

DEPARTMENT OF LABOR

EMPLOYMENT AND TRAINING ADMINISTRATION

Federal Funds

TRAINING AND EMPLOYMENT SERVICES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Workforce Investment Act of 1998 (referred to in this Act as "WIA"), the Second Chance Act of 2007, and the Workforce Innovation Fund, as established by this Act, \$3,387,405,000, plus reimbursements, shall be available. Of the amounts provided:

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, \$2,683,766,000 as follows:

(A) \$791,644,000 for adult employment and training activities, of which \$79,644,000 shall be available for the period July 1, 2014, through June 30, 2015, and of which \$712,000,000 shall be available for the period October 1, 2014 through June 30, 2015;

(B) \$846,632,000 for youth activities, which shall be available for the period April 1, 2014 through June 30, 2015; and

(C) \$1,045,490,000 for dislocated worker employment and training activities, of which \$185,490,000 shall be available for the period July 1, 2014 through June 30, 2015, and of which \$860,000,000 shall be available for the period October 1, 2014 through June 30, 2015:

Provided, That notwithstanding the transfer limitation under section 133(b)(4) of the WIA, up to 30 percent of such funds may be transferred by a local board if approved by the Governor: Provided further, That a local board may award a contract to an institution of higher education or other eligible training provider if the local board determines that it would facilitate the training of multiple individuals in high-demand occupations, if such contract does not limit customer choice: Provided further, That notwithstanding section 128(a)(1) of the WIA, the amount available to the Governor for statewide workforce investment activities shall not exceed 7.5 percent of the amount allotted to the State from each of the appropriations under the preceding subparagraphs;

(2) for federally administered programs, \$582,401,000 as follows:

(A) \$220,859,000 for the dislocated workers assistance national reserve, of which \$20,859,000 shall be available for the period July 1, 2014 through June 30, 2015, and of which \$200,000,000 shall be available for the period October 1, 2014 through June 30, 2015: Provided, That funds provided to carry out section 132(a)(2)(A) of the WIA may be used to provide assistance to a State for statewide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: Provided further, That funds provided to carry out section 171(d) of the WIA may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers: Provided further, That none of the funds shall be obligated to carry out section 173(e) of the WIA;

(B) \$47,562,000 for Native American programs, which shall be available for the period July 1, 2014 through June 30, 2015;

(C) \$84,291,000 for migrant and seasonal farmworker programs under section 167 of the WIA, including \$78,105,000 for formula grants (of which not less than 70 percent shall be for employment and training services), \$5,678,000 for migrant and seasonal housing (of which not less than 70 percent shall be for permanent housing), and \$508,000 for other discretionary purposes, which shall be available for the period July 1, 2014 through June 30, 2015: Provided, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants receiving related assistance services or discouraging grantees from providing such services;

(D) \$79,689,000 for YouthBuild activities as described in section 173A of the WIA, which shall be available for the period April 1, 2014 through June 30, 2015; and

(E) \$150,000,000 to be available to the Secretary of Labor (referred to in this title as "Secretary") for the Workforce Innovation Fund to

carry out projects that demonstrate innovative strategies or replicate effective evidence-based strategies that align and strengthen the workforce investment system in order to improve program delivery and education and employment outcomes for beneficiaries, which shall be for the period July 1, 2014 through September 30, 2015: Provided, That amounts shall be available for awards to States or State agencies that are eligible for assistance under any program authorized under the WIA, consortia of States, or partnerships, including regional partnerships: Provided further, That not more than 5 percent of the funds available for workforce innovation activities shall be for technical assistance and evaluations related to the projects carried out with these funds: Provided further, That the Secretary may authorize awardees to use a portion of awarded funds for evaluation, upon the Chief Evaluation Officer's approval of an evaluation plan: Provided further, That \$10,000,000 of the funds provided for the Workforce Innovation Fund shall be used for innovative and evidence-based approaches to improving outcomes for disconnected youth, which may include Pay for Success projects: Provided further, That up to \$20,000,000 of the funds provided for the Workforce Innovation Fund (in addition to any funds for disconnected youth) may be used for performance-based awards or other agreements under the Pay for Success program: Provided further, That any funds obligated for Pay for Success projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any funds deobligated from such projects or agreements shall immediately be available for Workforce Innovation Fund activities: Provided further, That \$50,000,000 of the funds provided for the Workforce Innovation Fund shall be for projects that demonstrate innovative strategies or replicate effective evidence-based strategies that address the employment needs of veterans (including recently separated veterans), family members of active duty military personnel, or members of the National Guard and Reserves.

(3) for national activities, \$121,238,000, as follows:

(A) \$25,000,000, in addition to any amounts available under paragraph (1) for Pilots, Demonstrations, and Research, which shall be available for the period April 1, 2014 through June 30, 2015;

(B) \$90,238,000 for ex-offender activities, under the authority of section 171 of the WIA and section 212 of the Second Chance Act of 2007, which shall be available for the period April 1, 2014 through June 30, 2015, notwithstanding the requirements of section 171(b)(2)(B) or 171(c)(4)(D) of the WIA: Provided, That of this amount, \$20,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas; and \$10,000,000 shall be used for performance-based awards or other agreements under the Pay for Success program relating to ex-offender activities: Provided further, That, with respect to the preceding proviso, any funds obligated for Pay for Success projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any deobligated funds from such projects or agreements shall immediately be available for ex-offender activities;

(C) \$6,000,000 for the Workforce Data Quality Initiative, under the authority of section 171(c)(2) of the WIA, which shall be available for the period July 1, 2013 through June 30, 2014, and which shall not be subject to the requirements of section 171(c)(4)(D).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 16–0174–0–1–504	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Adult Employment and Training Activities	773	771	791
0003 Dislocated Worker Employment and Training Activities	1,242	1,257	1,268
0005 Youth Activities	902	906	922
0008 Reintegration of Ex-Offenders	85	80	81

TRAINING AND EMPLOYMENT SERVICES—Continued
Program and Financing—Continued

Identification code 16–0174–0–1–504	2012 actual	2013 CR	2014 est.
0010 Native Americans	53	53	51
0011 Migrant and Seasonal Farmworkers	84	85	84
0013 National programs	18	17	17
0015 H-1B Job Training Grants	343	134	150
0017 Data Quality Initiative	13	6	7
0028 Recovery Act - NEGs Health Insurance Assistance	2	4	3
0029 Workforce Innovation Fund	152	22	100
0799 Total direct obligations	3,667	3,335	3,474
0801 Reimbursable program	11	13	11
0900 Total new obligations	3,678	3,348	3,485
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	657	334	349
1001 Discretionary unobligated balance brought fwd, Oct 1	273	133	
1010 Unobligated balance transfer to other accts [16–0181]	–2		
1010 Unobligated balance transfer to other accts [16–0179]	–4		
1021 Recoveries of prior year unpaid obligations	4		
1029 Other balances withdrawn	–3		
1050 Unobligated balance (total)	652	334	349
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,423	1,457	1,616
1120 Appropriations transferred to other accts [16–0400]		–1	
1120 Appropriations transferred to other accts [16–0143]		–1	
1130 Appropriations permanently reduced	–3		
1160 Appropriation, discretionary (total)	1,420	1,455	1,616
Advance appropriations, discretionary:			
1170 Advance appropriation	1,772	1,772	1,772
1173 Advance appropriations permanently reduced	–3		
1180 Advanced appropriation, discretionary (total)	1,769	1,772	1,772
Appropriations, mandatory:			
1201 Appropriation (H-1B Skills Training)	161	125	125
1260 Appropriations, mandatory (total)	161	125	125
Spending authority from offsetting collections, discretionary:			
1700 Collected	11	11	11
1750 Spending auth from offsetting collections, disc (total)	11	11	11
1900 Budget authority (total)	3,361	3,363	3,524
1930 Total budgetary resources available	4,013	3,697	3,873
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
1941 Unexpired unobligated balance, end of year	334	349	388
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,592	3,446	3,220
3010 Obligations incurred, unexpired accounts	3,678	3,348	3,485
3011 Obligations incurred, expired accounts	4		
3020 Outlays (gross)	–3,750	–3,574	–3,452
3040 Recoveries of prior year unpaid obligations, unexpired	–4		
3041 Recoveries of prior year unpaid obligations, expired	–74		
3050 Unpaid obligations, end of year	3,446	3,220	3,253
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1	–1	–1
3090 Uncollected pymts, Fed sources, end of year	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,591	3,445	3,219
3200 Obligated balance, end of year	3,445	3,219	3,252
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,200	3,238	3,399
Outlays, gross:			
4010 Outlays from new discretionary authority	1,202	1,175	1,187
4011 Outlays from discretionary balances	2,517	2,349	2,111
4020 Outlays, gross (total)	3,719	3,524	3,298
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–11	–11	–11
Mandatory:			
4090 Budget authority, gross	161	125	125
Outlays, gross:			
4100 Outlays from new mandatory authority	16	1	1

4101 Outlays from mandatory balances	15	49	153
4110 Outlays, gross (total)	31	50	154
4180 Budget authority, net (total)	3,350	3,352	3,513
4190 Outlays, net (total)	3,739	3,563	3,441

Enacted in 1998, the Workforce Investment Act (WIA) is the primary authorization for this appropriation account. WIA expired on September 30, 2003. The Act is intended to provide workers with the information, advice, job search assistance, and training they need to get and keep good jobs; and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, and include substantial advance appropriation amounts. This account includes:

Adult employment and training activities.—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

Dislocated worker employment and training activities.—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

Youth activities.—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer and year-round jobs. The program links academic and occupational learning with youth development activities.

Workforce Innovation Fund.—Provides \$150 million to support competitive grants to test innovative strategies and replicate evidence-based practices in the workforce system. The Fund will support cross-program collaboration and bold systemic reforms to improve education and employment outcomes for participants. The Administration intends to set aside \$50 million of the funds for veterans (including recently separated veterans), members of military families, and members of the National Guard and Reserves. In addition, at least \$10 million of the funds for programmatic innovations targeting disconnected youth, with a particular focus on youth under age 20. This effort to serve disconnected youth will be coordinated with the Departments of Education and Health and Human Services. A portion of the Fund may also be used for Pay for Success financing to engage social investors, the Federal government, and a State or local community to collaboratively support effective interventions.

Reintegration of Ex-Offenders.—Supports activities authorized under the Second Chance Act to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. The Administration intends to devote funds to test and replicate evidence-based strategies for young ex-offenders. The Department of Labor will continue to coordinate closely with the Department of Justice and other relevant Agencies in carrying out this program.

Native Americans.—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

Migrant and Seasonal Farmworkers.—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

National programs.—Provides evaluation and demonstration resources for WIA activities. In 2014, evaluation activities will be funded via a set-aside of program funds provided by Sec. 107 of the Labor General Provisions. The funds in Pilots, Demonstrations, and Research will be used to pilot and rigorously evaluate

potential low-cost structural changes to the WIA system that would substantially improve services to seniors.

Workforce Data Quality Initiative.—Competitive grants to support the development of longitudinal data systems that integrate education and workforce data to provide timely and accessible information to consumers, policymakers, and others.

Object Classification (in millions of dollars)

Identification code 16-0174-0-1-504	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services	6	6	6
25.2 Other services from non-Federal sources	25	33	29
25.3 Other goods and services from Federal sources	4		
25.7 Operation and maintenance of equipment	1		
41.0 Grants, subsidies, and contributions	3,631	3,296	3,437
99.0 Direct obligations	3,667	3,335	3,472
99.0 Reimbursable obligations	11	13	13
99.9 Total new obligations	3,678	3,348	3,485

UNIVERSAL DISPLACED WORKERS PROGRAM

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0188-4-1-999	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Training			1,255
0002 Reemployment services			727
0003 Rapid response			200
0004 Income support			1,157
0005 Wage insurance			686
0006 Relocation allowance			20
0900 Total new obligations (object class 41.0)			4,045
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			4,045
1260 Appropriations, mandatory (total)			4,045
1930 Total budgetary resources available			4,045
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			4,045
3020 Outlays (gross)			-4,045
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			4,045
Outlays, gross:			
4100 Outlays from new mandatory authority			4,045
4180 Budget authority, net (total)			4,045
4190 Outlays, net (total)			4,045

The 2014 Budget proposes legislation to establish a Universal Displaced Workers program. This program would make employment services and training available to a broader number of dislocated workers by consolidating and improving the Federal Government's two major dislocated worker programs: the Trade Adjustment Assistance for Workers program and the Workforce Investment Act's Dislocated Worker State grants program. Under the new Universal Displaced Workers program, all dislocated workers would receive high-quality job-search assistance, and those workers who had worked with their previous employer for three years or more would have access to income support and up to two years of skills training for high-growth and in-demand

industries. Older workers would also have the option of wage insurance, designed to get people back to work more quickly.

OFFICE OF JOB CORPS

To carry out subtitle C of title I of the WIA, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration, and repairs of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIA, \$1,691,923,000, plus reimbursements, as follows:

(1) \$1,586,776,000 for Job Corps Operations, which shall be available for the period July 1, 2014 through June 30, 2015;

(2) \$75,000,000 for construction, rehabilitation and acquisition of Job Corps Centers, which shall be available for the period July 1, 2014 through June 30, 2017: Provided, That the Secretary may transfer up to 15 percent of such funds to meet the operational needs of such centers or to achieve administrative efficiencies: Provided further, That any funds transferred pursuant to the preceding proviso shall not be available for obligation after June 30, 2015; and

(3) \$30,147,000 for necessary expenses of the Office of Job Corps, which shall be available for obligation for the period October 1, 2013 through September 30, 2014:

Provided further, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0181-0-1-504	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Operations	1,565	1,583	1,581
0002 Construction, Rehabilitation, and Acquisition (CRA)	140	81	88
0003 Administration	29	29	30
0799 Total direct obligations	1,734	1,693	1,699
0801 Reimbursable program activity	1	1	1
0900 Total new obligations	1,735	1,694	1,700
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	611	1,288	1,308
1011 Unobligated balance transfer from other accts [16-0174]	2		
1012 Unobligated balance transfers between expired and unexpired accounts	1		
1021 Recoveries of prior year unpaid obligations	24		
1050 Unobligated balance (total)	638	1,288	1,308
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,706	1,713	1,692
1130 Appropriations permanently reduced	-3		
1160 Appropriation, discretionary (total)	1,703	1,713	1,692
Advance appropriations, discretionary:			
1170 Advance appropriation	691		
1173 Advance appropriations permanently reduced	-1		
1180 Advanced appropriation, discretionary (total)	690		
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1750 Spending auth from offsetting collections, disc (total)		1	1
1900 Budget authority (total)	2,393	1,714	1,693
1930 Total budgetary resources available	3,031	3,002	3,001
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-8		
1941 Unexpired unobligated balance, end of year	1,288	1,308	1,301
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	518	447	367
3010 Obligations incurred, unexpired accounts	1,735	1,694	1,700
3011 Obligations incurred, expired accounts	40		
3020 Outlays (gross)	-1,774	-1,774	-1,787
3040 Recoveries of prior year unpaid obligations, unexpired	-24		

OFFICE OF JOB CORPS—Continued
Program and Financing—Continued

Identification code 16-0181-0-1-504		2012 actual	2013 CR	2014 est.
3041	Recoveries of prior year unpaid obligations, expired	-48		
3050	Unpaid obligations, end of year	447	367	280
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	518	447	367
3200	Obligated balance, end of year	447	367	280
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,393	1,714	1,693
Outlays, gross:				
4010	Outlays from new discretionary authority	871	346	348
4011	Outlays from discretionary balances	903	1,428	1,439
4020	Outlays, gross (total)	1,774	1,774	1,787
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources		-1	-1
4180	Budget authority, net (total)	2,393	1,713	1,692
4190	Outlays, net (total)	1,774	1,773	1,786

The Office of Job Corps supports the administration and management of the Job Corps program. Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Investment Act of 1998 (P.L. 105-220, Title 1, Subtitle C, section 141), Job Corps is the nation's largest federally-funded, primarily residential, training program for at-risk youth, ages 16-24. With 125 centers currently in 48 states, Puerto Rico, and the District of Columbia, Job Corps provides economically disadvantaged youth with academic, career technical and employability skills to enter the workforce, enroll in post-secondary education, or enlist in the military.

Serving approximately 60,000 participants each year, Job Corps emphasizes the attainment of academic credentials, including a High School Diploma (HSD) and/or General Educational Development (GED) and career technical credentials, including industry-recognized certifications, state licensures, and pre-apprenticeship credentials. These portable credentials provide for long-term attachment to the workforce and economic mobility as Job Corps graduates advance through their careers. They ensure that program graduates have gained the skills and knowledge necessary to compete in today's workforce.

Large and small businesses, nonprofit organizations, and American Indian tribes manage and operate 97 of the Job Corps centers through contractual agreements with the Department of Labor, while the remaining 28 centers are operated through an interagency agreement with the U.S. Department of Agriculture. Job Corps participants must be economically disadvantaged youth, ages 16-24, and meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular schoolwork or to secure and hold employment.

The 2014 Budget continues the Administration's commitment to strengthening and reforming the Job Corps program and improving students' outcomes. These reforms include closing the small number of Job Corps centers that are chronically low-performing; identifying and seeking to replicate the practices of high-performing centers; and adopting cost-saving reforms. The Administration will continue to shift the program's focus and approach based on evaluation findings, and will continue to provide information to the public about each Job Corps center's performance in a transparent way. In addition, the Budget proposes steps to strengthen financial and contract oversight, so the program

can continue to provide valuable services to disadvantaged youth while maintaining strong internal controls and ensuring that its contracts are procured at the lowest risk and the best value to the Federal government.

Object Classification (in millions of dollars)

Identification code 16-0181-0-1-504		2012 actual	2013 CR	2014 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	16	17	17
12.1	Civilian personnel benefits	5	5	5
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	2	2	2
23.2	Rental payments to others	8	8	9
25.1	Advisory and assistance services	2	1	1
25.2	Other services from non-Federal sources	1,423	1,405	1,404
25.3	Other goods and services from Federal sources	7	8	9
25.4	Operation and maintenance of facilities	22	29	30
25.7	Operation and maintenance of equipment	1	2	2
31.0	Equipment	3	1	1
32.0	Land and structures	60	30	33
99.0	Direct obligations	1,550	1,509	1,514
99.0	Reimbursable obligations	1	1	1
Allocation Account - direct:				
Personnel compensation:				
11.1	Full-time permanent	71	80	84
11.3	Other than full-time permanent	3	2	2
11.5	Other personnel compensation	6	7	7
11.9	Total personnel compensation	80	89	93
12.1	Civilian personnel benefits	33	32	34
21.0	Travel and transportation of persons	4	3	3
22.0	Transportation of things	1	2	2
23.1	Rental payments to GSA		1	1
23.2	Rental payments to others	8		
23.3	Communications, utilities, and miscellaneous charges	8	9	9
25.2	Other services from non-Federal sources		11	11
25.3	Other goods and services from Federal sources	10	5	5
25.4	Operation and maintenance of facilities	7	4	4
25.6	Medical care		2	2
25.7	Operation and maintenance of equipment	1	1	1
26.0	Supplies and materials	30	22	17
31.0	Equipment	2	3	3
99.0	Allocation account - direct	184	184	185
99.9	Total new obligations	1,735	1,694	1,700

Employment Summary

Identification code 16-0181-0-1-504		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	163	163	168

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0175-0-1-504		2012 actual	2013 CR	2014 est.
Obligations by program activity:				
0001	National programs	353	355	
0002	State programs	95	96	
0900	Total new obligations (object class 41.0)	448	451	
Budgetary Resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		2	2
1012	Unobligated balance transfers between expired and unexpired accounts	2		
1050	Unobligated balance (total)	2	2	2

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	449	451
1130	Appropriations permanently reduced	-1	
1160	Appropriation, discretionary (total)	448	451
1900	Budget authority (total)	448	451
1930	Total budgetary resources available	450	453
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	2
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	423	378
3010	Obligations incurred, unexpired accounts	448	451
3020	Outlays (gross)	-488	-448
3041	Recoveries of prior year unpaid obligations, expired	-5	
3050	Unpaid obligations, end of year	378	381
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	423	378
3200	Obligated balance, end of year	378	381
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	448	451
Outlays, gross:			
4010	Outlays from new discretionary authority	81	86
4011	Outlays from discretionary balances	407	362
4020	Outlays, gross (total)	488	448
4180	Budget authority, net (total)	448	451
4190	Outlays, net (total)	488	448

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109–365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program, known as the Senior Community Service Employment Program (SCSEP), offers participants work-based community service training at non-profit or governmental agencies, so that they can gain on-the-job experience and prepare to enter or re-enter the workforce. The 2014 Budget proposes transferring SCSEP to the Department of Health and Human Services to improve coordination between SCSEP and other senior-serving programs administered by the Administration for Community Living. The dual goals of the program are to foster individual economic self-sufficiency and to provide useful opportunities in community service activities.

TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

Program and Financing (in millions of dollars)

Identification code 16–0187–0–1–504		2012 actual	2013 CR	2014 est.
Obligations by program activity:				
0001	Direct program activity	500	500	500
0100	Direct program activities, subtotal	500	500	500
0900	Total new obligations (object class 41.0)	500	500	500
Budgetary Resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	500	500	500
1260	Appropriations, mandatory (total)	500	500	500
1930	Total budgetary resources available	500	500	500
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	500	960	1,241
3010	Obligations incurred, unexpired accounts	500	500	500
3011	Obligations incurred, expired accounts	17		
3020	Outlays (gross)	-40	-219	-832
3041	Recoveries of prior year unpaid obligations, expired	-17		
3050	Unpaid obligations, end of year	960	1,241	909

Memorandum (non-add) entries:			
3100	Obligated balance, start of year	500	960
3200	Obligated balance, end of year	960	1,241
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	500	500
Outlays, gross:			
4100	Outlays from new mandatory authority		25
4101	Outlays from mandatory balances	40	194
4110	Outlays, gross (total)	40	219
4180	Budget authority, net (total)	500	500
4190	Outlays, net (total)	40	219

The Trade Adjustment Assistance (TAA) Community College and Career Training program, which received appropriations in the Health Care and Education Reconciliation Act of 2010 (Section 1501 of P.L. 111–152, 124 Stat. 1070), provides \$500 million annually in fiscal years 2011–2014 for competitive grants to eligible institutions of higher education. The program aims to improve education and employment outcomes for community college and other students, helping more Americans prepare to succeed in growing occupations. Funding will allow expansion and improvement of education and training programs that can be completed in 2 years or less, result in skills and credentials necessary for high-wage, in-demand jobs, and are suited for workers who are eligible for training under the TAA for Workers program. Grants will support institutions that use evidence to design program strategies, are committed to using data for continuous improvement, and facilitate evaluation that can build evidence about effective practices. The Department is implementing this program in cooperation with the Department of Education.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during fiscal year 2014 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, including benefit payments, allowances, training, employment and case management services, and related State administration provided pursuant to section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, \$656,000,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, 2014.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0326–0–1–999		2012 actual	2013 CR	2014 est.
Obligations by program activity:				
0001	Trade Adjustment Assistance benefits	239	189	322
0002	Trade Adjustment Assistance training	575	575	235
0005	Wage Insurance Payments	41	33	25
0799	Total direct obligations	855	797	582
0801	Disaster Unemployment Assistance	10	40	40
0900	Total new obligations	865	837	622
Budgetary Resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	855	797	656
1260	Appropriations, mandatory (total)	855	797	656
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (DUA)	10	40	40

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued
Program and Financing—Continued

Identification code 16-0326-0-1-999		2012 actual	2013 CR	2014 est.
1850	Spending auth from offsetting collections, mand (total)	10	40	40
1900	Budget authority (total)	865	837	696
1930	Total budgetary resources available	865	837	696
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year			74
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,030	1,195	1,198
3010	Obligations incurred, unexpired accounts	865	837	622
3020	Outlays (gross)	-618	-597	-704
3041	Recoveries of prior year unpaid obligations, expired	-82	-237	-394
3050	Unpaid obligations, end of year	1,195	1,198	722
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,030	1,195	1,198
3200	Obligated balance, end of year	1,195	1,198	722
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	865	837	696
Outlays, gross:				
4100	Outlays from new mandatory authority	278	297	419
4101	Outlays from mandatory balances	340	300	285
4110	Outlays, gross (total)	618	597	704
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-10	-40	-40
4180	Budget authority, net (total)	855	797	656
4190	Outlays, net (total)	608	557	664
Summary of Budget Authority and Outlays (in millions of dollars)				
		2012 actual	2013 CR	2014 est.
Enacted/requested:				
Budget Authority		855	797	656
Outlays		608	557	664
Legislative proposal, subject to PAYGO:				
Budget Authority				-127
Outlays				-31
Total:				
Budget Authority		855	797	529
Outlays		608	557	633

This account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides weekly cash benefits, training, job search and relocation allowances, and employment and case management services to certain workers displaced by international trade. The account also funds the Alternative Trade Adjustment Assistance (ATAA) and the Reemployment Trade Adjustment Assistance (RTAA) programs that provide wage insurance payments for certain older workers who become reemployed at lower wages than the wages paid in their pre-layoff employment.

The TAA for Workers program was reauthorized through December 31, 2010, under the Trade and Globalization Adjustment Assistance Act of 2009 (TGAAA) extended through February 12, 2011 under the Omnibus Trade Act of 2010, and extended again through December 31, 2013 under the Trade Adjustment Assistance Extension Act (TAAEA) of 2011. Under these laws, workers covered by petitions for the TAA program filed between May 18, 2009 and February 13, 2011, were considered under expanded group eligibility provisions (e.g., workers in the service sector were eligible), and the covered workers could be eligible for enhanced services and benefits, including additional weeks of cash benefits, while in training and for the RTAA program. Applications filed between February 13, 2011 and October 21, 2011, were administered under prior law, as if the amendments made under the TGAAA and the Omnibus Trade Act of 2010 had never been enacted. Applications filed on or after October 21,

2011 were administered under expanded eligibility provisions of the TAAEA of 2011, which restored most of the provision of the 2009 program. In addition, the TAAEA of 2011 provided a limited window for trade affected workers who began receiving benefits and services during the reversion period (February 13, 2011 to October 21, 2011) to make a one-time election to be served under the TAAEA of 2011.

Object Classification (in millions of dollars)

Identification code 16-0326-0-1-999		2012 actual	2013 CR	2014 est.
41.0	Direct obligations: Grants, subsidies, and contributions	855	797	582
99.0	Reimbursable obligations	10	40	40
99.9	Total new obligations	865	837	622

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0326-4-1-999		2012 actual	2013 CR	2014 est.
Obligations by program activity:				
0001	Trade Adjustment Assistance benefits			-13
0002	Trade Adjustment Assistance training			-113
0005	Wage Insurance Payments			-1
0900	Total new obligations (object class 41.0)			-127
Budgetary Resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation			-127
1260	Appropriations, mandatory (total)			-127
1900	Budget authority (total)			-127
1930	Total budgetary resources available			-127
Change in obligated balance:				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts			-127
3020	Outlays (gross)			31
3050	Unpaid obligations, end of year			-96
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			-96
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross			-127
Outlays, gross:				
4100	Outlays from new mandatory authority			-31
4180	Budget authority, net (total)			-127
4190	Outlays, net (total)			-31

The 2014 Budget proposes legislation to establish a Universal Displaced Worker program. This new program will consolidate and improve the Federal Government's two major dislocated worker programs—the existing Trade Adjustment Assistance for workers program and the Workforce Investment Act's Dislocated Worker State grants program. Please see the Universal Displaced Workers Program account for additional detail.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, \$113,068,000, together with not to exceed \$3,692,631,000 which may be expended from the Employment Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) \$2,861,575,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including not less than \$60,000,000 to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews as specified for purposes of Section 251(b)(2) of the Balanced Budget and

Emergency Deficit Control Act of 1985, as amended, and \$10,000,000 for activities to address the misclassification of workers), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501–8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, and shall be available for obligation by the States through December 31, 2013, except that funds used for automation acquisitions or competitive grants awarded to States for improved operations, reemployment and eligibility assessments and improper payments, or activities to address misclassification of workers shall be available for Federal obligation through December 31, 2014, and for obligation by the States through September 30, 2016, and funds used for unemployment insurance workloads experienced by the States through September 30, 2014 shall be available for Federal obligation through December 31, 2014;

(2) \$11,297,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$708,247,000 from the Trust Fund, together with \$22,595,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, of which not less than \$30,000,000 shall be used to provide reemployment services to beneficiaries of unemployment insurance, and shall be available for Federal obligation for the period July 1, 2014 through June 30, 2015;

(4) \$20,912,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act, including not to exceed \$1,166,000 that may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980;

(5) \$65,600,000 from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which \$50,501,000 shall be available for the Federal administration of such activities, and \$15,099,000 shall be available for grants to States for the administration of such activities;

(6) \$90,473,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act and section 171(e)(2)(C) of the WIA and shall be available for Federal obligation for the period July 1, 2014 through June 30, 2015; and

(7) \$25,000,000 from the Trust Fund is for competitive grants to States for the administration and evaluation of demonstration projects under section 305 of the Social Security Act (as added by section 2102 of the Middle Class Tax Relief Act and Job Creation Act of 2012), except that section 305(d)(3) shall be applied by substituting "2017" for "2015", and these funds shall be available for Federal obligation through December 31, 2017:

Provided, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year 2014 is projected by the Department of Labor to exceed 3,357,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: Provided further, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: Provided further, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance or immigration programs, may be obligated in contracts, grants, or agreements with non-State entities: Provided further, That States awarded competitive grants for improved operations under title III of the Social Security Act, or

awarded grants to support the national activities of the Federal-State unemployment insurance system, may award subgrants to other States under such grants, subject to the conditions applicable to the grants; Provided further, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the Office of Management and Budget Circular A–87: Provided further, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request: Provided further, That the Secretary may collect fees for the costs associated with additional data collection, analyses, and reporting services relating to the National Agricultural Workers Survey requested by State and local governments, public and private institutions of higher education, and non-profit organizations and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, for the National Agricultural Workers Survey infrastructure, methodology, and data to meet the information collection and reporting needs of such entities, which shall be credited to this appropriation and shall remain available until September 30, 2015, for such purposes.

In addition, \$20,000,000 from the Employment Security Administration Account of the Unemployment Trust Fund shall be available for the amount of the additional appropriation for in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0179–0–1–999	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 State UI admin	3,861	2,990	2,875
0002 UI national activities	11	11	11
0010 ES grants to States	701	708	708
0011 ES national activities	21	21	21
0012 One-stop career centers	29	63	93
0014 Foreign labor certification	65	66	66
0015 H-1B fees	19	13	13
0799 Total direct obligations	4,707	3,872	3,787
0801 Reimbursable program DUA administration	10	10	10
0803 Reimbursable program NAWs surveys			1
0899 Total reimbursable obligations	10	10	11
0900 Total new obligations	4,717	3,882	3,798
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	66	228	871
1001 Discretionary unobligated balance brought fwd, Oct 1	50	215	
1011 Unobligated balance transfer from other accts [16–0174]	4		
1021 Recoveries of prior year unpaid obligations	10		
1050 Unobligated balance (total)	80	228	871
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	86	87	113
1160 Appropriation, discretionary (total)	86	87	113
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	17	13	13
1260 Appropriations, mandatory (total)	17	13	13
Spending authority from offsetting collections, discretionary:			
1700 Collected	3,624	4,047	3,736
1701 Change in uncollected payments, Federal sources	635		
1710 Spending authority from offsetting collections transferred to other accounts [16–0165]	–16		
1750 Spending auth from offsetting collections, disc (total)	4,243	4,047	3,736
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (EUC08)	705	378	159

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE
OPERATIONS—Continued
Program and Financing—Continued

Identification code 16–0179–0–1–999		2012 actual	2013 CR	2014 est.
1801	Change in uncollected payments, Federal sources	–186		
1850	Spending auth from offsetting collections, mand (total)	519	378	159
1900	Budget authority (total)	4,865	4,525	4,021
1930	Total budgetary resources available	4,945	4,753	4,892
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	228	871	1,094
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2,337	2,513	1,400
3010	Obligations incurred, unexpired accounts	4,717	3,882	3,798
3011	Obligations incurred, expired accounts	4		
3020	Outlays (gross)	–4,525	–4,995	–4,730
3040	Recoveries of prior year unpaid obligations, unexpired	–10		
3041	Recoveries of prior year unpaid obligations, expired	–10		
3050	Unpaid obligations, end of year	2,513	1,400	468
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	–2,021	–2,292	–2,292
3070	Change in uncollected pymts, Fed sources, unexpired	–449		
3071	Change in uncollected pymts, Fed sources, expired	178		
3090	Uncollected pymts, Fed sources, end of year	–2,292	–2,292	–2,292
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	316	221	–892
3200	Obligated balance, end of year	221	–892	–1,824
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	4,329	4,134	3,849
Outlays, gross:				
4010	Outlays from new discretionary authority	2,495	3,009	2,727
4011	Outlays from discretionary balances	1,463	1,614	1,631
4020	Outlays, gross (total)	3,958	4,623	4,358
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	–678	–682	–708
4030	Federal sources	–21	–21	–21
4030	Federal sources	–50	–50	–51
4030	Federal sources	–15	–15	–15
4030	Federal sources	–2,955	–3,196	–2,838
4030	Federal sources	–10	–12	–60
4030	Federal sources	–50	–51	–20
4030	Federal sources	–10	–10	–12
4030	Federal sources	–10	–10	–10
4030	Federal sources	–1		
4033	Non-Federal sources			–1
4040	Offsets against gross budget authority and outlays (total)	–3,800	–4,047	–3,736
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	–635		
4052	Offsetting collections credited to expired accounts	176		
4060	Additional offsets against budget authority only (total)	–459		
4070	Budget authority, net (discretionary)	70	87	113
4080	Outlays, net (discretionary)	158	576	622
Mandatory:				
4090	Budget authority, gross	536	391	172
Outlays, gross:				
4100	Outlays from new mandatory authority	336	247	172
4101	Outlays from mandatory balances	231	125	200
4110	Outlays, gross (total)	567	372	372
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	–705	–378	–159
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	186		
4160	Budget authority, net (mandatory)	17	13	13
4170	Outlays, net (mandatory)	–138	–6	213
4180	Budget authority, net (total)	87	100	126
4190	Outlays, net (total)	20	570	835

Unemployment compensation.—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay un-

employment benefits to former Federal personnel and ex-service-members as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or with non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administration resulting from increases in the number of claims filed and claims paid. The appropriation automatically provides additional funds whenever unemployment claims workload increases above levels specified in the appropriations language.

The request for additional funding for in-person reemployment and eligibility assessments of claimants of unemployment compensation builds upon the success of a number of States in reducing improper payments and speeding reemployment using these assessments. Because most unemployment claims are now filed by telephone or Internet, in-person assessments conducted in the One-Stop Career Centers can help determine continued eligibility for benefits and adequacy of work search, verify the identity of beneficiaries where there is suspicion of possible identity theft, and provide referral to reemployment assistance to those who need additional help. The \$80 million requested for reemployment and eligibility assessments is estimated to provide benefit savings of \$315 million. It is important that this integrity initiative and other new enforcement investments be fully funded. To ensure full funding of reemployment and eligibility assessments, the Administration proposes to protect the dollars requested for these activities in the appropriations process through cap adjustments, a mechanism that has been used by past Administrations and Congresses. Cap adjustments are increases in the ceiling or allocation for annual appropriations, but these increases would be granted only if the base level for reemployment and eligibility assessments was funded at \$60 million and if the use of the funds was clearly restricted to the specified purpose. The 2014 Budget proposes to amend the Balanced Budget and Emergency Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, to adjust the discretionary spending limits in the Act for administrative program integrity activities at DOL. These adjustments would be similar in nature to those enacted for the Social Security Administration and the Department of Health and Human Services for Medicare and Medicaid. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS

	2011 actual	2012 actual	2013 est.	2014 est.
Staff years	34,118	32,344	30,519	30,523
Basic workload (in thousands):				
Employer tax accounts	7,540	7,608	7,733	7,843
Employee wage items recorded	602,185	610,908	623,967	635,846
Initial claims taken	22,093	21,185	20,257	20,896
Weeks claimed	202,672	197,654	175,971	173,136
Nonmonetary determinations	8,517	8,223	7,950	7,893
Appeals	1,992	1,864	1,690	1,615
Covered employment	126,408	128,342	130,537	132,468

Employment service.—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allot-

ments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

EMPLOYMENT SERVICE PROGRAM STATISTICS

	2011 actual	2012 actual	2013 est.	2014 est.
Total participants (thousands)	17,074	16,546	16,732	15,842
Entered employment (thousands)	5,686	5,510	5,572	4,935
Cost per participant	42.35	43.62	44.93	46.28

Years are program years running from July 1 of the year indicated through June 30 of the following year.

Foreign Labor Certification.—This activity provides for the administration of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and that there would be no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural and temporary highly skilled worker visas. The account is divided into Federal and State activities.

Federal Administration.—Federal Administration provides leadership, policy, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

State grants.—Provides grants to State labor agencies in 54 States and U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Includes State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, state processing of H-2A agricultural and H-2B non-agricultural temporary labor certification applications, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

One-stop career centers.—These funds are used to support the joint Federal-State efforts to improve the comprehensive One-Stop system created under WIA. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

National Agricultural Workers Survey fee.—The Department of Labor conducts the National Agricultural Workers Survey (NAWS), which collects information annually about the demographic, employment, and health characteristics of the U.S. crop labor force. The information is obtained directly from farm workers through face-to-face interviews. The Administration proposes to charge non-Federal entities on a case-by-case basis the cost of conducting specifically requested data collection or analysis. For example, State and local governments, educational

institutions, or non-profit organizations may pay a fee to fund the addition of a question to the standard survey.

Object Classification (in millions of dollars)

Identification code 16-0179-0-1-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	16	17	17
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	17	17	17
12.1 Civilian personnel benefits	5	5	5
23.1 Rental payments to GSA	2	2	2
25.1 Advisory and assistance services	26	26	26
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	4	4	4
25.7 Operation and maintenance of equipment	5	5	5
41.0 Grants, subsidies, and contributions	4,646	3,811	3,726
99.0 Direct obligations	4,707	3,872	3,787
99.0 Reimbursable obligations	10	10	11
99.9 Total new obligations	4,717	3,882	3,798

Employment Summary

Identification code 16-0179-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	181	181	183
1001 Direct civilian full-time equivalent employment	30	30	30

AMERICAN JOBS ACT AND COMMUNITY COLLEGE TO CAREER FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0171-4-1-504	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Reemployment NOW		4,000	
0002 Pathways Back to Work - Youth		2,500	
0003 Pathways Back to Work - Adults		10,000	
0900 Total new obligations (object class 41.0)		16,500	
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		16,500	
1260 Appropriations, mandatory (total)		16,500	
1930 Total budgetary resources available		16,500	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			15,675
3010 Obligations incurred, unexpired accounts		16,500	
3020 Outlays (gross)		-825	-13,750
3050 Unpaid obligations, end of year		15,675	1,925
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			15,675
3200 Obligated balance, end of year		15,675	1,925
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		16,500	
Outlays, gross:			
4100 Outlays from new mandatory authority		825	
4101 Outlays from mandatory balances			13,750
4110 Outlays, gross (total)		825	13,750
4180 Budget authority, net (total)		16,500	
4190 Outlays, net (total)		825	13,750

The 2014 Budget proposes initiatives that aggressively address long-term unemployment and provide new employment opportunities for low-income and unemployed workers, and build the skills of American workers. This proposal includes:

AMERICAN JOBS ACT AND COMMUNITY COLLEGE TO CAREER FUND—Continued

Reemployment NOW.—Provides \$4 billion for the Reemployment NOW fund, which gives the States flexibility to institute innovative approaches to better connect Emergency Unemployment Compensation (EUC) claimants and other long-term unemployed workers with job opportunities. With Reemployment NOW, States will be able to implement Bridge to Work programs to give EUC claimants valuable on-the-job experience and will also be able to offer claimants wage insurance and other intensive reemployment services.

Pathways Back to Work.—Provides \$12.5 billion to support subsidized employment and training opportunities for low-income and long-term unemployed adults, summer and year-round employment opportunities for low-income youth, and competitive grants to support innovative training and employment programs for these populations.

Community College to Career Fund.—Beginning in 2015, provides \$8 billion (\$4 billion each in the Departments of Labor and Education) over three years to support and evaluate community college-based training programs that build the skills of American workers, with a particular emphasis on initiatives with strong State and community college partnerships with businesses. The Fund will be jointly administered by the Departments of Labor and Education and is the successor to the Trade Adjustment Assistance Community College and Career Training program, for which 2014 is the final year of funding.

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 16-0178-0-1-603	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0010 Payments to EUCA	39,902	30,137	6,887
0012 Payments to ESAA	653	630	270
0900 Total new obligations (object class 41.0)	40,555	30,767	7,157
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		35	
1020 Adjustment of unobligated bal brought forward, Oct 1		-35	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite)	40,555	30,767	7,157
1200 Appropriation (definite)	35		
1260 Appropriations, mandatory (total)	40,590	30,767	7,157
1930 Total budgetary resources available	40,590	30,767	7,157
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	35		
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	40,555	30,767	7,157
3020 Outlays (gross)	-40,555	-30,767	-7,157
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	40,590	30,767	7,157
Outlays, gross:			
4100 Outlays from new mandatory authority	40,555	30,767	7,157
4180 Budget authority, net (total)	40,590	30,767	7,157
4190 Outlays, net (total)	40,555	30,767	7,157

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. Under the Emergency Unemployment Compensation law enacted in Public Law (P.L.) 102-164, as amended, there continues to be general fund financing for administrative costs related to any extended benefits paid under the optional, total unemployment rate trigger created in that law. This account is also used to make

general fund reimbursements for some or all of the benefits and administrative costs incurred under the new Emergency Unemployment Compensation program (first enacted in P.L. 110-252 and expanded and extended several times, most recently in P.L. 112-240). These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

SHORT TIME COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 16-0168-0-1-603	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Grants		50	10
0002 Benefits	52	182	215
0900 Total new obligations (object class 41.0)	52	232	225
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		101	51
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	153	182	215
1260 Appropriations, mandatory (total)	153	182	215
1930 Total budgetary resources available	153	283	266
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	101	51	41
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		52	
3010 Obligations incurred, unexpired accounts	52	232	225
3020 Outlays (gross)		-284	-225
3050 Unpaid obligations, end of year	52		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		52	
3200 Obligated balance, end of year	52		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	153	182	215
Outlays, gross:			
4100 Outlays from new mandatory authority		182	215
4101 Outlays from mandatory balances		102	10
4110 Outlays, gross (total)		284	225
4180 Budget authority, net (total)	153	182	215
4190 Outlays, net (total)		284	225

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

Program and Financing (in millions of dollars)

Identification code 16-1800-0-1-603	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	2		
0900 Total new obligations (object class 42.0)	2		
Budgetary Resources:			
Unobligated balance:			
1020 Adjustment of unobligated bal brought forward, Oct 1	-13		
1021 Recoveries of prior year unpaid obligations		32	
1029 Other balances withdrawn		-32	
1050 Unobligated balance (total)	-13		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2		
1260 Appropriations, mandatory (total)	2		

1800	Spending authority from offsetting collections, mandatory: Collected	13		
1850	Spending auth from offsetting collections, mand (total)	13		
1900	Budget authority (total)	15		
1930	Total budgetary resources available	2		

Change in obligated balance:

3000	Unpaid obligations, brought forward, Oct 1	20	32	
3001	Adjustments to unpaid obligations, brought forward, Oct 1	12		
3010	Obligations incurred, unexpired accounts	2		
3020	Outlays (gross)	-2		
3040	Recoveries of prior year unpaid obligations, unexpired	-32		
3050	Unpaid obligations, end of year	32		
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	32	32	
3200	Obligated balance, end of year	32		

Budget authority and outlays, net:

4090	Mandatory: Budget authority, gross	15		
4100	Outlays, gross: Outlays from new mandatory authority	2		
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120	Federal sources	-13		
4180	Budget authority, net (total)	2		
4190	Outlays, net (total)	-11		

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through its December 7, 2010, phaseout period.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the revolving fund established by section 901(e) of the Social Security Act, to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0327-0-1-600	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0010 FECA Costs	140		
0011 Advance to ESAA revolving fund			600
0900 Total new obligations (object class 41.0)	140		600
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	140		600
1260 Appropriations, mandatory (total)	140		600
1930 Total budgetary resources available	140		600

Change in obligated balance:

3010	Unpaid obligations: Obligations incurred, unexpired accounts	140		600
3020	Outlays (gross)	-140		-600

Budget authority and outlays, net:

4090	Mandatory: Budget authority, gross	140		600
	Outlays, gross:			
4100	Outlays from new mandatory authority	140		600
4180	Budget authority, net (total)	140		600
4190	Outlays, net (total)	140		600

This account makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) when its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This account also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment and Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance for Workers (TAA) program. These advances are shown as budget authority and outlays in the Advances account. The 2014 appropriations language for this account includes new authority for nonrepayable advances to the revolving fund for the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund. In turn, this revolving fund may provide repayable, interest-bearing advances to the ESAA account if it runs short of funds, and the borrowing authority will enable ESAA to cover its obligations despite seasonal variations in the account's receipts.

