	47	47
1930 Total budgetary resources available .....	47	47	47
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	47	47	47
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	44	29	45
5001 Total investments, EOY: Federal securities: Par value .....	29	45	45

The Maritime Administration is authorized to insure against war risk loss or damage to maritime operators until commercial

WAR RISK INSURANCE REVOLVING FUND—Continued  
insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity interim insurance, second seamen's war risk interim insurance, and the war risk cargo insurance standby program.

PORT OF GUAM IMPROVEMENT ENTERPRISE FUND

Program and Financing (in millions of dollars)

Identification code 69-5560-0-2-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Port of Guam Improvement Enterprise Program .....	2	48	
0100 Direct program activities, subtotal .....	2	48	
0900 Total new obligations (object class 25.3) .....	2	48	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50	48	
1930 Total budgetary resources available .....	50	48	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	48		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	
3010 Obligations incurred, unexpired accounts .....	2	48	
3020 Outlays (gross) .....	-1	-50	
3050 Unpaid obligations, end of year .....	2		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	-1
3200 Obligated balance, end of year .....	1	-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	50	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4190 Outlays, net (total) .....	1	50	

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For necessary administrative expenses of the maritime guaranteed loan program, \$2,655,000 shall be paid to the appropriation for "Operations and Training", Maritime Administration.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 69-1752-0-1-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....		38	
0707 Reestimates of loan guarantee subsidy .....	31	5	
0708 Interest on reestimates of loan guarantee subsidy .....	12	7	
0709 Administrative expenses .....	4	4	3
0900 Total new obligations .....	47	54	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	62	28	

1001 Discretionary unobligated balance brought fwd, Oct 1 .....	62	28	
1021 Recoveries of prior year unpaid obligations .....	1	10	
1050 Unobligated balance (total) .....	63	38	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	3
1131 Unobligated balance of appropriations permanently reduced .....	-35		
1160 Appropriation, discretionary (total) .....	-31	4	3
Appropriations, mandatory:			
1200 Appropriation .....	43	12	
1260 Appropriations, mandatory (total) .....	43	12	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	40		
1701 Change in uncollected payments, Federal sources .....	-40		
1900 Budget authority (total) .....	12	16	3
1930 Total budgetary resources available .....	75	54	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	59	10	
3010 Obligations incurred, unexpired accounts .....	47	54	3
3020 Outlays (gross) .....	-95	-54	-3
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-10	
3050 Unpaid obligations, end of year .....	10		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-40		
3070 Change in uncollected pymts, Fed sources, unexpired .....	40		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	10	
3200 Obligated balance, end of year .....	10		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-31	4	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	4	3
4011 Outlays from discretionary balances .....	48	38	
4020 Outlays, gross (total) .....	52	42	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-40		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	40		
4070 Budget authority, net (discretionary) .....	-31	4	3
4080 Outlays, net (discretionary) .....	12	42	3
Mandatory:			
4090 Budget authority, gross .....	43	12	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	43	12	
4180 Budget authority, net (total) .....	12	16	3
4190 Outlays, net (total) .....	55	54	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-1752-0-1-403	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215010 Risk Category 3 .....		138	
215011 Risk Category 4 .....		208	
215012 Risk Category 5 .....		75	
215999 Total loan guarantee levels .....		421	
Guaranteed loan subsidy (in percent):			
232010 Risk Category 3 .....		6.90	
232011 Risk Category 4 .....		9.14	
232012 Risk Category 5 .....		12.61	
232999 Weighted average subsidy rate .....		9.02	
Guaranteed loan subsidy budget authority:			
233010 Risk Category 3 .....		10	
233011 Risk Category 4 .....		19	
233012 Risk Category 5 .....		9	
233999 Total subsidy budget authority .....		38	
Guaranteed loan subsidy outlays:			
234010 Risk Category 3 .....		10	
234011 Risk Category 4 .....		19	
234012 Risk Category 5 .....	48	9	
234999 Total subsidy outlays .....	48	38	

Guaranteed loan upward reestimates:			
235014	Weighted Average Reestimates .....	43	11
235999	Total upward reestimate budget authority .....	43	11
Guaranteed loan downward reestimates:			
237014	Weighted Average Reestimates .....	-55	-37
237999	Total downward reestimate subsidy budget authority .....	-55	-37
Administrative expense data:			
3510	Budget authority .....	4	4
3590	Outlays from new authority .....	4	4

The Maritime Guaranteed Loan (Title XI) program provides for a full faith and credit guarantee of debt obligations issued by U.S or foreign shipowners to finance or refinance the construction, reconstruction, or reconditioning of U.S.-flag vessels or eligible export vessels in U.S. shipyards; or for a full faith and credit guarantee of debt obligations issued by U.S. shipyard owners to finance the modernization of shipbuilding technology at shipyards located in the United States. As required by the Federal Credit Reform Act of 1990, this account also includes the subsidy costs associated with loan guarantee commitments made in 1992 and subsequent years which are estimated on a present value basis. The account also reflects the administrative expenses of the program which are estimated on a cash basis. Funds for administrative expenses are appropriated to this account, then paid to the Maritime Administration's Operations and Training account. This appropriation will provide resources for the administrative expenses of the program, including management of the loan portfolio which has \$2 billion in loan guarantees and 46 guarantee contracts. No new subsidy funds for loan guarantees are requested for 2014.

**Object Classification** (in millions of dollars)

Identification code 69-1752-0-1-403			
	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2	Other services from non-Federal sources .....	4	4
41.0	Grants, subsidies, and contributions .....	43	50
99.9	Total new obligations .....	47	54

**MARITIME GUARANTEED LOAN (TITLE XI) FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 69-4304-0-3-999			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711	Default claim payments on principal .....	31	36
0712	Default claim payments on interest .....	1	1
0713	Payment of interest to Treasury .....	4	5
0715	Default related activity .....	1	10
0742	Downward reestimate paid to receipt account .....	28	20
0743	Interest on downward reestimates .....	27	18
0900	Total new obligations .....	60	85
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	195	249
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	18	20
1440	Borrowing authority, mandatory (total) .....	18	20
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	181	49
1825	Spending authority from offsetting collections applied to repay debt .....	-85	
1850	Spending auth from offsetting collections, mand (total) .....	96	49
1900	Financing authority (total) .....	114	69
1930	Total budgetary resources available .....	309	318

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	249	233
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	56
3010	Obligations incurred, unexpired accounts .....	60	85
3020	Financing disbursements (gross) .....	-61	-29
3050	Unpaid obligations, end of year .....		56
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	56
3200	Obligated balance, end of year .....		56

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	114	69
Financing disbursements:			
4110	Financing disbursements, gross .....	61	29
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Payments from program account - Upward Reestimate .....	-91	-49
4122	Interest on uninvested funds .....	-11	
4123	Loan Repayment .....	-79	
4130	Offsets against gross financing auth and disbursements (total) .....	-181	-49
4160	Financing authority, net (mandatory) .....	-67	20
4170	Financing disbursements, net (mandatory) .....	-120	-20
4180	Financing authority, net (total) .....	-67	20
4190	Financing disbursements, net (total) .....	-120	-20

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 69-4304-0-3-999			
	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131	Guaranteed loan commitments exempt from limitation .....		421
2150	Total guaranteed loan commitments .....		421
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	1,789	1,966
2231	Disbursements of new guaranteed loans .....	594	421
2251	Repayments and prepayments .....	-417	-391
2262	Adjustments: Terminations for default that result in acquisition of property .....		-44
2290	Outstanding, end of year .....	1,966	1,952
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1,966	1,952

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Maritime Guaranteed Loan (Title XI) program loan guarantee commitments in 1992 and subsequent years. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 69-4304-0-3-999			
	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	197	250
Investments in US securities:			
1106	Receivables, net .....	71	5
1999	Total assets .....	268	255
<b>LIABILITIES:</b>			
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	268	255
4999	Total liabilities and net position .....	268	255

**Trust Funds**

MISCELLANEOUS TRUST FUNDS, MARITIME ADMINISTRATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 69-8547-0-7-403	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Gifts and Bequests, Maritime Administration, Transportation .....	1	1	1
0400 Total: Balances and collections .....	1	1	1
Appropriations:			
0500 Miscellaneous Trust Funds, Maritime Administration .....	-1	-1	-1
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 69-8547-0-7-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Special Studies .....	12	1	1
0002 Gifts and Bequests .....		1	1
0100 Total direct program - Subtotal (running) .....	12	2	2
0900 Total new obligations (object class 25.3) .....	12	2	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	4	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
1930 Total budgetary resources available .....	16	5	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	10	4
3010 Obligations incurred, unexpired accounts .....	12	2	2
3020 Outlays (gross) .....	-16	-8	-2
3050 Unpaid obligations, end of year .....	10	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	10	4
3200 Obligated balance, end of year .....	10	4	4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	16	7	1
4110 Outlays, gross (total) .....	16	8	2
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	16	8	2

ADMINISTRATIVE PROVISIONS—MARITIME ADMINISTRATION

*SEC. 170. Notwithstanding any other provision of this Act, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration: Provided, That payments received therefor shall be credited to the appropriation charged with the cost thereof and shall be available until expended: Provided further, That rental payments under any such lease, contract, or occupancy for items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts.*

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
69-08500 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Administrative Costs .....	1	1	1
69-27230 Maritime (title XI) Loan Program, Downward Reestimates of Subsidies .....	55	37	
69-27610 Railroad Rehabilitation and Improvement Financing, Negative Subsidies .....	3		
69-27630 Downward Reestimates, Railroad Rehabilitation and Improvement Program .....	16	20	
69-27630 Transportation Infrastructure Finance and Innovation Program, Interest on Downward Reestimates .....	28	135	
69-32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	16		
General Fund Offsetting receipts from the public .....	119	193	1
Intragovernmental payments:			
69-38800 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	2		
General Fund Intragovernmental payments .....	2		

**GENERAL PROVISIONS—DEPARTMENT OF TRANSPORTATION**

*SEC. 180. During the current fiscal year, applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).*

*SEC. 181. Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for an Executive Level IV.*

*SEC. 182. None of the funds in this Act shall be available for salaries and expenses of more than 110 political and Presidential appointees in the Department of Transportation: Provided, That none of the personnel covered by this provision may be assigned on temporary detail outside the Department of Transportation.*

*SEC. 183. (a) No recipient of funds made available in this Act shall disseminate personal information (as defined in 18 U.S.C. 2725(3)) obtained by a State department of motor vehicles in connection with a motor vehicle record as defined in 18 U.S.C. 2725(1), except as provided in 18 U.S.C. 2721 for a use permitted under 18 U.S.C. 2721.*

*(b) Notwithstanding subsection (a), the Secretary shall not withhold funds provided in this Act for any grantee if a State is in noncompliance with this provision.*

*SEC. 184. Funds received by the Federal Highway Administration, Federal Transit Administration, and Federal Railroad Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training may be credited respectively to the Federal Highway Administration's "Federal-Aid Highways" account, the Federal Transit Administration's "Research and University Research Centers" account, and to the Federal Railroad Administration's "Safety and Operations" account, except for State rail safety inspectors participating in training pursuant to 49 U.S.C. 20105.*

*SEC. 185. None of the funds in this Act to the Department of Transportation may be used to make a grant unless the Secretary of Transportation notifies the House and Senate Committees on Appropriations not less than 3 full business days before any project competitively selected to receive a discretionary grant award, any discretionary grant award, letter of intent, or full funding grant agreement totaling \$1,000,000 or more is announced by the department or its modal administrations from:*

- (1) any discretionary grant program of the Federal Highway Administration including the emergency relief program;*
- (2) the airport improvement program of the Federal Aviation Administration;*
- (3) any program of the Federal Railroad Administration;*
- (4) any program of the Federal Transit Administration other than the formula grants and fixed guideway modernization programs; or*

(5) any funding provided under the headings "National Infrastructure Investments" and "Assistance to Small Shipyards" in this Act: Provided, That the Secretary gives concurrent notification to the House and Senate Committees on Appropriations for any "quick release" of funds from the emergency relief program: Provided further, That no notification shall involve funds that are not available for obligation.

SEC. 186. Rebates, refunds, incentive payments, minor fees and other funds received by the Department of Transportation from travel management centers, charge card programs, the subleasing of building space, and miscellaneous sources are to be credited to appropriations of the Department of Transportation and allocated to elements of the Department of Transportation using fair and equitable criteria and such funds shall be available until expended.

SEC. 187. Amounts made available in this or any other Act that the Secretary determines represent improper payments by the Department of Transportation to a third-party contractor under a financial assistance award, which are recovered pursuant to law, shall be available—

(1) to reimburse the actual expenses incurred by the Department of Transportation in recovering improper payments; and

(2) to pay contractors for services provided in recovering improper payments or contractor support in the implementation of the Improper Payments Information Act of 2002: Provided, That amounts in excess of that required for paragraphs (1) and (2)—

(A) shall be credited to and merged with the appropriation from which the improper payments were made, and shall be available for the purposes and period for which such appropriations are available: Provided further, That where specific project or accounting information associated with the improper payment or payments is not readily available the Secretary may credit an appropriate account, which shall be available for the purposes and period associated with the account so credited or

(B) if no such appropriation remains available, shall be deposited in the Treasury as miscellaneous receipts: Provided further, That prior to the transfer of any such recovery to an appropriations account, the Secretary shall notify to the House and Senate Committees on Appropriations of the amount and reasons for such transfer: Provided further, That for purposes of this section, the term "improper payments", has the same meaning as that provided in section 2(d)(2) of Public Law 107-300.

SEC. 188. Notwithstanding any other provision of law, if any funds provided in or limited by this Act are subject to a reprogramming action that requires notice to be provided to the House and Senate Committees on Appropriations, transmission of notice of said reprogramming action shall be provided solely to the Committees on Appropriations: Provided, That the Secretary may provide notice to other congressional committees of the action of the Committees on Appropriations on such reprogramming but not sooner than 30 days following the date on which the reprogramming action has been transmitted to the House and Senate Committees on Appropriations.

SEC. 189. None of the funds appropriated or otherwise made available under this Act may be used by the Surface Transportation Board of the Department of Transportation to charge or collect any filing fee for rate complaints filed with the Board in an amount in excess of the amount authorized for district court civil suit filing fees under section 1914 of title 28, United States Code.

SEC. 190. Funds appropriated in this Act to the modal administrations may be obligated for the Office of the Secretary for the costs related to assessments or reimbursable agreements only when such amounts are for the costs of goods and services that are purchased to provide a direct benefit to the applicable modal administration or administrations.

SEC. 191. The Secretary of Transportation is authorized to carry out a program that establishes uniform standards for developing and supporting agency transit pass and transit benefits authorized under section 7905 of title 5, United States Code, including distribution of transit benefits by various paper and electronic media.

## GENERAL PROVISIONS—THIS ACT

SEC. 402. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 403. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 404. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 405. Except as otherwise provided in this Act, none of the funds provided in this Act, provided by previous appropriations Acts to the agencies or entities funded in this Act that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury derived by the collection of fees and available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that:

(1) creates a new program;

(2) eliminates a program, project, or activity;

(3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by the Congress;

(4) proposes to use funds directed for a specific activity by either the House or Senate Committees on Appropriations for a different purpose;

(5) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less;

(6) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less; or

(7) creates, reorganizes, or restructures a branch, division, office, bureau, board, commission, agency, administration, or department different from the budget justifications submitted to the Committees on Appropriations or the table accompanying the explanatory statement accompanying this Act, whichever is more detailed, unless prior notice is transmitted to the House and Senate Committees on Appropriations: Provided, That not later than 60 days after the date of enactment of this Act, each agency funded by this Act shall submit a report to the Committees on Appropriations of the Senate and of the House of Representatives to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: Provided further, That the report shall include:

(A) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(B) a delineation in the table for each appropriation both by object class and program, project, and activity as detailed in the budget appendix for the respective appropriation; and

(C) an identification of items of special congressional interest: Provided further, That the amount appropriated or limited for salaries and expenses for an agency shall be reduced by \$100,000 per day for each day after the required date that the report has not been submitted to the Congress.

SEC. 406. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2014 from appropriations made available for salaries and expenses for fiscal year 2014 in this Act, shall remain available through September 30, 2015, for each such account for the purposes authorized: Provided, That a request shall be submitted to the House and Senate Committees on Appropriations prior to the expenditure of such funds: Provided further, That these requests shall be made in compliance with reprogramming guidelines under section 405 of this Act.

SEC. 407. No funds in this Act may be used to support any Federal, State, or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use: Provided, That for purposes of this section, public use shall not be construed to include economic development that primarily benefits private entities: Provided further, That any use of funds for mass transit, railroad, airport, seaport or highway projects as well as utility projects which benefit or serve the general public (including energy-related, communication-related, water-related and wastewater-related infrastructure), other structures designated for use by the general public or which have other common-carrier or public-utility functions that serve the general public and are subject to regulation and oversight by the government, and projects for the removal of an immediate threat to public health and safety or brownfields as

defined in the *Small Business Liability Relief and Brownfields Revitalization Act (Public Law 107-118)* shall be considered a public use for purposes of eminent domain.

SEC. 408. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.

SEC. 409. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with chapter 83 of title 41, United States Code.

SEC. 410. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating chapter 83 of title 41, United States Code.

SEC. 411. None of the funds made available in this Act may be used for first-class airline accommodations in contravention of sections 301-10.122 and 301-10.123 of title 41, Code of Federal Regulations.

SEC. 412. None of the funds made available under this Act or any prior Act may be provided to the Association of Community Organizations for Reform Now (ACORN), or any of its affiliates, subsidiaries, or allied organizations.

SEC. 413. All agencies and departments funded by this Act shall send to Congress at the end of the fiscal year a report containing a complete inventory of the total number of vehicles owned, permanently retired, and purchased during fiscal year 2014 as well as the total cost of the vehicle fleet, including maintenance, fuel, storage, purchasing, and leasing.

SEC. 414. None of the funds made available in this Act may be used to purchase a light bulb for an office building unless the light bulb has, to the extent practicable, an Energy Star or Federal Energy Management Program designation.

SEC. 415. The Secretaries of the Departments of Housing and Urban Development and Transportation may jointly distribute and obligate amounts made available under this Act for the Partnership for Sustainable Communities, for the planning, preparation, or design of such projects eligible for funding under this Act: Provided, That the Department contributing the majority of funding for a grant shall determine the terms and conditions of such grant: Provided further, That each Secretary may accept services from the other on a non-reimbursable basis to carry out the purposes of this section.

# DEPARTMENT OF THE TREASURY

## DEPARTMENTAL OFFICES

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Departmental Offices including operation and maintenance of the Treasury Building and Annex; hire of passenger motor vehicles; maintenance, repairs, and improvements of, and purchase of commercial insurance policies for, real properties leased or owned overseas, when necessary for the performance of official business; terrorism and financial intelligence activities; executive direction program activities; international affairs and economic policy activities; domestic finance and tax policy activities; and Treasury-wide management policies and programs activities, \$311,775,000: Provided, That of the amount appropriated under this heading, not to exceed \$3,000,000, to remain available until September 30, 2015, is for information technology modernization requirements; not to exceed \$350,000 is for official reception and representation expenses; and not to exceed \$258,000 is for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Secretary of the Treasury and to be accounted for solely on his certificate: Provided further, That of the amount appropriated under this heading, \$8,287,000, to remain available until September 30, 2015, is for the Treasury-wide Financial Statement Audit and Internal Control Program: Provided further, That of the amount appropriated under this heading, \$500,000, to remain available until September 30, 2015, is for secure space requirements: Provided further, That of the amount appropriated under this heading, up to \$2,000,000, to remain available until September 30, 2015, is for State Small Business Credit Initiative technical assistance and shall be in addition to any other amounts available for this purpose: Provided further, That of the amount appropriated under this heading, up to \$7,400,000, to remain available until September 30, 2015, is for audit, oversight, and administration of the Gulf Coast Restoration Trust Fund: Provided further, That of the amount appropriated under this heading, up to \$3,400,000, to remain available until September 30, 2016, is to develop and implement programs within the Office of Critical Infrastructure Protection and Compliance Policy, including entering into co-operative agreements: Provided further, That notwithstanding any other provision of law, of the amount appropriated under this heading, up to \$1,000,000 may be contributed to the Organization for Economic Cooperation and Development for the Department's participation in programs related to global tax administration.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 20–0101–0–1–803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Executive Direction .....	35	37	36
0002 International Affairs and Economic Policy .....	62	60	56
0003 Domestic Finance and Tax Policy .....	71	72	86
0004 Terrorism and Financial Intelligence .....	99	100	98
0005 Treasury-wide Management and Programs .....	44	41	36
0100 Subtotal, Direct programs .....	311	310	312
0799 Total direct obligations .....	311	310	312
0811 Reimbursable program .....	66	70	70
0900 Total new obligations .....	377	380	382
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	15	21
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	20	15	21
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	308	310	312
1160 Appropriation, discretionary (total) .....	308	310	312

Spending authority from offsetting collections, discretionary:			
1700 Collected .....	51	76	77
1701 Change in uncollected payments, Federal sources .....	15	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	66	76	77
1900 Budget authority (total) .....	374	386	389
1930 Total budgetary resources available .....	394	401	410
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–2	.....	.....
1941 Unexpired unobligated balance, end of year .....	15	21	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	105	95	47
3010 Obligations incurred, unexpired accounts .....	377	380	382
3011 Obligations incurred, expired accounts .....	6	.....	.....
3020 Outlays (gross) .....	–373	–428	–390
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–19	.....	.....
3050 Unpaid obligations, end of year .....	95	47	39
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–28	–21	–21
3070 Change in uncollected pymts, Fed sources, unexpired .....	–15	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	22	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–21	–21	–21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	77	74	26
3200 Obligated balance, end of year .....	74	26	18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	374	386	389
Outlays, gross:			
4010 Outlays from new discretionary authority .....	298	346	349
4011 Outlays from discretionary balances .....	75	82	41
4020 Outlays, gross (total) .....	373	428	390
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–70	–76	–77
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–15	.....	.....
4052 Offsetting collections credited to expired accounts .....	19	.....	.....
4060 Additional offsets against budget authority only (total) .....	4	.....	.....
4070 Budget authority, net (discretionary) .....	308	310	312
4080 Outlays, net (discretionary) .....	303	352	313
4180 Budget authority, net (total) .....	308	310	312
4190 Outlays, net (total) .....	303	352	313

Departmental Offices (DO), as the headquarters bureau for the Department of the Treasury, provides leadership in economic and financial policy, terrorism and financial intelligence, financial crimes, and general management. The Secretary of the Treasury has the primary role of formulating and managing the domestic and international tax and financial policies of the Federal government. Through effective management, policies and leadership, the Treasury Department protects our national security through targeted financial actions, promotes the stability of the nation's financial markets, and ensures the government's ability to collect revenue and fund its operations. In FY 2014, the Department also proposes an initiative to promote greater access to financial services among low- and moderate-income families.

#### Object Classification (in millions of dollars)

Identification code 20–0101–0–1–803	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	132	134	135
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	138	140	141
12.1 Civilian personnel benefits .....	39	40	40
13.0 Benefits for former personnel .....	1	.....	1
21.0 Travel and transportation of persons .....	6	6	6

**SALARIES AND EXPENSES—Continued**  
**Object Classification—Continued**

Identification code 20-0101-0-1-803	2012 actual	2013 CR	2014 est.
23.1 Rental payments to GSA .....	4	5	5
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.1 Advisory and assistance services .....	17	17	17
25.2 Other services from non-Federal sources .....	27	28	27
25.3 Other goods and services from Federal sources .....	48	48	49
25.4 Operation and maintenance of facilities .....	1		
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	6	6	6
31.0 Equipment .....	12	8	8
32.0 Land and structures .....	4	4	4
99.0 Direct obligations .....	311	310	312
99.0 Reimbursable obligations .....	66	70	70
99.9 Total new obligations .....	377	380	382

**Employment Summary**

Identification code 20-0101-0-1-803	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,181	1,199	1,171
2001 Reimbursable civilian full-time equivalent employment .....	129	172	132

**DEPARTMENT-WIDE SYSTEMS AND CAPITAL INVESTMENTS PROGRAMS**

For development and acquisition of automatic data processing equipment, software, and services and for repairs and renovations to buildings owned by the Department of the Treasury, \$2,725,000, to remain available until September 30, 2016: Provided, That funds shall be transferred to accounts and in amounts as necessary to satisfy the requirements of the Department's offices, bureaus, and other organizations: Provided further, That this transfer authority shall be in addition to any other transfer authority provided in this Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 20-0115-0-1-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6	3	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	2	
1021 Recoveries of prior year unpaid obligations .....		1	1
1050 Unobligated balance (total) .....	8	3	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			3
1160 Appropriation, discretionary (total) .....			3
1900 Budget authority (total) .....			3
1930 Total budgetary resources available .....	8	3	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	7	4
3010 Obligations incurred, unexpired accounts .....	6	3	3
3020 Outlays (gross) .....	-17	-5	-1
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	7	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	7	4

3200 Obligated balance, end of year .....	7	4	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			3
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4011 Outlays from discretionary balances .....	17	5	
4020 Outlays, gross (total) .....	17	5	1
4180 Budget authority, net (total) .....			3
4190 Outlays, net (total) .....	17	5	1

This account is authorized to be used by Treasury's offices and bureaus to modernize business processes and increase efficiency through technology and infrastructure investments. Current investments include implementation of cybersecurity program initiatives, which will help prevent computer security breaches that could result in disclosure of sensitive information, and repairs and renovations to buildings owned and maintained by the Department of the Treasury.

**Object Classification** (in millions of dollars)

Identification code 20-0115-0-1-803	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	1	3	2
31.0 Equipment .....	1		
32.0 Land and structures .....	3		1
99.9 Total new obligations .....	6	3	3

**OFFICE OF INSPECTOR GENERAL**

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, including hire of passenger motor vehicles, \$31,351,000; of which not to exceed \$100,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General of the Treasury.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 20-0106-0-1-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Audits .....	22	23	24
0002 Investigations .....	7	7	7
0799 Total direct obligations .....	29	30	31
0801 Reimbursable program .....	11	15	15
0900 Total new obligations .....	40	45	46
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	30	31
1160 Appropriation, discretionary (total) .....	30	30	31
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	15	15
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	11	15	15
1900 Budget authority (total) .....	41	45	46
1930 Total budgetary resources available .....	41	45	46
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	12	12	16
3010	Obligations incurred, unexpired accounts .....	40	45	46
3020	Outlays (gross) .....	-39	-41	-45
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	12	16	17
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-7	-7
3070	Change in uncollected pymts, Fed sources, unexpired .....	-7		
3071	Change in uncollected pymts, Fed sources, expired .....	6		
3090	Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	5	9
3200	Obligated balance, end of year .....	5	9	10

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	41	45	46
Outlays, gross:				
4010	Outlays from new discretionary authority .....	29	30	31
4011	Outlays from discretionary balances .....	10	11	14
4020	Outlays, gross (total) .....	39	41	45
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-10	-15	-15
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-7		
4052	Offsetting collections credited to expired accounts .....	6		
4060	Additional offsets against budget authority only (total) .....	-1		
4070	Budget authority, net (discretionary) .....	30	30	31
4080	Outlays, net (discretionary) .....	29	26	30
4180	Budget authority, net (total) .....	30	30	31
4190	Outlays, net (total) .....	29	26	30

The Office of Inspector General (OIG) conducts audits, evaluations, and investigations designed to: (1) promote economy, efficiency, and effectiveness and prevent and detect fraud, waste, and abuse in Departmental programs and operations; and (2) keep the Secretary and the Congress fully and currently informed of problems and deficiencies in the administration of Departmental programs and operations. The OIG conducts audits and investigations of all Treasury programs and operations except those under jurisdictional oversight of the Treasury Inspector General for Tax Administration and the Special Inspector General for the Troubled Assets Relief Program. Additionally, the Treasury Inspector General functions as the Chair of the Council of Inspectors General on Financial Oversight and the Moving Ahead for Progress in the 21st Century Act (MAP-21) has tasked Treasury OIG with providing oversight of all projects, programs, and operations of the Gulf Coast Restoration Trust Fund.

The 2014 resources for the OIG will be used to provide critical audit oversight to ensure the effectiveness and integrity of Treasury's programs and operations. The OIG will continue to address mandated requirements related to audits of the Department's financial statements, information security, improper payments prevention, and failed Treasury-regulated financial institutions. The OIG will also conduct mandated requirements related to provisions of the Dodd-Frank Wall Street Reform and Consumer Protection Act to include monitoring and periodic reporting on the transfer of functions of the Office of Thrift Supervision. In addition, the OIG will conduct audits of the Department's highest risk programs and operations. The Office of Audit expects to complete 100 percent of statutory audits by the required deadline, and to complete 70 audit products in 2014.

In 2014, OIG will continue to provide oversight on a reimbursable basis, of the Small Business Lending Fund (SBLF) and the State Small Business Credit Initiative (SSBCI). The programs were created by the Small Business Jobs Act of 2010, and as-

signed to the Department of the Treasury for management and execution.

In 2014, OIG Office of Investigations will continue to investigate all reports of fraud, waste and abuse and other criminal activity, such as financial programs where fraud and other crimes are involved in the issuance of licenses or benefits to citizens and will conduct proactive efforts to detect, investigate and deter electronic crimes and other threats to the Treasury's physical and cyber critical infrastructure. The Office of Investigations will continue current efforts to aggressively investigate, close, and refer cases for criminal prosecution, civil litigation or corrective administrative action in a timely manner.

**Object Classification** (in millions of dollars)

Identification code 20-0106-0-1-803	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	15	16	18
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	16	17	19
12.1	Civilian personnel benefits .....	5	5	5
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....		1	1
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	3	3	2
31.0	Equipment .....	1		
99.0	Direct obligations .....	29	30	31
99.0	Reimbursable obligations .....	10	15	15
99.5	Below reporting threshold .....	1		
99.9	Total new obligations .....	40	45	46

**Employment Summary**

Identification code 20-0106-0-1-803	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	173	172	216
2001	Reimbursable civilian full-time equivalent employment .....	19	19	19

TREASURY INSPECTOR GENERAL FOR TAX ADMINISTRATION

SALARIES AND EXPENSES

*For necessary expenses of the Treasury Inspector General for Tax Administration in carrying out the Inspector General Act of 1978, as amended, including purchase (not to exceed 150 for replacement only for police-type use) and hire of passenger motor vehicles (31 U.S.C. 1343(b)); services authorized by 5 U.S.C. 3109, at such rates as may be determined by the Inspector General for Tax Administration; \$149,538,000, of which not to exceed \$6,000,000 shall be available for official travel expenses; of which not to exceed \$500,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General for Tax Administration.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 20-0119-0-1-803	2012 actual	2013 CR	2014 est.	
Obligations by program activity:				
0001	Audit .....	56	58	58
0002	Investigations .....	96	95	92
0799	Total direct obligations .....	152	153	150
0801	Reimbursable program .....	1	1	1
0900	Total new obligations .....	153	154	151

TREASURY INSPECTOR GENERAL FOR TAX ADMINISTRATION—Continued  
Program and Financing—Continued

Identification code 20-0119-0-1-803	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail .....	152	153	150
1160 Appropriation, discretionary (total) .....	152	153	150
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	153	154	151
1930 Total budgetary resources available .....	155	155	152
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Change in obligated balances .....	16	15	13
3010 Obligations incurred, unexpired accounts .....	153	154	151
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-153	-156	-151
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	15	13	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	15	13
3200 Obligated balance, end of year .....	15	13	13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	153	154	151
Outlays, gross:			
4010 Outlays (gross), detail .....	141	142	139
4011 Outlays from discretionary balances .....	12	14	12
4020 Outlays, gross (total) .....	153	156	151
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	152	153	150
4190 Outlays, net (total) .....	152	155	150

The Treasury Inspector General for Tax Administration (TIGTA) conducts independent audits, investigations, and inspections and evaluations of Treasury Department matters relating to the Internal Revenue Service (IRS), the IRS Oversight Board, and the IRS Office of Chief Counsel. TIGTA's oversight helps ensure that the IRS accomplishes its mission; improves its programs and operations; promotes economy, efficiency and effectiveness; and prevents and detects fraud, waste and abuse. TIGTA also continues to play a key role in ensuring the provisions of the Affordable Care Act are implemented and administered in accordance with the law and the intent of Congress.

In 2014, TIGTA's Office of Investigations will concentrate on three core areas: (1) employee integrity; (2) employee and infrastructure security; and (3) external attempts to corrupt tax administration. As the principal law enforcement agency responsible for protecting the integrity of tax administration, TIGTA will focus its investigative efforts on identifying vulnerabilities and emerging threats to electronic tax administration.

In 2014, TIGTA's Office of Audit will strike a balance between statutory audit coverage and high-risk audit work. The statutory coverage will include audits mandated by the IRS Restructuring and Reform Act of 1998 and other statutory authorities and standards involving computer security, taxpayer privacy and rights, and financial management. The remaining balance of TIGTA's audit work will focus on high-risk tax administration areas and major management and performance challenges facing the IRS, including strategic goals progress and eliminating

identified material weaknesses. Audits will address areas of concern to Congress, Secretary of the Treasury, the IRS Oversight Board and the IRS Commissioner. TIGTA's 2012 highlights include issuing 117 audit reports, and identifying more than \$22.7 billion in potential financial benefits.

In 2014, TIGTA's Office of Inspections and Evaluations will conduct strategic reviews targeting specific tax administration problems.

Object Classification (in millions of dollars)

Identification code 20-0119-0-1-803	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	83	83	82
11.5 Other personnel compensation .....	9	9	9
11.9 Total personnel compensation .....	92	92	91
12.1 Civilian personnel benefits .....	30	30	30
21.0 Travel and transportation of persons .....	4	4	3
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	7	8	8
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	4	3
99.0 Direct obligations .....	152	153	150
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	153	154	151

Employment Summary

Identification code 20-0119-0-1-803	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	805	835	835
2001 Reimbursable civilian full-time equivalent employment .....	2	2	2

EXPANDED ACCESS TO FINANCIAL SERVICES

Program and Financing (in millions of dollars)

Identification code 20-0121-0-1-808	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3020 Outlays (gross) .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		
4190 Outlays, net (total) .....	1		

This account supports the Department's activities to expand access to basic financial services for low- and moderate-income individuals. Funds have been used to implement a grant program (the First Accounts Program), gather information on community needs and best practices, and implement the Community Financial Access Pilot. Funding for this account was last appropriated in FY 2000 (P.L. 106-346).

COUNTERTERRORISM FUND

Program and Financing (in millions of dollars)

Identification code 20-0117-0-1-751	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

Most of the balances in this account were transferred to the Department of Homeland Security in accordance with the Homeland Security Act of 2002 (P.L. 107-296). The remaining resources were used to fund projects related to domestic and international terrorism. This schedule reflects remaining balances in the account.

TERRORISM INSURANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 20-0123-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Base Administrative Expenses .....	2	3	3
0003 Projected Payments to Insurers .....	105	250	
0900 Total new obligations .....	2	108	253
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1	108	253
1260 Appropriations, mandatory (total) .....	1	108	253
1900 Budget authority (total) .....	1	108	253
1930 Total budgetary resources available .....	2	108	253
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	2	108	253
3020 Outlays (gross) .....	-2	-108	-253
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	108	253
Outlays, gross:			
4100 Outlays from new mandatory authority .....		108	253
4101 Outlays from mandatory balances .....	2		
4110 Outlays, gross (total) .....	2	108	253
4180 Budget authority, net (total) .....	1	108	253
4190 Outlays, net (total) .....	2	108	253

The Terrorism Risk Insurance Extension Act of 2007 (P.L. 110-160) reauthorized and revised the program established by the Terrorism Risk Insurance Act (TRIA) of 2002 (P.L. 107-297) and administered by the Treasury Department. The 2007 Act extended the Terrorism Insurance Program for seven years, through December 31, 2014. This extension of TRIA added a requirement for commercial property and casualty insurers to make available coverage for losses from domestic, as well as foreign, acts of terrorism, and extended TRIA coverage for those losses.

The Budget baseline includes the estimated Federal cost of providing terrorism risk insurance, reflecting the 2007 TRIA extension. While the Budget does not forecast any specific act of terrorism, on a probabilistic basis and using market-driven data, the Budget projects annual outlays and recoupment for TRIA. On this basis, the Budget baseline projects net spending of \$435 million over the 2014-2018 period and \$555 million over the 2014-2023 period.

Object Classification (in millions of dollars)

Identification code 20-0123-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	2	2
25.2 Other services from non-Federal sources .....	1	1	1
42.0 Projected Insurance claims and indemnities .....		105	250
99.9 Total new obligations .....	2	108	253

Employment Summary

Identification code 20-0123-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6	10	10

TREASURY FORFEITURE FUND

(CANCELLATION)

Of the unobligated balances available under this heading, \$950,000,000, are hereby permanently cancelled.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20-5697-0-2-751	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	423	951	952
Receipts:			
0200 Forfeited Cash and Proceeds from Sale of Forfeited Property, Treasury Forfeiture Fund .....	523	1,883	594
0240 Earnings on Investments, Treasury Forfeiture Fund .....	1	1	1
0299 Total receipts and collections .....	524	1,884	595
0400 Total: Balances and collections .....	947	2,835	1,547
Appropriations:			
0500 Treasury Forfeiture Fund .....		950	
0501 Treasury Forfeiture Fund .....	-946	-1,883	-594
0502 Treasury Forfeiture Fund .....		-950	-950
0503 Treasury Forfeiture Fund .....	950		
0599 Total appropriations .....	4	-1,883	-1,544
0799 Balance, end of year .....	951	952	3

Program and Financing (in millions of dollars)

Identification code 20-5697-0-2-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Asset forfeiture fund .....	527	1,536	716
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	646	145	492
1021 Recoveries of prior year unpaid obligations .....	30		
1050 Unobligated balance (total) .....	676	145	492
Budget authority:			
Appropriations, discretionary:			
1130 Appropriations permanently reduced .....			-950
1134 Appropriations precluded from obligation .....		-950	
1160 Appropriation, discretionary (total) .....		-950	-950

TREASURY FORFEITURE FUND—Continued  
Program and Financing—Continued

Identification code 20-5697-0-2-751	2012 actual	2013 CR	2014 est.
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	946	1,883	594
1203 Appropriation (previously unavailable)		950	950
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-950		
1260 Appropriations, mandatory (total)	-4	2,833	1,544
1900 Budget authority (total)	-4	1,883	594
1930 Total budgetary resources available	672	2,028	1,086
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	145	492	370
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	539	550	734
3010 Obligations incurred, unexpired accounts	527	1,536	716
3020 Outlays (gross)	-486	-1,352	-824
3040 Recoveries of prior year unpaid obligations, unexpired	-30		
3050 Unpaid obligations, end of year	550	734	626
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	539	550	734
3200 Obligated balance, end of year	550	734	626
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross		-950	-950
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority		-475	-475
4011 Outlays from discretionary balances			-237
4020 Outlays, gross (total)		-475	-712
<b>Mandatory:</b>			
4090 Budget authority, gross	-4	2,833	1,544
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	2	1,417	772
4101 Outlays from mandatory balances	484	410	764
4110 Outlays, gross (total)	486	1,827	1,536
4180 Budget authority, net (total)	-4	1,883	594
4190 Outlays, net (total)	486	1,352	824
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	1,585	1,631	1,816
5001 Total investments, EOY: Federal securities: Par value	1,631	1,816	1,960

The Treasury Forfeiture Fund supports Federal, state, and local law enforcement's use of asset forfeiture as a powerful tool to punish and deter criminal activity. Non-tax forfeitures made by participating bureaus of the Department of the Treasury and the Department of Homeland Security are deposited into the Fund. This revenue is available to pay or reimburse certain costs and expenses related to seizures and forfeitures that occur pursuant to laws enforced by the bureaus and other expenses authorized by 31 U.S.C. 9703. Revenue can also be used to fund Federal law enforcement related activities based on requests from Federal agencies and evaluation by the Secretary of the Treasury. The Budget proposes to permanently cancel \$950 million of unobligated balances.

Object Classification (in millions of dollars)

Identification code 20-5697-0-2-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources	162	246	115
25.3 Other goods and services from Federal sources	226	307	143
41.0 Grants, subsidies, and contributions	139	983	458
99.9 Total new obligations	527	1,536	716

FINANCIAL RESEARCH FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20-5590-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			51
<b>Receipts:</b>			
0200 Fees and Assessments, Financial Research Fund	137	51	113
0201 Transfer from the Federal Reserve, Financial Research Fund	31		
0299 Total receipts and collections	168	51	113
0400 Total: Balances and collections	168	51	164
<b>Appropriations:</b>			
0500 Financial Research Fund	-168		
0799 Balance, end of year		51	164

Program and Financing (in millions of dollars)

Identification code 20-5590-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 FSOC	5	9	9
0003 FDIC Payments	5	7	11
0091 FSOC subtotal	10	16	20
0101 OFR	40	78	89
0900 Total new obligations	50	94	109
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	6	125	82
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	7	125	82
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation		51	113
1201 Appropriation (special or trust fund)	168		
1260 Appropriations, mandatory (total)	168	51	113
1900 Budget authority (total)	168	51	113
1930 Total budgetary resources available	175	176	195
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	125	82	86
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	10	17	19
3010 Obligations incurred, unexpired accounts	50	94	109
3020 Outlays (gross)	-42	-92	-126
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	17	19	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	10	17	19
3200 Obligated balance, end of year	17	19	2
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross	168	51	113
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	34		43
4101 Outlays from mandatory balances	8	92	83
4110 Outlays, gross (total)	42	92	126
4180 Budget authority, net (total)	168	51	113
4190 Outlays, net (total)	42	92	126

The Office of Financial Research (OFR) and the Financial Stability Oversight Council (Council) were established under the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 (the Act) (P.L. 111-203).

The OFR was established to serve the Council, its member agencies, and the public by improving the quality, transparency, and accessibility of financial data and information, by conducting and sponsoring research related to financial stability, and by promoting best practices in risk management. OFR is an office within the Department of the Treasury.

The Council is an executive agency, and is comprised of ten voting members, including all Federal financial regulators, and five non-voting members. The Secretary of the Treasury serves as Chairperson of the Council. The Council's purpose is to identify risks to the financial stability of the United States, promote market discipline, and respond to emerging threats to the stability of the U.S. financial system.

As required under Section 210(n)(10) of the Act, the Council's expenses also include reimbursements of certain reasonable implementation expenses incurred by the Federal Deposit Insurance Corporation (FDIC) in the development of policies, procedures, rules, and regulations and other planning activities consistent with carrying out Orderly Liquidation Authority provided by Title II of the Act. These expenses are to be treated as expenses of the Council, and are estimated at \$11 million in 2014.

OFR and the Council were funded through transfers from the Board of Governors of the Federal Reserve System until July 20, 2012. Subsequently, OFR and the Council have been funded through assessments on certain bank holding companies with total consolidated assets of \$50 billion or more and non-bank financial companies supervised by the Board of Governors. Administrative expenses of the Council are considered expenses of, and are paid by, OFR. OFR expenses are paid for out of the Financial Research Fund, which was established by the Act and which is managed by the Department of the Treasury. Projected fees and assessments are estimates and may change.

**Object Classification** (in millions of dollars)

Identification code 20-5590-0-2-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	21	31
12.1 Civilian personnel benefits .....	2	7	10
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	3	5	5
23.3 Communications, utilities, and miscellaneous charges .....		4	2
25.1 Advisory and assistance services .....	9		
25.2 Other services from non-Federal sources .....	7	18	14
25.3 Other goods and services from Federal sources .....	13	16	21
26.0 Supplies and materials .....	3	6	8
31.0 Equipment .....	5	16	17
99.9 Total new obligations .....	50	94	109

**Employment Summary**

Identification code 20-5590-0-2-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	60	163	244

**PRESIDENTIAL ELECTION CAMPAIGN FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 20-5081-0-2-808	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			15
<b>Receipts:</b>			
0200 Presidential Election Campaign Fund .....	37	50	50
0400 Total: Balances and collections .....	37	50	65
<b>Appropriations:</b>			
0500 Presidential Election Campaign Fund .....	-38	-35	-33
<b>Adjustments:</b>			
0591 Adjustment - rounding issue .....	1		
0599 Total appropriations .....	-37	-35	-33
0799 Balance, end of year .....		15	32

**Program and Financing** (in millions of dollars)

Identification code 20-5081-0-2-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0004 Presidential Primary Matching Fund Candidates .....	2	1	
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	197	235	269
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	38	35	33
1260 Appropriations, mandatory (total) .....	38	35	33
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	2		
1850 Spending auth from offsetting collections, mand (total) .....	2		
1900 Budget authority (total) .....	40	35	33
1930 Total budgetary resources available .....	237	270	302
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	235	269	302
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	2	1	
3020 Outlays (gross) .....	-2	-1	
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	40	35	33
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	2	1	
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-2		
4180 Budget authority, net (total) .....	38	35	33
4190 Outlays, net (total) .....		1	

Individual Federal income tax returns include an optional Federal income tax designation of \$3 that an individual may elect to be paid to the Presidential Election Campaign Fund (PECF). In recent years, less than 10% of individuals have elected to make this designation, resulting in less than \$40 million paid into the Fund annually. Approximately every four years, the Department of the Treasury makes distributions from the PECF (referred to as public funds, matching funds, or Federal funds) to qualified Presidential candidates and national party committees for use in the Presidential elections.

Money for the public funding of Presidential elections can only come from the PECF. When the PECF runs short of funds, no other general Treasury funds may be used.

The Federal Election Commission administers the public funding program, determining which candidates are eligible, the amount to which they are entitled, and auditing their use of funds. The Department of the Treasury collects the income tax designations and makes payouts to the campaigns.

**Matching Funds for Presidential Primary Candidates.**—Upon certification by the Federal Election Commission-based on demonstrating broad national support, adhering to spending limits, and other qualifications—every eligible Presidential primary candidate is entitled to receive \$250 in Federal matching funds for the first eligible \$250 of private contributions per individual received after the beginning of the calendar year immediately preceding the election year through the end of the calendar year of the election.

**Candidates for General Elections.**—By statute, eligible candidates of each major party in a Presidential election are entitled to equal payments in an amount which, in the aggregate, shall not exceed \$20 million each, plus an inflation adjustment. In 2012, this amounted to \$91.2 million for each candidate, but neither major party candidate accepted general election funding. Eligibility for this funding depends on meeting several criteria

PRESIDENTIAL ELECTION CAMPAIGN FUND—Continued

such as agreeing to limit spending to amounts specified by campaign finance laws. In addition, provision is made for new parties, minor parties, and non-major party candidates who may receive in excess of 5 percent of the popular vote and therefore be entitled to a pro rata portion of the major party grant in the general election.

*Nominating Party Conventions.*—Upon certification by the Commission, payments may be made to the national committee of a major or minor political party that chooses to receive its entitlement. The total of such payments will be limited to the amount in the account at the time of payment. The national committee of each party may receive payments beginning on July 1 of the year immediately preceding the calendar year in which a presidential nominating convention of the political party is held. By statute, the two major parties receive \$4 million each, plus an inflation adjustment (over 1974). In 2011, the Republican and Democratic parties each received \$17.6 million for their nominating conventions. In 2012, \$558,500 was paid to each party to reflect the fully adjusted grant for 2012.

When there are insufficient funds to meet the demand for public funding, payments to the national parties for their nominating conventions have first priority with the general election candidates second and the primary candidates last.

Object Classification (in millions of dollars)

Identification code 20-5081-0-2-808	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....		1	
99.0 Reimbursable obligations .....	2		
99.9 Total new obligations .....	2	1	

PAY FOR SUCCESS

The Budget proposes a \$300 million one-time mandatory appropriation for a new Pay for Success (PFS) program in the Department of the Treasury. This fund will support nonprofit and other investors who finance preventive social programs when those programs prove that they can post savings to the Federal government and achieve the goal for their target population. The Pay for Success Incentive Fund will ensure that taxpayers get the best possible returns for funds expended, protect government assets, and minimize losses in relation to social benefits provided. It will accomplish this by offering credit enhancements or direct grants to support investors; intermediaries will receive a proportion of the funds saved by the government only when projects have demonstrated measurable outcomes that result in greater federal savings and programmatic efficiency. In order to qualify, these programs will be required to utilize evidence-based approaches and provide data for program and policy evaluation. If successful, the PFS Incentive Fund should help to strengthen intermediaries and support the evolution of this nascent field into a more robust and sustainable public and private market.

PAY FOR SUCCESS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-0113-4-1-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Pay For Success Programs .....			41
0002 Administrative Functions .....			1
0100 Direct program activities, subtotal .....			42

0900 Total new obligations .....			42
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			300
1260 Appropriations, mandatory (total) .....			300
1900 Budget authority (total) .....			300
1930 Total budgetary resources available .....			300
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			258
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			42
3020 Outlays (gross) .....			-1
3050 Unpaid obligations, end of year .....			41
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			41
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			300
Outlays, gross:			
4100 Outlays from new mandatory authority .....			1
4180 Budget authority, net (total) .....			300
4190 Outlays, net (total) .....			1

Object Classification (in millions of dollars)

Identification code 20-0113-4-1-808	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
41.0 Grants, subsidies, and contributions .....			41
99.9 Total new obligations .....			42

Employment Summary

Identification code 20-0113-4-1-808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			4

EXCHANGE STABILIZATION FUND

Program and Financing (in millions of dollars)

Identification code 20-4444-0-3-155	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	44,641	44,092	44,304
1021 Recoveries of prior year unpaid obligations .....	714		
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation .....	-1,460		
1050 Unobligated balance (total) .....	43,895	44,092	44,304
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	197	212	221
1850 Spending auth from offsetting collections, mand (total) .....	197	212	221
1930 Total budgetary resources available .....	44,092	44,304	44,525
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	44,092	44,304	44,525
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	60,385	59,671	59,671
3040 Recoveries of prior year unpaid obligations, unexpired .....	-714		
3050 Unpaid obligations, end of year .....	59,671	59,671	59,671
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	60,385	59,671	59,671
3200 Obligated balance, end of year .....	59,671	59,671	59,671
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	197	212	221

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121	Interest on Federal securities .....	-11	-17
4123	Non-Federal sources .....	-186	-195
4130	Offsets against gross budget authority and outlays (total) ....	-197	-212
4170	Outlays, net (mandatory) .....	-197	-212
4190	Outlays, net (total) .....	-197	-212

<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	22,721	22,680
5001	Total investments, EOY: Federal securities: Par value .....	22,680	22,750
5010	Total investments, SOY: non-Fed securities: Market value .....	15,671	15,326
5011	Total investments, EOY: non-Fed securities: Market value .....	15,326	16,000

Under the law creating the Exchange Stabilization Fund (ESF), section 10 of the Gold Reserve Act of 1934, as amended, codified at 31 U.S.C. 5302, the Secretary of the Treasury, with the approval of the President, is authorized to deal in gold, foreign exchange, and other instruments of credit and securities, as the Secretary considers necessary, consistent with U.S. obligations in the International Monetary Fund (IMF) regarding orderly exchange arrangements and a stable system of exchange rates. All earnings and interest accruing to the ESF are available for the purposes thereof. Transactions in Special Drawing Rights (SDRs) and U.S. holdings of SDRs are administered by the fund. By law, the fund is not available to pay administrative expenses.

Since 1934, the principal sources of the fund's income have been earnings on investments held by the fund, including interest earned on fund holdings of U.S. Government securities.

The amounts reflected in the 2013 and 2014 estimates entail only projected net interest earnings on ESF assets. The estimates are subject to considerable variance, depending on changes in the amount and composition of assets and the interest rates applied to investments. In addition, these estimates make no attempt to forecast gains or losses on SDR valuation or foreign currency valuation.

**Balance Sheet** (in millions of dollars)

Identification code 20-4444-0-3-155	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Investments in US securities:		
1102	Treasury securities, par .....	20,436
1201	Non-Federal assets: Foreign Currency Investments .....	26,055
1801	Other Federal assets: Special Drawing Rights .....	57,439
1999	Total assets .....	103,930
<b>LIABILITIES:</b>		
2207	Non-Federal liabilities: Other .....	60,186
<b>NET POSITION:</b>		
3100	Unexpended appropriations .....	200
3300	Cumulative results of operations .....	43,544
3999	Total net position .....	43,744
4999	Total liabilities and net position .....	103,930

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 20-4501-0-4-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0810	Working capital fund .....	175	184
0811	Administrative overhead .....	7	7
0900	Total new obligations .....	175	191
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	45	53
1010	Unobligated balance transfer to other accts [20-4560] .....	-34	-34
1021	Recoveries of prior year unpaid obligations .....	36	36

1050	Unobligated balance (total) .....	81	55
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	148	136
1701	Change in uncollected payments, Federal sources .....	-1	-1
1750	Spending auth from offsetting collections, disc (total) .....	147	136
1930	Total budgetary resources available .....	228	191
<b>Memorandum (non-add) entries:</b>			
1941	Unexpired unobligated balance, end of year .....	53	53

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000	Unpaid obligations, brought forward, Oct 1 .....	95	85
3010	Obligations incurred, unexpired accounts .....	175	191
3020	Outlays (gross) .....	-149	-176
3040	Recoveries of prior year unpaid obligations, unexpired .....	-36	-36
3050	Unpaid obligations, end of year .....	85	64
<b>Uncollected payments:</b>			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-5
3070	Change in uncollected pymts, Fed sources, unexpired .....	1	1
3090	Uncollected pymts, Fed sources, end of year .....	-5	-5
<b>Memorandum (non-add) entries:</b>			
3100	Obligated balance, start of year .....	89	80
3200	Obligated balance, end of year .....	80	59

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000	Budget authority, gross .....	147	136
<b>Outlays, gross:</b>			
4010	Outlays from new discretionary authority .....	1	129
4011	Outlays from discretionary balances .....	148	47
4020	Outlays, gross (total) .....	149	176
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4030	Federal sources .....	-148	-136
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	1	1
4080	Outlays, net (discretionary) .....	1	40
4190	Outlays, net (total) .....	1	40

The shared services for Treasury Department bureaus funded through the Department of the Treasury Working Capital Fund include: telecommunications, printing, duplicating, graphics, computer support/usage, personnel/payroll, automated financial management systems, training, short-term management assistance, procurement, information technology services, equal employment opportunity services, and environmental health and safety services. These services are provided on a reimbursable basis at rates which will recover the Fund's operating expenses, including accrual of annual leave and depreciation of equipment.

Starting in FY 2014, Treasury Working Capital Fund functions will be moving to the Treasury Franchise Fund.

**Object Classification** (in millions of dollars)

Identification code 20-4501-0-4-803	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1	Personnel compensation: Full-time permanent .....	23	29
12.1	Civilian personnel benefits .....	6	5
13.0	Benefits for former personnel .....	1	1
23.1	Rental payments to GSA .....	3	3
23.3	Communications, utilities, and miscellaneous charges .....	2	2
25.1	Advisory and assistance services .....	18	23
25.2	Other services from non-Federal sources .....	46	55
25.3	Other goods and services from Federal sources .....	73	67
25.4	Operation and maintenance of facilities .....	1	1
25.7	Operation and maintenance of equipment .....	2	2
31.0	Equipment .....	2	3
99.9	Total new obligations .....	175	191

WORKING CAPITAL FUND—Continued  
Employment Summary

Identification code 20-4501-0-4-803	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	187	192	.....

TREASURY FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 20-4560-0-4-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0802 Financial Management Administrative Support Service .....	117	115	117
0804 Information Technology Services .....	151	133	131
0805 Shared Services Division .....	.....	.....	7
0806 Shared Services Program .....	.....	.....	183
0900 Total new obligations .....	268	248	438

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	72	65	110
1011 Unobligated balance transfer from other accts [20-4501] .....	.....	34	.....
1021 Recoveries of prior year unpaid obligations .....	4	10	46
1050 Unobligated balance (total) .....	76	109	156
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	269	249	432
1701 Change in uncollected payments, Federal sources .....	-12	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	257	249	432
1930 Total budgetary resources available .....	333	358	588
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	65	110	150

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	55	44
3010 Obligations incurred, unexpired accounts .....	268	248	438
3020 Outlays (gross) .....	-251	-249	-376
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-10	-46
3050 Unpaid obligations, end of year .....	55	44	60
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-19	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	12	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	48	37
3200 Obligated balance, end of year .....	48	37	53

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	257	249	432
Outlays, gross:			
4010 Outlays from new discretionary authority .....	224	159	276
4011 Outlays from discretionary balances .....	27	90	100
4020 Outlays, gross (total) .....	251	249	376
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-269	-249	-432
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	12	.....	.....
4080 Outlays, net (discretionary) .....	-18	.....	-56
4190 Outlays, net (total) .....	-18	.....	-56

The Department of the Treasury was authorized to pilot a franchise fund under P.L. 103-356, the Government Management and Reform Act of 1994. The purpose of the franchise fund pilot was to lower costs while providing high quality administrative services through a competitive environment. The Treasury Franchise Fund (the Fund) was established by P.L. 104-208, made permanent by P.L. 108-447 and codified as 31 U.S.C. 322, note.

The Fund is revolving in nature and provides accounting, procurement, travel, human resources, and information technology services through the Fiscal Service, Administrative Resource Center (ARC). Services are provided to Federal customers, on a reimbursable, fee-for-service basis. ARC has provided effective administrative support services since joining the Fund in 1998 and has been designated a Center of Excellence as a Federal shared service provider under both the Financial Management and Information Systems Security Lines of Business. In addition, ARC has critical supporting roles in the Human Resources and Public Key Infrastructure Shared Service Provider designations of the Department of the Treasury.

Starting in FY 2014, Treasury Working Capital Fund functions will be brought into the Treasury Franchise Fund.

Object Classification (in millions of dollars)

Identification code 20-4560-0-4-803	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	87	94	124
11.3 Other than full-time permanent .....	1	.....	.....
11.5 Other personnel compensation .....	4	4	5
11.9 Total personnel compensation .....	92	98	129
12.1 Civilian personnel benefits .....	28	31	37
13.0 Benefits for former personnel .....	.....	.....	1
21.0 Travel and transportation of persons .....	2	1	1
23.1 Rental payments to GSA .....	.....	.....	3
23.3 Communications, utilities, and miscellaneous charges .....	4	3	4
25.1 Advisory and assistance services .....	15	8	31
25.2 Other services from non-Federal sources .....	16	8	63
25.3 Other goods and services from Federal sources .....	48	43	112
25.4 Operation and maintenance of facilities .....	.....	.....	1
25.7 Operation and maintenance of equipment .....	35	38	39
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	27	17	16
99.9 Total new obligations .....	268	248	438

Employment Summary

Identification code 20-4560-0-4-803	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,160	1,293	1,516

ADMINISTRATIVE EXPENSES, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 20-0129-0-1-803	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	.....	.....
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	.....	.....

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	.....	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1	.....	.....

The funding appropriated to this account supports the implementation and administration of a number of American Recovery

and Reinvestment Act tax, bond and cash assistance programs across the Department of the Treasury.

year, through 2011, the time within which certain eligible property must be placed in service or start construction.

GRANTS FOR SPECIFIED ENERGY PROPERTY IN LIEU OF TAX CREDITS, RECOVERY ACT

GRANTS TO STATES FOR LOW-INCOME HOUSING PROJECTS IN LIEU OF LOW-INCOME HOUSING CREDIT ALLOCATIONS

Program and Financing (in millions of dollars)

Program and Financing (in millions of dollars)

Identification code 20-0140-0-1-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct Program Activity .....	5,375	8,080	4,710
0900 Total new obligations (object class 41.0) .....	5,375	8,080	4,710
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	5,376	8,080	4,710
1260 Appropriations, mandatory (total) .....	5,376	8,080	4,710
1930 Total budgetary resources available .....	5,376	8,081	4,711
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	294	294
3010 Obligations incurred, unexpired accounts .....	5,375	8,080	4,710
3020 Outlays (gross) .....	-5,082	-8,080	-4,710
3050 Unpaid obligations, end of year .....	294	294	294
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	294	294
3200 Obligated balance, end of year .....	294	294	294
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	5,376	8,080	4,710
Outlays, gross:			
4100 Outlays from new mandatory authority .....		7,786	4,710
4101 Outlays from mandatory balances .....	5,082	294	
4110 Outlays, gross (total) .....	5,082	8,080	4,710
4180 Budget authority, net (total) .....	5,376	8,080	4,710
4190 Outlays, net (total) .....	5,082	8,080	4,710

Identification code 20-0139-0-1-604	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	7		
1029 Other balances withdrawn .....	-7		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	635	1	1
3020 Outlays (gross) .....	-627		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	635	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	627		
4190 Outlays, net (total) .....	627		

Section 1603 of the American Recovery and Reinvestment Act of 2009 authorized and directed the Secretary of the Treasury to establish payments in lieu of tax credits for taxpayers that place in service qualifying renewable energy facilities. This account presents the estimated disbursements for this program.

Section 1602 of the American Recovery and Reinvestment Act of 2009 (Recovery Act) authorized and directed the Secretary of the Treasury to establish payments to States for low-income housing projects in lieu of low-income housing tax credits (LIHTC). This account presents the estimated disbursements for this program.

This program provides payments for specified energy property (including qualified facilities that produce electricity from wind and certain other renewable resources; qualified fuel cell property; solar property; qualified small wind energy property; geothermal property; qualified microturbine property; combined heat and power system property; and geothermal heat pump property). Payments are available for property placed in service in 2009, 2010 or 2011. In some cases, if construction begins in 2009, 2010, or 2011, the payment can be claimed for property placed in service before 2013, 2014 or 2017 (depending on the type of property). In general, projects that meet eligibility criteria for the energy property investment tax credit (ITC) (including qualified renewable energy facilities for which an election to claim the ITC can be made) are eligible for the payments. A person or entity receiving a payment for specified energy property may not claim either the investment tax credit or the renewable energy production tax credit with respect to the same property. The Tax Relief, Unemployment Insurance Reauthorization and Job Creation Act of 2010 (Public Law 111-312), Section 707(a), extended for one

The program provides payments to State housing credit agencies to make sub-awards to finance the construction or acquisition and rehabilitation of qualified low-income housing in the same manner and generally subject to the same limitations as LIHTCs allocated under section 42 of the Internal Revenue Code (IRC) through December 31, 2011. The Recovery Act specifies that the exchange of credits for cash payments applies only to the 2009 LIHTC ceiling under IRC 42(h)(3)(C), and that states may elect to exchange credits for cash payments subject to the requirements and limitations provided in Division B, sections 1404 & 1602 of the Recovery Act.

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM ACCOUNT

To carry out the Community Development Banking and Financial Institutions Act of 1994 (Public Law 103-325), including services authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for EX-3, \$224,936,000, to remain available until September 30, 2015; of which \$12,000,000 shall be for financial assistance, technical assistance, training and outreach programs, designed to benefit Native American, Native Hawaiian, and Alaskan Native communities and provided primarily through qualified community development lender organizations with experience and expertise in community development banking and lending in Indian country, Native American organizations, tribes and tribal organizations and other suitable providers; of which, notwithstanding section 108(d) of such Act, up to \$35,000,000 shall be for a Healthy Food Financing Initiative to provide financial assistance, technical assistance, training, and outreach to community development financial institutions for the purpose of offering affordable financing and technical assistance to expand the availability of healthy food options in distressed communities; of which \$10,000,000 shall be for the Bank Enterprise Awards program; and of which up to \$23,636,000 may be used for administrative expenses, including administration of the New Markets Tax Credit Program and the CDFI Bond Guarantee Program,

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM  
ACCOUNT—Continued

and up to \$300,000 for administrative expenses to carry out the direct loan program; and of which up to \$2,222,500 may be used for the cost of direct loans: Provided, That the cost of direct and guaranteed loans, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$25,000,000: Provided further, That up to \$10,000,000 of the amounts provided under this heading shall be available for the cost of guarantees pursuant to and as authorized by section 114A of the Riegle Community Development and Regulatory Improvement Act of 1994 (12 U.S.C. 4701 et seq.): Provided further, That funds for the cost of guarantees are available to subsidize total loan principal not to exceed \$1,000,000,000: Provided further, That, pursuant to such section 114A, up to \$1,000,000 collected from administration fees may be used for administrative expenses of the CDFI Bond Guarantee Program, and shall be in addition to funds otherwise provided for administrative expenses of the CDFI Bond Guarantee Program.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20–1881–0–1–451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0009 General Administrative Expenses	23	23	24
0012 Financial Assistance	142	141	144
0014 Native American/Hawaiian Program	13	12	12
0026 Healthy Food Initiative	21	20	35
0028 Bank Enterprise Award	22	18	10
0030 Small Business Bond Guarantee	6		
0091 Direct program activities, subtotal	227	214	225
Credit program obligations:			
0701 Direct loan subsidy	6	8	2
0705 Reestimates of direct loan subsidy	1	1	
0706 Interest on reestimates of direct loan subsidy	1		
0791 Direct program activities, subtotal	8	9	2
0900 Total new obligations	235	223	227
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	53	38	46
1001 Discretionary unobligated balance brought fwd, Oct 1	52	37	
1021 Recoveries of prior year unpaid obligations	3	7	5
1050 Unobligated balance (total)	56	45	51
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	221	222	225
1160 Appropriation, discretionary (total)	221	222	225
Appropriations, mandatory:			
1200 Appropriation	1	1	
1260 Appropriations, mandatory (total)	1	1	
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	223	224	226
1930 Total budgetary resources available	279	269	277
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6		
1941 Unexpired unobligated balance, end of year	38	46	50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	130	176	95
3010 Obligations incurred, unexpired accounts	235	223	227
3020 Outlays (gross)	-184	-297	-210
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-7	-5
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	176	95	107
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	130	176	95

3200 Obligated balance, end of year	176	95	107
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	222	223	226
Outlays, gross:			
4010 Outlays from new discretionary authority	28	139	141
4011 Outlays from discretionary balances	156	157	69
4020 Outlays, gross (total)	184	296	210
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1	-1	-1
Mandatory:			
4090 Budget authority, gross	1	1	
Outlays, gross:			
4101 Outlays from mandatory balances		1	
4180 Budget authority, net (total)	222	223	225
4190 Outlays, net (total)	183	296	209
<b>Memorandum (non-add) entries:</b>			
5010 Total investments, SOY: non-Fed securities: Market value	21	21	25
5011 Total investments, EOY: non-Fed securities: Market value	21	25	25

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 20–1881–0–1–451	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Community Development Financial Institutions Prog Fin Assist	15	25	25
115002 Bond Guarantee Program			1,000
115999 Total direct loan levels	15	25	1,025
Direct loan subsidy (in percent):			
132001 Community Development Financial Institutions Prog Fin Assist	40.26	32.15	8.89
132002 Bond Guarantee Program			0.00
132999 Weighted average subsidy rate	40.26	32.15	0.22
Direct loan subsidy budget authority:			
133001 Community Development Financial Institutions Prog Fin Assist	6	8	2
133999 Total subsidy budget authority	6	8	2
Direct loan subsidy outlays:			
134001 Community Development Financial Institutions Prog Fin Assist		7	4
134999 Total subsidy outlays		7	4
Direct loan upward reestimates:			
135001 Community Development Financial Institutions Prog Fin Assist	1	1	
135999 Total upward reestimate budget authority	1	1	
Direct loan downward reestimates:			
137001 Community Development Financial Institutions Prog Fin Assist	-4	-1	
137999 Total downward reestimate budget authority	-4	-1	

The Community Development Financial Institutions (CDFI) Fund promotes economic and community development through investment in and assistance to CDFIs, which include community development banks, credit unions, loan funds, and venture capital funds, in order to expand the availability of financial services and affordable credit for underserved populations, including distressed urban, rural, Native American, Native Hawaiian, and Alaska Native communities. The CDFI Fund's role in promoting community and economic development was expanded in FY 2001 when the Secretary of the Treasury delegated to the CDFI Fund the responsibility of administering the New Markets Tax Credit (NMTC) Program, which spurs investment of new private sector capital into low-income communities.

The FY 2014 Budget provides funding for the CDFI Fund's merit-based financial and technical assistance programs, including the Healthy Food Financing Initiative, which provides financial and technical assistance to CDFIs in order to expand the offering of affordable financing for healthy food retail options in distressed communities, and the Bank Enterprise Awards Program, which provides grants to FDIC-insured banks and thrifts

that invest in CDFIs and increase their lending and financial services in economically distressed communities. In addition, the Budget proposes to permanently reauthorize the NMTC in 2014, and requests \$5 billion of allocation authority per year, as well as authority to offset Alternative Minimum Tax liability. The Budget also proposes a new Manufacturing Communities Tax Credit (MCTC), with \$2 billion in tax credit authority in each of three years through 2016. The NMTC allocations will expand the availability of affordable financing for operating businesses and real estate projects in low-income communities (such as renewable energy projects, charter schools, health care centers, manufacturing facilities, and retail centers), and the MCTC will support investments in communities affected by military base closures or mass layoffs.

The CDFI Fund's Bond Guarantee Program, established in the Small Business Jobs Act of 2010 (Public Law 111-240), will support CDFI lending and investment activity by providing a source of long-term capital in low-income and underserved communities. The proceeds of these bonds will help spur job creation among small businesses and entrepreneurs, and provide needed financing for infrastructure development projects such as charter schools and affordable housing. Consistent with the program's authorization, the FY 2014 Budget supports up to \$1 billion in aggregate guarantee authority in FY 2014; the Budget also proposes to extend the program's authorization by one year, through FY 2015, at the current total annual guarantee level.

**Object Classification** (in millions of dollars)

Identification code 20-1881-0-1-451	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	15	6	11
25.3 Other goods and services from Federal sources .....	8	2	2
41.0 Grants, subsidies, and contributions .....	202	204	203
99.9 Total new obligations .....	235	223	227

**Employment Summary**

Identification code 20-1881-0-1-451	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	69	79	76

**COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 20-4088-0-3-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	15	25	1,025
0713 Payment of interest to Treasury .....	2	1	1
0742 Downward reestimate paid to receipt account .....	2	1	.....
0743 Interest on downward reestimates .....	1	.....	.....
0900 Total new obligations .....	20	27	1,026
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	.....	.....	7
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	13	23	1,023
1440 Borrowing authority, mandatory (total) .....	13	23	1,023
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	8	16	12
1801 Change in uncollected payments, Federal sources .....	6	.....	.....

1825 Spending authority from offsetting collections applied to repay debt .....	-7	-5	-6
1850 Spending auth from offsetting collections, mand (total) .....	7	11	6
1900 Financing authority (total) .....	20	34	1,029
1930 Total budgetary resources available .....	20	34	1,036
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	.....	7	10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	15	32
3010 Obligations incurred, unexpired accounts .....	20	27	1,026
3020 Financing disbursements (gross) .....	-5	-10	-80
3050 Unpaid obligations, end of year .....	15	32	978
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	.....	9	26
3200 Obligated balance, end of year .....	9	26	972

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Financing authority, gross .....	20	34	1,029
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....	5	10	80
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-1	-8	-4
4123 Non-Federal sources - Interest repayments .....	-7	-1	-1
4123 Non-Federal sources - Principal Repayments .....	.....	-7	-7
4130 Offsets against gross financing auth and disbursements (total) .....	-8	-16	-12
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
4160 Financing authority, net (mandatory) .....	6	18	1,017
4170 Financing disbursements, net (mandatory) .....	-3	-6	68
4180 Financing authority, net (total) .....	6	18	1,017
4190 Financing disbursements, net (total) .....	-3	-6	68

**Status of Direct Loans** (in millions of dollars)

Identification code 20-4088-0-3-451	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Limitation on direct loans .....	25	25	1,025
1142 Unobligated direct loan limitation (-) .....	-10	.....	.....
1150 Total direct loan obligations .....	15	25	1,025
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	52	46	50
1231 Disbursements: Direct loan disbursements .....	.....	10	68
1251 Repayments: Repayments and prepayments .....	-6	-4	-8
1263 Write-offs for default: Direct loans .....	.....	-2	-2
1290 Outstanding, end of year .....	46	50	108

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 20-4088-0-3-451	2011 actual	2012 actual
<b>ASSETS:</b>		
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	52	46
1405 Allowance for subsidy cost (-) .....	-16	-13
1499 Net present value of assets related to direct loans .....	36	33
1999 Total assets .....	36	33
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	36	33

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND DIRECT LOAN  
FINANCING ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 20-4088-0-3-451	2011 actual	2012 actual
4999 Total liabilities and net position .....	36	33

OFFICE OF FINANCIAL STABILITY  
Program and Financing (in millions of dollars)

Identification code 20-0128-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	262	289	186
0811 Reimbursable program (to GAO) .....	2	2	2
0812 Reimbursable program (to Treasury and Non-Treasury agencies) .....	18	15	13
0899 Total reimbursable obligations .....	20	17	15
0900 Total new obligations .....	282	306	201
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	323	306	201
1260 Appropriations, mandatory (total) .....	323	306	201
1930 Total budgetary resources available .....	323	306	201
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-41		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	196	164	61
3010 Obligations incurred, unexpired accounts .....	282	306	201
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-274	-389	-222
3041 Recoveries of prior year unpaid obligations, expired .....	-42	-20	
3050 Unpaid obligations, end of year .....	164	61	40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	196	164	61
3200 Obligated balance, end of year .....	164	61	40
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	323	306	201
Outlays, gross:			
4100 Outlays from new mandatory authority .....	161	245	161
4101 Outlays from mandatory balances .....	113	144	61
4110 Outlays, gross (total) .....	274	389	222
4180 Budget authority, net (total) .....	323	306	201
4190 Outlays, net (total) .....	274	389	222

The Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) authorized the establishment of the Troubled Asset Relief Program (TARP) and the Office of Financial Stability (OFS) to purchase and insure certain types of troubled assets for the purpose of providing stability to and preventing disruption in the economy and financial systems and protecting taxpayers. The Act gives the Treasury Secretary broad and flexible authority to purchase and insure mortgages and other troubled assets, as well as inject capital by taking limited equity positions, as needed to stabilize the financial markets. This account provides for the administrative costs for the OFS, which oversees and manages the TARP.

Object Classification (in millions of dollars)

Identification code 20-0128-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	20	20	15
12.1 Civilian personnel benefits .....	6	5	5
21.0 Travel and transportation of persons .....	1	1	1

25.2 Other services from non-Federal sources .....	236	263	165
99.0 Direct obligations .....	263	289	186
99.0 Reimbursable obligations .....	19	17	15
99.9 Total new obligations .....	282	306	201

Employment Summary

Identification code 20-0128-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	172	161	126
2001 Reimbursable civilian full-time equivalent employment .....	2	2	2

TROUBLED ASSET RELIEF PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 20-0132-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	4,890		
0706 Interest on reestimates of direct loan subsidy .....	2,932	43	
0707 Reestimates of loan guarantee subsidy .....	28		
0708 Interest on reestimates of loan guarantee subsidy .....	8		
0900 Total new obligations (object class 41.0) .....	7,858	43	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	7,858	43	
1260 Appropriations, mandatory (total) .....	7,858	43	
1930 Total budgetary resources available .....	7,858	43	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	134	43	
3010 Obligations incurred, unexpired accounts .....	7,858	43	
3020 Outlays (gross) .....	-7,858	-43	
3041 Recoveries of prior year unpaid obligations, expired .....	-91	-43	
3050 Unpaid obligations, end of year .....	43		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	134	43	
3200 Obligated balance, end of year .....	43		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7,858	43	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7,858	43	
4180 Budget authority, net (total) .....	7,858	43	
4190 Outlays, net (total) .....	7,858	43	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 20-0132-0-1-376	2012 actual	2013 CR	2014 est.
Direct loan subsidy outlays:			
134004 Legacy Securities Public-Private Investment Program .....	-87		
134999 Total subsidy outlays .....	-87		
Direct loan upward reestimates:			
135001 Automotive Industry Financing Program .....	7,590		
135003 Small Business Lending Initiative—7(a) purchases .....		1	
135004 Legacy Securities Public-Private Investment Program .....	232	42	
135999 Total upward reestimate budget authority .....	7,822	43	
Direct loan downward reestimates:			
137001 Automotive Industry Financing Program .....	-1,433	-3,036	
137002 Term-Asset Backed Securities Loan Facility (TALF) .....	-131	-109	
137003 Small Business Lending Initiative—7(a) purchases .....	-4	-2	
137004 Legacy Securities Public-Private Investment Program .....	-70	-192	
137999 Total downward reestimate budget authority .....	-1,638	-3,339	
Guaranteed loan upward reestimates:			
235001 Asset Guarantee Program .....	36		
235999 Total upward reestimate budget authority .....	36		

Guaranteed loan downward reestimates:			
237001	Asset Guarantee Program .....	-204	.....
237999	Total downward reestimate subsidy budget authority .....	-204	.....

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110–343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with the TARP direct loans obligated and loan guarantees (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year). The subsidy amounts are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA. The direct loan programs serviced by this account include the Automotive Industry Financing Program (AIFP), Term-Asset Backed Securities Loan Facility (TALF), Public-Private Investment Program (PIIP) and the Small Business Lending Initiative (SBLI). The AIFP was developed to prevent a significant disruption to the American automotive industry, which would have resulted in widespread damage to the U.S. economy. The TALF was developed to stimulate investor demand for certain types of eligible asset-backed securities, specifically those backed by loans to consumers and small businesses, and ultimately, bring down the cost and increase the availability of new credit to consumers and businesses. The PIIP was developed to improve the condition of financial institutions by facilitating the removal of legacy assets from their balance sheets. The SBLI was developed to provide additional liquidity to the Small Business Administration's 7(a) market so that banks are able to make more small business loans. The guaranteed loan commitments that were serviced by this account include the Asset Guarantee Program (AGP). The AGP provided guarantees for assets held by systemically significant financial institutions (Bank of America and Citigroup) that faced a risk of losing market confidence due in large part to a portfolio of distressed or illiquid assets.

The Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203), enacted on July 21, 2010, reduced TARP authority to purchase troubled assets from \$700 billion to \$475 billion; required that repayments of amounts invested under TARP cannot be used to increase purchase authority and are dedicated to reducing the Federal debt; and prohibited new obligations for any program or initiative that had not been initiated by June 25, 2010.

The authority to make new financial commitments via the TARP expired on October 3, 2010 under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

**TROUBLED ASSET RELIEF PROGRAM DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 20–4277–0–3–376

	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	790	2,362	1,247
0739	Disposition Fees .....	7	.....	.....
0742	Downward reestimate paid to receipt account .....	1,556	1,862	.....
0743	Interest on downward reestimates .....	83	1,477	.....
0900	Total new obligations .....	2,429	5,708	1,247

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7,682	1,376	.....
1021	Recoveries of prior year unpaid obligations .....	6,114	4,650	.....

1023	Unobligated balances applied to repay debt .....	-6,440	-3,415	.....
1024	Unobligated balance of borrowing authority withdrawn .....	-5,832	-2,611	.....
1050	Unobligated balance (total) .....	1,524	.....	.....
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	156	3,564	35
1440	Borrowing authority, mandatory (total) .....	156	3,564	35
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections .....	13,883	15,847	7,406
1801	Change in uncollected payments, Federal sources .....	-91	-43	.....
1825	Spending authority from offsetting collections applied to repay debt .....	-11,667	-13,660	-6,194
1850	Spending auth from offsetting collections, mand (total) .....	2,125	2,144	1,212
1900	Financing authority (total) .....	2,281	5,708	1,247
1930	Total budgetary resources available .....	3,805	5,708	1,247
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1,376	.....	.....

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	11,654	4,650	.....
3010	Obligations incurred, unexpired accounts .....	2,429	5,708	1,247
3020	Financing disbursements (gross) .....	-3,319	-5,708	-1,247
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6,114	-4,650	.....
3050	Unpaid obligations, end of year .....	4,650	.....	.....
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-134	-43	.....
3070	Change in uncollected pymts, Fed sources, unexpired .....	91	43	.....
3090	Uncollected pymts, Fed sources, end of year .....	-43	.....	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	11,520	4,607	.....
3200	Obligated balance, end of year .....	4,607	.....	.....

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....	2,281	5,708	1,247
Financing disbursements:				
4110	Financing disbursements, gross .....	3,319	5,708	1,247
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-7,822	-43	.....
4122	Interest on uninvested funds .....	-223	-424	-623
4123	Principal .....	-5,704	-5,535	-150
4123	Interest .....	-125	-20	.....
4123	Warrants .....	.....	-9,825	-6,633
4123	Sale of Stock .....	-9	.....	.....
4130	Offsets against gross financing auth and disbursements (total) .....	-13,883	-15,847	-7,406
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	91	43	.....
4160	Financing authority, net (mandatory) .....	-11,511	-10,096	-6,159
4170	Financing disbursements, net (mandatory) .....	-10,564	-10,139	-6,159
4180	Financing authority, net (total) .....	-11,511	-10,096	-6,159
4190	Financing disbursements, net (total) .....	-10,564	-10,139	-6,159

**Status of Direct Loans** (in millions of dollars)

Identification code 20–4277–0–3–376	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	11,538	6,634	1,099
1231	Disbursements: Direct loan disbursements .....	803	.....	.....
1251	Repayments: Repayments and prepayments .....	-5,704	-5,535	-150
1264	Write-offs for default: Other adjustments, net (+ or -) .....	-3	.....	.....
1290	Outstanding, end of year .....	6,634	1,099	949

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110–343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 2008 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

**TROUBLED ASSET RELIEF PROGRAM DIRECT LOAN FINANCING ACCOUNT—Continued**

**Balance Sheet** (in millions of dollars)

Identification code 20-4277-0-3-376	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	10,759	3,372
Investments in US securities:		
1106 Receivables, net .....	8,043	
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	22,653	22,653
1401 Direct loans receivable, gross .....	11,538	6,634
1405 Allowance for subsidy cost (-) .....	-2,964	-7,115
1405 Allowance for subsidy cost (-) .....	-9,150	-4,252
1499 Net present value of assets related to direct loans .....	22,077	17,920
1999 Total assets .....	40,879	21,292
<b>LIABILITIES:</b>		
Federal liabilities:		
2104 Resources payable to Treasury .....	39,243	21,292
2105 Other .....	1,636	
2999 Total upward reestimate subsidy BA [20-0132] .....	40,879	21,292
4999 Total liabilities and net position .....	40,879	21,292

**TROUBLED ASSETS INSURANCE FINANCING FUND GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 20-4276-0-3-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	23	50	
0742 Downward reestimate paid to receipt account .....		159	
0743 Interest on downward reestimates .....		45	
0900 Total new obligations .....	23	254	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	60	2	
1023 Unobligated balances applied to repay debt .....	-60		
1050 Unobligated balance (total) .....		2	
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	38	1,012	
1825 Spending authority from offsetting collections applied to repay debt .....	-13	-760	
1850 Spending auth from offsetting collections, mand (total) .....	25	252	
1900 Financing authority (total) .....	25	252	
1930 Total budgetary resources available .....	25	254	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	23	254	
3020 Financing disbursements (gross) .....	-23	-254	
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	25	252	
Financing disbursements:			
4110 Financing disbursements, gross .....	23	254	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-36		
4122 Interest on uninvested funds .....	-2	-29	
4123 Dividends .....		-983	
4130 Offsets against gross financing auth and disbursements (total) .....	-38	-1,012	
4160 Financing authority, net (mandatory) .....	-13	-760	
4170 Financing disbursements, net (mandatory) .....	-15	-758	
4180 Financing authority, net (total) .....	-13	-760	

4190 Financing disbursements, net (total) .....	-15	-758	
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As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 2008 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives Volume.

**Balance Sheet** (in millions of dollars)

Identification code 20-4276-0-3-376	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	60	60
1201 Non-Federal assets: Investments in non-Federal securities, net .....	773	773
1999 Total assets .....	833	833
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	833	833
4999 Total liabilities and net position .....	833	833

**TROUBLED ASSET RELIEF PROGRAM EQUITY PURCHASE PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 20-0134-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0703 Subsidy for modifications of direct loans .....	974		
0705 Reestimates of direct loan subsidy .....	14,724	340	
0706 Interest on reestimates of direct loan subsidy .....	3,714	101	
0900 Total new obligations (object class 41.0) .....	19,412	441	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	19,412	441	
1260 Appropriations, mandatory (total) .....	19,412	441	
1930 Total budgetary resources available .....	19,412	441	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	362	306	
3010 Obligations incurred, unexpired accounts .....	19,412	441	
3020 Outlays (gross) .....	-19,468	-441	
3041 Recoveries of prior year unpaid obligations, expired .....		-306	
3050 Unpaid obligations, end of year .....	306		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	362	306	
3200 Obligated balance, end of year .....	306		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	19,412	441	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	19,412	441	
4101 Outlays from mandatory balances .....	56		
4110 Outlays, gross (total) .....	19,468	441	
4180 Budget authority, net (total) .....	19,412	441	
4190 Outlays, net (total) .....	19,468	441	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 20-0134-0-1-376	2012 actual	2013 CR	2014 est.
Direct loan subsidy outlays:			
134001 Capital Purchase Program .....	973		
134005 Legacy Securities Public-Private Investment Program .....	56		

134999	Total subsidy outlays .....	1,029	.....	.....
	Direct loan upward reestimates:			
135002	AIG Investments .....	14,644	.....	.....
135004	Automotive Industry Financing Program (Equity) .....	3,794	.....	.....
135005	Legacy Securities Public-Private Investment Program .....	441	.....	.....
135999	Total upward reestimate budget authority .....	18,438	441	.....
	Direct loan downward reestimates:			
137001	Capital Purchase Program .....	-1,825	-1,846	.....
137002	AIG Investments .....		-7,125	.....
137004	Automotive Industry Financing Program (Equity) .....		-468	.....
137005	Legacy Securities Public-Private Investment Program .....	-2,375		.....
137006	Community Development Capital Initiative .....	-137	-13	.....
137999	Total downward reestimate budget authority .....	-4,337	-9,452	.....

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110–343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with TARP equity purchase obligations (including modifications of equity purchases that resulted from obligations in any year). The subsidy amounts are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA. The equity purchase programs serviced by this account include the American International Group Investment Program (AIGP), Targeted Investment Program (TIP), Automotive Industry Financing Program (AIFP), Public-Private Investment Program (PPIP), Community Development Capital Initiative (CDCI), and the Capital Purchase Program (CPP). The AIGP was intended to provide stability and prevent disruptions to financial markets from the failure of a systemically significant institution. The TIP was developed to prevent a loss of confidence in critical financial institutions, which could result in significant financial market disruptions, threaten the financial strength of similarly situated financial institutions, impair broader financial markets, and undermine the overall economy. The AIFP was developed to prevent a significant disruption to the American automotive industry, which would have resulted in widespread damage to the U.S. economy. The PPIP was developed to improve the condition of financial institutions by facilitating the removal of legacy assets from their balance sheets. The CDCI was designed to increase lending to small businesses in the country's hardest-hit communities by investing lower-cost capital in Community Development Financial Institutions. The purpose of the CPP was to stabilize the financial system by building the capital base of healthy, viable U.S. financial institutions, which in turn would increase the capacity of those institutions to lend to businesses and consumers and support the economy.

The Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203), enacted on July 21, 2010, reduced TARP authority to purchase troubled assets from \$700 billion to \$475 billion; required that repayments of amounts invested under TARP cannot be used to increase purchase authority and are dedicated to reducing the Federal debt; and prohibited new obligations for any program or initiative that had not been initiated by June 25, 2010.

The authority to make new financial commitments via the TARP expired on October 3, 2010 under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

TRIOUBLED ASSET RELIEF PROGRAM EQUITY PURCHASE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 20–4278–0–3–376	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	1,439	2,493	1,529
0739	Disposition Fees .....	20	20	.....
0742	Downward reestimate paid to receipt account .....	3,504	7,453	.....
0743	Interest on downward reestimates .....	833	1,999	.....
0900	Total new obligations .....	5,796	11,965	1,529
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	13,400	16,242	.....
1021	Recoveries of prior year unpaid obligations .....		1,276	.....
1023	Unobligated balances applied to repay debt .....	-13,400	-15,386	.....
1050	Unobligated balance (total) .....		2,132	.....
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	2,502	3,694	.....
1440	Borrowing authority, mandatory (total) .....	2,502	3,694	.....
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	67,339	15,185	6,906
1801	Change in uncollected payments, Federal sources .....	-56	-306	.....
1825	Spending authority from offsetting collections applied to repay debt .....	-47,747	-8,740	-5,377
1850	Spending auth from offsetting collections, mand (total) .....	19,536	6,139	1,529
1900	Financing authority (total) .....	22,038	9,833	1,529
1930	Total budgetary resources available .....	22,038	11,965	1,529
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	16,242	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,504	1,276	.....
3010	Obligations incurred, unexpired accounts .....	5,796	11,965	1,529
3020	Financing disbursements (gross) .....	-6,024	-11,965	-1,529
3040	Recoveries of prior year unpaid obligations, unexpired .....		-1,276	.....
3050	Unpaid obligations, end of year .....	1,276	.....	.....
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-362	-306	.....
3070	Change in uncollected pymts, Fed sources, unexpired .....	56	306	.....
3090	Uncollected pymts, Fed sources, end of year .....	-306	.....	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,142	970	.....
3200	Obligated balance, end of year .....	970	.....	.....
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....	22,038	9,833	1,529
Financing disbursements:				
4110	Financing disbursements, gross .....	6,024	11,965	1,529
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-19,468	-441	.....
4122	Interest on uninvested funds .....	-380	-615	-435
4123	Dividends .....	-2,816	-271	-142
4123	Warrants .....	-481	-1,529	-1,073
4123	Redemption .....	-44,194	-12,329	-5,256
4130	Offsets against gross financing auth and disbursements (total) .....	-67,339	-15,185	-6,906
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	56	306	.....
4160	Financing authority, net (mandatory) .....	-45,245	-5,046	-5,377
4170	Financing disbursements, net (mandatory) .....	-61,315	-3,220	-5,377
4180	Financing authority, net (total) .....	-45,245	-5,046	-5,377
4190	Financing disbursements, net (total) .....	-61,315	-3,220	-5,377

Status of Direct Loans (in millions of dollars)

Identification code 20–4278–0–3–376	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	88,214	33,786	18,444
1231	Disbursements: Direct loan disbursements .....	245	.....	.....
1251	Repayments: Repayments and prepayments .....	-44,194	-12,329	-5,256

**TROUBLED ASSET RELIEF PROGRAM EQUITY PURCHASE FINANCING  
ACCOUNT—Continued**

**Status of Direct Loans—Continued**

Identification code 20-4278-0-3-376	2012 actual	2013 CR	2014 est.
Write-offs for default:			
1263 Direct loans .....		-3,013	-3,930
1264 Other adjustments, net (+ or -) .....	-10,479		
1290 Outstanding, end of year .....	33,786	18,444	9,258

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from equity purchases obligated in 2008 and beyond (including modifications of equity purchases that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

**Balance Sheet (in millions of dollars)**

Identification code 20-4278-0-3-376	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	14,542	17,212
Investments in US securities:		
1106 Receivables, net .....	19,808	
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	43,416	33,786
1401 Direct loans receivable, gross .....	44,798	
1405 Allowance for subsidy cost (-) .....	-9,461	
1405 Allowance for subsidy cost (-) .....	-20,726	-20,221
1499 Net present value of assets related to direct loans .....	22,690	13,565
1999 Total assets .....	57,040	30,777
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	89,421	30,776
2105 Other .....	2,956	1
2999 Total liabilities .....	92,377	30,777

**TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS**

**Program and Financing (in millions of dollars)**

Identification code 20-0136-0-1-604	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	43,122	40,035	19,988
3020 Outlays (gross) .....	-3,074	-13,146	-7,770
3041 Recoveries of prior year unpaid obligations, expired .....	-13	-6,901	
3050 Unpaid obligations, end of year .....	40,035	19,988	12,218
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	43,122	40,035	19,988
3200 Obligated balance, end of year .....	40,035	19,988	12,218
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	3,074	13,146	7,770
4190 Outlays, net (total) .....	3,074	13,146	7,770

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 20-0136-0-1-604	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 FHA Refi Letter of Credit .....	234	5,229	
215999 Total loan guarantee levels .....	234	5,229	

Guaranteed loan subsidy (in percent):		2012 actual	2013 CR	2014 est.
232001	FHA Refi Letter of Credit .....	4.00	2.48	
232999	Weighted average subsidy rate .....	4.00	2.48	
Guaranteed loan subsidy budget authority:				
233001	FHA Refi Letter of Credit .....	9	129	
233999	Total subsidy budget authority .....	9	129	
Guaranteed loan subsidy outlays:				
234001	FHA Refi Letter of Credit .....	9	129	
234999	Total subsidy outlays .....	9	129	

The Making Home Affordable (MHA) Program was launched in March 2009 under the authority of sections 101 and 109 of the Emergency Economic Stabilization Act of 2008, as amended (EESA) (P.L. 110-343). The centerpiece of MHA is its first lien modification program, the Home Affordable Modification Program (HAMP), which offers affordable and sustainable mortgage modifications to responsible homeowners at risk of losing their homes to foreclosure. Other MHA programs provide temporary mortgage payment relief to unemployed borrowers; increase affordability by modifying second mortgages when a corresponding first mortgage is modified under HAMP; assist borrowers whose loans are highly overleveraged by encouraging servicers to reduce principal; and for borrowers who are unable to retain homeownership, provide a dignified transition to more affordable housing through a short sale or deed-in-lieu of foreclosure. To date, more than 1.9 million borrowers have been offered trial modifications under MHA, and more than 1.1 million homeowners have had their mortgage payments permanently reduced by over \$500 per month. Additionally, state Housing Finance Agencies in eighteen States and the District of Columbia that have been most heavily impacted by the housing crisis, have been allocated a total of \$7.6 billion under EESA to initiate locally-tailored foreclosure prevention programs, including mortgage payment assistance for unemployed borrowers and principal reduction of overleveraged loans. Funds under EESA also support a Federal Housing Administration (FHA) refinance program that allows overleveraged homeowners to refinance into a new FHA-insured loan if their existing mortgage holders agree to a short refinance and to write down principal. For 2014, no costs are ascribed to new FHA guarantees made under this program due to sufficient estimated fees charged by FHA to cover expected losses. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

**TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS, LETTER OF CREDIT  
FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 20-4329-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		1	6
0713 Payment of interest to Treasury .....			1
0900 Total new obligations .....		1	7
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	11	139
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	10	129	
1850 Spending auth from offsetting collections, mand (total) .....	10	129	
1930 Total budgetary resources available .....	11	140	139
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	139	132

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	1	7
3020	Financing disbursements (gross) .....	-1	-7
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	10	129
Financing disbursements:			
4110	Financing disbursements, gross .....	1	7
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-9	-129
4122	Interest on uninvested funds .....	-1	
4130	Offsets against gross financing auth and disbursements (total) .....	-10	-129
4170	Financing disbursements, net (mandatory) .....	-10	-128
4190	Financing disbursements, net (total) .....	-10	-128

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 20-4329-0-3-371	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131	Guaranteed loan commitments exempt from limitation .....	234	5,229
2150	Total guaranteed loan commitments .....	234	5,229
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	73	307
2231	Disbursements of new guaranteed loans .....	234	5,229
2263	Adjustments: Terminations for default that result in claim payments .....		-1
			-6
2290	Outstanding, end of year .....	307	5,535
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	41	

**Balance Sheet** (in millions of dollars)

Identification code 20-4329-0-3-371	2011 actual	2012 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	1
1999	Total assets .....	1
<b>LIABILITIES:</b>		
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	1
4999	Total liabilities and net position .....	1

**SPECIAL INSPECTOR GENERAL FOR THE TROUBLED ASSET RELIEF PROGRAM**  
**SALARIES AND EXPENSES**

For necessary expenses of the Office of the Special Inspector General in carrying out the provisions of the Emergency Economic Stabilization Act of 2008 (Public Law 110-343), \$34,923,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 20-0133-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	40	44
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	34	35
1021	Recoveries of prior year unpaid obligations .....	1	
1050	Unobligated balance (total) .....	35	35

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	42	42
1160	Appropriation, discretionary (total) .....	42	42
1900	Budget authority (total) .....	42	42
1930	Total budgetary resources available .....	77	77
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-2	
1941	Unexpired unobligated balance, end of year .....	35	33

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	10	10
3010	Obligations incurred, unexpired accounts .....	40	44
3020	Outlays (gross) .....	-39	-45
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	
3050	Unpaid obligations, end of year .....	10	9
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	10	10
3200	Obligated balance, end of year .....	10	9

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	42	42
Outlays, gross:			
4010	Outlays from new discretionary authority .....	33	34
4011	Outlays from discretionary balances .....	5	7
4020	Outlays, gross (total) .....	38	41
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances .....	1	4
4180	Budget authority, net (total) .....	42	42
4190	Outlays, net (total) .....	39	45

The Office of the Special Inspector General for the Troubled Asset Relief Program (SIGTARP) was created by the Emergency Economic Stabilization Act of 2008 (EESA). SIGTARP is the only agency solely charged with the mission of transparency, oversight, and enforcement related to the taxpayer's investments to stabilize financial markets through EESA. In order to fulfill its mission, SIGTARP investigates fraud, waste, and abuse related to the Troubled Asset Relief Program (TARP), thereby being a voice for, and protecting the interests of taxpayers.

In 2014, SIGTARP will continue to design and conduct programmatic audits of TARP operations, as well as recipients' compliance with their obligations under relevant law and contract. SIGTARP will also continue to conduct and supervise criminal and civil investigations into any parties suspected of TARP-related fraud, waste, or abuse.

SIGTARP received an initial appropriation of \$50 million in permanent, indefinite budget authority in EESA, in addition to \$15 million directed supplemental funding from the Helping Families Save Their Homes Act of 2009 (P.L. 111-22). Beginning in 2010, SIGTARP has received annual appropriations to fund its operations.

**Object Classification** (in millions of dollars)

Identification code 20-0133-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	19	20
11.5	Other personnel compensation .....	1	2
11.9	Total personnel compensation .....	20	22
12.1	Civilian personnel benefits .....	5	6
21.0	Travel and transportation of persons .....	1	1
25.1	Advisory and assistance services .....	3	4
25.2	Other services from non-Federal sources .....	1	1
25.3	Other goods and services from Federal sources .....	8	8
26.0	Supplies and materials .....	1	1
31.0	Equipment .....	1	1
99.9	Total new obligations .....	40	44

SPECIAL INSPECTOR GENERAL FOR THE TROUBLED ASSET RELIEF PROGRAM—Continued  
Employment Summary

Identification code 20-0133-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	164	192	192

SMALL BUSINESS LENDING FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 20-0141-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....		32	
0706 Interest on reestimates of direct loan subsidy .....		1	
0709 Administrative expenses .....	22	25	20
0900 Total new obligations .....	22	58	20
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	22	58	20
1260 Appropriations, mandatory (total) .....	22	58	20
1930 Total budgetary resources available .....	22	58	20

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	17	1
3010 Obligations incurred, unexpired accounts .....	22	58	20
3020 Outlays (gross) .....	-23	-74	-20
3050 Unpaid obligations, end of year .....	17	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	17	1
3200 Obligated balance, end of year .....	17	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	22	58	20
Outlays, gross:			
4100 Outlays from new mandatory authority .....	14	58	20
4101 Outlays from mandatory balances .....	9	16	
4110 Outlays, gross (total) .....	23	74	20
4180 Budget authority, net (total) .....	22	58	20
4190 Outlays, net (total) .....	23	74	20

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 20-0141-0-1-376	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135001 Small Business Lending Fund Investments .....		34	
135999 Total upward reestimate budget authority .....		34	
Direct loan downward reestimates:			
137001 Small Business Lending Fund Investments .....	-376		
137999 Total downward reestimate budget authority .....	-376		
Administrative expense data:			
3510 Budget authority .....	26	25	20
3580 Outlays from balances .....	9	14	
3590 Outlays from new authority .....	14	25	20

Enacted into law as part of the Small Business Jobs Act of 2010 (P.L. 111-240), the Small Business Lending Fund (SBLF) is a dedicated investment fund that encourages lending to small businesses by providing capital to qualified community banks and community development loan funds (CDLFs) with assets of less than \$10 billion. Through the SBLF, participating Main Street lenders and small businesses can work together to help create jobs and promote economic growth in local communities across the Nation.

In total, the SBLF provided \$4.03 billion to 332 community banks and CDLFs in 2011. Since these institutions leverage their capital, the SBLF could help increase lending to small businesses in an amount that is multiples of the total capital provided.

The account totals also include the costs of administering the program, estimated at \$20 million for 2014.

Object Classification (in millions of dollars)

Identification code 20-0141-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	12	18	13
25.3 Other goods and services from Federal sources .....	5	3	3
41.0 Grants, subsidies, and contributions .....		32	
43.0 Interest and dividends .....		1	
99.9 Total new obligations .....	22	58	20

Employment Summary

Identification code 20-0141-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	27	28	25

SMALL BUSINESS LENDING FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 20-4349-0-3-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	86	86	76
0742 Downward reestimate paid to receipt account .....	368		
0743 Interest on downward reestimates .....	8		
0900 Total new obligations .....	462	86	76
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		78	
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	376		
1440 Borrowing authority, mandatory (total) .....	376		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	164	975	457
1825 Spending authority from offsetting collections applied to repay debt .....		-967	-381
1850 Spending auth from offsetting collections, mand (total) .....	164	8	76
1900 Financing authority (total) .....	540	8	76
1930 Total budgetary resources available .....	540	86	76
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	78		

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	462	86	76
3020 Financing disbursements (gross) .....	-462	-86	-76

Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	540	8	76
Financing disbursements:			
4110 Financing disbursements, gross .....	462	86	76
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - Upward Reestimates .....		-34	
4122 Interest on uninvested funds .....	-2	-11	-1
4123 Non-Federal sources - Principal .....	-48	-842	-387
4123 Non-Federal sources - Dividends .....	-114	-88	-69
4130 Offsets against gross financing auth and disbursements (total) .....	-164	-975	-457
4160 Financing authority, net (mandatory) .....	376	-967	-381

4170	Financing disbursements, net (mandatory)	298	-889	-381
4180	Financing authority, net (total)	376	-967	-381
4190	Financing disbursements, net (total)	298	-889	-381

**Status of Direct Loans** (in millions of dollars)

Identification code 20-4349-0-3-376	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	4,028	3,980	3,132
1251 Repayments: Repayments and prepayments	-48	-842	-387
1263 Write-offs for default: Direct loans		-6	-13
1290 Outstanding, end of year	3,980	3,132	2,732

As authorized by the Small Business Jobs Act of 2010 (P.L. 111-240) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct capital obligated in 2011 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 20-4349-0-3-376	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury		78
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	4,028	3,980
1405 Allowance for subsidy cost (-)	80	54
1499 Net present value of assets related to direct loans	4,108	4,034
1999 Total assets	4,108	4,112
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt	3,737	4,112
2105 Other	371	
2999 Total liabilities	4,108	4,112
4999 Total liabilities and net position	4,108	4,112

**STATE SMALL BUSINESS CREDIT INITIATIVE**

**Program and Financing** (in millions of dollars)

Identification code 20-0142-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administrative Costs	5	7	8
0002 Direct program activity	188	13	
0900 Total new obligations	193	20	8

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	236	43	26
1021 Recoveries of prior year unpaid obligations		3	
1050 Unobligated balance (total)	236	46	26
1930 Total budgetary resources available	236	46	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	43	26	18

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	899	920	386
3010 Obligations incurred, unexpired accounts	193	20	8
3020 Outlays (gross)	-172	-551	-380
3040 Recoveries of prior year unpaid obligations, unexpired		-3	
3050 Unpaid obligations, end of year	920	386	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	899	920	386
3200 Obligated balance, end of year	920	386	14

<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	172	551	380

4190 Outlays, net (total)	172	551	380
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The Small Business Jobs Act of 2010 (P.L. 111-240) created the State Small Business Credit Initiative (SSBCI), which was funded with \$1.5 billion, inclusive of administrative costs, to strengthen State programs that support lending to small businesses and small manufacturers. The SSBCI is expected to help spur up to \$15 billion in lending to small businesses. Under the SSBCI, participating States have access to Federal funds for programs that leverage private lending and investing to help finance small businesses and manufacturers that are credit-worthy, but are having difficulty securing the loans or investments they need to expand and create jobs. The SSBCI will allow States to build on successful models for State small business programs, including collateral support programs, capital access programs (CAPs), and loan guarantee programs. Existing and new state programs are eligible for support under the SSBCI.

In 2012, Treasury approved \$137 million for disbursement to approved applicants and cumulatively through September 30, 2012, SSBCI approved disbursements of \$553 million of the \$1.46 billion apportioned to States. SSBCI estimates disbursing cumulative totals of approximately \$1.1 billion by the end of fiscal year 2013 and the remaining \$360 million by the end of fiscal year 2014. In addition, in order to maximize participation in and the effectiveness of the program, SSBCI expects to spend approximately \$2 million in 2013 and 2014 on dedicated technical assistance to States as they implement these programs and deploy funds to eligible small business.

**Object Classification** (in millions of dollars)

Identification code 20-0142-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	3
25.1 Advisory and assistance services	1	3	3
25.3 Other goods and services from Federal sources	2	2	2
41.0 Grants, subsidies, and contributions	188	13	
99.9 Total new obligations	193	20	8

**Employment Summary**

Identification code 20-0142-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	9	12	12

**GSE PREFERRED STOCK PURCHASE AGREEMENTS**

**Program and Financing** (in millions of dollars)

Identification code 20-0125-0-1-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	18,519		
0900 Total new obligations (object class 33.0)	18,519		

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	231,034	212,515	265,881
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		53,366	
1260 Appropriations, mandatory (total)		53,366	
1930 Total budgetary resources available	231,034	265,881	265,881
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	212,515	265,881	265,881

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	18,519		

GSE PREFERRED STOCK PURCHASE AGREEMENTS—Continued  
Program and Financing—Continued

Identification code 20-0125-0-1-371	2012 actual	2013 CR	2014 est.
3020 Outlays (gross) .....	-18,519		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		53,366	
Outlays, gross:			
4101 Outlays from mandatory balances .....	18,519		
4180 Budget authority, net (total) .....		53,366	
4190 Outlays, net (total) .....	18,519		

In 2008, under temporary authority granted by Section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110-289), Treasury entered into agreements with Fannie Mae and Freddie Mac (the "GSEs") to purchase senior preferred stock of each GSE and to transfer up to \$100 billion in funds when needed to ensure that each company maintains a positive net worth. In May 2009, Treasury increased the Preferred Stock Purchase Agreement (PSPA) funding commitment caps to \$200 billion for each GSE, and in December 2009 Treasury modified the funding commitment caps in the PSPAs to be the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010-2012, less any surplus remaining as of December 31, 2012. Treasury's authority to purchase obligations or other securities of the GSEs or to increase the funding commitment expired on December 31, 2009. As of December 31, 2012, Treasury had made payments of \$187.5 billion under the PSPAs and received \$55.2 billion in scheduled dividend payments.

GSE MORTGAGE-BACKED SECURITIES PURCHASE PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 20-0126-0-1-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Financial Agent Services .....	21	11	10
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	105	432	
0706 Interest on reestimates of direct loan subsidy .....	32	105	
0791 Direct program activities, subtotal .....	137	537	
0900 Total new obligations .....	158	548	10
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	143	537	
1221 Appropriations transferred from other accts [20-1802] .....	15	11	10
1260 Appropriations, mandatory (total) .....	158	548	10
1930 Total budgetary resources available .....	158	548	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	10	12
3010 Obligations incurred, unexpired accounts .....	158	548	10
3020 Outlays (gross) .....	-152	-546	-10
3050 Unpaid obligations, end of year .....	10	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	10	12
3200 Obligated balance, end of year .....	10	12	12
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	158	548	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....	148	537	10
4101 Outlays from mandatory balances .....	4	9	
4110 Outlays, gross (total) .....	152	546	10
4180 Budget authority, net (total) .....	158	548	10

4190 Outlays, net (total) .....	152	546	10
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Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 20-0126-0-1-371	2012 actual	2013 CR	2014 est.
Direct loan subsidy outlays:			
134002 New Issue Bond Program SF .....	-172		
134003 New Issue Bond Program MF .....	-14		
134999 Total subsidy outlays .....	-186		
Direct loan upward reestimates:			
135001 GSE MBS Purchases .....		55	
135002 New Issue Bond Program SF .....	24	461	
135003 New Issue Bond Program MF .....	113	21	
135999 Total upward reestimate budget authority .....	137	537	
Direct loan downward reestimates:			
137001 GSE MBS Purchases .....	-7,457	-760	
137002 New Issue Bond Program SF .....	-141		
137999 Total downward reestimate budget authority .....	-7,598	-760	

In September 2008, Treasury initiated a temporary program to purchase mortgage-backed securities (MBS) issued by Fannie Mae and Freddie Mac, which carry the GSEs' standard guarantee against default. The purpose of the program was to promote liquidity in the mortgage market and, thereby, affordable homeownership by stabilizing the interest rate spreads between mortgage rates and Treasury issuances. Treasury purchased \$226 billion in MBS through December 31, 2009. In March of 2011, Treasury announced that it would begin selling off up to \$10 billion of its MBS holdings per month, subject to market conditions. Treasury completed the orderly disposition of its MBS portfolio on March 19, 2012.

In December 2009, Treasury initiated two additional purchase programs to support State and local Housing Financing Agencies (HFAs). The Temporary Credit and Liquidity Program (TCLP) provides HFAs with credit and liquidity facilities supporting up to \$8.2 billion in existing HFA bonds, temporarily replacing private market facilities that are expiring or imposing unusually high costs to the HFAs due to current market conditions. Under the New Issuance Bond Program (NIBP) Treasury purchased \$15.3 billion in securities of Fannie Mae and Freddie Mac to be backed by new HFA housing bonds, supporting up to several hundred thousand new affordable mortgages and tens of thousands of new affordable rental housing units for working families. In November 2011, Treasury announced a one-year extension, to December 31, 2012, of the contractual deadline for HFAs to use existing NIBP funds. The authority for all of the programs displayed in this account was provided in Section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110-289) and expired on December 31, 2009. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the GSE MBS and State HFA purchase programs, which are treated as direct loans for budget execution. The subsidy amounts are estimated on a present value basis.

Object Classification (in millions of dollars)

Identification code 20-0126-0-1-371	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	21	11	10
41.0 Grants, subsidies, and contributions .....	137	537	
99.9 Total new obligations .....	158	548	10

GSE MORTGAGE-BACKED SECURITIES PURCHASE DIRECT LOAN FINANCING  
ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 20-4272-0-3-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	Payment of interest to Treasury .....	1,055	
0742	Downward reestimate paid to receipt account .....	7,039	752
0743	Interest on downward reestimates .....	418	8
0900	Total new obligations .....	8,512	760
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	7,397	705
1023	Unobligated balances applied to repay debt .....	-7,397	
1050	Unobligated balance (total) .....		705
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	7,457	
1440	Borrowing authority, mandatory (total) .....	7,457	
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	73,710	55
1825	Spending authority from offsetting collections applied to repay debt .....	-71,950	
1850	Spending auth from offsetting collections, mand (total) .....	1,760	55
1900	Financing authority (total) .....	9,217	55
1930	Total budgetary resources available .....	9,217	760
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	705	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		760
3010	Obligations incurred, unexpired accounts .....	8,512	760
3020	Financing disbursements (gross) .....	-8,512	
3050	Unpaid obligations, end of year .....		760
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		760
3200	Obligated balance, end of year .....		760
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	9,217	55
Financing disbursements:			
4110	Financing disbursements, gross .....	8,512	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....		-55
4122	Interest on uninvested funds .....	-524	
4123	Non-Federal sources- Interest .....	-2,601	
4123	Non-Federal sources - Principal .....	-70,585	
4130	Offsets against gross financing auth and disbursements (total) .....	-73,710	-55
4160	Financing authority, net (mandatory) .....	-64,493	
4170	Financing disbursements, net (mandatory) .....	-65,198	-55
4180	Financing authority, net (total) .....	-64,493	
4190	Financing disbursements, net (total) .....	-65,198	-55

## Status of Direct Loans (in millions of dollars)

Identification code 20-4272-0-3-371	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	70,586	
1251	Repayments: Repayments and prepayments .....	-70,586	
1290	Outstanding, end of year .....		

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from GSE MBS Purchase Program purchases. The amounts in the account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 20-4272-0-3-371	2011 actual	2012 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	7,397	705
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	70,586	
1405	Allowance for subsidy cost (-) .....	1,831	
1499	Net present value of assets related to direct loans .....	72,417	
1999	Total assets .....	79,814	705
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....	71,890	
2105	Other Liabilities without Related Budgetary Obligations .....	7,924	705
2999	Total liabilities .....	79,814	705
4999	Total liabilities and net position .....	79,814	705

## STATE HFA DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 20-4298-0-3-371	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	564	477	419
0741	Modification savings .....	373		
0742	Downward reestimate paid to receipt account .....	141		
0900	Total new obligations .....	1,078	477	419
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	31	377	425
1021	Recoveries of prior year unpaid obligations .....	2,884		
1023	Unobligated balances applied to repay debt .....	-150		
1024	Unobligated balance of borrowing authority withdrawn .....	-2,688		
1050	Unobligated balance (total) .....	77	377	425
Financing authority:				
Appropriations, mandatory:				
1200	Appropriation .....	113	16	
1236	Appropriations applied to repay debt .....	-113	-16	
Borrowing authority, mandatory:				
1400	Borrowing authority .....	514	47	
1440	Borrowing authority, mandatory (total) .....	514	47	
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1,972	2,567	1,216
1801	Change in uncollected payments, Federal sources .....	6		
1825	Spending authority from offsetting collections applied to repay debt .....	-1,114	-2,089	-797
1850	Spending auth from offsetting collections, mand (total) .....	864	478	419
1900	Financing authority (total) .....	1,378	525	419
1930	Total budgetary resources available .....	1,455	902	844
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	377	425	425
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7,118	4,421	4,421
3010	Obligations incurred, unexpired accounts .....	1,078	477	419
3020	Financing disbursements (gross) .....	-891	-477	-419
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2,884		
3050	Unpaid obligations, end of year .....	4,421	4,421	4,421
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired .....	-6		
3090	Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7,118	4,415	4,415
3200	Obligated balance, end of year .....	4,415	4,415	4,415
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....	1,378	525	419
Financing disbursements:				
4110	Financing disbursements, gross .....	891	477	419

STATE HFA DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 20-4298-0-3-371	2012 actual	2013 CR	2014 est.
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-137	-482	.....
4122 Interest on uninvested funds .....	-45	-35	-17
4123 Non-Federal sources - Interest .....	-1,790	-359	-348
4123 Non-Federal sources - Principal .....	.....	-1,664	-833
4123 Non-Federal sources - Other .....	.....	-27	-18
4130 Offsets against gross financing auth and disbursements (total) .....	-1,972	-2,567	-1,216
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
4160 Financing authority, net (mandatory) .....	-600	-2,042	-797
4170 Financing disbursements, net (mandatory) .....	-1,081	-2,090	-797
4180 Financing authority, net (total) .....	-600	-2,042	-797
4190 Financing disbursements, net (total) .....	-1,081	-2,090	-797

Status of Direct Loans (in millions of dollars)

Identification code 20-4298-0-3-371	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	15,143	13,683	12,019
1231 Disbursements: Direct loan disbursements .....	.....	.....	.....
1251 Repayments: Repayments and prepayments .....	-1,460	-1,664	-833
1290 Outstanding, end of year .....	13,683	12,019	11,186

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the Treasury state HFA programs. The amounts in the account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 20-4298-0-3-371	2011 actual	2012 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	515	658
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	15,143	13,683
1405 Allowance for subsidy cost (-) .....	-670	-539
1499 Net present value of assets related to direct loans .....	14,473	13,144
1999 Total assets .....	14,988	13,802
LIABILITIES:		
2103 Federal liabilities: Debt .....	14,988	13,802
4999 Total liabilities and net position .....	14,988	13,802

Trust Funds

CAPITAL MAGNET FUND, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS

Program and Financing (in millions of dollars)

Identification code 20-8524-0-7-451	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	.....	.....
3020 Outlays (gross) .....	-5	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	.....	.....
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5	.....	.....
4190 Outlays, net (total) .....	5	.....	.....

The Housing and Economic Recovery Act (HERA) of 2008 (P.L. 110-289) established the Capital Magnet Fund (CMF) to assist

Community Development Financial Institutions (CDFIs) and other non-profits to expand financing for the development, rehabilitation and purchase of affordable housing and economic development projects in distressed communities. As authorized in HERA, CMF was to receive funding via a set-aside from Government Sponsored Enterprises; however, such contributions have been suspended indefinitely. The amounts in this account were transferred from the CDFI Fund program account.

All CMF funds were disbursed in FY 2012, and the program has not received additional appropriations or deposits since its inception in FY 2010. In FY 2013, the CDFI Fund will baseline awardee performance reporting. Pursuant to the program's assistance agreements, awardees are required in the first five years to report on leveraging and use of CMF dollars, and once the funds are fully deployed, are required to report annually the number of affordable housing units developed, the number and percentage of low-income renters or owners, and the number and percentage of very low-income renters or owners.

GIFTS AND BEQUESTS

Program and Financing (in millions of dollars)

Identification code 20-8790-0-7-803	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value .....	1	1	.....
5001 Total investments, EOY: Federal securities: Par value .....	1	.....	.....

This account was established pursuant to 31 U.S.C. 321 to receive gifts and bequests to the Department. These funds support the restoration of the Treasury building and historical collection of art, furniture, and artifacts owned by the Department. Recent Treasury building gifts have funded the restoration of the trompe l'oeil wall decoration, the Cash Room ceiling, the monumental West Dome, and the West Lobby finishes and chandelier. The fund is also used as an endowment for Treasury's restored rooms.

FINANCIAL CRIMES ENFORCEMENT NETWORK

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Financial Crimes Enforcement Network, including hire of passenger motor vehicles; travel and training expenses of non-Federal and foreign government personnel to attend meetings and training concerned with domestic and foreign financial intelligence activities, law enforcement, and financial regulation; not to exceed \$14,000 for official reception and representation expenses; and for assistance to Federal law enforcement agencies, with or without reimbursement, \$103,909,000, of which not to exceed \$34,335,000 shall remain available until September 30, 2016: Provided, That funds appropriated in this account may be used to procure personal services contracts.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 20-0173-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 BSA administration and Analysis .....	109	111	104
0002 Regulatory support programs, including money services businesses .....	1		
0799 Total direct obligations .....	110	111	104
0801 Reimbursable program .....	8	3	3
0900 Total new obligations .....	118	114	107
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	31	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	111	111	104
1160 Appropriation, discretionary (total) .....	111	111	104
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	3	3
1701 Change in uncollected payments, Federal sources .....	6		
1750 Spending auth from offsetting collections, disc (total) .....	8	3	3
1900 Budget authority (total) .....	119	114	107
1930 Total budgetary resources available .....	150	145	138
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	31	31	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27	35	25
3010 Obligations incurred, unexpired accounts .....	118	114	107
3020 Outlays (gross) .....	-109	-124	-109
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	35	25	23
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6		
3071 Change in uncollected pymts, Fed sources, expired .....	8		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	28	18
3200 Obligated balance, end of year .....	28	18	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	119	114	107
Outlays, gross:			
4010 Outlays from new discretionary authority .....	73	87	81
4011 Outlays from discretionary balances .....	36	37	28
4020 Outlays, gross (total) .....	109	124	109
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-6		
4052 Offsetting collections credited to expired accounts .....	8		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	111	111	104
4080 Outlays, net (discretionary) .....	99	121	106
4180 Budget authority, net (total) .....	111	111	104
4190 Outlays, net (total) .....	99	121	106

The mission of FinCEN is to safeguard the financial system from illicit activity, combat money laundering, and promote national security through the collection, analysis, and dissemination of financial intelligence and strategic use of financial authorities. FinCEN carries out its mission by exercising regulatory functions under the Bank Secrecy Act; targeting examination and enforcement efforts in high risk areas; receiving and maintaining financial transaction data; analyzing and disseminating the data for law enforcement purposes; and serving as the financial intelligence unit of the United States, which involves building global

cooperation with counterpart organizations in foreign countries and international groups.

## Object Classification (in millions of dollars)

Identification code 20-0173-0-1-751	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	34	40	40
12.1 Civilian personnel benefits .....	10	11	11
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	5	6	7
23.3 Communications, utilities, and miscellaneous charges .....	1	1	2
25.1 Advisory and assistance services .....	2	2	1
25.2 Other services from non-Federal sources .....	8	13	9
25.3 Other goods and services from Federal sources .....	12	7	7
25.4 Operation and maintenance of facilities .....		1	1
25.7 Operation and maintenance of equipment .....	12	19	18
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	24	9	6
99.0 Direct obligations .....	110	111	104
99.0 Reimbursable obligations .....	8	3	3
99.9 Total new obligations .....	118	114	107

## Employment Summary

Identification code 20-0173-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	299	345	340
2001 Reimbursable civilian full-time equivalent employment .....	2	1	1

## FISCAL SERVICE

### Federal Funds

## SALARIES AND EXPENSES, FISCAL SERVICE

For necessary expenses of operations of the Bureau of the Fiscal Service, \$360,165,000; of which not to exceed \$4,210,000, to remain available until September 30, 2016, is for information systems modernization initiatives; and of which \$8,740,000 shall remain available until September 30, 2016 for expenses related to the consolidation of Financial Management Service and the Bureau of the Public Debt; and of which \$5,000 shall be available for official reception and representation expenses.

In addition, \$165,000, to be derived from the Oil Spill Liability Trust Fund to reimburse administrative and personnel expenses for financial management of the Fund, as authorized by section 1012 of Public Law 101-380.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 20-0520-0-1-800	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	3	3	26
Receipts:			
0220 Debt Collection .....	97	97	97
0400 Total: Balances and collections .....	100	100	123
Appropriations:			
0500 Salaries and Expenses, Fiscal Service .....	-97	-74	-89
0799 Balance, end of year .....	3	26	34

## Program and Financing (in millions of dollars)

Identification code 20-0520-0-1-800	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Collections .....	23	21	22
0002 Debt Collection .....	87	74	89
0003 DoNOT Pay Business Center .....	5	10	5
0004 Government Agency Investment Services .....	16	16	14
0005 Government-wide Accounting and Reporting .....	72	65	65
0006 Payments .....	121	133	126

SALARIES AND EXPENSES, FISCAL SERVICE—Continued  
Program and Financing—Continued

Identification code 20-0520-0-1-800	2012 actual	2013 CR	2014 est.
0007 Retail Securities Services .....	108	117	101
0008 Summary Debt Accounting .....	19	9	5
0009 Wholesale Securities Services .....	18	24	22
0799 Total direct obligations .....	469	469	449
0801 Reimbursable program activity .....	172	174	150
0900 Total new obligations .....	641	643	599
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	99	114	117
1012 Unobligated balance transfers between expired and unexpired accounts .....	4	3	3
1021 Recoveries of prior year unpaid obligations .....	2	2	2
1050 Unobligated balance (total) .....	105	119	122
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	389	393	360
1120 Appropriations transferred to other accts [20-0520] .....	-15	-5	-14
1121 Appropriations transferred from other accts [20-0520] .....	15	5	14
1160 Appropriation, discretionary (total) .....	389	393	360
Appropriations, mandatory:			
1201 Special Fund 20-5445 .....	97	74	89
1260 Appropriations, mandatory (total) .....	97	74	89
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	150	173	150
1700 Offsetting collections (user fees) .....	2	1	
1701 Change in uncollected payments, Federal sources .....	20		
1750 Spending auth from offsetting collections, disc (total) .....	172	174	150
1900 Budget authority (total) .....	658	641	599
1930 Total budgetary resources available .....	763	760	721
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-8		
1941 Unexpired unobligated balance, end of year .....	114	117	122
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	2	2	2
1952 Expired unobligated balance, start of year .....	4	4	4
1953 Expired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	126	108	54
3010 Obligations incurred, unexpired accounts .....	641	643	599
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-648	-695	-589
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	-2
3041 Recoveries of prior year unpaid obligations, expired .....	-14		
3050 Unpaid obligations, end of year .....	108	54	62
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-24	-26	-26
3070 Change in uncollected pymts, Fed sources, unexpired .....	-20		
3071 Change in uncollected pymts, Fed sources, expired .....	18		
3090 Uncollected pymts, Fed sources, end of year .....	-26	-26	-26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	102	82	28
3200 Obligated balance, end of year .....	82	28	36
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	561	567	510
Outlays, gross:			
4010 Outlays from new discretionary authority .....	489	488	439
4011 Outlays from discretionary balances .....	71	52	61
4020 Outlays, gross (total) .....	560	540	500
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Baseline Program [Text] .....	-165	-173	-150
4033 Baseline Program [Text] .....	-3	-1	
4040 Offsets against gross budget authority and outlays (total) .....	-168	-174	-150
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-20		
4052 Offsetting collections credited to expired accounts .....	16		
4060 Additional offsets against budget authority only (total) .....	-4		

4070 Budget authority, net (discretionary) .....	389	393	360
4080 Outlays, net (discretionary) .....	392	366	350
Mandatory:			
4090 Budget authority, gross .....	97	74	89
Outlays, gross:			
4100 Outlays from new mandatory authority .....		62	78
4101 Outlays from mandatory balances .....	88	93	11
4110 Outlays, gross (total) .....	88	155	89
4180 Budget authority, net (total) .....	486	467	449
4190 Outlays, net (total) .....	480	521	439

On October 7, 2012, the administrative operations provided under the Bureau of the Public Debt and the Financial Management Service were consolidated into the Bureau of the Fiscal Service. This consolidation eliminates duplicative functions and improves the Department's ability to provide financial management leadership across the Federal Government while maintaining existing core federal financial management operations. This includes providing the disbursement of federal government payments and receipts; collecting delinquent debt; providing government-wide accounting and reporting services; borrowing the money needed to operate the federal government; accounting for the debt; and providing accounting and other reimbursable services to government agencies.

The Budget provides resources to support the core operational activities of the Bureau of the Fiscal Service, with a focus on increasing the number of electronic transactions with the public; reducing improper payments; improving the effectiveness of debt collection activities; and developing new solutions for streamlining government-wide accounting.

Object Classification (in millions of dollars)

Identification code 20-0520-0-1-800	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	151	201	194
11.1 Full-time permanent .....	34		
11.3 Other than full-time permanent .....	3	2	2
11.5 Other personnel compensation .....	2	7	7
11.8 Special personal services payments .....	4	32	37
11.9 Total personnel compensation .....	194	242	240
12.1 Civilian personnel benefits .....	45	55	50
12.1 Civilian personnel benefits .....	9		
13.0 Benefits for former personnel .....	2		1
21.0 Travel and transportation of persons .....	2	4	4
21.0 Travel and transportation of persons .....	1		
23.1 Rental payments to GSA .....	21	26	26
23.1 Rental payments to GSA .....	1		
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	10	13	13
23.3 Communications, utilities, and miscellaneous charges .....	5		
24.0 Printing and reproduction .....		1	
25.1 Advisory and assistance services .....	4	10	15
25.1 Advisory and assistance services .....	7		
25.2 Other services from non-Federal sources .....	39	25	26
25.2 Other services from non-Federal sources .....	20		
25.3 Other goods and services from Federal sources .....	85	60	43
25.3 Other goods and services from Federal sources .....	4		
25.4 Operation and maintenance of facilities .....	2	2	1
25.7 Operation and maintenance of equipment .....	3	13	9
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	3	4	4
26.0 Supplies and materials .....	1		
31.0 Equipment .....	3	11	12
31.0 Equipment .....	3		
32.0 Land and structures .....	2	2	4
32.0 Land and structures .....	1		
99.0 Direct obligations .....	469	469	449
99.0 Reimbursable obligations .....	172	174	150
99.9 Total new obligations .....	641	643	599

**Employment Summary**

Identification code 20–0520–0–1–800	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,171	2,310	2,118
2001 Reimbursable civilian full-time equivalent employment .....	201	263	254

**PAYMENT TO THE YANKTON SIOUX TRIBE DEVELOPMENT TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 20–1888–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			32
0900 Total new obligations (object class 94.0) .....			32
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			32
1260 Appropriations, mandatory (total) .....			32
1930 Total budgetary resources available .....			32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			32
3020 Outlays (gross) .....			–32
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			32
Outlays, gross:			
4100 Outlays from new mandatory authority .....			32
4180 Budget authority, net (total) .....			32
4190 Outlays, net (total) .....			32

The Yankton Sioux Tribe Development Trust Fund was established by P.L. 107–331 to carry out projects and programs under section 206 of the act for economic and infrastructure development projects. The legislation requires principal and a past interest amount to be calculated by the Department of the Treasury and transferred into the fund on October 1, 2013.

**PAYMENT TO THE SANTEE SIOUX TRIBE DEVELOPMENT TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 20–1887–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			7
0900 Total new obligations (object class 94.0) .....			7
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			7
1260 Appropriations, mandatory (total) .....			7
1930 Total budgetary resources available .....			7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			7
3020 Outlays (gross) .....			–7
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			7
Outlays, gross:			
4100 Outlays from new mandatory authority .....			7
4180 Budget authority, net (total) .....			7
4190 Outlays, net (total) .....			7

The Santee Sioux Tribe Development Trust Fund was established by P.L. 107–331 to carry out projects and programs under section 206 of the act for economic and infrastructure development projects. The legislation requires principal and a past interest amount to be calculated by the Department of the Treasury and transferred into the fund on October 1, 2013.

**REIMBURSEMENTS TO FEDERAL RESERVE BANKS**

**Program and Financing** (in millions of dollars)

Identification code 20–0562–0–1–803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	117	113	110
0900 Total new obligations (object class 25.3) .....	117	113	110
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	117	113	110
1260 Appropriations, mandatory (total) .....	117	113	110
1930 Total budgetary resources available .....	117	113	110
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		28	27
3010 Obligations incurred, unexpired accounts .....	117	113	110
3020 Outlays (gross) .....	–118	–114	–111
3050 Unpaid obligations, end of year .....	28	27	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	28	27
3200 Obligated balance, end of year .....	28	27	26
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	117	113	110
Outlays, gross:			
4100 Outlays from new mandatory authority .....	88	85	83
4101 Outlays from mandatory balances .....	30	29	28
4110 Outlays, gross (total) .....	118	114	111
4180 Budget authority, net (total) .....	117	113	110
4190 Outlays, net (total) .....	118	114	111

This fund was established by the Treasury, Postal Service and General Government Appropriations Act of 1991 (P.L. 101–509, 104 Stat. 1394) as a permanent, indefinite appropriation to reimburse the Federal Reserve Banks for acting as fiscal agents of the Federal Government in support of financing the public debt.

**PAYMENT TO THE RESOLUTION FUNDING CORPORATION**

**Program and Financing** (in millions of dollars)

Identification code 20–1851–0–1–908	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2,628	2,628	2,628
0900 Total new obligations (object class 41.0) .....	2,628	2,628	2,628
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2,628	2,628	2,628
1260 Appropriations, mandatory (total) .....	2,628	2,628	2,628
1930 Total budgetary resources available .....	2,628	2,628	2,628
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2,628	2,628	2,628
3020 Outlays (gross) .....	–2,628	–2,628	–2,628

PAYMENT TO THE RESOLUTION FUNDING CORPORATION—Continued  
Program and Financing—Continued

Identification code 20–1851–0–1–908	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,628	2,628	2,628
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,628	2,628	2,628
4180 Budget authority, net (total) .....	2,628	2,628	2,628
4190 Outlays, net (total) .....	2,628	2,628	2,628

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 authorized and appropriated to the Secretary of the Treasury, such sums as may be necessary to cover interest payments on obligations issued by the Resolution Funding Corporation (REFCORP). REFCORP was established under the Act to raise \$31.2 billion for the Resolution Trust Corporation (RTC) in order to resolve savings institution insolvencies.

Sources of payment for interest due on REFCORP obligations include REFCORP investment income, proceeds from the sale of assets or warrants acquired by the RTC, and annual contributions by the Federal Home Loan Banks. If these payment sources are insufficient to cover all interest costs, indefinite, mandatory funds appropriated to the Treasury shall be used to meet the shortfall.

PAYMENT TO THE CHEYENNE RIVER SIOUX TRIBAL RECOVERY TRUST FUND  
Program and Financing (in millions of dollars)

Identification code 20–1805–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Expenditure transfer to Tribal Trust Accounts .....	436		
0900 Total new obligations (object class 94.0) .....	436		
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	436		
1260 Appropriations, mandatory (total) .....	436		
1900 Budget authority (total) .....	436		
1930 Total budgetary resources available .....	436		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	436		
3020 Outlays (gross) .....	–436		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	436		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	436		
4180 Budget authority, net (total) .....	436		
4190 Outlays, net (total) .....	436		

The Cheyenne River Sioux Tribal Recovery Trust Fund was established by P.L. 106–511 to carry out projects and programs described in the act for economic and infrastructure development projects. In FY 2012 the balance was transferred to an account in the Department of Interior; there will be no further activity.

FEDERAL RESERVE BANK REIMBURSEMENT FUND  
Program and Financing (in millions of dollars)

Identification code 20–1884–0–1–803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Federal Reserve Bank services .....	352	331	395
0900 Total new obligations (object class 25.2) .....	352	331	395
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	352	331	395
1260 Appropriations, mandatory (total) .....	352	331	395
1900 Budget authority (total) .....	352	331	395
1930 Total budgetary resources available .....	352	331	395
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	80	88	88
3010 Obligations incurred, unexpired accounts .....	352	331	395
3020 Outlays (gross) .....	–344	–331	–394
3050 Unpaid obligations, end of year .....	88	88	89
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	80	88	88
3200 Obligated balance, end of year .....	88	88	89
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	352	331	395
Outlays, gross:			
4100 Outlays from new mandatory authority .....	263	243	306
4101 Outlays from mandatory balances .....	81	88	88
4110 Outlays, gross (total) .....	344	331	394
4180 Budget authority, net (total) .....	352	331	395
4190 Outlays, net (total) .....	344	331	394

This Fund was established by the Treasury and General Government Appropriations Act, 1998, Title I, (P.L. 105–61, 111 Stat. 1276) as a permanent, indefinite appropriation to reimburse Federal Reserve Banks for services provided in their capacity as depositaries and fiscal agents for the United States.

PAYMENT OF GOVERNMENT LOSSES IN SHIPMENT  
Program and Financing (in millions of dollars)

Identification code 20–1710–0–1–803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	1	1
0900 Total new obligations (object class 42.0) .....	1	1	1
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	–1	–1	–1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

This account was created as self-insurance to cover losses in shipment of Government property such as coins, currency, securities, certain losses incurred by the Postal Service, and losses in connection with the redemption of savings bonds. Approximately 1,100 claims are paid annually.

FINANCIAL AGENT SERVICES

Program and Financing (in millions of dollars)

Identification code 20-1802-0-1-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Financial agent services .....	652	655	636
0900 Total new obligations (object class 25.1) .....	652	655	636
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	7		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	660	666	646
1220 Appropriations transferred to other accts [20-0126] .....	-15	-11	-10
1260 Appropriations, mandatory (total) .....	645	655	636
1930 Total budgetary resources available .....	652	655	636

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	56	66	66
3010 Obligations incurred, unexpired accounts .....	652	655	636
3020 Outlays (gross) .....	-635	-655	-636
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	66	66	66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	56	66	66
3200 Obligated balance, end of year .....	66	66	66

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	645	655	636
Outlays, gross:			
4100 Outlays from new mandatory authority .....	579	589	570
4101 Outlays from mandatory balances .....	56	66	66
4110 Outlays, gross (total) .....	635	655	636
4180 Budget authority, net (total) .....	645	655	636
4190 Outlays, net (total) .....	635	655	636

This permanent, indefinite appropriation was established to reimburse financial institutions for the services they provide as depositaries and financial agents of the Federal government. The services include the acceptance and processing of deposits of public money, as well as services essential to the disbursement of and accounting for public monies. The services provided are authorized under numerous statutes including, but not limited to, 12 U.S.C. 90 and 265. This permanent, indefinite appropriation is authorized by P.L. 108-100, the "Check Clearing for the 21st Century Act," and permanently appropriated by P.L. 108-199, the "Consolidated Appropriations Act of 2004." Additionally, financial agent administrative and financial analysis costs for the Government Sponsored Enterprise Mortgage Backed Securities Purchase Program and State Housing Finance Agency program are reimbursed from this account.

INTEREST ON UNINVESTED FUNDS

Program and Financing (in millions of dollars)

Identification code 20-1860-0-1-908	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Interest of uninvested funds .....	21	24	24
0900 Total new obligations (object class 43.0) .....	21	24	24
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	21	24	24
1260 Appropriations, mandatory (total) .....	21	24	24
1930 Total budgetary resources available .....	21	24	24
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	35	35
3010 Obligations incurred, unexpired accounts .....	21	24	24
3020 Outlays (gross) .....	-11	-24	-24
3050 Unpaid obligations, end of year .....	35	35	35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	35	35
3200 Obligated balance, end of year .....	35	35	35
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	21	24	24
Outlays, gross:			
4101 Outlays from mandatory balances .....	11	24	24
4180 Budget authority, net (total) .....	21	24	24
4190 Outlays, net (total) .....	11	24	24

This account was established for the purpose of paying interest on certain uninvested funds placed in trust in the Treasury in accordance with various statutes (31 U.S.C. 1321; 2 U.S.C. 158 (P.L. 94-289); 20 U.S.C. 74a (P.L. 94-418) and 101; 24 U.S.C. 46 (P.L. 94-290; and 69 Stat. 533).

FEDERAL INTEREST LIABILITIES TO STATES

Program and Financing (in millions of dollars)

Identification code 20-1877-0-1-908	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Federal interest liabilities to States .....	1	2	2
0900 Total new obligations (object class 25.2) .....	1	2	2
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1	2	2
1260 Appropriations, mandatory (total) .....	1	2	2
1930 Total budgetary resources available .....	1	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	2	2
3020 Outlays (gross) .....	-1	-2	-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	2	2
4180 Budget authority, net (total) .....	1	2	2
4190 Outlays, net (total) .....	1	2	2

Pursuant to the Cash Management Improvement Act (P.L. 101-453, 104 Stat. 1058) as amended (P.L. 102-589, 106 Stat. 5133), and Treasury implementing regulations codified at 31 CFR Part 205, under certain circumstances, interest is paid to

FEDERAL INTEREST LIABILITIES TO STATES—Continued  
states when Federal funds are not transferred to states in a timely manner.

INTEREST PAID TO CREDIT FINANCING ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 20-1880-0-1-908	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Interest paid to credit financing accounts .....	9,929	11,902	13,317
0900 Total new obligations (object class 43.0) .....	9,929	11,902	13,317
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	9,929	11,902	13,317
1260 Appropriations, mandatory (total) .....	9,929	11,902	13,317
1900 Budget authority (total) .....	9,929	11,902	13,317
1930 Total budgetary resources available .....	9,929	11,902	13,317
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	9,929	11,902	13,317
3020 Outlays (gross) .....	-9,930	-11,902	-13,317
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	9,929	11,902	13,317
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9,929	11,902	13,317
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	9,930	11,902	13,317
4180 Budget authority, net (total) .....	9,929	11,902	13,317
4190 Outlays, net (total) .....	9,930	11,902	13,317

This account pays interest on the invested balances of guaranteed and direct loan financing accounts. For guaranteed loan financing accounts, balances result when the accounts receive up-front payments and fees to be held in reserve to make payments on defaults. Direct loan financing accounts normally borrow from Treasury to disburse loans and receive interest and principal payments and other payments from borrowers. Because direct loan financing accounts generally repay borrowing from Treasury at the end of the year, they can build up balances of payments received during the year. Interest on invested balances is paid to the financing accounts from the general fund of the Treasury, in accordance with section 505(c) of the Federal Credit Reform Act of 1990.

CLAIMS, JUDGMENTS, AND RELIEF ACTS

Program and Financing (in millions of dollars)

Identification code 20-1895-0-1-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Claims for damages .....	2	8	8
0003 Claims for contract disputes .....	185	76	76
0091 Total claims adjudicated administratively .....	187	84	84
0101 Judgments, Court of Claims .....	2,821	728	555
0102 Judgments, U.S. courts .....	472	3,456	1,701
0191 Total court judgments .....	3,293	4,184	2,256
0900 Total new obligations (object class 42.0) .....	3,480	4,268	2,340

Budgetary Resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	3,480	4,268	2,340
1260 Appropriations, mandatory (total) .....	3,480	4,268	2,340
1900 Budget authority (total) .....	3,480	4,268	2,340
1930 Total budgetary resources available .....	3,480	4,268	2,340

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	495	95
3010 Obligations incurred, unexpired accounts .....	3,480	4,268	2,340
3020 Outlays (gross) .....	-3,005	-4,668	-2,390
3050 Unpaid obligations, end of year .....	495	95	45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	495	95
3200 Obligated balance, end of year .....	495	95	45

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	3,480	4,268	2,340
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,985	4,173	2,295
4101 Outlays from mandatory balances .....	20	495	95
4110 Outlays, gross (total) .....	3,005	4,668	2,390
4180 Budget authority, net (total) .....	3,480	4,268	2,340
4190 Outlays, net (total) .....	3,005	4,668	2,390

Appropriations are made for cases in which the Federal government is found by courts to be liable for payment of claims and interest for damages not chargeable to appropriations of individual agencies, and for payment of private and public relief acts. Public Law 95-26 authorized a permanent, indefinite appropriation to pay certain judgments from the General Fund of the Treasury.

RESTITUTION OF FORGONE INTEREST

Program and Financing (in millions of dollars)

Identification code 20-1875-0-1-908	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	59	20	
0900 Total new obligations (object class 43.0) .....	59	20	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	59	20	
1260 Appropriations, mandatory (total) .....	59	20	
1930 Total budgetary resources available .....	59	20	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	496		
3010 Obligations incurred, unexpired accounts .....	59	20	
3020 Outlays (gross) .....	-555	-20	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	496		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	59	20	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	59	20	
4101 Outlays from mandatory balances .....	496		
4110 Outlays, gross (total) .....	555	20	
4180 Budget authority, net (total) .....	59	20	
4190 Outlays, net (total) .....	555	20	

This account provides funds for the payment of interest on investments in Treasury securities that the Secretary of the Treasury has suspended or redeemed. The Secretary is permitted

to take such action when Treasury is constrained by the statutory debt limit and must take extraordinary measures to avoid defaulting. The Treasury is required to restore all due interest and principal to the respective investments.

PAYMENT TO FRA FOR AMTRAK DEBT RESTRUCTURING

Program and Financing (in millions of dollars)

Identification code 20-1825-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	310	57	.....
0900 Total new obligations (object class 43.0) .....	310	57	.....
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	310	57	.....
1260 Appropriations, mandatory (total) .....	310	57	.....
1930 Total budgetary resources available .....	310	57	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		2	.....
3010 Obligations incurred, unexpired accounts .....	310	57	.....
3020 Outlays (gross) .....	-308	-59	.....
3050 Unpaid obligations, end of year .....	2		.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		2	.....
3200 Obligated balance, end of year .....	2		.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	310	57	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	308	57	.....
4101 Outlays from mandatory balances .....		2	.....
4110 Outlays, gross (total) .....	308	59	.....
4180 Budget authority, net (total) .....	310	57	.....
4190 Outlays, net (total) .....	308	59	.....

This current, indefinite appropriation was established pursuant to Public Law 110-432 STAT 4914 Sec. 205(d). The Passenger Rail Investment and Improvement Act (PRIIA) of 2008 (Section 205), enacted October 16, 2008, provides that the Secretary of the Treasury, in consultation with the Secretary of Transportation and the National Railroad Passenger Corporation (Amtrak), may make agreements to restructure (including repay) Amtrak's indebtedness, including leases, outstanding as of the date of enactment of PRIIA. This authorization expires two years after the date of enactment of PRIIA. Treasury and Transportation, acting through the Federal Railroad Administration (FRA) in consultation with each other and Amtrak, will advance payments reflecting the early buy-out options (EBO's) on select leases entered into by Amtrak.

BIOMASS ENERGY DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 20-0114-0-1-271	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1		.....
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-1		.....

Budget authority and outlays, net:

Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1		.....
4180 Budget authority, net (total) .....	-1		.....
4190 Outlays, net (total) .....	-1		.....

Status of Guaranteed Loans (in millions of dollars)

Identification code 20-0114-0-1-271	2012 actual	2013 CR	2014 est.
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	27	27	27
2351 Repayments of loans receivable .....			
2361 Write-offs of loans receivable .....			
2390 Outstanding, end of year .....	27	27	27

This account was created to provide loan guarantees for the construction of biomass-to-ethanol facilities, as authorized under Title II of the Energy Security Act of 1980. The three loans guaranteed by this account went into default. The guarantees have been paid off, and the assets of all but one of the projects have been liquidated. The one remaining project, the New Energy Corporation (formerly the New Energy Company of Indiana), entered into a Forbearance agreement with DOE in April 2009 due to financial issues and is now in bankruptcy. The remaining assets will be liquidated. Further recoveries are anticipated, but the amount and timing of those recoveries has not yet been determined.

Balance Sheet (in millions of dollars)

Identification code 20-0114-0-1-271	2011 actual	2012 actual
<b>ASSETS:</b>		
1701 Defaulted guaranteed loans, gross .....	27	27
1702 Interest receivable .....	5	5
1703 Allowance for estimated uncollectible loans and interest (-) .....	-26	-26
1799 Value of assets related to loan guarantees .....	6	6
1999 Total assets .....	6	6
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	6	6
4999 Total liabilities and net position .....	6	6

CONTINUED DUMPING AND SUBSIDY OFFSET

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20-5688-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			25
Receipts:			
0200 Antidumping and Countervailing Duties, Continued Dumping and Subsidy Offset .....	125	125	125
0400 Total: Balances and collections .....	125	125	150
Appropriations:			
0500 Continued Dumping and Subsidy Offset .....	-125	-100	-100
0799 Balance, end of year .....		25	50

Program and Financing (in millions of dollars)

Identification code 20-5688-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Continued dumping and subsidy offset .....	418	75	47
0900 Total new obligations (object class 41.0) .....	418	75	47

CONTINUED DUMPING AND SUBSIDY OFFSET—Continued  
Program and Financing—Continued

Identification code 20-5688-0-2-376	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	513	220	245
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	125	100	100
1260 Appropriations, mandatory (total) .....	125	100	100
1930 Total budgetary resources available .....	638	320	345
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	220	245	298
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	418	75	47
3020 Outlays (gross) .....	-418	-75	-47
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	125	100	100
Outlays, gross:			
4101 Outlays from mandatory balances .....	418	75	47
4180 Budget authority, net (total) .....	125	100	100
4190 Outlays, net (total) .....	418	75	47

The Bureau of Customs and Border Protection, Department of Homeland Security, collects duties assessed pursuant to a countervailing duty order, an antidumping duty order, or a finding under the Antidumping Act of 1921. Under a provision enacted in 2000, the Bureau of Customs and Border Protection, through the Treasury, distributes these duties to affected domestic producers. These distributions provide a significant additional subsidy to producers that already gain protection from the increased import prices provided by the tariffs. The authority to distribute assessments collected after October 1, 2007 has been repealed. Assessments collected before October 1, 2007 will be disbursed as if the authority had not been repealed.

CHECK FORGERY INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 20-4109-0-3-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	23	21	19
0900 Total new obligations (object class 42.0) .....	23	21	19
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	5	4
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2	2	2
1260 Appropriations, mandatory (total) .....	2	2	2
1800 Spending authority from offsetting collections, mandatory: Collected .....	22	18	18
1850 Spending auth from offsetting collections, mand (total) .....	22	18	18
1900 Budget authority (total) .....	22	20	20
1930 Total budgetary resources available .....	28	25	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	4	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	23	21	19
3020 Outlays (gross) .....	-23	-21	-19
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	22	20	20

Outlays, gross:			
4100 Outlays from new mandatory authority .....	16	15	14
4101 Outlays from mandatory balances .....	7	6	5
4110 Outlays, gross (total) .....	23	21	19
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-22	-18	-18
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	1	3	1

This Fund was established as a permanent, indefinite appropriation in order to maintain adequate funding of the Check Forgery Insurance Fund. The Fund facilitates timely payments for replacement Treasury checks necessitated due to a claim of forgery. The Fund recoups disbursements through reclamations made against banks negotiating forged checks.

To reduce hardships sustained by payees of government checks that have been stolen and forged, settlement is made in advance of the receipt of funds from the endorers of the checks. If the U.S. Treasury is unable to recover funds through reclamation procedures, the Fund sustains the loss.

Public Law 108-447 expanded the use of the Fund to include payments made via electronic funds transfer. A technical correction to the Fund's statutes to ensure and clarify that the Fund can be utilized as a funding source for relief of administrative disbursing errors was enacted by section 119 of Division D of Public Law 110-161.

Object Classification (in millions of dollars)

Identification code 20-4109-0-3-803	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
42.0 Insurance claims and indemnities .....	23	21	19
99.0 Reimbursable obligations .....	23	21	19

Trust Funds

CHEYENNE RIVER SIOUX TRIBAL RECOVERY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20-8620-0-7-452	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0240 Payment to the Cheyenne River Sioux Tribal Recovery Trust Fund .....	436		
0400 Total: Balances and collections .....	436		
Appropriations:			
0500 Cheyenne River Sioux Tribal Recovery Trust Fund .....	-436		
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 20-8620-0-7-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	436		
0900 Total new obligations (object class 94.0) .....	436		
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	436		
1260 Appropriations, mandatory (total) .....	436		
1930 Total budgetary resources available .....	436		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	436		
3020 Outlays (gross) .....	-436		

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross .....	436	
Outlays, gross:			
4100	Outlays from new mandatory authority .....	436	
4180	Budget authority, net (total) .....	436	
4190	Outlays, net (total) .....	436	

This fund was established by P.L. 106–511 to carry out projects and programs described in the act for economic and infrastructure development projects. In FY 2012 the balance was transferred to an account in the Department of Interior; there will be no further activity.

YANKTON SIOUX TRIBE DEVELOPMENT TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20–8627–0–7–452	2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....		
Receipts:			
0240	Payment to the Yankton Sioux Tribe Development Trust Fund .....		32
0400	Total: Balances and collections .....		32
Appropriations:			
0500	Yankton Sioux Tribe Development Trust Fund .....		–9
0799	Balance, end of year .....		23

Program and Financing (in millions of dollars)

Identification code 20–8627–0–7–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....		9
0900	Total new obligations (object class 94.0) .....		9
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....		9
1260	Appropriations, mandatory (total) .....		9
1930	Total budgetary resources available .....		9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		9
3020	Outlays (gross) .....		–9
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		9
Outlays, gross:			
4100	Outlays from new mandatory authority .....		9
4180	Budget authority, net (total) .....		9
4190	Outlays, net (total) .....		9

The Yankton Sioux Tribe Development Trust Fund was established by P.L. 107–331 to carry out projects and programs under section 206 of the act for economic and infrastructure development projects. The legislation requires principal and a past interest amount to be calculated by the Department of the Treasury and transferred into the fund on October 1, 2013. The fund's holdings will be transferred to the Department of the Interior/Office of Special Trustee for management of its investments.

CHEYENNE RIVER SIOUX TRIBE TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20–8209–0–7–306	2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....	59	58

<b>Adjustments:</b>				
0190	Adjustment - data did not pull in from prior year budget. ....	60		
0199	Balance, start of year .....	60	59	58
<b>Receipts:</b>				
0240	Earnings on Investments, Lower Brule Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund .....			1
0241	Earnings on Investments, Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund .....	1	1	1
0299	Total receipts and collections .....	1	1	2
0400	Total: Balances and collections .....	61	60	60
<b>Appropriations:</b>				
0500	Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund .....	–2	–2	–2
0799	Balance, end of year .....	59	58	58

Program and Financing (in millions of dollars)

Identification code 20–8209–0–7–306	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	1	2	2
0900	Total new obligations (object class 41.0) .....	1	2	2
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7	8	8
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	2	2	2
1260	Appropriations, mandatory (total) .....	2	2	2
1930	Total budgetary resources available .....	9	10	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	8	8	8
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1	2	2
3020	Outlays (gross) .....	–1	–2	–2
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	2	2	2
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	2	2
4180	Budget authority, net (total) .....	2	2	2
4190	Outlays, net (total) .....	1	2	2
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	67	68	67
5001	Total investments, EOY: Federal securities: Par value .....	68	67	67

This schedule reflects the payments made to the Cheyenne River Sioux Tribe Terrestrial Wildlife Restoration Trust Fund and the Lower Brule Sioux Tribe Terrestrial Wildlife Restoration Trust Fund. Pursuant to section 604(b) of the Water Resources Development Act of 1999 (P.L. 106–53), after the funds are fully capitalized by deposits from the General Fund of the Treasury, interest earned will be available to the Tribes to carry out the purposes of the funds. Full capitalization occurred in FY 2010; therefore no additional deposits will be provided by the General Fund of the Treasury. Tribes are now able to draw down on the interest earned from these investments.

SANTEE SIOUX TRIBE DEVELOPMENT TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20–8626–0–7–452	2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....		
Receipts:			
0240	Payment to the Santee Sioux Tribe Development Trust Fund .....		7
0400	Total: Balances and collections .....		7

**SANTEE SIOUX TRIBE DEVELOPMENT TRUST FUND—Continued**  
**Special and Trust Fund Receipts—Continued**

Identification code 20-8626-0-7-452	2012 actual	2013 CR	2014 est.
<b>Appropriations:</b>			
0500 Santee Sioux Tribe Development Trust Fund			-2
0799 Balance, end of year			5

**Program and Financing (in millions of dollars)**

Identification code 20-8626-0-7-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity			2
0900 Total new obligations (object class 94.0)			2

**Budgetary Resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)			2
1260 Appropriations, mandatory (total)			2
1930 Total budgetary resources available			2

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts			2
3020 Outlays (gross)			-2

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross			2
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority			2
4180 Budget authority, net (total)			2
4190 Outlays, net (total)			2

The Santee Sioux Tribe Development Trust Fund was established by P.L. 107-331 to carry out projects and programs under section 206 of the act for economic and infrastructure development projects. The legislation requires principal and a past interest amount to be calculated by the Department of the Treasury and transferred into the fund on October 1, 2013. The fund's holdings will then be transferred to the Department of the Interior/Office of Special Trustee for management of its investments.

**GULF COAST RESTORATION TRUST FUND**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 20-8625-0-7-452	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
<b>Receipts:</b>			
0200 Administrative and Civil Penalties, Gulf Coast Restoration Trust Fund		320	320
0400 Total: Balances and collections		320	320
<b>Appropriations:</b>			
0500 Gulf Coast Restoration Trust Fund		-320	-320
0799 Balance, end of year			

**Program and Financing (in millions of dollars)**

Identification code 20-8625-0-7-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payments to States (35%)		56	168
0002 Payments to Council (30%)		48	144
0003 Payments to States for Oil Spill Restoration Impact (30)		48	144
0004 NOAA Science Project (2.5)		4	12
0005 Centers of Excellence Research Grants (2.5%)		4	12
0900 Total new obligations (object class 41.0)		160	480

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1			160
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)		320	320
1260 Appropriations, mandatory (total)		320	320
1930 Total budgetary resources available		320	480
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year		160	

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts		160	480
3020 Outlays (gross)		-160	-480

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross		320	320
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority		160	320
4101 Outlays from mandatory balances			160
4110 Outlays, gross (total)		160	480
4180 Budget authority, net (total)		320	320
4190 Outlays, net (total)		160	480

This fund was established by the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (RESTORE Act). It will receive administrative and civil penalties collected from parties responsible for the Deepwater Horizon offshore drilling unit explosion and resulting oil spill that occurred in 2010. Funding will be used for economic and ecological restoration projects within the states impacted by the spill, activities of the Gulf Coast Ecosystem Restoration Council, and related government and research activities. The fund will receive amounts collected through a court judgment or a settlement negotiated by the Department of Justice and approved by the court. Currently the estimates represent known settlement amounts; additional funds may become available through future court judgments or settlements.

**FEDERAL FINANCING BANK**

**Federal Funds**

**FEDERAL FINANCING BANK**

**Program and Financing (in millions of dollars)**

Identification code 20-4521-0-4-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Administrative expenses	6	8	8
0802 Interest on borrowings from Treasury	1,671	1,244	1,817
0803 Interest on borrowings from civil service retirement and disability fund	391	329	263
0900 Total new obligations	2,068	1,581	2,088

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1		1,209	1,977
1023 Unobligated balances applied to repay debt	-172		
1050 Unobligated balance (total)	1,163	1,209	1,977
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected	2,114	2,349	2,479
1850 Spending auth from offsetting collections, mand (total)	2,114	2,349	2,479
1930 Total budgetary resources available	3,277	3,558	4,456
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	1,209	1,977	2,368

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts	2,068	1,581	2,088

3020	Outlays (gross) .....	-2,068	-1,581	-2,088
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	2,114	2,349	2,479
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2,068	1,581	2,088
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-2,114	-2,349	-2,479
4190	Outlays, net (total) .....	-46	-768	-391
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	493	493	45
5001	Total investments, EOY: Federal securities: Par value .....	493	45	45

The Federal Financing Bank (FFB) was created in 1973 to reduce the costs of certain Federal and federally-assisted borrowing and to ensure the coordination of such borrowing from the public in a manner least disruptive to private financial markets and institutions. Prior to that time, many agencies borrowed directly from the private market to finance credit programs involving lending to the public at higher rates than on comparable Treasury securities. With the implementation of the Federal Credit Reform Act in 1992, however, agencies finance such loan programs through direct loan financing accounts that borrow directly from the Treasury. In certain cases, the FFB finances Federal direct loans to the public that would otherwise be made by private lenders and fully guaranteed by a Federal agency. FFB loans are also used to finance direct agency activities such as construction of Federal buildings by the General Services Administration and activities of the U.S. Postal Service.

Lending by the FFB may take one of three forms, depending on the authorizing statutes pertaining to a particular agency or program: (1) the FFB may purchase agency financial assets; (2) the FFB may acquire debt securities that the agency is otherwise authorized to issue to the public; and (3) the FFB may originate direct loans on behalf of an agency by disbursing loans directly to private borrowers and receiving repayments from the private borrower on behalf of the agency. Because law requires that transactions by the FFB be treated as a means of financing agency obligations, the budgetary effect of the third type of transaction is reflected in the budget in the following sequence: a loan by the FFB to the agency, a loan by the agency to a private borrower, a repayment by a private borrower to the agency, and a repayment by the agency to the FFB.

By law, the FFB receives substantially less interest each year on certain Department of Agriculture loans that it holds than it is contractually entitled to receive. For example, during 2012, as a result of this provision, the FFB received \$159 million less than it was contractually entitled to receive.

In 2011, net inflows of \$206 million increased the FFB's net position from \$3.8 billion to \$4.0 billion. In 2012, the FFB's net inflows were \$303 million, further increasing the net position to \$4.3 billion.

In addition to its authority to borrow from the Treasury, the FFB has the statutory authority to borrow up to \$15 billion from other sources. Any such borrowing is exempt from the statutory ceiling on Federal debt. FFB exercised this authority most recently in November 2004. In order to prolong Treasury's ability to operate under the then \$7.4 trillion debt ceiling, the FFB issued \$14 billion of its own debt securities to the Civil Service Retirement and Disability Fund (CSRDF) in exchange for \$14 billion in special issue Treasury securities held by CSRDF. The FFB simultaneously redeemed these special issue Treasury securities with Treasury. This transaction extinguished \$14 billion in securities that Treasury had issued to Government accounts (the CSRDF). An equivalent amount of the FFB's own debt to Treasury

was reduced. Since 2009, FFB redeemed \$6.9 billion of the debt securities held by CSRDF, resulting in \$7.1 billion outstanding.

The following table shows the annual net lending by the FFB by agency and program and the amount outstanding at the end of each year.

## NET LENDING AND LOANS OUTSTANDING, END OF YEAR

	(in millions of dollars)		
	2012 Actual	2013 CR	2013 Est.
<b>A. Department of Agriculture:</b>			
1. Rural Utilities Service:			
Lending, net .....	3,572	4,675	4,702
Loans outstanding .....	37,750	42,425	47,127
<b>B. Department of Education:</b>			
1. Historically black colleges and universities:			
Lending, net .....	144	102	242
Loans outstanding .....	922	1,024	1,266
<b>C. Department of Energy:</b>			
1. Title 17 innovative technology loans:			
Lending, net .....	3,160	5,126	4,834
Loans outstanding .....	5,168	10,294	15,128
2. Advanced technology vehicles manufacturing loans:			
Lending, net .....	2,028	3,553	5,793
Loans outstanding .....	6,940	10,493	16,286
<b>D. Department of Transportation:</b>			
1. Railroad Revitalization and Regulatory Reform Act:			
Lending, net .....	—*	—*	—*
Loans outstanding .....	1	1	1
<b>E. Department of Treasury:</b>			
1. CDFI Fund Bond Guarantee Program:			
Lending, net .....	—	—	48
Loans outstanding .....	—	—	48
<b>F. Department of Veterans Affairs:</b>			
1. Transitional housing for homeless veterans:			
Lending, net .....	—*	—*	—*
Loans outstanding .....	5	5	5
<b>F. General Services Administration:</b>			
1. Federal buildings fund:			
Lending, net .....	-78	-1,819	—
Loans outstanding .....	1,819	—	—
<b>G. International Assistance Programs:</b>			
1. Foreign military sales credit:			
Lending, net .....	-106	-128	-60
Loans outstanding .....	188	60	—
<b>H. Small Business Administration:</b>			
1. Section 503 guaranteed loans:			
Lending, net .....	—*	—*	—
Loans outstanding .....	*	—	—
<b>J. Postal Service:</b>			
1. Postal Service fund:			
Lending, net .....	2,000	—	—
Loans outstanding .....	15,000	15,000	15,000
<b>Total lending:</b>			
Lending, net .....	10,719	11,509	15,559
Loans outstanding .....	67,792	79,301	94,860

\*\$500,000 or less.

## Balance Sheet (in millions of dollars)

Identification code 20-4521-0-4-803	2011 actual	2012 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	842	716
Investments in US securities:		
1102 Treasury securities, par (HOPE Bonds) .....	493	493
1104 Agency securities, par .....	57,088	67,863
1106 Receivables, net .....	151	466
1999 Total assets .....	58,574	69,538
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2101 Accounts payable .....	187	357
2103 Borrowing from Treasury .....	45,809	57,666
2103 Borrowing from Civil Service Retirement & Disability Fund .....	8,441	7,111
2105 Unamortized Premium .....	138	102
2999 Total liabilities .....	54,575	65,236
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	3,999	4,302
4999 Total liabilities and net position .....	58,574	69,538

FEDERAL FINANCING BANK—Continued  
Object Classification (in millions of dollars)

Identification code 20-4521-0-4-803	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
25.2 Other services from non-Federal sources .....	5	8	8
43.0 Interest and dividends .....	2,063	1,573	2,080
99.9 Total new obligations .....	2,068	1,581	2,088

**ALCOHOL AND TOBACCO TAX AND TRADE BUREAU**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of carrying out section 1111 of the Homeland Security Act of 2002, including hire of passenger motor vehicles, \$96,211,000; of which not to exceed \$6,000 for official reception and representation expenses; not to exceed \$50,000 for cooperative research and development programs for laboratory services; and provision of laboratory assistance to State and local agencies with or without reimbursement: Provided, That, of the amounts provided under this heading, such sums as are necessary shall be available to fully support tax enforcement and compliance activities including tax compliance to address the Federal tax gap, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 20-1008-0-1-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Protect the Public .....	49	48	47
0002 Collect revenue .....	51	52	54
0192 Total direct program .....	100	100	101
0799 Total direct obligations .....	100	100	101
0801 Protect the Public .....	3	2	2
0802 Collect Revenue .....	4	3	3
0899 Total reimbursable obligations .....	7	5	5
0900 Total new obligations .....	107	105	106

<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	100	100	96
1121 Appropriations transferred from other accts [20-0913] .....			5
1160 Appropriation, discretionary (total) .....	100	100	101
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4	5	5
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	7	5	5
1900 Budget authority (total) .....	107	105	106
1930 Total budgetary resources available .....	107	105	106

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	22	23
3010 Obligations incurred, unexpired accounts .....	107	105	106
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-108	-104	-106
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	22	23	23
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4

<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	21	18	19
3200 Obligated balance, end of year .....	18	19	19

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	107	105	106
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	87	86	88
4011 Outlays from discretionary balances .....	21	18	18
4020 Outlays, gross (total) .....	108	104	106
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Baseline Program [Text] .....	-2	-1	-1
4033 Baseline Program [Text] .....	-3	-4	-4
4040 Offsets against gross budget authority and outlays (total) ....	-5	-5	-5
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	100	100	101
4080 Outlays, net (discretionary) .....	103	99	101
4180 Budget authority, net (total) .....	100	100	101
4190 Outlays, net (total) .....	103	99	101

The Alcohol and Tobacco Tax and Trade Bureau (TTB) enforces various Federal laws and regulations relating to alcohol and tobacco by working directly and in cooperation with other agencies to: (1) provide the most effective and efficient system for the collection of all revenue that is rightfully due, eliminate or prevent tax evasion and other criminal conduct, (2) prevent consumer deception relating to alcohol beverages, ensure that regulated alcohol and tobacco products comply with various Federal commodity, product integrity, and distribution requirements, and (3) provide high quality customer service while imposing the least regulatory burden.

The President's Budget proposes an amendment to section 251 of the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended, to provide a statutory change that will allow adjustments to the discretionary caps for additional IRS appropriations, including \$5 million to be transferred to TTB to improve alcohol and tobacco enforcement and compliance in 2014. The cap adjustment is premised on fully funding the 2014 Budget request for TTB base resources. The new tax enforcement and compliance initiatives for TTB are to be funded via transfers from the IRS cap adjustments through 2023. The program integrity cap proposal entails 10 years of cap adjustments for TTB costing \$202 million while generating additional tax revenue of \$406 million, for a net savings of \$204 million. These estimates do not include the revenue effect from the deterrence component of these investments and other TTB enforcement programs, which is conservatively estimated to be three times the direct revenue impact. See additional discussion in the Budget Process chapter in the Analytical Perspectives volume.

**Object Classification (in millions of dollars)**

Identification code 20-1008-0-1-803	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	43	45	45
11.1 Full-time permanent (from IRS program integrity transfer) .....			2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	44	46	48
12.1 Civilian personnel benefits .....	13	12	12
12.1 Civilian personnel benefits (from IRS program integrity transfer) .....			1
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1	2	1
25.1 Advisory and assistance services .....	7		

25.2	Other services from non-Federal sources .....	12	23	22
25.3	Other goods and services from Federal sources .....	8	8	6
25.3	Other goods and services from Federal sources (from IRS program integrity transfer) .....			2
25.7	Operation and maintenance of equipment .....	3		
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	3	1	1
32.0	Land and structures .....	1		
99.0	Direct obligations .....	100	100	101
99.0	Reimbursable obligations .....	7	5	5
99.9	Total new obligations .....	107	105	106

**Employment Summary**

Identification code 20-1008-0-1-803	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	471	476	473
1001 Direct civilian full-time equivalent employment <sup>1</sup> .....			35
2001 Reimbursable civilian full-time equivalent employment .....	10	15	9

<sup>1</sup>From IRS program integrity transfer

**INTERNAL REVENUE COLLECTIONS FOR PUERTO RICO**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 20-5737-0-2-806	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Deposits, Internal Revenue Collections for Puerto Rico .....	376	616	433
0400 Total: Balances and collections .....	376	616	433
Appropriations:			
0500 Internal Revenue Collections for Puerto Rico .....	-376	-616	-433
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 20-5737-0-2-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Internal revenue collections for Puerto Rico .....	376	616	433
0900 Total new obligations (object class 41.0) .....	376	616	433
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	376	616	433
1260 Appropriations, mandatory (total) .....	376	616	433
1930 Total budgetary resources available .....	376	616	433
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	376	616	433
3020 Outlays (gross) .....	-376	-616	-433
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	376	616	433
Outlays, gross:			
4100 Outlays from new mandatory authority .....	376	616	433
4180 Budget authority, net (total) .....	376	616	433
4190 Outlays, net (total) .....	376	616	433

Excise taxes collected under the Internal Revenue laws of the United States on articles produced in Puerto Rico and either transported to the United States or consumed on the island are covered-over (paid) to Puerto Rico. Excise taxes collected on articles produced in the U.S. Virgin Islands and transported to the United States are covered-over to the U.S. Virgin Islands. (26 U.S.C. 7652).

Excise taxes are imposed on rum at the generally applicable distilled spirits rate of \$13.50 per proof gallon. These excise tax

collections less estimated refunds, drawbacks, and certain administrative expenses are covered-over to Puerto Rico and the U.S. Virgin Islands under a permanent legislative provision at the lesser of a rate of \$10.50 per proof gallon or the current rate of tax imposed on a proof gallon (26 U.S.C. 7652(F)).

**BUREAU OF ENGRAVING AND PRINTING**

*Federal Funds*

BUREAU OF ENGRAVING AND PRINTING FUND

**Program and Financing** (in millions of dollars)

Identification code 20-4502-0-4-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Currency program .....	690	630	646
0803 Other programs .....	15	15	15
0900 Total new obligations .....	705	645	661
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26	52	52
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	716	645	661
1701 Change in uncollected payments, Federal sources .....	15		
1750 Spending auth from offsetting collections, disc (total) .....	731	645	661
1900 Budget authority (total) .....	731	645	661
1930 Total budgetary resources available .....	757	697	713
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	52	52	52
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	140	116	
3010 Obligations incurred, unexpired accounts .....	705	645	661
3020 Outlays (gross) .....	-729	-761	-661
3050 Unpaid obligations, end of year .....	116		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-40	-55	-55
3070 Change in uncollected pymts, Fed sources, unexpired .....	-15		
3090 Uncollected pymts, Fed sources, end of year .....	-55	-55	-55
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	100	61	-55
3200 Obligated balance, end of year .....	61	-55	-55
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	731	645	661
Outlays, gross:			
4010 Outlays from new discretionary authority .....	702	645	661
4011 Outlays from discretionary balances .....	27	116	
4020 Outlays, gross (total) .....	729	761	661
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-15	
4033 Non-Federal sources .....	-716	-630	-661
4040 Offsets against gross budget authority and outlays (total) ...	-716	-645	-661
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-15		
4080 Outlays, net (discretionary) .....	13	116	
4190 Outlays, net (total) .....	13	116	

The Bureau of Engraving and Printing (BEP) designs, manufactures, and supplies Federal Reserve notes and other security instruments for various Federal agencies. In 2005, the BEP was given legal authority to print currency for foreign countries upon approval of the State Department. The operations of the Bureau are financed by means of a revolving fund established in accordance with the provisions of Public Law 81-656, August 4, 1950 (31 U.S.C. 181), which requires the Bureau to be reimbursed by customer agencies for all costs of manufacturing products and services performed. In 1977, Public Law 95-81 authorized the

## BUREAU OF ENGRAVING AND PRINTING FUND—Continued

Bureau to assess amounts to acquire capital equipment and provide for working capital needs.

BEP's strategic goals are to produce U.S. currency that functions flawlessly in commerce; create innovative currency designs to provide effective counterfeit deterrence and meaningful access to currency note usage for all; and achieve organizational excellence and customer satisfaction through balanced investment in people, processes, facilities, and technology. Other activities at the Bureau include engraving plates and dyes; manufacturing inks used to print security products; purchasing materials, supplies and equipment; and storing and delivering products in accordance with the requirements of customers. In addition, the Bureau provides technical assistance and advice to other Federal agencies in the design and production of documents, which, because of their innate value or other characteristics, require counterfeit deterrence.

During FY 2014, BEP expects to produce and deliver 8.0 billion notes to the Federal Reserve Board to meet currency demand. This represents a small increase in the number of notes produced from the 7.8 billion note program expected to be delivered in the FY 2013 program. In order to meet continued international demand, the anticipated denominations ordered will be the more costly higher denominated notes.

Over the last decade, the research and development of new technologies for possible use in currency production has become a priority at the Bureau as more sophisticated counterfeit deterrent features are needed to protect future generations of currency notes. Via its website, *www.bep.gov*, BEP seeks information on technologies that would enhance the longevity and durability of currency notes in circulation, as well as new technologies or materials that could be developed for future use in counterfeit deterrence. In addition, because aggressive law enforcement, effective note design, and public education are all essential components in an effective anti-counterfeiting program, the Bureau will continue its work in FY 2014 with the Advanced Counterfeit Deterrent (ACD) Steering Committee to research and develop future currency designs that will enhance and protect U.S. currency notes. The ACD Committee includes representatives from BEP, the Department of the Treasury, the U.S. Secret Service, and the Federal Reserve Board.

**Balance Sheet** (in millions of dollars)

Identification code 20-4502-0-4-803	2011 actual	2012 actual
<b>ASSETS:</b>		
1206 Non-Federal assets: Receivables, net .....	169	169
Other Federal assets:		
1802 Inventories and related properties .....	383	383
1803 Property, plant and equipment, net .....	160	160
1901 Other assets - Machinery repair parts .....	8	8
1999 Total assets .....	720	720
<b>LIABILITIES:</b>		
2101 Federal liabilities: Accounts payable .....	31	31
Non-Federal liabilities:		
2201 Accounts payable .....	24	24
2206 Pension and other actuarial liabilities .....	88	88
2999 Total liabilities .....	143	143
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	32	32
3300 Cumulative results of operations .....	545	545
3999 Total net position .....	577	577
4999 Total liabilities and net position .....	720	720

**Object Classification** (in millions of dollars)

Identification code 20-4502-0-4-803	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	187	163	167
11.3 Other than full-time permanent .....	2	1	2
11.5 Other personnel compensation .....	11	20	17
11.9 Total personnel compensation .....	200	184	186
12.1 Civilian personnel benefits .....	54	48	48
21.0 Travel and transportation of persons .....	3	1	1
23.1 Rental payments to GSA .....	6	2	2
23.3 Communications, utilities, and miscellaneous charges .....	19	14	14
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	81	69	73
26.0 Supplies and materials .....	298	266	276
31.0 Equipment .....	43	60	60
99.9 Total new obligations .....	705	645	661

**Employment Summary**

Identification code 20-4502-0-4-803	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,872	1,880	1,880

**UNITED STATES MINT****Federal Funds**

## UNITED STATES MINT PUBLIC ENTERPRISE FUND

*Pursuant to section 5136 of title 31, United States Code, the United States Mint is provided funding through the United States Mint Public Enterprise Fund for costs associated with the production of circulating coins, numismatic coins, and protective services, including both operating expenses and capital investments: Provided, That the aggregate amount of new liabilities and obligations incurred during fiscal year 2014 under such section 5136 for circulating coinage and protective service capital investments of the United States Mint shall not exceed \$19,000,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 20-4159-0-3-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0806 Total Operating .....	3,075	3,495	2,907
0807 Circulating and Protection Capital .....	20	19	19
0808 Numismatic Capital .....	11	11	11
0900 Total new obligations .....	3,106	3,525	2,937
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	413	694	724
1021 Recoveries of prior year unpaid obligations .....	51	60	60
1022 Capital transfer of unobligated balances to general fund .....	-77	-30	-30
1050 Unobligated balance (total) .....	387	724	754
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,414	3,525	2,937
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	3,413	3,525	2,937
1930 Total budgetary resources available .....	3,800	4,249	3,691
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	694	724	754
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	346	276	225
3010 Obligations incurred, unexpired accounts .....	3,106	3,525	2,937
3020 Outlays (gross) .....	-3,125	-3,516	-2,997
3040 Total outlays (Gross) .....	-51	-60	-60

3050	Unpaid obligations, end of year .....	276	225	105
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	339	270	219
3200	Obligated balance, end of year .....	270	219	99

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	3,413	3,525	2,937
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	3,066	3,166	2,638
4011	Outlays from discretionary balances .....	59	350	359
4020	Outlays, gross (total) .....	3,125	3,516	2,997
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-4		
4033	Baseline Program (Non-Federal sources) .....	-3,281	-3,525	-2,937
4034	Offsetting governmental collections .....	-129		
4040	Offsets against gross budget authority and outlays (total) ....	-3,414	-3,525	-2,937
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4080	Outlays, net (discretionary) .....	-289	-9	60
4190	Outlays, net (total) .....	-289	-9	60

The United States Mint mints and issues circulating coins, prepares and distributes numismatic items, and provides security and asset protection. Since 1996, the United States Mint operations have been funded through the Public Enterprise Fund (PEF), established by section 522 of Public Law 104-52 (codified at section 5136 of Title 31, United States Code). The United States Mint generates revenue through the sale of circulating coins to the Federal Reserve Banks (FRB), numismatic products to the public and bullion coins to authorized purchasers. The United States Mint submits annual audited financial statements to the Secretary of the Treasury and to Congress in support of the operations of the PEF. In FY 2012, the United States Mint transferred \$77 million to the General Fund.

The operations of the United States Mint are divided into two major components: circulating coinage and numismatic items. The sales of products from these two major components provide the financing source for the PEF; however, finances for the two components are accounted for separately. Receipts from circulating coinage operations may not be used to fund numismatic operations, nor may receipts from numismatic operations be used to fund circulating coinage operations.

**Circulating Coinage.**—This activity funds the manufacturing and distribution of circulating coins for sale to the Federal Reserve System in amounts necessary to meet the needs of the United States. In FY 2014, this activity is expected to manufacture 9.5 billion coins for sale to the Federal Reserve System. The FY 2014 Budget reflects production volumes that correspond to demand, as well as raw materials costs driven by commodity prices. In FY 2013 and FY 2014, the cost to mint and issue the one-cent and 5-cent denominations is expected to exceed their face values as has been the case for the past seven fiscal years.

The United States Mint receives funds from the Federal Reserve equal to face value of the circulating coins minted and issued, which is proprietarily reported as revenue. However for budgetary purposes, the United States Mint is credited with revenues equal to the full cost of producing and distributing the coins that are put into circulation, including the depreciation of plant and equipment. The difference between the face value receipts of the coins and the full costs of the coins is called seigniorage, which is considered an "other financing source." Seigniorage is deposited periodically to the General Fund. Any amounts used to finance the United States Mint's capital acquisitions would be recorded

as budget authority in the year that funds are obligated for this purpose and as receipts over the life of the asset.

The FY 2014 Budget includes a legislative proposal to limit the requirement that the number of \$1 coins minted and issued in a year with the Sacagawea-design on the obverse be not less than 20-percent of the total number of \$1 coins minted and issued in a year to \$1 coins minted and issued for circulation. The limitation of the 20-percent requirement to circulating coins avoids the need to mint and issue Native American \$1 Coins in excess of the amounts that numismatic customers demand. FRBs hold excessive inventories of \$1 coins because depository institutions' are re-depositing significant amounts of the coins with the FRBs. To address the excessive \$1 coin inventory, the United States Mint suspended the production of all Presidential \$1 Coins for circulation, and will mint and issue \$1 coins solely for numismatic purposes. The Budget also includes a proposal to allow the Secretary flexibility to determine the composition of coinage materials, which could reduce costs of production by millions of dollars annually and result in increased seigniorage transferred to the General Fund.

**Numismatic Items.**—This activity funds the manufacturing of numismatic items, which include collectible coins and sets, medals, bullion coins, and other products and accessories for sale to collectors and other members of the public who desire high-quality or investment-grade versions of the Nation's coinage. These products include annual proof and uncirculated sets; investment-grade silver and gold bullion coins; uncirculated silver and gold coins; proof silver, gold, and platinum coins; and commemorative coins and medals which are legislated to commemorate events or individuals. In FY 2014, the United States Mint will commence the National Baseball Hall of Fame Commemorative Coin Program (Public Law 112-152).

Prices for numismatic products are based on the estimated product cost plus a reasonable margin to assure that the numismatic program operates at no net cost to the taxpayer. Similarly, bullion coins are priced based on the market price of the precious metals plus a premium to cover manufacturing, marketing and distribution costs. Making numismatic products accessible, available, and affordable to Americans who choose to purchase them is the highest priority of the United States Mint's numismatic operations.

#### Balance Sheet (in millions of dollars)

Identification code 20-4159-0-3-803	2011 actual	2012 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury .....	753	965
	Investments in US securities:		
1106	Receivables, net .....	1	
1107	Advances and prepayments .....	2	3
1206	Non-Federal assets: Receivables, net .....	18	12
	Other Federal assets:		
1802	Inventories and related properties .....	518	362
1803	Property, plant and equipment, net .....	186	183
1901	Other assets .....	10,494	10,510
1999	Total assets .....	11,972	12,035
LIABILITIES:			
2101	Federal liabilities: Accounts payable .....	15	6
	Non-Federal liabilities:		
2201	Accounts payable .....	45	22
2207	Other .....	10,568	10,562
2999	Total liabilities .....	10,628	10,590
NET POSITION:			
3300	Cumulative results of operations .....	1,344	1,445
4999	Total liabilities and net position .....	11,972	12,035

UNITED STATES MINT PUBLIC ENTERPRISE FUND—Continued  
Object Classification (in millions of dollars)

Identification code 20-4159-0-3-803	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	141	142	143
11.3 Other than full-time permanent .....	2		
11.5 Other personnel compensation .....	8	9	9
11.9 Total personnel compensation .....	151	151	152
12.1 Civilian personnel benefits .....	46	46	46
13.0 Benefits for former personnel .....		2	1
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	30	26	27
23.1 Rental payments to GSA .....	1		
23.2 Rental payments to others .....	12	14	13
23.3 Communications, utilities, and miscellaneous charges .....	12	13	13
24.0 Printing and reproduction .....	1	2	2
25.1 Advisory and assistance services .....	48	49	49
25.2 Other services from non-Federal sources .....	21	22	22
25.3 Other goods and services from Federal sources .....	22	21	22
25.4 Operation and maintenance of facilities .....	3		
25.5 Research and development contracts .....	2	2	2
25.7 Operation and maintenance of equipment .....	6	7	7
26.0 Supplies and materials .....	2,715	3,136	2,548
31.0 Equipment .....	24	24	20
32.0 Land and structures .....	10	8	11
99.9 Total new obligations .....	3,106	3,525	2,937

Employment Summary

Identification code 20-4159-0-3-803	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,788	1,844	1,874

## INTERNAL REVENUE SERVICE

The Internal Revenue Service (IRS) collects the revenue that funds the government and administers the nation's tax laws. During FY 2012, the IRS processed 239 million tax returns and collected \$2.524 trillion in taxes (gross receipts before tax refunds), totaling 92 percent of Federal Government receipts.

The IRS taxpayer service program helps millions of taxpayers understand and meet their tax obligations. The IRS tax enforcement and compliance program deters taxpayers inclined to evade their responsibilities while vigorously pursuing those who violate tax laws.

The IRS Strategic Plan guides program and budget decisions and supports the Department of the Treasury Strategic Plan and Agency Priority Goals, including Increase Voluntary Tax Compliance. The IRS Strategic Plan recognizes the increasing complexity of tax laws, changing business models, expanding use of electronic data and related security risks, accelerating growth in international tax activities, and growing human capital challenges.

The IRS strategic goals are: (1) *Improve Service to Make Voluntary Compliance Easier* and (2) *Enforce the Law to Ensure Everyone Meets Their Obligations to Pay Taxes*.

To improve service to make voluntary compliance easier, the IRS must incorporate taxpayer perspectives to improve all service interactions; expedite and improve issue resolution across all interactions with taxpayers, making it easier to navigate the IRS; provide taxpayers with targeted, timely guidance and outreach; and strengthen partnerships with tax practitioners, tax preparers, and other third parties to ensure effective tax administration.

To enforce the law to ensure everyone meets their obligation to pay taxes, the IRS must proactively enforce the law in a timely manner while respecting taxpayer rights and minimizing taxpayer burden; expand enforcement approaches and tools; meet the

challenges of international tax administration; allocate compliance resources using a data-driven approach to address existing and emerging high-risk areas; continue focused oversight of the tax-exempt sector; and ensure that all tax practitioners, tax preparers, and other third parties in the tax system adhere to professional standards and follow the law.

To achieve its service and enforcement goals and be the best place to work in government, the IRS must build and deploy advanced information technology systems, processes, and tools that further improve IRS efficiency and productivity; ensure the privacy and security of data and the safety and security of employees; and use data and research across the organization to make more informed decisions and allocate resources.

