AUTHENTICATED
U.S. GOVERNMENT
INFORMATION
GPO

OTHER INDEPENDENT AGENCIES

ACCESS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Access Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, $7,400,000: *Provided*, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses. *(Department of Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–3200–0–1–751		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Salaries and Expenses	7	7	7
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	7	7	7
1160	Appropriation, discretionary (total)	7	7	7
1930	Total budgetary resources available	7	7	7
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	1
3030	Obligations incurred, unexpired accounts	7	7	7
3040	Outlays (gross)	–7	–7	–7
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	1	1
3100	Obligated balance, end of year (net)	1	1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	7	7	7
	Outlays, gross:			
4010	Outlays from new discretionary authority	6	6	6
4011	Outlays from discretionary balances	1	1	1
4020	Outlays, gross (total)	7	7	7
4180	Budget authority, net (total)	7	7	7
4190	Outlays, net (total)	7	7	7

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973. The Access Board is responsible for developing guidelines under the Americans with Disabilities Act, the Architectural Barriers Act, and the Telecommunications Act. These guidelines ensure that buildings and facilities, transportation vehicles, and telecommunications equipment covered by these laws are readily accessible to and usable by people with disabilities. The Board is also responsible for developing standards under section 508 of the Rehabilitation Act for accessible electronic and information technology used by Federal agencies and standards under section 510 of the Rehabilitation Act for accessible medical diagnostic equipment. In addition, the Access Board enforces the Architectural Barriers Act, and provides training and technical assistance on the guidelines and standards it develops.

The Board also has additional responsibilities under the Help America Vote Act. The Board serves on the Board of Advisors and the Technical Guidelines Development Committee, which helps the Election Assistance Commission develop voluntary guidelines and guidance for voting systems, including accessibility for people with disabilities.

Object Classification (in millions of dollars)

Identification code 95–3200–0–1–751		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	3	4	4
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	1	1	1
25.1	Advisory and assistance services	1	.....	.....
25.3	Other goods and services from Federal sources	1	1	1
99.9	Total new obligations	7	7	7

Employment Summary

Identification code 95–3200–0–1–751		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	27	30	30

ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Administrative Conference of the United States, authorized by 5 U.S.C. 591 et seq., [$2,900,000] *$3,200,000*, to remain available until September 30, [2013] *2014*, of which not to exceed $1,000 is for official reception and representation expenses. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–1700–0–1–751		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	3	3	3
0900	Total new obligations (object class 99.5)	3	3	3
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	3	3	3
1160	Appropriation, discretionary (total)	3	3	3
1930	Total budgetary resources available	4	4	4
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	3	3	3
3040	Outlays (gross)	–3	–3	–3
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	3	3	3
	Outlays, gross:			
4010	Outlays from new discretionary authority	2	2	2
4011	Outlays from discretionary balances	1	1	1
4020	Outlays, gross (total)	3	3	3
4180	Budget authority, net (total)	3	3	3
4190	Outlays, net (total)	3	3	3

The Administrative Conference of the United States (ACUS) is an independent agency that assists the President, the Congress, Federal departments, and agencies in improving the regulatory and legal process. The Conference analyzes the administrative law process and discusses regulatory and administrative law matters pertinent to the operation of the Federal Government. The Conference shares best practices and issues formal recom-

SALARIES AND EXPENSES—Continued

mendations for improvements and then assists agencies with their implementation. The Conference is a public-private partnership that brings together senior federal officials with private sector leaders from law, business, and academia.

ADVISORY COUNCIL ON HISTORIC PRESERVATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89–665, as amended), [$6,108,000] *$7,023,000, of which $1,300,000, to remain available until expended, shall be for expenses related to the relocation from the Old Post Office Building. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2300–0–1–303	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	6	6	6
0801 Reimbursable program	1	2	1
0900 Total new obligations	7	8	7
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	6
1160 Appropriation, discretionary (total)	6	6	6
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	1	1
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	3	1	1
1900 Budget authority (total)	9	7	7
1930 Total budgetary resources available	9	8	7
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
1941 Unexpired unobligated balance, end of year	1		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1		1
3010 Uncollected pymts, Fed sources, brought forward, Oct 1		–1	–1
3020 Obligated balance, start of year (net)	1	–1	
3030 Obligations incurred, unexpired accounts	7	8	7
3040 Outlays (gross)	–8	–7	–7
3050 Change in uncollected pymts, Fed sources, unexpired	–1		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)		1	1
3091 Uncollected pymts, Fed sources, end of year	–1	–1	–1
3100 Obligated balance, end of year (net)	–1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	7	7
Outlays, gross:			
4010 Outlays from new discretionary authority	7	7	7
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	8	7	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–2	–1	–1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–1		
4070 Budget authority, net (discretionary)	6	6	6
4080 Outlays, net (discretionary)	6	6	6
4180 Budget authority, net (total)	6	6	6
4190 Outlays, net (total)	6	6	6

The Council advises the President and the Congress on national historic preservation policy and promotes the preservation, enhancement, and productive use of our Nation's historic resources.

Object Classification (in millions of dollars)

Identification code 95–2300–0–1–303	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
25.2 Other services from non-Federal sources	1	1	1
99.0 Direct obligations	5	5	5
99.0 Reimbursable obligations	1	2	1
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	7	8	7

Employment Summary

Identification code 95–2300–0–1–303	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	34	34	33
2001 Reimbursable civilian full-time equivalent employment	8	8	5

AFFORDABLE HOUSING PROGRAM

Federal Funds

AFFORDABLE HOUSING PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5528–0–2–604	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0200 Contributions, Federal Home Loan Banks, Affordable Housing Program	198	198	198
0400 Total: Balances and collections	198	198	198
Appropriations:			
0500 Affordable Housing Program	–198	–198	–198
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–5528–0–2–604	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	198	198	198
0900 Total new obligations (object class 41.0)	198	198	198
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	198	198	198
1260 Appropriations, mandatory (total)	198	198	198
1930 Total budgetary resources available	198	198	198
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	198	198	198
3040 Outlays (gross)	–198	–198	–198
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	198	198	198
Outlays, gross:			
4100 Outlays from new mandatory authority	198	198	198
4180 Budget authority, net (total)	198	198	198
4190 Outlays, net (total)	198	198	198

The Affordable Housing Program was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). FIRREA requires each of the twelve Federal Home Loan Banks to contribute 10-percent of its previous year's net earnings to an Affordable Housing Program (AHP) to be used to

subsidize the cost of affordable homeownership and rental housing. The Federal Housing Finance Agency (FHFA) regulates the AHP and ensures that the AHP fulfills its mission.

APPALACHIAN REGIONAL COMMISSION

Federal Funds

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended, *notwithstanding 40 U.S.C. 14704, and* for necessary expenses for the Federal Co-Chairman and the Alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, [$68,263,000] *$64,850,000*, to remain available until expended. *(Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 46–0200–0–1–452		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0101	Appalachian development highway system	1	1	1
0102	Area development and technical assistance program	68	68	65
0103	Local development districts program	7	7	7
0191	Total Appalachian regional development programs	76	76	73
0201	Federal co-chairman and staff	1	1	1
0202	Administrative expenses	5	5	5
0291	Total salaries and expenses	6	6	6
0900	Total new obligations	82	82	79
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	26	19	11
1021	Recoveries of prior year unpaid obligations	6	6	6
1050	Unobligated balance (total)	32	25	17
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	68	68	65
1160	Appropriation, discretionary (total)	68	68	65
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1	.....	.....
1750	Spending auth from offsetting collections, disc (total)	1	.....	.....
1900	Budget authority (total)	69	68	65
1930	Total budgetary resources available	101	93	82
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	19	11	3
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	141	148	171
3030	Obligations incurred, unexpired accounts	82	82	79
3040	Outlays (gross)	–69	–53	–71
3080	Recoveries of prior year unpaid obligations, unexpired	–6	–6	–6
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	148	171	173
3100	Obligated balance, end of year (net)	148	171	173
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	69	68	65
	Outlays, gross:			
4010	Outlays from new discretionary authority	26	23	22
4011	Outlays from discretionary balances	43	30	49
4020	Outlays, gross (total)	69	53	71
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–1	.....	.....
4180	Budget authority, net (total)	68	68	65
4190	Outlays, net (total)	68	53	71

The Appalachian Regional Commission (ARC) was established as a Federal-State partnership in 1965 to invest in sustainable economic development in the 420 county Appalachian Region. The Commission is comprised of 13 members representing the States in the Region and a Federal Co-Chairman, who represents the Federal Government. It is the mission of the ARC to help the Appalachian Region reach parity with the Nation by planning and coordinating regional investments and targeting resources to those communities with the greatest needs. ARC investments go toward area development and technical assistance goals, such as increasing job opportunities, improving employability, strengthening basic infrastructure and building the Appalachian Development Highway System. ARC also assists communities through support of 73 multi-county Local Development Districts (LDDs) that assist local governments in implementing economic development strategies. In 2013, ARC will devote $10 million to work with partner agencies on the Administration's Appalachian Regional Development Inititative Memorandum of Understanding to promote diversified and sustainable economic growth and employment in the Region.

Salaries and expenses.—In this Federal-State partnership, the Federal Government contributes half of the expenses of a professional staff that works with the States and the Federal staff in operating the program. The other half of these non-Federal employee expenses are provided by member States.

Performance.—A detailed presentation of performance outcomes, measures, and targets can be found in the ARC 2013 Budget submission.

Object Classification (in millions of dollars)

Identification code 46–0200–0–1–452		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
25.2	Other services from non-Federal sources	5	4	4
41.0	Grants, subsidies, and contributions	56	50	50
99.0	Direct obligations	62	55	55
99.0	Reimbursable obligations	1	1	1
41.0	Allocation Account - direct: Grants, subsidies, and contributions	19	26	23
99.9	Total new obligations	82	82	79

Employment Summary

Identification code 46–0200–0–1–452		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	8	8	8

Trust Funds

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 46–9971–0–7–452		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	1	.....	.....
	Adjustments:			
0190	Adjustment - rounding	–1	.....	.....
0199	Balance, start of year	.....	.....	.....
	Receipts:			
0220	Fees for Services, Appalachian Regional Commission	3	4	5
0240	General Fund Contributions, Appalachian Regional Commission	4	4	4
0299	Total receipts and collections	7	8	9
0400	Total: Balances and collections	7	8	9
	Appropriations:			
0500	Miscellaneous Trust Funds	–7	–8	–8

MISCELLANEOUS TRUST FUNDS—Continued

Special and Trust Fund Receipts—Continued

Identification code 46–9971–0–7–452	2011 actual	2012 est.	2013 est.
0799 Balance, end of year			1

Program and Financing (in millions of dollars)

Identification code 46–9971–0–7–452	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	8	8	9
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7	8	8
1260 Appropriations, mandatory (total)	7	8	8
1930 Total budgetary resources available	9	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1	1	1
3030 Obligations incurred, unexpired accounts	8	8	9
3040 Outlays (gross)	–8	–8	–9
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1	1	1
3100 Obligated balance, end of year (net)	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	7	8	8
Outlays, gross:			
4100 Outlays from new mandatory authority	7	8	8
4101 Outlays from mandatory balances	1		1
4110 Outlays, gross (total)	8	8	9
4180 Budget authority, net (total)	7	8	8
4190 Outlays, net (total)	8	8	9

Under the Appalachian Regional Development Act, administrative activities of the Commission are funded equally by Federal funds and State funds. Those funds are deposited into and paid out of a trust fund at the Treasury Department.

Object Classification (in millions of dollars)

Identification code 46–9971–0–7–452	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	4	4	4
23.2 Rental payments to others	1	1	1
25.2 Other services from non-Federal sources	3	3	4
99.9 Total new obligations	8	8	9

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Trust Funds

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8281–0–7–502	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	39	40	40
Adjustments:			
0190 Adjustment - rounding	1		
0199 Balance, start of year	40	40	40
Receipts:			
0240 Interest on Investments, Barry Goldwater Scholarship and Excellence in Education Foundation	3	4	4
0400 Total: Balances and collections	43	44	44
Appropriations:			
0500 Barry Goldwater Scholarship and Excellence in Education Foundation	–3	–4	–5
0799 Balance, end of year	40	40	39

Program and Financing (in millions of dollars)

Identification code 95–8281–0–7–502	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	3	4	4
0900 Total new obligations (object class 41.0)	3	4	4
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	27	27	27
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3	4	5
1260 Appropriations, mandatory (total)	3	4	5
1930 Total budgetary resources available	30	31	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	27	28
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	3	4	4
3040 Outlays (gross)	–3	–4	–4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	4	5
Outlays, gross:			
4100 Outlays from new mandatory authority	3	4	4
4180 Budget authority, net (total)	3	4	5
4190 Outlays, net (total)	3	4	4
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	52	65	66
5001 Total investments, EOY: Federal securities: Par value	65	66	66

Public Law 99–661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, the natural sciences and engineering. The Foundation awards approximately 300 scholarships each year.

Employment Summary

Identification code 95–8281–0–7–502	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	2	3	3

BROADCASTING BOARD OF GOVERNORS

Federal Funds

INTERNATIONAL BROADCASTING OPERATIONS

For necessary expenses to enable the Broadcasting Board of Governors (BBG), as authorized, to carry out international communication activities, and to make and supervise grants for radio and television broadcasting to the Middle East, [$740,100,000] *$711,558,000*: *Provided*, That funds appropriated under this heading shall be made available to expand unrestricted access to information on the Internet through the development and use of circumvention and secure communication technologies: *Provided further*, That the circumvention technologies and programs supported by such funds shall undergo a review, to include an assessment

of protections against such technologies being used for illicit purposes: *Provided further*, That the BBG shall coordinate the development and use of such technologies with the Secretary of State, as appropriate: *Provided further*, That, of the total amount appropriated under this heading, not to exceed $16,000 may be used for official receptions within the United States as authorized, not to exceed $35,000 may be used for representation abroad as authorized, and not to exceed $39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty: *Provided further*, That the authority provided by section 504(c) of the Foreign Relations Authorization Act, Fiscal Year 2003 (Public Law 107–228; 22 U.S.C. 6206 note) shall remain in effect through September 30, [2012] *2013*: *Provided further*, That the BBG shall notify the Committees on Appropriations within 15 days of any determination by the Board that any of its broadcast entities, including its grantee organizations, provides an open platform for international terrorists or those who support international terrorism, or is in violation of the principles and standards set forth in the United States International Broadcasting Act of 1994 (22 U.S.C. 6202(a) and (b)) or the entity's journalistic code of ethics: *Provided further*, That significant modifications to BBG broadcast hours previously justified to Congress, including changes to transmission platforms (shortwave, medium wave, satellite, Internet, and television), for all BBG language services shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That, in addition to funds made available under this heading, and notwithstanding any other provision of law, up to $2,000,000 in receipts from advertising and revenue from business ventures, up to $500,000 in receipts from cooperating international organizations, and up to $1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau[, to] *shall* remain available until expended for carrying out authorized purposes. *(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–0206–0–1–154	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Broadcasting Board of Governors	743	753	712
0100 Subtotal, direct obligations	743	753	712
0801 Reimbursable program		3	
0900 Total new obligations	743	756	712
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	12	3
1011 Unobligated balance transfer from other accts [95–1147]	2		
1050 Unobligated balance (total)	9	12	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	742	740	712
1100 Appropriation - OCO		4	
1130 Appropriations permanently reduced	–1		
1160 Appropriation, discretionary (total)	741	744	712
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	6	3	
1900 Budget authority (total)	747	747	712
1930 Total budgetary resources available	756	759	715
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
1941 Unexpired unobligated balance, end of year	12	3	3
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	122	114	124
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–6	–8	–8
3020 Obligated balance, start of year (net)	116	106	116
3030 Obligations incurred, unexpired accounts	743	756	712
3031 Obligations incurred, expired accounts	2		
3040 Outlays (gross)	–745	–746	–717
3050 Change in uncollected pymts, Fed sources, unexpired	–3		
3051 Change in uncollected pymts, Fed sources, expired	1		
3081 Recoveries of prior year unpaid obligations, expired	–8		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	114	124	119
3091 Uncollected pymts, Fed sources, end of year	–8	–8	–8
3100 Obligated balance, end of year (net)	106	116	111
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	747	747	712
Outlays, gross:			
4010 Outlays from new discretionary authority	646	628	598
4011 Outlays from discretionary balances	99	118	119
4020 Outlays, gross (total)	745	746	717
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–6	–3	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–3		
4052 Offsetting collections credited to expired accounts	3		
4070 Budget authority, net (discretionary)	741	744	712
4080 Outlays, net (discretionary)	739	743	717
4180 Budget authority, net (total)	741	744	712
4190 Outlays, net (total)	739	743	717

This appropriation provides operational funding for U.S. nonmilitary, international broadcasting programs, including the Voice of America, Office of Cuba Broadcasting , Radio Free Europe/Radio Liberty, Radio Free Asia, and the Middle East Broadcasting Networks and the necessary engineering and technical, program, and administrative support activities.

In 2013, funding is included to continue the BBG broadcast operations, digital and new media efforts, and to establish a BBG global news-sharing network.

Object Classification (in millions of dollars)

Identification code 95–0206–0–1–154	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	169	173	163
11.3 Other than full-time permanent	6	6	5
11.5 Other personnel compensation	11	11	11
11.8 Special personal services payments	3	3	3
11.9 Total personnel compensation	189	193	182
12.1 Civilian personnel benefits	53	54	51
13.0 Benefits for former personnel	1		
21.0 Travel and transportation of persons	4	4	3
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	30	30	31
23.2 Rental payments to others	4	4	4
23.3 Communications, utilities, and miscellaneous charges	79	83	75
25.1 Advisory and assistance services	4	4	4
25.2 Other services from non-Federal sources	81	88	80
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
25.5 Research and development contracts	11	11	10
25.7 Operation and maintenance of equipment	12	12	12
26.0 Supplies and materials	10	10	9
31.0 Equipment	11	12	11
41.0 Grants, subsidies, and contributions	246	245	237
42.0 Insurance claims and indemnities	1		
99.0 Direct obligations	739	753	712
99.0 Reimbursable obligations	4	3	
99.9 Total new obligations	743	756	712

Employment Summary

Identification code 95–0206–0–1–154	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	1,926	2,022	1,890

Broadcasting Capital Improvements

For the purchase, rent, construction, and improvement of facilities for radio and television transmission and reception, and purchase and in-

BROADCASTING CAPITAL IMPROVEMENTS—Continued

stallation of necessary equipment for radio and television transmission and reception, including to Cuba, as authorized, [$7,030,000] *$8,591,000*, to remain available until expended, as authorized. *(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–0204–0–1–154	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0002 Upgrade of existing relay station capabilities	3	3	2
0003 Maintenance, improvements, replacements and repairs	5	7	5
0005 Satellite and terrestrial feed systems	5	1	1
0192 Total direct obligations	13	11	8
0799 Total direct obligations	13	11	8
0801 Maintenance, Improvements, replacement and Repairs		1	
0802 Upgrade of existing relay station capabilities		5	
0899 Total reimbursable obligations		6	
0900 Total new obligations	13	17	8
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	6	2
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	12	6	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7	7	8
1160 Appropriation, discretionary (total)	7	7	8
Spending authority from offsetting collections, discretionary:			
1700 Collected		6	
1750 Spending auth from offsetting collections, disc (total)		6	
1900 Budget authority (total)	7	13	8
1930 Total budgetary resources available	19	19	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	2	2
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	16	13	18
3030 Obligations incurred, unexpired accounts	13	17	8
3040 Outlays (gross)	–14	–12	–9
3080 Recoveries of prior year unpaid obligations, unexpired	–2		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	13	18	17
3100 Obligated balance, end of year (net)	13	18	17
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	13	8
Outlays, gross:			
4010 Outlays from new discretionary authority	4	4	2
4011 Outlays from discretionary balances	10	8	7
4020 Outlays, gross (total)	14	12	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources		–6	
4180 Budget authority, net (total)	7	7	8
4190 Outlays, net (total)	14	6	9

This account provides funding for maintenance and improvement of the Broadcasting Board of Governors' worldwide transmission network. This activity funds the upgrade of transmission facilities and equipment to improve transmission quality and includes digital media management, the conversion of program production and operations to a digital domain, broadcast disaster recovery, and infrastructure projects. Further activities include, the continuing repairs and improvements required to maintain the global transmission and communications network, assessing and maintaining building and physical security requirements, the construction and maintenance of the Satellite Interconnect System (SIS), Television Receive Only (TVRO) earth stations, and upgrading global satellite distribution and operations.

Object Classification (in millions of dollars)

Identification code 95–0204–0–1–154	2011 actual	2012 est.	2013 est.
Direct obligations:			
23.2 Rental payments to others	2		
25.2 Other services from non-Federal sources	8	5	4
25.4 Operation and maintenance of facilities	1	2	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	3	2
99.0 Direct obligations	13	11	8
99.0 Reimbursable obligations		6	
99.9 Total new obligations	13	17	8

BROADCASTING TO CUBA

Program and Financing (in millions of dollars)

Identification code 95–0208–0–1–154	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1		
3080 Recoveries of prior year unpaid obligations, unexpired	–1		

This account provides funding for Radio Marti and TV Marti to provide news and information to the people of Cuba. Funding for Radio Marti and TV Marti has been included in the International Broadcasting Operations account since 2004. The 2013 request for Radio Marti and TV Marti is also included in the International Broadcasting Operations account.

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 95–1147–0–1–154	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [95–0206]	–2		
1012 Unobligated balance transfers between expired and unexpired accounts	2		

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the budget. As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 95–8285–0–7–602	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	1		
0900 Total new obligations (object class 13.0)	1		

	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	6	5	5
1930	Total budgetary resources available	6	5	5
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	5	5
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	1		
3040	Outlays (gross)	–1		
	Budget authority and outlays, net:			
	Discretionary:			
	Outlays, gross:			
4011	Outlays from discretionary balances	1		
4190	Outlays, net (total)	1		

This fund is maintained to pay separation costs for Foreign Service National employees of the Broadcasting Board of Governors in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102–138, and amended by Division G of P.L. 105–277, the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government contributions which are appropriated in the International Broadcasting Operations account.

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:			
95–322068 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	–2		
General Fund Offsetting receipts from the public	–2		

BUREAU OF CONSUMER FINANCIAL PROTECTION

Federal Funds

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5577–0–2–376	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0200 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund	162	340	448
0400 Total: Balances and collections	162	340	448
Appropriations:			
0500 Bureau of Consumer Financial Protection Fund	–162	–340	–448
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–5577–0–2–376	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Consumer Financial Protection Bureau	123	356	448
0100 Direct program activities, subtotal	123	356	448
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	48	32
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	162	340	448
1260 Appropriations, mandatory (total)	162	340	448
1930 Total budgetary resources available	171	388	480
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	48	32	32
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	9	51	116
3030 Obligations incurred, unexpired accounts	123	356	448
3040 Outlays (gross)	–81	–291	–394
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	51	116	170
3100 Obligated balance, end of year (net)	51	116	170
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	162	340	448
Outlays, gross:			
4100 Outlays from new mandatory authority	63	255	336
4101 Outlays from mandatory balances	18	36	58
4110 Outlays, gross (total)	81	291	394
4180 Budget authority, net (total)	162	340	448
4190 Outlays, net (total)	81	291	394
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value		80	97
5001 Total investments, EOY: Federal securities: Par value	80	97	86

The Consumer Financial Protection Bureau (CFPB) was established under Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203). To create a single point of accountability in the Federal government for consumer financial protection, the Act consolidated authorities previously shared by seven Federal agencies under Federal consumer financial laws into the CFPB and provided the CFPB with additional authorities. Among the Bureau's tools are authorities to:

—Conduct rulemaking, supervision, and enforcement with respect to Federal consumer financial laws;

—Handle consumer complaints and inquiries about financial products;

—Promote financial education, literacy, and access;

—Research consumer behavior; and,

—Monitor financial markets for new risks to consumers.

The CFPB receives a mandatory transfer of funding from the Federal Reserve System in an amount determined by the Director of the CFPB to be necessary to fund Bureau operations, subject to limits established in the Act. The CFPB is also authorized to request up to $200 million in discretionary appropriations in 2010–2014 if the amount transferred by the Federal Reserve is not sufficient. Any request to secure funding through discretionary appropriations under this provision would be subject to the Congressional appropriations process. For 2012 and 2013, the amount transferred cannot exceed 11 and 12 percent, respectively, of the Federal Reserve System's 2009 total operating expenses. The CFPB is not requesting a discretionary appropriation in 2012 or 2013.

Pursuant to the Act, the CFPB is also authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. These fees are maintained in a separate account titled "Consumer Financial Civil Penalty Fund." Per the Act, such funds will be available for payments to victims of Federal consumer financial laws violations, and, if victims cannot be located or payments are not practicable, the Bureau may use such funds for consumer education and financial literacy programs. The Budget does not assume any civil penalty collections in 2012 or 2013.

The CFPB also collects filing fees from land developers under the Interstate Land Sales (ILS) Full Disclosure Act (P.L. 90–448). On July 21, 2011, administration of this program was transferred to the CFPB from the Department of Housing and Urban Development pursuant to this Act. The fees collected, which are

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND—Continued

maintained in a separate account, are available until expended to cover all or part of the costs that the Bureau incurs for ILS program operations. The anticipated fee collections for 2012 and 2013 are less than $500,000 for each year.

Object Classification (in millions of dollars)

Identification code 95–5577–0–2–376		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	22	106	163
12.1	Civilian personnel benefits	26	37	57
21.0	Travel and transportation of persons	2	21	32
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA		18	15
23.3	Communications, utilities, and miscellaneous charges		1	2
24.0	Printing and reproduction			1
25.2	Other services from non-Federal sources	69	130	124
26.0	Supplies and materials	1	5	4
31.0	Equipment	2	22	9
32.0	Land and structures		15	40
99.9	Total new obligations	123	356	448

Employment Summary

Identification code 95–5577–0–2–376		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	178	942	1,359

CENTRAL INTELLIGENCE AGENCY

Federal Funds

CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, [$513,700,000] *$514,000,000*. *(Department of Defense Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 56–3400–0–1–054		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Personnel benefits	292	514	514
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	292	514	514
1260	Appropriations, mandatory (total)	292	514	514
1930	Total budgetary resources available	292	514	514
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	292	514	514
3040	Outlays (gross)	–292	–514	–514
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	292	514	514
	Outlays, gross:			
4100	Outlays from new mandatory authority	292	514	514
4180	Budget authority, net (total)	292	514	514
4190	Outlays, net (total)	292	514	514

Independent actuarial projections show the CIARDS Fund with an unfunded liability of $6.2 billion. To ensure that the Fund remains solvent and authorized payments to beneficiaries continue, the Budget requests $514 million in 2013. This amount reflects the amortized cost of recapitalizing the CIARDS Fund over twenty years.

Object Classification (in millions of dollars)

Identification code 56–3400–0–1–054		2011 actual	2012 est.	2013 est.
	Direct obligations:			
12.1	Civilian personnel benefits	75		
13.0	Benefits for former personnel	217	514	514
99.9	Total new obligations	292	514	514

CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, as amended, including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902, and for services authorized by 5 U.S.C. 3109 but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, [$11,147,000] *$11,403,000*: *Provided*, That the Chemical Safety and Hazard Investigation Board (Board) shall have not more than three career Senior Executive Service positions: *Provided further*, That notwithstanding any other provision of law, the individual appointed to the position of Inspector General of the Environmental Protection Agency (EPA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board: *Provided further*, That notwithstanding any other provision of law, the Inspector General of the Board shall utilize personnel of the Office of Inspector General of EPA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–3850–0–1–304		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	11	11	11
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	11	11	11
1160	Appropriation, discretionary (total)	11	11	11
1930	Total budgetary resources available	12	12	12
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	3	3	3
3030	Obligations incurred, unexpired accounts	11	11	11
3040	Outlays (gross)	–11	–11	–11
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	3	3	3
3100	Obligated balance, end of year (net)	3	3	3
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	11	11	11
	Outlays, gross:			
4010	Outlays from new discretionary authority	9	10	10
4011	Outlays from discretionary balances	2	1	1
4020	Outlays, gross (total)	11	11	11
4180	Budget authority, net (total)	11	11	11
4190	Outlays, net (total)	11	11	11

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency

that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; broadly disseminating its findings to industry and labor organizations; and advising the President and the Congress on key issues relating to chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other Federal agencies to implement Board recommendations. As authorized by law, the Board will submit a concurrent request for 2013 to the Congress and OMB.

Object Classification (in millions of dollars)

Identification code 95–3850–0–1–304		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	4	4	4
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	5	5	5
12.1	Civilian personnel benefits	2	2	2
21.0	Travel and transportation of persons	1	1	1
23.2	Rental payments to others	1	1	1
25.1	Advisory and assistance services	1	1	.....
25.2	Other services from non-Federal sources	1	.....	1
25.3	Other goods and services from Federal sources	.....	1	1
99.9	Total new obligations	11	11	11

Employment Summary

Identification code 95–3850–0–1–304		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	45	49	51

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Federal Funds

[SALARIES AND EXPENSES]

[For payment to the Christopher Columbus Fellowship Foundation, established by section 423 of Public Law 102–281, $450,000, to remain available until expended.] *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 76–0100–0–1–502		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	1	.....	.....
0900	Total new obligations (object class 41.0)	1	.....	.....
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	1	.....	.....
1160	Appropriation, discretionary (total)	1	.....	.....
1930	Total budgetary resources available	1	.....	.....
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	1	.....	.....
3040	Outlays (gross)	–1	.....	.....
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	1	.....	.....
	Outlays, gross:			
4010	Outlays from new discretionary authority	1	.....	.....
4180	Budget authority, net (total)	1	.....	.....
4190	Outlays, net (total)	1	.....	.....

Employment Summary

Identification code 76–0100–0–1–502		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	2	2	2

Trust Funds

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Program and Financing (in millions of dollars)

Identification code 76–8187–0–7–502		2011 actual	2012 est.	2013 est.
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
1930	Total budgetary resources available	1	1	1
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1

Public Law 102–281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from the sale of Christopher Columbus Quincentenary coins were placed in the Foundation's trust fund to operate the Foundation's programs.

The Foundation supports competitive programs rewarding American scientist/researchers, companies, educators and students who develop new innovations and innovative approaches to homeland security, life sciences, agriscience and solving community issues through science and education.

The Foundation will continue its programs until its funds are expended.

CIVILIAN PROPERTY REALIGNMENT BOARD

Federal Funds

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of legislation authorizing the establishment of a Civilian Property Realignment Board, for necessary salaries and expenses, $17,000,000.

Program and Financing (in millions of dollars)

Identification code 95–3753–2–1–804		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Salaries and Expenses	.....	.....	17
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	.....	.....	17
1160	Appropriation, discretionary (total)	.....	.....	17
1930	Total budgetary resources available	.....	.....	17
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	.....	.....	17
3040	Outlays (gross)	.....	.....	–15
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	.....	.....	2
3100	Obligated balance, end of year (net)	.....	.....	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	.....	.....	17
	Outlays, gross:			
4010	Outlays from new discretionary authority	.....	.....	15
4180	Budget authority, net (total)	.....	.....	17

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 95–3753–2–1–804	2011 actual	2012 est.	2013 est.
4190 Outlays, net (total)			15

The Civilian Property Realignment Board, as envisioned by the Administration's Civilian Property Realignment Act proposal, is an independent agency that assists the President and Congress in identifying ways the Government can eliminate unneeded assets and downsize its real property inventory. This independent structure, which was modeled off of the successful Base Realignment and Closure (BRAC) process, would enable the Federal Government to cut through the challenging competing stakeholder interests that slow the disposal and consolidation of unneeded properties. Though the Federal Government has made real progress on reforming the management of its real property, this independent Board would allow us to achieve long-desired opportunities for reform and deficit reduction within the inventory with far greater scope, speed, and efficiency. The goals of the Board would be to sell unneeded property, reduce the operating costs of the Government, support and incentivize agency co-location, resolve the Government's reliance on costly leases, and improve the sustainability of the Government's operations.

Object Classification (in millions of dollars)

Identification code 95–3753–2–1–804	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			7
12.1 Civilian personnel benefits			2
21.0 Travel and transportation of persons			1
23.1 Rental payments to GSA			1
23.3 Communications, utilities, and miscellaneous charges			1
25.1 Advisory and assistance services			3
26.0 Supplies and materials			1
31.0 Equipment			1
99.9 Total new obligations			17

Employment Summary

Identification code 95–3753–2–1–804	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment			38

ASSET PROCEEDS AND SPACE MANAGEMENT FUND

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of legislation authorizing the establishment of a Civilian Property Realignment Board, for necessary expenses to support agency civilian real property projects identified through the recommendations of the Civilian Property Realignment Board, $40,000,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 95–4350–2–3–804	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity			40
0900 Total new obligations (object class 25.3)			40
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			40
1160 Appropriation, discretionary (total)			40
1900 Budget authority (total)			40
1930 Total budgetary resources available			40
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			40
3040 Outlays (gross)			–36
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)			4
3100 Obligated balance, end of year (net)			4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			40
Outlays, gross:			
4010 Outlays from new discretionary authority			36
4180 Budget authority, net (total)			40
4190 Outlays, net (total)			36

The Civilian Property Realignment Board, as envisioned by the Administration's Civilian Property Realignment Act proposal, will utilize a revolving fund (the Asset Proceeds and Space Management Fund) to facilitate the disposal process by serving as a source of resources to reimburse an agency for some necessary costs associated with disposing of property. Through this fund, the Board may provide, upon approval of the Director of the Office of Management and Budget, logistical and financial support to agencies in their efforts to prepare properties for disposal, consolidation, co-location, or other reconfiguration. The appropriation in the amount of $40,000,000 will supply initial capital to fund this role of the Board. Thereafter, at least sixty percent of net proceeds received from the sale of any property implemented as a result of a Board recommendation shall be sent directly to the General Fund of the Treasury. In a proportion decided by the Director of the Office of Management and Budget, the remaining forty percent will be used to replenish this Asset Proceeds and Space Management fund and for the purpose of investments in agency real property management. The retention of agency proceeds by the Board's revolving fund will allow the Board to continue its role to provide logistical and financial support to agencies implementing Board recommendations, as well as fund the Board's own operations, reducing the need for future appropriated funds.

ASSET PROCEEDS AND SPACE MANAGEMENT FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–4350–4–3–804	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Reimbursable program activity			200
0900 Total new obligations (object class 25.3)			200
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			200
1850 Spending auth from offsetting collections, mand (total)			200
1930 Total budgetary resources available			200
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			200
3040 Outlays (gross)			–180
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)			20
3100 Obligated balance, end of year (net)			20
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			200
Outlays, gross:			
4100 Outlays from new mandatory authority			180
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources			–200

4190	Outlays, net (total)	.....	.....	–20

Object Classification (in millions of dollars)

Identification code 95–4350–4–3–804		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
25.3	Other goods and services from Federal sources	.....	.....	200
99.0	Reimbursable obligations	.....	.....	200

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

		2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:				
95–263900	Asset Sale Proceeds	.....	.....	120
General Fund Offsetting receipts from the public		.....	.....	120

COMMISSION OF FINE ARTS

Federal Funds

SALARIES AND EXPENSES

For expenses of the Commission of Fine Arts under Chapter 91 of title 40, United States Code, ⟦$2,400,000⟧ *$2,175,000*: *Provided*, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation: *Provided further*, That the Commission is authorized to accept gifts, including objects, papers, artwork, drawings and artifacts, that pertain to the history and design of the Nation's Capital or the history and activities of the Commission of Fine Arts, for the purpose of artistic display, study or education. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2600–0–1–451		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	2	2	2
0900	Total new obligations	2	2	2
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	2	2	2
1160	Appropriation, discretionary (total)	2	2	2
1930	Total budgetary resources available	2	2	2
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	2	2	2
3040	Outlays (gross)	–2	–2	–2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	2	2	2
	Outlays, gross:			
4010	Outlays from new discretionary authority	2	2	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	2	2	2

The Commission advises the President, the Congress, and department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the Nation's Capital.

Object Classification (in millions of dollars)

Identification code 95–2600–0–1–451		2011 actual	2012 est.	2013 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	2	2	2

Employment Summary

Identification code 95–2600–0–1–451		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	11	11	10

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

⟦For necessary expenses as authorized by Public Law 99–190 (20 U.S.C. 956a), as amended, $2,000,000.⟧ *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2602–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	3	2	.....
0900	Total new obligations (object class 41.0)	3	2	.....
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	3	2	.....
1160	Appropriation, discretionary (total)	3	2	.....
1930	Total budgetary resources available	3	2	.....
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	3	2	.....
3040	Outlays (gross)	–3	–2	.....
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	3	2	.....
	Outlays, gross:			
4010	Outlays from new discretionary authority	3	2	.....
4180	Budget authority, net (total)	3	2	.....
4190	Outlays, net (total)	3	2	.....

No funding is proposed for this non-competitive grants program administered by the Commission of Fine Arts. The President's Budget proposes funding to transform this program to a competitive grants program administered by the District of Columbia.

ADMINISTRATIVE PROVISION

⟦The item relating to "National Capital Arts and Cultural Affairs" in the Department of the Interior and Related Agencies Appropriations Act, 1986, as enacted into law by section 101(d) of Public Law 99–190 (99 Stat. 1261; 20 U.S.C. 956a) is amended—⟧

⟦(1) by deleting the last sentence in the second paragraph and replacing it with the following: "Each eligible organization must have its principal place of business in the District of Columbia and in a facility or facilities located in the District of Columbia."; and⟧

⟦(2) In the third paragraph, by deleting "in addition to those herein named" at the end of the sentence.⟧ *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

COMMISSION ON CIVIL RIGHTS

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, [$9,193,000] *$9,400,000*: *Provided*, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: *Provided further*, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days: *Provided further*, That none of the funds appropriated in this paragraph shall be used for any activity or expense that is not explicitly authorized by 42 U.S.C. 1975a: *Provided further*, That there shall be an Inspector General at the Commission on Civil Rights who shall have the duties, responsibilities, and authorities specified in the Inspector General Act of 1978, as amended: *Provided further*, That an individual appointed to the position of Inspector General of the Government Accountability Office (GAO) shall, by virtue of such appointment, also hold the position of Inspector General of the Commission on Civil Rights: *Provided further*, That the Inspector General of the Commission on Civil Rights shall utilize personnel of the Office of Inspector General of GAO in performing the duties of the Inspector General of the Commission on Civil Rights, and shall not appoint any individuals to positions within the Commission on Civil Rights: *Provided further*, That of the amounts made available in this paragraph, $250,000 shall be transferred directly to the Office of Inspector General of GAO upon enactment of this Act for salaries and expenses necessary to carry out the duties of the Inspector General of the Commission on Civil Rights. *(Commerce, Justice, Science, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–1900–0–1–751		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	9	9	9
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	9	9	9
1160	Appropriation, discretionary (total)	9	9	9
1930	Total budgetary resources available	9	9	9
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	2	1	1
3030	Obligations incurred, unexpired accounts	9	9	9
3040	Outlays (gross)	–9	–9	–9
3081	Recoveries of prior year unpaid obligations, expired	–1		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	1	1
3100	Obligated balance, end of year (net)	1	1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	9	9	9
	Outlays, gross:			
4010	Outlays from new discretionary authority	7	9	9
4011	Outlays from discretionary balances	2		
4020	Outlays, gross (total)	9	9	9
4180	Budget authority, net (total)	9	9	9
4190	Outlays, net (total)	9	9	9

Originally established by the Civil Rights Act of 1957, the U.S. Commission on Civil Rights (USCCR) is an independent, bi-partisan, fact finding Federal agency. Its mission is to inform the development of national civil rights policy and enhance enforcement of Federal civil rights laws. The Commission pursues this mission by studying alleged deprivations of voting rights and alleged discrimination based on race, color, religion, sex, age, disability, or national origin, or in the administration of justice. The Commission plays a vital role in advancing civil rights through objective and comprehensive investigation, research, and analysis on issues of fundamental concern to the Federal government and the public. The Commission also supports a network of State Advisory Committees, each composed of a diverse group of citizen volunteers, which conduct civil rights research at the State and regional levels.

Object Classification (in millions of dollars)

Identification code 95–1900–0–1–751		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	5	5	5
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	1	1	1
25.2	Other services from non-Federal sources	2	2	2
99.9	Total new obligations	9	9	9

Employment Summary

Identification code 95–1900–0–1–751		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	40	44	41

COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Committee for Purchase From People Who Are Blind or Severely Disabled established by Public Law 92–28, [$5,385,000] *$5,396,000*. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2000–0–1–505		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Salaries and Expenses	5	5	5
0900	Total new obligations	5	5	5
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	5	5	5
1160	Appropriation, discretionary (total)	5	5	5
1930	Total budgetary resources available	5	5	5
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1		1
3030	Obligations incurred, unexpired accounts	5	5	5
3040	Outlays (gross)	–6	–4	–5
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		1	1
3100	Obligated balance, end of year (net)		1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	5	5	5
	Outlays, gross:			
4010	Outlays from new discretionary authority	5	4	4
4011	Outlays from discretionary balances	1		1
4020	Outlays, gross (total)	6	4	5
4180	Budget authority, net (total)	5	5	5
4190	Outlays, net (total)	6	4	5

The Committee for Purchase From People Who Are Blind or Severely Disabled (operating as the U.S. AbilityOne Commission) administers the AbilityOne Program under the authority of the Javits-Wagner-O'Day Act of 1971, as amended. The principal objective of AbilityOne is to leverage the purchasing power of the Federal Government to provide employment opportunities for people who are blind or have other significant disabilities. The Committee accomplishes its mission by identifying Government procurement requirements that can create employment opportunities for individuals who are blind or have other significant disabilities. Following opportunities for public comment and after due deliberation, the Committee then places such products and service requirements on the AbilityOne Procurement List, thus requiring Federal departments and agencies to procure the designated products and services from a network of over 600 qualified State and private nonprofit agencies (NPAs) employing people who are blind or have other significant disabilities.

The long-term vision of AbilityOne is to enable people who are blind or have other significant disabilities to achieve their maximum employment potential. In 2011, approximately 51,000 AbilityOne employees earned a combined total of more than $550 million in wages, with an average hourly wage of $11.34. As a result, many individuals were able to reduce their dependence on Social Security, Supplemental Nutrition Assistance, Temporary Assistance for Needy Families, and other public income transfer payments.

AbilityOne continues to emphasize providing employment to veterans, with more than 3,300 employed in direct or indirect labor positions, including supervision and management. To meet the changing needs of the Federal Government and employment interests of people who are blind or have other significant disabilities, AbilityOne has opened new lines of business in areas such as contract management services, automotive fleet management, document destruction services, and secure mail facility management. In addition to pursuing these initiatives, AbilityOne has expanded the range of unique military products and services it has traditionally provided to meet the needs of the Nation's war fighters. The resources proposed for 2013 would enable the Committee to continue increasing employment opportunities for people who are blind or have other significant disabilities while providing Federal departments and agencies with high quality products and services to support their missions.

Object Classification (in millions of dollars)

Identification code 95–2000–0–1–505		2011 actual	2012 est.	2013 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	3	3	3
99.5	Below reporting threshold	2	2	2
99.9	Total new obligations	5	5	5

Employment Summary

Identification code 95–2000–0–1–505		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	27	31	31

COMMODITY FUTURES TRADING COMMISSION

Federal Funds

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles, and the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, [$205,294,000] *$308,000,000*, to remain available until September 30, [2013] *2014*, including not to exceed $3,000 for official reception and representation expenses, and not to exceed $25,000 for the expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials[, and of which $55,000,000 shall remain available for information technology investments until September 30, 2014]. *(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–1400–0–1–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Market Oversight	27	31	46
0002	Enforcement	37	43	53
0003	Clearing and Risk	11	13	23
0004	Swap Dealer and Intermediary Oversight	16	20	28
0005	General Counsel	11	14	15
0006	Chief Economist	3	4	7
0007	International Affairs	2	2	3
0008	Agency Direction	8	9	8
0009	Administrative Management and Support	16	19	19
0010	Data and Technology	60	60	105
0011	Inspector General	1	1	1
0900	Total new obligations	192	216	308
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		11	
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	203	205	308
1160	Appropriation, discretionary (total)	203	205	308
1930	Total budgetary resources available	203	216	308
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	11		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	41	44	34
3030	Obligations incurred, unexpired accounts	192	216	308
3031	Obligations incurred, expired accounts	8		
3040	Outlays (gross)	–188	–226	–297
3081	Recoveries of prior year unpaid obligations, expired	–9		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	44	34	45
3100	Obligated balance, end of year (net)	44	34	45
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	203	205	308
	Outlays, gross:			
4010	Outlays from new discretionary authority	150	182	274
4011	Outlays from discretionary balances	38	44	23
4020	Outlays, gross (total)	188	226	297
4180	Budget authority, net (total)	203	205	308
4190	Outlays, net (total)	188	226	297

The mission of the Commodity Futures Trading Commission (CFTC or Commission) is to protect market users and the public from fraud, manipulation, abusive practices and systemic risk related to futures, options and swaps and to foster open, competitive, and financially sound markets. Congress established the CFTC as an independent agency in 1974, growing out of its predecessor body within the Department of Agriculture. Its mandate was renewed and/or expanded in 1978, 1982, 1986, 1992, 1995, 2000, 2008 and 2010. After the 2008 financial crisis and the subsequent enactment of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Wall Street Reform Act) (P.L. 111–203), the CFTC's mission expanded to include oversight of the swaps marketplace. The CFTC's short- and long-term goals include the implementation of the Wall Street Reform Act in order

COMMODITY FUTURES TRADING COMMISSION—Continued

to bring oversight and customer protections to the swaps marketplace.

Over the years, the derivatives industry has become increasingly diversified. The agricultural sector continues to use the futures markets as actively as ever to effectively lock-in prices for crops and livestock months before they enter the marketplace. However, highly complex financial contracts based on interest rates, foreign currencies, Treasury bonds, securities indexes and other products have far outgrown agricultural contracts in trading volume. Recent statistics show that approximately nine percent of on-exchange commodity futures and option trading activity occurs in the agriculture sector; financial commodity futures and option contracts make up approximately 76 percent, and other contracts, such as those on metals and energy products, make up about 15 percent.

The increase in trading activity, number of participants active in the markets, and complexity of product design has transformed the futures markets into a $40 trillion industry. The rapid evolution in trading technologies, cross-border activities, product innovation and competition have made the futures markets a significant part of the global economy. In addition to the rapid growth of the futures marketplace, the global economy has seen the development of an increasingly sophisticated over-the-counter (OTC) derivatives market. The first swap transaction took place in 1981. Since then, swap transactions have grown to a $300 trillion notional amount in the United States. The Wall Street Reform Act authorizes the CFTC to regulate the swap markets. Implementation of new Wall Street Reform Act rules covering swaps began in 2011, with the Commission receiving data on cleared swaps. Despite delays in finalization of Wall Street Reform Act rules necessitated by the notice and comment process, the pace of implementation will accelerate in 2012, with provisional and full registration of new entities in the swaps marketplace. And in 2013, the Commission's focus will shift to full implementation of the swaps market regulation. To that end, the Commission proposes an increase of $103 million and 296 FTE in 2013 over the 2012 enacted level, for a total request of $308 million and 1,015 FTE to carry out both the CFTC's current authorities, as well as its new regulatory responsibilities under the Wall Street Reform Act.

The Commission anticipates a tripling in the number of exchanges and/or trading platforms under its purview between 2011 and 2013, and many new significant data streams will be added. 2013 estimates for increased Commission infrastructure capacity are based on current rates of growth and project-related estimates to launch Wall Street Reform Act projects. Given the uncertainty over the actual volume and growth rate of volume of trading on these new platforms, the Commission has planned for roughly doubling the capacity of its infrastructure related to market surveillance. At the same time, the Commission requires subject matter experts and managers to perform the full scope of regulatory and support activities. These individuals require on-the-job training in many cases, as those with industry knowledge need grounding in the CFTC's regulatory framework, while those with a regulatory background need to understand the specifics of the industry the Commission regulates.

The Administration strongly supports fully funding the CFTC through user fees. Authorization of fees would bring the CFTC into line with all other Federal financial regulators, which are funded in whole or in part through user fees. Upon enactment of authorizing legislation permitting the CFTC to collect user fees, the Administration will transmit a budget amendment to reflect the funding of CFTC's 2013 appropriation through offsetting collections.

Object Classification (in millions of dollars)

Identification code 95–1400–0–1–376		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	91	98	139
11.3	Other than full-time permanent	2	3	4
11.5	Other personnel compensation	1	1	2
11.9	Total personnel compensation	94	102	145
12.1	Civilian personnel benefits	27	29	41
21.0	Travel and transportation of persons	1	2	5
23.2	Rental payments to others	15	17	19
23.3	Communications, utilities, and miscellaneous charges	5	5	7
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	43	49	74
26.0	Supplies and materials	1	2	4
31.0	Equipment	5	6	10
32.0	Land and structures		3	2
99.9	Total new obligations	192	216	308

Employment Summary

Identification code 95–1400–0–1–376		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	666	719	1,015

CUSTOMER PROTECTION FUND

Program and Financing (in millions of dollars)

Identification code 95–4334–0–3–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0802	Whistleblower Payments		13	12
0803	Customer Education Initiatives		1	1
0804	Program Direction		2	2
0900	Total new obligations		16	15
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		24	23
1020	Adjustment of unobligated bal brought forward, Oct 1	18		
1050	Unobligated balance (total)	18	24	23
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	6	15	13
1850	Spending auth from offsetting collections, mand (total)	6	15	13
1930	Total budgetary resources available	24	39	36
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	24	23	21
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		16	15
3040	Outlays (gross)		–16	–15
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	6	15	13
	Outlays, gross:			
4101	Outlays from mandatory balances		16	15
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources		–15	–13
4124	Offsetting governmental collections	–6		
4130	Offsets against gross budget authority and outlays (total)	–6	–15	–13
4170	Outlays, net (mandatory)	–6	1	2
4190	Outlays, net (total)	–6	1	2

Section 748 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) amended the Commodity Exchange Act to direct the Commission to issue rules

implementing incentives and protections for whistleblowers. Specifically, section 748 requires the Commission to pay awards to whistleblowers who provide original information to the Commission that leads to successful enforcement of a Commission action resulting in monetary sanctions exceeding $1,000,000, and who satisfy other eligibility requirements. The amount of the awards, as determined by the Commission, will be between 10 to 30 percent of sanctions collected in either the Commission's action or a related action that is based upon original information provided by the whistleblower.

The Commission's award determination is dependent upon certain criteria. The Commission may exercise discretion in granting an award based upon the significance of the information, the degree of assistance provided in support of the Commission's action or related action, the Commission's programmatic interest, and other criteria. An award shall be denied to certain Government employees and others who are statutorily ineligible.

A whistleblower may appeal the Commission's award determination as to whom an award is made, the amount of an award, or the denial of an award, to the appropriate U.S. Circuit Court of Appeals.

The Customer Protection Fund is a revolving fund established under section 748 of the Act. The Commission shall deposit civil monetary penalties, disgorgements, and fines it collects in covered administrative or judicial enforcement actions into the Fund whenever the balance in the Fund at the time of the deposit is less than or equal to $100,000,000. The Commission will not deposit restitution awarded to victims into the Fund, and will pay whistleblower awards and finance customer education initiatives from the Fund.

Object Classification (in millions of dollars)

Identification code 95–4334–0–3–376		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
11.8	Personnel compensation: Special personal services payments		13	12
41.0	Grants, subsidies, and contributions		3	3
99.9	Total new obligations		16	15

CONSUMER PRODUCT SAFETY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed $4,000 for official reception and representation expenses, [$114,500,000, of which $500,000 shall remain available until September 30, 2013, to implement the Virginia Graeme Baker Pool and Spa Safety Act grant program as provided by section 1405 of Public Law 110–140 (15 U.S.C. 8004)] *$122,425,000; of which $6,000,000 to remain available until September 30, 2014, shall be for CPSC Headquarters relocation. (Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 61–0100–0–1–554		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Leadership in Safety	14	13	14
0002	Commitment to Prevention	24	23	23
0003	Rigorous Hazard Identification	36	30	28
0004	Decisive Response	29	40	42
0005	Raising Awareness	10	9	9
0006	Laboratory Modernization	2		
0007	Headquarters Relocation			2
0100	Direct program activities, subtotal	115	115	118
0799	Total direct obligations	115	115	118
0801	Reimbursable program	3	3	4
0900	Total new obligations	118	118	122
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	115	115	122
1160	Appropriation, discretionary (total)	115	115	122
	Spending authority from offsetting collections, discretionary:			
1700	Collected	3	3	4
1750	Spending auth from offsetting collections, disc (total)	3	3	4
1900	Budget authority (total)	118	118	126
1930	Total budgetary resources available	122	119	127
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–3		
1941	Unexpired unobligated balance, end of year	1	1	5
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	58	34	44
3030	Obligations incurred, unexpired accounts	118	118	122
3031	Obligations incurred, expired accounts	1		
3040	Outlays (gross)	–141	–108	–136
3081	Recoveries of prior year unpaid obligations, expired	–2		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	34	44	30
3100	Obligated balance, end of year (net)	34	44	30
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	118	118	126
	Outlays, gross:			
4010	Outlays from new discretionary authority	93	94	97
4011	Outlays from discretionary balances	48	14	39
4020	Outlays, gross (total)	141	108	136
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–3	–3	–4
4180	Budget authority, net (total)	115	115	122
4190	Outlays, net (total)	138	105	132

The CPSC is an independent federal regulatory agency that protects the public against unreasonable risks of injury from consumer products through education, safety standards activities, regulation, and enforcement. To accomplish its mission, the CPSC's operations are structured around five strategic goals: Leadership in Safety, Commitment to Prevention, Rigorous Hazard Identification, Decisive Response, and Raising Awareness. This request includes funding for continued implementation of the Consumer Product Safety Improvement Act of 2008, including fulfillment of Congressional mandates to promulgate safety standards and the subsequent enforcement of those standards; working with industry to develop voluntary consensus standards; increasing surveillance at U.S. ports of entry to prevent noncompliant products from entering the United States marketplace; and engaging in global outreach and education with the regulated community.

Object Classification (in millions of dollars)

Identification code 61–0100–0–1–554		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	50	51	53
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	55	56	58

SALARIES AND EXPENSES—Continued

Object Classification—Continued

Identification code 61–0100–0–1–554		2011 actual	2012 est.	2013 est.
12.1	Civilian personnel benefits	15	16	16
21.0	Travel and transportation of persons	1	2	2
23.1	Rental payments to GSA	6	8	8
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	22	24	24
25.3	Other goods and services from Federal sources	4	2	4
25.4	Operation and maintenance of facilities	2	.....	.....
25.5	Research and development contracts	1	2	2
25.7	Operation and maintenance of equipment	2	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	5	2	1
99.0	Direct obligations	115	115	118
99.0	Reimbursable obligations	3	3	4
99.9	Total new obligations	118	118	122

Employment Summary

Identification code 61–0100–0–1–554		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	542	548	560

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Federal Funds

OPERATING EXPENSES

For necessary expenses for the Corporation for National and Community Service (referred to in this title as "CNCS") to carry out the Domestic Volunteer Service Act of 1973 (referred to in this title as "1973 Act") and the National and Community Service Act of 1990 (referred to in this title as "1990 Act"), [$751,672,000] *$760,498,000*, notwithstanding sections 198B(b)(3), 198S(g), 501(a)(4)(C), and 501(a)(4)(F) of the 1990 Act: *Provided*, That of the amounts provided under this heading: (1) up to 1 percent of program grant funds may be used to defray the costs of conducting grant application reviews, including the use of outside peer reviewers and electronic management of the grants cycle; (2) [$44,900,000] *$50,000,000* shall be available for expenses authorized under section 501(a)(4)(E) of the 1990 Act; (3) [$2,000,000] *$5,000,000* shall be available for expenses to carry out sections 112(e), 179A, and 198O and subtitle J of title I of the 1990 Act, notwithstanding section 501(a)(6) of the 1990 Act; (4) [$13,466,000] *$15,990,000* shall be available to provide assistance to State commissions on national and community service, under section 126(a) of the 1990 Act and notwithstanding section 501(a)(5)(B) of the 1990 Act; (5) [$31,942,000] *$30,110,000* shall be available to carry out subtitle E of the 1990 Act; and (6) [$3,992,000 shall be available for expenses authorized under section 501(a)(4)(F) of the 1990 Act, which, notwithstanding the provisions of section 198P shall be awarded by CNCS on a competitive basis] *$3,000,000 shall be available to increase the participation of individuals with disabilities in national service and for demonstration activities in furtherance of this purpose, notwithstanding section 129(k)(1) of the 1990 Act*: *Provided further*, That, with respect to amounts provided under this heading for State Service Commissions, section 126 of the 1990 Act shall be applied by substituting "$200,000" for "$250,000" each place that it appears*: Provided, further, That not to exceed 20 percent of funds made available under section 501(a)(4)(E) of the 1990 Act may be used for Social Innovation Funds Pilot Program-related performance-based awards for Pay for Success projects: Provided further, That, with respect to the previous proviso, any funds obligated for such projects shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any funds deobligated from such projects shall immediately be available for activities authorized under 198K of such Act. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2728–0–1–506		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0002	AmeriCorps*NCCC	29	32	30
0003	AmeriCorps*State and National	349	345	345
0004	AmeriCorps*VISTA	99	95	95
0005	National Service Trust	200	212	.....
0006	State Comm. Admin. Grants	17	13	16
0007	National Senior Service Corps	208	208	208
0008	Innovation, Demon., and Assistance Act.	5	4	3
0009	Evaluations	6	3	5
0010	Social Innovation Fund	49	44	50
0011	Volunteer Generation Fund	4	4	.....
0012	Training and Technical Assistance	7	2	5
0013	Disability Placement Funds	4	.....	3
0015	Non-Profit Capacity Building	1	.....	.....
0799	Total direct obligations	978	962	760
0801	Reimbursable program activity	.....	2	2
0900	Total new obligations	978	964	762
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	982	964	760
1130	Appropriations permanently reduced	–2	.....	.....
1143	Approp permanently reduced (Sec 527, HR 2055)	.....	–2	.....
1160	Appropriation, discretionary (total)	980	962	760
	Spending authority from offsetting collections, discretionary:			
1700	Collected	2	2	2
1750	Spending auth from offsetting collections, disc (total)	2	2	2
1900	Budget authority (total)	982	964	762
1930	Total budgetary resources available	982	964	762
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–4	.....	.....
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	852	811	1,018
3030	Obligations incurred, unexpired accounts	978	964	762
3031	Obligations incurred, expired accounts	2	.....	.....
3040	Outlays (gross)	–983	–757	–804
3081	Recoveries of prior year unpaid obligations, expired	–38	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	811	1,018	976
3100	Obligated balance, end of year (net)	811	1,018	976
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	982	964	762
	Outlays, gross:			
4010	Outlays from new discretionary authority	372	304	242
4011	Outlays from discretionary balances	611	453	562
4020	Outlays, gross (total)	983	757	804
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–1	–2	–2
4033	Non-Federal sources	–1	.....	.....
4040	Offsets against gross budget authority and outlays (total)	–2	–2	–2
4070	Budget authority, net (discretionary)	980	962	760
4080	Outlays, net (discretionary)	981	755	802
4180	Budget authority, net (total)	980	962	760
4190	Outlays, net (total)	981	755	802

The Corporation for National and Community Service (Corporation) provides opportunities for Americans to serve their community and country while meeting the Nation's greatest challenges. By working with nonprofit organizations, faith-based groups, schools, and other civic organizations, the Corporation engages Americans of all ages in community-based service that addresses educational, human, public safety, health and environmental needs. In doing so, the Corporation strengthens the ties that bind us together as a people and provides educational opportunities for those who make a commitment to service.

The Corporation plays a vital role in supporting the American culture of citizenship, service and responsibility. As the nation's largest grant maker in the area of service and volunteering, the Corporation promotes service around the country and helps organizations engage volunteers effectively. Members and volunteers who serve in Corporation programs provide vital assistance to their communities through local institutions. These institutions include: nonprofits, K-12 schools, institutions of higher learning, faith-based and other community organizations, as well as local and municipal government.

AmeriCorps State and National grants.—With funds channeled through both States and community-based organizations, AmeriCorps grants enable communities to recruit, train and place AmeriCorps members to meet critical local needs in the areas of Education, Healthy Futures, Economic Opportunity, Clean Energy, and Veterans as directed by the Edward M. Kennedy Serve America Act of 2009. The 2013 Budget request is $345.0 million and funds about 74,000 AmeriCorps State and National members.

AmeriCorps National Civilian Community Corps.—AmeriCorps NCCC is a 10-month residential national service program for people ages 18–24. The 2013 Budget request is $30.1 million and funds about 1,200 AmeriCorps NCCC members that will be deployed to respond to natural disasters across the nation, as well as engaged in urban and rural development projects.

AmeriCorps Volunteers in Service to America.—The AmeriCorps VISTA program provides full-time members to community organizations and public agencies working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support. The 2013 Budget request is $95.3 million and funds about 6,000 full-time AmeriCorps VISTA members and 1,400 Summer Associates.

AmeriCorps National Service Trust.—The 2013 Budget request for the Trust is $208.7 million. The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs. Accounting methodology for the Trust is specified in the Strengthen AmeriCorps Program Act of 2003.

State Service Commission Administrative Grants.—The 2013 Budget request for State Service Commissions is $15.9 million. These population-based formula grants support the operation of State Service Commissions that administer approximately three-fourths of AmeriCorps State and National grant funds. Commissions are responsible for monitoring sub-grantees and ensuring that they comply with Federal requirements and performance expectations. These grants must be matched by the Commissions.

Senior Corps.—The 2013 Budget request for all three Senior Corps is $207.8 million. These programs connect individuals over the age of 55 to local volunteer opportunities, including mentoring vulnerable children, providing independent living services and support to frail seniors and their caregivers, and leveraging additional volunteers.

Training and Technical Assistance.—The 2013 Budget for training and technical assistance services is $5.0 million. The Corporation provides training and technical assistance services to programs and entities receiving or applying for financial support from the Corporation.

Disability Grants.—The 2013 Budget request is $3.0 million for disability inclusion grants and training and technical assistance activities.

Innovation, Demonstration, and Assistance.— The 2013 Budget continues to expand the activities funded by the Corporation, including the Social Innovation Fund, which helps identify and scale-up promising programs across the country. This activity also funds the annual Martin Luther King, Jr. Day of Service and the United We Serve Initiative. These initiatives and programs are aimed at incubating new ideas, while expanding proven initiatives that address specific community needs. The 2013 Budget request is $53.2 million.

Evaluation.—This activity supports performance measurement and studies of program impact. The 2013 Budget request of $5.0 million will support in-depth assessments of the performance and impact of Corporation programs.