Advances were needed for the FUA, EUCA, and FECA accounts in fiscal year 2012, and the need is expected to continue. Detail on the nonrepayable advances is provided above; detail on the repayable advances is shown separately in the UTF account.

To address the potential need for significant, and somewhat unpredictable advances to various accounts, Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2014 request continues this authority.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, \$99,009,065, together with not to exceed \$50,608,425 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0172-0-1-504	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Adult services	55	56	
0002 Youth services	12	12	
0003 Workforce security	43	43	43

PROGRAM ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 16-0172-0-1-504		2012 actual	2013 CR	2014 est.
0004	Apprenticeship training, employer and labor services	28	28	28
0005	Executive direction	9	9	9
0006	Training & Employment Services			69
0799	Total direct obligations	147	148	149
0803	Reimbursable programs (DUA & E-grants)	1	1	1
0900	Total new obligations	148	149	150
Budgetary Resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	97	98	99
1160	Appropriation, discretionary (total)	97	98	99
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections (UTF)	51	51	51
1750	Spending auth from offsetting collections, disc (total)	51	51	51
1900	Budget authority (total)	148	149	150
1930	Total budgetary resources available	148	149	150
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	45	39	38
3010	Obligations incurred, unexpired accounts	148	149	150
3011	Obligations incurred, expired accounts	1		
3020	Outlays (gross)	-151	-150	-168
3041	Recoveries of prior year unpaid obligations, expired	-4		
3050	Unpaid obligations, end of year	39	38	20
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	45	39	38
3200	Obligated balance, end of year	39	38	20
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	148	149	150
Outlays, gross:				
4010	Outlays from new discretionary authority	128	130	131
4011	Outlays from discretionary balances	23	20	37
4020	Outlays, gross (total)	151	150	168
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-51	-51	-51
4180	Budget authority, net (total)	97	98	99
4190	Outlays, net (total)	100	99	117

This account provides for the Federal administration of Employment and Training Administration programs.

Training and Employment services.—In 2014, the Department proposes to combine the Adult services and Youth services activities into one budget activity, Training and Employment services. This restructuring will more accurately align with how work is performed in the field and allow greater flexibility for staff to perform functions related to adults and youth.

Adult services.—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

Youth services.—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for youth.

Workforce security.—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center net-

work, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

Office of Apprenticeship.—Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards, and provides outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs.

Executive direction.—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

Object Classification (in millions of dollars)

Identification code 16-0172-0-1-504		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	75	77	77
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	76	78	78
12.1	Civilian personnel benefits	22	23	23
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	9	9	10
23.3	Communications, utilities, and miscellaneous charges	1	1	1
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	5	4	4
25.2	Other services from non-Federal sources	1	1	1
25.3	Other goods and services from Federal sources	19	19	19
25.7	Operation and maintenance of equipment	10	9	9
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
99.0	Direct obligations	147	148	149
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations	148	149	150

Employment Summary

Identification code 16-0172-0-1-504		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	778	778	780
2001	Reimbursable civilian full-time equivalent employment	4	4	4

WORKERS COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 16-0170-0-1-806		2012 actual	2013 CR	2014 est.
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	31	25	14
3020	Outlays (gross)	-6	-11	-9
3050	Unpaid obligations, end of year	25	14	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	31	25	14
3200	Obligated balance, end of year	25	14	5
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	6	11	9
4190	Outlays, net (total)	6	11	9

Workers Compensation Programs.—Section 5011 of Public Law 109-148 made \$50,000,000 available to the New York State Uninsured Employers Fund for reimbursement of claims related to the September 11, 2001, terrorist attacks on the United States and for reimbursement of claims related to the first response

emergency services personnel who were injured, were disabled, or died due to such terrorist attacks.

STATE PAID LEAVE FUND

For grants and contracts to assist in the start-up of new paid leave programs in the States, \$5,000,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0185–0–1–505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 States paid leave fund			5
0900 Total new obligations (object class 41.0)			5
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			5
1160 Appropriation, discretionary (total)			5
1930 Total budgetary resources available			5
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			5
3020 Outlays (gross)			–1
3050 Unpaid obligations, end of year			4
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			5
Outlays, gross:			
4010 Outlays from new discretionary authority			1
4180 Budget authority, net (total)			5
4190 Outlays, net (total)			1

The 2014 Budget requests \$5 million for the State paid leave fund in the Department of Labor to assist States in setting up paid leave programs by providing technical assistance and other support.

ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION ACCOUNT OF THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 16–4510–0–4–603	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			600
1850 Spending auth from offsetting collections, mand (total)			600
1930 Total budgetary resources available			600
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			600
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			600
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			–600
4190 Outlays, net (total)			–600

This account is a revolving fund that is available to make advances to the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing of the Federal and State administrative costs of employment security programs when the balance in ESAA is insufficient. The borrowing authority also enables ESAA to cover its obligations despite seasonal variations in the account's receipts.

Trust Funds

UNEMPLOYMENT TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 16–8042–0–7–999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	9,757	15,123	21,808
Adjustments:			
0190 Adjustment - prior year accounting adjustment	38		
0191 Adjustment - FY12 definite appropriation		–35	
0199 Balance, start of year	9,795	15,088	21,808
Receipts:			
0200 General Taxes, FUTA, Unemployment Trust Fund	7,059	7,862	8,442
0201 General Taxes, FUTA, Unemployment Trust Fund			1,305
0202 General Taxes, FUTA, Unemployment Trust Fund			–3,083
0203 Unemployment Trust Fund, State Accounts, Deposits by States	59,378	52,586	51,494
0204 Unemployment Trust Fund, State Accounts, Deposits by States			7
0205 Unemployment Trust Fund, Deposits by Railroad Retirement Board	210	107	39
0220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund	1,129	606	455
0221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund		–606	–455
0240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund	1,387	1,162	1,082
0241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund	140		
0242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund	40,590	30,767	7,157
0243 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities	472	559	703
0299 Total receipts and collections	110,365	93,043	67,146
0400 Total: Balances and collections	120,160	108,131	88,954
Appropriations:			
0500 Unemployment Trust Fund	–4,336	–4,362	–4,100
0501 Unemployment Trust Fund	–105,797	–89,387	–65,138
0502 Unemployment Trust Fund	5,171	7,537	5,436
0503 Unemployment Trust Fund			33
0504 Unemployment Trust Fund			15
0505 Railroad Unemployment Insurance Trust Fund	–15	–26	–26
0506 Railroad Unemployment Insurance Trust Fund		11	11
0507 Railroad Unemployment Insurance Trust Fund	–199	–86	–16
0508 Railroad Unemployment Insurance Trust Fund	–40	–162	–152
0509 Railroad Unemployment Insurance Trust Fund	162	152	67
0599 Total appropriations	–105,054	–86,323	–63,870
0795 Adjustment - reconcile to actual unappropriated balances	17		
0799 Balance, end of year	15,123	21,808	25,084

Program and Financing (in millions of dollars)

Identification code 16–8042–0–7–999	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Benefit payments by States	87,108	75,441	51,344
0002 Federal employees' unemployment compensation	1,412	1,100	1,076
0003 State administrative expenses	4,673	3,811	3,811
0007 UI Mod Benefits/Administration	261	200	200
0010 Direct expenses	124	123	123
0011 Reimbursements to the Department of the Treasury	82	88	90
0020 Veterans employment and training	212	213	221
0021 Interest on FUTA refunds	1	1	1
0022 Interest on General Fund Advances	1,247	830	670

UNEMPLOYMENT TRUST FUND—Continued
Program and Financing—Continued

Identification code 16–8042–0–7–999	2012 actual	2013 CR	2014 est.
0900 Total new obligations	95,120	81,807	57,536
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	241
1020 Adjustment of unobligated bal brought forward, Oct 1		35	
1050 Unobligated balance (total)		36	241
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	4,336	4,362	4,100
1160 Appropriation, discretionary (total)	4,336	4,362	4,100
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	105,797	89,387	65,138
1235 Appropriations precluded from obligation	–5,171	–7,537	–5,436
1236 Appropriations applied to repay debt	–22,005	–9,100	–10,600
1260 Appropriations, mandatory (total)	78,621	72,750	49,102
Borrowing authority, mandatory:			
1400 Borrowing authority	12,860	4,900	4,200
1421 Borrowing authority applied to repay debt	–696		
1440 Borrowing authority, mandatory (total)	12,164	4,900	4,200
1900 Budget authority (total)	95,121	82,012	57,402
1930 Total budgetary resources available	95,121	82,048	57,643
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	241	107
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6,225	5,236	3,058
3010 Obligations incurred, unexpired accounts	95,120	81,807	57,536
3020 Outlays (gross)	–96,109	–83,985	–58,734
3050 Unpaid obligations, end of year	5,236	3,058	1,860
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6,225	5,236	3,058
3200 Obligated balance, end of year	5,236	3,058	1,860
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,336	4,362	4,100
Outlays, gross:			
4010 Outlays from new discretionary authority	2,774	3,294	3,059
4011 Outlays from discretionary balances	1,370	1,541	1,073
4020 Outlays, gross (total)	4,144	4,835	4,132
Mandatory:			
4090 Budget authority, gross	90,785	77,650	53,302
Outlays, gross:			
4100 Outlays from new mandatory authority	90,293	77,650	53,302
4101 Outlays from mandatory balances	1,672	1,500	1,300
4110 Outlays, gross (total)	91,965	79,150	54,602
4180 Budget authority, net (total)	95,121	82,012	57,402
4190 Outlays, net (total)	96,109	83,985	58,734
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	16,030	20,673	25,000
5001 Total investments, EOY: Federal securities: Par value	20,673	25,000	27,000
5080 Outstanding debt, SOY: Repayable advances	–42,773	–32,932	–28,732
5081 Outstanding debt, EOY: Repayable advances	–32,932	–28,732	–22,332
5082 Borrowing: Repayable advances	–12,860	–4,900	–4,200

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	95,121	82,012	57,402
Outlays	96,109	83,985	58,734
Legislative proposal, not subject to PAYGO:			
Budget Authority			–33
Outlays			–33
Legislative proposal, subject to PAYGO:			
Budget Authority			–15
Outlays			–15
Total:			
Budget Authority	95,121	82,012	57,354
Outlays	96,109	83,985	58,686

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). All State and Federal unemployment tax receipts are deposited into the UTF and invested in Government securities until needed for benefit payments or administrative expenses. State payroll taxes pay for all regular State unemployment benefits. The Federal unemployment tax (FUTA) pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, and about 97 percent of the costs of the Employment Service. In addition, the Federal tax pays for certain extended benefit payments. During periods of high State unemployment, there is a stand-by program of extended benefits (EB), financed one-half by State unemployment taxes and one-half by the FUTA payroll tax, which are also paid out of the UTF. The American Recovery and Reinvestment Act (Public Law 111–5), and subsequent legislation, has temporarily made EB 100 percent federally financed. Temporary Federal extended benefit programs, including the current Emergency Unemployment Compensation program, are also funded from the Unemployment Trust Fund, either by the Federal tax or by reimbursement from Federal general revenues. The UTF also provides repayable advances (loans) to the States when the balances in their individual State accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable advances from the general fund when they have insufficient balances to make advances to States, pay the Federal share of extended unemployment benefits, or pay for State and Federal administrative costs.

The Federal Employees Compensation Account (FECA) in the Trust Fund provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA account can make the required payments to States are provided from the Advances to the Unemployment Trust Fund and Other Funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the Unemployment Trust Fund, and receipts from a tax on railroad payrolls are deposited into the Trust Fund to meet expenses.

Legislative proposals to strengthen the unemployment insurance safety net.—The economic downturn continues to severely test the adequacy of States' unemployment insurance (UI) systems, forcing States to borrow to continue paying benefits. These debts are now being repaid through additional taxes on employers, which undermine much-needed job creation. To provide short-term relief to employers in these States, the 2014 Budget will propose a suspension of interest on State UI borrowing in 2013 and 2014 along with a suspension of the FUTA credit reduction, which is an automatic debt repayment mechanism for those years. To address the need for States to return their unemployment trust funds to solvency, the Budget will also propose to increase the FUTA taxable wage base to \$15,000 in 2016 and to index it to average wages thereafter. States with lower wage bases will need to adjust their UI tax structures. The FUTA tax rate will be returned to 0.8% in 2014, to strengthen the solvency of the Federal trust fund accounts, then lowered in 2016 in a revenue-neutral way. This package will encourage States to put their UI systems on a firmer financial footing for the future, while preventing unnecessary burden on employers in the short term as the economy recovers. The impact of this proposal is on several receipt

accounts that feed into the UTF, including FUTA deposits, deposits of State unemployment taxes into the UTF, and interest on loans.

Section 908 of the Social Security Act currently requires the Secretary of Labor to establish an Advisory Council on Unemployment Compensation every fourth year. This requirement would be replaced with language that would allow the Secretary of Labor to periodically establish an Advisory Council.

Status of Funds (in millions of dollars)

Identification code 16-8042-0-7-999	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year	-26,748	-12,573	-3,626
0199 Total balance, start of year	-26,748	-12,573	-3,626
Cash income during the year:			
Current law:			
Receipts:			
1200 General Taxes, FUTA, Unemployment Trust Fund	7,059	7,862	8,442
1203 Unemployment Trust Fund, State Accounts, Deposits by States	59,378	52,586	51,494
1205 Unemployment Trust Fund, Deposits by Railroad Retirement Board	210	107	39
Offsetting receipts (proprietary):			
1220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund	1,129	606	455
Offsetting receipts (intragovernmental):			
1240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund	1,387	1,162	1,082
1241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund	140		
1242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund	40,590	30,767	7,157
1243 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities	472	559	703
Offsetting collections:			
1280 Railroad Unemployment Insurance Trust Fund	21	22	23
1299 Income under present law	110,386	93,671	69,395
Proposed legislation:			
Receipts:			
2201 General Taxes, FUTA, Unemployment Trust Fund			1,305
2202 General Taxes, FUTA, Unemployment Trust Fund			-3,083
2204 Unemployment Trust Fund, State Accounts, Deposits by States			7
Offsetting receipts (proprietary receipts):			
2221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund		-606	-455
2299 Income under proposed legislation		-606	-2,226
3299 Total cash income	110,386	93,065	67,169
Cash outgo during year:			
Current law:			
4500 Unemployment Trust Fund	-96,109	-83,985	-58,734
4500 Railroad Unemployment Insurance Trust Fund	-118	-133	-139
4599 Outgo under current law (-)	-96,227	-84,118	-58,873
Proposed legislation:			
5500 Unemployment Trust Fund			15
5500 Unemployment Trust Fund			33
5599 Outgo under proposed legislation (-)			48
6599 Total cash outgo (-)	-96,227	-84,118	-58,825
Manual Adjustments:			
7690 Adjustment - reconcile to actual unappropriated balances	17		
7691 Rounding adjustment	-1		
7699 Total adjustments	16		
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year	-33,246	-28,626	-22,282
8701 Unemployment Trust Fund	20,673	25,000	27,000
8799 Total balance, end of year	-12,573	-3,626	4,718

Object Classification (in millions of dollars)

Identification code 16-8042-0-7-999	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Reimbursements to Department of the Treasury	82	88	90
42.0 FECA (Federal Employee) Benefits	1,412	1,100	1,076
42.0 State unemployment benefits	87,108	74,895	50,638
43.0 Interest and dividends	1,248	1,311	1,311

94.0	ETA-PA, BLS, FLC	124	183	183
94.0	Veterans employment and training	212	213	221
94.0	Payments to States for administrative expenses	4,667	3,811	3,811
94.0	Departmental management	6	6	6
94.0	UI Mod Benefits/Admin	261	200	200
99.9	Total new obligations	95,120	81,807	57,536

UNEMPLOYMENT TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-8042-2-7-999	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Benefit payments by States			-33
0900 Total new obligations (object class 42.0)			-33
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-33
1260 Appropriations, mandatory (total)			-33
1930 Total budgetary resources available			-33
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-33
3020 Outlays (gross)			33
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-33
Outlays, gross:			
4100 Outlays from new mandatory authority			-33
4180 Budget authority, net (total)			-33
4190 Outlays, net (total)			-33

The savings reflected in the legislative proposal above are from a cap adjustment for Reemployment and Eligibility Assessments funded in the Unemployment Insurance administrative grants for the States. Please see the narrative in the "*State Unemployment Insurance and Employment Service Operations*" account for additional detail on this program integrity proposal.

UNEMPLOYMENT TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-8042-4-7-999	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Benefit payments by States			-15
0900 Total new obligations (object class 42.0)			-15
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-15
1260 Appropriations, mandatory (total)			-15
1930 Total budgetary resources available			-15
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-15
3020 Outlays (gross)			15
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-15
Outlays, gross:			
4100 Outlays from new mandatory authority			-15
4180 Budget authority, net (total)			-15
4190 Outlays, net (total)			-15

UNEMPLOYMENT TRUST FUND—Continued

The savings reflected in the legislative proposal shown above are for three Unemployment Insurance (UI) program integrity proposals that would expand State use of programs that help them to prevent and recover improper payments.

The State Information Data Exchange System (SIDES) is designed to help employers provide the information required to determine a claimant's UI eligibility to States more quickly by providing a secure electronic data exchange between States and employers or their third party administrators. SIDES is currently used by about 35 States. This provision would require all State UI agencies to use SIDES. The improvements in speed and accuracy resulting from use of such a system will help avoid overpayments or underpayments and provide for more efficient and effective administration of the UI program.

States have gained access to the Treasury Offset Program (TOP) to recover certain UI debts (stemming from overpayments due to fraud or failure to report earnings). A number of States already use TOP, and they have found it an effective debt recovery tool when other attempts to collect legally enforceable UI debts have failed. The legislative proposal will expand State use of TOP, which will increase recovery of overpayments.

To avoid paying UI benefits to incarcerated individuals (with an exception for those on work release), legislation will be proposed to require States to cross-match UI claimants with the Prisoner Update Processing System (PUPS) database housed at the Social Security Administration. The proposal will also add new data elements to PUPS on prospective and actual release dates, which should make the system more valuable to agency users as an indicator of potential ineligibility for UI benefits.

EMPLOYEE BENEFITS SECURITY ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Employee Benefits Security Administration, \$179,104,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–1700–0–1–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Enforcement and participant assistance	145	144	147
0002 Policy and compliance assistance	30	34	26
0003 Executive leadership, program oversight and administration	7	7	7
0799 Total direct obligations	182	185	180
0801 Reimbursable program	6	9	9
0900 Total new obligations	188	194	189
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	184	184	180
1160 Appropriation, discretionary (total)	184	184	180
Spending authority from offsetting collections, discretionary:			
1700 Collected: Federal Sources	6	9	9
1710 Spending authority from offsetting collections transferred to other accounts [16–0165]	–1		
1750 Spending auth from offsetting collections, disc (total)	5	9	9
1900 Budget authority (total)	189	193	189

1930 Total budgetary resources available	189	194	189
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	43	60	52
3010 Obligations incurred, unexpired accounts	188	194	189
3011 Obligations incurred, expired accounts	5		
3020 Outlays (gross)	–170	–202	–190
3041 Recoveries of prior year unpaid obligations, expired	–6		
3050 Unpaid obligations, end of year	60	52	51
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	43	60	52
3200 Obligated balance, end of year	60	52	51

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	189	193	189
Outlays, gross:			
4010 Outlays from new discretionary authority	137	145	142
4011 Outlays from discretionary balances	33	57	48
4020 Outlays, gross (total)	170	202	190
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Baseline Program [Reimbursable]	–6	–9	–9
4180 Budget authority, net (total)	183	184	180
4190 Outlays, net (total)	164	193	181

Enforcement and participant assistance.—Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act. Assures compliance with applicable reporting, disclosure, and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance to benefit plan professionals and participants and to the general public.

Policy and compliance assistance.—Conducts policy, research, and legislative analyses on pension, health, and other employee benefit issues. Provides compliance assistance to employers and plan officials. Develops regulations and interpretations. Issues individual and class exemptions from regulations.

	2012 actual	2013 est.	2014 est.
ENFORCEMENT AND PARTICIPANT ASSISTANCE			
Investigation conducted	3,884	3,994	3,994
Investigations closed that restored or protected assets	2,570	2,676	2,676
Benefit recoveries from customer assistance	289,830,000 ¹	173,000,000	173,000,000
Inquiries received	239,520 ²	250,000	250,000 ³
Reporting compliance reviews	4,609	4,330	4,330
POLICY AND COMPLIANCE ASSISTANCE			
Exemptions, determinations, interpretations, and regulations issues	4,565	4,876	4,965 ⁴
Average days to process exemption requests	238	300	300

¹ Of 4,881 inquiries that produced benefit recoveries, 3 inquiries resulted in over \$78 million (30%) of recoveries from customer assistance.

² Includes 3,240 American Recovery and Reinvestment Act (ARRA) related inquiries.

³ ARRA inquiries not included in 2013 or 2014 projections because eligibility for the COBRA subsidy expired May 31, 2010.

⁴ Includes Multiple Employer Welfare Arrangement (MEWA) registration.

Executive leadership, program oversight, and administration.—Provides leadership, policy direction, strategic planning, and administrative guidance in the support of the Department's ERISA responsibilities. Provides analytical and administrative support for the financial, human capital management, and other administrative functions. Manages the Agency's technical program training and employee development activities.