The FY 2014 President's Budget provides \$12,861 million for the IRS to implement key strategic priorities.

**Enforcement Program.**—The 2014 Budget includes an Enforcement account increase of more than \$360 million from the 2012 enacted level to implement enacted legislation; protect revenue by identifying fraud and preventing issuance of questionable refunds including tax-related identity theft; increase compliance by addressing offshore tax evasion; make use of new information reporting requirements to reduce underreporting; strengthen examination and collection programs; expand enforcement efforts on noncompliance among corporate and high-wealth taxpayers; and strengthen return preparer compliance. This increase is supported by a program integrity cap adjustment totaling \$412 million, which includes funding for both the Enforcement (\$246 million) and the Operations Support accounts (\$166 million), including \$5 million in the Enforcement account to transfer to the Alcohol and Tobacco Tax and Trade Bureau (TTB) for high return on investment tax enforcement activities. The Budget proposes an amendment to section 251 of the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended, to provide a statutory change that will allow adjustments to the discretionary caps for additional IRS appropriations. To ensure full funding of the cost increases, this cap adjustment is permissible in 2014 only if the base level for the IRS Enforcement and Operations Support accounts are funded at \$9,736 million. The new FY 2014 enforcement initiatives funded out of this cap adjustment will generate roughly \$1.6 billion in additional annual enforcement revenue once the new hires reach full potential in FY 2016. The Budget also proposes new tax enforcement and compliance initiatives for IRS and TTB funded via cap adjustments through 2023. The proposal entails 10 years of cap adjustments costing \$13.8 billion while saving \$46.5 billion, for a net savings of \$32.7 billion. These estimates do not include the revenue effect from the deterrence component of these investments and other IRS enforcement programs, which is conservatively estimated to be at least three times the direct revenue impact. See additional discussion in the Budget Process chapter in the Analytical Perspectives volume.

**Taxpayer Service Program.**—The 2014 Budget includes a Taxpayer Services account increase of more than \$172 million from the FY 2012 enacted level. The 2014 Budget will allow the IRS to further improve customer service to meet taxpayer demand and continue delivering services to taxpayers using a variety of in-person, telephone, and web-based methods to help taxpayers understand their obligations, correctly file their returns, and pay taxes due in a timely manner. The IRS is committed to increasing the service options available through the IRS web site, allowing more taxpayers to reach the IRS through the Internet. Notably, in 2012, there were 372 million visits to *www.IRS.gov*, and more than 132 million taxpayers checked their refund status by accessing *Where's My Refund?* in English or Spanish on the IRS website.

Taxpayers also can use automated features found at 1-800-829-1040.

**Modernization Program.**—IRS modernization efforts focus on building and deploying advanced information technology systems, processes, and tools to improve efficiency and productivity. The FY 2014 Budget provides \$300.8 million for the Business Systems Modernization Program to build on the momentum of implementing new daily processing during the calendar year 2012 filing season and the delivery of a new database for individual taxpayer account data by investing in state-of-the-art capabilities, such as online services, that leverage the database infrastructure that is now in place. IRS processing systems are now accepting all 1040 forms electronically and, for the first time, feeding those returns through a single, consolidated taxpayer account database. The IRS also will focus effort on the second phase of the CADE 2 initiative, which addresses the risks associated with the continued legacy of antiquated technologies and programming languages in the current IRS environment. This next phase, known as Transition State 2, will ensure the long-term viability of the IRS tax processing systems.

**Federal Funds**

**TAXPAYER SERVICES**

*For necessary expenses of the Internal Revenue Service to provide taxpayer services, including pre-filing assistance and education, filing and account services, taxpayer advocacy services, and other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, and to administer the tax credit in title II of division A of the Trade Act of 2002 (Public Law 107-210), \$2,412,576,000, of which not less than \$5,600,000 shall be for the Tax Counseling for the Elderly Program, of which not less than \$9,750,000 shall be available for low-income taxpayer clinic grants, of which not less than \$18,000,000, to remain available until September 30, 2015, shall be available for a Community Volunteer Income Tax Assistance matching grants program for tax return preparation assistance.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 20-0912-0-1-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Pre-filing taxpayer assistance and education .....	652	642	670
0002 Filing and account services .....	1,763	1,810	1,894
0100 Subtotal, direct programs .....	2,415	2,452	2,564
0799 Total direct obligations .....	2,415	2,452	2,564
0801 Reimbursable program .....	21	21	21
0900 Total new obligations .....	2,436	2,473	2,585
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	7	7
1011 Unobligated balance transfer from other accts [20-5432] .....	174	198	151
1012 Unobligated balance transfers between expired and unexpired accounts .....	8		
1029 Other balances withdrawn .....	-1		
1050 Unobligated balance (total) .....	192	205	158
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,240	2,254	2,412
1160 Appropriation, discretionary (total) .....	2,240	2,254	2,412
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	21	21	21
1750 Spending auth from offsetting collections, disc (total) .....	21	21	21
1900 Budget authority (total) .....	2,261	2,275	2,433

1930 Total budgetary resources available .....	2,453	2,480	2,591
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-10		
1941 Unexpired unobligated balance, end of year .....	7	7	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	190	200	260
3010 Obligations incurred, unexpired accounts .....	2,436	2,473	2,585
3011 Obligations incurred, expired accounts .....	7		
3020 Outlays (gross) .....	-2,426	-2,413	-2,551
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	200	260	294
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	190	200	260
3200 Obligated balance, end of year .....	200	260	294
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,261	2,275	2,433
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,052	2,064	2,207
4011 Outlays from discretionary balances .....	374	349	344
4020 Outlays, gross (total) .....	2,426	2,413	2,551
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-26	-21	-21
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-28	-21	-21
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	7		
4070 Budget authority, net (discretionary) .....	2,240	2,254	2,412
4080 Outlays, net (discretionary) .....	2,398	2,392	2,530
4180 Budget authority, net (total) .....	2,240	2,254	2,412
4190 Outlays, net (total) .....	2,398	2,392	2,530

This appropriation provides resources for taxpayer service programs, which collectively focus on helping taxpayers understand their tax obligations, correctly file their returns, and pay taxes due in a timely manner. The appropriation also supports a number of other activities, including forms and publications; processing of tax returns and related documents; filing and account services; and taxpayer advocacy services.

**Object Classification** (in millions of dollars)

Identification code 20-0912-0-1-803	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	1,544	1,577	1,649
11.3 Other than full-time permanent .....	47	45	45
11.5 Other personnel compensation .....	97	90	99
11.9 Total personnel compensation .....	1,688	1,712	1,793
12.1 Civilian personnel benefits .....	536	544	556
13.0 Benefits for former personnel .....	5		
21.0 Travel and transportation of persons .....	18	25	28
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	8	9	9
25.1 Advisory and assistance services .....	32	15	15
25.2 Other services from non-Federal sources .....	25	43	47
25.3 Other goods and services from Federal sources .....	61	62	66
25.8 Subsistence and support of persons .....		2	2
26.0 Supplies and materials .....	7	7	8
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	30	28	34
42.0 Insurance claims and indemnities .....		1	1
99.0 Direct obligations .....	2,414	2,452	2,563
99.0 Reimbursable obligations .....	21	21	21
99.5 Below reporting threshold .....	1		1
99.9 Total new obligations .....	2,436	2,473	2,585

**Employment Summary**

Identification code 20-0912-0-1-803	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	30,855	31,083	32,781
2001 Reimbursable civilian full-time equivalent employment .....	456	453	453

TAXPAYER SERVICES—Continued  
Employment Summary—Continued

Identification code 20-0912-0-1-803	2012 actual	2013 CR	2014 est.
3001 Allocation account civilian full-time equivalent employment .....	34		

ENFORCEMENT

For necessary expenses for tax enforcement activities of the Internal Revenue Service to determine and collect owed taxes, to provide legal and litigation support, to conduct criminal investigations, to enforce criminal statutes related to violations of internal revenue laws and other financial crimes, to purchase (for police-type use, not to exceed 850) and hire passenger motor vehicles (31 U.S.C. 1343(b)), and to provide other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, \$5,666,787,000, of which not less than \$60,257,000 shall be for the Interagency Crime and Drug Enforcement program: Provided, That, of the amounts provided under this heading, not less than \$245,904,000, of which \$5,000,000 shall be transferred to the Alcohol and Tobacco Tax and Trade Bureau, shall be for an additional appropriation for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20-0913-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Investigations .....	646	623	657
0002 Exam and Collections .....	4,493	4,578	4,842
0003 Regulatory .....	163	173	181
0100 Subtotal, Direct program .....	5,302	5,374	5,680
0799 Total direct obligations .....	5,302	5,374	5,680
0801 Reimbursable program .....	64	65	65
0900 Total new obligations .....	5,366	5,439	5,745
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	
1011 Unobligated balance transfer from other accts [20-5432] .....	17	41	18
1012 Unobligated balance transfers between expired and unexpired accounts .....	10		
1050 Unobligated balance (total) .....	30	43	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,299	5,331	5,667
1120 Appropriations transferred to other accts [20-1008] .....			-5
1121 Appropriations transferred from other accts [20-5432] .....	3		
1160 Appropriation, discretionary (total) .....	5,302	5,331	5,662
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	32	65	65
1701 Change in uncollected payments, Federal sources .....	32		
1750 Spending auth from offsetting collections, disc (total) .....	64	65	65
1900 Budget authority (total) .....	5,366	5,396	5,727
1930 Total budgetary resources available .....	5,396	5,439	5,745
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-28		
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	482	447	472
3010 Obligations incurred, unexpired accounts .....	5,366	5,439	5,745
3011 Obligations incurred, expired accounts .....	11		
3020 Outlays (gross) .....	-5,391	-5,414	-5,684
3041 Recoveries of prior year unpaid obligations, expired .....	-21		
3050 Unpaid obligations, end of year .....	447	472	533

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-43	-32	-32
3070 Change in uncollected pymts, Fed sources, unexpired .....	-32		
3071 Change in uncollected pymts, Fed sources, expired .....	43		
3090 Uncollected pymts, Fed sources, end of year .....	-32	-32	-32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	439	415	440
3200 Obligated balance, end of year .....	415	440	501

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	5,366	5,396	5,727
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,931	4,952	5,257
4011 Outlays from discretionary balances .....	460	462	427
4020 Outlays, gross (total) .....	5,391	5,414	5,684
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-74	-64	-64
4033 Non-Federal sources .....	-10	-1	-1
4040 Offsets against gross budget authority and outlays (total) .....	-84	-65	-65
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-32		
4052 Offsetting collections credited to expired accounts .....	52		
4060 Additional offsets against budget authority only (total) .....	20		
4070 Budget authority, net (discretionary) .....	5,302	5,331	5,662
4080 Outlays, net (discretionary) .....	5,307	5,349	5,619
4180 Budget authority, net (total) .....	5,302	5,331	5,662
4190 Outlays, net (total) .....	5,307	5,349	5,619

This appropriation provides resources for the examination of tax returns, both domestic and international; the administrative and judicial settlement of taxpayer appeals of examination findings; technical rulings; monitoring employee pension plans; determining qualifications of organizations seeking tax-exempt status; examining the tax returns of exempt organizations; enforcing statutes relating to detection and investigation of criminal violations of the internal revenue laws and other financial crimes; identifying underreporting of tax obligations; securing unfiled tax returns; and collecting unpaid accounts. Further, the 2014 Budget protects revenue by identifying fraud and preventing the issuance of erroneous refund payments, including tax-related identity theft, and strengthens return preparer compliance. A portion of the appropriation (\$246 million) is requested as part of the \$412 million total program integrity cap adjustment that will reduce the deficit through above-base funding for high return on investment (ROI) tax enforcement and compliance initiatives, including \$5 million to transfer to the Alcohol and Tobacco Tax and Trade Bureau (TTB). In conjunction with specified funds provided to the IRS Operations Support account, this increment will support tax compliance initiatives expected to generate high ROI in the form of increased tax revenues, with the 10-year policy generating over \$46 billion in additional revenues over 10 years, or nearly \$33 billion when costs are taken into account. Language presented in this account, the Operations Support account, and Section 125 of the Department of the Treasury's Administrative Provisions is provided to effectuate the cap adjustment in conjunction with an amendment to section 251 of the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended.

Object Classification (in millions of dollars)

Identification code 20-0913-0-1-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	3,590	3,648	3,830
11.3 Other than full-time permanent .....	40	45	45
11.5 Other personnel compensation .....	164	161	167
11.8 Special personal services payments .....	16	18	19
11.9 Total personnel compensation .....	3,810	3,872	4,061

12.1	Civilian personnel benefits .....	1,159	1,193	1,273
13.0	Benefits for former personnel .....	15	.....	.....
21.0	Travel and transportation of persons .....	90	130	158
22.0	Transportation of things .....	2	3	5
23.2	Rental payments to others .....	.....	1	1
23.3	Communications, utilities, and miscellaneous charges .....	5	6	6
24.0	Printing and reproduction .....	5	4	4
25.1	Advisory and assistance services .....	75	21	24
25.2	Other services from non-Federal sources .....	44	51	55
25.3	Other goods and services from Federal sources .....	43	47	48
25.5	Research and development contracts .....	4	3	3
25.7	Operation and maintenance of equipment .....	2	1	2
25.8	Subsistence and support of persons .....	1	2	3
26.0	Supplies and materials .....	27	22	25
31.0	Equipment .....	9	4	9
32.0	Land and structures .....	.....	1	.....
42.0	Insurance claims and indemnities .....	2	2	2
91.0	Unvouchered .....	9	11	1
99.0	Direct obligations .....	5,302	5,374	5,680
99.0	Reimbursable obligations .....	64	64	65
99.5	Below reporting threshold .....	.....	1	.....
99.9	Total new obligations .....	5,366	5,439	5,745

**Employment Summary**

Identification code 20-0913-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	47,313	46,986	50,141
2001 Reimbursable civilian full-time equivalent employment .....	143	143	143
3001 Allocation account civilian full-time equivalent employment .....	179	5	5

**HEALTH INSURANCE TAX CREDIT ADMINISTRATION**

**Program and Financing** (in millions of dollars)

Identification code 20-0928-0-1-803	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	6	1
3020	Outlays (gross) .....	-5	-1
3050	Unpaid obligations, end of year .....	1	.....
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	6	1
3200	Obligated balance, end of year .....	1	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	5	1
4190	Outlays, net (total) .....	5	1

This appropriation provided operating resources to administer the advance payment feature of the Trade Adjustment Assistance health coverage tax credit (HCTC) program, which assists dislocated workers with their health insurance premiums. The tax credit program was enacted by the Trade Act of 2002 (Public Law 107-210) and became effective in August of 2003. In FY 2012, administrative resources for the program were moved to the Taxpayer Services appropriation under the Consolidated Appropriations Act of 2012 (Public Law 112-74). This consolidation was made in advance of the program's termination effective January 1, 2014 as provided by the Trade Adjustment Assistance Extension Act of 2011 (Public Law 112-40). Beginning January 1, 2014, health care premium tax credits will be available to qualified individuals under the Patient Protection and Affordable Care Act (Public Law 111-148).

**OPERATIONS SUPPORT**

For necessary expenses of the Internal Revenue Service to support taxpayer services and enforcement programs, including rent payments; facilities services; printing; postage; physical security; headquarters and other

IRS-wide administration activities; research and statistics of income; telecommunications; information technology development, enhancement, operations, maintenance, and security; the hire of passenger motor vehicles (31 U.S.C. 1343(b)); and other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, \$4,480,843,000, of which up to \$250,000,000 shall remain available until September 30, 2015, for information technology support; of which up to \$65,000,000 shall remain available until expended for acquisition of real property, equipment, construction and renovation of facilities; of which not to exceed \$1,000,000 shall remain available until September 30, 2016, for research; of which not less than \$2,000,000 shall be for the Internal Revenue Service Oversight Board; of which not to exceed \$25,000 shall be for official reception and representation expenses: Provided, That not later than 30 days after the end of each quarter of each fiscal year, the Internal Revenue Service shall submit a report to the House and Senate Committees on Appropriations and the Comptroller General of the United States detailing the cost and schedule performance for its major information technology investments, including the purpose and life-cycle stages of the investments; the reasons for any cost and schedule variances; the risks of such investments and strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter: Provided further, That the Internal Revenue Service shall include, in its budget justification for fiscal year 2015, a summary of cost and schedule performance information for its major information technology systems: Provided, That, of the amounts provided under this heading, such sums as are necessary shall be available to fully support tax enforcement and compliance activities, including not less than \$166,086,000 for an additional appropriation for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 20-0919-0-1-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002	Infrastructure .....	952	971
0003	Shared Services and Support .....	1,204	1,273
0004	Information Services .....	1,835	2,174
0100	Subtotal, direct programs .....	3,991	4,418
0799	Total direct obligations .....	3,991	4,418
0801	Reimbursable program .....	38	23
0900	Total new obligations .....	4,029	4,441

**Budgetary Resources:**

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	81	177
1011	Unobligated balance transfer from other accts [20-5432] ...	81	114
1012	Unobligated balance transfers between expired and unexpired accounts .....	22	.....
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-1	.....
1021	Recoveries of prior year unpaid obligations .....	2	.....
1029	Other balances withdrawn .....	-7	.....
1050	Unobligated balance (total) .....	178	291
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	3,947	3,971
1121	Appropriations transferred from other accts [20-5432] ...	51	158
1160	Appropriation, discretionary (total) .....	3,998	4,129
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	30	23
1701	Change in uncollected payments, Federal sources .....	8	.....
1750	Spending auth from offsetting collections, disc (total) .....	38	23
1900	Budget authority (total) .....	4,036	4,152
1930	Total budgetary resources available .....	4,214	4,443
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-8	.....
1941	Unexpired unobligated balance, end of year .....	177	2

OPERATIONS SUPPORT—Continued  
Program and Financing—Continued

Identification code 20-0919-0-1-803	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	949	886	1,318
3010 Obligations incurred, unexpired accounts .....	4,029	4,441	4,482
3011 Obligations incurred, expired accounts .....	20		
3020 Outlays (gross) .....	-4,042	-4,009	-4,361
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-68		
3050 Unpaid obligations, end of year .....	886	1,318	1,439
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	-8		
3071 Change in uncollected pymts, Fed sources, expired .....	8		
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	940	877	1,309
3200 Obligated balance, end of year .....	877	1,309	1,430
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,036	4,152	4,593
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,177	3,264	3,612
4011 Outlays from discretionary balances .....	865	745	749
4020 Outlays, gross (total) .....	4,042	4,009	4,361
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-37	-22	-23
4033 Non-Federal sources .....	-4	-1	-1
4040 Offsets against gross budget authority and outlays (total) ....	-41	-23	-24
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-8		
4052 Offsetting collections credited to expired accounts .....	11		
4060 Additional offsets against budget authority only (total) .....	3		
4070 Budget authority, net (discretionary) .....	3,998	4,129	4,569
4080 Outlays, net (discretionary) .....	4,001	3,986	4,337
4180 Budget authority, net (total) .....	3,998	4,129	4,569
4190 Outlays, net (total) .....	4,001	3,986	4,337

This appropriation provides resources for support functions that are essential to the successful operation of IRS programs. These functions include: overall planning and direction of the IRS; shared service support related to facilities maintenance, rent payments, printing, postage and security; resources for headquarters management activities such as communications and liaison, finance, human resources, equity, diversity and inclusion; research and statistics of income; and necessary expenses for telecommunications support and the development and maintenance of IRS operational information systems. This appropriation also includes specific funds to support multi-year facility and real estate planning to improve the IRS investment process, as well as funds needed to implement an array of significant new tax legislation. A portion of the appropriation (\$166 million) is requested as part of the \$412 million program integrity cap adjustment that will reduce the deficit through above-base funding for high return on investment (ROI) tax enforcement and compliance programs. In conjunction with specified funds provided to the IRS Enforcement account, this increment will support new tax compliance initiatives that are expected to generate high returns on investment in the form of increased tax revenues, with the 10-year cap adjustment proposal through 2023 generating more than \$46 billion in additional revenue over the budget window, or nearly \$33 billion in net savings when the costs of the adjustments are taken into account.

Object Classification (in millions of dollars)

Identification code 20-0919-0-1-803	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,063	1,118	1,218
11.3 Other than full-time permanent .....	8	10	10
11.5 Other personnel compensation .....	27	31	31
11.9 Total personnel compensation .....	1,098	1,159	1,259
12.1 Civilian personnel benefits .....	366	380	419
13.0 Benefits for former personnel .....	73	49	49
21.0 Travel and transportation of persons .....	24	34	36
22.0 Transportation of things .....	18	21	20
23.1 Rental payments to GSA .....	662	649	645
23.2 Rental payments to others .....	13	13	14
23.3 Communications, utilities, and miscellaneous charges .....	382	381	365
24.0 Printing and reproduction .....	22	23	26
25.1 Advisory and assistance services .....	519	724	589
25.2 Other services from non-Federal sources .....	74	130	173
25.3 Other goods and services from Federal sources .....	65	54	61
25.4 Operation and maintenance of facilities .....	168	177	179
25.6 Medical care .....	14	14	16
25.7 Operation and maintenance of equipment .....	83	119	116
26.0 Supplies and materials .....	28	39	41
31.0 Equipment .....	341	394	402
32.0 Land and structures .....	39	58	48
42.0 Insurance claims and indemnities .....	2		
99.0 Direct obligations .....	3,991	4,418	4,458
99.0 Reimbursable obligations .....	37	23	23
99.5 Below reporting threshold .....	1		1
99.9 Total new obligations .....	4,029	4,441	4,482

Employment Summary

Identification code 20-0919-0-1-803	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	11,516	12,240	13,143
2001 Reimbursable civilian full-time equivalent employment .....	124	116	116
3001 Allocation account civilian full-time equivalent employment .....	455		

BUSINESS SYSTEMS MODERNIZATION

For necessary expenses of the Internal Revenue Service's business systems modernization program, \$300,827,000, to remain available until September 30, 2016, for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including related Internal Revenue Service labor costs, and contractual costs associated with operations authorized by 5 U.S.C. 3109: Provided, That not later than 30 days after the end of each quarter of each fiscal year, the Internal Revenue Service shall submit a report to the House and Senate Committees on Appropriations and the Comptroller General of the United States detailing the cost and schedule performance for CADE 2 and Modernized e-File information technology investments, including the purposes and life-cycle stages of the investments; the reasons for any cost and schedule variances; the risks of such investments and the strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20-0921-0-1-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Business Systems Modernization .....	351	325	307
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	118	97	104
1021 Recoveries of prior year unpaid obligations .....	1		

1050	Unobligated balance (total) .....	119	97	104
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	330	332	301
1160	Appropriation, discretionary (total) .....	330	332	301
1930	Total budgetary resources available .....	449	429	405
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	97	104	98
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	141	132	110
3010	Obligations incurred, unexpired accounts .....	351	325	307
3020	Outlays (gross) .....	-357	-347	-326
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	132	110	91
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	141	132	110
3200	Obligated balance, end of year .....	132	110	91
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	330	332	301
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	158	159	144
4011	Outlays from discretionary balances .....	199	188	182
4020	Outlays, gross (total) .....	357	347	326
4180	Budget authority, net (total) .....	330	332	301
4190	Outlays, net (total) .....	357	347	326

This appropriation provides resources for the planning and capital asset acquisition of information technology to modernize the IRS business systems, including labor and related contractual costs. The IRS uses a formal methodology to evaluate, prioritize, approve, and fund its portfolio of Business Systems Modernization investments. This methodology provides a documented, repeatable, and measurable process for managing investments throughout their life cycle. The Government Accountability Office on a regular basis reviews the status of the key Business Systems Modernization investments and the IRS submits quarterly information technology investment reports to the House and Senate Committees on Appropriations.

IRS modernization efforts focus on building and deploying advanced information technology systems, processes, and tools to improve efficiency and productivity. In 2012, the IRS delivered the most significant update to its core tax processing system in decades. Since the 1960s, the IRS has processed individual taxpayer returns on a weekly batch cycle, which starting in 2012 was reduced to a daily processing cycle. The daily processing capability enhances IRS tax administration and improves customer service by allowing faster refunds for more taxpayers, more timely account updates, and faster issuance of taxpayer notices. In addition, IRS processing systems are accepting all 1040-family forms and schedules electronically through a modernized e-filing capability.

**Object Classification** (in millions of dollars)

Identification code 20-0921-0-1-803	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	66	57	56
11.3 Other than full-time permanent .....	1	2	1
11.5 Other personnel compensation .....	3	4	3
11.9 Total personnel compensation .....	70	63	60
12.1 Civilian personnel benefits .....	18	16	16
21.0 Travel and transportation of persons .....	1	2	1
23.3 Communications, utilities, and miscellaneous charges .....		2	1
25.1 Advisory and assistance services .....	213	178	184
25.2 Other services from non-Federal sources .....	1	1	
25.7 Operation and maintenance of equipment .....	3	4	2

31.0	Equipment .....	45	59	42
99.0	Direct obligations .....	351	325	306
99.5	Below reporting threshold .....			1
99.9	Total new obligations .....	351	325	307

**Employment Summary**

Identification code 20-0921-0-1-803	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	596	513	513

**BUILD AMERICA BOND PAYMENTS, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 20-0935-0-1-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3,749	4,334	4,334
0900 Total new obligations (object class 41.0) .....	3,749	4,334	4,334
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200      Appropriation .....	3,749	4,334	4,334
1260      Appropriations, mandatory (total) .....	3,749	4,334	4,334
1930 Total budgetary resources available .....	3,749	4,334	4,334
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010      Obligations incurred, unexpired accounts .....	3,749	4,334	4,334
3020      Outlays (gross) .....	-3,749	-4,334	-4,334
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090      Budget authority, gross .....	3,749	4,334	4,334
Outlays, gross:			
4100      Outlays from new mandatory authority .....	3,749	4,334	4,334
4180      Budget authority, net (total) .....	3,749	4,334	4,334
4190      Outlays, net (total) .....	3,749	4,334	4,334

The American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Section 1531, allows State and local governments to issue Build America Bonds through December 31, 2010. These tax credit bonds, which include Recovery Zone Bonds, differ from tax-exempt governmental obligation bonds in two principal ways: (1) interest paid on tax credit bonds is taxable; and (2) a portion of the interest paid on tax credit bonds takes the form of a federal tax credit. The bond issuer may elect to receive a direct payment in the amount of the tax credit for obligations issued before January 1, 2011.

**AMERICA FAST FORWARD BONDS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 20-0953-4-1-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Direct program activity .....			686
0900 Total new obligations (object class 41.0) .....			686
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200      Appropriation .....			686
1260      Appropriations, mandatory (total) .....			686
1930 Total budgetary resources available .....			686

AMERICA FAST FORWARD BONDS—Continued  
Program and Financing—Continued

Identification code 20-0953-4-1-806	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			686
3020			-686
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			686
Outlays, gross:			
4100			686
4180			686
4190			686

The FY 2014 President's Budget proposes a new permanent America Fast Forward Bond program that will be an optional alternative to traditional tax-exempt bonds. This program will be similar to the expired Build America Bond program. America Fast Forward Bonds would be conventional taxable bonds issued by State and local governments in which the Federal government makes direct subsidy payments to State and local governmental issuers (refundable tax credits). Eligible uses would include financing of governmental capital projects, current refundings, or refinancing, of prior capital project financings, short-term governmental working capital financings for governmental operating expenses, and financing for the types of projects and programs that can be financed with qualified private activity bonds, subject to applicable State bond volume caps. The subsidy rate for America Fast Forward Bonds is proposed at 28 percent, which is revenue neutral relative to the estimated future Federal tax expenditures for tax-exempt bonds. The America Fast Forward Bond program will be effective for bonds issued after the date of enactment. For America Fast Forward Bonds for Education issued for original financings of governmental capital projects for public schools and state universities and original financings for Section 501(c)(3) nonprofit educational entities, the subsidy rate would increase to 50 percent. The increased 50 percent subsidy rate would not apply to current refundings. The increased subsidy rate for the America Fast Forward Bonds for Education would be effective for bonds issued in 2014 and 2015.

PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 20-0906-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	54,890	55,123	55,577
0900	54,890	55,123	55,577
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	54,890	55,123	55,577
1260	54,890	55,123	55,577
1930	54,890	55,123	55,577
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	54,890	55,123	55,577
3020	-54,890	-55,123	-55,577
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	54,890	55,123	55,577

Outlays, gross:			
4100	54,890	55,123	55,577
4180	54,890	55,123	55,577
4190	54,890	55,123	55,577

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	54,890	55,123	55,577
Outlays	54,890	55,123	55,577
Legislative proposal, subject to PAYGO:			
Budget Authority			25
Outlays			25
Total:			
Budget Authority	54,890	55,123	55,602
Outlays	54,890	55,123	55,602

As provided by law, there are instances wherein the earned income tax credit (EITC) exceeds the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the taxpayer. Congress originally authorized the EITC in the Tax Reduction Act of 1975 (Public Law 94-12) and made it permanent in the Revenue Adjustment Act of 1978 (Public Law 95-600). The Tax Reform Act of 1986 and the Omnibus Budget Reconciliation Acts of 1990 and 1993 increased the credit amount and expanded eligibility for the EITC.

The Economic Growth and Tax Relief Reconciliation Act of 2001 (EGTRRA) (Public Law 107-16) increased the income level at which the credit begins to phase out for married taxpayers filing joint returns, and made other changes to simplify the credit and improve compliance.

The American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Section 1002, temporarily increased the EITC for working families with three or more children, and increased the threshold for the phase-out range for all married couples filing a joint return for 2009 and 2010 tax returns. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111-312), Section 103(c), extended EGTRRA and ARRA benefits through tax year 2012.

The American Taxpayer Relief Act of 2012 (Public Law 112-240), Section 103(c), extended the EGTRRA and ARRA benefits through tax year 2017 (a five-year extension). The Budget proposes permanent extension (beyond 2017) of the increased EITC for families with three or more children and the increased threshold for the phase-out for married couples.

PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-0906-4-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001			25
0900			25
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			25
1260			25
1930			25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			25
3020			-25

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		25
Outlays, gross:			
4100	Outlays from new mandatory authority .....		25
4180	Budget authority, net (total) .....		25
4190	Outlays, net (total) .....		25

The Budget proposes to simplify the rules for claiming the EITC for workers without qualifying children. The account also reflects the interaction effect with the proposal to restrict access to the Death Master File.

PAYMENT WHERE CHILD TAX CREDIT EXCEEDS LIABILITY FOR TAX

**Program and Financing** (in millions of dollars)

Identification code 20-0922-0-1-609				
	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	22,106	23,061	25,119
0900	Total new obligations (object class 41.0) .....	22,106	23,061	25,119
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	22,106	23,061	25,119
1260	Appropriations, mandatory (total) .....	22,106	23,061	25,119
1930	Total budgetary resources available .....	22,106	23,061	25,119
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	22,106	23,061	25,119
3020	Outlays (gross) .....	-22,106	-23,061	-25,119
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	22,106	23,061	25,119
Outlays, gross:				
4100	Outlays from new mandatory authority .....	22,106	23,061	25,119
4180	Budget authority, net (total) .....	22,106	23,061	25,119
4190	Outlays, net (total) .....	22,106	23,061	25,119

As provided by law, there are instances where the child tax credit exceeds the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the taxpayer.

The Congress originally authorized the child credit in the Taxpayer Relief Act of 1997 (Public Law 105-34). The credit amount and extent to which the credit is refundable were increased by EGTRRA. The American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Section 1003, further expanded the extent to which the credit is refundable. The credit was refundable to the extent of 15 percent of an individual's earned income in excess of \$3,000 for 2010 and 2011. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111-312), Section 103(b), extended this temporary benefit for 2011 and 2012. The American Taxpayer Relief Act of 2012 (Public Law 112-240), Section 103(b), extended the ARRA benefits through tax year 2017 (a five-year extension). The Budget proposes permanent extension (beyond 2017) of the Child Tax Credit.

PAYMENT WHERE HEALTH COVERAGE TAX CREDIT EXCEEDS LIABILITY FOR TAX

**Program and Financing** (in millions of dollars)

Identification code 20-0923-0-1-551				
	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	131	120	32
0900	Total new obligations (object class 41.0) .....	131	120	32
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	131	120	32
1260	Appropriations, mandatory (total) .....	131	120	32
1930	Total budgetary resources available .....	131	120	32
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	131	120	32
3020	Outlays (gross) .....	-131	-120	-32
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	131	120	32
Outlays, gross:				
4100	Outlays from new mandatory authority .....	131	120	32
4180	Budget authority, net (total) .....	131	120	32
4190	Outlays, net (total) .....	131	120	32

The Trade Act of 2002 established the Health Coverage Tax Credit (HCTC), a refundable tax credit for a portion of the cost of qualified insurance, which may be paid in advance. This credit is available to certain recipients of Trade Adjustment Assistance (TAA) and Pension Benefit Guaranty Corporation pension beneficiaries who are aged 55-64.

The Congress expanded the HCTC program in the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Sections 1899A-1899J. These increased benefits for certain HCTC eligible individuals include payment of 80 percent (up from 65 percent) of health insurance premiums, up to 24 months of coverage for qualified family members, and extension of COBRA benefits. The Omnibus Trade Act of 2010 (Public Law 111-344), Sections 111-118, extended these benefits until February 13, 2011. The bill to extend the Generalization System of Preference (Public Law 112-040), Section 241, extended the credit through December 31, 2013 and reduced the credit percentage to 72.5 percent, and eliminated the credit entirely beginning January 1, 2014. Beginning 2014, the Patient Protection and Affordable Care Act (Public Law 111-148) provides health care premium tax credits to eligible individuals to help purchase health coverage. This schedule reflects the effects of HCTC in cases where the credit exceeds the tax liability resulting in payment to the taxpayer.

PAYMENT WHERE COBRA CREDIT EXCEEDS LIABILITY FOR TAX

**Program and Financing** (in millions of dollars)

Identification code 20-0936-0-1-551				
	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	192	10	.....
0900	Total new obligations (object class 41.0) .....	192	10	.....
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	192	10	.....
1260	Appropriations, mandatory (total) .....	192	10	.....

PAYMENT WHERE COBRA CREDIT EXCEEDS LIABILITY FOR TAX—Continued  
Program and Financing—Continued

Identification code 20-0936-0-1-551	2012 actual	2013 CR	2014 est.
1930 Total budgetary resources available .....	192	10	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	192	10	.....
3020 Outlays (gross) .....	-192	-10	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	192	10	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	192	10	.....
4180 Budget authority, net (total) .....	192	10	.....
4190 Outlays, net (total) .....	192	10	.....

COBRA gives workers who lose their jobs, and thus their health benefits, the right to purchase group health coverage provided by the plan under certain circumstances. The American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Section 3001, treated assistance eligible individuals who pay 35 percent of their COBRA premium as having paid the full amount. The remaining 65 percent is reimbursed to the employer, insurer or health plan as a credit against certain employment taxes. The Department of Defense Appropriation Act of 2010 (Public Law 111-118), Section 1010, extended the eligibility period for the COBRA Premium Assistance program from the original ending date of December 31, 2009 to February 28, 2010. The Continuing Extension Act of 2010 (Public Law 111-157), Section 3, amended the American Recovery and Reinvestment Act of 2009 to extend the premium assistance for COBRA benefits to employees involuntarily terminated through May 31, 2010. This credit has expired. However, a small number of FY 2013 outlays are expected from this account, due to amended returns.

PAYMENT WHERE SMALL BUSINESS HEALTH INSURANCE TAX CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 20-0951-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	67	56	140
0900 Total new obligations (object class 41.0) .....	67	56	140
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	67	56	140
1260 Appropriations, mandatory (total) .....	67	56	140
1930 Total budgetary resources available .....	67	56	140
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	67	56	140
3020 Outlays (gross) .....	-67	-56	-140
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	67	56	140
Outlays, gross:			
4100 Outlays from new mandatory authority .....	67	56	140
4180 Budget authority, net (total) .....	67	56	140
4190 Outlays, net (total) .....	67	56	140

The Patient Protection and Affordable Care Act (P.L. 111-148), Section 1421, allows certain small businesses to claim a credit when they pay at least half of the health care premiums for single

health insurance coverage for their employees. Small businesses can claim the credit for 2010 through 2013 and for any two years after that. Generally, employers that have fewer than 25 full-time equivalent employees and pay wages averaging less than \$50,000 per employee per year may qualify for the credit.

PAYMENT WHERE ALTERNATIVE MINIMUM TAX CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 20-0929-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	205	90	30
0900 Total new obligations (object class 41.0) .....	205	90	30
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	205	90	30
1260 Appropriations, mandatory (total) .....	205	90	30
1930 Total budgetary resources available .....	205	90	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	205	90	30
3020 Outlays (gross) .....	-205	-90	-30
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	205	90	30
Outlays, gross:			
4100 Outlays from new mandatory authority .....	205	90	30
4180 Budget authority, net (total) .....	205	90	30
4190 Outlays, net (total) .....	205	90	30

The Tax Relief and Health Care Act of 2006 (Public Law 109-432) allows certain taxpayers to claim a refundable credit for a portion of their unused long-term alternative minimum tax (AMT) credits each year. The Emergency Economic Stabilization Act of 2008 (Public Law 110-343), Division C, Section 103, increased the AMT refundable credit portion from 20 percent to 50 percent of unused long-term minimum tax credits for the taxable year in question. This provision is effective for any taxable year beginning before January 1, 2013. An extension was not addressed in the American Taxpayer Relief Act of 2012.

PAYMENT WHERE TAX CREDIT TO AID FIRST-TIME HOMEBUYERS EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 20-0930-0-1-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	51	10	.....
0900 Total new obligations (object class 41.0) .....	51	10	.....
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	51	10	.....
1260 Appropriations, mandatory (total) .....	51	10	.....
1930 Total budgetary resources available .....	51	10	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	51	10	.....
3020 Outlays (gross) .....	-51	-10	.....

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	51	10 .....
Outlays, gross:			
4100	Outlays from new mandatory authority .....	51	10 .....
4180	Budget authority, net (total) .....	51	10 .....
4190	Outlays, net (total) .....	51	10 .....

The Housing and Economic Recovery Act of 2008 (Public Law 110–289), Section 3011, provided a refundable tax credit of up to \$7,500 for first-time homebuyers. They must repay the credit over a 15-year period. The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1006, expanded and extended the credit, and also eliminated the repayment requirement. The Worker, Homeownership, and Business Assistance Act of 2009 (Public Law 111–92), Section 12, extended the application period for the first-time homebuyer credit from November 30, 2009 to April 30, 2010. The Homebuyer Assistance and Improvement Act of 2010 (Public Law 111–198), Section 2, extended eligibility for the credit to any taxpayer who entered into a written binding contract before May 1, 2010, to close on the purchase of a principal residence before October 1, 2010.

This account provides resources for the portion, if any, of the refundable tax credit amount that exceeds the taxpayer's tax liability. No outlays are expected from this account in 2014, as the credit has expired.

PAYMENT WHERE CERTAIN TAX CREDITS EXCEED LIABILITY FOR CORPORATE TAX

**Program and Financing** (in millions of dollars)

Identification code 20–0931–0–1–376			
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	101	15 .....
0900	Total new obligations (object class 41.0) .....	101	15 .....
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	101	15 .....
1260	Appropriations, mandatory (total) .....	101	15 .....
1930	Total budgetary resources available .....	101	15 .....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	101	15 .....
3020	Outlays (gross) .....	–101	–15 .....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	101	15 .....
Outlays, gross:			
4100	Outlays from new mandatory authority .....	101	15 .....
4180	Budget authority, net (total) .....	101	15 .....
4190	Outlays, net (total) .....	101	15 .....

The Housing and Economic Recovery Act of 2008 (Public Law 110–289), Section 3081, allowed certain businesses to accelerate the recognition of a portion of their unused pre-2006 AMT or research and development (R&D) credits in lieu of taking bonus depreciation. The maximum increase amount is capped at the lesser of \$30 million or 6 percent of eligible AMT and R&D credits. The accelerated credit amount is refundable. The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1201(b), extended this temporary benefit through 2009. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111–312), Section 401(c), extended this temporary benefit through the end of 2012, but only with

respect to AMT credits. The American Taxpayer Relief Act of 2012 (Public Law 112–240), Section 331(c), extended this temporary benefit through 2013 only with respect to AMT credits.

PAYMENT WHERE TAX CREDIT FOR CERTAIN GOVERNMENT RETIREES EXCEEDS LIABILITY FOR TAX

**Program and Financing** (in millions of dollars)

Identification code 20–0942–0–1–602			
<b>Budgetary Resources:</b>			
Unobligated balance:			
1029	Other balances withdrawn .....	–1	.....
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	1	.....
1850	Spending auth from offsetting collections, mand (total) .....	1	.....
1900	Budget authority (total) .....	1	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	–1	.....
4190	Outlays, net (total) .....	–1	.....

The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 2202, allowed certain federal and state retirees to claim a one-time refundable credit of up to \$250 (\$500 in the case of a joint return where both spouses are eligible individuals). No outlays are expected from this account in 2013 or 2014, as the credit has expired.

PAYMENT IN LIEU OF TAX CREDITS FOR PROMISE ZONES

The Administration proposes to designate 20 Promise Zones (14 in urban areas and 6 in rural areas). The zones would be designated in four rounds of five zones each, which would become effective at the beginning of 2015, 2016, 2017, and 2018. Zone designations would last for 10 years. The zones will be chosen through a competitive application process based on the strength of the applicant's competitiveness plan and other criteria. The proposal includes tax incentives for employers who employ zone residents and for certain property placed in service by businesses in Promise Zones.

PAYMENT IN LIEU OF TAX CREDIT FOR NEW JOBS AND WAGE INCREASES  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 20–0956–4–1–504			
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	.....	133
0900	Total new obligations (object class 41.0) .....	.....	133
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	.....	133
1260	Appropriations, mandatory (total) .....	.....	133
1930	Total budgetary resources available .....	.....	133
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	.....	133

PAYMENT IN LIEU OF TAX CREDIT FOR NEW JOBS AND WAGE INCREASES—Continued  
Program and Financing—Continued

Identification code 20–0956–4–1–504		2012 actual	2013 CR	2014 est.
3020	Outlays (gross) .....			–133
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			133
Outlays, gross:				
4100	Outlays from new mandatory authority .....			133
4180	Budget authority, net (total) .....			133
4190	Outlays, net (total) .....			133

Under current law, there is no generally available income tax credit for job creation or increasing employees' wages. The 2014 Budget proposes to provide a temporary, one year income tax credit for small employers for increases in wage expense, whether driven by job creation, increased wages or both. The credit would be equal to 10 percent of the increase in the employers eligible wages paid over the eligible wages paid in the comparable period. Eligible wages are the employer's Old Age, Survivors, and Disability Insurance (OASDI) wages paid in the relevant period. The maximum amount of the increase in eligible wages would be \$5 million per employer, for a maximum credit of \$500,000. For employers with no OASDI wages in the comparable period, eligible wages would be deemed to be 80 percent of their OASDI wages. The credit also would be available to tax exempt organizations and public institutions of higher education. This credit will be available to small employers with eligible wages in of less than \$20 million.

on 2009 and 2010 tax returns. No outlays are expected from this account in 2013, as the credit has expired.

PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 20–0932–0–1–502		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	5,549	7,236	7,456
0900	Total new obligations (object class 41.0) .....	5,549	7,236	7,456
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	5,549	7,236	7,456
1260	Appropriations, mandatory (total) .....	5,549	7,236	7,456
1930	Total budgetary resources available .....	5,549	7,236	7,456
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	5,549	7,236	7,456
3020	Outlays (gross) .....	–5,549	–7,236	–7,456
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	5,549	7,236	7,456
Outlays, gross:				
4100	Outlays from new mandatory authority .....	5,549	7,236	7,456
4180	Budget authority, net (total) .....	5,549	7,236	7,456
4190	Outlays, net (total) .....	5,549	7,236	7,456

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	5,549	7,236	7,456
Outlays .....	5,549	7,236	7,456
Legislative proposal, subject to PAYGO:			
Budget Authority .....			–7
Outlays .....			–7
Total:			
Budget Authority .....	5,549	7,236	7,449
Outlays .....	5,549	7,236	7,449

PAYMENT WHERE MAKING WORK PAY CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 20–0933–0–1–609		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	253	30	
0900	Total new obligations (object class 41.0) .....	253	30	
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	253	30	
1260	Appropriations, mandatory (total) .....	253	30	
1930	Total budgetary resources available .....	253	30	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	253	30	
3020	Outlays (gross) .....	–253	–30	
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	253	30	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	253	30	
4180	Budget authority, net (total) .....	253	30	
4190	Outlays, net (total) .....	253	30	

The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1001, allowed certain taxpayers to claim a refundable Making Work Pay tax credit of 6.2 percent of earned income, up to \$400 for single taxpayers and up to \$800 for married couples filing joint returns. The refundable credit was claimed

The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1004, allows certain taxpayers to claim a refundable American Opportunity Tax Credit (AOTC) for qualifying higher education expenses, for tax years 2009 and 2010. Up to 40 percent of the credit is refundable. The credit applies dollar-for-dollar to the first \$2,000 of qualified tuition, fees and course materials paid by the taxpayer, and applies at a rate of 25 percent to the next \$2,000 in qualified tuition, fees and course materials for a total credit of up to \$2,500. This tax credit is subject to a phase-out for higher-income taxpayers. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111–312), Section 103(a), extended this credit to tax years 2011 and 2012. The American Taxpayer Relief Act of 2012 (Public Law 112–240), Section 103(a), extended the

credit through tax year 2017 (a five-year extension). The Budget proposes permanent extension (beyond 2017) of the AOTC.

PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20–0932–4–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			–7
0900 Total new obligations (object class 41.0) .....			–7
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			–7
1260 Appropriations, mandatory (total) .....			–7
1930 Total budgetary resources available .....			–7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			–7
3020 Outlays (gross) .....			7
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			–7
Outlays, gross:			
4100 Outlays from new mandatory authority .....			–7
4180 Budget authority, net (total) .....			–7
4190 Outlays, net (total) .....			–7

The account reflects the interaction effect with the proposals to extend IRS math error authority and to modify Form 1098-T for reporting tuition expenses.

PAYMENT TO ISSUER OF QUALIFIED ENERGY CONSERVATION BONDS

Program and Financing (in millions of dollars)

Identification code 20–0948–0–1–272	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	23	32	32
0900 Total new obligations (object class 41.0) .....	23	32	32
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	23	32	32
1260 Appropriations, mandatory (total) .....	23	32	32
1930 Total budgetary resources available .....	23	32	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	23	32	32
3020 Outlays (gross) .....	–23	–32	–32
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	23	32	32
Outlays, gross:			
4100 Outlays from new mandatory authority .....	23	32	32
4180 Budget authority, net (total) .....	23	32	32
4190 Outlays, net (total) .....	23	32	32

The Emergency Economic Stabilization Act of 2008 (Public Law 110–343), Section 301, created Qualified Energy Conservation Bonds; and the American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1112, increased the limitation on

issuance of qualified energy conservation bonds from \$800,000,000 to \$3,200,000,000.

The Hiring Incentives to Restore Employment Act (Public Law 111–147), Section 301, amends Section 6431 of the Internal Revenue Code of 1986 by allowing issuers of Qualified Energy Conservation Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds will receive a direct interest payment subsidy from the Federal government. Bondholders will receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF NEW CLEAN RENEWABLE ENERGY BONDS

Program and Financing (in millions of dollars)

Identification code 20–0947–0–1–271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	20	24	24
0900 Total new obligations (object class 41.0) .....	20	24	24
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	20	24	24
1260 Appropriations, mandatory (total) .....	20	24	24
1930 Total budgetary resources available .....	20	24	24
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	20	24	24
3020 Outlays (gross) .....	–20	–24	–24
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	20	24	24
Outlays, gross:			
4100 Outlays from new mandatory authority .....	20	24	24
4180 Budget authority, net (total) .....	20	24	24
4190 Outlays, net (total) .....	20	24	24

The Emergency Economic Stabilization Act of 2008 (Public Law 110–343), Section 107, created New Clean Renewable Energy Bonds; and the American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1111, increased the limitation on issuance of New Clean Renewable Energy Bonds by \$1,600,000,000.

The Hiring Incentives to Restore Employment Act (Public Law 111–147), Section 301, amended Section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of New Clean Renewable Energy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds will receive a direct interest payment subsidy from the Federal government. Bondholders will receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF QUALIFIED SCHOOL CONSTRUCTION BONDS

Program and Financing (in millions of dollars)

Identification code 20–0946–0–1–501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	634	820	820
0900 Total new obligations (object class 41.0) .....	634	820	820

PAYMENT TO ISSUER OF QUALIFIED SCHOOL CONSTRUCTION BONDS—Continued  
Program and Financing—Continued

Identification code 20-0946-0-1-501	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	634	820	820
1260	634	820	820
1930	634	820	820
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	634	820	820
3020	-634	-820	-820
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	634	820	820
Outlays, gross:			
4100	634	820	820
4180	634	820	820
4190	634	820	820

The American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Section 1521, created Qualified School Construction Bonds with a calendar year limitation of \$11,000,000,000 for 2009 and 2010 and zero after 2010.

The Hiring Incentives to Restore Employment Act (Public Law 111-147), Section 301, amends Section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified School Construction Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds will receive a direct interest payment subsidy from the Federal government. Bondholders will receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF QUALIFIED ZONE ACADEMY BONDS

Program and Financing (in millions of dollars)

Identification code 20-0945-0-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	40	38	38
0900	40	38	38
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	40	38	38
1260	40	38	38
1930	40	38	38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	40	38	38
3020	-40	-38	-38
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	40	38	38
Outlays, gross:			
4100	40	38	38
4180	40	38	38
4190	40	38	38

The American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Section 1522, extended and expanded the calendar year limitation for Qualified Zone Academy Bonds to \$1,400,000,000 for 2009 and 2010. The Tax Relief, Unemployment

Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111-312), Section 758, extended the Qualified Zone Academy Bonds for 2011 and reduced the calendar year limitation to \$400,000,000. The American Taxpayer Relief Act of 2012 (Public Law 112-240), Section 310, extended the calendar year limitation of \$400,000,000 through tax year 2013 (a two-year extension).

The Hiring Incentives to Restore Employment Act (Public Law 111-147), Section 301, amends Section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified Zone Academy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds will receive a direct interest payment subsidy from the Federal government. Bondholders will receive a taxable interest payment from the issuer in lieu of a tax credit.

The Tax Relief, Unemployment Insurance Reauthorization and Job Creation Act of 2010 (Public Law 111-312) amended section 6431(f)(3)(A)(iii) to provide that direct pay treatment for Qualified Zone Academy Bonds is not available for Qualified Zone Academy Bond allocations from the 2011 national limitation or any carry forward of the 2011 allocation.

PAYMENT WHERE ADOPTION CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 20-0950-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	777	50	
0900	777	50	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	777	50	
1260	777	50	
1930	777	50	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	777	50	
3020	-777	-50	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	777	50	
Outlays, gross:			
4100	777	50	
4180	777	50	
4190	777	50	

The Economic Growth and Tax Relief Reconciliation Act of 2001 (EGTRRA) (Public Law 107-16), Section 202, increased the maximum credit and exclusion to \$10,000 (indexed for inflation after 2002) for both non-special needs and special needs adoptions; increased the phase-out starting point to \$150,000 (indexed for inflation after 2002); and allowed the credit against the AMT.

The Patient Protection and Affordable Care Act (PPACA) (Public Law 111-148), Section 10909, extended the EGTRRA expansion of the adoption credit and exclusion from income for employer-provided adoption assistance for one year (for 2011); increased by \$1,000 to \$13,170 per child (indexed for inflation) the maximum adoption credit and exclusion from income for employer-provided adoption assistance for two years (2010 and 2011); and made the credit refundable for two years (2010 and 2011), meaning that eligible taxpayers can get it even if they do not owe tax for that year. In general, the credit is based on the reasonable and necessary expenses related to a legal adoption,

including adoption fees, court costs, attorney's fees and travel expenses.

The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111–312), Section 101(b), extended the EGTRRA provisions through 2012. The American Taxpayer Relief Act of 2012 (Public Law 112–240), Section 101(a), made the adoption credit provisions enacted in EGTRRA permanent. The PPACA adoption credit provisions were not extended.

**THERAPEUTIC DISCOVERY PROGRAM GRANTS AND ADMINISTRATION**

**Program and Financing** (in millions of dollars)

Identification code 20–0952–0–1–552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	7	3	2
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	7	3	2
1260 Appropriations, mandatory (total) .....	7	3	2
1930 Total budgetary resources available .....	7	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	7	3	2
3020 Outlays (gross) .....	-7	-3	-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7	3	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7	3	2
4180 Budget authority, net (total) .....	7	3	2
4190 Outlays, net (total) .....	7	3	2

The Affordable Care Act (Public Law 111–148), Section 9023, provided tax credits and grants to qualifying entities that show significant potential to produce new and cost-saving therapies, support U.S. jobs, and increase U.S. competitiveness. Credits and grants are for qualifying investments made during a taxable year beginning in 2009 or 2010. The total amount of credits and grants that may be allocated under the program shall not exceed \$1,000,000,000 for the 2-year period beginning with 2009. This account also includes the administrative costs of carrying out the program, which constitute the projected account activity in 2014.

**Object Classification** (in millions of dollars)

Identification code 20–0952–0–1–552	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		2	2
41.0 Grants, subsidies, and contributions .....	7	1	
99.9 Total new obligations .....	7	3	2

**Employment Summary**

Identification code 20–0952–0–1–552	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		16	16

**REFUNDING INTERNAL REVENUE COLLECTIONS, INTEREST**

**Program and Financing** (in millions of dollars)

Identification code 20–0904–0–1–908	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2,680	3,088	3,345
0900 Total new obligations (object class 43.0) .....	2,680	3,088	3,345
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2,680	3,088	3,345
1260 Appropriations, mandatory (total) .....	2,680	3,088	3,345
1930 Total budgetary resources available .....	2,680	3,088	3,345
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2,680	3,088	3,345
3020 Outlays (gross) .....	-2,680	-3,088	-3,345
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,680	3,088	3,345
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,680	3,088	3,345
4180 Budget authority, net (total) .....	2,680	3,088	3,345
4190 Outlays, net (total) .....	2,680	3,088	3,345

Under certain circumstances, as provided in 26 U.S.C. 6611, interest is paid on Internal Revenue collections that must be refunded. The Tax Equity and Fiscal Responsibility Act of 1982 (Public Law 97–248) provides for daily compounding of interest. Under the Tax Reform Act of 1986 (Public Law 99–514), interest paid on Internal Revenue collections will equal the Federal short-term rate plus two percentage points, with such rate to be adjusted quarterly.

**REFUNDABLE PREMIUM ASSISTANCE TAX CREDIT**

**Program and Financing** (in millions of dollars)

Identification code 20–0949–0–1–551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			32,269
0900 Total new obligations (object class 41.0) .....			32,269
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			32,269
1260 Appropriations, mandatory (total) .....			32,269
1930 Total budgetary resources available .....			32,269
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			32,269
3020 Outlays (gross) .....			-32,269
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			32,269
Outlays, gross:			
4100 Outlays from new mandatory authority .....			32,269
4180 Budget authority, net (total) .....			32,269
4190 Outlays, net (total) .....			32,269

The Patient Protection and Affordable Care Act (ACA) of 2010, Public Law 111–148, established the Refundable Premium Assistance Tax Credit, available to any eligible taxpayer for any qualified health insurance purchased through a Health Insurance Exchange. In general, an eligible taxpayer is defined as a taxpayer

**REFUNDABLE PREMIUM ASSISTANCE TAX CREDIT—Continued**  
with annual household income between 100 and 400 percent of the federal poverty level for a family of the taxpayers size and that does not have access to minimum essential health care coverage. The amount of the credit varies, but cannot exceed the cost of coverage the taxpayer would otherwise pay in premiums under the Health Insurance Exchange. The credit also can be paid in advance to a taxpayer's insurance company to help cover the cost of premiums.

**IRS MISCELLANEOUS RETAINED FEES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 20-5432-0-2-803	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Enrolled Agent Fee Increase, IRS Miscellaneous Retained Fees .....	5	5	6
0201 Tax Preparer Registration Fees, IRS Miscellaneous Retained Fees .....	38	42	40
0220 New Installment Agreements, IRS Miscellaneous Retained Fees .....	162	158	166
0221 Restructured Installment Agreements, IRS Miscellaneous Retained Fees .....	38	40	42
0222 General User Fees, IRS Miscellaneous Retained Fees .....	102	96	91
0223 Photopying Fees, IRS Miscellaneous Retained Fees .....	5	6	5
Adjustments:			
0290 Adjustment - receipts rounding issue .....	2		
0299 Total receipts and collections .....	352	347	350
0400 Total: Balances and collections .....	352	347	350
Appropriations:			
0500 IRS Miscellaneous Retained Fees .....	-352	-347	-350
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 20-5432-0-2-803	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	327	353	189
1010 Unobligated balance transfer to other accts [20-0912] .....	-174	-198	-151
1010 Unobligated balance transfer to other accts [20-0919] .....	-81	-114	-20
1010 Unobligated balance transfer to other accts [20-0913] .....	-17	-41	-18
1050 Unobligated balance (total) .....	55		
Budget authority:			
Appropriations, mandatory:			
1201 [-5432] .....	352	347	350
1220 Appropriations transferred to other accts [20-0919] .....	-51	-158	-88
1220 Appropriations transferred to other accts [20-0913] .....	-3		
1260 Appropriations, mandatory (total) .....	298	189	262
1930 Total budgetary resources available .....	353	189	262
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	353	189	262
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	298	189	262
4180 Budget authority, net (total) .....	298	189	262

As provided by law (26 U.S.C. 7801) the Secretary of the Treasury may establish new fees or raise existing fees for services provided by the Internal Revenue Service to increase receipts, where such fees are authorized by another law, and may spend the new or increased fee receipts to supplement appropriations made available to the IRS appropriations accounts. Funds in this account are transferred to other IRS appropriations accounts for expenditure.

**GIFTS TO THE UNITED STATES FOR REDUCTION OF THE PUBLIC DEBT**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 20-5080-0-2-808	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			5
Receipts:			
0220 Gifts to the United States for Reduction of the Public Debt .....	8	8	8
0400 Total: Balances and collections .....	8	8	13
Appropriations:			
0500 Gifts to the United States for Reduction of the Public Debt .....	-8	-3	-3
0799 Balance, end of year .....		5	10

**Program and Financing** (in millions of dollars)

Identification code 20-5080-0-2-808	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	8	3	3
1236 Appropriations applied to repay debt .....	-8	-3	-3

As provided by law (31 U.S.C. 3113), the Secretary of the Treasury is authorized to accept conditional gifts to the United States for the purpose of reducing the public debt.

**PRIVATE COLLECTION AGENT PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 20-5510-0-2-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Collection Enforcement Activities .....		9	
0900 Total new obligations (object class 25.2) .....		9	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	10	1
1930 Total budgetary resources available .....	10	10	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	1	3
3010 Obligations incurred, unexpired accounts .....		9	
3020 Outlays (gross) .....	-3	-7	-2
3050 Unpaid obligations, end of year .....	1	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	1	3
3200 Obligated balance, end of year .....	1	3	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	3	7	2
4190 Outlays, net (total) .....	3	7	2

The American Jobs Creation Act of 2004 (Public Law 108-357) allows the IRS to use private collection contractors to supplement its own collection staff efforts to ensure that all taxpayers pay what they owe. The IRS used this authority to contract with several private debt collection agencies starting in 2006. In March 2009, the IRS allowed its private debt collection contracts to expire, thereby administratively terminating the program.

INFORMANT PAYMENTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20-5433-0-2-803	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0240 Underpayment and Fraud Collection .....	91	125	125
0400 Total: Balances and collections .....	91	125	125
Appropriations:			
0500 Informant Payments .....	-91	-125	-125
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 20-5433-0-2-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Informant Payments .....	93	125	125
0900 Total new obligations (object class 91.0) .....	93	125	125
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	91	125	125
1260 Appropriations, mandatory (total) .....	91	125	125
1930 Total budgetary resources available .....	94	126	126
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	93	125	125
3020 Outlays (gross) .....	-93	-125	-125
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	91	125	125
Outlays, gross:			
4100 Outlays from new mandatory authority .....	90	125	125
4101 Outlays from mandatory balances .....	3		
4110 Outlays, gross (total) .....	93	125	125
4180 Budget authority, net (total) .....	91	125	125
4190 Outlays, net (total) .....	93	125	125

As provided by law (26 U.S.C. 7623), the Secretary of the Treasury may make payments to individuals who provide information that leads to the collection of Internal Revenue taxes. The Taxpayer Bill of Rights of 1996 (Public Law 104-168) provides for payments of such sums to individuals from the proceeds of amounts collected by reason of the information provided, and any amount collected shall be available for such payments. This information must lead to the detection of underpayments of taxes, or detection and bringing to trial and punishment of persons guilty of violating the Internal Revenue laws. This provision was further amended by the Tax Relief and Health Care Act of 2006 (Public Law 109-432) to provide for mandatory payments in certain circumstances and to encourage use of the program. A reward payment typically ranges between 15 and 30 percent of the collected proceeds for cases where the amount of collected proceeds exceeds \$2,000,000. Lower payments are allowed in certain circumstances, including cases in which information is provided that was already available from another source.

FEDERAL TAX LIEN REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 20-4413-0-3-803	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	2	2	2
0900 Total new obligations (object class 32.0) .....	2	2	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	6	6
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	6	6	6
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	2	2
1850 Spending auth from offsetting collections, mand (total) .....	2	2	2
1930 Total budgetary resources available .....	8	8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2		
3010 Obligations incurred, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4101 Outlays from mandatory balances .....	2	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2	-2	-2

This revolving fund was established pursuant to Section 112(a) of the Federal Tax Lien Act of 1966, to serve as the source of financing the redemption of real property by the United States. During the process of collecting unpaid taxes, the government places a tax lien on real estate in order to protect the government's interest. Situations arise where property of this nature is collateral for other indebtedness and the tax lien is subordinate to the original indebtedness. In this circumstance, it is often in the government's interest to purchase the property during the foreclosure sale. The advantage arises when the property is worth substantially more than the first lien-holder's equity but is being sold for an amount that barely covers that equity, thereby leaving no proceeds to apply against delinquent taxes. Under these circumstances, if the government buys the property and subsequently puts it up for sale under more advantageous conditions, it is possible to realize sufficient profit on the transaction to fully or partially collect the amount of taxes due. The revolving fund is reimbursed from the proceeds of the sale in an amount equal to the amount expended from the fund for the redemption. The balance of the proceeds is applied against the amount of the tax, interest, penalties, and additions thereto, and for the costs of sale. The remainder, if any, would revert to the parties legally entitled to it.

Object Classification (in millions of dollars)

Identification code 20-4413-0-3-803	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
32.0 Land and structures .....	2	2	2

FEDERAL TAX LIEN REVOLVING FUND—Continued  
Object Classification—Continued

Identification code 20-4413-0-3-803	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	2	2	2

INTERNAL REVENUE SERVICE OVERSIGHT BOARD

As directed by the Internal Revenue Service Restructuring and Reform Act of 1998 (Section 7802(d) 26 U.S.C.), the Internal Revenue Service Oversight Board shall provide an annual budget request for the Internal Revenue Service. The Oversight Board's request shall be submitted to the President by the Secretary without revision, and the President shall submit the request, without revision, to Congress together with the President's Budget request for the Internal Revenue Service. The 2014 Oversight Board budget recommendation for the Internal Revenue Service is \$13,074 million.

ADMINISTRATIVE PROVISIONS—INTERNAL REVENUE SERVICE

(INCLUDING TRANSFER OF FUNDS)

SEC. 101. Not to exceed 5 percent of any appropriation made available in this Act to the Internal Revenue Service or not to exceed 3 percent of appropriations under the heading "Enforcement" may be transferred to any other Internal Revenue Service appropriation upon the advance notification of the Committees on Appropriations.

SEC. 102. The Internal Revenue Service shall maintain a training program to ensure that Internal Revenue Service employees are trained in taxpayers' rights, in dealing courteously with taxpayers, and in cross-cultural relations.

SEC. 103. The Internal Revenue Service shall institute and enforce policies and procedures that will safeguard the confidentiality of taxpayer information and protect taxpayers against identity theft.

SEC. 104. Funds made available by this or any other Act to the Internal Revenue Service shall be available for improved facilities and increased staffing to provide sufficient and effective 1-800 help line service for taxpayers. The Commissioner shall continue to make the improvement of the Internal Revenue Service 1-800 help line service a priority and allocate resources necessary to improve the Internal Revenue Service 1-800 help line service.

SEC. 105. Section 9503(a) of title 5, United States Code, is amended by striking "before July 23, 2013" and inserting "before September 30, 2018".

SEC. 106. Section 9503(a)(5) of title 5, United States Code, is amended by inserting before the semicolon the following: "renewable for an additional two years, based on a critical organizational need".

SEC. 107. Section 9505(a) of title 5, United States Code, is amended by striking "Before July 23, 2013" and inserting "Before September 30, 2018".

COMPTROLLER OF THE CURRENCY

Federal Funds

PUBLIC ENTERPRISE FUND, COMPTROLLER OF THE CURRENCY

Program and Financing (in millions of dollars)

Identification code 20-4264-0-3-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0881 Bank Supervision .....	142		
0900 Total new obligations (object class 94.0) .....	142		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	142		
1930 Total budgetary resources available .....	142		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	85	1	1
3010 Obligations incurred, unexpired accounts .....	142		
3020 Outlays (gross) .....	-226		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	85	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	226		
4190 Outlays, net (total) .....	226		

Pursuant to Title III of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), on July 21, 2011, the OCC assumed responsibility for the supervision and regulation of Federal savings associations (thrifts) from the Office of Thrift Supervision (OTS), which was dissolved by the Act. Implementation of the Act required the transfer of certain supervisory authorities and personnel associated with consumer compliance activities to the Consumer Financial Protection Bureau (CFPB) and the integration of OTS functions and personnel into the OCC. To transfer the OTS Fund balance with Treasury to the OCC in accordance with the Act, this temporary OCC Public Enterprise Fund was established. The Public Enterprise Fund reflects spending related to the shutting down of OTS in 2012; the Budget projects that the Public Enterprise Fund will disburse all remaining funds and cease to exist in 2013.

Object Classification (in millions of dollars)

Identification code 20-4264-0-3-373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
94.0 Financial transfers .....	142		
99.0 Reimbursable obligations .....	142		

Trust Funds

ASSESSMENT FUNDS

Program and Financing (in millions of dollars)

Identification code 20-8413-0-8-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0881 Bank Supervision .....	935	1,023	1,081
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,021	1,087	1,087
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,003	1,023	1,081
1801 Change in uncollected payments, Federal sources .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	1,001	1,023	1,081
1930 Total budgetary resources available .....	2,022	2,110	2,168
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,087	1,087	1,087

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	167	285	473
3010 Obligations incurred, unexpired accounts .....	935	1,023	1,081
3020 Outlays (gross) .....	-817	-835	-1,203
3050 Unpaid obligations, end of year .....	285	473	351
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	160	280	468

3200	Obligated balance, end of year .....	280	468	346
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1,001	1,023	1,081
Outlays, gross:				
4100	Outlays from new mandatory authority .....	751	767	1,000
4101	Outlays from mandatory balances .....	66	68	203
4110	Outlays, gross (total) .....	817	835	1,203
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-13	-19	-19
4121	Interest on Federal securities .....	-15	-17	-19
4123	Non-Federal sources .....	-975	-987	-1,043
4130	Offsets against gross budget authority and outlays (total) ...	-1,003	-1,023	-1,081
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	2		
4170	Outlays, net (mandatory) .....	-186	-188	122
4190	Outlays, net (total) .....	-186	-188	122
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	1,171	1,359	1,300
5001	Total investments, EOY: Federal securities: Par value .....	1,359	1,300	1,300

The Office of the Comptroller of the Currency (OCC) was created by Congress to charter national banks, oversee a nationwide system of banking institutions, and ensure national banks are safe and sound, competitive and profitable, and capable of serving in the best possible manner the banking needs of their customers. The National Currency Act of 1863 (12 U.S.C. 1 et seq., 12 Stat. 665), rewritten and reenacted as the National Bank Act of 1864, provided for the chartering and supervising functions of the OCC. The income of OCC is derived principally from assessments paid by national banks and interest on investments in U.S. Government securities. OCC receives no appropriated funds from Congress.

Pursuant to Title III of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), on July 21, 2011, the OCC assumed responsibility for the supervision and regulation of federal savings associations (thrifts). Implementation of the Act required the transfer of certain supervisory responsibilities and personnel associated with consumer compliance activities to the Consumer Financial Protection Bureau (CFPB) and the integration of functions and personnel from the Office of Thrift Supervision (OTS) into the OCC.

The OCC supervises approximately 1,340 national bank charters and 47 Federal branches of foreign banks and 565 federal savings associations (including approximately 200 mutual institutions) in the United States with total assets of approximately \$10 trillion as of September 30, 2012.

**Object Classification** (in millions of dollars)

Identification code 20-8413-0-8-373	2012 actual	2013 CR	2014 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	331	490	542
11.3	Other than full-time permanent .....	12	12	13
11.5	Other personnel compensation .....	3	3	3
11.9	Total personnel compensation .....	346	505	558
12.1	Civilian personnel benefits .....	153	168	168
21.0	Travel and transportation of persons .....	65	61	61
22.0	Transportation of things .....	4	3	3
23.1	Rental payments to GSA .....	3	4	4
23.2	Rental payments to others .....	50	77	77
23.3	Communications, utilities, and miscellaneous charges .....	12	17	17
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	170	145	150
26.0	Supplies and materials .....	9	7	7
31.0	Equipment .....	33	23	23
32.0	Land and structures .....	89	12	12
99.9	Total new obligations .....	935	1,023	1,081

**Employment Summary**

Identification code 20-8413-0-8-373	2012 actual	2013 CR	2014 est.	
2001	Reimbursable civilian full-time equivalent employment .....	3,656	3,823	3,823

**INTEREST ON THE PUBLIC DEBT**

*Federal Funds*

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

**Program and Financing** (in millions of dollars)

Identification code 20-0550-0-1-901	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Interest on Treasury Securities .....	359,241	420,611	418,122
0900	Total new obligations (object class 43.0) .....	359,241	420,611	418,122
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	359,241	420,611	418,122
1260	Appropriations, mandatory (total) .....	359,241	420,611	418,122
1930	Total budgetary resources available .....	359,241	420,611	418,122
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	359,241	420,611	418,122
3020	Outlays (gross) .....	-359,241	-420,611	-418,122
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	359,241	420,611	418,122
Outlays, gross:				
4100	Outlays from new mandatory authority .....	359,241	420,611	418,122
4180	Budget authority, net (total) .....	359,241	420,611	418,122
4190	Outlays, net (total) .....	359,241	420,611	418,122

Such amounts are appropriated as may be necessary to pay the interest each year on the public debt (31 U.S.C. 1305, 3123). Interest on Government account series securities is generally computed on a cash basis. Interest is generally computed on an accrual basis for all other types of securities.

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 20-0550-7-1-901	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....			-123
0900	Total new obligations .....			-123
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....			-123
1260	Appropriations, mandatory (total) .....			-123
1930	Total budgetary resources available .....			-123
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			-123
3020	Outlays (gross) .....			123
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			-123
Outlays, gross:				
4100	Outlays from new mandatory authority .....			-123
4180	Budget authority, net (total) .....			-123

INTEREST ON TREASURY DEBT SECURITIES (GROSS)—Continued  
Program and Financing—Continued

Identification code 20-0550-7-1-901	2012 actual	2013 CR	2014 est.
4190 Outlays, net (total)			-123

INTEREST ON TREASURY DEBT SECURITIES (GROSS)  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-0550-2-1-901	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity			-40
0900 Total new obligations			-40
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-40
1260 Appropriations, mandatory (total)			-40
1930 Total budgetary resources available			-40

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-40
3020 Outlays (gross)			40

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-40
Outlays, gross:			
4100 Outlays from new mandatory authority			-40
4180 Budget authority, net (total)			-40
4190 Outlays, net (total)			-40

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Governmental receipts:			
10-086400 Filing Fees, P.L. 109-171, Title X: Enacted/requested	68	68	68
20-015800 Transportation Fuels Tax: Enacted/requested	-5,751	-3,044	-1,810
20-065000 Deposit of Earnings, Federal Reserve System: Enacted/requested	81,957	82,853	92,037
20-085000 Registration, Filing, and Transaction Fees: Enacted/requested	5		
20-086900 Fees for Legal and Judicial Services, not Otherwise Classified: Enacted/requested	65	65	65
20-089100 Miscellaneous Fees for Regulatory and Judicial Services, not Otherwise Classified: Enacted/requested	12	12	12
20-101000 Fines, Penalties, and Forfeitures, Agricultural Laws: Enacted/requested	4	4	4
20-103000 Fines, Penalties, and Forfeitures, Immigration and Labor Laws: Enacted/requested	145	145	145
20-104000 Fines, Penalties, and Forfeitures, Customs, Commerce, and Antitrust Laws: Enacted/requested	140	153	153
20-105000 Fines, Penalties, and Forfeitures, Narcotic Prohibition and Alcohol Laws: Enacted/requested	11	11	11
20-106000 Forfeitures of Unclaimed Money and Property: Enacted/requested	25	25	25
20-108000 Fines, Penalties, and Forfeitures, Federal Coal Mine Health and Safety Laws: Enacted/requested	134	134	134
20-109600 Penalties on Employers Who Do not Offer Health Coverage or Delay Eligibility for New Employees: Enacted/requested			14,571
20-109700 Penalties on Individuals Who Do not Have Health Coverage: Enacted/requested			646
20-241100 User Fees for IRS: Enacted/requested	46	20	20
20-309400 Recovery from Airport and Airway Trust Fund for Refunds of Taxes: Enacted/requested	22	21	22
20-309500 Recovery from Leaking Underground Storage Tank Trust Fund for Refunds of Taxes, EPA: Enacted/requested	6	6	6
20-309990 Refunds of Moneys Erroneously Received and Recovered (20X1807): Enacted/requested	-47	-51	-33

95-085015 Registration, Filing, and Transaction Fees, SEC: Enacted/requested	325	495	495
95-109900 Fines, Penalties, and Forfeitures, not Otherwise Classified: Enacted/requested	1,323	1,323	1,323
Legislative proposal, subject to PAYGO			11
99-011050 Individual Income Taxes: Enacted/requested	1,132,169	1,234,053	1,358,115
Legislative proposal, not subject to PAYGO			458
Legislative proposal, subject to PAYGO			-91
99-011100 Corporation Income and Excess Profits Taxes: Enacted/requested	242,289	287,740	335,119
Legislative proposal, subject to PAYGO			-24
99-015250 Other Federal Fund Excise Taxes: Enacted/requested	-1,884	477	522
Legislative proposal, subject to PAYGO			-3
99-015300 Estate and Gift Taxes: Enacted/requested	13,973	12,932	12,967
Legislative proposal, subject to PAYGO			47
99-015500 Tobacco Excise Tax: Enacted/requested	16,351	15,928	15,525
Legislative proposal, subject to PAYGO			10,299
99-015600 Alcohol Excise Tax: Enacted/requested	9,765	9,713	9,920
99-015700 Telephone Excise Tax: Enacted/requested	757	645	547
99-015913 Fee on Health Insurance Providers: Enacted/requested			6,400
99-015914 Tax on Indoor Tanning Services: Enacted/requested	102	111	121
99-015915 Excise Tax on Medical Device Manufacturers: Enacted/requested		2,124	2,955
99-031050 Other Federal Fund Customs Duties: Enacted/requested	19,464	23,028	25,606
Legislative proposal, subject to PAYGO			-526
General Fund Governmental receipts	1,511,470	1,668,876	1,907,460

Offsetting receipts from the public:

20-129900 Gifts to the United States, not Otherwise Classified: Enacted/requested	3	3	3
20-143600 General Fund Proprietary Interest Receipts, not Otherwise Classified: Enacted/requested	3	3	3
20-145000 Interest Payments from States, Cash Management Improvement: Enacted/requested	1	3	5
20-146310 Interest on Quota in International Monetary Fund: Enacted/requested	35	35	35
20-146320 Interest on Loans to International Monetary Fund: Enacted/requested	13	13	13
20-149900 Interest Received from Credit Financing Accounts: Enacted/requested	35,243	48,218	53,015
20-168200 Gain by Exchange on Foreign Currency Denominated Public Debt Securities: Enacted/requested	22		
20-248500 GSE Fees Pursuant to P.L. 112-78 Sec. 401: Enacted/requested	35	786	1,178
20-261400 Proceeds from Sale of Securities from the AIG Credit Facility Trust: Enacted/requested	12,992	2,588	
20-276330 Community Development Financial Institutions Fund, Downward Re-estimate of Subsidies: Enacted/requested	4	1	
20-278430 Small Business Lending Fund Direct Loans, Downward Reestimates of Subsidies: Enacted/requested	376		
20-279010 GSE Mortgage-Backed Securities Direct Loans, Negative Subsidies: Enacted/requested	186		
20-279030 GSE Mortgage-Backed Securities Direct Loans, Downward Reestimates of Subsidies: Enacted/requested	7,598	760	
20-279210 Troubled Asset Relief Program, Negative Subsidies: Enacted/requested	87		
20-279230 Troubled Asset Relief Program, Downward Reestimates of Subsidies: Enacted/requested	5,976	12,995	
20-289400 Proceeds, GSE Equity Related Transactions: Enacted/requested	18,379	15,419	29,662
20-322000 All Other General Fund Proprietary Receipts: Enacted/requested	487	487	487
20-387500 Budget Clearing Account (suspense): Enacted/requested	135		
General Fund Offsetting receipts from the public	81,575	81,311	84,401

Intragovernmental payments:

14-142400 Interest on Investment, Colorado River Projects: Enacted/requested	3	4	4
14-142700 Interest on Advances to Colorado River Dam Fund, Boulder Canyon Project: Enacted/requested	11	7	6
20-113000 Unclaimed Assets Recovery Account: Legislative proposal, subject to PAYGO			3
20-133800 Interest on Loans to the Presidio: Enacted/requested	3	3	3
20-135000 Interest on Loans to the Secretary of Transportation, Ocean Freight Differential: Enacted/requested		1	1
20-135100 Interest on Loans to BPA: Enacted/requested	375	292	365
20-136300 Interest on Loans for College Housing and Academic Facilities Loans, Education: Enacted/requested	4	3	3
20-140100 Interest on Loans to Commodity Credit Corporation: Enacted/requested	3	8	15
20-141300 Interest on Loans to Temporary Corporate Credit Union Stabilization Fund, NCUA: Enacted/requested	5	8	10
20-141500 Interest on Loans to Federal Deposit Insurance Corporation: Enacted/requested		3	17
20-141800 Interest on Loans to Federal Financing Bank: Enacted/requested	1,671	1,244	1,817
20-143300 Interest on Loans to National Flood Insurance Fund, DHS: Enacted/requested	89	89	147

20-149500	Interest Payments on Repayable Advances to the Black Lung Disability Trust Fund: Enacted/requested .....	37	56	76
20-149700	Payment of Interest on Advances to the Railroad Retirement Board: Enacted/requested .....	130	110	122
20-150110	Interest on Loans or Advances to the Extended Unemployment Compensation Account: Enacted/requested .....	496	480	460
20-150120	Interest on Loans and Repayable Advances to the Federal Unemployment Account: Enacted/requested .....	750	350	210
20-241600	Charges for Administrative Expenses of Social Security Act As Amended: Enacted/requested .....	871	906	920
20-310100	Recoveries from Federal Agencies for Settlement of Claims for Contract Disputes: Enacted/requested .....	83		
20-311200	Reimbursement from Federal Agencies for Payments Made As a Result of Discriminatory Conduct: Enacted/requested .....	14	14	14
20-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts: Enacted/requested .....	-7		
73-142800	Interest on Advances to Small Business Administration: Enacted/requested .....	1	1	
General Fund Intragovernmental payments .....		4,539	3,579	4,193

subject to the limitation set forth in section 5304(g)(1) of title 5, United States Code, and related regulations. The rate of pay of any employee receiving retention pay pursuant to this provision shall be increased at the time of any increase in the maximum rate of basic pay payable for the grade of the employee's position by 50 percent of the dollar amount of each such increase, except that an employee's retained rate of basic pay shall not be so increased if both (a) the employee's retained rate of basic pay immediately prior to the time of such increase exceeds the limitation set forth in section 5304(g)(1) of title 5, United States Code, and related regulations, and (b) the employee's increased rate of pay would exceed the maximum rate of basic pay payable for the employee's position.

SEC. 115. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for the Department of the Treasury's intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of the Intelligence Authorization Act for Fiscal Year 2014.

SEC. 116. Not to exceed \$5,000 shall be made available from the Bureau of Engraving and Printing's Industrial Revolving Fund for necessary of-ficial reception and representation expenses.

SEC. 117. The Secretary of the Treasury shall submit a Capital Investment Plan to the Committees on Appropriations of the Senate and the House of Representatives not later than 30 days following the submission of the annual budget for the Administration submitted by the President: Provided, That such Capital Investment Plan shall include capital investment spending from all accounts within the Department of the Treasury, including but not limited to the Department-wide Systems and Capital Investment Programs account, the Working Capital Fund account, and the Treasury Forfeiture Fund account: Provided further, That such Capital Investment Plan shall include expenditures occurring in previous fiscal years for each capital investment project that has not been fully completed.

SEC. 118. Section 1324 of title 31, United States Code, is amended by adding at the end thereof the following new subsection: "(c) Amounts appropriated under subsection (a) of this section shall be administered, as appropriate, as if they were made available through separate appropriations to the Secretary of the Treasury, the Secretary of Homeland Security, and the Attorney General. Funds so appropriated shall be available to the Secretary of the Treasury for refunds by the Internal Revenue Service of taxes collected pursuant to the Internal Revenue Code and related interest; separately to the Secretary of the Treasury for refunds and drawbacks of alcohol, tobacco, firearms and ammunition taxes and refunds of other taxes which may arise and any interest on such refunds, including payment of claims for prior fiscal years; to the Secretary of Homeland Security for refunds and drawbacks of receipts collected pursuant to the customs revenue functions administered by the Department of Homeland Security pursuant to delegation by the Secretary of the Treasury and any interest on such refunds, including payment of claims for prior fiscal years; and to the Attorney General for refunds of firearms taxes and refunds of other taxes which may arise and any interest on such refunds, including payment of claims for prior fiscal years."

SEC. 119. Section 5318(a)(1) of title 31, United States Code (relating to compliance, exemptions, and summons authority), is amended by—(1) Inserting after "appropriate" the following: "federal or (in the case of financial institutions without a federal supervisor) state"; and (2) Inserting after "Service;" the following: "In lieu of delegating such authority to a state supervisory agency, the Secretary is also authorized to rely on examinations conducted by a state supervisory agency of a category of financial institution. The Secretary may only rely on such state examinations if the Secretary determines that under the laws of the state, the category of financial institution is required to comply with this subchapter and regulations prescribed under this subchapter, or the state supervisory agency is authorized to ensure that the category of financial institution complies with this subchapter and regulations prescribed under this subchapter."

SEC. 120. Public Law 91-508, as amended (12 U.S.C. 1958 et seq.) is amended in section 128, by (1) Striking "sections 1730d (1) and" and inserting in lieu thereof "section"; (2) Striking "bank supervisory agency, or other"; (3) Inserting after "appropriate" the following: "federal or (in the case of financial institutions without a federal supervisor) state"; and (4) Inserting after "agency." the following: "In lieu of delegating such responsibility to a state supervisory agency, the Secretary is also authorized to rely on examinations conducted by a state supervisory agency of a category

ADMINISTRATIVE PROVISIONS—DEPARTMENT OF THE TREASURY

(INCLUDING TRANSFERS OF FUNDS)

SEC. 108. Appropriations to the Department of the Treasury in this Act shall be available for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning; purchase of insurance for official motor vehicles operated in foreign countries; purchase of motor vehicles without regard to the general purchase price limitations for vehicles purchased and used overseas for the current fiscal year; entering into contracts with the Department of State for the furnishing of health and medical services to employees and their dependents serving in foreign countries; and services authorized by 5 U.S.C. 3109.

SEC. 109. Not to exceed 2 percent of any appropriations in this Act made available within the headings—Departmental Offices—Salaries and Expenses, Office of Inspector General, Special Inspector General for the Troubled Asset Relief Program, the Bureau of the Fiscal Service, Alcohol and Tobacco Tax and Trade Bureau, and Financial Crimes Enforcement Network, may be transferred between appropriations upon the advance notification of the Committees on Appropriations: Provided, That no transfer may increase or decrease any such appropriation by more than 2 percent.

SEC. 110. Not to exceed 2 percent of any appropriation made available in this Act to the Internal Revenue Service may be transferred to the Treasury Inspector General for Tax Administration's appropriation upon the advance notification of the Committees on Appropriations: Provided, That no transfer may increase or decrease any such appropriation by more than 2 percent.

SEC. 111. Of the funds available for the purchase of law enforcement vehicles, no funds may be obligated until the Secretary of the Treasury certifies that the purchase by the respective Treasury bureau is consistent with departmental vehicle management principles: Provided, That the Secretary may delegate this authority to the Assistant Secretary for Management.

SEC. 112. None of the funds appropriated in this Act or otherwise available to the Department of the Treasury or the Bureau of Engraving and Printing may be used to redesign the \$1 Federal Reserve note.

SEC. 113. The Secretary of the Treasury may transfer funds from the Bureau of the Fiscal Service, Salaries and Expenses to the Debt Collection Fund as necessary to cover the costs of debt collection: Provided, That such amounts shall be reimbursed to such salaries and expenses account from debt collections received in the Debt Collection Fund.

SEC. 114. Funds appropriated by this or any other Act under the heading "Alcohol and Tobacco Tax and Trade Bureau—Salaries and Expenses" shall be available for retention pay for any employee who would otherwise be subject to a reduction in pay upon the termination of the Bureau's Personnel Management Demonstration Project (as transferred to the Secretary of the Treasury by section 1115 of the Homeland Security Act of 2002, Public Law 107-296 (28 U.S.C. 599B)). Such retention pay shall comply with section 5363 of title 5, United States Code, and related Office of Personnel Management regulations, except as provided in this section. Such retention pay shall be paid at the employee's rate of pay immediately prior to the termination of the demonstration project and shall not be

of financial institution. The Secretary may only rely on such state examinations if the Secretary determines that under the laws of the state, the category of financial institution is required to comply with this chapter and section 1829b (and regulations prescribed under this chapter and section 1829b), or the state supervisory agency is authorized to ensure that the category of financial institution complies with this chapter and section 1829b (and regulations prescribed under this chapter and section 1829b)."

SEC. 121. Section 310(b)(2)(E) of title 31, United States Code (relating to the Financial Crimes Enforcement Network), is amended by inserting after "Federal" the first time that it appears, the following: "and foreign".

SEC. 122. Sections 2 and 3 of Public Law 111-302 are hereby repealed.

SEC. 123. Section 5112 of title 31, United States Code, is amended as follows: (1) Subsection (a)(2) is amended by striking "and weighs 11.34 grams"; (2) Subsection (a)(3) is amended by striking "and weighs 5.67 grams"; (3) Subsection (a)(4) is amended by striking "and weighs 2.268 grams"; (4) Subsection (a)(5) is amended by striking "and weighs 5 grams"; (5) Subsection (a)(6) is amended by (A) striking "except as provided under subsection (c) of this section," and (B) striking "and weighs 3.11 grams"; (6) Subsection (b) is amended by striking the first, second, third, fourth, sixth, seventh, and eighth sentences, and striking "metallic,"; and (7) Subsection (c) is amended to read as follows: "The Secretary shall prescribe the weight and the composition of the dollar, half-dollar, quarter-dollar, dime, 5-cent, and one-cent coins. In prescribing the weight and the composition of the dollar, half-dollar, quarter-dollar, dime, 5-cent and one-cent coins, the Secretary shall consider such factors that the Secretary considers, in the Secretary's sole discretion, to be appropriate." Section 5113(a) of title 31, United States Code, is amended by (1) striking the word "and" after "quarter dollar" and inserting after the word "dime" ", 5-cent, and one-cent"; and (2) striking the second and third sentences.

SEC. 124. Section 5112(r) of title 31, United States Code, is amended by inserting "for circulation" after both instances of "minted and issued".

SEC. 125. Of the funds made available by this Act to the Internal Revenue Service and Alcohol Tobacco Tax and Trade Bureau, not less than \$9,831,851,000 shall be specified to pay for the costs of tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 126. Section 114A of the Riegle Community Development and Regulatory Improvement Act of 1994 (12 U.S.C. 4713a) is amended as follows: (1) by amending subsection (h) to read as follows: (h) FEDERAL CREDIT REFORM ACT.—The provisions of this section satisfy the requirements of subsections (b) and (e) of section 504 of the Congressional Budget Act of 1974; (2) in subsection (k), by striking "2014" and inserting "2015".

## TITLE VI—GENERAL PROVISIONS—THIS ACT

SEC. 601. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 602. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 603. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive Order issued pursuant to existing law.

SEC. 604. None of the funds made available by this Act shall be available for any activity or for paying the salary of any Government employee where funding an activity or paying a salary to a Government employee would result in a decision, determination, rule, regulation, or policy that would prohibit the enforcement of section 307 of the Tariff Act of 1930 (19 U.S.C. 1307).

SEC. 605. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with chapter 83 of title 41, United States Code.

SEC. 606. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating chapter 83 of title 41, United States Code.

SEC. 607. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2014 from appropriations made available for salaries and expenses for fiscal year 2014 in this Act, shall remain available through September 30, 2015, for each such account for the purposes authorized: Provided, That notice thereof shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate prior to the expenditure of such funds.

SEC. 608. None of the funds made available in this Act may be used by the Executive Office of the President to request from the Federal Bureau of Investigation any official background investigation report on any individual, except when—

(1) such individual has given his or her express written consent for such request not more than 6 months prior to the date of such request and during the same presidential administration; or

(2) such request is required due to extraordinary circumstances involving national security.

SEC. 609. The cost accounting standards promulgated under chapter 15 of title 41, United States Code shall not apply with respect to a contract under the Federal Employees Health Benefits Program established under chapter 89 of title 5, United States Code.

SEC. 610. For the purpose of resolving litigation and implementing any settlement agreements regarding the nonforeign area cost-of-living allowance program, the Office of Personnel Management may accept and utilize (without regard to any restriction on unanticipated travel expenses imposed in an Appropriations Act) funds made available to the Office of Personnel Management pursuant to court approval.

SEC. 611. No funds appropriated by this Act shall be available to pay for an abortion, or the administrative expenses in connection with any health plan under the Federal Employees Health Benefits Program which provides any benefits or coverage for abortions.

SEC. 612. The provision of section 611 shall not apply where the life of the mother would be endangered if the fetus were carried to term, or the pregnancy is the result of an act of rape or incest.

SEC. 613. In order to promote Government access to commercial information technology, the restriction on purchasing nondomestic articles, materials, and supplies set forth in chapter 83 of title 41, United States Code (popularly known as the Buy American Act), shall not apply to the acquisition by the Federal Government of information technology (as defined in section 11101 of title 40, United States Code), that is a commercial item (as defined in section 103 of title 41, United States Code).

SEC. 614. Notwithstanding section 1353 of title 31, United States Code, no officer or employee of any regulatory agency or commission funded by this Act may accept on behalf of that agency, nor may such agency or commission accept, payment or reimbursement from a non-Federal entity for travel, subsistence, or related expenses for the purpose of enabling an officer or employee to attend and participate in any meeting or similar function relating to the official duties of the officer or employee when the entity offering payment or reimbursement is a person or entity subject to regulation by such agency or commission, or represents a person or entity subject to regulation by such agency or commission, unless the person or entity is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code.

SEC. 615. The Public Company Accounting Oversight Board shall have authority to obligate funds for the scholarship program established by section 109(c)(2) of the Sarbanes-Oxley Act of 2002 (Public Law 107-204) in an aggregate amount not exceeding the amount of funds collected by the Board as of December 31, 2013, including accrued interest, as a result of the assessment of monetary penalties. Funds available for obligation in fiscal year 2014 shall remain available until expended.

SEC. 616. Notwithstanding section 708 of this Act, funds made available to the Commodity Futures Trading Commission and the Securities and Exchange Commission by this or any other Act may be used for the inter-agency funding and sponsorship of a joint advisory committee to advise on emerging regulatory issues.

SEC. 617. (a)(1) Notwithstanding any other provision of law, an Executive agency covered by this Act otherwise authorized to enter into contracts for either leases or the construction or alteration of real property for office, meeting, storage, or other space must consult with the General Services Administration before issuing a solicitation for offers of new leases or

construction contracts, and in the case of succeeding leases, before entering into negotiations with the current lessor.

(2) Any such agency with authority to enter into an emergency lease may do so during any period declared by the President to require emergency leasing authority with respect to such agency.

(b) For purposes of this section, the term "Executive agency covered by this Act" means any Executive agency provided funds by this Act, but does not include the General Services Administration or the United States Postal Service.

SEC. 618. None of the funds made available in this Act may be used by the Federal Communications Commission to remove the conditions imposed on commercial terrestrial operations in the Order and Authorization adopted by the Commission on January 26, 2011 (DA 11–133), or otherwise permit such operations, until the Commission has resolved concerns of potential widespread harmful interference by such commercial terrestrial operations to commercially available Global Positioning System devices.

SEC. 619. The title of subsection (g) of section 302 of the Federal Election Commission Act of 1971 (2 U.S.C. 432) is amended to read as follows: "(g) Filing of designations, statements, and reports with the Commission". The text of such subsection (g) is amended to read as follows: "All designations, statements, and reports required to be filed under this Act shall be filed with the Commission."

SEC. 620. (a) Section 605 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1990 (Pub. L. No. 101–162, Section 605, as amended (15 U.S.C. sec. 18a note)) is amended—

(1) in subsection (b)—

(A) in the matter preceding paragraph (1), by striking "The filing fees" and inserting "Subject to subsection (c), the filing fees";

(B) in paragraph (1), by striking "\$45,000" and inserting "\$60,000";

(C) in paragraph (2)—

(i) by striking "\$125,000" and inserting "\$170,000"; and

(ii) by striking "and" at the end;

(D) in paragraph (3)—

(i) by striking "\$280,000" and inserting "\$375,000"; and

(ii) by striking the period at the end and inserting "but less than \$1,000,000,000 (as so adjusted and published); and"; and

(E) by adding at the end the following: "(4) \$500,000 if the aggregate total amount determined under section 7A(a)(2) of the Clayton Act (15 U.S.C. 18a(a)(2)) is not less than \$1,000,000,000 (as so adjusted and published)"; and

(2) by adding at the end the following: "(c) For fiscal year 2016, and each fiscal year thereafter, the Federal Trade Commission shall publish in the Federal Register and increase the amount of each filing fee under subsection (b) in the same manner and on the same dates as provided under section 8(a)(5) of the Clayton Act (15 U.S.C. 19(a)(5)) to reflect the percentage change in the gross national product for the fiscal year as compared to the gross national product for fiscal year 2013 except that the Federal Trade Commission—(1) shall round any increase in

a filing fee under this subsection to the nearest \$5,000; (2) shall not increase filing fees under this subsection if the increase in the gross national product is less than 1 percent; and (3) shall not decrease filing fees under this subsection."

(b) This Section shall take effect on October 1, 2014.

SEC. 621. (a) Subsection (e) of Section 1304 of Title 5, United States Code, is amended—

(1) in paragraph (1), by adding before the period at the end of the first sentence: ", and for the cost of audits, investigations, and oversight activities of the fund and the functions financed by the fund, conducted by the Office's Office of the Inspector General"; and

(2) in paragraph (5), by adding at the end the following: "Such budget shall include an estimate from the Office's Office of the Inspector General of the amount required to pay the reasonable expenses to adequately audit, investigate, and provide other oversight activities of the fund and the functions financed by the fund. This amount shall not exceed .33 percent of the total budgetary authority requested in the budget estimates submitted to Congress by the Office."

SEC. 622. (a) Section 1511 of title XV of division A of the American Recovery and Reinvestment Act of 2009 (Public Law 111–5) ("Act") is amended by striking, "and linked to the website established by section 1526"

(b)(1) Subsection (c) and subsections (e) through (h) of section 1512 of the Act are repealed.

(2) Subsection (d) of section 1512 of the Act is amended to read as follows: "(d) AGENCY REPORTS. Starting October 1, 2013, each agency that made recovery funds available to any recipient shall make available to the public detailed spending data as prescribed by the Office of Management and Budget and pursuant to the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109–282)."

(c) Subsection (a) of section 1514 of the Act is amended by striking "and linked to the website established by section 1526".

(d) Subparagraph (A) of section 1523(b)(4) of the Act is amended by striking "the website established by section 1526" and inserting "a public website".

(e) Sections 1526 and 1554 of the Act are repealed.

(f) Section 1530 of the Act is amended by striking "2013" and inserting "2015".

SEC. 623. Section 408 of the Transportation, Treasury, and Independent Agencies Appropriations Act, 2004 (Public Law 108–199, 118 Stat. 334) is repealed. Any remaining unobligated funds that were made available for the purposes of such section shall remain available within the Federal Buildings Fund for any allowable purposes of the Fund, and shall continue to be subject to such escalation, reprogramming, or transfer authorities available to the Administrator of General Services within the Fund.

SEC. 624. Section 1105(a) of Title 31, United States Code, is amended by striking paragraph (35) and renumbering the following paragraphs accordingly.



# DEPARTMENT OF VETERANS AFFAIRS

## VETERANS HEALTH ADMINISTRATION

### Federal Funds

#### MEDICAL SERVICES

*For necessary expenses for furnishing, as authorized by law, inpatient and outpatient care and treatment to beneficiaries of the Department of Veterans Affairs and veterans described in section 1705(a) of title 38, United States Code, including care and treatment in facilities not under the jurisdiction of the Department, and including medical supplies and equipment, bioengineering services, food services, and salaries and expenses of health care employees hired under title 38, United States Code, aid to State homes as authorized by section 1741 of title 38, United States Code, assistance and support services for caregivers as authorized by section 1720G of title 38, United States Code, and loan repayments authorized by section 604 of the Caregivers and Veterans Omnibus Health Services Act of 2010 (Public Law 111-163; 124 Stat. 1174; 38 U.S.C. 7681 note); \$157,500,000, which shall be in addition to funds previously appropriated under this heading that became available on October 1, 2013; and, in addition, \$45,015,527,000, plus reimbursements, shall become available on October 1, 2014, and shall remain available until September 30, 2015: Provided, That, of the amount made available on October 1, 2014, under this heading, \$1,400,000,000 shall remain available until September 30, 2016: Provided further, That notwithstanding any other provision of law, the Secretary of Veterans Affairs shall establish a priority for the provision of medical treatment for veterans who have service-connected disabilities, lower income, or have special needs: Provided further, That notwithstanding any other provision of law, the Secretary of Veterans Affairs shall give priority funding for the provision of basic medical benefits to veterans in enrollment priority groups 1 through 6: Provided further, That notwithstanding any other provision of law, the Secretary of Veterans Affairs may authorize the dispensing of prescription drugs from Veterans Health Administration facilities to enrolled veterans with privately written prescriptions based on requirements established by the Secretary: Provided further, That the implementation of the program described in the previous proviso shall incur no additional cost to the Department of Veterans Affairs.*

Note.—This account receives advance appropriations; a full-year 2013 appropriation for this account was enacted in the Consolidated Appropriations Act, 2012 (P.L. 112-74). The amounts included for 2013 also reflect the annualized level provided by the Continuing Appropriations Resolution, 2013 (P.L. 112-175) as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013.

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 36-0160-0-1-703	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	233	217	217
Receipts:			
0220 Pharmaceutical Co-payments, MCCF .....	707	724	799
0221 Medical Care Collections Fund, Third Party Prescription Claims .....	102	100	100
0222 Enhanced-use Lease Proceeds, MCCF .....	10	2	2
0223 First Party Collections, MCCF .....	184	188	189
0224 Third Party Collections, MCCF .....	1,746	1,760	1,778
0225 Third Party Collections, MCCF .....			129
0226 Parking Fees, MCCF .....	4	4	4
0227 Compensated Work Therapy, MCCF .....	59	57	57
0228 MCCF, Long-term Care Copayments .....	3	4	4
0240 Payments from Compensation and Pension, MCCF .....	2	2	2
Adjustments:			
0290 Adjustment - rounding .....	-3		
0299 Total receipts and collections .....	2,814	2,841	3,064
0400 Total: Balances and collections .....	3,047	3,058	3,281
Appropriations:			
0500 Medical Care Collections Fund .....	-2,830	-2,841	-2,935
0501 Medical Care Collections Fund .....			-129
0599 Total appropriations .....	-2,830	-2,841	-3,064
0799 Balance, end of year .....	217	217	217

#### Program and Financing (in millions of dollars)

Identification code 36-0160-0-1-703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ambulatory care .....	19,646	20,164	20,340
0002 Inpatient care .....	7,672	8,031	8,459
0003 Rehabilitation Care .....	413	461	514
0004 Mental health care .....	4,202	4,788	5,431
0005 Long-term care .....	4,867	5,557	6,415
0006 Prosthetics care .....	1,768	1,973	2,180
0007 Dental care .....	474	513	559
0008 CHAMPVA and Other dependent programs .....	1,233	1,340	1,460
0009 Readjustment counseling .....	170	189	200
0091 Total operating expenses .....	40,445	43,016	45,558
0101 Ambulatory care .....	1,135	782	505
0102 Inpatient care .....	580	406	260
0103 Rehabilitation care .....	46	32	20
0104 Mental health care .....	341	239	153
0105 Long-term care .....	238	167	107
0106 Prosthetics care .....	23	16	10
0107 Dental care .....	41	29	19
0109 Readjustment counseling .....	7	5	3
0110 Provided by the continuing resolution (P.L. 112-175) .....		1,409	
0191 Total capital investment .....	2,411	3,085	1,077
0799 Total direct obligations .....	42,856	46,101	46,635
0801 Reimbursable program .....	176	287	200
0900 Total new obligations .....	43,032	46,388	46,835
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,016	491	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,400	1,430	1,558
1120 Appropriations transferred to other accts [36-0169] .....	-173		
1120 Appropriations transferred to other accts [36-0165] .....	-15	-15	-15
1121 Appropriations transferred from other accts [36-5287] .....	2,814	2,841	2,935
1121 Appropriations transferred from other accts [36-0152] .....	56		
1160 Appropriation, discretionary (total) .....	4,082	4,256	4,478
Advance appropriations, discretionary:			
1170 Advance appropriation .....	39,650	41,354	43,557
1173 Advance appropriations permanently reduced .....	-1,400		-1,400
1180 Advanced appropriation, discretionary (total) .....	38,250	41,354	42,157
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	171	287	200
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	175	287	200
1900 Budget authority (total) .....	42,507	45,897	46,835
1930 Total budgetary resources available .....	43,523	46,388	46,835
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	491		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,151	7,484	10,217
3010 Obligations incurred, unexpired accounts .....	43,032	46,388	46,835
3011 Obligations incurred, expired accounts .....	-316		
3020 Outlays (gross) .....	-40,383	-43,655	-45,922
3050 Unpaid obligations, end of year .....	7,484	10,217	11,130
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071 Change in uncollected pymts, Fed sources, expired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,139	7,477	10,210
3200 Obligated balance, end of year .....	7,477	10,210	11,123
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	42,507	45,897	46,835
Outlays, gross:			
4010 Outlays from new discretionary authority .....	35,398	38,690	40,394
4011 Outlays from discretionary balances .....	4,985	4,965	5,528

MEDICAL SERVICES—Continued  
Program and Financing—Continued

Identification code 36-0160-0-1-703	2012 actual	2013 CR	2014 est.
4020 Outlays, gross (total) .....	40,383	43,655	45,922
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Baseline Program (Medical services) .....	-36	-63	-63
4033 Non-Federal sources .....	-148	-224	-137
4040 Offsets against gross budget authority and outlays (total) ....	-184	-287	-200
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	13		
4060 Additional offsets against budget authority only (total) .....	9		
4070 Budget authority, net (discretionary) .....	42,332	45,610	46,635
4080 Outlays, net (discretionary) .....	40,199	43,368	45,722
4180 Budget authority, net (total) .....	42,332	45,610	46,635
4190 Outlays, net (total) .....	40,199	43,368	45,722

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	42,332	45,610	46,635
Outlays .....	40,199	43,368	45,722
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			129
Outlays .....			71
Total:			
Budget Authority .....	42,332	45,610	46,764
Outlays .....	40,199	43,368	45,793

For 2015, the Budget requests \$55.6 billion in advance appropriations for the three medical care appropriations: Medical Services, Medical Support and Compliance, and Medical Facilities. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans. Advance appropriations require a multi-year approach to budget planning whereby one year builds off the previous year. This funding enables timely and predictable funding for VA's medical care to prevent our Nation's veterans from being adversely affected by budget delays, and provides opportunities to more effectively use resources in a constrained fiscal environment. For example, estimated savings from management improvements to be achieved in 2013, 2014 and 2015 will reduce the requirements for the 2014 and 2015 appropriations.

For 2014, Medical Care appropriations are increased by \$157.5 million over the 2014 advance appropriations request of \$54.5 billion. Each year, VA updates its budget estimates to incorporate the most recent data on health care utilization rates, actual program experience, and other factors, such as economic trends in unemployment and inflation. As a result of these updates, the adjusted budget estimates more accurately reflect the projected medical demands of veterans enrolled in the VA health care system.

With the resources requested for 2014 and 2015, VA will provide the highest quality health care services for veterans. VA estimates it will treat 6.5 million patients in 2014 and 6.6 million patients in 2015. Operation Enduring Freedom, Operation Iraqi Freedom and Operation New Dawn (OEF/OIF/OND) veterans are expected to reach 674,754 in 2014 (10.4 percent of the total) and 742,146 in 2015 (11.3 percent of the total).

**Medical Services.**—For Medical Services, the Budget reflects the following appropriation funding: the 2013 enacted advance appropriation of \$41.4 billion, augmented by supplemental funding (as provided in P.L. 113–2) and the annualized level

provided by the continuing resolution (P.L. 112–175) (see Note below); the 2014 advance appropriation request of \$43.7 billion; and the 2015 advance appropriation request of \$45.0 billion. This appropriation provides for a comprehensive, integrated health care delivery system that addresses the needs of eligible veterans and beneficiaries in VA medical centers, outpatient clinic facilities, contract hospitals, State homes, and outpatient programs on a fee basis. Hospital and outpatient care is also provided by the private sector for certain dependents and survivors of veterans under the Civilian Health and Medical Programs for the Department of Veterans Affairs (CHAMPVA).

*Note.*—The funding level displayed for 2013 shows the enacted advance appropriation augmented by supplemental funding (as provided in P.L. 113–2) and the annualized level provided by the continuing resolution (P.L. 112–175). The annualized level reflects an additional \$1,409 million in funding. Most of this additional funding is an unintended result of the mechanism by which Congress rescinded a portion of the enacted 2012 advance appropriation and appropriated the same amount with two-year availability. The rescission does not recur as a term and condition under the continuing resolution, but the appropriation does. The additional funding of \$1,409 million is anticipated to be cancelled upon enactment of either a 2013 full-year continuing resolution or regular appropriation.

**Medical Care Collections Fund (MCCF).**—VA estimates collections of nearly \$3.1 billion in 2014 and nearly \$3.2 billion in 2015, representing five percent of available resources in both years. VA has the authority to collect inpatient and outpatient co-payments, medication co-payments, and nursing home co-payments; authority for certain income verification; authority to recover third-party insurance payments from veterans for nonservice-connected conditions; and authority to collect revenue from enhanced use leases. These collections also include those collected from the Compensated Work Therapy Program, Compensation and Living Expenses Program, and the Parking Program.

WORKLOAD

**Ambulatory care.**—Obligations for 2014 are estimated to be \$20,974 million for this health service category which includes funding for ambulatory care in VA hospital-based and community-based clinics. Contract fee care is provided to eligible beneficiaries when VA facilities are not geographically accessible, services are not available at a particular facility, or when care cannot be provided in a timely manner.

Estimated operating levels are:

	2012 actual	2013 est.	2014 est.
Outpatient Visits:			
Staff .....	74,309,650	77,271,844	79,949,871
Fee .....	12,874,997	13,366,815	13,939,209
Total .....	87,184,647	90,638,659	93,889,080

**Inpatient care.**—Obligations for 2014 are estimated to be \$8,719 million. VA delivers inpatient acute care in its hospitals and through inpatient contract care.

Estimated operating levels are:

	2012 actual	2013 est.	2014 est.
Patients Treated .....	630,952	639,502	644,801

**Rehabilitative care.**—Obligations for 2014 are estimated to be \$534 million for the provision of rehabilitative care, including Blind Rehabilitation and Spinal Cord Injury programs. These services include inpatient and outpatient blind and vision rehabilitation programs, adjustment to blindness counseling, patient and family education, and assistive technology. The mission of Spinal Cord Injury and Disorders (SCI/D) Services is to promote the health, independence, quality of life and productivity of individuals with spinal cord injury and disorders through efficient delivery of acute rehabilitation, psychological, social, vocational,

medical and surgical care, professional training, as well as patient and family education.

Estimated operating levels are:

	2012 actual	2013 est.	2014 est.
Patients treated .....	16,091	16,465	16,764

**Mental health care.**—Obligations for 2014 are estimated to be \$5,584 million for the inpatient, residential, and outpatient care of veterans with conditions related to mental illness, including alcohol and drug problems. Mental health services and operations ensure the availability of a range of services, from treatment of a variety of common mental health conditions in primary care to more intensive interventions in specialty mental health programs for more severe and persisting mental health conditions. Specialty services such as evidence-based psychotherapies, intensive outpatient programs, residential rehabilitation treatment, and inpatient care are available to meet the range of veterans' needs.

Estimated operating levels are:

	2012 actual	2013 est.	2014 est.
Average daily census .....	9,212	9,154	8,994
Outpatient Visits/Encounters .....	11,556,003	12,023,995	12,467,700

**Long-term care.**—Obligations for 2014 are estimated to be \$6,522 million for the care of veteran residents in long-term care programs. VA offers a spectrum of geriatric and extended care services to veterans enrolled in its health care system. The spectrum of long-term care services includes non-institutional and institutional services. All VA medical centers provide home- and community-based long-term care programs. The patient-focused approach supports veterans who wish to live safely at home in their own communities for as long as possible. In addition, veterans receive institutional long-term care through one of four venues: VA Community Living Centers (CLCs); Community Nursing Homes; State Veterans Nursing Homes; and State Veterans Home Domiciliaries.

Estimated operating levels are:

	2012 actual	2013 est.	2014 est.
Institutional (ADC) .....	40,847	41,580	42,466
Non-Institutional Visits/Procedures .....	11,458,105	13,222,722	14,205,014

**Prosthetics care.**—Obligations for 2014 are estimated to be \$2,190 million for veterans. Prosthetic and Sensory Aids Service is an integrated delivery system designed to provide medically prescribed prosthetic and sensory aids, medical devices, assistive aids, repairs and services to eligible disabled veterans to maximize the independence and enhance their quality of life. This includes, but is not limited to, artificial limbs, hearing aids, and home oxygen; items that improve accessibility such as ramps and vehicle modifications, wheelchairs and mobility aids; and devices surgically placed in the veteran, such as stents.

**Dental care.**—Obligations for 2014 are estimated to be \$578 million for the treatment of veterans who require dental care. Dental care services are provided to eligible veterans with a "medical condition negatively impacted by poor dentition." These patients may include poorly controlled diabetic patients, patients with head or neck cancer, organ transplant patients and others. Veterans with a 100 percent service-connected disability are eligible for comprehensive dental care as needed. In addition, homeless veterans enrolled in certain residential treatment programs are also eligible for dental treatment.

Estimated operating levels are:

	2012 actual	2013 est.	2014 est.
Dental Procedures .....	4,089,217	4,267,492	4,436,598

**Civilian Health and Medical Program of the Department of Veterans Affairs (CHAMPVA) and Other Dependent Programs.**—Obligations for 2014 are estimated to be \$1,460 million for the care of veterans and beneficiaries of these programs. The

Veterans Health Care Expansion Act of 1973, Public Law 93–82, authorized VA to provide a health benefits program that shares the cost of medical supplies and services with eligible beneficiaries. The Veterans' Survivor Benefits Improvements Act of 2001, Public Law 107–14, extended CHAMPVA benefits, as a secondary payer to Medicare, to CHAMPVA beneficiaries over age 6. CHAMPVA programs also include Foreign Medical Program (FMP), Spina Bifida Health Care Program, and Children of Women Vietnam Veterans Health Care Program (CWVV). The Veterans Caregivers and Veterans Omnibus Health Services Act of 2010, Public Law 111–163, further expanded CHAMPVA to include primary family caregivers of certain seriously injured Veterans. Eligible primary family caregivers are authorized to receive health care benefits through the existing CHAMPVA Program when the primary family caregiver has no other health care coverage (including Medicare and Medicaid).

Estimated operating levels are:

	2012 actual	2013 est.	2014 est.
Outpatient Workloads .....	12,691,000	13,706,000	14,802,000

**Readjustment Counseling.**—Obligations for 2014 are estimated to be \$203 million. This program provides readjustment counseling services at VA Vet Centers. Vet Centers are community-based counseling centers that provide a wide range of social and psychological services to include: professional readjustment counseling to veterans who have served in a combat zone, military sexual trauma counseling, bereavement counseling for families who experience an active duty death, substance abuse assessments and referral, medical referral, VBA benefits explanation and referral, and employment counseling. Services are also extended to the family members of eligible veterans for issues related to military service and the readjustment of those veterans.

Estimated operating levels are:

	2012 actual	2013 est.	2014 est.
Visits .....	1,505,000	1,540,000	1,574,000

**PERFORMANCE MEASURES**

**Quality and timeliness of care.**—VA's budget request focuses on the Department's priority of providing timely, accessible, and high-quality health care. To achieve this priority, VA has several key measures that provide detail into quality of care. VA measures its impact on population health using the Clinical Practice Guidelines IV and the Prevention Index V to ensure health system actions improve the health of the veteran community. Clinical Practice Guidelines Index IV assesses the progress and results associated with the management of common chronic diseases that impact the health trajectories of Veterans. The Clinical Practice Guidelines Index IV is expected to reach 93% in 2014, with a strategic target of 94%. Prevention Index V measures VA's efforts in preventing illness through measures such as immunization and screening. VA expects the Prevention Index V to reach 94% in 2014, with a strategic target of 95%.

	2012 actual	2013 est.	2014 est.
Prevention Index V .....	94%	93%	94%
Clinical Practice Guidelines Index IV .....	94%	92%	93%

**Access to medical care.**—VA examines wait times for completed appointments with the ultimate goal of delivering high quality service at the time wanted and needed by each veteran. In 2014, VA will measure wait times for primary care, specialty care, and mental health appointments for new and established patients. In 2013, VHA updated the methodologies to measure wait times for new and established patient appointments to improve reliability and consistency. Therefore, no targets are set in 2013 and 2014 so that baseline performance can be established.

MEDICAL SERVICES—Continued

	2012 actual	2013 est.	2014 est.
Appointment performance measures are provided below:			
Percent of new primary care appointments completed within 14 days of the create date for the appointment <sup>1</sup> .....	N/Av	TBD	TBD
Percent of established primary care patients with a scheduled appointment within 14 days of the desired date for the appointment <sup>2</sup> .....	N/Av	TBD	TBD
Percent of new specialty care appointments completed within 14 days of the create date of the appointment <sup>1</sup> .....	N/Av	TBD	TBD
Percent of established specialty care patients with a scheduled appointment within 14 days of the desired date for the appointment <sup>2</sup> .....	N/Av	TBD	TBD
Percent of new mental health appointments completed within 14 days of the create date for the appointment <sup>1</sup> .....	N/Av	TBD	TBD
Percent of established mental health patients with a scheduled appointment within 14 days of the desired date for the appointment <sup>2</sup> .....	N/Av	TBD	TBD

<sup>1</sup> In 2013, VHA updated the methodology to measure wait times for new patient appointments to improve reliability and consistency. Appointments for new patients will use the create date, defined as when the appointment was made and automatically captured by the scheduling system. Therefore, no targets are set in 2013 and 2014 so baseline performance can be established.

<sup>2</sup> In 2013, VHA updated the methodology to measure wait times for established patient appointments to improve reliability and consistency. Appointments for established patients will use the desired date, defined as the agreed upon date determined together by provider and patient. Desired date is measured prospectively to better represent patient satisfaction. Therefore, no targets are set in 2013 and 2014 so baseline performance can be established.

Object Classification (in millions of dollars)

Identification code 36-0160-0-1-703	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	13,831	14,381	14,992
11.3 Other than full-time permanent .....	287	292	311
11.5 Other personnel compensation .....	1,595	1,663	1,729
Total personnel compensation .....	15,713	16,336	17,032
12.1 Civilian personnel benefits .....	4,676	4,922	5,310
13.0 Benefits for former personnel .....	14	14	14
21.0 Employee travel .....	53	53	53
21.0 Beneficiary travel .....	860	903	937
21.0 Interagency motor pool payments .....	19	19	19
21.0 All other .....	6	6	6
22.0 Transportation of things .....	11	12	14
23.2 Rental payments to others .....	3		
23.3 Communications, utilities, and miscellaneous charges .....	316	352	394
24.0 Printing and reproduction .....	18	18	18
25.2 Other contractual services .....	4,435	4,823	5,025
25.6 Outpatient dental fees .....	98	102	107
25.6 Medical and nursing fees .....	1,604	1,738	1,884
25.6 Community nursing homes .....	617	662	725
25.6 Contract hospitalization .....	1,587	1,753	1,937
25.6 Civilian Health and Medical Program of the Department of Veterans Affairs (CHAMPVA) .....	929	1,019	1,113
26.0 Medical supplies and materials .....	8,325	8,806	9,368
31.0 Equipment .....	2,404	1,676	1,077
32.0 Land and structures .....	6		
41.0 Medical grants, subsidies, and contributions .....	852	975	1,066
41.0 Medical grants to private organizations .....	310	503	536
92.0 Undistributed - provided by the continuing resolution (P.L.112-175) .....		1,409	
99.0 Direct obligations .....	42,856	46,101	46,635
99.0 Reimbursable obligations .....	176	287	200
99.9 Total new obligations .....	43,032	46,388	46,835

Employment Summary

Identification code 36-0160-0-1-703	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	185,531	192,880	199,793

2001 Reimbursable civilian full-time equivalent employment .....	1,782	1,872	1,872
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MEDICAL SERVICES

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 36-0160-2-1-703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ambulatory care .....			129
0900 Total new obligations (object class 25.2) .....			129
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other accts [36-5287] ....			129
1160 Appropriation, discretionary (total) .....			129
1930 Total budgetary resources available .....			129
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			129
3020 Outlays (gross) .....			-71
3050 Unpaid obligations, end of year .....			58
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			58
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			129
Outlays, gross:			
4010 Outlays from new discretionary authority .....			71
4180 Budget authority, net (total) .....			129
4190 Outlays, net (total) .....			71

VA is proposing to increase the Department's ability to bill private insurers for care provided to treat nonservice-connected conditions. The proposals would allow VA to be considered as a participating provider for purposes of reimbursement and would allow for disclosure of health care information for billing purposes to be consistent with the use of this information for treatment purposes (subject to all applicable privacy laws and regulations).

MEDICAL SUPPORT AND COMPLIANCE

*For necessary expenses in the administration of the medical, hospital, nursing home, domiciliary, construction, supply, and research activities, as authorized by law; administrative expenses in support of capital policy activities; and administrative and legal expenses of the Department for collecting and recovering amounts owed the Department as authorized under chapter 17 of title 38, United States Code, and the Federal Medical Care Recovery Act (42 U.S.C. 2651 et seq.); \$5,879,700,000, plus reimbursements, shall become available on October 1, 2014, and shall remain available until September 30, 2015: Provided, That, of the amount available under this heading, \$100,000,000 shall remain available until September 30, 2016.*

Note.—This account receives advance appropriations; a full-year 2013 appropriation for this account was enacted in the Consolidated Appropriations Act, 2012 (P.L. 112-74).

Program and Financing (in millions of dollars)

Identification code 36-0152-0-1-703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ambulatory care .....	2,584	3,029	3,197
0002 Inpatient care .....	1,037	1,037	1,037
0003 Rehabilitation care .....	75	75	75
0004 Mental health care .....	707	707	707
0005 Long-term care .....	580	580	580
0006 Prosthetics care .....	155	155	155
0007 Dental care .....	84	84	84
0008 CHAMPVA and other dependent programs .....	77	96	108

0091	Total operating expenses .....	5,299	5,763	5,943
0101	Ambulatory care .....	44	42	43
0102	Inpatient care .....	17	17	17
0103	Rehabilitation care .....	1	1	1
0104	Mental health care .....	13	13	13
0105	Long-term care .....	11	11	11
0106	Prosthetics care .....	4	4	4
0107	Dental care .....	1	1	1
0110	Provided by the continuing resolution (P.L. 112-175) .....		101	
0191	Total capital investment .....	91	190	90
0293	Total direct program .....	5,390	5,953	6,033
0799	Total direct obligations .....	5,390	5,953	6,033
0801	Reimbursable program activity .....	37	78	40
0900	Total new obligations .....	5,427	6,031	6,073

**Budgetary Resources:**

1000	Unobligated balance:			
	Unobligated balance brought forward, Oct 1 .....	103	106	
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	100	101	100
1120	Appropriations transferred to other accts [36-0169] .....	-24		
1120	Appropriations transferred to other accts [36-0160] .....	-56		
1120	Appropriations transferred to other accts [36-0165] .....	-50		
1160	Appropriation, discretionary (total) .....	-30	101	100
	Advance appropriations, discretionary:			
1170	Advance appropriation .....	5,535	5,746	6,033
1173	Advance appropriations permanently reduced .....	-100		-100
1180	Advanced appropriation, discretionary (total) .....	5,435	5,746	5,933
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	35	78	40
1701	Change in uncollected payments, Federal sources .....	1		
1750	Spending auth from offsetting collections, disc (total) .....	36	78	40
1900	Budget authority (total) .....	5,441	5,925	6,073
1930	Total budgetary resources available .....	5,544	6,031	6,073
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-11		
1941	Unexpired unobligated balance, end of year .....	106		

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	897	897	1,222
3010	Obligations incurred, unexpired accounts .....	5,427	6,031	6,073
3011	Obligations incurred, expired accounts .....	-101		
3020	Outlays (gross) .....	-5,326	-5,706	-5,955
3050	Unpaid obligations, end of year .....	897	1,222	1,340
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	3		
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	894	896	1,221
3200	Obligated balance, end of year .....	896	1,221	1,339

**Budget authority and outlays, net:**

	Discretionary:			
4000	Budget authority, gross .....	5,441	5,925	6,073
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	4,642	5,192	5,384
4011	Outlays from discretionary balances .....	684	514	571
4020	Outlays, gross (total) .....	5,326	5,706	5,955
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-34	-78	-40
4033	Non-Federal sources .....	-4		
4040	Offsets against gross budget authority and outlays (total) .....	-38	-78	-40
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052	Offsetting collections credited to expired accounts .....	3		
4060	Additional offsets against budget authority only (total) .....	2		
4070	Budget authority, net (discretionary) .....	5,405	5,847	6,033
4080	Outlays, net (discretionary) .....	5,288	5,628	5,915
4180	Budget authority, net (total) .....	5,405	5,847	6,033
4190	Outlays, net (total) .....	5,288	5,628	5,915

For 2015, the Budget requests \$5.9 billion in advance appropriations for Medical Support and Compliance. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

For Medical Support and Compliance, the Budget reflects the following appropriation funding: the 2013 enacted advance appropriation of \$5.7 billion, augmented by the annualized level provided by the continuing resolution (P.L. 112-175) (see *Note* below); the 2014 appropriation request of \$6.0 billion; and the 2015 advance appropriation request of \$5.9 billion. The Medical Support and Compliance appropriation finances the expenses of management, security, and administration of the VA health care system through the operation of VA medical centers, other facilities, Veterans Integrated Service Network offices and facility director offices, chief of staff operations, quality of care oversight, legal services, billing and coding activities, procurement, financial management, and human resource management.

*Note.*—The funding level displayed for 2013 shows the enacted advance appropriation augmented by the annualized level provided by the continuing resolution (P.L. 112-175). The annualized level reflects an additional \$101 million in funding. Most of this additional funding is an unintended result of the mechanism by which Congress rescinded a portion of the enacted 2012 advance appropriation and appropriated the same amount with two-year availability. The rescission does not recur as a term and condition under the continuing resolution, but the appropriation does. The additional funding of \$101 million is anticipated to be cancelled upon enactment of either a 2013 full-year continuing resolution or regular appropriation.

**Object Classification** (in millions of dollars)

Identification code 36-0152-0-1-703	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	2,669	2,743	2,856
11.3	Other than full-time permanent .....	55	54	59
11.5	Other personnel compensation .....	308	318	330
11.9	Total personnel compensation .....	3,032	3,115	3,245
12.1	Civilian personnel benefits .....	938	981	1,033
13.0	Benefits for former personnel .....	5	5	5
21.0	Employee travel .....	63	63	63
21.0	All other .....	5	5	5
22.0	Transportation of things .....	11	12	12
23.3	Communications, utilities, and miscellaneous charges .....	118	125	135
24.0	Printing and reproduction .....	14	15	15
25.2	Other contractual services .....	1,010	1,351	1,328
25.6	Medical and nursing fees .....	5		
26.0	Medical supplies and materials .....	97	90	102
26.0	Provisions .....	2		
31.0	Equipment .....	89	90	90
32.0	Land and structures .....	1		
92.0	Undistributed - provided by the continuing resolution (P.L. 112-175) .....		101	
99.0	Direct obligations .....	5,390	5,953	6,033
99.0	Reimbursable obligations .....	37	78	40
99.9	Total new obligations .....	5,427	6,031	6,073

**Employment Summary**

Identification code 36-0152-0-1-703	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	46,190	47,735	49,060
2001	Reimbursable civilian full-time equivalent employment .....	831	869	869

DOD-VA HEALTH CARE SHARING INCENTIVE FUND

Program and Financing (in millions of dollars)

Identification code 36-0165-0-1-703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 DOD-VA health care sharing incentive fund .....	58	94	94
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	189	263	199
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	191	263	199
Budget authority:			
Appropriations, discretionary:			
1121 Transferred from VA account [36-0160] .....	15	15	15
1121 Transferred from DOD account [97-0130] .....	65	15	15
1121 Transferred from VA account [36-0152] .....	50		
1160 Appropriation, discretionary (total) .....	130	30	30
1930 Total budgetary resources available .....	321	293	229
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	263	199	135
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	37	1
3010 Obligations incurred, unexpired accounts .....	58	94	94
3020 Outlays (gross) .....	-61	-130	-80
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	37	1	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	42	37	1
3200 Obligated balance, end of year .....	37	1	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	130	30	30
Outlays, gross:			
4011 Outlays from discretionary balances .....	61	130	80
4180 Budget authority, net (total) .....	130	30	30
4190 Outlays, net (total) .....	61	130	80

The purpose of the Department of Defense-Veterans Affairs Health Care Sharing Incentive Fund, often referred to as the Joint Incentive Fund (JIF), is to enable the Departments to carry out a program to identify and provide incentives to implement creative sharing initiatives at the facility, intra-regional and nationwide levels. The JIF promotes collaboration and new approaches to problem solving to enable the Departments to improve the coordination of health care services. The Departments have established the fund and developed processes and criteria to solicit and select projects. Section 721 of the 2003 National Defense Authorization Act, Public Law 107-314, established the fund and requires VA and Department of Defense to establish a joint incentive program. In 2014, each Secretary shall contribute a minimum of \$15 million to the fund after the appropriation is enacted.

Object Classification (in millions of dollars)

Identification code 36-0165-0-1-703	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	10	15	15
12.1 Civilian personnel benefits .....		3	3
25.1 Advisory and assistance services .....	43	58	58
26.0 Supplies and materials .....	1	6	6
31.0 Equipment .....	2	9	9
32.0 Land and structures .....	2	3	3
99.9 Total new obligations .....	58	94	94

Employment Summary

Identification code 36-0165-0-1-703	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	151	225	250

MEDICAL FACILITIES

For necessary expenses for the maintenance and operation of hospitals, nursing homes, domiciliary facilities, and other necessary facilities of the Veterans Health Administration; for administrative expenses in support of planning, design, project management, real property acquisition and disposition, construction, and renovation of any facility under the jurisdiction or for the use of the Department; for oversight, engineering, and architectural activities not charged to project costs; for repairing, altering, improving, or providing facilities in the several hospitals and homes under the jurisdiction of the Department, not otherwise provided for, either by contract or by the hire of temporary employees and purchase of materials; for leases of facilities; and for laundry services, \$4,739,000,000, plus reimbursements, shall become available on October 1, 2014, and shall remain available until September 30, 2015: Provided, That, of the amount made available under this heading, \$250,000,000 shall remain available until September 30, 2016.

Note.—This account receives advance appropriations; a full-year 2013 appropriation for this account was enacted in the Consolidated Appropriations Act, 2012 (P.L. 112-74). The amounts included for 2013 also reflect the annualized level provided by the Continuing Appropriations Resolution, 2013 (P.L. 112-175) as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013.

Program and Financing (in millions of dollars)

Identification code 36-0162-0-1-703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Ambulatory care .....	1,701	1,942	1,900
0002 Inpatient care .....	712	712	712
0003 Rehabilitation care .....	52	52	52
0004 Mental health care .....	499	499	499
0005 Long-term care .....	390	390	390
0006 Prosthetics care .....	123	123	123
0007 Dental care .....	59	59	59
0008 CHAMPVA and other dependent programs .....	5	6	6
0009 Readjustment counseling .....	25	25	25
0091 Total operating expenses .....	3,566	3,808	3,766
0101 Ambulatory care .....	850	781	514
0102 Inpatient care .....	402	370	244
0103 Rehabilitation care .....	27	25	17
0104 Mental health care .....	282	259	171
0105 Long-term care .....	220	202	133
0106 Prosthetics care .....	10	9	6
0107 Dental care .....	32	29	19
0109 Readjustment counseling .....	3	3	2
0110 Provided by the continuing resolution (P.L. 112-175) .....		252	
0191 Total capital investment .....	1,826	1,930	1,106
0799 Total direct obligations .....	5,392	5,738	4,872
0801 Reimbursable program .....	17	43	25
0900 Total new obligations .....	5,409	5,781	4,897
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	43	39	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	250	258	250
1120 Appropriations transferred to other accts [36-0169] .....	-37		
1160 Appropriation, discretionary (total) .....	213	258	250
Advance appropriations, discretionary:			
1170 Advance appropriation .....	5,426	5,441	4,872
1173 Advance appropriations permanently reduced .....	-250		-250
1180 Advanced appropriation, discretionary (total) .....	5,176	5,441	4,622
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	18	43	25
1750 Spending auth from offsetting collections, disc (total) .....	18	43	25
1900 Budget authority (total) .....	5,407	5,742	4,897
1930 Total budgetary resources available .....	5,450	5,781	4,897

Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-2	
1941	Unexpired unobligated balance, end of year .....	39	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	3,318	3,247 3,702
3010	Obligations incurred, unexpired accounts .....	5,409	5,781 4,897
3011	Obligations incurred, expired accounts .....	-20	
3020	Outlays (gross) .....	-5,460	-5,326 -4,899
3050	Unpaid obligations, end of year .....	3,247	3,702 3,700
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3,318	3,247 3,702
3200	Obligated balance, end of year .....	3,247	3,702 3,700
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	5,407	5,742 4,897
Outlays, gross:			
4010	Outlays from new discretionary authority .....	3,358	4,165 3,666
4011	Outlays from discretionary balances .....	2,102	1,161 1,233
4020	Outlays, gross (total) .....	5,460	5,326 4,899
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-6	-16 -16
4033	Non-Federal sources .....	-12	-27 -9
4040	Offsets against gross budget authority and outlays (total) ....	-18	-43 -25
4070	Budget authority, net (discretionary) .....	5,389	5,699 4,872
4080	Outlays, net (discretionary) .....	5,442	5,283 4,874
4180	Budget authority, net (total) .....	5,389	5,699 4,872
4190	Outlays, net (total) .....	5,442	5,283 4,874

For 2015, the Budget requests advance appropriations of \$4.7 billion for Medical Facilities. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

For Medical Facilities, the Budget reflects the following appropriation funding: the 2013 enacted advance appropriation of \$5.4 billion, augmented by supplemental funding (as provided in P.L. 113-2) and the annualized level provided by the continuing resolution (P.L. 112-175) (see *Note* below); the 2014 appropriation request of \$4.9 billion; and the 2015 advance appropriation request of \$4.7 billion. Medical Facilities provides for the operations and maintenance of the capital infrastructure required to provide health care to the Nation's veterans. These costs include utilities, engineering, capital planning, leases, laundry services, grounds maintenance, trash removal, housekeeping, fire protection, pest management, facility repair, and property disposition and acquisition.

*Note.*—The funding level displayed for 2013 shows the enacted advance appropriation augmented by supplemental funding (as provided in P.L. 113-2) and the annualized level provided by the continuing resolution (P.L. 112-175). The annualized level reflects an additional \$252 million in funding. Most of this additional funding is an unintended result of the mechanism by which Congress rescinded a portion of the enacted 2012 advance appropriation and appropriated the same amount with two-year availability. The rescission does not recur as a term and condition under the continuing resolution, but the appropriation does. The additional funding of \$252 million is anticipated to be cancelled upon enactment of either a 2013 full-year continuing resolution or regular appropriation.

**Object Classification** (in millions of dollars)

Identification code 36-0162-0-1-703	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	1,052	1,081 931
11.3	Other than full-time permanent .....	22	21 22

11.5	Other personnel compensation .....	122	126 132
11.9	Total personnel compensation .....	1,196	1,228 1,085
12.1	Civilian personnel benefits .....	390	408 389
13.0	Benefits for former personnel .....	2	2 2
21.0	Employee travel .....	6	6 8
21.0	All other .....	27	27 26
22.0	Transportation of things .....	15	16 17
23.1	Rental payments to GSA .....	26	27 26
23.2	Rental payments to others .....	414	516 509
23.3	Communications, utilities, and miscellaneous charges .....	527	536 573
25.2	Other contractual services .....	648	717 888
26.0	Medical supplies and materials .....	315	325 372
31.0	Equipment .....	127	135 175
32.0	Medical land and structures .....	1,699	1,543 802
92.0	Undistributed - provided by the continuing resolution (P.L. 112-175) .....		252
99.0	Direct obligations .....	5,392	5,738 4,872
99.0	Reimbursable obligations .....	17	43 25
99.9	Total new obligations .....	5,409	5,781 4,897

**Employment Summary**

Identification code 36-0162-0-1-703	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	22,843	23,678 23,224
2001	Reimbursable civilian full-time equivalent employment .....	478	490 490

**MEDICAL AND PROSTHETIC RESEARCH**

*For necessary expenses in carrying out programs of medical and prosthetic research and development as authorized by chapter 73 of title 38, United States Code, \$585,664,000, plus reimbursements, shall remain available until September 30, 2015.*

*Note.*—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 36-0161-0-1-703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Bio-medical laboratory science research .....	270	286 271
0002	Rehabilitation research .....	85	101 95
0003	Health services research .....	80	96 90
0004	Clinical science research .....	96	127 116
0091	Total operating expenses .....	531	610 572
0101	Bio-medical laboratory science research .....	12	15 12
0102	Rehabilitation research .....	3	3 3
0103	Health services research .....	1	1 1
0104	Clinical science research .....	3	3 3
0191	Total capital investment .....	19	22 19
0799	Total direct obligations .....	550	632 591
0801	Reimbursable program .....	30	35 35
0900	Total new obligations .....	580	667 626
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	72	103 56
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	581	585 586
1160	Appropriation, discretionary (total) .....	581	585 586
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	31	35 35
1750	Spending auth from offsetting collections, disc (total) .....	31	35 35
1900	Budget authority (total) .....	612	620 621
1930	Total budgetary resources available .....	684	723 677
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-1	
1941	Unexpired unobligated balance, end of year .....	103	56 51

MEDICAL AND PROSTHETIC RESEARCH—Continued  
Program and Financing—Continued

Identification code 36-0161-0-1-703	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	253	211	268
3010 Obligations incurred, unexpired accounts .....	580	667	626
3011 Obligations incurred, expired accounts .....	-7		
3020 Outlays (gross) .....	-615	-610	-611
3050 Unpaid obligations, end of year .....	211	268	283
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	253	211	268
3200 Obligated balance, end of year .....	211	268	283
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	612	620	621
Outlays, gross:			
4010 Outlays from new discretionary authority .....	363	441	442
4011 Outlays from discretionary balances .....	252	169	169
4020 Outlays, gross (total) .....	615	610	611
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-19	-35	-35
4033 Non-Federal sources .....	-12		
4040 Offsets against gross budget authority and outlays (total) ...	-31	-35	-35
4070 Budget authority, net (discretionary) .....	581	585	586
4080 Outlays, net (discretionary) .....	584	575	576
4180 Budget authority, net (total) .....	581	585	586
4190 Outlays, net (total) .....	584	575	576

For 2014, the total budgetary resources of \$1.9 billion is comprised of \$586 million in direct appropriations, \$586 million in medical care support, and \$710 million in Federal and private sector grants. The research program will support 3,491 full time equivalents through direct appropriation.

This account is an intramural program that has had outstanding success performing research that has led to critical clinical achievements that improve the health and quality of life for veterans and the Nation. VA is at the forefront of producing new transformational approaches and technologies for preventing, diagnosing, and treating disease. VA research transforms medicine by engaging veterans as research volunteers and utilizing the results of that research in clinical care. Through technology, advancements, innovations, and information, research helps transform VA's health care into a leading example of medicine in the 21st Century.

High-priority research programs in 2014 will emphasize the critical needs of our newest veterans, specifically those from Operation Enduring Freedom, Operation Iraqi Freedom, and Operation New Dawn (OEF)/(OIF)/(OND), while continuing to address the special and unique health care needs of all veterans. In reflecting VA's commitment to maintain a research program supporting the world-class medical care our veterans deserve, VA research will increase its emphasis on critical areas that will impact VA for years to come: pain, traumatic brain injury, military occupational exposures, post-traumatic stress disorder (PTSD), suicide, patient centered care, complementary/alternative medicine, health care efficiency, women veterans, and homelessness.

Homelessness is a top priority for VA, and research will focus on interventions, risk factors and health care usage patterns in an effort to eliminate homelessness. Access to care is closely related to homelessness, and one of the critical missions of VA research is to identify system-wide gaps in veterans' health care. VA research has demonstrated an explicit focus on access to care as a component of validating the quality of care in all VA health care services, organizational structures, and mechanisms for

delivering care. Current studies address new telemedicine and telehealth initiatives, community based outpatient clinics (CBOCs), collaborative care models, access for OEF/OIF/OND veterans, and access to specialized care such as VA rehabilitation services.

Emphasis will also be placed on personalized medicine where using information on a patients' genetic make-up can lead to a more tailored, precise, and effective level of care. The Million Veteran Program (MVP), with the goal of collecting one million genetic samples, will continue to be a significant undertaking in 2014 as VA investigates whether genetic influences in disease and/or responses to medications can be used to further advance personalized care with the ultimate goal to develop an evidence base for treatments that are optimized to each veteran's genetic makeup. Protocols are under development to use information from MVP to clarify biological pathways associated with PTSD; other efforts are targeting schizophrenia, bipolar disorder, and amyotrophic lateral sclerosis (Lou Gehrig's disease).

The reach and scope of VA research is further expanded by collaborations with other Federal agencies, academic medical centers, nonprofit organizations, and commercial entities nationwide. Through VA's academic affiliations, as well as collaborations with other Federal agencies, VA research is fully integrated with the larger biomedical research community.

Veterans' health issues are addressed comprehensively in the following four program divisions and the medical care research support required for these programs:

**Biomedical laboratory.**—Supports preclinical research to understand life processes from a molecular, genomic, and physiological level in regard to diseases affecting veterans.

**Clinical science.**—Administers investigations (i.e., human subject research such as drug, surgical, single subject, pilot, and multi-center cooperative studies, as well as feasibility trials) aimed at instituting new, more effective clinical care.

**Health services.**—Supports studies to identify and promote effective and efficient strategies to improve the delivery of health care to veterans.

**Rehabilitation.**—Develops novel approaches to restoring veterans with traumatic amputation, central nervous system injuries, loss of sight and/or hearing, or other physical and cognitive impairments to full and productive lives.

VA's Medical and Prosthetic Research programs are included in the Federal Research and Development (R&D) budget.

SUMMARY OF PROGRAM RESOURCES

	[in millions of dollars]		
	2012 actual	2013 est.	2014 est.
Medical and prosthetic research appropriation .....	581	585	586
Federal resources (includes VA Medical Care support funding) .....	1,096	1,100	1,101
Other non-federal resources .....	195	195	195
Total program resources .....	1,872	1,880	1,882

Object Classification (in millions of dollars)

Identification code 36-0161-0-1-703	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	171	187	186
11.3 Other than full-time permanent .....	11	15	15
11.5 Other personnel compensation .....	33	40	40
11.9 Total personnel compensation .....	215	242	241
12.1 Civilian personnel benefits .....	80	85	86
21.0 Employee travel .....	6	6	5
23.1 Rental payments to GSA .....	2	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	3	3
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	189	206	181
26.0 Supplies and materials .....	40	36	34

31.0	Equipment .....	16	50	37
99.0	Direct obligations .....	550	632	591
99.0	Reimbursable obligations .....	30	35	35
99.9	Total new obligations .....	580	667	626

**Employment Summary**

Identification code 36-0161-0-1-703	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,015	3,045	3,010
2001 Reimbursable civilian full-time equivalent employment .....	481	481	481

**JOINT DEPARTMENT OF DEFENSE-DEPARTMENT OF VETERANS AFFAIRS MEDICAL FACILITY DEMONSTRATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 36-0169-0-1-703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	370		
0801 Reimbursable program activity .....	9		
0900 Total new obligations .....	379		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	8	8
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other accts [36-0162] ...	37		
1121 Appropriations transferred from other accts [36-0152] ...	24		
1121 Appropriations transferred from other accts [36-0160] ...	173		
1121 Appropriations transferred from other accts [36-0167] ...	7		
1121 Appropriations transferred from other accts [97-0130] ...	119		
1121 Appropriations transferred from other accts [36-5287] ...	16		
1160 Appropriation, discretionary (total) .....	376		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	9		
1900 Budget authority (total) .....	385		
1930 Total budgetary resources available .....	387	8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	8	8

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	37	65	30
3010 Obligations incurred, unexpired accounts .....	379		
3020 Outlays (gross) .....	-351	-35	-4
3050 Unpaid obligations, end of year .....	65	30	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37	65	30
3200 Obligated balance, end of year .....	65	30	26

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	385		
Outlays, gross:			
4010 Outlays from new discretionary authority .....	317		
4011 Outlays from discretionary balances .....	34	35	4
4020 Outlays, gross (total) .....	351	35	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7		
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ...	-9		
4070 Budget authority, net (discretionary) .....	376		
4080 Outlays, net (discretionary) .....	342	35	4
4180 Budget authority, net (total) .....	376		
4190 Outlays, net (total) .....	342	35	4

The Department of Veterans Affairs (VA) and the Department of Defense (DOD) intend to contribute 2013 and 2014 funding to the Joint Department of Defense-Department of Veterans Affairs

Medical Facility Demonstration Fund, established by section 1704 of Public Law 111-84, the National Defense Authorization Act for Fiscal Year 2010. This funding will support the continuing operations of the Captain James A. Lovell Federal Health Care Center (FHCC), which opened on December 20, 2010. Specifically, VA and DOD anticipate transferring a total of \$383 million (\$247.4 million from VA and \$135.6 million from DOD) to the Joint Fund for 2013, as authorized by the Continuing Appropriations Resolution, 2013 (P.L. 112-175). In 2013, VA expects to transfer funds from the Medical Services, Medical Support and Compliance, Medical Facilities, and Information Technology Systems accounts, while DOD expects to transfer funds from the Defense Health Program account. VA and DOD also expect to transfer 2014 funds from these accounts to support FHCC operations. VA and DOD anticipate supporting over 2,000 full time equivalent employees in both 2013 and 2014.

**Object Classification** (in millions of dollars)

Identification code 36-0169-0-1-703	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	160		
12.1 Civilian personnel benefits .....	45		
25.1 Advisory and assistance services .....	53		
26.0 Supplies and materials .....	71		
31.0 Equipment .....	13		
32.0 Land and structures .....	28		
99.0 Direct obligations .....	370		
99.0 Reimbursable obligations .....	9		
99.9 Total new obligations .....	379		

**Employment Summary**

Identification code 36-0169-0-1-703	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,957		

**MEDICAL CARE COLLECTIONS FUND**

**Program and Financing** (in millions of dollars)

Identification code 36-5287-0-2-703	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	2,830	2,841	2,935
1120 Appropriations transferred to other accts [36-0160] .....	-2,814	-2,841	-2,935
1120 Appropriations transferred to other accts [36-0169] .....	-16		

VA has the authority to collect co-payments which are deposited into the Medical Care Collections Fund (MCCF) receipt account. As allowed by the provisions of the appropriations Act, these receipts are transferred to the Medical Services appropriation and the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund (Joint Demonstration Fund) where they remain available until expended for the purposes of this account. In 2012, \$2.8 billion was collected in the MCCF receipt account and transferred to the Medical Services appropriation and Joint Demonstration Fund to provide health care to our veterans. These collections consist of co-payments from veterans for inpatient, outpatient, and nursing home care, and prescribed medications; third-party insurance payments from veterans for nonservice-connected conditions; and collections from enhanced-use leases, the Compensated Work Therapy Program,

**MEDICAL CARE COLLECTIONS FUND—Continued**  
**Compensation and Living Expensed Program, and the Parking Program.**

**MEDICAL CARE COLLECTIONS FUND**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 36-5287-2-2-703	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101			129
1120			-129

VA is proposing to increase the Department's ability to bill private insurers for care provided to treat nonservice-connected conditions. The proposals would allow VA to be considered as a participating provider for purposes of reimbursement and would allow for disclosure of health care information for billing purposes to be consistent with the use of this information for treatment purposes (subject to all applicable privacy laws and regulations).

**CANTEEN SERVICE REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 36-4014-0-3-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	219	245	273
0802	114	167	171
0810	18	21	1
0900	351	433	445
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	2	16	21
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	365	435	447
1801		3	3
1850	365	438	450
1930	367	454	471
Memorandum (non-add) entries:			
1941	16	21	26
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	4	11	6
3010	351	433	445
3020	-344	-438	-450
3050	11	6	1
Uncollected payments:			
3060	-3	-3	-6
3070		-3	-3
3090	-3	-6	-9
Memorandum (non-add) entries:			
3100	1	8	
3200	8		-8
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	365	438	450
Outlays, gross:			
4100	338	433	445
4101	6	5	5
4110	344	438	450
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120		-1	-1
4123	-365	-434	-446

4130	Offsets against gross budget authority and outlays (total) ....	-365	-435	-447
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....		-3	-3
4170	Outlays, net (mandatory) .....	-21	3	3
4190	Outlays, net (total) .....	-21	3	3

The Veterans Canteen Service was established to furnish, at reasonable prices, meals, merchandise, and services necessary for the comfort and well-being of veterans in VA medical facilities.  
**Financing.**— Operations will be financed from current revenues.

**Object Classification** (in millions of dollars)

Identification code 36-4014-0-3-705	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1	136	139	143
11.3	1	1	1
11.9	137	140	144
12.1	3	4	5
21.0	4	5	4
25.2	6	6	6
26.0	200	257	285
31.0	1	21	1
99.9	351	433	445

**Employment Summary**

Identification code 36-4014-0-3-705	2012 actual	2013 CR	2014 est.
2001	3,294	3,500	3,550

**MEDICAL CENTER RESEARCH ORGANIZATIONS**

**Program and Financing** (in millions of dollars)

Identification code 36-4026-0-3-703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	244	285	298
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000		6	6
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	250	285	298
1850	250	285	298
1930	250	291	304
Memorandum (non-add) entries:			
1941	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	6		
3010	244	285	298
3020	-250	-285	-298
Memorandum (non-add) entries:			
3100	6		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	250	285	298
Outlays, gross:			
4100	244	285	298
4101	6		
4110	250	285	298
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	-250	-285	-298

These nonprofit corporations provide a flexible funding mechanism for the conduct of approved research at Department of Veterans Affairs medical centers. These organizations will derive funds to operate various research activities from Federal and

non-Federal sources. No appropriation is required to support these activities.

**Object Classification** (in millions of dollars)

Identification code 36-4026-0-3-703	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
21.0 Travel and transportation of persons .....	7	9	9
25.2 Other services from non-Federal sources .....	211	245	256
26.0 Supplies and materials .....	18	22	24
31.0 Equipment .....	8	9	9
99.9 Total new obligations .....	244	285	298

**Trust Funds**

**GENERAL POST FUND, NATIONAL HOMES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 36-8180-0-7-705	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			3
Receipts:			
0220 General Post Fund, National Homes, Deposits .....	24	28	29
0240 General Post Fund, National Homes, Interest on Investments ...	2	2	2
0299 Total receipts and collections .....	26	30	31
0400 Total: Balances and collections .....	26	30	34
Appropriations:			
0500 General Post Fund, National Homes .....	-26	-27	-28
0799 Balance, end of year .....		3	6

**Program and Financing** (in millions of dollars)

Identification code 36-8180-0-7-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Religious, recreational, and entertainment activities .....	23	23	24
0003 Therapeutic residence maintenance .....		1	1
0900 Total new obligations .....	23	24	25
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	80	83	86
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	26	27	28
1260 Appropriations, mandatory (total) .....	26	27	28
1930 Total budgetary resources available .....	106	110	114
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	83	86	89
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	3	2
3010 Obligations incurred, unexpired accounts .....	23	24	25
3020 Outlays (gross) .....	-24	-25	-26
3050 Unpaid obligations, end of year .....	3	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	3	2
3200 Obligated balance, end of year .....	3	2	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	26	27	28
Outlays, gross:			
4100 Outlays from new mandatory authority .....		24	25
4101 Outlays from mandatory balances .....	24	1	1
4110 Outlays, gross (total) .....	24	25	26
4180 Budget authority, net (total) .....	26	27	28
4190 Outlays, net (total) .....	24	25	26
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	81	63	45
5001 Total investments, EOY: Federal securities: Par value .....	63	45	25

This fund consists of gifts, bequests, and proceeds from the sale of property left in the care of the facilities by former beneficiaries; patients' fund balances; and proceeds from the sale of effects of beneficiaries who die leaving no heirs or without having otherwise disposed of their estate. Such funds are used to promote the comfort and welfare of veterans at hospitals, nursing homes, and domiciliaries where no general appropriation is available. Public Law 102-54 authorizes compensation work therapy and therapeutic transitional housing and loan programs to be funded from the General Post Fund. (38 U.S.C. chs. 83 and 85.)

**Object Classification** (in millions of dollars)

Identification code 36-8180-0-7-705	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	9	9	9
26.0 Supplies and materials .....	12	13	13
31.0 Equipment .....	2	2	2
32.0 Land and structures .....			1
99.9 Total new obligations .....	23	24	25

**BENEFITS PROGRAMS**

**Federal Funds**

COMPENSATION AND PENSIONS

(INCLUDING TRANSFER OF FUNDS)

*For the payment of compensation benefits to or on behalf of veterans and a pilot program for disability examinations as authorized by section 107 and chapters 11, 13, 18, 51, 53, 55, and 61 of title 38, United States Code; pension benefits to or on behalf of veterans as authorized by chapters 15, 51, 53, 55, and 61 of title 38, United States Code; and burial benefits, the Reinstated Entitlement Program for Survivors, emergency and other of officers' retirement pay, adjusted-service credits and certificates, payment of premiums due on commercial life insurance policies guaranteed under the provisions of title IV of the Servicemembers Civil Relief Act (50 U.S.C. App. 541 et seq.) and for other benefits as authorized by sections 107, 1312, 1977, and 2106, and chapters 23, 51, 53, 55, and 61 of title 38, United States Code, \$71,248,171,000, to remain available until expended: Provided, That not to exceed \$9,232,000 of the amount appropriated under this heading shall be reimbursed to "General operating expenses, Veterans Benefits Administration", "Medical support and compliance", and "Information technology systems" for necessary expenses in implementing the provisions of chapters 51, 53, and 55 of title 38, United States Code, the funding source for which is specifically provided as the "Compensation and pensions" appropriation: Provided further, That such sums as may be earned on an actual qualifying patient basis, shall be reimbursed to "Medical care collections fund" to augment the funding of individual medical facilities for nursing home care provided to pensioners as authorized.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 36-0102-0-1-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Veterans .....	48,002	54,536	58,641
0102 Survivors .....	5,755	6,064	6,432
0191 Compensation sub-total .....	53,757	60,600	65,073
0200 Other compensation expenses .....	53,757	60,600	65,073
0201 Chapter 18 .....	21	22	22
0202 Clothing allowance .....	82	92	99
0203 Misc assistance (EAJ, SAFD) .....	11	12	12
0204 Medical exam pilot program .....	206	248	229
0205 OBRA payment to VBA and IT .....	2	2	2

COMPENSATION AND PENSIONS—Continued  
Program and Financing—Continued

Identification code 36-0102-0-1-701	2012 actual	2013 CR	2014 est.
0206 Reinstated entitlement program for survivors .....	4	6	4
0291 Total other compensation expenses .....	326	382	368
0293 Total compensation .....	54,083	60,982	65,441
0302 Veterans .....	3,478	3,671	3,849
0303 Survivors .....	1,414	1,557	1,725
0391 Pensions sub total .....	4,892	5,228	5,574
0401 Reimbursements to GOE, IT and VHA .....	25	10	10
0492 Total pensions .....	4,917	5,238	5,584
0502 Burial allowance .....	22	30	31
0503 Burial plots .....	9	17	18
0504 Service-connected deaths .....	41	49	52
0505 Burial flags .....	18	20	21
0506 Headstones and markers .....	62	72	77
0508 Graveliners .....	4	9	9
0509 Pre-Placed Crypts .....	11	9	14
0591 Total burial program .....	167	206	222
0900 Total new obligations (object class 42.0) .....	59,167	66,426	71,247

**Budgetary Resources:**

1000 Unobligated balance brought forward, Oct 1 .....	12,930	5,001	.....
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	51,238	60,600	71,247
1221 Appropriations transferred from other accts [36-0137] .....	.....	825	.....
1260 Appropriations, mandatory (total) .....	51,238	61,425	71,247
1930 Total budgetary resources available .....	64,168	66,426	71,247
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5,001	.....	.....

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	278	4,691	4,921
3010 Obligations incurred, unexpired accounts .....	59,167	66,426	71,247
3020 Outlays (gross) .....	-54,754	-66,196	-71,003
3050 Unpaid obligations, end of year .....	4,691	4,921	5,165
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	278	4,691	4,921
3200 Obligated balance, end of year .....	4,691	4,921	5,165

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	51,238	61,425	71,247
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	41,545	56,503	66,083
4101 Outlays from mandatory balances .....	13,209	9,693	4,920
4110 Outlays, gross (total) .....	54,754	66,196	71,003
4180 Budget authority, net (total) .....	51,238	61,425	71,247
4190 Outlays, net (total) .....	54,754	66,196	71,003

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	51,238	61,425	71,247
Outlays .....	54,754	66,196	71,003
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	-39
Outlays .....	.....	.....	-39
<b>Total:</b>			
Budget Authority .....	51,238	61,425	71,208
Outlays .....	54,754	66,196	70,964

WORKLOAD

	2012 actual	2013 est	2014 est.
<b>Compensation:</b>			
Rating-Related Actions .....	895,000	1,131,469	1,323,461
Non Rating Actions .....	332,438	389,349	427,024
<b>Pension:</b>			
Rating-Related Actions .....	100,841	105,548	110,403
Non Rating Actions .....	489,887	487,041	490,464

This appropriation provides for the payment of compensation, pension, and burial benefits to veterans and survivors.

Compensation is paid to veterans for disabilities incurred in or aggravated during active military service. Dependency and Indemnity Compensation is paid to survivors of servicepersons or veterans whose death occurred while on active duty or as a result of service-connected disabilities. Compensation and vocational rehabilitation is provided to the children of Vietnam veterans who were born with certain birth defects. The Secretary may pay a clothing allowance to each veteran who uses a prescribed medication for a service-connected skin condition or wears a prosthetic or orthopedic appliance (including a wheelchair) which, in the judgment of the Secretary, tends to damage or tear the clothing of such veteran.

Miscellaneous benefits provided for are:

(a) payments for claims made pursuant to the provision of the World War Adjusted Compensation Act of 1924, as amended;

(b) a special allowance (38 U.S.C. 1312) to dependents of certain Veterans who died after December 31, 1956, but who were not fully and currently insured under the Social Security Act; and

(c) payments authorized by the Equal Access to Justice Act.

The appropriation also provides for a program to allow VA to perform income matches for certain compensation recipients.

In accordance with Public Law 97-377, the Reinstated Entitlement Program for Survivors (REPS) program restores Social Security benefits to certain surviving spouses or children of veterans who died of service-connected causes.

Legislation is proposed to provide a cost-of-living adjustment comparable to the annual social security increase to recipients of disability compensation, dependency and indemnity compensation, and clothing allowances. The increase, effective with payments made on January 1, 2014, is expected to be 2.2 percent.

AVERAGE NUMBER OF COMPENSATION CASES AND PAYMENTS

	2012 actual	2013 est	2014 est.
<b>Veterans:</b>			
Cases .....	3,440,255	3,648,314	3,846,018
Average payment per case, per year .....	\$13,953	\$14,949	\$15,247
Total obligations (in millions) .....	\$48,002	\$54,537	\$58,641
<b>Survivors:</b>			
Cases .....	348,499	355,226	364,564
Average payment per case, per year .....	\$16,515	\$17,070	\$17,643
Total obligations (in millions) .....	\$5,755	\$6,064	\$6,432
<b>Chapter 18:</b>			
Children .....	1,184	1,195	1,206
Average payment per case, per year .....	\$17,736	\$18,037	\$18,434
Total obligations (in millions) .....	\$21	\$22	\$22
<b>Clothing allowance:</b>			
Number of veterans .....	110,414	117,092	123,437
Average payment per case, per year .....	\$741	\$784	\$800
Total obligations (in millions) .....	\$82	\$92	\$99
<b>Other compensation caseload:</b>			
Other compensation caseload: .....	50	50	50
Equal Access to Justice payments .....	2,140	2,140	2,140
<b>REPS:</b>			
Cases .....	214	183	181
Average benefit .....	\$20,125	\$32,679	\$23,085
Obligations (in millions) .....	\$4	\$6	\$4

Pension benefits may be paid to veterans or their survivors. A veteran's entitlement is based on active duty service of a specific length (normally 90 days or more) during a designated war period, disabilities considered permanent and total, and countable

income below established levels. There is no disability requirement for survivor cases or veterans age 65 or older. Income support is provided at established benefit levels.

An automatic annual cost-of-living increase comparable to the annual social security increase is provided for those pensioners in the improved program and to parents receiving dependency and indemnity compensation. The increase, effective with payments made on January 1, 2014, is expected to be 2.2 percent.

**AVERAGE NUMBER OF PENSION CASES AND PAYMENTS**

	2012 actual	2013 est.	2014 est.
<b>Veterans:</b>			
Cases .....	314,072	313,794	314,706
Average payment per case, per year .....	\$11,077	\$11,700	\$12,232
<b>Total obligations (in millions) .....</b>	<b>\$3,479</b>	<b>\$3,671</b>	<b>\$3,849</b>
<b>Survivors:</b>			
Cases .....	203,996	202,448	202,226
Average payment per case, per year .....	\$6,930	\$7,689	\$8,532
<b>Total obligations (in millions) .....</b>	<b>\$1,414</b>	<b>\$1,557</b>	<b>\$1,725</b>

Burial benefits provide for: (a) the payment of an allowance of \$724 (plus transportation charges where death occurs under VA care) to reimburse, in part, the burial and funeral expense of an eligible deceased veteran; (b) the payment of \$724 for a plot allowance where an eligible veteran is not buried in a national cemetery or other cemetery under the jurisdiction of the United States; (c) the payment of a burial allowance up to \$2,000 when a veteran dies as a result of a service-connected disability; (d) furnishing a flag to drape the casket of each deceased veteran entitled thereto; (e) furnishing a headstone or marker for the grave of a veteran and, in certain cases, eligible dependents; and (f) authority to provide outer burial receptacles in the National Cemetery Administration.

**NUMBER OF BURIAL BENEFITS**

	2012 actual	2013 est.	2014 est.
Burial allowance .....	43,994	47,294	49,221
Burial plot .....	22,826	24,538	25,538
Service-connected deaths .....	22,295	24,639	26,091
Burial flags .....	477,942	500,002	500,002
Headstones and markers .....	352,365	349,171	344,826
Graveliners .....	26,732	27,814	27,428
Preplaced crypts .....	16,791	24,000	36,080

**COMPENSATION AND PENSIONS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 36-0102-4-1-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Extend round-down of COLA .....			-42
0506 Expand burial benefits .....			3
0900 Total new obligations (object class 42.0) .....			-39
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....			-39
1260 Appropriations, mandatory (total) .....			-39
1930 Total budgetary resources available .....			-39
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-39
3020 Outlays (gross) .....			39
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-39
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-39

4180 Budget authority, net (total) .....	-39
4190 Outlays, net (total) .....	-39

Legislation will be proposed to extend the rounding-down of the Compensation and Dependency and Indemnification Compensation (DIC) cost of living adjustment, expand eligibility for veterans medallion for headstones, allow for Government-furnished headstones, expand authority to provide headstones and markers at tribal veterans cemeteries, provide burial receptacles for certain new casketed gravesites, and cover burial expenses for remains of unclaimed veterans.

**READJUSTMENT BENEFITS**

*For the payment of readjustment and rehabilitation benefits to or on behalf of veterans as authorized by chapters 21, 30, 31, 33, 34, 35, 36, 39, 41, 51, 53, 55, and 61 of title 38, United States Code, and for the payment of benefits under the Veterans Retraining Assistance Program, \$13,135,898,000, to remain available until expended: Provided, That expenses for rehabilitation program services and assistance which the Secretary is authorized to provide under subsection (a) of section 3104 of title 38, United States Code, other than under paragraphs (1), (2), (5), and (11) of that subsection, shall be charged to this account.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 36-0137-0-1-702	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Sons and daughters .....	393	421	454
0102 Spouses .....	62	66	70
0191 Total education and training .....	455	487	524
0201 Vocational rehabilitation training .....	489	535	574
0202 Subsistence allowance .....	302	386	439
0203 Automobiles and adaptive equipment .....	110	117	123
0204 Housing grants .....	59	128	78
0205 Housing Technology Grants .....		1	1
0291 Total special assistance to disabled veterans .....	960	1,167	1,215
0301 Work study .....	35	39	48
0302 Payments to States .....	19	19	19
0303 All-volunteer assistance: Basic benefits and all other .....	9,277	10,337	11,384
0304 Veterans Retraining Assistance Program .....	6	1,101	498
0305 Tuition Assistance .....	10	8	7
0306 Licensing and Certification .....	2	2	2
0307 Reporting fees .....	11	13	13
0308 Reimbursement to GOE .....		5	1
0391 Total All-volunteer assistance and other .....	9,360	11,524	11,972
0799 Total direct obligations .....	10,775	13,178	13,711
0801 Veterans and servicepersons basic benefits .....	1	2	1
0802 Veterans and servicepersons supplementary benefits .....	130	105	106
0803 Chapter 1606 reservists benefits .....	97	100	97
0804 Chapter 1606 reservists supplementary benefits .....	60	61	60
0805 Chapter 1607 reservists benefits .....	77	54	40
0899 Total reimbursable obligations .....	365	322	304
0900 Total new obligations .....	11,140	13,500	14,015
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,221	2,554	575
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....	12,108	12,024	13,136
1220 Appropriations transferred to other accts [36-0102] .....		-825	
1260 Appropriations, mandatory (total) .....	12,108	11,199	13,136
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	365	322	304
1850 Spending auth from offsetting collections, mand (total) .....	365	322	304
1900 Budget authority (total) .....	12,473	11,521	13,440
1930 Total budgetary resources available .....	13,694	14,075	14,015

READJUSTMENT BENEFITS—Continued  
Program and Financing—Continued

Identification code 36-0137-0-1-702	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2,554	575	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	101	546	585
3010 Obligations incurred, unexpired accounts .....	11,140	13,500	14,015
3020 Outlays (gross) .....	-10,695	-13,461	-13,978
3050 Unpaid obligations, end of year .....	546	585	622
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	101	546	585
3200 Obligated balance, end of year .....	546	585	622
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	12,473	11,521	13,440
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	9,374	10,361	12,819
4101 Outlays from mandatory balances .....	1,321	3,100	1,159
4110 Outlays, gross (total) .....	10,695	13,461	13,978
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-365	-322	-304
4180 Budget authority, net (total) .....	12,108	11,199	13,136
4190 Outlays, net (total) .....	10,330	13,139	13,674

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	12,108	11,199	13,136
Outlays .....	10,330	13,139	13,674
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	12
Outlays .....	.....	.....	12
<b>Total:</b>			
Budget Authority .....	12,108	11,199	13,148
Outlays .....	10,330	13,139	13,686

WORKLOAD - Vocational Rehabilitation and Employment

	2012 actual	2013 est.	2014 est.
Evaluation and planning .....	77,944	78,723	79,511
Rehabilitation services .....	97,471	98,446	99,430
Employment services status .....	19,470	19,665	19,861
Vocational/educational counseling .....	24,077	24,318	24,561

WORKLOAD - Education

	2012 actual	2013 est.	2014 est.
Original claims .....	493,696	562,800	599,382
Adjustments/supplemental claims .....	3,028,396	3,457,200	3,681,918

This appropriation finances educational assistance allowances for certain service persons, veterans, and for eligible dependents of those: (a) veterans who died from service-connected causes or have a total and permanent rated service-connected disability; and (b) servicepersons who were captured or missing in action. In addition, certain disabled veterans are provided with vocational rehabilitation, specially adapted housing grants, and automobile grants with the associated approved adaptive equipment. Voluntary contributions by eligible servicepersons and matching contributions provided by the Department of Defense are included in the Post-Vietnam Era Veterans Education Account.

*The Post 9-11 GI Bill (Chapter 33).*—Public Law 110-252 greatly expanded education benefits beginning on August 1, 2009. Based on length of active duty service and training rate, trainees may be entitled to benefits including: tuition and fees, housing allowance, books and supplies stipend, kickers, and Yellow Ribbon matching payments. Certain active duty members of the Armed Forces may transfer benefits to a spouse or children.

*All volunteer force educational assistance (Montgomery GI Bill).*—Public Law 98-525, enacted October 19, 1984, established two new educational programs: an assistance program for veterans who enter active duty during the period beginning July 1, 1985; and an assistance program for certain members of the Selected Reserve. Public Law 108-375 established a program to provide educational assistance to members of the reserve components called or ordered to active service in response to a war or national emergency declared by the President or the Congress, in recognition of the sacrifices that those members make in answering the call to duty. The Readjustment Benefit appropriation pays the basic benefit allowance for veterans, except for certain Post-Vietnam Era Veterans Education participants who transferred to the Montgomery GI Bill program. Supplementary educational assistance, Post-Vietnam Era Veterans Education converters, reservists, and the National Call to Service Program are financed by payments from Department of Defense.

*Survivors and Dependents Educational Assistance (Chapter 35).*—Benefits are provided to children and spouses of veterans who died of a service-connected disability or whose service-connected disability is rated permanent and total. In addition, dependents of servicepersons missing in action or interred by a hostile foreign government for more than 90 days are also eligible. The following table provides a comparison of trainees and costs for the Dependents Educational Assistance program.

*The Veterans Retraining Assistance Program,* established under Public Law 112-56, is a program that, from July 1, 2012 through March 31, 2014, provides up to 12 months of retraining assistance to veterans at least 35 years of age but not more than 60 years of age, who are unemployed, received an honorable discharge and have no eligibility remaining for other education benefits. Veterans participating in this program would receive monthly payments equal to the three-year payment rate under the Montgomery GI Bill (MGIB) chapter 30 program.

The following table shows a caseload and cost comparison for these beneficiaries under existing legislation.

CASELOAD AND AVERAGE COST DATA

	2012 actual	2013 est.	2014 est.
<b>Chapter 33:</b>			
Number of trainees .....	646,302	710,932	764,252
Average cost per trainee .....	\$13,080	\$13,628	\$14,249
Total cost (in millions) .....	\$8,453	\$9,689	\$10,890
<b>Chapter 30:</b>			
Number of trainees .....	128,432	96,727	72,870
Average cost per trainee .....	\$7,860	\$8,170	\$8,866
Total cost (in millions) .....	\$932	\$727	\$592
<b>Chapter 1606:</b>			
Number of trainees .....	60,393	59,292	54,934
Average cost per trainee .....	\$2,564	\$2,682	\$2,824
Total cost (in millions) .....	\$155	\$159	\$155
<b>Chapter 1607:</b>			
Number of trainees .....	19,774	13,336	9,222
Average cost per trainee .....	\$3,893	\$4,073	\$4,288
Total cost (in millions) .....	\$77	\$54	\$40
<b>Chapter 35 Sons and Daughters:</b>			
Number of trainees .....	73,102	74,801	76,540
Average cost per trainee (in dollars) .....	\$5,380	\$5,628	\$5,925
Total cost (in millions) .....	\$393	\$421	\$454
<b>Chapter 35 Wives and Widow(ers):</b>			
Chapter 35 Wives and Widow(ers) .....	14,605	14,818	15,034
Average cost per trainee (in dollars) .....	\$4,245	\$4,438	\$4,672
Total cost (in millions) .....	\$62	\$66	\$70

Veterans Retraining Assistance Program:			
Number of trainees .....	12,251	99,000	54,000
Average cost per trainee .....	\$501	\$11,118	\$9,231
Total cost (in millions) .....	\$6	\$1,101	\$498

*Vocational Rehabilitation and Employment (Chapter 31).*—Servicemembers and veterans with service-connected disabilities receive the assistance necessary to help them prepare for, obtain, and maintain suitable employment. Comprehensive assessments may include interest and aptitude testing as well as specialized assessments such as functional capacity examinations. During the training phase of the program, eligible servicemembers and veterans are provided assistance for necessary training such as tuition, fees, books and supplies at colleges, technical schools and other training programs. A veteran enrolled in training receives a monthly subsistence allowance. Eligible veterans may also receive specialized or adaptive equipment to help them overcome a disability or enable them to compete with non-disabled individuals. At the completion of training, veterans are provided with employment and placement services, including supplies and equipment needed to enter employment, adaptive equipment and workplace accommodations, incentives to employers to reimburse them for hiring and training veterans with disabilities, and two final months of subsistence allowance.

**CASELOAD AND AVERAGE COST DATA**

Chapter 31:	2012 actual	2013 est.	2014 est.
Rehabilitation, Evaluation, Planning and Service cases .....	28,850	30,287	31,195
Number of trainees .....	85,431	89,708	92,399
Average cost per trainee (in dollars) .....	\$9,255	\$10,268	\$10,960
Total cost (in millions) .....	\$791	\$921	\$1,013

*Specially Adapted Housing Grants.*—Specially adapted housing grants are provided to certain severely disabled veterans. In 2012, the maximum grant amount was \$63,870 and is projected to increase, based on a cost of construction index, to \$66,538 in 2014. Veterans who suffer service-connected blindness or who have lost the use of both upper extremities can receive up to \$12,756 in 2012. The maximum grant amount is projected to increase to \$13,308 in 2014.

*Specially Adapted Housing Assistive Technology Grants.*—Under the Veterans Benefits Act of 2010, (Public Law 111–275), VA may provide grants of up to \$200,000 per fiscal year to individuals or entities for the development of specially adapted housing assistive technologies and limits to \$1 million the aggregate amount of such grants VA may award in any fiscal year.

*Automobile Grants and Adaptive Equipment.*—Certain disabled veterans are provided with automobile grants with the associated approved adaptive equipment. An allowance is provided to certain service-disabled veterans and servicepersons toward the purchase price of an automobile. The maximum allowance increased to \$18,900 in 2012, under The Veterans Benefits Act of 2010, (Public Law 111–275) and will continue to increase based on the CPI-U. The maximum grant amount is projected to increase to \$19,664 in 2014. Adaptive equipment and the maintenance and replacement of such equipment is also provided.

**CASELOAD AND AVERAGE COST DATA**

Housing grants:	2012 actual	2013 est.	2014 est.
Number of housing grants .....	1,501	3,617	2,469
Average cost per grant .....	\$39,321	\$35,336	\$31,601
Total cost (in millions) .....	\$59	\$128	\$78
Number of housing technology grants .....	0	5	5

Average cost per grant .....	\$0	\$200,000	\$200,000
Total cost (in millions) .....	\$0	\$1	\$1
Automobiles or other conveyances:			
Number of conveyances .....	2,511	2,531	2,531
Average benefit .....	\$16,732	\$17,067	\$17,408
Obligations (in millions) .....	\$42	\$43	\$44
Adaptive equipment (including maintenance, repair, and installation for automobiles):			
Number of items .....	7,816	7,816	7,816
Average benefit .....	\$8,732	\$9,386	\$10,088
Obligations (in millions) .....	\$68	\$73	\$79

*Tuition Assistance.*—Public Law 106–398, enacted October 30, 2000, allows the military services to pay up to 100 percent of tuition and expenses charged by a school for service members. If a service department pays less than 100 percent, a service member eligible for the Montgomery GI Bill Active-duty (MGIB) or the Post 9–11 GI Bill (Chapter 33) can elect to receive VA benefits for all or a portion of the remaining expenses. Public Law 108–454 established a program that provides availability of education benefits for payment for national admissions exams and national exams for credit at institutions of higher education.

*The National Exams.*—The benefit allows VA to reimburse for the fee charged for national tests for admission to institutions of higher learning and national tests providing an opportunity for course credit at institutions of higher learning.

*Licensing and Certification Test Payments.*—Under Public Law 106–419, veterans and other eligible persons may receive up to \$2,000 to pay fees required for civilian occupational licensing and certification examinations needed to enter, maintain, or advance in employment in a vocation or profession, effective March 1, 2001.

*National Call to Service.*—The 2003 National Defense Authorization Act directs the Department of Defense to offer an active duty enlistment option of 15 months plus training time to facilitate interest in National Service. Program participants will be given the opportunity to select one of the following incentives: a \$5,000 enlistment bonus, repayment of student loans up to \$18,000, or one of two education allowances.

*Work-Study.*—Certain veterans, reservists, and dependents pursuing a program of rehabilitation, education or training, who are enrolled as full-time students, can work up to 250 hours per semester, receiving the Federal (\$7.25 as of July 24, 2009) or state minimum wage rate, whichever is higher.

*Payments to States.*—State approving agencies are reimbursed for the costs of inspecting, approving, and supervising programs of education and training offered by educational institutions and training establishments in which veterans, dependents, and reservists are enrolled or are about to enter.

*Reporting Fees.*—Reporting fees are paid to education and training institutions to help defray the costs of certifying education enrollment for veterans enrolled in training during a calendar year.

**Object Classification (in millions of dollars)**

Identification code 36–0137–0–1–702	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	10,775	13,178	13,711
99.0 Reimbursable obligations .....	365	322	304

READJUSTMENT BENEFITS—Continued  
Object Classification—Continued

Identification code 36-0137-0-1-702	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	11,140	13,500	14,015

READJUSTMENT BENEFITS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 36-0137-4-1-702	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0201 Increase funding limitation for contracted counseling .....			1
0202 Extend round-down of COLA .....			-1
0203 Extend authorization for work-study activities .....			1
0204 Improve Housing Grant Program .....			11
0393 Total Readjustment benefits direct program .....			12
0900 Total new obligations (object class 41.0) .....			12

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			12
1260 Appropriations, mandatory (total) .....			12
1900 Budget authority (total) .....			12
1930 Total budgetary resources available .....			12

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			12
3020 Outlays (gross) .....			-12

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			12
Outlays, gross:			
4100 Outlays from new mandatory authority .....			12
4180 Budget authority, net (total) .....			12
4190 Outlays, net (total) .....			12

Legislation will be proposed to: extend rounding-down of education COLA through 2018; extend the pilot expansion of certain Work-study activities; increase the cap on contract vocational rehabilitation counseling; increase the limitation on new independent living cases; exclude temporary residence adaptation (TRA) grants from the specially adapted housing (SAH) grant limit; replace the SAH program's grant limit with limits to grant type; restore eligibility for housing adaptation; provide SAH grants to veterans living with family; provide refunds for the Montgomery GI Bill buy-up program.

VETERANS INSURANCE AND INDEMNITIES

For military and naval insurance, national service life insurance, servicemen's indemnities, service-disabled veterans insurance, and veterans mortgage life insurance as authorized by chapters 19 and 21, title 38, United States Code, \$77,567,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 36-0120-0-1-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 VMLI death claims .....	23	23	23
0012 Payment to service-disabled veterans insurance .....	81	86	58

0100 Total direct expenses .....	104	109	81
0900 Total new obligations .....	104	109	81

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	100	105	77
1260 Appropriations, mandatory (total) .....	100	105	77
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	4	4
1850 Spending auth from offsetting collections, mand (total) .....	4	4	4
1900 Budget authority (total) .....	104	109	81
1930 Total budgetary resources available .....	105	110	82
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	
3010 Obligations incurred, unexpired accounts .....	104	109	81
3020 Outlays (gross) .....	-104	-110	-81
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	
3200 Obligated balance, end of year .....	1		

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	104	109	81
Outlays, gross:			
4100 Outlays from new mandatory authority .....	104	109	81
4101 Outlays from mandatory balances .....		1	
4110 Outlays, gross (total) .....	104	110	81
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-4	-4	-4
4180 Budget authority, net (total) .....	100	105	77
4190 Outlays, net (total) .....	100	106	77

WORKLOAD

	2012 actual	2013 est.	2014 est.
Policy service actions .....	941,142	908,140	874,246
Collections .....	919,415	844,200	770,600
Disability claims .....	44,695	58,380	58,310
Insurance awards .....	247,510	250,260	237,200

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

The insurance business line administers six life insurance programs, including two trust funds, two public enterprise funds, a trust revolving fund, and Veterans' Mortgage Life Insurance (VMLI), and supervises four additional programs for the benefit of servicepersons, veterans, and their beneficiaries through contracts with a commercial company. All programs are operated on a commercial basis, to the extent possible, consistent with all applicable statutes. The insurance appropriation is the supplemental funding mechanism for the following Government life insurance activities: National Service Life Insurance (NSLI); Service-Disabled Veterans Insurance Fund (S-DVI); and Veterans' Mortgage Life Insurance.

National Service Life Insurance.—Payments are made to the NSLI fund for certain World War II veterans for: (a) extra hazards of service; (b) gratuitous insurance granted to certain persons unable to apply for National Service Life Insurance; and (c) death claims on policies under the waiver of a premium while the insured was on active duty.

Payment to Service-Disabled Veterans Insurance Fund.—Payments are made to the S-DVI fund to supplement the premiums and other receipts of the fund in amounts necessary to pay claims

on insurance policies issued to veterans with service-connected disabilities.

*Veterans' Mortgage Life Insurance.*—Payments are made to mortgage holders under this program, which provides mortgage protection life insurance to veterans who have received a grant for specially adapted housing due to severe disabilities. The trend in the number and amount of insurance policies in force appears in the following table.

**POLICIES AND INSURANCE IN FORCE**

VMLI Policies	2012 actual	2013 est.	2014 est.
Number of Policies .....	2,466	2,390	2,390
Amount of Insurance (dollars in millions) .....	\$299	\$313	\$327

**Object Classification (in millions of dollars)**

Identification code 36-0120-0-1-701	2012 actual	2013 CR	2014 est.
42.0 Direct obligations: Insurance claims and indemnities .....	100	105	77
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	104	109	81

**FILIPINO VETERANS EQUITY COMPENSATION FUND**

**Program and Financing (in millions of dollars)**

Identification code 36-1121-0-1-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Filipino veterans equity compensation fund .....	2	6	6
0900 Total new obligations (object class 42.0) .....	2	6	6
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	59	57	51
1930 Total budgetary resources available .....	59	57	51
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	57	51	45
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	6	6
3020 Outlays (gross) .....	-2	-6	-6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	2	6	6
4190 Outlays, net (total) .....	2	6	6

This fund was established under the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009 (P.L. 110-329), to make payments to eligible persons who served in the Philippines during World War II. Payments were subsequently authorized by Congress in the American Recovery and Reinvestment Act of 2009 (P.L. 111-5). Original funding of \$198,000,000 was supplemented by a transfer of \$67,000,000 authorized by P.L. 111-212 that remains available until expended. Payments to citizens of the United States are \$15,000. Payments to non-U.S. citizens are \$9,000.

**SERVICE-DISABLED VETERANS INSURANCE FUND**

**Program and Financing (in millions of dollars)**

Identification code 36-4012-0-3-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Capital investment .....	20	22	23
0802 Death claims .....	94	112	124
0803 All other .....	8	9	9

0804 Payments to GOE and IT .....	7	7	8
0900 Total new obligations .....	129	150	164

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	44	56
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	153	162	137
1850 Spending auth from offsetting collections, mand (total) .....	153	162	137
1900 Budget authority (total) .....	153	162	137
1930 Total budgetary resources available .....	173	206	193
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	44	56	29

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	13	13
3010 Obligations incurred, unexpired accounts .....	129	150	164
3020 Outlays (gross) .....	-130	-150	-164
3050 Unpaid obligations, end of year .....	13	13	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	13	13
3200 Obligated balance, end of year .....	13	13	13

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	153	162	137
Outlays, gross:			
4100 Outlays from new mandatory authority .....	96	93	95
4101 Outlays from mandatory balances .....	34	57	69
4110 Outlays, gross (total) .....	130	150	164
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-81	-86	-58
4123 Baseline Program [Interest on loans] .....	-4	-4	-4
4123 Baseline Program [Premiums Earned] .....	-51	-55	-58
4123 Baseline Program [Repayments of Loan/Liens] .....	-17	-17	-17
4130 Offsets against gross budget authority and outlays (total) .....	-153	-162	-137
4170 Outlays, net (mandatory) .....	-23	-12	27
4190 Outlays, net (total) .....	-23	-12	27

The Insurance Act of 1951 established the Service-Disabled Veterans Insurance (S-DVI) program for veterans with service-connected disabilities. S-DVI is open to veterans who separated from the service on or after April 25, 1951. This fund finances the payment of claims on existing life insurance policies and remains open for new issues at standard rates to veterans having service-connected disabilities.

**OPERATING COSTS**

*Death claims.*—Represents payments to designated beneficiaries.

*All other.*—Represents payments to policyholders who surrender their policies for their cash value and hold endowment policies which have matured.

*Capital investment.*—A policyholder may borrow up to 94 percent of the value of his or her policy.

*Payments to General Operating Expenses (GOE), Veterans Benefits Administration.*—Represents the administrative costs of claims processing and account maintenance.

The trend in the number and amount of policies in force is indicated in the following table.

**POLICIES AND INSURANCE IN FORCE**

	2012 actual	2013 est.	2014 est.
Number of policies (EOY) .....	241,224	255,460	268,376
Insurance in force (dollars in millions) (EOY) .....	\$2,499	\$2,660	\$2,808

*Financing.*—Operations are financed from premiums and other receipts. Additional funds are received by transfer from the Veterans Insurance and Indemnities appropriation, instead of direct appropriations to this fund.

SERVICE-DISABLED VETERANS INSURANCE FUND—Continued

*Operating results and financial condition.*—Since premium and other receipts are insufficient to cover operations, the fund continues to project liabilities in excess of assets. The deficit is expected to reach an estimated \$1,240 million by September 30, 2014.