Object Classification (in millions of dollars)

Identification code 95–2728–0–1–506		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	9	9	9
11.3	Other than full-time permanent	1	1	1
11.8	Special personal services payments	65	63	63
11.9	Total personnel compensation	75	73	73
12.1	Civilian personnel benefits	4	5	5
21.0	Travel and transportation of persons	8	7	6
23.2	Rental payments to others	4	3	3
24.0	Printing and reproduction		1	1
25.2	Other services from non-Federal sources	82	59	59
26.0	Supplies and materials	1	1	1
31.0	Equipment		1	1
41.0	Grants, subsidies, and contributions	602	607	611
94.0	Financial transfers	200	205	
99.0	Direct obligations	976	962	760
99.0	Reimbursable obligations	2	2	2
99.9	Total new obligations	978	964	762

Employment Summary

Identification code 95–2728–0–1–506		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	93	9	9

National and Community Service Programs, Operating Expenses

Program and Financing (in millions of dollars)

Identification code 95–2720–0–1–506		2011 actual	2012 est.	2013 est.
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	14	6	
3031	Obligations incurred, expired accounts	5		
3040	Outlays (gross)	–3	–6	
3081	Recoveries of prior year unpaid obligations, expired	–10		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	6		
3100	Obligated balance, end of year (net)	6		
	Budget authority and outlays, net:			
	Discretionary:			
	Outlays, gross:			
4011	Outlays from discretionary balances	3	6	
4190	Outlays, net (total)	3	6	

Payment to National Service Trust Fund

For payment to the National Service Trust established under subtitle D of title I of the 1990 Act, $208,744,000, to remain available until expended: Provided, That CNCS may transfer additional funds from the amount provided within "Operating Expenses'' allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That amounts appropriated for or transferred to the National Service

PAYMENT TO NATIONAL SERVICE TRUST FUND—Continued

Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b).

Program and Financing (in millions of dollars)

Identification code 95–2726–0–1–506	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Payment to National Service Trust Fund			209
0900 Total new obligations (object class 94.0)			209
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			209
1160 Appropriation, discretionary (total)			209
1930 Total budgetary resources available			209
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			209
3040 Outlays (gross)			–209
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			209
Outlays, gross:			
4010 Outlays from new discretionary authority			209
4180 Budget authority, net (total)			209
4190 Outlays, net (total)			209

This general fund appropriation pays the National Service Trust Fund to make educational awards to eligible national service program participants until the awardees use them.

DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES

Program and Financing (in millions of dollars)

Identification code 95–0103–0–1–506	2011 actual	2012 est.	2013 est.
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	3		
3081 Recoveries of prior year unpaid obligations, expired	–3		

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, [$4,000,000] *$5,400,000*. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2721–0–1–506	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Office of Inspector General	8	4	5
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	4	5
1160 Appropriation, discretionary (total)	8	4	5
1930 Total budgetary resources available	9	4	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	2	2	5
3030 Obligations incurred, unexpired accounts	8	4	5
3040 Outlays (gross)	–8	–1	–4
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	2	5	6
3100 Obligated balance, end of year (net)	2	5	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	4	5
Outlays, gross:			
4010 Outlays from new discretionary authority	5	1	2
4011 Outlays from discretionary balances	3		2
4020 Outlays, gross (total)	8	1	4
4180 Budget authority, net (total)	8	4	5
4190 Outlays, net (total)	8	1	4

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

Object Classification (in millions of dollars)

Identification code 95–2721–0–1–506	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1		1
25.2 Other services from non-Federal sources	2		
99.0 Direct obligations	7	4	5
99.5 Below reporting threshold	1		
99.9 Total new obligations	8	4	5

Employment Summary

Identification code 95–2721–0–1–506	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	32	17	20

SALARIES AND EXPENSES

For necessary expenses of administration as provided under section 501(a)(5) of the 1990 Act and under section 504(a) of the 1973 Act, including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, the employment of experts and consultants authorized under 5 U.S.C. 3109, and not to exceed $2,500 for official reception and representation expenses, [$83,000,000] *$88,000,000*. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2722–0–1–506	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 NCSA Salaries & Expenses	85	83	88
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	88	83	88
1160 Appropriation, discretionary (total)	88	83	88
1930 Total budgetary resources available	88	84	89
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	27	23	23
3030 Obligations incurred, unexpired accounts	85	83	88
3040 Outlays (gross)	–87	–83	–84
3081 Recoveries of prior year unpaid obligations, expired	–2		

	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	23	23	27
3100	Obligated balance, end of year (net)	23	23	27
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	88	83	88
	Outlays, gross:			
4010	Outlays from new discretionary authority	66	64	68
4011	Outlays from discretionary balances	21	19	16
4020	Outlays, gross (total)	87	83	84
4180	Budget authority, net (total)	88	83	88
4190	Outlays, net (total)	87	83	84

This account provides salaries and operating expenses for the Corporation for National and Community Service.

Object Classification (in millions of dollars)

Identification code 95–2722–0–1–506	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	43	44	44
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	46	47	47
12.1 Civilian personnel benefits	12	12	12
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	9	7	8
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services from non-Federal sources	13	12	16
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	85	83	88

Employment Summary

Identification code 95–2722–0–1–506	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	484	511	511

VISTA ADVANCE PAYMENTS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 95–2723–0–1–506	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Reimbursable program activity	10	10	10
0900 Total new obligations (object class 41.0)	10	10	10
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	10	10
1750 Spending auth from offsetting collections, disc (total)	10	10	10
1930 Total budgetary resources available	12	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	10	10	10
3040 Outlays (gross)	–10	–10	–10
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	10	10
Outlays, gross:			
4010 Outlays from new discretionary authority	10	10	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–10	–10	–10

The VISTA Advance Payments Revolving Fund was established in 2007 by Public Law 110–05 as the initial source of funding for VISTA member living allowances for which the Corporation is later reimbursed by nonprofit organizations as part of cost share agreements. All VISTA member benefits and services, and the majority of living allowances, are funded in the Operating Expenses account.

Object Classification (in millions of dollars)

Identification code 95–2723–0–1–506	2011 actual	2012 est.	2013 est.
99.0 Reimbursable obligations	10	10	10

Trust Funds

GIFTS AND CONTRIBUTIONS

NATIONAL SERVICE TRUST

[(INCLUDING TRANSFER OF FUNDS)]

[For necessary expenses for the National Service Trust established under subtitle D of title I of the 1990 Act, $212,198,000, to remain available until expended: *Provided*, That CNCS may transfer additional funds from the amount provided within "Operating Expenses" allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That amounts appropriated for or transferred to the National Service Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b).] *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–9972–0–7–506	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			7
Receipts:			
0240 Interest on Investment, National Service Trust Fund	7	6	6
0241 Interest on Investment, National Service Trust Fund		1	1
0242 Payment from the General Fund, National Service Trust Fund	201	212	209
0299 Total receipts and collections	208	219	216
0400 Total: Balances and collections	208	219	223
Appropriations:			
0500 Gifts and Contributions	–202	–212	–209
0501 Gifts and Contributions	–7		
0599 Total appropriations	–209	–212	–209
0795 Adjustment - rounding	1		
0799 Balance, end of year		7	14

Program and Financing (in millions of dollars)

Identification code 95–9972–0–7–506	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Gifts and contributions	209	213	210
0900 Total new obligations (object class 25.2)	209	213	210
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	63	63	62
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	202	212	209
1160 Appropriation, discretionary (total)	202	212	209
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7		
1260 Appropriations, mandatory (total)	7		

GIFTS AND CONTRIBUTIONS—Continued

Program and Financing—Continued

Identification code 95–9972–0–7–506		2011 actual	2012 est.	2013 est.
1900	Budget authority (total)	209	212	209
1930	Total budgetary resources available	272	275	271
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	63	62	61
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	499	542	561
3030	Obligations incurred, unexpired accounts	209	213	210
3040	Outlays (gross)	–166	–194	–249
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	542	561	522
3100	Obligated balance, end of year (net)	542	561	522
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	202	212	209
	Outlays, gross:			
4010	Outlays from new discretionary authority	166	.....	.....
4011	Outlays from discretionary balances	.....	126	181
4020	Outlays, gross (total)	166	126	181
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
	Mandatory:			
4090	Budget authority, gross	7	.....	.....
	Outlays, gross:			
4101	Outlays from mandatory balances	.....	68	68
4180	Budget authority, net (total)	209	212	209
4190	Outlays, net (total)	166	194	249
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	562	605	623
5001	Total investments, EOY: Federal securities: Par value	605	623	583

The Gifts and Contributions account is a consolidation of two trust funds. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to eligible national service program participants are maintained until awardees use them.

ADMINISTRATIVE PROVISIONS

[SEC. 401. CNCS shall make any significant changes to program requirements, service delivery or policy only through public notice and comment rulemaking. For fiscal year 2012, during any grant selection process, an officer or employee of CNCS shall not knowingly disclose any covered grant selection information regarding such selection, directly or indirectly, to any person other than an officer or employee of CNCS that is authorized by CNCS to receive such information.]

SEC. [402]*401*. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.

[SEC. 403. Donations made to CNCS under section 196 of the 1990 Act for the purposes of financing programs and operations under titles I and II of the 1973 Act or subtitle B, C, D, or E of title I of the 1990 Act shall be used to supplement and not supplant current programs and operations.]

SEC. [404]*402*. In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.

SEC. 403. (a) Section 201 of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5001) is amended—

(1) in subsection (e)(1)(A), by striking, ", with an option" and all that follows through "(g)", and inserting "not to exceed" following "for a period";

(2) in subsection (e)(2)(B), by striking clause (iv), inserting at the end of clause (iii) "and", and by redesignating clause (v) as clause (iv);

(3) by striking subsection (i) and redesignating subsection (j) as subsection (i);

(b) Section 227(a) of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5027(a)) is amended by striking paragraph (2) and, in paragraph (1), by striking "(1)" and "paragraph (2) and"; and

(c) Section 412(a) of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5052) is amended by striking paragraphs (2) and (3), by inserting at the end of paragraph (1) "and", and by redesignating paragraph (4) as paragraph (2).

(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)

CORPORATION FOR PUBLIC BROADCASTING

Federal Funds

CORPORATION FOR PUBLIC BROADCASTING

For payment to the Corporation for Public Broadcasting (referred to in this Act as "CPB"), as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year [2014, $445,000,000] *2015, $445,000,000*: *Provided*, That none of the funds made available to CPB by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds made available to CPB by this Act shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: *Provided further*, That none of the funds made available to CPB by this Act shall be used to apply any political test or qualification in selecting, appointing, promoting, or taking any other personnel action with respect to officers, agents, and employees of CPB: *Provided further*, That none of the funds made available to CPB by this Act shall be used to support the Television Future Fund or any similar purpose. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 20–0151–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	General programming	430	444	445
0002	Digital transition	5	.....	.....
0900	Total new obligations (object class 41.0)	435	444	445
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	6	.....	.....
1130	Appropriations permanently reduced	–1	.....	.....
1160	Appropriation, discretionary (total)	5	.....	.....
	Advance appropriations, discretionary:			
1170	Advance appropriation - General Programming	430	445	445
1175	Adv approp permanently reduced (Sec 527, HR 2055)	.....	–1	.....
1180	Advanced appropriation, discretionary (total)	430	444	445
1900	Budget authority (total)	435	444	445
1930	Total budgetary resources available	435	444	445
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	435	444	445
3040	Outlays (gross)	–435	–444	–445
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	435	444	445

	Outlays, gross:			
4010	Outlays from new discretionary authority	435	444	445
4180	Budget authority, net (total)	435	444	445
4190	Outlays, net (total)	435	444	445

The FY 2013 Budget proposes an advance appropriation of $445 million for the Corporation for Public Broadcasting (CPB) for fiscal year 2015. In 1975, Congress first agreed to begin providing CPB with a two-year advance appropriation to support long-range financing planning and to insulate programming decisions. This commitment of furture federal dollars helps leverage investments from other sources and gives producers essential lead time to plan, design, create, and support programming and services.

CPB uses funding to provide grants to qualified public television and radio stations to be used at their discretion for purposes related to program production or acquisition, as well as for general operations. CPB also supports the production and acquisition of radio and television programs for national distribution. In addition, CPB assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and performance.

CORPORATION FOR TRAVEL PROMOTION

Federal Funds

TRAVEL PROMOTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5585–0–2–376	2011 actual	2012 est.	2013 est.
0100 Balance, start of year		106	106
Receipts:			
0200 Fees, Travel Promotion Fund	116	100	100
0400 Total: Balances and collections	116	206	206
Appropriations:			
0500 Travel Promotion Fund	–10	–100	–100
0799 Balance, end of year	106	106	106

Program and Financing (in millions of dollars)

Identification code 95–5585–0–2–376	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	10	100	100
0900 Total new obligations (object class 41.0)	10	100	100
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	10	100	100
1260 Appropriations, mandatory (total)	10	100	100
1930 Total budgetary resources available	10	100	100
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	10	100	100
3040 Outlays (gross)	–10	–100	–100
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	10	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority	10	100	100
4180 Budget authority, net (total)	10	100	100
4190 Outlays, net (total)	10	100	100

COUNCIL OF THE INSPECTORS GENERAL ON INTEGRITY AND EFFICIENCY

Federal Funds

INSPECTORS GENERAL COUNCIL FUND

Program and Financing (in millions of dollars)

Identification code 95–4592–0–4–808	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Reimbursable program activity	3	7	7
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	7
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	7	7
1850 Spending auth from offsetting collections, mand (total)	3	7	7
1930 Total budgetary resources available	10	14	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1	1	1
3030 Obligations incurred, unexpired accounts	3	7	7
3040 Outlays (gross)	–3	–7	–8
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1	1	
3100 Obligated balance, end of year (net)	1	1	
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	7	7
Outlays, gross:			
4100 Outlays from new mandatory authority	3	4	7
4101 Outlays from mandatory balances		3	1
4110 Outlays, gross (total)	3	7	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–3	–7	–7
4190 Outlays, net (total)			1

The Council of the Inspectors General on Integrity and Efficiency (CIGIE) was statutorily established by The Inspector General Reform Act of 2008 (P.L. 110–409). The CIGIEs mission is to address integrity, economy, and effectiveness issues that transcend individual Government agencies; and increase the professionalism and effectiveness of personnel by developing policies, standards, and approaches to aid in the establishment of a well-trained and highly skilled workforce in the offices of the Inspectors General. In 2013, the CIGIE will perform cross-agency analysis on cross-agency issues involving program integrity, efficiency and/or effectiveness; further increase the professionalism and effectiveness of the IG community workforce; and further advance the level of practice within the IG community workforce.

Pursuant to Section 7 the Inspector General Reform Act of 2008, resources for CIGIE activities are provided through interagency funding. CIGIE plans to spend $7.4 million in 2013 for operations to support its mission and goals, of which $5.0 million will be for CIGIE's Training Institute. Of the $5.0 million for the Training Institute, $1.3 million is planned for the Leadership/Mission Support Academy, $1.2 million is for the Investigative Training Academy, $1.5 million is for the Audit, Inspections and Evaluations Academy, and $1.0 million is for infrastructure and administrative operations associated with the Training Institute. Additionally, the Council expects to collect tuition for Training Institute courses in the amount of $0.4 million, which assists in recovering expenses associated with individual training courses.

INSPECTORS GENERAL COUNCIL FUND—Continued

Object Classification (in millions of dollars)

Identification code 95–4592–0–4–808		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time Permanent	1	1	1
12.1	Civilian personnel benefits		1	1
25.2	Other Services - Non Federal - Administrative	1	2	2
25.2	Other Services - Non Federal - Training Institute	1	3	3
99.9	Total new obligations	3	7	7

Employment Summary

Identification code 95–4592–0–4–808		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	3	7	7

COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

Federal Funds

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, [$212,983,000] *$215,506,000*, of which not to exceed $2,000 is for official reception and representation expenses related to Community Supervision and Pretrial Services Agency programs; of which not to exceed $25,000 is for dues and assessments relating to the implementation of the Court Services and Offender Supervision Agency Interstate Supervision Act of 2002; [of which $1,000,000 shall remain available until September 30, 2014 for relocation of the Pretrial Services Agency drug testing laboratory;] of which [$153,548,000] *$156,595,000* shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons; of which [$59,435,000] *$58,911,000* shall be available to the Pretrial Services Agency: *Provided*, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That not less than $1,500,000 shall be available for re-entrant housing in the District of Columbia: *Provided further*, That the Director is authorized to accept and use gifts in the form of in-kind contributions of space and hospitality to support offender and defendant programs[,]*;* and equipment*, supplies,* and vocational training services *necessary* to *sustain,* educate*,* and train offenders and defendants*, including their dependent children*: *Provided further*, That the Director shall keep accurate and detailed records of the acceptance and use of any gift or donation under the previous proviso, and shall make such records available for audit and public inspection: *Provided further*, That the Court Services and Offender Supervision Agency Director is authorized to accept and use reimbursement from the District of Columbia Government for space and services provided on a cost reimbursable basis. *(District of Columbia Appropriations Act, 2012)*

Program and Financing (in millions of dollars)

Identification code 95–1734–0–1–752		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Community supervision program	153	154	157
0002	Pretrial Services Agency	58	59	59
0799	Total direct obligations	211	213	216
0801	Reimbursable program	2		
0900	Total new obligations	213	213	216
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	212	213	216
1160	Appropriation, discretionary (total)	212	213	216
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1		
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	2		
1900	Budget authority (total)	214	213	216
1930	Total budgetary resources available	214	213	216
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–1		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	38	40	45
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–2	–2	–2
3020	Obligated balance, start of year (net)	36	38	43
3030	Obligations incurred, unexpired accounts	213	213	216
3031	Obligations incurred, expired accounts	2		
3040	Outlays (gross)	–208	–208	–216
3050	Change in uncollected pymts, Fed sources, unexpired	–1		
3051	Change in uncollected pymts, Fed sources, expired	1		
3081	Recoveries of prior year unpaid obligations, expired	–5		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	40	45	45
3091	Uncollected pymts, Fed sources, end of year	–2	–2	–2
3100	Obligated balance, end of year (net)	38	43	43
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	214	213	216
	Outlays, gross:			
4010	Outlays from new discretionary authority	180	170	173
4011	Outlays from discretionary balances	28	38	43
4020	Outlays, gross (total)	208	208	216
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–2	–1	
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–1		
4052	Offsetting collections credited to expired accounts	1	1	
4060	Additional offsets against budget authority only (total)		1	
4070	Budget authority, net (discretionary)	212	213	216
4080	Outlays, net (discretionary)	206	207	216
4180	Budget authority, net (total)	212	213	216
4190	Outlays, net (total)	206	207	216

The National Capital Revitalization and Self-Government Improvement Act of 1997 established the Court Services and Offender Supervision Agency (CSOSA) for the District of Columbia as an independent Federal agency to perform community supervision of D.C. Code offenders. The new agency assumed the adult probation function from the D.C. Superior Court and the parole supervision function from the D.C. Board of Parole. The Pretrial Services Agency for the District of Columbia, responsible for supervising pretrial defendants, became an independent entity within CSOSA with its own budget and organizational structure. The mission of CSOSA is to increase public safety, prevent crime, reduce recidivism and support the fair administration of justice in close collaboration with the community.

The CSOSA appropriation supports the Community Supervision Program and the Pretrial Services Agency.

Community Supervision Program.—This activity provides supervision in the community of adult offenders on probation, parole, or supervised release, consistent with a crime prevention strategy that emphasizes public safety and successful reintegration. The Community Supervision Program employs an integrated system of close supervision, routine drug testing, graduated sanctions, treatment, transitional housing and other offender support services, including community and faith-based collaborations. The activity also develops and provides the courts and

the U.S. Parole Commission with critical information for probation, parole and supervised release decisions. The Budget proposes additional resources to relocate an offender supervision field office.

Pretrial Services Agency.—This activity assists judicial officers in both the D.C. Superior Court and the U.S. District Court for District of Columbia by formulating release recommendations and providing supervision and treatment services to defendants that reasonably assure that those on conditional release return to court and do not engage in criminal activity pending their trial and/or sentencing. The Pretrial Services Agency is further responsible for enforcing conditions of release, conducting drug testing, administering graduated sanctions, referring defendants to treatment and other social services, and reporting to the courts defendants' compliance with their conditions of release.

Object Classification (in millions of dollars)

Identification code 95–1734–0–1–752		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	98	102	103
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	2	2	2
11.9	Total personnel compensation	101	105	106
12.1	Civilian personnel benefits	38	38	39
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	5	7	8
23.2	Rental payments to others	10	8	8
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.1	Advisory and assistance services	8	6	6
25.2	Other services from non-Federal sources	34	34	34
25.3	Other goods and services from Federal sources	1	1	1
25.4	Operation and maintenance of facilities	1	1	1
25.6	Medical care	2	2	2
25.7	Operation and maintenance of equipment	1		
26.0	Supplies and materials	3	3	3
31.0	Equipment	3	3	4
32.0	Land and structures		1	
99.0	Direct obligations	211	213	216
99.0	Reimbursable obligations	2		
99.9	Total new obligations	213	213	216

Employment Summary

Identification code 95–1734–0–1–752		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	1,228	1,289	1,296

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE

For salaries and expenses, including the transfer and hire of motor vehicles, of the District of Columbia Public Defender Service, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, ⟦$37,241,000⟧ *$39,376,000*: *Provided*, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of Federal agencies*: Provided further, That, notwithstanding section 1342 of title 31, United States Code, and in addition to the authority provided by District of Columbia Code section 2–1607(b), upon approval of the Board of Trustees, the District of Columbia Public Defender Service may accept and use voluntary and uncompensated (gratuitous) services for the purpose of aiding or facilitating the work of the District of Columbia Public Defender Service. (District of Columbia Appropriations Act, 2012)*

Program and Financing (in millions of dollars)

Identification code 95–1733–0–1–754		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Public Defender Service	38	37	39
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3	2	2
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	37	37	39
1160	Appropriation, discretionary (total)	37	37	39
1930	Total budgetary resources available	40	39	41
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	2	2
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	5	5	5
3030	Obligations incurred, unexpired accounts	38	37	39
3040	Outlays (gross)	–38	–37	–39
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	5	5	5
3100	Obligated balance, end of year (net)	5	5	5
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	37	37	39
	Outlays, gross:			
4010	Outlays from new discretionary authority	34	33	35
4011	Outlays from discretionary balances	4	4	4
4020	Outlays, gross (total)	38	37	39
4180	Budget authority, net (total)	37	37	39
4190	Outlays, net (total)	38	37	39

The Public Defender Service for the District of Columbia (PDS) is a federally funded, independent organization governed by an eleven-member Board of Trustees. PDS was created in 1970 by a federal statute (Pub. L. No. 91–358, Title III, Sec. 301(1970); see also D.C. Code Sec. 2–1601, et seq., 2001 ed.) implementing the constitutional mandate to provide criminal defense counsel for individuals who cannot afford to hire a lawyer (Gideon v. Wainwright, 372 U.S. 335 (1963)). PDS's mission is to provide and promote quality legal representation to indigent adults and children facing a loss of liberty in the District of Columbia justice system and thereby protect society's interest in the fair administration of justice.

PDS specializes in representation in the most complex and resource-intensive criminal and delinquency cases. PDS also represents individuals facing involuntary civil commitment in the District's mental health system or parole revocation for D.C. Code offenses.

Object Classification (in millions of dollars)

Identification code 95–1733–0–1–754		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	21	22	23
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	22	23	24
12.1	Civilian personnel benefits	6	5	5
23.2	Rental payments to others	2	1	2
23.3	Communications, utilities, and miscellaneous charges	2	2	2
25.1	Advisory and assistance services	1	1	1
25.2	Other services from non-Federal sources	3	2	2
25.3	Other goods and services from Federal sources	1	2	2
26.0	Supplies and materials	1	1	1
99.9	Total new obligations	38	37	39

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE—Continued

Employment Summary

Identification code 95–1733–0–1–754	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	232	232	232

DEFENSE NUCLEAR FACILITIES SAFETY BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100–456, section 1441, [$29,130,000] *$29,415,000*, to remain available until September 30, [2013: *Provided*, That within 90 days of enactment of this Act, the Defense Nuclear Facilities Safety Board shall enter into an agreement for inspector general services with the Office of Inspector General for the Nuclear Regulatory Commission for fiscal years 2012 and 2013: *Provided further*, That at the expiration of such agreement, the Defense Nuclear Facilities Safety Board shall procure inspector general services annually thereafter] *2014*. *(Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–3900–0–1–999	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	27	29	30
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4		1
1021 Recoveries of prior year unpaid obligations		1	
1050 Unobligated balance (total)	4	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	23	29	29
1160 Appropriation, discretionary (total)	23	29	29
1930 Total budgetary resources available	27	30	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		1	
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	6	6	6
3030 Obligations incurred, unexpired accounts	27	29	30
3040 Outlays (gross)	–27	–28	–30
3080 Recoveries of prior year unpaid obligations, unexpired		–1	
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	6	6	6
3100 Obligated balance, end of year (net)	6	6	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23	29	29
Outlays, gross:			
4010 Outlays from new discretionary authority	23	22	22
4011 Outlays from discretionary balances	4	6	8
4020 Outlays, gross (total)	27	28	30
4180 Budget authority, net (total)	23	29	29
4190 Outlays, net (total)	27	28	30

The Defense Nuclear Facilities Safety Board, authorized by Public Law 100–456, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (DOE). The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. In addition, the National Defense Authorization Act for 1992 and 1993 (Public Law 102–190) expanded the Board's jurisdiction to include facilities and activities involved with the assembly, disassembly, and testing of nuclear weapons. The Board is also responsible for investigating any event or practice at a defense nuclear facility that has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to protect both public and employee health and safety.

Object Classification (in millions of dollars)

Identification code 95–3900–0–1–999	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	16	17
12.1 Civilian personnel benefits	4	5	5
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	1	1	1
99.0 Direct obligations	26	28	29
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	27	29	30

Employment Summary

Identification code 95–3900–0–1–999	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	109	118	120

DELTA REGIONAL AUTHORITY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Delta Regional Authority and to carry out its activities, as authorized by the Delta Regional Authority Act of 2000, as amended, notwithstanding sections 382C(b)(2), 382F(d), 382M, and 382N of said Act, [$11,677,000] *$11,315,000*, to remain available until expended. *(Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–0750–0–1–452	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	20	13	11
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	1	5
1021 Recoveries of prior year unpaid obligations		5	1
1050 Unobligated balance (total)	9	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	12	11
1160 Appropriation, discretionary (total)	12	12	11
1930 Total budgetary resources available	21	18	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	5	6
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	27	35	19
3030 Obligations incurred, unexpired accounts	20	13	11
3040 Outlays (gross)	–12	–24	–21
3080 Recoveries of prior year unpaid obligations, unexpired		–5	–1
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	35	19	8

3100	Obligated balance, end of year (net)	35	19	8
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	12	12	11
	Outlays, gross:			
4010	Outlays from new discretionary authority	3	12	11
4011	Outlays from discretionary balances	9	12	10
4020	Outlays, gross (total)	12	24	21
4180	Budget authority, net (total)	12	12	11
4190	Outlays, net (total)	12	24	21

The Delta Regional Authority (DRA) was established as a Federal-State partnership to assist the eight-state, 252 county/parish Mississippi Delta region in obtaining the economic development essential to create and sustain strong local economies.

In 2013, DRA will continue to focus on multi-state planning and the facilitation of regional investments towards its statutory mission, with specific emphases on and investments into:

— Projects, initiatives and developments of region-wide import or impact;

— Small business development and entrepreneurship; and

— Innovative green-economy related job creation and retention.

Object Classification (in millions of dollars)

Identification code 95–0750–0–1–452		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
41.0	Grants, subsidies, and contributions	19	12	10
99.9	Total new obligations	20	13	11

Employment Summary

Identification code 95–0750–0–1–452		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	5	5	5

DENALI COMMISSION

Federal Funds

DENALI COMMISSION

For expenses of the Denali Commission including the purchase, construction, and acquisition of plant and capital equipment as necessary and other expenses, **[**$10,679,000**]** *$10,165,000*, to remain available until expended, notwithstanding the limitations contained in section 306(g) of the Denali Commission Act of 1998: *Provided*, That funds shall be available for construction projects in an amount not to exceed 80 percent of total project cost for distressed communities, as defined by section 307 of the Denali Commission Act of 1998 (division C, title III, Public Law 105–277), as amended by section 701 of appendix D, title VII, Public Law 106–113 (113 Stat. 1501A-280), and an amount not to exceed 50 percent for non-distressed communities. *(Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–1200–0–1–452		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0101	Direct program activity		12	10
0801	Reimbursable program activity	35	35	35
0900	Total new obligations	35	47	45
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	23	1	6
1021	Recoveries of prior year unpaid obligations	6	5	5
1050	Unobligated balance (total)	29	6	11
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	11	12	10
1121	Appropriations transferred from other accts [69–1134]	5	5	
1131	Unobligated balance of appropriations permanently reduced	–15		
1160	Appropriation, discretionary (total)	1	17	10
	Spending authority from offsetting collections, discretionary:			
1700	Collected	6	30	30
1750	Spending auth from offsetting collections, disc (total)	6	30	30
1900	Budget authority (total)	7	47	40
1930	Total budgetary resources available	36	53	51
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	6	6
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	123	97	54
3030	Obligations incurred, unexpired accounts	35	47	45
3040	Outlays (gross)	–55	–85	–55
3080	Recoveries of prior year unpaid obligations, unexpired	–6	–5	–5
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	97	54	39
3100	Obligated balance, end of year (net)	97	54	39
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	7	47	40
	Outlays, gross:			
4010	Outlays from new discretionary authority	9	21	27
4011	Outlays from discretionary balances	46	64	28
4020	Outlays, gross (total)	55	85	55
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–6	–30	–30
4180	Budget authority, net (total)	1	17	10
4190	Outlays, net (total)	49	55	25

The Denali Commission was established by the Denali Commission Act of 1998 (P.L. 105–277) and is composed of seven members including the Federal Co-Chair. The Commission's mission is to promote and provide sustainable infrastructure improvement, job training, and other economic development services that improve health, safety, and economic self-sufficiency within rural communities in Alaska. In 2013, the Commission will continue to coordinate cost-shared utilities and infrastructure projects with a focus on the most distressed communities. The 2013 Budget proposes to continue a 50% matching requirement to the Commission's funding of construction projects. This provision, common to other Federal regional economic development agencies, ensures that communities have a stake in their Commission-funded projects. Grants to distressed communities will have a lower matching requirement (20%). This match may be provided by the State of Alaska. In order to improve performance measures, in 2013 the Commission will continue to place an emphasis on gathering output and outcome results from its program partners and grantees.

Object Classification (in millions of dollars)

Identification code 95–1200–0–1–452		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
41.0	Grants, subsidies, and contributions		11	9
99.0	Direct obligations	1	12	10
99.0	Reimbursable obligations	34	35	35
99.9	Total new obligations	35	47	45

DENALI COMMISSION—Continued

Employment Summary

Identification code 95–1200–0–1–452	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	17	12	12

Trust Funds

DENALI COMMISSION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 95–8056–0–7–452	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0101 Direct program activity	8	8	4
0900 Total new obligations (object class 41.0)	8	8	4
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	.....	.....
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	7	8	4
1160 Appropriation, discretionary (total)	7	8	4
1930 Total budgetary resources available	8	8	4
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	11	10	.....
3030 Obligations incurred, unexpired accounts	8	8	4
3040 Outlays (gross)	–9	–18	–4
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	10	.....	.....
3100 Obligated balance, end of year (net)	10	.....	.....
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	8	4
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	8	4
4011 Outlays from discretionary balances	9	10	.....
4020 Outlays, gross (total)	9	18	4
4180 Budget authority, net (total)	7	8	4
4190 Outlays, net (total)	9	18	4

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105–277) established the annual transfer of interest from the Oil Spill Liability Trust Fund to the Denali Commission. The Denali Commission, in consultation with the Coast Guard, developed a program in which these funds are to be used to repair or replace bulk fuel storage tanks in Alaska which are not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

DISTRICT OF COLUMBIA

DISTRICT OF COLUMBIA COURTS

Federal Funds

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS

For salaries and expenses for the District of Columbia Courts, [$232,841,000] *$219,651,000* to be allocated as follows: for the District of Columbia Court of Appeals, [$12,830,000] *$13,118,000*, of which not to exceed [$2,500] *$1,500* is for official reception and representation expenses; for the District of Columbia Superior Court, [$114,209,000] *$111,746,000*, of which not to exceed [$2,500] *$1,500* is for official reception and representation expenses; for the District of Columbia Court System, [$66,712,000] *$66,037,000*, of which not to exceed [$2,500] *$1,500* is for official reception and representation expenses; and [$39,090,000] *$28,750,000*, to remain available until September 30, [2013] *2014*, for capital improvements for District of Columbia courthouse facilities: *Provided*, That funds made available for capital improvements shall be expended consistent with the District of Columbia Courts master plan study and building evaluation report: *Provided further*, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That, 30 days after providing written notice to the Committees on Appropriations of the House of Representatives and the Senate, the District of Columbia Courts may reallocate not more than $3,000,000 of the funds provided under this heading among the items and entities funded under this heading but no such allocation shall be increased by more than [10] *4* percent. *(District of Columbia Appropriations Act, 2012)*

Program and Financing (in millions of dollars)

Identification code 95–1712–0–1–806	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Court of Appeals	11	13	13
0002 Superior Court	107	115	113
0003 Court system	65	67	66
0004 Capital improvements	109	52	14
0900 Total new obligations	292	247	206
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	55	3	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	243	233	220
1121 Appropriations transferred from other accts [95–1736]	.....	10	.....
1160 Appropriation, discretionary (total)	243	243	220
Spending authority from offsetting collections, discretionary:			
1700 Collected	.....	1	1
1750 Spending auth from offsetting collections, disc (total)	.....	1	1
1900 Budget authority (total)	243	244	221
1930 Total budgetary resources available	298	247	221
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3	.....	.....
1941 Unexpired unobligated balance, end of year	3	.....	15
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	138	166	177
3011 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	–1	.....	.....
3020 Obligated balance, start of year (net)	137	166	177
3030 Obligations incurred, unexpired accounts	292	247	206
3031 Obligations incurred, expired accounts	4	.....	.....
3040 Outlays (gross)	–265	–236	–246
3051 Change in uncollected pymts, Fed sources, expired	1	.....	.....
3081 Recoveries of prior year unpaid obligations, expired	–3	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	166	177	137
3100 Obligated balance, end of year (net)	166	177	137
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	243	244	221
Outlays, gross:			
4010 Outlays from new discretionary authority	167	161	146
4011 Outlays from discretionary balances	98	75	100
4020 Outlays, gross (total)	265	236	246
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–2	.....	.....
4033 Non-Federal sources	–2	–1	–1
4040 Offsets against gross budget authority and outlays (total)	–4	–1	–1
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	4	.....	.....
4070 Budget authority, net (discretionary)	243	243	220
4080 Outlays, net (discretionary)	261	235	245
4180 Budget authority, net (total)	243	243	220
4190 Outlays, net (total)	261	235	245

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts. This Federal payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court, the Court System, and the Capital Improvement Program. Capital improvements include establishing a permanent home for the D.C. Family Court in the Moultrie Courthouse, as well as modernization and renovation work on several other buildings in Judiciary Square.

The 2013 Budget provides resources to support the Courts' core functions, as well as resources for the Capital Improvement Program to provide much needed general facility maintenance.

By law, the annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding the District of Columbia Courts. The President's recommended level of $220 million includes: $191 million for District of Columbia Court of Appeals, Superior Court of the District of Columbia, and the District of Columbia Court System operations and $29 million for capital improvements for District courthouse facilities. Under a separate transmittal to the Congress, the District of Columbia Courts are requesting $336 million: $191 million for operations and $145 million for capital improvements.

Object Classification (in millions of dollars)

Identification code 95–1712–0–1–806		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.8	Personnel compensation: Special personal services payments	106	112	111
12.1	Civilian personnel benefits	26	27	27
21.0	Travel and transportation of persons	1	1	1
23.2	Rental payments to others	4	5	5
23.3	Communications, utilities, and miscellaneous charges	8	10	9
25.1	Advisory and assistance services	36	18	17
25.2	Other services from non-Federal sources	19	9	8
25.3	Other goods and services from Federal sources	1	1	1
25.4	Operation and maintenance of facilities	7	4	4
25.7	Operation and maintenance of equipment	5	2	2
26.0	Supplies and materials	3	2	2
31.0	Equipment	3	3	4
32.0	Land and structures	73	52	14
99.0	Direct obligations	292	246	205
99.0	Reimbursable obligations	.....	1	1
99.9	Total new obligations	292	247	206

FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

[(INCLUDING TRANSFER OF FUNDS)]

For payments authorized under section 11–2604 and section 11–2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Court of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Official Code, or pursuant to contractual agreements to provide guardian ad litem representation, training, technical assistance, and such other services as are necessary to improve the quality of guardian ad litem representation, payments for counsel appointed in adoption proceedings under chapter 3 of title 16, D.C. Official Code, and payments authorized under section 21–2060, D.C. Official Code (relating to services provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), [$55,000,000] *$49,890,000*, to remain available until expended: *Provided*, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: *Provided further*, That, notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies[: *Provided further*, That not more than $10,000,000 of the funds provided in this account may be transferred to, and merged with, funds made available under the heading "Federal Payment to the District of Columbia Courts" for District of Columbia courthouse facilities]. *(District of Columbia Appropriations Act, 2012)*

Program and Financing (in millions of dollars)

Identification code 95–1736–0–1–806		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	51	45	50
0900	Total new obligations (object class 25.2)	51	45	50
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	11	15	15
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	55	55	50
1120	Appropriations transferred to other accts [95–1712]	.....	–10	.....
1160	Appropriation, discretionary (total)	55	45	50
1930	Total budgetary resources available	66	60	65
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	15	15	15
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	25	27	13
3030	Obligations incurred, unexpired accounts	51	45	50
3040	Outlays (gross)	–49	–59	–60
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	27	13	3
3100	Obligated balance, end of year (net)	27	13	3
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	55	45	50
	Outlays, gross:			
4010	Outlays from new discretionary authority	48	34	38
4011	Outlays from discretionary balances	1	25	22
4020	Outlays, gross (total)	49	59	60
4180	Budget authority, net (total)	55	45	50
4190	Outlays, net (total)	49	59	60

The District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation under three Defender Services programs: the Criminal Justice Act (CJA) program, which provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect (CCAN) program, which provides court-appointed attorneys for family proceedings in which child neglect is alleged, or where the termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; the Guardianship program, which provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as transcripts of court proceedings, expert witness testimony, foreign and sign language interpretation, and investigations and genetic testing. The President's recommended funding level for Defender Services is $50 million. Under a separate transmittal to the Congress, the Courts are also requesting $50 million for Defender Services.

CRIME VICTIMS COMPENSATION FUND

The D.C. Superior Court has a Crime Victims Compensation Program that assists innocent victims of violent crime and their families with crime-related expenses such as funeral and burial

CRIME VICTIMS COMPENSATION FUND—Continued

costs, medical and mental health costs, lost wages, loss of support and services, clean-up of a crime scene, and the cost of temporary shelter for victims of domestic violence or arson. Through the services of a victim advocate, crime victims receive assistance in filing applications; locating victim service programs, support groups, or mental health counselors; and handling quality of life issues that arise after victimization. Monies in the fund consist of assessments imposed upon criminal defendants, a grant from the U.S. Department of Justice Office for Victims of Crime, and a portion of the general revenue of the court. Of any unobligated balances remaining in the Fund at the end of each year, 50 percent is made available to the D.C. Courts for direct compensation to crime victims and 50 percent is transferred to the District of Columbia for outreach activities.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Program and Financing (in millions of dollars)

Identification code 20–1713–0–1–752		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Payment to Judicial Retirement Fund	9	10	9
0900	Total new obligations (object class 42.0)	9	10	9
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	9	10	9
1260	Appropriations, mandatory (total)	9	10	9
1930	Total budgetary resources available	9	10	9
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	9	10	9
3040	Outlays (gross)	–9	–10	–9
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	9	10	9
	Outlays, gross:			
4100	Outlays from new mandatory authority	9	10	9
4180	Budget authority, net (total)	9	10	9
4190	Outlays, net (total)	9	10	9

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of amounts necessary to amortize the original unfunded liability over 30 years, the net experienced gain or loss over 10 years, and any other changes in actuarial liability over 20 years; and amounts necessary to fund the normal cost and covered administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers those amounts to the Judicial Fund through an expenditure transfer.

Trust Funds

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20–8212–0–7–602		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	127	130	133
	Adjustments:			
0190	Adjustment - rounding	–1	.....	.....
0199	Balance, start of year	126	130	133
	Receipts:			
0200	Deductions from Employees Salaries, District of Columbia Judicial Retirement and Survivors Annuity Fund	1	1	1
0240	Earnings on Investments, District of Columbia Judicial Retirement and Survivors Annuity Fund	4	3	3
0241	Federal Payments, D.C. Judicial Retirement and Survivors Annuity	9	10	10
0299	Total receipts and collections	14	14	14
0400	Total: Balances and collections	140	144	147
	Appropriations:			
0500	District of Columbia Judicial Retirement and Survivors Annuity Fund	–14	–14	–14
0501	District of Columbia Judicial Retirement and Survivors Annuity Fund	4	3	3
0599	Total appropriations	–10	–11	–11
0799	Balance, end of year	130	133	136

Program and Financing (in millions of dollars)

Identification code 20–8212–0–7–602		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Retirement payments	9	10	10
0002	Administrative Costs	1	1	1
0900	Total new obligations	10	11	11
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	14	14	14
1235	Appropriations precluded from obligation	–4	–3	–3
1260	Appropriations, mandatory (total)	10	11	11
1930	Total budgetary resources available	10	11	11
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	.....
3030	Obligations incurred, unexpired accounts	10	11	11
3040	Outlays (gross)	–10	–12	–11
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	.....	.....
3100	Obligated balance, end of year (net)	1	.....	.....
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	10	11	11
	Outlays, gross:			
4100	Outlays from new mandatory authority	10	11	11
4101	Outlays from mandatory balances	.....	1	.....
4110	Outlays, gross (total)	10	12	11
4180	Budget authority, net (total)	10	11	11
4190	Outlays, net (total)	10	12	11
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	128	132	135
5001	Total investments, EOY: Federal securities: Par value	132	135	138

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund) to pay retirement benefits for District of Columbia judges and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out the responsibilities regarding such retirement benefits. The Judicial Fund consists of: amounts contributed by the judges; proceeds of accumulated pension assets transferred from the District of Columbia and liquidated, pursuant to the Act; income earned from the investment of the assets in public debt securities; and amounts appropriated to the Fund.

Object Classification (in millions of dollars)

Identification code 20–8212–0–7–602		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.2	Other services from non-Federal sources	1	1	1
42.0	Payments to annuitants	9	10	10
99.9	Total new obligations	10	11	11

Employment Summary

Identification code 20–8212–0–7–602		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	2	2	2

DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

The District of Columbia annually receives direct Federal payments for a number of local programs in recognition of the District's unique status as the seat of the Federal Government. These General and Special Payments are separate from and in addition to the District's local budget, which is funded through local revenues. Consistent with the principle of home rule, it is the Administration's view that the District's local budget should be authorized to take effect without a separate annual Federal appropriations bill. The Administration will work with Congress and the Mayor to pass legislation to amend the D.C. Home Rule Act to provide the District with local budget autonomy.

Federal Funds

FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, [$30,000,000] *$35,100,000*, to remain available until expended: *Provided*, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to $2,500 each year at eligible private institutions of higher education: *Provided further*, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: *Provided further*, That the District of Columbia government shall maintain a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: *Provided further*, That the account shall be under the control of the District of Columbia Chief Financial Officer, who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: *Provided further*, That the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations of the House of Representatives and the Senate for these funds showing, by object class, the expenditures made and the purpose therefor. *(District of Columbia Appropriations Act, 2012)*

Program and Financing (in millions of dollars)

Identification code 20–1736–0–1–502		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	35	30	35
0900	Total new obligations (object class 41.0)	35	30	35
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	35	30	35
1160	Appropriation, discretionary (total)	35	30	35
1930	Total budgetary resources available	35	30	35
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	35	30	35
3040	Outlays (gross)	–35	–30	–35
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	35	30	35
	Outlays, gross:			
4010	Outlays from new discretionary authority	35	30	35
4180	Budget authority, net (total)	35	30	35
4190	Outlays, net (total)	35	30	35

The D.C. Tuition Assistance Grant program enables students from the District of Columbia to attend eligible public universities and colleges nationwide at in-state tuition rates. The program also provides grants for students to attend private institutions in the D.C. metropolitan area or private historically Black colleges and universities nationwide, as well as public 2-year community colleges. To date, the Tuition Assistance Grant program has assisted over 17,950 students.

FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT

For a Federal payment for a school improvement program in the District of Columbia, $60,000,000, to remain available until expended, [for payments] *as* authorized under the Scholarship for Opportunity and Results Act (division C of Public Law 112–10)*, to be allocated as follows: for the District of Columbia Public Schools, $36,600,000 to improve public school education in the District of Columbia; for the State Education Office, $23,400,000 to expand quality public charter schools in the District of Columbia. (Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 20–1817–0–1–501		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Department of Education allocation account	16	20	.....
0002	DC public schools	42	20	37
0003	DC public charter schools	20	20	23
0900	Total new obligations	78	60	60
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	78	60	60
1160	Appropriation, discretionary (total)	78	60	60
1930	Total budgetary resources available	78	60	60
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	78	60	60
3040	Outlays (gross)	–78	–60	–60
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	78	60	60
	Outlays, gross:			
4010	Outlays from new discretionary authority	78	60	60
4180	Budget authority, net (total)	78	60	60
4190	Outlays, net (total)	78	60	60

The 2013 Budget provides $60 million to support kindergarten through high school education in the District of Columbia. This includes $36.6 million for D.C. public schools in continued support of the District's efforts to transform its public education system into an innovative and high-achieving system that could be used as a model for urban districts across the nation. The Budget also provides $23.4 million for D.C. charter schools to support facilities and other unmet needs. The conditions for receipt and accountability regarding the use of these funds will be consistent with

FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT—Continued

those set under the Scholarship for Opportunity and Results Act (division C of Public Law 112–10). The Budget does not provide new funds for the D.C. Opportunity Scholarship program, a private school voucher program re-authorized in 2011. Based on the amount of available program funding carried forward from prior fiscal years, the program has sufficient funds to provide private school vouchers to currently enrolled students through the 2013–2014 school year as well as to make new awards to replace a significant portion of spaces that become available through attrition during that timeframe.

Object Classification (in millions of dollars)

Identification code 20–1817–0–1–501		2011 actual	2012 est.	2013 est.
41.0	Direct obligations: Grants, subsidies, and contributions	62	60	60
41.0	Allocation Account - direct: Grants, subsidies, and contributions	16		
99.9	Total new obligations	78	60	60

FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA WATER AND SEWER AUTHORITY

For a Federal payment to the District of Columbia Water and Sewer Authority, [$15,000,000] *$11,500,000*, to remain available until expended, to continue implementation of the Combined Sewer Overflow Long-Term Plan: *Provided*, That the District of Columbia Water and Sewer Authority provides a 100 percent match for this payment.

FEDERAL PAYMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL

For a Federal payment to the Criminal Justice Coordinating Council, $1,800,000, to remain available until expended, to support initiatives related to the coordination of Federal and local criminal justice resources in the District of Columbia.

FEDERAL PAYMENT FOR JUDICIAL COMMISSIONS

For a Federal payment, to remain available until September 30, [2013] *2014*, to the Commission on Judicial Disabilities and Tenure, $295,000, and for the Judicial Nomination Commission, $205,000.

FEDERAL PAYMENT FOR THE DISTRICT OF COLUMBIA NATIONAL GUARD

For a Federal payment to the District of Columbia National Guard, [$375,000] *$500,000*, to remain available until expended [for the Major General David F. Wherley, Jr. District of Columbia National Guard Retention and College Access Program].

FEDERAL PAYMENT FOR TESTING AND TREATMENT OF HIV/AIDS

For a Federal payment to the District of Columbia for the testing of individuals for, and the treatment of individuals with, human immunodeficiency virus and acquired immunodeficiency syndrome in the District of Columbia, $5,000,000.

FEDERAL PAYMENT FOR REDEVELOPMENT OF THE ST. ELIZABETHS HOSPITAL CAMPUS

For a Federal Payment to the District of Columbia, $9,800,000, for activities to support redevelopment efforts at the site of the former St. Elizabeths Hospital in the District of Columbia.

FEDERAL PAYMENT FOR D.C. COMMISSION ON THE ARTS AND HUMANITIES GRANTS

For a Federal payment to the District of Columbia Commission on the Arts and Humanities, $2,500,000, to fund competitively-awarded grants for non-profit fine and performing arts organizations based in and primarily serving the District of Columbia.

FEDERAL PAYMENT FOR JOB TRAINING PROGRAMS

For a Federal Payment to the District of Columbia, $2,000,000, to fund pilot programs to support workforce development efforts in the District of Columbia. (District of Columbia Appropriations Act, 2012)

Program and Financing (in millions of dollars)

Identification code 20–1707–0–1–999		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Water and Sewer Authority	11	15	11
0003	Criminal Justice Coordinating Council	2	2	2
0004	DC National Guard			1
0005	Permanent Supportive Housing	10		
0019	Judicial Commissions	1	1	1
0024	St. Elizabeths Redevelopment			9
0025	HIV/AIDS Prevention		5	5
0026	Arts and Cultural Affairs Grants			3
0027	Job Training Programs			2
0900	Total new obligations (object class 41.0)	24	23	34
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	24	23	34
1160	Appropriation, discretionary (total)	24	23	34
1930	Total budgetary resources available	24	23	34
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	24	23	34
3040	Outlays (gross)	–24	–23	–34
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	24	23	34
	Outlays, gross:			
4010	Outlays from new discretionary authority	24	23	34
4180	Budget authority, net (total)	24	23	34
4190	Outlays, net (total)	24	23	34

The Budget includes $9.8 million for infrastructure improvements related to redevelopment of the St. Elizabeths East Campus. The Budget provides $5 million to fund the D.C. Department of Health's continued efforts to prevent the spread of HIV/AIDS in the District. This funding will allow the District to focus on service saturation in areas of combined high risk and high poverty in order to ensure that ward-level counseling and testing, prevention, and treatment services are readily available and fully utilized. Funding will also be used to bolster social marketing and outreach campaigns for these important public health programs. The Budget includes $11.5 million for D.C. Water to support critical infrastructure needs and $2.5 million for grants to D.C. based fine and performing arts organizations. The Budget also provides $2 million to support new job training programs in the District.

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA

For a Federal payment of necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, [$14,900,000] *$24,700,000*, to remain available until expended and in addition any funds that remain available from prior year appropriations under this heading for the District of Columbia Government, for the costs of providing public safety at events related to the presence of the national capital in the District of Columbia, including support requested by the Director of the United States Secret Service Division in carrying out protective duties under the direction of the Secretary of Homeland Security, and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions*: Provided: That, of the amount provided under this heading, at least $9,800,000 shall be used for costs associated with the Presidential Inauguration. (District of Columbia Appropriations Act, 2012)*

Program and Financing (in millions of dollars)

Identification code 20–1771–0–1–806	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Emergency Planning Fund	15	15	15
0002 Planning for the 57th Presidential Inauguration	.....	.....	10
0900 Total new obligations (object class 41.0)	15	15	25
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	15	25
1160 Appropriation, discretionary (total)	15	15	25
1930 Total budgetary resources available	15	15	25
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	15	15	25
3040 Outlays (gross)	–15	–15	–25
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	15	15	25
Outlays, gross:			
4010 Outlays from new discretionary authority	15	15	25
4180 Budget authority, net (total)	15	15	25
4190 Outlays, net (total)	15	15	25

The 2013 Budget includes $24.7 million for emergency planning and security costs related to the presence of the Federal Government in the District of Columbia, including the upfront costs associated with the Presidential Inauguration and the costs for providing support requested by the Director of the U.S. Secret Service.

Federal Payment to the District of Columbia Pension Fund

Program and Financing (in millions of dollars)

Identification code 20–1714–0–1–601	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Payment to Federal Pension Fund	492	489	469
0900 Total new obligations (object class 42.0)	492	489	469
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	492	489	469
1260 Appropriations, mandatory (total)	492	489	469
1930 Total budgetary resources available	492	489	469
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	492	489	469
3040 Outlays (gross)	–492	–489	–469
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	492	489	469
Outlays, gross:			
4100 Outlays from new mandatory authority	492	489	469
4180 Budget authority, net (total)	492	489	469
4190 Outlays, net (total)	492	489	469

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), requires the Secretary of the Treasury to make payments at the end of each fiscal year from the General Fund of the Treasury into the District of Columbia Federal Pension Fund. This account receives the annual payments from the General Fund and immediately transfers those amounts to the District of Columbia Federal Pension Fund. Annual payments consist of amounts necessary to amortize the original unfunded liability over 30 years, the net experienced gain or loss over 10 years, and any other changes in actuarial liability over 20 years; and amounts necessary to fund covered administrative expenses for the year.

District of Columbia Federal Pension Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20–5511–0–2–601	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	3,601	3,591	3,591
Adjustments:			
0190 Adjustment - rounding	–1	.....	.....
0199 Balance, start of year	3,600	3,591	3,591
Receipts:			
0240 Federal Contribution, DC Federal Pension Fund	492	489	469
0241 Earnings on Investments, DC Federal Pension Fund	41	83	94
0299 Total receipts and collections	533	572	563
0400 Total: Balances and collections	4,133	4,163	4,154
Appropriations:			
0500 District of Columbia Federal Pension Fund	–533	–572	–563
0501 District of Columbia Federal Pension Fund	–9	.....	.....
0599 Total appropriations	–542	–572	–563
0799 Balance, end of year	3,591	3,591	3,591

Program and Financing (in millions of dollars)

Identification code 20–5511–0–2–601	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Retirement payments	527	531	537
0002 Administrative costs	19	20	17
0900 Total new obligations	546	551	554
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	.....	21
1021 Recoveries of prior year unpaid obligations	4	.....	.....
1050 Unobligated balance (total)	4	.....	21
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	533	572	563
1203 Appropriation (previously unavailable)	9	.....	.....
1260 Appropriations, mandatory (total)	542	572	563
1900 Budget authority (total)	542	572	563
1930 Total budgetary resources available	546	572	584
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	.....	21	30
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	58	60	.....
3030 Obligations incurred, unexpired accounts	546	551	554
3040 Outlays (gross)	–540	–611	–554
3080 Recoveries of prior year unpaid obligations, unexpired	–4	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	60	.....	.....
3100 Obligated balance, end of year (net)	60	.....	.....
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	542	572	563
Outlays, gross:			
4100 Outlays from new mandatory authority	538	551	554
4101 Outlays from mandatory balances	2	60	.....
4110 Outlays, gross (total)	540	611	554
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total)	542	572	563
4190 Outlays, net (total)	540	611	554
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	3,666	3,659	3,680
5001 Total investments, EOY: Federal securities: Par value	3,659	3,680	3,689

DISTRICT OF COLUMBIA FEDERAL PENSION FUND—Continued

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Federal Pension Fund to pay retirement benefits for District of Columbia firefighters, police officers, and teachers, and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The District of Columbia Federal Pension Fund consists of: amounts deposited into the Fund; amounts appropriated to the Fund; and income earned from the investment of the assets in public debt securities.

Object Classification (in millions of dollars)

Identification code 20–5511–0–2–601		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
25.2	Other services from non-Federal sources	17	18	15
42.0	Payments to annuitants	527	531	537
99.9	Total new obligations	546	551	554

Employment Summary

Identification code 20–5511–0–2–601		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	15	15	15

FEDERAL PAYMENT FOR WATER AND SEWER SERVICES

Program and Financing (in millions of dollars)

Identification code 20–4446–0–3–806		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Reimbursable program activity	52	52	56
0900	Total new obligations (object class 23.3)	52	52	56
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	52	52	56
1850	Spending auth from offsetting collections, mand (total)	52	52	56
1930	Total budgetary resources available	52	52	56
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	52	52	56
3040	Outlays (gross)	–52	–52	–56
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	52	52	56
	Outlays, gross:			
4100	Outlays from new mandatory authority	52	52	56
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources		–52	–56
4123	Non-Federal sources	–52		
4130	Offsets against gross budget authority and outlays (total)	–52	–52	–56

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the D.C. Water and Sewer Authority, DCWASA) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency is required to pay 25 percent of its estimated yearly bill each quarter by depositing its payment into this account. If an agency fails to pay its obligation on time, the Treasury Department is authorized to pay the full Government-wide bill, making up the difference through a permanent, indefinite appropriation which must then be reimbursed by the appropriate agencies.

Object Classification (in millions of dollars)

Identification code 20–4446–0–3–806		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
23.3	Communications, utilities, and miscellaneous charges	52	52	56
99.0	Reimbursable obligations	52	52	56

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

		2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:				
95–322070	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts		1	1
General Fund Offsetting receipts from the public			1	1

TITLE VIII—GENERAL PROVISIONS—DISTRICT OF COLUMBIA

(INCLUDING TRANSFER OF FUNDS)

SEC. 801. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government.

SEC. 802. None of the Federal funds provided in this Act shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 803. (a) None of the Federal funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year [2012] *2013*, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditures for an agency through a reprogramming of funds which—

(1) creates new programs;

(2) eliminates a program, project, or responsibility center;

(3) establishes or changes allocations specifically denied, limited or increased under this Act;

(4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted;

(5) re-establishes any program or project previously deferred through reprogramming;

(6) augments any existing program, project, or responsibility center through a reprogramming of funds in excess of $3,000,000 or 10 percent, whichever is less; or

(7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center,

unless the Committees on Appropriations of the House of Representatives and the Senate are notified in writing 15 days in advance of the reprogramming.

(b) The District of Columbia government is authorized to approve and execute reprogramming and transfer requests of local funds under this title through November 1, [2012] *2013*.

SEC. 804. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3–171; D.C. Official Code, sec. 1–123).

SEC. 805. Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to

provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this section, the term "official duties" does not include travel between the officer's or employee's residence and workplace, except in the case of—

(1) an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or a District of Columbia government employee as may otherwise be designated by the Chief of the Department;

(2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day or is otherwise designated by the Fire Chief;

(3) at the discretion of the Director of the Department of Corrections, an officer or employee of the District of Columbia Department of Corrections who resides in the District of Columbia and is on call 24 hours a day or is otherwise designated by the Director;

(4) the Mayor of the District of Columbia; and

(5) the Chairman of the Council of the District of Columbia.

SEC. 806. (a) None of the Federal funds contained in this Act may be used by the District of Columbia Attorney General or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

(b) Nothing in this section bars the District of Columbia Attorney General from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

SEC. 807. None of the Federal funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 808. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

SEC. 809. [Hereafter, as] *As* part of the submission of the annual budget justification, the Mayor of the District of Columbia shall submit to the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Oversight and Government Reform of the House of Representatives, and the Committee on Homeland Security and Governmental Affairs of the Senate a report addressing—

(1) crime, including the homicide rate, implementation of community policing, and the number of police officers on local beats;

(2) access to substance and alcohol abuse treatment, including the number of treatment slots, the number of people served, the number of people on waiting lists, and the effectiveness of treatment programs, the retention rates in treatment programs, and the recidivism/re-arrest rates for treatment participants;

(3) education, including access to special education services and student achievement to be provided in consultation with the District of Columbia Public Schools, repeated grade rates, high school graduation rates, and post-secondary education attendance rates;

(4) improvement in basic District services, including rat control and abatement; and

(5) application for and management of Federal grants, including the number and type of grants for which the District was eligible but failed to apply and the number and type of grants awarded to the District but for which the District failed to spend the amounts received.

SEC. 810. None of the Federal funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative.

SEC. 811. None of the *Federal* funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 812. (a) No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council of the District of Columbia, a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42), for all agencies of the District of Columbia government for fiscal year [2012] *2013* that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) This section shall apply only to an agency for which the Chief Financial Officer for the District of Columbia certifies that a reallocation is required to address unanticipated changes in program requirements.

SEC. 813. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council for the District of Columbia, a revised appropriated funds operating budget for the District of Columbia Public Schools that aligns schools budgets to actual enrollment. The revised appropriated funds budget shall be in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, Sec. 1–204.42).

SEC. 814. Amounts appropriated in this Act as operating funds may be transferred to the District of Columbia's enterprise and capital funds and such amounts, once transferred, shall retain appropriation authority consistent with the provisions of this Act.

SEC. 815. Notwithstanding any other laws, [for this and succeeding fiscal years,] the Director of the District of Columbia Public Defender Service shall, to the extent the Director considers appropriate, provide representation for and hold harmless, or provide liability insurance for, any person who is an employee, member of the Board of Trustees, or officer of the District of Columbia Public Defender Service for money damages arising out of any claim, proceeding, or case at law relating to the furnishing of representational services or management services or related services while acting within the scope of that person's office or employment, including, but not limited to such claims, proceedings, or cases at law involving employment actions, injury, loss of liberty, property damage, loss of property, or personal injury, or death arising from malpractice or negligence of any such officer or employee.

[SEC. 816. Section 346 of the District of Columbia Appropriations Act, 2005 (Public Law 108–335) is amended—

(1) in the title, by striking "BIENNIAL";

(2) in subsection (a), by striking "Biennial management" and inserting "Management";

(3) in subsection (a), by striking "States." and inserting "States every five years."; and

(4) in subsection (b)(6), by striking "2" and inserting "5".]

SEC. [817]*816*. Except as expressly provided otherwise, any reference to "this Act" contained in this title or in title IV shall be treated as referring only to the provisions of this title or of title IV.

SEC. 817. Section 446 of the Home Rule Act (D.C. Official Code sec. 1–204.46) is amended by adding the following at the end of its fourth sentence, before the period ": Provided, That, notwithstanding any other provision of this Act, effective for fiscal year 2013, and for each succeeding fiscal year, during a period in which there is an absence of a federal appropriations act authorizing the expenditure of District of Columbia local funds, the District of Columbia may obligate and expend local funds for programs and activities at the rate set forth in the Budget Request Act adopted by the Council, or a reprogramming adopted pursuant to this section." (Financial Services and General Government Appropriations Act, 2012.)