Object Classification (in millions of dollars)

Identification code 16-1700-0-1-601	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	82	94	93
11.5 Other personnel compensation	2	3	3
11.9 Total personnel compensation	84	97	96
12.1 Civilian personnel benefits	24	27	27
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	11	11	11
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	8	4	4
25.3 Other goods and services from Federal sources	17	16	16
25.5 Research and development contracts	12	9	5
25.7 Operation and maintenance of equipment	15	14	14
26.0 Supplies and materials	1	1	1
31.0 Equipment	4	1	2
99.0 Direct obligations	182	185	181
99.0 Reimbursable obligations	6	9	8
99.9 Total new obligations	188	194	189

Employment Summary

Identification code 16-1700-0-1-601	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	931	1,003	1,003

0803 Pension insurance activities	83	76	80
0804 Pension plan termination	225	241	270
0805 Operational support	138	162	155
0900 Total new obligations	5,860	6,219	6,978

Budgetary Resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15,312	15,582	16,784
Budget authority:			
Appropriations, mandatory:			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-100		
1260 Appropriations, mandatory (total)	-100		
1800 Spending authority from offsetting collections, mandatory: Collected	6,230	7,421	8,598
1850 Spending auth from offsetting collections, mand (total)	6,230	7,421	8,598
1900 Budget authority (total)	6,130	7,421	8,598
1930 Total budgetary resources available	21,442	23,003	25,382
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15,582	16,784	18,404

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	240	238	
3010 Obligations incurred, unexpired accounts	5,860	6,219	6,978
3020 Outlays (gross)	-5,862	-6,457	-6,978
3050 Unpaid obligations, end of year	238		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	240	238	
3200 Obligated balance, end of year	238		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	6,130	7,421	8,598
Outlays, gross:			
4100 Outlays from new mandatory authority	5,694	6,219	6,978
4101 Outlays from mandatory balances	168	238	
4110 Outlays, gross (total)	5,862	6,457	6,978
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-734	-656	-705
4123 Non-Federal sources	-5,496	-6,765	-7,893
4130 Offsets against gross budget authority and outlays (total)	-6,230	-7,421	-8,598
4160 Budget authority, net (mandatory)	-100		
4170 Outlays, net (mandatory)	-368	-964	-1,620
4180 Budget authority, net (total)	-100		
4190 Outlays, net (total)	-368	-964	-1,620

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	15,731	16,076	17,300
5001 Total investments, EOY: Federal securities: Par value	16,076	17,300	18,902

PENSION BENEFIT GUARANTY CORPORATION
Federal Funds

PENSION BENEFIT GUARANTY CORPORATION FUND

The Pension Benefit Guaranty Corporation ("Corporation") is authorized to make such expenditures, including financial assistance authorized by subtitle E of title IV of the Employee Retirement Income Security Act of 1974, within limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, as may be necessary in carrying out the program, including associated administrative expenses, through September 30, 2014, for the Corporation: Provided, That none of the funds available to the Corporation for fiscal year 2014 shall be available for obligations for administrative expenses in excess of \$505,441,000: Provided further, That to the extent that the number of new plan participants in plans terminated by the Corporation exceeds 100,000 in fiscal year 2014, an amount not to exceed an additional \$9,200,000 shall be available through September 30, 2015, for obligation for administrative expenses for every 20,000 additional terminated participants: Provided further, That additional funds shall be available for obligation for investment management fees incurred in carrying out the Corporation's Board-approved Investment Policy, after approval by the Office of Management and Budget and notification of the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That obligations in excess of the amounts provided in this paragraph may be incurred for unforeseen and extraordinary pretermination expenses or extraordinary multiemployer program related expenses after approval by the Office of Management and Budget and notification of the Committees on Appropriations of the House of Representatives and the Senate.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-4204-0-3-601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Single-employer benefit payment	5,319	5,634	6,358
0802 Multi-employer financial assistance	95	106	115

The Pension Benefit Guaranty Corporation is a federal corporation established under the Employee Retirement Income Security Act of 1974, as amended. It guarantees payment of basic pension benefits earned by more than 43 million of America's workers and retirees participating in more than 26,000 private sector defined pension plans. The Corporation receives no funds from general tax revenues. Operations are financed by insurance premiums paid by companies that sponsor defined benefit pension plans, investment income, and assets from terminated plans.

PBGC is requesting \$505,441,000 in spending authority for administrative purposes in 2014. The increase from 2013 supports increasing costs for investments fees and the multiemployer program, as well as improving the benefit payment and processing function.

The 2014 Budget proposes to give the PBGC Board the authority, beginning in 2015, to make future premium rate adjustments that take into account the risks that different sponsors pose to their retirees and PBGC. The Budget requires the Board to undertake a one-year study and public comment period, as well as a gradual phase-in of any increases. This proposal will both en-

PENSION BENEFIT GUARANTY CORPORATION FUND—Continued

courage companies to fully fund their pension benefits and ensure the agency's continued financial soundness.

PBGC is currently benchmarking its investment management practices against those of other large pension and/or trust fund administrators. This process may lead to modifications in 2015 on how PBGC accounts for investment management activities to bring PBGC more in line with standard investment industry practices. To facilitate this process, the Administration is proposing a modification to the PBGC appropriations language to make it easier for the Corporation to implement its Board-approved Investment Policy.

Plan Preservation Efforts: PBGC tries, first, to preserve plans and keep pension promises in the hands of the employers who make them. When companies undertake major transactions that might threaten their ability to pay pensions, PBGC negotiates protections for their pension plans. Last year PBGC negotiated with dozens of companies, both in bankruptcy and otherwise, to preserve their plans. Similarly, when major layoffs or plant closures threaten a plan's viability, PBGC steps in to negotiate protection for the plan. In 2012, PBGC:

- Helped to protect 130,000 people in American Airlines plans, and tens of thousands more in other plans in ongoing bankruptcies,

- Helped to protect 37,000 people in plans sponsored by companies that emerged from bankruptcy without terminating their plans,

- Negotiated \$31 million in financial assurance to protect more than 9,000 people in plans at risk from corporate transactions,

- Negotiated \$471 million in financial assurance to protect 50,000 people whose companies downsized, and

- Worked with media, Congressional staff, retiree groups, unions, and pension advocacy groups to help thousands to understand the lifetime consequences of accepting one-time cash payments instead of their pensions.

Stepping in to Insure Pensions When Plans Fail: When plans do fail, PBGC steps in to ensure that a portion of benefits continue to be paid. Over the years, PBGC has become responsible for almost 1.5 million people in 4,300 failed plans. In 2012, PBGC:

- Assumed responsibility for more than 47,000 people in 155 newly failed single-employer plans,

- Started paying benefits to the 17,000 retirees in those plans, on time and without missing a single payment,

- Paid \$5.5 billion to nearly 887,000 retirees in more than 4,500 failed plans (an additional 614,000 workers will receive benefits when they retire), and

- Re-evaluated the benefits of more than 223,000 pensioners at United Airlines, National Steel, and in other plans to correct possible errors in the original work over the past decade, and started changing procedures and organization to avoid errors in the future.

Budget activities:

Single-employer benefit payments.—The single-employer program protects about 33 million workers and retirees in about 24,000 pension plans. Under this program, a company may voluntarily seek to terminate its plan, or PBGC may seek termination. The PBGC must seek termination when a plan cannot pay current benefits. A plan that cannot pay all benefits may be ended by a "distress" termination, but only if the employer meets tests proving severe financial distress, such as proving that continuing the plan would force the company to go out of business. If a terminated plan cannot pay at least the PBGC-guaranteed level of benefits, PBGC uses its funds to ensure that guaranteed benefits are paid. A sponsor may terminate a plan in a "standard" termin-

ation only if plan assets are sufficient to pay all benefits. In a standard termination, the sponsor closes out the plan by purchasing annuities from an insurance company or by paying benefits in a lump sum. After a standard termination, the PBGC guarantee ends.

Multiemployer financial assistance.—The multiemployer insurance program protects about 10 million workers and retirees in about 1,500 pension plans. Multiemployer pension plans are maintained under collectively bargained agreements involving unrelated employers, generally of the same industry. If a PBGC-insured multiemployer plan is unable to pay guaranteed benefits when due, the PBGC will provide the plan with financial assistance (a loan to the plan) to continue paying guaranteed benefits.

Pension insurance activities.—This part of the administrative budget includes premium collections, purchase of U.S. Treasury securities using premium receipts, pre-trusteeship work, efforts to preserve pension plans, recovery of assets from former plan sponsors, and pension insurance program protection activities.

Pension plan termination.—This part of the administrative budget includes all activities related to trusteeship; plan asset management, investment and accounting; as well as benefit payments and administration services.

Operational support.—This part of the administrative budget includes the administrative, information technology infrastructure, and other shared program support for both PBGC's insurance and plan termination activities. The operational support activity includes the operations of the Inspector General and a request for funding in the amount of \$6,127,000 to support the required functions and efforts of the office, including training and CIGIE.

Balance Sheet (in millions of dollars)

Identification code 16-4204-0-3-601	2011 actual	2012 actual
ASSETS:		
Federal assets:		
Investments in US securities:		
1102 Treasury securities, par	15,731	19,222
1102 Treasury securities, unamortized discount	3,107	
1106 Receivables, net	92	82
1206 Non-Federal assets: Receivables, net	563	1,088
1601 Direct loans, gross	599	693
1603 Allowance for estimated uncollectible loans and interest (-)	-599	-693
1699 Value of assets related to direct loans		
Other Federal assets:		
1801 Cash and other monetary assets	45	273
1803 Property, plant and equipment, net	33	42
1901 Other assets	132	122
1999 Total assets	19,703	20,829
LIABILITIES:		
Non-Federal liabilities:		
2201 Accounts payable	463	430
2206 Pension and other actuarial liabilities	45,276	54,778
2999 Total liabilities	45,739	55,208
NET POSITION:		
3300 Cumulative results of operations	-26,036	-34,379
4999 Total liabilities and net position	19,703	20,829

Object Classification (in millions of dollars)

Identification code 16-4204-0-3-601	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	98	113	115
11.3 Other than full-time permanent	1	2	2
11.5 Other personnel compensation	3	4	5
11.9 Total personnel compensation	102	119	122
12.1 Civilian personnel benefits	29	33	33
21.0 Travel and transportation of persons	1	2	2
23.2 Rental payments to others	28	28	28
23.3 Communications, utilities, and miscellaneous charges	5	6	6

24.0	Printing and reproduction	1		
25.1	Advisory and assistance services	76	73	96
25.2	Other services from non-Federal sources	193	208	203
25.3	Other goods and services from Federal sources	4	1	4
26.0	Supplies and materials	2	3	3
31.0	Equipment	5	8	8
33.0	Investments and loans	95	116	115
42.0	Insurance claims and indemnities	5,319	5,622	6,358
99.9	Total new obligations	5,860	6,219	6,978

Employment Summary

Identification code 16-4204-0-3-601	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	956	1,017	1,018

EMPLOYMENT STANDARDS ADMINISTRATION**Federal Funds****SALARIES AND EXPENSES****Program and Financing** (in millions of dollars)

Identification code 16-0105-0-1-505	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	98	21	
3011 Obligations incurred, expired accounts	6		
3020 Outlays (gross)	-74	-21	
3041 Recoveries of prior year unpaid obligations, expired	-9		
3050 Unpaid obligations, end of year	21		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	98	21	
3200 Obligated balance, end of year	21		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	74	21	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4190 Outlays, net (total)	74	21	

In 2010, the Department of Labor abolished the Employment Standards Administration (ESA) to streamline administration of the programs. As the Department is reinvigorating its enforcement of worker protection laws, this reorganization supports the Administration's Worker Protection efforts by eliminating redundant management efforts by elevating program issues directly to the Secretarial level. It also reflects the importance of these programs and increased enforcement supporting the Secretary's Worker Protection goals. The Consolidated Appropriations Act, 2012 (P.L. 112-74) accepted the Administration's proposal to replace the appropriation for the Employment and Standards Administration by four individual appropriations for the component agencies and offices previously under the heading "Employment Standards Administration Salaries and Expenses." In the 2014 Budget, funding is requested separately for the Office of Workers' Compensation Programs, Wage and Hour Division, Office of Federal Contract Compliance Programs, and Office of Labor-Management Standards.

OFFICE OF WORKERS' COMPENSATION PROGRAMS**Federal Funds****SALARIES AND EXPENSES**

For necessary expenses for the Office of Workers' Compensation Programs, \$118,458,000, together with \$2,142,000 which may be expended

from the Special Fund in accordance with sections 39(c), 44(d), and 44(j) of the Longshore and Harbor Workers' Compensation Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0163-0-1-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0003 Federal programs for workers' compensation	151	151	153
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	116	116	118
1160 Appropriation, discretionary (total)	116	116	118
Spending authority from offsetting collections, discretionary:			
1700 Collected	35	35	35
1750 Spending auth from offsetting collections, disc (total)	35	35	35
1900 Budget authority (total)	151	151	153
1930 Total budgetary resources available	151	151	153
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		16	17
3010 Obligations incurred, unexpired accounts	151	151	153
3020 Outlays (gross)	-135	-150	-151
3050 Unpaid obligations, end of year	16	17	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		16	17
3200 Obligated balance, end of year	16	17	19
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	151	151	153
Outlays, gross:			
4010 Outlays from new discretionary authority	135	140	142
4011 Outlays from discretionary balances		10	9
4020 Outlays, gross (total)	135	150	151
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-35	-35	-35
4180 Budget authority, net (total)	116	116	118
4190 Outlays, net (total)	100	115	116

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	116	116	118
Outlays	100	115	116
Legislative proposal, not subject to PAYGO:			
Budget Authority			2
Outlays			2
Total:			
Budget Authority	116	116	120
Outlays	100	115	118

The Office of Workers' Compensation Programs (OWCP) administers the Federal Employees' Compensation Act, the Longshore and Harbor Workers' Compensation Act, the Energy Employees Occupational Illness Compensation Program Act, and the Black Lung Benefits Act. These programs ensure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services, including vocational rehabilitation, supervision of medical care, and technical and advisory counseling, to which they are entitled.

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 16–0163–0–1–505	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	83	83	84
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	84	84	85
12.1 Civilian personnel benefits	27	25	28
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	9	10	9
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.1 Advisory and assistance services	5	5	5
25.3 Other goods and services from Federal sources	11	12	11
25.7 Operation and maintenance of equipment	9	9	9
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations	151	151	153

Employment Summary

Identification code 16–0163–0–1–505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	990	1,014	1,014

SALARIES AND EXPENSES
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16–0163–2–1–505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0003 Federal programs for workers' compensation			2
0900 Total new obligations (object class 25.2)			2
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			2
1160 Appropriation, discretionary (total)			2
1930 Total budgetary resources available			2
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			2
3020 Outlays (gross)			–2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			2
Outlays, gross:			
4010 Outlays from new discretionary authority			2
4180 Budget authority, net (total)			2
4190 Outlays, net (total)			2

The Budget proposes to replace the current Defense Base Act program with a new Government-wide benefit program the Overseas Contractors Compensation Act (OCCA). This account contains discretionary funding for OCCA, contingent on its enactment.

SPECIAL BENEFITS

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by 5 U.S.C. 81; continuation of benefits as provided for under the heading "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; sections 4(c) and 5(f) of the War Claims Act of 1948; and 50 percent of the additional compensation and benefits required by

section 10(h) of the Longshore and Harbor Workers' Compensation Act, \$396,000,000, together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year: Provided, That amounts appropriated may be used under 5 U.S.C. 8104 by the Secretary to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a re-employed, disabled beneficiary: further, That balances of reimbursements unobligated on September 30, 2013, shall remain available until expended for the payment of compensation, benefits, and expenses: Provided further, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under 5 U.S.C. 8147(c) to pay an amount for its fair share of the cost of administration, such sums as the Secretary determines to be the cost of administration for employees of such fair share entities through September 30, 2014: Provided further, That of those funds transferred to this account from the fair share entities to pay the cost of administration of the Federal Employees' Compensation Act, \$60,017,000 shall be made available to the Secretary as follows:

(1) For enhancement and maintenance of automated data processing systems and telecommunications systems, \$19,499,000;

(2) For automated workload processing operations, including document imaging, centralized mail intake, and medical bill processing, \$22,968,000;

(3) For periodic roll and disability management and medical review, \$16,190,000;

(4) For program integrity, \$1,360,000; and

(5) The remaining funds shall be paid into the Treasury as miscellaneous receipts:

Provided further, That the Secretary may require that any person filing a notice of injury or a claim for benefits under 5 U.S.C. 81, or the Longshore and Harbor Workers' Compensation Act, provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–1521–0–1–600	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Longshore and harbor workers' compensation benefits	3	3	3
0002 Federal Employees' Compensation Act benefits	347	393	393
0799 Total direct obligations	350	396	396
0801 Federal Employees' Compensation Act benefits	2,677	2,891	2,891
0802 FECA Fair Share (administrative expenses)	62	59	60
0899 Total reimbursable obligations	2,739	2,950	2,951
0900 Total new obligations	3,089	3,346	3,347
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	482	644	680
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	483	644	680
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	350	396	396
1260 Appropriations, mandatory (total)	350	396	396
Spending authority from offsetting collections, mandatory:			
1800 Collected	2,899	2,986	3,002
1801 Change in uncollected payments, Federal sources	1		
1850 Spending auth from offsetting collections, mand (total)	2,900	2,986	3,002
1900 Budget authority (total)	3,250	3,382	3,398
1930 Total budgetary resources available	3,733	4,026	4,078
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	644	680	731
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	118	185	
3010 Obligations incurred, unexpired accounts	3,089	3,346	3,347

3020	Outlays (gross)	-3,021	-3,531	-3,334
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	185		13
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3090	Uncollected pymts, Fed sources, end of year	-4	-4	-4
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	115	181	-4
3200	Obligated balance, end of year	181	-4	9

Budget authority and outlays, net:

	Mandatory:			
4090	Budget authority, gross	3,250	3,382	3,398
	Outlays, gross:			
4100	Outlays from new mandatory authority	3,008	2,839	2,499
4101	Outlays from mandatory balances	13	692	835
4110	Outlays, gross (total)	3,021	3,531	3,334
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	-2,899	-2,986	-3,002
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	-1		
4160	Budget authority, net (mandatory)	350	396	396
4170	Outlays, net (mandatory)	122	545	332
4180	Budget authority, net (total)	350	396	396
4190	Outlays, net (total)	122	545	332

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	350	396	396
Outlays	122	545	332
Legislative proposal, subject to PAYGO:			
Budget Authority			-9
Outlays			-9
Total:			
Budget Authority	350	396	387
Outlays	122	545	323

Federal Employees' Compensation Act benefits.—The Federal Employees' Compensation Act program provides monetary and medical benefits to Federal workers who sustain work-related injury or disease. Not all benefits are paid by the program, since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies (the continuation-of-pay period). In 2014, 115,000 injured Federal workers or their survivors are projected to file claims; 49,000 are projected to receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the beneficiaries' employing agencies.

FEDERAL EMPLOYEES' COMPENSATION WORKLOAD

	2012 actual	2013 est.	2014 est.
Wage-loss claims received	19,806	19,000	19,000
Number of compensation and medical payments processed	4,700,000	4,700,000	5,000,000
Cases received	115,697	115,000	115,000
Periodic payment cases	49,436	49,000	48,500

Longshore and harbor workers' compensation benefits.—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the special fund which is financed by private employers, and is assessed at the beginning of each calendar year for their proportionate share of these payments.

Object Classification (in millions of dollars)

Identification code 16-1521-0-1-600	2012 actual	2013 CR	2014 est.
42.0 Direct obligations: Insurance claims and indemnities	350	396	396
99.0 Reimbursable obligations	2,739	2,950	2,951

99.9	Total new obligations	3,089	3,346	3,347
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Employment Summary

Identification code 16-1521-0-1-600	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	119	109	114

SPECIAL BENEFITS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-1521-4-1-600	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0002 Federal Employees' Compensation Act benefits			-9
0900 Total new obligations (object class 42.0)			-9
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-9
1260 Appropriations, mandatory (total)			-9
1900 Budget authority (total)			-9
1930 Total budgetary resources available			-9

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-9
3020 Outlays (gross)			9

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-9
Outlays, gross:			
4100 Outlays from new mandatory authority			-9
4180 Budget authority, net (total)			-9
4190 Outlays, net (total)			-9

The 2014 Budget incorporates longstanding Government Accountability Office, Congressional Budget Office, and Labor Inspector General recommendations, amending FECA to convert prospectively retirement-age beneficiaries to a retirement annuity-level benefit, establish an up-front waiting period for benefits for all beneficiaries, permit the Department of Labor to recapture compensation costs from responsible third parties, authorize the Department to cross-match FECA records with Social Security records to reduce improper payments, and make other changes to improve and update FECA. The 2014 reform legislation will also include a provision to allow the Department to add an administrative surcharge to the amount billed to Federal agencies for their FECA compensation costs, thereby shifting FECA administrative costs from the Department to Federal agencies in proportion to their usage. If enacted, the surcharge would not be applied until 2015 to give agencies an opportunity to plan for the change. The legislation would produce 10-year savings of more than \$460 million in the Special Benefits Fund, and more than \$500 million on a Government-wide basis over the same period.

ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND**Program and Financing** (in millions of dollars)

Identification code 16-1523-0-1-053	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Part B benefits	644	644	601
0002 Part E benefits	481	524	531
0003 RECA section 5 benefits	25	29	28
0004 RECA supplemental benefits (Part B)	17	15	14

**ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION
FUND—Continued
Program and Financing—Continued**

Identification code 16–1523–0–1–053	2012 actual	2013 CR	2014 est.
0900 Total new obligations (object class 42.0)	1,167	1,212	1,174
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1,167	1,212	1,174
1260 Appropriations, mandatory (total)	1,167	1,212	1,174
1900 Budget authority (total)	1,167	1,212	1,174
1930 Total budgetary resources available	1,167	1,212	1,174
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	26	1
3010 Obligations incurred, unexpired accounts	1,167	1,212	1,174
3020 Outlays (gross)	-1,163	-1,237	-1,174
3050 Unpaid obligations, end of year	26	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	26	1
3200 Obligated balance, end of year	26	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,167	1,212	1,174
Outlays, gross:			
4100 Outlays from new mandatory authority	1,163	1,212	1,174
4101 Outlays from mandatory balances		25	
4110 Outlays, gross (total)	1,163	1,237	1,174
4180 Budget authority, net (total)	1,167	1,212	1,174
4190 Outlays, net (total)	1,163	1,237	1,174
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value			1
5001 Total investments, EOY: Federal securities: Par value		1	1

Energy Employees' Compensation Act benefits.—The Department of Labor is delegated responsibility to adjudicate and administer claims for benefits under the Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA). In July 2001, the program began accepting claims from employees or survivors of employees of the Department of Energy (DOE) and of private companies under contract with DOE who suffer from a radiation-related cancer, beryllium-related disease, or chronic silicosis as a result of their work in producing or testing nuclear weapons. The Act authorizes a lump-sum payment of \$150,000 and reimbursement of medical expenses.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108–767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered under section 5 of the Radiation Exposure Compensation Act. Benefit payments under Part E began in 2005.