Object Classification (in millions of dollars)

Identification code 36-4012-0-3-701	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
33.0 Investments and loans .....	20	21	23
42.0 Insurance claims and indemnities .....	109	129	141
99.9 Total new obligations .....	129	150	164

VETERANS REOPENED INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 36-4010-0-3-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Death claims .....	32	34	32
0802 Dividends .....	5	4	3
0803 All other .....	5	5	5
0804 Capital investment: policy loans .....	1	1	1
0900 Total new obligations .....	43	44	41

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	237	212	184
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	19	16	14
1801 Change in uncollected payments, Federal sources .....	-1		
1850 Spending auth from offsetting collections, mand (total) .....	18	16	14
1930 Total budgetary resources available .....	255	228	198
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	212	184	157

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	48	44	41
3010 Obligations incurred, unexpired accounts .....	43	44	41
3020 Outlays (gross) .....	-47	-47	-45
3050 Unpaid obligations, end of year .....	44	41	37
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	44	41	38
3200 Obligated balance, end of year .....	41	38	34

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	18	16	14
Outlays, gross:			
4100 Outlays from new mandatory authority .....	18	16	14
4101 Outlays from mandatory balances .....	29	31	31
4110 Outlays, gross (total) .....	47	47	45
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Baseline Program [Fund Earnings] .....	-14	-12	-10
4123 Baseline Program [Fund Premiums] .....	-2	-2	-2
4123 Baseline Program [Cash Collections] .....	-3	-2	-2
4130 Offsets against gross budget authority and outlays (total) .....	-19	-16	-14
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1		
4170 Outlays, net (mandatory) .....	28	31	31
4190 Outlays, net (total) .....	28	31	31

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	281	253	222

5001 Total investments, EOY: Federal securities: Par value .....	253	222	191
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*Note.*—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund pays claims and administrative costs on participating life insurance policies issued during the period May 1, 1965, through May 2, 1966, under three life insurance programs: (1) service-disabled standard insurance; (2) service-disabled rated insurance; and (3) nonservice-disabled insurance availing disabled World War II and Korean conflict veterans an opportunity to acquire life insurance coverage who were no longer eligible for other government insurance.

*Budget program:*

*Death claims.*—Represents payments to designated beneficiaries.

*Dividends.*—Policyholders participate in the distribution of annual dividends.

*All other.*—This represents resources for the administrative costs of processing claims and maintaining the accounts, and to those policyholders who: (a) surrender their policies for cash value; (b) hold endowment policies which have matured; and (c) have purchased total disability income coverage and subsequently become disabled.

*Policy loans made.*—A policyholder may borrow up to 94 percent of the cash value of his policy at an interest rate adjusted to reflect private sector borrowing costs.

The following table reflects the decrease in the number of policies and the amount of insurance in force:

POLICIES AND INSURANCE IN FORCE

	2012 actual	2013 est.	2014 est.
Number of policies .....	23,983	20,673	17,603
Insurance in force (dollars in millions) .....	\$248	\$217	\$186

*Financing.*—Operations are financed from premiums collected from policyholders and interest on investments. Excess earnings of the fund are distributed to the policyholders in the form of an annual dividend.

Object Classification (in millions of dollars)

Identification code 36-4010-0-3-701	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
33.0 Investments and loans .....	1	1	1
42.0 Insurance claims and indemnities .....	35	37	35
43.0 Interest and dividends .....	7	6	5
99.9 Total new obligations .....	43	44	41

SERVICEMEMBERS' GROUP LIFE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 36-4009-0-3-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Premium payments .....	803	822	833
0802 Payments to carrier .....	276	247	
0803 Payment to GOE .....	3	3	3
0900 Total new obligations (object class 41.0) .....	1,082	1,072	836

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,082	1,072	836
1850 Spending auth from offsetting collections, mand (total) .....	1,082	1,072	836
1930 Total budgetary resources available .....	1,083	1,073	837

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1,082	1,072	836
3020	Outlays (gross) .....	-1,082	-1,072	-836
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1,082	1,072	836
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,082	1,072	836
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-1,082	-1,072	-836
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	1	1	1
5001	Total investments, EOY: Federal securities: Par value .....	1	1	1

This fund finances the payment of group life insurance premiums to private insurance companies under the Servicemembers' Group Life Insurance (SGLI) Act of 1965, as amended. SGLI is a program for Servicemembers on active duty, ready reservists, members of the National Guard, members of the Commissioned Corps of the National Oceanic and Atmospheric Administration and the Public Health Service, cadets and midshipmen of the four service academies, and members of the Reserve Officer Training Corps. SGLI coverage is available in \$50,000 increments up to the maximum of \$400,000. Veterans' Group Life Insurance (VGLI) is a program of post-separation insurance which allows Servicemembers to convert their SGLI coverage to renewable term insurance. Family Servicemembers' Group Life Insurance (FSGLI) is a program extended to the spouses and dependent children of members insured under the SGLI program. FSGLI provides up to a maximum of \$100,000 of insurance coverage for spouses, not to exceed the amount of SGLI the insured member has in force, and \$10,000 of free coverage for dependent children. Spousal coverage is issued in increments of \$10,000.

The Servicemembers' Group Life Insurance Traumatic Injury Protection Program (TSGLI) became effective December 1, 2005. TSGLI provides for payment between \$25,000 and \$100,000 (depending on the type of injury) to any member of the uniformed services covered by SGLI who sustains a traumatic injury that results in certain serious losses.

**Object Classification** (in millions of dollars)

Identification code 36-4009-0-3-701				
		2012 actual	2013 CR	2014 est.
Reimbursable obligations:				
41.0	Grants, subsidies, and contributions .....	1,082	1,072	836
99.0	Reimbursable obligations .....	1,082	1,072	836

**VETERANS HOUSING BENEFIT PROGRAM FUND**

For the cost of direct and guaranteed loans, such sums as may be necessary to carry out the program, as authorized by subchapters I through III of chapter 37 of title 38, United States Code: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That during fiscal year 2014, within the resources available, not to exceed \$500,000 in gross obligations for direct loans are authorized for specially adapted housing loans.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, \$158,430,000.

**Program and Financing** (in millions of dollars)

Identification code 36-1119-0-1-704				
		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
Credit program obligations:				
0702	Loan guarantee subsidy .....	66		
0705	Reestimates of direct loan subsidy .....	402	35	
0706	Interest on reestimates of direct loan subsidy .....	327	16	
0707	Reestimates of loan guarantee subsidy .....	689	1,187	
0708	Interest on reestimates of loan guarantee subsidy .....	91	142	
0709	Administrative expenses .....	142	156	158
0900	Total new obligations .....	1,717	1,536	158
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	59	1	
1029	Other balances withdrawn .....	-43		
1050	Unobligated balance (total) .....	16	1	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	155	156	158
1160	Appropriation, discretionary (total) .....	155	156	158
Appropriations, mandatory:				
1200	Appropriation .....	1,560	1,379	
1260	Appropriations, mandatory (total) .....	1,560	1,379	
1900	Budget authority (total) .....	1,715	1,535	158
1930	Total budgetary resources available .....	1,731	1,536	158
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-13		
1941	Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....			1
3010	Obligations incurred, unexpired accounts .....	1,717	1,536	158
3020	Outlays (gross) .....	-1,717	-1,535	-158
3050	Unpaid obligations, end of year .....		1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....			1
3200	Obligated balance, end of year .....		1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	155	156	158
Outlays, gross:				
4010	Outlays from new discretionary authority .....	142	156	158
Mandatory:				
4090	Budget authority, gross .....	1,560	1,379	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,560	1,379	
4101	Outlays from mandatory balances .....	15		
4110	Outlays, gross (total) .....	1,575	1,379	
4180	Budget authority, net (total) .....	1,715	1,535	158
4190	Outlays, net (total) .....	1,717	1,535	158

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 36-1119-0-1-704				
		2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:				
115001	Acquired Direct Loans .....	5	46	55
115004	Vendee Direct Loans .....	158	222	358
115999	Total direct loan levels .....	163	268	413
Direct loan subsidy (in percent):				
132001	Acquired Direct Loans .....	4.09	-2.29	-5.00
132004	Vendee Direct Loans .....	-2.12	-14.25	-24.13
132999	Weighted average subsidy rate .....	-1.93	-12.20	-21.58
Direct loan subsidy budget authority:				
133001	Acquired Direct Loans .....		-1	-3
133004	Vendee Direct Loans .....	-3	-32	-86
133999	Total subsidy budget authority .....	-3	-33	-89
Direct loan subsidy outlays:				
134001	Acquired Direct Loans .....		-1	-3
134004	Vendee Direct Loans .....	-3	-32	-86
134999	Total subsidy outlays .....	-3	-33	-89

VETERANS HOUSING BENEFIT PROGRAM FUND—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 36-1119-0-1-704	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135001 Acquired Direct Loans .....		12	
135004 Vendee Direct Loans .....	13	9	
135005 Acquired and Vendee Loan Reestimates .....	717	29	
135999 Total upward reestimate budget authority .....	730	50	
Direct loan downward reestimates:			
137001 Acquired Direct Loans .....	-12		
137004 Vendee Direct Loans .....	-2	-15	
137005 Acquired and Vendee Loan Reestimates .....		-1	
137999 Total downward reestimate budget authority .....	-14	-16	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Housing Guaranteed Loans .....	120,062	108,211	65,533
215002 Guaranteed Loan Sale Securities—Vendee .....	190		
215999 Total loan guarantee levels .....	120,252	108,211	65,533
Guaranteed loan subsidy (in percent):			
232001 Housing Guaranteed Loans .....	-0.14	-0.10	-0.02
232002 Guaranteed Loan Sale Securities—Vendee .....	10.75		
232999 Weighted average subsidy rate .....	-0.12	-0.10	-0.02
Guaranteed loan subsidy budget authority:			
233001 Housing Guaranteed Loans .....	-163	-108	-13
233002 Guaranteed Loan Sale Securities—Vendee .....	20		
233999 Total subsidy budget authority .....	-143	-108	-13
Guaranteed loan subsidy outlays:			
234001 Housing Guaranteed Loans .....	-163	-108	-13
234002 Guaranteed Loan Sale Securities—Vendee .....	20		
234999 Total subsidy outlays .....	-143	-108	-13
Guaranteed loan upward reestimates:			
235001 Housing Guaranteed Loans .....	707	1,294	
235002 Guaranteed Loan Sale Securities—Vendee .....	74	34	
235999 Total upward reestimate budget authority .....	781	1,328	
Guaranteed loan downward reestimates:			
237001 Housing Guaranteed Loans .....	-78	-18	
237002 Guaranteed Loan Sale Securities—Vendee .....		-19	
237999 Total downward reestimate subsidy budget authority .....	-78	-37	
Administrative expense data:			
3510 Budget authority .....	155	156	158
3590 Outlays from new authority .....	140	156	158

As required by the Federal Credit Reform Act of 1990, this account records, for these programs, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as for the administrative expenses of these programs. The subsidy amounts are estimated on a net present value basis.

*Veterans Affairs (VA) housing program account.*—The housing program helps eligible veterans, active duty personnel, surviving spouses, and members of the Reserves and National Guard purchase, retain, and adapt homes in recognition of their service to the Nation. When a borrower purchases a home, the program operates by substituting the Federal Government's guaranty for a down payment that might otherwise be required. Under 38 U.S.C. 3703, the guaranty amount for a borrower with full entitlement is as follows:

- (a) 50 percent for loans of \$45,000 or less;
- (b) \$22,500 for loans greater than \$45,000, but no more than \$56,250;
- (c) The lesser of \$36,000 or 40 percent of the loan amount for loans greater than \$56,250, but not more than \$144,000;
- (d) 25 percent of the loan amount for loans of \$144,001 to \$417,000; or

(e) For certain loans in excess of \$417,000, the guaranty will be the lesser of: 25 percent of the county loan limit, or 25 percent of the loan.

This appropriation provides for the corporate leadership and operational support to VA's housing business line.

The housing program facilitates the extension of private capital, on more liberal terms than generally available to nonveterans, to assist veterans and servicepersons in obtaining housing credit, and assist veterans in retaining their homes during periods of temporary economic difficulty through intensive supplemental mortgage loan servicing.

*Guaranteed transitional housing loans for homeless veterans.*—Established as a pilot project by the Veterans Benefits Improvement Act of 1998, Public Law 105-368, this program will not execute any new loans. The existing loan will continue to be serviced within the financing account and no further funds will be required from the program account.

**WORKLOAD**

	2012 actual	2013 est.	2014 est.
Construction and valuation .....	395	346	202
Loan processing .....	1074	941	548
Loan service and claims .....	108	115	115

**Object Classification (in millions of dollars)**

Identification code 36-1119-0-1-704	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	142	156	158
41.0 Grants, subsidies, and contributions .....	1,575	1,380	
99.9 Total new obligations .....	1,717	1,536	158

**HOUSING DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 36-4127-0-3-704	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Property sales expense .....		1	3
0004 Property management/other expense .....	8	5	14
0091 Direct program activities, subtotal .....	8	6	17
Credit program obligations:			
0710 Direct loan obligations .....	163	268	413
0713 Payment of interest to Treasury .....	112	97	105
0740 Negative subsidy obligations .....	3	33	89
0742 Downward reestimate paid to receipt account .....	13	15	
0743 Interest on downward reestimates .....	1	1	
0791 Direct program activities, subtotal .....	292	414	607
0900 Total new obligations .....	300	420	624
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	169	89	
1023 Unobligated balances applied to repay debt .....	-157	-89	
1050 Unobligated balance (total) .....	12		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	1,278	420	624
1421 Borrowing authority applied to repay debt .....	-1,008		
1440 Borrowing authority, mandatory (total) .....	270	420	624
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,070	120	114
1825 Spending authority from offsetting collections applied to repay debt .....	-963	-120	-114
1850 Spending auth from offsetting collections, mand (total) .....	107		
1900 Financing authority (total) .....	377	420	624
1930 Total budgetary resources available .....	389	420	624

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	89	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	8	5
3010	Obligations incurred, unexpired accounts .....	300	624
3020	Financing disbursements (gross) .....	-308	-621
3050	Unpaid obligations, end of year .....	5	8
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	8	5
3200	Obligated balance, end of year .....	5	8

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	377	624
Financing disbursements:			
4110	Financing disbursements, gross .....	308	621
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources: Payments from program account .....	-729	-50
4120	Reimbursements from DLFA .....	-1	-5
4120	Transfer of loan sales from loan sale security .....	-190	
4122	Interest on uninvested funds .....	-81	
4123	Interest and principal received on loans .....	-59	-76
4123	Fees .....	-3	-5
4123	Cash sale of properties .....	-6	-28
4123	Other .....	-1	
4130	Offsets against gross financing auth and disbursements (total) .....	-1,070	-114
4160	Financing authority, net (mandatory) .....	-693	510
4170	Financing disbursements, net (mandatory) .....	-762	507
4180	Financing authority, net (total) .....	-693	510
4190	Financing disbursements, net (total) .....	-762	507

**Status of Direct Loans** (in millions of dollars)

Identification code 36-4127-0-3-704			
Position with respect to appropriations act limitation on obligations:			
1131	Direct loan obligations exempt from limitation .....	163	413
1150	Total direct loan obligations .....	163	413
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	670	809
1231	Disbursements: Direct loan disbursements .....	163	413
Repayments:			
1251	Repayments and prepayments .....	-38	-32
1253	Proceeds from loan asset sales to the public with recourse .....	-190	
Write-offs for default:			
1263	Direct loans .....	-12	-14
1264	Other adjustments, Data Reconciliation .....	5	
1290	Outstanding, end of year .....	598	1,176

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 36-4127-0-3-704			
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury .....	177	88
Investments in US securities:			
1106	Receivables, net .....	761	28
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	670	598
1402	Interest receivable .....	12	14
1404	Foreclosed property .....	8	7
1405	Allowance for subsidy cost .....	-6	21
1499	Net present value of assets related to direct loans .....	684	640
1901	Other Federal assets: Other assets .....		1
1999	Total assets .....	1,622	757

LIABILITIES:			
Federal liabilities:			
2103	Debt .....	1,599	749
2105	Other .....	17	8
2207	Non-Federal liabilities: Other .....	6	
2999	Total liabilities .....	1,622	757
4999	Total liabilities and net position .....	1,622	757

**HOUSING GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 36-4129-0-3-704			
2012 actual			
2013 CR			
2014 est.			
<b>Obligations by program activity:</b>			
0002	Losses on defaulted loans .....	811	750
0004	Reimburse DLFA for loan sales .....	190	
0005	Payment to trustee reserve .....	29	19
0009	Property sales expense .....	72	115
0010	Property management expense .....	107	100
0011	Property improvement expense .....	1	10
0012	Loans acquired .....	5	64
0091	Direct program activities, subtotal .....	1,215	1,058
Credit program obligations:			
0711	Default claim payments on principal .....	1,209	1,638
0713	Payment of interest to Treasury .....	1	
0740	Negative subsidy obligations .....	208	13
0741	Modification savings .....	4	
0742	Downward reestimate paid to receipt account .....	35	25
0743	Interest on downward reestimates .....	43	12
0791	Direct program activities, subtotal .....	1,500	1,651
0900	Total new obligations .....	2,715	2,709
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	3,248	5,253
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	24	13
1440	Borrowing authority, mandatory (total) .....	24	13
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	3,357	3,098
1801	Change in uncollected payments, Federal sources .....	-5	
1825	Spending authority from offsetting collections applied to repay debt .....		-13
1850	Spending auth from offsetting collections, mand (total) .....	3,352	3,085
1900	Financing authority (total) .....	3,376	3,098
1930	Total budgetary resources available .....	6,624	8,351
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	3,909	5,642

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	284	48
3010	Obligations incurred, unexpired accounts .....	2,715	2,709
3020	Financing disbursements (gross) .....	-2,692	-2,702
3050	Unpaid obligations, end of year .....	307	55
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	
3070	Change in uncollected pymts, Fed sources, unexpired .....	5	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	279	48
3200	Obligated balance, end of year .....	307	55

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Financing authority, gross .....	3,376	3,098
Financing disbursements:			
4110	Financing disbursements, gross .....	2,692	2,702
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Payments from program account .....	-846	-313
4120	Recoveries from DLFA .....	-167	-426
4122	Interest on uninvested funds .....	-133	-944
4123	Funding fees .....	-1,179	-1,415
4123	Cash sale of properties .....	-791	
4123	Redemption of properties and other .....	-43	

**HOUSING GUARANTEED LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 36-4129-0-3-704	2012 actual	2013 CR	2014 est.
4123 Loan sale proceeds .....	-198		
4130 Offsets against gross financing auth and disbursements (total) .....	-3,357	-3,852	-3,098
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	5		
4160 Financing authority, net (mandatory) .....	24		
4170 Financing disbursements, net (mandatory) .....	-665	-1,085	-396
4180 Financing authority, net (total) .....	24		
4190 Financing disbursements, net (total) .....	-665	-1,085	-396

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 36-4129-0-3-704	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation .....	120,062	108,211	65,533
2132 Guaranteed loan commitments for loan asset sales with recourse .....	190		
2150 Total guaranteed loan commitments .....	120,252	108,211	65,533
2199 Guaranteed amount of guaranteed loan commitments .....	33,327	29,866	18,087
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	257,727	295,996	394,154
2231 Disbursements of new guaranteed loans .....	120,061	108,211	65,533
2232 Guarantees of loans sold to the public with recourse .....	190		
2251 Repayments and prepayments .....	-79,928	-7,882	-9,092
Adjustments:			
2262 Terminations for default that result in acquisition of property .....	-1,209	-1,379	-1,638
2263 Terminations for default that result in claim payments .....	-845	-792	-833
2290 Outstanding, end of year .....	295,996	394,154	448,124
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	85,772	111,467	125,395

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond, including modifications of guaranteed loans that resulted from commitments in any year, and from the guarantee of loans sold through the securitization programs. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 36-4129-0-3-704	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	3,527	4,216
Investments in US securities:		
1106 Receivables, net .....	969	895
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1504 Accounts receivable from foreclosed property .....	11	6
1504 Foreclosed property .....	852	813
1599 Net present value of assets related to defaulted guaranteed loans .....	863	819
1999 Total assets .....	5,359	5,930
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....		24
2105 Other liabilities .....	15	42
Non-Federal liabilities:		
2201 Accounts payable .....	286	308
2204 Non-federal liabilities for loan guarantees .....	5,058	5,556
2999 Total liabilities .....	5,359	5,930

4999 Total liabilities and net position .....	5,359	5,930
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**HOUSING LIQUIDATING ACCOUNT**  
**Program and Financing (in millions of dollars)**

Identification code 36-4025-0-3-704	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0105 Other operating expenses .....	2	2	2
Credit program obligations:			
0711 Default claim payments on principal .....	3	2	2
0900 Total new obligations .....	5	4	4

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	3	
1022 Capital transfer of unobligated balances to general fund .....		-3	
1050 Unobligated balance (total) .....	1		
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	14	12	11
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-7	-8	-7
1850 Spending auth from offsetting collections, mand (total) .....	7	4	4
1930 Total budgetary resources available .....	8	4	4
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	
3010 Obligations incurred, unexpired accounts .....	5	4	4
3020 Outlays (gross) .....	-5	-7	-4
3050 Unpaid obligations, end of year .....	3		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	3	
3200 Obligated balance, end of year .....	3		

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	7	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	4	4
4101 Outlays from mandatory balances .....	3	3	
4110 Outlays, gross (total) .....	5	7	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1		
4123 Loan repayments and prepayments .....	-3	-2	-2
4123 Sale of homes, cash .....	-2	-2	-1
4123 Interest on loans .....	-1	-1	-1
4123 Interest on subordinate certificates .....	-1	-1	-1
4123 Interest collection on Veteran liability debts .....	-3	-2	-2
4123 Principal collection on Veteran liability debts .....	-3	-4	-4
4130 Offsets against gross budget authority and outlays (total) .....	-14	-12	-11
4160 Budget authority, net (mandatory) .....	-7	-8	-7
4170 Outlays, net (mandatory) .....	-9	-5	-7
4180 Budget authority, net (total) .....	-7	-8	-7
4190 Outlays, net (total) .....	-9	-5	-7

**Memorandum (non-add) entries:**

5010 Total investments, SOY: non-Fed securities: Market value .....	140	140	140
5011 Total investments, EOY: non-Fed securities: Market value .....	140	140	140

**Status of Direct Loans (in millions of dollars)**

Identification code 36-4025-0-3-704	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	14	11	9
1251 Repayments: Repayments and prepayments .....	-3	-2	-2
1290 Outstanding, end of year .....	11	9	7

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 36-4025-0-3-704	2012 actual	2013 CR	2014 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	587	461	362
2251 Repayments and prepayments .....	-123	-97	-76
2262 Adjustments: Terminations for default that result in acquisition of property .....	-3	-2	-2
2290 Outstanding, end of year .....	461	362	284
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	196	153	120
<b>Addendum:</b>			
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>			
2310 Outstanding, start of year .....	15	31	19
2351 Repayments of loans receivable .....	-4	-8	-6
2361 Write-offs of loans receivable .....	-2	-4	-2
2364 Other adjustments, net .....	22		
2390 Outstanding, end of year .....	31	19	11

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in this program in 1992 and beyond is recorded in the corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 36-4025-0-3-704	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	4	5
1201 Non-Federal assets: Investments in non-Federal securities, net .....	140	140
1601 Direct loans, gross .....	14	11
1603 Allowance for estimated uncollectible loans and interest (-) .....	-2	
1604 Direct loans and interest receivable, net .....	12	11
1606 Foreclosed property direct loans .....	10	10
1699 Value of assets related to direct loans .....	22	21
1701 Defaulted guaranteed loans, gross .....	15	31
1703 Allowance for estimated uncollectible loans and interest (-) .....	-14	-10
1704 Defaulted guaranteed loans and interest receivable, net .....	1	21
1706 Foreclosed property .....	4	4
1799 Value of assets related to loan guarantees .....	5	25
1999 Total assets .....	171	191
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	169	189
2207 Non-Federal liabilities: Other .....	2	2
2999 Total liabilities .....	171	191
4999 Total liabilities and net position .....	171	191

**Object Classification** (in millions of dollars)

Identification code 36-4025-0-3-704	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
32.0 Land and structures .....	3	2	2
33.0 Investments and loans .....	2	2	2
99.9 Total new obligations .....	5	4	4

**NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT**

For administrative expenses to carry out the direct loan program authorized by subchapter V of chapter 37 of title 38, United States Code, \$1,109,000.

**VOCATIONAL REHABILITATION LOANS PROGRAM ACCOUNT**

For the cost of direct loans, \$5,000, as authorized by chapter 31 of title 38, United States Code: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congress-

sional Budget Act of 1974: Provided further, That funds made available under this heading are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$2,500,000.

In addition, for administrative expenses necessary to carry out the direct loan program, \$354,000, which may be paid to the appropriation for "General operating expenses, Veterans Benefits Administration".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 36-1120-0-1-704	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0705 Reestimates of direct loan subsidy .....	10	5	
0706 Interest on reestimates of direct loan subsidy .....	3	1	
0709 Administrative expenses .....	1	1	1
0900 Total new obligations .....	14	7	1
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1	1	1
1160 Appropriation, discretionary (total) .....	1	1	1
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	14	6	
1260 Appropriations, mandatory (total) .....	14	6	
1900 Budget authority (total) .....	15	7	1
1930 Total budgetary resources available .....	17	10	4
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	14	7	1
3020 Outlays (gross) .....	-14	-7	-1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1	1	1
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	1	1
<b>Mandatory:</b>			
4090 Budget authority, gross .....	14	6	
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	13	6	
4180 Budget authority, net (total) .....	15	7	1
4190 Outlays, net (total) .....	14	7	1

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 36-1120-0-1-704	2012 actual	2013 CR	2014 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115002 Native American Direct Loans .....	6	11	11
115003 Vocational Rehabilitation .....	2	3	3
115999 Total direct loan levels .....	8	14	14
<b>Direct loan subsidy (in percent):</b>			
132002 Native American Direct Loans .....	-11.97	-17.84	-16.75
132003 Vocational Rehabilitation .....	0.63	0.68	0.20
132999 Weighted average subsidy rate .....	-8.82	-13.87	-13.12
<b>Direct loan subsidy budget authority:</b>			
133002 Native American Direct Loans .....	-1	-2	-2
133999 Total subsidy budget authority .....	-1	-2	-2
<b>Direct loan subsidy outlays:</b>			
134002 Native American Direct Loans .....	-1	-2	-2
134999 Total subsidy outlays .....	-1	-2	-2
<b>Direct loan upward reestimates:</b>			
135002 Native American Direct Loans .....	13	6	
135999 Total upward reestimate budget authority .....	13	6	

**NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT—Continued**  
**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued**

Identification code 36-1120-0-1-704	2012 actual	2013 CR	2014 est.
Direct loan downward reestimates:			
137002 Native American Direct Loans	-5	-3	
137999 Total downward reestimate budget authority	-5	-3	

The Native American Veterans Housing Loan program provides direct loans to veterans living on trust lands under 38 U.S.C. chapter 37, section 3761. These loans are available to purchase, construct, or improve homes to be occupied as the veteran's residence. This program began as a pilot in 1993 and was made permanent on June 15, 2006 through Public Law 109-233.

The Vocational Rehabilitation Loan Program provides additional funding assistance to cover the costs of subsistence, tuition, books, supplies, and equipment in conjunction with service connected disability benefits provided to Veterans participating in VA's Vocational Rehabilitation and Counseling Program as authorized by chapter 31 of title 38, United States Code. Repayment of these loans is made in monthly installments, without interest, through deductions from future payments of compensation, pension, subsistence allowance, educational assistance allowance, or retired pay.

**Object Classification (in millions of dollars)**

Identification code 36-1120-0-1-704	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	13	6	
99.9 Total new obligations	14	7	1

**NATIVE AMERICAN DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 36-4130-0-3-704	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations		11	11
0713 Payment of interest to Treasury	3	3	3
0740 Negative subsidy obligations	1	2	2
0742 Downward reestimate paid to receipt account	4	2	
0743 Interest on downward reestimates	1	1	
0900 Total new obligations	15	19	16
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	4	
1023 Unobligated balances applied to repay debt	-7		
1050 Unobligated balance (total)		4	
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	22	15	17
1421 Borrowing authority applied to repay debt	-5		
1440 Borrowing authority, mandatory (total)	17	15	17
Spending authority from offsetting collections, mandatory:			
1800 Collected	19	15	10
1825 Spending authority from offsetting collections applied to repay debt	-17	-15	-10
1850 Spending auth from offsetting collections, mand (total)	2		
1900 Financing authority (total)	19	15	17
1930 Total budgetary resources available	19	19	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4		1

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	3	1
3010 Obligations incurred, unexpired accounts	15	19	16
3020 Financing disbursements (gross)	-13	-21	-16
3050 Unpaid obligations, end of year	3	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	3	1
3200 Obligated balance, end of year	3	1	1

**Financing authority and disbursements, net:**

Mandatory:			
4090 Financing authority, gross	19	15	17
Financing disbursements:			
4110 Financing disbursements, gross	13	21	16
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-13	-6	
4122 Interest on uninvested funds	-1		
4123 Non-federal sources - Repayments and prepayments of principal	-5	-6	-7
4123 Non-Federal sources - Interest received on loans		-3	-3
4130 Offsets against gross financing auth and disbursements (total)	-19	-15	-10
4160 Financing authority, net (mandatory)			7
4170 Financing disbursements, net (mandatory)	-6	6	6
4180 Financing authority, net (total)			7
4190 Financing disbursements, net (total)	-6	6	6

**Status of Direct Loans (in millions of dollars)**

Identification code 36-4130-0-3-704	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation	6	11	11
1150 Total direct loan obligations	6	11	11
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	61	56	61
1231 Disbursements: Direct loan disbursements	4	11	11
1251 Repayments: Repayments and prepayments	-3	-6	-7
1264 Write-offs for default: Adjustment - reduction in subsidy allowance to account for reestimates analysis	-6		
1290 Outstanding, end of year	56	61	65

As required by the Federal Credit Reform Act of 1990, these non-budgetary accounts record all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in the accounts are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 36-4130-0-3-704	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury	9	6
Investments in US securities:		
1106 Receivables, net	3	5
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	61	56
1402 Interest receivable	1	1
1499 Net present value of assets related to direct loans	62	57
1901 Other Federal assets: Other assets		2
1999 Total assets	74	70
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Federal liabilities debt	73	64
2105 Other liabilities	1	6
2999 Total liabilities	74	70
4999 Total liabilities and net position	74	70

TRANSITIONAL HOUSING DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 36-4258-0-3-704	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5

Status of Direct Loans (in millions of dollars)

Identification code 36-4258-0-3-704	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....	95	95	95
1143 Unobligated limitation carried forward .....	-95	-95	-95
1150 Total direct loan obligations .....			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	5	5	5
1290 Outstanding, end of year .....	5	5	5

Balance Sheet (in millions of dollars)

Identification code 36-4258-0-3-704	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Fund balances with Treasury .....		
1101	5	5
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	5	5
1405 Allowance for subsidy cost (-) .....	-5	-5
1499 Net present value of assets related to direct loans .....		
1999 Total assets .....	5	5
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	5	5
4999 Total liabilities and net position .....	5	5

VOCATIONAL REHABILITATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 36-4112-0-3-702	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	3	3	3
0900 Total new obligations .....	3	3	3

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Financing authority:			
Borrowing authority, mandatory:			
1400 Authority to borrow (indefinite) .....	3	3	3
1421 Borrowing authority applied to repay debt .....	-2		
1440 Borrowing authority, mandatory (total) .....	1	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	3	3
1825 Spending authority from offsetting collections applied to repay debt .....	-1	-3	-3
1850 Spending auth from offsetting collections, mand (total) .....	2		
1900 Financing authority (total) .....	3	3	3
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Financing disbursements (gross) .....	-3	-3	-3

Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	3	3	3
Financing disbursements:			
4110 Financing disbursements, gross .....	3	3	3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Repayments and prepayments of principal .....	-3	-3	-3

Status of Direct Loans (in millions of dollars)

Identification code 36-4112-0-3-702	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....	3	3	3
1150 Total direct loan obligations .....	3	3	3
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1	2	2
1231 Disbursements: Direct loan disbursements .....	3	3	3
1251 Repayments: Repayments and prepayments .....	-2	-3	-3
1290 Outstanding, end of year .....	2	2	2

Balance Sheet (in millions of dollars)

Identification code 36-4112-0-3-702	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Investments in US securities:		
1104 Investments US Securities .....	1	1
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross .....	1	2
1999 Total assets .....	2	3
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	2	3
4999 Total liabilities and net position .....	2	3

Trust Funds

POST-VIETNAM ERA VETERANS EDUCATION ACCOUNT

Program and Financing (in millions of dollars)

Identification code 36-8133-0-7-702	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Participant disenrollments .....	1	1	1
0900 Total new obligations (object class 41.0) .....	1	1	1

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	64	64	64
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1850 Spending auth from offsetting collections, mand (total) .....	1	1	1
1900 Budget authority (total) .....	1	1	1
1930 Total budgetary resources available .....	65	65	65
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	64	64	64

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	1		

POST-VIETNAM ERA VETERANS EDUCATION ACCOUNT—Continued  
Program and Financing—Continued

Identification code 36-8133-0-7-702	2012 actual	2013 CR	2014 est.
4110 Outlays, gross (total) .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1	-1	-1

This account consists of voluntary contributions by eligible servicepersons and matching contributions provided by the Department of Defense. The fund provides educational assistance payments to participants who entered the service after December 31, 1976, and are pursuing training under chapter 32, title 38, U.S.C. Section 901 is a non-contributory program with educational assistance provided by the Department of Defense. Public Law 99-576, enacted October 28, 1986, closed the program permanently for new enrollments effective March 31, 1987. Public Law 106-419, enacted November 1, 2000, provides qualified participants in this program another opportunity (through October 31, 2001) to convert to the All-Volunteer Force Educational Assistance program (Montgomery GI Bill). The estimated activity in the fund follows:

CONTRIBUTIONS, PARTICIPANTS, DISENROLLMENTS, REFUNDS AND TRAINEES

	[dollars in millions]		
	2012 actual	2013 est.	2014 est.
Total budget authority .....	\$1	\$1	\$1
Servicepersons contributions .....	\$0	\$0	\$0
Transferred from Department of Defense (bonus) .....	\$0	\$0	\$0
Transferred from Department of Defense (matching) .....	\$0	\$0	\$0
Transferred from Department of Defense (section 901) .....	\$0	\$0	\$0
Total participants (end of year) .....	192,778	192,318	191,912
Number of disenrollments .....	446	396	346
Total refunds .....	\$1	\$1	\$1
Total trainees .....	72	68	65
Total trainee cost .....	\$1	\$1	\$1
Total trainee cost .....	\$1,092	\$1,092	\$1,092
Section 901 trainees .....	24	23	22

NATIONAL SERVICE LIFE INSURANCE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 36-8132-0-7-701	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	6,367	5,825	5,214
Receipts:			
0220 NSLI Fund, Premium and Other Receipts .....	71	65	55
0240 NSLI Fund, Interest .....	366	305	259
0299 Total receipts and collections .....	437	370	314
0400 Total: Balances and collections .....	6,804	6,195	5,528
Appropriations:			
0500 National Service Life Insurance Fund .....	-436	-370	-315
0501 National Service Life Insurance Fund .....	-543	-611	-613
0599 Total appropriations .....	-979	-981	-928
0799 Balance, end of year .....	5,825	5,214	4,600

Program and Financing (in millions of dollars)

Identification code 36-8132-0-7-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Death claims .....	696	714	680
0002 Disability claims .....	5	4	4
0003 Matured endowments .....	37	48	59
0004 Cash surrenders .....	27	28	28
0005 Dividends .....	125	100	76
0006 Interest paid on dividend credits and deposits .....	42	37	35
0007 Payment to general operating expenses .....	18	23	21
0091 Total operating expenses .....	950	954	903
0201 Capital investment: Policy loans .....	29	27	25

0799 Total direct obligations .....	979	981	928
0801 Death claims .....	130	108	93
0802 Disability claims .....	1	1	1
0803 Matured endowments .....	7	7	8
0804 Cash surrenders .....	5	4	4
0805 Dividends .....	23	15	10
0806 Interest paid on dividend credits and deposits .....	8	6	4
0807 Payment to general operating expenses .....	3	3	3
0899 Total reimbursable obligations .....	177	144	123
0900 Total new obligations .....	1,156	1,125	1,051

Budgetary Resources:

1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	436	370	315
1203 Appropriation (previously unavailable) .....	543	611	613
1260 Appropriations, mandatory (total) .....	979	981	928
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	178	144	123
1850 Spending auth from offsetting collections, mand (total) .....	178	144	123
1900 Budget authority (total) .....	1,157	1,125	1,051
1930 Total budgetary resources available .....	1,157	1,126	1,052
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1 .....	1,182	1,089	1,003
3010 Obligations incurred, unexpired accounts .....	1,156	1,125	1,051
3020 Outlays (gross) .....	-1,249	-1,211	-1,143
3050 Unpaid obligations, end of year .....	1,089	1,003	911
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,182	1,089	1,003
3200 Obligated balance, end of year .....	1,089	1,003	911

Budget authority and outlays, net:

4090 Mandatory:			
Budget authority, gross .....	1,157	1,125	1,051
Outlays, gross:			
4100 Outlays from new mandatory authority .....		514	438
4101 Outlays from mandatory balances .....	1,249	697	705
4110 Outlays, gross (total) .....	1,249	1,211	1,143
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-178	-144	-123
4180 Budget authority, net (total) .....	979	981	928
4190 Outlays, net (total) .....	1,071	1,067	1,020

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	7,541	6,912	6,215
5001 Total investments, EOY: Federal securities: Par value .....	6,912	6,215	5,509

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1940. It is for the World War II servicemen's and veterans' insurance program. Over 22 million policies were issued under this program. Activity of the fund reflects a declining claim workload. The trend in the number and amount of policies in force is shown as follows:

POLICIES AND INSURANCE IN FORCE

	2012 actual	2013 est.	2014 est.
Number of policies .....	586,450	511,660	440,940
Insurance in force (dollars in millions) .....	\$7,174	\$6,299	\$5,453

This fund is operated on a commercial basis to the extent possible. The income of the fund is derived from premium receipts, interest on investments, and payments which are made to the fund from the Veterans Insurance and Indemnities appropriation.

Assets of the fund, which are largely invested in special interest-bearing Treasury securities and in policy loans, are expected to decrease from an estimated \$6,507 million as of September 30, 2013 to \$5,761 million as of September 30, 2014. The actuarial

estimate of policy obligations as of September 30, 2014, totals \$5,497 million, leaving a balance of \$264 million for contingency reserves.

**Status of Funds** (in millions of dollars)

Identification code 36-8132-0-7-701	2012 actual	2013 CR	2014 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year	7,549	6,915	6,218
0199 Total balance, start of year	7,549	6,915	6,218
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Offsetting receipts (proprietary):</b>			
1220 NSLI Fund, Premium and Other Receipts	71	65	55
<b>Offsetting receipts (intragovernmental):</b>			
1240 NSLI Fund, Interest	366	305	259
<b>Offsetting collections:</b>			
1280 National Service Life Insurance Fund	178	144	123
1299 Income under present law	615	514	437
3299 Total cash income	615	514	437
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
4500 National Service Life Insurance Fund	-1,249	-1,211	-1,143
4599 Outgo under current law (-)	-1,249	-1,211	-1,143
6599 Total cash outgo (-)	-1,249	-1,211	-1,143
<b>Unexpended balance, end of year:</b>			
8700 Uninvested balance (net), end of year	3	3	3
8701 National Service Life Insurance Fund	6,912	6,215	5,509
8799 Total balance, end of year	6,915	6,218	5,512

**Object Classification** (in millions of dollars)

Identification code 36-8132-0-7-701	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
33.0 Investments and loans	29	27	25
42.0 Insurance claims and indemnities	766	794	771
43.0 Interest and dividends	184	160	132
99.0 Direct obligations	979	981	928
99.0 Reimbursable obligations	177	144	123
99.9 Total new obligations	1,156	1,125	1,051

**UNITED STATES GOVERNMENT LIFE INSURANCE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 36-8150-0-7-701	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	10	7	5
<b>Receipts:</b>			
0240 Interest and Profits on Investments in Public Debt Securities, USGLI	1		
0400 Total: Balances and collections	11	7	5
<b>Appropriations:</b>			
0500 United States Government Life Insurance Fund	-1		
0501 United States Government Life Insurance Fund	-3	-2	-2
0599 Total appropriations	-4	-2	-2
0799 Balance, end of year	7	5	3

**Program and Financing** (in millions of dollars)

Identification code 36-8150-0-7-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Death claims	2	2	1
0007 Other costs	1	1	1
0900 Total new obligations	3	3	2
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1		1	

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	1		
1203 Appropriation (previously unavailable)	3	2	2
1260 Appropriations, mandatory (total)	4	2	2
1930 Total budgetary resources available	4	3	2
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	1		

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	7	5	4
3010 Obligations incurred, unexpired accounts	3	3	2
3020 Outlays (gross)	-5	-4	-2
3050 Unpaid obligations, end of year	5	4	4
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	7	5	4
3200 Obligated balance, end of year	5	4	4

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross	4	2	2
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	1		
4101 Outlays from mandatory balances	4	4	2
4110 Outlays, gross (total)	5	4	2
4180 Budget authority, net (total)	4	2	2
4190 Outlays, net (total)	5	4	2

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	17	13	9
5001 Total investments, EOY: Federal securities: Par value	13	9	6

*Note.*—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1919 to receive premiums and pay claims on insurance issued under the provisions of the War Risk Insurance Act. The general decline in the activity of the fund is indicated in the following table:

**POLICIES AND INSURANCE IN FORCE**

	2012 actual	2013 est.	2014 est.
Number of policies	2,165	1,470	950
Insurance in force (dollars in millions)	\$6	\$4	\$2

The fund is operated on a commercial basis to the extent possible. The income of the fund is derived from interest on investments. Effective January 1, 1983, premiums were discontinued because reserves held in the fund were adequate to meet future liabilities of the program.

Assets of the fund, which are largely invested in interest-bearing securities and policy loans, are estimated to decrease from \$9.8 million as of September 30, 2013, to \$6.9 million as of September 30, 2014, as an increasing number of policies mature through death or disability. The actuarial evaluation of policy obligations as of September 30, 2014, totals \$6.5 million, leaving a balance of \$0.4 million for contingency reserves.

**Status of Funds** (in millions of dollars)

Identification code 36-8150-0-7-701	2012 actual	2013 CR	2014 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year	17	13	9
0199 Total balance, start of year	17	13	9
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Offsetting receipts (intragovernmental):</b>			
1240 Interest and Profits on Investments in Public Debt Securities, USGLI	1		
1299 Income under present law	1		
3299 Total cash income	1		
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
4500 United States Government Life Insurance Fund	-5	-4	-2

UNITED STATES GOVERNMENT LIFE INSURANCE FUND—Continued  
Status of Funds—Continued

Identification code 36-8150-0-7-701	2012 actual	2013 CR	2014 est.
4599 Outgo under current law (-)	-5	-4	-2
6599 Total cash outgo (-)	-5	-4	-2
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year			1
8701 United States Government Life Insurance Fund	13	9	6
8799 Total balance, end of year	13	9	7

Object Classification (in millions of dollars)

Identification code 36-8150-0-7-701	2012 actual	2013 CR	2014 est.
Direct obligations:			
42.0 Insurance claims and indemnities	2	2	1
43.0 Interest and dividends	1	1	1
99.9 Total new obligations	3	3	2

VETERANS SPECIAL LIFE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 36-8455-0-8-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Death claims	103	114	120
0802 Cash surrenders	5	6	5
0803 Dividends	54	49	43
0804 All other	26	25	24
0805 Payments to insurance account	6	8	8
0806 Capital investment	10	9	9
0900 Total new obligations	204	211	209
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,549	1,518	1,467
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	174	160	149
1801 Change in uncollected payments, Federal sources	-1		
1850 Spending auth from offsetting collections, mand (total)	173	160	149
1930 Total budgetary resources available	1,722	1,678	1,616
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,518	1,467	1,407
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	461	464	460
3010 Obligations incurred, unexpired accounts	204	211	209
3020 Outlays (gross)	-201	-215	-217
3050 Unpaid obligations, end of year	464	460	452
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-29	-28	-28
3070 Change in uncollected pymts, Fed sources, unexpired	1		
3090 Uncollected pymts, Fed sources, end of year	-28	-28	-28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	432	436	432
3200 Obligated balance, end of year	436	432	424
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	173	160	149
Outlays, gross:			
4100 Outlays from new mandatory authority	173	160	149
4101 Outlays from mandatory balances	28	55	68
4110 Outlays, gross (total)	201	215	217
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Baseline Program [Fund Earnings]	-115	-105	-98
4123 Baseline Program [Repayment of loans]	-15	-14	-13
4123 Baseline Program [Fund Premiums]	-40	-38	-35
4123 Baseline Program [Interest on Loans]	-4	-3	-3

4130 Offsets against gross budget authority and outlays (total)	-174	-160	-149
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1		
4170 Outlays, net (mandatory)	27	55	68
4190 Outlays, net (total)	27	55	68

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	1,981	1,953	1,898
5001 Total investments, EOY: Federal securities: Par value	1,953	1,898	1,831

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund finances the payment of claims on life insurance policies issued before January 3, 1957, to veterans who served in the Armed Forces subsequent to April 1, 1951. No new policies can be issued. Policyholders may elect to purchase total disability income coverage with the payment of additional premiums.

Benefit program:

Death claims.—Represents payments to designated beneficiaries.

Cash surrenders.—A policyholder may terminate his or her insurance by cashing in the policy for its cash value.

Dividends.—Policyholders participate in the distribution of annual dividends.

All other.—Classified in this category are payments to policyholders who: (a) hold endowment policies which have matured; (b) have purchased total disability income coverage and subsequently become disabled; and (c) are paid interest on dividend credits and deposits.

The following table reflects the decrease in the number of policies and the amounts of insurance in force:

POLICIES AND INSURANCE IN FORCE

	2012 actual	2013 est.	2013 est.
Number of policies	149,947	141,018	131,839
Insurance in force (dollars in millions)	\$2,055	\$1,958	\$1,853

Financing.—Payments from this fund are financed primarily from premium receipts and interest on investments.

Object Classification (in millions of dollars)

Identification code 36-8455-0-8-701	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
33.0 Investments and loans	10	9	9
42.0 Insurance claims and indemnities	117	131	136
43.0 Interest and dividends	77	71	64
99.9 Total new obligations	204	211	209

DEPARTMENTAL ADMINISTRATION

Federal Funds

CONSTRUCTION, MAJOR PROJECTS

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, or for any of the purposes set forth in sections 316, 2404, 2406, and chapter 81 of title 38, United States Code, not otherwise provided for, including planning, architectural and engineering services, construction management services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, where the estimated cost of a project is more than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code, or where funds for a project were made available in a previous major project appropriation, \$342,130,000, to remain available until expended: Provided, That except for advance planning activities, including needs assessments which may or may not lead to capital investments, and other capital asset manage-

ment related activities, including portfolio development and management activities, and investment strategy studies funded through the advance planning fund and the planning and design activities funded through the design fund, including needs assessments which may or may not lead to capital investments, and salaries and associated costs of the resident engineers who oversee those capital investments funded through this account, and funds provided for the purchase of land for the National Cemetery Administration through the land acquisition line item, none of the funds made available under this heading shall be used for any project which has not been approved by the Congress in the budgetary process: Provided further, That funds made available under this heading for fiscal year 2014, for each approved project shall be obligated: (1) by the awarding of a construction documents contract by September 30, 2014; and (2) by the awarding of a construction contract by September 30, 2015: Provided further, That the Secretary of Veterans Affairs shall promptly submit to the Committees on Appropriations of both Houses of Congress a written report on any approved major construction project for which obligations are not incurred within the time limitations established above.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 36–0110–0–1–703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Medical programs .....	1,055	1,149	665
0002 National cemeteries .....	42	57	149
0005 Staff offices .....	2	5	4
0006 Veterans Benefit Administration .....			1
0900 Total new obligations .....	1,099	1,211	819
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,034	2,525	2,115
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	590	801	342
1160 Appropriation, discretionary (total) .....	590	801	342
1900 Budget authority (total) .....	590	801	342
1930 Total budgetary resources available .....	3,624	3,326	2,457
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,525	2,115	1,638
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,218	1,579	1,863
3010 Obligations incurred, unexpired accounts .....	1,099	1,211	819
3020 Outlays (gross) .....	–738	–927	–832
3050 Unpaid obligations, end of year .....	1,579	1,863	1,850
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,218	1,579	1,863
3200 Obligated balance, end of year .....	1,579	1,863	1,850
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	590	801	342
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	5	2
4011 Outlays from discretionary balances .....	717	922	830
4020 Outlays, gross (total) .....	738	927	832
4180 Budget authority, net (total) .....	590	801	342
4190 Outlays, net (total) .....	738	927	832

The Construction, Major Projects appropriation funds construction projects costing more than \$10 million. Funding is included for the completion of a mental health building at Seattle, WA. The request also includes funding for 3 new national cemeteries in the areas of Central East Florida; Tallahassee, FL; and Omaha, NE. Additional funds are provided to fund salaries and associated costs of resident engineers who oversee the Department's capital

investments and to support advance planning and design activities.

**Object Classification** (in millions of dollars)

Identification code 36–0110–0–1–703	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	2	6	6
25.3 Other goods and services from Federal sources .....	15	24	24
26.0 Supplies and materials .....	1	3	3
31.0 Equipment .....	4	6	6
32.0 Land and structures .....	1,077	1,172	780
99.9 Total new obligations .....	1,099	1,211	819

**CONSTRUCTION, MINOR PROJECTS**

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, including planning and assessments of needs which may lead to capital investments, architectural and engineering services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, or for any of the purposes set forth in sections 316, 2404, 2406, and chapter 81 of title 38, United States Code, not otherwise provided for, where the estimated cost of a project is equal to or less than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code, \$714,870,000, to remain available until expended, along with unobligated balances of previous "Construction, minor projects" appropriations which are hereby made available for any project where the estimated cost is equal to or less than the amount set forth in such section: Provided, That funds made available under this heading shall be for: (1) repairs to any of the nonmedical facilities under the jurisdiction or for the use of the Department which are necessary because of loss or damage caused by any natural disaster or catastrophe; and (2) temporary measures necessary to prevent or to minimize further loss by such causes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 36–0111–0–1–703	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Medical programs .....	435	506	506
0002 National cemeteries .....	48	71	97
0003 Regional offices .....	12	35	52
0004 Staff offices .....	13	28	38
0900 Total new obligations .....	508	640	693
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	277	251	96
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	482	485	715
1160 Appropriation, discretionary (total) .....	482	485	715
1900 Budget authority (total) .....	482	485	715
1930 Total budgetary resources available .....	759	736	811
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	251	96	118
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	924	882	1,106
3010 Obligations incurred, unexpired accounts .....	508	640	693
3020 Outlays (gross) .....	–550	–416	–428
3050 Unpaid obligations, end of year .....	882	1,106	1,371
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	924	882	1,106

CONSTRUCTION, MINOR PROJECTS—Continued  
Program and Financing—Continued

Identification code 36-0111-0-1-703		2012 actual	2013 CR	2014 est.
3200	Obligated balance, end of year .....	882	1,106	1,371
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	482	485	715
Outlays, gross:				
4010	Outlays from new discretionary authority .....	23	88	129
4011	Outlays from discretionary balances .....	527	328	299
4020	Outlays, gross (total) .....	550	416	428
4180	Budget authority, net (total) .....	482	485	715
4190	Outlays, net (total) .....	550	416	428

The Construction, Minor Projects appropriation, which funds construction projects costing equal to or less than \$10 million, is used to improve the infrastructure of medical facilities and other Department-owned facilities to reduce the risk to patient life and safety, correct code deficiencies, and improve national cemeteries and regional and staff offices.

Object Classification (in millions of dollars)

Identification code 36-0111-0-1-703		2012 actual	2013 CR	2014 est.
Direct obligations:				
25.2	Other services from non-Federal sources .....	16	37	37
26.0	Supplies and materials .....		5	5
31.0	Equipment .....		3	3
32.0	Land and structures .....	492	595	648
99.9	Total new obligations .....	508	640	693

GRANTS FOR CONSTRUCTION OF STATE EXTENDED CARE FACILITIES

For grants to assist States to acquire or construct State nursing home and domiciliary facilities and to remodel, modify, or alter existing hospital, nursing home, and domiciliary facilities in State homes, for furnishing care to veterans as authorized by sections 8131 through 8137 of title 38, United States Code, \$82,650,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 36-0181-0-1-703		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Grants for construction of state extended care facilities .....	67	188	103
0900	Total new obligations (object class 41.0) .....	67	188	103
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	114	132	30
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	85	86	83
1160	Appropriation, discretionary (total) .....	85	86	83
1930	Total budgetary resources available .....	199	218	113
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	132	30	10
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	313	179	262
3010	Obligations incurred, unexpired accounts .....	67	188	103
3020	Outlays (gross) .....	-201	-105	-93
3050	Unpaid obligations, end of year .....	179	262	272
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	313	179	262

3200	Obligated balance, end of year .....	179	262	272
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	85	86	83
Outlays, gross:				
4010	Outlays from new discretionary authority .....		2	2
4011	Outlays from discretionary balances .....	201	103	91
4020	Outlays, gross (total) .....	201	105	93
4180	Budget authority, net (total) .....	85	86	83
4190	Outlays, net (total) .....	201	105	93

GRANTS FOR CONSTRUCTION OF VETERANS CEMETERIES

For grants to assist States and tribal organizations in establishing, expanding, or improving veterans cemeteries as authorized by section 2408 of title 38, United States Code, \$44,650,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 36-0183-0-1-705		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Grants for construction of state veterans cemeteries .....	47	51	45
0900	Total new obligations (object class 41.0) .....	47	51	45
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	5	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	46	46	45
1160	Appropriation, discretionary (total) .....	46	46	45
1930	Total budgetary resources available .....	52	51	45
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	68	87	105
3010	Obligations incurred, unexpired accounts .....	47	51	45
3020	Outlays (gross) .....	-28	-33	-32
3050	Unpaid obligations, end of year .....	87	105	118
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	68	87	105
3200	Obligated balance, end of year .....	87	105	118
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	46	46	45
Outlays, gross:				
4010	Outlays from new discretionary authority .....		25	24
4011	Outlays from discretionary balances .....	28	8	8
4020	Outlays, gross (total) .....	28	33	32
4180	Budget authority, net (total) .....	46	46	45
4190	Outlays, net (total) .....	28	33	32

VETERANS EMPLOYMENT AND INFRASTRUCTURE ENHANCEMENT TRANSFER FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 36-0157-4-1-702	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Obligations by program activity .....			75
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			1,000
1260 Appropriations, mandatory (total) .....			1,000
1930 Total budgetary resources available .....			1,000
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			925
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			75
3020 Outlays (gross) .....			-50
3050 Unpaid obligations, end of year .....			25
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			25
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1,000
Outlays, gross:			
4100 Outlays from new mandatory authority .....			50
4180 Budget authority, net (total) .....			1,000
4190 Outlays, net (total) .....			50

This legislative proposal provides funding to the Department of Veterans Affairs to coordinate an interagency process and transfer up to \$1 billion in mandatory funding over five years to establish the Veterans Job Corps. Funding will enable veterans to leverage skills developed in the military in jobs on the country's public lands and in its communities, ranging from conservation and infrastructure projects to law enforcement and first responder jobs, such as park rangers, police officers, and firefighters.

Object Classification (in millions of dollars)

Identification code 36-0157-4-1-702	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			1
Allocation Account - direct:			
11.1 Personnel compensation: Full-time permanent .....			4
12.1 Civilian personnel benefits .....			1
25.2 Other services from non-Federal sources .....			59
31.0 Equipment .....			10
99.0 Allocation account - direct .....			74
99.9 Total new obligations .....			75

Employment Summary

Identification code 36-0157-4-1-702	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			5

GENERAL ADMINISTRATION

(INCLUDING TRANSFER OF FUNDS)

For necessary operating expenses of the Department of Veterans Affairs, not otherwise provided for, including administrative expenses in support of Department-Wide capital planning, management and policy activities, uniforms, or allowances therefor; not to exceed \$25,000 for official reception and representation expenses; hire of passenger motor vehicles; and reim-

bursement of the General Services Administration for security guard services, \$403,023,000, of which not to exceed \$20,151,150 shall remain available until September 30, 2015: Provided, That funds provided under this heading may be transferred to "General operating expenses, Veterans Benefits Administration".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 36-0142-0-1-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0014 General administration .....	416	435	403
0806 General administration, reimbursable program .....	405	424	523
0900 Total new obligations .....	821	859	926
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	16	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	417	419	403
1160 Appropriation, discretionary (total) .....	417	419	403
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	426	424	523
1750 Spending auth from offsetting collections, disc (total) .....	426	424	523
1900 Budget authority (total) .....	843	843	926
1930 Total budgetary resources available .....	844	859	926
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-7		
1941 Unexpired unobligated balance, end of year .....	16		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	112	137
3010 Obligations incurred, unexpired accounts .....	821	859	926
3020 Outlays (gross) .....	-710	-834	-920
3050 Unpaid obligations, end of year .....	112	137	143
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	112	137
3200 Obligated balance, end of year .....	112	137	143
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	843	843	926
Outlays, gross:			
4010 Outlays from new discretionary authority .....	710	761	846
4011 Outlays from discretionary balances .....		73	74
4020 Outlays, gross (total) .....	710	834	920
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-426	-424	-523
4180 Budget authority, net (total) .....	417	419	403
4190 Outlays, net (total) .....	284	410	397

Identification code 36-0142-0-1-705	2012 actual	2013 CR	2014 est.
3000 Unpaid obligations, brought forward, Oct 1 .....	1	112	137
3010 Obligations incurred, unexpired accounts .....	821	859	926
3020 Outlays (gross) .....	-710	-834	-920
3050 Unpaid obligations, end of year .....	112	137	143
3100 Obligated balance, start of year .....	1	112	137
3200 Obligated balance, end of year .....	112	137	143

Identification code 36-0142-0-1-705	2012 actual	2013 CR	2014 est.
4000 Budget authority, gross .....	843	843	926
Outlays, gross:			
4010 Outlays from new discretionary authority .....	710	761	846
4011 Outlays from discretionary balances .....		73	74
4020 Outlays, gross (total) .....	710	834	920
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-426	-424	-523
4180 Budget authority, net (total) .....	417	419	403
4190 Outlays, net (total) .....	284	410	397

General Administration.— Includes departmental executive direction, departmental support offices, the General Counsel, and the Board of Veterans' Appeals. The Pershing Hall Revolving Fund was created to operate and manage Pershing Hall, an asset of the United States, located in Paris, France. All operating expenses for Pershing Hall are borne by the revolving fund and all receipts generated by the operation of Pershing Hall are deposited in the revolving fund.

Object Classification (in millions of dollars)

Identification code 36-0142-0-1-705	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	215	238	242
11.5 Other personnel compensation .....	5	7	7
11.9 Total personnel compensation .....	220	245	249

GENERAL ADMINISTRATION—Continued  
Object Classification—Continued

Identification code 36-0142-0-1-705		2012 actual	2013 CR	2014 est.
12.1	Civilian personnel benefits .....	64	68	69
13.0	Benefits for former personnel .....		1	1
21.0	Travel and transportation of persons .....	6	7	6
22.0	Transportation of things .....	1	1	1
23.1	Rent .....	27	30	27
23.2	Rental payments to others .....	2	1	1
23.3	Communications, utilities, and miscellaneous charges .....	2	4	4
24.0	Printing and reproduction .....	1	2	2
25.2	Other services from non-Federal sources .....	80	62	29
26.0	Supplies and materials .....	2	3	3
31.0	Equipment .....	2	1	1
41.0	Grants, subsidies, and contributions .....	9	10	10
99.0	Direct obligations .....	416	435	403
99.0	Reimbursable obligations .....	405	424	523
99.9	Total new obligations .....	821	859	926

Employment Summary

Identification code 36-0142-0-1-705		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	2,108	2,253	2,267
2001	Reimbursable civilian full-time equivalent employment .....	864	975	1,067

GENERAL OPERATING EXPENSES, VETERANS BENEFITS ADMINISTRATION

For necessary operating expenses of the Veterans Benefits Administration, not otherwise provided for, including hire of passenger motor vehicles, reimbursement of the General Services Administration for security guard services, and reimbursement of the Department of Defense for the cost of overseas employee mail, \$2,455,490,000: Provided, That expenses for services and assistance authorized under paragraphs (1), (2), (5), and (11) of section 3104(a) of title 38, United States Code, that the Secretary of Veterans Affairs determines are necessary to enable entitled veterans: (1) to the maximum extent feasible, to become employable and to obtain and maintain suitable employment; or (2) to achieve maximum independence in daily living, shall be charged to this account: Provided further, That of the funds made available under this heading, not to exceed \$123,000,000 shall remain available until September 30, 2015: Provided further, That from the funds made available under this heading, the Veterans Benefits Administration may purchase (on a one-for-one replacement basis only) up to two passenger motor vehicles for use in operations of that Administration in Manila, Philippines.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 36-0151-0-1-705		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0010	Compensation and pensions .....	1,672	1,776	1,943
0011	Education .....	196	198	202
0012	Vocational rehabilitation and counseling .....	168	210	309
0013	Insurance .....	1	1	1
0014	General Administration .....	16		
0799	Total direct obligations .....	2,053	2,185	2,455
0801	Compensation and pensions .....	217	261	242
0802	Education .....	1	1	1
0804	Insurance .....	30	34	34
0805	Housing .....	119	132	123
0899	Total reimbursable obligations .....	367	428	400
0900	Total new obligations .....	2,420	2,613	2,855
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	12		

1012	Unobligated balance transfers between expired and unexpired accounts .....	32	21	
1050	Unobligated balance (total) .....	44	21	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,019	2,164	2,455
1160	Appropriation, discretionary (total) .....	2,019	2,164	2,455
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	368	428	400
1750	Spending auth from offsetting collections, disc (total) .....	368	428	400
1900	Budget authority (total) .....	2,387	2,592	2,855
1930	Total budgetary resources available .....	2,431	2,613	2,855
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-11		

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	543	403	478
3010	Obligations incurred, unexpired accounts .....	2,420	2,613	2,855
3011	Obligations incurred, expired accounts .....	-39		
3020	Outlays (gross) .....	-2,512	-2,538	-2,698
3041	Recoveries of prior year unpaid obligations, expired .....	-9		
3050	Unpaid obligations, end of year .....	403	478	635
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	542	403	478
3200	Obligated balance, end of year .....	403	478	635

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross .....	2,387	2,592	2,855
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,207	2,157	2,359
4011	Outlays from discretionary balances .....	305	381	339
4020	Outlays, gross (total) .....	2,512	2,538	2,698
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-368	-428	-400
4180	Budget authority, net (total) .....	2,019	2,164	2,455
4190	Outlays, net (total) .....	2,144	2,110	2,298

General Operating Expenses, Veterans Benefits Administration.—The total cost of administering veterans insurance programs is funded through direct appropriations to this account and through reimbursements from the insurance trust fund. This appropriation provides for the Department's top management direction and administrative support, including fiscal, personnel, and legal services, as well as for the administration of veteran benefits.

Note.—Reflects FTE treated as reimbursements in all years and the effects of Credit Reform, per P.L. 101-508.

Object Classification (in millions of dollars)

Identification code 36-0151-0-1-705		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	1,188	1,229	1,260
11.5	Other personnel compensation .....	72	74	76
11.9	Total personnel compensation .....	1,260	1,303	1,336
12.1	Civilian personnel benefits .....	393	408	423
13.0	Benefits for former personnel .....	2	2	2
21.0	Travel and transportation of persons .....	29	29	32
22.0	Transportation of things .....	2	2	2
23.1	Rent .....	117	120	112
23.2	Rental payments to others .....	19	22	28
23.3	Communications, utilities, and miscellaneous charges .....	22	30	37
24.0	Printing and reproduction .....	2	4	3
25.2	Other services from non-Federal sources .....	187	236	453
26.0	Supplies and materials .....	11	9	10
31.0	Equipment .....	9	19	16
42.0	Insurance claims and indemnities .....		1	1
99.0	Direct obligations .....	2,053	2,185	2,455
99.0	Reimbursable obligations .....	367	428	400

99.9	Total new obligations .....	2,420	2,613	2,855
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**Employment Summary**

Identification code 36-0151-0-1-705	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	18,964	19,336	19,437
2001 Reimbursable civilian full-time equivalent employment .....	1,361	1,421	1,414

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General, to include information technology, in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), \$116,411,000, of which \$6,000,000 shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 36-0170-0-1-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Direct program .....	112	113	116
0192 Total direct program .....	112	113	116
0801 Reimbursable program .....	4	5	5
0900 Total new obligations .....	116	118	121

**Budgetary Resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	112	113	116
1160 Appropriation, discretionary (total) .....	112	113	116
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	5	5
1750 Spending auth from offsetting collections, disc (total) .....	4	5	5
1900 Budget authority (total) .....	116	118	121
1930 Total budgetary resources available .....	116	118	121

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	28	26
3010 Obligations incurred, unexpired accounts .....	116	118	121
3020 Outlays (gross) .....	-116	-120	-118
3050 Unpaid obligations, end of year .....	28	26	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	28	26
3200 Obligated balance, end of year .....	28	26	29

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	116	118	121
Outlays, gross:			
4010 Outlays from new discretionary authority .....	97	96	96
4011 Outlays from discretionary balances .....	19	24	22
4020 Outlays, gross (total) .....	116	120	118
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-5	-5
4180 Budget authority, net (total) .....	112	113	116
4190 Outlays, net (total) .....	112	115	113

This appropriation provides for carrying out the independent oversight responsibilities of the *Inspector General Act of 1978*. This oversight includes VA-wide audit, investigation, health care inspection, and management support functions to identify and report weaknesses and deficiencies that create conditions for actual or potential fraud and other criminal activity, mismanagement, and waste in VA programs and operations. The audit function plans and conducts internal programmatic and financial

audits and evaluations of all facets of VA operations. The health care inspection function performs legislatively mandated medical care quality assurance reviews and oversight of VA health care programs. The investigative function performs criminal and administrative investigations of improper and illegal activities involving VA operations, personnel, beneficiaries, and other parties.

**Object Classification (in millions of dollars)**

Identification code 36-0170-0-1-705	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	63	63	65
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	68	68	70
12.1 Civilian personnel benefits .....	22	22	22
21.0 Employee Travel .....	5	5	5
23.1 Rental payments to GSA .....	5	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	9	12	12
31.0 Equipment .....	2	1	2
99.0 Direct obligations .....	112	113	116
99.0 Reimbursable obligations .....	4	5	5
99.9 Total new obligations .....	116	118	121

**Employment Summary**

Identification code 36-0170-0-1-705	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	612	610	610
2001 Reimbursable civilian full-time equivalent employment .....	25	29	29

**INFORMATION TECHNOLOGY SYSTEMS**

*For necessary expenses for information technology systems and telecommunications support, including developmental information systems and operational information systems; for pay and associated costs; and for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including contractual costs associated with operations authorized by section 3109 of title 5, United States Code, \$3,683,344,000, plus reimbursements: Provided, That \$1,026,400,000 shall be for pay and associated costs, of which not to exceed five percent of this amount shall remain available until September 30, 2015: Provided further, That \$2,161,653,000 shall be for operations and maintenance, of which not to exceed ten percent of this amount shall remain available until September 30, 2015: Provided further, That \$495,291,000 shall be for information technology systems development, modernization, and enhancement, and shall remain available until September 30, 2015: Provided further, That amounts made available for salaries and expenses, operations and maintenance, and information technology systems development, modernization, and enhancement may be transferred among the three subaccounts after the Secretary of Veterans Affairs submits notice thereof to the Committees on Appropriations of both Houses of Congress.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing (in millions of dollars)**

Identification code 36-0167-0-1-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Development .....	590	547	495
0002 Operations and maintenance .....	1,632	1,638	2,162
0003 Administrative and salaries .....	955	979	1,026
0799 Total direct obligations .....	3,177	3,164	3,683
0801 Development .....	28	20	130
0802 Operations and maintenance .....	30	78	44

INFORMATION TECHNOLOGY SYSTEMS—Continued  
Program and Financing—Continued

Identification code 36-0167-0-1-705	2012 actual	2013 CR	2014 est.
0803 Administrative and salaries .....	14	12	13
0899 Total reimbursable obligations .....	72	110	187
0900 Total new obligations .....	3,249	3,274	3,870
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	112	33	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,111	3,131	3,683
1120 Appropriations transferred to other accts [36-0169] .....	-7		
1160 Appropriation, discretionary (total) .....	3,104	3,131	3,683
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	72	110	187
1701 Change in uncollected payments, Federal sources .....	-5		
1750 Spending auth from offsetting collections, disc (total) .....	67	110	187
1900 Budget authority (total) .....	3,171	3,241	3,870
1930 Total budgetary resources available .....	3,283	3,274	3,870
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	33		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,791	1,634	1,906
3010 Obligations incurred, unexpired accounts .....	3,249	3,274	3,870
3011 Obligations incurred, expired accounts .....	-68		
3020 Outlays (gross) .....	-3,338	-3,002	-3,689
3050 Unpaid obligations, end of year .....	1,634	1,906	2,087
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5		
3070 Change in uncollected pymts, Fed sources, unexpired .....	5		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,786	1,634	1,906
3200 Obligated balance, end of year .....	1,634	1,906	2,087
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,171	3,241	3,870
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,879	1,920	2,351
4011 Outlays from discretionary balances .....	1,459	1,082	1,338
4020 Outlays, gross (total) .....	3,338	3,002	3,689
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-72	-110	-187
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	5		
4070 Budget authority, net (discretionary) .....	3,104	3,131	3,683
4080 Outlays, net (discretionary) .....	3,266	2,892	3,502
4180 Budget authority, net (total) .....	3,104	3,131	3,683
4190 Outlays, net (total) .....	3,266	2,892	3,502

The Information Technology (IT) Systems appropriation funds IT services such as systems development and performance, operations and maintenance, information protection, and customer support. This appropriation enables the effective and efficient delivery of services to the nation's largest healthcare network as well as the veterans benefits and corporate business lines within the Department of Veterans Affairs (VA).

*Development.*—The Office of Information & Technology invests in projects designed to improve the delivery of VA services and benefits for veterans and their families. The Veterans Benefits Management System's development continues to progress and has seen successes in the early phase deliverables to date. This account also supports improvements to VA's financial and management systems as well as inter-agency efforts such as the development of the Virtual Lifetime Electronic Record and the In-

tegrated Electronic Health Record with the Department of Defense.

*Operations and Maintenance.*—The Office of Information & Technology purchases, maintains, manages, and supports all the computer, phone, telecommunication, and data systems equipment for all VA facilities.

Object Classification (in millions of dollars)

Identification code 36-0167-0-1-705	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	621	640	662
12.1 Civilian personnel benefits .....	180	187	194
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	13	12	15
23.3 Communications, utilities, and miscellaneous charges .....	577	403	403
25.2 Other services from non-Federal sources .....	1,285	1,581	2,068
25.3 Other goods and services from Federal sources .....	1	1	1
26.0 Supplies and materials .....	7	10	10
31.0 Equipment .....	486	327	327
32.0 Land and structures .....	6	2	2
99.0 Direct obligations .....	3,177	3,164	3,683
99.0 Reimbursable obligations .....	72	110	187
99.9 Total new obligations .....	3,249	3,274	3,870

Employment Summary

Identification code 36-0167-0-1-705	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	7,210	7,435	7,355
2001 Reimbursable civilian full-time equivalent employment .....	101	101	104

NATIONAL CEMETERY ADMINISTRATION

*For necessary expenses of the National Cemetery Administration for operations and maintenance, not otherwise provided for, including uniforms or allowances therefor; cemeterial expenses as authorized by law; purchase of one passenger motor vehicle for use in cemeterial operations; hire of passenger motor vehicles; and repair, alteration or improvement of facilities under the jurisdiction of the National Cemetery Administration, \$250,000,000, of which not to exceed \$25,000,000 shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 36-0129-0-1-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0201 Administrative expenses .....	253	256	250
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1012 Unobligated balance transfers between expired and unexpired accounts .....	6		
1050 Unobligated balance (total) .....	7	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	251	255	250
1160 Appropriation, discretionary (total) .....	251	255	250
1930 Total budgetary resources available .....	258	256	250
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	111	108	116
3010 Obligations incurred, unexpired accounts .....	253	256	250

3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-255	-248	-248
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	108	116	118
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	111	108	116
3200	Obligated balance, end of year .....	108	116	118
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	251	255	250
Outlays, gross:				
4010	Outlays from new discretionary authority .....	178	199	193
4011	Outlays from discretionary balances .....	77	49	55
4020	Outlays, gross (total) .....	255	248	248
4180	Budget authority, net (total) .....	251	255	250
4190	Outlays, net (total) .....	255	248	248

The mission of the National Cemetery Administration is to honor veterans with final resting places in national shrines and with lasting tributes that commemorate their service to our Nation. The National Cemetery Administration's vision is to serve all veterans and their families with the utmost dignity, respect, and compassion. Every national cemetery will be a place that inspires visitors to understand and appreciate the service and sacrifice of our Nation's veterans. There are six related programs managed by the National Cemetery Administration including: (1) burying eligible veterans and their family members in national cemeteries and maintaining the graves and their environs as national shrines; (2) administering grants to States and Tribal organizations in establishing, expanding, improving, or operating veterans cemeteries; (3) providing headstones and markers for the graves of eligible veterans; (4) providing presidential memorial certificates to family and friends of deceased veterans, recognizing the veterans' contribution and service to the Nation; (5) providing graveliners or partial reimbursement for a privately purchased outer burial receptacle for each new grave in open national cemeteries administered by the National Cemetery Administration; and (6) recording First Notice of Veteran Deaths into VA electronic files to ensure timely termination of benefits and next-of-kin notification of possible entitlement to survivor benefits.

The National Cemetery Administration also reflects budget information for the National Cemetery Gift Fund and the National Cemetery Administration Facilities Operation Fund. Through the Gift Fund, the Secretary is authorized to accept gifts and bequests which are made for the purpose of beautifying national cemeteries or are determined to be beneficial to such cemeteries, or are made for the purpose of the operation, maintenance, or improvement of the National Memorial Cemetery of Arizona. Through the Facilities Operation Fund, the Secretary is authorized to lease any undeveloped land and unused or underutilized buildings of the National Cemetery Administration, or parts or parcels thereof, for a term not to exceed 10 years. Proceeds from such leases are deposited in the Facilities Operation Fund, and are available to cover costs incurred by the National Cemetery Administration in the operation and maintenance of property of the Administration.

*Note.*—Total obligations reported include the net of recoveries of prior year paid and unpaid obligations while VA is in the process of modifying its financial system to record recoveries consistent with OMB Circular No. A-11.

**Object Classification** (in millions of dollars)

Identification code 36-0129-0-1-705	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	93	98	101

11.3	Other than full-time permanent .....	4	4	4
11.9	Total personnel compensation .....	97	102	105
12.1	Civilian personnel benefits .....	32	34	35
21.0	Travel and transportation of persons .....	3	3	3
22.0	Transportation of things .....	2	2	2
23.1	Rent .....	3	2	2
23.3	Communications, utilities, and miscellaneous charges .....	7	7	7
24.0	Printing and reproduction .....	1	2	2
25.2	Other services from non-Federal sources .....	89	85	74
26.0	Supplies and materials .....	9	10	10
31.0	Equipment .....	8	6	7
32.0	Land and structures .....	2	3	3
99.9	Total new obligations .....	253	256	250

**Employment Summary**

Identification code 36-0129-0-1-705	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,652	1,700	1,719

**SUPPLY FUND**

**Program and Financing** (in millions of dollars)

Identification code 36-4537-0-4-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program-COGS-Merchandizing .....	883	879	883
0802 Reimbursable program-Other-Operations .....	234	387	407
0803 Reimbursable program-COGS-Printing and publications .....	10	30	50
0804 Reimbursable program-Other .....		200	210
0805 Reimbursable program-Equipment-Procurement services and distribution .....		494	550
0900 Total new obligations .....	1,127	1,990	2,100
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	653	822	822
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,077	1,990	2,100
1801 Change in uncollected payments, Federal sources .....	219		
1850 Spending auth from offsetting collections, mand (total) .....	1,296	1,990	2,100
1930 Total budgetary resources available .....	1,949	2,812	2,922
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	822	822	822
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	931	916	1,706
3010 Obligations incurred, unexpired accounts .....	1,127	1,990	2,100
3020 Outlays (gross) .....	-1,142	-1,200	-2,100
3050 Unpaid obligations, end of year .....	916	1,706	1,706
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,210	-1,429	-1,429
3070 Change in uncollected pymts, Fed sources, unexpired .....	-219		
3090 Uncollected pymts, Fed sources, end of year .....	-1,429	-1,429	-1,429
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-279	-513	277
3200 Obligated balance, end of year .....	-513	277	277
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,296	1,990	2,100
Outlays, gross:			
4101 Outlays from mandatory balances .....	1,142	1,200	2,100
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-925	-1,990	-2,100
4123 Non-Federal sources .....	-152		
4130 Offsets against gross budget authority and outlays (total) .....	-1,077	-1,990	-2,100
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-219		
4170 Outlays, net (mandatory) .....	65	-790	
4190 Outlays, net (total) .....	65	-790	

SUPPLY FUND—Continued

Under the provisions of 38 U.S.C. 8121, the Supply Fund is responsible for the operation and maintenance of a supply system for VA. In this capacity, it provides policy and oversight to VA's acquisition and logistics programs, and provides best value acquisition of goods and services through its National Acquisition Center, Denver Acquisition and Logistics Center, Service and Distribution Center, Technology Acquisition Center and Strategic Acquisition Center. Operating as an intra-governmental revolving fund without fiscal year limitations, the Supply Fund is financed by revenue from fees on acquisitions of supplies, equipment, and services for both VA and other Government agency (OGA) customers.

Object Classification (in millions of dollars)

Identification code 36-4537-0-4-705	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	79	92	109
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	80	93	110
12.1 Civilian personnel benefits .....	23	31	31
21.0 Travel and transportation of persons .....	9	25	12
23.1 Rental payments to GSA .....	5	4	13
23.3 Communications, utilities, and miscellaneous charges .....	7	7	4
24.0 Printing and reproduction .....	10	27	50
25.2 Other services from non-Federal sources .....	241	476	600
26.0 Supplies and materials .....	484	504	730
31.0 Equipment .....	268	823	550
99.9 Total new obligations .....	1,127	1,990	2,100

Employment Summary

Identification code 36-4537-0-4-705	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	896	1,177	1,341

FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 36-4539-0-4-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	445	546	539
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	103	112	112
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	424	546	539
1701 Change in uncollected payments, Federal sources .....	30		
1750 Spending auth from offsetting collections, disc (total) .....	454	546	539
1930 Total budgetary resources available .....	557	658	651
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	112	112	112
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	158	166	166
3010 Obligations incurred, unexpired accounts .....	445	546	539
3020 Outlays (gross) .....	-437	-546	-541
3050 Unpaid obligations, end of year .....	166	166	164
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-88	-118	-118
3070 Change in uncollected pymts, Fed sources, unexpired .....	-30		
3090 Uncollected pymts, Fed sources, end of year .....	-118	-118	-118
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	70	48	48
3200 Obligated balance, end of year .....	48	48	46

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	454	546	539
Outlays, gross:			
4010 Outlays from new discretionary authority .....	176	410	404
4011 Outlays from discretionary balances .....	261	136	137
4020 Outlays, gross (total) .....	437	546	541
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-424	-546	-539
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-30		
4080 Outlays, net (discretionary) .....	13		2
4190 Outlays, net (total) .....	13		2

The VA Franchise Fund was established under the authority of the Government Management Reform Act of 1994 and the VA and Housing and Urban Development and Independent Agencies Act of 1997. VA was selected by the Office of Management and Budget (OMB) in 1996 as one of the six executive branch agencies to establish a franchise fund pilot program. Created as a revolving fund, the VA Franchise Fund began providing common administrative support services to the VA and other government agencies in 1997 on a fee-for-service basis. In 2006, under the Military Quality of Life and Veterans Affairs Appropriations Act, Public Law 109-114, permanent status was conferred upon the VA Franchise Fund. VA Enterprise Centers are the lines of business within the VA Franchise Fund and are expected to have net billings of about \$539 million and employ 1,305 in 2014. The Franchise Fund concept is intended to increase competition for government administrative services, resulting in lower costs and higher quality.

Object Classification (in millions of dollars)

Identification code 36-4539-0-4-705	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	79	122	115
12.1 Civilian personnel benefits .....	23	31	31
21.0 Travel and transportation of persons .....	1	5	5
23.1 Rental payments to GSA .....		13	13
23.3 Communications, utilities, and miscellaneous charges .....	58	57	57
24.0 Printing and reproduction .....	6	7	7
25.2 Other services from non-Federal sources .....	232	270	270
26.0 Supplies and materials .....	2	7	7
31.0 Equipment .....	44	34	34
99.9 Total new obligations .....	445	546	539

Employment Summary

Identification code 36-4539-0-4-705	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,020	1,268	1,305

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

SEC. 201. Any appropriation for fiscal year 2014 for "Compensation and pensions", "Readjustment benefits", and "Veterans insurance and indemnities" may be transferred as necessary to any other of the mentioned appropriations: Provided, That before a transfer may take place, the Secretary of Veterans Affairs shall submit notice thereof to the Committees on Appropriations of both Houses of Congress.

(INCLUDING TRANSFER OF FUNDS)

SEC. 202. Amounts made available for the Department of Veterans Affairs for fiscal year 2014, in this Act or any other Act, under the "Medical services", "Medical support and compliance", and "Medical facilities" accounts may be transferred among the accounts: Provided, That before a transfer may take place, the Secretary of Veterans Affairs shall submit notice thereof to the Committees on Appropriations of both Houses of Congress.

SEC. 203. Appropriations available in this title for salaries and expenses shall be available for services authorized by section 3109 of title 5, United States Code, hire of passenger motor vehicles; lease of a facility or land or both; and uniforms or allowances therefore, as authorized by sections 5901 through 5902 of title 5, United States Code.

SEC. 204. No appropriations in this title (except the appropriations for "Construction, major projects", and "Construction, minor projects") shall be available for the purchase of any site for or toward the construction of any new hospital or home.

SEC. 205. No appropriations in this title shall be available for hospitalization or examination of any persons (except beneficiaries entitled to such hospitalization or examination under the laws providing such benefits to veterans, and persons receiving such treatment under sections 7901 through 7904 of title 5, United States Code, or the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.)), unless reimbursement of the cost of such hospitalization or examination is made to the "Medical services" account at such rates as may be fixed by the Secretary of Veterans Affairs.

SEC. 206. Appropriations available in this title for "Compensation and pensions", "Readjustment benefits", and "Veterans insurance and indemnities" shall be available for payment of prior year accrued obligations required to be recorded by law against the corresponding prior year accounts within the last quarter of fiscal year 2013.

SEC. 207. Appropriations available in this title shall be available to pay prior year obligations of corresponding prior year appropriations accounts resulting from sections 3328(a), 3334, and 3712(a) of title 31, United States Code, except that if such obligations are from trust fund accounts they shall be payable only from "Compensation and pensions".

(INCLUDING TRANSFER OF FUNDS)

SEC. 208. Notwithstanding any other provision of law, during fiscal year 2014, the Secretary of Veterans Affairs shall, from the National Service Life Insurance Fund under section 1920 of title 38, United States Code, the Veterans' Special Life Insurance Fund under section 1923 of title 38, United States Code, and the United States Government Life Insurance Fund under section 1955 of title 38, United States Code, reimburse the "General operating expenses, Veterans Benefits Administration" and "Information technology systems" accounts for the cost of administration of the insurance programs financed through those accounts: Provided, That reimbursement shall be made only from the surplus earnings accumulated in such an insurance program during fiscal year 2014 that are available for dividends in that program after claims have been paid and actuarially determined reserves have been set aside: Provided further, That if the cost of administration of such an insurance program exceeds the amount of surplus earnings accumulated in that program, reimbursement shall be made only to the extent of such surplus earnings: Provided further, That the Secretary shall determine the cost of administration for fiscal year 2014 which is properly allocable to the provision of each such insurance program and to the provision of any total disability income insurance included in that insurance program.

SEC. 209. Amounts deducted from enhanced-use lease proceeds to reimburse an account for expenses incurred by that account during a prior fiscal year for providing enhanced-use lease services, may be obligated during the fiscal year in which the proceeds are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. 210. Funds available in this title or funds for salaries and other administrative expenses shall also be available to reimburse the Office of Resolution Management of the Department of Veterans Affairs and the Office of Employment Discrimination Complaint Adjudication under section 319 of title 38, United States Code, for all services provided at rates which will recover actual costs but not exceed \$42,904,000 for the Office of Resolution Management and \$3,360,000 for the Office of Employment and Discrimination Complaint Adjudication: Provided, That payments may be made in advance for services to be furnished based on estimated costs: Provided further, That amounts received shall be credited to the "General administration" and "Information technology systems" accounts for use by the office that provided the service.

SEC. 211. No appropriations in this title shall be available to enter into any new lease of real property if the estimated annual rental cost is more than \$1,000,000, unless the Secretary submits a report to the Committees on Appropriations of both Houses of Congress.

SEC. 212. No funds of the Department of Veterans Affairs shall be available for hospital care, nursing home care, or medical services provided

to any person under chapter 17 of title 38, United States Code, for a non-service-connected disability described in section 1729(a)(2) of such title, unless that person has disclosed to the Secretary of Veterans Affairs, in such form as the Secretary may require, current, accurate third-party reimbursement information for purposes of section 1729 of such title: Provided, That the Secretary may recover, in the same manner as any other debt due the United States, the reasonable charges for such care or services from any person who does not make such disclosure as required: Provided further, That any amounts so recovered for care or services provided in a prior fiscal year may be obligated by the Secretary during the fiscal year in which amounts are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. 213. Notwithstanding any other provision of law, proceeds or revenues derived from enhanced-use leasing activities (including disposal) may be deposited into the "Construction, major projects" and "Construction, minor projects" accounts and be used for construction (including site acquisition and disposition), alterations, and improvements of any medical facility under the jurisdiction or for the use of the Department of Veterans Affairs. Such sums as realized are in addition to the amount provided for in "Construction, major projects" and "Construction, minor projects".

SEC. 214. Amounts made available under "Medical services" are available—

- (1) for furnishing recreational facilities, supplies, and equipment; and
- (2) for funeral expenses, burial expenses, and other expenses incidental to funerals and burials for beneficiaries receiving care in the Department.

(INCLUDING TRANSFER OF FUNDS)

SEC. 215. Such sums as may be deposited to the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, may be transferred to "Medical services", to remain available until expended for the purposes of that account.

SEC. 216. The Secretary of Veterans Affairs may enter into agreements with Indian tribes and tribal organizations which are party to the Alaska Native Health Compact with the Indian Health Service, and Indian tribes and tribal organizations serving rural Alaska which have entered into contracts with the Indian Health Service under the Indian Self Determination and Educational Assistance Act, to provide healthcare, including behavioral health and dental care. The Secretary shall require participating veterans and facilities to comply with all appropriate rules and regulations, as established by the Secretary. The term "rural Alaska" shall mean those lands sited within the external boundaries of the Alaska Native regions specified in sections 7(a)(1)–(4) and (7)–(12) of the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1606), and those lands within the Alaska Native regions specified in sections 7(a)(5) and 7(a)(6) of the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1606), which are not within the boundaries of the Municipality of Anchorage, the Fairbanks North Star Borough, the Kenai Peninsula Borough or the Matanuska Susitna Borough.

(INCLUDING TRANSFER OF FUNDS)

SEC. 217. Such sums as may be deposited to the Department of Veterans Affairs Capital Asset Fund pursuant to section 8118 of title 38, United States Code, may be transferred to the "Construction, major projects" and "Construction, minor projects" accounts, to remain available until expended for the purposes of these accounts.

(INCLUDING TRANSFER OF FUNDS)

SEC. 218. Amounts made available under the "Medical services", "Medical support and compliance", "Medical facilities", "General operating expenses, Veterans Benefits Administration", "General administration", and "National Cemetery Administration" accounts for fiscal year 2014, may be transferred to or from the "Information technology systems" account: Provided, That before a transfer may take place, the Secretary of Veterans Affairs shall submit notice thereof to the Committees on Appropriations of both Houses of Congress.

(INCLUDING TRANSFER OF FUNDS)

SEC. 219. Amounts made available for the "Information technology systems" account for development, modernization, and enhancement may be transferred between projects or to newly defined projects: Provided, That no project may be increased or decreased by more than \$3,000,000 of cost prior to submitting notice thereof to the Committees on Appropriations of both Houses of Congress.

SEC. 220. None of the funds appropriated or otherwise made available by this Act or any other Act for the Department of Veterans Affairs may be used in a manner that is inconsistent with: (1) section 842 of the Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia, and Independent Agencies Appropriations Act, 2006 (Public Law 109–115; 119 Stat. 2506); or (2) section 8110(a)(5) of title 38, United States Code.

(INCLUDING TRANSFER OF FUNDS)

SEC. 221. Of the amounts appropriated to the Department of Veterans Affairs for fiscal year 2014 for "Medical services", "Medical support and compliance", "Medical facilities", "Construction, minor projects", and "Information technology systems", up to \$254,257,000, plus reimbursements, may be transferred to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571) and may be used for operation of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500): Provided, That additional funds may be transferred from accounts designated in this section to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress.

(INCLUDING TRANSFER OF FUNDS)

SEC. 222. Such sums as may be deposited to the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, for health care provided at facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500) shall also be available: (1) for transfer to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571); and (2) for operations of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500).

(INCLUDING TRANSFER OF FUNDS)

SEC. 223. Of the amounts available in this title for "Medical services", "Medical support and compliance", and "Medical facilities", a minimum of \$15,000,000, shall be transferred to the DOD-VA Health Care Sharing Incentive Fund, as authorized by section 8111(d) of title 38, United States Code, to remain available until expended, for any purpose authorized by section 8111 of title 38, United States Code.

(INCLUDING CANCELLATIONS OF FUNDS)

SEC. 224. (a) Of the discretionary funds made available to the Department of Veterans Affairs for fiscal year 2014, the following amounts which became available on October 1, 2013, are hereby permanently cancelled from the following accounts in the amounts specified:

- (1) "Department of Veterans Affairs, Medical services", \$1,400,000,000.
  - (2) "Department of Veterans Affairs, Medical support and compliance", \$100,000,000.
  - (3) "Department of Veterans Affairs, Medical facilities", \$250,000,000.
- (b) In addition to amounts provided elsewhere in this Act, an additional amount is appropriated to the following accounts in the amounts specified to remain available until September 30, 2015:
- (1) "Department of Veterans Affairs, Medical services", \$1,400,000,000.
  - (2) "Department of Veterans Affairs, Medical support and compliance", \$100,000,000.
  - (3) "Department of Veterans Affairs, Medical facilities", \$250,000,000.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
36-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	5	6	7
36-247300 Contributions from Military Personnel, Veteran's Educational Assistance Act of 1984 .....	149	128	107
36-273330 Housing Downward Reestimates .....	92	53	.....
36-275110 Native American Veteran Housing Loans, Negative Subsidies .....	1	2	2
36-275130 Native American Direct Loans, Downward Reestimate of Subsidies .....	5	3	.....
36-275510 Housing Negative Subsidies .....	211	141	102
36-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	87	40	40
General Fund Offsetting receipts from the public .....	550	373	258
Intragovernmental payments:			
36-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	5	5	5
General Fund Intragovernmental payments .....	5	5	5

## TITLE V—GENERAL PROVISIONS

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. None of the funds made available in this Act may be used for any program, project, or activity, when it is made known to the Federal entity or official to which the funds are made available that the program, project, or activity is not in compliance with any Federal law relating to risk assessment, the protection of private property rights, or unfunded mandates.

SEC. 503. Such sums as may be necessary for fiscal year 2013 for pay raises for programs funded by this Act shall be absorbed within the levels appropriated in this Act.

SEC. 504. All departments and agencies funded under this Act are encouraged, within the limits of the existing statutory authorities and funding, to expand their use of "E-Commerce" technologies and procedures in the conduct of their business practices and public service activities.

SEC. 505. Unless stated otherwise, all reports and notifications required by this Act shall be submitted to the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the House of Representatives and the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the Senate.

SEC. 506. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government except pursuant to a transfer made by, or transfer authority provided in, this or any other appropriations Act.

SEC. 507. None of the funds made available in this Act may be used for a project or program named for an individual serving as a Member, Delegate, or Resident Commissioner of the United States House of Representatives.

SEC. 508. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

- (1) the public posting of the report compromises national security; or
- (2) the report contains confidential or proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.

SEC. 509. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other

*entity carrying out criminal investigations, prosecution, or adjudication activities.*

*SEC. 510. None of the funds appropriated or otherwise made available in this Act may be used by an agency of the executive branch to pay for*

*first-class travel by an employee of the agency in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.*

*SEC. 511. None of the funds provided in this Act may be used to execute a contract for goods or services, including construction services, where the contractor has not complied with Executive Order No. 12989.*



# CORPS OF ENGINEERS—CIVIL WORKS

The following appropriations shall be expended under the direction of the Secretary of the Army and the supervision of the Chief of Engineers for authorized civil functions of the Department of the Army pertaining to commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts.

## Federal Funds

### OFFICE OF THE ASSISTANT SECRETARY OF THE ARMY FOR CIVIL WORKS

For the Office of the Assistant Secretary of the Army for Civil Works, \$5,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

Identification code 96–3132–0–1–054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of Assistant Secretary of the Army (Civil Works) .....	5	5	5
0900 Total new obligations (object class 25.3) .....	5	5	5
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	5
1160 Appropriation, discretionary (total) .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	5	4
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	–3	–6	–6
3050 Unpaid obligations, end of year .....	5	4	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	5	4
3200 Obligated balance, end of year .....	5	4	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	5	5
4011 Outlays from discretionary balances .....	2	1	1
4020 Outlays, gross (total) .....	3	6	6
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	3	6	6

## CONSTRUCTION

For expenses necessary for the construction of commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts, \$1,350,000,000, to remain available until expended; of which such sums as are necessary to cover the Federal share of construction costs for facilities under the Dredged Material Disposal Facilities program shall be derived from the Harbor Maintenance Trust Fund; and of which such sums as are necessary to cover one-half of the costs of construction, replacement, rehabilitation, and expansion of inland waterways projects shall be derived from the Inland Waterways Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

### Program and Financing (in millions of dollars)

Identification code 96–3122–0–1–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0004 Projects specifically authorized by Congress (Channels and Harbors) .....	164	220	200
0005 Projects not specifically authorized by Congress (Channels and Harbors) .....	6	8	7
0009 Locks and dams .....	86	115	105
0012 Projects specifically authorized by Congress (Beach erosion) ....	121	162	147
0013 Projects not specifically authorized by Congress (Beach Erosion) .....	4	5	4
0016 Projects specifically authorized by Congress (Flood Control) .....	578	776	706
0017 Projects not specifically authorized by Congress (Flood Control) .....	19	26	24
0018 Emergency streambank and shoreline protection .....	9	12	11
0022 Reservoirs .....	4	5	4
0025 Multiple-purpose power projects .....	86	115	105
0027 Navigation .....	67	90	82
0028 Flood control .....	91	122	111
0029 Multiple-purpose power projects (Rehab.) .....	19	26	24
0033 Employees' compensation .....	18	24	22
0034 Environmental projects .....	505	678	617
0036 Aquatic plant control .....	4	5	4
0037 Aquatic ecosystems .....	34	46	42
0039 Beneficial uses of dredged material .....	4	5	4
0100 Direct program subtotal .....	1,819	2,440	2,219
0799 Total direct obligations .....	1,819	2,440	2,219
0811 Department of Homeland Security .....	275	275	256
0812 Department of Veteran Affairs .....	205	205	191
0813 Environmental Protection Agency .....	111	111	103
0814 National Aeronautics and Space Administration .....	93	93	87
0815 Department of Energy .....	69	69	64
0816 Other Federal Agencies .....	116	116	108
0817 Non-Federal Sources .....	32	32	30
0818 Intra-Corps .....	311	631	588
0899 Total reimbursable obligations .....	1,212	1,532	1,427
0900 Total new obligations .....	3,031	3,972	3,646
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,857	3,345	5,166
1010 Unobligated balance transfer to other accts [96–3125] .....	–31	.....	.....
1010 Unobligated balance transfer to other accts [14–2100] .....	–2	.....	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	–3	.....	.....
1021 Recoveries of prior year unpaid obligations .....	38	.....	.....
1050 Unobligated balance (total) .....	3,859	3,345	5,166
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,532	1,546	1,202
1100 Appropriation .....	.....	3,461	.....
1120 Appropriations transferred to other accts [96–3125] .....	–77	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	–81
1160 Appropriation, discretionary (total) .....	1,455	5,007	1,121
Spending authority from offsetting collections, discretionary:			
1700 Collected (Inland Waterways Trust Fund) .....	1,637	95	94
1700 Collected (Harbor Maintenance Trust Fund) .....	.....	68	54
1700 Collected (Other sources) .....	.....	624	639
1701 Change in uncollected payments, Federal sources .....	–575	–1	–1
1750 Spending auth from offsetting collections, disc (total) .....	1,062	786	786
1900 Budget authority (total) .....	2,517	5,793	1,907
1930 Total budgetary resources available .....	6,376	9,138	7,073
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,345	5,166	3,427
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,613	3,501	4,935
3010 Obligations incurred, unexpired accounts .....	3,031	3,972	3,646
3011 Obligations incurred, expired accounts .....	23	.....	.....
3020 Outlays (gross) .....	–4,128	–2,538	–3,170
3040 Recoveries of prior year unpaid obligations, unexpired .....	–38	.....	.....
3050 Unpaid obligations, end of year .....	3,501	4,935	5,411
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2,378	–1,751	–1,750

CONSTRUCTION—Continued  
Program and Financing—Continued

Identification code 96-3122-0-1-301	2012 actual	2013 CR	2014 est.
3070 Change in uncollected pymts, Fed sources, unexpired .....	575	1	1
3071 Change in uncollected pymts, Fed sources, expired .....	52		
3090 Uncollected pymts, Fed sources, end of year .....	-1,751	-1,750	-1,749
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,235	1,750	3,185
3200 Obligated balance, end of year .....	1,750	3,185	3,662
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,517	5,793	1,907
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1,651	1,190
4011 Outlays from discretionary balances .....	4,128	887	1,980
4020 Outlays, gross (total) .....	4,128	2,538	3,170
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,643	-491	-491
4033 Non-Federal sources .....	-45	-296	-296
4040 Offsets against gross budget authority and outlays (total) ...	-1,688	-787	-787
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	575	1	1
4052 Offsetting collections credited to expired accounts .....	51		
4060 Additional offsets against budget authority only (total) .....	626	1	1
4070 Budget authority, net (discretionary) .....	1,455	5,007	1,121
4080 Outlays, net (discretionary) .....	2,440	1,751	2,383
4180 Budget authority, net (total) .....	1,455	5,007	1,121
4190 Outlays, net (total) .....	2,440	1,751	2,383

This appropriation funds the construction, replacement, rehabilitation, and expansion of water resources projects whose principal purpose is to provide commercial navigation, flood and storm damage reduction, or aquatic ecosystem restoration benefits to the Nation, and related efforts. The Budget shows all funding provided through the Inland Waterways Trust Fund as well as a portion of the funding from the Harbor Maintenance Trust Fund as transferred to and executed in the Construction account.

This account allocates funds on a performance basis to high-performing projects, which improves the construction program's overall performance. The Budget funds those investments within the Corps' three main mission areas—commercial navigation, flood and storm damage reduction, and aquatic ecosystem restoration—as well as hydropower that provide the best economic, environmental, and public safety returns to the Nation.

This account includes \$57 million for work under the Comprehensive Everglades Restoration Plan (CERP), which represents approximately 4 percent of the total amount in this account and 1 percent of the total amount in the civil works program. Funding CERP at this level would not have a significant impact on the overall civil works program in 2014. Construction account funding for CERP in future years will depend on the availability of funds, so the impact of such future funding on the overall civil works program cannot be determined at this time. Funding for the Department of the Interior (DOI) includes an additional \$8 million for work under CERP.

This account also includes \$31 million for other ecosystem restoration work in South Florida, including the Everglades ecosystem. Funding for DOI includes \$87 million for such non-CERP work.

The Budget for the two agencies includes a total of \$183 million for ecosystem restoration work in South Florida, of which \$65 million is for CERP and \$118 million is for non-CERP work.

This appropriation also funds the Corps continuing authorities programs, which involve the planning, design, and construction

of smaller projects that do not require specific authorizing legislation.

Object Classification (in millions of dollars)

Identification code 96-3122-0-1-301	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	234	227	224
11.3 Other than full-time permanent .....	16	16	16
11.5 Other personnel compensation .....	9	10	10
11.8 Special personal services payments .....	18	18	18
11.9 Total personnel compensation .....	277	271	268
12.1 Civilian personnel benefits .....	70	69	67
12.1 Accrued retirement .....	5	7	6
12.1 Other personnel .....	2	3	3
21.0 Travel and transportation of persons .....	5	7	6
23.3 Communications, utilities, and miscellaneous .....	2	3	3
25.2 Other services from non-Federal sources .....	29	41	37
25.3 Purchase of goods and services from Government accounts .....	110	157	140
25.4 Operation and maintenance of facilities .....	2	3	3
26.0 Supplies and materials .....	2	3	3
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	1,314	1,875	1,682
99.0 Direct obligations .....	1,819	2,440	2,219
99.0 Reimbursable obligations .....	1,212	1,532	1,427
99.9 Total new obligations .....	3,031	3,972	3,646

Employment Summary

Identification code 96-3122-0-1-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,144	2,985	2,935
2001 Reimbursable civilian full-time equivalent employment .....	1,272	1,300	1,300

OPERATION AND MAINTENANCE

*For expenses necessary for the operation, maintenance, and care of existing commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts; providing security for infrastructure owned or operated by the Corps, including administrative buildings and laboratories; maintaining harbor channels provided by a State, municipality, or other public agency that serve essential navigation needs of general commerce, where authorized by law; surveying and charting northern and northwestern lakes and connecting waters; clearing and straightening channels; and removing obstructions to navigation, \$2,588,000,000, to remain available until expended, of which such sums as are necessary to cover the Federal share of eligible operation and maintenance costs for coastal harbors and channels, and for inland harbors shall be derived from the Harbor Maintenance Trust Fund; of which such sums as become available from the special account for the Corps established by the Land and Water Conservation Fund Act of 1965, as amended, shall be derived from that account for resource protection, research, interpretation, and maintenance activities related to resource protection in areas managed by the Corps at which outdoor recreation is available; and of which such sums as become available from fees collected under section 217 of Public Law 104-303 shall be used to cover the cost of operation and maintenance of the dredged material disposal facilities for which such fees have been collected.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 96-3123-0-1-301	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Channels and harbors .....	1,157	790	816
0002 Locks and dams .....	515	351	363

0005	Reservoirs .....	482	329	340
0006	Channel improvements, inspections, and miscellaneous maintenance .....	81	56	57
0009	Multiple-purpose power projects .....	690	471	486
0091	Total operation and maintenance projects .....	2,925	1,997	2,062
0101	Protection of navigation .....	59	40	41
0102	National emergency preparedness .....	5	3	4
0105	Special programs to improve operation and maintenance .....	92	63	65
0191	Total miscellaneous items .....	156	106	110
0192	Total direct program .....	3,081	2,103	2,172
0799	Total direct obligations .....	3,081	2,103	2,172
0801	Other Federal Agencies .....	24	24	24
0802	Non-Federal Agencies .....	20	20	20
0803	Intra-Corps .....	172	181	189
0899	Total reimbursable obligations .....	216	225	233
0900	Total new obligations .....	3,297	2,328	2,405

**Budgetary Resources:**

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	380	397	1,317
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-2		
1021	Recoveries of prior year unpaid obligations .....	39		
1050	Unobligated balance (total) .....	417	397	1,317

Budget authority:

Appropriations, discretionary:

1100	Appropriation .....	2,108	1,583	1,710
1100	Appropriation .....		821	
1121	Appropriations transferred from other accts [96-5383] .....	44	44	44
1131	Unobligated balance of appropriations permanently reduced .....			-1
1160	Appropriation, discretionary (total) .....	2,152	2,448	1,753

Spending authority from offsetting collections, discretionary:

1700	Collected .....	1,040	800	834
1701	Change in uncollected customer payments from Federal sources (HMTF) .....	-8		
1711	Spending authority from offsetting collections transferred from other accounts [89-4045] .....	93		
1750	Spending auth from offsetting collections, disc (total) .....	1,125	800	834
1900	Budget authority (total) .....	3,277	3,248	2,587
1930	Total budgetary resources available .....	3,694	3,645	3,904

Memorandum (non-add) entries:

1941	Unexpired unobligated balance, end of year .....	397	1,317	1,499
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**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	1,169	1,304	906
3010	Obligations incurred, unexpired accounts .....	3,297	2,328	2,405
3011	Obligations incurred, expired accounts .....	10		
3020	Outlays (gross) .....	-3,133	-2,726	-3,178
3040	Recoveries of prior year unpaid obligations, unexpired .....	-39		
3050	Unpaid obligations, end of year .....	1,304	906	133

Uncollected payments:

3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-144	-128	-128
3070	Change in uncollected pymts, Fed sources, unexpired .....	8		
3071	Change in uncollected pymts, Fed sources, expired .....	8		
3090	Uncollected pymts, Fed sources, end of year .....	-128	-128	-128

Memorandum (non-add) entries:

3100	Obligated balance, start of year .....	1,025	1,176	778
3200	Obligated balance, end of year .....	1,176	778	5

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	3,277	3,248	2,587
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Outlays, gross:

4010	Outlays from new discretionary authority .....	1,631	1,797	1,579
4011	Outlays from discretionary balances .....	1,502	929	1,599
4020	Outlays, gross (total) .....	3,133	2,726	3,178

Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:

4030	Federal sources .....	-1,009	-800	-834
4033	Non-Federal sources .....	-39		
4040	Offsets against gross budget authority and outlays (total) .....	-1,048	-800	-834

Additional offsets against gross budget authority only:

4050	Change in uncollected pymts, Fed sources, unexpired .....	8		
4052	Offsetting collections credited to expired accounts .....	8		
4060	Additional offsets against budget authority only (total) .....	16		

4070	Budget authority, net (discretionary) .....	2,245	2,448	1,753
4080	Outlays, net (discretionary) .....	2,085	1,926	2,344
4180	Budget authority, net (total) .....	2,245	2,448	1,753
4190	Outlays, net (total) .....	2,085	1,926	2,344

This appropriation funds inspection, operation, maintenance, and related activities for water resources projects operated and maintained by the Corps. These projects include navigation channels, navigation locks and dams, flood and storm damage risk reduction structures such as levees, and multi-purpose projects, as authorized in various River and Harbor, Flood Control, and Water Resources Development Acts. Related activities include aquatic plant control, monitoring of completed projects where appropriate, removal of sunken vessels, and the collection of domestic water and related resources statistics and data. Key infrastructure that is of central importance to the Nation and the continued safety of the public is given highest priority for funding in this account. Funding provided through the Harbor Maintenance Trust Fund is transferred primarily to and executed in the Operation and Maintenance account.

This appropriation funds all of the costs associated with protecting Corps facilities from potential security threats. It also funds the national emergency preparedness program under Executive Order 11490.

This account provides \$9.1 million for ecosystem restoration work in South Florida, including \$2.0 million for CERP. The operation and maintenance funding demands of CERP and non-CERP work are expected to slowly increase over time as construction projects are completed. The future impact of this on the overall civil works program is therefore linked in part to the pace of construction, which depends on the availability of funding and cannot be determined at this time.

**Object Classification (in millions of dollars)**

Identification code 96-3123-0-1-301	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	697	716	720
11.3	Other than full-time permanent .....	31	30	30
11.5	Other personnel compensation .....	36	37	37
11.8	Special personal services payments .....	7	7	7
11.9	Total personnel compensation .....	771	790	794
12.1	Civilian personnel benefits .....	221	227	228
21.0	Travel and transportation of persons .....	19	10	10
22.0	Transportation of things .....	13	7	8
23.1	Rental payments to GSA .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	26	14	14
25.1	Advisory and assistance services .....	11	6	6
25.2	Other services from non-Federal sources .....	489	254	270
25.3	Other goods and services from Federal sources .....	549	285	302
25.4	Operation and maintenance of facilities .....	99	51	54
25.7	Operation and maintenance of equipment .....	8	4	4
26.0	Supplies and materials .....	55	28	28
31.0	Equipment .....	21	11	14
32.0	Land and structures .....	798	415	439
99.0	Direct obligations .....	3,081	2,103	2,172
99.0	Reimbursable obligations .....	216	225	233
99.9	Total new obligations .....	3,297	2,328	2,405

**Employment Summary**

Identification code 96-3123-0-1-301	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	11,775	11,807	11,857
2001	Reimbursable civilian full-time equivalent employment .....	814	818	818

**SPECIAL RECREATION USER FEE**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 96-5383-0-2-301	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	44	48	52
Receipts:			
0220 Special Recreation Use Fees, Corps of Engineers	44	44	44
0221 User Fees, Fund for Non-Federal Use of Disposal Facilities	4	4	4
0299 Total receipts and collections	48	48	48
0400 Total: Balances and collections	92	96	100
Appropriations:			
0500 Special Recreation User Fee	-44	-44	-44
0799 Balance, end of year	48	52	56

**Program and Financing** (in millions of dollars)

Identification code 96-5383-0-2-301	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	44	44	44
1120 Appropriations transferred to other accts [96-3123]	-44	-44	-44

**MISSISSIPPI RIVER AND TRIBUTARIES**

For expenses necessary for flood damage reduction and related efforts in the Mississippi River alluvial valley below Cape Girardeau, Missouri, \$279,000,000, to remain available until expended, of which such sums as are necessary to cover the Federal share of eligible operation and maintenance costs for inland harbors shall be derived from the Harbor Maintenance Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 96-3112-0-1-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 General investigations	4	2	2
0003 Construction	122	66	52
0005 Operation and Maintenance	478	257	202
0799 Total direct obligations	604	325	256
0801 Intra-Corps	94	52	61
0900 Total new obligations	698	377	317
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	502	379
1010 Unobligated balance transfer to other accts [96-3125]	-1		
1050 Unobligated balance (total)	29	502	379
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,052	252	277
1131 Unobligated balance of appropriations permanently reduced			-6
1160 Appropriation, discretionary (total)	1,052	252	271
Spending authority from offsetting collections, discretionary:			
1700 Collected	99	2	2
1701 Change in uncollected payments, Federal sources	20		
1750 Spending auth from offsetting collections, disc (total)	119	2	2
1900 Budget authority (total)	1,171	254	273
1930 Total budgetary resources available	1,200	756	652
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	502	379	335
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	218	298	110

3010 Obligations incurred, unexpired accounts	698	377	317
3011 Obligations incurred, expired accounts	3		
3020 Outlays (gross)	-621	-565	-354
3050 Unpaid obligations, end of year	298	110	73
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-14	-30	-30
3070 Change in uncollected pymts, Fed sources, unexpired	-20		
3071 Change in uncollected pymts, Fed sources, expired	4		
3090 Uncollected pymts, Fed sources, end of year	-30	-30	-30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	204	268	80
3200 Obligated balance, end of year	268	80	43

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross	1,171	254	273
Outlays, gross:			
4010 Outlays from new discretionary authority	388	103	107
4011 Outlays from discretionary balances	233	462	247
4020 Outlays, gross (total)	621	565	354
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-101	-2	-2
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-103	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-20		
4052 Offsetting collections credited to expired accounts	4		
4060 Additional offsets against budget authority only (total)	-16		
4070 Budget authority, net (discretionary)	1,052	252	271
4080 Outlays, net (discretionary)	518	563	352
4180 Budget authority, net (total)	1,052	252	271
4190 Outlays, net (total)	518	563	352

This appropriation funds planning, construction, and operation and maintenance activities associated with projects to reduce the risk of flood damage in the lower Mississippi River alluvial valley below Cape Girardeau, Missouri.

**Object Classification** (in millions of dollars)

Identification code 96-3112-0-1-301	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent	66	67	67
11.3 Other than full-time permanent	5	4	4
11.5 Other personnel compensation	9	9	9
11.8 Special personal services payments	1		
11.9 Total personnel compensation	81	80	80
12.1 Civilian personnel benefits	22	22	22
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous	2	1	1
25.2 Other services from non-Federal sources	28	12	8
25.3 Purchase goods & svcs. fm Government	210	93	64
25.4 Operation and maintenance of facilities	6	3	2
26.0 Supplies and materials	37	16	11
32.0 Land and structures	217	97	67
99.0 Direct obligations	604	325	256
99.0 Reimbursable obligations	94	52	61
99.9 Total new obligations	698	377	317

**Employment Summary**

Identification code 96-3112-0-1-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1,084	1,077	1,077
2001 Reimbursable civilian full-time equivalent employment	22	23	23

**FLOOD CONTROL AND COASTAL EMERGENCIES**

For expenses necessary to prepare for flood, hurricane, and other natural disasters and support emergency operations, repairs, and other activities in response to such disasters, \$28,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 96–3125–0–1–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Disaster preparedness .....	30	56	54
0002 Emergency operations .....	7	13	13
0003 Rehabilitation .....	613	1,146	1,107
0004 Advance measures .....	22	41	39
0799 Total direct obligations .....	672	1,256	1,213
0811 Reimbursable program activity .....	12	175	246
0900 Total new obligations .....	684	1,431	1,459
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,045	2,852	2,747
1011 Unobligated balance transfer from other accts [96–3112] .....	1		
1011 Unobligated balance transfer from other accts [96–3121] .....	2		
1011 Unobligated balance transfer from other accts [96–3122] .....	31		
1050 Unobligated balance (total) .....	3,079	2,852	2,747
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	415	27	28
1100 Appropriation .....		1,008	
1121 Appropriations transferred from other accts [96–3121] .....	8		
1121 Appropriations transferred from other accts [96–3122] .....	77		
1160 Appropriation, discretionary (total) .....	500	1,035	28
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	182	291	289
1701 Change in uncollected payments, Federal sources .....	–225		
1750 Spending auth from offsetting collections, disc (total) .....	–43	291	289
1900 Budget authority (total) .....	457	1,326	317
1930 Total budgetary resources available .....	3,536	4,178	3,064
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,852	2,747	1,605
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,941	1,241	1,159
3010 Obligations incurred, unexpired accounts .....	684	1,431	1,459
3020 Outlays (gross) .....	–1,384	–1,513	–1,370
3050 Unpaid obligations, end of year .....	1,241	1,159	1,248
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–283	–58	–58
3070 Change in uncollected pymts, Fed sources, unexpired .....	225		
3090 Uncollected pymts, Fed sources, end of year .....	–58	–58	–58
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,658	1,183	1,101
3200 Obligated balance, end of year .....	1,183	1,101	1,190
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	457	1,326	317
Outlays, gross:			
4010 Outlays from new discretionary authority .....		708	303
4011 Outlays from discretionary balances .....	1,384	805	1,067
4020 Outlays, gross (total) .....	1,384	1,513	1,370
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–182	–291	–289
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	225		
4070 Budget authority, net (discretionary) .....	500	1,035	28
4080 Outlays, net (discretionary) .....	1,202	1,222	1,081
4180 Budget authority, net (total) .....	500	1,035	28
4190 Outlays, net (total) .....	1,202	1,222	1,081

This appropriation funds the planning, training, exercises, and other preparedness measures that help the Corps respond to floods, hurricanes, and other natural disasters, and to support emergency operations in response to such natural disasters, in-

cluding advance measures, flood fighting, emergency operations, providing potable water on an emergency basis, and the repair of certain flood and storm damage reduction projects. The funding in the Budget is for preparedness and training activities.

**Object Classification** (in millions of dollars)

Identification code 96–3125–0–1–301	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	76	77	95
11.3 Other than full-time permanent .....	20	20	
11.5 Other personnel compensation .....	11	11	11
11.9 Total personnel compensation .....	107	108	106
12.1 Civilian personnel benefits .....	30	31	30
21.0 Travel and transportation of persons .....	3	6	6
23.3 Communications, utilities, and miscellaneous charges .....	5	10	10
25.3 Other goods and services from Federal sources .....	141	294	283
25.4 Operation and maintenance of facilities .....	14	29	28
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	1	2	2
32.0 Land and structures .....	370	774	746
99.0 Direct obligations .....	672	1,256	1,213
99.0 Reimbursable obligations .....	12	175	246
99.9 Total new obligations .....	684	1,431	1,459

**Employment Summary**

Identification code 96–3125–0–1–301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,110	1,100	1,100

**INVESTIGATIONS**

*For expenses necessary for the collection and study of basic information pertaining to commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts; for surveys and detailed studies, and plans and specifications of proposed commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts prior to construction; and for miscellaneous investigations, \$90,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 96–3121–0–1–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Navigation, flood damage prevention, and shoreline protection studies .....	26	32	26
0002 Comprehensive basin studies .....	3	4	3
0003 Special studies .....	15	18	15
0005 Cooperation with other Federal agencies and non-Federal interests .....	9	11	9
0007 Preconstruction engineering and design .....	20	24	19
0008 Flood plain management services .....	29	35	28
0009 Other programs .....	9	11	9
0010 Research and development .....	18	22	18
0799 Total direct obligations .....	129	157	127
0801 Other Federal Agencies .....	15	15	15
0802 Non-Federal Agencies .....	5	5	5
0803 Intra-Corps .....	18	23	25
0899 Total reimbursable obligations .....	38	43	45
0900 Total new obligations .....	167	200	172
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	108	90	112
1010 Unobligated balance transfer from other accts [96–3125] .....	–2		

INVESTIGATIONS—Continued  
Program and Financing—Continued

Identification code 96-3121-0-1-301	2012 actual	2013 CR	2014 est.
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	107	90	112
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	125	126	90
1100 Appropriation .....		50	
1120 Appropriations transferred to other accts [96-3125] .....	-8		
1131 Unobligated balance of appropriations permanently reduced .....			-12
1160 Appropriation, discretionary (total) .....	117	176	78
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	40	46	33
1701 Change in uncollected payments, Federal sources .....	-7		
1750 Spending auth from offsetting collections, disc (total) .....	33	46	33
1900 Budget authority (total) .....	150	222	111
1930 Total budgetary resources available .....	257	312	223
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	90	112	51

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	79	67	114
3010 Obligations incurred, unexpired accounts .....	167	200	172
3020 Outlays (gross) .....	-178	-153	-157
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	67	114	129
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-36	-29	-29
3070 Change in uncollected pymts, Fed sources, unexpired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-29	-29	-29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	43	38	85
3200 Obligated balance, end of year .....	38	85	100

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	150	222	111
Outlays, gross:			
4010 Outlays from new discretionary authority .....	117	62	
4011 Outlays from discretionary balances .....	178	36	95
4020 Outlays, gross (total) .....	178	153	157
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-34	-44	-31
4033 Non-Federal sources .....	-6	-17	-17
4040 Offsets against gross budget authority and outlays (total) .....	-40	-61	-48
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	7		
4052 Offsetting collections credited to expired accounts .....		15	15
4060 Additional offsets against budget authority only (total) .....	7	15	15
4070 Budget authority, net (discretionary) .....	117	176	78
4080 Outlays, net (discretionary) .....	138	92	109
4180 Budget authority, net (total) .....	117	176	78
4190 Outlays, net (total) .....	138	92	109

This appropriation funds studies to determine the need, engineering feasibility, economic and environmental return to the Nation, and public safety impacts of potential solutions to water and related land resource problems; preconstruction engineering and design; and related data collection, interagency coordination, and research.

Object Classification (in millions of dollars)

Identification code 96-3121-0-1-301	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	35	36	36
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	3		

11.9 Total personnel compensation .....	43	41	41
12.1 Civilian personnel benefits .....	16	16	16
21.0 Travel and transportation of persons .....	3	4	3
23.1 Rental payments to GSA .....	4	6	4
23.3 Communications, utilities, and miscellaneous .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	4	6	4
25.2 Other services from non-Federal sources .....	8	11	8
25.3 Purchase of goods and services from Government accounts .....	26	38	26
25.5 Research and development contracts .....	7	10	7
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	15	22	15
99.0 Direct obligations .....	129	157	127
99.0 Reimbursable obligations .....	38	43	45
99.9 Total new obligations .....	167	200	172

Employment Summary

Identification code 96-3121-0-1-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	463	464	464
2001 Reimbursable civilian full-time equivalent employment .....	236	236	236

REGULATORY PROGRAM

For expenses necessary for administration of laws pertaining to regulation of navigable waters and wetlands, \$200,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 96-3126-0-1-301	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0003 Permit evaluation .....	166	164	179
0005 Enforcement and compliance .....	19	19	21
0006 Studies .....	4	4	4
0007 Administrative appeals .....	1	1	1
0192 Total direct obligations .....	190	188	205
0799 Total direct obligations .....	190	188	205
0811 Non-Federal Sources .....	5	4	4
0812 Intra-Corps .....	3	1	1
0899 Total reimbursable obligations .....	8	5	5
0900 Total new obligations .....	198	193	210

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	16	18
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	13	16	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	193	194	200
1160 Appropriation, discretionary (total) .....	193	194	200
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	8	1	1
1750 Spending auth from offsetting collections, disc (total) .....	8	1	1
1900 Budget authority (total) .....	201	195	201
1930 Total budgetary resources available .....	214	211	219
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	18	9

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	6	7
3010 Obligations incurred, unexpired accounts .....	198	193	210
3020 Outlays (gross) .....	-199	-192	-205
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	6	7	12

3060	Uncollected payments:			
	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	6	4	5
3200	Obligated balance, end of year .....	4	5	10
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	201	195	201
Outlays, gross:				
4010	Outlays from new discretionary authority .....	185	185	191
4011	Outlays from discretionary balances .....	14	7	14
4020	Outlays, gross (total) .....	199	192	205
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	-1
4033	Non-Federal sources .....	-7		
4040	Offsets against gross budget authority and outlays (total) ....	-8	-1	-1
4070	Budget authority, net (discretionary) .....	193	194	200
4080	Outlays, net (discretionary) .....	191	191	204
4180	Budget authority, net (total) .....	193	194	200
4190	Outlays, net (total) .....	191	191	204

This appropriation provides funds to administer the laws and regulations pertaining to activities affecting U.S. waters, including wetlands, in accordance with the Rivers and Harbors Appropriation Act of 1899, the Clean Water Act of 1972, and the Marine Protection, Research and Sanctuaries Act of 1972.

The requested funds are needed to review and process permit applications, ensure compliance on permitted sites, and protect important aquatic resources.

**Object Classification** (in millions of dollars)

Identification code 96-3126-0-1-301	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	103	101	101
11.3	Other than full-time permanent .....	2	2	2
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	106	104	104
12.1	Civilian personnel benefits .....	31	31	31
21.0	Travel and transportation of persons .....	2	2	3
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	1	1	1
23.3	Communications, utilities, and miscellaneous .....	1	1	1
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	14	14	18
25.3	Purchase goods & svcs. fm Government accts. ....	16	16	22
25.7	Operation and maintenance of equipment .....	12	12	17
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	1	1	1
32.0	Land and structures .....	2	2	3
99.0	Direct obligations .....	190	188	205
99.0	Reimbursable obligations .....	8	5	5
99.9	Total new obligations .....	198	193	210

**Employment Summary**

Identification code 96-3126-0-1-301	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	1,379	1,355	1,355
2001	Reimbursable civilian full-time equivalent employment .....	77	75	75

**FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM**

For expenses necessary to clean up contamination from sites in the United States resulting from work performed as part of the Nation's early atomic energy program, \$104,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 96-3130-0-1-053	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Formerly utilized site remedial action program .....	110	89	107
0801	Intra-Corps .....	4	14	12
0900	Total new obligations .....	114	103	119
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	5	21
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	109	110	104
1160	Appropriation, discretionary (total) .....	109	110	104
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	12	9	9
1701	Change in uncollected payments, Federal sources .....	-7		
1750	Spending auth from offsetting collections, disc (total) .....	5	9	9
1900	Budget authority (total) .....	114	119	113
1930	Total budgetary resources available .....	119	124	134
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5	21	15

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	99	48	28
3010	Obligations incurred, unexpired accounts .....	114	103	119
3020	Outlays (gross) .....	-165	-123	-115
3050	Unpaid obligations, end of year .....	48	28	32
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired .....	7		
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	88	44	24
3200	Obligated balance, end of year .....	44	24	28

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	114	119	113
Outlays, gross:				
4010	Outlays from new discretionary authority .....	61	75	71
4011	Outlays from discretionary balances .....	104	48	44
4020	Outlays, gross (total) .....	165	123	115
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-12	-9	-9
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	7		
4070	Budget authority, net (discretionary) .....	109	110	104
4080	Outlays, net (discretionary) .....	153	114	106
4180	Budget authority, net (total) .....	109	110	104
4190	Outlays, net (total) .....	153	114	106

This appropriation funds the clean-up of certain low-level radioactive materials and mixed wastes, located mostly at sites contaminated as a result of the Nation's early efforts to develop atomic weapons.

**Object Classification** (in millions of dollars)

Identification code 96-3130-0-1-053	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	8	8	8
12.1	Civilian personnel benefits .....	2	2	2
25.2	Other services from non-Federal sources .....	75	60	74
25.3	Other goods and services from Federal sources .....	12	9	11
32.0	Land and structures .....	13	10	12
99.0	Direct obligations .....	110	89	107
99.0	Reimbursable obligations .....	4	14	12
99.9	Total new obligations .....	114	103	119

FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM—Continued  
Employment Summary

Identification code 96-3130-0-1-053	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	97	97	97
2001 Reimbursable civilian full-time equivalent employment .....		3	3

EXPENSES

For expenses necessary for the supervision and general administration of the civil works program in Corps headquarters and division offices; and for costs of management and operation of the Humphreys Engineer Center Support Activity, the Institute for Water Resources, the United States Army Engineer Research and Development Center, and the United States Army Corps of Engineers Finance Center allocable to the civil works program, \$182,000,000, to remain available until expended, of which not to exceed \$5,000 may be used for official reception and representation purposes and only during the current fiscal year: Provided, That no part of any other appropriation provided in this Act shall be available to fund such activities in Corps headquarters and division offices: Provided further, That any Flood Control and Coastal Emergencies appropriation may be used to fund the supervision and general administration of emergency operations, repairs, and other activities in response to any flood, hurricane, or other natural disaster.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 96-3124-0-1-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office, Chief of Engineers .....	84	83	84
0002 Division offices .....	80	82	80
0004 ULA .....	3	3	3
0005 ACE-IT .....	2	3	2
0009 Humphreys Engineer Center support activity .....	7	7	6
0010 ERDC .....		1	1
0011 Institute for Water Resources .....	7	5	5
0012 USACE finance center .....	1	1	1
0013 GE program accounts .....	8	4	5
0799 Total direct obligations .....	192	189	187
0801 Department of Homeland Security .....	6	6	6
0802 Intra-Corps .....	6	4	4
0899 Total reimbursable obligations .....	12	10	10
0900 Total new obligations .....	204	199	197
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	12	19
1021 Recoveries of prior year unpaid obligations .....	15		
1050 Unobligated balance (total) .....	19	12	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	185	186	182
1100 Appropriation .....		10	
1160 Appropriation, discretionary (total) .....	185	196	182
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	24	10	10
1701 Change in uncollected payments, Federal sources .....	-12		
1750 Spending auth from offsetting collections, disc (total) .....	12	10	10
1900 Budget authority (total) .....	197	206	192
1930 Total budgetary resources available .....	216	218	211
Memorandum (non-add) entries:			
1941 Unobligated balance carried forward, end of year (Internal Corps MIPRs) .....	12	19	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	73	50	43

3010 Obligations incurred, unexpired accounts .....	204	199	197
3020 Outlays (gross) .....	-212	-206	-204
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15		
3050 Unpaid obligations, end of year .....	50	43	36
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-47	-35	-35
3070 Change in uncollected pymts, Fed sources, unexpired .....	12		
3090 Uncollected pymts, Fed sources, end of year .....	-35	-35	-35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	15	8
3200 Obligated balance, end of year .....	15	8	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	197	206	192
Outlays, gross:			
4010 Outlays from new discretionary authority .....	172	158	153
4011 Outlays from discretionary balances .....	40	48	51
4020 Outlays, gross (total) .....	212	206	204
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-24	-10	-10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	12		
4070 Budget authority, net (discretionary) .....	185	196	182
4080 Outlays, net (discretionary) .....	188	196	194
4180 Budget authority, net (total) .....	185	196	182
4190 Outlays, net (total) .....	188	196	194

This appropriation funds the command and control, policy and guidance, program management, national and regional coordination, and quality assurance for the civil works program. These activities are carried out by Corps headquarters and eight division offices:

*Corps Headquarters.*—This office provides executive direction and management for the civil works program.

*Division Offices.*—Eight of the nine Corps division offices provide quality assurance for and supervise work of the 38 district offices that have civil works responsibilities.

This appropriation also funds certain costs allocable to the civil works program of these Corps-wide support facilities:

*Institute for Water Resources.*—This institute performs studies and analyses on a wide range of water resources issues and develops project planning techniques.

*Engineering Research and Development Center.*—This center operates seven labs and conducts research and development for the Corps and other agencies.

*Finance Center.*—This center supports all Corps finance and accounting activities.

*Humphreys Engineer Center Support Activity.*—This field operating activity of the Corps provides day-to-day operational support services to the Corps.

Object Classification (in millions of dollars)

Identification code 96-3124-0-1-301	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	103	103	103
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	5	5	5
11.9 Total personnel compensation .....	110	110	110
12.1 Civilian personnel benefits .....	30	31	31
21.0 Travel and transportation of persons .....	5	4	4
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous .....	1	1	1
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	3	2	2
25.3 Other goods and services from Federal sources .....	39	37	35
99.0 Direct obligations .....	192	189	187
99.0 Reimbursable obligations .....	12	10	10

99.9	Total new obligations .....	204	199	197
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**Employment Summary**

Identification code 96-3124-0-1-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	910	895	895
2001 Reimbursable civilian full-time equivalent employment .....	13		

**WASHINGTON AQUEDUCT****Program and Financing** (in millions of dollars)

Identification code 96-3128-0-1-301	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1825 Spending authority from offsetting collections applied to repay debt .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	-1	-1	-1
4190 Outlays, net (total) .....	-1	-1	-1

The Washington Aqueduct supplies drinking water to customers in three jurisdictions: the District of Columbia; Arlington County, Virginia; and the city of Falls Church, Virginia. Although the Aqueduct is owned and operated by the Corps, the customers finance the operation, maintenance, and capital improvement of Aqueduct facilities. The Aqueduct's customers also pay in advance the full cost of capital improvements.

**PERMANENT APPROPRIATIONS****Special and Trust Fund Receipts** (in millions of dollars)

Identification code 96-9921-0-2-999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	24	23	23
Receipts:			
0200 Licenses under Federal Power Act, Improvements of Navigable Waters, Maintenance and Operation of Dams, Etc. ....	8	8	8
0220 Receipts from Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....	12	12	12
0299 Total receipts and collections .....	20	20	20
0400 Total: Balances and collections .....	44	43	43
Appropriations:			
0500 Permanent Appropriations .....	-21	-20	-20
0799 Balance, end of year .....	23	23	23

**Program and Financing** (in millions of dollars)

Identification code 96-9921-0-2-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Maintenance and operation of dams and other improvements of navigable waters .....	22	20	20
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	21	20	20
1260 Appropriations, mandatory (total) .....	21	20	20
1930 Total budgetary resources available .....	25	23	23

1941 Memorandum (non-add) entries:			
Unexpired unobligated balance, end of year .....	3	3	3

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	6	4
3010 Obligations incurred, unexpired accounts .....	22	20	20
3020 Outlays (gross) .....	-18	-22	-22
3050 Unpaid obligations, end of year .....	6	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	6	4
3200 Obligated balance, end of year .....	6	4	2

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	21	20	20
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11	20	20
4101 Outlays from mandatory balances .....	7	2	2
4110 Outlays, gross (total) .....	18	22	22
4180 Budget authority, net (total) .....	21	20	20
4190 Outlays, net (total) .....	18	22	22

This account covers three permanent appropriations:

*Hydraulic mining debris reservoir.*—The Corps uses fees collected from Pacific Gas and Electric Company to help maintain the Englebright Dam, Yuba River, California, mine debris restraining works and associated hydropower generation facilities. (33 U.S.C. 683)

*Maintenance and operation of dams and other improvements of navigable waters.*—The Corps uses its share of certain fees levied by the Federal Energy Regulatory Commission (on the private use of Federal property, including facilities and land; private construction and operation of water management and appurtenant facilities; and private benefit from headwater improvement by others) for construction, operation, and maintenance of Federal water management facilities. (16 U.S.C. 810(a))

*Payments to States.*—In lieu of taxes, the Corps pays to States three-fourths of the rent received from the lease of Federal lands acquired for flood control, navigation, and allied purposes. (33 U.S.C. 701c-3)

**Object Classification** (in millions of dollars)

Identification code 96-9921-0-2-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	21	19	19
99.9 Total new obligations .....	22	20	20

**Employment Summary**

Identification code 96-9921-0-2-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	7	10	10

**REVOLVING FUND****Program and Financing** (in millions of dollars)

Identification code 96-4902-0-4-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Plant and equipment services .....	1,123	1,179	1,238
0802 Warehousing (GPRA) .....	141	38	40
0803 Shop and facility services .....	4,909	5,153	5,411
0804 General administrative services .....	2,241	2,354	2,472
0809 Reimbursable program activities, subtotal .....	8,414	8,724	9,161
0820 Land and structures .....	23	11	4
0821 Dredges .....	23	5	1
0822 Other floating plant .....	4	36	46
0823 Land-based equipment .....	1	7	11

**REVOLVING FUND—Continued**  
**Program and Financing—Continued**

Identification code 96-4902-0-4-301	2012 actual	2013 CR	2014 est.
0824 Tools, office furniture, and equipment .....	16	20	12
0829 Reimbursable program activities, subtotal .....	67	79	74
0900 Total new obligations .....	8,481	8,803	9,235
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	236	502	291
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	8,790	8,592	8,944
1801 Change in uncollected payments, Federal sources .....	-43		
1850 Spending auth from offsetting collections, mand (total) .....	8,747	8,592	8,944
1930 Total budgetary resources available .....	8,983	9,094	9,235
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	502	291	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,432	1,216	1,427
3010 Obligations incurred, unexpired accounts .....	8,481	8,803	9,235
3020 Outlays (gross) .....	-8,697	-8,592	-8,873
3050 Unpaid obligations, end of year .....	1,216	1,427	1,789
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-183	-140	-140
3070 Change in uncollected pymts, Fed sources, unexpired .....	43		
3090 Uncollected pymts, Fed sources, end of year .....	-140	-140	-140
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,249	1,076	1,287
3200 Obligated balance, end of year .....	1,076	1,287	1,649
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	8,747	8,592	8,944
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7,021	6,874	7,155
4101 Outlays from mandatory balances .....	1,676	1,718	1,718
4110 Outlays, gross (total) .....	8,697	8,592	8,873
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-8,754	-8,556	-8,908
4123 Non-Federal sources .....	-36	-36	-36
4130 Offsets against gross budget authority and outlays (total) .....	-8,790	-8,592	-8,944
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	43		
4170 Outlays, net (mandatory) .....	-93		-71
4190 Outlays, net (total) .....	-93		-71

This revolving fund provides for the acquisition, operation, and maintenance of plant and equipment used by the civil works program and for temporary financing of services chargeable to the civil works program. The fund also initially finances district operating expenses which the districts later reimburse with project-specific funds. In addition, payments are made into the fund when other agencies or entities use plant and equipment acquired by the fund.

**Object Classification (in millions of dollars)**

Identification code 96-4902-0-4-301	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
21.0 Travel and transportation of persons .....	13	13	13
22.0 Transportation of things .....	13	13	14
23.1 Rental payments to GSA .....	14	15	16
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	352	366	384
24.0 Printing and reproduction .....	17	18	18
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	5,390	5,596	5,870
25.3 Other goods and services from Federal sources .....	986	1,023	1,073
25.7 Operation and maintenance of equipment .....	547	568	596
26.0 Supplies and materials .....	515	534	561
31.0 Equipment .....	328	340	357

32.0 Land and structures .....	299	310	326
42.0 Insurance claims and indemnities .....	2	2	2
44.0 Refunds .....	2	2	2
99.9 Total new obligations .....	8,481	8,803	9,235

**Trust Funds**

**HARBOR MAINTENANCE TRUST FUND**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 96-8863-0-7-301	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	6,280	6,958	7,891
Receipts:			
0200 User Fees, Harbor Maintenance Trust Fund .....	1,540	1,660	1,758
0240 Earnings on Investments, Harbor Maintenance Trust Fund .....	47	187	247
0299 Total receipts and collections .....	1,587	1,847	2,005
0400 Total: Balances and collections .....	7,867	8,805	9,896
Appropriations:			
0500 Operations and Maintenance .....	-32	-32	-33
0501 Harbor Maintenance Trust Fund .....	-794	-800	-834
0502 Harbor Maintenance Trust Fund .....	-80	-80	-54
0503 Harbor Maintenance Trust Fund .....	-3	-2	-2
0599 Total appropriations .....	-909	-914	-923
0799 Balance, end of year .....	6,958	7,891	8,973

**Program and Financing (in millions of dollars)**

Identification code 96-8863-0-7-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Harbor maintenance trust fund .....	877	882	890
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (96-3123) .....	794	800	834
1101 Appropriation (96-3122) .....	80	80	54
1101 Appropriation (96-3112) .....	3	2	2
1160 Appropriation, discretionary (total) .....	877	882	890
1930 Total budgetary resources available .....	877	882	890
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	877	882	890
3020 Outlays (gross) .....	-877	-882	-890
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	877	882	890
Outlays, gross:			
4010 Outlays from new discretionary authority .....	877	882	890
4180 Budget authority, net (total) .....	877	882	890
4190 Outlays, net (total) .....	877	882	890
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	6,203	6,886	7,319
5001 Total investments, EOY: Federal securities: Par value .....	6,886	7,319	7,569

The Harbor Maintenance Trust Fund is authorized under the Harbor Maintenance Revenue Act of 1986 (P.L. 99-662, Title XIV), as amended. Revenue is derived from a 0.125 percent ad valorem tax imposed upon commercial users of specified U.S. ports, Saint Lawrence Seaway tolls, and investment interest. The Budget shows all funding provided through the Harbor Maintenance Trust Fund as transferred to and executed in the Construction, Operation and Maintenance, and Mississippi River and Tributaries accounts.

The Harbor Maintenance Revenue Act authorizes expenditures from this fund to finance up to 100 percent of eligible Corps harbor operation and maintenance costs, including the operation and maintenance of Great Lakes navigation projects. The fund

fully finances eligible operation and maintenance costs of the Saint Lawrence Seaway Development Corporation. Section 201 of the Water Resources Development Act of 1996 (P.L. 104–303) authorizes the fund to pay the Federal share of the costs for the construction of dredged material disposal facilities that are necessary for the operation and maintenance of coastal or inland harbors, the dredging and disposal of contaminated sediments that are in or affect the operation and maintenance of Federal navigation channels, the mitigation of impacts resulting from Federal navigation operation and maintenance activities, and the operation and maintenance of dredged material disposal facilities.

The North American Free Trade Agreement Implementation Act (P.L. 103–182, section 683) authorizes payment from the fund of administrative expenses incurred by the Department of the Treasury, the Corps, and the Department of Commerce related to administration of the harbor maintenance tax, but not to exceed \$5 million in any fiscal year.

In 1998, the U.S. Supreme Court excluded all U.S. exports from the harbor maintenance tax. The Court found that the tax violated Article I, section 9, clause 5 of the constitution because the value of the cargo, which is the basis for calculating this tax, does not fairly match the use of port services and facilities by exporters.

**Object Classification** (in millions of dollars)

Identification code 96–8863–0–7–301	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
94.0 Financial transfers (Operation & Maintenance) .....	794	799	834
94.0 Financial Transfers (Construction) .....	80	80	54
94.0 Financial transfers (MR&T) .....	3	3	2
99.9 Total new obligations .....	877	882	890

**INLAND WATERWAYS TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 96–8861–0–7–301	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	32	46	64
<b>Receipts:</b>			
0200 Transfer from General Fund, Inland Waterways Revenue Act Taxes .....	90	87	90
0201 Transfer from General Fund, Inland Waterways Revenue Act Taxes .....			2
0202 Users Fees, Inland Waterways Trust Fund .....			80
0240 Interest and Profits on Investments in Public Debt Securities, Inland Waterways Trust Fund .....		8	10
0299 Total receipts and collections .....	90	95	182
0400 Total: Balances and collections .....	122	141	246
<b>Appropriations:</b>			
0500 Inland Waterways Trust Fund .....	–76	–77	–94
0799 Balance, end of year .....	46	64	152

**Program and Financing** (in millions of dollars)

Identification code 96–8861–0–7–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Inland waterways trust fund .....	75	77	85
0900 Total new obligations (object class 94.0) .....	75	77	85
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (96–3122) .....	76	77	94

1160 Appropriation, discretionary (total) .....	76	77	94
1930 Total budgetary resources available .....	76	78	95
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	10

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	16	14
3001 Adj to unpaid obligations, SOY to Construction for 2011 budget authority .....	9		
3010 Obligations incurred, unexpired accounts .....	75	77	85
3020 Outlays (gross) .....	–81	–79	–71
3050 Unpaid obligations, end of year .....	16	14	28
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	22	16	14
3200 Obligated balance, end of year .....	16	14	28

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	76	77	94
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		62	56
4011 Outlays from discretionary balances .....	81	17	15
4020 Outlays, gross (total) .....	81	79	71
4180 Budget authority, net (total) .....	76	77	94
4190 Outlays, net (total) .....	81	79	71

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	42	49	45
5001 Total investments, EOY: Federal securities: Par value .....	49	45	50

The Inland Waterways Trust Fund is authorized under the Inland Waterways Revenue Act of 1978 (P.L. 95–502), as amended by the Water Resources Development Act of 1986 (P.L. 99–662). The fund is used to pay one half of the costs associated with the construction, replacement, rehabilitation, and expansion of Federal inland waterways projects.

Revenue currently is derived from an excise tax imposed on diesel fuel for commercial vessels on most of the inland waterways, plus investment interest. Funding provided through the Inland Waterways Trust Fund is transferred to and executed in the Construction account.

**RIVERS AND HARBORS CONTRIBUTED FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 96–8862–0–7–301	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0220 Contributions, Rivers and Harbors, Other Than Port and Harbor User Fees .....	400	300	300
0400 Total: Balances and collections .....	400	300	300
<b>Appropriations:</b>			
0500 Rivers and Harbors Contributed Funds .....	–400	–300	–300
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 96–8862–0–7–301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Investigations: where required for an authorized Federal project .....	19	19	19
0002 Investigations: where not required for an authorized Federal project .....	8	8	8
0003 Construction: where required for an authorized Federal project .....	203	203	203
0004 Construction: where not required for an authorized Federal project .....	18	18	18
0005 O&M: where required for an authorized Federal project .....	20	20	20
0006 O&M: where not required for an authorized Federal project .....	5	5	5
0007 MR&T: where required for an authorized Federal project .....	25	25	25
0008 MR&T: where not required for an authorized Federal project .....	9	9	9
0009 Other .....	22	22	22

RIVERS AND HARBORS CONTRIBUTED FUNDS—Continued  
Program and Financing—Continued

Identification code 96-8862-0-7-301	2012 actual	2013 CR	2014 est.
0799 Total direct obligations .....	329	329	329
0801 Non-Federal Agencies .....	3	3	3
0900 Total new obligations .....	332	332	332
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	593	663	631
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	400	300	300
1260 Appropriations, mandatory (total) .....	400	300	300
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2		
1850 Spending auth from offsetting collections, mand (total) .....	2		
1900 Budget authority (total) .....	402	300	300
1930 Total budgetary resources available .....	995	963	931
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	663	631	599
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	474	316	156
3010 Obligations incurred, unexpired accounts .....	332	332	332
3020 Outlays (gross) .....	-490	-492	-409
3050 Unpaid obligations, end of year .....	316	156	79
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	474	316	156
3200 Obligated balance, end of year .....	316	156	79
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	402	300	300
Outlays, gross:			
4100 Outlays from new mandatory authority .....		90	90
4101 Outlays from mandatory balances .....	490	402	319
4110 Outlays, gross (total) .....	490	492	409
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2		
4180 Budget authority, net (total) .....	400	300	300
4190 Outlays, net (total) .....	488	492	409

Funds are contributed by non-Federal interests for use on improvements of rivers and harbors. This includes cost-sharing contributions for the study, design, construction, and operation and maintenance of authorized Federal projects, as well as contributions of 100 percent of the costs of certain other work.

Object Classification (in millions of dollars)

Identification code 96-8862-0-7-301	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	51	35	35
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	53	37	37
12.1 Civilian personnel benefits .....	15	10	10
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	13	14	14
25.3 Other goods and services from Federal sources .....	35	37	37
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	207	225	225
99.0 Direct obligations .....	329	329	329
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	332	332	332

Employment Summary

Identification code 96-8862-0-7-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	685	475	475

COASTAL WETLANDS RESTORATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 96-8333-0-7-301	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Coastal wetlands restoration trust fund .....	71	85	75
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	166	174	174
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [14-8151] .....	79	85	77
1260 Appropriations, mandatory (total) .....	79	85	77
1930 Total budgetary resources available .....	245	259	251
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	174	174	176
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	259	296	258
3010 Obligations incurred, unexpired accounts .....	71	85	75
3020 Outlays (gross) .....	-34	-123	-119
3050 Unpaid obligations, end of year .....	296	258	214
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	259	296	258
3200 Obligated balance, end of year .....	296	258	214
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	79	85	77
Outlays, gross:			
4100 Outlays from new mandatory authority .....		60	54
4101 Outlays from mandatory balances .....	34	63	65
4110 Outlays, gross (total) .....	34	123	119
4180 Budget authority, net (total) .....	79	85	77
4190 Outlays, net (total) .....	34	123	119

The Coastal Wetlands Planning, Protection and Restoration Act (P.L. 101-646, Title III, as amended) directs the Secretary of the Interior to distribute to the Coastal Wetlands Restoration Trust Fund a portion of the amounts appropriated each fiscal year from the Sport Fish Restoration Account. The Louisiana Coastal Wetlands Conservation and Restoration Task Force, which is an interagency task force consisting of the Corps, Environmental Protection Agency, Fish and Wildlife Service, Natural Resources Conservation Service, National Marine Fisheries Service, and the State of Louisiana, uses these funds to plan, set priorities, and carry out projects for the creation, protection, and restoration of coastal wetlands in the State of Louisiana.

Object Classification (in millions of dollars)

Identification code 96-8333-0-7-301	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	8	9	9
25.3 Other goods and services from Federal sources .....	62	75	65
99.9 Total new obligations .....	71	85	75

**Employment Summary**

Identification code 96-8333-0-7-301	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	9	10	10

**SOUTH DAKOTA TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 96-8217-0-7-306	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	110	110	109
<b>Receipts:</b>			
0240 Earnings on Investments, South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund .....	3	3	3
0400 Total: Balances and collections .....	113	113	112
<b>Appropriations:</b>			
0500 South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund .....	-3	-4	-4
0799 Balance, end of year .....	110	109	108

**Program and Financing** (in millions of dollars)

Identification code 96-8217-0-7-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Wildlife habitat restoration .....	8	7	5
0900 Total new obligations (object class 25.2) .....	8	7	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	16	13
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	4	4
1260 Appropriations, mandatory (total) .....	3	4	4
1930 Total budgetary resources available .....	24	20	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	13	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	8	7	5
3020 Outlays (gross) .....	-8	-7	-5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	4	4
Outlays, gross:			
4101 Outlays from mandatory balances .....	8	7	5
4180 Budget authority, net (total) .....	3	4	4
4190 Outlays, net (total) .....	8	7	5
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	132	127	123
5001 Total investments, EOY: Federal securities: Par value .....	127	123	122

This fund, authorized in the Omnibus Appropriations Act of 1999 (P.L. 105-277) as amended by the Water Resources Development Act of 1999 (P.L. 106-53), supports wildlife habitat restoration efforts undertaken by the State of South Dakota. The establishment of this fund satisfies the Federal obligation under the Fish and Wildlife Coordination Act (16 U.S.C. 1661 et seq.) to mitigate for the loss of habitat due to flooding from the Oahe

and Big Bend projects, which the Corps constructed under the Pick-Sloan Missouri River Basin program.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Offsetting receipts from the public:</b>			
96-143600 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	20	20	20
96-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	72	90	90
General Fund Offsetting receipts from the public .....	92	110	110
<b>Intragovernmental payments:</b>			
96-388600 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	1	1	1
General Fund Intragovernmental payments .....	1	1	1

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are shown in the schedules of the parent appropriation as follows:  
 State and Private Forestry, Forest Service, Department of Agriculture.  
 Construction, National Park Service, Department of the Interior.  
 Permit Processing Fund, Bureau of Land Management, Department of the Interior.  
 Emergency Relief Program, Federal Highway Administration, Department of Transportation.  
 Surface Transportation Priorities, Federal Highway Administration, Department of Transportation.  
 Federal Aid to Highways (Liquidation of Contract Authorization), Federal Highway Administration, Department of Transportation.  
 Bonneville Power Administration Fund, Power Marketing Administration, Department of Energy.

**ADMINISTRATIVE PROVISION**

*The Revolving Fund, Corps of Engineers, shall be available during the current fiscal year for purchase (not to exceed 100 for replacement only) and hire of passenger motor vehicles for the civil works program.*

**GENERAL PROVISIONS—CORPS OF ENGINEERS—CIVIL**

(INCLUDING CANCELLATIONS AND TRANSFERS OF FUNDS)

*SEC. 101. (a) None of the funds provided in this title shall be available for obligation or expenditure through a reprogramming of funds that:*

- (1) creates or initiates a new program, project, or activity;*
- (2) eliminates a program, project, or activity;*
- (3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by this Act, unless prior notice is transmitted to the House and Senate Committees on Appropriations;*
- (4) proposes to use funds directed for a specific activity for a different purpose, unless prior notice is transmitted to the House and Senate Committees on Appropriations;*
- (5) augments or reduces existing programs, projects or activities in excess of the amounts contained in subsections 6 through 10, unless prior notice is transmitted to the House and Senate Committees on Appropriations;*
- (6) INVESTIGATIONS.—For a base level over \$100,000, reprogramming of 25 percent of the base amount up to a limit of \$150,000 per project, study or activity is allowed: Provided, That for a base level less than \$100,000, the reprogramming limit is \$25,000: Provided further, That up to \$25,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;*
- (7) CONSTRUCTION.— For a base level over \$2,000,000, reprogramming of 15 percent of the base amount up to a limit of \$3,000,000 per project, study or activity is allowed: Provided, That for a base level less than \$2,000,000, the reprogramming limit is \$300,000: Provided*

further, That up to \$3,000,000 may be reprogrammed for settled contractor claims, changed conditions, or real estate deficiency judgments: Provided further, That up to \$300,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;

(8) OPERATION AND MAINTENANCE.—Unlimited reprogramming authority is granted in order for the Corps to be able to respond to emergencies: Provided, That the Chief of Engineers must notify the House and Senate Committees on Appropriations of these emergency actions as soon thereafter as practicable: Provided further, That for a base level over \$1,000,000, reprogramming of 15 percent of the base amount a limit of \$5,000,000 per project, study or activity is allowed: Provided further, That for a base level less than \$1,000,000, the reprogramming limit is \$150,000: Provided further, That \$150,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation;

(9) MISSISSIPPI RIVER AND TRIBUTARIES.—The same reprogramming guidelines for the Investigations, Construction, and Operation and Maintenance portions of the Mississippi River and Tributaries Account as listed above; and

(10) FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM.—Reprogramming of up to 15 percent of the base of the receiving project is permitted.

(b) DE MINIMIS REPROGRAMMINGS.—In no case should a reprogramming for less than \$50,000 be submitted to the House and Senate Committees on Appropriations.

(c) CONTINUING AUTHORITIES PROGRAM.—Subsection (a)(1) shall not apply to any project or activity funded under the continuing authorities program.

(d) Not later than 60 days after the date of enactment of this Act, the Corps of Engineers shall submit a report to the House and Senate Committees on Appropriations to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: Provided, That the report shall include:

(1) A table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level; and

(2) A delineation in the table for each appropriation both by object class and program, project and activity as detailed in the budget appendix for the respective appropriations.

SEC. 102. None of the funds made available in this title may be used to award or modify any contract that commits funds beyond the amounts appropriated for that program, project, or activity that remain unobligated, except that such amounts may include any funds that have been made available through reprogramming pursuant to section 101.

SEC. 103. None of the funds in this Act, or previous Acts, making funds available for Energy and Water Development, shall be used to award any continuing contract that commits additional funding from the Inland Waterways Trust Fund unless or until such time that a long-term mechanism to enhance revenues in this Fund sufficient to meet the cost-sharing authorized in the Water Resources Development Act of 1986 (Public Law 99-662) is enacted.

SEC. 104. During the fiscal year period covered by this Act, the Secretary of the Army is authorized to implement measures recommended in the efficacy study authorized under section 3061 of the Water Resources Development Act of 2007 (Public Law 110-114; 121 Stat. 1121) or in interim reports, with such modifications or emergency measures as the Secretary of the Army determines to be appropriate, to prevent aquatic nuisance species from dispersing into the Great Lakes by way of any hydrologic connection between the Great Lakes and the Mississippi River Basin.

SEC. 105. The Secretary of the Army may transfer to the Fish and Wildlife Service, and the Fish and Wildlife Service may accept and expend,

such funds as the Secretary and Director of the Fish and Wildlife Service determine, after consultation, are necessary to mitigate for fisheries lost due to Corps of Engineers projects.

SEC. 106. Section 3(a)(6) of Public Law 100-676 is amended by striking both occurrences of "\$775,000,000" and inserting in lieu thereof, "\$2,918,000,000".

SEC. 107. (a) Section 1001(17)(A) of Public Law 110-114 is amended—

(1) by striking "\$125,270,000" and inserting in lieu thereof, "\$152,510,000";

(2) by striking "\$75,140,000" and inserting in lieu thereof, "\$92,007,000"; and

(3) by striking "\$50,130,000" and inserting in lieu thereof, "\$60,503,000".

(b) The amendments made by subsection (a) shall take effect as of November 8, 2007.

SEC. 108. Section 401(a) of Public Law 99-662 under the heading "Little Calumet River Basin (Cady Marsh Ditch), Indiana", as amended by section 127 of Public Law 109-103, is further amended—

(a) by striking "\$198,000,000" and inserting in lieu thereof, "\$269,988,000";

(b) by striking "\$148,500,000" and inserting in lieu thereof, "\$202,800,000", and

(c) by striking "\$49,500,000" and inserting in lieu thereof, "\$67,188,000".

SEC. 109. The language under the heading "Construction" in chapter 4 of title X of division A of Public Law 113-2 is amended by striking the fifth and sixth provisos and inserting in lieu thereof, "Provided further, That local interests shall provide all lands, easements, rights-of-way, relocations and disposal areas (LERRDs) necessary for projects using these funds at no cost to the Government: Provided further, That cost sharing for implementation of any projects using these funds shall be 90 percent Federal and 10 percent non-Federal exclusive of LERRDs."

SEC. 110.

(a) Of the funds previously made available for "Corps of Engineers—Civil, Department of the Army" that remain unobligated as of the effective date of this Act, \$100,000,000 is hereby cancelled, to be derived from—

(1) all such funds specified in law under the "Construction, General" heading for Geneva, Alabama; technical studies of individual ditch systems identified by the State of Hawaii; Missouri River Restoration Project; and Bowie County Local Flood Protection, Red River, Texas;

(2) all such funds specified in law under the "Operation and Maintenance, General" heading to design and construct a landing at Gunterville, Alabama; and for Hansen Dam Recreation Area, California;

(3) all such funds specified in law under the "Construction" heading for Hawaii Water Management Project; rural health care facility on the Fort Berthold Reservation of the Three Affiliated Tribes, North Dakota; Dallas Floodway Extension, Texas; Greenbrier Basin, Marlinton, West Virginia, Local Protection Project; and Waterbury Dam, Vermont;

(4) all such funds specified in law under the "Operation and Maintenance" heading to reimburse the City of Glen Cove, New York for costs associated with maintenance dredging of Glen Cove Creek; and

(5) such funds provided under the "Construction, General", "Flood Control, Mississippi River and Tributaries, Arkansas, Illinois, Kentucky, Louisiana, Mississippi, Missouri, and Tennessee", "General Investigations", "Construction", "Investigations", and "Mississippi River and Tributaries" headings.

(b) None of the funds under subsection (a) may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

## OTHER DEFENSE—CIVIL PROGRAMS

### MILITARY RETIREMENT

#### Federal Funds

#### PAYMENT TO MILITARY RETIREMENT FUND

#### Program and Financing (in millions of dollars)

Identification code 97-0040-0-1-054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Treasury payment to Military Retirement Fund .....	64,751	67,733	70,272
0900 Total new obligations (object class 13.0) .....	64,751	67,733	70,272
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	64,751	67,733	70,272
1260 Appropriations, mandatory (total) .....	64,751	67,733	70,272
1930 Total budgetary resources available .....	64,751	67,733	70,272
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	64,751	67,733	70,272
3020 Outlays (gross) .....	-64,751	-67,733	-70,272
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	64,751	67,733	70,272
Outlays, gross:			
4100 Outlays from new mandatory authority .....	64,751	67,733	70,272
4180 Budget authority, net (total) .....	64,751	67,733	70,272
4190 Outlays, net (total) .....	64,751	67,733	70,272

The 2014 payment to the Military Retirement Fund includes funds for the amortization of the unfunded liability for all retirement benefits earned by military personnel for service prior to 1985. The amortization schedule for the unfunded liability is determined by the Department of Defense Retirement Board of Actuaries. Included in the unfunded liability are the consolidated requirements of the military departments to cover retired officers and enlisted personnel of the Army, Navy, Marine Corps, and Air Force; retainer pay of enlisted personnel of the Fleet Reserve of the Navy and Marine Corps; and survivors' benefits.

The 2004 National Defense Authorization Act (P.L. 108-136) created additional benefits for certain retirees who receive disability compensation from the Department of Veterans Affairs and moved the responsibility for payments under the Combat Related Special Compensation program to the Military Retirement Fund. Any additional funding requirements for retirees with service prior to 1985 will be included in this payment.

#### Trust Funds

#### MILITARY RETIREMENT FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 97-8097-0-7-602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	368,236	371,658	418,069
Receipts:			
0240 Employing Agency Contributions, Military Retirement Fund .....	22,050	20,876	20,763
0241 Earnings on Investments, Military Retirement Fund .....	-36,260	4,862	5,756
0242 Federal Contributions, Military Retirement Fund .....	64,751	67,733	70,272
0243 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund .....	5,376	6,791	6,970
0299 Total receipts and collections .....	55,917	100,262	103,761
0400 Total: Balances and collections .....	424,153	471,920	521,830
Appropriations:			
0500 Military Retirement Fund .....	-55,917	-101,755	-103,800
0501 Military Retirement Fund .....	-42,923	.....	.....

0502 Military Retirement Fund .....	46,345	47,904	48,228
0599 Total appropriations .....	-52,495	-53,851	-55,572
0799 Balance, end of year .....	371,658	418,069	466,258

#### Program and Financing (in millions of dollars)

Identification code 97-8097-0-7-602	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Nondisability .....	45,270	46,275	47,744
0002 Temporary disability .....	109	121	122
0003 Permanent disability .....	1,282	1,268	1,279
0004 Fleet reserve .....	1,942	2,141	2,209
0005 Survivors' benefits .....	3,892	4,046	4,218
0900 Total new obligations (object class 42.0) .....	52,495	53,851	55,572
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	55,917	101,755	103,800
1203 Appropriation (previously unavailable) .....	42,923	.....	.....
1235 Appropriations precluded from obligation .....	-46,345	-47,904	-48,228
1260 Appropriations, mandatory (total) .....	52,495	53,851	55,572
1930 Total budgetary resources available .....	52,495	53,851	55,572
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	345	4,052	4,245
3010 Obligations incurred, unexpired accounts .....	52,495	53,851	55,572
3020 Outlays (gross) .....	-48,788	-53,658	-55,373
3050 Unpaid obligations, end of year .....	4,052	4,245	4,444
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	345	4,052	4,245
3200 Obligated balance, end of year .....	4,052	4,245	4,444
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	52,495	53,851	55,572
Outlays, gross:			
4100 Outlays from new mandatory authority .....	48,098	49,606	51,306
4101 Outlays from mandatory balances .....	690	4,052	4,067
4110 Outlays, gross (total) .....	48,788	53,658	55,373
4180 Budget authority, net (total) .....	52,495	53,851	55,572
4190 Outlays, net (total) .....	48,788	53,658	55,373
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	326,040	376,439	423,808
5001 Total investments, EOY: Federal securities: Par value .....	376,439	423,808	472,411

Public Law 98-94 provided for accrual funding of the military retirement system and for the establishment of a Department of Defense Military Retirement Fund in 1985. The fund has three sources of income. The first is payments from the military personnel accounts, which cover the accruing costs of the future retirement benefits being earned by today's service members. The second source is interest on investments of the fund. The third source is made up of two payments from the general fund of the Treasury. The first Treasury payment covers a portion of the accrued unfunded liability for all the retirees and current members who had earned benefits before the accrual funding system was set up. The second Treasury payment covers the liability for concurrent receipt of military retired pay and disability compensation paid by the Department of Veterans Affairs. This benefit was added in the 2004 National Defense Authorization Act.

The status of the fund is as follows:

**MILITARY RETIREMENT FUND—Continued**  
**Status of Funds** (in millions of dollars)

Identification code 97-8097-0-7-602	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	368,581	375,710	422,314
0199 Total balance, start of year .....	368,581	375,710	422,314
Cash income during the year:			
Current law:			
Offsetting receipts (intragovernmental):			
1240 Employing Agency Contributions, Military Retirement Fund .....	22,050	20,876	20,763
1241 Earnings on Investments, Military Retirement Fund .....	-36,260	4,862	5,756
1242 Federal Contributions, Military Retirement Fund .....	64,751	67,733	70,272
1243 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund .....	5,376	6,791	6,970
1299 Income under present law .....	55,917	100,262	103,761
3299 Total cash income .....	55,917	100,262	103,761
Cash outgo during year:			
Current law:			
4500 Military Retirement Fund .....	-48,788	-53,658	-55,373
4599 Outgo under current law (-) .....	-48,788	-53,658	-55,373
6599 Total cash outgo (-) .....	-48,788	-53,658	-55,373
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	-729	-1,494	-1,709
8701 Military Retirement Fund .....	376,439	423,808	472,411
8799 Total balance, end of year .....	375,710	422,314	470,702

**RETIREE HEALTH CARE**  
**Federal Funds**

**PAYMENT TO DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND**

**Program and Financing** (in millions of dollars)

Identification code 97-0850-0-1-054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Uniformed Retiree Health Care Fund .....	6,716	6,142	5,474
0900 Total new obligations (object class 13.0) .....	6,716	6,142	5,474
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	6,716	6,142	5,474
1260 Appropriations, mandatory (total) .....	6,716	6,142	5,474
1930 Total budgetary resources available .....	6,716	6,142	5,474
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	6,716	6,142	5,474
3020 Outlays (gross) .....	-6,716	-6,142	-5,474
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	6,716	6,142	5,474
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,716	6,142	5,474
4180 Budget authority, net (total) .....	6,716	6,142	5,474
4190 Outlays, net (total) .....	6,716	6,142	5,474

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	6,716	6,142	5,474
Outlays .....	6,716	6,142	5,474
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-1,818
Outlays .....			-1,818
Total:			
Budget Authority .....	6,716	6,142	3,656

Outlays .....	6,716	6,142	3,656
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**PAYMENT TO DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND**

**(Legislative proposal, not subject to PAYGO)**

**Program and Financing** (in millions of dollars)

Identification code 97-0850-2-1-054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Uniformed Retiree Health Care Fund .....			-1,529
0002 Payment to the Uniformed Retiree Health Care Fund .....			-289
0900 Total new obligations .....			-1,818
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-1,529
1200 Appropriation .....			-289
1260 Appropriations, mandatory (total) .....			-1,818
1930 Total budgetary resources available .....			-1,818
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-1,818
3020 Outlays (gross) .....			1,818
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-1,818
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-1,818
4180 Budget authority, net (total) .....			-1,818
4190 Outlays, net (total) .....			-1,818

**Object Classification** (in millions of dollars)

Identification code 97-0850-2-1-054	2012 actual	2013 CR	2014 est.
Direct obligations:			
13.0 Benefits for former personnel .....			-1,529
13.0 Benefits for former personnel .....			-289
99.9 Total new obligations .....			-1,818

**DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 97-5472-0-2-551	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	185,626	175,315	184,859
Receipts:			
0240 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	300	232	214
0241 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-14
0242 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-2
0243 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....	-19,436	4,156	4,115
0244 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....			-25
0245 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....			-15
0246 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	6,716	6,142	5,474
0247 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-1,529
0248 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-289
0249 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	10,845	8,297	7,258
0250 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-514
0251 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-64

0299	Total receipts and collections .....	-1,575	18,827	14,609
0400	Total: Balances and collections .....	184,051	194,142	199,468
<b>Appropriations:</b>				
0500	Department of Defense Medicare-Eligible Retiree Health Care Fund .....	1,575	-20,317	-20,288
0501	Department of Defense Medicare-Eligible Retiree Health Care Fund .....	-24,549		
0502	Department of Defense Medicare-Eligible Retiree Health Care Fund .....	14,238	11,034	10,789
0503	Department of Defense Medicare-Eligible Retiree Health Care Fund .....			2,083
0504	Department of Defense Medicare-Eligible Retiree Health Care Fund .....			370
0505	Department of Defense Medicare-Eligible Retiree Health Care Fund .....			-2,083
0506	Department of Defense Medicare-Eligible Retiree Health Care Fund .....			-370
0599	Total appropriations .....	-8,736	-9,283	-9,499
0799	Balance, end of year .....	175,315	184,859	189,969

**Program and Financing** (in millions of dollars)

Identification code 97-5472-0-2-551	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	DoD Medicare-eligible retiree health care payments .....	8,736	9,283	9,499
0900	Total new obligations (object class 13.0) .....	8,736	9,283	9,499
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	-1,575	20,317	20,288
1203	Appropriation (previously unavailable) .....	24,549		
1235	Appropriations precluded from obligation .....	-14,238	-11,034	-10,789
1260	Appropriations, mandatory (total) .....	8,736	9,283	9,499
1930	Total budgetary resources available .....	8,736	9,283	9,499
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	523	591	
3010	Obligations incurred, unexpired accounts .....	8,736	9,283	9,499
3020	Outlays (gross) .....	-8,668	-9,874	-9,499
3050	Unpaid obligations, end of year .....	591		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	523	591	
3200	Obligated balance, end of year .....	591		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	8,736	9,283	9,499
Outlays, gross:				
4100	Outlays from new mandatory authority .....	8,145	9,283	9,499
4101	Outlays from mandatory balances .....	523	591	
4110	Outlays, gross (total) .....	8,668	9,874	9,499
4180	Budget authority, net (total) .....	8,736	9,283	9,499
4190	Outlays, net (total) .....	8,668	9,874	9,499
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	161,741	176,113	185,288
5001	Total investments, EOY: Federal securities: Par value .....	176,113	185,288	193,054

Public Law 106-398 provides for accrual funding for health care to Medicare-eligible retirees. The statute establishes an accrual health care fund which has three sources of funding. The first is contributions from employing agencies, which cover the liability for future benefits accruing to current service members. The second is an annual payment from the general fund of the Treasury on the accrued unfunded liability, and the third source is income from the investment of fund balances.

The Budget includes a proposal to implement a modest annual enrollment fee for TRICARE-for-Life coverage for retirees and their family members age 65 and older (with full grandfathering of those Medicare-eligible retirees who are already receiving TRICARE benefits at the time of enactment). Also included is a proposal for increases to pharmacy prescription co-payments for

active duty families and all retirees to incentivize usage of mail order and generic drugs. In addition to discretionary savings in the Defense Health Program, the proposals reduce future accrual costs, resulting in reduced discretionary contributions to the Medicare Eligible Retiree Health Care Fund by the Services, Coast Guard, Public Health Service, National Oceanic and Atmospheric Administration and the Department of the Treasury.

**Status of Funds** (in millions of dollars)

Identification code 97-5472-0-2-551	2012 actual	2013 CR	2014 est.	
Unexpended balance, start of year:				
0100	Balance, start of year .....	186,149	175,906	184,859
0199	Total balance, start of year .....	186,149	175,906	184,859
Cash income during the year:				
Current law:				
Offsetting receipts (intragovernmental):				
1240	Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	300	232	214
1243	Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....	-19,436	4,156	4,115
1246	Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	6,716	6,142	5,474
1249	Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	10,845	8,297	7,258
1299	Income under present law .....	-1,575	18,827	17,061
Proposed legislation:				
Offsetting receipts (intragovernmental):				
2241	Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-14
2242	Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-2
2244	Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....			-25
2245	Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....			-15
2247	Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-1,529
2248	Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-289
2250	Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-514
2251	Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-64
2299	Income under proposed legislation .....			-2,452
3299	Total cash income .....	-1,575	18,827	14,609
Cash outgo during year:				
Current law:				
4500	Department of Defense Medicare-Eligible Retiree Health Care Fund .....	-8,668	-9,874	-9,499
4599	Outgo under current law (-) .....	-8,668	-9,874	-9,499
6599	Total cash outgo (-) .....	-8,668	-9,874	-9,499
Unexpended balance, end of year:				
8700	Uninvested balance (net), end of year .....	-207	-429	-1,003
8701	Department of Defense Medicare-Eligible Retiree Health Care Fund .....			-2,082
8701	Department of Defense Medicare-Eligible Retiree Health Care Fund .....	176,113	185,288	193,054
8799	Total balance, end of year .....	175,906	184,859	189,969

**DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 97-5472-4-2-551	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....			-2,083
1201	Appropriation (special or trust fund) .....			-370
1235	Appropriations precluded from obligation .....			2,083
1235	Appropriations precluded from obligation .....			370

DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE  
FUND—Continued

Program and Financing—Continued

Identification code 97-5472-4-2-551	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			-2,082

**EDUCATIONAL BENEFITS**

*Trust Funds*

EDUCATION BENEFITS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 97-8098-0-7-702	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	2,159	1,882	1,904
<b>Receipts:</b>			
0240 Employing Agency Contributions, Education Benefits Fund .....	183	238	96
0241 Interest on Investments, Education Benefits Fund .....	-94	91	74
0299 Total receipts and collections .....	89	329	170
0400 Total: Balances and collections .....	2,248	2,211	2,074
<b>Appropriations:</b>			
0500 Education Benefits Fund .....	-89	-329	-171
0501 Education Benefits Fund .....	-308	.....	-132
0502 Education Benefits Fund .....	31	22	.....
0599 Total appropriations .....	-366	-307	-303
0799 Balance, end of year .....	1,882	1,904	1,771

Program and Financing (in millions of dollars)

Identification code 97-8098-0-7-702	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Active duty program .....	105	92	106
0002 Selected Reserve program .....	261	215	197
0900 Total new obligations (object class 13.0) .....	366	307	303
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	89	329	171
1203 Appropriation (previously unavailable) .....	308	.....	132
1235 Appropriations precluded from obligation .....	-31	-22	.....
1260 Appropriations, mandatory (total) .....	366	307	303
1930 Total budgetary resources available .....	366	307	303
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	366	307	303
3020 Outlays (gross) .....	-366	-307	-303
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	366	307	303
Outlays, gross:			
4100 Outlays from new mandatory authority .....	366	307	303
4180 Budget authority, net (total) .....	366	307	303
4190 Outlays, net (total) .....	366	307	303
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,008	1,891	1,913
5001 Total investments, EOY: Federal securities: Par value .....	1,891	1,913	1,781

The 1985 Department of Defense Authorization Act, Public Law 98-525, as amended by Public Laws 100-48 and 108-375, and the Post 9/11 Veterans Educational Assistance Improvements Act of 2010, Public Law 111-377, provide for the accrual funding of certain education benefits for active duty military personnel under the authority of Chapters 30 and 33, Title 38 U.S.C., and to selected Reserve personnel under the authority of Chapters

1606 and 1607, Title 10 U.S.C. The fund is financed through actuarially determined Government contributions from the Department of Defense military personnel appropriations and interest on investments. Funds are transferred to the Department of Veterans Affairs to make benefit payments to eligible personnel. The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 97-8098-0-7-702	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	2,161	1,884	1,906
0199 Total balance, start of year .....	2,161	1,884	1,906
Cash income during the year:			
Current law:			
Offsetting receipts (intragovernmental):			
1240 Employing Agency Contributions, Education Benefits Fund .....	183	238	96
1241 Interest on Investments, Education Benefits Fund .....	-94	91	74
1299 Income under present law .....	89	329	170
3299 Total cash income .....	89	329	170
Cash outgo during year:			
Current law:			
4500 Education Benefits Fund .....	-366	-307	-303
4599 Outgo under current law (-) .....	-366	-307	-303
6599 Total cash outgo (-) .....	-366	-307	-303
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	-7	-7	-8
8701 Education Benefits Fund .....	1,891	1,913	1,781
8799 Total balance, end of year .....	1,884	1,906	1,773

**AMERICAN BATTLE MONUMENTS COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, including the acquisition of land or interest in land in foreign countries; purchases and repair of uniforms for caretakers of national cemeteries and monuments outside of the United States and its territories and possessions; rent of office and garage space in foreign countries; purchase (one-for-one replacement basis only) and hire of passenger motor vehicles; not to exceed \$7,500 for official reception and representation expenses; and insurance of official motor vehicles in foreign countries, when required by law of such countries, \$58,200,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 74-0100-0-1-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administration and U.S. memorials .....	12	17	14
0002 Overseas memorials and cemeteries .....	62	60	58
0900 Total new obligations .....	74	77	72
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	27	37
1011 Unobligated balance transfer from other accts [74-0101] .....	8	10	10
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	29	37	47
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	61	61	58
1121 Appropriations transferred from other accts [74-0101] .....	11	16	14
1160 Appropriation, discretionary (total) .....	72	77	72
1930 Total budgetary resources available .....	101	114	119

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	27	37	47
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	31	36	57
3010	Obligations incurred, unexpired accounts .....	74	77	72
3020	Outlays (gross) .....	-64	-56	-56
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050	Unpaid obligations, end of year .....	36	57	73
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	31	36	57
3200	Obligated balance, end of year .....	36	57	73
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	72	77	72
Outlays, gross:				
4010	Outlays from new discretionary authority .....		46	43
4011	Outlays from discretionary balances .....	64	10	13
4020	Outlays, gross (total) .....	64	56	56
4180	Budget authority, net (total) .....	72	77	72
4190	Outlays, net (total) .....	64	56	56

The American Battle Monuments Commission is responsible for: the maintenance and construction of U.S. monuments and memorials commemorating the achievements in battle of our Armed Forces since 1917; controlling erection of monuments and markers by U.S. citizens and organizations in foreign countries; and the design, construction, and maintenance of permanent military cemetery memorials in foreign countries. The Commission requests 400 full-time equivalent (FTE) civilian employees to manage and support the annual investment in maintenance, infrastructure, and interpretive projects.

**Object Classification** (in millions of dollars)

Identification code 74-0100-0-1-705	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	20	23	20
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	22	25	22
12.1	Civilian personnel benefits .....	11	12	11
21.0	Travel and transportation of persons .....	1	1	1
22.0	Transportation of things .....	1		
23.1	Rental payments to GSA .....	1		
23.3	Communications, utilities, and miscellaneous charges .....	2	2	3
25.1	Advisory and assistance services .....	2	2	2
25.2	Other services from non-Federal sources .....	1	2	1
25.3	Other goods and services from Federal sources .....	3	3	3
25.4	Operation and maintenance of facilities .....	10	18	17
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	1	2	2
32.0	Land and structures .....	16	7	7
99.9	Total new obligations .....	74	77	72

**Employment Summary**

Identification code 74-0100-0-1-705	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	393	400	400

**FOREIGN CURRENCY FLUCTUATIONS ACCOUNT**

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, such sums as may be necessary, to remain available until expended, for purposes authorized by section 2109 of title 36, United States Code.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 74-0101-0-1-705	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	23	20	10
1010	Unobligated balance transfer to other accts [74-0100] .....	-8	-10	-10
1050	Unobligated balance (total) .....	15	10	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	16	16	14
1120	Appropriations transferred to other accts [74-0100] .....	-11	-16	-14
1160	Appropriation, discretionary (total) .....	5		
1930	Total budgetary resources available .....	20	10	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	20	10	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5		
4180	Budget authority, net (total) .....	5		

The agency has a currency fluctuation account that insulates its appropriation's buying power from changes in exchange rates. Under "such sums as may be necessary" language, the Commission estimates \$14.1 million will be required in 2014 to address exchange rate imbalances. The Commission will continue to estimate and report its Foreign Currency Fluctuation Account requirements.

**Trust Funds**  
CONTRIBUTIONS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 74-8569-0-7-705	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....			1
Receipts:				
0220	Contributions, American Battle Monuments Commission .....	1	1	1
0240	Earnings on Investments, American Battle Monuments Commission .....		1	1
0299	Total receipts and collections .....	1	2	2
0400	Total: Balances and collections .....	1	2	3
Appropriations:				
0500	Contributions .....	-1	-1	-1
0799	Balance, end of year .....		1	2

**Program and Financing** (in millions of dollars)

Identification code 74-8569-0-7-705	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0004	World War II Memorial .....	3	2	2
0900	Total new obligations (object class 25.4) .....	3	2	2
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10	8	7
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	1	1	1
1260	Appropriations, mandatory (total) .....	1	1	1
1930	Total budgetary resources available .....	11	9	8
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	8	7	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		3	2

CONTRIBUTIONS—Continued  
Program and Financing—Continued

Identification code 74-8569-0-7-705		2012 actual	2013 CR	2014 est.
3010	Obligations incurred, unexpired accounts .....	3	2	2
3020	Outlays (gross) .....		-3	
3050	Unpaid obligations, end of year .....	3	2	4
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		3	2
3200	Obligated balance, end of year .....	3	2	4
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	1	1
Outlays, gross:				
4101	Outlays from mandatory balances .....		3	
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....		3	
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	4	4	4
5001	Total investments, EOY: Federal securities: Par value .....	4	4	4

*Purchase of flowers.*—Private citizens contribute funds for the purchase of flowers to decorate graves and tablets of the missing at the cemeteries and memorials administered by the Commission.

*Repair of non-Federal war memorials.*—When requested to do so and upon receipt of the necessary funds, the Commission arranges for and oversees the repair of war memorials to U.S. Forces erected in foreign countries by American citizens, States, municipalities, or associations.

**ARMED FORCES RETIREMENT HOME**

*Federal Funds*

Program and Financing (in millions of dollars)

Identification code 84-0100-0-1-602		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	15	15	
0900	Total new obligations (object class 32.0) .....	15	15	
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	15	15	
1160	Appropriation, discretionary (total) .....	15	15	
1930	Total budgetary resources available .....	15	15	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	15	15	
3020	Outlays (gross) .....	-15	-15	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	15	15	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	15	15	
4180	Budget authority, net (total) .....	15	15	
4190	Outlays, net (total) .....	15	15	

**Trust Funds**

ARMED FORCES RETIREMENT HOME

TRUST FUND

*For expenses necessary for the Armed Forces Retirement Home to operate and maintain the Armed Forces Retirement Home—Washington, District of Columbia, and the Armed Forces Retirement Home—Gulfport, Missis-*

*siippi, to be paid from funds available in the Armed Forces Retirement Home Trust Fund, \$67,800,000, of which \$1,000,000 shall remain available until expended for construction and renovation of the physical plants at the Armed Forces Retirement Home—Washington, District of Columbia, and the Armed Forces Retirement Home—Gulfport, Mississippi.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 84-8522-0-7-602		2012 actual	2013 CR	2014 est.
0100	Balance, start of year .....	37	29	21
Receipts:				
0200	Deductions, Armed Forces Retirement Home .....	7	7	7
0201	Fines and Forfeitures, Armed Forces Retirement Home .....	35	36	37
0220	Other Receipts, Armed Forces Retirement Home .....	12	13	14
0221	Gifts, Armed Forces Retirement Home .....			1
0222	Property Sales/Leases, Armed Forces Retirement Home .....			1
0240	Interest from Investments, Armed Forces Retirement Home .....	5	5	4
0241	General Fund Payment to the Armed Forces Retirement Home .....	15	15	
0299	Total receipts and collections .....	74	76	64
0400	Total: Balances and collections .....	111	105	85
Appropriations:				
0500	Armed Forces Retirement Home .....	-82	-84	-68
0799	Balance, end of year .....	29	21	17

Program and Financing (in millions of dollars)

Identification code 84-8522-0-7-602		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	Operations and maintenance .....	66	67	67
0002	Construction .....	19	17	1
0900	Total new obligations .....	85	84	68
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	29	33	34
1021	Recoveries of prior year unpaid obligations .....	7	1	1
1050	Unobligated balance (total) .....	36	34	35
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	82	84	68
1160	Appropriation, discretionary (total) .....	82	84	68
1930	Total budgetary resources available .....	118	118	103
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	33	34	35
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	97	67	25
3010	Obligations incurred, unexpired accounts .....	85	84	68
3020	Outlays (gross) .....	-108	-125	-66
3040	Recoveries of prior year unpaid obligations, unexpired .....	-7	-1	-1
3050	Unpaid obligations, end of year .....	67	25	26
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	97	67	25
3200	Obligated balance, end of year .....	67	25	26
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	82	84	68
Outlays, gross:				
4010	Outlays from new discretionary authority .....	51	49	50
4011	Outlays from discretionary balances .....	57	76	16
4020	Outlays, gross (total) .....	108	125	66
4180	Budget authority, net (total) .....	82	84	68
4190	Outlays, net (total) .....	108	125	66
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	158	112	42
5001	Total investments, EOY: Federal securities: Par value .....	112	42	44

Public Law 101–510 created an Armed Forces Retirement Home (AFRH) Trust Fund to finance the AFRH-Gulfport and the AFRH-Washington Homes. The Homes are financed by appropriations drawn from the Trust Fund. The AFRH provides, through the Armed Forces Retirement Home—Gulfport (AFRH-G) and Armed Forces Retirement Home—Washington (AFRH-W), residences and related services for certain retired and former members of the Armed Forces and the Coast Guard. The members receiving domiciliary and hospital care are:

	2012 actual	2013 est.	2014 est.
Domiciliary care .....	859	924	924
Hospital care .....	150	221	221
Total members .....	1009	1145	1145

During 2012, AFRH was able to effectively complete the first full year of operations at both Homes (since Hurricane Katrina); utilize the \$14.6M funding provided for the damages sustained during the earthquake and obtain the eighth consecutive unqualified audit opinion. In 2013, AFRH plans to align operations to coincide with a reduced footprint. 2013 will mark the opening of an energy efficient healthcare and commons facility called the Scott dormitory that houses long-term care and memory support residents along with many healthcare and common programs for residents. The opening of the Scott dormitory will eliminate the need to operate the Power Plant which supplies heat to the current long-term care facility. In 2014, AFRH will continue to align costs to realize efficiencies while maintaining acceptable service.