ELECTION ASSISTANCE COMMISSION

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the Help America Vote Act of 2002 (Public Law 107–252), $11,500,000, of which $2,750,000 shall be trans-

SALARIES AND EXPENSES—Continued

ferred to the National Institute of Standards and Technology for election reform activities authorized under the Help America Vote Act of 2002[, and of which $1,250,000 shall be for the Office of Inspector General]. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–1650–0–1–808	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Election Assistance Commission	10	9	9
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	16	12	12
1120 Transferred to other accounts [13–0500]	–3	–3	–3
1160 Appropriation, discretionary (total)	13	9	9
1930 Total budgetary resources available	13	9	9
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	5	4	2
3030 Obligations incurred, unexpired accounts	10	9	9
3031 Obligations incurred, expired accounts	1		
3040 Outlays (gross)	–11	–11	–9
3081 Recoveries of prior year unpaid obligations, expired	–1		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	4	2	2
3100 Obligated balance, end of year (net)	4	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	13	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority	8	7	7
4011 Outlays from discretionary balances	3	4	2
4020 Outlays, gross (total)	11	11	9
4180 Budget authority, net (total)	13	9	9
4190 Outlays, net (total)	11	11	9

The Election Assistance Commission is responsible for assisting State and local efforts to enhance election equipment, improve the administration of Federal elections, and meet minimum voting standards established by the Help America Vote Act of 2002 (P.L. 107–252). Of the amounts proposed for 2013, $2.75 million will be transferred to the National Institute of Standards and Technology to continue its work to support the Technical Guidelines Development Committee in developing a comprehensive set of testing guidelines for voting system hardware and software.

Object Classification (in millions of dollars)

Identification code 95–1650–0–1–808	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	4	4
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons		1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	1	1	1
25.5 Research and development contracts	1	1	1
99.9 Total new obligations	10	9	9

Employment Summary

Identification code 95–1650–0–1–808	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	48	38	29

ELECTION REFORM PROGRAMS

Program and Financing (in millions of dollars)

Identification code 95–1651–0–1–808	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 HAVA Grants to States	10		
0900 Total new obligations (object class 41.0)	10		
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	2	2
1930 Total budgetary resources available	12	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	116	31	7
3030 Obligations incurred, unexpired accounts	10		
3040 Outlays (gross)	–95	–24	–5
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	31	7	2
3100 Obligated balance, end of year (net)	31	7	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	95	24	5
4190 Outlays, net (total)	95	24	5

The Budget does not provide additional resources for election reform grants to States. The Election Assistance Commission is responsible for distributing grant funding in accordance with the requirements of the Help America Vote Act of 2002, and for auditing the use of grant funding once it has been distributed. To date, the Federal government has provided over $3.2 billion in support to States for election administration modernization and improvement.

ELECTION DATA COLLECTION GRANTS

Program and Financing (in millions of dollars)

Identification code 95–1652–0–1–808	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	2	1	1
3080 Recoveries of prior year unpaid obligations, unexpired	–1		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1	1	1
3100 Obligated balance, end of year (net)	1	1	1

ELECTRIC RELIABILITY ORGANIZATION

Federal Funds

ELECTRIC RELIABILITY ORGANIZATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5522–0–2–276	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0200 Fees, Electric Reliability Organization	100	100	100
0400 Total: Balances and collections	100	100	100
Appropriations:			
0500 Electric Reliability Organization	–100	–100	–100
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–5522–0–2–276	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	100	100	100
0900 Total new obligations (object class 25.2)	100	100	100
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	100	100	100
1260 Appropriations, mandatory (total)	100	100	100
1930 Total budgetary resources available	100	100	100
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	100	100	
3030 Obligations incurred, unexpired accounts	100	100	100
3040 Outlays (gross)	–100	–200	–100
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	100		
3100 Obligated balance, end of year (net)	100		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	100	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority	100	100	100
4101 Outlays from mandatory balances		100	
4110 Outlays, gross (total)	100	200	100
4180 Budget authority, net (total)	100	100	100
4190 Outlays, net (total)	100	200	100

The Energy Policy Act of 2005 (P.L. 109–58) authorizes the Federal Energy Regulatory Commission (FERC) to certify an Electric Reliability Organization (ERO) to establish and enforce reliability standards for the electric bulk-power system. These standards include requirements for operating existing bulk-power system facilities, including cybersecurity protection, and design of planned additions or modifications to these facilities to provide for reliable operation, but does not include requirements to construct new transmission or generation capacity. On July 20, 2006, FERC certified the North American Electric Reliability Corporation as the ERO. ERO is funded by fees on end users of the bulk-power system. Since the ERO does not report budget data to Treasury, ERO funding is based on estimates.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Equal Pay Act of 1963, the Americans with Disabilities Act of 1990, the Civil Rights Act of 1991, the Genetic Information Non-Discrimination Act (GINA) of 2008 (Public Law 110–233), the ADA Amendments Act of 2008 (Public Law 110–325), and the Lilly Ledbetter Fair Pay Act of 2009 (Public Law 111–2), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); nonmonetary awards to private citizens; and [$29,500,000] *up to $29,500,000* for payments to State and local enforcement agencies for authorized services to the Commission, [$360,000,000] *$373,711,000*: *Provided*, That the Commission is authorized to make available for official reception and representation expenses not to exceed $2,250 from available funds: *Provided further*, [That the Commission may take no action to implement any workforce repositioning, restructuring, or reorganization until such time as the Committees on Appropriations have been notified of such proposals, in accordance with the reprogramming requirements of section 505 of this Act: *Provided further*,] That the Chair is authorized to accept and use any gift or donation to carry out the work of the Commission. *(Commerce, Justice, Science, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 45–0100–0–1–751	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Private sector	288	284	294
0002 Federal sector	49	46	50
0003 State and local	29	30	30
0900 Total new obligations	366	360	374
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	367	360	374
1130 Appropriations permanently reduced	–1		
1160 Appropriation, discretionary (total)	366	360	374
1930 Total budgetary resources available	366	360	374
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	64	42	47
3030 Obligations incurred, unexpired accounts	366	360	374
3031 Obligations incurred, expired accounts	1		
3040 Outlays (gross)	–384	–355	–372
3081 Recoveries of prior year unpaid obligations, expired	–5		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	42	47	49
3100 Obligated balance, end of year (net)	42	47	49
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	366	360	374
Outlays, gross:			
4010 Outlays from new discretionary authority	333	313	325
4011 Outlays from discretionary balances	51	42	47
4020 Outlays, gross (total)	384	355	372
4180 Budget authority, net (total)	366	360	374
4190 Outlays, net (total)	384	355	372

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967; the Equal Pay Act of 1963; the Americans with Disabilities Act of 1990; the Civil Rights Act of 1991; the Genetic Information Non-Discrimination Act (GINA) of 2008; the ADA Amendments Act of 2008; the Lilly Ledbetter

SALARIES AND EXPENSES—Continued

Fair Pay Act of 2009; and in the Federal sector only, section 501 of the Rehabilitation Act of 1963. These acts prohibit employment discrimination based on race, sex, religion, national origin, age, disability status, or genetic information. EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

TOTAL WORKLOAD

	2011 actual	2012 est.	2013 est.
Private sector enforcement	190,635	179,326	177,498
Federal sector program			
Hearings	15,709	15,356	15,620
Appeals	8,847	9,513	10,315
Total workload	215,191	204,195	203,433

The 2013 Budget for EEOC aligns the agency's staffing and funding request with the Strategic Plan, Strategic Objective, Justice and Opportunity and Inclusive Workplaces. The structure of this budget is based on our Strategic Plan to continue our standards of providing quality service to the public through enforcement and prevention activities. EEOC will continue to make the agency more accessible and responsive to citizens' needs through business process reform and the infusion of new technologies. EEOC's enforcement responsibilities are in two areas; the private sector and the Federal sector.

Private sector.—EEOC addresses equal employment opportunity in several ways. The agency investigates charges alleging employment discrimination; makes findings on the allegations; resolves charges through mediation; negotiates settlement or conciliation; and litigates cases of employment discrimination by enforcing compliance with existing laws and regulations. The priority for agency resources continues to be litigating systemic cases and maintaining a manageable inventory of cases.

PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS

Workload/Workflow	2011 actual	2012 est.	2013 est.
Total pending	88,945	78,136	77,799
Total receipts	99,947	99,447	97,956
Net FEPA transfers/deferrals	1,743	1,743	1,743
Total workload	190,635	179,326	177,498
Resolutions:			
Successful mediation	9,831	7,824	7,332
From contract	1,312	276	276
From staff	8,519	7,548	7,056
Administrative enforcement resolutions	102,668	93,703	92,791
Total resolutions	112,499	101,527	100,123
Pending ending	78,136	77,799	77,375

State and Local Program.—EEOC contracts with Fair Employment Practices Agencies (FEPAs) that are responsible for addressing employment discrimination within their respective State and local jurisdictions. In addition, the agency works with Tribal Employment Rights Organizations (TEROs) to promote employment opportunities for Native Americans on or near a reservation.

STATE AND LOCAL WORKLOAD PROJECTIONS

Workload	2011 actual	2012 est.	2013 est.
Charges/complaints pending	49,833	48,716	50,298
Charges/complaints received	45,003	50,219	50,219
Total Workload	94,836	98,935	100,517
Charges/complaints resolved	44,377	46,894	48,970
Charges/complaints deferred to EEOC	1,743	1,743	1,743
Charges/complaints pending ending	48,716	50,298	49,804

Federal sector.—EEOC holds hearings on complaints of discrimination filed in Federal agencies; decides appeals of complaints of discriminations; and engages in activities to prevent or remove discriminatory barriers to employment opportunities in the Federal Government.

FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS

Workload	2011 actual	2012 est.	2013 est.
Hearings pending	7,758	8,037	8,301
Hearings requests received	8,113	7,457	7,457
Hearings requests consolidated after initial processing	(162)	(138)	(138)
Total workload	15,709	15,356	15,620
Hearings resolved	7,672	7,055	6,793
Hearings pending ending	8,037	8,301	8,827

FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS

Workload	2011 actual	2012 est.	2013 est.
Appeals pending	3,671	4,337	5,139
Appeals received	5,176	5,176	5,176
Total workload	8,847	9,513	10,315
Appeals resolved	4,510	4,374	3,906
Appeals pending ending	4,337	5,139	6,409

Object Classification (in millions of dollars)

Identification code 45–0100–0–1–751		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	206	196	201
11.3	Other than full-time permanent	2	2	3
11.5	Other personnel compensation	3	2	2
11.9	Total personnel compensation	211	200	206
12.1	Civilian personnel benefits	60	60	60
21.0	Travel and transportation of persons	4	4	4
23.1	Rental payments to GSA	28	29	31
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	5	5	5
25.2	Other services from non-Federal sources	52	56	62
26.0	Supplies and materials	4	4	4
31.0	Equipment	1	1	1
99.9	Total new obligations	366	360	374

Employment Summary

Identification code 45–0100–0–1–751		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	2,491	2,340	2,340

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 45–4019–0–3–751		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Reimbursable program activity	4	4	4
0809	Reimbursable program activities, subtotal	4	4	4
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3	3	3
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	4	4	4
1850	Spending auth from offsetting collections, mand (total)	4	4	4
1930	Total budgetary resources available	7	7	7
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	3	3
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	3
3030	Obligations incurred, unexpired accounts	4	4	4
3040	Outlays (gross)	–4	–2	–2
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	3	5

3100	Obligated balance, end of year (net)	1	3	5
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	4	4	4
	Outlays, gross:			
4100	Outlays from new mandatory authority	1	1	1
4101	Outlays from mandatory balances	3	1	1
4110	Outlays, gross (total)	4	2	2
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–2		
4123	Non-Federal sources	–2	–4	–4
4130	Offsets against gross budget authority and outlays (total)	–4	–4	–4
4170	Outlays, net (mandatory)		–2	–2
4190	Outlays, net (total)		–2	–2

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the EEOC.

Object Classification (in millions of dollars)

Identification code 45–4019–0–3–751		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	3	1	1
25.2	Other services from non-Federal sources	1	3	3
99.9	Total new obligations	4	4	4

Employment Summary

Identification code 45–4019–0–3–751		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	14	14	14

EXPORT-IMPORT BANK OF THE UNITED STATES

Federal Funds

INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[**$4,000,000**]** *$4,400,000*, to remain available until September 30, **[**2013**]** *2014*. *(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 83–0105–0–1–155		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0009	Administrative Expenses	2	4	4
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	2	4	4
1160	Appropriation, discretionary (total)	2	4	4
1930	Total budgetary resources available	3	5	5
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1		1
3030	Obligations incurred, unexpired accounts	2	4	4
3040	Outlays (gross)	–3	–3	–4
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		1	1
3100	Obligated balance, end of year (net)		1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	2	4	4
	Outlays, gross:			
4010	Outlays from new discretionary authority	1	3	3
4011	Outlays from discretionary balances	2		1
4020	Outlays, gross (total)	3	3	4
4180	Budget authority, net (total)	2	4	4
4190	Outlays, net (total)	3	3	4

Object Classification (in millions of dollars)

Identification code 83–0105–0–1–155		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
25.2	Other services from non-Federal sources		2	2
99.9	Total new obligations	2	4	4

Employment Summary

Identification code 83–0105–0–1–155		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	10	12	12

PROGRAM ACCOUNT

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of the enactment of this Act**[**: *Provided further*, That not less than 10 percent of the aggregate loan, guarantee, and insurance authority available to the Export-Import Bank under this Act should be used for renewable energy technologies or end-use energy efficiency technologies: *Provided further*, That notwithstanding section 1(c) of Public Law 103–428, as amended, sections 1(a) and (b) of Public Law 103–428 shall remain in effect through October 1, 2012: *Provided further*, That notwithstanding the dates specified in section 7 of the Export-Import Bank Act of 1945 (12 U.S.C. 6350 and section 1(c) of Public Law 103–428), the Export-Import Bank of the United States shall continue to exercise its functions in connection with and in furtherance of its objects and purposes through May 31, 2012**]**.

SUBSIDY APPROPRIATION

For the cost of direct loans, loan guarantees, insurance, and tied-aid grants as authorized by section 10 of the Export-Import Bank Act of 1945, as amended, not to exceed **[**$58,000,000**]** *$38,000,000*: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such funds shall remain available until September 30, **[**2027**]** *2028*, for the disbursement of direct loans, loan guarantees, insurance and tied-aid grants obligated in fiscal years **[**2012,**]** 2013, 2014, **[**and**]** 2015*, and 2016*: *Provided further*, That none of the funds appropriated by this Act or any prior Acts appropriating funds for the Department of State, foreign operations, and related programs for tied-aid credits or grants may be used for any other purpose except through the regular notification procedures of the Committees on Appropriations.

ADMINISTRATIVE EXPENSES

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed $30,000 for official reception and representation expenses for members of the Board of Directors, not to exceed **[**$89,900,000**]** *$103,900,000, to remain*

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued

available until September 30, 2014: *Provided*, That the Export-Import Bank may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: *Provided further*, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until [October 1, 2012] *September 30, 2014*: *Provided further*, That the Export-Import Bank shall charge fees for necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal, financial, or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, or systems infrastructure directly supporting transactions: *Provided further*, That, in addition to other funds appropriated for administrative expenses, such fees shall be credited to this account, to remain available until expended.

RECEIPTS COLLECTED

Receipts collected pursuant to the Export-Import Bank Act of 1945, as amended, and the Federal Credit Reform Act of 1990, as amended, in an amount not to exceed the amount appropriated herein, shall be credited as offsetting collections to this account: *Provided*, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by such offsetting collections so as to result in a final fiscal year appropriation from the General Fund estimated at $0: *Provided further*, That amounts collected in fiscal year [2012] *2013* in excess of obligations, up to $50,000,000, shall become available on September 1, [2012] *2013*, and shall remain available until September 30, [2015] *2016*. *(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 83–0100–0–1–155	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	7	8	8
0702 Loan guarantee subsidy	59	58	38
0705 Reestimates of direct loan subsidy	406	517	.....
0706 Interest on reestimates of direct loan subsidy	141	180	.....
0707 Reestimates of loan guarantee subsidy	134	38	.....
0708 Interest on reestimates of loan guarantee subsidy	37	58	.....
0709 Administrative expenses	84	90	104
0715 Other	4	29	31
0900 Total new obligations	872	978	181
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	679	953	836
1021 Recoveries of prior year unpaid obligations	5	.....	.....
1050 Unobligated balance (total)	684	953	836
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	718	793	.....
1260 Appropriations, mandatory (total)	718	793	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected	702	1	1
1700 Offsetting collections (Subsidy)	.....	377	450
1700 Offsetting collections (Admin Expense)	.....	90	104
1722 Spending authority from offsetting collections permanently reduced	–275	–400	.....
1750 Spending auth from offsetting collections, disc (total)	427	68	555
1900 Budget authority (total)	1,145	861	555
1930 Total budgetary resources available	1,829	1,814	1,391
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–4	.....	.....
1941 Unexpired unobligated balance, end of year	953	836	1,210
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	107	98	102
3030 Obligations incurred, unexpired accounts	872	978	181
3040 Outlays (gross)	–860	–974	–152
3080 Recoveries of prior year unpaid obligations, unexpired	–5	.....	.....
3081 Recoveries of prior year unpaid obligations, expired	–16	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	98	102	131
3100 Obligated balance, end of year (net)	98	102	131
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	427	68	555
Outlays, gross:			
4010 Outlays from new discretionary authority	152	54	145
4011 Outlays from discretionary balances	670	127	7
4020 Outlays, gross (total)	822	181	152
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–702	–468	–555
Mandatory:			
4090 Budget authority, gross	718	793	.....
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	793	.....
4101 Outlays from mandatory balances	38	.....	.....
4110 Outlays, gross (total)	38	793	.....
4180 Budget authority, net (total)	443	393	.....
4190 Outlays, net (total)	158	506	–403

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 83–0100–0–1–155	2011 actual	2012 est.	2013 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Loans: Export Financing	6,323	.....	.....
115002 Direct Loans: Tied Aid War Chest	.....	25	25
115999 Total direct loan levels	6,323	25	25
Direct loan subsidy (in percent):			
132001 Direct Loans: Export Financing	–12.76	.....	.....
132002 Direct Loans: Tied Aid War Chest	.....	32.99	30.08
132999 Weighted average subsidy rate	–12.76	32.99	30.08
Direct loan subsidy budget authority:			
133001 Direct Loans: Export Financing	–807	.....	.....
133002 Direct Loans: Tied Aid War Chest	.....	8	8
133999 Total subsidy budget authority	–807	8	8
Direct loan subsidy outlays:			
134001 Direct Loans: Export Financing	13	.....	.....
134999 Total subsidy outlays	13	.....	.....
Direct loan upward reestimates:			
135001 Direct Loans: Export Financing	547	697	.....
135999 Total upward reestimate budget authority	547	697	.....
Direct loan downward reestimates:			
137001 Direct Loans: Export Financing	–28	–178	.....
137999 Total downward reestimate budget authority	–28	–178	.....
Guaranteed loan levels supportable by subsidy budget authority:			
215004 Long Term Guarantees	16,002	21,054	26,332
215005 Medium Term Guarantees	182	510	215
215006 Short Term Insurance	9,981	9,789	7,161
215007 Medium Term Insurance	239	341	341
215008 Working Capital Fund	.....	.....	2,900
215999 Total loan guarantee levels	26,404	31,694	36,949
Guaranteed loan subsidy (in percent):			
232004 Long Term Guarantees	–1.77	–1.68	–4.12
232005 Medium Term Guarantees	4.91	4.91	3.99
232006 Short Term Insurance	0.26	0.12	0.23
232007 Medium Term Insurance	10.06	6.09	3.88
232008 Working Capital Fund	.....	.....	0.00
232999 Weighted average subsidy rate	–0.85	–0.93	–2.83
Guaranteed loan subsidy budget authority:			
233004 Long Term Guarantees	–284	–353	–1,087
233005 Medium Term Guarantees	9	25	8
233006 Short Term Insurance	26	12	17
233007 Medium Term Insurance	24	21	13
233999 Total subsidy budget authority	–225	–295	–1,049
Guaranteed loan subsidy outlays:			
234004 Long Term Guarantees	.....	–270	–364
234005 Medium Term Guarantees	19	25	8
234006 Short Term Insurance	16	12	17
234007 Medium Term Insurance	7	21	13

234999	Total subsidy outlays	42	–212	–326
	Guaranteed loan upward reestimates:			
235003	Guarantee and Insurance Reestimates	171	96	.....
235999	Total upward reestimate budget authority	171	96	.....
	Guaranteed loan downward reestimates:			
237003	Guarantee and Insurance Reestimates	–726	–513	.....
237999	Total downward reestimate subsidy budget authority	–726	–513	.....
	Administrative expense data:			
3510	Budget authority	84	90	104
3580	Outlays from balances	10	10	10
3590	Outlays from new authority	74	80	94

The purpose of the Export-Import Bank (Ex-Im Bank or the Bank) is to sustain U.S. jobs by financing U.S. exports. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee, and insurance programs. The Bank is actively assisting small- and medium-sized businesses.

The 2013 Budget estimates that the Bank's export credit support will total $37.0 billion, and will be funded entirely by receipts collected from the Bank's customers. The Bank estimates it will collect $555.4 million in 2013 in receipts in excess of expected losses on transactions authorized in 2013 and prior years. These amounts will be used to: 1) cover the estimated costs for that portion of new authorizations where fees are insufficient to cover expected losses in an amount not to exceed $38.0 million, and 2) to cover administrative expenses in an amount not to exceed $103.9 million, of which $20.5 million is for technology expenses, and $10.0 million is continued support for small business development efforts. Amounts collected in fiscal year 2013 in excess of obligations, up to $50.0 million, shall become available on September 1, 2013 and shall remain available until September 30, 2016. Any excess above $50.0 million will be deposited in the General Fund of the Treasury.

As required by the Federal Credit Reform Act of 1990, this account records, for Ex-Im Bank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 83–0100–0–1–155		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	44	48	56
12.1	Civilian personnel benefits	13	14	16
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	6	7	9
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	15	15	15
26.0	Supplies and materials	1	1	1
31.0	Equipment	2	2	4
41.0	Grants, subsidies, and contributions	788	888	77
99.9	Total new obligations	872	978	181

Employment Summary

Identification code 83–0100–0–1–155		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	382	409	471

Debt Reduction Financing Account

Program and Financing (in millions of dollars)

Identification code 83–4028–0–3–155		2011 actual	2012 est.	2013 est.
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	10	10	.....
1022	Capital transfer of unobligated balances to general fund	–10	–10	.....
	Financing authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Offsetting collections (repayments)	10	3	3
1800	Offsetting collections (interest)	12	.....	.....
1820	Capital transfer of spending authority from offsetting collections to general fund	–12	–3	–3
1850	Spending auth from offsetting collections, mand (total)	10	.....	.....
1930	Total budgetary resources available	10	.....	.....
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	10	.....	.....
	Financing authority and disbursements, net:			
	Mandatory:			
4090	Financing authority, gross	10	.....	.....
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources - Principal	–10	–2	–2
4123	Non-Federal sources - Interest	–12	–1	–1
4130	Offsets against gross financing auth and disbursements (total)	–22	–3	–3
4160	Financing authority, net (mandatory)	–12	–3	–3
4170	Financing disbursements, net (mandatory)	–22	–3	–3
4180	Financing authority, net (total)	–12	–3	–3
4190	Financing disbursements, net (total)	–22	–3	–3

Status of Direct Loans (in millions of dollars)

Identification code 83–4028–0–3–155		2011 actual	2012 est.	2013 est.
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	839	113	111
1251	Repayments: Repayments and prepayments	–2	–2	–2
1263	Write-offs for default: Direct loans	–724	.....	.....
1290	Outstanding, end of year	113	111	109

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

Balance Sheet (in millions of dollars)

Identification code 83–4028–0–3–155		2010 actual	2011 actual
	ASSETS:		
	Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	839	113
1405	Allowance for subsidy cost (-)	–839	–113
1499	Net present value of assets related to direct loans	.....	.....
1999	Total upward reestimate subsidy BA [11–0091]	.....	.....

Export-Import Bank Direct Loan Financing Account

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 2013.

Program and Financing (in millions of dollars)

Identification code 83–4161–0–3–155	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0003 Other obligations	6	10	10
Credit program obligations:			
0710 Direct loan obligations	6,323	25	25
0713 Payment of interest to Treasury	439	550	625
0740 Negative subsidy obligations	807	.....	.....
0742 Downward reestimate paid to receipt account	12	135	.....
0743 Interest on downward reestimates	17	43	.....
0791 Direct program activities, subtotal	7,598	753	650
0900 Total new obligations	7,604	763	660
Budgetary Resources:			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations	45	1	1
1050 Unobligated balance (total)	45	1	1
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	6,612	25	25
1440 Borrowing authority, mandatory (total)	6,612	25	25
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash)	1,674	1,947	1,515
1820 Capital transfer of spending authority from offsetting collections to general fund	–10	.....	.....
1825 Spending authority from offsetting collections applied to repay debt	–717	–1,210	–881
1850 Spending auth from offsetting collections, mand (total)	947	737	634
1900 Financing authority(total)	7,559	762	659
1930 Total budgetary resources available	7,604	763	660
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	5,496	9,572	10,309
3030 Obligations incurred, unexpired accounts	7,604	763	660
3040 Financing disbursements (gross)	–3,483	–25	–25
3080 Recoveries of prior year unpaid obligations, unexpired	–45	–1	–1
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	9,572	10,309	10,943
3100 Obligated balance, end of year (net)	9,572	10,309	10,943
Financing authority and disbursements, net:			
Mandatory:			
4090 Financing authority, gross	7,559	762	659
Financing disbursements:			
4110 Financing disbursements, gross	3,483	25	25
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Upward reestimate	–560	–697	.....
4122 Interest on uninvested funds	–139	–150	–200
4123 Repayments and prepayments	–975	–1,100	–1,315
4130 Offsets against gross financing auth and disbursements (total)	–1,674	–1,947	–1,515
4160 Financing authority, net (mandatory)	5,885	–1,185	–856
4170 Financing disbursements, net (mandatory)	1,809	–1,922	–1,490
4180 Financing authority, net (total)	5,885	–1,185	–856
4190 Financing disbursements, net (total)	1,809	–1,922	–1,490

Status of Direct Loans (in millions of dollars)

Identification code 83–4161–0–3–155	2011 actual	2012 est.	2013 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation	6,323	25	25
1150 Total direct loan obligations	6,323	25	25
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	6,888	8,110	7,450
1231 Disbursements: Direct loan disbursements	2,590	25	25
1251 Repayments: Repayments and prepayments	–975	–675	–675
1263 Write-offs for default: Direct loans	–393	–10	–10
1290 Outstanding, end of year	8,110	7,450	6,790

Balance Sheet (in millions of dollars)

Identification code 83–4161–0–3–155	2010 actual	2011 actual
ASSETS:		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	6,888	8,110
1402 Interest receivable	178	129
1405 Allowance for subsidy cost (-)	–1,214	–1,538
1499 Net present value of assets related to direct loans	5,852	6,701
1999 Total assets	5,852	6,701
LIABILITIES:		
2103 Federal liabilities: Debt	5,852	6,701
4999 Total upward reestimate subsidy BA [83–0100]	5,852	6,701

Export-Import Bank Guaranteed Loan Financing Account

Program and Financing (in millions of dollars)

Identification code 83–4162–0–3–155	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0003 Payment Certificates	6	25	10
0004 Other claim expenses	4	10	5
0091 Subtotal	10	35	15
Credit program obligations:			
0711 Default claim payments on principal	139	202	126
0740 Negative subsidy obligations	284	353	1,087
0742 Downward reestimate paid to receipt account	504	350	.....
0743 Interest on downward reestimates	222	163	.....
0791 Direct program activities, subtotal	1,149	1,068	1,213
0900 Total new obligations	1,159	1,103	1,228
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,545	1,274	1,150
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash)	891	979	1,058
1820 Capital transfer of spending authority from offsetting collections to general fund	–3	.....	.....
1850 Spending auth from offsetting collections, mand (total)	888	979	1,058
1930 Total budgetary resources available	2,433	2,253	2,208
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,274	1,150	980
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	14	2	606
3030 Obligations incurred, unexpired accounts	1,159	1,103	1,228
3040 Financing disbursements (gross)	–1,171	–499	–499
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	2	606	1,335
3100 Obligated balance, end of year (net)	2	606	1,335
Financing authority and disbursements, net:			
Mandatory:			
4090 Financing authority, gross	888	979	1,058
Financing disbursements:			
4110 Financing disbursements, gross	1,171	499	499
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Sources: Payments from program account	–42	–58	–38
4120 Federal sources: upward reestimate	–171	–96	.....
4122 Interest on uninvested funds	–52	–75	–120
4123 Fees, premiums, claim recoveries	–626	–750	–900

4130	Offsets against gross financing auth and disbursements (total)	–891	–979	–1,058
4160	Financing authority, net (mandatory)	–3		
4170	Financing disbursements, net (mandatory)	280	–480	–559
4180	Financing authority, net (total)	–3		
4190	Financing disbursements, net (total)	280	–480	–559

Status of Guaranteed Loans (in millions of dollars)

Identification code 83–4162–0–3–155	2011 actual	2012 est.	2013 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	26,404	31,694	36,949
2150 Total guaranteed loan commitments	26,404	31,694	36,949
2199 Guaranteed amount of guaranteed loan commitments	26,404	31,694	36,949
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	44,809	48,861	57,509
2231 Disbursements of new guaranteed loans	17,892	21,500	26,750
2251 Repayments and prepayments	–13,701	–12,650	–14,150
2263 Adjustments: Terminations for default that result in claim payments	–139	–202	–193
2290 Outstanding, end of year	48,861	57,509	69,916
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	48,552	57,200	62,100

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 2013.

Balance Sheet (in millions of dollars)

Identification code 83–4162–0–3–155	2010 actual	2011 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	1,560	1,220
1999 Total assets	1,560	1,220
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees	1,560	1,220
4999 Total upward reestimate subsidy BA [83–0100]	1,560	1,220

Export-Import Bank of the United States Liquidating Account

Program and Financing (in millions of dollars)

Identification code 83–4027–0–3–155	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0006 Claim payments, gross	12	4	1
0900 Total new obligations (object class 33.0)	12	4	1
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	34	18	15
1820 Capital transfer of spending authority from offsetting collections to general fund	–22	–14	–14
1850 Spending auth from offsetting collections, mand (total)	12	4	1
1930 Total budgetary resources available	12	4	1
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	12	4	1
3040 Outlays (gross)	–12	–4	–1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	12	4	1
Outlays, gross:			
4100 Outlays from new mandatory authority	12	4	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–34	–18	–15
4180 Budget authority, net (total)	–22	–14	–14
4190 Outlays, net (total)	–22	–14	–14

Status of Direct Loans (in millions of dollars)

Identification code 83–4027–0–3–155	2011 actual	2012 est.	2013 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	575	479	461
1251 Repayments: Repayments and prepayments	–14	–18	–15
1263 Write-offs for default: Direct loans	–82		
1290 Outstanding, end of year	479	461	446

Status of Guaranteed Loans (in millions of dollars)

Identification code 83–4027–0–3–155	2011 actual	2012 est.	2013 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	9	5	1
2251 Repayments and prepayments	–4	–4	–1
2290 Outstanding, end of year	5	1	
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	5	1	
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	203	94	69
2351 Repayments of loans receivable	–109	–25	–25
2390 Outstanding, end of year	94	69	44

Operating results and financial condition.—The Ex-Im Bank is a wholly-owned Government corporation. Capital stock of $1 billion was purchased by the U.S. Treasury.

The Ex-Im Bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the Bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the Bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The Ex-Im Bank's Net Excess of Program Costs over Revenue $207.4 million in 2011. Total Government Net Position in the corporation was ($459.7) million on September 30, 2011.

As required by the Federal Credit Reform Act of 1990, this account records, for Ex-Im Bank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT—Continued

Balance Sheet (in millions of dollars)

Identification code 83–4027–0–3–155	2010 actual	2011 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury		
1601 Direct loans, gross	575	479
1602 Interest receivable		60
1603 Allowance for estimated uncollectible loans and interest (-)	–474	–389
1699 Value of assets related to direct loans	101	150
1701 Defaulted guaranteed loans, gross	203	94
1703 Allowance for estimated uncollectible loans and interest (-)	–177	–74
1799 Value of assets related to loan guarantees	26	20
1999 Total assets	127	170
LIABILITIES:		
Non-Federal liabilities:		
2203 Debt	15	50
2204 Liabilities for loan guarantees	1	
2207 Other	1	
2999 Total liabilities	17	50
NET POSITION:		
3300 Cumulative results of operations	1,000	1,000
3300 Cumulative results of operations	–890	–880
3999 Total net position	110	120
4999 Total liabilities and net position	127	170

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:			
83–272710 Export-Import Bank Loans, Negative Subsidies		270	364
83–272730 Export-Import Bank Loans, Downward Reestimates of Subsidies	756	691	
83–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	17		
General Fund Offsetting receipts from the public	773	961	364

FARM CREDIT ADMINISTRATION

Federal Funds

LIMITATION ON ADMINISTRATIVE EXPENSES

Not to exceed [$61,000,000] *$63,300,000* (from assessments collected from farm credit institutions, including the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided*, That this limitation shall not apply to expenses associated with receiverships. *(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 78–4131–0–3–351	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Reimbursable program activity	53	61	64
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	30	28
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	57	59	64
1850 Spending auth from offsetting collections, mand (total)	57	59	64
1930 Total budgetary resources available	83	89	92
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	28	28
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	9	9	11
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–1	–1	–1
3020 Obligated balance, start of year (net)	8	8	10
3030 Obligations incurred, unexpired accounts	53	61	64
3040 Outlays (gross)	–53	–59	–64
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	9	11	11
3091 Uncollected pymts, Fed sources, end of year	–1	–1	–1
3100 Obligated balance, end of year (net)	8	10	10
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	57	59	64
Outlays, gross:			
4100 Outlays from new mandatory authority	49	50	64
4101 Outlays from mandatory balances	4	9	
4110 Outlays, gross (total)	53	59	64
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–1	–1	–1
4121 Interest on Federal securities	–1	–1	–1
4123 Non-Federal sources	–55	–57	–62
4130 Offsets against gross budget authority and outlays (total)	–57	–59	–64
4170 Outlays, net (mandatory)	–4		
4190 Outlays, net (total)	–4		
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	33	36	34
5001 Total investments, EOY: Federal securities: Par value	36	34	34

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness and program compliance. The System is a cooperative agricultural credit system of farm credit banks and associations that lend to farmers, ranchers, and their cooperatives; farm-related businesses; rural homeowners; and rural utilities. FCA also performs the examination and general supervision of Farmer Mac. In addition, FCA examines the National Consumer Cooperative Bank, which is not a System institution.

As of October 1, 2011, the System was composed of four Farm Credit Banks, one Agricultural Credit Bank, 84 associations, five service corporations, the Federal Farm Credit Banks Funding Corporation, and Farmer Mac. However, as of January 1, 2012, one of the Farm Credit Banks merged into the Agricultural Credit Bank, which reduced the number of Farm Credit Banks to three.

Assessments based upon estimated administrative expenses are collected from institutions in the System, including Farmer Mac, and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the FCA Board. Section 6(f)(1) of the Inspector General Act of 1978, as amended (IG Act), requires an Inspector General (IG) to include specific information in the budget request the IG submits to the head of the department or designated Federal entity to which the IG reports. To fulfill the requirement of Section 6(f)(2) of the IG Act as it pertains to FCA, the FCA Board must in turn include this same information in the budget request that the Agency submits to the President.

The information that the IG Act requires to be included is provided below:

The aggregate budget request for the Office of Inspector General (OIG) is $1,155,117.

The amount needed for OIG training is $16,465 (tuition).

The amount needed to support the Council of the Inspectors General on Integrity and Efficiency is $3,300.

The FCA IG's budget request for 2013 is being submitted unchanged by the FCA Board.

Object Classification (in millions of dollars)

Identification code 78–4131–0–3–351		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	33	36	38
11.5	Other personnel compensation	1	2	2
11.9	Total personnel compensation	34	38	40
12.1	Civilian personnel benefits	10	12	13
21.0	Travel and transportation of persons	3	4	4
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	3	4	4
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
99.9	Total new obligations	53	61	64

Employment Summary

Identification code 78–4131–0–3–351		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	286	304	305

FARM CREDIT SYSTEM INSURANCE CORPORATION

Federal Funds

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 78–4171–0–3–351		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Farm credit system insurance fund	3	4	4
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3,092	3,221	3,364
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	129	147	157
1801	Change in uncollected payments, Federal sources	3	.....	.....
1850	Spending auth from offsetting collections, mand (total)	132	147	157
1930	Total budgetary resources available	3,224	3,368	3,521
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3,221	3,364	3,517
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–17	–20	–20
3020	Obligated balance, start of year (net)	–17	–20	–20
3030	Obligations incurred, unexpired accounts	3	4	4
3040	Outlays (gross)	–3	–4	–4
3050	Change in uncollected pymts, Fed sources, unexpired	–3	.....	.....
	Obligated balance, end of year (net):			
3091	Uncollected pymts, Fed sources, end of year	–20	–20	–20
3100	Obligated balance, end of year (net)	–20	–20	–20
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	132	147	157
	Outlays, gross:			
4100	Outlays from new mandatory authority	3	4	4
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4121	Interest on Federal securities	–49	–68	–71
4123	Non-Federal sources	–80	–79	–86
4130	Offsets against gross budget authority and outlays (total)	–129	–147	–157
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	–3	.....	.....
4170	Outlays, net (mandatory)	–126	–143	–153
4190	Outlays, net (total)	–126	–143	–153
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	3,086	3,212	3,423
5001	Total investments, EOY: Federal securities: Par value	3,212	3,423	3,570

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on insured System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same individuals as the Farm Credit Administration Board. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment portfolio. Insurance premiums are assessed on System banks based on the level of adjusted insured obligations outstanding at each bank. Congress established a secure base amount of 2 percent of adjusted outstanding insured System obligations, or such other amount determined by the Corporation's Board of Directors to be actuarially sound to maintain in the Insurance Fund. The Insurance Fund was above the secure base amount at September 30, 2011 at 2.15 percent. For 2011, the Corporation is assessing insurance premiums at 6 basis points on adjusted insured debt obligations and 10 basis points on non-accrual loans and other-than-temporarily impaired investments. Changes to the Corporation's premium authorities were included in the Food, Conservation, and Energy Act of 2008. The new authorities changed the assessment base from loans to adjusted insured obligations and raised the assessment limit to 20 basis points, plus an additional 10 basis points on non-accrual loans and other-than-temporarily impaired investments. In January 2012, the Corporation's Board will determine insurance premium rates for 2012.

The Insurance Fund is available for payment of insured System obligations if a System bank defaults on its primary liability. The Insurance Fund is also available to ensure the retirement of certain eligible borrower stock, and to pay the operating costs of the Corporation. The Corporation can exercise its authority to make loans, borrow, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Corporation has the authority to make refunds of excess Insurance Fund balances. Refunds are anticipated in 2012.

Balance Sheet (in millions of dollars)

Identification code 78–4171–0–3–351		2010 actual	2011 actual
	ASSETS:		
	Federal assets: Investments in US securities:		
1102	Treasury securities, par	3,112	3,262
	Non-Federal assets:		
1206	Accrued interest receivable	17	20
1206	Premium receivable	64	74
1999	Total assets	3,193	3,356
	NET POSITION:		
3300	Cumulative results of operations	3,193	3,356
4999	Total liabilities and net position	3,193	3,356

Object Classification (in millions of dollars)

Identification code 78–4171–0–3–351		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
25.3	Other goods and services from Federal sources	1	2	2
99.9	Total new obligations	3	4	4

FARM CREDIT SYSTEM INSURANCE FUND—Continued

Employment Summary

Identification code 78–4171–0–3–351	2011 actual	2012 est.	2013 est.
2001 Reimbursable civilian full-time equivalent employment	10	11	11

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901–5902; not to exceed $4,000 for official reception and representation expenses; purchase and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, [$339,844,000] *$346,782,000, to remain available until expended*: *Provided*, That [$339,844,000] *$346,782,000* of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, shall be retained and used for necessary expenses [in this appropriation,] and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year [2012] *2013* so as to result in a final fiscal year [2012] *2013* appropriation estimated at $0: *Provided further*, That any offsetting collections received in excess of [$339,844,000] *$346,782,000* in fiscal year [2012] *2013* shall not be available for obligation: *Provided further*, That remaining offsetting collections from prior years collected in excess of the amount specified for collection in each such year and otherwise becoming available on October 1, [2011] *2012*, shall not be available for obligation: *Provided further*, That, notwithstanding 47 U.S.C. 309(j)(8)(B), proceeds from the use of a competitive bidding system that may be retained and made available for obligation shall not exceed $85,000,000 for fiscal year [2012] *2013*: *Provided further*, That, of the amount appropriated under this heading, not less than [$9,750,000] *$8,750,338* shall be for the salaries and expenses of the Office of Inspector General. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 27–0100–0–1–376	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Reimbursable program activity	429	430	438
0809 Reimbursable program activities, subtotal	429	430	438
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	21	21
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	28	21	21
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (Reimbursables)	4	5	6
1700 Offsetting collections (Auctions)	85	85	85
1700 Offsetting collections (Reg Fees)	342	340	347
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	–6		
1750 Spending auth from offsetting collections, disc (total)	425	430	438
1930 Total budgetary resources available	453	451	459
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3		
1941 Unexpired unobligated balance, end of year	21	21	21
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	66	78	63
3030 New Obligations	429	430	438
3031 Obligations incurred, expired accounts	3		
3040 Outlays (gross)	–415	–445	–437
3080 Recoveries of prior year unpaid obligations, unexpired	–2		
3081 Recoveries of prior year unpaid obligations, expired	–3		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	78	63	64
3100 Obligated balance, end of year (net)	78	63	64
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	425	430	438
Outlays, gross:			
4010 Outlays from new discretionary authority	359	371	378
4011 Outlays from discretionary balances	56	74	59
4020 Outlays, gross (total)	415	445	437
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–11	–5	–6
4033 Non-Federal sources	–85	–85	–85
4034 Offsetting governmental collections	–336	–340	–347
4040 Offsets against gross budget authority and outlays (total)	–432	–430	–438
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	–6		
4080 Outlays, net (discretionary)	–17	15	–1
4180 Budget authority, net (total)	–6		
4190 Outlays, net (total)	–17	15	–1
Memorandum (non-add) entries:			
5090 Unavailable balance, SOY: Offsetting collections	60	66	66
5091 Unavailable balance, EOY: Offsetting collections	66	66	66

The Federal Communications Commission (FCC) works to ensure that rapid and efficient communications are available across the country at a reasonable cost. In support of this mission, the FCC's strategic goals include ensuring a competitive framework across communications services; promoting availability of broadband services in the marketplace through conducive regulatory policy; enhancing efficient and effective use of the non-Federal radio spectrum; promoting competition and diversity in media; supporting public safety and homeland security communications; and modernizing the agency to promote administrative efficiency and effectiveness. The 2013 Budget includes funding to help improve the interoperability of public safety communications, support FCC information technology upgrades, and enhance the Inspector General's oversight capabilities. Funding for the the Inspector General will not be less than $8,750,338.

Object Classification (in millions of dollars)

Identification code 27–0100–0–1–376	2011 actual	2012 est.	2013 est.
99.9 Total new obligations	429	430	438

Employment Summary

Identification code 27–0100–0–1–376	2011 actual	2012 est.	2013 est.
2001 Reimbursable civilian full-time equivalent employment	1,772	1,917	1,917

UNIVERSAL SERVICE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 27–5183–0–2–376	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	3		
Adjustments:			
0190 Adjustment - multiple years of rounding	–3		
0199 Balance, start of year			
Receipts:			
0200 Universal Service Fund	8,722	9,368	9,655
0240 Earnings on Federal Investments, Universal Service Fund	23	22	49
0299 Total receipts and collections	8,745	9,390	9,704
0400 Total: Balances and collections	8,745	9,390	9,704

	Appropriations:			
0500	Universal Service Fund	–8,722	–9,368	–9,655
0501	Universal Service Fund	–23	–22	–49
0599	Total appropriations	–8,745	–9,390	–9,704
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 27–5183–0–2–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Universal service fund	9,554	10,575	10,370
0002	Program support	103	134	141
0900	Total new obligations (object class 41.0)	9,657	10,709	10,511
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2,522	2,685	2,832
1021	Recoveries of prior year unpaid obligations	1,056	1,466	568
1050	Unobligated balance (total)	3,578	4,151	3,400
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special fund)—Receipts	8,722	9,368	9,655
1201	Appropriation (special fund)—Interest	23	22	49
1260	Appropriations, mandatory (total)	8,745	9,390	9,704
	Spending authority from offsetting collections, mandatory:			
1800	Collected	19		
1850	Spending auth from offsetting collections, mand (total)	19		
1900	Budget authority (total)	8,764	9,390	9,704
1930	Total budgetary resources available	12,342	13,541	13,104
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2,685	2,832	2,593
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	3,651	3,335	3,201
3030	Obligations incurred, unexpired accounts	9,657	10,709	10,511
3040	Outlays (gross)	–8,917	–9,377	–9,662
3080	Recoveries of prior year unpaid obligations, unexpired	–1,056	–1,466	–568
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	3,335	3,201	3,482
3100	Obligated balance, end of year (net)	3,335	3,201	3,482
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	8,764	9,390	9,704
	Outlays, gross:			
4100	Outlays from new mandatory authority	5,924	6,030	6,242
4101	Outlays from mandatory balances	2,993	3,347	3,420
4110	Outlays, gross (total)	8,917	9,377	9,662
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	–19		
4180	Budget authority, net (total)	8,745	9,390	9,704
4190	Outlays, net (total)	8,898	9,377	9,662
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	6,081	5,815	5,907
5001	Total investments, EOY: Federal securities: Par value	5,815	5,907	5,950

Under the Telecommunications Act of 1996, telecommunications carriers that provide interstate and international telecommunications services are required to contribute funds for the preservation and advancement of universal service. The contributions provided, in turn, by each carrier's subscribers, are used to provide services eligible for universal service support as determined by the FCC. Eligible telecommunications carriers receive support from the universal service funds if they (1) provide service to high-cost areas, (2) provide eligible services at a discount to schools, libraries or rural health care providers, or (3) provide subsidized service or subsidized telephone installation to low-income consumers. Interest income on these funds is utilized to reduce carrier contributions. Contributions also fund the administrative costs of the program. In 2011, the FCC issued an order reforming the high-cost portion of the Universal Service Fund to modernize support in a fiscally responsible manner. The order will transition support towards providing broadband in underserved areas and improve financial accountability in the fund.

Spectrum Auction Program Account

Program and Financing (in millions of dollars)

Identification code 27–0300–0–1–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
	Credit program obligations:			
0705	Reestimates of direct loan subsidy	12	3	
0706	Interest on reestimates of direct loan subsidy	29	15	
0709	Administrative expenses	2	4	4
0900	Total new obligations	43	22	4
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	7	4	
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	40	18	4
1260	Appropriations, mandatory (total)	40	18	4
1930	Total budgetary resources available	47	22	4
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	4	2	2
3030	Obligations incurred, unexpired accounts	43	22	4
3040	Outlays (gross)	–45	–22	–4
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	2	2	2
3100	Obligated balance, end of year (net)	2	2	2
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	40	18	4
	Outlays, gross:			
4100	Outlays from new mandatory authority		18	4
4101	Outlays from mandatory balances	45	4	
4110	Outlays, gross (total)	45	22	4
4180	Budget authority, net (total)	40	18	4
4190	Outlays, net (total)	45	22	4

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 27–0300–0–1–376		2011 actual	2012 est.	2013 est.
	Direct loan upward reestimates:			
135001	Spectrum Auction	41	18	
135999	Total upward reestimate budget authority	41	18	
	Direct loan downward reestimates:			
	Administrative expense data:			
3510	Budget authority	4	1	1
3590	Outlays from new authority	4	1	1

This program provided direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses were purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estim-

SPECTRUM AUCTION PROGRAM ACCOUNT—Continued

ated on a cash basis. The FCC no longer offers credit terms on purchases through spectrum auctions. Program activity relates to maintenance and close-out of existing loans.

Object Classification (in millions of dollars)

Identification code 27–0300–0–1–376		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
25.2	Other services from non-Federal sources	1	1	1
25.3	Other goods and services from Federal sources	1	2	2
41.0	Grants, subsidies, and contributions	40	18	.....
99.9	Total new obligations	43	22	4

Employment Summary

Identification code 27–0300–0–1–376		2011 actual	2012 est.	2013 est.
1001	Spectrum Auction [27–4133]	4	5	5

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 27–4133–0–3–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
	Credit program obligations:			
0713	Payment of interest to Treasury	8	7	7
0900	Total new obligations	8	7	7
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	36	36
	Financing authority:			
	Borrowing authority, mandatory:			
1400	Borrowing authority	50	7	7
1421	Borrowing authority applied to repay debt	–48	.....	.....
1440	Borrowing authority, mandatory (total)	2	7	7
	Spending authority from offsetting collections, mandatory:			
1800	Offsetting collections	78	18	.....
1825	Spending authority from offsetting collections applied to repay debt	–40	–18	.....
1850	Spending auth from offsetting collections, mand (total)	38	.....	.....
1900	Financing authority(total)	40	7	7
1930	Total budgetary resources available	44	43	43
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	36	36	36
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	8	7	7
3040	Financing disbursements (gross)	–8	–7	–7
	Financing authority and disbursements, net:			
	Mandatory:			
4090	Financing authority, gross	40	7	7
	Financing disbursements:			
4110	Financing disbursements, gross	8	7	7
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Federal sources	–40	–18	.....
4122	Interest on uninvested funds	–5	.....	.....
4123	Non-Federal sources	–33	.....	.....
4130	Offsets against gross financing auth and disbursements (total)	–78	–18	.....
4160	Financing authority, net (mandatory)	–38	–11	7
4170	Financing disbursements, net (mandatory)	–70	–11	7
4180	Financing authority, net (total)	–38	–11	7
4190	Financing disbursements, net (total)	–70	–11	7

Status of Direct Loans (in millions of dollars)

Identification code 27–4133–0–3–376		2011 actual	2012 est.	2013 est.
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	199	132	108
	Write-offs for default:			
1263	Direct loans	–43	–24	–24
1264	Other adjustments, net (+ or -)	–24	.....	.....
1290	Outstanding, end of year	132	108	84

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 27–4133–0–3–376		2010 actual	2011 actual
	ASSETS:		
	Federal assets:		
1101	Fund balances with Treasury	4	37
	Investments in US securities:		
1106	Receivables, net	41	18
	Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	199	132
1402	Interest receivable	15	9
1405	Allowance for subsidy cost (-)	–166	–141
1499	Net present value of assets related to direct loans	48	.....
1999	Total assets	93	55
	LIABILITIES:		
	Federal liabilities:		
2103	Resources payable to Treasury	88	50
2105	Other	5	5
2999	Total liabilities	93	55
4999	Total liabilities and net position	93	55

DIGITAL-TO-ANALOG CONVERTER BOX PROGRAM, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 27–0400–0–1–376		2011 actual	2012 est.	2013 est.
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	2	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–2	.....	.....

Under the American Recovery and Reinvestment Act of 2009 (ARRA, P.L. 111–5), the National Telecommunications and Information Administration of the Department of Commerce used funds for consumer education and outreach related to the transition to digital television broadcasts, and transferred amounts to the FCC.

BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 27–0200–0–1–503		2011 actual	2012 est.	2013 est.
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	14	1	1
3040	Outlays (gross)	–13	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	1	1
3100	Obligated balance, end of year (net)	1	1	1

	Budget authority and outlays, net:			
	Discretionary:			
	Outlays, gross:			
4011	Outlays from discretionary balances	13	.....	.....
4190	Outlays, net (total)	13	.....	.....

As directed in the American Recovery and Reinvestment Act of 2009 (P.L. 111–5), the FCC developed a national broadband plan and supported the National Telecommunications and Information Administration's (NTIA) implementation of the Broadband Technology Opportunities Program. The Secretary of Commerce, in consultation with the FCC and following Congressional notification, transferred $20 million to the FCC for carrying out these responsibilities.

SPECTRUM LICENSE USER FEE

To promote efficient use of the electromagnetic spectrum, the Administration proposes to provide the FCC with new authority to use other economic mechanisms, such as fees, as a spectrum management tool. The FCC would be authorized to set user fees on unauctioned spectrum licenses based on spectrum-management principles. Fees would be phased in over time as part of an ongoing rulemaking process to determine the appropriate application and level for fees. Fee collections are estimated to begin in 2012, and total $4.8 billion through 2022.

ADMINISTRATIVE PROVISIONS—FEDERAL COMMUNICATIONS COMMISSION

[SEC. 510. Section 302 of the Universal Service Antideficiency Temporary Suspension Act is amended by striking "December 31, 2011", each place it appears and inserting "December 31, 2013".]

[SEC. 511. None of the funds appropriated by this Act may be used by the Federal Communications Commission to modify, amend, or change its rules or regulations for universal service support payments to implement the February 27, 2004 recommendations of the Federal-State Joint Board on Universal Service regarding single connection or primary line restrictions on universal service support payments.] *(Financial Services and General Government Appropriations Act, FY 2012.)*

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

		2011 actual	2012 est.	2013 est.
	Offsetting receipts from the public:			
27–089600	Spectrum License User Fees	.....	50	200
27–242900	Fees for Services	24	23	23
27–247400	Auction Receipts	.....	400	1,975
	Legislative proposal, subject to PAYGO	.....	.....	–1,550
27–322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	12	3	3
	General Fund Offsetting receipts from the public	36	476	651

FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). Under the Deposit Insurance Reform Act of 2005, the BIF and SAIF were merged into a new Deposit Insurance Fund (DIF) in 2006. The Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203), enacted July 21, 2010, permanently increased the deposit insurance coverage level to $250,000 per account.

The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires FDIC to use the least costly method to resolve failed banks, and mandates that the FDIC take prompt corrective action against under-capitalized financial institutions. In order to accomplish its varied functions to protect depositors, FDIC is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer.

DEPOSIT INSURANCE

Federal Funds

DEPOSIT INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 51–4596–0–4–373		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0002	Insurance	212	242	239
0003	Supervision	839	1,091	1,080
0004	Receivership Management	235	187	185
0005	General and Administrative	209	233	231
0091	Total operating expenses	1,495	1,753	1,735
0101	Working Capital Outlays	2,417	20,501	32,915
0102	Net Case Resolution - Losses	8,853	17,250	15,780
0103	Receivership Funding	1,450	1,831	2,740
0191	Total Capital Investment	12,720	39,582	51,435
0900	Total new obligations	14,215	41,335	53,170
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	37,822	35,271	13,190
	Budget authority:			
	Spending authority from offsetting collections, discretionary:			
1710	Spending authority from offsetting collections transferred to other accounts [51–4595]	.....	.....	–35
1750	Spending auth from offsetting collections, disc (total)	.....	.....	–35
	Spending authority from offsetting collections, mandatory:			
1800	Collected	11,713	19,299	70,263
1801	Change in uncollected payments, Federal sources	–19	.....	.....
1810	Spending authority from offsetting collections transferred to other accounts [51–4595]	–30	–45	.....
1850	Spending auth from offsetting collections, mand (total)	11,664	19,254	70,263
1900	Budget authority (total)	11,664	19,254	70,228
1930	Total budgetary resources available	49,486	54,525	83,418
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	35,271	13,190	30,248
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	123	148	6
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–517	–498	–498
3020	Obligated balance, start of year (net)	–394	–350	–492
3030	Obligations incurred, unexpired accounts	14,215	41,335	53,170
3040	Outlays (gross)	–14,190	–41,477	–53,135
3050	Change in uncollected pymts, Fed sources, unexpired	19	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	148	6	41
3091	Uncollected pymts, Fed sources, end of year	–498	–498	–498
3100	Obligated balance, end of year (net)	–350	–492	–457
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	.....	.....	–35
	Outlays, gross:			
4010	Outlays from new discretionary authority	.....	.....	–35
	Mandatory:			
4090	Budget authority, gross	11,664	19,254	70,263

DEPOSIT INSURANCE FUND—Continued

Program and Financing—Continued

Identification code 51–4596–0–4–373		2011 actual	2012 est.	2013 est.
	Outlays, gross:			
4101	Outlays from mandatory balances	14,190	41,477	53,170
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4121	Interest on Federal securities	426	–984	–528
4123	Non-Federal sources	–12,139	–18,315	–69,735
4130	Offsets against gross budget authority and outlays (total)	–11,713	–19,299	–70,263
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	19	.....	.....
4160	Budget authority, net (mandatory)	–30	–45	.....
4170	Outlays, net (mandatory)	2,477	22,178	–17,093
4180	Budget authority, net (total)	–30	–45	–35
4190	Outlays, net (total)	2,477	22,178	–17,128
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	37,441	34,926	15,918
5001	Total investments, EOY: Federal securities: Par value	34,926	15,918	32,976

The primary purpose of the Deposit Insurance Fund (DIF) is to insure deposits and protect the depositors of failed banking institutions. Under the Deposit Insurance Reform Act of 2005, the FDIC's Bank Insurance Fund (BIF) and its Savings Association Insurance Fund (SAIF) were merged into the new Deposit Insurance Fund on March 31, 2006. Through the DIF, the FDIC will resolve and recover funds disbursed from the assets of failed institutions. The FDIC is authorized to charge risk-based premiums on member institutions to restore and maintain adequate fund reserves, which must be a designated percentage of estimated insured deposits as set by the FDIC before the beginning of each year. The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), enacted July 21, 2010, increased the minimum DIF reserve ratio (ratio of the DIF to total insured deposits) to 1.35 percent, up from 1.15 percent. In addition to raising the minimum reserve ratio, the Act also: 1) eliminated the FDIC's requirement to rebate premiums when the reserve ratio is between 1.35 and 1.5 percent; 2) gave the FDIC discretion to suspend or limit rebates when the DIF reserve ratio is at least 1.5 percent, effectively removing the 1.5 percent cap on the DIF; 3) required the FDIC to offset the effect of small insured depository institutions (defined as banks with assets less than $10 billion) when setting assessments to raise the reserve ratio from 1.15 to 1.35 percent, and 4) permanently increased the insured deposit level to $250,000 per account at banks insured by the FDIC. The FDIC Board has issued a final rule setting a long-term (greater than 10 years) DIF reserve ratio target of 2 percent, with the goal of maintaining a positive fund balance during any future economic crises and maintaining a moderate, steady, long-term assessment rate that provides transparency and predictability to the banking sector.

The number of insured institutions on the FDIC's "problem list" (institutions with the highest risk ratings) is on the decline. As of September 30, 2011, there were 844 institutions on the "problem list," which is approximately a 2 percent decrease from the number of problem institutions listed on September 30, 2010. Although this represents only a small decrease, the assets held by problem institutions decreased by 11% year-over-year. As of September 30, 2011, the DIF fund balance stood at $7.8 billion, on an accrual basis measuring expected losses to current balances, equivalent to a reserve ratio of 0.12 percent, or $83.7 billion below the level that would meet the target reserve ratio. The growth in the DIF fund balance is a result of fewer bank failures. In each of the first three calendar quarters of 2011, assessments earned have exceeded the provision for loan losses.

Pursuant to the Act, the restoration period for the DIF reserve ratio to reach 1.35 percent was extended to 2020. (Prior to the Act, the DIF reserve ratio was required to reach the minimum target of 1.15 percent by 2017.) The Budget projects that the DIF reserve ratio will slip back into negative territory in the near term, driven in part by higher projected bank failures and a constant assessment schedule, which slows down the DIF reserve growth rate. However, the DIF's reserve ratio is expected to rebound and, according to Budget projections, will become positive in 2015 and reach the statutorily required 1.35 percent level by 2020. Although the DIF's fund balance is currently negative, the FDIC has ample operating cash to effectively and efficiently resolve bank failures during the short period that the Budget projects the DIF balance to be negative. In late 2009, the FDIC Board adopted a final rule requiring insured institutions to prepay quarterly risk-based assessments for the fourth quarter of 2009 and for all of 2010, 2011, and 2012, resulting in the collection of approximately $45 billion in prepaid assessments.

For more information, please see the Credit and Insurance chapter in the Analytical Perspectives volume of the Budget.

Object Classification (in millions of dollars)

Identification code 51–4596–0–4–373		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	721	846	837
12.1	Civilian personnel benefits	254	298	295
21.0	Travel and transportation of persons	68	79	79
23.2	Rental payments to others	37	43	42
23.3	Communications, utilities, and miscellaneous charges	44	52	51
24.0	Printing and reproduction	3	4	4
25.2	Other services from non-Federal sources	282	331	328
26.0	Supplies and materials	7	8	8
31.0	Equipment	74	87	86
32.0	Land and structures	5	5	5
42.0	Working Capital Outlays	2,417	20,501	32,915
42.0	Net Case Resolution Expenses (Losses)	8,853	17,250	15,780
43.0	Receivership Funding	1,450	1,831	2,740
99.9	Total new obligations	14,215	41,335	53,170

Employment Summary

Identification code 51–4596–0–4–373		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	8,194	8,676	8,394

NON-INTEREST BEARING TRANSACTION ACCOUNT GUARANTEE

Program and Financing (in millions of dollars)

Identification code 51–4458–0–3–373		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Reimbursable program activity	45	.....	.....
0900	Total new obligations (object class 42.0)	45	.....	.....
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	45	.....	.....
1850	Spending auth from offsetting collections, mand (total)	45	.....	.....
1930	Total budgetary resources available	45	.....	.....
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	45	.....	.....
3040	Outlays (gross)	–45	.....	.....
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	45	.....	.....
	Outlays, gross:			
4100	Outlays from new mandatory authority	45	.....	.....

	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	–45	.....	.....

On October 14, 2008, using its existing authority, the FDIC created the Temporary Liquidity Guarantee Program (TLGP), aimed at freeing up funding for banks. Under the Non-Interest Bearing Transaction Account Guarantee (TAG), a component of the TLGP, the FDIC extended an unlimited guarantee to participating insured depository intuitions for non-interest bearing transaction account deposits, which included low-interest negotiable order of withdrawal (NOW) accounts and Interest on Lawyers Trust Accounts (IOLTA). The FDIC Board adopted a final rule in October 2010, stating that the TAG will not be extended beyond its December 31, 2010 expiration date.

The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) authorized the FDIC to provide two years of unlimited insurance coverage, through the Deposit Insurance Fund, for non-interest bearing transaction account deposits starting on December 31, 2010, (excluding NOW accounts and IOLTAs). However, the Permanent Federal Deposit Insurance Coverage for Interest on Lawyers Trust Accounts Act (P.L. 111–343) passed on December 29, 2010, extended the two years of unlimited coverage to IOTLAs. The NOW accounts are still excluded. This temporary unlimited coverage is in addition to, and separate from, the coverage of at least $250,000 available to depositors under FDIC general deposit insurance. The coverage extended through the Act is provided to all insured institutions and there are no separate fees associated with this coverage.

The Budget reflects TAG account transactions for the first quarter of 2011, after which losses on non-interest bearing transaction accounts are reflected in the FDIC's Deposit Insurance Fund.

Object Classification (in millions of dollars)

Identification code 51–4458–0–3–373	2011 actual	2012 est.	2013 est.
Reimbursable obligations:			
42.0 Insurance claims and indemnities	45	.....	.....
99.0 Reimbursable obligations	45	.....	.....