EEOICPA Workload Summary

	2012 actual	2013 est.	2014 est.
Part B			
Initial Claims Received	7,526	7,267	6,540
Initial Claims Processed	8,777	8,787	7,908
Final Decisions Issued	15,774	14,529	13,076
Payments Issued	5,501	5,689	5,120
Part E			
	2012 actual	2013 est.	2014 est.
Initial Claims Received	6,520	6,387	5,940
Initial Claims Processed	7,417	7,647	7,418
Final Decisions Issued	15,927	12,904	11,614

Payments Issued 968 3,919 3,801

OVERSEAS CONTRACTORS COMPENSATION ACT (OCCA)

(Legislative proposal, subject to PAYGO)

The growth in Federal contractors working overseas has brought into sharp focus the need for a more efficient approach to the Defense Base Act (DBA), which provides workers compensation coverage to Federal contract employees working overseas on defense bases and public works projects. The Budget proposes a new Government-wide fund to replace the patchwork of contract coverage now in effect under the DBA. Since 2002, the DBA caseload has increased by almost 2,600 percent, from 430 in 2002 to over 11,600 in 2011. DOL has experienced a number of administrative challenges in the wake of the increased workload, including difficulties in obtaining necessary documentation from foreign workers and delays in processing cases originating from war zones. In addition, under the program's current structure, the cost of DBA insurance which agencies pay through individual contracts exceed actual benefit by a significant margin. Over the past several years, DOL, the Department of Defense, the Department of State, and the U.S. Agency for International Development have been working closely together to reform and improve the operation of the program, and the Budget reflects the culmination of those collaborative efforts. The proposal would replace the current DBA program with a new Government-wide benefit program called the Overseas Contractors Compensation Act (OCCA), under which benefits would be paid directly from a Federal fund administered by DOL and agencies would be billed only for their share of benefits and administrative costs.

**ADMINISTRATIVE EXPENSES, ENERGY EMPLOYEES OCCUPATIONAL ILLNESS
COMPENSATION FUND**

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, \$55,176,000, to remain available until expended: Provided, That the Secretary may require that any person filing a claim for benefits under the Act provide as part of such claim such identifying information (including Social Security account number) as may be prescribed.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–1524–0–1–053	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0002 Department of Labor	51	55	55
0004 Department of Labor (Part E)	73	74	74
0900 Total new obligations	124	129	129
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	6	6
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	4	6	6
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (Part B)	53	55	55
1200 Appropriation (Part E)	73	74	74
1260 Appropriations, mandatory (total)	126	129	129
1930 Total budgetary resources available	130	135	135
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	32	41
3010	Obligations incurred, unexpired accounts	124	129
3020	Outlays (gross)	-113	-170
3040	Recoveries of prior year unpaid obligations, unexpired	-2	
3050	Unpaid obligations, end of year	41	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	32	41
3200	Obligated balance, end of year	41	
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	126	129
Outlays, gross:			
4100	Outlays from new mandatory authority	89	129
4101	Outlays from mandatory balances	24	41
4110	Outlays, gross (total)	113	170
4180	Budget authority, net (total)	126	129
4190	Outlays, net (total)	113	170

Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA) administration.—Under Executive Order 13179 the Secretary of Labor is assigned primary responsibility for administering the EEOICPA program, while other responsibilities have been delegated to the Departments of Health and Human Services (HHS), Energy (DOE), and Justice (DOJ). The Office of Workers' Compensation Programs (OWCP) in the Department of Labor (DOL) is responsible for claims adjudication, and award and payment of compensation and medical benefits. DOL's Office of the Solicitor provides legal support and represents the Department in claimant appeals of OWCP decisions. HHS is responsible for developing individual dose reconstructions to estimate occupational radiation exposure, and developing regulations to guide DOL's determination of whether an individual's cancer was caused by radiation exposure at a DOE or atomic weapons facility. DOE is responsible for providing exposure histories at employment facilities covered under the Act, and other employment information. DOJ assists claimants who have been awarded compensation under the Radiation Exposure Compensation Act to file for additional compensation, including medical benefits, under EEOICPA.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108-767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered by the Radiation Exposure Compensation Act. Administrative expenses for Part E are covered through indefinite, mandatory appropriations provided in P.L. 108-767.

Object Classification (in millions of dollars)

Identification code 16-1524-0-1-053	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	42	43
11.5	Other personnel compensation	1	
11.9	Total personnel compensation	43	43
12.1	Civilian personnel benefits	12	14
21.0	Travel and transportation of persons	1	1
23.1	Rental payments to GSA	9	6
23.3	Communications, utilities, and miscellaneous charges	1	1
25.1	Advisory and assistance services	1	
25.2	Other services from non-Federal sources	24	23
25.3	Other goods and services from Federal sources	17	19
25.7	Operation and maintenance of equipment	13	21
26.0	Supplies and materials	1	
31.0	Equipment	2	1
99.9	Total new obligations	124	129

Employment Summary

Identification code 16-1524-0-1-053	2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	471	514

SPECIAL BENEFITS FOR DISABLED COAL MINERS

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, as amended by Public Law 107-275, \$98,235,000, to remain available until expended.

For making after July 31 of the current fiscal year, benefit payments to individuals under title IV of such Act, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV for the first quarter of fiscal year 2015, \$24,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0169-0-1-601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001	Benefits	161	158
0002	Administration	5	5
0900	Total new obligations	166	163
Budgetary Resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	89	106
1021	Recoveries of prior year unpaid obligations	1	
1050	Unobligated balance (total)	90	106
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	141	123
1260	Appropriations, mandatory (total)	141	123
Advance appropriations, mandatory:			
1270	Advance appropriation	41	40
1280	Advanced appropriation, mandatory (total)	41	40
1900	Budget authority (total)	182	163
1930	Total budgetary resources available	272	269
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	106	106
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	17	14
3010	Obligations incurred, unexpired accounts	166	163
3020	Outlays (gross)	-168	-172
3040	Recoveries of prior year unpaid obligations, unexpired	-1	
3050	Unpaid obligations, end of year	14	5
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	17	14
3200	Obligated balance, end of year	14	5
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	182	163
Outlays, gross:			
4100	Outlays from new mandatory authority	166	163
4101	Outlays from mandatory balances	2	9
4110	Outlays, gross (total)	168	172
4180	Budget authority, net (total)	182	163
4190	Outlays, net (total)	168	172

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled due to coal workers' pneumoconiosis (black lung), and to their widows and certain other dependents. Part B of the Act assigned the processing and paying of claims filed between December 30, 1969 (when the

SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

program originated) and June 30, 1973 to the Social Security Administration (SSA). P.L. 107–275 transferred Part B claims processing and payment operations from SSA to the Department of Labor's Office of Workers' Compensation Programs. This change was implemented on October 1, 2003.

Object Classification (in millions of dollars)

Identification code 16–0169–0–1–601	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services from non-Federal sources	3	3	3
42.0 Insurance claims and indemnities	161	158	128
99.9 Total new obligations	166	163	133

Employment Summary

Identification code 16–0169–0–1–601	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	17	16	16

PANAMA CANAL COMMISSION COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 16–5155–0–2–602	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Benefits	5	5	5
0900 Total new obligations (object class 42.0)	5	5	5
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	62	57	52
1930 Total budgetary resources available	62	57	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	57	52	47
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	5	5	5
3020 Outlays (gross)	–5	–5	–5
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	5	5	5
4190 Outlays, net (total)	5	5	5
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	63	57	52
5001 Total investments, EOY: Federal securities: Par value	57	52	47

This fund was established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission was dissolved as set forth in the Panama Canal Treaty of 1977; however, the liability of the Commission for payments beyond that date did not end with its termination. The establishment of this fund, into which funds were deposited on a regular basis by the Commission, was in conjunction with the transfer of the administration of the Federal Employees' Compensation Act (FECA) program from the Commission to the Department of Labor, effective January 1, 1989.

Trust Funds

BLACK LUNG DISABILITY TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

Such sums as may be necessary from the Black Lung Disability Trust Fund ("Fund"), to remain available until expended, for payment of all benefits authorized by section 9501(d)(1), (2), (6), and (7) of the Internal Revenue Code of 1986; and repayment of, and payment of interest on advances, as authorized by section 9501(d)(4) of that Act. In addition, the following amounts may be expended from the Fund for fiscal year 2014 for expenses of operation and administration of the Black Lung Benefits program, as authorized by section 9501(d)(5): not to exceed \$32,906,000 for transfer to the Office of Workers' Compensation Programs, "Salaries and Expenses"; not to exceed \$25,217,000 for transfer to Departmental Management, "Salaries and Expenses"; not to exceed \$327,000 for transfer to Departmental Management, "Office of Inspector General"; and not to exceed \$356,000 for payments into miscellaneous receipts for the expenses of the Department of the Treasury.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 16–8144–0–7–601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	50	97	97
Receipts:			
0200 Transfer from General Fund, Black Lung Benefits Revenue Act			
Taxes	629	580	566
0220 Miscellaneous Interest, Black Lung Disability Trust Fund	1	2	2
0299 Total receipts and collections	630	582	568
0400 Total: Balances and collections	680	679	665
Appropriations:			
0500 Black Lung Disability Trust Fund	–630	–582	–568
0501 Black Lung Disability Trust Fund	16		
Adjustments:			
0590 Adjustment - current year accounting adjustment	31		
0599 Total appropriations	–583	–582	–568
0799 Balance, end of year	97	97	97

Program and Financing (in millions of dollars)

Identification code 16–8144–0–7–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Disabled coal miners benefits	215	189	181
0002 Administrative expenses	59	59	59
0003 Interest on zero coupon bonds	37	56	75
0004 Interest on short term advances			1
0900 Total new obligations	311	304	316
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	630	582	568
1235 Appropriations precluded from obligation	–16		
1236 Repay principal on zero coupon bonds	–319	–278	–252
1260 Appropriations, mandatory (total)	295	304	316
Borrowing authority, mandatory:			
1400 Borrowing authority	183	332	478
1421 Borrowing authority applied to repay advances	–108	–214	–333
1421 Repay principal on zero coupon bonds	–75	–118	–145
Spending authority from offsetting collections, mandatory:			
1801 Change in uncollected payments, Federal sources	15		
1850 Spending auth from offsetting collections, mand (total)	15		
1900 Budget authority (total)	310	304	316
1930 Total budgetary resources available	311	304	316
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		15	

3010	Obligations incurred, unexpired accounts	311	304	316
3020	Outlays (gross)	-296	-319	-316
3050	Unpaid obligations, end of year	15		
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1		-15	-15
3070	Change in uncollected pymts, Fed sources, unexpired	-15		
3090	Uncollected pymts, Fed sources, end of year	-15	-15	-15
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year			-15
3200	Obligated balance, end of year		-15	-15
Budget authority and outlays, net:				
	Mandatory:			
4090	Budget authority, gross	310	304	316
	Outlays, gross:			
4100	Outlays from new mandatory authority	295	304	316
4101	Outlays from mandatory balances	1	15	
4110	Outlays, gross (total)	296	319	316
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	-15		
4180	Budget authority, net (total)	295	304	316
4190	Outlays, net (total)	296	319	316
Memorandum (non-add) entries:				
5080	Outstanding debt, SOY: Repayable advances	-5,533	-5,245	-4,967
5081	Outstanding debt, EOY: Repayable advances	-5,245	-4,967	-4,715
5082	Borrowing: Repayable advances	-214	-332	-478

The trust fund consists of all monies collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These moneys are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition, the fund pays all administrative costs incurred in the operation of Part C of the Black Lung program. The fund is administered jointly by the Secretaries of Labor, Treasury, and Health and Human Services. The Emergency Economic Stabilization Act of 2008, enacted on October 3, 2008, authorized restructuring of the Black Lung Disability Trust Fund (BLDTF) debt by (1) extending the current coal excise tax rates of \$1.10 per ton on underground-mined coal and \$0.55 per ton on surface-mined coal until December 31, 2018; (2) providing a one-time appropriation for the BLDTF to repay the market value of parts of the outstanding repayable advances and accrued interest; and (3) refinancing the remainder of the outstanding debt through the issuance of zero-coupon bonds, to be retired using the BLDTF's annual operating surplus until all of its remaining obligations have been paid.

The Patient Protection and Affordable Care Act (PPACA) of 2010 reinstated two provisions of the Black Lung Benefits Act that had been removed in 1981 for claims filed on or after January 1, 1982. These provisions include: automatic entitlement to benefits for survivors of miners who had been awarded benefits at the time of their death and a presumption that a miner who has at least 15 years of qualifying coal mine employment and has a totally disabling lung condition has pneumoconiosis even in the absence of a negative x-ray.

BLACK LUNG DISABILITY TRUST FUND WORKLOAD

	2012 actual	2013 est.	2014 est.
Claims received	5,368	6,000	5,500
Claims in payment status	27,335	25,420	23,640
Medical benefits only recipients	1,375	1,200	1,100

Status of Funds (in millions of dollars)

Identification code 16-8144-0-7-601	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year	-5,482	-5,148	-4,885

0199	Total balance, start of year	-5,482	-5,148	-4,885
	Cash income during the year:			
	Current law:			
	Receipts:			
1200	Transfer from General Fund, Black Lung Benefits Revenue Act Taxes	629	580	566
	Offsetting receipts (proprietary):			
1220	Miscellaneous Interest, Black Lung Disability Trust Fund	1	2	2
1299	Income under present law	630	582	568
3299	Total cash income	630	582	568
	Cash outgo during year:			
	Current law:			
4500	Black Lung Disability Trust Fund	-296	-319	-316
4599	Outgo under current law (-)	-296	-319	-316
6599	Total cash outgo (-)	-296	-319	-316
	Unexpended balance, end of year:			
8700	Uninvested balance (net), end of year	-5,148	-4,885	-4,633
8799	Total balance, end of year	-5,148	-4,885	-4,633

Object Classification (in millions of dollars)

Identification code 16-8144-0-7-601	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	59	59	59
42.0 Insurance claims and indemnities	215	189	181
43.0 Interest and dividends	37	56	76
99.9 Total new obligations	311	304	316

SPECIAL WORKERS' COMPENSATION EXPENSES**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 16-9971-0-7-601		2012 actual	2013 CR	2014 est.
0100	Balance, start of year			
	Receipts:			
0200	Longshoremen's and Harbor Workers Compensation Act, Receipts, Special Workers'	124	127	126
0201	Workmen's Compensation Act within District of Columbia, Receipts, Special Workers'	8	10	9
	Adjustments:			
0290	Adjustment - rounding	-1		
0299	Total receipts and collections	131	137	135
0400	Total: Balances and collections	131	137	135
	Appropriations:			
0500	Special Workers' Compensation Expenses	-2	-2	-2
0501	Special Workers' Compensation Expenses	-129	-135	-133
0599	Total appropriations	-131	-137	-135
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 16-9971-0-7-601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Longshore and Harbor Workers' Compensation Act, as amended	127	127	126
0002 District of Columbia Compensation Act	9	10	9
0900 Total new obligations	136	137	135

Budgetary Resources:

1000	Unobligated balance:			
	Unobligated balance brought forward, Oct 1	63	58	58
	Budget authority:			
	Appropriations, discretionary:			
1101	Appropriation (special or trust fund)	2	2	2
1160	Appropriation, discretionary (total)	2	2	2
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	129	135	133
1260	Appropriations, mandatory (total)	129	135	133
1900	Budget authority (total)	131	137	135
1930	Total budgetary resources available	194	195	193

SPECIAL WORKERS' COMPENSATION EXPENSES—Continued
Program and Financing—Continued

Identification code 16-9971-0-7-601	2012 actual	2013 CR	2014 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	58	58	58
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 Obligations incurred, unexpired accounts	136	137	135
3020 Outlays (gross)	-135	-137	-135
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
Mandatory:			
4090 Budget authority, gross	129	135	133
Outlays, gross:			
4100 Outlays from new mandatory authority	129	76	120
4101 Outlays from mandatory balances	4	59	13
4110 Outlays, gross (total)	133	135	133
4180 Budget authority, net (total)	131	137	135
4190 Outlays, net (total)	135	137	135
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	62	59	92
5001 Total investments, EOY: Federal securities: Par value	59	92	101

The trust funds consist of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and—pursuant to an annual assessment of the industry—for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act (LHWCA), as amended.

These trust funds are available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments, after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the LHWCA for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

Object Classification (in millions of dollars)

Identification code 16-9971-0-7-601	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	2	2	2
42.0 Insurance claims and indemnities	134	135	133
99.9 Total new obligations	136	137	135

WAGE AND HOUR DIVISION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Wage and Hour Division, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, \$243,254,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0143-0-1-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Wage and Hour (Direct and H-1B)	226	229	243
0801 Reimbursable program activity	2	3	3
0900 Total new obligations	228	232	246
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	227	228	243
1121 Appropriations transferred from other accts [16-0174]		1	
1130 Appropriations permanently reduced	-1		
1160 Appropriation, discretionary (total)	226	229	243
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3
1710 Spending authority from offsetting collections transferred to other accounts [16-0165]	-1		
1750 Spending auth from offsetting collections, disc (total)	2	3	3
1900 Budget authority (total)	228	232	246
1930 Total budgetary resources available	228	232	246
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		31	30
3010 Obligations incurred, unexpired accounts	228	232	246
3020 Outlays (gross)	-197	-233	-246
3050 Unpaid obligations, end of year	31	30	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		31	30
3200 Obligated balance, end of year	31	30	30
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	228	232	246
Outlays, gross:			
4010 Outlays from new discretionary authority	197	214	227
4011 Outlays from discretionary balances		19	19
4020 Outlays, gross (total)	197	233	246
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3		
4033 Non-Federal sources		-3	-3
4040 Offsets against gross budget authority and outlays (total)	-3	-3	-3
4070 Budget authority, net (discretionary)	225	229	243
4080 Outlays, net (discretionary)	194	230	243
4180 Budget authority, net (total)	225	229	243
4190 Outlays, net (total)	194	230	243

The Wage and Hour Division enforces the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act (FLSA), the Migrant and Seasonal Agricultural Worker Protection Act (MSPA), the Family and Medical Leave Act (FMLA), certain provisions of the Immigration and Nationality Act (INA), the wage garnishment provisions in Title III of the Consumer Credit Protection Act (CCPA), and the Employee Polygraph Protection Act (EPPA). The Division also determines prevailing wages and enforces employment standards under various Government contract wage standards. In 2014, approximately 265,000 persons are expected to be aided under

the FLSA through securing agreements with firms to pay back wages owed to their workers. In government contract compliance actions, about 25,000 persons will be aided through securing agreements to pay wages owed to workers. Under MSPA, approximately 1,400 investigations will be completed. While in the course of all on-site investigations investigators routinely check for employer compliance with child labor standards, approximately 1,000 investigations with the objective of detecting child labor violations will be conducted.

Object Classification (in millions of dollars)

Identification code 16-0143-0-1-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	103	107	111
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation	1	3	4
11.9 Total personnel compensation	106	110	115
12.1 Civilian personnel benefits	34	33	34
21.0 Travel and transportation of persons	7	9	8
23.1 Rental payments to GSA	11	10	11
23.3 Communications, utilities, and miscellaneous charges	5	4	4
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	4	4	4
25.2 Other services from non-Federal sources	6	7	7
25.3 Other goods and services from Federal sources	23	24	26
25.7 Operation and maintenance of equipment	24	24	30
26.0 Supplies and materials	2	1	1
31.0 Equipment	3	2	2
99.0 Direct obligations	226	229	243
99.0 Reimbursable obligations	2	3	3
99.9 Total new obligations	228	232	246

Employment Summary

Identification code 16-0143-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1,510	1,510	1,573

WAGE AND HOUR DIVISION H-2B**Program and Financing** (in millions of dollars)

Identification code 16-0142-0-1-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	1	2	
0900 Total new obligations (object class 21.0)	1	2	
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	2	
1930 Total budgetary resources available	3	2	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			2
3010 Obligations incurred, unexpired accounts	1	2	
3020 Outlays (gross)	-1		
3050 Unpaid obligations, end of year		2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			2
3200 Obligated balance, end of year		2	2
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1		

4190 Outlays, net (total)	1		
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H-1 B AND L FRAUD PREVENTION AND DETECTION**Program and Financing** (in millions of dollars)

Identification code 16-5393-0-2-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 H-1 B and L Fraud Prevention and Detection	43	35	35
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	50	52	52
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	45	35	35
1260 Appropriations, mandatory (total)	45	35	35
1930 Total budgetary resources available	95	87	87
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	52	52	52
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		2	2
3010 Obligations incurred, unexpired accounts	43	35	35
3020 Outlays (gross)	-41	-35	-35
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	45	35	35
Outlays, gross:			
4100 Outlays from new mandatory authority	41	35	35
4180 Budget authority, net (total)	45	35	35
4190 Outlays, net (total)	41	35	35

The Wage and Hour Division has traditionally had responsibility for enforcing certain worker protections provisions of the Immigration and Nationality Act, specifically the H-2A and H-1B temporary non-immigrant foreign worker programs. Pursuant to an Interagency Agreement (IAA) between the U.S. Department of Homeland Security (DHS) and the U.S. Department of Labor (DOL) and section 214(c)(14)(B) of the Immigration and Nationality Act (INA), 8 U.S.C. 1184(c)(14)(B), DOL and WHD have been delegated the enforcement authority located at section 214(c)(14)(A)(i) of the INA, 8 U.S.C. 1184(c)(14)(A)(i) for enforcing the H-2B temporary non-immigrant foreign worker program. Under section 524 of H.R. 3288, the Secretary of Labor may use one-third of the H-1B and L Fraud Protection and Detection fee account for enforcement of these temporary worker program provisions and for related enforcement activities.

Object Classification (in millions of dollars)

Identification code 16-5393-0-2-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	32	28	28
12.1 Civilian personnel benefits	8	7	7
21.0 Travel and transportation of persons	2		
23.1 Rental payments to GSA	1		
99.9 Total new obligations	43	35	35

H-1 B AND L FRAUD PREVENTION AND DETECTION—Continued
Employment Summary

Identification code 16-5393-0-2-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	299	299	299

OFFICE OF FEDERAL CONTRACT COMPLIANCE
PROGRAMS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Office of Federal Contract Compliance Programs, \$108,467,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0148-0-1-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0002 Federal contractor EEO standards enforcement	105	106	108

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	105	106	108
1160 Appropriation, discretionary (total)	105	106	108
1930 Total budgetary resources available	105	106	108

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	17	
3010 Obligations incurred, unexpired accounts	105	106	108
3020 Outlays (gross)	-93	-101	-107
3050 Unpaid obligations, end of year	12	17	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	17	
3200 Obligated balance, end of year	12	17	18

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	105	106	108
Outlays, gross:			
4010 Outlays from new discretionary authority	93	96	98
4011 Outlays from discretionary balances	5	9	
4020 Outlays, gross (total)	93	101	107
4180 Budget authority, net (total)	105	106	108
4190 Outlays, net (total)	93	101	107

The Office of Federal Contract Compliance Programs (OFCCP) enforces equal employment opportunity and nondiscrimination requirements of Federal contractors and subcontractors. In particular, OFCCP enforces: Executive Order 11246, which prohibits employment discrimination on the basis of race, sex, religion, color, and national origin; Section 503 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990 (through a memorandum of understanding with the Equal Employment Opportunity Commission), which prohibit employment discrimination against individuals with disabilities; and the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended, which prohibits employment discrimination against certain protected veterans. OFCCP programs cover close to 100,000 work-sites and a total workforce of 12 million persons. OFCCP monitors contractors' compliance through compliance evaluations and reporting requirements. Specifically, OFCCP will complete 4,650 compliance evaluations in 2014, with a focus on both supply and

service construction reviews. OFCCP will continue to shift its outreach strategy from being contractor-centric to worker-focused, which will strengthen its enforcement capacity in the process. In addition, the agency will also ensure that contractors and subcontractors are provided linkages to recruitment sources for hiring and advancement of minorities, women, protected veterans, and individuals with disabilities.