#### Object Classification (in millions of dollars)

Identification code 84–8522–0–7–602	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	16	19	21
11.5 Other personnel compensation .....	2		
11.9 Total personnel compensation .....	18	19	21
12.1 Civilian personnel benefits .....	7	7	7
13.0 Benefits for former personnel .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	5	6
25.1 Advisory and assistance services .....	4	2	2
25.2 Other services from non-Federal sources .....	5	21	19
25.3 Other goods and services from Federal sources .....	4		
25.4 Operation and maintenance of facilities .....	6	3	3
25.6 Medical care .....	5	4	3
25.7 Operation and maintenance of equipment .....	3		
25.8 Subsistence and support of persons .....	4		
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	1		
32.0 Land and structures .....	19	17	1
99.9 Total new obligations .....	85	84	68

#### Employment Summary

Identification code 84–8522–0–7–602	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	278	336	336

## CEMETERIAL EXPENSES

### Federal Funds

#### CEMETERIAL EXPENSES, ARMY

##### SALARIES AND EXPENSES

For necessary expenses, as authorized by law, for maintenance, operation, and improvement of Arlington National Cemetery and Soldiers' and Airmen's Home National Cemetery, including the purchase or lease of passenger motor vehicles for replacement on a one-for-one basis only, and not to exceed \$1,000 for official reception and representation expenses, \$45,800,000, to remain available until expended. In addition, such sums as may be necessary for parking maintenance, repairs and replacement,

to be derived from the "Lease of Department of Defense Real Property for Defense Agencies" account. Funds appropriated under this Act may be provided to Arlington County, Virginia, for the relocation of the federally owned water main at Arlington National Cemetery making additional land available for ground burials.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 21–1805–0–1–705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0008 Army National Cemeteries .....	62	46	46
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	10	10
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	26	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	46	46	46
1160 Appropriation, discretionary (total) .....	46	46	46
1930 Total budgetary resources available .....	72	56	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	49	44	43
3010 Obligations incurred, unexpired accounts .....	62	46	46
3020 Outlays (gross) .....	–56	–47	–55
3040 Recoveries of prior year unpaid obligations, unexpired .....	–11		
3050 Unpaid obligations, end of year .....	44	43	34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	49	44	43
3200 Obligated balance, end of year .....	44	43	34
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	46	46	46
Outlays, gross:			
4010 Outlays from new discretionary authority .....	46	23	23
4011 Outlays from discretionary balances .....	10	24	32
4020 Outlays, gross (total) .....	56	47	55
4180 Budget authority, net (total) .....	46	46	46
4190 Outlays, net (total) .....	56	47	55

**Operation and maintenance.**—Funding supports day-to-day operations of the Army National Cemeteries Program, including planning and execution for more than 7,000 interments and inurnments annually, as well as routine repairs made to facilities, contracted services, and horticultural work at Arlington National Cemetery and the Soldiers' and Airmen's Home National Cemetery.

In addition to incurring \$45.8 million in obligations in this Cemeterial Expenses, Army account, the Army will also support Arlington National Cemetery by providing at least an additional \$25 million in its operation and maintenance account in 2014. These funds are to assist in the repair and restoration of the aging infrastructure at Arlington National Cemetery.

**Construction.**—A ten-year capital investment plan has been developed to manage all construction, major rehabilitation, major maintenance, automation and study efforts. Funding supports long-term planning and capital investments made in construction of facilities, land improvements, and other major infrastructure sustainment, restoration, and maintenance.

The work contemplated includes converting the Millennium land to burial sites and planning and design for future expansion efforts. The military construction project is explicitly identified

CEMETERIAL EXPENSES, ARMY—Continued

and included in the budget justification materials submitted to Congress. The Army is addressing the Navy Annex project and plans to request those resources in future budget submissions.

*Administration.*—Funding provides administrative support for the Army National Cemetery Program, including work conducted or supported by other agencies.

Object Classification (in millions of dollars)

Identification code 21-1805-0-1-705	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	10	12	13
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	11	12	13
12.1 Civilian personnel benefits .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	2	1
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	13	19	22
25.4 Operation and maintenance of facilities .....	4	2	2
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	6	1	
32.0 Land and structures .....	21	5	3
99.9 Total new obligations .....	62	46	46

Employment Summary

Identification code 21-1805-0-1-705	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	133	201	201

FOREST AND WILDLIFE CONSERVATION,  
MILITARY RESERVATIONS

Federal Funds

WILDLIFE CONSERVATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 97-5095-0-2-303	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0220 Sales of Hunting and Fishing Permits, Military Reservations .....	2	3	3
0400 Total: Balances and collections .....	2	3	3
<b>Appropriations:</b>			
0500 Wildlife Conservation .....	-2	-3	-3
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 97-5095-0-2-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Department of the Army .....	5	4	3
0900 Total new obligations (object class 26.0) .....	5	4	3
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	10	8	7
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	11	8	7
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	2	3	3
1260 Appropriations, mandatory (total) .....	2	3	3
1930 Total budgetary resources available .....	13	11	10
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8	7	7

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	6	1
3010 Obligations incurred, unexpired accounts .....	5	4	3
3020 Outlays (gross) .....	-2	-9	-3
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	6	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	6	1
3200 Obligated balance, end of year .....	6	1	1

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	3	3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		3	3
4101 Outlays from mandatory balances .....	2	6	
4110 Outlays, gross (total) .....	2	9	3
4180 Budget authority, net (total) .....	2	3	3
4190 Outlays, net (total) .....	2	9	3

These appropriations provide for development and conservation of fish and wildlife and recreational facilities on military installations. Proceeds from the sale of fishing and hunting permits are used for these programs at Army, Navy, Marine Corps, and Air Force installations charging such user fees. These programs are carried out through cooperative plans agreed upon by the local representatives of the Secretary of Defense, the Secretary of the Interior, and the appropriate agency of the State in which the installation is located.

SELECTIVE SERVICE SYSTEM

Federal Funds

SALARIES AND EXPENSES

*For necessary expenses of the Selective Service System, including expenses of attendance at meetings, and of training for uniformed personnel assigned to the Selective Service System, as authorized by 5 U.S.C. 4101-4118 for civilian employees; hire of passenger motor vehicles; services as authorized by 5 U.S.C. 3109; and not to exceed \$750 for official reception and representation expenses; \$24,134,000: Provided, That during the current fiscal year, the President may exempt this appropriation from the provisions of 31 U.S.C. 1341, whenever the President deems such action to be necessary in the interest of national defense: Provided further, That none of the funds appropriated by this Act may be expended for or in connection with the induction of any person into the Armed Forces of the United States.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 90-0400-0-1-054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Selective Service System .....	24	24	24
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	24	24	24
1160 Appropriation, discretionary (total) .....	24	24	24
1930 Total budgetary resources available .....	24	24	24
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	4	5
3010 Obligations incurred, unexpired accounts .....	24	24	24
3020 Outlays (gross) .....	-23	-23	-23
3050 Unpaid obligations, end of year .....	4	5	6

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	4	5
3200	Obligated balance, end of year .....	4	5	6
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	24	24	24
Outlays, gross:				
4010	Outlays from new discretionary authority .....	20	19	19
4011	Outlays from discretionary balances .....	3	4	4
4020	Outlays, gross (total) .....	23	23	23
4180	Budget authority, net (total) .....	24	24	24
4190	Outlays, net (total) .....	23	23	23

The Selective Service System (SSS) continues to register men as they reach age 18, as required by law, and maintain an active database of registrant records. Should the Nation return to conscription for a national emergency, the agency would have the first draftees at military processing centers according to the mobilization plan. The agency also manages a program for the Nation's conscientious objectors in cooperation with the Department of Defense. All Reserve Force Officers participating in the Selective Service System program will remain at 175 in 2013 and 2014 to reflect requirements.

The SSS will continue to strengthen its partnership with the Armed Services. The Agency will continue its national initiative to offer every young man that receives a registration acknowledgment, almost two million annually, the opportunity to volunteer for the military services.

SSS will maintain a modernized information technology system to improve business processes, while helping to sustain an all volunteer military by aiding recruiting with its agency mailings. Relevant technology will ensure faster, more accurate registration processing, as well as more secure storage of personally identifiable information. It will also foster better customer service via the Internet.

#### Object Classification (in millions of dollars)

Identification code 90-0400-0-1-054	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	12	12	12
11.8	Special personal services payments .....	1	1	1
11.9	Total personnel compensation .....	13	13	13
12.1	Civilian personnel benefits .....	3	3	3
23.1	Rental payments to GSA .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	4	4	4
99.9	Total new obligations .....	24	24	24

#### Employment Summary

Identification code 90-0400-0-1-054	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	118	124	124



# ENVIRONMENTAL PROTECTION AGENCY

## Federal Funds

### OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$45,227,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 68–0112–0–1–304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	4	4	4
0012 Clean and Safe Water .....	21	19	19
0013 Land Preservation and Restoration .....	20	19	18
0014 Healthy Communities and Ecosystems .....	3	3	3
0015 Compliance and Environmental Stewardship .....	2	2	2
0016 Recovery Act .....	6	.....	.....
0799 Total direct obligations .....	56	47	46
0801 Reimbursable from Superfund Trust Fund .....	12	10	11
0900 Total new obligations .....	68	57	57
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	8	3
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	26	8	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	42	42	45
1160 Appropriation, discretionary (total) .....	42	42	45
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	10	11
1701 Change in uncollected payments, Federal sources .....	-1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	10	10	11
1900 Budget authority (total) .....	52	52	56
1930 Total budgetary resources available .....	78	60	59
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	8	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	13	11
3010 Obligations incurred, unexpired accounts .....	68	57	57
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-60	-59	-51
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	13	11	17
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	8	6
3200 Obligated balance, end of year .....	8	6	12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52	52	56
Outlays, gross:			
4010 Outlays from new discretionary authority .....	36	40	43
4011 Outlays from discretionary balances .....	24	19	8
4020 Outlays, gross (total) .....	60	59	51
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-12	-10	-11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....

4052	Offsetting collections credited to expired accounts .....	1	.....	.....
4060	Additional offsets against budget authority only (total) .....	2	.....	.....
4070	Budget authority, net (discretionary) .....	42	42	45
4080	Outlays, net (discretionary) .....	48	49	40
4180	Budget authority, net (total) .....	42	42	45
4190	Outlays, net (total) .....	48	49	40

This appropriation supports the Environmental Protection Agency's (EPA) core programs by providing funds for Office of Inspector General (OIG) audit, evaluation, and investigative products and advisory services. These products and services contribute substantially to improved environmental quality and human health, as well as improved business practices and accountability. Specifically, the OIG performs contract audits and investigations that focus on costs claimed by contractors and assess the effectiveness of contract management. Assistance agreement audits and investigations evaluate the award, administration, and costs of assistance agreements. Program audits, evaluations, and investigations determine the extent to which the desired results or benefits envisioned by the Administration and the Congress are being achieved, and identify activities that could undermine the integrity, efficiency, and effectiveness of EPA programs. Financial statement audits review financial systems and statements to ensure that adequate controls are in place and EPA's accounting information is timely, accurate, reliable and useful, and complies with applicable laws and regulations. Efficiency, risk assessment, and program performance audits review the economy, efficiency, and effectiveness of operations by examining EPA's structure and processes for achieving environmental goals, including assessing risk, setting priorities, developing implementation strategies, and measuring performance. Information resource management audits review EPA information technology and systems to test the integrity of data and systems controls, as well as compliance with a variety of Federal information security laws and requirements. Investigations prevent, detect, and seek prosecution for criminal activity and serious misconduct in EPA programs and operations. Major areas of investigative focus include: financial fraud, infrastructure/terrorist threat, program integrity, employee integrity, cyber crimes, and theft of intellectual or sensitive data. In addition, the EPA Inspector General serves as the IG for the U.S. Chemical Safety and Hazard Investigation Board providing the full range of audit, evaluation, and investigative services specified by the Inspector General Act, as amended. Additional funds for audit, evaluation, and investigative activities associated with the Superfund Trust Fund are appropriated under that account and transferred to the Inspector General account. This appropriation also supports activities under the Working Capital Fund.

#### Object Classification (in millions of dollars)

Identification code 68–0112–0–1–304	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	27	27	27
11.1 Full-time permanent - Recovery Act .....	2	.....	.....
11.3 Other than full-time permanent - Recovery Act .....	2	.....	.....
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	33	29	29
12.1 Civilian personnel benefits .....	9	9	8
21.0 Travel and transportation of persons .....	2	2	2
25.1 Advisory and assistance services - Recovery Act .....	3	.....	.....
25.2 Other services from non-Federal sources .....	5	4	4
25.3 Other goods and services from Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	2	1	1

OFFICE OF INSPECTOR GENERAL—Continued  
Object Classification—Continued

Identification code 68-0112-0-1-304	2012 actual	2013 CR	2014 est.
99.0 Direct obligations .....	56	47	46
99.0 Reimbursable obligations .....	12	10	11
99.9 Total new obligations .....	68	57	57

Employment Summary

Identification code 68-0112-0-1-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	289	293	300
2001 Reimbursable civilian full-time equivalent employment .....	63	65	66

SCIENCE AND TECHNOLOGY

For science and technology, including research and development activities, which shall include research and development activities under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended; necessary expenses for personnel and related costs and travel expenses; procurement of laboratory equipment and supplies; and other operating expenses in support of research and development, \$783,926,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 68-0107-0-1-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	267	258	254
0012 Clean and Safe Water .....	149	144	142
0013 Land Preservation and Restoration .....	200	193	191
0014 Healthy Communities and Ecosystems .....	190	184	181
0015 Compliance and Environmental Stewardship .....	20	19	19
0799 Total direct obligations .....	826	798	787
0801 Reimbursements from Superfund Trust Fund .....	25	25	25
0802 Other Reimbursements .....	7	6	6
0899 Total reimbursable obligations .....	32	31	31
0900 Total new obligations .....	858	829	818

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	144	141	174
1021 Recoveries of prior year unpaid obligations .....	30	32	30
1050 Unobligated balance (total) .....	174	173	204
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	795	799	784
1130 Appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	794	799	784
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	32	31	31
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	36	31	31
1900 Budget authority (total) .....	830	830	815
1930 Total budgetary resources available .....	1,004	1,003	1,019
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		
1941 Unexpired unobligated balance, end of year .....	141	174	201

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	422	388	350
3010 Obligations incurred, unexpired accounts .....	858	829	818
3011 Obligations incurred, expired accounts .....	13		
3020 Outlays (gross) .....	-864	-835	-824
3040 Recoveries of prior year unpaid obligations, unexpired .....	-30	-32	-30

3041 Recoveries of prior year unpaid obligations, expired .....	-11		
3050 Unpaid obligations, end of year .....	388	350	314
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-39	-32	-32
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071 Change in uncollected pymts, Fed sources, expired .....	11		
3090 Uncollected pymts, Fed sources, end of year .....	-32	-32	-32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	383	356	318
3200 Obligated balance, end of year .....	356	318	282

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	830	830	815
Outlays, gross:			
4010 Outlays from new discretionary authority .....	484	488	498
4011 Outlays from discretionary balances .....	380	347	326
4020 Outlays, gross (total) .....	864	835	824
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-37	-31	-31
4033 Non-Federal sources .....	-5		
4040 Offsets against gross budget authority and outlays (total) .....	-42	-31	-31
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	10		
4060 Additional offsets against budget authority only (total) .....	6		
4070 Budget authority, net (discretionary) .....	794	799	784
4080 Outlays, net (discretionary) .....	822	804	793
4180 Budget authority, net (total) .....	794	799	784
4190 Outlays, net (total) .....	822	804	793

This appropriation finances salary, travel, science, technology, environmental monitoring, research, and development activities including laboratory and center supplies, certain operating expenses (including activities under the Working Capital Fund), contracts, grants, intergovernmental agreements, and purchases of scientific equipment. In addition, for fiscal years 2006–2015, the Administrator may employ persons in the Office of Research and Development under the authority provided in 42 U.S.C. 209. These activities provide the scientific and technology basis for the Environmental Protection Agency (EPA) policy and regulatory development actions. This appropriation supports core Agency programs and each of the Agency's five goals. Specifically in 2014, its emphasis will be placed on the following:

*Taking Action on Climate Change and Improving Air Quality.*—To develop adaptation strategies to address climate change and improve air quality, EPA will conduct a range of science and technology activities. These include research to inform the review of the national ambient air quality standards that builds upon new science and work already performed to improve understanding of ozone, particulate matter, lead, sulfur dioxide, carbon monoxide, and nitrogen dioxide. In 2014, the Air, Climate and Energy (ACE) research program will identify air quality benefits associated with climate mitigation and adaptation choices to inform national and regional climate decisions. The program also will conduct systems research and life cycle analysis to understand the production, operation, and impacts of energy systems on health and the environment. Research on the generation, fate, transport, and chemical transformation of air emissions will identify individual and population health risks to inform clean air management decisions in the context of a changing climate and evolving energy use. The ACE research program will also develop and evaluate new approaches for monitoring levels of air pollutants—including air toxics—that may be used to enhance and reduce the cost and/or burden of monitoring for communities and industrial facilities. The integration of these program elements recognizes the relationship between climate and air quality, and aims to promote sustainable energy production with

minimal unintended public health and ecosystem consequences. Separate from the ACE research program, EPA will continue collecting air data to inform implementation of the Clean Air Interstate Rule (CAIR), targeting power plant emissions that drift across the borders of Eastern States and the District of Columbia. EPA will also develop, implement, and ensure compliance with regulatory programs that will significantly reduce emissions from highway and non-road sources, including the implementation of greenhouse gas emission standards for light-duty vehicles. EPA will continue to implement the renewable fuels provisions of the Energy Policy Act of 2005 (P.L. 109–58) and the Energy Independence and Security Act of 2007 (P.L. 110–140). In addition, EPA will develop tools for State and local governments and Tribes to use in developing clean air plans to achieve air quality standards. EPA also aims to improve indoor environments through the provision of technical support and analysis to understand indoor air effects and the identification of potential health risks.

*Protecting America's Waters.*—In 2014 through the Safe and Sustainable Water Research (SSWR) program, EPA will conduct research to meet the following science needs articulated by EPA's water program: evaluating groups of contaminants for the protection of human health and the environment; developing innovative tools, technologies, and strategies for managing water resources (including stormwater); and supporting a systems approach for protecting and restoring aquatic systems. The systems approach includes research to inform setting water quality criteria, establishing measures to assess and manage watersheds, and developing effective source control and management methods, especially for urban uses. A major component of the research program is working to support EPA's Drinking Water Strategy.

The SSWR program will address potential water supply endangersments associated with hydraulic fracturing. In addition to continuing work associated with its ongoing study, EPA will coordinate with the Department of Energy and the U.S. Geological Survey to understand and address potential impacts of natural gas development using hydraulic fracturing.

Within the SSWR program, green infrastructure research will continue to assess, develop, and compile scientifically rigorous tools and models that will be used by EPA's Office of Water, States and municipalities. In addition, SSWR and the other research programs are demonstrating innovative water technologies that will help the Army meet its goals of Net Zero energy, water and waste by 2020 across the country and overseas. Currently, there are demonstration sites at Fort Riley, in Kansas, and at Joint Base Lewis-McChord in Washington State.

*Cleaning Up Communities and Advancing Sustainable Development.*—In 2014, the Sustainable and Healthy Communities (SHC) research program will develop decision support tools to enable communities' decision makers to solve complex human health and environmental problems. The program will identify health risks and stressors, especially those that disproportionately impact vulnerable populations such as children and the elderly.

The land preservation and restoration research has evolved from waste treatment to focus on beneficial reuse, avoidance of more toxic materials, and operation of waste management facilities to conserve capacity and produce energy. Research will address resource conservation and material reuse issues, as well as the application of alternative landfill covers and the benefits of landfill bioreactors. Superfund research costs are appropriated to the Hazardous Substance Superfund Trust Fund appropriation and transferred to this account to allow for proper accounting.

The SHC research program will incorporate the principles of sustainability into its research in many capacities to promote

sustainable environmental technologies with a focus on developing a new generation of smart technologies. Research on decision support tools will also be a component of EPA's emphasis on sustainability.

Examples of the application of SHC's decision support tools include: integrating research on pesticide and toxic substances with research on human health and ecosystems; mapping, monitoring, and modeling pollutants such as nitrogen; conducting ecosystem type assessments, and assessing risk management decisions for human health. A component of this research will focus on decreasing risks to vulnerable communities and groups that are disproportionately affected by existing problems. The program will conduct a long-term assessment of EPA's laboratory network, reflecting EPA's efforts to collaborate across traditional program boundaries to support national and regional decision making, thereby strengthening the Agency's ability to respond to environmental and public health issues as "one EPA."

In 2014, EPA's Human Health Risk Assessment (HHRA) program continue efforts to develop assessments and scientific products that are used extensively by EPA Program and Regional offices, and other parties to estimate the potential risk to public health from exposure to environmental contaminants to develop regulatory standards, and to manage environmental clean-ups. The HHRA research program provides the scientific foundation for Agency actions to protect public health and the environment with four specific components:

- (1) Integrated Risk Information System health hazard and dose-response assessments;
- (2) Integrated Science Assessments of criteria air pollutants;
- (3) Community Risk and Technical Support for exposure and health assessments; and
- (4) Methods, models, and approaches to modernize risk assessment for the 21st century.

The Homeland Security research program will continue to support research efforts on evaluating chemical, biological, and radiological analytical methods; event detection software; and calibration of contaminant warning systems. The Homeland Security research program will conduct research on decontamination and management of its consequences for public health, as well as methods for protecting water infrastructures and assessing both threats, and their consequences. In 2014, Water Infrastructure Protection Research will focus on developing and testing decontamination approaches for water infrastructure and on treating CBR contaminated water caused by terrorist attacks, natural disasters, and/or accidents. Research on real time distribution system models and methods to isolate and treat contaminated water, clean distribution systems, redirect water, and return water systems to service quickly and affordably is in progress. In 2014, as part of the Water Security Initiative (WSI), EPA will focus on completing software tools that provide practical, tailored guidance for the water sector on deploying drinking water contamination warning systems, along with conducting outreach and training on those tools. The EPA will also continue to support water sector-specific agency responsibilities, including the Water Alliance for Threat Reduction, to protect the nation's critical water infrastructure. EPA will continue to oversee the regional laboratory networks that form the Water Laboratory Alliance, a nationally consistent program that enables the water sector to rapidly analyze a surge of laboratory samples during a significant contamination event.

*Ensuring the Safety of Chemicals and Preventing Pollution.*—Impacts to people or the environment from chemicals can occur at any point from the extraction of raw materials to the chemical's end of life when it is disposed of or recycled. The Chemical Safety

SCIENCE AND TECHNOLOGY—Continued

and Sustainability (CSS) research program is bulding upon exist- ing EPA research in chemical management and extending efforts to develop innovative, transdisciplinary approaches and tools that better inform sustainable design solutions. In 2014, the CSS program will conduct research on the environmental impacts of chemicals from a life cycle perspective. In addition, the CSS program will conduct research on integrated chemical evaluation strategies. CSS will support the development and application of improved and new computational systems models of pathways and tissues, rapid cost-efficient exposure models, and user- friendly web based tools for analysis and decision support. In 2014, EPA will continue its collaboration with the National Insti- tutes of Health (NIH) and the Food and Drug Administration (FDA), under the auspices of the Tox21 consortium. Tox21's high- speed robot screening system will continue testing over 8,000 different chemicals, to include nanomaterials and other chemicals found in industrial and consumer products, food additives, and drugs, for potential toxicity. Additionally, the CSS research pro- gram plans to:

- (1) Improve dashboards in order to provide partners and de- cision makers with intuitive and user-friendly tools and graphical depictions of chemical data that will be useful for addressing specific regulatory and environmental questions;
- (2) Develop methods to translate the research findings of the CSS program into useful decision support tools for the other Agency Research Programs;
- (3) Produce chemical structure files that cover the EPA's eco- toxicological databases, high throughput testing programs like ToxCast and Tox21, and the FDA's food additive database. These files support predictive modeling efforts and will be in- corporated into structure-searching tools and CSS Dashboards for ongoing use; and
- (4) Provide and demonstrate stolutions for the sustainable design, production, and use of new chemicals using life cycle chemical assessments in order to encourage the use of green engineering principles by manufacturers.

In 2014, the Agency will protect human health by ensuring the availability of appropriate analytical methods for detecting pesticide residues in food and feed, ensuring suitability for mon- itoring pesticide residues, and enforcing tolerances. This will be accomplished by developing and validating multi-residue pesticide analytical methods for food, feed, and water for use by other Federal and State laboratories, and subsequently the program office. Laboratories further support the estimation of human health risks from pesticide use by operating the National Pesti- cide Standard Repository (NPSR). The NPSR will also protect the environment by supporting Federal and State laboratories involved in enforcement activities.

**Enforcing Environmental Laws.**—In 2014, EPA's Forensics Support program will continue to provide specialized scientific and technical support for the Nation's most complex civil and criminal enforcement cases, as well as technical expertise for Agency compliance efforts. This work is critical to determining non-compliance and building viable enforcement cases. The EPA's National Enforcement Investigations Center (NEIC) is a fully accredited environmental forensics center under International Standards Organization 17025, the main standard used by testing and calibration laboratories, as recommended by the National Academy of Sciences. NEIC works closely with the EPA Criminal Investigation Division to provide technical support (e.g., sampling, analysis, consultation and testimony) to criminal investigations. NEIC also works closely with the Regional Offices to provide technical assistance, consultation, on-site inspection, investiga-

tion, and case resolution services in support of the EPA's Civil Enforcement program.

NEIC will continue to apply its technical resources in support of EPA's national enforcement priorities, and support the tech- nical aspects of criminal investigations. Efforts to stay at the forefront of environmental enforcement in 2014 include focused refinement of single and multi-media compliance monitoring in- vestigation approaches, customized laboratory methods to solve unusual enforcement case challenges, and applied research and development in both laboratory and field applications.

**Enabling and Support Programs.**—Enabling and Support Pro- grams provide the people, facilities, and systems necessary to operate the programs funded by the Science and Technology ap- propriations. The offices and the functions they perform are: Administration and Resources Management (facilities infrastruc- ture and operations) and Environmental Information (information technology/data management).

Object Classification (in millions of dollars)

Identification code 68-0107-0-1-304	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	242	241	240
11.3 Other than full-time permanent .....	14	14	13
11.5 Other personnel compensation .....	5	5	5
11.7 Military personnel .....	2	2	2
11.9 Total personnel compensation .....	263	262	260
12.1 Civilian personnel benefits .....	74	74	73
21.0 Travel and transportation of persons .....	5	5	5
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	30	30	29
23.3 Communications, utilities, and miscellaneous charges .....	20	19	19
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	46	43	42
25.2 Other services from non-Federal sources .....	103	96	96
25.3 Other goods and services from Federal sources .....	30	28	27
25.4 Operation and maintenance of facilities .....	36	35	34
25.5 Research and development contracts .....	85	79	78
25.7 Operation and maintenance of equipment .....	12	12	11
26.0 Supplies and materials .....	11	11	10
31.0 Equipment .....	14	14	13
41.0 Grants, subsidies, and contributions .....	95	88	88
99.0 Direct obligations .....	826	798	787
99.0 Reimbursable obligations .....	32	31	31
99.9 Total new obligations .....	858	829	818

Employment Summary

Identification code 68-0107-0-1-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,418	2,414	2,418
1101 Direct military average strength employment .....	19	19	19
2001 Reimbursable civilian full-time equivalent employment .....	110	105	106
2101 Reimbursable military average strength employment .....	1	1	1

ENVIRONMENTAL PROGRAMS AND MANAGEMENT

*For environmental programs and management, including necessary expenses, not otherwise provided for, for personnel and related costs and travel expenses; hire of passenger motor vehicles; hire, maintenance, and operation of aircraft; purchase of reprints; library memberships in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members; administrative costs of the brownfields program under the Small Business Liability Relief and Brownfields Revitalization Act of 2002; and not to exceed \$9,000 for official reception and representation expenses, \$2,812,757,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing

resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 68–0108–0–1–304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	476	460	477
0012 Clean and Safe Water .....	988	954	988
0013 Land Preservation and Restoration .....	371	358	371
0014 Healthy Communities and Ecosystems .....	437	422	437
0015 Compliance and Environmental Stewardship .....	517	499	517
0799 Total direct obligations .....	2,789	2,693	2,790
0801 Reimbursable program .....	70	58	57
0900 Total new obligations .....	2,859	2,751	2,847
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	156	171	298
1021 Recoveries of prior year unpaid obligations .....	141	140	140
1050 Unobligated balance (total) .....	297	311	438
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,683	2,695	2,813
1100 Appropriation .....		1	
1130 Appropriations permanently reduced .....	–4		
1160 Appropriation, discretionary (total) .....	2,679	2,696	2,813
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	54	29	44
1701 Change in uncollected payments, Federal sources .....	13	13	
1750 Spending auth from offsetting collections, disc (total) .....	67	42	44
1900 Budget authority (total) .....	2,746	2,738	2,857
1930 Total budgetary resources available .....	3,043	3,049	3,295
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–13		
1941 Unexpired unobligated balance, end of year .....	171	298	448
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,408	1,301	1,183
3010 Obligations incurred, unexpired accounts .....	2,859	2,751	2,847
3011 Obligations incurred, expired accounts .....	18		
3020 Outlays (gross) .....	–2,814	–2,700	–2,766
3040 Recoveries of prior year unpaid obligations, unexpired .....	–141	–140	–140
3041 Recoveries of prior year unpaid obligations, expired .....	–29	–29	
3050 Unpaid obligations, end of year .....	1,301	1,183	1,124
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–123	–110	–110
3070 Change in uncollected pymts, Fed sources, unexpired .....	–13	–13	
3071 Change in uncollected pymts, Fed sources, expired .....	26	13	
3090 Uncollected pymts, Fed sources, end of year .....	–110	–110	–110
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,285	1,191	1,073
3200 Obligated balance, end of year .....	1,191	1,073	1,014
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,746	2,738	2,857
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,879	1,825	1,908
4011 Outlays from discretionary balances .....	935	875	858
4020 Outlays, gross (total) .....	2,814	2,700	2,766
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–30	–29	–44
4033 Non-Federal sources .....	–34		
4040 Offsets against gross budget authority and outlays (total) ....	–64	–29	–44
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–13	–13	
4052 Offsetting collections credited to expired accounts .....	10		
4060 Additional offsets against budget authority only (total) .....	–3	–13	
4070 Budget authority, net (discretionary) .....	2,679	2,696	2,813
4080 Outlays, net (discretionary) .....	2,750	2,671	2,722
4180 Budget authority, net (total) .....	2,679	2,696	2,813
4190 Outlays, net (total) .....	2,750	2,671	2,722

This appropriation includes funds for salaries, travel, contracts, grants, and cooperative agreements for pollution abatement, control, and compliance activities and administrative activities of the operating programs, including activities under the Working Capital Fund. This appropriation supports core Agency programs and each of the Agency's five goals. Specifically in 2014, the Environmental Protection Agency (EPA) will emphasize the following:

*Taking Action on Climate Change and Improving Air Quality.*—To protect and improve air quality and reduce greenhouse gas (GHG) emissions, EPA will apply a variety of approaches and appropriate tools. EPA will develop and implement strategies to attain ambient air quality standards for the six criteria pollutants: ozone, particulate matter, sulfur dioxide, nitrogen dioxide, carbon monoxide, and lead. EPA will reduce regional haze through regional approaches where significant transport of pollutants occurs. EPA will also develop control measures for sources that are best regulated at the Federal level. EPA will continue to develop and issue national technology-based and risk-based standards using a sector-based approach to reduce the quantity of toxic air pollutants emitted from industrial and manufacturing processes, as well as from urban sources. EPA will proceed with performing analyses to develop New Source Performance Standards, consistent with Clean Air Act requirements. The Acid Rain program will continue its market-based approach to achieving reduced emissions of sulfur dioxide primarily from electric utilities. The market-based approach will also be used in other programs, where permitted under the Clean Air Act, to reduce emissions of air pollutants. EPA will use its authority under the Clean Air Act to continue efforts to reduce GHGs domestically and internationally through cost-effective, non-regulatory programs while also pursuing regulatory options. Through implementation of the mandatory Greenhouse Gas Reporting Rule, EPA will work with sources to obtain high quality data in a cost-effective manner. EPA will continue to provide support and oversight for local, State, and Tribal permitting authorities to efficiently process GHG permits, as well as issue permits directly to sources where there is no delegated permitting authority. EPA will continue partnerships with businesses and other sectors to help reduce GHGs through the greater use of energy efficient technologies and products that contribute to cleaner air. The voluntary government-industry partnership programs are designed to capitalize on the opportunities that consumers, businesses, and organizations have for making sound investments in efficient equipment, policies, practices, and transportation choices. EPA will continue to coordinate the implementation of the Global Methane Initiative to enhance global cooperation and expand efforts to capture and use methane as a clean alternative energy source. EPA will also participate with other agencies to help global efforts to increase energy efficiency and reduce GHG emissions. EPA will implement voluntary outreach and partnership programs with State, Tribal, and local governments to improve indoor air quality and reduce potential risks to the public (including radon) in homes, schools, and workplaces. In addition, EPA will develop and use voluntary and regulatory programs, public information, and training to reduce public exposure to radiation. EPA will focus its domestic and international efforts to ensure that ozone-depleting substance production and import caps under the Montreal Protocol and Clean Air Act continue to be met.

*Protecting America's Waters.*—To protect and restore our waters to ensure that drinking water is safe, and that aquatic ecosystems sustain fish, plants and wildlife, as well as support economic, recreational, and subsistence activities, EPA will focus on several

## ENVIRONMENTAL PROGRAMS AND MANAGEMENT—Continued

key strategies. EPA's strategy for helping systems provide safe drinking water over the next several years includes developing or revising drinking water standards, supporting States, Tribes, and water systems in implementing standards, promoting sustainable management of water infrastructure, and protecting sources of drinking water from contamination. EPA will facilitate the ecosystem-scale protection and restoration of natural areas by supporting continuing efforts of all 28 National Estuary Program estuaries to implement their Comprehensive Conservation and Management Plans to protect and restore estuarine resources. To maximize the impact of each dollar, EPA will continue to strengthen its vital partnerships with States, Tribes, local governments, and other parties that are also working toward the common goal of improving the Nation's waters. EPA will implement the President's Executive Order on the Chesapeake Bay, implementing a strategy to restore Bay water quality. EPA will continue to lead the implementation of the Great Lakes Restoration Initiative. The initiative provides \$300 million for programs and projects strategically chosen to target the most significant environmental problems in the Great Lakes ecosystem. This investment will allow for implementation of important restoration activities emphasizing on-the-ground work to achieve the goals, objectives and targets of the Initiative. EPA expects continued progress within each of the Initiative's focus areas: Toxic Substances and Areas of Concerns, Invasive Species, Nearshore Health and Nonpoint Source, Habitat and Wildlife Protection and Restoration, and Accountability, Education, Monitoring, Evaluation, Communication, and Partnerships. Appropriations language for this proposal can be found in EPA's Administrative Provisions. EPA will help States implement nationally consistent water quality monitoring programs which will eventually allow EPA to make a credible national assessment of water quality. High quality, current monitoring data is critical for EPA, States, Tribes and others to make watershed-based decisions, develop necessary water quality standards and total maximum daily loads, and accurately and consistently portray conditions and trends. In addition, EPA will continue work with its Federal partners to support the safe deployment of carbon dioxide capture and storage technologies as a climate mitigation strategy.

*Cleaning Up Communities and Advancing Sustainable Development.*—EPA will work to preserve land by ensuring proper management of waste, reducing waste generation and increasing recycling. EPA will continue to assist States in putting in place and maintaining permits at facilities that treat, store or dispose of hazardous waste. Although States are the primary implementers of the Corrective Action program which requires facilities managing hazardous waste to clean up past releases, EPA directly implements the program in 13 States and provides technical support and oversight for State-led activities. To achieve EPA's waste management goals, EPA will: (1) maintain partnerships with businesses, industries, Tribes, States, communities, and consumers; (2) promote environmentally responsible behavior by product manufacturers, users and disposers; and (3) encourage businesses, government, institutions and consumers to reduce waste generation and increase recycling through education, outreach, training, and technical assistance. EPA will also continue to work with States in order to bring all underground storage tank systems into compliance and keep them in compliance with the release detection and release prevention requirements outlined in the Energy Policy Act of 2005. EPA works with State, local, and Tribal partners to help protect the public and the environment from releases of hazardous substances from chemical handling facilities by helping them develop area-wide emergency

response and contingency plans. EPA conducts audits and inspections of those facilities handling more than a threshold quantity of certain extremely hazardous chemicals that are required to implement a Risk Management Program to prevent releases. EPA will also support the operations and management of the Brownfields program, including training and technical support to assist communities to address general issues associated with properties of which redevelopment or reuse may be complicated by the presence of contamination. EPA is committed to ensuring environmental justice regardless of race, color, national origin, or income. Recognizing that minority and/or low-income communities may be exposed disproportionately to environmental harm and risks, EPA works to protect these communities from adverse health and environmental effects and to ensure they are given the opportunity to participate meaningfully in environmental clean-up decisions. EPA's Environmental Justice program will continue to incorporate environmental justice considerations in the rulemaking process. EPA will apply effective methods suitable for determining whether disproportionate environmental health impacts on minority, low-income, and tribal populations exist. Funds will also provide resources and FTE support for developing the new Hazardous Waste Electronic Manifest System.

*Ensuring the Safety of Chemicals and Preventing Pollution.*—To ensure that the foods the American public eats will be free from unsafe levels of pesticide residues, EPA will apply strict health-based standards to the registration of pesticides for use on food or animal feed and ensure that older pesticides meet current health standards. EPA will also work to expedite and increase the registration of safer pesticides and to decrease the use of pesticides with the highest potential to cause adverse effects. EPA intends to reduce potential human and environmental risks from commercial and residential exposure to pesticides through programs that focus on farmworker protection, endangered species protection, environmental stewardship, and integrated pest management. EPA's toxics program will continue to make substantial progress in protecting public health and the environment from harmful industrial chemicals by assessing the safety of new and existing chemicals, reducing gaps in the data available, strengthening chemical information management, and promoting greater transparency. EPA will also continue its efforts to alleviate health risks from exposure to lead-based paint and other sources of lead in the environment. EPA's Pollution Prevention (P2) program will continue to provide technical assistance, information and supporting assessments to encourage the use of greener chemicals, technologies, processes and products through eight programs: Environmentally Preferable Purchasing, Design for the Environment, Green Suppliers Network, Regional Grants, Pollution Prevention Resource Exchange, Partnership for Sustainable Healthcare, Green Chemistry, and Green Engineering. In addition, EPA's P2 program will continue to support the new Economy, Energy and Environment partnership among Federal agencies, local governments and manufactures to promote energy efficiency, job creation and environmental improvement.

The United States will coordinate with other nations in multilateral efforts to protect the environment and human health. EPA will continue to promote formal bilateral and multilateral environmental agreements with key countries, execute environmental components of the Administration's key foreign policy initiatives, and engage in regional and global negotiations aimed at reducing potential environmental risks via formal and informal agreements. EPA will continue to cooperate with other countries to ensure that domestic and international environmental laws, policies, and priorities are recognized and implemented and,

where appropriate, promoted within the multilateral development assistance and trading system.

Combined with public demand for information, unprecedented changes in information technology are altering the way EPA, States, and Tribes collect, manage, analyze, use, secure, and provide access to quality environmental information. EPA is working with the States and Tribes to strengthen our information quality, leverage information maintained by other government organizations, and develop new tools that provide the public with simultaneous access to multiple data sets, allowing users to understand local, Tribal, State, regional, and national environmental conditions. Key to achieving information quality will be the further development of the National Environmental Information Exchange Network, which is primarily an affiliation between EPA and the States and Tribes. EPA will continue to reduce reporting burden, improve data quality, and accelerate data publications by accelerating the replacement of paper-based submissions with electronic reporting under the Toxic Release Inventory and other programs.

**Enforcing Environmental Laws.**—EPA will implement a strong enforcement and compliance program focused on identifying and reducing non-compliant actions and deterring future non-compliant actions that violate the law. To improve compliance with environmental laws, EPA works to provide easy access to tools that help regulated entities, Federal agencies, and the public understand these laws and find efficient, cost-effective means for putting them into practice. EPA's enforcement program targets inspections and other compliance monitoring activities according to the degree of health and environmental risk. The program collaborates with the Department of Justice, States, local government agencies, and Tribal governments to ensure consistent and fair enforcement of all environmental laws and regulations. The program seeks to aggressively pursue violations that threaten communities, ensure a level economic playing field by ensuring that violators do not realize an economic benefit from noncompliance, and deter future violations. The Civil Enforcement program develops, litigates, and settles administrative and civil judicial cases against serious violators of environmental laws. The Criminal Enforcement program punishes violators of environmental laws by holding them accountable through jail sentences and criminal fines. Bringing criminal cases sends a strong deterrence message to potential violators, enhances aggregate compliance with laws and regulations and protects our communities. In 2014, EPA is continuing efforts to improve efficiencies by streamlining monitoring and reporting, improving transparency, more accurately gauging compliance, and better engaging the public.

**Enabling and Support Programs.**—EPA's Enabling and Support Programs (ESPs) provide centralized management services and support to environmental programs. The offices and the functions they perform within the Environmental Programs and Management appropriation are: the Offices of Administration and Resources Management (facilities infrastructure and operations, acquisition management, human resources management services, and management of financial assistance grants/institutional assistance grants); Environmental Information (exchange network, information security, information technology/data management); the Administrator (administrative law, civil rights/Title VI compliance, congressional, intergovernmental and external relations, regional science and technology, Science Advisory Board); the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability); and General Counsel (alternative dispute resolution and legal advice). Since these centralized services

provide support across EPA, resources for the ESPs are allocated across EPA's appropriations, goals, and objectives.

**Object Classification** (in millions of dollars)

Identification code 68-0108-0-1-304	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,106	1,090	1,107
11.3 Other than full-time permanent .....	30	29	30
11.5 Other personnel compensation .....	18	17	18
11.7 Military personnel .....	5	5	5
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	1,160	1,142	1,161
12.1 Civilian personnel benefits .....	326	325	326
13.0 Benefits for former personnel .....	4	4	4
21.0 Travel and transportation of persons .....	20	19	20
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	159	154	159
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	10	10	10
24.0 Printing and reproduction .....	7	7	7
25.1 Advisory and assistance services .....	125	121	125
25.2 Other services from non-Federal sources .....	480	440	480
25.3 Other goods and services from Federal sources .....	192	185	192
25.4 Operation and maintenance of facilities .....	25	24	25
25.7 Operation and maintenance of equipment .....	27	26	27
26.0 Supplies and materials .....	7	7	7
31.0 Equipment .....	13	13	13
41.0 Grants, subsidies, and contributions .....	232	214	232
99.0 Direct obligations .....	2,789	2,693	2,790
99.0 Reimbursable obligations .....	70	58	57
99.9 Total new obligations .....	2,859	2,751	2,847

**Employment Summary**

Identification code 68-0108-0-1-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	10,604	10,648	10,550
1101 Direct military average strength employment .....	37	37	37
2001 Reimbursable civilian full-time equivalent employment .....	32	32	32
2101 Reimbursable military average strength employment .....	2	2	2

**BUILDINGS AND FACILITIES**

*For construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of, or for use by, the Environmental Protection Agency, \$54,364,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 68-0110-0-1-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	9	9	14
0012 Clean and Safe Water .....	6	6	9
0013 Land Preservation and Restoration .....	7	7	11
0014 Healthy Communities and Ecosystems .....	11	10	16
0015 Compliance and Environmental Stewardship .....	5	5	7
0900 Total new obligations .....	38	37	57
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	4
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	5	4	5
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	36	37	54
1160 Appropriation, discretionary (total) .....	36	37	54
1930 Total budgetary resources available .....	41	41	59

BUILDINGS AND FACILITIES—Continued  
Program and Financing—Continued

Identification code 68-0110-0-1-304	2012 actual	2013 CR	2014 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	4	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	40	39
3010 Obligations incurred, unexpired accounts .....	38	37	57
3020 Outlays (gross) .....	-32	-37	-41
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	40	39	54
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	35	40	39
3200 Obligated balance, end of year .....	40	39	54
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	36	37	54
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	6	10
4011 Outlays from discretionary balances .....	28	31	31
4020 Outlays, gross (total) .....	32	37	41
4180 Budget authority, net (total) .....	36	37	54
4190 Outlays, net (total) .....	32	37	41

This appropriation provides for the construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities that are owned or used by the Environmental Protection Agency (EPA). This appropriation supports the EPA-wide goals through Enabling and Support Programs that provide centralized management services and support to the EPA's various environmental programs. EPA's management infrastructure will set and implement the highest quality standards for effective internal management and fiscal responsibility. The facilities funded by this account will provide quality work environments and state-of-the-art laboratories that address employee safety and security and pollution prevention.

This appropriation also funds the design of a new, consolidated Federally owned multi-use facility, including a lab, to replace the multiple EPA leased locations in Las Vegas, Nevada, several of which have leases that are expiring in the next few years. The new facility will have a smaller overall footprint than the current leased locations and will be designed to be energy efficient with lower anticipated operating cost.

Object Classification (in millions of dollars)

Identification code 68-0110-0-1-304	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	5	5	8
32.0 Land and structures .....	32	31	48
99.9 Total new obligations .....	38	37	57

STATE AND TRIBAL ASSISTANCE GRANTS

For environmental programs and infrastructure assistance, including capitalization grants for State revolving funds and performance partnership grants, \$3,153,842,000, to remain available until expended, of which:

(1) \$1,095,000,000 shall be for making capitalization grants for the Clean Water State Revolving Funds under title VI of the Federal Water Pollution Control Act, as amended (the "Act"); and of which \$817,000,000 shall be for making capitalization grants for the Drinking Water State Revolving Funds under section 1452 of the Safe Drinking Water Act, as amended: Provided, That for fiscal year 2014, to the extent there are sufficient project applications, not less than 20 percent of the funds made

available under this title to each State for Clean Water State Revolving Fund capitalization grants shall be used by the State for green infrastructure projects: Provided further, That for fiscal year 2014, not less than 10 percent of the funds made available under this title to each State for Drinking Water State Revolving Fund capitalization grants shall be used for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities: Provided further, That notwithstanding section 603(d)(7) of the Act, the limitation on the amounts in a State water pollution control revolving fund that may be used by a State to administer the fund shall not apply to amounts included as principal in loans made by such fund in fiscal year 2014 and prior years where such amounts represent costs of administering the fund to the extent that such amounts are or were deemed reasonable by the Administrator, accounted for separately from other assets in the fund, and used for eligible purposes of the fund, including administration: Provided further, That for fiscal year 2014, notwithstanding the limitation on amounts in section 518(c) of the Act and section 1452(i) of the Safe Drinking Water Act, up to a total of 2 percent of the funds appropriated for State Revolving Funds under such Acts may be reserved by the Administrator for grants under section 518(c) and section 1452(i) of such Acts: Provided further, That for fiscal year 2014, notwithstanding the amounts specified in section 205(c) of the Act, up to 1.5 percent of the aggregate funds appropriated for the Clean Water State Revolving Fund program under the Act less any sums reserved under section 518(c) of the Act, may be reserved by the Administrator for grants made under title II of the Clean Water Act for American Samoa, Guam, the Commonwealth of the Northern Marianas, and United States Virgin Islands: Provided further, That for fiscal year 2014, notwithstanding the limitations on amounts specified in section 1452(j) of the Safe Drinking Water Act, up to 1.5 percent of the funds appropriated for the Drinking Water State Revolving Fund programs under the Safe Drinking Water Act may be reserved by the Administrator for grants made under section 1452(j) of the Safe Drinking Water Act: Provided further, That not less than 20 percent but not more than 30 percent of the funds made available under this title to each State for Clean Water State Revolving Fund capitalization grants and not less than 20 percent but not more than 30 percent of the funds made available under this title to each State for Drinking Water State Revolving Fund capitalization grants shall be used by the State to provide additional subsidy to eligible recipients in the form of forgiveness of principal, negative interest loans, or grants (or any combination of these), and shall be so used by the State only where such funds are provided as initial financing for an eligible recipient or to buy, refinance, or restructure the debt obligations of eligible recipients only where such debt was incurred on or after the date of enactment of this Act;

(2) \$5,000,000 shall be for architectural, engineering, planning, design, construction and related activities in connection with the construction of high priority water and wastewater facilities in the area of the United States-Mexico Border, after consultation with the appropriate border commission; Provided, That no funds provided by this appropriations Act to address the water, wastewater and other critical infrastructure needs of the colonias in the United States along the United States-Mexico border shall be made available to a county or municipal government unless that government has established an enforceable local ordinance, or other zoning rule, which prevents in that jurisdiction the development or construction of any additional colonia areas, or the development within an existing colonia the construction of any new home, business, or other structure which lacks water, wastewater, or other necessary infrastructure;

(3) \$10,000,000 shall be for grants to the State of Alaska to address drinking water and wastewater infrastructure needs of rural and Alaska Native Villages: Provided, That, of these funds: (1) the State of Alaska shall provide a match of 25 percent; and (2) no more than 5 percent of the funds may be used for administrative and overhead expenses;

(4) \$85,000,000 shall be to carry out section 104(k) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended, including grants, interagency agreements, and associated program support costs: Provided, That not more than 25 percent of the amount appropriated to carry out section 104(k) of CERCLA shall be used for site characterization, assessment, and remediation of facilities described in section 101(39)(D)(ii)(II) of CERCLA;

(5) \$6,000,000 shall be for grants under title VII, subtitle G of the Energy Policy Act of 2005, as amended; and

(6) \$1,135,842,000 shall be for grants, including associated program support costs, to States, federally recognized tribes, interstate agencies, tribal consortia, and air pollution control agencies for multi-media or single media pollution prevention, control and abatement and related activities, including activities pursuant to the provisions set forth under this heading in Public Law 104–134, and for making grants under section 103 of the Clean Air Act for particulate matter monitoring and data collection activities subject to terms and conditions specified by the Administrator, of which: \$47,572,000 shall be for carrying out section 128 of CERCLA, as amended; \$21,564,000 shall be for Environmental Information Exchange Network grants, including associated program support costs; \$1,490,000 shall be for grants to States under section 2007(f)(2) of the Solid Waste Disposal Act, as amended, which shall be in addition to funds appropriated under the heading "Leaking Underground Storage Tank Trust Fund Program" to carry out the provisions of the Solid Waste Disposal Act specified in section 9508(c) of the Internal Revenue Code other than section 9003(h) of the Solid Waste Disposal Act, as amended; \$4,000,000 shall be for a competitive grant program for states to develop and collect innovative measures for assessing the performance of the enforcement and compliance program or to design and implement innovative enforcement and compliance tools and approaches and measure the impact of such; \$18,500,000 of the funds available for grants under section 106 of the Federal Water Pollution Control Act shall be for State participation in national- and State-level statistical surveys of water resources and enhancements to State monitoring programs; and \$15,000,000 of the funds available for grants under section 106 of the Federal Water Pollution Control Act shall be awarded to States to achieve nutrient reductions.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 68–0103–0–1–304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	304	271	256
0012 Clean and Safe Water .....	3,571	3,177	3,080
0013 Land Preservation and Restoration .....	328	292	276
0014 Healthy Communities and Ecosystems .....	37	33	31
0015 Compliance and Environmental Stewardship .....	28	25	24
0900 Total new obligations .....	4,268	3,798	3,667
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	856	306	762
1021 Recoveries of prior year unpaid obligations .....	150	64	64
1050 Unobligated balance (total) .....	1,006	370	826
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,619	3,635	3,154
1100 Discretionary Emergency pursuant to 2011 Budget Control Act .....		600	
1130 Appropriations permanently reduced .....	–6		
1131 Unobligated balance permanently reduced (balances cancelled) .....	–45	–45	
1160 Appropriation, discretionary (total) .....	3,568	4,190	3,154
1900 Budget authority (total) .....	3,568	4,190	3,154
1930 Total budgetary resources available .....	4,574	4,560	3,980
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	306	762	313
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,011	7,890	7,135
3010 Obligations incurred, unexpired accounts .....	4,268	3,798	3,667
3020 Outlays (gross) .....	–5,223	–4,489	–3,893
3040 Recoveries of prior year unpaid obligations, unexpired .....	–150	–64	–64
3041 Recoveries of prior year unpaid obligations, expired .....	–16		
3050 Unpaid obligations, end of year .....	7,890	7,135	6,845
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9,011	7,890	7,135
3200 Obligated balance, end of year .....	7,890	7,135	6,845

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	3,568	4,190	3,154
Outlays, gross:				
4010	Outlays from new discretionary authority .....	490	459	447
4011	Outlays from discretionary balances .....	4,733	4,030	3,446
4020	Outlays, gross (total) .....	5,223	4,489	3,893
4180	Budget authority, net (total) .....	3,568	4,190	3,154
4190	Outlays, net (total) .....	5,223	4,489	3,893

This appropriation supports core Environmental Protection Agency (EPA) programs and each of the EPA's five goals through grants to States, Tribes and other partners. EPA is using common elements for State and Tribal grant agreements, including Performance Partnerships. The elements clearly link to EPA's strategic plan to relate State workplan information into EPA's strategic and annual goals.

**Taking Action on Climate Change and Improving Air Quality.**—To reduce greenhouse gas (GHG) emissions and address climate change and protect and improve air quality for every American community, EPA will offer media-specific and multi-media Performance Partnership grants, and technical assistance to States and Tribes. This financial and technical aid will assist them in the development of their Clean Air Plans, support solutions that address local air needs, and provide support for development of state programs that address GHG emissions. EPA will provide funds to States and Tribes under section 105 of the Clean Air Act to improve air monitoring networks to obtain better data on emissions of criteria pollutants and air toxics. EPA issued air quality standards for a new pollutant, fine particulate matter, in the late 1990s. Since that time, EPA has funded State and local fine particulate monitoring networks using the requirements of section 103 of the Clean Air Act, as authorized in annual appropriation bills. Section 103 provides full funding for pilot programs, demonstrations, research, and other one-time activities; section 105 requires State and local agencies to provide matching funds of at least 40 percent of the amount required for the entire continuing State or local clean air program. EPA is committed to continuing a transition to incorporate funding for fine particulate monitoring into the funding authorized by section 105 of the Clean Air Act for continuing State and local clean air programs. Using funds provided by EPA under sections 103 and 105, States and Tribes will prepare State Implementation Plans and Tribal Implementation Plans to achieve the revised, more protective National Ambient Air Quality Standards; implement new monitoring requirements, including technological upgrades and additional monitoring stations; and support the National Air Toxics Trends Stations monitoring network. Additionally, EPA will provide funds to States to support the Greenhouse Gas Reporting Rule, facilitating States' collection, review, and use of GHG emissions data. Additionally, funds will support GHG permitting to provide state and local agencies the resources to review permit applications and issue permits to large sources of greenhouse gas emissions.

**Protecting America's Waters.**—This Agency goal is to reduce human exposure to contaminants in drinking water, fish and shellfish, and recreational waters and to protect and restore watersheds and aquatic ecosystems. In 2014, EPA will continue to work with State and local partners to develop policies that promote the use of water resources in ways that are both ecologically and economically sustainable. In support of this goal, EPA will provide \$1.1 billion for the Clean Water State Revolving Fund (SRF). The Clean Water SRF makes low interest loans to communities and includes a set-aside for Tribes and U.S. Territories to construct wastewater treatment infrastructure, in addition to other projects that enhance water quality. The Federal Govern-

## STATE AND TRIBAL ASSISTANCE GRANTS—Continued

ment has invested over \$35 billion in grants to help capitalize the 51 Clean Water SRFs. With the required State match, additional State contributions and funds from program leveraging, funds made available for such Clean Water loans total over \$97 billion. The SRFs also will support cost-effective, sustainable green infrastructure projects, as not less than 20 percent of the Clean Water SRF grants shall be used by the State for such activities. For 2014, not less than 10 percent of funds provided to States under the Drinking Water State Revolving Funds may be used for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities. The Budget also requests \$817 million for the Drinking Water SRF, which makes low interest loans to public water systems and to Tribes and U.S. Territories to upgrade drinking water infrastructure to help them provide safe drinking water.

Direct grants are also provided to help address the significant water and wastewater infrastructure needs of Alaska Native Villages. EPA has implemented a management plan that optimizes the pace of the program. EPA will strengthen State core water quality protection and water enforcement programs. EPA will provide direct grant assistance for water and wastewater infrastructure projects on the U.S.-Mexico border. EPA has met its NAFTA commitment to provide a total of \$700 million for drinking water and wastewater infrastructure needs in the area. However, in recognition of the continuing environmental and public health needs in the border area, the Budget continues funding for these activities.

EPA will support its partnerships with States, Tribes, and partners through media-specific and multi-media, and/or Performance Partnership grants to: (1) increase the number of community drinking water systems that meet all existing health-based standards, (2) protect watersheds by reducing point and nonpoint source pollution, (3) increase the acreage and improve the condition of wetlands, and (4) address agricultural and urban runoff and storm water. EPA will work with its State and Tribal partners to develop and implement broad-based and integrated monitoring and assessment programs that strengthen their water quality standards, improve decision-making, target restoration within the watershed, address significant stressors, and report on conditions.

Furthermore, EPA will enhance efforts to address nutrient pollution through working collaboratively with U.S. Department of Agriculture in high priority, focused watersheds and providing funding to States to undertake nutrient pollution reductions, including \$15 million in Clean Water Act Section 106 grants.

*Cleaning Up Communities and Advancing Sustainable Development.*—Land is one of America's most valuable resources and cleaning up our communities to create a safe environment for all Americans while encouraging more sustainable development is critical to the future of our country. Hazardous and non-hazardous wastes on the land can migrate to the air, groundwater and surface water, contaminating drinking water supplies, causing acute illnesses or chronic diseases, and threatening healthy ecosystems in urban, rural, and suburban areas.

Under the Resource Conservation and Recovery Act of 1976, as amended, EPA provides grants to States to strengthen their ability to implement hazardous waste programs. EPA also provides financial and technical assistance to eligible Tribal governments and inter-tribal consortia. In 2014, EPA will provide grants or cooperative agreements to States for underground storage tank release prevention and detection programs. There will also be direct assistance through media-specific, and multi-

media and/or Performance Partnership grants to enable Tribes to implement hazardous waste programs. EPA will also fund brownfields projects resulting in assessments and clean-up activities that assist communities in paving the way for the productive reuse of contaminated properties and abandoned sites.

In addition, EPA provides grants to assist States, Tribes, and partners with worker safety activities, protection of endangered species and water sources, and promotion of environmental stewardship. To protect, sustain or restore the health of people, communities and ecosystems, EPA will focus on the geographic areas with human and ecological communities at most risk. EPA is working to protect, sustain, and restore the health of natural habitats and ecosystems by identifying and evaluating problem areas, developing tools, and improving community capacity to address problems.

Under Federal environmental statutes, EPA is responsible for protecting human health and the environment in Tribal country. EPA works with over 500 Federally recognized Tribes located across the United States to improve environmental and human health outcomes. Tribal country totals more than 70 million acres with reservations ranging from less than 10 acres to more than 14 million acres. Difficult environmental and health challenges remain in many of these areas, including lack of access to safe drinking water, sanitation, adequate waste facilities, and other environmental safeguards taken for granted elsewhere.

EPA plans to provide \$21.6 million to States, U.S. Territories, Tribes, and inter-tribal consortia to help them develop their information management and technology capabilities. The purpose of this support is two-fold: to assist the Agency in providing ready access to real-time environmental information and to allow States and Tribes to better integrate and share their environmental information.

*Ensuring the Safety of Chemicals and Preventing Pollution.*—EPA will continue to provide grant assistance to States, U.S. Territories, the District of Columbia, and Tribes to develop and implement authorized programs for lead paint remediation, including programs to train and certify individuals engaged in remediation activities. Another priority for 2014 will be the implementation of a new regulation to address lead-safe work practices for renovation, repair, and painting activities.

In 2014, EPA will continue the Pesticide Program Implementation State and Tribal Assistance Grants activities which cover worker protection, endangered species, coordination with States, Tribes, and other Federal agencies, certification and training of pesticide applicators, coordination with other agencies, pesticides environmental stewardship, and Integrated Pest Management (IPM). EPA will also continue the grant program for States and Tribes, to test innovative pollution prevention (P2) approaches and to provide P2 technical assistance to companies.

*Enforcing Environmental Laws.*—To promote compliance with laws intended to protect human health and the environment, EPA will continue to award State and Tribal grants to assist in the implementation of compliance and enforcement provisions of environmental laws. EPA will provide funding to States and Tribes for compliance assurance activities including inspections and enforcement case support activities. Through grants for pesticide enforcement, EPA will support State and Tribal compliance and enforcement activities designed to protect the environment from harmful chemicals and pesticides. These grants will also help States and Tribes protect underserved and vulnerable populations by conducting compliance (inspections) and enforcement activities, including those related to worker protection standards and those at pesticide producing establishments. Toxic Substance compliance grants help protect the public and

the environment from polychlorinated biphenyls, asbestos, and lead-based paint. Funds are used to train inspectors, including the train-the-trainer program; provide inspection equipment including sampling and personal protective equipment; and fund travel and salary costs associated with conducting inspections. In 2014, grants to States for compliance and enforcement evaluations will allow States to test the impact of different compliance assurance approaches and enforcement tools to determine what is most effective and could be expanded or replicated.

0799	Balance, end of year .....	326	353	381
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The Environmental Services special fund was established for the deposit of fee receipts associated with environmental programs that may, by statute, be deposited into the fund.

PESTICIDE REGISTRATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 68-5374-0-2-304	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Registration Service Fees, Pesticide Registration Fund .....	16	11	11
0400 Total: Balances and collections .....	16	11	11
Appropriations:			
0500 Pesticide Registration Fund .....	-16	-11	-11
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 68-5374-0-2-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	13	11	11
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	8	8
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	16	11	11
1160 Appropriation, discretionary (total) .....	16	11	11
1930 Total budgetary resources available .....	21	19	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	8
3010 Obligations incurred, unexpired accounts .....	13	11	11
3020 Outlays (gross) .....	-14	-8	-11
3050 Unpaid obligations, end of year .....	5	8	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	5	8
3200 Obligated balance, end of year .....	5	8	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16	11	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	8	8
4011 Outlays from discretionary balances .....	9		3
4020 Outlays, gross (total) .....	14	8	11
4180 Budget authority, net (total) .....	16	11	11
4190 Outlays, net (total) .....	14	8	11

Fees deposited in this account are paid by industry for expedited processing of certain registration petitions and the associated establishment of tolerances for pesticides to be used in or on food and animal feed. These Pesticide Registration Service fees are authorized by Section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 112-177, the Pesticide Registration Improvement Extension Act of 2012.

Object Classification (in millions of dollars)

Identification code 68-5374-0-2-304	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	5	5
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	1	1	1

Object Classification (in millions of dollars)

Identification code 68-0103-0-1-304	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	24	21	20
25.3 Other goods and services from Federal sources .....	63	56	53
41.0 Grants, subsidies, and contributions .....	4,180	3,720	3,593
99.9 Total new obligations .....	4,268	3,798	3,667

PAYMENT TO THE HAZARDOUS SUBSTANCE SUPERFUND

Program and Financing (in millions of dollars)

Identification code 68-0250-0-1-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to the hazardous substance superfund .....	1,075	1,144	1,042
0900 Total new obligations (object class 94.0) .....	1,075	1,144	1,042
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,077	1,142	1,042
1100 Appropriation .....		2	
1130 Appropriations permanently reduced .....	-2		
1160 Appropriation, discretionary (total) .....	1,075	1,144	1,042
1930 Total budgetary resources available .....	1,075	1,144	1,042
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1,075	1,144	1,042
3020 Outlays (gross) .....	-1,075	-1,144	-1,042
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,075	1,144	1,042
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,075	1,144	1,042
4180 Budget authority, net (total) .....	1,075	1,144	1,042
4190 Outlays, net (total) .....	1,075	1,144	1,042

The Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, authorizes appropriations from the general fund to finance activities conducted through the Hazardous Substance Superfund. The Administration proposes to continue the payment from the general fund up to the appropriated amount in 2014 less sums available in the Trust Fund on September 30, 2013.

ENVIRONMENTAL SERVICES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 68-5295-0-2-304	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	303	326	353
Receipts:			
0260 Environmental Services .....	23	27	28
0400 Total: Balances and collections .....	326	353	381

PESTICIDE REGISTRATION FUND—Continued  
Object Classification—Continued

Identification code 68-5374-0-2-304	2012 actual	2013 CR	2014 est.
41.0 Grants, subsidies, and contributions .....	2	1	1
99.9 Total new obligations .....	13	11	11

Employment Summary

Identification code 68-5374-0-2-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	53	53	53

REREGISTRATION AND EXPEDITED PROCESSING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 68-4310-0-3-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reregistration and expedited processing .....	22	28	28
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	22	28	28
1850 Spending auth from offsetting collections, mand (total) .....	22	28	28
1930 Total budgetary resources available .....	24	30	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	22	28	28
3020 Outlays (gross) .....	-21	-28	-28
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	22	28	28
Outlays, gross:			
4100 Outlays from new mandatory authority .....	17	28	28
4101 Outlays from mandatory balances .....	4		
4110 Outlays, gross (total) .....	21	28	28
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-22	-28	-28
4190 Outlays, net (total) .....	-1		

Pesticide Maintenance fees are paid by industry to offset the costs of pesticide reregistration, registration review, and reassessment of tolerances for pesticides used in or on food and animal feed as required by law. This fee is authorized in Section 4 of the Federal Insecticide, Fungicide, and Rodenticide Act of 1972, as amended by Public Law 112-177. Authorization to collect the fee will expire on September 30, 2017.

Object Classification (in millions of dollars)

Identification code 68-4310-0-3-304	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	15	19	18
12.1 Civilian personnel benefits .....	4	6	6
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	2

99.9 Total new obligations .....	22	28	28
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Employment Summary

Identification code 68-4310-0-3-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	121	150	145

HAZARDOUS WASTE ELECTRONIC MANIFEST SYSTEM FUND

In addition to amounts provided under the heading "Environmental Programs and Management", \$2,000,000, to remain available until September 30, 2016, shall be available to carry out section 3024 of the Solid Waste Disposal Act (42 U.S.C. 6939g), including the development, operation, maintenance, and upgrading of the hazardous waste electronic manifest system established by such section.

Program and Financing (in millions of dollars)

Identification code 68-4330-0-3-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0013 Land Preservation and Restoration .....			2
0900 Total new obligations (object class 25.1) .....			2
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			2
1160 Appropriation, discretionary (total) .....			2
1930 Total budgetary resources available .....			2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2
3020 Outlays (gross) .....			-1
3050 Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			2
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4180 Budget authority, net (total) .....			2
4190 Outlays, net (total) .....			1

This appropriation supports all activities necessary for the development of the system established by the Hazardous Waste Electronic Manifest Establishment Act (Public Law 112-195).

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 68-4565-0-4-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 ETSO Operations .....	194	190	190
0802 Postage .....	2	5	5
0803 IFMS .....	6	5	5
0804 eRelocation .....	21	25	25
0900 Total new obligations .....	223	225	225
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	54	46	47
1021 Recoveries of prior year unpaid obligations .....	9	1	1
1050 Unobligated balance (total) .....	63	47	48
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	202	210	210

1701	Change in uncollected payments, Federal sources .....	4	15	15
1750	Spending auth from offsetting collections, disc (total) .....	206	225	225
1930	Total budgetary resources available .....	269	272	273
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	46	47	48

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	108	120	121
3010	Obligations incurred, unexpired accounts .....	223	225	225
3020	Outlays (gross) .....	-202	-223	-271
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9	-1	-1
3050	Unpaid obligations, end of year .....	120	121	74
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-93	-97	-112
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4	-15	-15
3090	Uncollected pymts, Fed sources, end of year .....	-97	-112	-127
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	15	23	9
3200	Obligated balance, end of year .....	23	9	-53

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	206	225	225
Outlays, gross:				
4010	Outlays from new discretionary authority .....	111	158	158
4011	Outlays from discretionary balances .....	91	65	113
4020	Outlays, gross (total) .....	202	223	271
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-198	-210	-210
4033	Non-Federal sources .....	-4		
4040	Offsets against gross budget authority and outlays (total) ...	-202	-210	-210
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4	-15	-15
4080	Outlays, net (discretionary) .....		13	61
4190	Outlays, net (total) .....		13	61

The Environmental Protection Agency (EPA) received authority to establish a Working Capital Fund (WCF) and was designated a pilot franchise fund under Public Law 103-356, the Government Management and Reform Act of 1994. EPA received permanent authority for the WCF in P.L. 105-65, as part of an effort to increase competition for governmental administrative services. EPA's WCF became operational in 1997 and funds seven main activities: information technology and telecommunications operations, managed by the Office of Environmental Information; Agency postage costs, managed by the Office of Administration; and the core accounting system, employee relocations, travel and conference planning, managed by the Office of the Chief Financial Officer. The 2014 amount reflects only base resources and may change during the year in accordance with programmatic needs.

**Object Classification** (in millions of dollars)

Identification code 68-4565-0-4-304		2012 actual	2013 CR	2014 est.
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent .....	16	15	15
12.1	Civilian personnel benefits .....	23	25	25
21.0	Travel and transportation of persons .....		1	1
23.1	Rental payments to GSA .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
25.1	Advisory and assistance services .....	6	4	4
25.2	Other services from non-Federal sources .....	23	15	15
25.3	Other goods and services from Federal sources .....	50	65	65
25.4	Operation and maintenance of facilities .....	2	2	2
25.7	Operation and maintenance of equipment .....	88	90	90
26.0	Supplies and materials .....	1		
31.0	Equipment .....	11	5	5
99.9	Total new obligations .....	223	225	225

**Employment Summary**

Identification code 68-4565-0-4-304		2012 actual	2013 CR	2014 est.
2001	Reimbursable civilian full-time equivalent employment .....	144	137	144

**ABATEMENT, CONTROL, AND COMPLIANCE DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 68-4322-0-3-304		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
Credit program obligations:				
0716	Finance Account/Credit Reform .....		1	
0900	Total new obligations .....		1	
<b>Budgetary Resources:</b>				
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	2	2	
1825	Spending authority from offsetting collections applied to repay debt .....	-2	-1	
1850	Spending auth from offsetting collections, mand (total) .....		1	
1900	Financing authority (total) .....		1	
1930	Total budgetary resources available .....		1	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....		1	
3020	Outlays (gross) .....		-1	
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....		1	
Financing disbursements:				
4110	Financing disbursements, gross .....		1	
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Non-Federal sources-Repayments of principal, net .....	-2	-2	
4180	Financing authority, net (total) .....	-2	-1	
4190	Financing disbursements, net (total) .....	-2	-1	

**Status of Direct Loans** (in millions of dollars)

Identification code 68-4322-0-3-304		2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:				
1121	Limitation available from carry-forward .....			
1143	Unobligated limitation carried forward .....			
1150	Total direct loan obligations .....			
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	2	2	2
1290	Outstanding, end of year .....	2	2	2

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 68-4322-0-3-304		2011 actual	2012 actual
<b>ASSETS:</b>			
1401	Net value of assets related to post-1991 direct loans receivable:		
	Direct loans receivable, gross .....	2	2
1999	Total assets .....	2	2
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	2	2

ABATEMENT, CONTROL, AND COMPLIANCE DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 68-4322-0-3-304	2011 actual	2012 actual
4999 Total liabilities and net position .....	2	2

Trust Funds

HAZARDOUS SUBSTANCE SUPERFUND  
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses to carry out the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended, including sections 111(c)(3), (c)(5), (c)(6), and (e)(4) (42 U.S.C. 9611) \$1,180,374,000, to remain available until expended, consisting of such sums as are available in the Trust Fund on September 30, 2013, as authorized by section 517(a) of the Superfund Amendments and Reauthorization Act of 1986 (SARA) and up to \$1,180,374,000 as a payment from general revenues to the Hazardous Substance Superfund for purposes as authorized by section 517(b) of SARA, as amended: Provided, That funds appropriated under this heading may be allocated to other Federal agencies in accordance with section 111(a) of CERCLA: Provided further, That of the funds appropriated under this heading, \$11,054,000 shall be paid to the "Office of Inspector General" appropriation to remain available until September 30, 2015, and \$23,549,000 shall be paid to the "Science and Technology" appropriation to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Special and Trust Fund Receipts (in millions of dollars)

Identification code 68-8145-0-7-304	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	137	79	138
Adjustments:			
0190 Adjustment - rounding issues over several years .....	3		
0199 Balance, start of year .....	140	79	138
Receipts:			
0200 Excise Taxes, Hazardous Substance Superfund .....			803
0201 Corporation Income Taxes, Hazardous Substance Superfund .....			766
0202 Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund .....	1	2	2
0220 Recoveries, Hazardous Substance Superfund .....	45	100	98
0240 Interest and Profits on Investments, Hazardous Substance Superfund .....	40	48	64
0241 Interfund Transactions, Hazardous Substance Superfund .....	1,075	1,142	1,042
0242 Interfund Transactions, Hazardous Substance Superfund .....		2	
0299 Total receipts and collections .....	1,161	1,294	2,775
0400 Total: Balances and collections .....	1,301	1,373	2,913
Appropriations:			
0500 Hazardous Substance Superfund .....	-1,181	-1,188	-1,145
0501 Hazardous Substance Superfund .....	-10	-10	-11
0502 Hazardous Substance Superfund .....	-23	-23	-24
0503 Hazardous Substance Superfund .....	-8	-14	-19
0599 Total appropriations .....	-1,222	-1,235	-1,199
0799 Balance, end of year .....	79	138	1,714

Program and Financing (in millions of dollars)

Identification code 68-8145-0-7-304	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0011 Clean Air and Global Climate Change .....	3	3	3
0013 Land Preservation and Restoration .....	1,135	1,087	1,022
0015 Compliance and Environmental Stewardship .....	240	224	215
0100 Subtotal direct program .....	1,378	1,314	1,240
0799 Total direct obligations .....	1,378	1,314	1,240

0801 Reimbursable program .....	369	306	255
0900 Total new obligations .....	1,747	1,620	1,495

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,034	1,876	2,299
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	49	45	
1021 Recoveries of prior year unpaid obligations .....	166	200	200
1050 Unobligated balance (total) .....	2,200	2,076	2,499
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		2	
1101 Appropriation (special or trust fund) .....	1,181	1,188	1,145
1101 Appropriation (special or trust fund) IG Transfer .....	10	10	11
1101 Appropriation (special or trust fund) S&T Transfer .....	23	23	24
1120 Appropriations transferred to other accts [68-8221] .....	-5		
1131 Unobligated balance of appropriations permanently reduced .....	-5	-5	
1160 Appropriation, discretionary (total) .....	1,204	1,218	1,180
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	8	14	19
1260 Appropriations, mandatory (total) .....	8	14	19
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	98	386	255
1701 Change in uncollected payments, Federal sources .....	4		
1711 Spending authority from offsetting collections transferred from other accounts [68-8221] .....		5	
1750 Spending auth from offsetting collections, disc (total) .....	102	391	255
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	220	220	220
1801 Change in uncollected payments, Federal sources .....	-111		
1850 Spending auth from offsetting collections, mand (total) .....	109	220	220
1900 Budget authority (total) .....	1,423	1,843	1,674
1930 Total budgetary resources available .....	3,623	3,919	4,173
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,876	2,299	2,678
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	2	4	4
1953 Expired unobligated balance, end of year .....	4	4	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,570	1,401	1,009
3010 Obligations incurred, unexpired accounts .....	1,747	1,620	1,495
3011 Obligations incurred, expired accounts .....	21		
3020 Outlays (gross) .....	-1,768	-1,812	-1,617
3040 Recoveries of prior year unpaid obligations, unexpired .....	-166	-200	-200
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	1,401	1,009	687
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-122	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	107		
3090 Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,448	1,386	994
3200 Obligated balance, end of year .....	1,386	994	672

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,306	1,609	1,435
Outlays, gross:			
4010 Outlays from new discretionary authority .....	619	757	683
4011 Outlays from discretionary balances .....	854	911	789
4020 Outlays, gross (total) .....	1,473	1,668	1,472
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Offsetting collections, Federal Sources [Federal Sources] .....	-11	-10	-10
4033 Baseline Program [Non-Federal Sources] .....	-107	-376	-245
4040 Offsets against gross budget authority and outlays (total) .....	-118	-386	-255
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	20		
4060 Additional offsets against budget authority only (total) .....	16		
4070 Budget authority, net (discretionary) .....	1,204	1,223	1,180
4080 Outlays, net (discretionary) .....	1,355	1,282	1,217
Mandatory:			
4090 Budget authority, gross .....	117	234	239

Outlays, gross:				
4100	Outlays from new mandatory authority .....	6	5	5
4101	Outlays from mandatory balances .....	289	139	140
4110	Outlays, gross (total) .....	295	144	145
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-23	-23	-23
4123	Non-Federal sources .....	-197	-197	-197
4130	Offsets against gross budget authority and outlays (total) ....	-220	-220	-220
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	111		
4160	Budget authority, net (mandatory) .....	8	14	19
4170	Outlays, net (mandatory) .....	75	-76	-75
4180	Budget authority, net (total) .....	1,212	1,237	1,199
4190	Outlays, net (total) .....	1,430	1,206	1,142
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	3,509	3,250	3,789
5001	Total investments, EOY: Federal securities: Par value .....	3,250	3,789	3,495

This appropriation provides funds for the implementation of the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended (CERCLA) including activities under the Working Capital Fund. This appropriation supports core Environmental Protection Agency (EPA) programs in four of EPA's five goals. Specifically in 2014, emphasis will be placed on the following:

**Cleaning Up Communities and Advancing Sustainable Development.**—To preserve and restore land and to protect human health and the environment, EPA will reduce the risks posed by releases of harmful substances and protect against exposure to those substances by cleaning up and restoring contaminated sites to beneficial use. EPA will apply the most effective methods to control the risks associated with the presence of harmful substances, improve response capabilities, and maximize the effectiveness of response and clean-up actions. EPA's clean-up and response activity at contaminated sites will address environmental concerns, such as the removal of contaminated soil and treatment of contaminated groundwater, in order to reduce human exposures to hazardous pollutants and provide long-term human health protection. EPA will ensure that all releases of harmful substances to the environment are appropriately addressed by responding to incidents and providing technical support. To effectively prepare for and respond to incidents of national significance, EPA will improve decontamination readiness, continue to support a nationwide environmental laboratory network, and maintain a highly skilled, trained, and equipped response workforce. EPA will conduct research to improve methods and models and provide technical support to accelerate scientifically defensible and cost-effective decisions for clean-up at complex contaminated sites in accordance with CERCLA. EPA will also work to maximize responsible parties' participation in site clean-ups and pursue greater recovery of EPA's clean-up costs.

**Enforcing Environmental Laws.**—EPA's Superfund Enforcement program protects communities by requiring responsible parties to pay for and/or conduct clean-ups. The program uses an enforcement first approach that maximizes the participation of liable and viable parties in performing and paying for clean-ups in both the remedial and removal programs. To further carry out the responsibilities of CERCLA, EPA will allocate funds from its appropriation to Federal agency partners including to the Department of Justice (DOJ). DOJ supports EPA's Superfund Enforcement program through negotiations and judicial actions to compel Potentially Responsible Parties to clean up and through litigation to recover Trust Fund monies. EPA will investigate and refer for prosecution criminal and civil violations of CERCLA.

**Enabling and Support Programs (ESP).**—ESPs provide centralized management services and support to the Agency's various

environmental programs. The offices and the functions they perform within the Superfund appropriation are: the Offices of Administration and Resources Management (facilities infrastructure and operations, acquisition management, human resources management services, and management of financial assistance grants/institutional assistance grants); Environmental Information (exchange network, information security, information technology/data management); the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability), and General Counsel (alternative dispute resolution, legal advice). Because these centralized services provide support across EPA, resources for the ESPs are allocated across EPA's appropriations, goals, and objectives.

**Status of Funds** (in millions of dollars)

Identification code 68-8145-0-7-304	2012 actual	2013 CR	2014 est.	
Unexpended balance, start of year:				
0100	Balance, start of year .....	3,624	3,345	3,433
0199	Total balance, start of year .....	3,624	3,345	3,433
Cash income during the year:				
Current law:				
Receipts:				
1202	Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund .....	1	2	2
Offsetting receipts (proprietary):				
1220	Recoveries, Hazardous Substance Superfund .....	45	100	98
Offsetting receipts (intragovernmental):				
1240	Interest and Profits on Investments, Hazardous Substance Superfund .....	40	48	64
1241	Interfund Transactions, Hazardous Substance Superfund .....	1,075	1,142	1,042
1242	Interfund Transactions, Hazardous Substance Superfund .....		2	
Offsetting collections:				
1280	Hazardous Substance Superfund .....	11	10	10
1281	Hazardous Substance Superfund .....	23	23	23
1282	Hazardous Substance Superfund .....	197	197	197
1283	Hazardous Substance Superfund .....	107	376	245
1299	Income under present law .....	1,499	1,900	1,681
Proposed legislation:				
Receipts:				
2200	Excise Taxes, Hazardous Substance Superfund .....			803
2201	Corporation Income Taxes, Hazardous Substance Superfund .....			766
2299	Income under proposed legislation .....			1,569
3299	Total cash income .....	1,499	1,900	3,250
Cash outgo during year:				
Current law:				
4500	Hazardous Substance Superfund .....	-1,768	-1,812	-1,617
4599	Outgo under current law (-) .....	-1,768	-1,812	-1,617
6599	Total cash outgo (-) .....	-1,768	-1,812	-1,617
7625	Hazardous Substance Superfund .....	-5	-5	
7645	Hazardous Substance Superfund .....	-5		
7645	Hazardous Substance Superfund .....		5	
7699	Total adjustments .....	-10		
Unexpended balance, end of year:				
8700	Uninvested balance (net), end of year .....	95	-356	1,571
8701	Hazardous Substance Superfund .....	3,250	3,789	3,495
8799	Total balance, end of year .....	3,345	3,433	5,066

**Object Classification** (in millions of dollars)

Identification code 68-8145-0-7-304	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	284	271	261
11.3	Other than full-time permanent .....	8	8	7
11.5	Other personnel compensation .....	6	6	6
11.7	Military personnel .....	2	2	2
11.9	Total personnel compensation .....	300	287	276
12.1	Civilian personnel benefits .....	89	85	82
21.0	Travel and transportation of persons .....	9	9	8
23.1	Rental payments to GSA .....	43	41	41
23.2	Rental payments to others .....	1	1	1

**HAZARDOUS SUBSTANCE SUPERFUND—Continued**  
**Object Classification—Continued**

Identification code 68-8145-0-7-304	2012 actual	2013 CR	2014 est.
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.1 Advisory and assistance services .....	35	33	32
25.2 Other services from non-Federal sources .....	612	586	536
25.3 Other goods and services (Recovery Act) .....	175	167	161
25.4 Operation and maintenance of facilities .....	9	9	8
25.7 Operation and maintenance of equipment .....	9	9	8
26.0 Supplies and materials .....	4	4	4
31.0 Equipment .....	9	9	8
41.0 Grants, subsidies, and contributions .....	47	45	43
42.0 Insurance claims and indemnities .....			3
99.0 Direct obligations .....	1,346	1,289	1,215
99.0 Reimbursable obligations .....	369	306	255
Allocation Account - direct:			
11.1 Personnel compensation: Full-time permanent .....	6	5	5
25.2 Other services from non-Federal sources .....	26	20	20
99.0 Allocation account - direct .....	32	25	25
99.9 Total new obligations .....	1,747	1,620	1,495

**Employment Summary**

Identification code 68-8145-0-7-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,899	2,918	2,840
1101 Direct military average strength employment .....	12	12	12
2001 Reimbursable civilian full-time equivalent employment .....	131	51	23

**LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM**

For necessary expenses to carry out leaking underground storage tank cleanup activities authorized by subtitle I of the Solid Waste Disposal Act, as amended, \$99,242,000, to remain available until expended, of which \$70,316,000 shall be for carrying out leaking underground storage tank cleanup activities authorized by section 9003(h) of the Solid Waste Disposal Act, as amended; \$28,926,000 shall be for carrying out the other provisions of the Solid Waste Disposal Act specified in section 9508(c) of the Internal Revenue Code, as amended: Provided, That the Administrator is authorized to use appropriations made available under this heading to implement section 9013 of the Solid Waste Disposal Act to provide financial assistance to federally recognized Indian tribes for the development and implementation of programs to manage underground storage tanks.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 68-8153-0-7-999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	3,333	1,157	1,248
Receipts:			
0200 Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund .....	170	181	180
0240 Earnings on Investments, Leaking Underground Storage Tank Trust Fund .....	158	20	27
0299 Total receipts and collections .....	328	201	207
0400 Total: Balances and collections .....	3,661	1,358	1,455
Appropriations:			
0500 Leaking Underground Storage Tank Trust Fund .....	-104	-105	-99
0501 Leaking Underground Storage Tank Trust Fund .....		-5	
0502 Leaking Underground Storage Tank Trust Fund .....	-2,400		
0599 Total appropriations .....	-2,504	-110	-99
0799 Balance, end of year .....	1,157	1,248	1,356

**Program and Financing (in millions of dollars)**

Identification code 68-8153-0-7-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0013 Land Preservation and Restoration .....	2,508	106	108
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	11
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4		
1021 Recoveries of prior year unpaid obligations .....	4	3	3
1050 Unobligated balance (total) .....	8	7	14
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	104	105	99
1101 Appropriation (special or trust fund) .....		5	
1160 Appropriation, discretionary (total) .....	104	110	99
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2,400		
1260 Appropriations, mandatory (total) .....	2,400		
1900 Budget authority (total) .....	2,504	110	99
1930 Total budgetary resources available .....	2,512	117	113
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	11	5
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	3	4	4
1953 Expired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	168	127	119
3010 Obligations incurred, unexpired accounts .....	2,508	106	108
3020 Outlays (gross) .....	-2,544	-111	-103
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-3	-3
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	127	119	121
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	168	127	119
3200 Obligated balance, end of year .....	127	119	121
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	104	110	99
Outlays, gross:			
4010 Outlays from new discretionary authority .....	20	23	22
4011 Outlays from discretionary balances .....	124	88	81
4020 Outlays, gross (total) .....	144	111	103
Mandatory:			
4090 Budget authority, gross .....	2,400		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,400		
4180 Budget authority, net (total) .....	2,504	110	99
4190 Outlays, net (total) .....	2,544	111	103
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	3,450	1,259	1,335
5001 Total investments, EOY: Federal securities: Par value .....	1,259	1,335	1,415

The Leaking Underground Storage Tank (LUST) Trust Fund, authorized by the Superfund Amendments and Reauthorization Act of 1986, as amended by the Omnibus Budget Reconciliation Act of 1990, the Taxpayer Relief Act of 1997, and the Energy Policy Act (EPA) of 2005, and the Moving Ahead for Progress in the 21st Century Act (MAP-21, Public Law 112-141) provides funds for preventing and responding to releases from underground storage tanks, including activities under the Working Capital Fund. The Trust Fund is financed by a 0.1 cent per gallon tax on motor fuels through September 30, 2016.

LUST funds are allocated to States through cooperative agreements to clean up sites posing the greatest threat to human health and the environment as authorized under Section 9003(h) of the Solid Waste Disposal Act of 1965, as amended, and also to implement the activities authorized by Title XV, Subtitle B of EPA. Funds are also used for grants to non-State entities under Section 8001 of the Resource Conservation and Recovery Act of

1976, as amended. Federally recognized Tribes receive grant funding under P.L. 105–276. EPA supports oversight, clean-up, and enforcement programs which are implemented by the States. LUST Trust Fund dollars can be used for State-led clean-ups and for State oversight of responsible party clean-ups. In addition, EPA expanded the authorized activities for the underground storage tank program. This appropriation supports core Agency programs and two of EPA's five goals. Specifically in 2014, emphasis will be placed on the following:

**Cleaning Up Communities and Advancing Sustainable Development.**—The LUST program promotes rapid and effective responses to releases from Federally regulated underground storage tanks containing petroleum by enhancing State, local, and Tribal enforcement and response capability. EPA's LUST program priorities include: increasing the efficiency of LUST clean-ups; addressing contaminants of concern; and promoting the continued use, reuse, and long-term management of LUST sites. EPA will help States and Tribes improve LUST clean-up performance by performing analyses and developing strategies to reduce the backlog of open releases, continuing to develop and promote the use of innovative tools such as multi-site and geographical clean-up approaches, providing guidance and technical support regarding clean-up approaches and technologies, and streamlining clean-up decisions and processes. EPA will also continue efforts to monitor the soundness of State clean-up funds. EPA's release prevention program priorities will assist States and Tribes to ensure continued compliance with the three year inspection requirement, implement the various release prevention provisions of EPA's Act such as operator training and delivery prohibition, enforce violations discovered during the inspections, and continue building tribal implementation capacity.

**Enforcing Environmental Laws.**—To protect the Nation's groundwater and drinking water from petroleum releases from Underground Storage Tanks (UST), this program provides compliance assistance tools, technical assistance and training to promote and enforce UST systems compliance and clean-ups.

**Enabling and Support Programs.**—Enabling and Support Programs provide the infrastructure of people, facilities, and systems necessary to operate the programs funded by the LUST appropriation. The offices and the functions they perform are: Administration and Resources Management (facilities infrastructure and operations, acquisition management, and human resources management services); Environmental Information (information technology/data management); and the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability).

**Status of Funds** (in millions of dollars)

Identification code 68-8153-0-7-999	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	3,508	1,292	1,382
0199 Total balance, start of year .....	3,508	1,292	1,382
Cash income during the year:			
Current law:			
Receipts:			
1200 Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund .....	170	181	180
Offsetting receipts (intragovernmental):			
1240 Earnings on Investments, Leaking Underground Storage Tank Trust Fund .....	158	20	27
1299 Income under present law .....	328	201	207
3299 Total cash income .....	328	201	207
Cash outgo during year:			
Current law:			
4500 Leaking Underground Storage Tank Trust Fund .....	-2,544	-111	-103
4599 Outgo under current law (-) .....	-2,544	-111	-103

6599 Total cash outgo (-) .....	-2,544	-111	-103
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	33	47	71
8701 Leaking Underground Storage Tank Trust Fund .....	1,259	1,335	1,415
8799 Total balance, end of year .....	1,292	1,382	1,486

**Object Classification** (in millions of dollars)

Identification code 68-8153-0-7-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	3	1	2
25.2 Other services from non-Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	93	93	94
94.0 Financial transfers .....	2,400		
99.9 Total new obligations .....	2,508	106	108

**Employment Summary**

Identification code 68-8153-0-7-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	66	70	63

**INLAND OIL SPILL PROGRAMS**

*For expenses necessary to carry out the Environmental Protection Agency's responsibilities under the Oil Pollution Act of 1990, \$21,268,000, to be derived from the Oil Spill Liability trust fund, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 68-8221-0-7-304	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0013 Land preservation and restoration .....	20	18	22
0100 Direct Program .....	20	18	22
0801 Reimbursable program .....	31	31	31
0900 Total new obligations .....	51	49	53
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	29	26
1021 Recoveries of prior year unpaid obligations .....	8	2	2
1050 Unobligated balance (total) .....	31	31	28
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	18	18	21
1121 Appropriations transferred from other accts [68-8145] .....	5		
1160 Appropriation, discretionary (total) .....	23	18	21
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	33	31	31
1701 Change in uncollected payments, Federal sources .....	-7		
1710 Spending authority from offsetting collections transferred to other accounts [68-8145] .....		-5	
1750 Spending auth from offsetting collections, disc (total) .....	26	26	31
1900 Budget authority (total) .....	49	44	52
1930 Total budgetary resources available .....	80	75	80
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	29	26	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	36	23	25
3010 Obligations incurred, unexpired accounts .....	51	49	53
3020 Outlays (gross) .....	-56	-45	-53
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	-2	-2

INLAND OIL SPILL PROGRAMS—Continued  
Program and Financing—Continued

Identification code 68-8221-0-7-304		2012 actual	2013 CR	2014 est.
3050	Unpaid obligations, end of year .....	23	25	23
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-55	-48	-48
3070	Change in uncollected pymts, Fed sources, unexpired .....	7		
3090	Uncollected pymts, Fed sources, end of year .....	-48	-48	-48
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-19	-25	-23
3200	Obligated balance, end of year .....	-25	-23	-25
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	49	44	52
Outlays, gross:				
4010	Outlays from new discretionary authority .....	29	36	41
4011	Outlays from discretionary balances .....	27	9	12
4020	Outlays, gross (total) .....	56	45	53
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-31	-31	-31
4033	Non-Federal sources .....	-2		
4040	Offsets against gross budget authority and outlays (total) ...	-33	-31	-31
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	7		
4070	Budget authority, net (discretionary) .....	23	13	21
4080	Outlays, net (discretionary) .....	23	14	22
4180	Budget authority, net (total) .....	23	13	21
4190	Outlays, net (total) .....	23	14	22

This appropriation provides for the Environmental Protection Agency's (EPA) responsibilities for prevention, preparedness, response, and enforcement activities authorized under the Federal Water Pollution Control Act, as amended by the Oil Pollution Act of 1990 (OPA), including activities under the Working Capital Fund. This appropriation supports core Agency programs and two of the Agency's five goals. Specifically in 2014, emphasis will be placed on the following:

**Cleaning Up Communities and Advancing Sustainable Development.**—The Oil Spill program protects U.S. waters by preventing, preparing for, responding to, and monitoring oil spills. Under the regulatory framework established by the Spill Prevention, Control and Countermeasure (SPCC) and Federal Response Plan (FRP) regulations, EPA conducts oil spill prevention, preparedness, inspection, and enforcement activities associated with more than 600,000 non-transportation-related oil storage facilities. The National Oil and Hazardous Substances Pollution Contingency Plan (NCP) then establishes EPA's jurisdiction over inland oil spills and sets forth the framework for response. EPA accesses the Oil Spill Liability Trust Fund, administered by the U.S. Coast Guard, to obtain reimbursement for site-specific spill response activities. More than 30,000 oil and hazardous substance releases occur in the United States every year, with a significant portion of these spills occurring in the inland zone over which EPA has jurisdiction.

EPA develops and manages the regulations and protocols under Subpart J of the NCP which require manufacturers of various oil spill response products to test their products prior to listing on a Product Schedule. The Product Schedule identifies those oil spill remediation agents, such as dispersants and surface washing agents, which could be authorized for use by an On-Scene Coordinator (OSC) on an oil spill. Product testing ensures their effectiveness and provides toxicity information used by OSCs and Regional Response Teams in making informed decisions regarding the use of certain products in response to specific spills. EPA will be focusing its oil spill research efforts on ecological effects and assessment of shoreline and coastal impacts from oil spills and use of

dispersants and other chemical agents, human health impacts, and spill remediation alternatives and innovative technology development and evaluation, including green technologies. Spill response is a priority for the Agency, and EPA has been instrumental in providing guidance for various response technologies. A key factor in providing guidance on spill response technologies is developing a firm understanding of the science behind spill behavior in the environment.

**Enforcing Environmental Laws.**—The Inland Oil Spill Programs appropriation portion of the Civil Enforcement program is designed to prevent oil spills using civil enforcement and compliance assistance approaches, as well as to prepare for and respond to any oil spills affecting the inland waters of the United States. Pursuant to Clean Water Act Section 311 (Oil Spill and Hazardous Substances Liability) requirements, EPA's Civil Enforcement program develops policies; issues administrative clean-up and removal orders and orders protecting public health; pursues administrative remedies and/or refers civil judicial actions to the Department of Justice; assesses civil penalties for spills into the environment or violations of administrative orders or oil pollution prevention regulations; assists regulated entities in understanding their legal requirements under the Clean Water Act; and assists in the recovery of clean-up costs expended by the government.

**Enabling and Support Programs.**—Enabling and Support Programs provide the infrastructure of people, facilities and systems necessary to operate the programs funded by the Inland Oil Spill Programs appropriation. The office and function performed is Administration and Resources Management (facilities infrastructure and operations).

Object Classification (in millions of dollars)

Identification code 68-8221-0-7-304		2012 actual	2013 CR	2014 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	10	10	12
12.1	Civilian personnel benefits .....	4	4	5
25.2	Other services from non-Federal sources .....	4	3	3
25.5	Research and development contracts .....	1	1	1
41.0	Grants, subsidies, and contributions .....	1		1
99.0	Direct obligations .....	20	18	22
99.0	Reimbursable obligations .....	31	31	31
99.9	Total new obligations .....	51	49	53

Employment Summary

Identification code 68-8221-0-7-304		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	94	101	113
2001	Reimbursable civilian full-time equivalent employment .....	9		

ADMINISTRATIVE PROVISIONS—ENVIRONMENTAL PROTECTION AGENCY  
(INCLUDING TRANSFER OF FUNDS)

For fiscal year 2014, notwithstanding 31 U.S.C. 6303(1) and 6305(1), the Administrator of the Environmental Protection Agency, in carrying out the Agency's function to implement directly Federal environmental programs required or authorized by law in the absence of an acceptable tribal program, may award cooperative agreements to federally recognized Indian tribes or Intertribal consortia, if authorized by their member tribes, to assist the Administrator in implementing Federal environmental programs for Indian tribes required or authorized by law, except that no such cooperative agreements may be awarded from funds designated for State financial assistance agreements.

The Administrator of the Environmental Protection Agency is authorized to collect and obligate pesticide registration service fees in accordance with section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act,

as amended by Public Law 112-177, the Pesticide Registration Improvement Extension Act of 2012.

The Administrator is authorized to transfer up to \$300,000,000 of the funds appropriated for the Great Lakes Restoration Initiative under the heading "Environmental Programs and Management" to the head of any Federal department or agency, with the concurrence of such head, to carry out activities that would support the Great Lakes Restoration Initiative and Great Lakes Water Quality Agreement programs, projects, or activities; to enter into an interagency agreement with the head of such Federal department or agency to carry out these activities; and to make grants to governmental entities, nonprofit organizations, institutions, and individuals for planning, research, monitoring, outreach, and implementation in furtherance of the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement.

Under terms established by the Administrator, and in addition to funds otherwise available in other appropriation accounts for specific grant programs, the Agency may expend funds appropriated in the Environmental Program and Management account for competitive grants to communities to implement Community Action for a Renewed Environment (CARE) projects.

The Science and Technology, Environmental Programs and Management, Office of Inspector General, Hazardous Substance Superfund, and Leaking Underground Storage Tank Trust Fund Program Accounts, are available for the construction, alteration, repair, rehabilitation, and renovation of facilities provided that the cost does not exceed \$150,000 per project.

The fourth paragraph under the heading Administrative Provisions of title II of Public Law 109-54, as amended by the fifth paragraph under

such heading of title II of division E of Public Law 111-8 and the third paragraph under such heading of the title II of Public Law 111-88, is further amended by striking "up to thirty persons at any one time" and inserting "persons".

For fiscal year 2014, and notwithstanding section 518(f) of the Water Pollution Control Act, the Administrator is authorized to use the amounts appropriated for any fiscal year under Section 319 of the Act to make grants to federally recognized Indian tribes pursuant to sections 319(h) and 518(e) of that Act.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Governmental receipts:			
68-089500 Registration, PMN, Other Services .....	1	2	2
General Fund Governmental receipts .....	1	2	2
Offsetting receipts from the public:			
68-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....		2	2
68-322900 Cellulosic Biofuel Waiver Credits, Renewal Fuel Program .....	5	10	10
General Fund Offsetting receipts from the public .....	5	12	12



# EXECUTIVE OFFICE OF THE PRESIDENT

## THE WHITE HOUSE

### Federal Funds

#### COMPENSATION OF THE PRESIDENT

For compensation of the President, including an expense allowance at the rate of \$50,000 per annum as authorized by 3 U.S.C. 102, \$450,000: Provided, That none of the funds made available for official expenses shall be expended for any other purpose and any unused amount shall revert to the Treasury pursuant to 31 U.S.C. 1552.

#### SALARIES AND EXPENSES

For necessary expenses for the White House as authorized by law, including not to exceed \$3,850,000 for services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 105; subsistence expenses as authorized by 3 U.S.C. 105, which shall be expended and accounted for as provided in that section; hire of passenger motor vehicles, newspapers, periodicals, and travel (not to exceed \$100,000 to be expended and accounted for as provided by 3 U.S.C. 103); and not to exceed \$19,000 for official entertainment expenses, to be available for allocation within the Executive Office of the President; and for necessary expenses of the Office of Policy Development, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, \$55,110,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 11-0209-0-1-802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	57	57	56
0801 Reimbursable program .....	2	4	4
0900 Total new obligations .....	59	61	60
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	57	57	56
1160 Appropriation, discretionary (total) .....	57	57	56
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	4	4
1750 Spending auth from offsetting collections, disc (total) .....	2	4	4
1900 Budget authority (total) .....	59	61	60
1930 Total budgetary resources available .....	59	61	60
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	6	4
3010 Obligations incurred, unexpired accounts .....	59	61	60
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-62	-63	-63
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	6	4	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	6	4
3200 Obligated balance, end of year .....	6	4	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	59	61	60
Outlays, gross:			
4010 Outlays from new discretionary authority .....	54	58	57
4011 Outlays from discretionary balances .....	8	5	6
4020 Outlays, gross (total) .....	62	63	63
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-4	-4
4180 Budget authority, net (total) .....	57	57	56
4190 Outlays, net (total) .....	60	59	59

These funds provide for the compensation of the President and official expenses. These funds also provide the President with

staff assistance and provide administrative services for the direct support of the President, to include support for the offices and councils in the White House as directed by the President.

#### Object Classification (in millions of dollars)

Identification code 11-0209-0-1-802	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	36	36	36
12.1 Civilian personnel benefits .....	10	10	10
21.0 Travel and transportation of persons .....	3	2	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	5	6	4
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	57	57	56
99.0 Reimbursable obligations .....	2	4	4
99.9 Total new obligations .....	59	61	60

#### Employment Summary

Identification code 11-0209-0-1-802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	438	450	450

## EXECUTIVE RESIDENCE AT THE WHITE HOUSE

### Federal Funds

#### OPERATING EXPENSES

For the care, maintenance, repair and alteration, refurbishing, improvement, heating, and lighting, including electric power and fixtures, of the Executive Residence at the White House and official entertainment expenses of the President, \$12,768,000, to be expended and accounted for as provided by 3 U.S.C. 105, 109, 110, and 112-114.

#### REIMBURSABLE EXPENSES

For the reimbursable expenses of the Executive Residence at the White House, such sums as may be necessary: Provided, That all reimbursable operating expenses of the Executive Residence shall be made in accordance with the provisions of this paragraph: Provided further, That, notwithstanding any other provision of law, such amount for reimbursable operating expenses shall be the exclusive authority of the Executive Residence to incur obligations and to receive offsetting collections, for such expenses: Provided further, That the Executive Residence shall require each person sponsoring a reimbursable political event to pay in advance an amount equal to the estimated cost of the event, and all such advance payments shall be credited to this account and remain available until expended: Provided further, That the Executive Residence shall require the national committee of the political party of the President to maintain on deposit \$25,000, to be separately accounted for and available for expenses relating to reimbursable political events sponsored by such committee during such fiscal year: Provided further, That the Executive Residence shall ensure that a written notice of any amount owed for a reimbursable operating expense under this paragraph is submitted to the person owing such amount within 60 days after such expense is incurred, and that such amount is collected within 30 days after the submission of such notice: Provided further, That the Executive Residence shall charge interest and assess penalties and other charges on any such amount that is not reimbursed within such 30 days, in accordance with the interest and penalty provisions applicable to an outstanding debt on a United States Government claim under 31 U.S.C. 3717: Provided further, That each such amount that is reimbursed, and any accompanying interest and charges, shall be deposited in the Treasury as miscellaneous receipts: Provided further, That the Executive Residence shall prepare and submit to the Committees on Appropriations, by not later than 90 days after the end of the fiscal year covered by this Act, a report setting forth the reimbursable operating expenses of the Executive Residence during the preceding fiscal year, including the total amount of such expenses, the amount of such

OPERATING EXPENSES—Continued

total that consists of reimbursable official and ceremonial events, the amount of such total that consists of reimbursable political events, and the portion of each such amount that has been reimbursed as of the date of the report: Provided further, That the Executive Residence shall maintain a system for the tracking of expenses related to reimbursable events within the Executive Residence that includes a standard for the classification of any such expense as political or nonpolitical: Provided further, That no provision of this paragraph may be construed to exempt the Executive Residence from any other applicable requirement of subchapter I or II of chapter 37 of title 31, United States Code.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11–0210–0–1–802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	13	14	13
0831 Reimbursable program activity .....	4	5	5
0900 Total new obligations .....	17	19	18
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	14	13
1160 Appropriation, discretionary (total) .....	13	14	13
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	5	5
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	5	5	5
1900 Budget authority (total) .....	18	19	18
1930 Total budgetary resources available .....	18	19	18
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	4
3010 Obligations incurred, unexpired accounts .....	17	19	18
3020 Outlays (gross) .....	-17	-16	-18
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	1	4	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		3
3200 Obligated balance, end of year .....		3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	18	19	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	16	16	15
4011 Outlays from discretionary balances .....	1		3
4020 Outlays, gross (total) .....	17	16	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-1
4033 Non-Federal sources .....	-4	-4	-4
4040 Offsets against gross budget authority and outlays (total) .....	-4	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070 Budget authority, net (discretionary) .....	13	14	13
4080 Outlays, net (discretionary) .....	13	11	13
4180 Budget authority, net (total) .....	13	14	13
4190 Outlays, net (total) .....	13	11	13

These funds provide for the care, maintenance, and operation of the Executive Residence.

Object Classification (in millions of dollars)

Identification code 11–0210–0–1–802	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	8	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	13	13	13
99.0 Reimbursable obligations .....	4	5	5
99.5 Below reporting threshold .....		1	
99.9 Total new obligations .....	17	19	18

Employment Summary

Identification code 11–0210–0–1–802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	89	96	96

WHITE HOUSE REPAIR AND RESTORATION

For the repair, alteration, and improvement of the Executive Residence at the White House, \$750,000, to remain available until expended, for required maintenance, resolution of safety and health issues, and continued preventative maintenance.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11–0109–0–1–802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3	1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1160 Appropriation, discretionary (total) .....	1	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	2		
1900 Budget authority (total) .....	3	1	1
1930 Total budgetary resources available .....	10	8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	3	1	1
3020 Outlays (gross) .....	-2	-1	-1
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	1
4011 Outlays from discretionary balances .....	2		
4020 Outlays, gross (total) .....	2	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2		
4180 Budget authority, net (total) .....	1	1	1

4190	Outlays, net (total)	1	1
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These funds provide for the repair, alteration, and improvement of the Executive Residence at the White House.

**Object Classification** (in millions of dollars)

Identification code 11-0109-0-1-802	2012 actual	2013 CR	2014 est.	
25.2	Direct obligations: Other services from non-Federal sources	1	1	1
99.0	Reimbursable obligations	2		
99.9	Total new obligations	3	1	1

**SPECIAL ASSISTANCE TO THE PRESIDENT AND THE OFFICIAL RESIDENCE OF THE VICE PRESIDENT**  
*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses to enable the Vice President to provide assistance to the President in connection with specially assigned functions; services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 106, including subsistence expenses as authorized by 3 U.S.C. 106, which shall be expended and accounted for as provided in that section; and hire of passenger motor vehicles, \$4,328,000.

**OFFICIAL RESIDENCE OF THE VICE PRESIDENT**

**OPERATING EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For the care, operation, refurbishing, improvement, and to the extent not otherwise provided for, heating and lighting, including electric power and fixtures, of the official residence of the Vice President; the hire of passenger motor vehicles; and not to exceed \$90,000 for official entertainment expenses of the Vice President, to be accounted for solely on his certificate, \$307,000: Provided, That advances or repayments or transfers from this appropriation may be made to any department or agency for expenses of carrying out such activities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-1454-0-1-802	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity	4	5	5
0801	Reimbursable program activity	1	1	1
0900	Total new obligations	5	6	6
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	5	5	5
1160	Appropriation, discretionary (total)	5	5	5
Spending authority from offsetting collections, discretionary:				
1700	Collected	1	1	1
1750	Spending auth from offsetting collections, disc (total)	1	1	1
1900	Budget authority (total)	6	6	6
1930	Total budgetary resources available	6	7	7
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1			
3010	Obligations incurred, unexpired accounts	5	6	6
3020	Outlays (gross)	-6	-6	-6

Identification code 11-1454-0-1-802	2012 actual	2013 CR	2014 est.	
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year	1		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	6	6	6
Outlays, gross:				
4010	Outlays from new discretionary authority	5	6	6
4011	Outlays from discretionary balances	1		
4020	Outlays, gross (total)	6	6	6
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1	-1	-1
4180	Budget authority, net (total)	5	5	5
4190	Outlays, net (total)	5	5	5

These funds are used by the Vice President to carry out responsibilities assigned to the Vice President by the President and by various statutes. These funds also provide for the care and operation of the Vice President's official residence.

**Object Classification** (in millions of dollars)

Identification code 11-1454-0-1-802	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	1	1	1
99.0	Direct obligations	4	4	4
99.0	Reimbursable obligations	1	1	1
99.5	Below reporting threshold		1	1
99.9	Total new obligations	5	6	6

**Employment Summary**

Identification code 11-1454-0-1-802	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment	25	24	24

**COUNCIL OF ECONOMIC ADVISERS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Council of Economic Advisers in carrying out its functions under the Employment Act of 1946 (15 U.S.C. 1021 et seq.), \$4,192,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-1900-0-1-802	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity	4	4	4
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	4	4	4
1160	Appropriation, discretionary (total)	4	4	4
1930	Total budgetary resources available	4	4	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	Obligations incurred, unexpired accounts	4	4	4
3020	Outlays (gross)	-4	-4	-4
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	1	1

**SALARIES AND EXPENSES—Continued**  
**Program and Financing—Continued**

Identification code 11–1900–0–1–802	2012 actual	2013 CR	2014 est.
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	3	3
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

The Council of Economic Advisers analyzes the national economy and its various segments, advises the President on economic developments, recommends policies for economic growth and stability, appraises economic programs and policies of the Federal Government, and assists in preparation of the annual Economic Report of the President to the Congress.

**Object Classification** (in millions of dollars)

Identification code 11–1900–0–1–802	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
99.9 Total new obligations .....	4	4	4

**Employment Summary**

Identification code 11–1900–0–1–802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	25	26	26

**COUNCIL ON ENVIRONMENTAL QUALITY AND  
OFFICE OF ENVIRONMENTAL QUALITY**

**Federal Funds**

**COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL  
QUALITY**

*For necessary expenses to continue functions assigned to the Council on Environmental Quality and Office of Environmental Quality pursuant to the National Environmental Policy Act of 1969, the Environmental Quality Improvement Act of 1970, and Reorganization Plan No. 1 of 1977, and not to exceed \$750 for official reception and representation expenses, \$3,009,000: Provided, That, notwithstanding section 202 of the National Environmental Policy Act of 1970, the Council shall consist of one member, appointed by the President, by and with the advice and consent of the Senate, serving as chairman and exercising all powers, functions, and duties of the Council.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11–1453–0–1–802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Council on Environmental Quality and Office of Environmental Quality .....	3	3	3
0900 Total new obligations .....	3	3	3

**Budgetary Resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1160 Appropriation, discretionary (total) .....	3	3	3
1930 Total budgetary resources available .....	3	3	3

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	–3	–3	–3

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

This appropriation provides funds for the Council on Environmental Quality and the Office of Environmental Quality to serve as the focal point for environmental policy development within the Administration and conduct compliance oversight activities under the National Environmental Policy Act (NEPA).

**Object Classification** (in millions of dollars)

Identification code 11–1453–0–1–802	2012 actual	2013 CR	2014 est.
Direct obligations: Personnel compensation: Full-time permanent .....			
11.1 permanent .....	2	2	2
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	3	3	3

**Employment Summary**

Identification code 11–1453–0–1–802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	22	24	24

**MANAGEMENT FUND, OFFICE OF ENVIRONMENTAL QUALITY**

**Program and Financing** (in millions of dollars)

Identification code 11–3963–0–4–802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	1		
0809 Reimbursable program activities, subtotal .....	1		
0900 Total new obligations (object class 25.2) .....	1		

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1930 Total budgetary resources available .....	1		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	1		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1

The Office of Environmental Quality Management Fund finances study contracts that are jointly sponsored by the Office of Environmental Quality and one or more other Federal agencies. The Management Fund also finances Federal interagency environmental projects (including task forces) in which the Office participates.

**Object Classification** (in millions of dollars)

Identification code 11-3963-0-4-802	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
25.2 Other services from non-Federal sources .....	1		
99.0 Reimbursable obligations .....	1		

**NATIONAL SECURITY COUNCIL AND HOMELAND SECURITY COUNCIL**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the National Security Council and the Homeland Security Council, including services as authorized by 5 U.S.C. 3109, \$12,621,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-2000-0-1-802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Security Council .....	14	13	13
0801 Reimbursable program activity .....		1	1
0900 Total new obligations .....	14	14	14
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	13	13	13
1160 Appropriation, discretionary (total) .....	13	13	13
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	14	14	14
1930 Total budgetary resources available .....	14	14	14
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	
3010 Obligations incurred, unexpired accounts .....	14	14	14
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-15	-15	-14
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	1		
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1		-1
3200 Obligated balance, end of year .....		-1	-1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	14	14	14
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	13	13	13
4011 Outlays from discretionary balances .....	2	2	1
4020 Outlays, gross (total) .....	15	15	14
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	14	14	13

The National Security Council and Homeland Security Council advise the President on the integration of domestic, foreign, and military policies relating to national security.

**Object Classification** (in millions of dollars)

Identification code 11-2000-0-1-802	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	10	9	9
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
99.0 Direct obligations .....	13	12	12
99.0 Reimbursable obligations .....		1	1
99.5 Below reporting threshold .....	1		1
99.9 Total new obligations .....	14	14	14

**Employment Summary**

Identification code 11-2000-0-1-802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	68	77	77

**OFFICE OF ADMINISTRATION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Administration, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, and hire of passenger motor vehicles, \$113,135,000, of which \$12,006,000 shall remain available until expended for continued modernization of the information technology infrastructure within the Executive Office of the President.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-0038-0-1-802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0009 General Services .....	10	10	10
0010 Facilities Management .....	26	26	24
0011 Information Systems and Technology .....	34	36	35
0012 Library and Research Services .....	2	2	2
0013 Capital Investment Plan .....	6	10	12
0014 Personnel .....	29	29	29
0016 Below Reporting Threshold .....	1	1	1
0100 Direct program activities, subtotal .....	108	114	113
0799 Total direct obligations .....	108	114	113
0880 Reimbursable program activity .....	1	1	1
0900 Total new obligations .....	109	115	114
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5	11	11
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	6	11	11
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	113	114	113
1160 Appropriation, discretionary (total) .....	113	114	113
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		1	1
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	114	115	114
1930 Total budgetary resources available .....	120	126	125
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	11	11	11
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	35	28
3010 Obligations incurred, unexpired accounts .....	109	115	114
3011 Obligations incurred, expired accounts .....	1		

**SALARIES AND EXPENSES—Continued**  
**Program and Financing—Continued**

Identification code 11-0038-0-1-802		2012 actual	2013 CR	2014 est.
3020	Outlays (gross) .....	-114	-122	-114
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	35	28	28
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	42	34	27
3200	Obligated balance, end of year .....	34	27	27
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	114	115	114
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	79	89	88
4011	Outlays from discretionary balances .....	35	33	26
4020	Outlays, gross (total) .....	114	122	114
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....		-1	-1
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070	Budget authority, net (discretionary) .....	113	114	113
4080	Outlays, net (discretionary) .....	114	121	113
4180	Budget authority, net (total) .....	113	114	113
4190	Outlays, net (total) .....	114	121	113

The Office of Administration's mission is to provide a full array of customer-based administrative services to all entities of the Executive Office of the President. These services, defined by Executive Order 12028 of 1977, include financial, personnel, library, information management systems, security and emergency preparedness, and general office administrative services.

**Object Classification (in millions of dollars)**

Identification code 11-0038-0-1-802		2012 actual	2013 CR	2014 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	22	23	23
12.1	Civilian personnel benefits .....	7	8	8
23.1	Rental payments to GSA .....	24	23	21
23.3	Communications, utilities, and miscellaneous charges .....	5	6	6
25.2	Other services from non-Federal sources .....	43	43	43
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	5	9	10
99.0	Direct obligations .....	108	114	113
99.0	Reimbursable obligations .....	1	1	1
99.9	Total new obligations .....	109	115	114

**Employment Summary**

Identification code 11-0038-0-1-802		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	219	230	230

**OFFICE OF MANAGEMENT AND BUDGET**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Management and Budget, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109 and to carry out the provisions of chapter 35 of title 44, United States Code, \$93,397,000, of which not to exceed \$3,000 shall be available for official representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 11-0300-0-1-802		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	National Security programs .....	11	11	12
0002	General Government programs .....	10	10	10
0003	Natural Resource programs .....	10	10	10
0005	Health programs .....	7	7	8
0006	Education, Income Maintenance, and Labor programs .....	5	5	6
0007	Office of Federal Financial Management .....	3	3	3
0008	Information and Regulatory Affairs .....	8	8	8
0009	Office of Federal Procurement Policy .....	3	3	3
0010	OMB-wide Offices .....	32	33	33
0100	Direct program activities, subtotal .....	89	90	93
0900	Total new obligations .....	89	90	93
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	89	90	93
1160	Appropriation, discretionary (total) .....	89	90	93
1930	Total budgetary resources available .....	89	90	93
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	10	6	6
3010	Obligations incurred, unexpired accounts .....	89	90	93
3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-92	-90	-93
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	6	6	6
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	10	6	6
3200	Obligated balance, end of year .....	6	6	6
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	89	90	93
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	83	82	85
4011	Outlays from discretionary balances .....	9	8	8
4020	Outlays, gross (total) .....	92	90	93
4180	Budget authority, net (total) .....	89	90	93
4190	Outlays, net (total) .....	92	90	93

This Office assists the President in the discharge of budgetary, management, and other executive responsibilities.

*National Security Programs; General Government Programs; Natural Resource Programs; Health Programs; and Education, Income Maintenance, and Labor Programs.*—These offices examine Federal agency programs, budget requests, and management activities, analyze legislation, apportion appropriations, study proposed changes in agency functions, and conduct special studies aimed at establishing goals and objectives that would result in long- and short-range improvements in the agencies' financial, administrative, and operational management.

*Financial Management.*—The OMB Office of Federal Financial Management prepares the Government-wide financial management status report and 5-year plan, monitors execution of the plan; provides policy guidance on preparation and audit of financial statements, financial systems requirements, management controls, and cost accounting and audit requirements for the non-Federal grantee community. This office also provides policy guidance on Federal grants management. To improve financial performance, this office leverages its resources by working closely with the Chief Financial Officers Council and the Department and Agency Inspectors General community.

**Information and Regulatory Affairs.**—The OMB Office of Information and Regulatory Affairs reviews and coordinates agency proposals to implement or revise Federal regulations and information collection requirements. In addition, it analyses, develops, coordinates, and maintains information resources management and statistical policies and practices.

**Procurement Policy.**—The OMB Office of Federal Procurement Policy provides overall direction of Government-wide procurement policies, regulations, and procedures for executive agencies.

**OMB-wide Offices.**—These offices provide executive direction and coordination for all Office of Management and Budget activities. This includes the Director's Office; the Deputy Director, the Deputy Director for Management, the Executive Associate Director, and the Intellectual Property Enforcement Coordinator; Communications; General Counsel; Legislative Affairs; Economic Policy; Management and Operations Division; the Legislative Reference Division; the Budget Review Division; the Performance and Personnel Management Division; and the Office of E-Government and Information Technology. In addition, these offices provide overall leadership for OMB's activities; develop instructions and procedures on a wide range of management, legislative, legal, economic, budgetary, administrative, and IT-related issues; coordinate OMB review of agency activities; and prepare the budget document.

**Object Classification** (in millions of dollars)

Identification code 11-0300-0-1-802	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	59	59	61
12.1 Civilian personnel benefits .....	15	16	17
23.1 Rental payments to GSA .....	7	7	7
23.2 Rental payments to others .....	1	1	1
25.2 Other services from non-Federal sources .....	6	6	6
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	89	90	93

**Employment Summary**

Identification code 11-0300-0-1-802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	511	494	506
3001 Allocation account civilian full-time equivalent employment .....	1	1	

**OFFICE OF NATIONAL DRUG CONTROL POLICY**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Office of National Drug Control Policy; for research activities pursuant to the Office of National Drug Control Policy Reauthorization Act of 2006 (Public Law 109-469); not to exceed \$10,000 for official reception and representation expenses; and for participation in joint projects or in the provision of services on matters of mutual interest with nonprofit, research, or public organizations or agencies, with or without reimbursement, \$22,647,000: Provided, That the Office is authorized to accept, hold, administer, and utilize gifts, both real and personal, public and private, without fiscal year limitation, for the purpose of aiding or facilitating the work of the Office.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-1457-0-1-802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	24	25	23
0002 Policy Research .....	2		
0900 Total new obligations .....	26	25	23
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	25	25	23
1160 Appropriation, discretionary (total) .....	25	25	23
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1	1	
1900 Budget authority (total) .....	26	26	23
1930 Total budgetary resources available .....	28	28	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	6	8
3010 Obligations incurred, unexpired accounts .....	26	25	23
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-27	-23	-26
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	6	8	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	4	6
3200 Obligated balance, end of year .....	4	6	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	26	26	23
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	21	18
4011 Outlays from discretionary balances .....	6	2	8
4020 Outlays, gross (total) .....	27	23	26
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070 Budget authority, net (discretionary) .....	25	25	23
4080 Outlays, net (discretionary) .....	27	22	26
4180 Budget authority, net (total) .....	25	25	23
4190 Outlays, net (total) .....	27	22	26

The Office of National Drug Control Policy (ONDCP), established by the Anti-Drug Abuse Act of 1988, and reauthorized by the Office of National Drug Control Policy Reauthorization Act of 2006, is charged with developing policies, objectives and priorities for the National Drug Control Program. In addition, ONDCP administers the High Intensity Drug Trafficking Areas Program and the Drug Free Communities Support Program. (Descriptions of these programs are found in the Federal Drug Control Programs section of this Appendix.)

For 2014, the account provides funding for personnel compensation, travel, rent, and other basic operations of the Office.

**Object Classification** (in millions of dollars)

Identification code 11-1457-0-1-802	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	12	13	13
12.1 Civilian personnel benefits .....	3	3	3

**SALARIES AND EXPENSES—Continued**  
**Object Classification—Continued**

Identification code 11-1457-0-1-802	2012 actual	2013 CR	2014 est.
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	5	5	3
26.0 Supplies and materials .....	1		
99.0 Direct obligations .....	25	25	23
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations .....	26	25	23

**Employment Summary**

Identification code 11-1457-0-1-802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	94	98	96

**OFFICE OF SCIENCE AND TECHNOLOGY POLICY**

**Federal Funds**

**OFFICE OF SCIENCE AND TECHNOLOGY POLICY**

*For necessary expenses of the Office of Science and Technology Policy, in carrying out the purposes of the National Science and Technology Policy, Organization, and Priorities Act of 1976 (42 U.S.C. 6601-6671), hire of passenger motor vehicles, and services as authorized by 5 U.S.C. 3109, not to exceed \$2,250 for official reception and representation expenses, and rental of conference rooms in the District of Columbia, \$5,658,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 11-2600-0-1-802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of Science and Technology Policy .....	4	5	6
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	6
1160 Appropriation, discretionary (total) .....	5	5	6
1930 Total budgetary resources available .....	5	6	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2		
3010 Obligations incurred, unexpired accounts .....	4	5	6
3020 Outlays (gross) .....	-6	-5	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	4	5
4011 Outlays from discretionary balances .....	2	1	1
4020 Outlays, gross (total) .....	6	5	6
4180 Budget authority, net (total) .....	5	5	6
4190 Outlays, net (total) .....	6	5	6

The Office of Science and Technology Policy (OSTP) provides advice to the President concerning policies in science and technology and on the use of science and technology in addressing im-

portant national problems. The OSTP operations include support to other Executive Office of the President organizations on issues with science and technology considerations; with the Office of Management and Budget, review and analysis of and recommendations on research and development budgets for all Federal agencies; coordination of research and development programs of the Federal Government; coordination of the implementation of a number of important international science and technology agreements; and other activities necessary to carry out the duties, functions, and activities described in Public Law 94-282, the National Science and Technology Policy, Organization, and Priorities Act of 1976. OSTP also provides support for the National Science and Technology Council.

**Object Classification (in millions of dollars)**

Identification code 11-2600-0-1-802	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	4
12.1 Civilian personnel benefits .....	1	1	1
99.0 Direct obligations .....	4	4	5
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	4	5	6

**Employment Summary**

Identification code 11-2600-0-1-802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	27	32	32

**OFFICE OF THE UNITED STATES TRADE REPRESENTATIVE**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Office of the United States Trade Representative, including the hire of passenger motor vehicles and the employment of experts and consultants as authorized by 5 U.S.C. 3109, \$56,170,000, of which \$1,000,000 shall remain available until expended: Provided, That not to exceed \$124,000 shall be available for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 11-0400-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of the United States Trade Representative .....	52	52	56
0801 Reimbursable program activity .....	1	1	1
0900 Total new obligations .....	53	53	57
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	51	52	56
1160 Appropriation, discretionary (total) .....	51	52	56
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	52	53	57
1930 Total budgetary resources available .....	54	54	58

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4	4	4
3010	Obligations incurred, unexpired accounts .....	53	53	57
3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-53	-53	-57
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	4	4	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4	4	4
3200	Obligated balance, end of year .....	4	4	4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	52	53	57
Outlays, gross:				
4010	Outlays from new discretionary authority .....	48	48	52
4011	Outlays from discretionary balances .....	5	5	5
4020	Outlays, gross (total) .....	53	53	57
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	-1
4180	Budget authority, net (total) .....	51	52	56
4190	Outlays, net (total) .....	52	52	56

The United States Trade Representative is responsible for developing, coordinating, and advising the President on U.S. international trade policy. The Trade Representative is responsible for the conduct of international trade negotiations, including commodity and direct investment negotiations. The Trade Representative also conducts U.S. affairs relating to the World Trade Organization, in which the United States participates.

**Object Classification** (in millions of dollars)

Identification code 11-0400-0-1-999	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	30	31	32
12.1	Civilian personnel benefits .....	9	10	11
21.0	Travel and transportation of persons .....	5	5	6
23.1	Rental payments to GSA .....		1	1
23.3	Communications, utilities, and miscellaneous charges .....	2	1	1
25.2	Other services from non-Federal sources .....	5	4	5
99.0	Direct obligations .....	51	52	56
99.0	Reimbursable obligations .....	1	1	1
99.5	Below reporting threshold .....	1		
99.9	Total new obligations .....	53	53	57

**Employment Summary**

Identification code 11-0400-0-1-999	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	232	245	254

**UNANTICIPATED NEEDS**

**Federal Funds**

UNANTICIPATED NEEDS

For expenses necessary to enable the President to meet unanticipated needs, in furtherance of the national interest, security, or defense which may arise at home or abroad during the current fiscal year, as authorized by 3 U.S.C. 108, \$1,000,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-0037-0-1-802	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1	1	1
1160	Appropriation, discretionary (total) .....	1	1	1
1930	Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	-1	-1
1941	Unexpired unobligated balance, end of year .....	1	1	1

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1	1	1
4180	Budget authority, net (total) .....	1	1	1

This account represents amounts appropriated to the President to meet unanticipated needs in furtherance of national interest, security, or defense.

**DATA-DRIVEN INNOVATION**

For necessary expenses to improve the use of data and evidence to improve government effectiveness and efficiency, \$14,000,000, to remain available until expended: Provided, That, of the amount provided under this heading, not less than \$8,000,000 shall be available for projects that advance the use of integrated, efficient, secure, and effective uses of information technology in the Federal Government, and up to \$6,000,000 shall be available for projects that enable Federal agencies to increase the use of evidence and innovation in order to improve program results and cost-effectiveness: Provided further, That the Director of the Office of Management and Budget may transfer these funds to one or more other agencies to carry out projects to meet these purposes.

**Program and Financing** (in millions of dollars)

Identification code 11-0030-0-1-802	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	IEEUIT IT management oversight .....	2	4	4
0002	IEEUIT Cybersecurity .....			2
0003	Evidence-Based Innovation .....			6
0004	Partnership Fund for Program Integrity Innovation .....	21	12	7
0900	Total new obligations .....	23	16	19

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	30	12	11
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	15	15	14
1131	Unobligated balance of appropriations permanently reduced .....	-10		
1160	Appropriation, discretionary (total) .....	5	15	14
1930	Total budgetary resources available .....	35	27	25
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	12	11	6

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	21	22
3010	Obligations incurred, unexpired accounts .....	23	16	19
3020	Outlays (gross) .....	-3	-15	-22
3050	Unpaid obligations, end of year .....	21	22	19
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	21	22
3200	Obligated balance, end of year .....	21	22	19

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5	15	14

DATA-DRIVEN INNOVATION—Continued  
Program and Financing—Continued

Identification code 11–0030–0–1–802	2012 actual	2013 CR	2014 est.
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	6	6
4011 Outlays from discretionary balances .....	2	9	16
4020 Outlays, gross (total) .....	3	15	22
4180 Budget authority, net (total) .....	5	15	14
4190 Outlays, net (total) .....	3	15	22

This funding will provide the Government with a strategic resource base controlled by the Director of the Office of Management and Budget (OMB) to harness data and evidence to improve results and efficiency of Government operations and programs. The funds will support strategic investments in cross-agency projects that: (1) reduce waste, duplication and mitigate cybersecurity threats in the management of Federal information technology (IT), and (2) get better results at lower cost across high-priority Government activities over the longer term by creating and institutionalizing new program models and evidence-building tools.

*Integrated, Efficient and Effective Uses of Information Technology (IEEUIT).*—Under the direction of the Federal Chief Information Officer (CIO), staff select and oversee projects that improve the results of Federal IT investments. The funding is used to support enhanced transparency, analytics, oversight and technical assistance aimed at achieving improved insights and oversight of information technology in the Federal Government. The funds will support continued operations of and enhancements to the Federal IT Dashboard, yielding better data analytics and oversight of the Government's \$80 billion investment in IT. Building on data and insights from the IT Dashboard, the Federal CIO would engage directly with agencies through the use of TechStat and PortfolioStat reviews, identifying underperforming and duplicative investments, and taking corrective actions. The funds will also continue to support projects to enhance the productivity of IT operations, such as the data center consolidation initiative.

*IEEUIT Cybersecurity.*—Additional IT oversight resources for cybersecurity would be used by OMB to: (1) drive efficiencies for Federal cybersecurity activities through expanded oversight of agencies' use of cybersecurity funding, providing leadership with data to inform cybersecurity strategy; (2) improve oversight of specific cybersecurity activities such as continuous monitoring, perimeter defense, and all email, Domain Name Service, and identity management initiatives; and (3) work to establish a unified information security framework across the Federal Government, spanning the civil, defense, and intelligence communities, building on inter-agency work initiated in 2009.

*Evidence-Based Innovation.*—This funding will increase cost-effectiveness and return on investment across Government programs by dedicating project funding and expert staff resources to three strategic objectives: (1) driving existing resources to evidence-based practices to achieve better results per dollar and generate long-term savings, including by redesigning grant programs to adopt data-driven, outcome-focused approaches and by spreading the adoption of rigorous evaluation and other evidence-building tools across the Federal Government; (2) catalyzing measurable agency performance improvements, including through rapid experimentation and testing and by improving access to and utilization of high quality data; and (3) accelerating learning and creating conditions for cost-effective change at all levels of Government, including by partnering with innovative leaders in States, localities, foundations, research organizations, and other private sector experts. This work leverages lessons learned from

the Partnership Fund for Program Integrity Innovation as well as evidence-based policy and initiatives across agencies.

Object Classification (in millions of dollars)

Identification code 11–0030–0–1–802	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent .....	1	2	2
25.3 Other goods and services from Federal sources .....	2	3	5
41.0 Grants, subsidies, and contributions .....	20	11	12
99.9 Total new obligations .....	23	16	19

Employment Summary

Identification code 11–0030–0–1–802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3	4	5
1001 Direct civilian full-time equivalent employment .....	1	3	5

UNANTICIPATED NEEDS FOR NATURAL DISASTERS

Program and Financing (in millions of dollars)

Identification code 11–0033–0–1–453	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12		
1029 Other balances withdrawn .....	–12		

This schedule includes funding provided in Public Laws 101–130 and 103–211 to respond to various natural disasters. All available funds from this account were allocated to various agencies. However, certain agencies subsequently returned excess funds to this account. These balances are only available for specific natural disasters that occurred before 1995. During 2012, all available funds in this account were administratively withdrawn and returned to the General Fund of the Treasury in accordance with Section 1555 of Title 31 of the United States Code.

SPECTRUM RELOCATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 11–5512–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	5,473	5,299	5,299
Appropriations:			
0500 Spectrum Relocation Fund .....	–174		
0799 Balance, end of year .....	5,299	5,299	5,299

Program and Financing (in millions of dollars)

Identification code 11–5512–0–2–376	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	51	69	69
1011 Unobligated balance transfer from other accts [17–1319] .....	15		
1011 Unobligated balance transfer from other accts [14–0680] .....	3		
1050 Unobligated balance (total) .....	69	69	69
Budget authority:			
Appropriations, mandatory:			
1203 Appropriation (previously unavailable) .....	174		
1220 Appropriations transferred to other accts [70–0540] .....	–121		
1220 Appropriations transferred to other accts [70–0400] .....	–15		
1220 Appropriations transferred to other accts [70–0102] .....	–7		
1220 Appropriations transferred to other accts [70–0530] .....	–14		
1220 Appropriations transferred to other accts [89–0303] .....	–17		
1930 Total budgetary resources available .....	69	69	69
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	69	69	69

The Spectrum Relocation Fund, created by the Commercial Spectrum Enhancement Act of 2004, streamlines the process for reimbursing Federal agencies that must relocate wireless communications systems from Federal spectrum that has been reallocated to commercial use. Auction receipts associated with the reallocated spectrum from the Advanced Wireless Services spectrum license auction were deposited into the Fund in December 2006. To expedite clearing of the auctioned spectrum, the statute provides mandatory spending authority for approved relocation payments. The Office of Management and Budget (OMB) administers the Fund in consultation with the National Telecommunications and Information Administration (NTIA) of the Department of Commerce.

**Transfers to Agencies for Spectrum Relocation Activities**

(estimated budget authority in thousands of dollars)

Account	Account Number	2007-12 est.
Capital Improvement and Maintenance, Forest Service, USDA	12-1103	21,578
RDT&E, Defense-wide, DOD	97-0400	76,500
O&M, Defense-wide, DOD	97-0100	21,700
Other Procurement, Army, DOD	21-2035	15,303
O&M, Army, DOD	21-2020	630
Aircraft Procurement, Air Force, DOD	57-3010	40,000
Missile Procurement, Air Force, DOD	57-3020	60,000
Other Procurement, Air Force, DOD	57-3080	6,596
O&M, Air Force, DOD	57-3400	157
RDT&E, Navy, DOD	17-1319	72,873
Weapons Procurement, Navy, DOD	17-1507	10,000
Other Procurement, Navy, DOD	17-1810	900
Bonneville Power Administration Fund, DOE	89-4045	48,627
O&M, Southwestern Power Administration, DOE	89-0303	42,821
O&M, Western Area Power Administration, DOE	89-5068	108,202
O&M, National Nuclear Security Administration, DOE	89-0313	10,900
Office of the CIO, DOE	89-0228	1,650
S&E, Customs and Border Protection, DHS	70-0530	87,858
S&E, Immigration and Customs Enforcement, DHS	70-0540	160,116
S&E, US Secret Service, DHS	70-0400	15,179
Office of the CIO, DHS	70-0102	19,087
Office of the Inspector General, HUD	86-0189	21
S&E, BATF&E, DOJ	15-0700	86,174
S&E, Drug Enforcement Administration, DOJ	15-1100	185,510
S&E, Federal Bureau of Investigation, DOJ	15-0200	283,940
Law Enforcement Wireless Communications, DOJ	15-0132	800
Water and related resources, Bu Rec, DOI	14-0680	5,495
Construction and major maintenance, National Park Service, DOI	14-0139	20,282
Surveys, investigations, and research, US Geological Survey, DOI	14-0804	6,159
Processing, assistance, and management, IRS	20-0913	4,409
Treasury Inspector General for Tax Administration, Treasury	20-0119	892
Facilities and equipment, Federal Aviation Administration, DOT	69-8107	58,062
Exploration capabilities, NASA	80-0115	740
Tennessee Valley Authority Fund	64-4110	15,751
Payment to Postal Service Fund	18-1001	8,334
<b>Total</b>		<b>1,497,246</b>

Reflects transfers and notifications through December 31, 2012

**Iraq Relief and Reconstruction Fund**

(in millions of dollars)

Identification code 11-1096-0-1-151	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	1	
3011 Obligations incurred, expired accounts	11		
3020 Outlays (gross)	-13	-1	
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	1	

3200 Obligated balance, end of year	1	
<b>Budget authority and outlays, net:</b>		
Discretionary:		
Outlays, gross:		
4011 Outlays from discretionary balances	13	1
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4190 Outlays, net (total)	13	1

The Iraq Relief and Reconstruction Fund (IRRF) consists of \$2.475 billion appropriated in the 2003 Emergency Wartime Supplemental Appropriations Act and \$18.649 billion appropriated in the 2004 Emergency Supplemental Appropriations Act for Defense and the Reconstruction of Iraq and Afghanistan. It funds the security, rehabilitation, and reconstruction efforts in Iraq.

**PRESIDENTIAL TRANSITION**

*Federal Funds*

ADMINISTRATIVE SUPPORT

Program and Financing (in millions of dollars)

Identification code 11-0108-0-1-802	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1029 Other balances withdrawn		-8	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		8	
1160 Appropriation, discretionary (total)		8	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross		8	
4180 Budget authority, net (total)		8	

Public Law 112-175, Continuing Appropriations Resolution of 2013, provides \$8,000,000 to the Office of Administration to carry out activities associated with the Presidential Act of 1963 (3. U.S.C. 102 note). Consistent with the requirement of the Presidential Act of 1963, these funds were returned to the General Funds of the Treasury.

ADMINISTRATIVE PROVISIONS—EXECUTIVE OFFICE OF THE PRESIDENT AND FUNDS APPROPRIATED TO THE PRESIDENT

(INCLUDING TRANSFERS OF FUNDS AND RESCISSIONS)

SEC. 201. From funds made available in this Act under the headings "The White House", "Executive Residence at the White House", "White House Repair and Restoration", "Council of Economic Advisers", "National Security Council and Homeland Security Council", "Office of Administration", "Special Assistance to the President", and "Official Residence of the Vice President", the Director of the Office of Management and Budget (or such other officer as the President may designate in writing), may, 15 days after giving notice to the Committees on Appropriations of the House of Representatives and the Senate, transfer not to exceed 10 percent of any such appropriation to any other such appropriation, to be merged with and available for the same time and for the same purposes as the appropriation to which transferred: Provided, That the amount of an appropriation shall not be increased by more than 50 percent by such transfers: Provided further, That no amount shall be transferred from "Special Assistance to the President" or "Official Residence of the Vice President" without the approval of the Vice President.



# GENERAL SERVICES ADMINISTRATION

## REAL PROPERTY ACTIVITIES

### Federal Funds

#### REAL PROPERTY ACTIVITIES

#### FEDERAL BUILDINGS FUND

#### LIMITATIONS ON AVAILABILITY OF REVENUE

Amounts in the Fund, including revenues and collections deposited into the Fund shall be available for necessary expenses of real property management and related activities not otherwise provided for, including operation, maintenance, and protection of federally owned and leased buildings; rental of buildings in the District of Columbia; restoration of leased premises; moving governmental agencies (including space adjustments and telecommunications relocation expenses) in connection with the assignment, allocation and transfer of space; contractual services incident to cleaning or servicing buildings, and moving; repair and alteration of federally owned buildings including grounds, approaches and appurtenances; care and safeguarding of sites; maintenance, preservation, demolition, and equipment; acquisition of buildings and sites by purchase, condemnation, or as otherwise authorized by law; acquisition of options to purchase buildings and sites; conversion and extension of federally owned buildings; preliminary planning and design of projects by contract or otherwise; construction of new buildings (including equipment for such buildings); and payment of principal, interest, and any other obligations for public buildings acquired by installment purchase and purchase contract; in the aggregate amount of \$9,950,560,000, of which: (1) \$816,167,000 shall remain available until expended for construction and acquisition (including funds for sites and expenses, associated design and construction services, and purchase of currently leased facilities) of additional projects at the following locations:

#### New Construction:

##### California:

San Ysidro, United States Land Port of Entry, \$226,000,000.

##### Colorado:

Lakewood, Denver Federal Center, \$13,938,000.

##### District of Columbia:

Washington, DHS Consolidation at St. Elizabeths, \$261,531,000.

##### Michigan:

Detroit, FBI Tactical Operations Support Facility, \$18,507,000.

##### New Jersey:

Newark, Frank R. Lautenberg United States Post Office and Courthouse, \$31,000,000.

##### Puerto Rico:

San Juan, Federal Bureau of Investigation, \$94,779,000.

##### Texas:

Laredo, United States Land Port of Entry, \$61,686,000.

##### Virginia:

Winchester, FBI Central Records Complex, \$108,726,000:

Provided, That each of the foregoing limits of costs on new construction and acquisition projects may be exceeded to the extent that savings are effected in other such projects, but not to exceed 10 percent of the amounts included in a transmitted prospectus, if required, unless advance notice is transmitted to the Committees on Appropriations of a greater amount: Provided further, That all funds for direct construction projects shall expire on September 30, 2015, and remain in the Federal Buildings Fund, except for funds for projects as to which funds for design or other funds have been obligated in whole or in part prior to such date; (2) \$1,302,382,000 shall remain available until expended for repairs and alterations, which includes associated design and construction services:

#### Repairs and Alterations:

##### Alabama:

Mobile, John A. Campbell United States Courthouse, \$41,000,000.

##### Alaska:

Fairbanks, Fairbanks Federal Building and United States Courthouse, \$12,357,000.

##### Arkansas:

Little Rock, Federal Building, \$9,249,000.

##### California:

Los Angeles, Edward R. Roybal Federal Building and United States Courthouse, \$19,383,000.

San Diego, Edward J. Schwartz Federal Building and United States Courthouse, \$61,136,000.

San Francisco, Phillip Burton Federal Building and United States Courthouse, \$32,125,000.

##### Colorado:

Denver, Byron White United States Courthouse, \$15,000,000.

##### Connecticut:

New Haven, Richard C. Lee United States Courthouse, \$4,799,000.

##### District of Columbia:

Washington, Harry S. Truman Building, \$58,908,000.

Washington, Herbert C. Hoover Building, \$77,356,000.

Washington, Lafayette Building, \$54,330,000.

Washington, Stuart Lee Udall Department of the Interior Building, \$60,110,000.

##### Illinois:

Chicago, Chicago Federal Center, \$15,000,000.

##### Indiana:

Indianapolis, Major General Emmett J. Bean Federal Center, \$19,074,000.

##### Maryland:

Baltimore, Edward A. Garmatz United States Courthouse, \$7,921,000.

Baltimore, George H. Fallon Federal Building, \$5,381,000.

##### Michigan:

Detroit, Theodore Levin United States Courthouse, \$31,000,000.

##### Missouri:

Overland, Charles F. Prevedel Federal Building, \$27,161,000.

St. Louis, Robert A. Young Federal Building, \$70,272,000.

##### New York:

New York, James L. Watson Court of International Trade, \$25,611,000.

New York, Jacob K. Javits Federal Office Building, \$6,520,000.

##### Pennsylvania:

Philadelphia, Robert N.C. Nix Federal Building and United States Courthouse, \$3,416,000.

Philadelphia, William J. Green Jr. Federal Building, \$6,500,000.

##### Texas:

Austin, J.J. Pickle Federal Building, \$40,261,000.

##### Utah:

Salt Lake City, Frank E. Moss United States Courthouse, \$15,000,000.

##### Virginia:

Richmond, Lewis F. Powell Jr. United States Courthouse and Annex, \$3,907,000.

##### Washington:

Auburn, Building 7 Auburn Federal Complex, \$17,000,000.

Richland, Federal Building and United States Post Office and Courthouse \$14,070,000.

#### Special Emphasis Programs:

Energy and Water Retrofit and Conservation Measures, \$35,000,000.

Fire and Life Safety Program, \$35,000,000.

Consolidation Activities, \$100,000,000.

Basic Repairs and Alterations, \$378,535,000:

Provided further, That funds made available in this or any previous Act in the Federal Buildings Fund for Repairs and Alterations shall, for prospectus projects, be limited to the amount identified for each project, except each project in this or any previous Act may be increased by an amount not to exceed 10 percent unless advance notice is transmitted to the Committees on Appropriations of a greater amount: Provided further, That additional projects may be funded under this category only if advance notice, including a prospectus, is transmitted to the Committees on Appropriations: Provided further, That the amounts provided in this or any prior Act for "Repairs and Alterations" may be used to fund costs associated with implementing security improvements to buildings necessary to meet the minimum standards for security in accordance with current law and in compliance with the reprogramming guidelines of the appropriate Committees of the House and Senate: Provided further, That the difference between the funds appropriated and expended on any projects in this or any prior Act, under the heading "Repairs and Alterations", may be transferred to Basic Repairs and Alterations or used to fund authorized increases in prospectus projects: Provided further, That all funds for repairs and alterations prospectus projects shall expire on September 30, 2015 and remain in the Federal Buildings Fund except funds for projects

REAL PROPERTY ACTIVITIES—Continued

as to which funds for design or other funds have been obligated in whole or in part prior to such date: Provided further, That the amount provided in this or any prior Act for Basic Repairs and Alterations may be used to pay claims against the Government arising from any projects under the heading "Repairs and Alterations" or used to fund authorized increases in prospectus projects; (3) \$113,470,000 for installment acquisition payments including payments on purchase contracts which shall remain available until expended; (4) \$5,387,109,000 for rental of space which shall remain available until expended; and (5) \$2,331,432,000 for building operations which shall remain available until expended: Provided further, That funds available to the General Services Administration shall not be available for expenses of any construction, repair, alteration and acquisition project for which a prospectus, if required by 40 U.S.C. 3307(a), has not been transmitted to Congress, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus: Provided further, That funds available in the Federal Buildings Fund may be expended for emergency repairs when advance notice is given to the Committees on Appropriations: Provided further, That amounts necessary to provide reimbursable special services to other agencies under 40 U.S.C. 592(b)(2) and amounts to provide such reimbursable fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control as may be appropriate to enable the United States Secret Service to perform its protective functions pursuant to 18 U.S.C. 3056, shall be available from such revenues and collections: Provided further, That revenues and collections and any other sums accruing to this Fund during fiscal year 2014, excluding reimbursements under 40 U.S.C. 592(b)(2) in excess of the aggregate new obligational authority authorized for Real Property Activities of the Federal Buildings Fund in this Act shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 47–4542–0–4–804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Construction and acquisition of facilities .....	303	707	495
0802 Repairs and alterations .....	397	499	749
0804 Installment acquisition payments .....	126	128	113
0805 Construction of lease purchase facilities .....	1		
0807 Pennsylvania Avenue activities .....	2		
0808 International Trade Center .....	28		
0809 Reimbursable program activities, subtotal .....	857	1,334	1,357
0810 Rental of space .....	5,598	5,353	5,568
0811 Building operations .....	2,304	2,365	2,331
0819 Reimbursable program activities, subtotal .....	7,902	7,718	7,899
0820 Special services and improvements .....	2,189	2,399	2,422
0900 Total new obligations .....	10,948	11,451	11,678
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5,242	4,680	3,704
1021 Recoveries of prior year unpaid obligations .....	237		
1022 Capital transfer of unobligated balances to general fund .....	-1		
1023 Unobligated balances (previously unavailable) applied to repay debt .....	-79	-1,826	
1050 Unobligated balance (total) .....	5,399	2,854	3,704
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		7	
1160 Appropriation, discretionary (total) .....		7	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11,536	12,177	12,373
1701 Change in uncollected payments, Federal sources .....	-266		
1702 Offsetting collections (previously unavailable) .....	2,239	3,280	3,163
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-3,280	-3,163	-3,163
1750 Spending auth from offsetting collections, disc (total) .....	10,229	12,294	12,373
1900 Budget authority (total) .....	10,229	12,301	12,373

1930 Total budgetary resources available .....	15,628	15,155	16,077
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4,680	3,704	4,399
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,309	3,435	2,799
3010 Obligations incurred, unexpired accounts .....	10,948	11,451	11,678
3020 Outlays (gross) .....	-11,585	-12,087	-12,175
3040 Recoveries of prior year unpaid obligations, unexpired .....	-237		
3050 Unpaid obligations, end of year .....	3,435	2,799	2,302
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5,073	-4,807	-4,807
3070 Change in uncollected pymts, Fed sources, unexpired .....	266		
3090 Uncollected pymts, Fed sources, end of year .....	-4,807	-4,807	-4,807
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-764	-1,372	-2,008
3200 Obligated balance, end of year .....	-1,372	-2,008	-2,505
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10,229	12,301	12,373
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8,584	7,641	8,417
4011 Outlays from discretionary balances .....	3,001	4,446	3,758
4020 Outlays, gross (total) .....	11,585	12,087	12,175
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11,460	-12,164	-12,351
4033 Non-Federal sources .....	-76	-13	-22
4040 Offsets against gross budget authority and outlays (total) .....	-11,536	-12,177	-12,373
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	266		
4070 Budget authority, net (discretionary) .....	-1,041	124	
4080 Outlays, net (discretionary) .....	49	-90	-198
4180 Budget authority, net (total) .....	-1,041	124	
4190 Outlays, net (total) .....	49	-90	-198
<b>Memorandum (non-add) entries:</b>			
5090 Unavailable balance, SOY: Offsetting collections .....	2,239	3,280	3,163
5091 Unavailable balance, EOY: Offsetting collections .....	3,280	3,163	3,163

This revolving fund provides for real property management and related activities, including operation, maintenance, repair of Federally owned buildings, and the construction of Federal buildings, courthouses and land ports of entry. Expenses of the Federal Buildings Fund (FBF) are financed from rental charges assessed to occupants of General Services Administration (GSA)-controlled space. Rent assessments, by law, approximate commercial rates for comparable space and services. Rental income is augmented by appropriations to the fund when new construction needs exceed the resources available for investment within the fund.

The Budget requests \$9,951 million in new obligational authority for the FBF, an increase of \$1,752 million over the level provided in 2012. The Administration's proposal ensures that GSA spends exactly the level of anticipated rent that it collects from Federal Departments and Agencies in order to provide services to those customers. Thus, the net spending requested for 2014 is zero.

Historically, the FBF has been permitted to spend at least what it collects from agencies to support leasing from the private sector, as well as maintenance, repairs, major renovations, and new construction to accommodate agency needs in buildings that GSA owns and operates. However, since 2011, the FBF has received appropriations significantly below the level of collections received from agencies, denying GSA the ability to pursue an appropriately-sized capital program relative to the size of its portfolio. By restoring the principle that the FBF should be allowed to spend what it collects and pursuing a capital program, the Administration hopes to accomplish a number of policy goals: avoiding larger, longer-term capital costs associated with deferring maintenance

of Federal facilities, improving energy efficiency at GSA-owned facilities, and realizing a smaller Federal footprint through improved building utilization.

The following table reports rent and other income to the fund.

[In millions of dollars]				
	2012 actual	2013 est.	2014 est.	
Rental charges .....	9,555	10,245	10,255	
Collections for:				
(a) Special services and improvements .....	1,956	2,399	2,422	
(b) Miscellaneous income .....	27	13	22	
Total receipts and reimbursements .....	11,538	12,657	12,699	

The following tables report the planned financing for the fund in 2013 and 2014.

[In millions of dollars]					
	Obligations	End-of-year unobligated balance	Obligational authority		
			Total	New	From prior year
2013 basic program:					
1. Construction and Acquisition of Facilities .....	707	259	966	50	916
2. Repairs and Alterations .....	498	208	706	289	417
3. Installment Acquisition Payments .....	128	4	132	128	4
4. Construction of Lease Purchase Facilities .....	0	20	20	0	20
5. Rental of Space .....	5,353	25	5,378	5,242	136
6. Building Operations .....	2,365	148	2,513	2,365	148
7. International Trade Center .....	0	36	36	0	36
8. Pennsylvania Avenue Activities .....	0	34	34	0	34
Total basic program .....	9,077	734	9,785	8,074	1,711
Other programs:					
Special services and improvements .....	2,399	3,444	5,843	2,399	3,444
Total Federal Buildings Fund .....	11,450	4,178	15,628	10,473	5,155

[In millions of dollars]					
	Obligations	End-of-year unobligated balance	Obligational authority		
			Total	New	From prior year
2014 basic program:					
1. Construction and Acquisition of Facilities .....	495	580	1,075	816	259
2. Repairs and Alterations .....	749	761	1,510	1,302	208
3. Installment Acquisition Payments .....	113	4	117	113	4
4. Construction of Lease Purchase Facilities .....	0	20	20	0	20
5. Rental of Space .....	5,568	-156	5,412	5,387	25
6. Building Operations .....	2,331	148	2,479	2,331	148
7. International Trade Center .....	0	36	36	0	36
8. Pennsylvania Avenue Activities .....	0	34	34	0	34
Total basic program .....	9,256	1,427	10,683	9,949	734
Other programs:					
Special services and improvements .....	2,422	3,444	5,866	2,422	3,444
Total Federal Buildings Fund .....	11,678	4,871	16,549	12,371	4,178

The FBF consists of the following activities:

**Redemption of Debt.**—Redemption of Debt is the repayment of principal balances to the Federal Financing Bank (FFB). Various Public Laws in 1987, 1988, and 1990 authorized the acquisition of twelve buildings by installment payment. The payments are made by non-expenditure transfer from the otherwise unavailable balances of the fund to the FFB. By agreement, the non-expenditure transfers to the FFB score as budget authority but not outlays. GSA will repay all outstanding balances in 2013 from the balances in the Federal Buildings Fund as authorized by Public Law 101-136.

**Construction and acquisition of facilities.**—This activity provides for the construction or purchase of facilities and prospectus-level extensions to existing buildings. All costs directly attributable to site acquisition, construction, and the full range of design and

construction services, and management and inspection of construction projects are funded under this activity.

**Repairs and alterations.**—This activity provides for repairs and alterations of existing buildings as well as associated design and construction services. Protection of the Government's investment, health and safety of building occupants, transfer of agencies from leased space, and cost effectiveness are the principal criteria used in establishing priorities. Repairs to prevent deterioration and damage to buildings, their support systems, and operating equipment are given a priority.

**Installment Acquisition Payments.**—This activity provides for payments for liabilities incurred under purchase contract authority and lease purchase agreements. GSA makes periodic payments to cover interest and other requirements on the debt incurred for construction of Federal buildings.

**Rental of space.**—This activity provides for the leasing of privately-owned buildings. Including space occupied by Federal agencies in U.S. Postal Service facilities, the GSA provided 193 million square feet of rental space in 2012. GSA expects to provide 197 million square feet of rental space in 2013 and 194 million in 2014.

**Building operations.—Building Services:** This activity provides services for Government-owned and leased facilities, including cleaning, utilities and fuel, maintenance, and miscellaneous services (such as moving, evaluation of new materials and equipment, and field supervision).

**Salaries and Expenses.**—This activity provides general management and administration of all real property related programs including salaries and benefits paid from the FBF, administrative costs funded directly by the FBF, and contributions to the GSA Working Capital Fund. The following tables provide additional detail regarding the 2013 and 2014 building operations program (estimated obligations in millions). The decreases in projected obligations for personnel compensation and benefits is primarily due to the consolidation of administrative functions from the FBF to the GSA Working Capital Fund:

	2013 Obligations	2014 Obligations
Cleaning .....	292	319
Utilities .....	343	353
Maintenance .....	319	303
Security .....	77	80
Other Building Services .....	108	105
IT .....	194	169
Salaries and Benefits .....	732	646
GSA Working Capital Fund Payments .....	151	238
Management Support .....	108	81
Travel .....	14	14
Other Administrative Costs .....	27	24
Total .....	2,365	2,331

**Other Programs.**—When requested by other Federal agencies, the Public Buildings Service provides building services, such as tenant alterations, cleaning and other operations, and protection services which are in excess of those services provided under the commercial rental charges.

**Agency debt.**—The following table reports agency debt outstanding for the construction of Federal buildings under authorities previously provided:

[In millions of dollars]			
	2012 actual	2013 est.	2014 est.
FFB held debt:			
Outstanding agency debt, SOY .....	1,896	1,819	0
New agency borrowings .....	2	7	0
Repayments and prepayments .....	-79	-1,826	0
Outstanding agency debt, EOY .....	1,819	0	0

REAL PROPERTY ACTIVITIES—Continued  
Object Classification (in millions of dollars)

Identification code 47-4542-0-4-804	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	582	579	514
11.5 Other personnel compensation .....	33	23	20
11.9 Total personnel compensation .....	615	602	534
12.1 Civilian personnel benefits .....	177	172	154
21.0 Travel and transportation of persons .....	15	14	14
22.0 Transportation of things .....	1		
23.2 Rental payments to others .....	5,584	5,354	5,570
23.3 Communications, utilities, and miscellaneous charges .....	432	467	477
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1,461	1,484	1,419
25.2 Other services from non-Federal sources .....	39	30	30
25.3 Other goods and services from Federal sources .....	314	322	440
25.4 Operation and maintenance of facilities .....	1,340	1,468	1,497
25.7 Operation and maintenance of equipment .....	43	43	44
26.0 Supplies and materials .....	11	9	8
31.0 Equipment .....	133	128	128
32.0 Land and structures .....	625	1,196	1,235
43.0 Interest and dividends .....	157	161	127
99.9 Total new obligations .....	10,948	11,451	11,678

Employment Summary

Identification code 47-4542-0-4-804	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	6,543	6,600	5,809

FEDERAL BUILDINGS FUND, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 47-4543-0-4-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Federal Buildings and Courthouses .....	14	5	
0802 Land Ports of Entry .....		1	
0803 High-Performance Green Buildings - Major R&A .....	4	27	
0804 High-Performance Green Buildings - Minor R&A .....	1		
0805 Building Operations .....	1	1	
0900 Total new obligations .....	20	34	
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1012 Unobligated balance transfers between expired and unexpired accounts .....	22	34	
1050 Unobligated balance (total) .....	22	34	
1930 Total budgetary resources available .....	22	34	
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,759	1,217	491
3010 Obligations incurred, unexpired accounts .....	20	34	
3011 Obligations incurred, expired accounts .....	18		
3020 Outlays (gross) .....	-1,511	-760	-353
3041 Recoveries of prior year unpaid obligations, expired .....	-69		
3050 Unpaid obligations, end of year .....	1,217	491	138
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,759	1,217	491
3200 Obligated balance, end of year .....	1,217	491	138
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1,511	760	353
4190 Outlays, net (total) .....	1,511	760	353

This appropriation provides funding for the construction and renovation of Federal buildings, courthouses, land ports of entry; the conversion of existing General Services Administration facil-

ities to High-Performance Green Buildings; and \$4,000,000 for transfer to the Office of Federal High-Performance Green Buildings. Of the available amounts, \$5,000,000,000 was available until September 30, 2010 and the remaining amounts were available until September 30, 2011.

Object Classification (in millions of dollars)

Identification code 47-4543-0-4-804	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
25.3 Other goods and services from Federal sources .....	1	1	
32.0 Land and structures .....	19	33	
99.9 Total new obligations .....	20	34	

REAL PROPERTY RELOCATION

Program and Financing (in millions of dollars)

Identification code 47-0535-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....		2	2
0900 Total new obligations (object class 25.1) .....		2	2
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	11	11	11
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		2	2
1750 Spending auth from offsetting collections, disc (total) .....		2	2
1930 Total budgetary resources available .....	11	13	13
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	11	11	11
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....		2	2
3020 Outlays (gross) .....		-2	-2
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....		2	2
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		2	2
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....		-2	-2

This appropriation covers relocation costs involved in moving agencies from valuable underutilized property, targeted for public sale, to facilities determined to be more economically suitable to their needs. Relocation and disposal is considered when the benefit/cost ratio is at least 2:1. The sale of these valuable underutilized properties would provide significant revenue to the Treasury and would far outweigh the relocation costs involved.

No appropriation is requested for this program in 2014. General Services Administration will solicit relocation proposals from agencies.

Object Classification (in millions of dollars)

Identification code 47-0535-0-1-804	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....		2	2

DISPOSAL OF SURPLUS REAL AND RELATED PERSONAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 47-5254-0-2-804	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	84	83	83
Receipts:			
0220 Receipts of Rent, Leases and Lease Payments for Government			
Owned Real Property .....		3	3
0221 Other Receipts, Surplus Real and Related Personal Property .....	2	12	12
0222 Transfers of Surplus Real and Related Personal Property			
Receipts .....	-2	-6	-6
0299 Total receipts and collections .....		9	9
0400 Total: Balances and collections .....	84	92	92
Appropriations:			
0500 Disposal of Surplus Real and Related Personal Property .....	-2	-9	-9
0610 Disposal of Surplus Real and Related Personal Property .....	1		
0799 Balance, end of year .....	83	83	83

Program and Financing (in millions of dollars)

Identification code 47-5254-0-2-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Appraisers' fees, auctioneers and broker fees and surveying .....	1	1	1
0002 Advertising .....	1	1	1
0003 Environmental services .....	1	1	1
0004 Historical preservation services .....		1	1
0005 Outleasing government-owned space: Auctioneers, brokers fees and advertising .....		1	1
0006 Highest and best use of property studies, utilization of property studies, deed compliance inspection .....		2	2
0007 Relocation .....		2	2
0900 Total new obligations (object class 25.1) .....	1	9	9

Budgetary Resources:

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	9	9
1260 Appropriations, mandatory (total) .....	2	9	9
1930 Total budgetary resources available .....	2	9	9
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	1		
1952 Expired unobligated balance, start of year .....	4	4	5
1953 Expired unobligated balance, end of year .....	3	5	5
1954 Unobligated balance canceling .....	1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	1	9	9
3020 Outlays (gross) .....	-1	-9	-9
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	2	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority .....		8	8
4101 Outlays from mandatory balances .....	1	1	1
4110 Outlays, gross (total) .....	1	9	9
4180 Budget authority, net (total) .....	2	9	9
4190 Outlays, net (total) .....	1	9	9

Expenses incurred in the course of disposing of Federal surplus real and related personal property are financed through receipts from disposals, in accordance with 40 U.S.C. 572. Fees of auctioneers, brokers, appraisers, and environmental consultants; surveying costs; costs of advertising; costs of environmental and historical preservation services; highest and best use of property studies; property utilization studies; and deed compliance inspec-

tions are paid out of receipts from disposals in each year. Auctioneers and brokers familiar with local markets may be used to accelerate the disposal of surplus real and related personal property, including the outleasing of Government-owned buildings and space.

SUPPLY AND TECHNOLOGY ACTIVITIES

Federal Funds

EXPENSES OF TRANSPORTATION AUDIT CONTRACTS AND CONTRACT ADMINISTRATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 47-5250-0-2-804	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	37	48	48
Receipts:			
0220 Recoveries of Transportation Charges .....	22	12	13
0400 Total: Balances and collections .....	59	60	61
Appropriations:			
0500 Expenses of Transportation Audit Contracts and Contract Administration .....	-13	-13	-12
0610 Expenses of Transportation Audit Contracts and Contract Administration .....	2	1	1
0799 Balance, end of year .....	48	48	50

Program and Financing (in millions of dollars)

Identification code 47-5250-0-2-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Audit contracts .....	8	9	8
0002 Contract administration .....	3	4	4
0900 Total new obligations .....	11	13	12

Budgetary Resources:

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	13	13	12
1260 Appropriations, mandatory (total) .....	13	13	12
1930 Total budgetary resources available .....	13	13	12
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	2		
1952 Expired unobligated balance, start of year .....	9	9	6
1953 Expired unobligated balance, end of year .....	7	6	6
1954 Unobligated balance canceling .....	2	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	8
3010 Obligations incurred, unexpired accounts .....	11	13	12
3020 Outlays (gross) .....	-10	-10	-9
3050 Unpaid obligations, end of year .....	5	8	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	8
3200 Obligated balance, end of year .....	5	8	11

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	13	13	12
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8	9	8
4101 Outlays from mandatory balances .....	2	1	1
4110 Outlays, gross (total) .....	10	10	9
4180 Budget authority, net (total) .....	13	13	12
4190 Outlays, net (total) .....	10	10	9

The expenses of Transportation Audit Contracts and Contract Administration activities are financed from overcharges collected from carriers on transportation bills paid by the Government as a result of post payment audits in accordance with 31 U.S.C. 3726(e).

EXPENSES OF TRANSPORTATION AUDIT CONTRACTS AND CONTRACT ADMINISTRATION—Continued

In 2012, this program returned \$0.5 million to the U.S. Treasury after covering current year operating expenses of \$11 million.

Object Classification (in millions of dollars)

Identification code 47–5250–0–2–804	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	4	5	5
25.3 Other goods and services from Federal sources .....	3	4	3
99.9 Total new obligations .....	11	13	12

Employment Summary

Identification code 47–5250–0–2–804	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	33	37	37

ACQUISITION SERVICES FUND

Program and Financing (in millions of dollars)

Identification code 47–4534–0–4–804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0850 Assisted Acquisition Services (AAS) .....	4,726	4,897	5,001
0851 Integrated Technology Services (ITS) .....	1,436	1,466	1,463
0852 General Supplies and Services (GSS) .....	1,339	1,450	1,431
0853 Travel, Motor Vehicles and Card Services (TMVCS) .....	2,033	2,149	2,198
0854 Acquisition Services Fund - Corporate .....	485	469	459
0855 Integrated Acquisition Environment .....	53	57	88
0859 Reimbursable program activities, subtotal .....	10,072	10,488	10,640
0862 TMVCS portfolio .....	798	821	861
0863 Acquisition Services Fund - Corporate .....	42	49	50
0869 Capital Investments, subtotal .....	840	870	911
0900 Total new obligations .....	10,912	11,358	11,551

Budgetary Resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,781	1,899	1,672
1021 Recoveries of prior year unpaid obligations .....	400	267	267
1050 Unobligated balance (total) .....	2,181	2,166	1,939
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	10,957	10,864	10,929
1801 Change in uncollected payments, Federal sources .....	-327		
1850 Spending auth from offsetting collections, mand (total) .....	10,630	10,864	10,929
1930 Total budgetary resources available .....	12,811	13,030	12,868
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,899	1,672	1,317

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,577	5,004	5,231
3010 Obligations incurred, unexpired accounts .....	10,912	11,358	11,551
3020 Outlays (gross) .....	-11,085	-10,864	-10,928
3040 Recoveries of prior year unpaid obligations, unexpired .....	-400	-267	-267
3050 Unpaid obligations, end of year .....	5,004	5,231	5,587
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6,134	-5,807	-5,807
3070 Change in uncollected pymts, Fed sources, unexpired .....	327		
3090 Uncollected pymts, Fed sources, end of year .....	-5,807	-5,807	-5,807
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-557	-803	-576
3200 Obligated balance, end of year .....	-803	-576	-220

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	10,630	10,864	10,929
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	6,791	5,903	6,695

4101 Outlays from mandatory balances .....	4,294	4,961	4,233
4110 Outlays, gross (total) .....	11,085	10,864	10,928
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-10,200	-10,864	-10,929
4123 Non-Federal sources .....	-757		
4130 Offsets against gross budget authority and outlays (total) .....	-10,957	-10,864	-10,929
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	327		
4170 Outlays, net (mandatory) .....	128		-1
4190 Outlays, net (total) .....	128		-1

This full cost recovery revolving fund provides for the acquisition of information technology solutions, telecommunications products and services, professional services, travel and transportation services, motor vehicles, and supplies for Federal agencies. Expenses of the Acquisition Services Fund (ASF) are financed through receipts from customer Federal agencies, pursuant to 40 U.S.C. 321. The ASF is organized around four major business portfolios that deliver total solutions to customer agencies. These portfolios are: Integrated Technology Services (ITS), Assisted Acquisition Services (AAS), General Supplies and Services (GSS), and Travel, Motor Vehicle and Card Services (TMVCS).

The ITS Portfolio provides customer agencies with information technology and telecommunications products and services. Operations within this portfolio include Multiple Award Schedules (MAS) and Government-wide Acquisition Contracts for information technology and telecommunication. ITS operations leverage the Federal Government's buying power to provide innovative and strategic solutions, enabling customers to obtain a wide range of information technology and telecommunications products and services at significant savings.

The AAS Portfolio focuses on service delivery and assisting customers in making informed procurement decisions and serving as a center of excellence for the Federal community. AAS complements the programs of the Integrated Technology Services portfolio by providing acquisition, technical, and project management services that assist agencies in acquiring and deploying information technology and professional services solutions at the best value for taxpayer dollars.

The GSS Portfolio provides customer agencies a wide range of general products such as furniture, office supplies, and hardware products. GSS centralizes acquisitions on behalf of the Federal Government in order to strategically procure requirements and reduce cost to the Government, while ensuring regulatory compliance for customers procurements. This portfolio also provides personal property disposal services to customer agencies.

The TMVCS Portfolio provides customer agencies with a broad scope of services that include travel and relocation services, freight management, motor vehicle acquisition, fleet management, and charge card services. TMVCS operations also aggregate and leverage the Federal Government's buying power to obtain a wide range of products and services at significant savings for customer agencies.

Object Classification (in millions of dollars)

Identification code 47–4534–0–4–804	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	344	355	316
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	11	10	9
11.9 Total personnel compensation .....	357	367	327
12.1 Civilian personnel benefits .....	99	98	87
21.0 Travel and transportation of persons .....	8	11	9
22.0 Transportation of things .....	57	26	20
23.1 Rental payments to GSA .....	44	46	40
23.3 Communications, utilities, and miscellaneous charges .....	1,099	1,200	1,220

24.0	Printing and reproduction .....	6	3	4
25.1	Advisory and assistance services .....	5,210	5,415	5,492
25.2	Other services from non-Federal sources .....	10	10	10
25.3	Other goods and services from Federal sources .....	222	172	270
25.7	Operation and maintenance of equipment .....	205	207	209
26.0	Supplies and materials .....	2,755	2,982	3,002
31.0	Equipment .....	840	821	861
99.9	Total new obligations .....	10,912	11,358	11,551

**Employment Summary**

Identification code 47-4534-0-4-804	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,772	3,835	3,417

**GENERAL ACTIVITIES**

*Federal Funds*

GENERAL ACTIVITIES

GOVERNMENT-WIDE POLICY

*For expenses authorized by law, not otherwise provided for, for Government-wide policy and evaluation activities associated with the management of real and personal property assets and certain administrative services; Government-wide policy support responsibilities relating to acquisition, telecommunications, information technology management, and related technology activities; the collection and evaluation of data from departments and agencies relating to activities described herein; and services as authorized by 5 U.S.C. 3109, \$62,548,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 47-0401-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Government-wide policy .....	61	61	63
0801 Reimbursable program .....	15	29	35
0900 Total new obligations .....	76	90	98
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	61	61	63
1160 Appropriation, discretionary (total) .....	61	61	63
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	18	29	35
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	19	29	35
1900 Budget authority (total) .....	80	90	98
1930 Total budgetary resources available .....	80	94	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	38	34	26
3010 Obligations incurred, unexpired accounts .....	76	90	98
3020 Outlays (gross) .....	-79	-98	-92
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	34	26	32
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37	33	25

3200 Obligated balance, end of year .....	33	25	31
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	80	90	98
Outlays, gross:			
4010 Outlays from new discretionary authority .....	47	67	75
4011 Outlays from discretionary balances .....	32	31	17
4020 Outlays, gross (total) .....	79	98	92
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-19	-29	-35
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	61	61	63
4080 Outlays, net (discretionary) .....	60	69	57
4180 Budget authority, net (total) .....	61	61	63
4190 Outlays, net (total) .....	60	69	57

This appropriation provides for the activities of the Office of Government-wide Policy (OGP). OGP works cooperatively with other agencies to provide the leadership needed to develop and evaluate policies associated with high-performing green buildings and real property, acquisition policy, personal property, travel and transportation management, vehicles and aircraft, committee and regulations management, and management of Federal spending data. OGP collaborates with partner agencies and other stakeholders to improve public access to policy information and support data, and improve transparency throughout the Government.

**Object Classification** (in millions of dollars)

Identification code 47-0401-0-1-804	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	21	21	21
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	22	22	22
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	2	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	21	20	23
25.3 Other goods and services from Federal sources .....	10	11	11
42.0 Insurance claims and indemnities .....		1	
99.0 Direct obligations .....	61	61	63
99.0 Reimbursable obligations .....	15	29	35
99.9 Total new obligations .....	76	90	98

**Employment Summary**

Identification code 47-0401-0-1-804	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	165	174	163
2001 Reimbursable civilian full-time equivalent employment .....	5	25	32

**OPERATING EXPENSES**

*For expenses authorized by law, not otherwise provided for, for Government-wide activities associated with utilization and donation of surplus personal property; disposal of real property; agency-wide policy direction, management, and communications; the Civilian Board of Contract Appeals; services as authorized by 5 U.S.C. 3109; and not to exceed \$7,500 for official reception and representation expenses; \$64,453,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**OPERATING EXPENSES—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 47-0110-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	63	70	64
0801 Reimbursable program .....	4	17	17
0900 Total new obligations .....	67	87	81
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	70	70	64
1131 Unobligated balance of appropriations permanently reduced .....	-5		
1160 Appropriation, discretionary (total) .....	65	70	64
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	17	17
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	4	17	17
1900 Budget authority (total) .....	69	87	81
1930 Total budgetary resources available .....	74	88	82
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-6		
1941 Unexpired unobligated balance, end of year .....	1	1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	7	12
3010 Obligations incurred, unexpired accounts .....	67	87	81
3020 Outlays (gross) .....	-66	-82	-81
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	7	12	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	11
3200 Obligated balance, end of year .....	6	11	11

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	69	87	81
Outlays, gross:			
4010 Outlays from new discretionary authority .....	61	75	70
4011 Outlays from discretionary balances .....	5	7	11
4020 Outlays, gross (total) .....	66	82	81
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-17	-17
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	65	70	64
4080 Outlays, net (discretionary) .....	62	65	64
4180 Budget authority, net (total) .....	65	70	64
4190 Outlays, net (total) .....	62	65	64

This appropriation supports a variety of operational activities which are not feasible or appropriate for a user fee arrangement. Major programs include the personal property utilization and donation activities of the Federal Acquisition Service; the real property utilization and disposal activities of the Public Buildings Service; the activities of the Civilian Board of Contract Appeals; and Management and Administration activities including support of government-wide emergency response and recovery activities and top-level agency-wide management, administration, and communications activities.

**Object Classification** (in millions of dollars)

Identification code 47-0110-0-1-804	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	29	32	35
11.3 Other than full-time permanent .....	3	2	
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	33	35	36
12.1 Civilian personnel benefits .....	8	8	9
21.0 Travel and transportation of persons .....	1	2	1
23.1 Rental payments to GSA .....	4	4	4
25.1 Advisory and assistance services .....	3	4	3
25.2 Other services from non-Federal sources .....		5	4
25.3 Other goods and services from Federal sources .....	13	11	7
31.0 Equipment .....	1	1	
99.0 Direct obligations .....	63	70	64
99.0 Reimbursable obligations .....	4	17	17
99.9 Total new obligations .....	67	87	81

**Employment Summary**

Identification code 47-0110-0-1-804	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	310	348	370
2001 Reimbursable civilian full-time equivalent employment .....	10	17	17

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General and service authorized by 5 U.S.C. 3109, \$62,908,000: Provided, That not to exceed \$50,000 shall be available for payment for information and detection of fraud against the Government, including payment for recovery of stolen Government property: Provided further, That not to exceed \$2,500 shall be available for awards to employees of other Federal agencies and private citizens in recognition of efforts and initiatives resulting in enhanced Office of Inspector General effectiveness.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 47-0108-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	60	59	63
0802 Reimbursable program activity .....		1	1
0900 Total new obligations .....	60	60	64
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	58	58	63
1160 Appropriation, discretionary (total) .....	58	58	63
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1750 Spending auth from offsetting collections, disc (total) .....		1	1
1900 Budget authority (total) .....	58	59	64
1930 Total budgetary resources available .....	61	60	64
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	7	10
3010 Obligations incurred, unexpired accounts .....	60	60	64
3020 Outlays (gross) .....	-59	-57	-63
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	7	10	11

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	8	7	10
3200	Obligated balance, end of year .....	7	10	11
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	58	59	64
Outlays, gross:				
4010	Outlays from new discretionary authority .....	53	49	53
4011	Outlays from discretionary balances .....	6	8	10
4020	Outlays, gross (total) .....	59	57	63
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-1	-1
4180	Budget authority, net (total) .....	58	58	63
4190	Outlays, net (total) .....	59	56	62

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies within the General Services Administration (GSA), including conditions for existing or potential instances of fraud, waste and mismanagement. This audit function provides internal audit and contract audit services. Contract audits provide professional advice to GSA contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of GSA operations and programs, test internal control systems, and develop information to improve operating efficiencies and enhance customer services. The investigative function provides for the detection and investigation of improper and illegal activities involving GSA programs, personnel, and operations.

**Object Classification** (in millions of dollars)

Identification code 47-0108-0-1-804	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	30	30	32
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	33	33	35
12.1	Civilian personnel benefits .....	10	10	11
21.0	Travel and transportation of persons .....	2	1	1
23.1	Rental payments to GSA .....	4	4	4
25.1	Advisory and assistance services .....	3	2	3
25.3	Other goods and services from Federal sources .....	6	7	7
25.7	Operation and maintenance of equipment .....	1	1	1
31.0	Equipment .....	1	1	1
99.0	Direct obligations .....	60	59	63
99.0	Reimbursable obligations .....		1	1
99.9	Total new obligations .....	60	60	64

**Employment Summary**

Identification code 47-0108-0-1-804	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	295	316	316
2001	Reimbursable civilian full-time equivalent employment .....	3	3	3

**ELECTRONIC GOVERNMENT FUND**  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses in support of interagency projects that enable the Federal Government to expand its ability to conduct activities electronically, through the development and implementation of innovative uses of the Internet and other electronic methods, \$20,150,000, to remain available until expended: Provided, That these funds may be transferred to Federal agencies to carry out the purpose of the Fund: Provided further, That this transfer authority shall be in addition to any other transfer authority provided in this Act: Provided further, That such transfers may

not be made until 10 days after a proposed spending plan and explanation for each project to be undertaken has been submitted to the Committees on Appropriations of the House of Representatives and the Senate.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 47-0600-0-1-804	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0002	Direct program .....	9	17	20
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	5	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	12	12	20
1160	Appropriation, discretionary (total) .....	12	12	20
1930	Total budgetary resources available .....	14	17	20
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	6	8
3010	Obligations incurred, unexpired accounts .....	9	17	20
3020	Outlays (gross) .....	-8	-15	-20
3050	Unpaid obligations, end of year .....	6	8	8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5	6	8
3200	Obligated balance, end of year .....	6	8	8

Identification code 47-0600-0-1-804	2012 actual	2013 CR	2014 est.	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	12	12	20
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	11	18
4011	Outlays from discretionary balances .....	4	4	2
4020	Outlays, gross (total) .....	8	15	20
4180	Budget authority, net (total) .....	12	12	20
4190	Outlays, net (total) .....	8	15	20

This appropriation, authorized by the E-Government Act of 2002, provides for inter-agency electronic government, or E-Gov, initiatives and projects, which use the Internet or other electronic methods to provide individuals, businesses, and other government agencies with simpler and more timely access to Federal information, benefits, services, and business opportunities. The appropriation also furthers the implementation of the Federal Financial Accountability and Transparency Act, the Government Performance and Results and Modernization Act of 2010, and the Government Paperwork Elimination Act of 1998, which calls upon agencies to provide the public with optional use and acceptance of electronic information, services, and signatures, when practicable. The E-Gov program is designed to establish an efficient set of service providers for civilian agencies to access key strategic information technology services, developing pilots and ultimately supporting the implementation of developed projects.

**Object Classification** (in millions of dollars)

Identification code 47-0600-0-1-804	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	8	13	19
25.3	Other goods and services from Federal sources .....	1	4	1
99.9	Total new obligations .....	9	17	20

ALLOWANCES AND OFFICE STAFF FOR FORMER PRESIDENTS

For carrying out the provisions of the Act of August 25, 1958 (3 U.S.C. 102 note), and Public Law 95-138, \$3,550,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 47-0105-0-1-802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Allowances and pensions .....	2	2	2
0002 Office staff .....	1	2	2
0900 Total new obligations .....	3	4	4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1160 Appropriation, discretionary (total) .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-3	-4	-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	3	4	4

This appropriation provides pensions, office staffs, and related expenses for former Presidents Jimmy Carter, George H. W. Bush, William Clinton, and George W. Bush, and for the postal franking privileges for the widow of former President Ronald Reagan.

Object Classification (in millions of dollars)

Identification code 47-0105-0-1-802	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	1	1	1
13.0 Benefits for former Presidents .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....		1	1
99.9 Total new obligations .....	3	4	4

EXPENSES, PRESIDENTIAL TRANSITION

Program and Financing (in millions of dollars)

Identification code 47-0107-0-1-802	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1029 Other balances withdrawn .....		-9	
1050 Unobligated balance (total) .....		-9	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		9	
1160 Appropriation, discretionary (total) .....		9	

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....		9	
4180 Budget authority, net (total) .....		9	

This appropriation provides for an orderly transfer of Executive leadership in accordance with the Presidential Transition Act of 1963, as amended. These expenses include costs of \$1,000,000 provided for briefing personnel associated with a potential incoming administration.

ACQUISITION WORKFORCE TRAINING FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 47-5381-0-2-804	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	4	4	4
Receipts:			
0240 Acquisition Workforce Training Fund .....	9	12	13
0400 Total: Balances and collections .....	13	16	17
Appropriations:			
0500 Acquisition Workforce Training Fund .....	-9	-12	-13
0799 Balance, end of year .....	4	4	4

Program and Financing (in millions of dollars)

Identification code 47-5381-0-2-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Acquisition Workforce Training .....	8	12	13
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	16
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	9	12	13
1260 Appropriations, mandatory (total) .....	9	12	13
1930 Total budgetary resources available .....	25	28	29
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	16	16	16
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	1		
1952 Expired unobligated balance, start of year .....		1	1
1953 Expired unobligated balance, end of year .....		1	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	4	7
3010 Obligations incurred, unexpired accounts .....	8	12	13
3020 Outlays (gross) .....	-6	-9	-12
3050 Unpaid obligations, end of year .....	4	7	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	4	7
3200 Obligated balance, end of year .....	4	7	8

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	9	12	13
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	6	8	11
4110 Outlays, gross (total) .....	6	9	12
4180 Budget authority, net (total) .....	9	12	13
4190 Outlays, net (total) .....	6	9	12

The Acquisition Workforce Training Fund (AWTF) is a permanent, indefinite appropriation providing a stable source of funds to train the Federal civilian acquisition workforce. The AWTF is financed through a credit of five percent of the fees collected from non-Department of Defense activities by the General Services Administration (GSA) and other civilian agencies that manage Government-wide Acquisition Contracts (GWACs), Multiple

Award Schedules (MAS) contracts, and other multi-agency contracts. Receipts are available for expenditure in the fiscal year collected, as well as the two following fiscal years. The AWTF is managed by the Federal Acquisition Institute (FAI) at GSA, in consultation with the White House Office of Federal Procurement Policy and the FAI Board of Directors.