Senior Unsecured Debt Guarantee

Program and Financing (in millions of dollars)

Identification code 51–4457–0–3–373	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 TLGP Payments (TAG)	.....	1,193	236
0900 Total new obligations (object class 42.0)	.....	1,193	236
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6,156	7,301	6,297
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,145	189	209
1850 Spending auth from offsetting collections, mand (total)	1,145	189	209
1930 Total budgetary resources available	7,301	7,490	6,506
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7,301	6,297	6,270
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	.....	1,193	236
3040 Outlays (gross)	.....	–1,193	–236
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,145	189	209
Outlays, gross:			
4101 Outlays from mandatory balances	.....	1,193	236
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–1,170	.....	.....
4121 Interest on Federal securities	68	–189	–209
4123 Non-Federal sources	–43	.....	.....
4130 Offsets against gross budget authority and outlays (total)	–1,145	–189	–209
4170 Outlays, net (mandatory)	–1,145	1,004	27
4190 Outlays, net (total)	–1,145	1,004	27
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	6,158	7,301	6,297
5001 Total investments, EOY: Federal securities: Par value	7,301	6,297	6,296

On October 14, 2008, using its existing authority, the FDIC created the Temporary Liquidity Guarantee Program (TLGP), aimed at freeing up funding for banks. Under the Debt Guarantee Program, a component of the TLGP, the FDIC guarantees qualifying bank and bank holding company debt. If a bank defaults on its debt, the FDIC will make required principal and interest payments to unsecured senior debt holders. The FDIC charges additional premiums for any banks that voluntarily opt into this program. The program has been designed to promote liquidity by allowing banks to roll over existing debt. Originally, the guarantee was limited to unsecured debt issued between October 14, 2008 and June 30, 2009, and the FDIC guarantee extended through June 30, 2012. On March 17, 2009, the FDIC extended coverage to debt issued through October 31, 2009, and extended the guarantee through December 31, 2012. The FDIC also levied a surcharge on debt issued between April 1, 2009 and October 31, 2009, which was transferred to the Deposit Insurance Fund. On October 20, 2009, the FDIC adopted a final rule that reaffirmed the expiration of the Debt Guarantee Program on October 31, 2009. The rule also established a limited, six-month emergency guarantee facility upon expiration of the program; however, this facility was never utilized. As of September 30, 2011, there was $224.9 billion of debt outstanding in the senior unsecured debt guarantee program.

Object Classification (in millions of dollars)

Identification code 51–4457–0–3–373	2011 actual	2012 est.	2013 est.
Reimbursable obligations:			
42.0 Debt Guarantee Payments	.....	1,193	236
99.0 Reimbursable obligations	.....	1,193	236

FSLIC Resolution

Federal Funds

FSLIC Resolution Fund

Program and Financing (in millions of dollars)

Identification code 51–4065–0–3–373	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Goodwill settlements and DOJ expenses	2	356	.....
0802 Receivership Management	2	2	2
0803 General and Administrative	2	2	2
0804 Tax benefits payments (net)	24	.....	.....
0809 Reimbursable program activities, subtotal	30	360	4
0900 Total new obligations	30	360	4
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,523	3,519	3,567
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	.....	356	.....

FSLIC RESOLUTION FUND—Continued

Program and Financing—Continued

Identification code 51–4065–0–3–373		2011 actual	2012 est.	2013 est.
1260	Appropriations, mandatory (total)		356	
	Spending authority from offsetting collections, mandatory:			
1800	Offsetting collections	27	53	39
1801	Change in uncollected payments, Federal sources	–1	–1	–1
1850	Spending auth from offsetting collections, mand (total)	26	52	38
1900	Budget authority (total)	26	408	38
1930	Total budgetary resources available	3,549	3,927	3,605
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3,519	3,567	3,601
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	5	3	3
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–3	–2	–1
3020	Obligated balance, start of year (net)	2	1	2
3030	Obligations incurred, unexpired accounts	30	360	4
3040	Outlays (gross)	–32	–360	–4
3050	Change in uncollected pymts, Fed sources, unexpired	1	1	1
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	3	3	3
3091	Uncollected pymts, Fed sources, end of year	–2	–1	
3100	Obligated balance, end of year (net)	1	2	3
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	26	408	38
	Outlays, gross:			
4100	Outlays from new mandatory authority		356	
4101	Outlays from mandatory balances	32	4	4
4110	Outlays, gross (total)	32	360	4
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4121	Interest on Federal securities	–2	–3	–4
4123	Non-Federal sources	–25	–50	–35
4130	Offsets against gross budget authority and outlays (total)	–27	–53	–39
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	1	1	1
4160	Budget authority, net (mandatory)		356	
4170	Outlays, net (mandatory)	5	307	–35
4180	Budget authority, net (total)		356	
4190	Outlays, net (total)	5	307	–35
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	3,388	3,374	3,427
5001	Total investments, EOY: Federal securities: Par value	3,374	3,427	3,500

The FSLIC Resolution Fund (FRF) is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the Resolution Trust Corporation (RTC) assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and, a portion of insurance premiums paid by Savings Association Insurance Fund (SAIF) members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act (P.L. 101–73) authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all of its assets, and any net proceeds will be deposited into the General Fund of the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation. Based on information provided by the FDIC, the Budget projects this dissolution to occur in 2014.

Object Classification (in millions of dollars)

Identification code 51–4065–0–3–373		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
12.1	Civilian personnel benefits	1		
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	3	2	2
42.0	Insurance claims and indemnities	24	356	
99.9	Total new obligations	30	360	4

Employment Summary

Identification code 51–4065–0–3–373		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	2	2	2

ORDERLY LIQUIDATION

Federal Funds

ORDERLY LIQUIDATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 51–5586–0–2–373		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			
	Receipts:			
0200	Risk-Based Assessments, Orderly Liquidation Fund		7	161
0400	Total: Balances and collections		7	161
	Appropriations:			
0500	Orderly Liquidation Fund		–7	–161
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 51–5586–0–2–373		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Orderly Liquidation		621	1,489
0002	Administrative Expenses		1	1
0003	Interest to Treasury		5	25
0900	Total new obligations		627	1,515
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		7	161
1260	Appropriations, mandatory (total)		7	161
	Borrowing authority, mandatory:			
1400	Borrowing authority		620	1,354
1440	Borrowing authority, mandatory (total)		620	1,354
1900	Budget authority (total)		627	1,515
1930	Total budgetary resources available		627	1,515
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		627	1,515
3040	Outlays (gross)		–627	–1,515
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		627	1,515
	Outlays, gross:			
4100	Outlays from new mandatory authority		627	1,515
4180	Budget authority, net (total)		627	1,515
4190	Outlays, net (total)		627	1,515

Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) established a new Orderly Liquidation Authority and appointed the FDIC as receiver of financial institutions whose failure is determined to pose a systemic risk to the financial stability of the United States. The aim of the Orderly Liquidation Authority is to efficiently and effect-

ively resolve the failure of a large, interconnected financial institution, while limiting the disruptions to the financial markets and the economy.

The Orderly Liquidation receivership mechanism applies to financial institutions that are "systemically significant." Bank holding companies with more than $50 billion in assets are automatically deemed to be systemically significant, and nonbank institutions that meet certain criteria can be determined to be systemically significant by the Financial Stability Oversight Council (FSOC). The Federal Reserve and the prudential regulator (FDIC or the Securities Exchange Commission) or the Federal Insurance Office recommend in writing, upon a 2/3 vote of each party, that the Treasury Secretary appoint FDIC as receiver for a failing, systemically significant financial company. The Treasury Secretary must then, in consultation with the President, determine whether circumstances warrant liquidating the failing institution. If the Secretary of the Treasury determines that unwinding the firm under the Orderly Liquidation Authority is necessary and appropriate, he/she may appoint the FDIC as receiver. The FDIC's authorities as receiver under an Orderly Liquidation receivership are largely comparable to its current receivership authority over failed depository institutions.

The Act states that "no taxpayer funds shall be used to prevent the liquidation of any financial company" and, therefore, establishes an ex-post Orderly Liquidation Fund to recoup all expenses associated with exercise of the Orderly Liquidation Authority. The FDIC is authorized to charge "eligible financial institutions" (bank and non-bank financial institutions with consolidated assets greater than $50 billion) risk-based assessments over a 5-year period to recoup all Orderly Liquidation related expenses. While the Budget does not forecast any specific systemic failure, estimates are derived from a probabilistic model that incorporates historic systemic failure information in OECD countries. For more details, please see the Financial Stabilization and Their Budgetary Effects chapter in the Analytical Perspectives volume.

Object Classification (in millions of dollars)

Identification code 51–5586–0–2–373		2011 actual	2012 est.	2013 est.
	Direct obligations:			
43.0	Interest and dividends		5	25
43.0	Orderly Liquidation		622	1,490
99.9	Total new obligations		627	1,515

FDIC—Office of Inspector General

Federal Funds

Office of the Inspector General

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, [$45,261,000] *$34,568,000*, to be derived from the Deposit Insurance Fund or, only when appropriate, the FSLIC Resolution Fund. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 51–4595–0–4–373		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Reimbursable program activity	30	45	35
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, discretionary:			
1711	Transferred from other accounts [51–4596]	30	45	35
1750	Spending auth from offsetting collections, disc (total)	30	45	35
1930	Total budgetary resources available	30	45	35
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	30	45	35
3040	Outlays (gross)	–30	–45	–35
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	30	45	35
	Outlays, gross:			
4010	Outlays from new discretionary authority	30	45	35
4180	Budget authority, net (total)	30	45	35
4190	Outlays, net (total)	30	45	35

FDIC's Office of Inspector General (OIG) is an independent unit within FDIC that conducts audits, evaluations and investigations of corporate activities and assists FDIC in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board of Directors pursuant to the Inspector General Act amendments of 1988 (P.L. 100–504). The Resolution Trust Corporation Completion Act (P.L. 103–204), enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added FDIC to the list of establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code. The OIG's appropriations are derived from the Deposit Insurance Fund; however, to the extent that the OIG performs work in connection with the FSLIC Resolution Fund (FRF), the cost of such work shall be derived from the FRF.

Object Classification (in millions of dollars)

Identification code 51–4595–0–4–373		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	19	21	21
11.3	Other than full-time permanent	1	.....	.....
11.9	Total personnel compensation	20	21	21
12.1	Civilian personnel benefits	7	8	8
21.0	Travel and transportation of persons	1	3	3
25.2	Other services from non-Federal sources	1	12	2
31.0	Equipment	1	1	1
99.9	Total new obligations	30	45	35

Employment Summary

Identification code 51–4595–0–4–373		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	126	21	21

FEDERAL DRUG CONTROL PROGRAMS

Federal Funds

High Intensity Drug Trafficking Areas Program

(including transfers of funds)

For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, [$238,522,000] *$200,000,000*, to remain available until September 30, [2013] *2014*, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: *Provided*, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Director of the Office of National Drug Control Policy, of which up to $2,700,000 may be used for auditing services and associated activities [(including up to $500,000 to ensure the continued operation and maintenance of the Performance Management System): *Provided*

HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM—Continued

further, That, notwithstanding the requirements of Public Law 106–58, any unexpended funds obligated prior to fiscal year 2010 may be used for any other approved activities of that HIDTA, subject to reprogramming requirements: *Provided further*, That each HIDTA designated as of September 30, 2011, shall be funded at not less than the fiscal year 2011 base level, unless the Director submits to the Committees on Appropriations of the House of Representatives and the Senate justification for changes to those levels based on clearly articulated priorities and published Office of National Drug Control Policy performance measures of effectiveness: *Provided further*, That the Director shall notify the Committees on Appropriations of the initial allocation of fiscal year 2012 funding among HIDTAs not later than 45 days after enactment of this Act, and shall notify the Committees of planned uses of discretionary HIDTA funding, as determined in consultation with the HIDTA Directors, not later than 90 days after enactment of this Act]. *(Executive Office of the President Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 11–1070–0–1–754		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0002	Grants and federal transfers	210	236	197
0003	Auditing services and activities	2	3	3
0900	Total new obligations	212	239	200
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	13	13
1010	Unobligated balance transfer to other accts [15–1100]	–1		
1021	Recoveries of prior year unpaid obligations	5		
1050	Unobligated balance (total)	8	13	13
	Budget authority:			
	Appropriations, discretionary:			
1100	New budget authority (gross), detail	239	239	200
1120	Transferred to other accounts [70–0540]	–1		
1120	Transferred to other accounts [15–1100]	–15		
1120	Transferred to other accounts [15–0200]	–2		
1120	Transferred to other accounts [15–0322]	–1		
1120	Transferred to other accounts [15–0324]	–1		
1120	Appropriations transferred to other accts [99–9999]	–2		
1160	Appropriation, discretionary (total)	217	239	200
1930	Total budgetary resources available	225	252	213
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	13	13	13
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	248	235	280
3030	Obligations incurred, unexpired accounts	212	239	200
3031	Obligations incurred, expired accounts	10		
3040	Outlays (gross)	–220	–194	–238
3080	Recoveries of prior year unpaid obligations, unexpired	–5		
3081	Recoveries of prior year unpaid obligations, expired	–10		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	235	280	242
3100	Obligated balance, end of year (net)	235	280	242
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	217	239	200
	Outlays, gross:			
4010	Outlays from new discretionary authority	36	60	50
4011	Outlays from discretionary balances	184	134	188
4020	Outlays, gross (total)	220	194	238
4180	Budget authority, net (total)	217	239	200
4190	Outlays, net (total)	220	194	238

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, to provide assistance to Federal, state, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking.

The HIDTA program provides resources to Federal, state, local, and tribal agencies in each HIDTA region to carry out activities that address the specific drug threats of that region. A central feature of the HIDTA program is the discretion granted to HIDTA Executive Boards to design and carry out activities that reflect the specific drug trafficking threats found in each HIDTA region. This discretion ensures that each HIDTA Executive Board can tailor its strategy and initiatives closely to local conditions and can respond quickly to changes in those conditions. Among the types of activities funded by the HIDTA program are: drug enforcement task forces comprised of multiple Federal, state, local, and tribal agencies designed to dismantle and disrupt drug trafficking organizations (DTOs); multi-agency intelligence centers that provide drug intelligence to HIDTA initiatives and participating agencies; initiatives to establish or improve interoperability of communications and information systems between and among law enforcement agencies; and investments in technology infrastructure. Law enforcement agencies have substantial experience in implementing problem-oriented policing strategies and are well positioned to promote and participate in community-based drug prevention programs. To that end, ONDCP has funded prevention initiatives to 20 of the 28 HIDTAs, including all 5 Southwest Border HIDTA regions, to increase coordination between law enforcement and prevention communities.

Object Classification (in millions of dollars)

Identification code 11–1070–0–1–754		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.2	Auditing services and activities	2	3	3
41.0	Grants and federal transfers	210	236	197
99.9	Total new obligations	212	239	200

OTHER FEDERAL DRUG CONTROL PROGRAMS

(INCLUDING TRANSFERS OF FUNDS)

For other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 2006 (Public Law 109–469), [$105,550,000] *$118,600,000*, to remain available until expended, which shall be available as follows: [$92,000,000] *$20,000,000 for a drug prevention media program; $88,600,000* for the Drug-Free Communities Program, of which $2,000,000 shall be made available as directed by section 4 of Public Law 107–82, as amended by Public Law 109–469 (21 U.S.C. 1521 note); [$1,400,000 for drug court training and technical assistance; $9,000,000] *$8,100,000* for anti-doping activities; *and* $1,900,000 for the United States membership dues to the World Anti-Doping Agency[; and $1,250,000 shall be made available as directed by section 1105 of Public Law 109–469]*: Provided, That amounts made available under this heading may be transferred to other Federal departments and agencies to carry out such activities*. *(Executive Office of the President Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 11–1460–0–1–802		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	National Youth Anti-Drug Media Campaign	39		20
0002	Drug-Free Communities Program	97	92	89
0005	Performance Measure Development	1		
0006	Anti-Doping Activities	9	9	8
0008	Drug Court Training and Technical Assistance		1	
0009	World Anti-Doping Agency Dues	2	2	2
0010	Section 1105 of P.L. 109–469		1	
0900	Total new obligations (object class 25.2)	148	105	119
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	12	21	16

1021	Recoveries of prior year unpaid obligations	6		
1050	Unobligated balance (total)	18	21	16
	Budget authority:			
	Appropriations, discretionary:			
1100	New budget authority (gross), detail	141	106	119
1131	Unobligated balance of appropriations permanently reduced		–6	
1160	Appropriation, discretionary (total)	141	100	119
	Spending authority from offsetting collections, discretionary:			
1700	Collected	10		
1750	Spending auth from offsetting collections, disc (total)	10		
1900	Budget authority (total)	151	100	119
1930	Total budgetary resources available	169	121	135
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	21	16	16
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	62	141	76
3030	Obligations incurred, unexpired accounts	148	105	119
3040	Outlays (gross)	–63	–170	–144
3080	Recoveries of prior year unpaid obligations, unexpired	–6		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	141	76	51
3100	Obligated balance, end of year (net)	141	76	51
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	151	100	119
	Outlays, gross:			
4010	Outlays from new discretionary authority	12	90	107
4011	Outlays from discretionary balances	51	80	37
4020	Outlays, gross (total)	63	170	144
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–10		
4180	Budget authority, net (total)	141	100	119
4190	Outlays, net (total)	53	170	144

The Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, established this account to be administered by the Director of the Office of National Drug Control Policy (ONDCP). The funds appropriated to the program support high-priority drug control programs and may be transferred to drug control agencies.

For 2013, funds appropriated to this account, will be used for the following activities:

Drug Prevention Media Program.—The Drug Prevention Media Program is an integrated advertising and communications campaign using paid media messages (primarily television and internet-based) targeted to youth and other influential adults (including parents) to change youth attitudes about drug use and its consequences. The program delivers prevention messaging at the national level as well as more targeted efforts at the local community level. This two-tiered approach allows the program reach out to teens across the country with a highly visible media presence, while actively engaging youth at the community level through youth-serving organizations such as Drug Free Community grantees, Boys & Girls Clubs, Y's and Students Against Drunk Driving (SADD) chapters. This approach supports local prevention efforts by providing resources including paid media, community-based activities, public service announcement materials, and news media attention to community efforts to prevent youth drug use.

Drug Free Communities Support Program.—The Drug Free Communities Support (DFC) Program provides small grants (no more than $125,000 per year for an initial 5 year period) to established local community drug free coalitions. The grants are awarded competitively to community coalitions that organize multiple sectors of a community to focus on local needs as a means for reducing and/or preventing youth substance use.

Anti-Doping Efforts.—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic and associated sports in the United States.

World Anti-Doping Agency Dues.—ONDCP represents the United States in the World Anti-Doping Agency which promotes and coordinates international activities against doping in sport, in all its forms, and is responsible for the payment of U.S. dues.

Employment Summary

Identification code 11–1460–0–1–802	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	1	1	1

COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER

Program and Financing (in millions of dollars)

Identification code 11–1461–0–1–754	2011 actual	2012 est.	2013 est.
	Obligations by program activity:		
0001	Research and Development	7		
0900	Total new obligations (object class 25.3)	7		
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	12	6	1
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	13	6	1
	Budget authority:			
	Appropriations, discretionary:			
1131	Unobligated balance of appropriations permanently reduced		–5	
1160	Appropriation, discretionary (total)		–5	
1900	Budget authority (total)		–5	
1930	Total budgetary resources available	13	1	1
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	6	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	5	9	
3030	Obligations incurred, unexpired accounts	7		
3040	Outlays (gross)	–2	–9	
3080	Recoveries of prior year unpaid obligations, unexpired	–1		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	9		
3100	Obligated balance, end of year (net)	9		
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross		–5	
	Outlays, gross:			
4011	Outlays from discretionary balances	2	9	
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4180	Budget authority, net (total)		–5	
4190	Outlays, net (total)	2	9	

FEDERAL ELECTION COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, $66,367,000, of which not to exceed $5,000 shall be available for reception and representation expenses. *(Financial Services and General Government Appropriations Act, 2012.)*

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 95–1600–0–1–808		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Federal Election Commission	65	66	66
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	65	66	66
1160	Appropriation, discretionary (total)	65	66	66
1930	Total budgetary resources available	66	67	66
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring		–1	
1941	Unexpired unobligated balance, end of year	1		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	11	12	12
3030	Obligations incurred, unexpired accounts	65	66	66
3040	Outlays (gross)	–64	–66	–71
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	12	12	7
3100	Obligated balance, end of year (net)	12	12	7
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	65	66	66
	Outlays, gross:			
4010	Outlays from new discretionary authority	55	60	60
4011	Outlays from discretionary balances	9	6	11
4020	Outlays, gross (total)	64	66	71
4180	Budget authority, net (total)	65	66	66
4190	Outlays, net (total)	64	66	71

The Federal Election Commission is responsible for facilitating transparency in the Federal election process through public disclosure of campaign finance activity, and for encouraging voluntary compliance with the Federal Election Campaign Act by providing information and policy guidance to the public, media, political committees and election officials on the Act and on Commission regulations. The Commission is also responsible for enforcing the Act through audits, investigations and civil litigation, and for developing the law by administering and interpreting the Act, as well as the Presidential Election Campaign Fund Act and the Presidential Primary Matching Payment Account Act.

The Budget proposes that Senate Campaign Committees be required to file campaign finance reports electronically with the Federal Election Commission, in keeping with the reporting requirements for all other Federal political committees. This measure would save the Commission at least $430,000 annually by reducing costs for manual data entry and will promote transparency by expediting the process by which the reports are made available to the public.

The Commission is authorized to submit, concurrently, budget estimates to the President and the Congress. The Commission endorses the President's 2013 request.

Object Classification (in millions of dollars)

Identification code 95–1600–0–1–808		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	34	35	33
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	35	36	34
12.1	Civilian personnel benefits	10	10	13
23.1	Rental payments to GSA	6	6	6
25.2	Other services from non-Federal sources	10	11	10
26.0	Supplies and materials	1	1	1
31.0	Equipment	3	2	2
99.9	Total new obligations	65	66	66

Employment Summary

Identification code 95–1600–0–1–808		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	347	375	375

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL

Federal Funds

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES

Program and Financing (in millions of dollars)

Identification code 95–5547–0–2–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	FFIEC activities	14	14	15
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	14	14	15
1850	Spending auth from offsetting collections, mand (total)	14	14	15
1930	Total budgetary resources available	14	14	15
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	14	14	15
3040	Outlays (gross)	–14	–14	–15
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	14	14	15
	Outlays, gross:			
4100	Outlays from new mandatory authority	14	14	15
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–14	–14	–15

The Federal Financial Institutions Examination Council (FFIEC) was established on March 10, 1979, pursuant to title X of the Financial Institutions Regulatory and Interest Rate Control Act of 1978 (FIRA) (P.L. 95–630). In 1989, title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA) established the Appraisal Subcommittee (ASC) within the Examination Council.

The Council is a formal interagency body empowered to prescribe uniform principles, standards, and report forms for the Federal examination of financial institutions by its members: the Board of Governors of the Federal Reserve System (FRB), the Federal Deposit Insurance Corporation (FDIC), the National Credit Union Administration (NCUA), and the Office of the Comptroller of the Currency (OCC), and to make recommendations to promote uniformity in the supervision of financial institutions.

The Council was given additional statutory responsibilities by section 340 of the Housing and Community Development Act of 1980 to facilitate public access to data that depository institutions must disclose under the Home Mortgage Disclosure Act of 1975 (HMDA) and the aggregation of annual HMDA data, by census tract, for each metropolitan statistical area (MSA). The Council has established, in accordance with the requirement of the statute, an advisory State Liaison Committee (SLC) composed of five representatives of State supervisory agencies. In 2006, the State Liaison Committee was added to the Council as a voting member.

The SLC includes representatives from the Conference of State Bank Supervisors (CSBS), the American Council of State Savings Supervisors (ACSSS), and the National Association of State Credit Union Supervisors (NASCUS).

The Budget estimates the Council will spend approximately $15 million during 2013 from resources provided by its members and other fees and reimbursements.

Object Classification (in millions of dollars)

Identification code 95–5547–0–2–376		2011 actual	2012 est.	2013 est.
99.9	Total new obligations	14	14	15

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE

Federal Funds

REGISTRY FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5026–0–2–376		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			1
	Receipts:			
0200	Registry Fees, Appraisal Subcommittee, Federal Institution Examination Council	3	3	3
0400	Total: Balances and collections	3	3	4
	Appropriations:			
0500	Registry Fees	–3	–2	–2
0799	Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 95–5026–0–2–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Administrative expenses	2	2	2
0002	Grants, subsidies and contributions	1	1	1
0900	Total new obligations	3	3	3
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	5	5	4
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	3	2	2
1260	Appropriations, mandatory (total)	3	2	2
1930	Total budgetary resources available	8	7	6
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	4	3
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	2
3030	Obligations incurred, unexpired accounts	3	3	3
3040	Outlays (gross)	–3	–2	–2
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	2	3
3100	Obligated balance, end of year (net)	1	2	3
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	3	2	2
	Outlays, gross:			
4100	Outlays from new mandatory authority	3	2	2
4180	Budget authority, net (total)	3	2	2
4190	Outlays, net (total)	3	2	2

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council. Subsequent legislation (P.L. 101–235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the Appraisal Subcommittee.

The Subcommittee is charged with ensuring that real estate appraisals used in Federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; and, (4) maintaining a national registry of licensed and certified appraisers.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of $5 million. These funds were repaid to Treasury at the end of 1998 in accordance with the Economic Growth and Regulatory Paperwork Reduction Act of 1996. The Subcommittee is now operating on fee income from State-licensed and -certified real estate appraisers in the national registry.

The Budget projects that the Subcommittee will spend approximately $3 million in 2013.

Object Classification (in millions of dollars)

Identification code 95–5026–0–2–376		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
41.0	Grants, subsidies, and contributions	2	2	2
99.9	Total new obligations	3	3	3

Employment Summary

Identification code 95–5026–0–2–376		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	10	10	10

FEDERAL HOUSING FINANCE AGENCY

Federal Funds

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5532–0–2–371		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			
	Receipts:			
0200	FHFA, Fees on GSEs for Administrative Expenses	201	224	240
0400	Total: Balances and collections	201	224	240
	Appropriations:			
0500	Federal Housing Finance Agency, Administrative Expenses	–201	–224	–240
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–5532–0–2–371		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	206	234	197
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	23	19	9
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	24	19	9
	Budget authority:			
	Appropriations, discretionary:			
1120	Appropriations transferred to other accts [95–5564]			–48

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES—Continued

Program and Financing—Continued

Identification code 95–5532–0–2–371	2011 actual	2012 est.	2013 est.
1160 Appropriation, discretionary (total)			–48
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	201	224	240
1260 Appropriations, mandatory (total)	201	224	240
1900 Budget authority (total)	201	224	192
1930 Total budgetary resources available	225	243	201
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19	9	4
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	29	61	66
3030 Obligations incurred, unexpired accounts	206	234	197
3040 Outlays (gross)	–173	–229	–195
3080 Recoveries of prior year unpaid obligations, unexpired	–1		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	61	66	68
3100 Obligated balance, end of year (net)	61	66	68
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			–48
Outlays, gross:			
4010 Outlays from new discretionary authority			–41
Mandatory:			
4090 Budget authority, gross	201	224	240
Outlays, gross:			
4100 Outlays from new mandatory authority	151	169	181
4101 Outlays from mandatory balances	22	60	55
4110 Outlays, gross (total)	173	229	236
4180 Budget authority, net (total)	201	224	192
4190 Outlays, net (total)	173	229	195
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	51	78	78
5001 Total investments, EOY: Federal securities: Par value	78	78	78

The Federal Housing Finance Agency (FHFA) is the regulator of the housing Government-Sponsored Enterprises (GSEs) which include Fannie Mae, Freddie Mac, and the twelve Federal Home Loan Banks. FHFA was established by the Housing and Economic Recovery Act of 2008 (P.L. 110–289) which amended the Federal Housing Enterprise Safety and Soundness Act of 1992. FHFA's strategic goals are: 1) Safe and Sound Housing GSEs, 2) Stability, Liquidity and Access in Housing Finance, and 3) Effective Conservatorship Operations. FHFA receives direct funding for its activities from mandatory assessments on the GSEs.

Object Classification (in millions of dollars)

Identification code 95–5532–0–2–371	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	70	89	94
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	3		
11.9 Total personnel compensation	74	89	94
12.1 Civilian personnel benefits	24	30	32
21.0 Travel and transportation of persons	2	4	4
23.2 Rental payments to others	6	22	23
23.3 Communications, utilities, and miscellaneous charges	2		
24.0 Printing and reproduction		1	1
25.1 Advisory and assistance services	2		
25.2 Other services from non-Federal sources	25	29	32
25.3 Other goods and services from Federal sources	8	2	2
25.7 Operation and maintenance of equipment	1		
26.0 Supplies and materials	2	2	2
31.0 Equipment	18	6	6
32.0 Land and structures	13	1	1
94.0 Financial transfers	29	48	
99.9 Total new obligations	206	234	197

Employment Summary

Identification code 95–5532–0–2–371	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	482	571	651

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, $48,000,000, to remain available until September 30, 2014, to be derived from assessments collected from the Federal National Mortgage Association, Federal Home Loan Mortgage Corporation, and the Federal Home Loan Banks under section 1106 of the Housing and Economic Recovery Act of 2008.

Program and Financing (in millions of dollars)

Identification code 95–5564–0–2–371	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Office of Inspector General	20	48	48
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		9	
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other accts [95–5532]			48
1160 Appropriation, discretionary (total)			48
Spending authority from offsetting collections, mandatory:			
1800 Collected	29	39	
1850 Spending auth from offsetting collections, mand (total)	29	39	
1900 Budget authority (total)	29	39	48
1930 Total budgetary resources available	29	48	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)		6	6
3030 Obligations incurred, unexpired accounts	20	48	48
3040 Outlays (gross)	–14	–48	–47
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	6	6	7
3100 Obligated balance, end of year (net)	6	6	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			48
Outlays, gross:			
4010 Outlays from new discretionary authority			41
Mandatory:			
4090 Budget authority, gross	29	39	
Outlays, gross:			
4100 Outlays from new mandatory authority	14	39	
4101 Outlays from mandatory balances		9	6
4110 Outlays, gross (total)	14	48	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–29	–39	
4180 Budget authority, net (total)			48
4190 Outlays, net (total)	–15	9	47

The Federal Housing Finance Agency Office of Inspector General (FHFA-OIG), established in the Housing and Economic Recovery Act of 2008, has duties and responsibilities that are intended to facilitate the efficient and effective conduct of FHFA in its capacity as the primary regulator of the housing Government-Sponsored Enterprises (GSEs) and conservator of Fannie Mae and Freddie Mac. The IG is currently funded through FHFA's direct assessments on the housing GSEs. In order to preserve the indepedence of the IG and provide congressional review of funding levels, the Budget requests an appropriation of $48 million for the FHFA-OIG derived from FHFA's assessments.

Object Classification (in millions of dollars)

Identification code 95–5564–0–2–371		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	7	22	23
11.5	Other personnel compensation		2	2
11.8	Special personal services payments		1	1
11.9	Total personnel compensation	7	25	26
12.1	Civilian personnel benefits	2	8	9
21.0	Travel and transportation of persons		1	1
23.1	Rental payments to GSA	2	3	3
25.1	Advisory and assistance services	1	3	3
25.2	Other services from non-Federal sources	1	2	1
25.3	Other goods and services from Federal sources	2	3	2
26.0	Supplies and materials	1	1	1
31.0	Equipment	4	2	2
99.9	Total new obligations	20	48	48

Employment Summary

Identification code 95–5564–0–2–371		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	44	150	150

FEDERAL LABOR RELATIONS AUTHORITY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, and including hire of experts and consultants, hire of passenger motor vehicles, and including official reception and representation expenses (not to exceed $1,500) and rental of conference rooms in the District of Columbia and elsewhere, [$24,723,000] *$24,792,000*: *Provided*, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further*, That, notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 54–0100–0–1–805		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Authority	14	14	14
0002	Office of the General Counsel	10	10	10
0003	Federal Service Impasses Panel	1	1	1
0900	Total new obligations	25	25	25
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	25	25	25
1160	Appropriation, discretionary (total)	25	25	25
1930	Total budgetary resources available	25	25	25
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	4	4	4
3030	Obligations incurred, unexpired accounts	25	25	25
3040	Outlays (gross)	–25	–25	–25
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	4	4	4
3100	Obligated balance, end of year (net)	4	4	4
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	25	25	25
	Outlays, gross:			
4010	Outlays from new discretionary authority	22	23	23
4011	Outlays from discretionary balances	3	2	2
4020	Outlays, gross (total)	25	25	25
4180	Budget authority, net (total)	25	25	25
4190	Outlays, net (total)	25	25	25

The Federal Labor Relations Authority (FLRA) is an independent administrative Federal agency created by Title VII of the Civil Service Reform Act of 1978 (the Statute) with a mission to carry out five statutory responsibilities: (1) determining the appropriateness of units for Labor organization representation; (2) resolving complaints of unfair labor practices; (3) adjudicating exceptions to arbitrators' awards; (4) adjudicating legal issues relating to duty to bargain; and (5) resolving impasses during negotiations. All work throughout the agency is undertaken to support a single program—to administer and enforce the Statute by determining the respective rights of employees, agencies, and labor organizations in their relations with one another.

FLRA's authority is divided by law and by delegation among a three-member Authority and an Office of General Counsel, appointed by the President and subject to Senate confirmation; and the Federal Service Impasses Panel, which consists of seven part-time members appointed by the President.

FLRA does not initiate cases. Proceedings before FLRA originate from filings arising through the actions of Federal employees, Federal agencies, or Federal labor organizations. Nationwide, FLRA includes seven Regional Offices, one satellite office, and a Headquarters site in Washington, D.C.

Authority.—The Authority adjudicates appeals filed by either Federal agencies or Federal labor organizations on negotiability issues, exceptions to arbitration awards, appeals of representation decisions, eligibility of labor organizations for national consultation rights, and unfair labor practice complaints.

Office of the General Counsel.—The General Counsel investigates allegations of unfair labor practices and processes representation petitions. In addition, the General Counsel conducts elections concerning the exclusive recognition of labor organizations and certifies the results of elections.

Federal Service Impasses Panel.—The Panel resolves labor negotiation impasses between Federal agencies and labor organizations.

Object Classification (in millions of dollars)

Identification code 54–0100–0–1–805		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	14	14	14
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	15	15	15
12.1	Civilian personnel benefits	4	4	4
23.1	Rental payments to GSA	3	3	3
25.2	Other services from non-Federal sources	1	1	1
25.3	Other goods and services from Federal sources	1	1	1
99.0	Direct obligations	24	24	24
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	25	25	25

SALARIES AND EXPENSES—Continued

Employment Summary

Identification code 54–0100–0–1–805	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	131	130	129

FEDERAL MARITIME COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. 307), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefore, as authorized by 5 U.S.C. 5901–5902, [$24,100,000] *$26,000,000*: *Provided*, That not to exceed $2,000 shall be available for official reception and representation expenses. *(Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 65–0100–0–1–403	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Formal proceedings	8	8	8
0002 Inspector General	1	1	1
0003 Operational and Administrative	15	15	17
0900 Total new obligations	24	24	26
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24	24	26
1160 Appropriation, discretionary (total)	24	24	26
1930 Total budgetary resources available	24	24	26
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	4	3	3
3030 Obligations incurred, unexpired accounts	24	24	26
3040 Outlays (gross)	–25	–24	–26
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	3	3	3
3100 Obligated balance, end of year (net)	3	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	24	24	26
Outlays, gross:			
4010 Outlays from new discretionary authority	22	23	25
4011 Outlays from discretionary balances	3	1	1
4020 Outlays, gross (total)	25	24	26
4180 Budget authority, net (total)	24	24	26
4190 Outlays, net (total)	25	24	26

The Federal Maritime Commission (FMC or Commission) regulates oceanborne transportation in the foreign commerce of the United States. The Commission administers the Shipping Act of 1984 as ammended by the Ocean Shipping Reform Act of 1998 (OSRA); section 19 of the Merchant Marine Act, 1920 (1920 Act); the Foreign Shipping Practices Act of 1988 (FSPA); and Sections 2 and 3 of Public Law 89–777.

The Commission monitors the activities of ocean common carriers, marine terminal operators (MTOs), ports and ocean transportation intermediaries (OTIs) who operate in the U.S. foreign commerce to ensure that they maintain just and reasonable practices, issues licenses to qualified OTIs operating in the U.S. and ensures that all U.S. OTIs are bonded or maintain other evidence of financial responsibility; and ensures that passenger vessel operators demonstrate adequate financial responsibility to indemnify passengers in the event in the event of nonperformance of voyages or passenger injury or death. The FMC also maintains trade monitoring, enforcement and dispute resolution programs designed to assist regulated entities in achieving compliance and to detect and appropriately remedy malpractices and violations of the prohibited acts set forth in section 10 of the 1984 Act; reviews competitive activities of common carrier alliances and other agreements among common carriers and/or terminal operators; monitors the laws and practices of foreign governments which could have a discriminatory or otherwise adverse impact on shipping conditions in U.S. trades, and imposes remedial action, as appropriate, pursuant to section 19 of the 1920 Act or FSPA; enforces special regulatory requirements applicable to carriers owned or controlled by foreign governments; processes and reviews agreements, service contracts and service arrangements pursuant to the 1984 Act for compliance with statutory requirements; and reviews common carriers' privately published tariff systems for accessibility, accuracy, and reasonable terms.

Object Classification (in millions of dollars)

Identification code 65–0100–0–1–403	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	14	14	16
12.1 Civilian personnel benefits	4	4	4
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	3	3	3
99.9 Total new obligations	24	24	26

Employment Summary

Identification code 65–0100–0–1–403	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	128	128	132

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:			
65–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	.....	1	1
General Fund Offsetting receipts from the public	.....	1	1

FEDERAL MEDIATION AND CONCILIATION SERVICE

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service ("Service") to carry out the functions vested in it by the Labor-Management Relations Act, 1947, including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978; and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, [$46,250,000] *$47,045,000, including $400,000 to remain available through September 30, 2014, for activities authorized by the Labor-Management Cooperation Act of 1978*: *Provided*, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: *Provided further*, That fees for arbitration

services shall be available only for education, training, and professional development of the agency workforce: *Provided further*, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 93–0100–0–1–505		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Dispute mediation and preventive mediation, public information, and grants	36	36	37
0002	Arbitration services	1	1	1
0003	Management and administrative support	9	9	9
0091	Total direct program	46	46	47
0101	Reimbursable program	2	2	2
0900	Total new obligations	48	48	49
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	5	5
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	47	46	47
1160	Appropriation, discretionary (total)	47	46	47
	Spending authority from offsetting collections, discretionary:			
1700	Collected	2	2	2
1750	Spending auth from offsetting collections, disc (total)	2	2	2
1900	Budget authority (total)	49	48	49
1930	Total budgetary resources available	53	53	54
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	5	5
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	6	6	7
3030	Obligations incurred, unexpired accounts	48	48	49
3031	Obligations incurred, expired accounts		1	
3040	Outlays (gross)	–48	–48	–49
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	6	7	7
3100	Obligated balance, end of year (net)	6	7	7
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	49	48	49
	Outlays, gross:			
4010	Outlays from new discretionary authority	41	44	45
4011	Outlays from discretionary balances	7	4	4
4020	Outlays, gross (total)	48	48	49
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–1	–1	–1
4033	Non-Federal sources	–1	–1	–1
4040	Offsets against gross budget authority and outlays (total)	–2	–2	–2
4070	Budget authority, net (discretionary)	47	46	47
4080	Outlays, net (discretionary)	46	46	47
4180	Budget authority, net (total)	47	46	47
4190	Outlays, net (total)	46	46	47

The Federal Mediation and Conciliation Service (FMCS) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

Dispute Mediation.—FMCS assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. FMCS also makes mediation and conciliation services available to federal agencies and organizations representing federal employees in the resolution of negotiation disputes. FMCS provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments in both the private and public sectors. These numbers include collective bargaining and grievance mediation.

DISPUTE MEDIATION WORKLOAD DATA

	2009 actual	2010 actual	2011 actual	2012 est.	2013 est.
Dispute mediation assignments	15,914	16,251	15,680	15,900	15,900
Total active mediations	6,771	7,003	6,570	6,711	6,711

PREVENTIVE MEDIATION WORKLOAD DATA

	2009 actual	2010 actual	2011 actual	2012 est.	2013 est.
Total preventive mediation cases conducted	2,327	2,200	2,301	2,400	2,400

Preventive Mediation, Public Information, and Educational Activities.—Through its preventive mediation program, FMCS initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach (EAO) activities such as lectures, seminars, and conferences.

Arbitration Services.—FMCS assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

ARBITRATION SERVICES WORKLOAD DATA

	2009 actual	2010 actual	2011 actual	2012 est.	2013 est.
Number of panels issued	16,692	16,486	13,500	14,500	14,500
Number of arbitrators appointed	6,496	6,870	5,575	5,895	5,895

Management and Administrative Support.—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

Labor-Management Cooperation Project.—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes FMCS to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

Alternative Dispute Resolution (ADR) Projects.—FMCS assists other federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed federal processes. FMCS is funded for this work through interagency reimbursable agreements.

ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

	2009 actual	2010 actual	2011 actual	2012 est.	2013 est.
Number of ADR Cases	1,362	1,392	1,254	1,320	1,320

Object Classification (in millions of dollars)

Identification code 93–0100–0–1–505		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	27	27	27
12.1	Civilian personnel benefits	8	8	8
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	5	6	6
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	2	2	2
31.0	Equipment	1		
41.0	Grants, subsidies, and contributions	1	1	1
99.0	Direct obligations	47	47	47
99.0	Reimbursable obligations	1	1	2
99.9	Total new obligations	48	48	49

Employment Summary

Identification code 93–0100–0–1–505		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	235	241	241

SALARIES AND EXPENSES—Continued

Employment Summary—Continued

Identification code 93–0100–0–1–505	2011 actual	2012 est.	2013 est.
2001 Reimbursable civilian full-time equivalent employment	8	8	8

FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Federal Mine Safety and Health Review Commission, [$17,637,000] *$16,000,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2800–0–1–554	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Commission review	5	5	5
0002 Administrative law judge determinations	9	13	11
0900 Total new obligations	14	18	16
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	18	16
1160 Appropriation, discretionary (total)	10	18	16
1930 Total budgetary resources available	14	18	16
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	2	2	3
3030 Obligations incurred, unexpired accounts	14	18	16
3040 Outlays (gross)	–13	–17	–16
3081 Recoveries of prior year unpaid obligations, expired	–1	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	2	3	3
3100 Obligated balance, end of year (net)	2	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	18	16
Outlays, gross:			
4010 Outlays from new discretionary authority	9	15	14
4011 Outlays from discretionary balances	4	2	2
4020 Outlays, gross (total)	13	17	16
4180 Budget authority, net (total)	10	18	16
4190 Outlays, net (total)	13	17	16

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor under the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	2011 Actual	2012 est.	2013 est.
Commission review activities:			
Cases pending beginning of year	119	102	122
New cases received	259	270	280
Total case workload	378	372	402
Cases decided	276	250	250
Cases pending end of year	102	122	152
Administrative law judge activities:			
Cases pending beginning of year	18,170	15,797	15,797
New cases received	10,594	11,000	11,000
Total case workload	28,764	26,797	26,797
Cases decided	12,967	11,000	11,000
Cases pending end of year	15,797	15,797	15,797

Object Classification (in millions of dollars)

Identification code 95–2800–0–1–554	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	7	8	8
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	3	3	3
26.0 Supplies and materials	.....	1	1
31.0 Equipment	.....	2	.....
99.9 Total new obligations	14	18	16

Employment Summary

Identification code 95–2800–0–1–554	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	69	72	74

FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

Federal Funds

PROGRAM EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 26–5290–0–2–602	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0220 Reimbursement for Program Expenses, Federal Retirement Thrift Investment Board	128	143	146
0400 Total: Balances and collections	128	143	146
Appropriations:			
0500 Program Expenses	–128	–143	–146
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 26–5290–0–2–602	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Administrative expenses	128	143	146
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	128	143	146
1260 Appropriations, mandatory (total)	128	143	146
1930 Total budgetary resources available	128	143	146
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	128	143	146
3040 Outlays (gross)	–128	–143	–146
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	128	143	146
Outlays, gross:			
4100 Outlays from new mandatory authority	128	143	146
4180 Budget authority, net (total)	128	143	146
4190 Outlays, net (total)	128	143	146

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund. Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent auto-

matic contributions for employees who separate from the Federal Government prior to vesting and then from earnings on all participant and agency contributions to the Fund.

The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

Object Classification (in millions of dollars)

Identification code 26–5290–0–2–602		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	13	12	13
12.1	Civilian personnel benefits	3	3	4
23.2	Rental payments to others	4	4	4
23.3	Communications, utilities, and miscellaneous charges	14	13	13
24.0	Printing and reproduction	4	3	3
25.1	Advisory and assistance services	.....	1	1
25.2	Other services from non-Federal sources	75	92	93
25.3	Other goods and services from Federal sources	2	1	1
26.0	Supplies and materials	.....	1	1
31.0	Equipment	13	13	13
99.9	Total new obligations	128	143	146

Employment Summary

Identification code 26–5290–0–2–602		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	93	121	121

INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. However, only those civilian employees covered by the Federal Employees' Retirement System (or equivalent retirement systems) and a limited category of uniformed services personnel may have their contributions matched by the employing agencies in accordance with the formulas prescribed by law. Employees can invest in five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; an international stock index investment fund; or in five lifecycle funds, which were introduced in August 2005. These funds are composed of varying allocations of the five core investment funds. The allocations are based on the target maturity date of each fund.

The estimated status of the Fund is shown below:

STATUS OF THRIFT SAVINGS FUND

[In millions of dollars]

	2011 actual	2012 est.	2013 est.
Thrift Savings Fund investment balance, start of year	264,013	272,587	282,239
Receipts during the year:			
Employee contributions	18,125	18,669	19,229
Contributions on behalf of employees[1]	7,360	7,581	7,808
Earnings and adjustments[2]	(5,798)	(5,151)	(4,518)
Total receipts	19,687	21,098	22,519
Outlays during the year:			
Withdrawals	10,539	10,855	11,181
Loans to employees, net of repayments	460	473	488
Administrative expenses	114	118	121
Total cash outlays	11,113	11,446	11,790
Thrift Savings Fund investment balance, end of year[3]	272,587	282,239	292,969

Notes:

\1\2011 Employer contributions included:	
Automatic contributions for FERS employees:	1,707
Matching contributions for FERS employees:	5,654
\2\2011 Earnings included:	
Return on investment in Government Securities	3,317
Return on investment in non-government instruments	(9,374)
Interest on loans to employees	249
Agency payments for lost earnings	9
\3\Investment Balances at 9/30/2011 were:	
Government Securities Investment Fund	139,325
Barclays U.S. Debt Index Fund	21,880
Barclays Equity Index Fund	68,841
Barclays Extended Equity Market Fund	22,519
Barclays EAFE Index Fund	20,022

FEDERAL TRADE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed $2,000 for official reception and representation expenses, [$311,563,000] *$300,000,000*, to remain available until expended: *Provided*, That not to exceed $300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718: *Provided further*, That, notwithstanding any other provision of law, not to exceed [$108,000,000] *$117,500,000* of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection, shall be retained and used for necessary expenses in this appropriation: *Provided further*, That, notwithstanding any other provision of law, not to exceed [$21,000,000] *$19,000,000* in offsetting collections derived from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.), shall be credited to this account, and be retained and used for necessary expenses in this appropriation: *Provided further*, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year [2012] *2013*, so as to result in a final fiscal year [2012] *2013* appropriation from the general fund estimated at not more than [$182,563,000] *$163,500,000*: *Provided further*, That none of the funds made available to the Federal Trade Commission may be used to implement subsection (e)(2)(B) of section 43 of the Federal Deposit Insurance Act (12 U.S.C. 1831t). *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 29–0100–0–1–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Protect Consumers	166	187	170
0002	Maintain Competition	125	146	130
0192	Subtotal, direct program	291	333	300
0799	Total direct obligations	291	333	300
0803	Reimbursable program	1	1	1
0900	Total new obligations	292	334	301
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	13	21	.....
1021	Recoveries of prior year unpaid obligations	8	.....	.....
1050	Unobligated balance (total)	21	21	.....
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	186	183	164
1160	Appropriation, discretionary (total)	186	183	164
	Spending authority from offsetting collections, discretionary:			
1700	Offsetting collections (cash) - HSR	91	108	117

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 29–0100–0–1–376		2011 actual	2012 est.	2013 est.
1700	Offsetting collections (cash) - Do Not Call	14	21	19
1700	Offsetting collections (cash) - Reimb	1	1	1
1750	Spending auth from offsetting collections, disc (total)	106	130	137
1900	Budget authority (total)	292	313	301
1930	Total budgetary resources available	313	334	301
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	21		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	90	86	113
3030	Obligations incurred, unexpired accounts	292	334	301
3040	Outlays (gross)	–288	–307	–295
3080	Recoveries of prior year unpaid obligations, unexpired	–8		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	86	113	119
3100	Obligated balance, end of year (net)	86	113	119
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	292	313	301
	Outlays, gross:			
4010	Outlays from new discretionary authority	237	209	191
4011	Outlays from discretionary balances	51	98	104
4020	Outlays, gross (total)	288	307	295
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–1	–1	–1
4034	Offsetting governmental collections	–105	–129	–136
4040	Offsets against gross budget authority and outlays (total)	–106	–130	–137
4070	Budget authority, net (discretionary)	186	183	164
4080	Outlays, net (discretionary)	182	177	158
4180	Budget authority, net (total)	186	183	164
4190	Outlays, net (total)	182	177	158

The mission of the Federal Trade Commission (the Commission or FTC) is to prevent business practices that are anticompetitive or deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. The FTC's mission is based on a vision of the U.S. economy characterized by vigorous competition and consumer access to accurate information, which yields a wide range of products at competitive prices and rewards efficiency, innovation, and consumer choice.

Protect Consumers.—This goal is to prevent fraud, deception, and unfair business practices in the marketplace. The agency works to accomplish this goal through five objectives: (1) identify fraud, deception, and unfair practices that cause the greatest consumer injury; (2) stop fraud, deception, unfairness, and other unlawful practices through law enforcement; (3) prevent consumer injury through education; (4) enhance consumer protection through research, reports, rulemaking, and advocacy; and (5) protect American consumers in the global marketplace by providing sound policy and technical input to foreign governments and international organizations to promote sound consumer policy.

Maintain Competition.— This goal is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The agency works to accomplish this goal through four objectives: (1) take action against anticompetitive mergers and practices that may cause significant consumer injury; (2) prevent consumer injury through education; (3) enhance consumer benefit through research, reports, and advocacy; and (4) protect American consumers in the global marketplace by providing sound policy recommendations and technical advice to foreign governments and international organizations to promote sound competition policy.

The 2013 Budget includes a program level for the Commission of $300 million in 2013, funded by $163.5 million from the General Fund of the U.S. Treasury and offsetting collections from two sources: $117.5 million from fees for Hart-Scott-Rodino Act premerger notification filings as authorized by 15 U.S.C. 18a and $19 million from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq., as amended).

Object Classification (in millions of dollars)

Identification code 29–0100–0–1–376		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	128	132	136
11.3	Other than full-time permanent	8	9	9
11.5	Other personnel compensation	3	2	2
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	140	144	148
12.1	Civilian personnel benefits	38	40	41
21.0	Travel and transportation of persons	3	3	3
23.1	Rental payments to GSA	25	31	31
23.3	Communications, utilities, and miscellaneous charges	6	7	7
24.0	Printing and reproduction	2	2	2
25.1	Advisory and assistance services	45	44	38
25.2	Other services from non-Federal sources	4	4	4
25.3	Other goods and services from Federal sources	12	9	8
25.4	Operation and maintenance of facilities	2	2	2
25.7	Operation and maintenance of equipment	2	2	3
26.0	Supplies and materials	1	1	1
31.0	Equipment	11	44	12
99.0	Direct obligations	291	333	300
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations	292	334	301

Employment Summary

Identification code 29–0100–0–1–376		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	1,155	1,176	1,186
2001	Reimbursable civilian full-time equivalent employment	5	6	6

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

		2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:				
29–322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	13		
General Fund Offsetting receipts from the public		13		

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

Federal Funds

[SALARIES AND EXPENSES]

[For payment to the Harry S Truman Scholarship Foundation Trust Fund, established by section 10 of Public Law 93–642, $748,000, to remain available until expended.] *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–0950–0–1–502	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	1	1	
0900 Total new obligations (object class 94.0)	1	1	
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	
1160 Appropriation, discretionary (total)	1	1	
1930 Total budgetary resources available	2	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	1	1	
3040 Outlays (gross)	–1	–1	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	
4180 Budget authority, net (total)	1	1	
4190 Outlays, net (total)	1	1	

Trust Funds

HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8296–0–7–502	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	30	30	32
Receipts:			
0240 Interest on Investments, Harry S. Truman Memorial Scholarship Trust Fund	3	4	4
0241 General Fund Payment, Harry S Truman Scholarship Trust Fun	1	1	
Adjustments:			
0290 Adjustment - receipts rounding	–1		
0299 Total receipts and collections	3	5	4
0400 Total: Balances and collections	33	35	36
Appropriations:			
0500 Harry S Truman Memorial Scholarship Trust Fund	–3	–3	–3
0799 Balance, end of year	30	32	33

Program and Financing (in millions of dollars)

Identification code 95–8296–0–7–502	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Scholarship awards	1	2	2
0002 Program administration	1	1	1
0900 Total new obligations	2	3	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	24	25	25
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3	3	3
1260 Appropriations, mandatory (total)	3	3	3
1930 Total budgetary resources available	27	28	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	25	25	25
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	2	3	3
3040 Outlays (gross)	–2	–3	–3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4101 Outlays from mandatory balances		1	1
4110 Outlays, gross (total)	2	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	2	3	3
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	54	48	38
5001 Total investments, EOY: Federal securities: Par value	48	38	38

Public Law 93–642 established the Harry S Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its annual competition, the Foundation selects up to 75 new Truman Scholars. The maximum award is $30,000 toward a graduate level degree program.

Scholarship awards.—This activity is comprised of scholarships awarded to cover eligible educational expenses.

Program administration.—This activity covers all costs of operating the program, including annual program announce- ment, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

Object Classification (in millions of dollars)

Identification code 95–8296–0–7–502	2011 actual	2012 est.	2013 est.
41.0 Direct obligations: Grants, subsidies, and contributions	1	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	2	3	3

Employment Summary

Identification code 95–8296–0–7–502	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	5	3	3

INDEPENDENT PAYMENT ADVISORY BOARD

Federal Funds

INDEPENDENT PAYMENT ADVISORY BOARD

Program and Financing (in millions of dollars)

Identification code 95–3746–0–1–571	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity		5	15
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected		5	15
1850 Spending auth from offsetting collections, mand (total)		5	15
1930 Total budgetary resources available		5	15
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts		5	15

INDEPENDENT PAYMENT ADVISORY BOARD—Continued

Program and Financing—Continued

Identification code 95–3746–0–1–571		2011 actual	2012 est.	2013 est.
3040	Outlays (gross)		–5	–15
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		5	15
	Outlays, gross:			
4100	Outlays from new mandatory authority		5	15
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources		–5	–15

Object Classification (in millions of dollars)

Identification code 95–3746–0–1–571		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent		2	5
12.1	Civilian personnel benefits		1	2
23.3	Communications, utilities, and miscellaneous charges		1	1
25.1	Advisory and assistance services		1	7
99.9	Total new obligations		5	15

Employment Summary

Identification code 95–3746–0–1–571		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment		16	34

INDIAN LAW AND ORDER COMMISSION

Federal Funds

INDIAN LAW AND ORDER COMMISSION

Program and Financing (in millions of dollars)

Identification code 48–2971–0–1–754		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Indian Law and Order Commission		2	
0900	Total new obligations (object class 25.2)		2	
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		2	
1011	Unobligated balance transfer from other accts [15–0409]	1		
1050	Unobligated balance (total)	1	2	
	Budget authority:			
	Appropriations, mandatory:			
1221	Appropriations transferred from other accts [14–2100]	1		
1260	Appropriations, mandatory (total)	1		
1900	Budget authority (total)	1		
1930	Total budgetary resources available	2	2	
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2		
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		2	
3040	Outlays (gross)		–2	
	Budget authority and outlays, net:			
	Discretionary:			
	Outlays, gross:			
4011	Outlays from discretionary balances		2	
	Mandatory:			
4090	Budget authority, gross	1		
4180	Budget authority, net (total)	1		
4190	Outlays, net (total)		2	

INSTITUTE OF AMERICAN INDIAN AND ALASKA NATIVE CULTURE AND ARTS DEVELOPMENT

Federal Funds

PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99–498, as amended (20 U.S.C. 56 part A), [$8,533,000] *$9,369,000*. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2900–0–1–502		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Payment to the Institute	8	9	9
0900	Total new obligations (object class 41.0)	8	9	9
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	8	9	9
1160	Appropriation, discretionary (total)	8	9	9
1930	Total budgetary resources available	8	9	9
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	8	9	9
3040	Outlays (gross)	–8	–9	–9
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	8	9	9
	Outlays, gross:			
4010	Outlays from new discretionary authority	8	9	9
4180	Budget authority, net (total)	8	9	9
4190	Outlays, net (total)	8	9	9

Title XV of Public Law 99–498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

Payment to the Institute.—This activity supports the operations of the Institute.

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

Federal Funds

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account, [$547,891,000] *$540,252,000*. *(Department of Defense Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–0401–0–1–054		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Intelligence community management	660	548	540
0801	Reimbursable program	8	10	10
0900	Total new obligations	668	558	550

	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	650	548	540
1120	Transferred to other accounts [57–3600]	–2		
1121	Appropriations transferred from other accts [57–3080]	1		
1121	Appropriations transferred from other accts [97–0100]	13		
1121	Appropriations transferred from other accts [57–3600]	11		
1160	Appropriation, discretionary (total)	673	548	540
	Spending authority from offsetting collections, discretionary:			
1700	Collected	6	10	10
1701	Change in uncollected payments, Federal sources	2		
1750	Spending auth from offsetting collections, disc (total)	8	10	10
1900	Budget authority (total)	681	558	550
1930	Total budgetary resources available	681	558	550
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–13		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	219	264	278
3010	Uncollected pymts, Fed sources, brought forward, Oct 1		–2	–2
3020	Obligated balance, start of year (net)	219	262	276
3030	Obligations incurred, unexpired accounts	668	558	550
3031	Obligations incurred, expired accounts	105		
3040	Outlays (gross)	–712	–544	–563
3050	Change in uncollected pymts, Fed sources, unexpired	–2		
3081	Recoveries of prior year unpaid obligations, expired	–16		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	264	278	265
3091	Uncollected pymts, Fed sources, end of year	–2	–2	–2
3100	Obligated balance, end of year (net)	262	276	263
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	681	558	550
	Outlays, gross:			
4010	Outlays from new discretionary authority	475	350	345
4011	Outlays from discretionary balances	237	194	218
4020	Outlays, gross (total)	712	544	563
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–4	–10	–10
4033	Non-Federal sources	–3		
4040	Offsets against gross budget authority and outlays (total)	–7	–10	–10
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–2		
4052	Offsetting collections credited to expired accounts	1		
4060	Additional offsets against budget authority only (total)	–1		
4070	Budget authority, net (discretionary)	673	548	540
4080	Outlays, net (discretionary)	705	534	553
4180	Budget authority, net (total)	673	548	540
4190	Outlays, net (total)	705	534	553

The Intelligence Community Management Account (ICMA) provides resources that directly support the Director of National Intelligence (DNI) and the Intelligence Community (IC) as a whole in coordinating cross-program activities, improving budget oversight, and strengthening Community Management. The ICMA funds selected oversight elements including the National Intelligence Council, the DNI Special Security Center, the President's Daily Briefing Staff, and other enterprise-wide functions.

These oversight elements are the DNI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These responsibilities include: developing the National Intelligence Program budget, developing intelligence plans and requirements, and overseeing research and development activities. The National Intelligence Council provides analytical support to the DNI and to national policy makers. The DNI Special Security Center develops uniform IC-wide security policies. The President's Daily Briefing Staff supports the production of the daily intelligence briefing that is provided to the President and his senior staff.

Object Classification (in millions of dollars)

Identification code 95–0401–0–1–054	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	99	88	108
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	11	6	8
11.9 Total personnel compensation	111	95	117
12.1 Civilian personnel benefits	26	24	26
21.0 Travel and transportation of persons	14	15	15
23.2 Rental payments to others	2	5	2
24.0 Printing and reproduction	3	4	4
25.1 Advisory and assistance services	37	41	41
25.2 Other services from non-Federal sources	183	228	205
25.3 Other goods and services from Federal sources	249	113	114
25.5 Research and development contracts	1	1	1
25.7 Operation and maintenance of equipment	30	18	11
26.0 Supplies and materials	2	2	2
31.0 Equipment	2	2	2
99.0 Direct obligations	660	548	540
99.0 Reimbursable obligations	8	10	10
99.9 Total new obligations	668	558	550

Employment Summary

Identification code 95–0401–0–1–054	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	756	690	766

INTERNATIONAL TRADE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles, and services as authorized by 5 U.S.C. 3109, and not to exceed $2,250 for official reception and representation expenses, [$80,000,000] *$82,800,000*, to remain available until expended. *(Commerce, Justice, Science, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 34–0100–0–1–153	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Research, investigations, and reports	84	81	83
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	3	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	82	80	83
1160 Appropriation, discretionary (total)	82	80	83
1930 Total budgetary resources available	85	81	83
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	12	16	6
3030 Obligations incurred, unexpired accounts	84	81	83
3040 Outlays (gross)	–79	–91	–83
3080 Recoveries of prior year unpaid obligations, unexpired	–1		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	16	6	6
3100 Obligated balance, end of year (net)	16	6	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	82	80	83

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 34–0100–0–1–153		2011 actual	2012 est.	2013 est.
	Outlays, gross:			
4010	Outlays from new discretionary authority		75	78
4011	Outlays from discretionary balances	79	16	5
4020	Outlays, gross (total)	79	91	83
4180	Budget authority, net (total)	82	80	83
4190	Outlays, net (total)	79	91	83

The U.S. International Trade Commission (Commission) is an independent, quasi-judicial Federal agency with broad investigative responsibilities on matters of trade. The mission of the Commission is threefold: administer U.S. trade remedy laws within its mandate in a fair and objective manner; provide the President, the U. S. Trade Representative, and the Congress with independent, quality analysis, information, and support on matters of tariffs and international trade and competitiveness; and maintain the Harmonized Tariff Schedule of the United States.For 2013, the Commission requests an appropriation of $82.8. million to support its authorized operations. Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President. The Commission is issuing an Addendum to its Strategic Plan for 2009–2014 in February 2012. The Addendum describes revisions to the Commission's existing performance goals and sets out new management goals in areas such as financial management, procurement, human resources, and information technology. During 2012–2013, the Commission will develop its Strategic Plan for 2014–2018.While the Commission has one program activity set forth in the Budget of the United States, the Commission's Strategic Plan identifies five strategic Operations. These Operations define the functions of the Commission, highlighting the diverse benefits that the Commission provides in facilitating an open trading system based on the rule of law and the economic interests of the United States. For each of these Operations, the Strategic Plan identifies a strategic goal, performance goals, and strategies to enable the agency to meet these goals. The Commission's annual measures provide targets by which the agency can assess whether it is making progress toward achieving its performance goals. The Commission's Operations are Import Injury Investigations, Intellectual Property-Based Import Investigations, Industry and Economic Analysis, Tariff and Trade Information Services, and Trade Policy Support. The Commission makes available its Strategic Plan, Agency Financial Report, Annual Performance Report and Budget Justification at http://www.usitc.gov.