Object Classification (in millions of dollars)

Identification code 16-0148-0-1-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	57	59	60
11.3 Other than full-time permanent	1	1	
11.5 Other personnel compensation	1		1
11.9 Total personnel compensation	58	60	61
12.1 Civilian personnel benefits	17	17	18
21.0 Travel and transportation of persons	1	1	2
23.1 Rental payments to GSA	6	6	7
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1	1	
25.2 Other services from non-Federal sources	1	2	2
25.3 Other goods and services from Federal sources	12	10	10
25.7 Operation and maintenance of equipment	6	6	6
26.0 Supplies and materials	1	1	
31.0 Equipment	1	1	1
99.9 Total new obligations	105	106	108

Employment Summary

Identification code 16-0148-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	743	743	753

OFFICE OF LABOR MANAGEMENT STANDARDS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Office of Labor Management Standards, \$46,891,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0150-0-1-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0002 Labor-management standards	41	42	47

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	41	42	47
1160 Appropriation, discretionary (total)	41	42	47
1930 Total budgetary resources available	41	42	47

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	6	
3010 Obligations incurred, unexpired accounts	41	42	47
3020 Outlays (gross)	-37	-40	-46
3050 Unpaid obligations, end of year	4	6	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	6	
3200 Obligated balance, end of year	4	6	7

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	41	42	47

Outlays, gross:				
4010	Outlays from new discretionary authority	37	38	43
4011	Outlays from discretionary balances	<u> </u>	<u>2</u>	<u>3</u>
4020	Outlays, gross (total)	37	40	46
4180	Budget authority, net (total)	41	42	47
4190	Outlays, net (total)	37	40	46

The Office of Labor-Management Standards (OLMS) receives and discloses reports of unions, union officers and employees, employers, labor consultants and others in accordance with the Labor Management Reporting and Disclosure Act (LMRDA), including union financial reports and employer and consultant activity reports; audits union financial records and investigates possible embezzlements of union funds; conducts union officer election investigations; supervises reruns of union officer elections pursuant to voluntary settlements or after court determinations that elections were not conducted in accordance with the LMRDA; and administers the statutory program to certify employee protection provisions under various Federally-sponsored transportation programs. In 2014, OLMS plans continued efforts to advance transparency and financial integrity protections, primarily through audits, investigations and compliance assistance efforts. OLMS will ensure that Federally sponsored transportation grants are processed in a timely manner providing requisite protection to employees against adverse impacts as a result of federal assistance.

Object Classification (in millions of dollars)

Identification code 16-0150-0-1-505		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	22	22	23
11.5	Other personnel compensation	1		
11.9	Total personnel compensation	23	22	23
12.1	Civilian personnel benefits	7	7	7
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	3	3	3
25.2	Other services from non-Federal sources		1	1
25.3	Other goods and services from Federal sources	4	4	5
25.7	Operation and maintenance of equipment	3	3	7
31.0	Equipment		1	
99.9	Total new obligations	41	42	47

Employment Summary

Identification code 16-0150-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	228	228	229

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Occupational Safety and Health Administration, \$570,519,000, including not to exceed \$104,196,000 which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act ("Act"), which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Act; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$200,000 per fiscal year of training institute course tuition fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education: Provided, That notwithstanding 31 U.S.C. 3302, the Secretary is authorized, during the fiscal year ending September 30, 2014, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize

such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: Provided further, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the Act which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: Provided further, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Act with respect to any employer of 10 or fewer employees who is included within a category having a Days Away, Restricted, or Transferred (DART) occupational injury and illness rate, at the most precise industrial classification code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of the Act, except—

(1) to provide, as authorized by the Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;

(2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;

(3) to take any action authorized by the Act with respect to imminent dangers;

(4) to take any action authorized by the Act with respect to health hazards;

(5) to take any action authorized by the Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by the Act; and

(6) to take any action authorized by the Act with respect to complaints of discrimination against employees for exercising rights under the Act: Provided further, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: Provided further, That \$10,709,000 shall be available for Susan Harwood training grants.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0400-0-1-554		2012 actual	2013 CR	2014 est.
Obligations by program activity:				
0001	Safety and health standards	20	20	22
0002	Federal enforcement	208	210	208
0003	Whistleblower protection	16	16	22
0004	State programs	104	105	104
0005	Technical support	26	26	25
0006	Federal compliance assistance	76	77	75
0007	State consultation grants	58	58	58
0008	Training grants	11	11	11
0009	Safety and health statistics	35	35	34
0010	Executive direction and administration	11	11	12
0799	Total direct obligations	565	569	571
0801	Reimbursable program	2	2	2
0900	Total new obligations	567	571	573

Budgetary Resources:

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	566	568	571
1121	Appropriations transferred from other accts [16-0174]		1	
1130	Appropriations permanently reduced	-1		
1160	Appropriation, discretionary (total)	565	569	571
Spending authority from offsetting collections, discretionary:				
1700	Collected	2	2	2
1750	Spending auth from offsetting collections, disc (total)	2	2	2
1900	Budget authority (total)	567	571	573
1930	Total budgetary resources available	567	571	573

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 16–0400–0–1–554	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	102	105	101
3010 Obligations incurred, unexpired accounts	567	571	573
3011 Obligations incurred, expired accounts	3		
3020 Outlays (gross)	–558	–575	–578
3041 Recoveries of prior year unpaid obligations, expired	–9		
3050 Unpaid obligations, end of year	105	101	96
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–2	–2	–2
3090 Uncollected pymts, Fed sources, end of year	–2	–2	–2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	100	103	99
3200 Obligated balance, end of year	103	99	94
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	567	571	573
Outlays, gross:			
4010 Outlays from new discretionary authority	482	497	499
4011 Outlays from discretionary balances	76	78	79
4020 Outlays, gross (total)	558	575	578
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	–1	–1
4033 Non-Federal sources	–1	–1	–1
4040 Offsets against gross budget authority and outlays (total)	–2	–2	–2
4070 Budget authority, net (discretionary)	565	569	571
4080 Outlays, net (discretionary)	556	573	576
4180 Budget authority, net (total)	565	569	571
4190 Outlays, net (total)	556	573	576

Safety and Health Standards.—This activity provides for the protection of workers' safety and health through development, promulgation, review, and evaluation of occupational safety and health standards and guidance, as specified under the Occupational Safety and Health (OSH) Act of 1970. Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is economically and technologically feasible when compared with alternative regulatory proposals providing equal levels of protection. This activity also ensures, through the SBREFA process, that small business concerns are taken into account in the process of developing standards.

Federal Enforcement.—This activity provides for ensuring the protection of employees through the enforcement of workplace standards promulgated under the Occupational Safety and Health (OSH) Act of 1970, through the physical inspection of worksites, and by providing guidance on how to comply with the requirements of OSHA standards. Enforcement programs are targeted to the investigation of imminent danger situations and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury-illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards. OSHA's enforcement strategy ranges from a selective targeting of inspections and related compliance activities to specific high hazard industries and worksites.

Whistleblower Programs.—This activity provides for the enforcement of Section 11(c) of the OSH Act, which prohibits any person from discharging or in any manner retaliating against any employee because the employee has exercised rights under the Act, including complaining to OSHA and seeking an OSHA inspection,

participating in an OSHA inspection, and participating or testifying in any proceeding related to an OSHA inspection. In addition, OSHA is responsible for enforcing more than 21 other whistleblower protection statutes that protect employees who report violations of various airline, commercial motor carrier, consumer product, environmental, financial reform, food safety, health care reform, nuclear, pipeline, public transportation agency, railroad, maritime, automotive manufacturing, and securities laws.

State Programs.—This activity supports states in assuming responsibility for administering occupational safety and health programs under State OSHA plans approved by the Secretary. Under section 23 of the OSH Act, grants matching up to fifty percent of total program costs are made to States that meet the Act's criteria for establishing and implementing State OSHA programs that are at least as effective as the Federal program. State programs, like their Federal counterparts, provide a mix of enforcement, outreach, training, and compliance assistance activities.

Technical Support.—This activity provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial hygiene, occupational health nursing, occupational medicine, chemical analysis, equipment calibration, safety engineering, environmental impact statements, technical and scientific databases, computer-based outreach products, and emergency preparedness. This activity also provides support for OSHA's emergency response activities, including responses to oil spills, hurricanes, tornados, and other natural or man-made disasters.

Federal Compliance Assistance.—This activity supports a range of training, outreach, and cooperative programs that provide compliance assistance for employers and employees in protecting workers' safety and health, with particular emphasis on small business, immigrant, and other high risk and hard-to-reach workers. OSHA works with employers and employees through Voluntary Protection Programs that recognize and promote effective safety and health management partnerships that focus on the development of extended cooperative relationships and alliances that commit organizations to collaborative efforts with OSHA. This activity also provides assistance to federal agencies in implementing and improving their job safety and health programs. Occupational safety and health training is provided at the OSHA Training Institute and affiliated Education Centers throughout the country. Compliance and technical assistance materials are prepared and disseminated to the public through various means, including the Internet.

State Compliance Assistance: Consultation Grants.—This activity supports 90 percent Federally funded cooperative agreements with designated State agencies to provide free on-site consultation to small and medium-sized employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

Compliance Assistance: Training Grants.—This activity supports safety and health grants to organizations that provide face-to-face training, education, technical assistance, and develop educational materials for employers and employees. These grants address safety and health education needs related to hard-to-reach workers and specific high-risk topics and industries identified by the agency.

Safety and Health Statistics.—This activity supports information technology infrastructure, management of information, OSHA's webpage and web-based compliance assistance services, and the statistical basis for OSHA's programs and field operations. These are provided through an integrated data network and statistical analysis and review. OSHA administers and maintains the recordkeeping system that serves as the foundation for the BLS survey on occupational injuries and illnesses and provides guidance on recordkeeping requirements to both the public and private sectors.

Executive direction and administration.—This activity supports executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, federal agency liaison, administrative services, and budgeting and financial control.

PROGRAM STATISTICS

	2012 actual	2013 est.	2014 est.
Standards promulgated	3	4	4
Inspections:			
Federal inspections	40,961	41,000	39,250
State program inspections	51,133	52,100	50,350
Whistleblower cases	2,787	2,654	2,877
Training and consultations:			
Consultation visits	29,310	28,500	28,500
Susan Harwood Training Grants	72	67	67
New strategic partnerships	17	18	17
Outreach Training	689,814	700,000	700,000

Object Classification (in millions of dollars)

Identification code 16-0400-0-1-554	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	194	200	202
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	5	6	6
Total personnel compensation	200	207	209
12.1 Civilian personnel benefits	58	62	62
21.0 Travel and transportation of persons	12	13	11
23.1 Rental payments to GSA	23	23	24
23.3 Communications, utilities, and miscellaneous charges	3	3	3
24.0 Printing and reproduction	2	1	1
25.1 Advisory and assistance services	3	3	3
25.2 Other services from non-Federal sources	84	80	81
25.3 Other goods and services from Federal sources	40	37	37
25.4 Operation and maintenance of facilities	1		
25.7 Operation and maintenance of equipment	17	17	17
26.0 Supplies and materials	3	3	3
31.0 Equipment	4	4	5
41.0 Grants, subsidies, and contributions	115	116	115
99.0 Direct obligations	565	569	571
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations	567	571	573

Employment Summary

Identification code 16-0400-0-1-554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	2,239	2,239	2,255
2001 Reimbursable civilian full-time equivalent employment	3	3	3

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:

Environmental Protection Agency: Hazardous Substance Response Trust Fund.

MINE SAFETY AND HEALTH ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for the Mine Safety and Health Administration, \$380,721,000, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles, including up to \$2,000,000 for mine rescue and recovery activities; in addition, not to exceed \$750,000 may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities, notwithstanding 31 U.S.C. 3302; in addition, the Mine Safety and Health Administration is authorized to collect and retain up to \$2,499,000 from fees collected for the approval and certification of equipment, materials, and explosives for use in mines, and may utilize such sums for such activities, notwithstanding 31 U.S.C. 3302; and, in addition, the Mine Safety and Health Administration is authorized to collect and retain fees for services related to the analysis of rock dust samples, and may utilize such sums to administer such activities, notwithstanding 31 U.S.C. 3302; the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private; the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations; the Secretary is authorized to recognize the Joseph A. Holmes Safety Association as a principal safety association and, notwithstanding any other provision of law, may provide funds and, with or without reimbursement, personnel, including service of Mine Safety and Health Administration officials as officers in local chapters or in the national organization; any funds available to the Department of Labor may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster; and the Secretary may reallocate among the items funded under this heading up to \$3,000,000 to support inspections or investigations pursuant to section 103 of the Federal Mine Safety and Health Act of 1977: Provided, That the Secretary of Labor may transfer such sums as may be necessary to "Departmental Management" for the Office of the Solicitor move related to the relocation of the Mine Safety and Health Administration headquarters.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-1200-0-1-554	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Coal	164	166	169
0002 Metal/non-metal	89	90	93
0003 Standards development	5	5	6
0004 Assessments	7	7	8
0005 Educational policy and development	38	38	29
0006 Technical support	34	34	34
0007 Program administration	17	18	20
0008 Program evaluation & information resources	18	18	22
0799 Total direct obligations	372	376	381
0801 Reimbursable program	1	2	3
0900 Total new obligations	373	378	384

Budgetary Resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	374	376	381
1120 Appropriations transferred to other accts [16-0165]	-1		
1130 Appropriations permanently reduced	-1		
1160 Appropriation, discretionary (total)	372	376	381
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	2	3
1750 Spending auth from offsetting collections, disc (total)	1	2	3

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 16–1200–0–1–554	2012 actual	2013 CR	2014 est.
1900 Budget authority (total)	373	378	384
1930 Total budgetary resources available	373	378	384
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	47	50	49
3010 Obligations incurred, unexpired accounts	373	378	384
3011 Obligations incurred, expired accounts	6		
3020 Outlays (gross)	–371	–379	–388
3041 Recoveries of prior year unpaid obligations, expired	–5		
3050 Unpaid obligations, end of year	50	49	45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	47	50	49
3200 Obligated balance, end of year	50	49	45
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	373	378	384
Outlays, gross:			
4010 Outlays from new discretionary authority	330	345	350
4011 Outlays from discretionary balances	41	34	38
4020 Outlays, gross (total)	371	379	388
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–1	–2	–3
4180 Budget authority, net (total)	372	376	381
4190 Outlays, net (total)	370	377	385

Enforcement.—The enforcement strategy in 2014 will be an integrated approach toward the prevention of mining accidents, injuries, and occupational illnesses. This includes inspection of mines and other activities as mandated by the Federal Mine Safety and Health Act of 1977 (Mine Act), as amended by the Mine Improvement and New Emergency Response Act of 2006 (MINER Act), special emphasis initiatives that focus on persistent safety and health hazards, promulgation of federal mine safety and health standards, investigation of serious accidents, and on-site education and training. The desired outcome of these enforcement efforts is to prevent death, disease, and injury from mining and promote safe and healthful workplaces for the Nation's miners. In 2014, MSHA is proposing appropriations language that would provide the agency with additional flexibility to internally reallocate funding to ensure the enforcement programs have the necessary resources to effectively conduct mandated inspections or investigations.

Office of Assessments, Accountability, Special Enforcement and Investigations.—This activity assesses and collects civil monetary penalties for violations of safety and health standards and manages MSHA's accountability, special enforcement, and investigation functions.

Educational Policy and Development.—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Mine Health and Safety Academy for MSHA personnel, other governmental personnel, and the mining industry.

Technical Support.—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementing the Mine Act and the MINER Act. Technical Support administers a fee program to approve equipment, materials, and explosives for use in mines and performs field and laboratory audits of equipment previously approved by MSHA. It also collects and analyzes data relative to the cause, frequency, and circumstances of mine accidents. In 2014, MSHA is proposing appropriations language that would authorize the agency to charge a fee for the analysis of rock dust samples for determination of compliance.

As full implementation of this authority will require rulemaking that will not commence until the authority has been provided, MSHA does not anticipate beginning to collect fees until 2015.

Program Evaluation and Information Resources (PEIR).—This activity provides program evaluation and information technology resource management services for the agency.

Program Administration.—This activity performs general administrative functions and is responsible for meeting performance requirements and developing MSHA's performance plan and Annual Performance Report.

PROGRAM STATISTICS

	2012 Actual	2013 Est.	2014 Est.
Enforcement per 200,000 hours worked by employees:			
Fatality Rates			
All-MSHA fatality rates	0.0150	TBD	TBD
Coal Mines	0.0223	TBD	TBD
Metal/non-metal mines	0.0103	TBD	TBD
Regulations promulgated	1	3	TBD
Assessments:			
Violations assessed	143,152	143,000	TBD
Educational policy and development:			
Course days	1,544	1,350	1,350
Technical support:			
Equipment approvals	899	800	750
Laboratory samples analyzed	282,618	275,000	288,100

Object Classification (in millions of dollars)

Identification code 16–1200–0–1–554	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	180	184	189
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	11	6	6
11.9 Total personnel compensation	193	192	197
12.1 Civilian personnel benefits	66	67	69
21.0 Travel and transportation of things	13	14	14
22.0 Transportation of things	8	7	8
23.1 Rental payments to GSA	17	18	19
23.3 Communications, utilities, and miscellaneous charges	5	5	5
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	8	9	8
25.3 Other goods and services from Federal sources	26	27	28
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	10	11	14
26.0 Supplies and materials	5	5	4
31.0 Equipment	9	10	12
41.0 Grants, subsidies, and contributions	9	9	1
99.0 Direct obligations	372	376	381
99.0 Reimbursable obligations	1	2	3
99.9 Total new obligations	373	378	384

Employment Summary

Identification code 16–1200–0–1–554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	2,357	2,357	2,338

BUREAU OF LABOR STATISTICS
Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, \$546,618,000, together with not to exceed \$67,176,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0200-0-1-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Labor force statistics	276	278	277
0002 Prices and cost of living	206	207	210
0003 Compensation and working conditions	80	81	82
0004 Productivity and technology	12	12	10
0006 Executive direction and staff services	34	35	35
0799 Total direct obligations	608	613	614
0801 Reimbursable program	10	18	15
0900 Total new obligations	618	631	629
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	543	545	547
1130 Appropriations permanently reduced	-1		
1160 Appropriation, discretionary (total)	542	545	547
Spending authority from offsetting collections, discretionary:			
1700 Collected	78	86	82
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	79	86	82
1900 Budget authority (total)	621	631	629
1930 Total budgetary resources available	621	632	630
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	86	92	81
3010 Obligations incurred, unexpired accounts	618	631	629
3011 Obligations incurred, expired accounts	6		
3020 Outlays (gross)	-610	-642	-628
3041 Recoveries of prior year unpaid obligations, expired	-8		
3050 Unpaid obligations, end of year	92	81	82
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	85	90	79
3200 Obligated balance, end of year	90	79	80
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	621	631	629
Outlays, gross:			
4010 Outlays from new discretionary authority	506	555	553
4011 Outlays from discretionary balances	104	87	75
4020 Outlays, gross (total)	610	642	628
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-76	-68	-67
4033 Non-Federal sources	-1	-18	-15
4040 Offsets against gross budget authority and outlays (total)	-77	-86	-82
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4052 Offsetting collections credited to expired accounts	-1		
4060 Additional offsets against budget authority only (total)	-2		
4070 Budget authority, net (discretionary)	542	545	547
4080 Outlays, net (discretionary)	533	556	546
4180 Budget authority, net (total)	542	545	547
4190 Outlays, net (total)	533	556	546

Labor Force Statistics.—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the Nation, States, and local areas. Makes studies of the labor force. Publishes data on employment and wages, by industry. Provides economic projections, including changes in the level and structure

of the economy, as well as employment projections by industry and by occupational category.

	2012 actual	2013 est.	2014 est.
Labor force statistics (selected items):			
Employment and wages for NAICS industries (quarterly series)	3,542,000	3,500,000	3,500,000
Employment and unemployment estimates for States and local areas (monthly and annual series)	101,381	101,445	101,509
Occupational employment statistics (annual series)	90,234	90,000	90,000
Industry projections (2 yr. cycle)	195	N/A	195
Detailed occupations covered in the Occupational Outlook Handbook (2 yr. cycle)	536	N/A	530

Prices and Cost of Living.—Publishes the Consumer Price Index (CPI), the Producer Price Index, U.S. Import and Export Price Indexes, estimates of consumers' expenditures, and studies of price change.

	2012 actual	2013 est.	2014 est.
Consumer price indexes published (monthly)	6,409	6,400	6,400
Percentage of CPI statistics released on schedule	100%	100%	100%
Producer price indexes published (monthly)	9,622	9,400	9,800
U.S. Import and Export Price Indexes published (monthly)	856	1,050	1,050

Compensation and Working Conditions.—Publishes data on employee compensation, including information on wages, salaries, and employer-provided benefits, by occupation for major labor markets and industries. Publishes information on work stoppages. Compiles annual information to estimate the number and incidence rate of work-related injuries, illnesses, and fatalities.

	2012 actual	2013 est.	2014 est.
Compensation and working conditions (major items):			
Employment cost index: number of establishments	12,200	11,400	11,400
Occupational safety and health: number of establishments	241,081	240,000	240,000

Productivity and Technology.—Publishes trends in productivity and costs for major economic sectors and detailed industries. Also analyzes trends in order to examine the factors underlying productivity change. Publishes international comparisons of productivity, labor force and unemployment, and hourly compensation costs.

	2012 actual	2013 est.	2014 est.
Studies, articles, and special reports	21	21	21
Series updated	4,411	4,411	4,344

Executive Direction and Staff Services.—Provides planning and policy for the Bureau of Labor Statistics, operates the information technology, coordinates research, and publishes data and reports for government and public use.