**Object Classification** (in millions of dollars)

Identification code 47-5381-0-2-804	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	7	8	9
25.3 Other goods and services from Federal sources .....	1	4	4
99.9 Total new obligations .....	8	12	13

**FEDERAL CITIZEN SERVICES FUND**

For necessary expenses of the Office of Citizen Services and Innovative Technologies, including services authorized by 40 U.S.C. 323, \$34,804,000, to be deposited into the Federal Citizen Services Fund: Provided, That the appropriations, revenues, and collections deposited into the Fund shall be available for necessary expenses of Federal Citizen Services activities, in the aggregate amount not to exceed \$90,000,000. Appropriations, revenues, and collections accruing to this Fund during fiscal year 2014 in excess of such amount shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 47-4549-0-4-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	37	34	35
0802 Reimbursable program .....	15	12	13
0900 Total new obligations .....	52	46	48
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	34	34	35
1160 Appropriation, discretionary (total) .....	34	34	35
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9	12	11
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	13	12	11
1900 Budget authority (total) .....	47	46	46
1930 Total budgetary resources available .....	58	52	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	18	12
3010 Obligations incurred, unexpired accounts .....	52	46	48
3020 Outlays (gross) .....	-45	-52	-52
3050 Unpaid obligations, end of year .....	18	12	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	11	5
3200 Obligated balance, end of year .....	11	5	1

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	47	46	46
Outlays, gross:			
4010 Outlays from new discretionary authority .....	34	46	46
4011 Outlays from discretionary balances .....	11	6	6
4020 Outlays, gross (total) .....	45	52	52
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-9	-12	-11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4070 Budget authority, net (discretionary) .....	34	34	35
4080 Outlays, net (discretionary) .....	36	40	41
4180 Budget authority, net (total) .....	34	34	35
4190 Outlays, net (total) .....	36	40	41

The Federal Citizen Services Fund appropriation provides for the salaries and expenses of the Office of Citizen Services and Innovative Technologies (OCSIT). OCSIT provides the means for citizens, businesses, other governments, and the media to easily obtain information and services from the government via the web, e-mail, printed media, and telephone. OCSIT leads several interagency groups to share best practices and develop strategies for improving the way government provides services to the American public.

OCSIT provides information and services to the public primarily through USA.gov and GobiernoUSA.gov, the official web portal of the U.S. Government. OCSIT also operates PublicationsUSA.gov, HowTo.gov, and Kids.gov websites. OCSIT provides direct telephone (1-800-FED-INFO), e-mail, and on-line assistance to citizens through the National Contact Center (NCC) and offers simple and cost-effective contact center solutions to customer Federal agencies through the USA Contact program. OCSIT also coordinates the publication and distribution of information through the Government Printing Office (GPO) Public Documents Distribution Center in Pueblo, Colorado.

The Federal Citizen Services Fund is financed from annual appropriations to pay for the salaries and expenses of OCSIT staff and Citizen Services programs. Reimbursements from Federal agencies pay for the direct costs of information services OCSIT provides on behalf of the agencies. The Federal Citizen Services Fund also receives funding from user fees for publications ordered by the public, payments from private entities for services rendered, and gifts from the public. All income is available without regard to fiscal year limitations, but is subject to an annual aggregate expenditure limit as set forth in appropriation acts.

**Object Classification** (in millions of dollars)

Identification code 47-4549-0-4-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	10	10	11
12.1 Civilian personnel benefits .....	3	3	3
23.1 Rental payments to GSA .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	18	15	15
25.3 Other goods and services from Federal sources .....	4	4	4
99.0 Direct obligations .....	37	34	35
<b>Reimbursable obligations:</b>			
23.1 Rental payments to GSA .....	2		
25.1 Advisory and assistance services .....	8	12	13
25.3 Other goods and services from Federal sources .....	5		
99.0 Reimbursable obligations .....	15	12	13
99.9 Total new obligations .....	52	46	48

FEDERAL CITIZEN SERVICES FUND—Continued  
Employment Summary

Identification code 47-4549-0-4-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	85	86	94

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 47-4540-0-4-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	506	546	706
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	134	128	66
1012 Unobligated balance transfers between expired and unexpired accounts .....	11		
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	151	128	66
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	458	484	676
1701 Change in uncollected payments, Federal sources .....	25		
1750 Spending auth from offsetting collections, disc (total) .....	483	484	676
1930 Total budgetary resources available .....	634	612	742
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	128	66	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	125	153	205
3010 Obligations incurred, unexpired accounts .....	506	546	706
3020 Outlays (gross) .....	-472	-494	-687
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	153	205	224
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-28	-28
3070 Change in uncollected pymts, Fed sources, unexpired .....	-25		
3090 Uncollected pymts, Fed sources, end of year .....	-28	-28	-28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	122	125	177
3200 Obligated balance, end of year .....	125	177	196
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	483	484	676
Outlays, gross:			
4010 Outlays from new discretionary authority .....	371	395	552
4011 Outlays from discretionary balances .....	101	99	135
4020 Outlays, gross (total) .....	472	494	687
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-457	-484	-676
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-458	-484	-676
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-25		
4080 Outlays, net (discretionary) .....	14	10	11
4190 Outlays, net (total) .....	14	10	11

This full cost recovery revolving fund provides internal General Services Administration (GSA) customers with a full range of shared administrative services. Expenses of the Working Capital Fund are financed through reimbursable funding from GSA's benefiting accounts and from external sources, including small agencies and commissions, for services provided. Reimbursable services include information technology management, financial management, legal advice and services, equal employment opportunity services, liaison activities with the Congress and Office of Management and Budget, and oversight of GSA contracting

activities. This account also funds liaison activities with the Small Business Administration on small business proposals and contracts to ensure that small, disadvantaged businesses receive a fair share of the agency's business.

Beginning in 2014, GSA will consolidate support functions such as finance, information technology, and human resources across all organizations into the Working Capital Fund, resulting in a significant growth in resources flowing through the fund. The FTE increase to the Working Capital Fund will be accompanied by corresponding decreases to the other organizations, such as the Public Buildings Service and Federal Acquisition Service, as employees transfer between offices. This consolidation is designed to streamline reporting structures, resulting in increased efficiencies and accountability.

Object Classification (in millions of dollars)

Identification code 47-4540-0-4-804	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	125	129	216
11.3 Other than full-time permanent .....	2	1	2
11.5 Other personnel compensation .....	4	2	5
11.9 Total personnel compensation .....	131	132	223
12.1 Civilian personnel benefits .....	49	48	73
13.0 Benefits for former personnel .....	2	1	1
21.0 Travel and transportation of persons .....	2	3	6
23.1 Rental payments to GSA .....	18	27	41
23.3 Communications, utilities, and miscellaneous charges .....	26	30	31
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	130	187	189
25.2 Other services from non-Federal sources .....	4	4	14
25.3 Other goods and services from Federal sources .....	77	45	48
25.7 Operation and maintenance of equipment .....	40	53	53
26.0 Supplies and materials .....	2	2	3
31.0 Equipment .....	24	11	23
42.0 Insurance claims and indemnities .....		2	
99.9 Total new obligations .....	506	546	706

Employment Summary

Identification code 47-4540-0-4-804	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,284	1,347	2,285

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
47-322000 All Other General Fund Proprietary Receipts Including Budget			
Clearing Accounts .....	167	26	26
47-384000 Real Property Disposal, GSA .....	-2		
47-384200 Broker Rebates, GSA .....	-4		
General Fund Offsetting receipts from the public .....	161	26	26
Intragovernmental payments:			
47-388500 Undistributed Intragovernmental Payments and Receivables			
from Cancelled Accounts .....		11	11
General Fund Intragovernmental payments .....		11	11

ADMINISTRATIVE PROVISIONS—GENERAL SERVICES ADMINISTRATION

(INCLUDING TRANSFERS OF FUNDS AND CANCELLATION)

SEC. 520. Funds available to the General Services Administration shall be available for the hire of passenger motor vehicles.

SEC. 521. Funds in the Federal Buildings Fund made available for fiscal year 2014 for Federal Buildings Fund activities may be transferred between such activities only to the extent necessary to meet program re-

quirements: *Provided, That notice of any proposed transfers shall be submitted in advance to the Committees on Appropriations of the House of Representatives and the Senate.*

*SEC. 522. Except as otherwise provided in this title, it is the sense of Congress that projects to be included in the 2015 request for United States Courthouse construction will: (1) meet the design guide standards for construction as established and approved by the General Services Administration, the Judicial Conference of the United States, and the Office of Management and Budget; (2) reflect the priorities of the Judicial Conference of the United States as set out in its approved 5-year construction plan; and (3) include a standardized courtroom utilization study of each facility to be constructed, replaced, or expanded.*

*SEC. 523. None of the funds provided in this Act may be used to increase the amount of occupiable square feet, provide cleaning services, security enhancements, or any other service usually provided through the Federal Buildings Fund, to any agency that does not pay the rate per square foot assessment for space and services as determined by the General Services Administration in consideration of the Public Buildings Amendments Act of 1972 (Public Law 92-313).*

*SEC. 524. From funds made available under the heading "Federal Buildings Fund, Limitations on Availability of Revenue", claims against the Government of less than \$250,000 arising from direct construction projects and acquisition of buildings may be liquidated from savings effected in other construction projects with prior notification to the Committees on Appropriations of the House of Representatives and the Senate.*



# NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

## Federal Funds

### SCIENCE

For necessary expenses, not otherwise provided for, in the conduct and support of science research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$5,017,800,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 80–0120–0–1–252	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	5,140	5,116	5,019
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	79	64	64
1021 Recoveries of prior year unpaid obligations .....	51		
1050 Unobligated balance (total) .....	130	64	64
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,090	5,121	5,018
1120 Appropriations transferred to other accts CECR [80–0130] .....	–11		
1131 Unobligated balance of appropriations permanently reduced .....	–5	–5	
1160 Appropriation, discretionary (total) .....	5,074	5,116	5,018
1930 Total budgetary resources available .....	5,204	5,180	5,082
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	64	64	63
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,774	3,073	3,101
3010 Obligations incurred, unexpired accounts .....	5,140	5,116	5,019
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	–4,785	–5,088	–5,066
3040 Recoveries of prior year unpaid obligations, unexpired .....	–51		
3041 Recoveries of prior year unpaid obligations, expired .....	–7		
3050 Unpaid obligations, end of year .....	3,073	3,101	3,054
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,774	3,073	3,101
3200 Obligated balance, end of year .....	3,073	3,101	3,054
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,074	5,116	5,018
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,236	2,507	2,460
4011 Outlays from discretionary balances .....	2,549	2,581	2,606
4020 Outlays, gross (total) .....	4,785	5,088	5,066
4180 Budget authority, net (total) .....	5,074	5,116	5,018
4190 Outlays, net (total) .....	4,785	5,088	5,066

The Science appropriation provides for NASA's science mission, which is comprised of the agency's Earth and space science programs: Earth Science, Planetary Science, Heliophysics, the James Webb Space Telescope, and Astrophysics. These programs seek to answer fundamental questions concerning the ways in which Earth's climate is changing; the comparison of Earth with other planets in the solar system and around other stars; the connections among the Sun, Earth, and heliosphere; and the origin and

evolution of planetary systems, the galaxy, and the universe, including the origin and distribution of life in the universe. Program objectives are pursued through robotic flight missions, ground-based scientific research and data analysis, and the development of new technologies for future missions.

The Science appropriation provides for all of the research, development, operations, salaries and related expenses, and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and test and fabrication costs. Detailed performance goals associated with these activities are addressed in NASA's detailed budget request.

#### Object Classification (in millions of dollars)

Identification code 80–0120–0–1–252	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	232	224	224
11.3 Other than full-time permanent .....	14	13	13
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	248	239	239
12.1 Civilian personnel benefits .....	68	65	66
21.0 Travel and transportation of persons .....	20	20	20
22.0 Transportation of things .....	3	3	3
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	120	110	110
25.2 Other services from non-Federal sources .....	238	217	217
25.3 Other goods and services from Federal sources .....	196	194	194
25.4 Operation and maintenance of facilities .....	16	16	16
25.5 Research and development contracts .....	3,480	3,467	3,413
25.7 Operation and maintenance of equipment .....	82	87	82
26.0 Supplies and materials .....	31	28	28
31.0 Equipment .....	36	37	35
32.0 Land and structures .....	6	6	6
41.0 Grants, subsidies, and contributions .....	589	620	583
99.9 Total new obligations .....	5,140	5,116	5,019

#### Employment Summary

Identification code 80–0120–0–1–252	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,072	1,973	1,936

## AERONAUTICS

For necessary expenses, not otherwise provided for, in the conduct and support of aeronautics research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$565,690,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 80–0126–0–1–402	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	568	572	566

**AERONAUTICS—Continued**  
**Program and Financing—Continued**

Identification code 80-0126-0-1-402	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	17	17
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	16	17	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	570	573	566
1131 Unobligated balance of appropriations permanently reduced .....	-1	-1	
1160 Appropriation, discretionary (total) .....	569	572	566
1930 Total budgetary resources available .....	585	589	583
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	17	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	281	259	332
3010 Obligations incurred, unexpired accounts .....	568	572	566
3020 Outlays (gross) .....	-584	-499	-568
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	259	332	330
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	281	259	332
3200 Obligated balance, end of year .....	259	332	330
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	569	572	566
Outlays, gross:			
4010 Outlays from new discretionary authority .....	334	280	277
4011 Outlays from discretionary balances .....	250	219	291
4020 Outlays, gross (total) .....	584	499	568
4180 Budget authority, net (total) .....	569	572	566
4190 Outlays, net (total) .....	584	499	568

This appropriation provides for the full costs associated with NASA's Aeronautics Research mission which aims to expand the boundaries of aeronautical knowledge for the benefit of the nation and the broad aeronautics community. The mission is managed by NASA's Aeronautics Research Mission Directorate, and consists of the following integrated research programs: Fundamental Aeronautics, Aviation Safety, Airspace Systems, Integrated Systems Research, Aeronautics Test, and Aeronautics Strategy and Management. Full costs of these programs cover all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs. Costs include labor, travel, procurement, test, and fabrication costs. Detailed performance goals associated with these activities are addressed in NASA's detailed budget request.

**Object Classification (in millions of dollars)**

Identification code 80-0126-0-1-402	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	146	143	142
11.3 Other than full-time permanent .....	7	7	7
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	154	150	149
12.1 Civilian personnel benefits .....	41	41	40
21.0 Travel and transportation of persons .....	6	6	6
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	7	7	7
25.1 Advisory and assistance services .....	11	10	10
25.2 Other services from non-Federal sources .....	27	23	23
25.3 Other goods and services from Federal sources .....	8	8	8
25.4 Operation and maintenance of facilities .....	31	32	32
25.5 Research and development contracts .....	185	198	194

25.7 Operation and maintenance of equipment .....	26	27	27
26.0 Supplies and materials .....	12	10	10
31.0 Equipment .....	24	24	24
32.0 Land and structures .....	5	5	5
41.0 Grants, subsidies, and contributions .....	30	30	30
99.9 Total new obligations .....	568	572	566

**Employment Summary**

Identification code 80-0126-0-1-402	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,367	1,319	1,282

**SPACE TECHNOLOGY**

*For necessary expenses, not otherwise provided for, in the conduct and support of space research and technology development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$742,600,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 80-0131-0-1-252	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	535	578	734
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		13	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	575	579	743
1120 Appropriations transferred to Space Operations [80-0115] .....	-10		
1120 Appropriations transferred to Cross Agency Support [80-0122] .....	-9		
1120 Appropriations transferred to Exploration [80-0124] .....	-8		
1160 Appropriation, discretionary (total) .....	548	579	743
1930 Total budgetary resources available .....	548	592	757
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	14	23
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		293	406
3010 Obligations incurred, unexpired accounts .....	535	578	734
3020 Outlays (gross) .....	-242	-465	-594
3050 Unpaid obligations, end of year .....	293	406	546
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		293	406
3200 Obligated balance, end of year .....	293	406	546
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	548	579	743
Outlays, gross:			
4010 Outlays from new discretionary authority .....	242	203	260
4011 Outlays from discretionary balances .....		262	334
4020 Outlays, gross (total) .....	242	465	594
4180 Budget authority, net (total) .....	548	579	743
4190 Outlays, net (total) .....	242	465	594

This appropriation provides for the full costs associated with program activities under the NASA Space Technology Mission

Directorate which conducts research and development in space technologies serving multiple customers within NASA, private industry, academia, and other government agencies. The full costs provide for all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and those associated with fabrication, tests and flight demonstrations. The Space Technology appropriation also supports the operations of the NASA Office of the Chief Technologist.

The programs within Space Technology enable new missions by drawing on talent from the NASA workforce, academia, small businesses and the broader space enterprise to deliver innovative solutions that dramatically improve technological capabilities for NASA and the Nation. The appropriation funds several programs, including Partnership Development & Strategic Integration, Small Business Innovative Research (SBIR), Small Business Technology Transfer (STTR), Crosscutting Space Technology Development, and Exploration Technology Development.

**Object Classification** (in millions of dollars)

Identification code 80-0131-0-1-252	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	78	97	97
11.3 Other than full-time permanent .....	4	5	5
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....	82	103	103
12.1 Civilian personnel benefits .....	23	29	29
21.0 Travel and transportation of persons .....	4	5	5
22.0 Transportation of things .....	1	1	1
25.1 Advisory and assistance services .....	29	26	26
25.2 Other services from non-Federal sources .....	24	21	21
25.3 Other goods and services from Federal sources .....	4	4	4
25.4 Operation and maintenance of facilities .....	5	5	5
25.5 Research and development contracts .....	322	344	496
25.7 Operation and maintenance of equipment .....	5	5	6
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	6	6	7
41.0 Grants, subsidies, and contributions .....	22	23	25
99.0 Direct obligations .....	532	577	733
99.5 Below reporting threshold .....	3	1	1
99.9 Total new obligations .....	535	578	734

**Employment Summary**

Identification code 80-0131-0-1-252	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	705	860	838

**EXPLORATION**

*For necessary expenses, not otherwise provided for, in the conduct and support of exploration research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$3,915,505,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 80-0124-0-1-252	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3,867	3,788	3,913
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	187	91	93
1021 Recoveries of prior year unpaid obligations .....	56		
1050 Unobligated balance (total) .....	243	91	93
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,771	3,794	3,916
1120 Appropriations transferred to CECR [80-0130] .....	-58		
1120 Appropriations transferred to Space Operations account [80-0115] .....	-2		
1121 Appropriations transferred from Space Technology [80-0131] .....	8		
1131 Unobligated balance of appropriations permanently reduced .....	-4	-4	
1160 Appropriation, discretionary (total) .....	3,715	3,790	3,916
1900 Budget authority (total) .....	3,715	3,790	3,916
1930 Total budgetary resources available .....	3,958	3,881	4,009
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	91	93	96
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,731	1,951	1,966
3010 Obligations incurred, unexpired accounts .....	3,867	3,788	3,913
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-3,589	-3,773	-4,034
3040 Recoveries of prior year unpaid obligations, unexpired .....	-56		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	1,951	1,966	1,845
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,731	1,951	1,966
3200 Obligated balance, end of year .....	1,951	1,966	1,845
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,715	3,790	3,916
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,803	2,274	2,350
4011 Outlays from discretionary balances .....	1,786	1,499	1,684
4020 Outlays, gross (total) .....	3,589	3,773	4,034
4180 Budget authority, net (total) .....	3,715	3,790	3,916
4190 Outlays, net (total) .....	3,589	3,773	4,034

This appropriation provides for the full costs associated with NASA's development of systems and capabilities required for human exploration of space and including U.S. crew access to the International Space Station. The systems and capabilities include launch and crew vehicles for missions beyond low Earth Orbit, an affordable, competitive commercial crew capability that offers access to the Space Station, technologies and countermeasures to keep astronauts healthy and functional during deep space missions, and technologies to reduce launch mass and the cost of deep space missions. The full costs provide for the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, test and fabrication costs.

NASA's human space exploration programs include the Space Launch System, the Orion Multi-Purpose Crew Vehicle, Exploration Ground Systems, Commercial Crew, Advanced Exploration Systems, and Human Research. The programs are managed by NASA's Human Exploration and Operations Mission Directorate, which also manages programs in the Space Operations account.

**EXPLORATION—Continued**  
**Object Classification** (in millions of dollars)

Identification code 80-0124-0-1-252	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	328	336	349
11.3 Other than full-time permanent .....	6	7	7
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	335	344	357
12.1 Civilian personnel benefits .....	96	98	102
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	14	13	13
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	8	8	8
25.1 Advisory and assistance services .....	245	221	221
25.2 Other services from non-Federal sources .....	28	26	26
25.3 Other goods and services from Federal sources .....	43	42	41
25.4 Operation and maintenance of facilities .....	149	144	143
25.5 Research and development contracts .....	2,745	2,698	2,809
25.7 Operation and maintenance of equipment .....	77	75	74
26.0 Supplies and materials .....	28	25	25
31.0 Equipment .....	19	18	18
32.0 Land and structures .....	5	5	5
41.0 Grants, subsidies, and contributions .....	71	68	68
99.0 Direct obligations .....	3,865	3,787	3,912
99.5 Below reporting threshold .....	2	1	1
99.9 Total new obligations .....	3,867	3,788	3,913

**Employment Summary**

Identification code 80-0124-0-1-252	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,985	3,022	3,074

**EDUCATION**

For necessary expenses, not otherwise provided for, in carrying out aerospace and aeronautical education research and development activities, including research, development, operations, support, and services; planning and interagency coordination of education activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$94,200,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 80-0128-0-1-252	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	146	141	98
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	27	18	14
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	28	18	14
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	138	139	94
1131 Unobligated balance of appropriations permanently reduced .....	-2	-2	
1160 Appropriation, discretionary (total) .....	136	137	94
1930 Total budgetary resources available .....	164	155	108
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	18	14	10

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	187	177	180
3010 Obligations incurred, unexpired accounts .....	146	141	98
3020 Outlays (gross) .....	-153	-138	-138
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	177	180	140
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	187	177	180
3200 Obligated balance, end of year .....	177	180	140

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	136	137	94
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	33	27	19
4011 Outlays from discretionary balances .....	120	111	119
4020 Outlays, gross (total) .....	153	138	138
4180 Budget authority, net (total) .....	136	137	94
4190 Outlays, net (total) .....	153	138	138

This appropriation provides for the full costs associated with NASA's education activities within the Office of Education. NASA's Education program works to educate and inspire students at all levels to pursue careers in the fields of science, technology, engineering, and mathematics (STEM). The full costs provide for all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, test and fabrication costs.

NASA's STEM education efforts will be fundamentally restructured within the Office of Education to support the Administration's STEM Consolidation initiative. This consolidation will utilize NASA's expertise and resources to reach a wider group of students and teachers through close cooperation with multiple federal agencies, and will be a key component of the Nation's STEM education portfolio.

NASA's Education appropriation funds a portfolio of activities that provides opportunities to a diverse audience of educators and learners, including women, minorities, and persons with disabilities. NASA will continue to improve STEM education through an internal competitive process that invests in NASA's most effective education programs, and will remain in alignment with the America COMPETES Reauthorization Act of 2010 and the Educate to Innovate initiative. NASA's education investments will also be aligned with the Federal strategic plans of the Office of Science and Technology Policy's Interagency Committee on STEM. NASA's investments will include support for Minority Serving Institutions and community colleges, which generally serve a high proportion of minority students, preparing them for study at a four-year institution.

**Object Classification** (in millions of dollars)

Identification code 80-0128-0-1-252	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	6	6
12.1 Civilian personnel benefits .....	1	2	2
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	4	3	3
25.2 Other services from non-Federal sources .....	7	6	6
25.4 Operation and maintenance of facilities .....	1	1	1
25.5 Research and development contracts .....	9	8	6
25.7 Operation and maintenance of equipment .....	4	3	1
41.0 Grants, subsidies, and contributions .....	114	111	72
99.9 Total new obligations .....	146	141	98

**Employment Summary**

Identification code 80-0128-0-1-252	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	52	59	59

**CROSS AGENCY SUPPORT**

*For necessary expenses, not otherwise provided for, in the conduct and support of science, aeronautics, exploration, space operations and education research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; not to exceed \$63,000 for official reception and representation expenses; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$2,850,300,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 80-0122-0-1-252	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2,992	3,012	2,851
0801 Reimbursable program .....	2,216	2,632	2,666
0900 Total new obligations .....	5,208	5,644	5,517
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	369	378
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	12	369	378
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,995	3,012	2,850
1120 Appropriations transferred to OIG [80-0109] .....	-1		
1121 Appropriations transferred from Space Technology account [80-0131] .....	9		
1160 Appropriation, discretionary (total) .....	3,003	3,012	2,850
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,346	2,641	2,670
1701 Change in uncollected payments, Federal sources .....	1,216		
1750 Spending auth from offsetting collections, disc (total) .....	2,562	2,641	2,670
1900 Budget authority (total) .....	5,565	5,653	5,520
1930 Total budgetary resources available .....	5,577	6,022	5,898
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	369	378	381
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,923	2,086	1,876
3010 Obligations incurred, unexpired accounts .....	5,208	5,644	5,517
3011 Obligations incurred, expired accounts .....	40	40	
3020 Outlays (gross) .....	-5,056	-5,839	-5,661
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-25	-55	
3050 Unpaid obligations, end of year .....	2,086	1,876	1,732
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-833	-1,314	-1,314
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1,216		
3071 Change in uncollected pymts, Fed sources, expired .....	735		
3090 Uncollected pymts, Fed sources, end of year .....	-1,314	-1,314	-1,314
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,090	772	562
3200 Obligated balance, end of year .....	772	562	418

**Budget authority and outlays, net:**

Identification code	2012 actual	2013 CR	2014 est.
Discretionary:			
4000 Budget authority, gross .....	5,565	5,653	5,520
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,429	5,051	4,950
4011 Outlays from discretionary balances .....	1,627	788	711
4020 Outlays, gross (total) .....	5,056	5,839	5,661
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,867	-2,405	-2,427
4033 Non-Federal sources .....	-209	-236	-243
4040 Offsets against gross budget authority and outlays (total) .....	-2,076	-2,641	-2,670
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1,216		
4052 Offsetting collections credited to expired accounts .....	730		
4060 Additional offsets against budget authority only (total) .....	-486		
4070 Budget authority, net (discretionary) .....	3,003	3,012	2,850
4080 Outlays, net (discretionary) .....	2,980	3,198	2,991
4180 Budget authority, net (total) .....	3,003	3,012	2,850
4190 Outlays, net (total) .....	2,980	3,198	2,991

This appropriation provides for NASA's supporting functions associated with the science, aeronautics, space technology, education, space operations, exploration, and construction and environmental compliance and restoration activities of the agency. This account provides for the operations and maintenance; salaries and related expenses; and other general and administrative activities supporting the programs within the Agency's five mission accounts.

Cross Agency Support provides a strategic focus for managing agency mission support functions and some of NASA's unique research facilities. Center Management and Operations includes the basic costs to manage and operate each of the nine NASA field centers and to maintain the technical capabilities required to support the Agency's Mission. This Budget is not directly identified or aligned to a specific program or project requirement, but is necessary for efficient and effective administration and operation of the NASA Centers.

Agency Management and Operations provides for the management and oversight of Agency programs, the performance of Agency-wide activities and functions, and the maintenance of unique research capabilities and facilities. Responsibilities include the determination of programs and projects; establishment of management policies, procedures, and performance criteria; evaluation of progress; and the coordination and integration of all phases of the Agency's mission.

**Object Classification** (in millions of dollars)

Identification code 80-0122-0-1-252	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	851	879	893
11.3 Other than full-time permanent .....	29	30	30
11.5 Other personnel compensation .....	28	29	30
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	909	939	954
12.1 Civilian personnel benefits .....	241	249	253
13.0 Benefits for former personnel .....	8	9	9
21.0 Travel and transportation of persons .....	22	17	17
22.0 Transportation of things .....	5	5	5
23.1 Rental payments to GSA .....	35	34	34
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	83	82	79
24.0 Printing and reproduction .....	4	4	4
25.1 Advisory and assistance services .....	220	194	194
25.2 Other services from non-Federal sources .....	338	299	299
25.3 Other goods and services from Federal sources .....	52	51	48
25.4 Operation and maintenance of facilities .....	263	276	251
25.5 Research and development contracts .....	201	213	162
25.6 Medical care .....	7	7	7
25.7 Operation and maintenance of equipment .....	458	492	396
26.0 Supplies and materials .....	21	19	19
31.0 Equipment .....	39	38	38

CROSS AGENCY SUPPORT—Continued  
Object Classification—Continued

Identification code 80-0122-0-1-252	2012 actual	2013 CR	2014 est.
32.0 Land and structures .....	61	60	58
41.0 Grants, subsidies, and contributions .....	22	21	21
99.0 Direct obligations .....	2,992	3,012	2,851
99.0 Reimbursable obligations .....	2,216	2,632	2,666
99.9 Total new obligations .....	5,208	5,644	5,517

Employment Summary

Identification code 80-0122-0-1-252	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	7,771	7,921	7,879
2001 Reimbursable civilian full-time equivalent employment .....	353	317	321

CONSTRUCTION AND ENVIRONMENTAL COMPLIANCE AND RESTORATION

For necessary expenses for construction of facilities including repair, rehabilitation, revitalization, and modification of facilities, construction of new facilities and additions to existing facilities, facility planning and design, and restoration, and acquisition or condemnation of real property, as authorized by law, and environmental compliance and restoration, \$609,400,000, to remain available until September 30, 2019: Provided, That hereafter, notwithstanding section 315 of the National Aeronautics and Space Act of 1958 ( 51 U.S.C. 20145) and Public Law 112-55, all proceeds from leases entered into under that section shall be deposited into this account and shall be available for a period of 5 years: Provided further, That each annual budget request shall include an annual estimate of gross receipts and collections and proposed use of all funds collected pursuant to section 315 of the National Aeronautics and Space Act of 1958 ( 51 U.S.C. 20145).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 80-0130-0-1-252	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	434	436	535
0801 Reimbursable program .....	4	4	5
0900 Total new obligations .....	438	440	540
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	109	172	139
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	114	172	139
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	390	407	609
1121 Appropriations transferred from Exploration account [80-0124] .....	58		
1121 Appropriations transferred from Space Operations account [80-0115] .....	41		
1121 Appropriations transferred from other accts Science [80-0120] .....	11		
1131 Unobligated balance of appropriations permanently reduced .....	-6	-5	
1160 Appropriation, discretionary (total) .....	494	402	609
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	5	5
1750 Spending auth from offsetting collections, disc (total) .....	2	5	5
1900 Budget authority (total) .....	496	407	614
1930 Total budgetary resources available .....	610	579	753
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	172	139	213

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	460	510	471
3010 Obligations incurred, unexpired accounts .....	438	440	540
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-383	-479	-449
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	510	471	562
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	460	510	471
3200 Obligated balance, end of year .....	510	471	562

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	496	407	614
Outlays, gross:			
4010 Outlays from new discretionary authority .....	50	74	92
4011 Outlays from discretionary balances .....	333	405	357
4020 Outlays, gross (total) .....	383	479	449
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2	-5	-5
4180 Budget authority, net (total) .....	494	402	609
4190 Outlays, net (total) .....	381	474	444

This appropriation provides for NASA's construction and environmental compliance and restoration activities, and allows the agency to use the net proceeds from Enhanced Use Leases for maintenance, capital revitalization, and improvement of real property assets and related personal property at NASA Centers under the authority of section 315 of the National Aeronautics Space Act of 1958 (51 U.S.C. 20145). The costs provide for all of the research; development; operations; design, repair, rehabilitation, modification of facilities, and construction of new facilities; and other general and administrative activities required to execute the programs within this account.

Object Classification (in millions of dollars)

Identification code 80-0130-0-1-252	2012 actual	2013 CR	2014 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	1	1	
25.1 Advisory and assistance services .....	23	23	28
25.2 Other services from non-Federal sources .....	10	10	12
25.3 Other goods and services from Federal sources .....	12	12	15
25.4 Operation and maintenance of facilities .....	110	109	136
25.5 Research and development contracts .....	32	32	40
25.7 Operation and maintenance of equipment .....	4	3	5
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	240	242	295
99.0 Direct obligations .....	433	433	532
99.0 Reimbursable obligations .....	2	4	5
99.5 Below reporting threshold .....	3	3	3
99.9 Total new obligations .....	438	440	540

SPACE OPERATIONS

For necessary expenses, not otherwise provided for, in the conduct and support of space operations research and development activities, including research, development, operations, support and services; space flight, spacecraft control and communications activities, including operations, production, and services; maintenance and repair, facility planning and design; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance and operation of mission and administrative aircraft, \$3,882,900,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 80-0115-0-1-252	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	4,392	4,247	3,888
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	87	62	62
1021 Recoveries of prior year unpaid obligations .....	168		
1050 Unobligated balance (total) .....	255	62	62
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,234	4,260	3,883
1120 Appropriations transferred to CECR [80-0130] .....	-41		
1121 Appropriations transferred from Space Technology [80-0131] .....	10		
1121 Appropriations transferred from Exploration [80-0124] .....	2		
1131 Unobligated balance of appropriations permanently reduced .....	-12	-13	
1160 Appropriation, discretionary (total) .....	4,193	4,247	3,883
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	8		
1750 Spending auth from offsetting collections, disc (total) .....	8		
1900 Budget authority (total) .....	4,201	4,247	3,883
1930 Total budgetary resources available .....	4,456	4,309	3,945
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	62	62	57
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,018	1,757	1,745
3010 Obligations incurred, unexpired accounts .....	4,392	4,247	3,888
3011 Obligations incurred, expired accounts .....	13		
3020 Outlays (gross) .....	-4,486	-4,259	-4,090
3040 Recoveries of prior year unpaid obligations, unexpired .....	-168		
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	1,757	1,745	1,543
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	5		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,013	1,757	1,745
3200 Obligated balance, end of year .....	1,757	1,745	1,543
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,201	4,247	3,883
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,647	3,185	2,912
4011 Outlays from discretionary balances .....	1,839	1,074	1,178
4020 Outlays, gross (total) .....	4,486	4,259	4,090
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
4033 Non-Federal sources .....	-12		
4040 Offsets against gross budget authority and outlays (total) .....	-15		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	7		
4070 Budget authority, net (discretionary) .....	4,193	4,247	3,883
4080 Outlays, net (discretionary) .....	4,471	4,259	4,090
4180 Budget authority, net (total) .....	4,193	4,247	3,883
4190 Outlays, net (total) .....	4,471	4,259	4,090

This appropriation provides for the full costs associated with the space operations activities of the agency. The full costs include all labor, travel, procurement, test and fabrication costs to execute these programs, which provide for all of the research; development; operations; salaries and related expenses; and other general and administrative activities supporting the programs within this account. Major themes within the Space Operations account include the International Space Station and Space and

Flight Support. Detailed performance goals associated with these activities are addressed in NASA's detailed budget request.

The Space Shuttle has been retired after helping to build the International Space Station. The International Space Station is a complex of research laboratories in low Earth orbit in which America and its international partners, including Russia, Canada, Europe, and Japan, conduct unique scientific and technological investigations in a microgravity environment. The objective of the Space Station is to support human space exploration and conduct science experiments and technology development unique to the on-orbit attributes of the facility.

Space and Flight Support is comprised of multiple capabilities that provide on-going customer support for a wide range of services. The programs include Space Communications and Navigation, Human Space Flight Operations, Launch Services, Rocket Propulsion Testing, and the multi-user 21st Century Space Launch Complex. They are provided to a wide range of customers including NASA, other U.S. Federal agencies, foreign governments, and commercial customers.

**Object Classification** (in millions of dollars)

Identification code 80-0115-0-1-252	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	279	267	250
11.3 Other than full-time permanent .....	5	5	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	285	273	255
12.1 Civilian personnel benefits .....	80	77	72
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	12	12	12
22.0 Transportation of things .....	1,163	1,119	1,024
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	120	106	106
25.2 Other services from non-Federal sources .....	106	94	94
25.3 Other goods and services from Federal sources .....	46	45	44
25.4 Operation and maintenance of facilities .....	112	107	102
25.5 Research and development contracts .....	2,133	2,094	1,869
25.7 Operation and maintenance of equipment .....	259	248	238
26.0 Supplies and materials .....	25	22	22
31.0 Equipment .....	15	15	15
32.0 Land and structures .....	8	8	8
41.0 Grants, subsidies, and contributions .....	19	18	18
99.9 Total new obligations .....	4,392	4,247	3,888

**Employment Summary**

Identification code 80-0115-0-1-252	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,490	2,354	2,163

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, \$37,000,000, of which \$500,000 shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 80-0109-0-1-252	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	38	39	37
0801 Reimbursable program activity .....	1	1	1

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 80-0109-0-1-252	2012 actual	2013 CR	2014 est.
0900 Total new obligations .....	39	40	38
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	37	39	37
1121 Appropriations transferred from Cross Agency account [80-0122] .....	1		
1160 Appropriation, discretionary (total) .....	38	39	37
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	39	40	38
1930 Total budgetary resources available .....	40	41	39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	5
3010 Obligations incurred, unexpired accounts .....	39	40	38
3020 Outlays (gross) .....	-38	-40	-38
3050 Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	5
3200 Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	39	40	38
Outlays, gross:			
4010 Outlays from new discretionary authority .....	34	36	34
4011 Outlays from discretionary balances .....	4	4	4
4020 Outlays, gross (total) .....	38	40	38
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	38	39	37
4190 Outlays, net (total) .....	37	39	37

This appropriation provides for the full costs associated with the operations of the NASA Office of Inspector General. The mission of the Office of Inspector General is to conduct audits and investigations of agency activities to prevent and detect fraud, waste, abuse, and mismanagement. The Inspector General keeps the Administrator and the Congress informed of problems and deficiencies in agency programs and operations.

Object Classification (in millions of dollars)

Identification code 80-0109-0-1-252	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	22	23	24
12.1 Civilian personnel benefits .....	8	8	8
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	4	4	4
25.3 Other goods and services from Federal sources .....	1	1	
31.0 Equipment .....	1	1	
99.0 Direct obligations .....	37	38	37
99.0 Reimbursable obligations .....	1	1	1
99.5 Below reporting threshold .....	1	1	
99.9 Total new obligations .....	39	40	38

Employment Summary

Identification code 80-0109-0-1-252	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	198	207	207

2001 Reimbursable civilian full-time equivalent employment .....	6	6	6
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SCIENCE, AERONAUTICS, AND EXPLORATION

Program and Financing (in millions of dollars)

Identification code 80-0114-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	1	
0900 Total new obligations (object class 32.0) .....	1	1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	2	1	
1930 Total budgetary resources available .....	2	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	112	54	40
3010 Obligations incurred, unexpired accounts .....	1	1	
3011 Obligations incurred, expired accounts .....	13		
3020 Outlays (gross) .....	-49	-15	-30
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-21		
3050 Unpaid obligations, end of year .....	54	40	10
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-4	-4
3071 Change in uncollected pymts, Fed sources, expired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	99	50	36
3200 Obligated balance, end of year .....	50	36	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	49	15	30
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4		
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-5		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	5		
4080 Outlays, net (discretionary) .....	44	15	30
4190 Outlays, net (total) .....	44	15	30

NASA's Science, Aeronautics and Exploration account formerly included Science, Aeronautics Research, Exploration Systems and Cross Agency Support Programs. Beginning in 2009, Science, Aeronautics, Exploration, Education and Cross Agency Support were split into five separate appropriation accounts. The Science, Aeronautics, and Exploration account shows spending from balances prior to the account restructuring.

HUMAN SPACE FLIGHT

Program and Financing (in millions of dollars)

Identification code 80-0111-0-1-252	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	3	
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-5	-3	
3050 Unpaid obligations, end of year .....	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	3	

3200	Obligated balance, end of year .....	3	.....	.....
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	5	3	.....
4190	Outlays, net (total) .....	5	3	.....

NASA's Human Space Flight account formerly included the International Space Station; Space Shuttle Payload and Expendable Launch Vehicle Support; Human Exploration and Development of Space Investments and Support; Space Communications and Data Systems; and Safety, Mission Assurance and Engineering. Beginning in 2004, Safety, Mission Assurance, and Engineering was allocated as an indirect charge to all programs and all other programs (along with the Crosscutting Technologies portion of the Aerospace Technology Enterprise) were rolled into the Space Flight Capabilities account. In 2005, the Space Flight Capabilities account was renamed the Exploration Capabilities account. In 2009, the Exploration Capabilities account was renamed Space Operations. The Human Space Flight account shows spending from balances prior to the account restructuring.

SCIENCE, AERONAUTICS AND TECHNOLOGY

Program and Financing (in millions of dollars)

Identification code 80-0110-0-1-999	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1
1021	Recoveries of prior year unpaid obligations .....	1	.....
1050	Unobligated balance (total) .....	1	1
1930	Total budgetary resources available .....	1	1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2	1
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2	1
3200	Obligated balance, end of year .....	1	1

NASA's Science, Aeronautics and Technology account formerly included Space Science, Biological and Physical Research, Earth Science, Aerospace Technology, and Education. Beginning in 2004, Space Science, Biological and Physical Research, Earth Science, the Aeronautics portion of Aerospace Technology, and Education were rolled into the Science, Aeronautics and Exploration account. These programs are now funded by the Aeronautics, Education, Science, and Exploration accounts. The Science, Aeronautics, and Technology account shows spending from balances prior to the account restructuring.

MISSION SUPPORT

Program and Financing (in millions of dollars)

Identification code 80-0112-0-1-999	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	.....
Budget authority:			
Appropriations, discretionary:			
1131	Unobligated balance of appropriations permanently reduced .....	-1	.....

1160	Appropriation, discretionary (total) .....	-1	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	1	1
3200	Obligated balance, end of year .....	1	1	1

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	-1	.....	.....
4180	Budget authority, net (total) .....	-1	.....	.....

NASA's Mission Support account formerly included Research and Program Management and Construction of Facilities. The Mission Support account shows spending from residual construction of facilities balances from prior to 2004.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 80-4546-0-4-252	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Reimbursable program activity .....	275	407	390
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	8	25
1021	Recoveries of prior year unpaid obligations .....	1	7	.....
1050	Unobligated balance (total) .....	6	15	25
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	277	417	388
1750	Spending auth from offsetting collections, disc (total) .....	277	417	388
1930	Total budgetary resources available .....	283	432	413
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	8	25	23
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	27	110	131
3010	Obligations incurred, unexpired accounts .....	275	407	390
3020	Outlays (gross) .....	-191	-379	-401
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-7	.....
3050	Unpaid obligations, end of year .....	110	131	120
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	27	110	131
3200	Obligated balance, end of year .....	110	131	120
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	277	417	388
Outlays, gross:				
4010	Outlays from new discretionary authority .....	186	354	330
4011	Outlays from discretionary balances .....	5	25	71
4020	Outlays, gross (total) .....	191	379	401
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-266	-407	-378
4033	Reimbursable program .....	-11	-10	-10
4040	Offsets against gross budget authority and outlays (total) ....	-277	-417	-388
4080	Outlays, net (discretionary) .....	-86	-38	13
4190	Outlays, net (total) .....	-86	-38	13

The Working Capital Fund provides goods and services on a reimbursable basis. The Fund consists of three program activities: The Solutions for Enterprise-wide Procurement program finances, on an agency-wide basis, scientific and engineering workstation procurement.

The Information Technology Infrastructure Integration Program consolidates and centralizes management of NASA information

WORKING CAPITAL FUND—Continued

technology services in the areas of Tier 1 service desk and ordering, web services and technologies, enterprise business and management applications, integrated network/communications services, end user services, and data center services. This program initiated operations in early FY 2012.

The NASA Shared Services Center performs selected financial management, human resources, information technology, and procurement services for NASA Headquarters and Centers.

Within the NASA Administrative Provisions, an amendment to 51 U.S.C. 30102(c) is proposed to clarify that rebates received by NASA pursuant to government-sponsored and contractor-issued credit card programs (for example, government travel cards and low-dollar procurement purchase cards) may be deposited in the Working Capital Fund at the NASA Shared Services Center, which administers these programs. In a recent audit of the credit card program, the NASA Inspector General concluded that in the absence of such statutory authority, rebates would have to be credited to the appropriation funding the travel or purchase. This conclusion was based on a similar finding and opinion by Department of Treasury's Inspector General for Tax Administration. Enactment of the provisions would eliminate a costly and time-intensive process that largely undercuts the modest financial gain received from the rebate program.

Object Classification (in millions of dollars)

Identification code 80-4546-0-4-252	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	13	14	13
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	1	2	2
25.2 Other services from non-Federal sources .....	59	89	87
25.4 Operation and maintenance of facilities .....	5	7	6
25.7 Operation and maintenance of equipment .....	191	288	275
99.0 Reimbursable obligations .....	273	404	387
99.5 Below reporting threshold .....	2	3	3
99.9 Total new obligations .....	275	407	390

Employment Summary

Identification code 80-4546-0-4-252	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	146	150	146

Trust Funds

SCIENCE, SPACE, AND TECHNOLOGY EDUCATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 80-8978-0-7-503	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	15	15	15
<b>Receipts:</b>			
0240 Earnings on Investments, Science, Space and Technology Education Trust Fund .....	1	1	1
0400 Total: Balances and collections .....	16	16	16
<b>Appropriations:</b>			
0500 Science, Space, and Technology Education Trust Fund .....	-1	-1	-1
0799 Balance, end of year .....	15	15	15

Program and Financing (in millions of dollars)

Identification code 80-8978-0-7-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	1	1
0900 Total new obligations (object class 41.0) .....	1	1	1

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4101 Outlays from mandatory balances .....	1	1	1
4110 Outlays, gross (total) .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	15	15	15
5001 Total investments, EOY: Federal securities: Par value .....	15	15	15

NATIONAL SPACE GRANT PROGRAM

Program and Financing (in millions of dollars)

Identification code 80-8977-0-7-252	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		1	
0100 Direct program activities, subtotal .....		1	
0900 Total new obligations (object class 41.0) .....		1	
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1930 Total budgetary resources available .....	1	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....		1	
3020 Outlays (gross) .....	-1	-1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	1	
4190 Outlays, net (total) .....	1	1	

ADMINISTRATIVE PROVISIONS

Funds for announced prizes otherwise authorized shall remain available, without fiscal year limitation, until a prize is claimed or the offer is withdrawn.

Not to exceed 5 percent of any appropriation made available for the current fiscal year for the National Aeronautics and Space Administration in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: Provided, That any funds transferred to "Construction and Environmental Compliance and Restoration" for construction activities shall not increase that account by more than 20 percent: Provided further, That balances so transferred shall be

merged with and available for the same purposes and the same time period as the appropriations to which transferred : Provided further, That any transfer pursuant to this provision shall be treated as a reprogramming of funds under section 505 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

Section 30102(c) of title 51 of the United States Code, is amended by striking "and" at the end of paragraph (2) and inserting before the period at the end: "; and (4) refunds or rebates received on an on-going basis from a credit card services provider under the National Aeronautics and Space Administration's credit card programs."

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
80-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	3	15	15
General Fund Offsetting receipts from the public .....	3	15	15
Intragovernmental payments:			
80-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-6	.....	.....
General Fund Intragovernmental payments .....	-6	.....	.....



# NATIONAL SCIENCE FOUNDATION

## Federal Funds

### RESEARCH AND RELATED ACTIVITIES

*For necessary expenses in carrying out the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875), and the Act to establish a National Medal of Science (42 U.S.C. 1880–1881); services as authorized by 5 U.S.C. 3109; maintenance and operation of aircraft and purchase of flight services for research support; acquisition of aircraft; and authorized travel; \$6,212,290,000, to remain available until September 30, 2015, of which not to exceed \$520,000,000 shall remain available until expended for polar research and operations support, and for reimbursement to other Federal agencies for operational and science support and logistical and other related activities for the United States Antarctic program: Provided, That receipts for scientific support services and materials furnished by the National Research Centers and other National Science Foundation supported research facilities may be credited to this appropriation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 49–0100–0–1–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Biological Sciences .....	712	712	761
0002 Computer and Information Science and Engineering .....	653	865	950
0003 Engineering .....	825	832	911
0004 EPSCoR .....	151	.....	.....
0005 Geosciences .....	885	1,328	1,394
0006 Mathematical and Physical Sciences .....	1,309	1,310	1,386
0007 Social, Behavioral and Economic Sciences .....	254	254	272
0008 Office of International Science and Engineering .....	50	.....	.....
0009 U.S. Polar Research Programs .....	368	.....	.....
0010 U.S. Antarctic Logistical Support Activities .....	68	.....	.....
0011 International and Integrative Activities .....	198	400	542
0012 Office of Cyberinfrastructure .....	284	.....	.....
0013 Arctic Research Commission .....	1	1	1
0014 CR Increment .....	.....	65	.....
0799 Total direct obligations .....	5,758	5,767	6,217
0801 Reimbursable programs .....	97	124	120
0900 Total new obligations .....	5,855	5,891	6,337
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	17	.....
1021 Recoveries of prior year unpaid obligations .....	85	.....	.....
1050 Unobligated balance (total) .....	92	17	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,719	5,754	6,212
1120 Appropriations transferred to other accts [49–0551] .....	–30	.....	.....
1121 Appropriations transferred from other accts [15–0401] .....	.....	.....	5
1160 Appropriation, discretionary (total) .....	5,689	5,754	6,217
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	68	120	120
1701 Change in uncollected payments, Federal sources .....	25	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	93	120	120
1900 Budget authority (total) .....	5,782	5,874	6,337
1930 Total budgetary resources available .....	5,874	5,891	6,337
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–2	.....	.....
1941 Unexpired unobligated balance, end of year .....	17	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,586	9,507	8,706
3010 Obligations incurred, unexpired accounts .....	5,855	5,891	6,337
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	–5,813	–6,692	–6,026
3040 Recoveries of prior year unpaid obligations, unexpired .....	–85	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–38	.....	.....
3050 Unpaid obligations, end of year .....	9,507	8,706	9,017

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–127	–125	–125
3070 Change in uncollected pymts, Fed sources, unexpired .....	–25	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	27	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–125	–125	–125
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9,459	9,382	8,581
3200 Obligated balance, end of year .....	9,382	8,581	8,892
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,782	5,874	6,337
Outlays, gross:			
4010 Outlays from new discretionary authority .....	842	1,271	1,364
4011 Outlays from discretionary balances .....	4,971	5,421	4,662
4020 Outlays, gross (total) .....	5,813	6,692	6,026
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–93	–120	–120
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–25	.....	.....
4052 Offsetting collections credited to expired accounts .....	25	.....	.....
4070 Budget authority, net (discretionary) .....	5,689	5,754	6,217
4080 Outlays, net (discretionary) .....	5,720	6,572	5,906
4180 Budget authority, net (total) .....	5,689	5,754	6,217
4190 Outlays, net (total) .....	5,720	6,572	5,906

The Research and Related Activities appropriation enables the United States to provide leadership and promote progress across the expanding frontiers of scientific and engineering research and education.

The major research program activities of NSF are:

**Biological Sciences.**—This activity promotes scientific progress in biology through support of research on all levels, including molecules, cells, organisms, and ecosystems. This activity also supports a comprehensive research initiative on plant genomes, including research on economically significant crops.

**Computer and Information Science and Engineering.**—This activity supports investigator initiated research in all areas of computer and information science and engineering, helps develop and maintain cutting-edge national computational and information infrastructure for research and education generally, and contributes to the education and training of the next generation of computer and computational engineers.

**Engineering.**—Research supported by this activity aims to increase U.S. engineering capability and strength, and focus that capability and strength on areas that are relevant to national problems and long-term needs. This activity also includes small business innovation research.

**Geosciences.**—This activity supports research and associated infrastructure to advance knowledge of the properties and dynamics of the planet on which we live. Research includes understanding the causes and implications of climate change, as well as disruptive processes such as earthquakes and storms. Additionally, this activity supports Arctic and Antarctic research and operational science support and other related activities for United States polar research programs, including the funding to reimburse Federal agencies for logistical and other related activities supported by the United States Antarctic Program.

**Mathematical and Physical Sciences.**—Research in this activity is directed at increasing understanding of natural laws and phenomena across the astronomical sciences, chemistry, materials sciences, mathematical sciences, and physics. This fundamental research provides the long-term underpinnings for advances in areas such as sustainable energy and economic competitiveness.

RESEARCH AND RELATED ACTIVITIES—Continued

*Social, Behavioral, and Economic Sciences.*—This activity supports research, education, and infrastructure in the social, behavioral, cognitive, and economic sciences and funds the collection and dissemination of statistics on the science and engineering enterprise.

*Office of International and Integrative Activities.*—This activity supports emerging cross disciplinary research efforts; major research instrumentation; and promotes an integrated strategy for international science and engineering that complements and enhances NSF's broader research and education goals and facilitates international collaboration. This activity also provides support for the Science and Technology Policy Institute. The Experimental Program to Stimulate Competitive Research broadens participation of States and regions in science and engineering by helping institutions expand their research capacity and competitiveness.

The *United States Arctic Research Commission* promotes Arctic research and recommends national Arctic research policies to guide Federal agencies in developing and implementing their research programs in the Arctic region.

Object Classification (in millions of dollars)

Identification code 49-0100-0-1-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	18	17	17
25.1 Advisory and assistance services .....	99	99	95
25.2 Other services from non-Federal sources .....	7	7	7
25.3 Other goods and services from Federal sources .....	38	38	38
25.4 Operation and maintenance of facilities .....	338	339	343
25.5 Research and development contracts .....	6	6	6
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	5,249	5,258	5,708
99.0 Direct obligations .....	5,758	5,767	6,217
99.0 Reimbursable obligations .....	97	124	120
99.9 Total new obligations .....	5,855	5,891	6,337

Employment Summary

Identification code 49-0100-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3

MAJOR RESEARCH EQUIPMENT AND FACILITIES CONSTRUCTION

For necessary expenses for the acquisition, construction, commissioning, and upgrading of major research equipment, facilities, and other such capital assets pursuant to the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875), including authorized travel, \$210,120,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 49-0551-0-1-251	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Major Research Equipment and Facilities Construction .....	198	169	210
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	2	1	

Budget authority:

Appropriations, discretionary:			
1100 Appropriation .....	167	168	210
1121 Appropriations transferred from other accts [49-0100] ....	30		
1160 Appropriation, discretionary (total) .....	197	168	210
1930 Total budgetary resources available .....	199	169	210
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	494	415	232
3010 Obligations incurred, unexpired accounts .....	198	169	210
3020 Outlays (gross) .....	-276	-352	-135
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	415	232	307
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	494	415	232
3200 Obligated balance, end of year .....	415	232	307

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	197	168	210
Outlays, gross:			
4010 Outlays from new discretionary authority .....		10	13
4011 Outlays from discretionary balances .....	276	342	122
4020 Outlays, gross (total) .....	276	352	135
4180 Budget authority, net (total) .....	197	168	210
4190 Outlays, net (total) .....	276	352	135

The Major Research Equipment and Facilities Construction activity supports the acquisition, construction, and commissioning of unique national research platforms and major research facilities and equipment. Performance of each construction project is measured against an established baseline at regular intervals and at major milestones.

Object Classification (in millions of dollars)

Identification code 49-0551-0-1-251	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.5 Research and development contracts .....	1	1	1
41.0 Grants, subsidies, and contributions .....	197	168	209
99.9 Total new obligations .....	198	169	210

AGENCY OPERATIONS AND AWARD MANAGEMENT

For agency operations and award management necessary in carrying out the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875); services authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; not to exceed \$8,280 for official reception and representation expenses; uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; rental of conference rooms in the District of Columbia; and reimbursement of the Department of Homeland Security for security guard services; \$304,290,000: Provided, That contracts may be entered into under this heading in fiscal year 2014 for maintenance and operation of facilities, and for other services, to be provided during the next fiscal year.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 49-0180-0-1-251	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Agency Operations and Award Management .....	299	301	304
0801 Reimbursable programs .....	6	10	10
0900 Total new obligations .....	305	311	314

<b>Budgetary Resources:</b>			
Budget authority:			
1100	Appropriations, discretionary:		
	Appropriation .....	299	301
1160	Appropriation, discretionary (total) .....	299	301
1700	Spending authority from offsetting collections, discretionary:		
	Collected .....	6	10
1750	Spending auth from offsetting collections, disc (total) .....	6	10
1900	Budget authority (total) .....	305	311
1930	Total budgetary resources available .....	305	311
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	73	73
3010	Obligations incurred, unexpired accounts .....	305	311
3020	Outlays (gross) .....	-302	-326
3041	Recoveries of prior year unpaid obligations, expired .....	-3	
3050	Unpaid obligations, end of year .....	73	58
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	
3071	Change in uncollected pymts, Fed sources, expired .....	1	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	72	73
3200	Obligated balance, end of year .....	73	58

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	305	311
Outlays, gross:			
4010	Outlays from new discretionary authority .....	245	256
4011	Outlays from discretionary balances .....	57	70
4020	Outlays, gross (total) .....	302	326
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-7	-10
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	1	
4070	Budget authority, net (discretionary) .....	299	301
4080	Outlays, net (discretionary) .....	295	316
4180	Budget authority, net (total) .....	299	301
4190	Outlays, net (total) .....	295	316

This account funds NSF's scientific, professional, and administrative workforce, the physical and technological infrastructure necessary for a productive, safe and secure work environment, and the essential business operations critical to NSF's administrative processes.

**Object Classification** (in millions of dollars)

Identification code 49-0180-0-1-251	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	143	146
11.3	Other than full-time permanent .....	13	13
11.5	Other personnel compensation .....	2	2
11.8	Special personal services payments .....		1
11.9	Total personnel compensation .....	158	162
12.1	Civilian personnel benefits .....	42	42
21.0	Travel and transportation of persons .....	5	6
23.1	Rental payments .....	26	27
23.3	Communications, utilities, and miscellaneous charges .....	3	2
25.1	Advisory and assistance services .....	43	44
25.2	Other services from non-Federal sources .....	9	8
25.3	Other goods and services from Federal sources .....	7	2
26.0	Supplies and materials .....	4	4
31.0	Equipment .....	2	4
99.0	Direct obligations .....	299	301
99.0	Reimbursable obligations .....	6	10
99.9	Total new obligations .....	305	311

**Employment Summary**

Identification code 49-0180-0-1-251	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	1,320	1,323

**OFFICE OF THE NATIONAL SCIENCE BOARD**

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, and the employment of experts and consultants under section 3109 of title 5, United States Code) involved in carrying out section 4 of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1863) and Public Law 86-209 (42 U.S.C. 1880 et seq.), \$4,470,000: Provided, That not to exceed \$2,500 shall be available for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 49-0350-0-1-251	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	4	4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	4	4
1160	Appropriation, discretionary (total) .....	4	4
1930	Total budgetary resources available .....	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1
3010	Obligations incurred, unexpired accounts .....	4	4
3020	Outlays (gross) .....	-4	-3
3050	Unpaid obligations, end of year .....	1	2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	1
3200	Obligated balance, end of year .....	1	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	4	4
Outlays, gross:			
4010	Outlays from new discretionary authority .....	3	3
4011	Outlays from discretionary balances .....	1	
4020	Outlays, gross (total) .....	4	3
4180	Budget authority, net (total) .....	4	4
4190	Outlays, net (total) .....	4	3

This appropriation provides policy-making and related responsibilities for NSF and provides guidance on significant national policy issues in science and engineering research and education, as required by law.

**Object Classification** (in millions of dollars)

Identification code 49-0350-0-1-251	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	2	2
12.1	Civilian personnel benefits .....	1	1
25.1	Advisory and assistance services .....	1	1
99.9	Total new obligations .....	4	4

OFFICE OF THE NATIONAL SCIENCE BOARD—Continued  
**Employment Summary**

Identification code 49-0350-0-1-251	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	17	18	19

**Employment Summary**

Identification code 49-0300-0-1-251	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	78	78	78

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General as authorized by the Inspector General Act of 1978, as amended, \$14,320,000, to remain available until September 30, 2014, of which not to exceed \$400,000, shall remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 49-0300-0-1-251	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	15	15	14
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14	14	14
1160 Appropriation, discretionary (total) .....	14	14	14
1930 Total budgetary resources available .....	16	15	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	4
3010 Obligations incurred, unexpired accounts .....	15	15	14
3020 Outlays (gross) .....	-15	-14	-13
3050 Unpaid obligations, end of year .....	3	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	4
3200 Obligated balance, end of year .....	3	4	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	14	14	14
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	11	11
4011 Outlays from discretionary balances .....	3	3	2
4020 Outlays, gross (total) .....	15	14	13
4180 Budget authority, net (total) .....	14	14	14
4190 Outlays, net (total) .....	15	14	13

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement consistent with the Inspector General Act of 1978, as amended (5 U.S.C. App. 3).

**Object Classification** (in millions of dollars)

Identification code 49-0300-0-1-251	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	4	4	3
99.9 Total new obligations .....	15	15	14

EDUCATION AND HUMAN RESOURCES

For necessary expenses in carrying out science, mathematics and engineering education and human resources programs and activities pursuant to the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875), including services as authorized by 5 U.S.C. 3109, authorized travel, and rental of conference rooms in the District of Columbia, \$880,290,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 49-0106-0-1-251	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Undergraduate education .....	304		
0002 Graduate education .....	168		
0003 Human resource development .....	124		
0004 Research on learning in formal and informal settings .....	235		
0005 Education and Human Resources .....		835	880
0091 Subtotal Appropriated Activities .....	831	835	880
Mandatory H-1B Immigrant Petitioner Fees Activities:			
0100 Mandatory H-1B Immigrant Petitioner Activities .....	831	835	880
0101 Low income scholarship program .....	73		
0102 ITEST grants for mathematics, science, or engineering enrichment courses .....	21		
0103 Mandatory H-1B Immigrant Petitioner Activities .....		199	100
0191 Subtotal Mandatory H-1B Non-Immigrant Petitioner Fee Activities .....	94	199	100
0200 Total direct obligations .....	925	1,034	980
0799 Total direct obligations .....	925	1,034	980
0801 Reimbursable programs .....	4	19	15
0900 Total new obligations .....	929	1,053	995
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	65	104	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4	5	
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	71	104	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	829	834	880
1120 Appropriations transferred to other accts [49-0106] .....	-55		
1121 Appropriations transferred from other accts [49-0106] .....	55		
1160 Appropriation, discretionary (total) .....	829	834	880
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	129	100	100
1260 Appropriations, mandatory (total) .....	129	100	100
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	15	15
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	4	15	15
1900 Budget authority (total) .....	962	949	995
1930 Total budgetary resources available .....	1,033	1,053	995
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	104		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,934	1,897	1,856
3010 Obligations incurred, unexpired accounts .....	929	1,053	995
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-948	-1,094	-1,012
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		

3041	Recoveries of prior year unpaid obligations, expired .....	-13		
3050	Unpaid obligations, end of year .....	1,897	1,856	1,839
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-11	-11
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-11	-11	-11
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,922	1,886	1,845
3200	Obligated balance, end of year .....	1,886	1,845	1,828
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	833	849	895
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	91	131	139
4011	Outlays from discretionary balances .....	748	828	752
4020	Outlays, gross (total) .....	839	959	891
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-5	-15	-15
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052	Offsetting collections credited to expired accounts .....	2		
4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	829	834	880
4080	Outlays, net (discretionary) .....	834	944	876
Mandatory:				
4090	Budget authority, gross .....	129	100	100
	Outlays, gross:			
4100	Outlays from new mandatory authority .....		11	11
4101	Outlays from mandatory balances .....	109	124	110
4110	Outlays, gross (total) .....	109	135	121
4180	Budget authority, net (total) .....	958	934	980
4190	Outlays, net (total) .....	943	1,079	997

The Education and Human Resources (EHR) appropriation funds and manages a comprehensive set of programs that further NSF's goals of ensuring a diverse, globally competitive U.S. science, technology, engineering, and mathematics (STEM) workforce, as well as a scientifically literate population. To advance those goals, EHR collaborates with other NSF research units, federal agencies, and promotes public-private partnerships. EHR supports research on STEM teaching and learning to provide the evidence base for improvements in education at all levels in the STEM disciplines. Supporting development and effective implementation of new learning technologies is also a priority. EHRs pre-K-12 education-research programs, for example, develop and test new instruction materials for students and teachers, which incorporate the latest advances in teaching, learning, and education technologies. STEM teacher-education opportunities occur throughout the full continuum, from pre-service and in-service, through life-long learning. Research programs at the undergraduate level improve curricula, strengthen laboratory courses, enhance faculty effectiveness and lead education reforms in STEM disciplines. Advanced technological education programs strengthen student preparation for the high-technology workforce. Support of graduate-level STEM education primarily includes fellowships and traineeships to sustain U.S. leadership in global science and technology. All EHR programs aim to broaden participation of groups underrepresented in STEM fields by, for example, improving infrastructure and academic programs at minority-serving institutions. STEM-education evaluation activities ensure accountability by developing indicators that measure program impact and informing the education community of best practices and other relevant findings. EHR activities also include programs supported by H-1B non-immigrant visa fees, which provide undergraduate and graduate scholarships in STEM disciplines, improve educational opportunities for students, and provide research opportunities for STEM teachers and students.

**Object Classification** (in millions of dollars)

Identification code 49-0106-0-1-251	2012 actual	2013 CR	2014 est.	
<b>Direct obligations:</b>				
21.0	Travel and transportation of persons .....	4	4	4
25.1	Advisory and assistance services .....	27	27	27
25.2	Other services from non-Federal sources .....	2	2	2
25.3	Other goods and services from Federal sources .....	1	1	1
41.0	Grants, subsidies, and contributions .....	891	1,000	946
99.0	Direct obligations .....	925	1,034	980
99.0	Reimbursable obligations .....	4	19	15
99.9	Total new obligations .....	929	1,053	995

**Trust Funds**

**DONATIONS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 49-8960-0-7-251	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....			
<b>Receipts:</b>				
0220	Donations, National Science Foundation .....	47	25	25
0400	Total: Balances and collections .....	47	25	25
<b>Appropriations:</b>				
0500	Donations .....	-47	-25	-25
0799	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 49-8960-0-7-251	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Atacama Large Millimeter Array .....	19	19	10
0002	Gemini Telescope .....	1	40	15
0003	B&M Gates Foundation .....	5	7	
0004	US Civilian Research .....	32		
0005	Direct program activity .....	1		
0900	Total new obligations .....	58	66	25
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	52	41	
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	47	25	25
1260	Appropriations, mandatory (total) .....	47	25	25
1930	Total budgetary resources available .....	99	66	25
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	41		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	46	53	64
3010	Obligations incurred, unexpired accounts .....	58	66	25
3020	Outlays (gross) .....	-51	-55	-31
3050	Unpaid obligations, end of year .....	53	64	58
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	46	53	64
3200	Obligated balance, end of year .....	53	64	58
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	47	25	25
Outlays, gross:				
4100	Outlays from new mandatory authority .....		15	15
4101	Outlays from mandatory balances .....	51	40	16
4110	Outlays, gross (total) .....	51	55	31
4180	Budget authority, net (total) .....	47	25	25
4190	Outlays, net (total) .....	51	55	31

This account consists of contributions from foreign governments, organizations, and individuals to fund various cooperative efforts

DONATIONS—Continued

in science, research, and education. These efforts include major international projects, such as operation of the Gemini telescopes, and the NSF ocean drilling program. Other smaller activities supported include cooperative research, joint seminars, exchange of senior scientists, short-term research development visits, international conferences, and support for certain special functions of NSF and the National Science Board. These funds are not otherwise available.

Object Classification (in millions of dollars)

Identification code 49-8960-0-7-251	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.4 Operation and maintenance of facilities .....	32	32	16
41.0 Grants, subsidies, and contributions .....	26	34	9
99.9 Total new obligations .....	58	66	25

ADMINISTRATIVE PROVISION

*Not to exceed 5 percent of any appropriation made available for the current fiscal year for the National Science Foundation in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 15 percent by any such transfers. Any transfer pursuant to this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.*

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
49-32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	2	2	2
General Fund Offsetting receipts from the public .....	2	2	2

# OFFICE OF PERSONNEL MANAGEMENT

## Federal Funds

### SALARIES AND EXPENSES

(INCLUDING TRANSFER OF TRUST FUNDS)

*For necessary expenses to carry out functions of the Office of Personnel Management (OPM) pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109; medical examinations performed for veterans by private physicians on a fee basis; rental of conference rooms in the District of Columbia and elsewhere; hire of passenger motor vehicles; not to exceed \$2,500 for official reception and representation expenses; advances for reimbursements to applicable funds of OPM and the Federal Bureau of Investigation for expenses incurred under Executive Order No. 10422 of January 9, 1953, as amended; and payment of per diem and/or subsistence allowances to employees where Voting Rights Act activities require an employee to remain overnight at his or her post of duty, \$95,757,000, of which \$5,704,000 shall remain available until expended for the Enterprise Human Resources Integration project, of which \$642,000 may be for strengthening the capacity and capabilities of the acquisition workforce (as defined by the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 4001 et seq.)), including the recruitment, hiring, training, and retention of such workforce and information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management, and of which \$1,345,000 shall remain available until expended for the Human Resources Line of Business project; and in addition, \$118,578,000 for administrative expenses, to be transferred from the appropriate trust funds of OPM without regard to other statutes, including direct procurement of printed materials, for the retirement and insurance programs, of which \$2,600,000 shall remain available until expended for a retirement case management system: Provided, That the provisions of this appropriation shall not affect the authority to use applicable trust funds as provided by sections 8348(a)(1)(B), and 9004(f)(2)(A) of title 5, United States Code: Provided further, That no part of this appropriation shall be available for salaries and expenses of the Legal Examining Unit of OPM established pursuant to Executive Order No. 9358 of July 1, 1943, or any successor unit of like purpose: Provided further, That the President's Commission on White House Fellows, established by Executive Order No. 11183 of October 3, 1964, may, during fiscal year 2014, accept donations of money, property, and personal services: Provided further, That such donations, including those from prior years, may be used for the development of publicity materials to provide information about the White House Fellows, except that no such donations shall be accepted for travel or reimbursement of travel expenses, or for the salaries of employees of such Commission.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 24-0100-0-1-805	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Employee Services .....	30	31	29
0002 Merit System Audit & Compliance .....	13	14	13
0003 Office of the Chief Financial Officer .....	17	3	3
0004 Office of the Chief Information Officer .....	26	13	8
0005 Executive Services .....	28	23	17
0006 Planning & Policy Analysis .....	5	9	12
0007 Health and Insurance .....	1	6	13
0100 Total direct program .....	120	99	95
0799 Total direct obligations .....	120	99	95
0801 Trust Fund activity .....	235	114	119
0900 Total new obligations .....	355	213	214
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	98	99	96
1160 Appropriation, discretionary (total) .....	98	99	96
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	228	114	119
1701 Change in uncollected payments, Federal sources .....	43		
1750 Spending auth from offsetting collections, disc (total) .....	271	114	119
1900 Budget authority (total) .....	369	213	215
1930 Total budgetary resources available .....	375	219	221
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-14		
1941 Unexpired unobligated balance, end of year .....	6	6	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	167	137	129
3010 Obligations incurred, unexpired accounts .....	355	213	214
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-372	-221	-236
3041 Recoveries of prior year unpaid obligations, expired .....	-15		
3050 Unpaid obligations, end of year .....	137	129	107
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-110	-116	-116
3070 Change in uncollected pymts, Fed sources, unexpired .....	-43		
3071 Change in uncollected pymts, Fed sources, expired .....	37		
3090 Uncollected pymts, Fed sources, end of year .....	-116	-116	-116
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	57	21	13
3200 Obligated balance, end of year .....	21	13	-9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	369	213	215
Outlays, gross:			
4010 Outlays from new discretionary authority .....	277	200	203
4011 Outlays from discretionary balances .....	95	21	33
4020 Outlays, gross (total) .....	372	221	236
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-254	-114	-119
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-43		
4052 Offsetting collections credited to expired accounts .....	26		
4060 Additional offsets against budget authority only (total) .....	-17		
4070 Budget authority, net (discretionary) .....	98	99	96
4080 Outlays, net (discretionary) .....	118	107	117
4180 Budget authority, net (total) .....	98	99	96
4190 Outlays, net (total) .....	118	107	117

The Office of Personnel Management's (OPM) mission is to help agencies build an effective Federal civilian workforce based on merit system principles. OPM leads Federal agencies in the strategic management of their human resources, proposes and implements human resources management policy, and provides agencies with ongoing advice and technical assistance to implement these policies and initiatives. OPM also supports veterans' preference in Federal hiring and manages the process for personnel security and background checks for suitability and national security clearances. OPM continues to honor the Government's commitment to employees by managing the trust funds that support the retirement and insurance benefits they earn, and delivering excellent benefit services and support to civil servants both during and after their Federal careers. The 2014 Budget will permit OPM to pursue long-term human resources strategies that deliver results and enhances the values of the civil service.

The functions and objectives of the OPM major organizations are:

**Employee Services.**—Provides leadership and guidance to Federal agencies on Government-wide human resource policies. Specifically, ES provides direction and guidance on staffing,

SALARIES AND EXPENSES—Continued

classification, pay, leave, performance management, training, executive resources, and employee and labor relations programs. Employee Services is responsible for issuing Government-wide policy on reciprocity of suitability investigations and suitability standards across government. ES also manages the operations of OPM's internal human resources program.

**Merit System Audit and Compliance.**—Ensures that Federal agency human resources programs are effective and meet merit system principles and related civil service requirements. Merit System Audit and Compliance also manages the Combined Federal Campaign and provides Federal observers to monitor the election process in areas designated by the U.S. Attorney General.

**Retirement Services.**—Administers the Civil Service Retirement System (CSRS) and the Federal Employee Retirement System (FERS), serving Federal retirees and survivors who receive monthly annuity payments. Retirement program activities will continue to focus on making initial eligibility determinations, adjudications, post retirement changes, and survivor processing based on applicable laws and regulations. The 2014 Budget permits increased staffing levels to process funding to maintain timely processing of retirement claims and provide services to Federal annuitants.

**Policy and Planning Analysis.**— Provides guidance on proposing and developing new program-related legislation, writing program regulations, and developing policy guidance for Federal agencies, insurance carriers and program beneficiaries.

**Healthcare and Insurance.**— Administers Federal Employees Health Benefit Program (FEHBP) health benefits contracts for the Federal government, and administer the Federal Employee Group Life Insurance (FEGLI) program covering employees, retirees and their families. Healthcare and Insurance is also responsible for implementing and overseeing Patient Protection and Affordable Care Act's Multi-State Plan Options.

**Federal Investigative Services.**—Provides investigative products and services for over one hundred Federal agencies to use as the basis for security clearance or suitability decisions as required by Executive Orders and other rules and regulations. Over ninety percent of the Government's background investigations are provided by OPM. This function is completely financed by payment for these services from other Federal agencies through OPM's Revolving Fund.

**Human Resources Solutions.**—Assists Federal agencies in achieving their missions by providing solutions that develop leaders, attract and build a high quality public sector workforce, and transform agencies into high performing organizations. This function is completely financed by payment for these services from other Federal agencies through OPM's revolving fund.

Object Classification (in millions of dollars)

Identification code 24-0100-0-1-805	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	70	44	50
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	5	1	1
11.9 Total personnel compensation .....	76	46	52
12.1 Civilian personnel benefits .....	14	12	14
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	11	14	12
24.0 Printing and reproduction .....	4	1	.....
25.2 Other services from non-Federal sources .....	12	24	15
26.0 Supplies and materials .....	1	.....	.....
31.0 Equipment .....	1	1	1

99.0 Direct obligations .....	120	99	95
99.0 Reimbursable obligations .....	235	114	119
99.9 Total new obligations .....	355	213	214

Employment Summary

Identification code 24-0100-0-1-805	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	844	932	885
2001 Reimbursable civilian full-time equivalent employment .....	1,068	1,167	1,188

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF TRUST FUNDS)

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, including services as authorized by 5 U.S.C. 3109, hire of passenger motor vehicles, \$4,684,000, and in addition, not to exceed \$21,340,000 for administrative expenses to audit, investigate, and provide other oversight of the Office of Personnel Management's retirement and insurance programs, to be transferred from the appropriate trust funds of the Office of Personnel Management, as determined by the Inspector General: Provided, That the Inspector General is authorized to rent conference rooms in the District of Columbia and elsewhere.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 24-0400-0-1-805	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Program oversight (audits, investigations, etc.) .....	3	3	5
0801 Reimbursable program activity .....	20	21	21
0900 Total new obligations .....	23	24	26
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	5
1160 Appropriation, discretionary (total) .....	3	3	5
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	19	21	21
1701 Change in uncollected payments, Federal sources .....	3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	22	21	21
1900 Budget authority (total) .....	25	24	26
1930 Total budgetary resources available .....	25	25	27
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	5	.....
3010 Obligations incurred, unexpired accounts .....	23	24	26
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-26	-29	-25
3050 Unpaid obligations, end of year .....	5	.....	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1	-4	-9
3200 Obligated balance, end of year .....	-4	-9	-8

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	25	24	26
Outlays, gross:				
4010	Outlays from new discretionary authority .....	22	24	25
4011	Outlays from discretionary balances .....	4	5	.....
4020	Outlays, gross (total) .....	26	29	25
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-21	-21	-21
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
4052	Offsetting collections credited to expired accounts .....	2	.....	.....
4060	Additional offsets against budget authority only (total) .....	-1	.....	.....
4070	Budget authority, net (discretionary) .....	3	3	5
4080	Outlays, net (discretionary) .....	5	8	4
4180	Budget authority, net (total) .....	3	3	5
4190	Outlays, net (total) .....	5	8	4

This appropriation provides agency-wide audit, investigation, administrative sanction, and debarment functions to identify program management, contractual, and administrative deficiencies that may create conditions for fraud, waste, abuse, and mismanagement. During 2012, the Office of Inspector General (OIG) activities resulted in positive financial impacts of over 220 million and led to 54 arrests, 63 indictments, 51 criminal convictions, and 827 suspensions or debarments within the Federal Employees Health Benefits Program (FEHBP).

The audits function provides audit services covering agency functions, the FEHBP, the Federal Employees Group Life Insurance (FEGLI) program, the Federal retirement programs, revolving fund programs and operations, and information systems and security audits. Internal agency audits review all facets of agency operations, and include the oversight of the agency financial statement audit. Insurance audits review the operations of health and life insurance carriers, health care providers, pharmacy benefit managers, and insurance subscribers. Information systems audits review general controls, application controls and security within the agency's information systems and programs as well as for the information systems of insurance carriers within the FEHBP.

The investigations function detects and investigates improper and illegal activities involving agency programs, personnel, and operations. A large component of the investigative program involves criminal activities within the FEHBP, retirement and life insurance trust fund programs, as well as the OPM revolving fund programs. Our administrative sanctions program debars and suspends health care providers whose conduct may pose a financial threat to the FEHBP or health and safety risk to FEHBP enrollees and their families.

In 2014, the OIG will continue its audits and investigations of OPM programs, including the FEHBP and retirement trust fund programs, OPM revolving fund programs, and OPM financial statement oversight and other program areas. The OIG will continue to advance its prescription drug audit program, which includes audits of pharmacy benefit managers. Through these audits, the OIG helps the FEHBP recover inappropriate charges, negotiate more favorable contracts, control future cost growth, and improve benefits provided to program enrollees. The OIG will also continue its FEHBP claims data warehouse initiative in 2014. This project streamlines and enhances the various administrative and analytical procedures involved in the oversight of the FEHBP. The purpose of the project is to capture claims data from experience-rated insurance carriers in a data warehouse of health care information. The system's software tools support a variety of analytical procedures, including data mining, using the data in the warehouse. The project has facilitated more

efficient and effective oversight of the FEHBP by enhancing the ability of auditors and investigators to identify improper payments.

Another challenge facing the OIG is the oversight of the vast OPM revolving fund programs, most notably the Federal Investigative Services, responsible for the Federal background investigations which have significant national security implications. The revolving fund programs are projected to spend over 2.0 billion in 2014.

The 2014 Budget includes funds associated with OPM's implementation of the Patient Protection and Affordable Care Act (ACA), including the Indian Health Care Improvement Reauthorization and Extension Act of 2009 (IHCA), which was enacted as part of the ACA. The OIG is currently working with OPM on its implementation of the ACA. It has been proposed that the OIG will be permitted to audit and examine Multi-State Plan Program (MSPP) records and accounts that pertain to the MSPP. The OIG will work with MSPP issuers to carry out audit functions of their fraud detection systems. In addition, in keeping with our current oversight responsibilities, the OIG plans to review the business practices exhibited by the MSPPs and report its findings and recommendations to OPM for further action.

Finally, the Administration proposes a government-wide general provision to expand the authorization of OPM's revolving fund for use the by OIG to audit and provide necessary oversight of that fund.

**Object Classification** (in millions of dollars)

Identification code 24-0400-0-1-805	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	4
12.1 Civilian personnel benefits .....	1	1	1
99.0 Direct obligations .....	3	3	5
99.0 Reimbursable obligations .....	20	21	21
99.9 Total new obligations .....	23	24	26

**Employment Summary**

Identification code 24-0400-0-1-805	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	7	22	15
2001 Reimbursable civilian full-time equivalent employment .....	123	115	114

**GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS**

*For payment of Government contributions with respect to retired employees, as authorized by chapter 89 of title 5, United States Code, and the Retired Federal Employees Health Benefits Act (74 Stat. 849), such sums as may be necessary.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 24-0206-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Government contribution for annuitants benefits (1959 Act) .....	10,683	10,697	11,162
0002 Government contribution for annuitants benefits (1960 Act) .....	.....	1	1
0900 Total new obligations (object class 13.0) .....	10,683	10,698	11,163

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH  
BENEFITS—Continued  
Program and Financing—Continued

Identification code 24-0206-0-1-551	2012 actual	2013 CR	2014 est.	
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	10,683	10,698	11,163
1260	Appropriations, mandatory (total)	10,683	10,698	11,163
1930	Total budgetary resources available	10,683	10,698	11,163
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,176	1,100	1,115
3010	Obligations incurred, unexpired accounts	10,683	10,698	11,163
3020	Outlays (gross)	-10,759	-10,683	-11,238
3050	Unpaid obligations, end of year	1,100	1,115	1,040
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,176	1,100	1,115
3200	Obligated balance, end of year	1,100	1,115	1,040
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	10,683	10,698	11,163
Outlays, gross:				
4100	Outlays from new mandatory authority	9,583	9,763	10,178
4101	Outlays from mandatory balances	1,176	920	1,060
4110	Outlays, gross (total)	10,759	10,683	11,238
4180	Budget authority, net (total)	10,683	10,698	11,163
4190	Outlays, net (total)	10,759	10,683	11,238

This appropriation covers: 1) the Government's share of the cost of health insurance for annuitants as defined in sections 8901 and 8906 of title 5, United States Code; 2) the Government's share of the cost of health insurance for annuitants (who were retired when the Federal employees health benefits law became effective), as defined in the Retired Federal Employees Health Benefits Act of 1960; and 3) the Government's contribution for payment of administrative expenses incurred by OPM in administration of the Act.

The budget authority for this account recognizes the amounts being remitted by the U.S. Postal Service to finance a portion of its post-1971 annuitants' health benefit costs.

	2012 actual	2013 est.	2014 est.
<b>Annuitants:</b>			
FEHB	1,872,000	1,903,000	1,923,000
(USPS non-add)	512,000	475,000	442,000
REHB	436	358	295
Total, annuitants	1,872,436	1,903,358	1,923,295

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE

For payment of Government contributions with respect to employees retiring after December 31, 1989, as required by chapter 87 of title 5, United States Code, such sums as may be necessary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 24-0500-0-1-602	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity	47	46	45
0900	Total new obligations (object class 25.2)	47	46	45

<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	47	46	45
1260	Appropriations, mandatory (total)	47	46	45
1930	Total budgetary resources available	47	46	45

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6	6	
3010	Obligations incurred, unexpired accounts	47	46	45
3020	Outlays (gross)	-47	-52	-45
3050	Unpaid obligations, end of year	6		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6	6	
3200	Obligated balance, end of year	6		

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	47	46	45
Outlays, gross:				
4100	Outlays from new mandatory authority	41	46	45
4101	Outlays from mandatory balances	6	6	
4110	Outlays, gross (total)	47	52	45
4180	Budget authority, net (total)	47	46	45
4190	Outlays, net (total)	47	52	45

Per P.L. 96-427, Federal Employees Group Life Insurance Act of 1980, enacted October 10, 1980, this appropriation finances the Government's share of premiums, which is one-third the cost, for Basic life insurance for annuitants retiring after December 31, 1989, and who are less than 65 years old.

PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND

For financing the unfunded liability of new and increased annuity benefits becoming effective on or after October 20, 1969, as authorized by 5 U.S.C. 8348, and annuities under special Acts to be credited to the Civil Service Retirement and Disability Fund, such sums as may be necessary: Provided, That annuities authorized by the Act of May 29, 1944, and the Act of August 19, 1950 (33 U.S.C. 771-775), may hereafter be paid out of the Civil Service Retirement and Disability Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 24-0200-0-1-805	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0002	Payment of Government share of retirement costs	10,694	9,000	8,900
0003	Transfers for interest on unfunded liability and payment of military service annuities	22,255	23,300	24,200
0005	Spouse equity payment	74	74	74
0900	Total new obligations	33,023	32,374	33,174

<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	22,255	23,300	24,200
1200	Appropriation	10,768	9,074	8,974
1260	Appropriations, mandatory (total)	33,023	32,374	33,174
1930	Total budgetary resources available	33,023	32,374	33,174

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts	33,023	32,374	33,174
3020	Outlays (gross)	-33,023	-32,374	-33,174

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	33,023	32,374	33,174

Outlays, gross:				
4100	Outlays from new mandatory authority .....	33,023	32,374	33,174
4180	Budget authority, net (total) .....	33,023	32,374	33,174
4190	Outlays, net (total) .....	33,023	32,374	33,174

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	33,023	32,374	33,174
Outlays .....	33,023	32,374	33,174
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-34
Outlays .....			-34
<b>Total:</b>			
Budget Authority .....	33,023	32,374	33,140
Outlays .....	33,023	32,374	33,140

The Payment to the Civil Service Retirement and Disability Fund consists of an appropriation and a permanent indefinite authorization to pay the Government's share of retirement costs as defined in the Civil Service Retirement Amendments of 1969 (P.L. 91-93), the Federal Employees Retirement Act of 1986 (P.L. 99-335), and the Civil Service Retirement Spouse Equity Act of 1985 (P.L. 98-615). The payment is made directly from the General Fund of the U.S. Treasury into the Civil Service Retirement and Disability Fund and is in addition to appropriated funds that will be contributed from agency budgets.

**Current Appropriation Payment of Government share of retirement costs.**—P.L. 91-93 provides for an annual appropriation to amortize, over a 30-year period, all increases in Civil Service Retirement System costs resulting from acts of Congress granting new or liberalized benefits, extensions of coverage, or pay raises, exclusive of the effects of cost-of-living adjustments (COLAs). OPM has notified the Secretary of the Treasury each year of such sums as may be necessary to carry out these provisions.

**Permanent Indefinite Authorization.**—Transfers for interest on static unfunded liability and payment of military service annuities.—P.L. 91-93 also provides permanent, indefinite authorization for the Secretary of the Treasury to transfer, on an annual basis, an amount equal to 5 percent interest on the Civil Service Retirement and Disability Funds current statutory unfunded liability, calculated based on static economic assumptions, and annuity disbursements attributable to credit for military service.

**Payments for Spouse Equity.**—The permanent, indefinite authorization also includes a payment in accordance with P.L. 98-615 which provides for the Secretary of the Treasury to transfer an amount equal to the annuities granted to eligible former spouses of annuitants who died between September 1978 and May 1985 who did not elect survivor coverage.

**Financing.**—The unfunded liability of new and increased annuity benefits becoming effective on or after October 20, 1969, as authorized by 5 U.S.C. 8348, and annuities under special Acts to be credited to the Civil Service Retirement and Disability Fund, such sums as may be necessary: *Provided*, That annuities authorized by the Act of May 29, 1944, and the Act of August 19, 1950 (33 U.S.C. 771-775), may hereafter be paid out of the Civil Service Retirement and Disability Fund. (Financial Services and General Government Appropriations Act, 2010.)

**Object Classification** (in millions of dollars)

Identification code 24-0200-0-1-805	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
12.1 Civilian personnel benefits .....	10,768	9,074	8,974
13.0 Benefits for former personnel .....	22,255	23,300	24,200

99.9	Total new obligations .....	33,023	32,374	33,174
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**PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 24-0200-2-1-805	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0003	Transfers for interest on unfunded liability and payment of military service annuities .....			-34
0900	Total new obligations (object class 13.0) .....			-34
<b>Budgetary Resources:</b>				
<b>Budget authority:</b>				
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....			-34
1260	Appropriations, mandatory (total) .....			-34
1930	Total budgetary resources available .....			-34

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3010	Obligations incurred, unexpired accounts .....			-34
3020	Outlays (gross) .....			34

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....			-34
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....			-34
4180	Budget authority, net (total) .....			-34
4190	Outlays, net (total) .....			-34

The 2014 Budget contains a legislative proposal that would reduce Treasury payments to the Civil Service Retirement and Disability Fund for benefits payable under the Civil Service Retirement System (CSRS) and the Federal Employee Retirement System (FERS). The Budget proposes to Increase Existing Employee Contributions to CSRS and FERS Retirement Systems by 1.2% of salaries, phased in over three years, and maintain the employer's share at its current contribution rate. Because Agency FERS Contributions will exceed the amount necessary to satisfy the FERS normal cost percentage, those funds will be credited to the assets of the Civil Service Retirement System in the CSRDF, which in turn will reduce the CSRS Unfunded Liability.

**FLEXIBLE BENEFITS PLAN RESERVE**

**Program and Financing** (in millions of dollars)

Identification code 24-0800-0-1-805	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	FSA FEDS Risk Reserve .....	4	23	24
0900	Total new obligations (object class 25.6) .....	4	23	24
<b>Budgetary Resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	89	97	107
<b>Budget authority:</b>				
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	12	33	34
1850	Spending auth from offsetting collections, mand (total) .....	12	33	34
1930	Total budgetary resources available .....	101	130	141
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	97	107	117

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3010	Obligations incurred, unexpired accounts .....	4	23	24
3020	Outlays (gross) .....	-4	-23	-24

FLEXIBLE BENEFITS PLAN RESERVE—Continued  
Program and Financing—Continued

Identification code 24-0800-0-1-805	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	12	33	34
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	23	24
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-4	-4	-4
4123 Non-Federal sources .....	-8	-29	-30
4130 Offsets against gross budget authority and outlays (total) ....	-12	-33	-34
4170 Outlays, net (mandatory) .....	-8	-10	-10
4190 Outlays, net (total) .....	-8	-10	-10

This account contains reserve resources required under the Office of Personnel Management's contract with the administrator of the Flexible Benefits program. This account is funded by payments from Federal agencies based on the participation of their employees in the program and from net forfeitures, as authorized by the National Defense Authorization Act for Fiscal Year 2004 (P.L. 108-136). Account assets are available to indemnify the administrator when benefit payments exceed contributions, and for program enhancements.

Object Classification (in millions of dollars)

Identification code 24-0800-0-1-805	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	4	23	24

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 24-5391-0-2-551	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	43,707	45,347	47,347
Receipts:			
0240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund .....		3,339	3,521
0241 Earnings on Investments, Postal Service Retiree Health Benefits Fund .....	1,640	1,573	1,528
0242 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....		5,600	5,700
0243 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....			-5,700
0244 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....		-5,600	
0299 Total receipts and collections .....	1,640	4,912	5,049
0400 Total: Balances and collections .....	45,347	50,259	52,396
Appropriations:			
0500 Postal Service Retiree Health Benefits Fund .....	-1,640	-7,173	-7,228
0501 Postal Service Retiree Health Benefits Fund .....	1,640	7,173	7,228
0502 Postal Service Retiree Health Benefits Fund .....		-2,912	-3,199
0599 Total appropriations .....		-2,912	-3,199
0799 Balance, end of year .....	45,347	47,347	49,197

Program and Financing (in millions of dollars)

Identification code 24-5391-0-2-551	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1,640	7,173	7,228
1235 Appropriations precluded from obligation .....	-1,640	-7,173	-7,228
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	43,708	45,347	52,670
5001 Total investments, EOY: Federal securities: Par value .....	45,347	52,670	59,898

The Postal Accountability and Enhancement Act (P.L. 109-435) created the Postal Service Retiree Health Benefits Fund to help fully fund the Postal Service's retiree (annuitant) health benefits liabilities.

This account receives from the Postal Service: 1) the pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108-18) that were held in escrow during 2006; 2) payments defined within P.L. 109-435, and modified by P.L. 111-68, to begin the liquidation of the Postal Service's unfunded liability for post-retirement health benefits; and 3) beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees. This account also receives any surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under the Civil Service Retirement System to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service.

As a result of this health benefits financing system, beginning in 2017, the Postal Service will cease to pay annual premium costs for its post-1971 current annuitants directly to the Employees and Retired Employees Health Benefits Fund. Instead, these premium payments will be paid from amounts that the Postal Service remits to this fund. Payments for a proportion of the premium costs of Postal Service annuitants' pre-1971 service would continue to be paid by the General Fund of the Treasury through the Government Payment for Annuitants, Employees Health Benefits account.

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 24-5391-4-2-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		2,912	3,199
0900 Total new obligations (object class 12.1) .....		2,912	3,199
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1235 Appropriations precluded from obligation .....		2,912	3,199
1260 Appropriations, mandatory (total) .....		2,912	3,199
1930 Total budgetary resources available .....		2,912	3,199
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		2,912	3,199
3020 Outlays (gross) .....		-2,912	-3,199
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		2,912	3,199
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2,912	3,199
4180 Budget authority, net (total) .....		2,912	3,199
4190 Outlays, net (total) .....		2,912	3,199

Under the Postal Accountability and Enhancement Act of 2006 (P.L. 109-435), USPS must make a stream of payments set in statute through 2016 toward paying down retiree health benefit unfunded liabilities, as well as pay annual Federal Employees Health Benefits Program premiums for current retirees. Also under current law, starting in 2017, USPS must pay the per capita accruing costs (or normal cost) to fund future retiree health benefits of current employees and a 40-year amortization of the remaining unfunded liability (UFL) for current retirees. The Budget proposes to shift how the Postal Service (USPS) pre-funds

its retiree health benefits UFL. Under the proposal, starting in 2013, USPS would pay the normal costs for the future retiree health benefits of current employees and also a stream of payments associated with paying down the remaining UFL for current retirees. Further, the Budget would provide USPS temporary financial relief as the 2013 (\$5.6 billion) and 2014 (\$5.7 billion) UFL payments would be adjusted so that USPS would pay through 2014 a total of \$10.6 billion less than what it would have paid to this Fund under current law. USPS would make up this \$10.6 billion payment to the Fund by paying larger amounts in future years through the 40-year amortization of the remaining UFL that starts in 2017. The Budget also proposes to codify two statutory RHB prefunding payments that USPS defaulted on in FY 2012. These defaults, totaling \$11.1 billion, are factored into the 40-year amortization schedule starting in 2017, but currently remain on USPSs financial statements as outstanding liabilities.

This proposal provides the following benefits to USPS: 1) USPS would be provided temporary financial relief in the form of lower payments in 2013 and 2014; 2) The calculations of normal cost and UFL are based on actuarial assumptions (as of fall 2012) that reflect USPS's employee population change since 2006, when the prefunding mechanism was originally adopted (note, however, that the actual annual payments for the normal costs are reset each year based on the number of USPS employees); 3) This Fund would pay the premiums for current USPS retirees now, rather than starting in 2017—this accelerates what would have occurred anyway in 2017 under current law. See also the Postal Service section of this Appendix for information on this proposal.

REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 24-4571-0-4-805	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Talent services .....	998	851	831
0802 Investigation services .....	1,045	1,172	1,189
0803 Leadership capacity services .....	36	.....	.....
0804 Enterprise human resources integration .....	49	58	36
0805 USAJOBS/PMF .....	18	11	11
0806 Presidential Management Fellows .....	.....	4	4
0900 Total new obligations .....	2,146	2,096	2,071
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	413	404	294
1021 Recoveries of prior year unpaid obligations .....	135	.....	.....
1050 Unobligated balance (total) .....	548	404	294
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,166	1,986	1,991
1801 Change in uncollected payments, Federal sources .....	-164	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	2,002	1,986	1,991
1900 Budget authority (total) .....	2,002	1,986	1,991
1930 Total budgetary resources available .....	2,550	2,390	2,285
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	404	294	214
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,141	1,131	1,241
3010 Obligations incurred, unexpired accounts .....	2,146	2,096	2,071
3020 Outlays (gross) .....	-2,021	-1,986	-1,991
3040 Recoveries of prior year unpaid obligations, unexpired .....	-135	.....	.....
3050 Unpaid obligations, end of year .....	1,131	1,241	1,321
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-971	-807	-807
3070 Change in uncollected pymts, Fed sources, unexpired .....	164	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-807	-807	-807

Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	170	324	434
3200 Obligated balance, end of year .....	324	434	514
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,002	1,986	1,991
Outlays, gross:			
4100 Outlays from new mandatory authority .....	887	548	1,991
4101 Outlays from mandatory balances .....	1,134	1,438	.....
4110 Outlays, gross (total) .....	2,021	1,986	1,991
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2,166	-1,986	-1,991
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	164	.....	.....
4170 Outlays, net (mandatory) .....	-145	.....	.....
4190 Outlays, net (total) .....	-145	.....	.....

**Budget Program.**—OPM's Revolving Fund provides financing for investigations, training, and other functions that OPM is authorized or required to perform on a reimbursable basis. OPM programs offer the following:

OPM's Human Resources Solutions (HRS) organization delivers human resources products and services to Federal agencies on a reimbursable basis. These products and services are designed to help Federal agency customers develop leaders committed to public service values, attract and build a top quality public sector workforce and aid in their transformation into high-performing organizations. As a revolving fund program, HRS recovers costs of operations by managing thousands of individual reimbursable agreements from more than 150 Federal Departments and agencies. HRS will continue to provide assisted acquisition services and project management to client agencies in the areas of HR Strategy, Learning Management, Recruitment & Branding, and Training. HRS will serve as the program office for USA Staffing and OPM's Talent Acquisition System for Federal agencies. Also, HRS will continue to partner with agencies to meet their missions by providing effective staff acquisition solutions that attract, assess, and build a high-quality public sector workforce and transform agencies into high performing organizations.

OPM's Office of the Chief Information Officer (CIO) will maintain USAJOBS, the official job site of the Federal government. It is the one-stop source for Federal jobs and employment information. The USAJOBS.gov website has emerged over the last decade as the face of Federal hiring. USAJOBS 3.0 yields alignment of Federal recruiting and hiring. A key element to this strategy is that the product is Government-hosted, with key enterprise components brought under full Government control, while the Federal Government leverages innovation from the private sector. CIO will provide a centralized secure platform that helps to streamline the Federal government's overall hiring process. USAJOBS will continue as a job board and a resume and document repository for candidate information. It will continue to provide extensive Federal employment information to the Public, coordinates recruitment information across agencies and interact with job seekers through the use of Social Media.

OPM's Presidents Management Fellows Program (PMF) is a leadership development program at the entry level for advanced degree candidates. The PMF Program attracts and selects candidates with the goal of developing future government leaders. PMF provides agencies with graduates from a variety of academic disciplines and career paths, who have a clear interest in, and commitment to, excellence in the leadership and management of public policies and programs.

OPM's Federal Investigative Services organization performs personnel background investigative services to determine indi-

REVOLVING FUND—Continued

viduals suitability for Federal civilian, military, and contract employment and eligibility for access to classified national security information. Background investigations are performed for Federal agencies on a fee-for-service basis. Federal Investigative Services conducts over 90 percent of all background investigations for the Federal government. Investigations are a critical step in the Federal hiring process, and can affect hiring or removal decisions based on the individuals fitness and suitability for employment. Based on information gathered in background investigations, Federal agencies also issue security clearances and place individuals in sensitive positions involving national security or the public trust.

OPM revolving fund also partially supports the Human Resources Line of Business and Enterprise Human Resources Integration (EHRI). The Human Resources Line of Business (HR LoB) leads the government-wide transformation of HR Information Technology by focusing on modernization, integration, and performance assessment of shared service centers for HR and payroll. The HR LoB is a model for cross-agency collaboration which achieves HR service delivery improvements and cost savings results. The HR LoB has established public and private Shared Service Centers (SSCs) to provide technology solutions to support multiple agencies with HR information technology, HR management, and back office activities. Six Federal and four private sector SSCs have been selected and established to leverage economies of scale, reduce costs, and increase the quality and consistency of services provided. The EHRI program streamlines and automates the exchange of Federal Employee Human Resources (HR) information Government-wide. It has two primary components. First, the electronic Official Personnel Folder (eOPF) is a web-based application that is capable of storing, processing, and displaying the eOPFs of all current, separated, and retired Federal Employees. The system replaces the existing manual HR process by automating the Federal Government's HR processes and thereby creating a streamlined Federal HR system for all Federal employees. The eOPF will cover the entire Executive Branch with a total user population of more than 1.9M. The eOPF system is offered through a fee-for-service arrangement with implementing agencies. The second component is the Data Warehouse. It consolidates multiple HR data systems into a single corporate data repository in a secure environment, thereby eliminating redundancies across the Federal government. This single data source contains more than 500 data elements on 1.9M Federal employees, and serves as a powerful resource for HR managers, government executives, OMB, and Congress. The system also supports the collection of accurate retirement data through data feeds, and other sources, by creating data standards and reporting requirements contained in the Guide to Retirement Data Reporting. Through a suite of Analytic Tools offered to customer agencies, the Federal government is able to perform workforce analyses and forecasting on the data contained in the Data Warehouse.

**Financing.**—OPM's revolving fund account gains spending authority from agreements with other Federal agencies who are seeking the following services: Human Resources Solutions provides a multitude of HR services to other Federal agencies, which include consulting services, training, staffing programs, vendor management, and administrative law judge services. Individual pricing and fee structures for HR Solutions offerings differ because the business models for each of its products and services vary. USAJOBS is financed by an annual fee assessed to Federal agencies. The fee is based on the Federal agency's pro rata share of total Federal government FTE population supported,

as provided in the Central Personnel Data File (CPDF). PMF Program assesses a fixed fee for each fellow hired by a Federal agency. The Federal Investigative Services provides personnel background investigative services on a fixed price basis to determine individual's fitness or suitability for Federal civilian, military, and contract employment and/or eligibility for a security clearance. EHRI provides two primary service offerings on a fee-for-service basis: the electronic Official Personnel Folder (eOPF), including deployment and hosting services, and a suite of analytical tools enabling agencies to perform workforce analysis and forecasting. EHRI provides customized eOPF systems to other agencies at additional cost, in which the customer pays for ongoing eOPF maintenance. The pricing structure for eOPF maintenance is a fixed price per license (i.e., electronic folder) and is based on the number of active users at the customer agency. The HR LoB has established public and private Shared Service Centers (SSCs) to provide technology solutions to support multiple agencies with HR information technology and HR services and is financed in part by agency contributions from partner agencies.

**Operating Results.**—In fiscal year 2012, OPM's revolving fund businesses revenue total was \$1.994B and the expenses total was \$1.972B which provided a net gain on operations of \$22 million. The cumulative retained income was \$379M. The following revolving fund programs will utilize the resources as follows: The Federal Investigative Services will continue to improve the automation of the background investigation process, USAJOBS will continue the development of the enterprise integration components which will allow agencies the flexibility to connect relevant public and private assessment, on-boarding, and recruitment tools to enhance the functionality of the USAJOBS system, and Human Resources Solutions will invest in research and development for enhance product and services to meet customer demand.

**Object Classification** (in millions of dollars)

Identification code 24-4571-0-4-805	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	254	266	276
11.5 Other personnel compensation .....	14	16	16
11.9 Total personnel compensation .....	268	282	292
12.1 Civilian personnel benefits .....	78	82	84
21.0 Travel and transportation of persons .....	24	22	22
22.0 Transportation of things .....	1		1
23.1 Rental payments to GSA .....	19	19	20
23.3 Communications, utilities, and miscellaneous charges .....	37	37	38
24.0 Printing and reproduction .....	2	1	2
25.2 Other services from non-Federal sources .....	1,693	1,616	1,573
26.0 Supplies and materials .....	8	5	5
31.0 Equipment .....	16	32	34
99.9 Total new obligations .....	2,146	2,096	2,071

**Employment Summary**

Identification code 24-4571-0-4-805	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,304	3,278	3,471

**Trust Funds**

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 24-8135-0-7-602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	797,479	819,753	832,609

Receipts:				
0200	Employee Contributions, Civil Service Retirement and Disability Fund .....	3,012	3,023	3,003
0201	Employee Contributions, Civil Service Retirement and Disability Fund .....			800
0202	District of Columbia Contributions, Civil Service Retirement and Disability Fund .....	28	19	17
0203	Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund .....	655	661	670
0240	Agency Contributions, Civil Service Retirement and Disability Fund .....	21,484	21,824	22,190
0241	Agency Contributions, Civil Service Retirement and Disability Fund .....			-17
0242	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....	3,879	3,600	3,524
0243	FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund .....	392	329	263
0244	Treasury Interest, Civil Service Retirement and Disability Fund .....	34,257	31,302	29,697
0245	General Fund Payment to the Civil Service Retirement and Disability Fund .....	33,023	32,374	33,174
0246	General Fund Payment to the Civil Service Retirement and Disability Fund .....			-34
0247	Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund .....	50	51	52
0299	Total receipts and collections .....	96,780	93,183	93,339
0400	Total: Balances and collections .....	894,259	912,936	925,948
Appropriations:				
0500	Civil Service Retirement and Disability Fund .....	-102	-91	-98
0501	Civil Service Retirement and Disability Fund .....	-96,677	-93,183	-92,590
0502	Civil Service Retirement and Disability Fund .....	22,273	15,547	11,381
0503	Civil Service Retirement and Disability Fund .....		-2,600	-4,430
0599	Total appropriations .....	-74,506	-80,327	-85,737
0799	Balance, end of year .....	819,753	832,609	840,211

**Program and Financing** (in millions of dollars)

Identification code 24-8135-0-7-602	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Annuities .....	73,981	77,352	80,921
0002	Refunds and death claims .....	377	284	288
0003	Administration - operations .....	142	85	92
0004	Transfer to MSPB .....	2	2	2
0005	Administration - OIG .....	4	4	4
0900	Total new obligations .....	74,506	77,727	81,307
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	102	91	98
1160	Appropriation, discretionary (total) .....	102	91	98
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	96,677	93,183	92,590
1235	Portion precluded from balances .....	-22,273	-15,547	-11,381
1260	Appropriations, mandatory (total) .....	74,404	77,636	81,209
1900	Budget authority (total) .....	74,506	77,727	81,307
1930	Total budgetary resources available .....	74,506	77,727	81,307
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6,368	6,817	7,123
3010	Obligations incurred, unexpired accounts .....	74,506	77,727	81,307
3020	Outlays (gross) .....	-74,057	-77,421	-81,025
3050	Unpaid obligations, end of year .....	6,817	7,123	7,405
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6,368	6,817	7,123
3200	Obligated balance, end of year .....	6,817	7,123	7,405
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	102	91	98
Outlays, gross:				
4010	Outlays from new discretionary authority .....	43	91	98
4011	Outlays from discretionary balances .....	58		
4020	Outlays, gross (total) .....	101	91	98
Mandatory:				
4090	Budget authority, gross .....	74,404	77,636	81,209

Outlays, gross:				
4100	Outlays from new mandatory authority .....	67,646	70,804	74,226
4101	Outlays from mandatory balances .....	6,310	6,526	6,701
4110	Outlays, gross (total) .....	73,956	77,330	80,927
4180	Budget authority, net (total) .....	74,506	77,727	81,307
4190	Outlays, net (total) .....	74,057	77,421	81,025

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value .....	803,813	826,555	842,276
5001	Total investments, EOY: Federal securities: Par value .....	826,555	842,276	853,789

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	74,506	77,727	81,307
Outlays .....	74,057	77,421	81,025
Legislative proposal, subject to PAYGO:			
Budget Authority .....		2,600	4,430
Outlays .....		2,600	4,430
Total:			
Budget Authority .....	74,506	80,327	85,737
Outlays .....	74,057	80,021	85,455

The Civil Service Retirement and Disability Fund is the oldest and largest of the four trust funds administered by the Compensation Group. The Fund is financed and structured very differently from the other three trust funds. It is characterized by permanent indefinite budget authority. Budget Authority is the authority to incur obligations and pay expenses which become available to an agency during any fiscal year. Once approved, permanent budget authority is permanently available for all future years. Indefinite budget authority is used when the precise amount of budget authority required cannot be forecast in advance and must thus be determined at some future point in time (e.g., when actual receipts and expenses become known).

The Civil Service Retirement and Disability Fund covers two Federal civilian retirement systems: the Civil Service Retirement System (CSRS) established on May 22, 1920, and the Federal Employees Retirement System (FERS) established on June 6, 1986. The Retirement Fund is a single plan even though there are two different benefit tiers and funding methods. CSRS is basically a defined benefit plan, covering Federal employees hired prior to 1984. CSRS participants do not participate in the Social Security system. FERS is a three-tiered pension program that uses Social Security as a base, provides an additional basic benefit, and includes a thrift savings plan. FERS covers employees hired after 1983 and formerly CSRS-covered employees who elected to join FERS.

The Budget proposes that the United States Patent and Trademark Office (PTO) continue to fund the full cost for retirement benefits for PTO's employees covered under the Civil Service Retirement System.

**Financing.**—The financing of the Retirement Fund is easily the most complex of the four trust funds. CSRS has been financed under a statutory funding method passed by Congress in 1969. This funding method is based on the static economic assumptions of no future inflation, no future general schedule salary increases, and a 5 percent interest rate. Under CSRS, regular employees contribute 7 percent of pay. Law Enforcement Officers, Firefighters, and Congressional employees contribute an extra 0.5 percent of pay, and Members of Congress an extra 1.0 percent of pay. Non-Postal Agencies match the employee contributions. Also under the static funding method for CSRS, the Treasury pays interest on any static unfunded liabilities that are not being financed by the Postal Service. The Treasury also makes payments to amortize, over a 30-year period, any increases in the static unfunded liability due to salary increases for Non-Postal employ-

CIVIL SERVICE RETIREMENT AND DISABILITY FUND—Continued

ees that occurred during the year, and pays for the cost of any benefits attributable to military service for both Postal and Non-Postal employees that were paid out during the year.

FERS is funded under a dynamic entry age funding method as prescribed in Chapter 84 of Title 5, United States Code, and employees and agencies together contribute the full amount of the dynamic normal cost. During fiscal year 2010, the dynamic normal cost was 12.0 percent (employees share, 0.8% and employers share, 11.2%) of pay for regular employees. Effective fiscal year 2012, the normal cost will be 12.7 percent (employees share, 0.8% and employers share, 11.9). On February 22, 2012, President Obama signed into law Public Law (P.L.) 112–96, the Middle Class Tax Relief and Job Creation Act of 2012, which contains provisions related to Federal employee retirement contributions and benefits. P.L. 112–96 increased the FERS employe contribution rate by 2.3% for FERS employees hired (or rehired with less than five years of FERS service) after December 31, 2012. The total normal rate of cost for most newly hired/rehired FERS regular employees and agencies together is still 12.7% of pay (employee share, 3.1% and employer's share, 9.6%). Effective fiscal year 2013, a weighted average normal cost was utilized to corectly capture all other groups of employees and agencies normal cost rates.

This dynamic normal cost is for the defined payment plan only and does not include the cost of Social Security or the Thrift Savings Plan. FERS regular employees contribute a percentage of salary that is equal to the difference between the contribution rate for CSRS employees, as set forth above, and the tax rate under the Old Age, Survivors and Disability Insurance (OASDI) portion of Social Security, which until recently was 4.2 percent of pay. In December 2010, Prseident Obama signed The Middle Class Tax Relief Act of 2010 (P.L. 111–312) which reduced the FERS employees' Social Security payroll tax rate from 6.2 percent to 4.2 percent for two years (2011 and 2012). The Social Security rate reduction was temporary and was not extended as part of the American taxpayer Relief Act. Therefore, the 2013 Social Security tax rate will revert back to the historical level of 6.2 percent.

The Budget proposes amendments to employer and employee FERS and CSRS contributions, as well as the introduction of a FERS phased retirement program and elimination of the FERS annuity Supplement for new federal employees, described in legislative proposal sections, below.

	2012 actual	2013 est.	2014 est.
Active employees .....	2,784,000	2,733,000	2,706,000
Annuitants:			
Employees .....	1,950,514	1,982,388	2,033,580
Survivors .....	593,770	581,605	569,971
Total, annuitants .....	2,544,284	2,563,993	2,603,551

Status of Funds (in millions of dollars)

Identification code 24–8135–0–7–602	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	803,848	826,571	839,733
0199 Total balance, start of year .....	803,848	826,571	839,733
Cash income during the year:			
Current law:			
Receipts:			
1200 Employee Contributions, Civil Service Retirement and Disability Fund .....	3,012	3,023	3,003
1202 District of Columbia Contributions, Civil Service Retirement and Disability Fund .....	28	19	17
1203 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund .....	655	661	670

1240 Offsetting receipts (intragovernmental):			
Agency Contributions, Civil Service Retirement and Disability Fund .....	21,484	21,824	22,190
1242 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....	3,879	3,600	3,524
1243 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund .....	392	329	263
1244 Treasury Interest, Civil Service Retirement and Disability Fund .....	34,257	31,302	29,697
1245 General Fund Payment to the Civil Service Retirement and Disability Fund .....	33,023	32,374	33,174
1247 Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund .....	50	51	52
1299 Income under present law .....	96,780	93,183	92,590
Proposed legislation:			
Receipts:			
2201 Employee Contributions, Civil Service Retirement and Disability Fund .....			800
Offsetting receipts (intragovernmental):			
2241 Agency Contributions, Civil Service Retirement and Disability Fund .....			-17
2246 General Fund Payment to the Civil Service Retirement and Disability Fund .....			-34
2299 Income under proposed legislation .....			749
3299 Total cash income .....	96,780	93,183	93,339
Cash outgo during year:			
Current law:			
4500 Civil Service Retirement and Disability Fund .....	-74,057	-77,421	-81,025
4599 Outgo under current law (-) .....	-74,057	-77,421	-81,025
Proposed legislation:			
5500 Civil Service Retirement and Disability Fund .....		-2,600	-4,430
5599 Outgo under proposed legislation (-) .....		-2,600	-4,430
6599 Total cash outgo (-) .....	-74,057	-80,021	-85,455
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	16	-2,543	-6,172
8701 Civil Service Retirement and Disability Fund .....	826,555	842,276	853,789
8799 Total balance, end of year .....	826,571	839,733	847,617

Object Classification (in millions of dollars)

Identification code 24–8135–0–7–602	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	148	91	98
42.0 Insurance claims and indemnities .....	73,981	77,352	80,921
44.0 Refunds and death claims .....	377	284	288
99.9 Total new obligations .....	74,506	77,727	81,307

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 24–8135–4–7–602	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0006 USPS Refund .....		2,600	4,430
0900 Total new obligations (object class 44.0) .....		2,600	4,430
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1203 Appropriation (previously unavailable) .....		2,600	4,430
1260 Appropriations, mandatory (total) .....		2,600	4,430
1930 Total budgetary resources available .....		2,600	4,430
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		2,600	4,430
3020 Outlays (gross) .....		-2,600	-4,430
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross .....		2,600	4,430
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2,600	4,430
4180 Budget authority, net (total) .....		2,600	4,430
4190 Outlays, net (total) .....		2,600	4,430

The Budget proposes to return to the United States Postal Service (USPS) surplus amounts it has paid into its Office of Personnel Management (OPM) account for its share of Federal Employee Retirement System costs, and requires that OPM calculate these costs using factors specific to the demographics of the Postal Service workforce. OPM has determined this surplus, as of September 30, 2011, and based on government-wide demographic assumptions, is approximately \$2.6 billion. Given the amount of time necessary for OPM to re-calculate this surplus to account for Postal-specific factors, the Budget would provide the current OPM calculation in 2013, and the remainder of any recalculated surplus in 2014 and 2015. Until OPM has recalculated the surplus amount using Postal-specific factors, the Budget assumes as a placeholder a total surplus of \$11.5 billion, as estimated by the Postal Service Office of Inspector General in December 2012 (and based on USPS investment returns, salary growth rates, cost of living adjustments granted to Postal retirees, and Postal Service demographic trends). See also the Postal Service section of this Appendix.

The 2014 Budget also contains a legislative proposal that impacts the financing and benefits payable under the Civil Service Retirement System. The Budget proposes to Increase Existing Employee Contributions to CSRS and FERS Retirement Systems by 1.2% of salaries phased in over three years. This proposal would increase the normal cost for employees and maintain the employers share at its current contribution rate. This proposal is projected to save the Federal Government approximately \$20 Billion dollars over a ten-year period (2014 through 2023).

3200	Obligated balance, end of year .....	478	380	394
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2	5	6
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	5	6
4011	Outlays from discretionary balances .....	1	1	.....
4020	Outlays, gross (total) .....	2	6	6
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
Mandatory:				
4090	Budget authority, gross .....	4,259	4,553	4,092
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,858	2,022	2,077
4101	Outlays from mandatory balances .....	814	815	677
4110	Outlays, gross (total) .....	2,672	2,837	2,754
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-550	-517	-515
4121	Interest on Federal securities .....	-1,028	-1,474	-950
4123	Non-Federal sources .....	-2,679	-2,605	-2,630
4130	Offsets against gross budget authority and outlays (total) ....	-4,257	-4,596	-4,095
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-4	41	-3
4160	Budget authority, net (mandatory) .....	-2	-2	-6
4170	Outlays, net (mandatory) .....	-1,585	-1,759	-1,341
4180	Budget authority, net (total) .....	.....	3	.....
4190	Outlays, net (total) .....	-1,583	-1,753	-1,335
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	39,678	41,250	41,522
5001	Total investments, EOY: Federal securities: Par value .....	41,250	41,522	42,843

EMPLOYEES LIFE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 24-8424-0-8-602	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Basic life insurance payments .....	1,569	1,575	1,623
0802	Optional life insurance payments .....	1,143	1,121	1,145
0803	Shenandoah life insurance payments .....	1	1	1
0804	Administration—OPM & OIG .....	2	5	6
0805	Administration—long term care .....	2	2	2
0900	Total new obligations (object class 25.2) .....	2,717	2,704	2,777
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	38,782	40,326	42,180
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	5	6
1750	Spending auth from offsetting collections, disc (total) .....	2	5	6
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	4,255	4,594	4,089
1801	Change in uncollected payments, Federal sources .....	4	-41	3
1850	Spending auth from offsetting collections, mand (total) .....	4,259	4,553	4,092
1900	Budget authority (total) .....	4,261	4,558	4,098
1930	Total budgetary resources available .....	43,043	44,884	46,278
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	40,326	42,180	43,501
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	815	858	719
3010	Obligations incurred, unexpired accounts .....	2,717	2,704	2,777
3020	Outlays (gross) .....	-2,674	-2,843	-2,760
3050	Unpaid obligations, end of year .....	858	719	736
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-376	-380	-339
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4	41	-3
3090	Uncollected pymts, Fed sources, end of year .....	-380	-339	-342
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	439	478	380

This fund finances payments to private insurance companies for Federal Employees' Group Life Insurance and expenses of the Office of Personnel Management in administering the program.

The Administration proposes that PTO will fund the accruing costs associated with post-retirement life insurance benefits for PTO's employees.

**Budget program.**—The status of the basic (regular and optional) life insurance program on September 30 is as follows:

	2012 actual	2013 est.	2014 est.
Life insurance in force (in billions of dollars):			
On active employees .....	741.6	735.7	729.9
On retired employees .....	84.6	86.8	89.0
On tribal employees .....	0	0.3	0.6
<b>Total</b> .....	<b>826.2</b>	<b>822.8</b>	<b>819.5</b>
Number of participants (in thousands):			
Active employees .....	2,429	2,395	2,362
Annuitants .....	1,639	1,655	1,671
Tribal employees .....	0	1	2
<b>Total</b> .....	<b>4,068</b>	<b>4,051</b>	<b>4,036</b>

**Financing.**—Non-Postal Service employees, employees of Tribal organizations, and all retirees under 65 pay two-thirds of the premium costs for Basic coverage; agencies and tribal organizations pay the remaining third. Optional and certain post-retirement Basic coverages are paid entirely by enrollees. The status of the reserves at the end of the year is as follows:

	2012 actual	2013 est.	2014 est.
Status of Reserves			
Held in reserve (in millions of dollars):			
Contingency reserve .....	305	305	305
Beneficial association program reserve .....	0	0	0
U.S. Treasury reserve .....	40,770	42,624	42,965
<b>Total reserves</b> .....	<b>41,075</b>	<b>42,929</b>	<b>43,270</b>

**EMPLOYEES LIFE INSURANCE FUND—Continued**  
**Object Classification** (in millions of dollars)

Identification code 24—8424—0—8—602	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources .....	2,717	2,704	2,777
99.0 Reimbursable obligations .....	2,717	2,704	2,777

**EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS**  
**Program and Financing** (in millions of dollars)

Identification code 24—9981—0—8—551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Benefit payments .....	42,602	45,051	47,492
0802 Payments from OPM contingency reserve .....	155	300	300
0803 Government payment for annuitants (1960 Act) .....	1	1	1
0804 Administration - operations .....	16	24	21
0805 Administration - OIG .....	17	17	17
0806 Administration - dental and vision program .....	11	17	17
0900 Total new obligations (object class 25.6) .....	42,802	45,410	47,848

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16,587	18,510	19,109
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	33	41	38
1750 Spending auth from offsetting collections, disc (total) .....	33	41	38
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	44,651	45,912	47,992
1801 Change in uncollected payments, Federal sources .....	41	56	92
1850 Spending auth from offsetting collections, mand (total) .....	44,692	45,968	48,084
1900 Budget authority (total) .....	44,725	46,009	48,122
1930 Total budgetary resources available .....	61,312	64,519	67,231
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18,510	19,109	19,383

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,418	4,622	4,687
3010 Obligations incurred, unexpired accounts .....	42,802	45,410	47,848
3020 Outlays (gross) .....	-42,598	-45,345	-47,814
3050 Unpaid obligations, end of year .....	4,622	4,687	4,721
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,885	-1,926	-1,982
3070 Change in uncollected pymts, Fed sources, unexpired .....	-41	-56	-92
3090 Uncollected pymts, Fed sources, end of year .....	-1,926	-1,982	-2,074
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,533	2,696	2,705
3200 Obligated balance, end of year .....	2,696	2,705	2,647

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	33	41	38
Outlays, gross:			
4010 Outlays from new discretionary authority .....	20	41	38
4011 Outlays from discretionary balances .....	13		
4020 Outlays, gross (total) .....	33	41	38
Mandatory:			
4090 Budget authority, gross .....	44,692	45,968	48,084
Outlays, gross:			
4100 Outlays from new mandatory authority .....	38,159	40,684	43,109
4101 Outlays from mandatory balances .....	4,406	4,620	4,667
4110 Outlays, gross (total) .....	42,565	45,304	47,776
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Policy Program [OIG] .....	-31,452	-32,185	-33,597
4121 Interest on Federal securities .....	-163	-321	-239
4123 Non-Federal sources .....	-13,069	-13,447	-14,194
4130 Offsets against gross budget authority and outlays (total) ....	-44,684	-45,953	-48,030
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-41	-56	-92
4160 Budget authority, net (mandatory) .....	-33	-41	-38

4170 Outlays, net (mandatory) .....	-2,119	-649	-254
4190 Outlays, net (total) .....	-2,086	-608	-216

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	19,194	21,261	21,563
5001 Total investments, EOY: Federal securities: Par value .....	21,261	21,563	21,828

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Outlays .....	-2,086	-608	-216
Legislative proposal, not subject to PAYGO:			
Outlays .....			7
Total:			
Outlays .....	-2,086	-608	-209

This display combines FEHB fund and the Retired Employees Health Benefits (REHB) fund.

The FEHB fund provides for the cost of health benefits for: 1) active employees; 2) employees who retired after June 1960, or their survivors; 3) those annuitants transferred from the REHB program as authorized by Public Law 93—246; 4) tribal organizations; and 5) the related expenses of OPM in administering the program.

The REHB fund, created by the Retired Federal Employees Health Benefits Act of 1960, provides for: 1) the cost of health benefits for retired employees and survivors who enroll in a Government-sponsored uniform health benefits plan; 2) the contribution to retired employees and survivors who retain or purchase private health insurance; and 3) expenses of OPM in administering the program.

**Budget program.**—The balance of the FEHB fund is available for payments without fiscal year limitation. Numbers of participants at the end of each fiscal year are as follows:

	2012 actual	2013 est.	2014 est.
Active employees .....	1,723,000	1,719,000	1,719,000
Annuitants .....	1,872,000	1,903,000	1,923,000
Tribal Organizations .....	11,530	17,295	21,619
Total .....	3,606,530	3,639,295	3,663,619

In determining a biweekly subscription rate to cover program costs, one percent is added for administrative expenses and three percent is added for a contingency reserve held by OPM for each carrier. OPM is authorized to transfer unused administrative reserve funds to the contingency reserve.

The REHB fund is available without fiscal year limitation. The amounts contributed by the Government are paid into the fund from annual appropriations. The number of participants at the end of each fiscal year are as follows:

	2012 actual	2013 est.	2014 est.
Uniform plan .....	127	104	86
Private plans .....	309	254	209
Total .....	436	358	295

**Financing.**—The funds are financed by: 1) withholdings from active employees and annuitants; 2) agency contributions for active employees; 3) Government contributions for annuitants appropriated to OPM; and 4) contributions made by the United States Postal Service in accordance with the provisions of Public Law 101—508.

Funds made available to carriers but not used to pay claims in the current period are carried forward as special reserves for use in subsequent periods. OPM maintains a contingency reserve, funded by employee and Government contributions, which may be used to defray future cost increases or provide increased benefits. OPM makes payments to carriers from this reserve

whenever carrier-held reserves fall below levels prescribed by OPM regulations or when carriers can demonstrate good cause such as unexpected claims experience or variations from expected community rates.

The Budget proposes that the Patent and Trademark Office continue to fund the accruing costs associated with post-retirement health benefits for its employees. The Budget also proposes that the Office of Personnel Management (OPM) be given authority to streamline pharmacy benefit contracting within the FEHB program and leverage enrollees' purchasing power to reduce costs and obtain greater value for enrollees.

**Status of Funds** (in millions of dollars)

Identification code 24-9981-0-8-551	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	19,121	21,206	21,814
0199 Total balance, start of year .....	19,121	21,206	21,814
Cash income during the year:			
Current law:			
Offsetting collections:			
1280 Employees and Retired Employees Health Benefits Funds .....	163	321	239
1281 Employees and Retired Employees Health Benefits Funds .....	13,069	13,447	14,194
1282 Employees and Retired Employees Health Benefits Funds .....	31,452	32,185	33,597
1299 Income under present law .....	44,684	45,953	48,030
3299 Total cash income .....	44,684	45,953	48,030
Cash outgo during year:			
Current law:			
4500 Employees and Retired Employees Health Benefits Funds .....	-42,598	-45,345	-47,814
4599 Outgo under current law (-) .....	-42,598	-45,345	-47,814
Proposed legislation:			
5500 Employees and Retired Employees Health Benefits Funds .....			-7
5599 Outgo under proposed legislation (-) .....			-7
6599 Total cash outgo (-) .....	-42,598	-45,345	-47,821
Manual Adjustments:			
7690 Rounding adjustment .....	-1		
7699 Total adjustments .....	-1		
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	-55	251	195
8701 Employees and Retired Employees Health Benefits Funds .....	21,261	21,563	21,828
8799 Total balance, end of year .....	21,206	21,814	22,023

**Object Classification** (in millions of dollars)

Identification code 24-9981-0-8-551	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.6 Medical care .....	42,802	45,410	47,848
99.0 Reimbursable obligations .....	42,802	45,410	47,848

**EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 24-9981-2-8-551	2012 actual	2013 CR	2014 est.
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<b>Obligations by program activity:</b>			
0804 Administration - operations .....			7
0900 Total new obligations (object class 25.6) .....			7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-7

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			7
3020 Outlays (gross) .....			-7

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....			7
4190 Outlays, net (total) .....			7

**Object Classification** (in millions of dollars)

Identification code 24-9981-2-8-551	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.6 Medical care .....			7
99.0 Reimbursable obligations .....			7

**EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS**  
(Legislative proposal, subject to PAYGO)

The health insurance marketplace has changed significantly since the FEHBP was enacted in 1959 and the current governing statute leaves little flexibility for the program to evolve with the changing market. The 2014 Budget proposes that beginning in 2015: employees would be given the option to enroll in a self plus one coverage option rather than being limited to just self or family options; domestic partners of Federal employees and new retirees would be eligible for health benefits; OPM would be authorized to contract with modern types of health plans rather than being limited to the current four statutorily-defined plans reflective of the 1950s insurance market; OPM would be authorized to contract separately for pharmacy benefit management services; and OPM would be given authority to make adjustments to premiums based on an enrollee's tobacco use and/or participation in a wellness program.

**GENERAL FUND RECEIPT ACCOUNT**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
24-32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts: Enacted/requested .....	17	2	2
General Fund Offsetting receipts from the public .....	17	2	2

This account represents general miscellaneous receipts of the Office of Personnel Management and receipts that must be returned to the General Fund of the Treasury.



# SMALL BUSINESS ADMINISTRATION

## Federal Funds

### SALARIES AND EXPENSES

*For necessary expenses, not otherwise provided for, of the Small Business Administration as authorized by Public Law 108-447, including hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344, and not to exceed \$3,500 for official reception and representation expenses, \$485,923,000: Provided, That the Administrator is authorized to charge fees to cover the cost of publications developed by the Small Business Administration, and certain loan program activities, including fees authorized by section 5(b) of the Small Business Act: Provided further, That, notwithstanding 31 U.S.C. 3302, revenues received from all such activities shall be credited to this account, to remain available until expended, for carrying out these purposes without further appropriations: Provided further, That the Small Business Administration may accept gifts in an amount not to exceed \$4,000,000 and may co-sponsor activities, each in accordance with section 132(a) of division K of Public Law 108-447, during fiscal year 2014: Provided further, That \$104,680,000 shall be available to fund grants for performance in fiscal year 2014 or fiscal year 2015 as authorized by section 21 of the Small Business Act, to remain available until September 30, 2015: Provided further, That \$19,850,000 shall remain available until September 30, 2015 for marketing, management, and technical assistance under section 7(m) of the Small Business Act (15 U.S.C. 636(m)(4)) by intermediaries that make microloans under the microloan program: Provided further, That \$6,100,000 shall be available for the Loan Modernization and Accounting System, to be available until September 30, 2015: Provided further, That \$58,050,000, shall be available to make grants to or cooperative agreements with organizations to provide technical assistance to small businesses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

Identification code 73-0100-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Executive direction .....	81	80	83
0002 Capital Access .....	93	91	92
0003 Gov. Contracting/ Bus. Development .....	28	27	27
0004 Entrepreneurial Development .....	9	9	18
0005 Management & Administration .....	23	22	26
0006 Office of Chief Information Officer .....	41	41	46
0007 Regional & district offices .....	100	98	104
0008 Agency wide costs .....	49	53	53
0009 Non credit programs .....	213	192	211
0012 Disaster .....	202	356	192
0900 Total new obligations .....	839	969	852
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	175	83	161
1021 Recoveries of prior year unpaid obligations .....	10	.....	.....
1050 Unobligated balance (total) .....	185	83	161
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	417	420	486
1100 Appropriation .....	.....	20	.....
1160 Appropriation, discretionary (total) .....	417	440	486
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	169	446	192
1700 Collected .....	160	161	166
1750 Spending auth from offsetting collections, disc (total) .....	329	607	358
1900 Budget authority (total) .....	746	1,047	844
1930 Total budgetary resources available .....	931	1,130	1,005
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9	.....	.....
1941 Unexpired unobligated balance, end of year .....	83	161	153

#### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	495	436	300
3010 Obligations incurred, unexpired accounts .....	839	969	852
3011 Obligations incurred, expired accounts .....	11	.....	.....
3020 Outlays (gross) .....	-884	-1,105	-860
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-15	.....	.....
3050 Unpaid obligations, end of year .....	436	300	292
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	495	436	300
3200 Obligated balance, end of year .....	436	300	292

#### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	746	1,047	844
Outlays, gross:			
4010 Outlays from new discretionary authority .....	542	706	536
4011 Outlays from discretionary balances .....	342	399	324
4020 Outlays, gross (total) .....	884	1,105	860
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Baseline Program [Text] .....	-317	-595	-344
4033 Baseline Program [Text] .....	-13	-12	-14
4040 Offsets against gross budget authority and outlays (total) ....	-330	-607	-358
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	417	440	486
4080 Outlays, net (discretionary) .....	554	498	502
4180 Budget authority, net (total) .....	417	440	486
4190 Outlays, net (total) .....	554	498	502

This account funds the administrative expenses of SBA headquarters and field office operations. Appropriations for the administration of the disaster and business loan programs are merged with this account. The 2014 Budget provides \$6.1 million in funding for the continued development of a revised loan management accounting system, which will improve oversight of SBA's more than \$100 billion portfolio of loans and loan guarantees. Funding is also requested for core agency activities, including information technology investments and human capital development. In addition, this account funds non-credit business assistance grant programs.

For 2014, the Budget supports a new entrepreneurial education initiative designed to train and develop small business owners that are poised for growth, as well as the Boots to Business program, which provides entrepreneurship training to America's veterans transitioning to civilian life. The Budget also supports place-based initiatives, such as the Regional Innovation Clusters program and growth accelerators. In addition, the 2014 Budget supports an increase in the number of SBA's Procurement Center Representatives, who will be strategically embedded across the Federal Government to try to increase Federal contracting opportunities for small businesses.

#### Object Classification (in millions of dollars)

Identification code 73-0100-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	189	201	206
11.3 Other than full-time permanent .....	10	10	10
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	203	215	220
12.1 Civilian personnel benefits .....	61	64	65
21.0 Travel and transportation of persons .....	7	7	7
23.1 Rental payments to GSA .....	36	37	37
23.3 Communications, utilities, and miscellaneous charges .....	8	7	8
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	100	82	101

**SALARIES AND EXPENSES—Continued**  
**Object Classification—Continued**

Identification code 73-0100-0-1-376	2012 actual	2013 CR	2014 est.
25.3 Other purchases of goods and services from Government accounts (Disaster Administrative Expenses) .....	202	356	192
26.0 Supplies and materials .....	5	4	5
31.0 Equipment .....	3	3	4
41.0 Grants, subsidies, and contributions .....	213	192	211
99.0 Direct obligations .....	839	968	851
99.0 Reimbursable obligations .....	.....	1	1
99.9 Total new obligations .....	839	969	852

**Employment Summary**

Identification code 73-0100-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,234	3,202	3,311

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$19,400,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 73-0200-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Audit .....	7	7	9
0002 Investigations .....	10	10	10
0003 Management policy .....	1	1	1
0004 General Office/Legal Counsel .....	2	2	2
0900 Total new obligations .....	20	20	22

**Budgetary Resources:**

1000 Unobligated balance brought forward, Oct 1 .....	10	7	9
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	16	16	19
1100 Emergency pursuant to 2011 Budget Control Act, Appropriations Committee. ....	.....	5	.....
1160 Appropriation, discretionary (total) .....	16	21	19
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	17	22	20
1930 Total budgetary resources available .....	27	29	29
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	7	9	7

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	2
3010 Obligations incurred, unexpired accounts .....	20	20	22
3020 Outlays (gross) .....	-20	-21	-22
3050 Unpaid obligations, end of year .....	3	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	3	2
3200 Obligated balance, end of year .....	3	2	2

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	17	22	20
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	16	17	19
4011 Outlays from discretionary balances .....	4	4	3
4020 Outlays, gross (total) .....	20	21	22

Offsets against gross budget authority and outlays:

4030 Offsetting collections (collected) from:			
Baseline Program (Disaster Transfer) .....	-1	-1	-1
4180 Budget authority, net (total) .....	16	21	19
4190 Outlays, net (total) .....	19	20	21

The 2014 Budget proposes \$19.4 million in new budget authority and \$1 million transferred from the Disaster Loans Program account for a total of \$20.4 million for the Office of Inspector General (OIG). This appropriation provides funds for agency-wide audit, investigative, and related functions to promote economy and efficiency in SBA operations and to prevent and detect waste, fraud, and abuse.

**Object Classification** (in millions of dollars)

Identification code 73-0200-0-1-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	11	11	13
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	12	12	14
12.1 Civilian personnel benefits .....	4	4	4
25.2 Other services .....	3	3	3
99.0 Direct obligations .....	19	19	21
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	20	20	22

**Employment Summary**

Identification code 73-0200-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	110	112	119

**OFFICE OF ADVOCACY**

*For necessary expenses of the Office of Advocacy in carrying out the provisions of title II of Public Law 94-305 (15 U.S.C. 634a et seq.) and the Regulatory Flexibility Act of 1980 (5 U.S.C. 601 et seq.), \$8,455,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 73-0300-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	8	9	8

**Budgetary Resources:**

1000 Unobligated balance brought forward, Oct 1 .....	.....	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	9	9	8
1160 Appropriation, discretionary (total) .....	9	9	8
1930 Total budgetary resources available .....	9	10	9
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	1	2
3010 Obligations incurred, unexpired accounts .....	8	9	8
3020 Outlays (gross) .....	-7	-8	-7
3050 Unpaid obligations, end of year .....	1	2	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	.....	1	2
3200 Obligated balance, end of year .....	1	2	3

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	9	9	8
Outlays, gross:				
4010	Outlays from new discretionary authority .....	7	8	7
4180	Budget authority, net (total) .....	9	9	8
4190	Outlays, net (total) .....	7	8	7

The 2014 Budget proposes \$8.455 million in new budget authority. This appropriation provides funds for operations of the Office of Advocacy, in accordance with the Small Business Jobs Act of 2010 (P.L. 111-240). Pursuant to Section 1602 of the Jobs Act, SBA is requesting that the funds remain available until expended.

The Office of Advocacy's advice and small business research help the Federal Government take into account the concerns of small businesses when it develops policies and regulations. The Office's regional advocates support regulatory flexibility at the State level, work with the regional Regulatory Fairness Boards established by the Small Business Regulatory Enforcement Fairness Act, and promote the use of Advocacy research and data products in the curricula of universities and other schools in their respective regions.

**Object Classification** (in millions of dollars)

Identification code 73-0300-0-1-376	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	5	5	5
12.1	Civilian personnel benefits .....	2	2	2
25.2	Other services from non-Federal sources .....	1	2	1
99.9	Total new obligations .....	8	9	8

**Employment Summary**

Identification code 73-0300-0-1-376	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	46	46	46

**SURETY BOND GUARANTEES REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 73-4156-0-3-376	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Reimbursable obligations .....	8	9	10
0900	Total new obligations (object class 42.0) .....	8	9	10
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	59	61	61
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	10	9	10
1750	Spending auth from offsetting collections, disc (total) .....	10	9	10
1900	Budget authority (total) .....	10	9	10
1930	Total budgetary resources available .....	69	70	71
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	61	61	61
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010	Obligations incurred, unexpired accounts .....	8	9	10
3020	Outlays (gross) .....	-7	-9	-10
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	1	1
3200	Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	10	9	10

Outlays, gross:				
4010	Outlays from new discretionary authority .....	5	9	10
4011	Outlays from discretionary balances .....	2		
4020	Outlays, gross (total) .....	7	9	10
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-10	-9	-10
4190	Outlays, net (total) .....	-3		

SBA is authorized to issue bond guarantees to surety companies for construction, service, and supply contracts or work orders, and to reimburse these sureties up to 90 percent of the losses sustained if the contractor defaults. SBA's guarantees provide an incentive for sureties to issue bonds to small contractors who could not otherwise secure them and compete in the contracting industry. It is estimated that there are sufficient funds in reserve to cover the cost of claim defaults in 2014. Therefore, no new appropriated funds are requested in the Budget.

**Balance Sheet** (in millions of dollars)

Identification code 73-4156-0-3-376	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	59	61
Investments in US securities:			
1106	Receivables, net .....	1	2
1999	Total assets .....	60	63
<b>LIABILITIES:</b>			
2201	Non-Federal liabilities: Accounts payable .....	21	23
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	39	40
4999	Total liabilities and net position .....	60	63

**BUSINESS LOANS PROGRAM ACCOUNT**

(INCLUDING TRANSFER OF FUNDS)

For the cost of direct loans, \$4,600,000, to remain available until expended, and for the cost of guaranteed loans as authorized by section 503 of the Small Business Investment Act of 1958 (Public Law 85-699), \$107,000,000, to remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That subject to section 502 of the Congressional Budget Act of 1974, during fiscal year 2014 commitments to guarantee loans under section 503 of the Small Business Investment Act of 1958 shall not exceed \$7,500,000,000: Provided further, That during fiscal year 2014 commitments for general business loans authorized under section 7(a) of the Small Business Act shall not exceed \$17,500,000,000 for a combination of amortizing term loans and the aggregated maximum line of credit provided by revolving loans: Provided further, That during fiscal year 2014 commitments for loans authorized under subparagraph (C) of section 502(7) of the Small Business Investment Act of 1958 (15 U.S.C. 696(7)) shall not exceed \$7,500,000,000: Provided further, That during fiscal year 2014 commitments to guarantee loans for debentures under section 303(b) of the Small Business Investment Act of 1958 shall not exceed \$4,000,000,000: Provided further, That during fiscal year 2014, guarantees of trust certificates authorized by section 5(g) of the Small Business Act shall not exceed a principal amount of \$12,000,000,000. In addition, for administrative expenses to carry out the direct and guaranteed loan programs, \$151,560,000, which shall be paid to the appropriations for Salaries and Expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**BUSINESS LOANS PROGRAM ACCOUNT—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 73-1154-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	8	7	5
0702 Loan guarantee subsidy .....	196	428	128
0705 Reestimates of direct loan subsidy .....	3	2	
0706 Interest on reestimates of direct loan subsidy .....	2	2	
0707 Reestimates of loan guarantee subsidy .....	2,129	643	
0708 Interest on reestimates of loan guarantee subsidy .....	375	207	
0709 Administrative expenses .....	148	149	152
0900 Total new obligations .....	2,861	1,438	285
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	60	77	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	60	60	
1021 Recoveries of prior year unpaid obligations .....	8	20	22
1050 Unobligated balance (total) .....	68	97	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	359	487	263
1160 Appropriation, discretionary (total) .....	359	487	263
Appropriations, mandatory:			
1200 Appropriation .....	2,509	854	
1260 Appropriations, mandatory (total) .....	2,509	854	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	3		
1900 Budget authority (total) .....	2,871	1,341	263
1930 Total budgetary resources available .....	2,939	1,438	285
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	77		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	189	156	258
3010 Obligations incurred, unexpired accounts .....	2,861	1,438	285
3020 Outlays (gross) .....	-2,861	-1,316	-303
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	-20	-22
3041 Recoveries of prior year unpaid obligations, expired .....	-25		
3050 Unpaid obligations, end of year .....	156	258	218
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	189	156	258
3200 Obligated balance, end of year .....	156	258	218
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	362	487	263
Outlays, gross:			
4010 Outlays from new discretionary authority .....	254	336	181
4011 Outlays from discretionary balances .....	98	126	122
4020 Outlays, gross (total) .....	352	462	303
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-3		
Mandatory:			
4090 Budget authority, gross .....	2,509	854	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,509	854	
4180 Budget authority, net (total) .....	2,868	1,341	263
4190 Outlays, net (total) .....	2,858	1,316	303

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 73-1154-0-1-376	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 7(m) Direct Microloans .....	24	43	25
115011 Intermediary Lending Program .....	18		
115999 Total direct loan levels .....	42	43	25
Direct loan subsidy (in percent):			
132001 7(m) Direct Microloans .....	14.71	15.71	18.64
132011 Intermediary Lending Program .....	25.73		
132999 Weighted average subsidy rate .....	19.43	15.71	18.64

Direct loan subsidy budget authority:			
133001 7(m) Direct Microloans .....	4	7	5
133011 Intermediary Lending Program .....	5		
133999 Total subsidy budget authority .....	9	7	5
Direct loan subsidy outlays:			
134001 7(m) Direct Microloans .....	3	3	4
134010 7(m) Direct Microloans — ARRA .....	1		
134011 Intermediary Lending Program .....	2	2	
134999 Total subsidy outlays .....	6	5	4
Direct loan upward reestimates:			
135001 7(m) Direct Microloans .....	1	3	
135007 SBIC Direct Preferred Stock and Fee Loans .....	3		
135010 7(m) Direct Microloans — ARRA .....	1	1	
135999 Total upward reestimate budget authority .....	5	4	
Direct loan downward reestimates:			
137001 7(m) Direct Microloans .....	-3	-5	
137999 Total downward reestimate budget authority .....	-3	-5	
Guaranteed loan levels supportable by subsidy budget authority:			
215002 7(a) General Business Loan Guarantees .....	12,371	14,993	15,653
215004 Section 504 Certified Development Companies Debentures .....	4,411	7,500	6,000
215006 SBIC Debentures .....	1,924	3,000	3,000
215010 Secondary Market Guarantee .....	3,926	12,000	12,000
215015 Secondary Market 504 First Mortgage Guarantees-ARRA .....	568		
215020 7(a) Dealer Floor Plan .....	408	13,001	
215024 7(a) Revolvers .....	32,381	50,237	41,274
215027 504 Commercial Real Estate (CRE) Refinance Program .....	2,246		
215999 Total loan guarantee levels .....	58,235	100,731	77,927
Guaranteed loan subsidy (in percent):			
232002 7(a) General Business Loan Guarantees .....	0.87	1.55	-0.17
232004 Section 504 Certified Development Companies Debentures .....	1.09	2.02	1.71
232006 SBIC Debentures .....	0.00	0.00	0.00
232010 Secondary Market Guarantee .....	0.00	0.00	0.00
232015 Secondary Market 504 First Mortgage Guarantees-ARRA .....	0.00		
232020 7(a) Dealer Floor Plan .....	0.02	0.03	
232024 7(a) Revolvers .....	0.12	0.08	0.06
232027 504 Commercial Real Estate (CRE) Refinance Program .....	0.00		
232999 Weighted average subsidy rate .....	0.33	0.42	0.13
Guaranteed loan subsidy budget authority:			
233002 7(a) General Business Loan Guarantees .....	108	232	-27
233004 Section 504 Certified Development Companies Debentures .....	48	152	103
233020 7(a) Dealer Floor Plan .....		4	
233024 7(a) Revolvers .....	39	40	25
233999 Total subsidy budget authority .....	195	428	101
Guaranteed loan subsidy outlays:			
234002 7(a) General Business Loan Guarantees .....	92	148	3
234004 Section 504 Certified Development Companies Debentures .....	13	65	86
234017 7(a) General Business Loan Guarantees—ARRA .....	2	1	1
234018 Section 504 Certified Development Companies—ARRA .....	6	1	1
234020 7(a) Dealer Floor Plan .....			1
234021 7(a) Dealer Floor Plan—ARRA .....			1
234024 7(a) Revolvers .....	35	35	35
234026 Section 504 Certified Development Companies Debentures—ARRA Ext .....	13	4	4
234028 7(a) Business Loan Guarantees—ARRA Extension .....	37	1	1
234999 Total subsidy outlays .....	198	255	133
Guaranteed loan upward reestimates:			
235002 7(a) General Business Loan Guarantees .....	1,122	161	
235003 7(a) General Business Loan Guarantees—STAR .....	7	3	
235004 Section 504 Certified Development Companies Debentures .....	880	121	
235006 SBIC Debentures .....	38	22	
235007 SBIC Participating Securities .....	1	470	
235008 SBIC New Market Venture Capital .....		11	
235010 Secondary Market Guarantee .....	11	18	
235015 Secondary Market 504 First Mortgage Guarantees-ARRA .....	5	2	
235017 7(a) General Business Loan Guarantees—ARRA .....	53		
235018 Section 504 Certified Development Companies—ARRA .....	149		
235026 Section 504 Certified Development Companies Debentures—ARRA Ext .....	129	10	
235027 504 Commercial Real Estate (CRE) Refinance Program .....	4	31	
235028 7(a) Business Loan Guarantees—ARRA Extension .....	105		
235999 Total upward reestimate budget authority .....	2,504	849	
Guaranteed loan downward reestimates:			
237002 7(a) General Business Loan Guarantees .....	-3	-472	
237004 Section 504 Certified Development Companies Debentures .....	-12	-64	
237006 SBIC Debentures .....	-11	-92	
237007 SBIC Participating Securities .....	-756	-22	
237008 SBIC New Market Venture Capital .....	-3		
237010 Secondary Market Guarantee .....	-43	-20	
237016 ARC Loan Guarantees—ARRA .....	-35	-25	
237017 7(a) General Business Loan Guarantees—ARRA .....		-291	
237018 Section 504 Certified Development Companies—ARRA .....		-87	

237021	7(a) Dealer Floor Plan—ARRA .....	-1		
237026	Section 504 Certified Development Companies Debtentures—ARRA Ext .....	-34		
237028	7(a) Business Loan Guarantees—ARRA Extension .....	-555		
237999	Total downward reestimate subsidy budget authority .....	-863	-1,663	
Administrative expense data:				
3510	Budget authority .....	148	149	146
3590	Outlays from new authority .....	148	149	146

As required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the business loan program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

For 2014, the Budget proposes \$263 million in new budget authority for the Business Loans Program account. This includes \$152 million in administrative expenses funding, \$107 million in credit subsidy for the Section 504 guaranteed loan program, and \$4.6 million in credit subsidy for the direct Microloan Program. Funding for business loan administrative expenses includes support for SBA ONE, a revamped lending platform that will use one set of forms for all 7(a) loans and serve as a one-stop shop for all steps of the lending process.

The Section 7(a) program provides general business credit assistance. The Budget supports \$15.65 billion in 7(a) term loans and \$1.85 billion for 7(a) revolving lines of credit, which are estimated to support \$41.27 billion in total economic activity through draws and repayments over the life of the guarantee. Based on the maximum draw activity at any one time, the base approval amounts are consistent with the authorized program level of \$17.5 billion. The 2014 Budget proposes to waive fees on all small loans under \$150,000 to spur lending in this market. In addition, as part of the Secondary Market Guarantee (SMG) Program, SBA's fiscal agent pools the guaranteed portion of 7(a) loans and sells the securities to investors. This mechanism provides liquidity to lenders participating in the 7(a) loan program. For 2014, the Budget proposes a program level of \$12 billion in such securities.

The Section 504 Certified Development Company program is for long-term, fixed-rate financing, and the requested guaranteed loan program level is \$6 billion in 2014. In addition, the 2014 Budget proposes to reauthorize SBA's 504 Loan Refinancing program, which will help small business lock in low, long-term interest rates on commercial mortgage and equipment debts and free up resources that can be reinvested in their businesses. The Small Business Investment Company (SBIC) program provides credit to support venture capital investments. The Budget proposes a program level of \$4 billion for SBIC Debtentures and a \$25 million program level for direct Microloans.

**Object Classification** (in millions of dollars)

Identification code 73-1154-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	148	149	152
41.0 Grants, subsidies, and contributions .....	2,713	1,289	133

99.9	Total new obligations .....	2,861	1,438	285
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**BUSINESS LOANS PROGRAM ACCOUNT**  
(Legislative proposal, not subject to PAYGO)

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 73-1154-2-1-376	2012 actual	2013 CR	2014 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215027 504 Commercial Real Estate (CRE) Refinance Program .....			7,500
215999 Total loan guarantee levels .....			7,500
Guaranteed loan subsidy (in percent):			
232027 504 Commercial Real Estate (CRE) Refinance Program .....			0.00
232999 Weighted average subsidy rate .....			0.00

**BUSINESS DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 73-4148-0-3-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	42	43	25
0713 Payment of interest to Treasury .....	6	5	5
0740 Negative subsidy obligations .....			1
0742 Downward reestimate paid to receipt account .....	2	4	
0743 Interest on downward reestimates .....	1	1	
0900 Total new obligations .....	51	53	31
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	7	
1021 Recoveries of prior year unpaid obligations .....	7	2	2
1023 Unobligated balances applied to repay debt .....	-33	-9	-2
1050 Unobligated balance (total) .....	1		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	47	53	31
1440 Borrowing authority, mandatory (total) .....	47	53	31
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	39	35	33
1801 Change in uncollected payments, Federal sources .....	1		
1825 Spending authority from offsetting collections applied to repay debt .....	-30	-35	-33
1850 Spending auth from offsetting collections, mand (total) .....	10		
1900 Financing authority (total) .....	57	53	31
1930 Total budgetary resources available .....	58	53	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	63	56	72
3010 Obligations incurred, unexpired accounts .....	51	53	31
3020 Financing disbursements (gross) .....	-51	-35	-35
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	-2	-2
3050 Unpaid obligations, end of year .....	56	72	66
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	52	44	60
3200 Obligated balance, end of year .....	44	60	54

**Financing authority and disbursements, net:**

Mandatory:			
4090 Financing authority, gross .....	57	53	31
Financing disbursements:			
4110 Financing disbursements, gross .....	51	35	35
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account .....	-7	-5	-4
4120 Upward reestimate .....	-3	-3	

**BUSINESS DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 73-4148-0-3-376	2012 actual	2013 CR	2014 est.
4120 Interest on reestimate .....	-2	-2	.....
4122 Interest on uninvested funds .....	-3	-4	-7
4123 Repayments of principal, net .....	-24	-19	-20
4123 Other income .....	.....	-2	-2
4130 Offsets against gross financing auth and disbursements (total) .....	-39	-35	-33
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1	.....	.....
4160 Financing authority, net (mandatory) .....	17	18	-2
4170 Financing disbursements, net (mandatory) .....	12	.....	2
4180 Financing authority, net (total) .....	17	18	-2
4190 Financing disbursements, net (total) .....	12	.....	2

**Status of Direct Loans (in millions of dollars)**

Identification code 73-4148-0-3-376	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....	21	.....	.....
1131 Direct loan obligations exempt from limitation .....	23	43	25
1142 Unobligated direct loan limitation (-) .....	-2	.....	.....
1150 Total direct loan obligations .....	42	43	25
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	126	144	150
1231 Disbursements: Direct loan disbursements .....	41	27	28
1251 Repayments: Repayments and prepayments .....	-23	-21	-22
1290 Outstanding, end of year .....	144	150	156

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 73-4148-0-3-376	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	79	42
Investments in US securities:		
1106 Receivables, net .....	7	3
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	126	144
1405 Allowance for subsidy cost (-) .....	-32	-34
1499 Net present value of assets related to direct loans .....	94	110
1999 Total assets .....	180	155
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	179	155
2105 Other .....	1	.....
2999 Total liabilities .....	180	155
4999 Total liabilities and net position .....	180	155

**BUSINESS GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 73-4149-0-3-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0005 Other Expenses .....	120	75	75
Credit program obligations:			
0711 Default claim payments on principal .....	2,565	2,789	2,600
0712 Default claim payments on interest .....	134	20	20

0713 Payment of interest to Treasury .....	120	100	100
0740 Negative subsidy obligations .....	.....	.....	27
0742 Downward reestimate paid to receipt account .....	617	1,503	.....
0743 Interest on downward reestimates .....	246	161	.....
0791 Direct program activities, subtotal .....	3,682	4,573	2,747
0900 Total new obligations .....	3,802	4,648	2,822

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5,638	4,714	2,924
1023 Unobligated balances applied to repay debt .....	-1,233	.....	.....
1050 Unobligated balance (total) .....	4,405	4,714	2,924
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	337	.....	.....
1440 Borrowing authority, mandatory (total) .....	337	.....	.....
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	4,827	2,858	2,172
1801 Change in uncollected payments, Federal sources .....	-34	.....	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-1,019	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	3,774	2,858	2,172
1900 Financing authority (total) .....	4,111	2,858	2,172
1930 Total budgetary resources available .....	8,516	7,572	5,096
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4,714	2,924	2,274

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	92	39	1,206
3010 Obligations incurred, unexpired accounts .....	3,802	4,648	2,822
3020 Financing disbursements (gross) .....	-3,855	-3,481	-2,599
3050 Unpaid obligations, end of year .....	39	1,206	1,429
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-178	-144	-144
3070 Change in uncollected pymts, Fed sources, unexpired .....	34	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-144	-144	-144
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-86	-105	1,062
3200 Obligated balance, end of year .....	-105	1,062	1,285

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Financing authority, gross .....	4,111	2,858	2,172
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross .....	3,855	3,481	2,599
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Payments from program account .....	-197	-255	-149
4120 Upward reestimate .....	-2,129	-643	.....
4120 Interest on reestimate .....	-375	-207	.....
4122 Interest on uninvested funds .....	-203	-190	-190
4123 Fees .....	-823	-835	-835
4123 Principal .....	-941	-728	-998
4123 Other .....	-159	.....	.....
4130 Offsets against gross financing auth and disbursements (total) .....	-4,827	-2,858	-2,172
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	34	.....	.....
4160 Financing authority, net (mandatory) .....	-682	.....	.....
4170 Financing disbursements, net (mandatory) .....	-972	623	427
4180 Financing authority, net (total) .....	-682	.....	.....
4190 Financing disbursements, net (total) .....	-972	623	427

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 73-4149-0-3-376	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....	36,993	37,493	38,153
2121 Limitation available from carry-forward .....	10,071	.....	.....
2131 Guaranteed loan commitments exempt from limitation .....	48,059	63,238	41,274
2142 Uncommitted loan guarantee limitation .....	-36,888	.....	-1,500
2150 Total guaranteed loan commitments .....	58,235	100,731	77,927
2199 Guaranteed amount of guaranteed loan commitments .....	23,193	35,286	33,744
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	82,197	87,410	93,613

2231	Disbursements of new guaranteed loans .....	19,534	20,986	22,871
2251	Repayments and prepayments .....	-11,114	-11,819	-12,658
<b>Adjustments:</b>				
2261	Terminations for default that result in loans receivable .....	-3,095	-2,789	-2,600
2263	Terminations for default that result in claim payments .....	-183	-175	-163
2264	Other adjustments, net .....	71		
2290	Outstanding, end of year .....	87,410	93,613	101,063
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	74,352	79,629	85,966
<b>Addendum:</b>				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	8,859	8,611	8,360
2331	Disbursements for guaranteed loan claims .....	2,610	2,521	2,350
2351	Repayments of loans receivable .....	-835	-676	-729
2361	Write-offs of loans receivable .....	-2,159	-2,096	-2,016
2364	Other adjustments, net .....	136		
2390	Outstanding, end of year .....	8,611	8,360	7,965

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the Budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 73-4149-0-3-376	2011 actual	2012 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	5,552	4,562
Investments in US securities:			
1106	Receivables, net .....	1,651	843
1206	Non-Federal assets: Receivables, net .....	51	70
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	8,859	8,611
1504	Foreclosed property .....	33	38
1505	Allowance for subsidy cost (-) .....	-6,688	-6,940
1599	Net present value of assets related to defaulted guaranteed loans .....	2,204	1,709
1999	Total assets .....	9,458	7,184
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....	3,729	1,767
2105	Other .....	907	1,658
Non-Federal liabilities:			
2201	Accounts payable .....	81	33
2204	Liabilities for loan guarantees .....	4,741	3,726
2999	Total liabilities .....	9,458	7,184
4999	Total liabilities and net position .....	9,458	7,184

**BUSINESS GUARANTEED LOAN FINANCING ACCOUNT**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 73-4149-2-3-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711	Default claim payments on principal .....		-3
0900	Total new obligations .....		-3
<b>Budgetary Resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		48
1850	Spending auth from offsetting collections, mand (total) .....		48
1900	Financing authority (total) .....		48
1930	Total budgetary resources available .....		48

1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year .....			51
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			-3
3020	Financing disbursements (gross) .....			-3
3050	Unpaid obligations, end of year .....			-6
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			-6
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Financing authority, gross .....			48
Financing disbursements:				
4110	Financing disbursements, gross .....			3
Offsets against gross financing authority and disbursements: Offsetting collections (collected) from:				
4123	Fees .....			-48
4190	Financing disbursements, net (total) .....			-45

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 73-4149-2-3-376	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2111	Limitation on guaranteed loans made by private lenders .....		7,500
2150	Total guaranteed loan commitments .....		7,500
2199	Guaranteed amount of guaranteed loan commitments .....		7,500
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....		
2231	Disbursements of new guaranteed loans .....		2,236
2251	Repayments and prepayments .....		
Adjustments:			
2261	Terminations for default that result in loans receivable .....		-3
2263	Terminations for default that result in claim payments .....		
2290	Outstanding, end of year .....		2,233
<b>Memorandum:</b>			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....		1,900
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....		
2331	Disbursements for guaranteed loan claims .....		3
2351	Repayments of loans receivable .....		
2361	Write-offs of loans receivable .....		-1
2390	Outstanding, end of year .....		2

**BUSINESS LOAN FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 73-4154-0-3-376	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0005	Guaranteed loan default claims .....	1	2	1
0006	Interest to UST .....	4	4	4
0007	Other Expenses .....	1	1	1
0600	Direct program activities, subtotal .....	6	7	6
0900	Total new obligations .....	6	7	6
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	12	
1022	Capital transfer of unobligated balances to general fund .....	-6	-12	
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	2	2	2
1260	Appropriations, mandatory (total) .....	2	2	2
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	16	10	4

**BUSINESS LOAN FUND LIQUIDATING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 73-4154-0-3-376	2012 actual	2013 CR	2014 est.
1820 Capital transfer of spending authority from offsetting collections to general fund .....		-5	
1850 Spending auth from offsetting collections, mand (total) .....	16	5	4
1900 Budget authority (total) .....	18	7	6
1930 Total budgetary resources available .....	18	7	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	5	5
3010 Obligations incurred, unexpired accounts .....	6	7	6
3020 Outlays (gross) .....	-3	-7	-6
3050 Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	5	5
3200 Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	18	7	6
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	7	6
Offsetting against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-16	-10	-4
4180 Budget authority, net (total) .....	2	-3	2
4190 Outlays, net (total) .....	-13	-3	2

**Status of Direct Loans (in millions of dollars)**

Identification code 73-4154-0-3-376	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	17	11	8
1251 Repayments: Repayments and prepayments .....	-1	-1	-1
1263 Write-offs for default: Direct loans .....	-5	-2	-2
1290 Outstanding, end of year .....	11	8	5

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 73-4154-0-3-376	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	37	24	13
2251 Repayments and prepayments .....	-12	-10	-8
2261 Adjustments: Terminations for default that result in loans receivable .....	-1	-1	-1
2290 Outstanding, end of year .....	24	13	4
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	19	10	3
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	40	27	18
2331 Disbursements for guaranteed loan claims .....	1	1	1
2351 Repayments of loans receivable .....	-9	-8	-4
2361 Write-offs of loans receivable .....	-7	-2	-2
2364 Other adjustments, net .....	2		
2390 Outstanding, end of year .....	27	18	13

As required by the Federal Credit Reform Act of 1990, as amended, this account records all cash flows to and from the Government resulting from SBA direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans or loan guarantees made in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet (in millions of dollars)**

Identification code 73-4154-0-3-376	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	8	16
1206 Non-Federal assets: Receivables, net .....	3	6
1601 Direct loans, gross .....	17	11
1603 Allowance for estimated uncollectible loans and interest (-) .....	-2	-1
1699 Value of assets related to direct loans .....	15	10
1701 Defaulted guaranteed loans, gross .....	40	27
1703 Allowance for estimated uncollectible loans and interest (-) .....	-21	-20
1799 Value of assets related to loan guarantees .....	19	7
1901 Other Federal assets: Other assets .....	7	6
1999 Total assets .....	52	45
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	1	
2102 Interest payable .....		4
2104 Resources payable to Treasury .....	50	40
2201 Non-Federal liabilities: Accounts payable .....	1	1
2999 Total liabilities .....	52	45
4999 Total liabilities and net position .....	52	45

**Object Classification (in millions of dollars)**

Identification code 73-4154-0-3-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
33.0 Investments and loans .....	2	3	2
43.0 Interest and dividends .....	4	4	4
99.9 Total new obligations .....	6	7	6

**DISASTER LOANS PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

*For administrative expenses to carry out the direct loan program authorized by section 7(b) of the Small Business Act, \$191,900,000, to remain available until expended, of which \$1,000,000 is for the Office of Inspector General of the Small Business Administration for audits and reviews of disaster loans and the disaster loan programs and shall be paid to the appropriations for the Office of Inspector General; of which \$181,900,000 is for direct administrative expenses of loan making and servicing to carry out the direct loan program, which shall be paid to the appropriations for Salaries and Expenses; and of which \$9,000,000 is for indirect administrative expenses for the direct loan program, which shall be paid to the appropriations for Salaries and Expenses: Provided, That of the funds provided herein, \$158,650,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5122(2)), of which \$800,000 is for the Office of the Inspector General of the Small Business Administration, \$150,650,000 is for direct administrative expenses of loan making and servicing to carry out the direct loan program, and \$7,200,000 is for indirect administrative expenses for the direct loan program: Provided further, That the amount for major disasters under this heading is designated by Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177), as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing (in millions of dollars)**

Identification code 73-1152-0-1-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....		455	93
0705 Reestimates of direct loan subsidy .....	126	24	
0706 Interest on reestimates of direct loan subsidy .....	32	12	

0709	Administrative expenses .....	167	446	192
0900	Total new obligations .....	377	937	285
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	515	445	471
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	515	445	
1021	Recoveries of prior year unpaid obligations .....	31	31	20
1050	Unobligated balance (total) .....	546	476	491
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	117	117	33
1100	Appropriation-Discretionary, Emergency pursuant to 2011 Budget Control Act, Appropriations Committee-ADMIN .....		259	
1100	Appropriation-Discretionary, Emergency pursuant to 2011 Budget Control Act, Appropriations Committee-SUBSIDY .....		520	
1100	Appropriation-Discretionary, Disaster Relief pursuant to 2011 Budget Control Act, Appropriations Committee .....			159
1160	Appropriation, discretionary (total) .....	117	896	192
Appropriations, mandatory:				
1200	Appropriation .....	159	36	
1260	Appropriations, mandatory (total) .....	159	36	
1900	Budget authority (total) .....	276	932	192
1930	Total budgetary resources available .....	822	1,408	683
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	445	471	398

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	58	20	85
3010	Obligations incurred, unexpired accounts .....	377	937	285
3020	Outlays (gross) .....	-384	-841	-313
3040	Recoveries of prior year unpaid obligations, unexpired .....	-31	-31	-20
3050	Unpaid obligations, end of year .....	20	85	37
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	58	20	85
3200	Obligated balance, end of year .....	20	85	37

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	117	896	192
Outlays, gross:				
4010	Outlays from new discretionary authority .....	117	600	192
4011	Outlays from discretionary balances .....	108	205	121
4020	Outlays, gross (total) .....	225	805	313
Mandatory:				
4090	Budget authority, gross .....	159	36	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	159	36	
4180	Budget authority, net (total) .....	276	932	192
4190	Outlays, net (total) .....	384	841	313

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 73-1152-0-1-453	2012 actual	2013 CR	2014 est.	
Direct loan levels supportable by subsidy budget authority:				
115001	Disaster Assistance Loans .....	463	4,100	1,100
115999	Total direct loan levels .....	463	4,100	1,100
Direct loan subsidy (in percent):				
132001	Disaster Assistance Loans .....	11.03	11.11	8.48
132999	Weighted average subsidy rate .....	11.03	11.11	8.48
Direct loan subsidy budget authority:				
133001	Disaster Assistance Loans .....	52	455	93
133999	Total subsidy budget authority .....	52	455	93
Direct loan subsidy outlays:				
134001	Disaster Assistance Loans .....	58	428	88
134999	Total subsidy outlays .....	58	428	88
Direct loan upward reestimates:				
135001	Disaster Assistance Loans .....	156	36	
135002	Economic Injury Disaster Loans—Terrorist Attack .....	2	1	
135999	Total upward reestimate budget authority .....	158	37	
Direct loan downward reestimates:				
137001	Disaster Assistance Loans .....	-2	-24	
137002	Economic Injury Disaster Loans—Terrorist Attack .....		-3	

137999	Total downward reestimate budget authority .....	-2	-27	
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Immediate Disaster Assistance .....		18	
215999	Total loan guarantee levels .....		18	
Guaranteed loan subsidy (in percent):				
232001	Immediate Disaster Assistance .....		1.94	
232999	Weighted average subsidy rate .....		1.94	
Guaranteed loan downward reestimates:				
Administrative expense data:				
3510	Budget authority .....	117	117	167
3590	Outlays from new authority .....	117	117	167

As required by the Federal Credit Reform Act of 1990, as amended, this account records, for loans made pursuant to Section 7(b) of the Small Business Act, as amended, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Disaster loans made pursuant to Section 7(b) of the Small Business Act provide Federal assistance for non-farm, private sector disaster losses. Through the disaster assistance program, SBA helps homeowners, renters, businesses of all sizes, and non-profit organizations pay for the cost of replacing, rebuilding or repairing property damaged by disasters. The program is the only form of SBA financial assistance not limited to small businesses. The program provides subsidized loans of up to 30 years to borrowers who have incurred uninsured physical losses or economic injury as the result of a disaster.

In 2014, the Budget supports \$1.1 billion in loans, the ten-year average for such loans, excluding outlying events. The Budget does not request new credit subsidy budget authority (BA), as SBA has sufficient unobligated balances to support estimated 2014 loan approvals. However, the Budget does request \$159 million in new BA for administrative expenses related to major disasters (pursuant to a determination under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act) and \$33 million for administrative expenses related to non-major disasters. The funding for major disasters will be designated as being for disaster relief under the cap adjustment in section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

**Object Classification** (in millions of dollars)

Identification code 73-1152-0-1-453	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	167	446	192
41.0	Grants, subsidies, and contributions .....	210	491	93
99.9	Total new obligations .....	377	937	285

**DISASTER DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 73-4150-0-3-453	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0003	Other .....	9	12	12
Credit program obligations:				
0710	Direct loan obligations .....	463	4,100	1,100
0713	Payment of interest to Treasury .....	309	350	500
0742	Downward reestimate paid to receipt account .....		23	
0743	Interest on downward reestimates .....	2	4	

**DISASTER DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 73-4150-0-3-453	2012 actual	2013 CR	2014 est.
0791 Direct program activities, subtotal .....	774	4,477	1,600
0900 Total new obligations .....	783	4,489	1,612
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	750	52	1,859
1021 Recoveries of prior year unpaid obligations .....	228	150	99
1023 Unobligated balances applied to repay debt .....	-976		
1050 Unobligated balance (total) .....	2	202	1,958
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	746	3,672	1,007
1440 Borrowing authority, mandatory (total) .....	746	3,672	1,007
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,151	2,652	1,046
1801 Change in uncollected payments, Federal sources .....	-37		
1820 Capital transfer of spending authority from offsetting collections to general fund .....		-178	-500
1825 Spending authority from offsetting collections applied to repay debt .....	-1,027		
1850 Spending auth from offsetting collections, mand (total) .....	87	2,474	546
1900 Financing authority (total) .....	833	6,146	1,553
1930 Total budgetary resources available .....	835	6,348	3,511
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	52	1,859	1,899
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	429	181	420
3010 Obligations incurred, unexpired accounts .....	783	4,489	1,612
3020 Financing disbursements (gross) .....	-803	-4,100	-1,933
3040 Recoveries of prior year unpaid obligations, unexpired .....	-228	-150	-99
3050 Unpaid obligations, end of year .....	181	420	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-58	-21	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	37		
3090 Uncollected pymts, Fed sources, end of year .....	-21	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	371	160	399
3200 Obligated balance, end of year .....	160	399	-21
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	833	6,146	1,553
Financing disbursements:			
4110 Financing disbursements, gross .....	803	4,100	1,933
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account .....	-58	-428	-88
4120 Upward reestimate .....	-126	-24	
4120 Interest on upward reestimate .....	-32	-12	
4122 Interest income from Treasury .....	53	-230	-230
4123 Repayments of principal, net .....	-879	-1,958	-728
4123 Non-Federal sources-Other .....	-3		
4130 Offsets against gross financing auth and disbursements (total) .....	-1,151	-2,652	-1,046
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	37		
4160 Financing authority, net (mandatory) .....	-281	3,494	507
4170 Financing disbursements, net (mandatory) .....	-348	1,448	887
4180 Financing authority, net (total) .....	-281	3,494	507
4190 Financing disbursements, net (total) .....	-348	1,448	887

**Status of Direct Loans (in millions of dollars)**

Identification code 73-4150-0-3-453	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....	4,651	4,188	4,188
1131 Direct loan obligations exempt from limitation .....		4,100	1,100
1143 Unobligated limitation carried forward (P.L. xx) (-) .....	-4,188	-4,188	-4,188
1150 Total direct loan obligations .....	463	4,100	1,100

Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	7,493	7,210	9,109
1231 Disbursements: Direct loan disbursements .....	485	2,640	1,933
1251 Repayments: Repayments and prepayments .....	-607	-584	-728
Write-offs for default:			
1263 Direct loans .....	-163	-157	-198
1264 Other adjustments, net (+ or -) .....	2		
1290 Outstanding, end of year .....	7,210	9,109	10,116

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from disaster direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the Budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 73-4150-0-3-453	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1,281	222
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	7,493	7,210
1405 Allowance for subsidy cost (-) .....	-1,485	-1,402
1499 Net present value of assets related to direct loans .....	6,008	5,808
1999 Total assets .....	7,289	6,030
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	7,287	6,028
2105 Other .....	2	2
2999 Total liabilities .....	7,289	6,030
4999 Total liabilities and net position .....	7,289	6,030

**DISASTER LOANS GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 73-4293-0-3-453	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		1	1
1850 Spending auth from offsetting collections, mand (total) .....		1	1
1900 Financing authority (total) .....		1	1
1930 Total budgetary resources available .....		1	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	2
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....		1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account .....		-1	-1
4190 Financing disbursements, net (total) .....		-1	-1

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 73-4293-0-3-453	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward .....	75	75	57
2143 Uncommitted limitation carried forward .....	-75	-57	-57
2150 Total guaranteed loan commitments .....		18	
2199 Guaranteed amount of guaranteed loan commitments .....		15	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			3
2231 Disbursements of new guaranteed loans .....		18	

2251	Repayments and prepayments .....	-15	-1
2290	Outstanding, end of year .....	3	2
<b>Memorandum:</b>			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	3	2

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the Budget totals.

**DISASTER LOAN FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 73-4153-0-3-453	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101	Interest expense to Treasury .....	1	1
0103	Other Expenses .....	1	.....
0900	Total new obligations (object class 25.2) .....	2	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	5	4
1022	Capital transfer of unobligated balances to general fund .....	-5	-4
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	2	2
1260	Appropriations, mandatory (total) .....	2	2
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	2	4
1820	Capital transfer of spending authority from offsetting collections to general fund .....	.....	-4
1850	Spending auth from offsetting collections, mand (total) .....	2	.....
1900	Budget authority (total) .....	4	2
1930	Total budgetary resources available .....	4	2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	4	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	2
3010	Obligations incurred, unexpired accounts .....	2	1
3050	Unpaid obligations, end of year .....	2	3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	.....	2
3200	Obligated balance, end of year .....	2	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	4	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-2	-4
4180	Budget authority, net (total) .....	2	-2
4190	Outlays, net (total) .....	-2	-4

**Status of Direct Loans** (in millions of dollars)

Identification code 73-4153-0-3-453	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	9	7
1251	Repayments: Repayments and prepayments .....	-2	-2
1263	Write-offs for default: Direct loans .....	.....	-1
1290	Outstanding, end of year .....	7	4

As required by the Federal Credit Reform Act of 1990, as amended, this account records all cash flows to and from the Government resulting from SBA disaster direct loans obligated

prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans or loan guarantees made in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 73-4153-0-3-453	2011 actual	2012 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	5
1601	Direct loans, net .....	9
1603	Allowance for estimated uncollectible loans and interest (-) .....	-1
1699	Value of assets related to direct loans .....	8
1999	Total assets .....	13
<b>LIABILITIES:</b>		
2104	Federal liabilities: Resources payable to Treasury .....	13
4999	Total liabilities and net position .....	13

**POLLUTION CONTROL EQUIPMENT FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 73-4147-0-3-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711	Default claim payments on principal .....	1	.....
0900	Total new obligations (object class 42.0) .....	1	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	2	2
1022	Capital transfer of unobligated balances to general fund .....	-2	-2
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	2	1
1260	Appropriations, mandatory (total) .....	2	1
1900	Budget authority (total) .....	2	1
1930	Total budgetary resources available .....	2	1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	2	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	1
3010	Obligations incurred, unexpired accounts .....	1	.....
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	.....	1
3200	Obligated balance, end of year .....	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	2	1
4180	Budget authority, net (total) .....	2	1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 73-4147-0-3-376	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	.....	.....
Adjustments:			
2263	Terminations for default that result in claim payments .....	-1	.....
2264	Other adjustments, net .....	1	.....
2290	Outstanding, end of year .....	.....	.....
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	.....	.....
2390	Outstanding, end of year .....	.....	.....

**POLLUTION CONTROL EQUIPMENT FUND LIQUIDATING ACCOUNT—Continued**  
Public Law 94–305 established this fund to alleviate the adverse impact of pollution regulations on small businesses. As a result of the elimination of tax-exempt financing associated with the Pollution Control Guaranteed loan program, no new activity is anticipated for this program.

**Balance Sheet** (in millions of dollars)

Identification code 73–4147–0–3–376	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	3	2
1999 Total assets .....	3	2
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	3	2
4999 Total liabilities and net position .....	3	2

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
73–272130 Disaster Loan Program, Downward Reestimates of Subsidies .....	2	27	.....

73–27210 Business Loan Program, Negative Subsidies .....			16
73–27220 Business Loan Program, Downward Reestimates of Subsidies .....	866	1,670	.....
General Fund Offsetting receipts from the public .....	868	1,697	16

**ADMINISTRATIVE PROVISIONS—SMALL BUSINESS ADMINISTRATION**

(INCLUDING TRANSFER OF FUNDS)

*SEC. 530. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Small Business Administration may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this paragraph shall be treated as a reprogramming of funds under section 608 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.*

*SEC. 531. (a) Section 1122(b) of the Small Business Jobs Act of 2010 (15 U.S.C. 696 note) is repealed. (b) Subparagraph (C) of section 502(7) of the Small Business Investment Act of 1958 (15 U.S.C. 696(7)), as in effect on September 25, 2012, shall be in effect during the period beginning on the date of enactment of this Act and ending on September 30, 2014.*

# SOCIAL SECURITY ADMINISTRATION

## Federal Funds

### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund, as provided under sections 201(m), 228(g), and 1131(b)(2) of the Social Security Act, \$16,400,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 28–0404–0–1–651	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Taxation of benefits .....	27,533	24,256	29,192
0002 Other .....	27	39	35
0003 Direct program activity .....	112,795	31,781	–837
0900 Total new obligations .....	140,355	56,076	28,390
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	13	13
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	140,363	56,076	28,390
1260 Appropriations, mandatory (total) .....	140,363	56,076	28,390
1930 Total budgetary resources available .....	140,376	56,089	28,403
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–8	.....	.....
1941 Unexpired unobligated balance, end of year .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	4	3
3010 Obligations incurred, unexpired accounts .....	140,355	56,076	28,390
3020 Outlays (gross) .....	–140,356	–56,077	–28,391
3041 Recoveries of prior year unpaid obligations, expired .....	–1	.....	.....
3050 Unpaid obligations, end of year .....	4	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	4	3
3200 Obligated balance, end of year .....	4	3	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	140,363	56,076	28,390
Outlays, gross:			
4100 Outlays from new mandatory authority .....	140,351	56,076	28,390
4101 Outlays from mandatory balances .....	5	1	1
4110 Outlays, gross (total) .....	140,356	56,077	28,391
4180 Budget authority, net (total) .....	140,363	56,076	28,390
4190 Outlays, net (total) .....	140,356	56,077	28,391

#### Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Enacted/requested:</b>			
Budget Authority .....	140,363	56,076	28,390
Outlays .....	140,356	56,077	28,391
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	235
Outlays .....	.....	.....	235
<b>Total:</b>			
Budget Authority .....	140,363	56,076	28,625
Outlays .....	140,356	56,077	28,626

This general fund appropriation reimburses the Social Security trust funds annually for 1) Special Payments for Certain Uninsured Persons, 2) pension reform, and 3) interest on unnegotiated checks. Amounts appropriated to this account as permanent indefinite authority include receipts from Federal income taxation

of Social Security benefits. This account also includes transfers from the general fund equal to the reduction in revenues resulting from the 2011 and 2012 payroll tax holidays.

#### Object Classification (in millions of dollars)

Identification code 28–0404–0–1–651	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	14	39	35
94.0 Financial transfers .....	27,546	24,256	29,192
94.0 Financial transfers .....	112,795	31,781	–837
99.9 Total new obligations .....	140,355	56,076	28,390

### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

#### (Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 28–0404–4–1–651	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Quarterly Wage Reporting - Payment to the LAE .....	.....	.....	140
0002 Worker's Comp Admin Funds - Payment to the LAE .....	.....	.....	10
0003 WEP-GPO Admin. Funds - Payment to the LAE .....	.....	.....	70
0004 Prisoner update processing system - Payment to the LAE .....	.....	.....	15
0900 Total new obligations (object class 94.0) .....	.....	.....	235
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	.....	235
1260 Appropriations, mandatory (total) .....	.....	.....	235
1930 Total budgetary resources available .....	.....	.....	235
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	235
3020 Outlays (gross) .....	.....	.....	–235
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	235
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	235
4180 Budget authority, net (total) .....	.....	.....	235
4190 Outlays, net (total) .....	.....	.....	235

Please see the narrative in the Limitation on Administrative Expenses account for a description of the Federal Wage Reporting, workers' compensation, prisoner data improvements and WEP/GPO proposals reflected here.

### ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT

#### Program and Financing (in millions of dollars)

Identification code 28–0415–0–1–571	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	15	15
1930 Total budgetary resources available .....	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	12	6
3020 Outlays (gross) .....	–1	–6	–6
3050 Unpaid obligations, end of year .....	12	6	.....

ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT—Continued

Program and Financing—Continued

Identification code 28-0415-0-1-571	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	13	12	6
3200 Obligated balance, end of year .....	12	6	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	6	6
4190 Outlays, net (total) .....	1	6	6

Public Law 110-275 requires SSA to provide Medicare Part D Low-Income Subsidy applicants with material about Medicare Savings Programs assistance, including information about how to contact the State health insurance assistance program. In addition, SSA transmits Low-Income Subsidy application data to the States. As of 2011, new funding for this program comes from a reimbursable agreement with the Centers for Medicare and Medicaid Services and this funding is reflected within the Limitation on Administrative Expenses account.

ADMINISTRATIVE EXPENSES, CHILDREN'S HEALTH INSURANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 28-0416-0-1-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	1	1
0100 Direct program activities, subtotal .....	1	1	1
0900 Total new obligations (object class 25.2) .....	1	1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	1
1930 Total budgetary resources available .....	3	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

Public Law 111-3 provides States the option to verify a declaration of citizenship or nationality for the purposes of establishing eligibility for Medicaid or the Children's Health Insurance Program through a comparison of the information provided by the individual with information in SSA records.