Object Classification (in millions of dollars)

Identification code 34–0100–0–1–153		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	46	45	45
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	47	46	46
12.1	Civilian personnel benefits	11	12	12
23.1	Rental payments to GSA	10	10	11
25.2	Other services from non-Federal sources	11	6	7
25.3	Other goods and services from Federal sources	2	3	3
26.0	Supplies and materials	1	2	2
31.0	Equipment	2	2	2
99.9	Total new obligations	84	81	83

Employment Summary

Identification code 34–0100–0–1–153		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	384	382	382

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

Trust Funds

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8282–0–7–502		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			
	Receipts:			
0240	Earnings on Investments, James Madison Memorial Fellowship Foundation	2	2	2
0400	Total: Balances and collections	2	2	2
	Appropriations:			
0500	James Madison Memorial Fellowship Trust Fund	–2	–2	–2
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–8282–0–7–502		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Fellowship awards	2	1	1
0002	Program administration		1	1
0900	Total new obligations	2	2	2
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	38	38	38
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	2	2	2
1260	Appropriations, mandatory (total)	2	2	2
1900	Budget authority (total)	2	2	2
1930	Total budgetary resources available	40	40	40
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	38	38	38
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	1
3030	Obligations incurred, unexpired accounts	2	2	2
3040	Outlays (gross)	–2	–2	–2
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	1	1
3100	Obligated balance, end of year (net)	1	1	1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	2	2	2
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	2	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	2	2	2
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	37	37	37
5001	Total investments, EOY: Federal securities: Par value	37	37	37

Public Laws 99–500, 101–208, and 102–221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of $10 million in 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest

earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The Foundation is authorized to award graduate fellowships of up to $24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

Fellowship awards.—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights.

Program administration.—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)

Identification code 95–8282–0–7–502		2011 actual	2012 est.	2013 est.
41.0	Direct obligations: Grants, subsidies, and contributions	1	1	1
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	2	2	2

Employment Summary

Identification code 95–8282–0–7–502		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	2		

JAPAN-UNITED STATES FRIENDSHIP COMMISSION

Trust Funds

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8025–0–7–154		2011 actual	2012 est.	2013 est.
0100	Balance, start of year		37	37
	Adjustments:			
0190	Adjustment - improved accounting to reflect amount that is available for investment only	38		
0199	Balance, start of year	38	37	37
	Receipts:			
0240	Interest on Investment in Public Debt Securities, Japan-United States Friendship Commission	2	3	3
0400	Total: Balances and collections	40	40	40
	Appropriations:			
0500	Japan-United States Friendship Trust Fund	–3	–3	–3
0799	Balance, end of year	37	37	37

Program and Financing (in millions of dollars)

Identification code 95–8025–0–7–154		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Grants	2	2	2
0002	Administration		1	1
0900	Total new obligations	2	3	3
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	39	1	1
1020	Adjustment of unobligated bal brought forward, Oct 1	–39		
1050	Unobligated balance (total)		1	1
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	3	3	3
1260	Appropriations, mandatory (total)	3	3	3
1930	Total budgetary resources available	3	4	4
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	2	3	3
3040	Outlays (gross)	–2	–3	–3
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	3	3	3
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	3	3
4180	Budget authority, net (total)	3	3	3
4190	Outlays, net (total)	2	3	3
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	39	39	38
5001	Total investments, EOY: Federal securities: Par value	39	38	38

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies in American universities, policy oriented research, faculty and other professional exchanges, public affairs programs, and other cultural and educational activities primarily in the United States.

Object Classification (in millions of dollars)

Identification code 95–8025–0–7–154		2011 actual	2012 est.	2013 est.
41.0	Direct obligations: Grants, subsidies, and contributions	1	2	2
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	2	3	3

Employment Summary

Identification code 95–8025–0–7–154		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	4	4	4

LEGAL SERVICES CORPORATION

Federal Funds

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, [$348,000,000] *$402,000,000*, of which [$322,400,000] *$376,800,000* is for basic field programs and required independent audits; [$4,200,000] *$4,200,000* is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; $17,000,000 is for management and grants oversight; [$3,400,000] *$3,000,000* is for client self-help and information technology; and $1,000,000 is for loan repayment assistance: *Provided*, That the Legal Services Corporation may continue to provide locality pay to officers and employees at a rate no greater than that provided by the Federal Government to Washington, DC-based employees as authorized by 5 U.S.C. 5304, notwithstanding section 1005(d) of the Legal Services Corporation Act, 42 U.S.C. 2996(d): *Provided further*, That the authorities provided

PAYMENT TO THE LEGAL SERVICES CORPORATION—Continued

in section 205 of this Act shall be applicable to the Legal Services Corporation. *(Commerce, Justice, Science, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 20–0501–0–1–752		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Payment to Legal Services Corporation	404	348	402
0801	Reimbursable program activity	2		
0900	Total new obligations	406	348	402
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	405	348	402
1130	Appropriations permanently reduced	–1		
1160	Appropriation, discretionary (total)	404	348	402
	Spending authority from offsetting collections, discretionary:			
1700	Collected	2		
1750	Spending auth from offsetting collections, disc (total)	2		
1900	Budget authority (total)	406	348	402
1930	Total budgetary resources available	406	348	402
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	46	44	30
3030	Obligations incurred, unexpired accounts	406	348	402
3040	Outlays (gross)	–408	–362	–398
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	44	30	34
3100	Obligated balance, end of year (net)	44	30	34
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	406	348	402
	Outlays, gross:			
4010	Outlays from new discretionary authority	364	318	368
4011	Outlays from discretionary balances	44	44	30
4020	Outlays, gross (total)	408	362	398
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–2		
4180	Budget authority, net (total)	404	348	402
4190	Outlays, net (total)	406	362	398

The Legal Services Corporation (LSC) distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal Government. Funding for LSC helps ensure that low-income Americans have an opportunity to obtain access to the courts, due process and fair treatment.

Object Classification (in millions of dollars)

Identification code 20–0501–0–1–752		2011 actual	2012 est.	2013 est.
41.0	Direct obligations: Grants, subsidies, and contributions	404	348	402
99.0	Reimbursable obligations	2		
99.9	Total new obligations	406	348	402

ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105–119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to ⟦2011⟧ *2012* and ⟦2012⟧ *2013*, respectively.

Section 504 of Public Law 104–134 is amended:

(1) in subsection (a) by striking "to provide financial assistance to" and inserting in lieu thereof "by";

(2) in subsection (a) by inserting "in a manner" after" (which may be referred to in this section as a 'recipient')"; and

(3) by deleting (a)(7) and (a)(13) and renumbering the remaining subsections accordingly. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2012.)

MARINE MAMMAL COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of Public Law 92–522, ⟦$3,025,000⟧ *$3,081,000*. *(Department of Commerce Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2200–0–1–302		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Salaries and expenses	3	3	3
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	3	3	3
1160	Appropriation, discretionary (total)	3	3	3
1930	Total budgetary resources available	3	3	3
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	1
3030	Obligations incurred, unexpired accounts	3	3	3
3040	Outlays (gross)	–3	–3	–3
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	1	1
3100	Obligated balance, end of year (net)	1	1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	3	3	3
	Outlays, gross:			
4010	Outlays from new discretionary authority	3	2	2
4011	Outlays from discretionary balances		1	1
4020	Outlays, gross (total)	3	3	3
4180	Budget authority, net (total)	3	3	3
4190	Outlays, net (total)	3	3	3

The Commission recommends national and international marine mammal policies; recommends development of scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, the Interior, Defense, and State steps to conserve marine mammals domestically and internationally; and manages a research program.

Object Classification (in millions of dollars)

Identification code 95–2200–0–1–302		2011 actual	2012 est.	2013 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.0	Reimbursable obligations	1	1	1
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	3	3	3

Employment Summary

Identification code 95–2200–0–1–302	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	14	19	19

MERIT SYSTEMS PROTECTION BOARD

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978, and the Whistleblower Protection Act of 1989 (5 U.S.C. 5509 note), including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, direct procurement of survey printing, and not to exceed $2,000 for official reception and representation expenses, [$40,258,000] *$38,648,000*, to remain available until September 30, [2013] *2014*, together with not to exceed $2,345,000, to remain available until September 30, [2013] *2014*, for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 41–0100–0–1–805	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Adjudication	32	33	32
0002 Merit systems studies	3	3	3
0003 Management support	5	4	4
0799 Total direct obligations	40	40	39
0801 Reimbursable program activity	3	2	2
0900 Total new obligations	43	42	41
Budgetary Resources:			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts	1	.....	.....
1050 Unobligated balance (total)	1	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	40	40	39
1160 Appropriation, discretionary (total)	40	40	39
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	2	2
1750 Spending auth from offsetting collections, disc (total)	3	2	2
1900 Budget authority (total)	43	42	41
1930 Total budgetary resources available	44	42	41
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1	.....	.....
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	5	6	3
3030 Obligations incurred, unexpired accounts	43	42	41
3040 Outlays (gross)	–42	–45	–41
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	6	3	3
3100 Obligated balance, end of year (net)	6	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	43	42	41
Outlays, gross:			
4010 Outlays from new discretionary authority	38	39	38
4011 Outlays from discretionary balances	4	6	3
4020 Outlays, gross (total)	42	45	41
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–3	–2	–2
4180 Budget authority, net (total)	40	40	39
4190 Outlays, net (total)	39	43	39

The Merit Systems Protection Board (MSPB) is an independent agency in the Executive Branch of the Federal government that serves as the guardian of Federal merit systems. The Board's mission is to protect Federal merit systems and the rights of individuals within those systems. The MSPB accomplishes its mission by: hearing and deciding employee appeals from agency actions; hearing and deciding cases brought by the Special Counsel involving alleged abuses of the merit systems, and other cases arising under the Board's original jurisdiction; conducting studies of the civil service and other merit systems in the Executive Branch to determine whether they are free from prohibited personnel practices; and providing oversight of the significant actions and regulations of the Office of Personnel Management (OPM) to determine whether they are in accord with merit system principles. The MSPB's inception began in 1883, when Congress passed the Pendleton Act establishing the Civil Service Commission and a merit-based employment system for the Federal government. The Pendleton Act grew out of the 19th Century reform movement to curtail the excesses of political patronage in government. As the Commission's responsibilities multiplied, a growing consensus emerged that it could not properly and adequately perform managerial and adjudicatory functions simultaneously. Concern over the inherent conflict of interest in the Commission's role as both rule-maker and judge was a principal motivating factor behind the enactment by Congress of the Civil Service Reform Act of 1978. The Act replaced the Civil Service Commission with three new independent agencies: the OPM, which manages the Federal workforce; the Federal Labor Relations Authority, which oversees Federal labor-management relations; and the MSPB. The MSPB assumed the employee appeals functions of the Commission and was given the new responsibilities to perform merit systems studies and to review the significant actions of the OPM.

Object Classification (in millions of dollars)

Identification code 41–0100–0–1–805	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	25	25	25
12.1 Civilian personnel benefits	6	6	5
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	4	4
23.2 Rental payments to others	1	.....	.....
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	2	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	40	40	39
99.0 Reimbursable obligations	3	2	2
99.9 Total new obligations	43	42	41

Employment Summary

Identification code 41–0100–0–1–805	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	203	211	211
2001 Reimbursable civilian full-time equivalent employment	15	15	15

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

Federal Funds

MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND

For payment to the Morris K. Udall and Stewart L. Udall Trust Fund, pursuant to the Morris K. Udall and Stewart L. Udall Foundation Act (20 U.S.C. 5601 et seq.), $2,200,000, to remain available until expended, of which, notwithstanding sections 8 and 9 of such Act: (1) up to $50,000 shall be used to conduct financial audits pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107–289); and (2) up to $1,000,000 shall be available to carry out the activities authorized by section 6(7) of Public Law 102–259 (20 U.S.C. 5604(7)). *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–0900–0–1–502	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Federal payment to Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation	2	2	2
0900 Total new obligations (object class 94.0)	2	2	2
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	2	2
1160 Appropriation, discretionary (total)	3	2	2
1930 Total budgetary resources available	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	2	2	2
3040 Outlays (gross)	–2	–2	–2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
4180 Budget authority, net (total)	3	2	2
4190 Outlays, net (total)	2	2	2

The Morris K. Udall and Stewart L. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Udall Foundation. The Foundation awards scholarships, fellowships and grants, and, as required by its enabling legislation, funds specified activities of the Udall Center for Studies in Public Policy, based at the University of Arizona.

The Udall Foundation is authorized by 20 U.S.C. 5604(7) to establish training programs for professionals in Native American and Alaska Native health care and public policy. The Foundation provides these programs through the Native Nations Institute (NNI), which is housed at the University of Arizona and provides Native Americans and Alaska Natives with leadership and management training and assists in policy analysis relevant to tribes.

ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, ⟦$3,792,000⟧ *$3,800,000*, to remain available until expended. *(Financial Services and General Government Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5415–0–2–306	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0220 Fees for Services, Environmental Dispute Resolution Fund	6	3	3
0400 Total: Balances and collections	6	3	3
Appropriations:			
0500 Environmental Dispute Resolution Fund	–6	–3	–3
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–5415–0–2–306	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Environmental dispute resolution fund	10	6	6
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	2
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	1	1	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4	4
1160 Appropriation, discretionary (total)	4	4	4
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	6	3	3
1260 Appropriations, mandatory (total)	6	3	3
1900 Budget authority (total)	10	7	7
1930 Total budgetary resources available	11	8	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	2	3
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	2	2	1
3030 Obligations incurred, unexpired accounts	10	6	6
3040 Outlays (gross)	–9	–7	–7
3080 Recoveries of prior year unpaid obligations, unexpired	–1		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	2	1	
3100 Obligated balance, end of year (net)	2	1	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority	1	4	4
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	2	4	4
Mandatory:			
4090 Budget authority, gross	6	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	6	2	2
4101 Outlays from mandatory balances	1	1	1
4110 Outlays, gross (total)	7	3	3
4180 Budget authority, net (total)	10	7	7
4190 Outlays, net (total)	9	7	7
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	2		
5001 Total investments, EOY: Federal securities: Par value			1

In 1998, Public Law 105–56 created the U.S. Institute for Environmental Conflict Resolution as the only federal entity focused entirely on preventing and resolving environmental conflicts and promoting collaborative decision making. The Institute, part of the Udall Foundation, serves as an impartial, non-partisan institution providing professional expertise and services, including mediation, facilitation, and training, to all parties involved in environmental, natural resource, and public lands conflicts, including matters related to energy, transportation, and land use.

The Institute helps parties determine whether collaborative problem solving is appropriate for specific environmental challenges, the most suitable methods for bringing the parties together, and whether a third-party neutral might be helpful in assisting the parties in their efforts to reach consensus or to resolve the conflict. In addition to providing services directly, the Institute maintains a roster of qualified professional facilitators and mediators with substantial experience in environmental collaboration and conflict resolution, including a roster of neutrals with expertise in dealing with Native American Tribal issues, and can help parties in selecting an appropriate neutral. (See www.ecr.gov for more information about the Institute.)

Object Classification (in millions of dollars)

Identification code 95–5415–0–2–306		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	5	3	3
21.0	Travel and transportation of persons	1	1	1
25.2	Other services from non-Federal sources	4	2	2
99.9	Total new obligations	10	6	6

Employment Summary

Identification code 95–5415–0–2–306		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	32	6	6

Trust Funds

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8615–0–7–502		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	41	42	46
	Receipts:			
0240	General Fund Payments, Morris K. Udall Scholarship Fund	2	2	2
0241	Interest on Investments, Morris K. Udall Scholarship Fund	2	2	2
0299	Total receipts and collections	4	4	4
0400	Total: Balances and collections	45	46	50
	Appropriations:			
0500	Morris K. Udall and Stewart L. Udall Foundation	–3		
0799	Balance, end of year	42	46	50

Program and Financing (in millions of dollars)

Identification code 95–8615–0–7–502		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation	2	2	
0900	Total new obligations (object class 41.0)	2	2	
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	2	
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	3		
1260	Appropriations, mandatory (total)	3		
1930	Total budgetary resources available	4	2	
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)			2
3030	Obligations incurred, unexpired accounts	2	2	
3040	Outlays (gross)	–2		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		2	2
3100	Obligated balance, end of year (net)		2	2
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	3		
	Outlays, gross:			
4100	Outlays from new mandatory authority	2		
4180	Budget authority, net (total)	3		
4190	Outlays, net (total)	2		
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	42	32	32
5001	Total investments, EOY: Federal securities: Par value	32	32	32

Public Law 102–259 established the Udall Foundation to provide educational resources to promote studies in the natural environment and Native American public health and Tribal policy. In 2011, the Udall Foundation awarded 80 undergraduate scholarships and two graduate fellowships. Twelve participants in the Native American Congressional Summer Internship Program spent ten weeks in Congressional offices, the Council on Environmental Quality, and Executive Branch agencies participating in a program created by the Udall Foundation. In 2012 and 2013, the Foundation will maintain its current level of scholarships and internships. The fellowship is not being offered in FY 2012 and FY 2013 due to insufficient earnings on Trust Fund investments.

Employment Summary

Identification code 95–8615–0–7–502		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	7	3	3

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

Federal Funds

OPERATING EXPENSES

[(INCLUDING TRANSFER OF FUNDS)]

For necessary expenses in connection with the administration of the National Archives and Records Administration [(including the Information Security Oversight Office)] and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, [and] the activities of the Public Interest Declassification Board, and [for necessary expenses in connection with] the operations and maintenance of the electronic records archives [to include all direct project costs associated with research, program management, and corrective and adaptive software maintenance], and for the hire of passenger motor vehicles, and for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901 et seq.), including maintenance, repairs, and cleaning, [$373,300,000: *Provided*, That all remaining balances appropriated in prior fiscal years under the heading "Electronic Records Archives" shall be transferred to this account] *$371,675,000. (Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 88–0300–0–1–804		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Legislative Archives, Presidential Libraries, and Museum Services	105	104	104
0002	Research Services	97	97	96
0003	Agency and Related Services	55	85	85
0004	Facility Operations	53	58	58
0005	Archives II Facility	15	14	12
0006	Financial Transfer	14	15	17
0799	Total direct obligations	339	373	372

OPERATING EXPENSES—Continued

Program and Financing—Continued

Identification code 88–0300–0–1–804		2011 actual	2012 est.	2013 est.
0888	Reimbursable program	2	2	2
0900	Total new obligations	341	375	374
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	340	373	372
1130	Appropriations permanently reduced	–1		
1160	Appropriation, discretionary (total)	339	373	372
	Spending authority from offsetting collections, discretionary:			
1700	Collected	3	2	2
1700	Offsetting collections (cash applied to repay debt)	14	15	17
1726	Spending authority from offsetting collections applied to repay debt	–14	–15	–17
1750	Spending auth from offsetting collections, disc (total)	3	2	2
1900	Budget authority (total)	342	375	374
1930	Total budgetary resources available	342	375	374
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–1		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	91	80	99
3030	Obligations incurred, unexpired accounts	341	375	374
3031	Obligations incurred, expired accounts	1		
3040	Outlays (gross)	–349	–356	–352
3081	Recoveries of prior year unpaid obligations, expired	–4		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	80	99	121
3100	Obligated balance, end of year (net)	80	99	121
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	342	375	374
	Outlays, gross:			
4010	Outlays from new discretionary authority	272	288	287
4011	Outlays from discretionary balances	77	68	65
4020	Outlays, gross (total)	349	356	352
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–17	–17	–19
4180	Budget authority, net (total)	325	358	355
4190	Outlays, net (total)	332	339	333

The National Archives and Records Administration (NARA) operating expenses appropriation provides for the operation of the Federal government's archives and records management activities, the preservation of permanently valuable historical records, and their access and use by the public. This appropriation also includes the Electronic Records Archives (ERA), which preserves, stores, and manages digital Federal government records for archival purposes, ensuring long-term access.

Legislative Archives, Presidential Libraries, and Museum Services.—This activity provides for the Center for Legislative Archives and the Office of Presidential Materials, which provide records management services to the Congress and the White House; the Presidential Libraries of thirteen former Presidents; and nationwide education, outreach, and exhibits programs, including the National Archives Experience in Washington, DC.

Research Services.—This activity provides for the archival storage and preservation of permanently valuable Federal government records and for continued access to those records by the researcher community and the general public at public research rooms in fifteen locations across the country and on-line, at www.archives.gov.

Agency and Related Services.—This activity provides for the services NARA provides to other Federal agencies, including records management, appropriate declassification of classified national security information, oversight of the classification system and controlled, unclassified information, and improvements to the administration of the Freedom of Information Act by the Office of Government Information Services; the electronic records management activities of the ERA system; and publication of the Federal Register, U.S. Statutes-at-Large, and Presidential Papers.

Facility Operations.—This activity provides for the operations and maintenance of NARA facilities, including interest payments and repayments of principal on debt associated with construction of the Archives II building in College Park, MD. Appropriations for repayments of principal ("redemption of debt") are excluded from NARA budget authority.

Object Classification (in millions of dollars)

Identification code 88–0300–0–1–804		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	118	121	120
11.3	Other than full-time permanent	8	8	8
11.5	Other personnel compensation	3	3	3
11.9	Total personnel compensation	129	132	131
12.1	Civilian personnel benefits	36	37	37
21.0	Travel and transportation of persons	2	2	2
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	6	7	7
23.2	Rental payments to others	3	3	3
23.3	Communications, utilities, and miscellaneous charges	15	15	15
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	6	6	6
25.2	Other services from non-Federal sources	25	27	27
25.3	Other goods and services from Federal sources	18	22	22
25.4	Operation and maintenance of facilities	36	37	37
25.5	Research and development contracts		1	1
25.7	Operation and maintenance of equipment	20	34	34
26.0	Supplies and materials	3	3	3
31.0	Equipment	8	15	15
32.0	Land and structures	1	1	1
43.0	Interest and dividends	15	14	12
94.0	Financial transfers	14	15	17
99.0	Direct obligations	339	373	372
99.0	Reimbursable obligations	2	2	2
99.9	Total new obligations	341	375	374

Employment Summary

Identification code 88–0300–0–1–804		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	1,653	1,674	1,660
2001	Reimbursable civilian full-time equivalent employment	40	40	40

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Reform Act of 2008, Public Law 110–409, 122 Stat. 4302–16 (2008), and the Inspector General Act of 1978 (5 U.S.C. App.), and for the hire of passenger motor vehicles, $4,100,000. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 88–0305–0–1–804		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Office of Inspector General	4	4	4
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	4	4	4
1160	Appropriation, discretionary (total)	4	4	4
1930	Total budgetary resources available	4	4	4

	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	4	4	4
3040	Outlays (gross)	–4	–4	–4
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	4	4	4
	Outlays, gross:			
4010	Outlays from new discretionary authority	4	4	4
4180	Budget authority, net (total)	4	4	4
4190	Outlays, net (total)	4	4	4

The Office of Inspector General (OIG) provides independent audits and investigations as well as serving as an independent, internal advocate to promote economy, efficiency, and effectiveness at NARA. The Inspector General Act of 1978, as amended, established the OIG's independent role and general responsibilities. The Inspector General reports to the Archivist of the United States. The OIG evaluates NARA's performance, makes recommendations for improvements, and follows up to ensure economical, efficient, and effective operations and compliance with laws, policies, and regulations.

Object Classification (in millions of dollars)

Identification code 88–0305–0–1–804	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	1	1	1
99.9 Total new obligations	4	4	4

Employment Summary

Identification code 88–0305–0–1–804	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	22	24	24

Electronic Record Archives

Program and Financing (in millions of dollars)

Identification code 88–0303–0–1–804	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Electronic records archives	90		
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	72		
1160 Appropriation, discretionary (total)	72		
1930 Total budgetary resources available	92	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	40	53	
3030 Obligations incurred, unexpired accounts	90		
3040 Outlays (gross)	–77	–53	
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	53		
3100 Obligated balance, end of year (net)	53		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	72		
Outlays, gross:			
4010 Outlays from new discretionary authority	31		
4011 Outlays from discretionary balances	46	53	
4020 Outlays, gross (total)	77	53	
4180 Budget authority, net (total)	72		
4190 Outlays, net (total)	77	53	

Object Classification (in millions of dollars)

Identification code 88–0303–0–1–804	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5		
12.1 Civilian personnel benefits	1		
25.1 Advisory and assistance services	22		
25.5 Research and development contracts	2		
25.7 Operation and maintenance of equipment	1		
31.0 Equipment	59		
99.9 Total new obligations	90		

Employment Summary

Identification code 88–0303–0–1–804	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	44		

Repairs and Restoration

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, [$9,100,000] *$8,000,000*, to remain available until expended[: *Provided*, That from amounts made available for the Military Personnel Records Center requirement study under this heading in Public Law 108–199, the remaining unobligated balances shall be available to implement the National Archives and Records Administration Capital Improvement Plan: *Provided further*, That from amounts made available under this heading in Public Law 111–8 for construction costs and related services for building the addition to the John F. Kennedy Presidential Library and Museum and other necessary expenses, including renovating the Library as needed in constructing the addition, the remaining unobligated balances shall be available to implement the National Archives and Records Administration Capital Improvement Plan]. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 88–0302–0–1–804	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	14	9	8
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	34	34
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	39	34	34
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	9	8
1131 Unobligated balance of appropriations permanently reduced	–3		
1160 Appropriation, discretionary (total)	9	9	8
1930 Total budgetary resources available	48	43	42
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	34	34	34
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	34	18	11
3030 Obligations incurred, unexpired accounts	14	9	8
3040 Outlays (gross)	–29	–16	–15
3080 Recoveries of prior year unpaid obligations, unexpired	–1		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	18	11	4
3100 Obligated balance, end of year (net)	18	11	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	9	8

REPAIRS AND RESTORATION—Continued

Program and Financing—Continued

Identification code 88–0302–0–1–804	2011 actual	2012 est.	2013 est.
Outlays, gross:			
4010 Outlays from new discretionary authority	3	4	4
4011 Outlays from discretionary balances	26	12	11
4020 Outlays, gross (total)	29	16	15
4180 Budget authority, net (total)	9	9	8
4190 Outlays, net (total)	29	16	15

This account provides for the repair, alteration, and improvement of Archives facilities and Presidential Libraries nationwide. The 2013 Budget provides funding to maintain a safe environment for public visitors and researchers, NARA employees, and the permanently valuable Federal government records stored in NARA buildings.

Object Classification (in millions of dollars)

Identification code 88–0302–0–1–804	2011 actual	2012 est.	2013 est.
Direct obligations:			
25.1 Advisory and assistance services	1	.....	.....
25.4 Operation and maintenance of facilities	1	.....	.....
32.0 Land and structures	12	9	8
99.9 Total new obligations	14	9	8

NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION

GRANTS PROGRAM

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, [$5,000,000] *$3,000,000*, to remain available until expended. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 88–0301–0–1–804	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	12	5	3
0900 Total new obligations (object class 41.0)	12	5	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	1	1
1021 Recoveries of prior year unpaid obligations	1	.....	.....
1050 Unobligated balance (total)	6	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7	5	3
1160 Appropriation, discretionary (total)	7	5	3
1930 Total budgetary resources available	13	6	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	14	17	11
3030 Obligations incurred, unexpired accounts	12	5	3
3040 Outlays (gross)	–8	–11	–9
3080 Recoveries of prior year unpaid obligations, unexpired	–1	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	17	11	5
3100 Obligated balance, end of year (net)	17	11	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	5	3
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	.....
4011 Outlays from discretionary balances	7	10	9
4020 Outlays, gross (total)	8	11	9
4180 Budget authority, net (total)	7	5	3
4190 Outlays, net (total)	8	11	9

National Historical Publications and Records Commission Grants.—This program provides funding for grants to preserve and publish non-Federal records that document American history. The 2013 Budget provides funding to support core programs and initiatives in the form of grants that publish, preserve, and make accessible important historical documents.

RECORDS CENTER REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 88–4578–0–4–804	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Reimbursable program	185	188	191
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	24	4	2
1020 Adjustment of unobligated bal brought forward, Oct 1	–11	.....	.....
1021 Recoveries of prior year unpaid obligations	2	.....	.....
1050 Unobligated balance (total)	15	4	2
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	182	186	194
1701 Change in uncollected payments, Federal sources	–8	.....	.....
1750 Spending auth from offsetting collections, disc (total)	174	186	194
1930 Total budgetary resources available	189	190	196
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	2	5
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	36	32	27
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–36	–17	–17
3011 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	11	.....	.....
3020 Obligated balance, start of year (net)	11	15	10
3030 Obligations incurred, unexpired accounts	185	188	191
3040 Outlays (gross)	–187	–193	–190
3050 Change in uncollected pymts, Fed sources, unexpired	8	.....	.....
3080 Recoveries of prior year unpaid obligations, unexpired	–2	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	32	27	28
3091 Uncollected pymts, Fed sources, end of year	–17	–17	–17
3100 Obligated balance, end of year (net)	15	10	11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	174	186	194
Outlays, gross:			
4010 Outlays from new discretionary authority	161	167	165
4011 Outlays from discretionary balances	26	26	25
4020 Outlays, gross (total)	187	193	190
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–180	–184	–192
4033 Non-Federal sources	–2	–2	–2
4040 Offsets against gross budget authority and outlays (total)	–182	–186	–194
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	8	.....	.....
4080 Outlays, net (discretionary)	5	7	–4
4190 Outlays, net (total)	5	7	–4

The full cost recovery revolving fund provides for the storage and related services that NARA Records Centers provide to Federal agency customers. NARA Federal Records Centers provide low-cost, high-quality storage and related services, including: transfer, reference, re-file, and disposal services for temporary and pre-archival Federal government records.

Object Classification (in millions of dollars)

Identification code 88–4578–0–4–804		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	50	50	51
11.3	Other than full-time permanent	11	11	12
11.5	Other personnel compensation	4	4	4
11.9	Total personnel compensation	65	65	67
12.1	Civilian personnel benefits	19	19	20
21.0	Travel and transportation of persons	1	1	1
22.0	Transportation of things	3	2	3
23.1	Rental payments to GSA	39	49	44
23.2	Rental payments to others	11	12	13
23.3	Communications, utilities, and miscellaneous charges	4	5	5
25.1	Advisory and assistance services	2	2	2
25.2	Other services from non-Federal sources	4	3	4
25.3	Other goods and services from Federal sources	14	15	14
25.7	Operation and maintenance of equipment	10	7	8
26.0	Supplies and materials	2	2	2
31.0	Equipment	8	4	5
32.0	Land and structures	3	2	3
99.9	Total new obligations	185	188	191

Employment Summary

Identification code 88–4578–0–4–804		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	1,418	1,418	1,418

Trust Funds

National Archives Gift Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 88–8127–0–7–804		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			
	Receipts:			
0220	Gifts and Bequests, National Archives Gift Fund	1	4	8
0221	Interest and Dividends on Non-Federal Securities, National Archives Gift Fund	1	1	1
0222	Proceeds from Non-Federal Securities not Immediately Reinvested, National Archives Gift Fund	1	1	1
0299	Total receipts and collections	3	6	10
0400	Total: Balances and collections	3	6	10
	Appropriations:			
0500	National Archives Gift Fund	–3	–6	–10
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 88–8127–0–7–804		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Reimbursable program activity	3	7	11
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3	3	2
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	3	6	10
1260	Appropriations, mandatory (total)	3	6	10
1930	Total budgetary resources available	6	9	12
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	2	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	4
3030	Obligations incurred, unexpired accounts	3	7	11
3040	Outlays (gross)	–3	–4	–9
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	4	6
3100	Obligated balance, end of year (net)	1	4	6
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	3	6	10
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	4	7
4101	Outlays from mandatory balances	1		2
4110	Outlays, gross (total)	3	4	9
4180	Budget authority, net (total)	3	6	10
4190	Outlays, net (total)	3	4	9
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	3	3	3
5001	Total investments, EOY: Federal securities: Par value	3	3	3
5010	Total investments, SOY: non-Fed securities: Market value	15	15	15
5011	Total investments, EOY: non-Fed securities: Market value	15	15	15

The National Archives Trust Fund Board may accept conditional and unconditional gifts or bequests of money, securities, or other personal property for the benefit of NARA activities. NARA receives endowments from private foundations to offset the operating costs of Presidential Libraries. NARA will receive an endowment from the George W. Bush Library Foundation once the Library is constructed and ownership is transferred to the government.

Object Classification (in millions of dollars)

Identification code 88–8127–0–7–804		2011 actual	2012 est.	2013 est.
99.9	Total new obligations	3	7	11

National Archives Trust Fund

Program and Financing (in millions of dollars)

Identification code 88–8436–0–8–804		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Sales	8	8	8
0802	Presidential libraries	10	10	10
0900	Total new obligations	18	18	18
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	5	6	4
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	6	6	4
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	16	16	17
1801	Change in uncollected payments, Federal sources	2		
1850	Spending auth from offsetting collections, mand (total)	18	16	17
1930	Total budgetary resources available	24	22	21
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	6	4	3
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	3	3	5
3010	Uncollected pymts, Fed sources, brought forward, Oct 1		–2	–2
3020	Obligated balance, start of year (net)	3	1	3
3030	Obligations incurred, unexpired accounts	18	18	18
3040	Outlays (gross)	–17	–16	–17
3050	Change in uncollected pymts, Fed sources, unexpired	–2		
3080	Recoveries of prior year unpaid obligations, unexpired	–1		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	3	5	6
3091	Uncollected pymts, Fed sources, end of year	–2	–2	–2
3100	Obligated balance, end of year (net)	1	3	4
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	18	16	17

NATIONAL ARCHIVES TRUST FUND—Continued

Program and Financing—Continued

Identification code 88–8436–0–8–804		2011 actual	2012 est.	2013 est.
	Outlays, gross:			
4100	Outlays from new mandatory authority	16	15	16
4101	Outlays from mandatory balances	1	1	1
4110	Outlays, gross (total)	17	16	17
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–1	–1	–1
4123	Non-Federal sources	–15	–15	–16
4130	Offsets against gross budget authority and outlays (total)	–16	–16	–17
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	–2		
4170	Outlays, net (mandatory)	1		
4190	Outlays, net (total)	1		
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	7	6	6
5001	Total investments, EOY: Federal securities: Par value	6	6	6
5010	Total investments, SOY: non-Fed securities: Market value	12	13	13
5011	Total investments, EOY: non-Fed securities: Market value	13	13	13

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116). Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited in this fund (44 U.S.C. 2112, 2307).

Object Classification (in millions of dollars)

Identification code 88–8436–0–8–804		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	5	6	6
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	6	7	7
12.1	Civilian personnel benefits	2	2	2
21.0	Travel and transportation of persons	1	1	1
25.2	Other services from non-Federal sources	3	2	2
25.3	Other goods and services from Federal sources	3	3	3
26.0	Supplies and materials	2	2	2
33.0	Investments and loans	1	1	1
99.9	Total new obligations	18	18	18

Employment Summary

Identification code 88–8436–0–8–804		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	112	110	112

NATIONAL CAPITAL PLANNING COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, [$8,154,000] *$7,977,000*: *Provided*, That [one-quarter of 1 percent of the funds provided under this heading] *$21,268* may be used for official reception and representational expenses associated with hosting international visitors engaged in the planning and physical development of world capitals. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2500–0–1–451		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Salaries and expenses	8	8	8
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	8	8	8
1160	Appropriation, discretionary (total)	8	8	8
1930	Total budgetary resources available	8	8	8
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	2	2
3030	Obligations incurred, unexpired accounts	8	8	8
3040	Outlays (gross)	–7	–8	–8
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	2	2	2
3100	Obligated balance, end of year (net)	2	2	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	8	8	8
	Outlays, gross:			
4010	Outlays from new discretionary authority	6	8	8
4011	Outlays from discretionary balances	1		
4020	Outlays, gross (total)	7	8	8
4180	Budget authority, net (total)	8	8	8
4190	Outlays, net (total)	7	8	8

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal Government in the National Capital Region. Through its planning initiatives and review of development proposals, NCPC helps guide Federal development, preserving the Capital City's unique resources through study, analysis, and advance planning. In 2013, NCPC will work with the District of Columbia and its Federal and regional partners to promote development plans that support the Federal interest and contribute to the best urban design, transportation, and land-use scenarios for the National Capital Region. NCPC will continue to ensure that all Federal development in the region meets the highest design standards; assist Federal agencies in preparing appropriate security measures, in keeping with the guidelines of the National Capital Urban Design and Security Plan; review Federal plans for capital improvements in the region; and continue to develop long-range planning initiatives that are coordinated with Federal, State, local, and private business interests.

Object Classification (in millions of dollars)

Identification code 95–2500–0–1–451		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	1	2	2
25.1	Advisory and assistance services	1	1	1
25.4	Operation and maintenance of facilities	1		
99.9	Total new obligations	8	8	8

Employment Summary

Identification code 95–2500–0–1–451		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	40	44	43

NATIONAL COUNCIL ON DISABILITY

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, [$3,264,000] *$3,257,831. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–3500–0–1–506	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Salaries and expenses	3	3	3
0900 Total new obligations	3	3	3
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
1160 Appropriation, discretionary (total)	3	3	3
1930 Total budgetary resources available	3	3	3
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1	.....	.....
3030 Obligations incurred, unexpired accounts	3	3	3
3040 Outlays (gross)	–4	–3	–3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	3
4011 Outlays from discretionary balances	1	.....	.....
4020 Outlays, gross (total)	4	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	4	3	3

The National Council on Disability (NCD), an independent federal agency, is composed of 15 members appointed by the President and confirmed by the U.S. Senate. Established under the Rehabilitation Act of 1973, as amended, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President, Congress, the Rehabilitation Services Administration, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies.

Object Classification (in millions of dollars)

Identification code 95–3500–0–1–506	2011 actual	2012 est.	2013 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	2	2	2
99.9 Total new obligations	3	3	3

Employment Summary

Identification code 95–3500–0–1–506	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	11	13	13

NATIONAL CREDIT UNION ADMINISTRATION

Federal Funds

OPERATING FUND

Program and Financing (in millions of dollars)

Identification code 25–4056–0–3–373	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Examination and supervision	160	161	165
0803 Administration	43	70	73
0804 Office of Inspector General	4	3	4
0900 Total new obligations	207	234	242
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	29	32	22
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	207	227	242
1801 Change in uncollected payments, Federal sources	3	–3	.....
1850 Spending auth from offsetting collections, mand (total)	210	224	242
1930 Total budgetary resources available	239	256	264
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	32	22	22
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	26	24	31
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	.....	–3	.....
3020 Obligated balance, start of year (net)	26	21	31
3030 Obligations incurred, unexpired accounts	207	234	242
3040 Outlays (gross)	–209	–227	–242
3050 Change in uncollected pymts, Fed sources, unexpired	–3	3	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	24	31	31
3091 Uncollected pymts, Fed sources, end of year	–3	.....	.....
3100 Obligated balance, end of year (net)	21	31	31
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	210	224	242
Outlays, gross:			
4100 Outlays from new mandatory authority	206	203	211
4101 Outlays from mandatory balances	3	24	31
4110 Outlays, gross (total)	209	227	242
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–120	–137	–142
4121 Interest on Federal securities	.....	–1	–1
4123 Non-Federal sources	–1	.....	.....
4124 Offsetting governmental collections	–86	–89	–99
4130 Offsets against gross budget authority and outlays (total)	–207	–227	–242
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–3	3	.....
4170 Outlays, net (mandatory)	2	.....	.....
4190 Outlays, net (total)	2	.....	.....
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	54	52	52
5001 Total investments, EOY: Federal securities: Par value	52	52	52

The mission of the National Credit Union Administration (NCUA) is to facilitate the availability of credit union services to all eligible consumers, especially those of modest means, through an objective independent regulatory environment that protects credit union members. Credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident and productive purposes.

NCUA, through its Operating Fund, conducts activities prescribed by the Federal Credit Union Act of 1934, as amended, which include: (a) chartering new Federal credit unions; (b) determining field of membership of Federal credit unions; (c) promulgating rules and regulations; (d) performing regulatory and

OPERATING FUND—Continued

safety and soundness examinations; and (e) conducting administrative activities of the National Share Insurance Fund (SIF).

NCUA funds its activities through assessments levied on all Federally chartered credit unions, and through reimbursements from the SIF for its share of administrative expenses.

In 2011, NCUA chartered one new Federal credit union, bringing the total number of Federal credit unions to 4,498 with total assets of over $522 billion.

The NCUA Inspector General requests a 2013 budget of $4.2 million, of which $10,500 is requested for the payments to the Council of the Inspectors General on Integrity and Efficiency (CIGIE) and $17,600 is requested for training.

Object Classification (in millions of dollars)

Identification code 25–4056–0–3–373	2011 actual	2012 est.	2013 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	127	127	131
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	128	128	132
12.1 Civilian personnel benefits	41	41	43
21.0 Travel and transportation of persons	26	26	28
23.3 Communications, utilities, and miscellaneous charges	5	6	6
25.2 Other services from non-Federal sources	4	30	30
31.0 Equipment	3	3	3
99.9 Total new obligations	207	234	242

Employment Summary

Identification code 25–4056–0–3–373	2011 actual	2012 est.	2013 est.
2001 Reimbursable civilian full-time equivalent employment	1,126	1,260	1,260

CREDIT UNION SHARE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 25–4468–0–3–373	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Payments to the operating fund for services and facilities	120	137	142
0802 Other Administrative	12	11	4
0803 Working Capital	65	77	70
0804 Liquidation Expenses	120	383	348
0805 Distribution to TCCUSF (12 U.S.C. 1790(e))		130	
0807 Repayment to CLF	10,000		
0808 Interest	2		
0900 Total new obligations	10,319	738	564
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9,279	10,787	10,775
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	11,819	726	703
1801 Change in uncollected payments, Federal sources	8		
1850 Spending auth from offsetting collections, mand (total)	11,827	726	703
1930 Total budgetary resources available	21,106	11,513	11,478
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10,787	10,775	10,914
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	38	11	11
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–64	–72	–72
3020 Obligated balance, start of year (net)	–26	–61	–61
3030 Obligations incurred, unexpired accounts	10,319	738	564
3040 Outlays (gross)	–10,346	–738	–564
3050 Change in uncollected pymts, Fed sources, unexpired	–8		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	11	11	11
3091 Uncollected pymts, Fed sources, end of year	–72	–72	–72
3100 Obligated balance, end of year (net)	–61	–61	–61
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	11,827	726	703
Outlays, gross:			
4100 Outlays from new mandatory authority	10,319	726	553
4101 Outlays from mandatory balances	27	12	11
4110 Outlays, gross (total)	10,346	738	564
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	–193	–223	–231
4123 Non-Federal sources	–11,626	–503	–472
4130 Offsets against gross budget authority and outlays (total)	–11,819	–726	–703
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–8		
4170 Outlays, net (mandatory)	–1,473	12	–139
4190 Outlays, net (total)	–1,473	12	–139
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	9,279	10,733	10,721
5001 Total investments, EOY: Federal securities: Par value	10,733	10,721	10,860

Status of Guaranteed Loans (in millions of dollars)

Identification code 25–4468–0–3–373	2011 actual	2012 est.	2013 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	5	100	100
2150 Total guaranteed loan commitments	5	100	100
2199 Guaranteed amount of guaranteed loan commitments	5	100	100
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	10	5	10
2231 Disbursements of new guaranteed loans	5	100	100
2251 Repayments and prepayments	–10	–95	–100
2290 Outstanding, end of year	5	10	10
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	5	10	10

The primary purpose of the National Credit Union Share Insurance Fund (SIF) is to provide insurance for deposits of member accounts (also known as insured member shares) in Federal credit unions and state-chartered credit unions that apply and qualify for insurance, as authorized by P.L. 91–468. As of September 30, 2011, 7,179 natural person credit unions were insured by the SIF with insured member shares of $786 billion, an increase of $36 billion from 2010, or 5 percent.

Following a cost allocation method to distribute costs of the National Credit Union Administration (NCUA) between its insurance and regulatory functions, the SIF reimburses the NCUA Operating Fund for its share of administrative costs. In 2011, the SIF paid reimbursements of $120 million to the Operating Fund.

In response to financial distress, the Helping Families Save Their Homes Act of 2009 (P.L. 111–22) provided relief to credit union member institutions by: a) segregating losses of corporate credit unions into the Temporary Corporate Credit Union Stabilization Fund and providing a mechanism for assessing losses related to the corporate credit unions to member institutions over an extended period of time; b) allowing a restoration plan to spread insurance premium assessments over a period of up to eight years if the equity ratio falls below 1.2 percent; c) increasing the SIF's borrowing authority to $6 billion; and d) increasing deposit insurance coverage to $250,000, made permanent by the Dodd- Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203).

Each insured credit union is required to deposit and maintain one percent of its insured member share accounts in the SIF. If the SIF equity ratio falls below 1.2 percent, the Board is required to establish and implement a restoration plan that will restore the equity ratio in a period of not more than eight years. The equity ratio is the ratio of SIF net assets to the total amount of insured shares. The primary means for increasing the equity ratio is through insurance premiums to member credit unions. If the equity ratio increases above the normal operating level, which the Board has set at 1.3 percent, a dividend is paid to member credit unions. The Budget reflects a dividend payment to the Temporary Corporate Credit Union Stabilization Fund (TCCUSF) in 2012. Under 12 U.S.C. 1790e(c), dividends are paid to the TCCUSF instead of member credit unions when the TCCUSF has a loan outstanding from the U.S. Treasury.

Object Classification (in millions of dollars)

Identification code 25–4468–0–3–373		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
25.2	Other services from non-Federal sources	132	148	146
42.0	Insurance claims and indemnities	120	383	348
42.0	Insurance claims and indemnities	65	77	70
94.0	Financial transfers	10,002	130	.....
99.9	Total new obligations	10,319	738	564

Temporary Corporate Credit Union Stabilization Fund

Program and Financing (in millions of dollars)

Identification code 25–4477–0–3–373		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Corporate Credit Union Loans and Advances	9,807	3,500	.....
0802	Corporate Credit Union Guarantees	.....	.....	13
0803	Interest on borrowings	4	18	49
0804	Administrative	7	27	13
0900	Total new obligations	9,818	3,545	75
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	11,340	6,045	5,410
1020	Adjustment of unobligated bal brought forward, Oct 1	–4,976	.....	.....
1050	Unobligated balance (total)	6,364	6,045	5,410
	Budget authority:			
	Borrowing authority, mandatory:			
1400	Borrowing authority	7,500	2,000	.....
1440	Borrowing authority, mandatory (total)	7,500	2,000	.....
	Spending authority from offsetting collections, mandatory:			
1800	Collected	5,999	910	830
1825	Spending authority from offsetting collections applied to repay debt	–4,000	.....	–700
1850	Spending auth from offsetting collections, mand (total)	1,999	910	130
1900	Budget authority (total)	9,499	2,910	130
1930	Total budgetary resources available	15,863	8,955	5,540
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	6,045	5,410	5,465
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	–4,975	2,153	2,153
3001	Adjustments to unpaid obligations, brought forward, Oct 1	4,976	.....	.....
3020	Obligated balance, start of year (net)	1	2,153	2,153
3030	Obligations incurred, unexpired accounts	9,818	3,545	75
3040	Outlays (gross)	–7,666	–3,545	–75
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	2,153	2,153	2,153
3100	Obligated balance, end of year (net)	2,153	2,153	2,153
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	9,499	2,910	130
	Outlays, gross:			
4100	Outlays from new mandatory authority	7,666	702	63
4101	Outlays from mandatory balances	.....	2,843	12
4110	Outlays, gross (total)	7,666	3,545	75
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	.....	–130	.....
4123	Non-Federal sources	–5,999	–780	–830
4130	Offsets against gross budget authority and outlays (total)	–5,999	–910	–830
4160	Budget authority, net (mandatory)	3,500	2,000	–700
4170	Outlays, net (mandatory)	1,667	2,635	–755
4180	Budget authority, net (total)	3,500	2,000	–700
4190	Outlays, net (total)	1,667	2,635	–755
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	365	2,186	1,551
5001	Total investments, EOY: Federal securities: Par value	2,186	1,551	1,606

Status of Direct Loans (in millions of dollars)

Identification code 25–4477–0–3–373		2011 actual	2012 est.	2013 est.
	Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans	7,500	2,000	.....
1150	Total direct loan obligations	7,500	2,000	.....
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	.....	3,500	5,500
1231	Disbursements: Direct loan disbursements	7,500	2,000	.....
1251	Repayments: Repayments and prepayments	–4,000	.....	–700
1290	Outstanding, end of year	3,500	5,500	4,800

Status of Guaranteed Loans (in millions of dollars)

Identification code 25–4477–0–3–373		2011 actual	2012 est.	2013 est.
	Position with respect to appropriations act limitation on commitments:			
2131	Guaranteed loan commitments exempt from limitation	35,500	.....	.....
2150	Total guaranteed loan commitments	35,500	.....	.....
	Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	.....	35,500	33,500
2231	Disbursements of new guaranteed loans	35,500	.....	.....
2251	Repayments and prepayments	.....	–2,000	–3,500
2290	Outstanding, end of year	35,500	33,500	30,000
	Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	35,500	33,500	30,000

The Temporary Corporate Credit Union Stabilization Fund (TCCUSF) was created under the authority of the Helping Families Save Their Homes Act of 2009 (P.L. 111–22).

The purpose of the TCCUSF is to accrue the losses of the corporate credit union system, and to provide for the assessment over time to credit unions for the recovery of such losses. The recovery of losses can be assessed to credit unions before funds are advanced through borrowings from the U.S. Treasury to pay such losses, as allowed under the National Credit Union Authority Clarification Act (P.L. 111–382). TCCUSF has access to $6 billion in borrowing authority from Treasury, which is shared with the Share Insurance Fund, under 12 U.S.C. 1783 (d)(1). Total losses in the corporate credit union system are projected to range from approximately $5.2 to $9.5 billion. Of these losses, Federally insured credit unions have been assessed a total of $3.3 billion.

On June 18, 2009, the NCUA Board used its authority to legally obligate the TCCUSF for the costs of stabilizing the corporate credit union system. These actions included transferring the obligations of the Temporary Corporate Credit Union Liquidity

TEMPORARY CORPORATE CREDIT UNION STABILIZATION FUND—Continued

Guarantee Program (TCCULGP) to the TCCUSF, which were originally assigned to the Share Insurance Fund (SIF). TCCULGP was created in October 2008 to provide a guarantee on certain unsecured debt of participating corporate credit unions issued from October 16, 2008 through June 30, 2010, and maturing on or before June 30, 2017. The guarantee fee is priced to cover anticipated losses. The purpose of the program was to ensure parity with depositories covered by a similar FDIC guarantee program and maintain market confidence in corporate credit union unsecured debt offerings. This program is anticipated to end in November 2012, when the last of the guaranteed notes matures.

In September 2010, the NCUA Board approved the Corporate System Resolution Program , which set in motion actions to remove long-term threats to the corporate system. NCUA seized over 98 percent of all impaired asset- backed securities and began an orderly disposition. The plan resulted in securitizing cash flows from the impaired securities to raise liquidity, creating four (4) bridge corporate credit unions to effect the disposition of five (5) conserved corporate credit unions without interrupting service to customers, and finalizing a new rule to ensure the remaining corporate credit unions operate with much stronger standards for safety and soundness. These actions, among other benefits, prevented disruption in service to consumer credit unions and their members. The Budget reflects the implementation of the Corporate System Resolution Program , which results in system losses being paid for by Federally insured credit unions over the life of the TCCUSF.

Also in September 2010, NCUA extended the life of TCCUSF, with the concurrence of the U.S. Treasury, through 2021. Accordingly, TCCUSF is expected to expire in 2021.

Object Classification (in millions of dollars)

Identification code 25–4477–0–3–373		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
25.2	Other services from non-Federal sources	7	27	13
33.0	Investments and loans	9,807	3,500	
42.0	Insurance claims and indemnities			13
43.0	Interest and dividends	4	18	49
99.9	Total new obligations	9,818	3,545	75

CENTRAL LIQUIDITY FACILITY

During fiscal year [2012] *2013*, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by 12 U.S.C. 1795 et seq., shall be the amount authorized by section 307(a)(4)(A) of the Federal Credit Union Act (12 U.S.C. 1795f(a)(4)(A)): *Provided*, That administrative expenses of the Central Liquidity Facility in fiscal year [2012] *2013* shall not exceed $1,250,000. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 25–4470–0–3–373		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Administration	1	1	1
0802	Interest	7		
0803	Dividends on capital stock	5	1	1
0809	Reimbursable program activities, subtotal	13	2	2
0900	Total new obligations	13	2	2
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1,969	2,097	2,201
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Offsetting collections (cash, CCU Guarantee Program)	10,034		
1800	Offsetting collections (interest)		1	1
1800	Offsetting collections (cash, HARP)	96		
1800	Collected (subscribed stock)	125	105	110
1801	Change in uncollected payments, Federal sources	–13		
1825	Spending authority from offsetting collections applied to repay debt	–10,101		
1850	Spending auth from offsetting collections, mand (total)	141	106	111
1900	Budget authority (total)	141	106	111
1930	Total budgetary resources available	2,110	2,203	2,312
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2,097	2,201	2,310
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	15	1	2
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–15	–2	–2
3020	Obligated balance, start of year (net)		–1	
3030	Obligations incurred, unexpired accounts	13	2	2
3040	Outlays (gross)	–27	–1	–1
3050	Change in uncollected pymts, Fed sources, unexpired	13		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	2	3
3091	Uncollected pymts, Fed sources, end of year	–2	–2	–2
3100	Obligated balance, end of year (net)	–1		1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	141	106	111
	Outlays, gross:			
4100	Outlays from new mandatory authority	13	1	1
4101	Outlays from mandatory balances	14		
4110	Outlays, gross (total)	27	1	1
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4121	Interest on Federal securities	–17	–1	–1
4123	Non-Federal sources	–10,238	–105	–110
4130	Offsets against gross budget authority and outlays (total)	–10,255	–106	–111
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	13		
4160	Budget authority, net (mandatory)	–10,101		
4170	Outlays, net (mandatory)	–10,228	–105	–110
4180	Budget authority, net (total)	–10,101		
4190	Outlays, net (total)	–10,228	–105	–110
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	1,971	2,096	2,201
5001	Total investments, EOY: Federal securities: Par value	2,096	2,201	2,311

Status of Direct Loans (in millions of dollars)

Identification code 25–4470–0–3–373		2011 actual	2012 est.	2013 est.
	Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans	47,000	50,000	
1142	Unobligated direct loan limitation (-)	–47,000	–50,000	
1150	Total direct loan obligations			

The purpose of the Central Liquidity Facility (CLF), established under Public Law 95–630, is to improve the general financial stability of credit unions by meeting their liquidity needs through short-term, seasonal, and/or protracted adjustment credit. The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from Treasury's Federal Financing Bank. Through the recent economic crisis, CLF supported the credit union system with special liquidity programs designed to provide stability and confidence. Liquidity advances into the credit union system were funded by advances of approximately $20 billion from the Federal Financing Bank, all of which was repaid by December 2010. This support was instrumental in maintaining liquidity in the corporate credit union system during the height of the financial crisis and provided NCUA important time to implement regulatory reforms and establish a

means of resolving problem institutions in a manner designed not to disrupt the broader economy. Current borrowing authority for the CLF is $50 billion.

Object Classification (in millions of dollars)

Identification code 25–4470–0–3–373		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
25.2	Other services from non-Federal sources	1	1	1
33.0	Investments and loans	5		
43.0	Interest and dividends	7	1	1
99.9	Total new obligations	13	2	2

Employment Summary

Identification code 25–4470–0–3–373		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	3	4	4

Credit Union System Investment Program

On December 9, 2008, the NCUA announced the Credit Union System Investment Program (SIP) to increase liquidity at corporate credit unions. Under SIP, NCUA's Central Liquidity Facility extended one- year credit advances to credit unions. Credit unions in turn invested those funds in corporate credit unions, providing a low cost source of liquidity for corporate credit unions that is guaranteed by the NCUA Temporary Corporate Credit Union Stabilization Fund. Under the program, $8.2 billion was advanced. The program terminated in March 2010 when the last outstanding advances were repaid.

Credit Union Homeowners Affordability Relief Program

Program and Financing (in millions of dollars)

Identification code 25–4473–0–3–371		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0802	Interest	1		
0803	Repayment to the CLF	95		
0900	Total new obligations (object class 94.0)	96		
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	96		
1850	Spending auth from offsetting collections, mand (total)	96		
1930	Total budgetary resources available	96		
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	96		
3040	Outlays (gross)	–96		
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	96		
	Outlays, gross:			
4100	Outlays from new mandatory authority	96		
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	–96		

On December 9, 2008, the NCUA announced the Credit Union Homeowners Affordability Relief Program (HARP). Under HARP, NCUA's Central Liquidity Facility made one-year, secured credit advances to credit unions. Credit unions in turn invested those funds in a special corporate credit union note. These advances were renewable for a term of one-year. Credit unions that reduced mortgage rates for their members within program guidelines qualified for a bonus coupon payment from the corporate credit union. The NCUA Temporary Corporate Credit Union Stabilization Fund (TCCUSF) guarantees the special corporate credit union debt, including the bonus payment. Through September 30, 2010, $164 million was advanced under this program. In December 2010, the remaining outstanding advance totaling $96 million matured and the program terminated.

Object Classification (in millions of dollars)

Identification code 25–4473–0–3–371		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
94.0	Financial transfers	96		
99.0	Reimbursable obligations	96		

Community Development Revolving Loan Fund

For the Community Development Revolving Loan Fund program as authorized by 42 U.S.C. 9812, 9822 and 9910, [$1,247,000] *$1,187,000* shall be available until September 30, [2013] *2014*, for technical assistance to low-income designated credit unions. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 25–4472–0–3–373		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Technical assistance	1	2	2
0801	Loans		3	3
0900	Total new obligations	1	5	5
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	9	12	11
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	1	1	1
1160	Appropriation, discretionary (total)	1	1	1
	Spending authority from offsetting collections, mandatory:			
1800	Collected	3	3	3
1850	Spending auth from offsetting collections, mand (total)	3	3	3
1900	Budget authority (total)	4	4	4
1930	Total budgetary resources available	13	16	15
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	12	11	10
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	2	2	2
3030	Obligations incurred, unexpired accounts	1	5	5
3040	Outlays (gross)	–1	–5	–5
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	2	2	2
3100	Obligated balance, end of year (net)	2	2	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	1	1	1
	Outlays, gross:			
4010	Outlays from new discretionary authority		1	1
4011	Outlays from discretionary balances	1		
4020	Outlays, gross (total)	1	1	1
	Mandatory:			
4090	Budget authority, gross	3	3	3
	Outlays, gross:			
4100	Outlays from new mandatory authority		2	2
4101	Outlays from mandatory balances		2	2
4110	Outlays, gross (total)		4	4
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	–3	–3	–3
4180	Budget authority, net (total)	1	1	1

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND—Continued

Program and Financing—Continued

Identification code 25–4472–0–3–373		2011 actual	2012 est.	2013 est.
4190	Outlays, net (total)	–2	2	2
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	6	8	7
5001	Total investments, EOY: Federal securities: Par value	8	7	6

Status of Direct Loans (in millions of dollars)

Identification code 25–4472–0–3–373		2011 actual	2012 est.	2013 est.
	Position with respect to appropriations act limitation on obligations:			
1131	Direct loan obligations exempt from limitation	4	4	4
1150	Total direct loan obligations	4	4	4
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	12	4	4
1231	Disbursements: Direct loan disbursements		3	3
1251	Repayments: Repayments and prepayments	–8	–3	–3
1290	Outstanding, end of year	4	4	4

The Community Development Revolving Loan Fund (CDRLF) was established by Congress under Section 130(e) of the Federal Credit Union Act with a $6 million appropriation to enable low-income credit unions (defined as a credit union in which more than half of its members earn 80 percent or less than the Median Family Income (MFI) for the metropolitan area where they live or the national metropolitan area, whichever is greater) to: (1) provide financial services to their communities; (2) stimulate economic activities in their communities, resulting in increased income and employment; and (3) operate more efficiently. CDRLF, comprised of a revolving loan program and a technical assistance program, provides funding to low income credit unions. Since the initial loan program appropriation in 1979, Congress has appropriated an additional $13.4 million for the revolving loan program and approximately $9.1 million for the technical assistance program.

Credit unions use the loan and technical assistance funds to increase financial services to their communities, including providing financial counseling, new loan products, and enhanced electronic services.

As of September 30, 2011, the CDRLF's revolving loan portfolio had $3.5 million in outstanding loans (49 loans outstanding to 44 credit unions). In 2011, the CDRLF awarded 188 technical assistance grants totaling $1,027,856. As of September 30, 2011, assets in the CDRLF, including interest earned and appropriations, totaled $17.5 million.