Object Classification (in millions of dollars)

Identification code 16-0200-0-1-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	186	195	193
11.3 Other than full-time permanent	14	12	12
11.5 Other personnel compensation	3	2	3
11.9 Total personnel compensation	203	209	208
12.1 Civilian personnel benefits	59	61	60
21.0 Travel and transportation of persons	6	6	6
23.1 Rental payments to GSA	33	36	37
23.3 Communications, utilities, and miscellaneous charges	6	6	6
24.0 Printing and reproduction	3	3	2
25.2 Other services from non-Federal sources	20	17	19
25.3 Other goods and services from Federal sources	134	119	123
25.5 Research and development contracts	11	12	12
25.7 Operation and maintenance of equipment	51	62	60
26.0 Supplies and materials	1	1	1
31.0 Equipment	7	6	6
41.0 Grants, subsidies, and contributions	74	75	74
99.0 Direct obligations	608	613	614
99.0 Reimbursable obligations	10	18	15
99.9 Total new obligations	618	631	629

SALARIES AND EXPENSES—Continued
Employment Summary

Identification code 16-0200-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	2,304	2,304	2,271
2001 Reimbursable civilian full-time equivalent employment	4	59	59

DEPARTMENTAL MANAGEMENT

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for Departmental Management, including the hire of three passenger motor vehicles, \$347,630,000, together with not to exceed \$326,000, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund: Provided, That \$66,375,000 for the Bureau of International Labor Affairs shall be available for obligation through December 31, 2014: Provided further, That funds available to the Bureau of International Labor Affairs may be used to administer or operate international labor activities, bilateral and multilateral technical assistance, and microfinance programs, by or through contracts, grants, subgrants and other arrangements: Provided further, That not less than \$49,887,000 shall be used for programs to combat exploitative child labor internationally and not less than \$16,488,000 shall be used to implement model programs that address worker rights issues through technical assistance in countries with which the United States has free trade agreements or trade preference programs: Provided further, That \$9,000,000 shall be used for program evaluation and shall be available for obligation through September 30, 2015: Provided further, That funds available for program evaluation may be transferred to any other appropriate account in the Department for such purpose: Provided further, That the funds available to the Women's Bureau may be used for grants to serve and promote the interests of women in the workforce.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0165-0-1-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Program direction and support	33	33	32
0002 Legal services	137	137	137
0003 International labor affairs	64	93	95
0004 Administration and management	30	30	30
0005 Adjudication	47	47	48
0007 Women's bureau	12	12	9
0008 Civil rights	7	7	8
0009 Chief Financial Officer	5	5	5
0011 Departmental Program Evaluation	8	25	9
0192 Total Direct Program - Subtotal	343	389	373
0799 Total direct obligations	343	389	373
0801 Reimbursable - SOL	8	9	9
0802 Reimbursable - ILAB		2	2
0803 Reimbursable - OSEC	8	35	35
0899 Total reimbursable obligations	16	46	46
0900 Total new obligations	359	435	419
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	78	62
1011 Unobligated balance transfer from other accts [72-1037]	3		
1050 Unobligated balance (total)	33	78	62
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Regular)	347	348	348
1121 Appropriations transferred from MSHA [16-1200]	1		
1130 Appropriations permanently reduced	-1		

1160 Appropriation, discretionary (total)	347	348	348
Spending authority from offsetting collections, discretionary:			
1700 Collected	41	71	71
1711 Spending authority from offsetting collections transferred from other accounts [16-0179]	16		
1711 Spending authority from offsetting collections transferred from other accounts [16-1700]	1		
1711 Spending authority from offsetting collections transferred from other accounts [16-0143]	1		
1750 Spending auth from offsetting collections, disc (total)	59	71	71
1900 Budget authority (total)	406	419	419
1930 Total budgetary resources available	439	497	481
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	78	62	62

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	296	264	271
3010 Obligations incurred, unexpired accounts	359	435	419
3011 Obligations incurred, expired accounts	9		
3020 Outlays (gross)	-389	-428	-427
3041 Recoveries of prior year unpaid obligations, expired	-11		
3050 Unpaid obligations, end of year	264	271	263
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-7	-7
3090 Uncollected pymts, Fed sources, end of year	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	289	257	264
3200 Obligated balance, end of year	257	264	256

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	406	419	419
Outlays, gross:			
4010 Outlays from new discretionary authority	265	295	295
4011 Outlays from discretionary balances	124	133	132
4020 Outlays, gross (total)	389	428	427
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-41	-71	-71
4180 Budget authority, net (total)	365	348	348
4190 Outlays, net (total)	348	357	356

Program Direction and Support.—Provides leadership and direction for all programs and functions assigned to the Department of Labor (DOL). Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

Legal Services.—Provides the Secretary of Labor and departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases; providing assistance to the Department of Justice in case preparation and trials; reviewing rules, orders and written interpretations and opinions for DOL program agencies and the public; assisting in the development and defense of rules and regulations and opinions for DOL program agencies and the public; assisting in the development and defense of rules and regulations; providing opinions and advice to all agencies of the Department; and coordinating the Department's legislative program.

International Labor Affairs.—Supports the President's international labor agenda and coordinates the international activities for the Department of Labor. Activities include promotion of good labor policies and labor rights through intergovernmental organizations and bilateral relationships with other countries, as well as implementation of projects in developing countries to improve workers' rights and living standards and to protect vulnerable workers including women and children.

Administration and Management.—Exercises leadership in all departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

Adjudication.—Conducts formal hearings and renders timely decisions on appeals of claims filed under the Black Lung Benefits Act; the Longshore and Harbor Workers' Compensation Act and its extensions, including the Defense Base Act, and Permanent and Temporary Labor Certifications; the Federal Employees' Compensation Act; and other acts involving complaints to determine violations of minimum wage requirements, overtime payments, health and safety regulations, and unfair labor practices.

Women's Bureau.—Develops policies and standards, and conducts inquiries to safeguard the interests of working women; to advocate for equality and economic security for working women and their families; and to promote quality work environments.

Civil Rights.—Ensures compliance with certain Federal civil rights statutes and Executive Orders, and their implementing regulations, including Titles VI and VII of the Civil Rights Act of 1964, Sections 504 and 508 of the Rehabilitation Act of 1973, Title II of the Americans with Disabilities Act of 1990, and Section 188 of the Workforce Investment Act of 1998. These laws apply to and protect Department of Labor (DOL) employees, DOL applicants for employment, and individuals who interact with DOL programs and activities.

Chief Financial Officer.—Created as a result of the CFO Act of 1990, provides financial management leadership and direction to all DOL program agencies on financial matters arising from legislative and regulatory mandates such as the CFO Act, GMRA, FFMIA, FMFIA, Clinger-Cohen, The Reports Consolidation Act, IPIA, Treasury Financial Manual guidance and OMB Circulars.

Program Evaluation.—The Office of the Chief Evaluation Officer is charged with coordinating and overseeing rigorous evaluations of the Department of Labor's programs, and ensuring high standards in evaluations undertaken and funded by the Department of Labor. Provides for the centralization of evaluation activities; builds evaluation capacity and expertise within the Department; ensures the independence of the evaluation and research functions; and makes sure that evaluation and research findings are available and accessible in a timely and user-friendly way.

Object Classification (in millions of dollars)

Identification code 16-0165-0-1-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	148	151	151
11.3 Other than full-time permanent	3	2	2
11.5 Other personnel compensation	2	4	4
11.9 Total personnel compensation	153	157	157
12.1 Civilian personnel benefits	40	41	41
21.0 Travel and transportation of persons	4	3	3
23.1 Rental payments to GSA	19	20	20
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	10	22	6
25.2 Other services from non-Federal sources	12	14	14
25.3 Other goods and services from Federal sources	45	45	45
25.5 Research and development contracts	2	2	2
25.7 Operation and maintenance of equipment	11	11	11
26.0 Supplies and materials	3	3	3
31.0 Equipment	2	3	3
41.0 Grants, subsidies, and contributions	40	66	66
99.0 Direct obligations	343	389	373
99.0 Reimbursable obligations	16	46	46

99.9	Total new obligations	359	435	419
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Employment Summary

Identification code 16-0165-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1,380	1,380	1,367
2001 Reimbursable civilian full-time equivalent employment	44	44	44

OFFICE OF DISABILITY EMPLOYMENT POLICY

SALARIES AND EXPENSES

For necessary expenses for the Office of Disability Employment Policy to provide leadership, develop policy and initiatives, and award grants furthering the objective of eliminating barriers to the training and employment of people with disabilities, \$42,432,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0166-0-1-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Office of Disability Employment Policy	39	39	42
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	39	39	42
1160 Appropriation, discretionary (total)	39	39	42
1930 Total budgetary resources available	39	39	42
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	25	26
3010 Obligations incurred, unexpired accounts	39	39	42
3020 Outlays (gross)	-38	-38	-38
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	25	26	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	25	26
3200 Obligated balance, end of year	25	26	30
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	39	39	42
Outlays, gross:			
4010 Outlays from new discretionary authority	22	16	17
4011 Outlays from discretionary balances	16	22	21
4020 Outlays, gross (total)	38	38	38
4180 Budget authority, net (total)	39	39	42
4190 Outlays, net (total)	38	38	38

Office of Disability Employment Policy.—This agency provides national leadership in developing policy to eliminate barriers to employment faced by people with disabilities. ODEP works within the Department of Labor and in collaboration with other Federal, state and local agencies, private-sector employers, and employer associations to provide technical assistance and to develop and disseminate evidence-based policy strategies and effective practices. ODEP works in three broad areas of inquiry: workforce systems; employers and the workplace; and employment-related supports. The goal of these efforts is to increase employment opportunities and the workforce participation rate of people with disabilities.

OFFICE OF DISABILITY EMPLOYMENT POLICY—Continued

Object Classification (in millions of dollars)

Identification code 16-0166-0-1-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	6	6
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1		1
25.1 Advisory and assistance services	8	9	8
25.2 Other services from non-Federal sources	1		
25.3 Other goods and services from Federal sources	3	2	2
41.0 Grants, subsidies, and contributions	19	20	23
99.9 Total new obligations	39	39	42

Employment Summary

Identification code 16-0166-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	51	51	51

OFFICE OF INSPECTOR GENERAL

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$79,805,000, together with not to exceed \$5,909,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0106-0-1-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Program and Trust Funds	84	85	86
0002 Recovery Act	2		
0799 Total direct obligations	86	85	86
0801 Reimbursable program			1
0900 Total new obligations	86	85	87
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Program Activities)	78	78	80
1160 Appropriation, discretionary (total)	78	78	80
Spending authority from offsetting collections, discretionary:			
1700 Collected	6	7	7
1750 Spending auth from offsetting collections, disc (total)	6	7	7
1900 Budget authority (total)	84	85	87
1930 Total budgetary resources available	86	85	87
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	13	11
3010 Obligations incurred, unexpired accounts	86	85	87
3011 Obligations incurred, expired accounts	2		
3020 Outlays (gross)	-86	-87	-86
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	13	11	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	12	10
3200 Obligated balance, end of year	12	10	11

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	84	85	87
Outlays, gross:			
4010 Outlays from new discretionary authority	74	73	74
4011 Outlays from discretionary balances	12	14	12
4020 Outlays, gross (total)	86	87	86
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-6	-7	-6
4033 Non-Federal sources			-1
4040 Offsets against gross budget authority and outlays (total)	-6	-7	-7
4070 Budget authority, net (discretionary)	78	78	80
4080 Outlays, net (discretionary)	80	80	79
4180 Budget authority, net (total)	78	78	80
4190 Outlays, net (total)	80	80	79

The Office of Inspector General (OIG) conducts audits, investigations, and evaluations that improve the effectiveness, efficiency, and economy of departmental programs and operations. It addresses DOL program fraud and labor racketeering in the American workplace, provides technical assistance to DOL program agencies, and advice to the Secretary and the Congress on how to attain the highest possible program performance. The Office of Audit performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are effective, and resources are safeguarded. It also ensures funds are expended in a manner consistent with laws and regulations, and with achieving the desired program results. The Office of Labor Racketeering and Fraud Investigations conducts investigations to detect and deter fraud, waste, and abuse in departmental programs. It also identifies and reduces labor racketeering and corruption in employee benefit plans, labor management relations, and internal union affairs.

	2012 actual	2013 est.	2014 est.
Number of Audits	58	58	58
Number of Investigations Completed	445	431	431

Object Classification (in millions of dollars)

Identification code 16-0106-0-1-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	40	41	44
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	44	45	48
12.1 Civilian personnel benefits	16	16	16
21.0 Travel and transportation of persons	3	3	4
23.1 Rental payments to GSA	5	5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	8	5	4
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	6	6	6
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials		1	
31.0 Equipment	1	1	
99.0 Direct obligations	86	85	86
99.0 Reimbursable obligations			1
99.9 Total new obligations	86	85	87

Employment Summary

Identification code 16-0106-0-1-505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	409	409	407

VETERANS EMPLOYMENT AND TRAINING

Not to exceed \$262,333,000 may be derived from the Employment Security Administration Account in the Unemployment Trust Fund to carry

out the provisions of chapters 41, 42, and 43 of title 38, United States Code, of which:

(1) \$203,081,000 is for Jobs for Veterans State grants under 38 U.S.C. 4102A(b)(5) to support disabled veterans' outreach program specialists under section 4103A of such title and local veterans' employment representatives under section 4104(b) of such title, and for the expenses described in section 4102A(b)(5)(C), which shall be available for obligation by the States through December 31, 2014: Provided, That, in addition, such funds may be used to support such specialists and representatives in the provision of services to transitioning members of the Armed Forces who have participated in the Transition Assistance Program and have been identified as in need of intensive services, to members of the Armed Forces who are wounded, ill, or injured and receiving treatment in military treatment facilities or warrior transition units, and to the spouses or other family caregivers of such wounded, ill, or injured members;

(2) \$14,000,000 is for carrying out the Transition Assistance Program under 38 U.S.C. 4113 and 10 U.S.C. 1144;

(3) \$41,838,000 is for Federal administration of chapters 41, 42, and 43 of title 38, United States Code; and

(4) \$3,414,000 is for the National Veterans' Employment and Training Services Institute under 38 U.S.C. 4109:

Provided further, That the Secretary of Labor may reallocate among the appropriations provided under paragraphs (1) through (4) above an amount not to exceed 3 percent of the appropriation from which such reallocation is made.

In addition, from the General Fund of the Treasury, \$38,185,000 is for carrying out the Homeless Veterans Reintegration Programs under 38 U.S.C. 2021.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0164–0–1–702	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0003 Jobs for Veterans State grants	160	166	203
0004 Transition Assistance Program	13	9	14
0005 Federal Management	35	35	42
0006 National Veterans' Training Institute	3	3	3
0007 Homeless veterans program	38	38	38
0008 Veterans' workforce investment program	14	15	
0900 Total new obligations	263	266	300
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	53	53	38
1160 Appropriation, discretionary (total)	53	53	38
Spending authority from offsetting collections, discretionary:			
1700 Collected	212	213	262
1750 Spending auth from offsetting collections, disc (total)	212	213	262
1900 Budget authority (total)	265	266	300
1930 Total budgetary resources available	265	267	301
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	89	102	89
3010 Obligations incurred, unexpired accounts	263	266	300
3011 Obligations incurred, expired accounts	2		
3020 Outlays (gross)	–250	–279	–336
3041 Recoveries of prior year unpaid obligations, expired	–2		
3050 Unpaid obligations, end of year	102	89	53
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1		
3071 Change in uncollected pymts, Fed sources, expired	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	88	102	89

3200	Obligated balance, end of year	102	89	53
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	265	266	300
Outlays, gross:				
4010	Outlays from new discretionary authority	175	217	266
4011	Outlays from discretionary balances	75	62	70
4020	Outlays, gross (total)	250	279	336
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	–162	–167	–262
4030	Federal sources	–35	–35	
4030	Federal sources	–2	–2	
4030	Federal sources	–13	–9	
4040	Offsets against gross budget authority and outlays (total)	–212	–213	–262
4070	Budget authority, net (discretionary)	53	53	38
4080	Outlays, net (discretionary)	38	66	74
4180	Budget authority, net (total)	53	53	38
4190	Outlays, net (total)	38	66	74

Jobs for Veterans State grants.—The Jobs for Veterans Act (JVA) of 2002 provides the foundation for this budget activity. The JVA requires the Veterans Employment and Training Service (VETS) to act on behalf of the Secretary in the promulgation of policies and regulations that ensure maximum employment and training opportunities for veterans and priority of service for veterans within the State workforce delivery system for employment and training programs funded in whole or in part by the U.S. Department of Labor. Under the JVA, resources are allocated to States to support Disabled Veterans Outreach Program (DVOP) specialists and Local Veterans' Employment Representatives (LVERs).

Disabled Veterans Outreach Program specialists (38 U.S.C. 4103A) provide intensive services to meet the employment needs of eligible veterans. DVOPs place maximum emphasis on helping economically or educationally disadvantaged veterans. Priority of service (38 U.S.C. 4215) is given to special disabled veterans, other disabled veterans, and other eligible veterans.

Local Veterans' Employment Representatives (38 U.S.C. 4104) conduct outreach to employers as well as assist veterans in gaining employment by conducting job search workshops and establishing job search support groups. LVERs also facilitate employment, training, and placement services provided to veterans under the applicable State employment service delivery system, including One-Stop Career Centers. In addition, each LVER provides reports to the manager of the State employment service delivery system and to the State Director for Veterans Employment and Training (38 U.S.C. 4103) regarding the State's compliance with Federal law and regulations with respect to special services and priorities for eligible veterans.

Transition Assistance Program.—This program provides employment workshops for departing service members in the continental U.S. and at major overseas installations. VETS coordinates with the Departments of Defense, Veterans Affairs, and Homeland Security to provide transition services to military service members separating from active duty. TAP is implemented worldwide and provides labor-market and employment-related information and other services to separating service members and their spouses. The goal of TAP is to expedite and facilitate the transition from military to civilian employment.

Federal management.—VETS' Federal management budget activity carries out programs and develops policies to provide veterans the maximum employment and training opportunities (38 U.S.C. 4102–4103A) and to investigate complaints received under the Uniformed Services Employment and Reemployment Rights Act (USERRA) (38 USC 4322). Veterans' Preference activities, which are intended to assist veterans in obtaining

VETERANS EMPLOYMENT AND TRAINING—Continued

Federal employment (39 U.S.C. 4214), are also supported under this activity.

Resources under this activity are also used to evaluate the job training and employment assistance services provided to veterans under the Jobs for Veterans State Grants (38 USC 4102A), the Homeless Veterans Reintegration Program (Section 738 of the Stewart B. McKinney Homeless Assistance Act (MHAA) of July 1987, and amended by Section 5 of the Homeless Veterans Comprehensive Assistance Act (HVCAA of 2001), and the Veterans Workforce Investment Program (Section 168, Workforce Investment Act, P.L. 105–220). This budget activity supports field activities and personnel who provide technical assistance to grantees to ensure they meet negotiated and mandated performance goals and other grant provisions.

This budget activity also supports the oversight and development of policies for the Transition Assistance Program (10 USC 1144 and 38 USC 4113). The activity funds outreach and education efforts, such as job fairs, that raise the awareness of employers about the benefits of hiring veterans. The activities of the Advisory Committee for Veterans Employment, Training, and Employer Outreach (38 USC 4110) also are supported. The REALifeLines initiative facilitates timely and comprehensive employment services to our Nation's severely wounded and injured veterans.

National Veterans' Employment and Training Services Institute.—The National Veterans' Training Institute (NVTI) supplies competency-based training to Federal and State providers of services to veterans (38 U.S.C. 4109). NVTI also provides training for Veterans Employment and Training Service personnel. NVTI is administered through a contract and supported by dedicated funds. NVTI ensures that these service providers receive a comprehensive foundation so they can effectively assist job-seeking veterans.

Homeless Veterans' Reintegration Program.—The Homeless Veterans' Reintegration Program (HVRP) (38 U.S.C. 2021) provides grants to States or other public entities, as well as to non-profits, including faith-based organizations. Grant awards enable grantees to operate employment programs to reach out to homeless veterans and help them become employed. VETS partners with the Departments of Veterans Affairs and Housing and Urban Development to promote multi-agency-funded programs that integrate the different services needed by homeless veterans. HVRP grants are provided for both urban and rural areas.

Veterans' Workforce Investment Program.—The Veterans' Workforce Investment Program (VWIP) (Section 168 of P.L. 105–220) provides competitive grants geared toward training and retraining to create employment opportunities for veterans in high-skill occupations, and to meet employer demands. The fiscal year 2014 Budget will end funding for this program and instead support service delivery innovations through the Workforce Innovation Fund.

Object Classification (in millions of dollars)

Identification code 16–0164–0–1–702	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	18	20	22
12.1 Civilian personnel benefits	6	5	6
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1		
25.2 Other services from non-Federal sources	13	13	20
25.3 Other goods and services from Federal sources	6	5	6
41.0 Grants, subsidies, and contributions	214	218	241

99.0	Direct obligations	262	265	299
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	263	266	300

Employment Summary

Identification code 16–0164–0–1–702	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	210	210	227

INFORMATION TECHNOLOGY MODERNIZATION

For necessary expenses for Department of Labor centralized infrastructure technology investment activities related to support systems and modernization, \$20,587,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0162–0–1–505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Departmental Support Systems	12	8	8
0002 IT Infrastructure Modernization	8	12	13
0100 Direct program activities, subtotal	20	20	21
0900 Total new obligations	20	20	21
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	20	20	21
1160 Appropriation, discretionary (total)	20	20	21
1930 Total budgetary resources available	20	20	21
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		19	21
3010 Obligations incurred, unexpired accounts	20	20	21
3020 Outlays (gross)	–1	–18	–20
3050 Unpaid obligations, end of year	19	21	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		19	21
3200 Obligated balance, end of year	19	21	22
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	20	20	21
Outlays, gross:			
4010 Outlays from new discretionary authority	1	5	5
4011 Outlays from discretionary balances		13	15
4020 Outlays, gross (total)	1	18	20
4180 Budget authority, net (total)	20	20	21
4190 Outlays, net (total)	1	18	20

Departmental Support Systems.—This activity represents a permanent, centralized IT investment fund for the Department of Labor managed by the Chief Information Officer. The fund is used to support process improvements, modernization, and enhancements to Departmental common universal support processes and systems, as well as enterprise-wide programs for effective IT management and decision making.

IT Infrastructure Modernization.—This Chief Information Officer-managed activity funds the effort to transform nine major independently funded and managed IT infrastructure silos at the sub-agency level into a unified IT infrastructure. The unified infrastructure will be centrally managed and provide all agencies with general purpose business productivity tools, a shared enviro-

onment for common data sources, and the underlying IT services to support it.