Employment Summary

Identification code 28-0416-0-1-551	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	5	6

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security

trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, \$40,737,000,000, to remain available until expended: Provided, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury: Provided further, That not more than \$54,000,000 shall be available for research and demonstrations under sections 1110, 1115, and 1144 of the Social Security Act and remain available through September 30, 2015.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year 2015, \$19,700,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 28-0406-0-1-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	50,709	57,251	59,267
0002 Program Integrity .....	.....	489	246
0799 Total direct obligations .....	50,709	57,740	59,513
0801 State supplementation payments .....	3,064	3,310	3,435
0809 Reimbursable program activities, subtotal .....	3,064	3,310	3,435
0900 Total new obligations .....	53,773	61,050	62,948
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	299	1,091	304
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	130	40	.....
1021 Recoveries of prior year unpaid obligations .....	7	.....	.....
1050 Unobligated balance (total) .....	306	1,091	304
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,619	3,633	3,860
1120 Appropriations transferred to other accts [28-0406] .....	-1	.....	.....
1121 Appropriations transferred from other accts [28-0406] .....	1	.....	.....
1130 Appropriations permanently reduced .....	-7	.....	.....
1160 Appropriation, discretionary (total) .....	3,612	3,633	3,860
Appropriations, mandatory:			
1200 Appropriation .....	34,208	35,110	36,405
1220 Appropriations transferred to other accts [28-0406] .....	-7	.....	.....
1221 Appropriations transferred from other accts [28-0406] .....	7	.....	.....
1260 Appropriations, mandatory (total) .....	34,208	35,110	36,405
Advance appropriations, mandatory:			
1270 Advance appropriation .....	13,400	18,200	19,300
1280 Advanced appropriation, mandatory (total) .....	13,400	18,200	19,300
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3,337	3,320	3,447
1850 Spending auth from offsetting collections, mand (total) .....	3,337	3,320	3,447
1900 Budget authority (total) .....	54,558	60,263	63,012
1930 Total budgetary resources available .....	54,864	61,354	63,316
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,091	304	368
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,073	1,827	2,578
3010 Obligations incurred, unexpired accounts .....	53,773	61,050	62,948
3020 Outlays (gross) .....	-54,012	-60,299	-62,954
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	.....	.....
3050 Unpaid obligations, end of year .....	1,827	2,578	2,572
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,073	1,827	2,578
3200 Obligated balance, end of year .....	1,827	2,578	2,572

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,613	3,633	3,860
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3,189	3,229	3,359
4011	Outlays from discretionary balances .....	566	410	412
4020	Outlays, gross (total) .....	3,755	3,639	3,771
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-1		
Mandatory:				
4090	Budget authority, gross .....	50,945	56,630	59,152
Outlays, gross:				
4100	Outlays from new mandatory authority .....	50,126	56,345	58,852
4101	Outlays from mandatory balances .....	131	315	331
4110	Outlays, gross (total) .....	50,257	56,660	59,183
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-3,337	-3,320	-3,447
4180	Budget authority, net (total) .....	51,220	56,943	59,565
4190	Outlays, net (total) .....	50,674	56,979	59,507

1930	Total budgetary resources available .....	-3	-116
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	-3	-116
3020	Outlays (gross) .....	3	116

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....		-3	-116
Outlays, gross:				
4100	Outlays from new mandatory authority .....		-3	-116
4180	Budget authority, net (total) .....		-3	-116
4190	Outlays, net (total) .....		-3	-116

**SUPPLEMENTAL SECURITY INCOME PROGRAM**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

<b>Summary of Budget Authority and Outlays</b> (in millions of dollars)				
	2012 actual	2013 CR	2014 est.	
Enacted/requested:				
	Budget Authority .....	51,220	56,943	59,565
	Outlays .....	50,674	56,979	59,507
Legislative proposal, not subject to PAYGO:				
	Budget Authority .....		-3	-116
	Outlays .....		-3	-116
Legislative proposal, subject to PAYGO:				
	Budget Authority .....		106	633
	Outlays .....		106	633
<b>Total:</b>				
	Budget Authority .....	51,220	57,046	60,082
	Outlays .....	50,674	57,082	60,024

Identification code 28-0406-4-1-609				
	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....		106	633
0900	Total new obligations (object class 41.0) .....		106	633
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....		106	633
1260	Appropriations, mandatory (total) .....		106	633
1930	Total budgetary resources available .....		106	633

Title XVI of the Social Security Act established a Supplemental Security Income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for low-income individuals who are either aged, blind, or disabled. A portion of these funds may be used to fund evaluation of the Promoting Readiness of Minors in SSI (PROMISE) pilot, which would improve the outcomes of children receiving SSI and their families.

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....		106	633
3020	Outlays (gross) .....		-106	-633
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....		106	633
Outlays, gross:				
4100	Outlays from new mandatory authority .....		106	633
4180	Budget authority, net (total) .....		106	633
4190	Outlays, net (total) .....		106	633

**Object Classification** (in millions of dollars)

Identification code 28-0406-0-1-609				
	2012 actual	2013 CR	2014 est.	
Direct obligations:				
25.3	Administrative Expenses .....	3,634	3,692	3,813
25.3	Beneficiary Services .....	34	42	48
41.0	Federal benefits .....	47,004	53,966	55,598
41.0	Research .....	37	40	54
99.0	Direct obligations .....	50,709	57,740	59,513
99.0	Reimbursable obligations .....	3,064	3,310	3,435
99.9	Total new obligations .....	53,773	61,050	62,948

**SUPPLEMENTAL SECURITY INCOME PROGRAM**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 28-0406-2-1-609				
	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....		-3	-116
0900	Total new obligations (object class 41.0) .....		-3	-116
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (program integrity savings) .....		-3	-116
1260	Appropriations, mandatory (total) .....		-3	-116

The Budget includes a proposal to allow refugees and asylees to receive Supplemental Security Income (SSI) benefits an additional two years, in 2014 and 2015, for a total maximum of 9 years of eligibility. The time-limited SSI eligibility period was temporarily extended to 9 years for FY 2009–2011. However, effective October 2011, the SSI eligibility period for refugees and other humanitarian immigrants reverted to 7 years. For many participants, extended SSI eligibility will result in extended Medicaid eligibility and reduced SNAP benefit receipt.

The Budget also includes a proposal to conform the treatment of state and local government Earned Income Tax Credits (EITC) and Child Tax Credits (CTC) to be consistent with the treatment of the federal EITC and CTC for purposes of determining eligibility for SSI. Currently, the federal EITC and CTC credits are excluded from the SSI income and resource tests. However, state and local versions of these credits are not excluded, resulting in the reduction or loss of SSI benefits for those receiving the credits.

Please see the narrative in the Program Integrity Administrative Expenses account for a description of the program integrity funding proposal reflected here.

SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS

Program and Financing (in millions of dollars)

Identification code 28-0401-0-1-701	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6	7	6
0801 State supplement payments .....	2	2	2
0900 Total new obligations .....	8	9	8
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		1	1
1160 Appropriation, discretionary (total) .....		1	1
Appropriations, mandatory:			
1200 Appropriation .....	6	6	5
1260 Appropriations, mandatory (total) .....	6	6	5
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	2	2
1850 Spending auth from offsetting collections, mand (total) .....	2	2	2
1900 Budget authority (total) .....	8	9	8
1930 Total budgetary resources available .....	8	9	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	8	9	8
3020 Outlays (gross) .....	-8	-9	-8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	1
Mandatory:			
4090 Budget authority, gross .....	8	8	7
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8	8	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2	-2	-2
4180 Budget authority, net (total) .....	6	7	6
4190 Outlays, net (total) .....	6	7	6

Public Law 106-169 established a benefit program for certain individuals who are at least 65 years old; were in the United States military forces, including veterans of the Filipino Army and Filipino Scouts, during World War II; and who were eligible for SSI for the month of December 1999. To receive this benefit, these individuals must reside outside the United States and meet other requirements for eligibility.

Object Classification (in millions of dollars)

Identification code 28-0401-0-1-701	2012 actual	2013 CR	2014 est.
42.0 Direct obligations: Insurance claims and indemnities .....	6	7	6
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	8	9	8

OFFICE OF INSPECTOR GENERAL  
(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$30,000,000, together with not to exceed \$75,733,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account

is available: Provided, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House of Representatives and the Senate at least 15 days in advance of any transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 28-0400-0-1-600	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	101	103	106
0002 Economic Recovery - Audits and Oversight .....	1		
0900 Total new obligations .....	102	103	106
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29	29	29
1160 Appropriation, discretionary (total) .....	29	29	29
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	64	74	77
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	73	74	77
1900 Budget authority (total) .....	102	103	106
1930 Total budgetary resources available .....	103	103	106
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	7	8
3010 Obligations incurred, unexpired accounts .....	102	103	106
3020 Outlays (gross) .....	-109	-102	-106
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	7	8	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-19	-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071 Change in uncollected pymts, Fed sources, expired .....	12		
3090 Uncollected pymts, Fed sources, end of year .....	-16	-16	-16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-3	-9	-8
3200 Obligated balance, end of year .....	-9	-8	-8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	102	103	106
Outlays, gross:			
4010 Outlays from new discretionary authority .....	97	93	96
4011 Outlays from discretionary balances .....	12	9	10
4020 Outlays, gross (total) .....	109	102	106
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-75	-74	-77
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052 Offsetting collections credited to expired accounts .....	11		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	29	29	29
4080 Outlays, net (discretionary) .....	34	28	29
4180 Budget authority, net (total) .....	29	29	29
4190 Outlays, net (total) .....	34	28	29

The Office of the Inspector General provides agency-wide audit and investigative functions to help find and correct operational and administrative deficiencies that create conditions for existing or potential instances of fraud, waste, and mismanagement.

**Object Classification** (in millions of dollars)

Identification code 28-0400-0-1-600	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	65	64	68
12.1 Civilian personnel benefits .....	24	24	25
21.0 Travel and transportation of persons .....	3	3	2
23.1 Rental payments to GSA .....	6	6	5
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	1	1	1
25.8 Subsistence and support of persons .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	102	103	106

**Employment Summary**

Identification code 28-0400-0-1-600	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	569	570	575

**ADMINISTRATIVE EXPENSES, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 28-0417-0-1-651	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	489	461	286
3020 Outlays (gross) .....	-28	-175	-214
3050 Unpaid obligations, end of year .....	461	286	72
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	489	461	286
3200 Obligated balance, end of year .....	461	286	72

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	28	175	214
4190 Outlays, net (total) .....	28	175	214

Public Law 111-5 provided funding to process disability and retirement work, to replace the National Computer Center, and to administer \$250 economic recovery payments to eligible Social Security and Supplemental Security Income beneficiaries. The funds for administering the \$250 economic recovery payments were obligated by the end of the first quarter of 2011, as payments ended on December 31, 2010. All obligations since 2012 are for the replacement of the National Computer Center. SSA received a Presidential Waiver on December 28, 2012, allowing the agency to retain and continue to obligate funds appropriated for expenses of the replacement of the National Computer Center.

**STATE SUPPLEMENTAL FEES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 28-5419-0-2-609	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	8	.....	4
Adjustments:			
0190 Adjustment - rounding issue from prior year entry .....	1	.....	.....
0199 Balance, start of year .....	9	.....	4
Receipts:			
0220 State Supplemental Fees, SSI .....	151	166	173
0400 Total: Balances and collections .....	160	166	177
Appropriations:			
0500 State Supplemental Fees .....	-160	-162	-173
0799 Balance, end of year .....	.....	4	4

**Program and Financing** (in millions of dollars)

Identification code 28-5419-0-2-609	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	160	162	173
0900 Total new obligations (object class 25.3) .....	160	162	173
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	160	162	173
1160 Appropriation, discretionary (total) .....	160	162	173
1930 Total budgetary resources available .....	160	162	173

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	160	162	173
3020 Outlays (gross) .....	-160	-162	-173

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	160	162	173
Outlays, gross:			
4010 Outlays from new discretionary authority .....	160	162	173
4180 Budget authority, net (total) .....	160	162	173
4190 Outlays, net (total) .....	160	162	173

The Social Security Administration (SSA) collects a fee from States for costs related to administering SSI State supplementary payments on behalf of States. A portion of these fees is used to fund some of SSA's administrative costs.

**Trust Funds**

**FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 28-8006-0-7-651	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	2,434,118	2,524,592	2,587,104
Receipts:			
0200 FOASI, Transfers from General Fund (FICA Taxes) .....	463,203	545,153	596,916
0201 FOASI, Transfers from General Fund (FICA Taxes) .....	.....	2	-445
0202 FOASI, Transfers from General Fund (SECA Taxes) .....	25,550	32,789	37,721
0203 FOASI, Refunds .....	-1,970	-2,216	-2,343
0220 FOASI, Non-Attorney Fees .....	.....	1	1
0221 FOASI, Attorney Fees .....	1	1	1
0222 FOASI, Tax Refund Offset .....	22	13	13
0240 FOASI, Federal Employer Contributions (FICA Taxes) .....	13,331	13,829	14,365
0241 FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312) .....	96,469	27,169	-716
0242 FOASI, Interest Received by Trust Funds .....	105,239	100,059	96,125
0243 FOASI, Federal Payments to the FOASI Trust Fund .....	27,169	23,227	27,129
0299 Total receipts and collections .....	729,014	740,027	768,767
0400 Total: Balances and collections .....	3,163,132	3,264,619	3,355,871
Appropriations:			
0500 Federal Old-age and Survivors Insurance Trust Fund .....	-2,809	-2,746	-2,664
0501 Federal Old-age and Survivors Insurance Trust Fund .....	5	.....	.....
0502 Federal Old-age and Survivors Insurance Trust Fund .....	-726,280	-737,279	-766,548
0503 Federal Old-age and Survivors Insurance Trust Fund .....	90,538	62,510	49,223
0599 Total appropriations .....	-638,546	-677,515	-719,989
0610 Federal Old-age and Survivors Insurance Trust Fund .....	3	.....	.....
0620 Federal Old-age and Survivors Insurance Trust Fund .....	3	.....	.....
0799 Balance, end of year .....	2,524,592	2,587,104	2,635,882

**Program and Financing** (in millions of dollars)

Identification code 28-8006-0-7-651	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program .....	638,546	677,515	719,989
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	6	.....	.....

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND—Continued  
Program and Financing—Continued

Identification code 28-8006-0-7-651	2012 actual	2013 CR	2014 est.
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	-3		
1029 Other balances withdrawn	-3		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund)	2,809	2,746	2,664
1132 Appropriations temporarily reduced	-5		
1160 Appropriation, discretionary (total)	2,804	2,746	2,664
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	726,280	737,279	766,548
1235 Portion precluded from balances	-90,538	-62,510	-49,223
1260 Appropriations, mandatory (total)	635,742	674,769	717,325
1900 Budget authority (total)	638,546	677,515	719,989
1930 Total budgetary resources available	638,546	677,515	719,989
<b>Memorandum (non-add) entries:</b>			
<b>Special and non-revolving trust funds:</b>			
1950 Other balances withdrawn	3		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	57,808	61,616	64,910
3010 Obligations incurred, unexpired accounts	638,546	677,515	719,989
3020 Outlays (gross)	-634,732	-674,221	-716,296
3040 Recoveries of prior year unpaid obligations, unexpired	-6		
3050 Unpaid obligations, end of year	61,616	64,910	68,603
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	57,808	61,616	64,910
3200 Obligated balance, end of year	61,616	64,910	68,603
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross	2,804	2,746	2,664
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority	2,498	2,419	2,346
4011 Outlays from discretionary balances	268	306	263
4020 Outlays, gross (total)	2,766	2,725	2,609
<b>Mandatory:</b>			
4090 Budget authority, gross	635,742	674,769	717,325
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	578,796	671,496	713,687
4101 Outlays from mandatory balances	53,170		
4110 Outlays, gross (total)	631,966	671,496	713,687
4180 Budget authority, net (total)	638,546	677,515	719,989
4190 Outlays, net (total)	634,732	674,221	716,296
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	2,492,531	2,586,697	2,652,014
5001 Total investments, EOY: Federal securities: Par value	2,586,697	2,652,014	2,704,507

The Old-Age and Survivors Insurance (OASI) program provides monthly cash benefits to retired workers and their dependents, and to survivors of deceased workers.

OASI Cash Outgo Detail

(in millions of dollars)

	2012	2013	2014
Benefit Payments	627,221	666,973	708,894
Payments to the Railroad Board	4,139	3,898	4,175
Administrative Expenses	2,766	2,725	2,609
Treasury Administrative Expenses	604	622	615
Beneficiary Services	2	3	3
Quinquennial Military Service Credits	0	0	0
Total Outgo	634,732	674,221	716,296

Status of Funds (in millions of dollars)

Identification code 28-8006-0-7-651	2012 actual	2013 CR	2014 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year	2,491,926	2,586,208	2,652,014
0199 Total balance, start of year	2,491,926	2,586,208	2,652,014

Cash income during the year:

Current law:			
Receipts:			
1200	FOASI, Transfers from General Fund (FICA Taxes)	463,203	545,153
1202	FOASI, Transfers from General Fund (SECA Taxes)	25,550	32,789
1203	FOASI, Refunds	-1,970	-2,216
<b>Offsetting receipts (proprietary):</b>			
1220	FOASI, Non-Attorney Fees		1
1221	FOASI, Attorney Fees	1	1
1222	FOASI, Tax Refund Offset	22	13
<b>Offsetting receipts (intragovernmental):</b>			
1240	FOASI, Federal Employer Contributions (FICA Taxes)	13,331	13,829
1241	FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	96,469	27,169
1242	FOASI, Interest Received by Trust Funds	105,239	100,059
1243	FOASI, Federal Payments to the FOASI Trust Fund	27,169	23,227
1299	Income under present law	729,014	740,025
<b>Proposed legislation:</b>			
<b>Receipts:</b>			
2201	FOASI, Transfers from General Fund (FICA Taxes)		2
2299	Income under proposed legislation		2
3299	Total cash income	729,014	740,027
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
4500	Federal Old-age and Survivors Insurance Trust Fund	-634,732	-674,221
4599	Outgo under current law (-)	-634,732	-674,221
6599	Total cash outgo (-)	-634,732	-674,221
<b>Unexpended balance, end of year:</b>			
8700	Uninvested balance (net), end of year	-489	-22
8701	Federal Old-age and Survivors Insurance Trust Fund	2,586,697	2,652,014
8799	Total balance, end of year	2,586,208	2,704,485

Object Classification (in millions of dollars)

Identification code 28-8006-0-7-651	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2	Beneficiary Services (VR & Ticket)	2	3
25.3	Other purchases of goods and services from Government accounts (Treasury Admin)	604	622
25.3	Other purchases of goods and services from Government accounts (RRB)	4,139	3,898
42.0	Retirement and survivors insurance benefits	630,997	670,246
94.0	Financial transfers (OIG)	40	40
94.0	Financial transfers (LAE)	2,764	2,706
99.9	Total new obligations	638,546	677,515

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

The Budget includes a proposal to terminate benefits for a stepchild in the same month as benefits are terminated for a parent upon the divorce of the parent. This change would correct a drafting error in the original legislation in order to conform the treatment of stepchild benefits to those of the parent's benefits.

FEDERAL DISABILITY INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 28-8007-0-7-651	2012 actual	2013 CR	2014 est.
0100	Balance, start of year	136,212	105,989
<b>Receipts:</b>			
0200	FDI, Transfers from General Fund (FICA Taxes)	78,712	92,567
0201	FDI, Transfers from General Fund (FICA Taxes)		-76
0202	FDI, Transfers from General Fund (SECA Taxes)	4,341	5,568
0203	FDI, Refunds	-335	-376
0220	Attorney Fees, Federal Disability Insurance Trust Fund	30	28
0221	FDI, Tax Refund Offset	52	47
0240	FDI, Federal Employer Contributions (FICA Taxes)	2,261	2,349
0241	FDI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	16,326	4,612
0242	FDI, Interest Received by Trust Funds	7,154	5,519
0243	FDI, Federal Payments to the FDI Trust Fund	390	1,065
0299	Total receipts and collections	108,931	111,379
0400	Total: Balances and collections	245,143	217,368
<b>Appropriations:</b>			
0500	Federal Disability Insurance Trust Fund	-3,017	-2,952

0501	Federal Disability Insurance Trust Fund .....	6	.....	.....
0502	Federal Disability Insurance Trust Fund .....	-105,989	-108,426	-112,693
0503	Federal Disability Insurance Trust Fund .....	-30,154	-33,921	-34,082
0504	Federal Disability Insurance Trust Fund .....	.....	-131	-317
0599	Total appropriations .....	-139,154	-145,430	-149,627
0610	Federal Disability Insurance Trust Fund .....	3	.....	.....
0620	Federal Disability Insurance Trust Fund .....	-3	.....	.....
0799	Balance, end of year .....	105,989	71,938	38,005

Total:	Budget Authority .....	139,154	145,430	149,627
	Outlays .....	138,632	144,087	149,148

The Disability Insurance program provides monthly cash benefits for disabled workers who have not yet attained their normal retirement age, and for their dependents.

**Program and Financing** (in millions of dollars)

Identification code 28-8007-0-7-651	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	139,157	145,299	149,310
<b>Budgetary Resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation .....	3	.....	.....
1029 Other balances withdrawn .....	-3	.....	.....
1050 Unobligated balance (total) .....	3	.....	.....
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	3,017	2,952	2,535
1132 Appropriations temporarily reduced .....	-6	.....	.....
1160 Appropriation, discretionary (total) .....	3,011	2,952	2,535
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	105,989	108,426	112,693
1203 Appropriation (previously unavailable) .....	30,154	33,921	34,082
1260 Appropriations, mandatory (total) .....	136,143	142,347	146,775
1900 Budget authority (total) .....	139,154	145,299	149,310
1930 Total budgetary resources available .....	139,157	145,299	149,310
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1950 Other balances withdrawn .....	3	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25,361	25,883	27,226
3010 Obligations incurred, unexpired accounts .....	139,157	145,299	149,310
3020 Outlays (gross) .....	-138,632	-143,956	-148,831
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	25,883	27,226	27,705
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25,361	25,883	27,226
3200 Obligated balance, end of year .....	25,883	27,226	27,705
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,011	2,952	2,535
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,470	2,629	2,233
4011 Outlays from discretionary balances .....	311	302	251
4020 Outlays, gross (total) .....	2,781	2,931	2,484
Mandatory:			
4090 Budget authority, gross .....	136,143	142,347	146,775
Outlays, gross:			
4100 Outlays from new mandatory authority .....	111,659	141,025	146,347
4101 Outlays from mandatory balances .....	24,192	.....	.....
4110 Outlays, gross (total) .....	135,851	141,025	146,347
4180 Budget authority, net (total) .....	139,154	145,299	149,310
4190 Outlays, net (total) .....	138,632	143,956	148,831
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	161,965	132,345	99,443
5001 Total investments end of year: Federal securities: Par value .....	132,345	99,443	66,022

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	139,154	145,299	149,310
Outlays .....	138,632	143,956	148,831
Legislative proposal, not subject to PAYGO:			
Budget Authority .....	.....	131	317
Outlays .....	.....	131	317

**Disability Insurance Cash Outgo Detail**

(in millions of dollars)

	2012	2013	2014
Benefit Payments .....	135,125	140,493	145,986
Payments to Railroad Board .....	512	427	427
Administrative Expenses (Subject to Limitation) .....	2,781	2,931	2,484
Administrative Expenses (Treasury) .....	115	116	114
Beneficiary Services .....	69	101	119
Demonstration Projects .....	29	19	18
Pre-1957 Military Credits .....	0	0	0
<b>Total Outgo .....</b>	<b>138,631</b>	<b>144,087</b>	<b>149,148</b>

**Status of Funds** (in millions of dollars)

Identification code 28-8007-0-7-651	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	161,573	131,872	99,164
0199 Total balance, start of year .....	161,573	131,872	99,164
Cash income during the year:			
Current law:			
Receipts:			
1200 FDI, Transfers from General Fund (FICA Taxes) .....	78,712	92,567	101,360
1202 FDI, Transfers from General Fund (SECA Taxes) .....	4,341	5,568	6,405
1203 FDI, Refunds .....	-335	-376	-398
Offsetting receipts (proprietary):			
1220 Attorney Fees, Federal Disability Insurance Trust Fund .....	30	28	28
1221 FDI, Tax Refund Offset .....	52	47	47
Offsetting receipts (intragovernmental):			
1240 FDI, Federal Employer Contributions (FICA Taxes) .....	2,261	2,349	2,439
1241 FDI, General Fund Payments for Payroll Tax Holiday (PL 111-312) .....	16,326	4,612	-121
1242 FDI, Interest Received by Trust Funds .....	7,154	5,519	3,915
1243 FDI, Federal Payments to the FDI Trust Fund .....	390	1,065	2,095
1299 Income under present law .....	108,931	111,379	115,770
Proposed legislation:			
Receipts:			
2201 FDI, Transfers from General Fund (FICA Taxes) .....	.....	.....	-76
2299 Income under proposed legislation .....	.....	.....	-76
3299 Total cash income .....	108,931	111,379	115,694
Cash outgo during year:			
Current law:			
4500 Federal Disability Insurance Trust Fund .....	-138,632	-143,956	-148,831
4599 Outgo under current law (-) .....	-138,632	-143,956	-148,831
Proposed legislation:			
5500 Federal Disability Insurance Trust Fund .....	.....	-131	-317
5599 Outgo under proposed legislation (-) .....	.....	-131	-317
6599 Total cash outgo (-) .....	-138,632	-144,087	-149,148
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	-473	-279	-312
8701 Federal Disability Insurance Trust Fund .....	132,345	99,443	66,022
8799 Total balance, end of year .....	131,872	99,164	65,710

**Object Classification** (in millions of dollars)

Identification code 28-8007-0-7-651	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Beneficiary Services (VR & Tickets) .....	69	101	119
25.3 Other purchases of goods and services from Government accounts (Treasury Admin) .....	114	116	114
25.3 Other purchases of goods and services from Government accounts (RRB) .....	512	427	427
25.5 Research and development contracts .....	15	19	18
42.0 Disability insurance benefits .....	135,432	141,684	146,097
94.0 Financial transfers (OIG) .....	33	34	35
94.0 Financial transfers (LAE) .....	2,982	2,918	2,500

FEDERAL DISABILITY INSURANCE TRUST FUND—Continued  
Object Classification—Continued

Identification code 28-8007-0-7-651	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	139,157	145,299	149,310

FEDERAL DISABILITY INSURANCE TRUST FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 28-8007-2-7-651	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		-29	317
0900 Total new obligations (object class 25.5) .....		-29	317
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			160
Budget authority:			
Appropriations, mandatory:			
1203 Appropriation (previously unavailable) .....		131	317
1260 Appropriations, mandatory (total) .....		131	317
1930 Total budgetary resources available .....		131	477
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		160	160
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			-160
3010 Obligations incurred, unexpired accounts .....		-29	317
3020 Outlays (gross) .....		-131	-317
3050 Unpaid obligations, end of year .....		-160	-160
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			-160
3200 Obligated balance, end of year .....		-160	-160
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		131	317
Outlays, gross:			
4100 Outlays from new mandatory authority .....		131	317
4180 Budget authority, net (total) .....		131	317
4190 Outlays, net (total) .....		131	317

The Budget calls for providing SSA and partner agencies with authority to test innovative techniques to help people with disabilities remain in the workforce. In addition to providing new authority to test early interventions, the Budget also proposes reauthorization of SSA's demonstration authority for the Disability Insurance (DI) program, allowing SSA to continue to test effective ways to boost employment and support current DI and SSI beneficiaries who are seeking to return to work.

The Budget also includes a proposal to terminate benefits for a stepchild in the same month as benefits are terminated for a parent upon the divorce of the parent. This change would correct a drafting error in the original legislation in order to confirm the treatment of stepchild benefits to those of the parent's benefits.

The Budget also includes a proposal to reduce an individual's entitlement to a disability insurance benefit in any month in which the individual also receives a state or federal unemployment compensation benefit.

Please see the narrative in the Program Integrity Administrative Expenses account for a description of the program integrity funding proposal reflected here.

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed \$20,000 for official reception and representation

expenses, not more than \$10,622,846,000 may be expended, as authorized by section 201(g)(1) of the Social Security Act, and including the cost of carrying out the Social Security Administration's obligations as required under section 1411 of Public Law 111-148, from any one or all of the trust funds referred to in such section: Provided, That not less than \$2,300,000 shall be for the Social Security Advisory Board: Provided further, That unobligated balances of funds provided under this paragraph at the end of fiscal year 2014 not needed for fiscal year 2014 shall remain available until expended to invest in the Social Security Administration information technology and telecommunications hardware and software infrastructure, including related equipment and non-payroll administrative expenses associated solely with this information technology and telecommunications infrastructure: Provided further, That the Commissioner of Social Security shall notify the Committees on Appropriations of the House of Representatives and the Senate prior to making unobligated balances available under the authority in the previous proviso: Provided further, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to 5 U.S.C. 7131, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made.

In addition, for the cost associated with continuing disability reviews under titles II and XVI of the Social Security Act and for the cost associated with conducting redeterminations of eligibility under title XVI of the Social Security Act, \$273,000,000 may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to therein: Provided, That the Commissioner shall provide to the Congress (at the conclusion of the fiscal year) a report on the obligation and expenditure of these funds, similar to the reports that were required by section 103(d)(2) of Public Law 104-121 for fiscal years 1996 through 2002.

In addition, \$173,000,000 to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93-66, which shall remain available until expended. To the extent that the amounts collected pursuant to such sections in fiscal year 2014 exceed \$173,000,000, the amounts shall be available in fiscal year 2015 only to the extent provided in advance in appropriations Acts.

In addition, up to \$1,000,000 to be derived from fees collected pursuant to section 303(c) of the Social Security Protection Act, which shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 28-8704-0-7-651	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 LAE Direct .....	10,754	10,956	10,797
0003 New National Support Center .....	28	58	78
0005 Program Integrity Base .....	273	273	273
0006 Program Integrity Cap Adjustment .....	483	485	
0799 Total direct obligations .....	11,538	11,772	11,148
0801 Reimbursable program .....	60	61	64
0802 Low Income Subsidy .....	1	6	6
0809 Reimbursable program activities, subtotal .....	61	67	70
0899 Total reimbursable obligations .....	61	67	70
0900 Total new obligations .....	11,599	11,839	11,218
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	167	301	174
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	167	301	
1012 Unobligated balance transfers between expired and unexpired accounts .....	215	192	
1021 Recoveries of prior year unpaid obligations .....	62		
1050 Unobligated balance (total) .....	444	493	174

Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	9,383	10,855	10,735
1700	Collected - Program Integrity Base .....	273	273	273
1700	Collected - Program Integrity Cap Adjustment .....	483	485	.....
1701	Change in uncollected payments, Federal sources .....	1,373	-93	62
1750	Spending auth from offsetting collections, disc (total) .....	11,512	11,520	11,070
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (LIS) .....	1	.....	.....
1850	Spending auth from offsetting collections, mand (total) .....	1	.....	.....
1900	Budget authority (total) .....	11,513	11,520	11,070
1930	Total budgetary resources available .....	11,957	12,013	11,244
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-57	.....	.....
1941	Unexpired unobligated balance, end of year .....	301	174	26
Special and non-revolving trust funds:				
1951	Unobligated balance expiring .....	57	.....	.....
1952	Expired unobligated balance, start of year .....	322	265	.....
1953	Expired unobligated balance, end of year .....	208	.....	.....

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,560	2,502	2,722
3010	Obligations incurred, unexpired accounts .....	11,599	11,839	11,218
3011	Obligations incurred, expired accounts .....	39	.....	.....
3020	Outlays (gross) .....	-11,488	-11,619	-11,014
3040	Recoveries of prior year unpaid obligations, unexpired .....	-62	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-146	.....	.....
3050	Unpaid obligations, end of year .....	2,502	2,722	2,926
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3,055	-3,073	-2,980
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1,373	93	-62
3071	Change in uncollected pymts, Fed sources, expired .....	1,355	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-3,073	-2,980	-3,042
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-495	-571	-258
3200	Obligated balance, end of year .....	-571	-258	-116

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	11,512	11,520	11,070
Outlays, gross:				
4010	Outlays from new discretionary authority .....	9,963	10,224	9,771
4011	Outlays from discretionary balances .....	1,524	1,389	1,237
4020	Outlays, gross (total) .....	11,487	11,613	11,008
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Baseline Program .....	-10,630	-10,619	-10,512
4030	Baseline Program - RA Workload Processing .....	-7	.....	.....
4030	Baseline Program - RA New NSC .....	-21	-175	-159
4030	Baseline Program - PI Base .....	-273	-273	-273
4030	Baseline Program - Reimbursable .....	-60	-61	-64
4030	Baseline Program - PI Cap .....	-483	-485	.....
4033	Non-Federal sources .....	-15	.....	.....
4040	Offsets against gross budget authority and outlays (total) .....	-11,489	-11,613	-11,008
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1,373	93	-62
4052	Offsetting collections credited to expired accounts .....	1,350	.....	.....
4060	Additional offsets against budget authority only (total) .....	-23	93	-62
4080	Outlays, net (discretionary) .....	-2	.....	.....
Mandatory:				
4090	Budget authority, gross .....	1	.....	.....
Outlays, gross:				
4101	Outlays from mandatory balances .....	1	6	6
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Baseline Program (LIS) .....	-1	.....	.....
4190	Outlays, net (total) .....	-2	6	6

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Outlays .....	-2	6	6
Legislative proposal, not subject to PAYGO:			
Outlays .....	.....	.....	-177
Total:			
Outlays .....	-2	6	-171

The Limitation on Administrative Expenses account provides resources for Social Security to administer the Old-Age and Survivors Insurance and Disability Insurance programs, the Supplemental Security Income program, the Special Benefits for Certain World War II Veterans program, and certain health insurance functions for the aged and disabled.

**Object Classification (in millions of dollars)**

Identification code 28-8704-0-7-651	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	4,573	4,618	4,158
11.3	Other than full-time permanent .....	108	104	105
11.5	Other personnel compensation .....	211	146	175
11.8	Special personal services payments .....	3	3	6
11.9	Total personnel compensation .....	4,895	4,871	4,444
12.1	Civilian personnel benefits .....	1,432	1,522	1,475
13.0	Benefits for former personnel .....	3	5	5
21.0	Travel and transportation of persons .....	33	35	35
22.0	Transportation of things .....	16	15	15
23.1	Rental payments to GSA .....	694	718	740
23.3	Communications, utilities, and miscellaneous charges .....	355	401	439
24.0	Printing and reproduction .....	32	29	37
25.1	Advisory and assistance services .....	58	56	55
25.2	Other services from non-Federal sources .....	2,534	2,454	2,348
25.3	Other goods and services from Federal sources .....	136	119	119
25.4	Operation and maintenance of facilities .....	312	336	321
25.5	Research and development contracts .....	1	1	1
25.7	Operation and maintenance of equipment .....	488	644	586
26.0	Supplies and materials .....	46	51	51
31.0	Equipment .....	278	310	274
32.0	Land and structures .....	199	181	180
42.0	Insurance claims and indemnities .....	26	24	23
99.0	Direct obligations .....	11,538	11,772	11,148
99.0	Reimbursable obligations .....	61	67	70
99.9	Total new obligations .....	11,599	11,839	11,218

**Employment Summary**

Identification code 28-8704-0-7-651	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	63,751	62,103	55,060
2001	Reimbursable civilian full-time equivalent employment .....	307	361	361

**LIMITATION ON ADMINISTRATIVE EXPENSES**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 28-8704-2-7-651	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0007	Direct program activity (quarterly wage reporting) .....	.....	.....	20
0008	Workers' Comp Admin Fund .....	.....	.....	5
0009	WEP/GPO Admin Funds .....	.....	.....	18
0010	Prisoner Update Processing System .....	.....	.....	15
0900	Total new obligations .....	.....	.....	58
<b>Budgetary Resources:</b>				
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Offsetting Collections (Reimbursables) .....	.....	.....	235
1850	Spending auth from offsetting collections, mand (total) .....	.....	.....	235
1930	Total budgetary resources available .....	.....	.....	235
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	.....	.....	177

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	.....	.....	58
3020	Outlays (gross) .....	.....	.....	-58

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	.....	.....	235

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued  
Program and Financing—Continued

Identification code 28-8704-2-7-651	2012 actual	2013 CR	2014 est.
Outlays, gross:			
4100 Outlays from new mandatory authority .....			58
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			-235
4190 Outlays, net (total) .....			-177

The Budget includes four proposals that will strengthen the integrity and administration of several programs:

1. The Administration is including a request to restructure the Federal Wage Reporting process by moving from annual to quarterly wage reporting. This proposal will enhance tax administration and improve program integrity for a range of programs.

2. In addition, the Budget includes proposals to develop mechanisms for timely and accurate collection of workers' compensation and WEP/GPO data for use in payment calculations. These mechanisms will improve payment accuracy. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

3. The Budget also includes a proposal to amend the Internal Revenue Code to lower the employer electronic wage reporting threshold from 250 to 50 employees. In 2012, the majority of employers this size reported electronically.

4. Finally, the Budget includes a multi-Agency proposal to improve SSA's Prisoner Update Processing System to identify, prevent and recover improper payments. SSA's PUPS data would be shared with Treasury for Government-wide access. Please see the narrative in the Department of Labor's Unemployment Trust Fund account for additional detail on this proposal.

Object Classification (in millions of dollars)

Identification code 28-8704-2-7-651	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			50
12.1 Civilian personnel benefits .....			8
99.9 Total new obligations .....			58

Employment Summary

Identification code 28-8704-2-7-651	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			29
2001 Reimbursable civilian full-time equivalent employment .....			

PROGRAM INTEGRITY ADMINISTRATIVE EXPENSES  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 28-8183-2-7-651	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		266	1,227
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		266	1,227
1850 Spending auth from offsetting collections, mand (total) .....		266	1,227
1930 Total budgetary resources available .....		266	1,227
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		266	1,227

3020	Outlays (gross) .....	-266	-1,227
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	266	1,227
Outlays, gross:			
4100	Outlays from new mandatory authority .....	266	1,227
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-266	-1,227

The Budget includes a proposal to repeal the discretionary cap adjustments enacted in the Balanced Budget and Emergency Deficit Control Act, as amended by the Budget Control Act of 2011, beginning in 2014 for SSA and instead provide a dedicated, dependable source of mandatory funding for the Social Security Administration to conduct Continuing Disability Reviews (CDRs) and Supplemental Security Income (SSI) program Redeterminations. CDRs and Redeterminations help to ensure that only those beneficiaries who remain eligible for SSI or Disability Insurance benefits continue to receive them. To date, the annual appropriations process has failed to provide SSA with the resources necessary to conduct scheduled CDRs and Redeterminations, leading to a current CDR backlog of 1.3 million. SSA estimates that every additional \$1 spent on CDRs would save the Federal Government \$9, and every \$1 spent on Redeterminations would save the Federal Government \$5.

The proposal includes the creation of a new limitation account entitled Program Integrity Administrative Expenses, which will reflect mandatory funding for SSA's program integrity activities in addition to amounts provided to SSA through the Limitation on Administrative Expenses account. See additional discussion in the Budget Process Chapter in the *Analytical Perspectives* volume.

Object Classification (in millions of dollars)

Identification code 28-8183-2-7-651	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	150		692
12.1 Civilian personnel benefits .....	50		228
25.2 Other services from non-Federal sources .....	66		307
99.9 Total new obligations .....	266		1,227

Employment Summary

Identification code 28-8183-2-7-651	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		1,995	9,203

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
28-241700 SSI, Attorney Fees: Enacted/requested .....	9	9	9
75-241800 Receipts from SSI Administrative Fee:			
Enacted/requested .....	127	135	136
75-309600 Recovery of Beneficiary Overpayments from SSI Program:			
Enacted/requested .....	2,732	2,899	3,025
General Fund Offsetting receipts from the public .....	2,868	3,043	3,170

## COMMISSIONER'S BUDGET

As directed by Section 104 of Public Law 103-296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for SSA, which shall be submitted by the President to the

Congress without revision, together with the President's request for SSA.

The Commissioner's budget includes \$12,333 million for total administrative discretionary resources in 2014. This represents \$12,228 million for SSA administrative expenses and \$105 million for the Office of the Inspector General.



## OTHER INDEPENDENT AGENCIES

### ACCESS BOARD

#### Federal Funds

#### SALARIES AND EXPENSES

*For expenses necessary for the Access Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, \$7,448,000: Provided, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 95–3200–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	7	7	7
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7	7	7
1160 Appropriation, discretionary (total) .....	7	7	7
1930 Total budgetary resources available .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	7	7	7
3020 Outlays (gross) .....	–7	–7	–7
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	7	7
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	6	6
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	7	7	7
4180 Budget authority, net (total) .....	7	7	7
4190 Outlays, net (total) .....	7	7	7

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973. The Access Board is responsible for developing guidelines under the Americans with Disabilities Act, the Architectural Barriers Act, and the Telecommunications Act. These guidelines ensure that buildings and facilities, transportation vehicles, and telecommunications equipment covered by these laws are readily accessible to and usable by people with disabilities. The Board is also responsible for developing standards under section 508 of the Rehabilitation Act for accessible electronic and information technology used by Federal agencies and standards under section 510 of the Rehabilitation Act for accessible medical diagnostic equipment. In addition, the Access Board enforces the Architectural Barriers Act, and provides training and technical assistance on the guidelines and standards it develops.

The Board also has additional responsibilities under the Help America Vote Act. The Board serves on the Board of Advisors and the Technical Guidelines Development Committee, which helps the Election Assistance Commission develop voluntary guidelines and guidance for voting systems, including accessibility for people with disabilities.

#### Object Classification (in millions of dollars)

Identification code 95–3200–0–1–751	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	4
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations .....	7	7	7

#### Employment Summary

Identification code 95–3200–0–1–751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	29	31	32

## ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Administrative Conference of the United States, authorized by 5 U.S.C. 591 et seq., \$3,200,000, to remain available until September 30, 2015, of which not to exceed \$1,000 is for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 95–1700–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3	3	3
0900 Total new obligations (object class 99.5) .....	3	3	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1160 Appropriation, discretionary (total) .....	3	3	3
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	–3	–3	–3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

The Administrative Conference of the United States (ACUS) is an independent agency that assists the President, the Congress, the Judicial Conference and Federal agencies in improving the

SALARIES AND EXPENSES—Continued

regulatory and legal process through consensus-driven applied research. The Conference analyzes the administrative law process and, among its many activities, issues formal recommendations for improvements that reduce costs to government agencies promote effective public participation in the rulemaking process, and reduce unnecessary litigation. The Conference is a public-private partnership comprised of senior government officials and private sector leaders in law, business, and academia.

**ADVISORY COUNCIL ON HISTORIC PRESERVATION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665, as amended), \$6,531,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2300-0-1-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6	6	7
0801 Reimbursable program .....	1	1	1
0900 Total new obligations .....	7	7	8
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	7
1160 Appropriation, discretionary (total) .....	6	6	7
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	7	7	8
1930 Total budgetary resources available .....	8	8	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	7	7	8
3020 Outlays (gross) .....	-7	-7	-8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3071 Change in uncollected pymts, Fed sources, expired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1	-2	-2
3200 Obligated balance, end of year .....	-2	-2	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	7	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	7	8
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	7	7	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	6	6	7
4190 Outlays, net (total) .....	6	6	7

The Council advises the President and the Congress on national historic preservation policy and promotes the preservation, enhancement, and productive use of our Nation's historic resources.

**Object Classification** (in millions of dollars)

Identification code 95-2300-0-1-303	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	5
25.2 Other services from non-Federal sources .....	2	2	2
99.0 Direct obligations .....	6	6	7
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	7	7	8

**Employment Summary**

Identification code 95-2300-0-1-303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	32	32	33
2001 Reimbursable civilian full-time equivalent employment .....	5	5	8

**AFFORDABLE HOUSING PROGRAM**

*Federal Funds*

AFFORDABLE HOUSING PROGRAM

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-5528-0-2-604	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Contributions, Federal Home Loan Banks, Affordable Housing Program .....	286	286	286
0400 Total: Balances and collections .....	286	286	286
Appropriations:			
0500 Affordable Housing Program .....	-286	-286	-286
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 95-5528-0-2-604	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	286	286	286
0900 Total new obligations (object class 41.0) .....	286	286	286
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	286	286	286
1260 Appropriations, mandatory (total) .....	286	286	286
1930 Total budgetary resources available .....	286	286	286
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	286	286	286
3020 Outlays (gross) .....	-286	-286	-286
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	286	286	286
Outlays, gross:			
4100 Outlays from new mandatory authority .....	286	286	286
4180 Budget authority, net (total) .....	286	286	286
4190 Outlays, net (total) .....	286	286	286

The Affordable Housing Program was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). FIRREA requires each of the twelve Federal Home Loan Banks to contribute 10-percent of its previous year's net earnings to an Affordable Housing Program (AHP) to be used to

subsidize the cost of affordable homeownership and rental housing. The Federal Housing Finance Agency (FHFA) regulates the AHP and ensures that the AHP fulfills its mission.

**APPALACHIAN REGIONAL COMMISSION**

**Federal Funds**

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended, notwithstanding 40 U.S.C. 14704, and for necessary expenses for the Federal Co-Chairman and the Alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, \$64,618,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 46–0200–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Appalachian development highway system .....	1	1	1
0102 Area development and technical assistance program .....	63	65	65
0103 Local development districts program .....	7	7	7
0191 Total Appalachian regional development programs .....	71	73	73
0201 Federal co-chairman and staff .....	2	2	2
0202 Administrative expenses .....	4	4	4
0291 Total salaries and expenses .....	6	6	6
0900 Total new obligations .....	77	79	79
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	20	18
1021 Recoveries of prior year unpaid obligations .....	9	7	7
1050 Unobligated balance (total) .....	28	27	25
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	68	69	65
1160 Appropriation, discretionary (total) .....	68	69	65
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	69	70	66
1930 Total budgetary resources available .....	97	97	91
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	18	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	147	130	120
3010 Obligations incurred, unexpired accounts .....	77	79	79
3020 Outlays (gross) .....	–85	–82	–83
3040 Recoveries of prior year unpaid obligations, unexpired .....	–9	–7	–7
3050 Unpaid obligations, end of year .....	130	120	109
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	147	130	120
3200 Obligated balance, end of year .....	130	120	109
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	69	70	66
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26	23	22
4011 Outlays from discretionary balances .....	59	59	61
4020 Outlays, gross (total) .....	85	82	83
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–1	–1	–1

4180 Budget authority, net (total) .....	68	69	65
4190 Outlays, net (total) .....	84	81	82

The Appalachian Regional Commission (ARC) was established as a Federal-State partnership in 1965 to invest in sustainable economic development in the 420 county Appalachian Region. The Commission is comprised of 13 members representing the States in the Region and a Federal Co-Chairman, who represents the Federal Government. It is the mission of the ARC to help the Appalachian Region reach parity with the Nation by planning and coordinating regional investments and targeting resources to those communities with the greatest needs. ARC investments go toward area development and technical assistance goals, such as increasing job opportunities, improving employability, strengthening basic infrastructure and building the Appalachian Development Highway System. ARC also assists communities through support of 73 multi-county Local Development Districts (LDDs) that assist local governments in implementing economic development strategies. In 2014, ARC will devote \$10 million to work with partner agencies on the Administration's Appalachian Regional Development Initiative Memorandum of Understanding to promote diversified and sustainable economic growth and employment in the Region.

Salaries and expenses.—In this Federal-State partnership, the Federal Government contributes half of the expenses of a professional staff that works with the States and the Federal staff in operating the program. The other half of these non-Federal employee expenses are provided by member States.

Performance.—A detailed presentation of performance outcomes, measures, and targets can be found in the ARC 2014 Budget submission.

**Object Classification** (in millions of dollars)

Identification code 46–0200–0–1–452	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	4	4	4
41.0 Grants, subsidies, and contributions .....	50	50	50
99.0 Direct obligations .....	55	55	55
99.0 Reimbursable obligations .....	1	1	1
41.0 Allocation Account - direct: Grants, subsidies, and contributions .....	21	23	23
99.9 Total new obligations .....	77	79	79

**Employment Summary**

Identification code 46–0200–0–1–452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	7	8	8

**Trust Funds**

MISCELLANEOUS TRUST FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 46–9971–0–7–452	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Fees for Services, Appalachian Regional Commission .....	5	4	5
0240 General Fund Contributions, Appalachian Regional Commission .....	4	4	4
0299 Total receipts and collections .....	9	8	9
0400 Total: Balances and collections .....	9	8	9
Appropriations:			
0500 Miscellaneous Trust Funds .....	–9	–8	–9

MISCELLANEOUS TRUST FUNDS—Continued  
Special and Trust Fund Receipts—Continued

Identification code 46-9971-0-7-452	2012 actual	2013 CR	2014 est.
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 46-9971-0-7-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	8	9	9
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	9	8	9
1260 Appropriations, mandatory (total) .....	9	8	9
1930 Total budgetary resources available .....	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	8	9	9
3020 Outlays (gross) .....	-8	-9	-9
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	9	8	9
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8	8	9
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	8	9	9
4180 Budget authority, net (total) .....	9	8	9
4190 Outlays, net (total) .....	8	9	9

Under the Appalachian Regional Development Act, administrative activities of the Commission are funded equally by Federal funds and State funds. Those funds are deposited into and paid out of a trust fund at the Treasury Department.

Object Classification (in millions of dollars)

Identification code 46-9971-0-7-452	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	4	4	4
23.2 Rental payments to others .....	1	1	1
25.2 Other services from non-Federal sources .....	3	4	4
99.9 Total new obligations .....	8	9	9

**BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION**

*Trust Funds*

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-8281-0-7-502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	40	40	38

Receipts:			
0240 Interest on Investments, Barry Goldwater Scholarship and Excellence in Education Foundation .....	2	3	4
0400 Total: Balances and collections .....	42	43	42
Appropriations:			
0500 Barry Goldwater Scholarship and Excellence in Education Foundation .....	-2	-5	-5
0799 Balance, end of year .....	40	38	37

Program and Financing (in millions of dollars)

Identification code 95-8281-0-7-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3	4	4
0900 Total new obligations (object class 41.0) .....	3	4	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	26	27
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	5	5
1260 Appropriations, mandatory (total) .....	2	5	5
1930 Total budgetary resources available .....	29	31	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26	27	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-2	-4	-4
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	4	4
4180 Budget authority, net (total) .....	2	5	5
4190 Outlays, net (total) .....	2	4	4
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	65	67	66
5001 Total investments, EOY: Federal securities: Par value .....	67	66	66

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, the natural sciences and engineering. The Foundation awards approximately 300 scholarships each year.

Employment Summary

Identification code 95-8281-0-7-502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

**BROADCASTING BOARD OF GOVERNORS**

*Federal Funds*

INTERNATIONAL BROADCASTING OPERATIONS

For necessary expenses to enable the Broadcasting Board of Governors (BBG), as authorized, to carry out international communication activities, and to make and supervise grants for radio, television, and other digital broadcasting to the Middle East, \$722,580,000: Provided, That funds appropriated under this heading shall be made available to expand un-

restricted access to information on the Internet through the development and use of circumvention and secure communication technologies: Provided further, That the circumvention technologies and programs supported by such funds shall undergo a review, to include an assessment of protections against such technologies being used for illicit purposes: Provided further, That the BBG shall coordinate the development and use of such technologies with the Secretary of State, as appropriate: Provided further, That, of the total amount appropriated under this heading, not to exceed \$16,000 may be used for official receptions within the United States as authorized, not to exceed \$35,000 may be used for representation abroad as authorized, and not to exceed \$39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty: Provided further, That the authority provided by section 504(c) of the Foreign Relations Authorization Act, Fiscal Year 2003 (Public Law 107-228; 22 U.S.C. 6206 note) shall remain in effect through September 30, 2014: Provided further, That the BBG shall notify the Committees on Appropriations within 15 days of any determination by the Board that any of its broadcast entities, including its grantee organizations, provides an open platform for international terrorists or those who support international terrorism, or is in violation of the principles and standards set forth in the United States International Broadcasting Act of 1994 (22 U.S.C. 6202(a) and (b)) or the entity's journalistic code of ethics: Provided further, That significant modifications to transmission platforms (shortwave, medium wave, satellite, Internet, and television) resulting in significant cost reallocations among programs, projects, or activities shall be reported annually to the Committees on Appropriations: Provided further, That, in addition to funds made available under this heading, and notwithstanding any other provision of law, up to \$5,000,000 in receipts from advertising and revenue from business ventures, up to \$500,000 in receipts from cooperating international organizations, and up to \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau shall remain available until expended for carrying out authorized purposes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-0206-0-1-154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Broadcasting Board of Governors .....	751	712	718
0100 Subtotal, direct obligations .....	751	712	718
0801 Reimbursable program .....	5	5	5
0900 Total new obligations .....	756	717	723
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	2	31
1011 Unobligated balance transfer from other accts [95-1147] .....	1	1	
1050 Unobligated balance (total) .....	14	3	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	745	749	723
1160 Appropriation, discretionary (total) .....	745	749	723
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	6	6
1701 Change in uncollected payments, Federal sources .....	-3	-3	-3
1750 Spending auth from offsetting collections, disc (total) .....		3	3
1900 Budget authority (total) .....	745	752	726
1930 Total budgetary resources available .....	759	755	757
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	-7	-7
1941 Unexpired unobligated balance, end of year .....	2	31	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	113	124	100
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	-1		
3010 Obligations incurred, unexpired accounts .....	756	717	723
3011 Obligations incurred, expired accounts .....	2	2	2
3020 Outlays (gross) .....	-739	-743	-725

3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	124	100	100
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-2	-1
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	1		
3070 Change in uncollected pymts, Fed sources, unexpired .....	3	3	3
3071 Change in uncollected pymts, Fed sources, expired .....	2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	105	122	99
3200 Obligated balance, end of year .....	122	99	100
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	745	752	726
Outlays, gross:			
4010 Outlays from new discretionary authority .....	642	632	610
4011 Outlays from discretionary balances .....	97	111	115
4020 Outlays, gross (total) .....	739	743	725
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-8	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	3	3	3
4052 Offsetting collections credited to expired accounts .....	2	2	2
4060 Additional offsets against budget authority only (total) .....	5	5	5
4070 Budget authority, net (discretionary) .....	745	749	723
4080 Outlays, net (discretionary) .....	734	735	717
4180 Budget authority, net (total) .....	745	749	723
4190 Outlays, net (total) .....	734	735	717

This appropriation provides operational funding for U.S. non-military, international broadcasting programs, including the Voice of America, Office of Cuba Broadcasting, Radio Free Europe/Radio Liberty, Radio Free Asia, and the Middle East Broadcasting Networks and the necessary engineering and technical, program, and administrative support activities.

In 2014, funding is included to support the Broadcasting Board of Governors global operations, investments in digital and new media efforts, enhanced programming in the Maghreb and trans-Saharan regions of Africa, and the evolution from shortwave to digital technologies (satellite and Internet radio, mobile phone, and social media).

**Object Classification** (in millions of dollars)

Identification code 95-0206-0-1-154	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	173	163	163
11.3 Other than full-time permanent .....	6	5	5
11.5 Other personnel compensation .....	11	11	11
11.8 Special personal services payments .....	3	3	3
11.9 Total personnel compensation .....	193	182	182
12.1 Civilian personnel benefits .....	54	51	51
21.0 Travel and transportation of persons .....	4	3	5
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	30	31	33
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	83	75	76
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	88	80	80
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	1	1	1
25.5 Research and development contracts .....	11	10	10
25.7 Operation and maintenance of equipment .....	12	12	13
26.0 Supplies and materials .....	10	9	9
31.0 Equipment .....	12	11	11
41.0 Grants, subsidies, and contributions .....	245	237	237
99.0 Direct obligations .....	753	712	718
99.0 Reimbursable obligations .....	3	5	5
99.9 Total new obligations .....	756	717	723

INTERNATIONAL BROADCASTING OPERATIONS—Continued  
Employment Summary

Identification code 95-0206-0-1-154	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,864	1,870	1,962

BROADCASTING CAPITAL IMPROVEMENTS

For the purchase, rent, construction, and improvement of facilities for radio, television, and digital transmission and reception, and purchase and installation of necessary equipment for radio, television, and digital transmission and reception, as authorized, \$8,500,000, to remain available until expended, as authorized.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95-0204-0-1-154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Upgrade of existing relay station capabilities .....	6	2	3
0003 Maintenance, improvements, replacements and repairs .....	6	5	5
0005 Satellite and terrestrial feed systems .....	1	1	1
0192 Total direct obligations .....	13	8	9
0900 Total new obligations .....	13	8	9

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		9	11
1021 Recoveries of prior year unpaid obligations .....	3		3
1050 Unobligated balance (total) .....	9	9	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7	8	9
1160 Appropriation, discretionary (total) .....	7	8	9
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	2	5
1750 Spending auth from offsetting collections, disc (total) .....	6	2	5
1900 Budget authority (total) .....	13	10	14
1930 Total budgetary resources available .....	22	19	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	11	19

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	14	13
3010 Obligations incurred, unexpired accounts .....	13	8	9
3020 Outlays (gross) .....	-10	-9	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		-3
3050 Unpaid obligations, end of year .....	14	13	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	14	13
3200 Obligated balance, end of year .....	14	13	7

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	13	10	14
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	5
4011 Outlays from discretionary balances .....	7	6	7
4020 Outlays, gross (total) .....	10	9	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-6	-2	-5
4180 Budget authority, net (total) .....	7	8	9
4190 Outlays, net (total) .....	4	7	7

This account provides funding for maintenance and improvement of the Broadcasting Board of Governors' worldwide transmission network. This activity funds the upgrade of transmission

facilities and equipment to improve transmission quality and includes digital media management, the conversion of program production and operations to a digital domain, broadcast disaster recovery, and infrastructure projects. Further activities include the continuing repairs and improvements required to maintain the global transmission and communications network, assessing and maintaining building and physical security requirements, the construction and maintenance of the Satellite Interconnect System (SIS), Television Receive Only (TVRO) earth stations, advanced data networks, and upgrading global satellite distribution and operations.

Object Classification (in millions of dollars)

Identification code 95-0204-0-1-154	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	4	4	4
25.4 Operation and maintenance of facilities .....	2	1	2
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	2	2
99.9 Total new obligations .....	13	8	9

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 95-1147-0-1-154	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	
1010 Unobligated balance transfer to other accts [95-0206] .....	-1	-1	
1012 Unobligated balance transfers between expired and unexpired accounts .....	2		
1050 Unobligated balance (total) .....	1		
1930 Total budgetary resources available .....	1		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the President's Budget. As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 95-8285-0-7-602	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	7	7
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1		
1050 Unobligated balance (total) .....	7	7	7
1930 Total budgetary resources available .....	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7

This fund is maintained to pay separation costs for Foreign Service National employees of the Broadcasting Board of Governors in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, and amended by Division G of P.L. 105-277, the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government

contributions which are appropriated in the International Broadcasting Operations account.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
95-32268 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	2	.....	.....
General Fund Offsetting receipts from the public .....	2	.....	.....

**GENERAL PROVISIONS**

**CREATION OF THE POSITION OF CHIEF EXECUTIVE OFFICER OF UNITED STATES INTERNATIONAL BROADCASTING**

SEC. 701. (a) Section 304(f) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204(f)) is amended to read as follows:

(f) **DECISIONS.**—Decisions of the Board shall be made by majority vote, a quorum being present. A quorum shall consist of a majority of Governors then serving (as determined under subsection (c) of this Section) at the time a decision of the Board is made.

(b) Sections 305(a)(2) and (11) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204(a)(2) and (11)) are amended to read as follows:

(a) **AUTHORITIES**

The entity known as the Broadcasting Board of Governors shall have the following authorities:

(2) To review and evaluate the mission and operation of, and to assess the quality, effectiveness, and professional integrity of, all such activities within the context of the broad foreign policy objectives of the United States, and to set the strategic direction for international broadcasting activities of the Federal and non-Federal entities granted funds under the Broadcasting Board of Governors.

(11) To appoint a Chief Executive Officer for a 5-year term that is renewable at the Board's discretion and such other staff personnel of the Board as the Board may determine to be necessary, subject to the provisions of Title 5 governing appointments, classification, and compensation. The Board shall appoint a Chief Executive Officer by no later than 180 days following the effective date hereof (and may appoint an interim Chief Executive Officer prior to such appointment) and, thereafter, within 180 days of the departure or removal of a Chief Executive Officer. The Chief Executive Officer may be removed by the Board by a 2/3 majority of Governors then serving.

(c) Sections 305(b), (c), and (d) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204) are amended to read as follows:

(b) **DELEGATION OF AUTHORITY.**—The Chief Executive Officer shall have sole responsibility to carry out the authorities enumerated in 22 USC 6204(a)(1), (5), (6), (7), (8), (10), (11 (except the authority to appoint the Chief Executive Officer under paragraph (11) of subsection (a))), (12), (13), (14), (15), (16), (17), (18), and (19) subject to the ongoing supervision of the Board. The Board, at its discretion, may delegate the responsibilities enumerated in 22 USC 6204(a)(2), (3), (4), and (9) which may be delegated to the extent the Board determines to be appropriate. The Chief Executive Officer shall exercise any authority so delegated subject to the ongoing supervision of the Board, except the authority to appoint and remove the Chief Executive Officer under paragraph (11) of subsection (a), which shall be exercised solely by the Board.

(c) **BROADCASTING BUDGETS.**—The Chief Executive Officer shall submit proposed budgets to the Board for all activities authorized to be conducted under this title for review and approval. The Board shall forward its recommendations concerning the proposed budget for the Board and broadcasting activities under this title, the Radio Broadcasting to Cuba Act, and the Television Broadcasting to Cuba Act to the Office of Management and Budget.

(d) **PROFESSIONAL INDEPENDENCE OF BROADCASTERS.**—The Secretary of State, the Board, and the Chief Executive Officer, in carrying out their functions, shall respect the professional independence and integrity of the International Broadcasting Bureau, its broadcasting services, and the grantees of the Board.

(d) Section 307(b) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6206(b)) is amended to read as follow:

(b) **SELECTION OF DIRECTOR OF BUREAU.**—The Director of the Bureau shall be abolished immediately after the individual holding that office on the date of the enactment of this Act ceases to hold that office, and all responsibilities and authorities shall be transferred to the Chief Executive Officer.

(e) Section 310(a), (b), (c), and (d) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6209) is to read as follows:

**Section 310-Establishment of the Chief Executive Officer**

(a) **FUNCTIONS AND DUTIES.**

(1) The Chief Executive Officer shall have the following functions and duties:

(i) To exercise the authorities identified pursuant to Section 305(b);

(ii) To exercise the authorities delegated by the Board of Governors pursuant to Section 305(b);

(iii) To carry out all of the broadcasting activities conducted pursuant to this title, the Radio Broadcasting to Cuba Act, and the Television Broadcasting to Cuba Act.

(2) The Chief Executive Officer shall not assume any of the functions and duties of the Director of the International Broadcasting Bureau until the individual holding that office on the date of the enactment of this Act ceases to hold that office.

(b) **ORGANIZATION OF BROADCASTING ACTIVITIES SUBORDINATE TO THE CHIEF EXECUTIVE OFFICER.**—The position of the Director of the International Broadcasting Bureau shall be abolished immediately after the individual holding that office on the date of the enactment of this Act ceases to hold that office, and the functions and activities formerly organized under such Director shall be organized as directed by, and shall be subordinate to, the Chief Executive Officer. The Directors of the Voice of America and the Office of Cuba Broadcasting shall report, and are subordinate, to the Chief Executive Officer. RFE/RL, Incorporated; Radio Free Asia; and Middle East Broadcast Networks, Incorporated are independent organizations but shall communicate and report through the Chief Executive Officer to the Board.

(c) **CHIEF EXECUTIVE OFFICER AUTHORITY OVER GRANTS.**—For all grants made by the Broadcasting Board of Governors, a condition of the grant shall be that the Chief Executive Officer shall have authority to redirect funds within the scope of the grant as needed in order to maintain consistency with Board-approved agency priorities worldwide. Failure to comply with any redirection in accordance with this condition shall be a violation of the terms and conditions of the award and may result in corrective action taken by the Broadcasting Board of Governors, which may include suspension or termination of the grant until remedied.

(d) **CONGRESSIONAL LOBBYING.**—No grant funds provided by the Broadcasting Board of Governors may be used for any activity for the purpose of influencing the passage or defeat of legislation being considered by Congress.

**BUREAU OF CONSUMER FINANCIAL PROTECTION**

**Federal Funds**

**BUREAU OF CONSUMER FINANCIAL PROTECTION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-5577-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund .....	343	522	497
Adjustments:			
0290 Adjustment - receipts rounding issue .....	1	.....	.....

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 95-5577-0-2-376	2012 actual	2013 CR	2014 est.
0299 Total receipts and collections .....	344	522	497
0400 Total: Balances and collections .....	344	522	497
Appropriations:			
0500 Bureau of Consumer Financial Protection Fund .....	-344	-522	-497
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 95-5577-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Consumer Financial Protection Bureau .....	300	541	497
0100 Direct program activities, subtotal .....	300	541	497

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	48	100	81
1021 Recoveries of prior year unpaid obligations .....	8		
1050 Unobligated balance (total) .....	56	100	81
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	344	522	497
1260 Appropriations, mandatory (total) .....	344	522	497
1930 Total budgetary resources available .....	400	622	578
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	100	81	81

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	110	301
3010 Obligations incurred, unexpired accounts .....	300	541	497
3020 Outlays (gross) .....	-234	-350	-508
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050 Unpaid obligations, end of year .....	110	301	290
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	52	110	301
3200 Obligated balance, end of year .....	110	301	290

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	344	522	497
Outlays, gross:			
4100 Outlays from new mandatory authority .....	144	339	323
4101 Outlays from mandatory balances .....	90	11	185
4110 Outlays, gross (total) .....	234	350	508
4180 Budget authority, net (total) .....	344	522	497
4190 Outlays, net (total) .....	234	350	508

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	80	187	281
5001 Total investments, EOY: Federal securities: Par value .....	187	281	308

The Consumer Financial Protection Bureau (CFPB) was established under Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) as an independent bureau in the Federal Reserve System. To create a single point of accountability in the Federal government for consumer financial protection, the Act consolidated authorities previously shared by seven Federal agencies under Federal consumer financial laws into the CFPB and provided the Bureau with additional authorities to:

- Conduct rulemaking, supervision, and enforcement with respect to Federal consumer financial laws;
- Handle consumer complaints and inquiries about financial products;
- Promote financial education, literacy, and access;
- Research consumer behavior; and,
- Monitor financial markets for new risks to consumers.

Funding required to support the CFPB's operations is obtained primarily through transfers from the Board of Governors of the Federal Reserve System. The Act provides that such funds "shall not be construed to be Government funds or appropriated monies..." Transfers to the Bureau in 2013 are capped at \$597.6 million. The transfer cap for 2014, as adjusted by an annual inflation indicator, is estimated to be \$608.4 million. The Bureau anticipates requesting less than the transfer cap to fund operations in 2013 and 2014 and the Budget reflects estimates of \$522 and \$497 million, respectively. The CFPB is also authorized to request up to \$200 million in discretionary appropriations in 2010-2014 if the amount transferred by the Federal Reserve is not sufficient. Any request to secure funding through discretionary appropriations under this provision would be subject to the Congressional appropriations process. The CFPB is not requesting a discretionary appropriation in 2013 or 2014.

Pursuant to the Act, the CFPB is also authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. These fees are maintained and displayed in a separate account titled "Consumer Financial Civil Penalty Fund."

For further discussion of the CFPB's activities, see the chapter on Financial Stabilization and Their Budgetary Effects in the *Analytical Perspectives* volume of the Budget.

Object Classification (in millions of dollars)

Identification code 95-5577-0-2-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	99	154	203
12.1 Civilian personnel benefits .....	34	56	72
21.0 Travel and transportation of persons .....	10	19	23
23.1 Rental payments to GSA .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	1	1
24.0 Printing and reproduction .....	2	2	2
25.2 Other services from non-Federal sources .....	135	183	174
26.0 Supplies and materials .....	3	4	4
31.0 Equipment .....	15	26	17
32.0 Land and structures .....		95	
99.9 Total new obligations .....	300	541	497

Employment Summary

Identification code 95-5577-0-2-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	831	1,214	1,545

CONSUMER FINANCIAL CIVIL PENALTY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-5578-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Penalties and Fines, Consumer Financial Protection .....	32	14	
0400 Total: Balances and collections .....	32	14	
Appropriations:			
0500 Consumer Financial Civil Penalty Fund .....	-32	-14	
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 95-5578-0-2-376	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		32	46

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	32	14
1260	Appropriations, mandatory (total) .....	32	14
1930	Total budgetary resources available .....	32	46
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	32	46
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	32	14
4180	Budget authority, net (total) .....	32	14

Pursuant to Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), the Consumer Financial Protection Bureau (CFPB) is authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. Per the Act, such funds will be available for payments to the victims of activities for which civil penalties have been imposed under the Federal consumer financial laws. To the extent that such victims cannot be located or payments are not practicable, the CFPB may use such funds for consumer education and financial literacy programs.

**CENTRAL INTELLIGENCE AGENCY**

*Federal Funds*

CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, \$514,000,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 56–3400–0–1–054			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Personnel benefits .....	514	514
0900	Total new obligations (object class 13.0) .....	514	514
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	514	514
1260	Appropriations, mandatory (total) .....	514	514
1930	Total budgetary resources available .....	514	514
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	514	514
3020	Outlays (gross) .....	–514	–514
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	514	514
Outlays, gross:			
4100	Outlays from new mandatory authority .....	514	514
4180	Budget authority, net (total) .....	514	514
4190	Outlays, net (total) .....	514	514

Independent actuarial projections show the CIARDS Fund with an unfunded liability of \$6.2 billion. To ensure that the Fund remains solvent and authorized payments to beneficiaries continue, the Budget requests \$514 million in 2014. This amount re-

flects the amortized cost of recapitalizing the CIARDS Fund over twenty years.

**CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, as amended, including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902, and for services authorized by 5 U.S.C. 3109 but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, \$11,484,000: Provided, That the Chemical Safety and Hazard Investigation Board (Board) shall have not more than three career Senior Executive Service positions: Provided further, That notwithstanding any other provision of law, the individual appointed to the position of Inspector General of the Environmental Protection Agency (EPA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board: Provided further, That notwithstanding any other provision of law, the Inspector General of the Board shall utilize personnel of the Office of Inspector General of EPA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95–3850–0–1–304			
	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	11	11
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	11	11
1160	Appropriation, discretionary (total) .....	11	11
1930	Total budgetary resources available .....	12	12
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2	1
3010	Obligations incurred, unexpired accounts .....	11	11
3020	Outlays (gross) .....	–11	–11
3041	Recoveries of prior year unpaid obligations, expired .....	–1	.....
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2	1
3200	Obligated balance, end of year .....	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	11	11
Outlays, gross:			
4010	Outlays from new discretionary authority .....	10	10
4011	Outlays from discretionary balances .....	1	1
4020	Outlays, gross (total) .....	11	11
4180	Budget authority, net (total) .....	11	11
4190	Outlays, net (total) .....	11	11

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through

SALARIES AND EXPENSES—Continued

investigating chemical accidents; making recommendations for accident prevention; conducting special studies; broadly disseminating its findings to industry and labor organizations; and advising the President and the Congress on key issues relating to chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other Federal agencies to implement Board recommendations. As authorized by law, the Board will submit a concurrent request for 2014 to the Congress and OMB.

Object Classification (in millions of dollars)

Identification code 95-3850-0-1-304	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4	4	4
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	1	1	1
25.1 Advisory and assistance services .....	2	2	2
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations .....	11	11	11

Employment Summary

Identification code 95-3850-0-1-304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	43	51	51

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Federal Funds

Program and Financing (in millions of dollars)

Identification code 76-0100-0-1-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1		
0900 Total new obligations (object class 41.0) .....	1		
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1		
1160 Appropriation, discretionary (total) .....	1		
1930 Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	1		
3020 Outlays (gross) .....	-1		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1		
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1		
4180 Budget authority, net (total) .....	1		
4190 Outlays, net (total) .....	1		

Employment Summary

Identification code 76-0100-0-1-502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		2	2

Trust Funds

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 76-8187-0-7-502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			1
<b>Receipts:</b>			
0240 General Fund Payment, Christopher Columbus Scholarship Fund .....	1	1	1
0400 Total: Balances and collections .....	1	1	2
<b>Appropriations:</b>			
0500 Christopher Columbus Fellowship Foundation .....	-1		
0799 Balance, end of year .....		1	2

Program and Financing (in millions of dollars)

Identification code 76-8187-0-7-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	1	
0900 Total new obligations (object class 99.5) .....	1	1	
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	1		
1260 Appropriations, mandatory (total) .....	1		
1930 Total budgetary resources available .....	2	1	
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	1	1	
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....		1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1		
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1		
4180 Budget authority, net (total) .....	1		
4190 Outlays, net (total) .....	1		

Public Law 102-281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from the sale of Christopher Columbus Quincentenary coins were placed in the Foundation's trust fund to operate the Foundation's programs.

The Foundation supports competitive programs rewarding American scientist/researchers, companies, educators and students who develop new innovations and innovative approaches to homeland security, life sciences, agriscience and solving community issues through science and education.

The Foundation will continue its programs until its funds are expended.

**Employment Summary**

Identification code 76-8187-0-7-502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2		

99.9 Total new obligations .....			17
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**Employment Summary**

Identification code 95-3753-4-1-804	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....			38

**CIVILIAN PROPERTY REALIGNMENT BOARD**

**Federal Funds**

SALARIES AND EXPENSES  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 95-3753-4-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....			17
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			17
1260 Appropriations, mandatory (total) .....			17
1930 Total budgetary resources available .....			17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			17
3020 Outlays (gross) .....			-17
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			17
Outlays, gross:			
4100 Outlays from new mandatory authority .....			17
4180 Budget authority, net (total) .....			17
4190 Outlays, net (total) .....			17

The Civilian Property Realignment Board, as envisioned by the Administration's Civilian Property Realignment Act proposal, is an independent agency that assists the President and Congress in identifying ways the Government can eliminate unneeded assets and downsize its real property inventory. This independent structure, which was modeled off of the successful Base Realignment and Closure (BRAC) process, would enable the Federal Government to cut through the challenging competing stakeholder interests that slow the disposal and consolidation of unneeded properties. Though the Federal Government has made real progress on reforming the management of its real property, through actions such as issuing the "Freeze the Footprint" policy, this independent Board would allow us to achieve long-desired opportunities for reform and deficit reduction within the inventory with far greater scope, speed, and efficiency. The goals of the Board would be to sell unneeded property, reduce the operating costs of the Government, support and incentivize agency co-location, resolve the Government's reliance on costly leases, and improve the sustainability of the Government's operations.

**Object Classification** (in millions of dollars)

Identification code 95-3753-4-1-804	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			7
12.1 Civilian personnel benefits .....			2
21.0 Travel and transportation of persons .....			1
23.1 Rental payments to GSA .....			1
23.3 Communications, utilities, and miscellaneous charges .....			1
25.1 Advisory and assistance services .....			3
26.0 Supplies and materials .....			1
31.0 Equipment .....			1

**ASSET PROCEEDS AND SPACE MANAGEMENT FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 95-4350-4-3-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 CPRA Board Recommendations .....			120
0002 Transfers to the General Fund .....			120
0900 Total new obligations (object class 25.3) .....			240
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			40
1260 Appropriations, mandatory (total) .....			40
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			200
1850 Spending auth from offsetting collections, mand (total) .....			200
1900 Budget authority (total) .....			240
1930 Total budgetary resources available .....			240
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			240
3020 Outlays (gross) .....			-216
3050 Unpaid obligations, end of year .....			24
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			24
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			240
Outlays, gross:			
4100 Outlays from new mandatory authority .....			216
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....			-200
4180 Budget authority, net (total) .....			40
4190 Outlays, net (total) .....			16

The Civilian Property Realignment Board, as envisioned by the Administration's Civilian Property Realignment Act proposal, will utilize a revolving fund (the Asset Proceeds and Space Management Fund) to facilitate the disposal process by serving as a source of resources to reimburse an agency for some necessary costs associated with disposing of property. Through this fund, the Board may provide, upon approval of the Director of the Office of Management and Budget, logistical and financial support to agencies in their efforts to prepare properties for disposal, consolidation, co-location, or other reconfiguration. The appropriation in the amount of \$40,000,000 will supply initial capital to fund this role of the Board. Thereafter, at least sixty percent of net proceeds received from the sale of any property implemented as a result of a Board recommendation shall be sent directly to the General Fund of the Treasury. In a proportion decided by the Director of the Office of Management and Budget, the remaining forty percent will be used to replenish this Asset Proceeds and Space Management fund and for the purpose of investments in agency real property management. The retention of agency proceeds by the Board's revolving fund will allow the Board to continue its role to provide logistical and financial sup-

ASSET PROCEEDS AND SPACE MANAGEMENT FUND—Continued  
port to agencies implementing Board recommendations, as well as fund the Board's own operations, reducing the need for future appropriated funds.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Intragovernmental payments:			
95-26300 Asset Sale Proceeds .....			120
General Fund Intragovernmental payments .....			120

**COMMISSION OF FINE ARTS**

*Federal Funds*

SALARIES AND EXPENSES

*For expenses of the Commission of Fine Arts under Chapter 91 of title 40, United States Code, \$2,406,000: Provided, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation: Provided further, That the Commission is authorized to accept gifts, including objects, papers, artwork, drawings and artifacts, that pertain to the history and design of the Nation's Capital or the history and activities of the Commission of Fine Arts, for the purpose of artistic display, study or education.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2600-0-1-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2	2	2
0900 Total new obligations .....	2	2	2
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2
1160 Appropriation, discretionary (total) .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	2	2

The Commission advises the President, the Congress, and department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the Nation's Capital.

**Object Classification** (in millions of dollars)

Identification code 95-2600-0-1-451	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	2	2	2

**Employment Summary**

Identification code 95-2600-0-1-451	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	11	11	11

**NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2602-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2	2	
0900 Total new obligations (object class 41.0) .....	2	2	
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	
1160 Appropriation, discretionary (total) .....	2	2	
1930 Total budgetary resources available .....	2	2	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	2	
3020 Outlays (gross) .....	-2	-2	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	
4180 Budget authority, net (total) .....	2	2	
4190 Outlays, net (total) .....	2	2	

No funding is proposed for this non-competitive grants program administered by the Commission of Fine Arts. The President's Budget proposes funding to transform this program to a competitive grants program administered by the District of Columbia.

**COMMISSION ON CIVIL RIGHTS**

*Federal Funds*

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, \$9,400,000: Provided, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: Provided further, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days: Provided further, That none of the funds appropriated in this paragraph shall be used for any activity or expense that is not explicitly authorized by 42 U.S.C.*

1975a: Provided further, That there shall be an Inspector General at the Commission on Civil Rights who shall have the duties, responsibilities, and authorities specified in the Inspector General Act of 1978, as amended: Provided further, That an individual appointed to the position of Inspector General of the Government Accountability Office (GAO) shall, by virtue of such appointment, also hold the position of Inspector General of the Commission on Civil Rights: Provided further, That the Inspector General of the Commission on Civil Rights shall utilize personnel of the Office of Inspector General of GAO in performing the duties of the Inspector General of the Commission on Civil Rights, and shall not appoint any individuals to positions within the Commission on Civil Rights: Provided further, That of the amounts made available in this paragraph, \$250,000 shall be transferred directly to the Office of Inspector General of GAO upon enactment of this Act for salaries and expenses necessary to carry out the duties of the Inspector General of the Commission on Civil Rights.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95–1900–0–1–751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	9	9	9
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	9	9
1160 Appropriation, discretionary (total) .....	9	9	9
1930 Total budgetary resources available .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	9	9	9
3020 Outlays (gross) .....	–8	–9	–9
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	9	9
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	8	9	9
4180 Budget authority, net (total) .....	9	9	9
4190 Outlays, net (total) .....	8	9	9

Originally established by the Civil Rights Act of 1957, the U.S. Commission on Civil Rights (USCCR) is an independent, bipartisan, fact-finding Federal agency. Its mission is to inform the development of national civil rights policy and enhance enforcement of Federal civil rights laws. The Commission pursues this mission by studying alleged deprivations of voting rights and alleged discrimination based on race, color, religion, sex, age, disability, or national origin, or in the administration of justice. The Commission plays a vital role in advancing civil rights through objective and comprehensive investigation, research, and analysis on issues of fundamental concern to the Federal government and the public. The Commission also supports a network of State Advisory Committees, each composed of a diverse group of citizen volunteers, which conduct civil rights research at the State and regional levels.

**Object Classification** (in millions of dollars)

Identification code 95–1900–0–1–751	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
99.9 Total new obligations .....	9	9	9

**Employment Summary**

Identification code 95–1900–0–1–751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	39	44	44

**COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED**

**Federal Funds**

**SALARIES AND EXPENSES**

*For expenses necessary for the Committee for Purchase From People Who Are Blind or Severely Disabled established by Public Law 92–28, \$5,396,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95–2000–0–1–505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	5	5	5
0900 Total new obligations .....	5	5	5
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	5
1160 Appropriation, discretionary (total) .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	–5	–5	–5
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	4	4
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....	5	5	5
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	5	5	5

The Committee for Purchase From People Who Are Blind or Severely Disabled (operating as the U.S. AbilityOne Commission) administers the AbilityOne Program under the authority of the Javits-Wagner-O'Day Act of 1971, as amended. The principal objective of AbilityOne is to leverage the purchasing power of the Federal Government to provide employment opportunities for

SALARIES AND EXPENSES—Continued

people who are blind or have other significant disabilities. The Committee accomplishes its mission by identifying Government procurement requirements that can create employment opportunities for individuals who are blind or have other significant disabilities. Following opportunities for public comment and after due deliberation, the Committee then places such products and service requirements on the AbilityOne Procurement List, thus requiring Federal departments and agencies to procure the designated products and services from a network of just below 600 qualified State and private nonprofit agencies (NPAs) employing people who are blind or have other significant disabilities.

The long-term vision of AbilityOne is to enable people who are blind or have other significant disabilities to achieve their maximum employment potential. In 2012, approximately 50,000 AbilityOne employees earned a combined total of more than \$550 million in wages, with an average hourly wage of \$11.35. As a result, many individuals were able to reduce their dependence on Social Security, Supplemental Nutrition Assistance, Temporary Assistance for Needy Families, and other public income transfer payments.

AbilityOne continues to emphasize providing employment to veterans, with more than 3,300 employed in direct or indirect labor positions, including supervision and management. To meet the changing needs of the Federal Government and employment interests of people who are blind or have other significant disabilities, AbilityOne has opened new lines of business in areas such as contract management services, automotive fleet management, document destruction services, and secure mail facility management. In addition to pursuing these initiatives, AbilityOne has expanded the range of unique military products and services it has traditionally provided to meet the needs of the Nation's war fighters. The resources proposed for 2014 would enable the Committee to continue increasing employment opportunities for people who are blind or have other significant disabilities while providing Federal departments and agencies with high quality products and services to support their missions.

Object Classification (in millions of dollars)

Identification code 95-2000-0-1-505	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	3	3	3
99.5 Below reporting threshold .....	2	2	2
99.9 Total new obligations .....	5	5	5

Employment Summary

Identification code 95-2000-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	27	27	27

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95-1400-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Market Oversight .....	27	27	42
0002 Enforcement .....	38	38	51
0003 Clearing and Risk .....	13	13	27
0004 Swap Dealer and Intermediary Oversight .....	17	17	37
0005 General Counsel .....	12	12	17
0006 Chief Economist .....	4	4	6
0007 International Affairs .....	2	2	4
0008 Agency Direction .....	7	7	7
0009 Administrative Management and Support .....	23	23	21
0010 Data and Technology .....	71	69	102
0011 Inspector General .....	1	1	1
0900 Total new obligations .....	215	213	315
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	6	.....
1021 Recoveries of prior year unpaid obligations .....	6	.....	.....
1050 Unobligated balance (total) .....	16	6	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	205	207	315
1160 Appropriation, discretionary (total) .....	205	207	315
1930 Total budgetary resources available .....	221	213	315
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	44	49	29
3010 Obligations incurred, unexpired accounts .....	215	213	315
3020 Outlays (gross) .....	-203	-233	-303
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	49	29	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	44	49	29
3200 Obligated balance, end of year .....	49	29	41
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	205	207	315
Outlays, gross:			
4010 Outlays from new discretionary authority .....	160	184	280
4011 Outlays from discretionary balances .....	43	49	23
4020 Outlays, gross (total) .....	203	233	303
4180 Budget authority, net (total) .....	205	207	315
4190 Outlays, net (total) .....	203	233	303

COMMODITY FUTURES TRADING COMMISSION

Federal Funds

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles, and the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, \$315,000,000, to remain available until September 30, 2015, including not to exceed \$3,000 for official reception and representation expenses, and not to exceed \$25,000 for the expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials.

The mission of the Commodity Futures Trading Commission (CFTC or Commission) is to protect market users, consumers and the public at large from fraud, manipulation, and other abusive practices, and systemic risk related to derivatives that are subject to the Commodity Exchange Act (CEA or the Act) and to foster open, transparent, competitive, and financially sound markets. Congress established the CFTC as an independent agency in 1974. The CFTC administers the Act, 7 U.S.C. Section 1, et. seq. The Act established a comprehensive regulatory structure to oversee the volatile futures trading complex, including futures trading in all goods, articles, services, rights and interests; commodity options trading; and leverage trading in gold and silver bullion and coins.

To meet changing market conditions, CFTC's mandate has been renewed and expanded several times since its inception. Most recently, and in response to the 2008 financial crisis, the scope of CFTC's mission grew dramatically in 2010 by the enactment

of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Dodd-Frank Act) (P.L. 111–203), which amended the CEA and expanded CFTC's mission to include oversight of the previously unregulated over-the-counter (OTC) swaps marketplace.

The goal of the CEA is to serve the public interests through overseeing a system of effective self-regulation of trading facilities, clearing systems, market participants and market professionals; promoting market integrity so that the price discovery on markets is based on the underlying economic factors of supply and demand; ensuring the financial integrity of all transactions subject to the Act; ensuring the avoidance of systemic risk; protecting all market participants from fraud or other abusive sales practices; protecting customer assets; protecting responsible innovation among boards of trade, other markets and market participants; promoting fair competition among boards of trade, other markets and market participants; and promoting transparency in the swaps marketplace.

The markets under CFTC's regulatory purview are large and economically significant. The CFTC regulates futures and options markets of an estimated \$30 trillion notional value in the United States; these markets are, in turn, impacted by the \$60 trillion notional value globally. With the passage of the Dodd-Frank Act, the CFTC is tasked with regulating the swaps markets with an estimated notional value of over \$300 trillion in the United States and \$600 trillion globally.

In FY 2014, the Administration is requesting a total of \$315 million and 1,015 FTE to support the first full year of Dodd-Frank Act sustaining activities, including \$73 million for information technology spending. The allocation of these resources will be adjusted among the mission activities to reflect the transition from Dodd-Frank Act start-up activities to sustaining activities in 2014. The Commission will be well positioned to build its operational capabilities, evaluate changes in the industry as it responds to the new Dodd-Frank Act regulatory framework, and address any unanticipated issues that will naturally arise in implementing the regulatory reforms called for under the Dodd-Frank Act. The bulk of the information technology investment will support the Commission's surveillance programs, including continued integration of swap data repository and derivative clearing organization data, integration of tools used by the self-regulatory organizations, reduced latency for processing market data and increasing the number of entities providing order message data.

In FY 2014, the Commission will spend just over one-third (34 percent) of the Commission's resources on surveillance (including data acquisition and analytics) and examinations of systemically important derivatives clearing organizations as required by Title VIII of the Dodd-Frank Act, and examinations of other significant registered entities.

Another 22 percent of its resources will be focused on registering new entities and ensuring that registered entities comply with new core principles and regulations; reviewing new contracts as provided in the Act, making mandatory clearing determinations, and rule review activities; providing economic analyses and legal guidance and interpretations on the new regulatory framework; and coordinating with international regulators to ensure cross-border harmonization of these global markets.

Approximately 18 percent of the Commission's resources will be dedicated to enforcement activities.

The remaining 26 percent of the Commission's resources will be responsible for supporting information technology infrastructure (16 percent) and agency direction, management, and administrative support (10 percent).

The Administration strongly supports fully funding the CFTC through user fees assessed on the sale of commodity futures, options, and swaps contracts, as assumed in the Budget. Authorization of fees would bring the CFTC into line with nearly all other Federal financial regulators, which are funded in whole or in part through user fees.

#### Object Classification (in millions of dollars)

Identification code 95–1400–0–1–376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	95	95	144
11.3 Other than full-time permanent .....	3	3	5
11.5 Other personnel compensation .....	1	1	2
11.9 Total personnel compensation .....	99	99	151
12.1 Civilian personnel benefits .....	29	29	44
21.0 Travel and transportation of persons .....	2	2	3
23.2 Rental payments to others .....	17	19	21
23.3 Communications, utilities, and miscellaneous charges .....	4	4	8
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	54	50	74
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	8	8	12
99.9 Total new obligations .....	215	213	315

#### Employment Summary

Identification code 95–1400–0–1–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	687	707	1,015

#### CUSTOMER PROTECTION FUND

##### Program and Financing (in millions of dollars)

Identification code 95–4334–0–3–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0802 Whistleblower Payments .....		10	10
0803 Customer Education Initiatives .....		1	1
0804 Program Direction .....		1	1
0900 Total new obligations .....		12	12
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	24	100	100
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	76	12	12
1850 Spending auth from offsetting collections, mand (total) .....	76	12	12
1930 Total budgetary resources available .....	100	112	112
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	100	100	100
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....		12	12
3020 Outlays (gross) .....		–12	–12
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	76	12	12
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		12	12
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....		–12	–12
4124 Offsetting governmental collections .....	–76		
4130 Offsets against gross budget authority and outlays (total) .....	–76	–12	–12
4170 Outlays, net (mandatory) .....	–76		
4190 Outlays, net (total) .....	–76		
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....		77	100

CUSTOMER PROTECTION FUND—Continued  
Program and Financing—Continued

Identification code 95-4334-0-3-376	2012 actual	2013 CR	2014 est.
5001 Total investments, EOY: Federal securities: Par value .....	77	100	100

Section 748 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) amended the Commodity Exchange Act to direct the Commission to issue rules implementing incentives and protections for whistleblowers. Specifically, section 748 requires the Commission to pay awards to whistleblowers who provide original information to the Commission that leads to successful enforcement of a Commission action resulting in monetary sanctions exceeding \$1,000,000, and who satisfy other eligibility requirements. The amount of the awards, as determined by the Commission, will be between 10 to 30 percent of sanctions collected in either the Commission's action or a related action that is based upon original information provided by the whistleblower.

The Commission's award determination is dependent upon certain criteria. The Commission may exercise discretion in granting an award based upon the significance of the information, the degree of assistance provided in support of the Commission's action or related action, the Commission's programmatic interest, and other criteria. An award shall be denied to certain Government employees and others who are statutorily ineligible.

A whistleblower may appeal the Commission's award determination as to whom an award is made, the amount of an award, or the denial of an award, to the appropriate U.S. Circuit Court of Appeals.

The Customer Protection Fund is a revolving fund established under section 748 of the Act. The Commission shall deposit civil monetary penalties, disgorgements, and interest it collects in covered administrative or judicial enforcement actions into the Fund whenever the balance in the Fund at the time of the deposit is less than or equal to \$100,000,000. The Commission will not deposit restitution awarded to victims into the Fund, and will pay whistleblower awards and finance customer education initiatives from the Fund.

Object Classification (in millions of dollars)

Identification code 95-4334-0-3-376	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....		2	2
91.0 Unvouchered .....		10	10
99.9 Total new obligations .....		12	12

Employment Summary

Identification code 95-4334-0-3-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		5	6

CONSUMER PRODUCT SAFETY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$4,000 for official reception and representation expenses, \$117,000,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 61-0100-0-1-554	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Leadership in Safety .....	14	13	13
0002 Commitment to Prevention .....	24	23	23
0003 Rigorous Hazard Identification .....	35	37	37
0004 Decisive Response .....	31	33	35
0005 Raising Awareness .....	9	9	9
0100 Direct program activities, subtotal .....	113	115	117
0799 Total direct obligations .....	113	115	117
0801 Reimbursable program .....	3	4	4
0900 Total new obligations .....	116	119	121

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	115	115	117
1160 Appropriation, discretionary (total) .....	115	115	117
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	4	4
1750 Spending auth from offsetting collections, disc (total) .....	3	4	4
1900 Budget authority (total) .....	118	119	121
1930 Total budgetary resources available .....	119	120	122
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	1	1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	29	28
3010 Obligations incurred, unexpired accounts .....	116	119	121
3020 Outlays (gross) .....	-120	-120	-119
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	29	28	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	34	29	28
3200 Obligated balance, end of year .....	29	28	30

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	118	119	121
Outlays, gross:			
4010 Outlays from new discretionary authority .....	94	95	97
4011 Outlays from discretionary balances .....	26	25	22
4020 Outlays, gross (total) .....	120	120	119
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-4	-4
4180 Budget authority, net (total) .....	115	115	117
4190 Outlays, net (total) .....	117	116	115

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 112-28, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act. The 2014 resource request supports the CPSC's import surveillance pilot initiative, the proactive global outreach and education agenda, the VGB grant program, and analytical work to study and identify potential consumer product hazards.

**Object Classification** (in millions of dollars)

Identification code 61-0100-0-1-554	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	50	53	54
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	55	58	59
12.1 Civilian personnel benefits .....	15	16	16
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	8	8	8
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	21	23	22
25.3 Other goods and services from Federal sources .....	2	2	3
25.4 Operation and maintenance of facilities .....	1		
25.5 Research and development contracts .....	2	2	2
25.7 Operation and maintenance of equipment .....	2	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	1	2
99.0 Direct obligations .....	113	115	117
99.0 Reimbursable obligations .....	3	4	4
99.9 Total new obligations .....	116	119	121

**Employment Summary**

Identification code 61-0100-0-1-554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	537	548	548

**CORPORATION FOR NATIONAL AND COMMUNITY SERVICE**

**Federal Funds**

**OPERATING EXPENSES**

For necessary expenses for the Corporation for National and Community Service (referred to in this title as "CNCS") to carry out the Domestic Volunteer Service Act of 1973, as amended (referred to in this title as "1973 Act") and the National and Community Service Act of 1990, as amended (referred to in this title as "1990 Act"), \$760,264,000, notwithstanding sections 198B(b)(3), 198S(g), 501(a)(4)(C), and 501(a)(4)(F) of the 1990 Act: Provided, That of the amounts provided under this heading: (1) up to 1 percent of program grant funds may be used to defray the costs of conducting grant application reviews, including the use of outside peer reviewers and electronic management of the grants cycle; (2) \$48,815,000 shall be available for expenses authorized under section 501(a)(4)(E) of the 1990 Act, of which \$4,000,000 shall be available for the purposes of subsection 198K(m) in addition to amounts reserved under subsections 198K(m)(1) and (2); (3) \$10,000,000 shall be available for expenses authorized under section 501(a)(4)(F) of the 1990 Act, which, notwithstanding the provisions of section 198P shall be awarded by CNCS on a competitive basis; (4) \$600,000 shall be available for expenses to carry out sections 112(e), 179A, and 1980 and subtitle J of title I of the 1990 Act, notwithstanding section 501(a)(6) of the 1990 Act; (5) \$14,841,000 shall be available to provide assistance to State commissions on national and community service, under section 126(a) of the 1990 Act and notwithstanding section 501(a)(5)(B) of the 1990 Act; and (6) \$29,882,000 shall be available to carry out subtitle E of the 1990 Act: Provided further, That, with respect to amounts provided under this heading for State Service Commissions, section 126 of the 1990 Act shall be applied by substituting "\$200,000" for "\$250,000" each place that it appears.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2728-0-1-506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 AmeriCorps*NCSS .....	32	32	30
0003 AmeriCorps*State and National .....	341	346	346
0004 AmeriCorps*VISTA .....	94	95	95
0005 National Service Trust .....	211		
0006 State Comm. Admin. Grants .....	13	14	15
0007 National Senior Service Corps .....	207	209	207
0008 Innovation, Demon., and Assistance Act. ....	7	5	3
0009 Evaluations .....	3	3	5
0010 Social Innovation Fund .....	45	45	49
0011 George H.W. Bush Volunteer Generation Fund .....	4	4	10
0012 Training and Technical Assistance .....	2	2	1
0799 Total direct obligations .....	959	755	761
0801 Reimbursable program activity .....	11	54	49
0900 Total new obligations .....	970	809	810

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		32	43
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	964	755	761
1130 Appropriations permanently reduced .....	-2		
1160 Appropriation, discretionary (total) .....	962	755	761
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	42	65	7
1750 Spending auth from offsetting collections, disc (total) .....	42	65	7
1900 Budget authority (total) .....	1,004	820	768
1930 Total budgetary resources available .....	1,004	852	811
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	32	43	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	811	802	890
3010 Obligations incurred, unexpired accounts .....	970	809	810
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-958	-721	-818
3041 Recoveries of prior year unpaid obligations, expired .....	-23		
3050 Unpaid obligations, end of year .....	802	890	882
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	811	802	890
3200 Obligated balance, end of year .....	802	890	882

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,004	820	768
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	364	303	248
4011 Outlays from discretionary balances .....	594	418	570
4020 Outlays, gross (total) .....	958	721	818
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-41	-64	-6
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) .....	-42	-65	-7
4070 Budget authority, net (discretionary) .....	962	755	761
4080 Outlays, net (discretionary) .....	916	656	811
4180 Budget authority, net (total) .....	962	755	761
4190 Outlays, net (total) .....	916	656	811

The Corporation for National and Community Service (Corporation) provides opportunities for Americans to serve their community and country while meeting the Nation's greatest challenges. By working with nonprofit organizations, faith-based groups, schools, and other civic organizations, the Corporation engages Americans of all ages in community-based service that addresses educational, human, public safety, health and environmental needs. In doing so, the Corporation strengthens the ties that bind us together as a people and provides educational opportunities for those who make a commitment to service.

OPERATING EXPENSES—Continued

The Corporation plays a vital role in supporting the American culture of citizenship, service and responsibility. As the nation's largest grant maker in the area of service and volunteering, the Corporation promotes service around the country and helps organizations engage volunteers effectively. Members and volunteers who serve in Corporation programs provide vital assistance to their communities through local institutions. These institutions include: nonprofits, K–12 schools, institutions of higher learning, faith-based and other community organizations, as well as local and municipal government.

**AmeriCorps State and National grants.**—With funds channeled through both States and community-based organizations, AmeriCorps grants enable communities to recruit, train and place AmeriCorps members to meet critical local needs in the areas of Education, Healthy Futures, Economic Opportunity, Clean Energy, and Veterans as directed by the Edward M. Kennedy Serve America Act of 2009. The 2014 Budget request is \$345.8 million and funds approximately 73,000 AmeriCorps State and National members.

**AmeriCorps National Civilian Community Corps.**—AmeriCorps NCCC is a 10-month residential national service program for people ages 18–24. The 2014 Budget request is \$29.9 million and funds approximately 1,200 AmeriCorps NCCC members that will be deployed to respond to natural disasters across the nation, as well as engaged in urban and rural development projects.

**AmeriCorps Volunteers in Service to America.**—The AmeriCorps VISTA program provides full-time members to community organizations and public agencies working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support. The 2014 Budget request is \$94.8 million and funds approximately 6,200 full-time AmeriCorps VISTA members and 1,500 Summer Associates.

**AmeriCorps National Service Trust.**—The 2014 Budget request for the Trust is \$207.3 million. The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs. Accounting methodology for the Trust is specified in the Strengthen AmeriCorps Program Act of 2003.

**State Service Commission Administrative Grants.**—The 2014 Budget request for State Service Commissions is \$14.8 million. These population-based formula grants support the operation of State Service Commissions that administer approximately three-fourths of AmeriCorps State and National grant funds. Commissions are responsible for monitoring sub-grantees and ensuring that they comply with Federal requirements and performance expectations. These grants must be matched by the Commissions.

**Senior Corps.**—The 2014 Budget request for all three Senior Corps is \$207.5 million. These programs connect individuals over the age of 55 to local volunteer opportunities, including mentoring vulnerable children, providing independent living services and support to frail seniors and their caregivers, and leveraging additional volunteers.

**Training and Technical Assistance.**—The 2014 Budget for training and technical assistance services is \$600,000. The Corporation provides training and technical assistance services to programs and entities receiving or applying for financial support from the Corporation.

**Innovation, Demonstration, and Assistance.**—The 2014 Budget request for all Innovation, Demonstration, and Assistance activities is \$61.8 million. This includes: the Social Innovation Fund, which helps identify and scale-up promising programs across the country; the annual Martin Luther King, Jr. Day of Service; and

the United We Serve Initiative. These initiatives and programs are aimed at incubating new ideas, while expanding proven initiatives that address specific community needs.

**Evaluation.**—This activity supports performance measurement and studies of program impact. The 2014 Budget request of \$5.0 million will support in-depth assessments of the performance and impact of Corporation programs.

Object Classification (in millions of dollars)

Identification code 95–2728–0–1–506	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	7	7	7
11.8 Special personal services payments .....	48	48	48
11.9 Total personnel compensation .....	55	55	55
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	6	6	6
23.2 Rental payments to others .....	4	4	4
25.2 Other services from non-Federal sources .....	69	70	68
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	2	2
41.0 Grants, subsidies, and contributions .....	606	613	621
94.0 Financial transfers .....	211		
99.0 Direct obligations .....	959	755	761
99.0 Reimbursable obligations .....	11	54	49
99.9 Total new obligations .....	970	809	810

Employment Summary

Identification code 95–2728–0–1–506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	98	98	98

NATIONAL AND COMMUNITY SERVICE PROGRAMS, OPERATING EXPENSES

Program and Financing (in millions of dollars)

Identification code 95–2720–0–1–506	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6		
3020 Outlays (gross) .....	–2		
3041 Recoveries of prior year unpaid obligations, expired .....	–4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	2		
4190 Outlays, net (total) .....	2		

PAYMENT TO NATIONAL SERVICE TRUST FUND

For payment to the National Service Trust established under subtitle D of title I of the 1990 Act, \$207,293,000, to remain available until expended: Provided, That CNCS may transfer additional funds from the amount provided within "Operating Expenses" allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That amounts appropriated for or transferred to the National Service Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2726-0-1-506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to National Service Trust Fund .....		213	207
0900 Total new obligations (object class 94.0) .....		213	207
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		213	207
1160 Appropriation, discretionary (total) .....		213	207
1930 Total budgetary resources available .....		213	207
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		213	207
3020 Outlays (gross) .....		-213	-207
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		213	207
Outlays, gross:			
4010 Outlays from new discretionary authority .....		213	207
4180 Budget authority, net (total) .....		213	207
4190 Outlays, net (total) .....		213	207

This general fund appropriation pays the National Service Trust Fund to make educational awards to eligible national service program participants until the awardees use them.

OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, \$6,466,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2721-0-1-506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General .....	4	4	6
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	6
1160 Appropriation, discretionary (total) .....	4	4	6
1930 Total budgetary resources available .....	4	4	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	2
3010 Obligations incurred, unexpired accounts .....	4	4	6
3020 Outlays (gross) .....	-5	-3	-4
3050 Unpaid obligations, end of year .....	1	2	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	2
3200 Obligated balance, end of year .....	1	2	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	1	2
4011 Outlays from discretionary balances .....	2	2	2
4020 Outlays, gross (total) .....	5	3	4
4180 Budget authority, net (total) .....	4	4	6
4190 Outlays, net (total) .....	5	3	4

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

**Object Classification** (in millions of dollars)

Identification code 95-2721-0-1-506	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	3
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	2
99.9 Total new obligations .....	4	4	6

**Employment Summary**

Identification code 95-2721-0-1-506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	23	14	24

SALARIES AND EXPENSES

*For necessary expenses of administration as provided under section 501(a)(5) of the 1990 Act and under section 504(a) of the 1973 Act, including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, the employment of experts and consultants authorized under 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, \$87,109,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2722-0-1-506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 NCSA Salaries & Expenses .....	83	83	87
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	83	83	87
1160 Appropriation, discretionary (total) .....	83	83	87
1930 Total budgetary resources available .....	83	83	87
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	25	28
3010 Obligations incurred, unexpired accounts .....	83	83	87
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-84	-80	-86
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	25	28	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	25	28
3200 Obligated balance, end of year .....	25	28	29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	83	83	87
Outlays, gross:			
4010 Outlays from new discretionary authority .....	65	64	67
4011 Outlays from discretionary balances .....	19	16	19
4020 Outlays, gross (total) .....	84	80	86
4180 Budget authority, net (total) .....	83	83	87
4190 Outlays, net (total) .....	84	80	86

This account provides salaries and operating expenses for the Corporation for National and Community Service.

**SALARIES AND EXPENSES—Continued**

**Object Classification** (in millions of dollars)

Identification code 95-2722-0-1-506	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	39	40	40
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	41	42	42
12.1 Civilian personnel benefits .....	12	13	13
21.0 Travel and transportation of persons .....	2	1	1
23.1 Rental payments to GSA .....	7	7	13
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services from non-Federal sources .....	18	17	15
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	83	83	87

**Employment Summary**

Identification code 95-2722-0-1-506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	481	489	492

**VISTA ADVANCE PAYMENTS REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 95-2723-0-1-506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	10	10	10
0900 Total new obligations (object class 41.0) .....	10	10	10
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	10	10	10
1750 Spending auth from offsetting collections, disc (total) .....	10	10	10
1930 Total budgetary resources available .....	12	12	12
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	10	10	10
3020 Outlays (gross) .....	-10	-10	-10
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	10	10	10
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	10	10	10
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-10	-10	-10

The VISTA Advance Payments Revolving Fund was established in 2007 by Public Law 110-05 as the initial source of funding for VISTA member living allowances for which the Corporation is later reimbursed by nonprofit organizations as part of cost share agreements. All VISTA member benefits and services, and the majority of living allowances, are funded in the Operating Expenses account.

**Object Classification** (in millions of dollars)

Identification code 95-2723-0-1-506	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations .....	10	10	10

**Trust Funds**

**GIFTS AND CONTRIBUTIONS**

**NATIONAL SERVICE TRUST**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-9972-0-7-506	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			4
<b>Receipts:</b>			
0240 Interest on Investment, National Service Trust Fund .....	4	4	5
0241 Payment from the General Fund, National Service Trust Fund ....	212	213	207
0299 Total receipts and collections .....	216	217	212
0400 Total: Balances and collections .....	216	217	216
<b>Appropriations:</b>			
0500 Gifts and Contributions .....	-212	-213	-207
0501 Gifts and Contributions .....	-4		
0599 Total appropriations .....	-216	-213	-207
0799 Balance, end of year .....		4	9

**Program and Financing** (in millions of dollars)

Identification code 95-9972-0-7-506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Gifts and contributions .....	204	213	207
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	62	77	77
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	62		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	212	213	207
1160 Appropriation, discretionary (total) .....	212	213	207
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	4		
1260 Appropriations, mandatory (total) .....	4		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	3		
1900 Budget authority (total) .....	219	213	207
1930 Total budgetary resources available .....	281	290	284
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	77	77	77
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	543	565	590
3010 Obligations incurred, unexpired accounts .....	204	213	207
3020 Outlays (gross) .....	-182	-188	-274
3050 Unpaid obligations, end of year .....	565	590	523
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	543	565	590
3200 Obligated balance, end of year .....	565	590	523
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	215	213	207
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	182		
4011 Outlays from discretionary balances .....		181	270

4020	Outlays, gross (total) .....	182	181	270
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-3		
	Mandatory:			
4090	Budget authority, gross .....	4		
	Outlays, gross:			
4101	Outlays from mandatory balances .....		7	4
4180	Budget authority, net (total) .....	216	213	207
4190	Outlays, net (total) .....	179	188	274
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	605	637	673
5001	Total investments, EOY: Federal securities: Par value .....	637	673	710

The Gifts and Contributions account is a consolidation of two trust funds. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to eligible national service program participants are maintained until awardees use them.

**Object Classification** (in millions of dollars)

Identification code 95-9972-0-7-506	2012 actual	2013 CR	2014 est.
25.2 Direct obligations: Other services from non-Federal sources .....	201	213	207
99.0 Reimbursable obligations .....	3		
99.9 Total new obligations .....	204	213	207

**ADMINISTRATIVE PROVISIONS**

**SEC. 401.** *AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.*

**SEC. 402.** *In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.*

**SEC. 403.** (a) *Section 201 of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5001) is amended—*

*(1) in subsection (e)(1)(A), by striking ", with an option" and all that follows through "(g)", and inserting "not to exceed" following "for a period";*

*(2) in subsection (e)(2)(B), by striking clause (iv), inserting at the end of clause (iii) "and", and by redesignating clause (v) as clause (iv);*

*(3) by striking subsection (i) and redesignating subsection (j) as subsection (i);*

*(b) Section 227(a) of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5027(a)) is amended by striking paragraph (2) and, in paragraph (1), by striking "(1)" and "paragraph (2) and"; and*

*(c) Section 412(a) of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5052) is amended by striking paragraphs (2) and (3), by inserting at the end of paragraph (1) "and", and by redesignating paragraph (4) as paragraph (2).*

**SEC. 404.** *Section 189D of the 1990 Act is amended in subsection (d)—(a) in the heading, by striking "rule" and inserting "rules"; (b) in paragraph (1)—(1) in the heading, by striking "In General" and inserting "Children"; (2) after "Notwithstanding subsection (b)", by deleting "on and after the date that is 2 years after the date of enactment of the Serve America Act,"; (3) after "each individual", by inserting "age 18 or older who serves in a position in which the individual receives a living allowance, stipend, national service educational award, or salary through a program receiving assistance under the national service laws; and as a result of such individuals service in such position, has or will have access, on a recurring basis, to children age 17 years or younger"; and (4) after "shall", by insert-*

*ing ", subject to regulations and requirements established by the Corporation"; (c) in paragraph (2)—(1) in the heading, by striking "Individuals with access to" and inserting "Other"; (2) by striking "An individual described in this paragraph is" and inserting "Subject to regulations and requirements established by the Corporation requiring additional measures to protect the safety of vulnerable populations,"; (3) by striking "who" and inserting "shall meet the requirements of subsection (b) when the individual"; (4) in subparagraph (B), by deleting clause (i) and renumbering the following clauses accordingly; and (d) in subparagraph (3)(A), by striking "paragraph (2)(B)" and inserting "subsection (d)".*

**CORPORATION FOR PUBLIC BROADCASTING**

**Federal Funds**

CORPORATION FOR PUBLIC BROADCASTING

*For payment to the Corporation for Public Broadcasting (referred to in this Act as "CPB"), as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year 2016, \$445,000,000: Provided, That none of the funds made available to CPB by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: Provided further, That none of the funds made available to CPB by this Act shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: Provided further, That none of the funds made available to CPB by this Act shall be used to apply any political test or qualification in selecting, appointing, promoting, or taking any other personnel action with respect to officers, agents, and employees of CPB: Provided further, That none of the funds made available to CPB by this Act shall be used to support the Television Future Fund or any similar purpose.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 20-0151-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 General programming .....	444	445	445
0900 Total new obligations (object class 41.0) .....	444	445	445
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
Advance appropriations, discretionary:			
1170 Advance appropriation - General Programming .....	445	445	445
1173 Advance appropriations permanently reduced .....	-1		
1180 Advanced appropriation, discretionary (total) .....	444	445	445
1930 Total budgetary resources available .....	444	445	445
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	444	445	445
3020 Outlays (gross) .....	-444	-445	-445
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	444	445	445
Outlays, gross:			
4010 Outlays from new discretionary authority .....	444	445	445
4180 Budget authority, net (total) .....	444	445	445
4190 Outlays, net (total) .....	444	445	445

The FY 2014 Budget proposes an advance appropriation of \$445 million for the Corporation for Public Broadcasting (CPB) for fiscal year 2016. In 1975, Congress first agreed to begin providing CPB with a two-year advance appropriation to support long-range financing planning and to insulate programming decisions. This commitment of future federal dollars helps leverage investments

CORPORATION FOR PUBLIC BROADCASTING—Continued  
from other sources and gives producers essential lead time to plan, design, create, and support programming and services.

CPB uses funding to provide grants to qualified public television and radio stations to be used at their discretion for purposes related to program production or acquisition, as well as for general operations. CPB also supports the production and acquisition of radio and television programs for national distribution. In addition, CPB assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and performance.

**CORPORATION FOR TRAVEL PROMOTION**

*Federal Funds*

TRAVEL PROMOTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-5585-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	106	126	126
Receipts:			
0200 Fees, Travel Promotion Fund .....	120	100	100
0400 Total: Balances and collections .....	226	226	226
Appropriations:			
0500 Travel Promotion Fund .....	-100	-100	-100
0799 Balance, end of year .....	126	126	126

Program and Financing (in millions of dollars)

Identification code 95-5585-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	100	100	100
0900 Total new obligations (object class 41.0) .....	100	100	100
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	100	100	100
1260 Appropriations, mandatory (total) .....	100	100	100
1930 Total budgetary resources available .....	100	100	100

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		78	
3010 Obligations incurred, unexpired accounts .....	100	100	100
3020 Outlays (gross) .....	-22	-178	-100
3050 Unpaid obligations, end of year .....	78		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		78	
3200 Obligated balance, end of year .....	78		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	100	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority .....	22	100	100
4101 Outlays from mandatory balances .....		78	
4110 Outlays, gross (total) .....	22	178	100
4180 Budget authority, net (total) .....	100	100	100
4190 Outlays, net (total) .....	22	178	100

The Corporation for Travel Promotion (also known as Brand USA) was established by the Travel Promotion Act in 2010 to lead the nation's first global marketing effort to promote the United States as a premier travel destination and to communicate U.S. entry/exit policies and procedures. The public-private part-

nership, funded through a combination of private sector contributions and Federal matching funds, works in close partnership with the travel industry to encourage increased travel and tourism in the United States.

**COUNCIL OF THE INSPECTORS GENERAL ON INTEGRITY AND EFFICIENCY**

*Federal Funds*

INSPECTORS GENERAL COUNCIL FUND

Program and Financing (in millions of dollars)

Identification code 95-4592-0-4-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	6	7	7
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	11	11
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	9	7	7
1801 Change in uncollected payments, Federal sources .....	1		
1850 Spending auth from offsetting collections, mand (total) .....	10	7	7
1930 Total budgetary resources available .....	17	18	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	
3010 Obligations incurred, unexpired accounts .....	6	7	7
3020 Outlays (gross) .....	-5	-8	-7
3050 Unpaid obligations, end of year .....	1		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			-1
3200 Obligated balance, end of year .....		-1	-1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	10	7	7
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	7	7
4101 Outlays from mandatory balances .....		1	
4110 Outlays, gross (total) .....	5	8	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-9	-7	-7
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4170 Outlays, net (mandatory) .....	-4	1	
4190 Outlays, net (total) .....	-4	1	

The Council of the Inspectors General on Integrity and Efficiency (CIGIE) was statutorily established by The Inspector General Reform Act of 2008 (P.L. 110-409). The CIGIEs mission is to address integrity, economy, and effectiveness issues that transcend individual Government agencies; and increase the professionalism and effectiveness of personnel by developing policies, standards, and approaches to aid in the establishment of a well-trained and highly skilled workforce in the offices of the Inspectors General. In 2014, the CIGIE will perform cross-agency analysis on cross-agency issues involving program integrity, efficiency and/or effectiveness; further increase the professionalism and effectiveness of the IG community workforce; and further advance the level of practice within the IG community workforce. Pursuant to Section 7 the Inspector General Reform Act of 2008,

resources for CIGIE activities are provided through interagency funding. CIGIE plans to spend \$7.1 million in 2014 for operations to support its mission and goals, of which \$4.6 million will be for CIGIE's Training Institute. Of the \$4.6 million for the Training Institute, \$0.9 million is planned for the Leadership/Mission Support Academy, \$1.5 million is for the Investigative Training Academy, \$1.0 million is for the Audit, Inspections and Evaluations Academy, and \$1.2 million is for infrastructure and administrative operations associated with the Training Institute. Additionally, the Council expects to collect tuition for Training Institute courses in the amount of \$0.7 million, which assists in recovering expenses associated with individual training courses.

**Object Classification** (in millions of dollars)

Identification code 95-4592-0-4-808	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time Permanent .....	1	1	1
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other Services - Non Federal - Administrative .....	1	2	2
25.2 Other Services - Non Federal - Training Institute .....	4	3	3
99.9 Total new obligations .....	6	7	7

**Employment Summary**

Identification code 95-4592-0-4-808	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	6	7	7

**COURT SERVICES AND OFFENDER SUPERVISION  
AGENCY FOR THE DISTRICT OF COLUMBIA**

**Federal Funds**

**FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION  
AGENCY FOR THE DISTRICT OF COLUMBIA**

*For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, \$227,968,000, of which not to exceed \$2,000 is for official reception and representation expenses related to Community Supervision and Pretrial Services Agency programs; of which not to exceed \$25,000 is for dues and assessments relating to the implementation of the Court Services and Offender Supervision Agency Interstate Supervision Act of 2002; of which \$168,449,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons; of which \$59,519,000 shall be available to the Pretrial Services Agency: Provided, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That not less than \$1,000,000 shall be available for re-entrant housing in the District of Columbia: Provided further, That the Director is authorized to accept and use gifts in the form of in-kind contributions of space and hospitality to support offender and defendant programs; and equipment, supplies, and vocational training services necessary to sustain, educate, and train offenders and defendants, including their dependent children: Provided further, That the Director shall keep accurate and detailed records of the acceptance and use of any gift or donation under the previous proviso, and shall make such records available for audit and public inspection: Provided further, That the Court Services and Offender Supervision Agency Director is authorized to accept and use reimbursement from the District of Columbia Government for space and services provided on a cost reimbursable basis.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-1734-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Community supervision program .....	153	154	168
0002 Pretrial Services Agency .....	58	60	60
0799 Total direct obligations .....	211	214	228
0801 Reimbursable program .....	1	1	1
0900 Total new obligations .....	212	215	229

**Budgetary Resources:**

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	213	214	228
1160 Appropriation, discretionary (total) .....	213	214	228
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		1	1
1750 Spending auth from offsetting collections, disc (total) .....		1	1
1900 Budget authority (total) .....	213	215	229
1930 Total budgetary resources available .....	213	215	229
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	40	30	46
3010 Obligations incurred, unexpired accounts .....	212	215	229
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-215	-199	-226
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	30	46	49
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	39	30	46
3200 Obligated balance, end of year .....	30	46	49

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	213	215	229
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	185	171	182
4011 Outlays from discretionary balances .....	30	28	44
4020 Outlays, gross (total) .....	215	199	226
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-1	-1
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	213	214	228
4080 Outlays, net (discretionary) .....	214	198	225
4180 Budget authority, net (total) .....	213	214	228
4190 Outlays, net (total) .....	214	198	225

The National Capital Revitalization and Self-Government Improvement Act of 1997 established the Court Services and Offender Supervision Agency (CSOSA) for the District of Columbia as an independent Federal agency to perform community supervision of D.C. Code offenders. The new agency assumed the adult probation function from the D.C. Superior Court and the parole supervision function from the D.C. Board of Parole. The Pretrial Services Agency for the District of Columbia, responsible for supervising pretrial defendants, became an independent entity within CSOSA with its own budget and organizational structure. The mission of CSOSA is to increase public safety, prevent crime, reduce recidivism and support the fair administration of justice in close collaboration with the community.

The CSOSA appropriation supports the Community Supervision Program and the Pretrial Services Agency.

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA—Continued

**Community Supervision Program.**—This activity provides supervision in the community of adult offenders on probation, parole, or supervised release, consistent with a crime prevention strategy that emphasizes public safety and successful reintegration. The Community Supervision Program employs an integrated system of close supervision, routine drug testing, graduated sanctions, treatment, transitional housing and other offender support services, including community and faith-based collaborations. The activity also develops and provides the courts and the U.S. Parole Commission with critical information for probation, parole and supervised release decisions. The Budget proposes additional resources for CSOSA physical and information technology security and to relocate Community Supervision Program offender supervision field offices.

**Pretrial Services Agency.**—This activity assists judicial officers in both the D.C. Superior Court and the U.S. District Court for the District of Columbia by formulating release recommendations and providing supervision and treatment services to defendants that reasonably assure that those on conditional release return to court and do not engage in criminal activity pending their trial and/or sentencing. The Pretrial Services Agency is further responsible for enforcing conditions of release, conducting drug testing, administering graduated sanctions, referring defendants to treatment and other social services, and reporting to the courts defendants' compliance with their conditions of release.

**Object Classification** (in millions of dollars)

Identification code 95–1734–0–1–752	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	100	102	105
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	103	104	107
12.1 Civilian personnel benefits .....	39	40	41
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	7	8	9
23.2 Rental payments to others .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	3	2	3
25.1 Advisory and assistance services .....	6	5	5
25.2 Other services from non-Federal sources .....	31	32	34
25.3 Other goods and services from Federal sources .....	2	1	1
25.4 Operation and maintenance of facilities .....	.....	1	1
25.6 Medical care .....	2	2	2
25.7 Operation and maintenance of equipment .....	1	.....	.....
26.0 Supplies and materials .....	3	4	4
31.0 Equipment .....	3	3	3
32.0 Land and structures .....	.....	1	7
99.0 Direct obligations .....	211	214	228
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	212	215	229

**Employment Summary**

Identification code 95–1734–0–1–752	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,242	1,267	1,284

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE

*For salaries and expenses, including the transfer and hire of motor vehicles, of the District of Columbia Public Defender Service, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, \$40,607,000: Provided, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and ex-*

*penses of Federal agencies: Provided further, That, notwithstanding section 1342 of title 31, United States Code, and in addition to the authority provided by District of Columbia Code section 2–1607(b), upon approval of the Board of Trustees, the District of Columbia Public Defender Service may accept and use voluntary and uncompensated (gratuitous) services for the purpose of aiding or facilitating the work of the District of Columbia Public Defender Service: Provided further, That, notwithstanding District of Columbia Code section 2–1603(d), for the purpose of any action brought against the Board of Trustees of the District of Columbia Public Defender Service, the trustees shall be deemed to be employees of the District of Columbia Public Defender Service.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95–1733–0–1–754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Public Defender Service .....	37	37	41
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	37	37	41
1160 Appropriation, discretionary (total) .....	37	37	41
1930 Total budgetary resources available .....	38	38	42
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	4
3010 Obligations incurred, unexpired accounts .....	37	37	41
3020 Outlays (gross) .....	–37	–37	–41
3050 Unpaid obligations, end of year .....	4	4	4
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	4	4
3200 Obligated balance, end of year .....	4	4	4
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	37	37	41
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	33	33	37
4011 Outlays from discretionary balances .....	4	4	4
4020 Outlays, gross (total) .....	37	37	41
4180 Budget authority, net (total) .....	37	37	41
4190 Outlays, net (total) .....	37	37	41

The Public Defender Service for the District of Columbia (PDS) is a federally funded, independent organization governed by an eleven-member Board of Trustees. PDS was created in 1970 by a Federal statute (Pub. L. No. 91–358, Title III, Sec. 301(1970); see also D.C. Code Sec. 2–1601, et seq., 2001 ed.) implementing the constitutional mandate to provide criminal defense counsel for individuals who cannot afford to hire a lawyer (*Gideon v. Wainwright*, 372 U.S. 335 (1963)). PDS's mission is to provide and promote quality legal representation to indigent adults and children facing a loss of liberty in the District of Columbia justice system and thereby protect society's interest in the fair administration of justice.

PDS specializes in representation in the most complex and resource-intensive criminal and delinquency cases. PDS also represents individuals facing involuntary civil commitment in the District's mental health system or parole revocation for D.C. Code offenses.

**Object Classification** (in millions of dollars)

Identification code 95-1733-0-1-754	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	22	22	23
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	23	23	24
12.1 Civilian personnel benefits .....	5	5	6
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	3
25.3 Other goods and services from Federal sources .....	2	2	4
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	37	37	41

**Employment Summary**

Identification code 95-1733-0-1-754	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	213	213	218

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, \$29,915,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-3900-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	29	30	30
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	29	29	30
1160 Appropriation, discretionary (total) .....	29	29	30
1930 Total budgetary resources available .....	30	30	30
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	8	9
3010 Obligations incurred, unexpired accounts .....	29	30	30
3020 Outlays (gross) .....	-27	-29	-31
3050 Unpaid obligations, end of year .....	8	9	8
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	8	9
3200 Obligated balance, end of year .....	8	9	8
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	29	29	30
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	23	22	23
4011 Outlays from discretionary balances .....	4	7	8
4020 Outlays, gross (total) .....	27	29	31
4180 Budget authority, net (total) .....	29	29	30
4190 Outlays, net (total) .....	27	29	31

The Defense Nuclear Facilities Safety Board, an independent, non-regulatory agency within the executive branch, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of Department of Energy (DOE) defense nuclear facilities. The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. The Board is also responsible for investigating any event or practice at a defense nuclear facility that has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to protect both public and employee health and safety.

**Object Classification** (in millions of dollars)

Identification code 95-3900-0-1-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	15	16	16
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	28	29	29
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	29	30	30

**Employment Summary**

Identification code 95-3900-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	109	119	120

**DELTA REGIONAL AUTHORITY**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Delta Regional Authority and to carry out its activities, as authorized by the Delta Regional Authority Act of 2000, as amended, notwithstanding sections 382C(b)(2), 382F(d), 382M, and 382N of said Act, \$11,319,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-0750-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	17	12	11
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	.....	1	2
1021 Recoveries of prior year unpaid obligations .....	6	1	1
1050 Unobligated balance (total) .....	6	2	3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	12	12	11
1160 Appropriation, discretionary (total) .....	12	12	11
1930 Total budgetary resources available .....	18	14	14
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	2	3

**SALARIES AND EXPENSES—Continued**  
**Program and Financing—Continued**

Identification code 95-0750-0-1-452	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	32	13
3010 Obligations incurred, unexpired accounts .....	17	12	11
3020 Outlays (gross) .....	-14	-30	-15
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-1	-1
3050 Unpaid obligations, end of year .....	32	13	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	35	32	13
3200 Obligated balance, end of year .....	32	13	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	12	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	12	11
4011 Outlays from discretionary balances .....	8	18	4
4020 Outlays, gross (total) .....	14	30	15
4180 Budget authority, net (total) .....	12	12	11
4190 Outlays, net (total) .....	14	30	15

The Delta Regional Authority (DRA) was established as a Federal-State partnership to assist the eight-state, 252 county/parish Mississippi Delta region in obtaining the economic development essential to create and sustain strong local economies. In 2014, DRA will continue to focus on multi-state planning and the facilitation of regional investments towards its statutory mission, with specific emphases on and investments into: projects, initiatives and developments of region-wide import or impact; small business development and entrepreneurship; and innovative green-economy related job creation and retention.

**Object Classification (in millions of dollars)**

Identification code 95-0750-0-1-452	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	16	11	10
99.9 Total new obligations .....	17	12	11

**Employment Summary**

Identification code 95-0750-0-1-452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

**DENALI COMMISSION**

**Federal Funds**

DENALI COMMISSION

For expenses of the Denali Commission including the purchase, construction, and acquisition of plant and capital equipment as necessary and other expenses, \$7,396,000, to remain available until expended, notwithstanding the limitations contained in section 306(g) of the Denali Commission Act of 1998: Provided, That funds shall be available for construction projects in an amount not to exceed 80 percent of total project cost for distressed communities, as defined by section 307 of the Denali Commission Act of 1998 (division C, title III, Public Law 105-277), as amended by section 701 of appendix D, title VII, Public Law 106-113 (113 Stat. 1501A-280), and an amount not to exceed 50 percent for non-distressed communities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 95-1200-0-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Direct program activity .....	17	7	7
0801 Reimbursable program activity .....	3	8	10
0900 Total new obligations .....	20	15	17
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	10
1021 Recoveries of prior year unpaid obligations .....	5	5	5
1050 Unobligated balance (total) .....	5	6	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	11	11	7
1121 Appropriations transferred from other accts [69-1134] .....	5		
1160 Appropriation, discretionary (total) .....	16	11	7
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		8	10
1750 Spending auth from offsetting collections, disc (total) .....		8	10
1900 Budget authority (total) .....	16	19	17
1930 Total budgetary resources available .....	21	25	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	10	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	96	74	17
3010 Obligations incurred, unexpired accounts .....	20	15	17
3020 Outlays (gross) .....	-37	-67	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-5	-5
3050 Unpaid obligations, end of year .....	74	17	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	96	74	17
3200 Obligated balance, end of year .....	74	17	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16	19	17
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	6	8
4011 Outlays from discretionary balances .....	32	61	4
4020 Outlays, gross (total) .....	37	67	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-8	-10
4180 Budget authority, net (total) .....	16	11	7
4190 Outlays, net (total) .....	37	59	2

The Denali Commission was established by the Denali Commission Act of 1998 (P.L. 105-277) and is composed of seven members including the Federal Co-Chair. The Commission's mission is to promote and provide sustainable infrastructure improvement, job training, and other economic development services that improve health, safety, and economic self-sufficiency within rural communities in Alaska. In 2014, the Commission will continue to coordinate cost-shared utilities and infrastructure projects with a focus on the most distressed communities. The 2014 Budget proposes to continue a 50% matching requirement to the Commission's funding of construction projects. This provision, common to other Federal regional economic development agencies, ensures that communities have a stake in their Commission-funded projects. Grants to distressed communities will have a lower matching requirement (20%). This match may be provided by the State of Alaska. In order to improve performance measures, in 2014 the Commission will continue to place an emphasis on gathering output and outcome results from its program partners and grantees.

**Object Classification** (in millions of dollars)

Identification code 95-1200-0-1-452	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
41.0 Grants, subsidies, and contributions .....	15	5	5
99.0 Direct obligations .....	17	7	7
99.0 Reimbursable obligations .....	3	8	10
99.9 Total new obligations .....	20	15	17

**Employment Summary**

Identification code 95-1200-0-1-452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	13	14	14

**Trust Funds**

**DENALI COMMISSION TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 95-8056-0-7-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0101 Direct program activity .....	7	7	7
0900 Total new obligations (object class 41.0) .....	7	7	7
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	7	7	7
1160 Appropriation, discretionary (total) .....	7	7	7
1930 Total budgetary resources available .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	10	1
3010 Obligations incurred, unexpired accounts .....	7	7	7
3020 Outlays (gross) .....	-8	-16	-7
3050 Unpaid obligations, end of year .....	10	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	10	1
3200 Obligated balance, end of year .....	10	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	7	7
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	7	7
4011 Outlays from discretionary balances .....	7	9	.....
4020 Outlays, gross (total) .....	8	16	7
4180 Budget authority, net (total) .....	7	7	7
4190 Outlays, net (total) .....	8	16	7

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105-277) established the annual transfer of interest from the investment of the Trans-Alaska Pipeline Liability Fund balance into the Oil Spill Liability Trust Fund for subsequent transfer to the Denali Commission. As required by the Act, the Denali Commission, in consultation with the Coast Guard, developed a program to use these funds to repair or replace bulk fuel storage tanks in Alaska that are not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

**DISTRICT OF COLUMBIA**

**DISTRICT OF COLUMBIA COURTS**

**Federal Funds**

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS**

For salaries and expenses for the District of Columbia Courts, \$222,667,316 to be allocated as follows: for the District of Columbia Court of Appeals, \$13,374,726, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Superior Court, \$112,566,340, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Court System, \$68,987,250, of which not to exceed \$1,500 is for official reception and representation expenses; and \$27,739,000, to remain available until September 30, 2015, for capital improvements for District of Columbia courthouse facilities: Provided, That funds made available for capital improvements shall be expended consistent with the District of Columbia Courts master plan study and building evaluation report: Provided further, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That, 30 days after providing written notice to the Committees on Appropriations of the House of Representatives and the Senate, the District of Columbia Courts may reallocate not more than \$3,000,000 of the funds provided under this heading among the items and entities funded under this heading but no such allocation shall be increased by more than 4 percent.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-1712-0-1-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Court of Appeals .....	11	13	13
0002 Superior Court .....	115	116	114
0003 Court system .....	66	67	69
0004 Capital improvements .....	52	41	31
0900 Total new obligations .....	244	237	227
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	12	10
1021 Recoveries of prior year unpaid obligations .....	12	.....	.....
1050 Unobligated balance (total) .....	15	12	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	233	234	223
1121 Appropriations transferred from other accts [95-1736] .....	10	.....	.....
1160 Appropriation, discretionary (total) .....	243	234	223
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	244	235	224
1930 Total budgetary resources available .....	259	247	234
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3	.....	.....
1941 Unexpired unobligated balance, end of year .....	12	10	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	166	136	161
3010 Obligations incurred, unexpired accounts .....	244	237	227
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-263	-212	-230
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	136	161	158
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	166	136	161
3200 Obligated balance, end of year .....	136	161	158

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS—Continued  
Program and Financing—Continued

Identification code 95-1712-0-1-806	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	244	235	224
Outlays, gross:			
4010 Outlays from new discretionary authority .....	175	148	141
4011 Outlays from discretionary balances .....	88	64	89
4020 Outlays, gross (total) .....	263	212	230
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Policy Program [Text] .....	-3	-1	-1
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2		
4070 Budget authority, net (discretionary) .....	243	234	223
4080 Outlays, net (discretionary) .....	260	211	229
4180 Budget authority, net (total) .....	243	234	223
4190 Outlays, net (total) .....	260	211	229

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts. This Federal payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court, the Court System, and the Capital Improvement Program. Capital improvements include completing the permanent home for the D.C. Family Court in the Moultrie Courthouse, as well as modernization and renovation work on several court buildings in Judiciary Square.

The 2014 Budget provides resources to support the Courts' core functions, as well as resources for the Capital Improvement Program to maintain court facilities.

By law, the annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding the District of Columbia Courts. The President's recommended level of \$223 million includes: \$195 million for District of Columbia Court of Appeals, Superior Court of the District of Columbia, and the District of Columbia Court System operations and \$28 million for capital improvements for District courthouse facilities. Under a separate transmittal to the Congress, the District of Columbia Courts are requesting \$342 million: \$199 million for operations and \$143 million for capital improvements.

Object Classification (in millions of dollars)

Identification code 95-1712-0-1-806	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	108	112	114
12.1 Civilian personnel benefits .....	27	27	27
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	5	6	5
23.3 Communications, utilities, and miscellaneous charges .....	8	9	9
25.1 Advisory and assistance services .....	33	19	18
25.2 Other services from non-Federal sources .....	18	9	8
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	6	4	4
25.7 Operation and maintenance of equipment .....	5	2	2
26.0 Supplies and materials .....	3	2	2
31.0 Equipment .....	7	3	4
32.0 Land and structures .....	21	41	31
99.0 Direct obligations .....	243	236	226
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	244	237	227

FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11-2604 and section 11-2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Court of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Official Code, or pursuant to contractual agreements to provide guardian ad litem representation, training, technical assistance, and such other services as are necessary to improve the quality of guardian ad litem representation, payments for counsel appointed in adoption proceedings under chapter 3 of title 16, D.C. Official Code, and payments authorized under section 21-2060, D.C. Official Code (relating to services provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), \$49,890,000, to remain available until expended: Provided, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: Provided further, That, notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95-1736-0-1-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	56	55	50
0900 Total new obligations (object class 25.2) .....	56	55	50
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	55	55	50
1120 Appropriations transferred to other accts [95-1712] .....	-10		
1160 Appropriation, discretionary (total) .....	45	55	50
1930 Total budgetary resources available .....	60	59	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	31	24
3010 Obligations incurred, unexpired accounts .....	56	55	50
3020 Outlays (gross) .....	-51	-62	-59
3050 Unpaid obligations, end of year .....	31	24	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	31	24
3200 Obligated balance, end of year .....	31	24	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	55	50
Outlays, gross:			
4010 Outlays from new discretionary authority .....	35	41	38
4011 Outlays from discretionary balances .....	16	21	21
4020 Outlays, gross (total) .....	51	62	59
4180 Budget authority, net (total) .....	45	55	50
4190 Outlays, net (total) .....	51	62	59

The District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation under three Defender Services programs: the Criminal Justice Act (CJA) program, which provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect (CCAN) program, which provides court-appointed attorneys for family proceedings in which child neglect is alleged, or where the

termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; the Guardianship program, which provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as transcripts of court proceedings, expert witness testimony, foreign and sign language interpretation, and investigations, and genetic testing. The President's recommended funding level for Defender Services is \$50 million. Under a separate transmittal to the Congress, the Courts are also requesting \$50 million for Defender Services.

**CRIME VICTIMS COMPENSATION FUND**

The D.C. Superior Court has a Crime Victims Compensation Program that assists innocent victims of violent crime and their families with crime-related expenses such as funeral and burial costs, medical and mental health costs, lost wages, loss of support and services, clean-up of a crime scene, and the cost of temporary shelter for victims of domestic violence or arson. Through the services of a victim advocate, crime victims receive assistance in filing applications; locating victim service programs, support groups, or mental health counselors; and handling quality of life issues that arise after victimization. Monies in the fund consist of assessments imposed upon criminal defendants, a grant from the U.S. Department of Justice Office for Victims of Crime, and a portion of the general revenue of the court. Of any unobligated balances remaining in the Fund at the end of each year, 50 percent is made available to the D.C. Courts for direct compensation to crime victims and 50 percent is transferred to the District of Columbia for outreach activities.

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND**

**Program and Financing** (in millions of dollars)

Identification code 20-1713-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to Judicial Retirement Fund .....	10	10	9
0900 Total new obligations (object class 42.0) .....	10	10	9
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	10	10	9
1260 Appropriations, mandatory (total) .....	10	10	9
1930 Total budgetary resources available .....	10	10	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	10	10	9
3020 Outlays (gross) .....	-10	-10	-9
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	10	9
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	10	9
4180 Budget authority, net (total) .....	10	10	9
4190 Outlays, net (total) .....	10	10	9

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors An-

nuity Fund (Judicial Fund). Annual payments consist of amounts necessary to amortize the original unfunded liability over 30 years, the net experienced gain or loss over 10 years, and any other changes in actuarial liability over 20 years; and amounts necessary to fund the normal cost and covered administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers those amounts to the Judicial Fund through an expenditure transfer.

**Trust Funds**

**DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 20-8212-0-7-602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	130	134	136
<b>Receipts:</b>			
0200 Deductions from Employees Salaries, District of Columbia Judicial Retirement and Survivors Annuity Fund .....	1	1	1
0240 Earnings on Investments, District of Columbia Judicial Retirement and Survivors Annuity Fund .....	4	3	3
0241 Federal Payments, D.C. Judicial Retirement and Survivors Annuity .....	10	10	9
0299 Total receipts and collections .....	15	14	13
0400 Total: Balances and collections .....	145	148	149
<b>Appropriations:</b>			
0500 District of Columbia Judicial Retirement and Survivors Annuity Fund .....	-15	-14	-13
0501 District of Columbia Judicial Retirement and Survivors Annuity Fund .....	4	2	1
0599 Total appropriations .....	-11	-12	-12
0799 Balance, end of year .....	134	136	137

**Program and Financing** (in millions of dollars)

Identification code 20-8212-0-7-602	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Retirement payments .....	10	11	11
0002 Administrative Costs .....	1	1	1
0900 Total new obligations .....	11	12	12
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	15	14	13
1235 Appropriations precluded from obligation .....	-4	-2	-1
1260 Appropriations, mandatory (total) .....	11	12	12
1930 Total budgetary resources available .....	11	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	11	12	12
3020 Outlays (gross) .....	-11	-12	-12
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	11	12	12
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11	12	12
4180 Budget authority, net (total) .....	11	12	12
4190 Outlays, net (total) .....	11	12	12
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	132	136	140
5001 Total investments, EOY: Federal securities: Par value .....	136	140	141

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND—Continued

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund) to pay retirement benefits for District of Columbia judges and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out the responsibilities regarding such retirement benefits. The Judicial Fund consists of: amounts contributed by the judges; proceeds of accumulated pension assets transferred from the District of Columbia and liquidated, pursuant to the Act; income earned from the investment of the assets in public debt securities; and amounts appropriated to the Fund.

Object Classification (in millions of dollars)

Identification code 20-8212-0-7-602	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	1	1
42.0 Payments to annuitants .....	10	11	11
99.9 Total new obligations .....	11	12	12

Employment Summary

Identification code 20-8212-0-7-602	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

The District of Columbia annually receives direct Federal payments for a number of local programs in recognition of the District's unique status as the seat of the Federal Government. These General and Special Payments are separate from and in addition to the District's local budget, which is funded through local revenues. Consistent with the principle of home rule, it is the Administration's view that the District's local budget should be authorized to take effect without a separate annual Federal appropriations bill. The Administration will work with Congress and the Mayor to provide the District local budget autonomy, as proposed in the Budget.

Federal Funds

FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, \$35,000,000, to remain available until expended: Provided, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to \$2,500 each year at eligible private institutions of higher education: Provided further, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: Provided further, That the District of Columbia government shall maintain a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: Provided further, That the account shall be under the control of the District of Columbia Chief Financial Officer, who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: Provided further, That the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations

of the House of Representatives and the Senate for these funds showing, by object class, the expenditures made and the purpose therefor.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20-1736-0-1-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	30	30	35
0900 Total new obligations (object class 41.0) .....	30	30	35
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	30	35
1160 Appropriation, discretionary (total) .....	30	30	35
1930 Total budgetary resources available .....	30	30	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	30	30	35
3020 Outlays (gross) .....	-30	-30	-35
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30	30	35
Outlays, gross:			
4010 Outlays from new discretionary authority .....	30	30	35
4180 Budget authority, net (total) .....	30	30	35
4190 Outlays, net (total) .....	30	30	35

The D.C. Tuition Assistance Grant program enables students from the District of Columbia to attend eligible public universities and colleges nationwide at in-state tuition rates. The program also provides grants for students to attend private institutions in the D.C. metropolitan area or private historically Black colleges and universities nationwide, as well as public 2-year community colleges. To date, the Tuition Assistance Grant program has assisted over 19,635 students. The 2014 Budget changes the annual household income threshold for program eligibility from \$1,000,000 to \$450,000 starting in the 2014-2015 school year. This change will not affect current grant recipients whose family annual income exceeds \$450,000. These students will continue to be eligible for the grants until graduation.

FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT

For a Federal payment for a school improvement program in the District of Columbia, \$52,200,000, to remain available until expended, as authorized under the Scholarship for Opportunity and Results Act (division C of Public Law 112-10), to be allocated as follows: for the District of Columbia Public Schools, \$30,000,000 to improve public school education in the District of Columbia; for the State Education Office, \$20,000,000 to expand quality public charter schools in the District of Columbia; and for the activities specified in sections 3007(b)-3007(d) and 3009 of the Act, \$2,200,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20-1817-0-1-501	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Department of Education allocation account .....	20	20	2
0002 DC public schools .....	20	20	30

0003	DC public charter schools .....	20	20	20
0900	Total new obligations (object class 41.0) .....	60	60	52
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	60	60	52
1160	Appropriation, discretionary (total) .....	60	60	52
1930	Total budgetary resources available .....	60	60	52
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	60	60	52
3020	Outlays (gross) .....	-60	-60	-52
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	60	60	52
Outlays, gross:				
4010	Outlays from new discretionary authority .....	60	60	52
4180	Budget authority, net (total) .....	60	60	52
4190	Outlays, net (total) .....	60	60	52

The 2014 Budget provides \$52.2 million to support kindergarten through high school education in the District of Columbia. This includes \$30 million for D.C. public schools for continued support of the District's efforts to transform its public education system into an innovative and high-achieving system that could be used as a model for urban school district reform across the nation. The Budget provides \$20 million for D.C. charter schools to support facilities and other unmet needs. The Budget provides \$2.2 million for the D.C. Opportunity Scholarship program, a private school voucher program re-authorized in 2011, to carry-out the evaluation and administration activities of the program. Between this request and the amount carried forward from prior fiscal years, the program is expected to have sufficient funding to meet costs through the 2014–2015 school year.

FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA WATER AND SEWER AUTHORITY

For a Federal payment to the District of Columbia Water and Sewer Authority, \$14,500,000, to remain available until expended, to continue implementation of the Combined Sewer Overflow Long-Term Plan: Provided, That the District of Columbia Water and Sewer Authority provides a 100 percent match for this payment.

FEDERAL PAYMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL

For a Federal payment to the Criminal Justice Coordinating Council, \$1,800,000, to remain available until expended, to support initiatives related to the coordination of Federal and local criminal justice resources in the District of Columbia.

FEDERAL PAYMENT FOR JUDICIAL COMMISSIONS

For a Federal payment, to remain available until September 30, 2015, to the Commission on Judicial Disabilities and Tenure, \$295,000, and for the Judicial Nomination Commission, \$205,000.

FEDERAL PAYMENT FOR THE DISTRICT OF COLUMBIA NATIONAL GUARD

For a Federal payment to the District of Columbia National Guard, \$500,000, to remain available until expended.

FEDERAL PAYMENT FOR TESTING AND TREATMENT OF HIV/AIDS

For a Federal payment to the District of Columbia for the testing of individuals for, and the treatment of individuals with, human immunodeficiency virus and acquired immunodeficiency syndrome in the District of Columbia, \$5,000,000.

FEDERAL PAYMENT FOR REDEVELOPMENT OF THE ST. ELIZABETHS HOSPITAL CAMPUS

For a Federal Payment to the District of Columbia, \$9,800,000, for activities to support redevelopment efforts at the site of the former St. Elizabeths Hospital in the District of Columbia.

FEDERAL PAYMENT FOR D.C. COMMISSION ON THE ARTS AND HUMANITIES GRANTS

For a Federal payment to the District of Columbia Commission on the Arts and Humanities, \$1,000,000, to fund competitively-awarded grants for non-profit fine and performing arts organizations based in and primarily serving the District of Columbia.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20–1707–0–1–999	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Water and Sewer Authority .....	15	15	14
0002	Criminal Justice Coordinating Council .....		1	2
0003	DC National Guard .....	2	1	1
0004	St. Elizabeths .....			9
0005	Arts and Cultural Affairs Grants .....			1
0019	Judicial Commissions .....	1	1	1
0025	HIV/AIDS Prevention .....	5	5	5
0900	Total new obligations (object class 41.0) .....	23	23	33
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	23	23	33
1160	Appropriation, discretionary (total) .....	23	23	33
1930	Total budgetary resources available .....	23	23	33
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	23	23	33
3020	Outlays (gross) .....	-23	-23	-33
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	23	23	33
Outlays, gross:				
4010	Outlays from new discretionary authority .....	23	23	33
4180	Budget authority, net (total) .....	23	23	33
4190	Outlays, net (total) .....	23	23	33

The Budget includes \$9.8 million for infrastructure improvements related to redevelopment of the St. Elizabeths East Campus. The Budget provides \$5 million to fund the D.C. Department of Health's continued efforts to prevent the spread of HIV/AIDS in the District. This funding will allow the District to focus on service saturation in areas of combined high risk and high poverty in order to ensure that ward-level counseling and testing, prevention, and treatment services are readily available and fully utilized. Funding will also be used to bolster social marketing and outreach campaigns for these important public health programs. The Budget includes \$14.5 million for DC Water to support critical infrastructure needs and \$1 million for grants to D.C. based fine and performing arts organizations.

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA

For a Federal payment of necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, \$14,900,000, to remain available until expended, for the costs of providing public safety at events

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA—Continued

related to the presence of the national capital in the District of Columbia, including support requested by the Director of the United States Secret Service Division in carrying out protective duties under the direction of the Secretary of Homeland Security, and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20–1771–0–1–806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Emergency Planning Fund .....	15	15	15
0002 Planning for the 57th Presidential Inauguration .....		10	
0900 Total new obligations (object class 41.0) .....	15	25	15
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	15	25	15
1160 Appropriation, discretionary (total) .....	15	25	15
1930 Total budgetary resources available .....	15	25	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	15	25	15
3020 Outlays (gross) .....	–15	–25	–15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	25	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	25	15
4180 Budget authority, net (total) .....	15	25	15
4190 Outlays, net (total) .....	15	25	15

The 2014 Budget includes \$14.9 million for emergency planning and security costs related to the presence of the Federal Government in the District of Columbia, including costs associated with providing support requested by the Director of the U.S. Secret Service.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PENSION FUND

Program and Financing (in millions of dollars)

Identification code 20–1714–0–1–601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to Federal Pension Fund .....	482	502	501
0900 Total new obligations (object class 42.0) .....	482	502	501
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	482	502	501
1260 Appropriations, mandatory (total) .....	482	502	501
1930 Total budgetary resources available .....	482	502	501
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	482	502	501
3020 Outlays (gross) .....	–482	–502	–501
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	482	502	501

Outlays, gross:			
4100 Outlays from new mandatory authority .....	482	502	501
4180 Budget authority, net (total) .....	482	502	501
4190 Outlays, net (total) .....	482	502	501

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year from the General Fund of the Treasury into the District of Columbia Federal Pension Fund. This account receives the annual payments from the General Fund and immediately transfers those amounts to the District of Columbia Federal Pension Fund. Annual payments consist of amounts necessary to amortize the original unfunded liability over 30 years, the net experienced gain or loss over 10 years, and any other changes in actuarial liability over 20 years; and amounts necessary to fund covered administrative expenses for the year.

DISTRICT OF COLUMBIA FEDERAL PENSION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20–5511–0–2–601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	3,591	3,571	3,571
<b>Receipts:</b>			
0240 Federal Contribution, DC Federal Pension Fund .....	482	502	501
0241 Earnings on Investments, DC Federal Pension Fund .....	52	74	83
0299 Total receipts and collections .....	534	576	584
0400 Total: Balances and collections .....	4,125	4,147	4,155
<b>Appropriations:</b>			
0500 District of Columbia Federal Pension Fund .....	–534	–576	–584
0501 District of Columbia Federal Pension Fund .....	–20		
0599 Total appropriations .....	–554	–576	–584
0799 Balance, end of year .....	3,571	3,571	3,571

Program and Financing (in millions of dollars)

Identification code 20–5511–0–2–601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Retirement payments .....	542	542	547
0002 Administrative costs .....	17	17	17
0900 Total new obligations .....	559	559	564
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			17
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	5		17
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	534	576	584
1203 Appropriation (previously unavailable) .....	20		
1260 Appropriations, mandatory (total) .....	554	576	584
1900 Budget authority (total) .....	554	576	584
1930 Total budgetary resources available .....	559	576	601
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		17	37
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	60	62	3
3010 Obligations incurred, unexpired accounts .....	559	559	564
3020 Outlays (gross) .....	–552	–618	–564
3040 Recoveries of prior year unpaid obligations, unexpired .....	–5		
3050 Unpaid obligations, end of year .....	62	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	60	62	3
3200 Obligated balance, end of year .....	62	3	3

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross .....	554	576 584
Outlays, gross:			
4100	Outlays from new mandatory authority .....	550	559 564
4101	Outlays from mandatory balances .....	2	59 .....
4110	Outlays, gross (total) .....	552	618 564
4180	Budget authority, net (total) .....	554	576 584
4190	Outlays, net (total) .....	552	618 564
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value .....	3,659	3,643 3,661
5001	Total investments, EOY: Federal securities: Par value .....	3,643	3,661 3,681

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, established the District of Columbia Federal Pension Fund to pay retirement benefits for District of Columbia firefighters, police officers, and teachers, and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The District of Columbia Federal Pension Fund consists of: amounts deposited into the Fund; amounts appropriated to the Fund; and income earned from the investment of the assets in public debt securities.

**Object Classification** (in millions of dollars)

Identification code 20-5511-0-2-601	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	3	3 3
25.2	Other services from non-Federal sources .....	14	14 14
42.0	Payments to annuitants .....	542	542 547
99.9	Total new obligations .....	559	559 564

**Employment Summary**

Identification code 20-5511-0-2-601	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	20	20 20

**FEDERAL PAYMENT FOR WATER AND SEWER SERVICES**

**Program and Financing** (in millions of dollars)

Identification code 20-4446-0-3-806	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801	Reimbursable program activity .....	51	56 56
0900	Total new obligations (object class 23.3) .....	51	56 56
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	50	56 56
1801	Change in uncollected payments, Federal sources .....	1	..... .....
1850	Spending auth from offsetting collections, mand (total) .....	51	56 56
1930	Total budgetary resources available .....	51	56 56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	1 1
3010	Obligations incurred, unexpired accounts .....	51	56 56
3020	Outlays (gross) .....	-50	-56 -56
3050	Unpaid obligations, end of year .....	1	1 1
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-1 -1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	..... .....
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1 -1

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross .....	51	56 56
Outlays, gross:			
4100	Outlays from new mandatory authority .....	50	56 56
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....	.....	-56 -56
4123	Non-Federal sources .....	-50	..... .....
4130	Offsets against gross budget authority and outlays (total) ....	-50	-56 -56
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-1	..... .....

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the D.C. Water and Sewer Authority, DC Water) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency is required to pay 25 percent of its estimated yearly bill each quarter by depositing its payment into this account. If an agency fails to pay its obligation on time, the Treasury Department is authorized to pay the full Government-wide bill, making up the difference through a permanent, indefinite appropriation which must then be reimbursed by the appropriate agencies.

**Object Classification** (in millions of dollars)

Identification code 20-4446-0-3-806	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
23.3	Communications, utilities, and miscellaneous charges .....	51	56 56
99.0	Reimbursable obligations .....	51	56 56

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
95-32200	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	.....	1 1
General Fund Offsetting receipts from the public .....			
	.....	1	1

**TITLE VIII—GENERAL PROVISIONS—DISTRICT OF COLUMBIA**

(INCLUDING TRANSFER OF FUNDS)

SEC. 801. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government.

SEC. 802. None of the Federal funds provided in this Act shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 803. (a) None of the Federal funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditures for an agency through a reprogramming of funds which—

- (1) creates new programs;
- (2) eliminates a program, project, or responsibility center;
- (3) establishes or changes allocations specifically denied, limited or increased under this Act;

(4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted;

(5) re-establishes any program or project previously deferred through reprogramming;

(6) augments any existing program, project, or responsibility center through a reprogramming of funds in excess of \$3,000,000 or 10 percent, whichever is less; or

(7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center, unless the Committees on Appropriations of the House of Representatives and the Senate are notified in writing 15 days in advance of the reprogramming.

(b) The District of Columbia government is authorized to approve and execute reprogramming and transfer requests of local funds under this title through November 7, 2014.

SEC. 804. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3-171; D.C. Official Code, sec. 1-123).

SEC. 805. Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this section, the term "official duties" does not include travel between the officer's or employee's residence and workplace, except in the case of—

(1) an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or a District of Columbia government employee as may otherwise be designated by the Chief of the Department;

(2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day or is otherwise designated by the Fire Chief;

(3) at the discretion of the Director of the Department of Corrections, an officer or employee of the District of Columbia Department of Corrections who resides in the District of Columbia and is on call 24 hours a day or is otherwise designated by the Director;

(4) the Mayor of the District of Columbia; and

(5) the Chairman of the Council of the District of Columbia.

SEC. 806. (a) None of the Federal funds contained in this Act may be used by the District of Columbia Attorney General or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

(b) Nothing in this section bars the District of Columbia Attorney General from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

SEC. 807. None of the Federal funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 808. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

SEC. 809. None of the Federal funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative.

SEC. 810. None of the Federal funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 811. (a) No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council of the District of Columbia, a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.42), for all agencies of the District of Columbia government for fiscal year 2014 that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) This section shall apply only to an agency for which the Chief Financial Officer for the District of Columbia certifies that a reallocation is required to address unanticipated changes in program requirements.

SEC. 812. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council for the District of Columbia, a revised appropriated funds operating budget for the District of Columbia Public Schools that aligns schools budgets to actual enrollment. The revised appropriated funds budget shall be in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.42).

SEC. 813. (a) Amounts appropriated in this Act as operating funds may be transferred to the District of Columbia's enterprise and capital funds and such amounts, once transferred, shall retain appropriation authority consistent with the provisions of this Act.

(b) The District of Columbia government is authorized to reprogram or transfer for operating expenses any local funds transferred or reprogrammed in this or the four prior fiscal years from operating funds to capital funds, and such amounts, once transferred or reprogrammed, shall retain appropriation authority consistent with the provisions of this Act.

(c) The District of Columbia government may not transfer or reprogram for operating expenses any funds derived from bonds, notes, or other obligations issued for capital projects.

SEC. 814. Except as expressly provided otherwise, any reference to "this Act" contained in this title or in title IV shall be treated as referring only to the provisions of this title or of title IV.

SEC. 815. Section 446 (D.C. Official Code, sec. 1-204.46), is amended—

(a) in the third sentence, to read as follows:

"The Mayor shall submit to the President of the United States for transmission to Congress the portion of the budget so adopted with respect to federal funds and the Mayor shall notify the Speaker of the House of Representatives, and the President of the Senate, as to the portion of the budget so adopted with respect to local funds; provided, that in a control year (as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (D.C. Official Code, sec. 47-393(4)), the Mayor shall submit to the President of the United States for transmission to Congress the budget so adopted."; and

(b) in fifth sentence, by striking "the Mayor shall not transmit any annual budget or amendments or supplements thereto, to the President of the United States" and inserting in lieu thereof, "the Mayor shall not submit to the President of the United States, or, for a fiscal year which is not a control year, notify the Speaker of the House of Representatives and the President of the Senate regarding, any annual budget or amendments or supplements thereto".

SEC. 816. (a) Subpart 1 of part D of title IV of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.41 et seq.) is amended by inserting after section 446B the following new section:

**"BUDGET AND FISCAL YEAR AUTONOMY**

"Sec. 446C. (a) BUDGET AUTONOMY.—Notwithstanding the fourth sentence of section 446 of the Home Rule Act (D.C. Official Code, sec. 1-204.46), the second and third sentences of section 447 of the Home Rule Act (D.C. Official Code, sec. 1-204.47), section 602(c) of the Home Rule Act (D.C. Official Code, sec. 1-206.02(c)), or sections 816 and 817 of the Financial Services and General Government Appropriations Act, 2009 (D.C. Official Code, secs. 47-369.01 and 47-369.02), upon the enactment by the District of Columbia of the annual budget, or any amendments or supplements thereto, for a fiscal year, officers and employees of the District of Columbia government may obligate and expend

District of Columbia funds and hire employees in accordance with that budget.

"(b) FISCAL YEAR AUTONOMY.—Notwithstanding section 441 of the Home Rule Act (D.C. Official Code, sec. 1-204.41), the fiscal year of the District government and any entity of the District government shall commence and end on such dates as may be established by the District of Columbia.

"(c) EXCEPTION FOR CONTROL YEAR.—Subsection (a) shall not apply in the case of any fiscal year that is a control year, as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (D.C. Official Code, sec. 47-393(4)).

"(d) EFFECTIVE DATE.—This section shall apply with respect to fiscal year 2014 and each succeeding fiscal year."

(b) The table of contents of such Act is amended by inserting after the item relating to section 446B the following new item:

"Sec. 446C. Budget and fiscal year autonomy."

SEC. 817. (a) If the Attorney General of the District of Columbia enters into a contract with private counsel for the provision of legal services in claims and other legal matters affecting the interests of the District of Columbia and the contract includes a contingency fee arrangement, the District of Columbia may make payments pursuant to such arrangement without regard to whether the funds used for the payments are deposited in accounts of the District of Columbia or provided in an appropriation, notwithstanding any provision of title 31, United States Code, the fourth sentence of section 446 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.46), or any other District of Columbia law.

(b) Any contract described in subsection (a) shall be subject to the requirements of the Procurement Practices Reform Act of 2010 (D.C. Official Code, sec. 2-351.01 et seq.). The amount of the fee payable for legal services furnished under any such contract may not exceed the fee that counsel engaged in the private practice of law in the District of Columbia typically charges clients for furnishing similar legal services, as determined by the Attorney General of the District of Columbia.

(c) The District of Columbia may not enter into a contingency fee arrangement in a claim or other legal matter seeking the recovery of federal funds.

(d) In this section, a "contingency fee arrangement" means a provision in a contract described in subsection (a) under which the costs, expenses, and fees the private counsel charges for legal services are payable from the amount recovered.

SEC. 818. Subparagraph (G) of section 3(c)(2) of the District of Columbia College Access Act of 1999 (Public Law 106-98), as amended, is further amended:

(a) by inserting after "(G)", "(i) for individuals who began an undergraduate course of study prior to school year 2014-2015," and

(b) by inserting the following before the period at the end: "and (ii) for individuals who begin an undergraduate course of study in or after school year 2014-2015, is from a family with a taxable annual income of less than \$450,000. Beginning with school year 2015-2016, the Mayor shall adjust the amounts in clauses (i) and (ii) for inflation, as measured by the percentage increase, if any, from the preceding fiscal year in the consumer Price Index for All Urban Consumers, published by the Bureau of Labor Statistics of the Department of Labor".

**ELECTION ASSISTANCE COMMISSION**

**Federal Funds**

**SALARIES AND EXPENSES**

**(INCLUDING TRANSFER OF FUNDS)**

For necessary expenses to carry out the Help America Vote Act of 2002 (Public Law 107-252), \$11,062,500, of which \$2,750,000 shall be transferred to the National Institute of Standards and Technology for election reform activities authorized under the Help America Vote Act of 2002.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-1650-0-1-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Election Assistance Commission .....	8	9	9
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	12	12
1120 Appropriations transferred to other accts [13-0500] .....	-3	-3	-3
1160 Appropriation, discretionary (total) .....	9	9	9
1930 Total budgetary resources available .....	9	9	9
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	2
3010 Obligations incurred, unexpired accounts .....	8	9	9
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-8	-8	-9
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	1	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	2
3200 Obligated balance, end of year .....	1	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	7	7
4011 Outlays from discretionary balances .....	2	1	2
4020 Outlays, gross (total) .....	8	8	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	9	9	9
4080 Outlays, net (discretionary) .....	7	8	9
4180 Budget authority, net (total) .....	9	9	9
4190 Outlays, net (total) .....	7	8	9

The Election Assistance Commission is responsible for assisting State and local efforts to enhance election equipment, improve the administration of Federal elections, and meet minimum voting standards established by the Help America Vote Act of 2002 (P.L. 107-252). Of the amounts proposed for 2014, \$2.75 million will be transferred to the National Institute of Standards and Technology to continue its work to support the Technical Guidelines Development Committee in developing a comprehensive set of testing guidelines for voting system hardware and software.

**Object Classification** (in millions of dollars)

Identification code 95-1650-0-1-808	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.5 Research and development contracts .....	1	1	1
99.9 Total new obligations .....	8	9	9

**Employment Summary**

Identification code 95-1650-0-1-808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	32	29	29

**ELECTION REFORM PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 95-1651-0-1-808	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	24	19
3020 Outlays (gross) .....	-6	-5	-5
3050 Unpaid obligations, end of year .....	24	19	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	30	24	19
3200 Obligated balance, end of year .....	24	19	14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	6	5	5
4190 Outlays, net (total) .....	6	5	5

The Budget does not provide additional resources for election reform grants to States. The Election Assistance Commission is responsible for distributing grant funding in accordance with the requirements of the Help America Vote Act of 2002, and for auditing the use of grant funding once it has been distributed. To date, the Federal government has provided over \$3.2 billion in support to States for election administration modernization and improvement.

**ELECTION DATA COLLECTION GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 95-1652-0-1-808	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2

**ELECTRIC RELIABILITY ORGANIZATION**

**Federal Funds**

**ELECTRIC RELIABILITY ORGANIZATION**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-5522-0-2-276	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Fees, Electric Reliability Organization .....	100	100	100
0400 Total: Balances and collections .....	100	100	100
Appropriations:			
0500 Electric Reliability Organization .....	-100	-100	-100
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 95-5522-0-2-276	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	100	100	100

0900 Total new obligations (object class 25.2) .....	100	100	100
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	100	100	100
1260 Appropriations, mandatory (total) .....	100	100	100
1930 Total budgetary resources available .....	100	100	100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	100	100	100
3020 Outlays (gross) .....	-100	-100	-100
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	100	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority .....	100	100	100
4180 Budget authority, net (total) .....	100	100	100
4190 Outlays, net (total) .....	100	100	100

The Energy Policy Act of 2005 (P.L. 109-58) authorizes the Federal Energy Regulatory Commission (FERC) to certify an Electric Reliability Organization (ERO) to establish and enforce reliability standards for the electric bulk-power system. These standards include requirements for operating existing bulk-power system facilities, including cybersecurity protection, and design of planned additions or modifications to these facilities to provide for reliable operation, but does not include requirements to construct new transmission or generation capacity. On July 20, 2006, FERC certified the North American Electric Reliability Corporation as the ERO. ERO is funded by fees on end users of the bulk-power system. Since the ERO does not report budget data to Treasury, ERO funding is based on estimates.

**EQUAL EMPLOYMENT OPPORTUNITY COMMISSION**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Equal Pay Act of 1963, the Americans with Disabilities Act of 1990, Section 501 of the Rehabilitation Act of 1973, the Civil Rights Act of 1991, the Genetic Information Non-Discrimination Act (GINA) of 2008 (Public Law 110-233), the ADA Amendments Act of 2008 (Public Law 110-325), and the Lilly Ledbetter Fair Pay Act of 2009 (Public Law 111-2), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); nonmonetary awards to private citizens; and up to \$29,500,000 for payments to State and local enforcement agencies for authorized services to the Commission, \$372,923,000: Provided, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,250 from available funds: Provided further, That the Chair is authorized to accept and use any gift or donation to carry out the work of the Commission.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 45-0100-0-1-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Private sector .....	360	292	302
0002 Federal sector .....		40	41
0003 State and local .....		30	30

0900	Total new obligations .....	360	362	373
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	360	362	373
1160	Appropriation, discretionary (total) .....	360	362	373
1930	Total budgetary resources available .....	360	362	373
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	42	42	47
3010	Obligations incurred, unexpired accounts .....	360	362	373
3011	Obligations incurred, expired accounts .....	4		
3020	Outlays (gross) .....	-360	-357	-372
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	42	47	48
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	42	42	47
3200	Obligated balance, end of year .....	42	47	48
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	360	362	373
Outlays, gross:				
4010	Outlays from new discretionary authority .....	327	315	325
4011	Outlays from discretionary balances .....	33	42	47
4020	Outlays, gross (total) .....	360	357	372
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	360	362	373
4080	Outlays, net (discretionary) .....	359	357	372
4180	Budget authority, net (total) .....	360	362	373
4190	Outlays, net (total) .....	359	357	372

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967; the Equal Pay Act of 1963; the Americans with Disabilities Act of 1990; the Civil Rights Act of 1991; the Genetic Information Non-Discrimination Act (GINA) of 2008; the ADA Amendments Act of 2008; the Lilly Ledbetter Fair Pay Act of 2009; and in the Federal sector only, section 501 of the Rehabilitation Act of 1973. These Acts prohibit employment discrimination based on race, sex, religion, national origin, age, disability status, or genetic information. EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

**TOTAL WORKLOAD**

	2012 actual	2013 CR	2014 est.
Private sector enforcement .....	181,451	170,040	173,630
Federal sector program:			
Hearings .....	15,684	15,613	15,276
Appeals .....	8,687	8,772	9,009
Total workload .....	205,822	194,425	197,915

The 2014 Budget for EEOC aligns the agency's staffing and funding request with the new Strategic Plan for fiscal years 2012–2016. The new plan establishes a framework for achieving the EEOC's mission to "Stop and Remedy Unlawful Employment Discrimination". The plan has three strategic objectives: 1) Combat employment discrimination through strategic law enforcement; 2) Prevent employment discrimination through education and outreach; and 3) Deliver excellent and consistent service through a skilled and diverse workforce and effective systems. The structure of this budget is based on our new Strategic Plan to continue our standards of providing quality service to the

public through enforcement and prevention activities. EEOC will continue to make the agency more accessible and responsive to citizens' needs through business process reform and the infusion of new technologies. EEOC's enforcement responsibilities are in two areas: the private sector and the Federal sector.

*Private sector.*—EEOC addresses equal employment opportunity in several ways. The agency investigates charges alleging employment discrimination; makes findings on the allegations; resolves charges through mediation; negotiates settlement or conciliation; and litigates cases of employment discrimination by enforcing compliance with existing laws and regulations. The priority for agency resources continues to be litigating systemic cases and maintaining a manageable inventory of cases.

**PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS**

	2012 actual	2013 CR	2014 est.
Workload/Workflow			
Total pending .....	80,729	70,312	73,902
Total receipts .....	99,412	98,418	98,418
Net FEPA transfers/deferrals .....	1,310	1,310	1,310
Total workload .....	181,451	170,040	173,630
Resolutions:			
Successful mediation .....	8,714	7,612	7,317
From contract .....	336	315	315
From staff .....	8,378	7,297	7,002
Administrative enforcement resolutions .....	102,425	88,525	85,738
Total resolutions .....	111,139	96,137	93,055
Pending ending .....	70,312	73,903	80,575

*State and Local Program.*—EEOC contracts with Fair Employment Practices Agencies (FEPAs) that are responsible for addressing employment discrimination within their respective State and local jurisdictions. In addition, the agency works with Tribal Employment Rights Organizations (TEROs) to promote employment opportunities for Native Americans on or near a reservation.

**STATE AND LOCAL WORKLOAD PROJECTIONS**

	2012 actual	2013 CR	2014 est.
Workload			
Charges/complaints pending .....	47,696	46,992	46,288
Charges/complaints received .....	43,467	43,467	43,467
Total Workload .....	91,163	90,459	89,755
Charges/complaints resolved .....	42,861	42,861	42,861
Charges/complaints deferred to EEOC .....	1,310	1,310	1,310
Charges/complaints pending ending .....	46,992	46,288	45,584

*Federal sector.*—EEOC holds hearings on complaints of discrimination filed in Federal agencies; decides appeals of complaints of discriminations; and engages in activities to prevent or remove discriminatory barriers to employment opportunities in the Federal Government.

**FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS**

	2012 actual	2013 CR	2014 est.
Workload			
Hearings pending .....	8,060	8,146	8,009
Hearings requests received .....	7,728	7,629	7,429
Hearings requests consolidated after initial processing .....	(104)	(162)	(162)
Total workload .....	15,684	15,613	15,276
Hearings resolved .....	7,583	7,604	7,604
Hearings pending ending .....	8,146	8,009	7,672

**FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS**

	2012 actual	2013 CR	2014 est.
Workload			
Appeals pending .....	4,337	4,422	4,659
Appeals received .....	4,350	4,350	4,350
Total workload .....	8,687	8,772	9,009
Appeals resolved .....	4,265	4,113	3,825
Appeals pending ending .....	4,422	4,659	5,184

**SALARIES AND EXPENSES—Continued**  
**Object Classification** (in millions of dollars)

Identification code 45-0100-0-1-751	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	196	197	200
11.3 Other than full-time permanent .....	2	2	3
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	200	201	205
12.1 Civilian personnel benefits .....	60	60	61
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	28	29	30
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	5	5	6
25.1 State and Local Contracts .....		30	30
25.2 Other services from non-Federal sources .....	57	22	26
25.3 Other goods and services from Federal sources .....		5	5
26.0 Supplies and materials .....	4	4	4
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	360	362	373

**Employment Summary**

Identification code 45-0100-0-1-751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2,332	2,212	2,239

**EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 45-4019-0-3-751	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	5	4	4
0809 Reimbursable program activities, subtotal .....	5	4	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	1
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	4	4
1850 Spending auth from offsetting collections, mand (total) .....	3	4	4
1930 Total budgetary resources available .....	6	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	4
3010 Obligations incurred, unexpired accounts .....	5	4	4
3020 Outlays (gross) .....	-4	-2	-1
3050 Unpaid obligations, end of year .....	2	4	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	4
3200 Obligated balance, end of year .....	2	4	7
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	1	1
4101 Outlays from mandatory balances .....	1	1	
4110 Outlays, gross (total) .....	4	2	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1		
4123 Non-Federal sources .....	-2	-4	-4
4130 Offsets against gross budget authority and outlays (total) .....	-3	-4	-4
4170 Outlays, net (mandatory) .....	1	-2	-3
4190 Outlays, net (total) .....	1	-2	-3

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the EEOC.

**Object Classification** (in millions of dollars)

Identification code 45-4019-0-3-751	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	4	3	3
99.9 Total new obligations .....	5	4	4

**Employment Summary**

Identification code 45-4019-0-3-751	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	14	14	14

**EXPORT-IMPORT BANK OF THE UNITED STATES**

**Federal Funds**

INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$5,100,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 83-0105-0-1-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0009 Administrative Expenses .....	3	4	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	5
1160 Appropriation, discretionary (total) .....	4	4	5
1930 Total budgetary resources available .....	5	6	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	3	4	5
3020 Outlays (gross) .....	-3	-4	-5
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	3	4
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	3	4	5
4180 Budget authority, net (total) .....	4	4	5
4190 Outlays, net (total) .....	3	4	5

**Object Classification** (in millions of dollars)

Identification code 83-0105-0-1-155	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	3
25.2 Other services from non-Federal sources .....	1	2	2
99.9 Total new obligations .....	3	4	5

**Employment Summary**

Identification code 83-0105-0-1-155	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	21	21	28

**PROGRAM ACCOUNT**

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: Provided, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of the enactment of this Act.

**ADMINISTRATIVE EXPENSES**

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, not to exceed \$114,900,000, to remain available until September 30, 2015: Provided, That the Export-Import Bank may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: Provided further, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until September 30, 2015: Provided further, That the Export-Import Bank shall charge fees for necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal, financial, or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, or systems infrastructure directly supporting transactions: Provided further, That, in addition to other funds appropriated for administrative expenses, such fees shall be credited to this account, to remain available until expended.

In addition, for renovation expenses of the Export-Import Bank's headquarters, not to exceed \$10,500,000, to remain available until expended.

**RECEIPTS COLLECTED**

Receipts collected pursuant to the Export-Import Bank Act of 1945, as amended, and the Federal Credit Reform Act of 1990, as amended, in an amount not to exceed the amount appropriated herein, shall be credited as offsetting collections to this account: Provided, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by such offsetting collections so as to result in a final fiscal year appropriation from the General Fund estimated at \$0: Provided further, That amounts collected in fiscal year 2014 in excess of obligations, up to \$10,000,000, shall become available on September 1, 2014, and shall remain available until September 30, 2017.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 83-0100-0-1-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....		15	15
0702 Loan guarantee subsidy .....	72	35	
0705 Reestimates of direct loan subsidy .....	517	482	
0706 Interest on reestimates of direct loan subsidy .....	180	59	
0707 Reestimates of loan guarantee subsidy .....	38	428	
0708 Interest on reestimates of loan guarantee subsidy .....	58	55	
0709 Administrative expenses .....	90	90	115
0715 Other .....	12	31	41
0900 Total new obligations .....	967	1,195	171

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	953	596	222
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	963	596	222
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-400	-400	
1160 Appropriation, discretionary (total) .....	-400	-400	
Appropriations, mandatory:			
1200 Appropriation .....	793	1,023	
1260 Appropriations, mandatory (total) .....	793	1,023	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	216	50	21
1700 Offsetting collections (Subsidy) .....		58	
1700 Offsetting collections (Admin Expense) .....		90	115
1750 Spending auth from offsetting collections, disc (total) .....	216	198	136
1900 Budget authority (total) .....	609	821	136
1930 Total budgetary resources available .....	1,572	1,417	358
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9		
1941 Unexpired unobligated balance, end of year .....	596	222	187

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	98	118	104
3010 Obligations incurred, unexpired accounts .....	967	1,195	171
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-931	-1,209	-169
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3041 Recoveries of prior year unpaid obligations, expired .....	-11		
3050 Unpaid obligations, end of year .....	118	104	106
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	98	118	104
3200 Obligated balance, end of year .....	118	104	106

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-184	-202	136
Outlays, gross:			
4010 Outlays from new discretionary authority .....	875	157	113
4011 Outlays from discretionary balances .....	19	29	56
4020 Outlays, gross (total) .....	894	186	169
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-218	-198	-136
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2		
4070 Budget authority, net (discretionary) .....	-400	-400	
4080 Outlays, net (discretionary) .....	676	-12	33
Mandatory:			
4090 Budget authority, gross .....	793	1,023	
Outlays, gross:			
4101 Outlays from mandatory balances .....	37	1,023	
4180 Budget authority, net (total) .....	393	623	
4190 Outlays, net (total) .....	713	1,011	33

**EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued**  
**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 83-0100-0-1-155	2012 actual	2013 CR	2014 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Direct Loans: Export Financing .....	11,765	50	100
115002 Direct Loans: Tied Aid War Chest .....		50	50
115999 Total direct loan levels .....	11,765	100	150
<b>Direct loan subsidy (in percent):</b>			
132001 Direct Loans: Export Financing .....	-13.69	-0.03	-0.17
132002 Direct Loans: Tied Aid War Chest .....		30.08	29.45
132999 Weighted average subsidy rate .....	-13.69	15.03	9.70
<b>Direct loan subsidy budget authority:</b>			
133001 Direct Loans: Export Financing .....	-1,611		
133002 Direct Loans: Tied Aid War Chest .....		15	15
133999 Total subsidy budget authority .....	-1,611	15	15
<b>Direct loan subsidy outlays:</b>			
134001 Direct Loans: Export Financing .....	-397		
134999 Total subsidy outlays .....	-397		
<b>Direct loan upward reestimates:</b>			
135001 Direct Loans: Export Financing .....	697	541	
135999 Total upward reestimate budget authority .....	697	541	
<b>Direct loan downward reestimates:</b>			
137001 Direct Loans: Export Financing .....	-178	-198	
137999 Total downward reestimate budget authority .....	-178	-198	
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215004 Long Term Guarantees .....	14,880	29,430	32,441
215005 Medium Term Guarantees .....	187	180	194
215006 Short Term Insurance .....	8,788	8,567	6,962
215007 Medium Term Insurance .....	165	195	207
215008 Working Capital Fund .....			2,727
215999 Total loan guarantee levels .....	24,020	38,372	42,531
<b>Guaranteed loan subsidy (in percent):</b>			
232004 Long Term Guarantees .....	-2.74	-4.12	-3.41
232005 Medium Term Guarantees .....	7.04	3.99	-0.10
232006 Short Term Insurance .....	0.48	0.23	-0.01
232007 Medium Term Insurance .....	10.13	3.88	-0.12
232008 Working Capital Fund .....			-0.01
232999 Weighted average subsidy rate .....	-1.40	-3.07	-2.60
<b>Guaranteed loan subsidy budget authority:</b>			
233004 Long Term Guarantees .....	-408	-1,213	-1,106
233005 Medium Term Guarantees .....	13	7	
233006 Short Term Insurance .....	42	20	-1
233007 Medium Term Insurance .....	17	8	
233999 Total subsidy budget authority .....	-336	-1,178	-1,107
<b>Guaranteed loan subsidy outlays:</b>			
234001 Risk Category A .....	-407		
234004 Long Term Guarantees .....		-692	-836
234005 Medium Term Guarantees .....	8	8	8
234006 Short Term Insurance .....	22	17	18
234007 Medium Term Insurance .....	11	13	12
234999 Total subsidy outlays .....	-366	-654	-798
<b>Guaranteed loan upward reestimates:</b>			
235003 Guarantee and Insurance Reestimates .....	96	483	
235999 Total upward reestimate budget authority .....	96	483	
<b>Guaranteed loan downward reestimates:</b>			
237003 Guarantee and Insurance Reestimates .....	-513	-249	
237999 Total downward reestimate subsidy budget authority .....	-513	-249	
<b>Administrative expense data:</b>			
3510 Budget authority .....	90	90	115
3580 Outlays from balances .....	10	10	10
3590 Outlays from new authority .....	80	94	105

The purpose of the Export-Import Bank (Ex-Im Bank or the Bank) is to sustain U.S. jobs by financing U.S. exports. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to

foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee, and insurance programs. The Bank is actively assisting small- and medium-sized businesses.

The 2014 Budget estimates that the Bank's export credit support will total \$42.7 billion, and will be funded entirely by receipts collected from the Bank's customers. The Bank estimates it will collect \$972.1 million in 2014 in receipts in excess of expected losses on transactions authorized in 2014 and prior years. These amounts will be used to cover administrative expenses in an amount not to exceed \$114.9 million, of which \$20.0 million is for technology expenses, and \$7 million is for continued support of small business development efforts. Amounts collected in fiscal year 2014 in excess of obligations, up to \$10.0 million, shall become available on September 1, 2014 and shall remain available until September 30, 2017. Any excess above \$10.0 million will be deposited in the General Fund of the Treasury.

As required by the Federal Credit Reform Act of 1990, this account records, for Ex-Im Bank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 83-0100-0-1-155	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	48	48	55
12.1 Civilian personnel benefits .....	14	14	15
21.0 Travel and transportation of persons .....	2	2	1
23.1 Rental payments to GSA .....	7	7	15
23.3 Communications, utilities, and miscellaneous charges .....	1	1	2
25.2 Other services from non-Federal sources .....	15	15	21
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	5
41.0 Grants, subsidies, and contributions .....	877	1,105	56
99.9 Total new obligations .....	967	1,195	171

**Employment Summary**

Identification code 83-0100-0-1-155	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	390	390	436

**DEBT REDUCTION FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 83-4028-0-3-155	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	10	10	
1022 Capital transfer of unobligated balances to general fund .....		-10	
1050 Unobligated balance (total) .....	10		
<b>Financing authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections (repayments) .....	22	3	3
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-22	-3	-3
1930 Total budgetary resources available .....	10		
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	10		

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources - Principal .....	-22	-2	-2

4123	Non-Federal sources - Interest .....	-1	-1	
4130	Offsets against gross financing auth and disbursements (total) .....	-22	-3	-3
4160	Financing authority, net (mandatory) .....	-22	-3	-3
4170	Financing disbursements, net (mandatory) .....	-22	-3	-3
4180	Financing authority, net (total) .....	-22	-3	-3
4190	Financing disbursements, net (total) .....	-22	-3	-3

**Status of Direct Loans** (in millions of dollars)

Identification code 83-4028-0-3-155	2012 actual	2013 CR	2014 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	113	113	111
1251	Repayments: Repayments and prepayments .....	-2	-2	
1290	Outstanding, end of year .....	113	111	109

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

**Balance Sheet** (in millions of dollars)

Identification code 83-4028-0-3-155	2011 actual	2012 actual	
ASSETS:			
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	113	113
1405	Allowance for subsidy cost (-) .....	-113	-113
1499	Net present value of assets related to direct loans .....		
1999	Total upward reestimate subsidy BA [11-0091] .....		

**EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 83-4161-0-3-155	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0003	Other obligations .....	10	10	
Credit program obligations:				
0710	Direct loan obligations .....	11,765	100	150
0713	Payment of interest to Treasury .....	524	623	744
0740	Negative subsidy obligations .....	1,611		
0742	Downward reestimate paid to receipt account .....	135	129	
0743	Interest on downward reestimates .....	43	69	
0791	Direct program activities, subtotal .....	14,078	921	894
0900	Total new obligations .....	14,078	931	904

**Budgetary Resources:**

Identification code 83-4161-0-3-155	2011 actual	2012 actual		
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations .....	216	1	
1050	Unobligated balance (total) .....	216	1	
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	13,641	100	150
1440	Borrowing authority, mandatory (total) .....	13,641	100	150
Spending authority from offsetting collections, mandatory:				
1800	Spending authority from offsetting collections (cash) .....	2,136	2,500	2,925
1801	Change in uncollected payments, Federal sources .....	17		
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-11		
1825	Spending authority from offsetting collections applied to repay debt .....	-1,921	-1,670	-2,171
1850	Spending auth from offsetting collections, mand (total) .....	221	830	754
1900	Financing authority (total) .....	13,862	930	904
1930	Total budgetary resources available .....	14,078	931	904

**Change in obligated balance:**

Identification code 83-4161-0-3-155	2012 actual	2013 CR	2014 est.	
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	9,572	17,228	18,058
3010	Obligations incurred, unexpired accounts .....	14,078	931	904
3020	Financing disbursements (gross) .....	-6,206	-100	-150

3040	Recoveries of prior year unpaid obligations, unexpired .....	-216	-1	
3050	Unpaid obligations, end of year .....	17,228	18,058	18,812
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-17	-17
3070	Change in uncollected pymts, Fed sources, unexpired .....	-17		
3090	Uncollected pymts, Fed sources, end of year .....	-17	-17	-17
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9,572	17,211	18,041
3200	Obligated balance, end of year .....	17,211	18,041	18,795

**Financing authority and disbursements, net:**

Identification code 83-4161-0-3-155	2012 actual	2013 CR	2014 est.	
Mandatory:				
4090	Financing authority, gross .....	13,862	930	904
Financing disbursements:				
4110	Financing disbursements, gross .....	6,206	100	150
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources: Upward reestimate .....	-698	-540	
4122	Interest on uninvested funds .....	-133	-200	-300
4123	Repayments and prepayments .....	-1,305	-1,760	-2,625
4130	Offsets against gross financing auth and disbursements (total) .....	-2,136	-2,500	-2,925
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-17		
4160	Financing authority, net (mandatory) .....	11,709	-1,570	-2,021
4170	Financing disbursements, net (mandatory) .....	4,070	-2,400	-2,775
4180	Financing authority, net (total) .....	11,709	-1,570	-2,021
4190	Financing disbursements, net (total) .....	4,070	-2,400	-2,775

**Status of Direct Loans** (in millions of dollars)

Identification code 83-4161-0-3-155	2012 actual	2013 CR	2014 est.	
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation .....	11,765	100	150
1150	Total direct loan obligations .....	11,765	100	150
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	8,110	11,895	10,225
1231	Disbursements: Direct loan disbursements .....	5,091	100	150
1251	Repayments: Repayments and prepayments .....	-1,305	-1,760	-2,625
1263	Write-offs for default: Direct loans .....	-1	-10	-10
1290	Outstanding, end of year .....	11,895	10,225	7,740

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 2014.