Object Classification (in millions of dollars)

Identification code 25–4472–0–3–373		2011 actual	2012 est.	2013 est.
41.0	Direct obligations: Grants, subsidies, and contributions	1	2	2
	Reimbursable obligations:			
33.0	Investments and loans		3	3
99.0	Reimbursable obligations		3	3
99.9	Total new obligations	1	5	5

NATIONAL ENDOWMENT FOR THE ARTS

Federal Funds

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, [$146,255,000] *as amended, $154,255,000* shall be available to the National Endowment for the Arts for the support of projects and productions in the arts, including arts education and public outreach activities, through assistance to organizations and individuals pursuant to section 5 of the Act, for program support, and for administering the functions of the Act, to remain available until expended. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 59–0100–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Promotion of the arts	132	118	128
0003	Program support	2	3	3
0004	Salaries and expenses	29	28	32
0005	Reimbursable Program	2	2	2
0900	Total new obligations	165	151	165
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	12	10	8
1021	Recoveries of prior year unpaid obligations	4	1	1
1050	Unobligated balance (total)	16	11	9
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	155	146	154
1160	Appropriation, discretionary (total)	155	146	154
	Spending authority from offsetting collections, discretionary:			
1700	Collected	3	2	2
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	4	2	2
1900	Budget authority (total)	159	148	156
1930	Total budgetary resources available	175	159	165
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	10	8	
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	163	148	134
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–2	–3	–3
3020	Obligated balance, start of year (net)	161	145	131
3030	Obligations incurred, unexpired accounts	165	151	165
3040	Outlays (gross)	–176	–164	–158
3050	Change in uncollected pymts, Fed sources, unexpired	–1		
3080	Recoveries of prior year unpaid obligations, unexpired	–4	–1	–1
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	148	134	140
3091	Uncollected pymts, Fed sources, end of year	–3	–3	–3
3100	Obligated balance, end of year (net)	145	131	137
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	159	148	156
	Outlays, gross:			
4010	Outlays from new discretionary authority	53	51	53
4011	Outlays from discretionary balances	123	113	105
4020	Outlays, gross (total)	176	164	158
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–3	–2	–2
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–1		
4070	Budget authority, net (discretionary)	155	146	154
4080	Outlays, net (discretionary)	173	162	156
4180	Budget authority, net (total)	155	146	154
4190	Outlays, net (total)	173	162	156

The mission of the National Endowment for the Arts is to advance artistic excellence, creativity, and innovation for the benefit of individuals and communities. The Arts Endowment achieves its mission primarily through grant programs, special initiatives and honorific awards. The Arts Endowment supports these projects with public and private partners, including the State arts agencies and regional arts organizations. In 2013, the Arts Endowment will continue to implement an important new initiative,

Our Town, a uniquely arts-based program to strengthen communities of all sizes.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, also authorizes the Arts Endowment to receive money and other donated property; such gifts may be used, sold, or otherwise disposed of to support arts projects and activities. This presentation also includes the Arts and Artifacts Indemnity Fund, which the Arts Endowment administers on behalf of the Federal Council on the Arts and the Humanities.

Object Classification (in millions of dollars)

Identification code 59–0100–0–1–503		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	14	14	14
11.3	Other than full-time permanent	2	2	2
11.9	Total personnel compensation	16	16	16
12.1	Civilian personnel benefits	4	5	5
23.1	Rental payments to GSA	3	3	3
25.1	Advisory and assistance services	1	2	2
25.2	Other services from non-Federal sources	3	2	3
25.3	Other goods and services from Federal sources	1	1	1
25.8	Subsistence and support of persons	1	1	1
31.0	Equipment			3
41.0	Grants, subsidies, and contributions	132	117	127
99.0	Direct obligations	161	147	161
99.0	Reimbursable obligations	2	2	2
99.5	Below reporting threshold	2	2	2
99.9	Total new obligations	165	151	165

Employment Summary

Identification code 59–0100–0–1–503		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	165	166	162

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 59–8040–0–7–503		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			
	Receipts:			
0220	Gifts and Donations, National Endowment for the Arts	1	1	1
0400	Total: Balances and collections	1	1	1
	Appropriations:			
0500	Gifts and Donations, National Endowment for the Arts	–1	–1	–1
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 59–8040–0–7–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0102	Permanent authority		1	1
0900	Total new obligations (object class 99.5)		1	1
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	3	3
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1	1	1
1260	Appropriations, mandatory (total)	1	1	1
1930	Total budgetary resources available	3	4	4
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	3	3
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1		
3030	Obligations incurred, unexpired accounts		1	1
3040	Outlays (gross)	–1	–1	–1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	1	1	1
	Outlays, gross:			
4100	Outlays from new mandatory authority		1	1
4101	Outlays from mandatory balances	1		
4110	Outlays, gross (total)	1	1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)	1	1	1
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	1	1	1
5001	Total investments, EOY: Federal securities: Par value	1	1	1

NATIONAL ENDOWMENT FOR THE HUMANITIES

Federal Funds

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, ⟦$146,255,000,⟧ *$154,255,000* to remain available until expended, of which ⟦$135,500,000⟧ *$142,755,000* shall be available for support of activities in the humanities, pursuant to section 7(c) of the Act and for administering the functions of the Act; and ⟦$10,755,000⟧ *$11,500,000* shall be available to carry out the matching grants program pursuant to section 10(a)(2) of the Act including ⟦$8,370,000⟧ *$8,750,000* for the purposes of section 7(h): *Provided*, That appropriations for carrying out section 10(a)(2) shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 59–0200–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Promotion of the humanities	133	124	125
0004	Administration	28	28	31
0900	Total new obligations	161	152	156
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3	4	3
1021	Recoveries of prior year unpaid obligations	3	3	1
1050	Unobligated balance (total)	6	7	4
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	155	146	154
1160	Appropriation, discretionary (total)	155	146	154
	Spending authority from offsetting collections, discretionary:			
1700	Collected	4	2	2
1750	Spending auth from offsetting collections, disc (total)	4	2	2
1900	Budget authority (total)	159	148	156
1930	Total budgetary resources available	165	155	160
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	3	4
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	148	151	156
3030	Obligations incurred, unexpired accounts	161	152	156
3040	Outlays (gross)	–155	–144	–161
3080	Recoveries of prior year unpaid obligations, unexpired	–3	–3	–1

GRANTS AND ADMINISTRATION—Continued

Program and Financing—Continued

Identification code 59–0200–0–1–503		2011 actual	2012 est.	2013 est.
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	151	156	150
3100	Obligated balance, end of year (net)	151	156	150
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	159	148	156
	Outlays, gross:			
4010	Outlays from new discretionary authority	66	74	79
4011	Outlays from discretionary balances	89	70	82
4020	Outlays, gross (total)	155	144	161
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–3	.....	.....
4033	Non-Federal sources	–1	–2	–2
4040	Offsets against gross budget authority and outlays (total)	–4	–2	–2
4070	Budget authority, net (discretionary)	155	146	154
4080	Outlays, net (discretionary)	151	142	159
4180	Budget authority, net (total)	155	146	154
4190	Outlays, net (total)	151	142	159

The National Endowment for the Humanities (NEH) supports education, scholarship, and research and development in the humanities; preserves America's cultural and intellectual resources; and provides opportunities for all Americans to engage in learning in the humanities. In 2013, NEH will continue to support partnerships with state humanities councils; the strengthening of humanities teaching and learning in the nation's schools and institutions of higher education; basic research and original scholarship in the humanities; innovative use of digital information technology; efforts to preserve and increase access to books, U.S. newspapers, documents, and other reference materials; and museum exhibitions, documentary films, radio programming, and reading programs that reach millions of Americans. In 2013, NEH will also support a special initiative, "Bridging Cultures," that will enhance understanding of America's rich cultural heritage, as well as the cultural complexity of the world in which we live.

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include state humanities councils, educational institutions, libraries, archives, museums, historical organizations, and other scholarly and cultural institutions and organizations. Support is also provided to individuals for advanced research and scholarship in the humanities.

This presentation also includes the Gifts and Donations account. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

Object Classification (in millions of dollars)

Identification code 59–0200–0–1–503		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	16	16	16
12.1	Civilian personnel benefits	4	4	4
23.1	Rental payments to GSA	3	3	3
25.2	Other services from non-Federal sources	5	5	8
41.0	Grants, subsidies, and contributions	131	122	123
99.0	Direct obligations	159	150	154
99.0	Reimbursable obligations	2	2	2
99.9	Total new obligations	161	152	156

Employment Summary

Identification code 59–0200–0–1–503		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	161	155	151
2001	Reimbursable civilian full-time equivalent employment	3	3	3

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 59–8050–0–7–503		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	.....	.....	.....
	Receipts:			
0220	Gifts and Donations, National Endowment for the Humanities	2	2	1
0400	Total: Balances and collections	2	2	1
	Appropriations:			
0500	Gifts and Donations, National Endowment for the Humanities	–2	–2	–1
0799	Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 59–8050–0–7–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Promotion of the humanities	1	2	1
0900	Total new obligations (object class 41.0)	1	2	1
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	.....	1	1
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	2	2	1
1260	Appropriations, mandatory (total)	2	2	1
1930	Total budgetary resources available	2	3	2
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	1	2	1
3040	Outlays (gross)	–1	–2	–1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	2	2	1
	Outlays, gross:			
4100	Outlays from new mandatory authority	1	2	1
4180	Budget authority, net (total)	2	2	1
4190	Outlays, net (total)	1	2	1

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided*, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: *Provided further*, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses: *Provided further*, That the Chairperson of the National Endowment for the Arts may approve grants of up to $10,000, if in the aggregate this amount does not exceed 5 percent of the sums appropriated for grantmaking purposes per year: *Provided further*, That such small grant actions are taken pursuant to the terms of an expressed and direct delegation of authority from the National Council on the Arts to the Chairper-

son. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

NATIONAL INFRASTRUCTURE BANK

Federal Funds

NATIONAL INFRASTRUCTURE BANK PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–3740–4–1–452		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
	Credit program obligations:			
0701	Direct loan subsidy		68	338
0702	Loan guarantee subsidy			17
0709	Administrative expenses		15	41
0900	Total new obligations		83	396
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			9,917
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation		10,000	
1260	Appropriations, mandatory (total)		10,000	
1930	Total budgetary resources available		10,000	9,917
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		9,917	9,521
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)			61
3030	Obligations incurred, unexpired accounts		83	396
3040	Outlays (gross)		–22	–107
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		61	350
3100	Obligated balance, end of year (net)		61	350
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		10,000	
	Outlays, gross:			
4100	Outlays from new mandatory authority		22	
4101	Outlays from mandatory balances			107
4110	Outlays, gross (total)		22	107
4180	Budget authority, net (total)		10,000	
4190	Outlays, net (total)		22	107

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 95–3740–4–1–452		2011 actual	2012 est.	2013 est.
	Direct loan levels supportable by subsidy budget authority:			
115001	Infrastructure Direct Loans (Legislative Proposal)		450	2,250
115999	Total direct loan levels		450	2,250
	Direct loan subsidy (in percent):			
132001	Infrastructure Direct Loans (Legislative Proposal)		15.14	15.02
	Direct loan subsidy budget authority:			
133001	Infrastructure Direct Loans (Legislative Proposal)		68	338
133999	Total subsidy budget authority		68	338
	Direct loan subsidy outlays:			
134001	Infrastructure Direct Loans (Legislative Proposal)		9	53
134999	Total subsidy outlays		9	53
	Guaranteed loan levels supportable by subsidy budget authority:			
215001	Infrastructure Loan Guarantees (Legislative Proposal)			200
215999	Total loan guarantee levels			200
	Guaranteed loan subsidy (in percent):			
232001	Infrastructure Loan Guarantees (Legislative Proposal)			8.51
	Guaranteed loan subsidy budget authority:			
233001	Infrastructure Loan Guarantees (Legislative Proposal)			17
233999	Total subsidy budget authority			17
	Guaranteed loan subsidy outlays:			
234001	Infrastructure Loan Guarantees (Legislative Proposal)			2
234999	Total subsidy outlays			2
	Guaranteed loan downward reestimates:			
	Administrative expense data:			
3510	Budget authority		14	33
3580	Outlays from balances			33
3590	Outlays from new authority		13	

To direct Federal resources for infrastructure to projects that demonstrate the most merit and may be difficult to fund under the current patchwork of Federal programs, the President has called for the creation of an independent, non-partisan National Infrastructure Bank (NIB), led by infrastructure and financial experts. The NIB would offer broad eligibility and unbiased selection for transportation, water, and energy infrastructure projects. Projects would have a clear public benefit, meet rigorous economic, technical and environmental standards, and be backed by a dedicated revenue stream. Geographic, sector, and size considerations would also be taken into account. Loans issued by the NIB would use approximately the same interest rate as similar-length United States Treasury securities and could be extended up to 35 years, giving the NIB the ability to be a patient partner side-by-side with State, local, and private co-investors. To maximize leverage from Federal investments, the NIB would finance no more than 50 percent of the total costs of any project.

Object Classification (in millions of dollars)

Identification code 95–3740–4–1–452		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent		10	20
25.1	Advisory and assistance services		2	8
25.2	Other services from non-Federal sources		2	5
33.0	Investments and loans		69	363
99.9	Total new obligations		83	396

Employment Summary

Identification code 95–3740–4–1–452		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment		50	100

NATIONAL INFRASTRUCTURE BANK DIRECT LOAN FINANCING ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–4427–4–3–452		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
	Credit program obligations:			
0710	Direct loan obligations		450	2,250
0713	Payment of interest to Treasury		1	9
0900	Total new obligations		451	2,259
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			–393
	Financing authority:			
	Borrowing authority, mandatory:			
1400	Borrowing authority		49	297
1440	Borrowing authority, mandatory (total)		49	297
	Spending authority from offsetting collections, mandatory:			
1800	Collected		9	53
1850	Spending auth from offsetting collections, mand (total)		9	53
1900	Financing authority(total)		58	350
1930	Total budgetary resources available		58	–43

NATIONAL INFRASTRUCTURE BANK DIRECT LOAN FINANCING ACCOUNT—Continued

Program and Financing—Continued

Identification code 95–4427–4–3–452		2011 actual	2012 est.	2013 est.
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		–393	–2,302
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)			392
3030	Obligations incurred, unexpired accounts		451	2,259
3040	Financing disbursements (gross)		–59	–358
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		392	2,293
3100	Obligated balance, end of year (net)		392	2,293
	Financing authority and disbursements, net:			
	Mandatory:			
4090	Financing authority, gross		58	350
	Financing disbursements:			
4110	Financing disbursements, gross		59	358
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Federal sources		–9	–53
4180	Financing authority, net (total)		49	297
4190	Financing disbursements, net (total)		50	305

Status of Direct Loans (in millions of dollars)

Identification code 95–4427–4–3–452		2011 actual	2012 est.	2013 est.
	Position with respect to appropriations act limitation on obligations:			
1131	Direct loan obligations exempt from limitation		450	2,250
1150	Total direct loan obligations		450	2,250
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year			58
1231	Disbursements: Direct loan disbursements		58	350
1290	Outstanding, end of year		58	408

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records cash flows to and from the Government resulting from direct loans made from the National Infrastructure Bank. The amounts in this account are a means of financing and are not included in the budget totals.

NATIONAL INFRASTRUCTURE BANK LOAN GUARANTEE FINANCING ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–4428–4–3–452		2011 actual	2012 est.	2013 est.
	Budgetary Resources:			
	Financing authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected			2
1850	Spending auth from offsetting collections, mand (total)			2
1900	Financing authority(total)			2
1930	Total budgetary resources available			2
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year			2
	Financing authority and disbursements, net:			
	Mandatory:			
4090	Financing authority, gross			2
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Federal sources			–2
4190	Financing disbursements, net (total)			–2

Status of Guaranteed Loans (in millions of dollars)

Identification code 95–4428–4–3–452		2011 actual	2012 est.	2013 est.
	Position with respect to appropriations act limitation on commitments:			
2131	Guaranteed loan commitments exempt from limitation			200
2150	Total guaranteed loan commitments			200
2199	Guaranteed amount of guaranteed loan commitments			160
	Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year			
2231	Disbursements of new guaranteed loans			22
2290	Outstanding, end of year			22
	Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year			18

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records cash flows to and from the Government resulting from loans guarantees made from the National Infrastructure Bank. The amounts in this account are a means of financing and are not included in the budget totals.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

Federal Funds

OFFICE OF MUSEUM AND LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out the Museum and Library Services Act of 1996 and the National Museum of African American History and Culture Act, [$232,393,000] *$231,954,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 59–0300–0–1–503		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			1
	Receipts:			
0220	Gifts and Donations, Institute of Museum Services		1	1
0400	Total: Balances and collections		1	2
0799	Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 59–0300–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Assistance for museums	34	31	31
0002	Assistance for libraries	191	185	185
0003	Administration	17	16	16
0900	Total new obligations	242	232	232
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	9	6	6
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	12	6	6
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	237	232	232
1131	Unobligated balance of appropriations permanently reduced	–3		
1160	Appropriation, discretionary (total)	234	232	232
	Spending authority from offsetting collections, discretionary:			
1700	Collected	2		
1750	Spending auth from offsetting collections, disc (total)	2		
1900	Budget authority (total)	236	232	232
1930	Total budgetary resources available	248	238	238
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	6	6	6

	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	376	340	296
3030	Obligations incurred, unexpired accounts	242	232	232
3040	Outlays (gross)	–274	–276	–276
3080	Recoveries of prior year unpaid obligations, unexpired	–3	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–1	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	340	296	252
3100	Obligated balance, end of year (net)	340	296	252
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	236	232	232
	Outlays, gross:			
4010	Outlays from new discretionary authority	39	70	70
4011	Outlays from discretionary balances	235	206	206
4020	Outlays, gross (total)	274	276	276
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–2	.....	.....
4180	Budget authority, net (total)	234	232	232
4190	Outlays, net (total)	272	276	276

The Institute of Museum and Library Services (IMLS) is the primary source of Federal support for the nation's 122,000 libraries and 17,500 museums. The Institute's organization, mission, and functions are defined in the Museum and Library Services Act, Public Law 111–340, and the African American History and Culture Act, Public Law 108–184. Through strategic grantmaking, policy development, data collection and research, IMLS supports libraries and museums as community anchors that provide vital learning experiences and broad access to content.

Object Classification (in millions of dollars)

Identification code 59–0300–0–1–503	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	7	7
12.1 Civilian personnel benefits	1	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	6	5	5
41.0 Grants, subsidies, and contributions	223	216	216
99.0 Direct obligations	240	232	232
99.0 Reimbursable obligations	2	.....	.....
99.9 Total new obligations	242	232	232

Employment Summary

Identification code 59–0300–0–1–503	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	68	72	72

NATIONAL LABOR RELATIONS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, and other laws, ⟦$278,833,000⟧ *$292,800,000*: *Provided*, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, and as amended by the Labor-Management Relations Act, 1947, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 63–0100–0–1–505	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Field investigation	228	225	237
0002 Administrative law judge hearing	13	13	14
0003 Board adjudication	25	25	26
0004 Securing compliance with Board orders	15	14	15
0005 Internal Review	1	1	1
0900 Total new obligations	282	278	293
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	283	279	293
1143 Approp permanently reduced (Sec 527, HR 2055)	.....	–1	.....
1160 Appropriation, discretionary (total)	283	278	293
1930 Total budgetary resources available	283	278	293
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1	.....	.....
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	32	22	23
3030 Obligations incurred, unexpired accounts	282	278	293
3031 Obligations incurred, expired accounts	2	.....	.....
3040 Outlays (gross)	–292	–277	–288
3081 Recoveries of prior year unpaid obligations, expired	–2	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	22	23	28
3100 Obligated balance, end of year (net)	22	23	28
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	283	278	293
Outlays, gross:			
4010 Outlays from new discretionary authority	265	256	270
4011 Outlays from discretionary balances	27	21	18
4020 Outlays, gross (total)	292	277	288
4180 Budget authority, net (total)	283	278	293
4190 Outlays, net (total)	292	277	288

The Board resolves representation disputes in industry and also remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

PROGRAM STATISTICS

Case intake:	2011 actual	2012 est.	2013 est.
Unfair labor practice cases	22,188	22,200	22,200
Representation cases	2834	2850	2850
Administrative law judges:			
Hearings closed	226	228	230
Decisions issued	230	232	234
Board adjudication:			
Contested Board decisions issued	272	225	230
Regional director decisions	203	205	205
Board decisions requiring court enforcement	89	95	97

Field investigation.—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Approximately 95 percent of merit unfair labor practice cases and over 88 percent of merit representation cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

SALARIES AND EXPENSES—Continued

Administrative law judge hearing.—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

Board adjudication.—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, requires contested Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases.

Securing compliance with Board orders.—If the parties do not voluntarily comply with the Board's order involving unfair labor practices, the Board must request that the appellate courts enforce its decisions.

Object Classification (in millions of dollars)

Identification code 63–0100–0–1–505		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	174	172	173
12.1	Civilian personnel benefits	45	43	44
21.0	Travel and transportation of persons	4	3	3
23.1	Rental payments to GSA	28	30	33
23.3	Communications, utilities, and miscellaneous charges	5	5	5
25.2	Other services from non-Federal sources	21	21	25
26.0	Supplies and materials	1	1	1
31.0	Equipment	4	3	9
99.9	Total new obligations	282	278	293

Employment Summary

Identification code 63–0100–0–1–505		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	1,679	1,665	1,665

ADMINISTRATIVE PROVISION

[SEC. 405. None of the funds provided by this Act or previous Acts making appropriations for the National Labor Relations Board may be used to issue any new administrative directive or regulation that would provide employees any means of voting through any electronic means in an election to determine a representative for the purposes of collective bargaining.] *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

NATIONAL MEDIATION BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary to carry out the provisions of the Railway Labor Act, including emergency boards appointed by the President, [$13,436,000] *$13,530,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2400–0–1–505		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Mediatory services	13	7	7
0002	Representation services		2	3
0003	Arbitration services		4	4
0900	Total new obligations	13	13	14
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	13	13	14
1160	Appropriation, discretionary (total)	13	13	14
1930	Total budgetary resources available	13	13	14
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	2	2	2
3030	Obligations incurred, unexpired accounts	13	13	14
3040	Outlays (gross)	–13	–13	–14
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	2	2	2
3100	Obligated balance, end of year (net)	2	2	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	13	13	14
	Outlays, gross:			
4010	Outlays from new discretionary authority	13	12	13
4011	Outlays from discretionary balances		1	1
4020	Outlays, gross (total)	13	13	14
4180	Budget authority, net (total)	13	13	14
4190	Outlays, net (total)	13	13	14

Mediatory and alternative dispute resolution (ADR) services.—The National Mediation Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

	2011 actual	2012 est.	2013 est.
Mediation & ADR cases:			
Pending, start of year	116	114	129
Received during year	94	95	85
Closed during year	86	80	95
Pending, end of year	114	129	119

Employee Representation.—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	2011 actual	2012 est.	2013 est.
Representation cases:			
Pending, start of year	9	2	2
Received during year	41	52	54
Closed during year	48	52	54
Pending, end of year	2	2	2
Freedom of Information Act (FOIA) requests received	29	30	30
Investigation cases closed	0	5	5

Emergency disputes.—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

	2011 actual	2012 est.	2013 est.
Board created:			
Emergency (sec. 160)	0	1	1
Emergency (sec. 159a)	0	1	1

Arbitration services.—Arbitration is governed by sections 3 and 7 of the Railway Labor Act. Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the

National Railroad Adjustment Board (NRAB). The divisions of the NRAB are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89–456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry and for disputes otherwise referable to the NRAB. In these disputes, the National Mediation Board compensates the neutral party selected to help resolve these grievances.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	2011 actual	2012 est.	2013 est.
Arbitration cases:			
Pending, start of year	2,770	2,384	4,284
Received during year	3,908	4,900	4,900
Closed during year	4,294	3,000	3,000
Pending, end of year	2,384	4,284	6,184

Object Classification (in millions of dollars)

Identification code 95–2400–0–1–505		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	5	6	6
11.8	Special personal services payments	3	3	3
11.9	Total personnel compensation	8	9	9
12.1	Civilian personnel benefits	1	1	2
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	1	1	1
25.2	Other services from non-Federal sources	1	1	1
99.0	Direct obligations	12	13	14
99.5	Below reporting threshold	1	.....	.....
99.9	Total new obligations	13	13	14

Employment Summary

Identification code 95–2400–0–1–505		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	48	51	51

NATIONAL RAILROAD PASSENGER CORPORATION OFFICE OF INSPECTOR GENERAL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, [$20,500,000] *$22,000,000*: *Provided*, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the National Railroad Passenger Corporation: *Provided further*, That the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, subject to the applicable laws and regulations that govern the obtaining of such services within the National Railroad Passenger Corporation: *Provided further*, That the Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the functions, powers, and duties of the Office of Inspector General, subject to the applicable laws and regulations that govern such selections, appointments, and employment within Amtrak: *Provided further*, That concurrent with the President's budget request for fiscal year 2013, the Inspector General shall submit to the House and Senate Committees on Appropriations a budget request for fiscal year 2013 in similar format and substance to those submitted by executive agencies of the Federal Government. *(Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 48–2996–0–1–401		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Payment to Amtrak IG	19	21	22
0900	Total new obligations (object class 41.0)	19	21	22
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	19	21	22
1160	Appropriation, discretionary (total)	19	21	22
1930	Total budgetary resources available	19	21	22
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	7	.....
3030	Obligations incurred, unexpired accounts	19	21	22
3040	Outlays (gross)	–13	–28	–22
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	7	.....	.....
3100	Obligated balance, end of year (net)	7	.....	.....
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	19	21	22
	Outlays, gross:			
4010	Outlays from new discretionary authority	12	21	22
4011	Outlays from discretionary balances	1	7	.....
4020	Outlays, gross (total)	13	28	22
4180	Budget authority, net (total)	19	21	22
4190	Outlays, net (total)	13	28	22

The 2013 Budget requests $22 million for the Office of Inspector General (OIG) within the National Railroad Passenger Corporation (Amtrak).

NATIONAL TRANSPORTATION SAFETY BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901–5902), $102,400,000, of which not to exceed $2,000 may be used for official reception and representation expenses. The amounts made available to the National Transportation Safety Board in this Act include amounts necessary to make lease payments on an obligation incurred in fiscal year 2001 for a capital lease. *(Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–0310–0–1–407		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Policy and Direction	14	14	14
0002	Communications	6	6	6
0003	Aviation Safety	31	34	34
0004	Information Technology and Services	7	7	7
0005	Research and Engineering	11	11	11
0006	NTSB Training Center	1	1	1
0007	Administrative Law Judges	2	2	2
0008	Highway Safety	6	7	7
0009	Marine Safety	4	4	4
0010	Railroad, Pipeline, and Hazardous Materials Safety	7	8	8
0011	Administrative Support	8	8	8
0100	Sub-total, Direct obligations	97	102	102

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 95–0310–0–1–407	2011 actual	2012 est.	2013 est.
0799 Total direct obligations	97	102	102
0806 Training Center	1	1	1
0811 Subleases	1	1	1
0899 Total reimbursable obligations	2	2	2
0900 Total new obligations	99	104	104
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	98	102	102
1160 Appropriation, discretionary (total)	98	102	102
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	2	2
1750 Spending auth from offsetting collections, disc (total)	2	2	2
1900 Budget authority (total)	100	104	104
1930 Total budgetary resources available	106	110	110
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
1941 Unexpired unobligated balance, end of year	6	6	6
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	20	18	18
3030 Obligations incurred, unexpired accounts	99	104	104
3040 Outlays (gross)	–98	–104	–104
3081 Recoveries of prior year unpaid obligations, expired	–3		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	18	18	18
3100 Obligated balance, end of year (net)	18	18	18
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	100	104	104
Outlays, gross:			
4010 Outlays from new discretionary authority	84	84	84
4011 Outlays from discretionary balances	14	20	20
4020 Outlays, gross (total)	98	104	104
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	–1	–1
4033 Non-Federal sources	–1	–1	–1
4040 Offsets against gross budget authority and outlays (total)	–2	–2	–2
4070 Budget authority, net (discretionary)	98	102	102
4080 Outlays, net (discretionary)	96	102	102
4180 Budget authority, net (total)	98	102	102
4190 Outlays, net (total)	96	102	102

The National Transportation Safety Board (NTSB) is an independent nonregulatory agency that promotes transportation safety by maintaining independence and objectivity; conducting objective, precise accident investigations and safety studies; performing fair and objective airman and mariner certification appeals; and advocating and promoting NTSB safety recommendations. The NTSB also provides assistance to victims of transportation accidents and their families.

In 2013, the Administration requests a total funding level of $102 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

Object Classification (in millions of dollars)

Identification code 95–0310–0–1–407	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	45	47	48
11.3 Other than full-time permanent	2	3	3
11.5 Other personnel compensation	3	2	2
11.9 Total personnel compensation	50	52	53
12.1 Civilian personnel benefits	14	15	15
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	7	9	9
23.2 Rental payments to others	3	2	2
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services from non-Federal sources	16	16	15
31.0 Equipment	2	3	3
99.0 Direct obligations	97	102	102
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations	99	104	104

Employment Summary

Identification code 95–0310–0–1–407	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	403	423	426

EMERGENCY FUND

Program and Financing (in millions of dollars)

Identification code 95–0311–0–1–407	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and; therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of $2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2013.

NEIGHBORHOOD REINVESTMENT CORPORATION

Federal Funds

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101–8107), *$127,100,000*[$135,300,000, of which $5,000,000 shall be for a multifamily rental housing program]: *Provided*, That in addition, *$85,900,000*[$80,000,000] shall be made available until expended to the Neighborhood Reinvestment Corporation for mortgage foreclosure mitigation activities, under the following terms and conditions:

(1) The Neighborhood Reinvestment Corporation ("NRC") shall make grants to counseling intermediaries approved by the Department of Housing and Urban Development (HUD) (with match to be determined by the NRC based on affordability and the economic conditions of an area; a match also may be waived by the NRC based on the aforementioned conditions) to provide mortgage foreclosure mitigation assistance primarily to States and areas with high rates of defaults and foreclosures to help eliminate the default and foreclosure of mortgages of owner-occupied single-family homes that are at risk of such foreclosure. Other than areas with high rates of defaults and foreclosures, grants may also be provided to approved counseling intermediaries based on a geographic analysis of the Nation by the NRC which determines where there is a prevalence of mortgages that are risky and likely to fail, including any trends for mortgages that are likely to default and face foreclosure. A State Housing Finance Agency may also be eligible where

the State Housing Finance Agency meets all the requirements under this paragraph. A HUD-approved counseling intermediary shall meet certain mortgage foreclosure mitigation assistance counseling requirements, as determined by the NRC, and shall be approved by HUD or the NRC as meeting these requirements.

(2) Mortgage foreclosure mitigation assistance shall only be made available to homeowners of owner-occupied homes with mortgages in default or in danger of default. These mortgages shall likely be subject to a foreclosure action and homeowners will be provided such assistance that shall consist of activities that are likely to prevent foreclosures and result in the long-term affordability of the mortgage retained pursuant to such activity or another positive outcome for the homeowner. No funds made available under this paragraph may be provided directly to lenders or homeowners to discharge outstanding mortgage balances or for any other direct debt reduction payments.

(3) The use of Mortgage Foreclosure Mitigation Assistance by approved counseling intermediaries and State Housing Finance Agencies shall involve a reasonable analysis of the borrower's financial situation, an evaluation of the current value of the property that is subject to the mortgage, counseling regarding the assumption of the mortgage by another non-Federal party, counseling regarding the possible purchase of the mortgage by a non-Federal third party, counseling and advice of all likely restructuring and refinancing strategies or the approval of a work-out strategy by all interested parties.

(4) NRC may provide up to 15 percent of the total funds under this paragraph to its own charter members with expertise in foreclosure prevention counseling, subject to a certification by the NRC that the procedures for selection do not consist of any procedures or activities that could be construed as an unacceptable conflict of interest or have the appearance of impropriety.

(5) HUD-approved counseling entities and State Housing Finance Agencies receiving funds under this paragraph shall have demonstrated experience in successfully working with financial institutions as well as borrowers facing default, delinquency and foreclosure as well as documented counseling capacity, outreach capacity, past successful performance and positive outcomes with documented counseling plans (including post mortgage foreclosure mitigation counseling), loan workout agreements and loan modification agreements. NRC may use other criteria to demonstrate capacity in underserved areas.

(6) Of the total amount made available under this paragraph, up to $3,000,000 may be made available to build the mortgage foreclosure and default mitigation counseling capacity of counseling intermediaries through NRC training courses with HUD-approved counseling intermediaries and their partners, except that private financial institutions that participate in NRC training shall pay market rates for such training.

(7) Of the total amount made available under this paragraph, up to ⟦5⟧ *6* percent may be used for associated administrative expenses for the NRC to carry out activities provided under this section.

(8) Mortgage foreclosure mitigation assistance grants may include a budget for outreach and advertising, and training, as determined by the NRC.

(9) The NRC shall continue to report bi-annually to the House and Senate Committees on Appropriations as well as the Senate Banking Committee and House Financial Services Committee on its efforts to mitigate mortgage default. *(Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 82–1300–0–1–451		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Payment for operations and grants	233	135	127
0002	Foreclosure Prevention		80	86
0900	Total new obligations (object class 41.0)	233	215	213
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	233	215	213
1160	Appropriation, discretionary (total)	233	215	213
1930	Total budgetary resources available	233	215	213
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	233	215	213
3040	Outlays (gross)	–233	–215	–213
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	233	215	213
	Outlays, gross:			
4010	Outlays from new discretionary authority	233	215	213
4180	Budget authority, net (total)	233	215	213
4190	Outlays, net (total)	233	215	213

Neighborhood Reinvestment Corporation (NRC), also known as "NeighborWorks America," was established by the Congress in 1978 as a community/public/private partnership providing financial support, technical assistance, and training for affordable housing and community-based revitalization efforts nationwide. Through its core activities, NRC supports more than 3,300 community development organizations across the United States, including more than 235 chartered community-based non-profit organizations that comprise the NeighborWorks network, through professional training and certification, symposiums, development and promotion of industry standards, and provision of information. NRC created its NeighborWorks Center for Foreclosure Solutions and since 2008 has adminstered the National Foreclosure Mitigation Counseling program. NRC receives both Federal and non-Federal funding to finance its program activities. The Budget proposes $213 million for NRC: $127 million for its operations and grants to network members, and $86 million for foreclosure prevention counseling.

Balance Sheet (in millions of dollars)

Identification code 82–1300–0–1–451		2010 actual	2011 actual
	ASSETS:		
	Other Federal assets:		
1801	Cash and other monetary assets	14	14
1803	Property, plant and equipment, net	4	4
1999	Total assets	18	18
	LIABILITIES:		
	Non-Federal liabilities:		
2201	Accounts payable	5	5
2207	Other	2	2
2999	Total liabilities	7	7
	NET POSITION:		
3300	Cumulative results of operations	11	11
4999	Total liabilities and net position	18	18

NORTHERN BORDER REGIONAL COMMISSION

Federal Funds

NORTHERN BORDER REGIONAL COMMISSION

For necessary expenses of the Northern Border Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, ⟦$1,497,000⟧ *$1,425,000*, to remain available until expended: *Provided*, That such amounts shall be available for administrative expenses, notwithstanding section 15751(b) of title 40, United States Code. *(Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–3742–0–1–452		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Northern Border Regional Commission	1	1	1
0900	Total new obligations (object class 41.0)	1	1	1

NORTHERN BORDER REGIONAL COMMISSION—Continued

Program and Financing—Continued

Identification code 95–3742–0–1–452	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1160 Appropriation, discretionary (total)	1	1	1
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)		1	1
3030 Obligations incurred, unexpired accounts	1	1	1
3040 Outlays (gross)		–1	–1
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1	1	1
3100 Obligated balance, end of year (net)	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority		1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)		1	1

The Northern Border Regional Commission (NBRC), authorized by PL 110–234, was established as a Federal-State partnership to provide a comprehensive approach to addressing persistent economic distress in the northern border region. Covering portions of Maine, New Hampshire, New York, and Vermont, NBRC helps coordinate Federal efforts to develop the basic building blocks for economic development, such as transportation and basic public infrastructure, job skills training, and business development.

Employment Summary

Identification code 95–3742–0–1–452	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	1	1	1

NUCLEAR REGULATORY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including official representation expenses (not to exceed $25,000), **[**$1,027,240,000**]** *$1,042,200,000*, to remain available until expended: *Provided*, **[**That of the amount appropriated herein, not more than $9,000,000 may be made available for salaries and other support costs for the Office of the Commission: *Provided further*,**]** That revenues from licensing fees, inspection services, and other services and collections estimated at **[**$899,726,000**]** *$914,832,000* in fiscal year **[**2012**]** *2013* shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[**2012**]** *2013* so as to result in a final fiscal year **[**2012**]** *2013* appropriation estimated at not more than **[**$127,514,000: *Provided further*, That of the amounts appropriated under this heading, $10,000,000 shall be for university research and development in areas relevant to their respective organization's mission, and $5,000,000 shall be for a Nuclear Science and Engineering Grant Program that will support multiyear projects that do not align with programmatic missions but are critical to maintaining the discipline of nuclear science and engineering**]** *$127,368,000. (Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 31–0200–0–1–276	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0260 Nuclear Facility Fees, Nuclear Regulatory Commission	864	859	873
0261 Nuclear Facility Fees, Nuclear Regulatory Commission	47	51	52
0299 Total receipts and collections	911	910	925
0400 Total: Balances and collections	911	910	925
Appropriations:			
0500 Salaries and Expenses	–901	–900	–915
0501 Office of Inspector General	–10	–10	–10
0599 Total appropriations	–911	–910	–925
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 31–0200–0–1–276	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Nuclear Reactor Safety	847	821	810
0005 Nuclear Materials and Waste Safety	216	206	232
0799 Total direct obligations	1,063	1,027	1,042
0801 Reimbursable program	8	10	10
0900 Total new obligations	1,071	1,037	1,052
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	43	48	63
1021 Recoveries of prior year unpaid obligations	18	14	12
1050 Unobligated balance (total)	61	62	75
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (General Fund)	132	127	127
1101 Appropriation (NRC receipts)	901	900	915
1101 Appropriation (from NWF)	10		
1160 Appropriation, discretionary (total)	1,043	1,027	1,042
Spending authority from offsetting collections, discretionary:			
1700 Collected	9	11	11
1701 Change in uncollected payments, Federal sources	6		
1750 Spending auth from offsetting collections, disc (total)	15	11	11
1900 Budget authority (total)	1,058	1,038	1,053
1930 Total budgetary resources available	1,119	1,100	1,128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	48	63	76
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	382	359	311
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–8	–14	–14
3020 Obligated balance, start of year (net)	374	345	297
3030 Obligations incurred, unexpired accounts	1,071	1,037	1,052
3040 Outlays (gross)	–1,076	–1,071	–1,072
3050 Change in uncollected pymts, Fed sources, unexpired	–6		
3080 Recoveries of prior year unpaid obligations, unexpired	–18	–14	–12
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	359	311	279
3091 Uncollected pymts, Fed sources, end of year	–14	–14	–14
3100 Obligated balance, end of year (net)	345	297	265
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,058	1,038	1,053
Outlays, gross:			
4010 Outlays from new discretionary authority	816	781	792
4011 Outlays from discretionary balances	260	290	280
4020 Outlays, gross (total)	1,076	1,071	1,072
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–4	–5	–5

4033	Non-Federal sources	–5	–6	–6
4040	Offsets against gross budget authority and outlays (total)	–9	–11	–11
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–6		
4070	Budget authority, net (discretionary)	1,043	1,027	1,042
4080	Outlays, net (discretionary)	1,067	1,060	1,061
4180	Budget authority, net (total)	1,043	1,027	1,042
4190	Outlays, net (total)	1,067	1,060	1,061

Nuclear Reactor Safety.—Nuclear reactor safety encompasses NRC efforts to ensure that civilian nuclear reactor power and research, and test reactors are licensed and operated in a manner that adequately protects public health and safety, protects the environment, and provides high assurance of the physical security of reactor facilities. This program contributes to the NRC's safety and security goals through activities of the Operating Reactors and New Reactors offices to ensure the safe operation and physical security of operating and new reactors. These efforts include operating and new reactor licensing; reactor license renewal; operator licensing; financial assurance; inspection; performance assessment; identification and resolution of safety issues; reactor regulatory research; development and renewal of regulations; operating experience evaluation; incident investigation; homeland security efforts (including threat assessment, mitigating strategies, and emergency preparedness); emergency response; investigation of alleged wrongdoing by licensees, applicants, contractors, or vendors; imposition of enforcement sanctions for violations of NRC regulatory requirements; and reactor technical and regulatory training. NRC also supports international safety activities, including some that support the Agency's domestic mission and others that support broader U.S. national interests. These broader U.S. national interest activities include international policy formulation, treaty implementation, international information exchange, international safety and safeguard assistance, and deterring nuclear proliferation. NRC will continue to maintain its security and safeguards program for civilian reactor facilities and address any significant weaknesses.

Nuclear Materials and Waste Safety.—Nuclear materials safety encompasses all NRC efforts to ensure that Nuclear Regulatory Commission-regulated aspects of nuclear fuel cycle facilities, including uranium recovery and nuclear materials activities, are handled in a manner that provides adequate protection of public health and safety and that promotes the common defense and security. These efforts include licensing/certification, inspection, and enforcement activities; import-export licensing of nuclear materials and equipment; rulemaking activities and guidance development; nuclear materials research; identification and resolution of safety and safeguard issues; nuclear materials operating experience evaluation; incident investigation; threat assessment; emergency response; technical training; information technology systems that confirm the legitimacy of radioactive materials licenses; implementation of State and Native American Tribal programs; and investigations of alleged wrongdoing by licensees, applicants, certificate holders, and contractors.

Nuclear waste safety encompasses NRC's activities associated with high-level waste disposal; regulatory and oversight activities for decommissioning, which involves safely removing the facility from service and reducing residual radiation to a level that permits the property to be released for unrestricted or restricted use; oversight of legacy sites that have been contaminated in the course of uranium recovery and have been transferred to the Department of Energy (DOE) for long term care and management; environmental protection; monitoring two DOE sites contaminated by radioactive waste incidental to reprocessing; assuring the safe and secure storage and transportation of radioactive materials through the certification of spent fuel storage containers and transportation packages; and waste safety research. Low-level radioactive waste activities associated with the disposal of waste are addressed in accordance with the Low-Level Radioactive Waste Policy Act of 1980, as amended. NRC will continue to maintain the security and safeguards program for decommissioning reactors, spent fuel storage installations, transportation packages, and storage cask designs.

Object Classification (in millions of dollars)

Identification code 31–0200–0–1–276		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	456	448	438
11.3	Other than full-time permanent	8	8	8
11.5	Other personnel compensation	17	17	16
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	482	474	463
12.1	Civilian personnel benefits	133	133	131
21.0	Travel and transportation of persons	27	27	29
22.0	Transportation of things	1	1	2
23.1	Rental payments to GSA	34	34	35
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	12	12	14
24.0	Printing and reproduction	2	2	2
25.2	Other services from non-Federal sources	184	170	182
25.3	Other goods and services from Federal sources	131	118	129
25.4	Operation and maintenance of facilities	5	5	9
25.7	Operation and maintenance of equipment	20	20	20
26.0	Supplies and materials	4	4	4
31.0	Equipment	6	6	6
32.0	Land and structures	5	5	5
41.0	Grants, subsidies, and contributions	16	15	10
99.0	Direct obligations	1,063	1,027	1,042
99.0	Reimbursable obligations	8	10	10
99.9	Total new obligations	1,071	1,037	1,052

Employment Summary

Identification code 31–0200–0–1–276		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	3,945	3,872	3,846
2001	Reimbursable civilian full-time equivalent employment	11	23	24

Office of Inspector General

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, [$10,860,000] *as amended, $11,020,000*, to remain available until [September 30, 2013] *September 30, 2014*: *Provided*, That revenues from licensing fees, inspection services, and other services and collections estimated at [$9,774,000] *$9,918,000* in fiscal year [2012] *2013* shall be retained and be available until [expended] *September 30, 2014*, for necessary salaries and expenses in this account, notwithstanding section 3302 of title 31, United States Code: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [2012] *2013* so as to result in a final fiscal year [2012] *2013* appropriation estimated at not more than [$1,086,000] *$1,102,000. (Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 31–0300–0–1–276		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Inspector General	12	11	11
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	1	1	1

OFFICE OF INSPECTOR GENERAL—Continued

Program and Financing—Continued

Identification code 31–0300–0–1–276		2011 actual	2012 est.	2013 est.
1101	Appropriation (special or trust fund)	10	10	10
1160	Appropriation, discretionary (total)	11	11	11
1930	Total budgetary resources available	13	12	12
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	1
3030	Obligations incurred, unexpired accounts	12	11	11
3040	Outlays (gross)	–12	–11	–11
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	1	1
3100	Obligated balance, end of year (net)	1	1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	11	11	11
	Outlays, gross:			
4010	Outlays from new discretionary authority	11	9	9
4011	Outlays from discretionary balances	1	2	2
4020	Outlays, gross (total)	12	11	11
4180	Budget authority, net (total)	11	11	11
4190	Outlays, net (total)	12	11	11

The Inspector General Act Amendments of 1988 established a statutory Office of the Inspector General within NRC. The function of the Office of the Inspector General is to independently and objectively conduct and supervise audits and investigations relating to agency programs and operations; prevent and detect fraud, waste, and abuse; and promote economy, efficiency and effectiveness in NRC programs and operations.

Object Classification (in millions of dollars)

Identification code 31–0300–0–1–276		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	7	7	7
12.1	Civilian personnel benefits	2	2	3
25.2	Other services from non-Federal sources	3	2	1
99.9	Total new obligations	12	11	11

Employment Summary

Identification code 31–0300–0–1–276		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	57	58	58

GENERAL PROVISIONS—INDEPENDENT AGENCIES

[SEC. 401. (a) None of the funds provided in this title for "Nuclear Regulatory Commission—Salaries and Expenses" shall be available for obligation or expenditure through a reprogramming of funds that—

(1) increases funds or personnel for any program, project, or activity for which funds are denied or restricted by this Act; or

(2) reduces funds that are directed to be used for a specific program, project, or activity by this Act.

(b) The Chairman of the Nuclear Regulatory Commission may not terminate any program, project, or activity without the approval of a majority vote of the Commissioners of the Nuclear Regulatory Commission approving such action.

(c) The Nuclear Regulatory Commission may waive the restriction on reprogramming under subsection (a) on a case-by-case basis by certifying to the Committees on Appropriations of the House of Representatives and the Senate that such action is required to address national security or imminent risks to public safety. Each such waiver certification shall include a letter from the Chairman of the Commission that a majority of Commissioners of the Nuclear Regulatory Commission have voted and approved the reprogramming waiver certification.]

[SEC. 402. The Nuclear Regulatory Commission shall require reactor licensees to re-evaluate the seismic, tsunami, flooding, and other external hazards at their sites against current applicable Commission requirements and guidance for such licenses as expeditiously as possible, and thereafter when appropriate, as determined by the Commission, and require each licensee to respond to the Commission that the design basis for each reactor meets the requirements of its license, current applicable Commission requirements and guidance for such license. Based upon the evaluations conducted pursuant to this section and other information it deems relevant, the Commission shall require licensees to update the design basis for each reactor, if necessary.]

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:			
31–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts		1	1
General Fund Offsetting receipts from the public		1	1

NUCLEAR WASTE TECHNICAL REVIEW BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100–203, section 5051, $3,400,000 to be derived from the Nuclear Waste Fund, and to remain available until expended. *(Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 48–0500–0–1–271		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Technical and scientific activities	4	3	3
0900	Total new obligations	4	3	3
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
	Budget authority:			
	Appropriations, discretionary:			
1101	Appropriation (special or trust fund)	4	3	3
1160	Appropriation, discretionary (total)	4	3	3
1930	Total budgetary resources available	5	4	4
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	1
3030	Obligations incurred, unexpired accounts	4	3	3
3040	Outlays (gross)	–4	–3	–3
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	1	1
3100	Obligated balance, end of year (net)	1	1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	4	3	3
	Outlays, gross:			
4010	Outlays from new discretionary authority	4	3	3
4180	Budget authority, net (total)	4	3	3
4190	Outlays, net (total)	4	3	3

As mandated by the Nuclear Waste Policy Amendments Act of 1987, the Nuclear Waste Technical Review Board (Board) evaluates the technical and scientific validity of all activities undertaken by the Department of Energy (DOE) related to the management and disposition of spent nuclear fuel and high level radioactive waste. The purpose of the Board is to provide independent expert advice to DOE and the Congress on technical issues and to review DOE's efforts to implement the Nuclear Waste Policy Act. The Board must report its findings, conclusions and recommendations at least two times per year to Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 48–0500–0–1–271		2011 actual	2012 est.	2013 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5	Below reporting threshold	2	1	1
99.9	Total new obligations	4	3	3

Employment Summary

Identification code 48–0500–0–1–271		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	15	15	14

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission, [$11,689,000] *$11,965,000*. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2100–0–1–554		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Commission review	5	5	5
0002	Administrative law judge determinations	4	5	5
0003	Executive direction	2	2	2
0900	Total new obligations	11	12	12
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	12	12	12
1160	Appropriation, discretionary (total)	12	12	12
1930	Total budgetary resources available	12	13	13
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	2	2	3
3030	Obligations incurred, unexpired accounts	11	12	12
3040	Outlays (gross)	–11	–11	–11
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	2	3	4
3100	Obligated balance, end of year (net)	2	3	4
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	12	12	12
	Outlays, gross:			
4010	Outlays from new discretionary authority	10	10	10
4011	Outlays from discretionary balances	1	1	1
4020	Outlays, gross (total)	11	11	11
4180	Budget authority, net (total)	12	12	12
4190	Outlays, net (total)	11	11	11

The Occupational Safety and Health Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

Object Classification (in millions of dollars)

Identification code 95–2100–0–1–554		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	7	7	7
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	1	1	1
99.0	Direct obligations	10	10	10
99.5	Below reporting threshold	1	2	2
99.9	Total new obligations	11	12	12

Employment Summary

Identification code 95–2100–0–1–554		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	58	63	65

OFFICE OF GOVERNMENT ETHICS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, and the Ethics Reform Act of 1989, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed $1,500 for official reception and representation expenses, [$13,664,000] *$13,473,000*. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–1100–0–1–805		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	14	14	13
0801	Reimbursable program activity		1	1
0900	Total new obligations	14	15	14
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	14	14	13
1160	Appropriation, discretionary (total)	14	14	13
	Spending authority from offsetting collections, discretionary:			
1700	Collected		1	1
1750	Spending auth from offsetting collections, disc (total)		1	1
1900	Budget authority (total)	14	15	14
1930	Total budgetary resources available	14	15	14
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	2	3	3
3030	Obligations incurred, unexpired accounts	14	15	14
3031	Obligations incurred, expired accounts	1		
3040	Outlays (gross)	–13	–15	–14
3081	Recoveries of prior year unpaid obligations, expired	–1		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	3	3	3

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 95–1100–0–1–805		2011 actual	2012 est.	2013 est.
3100	Obligated balance, end of year (net)	3	3	3
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	14	15	14
	Outlays, gross:			
4010	Outlays from new discretionary authority	12	13	13
4011	Outlays from discretionary balances	1	2	1
4020	Outlays, gross (total)	13	15	14
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4033	Non-Federal sources	.....	–1	–1
4180	Budget authority, net (total)	14	14	13
4190	Outlays, net (total)	13	14	13

The United States Office of Government Ethics (OGE), established by the Ethics in Government Act of 1978, provides overall direction, oversight and accountability of Executive Branch policies designed to prevent and resolve conflicts of interest. OGE is also charged with promoting high ethical standards for Executive Branch employees. Specifically, OGE: promulgates and maintains enforceable standards of ethical conduct for over four million civilian employees and uniformed service members in over 130 Executive Branch agencies and the White House; works with Presidential nominees for appointments requiring Senate confirmation to resolve proactively potential financial conflicts of interest for the most senior leaders in the executive branch; oversees a financial disclosure system that reaches more than 28,000 public financial disclosure filers and over 325,000 confidential financial disclosure filers; ensures that Executive Branch ethics programs are in compliance with laws and regulations; provides education and training to the more than 5,700 ethics officials; and on such topics as codes of conduct, financial disclosure, anti-corruption and prevention measures, and building ethical organizational cultures, conducts outreach to the general public, the private sector, and civil society, as well as shares good practices with and provides technical assistance to state, local, and foreign governments and international organizations.

Object Classification (in millions of dollars)

Identification code 95–1100–0–1–805		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	8	9	8
11.3	Other than full-time permanent	1	.....	.....
11.9	Total personnel compensation	9	9	8
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	2	2	2
25.3	Other goods and services from Federal sources	1	1	1
99.0	Direct obligations	14	14	13
99.0	Reimbursable obligations	.....	1	1
99.9	Total new obligations	14	15	14

Employment Summary

Identification code 95–1100–0–1–805		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	73	74	74

OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93–531, [$7,750,000] *$8,400,000*, to remain available until expended: *Provided*, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: *Provided further*, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: *Provided further*, That no relocatee will be provided with more than one new or replacement home: *Provided further*, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to 25 U.S.C. 640d-10. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 48–1100–0–1–808		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Operation of relocation office	6	5	5
0003	Relocation payments (housing)	5	2	3
0004	Discretionary fund payments	1	1	.....
0900	Total new obligations	12	8	8
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	.....	.....
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	8	8	8
1160	Appropriation, discretionary (total)	8	8	8
1930	Total budgetary resources available	12	8	8
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	3	4	4
3030	Obligations incurred, unexpired accounts	12	8	8
3040	Outlays (gross)	–11	–8	–8
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	4	4	4
3100	Obligated balance, end of year (net)	4	4	4
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	8	8	8
	Outlays, gross:			
4010	Outlays from new discretionary authority	.....	6	6
4011	Outlays from discretionary balances	11	2	2
4020	Outlays, gross (total)	11	8	8
4180	Budget authority, net (total)	8	8	8
4190	Outlays, net (total)	11	8	8

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93–531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

Object Classification (in millions of dollars)

Identification code 48–1100–0–1–808		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	2	1	1
32.0	Land and structures	5	2	3
41.0	Grants, subsidies, and contributions	1	1	
99.9	Total new obligations	12	8	8

Employment Summary

Identification code 48–1100–0–1–808		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	42	40	40

OFFICE OF SPECIAL COUNSEL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95–454), the Whistleblower Protection Act of 1989 (Public Law 101–12), Public Law 107–304, and the Uniformed Services Employment and Reemployment Rights Act of 1994 (Public Law 103–353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; ⟦$18,972,000⟧ *$18,692,000. (Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 62–0100–0–1–805		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Investigation and prosecution of reprisals for whistle blowing	18	19	19
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	18	19	19
1160	Appropriation, discretionary (total)	18	19	19
1930	Total budgetary resources available	19	20	20
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	3	3	3
3030	Obligations incurred, unexpired accounts	18	19	19
3040	Outlays (gross)	–18	–19	–19
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	3	3	3
3100	Obligated balance, end of year (net)	3	3	3
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	18	19	19
	Outlays, gross:			
4010	Outlays from new discretionary authority	18	17	17
4011	Outlays from discretionary balances		2	2
4020	Outlays, gross (total)	18	19	19
4180	Budget authority, net (total)	18	19	19
4190	Outlays, net (total)	18	19	19

The Office of Special Counsel (OSC): 1) investigates Federal employee and applicant allegations of prohibited personnel practices (including reprisal for whistleblowing) and other activities prohibited by civil service law, and when appropriate, prosecutes before the Merit Systems Protection Board (MSPB); 2) provides a safe channel for whistleblowing by Federal employees and applicants; 3) investigates and enforces the Uniform Services Employment and Reemployment Rights Act (USERRA); and 4) advises on and enforces the Hatch Act. OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation. OSC then submits a report to the Congress and the President when appropriate.

Overall in 2011 a record level 4,026 cases were submitted to OSC for assistance or action by Federal employees and other persons. Of this total, 2,583 were prohibited personnel practice cases, an increase of 6 percent over the prior year. In 2011, OSC resolved 4,044 matters, 20 percent more than it had just two years prior, most often without recourse to formal proceedings before MSPB. In 2011, OSC obtained 83 favorable actions in prohibited personnel practices cases and 43 corrective actions in Hatch Act complaints. Additionally, 10 cases were resolved through alternative dispute resolution. OSC is significantly stepping up its mediation efforts. In 2011, OSC filed three disciplinary action complaints before MSPB in Hatch Act matters, and obtained five disciplinary actions by negotiation or MSPB order. OSC received 451 Hatch Act complaints during 2011. During 2011, OSC's Disclosure Unit referred 22 whistleblower disclosures to agency heads for their review. OSC received 64 USERRA cases in 2011, including referrals and cases for the new demonstration project.

Case type	Case Received 2011	Case Process 2011
Prohibited personnel practice complaints	2,583	2,508
Hatch Act complaints	451	635
Whistleblower disclosures	928	870
USERRA cases	64	31
Totals	4,026	4,044

For 2012 and 2013, OSC projects intakes for whistleblower disclosure, Hatch Act and prohibited personnel practice cases will increase in the 6–8 percent range, based upon the trends of the last five years. Furthermore, several hundred additional cases will be received through the new USERRA demonstration project. The funding requested for 2013 will enable OSC to maintain the staffing level necessary to operate the agency, pursue its mission, and keep case backlogs low.

Object Classification (in millions of dollars)

Identification code 62–0100–0–1–805		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	13	13	13
12.1	Civilian personnel benefits	3	4	4
23.1	Rental payments to GSA	1	1	1
25.2	Other services from non-Federal sources	1	1	1
99.9	Total new obligations	18	19	19

Employment Summary

Identification code 62–0100–0–1–805		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	107	110	107

OFFICE OF THE FEDERAL COORDINATOR FOR ALASKA NATURAL GAS TRANSPORTATION PROJECTS

Federal Funds

OFFICE OF THE FEDERAL COORDINATOR FOR ALASKA NATURAL GAS TRANSPORTATION PROJECTS

For necessary expenses for the Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects pursuant to the Alaska Natural Gas Pipeline Act of 2004, [$1,000,000] *$3,084,200: Provided, That any fees, charges, or commissions received pursuant to section 802 of Public Law 110–140 in fiscal year 2013 in excess of $2,000,000 shall not be available for obligation until appropriated in a subsequent Act of Congress. (Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–2850–0–1–271	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0220 Fees, Charges, and Commissions		5	2
0400 Total: Balances and collections		5	2
Appropriations:			
0500 Office of the Federal Coordinator for Alaska Natural Gas Transportation		–5	–2
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–2850–0–1–271	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Coordination and review	3	8	5
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	1	3
1160 Appropriation, discretionary (total)	4	1	3
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		5	2
1260 Appropriations, mandatory (total)		5	2
1900 Budget authority (total)	4	6	5
1930 Total budgetary resources available	5	8	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1		
3030 Obligations incurred, unexpired accounts	3	8	5
3040 Outlays (gross)	–4	–8	–4
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)			1
3100 Obligated balance, end of year (net)			1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	1	3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	1	2
4011 Outlays from discretionary balances	1	2	
4020 Outlays, gross (total)	4	3	2
Mandatory:			
4090 Budget authority, gross		5	2
Outlays, gross:			
4100 Outlays from new mandatory authority		5	2
4180 Budget authority, net (total)	4	6	5
4190 Outlays, net (total)	4	8	4

The Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects (OFC), established by Public Law 108–324, is an independent agency in the Executive Branch, pursuant to the Alaska Natural Gas Pipeline Act of 2004. The Federal Coordinator is responsible for coordinating all Federal activities for an Alaska natural gas transportation project, including joint surveillance and monitoring with the State of Alaska during construction of a project and for one year following the completion of the project. An Alaska natural gas transportation project could deliver significant natural gas supply to the U.S. lower 48 states.

The five main roles of the OFC are: (1) coordinate the expeditious discharge of all activities by all Federal agencies with respect to an Alaska natural gas transportation project; (2) ensure compliance of a project with either ANGPA or ANGTA; (3) ensure that implementation or enforcement actions do not exceed the limitations established in ANGPA; (4) provide a liaison function to ensure adequate communication with Congress, State of Alaska, Federal and Canadian agencies; and (5) enter into a joint surveillance and monitoring agreement with the State of Alaska for the purpose of monitoring the construction of the Project.

Object Classification (in millions of dollars)

Identification code 95–2850–0–1–271	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	4	3
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	1	3	1
99.9 Total new obligations	3	8	5

Employment Summary

Identification code 95–2850–0–1–271	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	11	12	12

OTHER COMMISSIONS AND BOARDS

Federal Funds

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD

SALARIES AND EXPENSES

For necessary expenses for the Commission for the Preservation of America's Heritage Abroad, [$634,000] *$602,000*, as authorized by section 1303 of Public Law 99–83. *(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012.)*

[SOUTHEAST CRESCENT REGIONAL COMMISSION]

[For necessary expenses of the Southeast Crescent Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, $250,000, to remain available until expended.] *(Energy and Water Development and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–9911–0–1–999	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	1	1	1
0900 Total new obligations (object class 25.2)	1	1	1
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1160 Appropriation, discretionary (total)	1	1	1
1930 Total budgetary resources available	2	2	2

	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	1	1	1
3040	Outlays (gross)	–1	–1	–1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	1	1	1
	Outlays, gross:			
4010	Outlays from new discretionary authority	1	1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)	1	1	1

The Other Commissions and Boards account presents data on small independent commissions and other entities on a consolidated basis.

This consolidated account includes the request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve cultural sites associated with the foreign heritage of Americans by identifying properties, negotiating U.S. agreements with foreign governments, and facilitating private restoration, preservation, and memorialization efforts.

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Federal Funds

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Program and Financing (in millions of dollars)

Identification code 95–1299–0–1–552	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 General Fund Payment	50	150	150
0900 Total new obligations (object class 94.0)	50	150	150
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	50	150	150
1260 Appropriations, mandatory (total)	50	150	150
1930 Total budgetary resources available	50	150	150
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	50	150	150
3040 Outlays (gross)	–50	–150	–150
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	50	150	150
Outlays, gross:			
4100 Outlays from new mandatory authority	50	150	150
4180 Budget authority, net (total)	50	150	150
4190 Outlays, net (total)	50	150	150

This fund exists for issuance of general fund appropriations to the Patient-Centered Outcomes Research Trust Fund. In accordance with Public Law 111–148, annual appropriations will continue through 2019.

Trust Funds

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8299–0–7–552	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0200 Fees on Health Insurance and Self-insured Health Plans, PCORTF			188
0240 Payment from the General Fund, Patient-Centered Outcomes Research Trust Fund	50	150	150
0241 Transfers from FHI Trust Fund, PCORTF			25
0242 Transfers from FSMI Trust Fund, PCORTF			27
0299 Total receipts and collections	50	150	390
0400 Total: Balances and collections	50	150	390
Appropriations:			
0500 Patient-Centered Outcomes Research Trust Fund	–50	–150	–390
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–8299–0–7–552	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Payment to PCORI	40	120	312
0002 Transfer to HHS	10	30	78
0900 Total new obligations (object class 94.0)	50	150	390
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10		
1020 Adjustment of unobligated bal brought forward, Oct 1	–10		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	50	150	390
1260 Appropriations, mandatory (total)	50	150	390
1930 Total budgetary resources available	50	150	390
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)		41	128
3001 Adjustments to unpaid obligations, brought forward, Oct 1	10		
3020 Obligated balance, start of year (net)	10	41	128
3030 Obligations incurred, unexpired accounts	50	150	390
3040 Outlays (gross)	–19	–63	–265
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	41	128	253
3100 Obligated balance, end of year (net)	41	128	253
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	50	150	390
Outlays, gross:			
4100 Outlays from new mandatory authority	10	30	137
4101 Outlays from mandatory balances	9	33	128
4110 Outlays, gross (total)	19	63	265
4180 Budget authority, net (total)	50	150	390
4190 Outlays, net (total)	19	63	265
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	10	41	128
5001 Total investments, EOY: Federal securities: Par value	41	128	253

Public Law 111–148 authorized the establishment of the Patient-Centered Outcomes Research Trust Fund (PCORTF) to receive amounts from general fund appropriations, fees on health insurance and self-insured plans, transfers from the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds, and interest earned on investments. Amounts appropriated or credited to the PCORTF are available to the Patient-Centered Outcomes Research Institute and the Secretary of Health and Human Services for carrying out part D of Title XI of the Social Security Act and section 937 of the Public Health Service Act, respectively.