Object Classification (in millions of dollars)

Identification code 16-0162-0-1-505	2012 actual	2013 CR	2014 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges		3	3
25.1 Advisory and assistance services	11	4	4
25.3 Other goods and services from Federal sources	3	3	3
25.7 Operation and maintenance of equipment	6	9	10
31.0 Equipment		1	1
99.9 Total new obligations	20	20	21

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 16-4601-0-4-505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Financial and administrative services (includes Core Financial)	156	157	163
0802 Field services	43	44	44
0804 Human resources services	22	21	21
0805 Telecommunications	16	17	17
0806 Non-DOL Reimbursables	2	2	2
0900 Total new obligations	239	241	247
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	17	11
1012 Unobligated balance transfers between expired and unexpired accounts	3	3	3
1021 Recoveries of prior year unpaid obligations	6	3	3
1050 Unobligated balance (total)	22	23	17
Budget authority:			
1700 Spending authority from offsetting collections, discretionary: Collected	234	229	235
1750 Spending auth from offsetting collections, disc (total)	234	229	235
1900 Budget authority (total)	234	229	235
1930 Total budgetary resources available	256	252	252
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	11	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	87	98	102
3010 Obligations incurred, unexpired accounts	239	241	247
3020 Outlays (gross)	-222	-234	-253
3040 Recoveries of prior year unpaid obligations, unexpired	-6	-3	-3
3050 Unpaid obligations, end of year	98	102	93
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	85	96	100
3200 Obligated balance, end of year	96	100	91
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	234	229	235
Outlays, gross:			
4010 Outlays from new discretionary authority	173	213	218
4011 Outlays from discretionary balances	49	21	35
4020 Outlays, gross (total)	222	234	253
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-234	-229	-235
4190 Outlays, net (total)	-12	5	18

Financial and Administrative Services.—Provides a program of centralized services at both the national and regional levels supporting financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost deter-

mination activities, maintenance of departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space and telecommunications, property and supplies, printing and reproduction and energy management. In addition, support is provided for the operation and maintenance of the New Core Financial Management System.

Field Services.—Provides a full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are primarily in the personnel, financial, information technology and general administrative areas.

Human Resources Services.—Provides leadership, guidance, and technical expertise in all areas related to the management of the Department's human resources, including recruitment, development, and retention of staff, and leadership in labor-management cooperation. This activity's focus is on a strategic planning process that will result in sustained leadership and assistance to DOL agencies in recruiting, developing and retaining a high quality, diverse workforce that effectively meets the changing mission requirements and program priorities of the Department.

Telecommunications.—Provides for departmental telecommunications payments to the General Services Administration.

Non-DOL Reimbursements.—Provides for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services and support for regional consolidated administrative support unit activities. The income received from non-DOL agencies and organizations funds in full the costs of all services provided. This income is credited to and merged with other income received by the Working Capital Fund.

Financing.—The Working Capital Fund is funded by the agencies and organizations for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave.

Object Classification (in millions of dollars)

Identification code 16-4601-0-4-505	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	68	71	71
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	70	73	73
12.1 Civilian personnel benefits	30	30	30
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	8	8	8
23.3 Communications, utilities, and miscellaneous charges	26	22	25
25.1 Advisory and assistance services	3	2	2
25.2 Other services from non-Federal sources	32	33	33
25.3 Other goods and services from Federal sources	12	12	15
25.4 Operation and maintenance of facilities	26	26	26
25.7 Operation and maintenance of equipment	26	28	28
26.0 Supplies and materials	1	2	2
31.0 Equipment	3	3	3
99.9 Total new obligations	239	241	247

WORKING CAPITAL FUND—Continued
Employment Summary

Identification code 16-4601-0-4-505	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	730	730	730

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
16-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	1	1	1
16-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	22	28	27
General Fund Offsetting receipts from the public	23	29	28

GENERAL PROVISIONS

SEC. 101. None of the funds appropriated by this Act for the Job Corps shall be used to pay the salary and bonuses of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of Executive Level II.

(TRANSFER OF FUNDS)

SEC. 102. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 103. In accordance with Executive Order No. 13126, none of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended for the procurement of goods mined, produced, manufactured, or harvested or services rendered, in whole or in part, by forced or indentured child labor in industries and host countries already identified by the United States Department of Labor prior to enactment of this Act.

SEC. 104. None of the funds made available to the Department of Labor for grants under section 414(c) of the American Competitiveness and Workforce Improvement Act of 1998 may be used for any purpose other than competitive grants for training in the occupations and industries for which employers are using H-1B visas to hire foreign workers, and the related activities necessary to support such training.

SEC. 105. None of the funds made available by this Act under the heading "Employment and Training Administration" shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II. This limitation shall not apply to vendors providing goods and services as defined in Office of Management and Budget Circular A-133. Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the State, the compensation levels for comparable State or local government employees, and the size of the organizations that administer Federal programs involved including Employment and Training Administration programs. Notwithstanding this section, the limitation on salaries for the Job Corps shall continue to be governed by section 101.

SEC. 106. Notwithstanding section 102, the Secretary may transfer funds made available to the Employment and Training Administration by this Act, either directly or through a set-aside, for technical assistance services to grantees to "Program Administration" when it is determined that those services will be more efficiently performed by Federal employees.

SEC. 107. (a) The Secretary may reserve not more than 1 percent from each appropriation made available in this Act identified in subsection (b) in order to carry out evaluations of any of the programs or activities that are funded under such accounts. Any funds reserved under this section shall be transferred to "Departmental Management" for use by the Office of the Chief Evaluation Officer within the Department of Labor, and shall be available for obligation through September 30, 2015: Provided, That such funds shall only be available if the Chief Evaluation Officer of the Department of Labor submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the evaluations to be carried out 15 days in advance of any transfer.

(b) The accounts referred to in subsection (a) are: "Training and Employment Services", "Office of Job Corps", "State Unemployment Insurance and Employment Service Operations", "Employee Benefits Security Administration", "Office of Workers' Compensation Programs", "Wage and Hour Division", "Office of Federal Contract Compliance Programs", "Office of Labor Management Standards", "Occupational Safety and Health Administration", "Mine Safety and Health Administration", funding made available to the "Bureau of International Affairs" and "Women's Bureau" within the "Departmental Management Salaries and Expenses" account, and "Veterans Employment and Training".

SEC. 108. (a) Of the funds appropriated under section 272(b) of the Trade Act of 1974 for fiscal year 2014, the Secretary of Labor may reserve no more than 3 percent of such funds to conduct evaluations and provide technical assistance relating to the activities carried out under section 271 of such Act, including activities carried out under such section supported by the appropriations provided for fiscal years 2011 through 2013.

(b) Institutions of higher education awarded grants under section 271 of the Trade Act of 1974 may award subgrants to other institutions of higher education that meet the definition of "eligible institution" under section 271(b)(1)(A) of such Act, subject to the conditions applicable to such grants.

SEC. 109. (a) Section 5315 of title 5, United States Code, is amended after the item relating to the Assistant Secretaries of Labor by inserting "Administrator, Wage and Hour Division, Department of Labor." (b) Section 5316, title 5, United States Code, is amended by striking "Administrator, Wage and Hour and Public Contracts Division, Department of Labor."

TITLE V—GENERAL PROVISIONS

(TRANSFER OF FUNDS)

SEC. 501. The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act. Such transferred balances shall be used for the same purpose, and for the same periods of time, for which they were originally appropriated.

SEC. 502. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 503.

(a) No part of any appropriation contained in this Act or transferred to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature or legislative body, except in presentation to the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government, except in presentation to the executive branch of any State or local government itself.

(b) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, or appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any State government, State legislature or local legislature or legislative body,

other than for normal and recognized executive-legislative and State-local relationships, for presentation to any State or local legislature or legislative body itself, or for participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

SEC. 504. The Secretaries of Labor and Education are authorized to make available not to exceed \$28,000 and \$20,000, respectively, from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$5,000 from the funds available for "Federal Mediation and Conciliation Service, Salaries and Expenses"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$5,000 from funds available for "National Mediation Board, Salaries and Expenses".

SEC. 505. None of the funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 506. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state—

- (1) the percentage of the total costs of the program or project which will be financed with Federal money;
- (2) the dollar amount of Federal funds for the project or program; and
- (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

SEC. 507. (a) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for any abortion.

(b) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for health benefits coverage that includes coverage of abortion.

(c) The term "health benefits coverage" means the package of services covered by a managed care provider or organization pursuant to a contract or other arrangement.

SEC. 508. (a) The limitations established in the preceding section shall not apply to an abortion—

- (1) if the pregnancy is the result of an act of rape or incest; or
- (2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

(b) Nothing in the preceding section shall be construed as prohibiting the expenditure by a State, locality, entity, or private person of State, local, or private funds (other than a State's or locality's contribution of Medicaid matching funds).

(c) Nothing in the preceding section shall be construed as restricting the ability of any managed care provider from offering abortion coverage or the ability of a State or locality to contract separately with such a provider for such coverage with State funds (other than a State's or locality's contribution of Medicaid matching funds).

(d)(1) None of the funds made available in this Act may be made available to a Federal agency or program, or to a State or local government, if such agency, program, or government subjects any institutional or individual health care entity to discrimination on the basis that the health care entity does not provide, pay for, provide coverage of, or refer for abortions.

(2) In this subsection, the term "health care entity" includes an individual physician or other health care professional, a hospital, a provider-sponsored organization, a health maintenance organization, a health insurance plan, or any other kind of health care facility, organization, or plan.

SEC. 509. (a) None of the funds made available in this Act may be used for—

(1) the creation of a human embryo or embryos for research purposes; or

(2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.204(b) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term "human embryo or embryos" includes any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

SEC. 510. (a) None of the funds made available in this Act may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established under section 202 of the Controlled Substances Act except for normal and recognized executive-congressional communications.

(b) The limitation in subsection (a) shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. 511. None of the funds made available in this Act may be used to promulgate or adopt any final standard under section 1173(b) of the Social Security Act providing for, or providing for the assignment of, a unique health identifier for an individual (except in an individual's capacity as an employer or a health care provider), until legislation is enacted specifically approving the standard.

SEC. 512. None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity if—

- (1) such entity is otherwise a contractor with the United States and is subject to the requirement in 38 U.S.C. 4212(d) regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and
- (2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

SEC. 513. None of the funds made available by this Act to carry out the Library Services and Technology Act may be made available to any library covered by paragraph (1) of section 224(f) of such Act, as amended by the Children's Internet Protection Act, unless such library has made the certifications required by paragraph (4) of such section.

SEC. 514. None of the funds made available by this Act to carry out part D of title II of the Elementary and Secondary Education Act of 1965 may be made available to any elementary or secondary school covered by paragraph (1) of section 2441(a) of such Act, as amended by the Children's Internet Protection Act and the No Child Left Behind Act, unless the local educational agency with responsibility for such covered school has made the certifications required by paragraph (2) of such section.

(INCLUDING TRANSFERS OF FUNDS AND CANCELLATION)

SEC. 515. None of the funds appropriated in this Act shall be expended or obligated by the Commissioner of Social Security, for purposes of administering Social Security benefit payments under title II of the Social Security Act, to process any claim for credit for a quarter of coverage based on work performed under a social security account number that is not the claimant's number and the performance of such work under such number has formed the basis for a conviction of the claimant of a violation of section 208(a)(6) or (7) of the Social Security Act.

SEC. 516. TRANSFER OF OLDER AMERICAN COMMUNITY SERVICE EMPLOYMENT PROGRAM TO DEPARTMENT OF HEALTH AND HUMAN SERVICES.

(a) IN GENERAL.—Notwithstanding any other provision of law, the Older American Community Service Employment (OACSE) program under title V of the Older Americans Act of 1965 (42 U.S.C. 3056), and the authority to administer such program, shall be permanently transferred from the Secretary of Labor to the Secretary of Health and Human Services, acting through the Assistant Secretary for Aging.

(b) TRANSFER OF FUNCTIONS, ASSETS, AND LIABILITIES.—The functions, assets, and liabilities of the Secretary of Labor relating to the OACSE program shall be transferred to the Secretary of Health and Human Services.

(c) EFFECTIVE DATE OF TRANSFER.—The transfer under this section shall be effective no later than the last day of the second full fiscal quarter following the quarter in which this section is enacted.

SEC. 517. *Of the funds made available for performance bonus payments under section 2105(a)(3)(E) of the Social Security Act, \$3,779,000,000 shall be permanently cancelled as of January 20, 2014.*

SEC. 518. WORKFORCE INNOVATION FUND.

(a) *From funds appropriated under this Act for the Workforce Innovation Fund—*

(1) *amounts shall be available to support innovative new strategies and activities, or the replication and expansion of effective evidence-based strategies and activities, that are designed to align programs and strengthen the workforce development system in a State or region, in order to substantially improve education and employment outcomes for adults and youth served by such system, cost effectiveness, and the services provided to employers under such system; and*

(2) *amounts shall be available for awards to States or State agencies that are eligible for assistance under any program authorized under the Workforce Investment Act; consortia of States; or partnerships, including regional partnerships, which may include workforce investment boards, public agencies, or other entities, pursuant to criteria established by the Secretary of Labor.*

(b) *Amounts appropriated for the Workforce Innovation Fund shall be administered by the Secretary of Labor in consultation with the Secretary of Education and other heads of departments and agencies, as appropriate.*

(c) *Funds obligated for Workforce Innovation Fund projects may remain available until expended for disbursement, notwithstanding 31 U.S.C. 1552(a).*

(d)(1) *In the case of any innovation or replication project which, in the judgment of the Secretary of Labor and the Secretary of Education, is likely to substantially improve the education and employment outcomes for adults and youth served by such system and the services provided to employers under such system and requires waiver of statutory or regulatory requirements to achieve those improvements, the Secretary of Labor, with respect to title I of the Workforce Investment Act of 1998 and the Wagner-Peyser Act, and the Secretary of Education, with respect to title II of the Workforce Investment Act of 1998 and title I of the Rehabilitation Act of 1973—*

(A) *may waive compliance with statutory or regulatory requirements under such Acts to the extent and for the period the respective Secretary determines necessary to carry out such project;*

(B) *may not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and substate levels.*

(2) *Waivers may only be provided to projects which include—*

(A) *a plan, approved by the relevant Secretary, to effectively evaluate the impact of the strategies being tested on outcomes for program participants, including target populations identified by the Secretaries;*

(B) *a strong accountability system, including performance measures which show outcomes for program participants and demonstrate that vulnerable populations, including individuals with disabilities, are being appropriately served by the workforce system; and*

(C) *other required elements, as established by the Secretaries in regulation or grant solicitation.*

(3) *Prior to granting a waiver, the Secretaries of Education and Labor will provide at least 60 days written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.*

SEC. 519. PERFORMANCE PARTNERSHIP PILOTS

(a) **DEFINITIONS** *In this section —*

(1) *"Performance Partnership Pilot" (or "Pilot") is a project that seeks to identify, through a demonstration, cost-effective strategies for providing services at the state, regional, or local level that—*

(A) *involve two or more Federal programs (administered by one or more Federal agencies)—*

(i) *which have related policy goals, and*

(ii) *at least one of which is administered (in whole or in part) by a state, local, or tribal government; and*

(B) *achieve better results for regions, communities, or specific at risk populations through making better use of the budgetary resources that are available for supporting such programs.*

(2) *"To improve outcomes for disconnected youth" means to increase the rate at which individuals between the ages of 14 and 24 (who are homeless, in foster care, involved in the juvenile justice system, or are*

neither employed nor enrolled in an educational institution) achieve success in meeting educational, employment or other key goals.

(3) *The "lead Federal administering agency" is the Federal agency, to be designated by the Director of the Office of Management and Budget (from among the participating Federal agencies that have statutory responsibility for the Federal discretionary funds that will be used in a Performance Partnership Pilot) that will enter into and administer the particular Performance Partnership Agreement on behalf of that agency and the other participating Federal agencies.*

(b) **USE OF DISCRETIONARY FUNDS IN FISCAL YEAR 2014 APPROPRIATIONS ACT.** *Federal agencies may use Federal discretionary funds, that are made available in this act or any other appropriations act providing funds for Fiscal Year 2014 and corresponding authority to enter into Performance Partnership Pilots, to carry out up to a total of 13 Performance Partnership Pilots involving up to a total of \$130,000,000 in aggregate Federal discretionary budget authority. Such Pilots shall:*

(1) *be designed to improve outcomes for disconnected youth, and*

(2) *involve Federal programs targeted on disconnected youth, or designed to prevent youth from disconnecting from school or work, that provide education, training and employment, and other related social services; and*

(c) **PERFORMANCE PARTNERSHIP AGREEMENTS.** *Federal agencies may use Federal discretionary funds, as authorized in subsection (b), to participate in a Performance Partnership Pilot only in accordance with the terms of a Performance Partnership Agreement that—*

(1) *is entered into between—*

(A) *the head of the lead Federal administering agency, on behalf of all of the participating Federal agencies (subject to the head of the lead Federal administering agency having received from the heads of each of the other participating agencies their written concurrence for entering into the Agreement), and*

(B) *the respective representatives of all of the state, local or tribal governments that are participating in the Agreement; and*

(2) *specifies, at a minimum, the following information:*

(A) *the length of the Agreement (which shall not extend beyond September 30, 2018);*

(B) *the Federal programs and federally-funded services that are involved in the Pilot;*

(C) *the Federal discretionary funds that are being used in the Pilot (by the respective Federal account identifier, and the total amount from such account that is being used in the Pilot), and the period (or periods) of availability for obligation (by the Federal Government) of such funds;*

(D) *the non-Federal funds that are involved in the Pilot, by source (which can include private funds as well as governmental funds) and by amount;*

(E) *the state, local, or tribal programs that are involved in the Pilot;*

(F) *the populations to be served by the Pilot;*

(G) *the cost-effective Federal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;*

(H) *the cost-effective State, local or tribal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;*

(I) *the outcome (or outcomes) that the Pilot is designed to achieve;*

(J) *the appropriate, reliable, and objective outcome-measurement methodology that the Federal Government and the participating state, local, or tribal governments will use, in carrying out the Pilot, to determine whether the Pilot is achieving, and has achieved, the specified outcomes that the Pilot is designed to achieve; and*

(K) *in cases where, during the course of the Pilot, it is determined that the Pilot is not achieving the specified outcomes that it is designed to achieve,*

(i) *the consequences that will result from such deficiencies with respect to the Federal discretionary funds that are being used in the Pilot, and*

(ii) *the corrective actions that will be taken in order to increase the likelihood that the Pilot, upon completion, will have achieved such specified outcomes.*

(d) **AGENCY HEAD DETERMINATIONS.** *A Federal agency may participate in a Performance Partnership Pilot (including by providing Federal discretionary funds that have been appropriated to such agency) only upon the written determination by the head of such agency that the agency's participation in such Pilot—*

(1) will not result in denying or restricting the eligibility of any individual for any of the services that (in whole or in part) are funded by the agency's programs and Federal discretionary funds that are involved in the Pilot, and

(2) based on the best available information, will not otherwise adversely affect vulnerable populations that are the recipients of such services. In making this determination, the head of the agency may take into consideration the other Federal discretionary funds that will be used in the Pilot as well as any non-Federal funds (including from private sources as well as governmental sources) that will be used in the Pilot.

(e) **TRANSFER AUTHORITY.** For the purpose of carrying out the Pilot in accordance with the Performance Partnership Agreement, and subject to the written approval of the Director of the Office of Management and Budget, the head of each participating Federal agency may transfer Federal discretionary funds that are being used in the Pilot to an account of the lead Federal administering agency that includes Federal discretionary funds that are being used in the Pilot. Subject to the waiver authority under subsection (g), such transferred funds shall remain available for the same purposes for which such funds were originally appropriated: Provided, That such transferred funds shall remain available for obligation by the Federal Government until the expiration of those Federal discretionary funds (which are being used in the Pilot) that have the longest period of availability, except that any such transferred funds shall not remain available beyond September 30, 2018.

(f) **WAIVER AUTHORITY.** In connection with a Federal agency's participation in a Performance Partnership Pilot, and subject to the other provisions of this section (including subsection (e)), the head of the Federal agency to which the Federal discretionary funds were appropriated may waive (in whole or in part) the application, solely to such discretionary funds that are being used in the Pilot, of any statutory, regulatory, or administrative requirement that such agency head—

(1) is otherwise authorized to waive (in accordance with the terms and conditions of such other authority), and

(2) is not otherwise authorized to waive, provided that in such case the agency head, prior to granting the waiver, shall—

(A) not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and sub-state levels;

(B) issue a written determination with respect to such discretionary funds that the granting of such waiver for purposes of the Pilot—

(i) is consistent with both—

(I) the statutory purposes of the Federal program for which such discretionary funds were appropriated, and

(II) the other provisions of this section, including the written determination by the agency head issued under subsection (e);

(ii) is necessary to achieve the outcomes of the Pilot as specified in the Performance Partnership Agreement, and is no broader in scope than is necessary to achieve such outcomes; and

(iii) will result in either—

(I) realizing efficiencies by simplifying reporting burdens or reducing administrative barriers with respect to such discretionary funds, or

(II) increasing the ability of individuals to obtain access to services that are provided by such discretionary funds; and

(C) provide at least 60 days advance written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.

SEC. 520. (a) IN GENERAL. The Health Education Assistance Loan (HEAL) program under title VII, part A, subpart I of the Public Health Service Act (42 U.S.C. 292–292p), and the authority to administer such program, including servicing, collecting, and enforcing any loans that were made under such program that remain outstanding, shall be permanently transferred from the Secretary of Health and Human Services to the Secretary of Education no later than the end of the first fiscal quarter that begins after the date of enactment of this act.

(b) **TRANSFER OF FUNCTIONS, ASSETS, AND LIABILITIES.** The functions, assets, and liabilities of the Secretary of Health and Human Services relating to such program shall be transferred to the Secretary of Education.

(c) **INTERDEPARTMENTAL COORDINATION OF TRANSFER.** The Secretary of Health and Human Services and the Secretary of Education shall carry out the transfer of the HEAL program described in subsection (a), including the transfer of the functions, assets, and liabilities specified in subsection (b), in the manner that they determine is most appropriate.

(d) **USE OF AUTHORITIES UNDER HIGHER EDUCATION ACT OF 1965.** In servicing, collecting, and enforcing the loans described in subsection (a), the Secretary of Education shall have available any and all authorities available to such Secretary in servicing, collecting, or enforcing a loan made, insured, or guaranteed under part B of title IV of the Higher Education Act of 1965.

(e) **CONFORMING AMENDMENTS.** Effective as of the date on which the transfer of the HEAL program under subsection (a) takes effect, section 719 of the Public Health Service Act (42 U.S.C. 292o) is amended by adding at the end the following new paragraph: "(6) The term 'Secretary' means the Secretary of Education."