**Balance Sheet** (in millions of dollars)

Identification code 83-4161-0-3-155	2011 actual	2012 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury .....	162	162
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	11,895	11,895
1402	Interest receivable .....	97	97
1405	Allowance for subsidy cost (-) .....	-1,206	-1,206
1499	Net present value of assets related to direct loans .....	10,786	10,786
1901	Other Federal assets: Other assets .....	540	540
1999	Total assets .....	11,488	11,488
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable .....	187	187
2103	Debt .....	11,301	11,301
2999	Total liabilities .....	11,488	11,488
4999	Total liabilities and net position .....	11,488	11,488

## EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 83-4162-0-3-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0003 Payment Certificates .....	7	8	8
0004 Other claim expenses .....	7	8	8
0091 Direct program activities, subtotal .....	14	16	16
Credit program obligations:			
0711 Default claim payments on principal .....	36	44	44
0740 Negative subsidy obligations .....	408	1,213	1,106
0742 Downward reestimate paid to receipt account .....	350	137	.....
0743 Interest on downward reestimates .....	163	112	.....
0791 Direct program activities, subtotal .....	957	1,506	1,150
0900 Total new obligations .....	971	1,522	1,166
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,274	1,399	1,418
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash) .....	975	1,541	1,906
1801 Change in uncollected payments, Federal sources .....	125	.....	.....
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-4	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	1,096	1,541	1,906
1930 Total budgetary resources available .....	2,370	2,940	3,324
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,399	1,418	2,158

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	1,025
3010 Obligations incurred, unexpired accounts .....	971	1,522	1,166
3020 Financing disbursements (gross) .....	-971	-499	-1,106
3050 Unpaid obligations, end of year .....	2	1,025	1,085
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-125	-125
3070 Change in uncollected pymts, Fed sources, unexpired .....	-125	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-125	-125	-125
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	-123	900
3200 Obligated balance, end of year .....	-123	900	960

## Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	1,096	1,541	1,906
Financing disbursements:			
4110 Financing disbursements, gross .....	971	499	1,106
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Sources: Payments from program account .....	-137	-521	-38
4122 Interest on uninvested funds .....	-46	-120	-150
4123 Fees, premiums, claim recoveries .....	-792	-900	-1,718
4130 Offsets against gross financing auth and disbursements (total) .....	-975	-1,541	-1,906
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-125	.....	.....
4160 Financing authority, net (mandatory) .....	-4	.....	.....
4170 Financing disbursements, net (mandatory) .....	-4	-1,042	-800
4180 Financing authority, net (total) .....	-4	.....	.....
4190 Financing disbursements, net (total) .....	-4	-1,042	-800

## Status of Guaranteed Loans (in millions of dollars)

Identification code 83-4162-0-3-155	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation .....	24,020	38,372	42,531
2150 Total guaranteed loan commitments .....	24,020	38,372	42,531
2199 Guaranteed amount of guaranteed loan commitments .....	24,020	38,372	42,531
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	48,861	56,823	62,450
2231 Disbursements of new guaranteed loans .....	22,273	24,400	26,750
2251 Repayments and prepayments .....	-14,117	-18,580	-14,150

2263 Adjustments: Terminations for default that result in claim payments .....	-194	-193	-44
2290 Outstanding, end of year .....	56,823	62,450	75,006

## Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	56,823	62,450	62,100
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 2014.

## Balance Sheet (in millions of dollars)

Identification code 83-4162-0-3-155	2011 actual	2012 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1,220	1,814
1999 Total assets .....	1,220	1,814
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	1,220	1,814
4999 Total liabilities and net position .....	1,220	1,814

## EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 83-4027-0-3-155	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0006 Claim payments, gross .....	11	1	1
0900 Total new obligations (object class 33.0) .....	11	1	1
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	34	18	15
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-23	-17	-14
1850 Spending auth from offsetting collections, mand (total) .....	11	1	1
1930 Total budgetary resources available .....	11	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	11	1	1
3020 Outlays (gross) .....	-11	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	11	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-34	-18	-15
4180 Budget authority, net (total) .....	-23	-17	-14
4190 Outlays, net (total) .....	-23	-17	-14

## Status of Direct Loans (in millions of dollars)

Identification code 83-4027-0-3-155	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	479	459	441
1251 Repayments: Repayments and prepayments .....	-20	-18	-15
1290 Outstanding, end of year .....	459	441	426

## Status of Guaranteed Loans (in millions of dollars)

Identification code 83-4027-0-3-155	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	5		
2251 Repayments and prepayments .....	-5		
2290 Outstanding, end of year .....			
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	94	74	59
2351 Repayments of loans receivable .....	-20	-15	-15
2390 Outstanding, end of year .....	74	59	44

*Operating results and financial condition.*—The Ex-Im Bank is a wholly-owned Government corporation. Capital stock of \$1 billion was purchased by the U.S. Treasury.

The Ex-Im Bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the Bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the Bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The Ex-Im Bank's Net Excess of Program Costs over Revenue \$751.5 million in 2012. Total Government Net Position in the corporation was (763.0) million on September 30, 2012.

As required by the Federal Credit Reform Act of 1990, this account records, for Ex-Im Bank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

## Balance Sheet (in millions of dollars)

Identification code 83-4027-0-3-155	2011 actual	2012 actual
ASSETS:		
1601 Direct loans, gross .....	479	459
1603 Allowance for estimated uncollectible loans and interest (-) .....	-329	-380
1699 Value of assets related to direct loans .....	150	79
1701 Defaulted guaranteed loans, gross .....	94	74
1703 Allowance for estimated uncollectible loans and interest (-) .....	-74	-61
1799 Value of assets related to loan guarantees .....	20	13
1999 Total assets .....	170	92
LIABILITIES:		
Non-Federal liabilities:		
2203 Debt .....	50	40
2207 Other .....		1
2999 Total liabilities .....	50	41
NET POSITION:		
3300 Cumulative results of operations .....	1,000	1,000
3300 Cumulative results of operations .....	-880	-949
3999 Total net position .....	120	51

4999 Total liabilities and net position ..... 170 92

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
83-272710 Export-Import Bank Loans, Negative Subsidies .....	804	692	836
83-272730 Export-Import Bank Loans, Downward Reestimates of Subsidies .....	691	447	
83-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	16		
General Fund Offsetting receipts from the public .....	1,511	1,139	836

## FARM CREDIT ADMINISTRATION

## Federal Funds

## LIMITATION ON ADMINISTRATIVE EXPENSES

*Not to exceed \$63,300,000 (from assessments collected from farm credit institutions, including the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: Provided, That this limitation shall not apply to expenses associated with receiverships.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 78-4131-0-3-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	52	62	64
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	32	32
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	55	62	64
1850 Spending auth from offsetting collections, mand (total) .....	55	62	64
1930 Total budgetary resources available .....	84	94	96
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	32	32	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	8	9
3010 Obligations incurred, unexpired accounts .....	52	62	64
3020 Outlays (gross) .....	-53	-61	-66
3050 Unpaid obligations, end of year .....	8	9	7
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	7	8
3200 Obligated balance, end of year .....	7	8	6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	55	62	64
Outlays, gross:			
4100 Outlays from new mandatory authority .....	49	57	57
4101 Outlays from mandatory balances .....	4	4	9
4110 Outlays, gross (total) .....	53	61	66
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1	-1	-1
4121 Interest on Federal securities .....	-1	-1	-1

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued  
Program and Financing—Continued

Identification code 78-4131-0-3-351	2012 actual	2013 CR	2014 est.
4123 Non-Federal sources .....	-53	-60	-62
4130 Offsets against gross budget authority and outlays (total) ....	-55	-62	-64
4170 Outlays, net (mandatory) .....	-2	-1	2
4190 Outlays, net (total) .....	-2	-1	2
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	36	39	37
5001 Total investments, EOY: Federal securities: Par value .....	39	37	37

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness and program compliance. The System is a cooperative agricultural credit system of farm credit banks and associations that lend to farmers, ranchers, and their cooperatives; farm-related businesses; rural homeowners; and rural utilities. FCA also performs the examination and general supervision of Farmer Mac. In addition, FCA examines the National Consumer Cooperative Bank, which is not a System institution.

As of October 1, 2012, the System was composed of three Farm Credit Banks, one Agricultural Credit Bank, 82 associations, five service corporations, the Federal Farm Credit Banks Funding Corporation, and Farmer Mac.

Assessments based upon estimated administrative expenses are collected from institutions in the System, including Farmer Mac, and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the FCA Board. Section 6(f)(1) of the Inspector General Act of 1978, as amended, (IG Act) requires an Inspector General (IG) to include specific information in the budget request the IG submits to the head of the department or designated Federal entity to which the IG reports. To fulfill the requirement of Section 6(f)(2) of the IG Act as it pertains to FCA, the FCA Board must in turn include this same information in the budget request that the Agency submits to the President.

The information that the IG Act requires to be included is provided below:

The aggregate budget request for the Office of Inspector General (OIG) is \$1,224,116.

The amount needed for OIG training is \$20,135 (tuition).

The amount needed to support the Council of the Inspectors General on Integrity and Efficiency is \$3,400.

The FCA IG's budget request for 2014 is being submitted unchanged by the FCA Board.

Object Classification (in millions of dollars)

Identification code 78-4131-0-3-351	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	33	37	39
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	34	38	40
12.1 Civilian personnel benefits .....	10	13	13
21.0 Travel and transportation of persons .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	3	4	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	52	62	64

Employment Summary

Identification code 78-4131-0-3-351	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	288	300	305

FARM CREDIT SYSTEM INSURANCE CORPORATION

Federal Funds

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 78-4171-0-3-351	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Farm credit system insurance fund .....	3	4	4
0801 Reimbursable program activity .....	222	.....	.....
0900 Total new obligations .....	225	4	4
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3,221	3,101	3,305
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	109	208	182
1801 Change in uncollected payments, Federal sources .....	-4	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	105	208	182
1930 Total budgetary resources available .....	3,326	3,309	3,487
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3,101	3,305	3,483
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	225	4	4
3020 Outlays (gross) .....	-225	-4	-4
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired .....	4	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-16	-16	-16
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-20	-16	-16
3200 Obligated balance, end of year .....	-16	-16	-16
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	105	208	182
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	105	4	4
4101 Outlays from mandatory balances .....	120	.....	.....
4110 Outlays, gross (total) .....	225	4	4
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4121 Interest on Federal securities .....	-12	-51	-53
4123 Non-Federal sources .....	-97	-157	-129
4130 Offsets against gross budget authority and outlays (total) ....	-109	-208	-182
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	4	.....	.....
4170 Outlays, net (mandatory) .....	116	-204	-178
4190 Outlays, net (total) .....	116	-204	-178
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	3,212	3,095	3,341
5001 Total investments, EOY: Federal securities: Par value .....	3,095	3,341	3,540

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on insured System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same individuals as the Farm Credit Administration Board. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment

portfolio. Insurance premiums are assessed on System banks based on the level of adjusted insured obligations outstanding at each bank. Congress established a secure base amount of 2 percent of adjusted outstanding insured System obligations, or such other amount determined by the Corporation's Board of Directors to be actuarially sound to maintain in the Insurance Fund. Due to slightly higher than anticipated growth in insured obligations during the first three quarters of 2012, the Insurance Fund was \$73 million below the 2 percent secure base amount as of September 30, 2012 at 1.96 percent. For 2012, the Corporation is assessing insurance premiums at 5 basis points on adjusted insured debt obligations and 10 basis points on non-accrual loans and other-than-temporarily impaired investments. Changes to the Corporation's premium authorities were included in the Food, Conservation, and Energy Act of 2008. The authorities changed the assessment base from loans to adjusted insured obligations and raised the assessment limit to 20 basis points, plus an additional 10 basis points on non-accrual loans and other-than-temporarily impaired investments. In January 2013, the Corporation's Board will determine insurance premium rates for 2013.

The Insurance Fund is available for payment of insured System obligations if a System bank defaults on its primary liability. The Insurance Fund is also available to ensure the retirement of certain eligible borrower stock, and to pay the operating costs of the Corporation. The Corporation can exercise its authority to make loans, borrow, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Corporation has the authority to make refunds of excess Insurance Fund balances. No refunds are anticipated in 2013.

**Balance Sheet** (in millions of dollars)

Identification code 78-4171-0-3-351	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Investments in US securities:		
1102 Treasury securities, par .....	3,262	3,187
Non-Federal assets:		
1206 Accrued interest receivable .....	20	16
1206 Premium receivable .....	74	65
1999 Total assets .....	3,356	3,268
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	3,356	3,268
4999 Total liabilities and net position .....	3,356	3,268

**Object Classification** (in millions of dollars)

Identification code 78-4171-0-3-351	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.3 Other goods and services from Federal sources .....	1	2	2
43.0 Interest and dividends .....	222		
99.9 Total new obligations .....	225	4	4

**Employment Summary**

Identification code 78-4171-0-3-351	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	11	11	11

**FEDERAL COMMUNICATIONS COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as author-

ized by 5 U.S.C. 5901-5902; not to exceed \$4,000 for official reception and representation expenses; purchase and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, \$359,299,000, to remain available until expended: Provided, That \$359,299,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, shall be retained and used for necessary expenses and shall remain available until expended: Provided further, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation estimated at \$0: Provided further, That any offsetting collections received in excess of \$359,299,000 in fiscal year 2014 shall not be available for obligation: Provided further, That remaining offsetting collections from prior years collected in excess of the amount specified for collection in each such year and otherwise becoming available on October 1, 2013, shall not be available for obligation: Provided further, That, notwithstanding 47 U.S.C. 309(j)(8)(B), proceeds from the use of a competitive bidding system that may be retained and made available for obligation shall not exceed \$89,400,000 for fiscal year 2014, including not to exceed \$993,758 for obligation by the Office of the Inspector General: Provided further, That, of the amount appropriated under this heading, not less than \$11,124,612 shall be for the salaries and expenses of the Office of Inspector General.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 27-0100-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	444	448	454
0809 Reimbursable program activities, subtotal .....	444	448	454
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	21	11	10
1012 Unobligated balance transfers between expired and unexpired accounts .....	4		
1050 Unobligated balance (total) .....	25	11	10
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Offsetting collections (Reimbursables) .....	5	6	6
1700 Offsetting collections (Auctions) .....	85	99	89
1700 Offsetting collections (Reg Fees) .....	340	342	359
1701 Change in uncollected payments, Federal sources .....	5		
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-4		
1750 Spending auth from offsetting collections, disc (total) .....	431	447	454
1930 Total budgetary resources available .....	456	458	464
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	11	10	10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	79	90	150
3010 Obligations incurred, unexpired accounts .....	444	448	454
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-428	-388	-517
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	90	150	87
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	79	85	145
3200 Obligated balance, end of year .....	85	145	82
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	431	447	454
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	363	384	391

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 27-0100-0-1-376	2012 actual	2013 CR	2014 est.
4011 Outlays from discretionary balances .....	65	4	126
4020 Outlays, gross (total) .....	428	388	517
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-6	-6
4033 Non-Federal sources .....	-85	-99	-89
4034 Offsetting governmental collections .....	-340	-342	-359
4040 Offsets against gross budget authority and outlays (total) ....	-431	-447	-454
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-4		
4070 Budget authority, net (discretionary) .....	-4		
4080 Outlays, net (discretionary) .....	-3	-59	63
4180 Budget authority, net (total) .....	-4		
4190 Outlays, net (total) .....	-3	-59	63
<b>Memorandum (non-add) entries:</b>			
5090 Unavailable balance, SOY: Offsetting collections .....	66	70	70
5091 Unavailable balance, EOY: Offsetting collections .....	70	70	70

The Federal Communications Commission (FCC) works to ensure that rapid and efficient communications are available across the country at a reasonable cost. In support of this mission, the FCC's strategic goals include ensuring a competitive framework across communications services; promoting availability of broadband services in the marketplace through conducive regulatory policy; enhancing efficient and effective use of the non-Federal radio spectrum; promoting competition and diversity in media; supporting public safety and homeland security communications; and modernizing the agency to promote administrative efficiency and effectiveness. The 2014 Budget includes funding to improve space utilization, support FCC information technology upgrades, and enhance the Inspector General's oversight capabilities. Funding for the Inspector General will not be less than \$12.1 million

Object Classification (in millions of dollars)

Identification code 27-0100-0-1-376	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	444	448	454

Employment Summary

Identification code 27-0100-0-1-376	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,721	1,776	1,821

UNIVERSAL SERVICE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 27-5183-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Universal Service Fund .....	10,014	10,118	9,846
0240 Earnings on Federal Investments, Universal Service Fund .....	28	9	11
0299 Total receipts and collections .....	10,042	10,127	9,857
0400 Total: Balances and collections .....	10,042	10,127	9,857
Appropriations:			
0500 Universal Service Fund .....	-10,017	-10,118	-9,846
0501 Universal Service Fund .....	-25	-9	-11
0599 Total appropriations .....	-10,042	-10,127	-9,857

0799 Balance, end of year .....

Program and Financing (in millions of dollars)

Identification code 27-5183-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Universal service fund .....	10,512	11,428	11,023
0002 Program support .....	108	125	128
0900 Total new obligations (object class 41.0) .....	10,620	11,553	11,151
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,684	3,178	2,514
1021 Recoveries of prior year unpaid obligations .....	1,058	762	850
1050 Unobligated balance (total) .....	3,742	3,940	3,364
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special fund)—Receipts .....	10,017	10,118	9,846
1201 Appropriation (special fund)—Interest .....	25	9	11
1260 Appropriations, mandatory (total) .....	10,042	10,127	9,857
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	14		
1850 Spending auth from offsetting collections, mand (total) .....	14		
1900 Budget authority (total) .....	10,056	10,127	9,857
1930 Total budgetary resources available .....	13,798	14,067	13,221
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,178	2,514	2,070
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,335	3,492	3,995
3010 Obligations incurred, unexpired accounts .....	10,620	11,553	11,151
3020 Outlays (gross) .....	-9,405	-10,288	-10,228
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,058	-762	-850
3050 Unpaid obligations, end of year .....	3,492	3,995	4,068
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,335	3,492	3,995
3200 Obligated balance, end of year .....	3,492	3,995	4,068
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10,056	10,127	9,857
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,122	6,226	6,095
4101 Outlays from mandatory balances .....	3,283	4,062	4,133
4110 Outlays, gross (total) .....	9,405	10,288	10,228
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-14		
4180 Budget authority, net (total) .....	10,042	10,127	9,857
4190 Outlays, net (total) .....	9,391	10,288	10,228
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	5,815	6,541	6,719
5001 Total investments, EOY: Federal securities: Par value .....	6,541	6,719	6,430

Under the Telecommunications Act of 1996, telecommunications carriers that provide interstate and international telecommunications services are required to contribute funds for the preservation and advancement of universal service. The contributions provided, in turn, by each carrier's subscribers, are used to provide services eligible for universal service support as determined by the FCC. Eligible telecommunications carriers receive support from the universal service funds if they (1) provide service to high-cost areas, (2) provide eligible services at a discount to schools, libraries or rural health care providers, or (3) provide subsidized service or subsidized telephone installation to low-income consumers. Interest income on these funds is utilized to reduce carrier contributions. Contributions also fund the administrative costs of the program. In 2011, the FCC issued an order reforming the high-cost portion of the Universal Service Fund to modernize support in a fiscally responsible manner and promote broadband availability and adoption. The FCC is continuing to

explore ways to reform all of the Universal Service Fund's programs to promote financial accountability and modern communication capabilities.

on a present value basis and administrative expenses are estimated on a cash basis. The FCC no longer offers credit terms on purchases through spectrum auctions. Program activity relates to maintenance and close-out of existing loans.

SPECTRUM AUCTION PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 27-0300-0-1-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	3		
0706 Interest on reestimates of direct loan subsidy .....	15		
0709 Administrative expenses .....	1	4	2
0900 Total new obligations .....	19	4	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	18	4	2
1260 Appropriations, mandatory (total) .....	18	4	2
1930 Total budgetary resources available .....	22	7	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	19	4	2
3020 Outlays (gross) .....	-20	-4	-2
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	18	4	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....		4	2
4101 Outlays from mandatory balances .....	20		
4110 Outlays, gross (total) .....	20	4	2
4180 Budget authority, net (total) .....	18	4	2
4190 Outlays, net (total) .....	20	4	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 27-0300-0-1-376	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135001 Spectrum Auction .....	18		
135999 Total upward reestimate budget authority .....	18		
Direct loan downward reestimates:			
137001 Spectrum Auction .....		-1	
137999 Total downward reestimate budget authority .....		-1	
Administrative expense data:			
3510 Budget authority .....	1	1	1
3590 Outlays from new authority .....	1	1	1

This program provided direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses were purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated

Object Classification (in millions of dollars)

Identification code 27-0300-0-1-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	1
25.2 Other services from non-Federal sources .....		1	
25.3 Other goods and services from Federal sources .....		2	1
41.0 Grants, subsidies, and contributions .....	19		
99.9 Total new obligations .....	19	4	2

Employment Summary

Identification code 27-0300-0-1-376	2012 actual	2013 CR	2014 est.
1001 Spectrum Auction [27-4133] .....	4	5	5

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 27-4133-0-3-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2	7	7
0715 Refunds and Downward Subsidy .....	1		
0743 Interest on downward reestimates .....		1	
0900 Total new obligations .....	3	8	7
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	5	4
1023 Unobligated balances applied to repay debt .....	-33		
1050 Unobligated balance (total) .....	4	5	4
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	1	7	7
1440 Borrowing authority, mandatory (total) .....	1	7	7
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections .....	21		
1825 Spending authority from offsetting collections applied to repay debt .....	-18		
1850 Spending auth from offsetting collections, mand (total) .....	3		
1900 Financing authority (total) .....	4	7	7
1930 Total budgetary resources available .....	8	12	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	4	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	3	8	7
3020 Financing disbursements (gross) .....	-3	-7	-7
3050 Unpaid obligations, end of year .....		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1

Financing authority and disbursements, net:

Mandatory:			
4090 Financing authority, gross .....	4	7	7
Financing disbursements:			
4110 Financing disbursements, gross .....	3	7	7
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-18		
4122 Interest on uninvested funds .....	-3		
4130 Offsets against gross financing auth and disbursements (total) .....	-21		

**SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 27-4133-0-3-376	2012 actual	2013 CR	2014 est.
4160 Financing authority, net (mandatory) .....	-17	7	7
4170 Financing disbursements, net (mandatory) .....	-18	7	7
4180 Financing authority, net (total) .....	-17	7	7
4190 Financing disbursements, net (total) .....	-18	7	7

**Status of Direct Loans** (in millions of dollars)

Identification code 27-4133-0-3-376	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	132	112	88
1263 Write-offs for default: Direct loans .....	-20	-24	-24
1290 Outstanding, end of year .....	112	88	64

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 27-4133-0-3-376	2011 actual	2012 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	37	4
Investments in US securities:		
1106 Receivables, net .....	18	
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	132	112
1402 Interest receivable .....	9	8
1405 Allowance for subsidy cost (-) .....	-141	-119
1499 Net present value of assets related to direct loans .....		1
1999 Total assets .....	55	5
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2103 Resources payable to Treasury .....	50	
2105 Other .....	5	5
2999 Total liabilities .....	55	5
4999 Total liabilities and net position .....	55	5

**BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 27-0200-0-1-503	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-4		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	4		
4190 Outlays, net (total) .....	4		

As directed in the American Recovery and Reinvestment Act of 2009 (P.L. 111-5), the FCC developed a national broadband plan and supported the National Telecommunications and Information

Administration's (NTIA) implementation of the Broadband Technology Opportunities Program. The Secretary of Commerce, in consultation with the FCC and following Congressional notification, transferred \$20 million to the FCC for carrying out these responsibilities.

**TV BROADCASTER RELOCATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 27-5610-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 TV Broadcaster Relocation .....			500
0900 Total new obligations (object class 41.0) .....			500
<b>Budgetary Resources:</b>			
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....			500
1440 Borrowing authority, mandatory (total) .....			500
1900 Budget authority (total) .....			500
1930 Total budgetary resources available .....			500
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			500
3020 Outlays (gross) .....			-25
3050 Unpaid obligations, end of year .....			475
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			475
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			500
Outlays, gross:			
4100 Outlays from new mandatory authority .....			25
4180 Budget authority, net (total) .....			500
4190 Outlays, net (total) .....			25

**SPECTRUM LICENSE USER FEE**

To promote efficient use of the electromagnetic spectrum, the Administration proposes to provide the FCC with new authority to use other economic mechanisms, such as fees, as a spectrum management tool. The FCC would be authorized to set user fees on unauctioned spectrum licenses based on spectrum-management principles. Fees would be phased in over time as part of an ongoing rulemaking process to determine the appropriate application and level for fees. Fee collections are estimated to begin in 2013 and total \$4.8 billion through 2023.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
27-089600 Spectrum License User Fees .....		50	200
27-242900 Fees for Services .....	25	23	23
27-247400 Auction Receipts .....			25
27-273630 Spectrum Auction Direct Loan, Downward Reestimates of Subsidies .....	1	1	
27-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	1	3	3
General Fund Offsetting receipts from the public .....	27	77	251

**FEDERAL DEPOSIT INSURANCE CORPORATION**

The Federal Deposit Insurance Corporation (FDIC) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101-73) established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). Under the Deposit Insurance Reform Act of 2005, the BIF and SAIF were merged into a new Deposit Insurance Fund (DIF) in 2006. The Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203), enacted July 21, 2010, permanently increased the deposit insurance coverage level to \$250,000 per account.

The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires FDIC to use the least costly method to resolve failed banks, and mandates that the FDIC take prompt corrective action against under-capitalized financial institutions. In order to accomplish its varied functions to protect depositors, FDIC is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer.

DEPOSIT INSURANCE  
**Federal Funds**

DEPOSIT INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 51-4596-0-4-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Insurance .....	224	191	198
0003 Supervision .....	885	683	706
0004 Receivership Management .....	248	758	783
0005 General and Administrative .....	221	160	165
0091 Total operating expenses .....	1,578	1,792	1,852
0101 Working Capital Outlays .....	8,676	10,863	16,754
0102 Net Case Resolution - Losses .....		12,826	10,094
0103 Receivership Funding .....		1,150	1,198
0191 Total Capital Investment .....	8,676	24,839	28,046
0900 Total new obligations .....	10,254	26,631	29,898
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	35,272	37,455	24,469
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1710 Spending authority from offsetting collections transferred to other accounts [51-4595] .....		-45	-35
1750 Spending auth from offsetting collections, disc (total) .....		-45	-35
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	11,851	13,690	36,570
1801 Change in uncollected payments, Federal sources .....	615		
1810 Spending authority from offsetting collections transferred to other accounts [51-4595] .....	-29		
1850 Spending auth from offsetting collections, mand (total) .....	12,437	13,690	36,570
1900 Budget authority (total) .....	12,437	13,645	36,535
1930 Total budgetary resources available .....	47,709	51,100	61,004
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	37,455	24,469	31,106
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	147	151	53
3010 Obligations incurred, unexpired accounts .....	10,254	26,631	29,898
3020 Outlays (gross) .....	-10,250	-26,729	-29,862
3050 Unpaid obligations, end of year .....	151	53	89

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-498	-1,113	-1,113
3070 Change in uncollected pymts, Fed sources, unexpired .....	-615		
3090 Uncollected pymts, Fed sources, end of year .....	-1,113	-1,113	-1,113
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-351	-962	-1,060
3200 Obligated balance, end of year .....	-962	-1,060	-1,024
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-45	-35
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-45	-35
Mandatory:			
4090 Budget authority, gross .....	12,437	13,690	36,570
Outlays, gross:			
4101 Outlays from mandatory balances .....	10,250	26,774	29,897
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-6,616	-1,113	
4121 Interest on Federal securities .....	252	-693	-576
4123 Non-Federal sources .....	-5,487	-11,884	-35,994
4130 Offsets against gross budget authority and outlays (total) ....	-11,851	-13,690	-36,570
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-615		
4160 Budget authority, net (mandatory) .....	-29		
4170 Outlays, net (mandatory) .....	-1,601	13,084	-6,673
4180 Budget authority, net (total) .....	-29	-45	-35
4190 Outlays, net (total) .....	-1,601	13,039	-6,708
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	34,926	36,498	23,522
5001 Total investments, EOY: Federal securities: Par value .....	36,498	23,522	30,160

The primary purpose of the Deposit Insurance Fund (DIF) is to insure deposits and protect the depositors of failed banking institutions. Under the Deposit Insurance Reform Act of 2005, the FDIC's Bank Insurance Fund (BIF) and its Savings Association Insurance Fund (SAIF) were merged into the new Deposit Insurance Fund on March 31, 2006. Through the DIF, the FDIC will resolve and recover funds disbursed from the assets of failed institutions. The FDIC is authorized to charge risk-based premiums on member institutions to restore and maintain adequate fund reserves, which must be a designated percentage of estimated insured deposits as set by the FDIC before the beginning of each year. The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), enacted July 21, 2010, increased the minimum DIF reserve ratio (ratio of the DIF to total insured deposits) to 1.35 percent, up from 1.15 percent. In addition to raising the minimum reserve ratio, the Act also: 1) eliminated the FDIC's requirement to rebate premiums when the reserve ratio is between 1.35 and 1.5 percent; 2) gave the FDIC discretion to suspend or limit rebates when the DIF reserve ratio is at least 1.5 percent, effectively removing the 1.5 percent cap on the DIF; 3) required the FDIC to offset the effect of small insured depository institutions (defined as banks with assets less than \$10 billion) when setting assessments to raise the reserve ratio from 1.15 to 1.35 percent, and 4) permanently increased the insured deposit level to \$250,000 per account at banks insured by the FDIC. The FDIC Board has issued a final rule setting a long-term (greater than 10 years) DIF reserve ratio target of 2 percent, with the goal of maintaining a positive fund balance during any future economic crises and maintaining a moderate, steady, long-term assessment rate that provides transparency and predictability to the banking sector.

The number of insured institutions on the FDIC's "problem list" (institutions with the highest risk ratings) is on the decline. As of September 30, 2012, there were 694 institutions on the "problem list," which is approximately an 18 percent decrease from the number of problem institutions listed on September 30, 2011. In addition, the number of assets held by problem institutions

## DEPOSIT INSURANCE FUND—Continued

decreased by 23 percent year-over-year. As of September 30, 2012, the DIF fund balance stood at \$25.2 billion, on an accrual basis measuring expected losses to current balances. This level is equivalent to a reserve ratio of 0.35 percent, or \$72.6 billion below the level that would meet the target reserve ratio. The growth in the DIF fund balance is a result of fewer bank failures and higher assessment revenue.

Pursuant to the Act, the restoration period for the DIF reserve ratio to reach 1.35 percent was extended to 2020. (Prior to the Act, the DIF reserve ratio was required to reach the minimum target of 1.15 percent by 2017.) The Budget projects that net outflows in 2013 will reduce the DIF reserve ratio to 0.22 at the year end. From 2014, however, the DIF reserve ratio is expected to continue to increase, reaching the statutorily required 1.35 percent level by 2020.

For more information, please see the Credit and Insurance chapter in the Analytical Perspectives volume of the Budget.

## Object Classification (in millions of dollars)

Identification code 51-4596-0-4-373	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	762	865	894
12.1 Civilian personnel benefits .....	268	305	315
21.0 Travel and transportation of persons .....	72	82	84
23.2 Rental payments to others .....	38	44	45
23.3 Communications, utilities, and miscellaneous charges .....	47	53	55
24.0 Printing and reproduction .....	3	4	4
25.2 Other services from non-Federal sources .....	298	337	350
26.0 Supplies and materials .....	7	8	8
31.0 Equipment .....	78	89	92
32.0 Land and structures .....	5	5	5
42.0 Resolution Outlays .....	8,676	24,839	28,046
99.9 Total new obligations .....	10,254	26,631	29,898

## Employment Summary

Identification code 51-4596-0-4-373	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	8,000	7,850	7,454

## NON-INTEREST BEARING TRANSACTION ACCOUNT GUARANTEE

On October 14, 2008, using its existing authority, the FDIC created the Temporary Liquidity Guarantee Program (TLGP), aimed at freeing up funding for banks. Under the Non-Interest Bearing Transaction Account Guarantee (TAG), a component of the TLGP, the FDIC extended an unlimited guarantee to participating insured depository institutions for non-interest bearing transaction account deposits, which included low-interest negotiable order of withdrawal (NOW) accounts and Interest on Lawyers Trust Accounts (IOLTA). The FDIC Board adopted a final rule in October 2010, stating that the TAG will not be extended beyond its December 31, 2010 expiration date.

The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) authorized the FDIC to provide two years of unlimited insurance coverage, through the Deposit Insurance Fund, for non-interest bearing transaction account deposits starting on December 31, 2010, (excluding NOW accounts and IOLTAs). However, the Permanent Federal Deposit Insurance Coverage for Interest on Lawyers Trust Accounts Act (P.L. 111-343) passed on December 29, 2010, extended the two years of unlimited coverage to IOTLAs. The NOW accounts are still excluded. This temporary unlimited coverage is in addition to, and separate from, the coverage of at least \$250,000 available to depositors under FDIC general deposit insurance. The coverage

extended through the Act is provided to all insured institutions and there are no separate fees associated with this coverage.

The Budget reflects TAG account transactions for the first quarter of 2011, after which losses on non-interest bearing transaction accounts are reflected in the FDIC's Deposit Insurance Fund.

## SENIOR UNSECURED DEBT GUARANTEE

## Program and Financing (in millions of dollars)

Identification code 51-4457-0-3-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 TLGP Payments (TAG) .....	6,724	1,113	.....
0900 Total new obligations (object class 42.0) .....	6,724	1,113	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7,301	1,103	.....
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	526	10	.....
1850 Spending auth from offsetting collections, mand (total) .....	526	10	.....
1930 Total budgetary resources available .....	7,827	1,113	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,103	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	6,724	1,113	.....
3020 Outlays (gross) .....	-6,724	-1,113	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	526	10	.....
Outlays, gross:			
4101 Outlays from mandatory balances .....	6,724	1,113	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-84	-10	.....
4123 Non-Federal sources .....	-442	.....	.....
4130 Offsets against gross budget authority and outlays (total) .....	-526	-10	.....
4170 Outlays, net (mandatory) .....	6,198	1,103	.....
4190 Outlays, net (total) .....	6,198	1,103	.....
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	7,301	1,104	.....
5001 Total investments, EOY: Federal securities: Par value .....	1,104	.....	.....

On October 14, 2008, using its existing authority, the FDIC created the Temporary Liquidity Guarantee Program (TLGP), aimed at freeing up funding for banks. Under the Debt Guarantee Program, a component of the TLGP, the FDIC guaranteed qualifying bank and bank holding company debt. If a bank defaulted on its debt, the FDIC made required principal and interest payments to unsecured senior debt holders. The FDIC charged additional premiums for any banks that voluntarily opted into this program. The program was designed to promote liquidity by allowing banks to roll over existing debt. Originally, the guarantee was limited to unsecured debt issued between October 14, 2008 and June 30, 2009, and the FDIC guarantee extended through June 30, 2012. On March 17, 2009, the FDIC extended coverage to debt issued through October 31, 2009, and extended the guarantee through December 31, 2012. The FDIC also levied a surcharge on debt issued between April 1, 2009 and October 31, 2009, which was transferred to the Deposit Insurance Fund. To reduce market disruption and ensure orderly phase-out at the conclusion of the Debt Guarantee Program, on June 3, 2009 the FDIC issued a final rule that extended the period during which participating entities could issue FDIC-guaranteed debt through

October 31, 2009. The rule also established a limited, six-month emergency guarantee facility upon expiration of the program; however, this facility was never utilized. As of September 30, 2012, there was \$39.4 billion of debt outstanding in the senior unsecured debt guarantee program. By December 31, 2012, all the remaining senior unsecured debt matured.

**Object Classification** (in millions of dollars)

Identification code 51-4457-0-3-373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
42.0 Debt Guarantee Payments .....	6,724	1,113	.....
99.0 Reimbursable obligations .....	6,724	1,113	.....

**FSLIC RESOLUTION  
Federal Funds**

**FSLIC RESOLUTION FUND**

**Program and Financing** (in millions of dollars)

Identification code 51-4065-0-3-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Goodwill settlements .....	50	537	.....
0803 Receivership management .....	3	3	3
0804 General administrative .....	2	3	2
0809 Reimbursable program activities, subtotal .....	55	543	5
0900 Total new obligations .....	55	543	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,519	3,588	3,625
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	50	537	.....
1260 Appropriations, mandatory (total) .....	50	537	.....
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections .....	76	43	4
1801 Change in uncollected payments, Federal sources .....	-2	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	74	43	4
1900 Budget authority (total) .....	124	580	4
1930 Total budgetary resources available .....	3,643	4,168	3,629
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,588	3,625	3,624
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	1
3010 Obligations incurred, unexpired accounts .....	55	543	5
3020 Outlays (gross) .....	-56	-544	-5
3050 Unpaid obligations, end of year .....	2	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	1
3200 Obligated balance, end of year .....	2	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	124	580	4
Outlays, gross:			
4101 Outlays from mandatory balances .....	56	544	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-2	-2	-2
4123 Non-Federal sources .....	-74	-41	-2
4130 Offsets against gross budget authority and outlays (total) .....	-76	-43	-4
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
4160 Budget authority, net (mandatory) .....	50	537	.....
4170 Outlays, net (mandatory) .....	-20	501	1
4180 Budget authority, net (total) .....	50	537	.....

4190 Outlays, net (total) .....	-20	501	1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	3,374	3,424	3,467
5001 Total investments, EOY: Federal securities: Par value .....	3,424	3,467	3,471

The FSLIC Resolution Fund (FRF) is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the Resolution Trust Corporation (RTC) assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and, a portion of insurance premiums paid by Savings Association Insurance Fund (SAIF) members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act (P.L. 101-73) authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all of its assets, and any net proceeds will be deposited into the General Fund of the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation. Based on information provided by the FDIC, the Budget projects this dissolution to occur in 2016.

**Object Classification** (in millions of dollars)

Identification code 51-4065-0-3-373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.2 Other services from non-Federal sources .....	2	3	3
42.0 Insurance claims and indemnities .....	50	537	.....
99.9 Total new obligations .....	55	543	5

**Employment Summary**

Identification code 51-4065-0-3-373	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**ORDERLY LIQUIDATION**

**Federal Funds**

**ORDERLY LIQUIDATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 51-5586-0-2-373	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
0200 Risk-Based Assessments, Orderly Liquidation Fund .....	.....	6	158
0400 Total: Balances and collections .....	.....	6	158
Appropriations:			
0500 Orderly Liquidation Fund .....	.....	-6	-158
0799 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 51-5586-0-2-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Orderly Liquidation .....	.....	645	1,549
0002 Administrative Expenses .....	.....	1	2
0003 Interest to Treasury .....	.....	3	17
0900 Total new obligations .....	.....	649	1,568

ORDERLY LIQUIDATION FUND—Continued  
Program and Financing—Continued

Identification code 51-5586-0-2-373	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201		6	158
1260		6	158
1400		643	1,410
1440		643	1,410
1900		649	1,568
1930		649	1,568
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010		649	1,568
3020		-649	-1,568
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090		649	1,568
4100		649	1,568
4180		649	1,568
4190		649	1,568

Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) established a new Orderly Liquidation Authority and appointed the FDIC as receiver of financial institutions whose failure is determined to pose a systemic risk to the financial stability of the United States. The aim of the Orderly Liquidation Authority is to efficiently and effectively resolve the failure of a large, interconnected financial institution, while limiting the disruptions to the financial markets and the economy.

The Orderly Liquidation receivership mechanism applies to financial institutions that are "systemically significant." Bank holding companies with more than \$50 billion in assets are automatically deemed to be systemically significant, and nonbank institutions that meet certain criteria can be determined to be systemically significant by the Financial Stability Oversight Council (FSOC). The Federal Reserve and the prudential regulator (FDIC or the Securities Exchange Commission) or the Federal Insurance Office recommend in writing, upon a 2/3 vote of each party, that the Treasury Secretary appoint FDIC as receiver for a failing, systemically significant financial company. The Treasury Secretary must then, in consultation with the President, determine whether circumstances warrant liquidating the failing institution. If the Secretary of the Treasury determines that unwinding the firm under the Orderly Liquidation Authority is necessary and appropriate, he/she may appoint the FDIC as receiver. The FDIC's authorities as receiver under an Orderly Liquidation receivership are largely comparable to its current receivership authority over failed depository institutions.

The Act states that "no taxpayer funds shall be used to prevent the liquidation of any financial company" and, therefore, establishes an ex-post Orderly Liquidation Fund to recoup all expenses associated with the exercise of the Orderly Liquidation Authority. This procedure ensures that there is no net expenditure of taxpayer funds resulting from the use of the fund in liquidation of a covered institution. The FDIC is authorized to charge "eligible financial institutions" (bank and non-bank financial institutions with consolidated assets greater than \$50 billion) risk-based assessments over a 5-year period to recoup all Orderly Liquidation related expenses. While the Budget does not forecast any specific systemic failure, estimates are derived from a probabilistic

model that incorporates historic systemic failure information in OECD countries. For more details, please see the Financial Stabilization and Their Budgetary Effects chapter in the Analytical Perspectives volume.

Object Classification (in millions of dollars)

Identification code 51-5586-0-2-373	2012 actual	2013 CR	2014 est.
Direct obligations:			
43.0		1	2
43.0		3	17
43.0		645	1,549
99.9		649	1,568

FDIC—OFFICE OF INSPECTOR GENERAL  
Federal Funds

OFFICE OF THE INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$34,568,000, to be derived from the Deposit Insurance Fund or, only when appropriate, the FSLIC Resolution Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 51-4595-0-4-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801		45	35
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711		45	35
1750		45	35
1930		45	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010		45	35
3020		-45	-35
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000		45	35
4010		45	35
4180		45	35
4190		45	35

FDIC's Office of Inspector General (OIG) is an independent unit within FDIC that conducts audits, evaluations, and investigations of corporate activities. In addition, the OIG assists the FDIC in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board of Directors pursuant to the Inspector General Act amendments of 1988 (P.L. 100-504). The Resolution Trust Corporation Completion Act (P.L. 103-204), enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added FDIC to the list of establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code. The OIG's appropriations are derived from the Deposit Insurance Fund; however, to the extent that the OIG performs work in connection with the FSLIC Resolution Fund (FRF), the cost of such work shall be derived from the FRF.

**Object Classification** (in millions of dollars)

Identification code 51-4595-0-4-373	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	18	27	21
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	19	27	21
12.1 Civilian personnel benefits .....	7	10	8
21.0 Travel and transportation of persons .....	1	4	3
25.2 Other services from non-Federal sources .....	2	3	2
31.0 Equipment .....		1	1
99.9 Total new obligations .....	29	45	35

**Employment Summary**

Identification code 51-4595-0-4-373	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	128	130	130

**FEDERAL DRUG CONTROL PROGRAMS**

**Federal Funds**

**HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM**

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, \$193,400,000, to remain available until September 30, 2015, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: Provided, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Director of the Office of National Drug Control Policy, of which up to \$2,700,000 may be used for auditing services and associated activities: Provided further, That upon a determination that all or part of the funds so transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That, notwithstanding the requirements of Public Law 106-58, any unexpended funds obligated prior to fiscal year 2012 may be used for any other approved activities of that HIDTA, subject to reprogramming requirements.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 11-1070-0-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0002 Grants and federal transfers .....	229	237	190
0003 Auditing services and activities .....	3	3	3
0900 Total new obligations .....	232	240	193
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	13	5	5
1010 Unobligated balance transfer to other accts [15-1100] .....	-1		
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	18	5	5
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 New budget authority (gross), detail .....	239	240	193
1120 Appropriations transferred to other accts [70-0540] .....	-1		
1120 Appropriations transferred to other accts [15-1100] .....	-15		
1120 Appropriations transferred to other accts [15-0200] .....	-2		
1120 Appropriations transferred to other accts [15-0322] .....	-1		
1120 Appropriations transferred to other accts [15-0324] .....	-1		
1160 Appropriation, discretionary (total) .....	219	240	193

1930 Total budgetary resources available .....	237	245	198
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5	5	5

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	236	244	180
3010 Obligations incurred, unexpired accounts .....	232	240	193
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-217	-304	-192
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	244	180	181
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	236	244	180
3200 Obligated balance, end of year .....	244	180	181

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	219	240	193
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	35	60	48
4011 Outlays from discretionary balances .....	182	244	144
4020 Outlays, gross (total) .....	217	304	192
4180 Budget authority, net (total) .....	219	240	193
4190 Outlays, net (total) .....	217	304	192

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, to provide assistance to Federal, state, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking.

The HIDTA program provides resources to Federal, state, local, and tribal agencies in each HIDTA region to carry out activities that address the specific drug threats of that region. A central feature of the HIDTA program is the discretion granted to HIDTA Executive Boards to design and carry out activities that reflect the specific drug trafficking threats found in each HIDTA region. This discretion ensures that each HIDTA Executive Board can tailor its strategy and initiatives closely to local conditions and can respond quickly to changes in those conditions. Among the types of activities funded by the HIDTA program are: drug enforcement task forces comprised of multiple Federal, state, local, and tribal agencies designed to dismantle and disrupt drug trafficking organizations (DTOs); multi-agency intelligence centers that provide drug intelligence to HIDTA initiatives and participating agencies; initiatives to establish or improve interoperability of communications and information systems between and among law enforcement agencies; and investments in technology infrastructure. Law enforcement agencies have substantial experience in implementing problem-oriented policing strategies and are well positioned to promote and participate in community-based drug prevention programs. To that end, ONDCP has funded prevention initiatives to increase coordination between law enforcement and prevention communities.

**Object Classification** (in millions of dollars)

Identification code 11-1070-0-1-754	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Auditing services and activities .....	3	3	3
41.0 Grants and federal transfers .....	229	237	190
99.9 Total new obligations .....	232	240	193

OTHER FEDERAL DRUG CONTROL PROGRAMS

(INCLUDING TRANSFERS OF FUNDS)

For other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 2006 (Public Law 109-469), \$95,376,000, to remain available until expended, which shall be available as follows: \$85,676,000 for the Drug-Free Communities Program, of which \$2,000,000 shall be made available as directed by section 4 of Public Law 107-82, as amended by Public Law 109-469 (21 U.S.C. 1521 note); \$7,800,000 for anti-doping activities; and \$1,900,000 for the United States membership dues to the World Anti-Doping Agency: Provided, That amounts made available under this heading may be transferred to other Federal departments and agencies to carry out such activities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11-1460-0-1-802	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 National Youth Anti-Drug Media Campaign .....	5		
0002 Drug-Free Communities Program .....	93	93	86
0006 Anti-Doping Activities .....	9	9	8
0007 Drug Court Training and Technical Assistance .....		1	
0008 Section 1105 of Public Law 109-469 .....		1	
0009 World Anti-Doping Agency Dues .....	2	2	2
0900 Total new obligations (object class 25.2) .....	109	106	96
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	12	6
1010 Unobligated balance transfer to other accts [15-0404] .....	-1		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	21	12	6
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail .....	106	106	96
1130 Appropriations permanently reduced .....		-6	
1131 Unobligated balance of appropriations permanently reduced .....	-6		
1160 Appropriation, discretionary (total) .....	100	100	96
1900 Budget authority (total) .....	100	100	96
1930 Total budgetary resources available .....	121	112	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	141	17	17
3010 Obligations incurred, unexpired accounts .....	109	106	96
3020 Outlays (gross) .....	-232	-106	-96
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	17	17	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	141	17	17
3200 Obligated balance, end of year .....	17	17	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	100	100	96
Outlays, gross:			
4010 Outlays from new discretionary authority .....	94	90	86
4011 Outlays from discretionary balances .....	138	16	10
4020 Outlays, gross (total) .....	232	106	96
4180 Budget authority, net (total) .....	100	100	96
4190 Outlays, net (total) .....	232	106	96

The Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, established this account to be administered by the Director of the Office of National Drug Control Policy (ONDCP). The funds appropriated to the program support high-priority drug control programs and may be transferred to drug control agencies.

For 2014, funds appropriated to this account, will be used for the following activities:

**Drug Free Communities Support Program.**—The Drug Free Communities Support (DFC) Program provides small grants (no more than \$125,000 per year for an initial 5-year period) to established local community drug free coalitions. The grants are awarded competitively to community coalitions that organize multiple sectors of a community to focus on local needs as a means for reducing and/or preventing youth substance use.

**Anti-Doping Efforts.**—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic and associated sports in the United States.

**World Anti-Doping Agency Dues.**—ONDCP represents the United States in the World Anti-Doping Agency which promotes and coordinates international activities against doping in sport, in all its forms, and is responsible for the payment of U.S. dues.

Employment Summary

Identification code 11-1460-0-1-802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER

Program and Financing (in millions of dollars)

Identification code 11-1461-0-1-754	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5		
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-5		
1160 Appropriation, discretionary (total) .....	-5		
1900 Budget authority (total) .....	-5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	7	4
3020 Outlays (gross) .....	-2	-3	-2
3050 Unpaid obligations, end of year .....	7	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	7	4
3200 Obligated balance, end of year .....	7	4	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-5		
Outlays, gross:			
4011 Outlays from discretionary balances .....	2	3	2
4180 Budget authority, net (total) .....	-5		
4190 Outlays, net (total) .....	2	3	2

FEDERAL ELECTION COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, \$65,791,000, of which not to exceed \$5,000 shall be available for reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-1600-0-1-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Federal Election Commission .....	66	67	66
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	66	67	66
1160 Appropriation, discretionary (total) .....	66	67	66
1930 Total budgetary resources available .....	66	67	66
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	10	5
3010 Obligations incurred, unexpired accounts .....	66	67	66
3020 Outlays (gross) .....	-67	-72	-66
3050 Unpaid obligations, end of year .....	10	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	10	5
3200 Obligated balance, end of year .....	10	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	66	67	66
Outlays, gross:			
4010 Outlays from new discretionary authority .....	58	61	60
4011 Outlays from discretionary balances .....	9	11	6
4020 Outlays, gross (total) .....	67	72	66
4180 Budget authority, net (total) .....	66	67	66
4190 Outlays, net (total) .....	67	72	66

The Federal Election Commission is responsible for facilitating transparency in the Federal election process through public disclosure of campaign finance activity, and for encouraging voluntary compliance with the Federal Election Campaign Act by providing information and policy guidance to the public, media, political committees and election officials on the Act and on Commission regulations. The Commission is also responsible for enforcing the Act through audits, investigations and civil litigation, and for developing the law by administering and interpreting the Act, as well as the Presidential Election Campaign Fund Act and the Presidential Primary Matching Payment Account Act.

The Budget proposes that Senate Campaign Committees be required to file campaign finance reports electronically with the Federal Election Commission, which is consistent with the reporting requirements for all other Federal political committees. This measure will save at least \$430,000 annually by reducing costs for manual data entry and will also promote transparency by expediting the process by which the reports are made available to the public.

The Commission is authorized to submit, concurrently, budget estimates to the President and the Congress. The Commission endorses the President's 2014 request.

**Object Classification** (in millions of dollars)

Identification code 95-1600-0-1-808	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	35	35	35
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	36	36	36
12.1 Civilian personnel benefits .....	10	11	11
23.1 Rental payments to GSA .....	6	6	6
25.2 Other services from non-Federal sources .....	11	11	10
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
99.9 Total new obligations .....	66	67	66

**Employment Summary**

Identification code 95-1600-0-1-808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	347	375	375

**FEDERAL FINANCIAL INSTITUTIONS  
EXAMINATION COUNCIL****Federal Funds**

## FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES

**Program and Financing** (in millions of dollars)

Identification code 95-5547-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 FFIEC activities .....	14	15	19
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	14	15	19
1850 Spending auth from offsetting collections, mand (total) .....	14	15	19
1930 Total budgetary resources available .....	14	15	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	14	15	19
3020 Outlays (gross) .....	-14	-15	-19
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	14	15	19
Outlays, gross:			
4100 Outlays from new mandatory authority .....	14	15	19
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-14	-15	-19

The Federal Financial Institutions Examination Council (FFIEC) was established on March 10, 1979, pursuant to Title X of the Financial Institutions Regulatory and Interest Rate Control Act of 1978 (FIRA) (P.L. 95-630). In 1989, Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA) established the Appraisal Subcommittee (ASC) within the Examination Council.

The Council is a formal interagency body empowered to prescribe uniform principles, standards, and report forms for the Federal examination of financial institutions by its members: the Board of Governors of the Federal Reserve System (FRB), the Federal Deposit Insurance Corporation (FDIC), the National Credit Union Administration (NCUA), and the Office of the Comptroller of the Currency (OCC), and to make recommendations to promote uniformity in the supervision of financial institutions.

The Council was given additional statutory responsibilities by section 340 of the Housing and Community Development Act of 1980 to facilitate public access to data that depository institutions must disclose under the Home Mortgage Disclosure Act of 1975 (HMDA) and the aggregation of annual HMDA data, by census tract, for each metropolitan statistical area (MSA). The Council has established, in accordance with the requirement of the statute, an advisory State Liaison Committee (SLC) composed of five representatives of State supervisory agencies. In 2006, the State Liaison Committee was added to the Council as a voting member. The SLC includes representatives from the Conference of State Bank Supervisors (CSBS), the American Council of State Savings

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL  
ACTIVITIES—Continued

Supervisors (ACSSS), and the National Association of State Credit Union Supervisors (NASCUS).

The Budget estimates the Council will spend approximately \$15 million during 2014 from resources provided by its members and other fees and reimbursements.

**Object Classification** (in millions of dollars)

Identification code 95-5547-0-2-376	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	14	15	19

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL  
SUBCOMMITTEE

**Federal Funds**

REGISTRY FEES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-5026-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Registry Fees, Appraisal Subcommittee, Federal Institution Examination Council .....	3	3	3
0400 Total: Balances and collections .....	3	3	3
Appropriations:			
0500 Registry Fees .....	-3	-3	-3
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 95-5026-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	3	2	2
0002 Grants, subsidies and contributions .....		1	1
0900 Total new obligations .....	3	3	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	3	3
1260 Appropriations, mandatory (total) .....	3	3	3
1930 Total budgetary resources available .....	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101-73) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council (ASC).

Subsequent legislation (P.L. 101-235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the ASC. On July 21, 2010, the President signed into law the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 which authorized the heads of Bureau of Consumer Financial Protection and the Federal Housing Finance Agency to designate a member of the ASC.

The ASC is charged with ensuring that real estate appraisals used in Federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers, and for registration and supervision of the operations and activities of an appraisal management company; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards for federally related transactions under their jurisdiction; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; (4) maintaining a national registry of licensed and certified appraisers, and of appraisal management companies; (5) transmit an annual report to Congress not later than June 15th; and (6) make grants to State Appraiser certifying and licensing agencies.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treasury at the end of 1998 in accordance with the Economic Growth and Regulatory Paperwork Reduction Act of 1996. The Subcommittee is now operating on fee income from State-licensed and -certified real estate appraisers in the national registry.

The Budget projects that the Subcommittee will spend approximately \$3 million in 2014.

**Object Classification** (in millions of dollars)

Identification code 95-5026-0-2-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations .....	3	3	3

**Employment Summary**

Identification code 95-5026-0-2-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	11	13	13

**FEDERAL HOUSING FINANCE AGENCY**

**Federal Funds**

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-5532-0-2-371	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 FHFA, Fees on GSEs for Administrative Expenses .....	224	225	237
0400 Total: Balances and collections .....	224	225	237
Appropriations:			
0500 Federal Housing Finance Agency, Administrative Expenses .....	-224	-225	-237
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 95-5532-0-2-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	218	235	199
0801 Reimbursable program activity .....	3	3	3
0900 Total new obligations .....	221	238	202
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	36	26
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	30	36	26
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [95-5564] .....			-48
1160 Appropriation, discretionary (total) .....			-48
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	224	225	237
1260 Appropriations, mandatory (total) .....	224	225	237
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	3	3
1850 Spending auth from offsetting collections, mand (total) .....	3	3	3
1900 Budget authority (total) .....	227	228	192
1930 Total budgetary resources available .....	257	264	218
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	36	26	16

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	43	53
3010 Obligations incurred, unexpired accounts .....	221	238	202
3020 Outlays (gross) .....	-228	-228	-199
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3050 Unpaid obligations, end of year .....	43	53	56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	61	43	53
3200 Obligated balance, end of year .....	43	53	56

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....			-48
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-41
Mandatory:			
4090 Budget authority, gross .....	227	228	240
Outlays, gross:			
4100 Outlays from new mandatory authority .....	177	181	190
4101 Outlays from mandatory balances .....	51	47	50
4110 Outlays, gross (total) .....	228	228	240
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-3	-3	-3
4180 Budget authority, net (total) .....	224	225	189
4190 Outlays, net (total) .....	225	225	196

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	78	77	78
5001 Total investments, EOY: Federal securities: Par value .....	77	78	78

The Federal Housing Finance Agency (FHFA) is the regulator of the housing Government-Sponsored Enterprises (GSEs) which include Fannie Mae, Freddie Mac, and the twelve Federal Home Loan Banks. FHFA was established by the Housing and Economic Recovery Act of 2008 (P.L. 110-289) which amended the Federal Housing Enterprise Safety and Soundness Act of 1992. FHFA's strategic goals are: 1) Safe and Sound Housing GSEs, 2) Stability, Liquidity and Access in Housing Finance, 3) Preserve and Conserve Enterprise Assets, and 4) Prepare for the Future of Housing Finance in the U.S. FHFA receives direct funding for its activities from mandatory assessments on the GSEs.

**Object Classification** (in millions of dollars)

Identification code 95-5532-0-2-371	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	81	91	92
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	4		
11.9 Total personnel compensation .....	86	91	92
12.1 Civilian personnel benefits .....	28	32	33
21.0 Travel and transportation of persons .....	3	4	4
23.2 Rental payments to others .....	9	21	21
23.3 Communications, utilities, and miscellaneous charges .....	2		
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	32	37	37
25.3 Other goods and services from Federal sources .....	4	3	3
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	3	1	1
31.0 Equipment .....	9	8	8
32.0 Land and structures .....	1		
94.0 Financial transfers .....	39	38	
99.0 Direct obligations .....	218	235	199
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	221	238	202

**Employment Summary**

Identification code 95-5532-0-2-371	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	551	598	610

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$48,000,000, to remain available until September 30, 2015, to be derived from assessments collected from the Federal National Mortgage Association, Federal Home Loan Mortgage Corporation, and the Federal Home Loan Banks under section 1106 of the Housing and Economic Recovery Act of 2008.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-5564-0-2-371	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General .....	38	48	48
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	10	
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other accts [95-5532] .....			48
1160 Appropriation, discretionary (total) .....			48
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	39	38	
1850 Spending auth from offsetting collections, mand (total) .....	39	38	
1900 Budget authority (total) .....	39	38	48
1930 Total budgetary resources available .....	48	48	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	10	10
3010 Obligations incurred, unexpired accounts .....	38	48	48
3020 Outlays (gross) .....	-34	-48	-49
3050 Unpaid obligations, end of year .....	10	10	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	10	10

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 95-5564-0-2-371	2012 actual	2013 CR	2014 est.
3200 Obligated balance, end of year .....	10	10	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			48
Outlays, gross:			
4010 Outlays from new discretionary authority .....			41
Mandatory:			
4090 Budget authority, gross .....	39	38	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	29	38	
4101 Outlays from mandatory balances .....	5	10	8
4110 Outlays, gross (total) .....	34	48	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-39	-38	
4180 Budget authority, net (total) .....			48
4190 Outlays, net (total) .....	-5	10	49

The Federal Housing Finance Agency Office of Inspector General (FHFA-OIG), established in the Housing and Economic Recovery Act of 2008, has duties and responsibilities that are intended to facilitate the efficient and effective conduct of FHFA in its capacity as the primary regulator of the housing Government-Sponsored Enterprises (GSEs) and conservator of Fannie Mae and Freddie Mac. The IG is currently funded through FHFA's direct assessments on the housing GSEs. In order to preserve the independence of the IG and provide congressional review of funding levels, the Budget requests an appropriation of \$48 million for the FHFA-OIG derived from FHFA's assessments.

Object Classification (in millions of dollars)

Identification code 95-5564-0-2-371	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	15	21	21
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	16	23	23
12.1 Civilian personnel benefits .....	6	7	7
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	5	1	1
25.1 Advisory and assistance services .....	1	5	4
25.2 Other services from non-Federal sources .....		1	2
25.3 Other goods and services from Federal sources .....	6	8	8
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	38	48	48

Employment Summary

Identification code 95-5564-0-2-371	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	115	150	150

FEDERAL LABOR RELATIONS AUTHORITY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, and including hire of experts and consultants, hire of passenger motor vehicles, and including official reception and representation expenses (not to exceed \$1,500) and rental of conference rooms in the District of Columbia and elsewhere, \$25,490,000: Provided, That

public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: Provided further, That, notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 54-0100-0-1-805	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Authority .....	14	14	14
0002 Office of the General Counsel .....	10	10	10
0003 Federal Service Impasses Panel .....	1	1	1
0900 Total new obligations .....	25	25	25
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	25	25	25
1160 Appropriation, discretionary (total) .....	25	25	25
1930 Total budgetary resources available .....	25	25	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 Obligations incurred, unexpired accounts .....	25	25	25
3020 Outlays (gross) .....	-25	-25	-25
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	25	25	25
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	23	23
4011 Outlays from discretionary balances .....	3	2	2
4020 Outlays, gross (total) .....	25	25	25
4180 Budget authority, net (total) .....	25	25	25
4190 Outlays, net (total) .....	25	25	25

The Federal Labor Relations Authority (FLRA) is an independent administrative Federal agency created by Title VII of the Civil Service Reform Act of 1978 (the Statute) with a mission to carry out five statutory responsibilities: (1) determining the appropriateness of units for Labor organization representation; (2) resolving complaints of unfair labor practices; (3) adjudicating exceptions to arbitrators' awards; (4) adjudicating legal issues relating to duty to bargain; and (5) resolving impasses during negotiations. All work throughout the agency is undertaken to support a single program—to administer and enforce the Statute by determining the respective rights of employees, agencies, and labor organizations in their relations with one another.

FLRA's authority is divided by law and by delegation among a three-member Authority and an Office of General Counsel, appointed by the President and subject to Senate confirmation; and the Federal Service Impasses Panel, which consists of seven part-time members appointed by the President.

FLRA does not initiate cases. Proceedings before FLRA originate from filings arising through the actions of Federal employees, Federal agencies, or Federal labor organizations. Nationwide,

FLRA includes seven Regional Offices, one satellite office, and a Headquarters site in Washington, D.C.

**Authority.**—The Authority adjudicates appeals filed by either Federal agencies or Federal labor organizations on negotiability issues, exceptions to arbitration awards, appeals of representation decisions, eligibility of labor organizations for national consultation rights, and unfair labor practice complaints.

**Office of the General Counsel.**—The General Counsel investigates allegations of unfair labor practices and processes representation petitions. In addition, the General Counsel conducts elections concerning the exclusive recognition of labor organizations and certifies the results of elections.

**Federal Service Impasses Panel.**—The Panel resolves labor negotiation impasses between Federal agencies and labor organizations.

**Object Classification** (in millions of dollars)

Identification code 54-0100-0-1-805	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	14	15	15
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	15	16	16
12.1 Civilian personnel benefits .....	4	4	4
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Direct obligations .....	24	25	25
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	25	25	25

**Employment Summary**

Identification code 54-0100-0-1-805	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	130	129	134

**FEDERAL MARITIME COMMISSION**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. 307), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefore, as authorized by 5 U.S.C. 5901-5902, \$25,000,000: Provided, That not to exceed \$2,000 shall be available for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 65-0100-0-1-403	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Formal proceedings .....	8	8	8
0002 Inspector General .....	1	1	1
0003 Operational and Administrative .....	15	16	16
0900 Total new obligations .....	24	25	25
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	24	25	25

1160 Appropriation, discretionary (total) .....	24	25	25
1930 Total budgetary resources available .....	24	25	25
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	4	4
3010 Obligations incurred, unexpired accounts .....	24	25	25
3020 Outlays (gross) .....	-23	-25	-26
3050 Unpaid obligations, end of year .....	4	4	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	4	4
3200 Obligated balance, end of year .....	4	4	3
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	24	25	25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	21	24	24
4011 Outlays from discretionary balances .....	2	1	2
4020 Outlays, gross (total) .....	23	25	26
4180 Budget authority, net (total) .....	24	25	25
4190 Outlays, net (total) .....	23	25	26

The Federal Maritime Commission (Commission) regulates oceanborne transportation in the foreign commerce of the United States. The Commission administers the Shipping Act of 1984 as amended by the Ocean Shipping Reform Act of 1998 (OSRA); section 19 of the Merchant Marine Act, 1920 (1920 Act); the Foreign Shipping Practices Act of 1988 (FSPA); and Sections 2 and 3 of Public Law 89-777.

The Commission monitors the activities of ocean common carriers, marine terminal operators (MTOs), ports and ocean transportation intermediaries (OTIs) who operate in the U.S. foreign commerce to ensure that they maintain just and reasonable practices, issues licenses to qualified OTIs operating in the U.S. and ensures that U.S. OTIs are bonded or maintain other evidence of financial responsibility; and ensures that passenger vessel operators demonstrate adequate financial responsibility to indemnify passengers in the event of nonperformance of voyages or passenger injury or death. The Commission also maintains trade monitoring and enforcement programs designed to assist regulated entities in achieving compliance and to detect and appropriately remedy malpractices and violations of the prohibited acts set forth in section 10 of the 1984 Act; offers a dispute resolution program to resolve disputes impeding the transportation of cargo; reviews competitive activities of common carrier alliances and other agreements among common carriers and/or terminal operators; monitors the laws and practices of foreign governments which could have a discriminatory or otherwise adverse impact on shipping conditions in U.S. trades, and imposes remedial action, as appropriate, pursuant to section 19 of the 1920 Act or FSPA; enforces special regulatory requirements applicable to carriers owned or controlled by foreign governments; processes and reviews agreements, service contracts and service arrangements pursuant to the 1984 Act for compliance with statutory requirements; and reviews common carriers' privately published tariff systems for accessibility, accuracy, and reasonable terms.

**Object Classification** (in millions of dollars)

Identification code 65-0100-0-1-403	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	14	15	15
12.1 Civilian personnel benefits .....	4	4	4
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	3	3	3
99.9 Total new obligations .....	24	25	25

SALARIES AND EXPENSES—Continued  
Employment Summary

Identification code 65-0100-0-1-403	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	123	132	132

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
65-32000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....		1	1
General Fund Offsetting receipts from the public .....		1	1

FEDERAL MEDIATION AND CONCILIATION SERVICE

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service ("Service") to carry out the functions vested in it by the Labor-Management Relations Act, 1947, including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978; and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, \$47,620,000, including \$400,000 to remain available through September 30, 2015, for activities authorized by the Labor-Management Cooperation Act of 1978: Provided, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: Provided further, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: Provided further, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 93-0100-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Dispute mediation and preventive mediation, public information, and grants .....	36	36	37
0002 Arbitration services .....	1	1	1
0003 Management and administrative support .....	9	9	9
0091 Total direct program .....	46	46	47
0101 Reimbursable program .....	2	2	2
0900 Total new obligations .....	48	48	49
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	46	46	48
1160 Appropriation, discretionary (total) .....	46	46	48
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2

1750 Spending auth from offsetting collections, disc (total) .....	2	2	2
1900 Budget authority (total) .....	48	48	50
1930 Total budgetary resources available .....	52	52	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	5

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	7	7
3010 Obligations incurred, unexpired accounts .....	48	48	49
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-47	-48	-50
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	7	7	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	7	7
3200 Obligated balance, end of year .....	7	7	6

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	48	48	50
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40	44	46
4011 Outlays from discretionary balances .....	7	4	4
4020 Outlays, gross (total) .....	47	48	50
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) ....	-2	-2	-2
4070 Budget authority, net (discretionary) .....	46	46	48
4080 Outlays, net (discretionary) .....	45	46	48
4180 Budget authority, net (total) .....	46	46	48
4190 Outlays, net (total) .....	45	46	48

The Federal Mediation and Conciliation Service (FMCS) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

**Dispute Mediation.**—FMCS assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. FMCS also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. FMCS provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments in both the private and public sectors. These numbers include collective bargaining and grievance mediation.

DISPUTE MEDIATION WORKLOAD DATA

	2010 actual	2011 actual	2012 actual	2013 est.	2014 est.
Dispute mediation assignments .....	16,251	15,680	14,951	15,600	15,600
Total active mediations .....	7,003	6,570	6,312	6,664	6,664

PREVENTIVE MEDIATION WORKLOAD DATA

	2010 actual	2011 actual	2012 actual	2013 est.	2014 est.
Total preventive mediation cases conducted .....	2,200	2,301	2,128	2,300	2,300

**Preventive Mediation, Public Information, and Educational Activities.**—Through its preventive mediation program, FMCS initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach (EAO) activities such as lectures, seminars, and conferences.

**Arbitration Services.**—FMCS assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

**ARBITRATION SERVICES WORKLOAD DATA**

	2010 actual	2011 actual	2012 actual	2013 est.	2014 est.
Number of panels issued .....	16,486	13,500	13,538	14,000	14,000
Number of arbitrators appointed .....	6,870	5,575	6,129	5,782	5,782

*Management and Administrative Support.*—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

*Labor-Management Cooperation Project.*—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes FMCS to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

*Alternative Dispute Resolution (ADR) Projects.*—FMCS assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed Federal processes. FMCS is funded for this work through interagency reimbursable agreements.

**ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA**

	2010 actual	2011 actual	2012 actual	2013 est.	2014 est.
Number of ADR Cases .....	1,392	1,320	1,110	1,300	1,300

**Object Classification** (in millions of dollars)

Identification code 93-0100-0-1-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	26	26	27
12.1 Civilian personnel benefits .....	8	8	8
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	5	6	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	3	2	2
31.0 Equipment .....	1	.....	.....
41.0 Grants, subsidies, and contributions .....	.....	1	1
99.0 Direct obligations .....	46	46	47
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	48	48	49

**Employment Summary**

Identification code 93-0100-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	231	231	238
2001 Reimbursable civilian full-time equivalent employment .....	8	8	8

**FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION**

**Federal Funds**

**SALARIES AND EXPENSES**

*For expenses necessary for the Federal Mine Safety and Health Review Commission, \$16,423,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2800-0-1-554	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Commission review .....	6	6	5
0002 Administrative law judge determinations .....	11	12	11
0900 Total new obligations .....	17	18	16

**Budgetary Resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	.....	1	1

**Budget authority:**

<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	18	18	16
1160 Appropriation, discretionary (total) .....	18	18	16
1930 Total budgetary resources available .....	18	19	17
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	5	6
3010 Obligations incurred, unexpired accounts .....	17	18	16
3020 Outlays (gross) .....	-14	-17	-16
3050 Unpaid obligations, end of year .....	5	6	6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	5	6
3200 Obligated balance, end of year .....	5	6	6

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	18	18	16
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	12	15	14
4011 Outlays from discretionary balances .....	2	2	2
4020 Outlays, gross (total) .....	14	17	16
4180 Budget authority, net (total) .....	18	18	16
4190 Outlays, net (total) .....	14	17	16

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor under the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

**SELECTED WORKLOAD DATA**

	2012 Actual	2013 est.	2014 est.
<b>Commission review activities:</b>			
Cases pending beginning of year .....	108	159	189
New cases received .....	229	280	270
Total case workload .....	337	402	459
Cases decided .....	178	250	262
Cases pending end of year .....	159	189	197
<b>Administrative law judge activities:</b>			
Cases pending beginning of year .....	15,788	12,982	11,682
New cases received .....	9,078	11,000	11,000
Total case workload .....	24,866	23,982	22,682
Cases decided .....	11,884	12,300	11,000
Cases pending end of year .....	12,982	11,682	11,682

**Object Classification** (in millions of dollars)

Identification code 95-2800-0-1-554	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	10	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	3	3	3
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	.....	.....
99.9 Total new obligations .....	17	18	16

**Employment Summary**

Identification code 95-2800-0-1-554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	69	74	76

## FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

### Federal Funds PROGRAM EXPENSES

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 26-5290-0-2-602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Reimbursement for Program Expenses, Federal Retirement Thrift Investment Board .....	143	170	205
0400 Total: Balances and collections .....	143	170	205
Appropriations:			
0500 Program Expenses .....	-143	-170	-205
0799 Balance, end of year .....			

#### Program and Financing (in millions of dollars)

Identification code 26-5290-0-2-602	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	130	170	205
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		13	13
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	143	170	205
1260 Appropriations, mandatory (total) .....	143	170	205
1930 Total budgetary resources available .....	143	183	218
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	130	170	205
3020 Outlays (gross) .....	-130	-170	-205
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	143	170	205
Outlays, gross:			
4100 Outlays from new mandatory authority .....	130	170	205
4180 Budget authority, net (total) .....	143	170	205
4190 Outlays, net (total) .....	130	170	205

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund. Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who separate from the Federal Government prior to vesting and then from earnings on all participant and agency contributions to the Fund.

The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

#### Object Classification (in millions of dollars)

Identification code 26-5290-0-2-602	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	11	17	21
12.1 Civilian personnel benefits .....	3	6	7
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	11	13	14
24.0 Printing and reproduction .....	3	3	3
25.1 Advisory and assistance services .....	2	3	3
25.2 Other services from non-Federal sources .....	85	108	125
25.3 Other goods and services from Federal sources .....	1	1	1

26.0	Supplies and materials .....	1		
31.0	Equipment .....	9	14	26
99.9	Total new obligations .....	130	170	205

#### Employment Summary

Identification code 26-5290-0-2-602	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	100	163	181

#### INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. However, only those civilian employees covered by the Federal Employees' Retirement System (or equivalent retirement systems) and a limited category of uniformed services personnel may have their contributions matched by the employing agencies in accordance with the formulas prescribed by law. Employees can invest in five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; an international stock index investment fund; or in five lifecycle funds, which were introduced in August 2005. These funds are composed of varying allocations of the five core investment funds. The allocations are based on the target maturity date of each fund.

The estimated status of the Fund is shown below:

#### STATUS OF THRIFT SAVINGS FUND

[In millions of dollars]

	2011 actual	2012 est.	2013 est.
Thrift Savings Fund investment balance, start of year .....	264,013	272,587	282,239
Receipts during the year:			
Employee contributions .....	18,125	18,669	19,229
Contributions on behalf of employees <sup>1</sup> .....	7,360	7,581	7,808
Earnings and adjustments <sup>2</sup> .....	(5,798)	(5,151)	(4,518)
Total receipts .....	19,687	21,098	22,519
Outlays during the year:			
Withdrawals .....	10,539	10,855	11,181
Loans to employees, net of repayments .....	460	473	488
Administrative expenses .....	114	118	121
Total cash outlays .....	11,113	11,446	11,790
Thrift Savings Fund investment balance, end of year <sup>3</sup> .....	272,587	282,239	292,969

#### Notes:

<sup>1</sup> 2011 Employer contributions included: .....			
Automatic contributions for FERS employees: .....			1,707
Matching contributions for FERS employees: .....			5,654
<sup>2</sup> 2011 Earnings included: .....			
Return on investment in Government Securities .....			3,317
Return on investment in non-government instruments .....			(9,374)
Interest on loans to employees .....			249
Agency payments for lost earnings .....			9
<sup>3</sup> Investment Balances at 9/30/2011 were: .....			
Government Securities Investment Fund .....			139,325
Barclays U.S. Debt Index Fund .....			21,880
Barclays Equity Index Fund .....			68,841
Barclays Extended Equity Market Fund .....			22,519
Barclays EAFE Index Fund .....			20,022

**FEDERAL TRADE COMMISSION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed \$2,000 for official reception and representation expenses, \$300,000,000, to remain available until expended: Provided, That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718: Provided further, That, notwithstanding any other provision of law, not to exceed \$102,300,000 of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection, shall be retained and used for necessary expenses in this appropriation: Provided further, That, notwithstanding any other provision of law, not to exceed \$15,000,000 in offsetting collections derived from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.), shall be credited to this account, and be retained and used for necessary expenses in this appropriation: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year 2014, so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$182,700,000: Provided further, That none of the funds made available to the Federal Trade Commission may be used to implement subsection (e)(2)(B) of section 43 of the Federal Deposit Insurance Act (12 U.S.C. 1831t).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 29–0100–0–1–376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Protect Consumers .....	161	195	181
0002 Maintain Competition .....	119	153	140
0192 Subtotal, direct program .....	280	348	321
0799 Total direct obligations .....	280	348	321
0803 Reimbursable program .....	1	1	1
0900 Total new obligations .....	280	349	322
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	57	23
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	25	57	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	210	185	184
1160 Appropriation, discretionary (total) .....	210	185	184
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (cash) - HSR .....	88	108	102
1700 Offsetting collections (cash) - Do Not Call .....	14	21	15
1700 Offsetting collections (cash) - Reimb .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	102	130	118
1900 Budget authority (total) .....	312	315	302
1930 Total budgetary resources available .....	337	372	325
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	57	23	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	88	80	140
3010 Obligations incurred, unexpired accounts .....	280	349	322
3020 Outlays (gross) .....	-284	-289	-311
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050 Unpaid obligations, end of year .....	80	140	151

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	88	80	140
3200	Obligated balance, end of year .....	80	140	151
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	312	315	302
Outlays, gross:				
4010	Outlays from new discretionary authority .....	239	211	207
4011	Outlays from discretionary balances .....	45	78	104
4020	Outlays, gross (total) .....	284	289	311
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-1	-1
4034	Offsetting governmental collections .....	-102	-129	-117
4040	Offsets against gross budget authority and outlays (total) ....	-102	-130	-118
4070	Budget authority, net (discretionary) .....	210	185	184
4080	Outlays, net (discretionary) .....	182	159	193
4180	Budget authority, net (total) .....	210	185	184
4190	Outlays, net (total) .....	182	159	193

The mission of the Federal Trade Commission (the Commission or FTC) is to prevent business practices that are anticompetitive or deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. The FTC's mission is based on a vision of the U.S. economy characterized by vigorous competition and consumer access to accurate information, which yields a wide range of products at competitive prices and rewards efficiency, innovation, and consumer choice.

**Protect Consumers.**—This goal is to prevent fraud, deception, and unfair business practices in the marketplace. The agency works to accomplish this goal through five objectives: (1) identify fraud, deception, and unfair practices that cause the greatest consumer injury; (2) stop fraud, deception, unfairness, and other unlawful practices through law enforcement; (3) prevent consumer injury through education; (4) enhance consumer protection through research, reports, rulemaking, and advocacy; and (5) protect American consumers in the global marketplace by providing sound policy and technical input to foreign governments and international organizations to promote sound consumer policy.

**Maintain Competition.**—This goal is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The agency works to accomplish this goal through four objectives: (1) take action against anticompetitive mergers and practices that may cause significant consumer injury; (2) prevent consumer injury through education; (3) enhance consumer benefit through research, reports, and advocacy; and (4) protect American consumers in the global marketplace by providing sound policy recommendations and technical advice to foreign governments and international organizations to promote sound competition policy.

The 2014 Budget includes a program level for the Commission of \$301 million, funded by \$183.7 million from the General Fund of the U.S. Treasury and offsetting collections from two sources: \$102.3 million from fees for Hart-Scott-Rodino Act premerger notification filings as authorized by 15 U.S.C. 18a and \$15 million from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq., as amended).

The Budget proposes to increase the Hart-Scott-Rodino fees and index them for the percentage annual change in the gross national product. The fee proposal would also create a new merger fee category for mergers valued at over \$1 billion. Under the proposal, the fee increase would take effect in FY 2015.

**SALARIES AND EXPENSES—Continued**  
**Object Classification** (in millions of dollars)

Identification code 29-0100-0-1-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	125	136	136
11.3 Other than full-time permanent .....	9	9	9
11.5 Other personnel compensation .....	2	2	2
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	137	148	148
12.1 Civilian personnel benefits .....	38	41	41
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	26	31	51
23.3 Communications, utilities, and miscellaneous charges .....	6	8	7
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	42	39	38
25.2 Other services from non-Federal sources .....	4	4	5
25.3 Other goods and services from Federal sources .....	6	8	8
25.4 Operation and maintenance of facilities .....	2	2	2
25.7 Operation and maintenance of equipment .....	2	3	3
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	12	58	12
99.0 Direct obligations .....	280	348	321
99.0 Reimbursable obligations .....	.....	1	1
99.9 Total new obligations .....	280	349	322

**Employment Summary**

Identification code 29-0100-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,131	1,176	1,176
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
<b>Offsetting receipts from the public:</b>			
29-32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	15	.....	.....
General Fund Offsetting receipts from the public .....	15	.....	.....

**HARRY S TRUMAN SCHOLARSHIP FOUNDATION**

**Federal Funds**

**Program and Financing** (in millions of dollars)

Identification code 95-0950-0-1-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	1	.....
0900 Total new obligations (object class 94.0) .....	1	1	.....
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1	1	.....
1160 Appropriation, discretionary (total) .....	1	1	.....
1930 Total budgetary resources available .....	2	2	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	1	1	.....
3020 Outlays (gross) .....	-1	-1	.....

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1	1	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	1	.....
4180 Budget authority, net (total) .....	1	1	.....
4190 Outlays, net (total) .....	1	1	.....

**Trust Funds**

**HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-8296-0-7-502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	30	31	32
<b>Receipts:</b>			
0240 Interest on Investments, Harry S. Truman Memorial Scholarship Trust Fund .....	2	4	4
0241 General Fund Payment, Harry S Truman Scholarship Trust Fund .....	1	.....	.....
0299 Total receipts and collections .....	3	4	4
0400 Total: Balances and collections .....	33	35	36
<b>Appropriations:</b>			
0500 Harry S Truman Memorial Scholarship Trust Fund .....	-2	-3	-3
0799 Balance, end of year .....	31	32	33

**Program and Financing** (in millions of dollars)

Identification code 95-8296-0-7-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Scholarship awards .....	1	2	2
0002 Program administration .....	1	1	1
0900 Total new obligations .....	2	3	3
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	25	25	25
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	2	3	3
1260 Appropriations, mandatory (total) .....	2	3	3
1930 Total budgetary resources available .....	27	28	28
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	25	25	25
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	2	3	3
3020 Outlays (gross) .....	-2	-3	-3

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	3	3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	2	2
4101 Outlays from mandatory balances .....	.....	1	1
4110 Outlays, gross (total) .....	2	3	3
4180 Budget authority, net (total) .....	2	3	3
4190 Outlays, net (total) .....	2	3	3

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	48	53	38
5001 Total investments, EOY: Federal securities: Par value .....	53	38	38

Public Law 93-642 established the Harry S Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its annual competition, the Foundation selects up to 75 new Truman Scholars. The maximum award is \$30,000 toward a graduate level degree program.

*Scholarship awards.*—This activity is comprised of scholarships awarded to cover eligible educational expenses.

*Program administration.*—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

**Object Classification** (in millions of dollars)

Identification code 95-8296-0-7-502	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	2	3	3

**Employment Summary**

Identification code 95-8296-0-7-502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	5		

**INDEPENDENT PAYMENT ADVISORY BOARD**

*Federal Funds*

INDEPENDENT PAYMENT ADVISORY BOARD

**Program and Financing** (in millions of dollars)

Identification code 95-3746-0-1-571	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		5	16
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			5
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		5	
1050 Unobligated balance (total) .....		5	5
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		5	16
1850 Spending auth from offsetting collections, mand (total) .....		5	16
1930 Total budgetary resources available .....		10	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		5	5

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		5	16
3020 Outlays (gross) .....		-5	-16

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....		5	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....		5	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-5	-16

The Affordable Care Act established the Independent Payment Advisory Board to reduce the per capita rate of growth in Medicare spending.

**Object Classification** (in millions of dollars)

Identification code 95-3746-0-1-571	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		2	5
12.1 Civilian personnel benefits .....		1	2
23.3 Communications, utilities, and miscellaneous charges .....			1
25.1 Advisory and assistance services .....		2	8
99.9 Total new obligations .....		5	16

**Employment Summary**

Identification code 95-3746-0-1-571	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		15	45

**INDIAN LAW AND ORDER COMMISSION**

*Federal Funds*

INDIAN LAW AND ORDER COMMISSION

**Program and Financing** (in millions of dollars)

Identification code 48-2971-0-1-754	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Indian Law and Order Commission .....	1	1	
0900 Total new obligations (object class 25.2) .....	1	1	

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	
1930 Total budgetary resources available .....	2	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	1	1	
3020 Outlays (gross) .....	-1	-1	
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	1	
4190 Outlays, net (total) .....	1	1	

**INSTITUTE OF AMERICAN INDIAN AND ALASKA  
NATIVE CULTURE AND ARTS DEVELOPMENT**

*Federal Funds*

PAYMENT TO THE INSTITUTE

*For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99-498, as amended (20 U.S.C. 56 part A), \$11,369,000, of which not to exceed \$2,000,000 for school operations for the following school year shall become available on July 1, 2014.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**PAYMENT TO THE INSTITUTE—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 95–2900–0–1–502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Institute .....	9	9	11
0900 Total new obligations (object class 41.0) .....	9	9	11
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	9	11
1160 Appropriation, discretionary (total) .....	9	9	11
1930 Total budgetary resources available .....	9	9	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	9	9	11
3020 Outlays (gross) .....	-9	-9	-11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	9	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	9	11
4180 Budget authority, net (total) .....	9	9	11
4190 Outlays, net (total) .....	9	9	11

Title XV of Public Law 99–498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

*Payment to the Institute.*—This activity supports the operations of the Institute.

**INSTITUTE OF MUSEUM AND LIBRARY SERVICES**

*Federal Funds*

OFFICE OF MUSEUM AND LIBRARY SERVICES: GRANTS AND ADMINISTRATION

*For carrying out the Museum and Library Services Act of 1996 and the National Museum of African American History and Culture Act, §225,812,538.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 59–0300–0–1–503	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			1
Receipts:			
0220 Gifts and Donations, Institute of Museum Services .....	1	1	
0400 Total: Balances and collections .....	1	1	1
Appropriations:			
0500 Office of Museum and Library Services: Grants and Administration .....	-1		
0799 Balance, end of year .....		1	1

**Program and Financing** (in millions of dollars)

Identification code 59–0300–0–1–503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Assistance for museums .....	31	31	33

0002 Assistance for libraries .....	186	186	177
0003 Administration .....	16	16	16
0900 Total new obligations .....	233	233	226

**Budgetary Resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	6	7
1021 Recoveries of prior year unpaid obligations .....	2	1	
1050 Unobligated balance (total) .....	6	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	232	233	226
1101 Appropriation (special or trust fund) .....	1		
1160 Appropriation, discretionary (total) .....	233	233	226
1900 Budget authority (total) .....	233	233	226
1930 Total budgetary resources available .....	239	240	233
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	7	7

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	340	320	273
3010 Obligations incurred, unexpired accounts .....	233	233	226
3020 Outlays (gross) .....	-251	-279	-274
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-1	
3050 Unpaid obligations, end of year .....	320	273	225
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	340	320	273
3200 Obligated balance, end of year .....	320	273	225

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	233	233	226
Outlays, gross:			
4010 Outlays from new discretionary authority .....	32	70	68
4011 Outlays from discretionary balances .....	219	209	206
4020 Outlays, gross (total) .....	251	279	274
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total) .....	233	233	226
4190 Outlays, net (total) .....	251	279	274

The Institute of Museum and Library Services (IMLS) is the primary source of Federal support for the nation's 122,000 libraries and 17,500 museums. Through strategic grantmaking, policy development, data collection and research, IMLS supports libraries and museums as community anchors that provide vital learning experiences and broad access to content. IMLS provides leadership to help Americans build 21st century skills such as digital literacy; pursue education, training and workforce development; access early learning opportunities; and build civic engagement. The Institute's organization, mission, and functions are defined in the Museum and Library Services Act, Public Law 111–340, and the African American History and Culture Act, Public Law 108–184.

**Object Classification** (in millions of dollars)

Identification code 59–0300–0–1–503	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	5	5	5
41.0 Grants, subsidies, and contributions .....	217	217	210
99.9 Total new obligations .....	233	233	226

**Employment Summary**

Identification code 59–0300–0–1–503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	65	69	69

**INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT**

*Federal Funds*

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account, \$568,271,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95–0401–0–1–054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Intelligence community management .....	543	551	568
0801 Reimbursable program .....	18	10	10
0900 Total new obligations .....	561	561	578
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		–6	
1021 Recoveries of prior year unpaid obligations .....		6	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	548	551	568
1120 Appropriations transferred to other accts [97–0100] .....	–9		
1121 Appropriations transferred from other accts [57–3600] .....	8		
1160 Appropriation, discretionary (total) .....	547	551	568
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	10	10
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	12	10	10
1900 Budget authority (total) .....	559	561	578
1930 Total budgetary resources available .....	559	561	578
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–4		
1941 Unexpired unobligated balance, end of year .....	–6		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	263	140	157
3010 Obligations incurred, unexpired accounts .....	561	561	578
3011 Obligations incurred, expired accounts .....	24		
3020 Outlays (gross) .....	–688	–538	–548
3040 Recoveries of prior year unpaid obligations, unexpired .....		–6	
3041 Recoveries of prior year unpaid obligations, expired .....	–20		
3050 Unpaid obligations, end of year .....	140	157	187
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2	–3	–3
3070 Change in uncollected pymts, Fed sources, unexpired .....	–2		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	–3	–3	–3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	261	137	154
3200 Obligated balance, end of year .....	137	154	184
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	559	561	578
Outlays, gross:			
4010 Outlays from new discretionary authority .....	437	412	425
4011 Outlays from discretionary balances .....	251	126	123
4020 Outlays, gross (total) .....	688	538	548
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–14	–10	–10
4033 Non-Federal sources .....	–10		
4040 Offsets against gross budget authority and outlays (total) ....	–24	–10	–10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–2		
4052 Offsetting collections credited to expired accounts .....	14		
4060 Additional offsets against budget authority only (total) .....	12		
4070 Budget authority, net (discretionary) .....	547	551	568

4080 Outlays, net (discretionary) .....	664	528	538
4180 Budget authority, net (total) .....	547	551	568
4190 Outlays, net (total) .....	664	528	538

The Intelligence Community Management Account (ICMA) provides resources that directly support the Director of National Intelligence (DNI) and the Intelligence Community (IC) as a whole in coordinating cross-program activities, improving budget oversight, and strengthening Community Management. The ICMA funds selected oversight elements including the National Intelligence Council, the DNI Special Security Center, the President's Daily Briefing Staff, and other enterprise-wide functions.

These oversight elements are the DNI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These responsibilities include: developing the National Intelligence Program budget, developing intelligence plans and requirements, and overseeing research and development activities. The National Intelligence Council provides analytical support to the DNI and to national policy makers. The DNI Special Security Center develops uniform IC-wide security policies. The President's Daily Briefing Staff supports the production of the daily intelligence briefing that is provided to the President and his senior staff.

**Object Classification** (in millions of dollars)

Identification code 95–0401–0–1–054	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	100	101	109
11.3 Other than full-time permanent .....	1	1	
11.5 Other personnel compensation .....	6	6	8
11.9 Total personnel compensation .....	107	108	117
12.1 Civilian personnel benefits .....	28	30	31
21.0 Travel and transportation of persons .....	13	15	15
23.2 Rental payments to others .....	4	2	3
24.0 Printing and reproduction .....	3	4	3
25.1 Advisory and assistance services .....	2	41	3
25.2 Other services from non-Federal sources .....	147	207	194
25.3 Other goods and services from Federal sources .....	210	122	190
25.5 Research and development contracts .....	3	1	
25.7 Operation and maintenance of equipment .....	23	17	9
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	2	2	1
99.0 Direct obligations .....	543	551	568
99.0 Reimbursable obligations .....	18	10	10
99.9 Total new obligations .....	561	561	578

**Employment Summary**

Identification code 95–0401–0–1–054	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	760	760	793

**INTERNATIONAL TRADE COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the U.S. International Trade Commission, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed \$2,250 for official reception and representation expenses, \$85,102,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

SALARIES AND EXPENSES—Continued  
Program and Financing (in millions of dollars)

Identification code 34-0100-0-1-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Research, investigations, and reports .....	84	80	85
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	5	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	80	80	85
1160 Appropriation, discretionary (total) .....	80	80	85
1930 Total budgetary resources available .....	85	81	86
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	14	5
3010 Obligations incurred, unexpired accounts .....	84	80	85
3020 Outlays (gross) .....	-81	-89	-85
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050 Unpaid obligations, end of year .....	14	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	14	5
3200 Obligated balance, end of year .....	14	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	80	80	85
Outlays, gross:			
4010 Outlays from new discretionary authority .....		75	80
4011 Outlays from discretionary balances .....	81	14	5
4020 Outlays, gross (total) .....	81	89	85
4180 Budget authority, net (total) .....	80	80	85
4190 Outlays, net (total) .....	81	89	85

The U.S. International Trade Commission (Commission) is an independent, quasi-judicial Federal agency with broad investigative responsibilities on matters of trade. The mission of the Commission is threefold: administer U.S. trade remedy laws within its mandate in a fair and objective manner; provide the President, the U. S. Trade Representative, and the Congress with independent, quality analysis, information, and support on matters of tariffs and international trade and competitiveness; and maintain the Harmonized Tariff Schedule of the United States. For 2014, the Commission requests an appropriation of \$85.1 million to support its authorized operations. Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

The Commission issued an Addendum to its Strategic Plan for FY 2009–2014 in February 2012. The Addendum described revisions to the existing performance goals and created new management goals concerning financial management, procurement, human resources, and information technology. The Commission began development of its Strategic Plan for FY 2014–2018 in FY 2012. During FY 2013, the Commission will seek feedback from its legislative and executive branch customers as well as the public. The Plan will be issued in February 2014. Although the Commission has one program activity set forth in the Budget of the United States, the Commission's Strategic Plan identifies five strategic Operations and sets strategic goals for each Operation. These Operations reflect the mission and mandates of the Commission, highlighting the diverse benefits the Commission provides in supporting an open trading system based on the rule of law and the economic interests of the United States. For each

strategic goal, the Strategic Plan identifies performance goals and strategies to meet these goals. The Commission's annual measures provide targets by which the agency can assess whether it is making progress toward achieving its performance goals. The Commission's Strategic Operations are Import Injury Investigations, Intellectual Property-Based Import Investigations, Industry and Economic Analysis, Tariff and Trade Information Services, and Trade Policy Support. The Commission makes available its Strategic Plan, Agency Financial Report, Annual Performance Report and Budget Justification at <http://www.us-itc.gov>.

Object Classification (in millions of dollars)

Identification code 34-0100-0-1-153	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	38	42	43
11.3 Other than full-time permanent .....	6	1	1
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....	44	44	45
12.1 Civilian personnel benefits .....	12	12	13
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	10	11	11
25.1 Advisory and assistance services .....		2	2
25.2 Other services from non-Federal sources .....	11	5	6
25.3 Other goods and services from Federal sources .....	3	2	3
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	2	1	2
99.9 Total new obligations .....	84	80	85

Employment Summary

Identification code 34-0100-0-1-153	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	394	390	411

JAMES MADISON MEMORIAL FELLOWSHIP  
FOUNDATION

Trust Funds

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-8282-0-7-502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0240 Earnings on Investments, James Madison Memorial Fellowship Foundation .....	2	2	2
0400 Total: Balances and collections .....	2	2	2
Appropriations:			
0500 James Madison Memorial Fellowship Trust Fund .....	-2	-2	-2
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 95-8282-0-7-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Fellowship awards .....	2	1	1
0002 Program administration .....		1	1
0900 Total new obligations .....	2	2	2
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	38	38	38
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	2	2
1260 Appropriations, mandatory (total) .....	2	2	2

1900	Budget authority (total) .....	2	2	2
1930	Total budgetary resources available .....	40	40	40
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	38	38	38

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010	Obligations incurred, unexpired accounts .....	2	2	2
3020	Outlays (gross) .....	-2	-2	-2
3050	Unpaid obligations, end of year .....	1	1	1

Memorandum (non-add) entries:

3100	Obligated balance, start of year .....	1	1	1
3200	Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

Mandatory:

4090	Budget authority, gross .....	2	2	2
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2	2	2
4180	Budget authority, net (total) .....	2	2	2
4190	Outlays, net (total) .....	2	2	2

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	37	37	37
5001	Total investments, EOY: Federal securities: Par value .....	37	37	37

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The Foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

**Fellowship awards.**—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights.

**Program administration.**—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

**Object Classification** (in millions of dollars)

Identification code 95-8282-0-7-502	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	2	2	2

**Employment Summary**

Identification code 95-8282-0-7-502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2		

**JAPAN-UNITED STATES FRIENDSHIP COMMISSION**

**Trust Funds**

**JAPAN-UNITED STATES FRIENDSHIP TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-8025-0-7-154	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	37	37	37
Receipts:			
0240 Interest on Investment in Public Debt Securities, Japan-United States Friendship Commission .....	2	3	3
0400 Total: Balances and collections .....	39	40	40
Appropriations:			
0500 Japan-United States Friendship Trust Fund .....	-2	-3	-3
0799 Balance, end of year .....	37	37	37

**Program and Financing** (in millions of dollars)

Identification code 95-8025-0-7-154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants .....	2	2	2
0002 Administration .....		1	1
0900 Total new obligations .....	2	3	3

**Budgetary Resources:**

Budget authority:

Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	2	3	3
1260	Appropriations, mandatory (total) .....	2	3	3
1930	Total budgetary resources available .....	2	3	3

**Change in obligated balance:**

Unpaid obligations:

3010	Obligations incurred, unexpired accounts .....	2	3	3
3020	Outlays (gross) .....	-2	-3	-3

**Budget authority and outlays, net:**

Mandatory:

4090	Budget authority, gross .....	2	3	3
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2	3	3
4180	Budget authority, net (total) .....	2	3	3
4190	Outlays, net (total) .....	2	3	3

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	39	38	38
5001	Total investments, EOY: Federal securities: Par value .....	38	38	38

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission (the Commission) to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies and Study of the United States, policy oriented activities and exchanges. The Commission's funding priorities are: arts and culture; education and public affairs; exchange and scholarship and global challenges.

**Object Classification** (in millions of dollars)

Identification code 95-8025-0-7-154	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	2	3	3

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND—Continued  
Employment Summary

Identification code 95-8025-0-7-154	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

LEGAL SERVICES CORPORATION

Federal Funds

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, \$430,000,000, of which \$400,300,000 is for basic field programs and required independent audits; \$4,200,000 is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; \$19,500,000 is for management and grants oversight; \$3,500,000 is for client self-help and information technology; \$1,500,000 is for a Pro Bono Innovation Fund; and \$1,000,000 is for loan repayment assistance: Provided, That the Legal Services Corporation may continue to provide locality pay to officers and employees at a rate no greater than that provided by the Federal Government to Washington, DC-based employees as authorized by 5 U.S.C. 5304, notwithstanding section 1005(d) of the Legal Services Corporation Act, 42 U.S.C. 2996(d): Provided further, That the authorities provided in section 205 of this Act shall be applicable to the Legal Services Corporation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 20-0501-0-1-752	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to Legal Services Corporation .....	348	350	430
0801 Reimbursable program activity .....	3		
0900 Total new obligations .....	351	350	430

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	348	350	430
1100 Appropriation .....		1	
1160 Appropriation, discretionary (total) .....	348	351	430
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	3		
1900 Budget authority (total) .....	351	351	430
1930 Total budgetary resources available .....	351	351	431
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	44	39	31
3010 Obligations incurred, unexpired accounts .....	351	350	430
3020 Outlays (gross) .....	-356	-358	-423
3050 Unpaid obligations, end of year .....	39	31	38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	44	39	31
3200 Obligated balance, end of year .....	39	31	38

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	351	351	430
Outlays, gross:			
4010 Outlays from new discretionary authority .....	316	321	393
4011 Outlays from discretionary balances .....	40	37	30

4020 Outlays, gross (total) .....	356	358	423
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
4180 Budget authority, net (total) .....	348	351	430
4190 Outlays, net (total) .....	353	358	423

The Legal Services Corporation (LSC) distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal Government. Funding for LSC helps ensure that low-income Americans have an opportunity to obtain access to the courts, due process and fair treatment.

Object Classification (in millions of dollars)

Identification code 20-0501-0-1-752	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	348	350	430
99.0 Reimbursable obligations .....	3		
99.9 Total new obligations .....	351	350	430

ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105-119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to 2013 and 2014, respectively.

Section 504 of Public Law 104-134 is amended:

(1) in subsection (a) by striking "to provide financial assistance to" and inserting in lieu thereof "by";

(2) in subsection (a) by inserting "in a manner" after "(which may be referred to in this section as a 'recipient')"; and

(3) by deleting (a)(7) and (a)(13) and renumbering the remaining subsections accordingly.

MARINE MAMMAL COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of Public Law 92-522, \$3,431,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95-2200-0-1-302	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	3	3	3

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1160 Appropriation, discretionary (total) .....	3	3	3
1930 Total budgetary resources available .....	3	3	3

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1

3010	Obligations incurred, unexpired accounts .....	3	3	3
3020	Outlays (gross) .....	-3	-3	-3
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	1	1
3200	Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3	3	3
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	2	2
4011	Outlays from discretionary balances .....	1	1	1
4020	Outlays, gross (total) .....	3	3	3
4180	Budget authority, net (total) .....	3	3	3
4190	Outlays, net (total) .....	3	3	3

The Commission recommends national and international marine mammal policies; recommends development of scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, the Interior, Defense, and State steps to conserve marine mammals domestically and internationally; and manages a research program.

**Object Classification** (in millions of dollars)

Identification code 95-2200-0-1-302	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.0 Reimbursable obligations .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	3	3	3

**Employment Summary**

Identification code 95-2200-0-1-302	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	12	14	14

**MERIT SYSTEMS PROTECTION BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978, and the Whistleblower Protection Act of 1989 (5 U.S.C. 5509 note), including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, direct procurement of survey printing, and not to exceed \$2,000 for official reception and representation expenses, \$40,070,000, to remain available until September 30, 2015, together with not to exceed \$2,345,000, to remain available until September 30, 2015, for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 41-0100-0-1-805	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Adjudication .....	32	32	33
0002 Merit systems studies .....	3	3	3
0003 Management support .....	4	4	4

0799	Total direct obligations .....	39	39	40
0801	Reimbursable program activity .....	2	2	2
0900	Total new obligations .....	41	41	42
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	40	39	40
1160	Appropriation, discretionary (total) .....	40	39	40
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	2	2
1750	Spending auth from offsetting collections, disc (total) .....	2	2	2
1900	Budget authority (total) .....	42	41	42
1930	Total budgetary resources available .....	42	42	43
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	5	5
3010	Obligations incurred, unexpired accounts .....	41	41	42
3020	Outlays (gross) .....	-41	-41	-42
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	5	5
3200	Obligated balance, end of year .....	5	5	5

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	42	41	42
Outlays, gross:				
4010	Outlays from new discretionary authority .....	37	38	39
4011	Outlays from discretionary balances .....	4	3	3
4020	Outlays, gross (total) .....	41	41	42
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2	-2	-2
4180	Budget authority, net (total) .....	40	39	40
4190	Outlays, net (total) .....	39	39	40

The Merit Systems Protection Board (MSPB) is an independent agency in the Executive Branch of the Federal government that serves as the guardian of Federal merit systems. The Board's mission is to protect Federal merit systems and the rights of individuals within those systems. The MSPB accomplishes its mission by: hearing and deciding employee appeals from agency actions; hearing and deciding cases brought by the Special Counsel involving alleged abuses of the merit systems, and other cases arising under the Board's original jurisdiction; conducting studies of the civil service and other merit systems in the Executive Branch to determine whether they are free from prohibited personnel practices; and providing oversight of the significant actions and regulations of the Office of Personnel Management (OPM) to determine whether they are in accord with merit system principles. The MSPB's inception began in 1883, when Congress passed the Pendleton Act establishing the Civil Service Commission and a merit-based employment system for the Federal government. The Pendleton Act grew out of the 19th Century reform movement to curtail the excesses of political patronage in government. As the Commission's responsibilities multiplied, a growing consensus emerged that it could not properly and adequately perform managerial and adjudicatory functions simultaneously. Concern over the inherent conflict of interest in the Commission's role as both rule-maker and judge was a principal motivating factor behind the enactment by Congress of the Civil Service Reform Act of 1978. The Act replaced the Civil Service Commission with three new independent agencies: the OPM, which manages the Federal workforce; the Federal Labor Relations Authority, which oversees Federal labor-management relations;

SALARIES AND EXPENSES—Continued

and the MSPB. The MSPB assumed the employee appeals functions of the Commission and was given the new responsibilities to perform merit systems studies and to review the significant actions of the OPM.

Object Classification (in millions of dollars)

Identification code 41-0100-0-1-805	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	24	25	25
12.1 Civilian personnel benefits .....	6	5	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	2	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	39	39	40
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	41	41	42

Employment Summary

Identification code 41-0100-0-1-805	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	198	211	211
2001 Reimbursable civilian full-time equivalent employment .....	15	15	15

**MORRIS K. UDALL AND STEWART L. UDALL  
FOUNDATION**

**Federal Funds**

MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND

For payment to the Morris K. Udall and Stewart L. Udall Trust Fund, pursuant to the Morris K. Udall and Stewart L. Udall Foundation Act (20 U.S.C. 5601 et seq.), \$2,100,000, to remain available until expended, of which, notwithstanding sections 8 and 9 of such Act: (1) up to \$50,000 shall be used to conduct financial audits pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107-289); and (2) up to \$1,000,000 shall be available to carry out the activities authorized by section 6(7) of Public Law 102-259 (20 U.S.C. 5604(7)).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95-0900-0-1-502	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Federal payment to Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation .....	2	2	2
0900 Total new obligations (object class 94.0) .....	2	2	2
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2
1160 Appropriation, discretionary (total) .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2

Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	2	2

The Morris K. Udall and Stewart L. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Udall Foundation. The Foundation awards scholarships, fellowships and grants, and, as required by its enabling legislation, funds specified activities of the Udall Center for Studies in Public Policy, based at the University of Arizona.

The Udall Foundation is authorized by 20 U.S.C. 5604(7) to establish training programs for professionals in Native American and Alaska Native health care and public policy. The Foundation provides these programs through the Native Nations Institute (NNI), which is housed at the University of Arizona and provides Native Americans and Alaska Natives with leadership and management training and assists in policy analysis relevant to tribes.

ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, \$3,600,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-5415-0-2-306	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
0220 Fees for Services, Non-federal Entities, Environmental Dispute Resolution Fund .....	6		
0240 Fees for Services, Federal Entities, Environmental Dispute Resolution Fund .....		4	4
0299 Total receipts and collections .....	6	4	4
0400 Total: Balances and collections .....	6	4	4
<b>Appropriations:</b>			
0500 Environmental Dispute Resolution Fund .....	-6	-4	-4
0799 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 95-5415-0-2-306	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Environmental dispute resolution fund .....	9	7	7
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	4	5
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	3	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1160 Appropriation, discretionary (total) .....	4	4	4
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	6	4	4
1260 Appropriations, mandatory (total) .....	6	4	4
1900 Budget authority (total) .....	10	8	8
1930 Total budgetary resources available .....	13	12	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	5	6

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	2	1
3010	Obligations incurred, unexpired accounts .....	9	7	7
3020	Outlays (gross) .....	-9	-8	-8
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	2	1	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	2	1
3200	Obligated balance, end of year .....	2	1	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	4	4	4
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	4	4
4011	Outlays from discretionary balances .....	2		
4020	Outlays, gross (total) .....	3	4	4
Mandatory:				
4090	Budget authority, gross .....	6	4	4
Outlays, gross:				
4100	Outlays from new mandatory authority .....	6	3	3
4101	Outlays from mandatory balances .....		1	1
4110	Outlays, gross (total) .....	6	4	4
4180	Budget authority, net (total) .....	10	8	8
4190	Outlays, net (total) .....	9	8	8

In 1998, Public Law 105–56 created the U.S. Institute for Environmental Conflict Resolution as the only federal entity focused entirely on preventing and resolving environmental conflicts and promoting collaborative decision making. The Institute, part of the Udall Foundation, serves as an impartial, non-partisan institution providing assessment, mediation, facilitation, training, and other related services to resolve disputes involving agencies and instrumentalities of the United States involved in natural resource and public lands conflicts, including matters related to energy, transportation, and land use. The Institute helps parties determine whether collaborative problem solving is appropriate for specific environmental challenges, the most suitable methods for bringing the parties together, and whether a third-party neutral might be helpful in assisting the parties in their efforts to reach consensus or to resolve the conflict. In addition to providing services directly, the Institute maintains a roster of qualified professional facilitators and mediators with substantial experience in environmental collaboration and conflict resolution, including a roster of neutrals with expertise in dealing with Native American Tribal issues, and can help parties in selecting an appropriate neutral. (See [www.ecr.gov](http://www.ecr.gov) for more information about the Institute.)

**Object Classification** (in millions of dollars)

Identification code 95–5415–0–2–306	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	3	3	3
21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	5	3	3
99.9	Total new obligations .....	9	7	7

**Employment Summary**

Identification code 95–5415–0–2–306	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	31	34	33

**Trust Funds**

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95–8615–0–7–502	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....	42	43	44
Receipts:				
0240	General Fund Payments, Morris K. Udall Scholarship Fund .....	2	2	2
0241	Interest on Investments, Morris K. Udall Scholarship Fund .....	2	2	2
0299	Total receipts and collections .....	4	4	4
0400	Total: Balances and collections .....	46	47	48
Appropriations:				
0500	Morris K. Udall and Stewart L. Udall Foundation .....	-3	-3	-3
0799	Balance, end of year .....	43	44	45

**Program and Financing** (in millions of dollars)

Identification code 95–8615–0–7–502	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation .....	1	3	3
0900	Total new obligations (object class 41.0) .....	1	3	3

<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	4	4
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	3	3	3
1260	Appropriations, mandatory (total) .....	3	3	3
1930	Total budgetary resources available .....	5	7	7
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4	4	4

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1		
3010	Obligations incurred, unexpired accounts .....	1	3	3
3020	Outlays (gross) .....	-2	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1		

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	3	3	3
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	3	3
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	2	3	3
4180	Budget authority, net (total) .....	3	3	3
4190	Outlays, net (total) .....	2	3	3

<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	32	27	44
5001	Total investments, EOY: Federal securities: Par value .....	27	44	45

Public Law 102–259 established the Udall Foundation to provide educational resources to promote studies in the natural environment and Native American public health and Tribal policy. In 2012, the Udall Foundation awarded 80 undergraduate scholarships. The graduate fellowship program was suspended due to funding concerns. Twelve participants in the Native American Congressional Summer Internship Program spent ten weeks in Congressional offices, the Council on Environmental Quality, and Executive Branch agencies participating in a program created by the Udall Foundation. In FY 2012 the Foundation maintained its current level of scholarships and internships; in FY 2013 the Foundation reduced the level of scholarships as a result of a decrease in interest generated by the Trust Fund. The fellowship is not being offered in FY 2012 and FY 2013 due to insufficient earnings on Trust Fund investments.

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION—Continued  
Employment Summary

Identification code 95-8615-0-7-502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	7	7	7

NATIONAL ARCHIVES AND RECORDS  
ADMINISTRATION

Federal Funds

OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives and Records Administration and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, the activities of the Public Interest Declassification Board, the operations and maintenance of the electronic records archives, the hire of passenger motor vehicles, and for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning, \$370,706,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 88-0300-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Legislative Archives, Presidential Libraries, and Museum Services .....	116	110	110
0002 Research Services .....	94	98	98
0003 Agency and Related Services .....	83	86	86
0004 Facility Operations .....	51	52	48
0005 Archives II Facility .....	14	12	11
0006 Financial Transfer .....	15	17	18
0799 Total direct obligations .....	373	375	371
0888 Reimbursable program .....	2	2	2
0900 Total new obligations .....	375	377	373
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	373	375	371
1160 Appropriation, discretionary (total) .....	373	375	371
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	2	2
1700 Offsetting collections (cash applied to repay debt) .....	15	17	18
1726 Spending authority from offsetting collections applied to repay debt .....	-15	-17	-18
1750 Spending auth from offsetting collections, disc (total) .....	3	2	2
1900 Budget authority (total) .....	376	377	373
1930 Total budgetary resources available .....	376	378	374
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	81	99	103
3010 Obligations incurred, unexpired accounts .....	375	377	373
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-353	-373	-355
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	99	103	121
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	81	99	103
3200 Obligated balance, end of year .....	99	103	121
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	376	377	373

Outlays, gross:			
4010 Outlays from new discretionary authority .....	285	289	287
4011 Outlays from discretionary balances .....	68	84	68
4020 Outlays, gross (total) .....	353	373	355
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-17	-19	-20
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-18	-19	-20
4070 Budget authority, net (discretionary) .....	358	358	353
4080 Outlays, net (discretionary) .....	335	354	335
4180 Budget authority, net (total) .....	358	358	353
4190 Outlays, net (total) .....	335	354	335

This appropriation provides for the operation of the Federal government's archives and records management activities, the preservation of permanently valuable historical records, and their access and use by the public. This appropriation also includes the Electronic Records Archives (ERA), which preserves, stores, and manages digital Federal government records for archival purposes, ensuring long-term access.

**Legislative Archives, Presidential Libraries, and Museum Services.**—This activity provides for the Center for Legislative Archives and the Office of Presidential Materials, which provide records management services to the Congress and the White House; the Presidential Libraries of thirteen former Presidents; and nationwide education, outreach, and exhibits programs, including the National Archives Experience in Washington, DC.

**Research Services.**—This activity provides for the archival storage and preservation of permanently valuable Federal government records and for continued access to those records by the researcher community and the general public at public research rooms in fifteen locations across the country and on-line, at [www.archives.gov](http://www.archives.gov).

**Agency and Related Services.**—This activity provides for the services NARA provides to other Federal agencies, including records management, appropriate declassification of classified national security information, oversight of the classification system and controlled, unclassified information, and improvements to the administration of the Freedom of Information Act by the Office of Government Information Services; the electronic records management activities of the ERA system; and publication of the Federal Register, U.S. Statutes-at-Large, and Presidential Papers.

**Facility Operations.**—This activity provides for the operations and maintenance of NARA facilities, including interest payments and repayments of principal on debt associated with construction of the Archives II building in College Park, MD. Appropriations for repayments of principal ("redemption of debt") are excluded from NARA budget authority.

Object Classification (in millions of dollars)

Identification code 88-0300-0-1-804	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	124	125	126
11.3 Other than full-time permanent .....	5	6	6
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	131	133	134
12.1 Civilian personnel benefits .....	38	38	38
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1		
23.1 Rental payments to GSA .....	7	7	6
23.2 Rental payments to others .....	2		
23.3 Communications, utilities, and miscellaneous charges .....	16	18	18
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	16	17	22
25.2 Other services from non-Federal sources .....	24	28	25
25.3 Other goods and services from Federal sources .....	16	16	16
25.4 Operation and maintenance of facilities .....	41	40	35
25.5 Research and development contracts .....	1	1	

25.7	Operation and maintenance of equipment .....	27	28	30
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	16	13	11
32.0	Land and structures .....	2	1	1
43.0	Interest and dividends .....	14	12	11
94.0	Financial transfers .....	15	17	18
99.0	Direct obligations .....	373	375	371
99.0	Reimbursable obligations .....	2	2	2
99.9	Total new obligations .....	375	377	373

**Employment Summary**

Identification code 88-0305-0-1-804	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,664	1,674	1,664
2001 Reimbursable civilian full-time equivalent employment .....	28	26	26

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Reform Act of 2008, Public Law 110-409, 122 Stat. 4302-16 (2008), and the Inspector General Act of 1978 (5 U.S.C. App.), and for the hire of passenger motor vehicles, \$4,130,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 88-0305-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General .....	4	4	4
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1160 Appropriation, discretionary (total) .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-4	-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

The Office of Inspector General (OIG) provides independent audits and investigations and serves as an independent, internal advocate to promote economy, efficiency, and effectiveness at NARA. The Inspector General Act of 1978, as amended, established the OIG's independent role and general responsibilities. The Inspector General reports to the Archivist of the United States. The OIG evaluates NARA's performance, makes recommendations for improvements, and follows up to ensure economical, efficient, and effective operations and compliance with laws, policies, and regulations.

**Object Classification** (in millions of dollars)

Identification code 88-0305-0-1-804	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1

25.2	Other services from non-Federal sources .....	1	1	1
99.9	Total new obligations .....	4	4	4

**Employment Summary**

Identification code 88-0305-0-1-804	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	21	22	22

**ELECTRONIC RECORD ARCHIVES**

**Program and Financing** (in millions of dollars)

Identification code 88-0303-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Electronic records archives .....	5	20	.....
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	20	.....
1021 Recoveries of prior year unpaid obligations .....	24	.....	.....
1050 Unobligated balance (total) .....	25	20	.....
1930 Total budgetary resources available .....	25	20	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	53	15	10
3010 Obligations incurred, unexpired accounts .....	5	20	.....
3020 Outlays (gross) .....	-18	-25	-10
3040 Recoveries of prior year unpaid obligations, unexpired .....	-24	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	15	10	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	53	15	10
3200 Obligated balance, end of year .....	15	10	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	18	25	10
4190 Outlays, net (total) .....	18	25	10

**Object Classification** (in millions of dollars)

Identification code 88-0303-0-1-804	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1	10	.....
31.0 Equipment .....	4	10	.....
99.9 Total new obligations .....	5	20	.....

**REPAIRS AND RESTORATION**

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, \$8,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 88-0302-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	26	17	8

REPAIRS AND RESTORATION—Continued  
Program and Financing—Continued

Identification code 88-0302-0-1-804	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	34	17	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	9	8
1160 Appropriation, discretionary (total) .....	9	9	8
1930 Total budgetary resources available .....	43	26	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	23	11
3010 Obligations incurred, unexpired accounts .....	26	17	8
3020 Outlays (gross) .....	-21	-29	-17
3050 Unpaid obligations, end of year .....	23	11	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	23	11
3200 Obligated balance, end of year .....	23	11	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	9	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	6	6
4011 Outlays from discretionary balances .....	15	23	11
4020 Outlays, gross (total) .....	21	29	17
4180 Budget authority, net (total) .....	9	9	8
4190 Outlays, net (total) .....	21	29	17

This appropriation provides for the repair, alteration, and improvement of Archives facilities and Presidential Libraries nationwide. This appropriation allows NARA to maintain a safe environment for public visitors and researchers, NARA employees, and the permanently valuable Federal government records stored in NARA buildings.

Object Classification (in millions of dollars)

Identification code 88-0302-0-1-804	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1		
25.4 Operation and maintenance of facilities .....	2		
32.0 Land and structures .....	23	17	8
99.9 Total new obligations .....	26	17	8

NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION  
GRANTS PROGRAM

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, \$3,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 88-0301-0-1-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	5	5	3
0900 Total new obligations (object class 41.0) .....	5	5	3

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	3
1160 Appropriation, discretionary (total) .....	5	5	3
1930 Total budgetary resources available .....	6	6	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	12	9
3010 Obligations incurred, unexpired accounts .....	5	5	3
3020 Outlays (gross) .....	-10	-8	-9
3050 Unpaid obligations, end of year .....	12	9	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	12	9
3200 Obligated balance, end of year .....	12	9	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	
4011 Outlays from discretionary balances .....	9	7	9
4020 Outlays, gross (total) .....	10	8	9
4180 Budget authority, net (total) .....	5	5	3
4190 Outlays, net (total) .....	10	8	9

The National Historical Publications and Records Commission grants program provides for grants to preserve and publish non-Federal records that document American history. This appropriation supports core programs and initiatives in the form of grants that publish, preserve, and make accessible important historical documents.

RECORDS CENTER REVOLVING FUND  
Program and Financing (in millions of dollars)

Identification code 88-4578-0-4-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program .....	189	190	190
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	22	17
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	6	22	17
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	185	185	188
1701 Change in uncollected payments, Federal sources .....	20		
1750 Spending auth from offsetting collections, disc (total) .....	205	185	188
1930 Total budgetary resources available .....	211	207	205
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22	17	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	28	33
3010 Obligations incurred, unexpired accounts .....	189	190	190
3020 Outlays (gross) .....	-192	-185	-188
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	28	33	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-37	-37
3070 Change in uncollected pymts, Fed sources, unexpired .....	-20		
3090 Uncollected pymts, Fed sources, end of year .....	-37	-37	-37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	-9	-4
3200 Obligated balance, end of year .....	-9	-4	-2

**Budget authority and outlays, net:**

Discretionary:

	2012 actual	2013 CR	2014 est.
4000 Budget authority, gross .....	205	185	188
Outlays, gross:			
4010 Outlays from new discretionary authority .....	172	165	170
4011 Outlays from discretionary balances .....	20	20	18
4020 Outlays, gross (total) .....	192	185	188
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-183	-183	-186
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-185	-185	-188
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-20		
4080 Outlays, net (discretionary) .....	7		
4190 Outlays, net (total) .....	7		

The full cost recovery revolving fund provides for the storage and related services that NARA Records Centers provide to Federal agency customers. NARA Federal Records Centers provide low-cost, high-quality storage and related services, including: transfer, reference, re-file, and disposal services for temporary and pre-archival Federal government records.

**Object Classification** (in millions of dollars)

Identification code 88-4578-0-4-804	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	51	51	52
11.3 Other than full-time permanent .....	9	9	9
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	63	63	64
12.1 Civilian personnel benefits .....	18	18	18
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	3	3	3
23.1 Rental payments to GSA .....	44	45	44
23.2 Rental payments to others .....	11	11	11
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.1 Advisory and assistance services .....	3	3	3
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	13	13	13
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	14	14	14
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	9	9	9
99.9 Total new obligations .....	189	190	190

**Employment Summary**

Identification code 88-4578-0-4-804	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,382	1,384	1,384

**Trust Funds**

**NATIONAL ARCHIVES GIFT FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 88-8127-0-7-804	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			2
Receipts:			
0220 Gifts and Bequests, National Archives Gift Fund .....	5	11	3
0221 Interest and Dividends on Non-Federal Securities, National Archives Gift Fund .....	1	1	1
0222 Proceeds from Non-Federal Securities not Immediately Reinvested, National Archives Gift Fund .....	1	1	1
0299 Total receipts and collections .....	7	13	5
0400 Total: Balances and collections .....	7	13	7
Appropriations:			
0500 National Archives Gift Fund .....	-7	-11	-3
0799 Balance, end of year .....		2	4

**Program and Financing** (in millions of dollars)

Identification code 88-8127-0-7-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	7	12	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	7	11	3
1260 Appropriations, mandatory (total) .....	7	11	3
1930 Total budgetary resources available .....	10	14	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	2

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	4	2
3010 Obligations incurred, unexpired accounts .....	7	12	3
3020 Outlays (gross) .....	-4	-14	-3
3050 Unpaid obligations, end of year .....	4	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	4	2
3200 Obligated balance, end of year .....	4	2	2

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	7	11	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	11	3
4101 Outlays from mandatory balances .....		3	
4110 Outlays, gross (total) .....	4	14	3
4180 Budget authority, net (total) .....	7	11	3
4190 Outlays, net (total) .....	4	14	3

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	3	5	5
5001 Total investments, EOY: Federal securities: Par value .....	5	5	5
5010 Total investments, SOY: non-Fed securities: Market value .....	15	16	25
5011 Total investments, EOY: non-Fed securities: Market value .....	16	25	25

The National Archives Trust Fund Board may accept conditional and unconditional gifts or bequests of money, securities, or other personal property for the benefit of NARA activities. NARA receives endowments from private foundations to offset the operating costs of Presidential Libraries. FY 2013 receipts and outlays include a gift from the George W. Bush Foundation to establish an endowment pursuant to 44 U.S.C. 2112(g) providing for the maintenance of the new G.W. Bush Library facility.

**Object Classification** (in millions of dollars)

Identification code 88-8127-0-7-804	2012 actual	2013 CR	2014 est.
99.9 Total new obligations .....	7	12	3

**NATIONAL ARCHIVES TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 88-8436-0-8-804	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Sales .....	7	8	7
0802 Presidential libraries .....	9	9	9
0900 Total new obligations .....	16	17	16
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	9	9
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	7	9	9

NATIONAL ARCHIVES TRUST FUND—Continued  
Program and Financing—Continued

Identification code 88-8436-0-8-804	2012 actual	2013 CR	2014 est.
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	19	17	16
1801 Change in uncollected payments, Federal sources .....	-1		
1850 Spending auth from offsetting collections, mand (total) .....	18	17	16
1930 Total budgetary resources available .....	25	26	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 Obligations incurred, unexpired accounts .....	16	17	16
3020 Outlays (gross) .....	-16	-17	-16
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	2	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	18	17	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....	15	17	16
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	16	17	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-3	-1	
4123 Non-Federal sources .....	-16	-16	-16
4130 Offsets against gross budget authority and outlays (total) ....	-19	-17	-16
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1		
4170 Outlays, net (mandatory) .....	-3		
4190 Outlays, net (total) .....	-3		
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	6	9	9
5001 Total investments, EOY: Federal securities: Par value .....	9	9	9
5010 Total investments, SOY: non-Fed securities: Market value .....	13	16	16
5011 Total investments, EOY: non-Fed securities: Market value .....	16	16	16

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Object Classification (in millions of dollars)

Identification code 88-8436-0-8-804	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	4	5	5
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	5	6	6
12.1 Civilian personnel benefits .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	2	2	2
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	1	
33.0 Investments and loans .....	1	1	1
99.9 Total new obligations .....	16	17	16

Employment Summary

Identification code 88-8436-0-8-804	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	108	111	111

NATIONAL CAPITAL PLANNING COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, \$8,084,000: Provided, That \$21,268 may be used for official reception and representational expenses associated with hosting international visitors engaged in the planning and physical development of world capitals.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95-2500-0-1-451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	8	8	8
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1160 Appropriation, discretionary (total) .....	8	8	8
1930 Total budgetary resources available .....	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	8	8	8
3020 Outlays (gross) .....	-8	-8	-8
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	8	8
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	8	8	8
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	8	8	8

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal Government in the National Capital Region. Through its planning initiatives and review of development proposals, NCPC helps guide Federal development, preserving the Capital City's unique resources through study, analysis, and advance planning. In 2014, NCPC will work with the District of Columbia and its Federal and regional partners to promote development plans that support the Federal interest and contribute to the best urban design, transportation, and land-use scenarios for the National Capital Region. NCPC will continue to ensure that all Federal development in the region meets the highest design standards; review Federal plans for capital improvements in the region; and continue to develop long-range planning initiatives that are coordinated with Federal, State, local, and private business interests.

Object Classification (in millions of dollars)			
Identification code 95-2500-0-1-451	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
99.9 Total new obligations .....	8	8	8

Employment Summary			
Identification code 95-2500-0-1-451	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	38	43	41

Object Classification (in millions of dollars)			
Identification code 95-3500-0-1-506	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	2	2	2
99.9 Total new obligations .....	3	3	3

Employment Summary			
Identification code 95-3500-0-1-506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	12	12	13

## NATIONAL COUNCIL ON DISABILITY

### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, \$3,345,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 95-3500-0-1-506	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	3	3	3
0900 Total new obligations .....	3	3	3
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1160 Appropriation, discretionary (total) .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

The National Council on Disability (NCD), an independent federal agency, is composed of 15 members appointed by the President. Established under the Rehabilitation Act of 1973, as amended, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President, Congress, the Rehabilitation Services Administration, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies.

## NATIONAL CREDIT UNION ADMINISTRATION

### Federal Funds

#### OPERATING FUND

#### Program and Financing (in millions of dollars)

Identification code 25-4056-0-3-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Examination and supervision .....	153	165	175
0803 Administration .....	63	79	78
0804 Office of Inspector General .....	4	4	4
0900 Total new obligations .....	220	248	257
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	67	59
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	220	240	255
1801 Change in uncollected payments, Federal sources .....	34		
1850 Spending auth from offsetting collections, mand (total) .....	254	240	255
1930 Total budgetary resources available .....	287	307	314
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	67	59	57
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	27	27
3010 Obligations incurred, unexpired accounts .....	220	248	257
3020 Outlays (gross) .....	-216	-248	-257
3050 Unpaid obligations, end of year .....	27	27	27
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-38	-38
3070 Change in uncollected pymts, Fed sources, unexpired .....	-34		
3090 Uncollected pymts, Fed sources, end of year .....	-38	-38	-38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	-11	-11
3200 Obligated balance, end of year .....	-11	-11	-11
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	254	240	255
Outlays, gross:			
4100 Outlays from new mandatory authority .....	194	221	230
4101 Outlays from mandatory balances .....	22	27	27
4110 Outlays, gross (total) .....	216	248	257
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-130	-146	-151
4121 Interest on Federal securities .....		-1	-1
4123 Non-Federal sources .....	-1		
4124 Offsetting governmental collections .....	-89	-93	-103
4130 Offsets against gross budget authority and outlays (total) .....	-220	-240	-255
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-34		
4170 Outlays, net (mandatory) .....	-4	8	2
4190 Outlays, net (total) .....	-4	8	2

OPERATING FUND—Continued  
Program and Financing—Continued

Identification code 25-4056-0-3-373	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	52	56	48
5001 Total investments, EOY: Federal securities: Par value .....	56	48	46

The mission of the National Credit Union Administration (NCUA) is to facilitate the availability of credit union services to all eligible consumers, especially those of modest means, through an objective independent regulatory environment that protects credit union members. Credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident and productive purposes.

The NCUA, through its operating fund, conducts activities prescribed by the Federal Credit Union Act of 1934, as amended, which include: 1) chartering new Federal credit unions, 2) determining field of membership of Federal credit unions, 3) promulgating rules and regulations, 4) performing regulatory and safety and soundness examinations and 5) conducting administrative activities of the National Credit Union Share Insurance Fund (Share Insurance Fund).

The NCUA funds its activities through assessments levied on all federally chartered credit unions and through reimbursements from the Share Insurance Fund for its share of administrative activities. In 2012, NCUA chartered three new Federal credit unions, bringing the total number of Federal credit unions to 4,113 with total assets of more than \$534 billion. The Inspector General requests a 2014 budget of \$3.7 million.

Object Classification (in millions of dollars)

Identification code 25-4056-0-3-373	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	122	131	136
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	123	132	137
12.1 Civilian personnel benefits .....	41	48	50
21.0 Travel and transportation of persons .....	26	28	28
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.2 Other services from non-Federal sources .....	24	34	36
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	220	248	257

Employment Summary

Identification code 25-4056-0-3-373	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,189	1,257	1,257

CREDIT UNION SHARE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 25-4468-0-3-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Payments to the operating fund for services and facilities .....	130	145	147
0802 Other Administrative .....	40	3	3
0803 Working Capital .....	135	51	49
0804 Liquidation Expenses .....	386	256	247
0900 Total new obligations .....	691	455	446
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10,786	10,342	10,644

1010 Unobligated balance transfer to other accts [25-4477] .....	-279		
1050 Unobligated balance (total) .....	10,507	10,342	10,644
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	516	757	665
1801 Change in uncollected payments, Federal sources .....	10		
1850 Spending auth from offsetting collections, mand (total) .....	526	757	665
1930 Total budgetary resources available .....	11,033	11,099	11,309
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	10,342	10,644	10,863
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	8	8
3010 Obligations incurred, unexpired accounts .....	691	455	446
3020 Outlays (gross) .....	-694	-455	-446
3050 Unpaid obligations, end of year .....	8	8	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-71	-81	-81
3070 Change in uncollected pymts, Fed sources, unexpired .....	-10		
3090 Uncollected pymts, Fed sources, end of year .....	-81	-81	-81
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-60	-73	-73
3200 Obligated balance, end of year .....	-73	-73	-73

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	526	757	665
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	526	447	446
4101 Outlays from mandatory balances .....	168	8	
4110 Outlays, gross (total) .....	694	455	446
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4120 Federal sources .....	-11		
4121 Interest on Federal securities .....	-223	-193	-198
4123 Non-Federal sources .....	-164	-450	-416
4123 Non-Federal sources .....	-118	-114	-51
4130 Offsets against gross budget authority and outlays (total) ...	-516	-757	-665
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-10		
4170 Outlays, net (mandatory) .....	178	-302	-219
4190 Outlays, net (total) .....	178	-302	-219

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	10,733	10,297	10,599
5001 Total investments, EOY: Federal securities: Par value .....	10,297	10,599	10,818

Status of Guaranteed Loans (in millions of dollars)

Identification code 25-4468-0-3-373	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2131 Guaranteed loan commitments exempt from limitation .....	60	10	10
2150 Total guaranteed loan commitments .....	60	10	10
2199 Guaranteed amount of guaranteed loan commitments .....	60	10	10
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	5	60	10
2231 Disbursements of new guaranteed loans .....	60	10	10
2251 Repayments and prepayments .....	-5	-60	-10
2290 Outstanding, end of year .....	60	10	10
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	60	10	10

The primary purpose of the National Credit Union Share Insurance Fund is to provide insurance for deposits of member accounts (also known as insured member shares) in Federal credit unions and state-chartered credit unions that apply and qualify for insurance as authorized by Public Law 91-468. As of September 30, 2012, 6,888 natural person credit unions were insured by the Share Insurance Fund with insured member shares of \$832 billion, an increase of \$46 billion, or six percent, from 2011.

Following a cost allocation method to distribute costs of the National Credit Union Administration (NCUA) between its insurance and regulatory functions, the Share Insurance Fund reimburses the NCUA operating fund for its share of administrative costs. In 2012, the Share Insurance Fund paid reimbursements of \$130 million to the operating fund.

In response to financial distress, the Helping Families Save Their Homes Act of 2009 (P.L. 111-22) provided relief to credit union member institutions by: 1) a segregating losses of corporate credit unions into the Temporary Corporate Credit Union Stabilization Fund (Stabilization Fund) and providing a mechanism for assessing losses related to the corporate credit unions to member institutions over an extended period of time, 2) allowing a restoration plan to spread insurance premium assessments over a period of up to eight years if the equity ratio falls below 1.2 percent, 3) increasing the Share Insurance Funds borrowing authority to \$6 billion, and d) increasing the deposit insurance coverage to \$250,000 (made permanent by the Dodd Frank Wall Street Reform and Consumer Protection Act, P.L. 111-203).

Each insured credit union is required to deposit and maintain one percent of its insured member share accounts in the Share Insurance Fund. If the Share Insurance Fund equity ratio falls below 1.2 percent, the Board shall establish and implement a restoration plan that will restore the equity ratio in a period of not more than eight years. The equity ratio is calculated as the ratio of the contributed one percent deposit plus cumulative results of operations, excluding net cumulative unrealized gains and losses on investments, to the aggregate amount of the insured shares in all insured credit unions. The primary means for increasing the equity ratio is through insurance premiums to member credit unions. If the equity ratio increases above the normal operating level, which the Board has set at 1.3 percent, a distribution is paid. The FY 2012 actual includes a \$279 million distribution transfer to the Stabilization Fund. The FY 2013 budget does not reflect a distribution to the Stabilization Fund. Under 12 U.S.C. 1790e(c), distributions are paid to the Stabilization Fund when this fund has a loan from the U.S. Treasury.

**Object Classification** (in millions of dollars)

Identification code 25-4468-0-3-373	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
25.2 Other services from non-Federal sources .....	170	148	150
42.0 Insurance claims and indemnities .....	386	256	247
42.0 Insurance claims and indemnities .....	135	51	49
99.9 Total new obligations .....	691	455	446

**TEMPORARY CORPORATE CREDIT UNION STABILIZATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 25-4477-0-3-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Guarantee Payments .....	76	3,532	38
0002 Interest on borrowings .....	6	8	10
0003 Administrative .....	7	18	6
0900 Total new obligations .....	89	3,558	54
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6,046	3,282	1,325
1011 Unobligated balance transfer from other accts [25-4468] ....	279		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-3,500		
1050 Unobligated balance (total) .....	2,825	3,282	1,325

<b>Budget authority:</b>			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	300		
1440 Borrowing authority, mandatory (total) .....	300		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	544	1,603	874
1801 Change in uncollected payments, Federal sources .....	2	-2	
1825 Spending authority from offsetting collections applied to repay debt .....	-300		-700
1850 Spending auth from offsetting collections, mand (total) .....	246	1,601	174
1900 Budget authority (total) .....	546	1,601	174
1930 Total budgetary resources available .....	3,371	4,883	1,499
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,282	1,325	1,445

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,152	5	5
3010 Obligations incurred, unexpired accounts .....	89	3,558	54
3020 Outlays (gross) .....	-2,236	-3,558	-54
3050 Unpaid obligations, end of year .....	5	5	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-2	
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	2	
3090 Uncollected pymts, Fed sources, end of year .....	-2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,152	3	5
3200 Obligated balance, end of year .....	3	5	5

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	546	1,601	174
Outlays, gross:			
4100 Outlays from new mandatory authority .....	84	793	54
4101 Outlays from mandatory balances .....	2,152	2,765	
4110 Outlays, gross (total) .....	2,236	3,558	54
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....		-2	
4123 Non-Federal sources .....	-94	-809	-124
4123 Non-Federal sources .....	-80		
4123 Non-Federal sources .....	-6		
4124 Offsetting governmental collections .....	-364	-792	-750
4130 Offsets against gross budget authority and outlays (total) ...	-544	-1,603	-874
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2	2	
4160 Budget authority, net (mandatory) .....			-700
4170 Outlays, net (mandatory) .....	1,692	1,955	-820
4180 Budget authority, net (total) .....			-700
4190 Outlays, net (total) .....	1,692	1,955	-820

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	2,186	484	427
5001 Total investments, EOY: Federal securities: Par value .....	484	427	547

**Status of Direct Loans** (in millions of dollars)

Identification code 25-4477-0-3-373	2012 actual	2013 CR	2014 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	3,500	3,200	5,100
1231 Disbursements: Direct loan disbursements .....		1,900	
1251 Repayments: Repayments and prepayments .....	-300		-700
1290 Outstanding, end of year .....	3,200	5,100	4,400

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 25-4477-0-3-373	2012 actual	2013 CR	2014 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	35,500	28,150	24,650
2251 Repayments and prepayments .....	-7,350	-3,500	-3,650
2290 Outstanding, end of year .....	28,150	24,650	21,000
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	28,150	24,650	21,000

TEMPORARY CORPORATE CREDIT UNION STABILIZATION FUND—Continued

The Temporary Corporate Credit Union Stabilization Fund (Stabilization Fund) was created under the authority of the Helping Families Save Their Homes Act of 2009 (P.L. 111–22). The purposes of Stabilization Fund are to accrue the losses of the corporate credit union system and to provide for the assessment over time from federally insured credit unions for the recovery of such losses. The recovery of losses can be assessed to credit unions before funds are advanced through borrowings from the U.S. Treasury to pay such losses, as allowed under 12 U.S.C. 1790 (d). The Stabilization Fund has access to \$6 billion in borrowing authority, which is shared with the Share Insurance Fund under 12 U.S.C. 1783(d)(1). Total losses are projected to range from approximately \$6.0 to \$8.9 billion. Of these losses, federally insured credit unions have been assessed a total of \$4.1 billion. On June 18, 2009, the NCUA Board used its authority to legally obligate the Stabilization Fund for the costs of stabilizing the corporate credit union system. These actions included transferring the obligations of the Temporary Corporate Credit Union Liquidity Guarantee Program (Guarantee Program) to the Stabilization Fund, which were originally assigned to the Share Insurance Fund. The Guarantee Program was created in October 2008 to provide a guarantee on certain unsecured debt of participating corporate credit unions issued from October 16, 2008, through June 30, 2010, and maturing on or before June 30, 2017. The guarantee fee is priced to cover anticipated losses. This program ended in November 2012, when the last of the guaranteed notes matured. In September 2010, the NCUA Board approved the Corporate System Resolution Program, which set in motion actions to remove long-term threats to the corporate system. NCUA seized more than 98 percent of all impaired asset-backed securities and began an orderly disposition of conserved corporate credit unions. The plan resulted in securitizing cash flows from the impaired securities to raise liquidity, creating four (4) bridge corporate credit unions to effect the disposition of five (5) conserved corporate credit unions without interrupting service to customers and finalizing a new rule to ensure the remaining corporate credit unions operate with much stronger standards for safety and soundness. These actions, among other benefits, prevented disruption in service to consumer credit unions and their members. The budget reflects the implementation of the Corporate System Resolution Program, which results in system losses being paid for by federally insured credit unions over the life of the fund. Also in September 2010, NCUA extended the life of the Stabilization Fund, with the concurrence of the U.S. Treasury, through FY 2021. Accordingly, the Stabilization Fund is expected to sunset in FY 2021.

Object Classification (in millions of dollars)

Identification code 25–4477–0–3–373	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	7	18	6
42.0 Insurance claims and indemnities .....	76	3,532	38
43.0 Interest and dividends .....	6	8	10
99.9 Total new obligations .....	89	3,558	54

CENTRAL LIQUIDITY FACILITY

During fiscal year 2014, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by 12 U.S.C. 1795 et seq., shall be the amount authorized by section 307(a)(4)(A) of the Federal Credit Union Act (12 U.S.C. 1795f(a)(4)(A)): Provided, That administrative expenses of the Central Liquidity Facility in fiscal year 2014 shall not exceed \$1,250,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 25–4470–0–3–373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Administration .....	1	1	1
0803 Dividends on capital stock .....			3
0804 Stock redemption .....	157	1,850	
0809 Reimbursable program activities, subtotal .....	158	1,851	4
0900 Total new obligations .....	158	1,851	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,097	1,941	191
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash, CCU Guarantee Program) .....	4		
1800 Offsetting collections (interest) .....		1	4
1800 Collected (subscribed stock) .....		100	9
1801 Change in uncollected payments, Federal sources .....	–2		
1850 Spending auth from offsetting collections, mand (total) .....	2	101	13
1900 Budget authority (total) .....	2	101	13
1930 Total budgetary resources available .....	2,099	2,042	204
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,941	191	200
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	158	1,851	4
3020 Outlays (gross) .....	–159	–1,851	–4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2		
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	–1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	101	13
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	1	4
4101 Outlays from mandatory balances .....	157	1,850	
4110 Outlays, gross (total) .....	159	1,851	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	–4	–1	–4
4123 Non-Federal sources .....		–100	–9
4130 Offsets against gross budget authority and outlays (total) .....	–4	–101	–13
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	2		
4170 Outlays, net (mandatory) .....	155	1,750	–9
4190 Outlays, net (total) .....	155	1,750	–9
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,096	1,942	192
5001 Total investments, EOY: Federal securities: Par value .....	1,942	192	201

The purpose of the Central Liquidity Facility (CLF), established under Public Law 95–630, is to improve the general financial stability of credit unions by meeting their liquidity needs through short-term, seasonal and/or protracted adjustment credit. The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Through the recent economic crisis, CLF supported the credit union system with special liquidity programs designed to provide stability and confidence. The programs were instrumental in stabilizing liquidity in the corporate credit union system during the height of the financial crisis and gained NCUA important time to implement regulatory reforms and establish an orderly resolution of problems. On October 25, 2012, the conservator

for U.S. Central Bridge Federal Credit Union, the majority stockholder in the Facility, redeemed the credit unions stock in CLF in conjunction with its subsequent liquidation. The result of U.S. Central Bridges stock redemption was a reduction in CLFs total subscribed capital and surplus. This resulted in a reduction in CLFs total legal borrowing authority to an amount of approximately \$2.3 billion.

**Object Classification** (in millions of dollars)

Identification code 25-4470-0-3-373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources .....	1	1	1
43.0 Interest and dividends .....			3
44.0 Refunds .....	157	1,850	
99.9 Total new obligations .....	158	1,851	4

**Employment Summary**

Identification code 25-4470-0-3-373	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2	4	4

**CREDIT UNION SYSTEM INVESTMENT PROGRAM**

On December 9, 2008, the NCUA announced the Credit Union System Investment Program (SIP) to increase liquidity at corporate credit unions. Under SIP, NCUA's Central Liquidity Facility extended one-year credit advances to credit unions. Credit unions in turn invested those funds in corporate credit unions, providing a low cost source of liquidity for corporate credit unions that is guaranteed by the NCUA Temporary Corporate Credit Union Stabilization Fund. Under the program, \$8.2 billion was advanced. The program terminated in March 2010 when the last outstanding advances were repaid.

**CREDIT UNION HOMEOWNERS AFFORDABILITY RELIEF PROGRAM**

On December 9, 2008, the NCUA announced the Credit Union Homeowners Affordability Relief Program (HARP). Under HARP, NCUA's Central Liquidity Facility made one-year, secured credit advances to credit unions. Credit unions in turn invested those funds in a special corporate credit union note. These advances were renewable for a term of one-year. Credit unions that reduced mortgage rates for their members within program guidelines qualified for a bonus coupon payment from the corporate credit union. The NCUA Temporary Corporate Credit Union Stabilization Fund (TCCUSF) guarantees the special corporate credit union debt, including the bonus payment. Through September 30, 2010, \$164 million was advanced under this program. In December 2010, the remaining outstanding advance totaling \$96 million matured and the program terminated.

**COMMUNITY DEVELOPMENT REVOLVING LOAN FUND**

*For the Community Development Revolving Loan Fund program as authorized by 42 U.S.C. 9812, 9822 and 9910, \$1,127,650, shall be available until September 30, 2015, for technical assistance to low-income designated credit unions.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 25-4472-0-3-373	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Technical assistance .....	2	2	2
0801 Loans .....		3	5
0900 Total new obligations .....	2	5	7
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	13	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1160 Appropriation, discretionary (total) .....	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2		1
1850 Spending auth from offsetting collections, mand (total) .....	2		1
1900 Budget authority (total) .....	3	1	2
1930 Total budgetary resources available .....	15	14	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	9	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 Obligations incurred, unexpired accounts .....	2	5	7
3020 Outlays (gross) .....	-1	-5	-7
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	1
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	1	1	1
Mandatory:			
4090 Budget authority, gross .....	2		1
Outlays, gross:			
4100 Outlays from new mandatory authority .....			1
4101 Outlays from mandatory balances .....		4	5
4110 Outlays, gross (total) .....		4	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2		-1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	-1	5	6
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	8	13	9
5001 Total investments, EOY: Federal securities: Par value .....	13	9	4

**Status of Direct Loans** (in millions of dollars)

Identification code 25-4472-0-3-373	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	1	1	1
1150 Total direct loan obligations .....	1	1	1
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	4	1	4
1231 Disbursements: Direct loan disbursements .....		3	5
1251 Repayments: Repayments and prepayments .....	-3		-1
1290 Outstanding, end of year .....	1	4	8

The Community Development Revolving Loan Fund (CDRLF) was established by Congress under Section 130(e) of the Federal Credit Union Act with a \$6 million appropriation to enable low-income credit unions to: (1) provide financial services to their communities; (2) stimulate economic activities in their communities, resulting in increased income and employment; and (3) oper-

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND—Continued

ate more efficiently. CDRLF, comprised of a revolving loan program and a technical assistance program, provides funding to low-income credit unions. Since the initial loan program appropriation in 1979, Congress has appropriated an additional \$13.4 million for the revolving loan program and approximately \$9.1 million for the technical assistance program. Credit unions use the loan and technical assistance funds to increase financial services to their communities, including providing financial counseling, new loan products, and enhanced electronic services. As of September 30, 2012, the CDRLF's revolving loan portfolio had \$1.3 million in outstanding loans (18 loans outstanding to 18 credit unions). In FY 2012, CDRLF made 130 technical assistance awards totaling \$1,492,872 from the multi-year appropriations received. As of September 30, 2012, total assets in CDRLF, including interest earned and appropriations, were \$17.3 million.

Object Classification (in millions of dollars)

Identification code 25-4472-0-3-373	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2
Reimbursable obligations:			
33.0 Investments and loans .....	3	5	
99.0 Reimbursable obligations .....	3	5	
99.9 Total new obligations .....	2	5	7

NATIONAL ENDOWMENT FOR THE ARTS

Federal Funds

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, \$154,466,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts, including arts education and public outreach activities, through assistance to organizations and individuals pursuant to section 5 of the Act, for program support, and for administering the functions of the Act, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 59-0100-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the arts .....	117	120	128
0003 Program support .....	2	3	3
0004 Salaries and expenses .....	27	30	28
0005 Reimbursable Program .....	1	1	1
0900 Total new obligations .....	147	154	160
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	10	5
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	10	11	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	146	147	154
1160 Appropriation, discretionary (total) .....	146	147	154
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1701 Change in uncollected payments, Federal sources .....	-1	-1	-1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	147	148	155
1930 Total budgetary resources available .....	157	159	161

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	5	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	149	138	143
3010 Obligations incurred, unexpired accounts .....	147	154	160
3020 Outlays (gross) .....	-157	-148	-155
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	138	143	147
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-2	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	1	1
3090 Uncollected pymts, Fed sources, end of year .....	-2	-1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	146	136	142
3200 Obligated balance, end of year .....	136	142	147

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	147	148	155
Outlays, gross:			
4010 Outlays from new discretionary authority .....	48	46	48
4011 Outlays from discretionary balances .....	109	102	107
4020 Outlays, gross (total) .....	157	148	155
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1	1	1
4070 Budget authority, net (discretionary) .....	146	147	154
4080 Outlays, net (discretionary) .....	155	146	153
4180 Budget authority, net (total) .....	146	147	154
4190 Outlays, net (total) .....	155	146	153

The mission of the National Endowment for the Arts is to advance artistic excellence, creativity, and innovation for the benefit of individuals and communities. The Arts Endowment achieves its mission primarily through grant programs, special initiatives and honorific awards. The Arts Endowment supports these projects with public and private partners, including the State arts agencies and regional arts organizations. In 2014, the Arts Endowment will continue to implement *Our Town*, a uniquely arts-based program to strengthen communities of all sizes, and the *NEA/Walter Reed Healing Arts Partnership*, an arts partnership with the Department of Defense bringing creative arts therapy programs to patients at Walter Reed National Military Medical Center.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, also authorizes the Arts Endowment to receive money and other donated property; such gifts may be used, sold, or otherwise disposed of to support arts projects and activities. This presentation also includes the Arts and Artifacts Indemnity Fund, which the Arts Endowment administers on behalf of the Federal Council on the Arts and the Humanities.

Object Classification (in millions of dollars)

Identification code 59-0100-0-1-503	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	14	14	14
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	16	16	16
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	3	3	3
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	2	3	2
25.3 Other goods and services from Federal sources .....	1	3	1
25.8 Subsistence and support of persons .....	1	1	1
41.0 Grants, subsidies, and contributions .....	115	119	127
99.0 Direct obligations .....	145	152	157
99.0 Reimbursable obligations .....	1	1	1
99.5 Below reporting threshold .....	1	1	2

99.9	Total new obligations .....	147	154	160
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**Employment Summary**

Identification code 59-0100-0-1-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	158	164	162

**Trust Funds**

**GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 59-8040-0-7-503	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0220 Gifts and Donations, National Endowment for the Arts .....	1	1	1
0400 Total: Balances and collections .....	1	1	1
Appropriations:			
0500 Gifts and Donations, National Endowment for the Arts .....	-1	-1	-1
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 59-8040-0-7-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0102 Permanent authority .....		1	1
0900 Total new obligations (object class 99.5) .....		1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
1930 Total budgetary resources available .....	3	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....		1	1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1		1
5001 Total investments, EOY: Federal securities: Par value .....		1	1

only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 59-0200-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the humanities .....	120	123	129
0004 Administration .....	28	30	27
0900 Total new obligations .....	148	153	156
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	
1021 Recoveries of prior year unpaid obligations .....	2	2	2
1050 Unobligated balance (total) .....	6	6	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	146	147	154
1160 Appropriation, discretionary (total) .....	146	147	154
1900 Budget authority (total) .....	146	147	154
1930 Total budgetary resources available .....	152	153	156
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	151	146	142
3010 Obligations incurred, unexpired accounts .....	148	153	156
3020 Outlays (gross) .....	-151	-155	-153
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	146	142	143
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	151	146	142
3200 Obligated balance, end of year .....	146	142	143
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	146	147	154
Outlays, gross:			
4010 Outlays from new discretionary authority .....	62	74	77
4011 Outlays from discretionary balances .....	89	81	76
4020 Outlays, gross (total) .....	151	155	153
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total) .....	146	147	154
4190 Outlays, net (total) .....	151	155	153

The National Endowment for the Humanities (NEH) supports education, scholarship, and research and development in the humanities; preserves America's cultural and intellectual resources; and provides opportunities for all Americans to engage in learning in the humanities. In 2014, NEH will continue to support partnerships with state humanities councils; the strengthening of humanities teaching and learning in the nation's schools and institutions of higher education; basic research and original scholarship in the humanities; innovative use of digital information technology; efforts to preserve and increase access to books, U.S. newspapers, documents, and other reference materials; and museum exhibitions, documentary films, radio programming, and reading programs that reach millions of Americans. In 2014, NEH will also support a special initiative, "Bridging Cultures," that will enhance understanding of America's rich cultural heritage, as well as the cultural complexity of the world in which we live.

**NATIONAL ENDOWMENT FOR THE HUMANITIES**

**Federal Funds**

**GRANTS AND ADMINISTRATION**

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, \$154,465,000, to remain available until expended, of which \$143,215,000 shall be available for support of activities in the humanities, pursuant to section 7(c) of the Act and for administering the functions of the Act; and \$11,250,000 shall be available to carry out the matching grants program pursuant to section 10(a)(2) of the Act including \$8,850,000 for the purposes of section 7(h): Provided, That appropriations for carrying out section 10(a)(2) shall be available for obligation

GRANTS AND ADMINISTRATION—Continued

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include state humanities councils, educational institutions, libraries, archives, museums, historical organizations, and other scholarly and cultural institutions and organizations. Support is also provided to individuals for advanced research and scholarship in the humanities.

This presentation also includes the Gifts and Donations account. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

Object Classification (in millions of dollars)

Identification code 59-0200-0-1-503	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	16	15	15
12.1 Civilian personnel benefits .....	4	4	4
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	5	8	5
41.0 Grants, subsidies, and contributions .....	120	123	129
99.9 Total new obligations .....	148	153	156

Employment Summary

Identification code 59-0200-0-1-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	158	154	154
2001 Reimbursable civilian full-time equivalent employment .....	3	3	.....

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 59-8050-0-7-503	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
0220 Gifts and Donations, National Endowment for the Humanities .....	1	1	1
0400 Total: Balances and collections .....	1	1	1
Appropriations:			
0500 Gifts and Donations, National Endowment for the Humanities .....	-1	-1	-1
0799 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 59-8050-0-7-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the humanities .....	2	1	1
0900 Total new obligations (object class 41.0) .....	2	1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	.....	.....
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
1930 Total budgetary resources available .....	2	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	1	1

3010 Obligations incurred, unexpired accounts .....	2	1	1
3020 Outlays (gross) .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: Provided, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: Provided further, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses: Provided further, That the Chairperson of the National Endowment for the Arts may approve grants of up to \$10,000, if in the aggregate this amount does not exceed 5 percent of the sums appropriated for grantmaking purposes per year: Provided further, That such small grant actions are taken pursuant to the terms of an expressed and direct delegation of authority from the National Council on the Arts to the Chairperson.

NATIONAL INFRASTRUCTURE BANK

Federal Funds

NATIONAL INFRASTRUCTURE BANK PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95-3740-4-1-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	.....	.....	58
0702 Loan guarantee subsidy .....	.....	.....	18
0709 Administrative expenses .....	.....	.....	14
0900 Total new obligations .....	.....	.....	90
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	.....	10,000
1260 Appropriations, mandatory (total) .....	.....	.....	10,000
1930 Total budgetary resources available .....	.....	.....	10,000
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	9,910
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	90
3020 Outlays (gross) .....	.....	.....	-22
3050 Unpaid obligations, end of year .....	.....	.....	68
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	68
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	10,000
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	22
4180 Budget authority, net (total) .....	.....	.....	10,000
4190 Outlays, net (total) .....	.....	.....	22

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 95-3740-4-1-452	2012 actual	2013 CR	2014 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Infrastructure Direct Loans (Legislative Proposal)			500
115999 Total direct loan levels			500
<b>Direct loan subsidy (in percent):</b>			
132001 Infrastructure Direct Loans (Legislative Proposal)			11.57
132999 Weighted average subsidy rate			11.57
<b>Direct loan subsidy budget authority:</b>			
133001 Infrastructure Direct Loans (Legislative Proposal)			58
133999 Total subsidy budget authority			58
<b>Direct loan subsidy outlays:</b>			
134001 Infrastructure Direct Loans (Legislative Proposal)			6
134999 Total subsidy outlays			6
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Infrastructure Loan Guarantees (Legislative Proposal)			200
215999 Total loan guarantee levels			200
<b>Guaranteed loan subsidy (in percent):</b>			
232001 Infrastructure Loan Guarantees (Legislative Proposal)			8.85
232999 Weighted average subsidy rate			8.85
<b>Guaranteed loan subsidy budget authority:</b>			
233001 Infrastructure Loan Guarantees (Legislative Proposal)			18
233999 Total subsidy budget authority			18
<b>Guaranteed loan subsidy outlays:</b>			
234001 Infrastructure Loan Guarantees (Legislative Proposal)			2
234999 Total subsidy outlays			2
<b>Guaranteed loan downward reestimates:</b>			
<b>Administrative expense data:</b>			
3510 Budget authority			14
3590 Outlays from new authority			14

To direct Federal resources for infrastructure to projects that demonstrate the most merit and may be difficult to fund under the current patchwork of Federal programs, the President has called for the creation of an independent, non-partisan National Infrastructure Bank (NIB), led by infrastructure and financial experts. The NIB would offer broad eligibility and unbiased selection for transportation, water, and energy infrastructure projects. Projects would have a clear public benefit, meet rigorous economic, technical and environmental standards, and be backed by a dedicated revenue stream. Geographic, sector, and size considerations would also be taken into account. Interest rates on loans issued by the NIB would be indexed to United States Treasury rates, and the maturity could be extended up to 35 years, giving the NIB the ability to be a patient partner side-by-side with State, local, and private co-investors. To maximize leverage from Federal investments, the NIB would finance no more than 50 percent of the total costs of any project.

**Object Classification** (in millions of dollars)

Identification code 95-3740-4-1-452	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent			10
25.1 Advisory and assistance services			2
25.2 Other services from non-Federal sources			2
33.0 Investments and loans			76
99.9 Total new obligations			90

**Employment Summary**

Identification code 95-3740-4-1-452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment			50

**NATIONAL INFRASTRUCTURE BANK DIRECT LOAN FINANCING ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 95-4427-4-3-452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0710 Direct loan obligations			500
0900 Total new obligations			500
<b>Budgetary Resources:</b>			
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority			49
1440 Borrowing authority, mandatory (total)			49
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected			6
1850 Spending auth from offsetting collections, mand (total)			6
1900 Financing authority (total)			55
1930 Total budgetary resources available			55
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year			-445
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts			500
3020 Financing disbursements (gross)			-56
3050 Unpaid obligations, end of year			444
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year			444
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Financing authority, gross			55
<b>Financing disbursements:</b>			
4110 Financing disbursements, gross			56
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources			-6
4180 Financing authority, net (total)			49
4190 Financing disbursements, net (total)			50

**Status of Direct Loans** (in millions of dollars)

Identification code 95-4427-4-3-452	2012 actual	2013 CR	2014 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1131 Direct loan obligations exempt from limitation			500
1150 Total direct loan obligations			500
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year			
1231 Disbursements: Direct loan disbursements			56
1290 Outstanding, end of year			56

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records cash flows to and from the Government resulting from direct loans made from the National Infrastructure Bank. The amounts in this account are a means of financing and are not included in the budget totals.

**NATIONAL INFRASTRUCTURE BANK LOAN GUARANTEE FINANCING ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 95-4428-4-3-452	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Financing authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected			2

NATIONAL INFRASTRUCTURE BANK LOAN GUARANTEE FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 95-4428-4-3-452	2012 actual	2013 CR	2014 est.
1850 Spending auth from offsetting collections, mand (total) .....			2
1900 Financing authority (total) .....			2
1930 Total budgetary resources available .....			2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			2
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....			2
Offsets against gross financing authority and disbursements:			
4120 Offsetting collections (collected) from:			
4190 Federal sources .....			-2
4190 Financing disbursements, net (total) .....			-2

Status of Guaranteed Loans (in millions of dollars)

Identification code 95-4428-4-3-452	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation .....			200
2150 Total guaranteed loan commitments .....			200
2199 Guaranteed amount of guaranteed loan commitments .....			160
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			
2231 Disbursements of new guaranteed loans .....			22
2290 Outstanding, end of year .....			22
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			18

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records cash flows to and from the Government resulting from loans guarantees made from the National Infrastructure Bank. The amounts in this account are a means of financing and are not included in the budget totals.

NATIONAL LABOR RELATIONS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, and other laws, \$284,991,000: Provided, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, and as amended by the Labor-Management Relations Act, 1947, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 63-0100-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Field investigation .....	224	226	230
0002 Administrative law judge hearing .....	12	12	12

0003 Board adjudication .....	26	26	26
0004 Securing compliance with Board orders .....	15	15	16
0005 Internal Review .....	1	1	1
0900 Total new obligations .....	278	280	285

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	278	280	285
1160 Appropriation, discretionary (total) .....	278	280	285
1930 Total budgetary resources available .....	278	280	285

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	21	26
3010 Obligations incurred, unexpired accounts .....	278	280	285
3020 Outlays (gross) .....	-277	-275	-285
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	21	26	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	21	26
3200 Obligated balance, end of year .....	21	26	26

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	278	280	285
Outlays, gross:			
4010 Outlays from new discretionary authority .....	260	258	262
4011 Outlays from discretionary balances .....	17	17	23
4020 Outlays, gross (total) .....	277	275	285
4180 Budget authority, net (total) .....	278	280	285
4190 Outlays, net (total) .....	277	275	285

The Board resolves representation disputes in industry and also remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

PROGRAM STATISTICS

	2012 actual	2013 est.	2014 est.
Case intake:			
Unfair labor practice cases .....	21,622	21,700	21,700
Representation cases .....	2,646	2,700	2,700
Administrative law judges:			
Hearings closed .....	219	225	228
Decisions issued .....	207	210	211
Board adjudication:			
Contested Board decisions issued .....	277	279	280
Regional director decisions .....	169	169	169
Board decisions requiring court enforcement .....	81	85	92

**Field investigation.**—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Approximately 90–96 percent of merit unfair labor practice cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. About 85–90 percent of representation elections are held pursuant to agreement of the parties. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

**Administrative law judge hearing.**—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

**Board adjudication.**—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, require a Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional dir-

ector's decision. The Board also rules on objection and challenge questions in election cases.

**Securing compliance with Board orders.**—Unlike other federal agencies, Board orders are not self-enforcing in the absence of a timely petition to review. If the parties do not voluntarily comply with a Board order involving unfair labor practices, the Board must request that an appellate court enforce the decision.

**Object Classification** (in millions of dollars)

Identification code 63-0100-0-1-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	169	172	175
12.1 Civilian personnel benefits .....	45	45	46
21.0 Travel and transportation of persons .....	3	3	4
23.1 Rental payments to GSA .....	26	27	27
23.3 Communications, utilities, and miscellaneous charges .....	7	7	7
25.2 Other services from non-Federal sources .....	24	23	23
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	2	2
99.9 Total new obligations .....	278	280	285

**Employment Summary**

Identification code 63-0100-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,640	1,655	1,680

**NATIONAL MEDIATION BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

*For expenses necessary to carry out the provisions of the Railway Labor Act, including emergency boards appointed by the President, \$13,347,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2400-0-1-505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Mediator services .....	6	7	7
0002 Representation services .....	3	3	3
0003 Arbitration services .....	4	3	3
0900 Total new obligations .....	13	13	13
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
1160 Appropriation, discretionary (total) .....	13	13	13
1930 Total budgetary resources available .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	13	13	13
3020 Outlays (gross) .....	-13	-13	-13
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	12	12
4011 Outlays from discretionary balances .....	2	1	1

4020 Outlays, gross (total) .....	13	13	13
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	13	13	13

**Mediator and alternative dispute resolution (ADR) services.**—The National Mediation Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

	2012 actual	2013 est.	2014 est.
<b>Mediation &amp; ADR cases:</b>			
Pending, start of year .....	114	124	119
Received during year .....	85	85	80
Closed during year .....	75	90	80
Pending, end of year .....	124	119	119

**Employee Representation.**—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	2012 actual	2013 est.	2014 est.
<b>Representation cases:</b>			
Pending, start of year .....	2	7	5
Received during year .....	42	53	53
Closed during year .....	37	55	55
Pending, end of year .....	7	5	3
Freedom of Information Act (FOIA) requests received .....	25	26	27
Investigation cases closed .....	59	74	60

**Emergency disputes.**—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

	2012 actual	2013 est.	2014 est.
<b>Board created:</b>			
Emergency (sec. 160) .....	1	1	1
Emergency (sec. 159a) .....	0	1	1

**Arbitration services.**—Arbitration is governed by sections 3 and 7 of the Railway Labor Act. Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the NRAB are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry and for disputes otherwise referable to the NRAB. In these disputes, the National Mediation Board compensates the neutral party selected to help resolve these grievances.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	2012 actual	2013 est.	2014 est.
<b>Arbitration cases:</b>			
Pending, start of year .....	2,384	2,084	2,922
Received during year .....	3,569	4,659	4,659
Closed during year .....	3,869	3,821	3,821
Pending, end of year .....	2,084	2,922	3,760

**SALARIES AND EXPENSES—Continued**  
**Object Classification** (in millions of dollars)

Identification code 95-2400-0-1-505	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	7	6	6
11.8 Special personal services payments .....	2	2	2
11.9 Total personnel compensation .....	9	8	8
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
99.0 Direct obligations .....	13	12	12
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	13	13	13

**Employment Summary**

Identification code 95-2400-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	49	48	50

**NATIONAL RAILROAD PASSENGER CORPORATION OFFICE OF INSPECTOR GENERAL**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, \$25,300,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the National Railroad Passenger Corporation: Provided further, That the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, subject to the applicable laws and regulations that govern the obtaining of such services within the National Railroad Passenger Corporation: Provided further, That the Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the functions, powers, and duties of the Office of Inspector General, subject to the applicable laws and regulations that govern such selections, appointments, and employment within Amtrak: Provided further, That concurrent with the President's budget request for fiscal year 2014, the Inspector General shall submit to the House and Senate Committees on Appropriations a budget request for fiscal year 2014 in similar format and substance to those submitted by executive agencies of the Federal Government.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 48-2996-0-1-401	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to Amtrak IG .....	20	21	25
0900 Total new obligations (object class 41.0) .....	20	21	25
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	21	21	25

1160 Appropriation, discretionary (total) .....	21	21	25
1930 Total budgetary resources available .....	21	22	26
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	5	4
3010 Obligations incurred, unexpired accounts .....	20	21	25
3020 Outlays (gross) .....	-22	-22	-26
3050 Unpaid obligations, end of year .....	5	4	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	7	5	4
3200 Obligated balance, end of year .....	5	4	3

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	21	21	25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	16	21	25
4011 Outlays from discretionary balances .....	6	1	1
4020 Outlays, gross (total) .....	22	22	26
4180 Budget authority, net (total) .....	21	21	25
4190 Outlays, net (total) .....	22	22	26

The 2014 Budget requests \$25 million for the Office of Inspector General (OIG) within the National Railroad Passenger Corporation (Amtrak).

**NATIONAL TRANSPORTATION SAFETY BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902), \$103,027,000, of which not to exceed \$2,000 may be used for official reception and representation expenses. The amounts made available to the National Transportation Safety Board in this Act include amounts necessary to make lease payments on an obligation incurred in fiscal year 2001 for a capital lease.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-0310-0-1-407	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Policy and Direction .....	13	14	14
0002 Communications .....	6	6	6
0003 Aviation Safety .....	31	32	32
0004 Information Technology and Services .....	8	8	8
0005 Research and Engineering .....	12	12	12
0006 NTSB Training Center .....	1	1	1
0007 Administrative Law Judges .....	2	2	2
0008 Highway Safety .....	6	7	7
0009 Marine Safety .....	4	4	4
0010 Railroad, Pipeline, and Hazardous Materials Safety .....	8	9	9
0011 Administrative Support .....	12	8	8
0100 Sub-total, Direct obligations .....	103	103	103
0799 Total direct obligations .....	103	103	103
0806 Training Center .....	1	1	1
0811 Subleases .....	1	1	1
0899 Total reimbursable obligations .....	2	2	2
0900 Total new obligations .....	105	105	105
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	6	4	4

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	102	103	103
1160	Appropriation, discretionary (total) .....	102	103	103
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	2	2
1750	Spending auth from offsetting collections, disc (total) .....	2	2	2
1900	Budget authority (total) .....	104	105	105
1930	Total budgetary resources available .....	110	109	109
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	18	23	24
3010	Obligations incurred, unexpired accounts .....	105	105	105
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-99	-104	-104
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	23	24	25
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	18	23	24
3200	Obligated balance, end of year .....	23	24	25
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	104	105	105
Outlays, gross:				
4010	Outlays from new discretionary authority .....	86	84	84
4011	Outlays from discretionary balances .....	13	20	20
4020	Outlays, gross (total) .....	99	104	104
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	-1
4033	Non-Federal sources .....	-1	-1	-1
4040	Offsets against gross budget authority and outlays (total) ....	-2	-2	-2
4070	Budget authority, net (discretionary) .....	102	103	103
4080	Outlays, net (discretionary) .....	97	102	102
4180	Budget authority, net (total) .....	102	103	103
4190	Outlays, net (total) .....	97	102	102

The National Transportation Safety Board (NTSB) is an independent nonregulatory agency that promotes transportation safety by maintaining independence and objectivity; conducting objective, precise accident investigations and safety studies; performing fair and objective airman and mariner certification appeals; and advocating and promoting NTSB safety recommendations. The NTSB also provides assistance to victims of transportation accidents and their families.

In 2014, the Administration requests a total funding level of \$103 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

**Object Classification** (in millions of dollars)

Identification code 95-0310-0-1-407				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	46	48	48
11.3	Other than full-time permanent .....	2	3	3
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	50	53	53
12.1	Civilian personnel benefits .....	15	15	15
21.0	Travel and transportation of persons .....	3	4	4
23.1	Rental payments to GSA .....	9	9	9
23.2	Rental payments to others .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services from non-Federal sources .....	20	15	15
31.0	Equipment .....	3	4	4
99.0	Direct obligations .....	103	103	103
99.0	Reimbursable obligations .....	2	2	2
99.9	Total new obligations .....	105	105	105

**Employment Summary**

Identification code 95-0310-0-1-407				
2012 actual				
2013 CR				
2014 est.				
1001	Direct civilian full-time equivalent employment .....	412	426	423

**EMERGENCY FUND**

**Program and Financing** (in millions of dollars)

Identification code 95-0311-0-1-407				
2012 actual				
2013 CR				
2014 est.				
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	2	2
1930	Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	2	2

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and; therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2014.

**NEIGHBORHOOD REINVESTMENT CORPORATION**

**Federal Funds**

**PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION**

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), \$127,100,000: Provided, That in addition, \$77,000,000 shall be made available until expended to the Neighborhood Reinvestment Corporation for mortgage foreclosure mitigation activities, under the following terms and conditions:

(1) The Neighborhood Reinvestment Corporation ("NRC") shall make grants to counseling intermediaries approved by the Department of Housing and Urban Development (HUD) (with match to be determined by the NRC based on affordability and the economic conditions of an area; a match also may be waived by the NRC based on the aforementioned conditions) to provide mortgage foreclosure mitigation assistance primarily to States and areas with high rates of defaults and foreclosures to help eliminate the default and foreclosure of mortgages of owner-occupied single-family homes that are at risk of such foreclosure. Other than areas with high rates of defaults and foreclosures, grants may also be provided to approved counseling intermediaries based on a geographic analysis of the Nation by the NRC which determines where there is a prevalence of mortgages that are risky and likely to fail, including any trends for mortgages that are likely to default and face foreclosure. A State Housing Finance Agency may also be eligible where the State Housing Finance Agency meets all the requirements under this paragraph. A HUD-approved counseling intermediary shall meet certain mortgage foreclosure mitigation assistance counseling requirements, as determined by the NRC, and shall be approved by HUD or the NRC as meeting these requirements.

(2) Mortgage foreclosure mitigation assistance shall only be made available to homeowners of owner-occupied homes with mortgages in default or in danger of default. These mortgages shall likely be subject to a foreclosure action and homeowners will be provided such assistance that shall consist of activities that are likely to prevent foreclosures and result in the long-term affordability of the mortgage retained pursuant to such activity or another positive outcome for the homeowner. No funds made available under this paragraph may be provided directly to lenders or homeowners to discharge outstanding mortgage balances or for any other direct debt reduction payments.

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION—Continued

(3) *The use of Mortgage Foreclosure Mitigation Assistance by approved counseling intermediaries and State Housing Finance Agencies shall involve a reasonable analysis of the borrower's financial situation, an evaluation of the current value of the property that is subject to the mortgage, counseling regarding the assumption of the mortgage by another non-Federal party, counseling regarding the possible purchase of the mortgage by a non-Federal third party, counseling and advice of all likely restructuring and refinancing strategies or the approval of a work-out strategy by all interested parties.*

(4) *NRC may provide up to 15 percent of the total funds under this paragraph to its own charter members with expertise in foreclosure prevention counseling, subject to a certification by the NRC that the procedures for selection do not consist of any procedures or activities that could be construed as an unacceptable conflict of interest or have the appearance of impropriety.*

(5) *HUD-approved counseling entities and State Housing Finance Agencies receiving funds under this paragraph shall have demonstrated experience in successfully working with financial institutions as well as borrowers facing default, delinquency and foreclosure as well as documented counseling capacity, outreach capacity, past successful performance and positive outcomes with documented counseling plans (including post mortgage foreclosure mitigation counseling), loan workout agreements and loan modification agreements. NRC may use other criteria to demonstrate capacity in underserved areas.*

(6) *Of the total amount made available under this paragraph, up to \$3,000,000 may be made available to build the mortgage foreclosure and default mitigation counseling capacity of counseling intermediaries through NRC training courses with HUD-approved counseling intermediaries and their partners, except that private financial institutions that participate in NRC training shall pay market rates for such training.*

(7) *Of the total amount made available under this paragraph, up to 6 percent may be used for associated administrative expenses for the NRC to carry out activities provided under this section.*

(8) *Mortgage foreclosure mitigation assistance grants may include a budget for outreach and advertising, and training, as determined by the NRC.*

(9) *The NRC shall continue to report bi-annually to the House and Senate Committees on Appropriations as well as the Senate Banking Committee and House Financial Services Committee on its efforts to mitigate mortgage default.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 82–1300–0–1–451	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment for operations and grants .....	135	136	127
0002 Foreclosure Prevention .....	80	80	77
0900 Total new obligations (object class 41.0) .....	215	216	204
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	215	216	204
1160 Appropriation, discretionary (total) .....	215	216	204
1930 Total budgetary resources available .....	215	216	204
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	215	216	204
3020 Outlays (gross) .....	–215	–216	–204
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	215	216	204
Outlays, gross:			
4010 Outlays from new discretionary authority .....	215	216	204
4180 Budget authority, net (total) .....	215	216	204
4190 Outlays, net (total) .....	215	216	204

The Neighborhood Reinvestment Corporation (NRC), also known as "NeighborWorks America," was established by the Congress in 1978 as a community/public/private partnership providing financial support, technical assistance, and training for affordable housing and community-based revitalization efforts nationwide. Through its core activities, NRC supports more than 3,300 community development organizations across the United States, including more than 235 chartered community-based non-profit organizations that comprise the NeighborWorks network, through professional training and certification, symposiums, development and promotion of industry standards, and provision of information. NRC created its NeighborWorks Center for Foreclosure Solutions and since 2008 has administered the National Foreclosure Mitigation Counseling program. NRC receives both Federal and non-Federal funding to finance its program activities. The Budget proposes \$204 million for NRC: \$127 million for its operations and grants to network members, and \$77 million for foreclosure prevention counseling.

**Balance Sheet** (in millions of dollars)

Identification code 82–1300–0–1–451	2011 actual	2012 actual
<b>ASSETS:</b>		
Other Federal assets:		
1801 Cash and other monetary assets .....	14	14
1803 Property, plant and equipment, net .....	4	4
1999 Total assets .....	18	18
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	5	5
2207 Other .....	2	2
2999 Total liabilities .....	7	7
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	11	11
4999 Total liabilities and net position .....	18	18

**NORTHERN BORDER REGIONAL COMMISSION**

**Federal Funds**

NORTHERN BORDER REGIONAL COMMISSION

*For necessary expenses of the Northern Border Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, \$1,355,000, to remain available until expended: Provided, That such amounts shall be available for administrative expenses, notwithstanding section 15751(b) of title 40, United States Code.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95–3742–0–1–452	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Northern Border Regional Commission .....	1	1	1
0900 Total new obligations (object class 41.0) .....	1	1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1160 Appropriation, discretionary (total) .....	1	1	1
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1
3010	Obligations incurred, unexpired accounts .....	1	1
3020	Outlays (gross) .....	-1	-1
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	1
3200	Obligated balance, end of year .....	1	1
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross .....	1	1
Outlays, gross:			
4010	Outlays from new discretionary authority .....	1	1
4180	Budget authority, net (total) .....	1	1
4190	Outlays, net (total) .....	1	1

The Northern Border Regional Commission (NBRC), authorized by P.L. 110-234, was established as a Federal-State partnership to provide a comprehensive approach to addressing persistent economic distress in the northern border region. Covering portions of Maine, New Hampshire, New York, and Vermont, NBRC helps coordinate Federal efforts to develop the basic building blocks for economic development, such as transportation and basic public infrastructure, job skills training, and business development.

**Employment Summary**

Identification code 95-3742-0-1-452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

**NUCLEAR REGULATORY COMMISSION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including official representation expenses (not to exceed \$25,000), \$1,043,937,000, to remain available until expended: Provided, That revenues from licensing fees, inspection services, and other services and collections estimated at \$920,721,000 in fiscal year 2014 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: Provided further, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation estimated at not more than \$123,216,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 31-0200-0-1-276	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....		1	1
Receipts:			
0260 Nuclear Facility Fees, Nuclear Regulatory Commission .....	895	864	877
0261 Nuclear Facility Fees, Nuclear Regulatory Commission .....		51	54
0299 Total receipts and collections .....	895	915	931
0400 Total: Balances and collections .....	895	916	932
Appropriations:			
0500 Salaries and Expenses .....	-884	-905	-921
0501 Office of Inspector General .....	-10	-10	-10
0599 Total appropriations .....	-894	-915	-931
0799 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 31-0200-0-1-276	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Nuclear Reactor Safety .....	822	826	812
0005 Nuclear Materials and Waste Safety .....	203	239	232
0799 Total direct obligations .....	1,025	1,065	1,044
0801 Reimbursable program .....	9	10	10
0900 Total new obligations .....	1,034	1,075	1,054
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	48	62	45
1021 Recoveries of prior year unpaid obligations .....	14	14	14
1050 Unobligated balance (total) .....	62	76	59
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (General Fund) .....	143	128	123
1101 Appropriation (NRC receipts) .....	884	905	921
1160 Appropriation, discretionary (total) .....	1,027	1,033	1,044
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	12	11	11
1701 Change in uncollected payments, Federal sources .....	-5		
1750 Spending auth from offsetting collections, disc (total) .....	7	11	11
1900 Budget authority (total) .....	1,034	1,044	1,055
1930 Total budgetary resources available .....	1,096	1,120	1,114
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	62	45	60
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	359	302	297
3010 Obligations incurred, unexpired accounts .....	1,034	1,075	1,054
3020 Outlays (gross) .....	-1,077	-1,066	-1,058
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14	-14	-14
3050 Unpaid obligations, end of year .....	302	297	279
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired .....	5		
3090 Uncollected pymts, Fed sources, end of year .....	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	346	294	289
3200 Obligated balance, end of year .....	294	289	271
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,034	1,044	1,055
Outlays, gross:			
4010 Outlays from new discretionary authority .....	800	786	794
4011 Outlays from discretionary balances .....	277	280	264
4020 Outlays, gross (total) .....	1,077	1,066	1,058
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-5	-5
4033 Non-Federal sources .....	-8	-6	-6
4040 Offsets against gross budget authority and outlays (total) ...	-12	-11	-11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	5		
4070 Budget authority, net (discretionary) .....	1,027	1,033	1,044
4080 Outlays, net (discretionary) .....	1,065	1,055	1,047
4180 Budget authority, net (total) .....	1,027	1,033	1,044
4190 Outlays, net (total) .....	1,065	1,055	1,047

**Nuclear Reactor Safety.**—The Nuclear Reactor Safety program encompasses NRC efforts to license, regulate, and oversee civilian nuclear power and research and test reactors to ensure they are operated in a manner that adequately protects public health and safety and the environment. This program also provides high assurance of the physical security of facilities and contributes to the NRC's Safety and Security goals through activities of the Operating Reactors and New Reactors Business Lines. Activities include: licensing, oversight, rulemaking, international activities, homeland security, event response, and research. These efforts

SALARIES AND EXPENSES—Continued

include the safe and secure development of new power reactors from design, site approval, and construction to operational status.

**Nuclear Materials and Waste Safety.**—Nuclear Materials and Safety program encompasses the NRC's efforts to license, regulate, and oversee nuclear materials and waste in a manner that adequately protects public health and safety and the environment. This program provides high assurance of physical security of the most risk-significant materials and waste, and protection against radiological sabotage, theft, or diversion of nuclear materials. Through this program, the NRC regulates: uranium processing and fuel facilities; research and pilot facilities; nuclear materials users (medical, industrial, research, academic); spent fuel storage; spent fuel storage casks and transportation packaging; decontamination and decommissioning of facilities; and low-level and high-level radioactive waste. This program contributes to the NRC's Safety and Security goals through the activities of the Fuel Facilities, Nuclear Materials Users, Spent Fuel Storage and Transportation, and Decommissioning and Low-Level Waste Business Lines, which are responsible for regulating byproduct, source, and special nuclear materials.

Object Classification (in millions of dollars)

Identification code 31-0200-0-1-276	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	441	452	459
11.3 Other than full-time permanent .....	6	6	6
11.5 Other personnel compensation .....	11	12	12
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	459	471	478
12.1 Civilian personnel benefits .....	131	134	137
21.0 Travel and transportation of persons .....	27	30	28
22.0 Transportation of things .....	1	2	2
23.1 Rental payments to GSA .....	35	38	36
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	9	12	10
24.0 Printing and reproduction .....	1	2	2
25.2 Other services from non-Federal sources .....	180	182	180
25.3 Other goods and services from Federal sources .....	91	95	95
25.4 Operation and maintenance of facilities .....	6	9	9
25.7 Operation and maintenance of equipment .....	13	17	16
26.0 Supplies and materials .....	3	5	4
31.0 Equipment .....	20	22	21
32.0 Land and structures .....	22	24	22
41.0 Grants, subsidies, and contributions .....	25	20	2
99.0 Direct obligations .....	1,025	1,065	1,044
99.0 Reimbursable obligations .....	9	10	10
99.9 Total new obligations .....	1,034	1,075	1,054

Employment Summary

Identification code 31-0200-0-1-276	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,774	3,870	3,829
2001 Reimbursable civilian full-time equivalent employment .....	14	23	16

OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$11,105,000, to remain available until September 30, 2015: Provided, That revenues from licensing fees, inspection services, and other services and collections estimated at \$9,994,000 in fiscal year 2014 shall be retained and be available until September 30, 2015, for necessary salaries and expenses in this account, notwithstanding section 3302 of title 31, United States Code: Provided further, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation estimated at not more than \$1,111,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 31-0300-0-1-276	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Inspector General .....	11	11	11
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1101 Appropriation (special or trust fund) .....	10	10	10
1160 Appropriation, discretionary (total) .....	11	11	11
1930 Total budgetary resources available .....	12	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	11	11	11
3020 Outlays (gross) .....	-10	-11	-11
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11	11	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	9	9
4011 Outlays from discretionary balances .....		2	2
4020 Outlays, gross (total) .....	10	11	11
4180 Budget authority, net (total) .....	11	11	11
4190 Outlays, net (total) .....	10	11	11

In accordance with the Inspector General Act of 1978, as amended, the OIG's mission is to (1) independently and objectively conduct and supervise audits and investigations related to NRC programs and operations, (2) prevent and detect fraud, waste, and abuse, and (3) promote economy, efficiency and effectiveness in the NRC programs and operations. The OIG carries out its mission through its Audit and Investigations Programs.