POSTAL SERVICE

Federal Funds

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, ⟦$78,153,000⟧ *$89,092,000*, which shall not be available for obligation until October 1, ⟦2012⟧ *2013*: *Provided*, That mail for overseas voting and mail for the blind shall continue to be free: *Provided further*, That 6-day delivery and rural delivery of mail shall continue *through December 31, 2012,* at not less than the 1983 level: *Provided further*, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: *Provided further*, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices in fiscal year ⟦2012⟧ *2013*. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 18–1001–0–1–372	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0003 Prior years' liabilities	12		
0004 Advance Appropriation from the previous year	89[1]	75[2]	78[3]
0900 Total new obligations (object class 41.0)	101	75	78
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12		
1160 Appropriation, discretionary (total)	12		
Advance appropriations, discretionary:			
1170 Advance appropriation	89	75	78
1180 Advanced appropriation, discretionary (total)	89	75	78
1900 Budget authority (total)	101	75	78
1930 Total budgetary resources available	101	75	78
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	101	75	78
3040 Outlays (gross)	–101	–75	–78
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	101	75	78
Outlays, gross:			
4010 Outlays from new discretionary authority	101	75	78
4180 Budget authority, net (total)	101	75	78
4190 Outlays, net (total)	101	75	78

[1]Represents a $68,776,000 current year estimate and a +$20,552,000 reconciliation adjustment.

[2]Represents a $68,914,000 current year estimate and a +$5,991,000 reconciliation adjustment.

[3]Represents a $69,475,000 current year estimate and a +$8,678,000 reconciliation adjustment.

The Budget reflects $78,153,000 for Payment to the Postal Service Fund in 2013. This amount represents an advance appropriation from 2012 for the 2012 costs and the 2009 reconciliation adjustment for free mail for the blind and overseas voting. These resources were made available to the U.S. Postal Service in 2012 (pursuant to P.L. 112–74, the Consolidated Appropriations Act, 2012).

In addition, the Budget proposes $89,092,000 as an advance appropriation for 2014 for the 2013 costs ($70,736,000) and the 2010 reconciliation adjustment ($18,356,000) for actual mail volume of free mail for the blind and overseas voting costs.

Pursuant to Public Law 93–328, the 2013 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is $107,396,000. This amount includes $88,861,000 requested for free mail for the blind and overseas voting and $18,535,000 as reconciliation adjustment for 2010 actual mail volume of free mail for the blind and overseas voting.

POSTAL SERVICE FUND

Program and Financing (in millions of dollars)

Identification code 18–4020–0–3–372	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Postal field operations	48,877	47,191	41,956
0802 Transportation	6,389	6,367	5,323
0803 Building occupancy	1,979	1,989	1,926
0804 Supplies and services	2,305	2,136	2,167
0805 Research and development	14	16	16
0806 Administration and area operations	8,032	17,286	12,996
0807 Interest	175	200	218
0808 Servicewide expenses	117	125	127
0809 Reimbursable program activities, subtotal	67,888	75,310	64,729
0810 Capital Investment	441	866	1,970
0900 Total new obligations	68,329	76,176	66,699
Budgetary Resources:			
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	996	2,000	
1440 Borrowing authority, mandatory (total)	996	2,000	
Spending authority from offsetting collections, discretionary:			
1700 Collected			255
1710 Transferred to other accounts [18–0100]			–241
1710 Transferred to other accounts [18–0200]			–14
Spending authority from offsetting collections, mandatory:			
1800 Collected	67,591	63,586	62,620
1810 Transferred to other accounts [18–0100]	–244	–241	
1810 Transferred to other accounts [18–0200]	–14	–14	
1850 Spending auth from offsetting collections, mand (total)	67,333	63,331	62,620
1900 Budget authority (total)	68,329	65,331	62,620
1930 Total budgetary resources available	68,329	65,331	62,620
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)		188	–951
3030 Obligations incurred, unexpired accounts	68,329	76,176	66,699
3040 Outlays (gross)	–68,141	–77,315	–67,470
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	188	–951	–1,722
3100 Obligated balance, end of year (net)	188	–951	–1,722
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	68,329	65,331	62,620
Outlays, gross:			
4100 Outlays from new mandatory authority	68,141	77,315	67,470
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–1,052	–899	–971
4123 Non-Federal sources	–66,539	–62,945	–61,904
4130 Offsets against gross budget authority and outlays (total)	–67,591	–63,844	–62,875
4160 Budget authority, net (mandatory)	738	1,487	–255
4170 Outlays, net (mandatory)	550	13,471	4,595
4180 Budget authority, net (total)	738	1,487	–255
4190 Outlays, net (total)	550	13,471	4,595
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,391	1,815	1,815
5001 Total investments, EOY: Federal securities: Par value	1,815	1,815	1,815
Unfunded deficiencies:			
7000 Unfunded deficiency, start of year			–10,845
Change in deficiency during the year:			
7010 New deficiency		–10,845	–4,079
7020 Unfunded deficiency, end of year		–10,845	–14,924

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	738	1,487	–255
Outlays	550	13,471	4,595
Legislative proposal, subject to PAYGO:			
Outlays		–15,731	–11,507
Total:			
Budget Authority	738	1,487	–255
Outlays	550	–2,260	–6,912

The Postal Reorganization Act of 1970, Public Law 91–375, converted the Post Office Department into the U.S. Postal Service (USPS), an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including nine Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

Programs.—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and equipment.

The Postal Accountability and Enhancement Act (P.L. 109–435), was signed by the President on December 20, 2006. The Act made a number of changes affecting the operations and oversight of the Postal Service. The Act provided for separate accounting and reporting for Postal Service activities related to: (1) products where the Postal Service dominates the market; and (2) products where the Postal Service is in a competitive market. The Act amended the process for determining rate increases for market-dominant products, in part by imposing a limitation on rate increases for at least the next 10 years linked to the Consumer Price Index for All Urban Consumers (CPI-U). This was intended to provide the Postal Service with pricing flexibility and ratepayers with a degree of rate predictability. The Act also replaced the Postal Rate Commission with a Postal Regulatory Commission with expanded authorities, including subpoena powers.

Financing.—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by $2.5 billion in 1991 for a revised ceiling of $12.5 billion and an additional $2.5 billion in 1992 for a revised total ceiling of $15 billion. The total annual increase in net outstanding debt was also increased to annually grow by up to $2.0 billion in obligations issued for the purpose of capital improvements and by $1.0 billion for the purpose of paying operating expenses. P.L. 109–435 removed the separate limitations on borrowing for capital improvements and operating expenses so that under the $15 billion debt cap, the annual increase in outstanding debt cannot now exceed a combined total of $3.0 billion. According to USPS estimates, as of September 30, 2012 it is expected that the total debt instruments issued and outstanding pursuant to this authority will amount to $15.0 billion.

Operating.—According to USPS estimates, revenue will total approximately $63.0 billion in 2013. Total expenses are estimated at approximately $76.6 billion in 2013.

The Postal Reorganization Act of 1970 established the Postal Service as a self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, the Congress has taken steps over time to reduce these subsidies, particularly by requiring the Postal Service to assume greater portions of its personnel-related costs. Since 1982 the Postal Service had not received any public-service appropriations. At the end of 2011, the Postal Service employed 645,950 persons. Under the 1974 Civil Service Retirement Fund Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under Postal labor contracts that are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from the Office of Personnel Management (OPM) to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989, and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of Postal annuitants. Effective October 1, 1990, the Postal Service was required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employees Health Benefits Program (FEHBP) premiums for Postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service was required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service was required to make certain payments for past COLAs and health benefits, over and above any other payments required by law. This amounted to $693 million to the Civil Service Retirement and Disability Fund, and $348 million to the Employees Health Benefits Fund. These two amounts were made in three equal annual installments, beginning in fiscal year 1996.

The Balanced Budget Act of 1997 repealed the authorization for transitional appropriations to the Postal Service which had funded the liabilities of the former Post Office Department to the Employees' Compensation Fund. Effective October 1, 1997, these

POSTAL SERVICE FUND—Continued

remaining claims became liabilities of the Postal Service payable out of the Postal Service Fund.

Early in 2003, OPM determined that, at the then-current rate of funding, the Postal Service would pay substantially more than needed to fund the estimated future benefits of postal employees and retirees participating in the Civil Service Retirement System. This projected over-funding resulted from interest earned by the fund in excess of the assumed statutory rate of five percent. As a result, the Administration proposed and the Congress passed CSRS reform legislation that was enacted on April 23, 2003 (P.L. 108–18). The provisions of P.L. 108–18 eliminated all future retirement liability payments related to general wage increases and the retirement COLA payments, and the Postal Service became responsible for the Civil Service retirement obligations related to military service of Postal Service employees. In addition, the Postal Service funded CSRS retirement benefits at 17.4 percent of current CSRS employees' wages, beginning in May 2003. This was a dynamic funding requirement, not a static requirement, thus employer contributions could change based on interest earnings and amounts that are needed to fund the full cost of the future benefit. Annually, OPM was directed to calculate the amount of any potential supplemental retirement liability and the Postal Service was required to fund any such liability in annual payments through September 30, 2043.

P.L. 109–435 created the Postal Service Retiree Health Benefits Fund to put the Postal Service on a path that fully funds its substantial retiree (annuitant) health benefits liabilities. This new Fund receives from the Postal Service: 1) The pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108–18) that were held in escrow during 2006; 2) A 10-year stream of payments defined within P.L 109–435 to begin the liquidation of the Postal Services unfunded liability for post-retirement health benefits; 3) Beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees; 4) Beginning in 2017, a 40-year amortization payment to fund any remaining unfunded liabilities associated with post-retirement health benefits of USPS employees; and 5) The surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under CSRS to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service, including the savings from shifting the responsibility for retirement credit related to military service from the Postal Service to the Treasury (effectively eliminating the need for the dynamic CSRS funding payments and supplemental liability payments noted in the previous paragraph). As a result, beginning in 2017, the Postal Service will no longer pay annual premiums for its post-1971 annuitants. Instead, these premium payments will be paid from the Postal Service Retiree Health Benefit Fund. Payments for the portion of the premium costs of Postal Service annuitants pre-1971 service will continue to be paid by the General Fund of the Treasury through the Government Payment for Annuitants, Employees Health Benefits account.

Section 164 of Division B of P.L. 111–68, the Continuing Appropriations Resolution, 2010, reduced the 2009 amount USPS was required to contribute toward the liquidation of its post-retirement health benefits liability (item 2 in the preceding paragraph) from $5.4 billion to $1.4 billion. This reduction had the effect of increasing the size of 40-year amortization payment for the remaining unfunded liability that USPS is required to make starting in 2017 (item 4 in the preceding paragraph).

Section 623 of Division C of P.L. 112–74, the Consolidated Appropriations Act, 2012, amended Title 5, United States Code by striking the date specified in Sec. 8909a(d)(3)(A)(v) of September 30, 2011 and inserting August 1, 2012 for the scheduled payment of $5.5 billion to the Postal Service Retiree Health Benefit Fund.

Statement of Operations (estimates per USPS and on an accrual accounting basis)

	2010 actual	2011 actual	2012 est.	2013 est.
Revenue	67,077	65,739	64,031	63,000
Expense	–75,582	–70,806	–78,141	–76,626
Net income or loss (-)	(8,505)	(5,067)	(14,110)	(13,626)

Object Classification (in millions of dollars)

Identification code 18–4020–0–3–372		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	28,732	27,794	24,256
11.3	Other than full-time permanent	4,595	3,979	2,902
11.5	Other personnel compensation	4,023	3,771	2,697
11.9	Total personnel compensation	37,350	35,544	29,855
12.1	Civilian personnel benefits	15,081	23,869	21,407
13.0	Benefits for former personnel	2,518	2,704	2,651
21.0	Travel and transportation of persons	110	109	107
22.0	Transportation of things	6,980	6,993	5,858
23.1	Rental payments to GSA	100	43	42
23.2	Rental payments to others	1,023	1,056	1,035
23.3	Communications, utilities, and miscellaneous charges	869	818	802
24.0	Printing and reproduction	93	78	74
25.2	Other services from non-Federal sources	1,849	2,533	2,484
26.0	Supplies and materials	1,334	1,250	1,226
31.0	Equipment	254	524	514
32.0	Land and structures	489	343	337
42.0	Insurance claims and indemnities	104	112	110
43.0	Interest and dividends	175	200	197
99.9	Total new obligations	68,329	76,176	66,699

Employment Summary

Identification code 18–4020–0–3–372		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	601,864	577,859	572,932

POSTAL SERVICE FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 18–4020–4–3–372		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Postal field operations		–5,450	–5,450
0806	Administration and area operations		–9,771	–5,047
0809	Reimbursable program activities, subtotal		–15,221	–10,497
0900	Total new obligations		–15,221	–10,497
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			15,731
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected		510	1,010
1850	Spending auth from offsetting collections, mand (total)		510	1,010
1900	Budget authority (total)		510	1,010
1930	Total budgetary resources available		510	16,741
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		15,731	27,238
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		–15,221	–10,497
3040	Outlays (gross)		15,221	10,497
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		510	1,010
	Outlays, gross:			
4100	Outlays from new mandatory authority		–15,221	–10,497

	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources		–510	–1,010
4190	Outlays, net (total)		–15,731	–11,507

The Administration recognizes the enormous value of the Postal Service (USPS) to the Nation's commerce and communications, as well as the urgent need for reform to ensure the future viability of USPS. Therefore, consistent with the Presidents September 2011 Postal reform proposal to the Joint Select Committee on Deficit Reduction, the Budget proposes specific authorities to improve USPS efficiency and net revenue, along with financial relief measures, grounded in principles of fiscal responsibility as well as sound financial management. The Administration will work with the Congress and postal stakeholders to secure these necessary reforms.

As to the structure of relief, the Budget would first improve USPS financial condition by returning to USPS surplus amounts it has paid into its OPM account for its share of Federal Employee Retirement System costs. OPM has determined this surplus, as of September 30, 2010, is approximately $10.9 billion. Under the proposal, half of the surplus would be paid back to USPS in 2012 and the other half paid back in 2013. Secondly, the Budget proposes to restructure USPS retiree health benefits payments that were specified by the 2006 Postal Act. This change would still prudently pre-fund retiree liabilities, but on an accruing cost basis rather than the arbitrary amounts fixed in current law, which do not allow for the dramatic shifts in demand or workforce size that USPS has experienced in recent years. This restructuring would provide USPS with $14.1 billion in temporary financial relief in 2012 and 2013. See the Office of Personnel Management section of this Appendix for more information on these aspects of the proposal.

In addition, the Budget proposes operational reforms that would do the following: 1) reduce USPS operating costs by giving USPS authority, which it has said it would use, to reduce mail delivery frequency from six days to five days, starting in January 2013; 2) allow USPS to increase collaboration with State and local governments; and 3) give USPS the ability to better align the costs of postage with the costs of mail delivery while still operating within the current price cap, and permit USPS, during 2012, to seek the remaining approximately 1.8 percent of the modest one-time increase in postage rates of approximately 5.6 percent it proposed in 2010.

All together, these reforms would provide USPS with over $25 billion in cash relief over the next two years and produce savings of $25 billion over 11 years.

Object Classification (in millions of dollars)

Identification code 18–4020–4–3–372		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
12.1	Civilian personnel benefits		–15,221	–9,747
22.0	Transportation of things			–750
99.9	Total new obligations		–15,221	–10,497

Unspecified Adjustments to Operations

Program and Financing (in millions of dollars)

Identification code 18–9017–0–1–372		2011 actual	2012 est.	2013 est.
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)			11,231
3040	Outlays (gross)		11,231	4,592
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		11,231	15,823
3100	Obligated balance, end of year (net)		11,231	15,823
	Budget authority and outlays, net:			
	Mandatory:			
	Outlays, gross:			
4100	Outlays from new mandatory authority		–11,231	–4,592
4190	Outlays, net (total)		–11,231	–4,592

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Outlays		–11,231	–4,592
Legislative proposal, not subject to PAYGO:			
Outlays		500	1,750
Legislative proposal, subject to PAYGO:			
Outlays		7,616	4,879

This account reflects unspecified adjustments to Postal operations that would reflect the fact that USPS can only spend at amounts equal to its revenue and borrowing authority. For purposes of the Budget Baseline, USPS is shown to operate at a loss in 2012 as permitted by its remaining available borrowing authority and then operating at a break-even (i.e., revenues equal expenses) basis for 2013 and later years. This account is necessary because the original USPS estimates of its revenues and expenses were unsustainable—estimated expenses far exceeded estimated revenues plus borrowing authority. The Budget includes a legislative proposal that provides specific Postal financial relief and makes sustained reforms. The relief and reforms represent specific action USPS would take, and would reduce the need for the unspecified adjustments contained in this account.

Unspecified Adjustments to Operations

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 18–9017–2–1–372		2011 actual	2012 est.	2013 est.
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)			–500
3040	Outlays (gross)		–500	–1,750
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		–500	–2,250
3100	Obligated balance, end of year (net)		–500	–2,250
	Budget authority and outlays, net:			
	Mandatory:			
	Outlays, gross:			
4100	Outlays from new mandatory authority		500	1,750
4190	Outlays, net (total)		500	1,750

This schedule reflects the impact on the Unspecified Adjustments to Postal Operations account of the Postal financial relief and reform proposal to authorize the Postal Service to move from six- to five-day delivery and to permit USPS to seek the remainder of the modest one-time increase in postage rates it proposed in 2010.

Unspecified Adjustments to Operations

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 18–9017–4–1–372		2011 actual	2012 est.	2013 est.
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)			–7,616
3040	Outlays (gross)		–7,616	–4,879

UNSPECIFIED ADJUSTMENTS TO OPERATIONS—Continued

Program and Financing—Continued

Identification code 18–9017–4–1–372		2011 actual	2012 est.	2013 est.
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		–7,616	–12,495
3100	Obligated balance, end of year (net)		–7,616	–12,495
	Budget authority and outlays, net:			
	Mandatory:			
	Outlays, gross:			
4100	Outlays from new mandatory authority		7,616	4,879
4190	Outlays, net (total)		7,616	4,879

This schedule reflects the impact on the Unspecified Adjustments to Postal Operations account of the Postal financial relief and reform Budget proposal to refund to the Postal Service its Federal Employees Retirement System (FERS) surplus and restructure Postal Service payments for retiree health benefits.

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, $241,468,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(b)(3) of the Postal Accountability and Enhancement Act (Public Law 109–435)*: Provided, That unobligated balances remaining in this account on October 1, 2013, shall be transferred back to the Postal Service Fund. (Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 18–0100–0–1–372		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Audit	86	78	78
0002	Investigations	158	164	164
0900	Total new obligations	244	242	242
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, discretionary:			
1700	Collected		1	1
1711	Transferred from other accounts [18–4020]	244	241	241
1750	Spending auth from offsetting collections, disc (total)	244	242	242
1930	Total budgetary resources available	244	242	242
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	244	242	242
3040	Outlays (gross)	–244	–242	–242
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	244	242	242
	Outlays, gross:			
4010	Outlays from new discretionary authority	244	242	242
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources		–1	–1
4180	Budget authority, net (total)	244	241	241
4190	Outlays, net (total)	244	241	241

United States Postal Service (USPS) Office of Inspector General (OIG) is an independent organization charged with reporting to Congress on the overall efficiency, effectiveness, and economy of USPS programs and operations. The OIG meets this responsibility by conducting audits, investigations, and other reviews. The OIG focuses on the prevention, identification, and elimination of 1) waste, fraud, and abuse; 2) violations of laws, rules, and regulations; and 3) inefficiencies in USPS programs and operations.

Pursuant to Public Law 109–435, the 2013 appropriation request of the Office of Inspector General of the U.S. Postal Service is $241,468,000.

Section 603(b)(1) of Public Law 109–435 (Postal Accountability and Enhancement Act) authorizes appropriations for the Office of Inspector General out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the USPS Office of Inspector General spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 18–0100–0–1–372		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	135	137	138
11.5	Other personnel compensation	2	2	2
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	138	140	141
12.1	Civilian personnel benefits	43	47	49
21.0	Travel and transportation of persons	6	6	6
22.0	Transportation of things	1	1	1
23.2	Rental payments to others	6	8	8
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.1	Advisory and assistance services	20	22	22
25.7	Operation and maintenance of equipment	1	1	1
26.0	Supplies and materials	2	2	2
31.0	Equipment	16	7	7
32.0	Land and structures	8	4	1
99.0	Direct obligations	244	241	241
99.0	Reimbursable obligations		1	1
99.9	Total new obligations	244	242	242

Employment Summary

Identification code 18–0100–0–1–372		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	1,132	1,136	1,136

POSTAL REGULATORY COMMISSION

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Postal Regulatory Commission in carrying out the provisions of the Postal Accountability and Enhancement Act (Public Law 109–435), [$14,304,000] *$14,450,000*, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(a) of such Act*: Provided, That unobligated balances remaining in this account on October 1, 2013, shall be transferred back to the Postal Service Fund. (Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 18–0200–0–1–372		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Modern Rate Regulation	3	3	3
0002	USPS Service and Performance	2	2	2
0003	Financial Accountability and Compliance	3	3	3
0004	Program Integration and Support	6	6	6
0900	Total new obligations	14	14	14
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, discretionary:			
1711	Transferred from other accounts [18–4020]	14	14	14
1750	Spending auth from offsetting collections, disc (total)	14	14	14

1930	Total budgetary resources available	14	14	14
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	14	14	14
3040	Outlays (gross)	–14	–14	–14
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	14	14	14
	Outlays, gross:			
4010	Outlays from new discretionary authority	14	14	14
4180	Budget authority, net (total)	14	14	14
4190	Outlays, net (total)	14	14	14

The Postal Regulatory Commission is an independent agency that has exercised regulatory oversight over the U.S. Postal Service (USPS) since its creation by the Postal Reorganization Act of 1970. That oversight consisted primarily of conducting public, on-the-record hearings concerning proposed rates, mail classification, and major service changes, and recommended decisions for action to the Postal Service Board of Governors.

The Postal Accountability and Enhancement Act (PAEA)(Public Law 109–435) assigned new responsibilities to the Commission, including providing regulatory oversight of the pricing of USPS products and services, ensuring USPS transparency and accountability, and serving as a forum to act on complaints with postal products and services. The Commission provides leadership and recommends policies that foster a robust and viable postal system.

Pursuant to Public Law 109–435, the 2013 appropriation request of the Postal Regulatory Commission is $14,450,000.

Section 603(a) of PAEA authorizes appropriations for the Commission out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Commission's spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 18–0200–0–1–372		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	8	8	8
12.1	Civilian personnel benefits	2	2	2
23.2	Rental payments to others	2	2	2
25.1	Advisory and assistance services	2	2	2
99.9	Total new obligations	14	14	14

Employment Summary

Identification code 18–0200–0–1–372		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	74	74	74

PRESIDIO TRUST

Federal Funds

PRESIDIO TRUST FUND

[For necessary expenses to carry out title I of the Omnibus Parks and Public Lands Management Act of 1996, $12,000,000 shall be available to the Presidio Trust, to remain available until expended.**]** *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–4331–0–3–303		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Reimbursable program activity	129	114	89
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	25	33	13
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	15	12	.....
1160	Appropriation, discretionary (total)	15	12	.....
	Spending authority from offsetting collections, discretionary:			
1700	Collected	125	82	88
1701	Change in uncollected payments, Federal sources	–3	.....	.....
1750	Spending auth from offsetting collections, disc (total)	122	82	88
1900	Budget authority (total)	137	94	88
1930	Total budgetary resources available	162	127	101
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	33	13	12
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	90	74	102
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–8	–5	–5
3020	Obligated balance, start of year (net)	82	69	97
3030	Obligations incurred, unexpired accounts	129	114	89
3040	Outlays (gross)	–145	–86	–99
3050	Change in uncollected pymts, Fed sources, unexpired	3	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	74	102	92
3091	Uncollected pymts, Fed sources, end of year	–5	–5	–5
3100	Obligated balance, end of year (net)	69	97	87
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	137	94	88
	Outlays, gross:			
4010	Outlays from new discretionary authority	62	53	48
4011	Outlays from discretionary balances	83	33	51
4020	Outlays, gross (total)	145	86	99
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–8	–8	–4
4031	Interest on Federal securities	–2	–2	–2
4033	Non-Federal sources	–115	–72	–82
4040	Offsets against gross budget authority and outlays (total)	–125	–82	–88
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	3	.....	.....
4070	Budget authority, net (discretionary)	15	12	.....
4080	Outlays, net (discretionary)	20	4	11
4180	Budget authority, net (total)	15	12	.....
4190	Outlays, net (total)	20	4	11
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	100	77	40
5001	Total investments, EOY: Federal securities: Par value	77	40	40

The Presidio Trust (Trust) is a wholly-owned Government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104–333) to manage, improve, maintain and lease property in the Presidio of San Francisco and to operate the Presidio as a self-sustaining part of the national park system. The Trust has jurisdiction over 80% of the Presidio and has successfully converted the historic Army base into a thriving park community that will operate without annual appropriations beginning in FY 2013. Funds to operate the park and its public programs will come from lease revenues and other non-Federally appropriated funding sources. The Presidio of San Francisco is an historic preservation success, and a success for the American taxpayer.

Object Classification (in millions of dollars)

Identification code 95–4331–0–3–303		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	24	23	23
12.1	Civilian personnel benefits	8	7	7
23.3	Communications, utilities, and miscellaneous charges	5	5	4
24.0	Printing and reproduction	1	1	1

PRESIDIO TRUST FUND—Continued

Object Classification—Continued

Identification code 95–4331–0–3–303		2011 actual	2012 est.	2013 est.
25.1	Advisory and assistance services	6	4	4
25.2	Other services from non-Federal sources	20	17	9
25.3	Other goods and services from Federal sources	4	4	4
26.0	Supplies and materials	14	12	8
31.0	Equipment	5	5	5
32.0	Land and structures	38	32	20
43.0	Interest and dividends	4	4	4
99.9	Total new obligations	129	114	89

Employment Summary

Identification code 95–4331–0–3–303		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	327	328	328

PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 95–4332–0–3–303		2011 actual	2012 est.	2013 est.
	Position with respect to appropriations act limitation on commitments:			
2121	Limitation available from carry-forward	200	200	200
2143	Uncommitted limitation carried forward	–200	–200	–200
2150	Total guaranteed loan commitments			

PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Privacy and Civil Liberties Oversight Board, as authorized by section 1061 of the Intelligence Reform and Terrorism Prevention Act of 2004 (5 U.S.C. 601 note), ⟦$900,000, to remain available until September 30, 2013⟧ *$1,000,000. (Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–2724–0–1–054		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Privacy and Civil Liberties Oversight Board		1	1
0900	Total new obligations (object class 11.1)		1	1
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	2	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	1	1	1
1131	Unobligated balance of appropriations permanently reduced		–1	
1160	Appropriation, discretionary (total)	1		1
1930	Total budgetary resources available	2	2	2
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	2
3030	Obligations incurred, unexpired accounts		1	1
3040	Outlays (gross)			–1
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	2	2
3100	Obligated balance, end of year (net)	1	2	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	1		1
	Outlays, gross:			
4010	Outlays from new discretionary authority			1
4180	Budget authority, net (total)	1		1
4190	Outlays, net (total)			1

The Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) created the Privacy and Civil Liberties Oversight Board (PCLOB). This law required PCLOB to "ensure that concerns with respect to privacy and civil liberties are appropriately considered in the implementation of laws, regulations, and executive branch policies related to efforts to protect the Nation against terrorism." The IRTPA placed the Board within the Executive Office of the President. The Implementing Recommendations of the 9/11 Commission Act of 2007 reconstituted the Board as a separate agency within the Executive Branch.

All five members of the Board are nominated by the President and confirmed by the Senate for staggered six-year terms. The Board has two main responsibilities: 1) advising the President and the heads of departments and agencies on issues that have privacy and civil liberties implications and 2) reviewing regulations and executive branch policies related to protecting the Nation from terrorism. The PCLOB must also submit annual reports to the Congress detailing its activities during the year.

Employment Summary

Identification code 95–2724–0–1–054		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment		7	7

PUBLIC SAFETY BROADBAND CORPORATION

Federal Funds

OPERATIONS AND ADMINISTRATION

The American Jobs Act proposes a National Wireless Initiative that would repurpose spectrum for wireless broadband through voluntary incentive auctions and use a portion of proceeds to build a broadband network for first responders. As part of this initiative, the Act proposes to create a Public Safety Broadband Corporation with Federal, State, local, and tribal representation to oversee the build-out and operations of the network.

OPERATIONS AND ADMINISTRATION

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–4362–4–3–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Reimbursable program activity (Public Safety Broadband Network)			216
0900	Total new obligations (object class 41.0)			216
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected			216
1850	Spending auth from offsetting collections, mand (total)			216
1930	Total budgetary resources available			216
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts			216
3040	Outlays (gross)			–18

	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)			198
3100	Obligated balance, end of year (net)			198
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross			216
	Outlays, gross:			
4100	Outlays from new mandatory authority			18
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4124	Offsetting governmental collections			–216
4190	Outlays, net (total)			–198

Object Classification (in millions of dollars)

Identification code 95–4362–4–3–376	2011 actual	2012 est.	2013 est.
Reimbursable obligations:			
41.0 Grants, subsidies, and contributions			216
99.0 Reimbursable obligations			216

RAILROAD RETIREMENT BOARD

Federal Funds

Dual Benefits Payments Account

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, [$51,000,000] *$45,001,000*, which shall include amounts becoming available in fiscal year [2012] *2013* pursuant to section 224(c)(1)(B) of Public Law 98–76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds the amount available for payment of vested dual benefits: *Provided*, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 60–0111–0–1–601	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	55	51	45
0900 Total new obligations (object class 41.0)	55	51	45
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	55	45	42
1160 Appropriation, discretionary (total)	55	45	42
Appropriations, mandatory:			
1200 Appropriation	2	6	3
1260 Appropriations, mandatory (total)	2	6	3
1900 Budget authority (total)	57	51	45
1930 Total budgetary resources available	57	51	45
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2		
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	55	51	45
3040 Outlays (gross)	–55	–51	–45
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	55	45	42
Outlays, gross:			
4010 Outlays from new discretionary authority	55	45	42
Mandatory:			
4090 Budget authority, gross	2	6	3
Outlays, gross:			
4100 Outlays from new mandatory authority		6	3
4180 Budget authority, net (total)	57	51	45
4190 Outlays, net (total)	55	51	45

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

Federal Payments to the Railroad Retirement Accounts

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, $150,000, to remain available through September 30, [2013] *2014*, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98–76. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 60–0113–0–1–601	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	698	622	544
0900 Total new obligations (object class 42.0)	698	622	544
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		21	21
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	719	622	544
1260 Appropriations, mandatory (total)	719	622	544
1930 Total budgetary resources available	719	643	565
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	21	21	21
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	698	622	544
3040 Outlays (gross)	–698	–622	–544
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	719	622	544
Outlays, gross:			
4100 Outlays from new mandatory authority	698	622	544
4180 Budget authority, net (total)	719	622	544
4190 Outlays, net (total)	698	622	544

This account funds interest on uncashed checks and the transfer of income taxes on Tier I and Tier II railroad retirement benefits. This account also reflects transfers from the general fund of the Treasury to the Social Security Equivalent Benefit Account pursuant to the Hiring Incentives to Restore Employment (HIRE) Act (P.L. 111–147), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), and the Temporary Payroll Tax Cut Continuation Act (P.L.112–78).

Administrative Expenses, Railroad Unemployment Insurance Extended Benefit Payments

This account provided for the transfer of funding to the Railroad Retirement Board's Limitation on Administration for administrative costs to pay benefits under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111–92), and the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312).

Administrative Expenses, Recovery Act

This account provided for the transfer of funding to the Railroad Retirement Board's Recovery Act Limitation Account for admin-

ADMINISTRATIVE EXPENSES, RECOVERY ACT—Continued

istrative costs to pay benefits under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

ECONOMIC RECOVERY PAYMENTS

Program and Financing (in millions of dollars)

Identification code 60–0115–0–1–601		2011 actual	2012 est.	2013 est.
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	5		
	Budget authority:			
	Appropriations, mandatory:			
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	–5		
1260	Appropriations, mandatory (total)	–5		
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	–5		
4180	Budget authority, net (total)	–5		

This appropriation provided funding for Economic Recovery Payments paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS

Program and Financing (in millions of dollars)

Identification code 60–0117–0–1–603		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Railroad Unemployment Extended Benefits	9	8	
0900	Total new obligations (object class 25.8)	9	8	
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	156	21	13
	Budget authority:			
	Appropriations, mandatory:			
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	–126		
1260	Appropriations, mandatory (total)	–126		
1930	Total budgetary resources available	30	21	13
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	21	13	13
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	9	8	
3040	Outlays (gross)	–9	–8	
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	–126		
	Outlays, gross:			
4100	Outlays from new mandatory authority	9		
4101	Outlays from mandatory balances		8	
4110	Outlays, gross (total)	9	8	
4180	Budget authority, net (total)	–126		
4190	Outlays, net (total)	9	8	

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111–92), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), and the Temporary Payroll Tax Cut Continuation Act (P.L. 112–78).

RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 60–0114–0–1–603		2011 actual	2012 est.	2013 est.
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	9		
	Budget authority:			
	Appropriations, mandatory:			
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	–9		
1260	Appropriations, mandatory (total)	–9		
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	–9		
4180	Budget authority, net (total)	–9		

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

Trust Funds

RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND

Program and Financing (in millions of dollars)

Identification code 60–8051–0–7–603		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	107	109	115
0801	Reimbursable program	23	19	20
0900	Total new obligations	130	128	135
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		37	37
	Budget authority:			
	Appropriations, discretionary:			
1101	Appropriation (special or trust fund)	17	25	25
1134	Appropriations precluded from obligation		–10	–10
1160	Appropriation, discretionary (total)	17	15	15
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	158	187	90
1203	Appropriation (unavailable balances)	28	12	105
1220	Transferred to other accounts [60–8011]	–47		
1235	Portion precluded from balances	–12	–105	–96
1260	Appropriations, mandatory (total)	127	94	99
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1		
1750	Spending auth from offsetting collections, disc (total)	1		
	Spending authority from offsetting collections, mandatory:			
1800	Collected	22	19	20
1850	Spending auth from offsetting collections, mand (total)	22	19	20
1900	Budget authority (total)	167	128	134
1930	Total budgetary resources available	167	165	171
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	37	37	36
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	9	9	8
3030	Obligations incurred, unexpired accounts	130	128	135
3040	Outlays (gross)	–130	–129	–135
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	9	8	8
3100	Obligated balance, end of year (net)	9	8	8
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	18	15	15
	Outlays, gross:			
4010	Outlays from new discretionary authority	15	15	15

	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–1		
	Mandatory:			
4090	Budget authority, gross	149	113	119
	Outlays, gross:			
4100	Outlays from new mandatory authority	115	113	119
4101	Outlays from mandatory balances		1	1
4110	Outlays, gross (total)	115	114	120
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	–22	–19	–20
4180	Budget authority, net (total)	144	109	114
4190	Outlays, net (total)	107	110	115

Memorandum (non-add) entries:

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

WORKLOAD

	1983 actual	1990 actual	2011 actual	2012 est.	2013 est.
Unemployment claims	1,919,160	300,351	93,598	87,000	84,000
Cumulative workload decline (%)		–84%	–95%	–95%	–96%
Sickness claims	411,877	269,926	136,014	135,000	141,000
Cumulative workload decline (%)		–34%	–67%	–67%	–66%

Object Classification (in millions of dollars)

Identification code 60–8051–0–7–603	2011 actual	2012 est.	2013 est.
Direct obligations:			
42.0 Benefit payments	106	94	100
94.0 Financial transfers	1	15	15
99.0 Direct obligations	107	109	115
99.0 Reimbursable obligations	23	19	20
99.9 Total new obligations	130	128	135

Rail Industry Pension Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 60–8011–0–7–601	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	149	227	111
Adjustments:			
0190 Adjustment - to correct prior year accounting for balances		98	
0199 Balance, start of year	149	325	111
Receipts:			
0200 Refunds, Rail Industry Pension Fund	–1	–1	–1
0201 Taxes, Rail Industry Pension Fund	2,416	2,510	2,680
0240 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund	12	15	15
0241 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund	1,744	1,855	1,983
0242 Interest on Advances to Railroad Unemployment Insurance Account, Rail Industry Pension Fund	2		
0243 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund	298	212	333
0299 Total receipts and collections	4,471	4,591	5,010
0400 Total: Balances and collections	4,620	4,916	5,121
Appropriations:			
0500 Rail Industry Pension Fund	–74	–70	–74
0501 Rail Industry Pension Fund	–4,397	–4,590	–5,008
0502 Rail Industry Pension Fund	–150	–228	–83
0503 Rail Industry Pension Fund	228	83	119
0599 Total appropriations	–4,393	–4,805	–5,046
0799 Balance, end of year	227	111	75

Program and Financing (in millions of dollars)

Identification code 60–8011–0–7–601	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program	4,597	4,871	5,037
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		98	1
1020 Adjustment of unobligated bal brought forward, Oct 1		–98	
1050 Unobligated balance (total)			1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	74	70	74
1160 Appropriation, discretionary (total)	74	70	74
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4,397	4,590	5,008
1203 Appropriation (unavailable balances)	150	228	83
1220 Appropriations transferred to other accts [60–8010]			–9
1221 Transferred from other accounts [60–8010]	255	67	
1221 Transferred from other accounts [60–8051]	47		
1235 Appropriations precluded from obligation	–228	–83	–119
1260 Appropriations, mandatory (total)	4,621	4,802	4,963
1900 Budget authority (total)	4,695	4,872	5,037
1930 Total budgetary resources available	4,695	4,872	5,038
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	98	1	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	369	381	362
3030 Obligations incurred, unexpired accounts	4,597	4,871	5,037
3040 Outlays (gross)	–4,585	–4,890	–5,058
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	381	362	341
3100 Obligated balance, end of year (net)	381	362	341
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	74	70	74
Outlays, gross:			
4010 Outlays from new discretionary authority	74	70	74
Mandatory:			
4090 Budget authority, gross	4,621	4,802	4,963
Outlays, gross:			
4100 Outlays from new mandatory authority	4,511	4,786	4,950
4101 Outlays from mandatory balances		34	34
4110 Outlays, gross (total)	4,511	4,820	4,984
4180 Budget authority, net (total)	4,695	4,872	5,037
4190 Outlays, net (total)	4,585	4,890	5,058
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	506	696	469
5001 Total investments, EOY: Federal securities: Par value	696	469	413

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. About 27,000 individuals also receive a "windfall" benefit.

Status of Funds (in millions of dollars)

Identification code 60–8011–0–7–601	2011 actual	2012 est.	2013 est.
Unexpended balance, start of year:			
0100 Balance, start of year	535	720	486
0110 Rail Industry Pension Fund [446–00–8011–0]		–98	
Adjustments:			
0195 Adjustment - to correct prior year accounting for balances		98	
0199 Total balance, start of year	535	720	486
Cash income during the year:			
Current law:			
Receipts:			
1200 Refunds, Rail Industry Pension Fund	–1	–1	–1
1201 Taxes, Rail Industry Pension Fund	2,416	2,510	2,680
Offsetting receipts (intragovernmental):			
1240 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund	12	15	15

RAIL INDUSTRY PENSION FUND—Continued

Status of Funds—Continued

Identification code 60–8011–0–7–601	2011 actual	2012 est.	2013 est.
1241 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund	1,744	1,855	1,983
1242 Interest on Advances to Railroad Unemployment Insurance Account, Rail Industry Pension Fund	2		
1243 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund	298	212	333
Offsetting collections:			
1280 Limitation on the Office of Inspector General	9	9	10
1281 Limitation on Administration	119	120	123
1299 Income under present law	4,599	4,720	5,143
3299 Total cash income	4,599	4,720	5,143
Cash outgo during year:			
Current law:			
4500 Rail Industry Pension Fund	–4,585	–4,890	–5,058
4500 Limitation on the Office of Inspector General	–9	–10	–10
4500 Limitation on Administration	–122	–121	–124
4599 Outgo under current law (-)	–4,716	–5,021	–5,192
6599 Total cash outgo (-)	–4,716	–5,021	–5,192
7645 Rail Industry Pension Fund			–9
7645 Rail Industry Pension Fund	255	67	
7645 Rail Industry Pension Fund	47		
7699 Total adjustments	302	67	–9
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year	24	17	15
8701 Rail Industry Pension Fund	696	469	413
8799 Total balance, end of year	720	486	428

Object Classification (in millions of dollars)

Identification code 60–8011–0–7–601	2011 actual	2012 est.	2013 est.
Direct obligations:			
42.0 Benefit payments	4,523	4,801	4,963
94.0 Financial transfers	74	70	74
99.9 Total new obligations	4,597	4,871	5,037

LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board ("Board") for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, [$108,855,000] *$112,415,000*, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 60–8237–0–7–601	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Rail Industry Pension Fund	69	65	68
0002 Railroad Social Security Equivalent Benefit	27	30	30
0003 Railroad Unemployment Insurance Trust Fund	14	14	14
0100 Subtotal, direct program	110	109	112
0799 Total direct obligations	110	109	112
0801 Medicare and other reimbursements	10	11	11
0900 Total new obligations	120	120	123
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	119	120	123
1750 Spending auth from offsetting collections, disc (total)	119	120	123
1930 Total budgetary resources available	120	120	123
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	3	2	2
1953 Expired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	12	9	8
3030 Obligations incurred, unexpired accounts	120	120	123
3040 Outlays (gross)	–122	–121	–124
3081 Recoveries of prior year unpaid obligations, expired	–1		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	9	8	7
3100 Obligated balance, end of year (net)	9	8	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	119	120	123
Outlays, gross:			
4010 Outlays from new discretionary authority	112	120	123
4011 Outlays from discretionary balances	10	1	1
4020 Outlays, gross (total)	122	121	124
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–119	–120	–123
4190 Outlays, net (total)	3	1	1

The table below shows anticipated workloads.

	2010 actual	2011 actual	2012 est.	2013 est.
Pending, start of year	8,255	7,398	7,425	7,517
New Railroad Retirement applications	46,965	46,974	45,000	45,000
New Social Security certifications	12,563	9,275	8,000	8,000
Total dispositions (excluding partial awards)	60,385	56,222	52,908	56,250
Pending, end of year	7,398	7,425	7,517	4,267

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 act.	1990 act.	2010 act.	2011 act.	2012 est.	2013 est.
Total beneficiaries	1,009,500	894,196	549,154	544,256	541,100	537,600

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

The President's Budget includes a legislative proposal to amend the Railroad Retirement Act to allow the Railroad Retirement Board (RRB) to utilize various hiring authorities available to other Federal agencies. Section 7(b)(9) of the Railroad Retirement Act contains language requiring that all employees of the RRB, except for one assistant for each Board Member, must be hired under the competitive civil service. Elimination of this requirement would enable the RRB to use various hiring authorities offered by the Office of Personnel Management.

Object Classification (in millions of dollars)

Identification code 60–8237–0–7–601	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	67	64	67
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	2	1
11.9 Total personnel compensation	69	67	69
12.1 Civilian personnel benefits	17	18	18
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.2 Other services from non-Federal sources	11	12	13
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	109	109	112
99.0 Reimbursable obligations	10	11	11
99.5 Below reporting threshold	1		
99.9 Total new obligations	120	120	123

Employment Summary

Identification code 60–8237–0–7–601	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	853	797	780

2001	Reimbursable civilian full-time equivalent employment	50	105	105

National Railroad Retirement Investment Trust

Special and Trust Fund Receipts (in millions of dollars)

Identification code 60–8118–0–7–601		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	23,718	20,569	20,071
	Adjustments:			
0190	Adjustment - to reconcile to Treasury reporting	–1,515		
0199	Balance, start of year	22,203	20,569	20,071
	Receipts:			
0220	Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust	–371	989	190
0221	Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	512	416	455
0240	Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust	42	29	34
0299	Total receipts and collections	183	1,434	679
0400	Total: Balances and collections	22,386	22,003	20,750
	Appropriations:			
0500	National Railroad Retirement Investment Trust	–1,817	–1,932	–2,061
0799	Balance, end of year	20,569	20,071	18,689

Program and Financing (in millions of dollars)

Identification code 60–8118–0–7–601		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	NRRIT expenses	1,817	1,932	2,061
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1,817	1,932	2,061
1260	Appropriations, mandatory (total)	1,817	1,932	2,061
1930	Total budgetary resources available	1,817	1,932	2,061
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	1,817	1,932	2,061
3040	Outlays (gross)	–1,817	–1,932	–2,061
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	1,817	1,932	2,061
	Outlays, gross:			
4100	Outlays from new mandatory authority	1,817	1,932	2,061
4180	Budget authority, net (total)	1,817	1,932	2,061
4190	Outlays, net (total)	1,817	1,932	2,061
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	955	657	642
5001	Total investments, EOY: Federal securities: Par value	657	642	598
5010	Total investments, SOY: non-Fed securities: Market value	22,761	21,423	20,894
5011	Total investments, EOY: non-Fed securities: Market value	21,423	20,894	19,455

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities.

Status of Funds (in millions of dollars)

Identification code 60–8118–0–7–601		2011 actual	2012 est.	2013 est.
	Unexpended balance, start of year:			
0100	Balance, start of year	23,718	20,569	20,071
	Adjustments:			
0190	Adjustment - to reconcile to Treasury reporting	–1,515		
0199	Total balance, start of year	22,203	20,569	20,071
	Cash income during the year:			
	Current law:			
	Offsetting receipts (proprietary):			
1220	Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust	–371	989	190
1221	Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	512	416	455
	Offsetting receipts (intragovernmental):			
1240	Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust	42	29	34
1299	Income under present law	183	1,434	679
3299	Total cash income	183	1,434	679
	Cash outgo during year:			
	Current law:			
4500	National Railroad Retirement Investment Trust	–1,817	–1,932	–2,061
4599	Outgo under current law (-)	–1,817	–1,932	–2,061
6599	Total cash outgo (-)	–1,817	–1,932	–2,061
	Unexpended balance, end of year:			
8700	Uninvested balance (net), end of year	19,912	19,429	18,091
8701	National Railroad Retirement Investment Trust	657	642	598
8799	Total balance, end of year	20,569	20,071	18,689

Object Classification (in millions of dollars)

Identification code 60–8118–0–7–601		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.2	Other services from non-Federal sources	77	77	80
94.0	Financial transfers	1,740	1,855	1,981
99.9	Total new obligations	1,817	1,932	2,061

Limitation on Administration, Recovery Act

Program and Financing (in millions of dollars)

Identification code 60–8262–0–7–601		2011 actual	2012 est.	2013 est.
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
1930	Total budgetary resources available	1	1	1
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1

This appropriation provides funding for administrative costs of the Railroad Retirement Board to pay benefits under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

Limitation on the Office of Inspector General

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, not more than [$8,170,000] *$8,820,000*, to be derived from the railroad retirement accounts and railroad unemployment insurance account. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 60–8018–0–7–601		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Rail Industry Pension Fund	5	5	6
0002	Railroad Social Security Equivalent Benefit	2	2	2
0003	Railroad Unemployment Insurance Trust	1	1	1
0100	Subtotal, direct program	8	8	9
0799	Total direct obligations	8	8	9
0801	Medicare and other reimbursements	1	1	1
0900	Total new obligations	9	9	10
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, discretionary:			
1700	Collected	9	9	10
1750	Spending auth from offsetting collections, disc (total)	9	9	10
1930	Total budgetary resources available	9	9	10

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL—Continued

Program and Financing—Continued

Identification code 60–8018–0–7–601	2011 actual	2012 est.	2013 est.
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	1	1	1
1953 Expired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1	1	
3030 Obligations incurred, unexpired accounts	9	9	10
3040 Outlays (gross)	–9	–10	–10
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1		
3100 Obligated balance, end of year (net)	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	9	10
Outlays, gross:			
4010 Outlays from new discretionary authority	9	9	10
4011 Outlays from discretionary balances		1	
4020 Outlays, gross (total)	9	10	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–9	–9	–10
4190 Outlays, net (total)		1	

Object Classification (in millions of dollars)

Identification code 60–8018–0–7–601	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	6
12.1 Civilian personnel benefits	2	2	2
99.0 Direct obligations	7	7	8
99.0 Reimbursable obligations	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	9	9	10

Employment Summary

Identification code 60–8018–0–7–601	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	48	48	48
2001 Reimbursable civilian full-time equivalent employment	5	5	5

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 60–8010–0–7–601	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	218	220	237
Receipts:			
0200 Refunds, Railroad Social Security Equivalent Benefit Account	–1	–1	–1
0201 Railroad Social Security Equivalent Benefit Account, Taxes	2,276	2,478	2,656
0202 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund	–452	–499	–519
0240 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities	22	19	22
0241 Railroad Social Security Equivalent Benefit Account, Income Tax Credits	151	264	211
0242 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund	–25	–26	–26
0243 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund	4,110	3,959	3,837
0244 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund	465	486	485
0245 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111–312)	249	146	
0299 Total receipts and collections	6,795	6,826	6,665
0400 Total: Balances and collections	7,013	7,046	6,902
Appropriations:			
0500 Railroad Social Security Equivalent Benefit Account	–29	–32	–32
0501 Railroad Social Security Equivalent Benefit Account	–6,765	–6,825	–6,664
0502 Railroad Social Security Equivalent Benefit Account	–219	–220	–268
0503 Railroad Social Security Equivalent Benefit Account	220	268	290
0599 Total appropriations	–6,793	–6,809	–6,674
0799 Balance, end of year	220	237	228

Program and Financing (in millions of dollars)

Identification code 60–8010–0–7–601	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	6,547	6,695	6,895
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	29	32	32
1160 Appropriation, discretionary (total)	29	32	32
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	6,765	6,825	6,664
1203 Appropriation (previously unavailable)	219	220	268
1220 Transferred to other accounts [60–8011]	–255	–67	
1221 Transferred from other accounts [60–8011]			9
1235 Appropriations precluded from obligation	–220	–268	–290
1236 Appropriations applied to repay debt	–3,812	–3,816	–3,759
1260 Appropriations, mandatory (total)	2,697	2,894	2,892
Borrowing authority, mandatory:			
1400 Borrowing authority	3,821	3,769	3,971
1440 Borrowing authority, mandatory (total)	3,821	3,769	3,971
1900 Budget authority (total)	6,547	6,695	6,895
1930 Total budgetary resources available	6,547	6,695	6,895
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	584	587	547
3030 Obligations incurred, unexpired accounts	6,547	6,695	6,895
3040 Outlays (gross)	–6,544	–6,735	–6,919
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	587	547	523
3100 Obligated balance, end of year (net)	587	547	523
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	29	32	32
Outlays, gross:			
4010 Outlays from new discretionary authority	29	32	32
Mandatory:			
4090 Budget authority, gross	6,518	6,663	6,863
Outlays, gross:			
4100 Outlays from new mandatory authority	6,515	6,650	6,834
4101 Outlays from mandatory balances		53	53
4110 Outlays, gross (total)	6,515	6,703	6,887
4180 Budget authority, net (total)	6,547	6,695	6,895
4190 Outlays, net (total)	6,544	6,735	6,919
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	792	796	773
5001 Total investments, EOY: Federal securities: Par value	796	773	740

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. SSEB receives monthly advances from the general fund equal to an estimate of the transfer SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund

immediately after the financial interchange is received. In 2011, $3,820 million was advanced and $3,812 million was repaid.

Status of Funds (in millions of dollars)

Identification code 60–8010–0–7–601	2011 actual	2012 est.	2013 est.
Unexpended balance, start of year:			
0100 Balance, start of year	–2,676	–2,676	–2,699
0199 Total balance, start of year	–2,676	–2,676	–2,699
Cash income during the year:			
Current law:			
Receipts:			
1200 Refunds, Railroad Social Security Equivalent Benefit Account	–1	–1	–1
1201 Railroad Social Security Equivalent Benefit Account, Taxes	2,276	2,478	2,656
1202 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund	–452	–499	–519
Offsetting receipts (intragovernmental):			
1240 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities	22	19	22
1241 Railroad Social Security Equivalent Benefit Account, Income Tax Credits	151	264	211
1242 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund	–25	–26	–26
1243 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund	4,110	3,959	3,837
1244 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund	465	486	485
1245 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111–312)	249	146	
1299 Income under present law	6,795	6,826	6,665
3299 Total cash income	6,795	6,826	6,665
Cash outgo during year:			
Current law:			
4500 Railroad Social Security Equivalent Benefit Account	–6,544	–6,735	–6,919
4599 Outgo under current law (-)	–6,544	–6,735	–6,919
6599 Total cash outgo (-)	–6,544	–6,735	–6,919
7645 Railroad Social Security Equivalent Benefit Account	–255	–67	
7645 Railroad Social Security Equivalent Benefit Account			9
7650 Railroad Social Security Equivalent Benefit Account	–3,812	–3,816	–3,759
Manual Adjustments:			
7691 Adjustment - borrowings	3,820	3,769	3,971
7692 Adjustment - borrowings versus repayment reconciliation	–4		
7699 Total adjustments	–251	–114	221
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year	–3,472	–3,472	–3,472
8701 Railroad Social Security Equivalent Benefit Account	796	773	740
8799 Total balance, end of year	–2,676	–2,699	–2,732

Object Classification (in millions of dollars)

Identification code 60–8010–0–7–601	2011 actual	2012 est.	2013 est.
Direct obligations:			
42.0 Benefit payments	6,263	6,542	6,742
94.0 Financial transfers	255	121	121
94.0 Financial transfers	29	32	32
99.9 Total new obligations	6,547	6,695	6,895

RECOVERY ACCOUNTABILITY AND TRANSPARENCY BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Recovery Accountability and Transparency Board to carry out the provisions of title XV of the American Recovery and Reinvestment Act of 2009 (Public Law [111–095] *111–5*), and to develop and test information technology resources and oversight mechanisms to enhance transparency of and detect and remediate waste, fraud, and abuse in Federal spending, [$28,350,000, to remain available until September 30, 2013] *$31,500,000: Provided, That the Recovery Accountability and Transparency Board may transfer up to $10,000,000 to the Office of Management and Budget, the General Services Administration, or the Department of the Treasury for the purposes of carrying out activities and implementing recommendations of the Government Accountability and Transparency Board. (Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–3725–0–1–808	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	33	25	25
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	36		3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		28	32
1120 Transferred to other accounts [95–3725]		–1	–6
1121 Transferred from other accounts [95–3725]		1	6
1160 Appropriation, discretionary (total)		28	32
1930 Total budgetary resources available	36	28	35
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3		
1941 Unexpired unobligated balance, end of year		3	10
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	9	14	2
3030 Obligations incurred, unexpired accounts	33	25	25
3040 Outlays (gross)	–28	–37	–27
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	14	2	
3100 Obligated balance, end of year (net)	14	2	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		28	32
Outlays, gross:			
4010 Outlays from new discretionary authority		24	21
4011 Outlays from discretionary balances	28	13	6
4020 Outlays, gross (total)	28	37	27
4180 Budget authority, net (total)		28	32
4190 Outlays, net (total)	28	37	27

The Recovery Accountability and Transparency Board (Board) is an independent federal agency charged with providing accountability and transparency of funds provided under the American Recovery and Reinvestment Act of 2009 (Recovery Act). The primary functions of the Board are to promote accountability by coordinating and conducting oversight of Recovery Act funds to prevent fraud, waste, and abuse and fosters transparency on Recovery Act spending by providing the public with accurate, user- friendly information. The Board also develops and tests information technology resources and oversight mechanisms to enhance transparency of and detect and remediate fraud, waste and abuse in Federal spending.

Object Classification (in millions of dollars)

Identification code 95–3725–0–1–808	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	5	4
11.8 Special personal services payments	3	4	5
11.9 Total personnel compensation	8	9	9
12.1 Civilian personnel benefits	1	2	2
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	12	8	7
25.3 Other goods and services from Federal sources	9	4	5
31.0 Equipment	2	1	1
99.9 Total new obligations	33	25	25

SALARIES AND EXPENSES—Continued

Employment Summary

Identification code 95–3725–0–1–808	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	35	38	40

SECURITIES AND EXCHANGE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed $3,500 for official reception and representation expenses, [$1,321,000,000] *$1,566,000,000*, to remain available until expended; *of which not less than $100,345,000 shall be used to cover shortfalls in the Commission's funding of obligations incurred in past fiscal years for ongoing multi-year real property contracts; and* of which not less than [$6,795,000] *$7,067,000* shall be for the Office of Inspector General; of which not to exceed [$45,000] *$50,000* shall be available for a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed $100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations and staffs to exchange views concerning securities matters, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence: *Provided*, That fees and charges authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) shall be credited to this account as offsetting collections: *Provided further*, That not to exceed [$1,321,000,000] *$1,566,000,000* of such offsetting collections shall be available until expended for necessary expenses of this account: *Provided further*, That the total amount appropriated under this heading from the general fund for fiscal year [2012] *2013* shall be reduced as such offsetting fees are received so as to result in a final total fiscal year [2012] *2013* appropriation from the general fund estimated at not more than $0. *(Financial Services and General Government Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 50–0100–0–1–376	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Enforcement	413	463	485
0002 Compliance Inspections and Examinations	258	273	290
0003 Corporation Finance	135	141	146
0004 Trading and Markets	64	77	94
0005 Investment Management	48	51	58
0006 Risk, Strategy, and Financial Innovation	19	23	33
0007 General Counsel	44	46	48
0008 Other Program Offices	43	51	55
0009 Agency Direction and Administrative Support	184	189	249
0010 Inspector General	7	7	8
0799 Total direct obligations	1,215	1,321	1,466
0801 Reimbursable program	.....	1	1
0900 Total new obligations	1,215	1,322	1,467
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	37	.....	.....
1021 Recoveries of prior year unpaid obligations	39	.....	.....
1050 Unobligated balance (total)	76	.....	.....
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,598	1,322	1,567
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	–412	.....	.....
1750 Spending auth from offsetting collections, disc (total)	1,186	1,322	1,567
1900 Budget authority (total)	1,186	1,322	1,567
1901 Adjustment for budgetary resources applied to liquidate deficiencies	–47	.....	–100
1930 Total budgetary resources available	1,215	1,322	1,467
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	318	1,111	931
3001 Adjustments to unpaid obligations, brought forward, Oct 1	778	.....	.....
3020 Obligated balance, start of year (net)	1,096	1,111	931
3030 Obligations incurred, unexpired accounts	1,215	1,322	1,467
3040 Outlays (gross)	–1,161	–1,502	–1,672
3080 Recoveries of prior year unpaid obligations, unexpired	–39	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1,111	931	726
3100 Obligated balance, end of year (net)	1,111	931	726
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,186	1,322	1,567
Outlays, gross:			
4010 Outlays from new discretionary authority	924	1,124	1,332
4011 Outlays from discretionary balances	237	378	340
4020 Outlays, gross (total)	1,161	1,502	1,672
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	.....	–1	–1
4033 Non-Federal sources	–1	.....	.....
4034 Offsetting governmental collections	–1,597	–1,321	–1,566
4040 Offsets against gross budget authority and outlays (total)	–1,598	–1,322	–1,567
4070 Budget authority, net (discretionary)	–412	.....	.....
4080 Outlays, net (discretionary)	–437	180	105
4180 Budget authority, net (total)	–412	.....	.....
4190 Outlays, net (total)	–437	180	105
Memorandum (non-add) entries:			
5090 Unavailable balance, start of year: Offsetting collections (adjusted)	6,083	6,495	6,495
5091 Unavailable balance, end of year: Offsetting Collections	6,495	6,495	6,495
Unfunded deficiencies:			
7000 Unfunded deficiency, start of year	–778	–731	–731
Change in deficiency during the year:			
7012 Available budgetary resources used to liquidate deficiencies	47	.....	100
7020 Unfunded deficiency, end of year	–731	–731	–631

The primary mission of the Securities and Exchange Commission (SEC) is to protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The Commission's six major programs include the following:

Enforcement.—The Division of Enforcement investigates and prosecutes violations of the Federal securities laws and works closely with the Department of Justice and U.S. Attorneys offices to coordinate and assist in criminal prosecutions. In 2013, Enforcement plans to enhance the core investigative, litigation, and analytical functions to address increasingly complex financial products and transactions. Specifically, Enforcement plans to focus on bringing additional legal, accounting, and industry expertise to investigations and cases; supporting current initiatives in market intelligence; and enhancing case management. The division also continues to focus on enhancing collaboration among all parties involved in investor protection, including other Federal agencies, the SEC's foreign counterparts, and market participants who are critical in helping to identify risks and potential case referrals. Continued investment in technology remains a top priority for Enforcement, as it will enable the staff to work more efficiently and effectively. In 2013, the division plans to invest resources in further development of the suite of capabilities to track and handle tips, complaints, and referrals, as well as a comprehensive case management system.

Compliance Inspections and Examinations.—The Office of Compliance Inspections and Examinations (OCIE) conducts the SEC's examination program to detect violations of the Federal securities laws and evaluate internal compliance controls at securities firms registered with the SEC. In 2013, OCIE plans to hire additional examiners to focus on investment advisers and investment companies as part of a multi-year effort to increase coverage of the advisory industry, allowing OCIE to more effectively assess the risk of, monitor, and examine market participants to focus on the areas in greatest need of attention. In addition to regular examinations and monitoring of regulated entities, OCIE will continue to promote stronger industry compliance through the Compliance Outreach Program.

Corporation Finance.—The Division of Corporation Finance establishes disclosure requirements and monitors disclosures to provide investors with information necessary to make investment decisions and to help prevent fraud and misrepresentation in securities transactions. Continuing investor interest regarding the quality of financial reporting and corporate governance and significant changes in disclosure and other requirements applicable to reporting companies have contributed to a changing regulatory environment affecting the securities markets. In 2013, the division plans to continue its multi-year effort to enhance disclosure reviews of large or financially significant companies; provide increased interpretive guidance as a result of the enhanced filing reviews; review the offering rules to consider their impact on small business capital formation and recommend changes to the Commission as appropriate; and evaluate trends in the increasingly complex offerings of asset-backed securities and other structured financial products. The division also will continue to focus on meeting the review cycle requirements of the Sarbanes-Oxley Act and process in a timely manner all requests for confidential treatment associated with filings.