Object Classification (in millions of dollars)

Identification code 31-0300-0-1-276	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	7	7
12.1 Civilian personnel benefits .....	3	3	3
25.2 Other services from non-Federal sources .....	2	1	1
99.9 Total new obligations .....	11	11	11

**Employment Summary**

Identification code 31-0300-0-1-276	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	58	58	58

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
31-32000 All Other General Fund Proprietary Receipts Including Budget			
Clearing Accounts .....		1	1
General Fund Offsetting receipts from the public .....		1	1

**NUCLEAR WASTE TECHNICAL REVIEW BOARD**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, \$3,400,000 to be derived from the Nuclear Waste Fund, and to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 48-0500-0-1-271	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Technical and scientific activities .....	3	3	3
0900 Total new obligations .....	3	3	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	3	3	3
1160 Appropriation, discretionary (total) .....	3	3	3
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

As mandated by the Nuclear Waste Policy Amendments Act of 1987, the Nuclear Waste Technical Review Board (Board) evaluates the technical and scientific validity of all activities undertaken by the Department of Energy (DOE) related to the manage-

ment and disposition of spent nuclear fuel and high level radioactive waste. The purpose of the Board is to provide independent expert advice to DOE and the Congress on technical issues and to review DOE's efforts to implement the Nuclear Waste Policy Act. The Board must report its findings, conclusions and recommendations at least two times per year to Congress and the Secretary of Energy.

**Object Classification** (in millions of dollars)

Identification code 48-0500-0-1-271	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	3	3	3

**Employment Summary**

Identification code 48-0500-0-1-271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	12	12	13

**OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission, \$12,634,830.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-2100-0-1-554	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Commission review .....	5	5	5
0002 Administrative law judge determinations .....	4	5	6
0003 Executive direction .....	2	2	2
0900 Total new obligations .....	11	12	13
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	12	13
1160 Appropriation, discretionary (total) .....	12	12	13
1930 Total budgetary resources available .....	12	13	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	4
3010 Obligations incurred, unexpired accounts .....	11	12	13
3020 Outlays (gross) .....	-11	-10	-12
3050 Unpaid obligations, end of year .....	2	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	4
3200 Obligated balance, end of year .....	2	4	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	12	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	10	11
4011 Outlays from discretionary balances .....	1		1

**SALARIES AND EXPENSES—Continued**  
**Program and Financing—Continued**

Identification code 95-2100-0-1-554	2012 actual	2013 CR	2014 est.
4020 Outlays, gross (total) .....	11	10	12
4180 Budget authority, net (total) .....	12	12	13
4190 Outlays, net (total) .....	11	10	12

The Occupational Safety and Health Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

**Object Classification (in millions of dollars)**

Identification code 95-2100-0-1-554	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	7	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	2
99.0 Direct obligations .....	10	10	12
99.5 Below reporting threshold .....	1	2	1
99.9 Total new obligations .....	11	12	13

**Employment Summary**

Identification code 95-2100-0-1-554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	59	65	69

**OFFICE OF GOVERNMENT ETHICS**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, the Ethics Reform Act of 1989, and the STOCK Act of 2012, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, \$15,325,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 95-1100-0-1-805	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	14	19	15
0801 Reimbursable program activity .....	1	1	1
0900 Total new obligations .....	14	20	16
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	14	19	15
1160 Appropriation, discretionary (total) .....	14	19	15
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	14	20	16
1930 Total budgetary resources available .....	14	20	16

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010 Obligations incurred, unexpired accounts .....	14	20	16
3020 Outlays (gross) .....	-14	-20	-16
3050 Unpaid obligations, end of year .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	3	3
3200 Obligated balance, end of year .....	3	3	3

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	14	20	16
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	12	18	14
4011 Outlays from discretionary balances .....	2	2	2
4020 Outlays, gross (total) .....	14	20	16
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	14	19	15
4190 Outlays, net (total) .....	14	19	15

The United States Office of Government Ethics (OGE) was established by the Ethics in Government Act of 1978 (EIGA) to provide direction and oversight of, and accountability for, policies designed to prevent and resolve conflicts of interest involving Executive Branch officers and employees. OGE is charged with promoting high ethical standards for Executive Branch employees. To carry out these responsibilities, OGE promulgates and maintains enforceable regulations governing ethical conduct for approximately four million civilian employees and uniformed service members serving in more than 130 Executive Branch agencies as well as the White House. OGE oversees two financial disclosure systems. The first covers more than 28,000 public financial disclosure reports in a system that reaches, among others, the 1,200 most senior officials in the Executive Branch, appointed by the President with the advice and consent of the Senate, who must be certified by the OGE Director as being in compliance with all applicable ethics laws. The second, a confidential financial disclosure report system, reaches another 325,000 employees who serve in less senior positions but who nonetheless have responsibilities that create a higher risk for conflict of interest. OGE exercises its oversight responsibilities by reviewing and evaluating agency ethic programs across the Executive Branch to ensure compliance with the conflict of interest laws and ethics regulations and to enhance agencies' abilities to meet ethics program requirements. The agency also provides education and training to nearly 6,000 ethics officials throughout the Executive Branch to help ensure that the services provided to employees are current and informed. OGE promotes good governance through mutually informative interactions with the private sector, non-profit groups, and the general public, as well as by sharing good practices with and providing technical assistance to state, local, and foreign governments, and international organizations.

**Object Classification (in millions of dollars)**

Identification code 95-1100-0-1-805	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	8	9	8
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	9	10	9
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	4	4	2
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Direct obligations .....	14	19	15
99.0 Reimbursable obligations .....	1	1	1

99.9	Total new obligations .....	14	20	16
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**Employment Summary**

Identification code 95-1100-0-1-805	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	71	82	82

**OFFICE OF NAVAJO AND HOPI INDIAN  
RELOCATION**

**Federal Funds**

SALARIES AND EXPENSES

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, \$8,100,000, to remain available until expended, pursuant to 25 U.S.C. 640d-10.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 48-1100-0-1-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operation of relocation office .....	5	5	5
0003 Relocation payments (housing) .....	3	3	3
0900 Total new obligations .....	8	8	8
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1160 Appropriation, discretionary (total) .....	8	8	8
1930 Total budgetary resources available .....	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 Obligations incurred, unexpired accounts .....	8	8	8
3020 Outlays (gross) .....	-9	-8	-8
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	6	6
4011 Outlays from discretionary balances .....	1	2	2
4020 Outlays, gross (total) .....	9	8	8
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	9	8	8

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93-531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

**Object Classification** (in millions of dollars)

Identification code 48-1100-0-1-808	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
32.0 Land and structures .....	3	3	3
99.9 Total new obligations .....	8	8	8

**Employment Summary**

Identification code 48-1100-0-1-808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	39	39	39

**OFFICE OF SPECIAL COUNSEL**

**Federal Funds**

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95-454), the Whistleblower Protection Act of 1989 (Public Law 101-12), as amended Public Law 107-304, the Whistleblower Protection Enhancement Act of 2012 (Public Law 112-199), and the Uniformed Services Employment and Reemployment Rights Act of 1994 (Public Law 103-353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; \$20,639,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 62-0100-0-1-805	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Investigation and prosecution of reprisals for whistle blowing .....	19	20	21
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	19	20	21
1160 Appropriation, discretionary (total) .....	19	20	21
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	20	20	21
1930 Total budgetary resources available .....	20	21	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	4
3010 Obligations incurred, unexpired accounts .....	19	20	21
3020 Outlays (gross) .....	-20	-18	-20
3050 Unpaid obligations, end of year .....	2	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	4
3200 Obligated balance, end of year .....	2	4	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	20	20	21
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	18	19

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 62-0100-0-1-805	2012 actual	2013 CR	2014 est.
4011 Outlays from discretionary balances .....	2	.....	1
4020 Outlays, gross (total) .....	20	18	20
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	-1	.....	.....
4180 Budget authority, net (total) .....	19	20	21
4190 Outlays, net (total) .....	19	18	20

The Office of Special Counsel (OSC): 1) investigates Federal employee and applicant allegations of prohibited personnel practices (including reprisal for whistleblowing) and other activities prohibited by civil service law, and when appropriate, prosecutes before the Merit Systems Protection Board (MSPB); 2) provides a safe channel for whistleblowing by Federal employees and applicants; 3) investigates and enforces the Uniform Services Employment and Reemployment Rights Act (USERRA); and 4) advises on and enforces the Hatch Act. OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation. OSC then submits a report to the Congress and the President when appropriate.

Overall in 2012 a record 4,796 cases were submitted to OSC for assistance or action by Federal employees and other persons, an increase of 19 percent over the previous year. Of this total, 2,969 were prohibited personnel practice cases, an increase of almost 15 percent from the prior year. In 2012, OSC resolved 4,374 matters, 11 percent more than it had just two years prior, and more than any one-year in the agency's 35-year history. At the same time, OSC also obtained 159 favorable actions on behalf of whistleblowers and the merit system, an 89 percent increase over the prior year, and an all-time high. OSC also obtained 34 corrective actions in response to Hatch Act complaints of prohibited political activity in the public workplace, and provided guidance in response to thousands of requests for assistance during an election year.

During 2012, OSC further increased its efficiency and capacity for resolving cases by enhancing its mediation program. A record 18 cases were successfully resolved through alternative dispute resolution, an alternative to costly and time-consuming investigations. During 2012, OSC's Disclosure Unit, which processed and closed a record 1,053 whistleblower disclosures of fraud, waste and abuse, referred 36 disclosures to the President and Congress—an increase of 63% over the prior year. OSC also received a record 176 USERRA cases in 2012, and achieved employment relief or other corrective action on behalf of returning service members in approximately 25% of resolved cases.

Case type	Case Received 2012	Case Process 2012
Prohibited personnel practice complaints .....	2,969	2,750
Hatch Act complaints .....	503	449
Whistleblower disclosures .....	1,148	1,053
USERRA cases .....	176	122
Totals .....	4,796	4,374

For 2013, OSC projects intakes for whistleblower disclosure, Hatch Act, and prohibited personnel practice cases will increase 14 percent, on top of the 19% increase in FY 2012. OSC's caseload will continue to increase in light of Congressional enactment of the Whistleblower Protection Enhancement Act, which passed on November 28, 2012. This law expands OSC's jurisdiction to investigate allegations of whistleblower reprisal, and places new mandates on OSC to investigate and correct instances of retaliation in the Federal government. Successful implementation of

the law and strong protections for whistleblowers will help to curb instances of waste, fraud, and abuse in government operations.

Overall, the funding requested for 2014 will enable OSC to implement new mandates from Congress, including the Whistleblower Protection Enhancement Act, protect the employment rights of returning service members, manage historically high intake levels, and protect the federal merit system from prohibited personnel and political practices.

Object Classification (in millions of dollars)

Identification code 62-0100-0-1-805	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	13	13	13
12.1 Civilian personnel benefits .....	4	3	4
23.1 Rental payments to GSA .....	1	2	2
25.2 Other services from non-Federal sources .....	1	2	2
99.9 Total new obligations .....	19	20	21

Employment Summary

Identification code 62-0100-0-1-805	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	114	109	120

OFFICE OF THE FEDERAL COORDINATOR FOR  
ALASKA NATURAL GAS TRANSPORTATION  
PROJECTS

Federal Funds

OFFICE OF THE FEDERAL COORDINATOR FOR ALASKA NATURAL GAS  
TRANSPORTATION PROJECTS

For necessary expenses for the Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects pursuant to the Alaska Natural Gas Pipeline Act of 2004, \$1,000,000, to remain available until expended: Provided, That any fees, charges, or commissions received pursuant to section 802 of Public Law 110-140 in fiscal year 2014 in excess of \$2,402,000 shall not be available for obligation until appropriated in a subsequent Act of Congress.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-2850-0-1-271	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
0220 Fees, Charges, and Commissions .....	.....	2	2
0400 Total: Balances and collections .....	.....	2	2
Appropriations:			
0500 Office of the Federal Coordinator for Alaska Natural Gas Transportation .....	.....	-2	-2
0799 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95-2850-0-1-271	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Coordination and review .....	3	3	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	.....	.....

**OTHER COMMISSIONS AND BOARDS**

**Federal Funds**

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD  
SALARIES AND EXPENSES

*For necessary expenses for the Commission for the Preservation of America's Heritage Abroad, \$690,150, as authorized by section 1303 of Public Law 99-83.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-9911-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	1	1
0900 Total new obligations (object class 25.2) .....	1	1	1
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1160 Appropriation, discretionary (total) .....	1	1	1
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

The Other Commissions and Boards account presents data on small independent commissions and other entities on a consolidated basis.

This consolidated account includes the request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve cultural sites associated with the foreign heritage of Americans by identifying properties, negotiating U.S. agreements with foreign governments, and facilitating private restoration, preservation, and memorialization efforts.

**Employment Summary**

Identification code 95-9911-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		1	3

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1160 Appropriation, discretionary (total) .....	1	1	1
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		2	2
1260 Appropriations, mandatory (total) .....		2	2
1900 Budget authority (total) .....	1	3	3
1930 Total budgetary resources available .....	3	3	3

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-2	-3	-3
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	2	1	1
Mandatory:			
4090 Budget authority, gross .....		2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	2
4180 Budget authority, net (total) .....	1	3	3
4190 Outlays, net (total) .....	2	3	3

The Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects (OFC), established by Public Law 108-324, is an independent agency in the Executive Branch, pursuant to the Alaska Natural Gas Pipeline Act of 2004. The Federal Coordinator is responsible for coordinating all Federal activities for an Alaska natural gas transportation project, including joint surveillance and monitoring with the State of Alaska during construction of a project and for one year following the completion of the project. An Alaska natural gas transportation project could deliver significant natural gas supply to the U.S. lower 48 states.

The five main roles of the OFC are: (1) coordinate the expeditious discharge of all activities by all Federal agencies with respect to an Alaska natural gas transportation project; (2) ensure compliance of a project with either ANGPA or ANGTA; (3) ensure that implementation or enforcement actions do not exceed the limitations established in ANGPA; (4) provide a liaison function to ensure adequate communication with Congress, State of Alaska, Federal and Canadian agencies; and (5) enter into a joint surveillance and monitoring agreement with the State of Alaska for the purpose of monitoring the construction of the Project.

**Object Classification** (in millions of dollars)

Identification code 95-2850-0-1-271	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations .....	3	3	3

**Employment Summary**

Identification code 95-2850-0-1-271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	8	4	4

**PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND**

*Federal Funds*

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 95-1299-0-1-552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 General Fund Payment .....	150	150	150
0900 Total new obligations (object class 94.0) .....	150	150	150
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	150	150	150
1260 Appropriations, mandatory (total) .....	150	150	150
1930 Total budgetary resources available .....	150	150	150
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	150	150	150
3020 Outlays (gross) .....	-150	-150	-150
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	150	150	150
Outlays, gross:			
4100 Outlays from new mandatory authority .....	150	150	150
4180 Budget authority, net (total) .....	150	150	150
4190 Outlays, net (total) .....	150	150	150

This fund exists for issuance of general fund appropriations to the Patient-Centered Outcomes Research Trust Fund. In accordance with Public Law 111-148, annual appropriations will continue through 2019.

**Trust Funds**

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-8299-0-7-552	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Fees on Health Insurance and Self-insured Health Plans, PCORTF .....		178	365
0240 Interest Received by Trust Funds, PCORTF .....			1
0241 Payment from the General Fund, Patient-Centered Outcomes Research Trust Fund .....	150	150	150
0242 Transfers from FHI Trust Fund, PCORTF .....		25	50
0243 Transfers from FSMI Trust Fund, PCORTF .....		27	57
0299 Total receipts and collections .....	150	380	623
0400 Total: Balances and collections .....	150	380	623
Appropriations:			
0500 Patient-Centered Outcomes Research Trust Fund .....	-150	-380	-623
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 95-8299-0-7-552	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Payment to PCORI .....	120	304	498
0002 Transfer to HHS .....	30	76	125
0799 Total direct obligations .....	150	380	623
0801 Reimbursable Collections .....		120	
0900 Total new obligations .....	150	500	623

**Budgetary Resources:**

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	150	380	623
1260 Appropriations, mandatory (total) .....	150	380	623
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		120	
1850 Spending auth from offsetting collections, mand (total) .....		120	
1900 Budget authority (total) .....	150	500	623
1930 Total budgetary resources available .....	150	500	623

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	41		349
3010 Obligations incurred, unexpired accounts .....	150	500	623
3020 Outlays (gross) .....	-191	-151	-325
3050 Unpaid obligations, end of year .....		349	647
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	41		349
3200 Obligated balance, end of year .....		349	647

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	150	500	623
Outlays, gross:			
4100 Outlays from new mandatory authority .....	150	151	220
4101 Outlays from mandatory balances .....	41		105
4110 Outlays, gross (total) .....	191	151	325
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....		-120	
4180 Budget authority, net (total) .....	150	380	623
4190 Outlays, net (total) .....	191	31	325

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	41		349
5001 Total investments, EOY: Federal securities: Par value .....		349	646

Public Law 111-148 authorized the establishment of the Patient-Centered Outcomes Research Trust Fund (PCORTF) to receive amounts from general fund appropriations, fees on health insurance and self-insured plans, transfers from the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds, and interest earned on investments. Amounts appropriated or credited to the PCORTF are available to the Patient-Centered Outcomes Research Institute and the Secretary of Health and Human Services for carrying out part D of Title XI of the Social Security Act and section 937 of the Public Health Service Act, respectively.

**Object Classification** (in millions of dollars)

Identification code 95-8299-0-7-552	2012 actual	2013 CR	2014 est.
94.0 Direct obligations: Financial transfers .....	150	380	623
99.0 Reimbursable obligations .....		120	
99.9 Total new obligations .....	150	500	623

**POSTAL SERVICE**

*Federal Funds*

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, \$70,751,000 which shall not be available for obligation until October 1, 2014: Provided, That mail for overseas voting and mail for the blind shall continue to be free: Provided further, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an ad-

dress of a postal customer: Provided further, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices .

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 18–1001–0–1–372	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0004 Advance Appropriation from the previous year .....	74	78	78
0900 Total new obligations (object class 41.0) .....	74	78	78
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
Advance appropriations, discretionary:			
1170 Advance appropriation .....	74	78	78
1180 Advanced appropriation, discretionary (total) .....	74	78	78
1900 Budget authority (total) .....	74	78	78
1930 Total budgetary resources available .....	74	78	78
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	74	78	78
3020 Outlays (gross) .....	–74	–78	–78
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	74	78	78
Outlays, gross:			
4010 Outlays from new discretionary authority .....	74	78	78
4180 Budget authority, net (total) .....	74	78	78
4190 Outlays, net (total) .....	74	78	78

The Budget reflects \$78,153,000 for Payment to the Postal Service Fund in 2014. This amount represents an advance appropriation from 2013 for the 2013 costs and the 2010 reconciliation adjustment for free mail for the blind and overseas voting. These resources were made available to the U.S. Postal Service in 2013 (pursuant to P.L. 112–175, the Consolidated Appropriations Resolution, 2013).

In addition, the Budget proposes \$70,751,000 as an advance appropriation for 2015 for the 2014 costs (\$71,800,000) and the 2011 reconciliation adjustment (–\$1,049,000) for actual mail volume of free mail for the blind and overseas voting costs.

Pursuant to Public Law 93–328, the 2014 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$63,235,000. This amount includes \$64,284,000 requested for free mail for the blind and overseas voting and –\$1,049,000 as reconciliation adjustment for 2011 actual mail volume of free mail for the blind and overseas voting.

**POSTAL SERVICE FUND**

**Program and Financing** (in millions of dollars)

Identification code 18–4020–0–3–372	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Postal field operations .....	47,805	46,302	45,460
0802 Transportation .....	6,630	6,650	6,906
0803 Building occupancy .....	1,906	1,922	1,946
0804 Supplies and services .....	2,328	2,498	2,386
0805 Research and development .....	17	17	17
0806 Administration and area operations .....	8,400	12,234	12,469
0807 Interest .....	191	193	195
0808 Servicewide expenses .....	115	116	117
0809 Reimbursable program activities, subtotal .....	67,392	69,932	69,496

0810 Capital Investment .....	673	1,000	2,334
0900 Total new obligations .....	68,065	70,932	71,830

<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		256	
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2,000		
1440 Borrowing authority, mandatory (total) .....	2,000		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		257	255
1710 Transferred to other accounts [18–0100] .....		–241	–241
1710 Transferred to other accounts [18–0200] .....		–14	–14
1750 Spending auth from offsetting collections, disc (total) .....		2	
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	66,576	64,284	64,642
1810 Spending authority from offsetting collections transferred to other accounts [18–0100] .....	–241		
1810 Spending authority from offsetting collections transferred to other accounts [18–0200] .....	–14		
1850 Spending auth from offsetting collections, mand (total) .....	66,321	64,284	64,642
1900 Budget authority (total) .....	68,321	64,286	64,642
1930 Total budgetary resources available .....	68,321	64,542	64,642
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	256		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	671		–1,269
3010 Obligations incurred, unexpired accounts .....	68,065	70,932	71,830
3020 Outlays (gross) .....	–68,736	–72,201	–71,623
3050 Unpaid obligations, end of year .....		–1,269	–1,062
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	671		–1,269
3200 Obligated balance, end of year .....		–1,269	–1,062

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		2	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	
Mandatory:			
4090 Budget authority, gross .....	68,321	64,284	64,642
Outlays, gross:			
4100 Outlays from new mandatory authority .....	68,065	72,199	71,623
4101 Outlays from mandatory balances .....	671		
4110 Outlays, gross (total) .....	68,736	72,199	71,623
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	–891	–901	–861
4121 Interest on Federal securities .....	–1		
4123 Non-Federal sources .....	–65,429	–63,640	–64,036
4130 Offsets against gross budget authority and outlays (total) .....	–66,321	–64,541	–64,897
4160 Budget authority, net (mandatory) .....	2,000	–257	–255
4170 Outlays, net (mandatory) .....	2,415	7,658	6,726
4180 Budget authority, net (total) .....	2,000	–255	–255
4190 Outlays, net (total) .....	2,415	7,660	6,726

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,815	2,590	2,590
5001 Total investments, EOY: Federal securities: Par value .....	2,590	2,590	2,590

<b>Unfunded deficiencies:</b>			
7000 Unfunded deficiency, start of year .....			–6,390
Change in deficiency during the year:			
7010 New deficiency .....		–6,390	–7,188
7020 Unfunded deficiency, end of year .....		–6,390	–13,578

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	2,000	–255	–255
Outlays .....	2,415	7,660	6,726
Amounts included in the adjusted baseline:			
Outlays .....		–5,600	

POSTAL SERVICE FUND—Continued  
Summary of Budget Authority and Outlays—Continued

	2012 actual	2013 CR	2014 est.
Legislative proposal, subject to PAYGO:			
Outlays .....		-2,678	-11,818
Total:			
Budget Authority .....	2,000	-255	-255
Outlays .....	2,415	-618	-5,092

The Postal Reorganization Act of 1970, Public Law 91–375, converted the Post Office Department into the U.S. Postal Service (USPS), an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including nine Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

*Programs.*—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and equipment.

The Postal Accountability and Enhancement Act (P.L. 109–435), was signed by the President on December 20, 2006. The Act made a number of changes affecting the operations and oversight of the Postal Service. The Act provided for separate accounting and reporting for Postal Service activities related to: (1) products where the Postal Service dominates the market; and (2) products where the Postal Service is in a competitive market. The Act amended the process for determining rate increases for market-dominant products, in part by imposing a limitation on rate increases for at least the next 10 years linked to the Consumer Price Index for All Urban Consumers (CPI-U). This was intended to provide the Postal Service with pricing flexibility and ratepayers with a degree of rate predictability. The Act also replaced the Postal Rate Commission with a Postal Regulatory Commission with expanded authorities, including subpoena powers.

*Financing.*—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by \$2.5 billion in 1991 for a revised ceiling of \$12.5 billion and an additional \$2.5 billion in 1992 for a revised total ceiling of \$15 billion. The total annual increase in net out-

standing debt was also increased to annually grow by up to \$2.0 billion in obligations issued for the purpose of capital improvements and by \$1.0 billion for the purpose of paying operating expenses. P.L. 109–435 removed the separate limitations on borrowing for capital improvements and operating expenses so that under the \$15 billion debt cap, the annual increase in outstanding debt cannot now exceed a combined total of \$3.0 billion. As of September 30, 2012 the total debt instruments issued and outstanding pursuant to this authority amounts to the full \$15 billion.

*Operating.*—According to USPS estimates, revenue will total approximately \$65 billion in 2014. Total expenses are estimated at approximately \$72 billion in 2014.

The Postal Reorganization Act of 1970 established the Postal Service as a self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, the Congress has taken steps over time to reduce these subsidies, particularly by requiring the Postal Service to assume greater portions of its personnel-related costs. Since 1982 the Postal Service had not received any public-service appropriations. At the end of 2011, the Postal Service employed 645,950 persons. Under the 1974 Civil Service Retirement Fund Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under Postal labor contracts that are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from the Office of Personnel Management (OPM) to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989, and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of Postal annuitants. Effective October 1, 1990, the Postal Service was required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employees Health Benefits Program (FEHBP) premiums for Postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service was required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service was required to make certain payments for past COLAs and health benefits, over and above any other payments required by law. This amounted to \$693 million to the Civil Service Retirement and Disability Fund, and \$348 million to the Employees Health Benefits Fund. These two amounts were made in three equal annual installments, beginning in fiscal year 1996.

The Balanced Budget Act of 1997 repealed the authorization for transitional appropriations to the Postal Service which had funded the liabilities of the former Post Office Department to the Employees' Compensation Fund. Effective October 1, 1997, these remaining claims became liabilities of the Postal Service payable out of the Postal Service Fund.

Early in 2003, OPM determined that, at the then-current rate of funding, the Postal Service would pay substantially more than needed to fund the estimated future benefits of postal employees and retirees participating in the Civil Service Retirement System. This projected over-funding resulted from interest earned by the fund in excess of the assumed statutory rate of five percent. As a result, the Administration proposed and the Congress passed CSRS reform legislation that was enacted on April 23, 2003 (P.L. 108–18). The provisions of P.L. 108–18 eliminated all future retirement liability payments related to general wage increases and the retirement COLA payments, and the Postal Service became responsible for the Civil Service retirement obligations related to military service of Postal Service employees. In addition, the Postal Service funded CSRS retirement benefits at 17.4 percent of current CSRS employees' wages, beginning in May 2003. This was a dynamic funding requirement, not a static requirement, thus employer contributions could change based on interest earnings and amounts that are needed to fund the full cost of the future benefit. Annually, OPM was directed to calculate the amount of any potential supplemental retirement liability and the Postal Service was required to fund any such liability in annual payments through September 30, 2043.

P.L. 109–435 created the Postal Service Retiree Health Benefits (RHB) Fund to put the Postal Service on a path that fully funds its substantial retiree (annuitant) health benefits liabilities. This new Fund receives from the Postal Service: 1) The pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108–18) that were held in escrow during 2006; 2) A 10-year stream of payments defined within P.L. 109–435 to begin the liquidation of the Postal Services unfunded liability for post-retirement health benefits; 3) Beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees; 4) Beginning in 2017, a 40-year amortization payment to fund any remaining unfunded liabilities associated with post-retirement health benefits of USPS employees; and 5) The surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under CSRS to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service, including the savings from shifting the responsibility for retirement credit related to military service from the Postal Service to the Treasury (effectively eliminating the need for the dynamic CSRS funding payments and supplemental liability payments noted in the previous paragraph). As a result, beginning in 2017, the Postal Service will no longer pay annual premiums for its post-1971 annuitants. Instead, these premium payments will be paid from the Postal Service Retiree Health Benefit Fund. Payments for the portion of the premium costs of Postal Service annuitants pre-1971 service will continue to be paid by the General Fund of the Treasury through the Government Payment for Annuitants, Employees Health Benefits account.

Section 164 of Division B of P.L. 111–68, the Continuing Appropriations Resolution, 2010, reduced the 2009 amount USPS was required to contribute toward the liquidation of its post-retirement health benefits liability (item 2 in the preceding paragraph) from \$5.4 billion to \$1.4 billion. This reduction had the effect of increasing the size of 40-year amortization payment for the remaining unfunded liability that USPS is required to make starting in 2017 (item 4 in the preceding paragraph).

Section 623 of Division C of P.L. 112–74, the Consolidated Appropriations Act, 2012, amended Title 5, United States Code by striking the date specified in Sec. 8909a(d)(3)(A)(v) of September

30, 2011 and inserting August 1, 2012 for the scheduled payment of \$5.5 billion to the Postal Service Retiree Health Benefit (RHB) Fund. However, the Postal Service was unable to make any payments on its \$11.1 billion in scheduled RHB payments due in FY 2012.

In its June 2012 notification letter to the White House and the Congress that it would not make two statutory RHB prefunding payments due in 2012, the USPS also indicated that, absent changes to its financial forecast (largely from legislative action), it would likely default on a \$5.6 billion RHB prefunding payment due September 30, 2013. The USPS has since confirmed this likelihood. As such, the Budget includes two baselines to address this. The baseline required under Section 257 of the Balanced Budget and Emergency Deficit Control Act of 1985 (BBEDCA), as amended, reflects the 2013 RHB payment being made as required under current law. An adjusted baseline, which appears in the Budget, reflects adjustments to the BBEDCA baseline to account for the more realistic assumption that the USPS will not make its 2013 payment, as it has indicated in writing.

#### Statement of Annual Operations (estimates per USPS and on an accrual accounting basis)

	2011 actual	2012 actual	2013 est.	2014 est.
Revenue .....	65,739	65,248	64,541	64,897
Expense .....	-70,806	-81,154	-72,454	-71,878
Net income or loss from operations (-) .....	(5,067)	(15,906)	(7,913)	(6,981)

#### Object Classification (in millions of dollars)

Identification code 18-4020-0-3-372	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	27,740	26,722	25,985
11.3 Other than full-time permanent .....	4,341	4,168	4,099
11.5 Other personnel compensation .....	4,175	4,020	3,905
11.9 Total personnel compensation .....	36,256	34,910	33,989
12.1 Civilian personnel benefits .....	15,079	18,129	18,257
13.0 Benefits for former personnel .....	2,828	3,185	3,372
21.0 Travel and transportation of persons .....	119	113	112
22.0 Transportation of things .....	7,249	7,264	7,530
23.1 Rental payments to GSA .....		42	43
23.2 Rental payments to others .....	940	1,020	1,041
23.3 Communications, utilities, and miscellaneous charges .....	813	834	836
24.0 Printing and reproduction .....	68	58	56
25.2 Other services from non-Federal sources .....	2,415	2,829	2,744
26.0 Supplies and materials .....	1,484	1,257	1,222
31.0 Equipment .....	207	627	1,668
32.0 Land and structures .....	320	375	669
42.0 Insurance claims and indemnities .....	95	96	97
43.0 Interest and dividends .....	192	193	194
99.9 Total new obligations .....	68,065	70,932	71,830

#### Employment Summary

Identification code 18-4020-0-3-372	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	586,100	568,571	544,990

#### POSTAL SERVICE FUND

(Amounts included in the adjusted baseline)

#### Program and Financing (in millions of dollars)

Identification code 18-4020-7-3-372	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			5,600
3020 Outlays (gross) .....		5,600	
3050 Unpaid obligations, end of year .....		5,600	5,600
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			5,600
3200 Obligated balance, end of year .....		5,600	5,600

POSTAL SERVICE FUND—Continued  
Program and Financing—Continued

Identification code 18-4020-7-3-372	2012 actual	2013 CR	2014 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4100 Outlays from new mandatory authority .....		-5,600	
4190 Outlays, net (total) .....		-5,600	

This account reflects adjustments to the baseline to reflect the realistic assumption that the United States Postal Service will not make its statutory \$5.6 billion payment to prefund retiree health benefits, which is due to the Office of Personnel Management's Postal Service Retiree Health Benefits Fund by September 30, 2013.

POSTAL SERVICE FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 18-4020-4-3-372	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Postal field operations .....		-2,600	-4,430
0806 Administration and area operations .....		-73	-7,378
0809 Reimbursable program activities, subtotal .....		-2,673	-11,808
0900 Total new obligations .....		-2,673	-11,808
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			2,678
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		5	10
1850 Spending auth from offsetting collections, mand (total) .....		5	10
1900 Budget authority (total) .....		5	10
1930 Total budgetary resources available .....		5	2,688
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		2,678	14,496
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		-2,673	-11,808
3020 Outlays (gross) .....		2,673	11,808
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		5	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....		-2,673	-11,808
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....		-5	-10
4190 Outlays, net (total) .....		-2,678	-11,818

The Administration recognizes the enormous value of the Postal Service (USPS) to the Nation's commerce and communications, as well as the urgent need for reform to ensure the future viability of USPS. Therefore, the Budget proposes specific authorities to improve USPS efficiency and net revenue, along with financial relief measures, grounded in principles of fiscal responsibility as well as sound financial management. The Administration will work with the Congress and postal stakeholders to secure these necessary reforms.

As to the structure of relief, the Budget would first improve USPS financial condition by returning to USPS surplus amounts it has paid into its OPM account for its share of Federal Employee Retirement System costs, and require that OPM calculate these costs using factors specific to the demographics of the Postal Service workforce. OPM has determined this surplus, as of September 30, 2011 and based on government-wide demographic

assumptions, is approximately \$2.6 billion. Given the amount of time necessary for OPM to re-calculate this surplus for Postal-specific factors, the Budget would provide the current OPM calculation in 2013, and the remainder of any recalculated surplus in 2014 and 2015. Until OPM has re-calculated the surplus amount using Postal-specific factors, the Budget assumes as a placeholder a total surplus of \$11.5 billion, as estimated by the Postal Service Office of Inspector General in December 2012 (and based on USPS investment returns, salary growth rates, cost of living adjustments granted to Postal retirees, and Postal Service demographic trends).

Second, the Budget proposes to restructure USPS retiree health benefits payments that are currently specified in the Postal Accountability and Enhancement Act of 2006. This change would still prudently pre-fund retiree health liabilities, but on an accruing cost basis rather than the amounts fixed in current law. This restructuring would provide USPS with approximately \$10 billion in temporary financial relief through 2016. The Budget also proposes to codify the two missed RHB payments in 2012; although these amounts are incorporated in the 40-year amortization schedule starting in 2017, they currently remain as outstanding liabilities on the Postal Service financial statement in 2012. See the Office of Personnel Management section of this Appendix for more information on these aspects of the proposal.

In addition, the Budget proposes operational reforms that would do the following: 1) reduce USPS operating costs by giving USPS authority to reduce mail delivery frequency from six days to five days, starting in June 2013; 2) allow USPS to increase collaboration with State and local governments; and 3) give the USPS the ability to better align the costs of postage with the costs of mail delivery by permitting USPS Board of Governors to enact a modest one-time increase in postage rates among market-dominant products, such as first-class and standard mail.

All together, these reforms would provide USPS with over \$30 billion in cash relief, operational savings, and revenue through 2016, and produce PAYGO savings of \$23 billion over 11 years.

Object Classification (in millions of dollars)

Identification code 18-4020-4-3-372	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
12.1 Civilian personnel benefits .....		-2,173	-9,808
22.0 Transportation of things .....		-500	-2,000
99.9 Total new obligations .....		-2,673	-11,808

UNSPECIFIED ADJUSTMENTS TO OPERATIONS

Program and Financing (in millions of dollars)

Identification code 18-9017-0-1-372	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			7,660
3020 Outlays (gross) .....		7,660	6,726
3050 Unpaid obligations, end of year .....		7,660	14,386
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			7,660
3200 Obligated balance, end of year .....		7,660	14,386
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4100 Outlays from new mandatory authority .....		-7,660	-6,726
4190 Outlays, net (total) .....		-7,660	-6,726

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Outlays		-7,660	-6,726
Amounts included in the adjusted baseline:			
Outlays		5,600	
Legislative proposal, not subject to PAYGO:			
Outlays		972	1,822
Legislative proposal, subject to PAYGO:			
Outlays		1,087	4,904

This account includes unspecified adjustments to Postal operations that reflect the fact that the United States Postal Service (USPS) can only spend at amounts equal to its revenue and borrowing authority. For purposes of the Budget Baseline, the USPS is shown to operate at a break-even (i.e., revenues equal expenses) basis for 2013 and later years. This account is necessary because the USPS estimates of its revenues and expenses are unsustainable—estimated expenses far exceeded estimated revenues. The USPS fully exhausted its borrowing authority with the Department of the Treasury at the close of FY 2012. The Budget includes a legislative proposal that provides specific Postal financial relief and makes sustained reforms. The relief and reforms represent specific action the USPS would take, and would reduce the need for the unspecified adjustments contained in this account.

**UNSPECIFIED ADJUSTMENTS TO OPERATIONS**  
(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 18-9017-7-1-372	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			-5,600
3020 Outlays (gross)		-5,600	
3050 Unpaid obligations, end of year		-5,600	-5,600
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			-5,600
3200 Obligated balance, end of year		-5,600	-5,600
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4100 Outlays from new mandatory authority		5,600	
4190 Outlays, net (total)		5,600	

This account reflects adjustments to the baseline to reflect the realistic assumption that the United States Postal Service will not make its statutory \$5.6 billion payment to prefund retiree health benefits, which is due to the Office of Personnel Management's Postal Service Retiree Health Benefits Fund by September 30, 2013.

**UNSPECIFIED ADJUSTMENTS TO OPERATIONS**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 18-9017-2-1-372	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			-972
3020 Outlays (gross)		-972	-1,822
3050 Unpaid obligations, end of year		-972	-2,794
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			-972
3200 Obligated balance, end of year		-972	-2,794

<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4100	Outlays from new mandatory authority	972	1,822
4190	Outlays, net (total)	972	1,822

This schedule reflects the impact on the Unspecified Adjustments to Postal Operations account. This accounts for Postal financial relief and reform proposal to authorize the Postal Service to move from six- to five-day delivery and to permit USPS to enact a modest one-time increase in postage rates for market-dominant products.

**UNSPECIFIED ADJUSTMENTS TO OPERATIONS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 18-9017-4-1-372	2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			-1,087
3020 Outlays (gross)		-1,087	-4,904
3050 Unpaid obligations, end of year		-1,087	-5,991
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			-1,087
3200 Obligated balance, end of year		-1,087	-5,991
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4100 Outlays from new mandatory authority		1,087	4,904
4190 Outlays, net (total)		1,087	4,904

This schedule reflects the impact on the Unspecified Adjustments to Postal Operations account. This accounts for the Postal financial relief and reform proposal to refund to the Postal Service its Federal Employees Retirement System (FERS) surplus and to restructure Postal Service payments for retiree health benefits.

**OFFICE OF INSPECTOR GENERAL**  
**SALARIES AND EXPENSES**  
(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$241,468,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(b)(3) of the Postal Accountability and Enhancement Act (Public Law 109-435): Provided, That unobligated balances remaining in this account on October 1, 2014, shall be transferred back to the Postal Service Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 18-0100-0-1-372	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Audit	75	76	76
0002 Investigations	166	166	166
0900 Total new obligations	241	242	242
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1711 Transferred from other accounts [18-4020]	241	241	241
1750 Spending auth from offsetting collections, disc (total)	241	242	242

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 18-0100-0-1-372	2012 actual	2013 CR	2014 est.
1930 Total budgetary resources available .....	241	242	242
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	241	242	242
3020 Outlays (gross) .....	-241	-242	-242
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	241	242	242
Outlays, gross:			
4010 Outlays from new discretionary authority .....	241	242	242
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-1
4180 Budget authority, net (total) .....	241	241	241
4190 Outlays, net (total) .....	241	241	241

United States Postal Service (USPS) Office of Inspector General (OIG) is an independent organization charged with reporting to Congress on the overall efficiency, effectiveness, and economy of USPS programs and operations. The OIG meets this responsibility by conducting audits, investigations, and other reviews. The OIG focuses on the prevention, identification, and elimination of 1) waste, fraud, and abuse; 2) violations of laws, rules, and regulations; and 3) inefficiencies in USPS programs and operations.

Pursuant to Public Law 109-435, the 2014 appropriation request of the Office of Inspector General of the U.S. Postal Service is \$241,468,000.

Section 603(b)(1) of Public Law 109-435 (Postal Accountability and Enhancement Act) authorizes appropriations for the Office of Inspector General out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the USPS Office of Inspector General spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 18-0100-0-1-372	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	132	138	141
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	2	2
11.8 Special personal services payments .....		1	
11.9 Total personnel compensation .....	134	142	144
12.1 Civilian personnel benefits .....	47	49	51
21.0 Travel and transportation of persons .....	6	7	6
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	5	6	7
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	22	22	17
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	13	7	7
32.0 Land and structures .....	7	1	2
99.0 Direct obligations .....	241	241	241
99.0 Reimbursable obligations .....		1	1
99.9 Total new obligations .....	241	242	242

Employment Summary

Identification code 18-0100-0-1-372	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	1,135	1,136	1,136

POSTAL REGULATORY COMMISSION

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the Postal Regulatory Commission in carrying out the provisions of the Postal Accountability and Enhancement Act (Public Law 109-435), \$14,304,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(a) of such Act: Provided, That unobligated balances remaining in this account on October 1, 2014, shall be transferred back to the Postal Service Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 18-0200-0-1-372	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Postal Service Accountability .....	14	4	4
0002 Public Access and Participation .....		4	4
0003 Integration and Support .....		5	5
0004 Office of the Inspector General .....		1	1
0900 Total new obligations .....	14	14	14
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [18-4020] .....	14	14	14
1750 Spending auth from offsetting collections, disc (total) .....	14	14	14
1930 Total budgetary resources available .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	14	14	14
3020 Outlays (gross) .....	-14	-14	-14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	14	14	14
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	14	14
4180 Budget authority, net (total) .....	14	14	14
4190 Outlays, net (total) .....	14	14	14

The Postal Regulatory Commission is an independent agency that has exercised regulatory oversight over the U.S. Postal Service (USPS) since its creation by the Postal Reorganization Act of 1970. That oversight consisted primarily of conducting public, on-the-record hearings concerning proposed rates, mail classification, and major service changes, and recommended decisions for action to the Postal Service Board of Governors.

The Postal Accountability and Enhancement Act (PAEA, Public Law 109-435) assigned new responsibilities to the Commission, including providing regulatory oversight of the pricing of USPS products and services, ensuring USPS transparency and accountability, and serving as a forum to act on complaints with postal products and services. The Commission provides leadership and recommends policies that foster a robust and viable postal system.

Pursuant to Public Law 109-435, the 2014 appropriation request of the Postal Regulatory Commission is 14,304,000.

Section 603(a) of PAEA authorizes appropriations for the Commission out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Commission's spending from off-budget mandatory to off-budget discretionary.

**Object Classification** (in millions of dollars)

Identification code 18-0200-0-1-372	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.2 Rental payments to others .....	2	2	2
25.1 Advisory and assistance services .....	2	2	2
99.9 Total new obligations .....	14	14	14

**Employment Summary**

Identification code 18-0200-0-1-372	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	75	75	77

**PRESIDIO TRUST****Federal Funds**

## PRESIDIO TRUST FUND

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-4331-0-3-303	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....	109	137	89
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	32	40	65
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	12	.....
1160 Appropriation, discretionary (total) .....	12	12	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	98	143	105
1701 Change in uncollected payments, Federal sources .....	7	7	7
1750 Spending auth from offsetting collections, disc (total) .....	105	150	112
1900 Budget authority (total) .....	117	162	112
1930 Total budgetary resources available .....	149	202	177
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	40	65	88

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	74	51	47
3010 Obligations incurred, unexpired accounts .....	109	137	89
3020 Outlays (gross) .....	-132	-141	-119
3050 Unpaid obligations, end of year .....	51	47	17
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-12	-19
3070 Change in uncollected pymts, Fed sources, unexpired .....	-7	-7	-7
3090 Uncollected pymts, Fed sources, end of year .....	-12	-19	-26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	69	39	28
3200 Obligated balance, end of year .....	39	28	-9

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	117	162	112
Outlays, gross:			
4010 Outlays from new discretionary authority .....	86	91	62
4011 Outlays from discretionary balances .....	46	50	57
4020 Outlays, gross (total) .....	132	141	119
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-4	-4
4031 Interest on Federal securities .....	.....	-4	-4

4033 Non-Federal sources .....	-97	-135	-97
4040 Offsets against gross budget authority and outlays (total) ....	-98	-143	-105
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-7	-7	-7
4070 Budget authority, net (discretionary) .....	12	12	.....
4080 Outlays, net (discretionary) .....	34	-2	14
4180 Budget authority, net (total) .....	12	12	.....
4190 Outlays, net (total) .....	34	-2	14

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	77	73	45
5001 Total investments, EOY: Federal securities: Par value .....	73	45	40

The Presidio Trust (Trust) is a wholly-owned Government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104-333) to manage, improve, maintain and lease property in the Presidio of San Francisco and to operate the Presidio as a self-sustaining part of the national park system. The Trust has jurisdiction over 80% of the Presidio and has successfully converted the historic Army base into a thriving park community that will operate without annual appropriations beginning in FY 2013. Funds to operate the park and its public programs will come from lease revenues and other non-Federally appropriated funding sources. The Presidio of San Francisco is an historic preservation success, and a success for the American taxpayer.

**Object Classification** (in millions of dollars)

Identification code 95-4331-0-3-303	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	24	23	23
12.1 Civilian personnel benefits .....	8	8	7
23.3 Communications, utilities, and miscellaneous charges .....	7	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	10	12	4
25.2 Other services from non-Federal sources .....	23	48	9
25.3 Other goods and services from Federal sources .....	4	4	4
26.0 Supplies and materials .....	12	8	8
31.0 Equipment .....	4	5	5
32.0 Land and structures .....	12	20	20
43.0 Interest and dividends .....	4	4	4
99.9 Total new obligations .....	109	137	89

**Employment Summary**

Identification code 95-4331-0-3-303	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	304	308	308

**PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT****Status of Guaranteed Loans** (in millions of dollars)

Identification code 95-4332-0-3-303	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward .....	200	200	200
2143 Uncommitted limitation carried forward .....	-200	-200	-200
2150 Total guaranteed loan commitments .....	.....	.....	.....

**PRIVACY AND CIVIL LIBERTIES OVERSIGHT  
BOARD****Federal Funds**

## SALARIES AND EXPENSES

For necessary expenses of the Privacy and Civil Liberties Oversight Board, as authorized by section 1061 of the Intelligence Reform and Ter-

SALARIES AND EXPENSES—Continued

rorism Prevention Act of 2004 (5 U.S.C. 601 note), \$3,100,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2724–0–1–054	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Privacy and Civil Liberties Oversight Board .....		1	3
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	3
1131 Unobligated balance of appropriations permanently reduced .....			
1160 Appropriation, discretionary (total) .....			3
1930 Total budgetary resources available .....	1	1	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1	3
3020 Outlays (gross) .....		–1	–3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			3
Outlays, gross:			
4010 Outlays from new discretionary authority .....			3
4011 Outlays from discretionary balances .....		1	
4020 Outlays, gross (total) .....		1	3
4180 Budget authority, net (total) .....			3
4190 Outlays, net (total) .....		1	3

The Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) created the Privacy and Civil Liberties Oversight Board (PCLOB). The IRTPA originally placed the Board within the Executive Office of the President. The Implementing Recommendations of the 9/11 Commission Act of 2007 reconstituted the Board as an independent oversight agency within the Executive Branch. All five members of the Board are nominated by the President and confirmed by the Senate for staggered six-year terms. The Board has two main responsibilities: 1) to analyze and review actions the executive branch takes to protect the United States from terrorism, ensuring that the need for such actions is balanced with the need to protect privacy and civil liberties; and 2) to ensure that liberty concerns are appropriately considered in the development and implementation of laws, regulations, and policies related to efforts to protect the Nation against terrorism. The Board is required to report periodically on its operations to the U.S. Congress, as well as inform the public of its activities.

Object Classification (in millions of dollars)

Identification code 95–2724–0–1–054	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	2
23.1 Rental payments to GSA .....			1
99.9 Total new obligations .....		1	3

Employment Summary

Identification code 95–2724–0–1–054	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....		5	11

RAILROAD RETIREMENT BOARD

Federal Funds

DUAL BENEFITS PAYMENTS ACCOUNT

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, \$39,000,000, which shall include amounts becoming available in fiscal year 2014 pursuant to section 224(c)(1)(B) of Public Law 98–76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds the amount available for payment of vested dual benefits: Provided, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 60–0111–0–1–601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	49	48	39
0900 Total new obligations (object class 41.0) .....	49	48	39
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	45	44	36
1160 Appropriation, discretionary (total) .....	45	44	36
Appropriations, mandatory:			
1200 Appropriation .....	6	4	3
1260 Appropriations, mandatory (total) .....	6	4	3
1900 Budget authority (total) .....	51	48	39
1930 Total budgetary resources available .....	51	48	39
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	49	48	39
3020 Outlays (gross) .....	–49	–48	–39
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	44	36
Outlays, gross:			
4010 Outlays from new discretionary authority .....	45	44	36
Mandatory:			
4090 Budget authority, gross .....	6	4	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	4	3
4180 Budget authority, net (total) .....	51	48	39
4190 Outlays, net (total) .....	49	48	39

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on un-negotiated checks, \$150,000, to remain available through September 30,

2015, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98–76.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 60–0113–0–1–601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	771	647	549
0900 Total new obligations (object class 42.0) .....	771	647	549
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	25	25
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	775	647	549
1260 Appropriations, mandatory (total) .....	775	647	549
1930 Total budgetary resources available .....	796	672	574
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	25	25	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	771	647	549
3020 Outlays (gross) .....	–771	–647	–549
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	775	647	549
Outlays, gross:			
4100 Outlays from new mandatory authority .....	771	647	549
4180 Budget authority, net (total) .....	775	647	549
4190 Outlays, net (total) .....	771	647	549

This account funds interest on uncashed checks and the transfer of income taxes on Tier I and Tier II railroad retirement benefits. This account also reflects transfers from the general fund of the Treasury to the Social Security Equivalent Benefit Account pursuant to the Hiring Incentives to Restore Employment (HIRE) Act (P.L. 111–147), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112–78), and the American Taxpayer Relief Act of 2012 (P.L. 112–240).

**ADMINISTRATIVE EXPENSES, RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS**

**Program and Financing** (in millions of dollars)

Identification code 60–0118–0–1–603	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1		
1160 Appropriation, discretionary (total) .....	1		
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3020 Outlays (gross) .....	–1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	1		
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		
4180 Budget authority, net (total) .....	1		
4190 Outlays, net (total) .....	1		

This account provided for the transfer of funding to the Railroad Retirement Board's Limitation on Administration for administrative costs to pay benefits under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111–92), and the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312).

**ADMINISTRATIVE EXPENSES, RECOVERY ACT**

This account provided for the transfer of funding to the Railroad Retirement Board's Recovery Act Limitation Account for administrative costs to pay benefits under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

**ECONOMIC RECOVERY PAYMENTS**

This appropriation provided funding for Economic Recovery Payments paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

**RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS**

**Program and Financing** (in millions of dollars)

Identification code 60–0117–0–1–603	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Railroad Unemployment Extended Benefits .....	7	12	4
0900 Total new obligations (object class 25.8) .....	7	12	4
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	140	128
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	126		
1050 Unobligated balance (total) .....	147	140	128
1930 Total budgetary resources available .....	147	140	128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	140	128	124
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	7	12	4
3020 Outlays (gross) .....	–7	–12	–4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	7	12	4
4190 Outlays, net (total) .....	7	12	4

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111–92), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112–78), and the American Taxpayer Relief Act of 2012 (P.L. 112–240).

**RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS,  
RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 60-0114-0-1-603	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000		9	9
1020	9		
1050	9	9	9
1930	9	9	9
Memorandum (non-add) entries:			
1941	9	9	9

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

**Trust Funds**

**RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 60-8051-0-7-603	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	94	111	116
0801	19	22	23
0900	113	133	139
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	38		
1020	-38		
Budget authority:			
Appropriations, discretionary:			
1101	15	26	26
1134		-11	-11
1160	15	15	15
Appropriations, mandatory:			
1201	199	86	16
1203	40	162	152
1235	-162	-152	-67
1260	77	96	101
Spending authority from offsetting collections, mandatory:			
1800	21	22	23
1850	21	22	23
1900	113	133	139
1930	113	133	139

**Change in obligated balance:**

Unpaid obligations:			
3000	9	4	4
3010	113	133	139
3020	-118	-133	-139
3050	4	4	4
Memorandum (non-add) entries:			
3100	9	4	4
3200	4	4	4

**Budget authority and outlays, net:**

Discretionary:			
4000	15	15	15
Outlays, gross:			
4010	15	15	15
Mandatory:			
4090	98	118	124
Outlays, gross:			
4100	98	118	124
4101	5		
4110	103	118	124
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	-21	-22	-23
4180	92	111	116

4190	97	111	116
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**Memorandum (non-add) entries:**

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

**Object Classification** (in millions of dollars)

Identification code 60-8051-0-7-603	2012 actual	2013 CR	2014 est.
Direct obligations:			
42.0	93	96	101
94.0	1	15	15
99.0	94	111	116
99.0	19	22	23
99.9	113	133	139

**RAIL INDUSTRY PENSION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 60-8011-0-7-601	2012 actual	2013 CR	2014 est.
0100	227	322	359
Adjustments:			
0190	98		
0199	325	322	359
Receipts:			
0200	-3	-28	-3
0201	2,522	2,779	2,797
0240	12	14	14
0241	2,026	1,685	1,762
0242	141	331	339
0299	4,698	4,781	4,909
0400	5,023	5,103	5,268
Appropriations:			
0500	-70	-68	-71
0501	-4,628	-4,781	-4,908
0502	-326	-323	-428
0503	323	428	290
0599	-4,701	-4,744	-5,117
0799	322	359	151

**Program and Financing** (in millions of dollars)

Identification code 60-8011-0-7-601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	4,720	4,991	5,145
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000	98		
1020	-98		
Budget authority:			
Appropriations, discretionary:			
1101	70	68	71
1160	70	68	71
Appropriations, mandatory:			
1201	4,628	4,781	4,908
1203	326	323	428
1221	19	247	28
1235	-323	-428	-290
1260	4,650	4,923	5,074
1900	4,720	4,991	5,145
1930	4,720	4,991	5,145

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	381	398	363
3010	Obligations incurred, unexpired accounts .....	4,720	4,991	5,145
3020	Outlays (gross) .....	-4,703	-5,026	-5,180
3050	Unpaid obligations, end of year .....	398	363	328
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	381	398	363
3200	Obligated balance, end of year .....	398	363	328
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	70	68	71
Outlays, gross:				
4010	Outlays from new discretionary authority .....	70	68	71
Mandatory:				
4090	Budget authority, gross .....	4,650	4,923	5,074
Outlays, gross:				
4100	Outlays from new mandatory authority .....	4,633	4,923	5,074
4101	Outlays from mandatory balances .....	.....	35	35
4110	Outlays, gross (total) .....	4,633	4,958	5,109
4180	Budget authority, net (total) .....	4,720	4,991	5,145
4190	Outlays, net (total) .....	4,703	5,026	5,180
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	696	704	738
5001	Total investments, EOY: Federal securities: Par value .....	704	738	540

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. About 24,000 individuals also receive a "windfall" benefit.

**Status of Funds** (in millions of dollars)

Identification code 60-8011-0-7-601	2012 actual	2013 CR	2014 est.	
Unexpended balance, start of year:				
0100	Balance, start of year .....	720	737	738
0199	Total balance, start of year .....	720	737	738
Cash income during the year:				
Current law:				
Receipts:				
1200	Refunds, Rail Industry Pension Fund .....	-3	-28	-3
1201	Taxes, Rail Industry Pension Fund .....	2,522	2,779	2,797
Offsetting receipts (intragovernmental):				
1240	Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund .....	12	14	14
1241	Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund .....	2,026	1,685	1,762
1242	Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund .....	141	331	339
Offsetting collections:				
1280	Limitation on the Office of Inspector General .....	10	9	10
1281	Limitation on Administration .....	121	117	122
1299	Income under present law .....	4,829	4,907	5,041
3299	Total cash income .....	4,829	4,907	5,041
Cash outgo during year:				
Current law:				
4500	Rail Industry Pension Fund .....	-4,703	-5,026	-5,180
4500	Limitation on Administration .....	-117	-117	-122
4500	Limitation on the Office of Inspector General .....	-10	-9	-10
4599	Outgo under current law (-) .....	-4,830	-5,152	-5,312
6599	Total cash outgo (-) .....	-4,830	-5,152	-5,312
7645	Rail Industry Pension Fund .....	19	247	28
Manual Adjustments:				
7690	Rounding adjustment .....	-1	-1	.....
7699	Total adjustments .....	18	246	28
Unexpended balance, end of year:				
8700	Uninvested balance (net), end of year .....	33	.....	-45
8701	Rail Industry Pension Fund .....	704	738	540
8799	Total balance, end of year .....	737	738	495

**Object Classification** (in millions of dollars)

Identification code 60-8011-0-7-601	2012 actual	2013 CR	2014 est.	
Direct obligations:				
42.0	Benefit payments .....	4,650	4,923	5,074

94.0	Financial transfers .....	70	68	71
99.9	Total new obligations .....	4,720	4,991	5,145

**LIMITATION ON ADMINISTRATION**

For necessary expenses for the Railroad Retirement Board ("Board") for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, \$111,739,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 60-8237-0-7-601	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Rail Industry Pension Fund .....	65	63	67
0002	Railroad Social Security Equivalent Benefit .....	30	32	32
0003	Railroad Unemployment Insurance Trust Fund .....	14	11	12
0100	Subtotal, direct program .....	109	106	111
0799	Total direct obligations .....	109	106	111
0801	Medicare and other reimbursements .....	12	11	11
0900	Total new obligations .....	121	117	122

**Budgetary Resources:**

Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	121	117	122
1750	Spending auth from offsetting collections, disc (total) .....	121	117	122
1930	Total budgetary resources available .....	121	117	122
Memorandum (non-add) entries:				
Special and non-revolving trust funds:				
1952	Expired unobligated balance, start of year .....	2	3	3
1953	Expired unobligated balance, end of year .....	3	3	3

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	9	11	11
3010	Obligations incurred, unexpired accounts .....	121	117	122
3020	Outlays (gross) .....	-117	-117	-122
3041	Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050	Unpaid obligations, end of year .....	11	11	11
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9	11	11
3200	Obligated balance, end of year .....	11	11	11

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	121	117	122
Outlays, gross:				
4010	Outlays from new discretionary authority .....	117	117	122
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-121	-117	-122
4190	Outlays, net (total) .....	-4	.....	.....

The table below shows anticipated workloads.

	2011 actual	2012 actual	2013 est.	2014 est.
Pending, start of year .....	7,398	7,425	6,231	6,057
New Railroad Retirement applications .....	46,974	45,197	45,000	45,000
New Social Security certifications .....	9,275	9,342	9,000	9,000
Total dispositions (excluding partial awards) .....	56,222	55,733	54,174	54,018
Pending, end of year .....	7,425	6,231	6,057	6,039

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 act.	1990 act.	2010 act.	2011 act.	2012 est.	2013 est.
Total beneficiaries .....	1,009,500	894,196	549,154	544,256	541,100	537,600

LIMITATION ON ADMINISTRATION—Continued

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

The President's Budget includes a legislative proposal to amend the Railroad Retirement Act to allow the Railroad Retirement Board (RRB) to utilize various hiring authorities available to other Federal agencies. Section 7(b)(9) of the Railroad Retirement Act contains language requiring that all employees of the RRB, except for one assistant for each Board Member, must be hired under the competitive civil service. Elimination of this requirement would enable the RRB to use various hiring authorities offered by the Office of Personnel Management.

Object Classification (in millions of dollars)

Identification code 60-8237-0-7-601	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	73	62	67
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	1		
11.9 Total personnel compensation .....	76	64	69
12.1 Civilian personnel benefits .....	20	19	18
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....		4	4
23.3 Communications, utilities, and miscellaneous charges .....	7	5	5
25.2 Other services from non-Federal sources .....	5	12	13
26.0 Supplies and materials .....		1	1
99.0 Direct obligations .....	109	106	111
99.0 Reimbursable obligations .....	11	11	11
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	121	117	122

Employment Summary

Identification code 60-8237-0-7-601	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	834	835	810
2001 Reimbursable civilian full-time equivalent employment .....	50	50	50

NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

Special and Trust Fund Receipts (in millions of dollars)

Identification code 60-8118-0-7-601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	20,569	22,051	20,901
<b>Receipts:</b>			
0220 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust .....	3,139	520	400
0221 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust .....	397	79	116
0240 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust .....	41	6	11
0299 Total receipts and collections .....	3,577	605	527
0400 Total: Balances and collections .....	24,146	22,656	21,428
<b>Appropriations:</b>			
0500 National Railroad Retirement Investment Trust .....	-2,095	-1,755	-1,837
0799 Balance, end of year .....	22,051	20,901	19,591

Program and Financing (in millions of dollars)

Identification code 60-8118-0-7-601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 NRRIT expenses .....	2,095	1,755	1,837

Budgetary Resources:

<b>Budget authority:</b>				
<b>Appropriations, mandatory:</b>				
1201 Appropriation (special or trust fund) .....	2,095	1,755	1,837	
1260 Appropriations, mandatory (total) .....	2,095	1,755	1,837	
1930 Total budgetary resources available .....	2,095	1,755	1,837	

Change in obligated balance:

<b>Unpaid obligations:</b>				
3010 Obligations incurred, unexpired accounts .....	2,095	1,755	1,837	
3020 Outlays (gross) .....	-2,095	-1,755	-1,837	

Budget authority and outlays, net:

<b>Mandatory:</b>				
4090 Budget authority, gross .....	2,095	1,755	1,837	
<b>Outlays, gross:</b>				
4100 Outlays from new mandatory authority .....	2,095	1,755	1,837	
4180 Budget authority, net (total) .....	2,095	1,755	1,837	
4190 Outlays, net (total) .....	2,095	1,755	1,837	

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	657	710	667
5001 Total investments, EOY: Federal securities: Par value .....	710	667	632
5010 Total investments, SOY: non-Fed securities: Market value .....	21,423	22,855	21,745
5011 Total investments, EOY: non-Fed securities: Market value .....	22,855	21,745	20,470

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities.

Status of Funds (in millions of dollars)

Identification code 60-8118-0-7-601	2012 actual	2013 CR	2014 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	20,569	22,051	20,901
0199 Total balance, start of year .....	20,569	22,051	20,901
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Offsetting receipts (proprietary):</b>			
1220 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust .....	3,139	520	400
1221 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust .....	397	79	116
<b>Offsetting receipts (intragovernmental):</b>			
1240 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust .....	41	6	11
1299 Income under present law .....	3,577	605	527
3299 Total cash income .....	3,577	605	527
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
4500 National Railroad Retirement Investment Trust .....	-2,095	-1,755	-1,837
4599 Outgo under current law (-) .....	-2,095	-1,755	-1,837
6599 Total cash outgo (-) .....	-2,095	-1,755	-1,837
<b>Unexpended balance, end of year:</b>			
8700 Uninvested balance (net), end of year .....	21,341	20,234	18,959
8701 National Railroad Retirement Investment Trust .....	710	667	632
8799 Total balance, end of year .....	22,051	20,901	19,591

Object Classification (in millions of dollars)

Identification code 60-8118-0-7-601	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	69	70	75
94.0 Financial transfers .....	2,026	1,685	1,762
99.9 Total new obligations .....	2,095	1,755	1,837

LIMITATION ON ADMINISTRATION, RECOVERY ACT

This appropriation provides funding for administrative costs of the Railroad Retirement Board to pay benefits under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, not more than \$8,877,000, to be derived from the railroad retirement accounts and railroad unemployment insurance account.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 60–8018–0–7–601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Rail Industry Pension Fund .....	9	5	6
0002 Railroad Social Security Equivalent Benefit .....		2	2
0003 Railroad Unemployment Insurance Trust .....		1	1
0100 Subtotal, direct program .....	9	8	9
0799 Total direct obligations .....	9	8	9
0801 Medicare and other reimbursements .....	1	1	1
0900 Total new obligations .....	10	9	10
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	9	10
1750 Spending auth from offsetting collections, disc (total) .....	10	9	10
1930 Total budgetary resources available .....	10	9	10
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	1	1	1
1953 Expired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	10	9	10
3020 Outlays (gross) .....	-10	-9	-10
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	10	9	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	9	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-9	-10

Object Classification (in millions of dollars)

Identification code 60–8018–0–7–601	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	6
12.1 Civilian personnel benefits .....	2	2	2
99.0 Direct obligations .....	7	7	8
99.0 Reimbursable obligations .....	1	1	1
99.5 Below reporting threshold .....	2	1	1
99.9 Total new obligations .....	10	9	10

Employment Summary

Identification code 60–8018–0–7–601	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	47	45	47
2001 Reimbursable civilian full-time equivalent employment .....	5	5	5

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 60–8010–0–7–601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	220	406	273
Receipts:			
0200 Refunds, Railroad Social Security Equivalent Benefit Account .....	-3	-30	-3
0201 Railroad Social Security Equivalent Benefit Account, Taxes .....	2,251	2,673	2,735
0202 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund .....	-484	-508	-546
0240 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities .....	21	22	19
0241 Railroad Social Security Equivalent Benefit Account, Income Tax Credits .....	291	222	210
0242 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund .....	-27	-25	-27
0243 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund .....	4,139	3,898	4,175
0244 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund .....	512	427	427
0245 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111–312) .....	339	94	
0299 Total receipts and collections .....	7,039	6,773	6,990
0400 Total: Balances and collections .....	7,259	7,179	7,263
Appropriations:			
0500 Railroad Social Security Equivalent Benefit Account .....	-32	-34	-35
0501 Railroad Social Security Equivalent Benefit Account .....	-7,008	-6,773	-6,990
0502 Railroad Social Security Equivalent Benefit Account .....	-220	-407	-308
0503 Railroad Social Security Equivalent Benefit Account .....	407	308	343
0599 Total appropriations .....	-6,853	-6,906	-6,990
0799 Balance, end of year .....	406	273	273

Program and Financing (in millions of dollars)

Identification code 60–8010–0–7–601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6,786	6,878	7,087
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	32	34	35
1160 Appropriation, discretionary (total) .....	32	34	35
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	7,008	6,773	6,990
1203 Appropriation (previously unavailable) .....	220	407	308
1220 Appropriations transferred to other accts [60–8011] .....	-19	-247	-28
1235 Appropriations precluded from obligation .....	-407	-308	-343
1236 Appropriations applied to repay debt .....	-3,816	-3,753	-3,967
1260 Appropriations, mandatory (total) .....	2,986	2,872	2,960
Borrowing authority, mandatory:			
1400 Borrowing authority .....	3,769	3,972	4,092
1440 Borrowing authority, mandatory (total) .....	3,769	3,972	4,092
1900 Budget authority (total) .....	6,787	6,878	7,087
1930 Total budgetary resources available .....	6,787	6,879	7,088
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	588	563	571
3010 Obligations incurred, unexpired accounts .....	6,786	6,878	7,087
3020 Outlays (gross) .....	-6,811	-6,870	-7,072
3050 Unpaid obligations, end of year .....	563	571	586
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	588	563	571
3200 Obligated balance, end of year .....	563	571	586

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	32	34	35
Outlays, gross:			
4010 Outlays from new discretionary authority .....	32	34	35

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 60-8010-0-7-601	2012 actual	2013 CR	2014 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	6,755	6,844	7,052
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	6,726	6,826	7,027
4101 Outlays from mandatory balances .....	53	10	10
4110 Outlays, gross (total) .....	6,779	6,836	7,037
4180 Budget authority, net (total) .....	6,787	6,878	7,087
4190 Outlays, net (total) .....	6,811	6,870	7,072
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	796	927	927
5001 Total investments, EOY: Federal securities: Par value .....	927	927	971
5080 Outstanding debt, SOY: Repayable advances .....	-3,484	-3,402	-3,622
5081 Outstanding debt, EOY: Repayable advances .....	-3,402	-3,622	-3,747
5082 Borrowing: Repayable advances .....	-3,734	-3,973	-4,092

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. SSEB receives monthly advances from the general fund equal to an estimate of the transfer SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2012, \$3,757 million was advanced and \$3,816 million was repaid.

**Status of Funds** (in millions of dollars)

Identification code 60-8010-0-7-601	2012 actual	2013 CR	2014 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	-2,676	-2,463	-2,807
0199 Total balance, start of year .....	-2,676	-2,463	-2,807
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1200 Refunds, Railroad Social Security Equivalent Benefit Account .....	-3	-30	-3
1201 Railroad Social Security Equivalent Benefit Account, Taxes .....	2,251	2,673	2,735
1202 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund .....	-484	-508	-546
<b>Offsetting receipts (intragovernmental):</b>			
1240 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities .....	21	22	19
1241 Railroad Social Security Equivalent Benefit Account, Income Tax Credits .....	291	222	210
1242 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund .....	-27	-25	-27
1243 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund .....	4,139	3,898	4,175
1244 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund .....	512	427	427
1245 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111-312) .....	339	94	.....
1299 Income under present law .....	7,039	6,773	6,990
3299 Total cash income .....	7,039	6,773	6,990
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
4500 Railroad Social Security Equivalent Benefit Account .....	-6,811	-6,870	-7,072
4599 Outgo under current law (-) .....	-6,811	-6,870	-7,072
6599 Total cash outgo (-) .....	-6,811	-6,870	-7,072
7645 Railroad Social Security Equivalent Benefit Account .....	-19	-247	-28

<b>Manual Adjustments:</b>			
7690 Adjustment - lost investment opportunity .....	4	.....	.....
7699 Total adjustments .....	-15	-247	-28
<b>Unexpended balance, end of year:</b>			
8700 Uninvested balance (net), end of year .....	-3,390	-3,734	-3,888
8701 Railroad Social Security Equivalent Benefit Account .....	927	927	971
8799 Total balance, end of year .....	-2,463	-2,807	-2,917

**Object Classification** (in millions of dollars)

Identification code 60-8010-0-7-601	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
42.0 Benefit payments .....	6,632	6,725	6,920
94.0 Financial transfers .....	121	119	132
94.0 Financial transfers .....	33	34	35
99.9 Total new obligations .....	6,786	6,878	7,087

**RECOVERY ACCOUNTABILITY AND TRANSPARENCY BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Recovery Accountability and Transparency Board to carry out the accountability provisions of title XV of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), and to develop and test information technology resources and oversight mechanisms to enhance transparency of and detect and remediate waste, fraud, and abuse in Federal spending, and to develop and use information technology resources and oversight mechanisms to detect and remediate waste, fraud, and abuse in obligation and expenditure of funds as described in Section 904(d) of the Disaster Relief Appropriations Act, 2013, which shall be administered under the terms and conditions of the accountability authorities of title XV of the Recovery Act, \$12,500,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-3725-0-1-808	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	24	25	13
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	.....	4	7
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	28	28	13
1120 Appropriations transferred to other accts [95-3725] .....	.....	-6	-6
1121 Appropriations transferred from other accts [95-3725] .....	.....	6	6
1160 Appropriation, discretionary (total) .....	28	28	13
1930 Total budgetary resources available .....	28	32	20
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4	7	7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	11	8
3010 Obligations incurred, unexpired accounts .....	24	25	13
3020 Outlays (gross) .....	-27	-28	-10
3050 Unpaid obligations, end of year .....	11	8	11
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	14	11	8
3200 Obligated balance, end of year .....	11	8	11
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	28	28	13

Outlays, gross:				
4010	Outlays from new discretionary authority .....	16	18	9
4011	Outlays from discretionary balances .....	11	10	1
4020	Outlays, gross (total) .....	27	28	10
4180	Budget authority, net (total) .....	28	28	13
4190	Outlays, net (total) .....	27	28	10

The Recovery Accountability and Transparency Board (Board) is an independent federal agency charged with providing accountability and transparency of funds provided under the American Recovery and Reinvestment Act of 2009 (Recovery Act). The primary functions of the Board are to promote accountability by coordinating and conducting oversight of Recovery Act funds to prevent fraud, waste, and abuse and fosters transparency on Recovery Act spending by providing the public with accurate, user-friendly information. The Board also develops and tests information technology resources and oversight mechanisms to enhance transparency of and detect and remediate fraud, waste and abuse in Federal spending. In addition, the Board is to develop and use information technology resources and oversight mechanisms to detect and remediate waste, fraud and abuse as referenced in Section 904(d) of the Disaster Relief Appropriations Act, 2013.

#### Object Classification (in millions of dollars)

Identification code 95-3725-0-1-808	2012 actual	2013 CR	2014 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	3	4	3
11.8	Special personal services payments .....	4	5	1
11.9	Total personnel compensation .....	7	9	4
12.1	Civilian personnel benefits .....	1	2	.....
23.1	Rental payments to GSA .....	1	1	1
25.1	Advisory and assistance services .....	8	7	7
25.3	Other goods and services from Federal sources .....	6	5	1
31.0	Equipment .....	1	1	.....
99.9	Total new obligations .....	24	25	13

#### Employment Summary

Identification code 95-3725-0-1-808	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	39	35	25

## SECURITIES AND EXCHANGE COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,500 for official reception and representation expenses, \$1,674,000,000, to remain available until expended; of which not less than \$74,844,000 shall be used to cover shortfalls in the Commission's funding of obligations incurred in past fiscal years for ongoing multi-year real property contracts; and of which not less than \$7,092,381 shall be for the Office of Inspector General; of which not to exceed \$50,000 shall be available for a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations and staffs to exchange views concerning securities matters, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence: Provided, That fees and charges authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) shall be credited to this account as offsetting collec-

tions: Provided further, That not to exceed \$1,674,000,000 of such offsetting collections shall be available until expended for necessary expenses of this account: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2014 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year 2014 appropriation from the general fund estimated at not more than \$0.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 50-0100-0-1-376	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Enforcement .....	433	415	494
0002	Compliance Inspections and Examinations .....	269	264	347
0003	Corporation Finance .....	138	132	164
0004	Trading and Markets .....	70	79	98
0005	Investment Management .....	50	49	63
0006	Risk, Strategy, and Financial Innovation .....	20	32	51
0007	General Counsel .....	44	42	42
0008	Other Program Offices .....	48	51	64
0009	Agency Direction and Administrative Support .....	101	183	276
0010	Inspector General .....	7	7	8
0799	Total direct obligations .....	1,180	1,254	1,607
0801	Reimbursable program .....	.....	.....	1
0900	Total new obligations .....	1,180	1,254	1,608
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	.....	1	9
1021	Recoveries of prior year unpaid obligations .....	169	.....	.....
1050	Unobligated balance (total) .....	169	1	9
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	33	8	.....
1160	Appropriation, discretionary (total) .....	33	8	.....
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1,289	1,329	1,674
1750	Spending auth from offsetting collections, disc (total) .....	1,289	1,329	1,674
1900	Budget authority (total) .....	1,322	1,337	1,674
1901	Adjustment for new budget authority used to liquidate deficiencies .....	-168	-75	-75
1901	Adjustment for unfunded deficiencies .....	-142	.....	.....
1930	Total budgetary resources available .....	1,181	1,263	1,608
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	9	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,111	942	753
3010	Obligations incurred, unexpired accounts .....	1,180	1,254	1,608
3020	Outlays (gross) .....	-1,180	-1,443	-1,696
3040	Recoveries of prior year unpaid obligations, unexpired .....	-169	.....	.....
3050	Unpaid obligations, end of year .....	942	753	665
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,111	942	753
3200	Obligated balance, end of year .....	942	753	665
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,322	1,337	1,674
Outlays, gross:				
4010	Outlays from new discretionary authority .....	946	1,139	1,424
4011	Outlays from discretionary balances .....	234	304	272
4020	Outlays, gross (total) .....	1,180	1,443	1,696
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4034	Offsetting governmental collections .....	-1,289	-1,329	-1,674
4180	Budget authority, net (total) .....	33	8	.....
4190	Outlays, net (total) .....	-109	114	22
<b>Memorandum (non-add) entries:</b>				
5090	Unavailable balance, start of year: Offsetting collections (adjusted) .....	6,495	6,495	6,495

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 50–0100–0–1–376	2012 actual	2013 CR	2014 est.
5091 Unavailable balance, end of year: Offsetting Collections .....	6,495	6,495	6,495
<b>Unfunded deficiencies:</b>			
7000 Unfunded deficiency, start of year .....	–731	–421	–346
Change in deficiency during the year:			
7012 New budget authority used to liquidate deficiencies .....	310	75	75
7020 Unfunded deficiency, end of year .....	–421	–346	–271

The primary mission of the Securities and Exchange Commission (SEC) is to protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The Commission's six major programs include the following:

**Enforcement.**—The Division of Enforcement investigates and prosecutes violations of the Federal securities laws and works closely with the Department of Justice and U.S. Attorneys offices to coordinate and assist in criminal prosecutions. In 2014, Enforcement plans to enhance the core investigative, litigation, and analytical functions to address increasingly complex financial products and transactions. Specifically, Enforcement plans to focus on bringing additional legal, accounting, and industry expertise to investigations and cases; supporting current initiatives in market intelligence; and enhancing case management. The division also plans to bolster staffing for the Office of Market Intelligence (OMI), which is responsible for the collection, analysis, risk-weighting triage, referral, and monitoring of the thousands of tips, complaints, and referrals that the agency receives each year. The division also continues to focus on enhancing collaboration among all parties involved in investor protection, including other Federal agencies, the SEC's foreign counterparts, and market participants who are critical in helping to identify risks and potential case referrals. Continued investment in technology remains a top priority for Enforcement, as it will enable the staff to work more efficiently and effectively. In 2014, the division plans to invest resources in further development of the suite of capabilities to track and handle tips, complaints, and referrals, as well as a comprehensive case management system.

**Compliance Inspections and Examinations.**—The Office of Compliance Inspections and Examinations (OCIE) conducts the SEC's examination program to detect violations of the Federal securities laws and evaluate internal compliance controls at securities firms registered with the SEC. In 2014, OCIE plans to hire additional examiners to focus on investment advisers and investment companies as part of a multi-year effort to increase coverage of the advisory industry, allowing OCIE to more effectively assess the risk of, monitor, and examine market participants to focus on the areas in greatest need of attention. In addition to regular examinations and monitoring of regulated entities, OCIE will continue to promote stronger industry compliance through the Compliance Outreach Program.

**Corporation Finance.**—The Division of Corporation Finance establishes disclosure requirements and monitors disclosures to provide investors with information necessary to make investment decisions and to help prevent fraud and misrepresentation in securities transactions. Continuing investor interest regarding the quality of financial reporting and corporate governance and significant changes in disclosure and other requirements applicable to reporting companies have contributed to a changing regulatory environment affecting the securities markets. In 2014, the division plans to continue its multi-year effort to enhance disclosure reviews of large or financially significant companies; provide increased interpretive guidance as a result of the en-

hanced filing reviews; review the offering rules to consider their impact on small business capital formation and recommend changes to the Commission as appropriate; and evaluate trends in the increasingly complex offerings of asset-backed securities and other structured financial products. The division also will continue to focus on meeting the review cycle requirements of the Sarbanes-Oxley Act and process in a timely manner all requests for confidential treatment associated with filings.

**Trading and Markets.**—The Division of Trading and Markets' mission is to establish and maintain standards for fair, orderly and efficient markets, while fostering investor protection and confidence in the markets. The division oversees the activities of industry self-regulatory organizations (SRO) such as the Financial Industry Regulatory Authority (FINRA), and also directly regulates market participants where Commission rulemaking is more effective than self-regulation. In 2014, the division will assume the substantial new responsibilities required of it in the Jumpstart Our Business Startups Act (JOBS Act). The division plans to enhance its oversight of market structure and operations, analysis of real-time market data, and economic analysis of proposed SRO rules to determine potential burdens on competition of proposed rule changes. The division will continue to supervise trading in the U.S. exchange, over-the-counter (OTC) securities, and options markets, as well as address issues related to central clearing of credit default swaps, short sales of securities, and manipulation. The division also will continue to work with other U.S. and foreign regulators and industry personnel to devise and implement the most effective and efficient regulatory structure for credit rating agencies and to address risk in the credit default swaps market.

**Investment Management.**—The Division of Investment Management regulates investment companies and investment advisers under two companion statutes: the Investment Company Act of 1940 and the Investment Advisers Act of 1940. The division seeks to protect investors from fraud, mismanagement, self dealing, and inadequate disclosures by investment companies and investment advisers, without imposing unnecessary burdens on regulated entities. In 2014, the division plans to focus on exchange-traded funds (ETFs) and money market funds, which have unique attributes that present different and often more challenging regulatory concerns than conventional funds. The division also plans to conduct financial analysis and data analytics to support its investor protection mandate.

**Risk, Strategy and Financial Innovation.**—In 2014, the Division of Risk, Strategy and Financial Innovation plans to enhance its expertise in equity markets and trading, fixed income markets and products, financial innovation, and asset valuation. The division also plans to focus resources on conducting robust cost-benefit analyses to evaluate the economic impact of proposed rules.

Several additional program offices directly support the major programs, including the Office of Investor Education and Advocacy (OIEA), the Office of the Chief Accountant, and the Office of International Affairs. In 2014, these offices will continue to focus their efforts on: research into investors' decision-making behavior to develop and refine educational programs and materials and help inform the Commission's rulemaking agenda; the Financial Accounting Standards Board's (FASB) standard setting activities, including ongoing major projects on revenue recognition and financial statement presentation; and major international regulatory policy initiatives to strengthen financial markets and investor protection, as well as assisting the Division of Enforcement with numerous cases that have significant international components.

*Implementation of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Act).*—The Act assigned significant new responsibilities to the SEC that will have a substantial long-term impact on the agency's workload, including oversight of hedge fund advisers and a portion of the over-the-counter derivatives market; registration of municipal advisors and securities-based swaps market participants; enhanced supervision of credit rating agencies and clearing agencies; heightened regulation of asset-backed securities; and creation of a new whistleblower program. In 2014, the SEC plans to continue implementation of the Act and fully integrate the new responsibilities and registrants into the agency's operations. Toward this end, the SEC will augment enforcement and examination staffing levels in order to address the additional workload resulting from the increased number and types of market participants, the increased complexity of investigations, and administration of the whistleblower program. The SEC will increase the number of examination staff focused on private funds advisers managing thousands of pooled investment vehicles who will register with the Commission as a result of the Act. The agency also will add staff in the Divisions of Corporation Finance, Trading and Markets, Investment Management, and Risk, Strategy and Financial Innovation to support new responsibilities and increased workload under the Act, including disclosure review of asset-backed securities issuers; oversight of new security-based swap clearing agencies, dealers, and data repositories; inspections of investment companies and advisers to focus on key issues or practices with industry-wide applicability; expanded oversight of private fund advisers; and data management and analysis for the substantial data sets resulting from registration of new entities. The agency plans to emphasize hiring staff with industry expertise for areas that are new additions to the SEC's regulatory portfolio under the Act.

The SEC is funded through offsetting fees collected pursuant to section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee). The Budget proposes \$1.674 billion of the fee collections to finance SEC operations in 2014. Because the SEC's budget is offset by fees, the agency's funding level has no impact on the Federal deficit.

#### Object Classification (in millions of dollars)

Identification code 50-0100-0-1-376	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	566	656	796
11.3 Other than full-time permanent .....	18		1
11.5 Other personnel compensation .....	7	6	11
11.8 Special personal services payments .....	2	3	2
11.9 Total personnel compensation .....	593	665	810
12.1 Civilian personnel benefits .....	172	176	198
13.0 Benefits for former personnel .....	1		1
21.0 Travel and transportation of persons .....	11	13	17
23.2 Rental payments to others .....	37	43	69
23.3 Communications, utilities, and miscellaneous charges .....	10	12	14
24.0 Printing and reproduction .....	8	11	11
25.1 Advisory and assistance services .....	47	112	145
25.2 Other services from non-Federal sources .....	73	72	73
25.3 Other goods and services from Federal sources .....	22	22	24
25.4 Operation and maintenance of facilities .....	7	8	8
25.7 Operation and maintenance of equipment .....	123	23	133
26.0 Supplies and materials .....	2	5	4
31.0 Equipment .....	73	80	82
32.0 Land and structures .....	1	12	18
99.0 Direct obligations .....	1,180	1,254	1,607
99.0 Reimbursable obligations .....			1
99.9 Total new obligations .....	1,180	1,254	1,608

#### Employment Summary

Identification code 50-0100-0-1-376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	3,792	4,213	4,834
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

#### SECURITIES AND EXCHANGE COMMISSION RESERVE FUND

##### Special and Trust Fund Receipts (in millions of dollars)

Identification code 50-5566-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....		25	25
<b>Receipts:</b>			
0200 Registration Fees, Securities and Exchange Commission Reserve Fund .....	50	50	50
0400 Total: Balances and collections .....	50	75	75
<b>Appropriations:</b>			
0500 Securities and Exchange Commission Reserve Fund .....		25	
0501 Securities and Exchange Commission Reserve Fund .....	-50	-75	-75
0502 Securities and Exchange Commission Reserve Fund .....	25		
0599 Total appropriations .....	-25	-50	-75
0799 Balance, end of year .....	25	25	

##### Program and Financing (in millions of dollars)

Identification code 50-5566-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Enforcement .....	1	5	5
0002 Compliance Inspections and Examinations .....	2	7	7
0003 Corporation Finance .....	5	23	23
0004 Trading and Markets .....	1	5	5
0005 Investment Management .....	2	8	8
0009 Agency Direction and Administrative Support .....	1	2	2
0900 Total new obligations .....	12	50	50
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		13	13
Budget authority:			
Appropriations, discretionary:			
1132 Appropriations temporarily reduced .....		-25	
1160 Appropriation, discretionary (total) .....		-25	
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	50	75	75
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-25		
1260 Appropriations, mandatory (total) .....	25	75	75
1900 Budget authority (total) .....	25	50	75
1930 Total budgetary resources available .....	25	63	88
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	13	13	38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		12	31
3010 Obligations incurred, unexpired accounts .....	12	50	50
3020 Outlays (gross) .....		-31	-59
3050 Unpaid obligations, end of year .....	12	31	22
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		12	31
3200 Obligated balance, end of year .....	12	31	22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-25	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-8	
4011 Outlays from discretionary balances .....			-12
4020 Outlays, gross (total) .....		-8	-12
Mandatory:			
4090 Budget authority, gross .....	25	75	75
Outlays, gross:			
4100 Outlays from new mandatory authority .....		26	26

SECURITIES AND EXCHANGE COMMISSION RESERVE FUND—Continued  
Program and Financing—Continued

Identification code 50-5566-0-2-376	2012 actual	2013 CR	2014 est.
4101 Outlays from mandatory balances .....		13	45
4110 Outlays, gross (total) .....		39	71
4180 Budget authority, net (total) .....	25	50	75
4190 Outlays, net (total) .....		31	59

Section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Act) amended section 4 of the Securities Exchange Act of 1934 (15 U.S.C. 78d) by adding the Securities and Exchange Commission Reserve Fund. The Reserve Fund is a separate fund established in the Treasury, from which the Commission may obligate amounts, not to exceed a total of \$100,000,000 in any one fiscal year, it determines are necessary to carry out the functions of the Commission. The Reserve Fund provisions took effect on October 1, 2011.

The Reserve Fund is financed by deposits from registration fees collected by the Commission under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) and section 24(f) of the Investment Company Act of 1940 (15 U.S.C. 80a-24(f)). In any one fiscal year, the amount deposited in the Reserve Fund may not exceed \$50,000,000; funds deposited are available until expended. (The remainder of registration fee collections for each fiscal year will be deposited in the General Fund of the Treasury and are not available for obligation by the Commission.)

Funds deposited in the Reserve Fund are not subject to appropriation or apportionment. The Commission is required to notify Congress, no later than 10 days after obligation, of the amount and purpose of any obligation made utilizing funds from the Reserve Fund.

In 2014, the SEC plans to use the Reserve Fund for EDGAR modernization, the Enterprise Data Warehouse (EDW), the Consolidated Audit Trail (CAT) Repository, analytical tools, and a single software platform to allow analysis of CAT data. Specifically, the SEC plans to continue the multi-year effort to overhaul EDGAR to create a new, modernized system that will, among other things, meet Commission requirements for real-time system updates; reduce filer burden by providing simplified search and filing options based on filer experience (i.e., professional or novice); improve data capture by moving to structured formats for various SEC forms; and reduce the long-term costs of operating and maintaining the systems. The EDGAR modernization effort leverages the centralized EDW, which will allow organization of data using standard definitions and structures, integration of large amounts of data to allow enhanced analytical capabilities and predictive modeling, and strengthened governance of data controls and quality standards.

The CAT Repository will increase the data available to regulators investigating illegal activities such as insider trading and market manipulation; significantly improve the SEC's ability to reconstruct broad-based market events in an accurate and timely manner; monitor overall market structure, and assess how Commission rules are affecting the markets; and decrease regulatory data production burdens on SROs and broker-dealers by reducing the number of ad hoc requests from regulators. In 2014, the SEC plans to develop the ability to intake CAT data and store it in the EDW, as well as develop analytical tools and a single software platform that will allow the SEC to identify patterns, trends, and anomalies in the CAT data. The tools and platform will allow seamless searches of data sets to examine activity to reveal suspicious behavior in securities-related activities and quickly trace their origin.

Object Classification (in millions of dollars)

Identification code 50-5566-0-2-376	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.7 Operation and maintenance of equipment .....	5	36	36
31.0 Equipment .....	7	14	14
99.9 Total new obligations .....	12	50	50

INVESTOR PROTECTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 50-5567-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			90
Receipts:			
0200 Monetary Sanctions, Investor Protection Fund .....		89	176
0240 Interest, Investor Protection Fund .....	1	1	1
0299 Total receipts and collections .....	1	90	177
0400 Total: Balances and collections .....	1	90	267
Appropriations:			
0500 Investor Protection Fund .....	-1		-90
0799 Balance, end of year .....		90	177

Program and Financing (in millions of dollars)

Identification code 50-5567-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Whistleblower Payments .....		110	133
0900 Total new obligations (object class 91.0) .....		110	133
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	451	452	342
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1		90
1260 Appropriations, mandatory (total) .....	1		90
1930 Total budgetary resources available .....	452	452	432
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	452	342	299
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		110	133
3020 Outlays (gross) .....		-110	-133
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1		90
Outlays, gross:			
4101 Outlays from mandatory balances .....		110	133
4180 Budget authority, net (total) .....	1		90
4190 Outlays, net (total) .....		110	133
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	451	451	422
5001 Total investments, EOY: Federal securities: Par value .....	451	422	392

As part of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Wall Street Reform Act), Congress substantially expanded the Securities and Exchange Commission's authority to pay whistleblower awards and enhanced the anti-retaliation protections available to whistleblowers. The intent is to elicit high-quality tips by motivating persons with inside knowledge to assist the Federal Government in identifying and prosecuting individuals who violate the Federal securities laws.

Section 922 of the Act amended the Securities Exchange Act by adding section 21F, entitled "Securities Whistleblower Incentives

and Protection." Among other things, section 21F establishes a whistleblower program that requires the Commission to pay an award, under regulations prescribed by the Commission and subject to certain limitations, to eligible whistleblowers if they provide original information to the SEC that leads to successful enforcement by the SEC of a judicial or administrative action in which monetary sanctions exceeding \$1 million are imposed. The award amount is based on the monetary sanctions actually collected in the Commission action or related action.

As mandated by the Wall Street Reform Act, the Commission's Division of Enforcement has established a Whistleblower Office to administer and enforce the whistleblower program, and the agency has established the Investor Protection Fund to provide funding for the program. The Fund provides resources for payments to whistleblowers and for the SEC Office of the Inspector General's suggestion program. The Employee Suggestion Program is intended for the receipt of suggestions from SEC employees for improvements in the work efficiency, effectiveness, productivity, and use of resources at the SEC, as well as allegations from SEC employees of waste, abuse, misconduct, or mismanagement within the SEC.

The Investor Protection Fund is funded by transferring a portion of monetary sanctions collected by the SEC in judicial or administrative actions brought by the SEC under the securities laws that are not added to disgorgement funds or other funds under section 308 of the Sarbanes-Oxley Act of 2002, or amounts in such funds that are determined not to be distributed to injured investors. No sanction collected by the Commission can be transferred to the Fund if the Fund's balance at the time of the transfer exceeds \$300 million.

The Commission is required to submit an annual report to the Committee on Banking, Housing and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate, on the whistleblower award program.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
50-32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....		794	737
General Fund Offsetting receipts from the public .....		794	737

## PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

### Federal Funds

## PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

### Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-5376-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	39	26	24
Receipts:			
0200 Accounting Support Fees, Public Company Accounting Oversight Board .....	215	234	254
0400 Total: Balances and collections .....	254	260	278
Appropriations:			
0500 Public Company Accounting Oversight Board .....	-228	-236	-253
0799 Balance, end of year .....	26	24	25

## Program and Financing (in millions of dollars)

Identification code 95-5376-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Accounting Oversight .....	228	236	253
0002 Accounting Scholarship Program .....	1	1	1
0900 Total new obligations (object class 25.2) .....	229	237	254
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1160 Appropriation, discretionary (total) .....	1	1	1
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	228	236	253
1260 Appropriations, mandatory (total) .....	228	236	253
1900 Budget authority (total) .....	229	237	254
1930 Total budgetary resources available .....	229	237	254
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	229	237	254
3020 Outlays (gross) .....	-229	-237	-254
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
Mandatory:			
4090 Budget authority, gross .....	228	236	253
Outlays, gross:			
4100 Outlays from new mandatory authority .....	215	236	253
4101 Outlays from mandatory balances .....	13		
4110 Outlays, gross (total) .....	228	236	253
4180 Budget authority, net (total) .....	229	237	254
4190 Outlays, net (total) .....	229	237	254

Note: Because PCAOB does not report budgetary data to Treasury, budget estimates were derived from PCAOB's financial data.

The Sarbanes-Oxley Act of 2002 (P.L. 107-204) established the Public Company Accounting Oversight Board (PCAOB) to oversee the audit of public companies that are subject to federal securities laws. PCAOB was created to protect the interests of investors by regulating the preparation of informative, accurate, and independent audit reports for companies whose securities are sold to, and held by and for, public investors. Funding for PCAOB comes from registration fees paid by public accounting firms and accounting support fees paid by public companies.

## STANDARD SETTING BODY

### Federal Funds

## PAYMENT TO STANDARD SETTING BODY

### Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-5377-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0200 Accounting Support Fees, Standard Setting Body .....	39	38	40
0400 Total: Balances and collections .....	39	38	40
Appropriations:			
0500 Payment to Standard Setting Body .....	-39	-38	-40
0799 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 95-5377-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Advisory and assistance services .....	39	38	40

PAYMENT TO STANDARD SETTING BODY—Continued  
Program and Financing—Continued

Identification code 95-5377-0-2-376	2012 actual	2013 CR	2014 est.
0900 Total new obligations (object class 25.1)	39	38	40
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	39	38	40
1260 Appropriations, mandatory (total)	39	38	40
1900 Budget authority (total)	39	38	40
1930 Total budgetary resources available	39	38	40

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	39	38	40
3020 Outlays (gross)	-39	-38	-40

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	39	38	40
Outlays, gross:			
4100 Outlays from new mandatory authority	39	38	40
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total)	39	38	40
4190 Outlays, net (total)	39	38	40

Note: Because the Standard Setting Body does not provide budgetary data to the Treasury, budget estimates were derived from the Standard Setting Body's financial data.

The Sarbanes-Oxley Act of 2002 (P.L. 107-204) authorizes the Securities and Exchange Commission (SEC) to designate a private entity as a standard setting body. This standard setting body will set accounting principles that will be "generally accepted" for the purposes of federal securities laws. Funding for the standard setting body comes from Accounting Support Fees, paid by public companies. The private entity currently designated as the standard setting body is the Financial Accounting Standards Board (FASB).

SECURITIES INVESTOR PROTECTION CORPORATION

*Federal Funds*

SECURITIES INVESTOR PROTECTION CORPORATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-5600-0-2-376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	1,361	1,537	1,764
Receipts:			
0200 Assessments, SIPC	396	390	400
0220 Earnings on Investments, SIPC	40	39	37
0299 Total receipts and collections	436	429	437
0400 Total: Balances and collections	1,797	1,966	2,201
Appropriations:			
0500 Securities Investor Protection Corporation	-260	-202	-335
0799 Balance, end of year	1,537	1,764	1,866

Program and Financing (in millions of dollars)

Identification code 95-5600-0-2-376	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Program Management	17	18	20
0002 Customer Claims	243	184	315
0900 Total new obligations (object class 25.1)	260	202	335

<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	260	202	335

1260 Appropriations, mandatory (total)	260	202	335
1930 Total budgetary resources available	260	202	335

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	260	202	335
3020 Outlays (gross)	-260	-202	-335

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross	260	202	335
Outlays, gross:			
4100 Outlays from new mandatory authority	260	202	335
4180 Budget authority, net (total)	260	202	335
4190 Outlays, net (total)	260	202	335

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value	1,431	1,607	1,834
5001 Total investments, EOY: Federal securities: Par value	1,607	1,834	1,936

Note: Because the Securities Investor Protection Corporation (SIPC) does not report budgetary data to Treasury, budget estimates were derived from SIPC's financial data.

SIPC was created when Congress passed the Securities Investor Protection Act of 1970 (SIPA). Its purpose is to afford certain protections to customers against loss resulting from broker-dealer failure and, thereby, to promote investor confidence in the nation's securities markets. SIPC is a non-profit membership corporation. Its members are, with some exceptions, all persons registered as brokers or dealers under Section 15(b) of the Securities Exchange Act of 1934 and all persons who are members of a national securities exchange. SIPC receives funds through assessments on its membership and from interest earned on its investments in U.S. Government securities.

SIPC may borrow up to \$2.5 billion from the U.S. Department of the Treasury, through the Securities and Exchange Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. SIPC has not accessed these loans to date, and the Budget does not project that SIPC will require use of these loans over the next ten years.

SMITHSONIAN INSTITUTION

*Federal Funds*

SALARIES AND EXPENSES

*For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease agreements of no more than 30 years, and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; and purchase, rental, repair, and cleaning of uniforms for employees, \$711,233,000, to remain available until September 30, 2015, except as otherwise provided herein; of which not to exceed \$43,204,000 for the instrumentation program, collections acquisition, exhibition reinstallation, the National Museum of African American History and Culture, and the repatriation of skeletal remains program shall remain available until expended; and including such funds as may be necessary to support American overseas research centers: Provided, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 33-0100-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Public programs .....	37	38	76
0002 Exhibitions .....	49	50	56
0003 Collections .....	62	63	67
0004 Research .....	90	92	93
0005 Facilities .....	199	204	215
0006 Security & safety .....	74	75	75
0007 Information technology .....	54	55	56
0008 Operations .....	64	65	70
0009 Development .....	3	4	7
0900 Total new obligations .....	632	646	715
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	38	38
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	637	642	711
1130 Appropriations permanently reduced .....	-1		
1160 Appropriation, discretionary (total) .....	636	642	711
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	4	4
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	7	4	4
1900 Budget authority (total) .....	643	646	715
1930 Total budgetary resources available .....	670	684	753
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	38	38	38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	121	113	90
3010 Obligations incurred, unexpired accounts .....	632	646	715
3020 Outlays (gross) .....	-639	-669	-705
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	113	90	100
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071 Change in uncollected pymts, Fed sources, expired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	117	106	83
3200 Obligated balance, end of year .....	106	83	93
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	643	646	715
Outlays, gross:			
4010 Outlays from new discretionary authority .....	506	562	621
4011 Outlays from discretionary balances .....	133	107	84
4020 Outlays, gross (total) .....	639	669	705
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-4	-4
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070 Budget authority, net (discretionary) .....	636	642	711
4080 Outlays, net (discretionary) .....	634	665	701
4180 Budget authority, net (total) .....	636	642	711
4190 Outlays, net (total) .....	634	665	701

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves more than 137 million items of scientific, cultural, and historic importance for reference and study purposes. These resources may be accessed by millions of visitors and researchers worldwide either in person, or increasingly online. Smithsonian's public exhibitions delve into subjects from aeronautics to zoology.

The Institution operates 19 museums and galleries, a zoological park and animal conservation and research center, research facilities, and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

**Object Classification** (in millions of dollars)

Identification code 33-0100-0-1-503	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	289	297	308
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	13	13	14
11.9 Total personnel compensation .....	305	313	325
12.1 Civilian personnel benefits .....	94	98	102
13.0 Benefits for former personnel .....	3		
21.0 Travel and transportation of persons .....	5	4	5
22.0 Transportation of things .....	1	1	1
23.3 Rent, Communications, and Utilities .....	82	83	85
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	96	101	146
26.0 Supplies and materials .....	17	17	19
31.0 Equipment .....	18	18	21
32.0 Land and structures .....	6	6	6
99.0 Direct obligations .....	628	642	711
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	632	646	715

**Employment Summary**

Identification code 33-0100-0-1-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	4,091	4,195	4,299

**FACILITIES CAPITAL**

*For necessary expenses of repair, revitalization, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), and for construction, including necessary personnel, \$158,000,000, to remain available until expended, of which not to exceed \$10,000 for services as authorized by 5 U.S.C. 3109, and of which \$55,000,000 shall be to continue construction of the National Museum of African American History and Culture: Provided, That a single procurement for construction of the National Museum of African American History and Culture, as authorized under section 8 of the National Museum of African American History and Culture Act (20 U.S.C. 80r-6), may be issued that includes the full scope of the project: Provided further, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232 -18.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 33-0103-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Construction .....	71	74	56
0020 Revitalization .....	94	93	88
0030 Facilities planning and design .....	19	10	12
0900 Total new obligations .....	184	177	156
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	13	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	175	176	158
1160 Appropriation, discretionary (total) .....	175	176	158
1930 Total budgetary resources available .....	197	189	170

FACILITIES CAPITAL—Continued  
Program and Financing—Continued

Identification code 33-0103-0-1-503	2012 actual	2013 CR	2014 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	13	12	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	136	180	196
3010 Obligations incurred, unexpired accounts .....	184	177	156
3020 Outlays (gross) .....	-140	-161	-155
3050 Unpaid obligations, end of year .....	180	196	197
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	136	180	196
3200 Obligated balance, end of year .....	180	196	197
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	175	176	158
Outlays, gross:			
4010 Outlays from new discretionary authority .....		40	37
4011 Outlays from discretionary balances .....	140	121	118
4020 Outlays, gross (total) .....	140	161	155
4180 Budget authority, net (total) .....	175	176	158
4190 Outlays, net (total) .....	140	161	155

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions, and education. This account also includes major repairs, revitalization, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. The Facilities Capital account also includes planning and design related to these activities. The 2014 President's Budget provides funds for critical infrastructure improvements at the Smithsonian American Art Museum's Renwick Gallery and continued construction of the National Museum of African American History and Culture. Current long-term projects in this account include the Suitland Collections Facility and renovations at the National Zoological Park, the National Museum of American History-Behring Center, and the National Museum of Natural History.

Object Classification (in millions of dollars)

Identification code 33-0103-0-1-503	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
12.1 Civilian personnel benefits .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	10	10	10
32.0 Land and structures .....	166	158	137
99.9 Total new obligations .....	184	177	156

Employment Summary

Identification code 33-0103-0-1-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	48	48	48

LEGACY FUND

Program and Financing (in millions of dollars)

Identification code 33-0104-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0020 Revitalization .....	1		
0900 Total new obligations (object class 32.0) .....	1		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1930 Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	8	5
3010 Obligations incurred, unexpired accounts .....	1		
3020 Outlays (gross) .....	-17	-3	-3
3050 Unpaid obligations, end of year .....	8	5	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	24	8	5
3200 Obligated balance, end of year .....	8	5	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	17	3	3
4190 Outlays, net (total) .....	17	3	3

The Legacy Fund is a public-private partnership, in which each federal dollar provided must be matched by private contributions, for the purpose of renovating the historic Arts and Industries Building of the Smithsonian Institution. No funds are requested in 2014.

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

OPERATIONS AND MAINTENANCE

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, \$22,192,500.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 33-0302-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	23	23	22
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	23	22
1160 Appropriation, discretionary (total) .....	23	23	22
1930 Total budgetary resources available .....	23	23	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	5
3010 Obligations incurred, unexpired accounts .....	23	23	22
3020 Outlays (gross) .....	-22	-23	-22
3050 Unpaid obligations, end of year .....	5	5	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	5	5
3200 Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	23	23	22

Outlays, gross:				
4010	Outlays from new discretionary authority .....	19	19	18
4011	Outlays from discretionary balances .....	3	4	4
4020	Outlays, gross (total) .....	22	23	22
4180	Budget authority, net (total) .....	23	23	22
4190	Outlays, net (total) .....	22	23	22

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

**Object Classification** (in millions of dollars)

Identification code 33-0302-0-1-503				
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	5	5	5
23.3	Communications, utilities, and miscellaneous charges .....	7	7	7
25.2	Other services from non-Federal sources .....	11	11	10
99.9	Total new obligations .....	23	23	22

**Employment Summary**

Identification code 33-0302-0-1-503				
1001	Direct civilian full-time equivalent employment .....	56	52	52

**CAPITAL REPAIR AND RESTORATION**

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, \$12,205,500, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 33-0303-0-1-503				
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	17	14	12
0900	Total new obligations (object class 25.2) .....	17	14	12
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3		
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	14	14	12
1160	Appropriation, discretionary (total) .....	14	14	12
1930	Total budgetary resources available .....	17	14	12
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	16	13	14
3010	Obligations incurred, unexpired accounts .....	17	14	12
3020	Outlays (gross) .....	-20	-13	-13
3050	Unpaid obligations, end of year .....	13	14	13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	16	13	14
3200	Obligated balance, end of year .....	13	14	13
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	14	14	12
Outlays, gross:				
4010	Outlays from new discretionary authority .....	7	8	7
4011	Outlays from discretionary balances .....	13	5	6
4020	Outlays, gross (total) .....	20	13	13
4180	Budget authority, net (total) .....	14	14	12
4190	Outlays, net (total) .....	20	13	13

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including safety improvements and major repair of interior spaces, including access for persons with disabilities.

**Employment Summary**

Identification code 33-0303-0-1-503				
1001	Direct civilian full-time equivalent employment .....		4	4

**NATIONAL GALLERY OF ART**

**SALARIES AND EXPENSES**

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901-5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, \$121,215,000, to remain available until September 30, 2015, of which not to exceed \$3,533,000 for the special exhibition program shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 33-0200-0-1-503				
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	114	115	121
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	114	115	121
1160	Appropriation, discretionary (total) .....	114	115	121
1930	Total budgetary resources available .....	114	115	121
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	20	20	18
3010	Obligations incurred, unexpired accounts .....	114	115	121
3020	Outlays (gross) .....	-114	-117	-123
3050	Unpaid obligations, end of year .....	20	18	16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	20	20	18
3200	Obligated balance, end of year .....	20	18	16
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	114	115	121
Outlays, gross:				
4010	Outlays from new discretionary authority .....	97	101	108
4011	Outlays from discretionary balances .....	17	16	15
4020	Outlays, gross (total) .....	114	117	123
4180	Budget authority, net (total) .....	114	115	121
4190	Outlays, net (total) .....	114	117	123

NATIONAL GALLERY OF ART—Continued

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)

Identification code 33-0200-0-1-503	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	56	57	57
11.3 Other than full-time permanent .....	1	1	2
11.5 Other personnel compensation .....	3	3	4
11.9 Total personnel compensation .....	60	61	63
12.1 Civilian personnel benefits .....	18	17	18
22.0 Transportation of things .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....	9	13	13
25.2 Other services .....	12	7	9
25.4 Operation and maintenance of facilities .....	6	9	9
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	6	4	5
99.9 Total new obligations .....	114	115	121

Employment Summary

Identification code 33-0200-0-1-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	794	805	805

REPAIR, RESTORATION, AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, for operating lease agreements of no more than 10 years, with no extensions or renewals beyond the 10 years, that address space needs created by the ongoing renovations in the Master Facilities Plan, as authorized, \$23,000,000, to remain available until expended: Provided, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 33-0201-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	19	23	23
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	14	9	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	14	15	23
1160 Appropriation, discretionary (total) .....	14	15	23
1930 Total budgetary resources available .....	28	24	24
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	9	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	63	35	20
3010 Obligations incurred, unexpired accounts .....	19	23	23
3020 Outlays (gross) .....	-47	-38	-19
3050 Unpaid obligations, end of year .....	35	20	24

<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	63	35	20
3200 Obligated balance, end of year .....	35	20	24

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	14	15	23
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	47	38	19
4180 Budget authority, net (total) .....	14	15	23
4190 Outlays, net (total) .....	47	38	19

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; facilities planning and design, and leases of space necessitated by such renovations. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

Object Classification (in millions of dollars)

Identification code 33-0201-0-1-503	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.4 Operation and maintenance of facilities .....	2	2	2
32.0 Land and structures .....	17	21	21
99.9 Total new obligations .....	19	23	23

Employment Summary

Identification code 33-0201-0-1-503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, \$10,500,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 33-0400-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	11	11	10
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	11	11	10
1160 Appropriation, discretionary (total) .....	11	11	10
1930 Total budgetary resources available .....	11	11	10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	5
3010 Obligations incurred, unexpired accounts .....	11	11	10
3020 Outlays (gross) .....	-10	-11	-11
3050 Unpaid obligations, end of year .....	5	5	4
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	5	5
3200 Obligated balance, end of year .....	5	5	4
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	11	11	10

Outlays, gross:				
4010	Outlays from new discretionary authority .....	7	8	8
4011	Outlays from discretionary balances .....	3	3	3
4020	Outlays, gross (total) .....	10	11	11
4180	Budget authority, net (total) .....	11	11	10
4190	Outlays, net (total) .....	10	11	11

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington, D.C. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue.

**Object Classification** (in millions of dollars)

Identification code 33-0400-0-1-503	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	4	5	5
12.1	Civilian personnel benefits .....	1	1	1
25.2	Other services from non-Federal sources .....	3	3	2
41.0	Grants, subsidies, and contributions .....	3	2	2
99.9	Total new obligations .....	11	11	10

**Employment Summary**

Identification code 33-0400-0-1-503	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	42	50	50

**STATE JUSTICE INSTITUTE**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1984 (42 U.S.C. 10701 et seq.) \$5,121,000, of which \$500,000 shall remain available until September 30, 2015; Provided, That not to exceed \$2,250 shall be available for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 48-0052-0-1-752	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	5	5	5
0900	Total new obligations (object class 41.0) .....	5	5	5
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	5	5	5
1160	Appropriation, discretionary (total) .....	5	5	5
1900	Budget authority (total) .....	5	5	5
1930	Total budgetary resources available .....	5	5	5

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7	8	6
3010	Obligations incurred, unexpired accounts .....	5	5	5
3020	Outlays (gross) .....	-4	-7	-6
3050	Unpaid obligations, end of year .....	8	6	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	8	6
3200	Obligated balance, end of year .....	8	6	5

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	5	5	5
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	4	4
4011	Outlays from discretionary balances .....	3	3	2
4020	Outlays, gross (total) .....	4	7	6
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4180	Budget authority, net (total) .....	5	5	5
4190	Outlays, net (total) .....	4	7	6

The State Justice Institute (SJI) was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States. SJI awards grants to improve the quality of justice in state courts and to foster innovative, efficient solutions to common issues faced by all courts.

**TELECOMMUNICATIONS DEVELOPMENT FUND**

**Federal Funds**

**TELECOMMUNICATIONS DEVELOPMENT FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-5388-0-2-376	2012 actual	2013 CR	2014 est.	
0100	Balance, start of year .....	54	54	54
0799	Balance, end of year .....	54	54	54

**Program and Financing** (in millions of dollars)

Identification code 95-5388-0-2-376	2012 actual	2013 CR	2014 est.	
<b>Memorandum (non-add) entries:</b>				
5010	Total investments, start of year: non-Federal marketable securities .....	81	81	81
5010	Total investments, start of year: non-Federal: venture equity investments .....	14	14	14
5011	Total investments, end of year: non-Federal marketable securities .....	81	81	81
5011	Total investments, end of year: non-Federal venture equity investments .....	14	14	14

The Telecommunications Development Fund (TDF) was created in 1996 with the objective of promoting access to capital for small businesses, enhancing competition in the telecommunications industry, and improving the delivery of telecommunication services to rural areas. TDF received interest earnings from deposits on spectrum auctions. A portion of these earnings was invested in small telecommunications firms.

Since its creation, TDF has collected over \$100 million in interest that would have otherwise been deposited in the Treasury. The Middle Class Tax Relief and Job Creation Act of 2012 eliminated new funding for TDF.

**TENNESSEE VALLEY AUTHORITY**

**Federal Funds**

**TENNESSEE VALLEY AUTHORITY FUND**

**Program and Financing** (in millions of dollars)

Identification code 64-4110-0-3-999	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0801	Power program: Operating expenses .....	10,233	9,834	9,607
0802	Power program: Capital expenditures .....	2,028	2,318	2,976
0803	Other Cash Items .....	16,465	17,640	13,962
0804	Non-Federal Investments .....	15,707	13,752	17,629

TENNESSEE VALLEY AUTHORITY FUND—Continued  
Program and Financing—Continued

Identification code 64-4110-0-3-999	2012 actual	2013 CR	2014 est.
0809 Reimbursable program activities, subtotal .....	44,433	43,544	44,174
0900 Total new obligations .....	44,433	43,544	44,174
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,115	356	1,522
1022 Capital transfer of unobligated balances to general fund .....	-7	-15	-16
1050 Unobligated balance (total) .....	1,108	341	1,506
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	427	1,667	2,136
1440 Borrowing authority, mandatory (total) .....	427	1,667	2,136
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	44,183	43,057	42,038
1801 Change in uncollected payments, Federal sources .....	-73	21	10
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-20	-20	-10
1827 Spending authority from offsetting collections substituted for borrowing authority .....	-836	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	43,254	43,058	42,038
1900 Budget authority (total) .....	43,681	44,725	44,174
1930 Total budgetary resources available .....	44,789	45,066	45,680
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	356	1,522	1,506
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,021	2,048	867
3010 Obligations incurred, unexpired accounts .....	44,433	43,544	44,174
3020 Outlays (gross) .....	-44,406	-44,725	-44,174
3050 Unpaid obligations, end of year .....	2,048	867	867
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,739	-1,666	-1,687
3070 Change in uncollected pymts, Fed sources, unexpired .....	73	-21	-10
3090 Uncollected pymts, Fed sources, end of year .....	-1,666	-1,687	-1,697
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	282	382	-820
3200 Obligated balance, end of year .....	382	-820	-830
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	43,681	44,725	44,174
Outlays, gross:			
4100 Outlays from new mandatory authority .....	43,570	42,779	44,174
4101 Outlays from mandatory balances .....	836	1,946	.....
4110 Outlays, gross (total) .....	44,406	44,725	44,174
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-196	-2,000	-2,000
4123 Non-Federal sources .....	-43,987	-42,165	-41,187
4130 Offsets against gross budget authority and outlays (total) .....	-44,183	-44,165	-43,187
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	73	-21	-10
4160 Budget authority, net (mandatory) .....	-429	539	977
4170 Outlays, net (mandatory) .....	223	560	987
4180 Budget authority, net (total) .....	-429	539	977
4190 Outlays, net (total) .....	223	560	987
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	25	25	25
5001 Total investments, EOY: Federal securities: Par value .....	25	25	25
5010 Total investments, SOY: non-Fed securities: Market value .....	480	836	200
5011 Total investments, EOY: non-Fed securities: Market value .....	836	200	200

Status of Direct Loans (in millions of dollars)

Identification code 64-4110-0-3-999	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	3	16	16
1150 Total direct loan obligations .....	3	16	16

Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	28	22	33
1231 Disbursements: Direct loan disbursements .....	3	16	16
1251 Repayments: Repayments and prepayments .....	-9	-5	-6
1263 Write-offs for default: Direct loans .....	.....	.....	.....
1290 Outstanding, end of year .....	22	33	43

The Tennessee Valley Authority (TVA) was created in 1933 as a government-owned corporation for the unified development of a river basin comprised of parts of seven states. The agency is currently self-funded financing operations from power-rates and borrowings.

**TVA's Non-Power Programs.**—TVA operates a series of 49 dams and 47 reservoirs to reduce the risk of flooding, enable year-round navigation, supply affordable and reliable electricity, improve water quality and water supply, provide recreational opportunities, stimulate economic growth, and provide a wide range of other public benefits. TVA is responsible for critical stewardship activities within the Tennessee Valley which include: water re-lease regulation; maintenance of dam machinery and spillway gates; modifications on nine main and four auxiliary navigation locks and associated mooring facilities; improvement of water quality and supply; management of shoreline erosion; regulation of shoreline development along the Tennessee River and its tributaries; planning and management of 293,000 acres of public land; and operation of public recreation areas. These services are funded entirely by TVA's power revenues and its user fees.

**TVA's Power Program.**—TVA supplies electric power to an area of 80,000 square miles in parts of the seven Tennessee Valley states. Income from power operations, net of interest charges and depreciation, and other operating expenses is approximately \$350 million in 2014 on wholesale revenues of \$11.2 billion. Power generating facilities are financed from power proceeds and borrowings.

**TVA Policy Initiatives.**—Consistent with TVA's vision to be one of the nation's leading providers of low-cost and cleaner energy by 2020, TVA began commercial operation of its John Sevier Combined Cycle Plant a month ahead of schedule and about \$30 million under budget on April 30, 2012. The plant uses natural gas to produce 870 megawatts of electricity, enough to power approximately half a million homes. In April 2012, TVA's Board approved a revised Estimate to Complete ("ETC") for TVA's Watts Bar Unit 2. The ETC concluded that additional funding was needed to complete Watts Bar Unit 2, putting the total estimated cost of completion in the range of \$4.0 billion to \$4.5 billion with estimated completion by December 2015. The new estimate also adds an allowance for addressing impacts associated with Fukushima events and other potential emergent risks. On January 1, 2013, Williams D. Johnson became TVA's president and CEO. Johnson succeeded Tom Kilgore who retired after serving as TVA's first president & CEO since 2006. TVA recently filed its seventh Annual Report on Form 10-K with the Securities and Exchange Commission, which provides transparency of its business operations.

**Financing.**—Amounts estimated to become available for TVA programs in 2014 are to be derived from power revenues and receipts of \$11.2 billion. The outstanding balance of TVA's bonds, notes, and other evidences of indebtedness is limited by statute and cannot exceed \$30 billion. The Budget assumes TVA will increase its debt and debt-like obligations by \$987 million in 2014, primarily from new generating capacity. TVA's outstanding debt and debt-like obligations were \$26.9 billion at the beginning of 2013 and are estimated to increase to \$28.5 billion by the end of 2014. At the beginning of 2013, TVA had \$2.8 billion in debt-

like obligations that are not counted against its statutory debt cap.

*Operating results and financial conditions.*—Payments to the Treasury from power proceeds in 2014 are estimated at \$26 million: \$16 million as a dividend-like return on the appropriation investment in the power program and \$10 million as a reduction in the appropriation investment in the power program. Total capital spending for 2014 is budgeted at \$3.0 billion, which in addition to new generation capacity includes \$703 million for clean air projects, \$97 million for coal combustion residual projects, and \$881 million to maintain TVA's existing generation assets. Total Government equity at September 30, 2014, is estimated to be \$329 million more than that at September 30, 2013. This change includes the net income from power operations and payments to the Treasury.

#### Object Classification (in millions of dollars)

Identification code 64-4110-0-3-999	2012 actual	2013 CR	2014 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,056	1,105	1,116
11.5 Other personnel compensation .....	201	200	190
11.9 Total personnel compensation .....	1,257	1,305	1,306
12.1 Civilian personnel benefits .....	867	536	537
21.0 Travel and transportation of persons .....	32	33	34
22.0 Transportation of things .....	115	5	4
23.2 Rental payments to others .....	63	32	27
24.0 Printing and reproduction .....	1		
25.1 Advisory and assistance services .....	5	3	1
25.2 Other services from non-Federal sources .....	159	75	62
25.7 Operation and maintenance of equipment .....	1,028	505	441
26.0 Supplies and materials .....	544	853	619
31.0 Equipment .....	408	319	209
32.0 Land and structures .....	11	1	2
33.0 Investments and loans .....	39,809	39,809	40,875
41.0 Grants, subsidies, and contributions .....	30	8	8
42.0 Insurance claims and indemnities .....	13	5	4
43.0 Interest and dividends .....	91	55	45
99.9 Total new obligations .....	44,433	43,544	44,174

#### Employment Summary

Identification code 64-4110-0-3-999	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment .....	12,752	13,612	13,300

## UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS

### Trust Funds

#### UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 95-8295-0-7-551	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	98	98	97
<b>Receipts:</b>			
0200 Premiums, Combined Fund and 1992 Plan, UMW .....	35	32	29
0240 Transfers from Abandoned Mine Reclamation Fund .....	48	55	15
0241 Federal Payment to United Mine Workers of America Combined Benefit Fund .....	206	141	180
0299 Total receipts and collections .....	289	228	224
0400 Total: Balances and collections .....	387	326	321
<b>Appropriations:</b>			
0500 United Mine Workers of America Combined Benefit Fund .....	-157	-117	-110
0501 United Mine Workers of America 1992 Benefit Plan .....	-72	-64	-65
0502 United Mine Workers of America 1993 Benefit Plan .....	-60	-48	-49
0599 Total appropriations .....	-289	-229	-224
0799 Balance, end of year .....	98	97	97

#### Program and Financing (in millions of dollars)

Identification code 95-8295-0-7-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America Combined Benefit Fund .....	157	117	110
0900 Total new obligations (object class 42.0) .....	157	117	110
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	157	117	110
1260 Appropriations, mandatory (total) .....	157	117	110
1930 Total budgetary resources available .....	157	117	110
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	157	117	110
3020 Outlays (gross) .....	-157	-117	-110
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	157	117	110
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	157	117	110
4180 Budget authority, net (total) .....	157	117	110
4190 Outlays, net (total) .....	157	117	110

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from an over-funded United Mine Workers pension fund; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

#### UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

#### Program and Financing (in millions of dollars)

Identification code 95-8260-0-7-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America 1992 Benefit Plan .....	72	64	65
0900 Total new obligations (object class 42.0) .....	72	64	65
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	72	64	65
1260 Appropriations, mandatory (total) .....	72	64	65
1930 Total budgetary resources available .....	72	64	65
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	72	64	65
3020 Outlays (gross) .....	-72	-64	-65
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	72	64	65
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	72	64	65
4180 Budget authority, net (total) .....	72	64	65
4190 Outlays, net (total) .....	72	64	65

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care for

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN—Continued those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America; a Medicare prescription drug demonstration; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 95-8535-0-7-551	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America 1993 Benefit Plan .....	60	48	49
0900 Total new obligations (object class 42.0) .....	60	48	49
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	60	48	49
1260 Appropriations, mandatory (total) .....	60	48	49
1930 Total budgetary resources available .....	60	48	49
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	60	48	49
3020 Outlays (gross) .....	-60	-48	-49
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	60	48	49
Outlays, gross:			
4100 Outlays from new mandatory authority .....	60	48	49
4180 Budget authority, net (total) .....	60	48	49
4190 Outlays, net (total) .....	60	48	49

The 1993 Benefit Plan provides health benefits to certain retired mine workers and disabled mine workers who are not eligible for benefits under the Coal Industry Retiree Health Benefit Act of 1992 and who are not receiving benefits from employers' benefit plans. The 1993 Benefit Plan was established through collective bargaining under the National Bituminous Coal Wage Agreement of 1993. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is financed by signatories to the National Bituminous Coal Wage Agreement; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

Federal Funds

SALARIES AND EXPENSES

A total of \$35,407,527, of which \$32,907,527 will be used by the United States Court of Appeals for Veterans Claims for operations as authorized by 38 U.S.C. 7251-7299; and \$2,500,000, which shall be transferred to the Legal Services Corporation to facilitate the furnishing of legal and other assistance in accordance with the process and reporting procedures set forth under this heading in Public Law No. 102-229.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95-0300-0-1-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	27	31	35
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	31	31	35
1160 Appropriation, discretionary (total) .....	31	31	35
1930 Total budgetary resources available .....	31	31	35
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	9	8
3010 Obligations incurred, unexpired accounts .....	27	31	35
3020 Outlays (gross) .....	-27	-32	-39
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	9	8	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	9	8
3200 Obligated balance, end of year .....	9	8	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	31	31	35
Outlays, gross:			
4010 Outlays from new discretionary authority .....	25	28	32
4011 Outlays from discretionary balances .....	2	4	7
4020 Outlays, gross (total) .....	27	32	39
4180 Budget authority, net (total) .....	31	31	35
4190 Outlays, net (total) .....	27	32	39

The United States Court of Appeals for Veterans Claims (Court) is a national court of record established by the Veterans Judicial Review Act, Pub. L. No. 100-687, Division A (1988) (Act). The Act, as amended, is codified in part at 38 U.S.C. 7251-7299. The Court is part of the Federal judicial system and has a permanent authorization for seven judges, one of whom serves as chief judge. The judges are appointed by the President, by and with the advice and consent of the Senate, for 15-year terms, except that two have been appointed for 13-year terms pursuant to Pub. L. No. 106-117, Nov. 30, 1999. Two additional, temporary judgeships are authorized pursuant to 38 U.S.C. 7253(i) and all positions are now filled, with nine active judges serving on the Court. Our five senior judges may also be recalled to provide service throughout the year, as needed. Two other judges are retired due to permanent disability. For management, administration, and expenditure of funds in areas beyond the bounds of Chapter 72 of Title 38, the Court may exercise the authorities provided for such purposes applicable to other courts as defined in Title 28, U.S. Code.

The Court has exclusive jurisdiction to review decisions made by the Department of Veterans Affairs Board of Veterans' Appeals (Board) that adversely affect a person's entitlement to VA benefits. This judicial review, although specialized in scope, is the same as that performed by all other United States Courts of Appeal. In cases before it, the Court has the authority to decide all relevant questions of law; to interpret constitutional, statutory, and regulatory provisions; and to determine the meaning or applicability of actions/decisions by the Secretary of Veterans Affairs. The Court may affirm, set aside, reverse, or remand those

decisions as appropriate. Additionally, the Court has authority under 28 U.S.C. 1651 to issue all writs necessary or appropriate in aid of its jurisdiction, and to act on applications under 28 U.S.C. 2412(d), the Equal Access to Justice Act (EAJA). Certain decisions by the Court are reviewable by the United States Court of Appeals for the Federal Circuit and, if certiorari is granted, by the United States Supreme Court.

The Court is located in Washington, D.C., see 38 U.S.C. 7255 (requiring the principal office of the Court and duty station of each active service judge to be located in the D.C. metropolitan area), but as a national court, the Court may sit anywhere in the United States.

In 1992, Congress authorized the Court to transfer up to \$950,000 from its appropriation that year to the Legal Services Corporation (LSC), for the purpose of providing, facilitating, and furnishing legal and other assistance, through grant or contract, to veterans and others seeking recourse in the Court. That program, often referred to as the pro bono representation program, has been ongoing since that time, with LSC responsible for oversight and grant distribution responsibilities. The Appropriations Subcommittees consider that budget request separately from the Court's budget request, although both are submitted together. A request of \$2,726,000 was made in 2013, and the request for 2014 is \$2,500,000.

**Object Classification** (in millions of dollars)

Identification code 95-0300-0-1-705	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
11.3 Personnel compensation: Other than full-time permanent .....	10	14	14
12.1 Civilian personnel benefits .....	6	7	11
23.1 Rental payments to GSA .....	4	3	3
25.2 Other services from non-Federal sources .....	2	3	3
25.3 Other goods and services from Federal sources .....	1	.....	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3	3	2
99.9 Total new obligations .....	27	31	35

**Employment Summary**

Identification code 95-0300-0-1-705	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	104	127	127

**Trust Funds**

**COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95-8290-0-7-705	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	24	28	32
<b>Receipts:</b>			
0240 Earnings on Investment, Court of Veterans Appeals Retirement Fund, LVE .....	.....	1	1
0241 Employing Agency Contributions, Court of Appeals for Veterans Claims Retirement Fund .....	5	4	7
0299 Total receipts and collections .....	5	5	8
0400 Total: Balances and collections .....	29	33	40
<b>Appropriations:</b>			
0500 Court of Appeals for Veterans Claims Retirement Fund .....	-1	-1	-1
0799 Balance, end of year .....	28	32	39

**Program and Financing** (in millions of dollars)

Identification code 95-8290-0-7-705	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Court of Appeals for Veterans Claims Retirement Fund .....	1	1	1

0900 Total new obligations (object class 42.0) .....	1	1	1
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	1	1	1
1260 Appropriations, mandatory (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1	1	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	24	27	31
5001 Total investments, EOY: Federal securities: Par value .....	27	31	38

The Retirement Fund, established under 38 U.S.C. 7298, is used for judges' retired pay and for annuities, refunds, and allowances provided to surviving spouses and dependent children. Participating judges pay 1% of their salaries to cover creditable service for retired pay purposes and 2.2% of their salaries for survivor annuity purposes. Additional funds needed to cover the unfunded liability may be transferred to this fund from the Court's annual appropriation. The Court's contribution to the fund is estimated annually by an actuarial firm retained by the Court. The fund is invested solely in government securities.

**UNITED STATES ENRICHMENT CORPORATION  
FUND**

**Federal Funds**

UNITED STATES ENRICHMENT CORPORATION FUND

**Program and Financing** (in millions of dollars)

Identification code 95-4054-0-3-271	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	5	10	10
1824 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-5	-10	-10
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4121 Interest on Federal securities .....	-5	-10	-10
4180 Budget authority, net (total) .....	-5	-10	-10
4190 Outlays, net (total) .....	-5	-10	-10
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,593	1,598	1,608
5001 Total investments, EOY: Federal securities: Par value .....	1,598	1,608	1,618
5090 Unavailable balance, SOY: Offsetting collections .....	1,593	1,598	1,608
5091 Unavailable balance, EOY: Offsetting collections .....	1,598	1,608	1,618

**UNITED STATES HOLOCAUST MEMORIAL MUSEUM**

**Federal Funds**

HOLOCAUST MEMORIAL MUSEUM

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106-292 (36 U.S.C. 2301-2310), \$52,385,000, of which \$515,000 shall remain available until September 30, 2016, for the Museum's equipment replacement program; and of which \$1,900,000 for the Museum's repair and rehabilitation program and \$1,264,000 for the Museum's outreach initiatives program shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-3300-0-1-503	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	64	64	68
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	51	51	52
1160 Appropriation, discretionary (total) .....	51	51	52
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	14	15	15
1750 Spending auth from offsetting collections, disc (total) .....	14	15	15
1900 Budget authority (total) .....	65	66	67
1930 Total budgetary resources available .....	70	72	75
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	8	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	12	16
3010 Obligations incurred, unexpired accounts .....	64	64	68
3020 Outlays (gross) .....	-61	-60	-67
3050 Unpaid obligations, end of year .....	12	16	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	12	16
3200 Obligated balance, end of year .....	12	16	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	65	66	67
Outlays, gross:			
4010 Outlays from new discretionary authority .....	37	54	55
4011 Outlays from discretionary balances .....	24	6	12
4020 Outlays, gross (total) .....	61	60	67
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-14	-15	-15
4180 Budget authority, net (total) .....	51	51	52
4190 Outlays, net (total) .....	47	45	52

The Museum is a permanent living memorial to the victims of the Holocaust. As a public-private partnership, the Museum sponsors national educational outreach and scholarship, as well as annual Days of Remembrance commemorations.

**Object Classification** (in millions of dollars)

Identification code 95-3300-0-1-503	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	18	19	19
12.1 Civilian personnel benefits .....	10	9	9
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3

23.3	Communications, utilities, and miscellaneous charges .....	4	4	5
25.2	Other services from non-Federal sources .....	24	24	26
25.4	Operation and maintenance of facilities .....	1	2	3
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	2	1	1
99.9	Total new obligations .....	64	64	68

**Employment Summary**

Identification code 95-3300-0-1-503	2012 actual	2013 CR	2014 est.	
1001	Direct civilian full-time equivalent employment .....	180	194	194

**UNITED STATES INSTITUTE OF PEACE**

**Federal Funds**

UNITED STATES INSTITUTE OF PEACE

For necessary expenses of the United States Institute of Peace, as authorized by the United States Institute of Peace Act, \$35,687,000, to remain available until September 30, 2015, which shall not be used for construction activities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 95-1300-0-1-153	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	43	39	36
0801 Reimbursable program activity .....	3	2	2
0900 Total new obligations .....	46	41	38
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	11	14
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	8	12	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation-Base .....	39	39	36
1160 Appropriation, discretionary (total) .....	39	39	36
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	1	1
1701 Change in uncollected payments, Federal sources .....	6	3	3
1750 Spending auth from offsetting collections, disc (total) .....	16	4	4
1900 Budget authority (total) .....	55	43	40
1930 Total budgetary resources available .....	63	55	55
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-6		
1941 Unexpired unobligated balance, end of year .....	11	14	17

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	20	17
3010 Obligations incurred, unexpired accounts .....	46	41	38
3011 Obligations incurred, expired accounts .....	8	5	
3020 Outlays (gross) .....	-45	-48	-42
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	20	17	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-12	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	-3	-3
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-12	-15	-18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	8	2
3200 Obligated balance, end of year .....	8	2	-6

**UNITED STATES INTERAGENCY COUNCIL ON  
HOMELESSNESS**

**Federal Funds**

**OPERATING EXPENSES**

*For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms, and the employment of experts and consultants under section 3109 of title 5, United States Code) of the United States Interagency Council on Homelessness in carrying out the functions pursuant to title II of the McKinney-Vento Homeless Assistance Act, as amended, \$3,595,000. Title II of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11319) is amended by striking section 209, and in section 204(a) by striking "level V" and inserting "level IV".*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	55	43	40
Outlays, gross:				
4010	Outlays from new discretionary authority .....	27	41	38
4011	Outlays from discretionary balances .....	18	7	4
4020	Outlays, gross (total) .....	45	48	42
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-14	-1	-1
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ....	-15	-1	-1
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-6	-3	-3
4052	Offsetting collections credited to expired accounts .....	5		
4060	Additional offsets against budget authority only (total) .....	-1	-3	-3
4070	Budget authority, net (discretionary) .....	39	39	36
4080	Outlays, net (discretionary) .....	30	47	41
4180	Budget authority, net (total) .....	39	39	36
4190	Outlays, net (total) .....	30	47	41

The United States Institute of Peace (USIP or the Institute), an independent, bipartisan, quasi-federal institution established by the Congress, is responsible for providing Federal leadership to develop, apply, foster, and continuously update cost-effective strategies and tools to prevent, mitigate, and resolve violent international conflicts that threaten or harm America's strategic interests.

National security challenges require an agile contributor focused on flexible, non-bureaucratic, and highly cost-effective conflict prevention operations, training, and analysis supporting America's strategic security interests around the world, including Afghanistan, Pakistan, Syria, South Sudan, Sudan, Tunisia, Libya, Egypt, Afghanistan, Yemen, Colombia, the Palestinian Territories, and Nigeria.

USIP operations include on-the-ground facilitation and mediation in conflict zones, civil society capacity building through training and workshops, enhancing governance and rule of law through support for constitution-making, developing educational and teacher training materials, identifying and disseminating best practices and lessons learned in conflict management, and cutting edge conflict analysis supporting policymakers and practitioners. USIP develops innovative models, approaches, and practices, and continuously updates conflict management tools.

USIP is a significant hub for increasing collaboration in whole-of-government and whole-of-community peacebuilding and supporting and improving conflict management in joint, interagency, non-governmental, and multinational environments. Through active interagency collaboration and personnel assignments, USIP strengthens partnerships, builds networks with government and non-government sectors, and develops synergy across the interagency community in conflict prevention and management.

**Object Classification** (in millions of dollars)

Identification code 95–1300–0–1–153				
	2012 actual	2013 CR	2014 est.	
Direct obligations:				
11.8	Personnel compensation: Special personal services payments .....	13	13	13
12.1	Civilian personnel benefits .....	3	3	3
21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	23	16	14
41.0	Grants, subsidies, and contributions .....	3	6	5
99.0	Direct obligations .....	43	39	36
99.0	Reimbursable obligations .....	3	2	2
99.9	Total new obligations .....	46	41	38

**Program and Financing** (in millions of dollars)

Identification code 48–1300–0–1–808				
	2012 actual	2013 CR	2014 est.	
<b>Obligations by program activity:</b>				
0101	Operations .....	3	3	4
0900	Total new obligations .....	3	3	4
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3	3	4
1160	Appropriation, discretionary (total) .....	3	3	4
1930	Total budgetary resources available .....	3	3	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	3	3	4
3020	Outlays (gross) .....	-3	-3	-4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3	3	4
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	3	4
4180	Budget authority, net (total) .....	3	3	4
4190	Outlays, net (total) .....	3	3	4

The United States Interagency Council on Homelessness (USICH) is an independent Executive Branch agency whose mission is to coordinate the Federal response to homelessness and to create a national partnership at every level of government and with the private sector to reduce and end homelessness. In collaboration with its 19 member Federal agencies, USICH led the development of Opening Doors: the Federal Strategic Plan to Prevent and End Homelessness, which was released in June 2010. The Plan sets four ambitious goals: 1) Finish the job of ending chronic homelessness by 2015; 2) Prevent and end veterans homelessness by 2015; 3) Prevent and end homelessness for families, youth and children by 2020; and 4) set a path to ending all types of homelessness. Working with Federal, state and local partners, USICH is leading the implementation of the Plan in Washington, DC and across the country. The Budget proposes \$3.6 million for USICH to continue implementing the plan. In addition, the Budget permanently authorizes USICH and increases the salary level for the Executive Director to be consistent with other equivalent positions in the Federal Government.

**OPERATING EXPENSES—Continued**  
**Object Classification** (in millions of dollars)

Identification code 48–1300–0–1–808	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	2	2
99.5 Below reporting threshold .....	2	1	2
99.9 Total new obligations .....	3	3	4

**Employment Summary**

Identification code 48–1300–0–1–808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	14	19	22

**VIETNAM EDUCATION FOUNDATION**

*Federal Funds*

VIETNAM DEBT REPAYMENT FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 95–5365–0–2–154	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....			
Receipts:			
0240 Transfers from Liquidating Accounts, Vietnam Debt Repayment Fund .....	5	5	5
0400 Total: Balances and collections .....	5	5	5
Appropriations:			
0500 Vietnam Debt Repayment Fund .....	-5	-5	-5
0799 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 95–5365–0–2–154	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6	5	5
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	5	5	5
1260 Appropriations, mandatory (total) .....	5	5	5
1930 Total budgetary resources available .....	10	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	6	5	5
3020 Outlays (gross) .....	-6	-5	-5

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	5	5
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	6	5	5
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	6	5	5

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106–554) created the Vietnam Education Foundation (VEF) to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the United States in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions of higher education. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning in 2002, and in each subsequent year through 2018, \$5 million of the amounts deposited into the fund from USDA and USAID shall be available to VEF for operations and fellowship programs.

**Object Classification** (in millions of dollars)

Identification code 95–5365–0–2–154	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	5	4	4
99.9 Total new obligations .....	6	5	5

**Employment Summary**

Identification code 95–5365–0–2–154	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	6	6	6

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
95–322076 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	9	5	5
General Fund Offsetting receipts from the public .....	9	5	5

# AMENDMENTS TO AND REVISIONS IN BUDGET AUTHORITY FOR 2013

## STATEMENT ON CHANGES

### (Between the Transmittal of the 2013 and 2014 Budgets)

A statement of all amendments to, or revisions in, budget authority requested between transmittal of the 2013 Budget and the 2014 Budget is presented below. This statement is included in the budget in accordance with the Congressional Budget Act of 1974 (31 U.S.C. 1105(d)).

The modifications to proposals for 2013 budget authority that were made through the course of the past year took the form of Presidential amendments to the budget transmitted on February 13, 2012, and other requests.

These modifications were printed in the documents of the House of Representatives that are identified on the following listing.

Transmitted to the Congress on	Agencies affected	Printed as
April 16, 2012 .....	Department of Defense ..... Department of Health and Human Services Department of Homeland Security Department of Housing and Urban Development Department of State and Other International Programs Corps of Engineers—Civil Works General Provisions, Government-wide Legislative Branch	H. Doc. No. 112–99
September 28, 2012 .....	Department of Defense ..... Department of Homeland Security Department of State Department of the Treasury U.S. Agency for International Development Broadcasting Board of Governors United States Institute of Peace	H. Doc. No. 112–146

December 7, 2012 .....	Department of Agriculture ..... Department of Commerce Department of Defense Department of Health and Human Services Department of Homeland Security Department of Housing and Urban Development Department of the Interior Department of Justice Department of Labor Department of Transportation Department of Veterans Affairs Corps of Engineers—Civil Works Environmental Protection Agency General Services Administration National Aeronautics and Space Administration Small Business Administration Legal Services Corporation Smithsonian Institution	Not available
January 29, 2013 .....	Department of Agriculture ..... Department of Commerce Department of Defense Department of Health and Human Services Department of Homeland Security Department of Housing and Urban Development Department of the Interior Department of Justice Department of Labor Department of Transportation Department of Veterans Affairs Corps of Engineers—Civil Works Environmental Protection Agency General Services Administration National Aeronautics and Space Administration Small Business Administration Social Security Administration Legal Services Corporation Smithsonian Institution	H. Doc. No. 113–7



## ADVANCE APPROPRIATIONS

An advance appropriation is one made to become available one year or more beyond the year for which the appropriations act is passed. Advance appropriations in 2014 appropriations acts will become available for programs in 2015 or beyond. Since these appropriations are not available until after 2014, the amounts will not be included in the 2014 totals, but will be reflected in the totals for the year for which they are requested.

The Congressional Budget Act of 1974 (31 U.S.C. 1105(a)(17)) requires inclusion in the budget of "information on estimates of appropriations for the fiscal year following the fiscal year for which the budget is submitted for grants, contracts, and other payments under each program for which there is an authorization of appropriations for that following fiscal year when the appropriations are authorized to be included in an appropriation law for the fiscal year before the fiscal year in which the appropriation is to be available for obligation." In fulfillment of this requirement, the accompanying table lists those accounts that have either received discretionary or mandatory advance appropriations since 2012 or will request, in 2014, advance appropriations for 2015 and beyond and cites the applicable authorizing statute.

The enacted Continuing Resolution for 2013 (P.L. 112-175) does not explicitly address advance appropriations for 2014. If a full year continuing resolution is enacted, this Budget, as illustrated by the accompanying table, assumes that specific legislative anomaly language will be included to make 2014 advance appropriations available. This is in order to ensure comparability with annual funding provided under the enacted Continuing Resolution for 2013 and to depict accurately the 2014 discretionary request levels compared to the discretionary budget authority caps enacted in the Balanced Budget and Deficit Control Act of 1985, as amended. In general, the Budget also assumes that the 2014 level that would be enacted in the legislative anomaly for discretionary appropriations is consistent with the 2013 advance appropriations that were enacted in the 2012 appropriations acts. However, for the Department of Veterans Affairs, the 2014 level is instead consistent with the 2014 advance appropriation that was requested in the 2013 Budget. For the mandatory advance appropriations, the Budget assumes that the 2014 level is the request from the 2013 Budget.

For additional information on advance appropriations, please refer to the Budget Process chapter in the *Analytical Perspectives* volume.

<b>Department of Education (20 U.S.C. 1223):<sup>3</sup></b>				
Education for the Disadvantaged .....	10,841	10,841	10,841	11,682
Special Education .....	8,592	9,283	9,283	10,124
Career, Technical, and Adult Education .....	791	791	791	791
School Improvement Programs .....	1,681	1,681	1,681	.....
<b>Department of Housing and Urban Development (42 U.S.C. 1437 et seq.):</b>				
Tenant-Based Rental Assistance .....	4,000	4,000	4,000	4,000
2012 Enacted Cancellation (P.L. 112-55) .....	-650	.....	.....	.....
Project-Based Rental Assistance .....	400	400	400	400
<b>Department of Labor:</b>				
Training and Employment Services (29 U.S.C. 2801 et seq.) .....	1,772	1,772	1,772	1,772
Office of Job Corps (29 U.S.C. 2881 et seq.) .....	691	.....	.....	.....
<b>Department of Veterans Affairs (P.L. 111-81):</b>				
Medical Services .....	39,650	41,354	43,557	45,016
Medical Support and Compliance .....	5,535	5,746	6,033	5,880
Medical Facilities .....	5,426	5,441	4,872	4,739
Enacted (P.L. 112-74) and Proposed Cancellations and Reappropriations <sup>4</sup> .....	-1,750	.....	-1,750	.....
<b>Postal Service:</b>				
Payment to Postal Service fund (39 U.S.C. 2401) .....	75	78	78	71
<b>Discretionary Two-year Advances:</b>				
Corporation for Public Broadcasting (47 U.S.C. 396) <sup>5</sup> .....	445	445	445	445
<b>Subtotal, Discretionary Advance Appropriations .....</b>	<b>77,499</b>	<b>81,832</b>	<b>82,003</b>	<b>85,873</b>
<b>Mandatory:</b>				
<b>Department of Health and Human Services:</b>				
Grants to States for Medicaid (42 U.S.C. 1396) .....	86,445	90,614	106,336	103,472
Payments to States for Child Support Enforcement and Family Support (24 U.S.C. Ch. 9) .....	1,200	1,100	1,100	1,250
Payments to States for Foster Care and Adoption Assistance (P.L. 96-272) .....	1,850	2,100	2,200	2,200
<b>Department of Labor:</b>				
Special Benefits for Disabled Coal Miners (30 U.S.C. 921) .....	41	40	35	24
<b>Social Security Administration:</b>				
Supplemental Security Income Program (42 U.S.C. 1381) .....	13,400	18,200	19,300	19,700
<b>Total, Advance Appropriations .....</b>	<b>180,435</b>	<b>193,886</b>	<b>210,974</b>	<b>212,519</b>

<sup>1</sup>Any across-the-board reduction provisions included in the Consolidated Appropriations Act, 2012 (P.L. 112-74) are excluded.

<sup>2</sup>One additional new advance appropriation that the Administration is proposing to be considered outside of the limit on advance appropriations is for full funding of construction of Virginia class submarines at the Department of Defense (DOD). In the 2014 request, a regular appropriation is requested in 2014 and an advance appropriation is requested for 2015 in the Shipbuilding and Conversion, Navy account to fully fund the construction of Virginia class submarines.

<sup>3</sup>To account for the Administration's Elementary and Secondary Education Act reauthorization proposal, the 2014 Budget eliminates the \$1.681 billion advance appropriation that was previously in the School Improvement account (renamed the Education Improvement Account) and replaces it with corresponding increases to advance appropriations in the accounts for Education for the Disadvantaged (\$841 million, renamed Accelerating Achievement and Ensuring Equity) and Special Education (\$841 million). Total advance appropriations in the Department of Education remain unchanged at \$22.596 billion.

<sup>4</sup>The Consolidated Appropriations Act, 2012 (P.L. 112-74) cancelled \$1,750 million from the one-year funds advance appropriated for 2012 in P.L. 112-10 and reappropriated an equal amount for 2012 with an extended period of availability. The 2014 Budget proposes to cancel \$1,750 million from the one-year funds assumed to be appropriated for 2014 in the legislative anomaly, which would make 2014 advance appropriations available, and reappropriate an equal amount for 2014 with an extended period of availability.

<sup>5</sup>The 2014 request includes a 2016 advance appropriation for the Corporation for Public Broadcasting of \$445 million, consistent with the historical practice of providing the Corporation a two-year advance appropriation.

### Advance Appropriations by Agency in the 2014 Budget

(Budget authority in millions of dollars)

Agency/Program	Pre-cancellation Enacted Levels		CR 2014	2015 Request
	2012 <sup>1</sup>	2013		
<b>Discretionary One-year Advances:</b>				
<b>Department of Defense (P.L. 112-239):</b>				
Shipbuilding and Conversion, Navy <sup>2</sup> .....	.....	.....	.....	953



# FINANCING VEHICLES AND THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE

This chapter contains descriptions of and data on financing vehicles and the Board of Governors of the Federal Reserve. The Financing Corporation functions as a financing vehicle for the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund. The Resolution Funding Corporation provided financing for the Resolution Trust Corporation (RTC) and is subject to the general oversight and direction of the Secretary of the Treasury.

The Board of Governors of the Federal Reserve System's transactions are not included in the Budget because of its unique status in the conduct of monetary policy. The Board provides data on its administrative budget, which is included here for information. Its budget schedules and statements are not subject to review by the President.

Amounts are on a calendar year basis, with the exception of the 2012 balance sheets for the Financing Corporation and Resolution Funding Corporation, which are as of September 30, 2012.

## FINANCING CORPORATION

The Financing Corporation (FICO) is a mixed-ownership Government corporation, chartered by the Federal Home Loan Bank Board pursuant to the Federal Savings and Loan Insurance Corporation Recapitalization Act of 1987, as amended (Act). FICO's sole purpose is to function as a financing vehicle for the FSLIC Resolution Fund, formerly the Federal Savings and Loan Insurance Corporation. Pursuant to the Act, FICO was authorized to issue debentures, bonds, and other obligations subject to limitations contained in the Act, the net proceeds of which were to be used solely to purchase capital certificates issued by the FSLIC Resolution Fund, or to refund any previously issued obligations. The Resolution Trust Corporation Refinancing, Restructuring, and Improvement Act of 1991 terminated FICO's borrowing authority.

The Act provided formulas pursuant to which the Federal Home Loan Banks make capital contributions to FICO. FICO used the proceeds received from the sales of such capital stock to purchase non-interest bearing securities for deposit in a segregated account as required by the Act. The non-interest bearing securities held in the segregated account are the primary source of repayment of the principal of FICO obligations. Securities in the segregated account are kept separate from other FICO accounts and funds, but are not specifically pledged as collateral for the payment of obligations. The primary source of payment of interest on the obligations is the receipt of assessments imposed on and collected from institutions' accounts which are insured by the Federal Deposit Insurance Corporation's Deposit Insurance Fund.

### Balance Sheet (in millions of dollars)

Identification code 39-4980-0-4-373	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Investments in US securities:		
1102 Segregated accounts investment, net .....	4,968	5,297
Other Federal assets:		
1801 Cash, cash equivalents .....	209	293
1901 Other assets .....	8	8
1999 Total assets .....	5,185	5,598
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Interest payable .....	157	236
2203 Debt .....	8,151	8,152
2207 Other .....	79	82
2999 Total liabilities .....	8,387	8,470

<b>NET POSITION:</b>		
3100 FICO capital stock purchased by FHLBanks .....	680	680
3300 Cumulative results of operations .....	4,288	4,617
3300 FSLIC capital certificates .....	-8,170	-8,170
3999 Total net position .....	-3,202	-2,873
4999 Total liabilities and net position .....	5,185	5,597

## RESOLUTION FUNDING CORPORATION

The Resolution Funding Corporation (REFCORP) is a mixed-ownership Government corporation established by Title V of the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). The sole purpose of REFCORP was to provide financing for the Resolution Trust Corporation (RTC). Pursuant to FIRREA, REFCORP was authorized to issue debentures, bonds, and other obligations, subject to limitations contained in the Act and regulations established by the Thrift Depositor Protection Oversight Board. The proceeds of the debt (less any discount, plus any premium, net of issuance cost) were used solely to purchase nonredeemable capital certificates of RTC or to refund any previously issued obligations.

Until October 29, 1998, REFCORP was subject to the general oversight and direction of the Thrift Depositor Protection Oversight Board. At that time, the Oversight Board was abolished and its authority and duties were transferred to the Secretary of the Treasury. The day-to-day operations of REFCORP are under the management of a three-member Directorate comprised of the Director of the Office of Finance of the Federal Home Loan Banks and two members selected from among the presidents of the 12 Federal Home Loan Banks (FHLBanks). Members of the Directorate serve without compensation, and REFCORP is not permitted to have any paid employees.

FIRREA, as amended, and the regulations adopted by the Thrift Depositor Protection Oversight Board and the Secretary of the Treasury required that Federal Home Loan Banks (FHLBs) contribute 20 percent of net earnings annually to assist in the payment of interest on bonds issued by REFCORP until such time as the total payments are equivalent to a \$300 million annual annuity with a final maturity date of April 15, 2030. The FHLBs fulfilled this obligation on August 5, 2011.

### Balance Sheet (in millions of dollars)

Identification code 39-4981-0-4-373	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Investments in US securities:		
1102 Principal fund account investment, net .....	12,636	13,398
1206 Non-Federal assets: Assessments receivable for interest expense .....	886	888
1999 Total assets .....	13,522	14,286
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Accrued interest payable on long-term obligations .....	886	888
2203 Debt .....	30,071	30,070
2999 Total liabilities .....	30,957	30,958
<b>NET POSITION:</b>		
3100 Nonvoting capital stock issued to FHLBanks .....	2,513	2,513
3300 Cumulative results of operations .....	10,282	11,045
3300 RTC nonredeemable capital certificates .....	-31,286	-31,286
3300 Contributed capital - principal fund assessments .....	1,056	1,056
3999 Total net position .....	-17,435	-16,672
4999 Total liabilities and net position .....	13,522	14,286

BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

Program and Financing (in millions of dollars)

Identification code 39-4982-0-4-803	2011 actual	2012 est.	2013 est.
<b>Obligations by program activity:</b>			
0801 Monetary and economic policy .....	99	112	126
0802 Federal Reserve System policy direction .....	37	33	36
0803 Supervisory, regulatory, and legal services .....	146	173	182
0804 Support and security services .....	158	187	201
0805 Extraordinary items .....		1	16
0809 Reimbursable program activities, subtotal .....	440	506	561
0810 Office of Inspector General operating expenses .....	12	16	26
0900 Total new obligations .....	452	522	587
<b>Budgetary Resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	452	522	587
1850 Spending auth from offsetting collections, mand (total) .....	452	522	587
1930 Total budgetary resources available .....	452	522	587
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	452	522	587
3020 Financing disbursements (gross) .....	-452	-522	-587
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Financing authority, gross .....	452	522	587
4110 Financing disbursements, gross .....	452	522	587
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-452	-522	-587

The Federal Reserve System operates under the provisions of the Federal Reserve Act of 1913, as amended, and other acts of the Congress.

To carry out its responsibilities under this Act, the Board determines general monetary, credit, and operating policies for the System as a whole and formulates the rules and regulations ne-

cessary to carry out the purposes of the Federal Reserve Act. The Board's principal duties consist of exerting an influence over credit conditions and supervising the Federal Reserve banks and member banks.

Under the provisions of section 10 of the Federal Reserve Act, the Board of Governors levies upon the Federal Reserve banks, in proportion to their capital and surplus, an assessment sufficient to pay its estimated expenses. The Board, under this Act, determines and prescribes the manner in which its obligations are incurred and its expenses paid. Funds derived from assessments are deposited in the Federal Reserve Bank of Richmond, and this Act provides that such funds "not be construed to be Government funds or appropriated moneys." No Government appropriation is required to support operations of the Board.

The information presented pertains to Board operations only. Expenditures made on behalf of the Federal Reserve banks for production, issuance, retirement, and shipment of Federal Reserve notes are not included, since they are reimbursed in full by the Federal Reserve banks.

Object Classification (in millions of dollars)

Identification code 39-4982-0-4-803	2011 actual	2012 est.	2013 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	270	297	323
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	275	302	328
12.1 Civilian personnel benefits .....	52	61	65
13.0 Benefits for former personnel .....	5	7	11
21.0 Travel and transportation of persons .....	15	14	15
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	9	9	11
24.0 Printing and reproduction .....	2	2	3
25.1 Advisory and assistance services .....	41	61	80
25.2 Other services from non-Federal sources .....	21	22	26
26.0 Supplies and materials .....	12	15	15
31.0 Equipment .....	19	28	32
99.9 Total new obligations .....	452	522	587

## GOVERNMENT-SPONSORED ENTERPRISES

This chapter contains descriptions of the data on the Government-sponsored enterprises listed below. These enterprises were established and chartered by the Federal Government for public policy purposes. They are not included in the Federal Budget because they are private companies, and their securities are not backed by the full faith and credit of the Federal Government. However, because of their public purpose, detailed statements of financial condition are presented, to the extent such information is available, on a basis that is as consistent as practicable with the basis for the budget data of Government agencies.

—The Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation provide assistance to the secondary market for residential mortgages.

—The Federal Home Loan Banks assist thrift institutions, banks, insurance companies, and credit unions in providing financing for housing and community development.

—Institutions of the Farm Credit System, which include the Agricultural Credit Bank and Farm Credit Banks, provide financial assistance to agriculture. They are regulated by the Farm Credit Administration.

—The Federal Agricultural Mortgage Corporation, also a Farm Credit System institution under the regulation of the Farm Credit Administration, provides a secondary market for agricultural real estate, rural housing loans, and certain rural utility loans, as well as for farm and business loans guaranteed by the U.S. Department of Agriculture.

### FEDERAL NATIONAL MORTGAGE ASSOCIATION

#### PORTFOLIO PROGRAMS

##### Status of Direct Loans (in millions of dollars)

Identification code 39-4986-0-4-371	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	722,158	654,269	552,500
1251 Repayments: Repayments and prepayments .....	-67,889	-101,769	-82,875
1290 Outstanding, end of year .....	654,269	552,500	469,625

The Federal National Mortgage Association (Fannie Mae) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Fannie Mae is a federally chartered, privately owned company with a public mission to provide stability in and to increase the liquidity of the residential mortgage market and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Fannie Mae engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Fannie Mae was established in 1938 to assist private markets in providing a steady supply of funds for housing. Fannie Mae was originally a subsidiary of the Reconstruction Finance Corporation and was permitted to purchase only loans insured by the Federal Housing Administration (FHA). In 1954, Fannie Mae was restructured as a mixed ownership (part government, part private) corporation. Legislation directed the sale of the Government's remaining interest in Fannie Mae in 1968 and completed the transformation to private shareholder ownership in 1970.

Stress in the mortgage markets has eliminated Fannie Mae's stockholder equity, and required ongoing assistance from Treasury under authority provided by the Congress in the Housing and Economic Recovery Act (HERA) of 2008. HERA strengthened

housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and provided temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. In September 2008, FHFA put Fannie Mae under Federal conservatorship and the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Fannie Mae to make investments of up to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012, less any surplus remaining as of December 31, 2012. As of December 31, 2012, Fannie Mae had received \$116.1 billion under the PSPA and made \$31.4 billion in dividend payments to Treasury. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current federal assistance being provided to Fannie Mae, including the PSPA, is shown on-budget. For additional discussion and analyses of Fannie Mae, please see the *Analytical Perspectives* volume of the Budget documents.

#### Balance Sheet (in millions of dollars)

Identification code 39-4986-0-4-371	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Investments in US securities:		
1102 Treasury securities, par .....	40,755	19,897
1201 Non-Federal assets: Investments in other securities, net .....	38,415	45,500
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Mortgage Loans and Mortgage Related Securities .....	421,760	389,519
1601 Mortgage Loans and Mortgage Related Securities - Consolidated Trusts .....	2,583,699	2,642,354
1604 Direct loans and interest receivable, net .....	3,005,459	3,031,873
1606 Acquired Property, net .....	12,195	10,278
1699 Value of assets related to direct loans .....	3,017,654	3,042,151
1801 Other Federal assets: Cash and other monetary assets .....	117,053	118,702
1999 Total assets .....	3,213,877	3,226,250
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Interest payable .....	12,928	11,732
2203 Debt .....	744,803	652,971
2203 Debt - Consolidated Trusts .....	2,446,973	2,543,739
2207 Other .....	16,964	15,396
2999 Total liabilities .....	3,221,668	3,223,838
<b>NET POSITION:</b>		
3300 Senior Preferred Stock .....	104,787	117,149
3300 Private Equity .....	-112,640	-114,790
3300 Noncontrolling Interest .....	62	53
3999 Total net position .....	-7,791	2,412
4999 Total liabilities and net position .....	3,213,877	3,226,250

#### MORTGAGE-BACKED SECURITIES

##### Status of Direct Loans (in millions of dollars)

Identification code 39-4987-0-4-371	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,650,633	2,694,799	2,694,799
1231 Disbursements: Direct loan disbursements .....	820,509	.....	.....
1251 Repayments: Repayments and prepayments .....	-776,343	.....	.....
1290 Outstanding, end of year .....	2,694,799	2,694,799	2,694,799

## MORTGAGE-BACKED SECURITIES—Continued

Prior to January 1, 2010 the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Fannie Mae were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Fannie Mae, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards implemented on January 1, 2010 require consolidation of many, but not all, of these securities in Fannie Mae's financial statements. For the purposes of this document they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Fannie Mae as "Issuances" and "Liquidations" respectively.

## FEDERAL HOME LOAN MORTGAGE CORPORATION

### PORTFOLIO PROGRAMS

#### Status of Direct Loans (in millions of dollars)

Identification code 39-4988-0-4-371	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	679,133	567,966	552,500
1251 Repayments: Repayments and prepayments .....	-111,167	-15,466	-82,875
1290 Outstanding, end of year .....	567,966	552,500	469,625

The Federal Home Loan Mortgage Corporation (Freddie Mac) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Freddie Mac is a federally chartered, shareholder-owned, private company with a public mission to provide stability in and increase the liquidity of the residential mortgage market, and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Freddie Mac engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Freddie Mac was established in 1970 under the Emergency Home Finance Act. The Congress chartered Freddie Mac to provide mortgage lenders with an organized national secondary market enabling them to manage their conventional mortgage portfolio more effectively and gain indirect access to a ready source of additional funds to meet new demands for mortgages. Freddie Mac serves as a conduit facilitating the flow of investment dollars from the capital markets to mortgage lenders, and ultimately, to homebuyers.

Stress in the mortgage markets has eliminated Freddie Mac's stockholder equity, and required ongoing assistance from Treasury under authority provided by Congress in the Housing and Economic Recovery Act (HERA) of 2008. HERA strengthened housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and provided temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. In September 2008, FHFA put Freddie Mac under Federal conservatorship and the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Freddie Mac to make investments of up to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012,

less any surplus remaining as of December 31, 2012. As of December 31, 2012, Freddie Mac had received \$71.3 billion under the PSPA and made \$23.8 billion in dividend payments to Treasury. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current federal assistance being provided to Freddie Mac, including the PSPA, is shown on-budget. For additional discussion and analyses of Freddie Mac, please see the *Analytical Perspectives* volume of the Budget documents.

#### Balance Sheet (in millions of dollars)

Identification code 39-4988-0-4-371	2011 actual	2012 actual
<b>ASSETS:</b>		
Federal assets: Investments in US securities:		
1102 Treasury securities, par .....	18,159	21,554
1201 Non-Federal assets: Investments in other securities, net .....	13,305	47,660
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Mortgage Loans and Mortgage Related Securities .....	456,671	399,450
1601 Mortgage Loans and Mortgage Related Securities - Consolidated Trusts .....	1,611,580	1,505,576
1604 Direct loans and interest receivable, net .....	2,068,251	1,905,026
1606 Acquired property, net .....	5,630	4,502
1699 Value of assets related to direct loans .....	2,073,881	1,909,528
Other Federal assets:		
1801 Cash and other monetary assets .....	63,082	36,210
1901 Other assets .....	3,909	1,551
1999 Total assets .....	2,172,336	2,016,503
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Interest payable .....	8,603	7,528
2203 Debt .....	674,421	565,036
2203 Debt - Consolidated Trusts .....	1,488,036	1,432,632
2207 Other .....	7,267	6,400
2999 Total liabilities .....	2,178,327	2,011,596
<b>NET POSITION:</b>		
3300 Senior Preferred Stock .....	66,179	72,336
3300 Private Equity .....	-72,170	-67,429
3999 Total net position .....	-5,991	4,907
4999 Total liabilities and net position .....	2,172,336	2,016,503

## MORTGAGE-BACKED SECURITIES

### Status of Direct Loans (in millions of dollars)

Identification code 39-4989-0-4-371	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,689,091	1,591,666	1,591,666
1231 Disbursements: Direct loan disbursements .....	413,062		
1251 Repayments: Repayments and prepayments .....	-510,487		
1290 Outstanding, end of year .....	1,591,666	1,591,666	1,591,666

Prior to January 1, 2010 the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Freddie Mac were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Freddie Mac, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards implemented on January 1, 2010 require consolidation of many, but not all, of these securities in Freddie Mac's financial statements. For the purposes of this document, they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Freddie Mac as "Issuances" and "Liquidations" respectively.

**FEDERAL HOME LOAN BANK SYSTEM**

## FEDERAL HOME LOAN BANKS

**Status of Direct Loans** (in millions of dollars)

Identification code 39-4990-0-4-371	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations .....	2,654,585	2,654,585	2,654,585
1150 Total direct loan obligations .....	2,654,585	2,654,585	2,654,585
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	470,665	463,076	463,076
1231 Disbursements: Direct loan disbursements .....	2,654,585	2,654,585	2,654,585
1251 Repayments: Repayments and prepayments .....	-2,659,771	-2,654,585	-2,654,585
1264 Write-offs for default: Other adjustments, net (+ or -) .....	-2,403		
1290 Outstanding, end of year .....	463,076	463,076	463,076

The Federal Home Loan Bank System is a Government-sponsored enterprise (GSE) in the housing finance market. The Federal Home Loan Banks were chartered by the Federal Home Loan Bank Board under the authority of the Federal Home Loan Bank Act of 1932 (Act). The 12 Federal Home Loan Banks (FHLBanks) are under the supervision of the Federal Housing Finance Agency (FHFA), established by the Congress in 2008. The common mission of FHLBanks is to facilitate the extension of credit through their members. To accomplish this mission, FHLBanks make loans, called "advances", and provide other credit products and services to their over 7,700 member commercial banks, savings associations, insurance companies, and credit unions. Advances and letters of credit must be fully secured by eligible collateral, and long-term advances may be made only for the purpose of providing funds for residential housing finance. However, "community financial institutions" may also use long-term advances to finance small businesses, small farms, and small agribusinesses. Additionally, specialized advance programs provide funds for community reinvestment and affordable housing programs. All regulated financial depositories, certified community development financial institutions, and insurance companies engaged in residential housing finance are eligible for membership. Each FHLBank operates in a geographic district and together FHLBanks cover all of the United States, as well as the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands. The principal source of funds for the lending operation is the sale of consolidated obligations to the public. The consolidated obligations are not guaranteed by the U.S. Government as to principal or interest. Other sources of lendable funds include members' deposits and capital. Funds not immediately needed for advances to members are invested. The capital stock of the Federal Home Loan Banks is owned entirely by the members. Initially the U.S. Government purchased stock of the banks in the amount of \$125 million. The banks had repurchased the Government's investment in full by mid-1951. The Act, as amended in 1989, requires each FHLBank to operate an Affordable Housing Program (AHP). Each FHLBank provides subsidies in the form of direct grants or below-market rate advances for members that use the funds for qualifying affordable housing projects. Each of the FHLBanks must set aside annually 10 percent of its previous year's net earnings, subject to an aggregate minimum of \$100 million, for the AHP. The Act, as amended in 1999, also required that FHLBanks contribute 20 percent of net earnings annually to assist in the payment of interest on bonds issued by the Resolution Funding Corporation until such time as the total payments are equivalent to a \$300 million annual annuity with a final maturity date of April 15, 2030. The FHLBs fulfilled this obligation on August 5, 2011. A rule issued on June 23, 2004 required each

FHLBank to register a class of its stock with the Securities and Exchange Commission. All of the Federal Home Loan Banks complied by 2006. For additional discussion and analyses of the FHLBanks, please see the *Analytical Perspectives* volume of the Budget.

**Balance Sheet** (in millions of dollars)

Identification code 39-4990-0-4-371	2011 actual	2012 actual
ASSETS:		
Federal assets: Investments in US securities:		
1102 Treasury securities, par .....	1,452	2,169
Non-Federal assets:		
1201 Investments in other securities, net .....	289,022	275,025
1206 Accounts receivable .....	1,614	1,454
1401 Net value of assets related to direct loans receivable: Direct loans receivable, gross .....	470,548	462,939
Other Federal assets:		
1801 Cash and other monetary assets .....	14,251	4,040
1803 Property, plant and equipment, net .....	220	202
1901 Other assets .....	1,372	3,153
1999 Total assets .....	778,479	748,982
LIABILITIES:		
2101 Federal liabilities: REFCORP and Affordable Housing Program .....	724	743
Non-Federal liabilities:		
2202 Interest payable .....	2,418	1,864
2203 Debt .....	702,798	679,710
2207 Deposit funds and other borrowing .....	17,481	12,579
2207 Other .....	14,815	12,566
2999 Total liabilities .....	738,236	707,462
NET POSITION:		
3100 Invested capital .....	40,243	41,520
4999 Total liabilities and net position .....	778,479	748,982

**FARM CREDIT SYSTEM**

The Farm Credit System (System) is a Government-sponsored enterprise that provides privately financed credit to agricultural and rural communities. The major functional entities of the System are (1) the Agricultural Credit Bank (ACB); (2) the Farm Credit Banks (FCBs); and (3) the direct-lender associations. Farmer Mac, which is also an institution of the System, is discussed separately below. The history and specific functions of the bank entities are discussed after the presentation of financial schedules for each bank entity. As part of the System, these entities are regulated and examined by the Farm Credit Administration (FCA), an independent Federal agency. The administrative costs of FCA are financed by assessments of System institutions, including Farmer Mac. System banks finance loans primarily from sales of bonds to the public and their own capital funds. The System bonds issued by the banks are not guaranteed by the U.S. Government as to either principal or interest. The bonds are backed by an insurance fund, administered by the Farm Credit System Insurance Corporation (FCSIC), an independent Federal agency that collects insurance premiums from member banks to pay its administrative expenses and fund insurance reserves. All of the banks' current operating expenses are paid from their own income and do not require budgetary resources from the Federal Government.

## AGRICULTURAL CREDIT BANK

**Status of Direct Loans** (in millions of dollars)

Identification code 39-4991-0-4-351	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations .....	317,273	323,593	330,065

**AGRICULTURAL CREDIT BANK—Continued**  
**Status of Direct Loans—Continued**

Identification code 39-4991-0-4-351	2012 actual	2013 CR	2014 est.
1150 Total direct loan obligations .....	317,273	323,593	330,065
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	45,028	69,945	69,226
1231 Disbursements: Direct loan disbursements .....	317,248	323,593	330,065
1251 Repayments: Repayments and prepayments .....	-292,319	-324,250	-328,220
1263 Write-offs for default: Direct loans .....	-12	-62	-56
1290 Outstanding, end of year .....	69,945	69,226	71,015

CoBank, ACB, which is headquartered outside Denver, Colorado, serves eligible cooperatives nationwide and provides funding to Agricultural Credit Associations (ACAs) and Federal Land Credit Associations (FLCAs) in its chartered district. CoBank, ACB, is the only Agricultural Credit Bank (ACB) in the Farm Credit System. The ACB operates under statutory authority that combines the authorities of a Farm Credit Bank (FCB) and a Bank for Cooperatives (BC). In exercising its FCB authority, CoBank's charter limits its lending to 27 ACAs and two FLCAs located in the northeast, central and western regions of the country. As an entity lending to cooperatives, CoBank is chartered to provide credit and related services nationwide to eligible cooperatives primarily engaged in farm supply, grain, marketing, and processing (including sugar, dairy, and ethanol). CoBank also makes loans to rural utilities, including telecommunications companies, and it provides international loans for the financing of agricultural exports.

**Statement of Changes in Net Worth**

(in thousands of dollars)

	2011 act.	2012 act.	2013 est.	2014 est.
Beginning balance of net worth .....	4,371,376	4,855,255	6,361,670	6,623,771
Capital stock and participations issued .....	2,422	5,326	417,250	6,462
Capital stock and participations retired .....	29,900	34,124	394,750	32,200
Net income .....	725,484	844,422	699,640	727,701
Cash/Dividends/Patronage Distributions .....	-293,420	-358,491	-395,378	-406,012
Other, net .....	79,273	1,049,282	-64,661	-17,487
Ending balance of net worth .....	4,855,255	6,361,670	6,623,771	6,902,235

**Financing Activities**

(in thousands of dollars)

	2011 act.	2012 act.	2013 est.	2014 est.
Beginning balance of outstanding system obligations .....	50,414,059	52,767,035	79,079,791	80,250,323
Consolidated systemwide and other bank bonds issued .....	18,731,232	29,144,296	29,727,182	30,321,726
Consolidated systemwide and other bank bonds retired .....	17,118,758	26,020,538	28,900,900	26,538,355
Consolidated systemwide notes, net .....	740,502	-865,056	500,000	500,000
Other (Net) .....	0	24,054,054	-155,750	-98,000
Ending balance of outstanding system obligations .....	52,767,035	79,079,791	80,250,323	84,435,694

**Balance Sheet** (in millions of dollars)

Identification code 39-4991-0-4-351	2011 actual	2012 actual
<b>ASSETS:</b>		
Non-Federal assets:		
1201 Cash and investment securities .....	16,015	18,835
1206 Accrued interest receivable on loans .....	332	395
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Direct loans, gross .....	45,028	69,945
1603 Allowance for estimated uncollectible loans and interest (-) .....	-391	-375
1699 Value of assets related to direct loans .....	44,637	69,570

1803 Other Federal assets: Property, plant and equipment, net .....	1,351	1,456
1999 Total assets .....	62,335	90,256
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable .....	872	1,133
Non-Federal liabilities:		
2201 Consolidated systemwide and other bank bonds .....	52,767	79,080
2201 Notes payable and other interest-bearing liabilities .....	3,528	3,340
2202 Accrued interest payable .....	313	341
2999 Total liabilities .....	57,480	83,894
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	4,855	6,362
4999 Total liabilities and net position .....	62,335	90,256

**FARM CREDIT BANKS**

**Status of Direct Loans** (in millions of dollars)

Identification code 39-4992-0-4-371	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations .....	210,249	192,466	203,241
1150 Total direct loan obligations .....	210,249	192,466	203,241
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	109,777	97,404	101,652
1231 Disbursements: Direct loan disbursements .....	220,860	194,251	205,425
1251 Repayments: Repayments and prepayments .....	-233,160	-189,910	-199,477
1263 Write-offs for default: Direct loans .....	-73	-93	-81
1290 Outstanding, end of year .....	97,404	101,652	107,519

The Agricultural Credit Act of 1987 (1987 Act) required the Federal Land Banks (FLBs) and Federal Intermediate Credit Banks (FICBs) to merge into a Farm Credit Bank (FCB) in each of the 12 Farm Credit districts. FCBs operate under statutory authority that combines the prior authorities of an FLB and of an FICB. No merger occurred in the Jackson district in 1988 because the FLB of Jackson was in receivership. Pursuant to section 410(e) of the 1987 Act, as amended by the Farm Credit Banks Safety and Soundness Act of 1992, FICB of Jackson merged with FCB of Columbia on October 1, 1993. Mergers and consolidations of FCBs across district lines, which began in 1992, have continued to date. As a result of this restructuring activity, three FCBs, headquartered in the following cities, remain as of October 1, 2012: AgFirst Farm Credit Bank, Columbia, South Carolina; AgriBank, FCB, St. Paul, Minnesota; and FCB of Texas, Austin, Texas.

FCBs serve as discount banks and, as of October 1, 2012, provided funds to one Federal Land Credit Association (FLCA) and 52 Agricultural Credit Associations (ACAs). These direct-lender associations, in turn, primarily make short- and intermediate-term production loans and long-term real estate loans to eligible farmers and ranchers, farm-related businesses, and rural homeowners. FCBs can also lend to other financing institutions, including commercial banks, as authorized by the Farm Credit Act of 1971, as amended.

All the capital stock of FICBs, from their organization in 1923 to December 31, 1956, was held by the U.S. Government. The Farm Credit Act of 1956 provided a long-range plan for the eventual ownership of the FICBs by the production credit associations and the gradual retirement of the Government's investment in the banks. This retirement was accomplished in full on December 31, 1968. The last of the Government capital that had been invested in FLBs was repaid in 1947.

**Statement of Changes in Net Worth**

(in thousands of dollars)

	2011 act.	2012 act.	2013 est.	2014 est.
Beginning balance of net worth .....	8,129,468	8,594,783	7,825,826	8,302,874
Capital stock and participations issued .....	154,288	176,055	179,972	188,613
Capital stock and participations retired .....	180,529	263,410	268,884	274,166
Surplus Retired .....	-600	-307	0	0
Net income .....	1,201,132	1,167,718	977,137	943,017
Cash/Dividends/Patronage Distributions .....	-710,466	-640,319	-427,938	-404,562
Other, net .....	290	-1,209,308	16,761	141,371
Ending balance of net worth .....	8,594,783	7,825,826	8,302,874	8,897,147

**Financing Activities**

(in thousands of dollars)

	2011 act.	2012 act.	2013 est.	2014 est.
Beginning balance of outstanding system obligations .....	126,924,149	129,243,811	112,291,707	118,173,338
Consolidated systemwide and other bank bonds issued .....	330,460,324	607,228,549	224,109,096	236,006,530
Consolidated systemwide and other bank bonds retired .....	328,912,956	622,551,322	218,227,465	229,562,264
Consolidated systemwide notes, net .....	772,294	-1,629,331	0	0
Other (Net) .....	0	0	0	0
Ending balance of outstanding system obligations .....	129,243,811	112,291,707	118,173,338	124,617,604

**Balance Sheet (in millions of dollars)**

Identification code 39-4992-0-4-371	2011 actual	2012 actual
<b>ASSETS:</b>		
Non-Federal assets:		
1201 Cash and investment securities .....	29,355	23,990
1206 Accrued Interest Receivable .....	698	537
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Direct loans, gross .....	109,778	97,404
1603 Allowance for estimated uncollectible loans and interest (-) .....	-74	-72
1699 Value of assets related to direct loans .....	109,704	97,332
1803 Other Federal assets: Property, plant and equipment, net .....	779	594
1999 Total assets .....	140,536	122,453
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable .....	506	321
Non-Federal liabilities:		
2201 Consolidated systemwide and other bank bonds .....	129,244	112,292
2201 Notes payable and other interest-bearing liabilities .....	1,735	1,587
2202 Accrued interest payable .....	456	312
2999 Total liabilities .....	131,941	114,512
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	8,595	7,941
4999 Total liabilities and net position .....	140,536	122,453

**FEDERAL AGRICULTURAL MORTGAGE CORPORATION**

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 39-4993-0-4-351	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments .....	2,453	.....	.....
2150 Total guaranteed loan commitments .....	2,453	.....	.....
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	11,841	12,468	12,468
2231 Disbursements of new guaranteed loans .....	2,453	.....	.....
2251 Repayments and prepayments .....	-1,826	.....	.....
2290 Outstanding, end of year .....	12,468	12,468	12,468

Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1,599	.....
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**FARMER MAC**

Farmer Mac is authorized under the Farm Credit Act of 1971, as amended by the Agricultural Credit Act of 1987 (Act), to create a secondary market for agricultural real estate and rural home mortgages. The Farmer Mac title of the Act was amended by the 1990 farm bill to authorize Farmer Mac to purchase, pool, and securitize the guaranteed portions of farmer program, rural business, and community development loans guaranteed by the U.S. Department of Agriculture (USDA). The Farmer Mac title was amended in 1991 to clarify Farmer Mac's authority to issue debt obligations, provide for the establishment of minimum capital standards, establish the Office of Secondary Market Oversight at the Farm Credit Administration (FCA), and expand the Agency's rulemaking authority. The Farm Credit System Reform Act of 1996 (1996 Act) amended the Farmer Mac title to allow Farmer Mac to purchase loans directly from lenders and to issue and guarantee mortgage-backed securities without requiring that a minimum cash reserve or subordinated (first loss) interest be maintained by poolers as had been required under its original authority. The 1996 Act expanded FCA's regulatory authority to include provisions for establishing a conservatorship or receivership, if necessary, and provided for increased core capital requirements at Farmer Mac phased in over three years. Most recently, the 2008 Farm Bill, the Food, Conservation and Energy Act of 2008, amended the Farmer Mac title to authorize the financing of rural electric and telephone cooperatives.

Farmer Mac operates through several programs: "Farmer Mac I," which involves mortgage loans secured by first liens on agricultural real estate, rural utility cooperative real estate, or rural housing (qualified loans), and "Farmer Mac II," which involves the guaranteed portions of USDA-guaranteed loans. Farmer Mac operates by (1) purchasing, or committing to purchase, newly originated or existing qualified loans or guaranteed portions from lenders; (2) purchasing or guaranteeing "AgVantage" bonds backed by qualified loans or guaranteed portions from lenders; and (3) exchanging qualified loans or guaranteed portions for guaranteed securities. Loans purchased by Farmer Mac may be aggregated into pools that back Farmer Mac guaranteed securities, which are held by Farmer Mac or sold into the capital markets. Farmer Mac is intended to attract new capital for financing qualified loans and guaranteed portions of loans; foster increased long-term, fixed-rate lending; and provide greater liquidity to agricultural and rural lenders.

Farmer Mac is governed by a 15-member Board of Directors. Ten board members are elected by stockholders, including five by the Farm Credit System and five by commercial lenders. Five are appointed by the President, subject to Senate confirmation.

**FINANCING**

Financial support and funding for Farmer Mac's operations come from several sources: sale of common and preferred stock, issuance of debt obligations, and net income. Under procedures specified in the Act, Farmer Mac may issue obligations to the U.S. Treasury in a cumulative amount not to exceed \$1.5 billion to fulfill its guarantee obligations.

As of September 30, 2012, Farmer Mac's core capital exceeded statutory requirements. Additionally, Farmer Mac's regulatory capital (core capital plus the allowance for loan losses) exceeded

## FEDERAL AGRICULTURAL MORTGAGE CORPORATION—Continued

the amount of required regulatory capital as determined by the risk-based capital rule.

## GUARANTEES

Farmer Mac provides a guarantee of timely payment of principal and interest on securities backed by qualified loans or pools of qualified loans. These securities are not guaranteed by the United States and are not "Government securities."

Farmer Mac is subject to reporting requirements under securities laws, and its guaranteed mortgage-backed securities are subject to registration with the Securities and Exchange Commission under the 1933 and 1934 Securities Acts.

## REGULATION

Farmer Mac is federally regulated by FCA, acting through its Office of Secondary Market Oversight (OSMO). FCA is responsible for the supervision of, examination of, and rulemaking for Farmer Mac.

## Balance Sheet (in millions of dollars)

Identification code 39-4993-0-4-351	2011 actual	2012 actual
<b>ASSETS:</b>		
Non-Federal assets:		
1201 Investment in securities .....	1,913	2,636
1206 Receivables, net .....	79	128
Net value of assets related to direct loans receivable:		
1401 Direct loans receivable, gross .....	8,534	8,798
1402 Interest receivable .....	80	70
1499 Net present value of assets related to direct loans .....	8,614	8,868
1801 Other Federal assets: Cash and other monetary assets .....	825	870
1999 Total assets .....	11,431	12,502
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	195	184
2202 Interest payable .....	49	35
2203 Debt .....	10,606	11,640
2204 Liabilities for loan guarantees .....	34	43
2999 Total liabilities .....	10,884	11,902
<b>NET POSITION:</b>		
3300 Invested capital .....	547	600
4999 Total liabilities and net position .....	11,431	12,502

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