Trading and Markets.—The Division of Trading and Markets' mission is to establish and maintain standards for fair, orderly and efficient markets, while fostering investor protection and confidence in the markets. The division oversees the activities of industry self-regulatory organizations (SRO) such as the Financial Industry Regulatory Authority (FINRA), and also directly regulates market participants where Commission rulemaking is more effective than self-regulation. In 2013, the division plans to enhance its oversight of market structure and operations, analysis of real-time market data, and economic analysis of proposed SRO rules to determine potential burdens on competition of proposed rule changes. The division will continue to supervise trading in the U.S. exchange, over-the-counter (OTC) securities, and options markets, as well as address issues related to central clearing of credit default swaps, short sales of securities, and manipulation. The division also will continue to work with other U.S. and foreign regulators and industry personnel to devise and implement the most effective and efficient regulatory structure for credit rating agencies and to address risk in the credit default swaps market.

Investment Management.—The Division of Investment Management regulates investment companies and investment advisers under two companion statutes: the Investment Company Act of 1940 and the Investment Advisers Act of 1940. The division seeks to protect investors from fraud, mismanagement, self dealing, and inadequate disclosures by investment companies and investment advisers, without imposing unnecessary burdens on regulated entities. In 2013, the division plans to focus on exchange-traded funds (ETFs) and money market funds, which have unique attributes that present different and often more challenging regulatory concerns than conventional funds. The division also plans to conduct financial analysis and data analytics to support its investor protection mandate.

Risk, Strategy and Financial Innovation.—In 2013, the Division of Risk, Strategy and Financial Innovation plans to enhance its expertise in equity markets and trading, fixed income markets and products, financial innovation, and asset valuation. The division also plans to focus resources on conducting robust cost-benefit analyses to evaluate the economic impact of proposed rules.

Several additional program offices directly support the major programs, including the Office of Investor Education and Advocacy (OIEA), the Office of the Chief Accountant, and the Office of International Affairs. In 2013, these offices will continue to focus their efforts on: research into investors' decision-making behavior to develop and refine educational programs and materials and help inform the Commission's rulemaking agenda; the Financial Accounting Standards Board's (FASB) standard setting activities, including ongoing major projects on revenue recognition and financial statement presentation; and major international regulatory policy initiatives to strengthen financial markets and investor protection, as well as assisting the Division of Enforcement with numerous cases that have significant international components.

Implementation of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Act).—The Act assigns significant new responsibilities to the SEC that will have a substantial long-term impact on the agency's workload, including oversight of hedge fund advisers and a portion of the over-the-counter derivatives market; registration of municipal advisors and securities-based swaps market participants; enhanced supervision of credit rating agencies and clearing agencies; heightened regulation of asset-backed securities; and creation of a new whistleblower program. In 2013, the SEC plans to continue implementation of the Act and fully integrate the new responsibilities and registrants into the agency's operations. Toward this end, the SEC will augment enforcement and examination staffing levels in order to address the additional workload resulting from the increased number and types of market participants, the increased complexity of investigations, and administration of the whistleblower program. The SEC will increase the number of examination staff focused on the approximately 700 private funds advisers managing thousands of pooled investment vehicles who will register with the Commission as a result of the Act. The agency also will add staff in the Divisions of Corporation Finance, Trading and Markets, Investment Management, and Risk, Strategy and Financial Innovation to support new responsibilities and increased workload under the Act, including disclosure review of asset-backed securities issuers; oversight of new security-based swap clearing agencies, dealers, and data repositories; inspections of investment companies and advisers to focus on key issues or practices with industry-wide applicability; expanded oversight of private fund advisers; and data management and analysis for the substantial data sets resulting from registration of new entities. The agency plans to emphasize hiring staff with industry expertise for areas that are new additions to the SEC's regulatory portfolio under the Act.

The SEC is funded through offsetting fees collected pursuant to section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee). The Budget proposes $1.566 billion of the fee collections to finance SEC operations in 2013. Because the SEC's budget is offset by fees, the agency's funding level has no impact on the Federal deficit.

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 50–0100–0–1–376		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	588	612	721
11.3	Other than full-time permanent	2	2	2
11.5	Other personnel compensation	5	7	8
11.8	Special personal services payments	2	3	3
11.9	Total personnel compensation	597	624	734
12.1	Civilian personnel benefits	164	180	209
13.0	Benefits for former personnel	2	3	3
21.0	Travel and transportation of persons	8	13	17
23.2	Rental payments to others	128	153	71
23.3	Communications, utilities, and miscellaneous charges	11	4	6
24.0	Printing and reproduction	9	10	10
25.1	Advisory and assistance services	46	120	161
25.2	Other services from non-Federal sources	59	59	73
25.3	Other goods and services from Federal sources	24	23	24
25.4	Operation and maintenance of facilities	9	9	9
25.7	Operation and maintenance of equipment	90	44	54
26.0	Supplies and materials	3	3	4
31.0	Equipment	51	71	82
32.0	Land and structures	14	5	9
99.0	Direct obligations	1,215	1,321	1,466
99.0	Reimbursable obligations		1	1
99.9	Total new obligations	1,215	1,322	1,467

Employment Summary

Identification code 50–0100–0–1–376		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	3,843	3,906	4,467
2001	Reimbursable civilian full-time equivalent employment	1	1	1

SECURITIES AND EXCHANGE COMMISSION RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 50–5566–0–2–376		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			
	Receipts:			
0200	Registration Fees, Securities and Exchange Commission Reserve Fund		50	50
0400	Total: Balances and collections		50	50
	Appropriations:			
0500	Securities and Exchange Commission Reserve Fund		–50	–50
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 50–5566–0–2–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Enforcement		1	5
0002	Compliance Inspections and Examinations		1	7
0003	Corporation Finance		13	23
0004	Trading and Markets			5
0005	Investment Management		4	8
0009	Agency Direction and Administrative Support		1	2
0900	Total new obligations		20	50
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			30
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		50	50
1260	Appropriations, mandatory (total)		50	50
1930	Total budgetary resources available		50	80
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		30	30
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)			3
3030	Obligations incurred, unexpired accounts		20	50
3040	Outlays (gross)		–17	–41
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		3	12
3100	Obligated balance, end of year (net)		3	12
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		50	50
	Outlays, gross:			
4100	Outlays from new mandatory authority		17	17
4101	Outlays from mandatory balances			24
4110	Outlays, gross (total)		17	41
4180	Budget authority, net (total)		50	50
4190	Outlays, net (total)		17	41

Section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Act) amended section 4 of the Securities Exchange Act of 1934 (15 U.S.C. 78d) by adding the Securities and Exchange Commission Reserve Fund. The Reserve Fund is a separate fund established in the Treasury, from which the Commission may obligate amounts, not to exceed a total of $100,000,000 in any one fiscal year, it determines are necessary to carry out the functions of the Commission. The Reserve Fund provisions took effect on October 1, 2011.

The Reserve Fund is financed by deposits from registration fees collected by the Commission under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) and section 24(f) of the Investment Company Act of 1940 (15 U.S.C. 80a-24(f)). In any one fiscal year, the amount deposited in the Reserve Fund may not exceed $50,000,000; funds deposited are available until expended. (The remainder of registration fee collections for each fiscal year will be deposited in the General Fund of the Treasury and are not available for obligation by the Commission.)

Funds deposited in the Reserve Fund are not subject to appropriation or apportionment. The Commission is required to notify Congress, no later than 10 days after obligation, of the amount and purpose of any obligation made utilizing funds from the Reserve Fund.

In 2013, the SEC plans to use the Reserve Fund for EDGAR and SEC.gov modernization and the Consolidated Audit Trail (CAT) Repository. Specifically, the SEC plans to overhaul EDGAR and SEC.gov to create new, modernized systems that will improve the agency's ability to meet Commission requirements for real-time system updates; simplify the interchange between filers and the SEC to reduce filer burden; improve data capture by moving to structured formats for various SEC forms; and reduce the long-term costs of operating and maintaining the systems. The CAT Repository is a top priority of the Commission in 2013, and while the majority of the overall investment for this initiative should come from outside resources, the SEC anticipates using some agency funding for internal systems to interface with CAT. The agency plans to acquire data feeds from swap data repositories and the national market system, as well as tools and data storage that would allow staff to analyze the trading data using the audit trail of market transactions.

Object Classification (in millions of dollars)

Identification code 50–5566–0–2–376		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.7	Operation and maintenance of equipment		13	36
31.0	Equipment		7	14

99.9	Total new obligations		20	50

Investor Protection Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 50–5567–0–2–376		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			
	Receipts:			
0200	Monetary Sanctions, Investor Protection Fund			89
0240	Interest, Investor Protection Fund	–1	3	1
0299	Total receipts and collections	–1	3	90
0400	Total: Balances and collections	–1	3	90
	Appropriations:			
0500	Investor Protection Fund	1	–3	–90
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 50–5567–0–2–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Whistleblower Payments		111	133
0900	Total new obligations (object class 91.0)		111	133
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	452	451	343
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	–1	3	90
1260	Appropriations, mandatory (total)	–1	3	90
1930	Total budgetary resources available	451	454	433
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	451	343	300
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		111	133
3040	Outlays (gross)		–111	–133
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	–1	3	90
	Outlays, gross:			
4101	Outlays from mandatory balances		111	133
4180	Budget authority, net (total)	–1	3	90
4190	Outlays, net (total)		111	133
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value		451	341
5001	Total investments, EOY: Federal securities: Par value	451	341	210

As part of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Wall Street Reform Act), Congress substantially expanded the Securities and Exchange Commission's authority to pay whistleblower awards and enhanced the anti-retaliation protections available to whistleblowers. The intent is to elicit high-quality tips by motivating persons with inside knowledge to assist the Federal Government in identifying and prosecuting individuals who violate the Federal securities laws.

Section 922 of the Act amended the Securities Exchange Act by adding section 21F, entitled "Securities Whistleblower Incentives and Protection." Among other things, section 21F establishes a whistleblower program that requires the Commission to pay an award, under regulations prescribed by the Commission and subject to certain limitations, to eligible whistleblowers if they provide original information to the SEC that leads to successful enforcement by the SEC of a judicial or administrative action in which monetary sanctions exceeding $1 million are imposed. The award amount is based on the monetary sanctions actually collected in the Commission action or related action.

As mandated by the Wall Street Reform Act, the Commission's Division of Enforcement has established a Whistleblower Office to administer and enforce the whistleblower program, and the agency has established the Investor Protection Fund to provide funding for the program. The Fund provides resources for payments to whistleblowers and for the SEC Office of the Inspector General's suggestion program. The suggestion program is intended for the receipt of suggestions from SEC employees for improvements in the work efficiency, effectiveness, productivity, and use of resources at the SEC, as well as allegations from SEC employees of waste, abuse, misconduct, or mismanagement within the SEC.

The Investor Protection Fund is funded by transferring a portion of monetary sanctions collected by the SEC in judicial or administrative actions brought by the SEC under the securities laws that are not added to disgorgement funds or other funds under section 308 of the Sarbanes-Oxley Act of 2002, or amounts in such funds that are determined not to be distributed to injured investors. No sanction collected by the Commission can be transferred to the Fund if the Fund's balance at the time of the transfer exceeds $300 million.

The Commission is required to submit an annual report to the Committee on Banking, Housing and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives on the whistleblower award program.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

		2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:				
50–322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts		853	794
General Fund Offsetting receipts from the public			853	794

Public Company Accounting Oversight Board

Federal Funds

Public Company Accounting Oversight Board

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5376–0–2–376		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	28	39	26
	Receipts:			
0200	Accounting Support Fees, Public Company Accounting Oversight Board	202	215	236
0400	Total: Balances and collections	230	254	262
	Appropriations:			
0500	Public Company Accounting Oversight Board	–191	–228	–236
0799	Balance, end of year	39	26	26

Program and Financing (in millions of dollars)

Identification code 95–5376–0–2–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Accounting Oversight	191	228	236
0002	Accounting Scholarship Program	1	1	1
0900	Total new obligations (object class 25.2)	192	229	237

PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD—Continued

Program and Financing—Continued

Identification code 95–5376–0–2–376	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1160 Appropriation, discretionary (total)	1	1	1
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	191	228	236
1260 Appropriations, mandatory (total)	191	228	236
1900 Budget authority (total)	192	229	237
1930 Total budgetary resources available	192	229	237
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	192	229	237
3040 Outlays (gross)	–192	–229	–237
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
Mandatory:			
4090 Budget authority, gross	191	228	236
Outlays, gross:			
4100 Outlays from new mandatory authority	191	228	236
4180 Budget authority, net (total)	192	229	237
4190 Outlays, net (total)	192	229	237

Note: Because PCAOB does not report budgetary data to Treasury, budget estimates were derived from PCAOB's financial data.

The Sarbanes-Oxley Act of 2002 (P.L. 107–204) established the Public Company Accounting Oversight Board (PCAOB) to oversee the audit of public companies that are subject to federal securities laws. PCAOB was created to protect the interests of investors by regulating the preparation of informative, accurate, and independent audit reports for companies whose securities are sold to, and held by and for, public investors. Funding for PCAOB comes from registration fees paid by public accounting firms and accounting support fees paid by public companies.

STANDARD SETTING BODY

Federal Funds

PAYMENT TO STANDARD SETTING BODY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5377–0–2–376	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0200 Accounting Support Fees, Standard Setting Body	30	39	40
0400 Total: Balances and collections	30	39	40
Appropriations:			
0500 Payment to Standard Setting Body	–30	–39	–40
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–5377–0–2–376	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Advisory and assistance services	30	39	40
0801 Reimbursable program activity	6		
0900 Total new obligations	36	39	40
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	30	39	40
1260 Appropriations, mandatory (total)	30	39	40
Spending authority from offsetting collections, mandatory:			
1800 Collected	6		
1850 Spending auth from offsetting collections, mand (total)	6		
1900 Budget authority (total)	36	39	40
1930 Total budgetary resources available	36	39	40
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	36	39	40
3040 Outlays (gross)	–36	–39	–40
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	36	39	40
Outlays, gross:			
4100 Outlays from new mandatory authority	36	39	40
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–6		
4180 Budget authority, net (total)	30	39	40
4190 Outlays, net (total)	30	39	40

Note: Because the Standard Setting Body does not provide budgetary data to the Treasury, budget estimates were derived from the Standard Setting Body's financial data.

The Sarbanes-Oxley Act of 2002 (P.L. 107–204) authorizes the Securities and Exchange Commission (SEC) to designate a private entity as a standard setting body. This standard setting body will set accounting principles that will be "generally accepted" for the purposes of federal securities laws. Funding for the standard setting body comes from Accounting Support Fees, paid by public companies. The private entity currently designated as the standard setting body is the Financial Accounting Standards Board (FASB).

Object Classification (in millions of dollars)

Identification code 95–5377–0–2–376	2011 actual	2012 est.	2013 est.
25.1 Direct obligations: Advisory and assistance services	30	39	40
99.0 Reimbursable obligations	6		
99.9 Total new obligations	36	39	40

SECURITIES INVESTOR PROTECTION CORPORATION

Federal Funds

SECURITIES INVESTOR PROTECTION CORPORATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5600–0–2–376	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	1,123	1,361	1,389
Receipts:			
0200 Assessments, SIPC	400	410	400
0220 Earnings on Investments, SIPC	96	37	40
0299 Total receipts and collections	496	447	440
0400 Total: Balances and collections	1,619	1,808	1,829
Appropriations:			
0500 Securities Investor Protection Corporation	–258	–419	–299
0799 Balance, end of year	1,361	1,389	1,530

Program and Financing (in millions of dollars)

Identification code 95–5600–0–2–376	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Program Management	15	18	19
0002 Customer Claims	243	401	280
0900 Total new obligations (object class 25.1)	258	419	299

	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	258	419	299
1260	Appropriations, mandatory (total)	258	419	299
1930	Total budgetary resources available	258	419	299
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	258	419	299
3040	Outlays (gross)	–258	–419	–299
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	258	419	299
	Outlays, gross:			
4100	Outlays from new mandatory authority	258	419	299
4180	Budget authority, net (total)	258	419	299
4190	Outlays, net (total)	258	419	299
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	1,182	1,420	1,479
5001	Total investments, EOY: Federal securities: Par value	1,420	1,479	1,620

Note: Because the Securities Investor Protection Corporation (SIPC) does not report budgetary data to Treasury, budget estimates were derived from SIPC's financial data.

SIPC was created when Congress passed the Securities Investor Protection Act of 1970 (SIPA). Its purpose is to afford certain protections to customers against loss resulting from broker-dealer failure and, thereby, to promote investor confidence in the nation's securities markets. SIPC is a non-profit membership corporation. Its members are, with some exceptions, all persons registered as brokers or dealers under Section 15(b) of the Securities Exchange Act of 1934 and all persons who are members of a national securities exchange. SIPC receives funds through assessments on its membership and from interest earned on its investments in U.S. Government securities.

SIPC may borrow up to $2.5 billion from the U.S. Department of the Treasury, through the Securities and Exchange Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. SIPC has not accessed these loans to date, and the Budget does not project that SIPC will require use of these loans over the next ten years.

SMITHSONIAN INSTITUTION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease agreements of no more than 30 years, and protection of buildings, facilities, and approaches; not to exceed $100,000 for services as authorized by 5 U.S.C. 3109; and purchase, rental, repair, and cleaning of uniforms for employees, [$636,530,000] *$660,333,000*, to remain available until September 30, [2013] *2014*, except as otherwise provided herein; of which not to exceed [$20,137,000] *$33,201,000* for the instrumentation program, collections acquisition, exhibition reinstallation, the National Museum of African American History and Culture, and the repatriation of skeletal remains program shall remain available until expended; and including such funds as may be necessary to support American overseas research centers: *Provided*, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 33–0100–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Public programs	39	39	40
0002	Exhibitions	53	51	52
0003	Collections	62	63	69
0004	Research	89	93	93
0005	Facilities	199	200	204
0006	Security & safety	74	74	75
0007	Information technology	56	54	54
0008	Operations	66	64	67
0009	Development	2	2	4
0900	Total new obligations	640	640	658
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	28	28	28
1011	Unobligated balance transfer from other accts [72–1037]	2	.....	.....
1050	Unobligated balance (total)	30	28	28
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	636	637	660
1130	Appropriations permanently reduced	–1	.....	.....
1141	Approp permanently reduced (Sec 436, HR 2055)	.....	–1	.....
1160	Appropriation, discretionary (total)	635	636	660
	Spending authority from offsetting collections, discretionary:			
1700	Collected	4	4	4
1701	Change in uncollected payments, Federal sources	–1	.....	.....
1750	Spending auth from offsetting collections, disc (total)	3	4	4
1900	Budget authority (total)	638	640	664
1930	Total budgetary resources available	668	668	692
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	28	28	34
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	112	121	95
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–5	–4	–4
3020	Obligated balance, start of year (net)	107	117	91
3030	Obligations incurred, unexpired accounts	640	640	658
3031	Obligations incurred, expired accounts	1	.....	.....
3040	Outlays (gross)	–630	–666	–668
3050	Change in uncollected pymts, Fed sources, unexpired	1	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–2	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	121	95	85
3091	Uncollected pymts, Fed sources, end of year	–4	–4	–4
3100	Obligated balance, end of year (net)	117	91	81
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	638	640	664
	Outlays, gross:			
4010	Outlays from new discretionary authority	506	556	577
4011	Outlays from discretionary balances	124	110	91
4020	Outlays, gross (total)	630	666	668
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–4	–4	–4
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	1	.....	.....
4070	Budget authority, net (discretionary)	635	636	660
4080	Outlays, net (discretionary)	626	662	664
4180	Budget authority, net (total)	635	636	660
4190	Outlays, net (total)	626	662	664

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves more than 137 million items of scientific, cultural, and historic importance for reference and study purposes. These resources may be accessed by millions of visitors and researchers worldwide either in person, or increasingly online. Smithsonian's public exhibitions delve into subjects from aeronautics to zoology.

SALARIES AND EXPENSES—Continued

The Institution operates 19 museums and galleries, a zoological park and animal conservation and research center, research facilities, and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 33–0100–0–1–503		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	294	294	299
11.3	Other than full-time permanent	3	3	3
11.5	Other personnel compensation	14	14	14
11.9	Total personnel compensation	311	311	316
12.1	Civilian personnel benefits	95	98	101
21.0	Travel and transportation of persons	4	4	4
22.0	Transportation of things	1	1	1
23.3	Rent, Communications, and Utilities	79	82	83
24.0	Printing and reproduction	2	2	2
25.2	Other services	100	98	106
26.0	Supplies and materials	18	17	18
31.0	Equipment	20	19	19
32.0	Land and structures	7	4	4
99.0	Direct obligations	637	636	654
99.0	Reimbursable obligations	3	4	4
99.9	Total new obligations	640	640	658

Employment Summary

Identification code 33–0100–0–1–503		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	4,212	4,195	4,233

FACILITIES CAPITAL

For necessary expenses of repair, revitalization, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), and for construction, including necessary personnel, [$175,000,000] *$196,500,000*, to remain available until expended, of which not to exceed $10,000 is for services as authorized by 5 U.S.C. 3109, and of which [$75,000,000] *$85,000,000* shall be to [complete design and begin] *continue* construction of the National Museum of African American History and Culture: *Provided*, That [during fiscal year 2012 and any succeeding fiscal year,] a single procurement for construction of the National Museum of African American History and Culture, as authorized under section 8 of the National Museum of African American History and Culture Act (20 U.S.C. 80r-6), may be issued that includes the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232[.]-18. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 33–0103–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0010	Construction	1	60	74
0020	Revitalization	98	78	80
0030	Facilities planning and design	29	31	32
0900	Total new obligations	128	169	186
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	25	22	28
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	125	175	197
1160	Appropriation, discretionary (total)	125	175	197
1930	Total budgetary resources available	150	197	225
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	22	28	39
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	129	136	231
3030	Obligations incurred, unexpired accounts	128	169	186
3031	Obligations incurred, expired accounts	1	.....	.....
3040	Outlays (gross)	–121	–74	–158
3081	Recoveries of prior year unpaid obligations, expired	–1	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	136	231	259
3100	Obligated balance, end of year (net)	136	231	259
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	125	175	197
	Outlays, gross:			
4010	Outlays from new discretionary authority	.....	40	45
4011	Outlays from discretionary balances	121	34	113
4020	Outlays, gross (total)	121	74	158
4180	Budget authority, net (total)	125	175	197
4190	Outlays, net (total)	121	74	158

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions, and education. This account also includes major repairs, revitalization, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. The Facilities Capital account also includes planning and design related to these activities. The 2013 President's Budget provides funds for critical infrastructure improvements at the Cooper-Hewitt, National Design Museum; research facilities at the Smithsonian Environmental Research Center and the Smithsonian Tropical Research Institute; and continued construction of the National Museum of African American History and Culture. Current long-term projects supported by the Administration in this account include renovations at the National Zoological Park, the National Museum of American History-Behring Center, and the National Museum of Natural History.

Object Classification (in millions of dollars)

Identification code 33–0103–0–1–503		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	4	5	5
12.1	Civilian personnel benefits	1	1	1
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	1	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	8	10	10
32.0	Land and structures	112	150	167
99.9	Total new obligations	128	169	186

Employment Summary

Identification code 33–0103–0–1–503		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	48	48	48

LEGACY FUND

Program and Financing (in millions of dollars)

Identification code 33–0104–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0020	Revitalization	29	1	
0900	Total new obligations (object class 32.0)	29	1	
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	30	1	
1930	Total budgetary resources available	30	1	
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)		24	18
3030	Obligations incurred, unexpired accounts	29	1	
3040	Outlays (gross)	–5	–7	–10
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	24	18	8
3100	Obligated balance, end of year (net)	24	18	8
	Budget authority and outlays, net:			
	Discretionary:			
	Outlays, gross:			
4011	Outlays from discretionary balances	5	7	10
4190	Outlays, net (total)	5	7	10

The Legacy Fund is a public-private partnership, in which each federal dollar provided must be matched by private contributions, for the purpose of renovating the historic Arts and Industries Building of the Smithsonian Institution. No funds are requested in 2013.

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

OPERATIONS AND MAINTENANCE

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, [$23,200,000] *$22,379,000*. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 33–0302–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	23	23	22
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	23	23	22
1160	Appropriation, discretionary (total)	23	23	22
1930	Total budgetary resources available	23	23	22
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	4	4	4
3030	Obligations incurred, unexpired accounts	23	23	22
3040	Outlays (gross)	–23	–23	–22
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	4	4	4
3100	Obligated balance, end of year (net)	4	4	4
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	23	23	22
	Outlays, gross:			
4010	Outlays from new discretionary authority	20	19	18
4011	Outlays from discretionary balances	3	4	4
4020	Outlays, gross (total)	23	23	22
4180	Budget authority, net (total)	23	23	22
4190	Outlays, net (total)	23	23	22

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

Object Classification (in millions of dollars)

Identification code 33–0302–0–1–503		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	5	5	5
23.3	Communications, utilities, and miscellaneous charges	7	7	7
25.2	Other services from non-Federal sources	11	11	10
99.9	Total new obligations	23	23	22

Employment Summary

Identification code 33–0302–0–1–503		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	55	55	55

CAPITAL REPAIR AND RESTORATION

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, [$13,650,000] *$13,588,000*, to remain available until expended. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 33–0303–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	11	14	14
0900	Total new obligations (object class 25.2)	11	14	14
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		4	4
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	1	4	4
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	14	14	14
1160	Appropriation, discretionary (total)	14	14	14
1930	Total budgetary resources available	15	18	18
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	4	4
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	21	15	9
3030	Obligations incurred, unexpired accounts	11	14	14
3040	Outlays (gross)	–16	–20	–14
3080	Recoveries of prior year unpaid obligations, unexpired	–1		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	15	9	9
3100	Obligated balance, end of year (net)	15	9	9
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	14	14	14
	Outlays, gross:			
4010	Outlays from new discretionary authority	2	8	8
4011	Outlays from discretionary balances	14	12	6
4020	Outlays, gross (total)	16	20	14
4180	Budget authority, net (total)	14	14	14
4190	Outlays, net (total)	16	20	14

CAPITAL REPAIR AND RESTORATION—Continued

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including safety improvements and major repair of interior spaces, including access for persons with disabilities. The Kennedy Center plans to continue the renovation of the interior of the presidential memorial.

NATIONAL GALLERY OF ART

SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901–5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, [$114,066,000] *$120,000,000*, of which not to exceed [$3,481,000] *$3,518,000* for the special exhibition program shall remain available until expended. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 33–0200–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	110	114	120
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	111	114	120
1160	Appropriation, discretionary (total)	111	114	120
1930	Total budgetary resources available	111	115	121
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	18	20	16
3030	Obligations incurred, unexpired accounts	110	114	120
3040	Outlays (gross)	–108	–118	–129
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	20	16	7
3100	Obligated balance, end of year (net)	20	16	7
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	111	114	120
	Outlays, gross:			
4010	Outlays from new discretionary authority	93	108	113
4011	Outlays from discretionary balances	15	10	16
4020	Outlays, gross (total)	108	118	129
4180	Budget authority, net (total)	111	114	120
4190	Outlays, net (total)	108	118	129

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)

Identification code 33–0200–0–1–503		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	55	58	57
11.3	Other than full-time permanent	1	1	2
11.5	Other personnel compensation	3	3	4
11.9	Total personnel compensation	59	62	63
12.1	Civilian personnel benefits	17	17	18
22.0	Transportation of things	1	1	1
23.3	Communications, utilities, and miscellaneous charges	10	13	13
25.2	Other services	10	8	9
25.4	Operation and maintenance of facilities	3	8	9
26.0	Supplies and materials	2	2	3
31.0	Equipment	8	3	4
99.9	Total new obligations	110	114	120

Employment Summary

Identification code 33–0200–0–1–503		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	794	805	805

REPAIR, RESTORATION, AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, for operating lease agreements of no more than 10 years, with no extensions or renewals beyond the 10 years, that address space needs created by the ongoing renovations in the Master Facilities Plan, as authorized, [$14,516,000] *$23,000,000*, to remain available until expended: *Provided*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 33–0201–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	38	28	23
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	14	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	48	15	23
1160	Appropriation, discretionary (total)	48	15	23
1930	Total budgetary resources available	52	29	24
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	14	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	56	64	54
3030	Obligations incurred, unexpired accounts	38	28	23
3040	Outlays (gross)	–30	–38	–47
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	64	54	30
3100	Obligated balance, end of year (net)	64	54	30
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	48	15	23
	Outlays, gross:			
4010	Outlays from new discretionary authority	30	12	18
4011	Outlays from discretionary balances		26	29

4020	Outlays, gross (total)	30	38	47
4180	Budget authority, net (total)	48	15	23
4190	Outlays, net (total)	30	38	47

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; and facilities planning and design. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

Object Classification (in millions of dollars)

Identification code 33–0201–0–1–503		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.4	Operation and maintenance of facilities	2	2	2
32.0	Land and structures	36	26	21
99.9	Total new obligations	38	28	23

Employment Summary

Identification code 33–0201–0–1–503		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	2	2	2

Woodrow Wilson International Center for Scholars

Salaries and Expenses

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, ⟦$11,005,000⟧ *$10,492,000*, to remain available until September 30, ⟦2013⟧ *2014*. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 33–0400–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	11	11	11
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	11	11	11
1160	Appropriation, discretionary (total)	11	11	11
1930	Total budgetary resources available	11	11	11
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	3	3	2
3030	Obligations incurred, unexpired accounts	11	11	11
3040	Outlays (gross)	–11	–12	–11
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	3	2	2
3100	Obligated balance, end of year (net)	3	2	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	11	11	11
	Outlays, gross:			
4010	Outlays from new discretionary authority	8	8	8
4011	Outlays from discretionary balances	3	4	3
4020	Outlays, gross (total)	11	12	11
4180	Budget authority, net (total)	11	11	11
4190	Outlays, net (total)	11	12	11

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington, D.C. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue.

Object Classification (in millions of dollars)

Identification code 33–0400–0–1–503		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	4	5	5
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	4	3	3
41.0	Grants, subsidies, and contributions	2	2	2
99.9	Total new obligations	11	11	11

Employment Summary

Identification code 33–0400–0–1–503		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	45	50	50

STATE JUSTICE INSTITUTE

Federal Funds

Salaries and Expenses

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1984 (42 U.S.C. 10701 et seq.) $5,121,000, of which $500,000 shall remain available until September 30, ⟦2013⟧ *2014*: *Provided*, That not to exceed $2,250 shall be available for official reception and representation expenses. *(Commerce, Justice, Science, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 48–0052–0–1–752		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	6	5	5
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	5	5	5
1160	Appropriation, discretionary (total)	5	5	5
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1	.....	.....
1750	Spending auth from offsetting collections, disc (total)	1	.....	.....
1900	Budget authority (total)	6	5	5
1930	Total budgetary resources available	6	5	5
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	6	7	6
3030	Obligations incurred, unexpired accounts	6	5	5
3040	Outlays (gross)	–5	–6	–6
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	7	6	5
3100	Obligated balance, end of year (net)	7	6	5
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	6	5	5
	Outlays, gross:			
4010	Outlays from new discretionary authority	1	4	4
4011	Outlays from discretionary balances	4	2	2
4020	Outlays, gross (total)	5	6	6
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–1	.....	.....
4180	Budget authority, net (total)	5	5	5
4190	Outlays, net (total)	4	6	6

The State Justice Institute (SJI) was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States. SJI awards grants to im-

SALARIES AND EXPENSES—Continued

prove the quality of justice in state courts and to foster innovative, efficient solutions to common issues faced by all courts.

Object Classification (in millions of dollars)

Identification code 48–0052–0–1–752		2011 actual	2012 est.	2013 est.
41.0	Direct obligations: Grants, subsidies, and contributions	5	5	5
99.0	Reimbursable obligations	1		
99.9	Total new obligations	6	5	5

TELECOMMUNICATIONS DEVELOPMENT FUND

Federal Funds

TELECOMMUNICATIONS DEVELOPMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5388–0–2–376		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	61	54	50
	Appropriations:			
0500	Telecommunications Development Fund	–7	–7	–7
0501	Telecommunications Development Fund		3	7
0599	Total appropriations	–7	–4	
0799	Balance, end of year	54	50	50

Program and Financing (in millions of dollars)

Identification code 95–5388–0–2–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	7	7	7
0900	Total new obligations (object class 33.0)	7	7	7
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special fund balances)	7	7	7
1260	Appropriations, mandatory (total)	7	7	7
1930	Total budgetary resources available	7	7	7
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	7	7	7
3040	Outlays (gross)	–7	–7	–7
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	7	7	7
	Outlays, gross:			
4100	Outlays from new mandatory authority	7	7	7
4180	Budget authority, net (total)	7	7	7
4190	Outlays, net (total)	7	7	7
	Memorandum (non-add) entries:			
5010	Total investments, start of year: non-Federal marketable securities	81	81	81
5010	Total investments, start of year: non-Federal: venture equity investments	14	14	14
5011	Total investments, end of year: non-Federal marketable securities	81	81	81
5011	Total investments, end of year: non-Federal venture equity investments	14	14	14

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	7	7	7
Outlays	7	7	7
Legislative proposal, subject to PAYGO:			
Budget Authority		–3	–7
Outlays		–3	–7
Total:			
Budget Authority	7	4	
Outlays	7	4	

The Telecommunications Development Fund (TDF) was created in 1996 with the objective of promoting access to capital for small businesses, enhancing competition in the telecommunications industry, and improving the delivery of telecommunication services to rural areas. TDF receives interest earnings from deposits on spectrum auctions. A portion of these earnings is invested in small telecommunications firms.

Since its creation, TDF has collected over $100 million in interest that would have otherwise been deposited in the Treasury. The Budget proposes to eliminate new funding for TDF, as the program has not had a significant impact, and has experienced losses on the funds that it has invested in telecommunications firms. The Administration supports other programs, including multi-billion dollar universal service programs and small business credit programs, which have greater impact and accountability.

TELECOMMUNICATIONS DEVELOPMENT FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–5388–4–2–376		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity		–3	–7
0900	Total new obligations (object class 33.0)		–3	–7
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special fund balances)		–3	–7
1260	Appropriations, mandatory (total)		–3	–7
1930	Total budgetary resources available		–3	–7
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		–3	–7
3040	Outlays (gross)		3	7
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		–3	–7
	Outlays, gross:			
4100	Outlays from new mandatory authority		–3	–7
4180	Budget authority, net (total)		–3	–7
4190	Outlays, net (total)		–3	–7
	Memorandum (non-add) entries:			
5010	Total investments, start of year: non-Federal marketable securities			84
5010	Total investments, start of year: non-Federal: venture equity investments			14
5011	Total investments, end of year: non-Federal marketable securities		84	84
5011	Total investments, end of year: non-Federal venture equity investments		14	14

TENNESSEE VALLEY AUTHORITY

Federal Funds

TENNESSEE VALLEY AUTHORITY FUND

Program and Financing (in millions of dollars)

Identification code 64–4110–0–3–999		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Power program: Operating expenses	10,401	10,373	10,300
0802	Power program: Capital expenditures	2,554	2,427	2,515
0803	Other Cash Items	7,982	8,075	7,693

0804	Non-Federal Investments	17,054	17,700	17,213
0809	Reimbursable program activities, subtotal	37,991	38,575	37,721
0900	Total new obligations	37,991	38,575	37,721
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1,142	1,114	512
1022	Capital transfer of unobligated balances to general fund	–8	–15	–15
1050	Unobligated balance (total)	1,134	1,099	497
	Budget authority:			
	Appropriations, mandatory:			
1221	Appropriations transferred from other accts [11–5512]	2	.....	.....
1260	Appropriations, mandatory (total)	2	.....	.....
	Borrowing authority, mandatory:			
1400	Borrowing authority	1,513	924	950
1440	Borrowing authority, mandatory (total)	1,513	924	950
	Spending authority from offsetting collections, mandatory:			
1800	Collected	36,856	37,063	36,676
1801	Change in uncollected payments, Federal sources	100	21	15
1820	Capital transfer of spending authority from offsetting collections to general fund	–20	–20	–20
1827	Spending authority from offsetting collections substituted for borrowing authority	–480	.....	.....
1850	Spending auth from offsetting collections, mand (total)	36,456	37,064	36,671
1900	Budget authority (total)	37,971	37,988	37,621
1930	Total budgetary resources available	39,105	39,087	38,118
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1,114	512	397
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1,918	2,022	2,609
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–1,639	–1,739	–1,760
3020	Obligated balance, start of year (net)	279	283	849
3030	Obligations incurred, unexpired accounts	37,991	38,575	37,721
3040	Outlays (gross)	–37,887	–37,988	–37,621
3050	Change in uncollected pymts, Fed sources, unexpired	–100	–21	–15
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	2,022	2,609	2,709
3091	Uncollected pymts, Fed sources, end of year	–1,739	–1,760	–1,775
3100	Obligated balance, end of year (net)	283	849	934
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	37,971	37,988	37,621
	Outlays, gross:			
4100	Outlays from new mandatory authority	37,887	35,966	37,621
4101	Outlays from mandatory balances	.....	2,022	.....
4110	Outlays, gross (total)	37,887	37,988	37,621
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–169	–2,000	–2,000
4123	Non-Federal sources	–36,687	–35,063	–34,676
4130	Offsets against gross budget authority and outlays (total)	–36,856	–37,063	–36,676
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	–100	–21	–15
4160	Budget authority, net (mandatory)	1,015	904	930
4170	Outlays, net (mandatory)	1,031	925	945
4180	Budget authority, net (total)	1,015	904	930
4190	Outlays, net (total)	1,031	925	945
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	225	25	25
5001	Total investments, EOY: Federal securities: Par value	25	25	25
5010	Total investments, SOY: non-Fed securities: Market value	101	480	200
5011	Total investments, EOY: non-Fed securities: Market value	480	200	200

Status of Direct Loans (in millions of dollars)

Identification code 64–4110–0–3–999	2011 actual	2012 est.	2013 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation	16	18	18
1150 Total direct loan obligations	16	18	18
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	37	28	34
1231 Disbursements: Direct loan disbursements	3	17	17
1251 Repayments: Repayments and prepayments	–11	–11	–11
1263 Write-offs for default: Direct loans	–1	.....	–1
1290 Outstanding, end of year	28	34	39

The Tennessee Valley Authority (TVA) was created in 1933 as a Government-owned corporation for the unified development of a river basin comprised of parts of seven States. The agency finances its program primarily from proceeds available from current power operations and borrowings against future power revenues.

TVA's Non-Power Programs.—TVA operates a series of 49 dams and 47 reservoirs to reduce the risk of flooding, enable year-round navigation, supply affordable and reliable electricity, improve water quality and water supply, provide recreational opportunities, stimulate economic growth, and provide a wide range of other public benefits. TVA is responsible for critical stewardship activities within the Tennessee Valley which include: water release regulation; maintenance of dam machinery and spillway gates; modifications on nine main and four auxiliary navigation locks and associated mooring facilities; improvement of water quality and supply; management of shoreline erosion; regulation of shoreline development along the Tennessee River and its tributaries; planning and management of 293,000 acres of public land; and operation of public recreation areas. These services are funded entirely by TVA's power revenues and its user fees.

TVA's Power Program.—TVA supplies electric power to an area of 80,000 square miles in parts of the seven Tennessee Valley States. Income from power operations, net of interest charges and depreciation, and other operating expenses is estimated at a loss of $183 million in 2013. Power generating facilities are financed from power proceeds and borrowings.

TVA Policy Initiatives.—On August 18, 2011, the TVA Board of Directors authorized the completion of one nuclear unit at TVA's Bellefonte site near Scottsboro, Alabama and announced plans to add emission controls at Gallatin and Allen fossil plants, putting into action the TVA Integrated Resource Plan (IRP), which had been developed over two years and accepted by the Board in April 2011. Also in April 2011, TVA announced plans to retire 18 older coal-fired generation units at three power plants as part of the Board's vision for TVA to be one of the nation's leading providers of low-cost and cleaner energy by 2020. Consistent with the coal unit retirements, and in alignment with the IRP, the Board also authorized the CEO to enter into agreements with the U.S. Environmental Protection Agency; the states of Alabama, Kentucky, Tennessee and North Carolina; and three environmental advocacy groups to settle ongoing legal and regulatory issues related to Clean Air Act compliance. TVA recently filed its sixth Annual Report on Form 10-K with the Securities and Exchange Commission, which provides more transparency of its business operations.

Financing.—Amounts estimated to become available for TVA programs in 2013 are to be derived from power revenues and receipts of $11,662 million. The outstanding balance of TVA's bonds, notes, and other evidences of indebtedness is limited by statute and cannot exceed $30 billion. The budget assumes TVA will increase its debt and debt-like obligations by $333 million in 2013 primarily from new generating capacity ($615 million). TVA's outstanding debt and debt-like obligations were $26.7 billion at the beginning of 2012 and are estimated to increase to $27.7 billion by the end of 2013. At the beginning of 2012, TVA had $2.0 billion in debt-like obligations that are not counted against its statutory debt cap.

Operating results and financial conditions.—Payments to the Treasury from power proceeds in 2013 are estimated at $35 million: $15 million as a dividend-like return on the appropriation

TENNESSEE VALLEY AUTHORITY FUND—Continued

investment in the power program and $20 million as a reduction in the appropriation investment in the power program. Total capital spending for 2013 is budgeted at $2.5 billion, which in addition to new generation capacity includes $860 million for clean air projects, $146 million for coal combustion residual projects, and $893 million to maintain TVA's existing generation assets. Total Government equity at September 30, 2013, is estimated to be $218 million less than that at September 30, 2012. This change includes the net loss from power operations and payments to the Treasury.

Object Classification (in millions of dollars)

Identification code 64–4110–0–3–999	2011 actual	2012 est.	2013 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,009	1,140	1,081
11.5 Other personnel compensation	241	199	344
11.9 Total personnel compensation	1,250	1,339	1,425
12.1 Civilian personnel benefits	637	409	391
21.0 Travel and transportation of persons	34	35	24
22.0 Transportation of things	82	3	5
23.2 Rental payments to others	175	125	68
24.0 Printing and reproduction	3	1	1
25.1 Advisory and assistance services	173	282	331
25.2 Other services from non-Federal sources	381	512	479
25.7 Operation and maintenance of equipment	2,833	2,471	2,260
26.0 Supplies and materials	1,243	1,500	1,500
31.0 Equipment	773	284	768
32.0 Land and structures	493	70	95
33.0 Investments and loans	29,698	31,316	30,213
41.0 Grants, subsidies, and contributions	37	31	26
42.0 Insurance claims and indemnities	22	17	12
43.0 Interest and dividends	157	180	123
99.9 Total new obligations	37,991	38,575	37,721

Employment Summary

Identification code 64–4110–0–3–999	2011 actual	2012 est.	2013 est.
2001 Reimbursable civilian full-time equivalent employment	12,435	12,800	12,900

UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS

Trust Funds

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8295–0–7–551	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	98	98	98
Receipts:			
0200 Premiums, Combined Fund and 1992 Plan, UMWA	38	35	32
0240 Transfers from Abandoned Mine Reclamation Fund	57	48	56
0241 Federal Payment to United Mine Workers of America Combined Benefit Fund	216	206	175
0299 Total receipts and collections	311	289	263
0400 Total: Balances and collections	409	387	361
Appropriations:			
0500 United Mine Workers of America Combined Benefit Fund	–185	–157	–134
0501 United Mine Workers of America 1992 Benefit Plan	–70	–72	–68
0502 United Mine Workers of America 1993 Benefit Plan	–56	–60	–61
0599 Total appropriations	–311	–289	–263
0799 Balance, end of year	98	98	98

Program and Financing (in millions of dollars)

Identification code 95–8295–0–7–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 United Mine Workers of America Combined Benefit Fund	185	157	134
0900 Total new obligations (object class 42.0)	185	157	134
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	185	157	134
1260 Appropriations, mandatory (total)	185	157	134
1930 Total budgetary resources available	185	157	134
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	185	157	134
3040 Outlays (gross)	–185	–157	–134
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	185	157	134
Outlays, gross:			
4100 Outlays from new mandatory authority	185	157	134
4180 Budget authority, net (total)	185	157	134
4190 Outlays, net (total)	185	157	134

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from an over- funded United Mine Workers pension fund; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 95–8260–0–7–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 United Mine Workers of America 1992 Benefit Plan	70	72	68
0900 Total new obligations (object class 42.0)	70	72	68
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	70	72	68
1260 Appropriations, mandatory (total)	70	72	68
1930 Total budgetary resources available	70	72	68
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	70	72	68
3040 Outlays (gross)	–70	–72	–68
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	70	72	68
Outlays, gross:			
4100 Outlays from new mandatory authority	70	72	68
4180 Budget authority, net (total)	70	72	68
4190 Outlays, net (total)	70	72	68

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care for those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under

an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America; a Medicare prescription drug demonstration; transfers from the Abandoned Mine Land Reclamation fund; and General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 95–8535–0–7–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	United Mine Workers of America 1993 Benefit Plan	56	60	61
0900	Total new obligations (object class 42.0)	56	60	61
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	56	60	61
1260	Appropriations, mandatory (total)	56	60	61
1930	Total budgetary resources available	56	60	61
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	56	60	61
3040	Outlays (gross)	–56	–60	–61
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	56	60	61
	Outlays, gross:			
4100	Outlays from new mandatory authority	56	60	61
4180	Budget authority, net (total)	56	60	61
4190	Outlays, net (total)	56	60	61

The 1993 Benefit Plan provides health benefits to certain retired mine workers and disabled mine workers who are not eligible for benefits under the Coal Industry Retiree Health Benefit Act of 1992 and who are not receiving benefits from employer's benefit plans. The 1993 Benefit Plan was established through collective bargaining under the National Bituminous Coal Wage Agreement of 1993. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is financed by signatories to the National Bituminous Coal Wage Agreement; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the operation of the United States Court of Appeals for Veterans Claims as authorized by sections 7251 through 7298 of title 38, United States Code, **[**$30,770,000**]** *$32,480,700*: *Provided*, That **[**$2,726,323**]** *$2,726,000* shall be available for the purpose of providing financial assistance as described, and in accordance with the process and reporting procedures set forth, under this heading in Public Law 102–229. *(Military Construction and Veterans Affairs, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–0300–0–1–705		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Salaries and Expenses	24	31	32
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	28	31	32
1160	Appropriation, discretionary (total)	28	31	32
1930	Total budgetary resources available	28	32	33
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–3		
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	11	11	11
3030	Obligations incurred, unexpired accounts	24	31	32
3040	Outlays (gross)	–24	–31	–34
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	11	11	9
3100	Obligated balance, end of year (net)	11	11	9
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	28	31	32
	Outlays, gross:			
4010	Outlays from new discretionary authority	22	28	29
4011	Outlays from discretionary balances	2	3	5
4020	Outlays, gross (total)	24	31	34
4180	Budget authority, net (total)	28	31	32
4190	Outlays, net (total)	24	31	34

The United States Court of Appeals for Veterans Claims (Court) is a national court of record established by the Veterans Judicial Review Act, Pub. L. No. 100–687, Division A (1988) (The Act). The Act, as amended, is codified in part at 38 U.S.C. §§ 7251–7299. The Court is part of the federal judicial system and has a permanent authorization for seven judges, one of whom serves as chief judge. The judges are appointed by the President, by and with the advice and consent of the Senate, for 15-year terms, except that two have been appointed for 13-year terms pursuant to Pub. L. No. 106–117, Nov. 30, 1999. Two additional positions have been authorized but not yet filled, and one judge recently entered senior status, such that the Court currently has three vacancies. Our senior judges, now numbering six, are available for service, and have been recalled the past several years. One judge is retired due to permanent disability. Certain decisions by the Court are reviewable by the United States Court of Appeals for the Federal Circuit and, if *certiorari* is granted, by the United States Supreme Court. Further, for management, administration, and expenditure of funds, the Court exercises the authorities provided for such purposes applicable to other courts under Title 28, U.S. Code.

The Court has exclusive jurisdiction to review decisions made by the Department of Veterans Affairs Board of Veterans' Appeals (Board) that adversely affect a person's entitlement to VA benefits. This judicial review, although specialized in scope, is the same as that performed by all other United States Courts of Appeal. In cases before it, the Court has the authority to decide all relevant questions of law; to interpret constitutional, statutory, and regulatory provisions; and to determine the meaning or applicability of actions/decisions by the Secretary of Veterans Affairs. The Court may affirm, set aside, reverse, or remand those decisions as appropriate. Additionally, the Court has authority under 28 U.S.C. § 1651, to issue all writs necessary or appropriate

SALARIES AND EXPENSES—Continued

in aid of its jurisdiction, and to act on applications under 28 U.S.C. § 2412(d), the Equal Access to Justice Act (EAJA).

The Court is empowered to compel actions of the Secretary that are unlawfully withheld or unreasonably delayed, and can set aside decisions, findings, conclusions, rules, and regulations issued or adopted by the Secretary, the Board, or the Board Chairman that are arbitrary or capricious, an abuse of discretion or otherwise not in accordance with law, contrary to constitutional right, in excess of statutory jurisdiction or authority, or without observance of the procedures required by law. The Court also may hold unlawful and set aside or reverse findings of material fact that are adverse to the appellant if the findings are clearly erroneous.

The Court is located in Washington, D.C., *see* 38 U.S.C.§ 7255 (requiring the principal offices of the Court to be located in the D.C. metropolitan area), but as a national court, the Court may conduct hearings anywhere in the United States.

Unrepresented Appellants:

The Pro Bono Representation Program (the Pro Bono Program or Program) began in FY 1992 when Congress authorized the Court to fund a pilot pro bono representation program in the amount of $950,000 from that fiscal year's appropriation. Under this program, the Legal Services Corporation (LSC) administers pro bono representation and legal assistance to veterans and their survivors who have appeals at the Court and who are unable to afford legal representation. The Court and the parties benefit from this Program because when an attorney is retained, the issues and arguments presented in the brief are generally more detailed and thorough than would be if the claimant had remained unrepresented.

Object Classification (in millions of dollars)

Identification code 95–0300–0–1–705		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.3	Personnel compensation: Other than full-time permanent	10	14	14
12.1	Civilian personnel benefits	6	6	8
23.1	Rental payments to GSA	2	4	3
25.2	Other services from non-Federal sources	2	3	3
25.3	Other goods and services from Federal sources	2		
31.0	Equipment		1	1
41.0	Grants, subsidies, and contributions	2	3	3
99.9	Total new obligations	24	31	32

Employment Summary

Identification code 95–0300–0–1–705		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	106	14	14

Trust Funds

COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8290–0–7–705		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	23	24	25
	Receipts:			
0240	Earnings on Investment, Court of Veterans Appeals Retirement Fund, LVE	–1	1	1
0241	Employing Agency Contributions, Court of Appeals for Veterans Claims Retirement Fund	3	2	4
0299	Total receipts and collections	2	3	5
0400	Total: Balances and collections	25	27	30
	Appropriations:			
0500	Court of Appeals for Veterans Claims Retirement Fund	–1	–2	–4
0799	Balance, end of year	24	25	26

Program and Financing (in millions of dollars)

Identification code 95–8290–0–7–705		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Court of Appeals for Veterans Claims Retirement Fund	1	2	4
0900	Total new obligations (object class 42.0)	1	2	4
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1	2	4
1260	Appropriations, mandatory (total)	1	2	4
1930	Total budgetary resources available	1	2	4
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	1	2	4
3040	Outlays (gross)	–1	–2	–4
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	1	2	4
	Outlays, gross:			
4100	Outlays from new mandatory authority	1	2	4
4180	Budget authority, net (total)	1	2	4
4190	Outlays, net (total)	1	2	4
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	23	24	25
5001	Total investments, EOY: Federal securities: Par value	24	25	25

The Judges Retiremend Fund, established under 38 U.S.C. §7298, is used for judges' retired pay and for annuities, refunds, and allowances provided to surviving spouses and dependent children. Participating judges pay 1% of their salaries to cover creditable service for retired pay purposes and 2.2% of their salaries for survivor annuity purposes. Additional funds needed to cover the unfunded liability may be transferred to this fund from the Court's annual appropriation. The Court's contribution to the fund is estimated annually by an actuarial firm retained by the Court. The fund is invested solely in government securities. In FY 2011, the Court paid benefits from fund assets to seven retired judges and one survivor annuitant.

UNITED STATES ENRICHMENT CORPORATION FUND

Federal Funds

UNITED STATES ENRICHMENT CORPORATION FUND

Program and Financing (in millions of dollars)

Identification code 95–4054–0–3–271		2011 actual	2012 est.	2013 est.
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1,567		
1020	Adjustment of unobligated bal brought forward, Oct 1	–1,567		
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	26	5	4
1824	Spending authority from offsetting collections precluded from obligation (limitation on obligations)	–26	–5	–4
	Budget authority and outlays, net:			
	Mandatory:			
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4121	Interest on Federal securities	–26	–5	–4
4180	Budget authority, net (total)	–26	–5	–4
4190	Outlays, net (total)	–26	–5	–4

	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	1,567	1,593	1,598
5001	Total investments, EOY: Federal securities: Par value	1,593	1,598	1,602
5090	Unavailable balance, SOY: Offsetting collections	1,567	1,593	1,598
5091	Unavailable balance, EOY: Offsetting collections	1,593	1,598	1,602

UNITED STATES HOLOCAUST MEMORIAL MUSEUM

Federal Funds

HOLOCAUST MEMORIAL MUSEUM

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106–292 (36 U.S.C. 2301–2310), [$50,798,000] *$51,788,000*, of which $515,000 shall remain available until September 30, [2014] *2015*, for the Museum's equipment replacement program; and of which $1,900,000 for the Museum's repair and rehabilitation program and $1,264,000 for the Museum's outreach initiatives program shall remain available until expended. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–3300–0–1–503		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	61	68	68
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	5	3
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	49	51	52
1160	Appropriation, discretionary (total)	49	51	52
	Spending authority from offsetting collections, discretionary:			
1700	Collected	13	15	15
1750	Spending auth from offsetting collections, disc (total)	13	15	15
1900	Budget authority (total)	62	66	67
1930	Total budgetary resources available	66	71	70
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	3	2
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	12	10	14
3030	Obligations incurred, unexpired accounts	61	68	68
3040	Outlays (gross)	–63	–64	–67
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	10	14	15
3100	Obligated balance, end of year (net)	10	14	15
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	62	66	67
	Outlays, gross:			
4010	Outlays from new discretionary authority	39	55	55
4011	Outlays from discretionary balances	24	9	12
4020	Outlays, gross (total)	63	64	67
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4033	Non-Federal sources	–13	–15	–15
4180	Budget authority, net (total)	49	51	52
4190	Outlays, net (total)	50	49	52

The Museum is a permanent living memorial to the victims of the Holocaust. As a public-private partnership, the Museum sponsors national educational outreach and scholarship, as well as annual Days of Remembrance commemorations.

Object Classification (in millions of dollars)

Identification code 95–3300–0–1–503		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	18	19	19
12.1	Civilian personnel benefits	5	9	9
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	5	3	3
23.3	Communications, utilities, and miscellaneous charges	5	5	5
25.2	Other services from non-Federal sources	22	26	26
25.4	Operation and maintenance of facilities	3	3	3
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
99.9	Total new obligations	61	68	68

Employment Summary

Identification code 95–3300–0–1–503		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	186	204	196

UNITED STATES INSTITUTE OF PEACE

Federal Funds

UNITED STATES INSTITUTE OF PEACE

For necessary expenses of the United States Institute of Peace, as authorized by the United States Institute of Peace Act, [$30,589,000] *$37,400,000*, to remain available until September 30, [2013] *2014*, which shall not be used for construction activities. *(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 95–1300–0–1–153		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	39	40	37
0801	Reimbursable program activity	2	2	2
0900	Total new obligations	41	42	39
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	15	7	9
1011	Unobligated balance transfer from other accts [72–1037]	3	.....	.....
1021	Recoveries of prior year unpaid obligations	1	.....	.....
1050	Unobligated balance (total)	19	7	9
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation-Base	39	39	37
1160	Appropriation, discretionary (total)	39	39	37
	Spending authority from offsetting collections, discretionary:			
1700	Collected	2	2	1
1701	Change in uncollected payments, Federal sources	3	3	3
1750	Spending auth from offsetting collections, disc (total)	5	5	4
1900	Budget authority (total)	44	44	41
1930	Total budgetary resources available	63	51	50
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–15	.....	.....
1941	Unexpired unobligated balance, end of year	7	9	11
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	21	15	13
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–4	–10	–13
3020	Obligated balance, start of year (net)	17	5	.....
3030	Obligations incurred, unexpired accounts	41	42	39
3031	Obligations incurred, expired accounts	2	.....	.....
3040	Outlays (gross)	–47	–44	–43
3050	Change in uncollected pymts, Fed sources, unexpired	–3	–3	–3
3051	Change in uncollected pymts, Fed sources, expired	–3	.....	.....
3080	Recoveries of prior year unpaid obligations, unexpired	–1	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–1	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	15	13	9

UNITED STATES INSTITUTE OF PEACE—Continued

Program and Financing—Continued

Identification code 95–1300–0–1–153		2011 actual	2012 est.	2013 est.
3091	Uncollected pymts, Fed sources, end of year	–10	–13	–16
3100	Obligated balance, end of year (net)	5		–7
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	44	44	41
	Outlays, gross:			
4010	Outlays from new discretionary authority	30	42	39
4011	Outlays from discretionary balances	17	2	4
4020	Outlays, gross (total)	47	44	43
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–2	–2	–1
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–3	–3	–3
4070	Budget authority, net (discretionary)	39	39	37
4080	Outlays, net (discretionary)	45	42	42
4180	Budget authority, net (total)	39	39	37
4190	Outlays, net (total)	45	42	42

The United States Institute of Peace is an independent and federally-funded institution established by Congress. Its goals are to help prevent and resolve violent international conflicts, promote post-conflict stability and democratic transformations, and increase peacebuilding capacity, tools, and intellectual capital worldwide. The Institute does this by empowering others with knowledge, skills, and resources, as well as by its direct involvement in peacebuilding efforts around the globe.

USIP thinks, acts, teaches, and trains, providing a unique combination of nonpartisan research, innovative programs, and hands-on support. USIP provides on-the-ground operational support in zones of conflict, most recently in Afghanistan, the Balkans, Colombia, Indonesia, Iraq, the Palestinian Territories, Liberia, Nigeria, Philippines, Rwanda, and Sudan. Specific work performed by the Institute includes: building leadership capacity through training and workshops; facilitating dialogue among parties in conflict; identifying and disseminating best practices in conflict management; sponsoring leadership summits and strategic conferences; promoting the rule of law; developing educational and teacher training materials; helping build civil society institutions; sponsoring a wide range of countrywide working groups (e.g., Afghanistan, Haiti, Iraq, Korea, Sudan); and educating the public through informative events, radio, and other outreach activities. USIP performs cutting edge research on the dynamics of conflict and analysis relevant to policymakers and practitioners. The Institute also identifies best practices and develops innovative programs focused on the prevention, management, and resolution of violent conflict, and the stabilization and transformation of societies emerging from conflict.

Object Classification (in millions of dollars)

Identification code 95–1300–0–1–153		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.8	Personnel compensation: Special personal services payments	10	10	12
12.1	Civilian personnel benefits	3	3	3
21.0	Travel and transportation of persons	1	1	1
25.2	Other services from non-Federal sources	22	23	15
41.0	Grants, subsidies, and contributions	3	3	6
99.0	Direct obligations	39	40	37
99.0	Reimbursable obligations	2	2	2
99.9	Total new obligations	41	42	39

UNITED STATES INTERAGENCY COUNCIL ON HOMELESSNESS

Federal Funds

OPERATING EXPENSES

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms, and the employment of experts and consultants under section 3109 of title 5, United States Code) of the United States Interagency Council on Homelessness in carrying out the functions pursuant to title II of the McKinney-Vento Homeless Assistance Act, as amended, [$3,300,000] *$3,600,000*. [Section 209] *Title II* of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11319) is amended by striking [all that follows "on" and inserting "October 1, 2015"] *section 209, and in section 204(a) by striking "level V" and inserting "level IV". (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 48–1300–0–1–808		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0101	Operations	3	3	4
0900	Total new obligations	3	3	4
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	3	3	4
1160	Appropriation, discretionary (total)	3	3	4
1930	Total budgetary resources available	3	3	4
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	3	3	4
3040	Outlays (gross)	–3	–3	–4
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	3	3	4
	Outlays, gross:			
4010	Outlays from new discretionary authority	3	3	4
4180	Budget authority, net (total)	3	3	4
4190	Outlays, net (total)	3	3	4

The United States Interagency Council on Homelessness (USICH) is an Executive branch independent agency whose mission is to coordinate the Federal response to homelessness and to create a national partnership at every level of government and with the private sector to reduce and end homelessness in the nation while maximizing the effectiveness of the Federal Government's contribution to ending homelessness. In collaboration with its 19 member Federal agencies, USICH led the development of Opening Doors: the Federal Strategic Plan to Prevent and End Homelessness, which was released in June 2010. The Plan sets four ambitious goals: 1) Finish the job of ending chronic homelessness by 2015; 2) Prevent and end veterans homelessness by 2015; 3) Prevent and end homelessness for families, youth and children by 2020; and 4) set a path to ending all types of homelessness. Working with Federal, state and local partners, USICH is leading the implementation of the Plan in Washington, DC and across the country. The Budget proposes $3.6 million for USICH to continue implementing the plan and to expand the council's regional involvement. In addition, the Budget permanently authorizes USICH and increases the salary level for the Executive Director to be consistent with other equivalent positions in the Federal Government.

Object Classification (in millions of dollars)

Identification code 48–1300–0–1–808	2011 actual	2012 est.	2013 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	2
99.5 Below reporting threshold	2	2	2
99.9 Total new obligations	3	3	4

Employment Summary

Identification code 48–1300–0–1–808	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	12	12	12

VIETNAM EDUCATION FOUNDATION

Federal Funds

VIETNAM DEBT REPAYMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5365–0–2–154	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0240 Transfers from Liquidating Accounts, Vietnam Debt Repayment Fund	5	5	5
0400 Total: Balances and collections	5	5	5
Appropriations:			
0500 Vietnam Debt Repayment Fund	–5	–5	–5
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–5365–0–2–154	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	5	5	5
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	5	5
1260 Appropriations, mandatory (total)	5	5	5
1930 Total budgetary resources available	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	5	5	5
3040 Outlays (gross)	–5	–5	–5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority	5	5	5
4180 Budget authority, net (total)	5	5	5
4190 Outlays, net (total)	5	5	5

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106–554) created the Vietnam Education Foundation (VEF) to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the United States in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning in 2002, and in each subsequent year through 2018, $5 million of the amounts deposited into the fund from USDA and USAID shall be available to VEF.

Object Classification (in millions of dollars)

Identification code 95–5365–0–2–154	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	4	4	4
99.9 Total new obligations	5	5	5

Employment Summary

Identification code 95–5365–0–2–154	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	5	5	5

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:			
95–322076 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	9	5	5
General Fund Offsetting receipts from the public	9	5	5